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Incorporating Climate Non-stationarity and Snowmelt Processes in Intensity-Duration-Frequency Analyses with Case Studies in Mountainous Areas

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21 **ABSTRACT**

22 Downscaled high resolution climate simulations were used to provide inputs to the
23 physics-based Distributed Hydrology Soil Vegetation Model (DHSVM), which accounts for the
24 combined effects of snowmelt and rainfall processes, to determine spatially distributed available
25 water for runoff (AWR). After quasi-stationary time windows were identified based on model
26 outputs extracted for two different mountainous field sites in Colorado and California, intensity-
27 duration-frequency (IDF) curves for precipitation and AWR were generated and evaluated at
28 each numerical grid to provide guidance on hydrological infrastructure design.

29 Impacts of snowmelt are found to be spatially variable due to spatial heterogeneity
30 associated with topography according to geostatistical analyses. AWR extremes have stronger
31 spatial continuity compared to precipitation. Snowmelt impacts on AWR is more pronounced at
32 the wet California site than at the semi-arid Colorado site. The sensitivities of AWR and
33 precipitation IDFs to increasing greenhouse gas emissions are found to be localized and spatially
34 variable. In sub-regions with significant snowfall, snowmelt can result in an AWR (e.g., 6-hour
35 100-year events) that is 70% higher than precipitation. For comparison, future greenhouse gas
36 emissions may increase 6-hour 100-year precipitation and AWR by up to 50% and 80%,
37 respectively, towards the end of this century.

38

39 **Keywords:** Intensity-duration-frequency; non-stationarity; snowmelt; available water for runoff;
40 geostatistics; spatial heterogeneity; RESM; DHSVM

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42

43 1. Introduction

44 Standards for designing civil engineering infrastructure (e.g., stormwater management
45 facilities, erosion and sediment control structures, flood protection structures) usually involves
46 statistical analysis of historic precipitation events, particularly in terms of intensity, duration, and
47 frequency (IDF) [Chow et al., 1988; McCuen, 1998]. The traditional design paradigm makes
48 several significant assumptions such as climate stationarity and neglects snowmelt-driven runoff,
49 and implicitly assumes that the reoccurrence interval of a precipitation event produces a runoff
50 event of the same interval. However, given the potential impacts of greenhouse gas emissions,
51 these limiting assumptions can substantially increase infrastructure development risks, because
52 structures designed to meet traditional criteria (e.g., 100-year 6-hour storm) could be over- or
53 under-designed leading to issues of safety and/or unnecessary expenses. Meanwhile, changes in
54 extreme precipitation may vary significantly from site to site, presenting further challenges for
55 managing flood risk in the future [Chow et al., 1988; Guo, 2006; Kao and Ganguly, 2011; Kharin
56 et al., 2007; Koutsoyiannis and Baloutsos, 2000; Mirhosseini et al., 2013; Peck et al., 2012;
57 Ragno et al., 2017; Teegavarapu, 2013].

58 In previous studies, some attention has been given to non-stationarity in extreme
59 precipitation characteristics [Haddad and Moravej, 2015; Mailhot et al., 2007; Patel et al., 2015],
60 because when the series are non-stationary (e.g., with trending or changing auto-covariance), the
61 stationary assumption delivers IDF curves that can substantially underestimate extreme events
62 [Cheng et al., 2014]. Some work has been done to evaluate the location and scale parameters in
63 the extreme value distributions but assuming the shape parameter is constant [Bracken et al.,
64 2016; Cooley et al., 2007; Lima and Lall, 2010; Yan and Moradkhani, 2015]. The Mann-Kendall
65 and linear regression trend test, von Neumann independence test, Wald-Wolfowitz stationarity

66 test, and Mann-Whitney homogeneity test have been applied to the precipitation annual
67 maximum series (AMS) of standard durations to inspect the presence of monotonic trends and
68 evaluate the independency, stationarity, and homogeneity of AMS of standard durations[Haddad
69 and Moravej, 2015].

70 In addition to non-stationarity, spatial heterogeneity of extreme precipitation distribution
71 is also a critical issue [*Ghosh et al.*, 2012]; *Mailhot et al.* [2007] showed that for a given
72 duration, spatial correlations of precipitation extremes will decrease in a future climate,
73 suggesting that annual extreme precipitation events may result more often from convective (and
74 thus more localized) rather than synoptic-scale weather systems. Quantifying the spatial
75 heterogeneity in historical precipitation extremes is difficult because weather stations are
76 sparsely distributed, especially in mountainous regions[*Bales et al.*, 2006; *López-Moreno et al.*,
77 2009]. This issue becomes more serious when only stations with an adequately long period of
78 record are considered. This issue is similarly critical regarding climate projections, given the
79 spatial resolution of commonly available global climate projections (e.g., from CMIP5 [*Taylor et*
80 *al.*, 2012]) (~100 – 200 km) and dynamically or statistically downscaled scenarios (~10 – 50 km)
81 relative to the much smaller spatial scales of extreme precipitation events. A reliable spatial
82 statistics based scheme, such as a geostatistical approach [*Deutsch and Journel*, 1998] involving
83 spatial random functions and variogram models, is helpful to model the spatial correlation
84 patterns of extreme precipitation events.

85 Yet another important issue for reliable IDF analysis is the impact of snowmelt processes
86 on runoff generation, particularly in snow-dominated regions, where much of the precipitation is
87 stored as snowpack till springtime when it melts and produces runoff. Therefore, available water
88 for runoff (AWR) in snow-dominated environments relates more directly to the magnitude and

89 timing of runoff from melting snow and rain than that of precipitation. Although large flood
90 events are often caused by extreme rain events over short time periods, a large number of
91 significant flood events in snow-dominated regions are attributable to snowmelt from deep
92 snowpack especially during rain-on-snow (ROS) events [Bookhagen and Burbank, 2010; Fang et
93 al., 2014; Kampf and Richer, 2014; Kattelman, 1997]. With local measurements of meteorology
94 and Snow Water Equivalent (SWE) at 376 Snowpack Telemetry (SNOTEL) stations across the
95 Western United States, researchers estimated the extreme runoff events at each SNOTEL station
96 [Yan et al., 2018; Yan et al., 2019a; Yan et al., 2019b]. Compared to AWR-based IDFs,
97 traditional precipitation-based IDFs led to under-design at 45% of the SNOTEL stations, many
98 with significant underestimation of 100-year extreme events. Improvements on the traditional
99 IDF using snowmelt-incorporated AWR are readily achievable with land surface hydrologic
100 models such as the Distributed Hydrology Soil Vegetation Model (DHSVM) [Wigmosta et al.,
101 1994] that represent the integral snow processes.

102 In this study, we address the aforementioned issues in traditional IDF development with
103 high-resolution climate projections [Hurrell et al., 2013; Kay et al., 2015; L. R. Leung and Ghan,
104 1999; L. Ruby Leung et al., 2004; Voisin et al., 2013a; Voisin et al., 2013b; Wang et al., 2004]
105 coupled with the DHSVM model [Wigmosta et al., 1994]. DHSVM has been extensively applied
106 in simulating snow and hydrological processes in mountainous snow environments due to its
107 detailed and spatially explicit representation of the physical processes that govern the energy and
108 mass exchange between the atmosphere, (overstory) canopy, snowpack, and ground surface.
109 Climate projections from a high-resolution regional earth system model are available for the
110 period 1975-2100 over the western United States under two future greenhouse gas emission
111 scenarios. The bias-corrected climate model outputs (e.g., temperature, wind speed, relative

112 humidity, shortwave and longwave radiation, precipitation) were used to provide atmospheric
113 forcing to DHSVM to predict spatially distributed AWR at two mountainous sites. IDF analyses
114 were performed together with comprehensive evaluations of temporal stationarity and spatial
115 heterogeneity of the simulated climate and hydrologic extremes.

116 **2. Study sites**

117 Two mountainous sites with different climate conditions are considered, as shown in
118 Figure 1. One site is near the Mountain Warfare Training Center (MWTC) located in Pickel
119 Meadows on California State Route 108 at 2,100 m above sea level in the Toiyabe National
120 Forest, about 34 km northwest of Bridgeport, California. It features a humid continental climate
121 (Dsb) with cold, relatively snowy winters and dry summers with very warm days and cold
122 mornings. The average annual rainfall is 9.41 inches or 239.0 millimeters. The wettest “rain
123 year” was from July 1968 to June 1969 with 20.76 inches (527.3 mm) and the driest was from
124 July 1959 to June 1960 with 4.37 inches (111.0 mm) [<http://w2.weather.gov>]. The most
125 precipitation in one month was 7.69 inches (195.3 mm) during January 1969. The most
126 precipitation in 24 hours was 2.59 inches or 65.8 millimeters on January 31, 1963. Average
127 annual snowfall is 49.5 inches or 1.26 meters. The most snowfall in one year was 174.06 inches
128 or 4.42 meters between 1915 and 1916, including 121.0 inches or 3.07 meters in January 1916
129 [<https://wrcc.dri.edu>]. The maximum snow cover was 51 inches or 1.30 meters on February 25,
130 1969.

131 The other site is Fort Carson (FC), located south of Colorado Springs in Colorado. The
132 FC site features cold semi-arid climate (BSk) with dry winters and wet summers. The summer
133 thunderstorms drive a number of peak runoff events. The FC site gets ~16 inches (406 mm) of

134 rain per year mostly in summer, which is lower than the US average of 39 inches (990 mm); and
135 it gets average snowfall of 54 inches (1372 mm) mostly in March. A total snowfall of 61 inches
136 (1550 mm) was recorded in 1984. The number of days with any measurable precipitation is 42.
137 The most precipitation in 24 hours was 3.02 inches (77 mm) on July 10, 1996.

138 Both sites have significant amount of snow, but the MWTC area is characterized by
139 thicker snowpack and more frequent rain-on-snow events [Yan et al., 2018]; therefore, the two
140 selected sites allow us to evaluate snowmelt impacts under different hydro-climatic conditions.

141 **3. Methods**

142 *a. Regional climate and hydrological models*

143 Regional climate models have been used to study regional climate processes and provide
144 dynamical downscaling of global climate projections (e.g., [Giorgi et al., 1990]) for the past
145 three decades. The Regional Earth System Model (RESM) developed at PNNL is based on the
146 Weather Research and Forecasting (WRF) model [Skamarock et al., 2008] for the atmosphere
147 and the Community Land Model (CLM) [Lawrence et al., 2011] for the land surface, coupled
148 through the flux coupler (CPL7) of the Community Earth System Model (CESM) [Gent et al.,
149 2011] that facilitates exchange of fluxes in a conservative manner. RESM was applied to a North
150 America domain, at a 20-km grid spatial resolution, with lateral boundary conditions and sea
151 surface temperature and sea ice data provided by CESM. The CESM simulations are part of the
152 Coupled Model Intercomparison Project Phase 5 (CMIP5) archive [Taylor et al., 2012]. For the
153 current climate, downscaling was performed for 1975 – 2004 using boundary conditions from a
154 CESM historical run. For the future, two simulations were performed for 2005 – 2100 using
155 boundary conditions from two CESM ensemble members for the Representative Concentration

156 Pathways RCP4.5 and RCP8.5 scenarios, which are the most widely used emissions scenarios
157 that capture more plausible pathways for the future with mitigation (RCP4.5) and business-as-
158 usual scenario (RCP8.5).

159 Bias correction was applied to the RESM output following the Bias-Correction Spatial
160 Disaggregation (BCSD) method described by Wood et al. [2004]. In brief, quantile mapping was
161 used to remove biases in the simulated monthly mean temperature and precipitation based on
162 monthly data from North America Land Data Assimilation System (NLDAS-2) [Xia et al.,
163 2012], with a grid spacing of 1/8 degree, which is comparable to that of the RESM simulations
164 (20km). The monthly bias correction factors from the BCSD were then applied to the hourly
165 RESM model outputs. This method of bias correction does not correct for biases of temperature
166 and precipitation at daily and hourly scales. In other words, the co-variability of temperature and
167 precipitation due to weather systems and storms simulated by the regional model is preserved.
168 To bias correct the future climate simulations, a linear trend was fitted to the surface temperature
169 time series between 2005 and 2100 using linear regression and quantile mapping was applied to
170 the residuals after removing the linear trend for each grid cell. No linear trend was removed from
171 the precipitation data because the trend of monthly mean precipitation is generally very small.
172 Although trends may be more apparent for extreme precipitation at hourly and daily time scales,
173 our bias-correction method does not apply to hourly-to-daily scale. The bias-correction approach
174 has been widely used for correcting climate simulations used in previous studies of regional
175 climate change impacts (see [Maraun, 2016] and the references therein). The bias-corrected
176 hourly data used in this study was also used in the studies of future changes in regional water
177 stress [Hejazi et al., 2015] and drought [Wan et al., 2017] in the contiguous U.S.

178 Besides surface temperature and precipitation, DHSVM also requires additional
179 atmospheric forcing such as downward radiation fluxes and humidity. The solar radiation fluxes
180 were bias corrected by subtracting the long-term mean bias based on comparison with the
181 NLDAS-2 data. Humidity was bias corrected by multiplying the RESM simulated relative
182 humidity with the saturation humidity estimated based on the bias-corrected surface temperature.
183 The full set of hourly bias-corrected atmospheric forcing at 1/8 degree resolution for the
184 historical (1975 – 2004) and future (2005 – 2100) RCP8.5 scenario were used to generate high-
185 resolution spatial distributions of meteorological time series at the two selected demonstration
186 sites in mountainous regions with different hydroclimatic conditions and where elevated
187 warming and snowmelt changes in the future may post larger challenges. The bias-corrected time
188 series were used to provide atmospheric forcing for DHSVM.

189 DHSVM is a physics-based spatially distributed hydrologic model that simulates the
190 effects of soil, vegetation, and topography on the movement of water at and near the land
191 surface. DHSVM models the processes associated with snowpack morphology in the open or
192 under canopy [Storck, 2000; Storck and Lettenmaier, 1999], using a two-layer snowpack
193 representation of snow accumulation and melt, governed by coupled mass and energy balance.
194 The energy balance components of the model address snowmelt, refreezing, and changes in
195 snowpack heat content, while the mass-balance equations address the change of mass during
196 snow accumulation and ablation, transformations in the snow water equivalent, and snowpack
197 water yield [Wigmosta et al., 2002]. The model can account for the effects of topography and
198 vegetation cover on energy and mass exchange at the snow surface, including topographic and
199 canopy shading effects on radiative input to snowpack. The canopy impacts have not been
200 quantified and calibrated over the study sites; therefore the canopy effect was not included in this

201 study, which focused on topography driven spatial heterogeneity in precipitation and AWR.
202 Meteorological inputs required by DHSVM include hourly precipitation, air temperature, wind
203 speed, relative humidity, and downward shortwave and longwave radiations, provided by the
204 downscaled and bias-corrected RESM outputs.

205 At every grid location, the amount of the water available for runoff (AWR) was
206 calculated as: $AWR = P - \Delta SWE - S$, at hourly temporal resolution, where P is the hourly
207 precipitation from meteorological input, ΔSWE is the change in snowpack water content over the
208 hourly time step, and S is the change in snow mass due to condensation (negative) or
209 evaporation/sublimation. We calibrated DHSVM and evaluated its simulated SWE at the nearby
210 SNOTEL locations where long-term continuous observations of daily SWE records are available
211 during the historical period 1975–2004.

212

213 *b. IDF development*

214 RESM and DHSVM simulated precipitation and AWR are then used for IDF
215 development. An IDF curve presents the probability of a given precipitation intensity and
216 duration expected to occur at a particular location. Standards have been developed for designing
217 infrastructures based on IDF curves [Wolcott et al., 2009]. Given local precipitation data, IDF
218 curves are developed using frequency analysis by first determining the annual maximum
219 precipitation intensity of the selected duration from n years of historical data and then fitting
220 extreme value distributions to the annual maximum series of event intensity for given durations
221 (e.g., 6-hour, 24-hour). Generalized extreme value (GEV) distribution consists of Gumbel,
222 Fréchet, and Weibull distribution families [De Haan and Ferreira, 2007]. In this study, we adopt
223 the Gumbel distribution [Gumbel, 2012; Peck et al., 2012; Shaw, 2005], which is also called the

224 type I extreme value (EV1) distribution (see Figure S1 in the Supplementary for the goodness of
225 fit evaluation, which supports the choice of the Gumbel distribution). The IDF derivation
226 procedure is used to develop IDF curves for both AWR and precipitation.

227

228 *c. Non-stationarity evaluation*

229 IDF s are developed for stationary series. However, changes in extreme precipitation
230 events can lead to a revision of standards for designing civil engineering infrastructures to
231 prevent water management infrastructures from performing below the designated guidelines in
232 the future [*Prodanovic and Simonovic, 2007; Simonovic and Peck, 2009*], and the changes very
233 likely will result in non-stationary series, which invalidate the IDF computation by violating data
234 stationarity [*Cunderlik and Burn, 2003*].

235 To obtain reliable IDFs, two solutions can be implemented: (1) identify quasi-stationary
236 time windows from the time series of interest [*Appel and Brandt, 1983; Michelangeli et al.,*
237 *1995*] and compute the IDF curves using data for the corresponding time windows; (2) introduce
238 a parameter representing the trend in the means of the extreme value distributions [*AghaKouchak*
239 *et al., 2012; Cheng and AghaKouchak, 2014; Cheng et al., 2014; Patel et al., 2015; Ren et al.,*
240 *2019*]. Here we adopt the first approach using standard IDF calculation but with systematic
241 evaluation of the extremes time series to identify stationary or quasi-stationary time windows.
242 The metrics used include Sen’s slope [*Sen, 1968*] and MK test [*Kendall, 1975; Mann, 1945*]. The
243 MK test is based entirely on ranks and hence is robust to non-normality. By dividing the entire
244 1975-2100 simulation time period into many smaller time windows with a fixed size of n (e.g.,
245 30) years, we can perform the MK test on each window, and compute the MK test failure rate as
246 the number of “failed” small windows relative to the total number of n -year-long time windows.

247 In order to have adequate number of time windows for reliable estimates of failure rates, the time
248 windows are allowed to have up to 50% overlap with adjacent windows. The failure rate
249 evaluation is done for 10-, 15-, 20-, 25-, 30-, 50-year time windows, and low failure rates
250 correspond to quasi-stationary time windows.

251

252 *d. Geostatistical modeling and mapping*

253 IDF curves were developed at each numerical grid cell. To illustrate the spatial
254 distribution and patterns of precipitation intensity and AWR for given frequencies and durations,
255 we used geostatistical approaches to produce spatial maps of precipitation/AWR intensity and/or
256 their temporal changes (e.g., differences between future RCP8.5 and historical). The
257 geostatistical models capture the spatial heterogeneity and correlation patterns in the properties
258 associated with spatial locations. Such heterogeneity can be attributed to many factors including
259 topography or microclimates via various physical processes such as temperature lapse rates and
260 snow melt [Lisi et al., 2015; Nijzink et al., 2016; Sohrabi et al., 2019; Sun et al., 2018; Sun et al.,
261 2019]. These impacts have been represented in the physics-based numerical modules in the
262 regional climate models and DHSVM.

263 Intensity of design storms at different spatial points are treated as spatial random
264 functions (SRFs), $Z(\mathbf{x})$, and spatial variogram models $\gamma(\mathbf{x}, \mathbf{x}')$ are fitted. The variogram
265 characterizes the spatial continuity and correlation patterns of a field with a mathematical
266 formulation $\gamma(\mathbf{x}, \mathbf{x}') = \frac{1}{2}E(\{Z(\mathbf{x}) - Z(\mathbf{x}')\}^2)$, which can be approximated with experimental
267 variogram in practice as $\hat{\gamma}(h) = \frac{1}{2N(h)} \sum_{\{(i,j)||\mathbf{x}_i - \mathbf{x}_j| \approx h\}} (z_i - z_j)^2$, where z_i and z_j are the
268 realizations of Z at spatial locations \mathbf{x}_i and \mathbf{x}_j , respectively, and h is the desired lag distance. In

269 this study, we fitted the experimental variogram using the exponential model in the form of
270 $\gamma(h) = C_0[1 - \exp(-\frac{h}{I})]$, where C_0 and I are the variance and correlation length parameters to
271 be fitted [Deutsch and Journel, 1998]. The fitted model quantifies the variability and spatial
272 continuity, and was used for spatial mapping of the SRF property (e.g., intensity of design storms
273 or AWR).

274 4. Results

275 a. *Quasi-stationary time windows*

276 The Mann-Kendall (MK) test failure rate was used to identify quasi-stationary time
277 windows in the study period, as explained above. Figure 2 (a) and (b) show that for annual
278 maxima precipitation given three different durations at the MWTC site, the failure rate
279 (aggregated across 36 grid cells) is the lowest when the time window size is 30 years; that is, the
280 annual maxima precipitation series for 30-year time windows are more likely to be stationary
281 than those with a different time window size. The failure rate evaluation was done for annual
282 maxima AWR series as well (Figure 2 (c) and (d)), where it is more convincing that 30-year time
283 window is the best choice to achieve quasi-stationary data for reliable IDF development. The
284 optimal time windows are found to be consistent for both representative concentration pathway
285 (RCP4.5 and RCP8.5) scenarios. Temperature is usually not subject to IDF analysis, but when
286 simulated temperature is considered, we found that in order to achieve quasi-stationary time
287 windows, the maximum window size is about 30 years under RCP4.5 scenario, and about 15
288 years under RCP8.5 scenario due to a strong positive trend in temperature.

289 MK tests were also performed at the 30 grid cells at the FC site (Figure 2 (e), (f), (g) and
290 (h)). The summarized MK test failure rates do not have clear patterns with respect to the time

291 window size, but generally a 30-year time window is the best choice since the corresponding
292 failure rate is lower than 5% for both precipitation and AWR extreme events of the selected
293 durations under either RCP4.5 or RCP8.5 scenarios.

294 Based on the above evaluation, a 30-year time period at the two study sites provide
295 appropriate precipitation and AWR annual maxima data for IDF evaluations with minimal
296 violation of the stationarity assumption.

297

298 *b. IDF curves*

299 Extreme events characteristics near the end of the 21st century is the focus of many
300 scientific studies involving future climate projections. Therefore, data from the 1975-2004 and
301 2071-2100 time periods, each 30 years long, were used to fit extreme value Gumbel distributions
302 used to derive IDF curves and evaluate the impacts of snowmelt as well as increasing greenhouse
303 gas emissions on the spatial and temporal changes of extreme events of precipitation and AWR.

304 Figure 3 shows the derived precipitation IDFs for three selected individual grid cells at
305 different elevations in the FC study area, for the historical 1975-2004 time period, and for the
306 future 2071-2100 time period under RCP4.5 and RCP8.5 scenarios. In general, the curves shift
307 upward from historical to future time period indicating more frequent and more intense
308 precipitation. But the degree of increase in the extreme event frequency and intensity is
309 dependent on the durations, and it varies remarkably from cell from cell. IDFs and temporal
310 changes in IDFs are more different between regions on the mountain and those in the valley. The
311 derived AWR IDF curves (not shown) are very similar, which generally show higher intensity
312 for given duration and frequency from historical to RCP4.5 to RCP8.5, and the amount of
313 intensity increase also varies spatially.

314

315 *c. Geostatistical modeling*

316 Geostatistical analyses were performed for AWR and precipitation intensity for given
317 durations and frequencies, and fitted variogram models were used to generate spatial intensity
318 maps of these events. The fitted variogram models for 6-hour 100-year precipitation intensity are
319 shown in Figure 4. Events of different durations have been analyzed, but only figures for the 6-
320 hour events are shown hereafter for brevity. Increasing greenhouse gas emissions seem to have
321 resulted in much shorter spatial correlation ranges (i.e., weaker spatial continuity) and larger total
322 variance, both indicating stronger spatial heterogeneity. Under climate change, stronger contrast
323 in precipitation intensity within a short distance is expected in the future.

324

325 *d. Spatiotemporal distributions of precipitation and AWR extremes*

326 Figure 5 shows the spatial distribution of precipitation intensity (top row) at the FC site,
327 of 6-hour 100-year events against the distributions of AWR (bottom row) for the same duration
328 and frequency, during the historical (1975-2004) and future (2071-2100) time periods. The figure
329 enables us to evaluate the impacts of both snowmelt processes (by comparing AWR vs.
330 precipitation intensity) and increasing greenhouse gas emissions (by comparing historical vs.
331 RCP4.5&8.5). In the spatial distribution map of AWR extremes, the local anomalies are reduced
332 compared to the precipitation distributions, so AWR events exhibit smoother spatial patterns,
333 particularly along the gradient between the mountain and the adjacent valley. The smoothing
334 pattern corresponds to stronger spatial continuity of AWR compared to precipitation. At some
335 locations, snowmelt might have coincide with rainfall, resulting a higher AWR than
336 precipitation; for example, on the slope to the west of Colorado Springs, the 6-hour 100-year

337 AWR is about 5%-10% higher than 6-hour 100-year precipitation intensity during 1975-2004.
338 But in some areas, AWR is lower than precipitation; for example, in the middle-west region
339 (near Victor) of the study area, the 6-hour 100-year AWR is about 15% lower than precipitation,
340 during 2071-2100, under RCP8.5. This is because precipitation is accumulated in the snowpack
341 and not immediately available for runoff, so the annual maxima of (rainfall + snowmelt) are
342 smaller than that of (rainfall + snowfall). This situation can occur in inland mountains where the
343 temperature remains below freezing even in the warmer future so snow accumulation reduces
344 available water for immediate runoff during winter storms. These findings show that if
345 precipitation IDF's are used, the hydrologic infrastructure under- or over-design can be up to 15%
346 at the FC site, resulting in potential snowmelt related flood risk. By using the AWR IDF's as
347 guidance, the over- or under-design issues can be alleviated.

348 By comparing the results for historical and future time periods, the impact of increasing
349 greenhouse gas emissions can be evaluated and quantified. The local high of historical
350 precipitation intensity and AWR of about 8.5 mm/hr seems to shift northeastward and reach a
351 local high of above 10.5 mm/hr under RCP4.5 and above 12.0 mm/hr under RPC8.5,
352 representing more than 20% and 40% increase respectively. There are great differences between
353 precipitation and AWR under historic conditions, and these differences are reduced under
354 RCP4.5 and 8.5. This could be due to a shift from rain/rain-on-snow peaks under current climate
355 to rain dominated peaks in the future (i.e., AWR is essentially rainfall in the future).

356 Figure 6 shows the spatial distributions of precipitation intensity and AWR, but for the
357 MWTC area. Similar to Figure 5, for either historical or future events (particularly under
358 RCP8.5), the spatial contrast is weaker in AWR compared to that in precipitation, with some
359 local highs/lows reduced or removed. One difference between the two sites is that snowmelt

360 dominates AWR at MWTC more compared to FC, possibly because of thicker snowpack and
361 more frequent rain-on-snow events in the MWTC area [Yan et al., 2018]. Atmospheric rivers are
362 responsible for a majority of flooding events in the Sierra Nevada [Ralph et al., 2006], where
363 atmospheric river conditions occur during 17% of all winter precipitation events, but they are
364 associated with 50% of rain-on-snow events, because atmospheric river conditions are on
365 average 2°C warmer than the average conditions [Guan et al., 2016]. Near the southwest region
366 of the MWTC area, AWR is about 50% higher than precipitation, for both the historical time
367 period and the future period under the RCP4.5 scenario, suggesting that snowmelt and rainfall
368 might have comparable contributions to AWR extremes. Under the RCP8.5 scenario, however,
369 the snowmelt contribution is smaller (about 10%-20% of AWR) in the southwest region. This is
370 because DHSVM accounts for the change of energy input to snowpack, warmer air temperature
371 in RCP8.5 certainly affects snowpack dynamics, including snowpack duration, ablation rate, and
372 timing of melt. In addition, the phase of precipitation (falling as snow or rain) is determined by
373 air temperature. Because of the significant temperature increase under the RCP8.5 scenario, it is
374 very likely that a larger fraction of precipitation falls as rain so snowpack on the ground becomes
375 thinner and the frequency of rain-on-snow also becomes smaller [L. Ruby Leung et al., 2004]. In
376 this case, melt becomes less important, so does AWR comparing to precipitation.

377 In the northwest region, on the other hand, AWR is 5%-20% lower than precipitation
378 intensity, such that rainfall + snowfall extremes are greater than rainfall + snowmelt extremes.
379 This is likely due to longer and slower release of meltwater than snowfall. Snowmelt has
380 relatively weak contributions in other sub-regions of the MWTC area under RCP8.5.

381 The impacts of increasing greenhouse gas emissions are outstanding in the northeast
382 region, where the historical local high of precipitation intensity of about 12-17 mm/hr increases

383 to more than 17 mm/hr (RCP4.5) and 22 mm/hr (RCP8.5), representing more than 20% and 50%
384 increase respectively. Similar to precipitation, the corresponding AWR increases from 12 mm/hr
385 to 17mm/hr and 22 mm/hr, representing more than 40% and 80% increases respectively. The
386 southwest MWTC area has a similar amount of increases in precipitation intensity (about 20%
387 and 70%) and AWR (about 25% and 60%) in the future.

388 Longer duration extreme events (e.g., 24-hour duration precipitation and AWR) are also
389 studied for completeness. Figure 7 shows the spatial intensity distributions of 24-hour 100-year
390 events of precipitation (top row) against AWR (bottom row), for historical (1975-2004) and
391 future (2071-2100) time periods, at the snow-dominated MWTC site.

392 The impacts of snowmelt and warming on 24-hour 100-year events are somewhat
393 different compared to those on 6-hour 100-year events. One observation is that the contribution
394 of snowmelt is more pronounced – AWR is up to 70% higher than precipitation intensity near the
395 southwest region for the historical and future RCP4.5 cases, although for the future RCP8.5 case,
396 the snowmelt contribution remains about 10%-20% of AWR. The impacts of warming are
397 outstanding in the northwest region, with precipitation intensity increasing by up to 20%
398 (RCP4.5) and 60% (RCP8.5), and with AWR increasing by up to 30% (RCP4.5) and 60%
399 (RCP8.5) respectively. Figure S2 in the Supplementary shows that the levels of trend and spatial
400 heterogeneity are comparable at the FC site, but with heterogeneous behaviors associated with
401 local topography as expected.

402 In summary, snowmelt and increasing greenhouse gas emissions have comparable
403 impacts on AWR IDF's for 6-hour and 24-hour duration events, particularly in sub-regions with
404 significant snowfall and snowmelt. At both the MWTC and FC sites, the snowmelt impact is
405 consistent and significant, especially in snow-dominated areas. Due to the influence of

406 topography, the impacts of both snowmelt and warming are very likely to be localized and
407 spatially heterogeneous. The spatial variations of extreme events' intensity are comparable to
408 their temporal variations averaged across the study areas.

409 **5. Discussion and Conclusions**

410 Based on the assessment of stationarity (MKtest failure rate), two 30-year quasi-
411 stationary time periods, 1975-2004 and 2071-2100, were considered for IDF computation and
412 geospatial mapping of precipitation intensity and AWR for 6- and 24-hour duration events. It is
413 interesting to examine the entire 125-year period to fully understand how strong the temporal
414 trends in precipitation and AWR might be during the 21st century. Sen's slope is used to
415 quantify the trends, as shown in Figure 8, where warmer colors represent positive Sen's slopes
416 and positive trends. Figure 8 shows that under RCP8.5 scenario, the northwest region of the FC
417 area has a weak negative trend in 6-hour AWR during the period 1975-2004, and weak positive
418 trend after 2005, while the eastern and southern regions have weak positive trends throughout the
419 four periods. At places with relatively strong positive/negative trends, a time window shorter
420 than 30-years might be preferable to achieve quasi-stationarity of the annual maxima data for
421 IDF development. The positive trend is slightly stronger and spatially more consistent in the
422 MWTC site (see Figure S3 in the Supplementary).

423 The Sen's slope analyses were also performed for other durations, for both AWR and
424 precipitation intensity, for both RCP scenarios, and for both sites. Overall, the analyses
425 confirmed that quasi-stationarity can be achieved by using 30-year time windows, and they also
426 illustrated that spatial heterogeneity exists in the temporal trends in both precipitation intensity
427 and AWR during historical and future time periods.

428 In this study, we integrated high-resolution bias-corrected climate simulations with the
429 spatially distributed snow-hydrology model DHSVM to provide more accurate and reliable
430 AWR estimates for IDF analyses. AWR takes into account both rainfall and snowmelt, and is
431 more directly linked to peak runoff than precipitation in mountainous areas with significant
432 snowfall and snowmelt; the corresponding AWR IDFs can be used to reduce over- or under-
433 design of hydrological infrastructure for cost-savings or risk reduction. In the study areas, AWR
434 tends to have stronger spatial continuity compared to precipitation intensity, although both have
435 local areas exhibiting relatively strong temporal trends, or areas that are sensitive to factors such
436 as snowmelt or warming.

437 Non-stationarity in precipitation intensity and AWR for events with various durations
438 was evaluated using the Mann-Kendall tests, and quasi-stationary time windows were identified
439 such that the subsequent IDF derivations do not violate the stationarity assumption. Impacts of
440 snowmelt and increasing greenhouse gas emissions are found to be spatially variable due to
441 spatial heterogeneity particularly related to topography. In the sub-areas with significant snowfall
442 (e.g., southwest MWTC), snowmelt has a bigger contribution than rainfall does to AWR, and the
443 snowmelt impact is comparable to the impact of increasing greenhouse gas emission on AWR
444 extremes under the RCP8.5 scenario.

445 Tremendous efforts have been made in developing precipitation IDFs at local scales
446 based on historical data or future climate projections from one GCM or an ensemble of GCMs or
447 regional climate models. Use of ensemble model outputs is important for characterizing
448 uncertainties in the climate projections. Multi-model projections are available from CMIP5 and
449 large ensembles of climate projections by single models to capture internal variability are also
450 available [Kay et al., 2015]; however, statistically downscaled data driven by multiple GCMs are

451 only available at daily time step and lack a number of important atmospheric forcing variables
452 such as radiation and winds, which are important for simulating snowpack [e.g., Pierce et al.
453 2015]. In contrast, our regional climate simulations provide a large number of physically
454 consistent variables at hourly time step that are better suited for distributed hydrologic modeling
455 important for simulating runoff driven by both rain and snowmelt. This enabled us to fully
456 evaluate and distinguish the impacts of three factors: snowmelt, increasing greenhouse gas
457 emissions, and spatial heterogeneity on IDFs. However, uncertainty in the methods used to bias
458 correct the dynamically downscaled climate simulations should also be recognized. In particular,
459 the bias-corrected dataset used in this study ignored bias correction at hourly and daily scale,
460 which may introduce biases and uncertainties in the hydrologic simulations driven by the
461 atmospheric forcing. As noted in [Maraun 2016], bias correction of higher quantiles can produce
462 noisy results and run into overfitting and implausible applications. This may be particularly true
463 in mountainous regions where higher frequency data are not commonly available so there is a
464 need for further improvement of bias-correction methodology to improve the use of dynamically
465 downscaled simulations. Furthermore, impacts of ensemble model uncertainty on snowmelt-
466 incorporated IDFs will be addressed in future work. In addition to using ensemble models for
467 reducing parametric and model structural uncertainties, alternative non-stationary IDF analysis
468 with uncertainty bounds will be integrated to enable reduction and quantification of the overall
469 IDF estimation uncertainty.

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656 Fort Carson (right). The red dots indicate the locations of the meteorological grids.

657 **Figure 2.** Levels of non-stationarity of annual maxima series denoted by MK test failure rates, at
658 the both MWTC (a, b, c and d) and FC (e, f, g and h) sites. For the figures of each site, top row:
659 precipitation; bottom row: AWR; left column: RCP 4.5; right column: RCP 8.5.

660 **Figure 3.** Example IDFs at three individual cells in the FC study area, based on precipitation
661 annual maxima series, for 2-, 3-, 5-, 10-, 20-, 50-, and 100-year return periods. Left column:
662 historical 1975-2004; middle column: RCP4.5, future time period 2071-2100; right column:
663 RCP8.5, future time period 2071-2100.

664 **Figure 4.** Geostatistical variogram (correlation) models of 6-hour 100-year precipitation
665 intensity for historical (1975-2004) and future (2071-2100) time periods (RCP4.5 and RPC8.5),
666 at the FC site. The left panels are for precipitation, and the right panels are for AWR.

667 **Figure 5.** Spatial intensity distributions of 6-hour 100-year precipitation (top row) against AWR
668 (bottom row), for historical (1975-2004) and future (2071-2100) time periods, at the FC site. The
669 intensity I is defined to have a unit mm/h.

670 **Figure 6.** The same as Figure 5, at the MWTC site.

671 **Figure 7.** Spatial distributions of 24-hour 100-year events of precipitation (top row) against
672 AWR (bottom row), for historical (1975-2004) and future (2071-2100) time periods, at the
673 MWTC site.

674 **Figure 8.** Spatiotemporal evolution of AWR trending (Sen's slope for 6-hour events at RCP8.5)
675 for the FC site.

676