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1 **Identification and Characterization of Ksposi's sarcoma-**
2 **associated herpesvirus ORF11 promoter**

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24 **SUMMARY**

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26 Open reading frame 11 (ORF11) of Kaposi's sarcoma-associated herpesvirus belongs to a
27 herpesviral homologous protein family shared by some members of the gamma-
28 herpesvirus subfamily. Little is known about this ORF11 homologous protein family. We
29 have characterized an unknown open reading frame, ORF11, located adjacent and in the
30 opposite orientation to a well-characterized viral IL-6 gene. Northern blot analysis
31 reveals that ORF11 is expressed during the KSHV lytic cycle with delayed-early
32 transcription kinetics. We have determined the 5' and 3' untranslated region of the
33 unspliced ORF11 transcript and identified both the transcription start site and the
34 transcription termination site. Core promoter region, representing ORF11 promoter
35 activity, was mapped to a 159nt fragment 5' most proximal to the transcription start site.
36 A functional TATA box was identified in the core promoter region. Interestingly, we
37 found that ORF11 transcriptional activation is not responsive to Rta, the KSHV lytic
38 switch protein. We also discovered that part of the ORF11 promoter region, the 209nt
39 fragment upstream of the transcription start site, was repressed by phorbol esters. Our
40 data help to understand transcription regulation of ORF11 and to elucidate roles of
41 ORF11 in KSHV pathogenesis and life cycle.

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47 **INTRODUCTION**

48

49 Kaposi's sarcoma-associated herpesvirus (KSHV), the eighth human herpesvirus (HHV-
50 8) discovered (Chang *et al.*, 1994), is the causal agent of Kaposi's sarcoma (KS)(Aluigi
51 *et al.*, 1996; Ambroziak *et al.*, 1995; Memar *et al.*, 1995; Rady *et al.*, 1995). KSHV
52 infection is also closely related to two B-cell lymphoproliferative disorders, primary
53 effusion lymphomas (PELs) and the plasmablastic form of multicentric Castleman's
54 disease(Cesarman *et al.*, 1995; Cesarman *et al.*, 1996; Soulier *et al.*, 1995). KSHV
55 belongs to the *Rhadinovirus* genera of the *Gammaherpesvirinae* subfamily (Chang *et al.*,
56 1994). Also in this subfamily are viruses like *Herpesvirus saimiri* (HVS), a primate
57 homology of KSHV, and Epstein-Barr virus (EBV).

58

59 Like other characterized herpesviruses, the life cycle of KSHV includes latent replication
60 and lytic replication. *In vivo* KSHV can attain a latent stage in human B cells and
61 endothelial cells(Renne *et al.*, 1996; Staskus *et al.*, 1997). During latency, KSHV
62 genomes exist as closed circular episomes. A minimal array of genes, major players of
63 latency establishment and maintenance, was expressed, including LANA, viral cyclin
64 (vCyc), viral FLICE inhibitory protein (vFLIP), Kaposin (K12) and vIRF3 (also called
65 LANA2)(Lubyova & Pitha, 2000; Rivas *et al.*, 2001; Staskus *et al.*, 1997). Latently
66 infected cells will survive. Latent genomes can become lytic upon proper stimulation
67 with chemical agents or upon the expression of Rta (replication and transcription
68 activator), the lytic switch protein of KSHV lytic replication(Renne *et al.*, 1996; Sun *et
69 al.*, 1998). During lytic replication, there is massive viral gene expression and viral genes

70 are expressed in a cascade manner(Sarid *et al.*, 1998). New infectious progeny virions
71 will be produced in the lytic phase and lytically infected cells will be lysed
72 eventually(Renne *et al.*, 1996).

73

74 All herpesviruses share a similar genome structure, a long unique region bounded by
75 terminal repeats, and an array of conserved genes, including genes encoding a large
76 number of enzymes involved in nucleic acid metabolism, DNA synthesis, and protein
77 modification, and those genes involved in DNA replication, and virion structure(Borchers
78 *et al.*, 1994). Some genes are only conserved among members of a herpesviral subfamily.

79 Some genes are unique to each individual herpesvirus. KSHV open reading frame 11
80 (ORF11) is a conserved gene shared by many members of gamma-herpesvirus
81 subfamily(Alba *et al.*, 2001).

82

83 KSHV ORF11 was initially identified in a single 13kb divergent locus from KS and
84 Bcbl-1 samples(Nicholas *et al.*, 1997), next to a well-characterized viral IL-6 gene(Deng
85 *et al.*, 2002; Molden *et al.*, 1997; Moore *et al.*, 1996; Neipel *et al.*, 1997; Osborne *et al.*,
86 1999; Wan *et al.*, 1999). Little is known about ORF11 gene expression in KS or PEL
87 tumors. Earlier investigation using a tetracycline-inducible Rta expression in Bcbl-1 cells
88 suggests that ORF11 is a lytic viral gene(Nakamura *et al.*, 2003). However, the
89 expression kinetics of ORF11 transcription is still not clear. Computational analysis of
90 ORF11 coding sequence by Davison AJ et al revealed a dUTPase related
91 domain(Davison & Stow, 2005), suggesting ORF11 encoded protein may function as a
92 dUTPase in KSHV infected cells. So far, no evidence of dUTPase activity of ORF11

93 protein has been observed both *in vitro* and *in vivo*. The presence of ORF11 protein in
94 purified KSHV virions(Zhu *et al.*, 2005), even though it is still controversial(Bechtel *et*
95 *al.*, 2005), and the association of ORF11 with tegument protein ORF45(Rozen *et al.*,
96 2008) strongly indicate that ORF11 is either involved in KSHV virion morphology, or
97 involved in KSHV latency establishment. The observation of deregulated ORF11
98 transcription in BJAB cells infected with a vIL-6 deletion mutant(Chen & Lagunoff,
99 2007) indicates that ORF11 is not significantly involved in the regulation of other viral
100 gene expression.

101

102 To better understand how ORF11 contributes to KSHV pathogenesis or viral life cycle, in
103 this study we first characterized the expression kinetics of ORF11, mapped the core
104 promoter region of the ORF11 gene, and briefly determined how ORF11 transcription is
105 regulated in the tightly regulated KSHV lytic cascade. Our data suggest that ORF11 is a
106 lytic viral gene with delayed-early kinetics. The ORF11 core promoter activity was
107 defined and a functional TATA box was identified in the core promoter region. We
108 further showed that ORF11 is not directly regulated by Rta, the lytic switch protein,
109 indicating other viral or cellular factors are essentially involved in the regulation of
110 ORF11 gene expression. These findings help to further elucidate authentic roles of
111 ORF11 in KSHV life cycle and pathogenesis.

112

113

114 **MATERIALS AND METHODS**

115

116 **Cell culture.** BCBL-1 cells were grown as previously described(Renne et al., 1996).
117 BCBL-1 cells were carried in RPMI 1640 medium (Gibco) supplemented with 10% fetal
118 bovine serum, penicillin, streptomycin, glutamine, and β -mercaptoethanol. Human
119 Embryonic Kidney (HEK) 293 cells were grown at 37°C in Dulbecco's modified Eagle's
120 medium (Invitrogen) supplemented with 10% fetal bovine serum, penicillin,
121 streptomycin, and glutamine.

122

123 **Construction of reporter plasmids and site-directed mutagenesis.** Various length of
124 putative ORF11 promoter region upstream of the ORF11 translational initiating ATG
125 codon were PCR-amplified using primers listed in Table 1 and inserted into a
126 promoterless and enhancerless reporter plasmid pGL3-basic (Promega) in a sense
127 orientation between KpnI and XhoI sites. Mutation or deletion of the putative TATA box
128 at -27 nt upstream transcriptional start site was performed with QuickChange site-
129 directed mutagenesis kit following manufacturer's instructions (Stratagene). The
130 mutation or deletion analysis was performed on pGL3-209 reporter plasmid using
131 primers listed in Table 1. Resulting reporter plasmids containing mutated or deleted
132 TATA box were confirmed by DNA sequencing.

133

134 **5' and 3' rapid amplification of cDNA ends.** 5' and 3' rapid amplification of cDNA ends
135 (RACE) were performed according to the manufacturer's protocol. Briefly, total RNA
136 from TPA-induced Bcbl-1 cells was reverse transcribed using the FirstChoice RLM-
137 RACE kit (Ambion) with random decamers. The product was used as template in a PCR
138 with one of the following ORF11-specific primers (5RACE-1:

139 CGCTGGGCACGAAGGGAGACA, 5RACE-2:
140 CGTTGCTGCTGCGGTGCGTGT, 3RACE-1:
141 CCCTCTTCATCATCGCACCCAAGG, and 3RACE-2:
142 GTCACAGCCATCGTGTCAAACCACTGCT). 5' AND 3' RACE PCR products were
143 cloned into pDrive cloning vector (Qiagen) and were sequenced with T7 promoter
144 primer.

145

146 **RNA extractions and Northern blots.** Bcbl-1 cells treated with TPA (20ng/ml) for 0, 5,
147 12, 24, 36, 48 and 72 hours, cycloheximide (CHX, 100 μ g/ml) for 12 hours or
148 Phosphonoacetic acid (PAA, 300 μ g/ml) for 30 hours were collected for total RNA
149 isolation(Wang et al., 2001). Total RNA was extracted from Bcbl-1 cells using RNA-Bee
150 (Tel-Test) according to the manufacturer's instructions. Poly(A)⁺ messenger RNA was
151 purified with Oligotex direct mRNA kit, following the manufacturer's instruction
152 (Qiagen). For northern blot hybridization, 1 μ g messenger RNA from each sample was
153 separated in a 1% agarose gel containing 18% formaldehyde and transferred to a nylon
154 membrane, which was then hybridized with ³²P-radiolabeled probes.

155

156 **Transient transfection.** Transient transfection of human embryonic kidney (HEK) 293
157 cells was performed using Mirus TransIT-293 transfection reagents following
158 manufacturer's instruction (Mirus Bio) with slight modification. Briefly, HEK293 cells
159 were transiently transfected in 6-well plates with cell at 70% confluence. 10 μ l TransIT-
160 293 reagent was mixed with 200 μ l serum-free DMEM and incubated at room
161 temperature for 15 minutes. 2 μ g each reporter plasmid was then mixed with diluted

162 Transit-293 reagent and incubated at room temperature for 20 minutes for complex
163 formation. Replace medium with minimal volume of serum-free DMEM. The Transit-
164 293 reagent/DNA complex was added dropwise to the cells. Gently rock the plate every
165 30 minutes. After three hours, replace medium with freshly made complete DMEM
166 medium.

167

168 **Reporter gene assays.** HEK293 cells were transfected in a six-well dish using the Mirus
169 Transit-293 reagent (Mirus) with 2 μ g of reporter plasmid containing various length of
170 putative ORF11 promoter region as well as 2ng of control Renilla luciferase expression
171 vector pRL-SV40 (Promega) for transfection efficiency normalization. Luciferase assays
172 were performed on cell lysate 24 hours after transfection with dual reporter luciferase kit
173 (Promega) following manufacturer's instructions. Firefly luciferase expression in
174 HEK293 cells was normalized to that of Renilla luciferase activity.

175

176

177 RESULTS

178

179 *Identification of the ORF11 transcript*

180

181 To identify the transcription start site of the ORF11 transcript, we performed 5' and 3'
182 rapid amplification of cDNA ends (RACE). For 5' RACE analysis, poly(A)⁺ messenger
183 RNA was isolated from TPA-induced Bcbl-1 cells and treated with Calf Intestine
184 Alkaline Phosphatase (CIP) and Tobacco Acid Pyrophosphatase (TAP), respectively. A

185 45 base RNA adapter was adapted to the decapped mRNA population carrying a 5'-
186 monophosphate using T4 RNA ligase. The resulting mRNA population was used as the
187 template for an initial reverse transcription step with random primers. A nested PCR with
188 gene-specific primers (Fig. 1A) was performed to amplify the 5' end of the ORF11
189 transcript. For 3' RACE analysis, first cDNA strand was synthesized from poly(A)-
190 selected RNA using a 3' RACE adapter. The 3' end of the ORF11 transcript was then
191 amplified using the cDNA as template with a gene-specific primer (Fig. 1A) and a 3'
192 RACE primer complementary to the adapter. Both 3' and 5' RACE PCR products (Fig.
193 1B) were cloned into pDrive (Qiagen) cloning vector and were sequenced with T7
194 promoter primer. After sequencing of 5' and 3' RACE fragments, the transcription start
195 site of ORF11 gene was identified to be 160nt upstream of the translation initiation site
196 and designated as +1 (Fig. 1C); the transcription termination site of ORF11 gene was
197 identified to be 67nt downstream of translation termination TAG codon (Fig. 1D). Full-
198 length ORF11 transcript including 5' and 3' untranslated regions (UTR) was PCR
199 amplified and cloned into pBluescript II SK(+) phagemid. Sequencing of full-length
200 ORF11 transcript reveals that ORF11 transcript is not spliced and the coding sequence is
201 identical to genomic open reading frame (BC-1 position 15790-17013; Fig. 2).

202

203

204 ***Kinetics of the ORF11 mRNA expression***

205

206 To determine the expression kinetics of ORF11 we performed northern blot with Bcbl-1
207 cells. Total RNA was harvested at 5, 12, 24, 36, 48 and 72 hours post induction from

208 vehicle (ethanol) treated cells, or cells treated with 20ng/ml TPA. Poly(A)+ messenger
209 RNA was purified with Oligotex direct mRNA kit (QIAGEN). One microgram of
210 Poly(A)+ messenger RNA per sample was fractionated on a formaldehyde-agarose gel
211 and transferred to Hybond-N membrane (Amersham). Northern blot analysis was
212 performed with an ORF11 probe. After hybridization, ORF11 was observed in three
213 different transcripts, 1.5kb, 3.4kb and 5.9kb in size (Fig. 3A). The 1.5kb transcript, the
214 major transcript observed, is very close in size to the predicted ORF11 size of ORF11
215 transcript. Two minor transcripts, 3.4kb and 5.9kb in size, are very likely polycistronic
216 products. The presence of 1.5kb transcript at very low levels in vehicle (ethanol) treated
217 Bcbl-1 cells (Fig. 3A) is indicative of spontaneous lytic reactivation. After TPA
218 induction, the 1.5kb ORF11 major transcript was readily detected at 24 hours
219 postinduction, which is in accordance with previous published data(Nakamura *et al.*,
220 2003), indicating that the ORF11 gene is transcribed with delayed-early (DE) kinetics.
221 The signal peaked at 36 hours postinduction and remained high at 72 hours (Fig. 3B).
222 Northern blots with the vIL-6-specific probe and the K8.1-specific probe were included
223 (Fig.3B) to demonstrate KSHV genes transcribed with early (vIL-6) and late (K8.1)
224 kinetics(Sun *et al.*, 1999), respectively. Northern blot with GAPDH-specific probe in the
225 lower panel (Fig. 3B) was included to show equal loading of individual Poly(A)+ mRNA
226 samples. ORF11 transcripts were also observed to be very sensitive to 100ug/ml
227 cycloheximide (CHX) treatment, inhibitor of de novo protein synthesis, while 300ug/ml
228 PAA had no effects on ORF11 transcription, indicating ORF11 transcription is
229 independent of viral genome replication (L Chen, data not shown). ORF11 thus encodes a
230 lytic viral protein that is transcribed with delayed-early kinetics.

231

232

233 ***Determination of the ORF11 promoter activity***

234

235 The 5' flanking sequences upstream of ORF11 gene transcription start site (BC-1 position
236 15630) were analyzed to characterize promoter elements of ORF11. A putative TATA
237 box was identified 28nt upstream of ORF11 transcription start site. Several putative
238 transcription factor binding sites such as AP-1, Sp-1, Oct-1 and C-EBP were also
239 identified (Fig. 4). In order to access promoter activity of the 5' flanking region of
240 ORF11 gene, a set of pGL3-luciferase reporter plasmids were constructed by inserting
241 various lengths of fragments upstream of ORF11 translational initiating ATG codon (BC-
242 1 position 15790) into a promoter-less and enhancer-less pGL3-basic vector (Promega)
243 (Fig. 5A). The putative promoter-luciferase reporter plasmids pGL301739, pGL3-1111,
244 pGL3-808, pGL3-509, pGL3-209 and pGL3-21 were transiently transfected into
245 HEK293 cells. Putative promoter activity was accessed by firefly luciferase activity using
246 a dual luciferase reporter assay (Promega). As shown in Fig. 5B, maximal firefly
247 luciferase activity was observed 24 hours post transfection in pGL3-209 reporter plasmid
248 transfected HEK293 cells. In contrast, pGL3-1739, pGL3-1111, pGL3-808, pGL3-509
249 and pGL3-21 had firefly lucifrease activity 41.18%, 32.13%, 77.15%, 55.66% and
250 91.63% lower than that of pGL3-209, respectively. Interestingly, pGL3-808 had
251 relatively lower firefly luciferase activity than pGL3-1739, pGL3-1111, pGL3-509 and
252 pGL3-209, suggesting a potential inhibitory element in pGL3-808 that represses the
253 ORF11 promoter activity in HEK293 cells. To further map the core promoter region of

254 ORF11 gene, another set of pGL3-luciferase reporter plasmids were constructed (Fig.
255 6A) and transiently transfected into HSK293 cells. As shown in Fig. 6A, pGL3-159 was
256 sufficient for transcriptional activation of the ORF11 gene. In contrast, pGL3-139,
257 resulted from a further 20nt deletion at the 5' end, had firefly luciferase activity 91.9%
258 lower than that of pGL3-159, suggesting the 20nt deleted (BC-1 position 15471-15491) is
259 critical for ORF11 gene core promoter activity. These findings suggest that the 159nt
260 fragment 5' most proximal to transcription start site carries core promoter activity and is
261 critical for the transcription of the ORF11 gene.

262

263

264 ***A functional TATA box in the ORF11 gene promoter***

265

266 The 5' 159nt proximal to transcription start site was identified to be the ORF11 core
267 promoter. Computational analysis of the ORF11 core promoter sequence identified a
268 putative TATA box 28nt upstream of ORF11 transcription start site (Fig. 4). To
269 determine whether this putative TATA box contributes to the ORF11 promoter activity,
270 the putative TATA box was mutated or deleted using pGL3-209 as template, with
271 primers shown in table 1. As shown in Fig. 7, mutation of TATA box from TATATC to
272 TATGTCA (pGL3-209m) reduced firefly luciferase activity by 37.78%, whereas deletion
273 TATA box (pGL3-209d) reduced firefly luciferase activity by 82.58%, suggesting
274 ORF11 gene promoter contains a functional TATA box and this TATA box contributes
275 to ORF11 gene promoter activity.

276

277

278 ***Effects of TPA induction and Rta transactivation on ORF11 gene promoter activity***

279

280 Northern blot analysis of TPA treated Bcbl-1 cells showed that ORF11 transcript was
281 significantly induced to high level after TPA treatment (Fig. 3B) or after infection with a
282 recombinant adenovirus expressing KSHV Rta, a KSHV lytic transactivator. To
283 determine if there is KSHV Rta responsive elements (RRE) in the ORF11 promoter,
284 pGL3-lucifrease reporter plasmids carrying different truncations of the ORF11 promoter
285 region were co-transfected into HEK293 cells with various amount of pcDNA3.1-Rta
286 construct, or pcDNA3.1 empty vector as control. 24 hours after co-transfection, firefly
287 lucifrease activity was assessed. As representatively shown in Fig. 8A, pGL3-509-
288 luciferase reporter construct was not responsive to KSHV Rta lytic transactivation. Same
289 was observed in HEK293 cells co-transfected with pcDNA3.1-Rta and pGL3-1739,
290 pGL3-1111, pGL3-808, pGL3-209 or pGL3-159 reporter plasmid (L Chen, data not
291 shown), suggesting lack of RRE responsive elements in the ORF11 gene promoter. To
292 explore the mechanism of TPA induction of ORF11 gene transcription, pGL3-lucifrease
293 reporter plasmids carrying different truncations of the ORF11 promoter region were
294 transfected into HEK293 cells. Cells were treated with 20ng/ml TPA immediately after
295 transfection and firefly luciferase activity was assessed as described in materials and
296 methods. Cells transfected with pGL3-1739, pGL3-1111 or pGL3-808 luciferase reporter
297 constructs showed no response to TPA treatment (L Chen, data not shown). In contrast,
298 TPA treated cells transfected with pGL3-509 or pGL3-209 had significantly lower firefly
299 luciferase activity than vehicle (ethanol) treated cells transfected with corresponding

300 luciferase reporter plasmid. As representatively shown in Fig. 8B, in cells transfected
301 with pGL3-509, TPA treatment reduced firefly luciferase activity by 78.39%, whereas no
302 significant change was observed in pGL3-basic vector transfected HEK293 cells. In cells
303 transfected with pGL3-209, TPA treatment reduced firefly luciferase activity by 73%.
304 Interestingly, no significant difference was observed in pGL3-159 luciferase reporter
305 plasmid transfected cells (L Chen, data not shown), suggesting negative regulation of
306 promoter region (BC-1 position 15421-15471) by TPA. These findings suggest KSHV
307 ORF11 gene promoter is not directly regulated by Rta transactivator and possibly
308 negatively regulated by TPA.

309

310

311 **DISCUSSION**

312

313 Like all herpesviruses, KSHV has a latent life cycle and a lytic life cycle. During latent
314 replication, only a handful of viral genes are expressed, such as LANA, vFLIP, vCyclin
315 D, Kaposin and LANA2(Lubyova & Pitha, 2000; Rivas *et al.*, 2001; Staskus *et al.*, 1997).
316 Upon induction with chemicals such as TPA and sodium butyrate or expression of KSHV
317 Rta protein, a KSHV lytic transactivator, KSHV enters into lytic life cycle(Renne *et al.*,
318 1996; Sun *et al.*, 1998). During lytic replication, there is massive gene expression and
319 viral gene transcription occurs in a cascade fashion. Lytic genes are further subdivided
320 into three categories: immediate-early, early and late genes(Sarid *et al.*, 1998; Sun *et al.*,
321 1999). ORF11 is a viral lytic gene with unknown functions shared by some
322 gammaherpesviruses, including KSHV, Epstein-Barr virus, saimiriine herpesvirus 2,

323 ateline herpesvirus 3, alcelaphine herpesvirus 1, Macaca mulatta rhadinovirus, equid
324 herpesvirus 2 and murine herpesvirus 68 (Alba *et al.*, 2001). However, kinetics of ORF11
325 gene transcription is largely unknown. In this report, we first characterized ORF11 as an
326 early gene with delayed-early kinetics, as ORF11 gene transcription is sensitive to CHX
327 treatment but resistant to PPA treatment. Unlike other characterized early genes such as
328 viral IL-6 that is readily detected at 5 hours post induction, ORF11 transcripts are readily
329 detected at 24 hours post induction, suggesting a delayed-early kinetics. Both of vIL-6
330 and ORF11 transcripts peak at 36 hours post induction, whereas K8.1, a characterized
331 lytic gene with late kinetics, peaks at 72 hours post induction. These findings demonstrate
332 that ORF11 is a lytic viral gene with delayed-early kinetics.

333

334 Three ORF11 transcripts were observed in this study, 1.5kb, 3.4kb and 5.9kb in size,
335 respectively. The size of the 1.5kb transcript is very close to that of predicted ORF11
336 transcript. Transcription start site of the 1.5kb ORF11 transcript is determined to be
337 genomic location 15630 (BC-1 position), 160nt upstream of ORF11 translation initiating
338 ATG codon. Transcription termination site of the 1.5kb ORF11 transcript is determined
339 to be genomic location 17080 (BC-1 position), 67nt downstream of ORF11 translation
340 termination TAG codon. Sequencing of the 1.5kb transcript did not reveal any splicing
341 event. The 3.4kb and 5.9kb transcripts were detected in very low abundance by northern
342 blot analysis. They are very likely polycistronic transcription products. The nature of
343 these polycistronic transcripts needs to be further investigated. It is possible that other
344 ORF11 transcripts do exist and could not be detected in this study due to very low
345 abundance.

346

347 How ORF11 gene expression is regulated is still not clear. Characterization of the ORF11
348 gene promoter is critical for a better understanding of ORF11 transcription regulation. In
349 this study, we first identified a core promoter region, between genomic location 15471
350 and transcription start site, representing ORF11 promoter activity. Further deletion
351 analysis suggests that genomic region 15471-15491 (BC-1 position) is critical for ORF11
352 core promoter activity. Computational analysis of genomic region 15471-15491 reveals
353 three putative transcription factor binding sites: SRY, E2F and Oct-1(Grabe, 2002). It is
354 very likely that these transcription factors, alone or synergically, contribute to regulation
355 of ORF11 gene transcription. Some viral genes such as viral IL-6 are directly regulated
356 by KSHV Rta that binds to the Rta responsive element in the viral promoter and activates
357 gene expression (Deng *et al.*, 2007; Deng *et al.*, 2002; Song *et al.*, 2003). It is very likely
358 that no such Rta responsive element existing in the ORF11 gene promoter, as
359 cotransfection with Rta expression plasmid did not increase promoter activity. So it is
360 unlikely that Rta binds to the ORF11 gene promoter directly and activates gene
361 expression. However, we cannot rule out the possibility that a cellular factor required for
362 Rta activation is absent in HEK293 cells. It is possible that ORF11 is indirectly regulated
363 by Rta. For example, ORF11 is regulated by a cellular protein or a viral protein that is
364 regulated by Rta expression. In previous study, ORF11 deregulation was observed in
365 BJAB cells infected with vIL-6 deletion mutant(Chen & Lagunoff, 2007), suggesting
366 possibly a role of vIL-6 in regulating ORF11 gene transcription. The striking observation
367 that partial ORF11 gene promoter is repressed by phorbol esters suggests other
368 mechanisms such as potential viral *cis*-elements may be involved in the regulation of

369 ORF11 gene transcription, as the repression was only observed in genomic region 15421-
370 15471. Further characterization of potential *cis*-elements upstream or downstream of this
371 genomic region will be critical for further elucidation of ORF11 gene regulation.

372

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377

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493 **FIGURE LEGENDS**

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495 Table 1. Primers used for amplification of different promoter fragments upstream of
496 ORF11 translational initiating ATG codon, construction of luciferase reporter plasmids,
497 and for mutation or deletion of TATA box of ORF11 promoter.

498

499 Fig. 1. Delineation of the full length ORF11 transcript. A) Schematic representation of
500 ORF11 coding region (indicated by open bar), location of 5' adapter, 3' adapter
501 (indicated by dotted line) and RT-PCR primers (indicated by arrows) designed for 5' and
502 3' RACE. B) Agarose gel analysis of 5' and 3' RACE products. Lane 5RACE-1 and
503 5RACE-2 yielded products of 337 and 405 base pairs, respectively. Lane 3RACE-1 and
504 3RACE-2 yielded products of 215 and 363 base pairs, respectively. C) Sequence of 5'
505 UTR of the ORF11 1.5kb transcript. Translation initiating ATG codon is underlined. D)
506 Sequence of 3' UTR of the ORF11 1.5kb transcript. Stop codon TAG and
507 polyadenylation signal are underlined.

508

509 Fig. 2. Schematic representation of gene structure of ORF11. The transcription start site
510 at 15630 and TATA box at 15602 identified in this study are indicated. ORF11 coding
511 region is indicated by open bar.

512

513 Fig. 3. Expression kinetics of ORF11. Total RNA was harvested at 5, 12, 24, 36, 48 and
514 72 hours post induction from vehicle (ethanol) treated cells, or cells treated with 20ng/ml
515 TPA. Poly(A)⁺ messenger RNA was purified with Oligotex direct mRNA kit (QIAGEN).
516 One microgram of Poly(A)⁺ messenger RNA per sample was fractionated on a

517 formaldehyde-agarose gel and transferred to Hybond-N membrane (Amersham).
518 Northern blot analysis was performed with an ORF11-specific probe. A) Northern blot
519 analysis reveals three ORF11 transcripts, a major 1.5kb transcript and two minor
520 transcripts of 3.4kb and 5.9kb, respectively. B) North blot analysis with ORF11-specific
521 probe reveals kinetics of ORF11 expression (upper panel). Northern blot analysis with
522 vIL-6 probe and K8.1 probe to demonstrate expression kinetics of an early and a late
523 gene, respectively (Middle panel), Northern blot analysis with GAPDH probe to
524 demonstrate loading control (lower panel).

525

526 Fig. 4. Putative binding sites of transcription factors in the 5' flanking nucleotide
527 sequences of ORF11 gene. The transcription start site was determined by 5' RACE and
528 marked as +1 (15630nt in BC-1 position). Consensus motifs of putative binding sites of
529 transcription factors in the genomic region 15121nt and 15792nt (BC-1 position) were
530 analyzed, underlined and marked. Numbers indicate position upstream (-) or downstream
531 (+) of characterized transcription start site. A putative TATA box at -28nt upstream of
532 transcription start site and the translation initiating ATG codon are underlined.

533

534 Fig. 5. ORF11 gene promoter activity in HEK293 cells. A) A schematic representation of
535 different pGL3-luciferase reporter plasmids used to map ORF11 gene promoter activity.
536 The transcription start site is marked as +1. Numbers indicate position upstream (-) or
537 downstream (+) of characterized transcription start site. Constructs were named based on
538 the 5' end nucleotide position in relative to transcription start site. B) The above
539 luciferase reporter constructs were transiently transfected into HEK293 cells with Mirus

540 TransIT-293 transfection reagents in a six-well dish using 1ug of each reporter plasmid
541 and 2ng of pRL-SV40. Twenty-four hours post transfection, firefly luciferase and renilla
542 luciferase activity in each cell lysate was determined by a dual luciferase reporter assay
543 system. Firefly luciferase activity in each sample was normalized to that of renilla
544 luciferase activity. Average of fold activation of three independent experiments was
545 shown in this figure.

546

547 Fig. 6. ORF11 gene core promoter activity in HEK293 cells. A) Schematic
548 representation of ORF11 promoter construct pGL3-509 and various deletion truncates
549 used to map ORF11 core promoter activity. Putative binding sites of transcription factors
550 are indicated on the promoter segment. Transcription start site was marked as +1.
551 Numbers indicate position upstream (-) or downstream (+) of characterized transcription
552 start site. B) The above luciferase reporter constructs were transiently transfected into
553 HEK293 cells with Mirus TransIT-293 transfection reagents in a six-well dish using 1ug
554 of each reporter plasmid and 2ng of pRL-SV40. The promoter activity was determined
555 and calculated as described in Fig. 5.

556

557 Fig. 7. Effects of mutation or deletion of the putative TATA box on ORF11 promoter
558 activity. pGL3-209 was used as a template to mutate (pGL3-209m) or delete (pGL3-
559 209d) putative TATA box -28nt upstream of transcription start site using primers as
560 shown in table 1. The promoter activity was determined and calculated as described in
561 Fig. 5. Average of three independent experiments are shown in this figure.

562

563 Fig. 8. Effects of Rta or TPA induction on ORF11 promoter activity in HEK293 cells. A)
564 Effect of Rta expression on ORF11 promoter activity. 100ng of each luciferase reporter
565 construct and 2ng pRL-SV40 was co-transfected into HEK293 cells with various amount
566 of Rta expression plasmid, pcDNA3.1-Rta. Twenty-four hours post transfection,
567 promoter activity was determined as described in Fig. 5. All reporter constructs were
568 tested and only data from pGL3-509 were representatively shown in this figure. B) Effect
569 of TPA induction on ORF11 promoter activity. 1ug each luciferase reporter construct an
570 2ng pRL-SV40 were transfected into HEK293 cells. Immediately after transfection, cells
571 were treated with 20ng/ml TPA or vehicle (ethanol). Twenty-four hours post induction,
572 ORF11 promoter activity was determined as described in Fig. 5. Average of three
573 independent experiments are shown in this figure. All reporter constructs were tested and
574 only data from pGL3-509 were representatively shown in this figure.

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Table 1

Primer ^a	Primer sequence
Primers used for the construction of pGL3 reporter plasmids	
PF-21	5'-AG <u>GGTAC</u> CCAC CCCGGTAAGGCA-3'
PF-59	5'-AG <u>GGTAC</u> CGGACACAATA GTGGG-3'
PF-89	5'-AG <u>GGTAC</u> CCCCCACAGACA CATCCT-3'
PF-139	5'-AG <u>GGTAC</u> CTACTCCTTCC GGGCAA-3'
PF-159	5'-AG <u>GGTAC</u> CGTGAAACAAA GTTGT-3'
PF-209	5'-AG <u>GGTAC</u> CGCATGGTCCAACGCC-3'
PF-509	5'-AG <u>GGTAC</u> CGTGTGACAACGTGGAA-3'
PF-808	5'-AG <u>GGTAC</u> CCATCGGCATTGGTA-3'
PF-1111	5'-AG <u>GGTAC</u> CCATGCAGACAGAGGGCAAC-3'
PR	5'-TG <u>CTCGAG</u> GAATCCATGTGCTGGACAGTCACG -3'
Primers used for mutation analysis ^b	
mF-209	5'-GG CAATGGCTTG CTAT <u>GT</u> CCAC CCCGGTAAGG CAGCCAGCC -3'
mR-209	5'-GGCTGGCTG CCTTACCGGGGTGG <u>A</u> TAGCAAGCCATTGCC -3'
Primers used for deletion analysis ^b	
dF-209	5'-GGGC <u>GT</u> GG CAATGGCTTG C*TCCAC CCCGGTAAGG CAGCC -3'
dR-209	5'-GGCTG CCTTACCGGGGTGG <u>A</u> *GCAAGCCATTGCC ACGCCC-3'

a PF: Forward primer; PR: Reverse primer; The number indicates the start number of oligonucleotide upstream of the transcription start site of ORF11 gene. The underlined nucleotides are the restriction enzyme sites.

b The nucleotides underlined in primer mF-209 is the mutated TATA box from TATATC to TATGTC; The * in primer dF-209 indicates the deletion position of TATA box. Primer mR-209 and dR-209 are reverse complementary sequence of primer mF-209 and dF-209.

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Fig. 1A

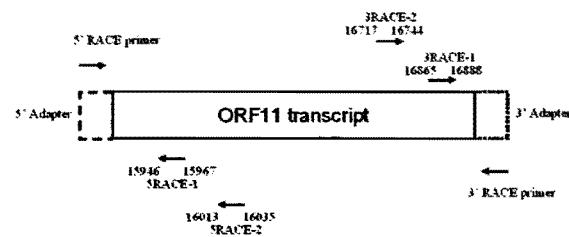


Fig. 1C

15630
AGGCACCATACAGCTTCTACGGCTGCAAGGAAGAGAGCT
GGCACGTGGGGCTTCCAGATCAAACACGGACCTGGAG
GGGTCTGTACACCACCTTGCCACGTAGCGATTAGGGCGA
CCGCCACGAGGAACCCATGCAATCGTACTGTCCGAGCA
CATATG
15792

Fig. 1B

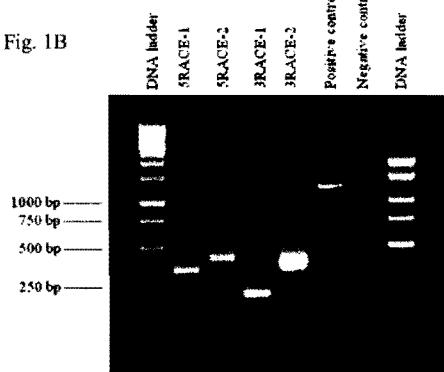
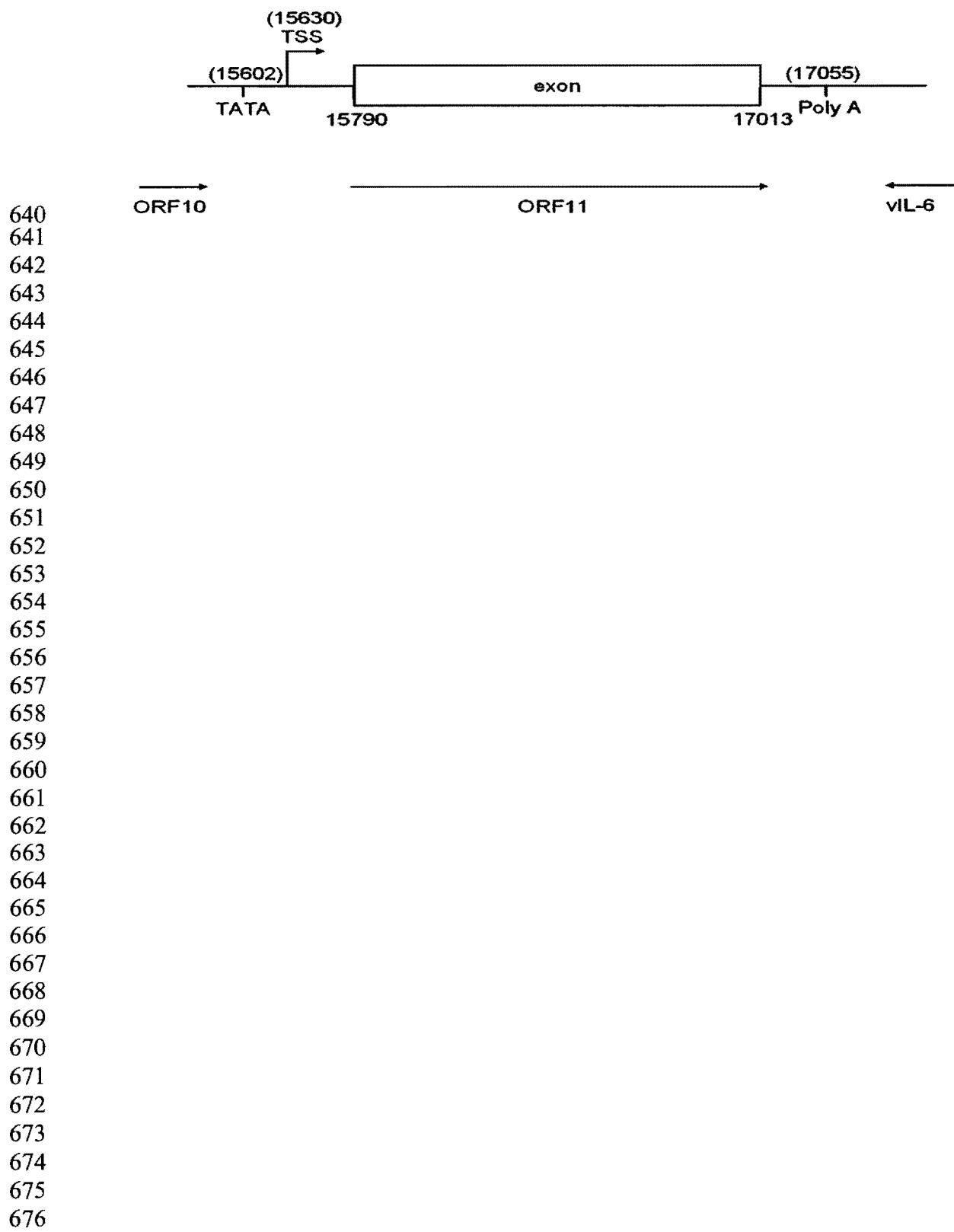


Fig. 1D

17011
TAGGTGTCCGGTCCCACCCACACATTGTCTTATTGCTT
stop codon
CAAATAAAACGGTGTCTCTAACCTCC
polyadenylation signal
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Fig. 2



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Fig. 3A

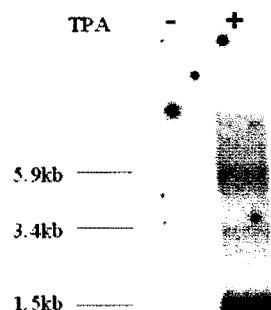
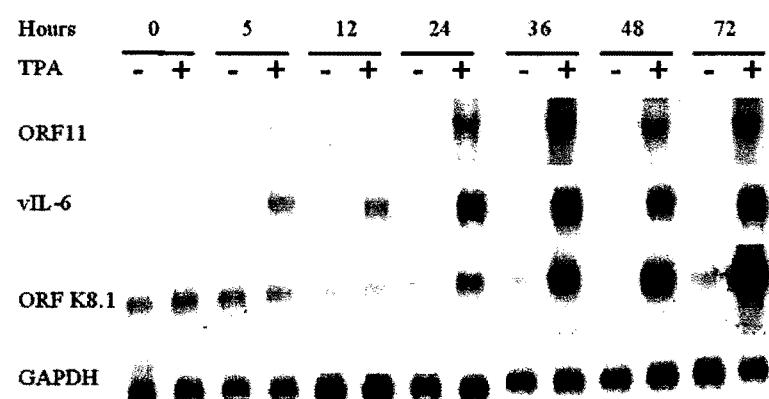


Fig. 3B



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Fig. 4

-509 GTGTGACAAC GTGGAAGGTG ACCCGAGCA ATTGACACCC AAGTACTTGA
C/EBP
-459 CGTTCACGCA GACGGGAGAA AGACTTTGCA AAGTAACCGT TTACAACACC
Oct-1
-409 CATTGACAG CATGCAAGAA GGCCCGTGT CGTTCGTCT ACAGACCGAC
-359 GCCGTCCGCC CGTCAGCTTG TCATGGGTCA GGCTTCACCC CTCATAACAA
AP1 Sp1
-309 CCCCTCTGGG AGCCAGGGTA TTTCGAGTCT ATCCAGACTG TGAGAAA
-259 ATCCCACCTC AGGAAACCAC CACCCCTGAGG ATTCAATTGC TGTTGAGCA
-209 GCATGGTGCC AACGCCGGAG ACTGCGCCTT TGTCATCATG GGGCTCGCCC
Sp1
-159 GTGAAACAAA GTTTGTCTCA TTTCCCGCAG TACTCCTTCC GGGCAAGCAC
Oct-1
-109 GAACACCTTA TTGTATTCAA CCCACAGACA CATCCTCTGA CCATTCAACG
-59 GGACACAATA GTGGGCGTGG CAATGGCTTG CTATATCCAC CCCGGTAAGG
TATA
-9 CAGCCAGCCA GGCACCCATAC AGCTTCTACG ACTGCAAGGA AGAGAGCTGG
+1 CACGTGGGGC TCTTCCAGAT CAAACGCGGA CCGGGAGGGG TCTGTACACC
+42 ACCTTGCCAC GTAGCGATT A GGGCCGACCG CCACGAGGAA CCCATGCAAT
+92 +142 CGTGA
CTGACTGTC CGAGCACATA TG

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Fig. 5A

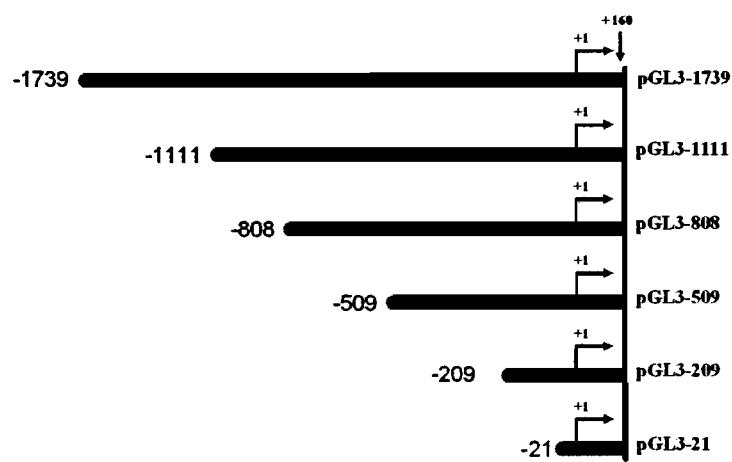
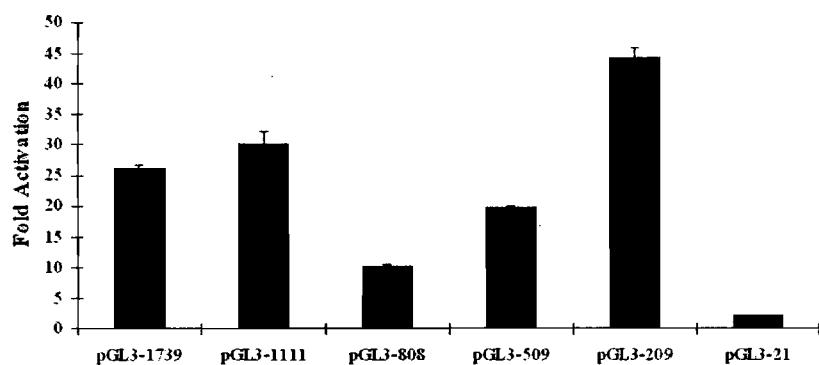


Fig. 5B



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Fig. 6A

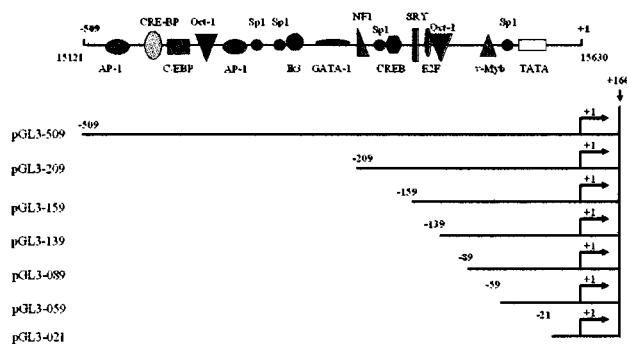
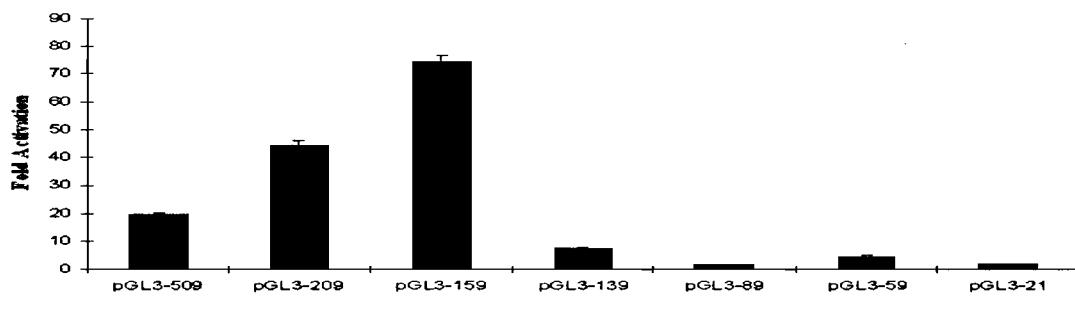
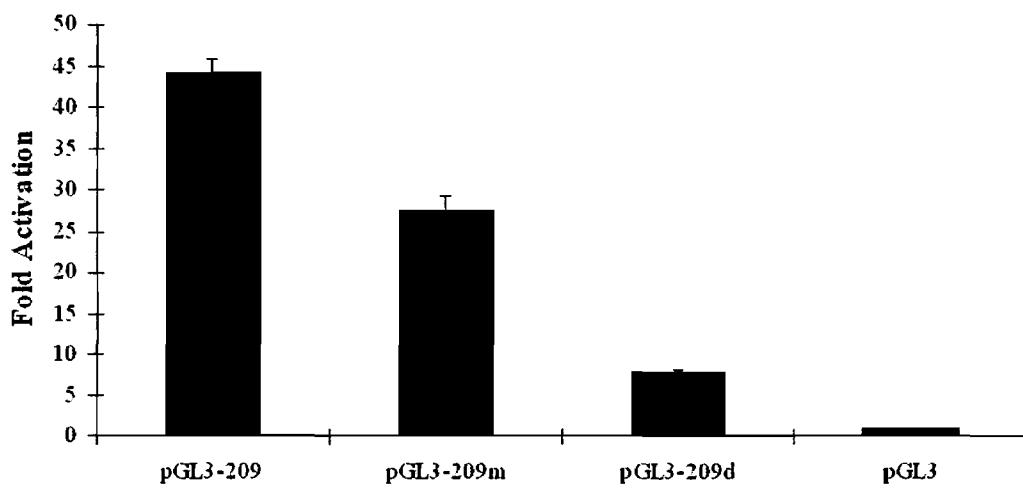


Fig. 6B



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Fig. 7



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Fig. 8A

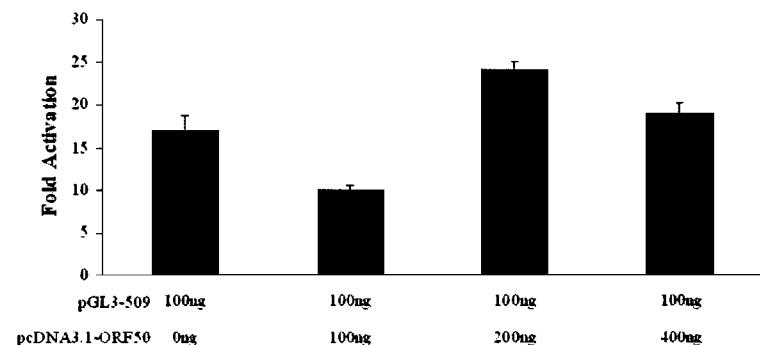
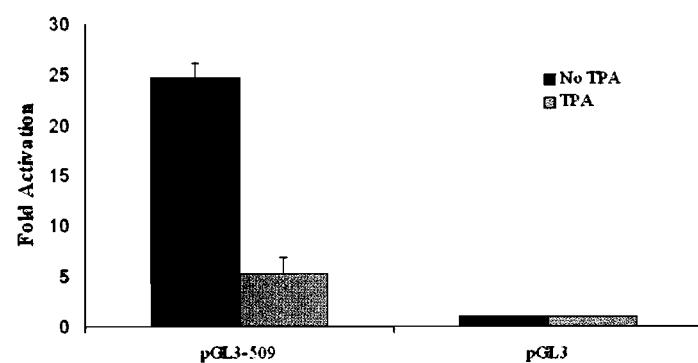


Fig. 8B



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