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<i>Title:</i>	Simulated in situ soil carbon measurements using laser-induced breakdown spectroscopy (LIBS)
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1   **Simulated *in situ* soil carbon measurements using laser-induced breakdown**  
2   **spectroscopy (LIBS).**

3

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12 **Abstract**

13       Laser-induced Breakdown Spectroscopy (LIBS) is an emerging technology that has the  
14    potential to provide rapid, accurate and precise elemental analysis of soil constituents, such as  
15    carbon, *in situ* across landscapes. In this study, we evaluated the accuracy of simulated *in situ*  
16    LIBS proximal sensing for measuring soil profile carbon. We interrogated 78 intact soil cores  
17   (3.8 cm x 50 cm) from three north central Montana, USA wheat fields with four soil samples  
18   from each core analyzed for soil total carbon (TC), inorganic carbon (IC), and soil organic  
19   carbon (SOC). Partial least squares (PLSR) calibration models were built using 58 cores (227  
20   samples) and independently validated with the remaining 20 cores (79 samples). We obtained  
21   the best LIBS predictions for total carbon ( $r^2 = 0.68$ , SEP = 5.8 g kg<sup>-1</sup>, RPD = 1.6 g kg<sup>-1</sup>)  
22   followed by inorganic carbon (IC) ( $r^2 = 0.60$ , SEP = 5.8 g kg<sup>-1</sup>, RPD = 1.5 g kg<sup>-1</sup>) and SOC ( $r^2$   
23   = 0.19, RPD=1.0 g kg<sup>-1</sup>, SEP = 3.4 g kg<sup>-1</sup>). Soil organic carbon  $r^2$ , RPD, as expected, were lower  
24   than those for total and inorganic C; however SEP was also lower. These findings were due, in  
25   part, to 1) the narrow LIBS spectral range that did not capture elements related to SOC (i.e. O, H,  
26   and N), and 2) low SOC variability ( $\sigma = 3.47$  g kg<sup>-1</sup>), with laboratory reference measurement  
27   error (SEL = 1.37 g kg<sup>-1</sup>) estimated at ~ 40% of  $\sigma_{SOC}$ . Partial least squares regression  
28   coefficients suggested stoichiometric relationships between C (247.8 nm) and other elements  
29   related to total and inorganic carbon [Mg (279.55-280.4 nm, 285.26 nm) and Si (251.6 nm, 288.1  
30   nm)]. These results show great promise for deploying LIBS for *in situ* soil carbon determination.  
31   To our knowledge, this is the first rigorous independent validation of LIBS predictions reported  
32   for a significant number of intact soil cores.

33

34   **Keywords:** proximal soil sensing, laser-induced breakdown spectroscopy, soil matrix effects,  
35   partial least squares regression, soil carbon

36 **1. Introduction**

37 There is growing need for rapid, accurate, and inexpensive methods to measure and  
38 verify soil organic carbon (SOC) sequestration for national greenhouse gas accounting and the  
39 development of a soil carbon trading market (Council, 1999; Gehl and Rice, 2007). In particular,  
40 techniques for the rapid measurement of SOC *in situ* are required (Christy, 2008; Gehl and Rice,  
41 2007). Laser-induced breakdown spectroscopy (LIBS) is an emerging spectroscopic technique  
42 for rapid quantification of soil carbon and other soil constituents (Cremers et al., 2001; Ebinger  
43 et al., 2003; Martin et al., 2007; Martin et al., 2003; Martin et al., 2004). Moreover, the LIBS  
44 instrument is capable of being mounted in a soil penetrometer (Mosier-Boss et al., 2002) which  
45 could be deployed for rapid soil profile characterization and mapping at field and landscape  
46 scales.

47 Laser-induced breakdown spectroscopy (LIBS) is based on atomic emission spectroscopy  
48 and involves directing a focused Nd:YAG laser onto the surface of a target material (Radziemski  
49 and Cremers, 1989). The focused laser ablates a small amount of surface material producing  
50 expanding plasma containing electronically excited ions, atoms, and small molecules. As these  
51 excited species relax to lower electronic states they emit light at wavelengths indicative of the  
52 elemental composition of the ablated sample. Some of the emission is captured by a fiber optic  
53 cable and directed into a dispersive spectrometer and charge coupled detector (CCD) (Clegg et  
54 al., in press; Cremers et al., 2001; Ebinger et al., 2003; Martin et al., 2003; Radziemski and  
55 Cremers, 1989; Thompson et al., 2006). The resulting data are spectrally rich with distinct  
56 emission lines for most atoms and ions present in the ablated material.

57 Univariate calibration of LIBS spectra are generally complicated by the chemical matrix  
58 effects. Chemical matrix effects have been defined as chemical properties of the interrogated  
59 sample that impact the relationship between emission line intensity or area and the element in the

60 sample responsible for producing that line (Cremers and Radziemski, 2006; Eppler et al., 1996;  
61 Gornushkin et al., 2002; Häkkänen and Korppi-Tommola, 1998). More specifically, matrix  
62 effects are related to elemental and molecular composition of the sample, plasma composition,  
63 within plasma interactions, and laser-sample coupling efficiency. Previously published studies  
64 have attempted to compensate for these matrix effects and increase predictive accuracy using  
65 several approaches. For example, peak height or peak area of standards with known composition  
66 have been used to calibrate models; normalization of LIBS spectra to total emission intensity;  
67 normalization of peak height or area to another spectral feature; employing a plasma physics  
68 model without the use of calibration curves (i.e. calibration-free LIBS); using multiple  
69 interrogations per sample, and spectral averaging for calibration and and/or validation (Clegg et  
70 al., in press; Cremers and Radziemski, 2006; Ebinger et al., 2003; Martin et al., 2007; Martin et  
71 al., 2003; Salle et al., 2006; Thompson et al., 2006; Yaroshchyk et al., 2006).

72 With proper calibration, LIBS produces a precise and selective method for measuring  
73 metal ions such as Pb, Be, Cr, and Sr in paint and soils (Sirven et al., 2006; Yamamoto et al.,  
74 1996), nitrogen, Pb, and Ba in sand (Eppler et al., 1996; Harris et al., 2004), and Cu, Zn, and As  
75 in wood preservatives (Martin et al., 2005). Though there have been relatively few applications  
76 of LIBS for soil carbon determination, published calibrations show LIBS spectra to be well  
77 correlated with standard dry combustion measurements of soil carbon with reported  $r^2$  values of  
78 0.56 to 0.99 (Cremers et al., 2001; Ebinger et al., 2003; Martin et al., 2007; Martin et al., 2003).  
79 This study is the first known attempt to differentiate inorganic soil C from SOC for soil samples  
80 without pre-treatments

81 There has been little independent validation of published LIBS calibrations on a large  
82 number of soil samples. Cremers et al. (2001) used a subset of 12 Colorado agricultural soil  
83 samples from conventionally tilled farms to calibrate a LIBS model ( $r^2 = 0.96$ ) and verified the

84 model with a different subset (N=8) of the same Colorado soils as well as soils from Los  
85 Alamos, NM (N=10) that formed in different parent materials. Ebinger et al.(2003) used 6  
86 randomly chosen soil samples from a dataset of 18 samples collected from three Colorado farm  
87 fields to calibrate a model ( $r^2 = 0.99$ ) then used the model to predict the remaining 12 samples ( $r^2$   
88 = 0.95). It is not yet standard practice in LIBS spectroscopy to ‘hold-out’ independent samples  
89 for validation (Martin et al., 2007; Martin et al., 2003; Martin et al., 2004). While published  
90 research shows the potential of LIBS for SOC determination, further work is required with larger  
91 sample sets and more rigorous model validation.

92 The soil samples employed in these published studies were also pre-treated prior to LIBS  
93 interrogation. Pre-treatments included: air-drying, sieving and packing in quartz tubes (Cremers  
94 et al., 2001); pelletizing under pressure (Martin et al., 2007; Martin et al., 2004); and treating  
95 with acid to remove carbonates, pelletizing in a tube, and air-drying (Martin et al., 2003).  
96 Though LIBS has been proposed as an *in situ* SOC measurement tool (Gehl and Rice, 2007), it  
97 remains to be demonstrated that *in situ* results will match those obtained with prepared samples.

98 In this study we evaluated the accuracy of simulated *in situ* LIBS proximal sensing for  
99 soil profile carbon measurement. We employed various methods and tools for LIBS evaluation  
100 including 1) model calibration with large numbers of samples, 2) partial least squares regression  
101 (PLSR) modeling of spectrally averaged LIBS interrogation points, 3) independent validation of  
102 soil total, inorganic and organic carbon PLSR models, and 4) examination of PLSR regression  
103 coefficients.

104 **2. Material and Methods**

105 **2.1 Study Area**

106 We chose the “Golden Triangle” region of north central Montana, USA as our research  
107 study area. This region is characterized by soils formed in glacial till on gently rolling

108 topography. Soils were not highly weathered and were typically calcareous within 0.5 m of the  
109 surface. Aridic intergrades of frigid, ustic, Mollisols, Entisols, and Inceptisols predominated.  
110 Cropping systems in the study area were generally reduced tillage small grain-fallow rotations  
111 with a significant acreage managed by direct-seeding or no-till. All three sampling sites had a  
112 general cropping history of cultivation beginning in the 1920's progressing to wheat-fallow  
113 rotations with multiple tillage operations per year and finally conversion to a direct-seeded  
114 wheat-fallow rotation between 2004 and 2005.

115 **2.2 Soil Sampling**

116 The selection of soil coring locations was based upon surface soil Visible and Near-  
117 Infrared (VisNIR) reflectance acquired for a parallel study focused on that technology. In 2006,  
118 78 intact cores were obtained from three 16.2 ha sub-fields in north central Montana with  
119 locations show in Figure 1. Intact, 4.45 cm diameter by 50 cm deep soil cores were extracted  
120 using a truck-mounted hydraulic soil sampling tube fitted with removable plastic sleeves  
121 (Giddings Machine Co., Windsor, CO). The field-moist intact cores were transported back to the  
122 laboratory and stored under refrigeration prior to interrogation.

123 **2.3 Core Interrogation and PLSR Analysis**

124 We interrogated intact soil cores to simulate *in situ* soil characterization following the  
125 general protocol of Waiser et al. (2007). Each field moist core was interrogated at 8 depths  
126 through ~ 3 x 3 cm windows cut in the plastic core sleeve (Fig. 2). A prototype LANL LIBS  
127 Core Scanning (LIBS-CS) instrument was used to probe the samples in an argon purged  
128 environment at 2.5, 7.5, 12.5, 17.5, 22.5, 27.5, 35, and 45 cm (+/- 1.5 cm) along each intact soil  
129 core with 9 interrogation spots per depth. The LIBS-CS instrument employed a Big Sky Laser  
130 operating at 10 Hz at approximately 80mJ/pulse. The LIBS spectra were collected with an  
131 optical fiber and directed into an Ocean Optics HR2000 spectrometer (200-300 nm, 0.1 nm

132 spectral resolution). These spectrometers are readout noise limited and signal-to-noise ratios  
133 improve if emissions from multiple laser shots are used (Clegg et al., in press). For this  
134 experiment, we set the spectrometers to a 1 second integration time with 5 averages so that each  
135 spectrum represented 50 laser shots. An argon purge was used to reduce the plasma  
136 interferences from oxygen and water vapor. A similar argon purge would be operationally  
137 feasible for an *in situ* application, a LIBS instrument mounted in a soil penetrometer for  
138 example, given the small gas volume needed to purge a 200  $\mu\text{m}$  interrogation point along a soil  
139 profile. The LIBS data were normalized prior to spectral model building by dividing each  
140 wavelength value by the sum of all wavelength values for each spectrum as detailed by  
141 Thompson et al. (2006) and Clegg et al. (in press) and then averaged by depth. Normalization  
142 reduces the shot-to-shot variability in LIBS data that has been attributed to soil and chemical  
143 matrix effects (Mosier-Boss et al., 2002).

144 Small subsamples of soil ( $\sim 4$  g) were taken from all interrogation depths for standard  
145 carbon analysis. Using VisNIR spectra for each core, acquired concurrently with LIBS  
146 interrogations, samples from the 8 interrogation depths were clustered into 4 spectrally similar  
147 groups using Partitioning Around Mediods (Kaufman and Rousseeuw, 1990). One interrogation  
148 depth was randomly chosen from each group (78 cores  $\times$  4 samples per core = 312 possible) for  
149 standard soil carbon analysis using procedures described in Bricklemeyer et al. (2005). Total  
150 carbon was measured by dry combustion using a LECO TruSpec (LECO Corp., St. Joseph, MI,  
151 USA). Inorganic carbon was measured by modified pressure calcimeter method as developed by  
152 Sherrod et al. (2002). Soil organic carbon (SOC) was calculated by difference:  $\text{SOC} = \text{TC-IC}$   
153 where TC = total carbon and IC = soil inorganic carbon. Standard carbon measurements were

154 used for LIBS calibration and validation. The final dataset included 306 samples from 78 cores  
155 due to incomplete LIBS spectra for samples (Table 1).

156 We randomly selected 58 cores (227 soil samples) to construct LIBS partial least squares  
157 regression (PLSR) calibrations for TC, IC and SOC using the R statistical software package  
158 “pls” {R, 2008 #19; Wehrens, 2007 #121}. While most previous studies have used carbon peak  
159 intensities at either 247.8 nm or 193 nm and univariate statistics to calibrate, recently published  
160 results suggest that multivariate statistical approaches such as partial least squares (PLS)  
161 regression can yield markedly better calibrations (Clegg et al., in press; Martin et al., 2007). We  
162 independently validated these calibrations with the remaining 20 cores (79 samples). With cores  
163 from only three fields, we were not able to construct a regional calibration with whole-field  
164 cross-validation, so results of this study indicate what is possible with local within-field  
165 calibration (Brown et al., 2005).

166 To quantify the effects of fine scale (e.g. mm) soil variability and estimate the minimum  
167 number of focused LIBS interrogations required to characterize a 1-2 cm diameter heterogeneous  
168 soil material, we randomly selected and averaged 1, 2, 3, 5 and 7 interrogations from the 9  
169 acquired at each depth. The previously described processing, modeling and validation  
170 procedures were then repeated for each of these “reduced” LIBS interrogation scenarios.

171 The quality of PLSR model fit was evaluated using regression of PLSR predicted vs.  
172 reference measurement, squared bias (SB), non-unity of the regression line (NU), and lack of  
173 correlation (LC) where mean squared deviation (MSD) = SB+NU+LC (Brown et al., 2005;  
174 Gauch et al., 2003). Standard chemometric modeling statistics were calculated for each model,  
175 including validation standard error of prediction (SEP) and residual product differential (RPD)  
176 (Islam et al., 2003). Standard error of laboratory measurement (SEL) was estimated using

177 replicate TC and IC laboratory measurements as described by Workman (2001) and SOC SEL  
178 was calculated using propagation of error estimation following Andraos (1996).

179

180 **3. Results**

181 Summary statistics for TC, IC, and SOC are presented in Table 1. For the samples in this  
182 study, TC values did not exceed  $57 \text{ g kg}^{-1}$ , IC values were less than  $46 \text{ g kg}^{-1}$ , and SOC values  
183 never exceeded  $20 \text{ g kg}^{-1}$ . Concentrations of IC were most variable in the dataset ( $\sigma = 10.18$ , CV  
184 = 107%) follow by TC and SOC ( $\sigma = 9.53$  and 3.47; CV = 59.6% and 44.4%, respectively). The  
185 SEL for reference measurements were estimated at 0.90, 1.03, and  $1.37 \text{ g kg}^{-1}$  for TC, IC, and  
186 SOC, respectively. Reference SOC was least variable where  $\sigma_{\text{SOC}}$  was just 2.5 times SEL<sub>SOC</sub>.  
187 Variability in IC was a function of pedogenesis where IC was not present or present in low  
188 concentrations in A horizons (top 20 cm) and increased sharply in B horizons, the majority of  
189 which occurred below 20 cm. The sharp boundary between the A and B horizons are evident in  
190 Figure 2. Variability in SOC was substantially less than IC with highest concentrations of SOC  
191 occurring in A horizons and diminished with depth.

192 Full-cross validated calibration model statistics are presented in Table 2. Calibration  
193 models for TC and IC achieved quantitative accuracy (RPD=2.1 and 2.3,  $r^2 = 0.76$  and 0.81,  
194 respectively); whereas, semi-quantitative results were achieved for SOC (RPD = 1.5,  $r^2 = 0.55$ ).  
195 Calibration SEP values were  $5.1 \text{ g TC kg}^{-1}$  soil,  $4.3 \text{ g IC kg}^{-1}$  soil, and  $2.5 \text{ g SOC kg}^{-1}$  soil.

196 Applying PLSR to LIBS spectra resulted in three distinct calibration models. Regression  
197 coefficients from TC, IC, and SOC PLSR calibration models are presented in Figure 3. We  
198 found that although TC and IC were strongly related (Fig. 4), the importance of specific  
199 elemental emissions in PLSR models were markedly different (Fig. 3). For example, the major  
200 C emission at 247.8 nm was an important predictor for TC and SOC (relatively), but was not

201 important for IC. Additionally, several Mg emissions were useful in predicting IC and TC, but,  
202 as expected, not for predicting SOC, and Si was important for IC but not TC and SOC. Results  
203 suggest that PLSR found meaningful stoichiometric relationships between elements related to  
204 various naturally occurring forms of carbon in the soil matrix.

205 For the hold-out validation dataset, the LIBS-CS instrument best predicted total carbon  
206 ( $r^2 = 0.68$ , RPD = 1.6; Table 3, Fig. 5). Inorganic carbon predictions were nearly as accurate ( $r^2$   
207 = 0.60, RPD = 1.5; Table 3, Fig. 5). Predicting SOC appeared unacceptable, as expected ( $r^2$   
208 = 0.19, RPD = 1.0; Table 3, Fig. 5); however,  $r^2$  and RPD will both increase with greater  
209 variability in the target variable, given a fixed prediction error. Validation SEP values were 5.8  
210 g TC kg<sup>-1</sup> soil, 5.8 g IC kg<sup>-1</sup> soil, and 3.4 g SOC kg<sup>-1</sup> soil which were greater than the respective  
211 SEL, and lower than standard deviation ( $\sigma$ ) of measured TC and IC. Validation SEP and  $\sigma$  were  
212 equivalent for SOC and approached SEL<sub>SOC</sub> (1.37 g kg<sup>-1</sup>). Partitioning MSD into three  
213 components, we found the LIBS TC model had low bias (SB=3.3%) and non-unity (NU=5.6%)  
214 (Table 3). The LIBS IC model also had low bias (SB=0.1%); however, non-unity was much  
215 greater (NU=19.2%). Bias remained low for the LIBS SOC model (SB=3.7%), but non-unity  
216 greatly increased (NU=46.5%; Table 3, Fig. 5). Lack of correlation accounted for the majority  
217 of MSD for the LIBS TC and IC models (91.1% and 80.7%, respectively) and nearly half of  
218 MSD for the SOC model (49.8%) (Table 3). All RPD values were less than 2.0, which is  
219 commonly considered the division between quantitative (RPD $\geq$ 2) and semi-quantitative (RPD <  
220 2) calibrations (Islam et al., 2003).

221 Figure 6 shows the effect of spectrally averaging multiple LIBS interrogation points per  
222 sample on TC, IC, and SOC predictions. Spectrally averaging up to 9 interrogation points  
223 decreased TC SEP by 18.5% and IC SEP by 8.0%; however SEP was unchanged for SOC.  
224 Values for SEP ranged from 5.8 – 7.1 g C kg<sup>-1</sup> soil for TC, 5.5 – 6.4 g C kg<sup>-1</sup> soil for IC and 3.4 –

225 3.7 g C kg<sup>-1</sup> soil for SOC. Similarly, TC and IC RPD values increased by 23.1% and 7%,  
226 respectively, whereas RPD was unchanged for SOC. Values for RPD ranged from 1.3 – 1.6 for  
227 total C, 1.4 – 1.6 for inorganic C, and 0.9 – 1.0 for soil organic C. No appreciable accuracy  
228 improvement was observed for spectrally averaging more than 5 LIBS interrogation points per  
229 sample (Fig.7).

#### 230 **4. Discussion**

231 The LIBS validation accuracies reported here for TC, IC, and SOC failed to match results  
232 from previously referenced studies. We attribute part of the reduction in accuracy to evaluating  
233 PLSR models with validation cores (Brown et al., 2005). Calibration model accuracies achieved  
234 quantitative (RPD > 2) levels for TC and IC, and semi-quantitative (RPD > 1.5) results for SOC;  
235 however testing these models with independent validation samples noticeably degraded  
236 predictive accuracy. Semi-quantitative status was barely achieved for TC and IC; whereas SOC  
237 was not predicted with useful accuracy. These findings underscore the importance of  
238 independent validation for accurate reporting of predictive accuracy for LIBS and other proximal  
239 sensing methods.

240 Fine-scale (i.e. sub-cm) variability in carbon content was lower than anticipated. Our  
241 results from spectrally averaging 1 – 9 interrogation spots suggest that no more than 5  
242 interrogations per sample are required for representative *in situ* measurements of small  
243 interrogation volumes (~3 cm<sup>3</sup>). This finding also implies that interrogation areas were relatively  
244 homogenous with respect carbon content. Each LIBS interrogation was a spectral average of 50  
245 laser shots and probed volume of ~ 8x10<sup>-5</sup> cm<sup>3</sup>. Averaging 5 interrogation points equated to  
246 ~0.02% of the total interrogation volume, a very small proportion; however it is common for a  
247 500+ g field soil sample to be representatively subsampled and then 0.02 – 0.2 g (0.004 – 0.04%  
248 of total sample) analyzed for C by dry combustion. Five LIBS interrogations may have been

249 representative of the interrogation volume; however, how representative that volume was of the  
250 associated core increment has yet to be determined and will be examined in further analysis of  
251 core sections.

252 It appeared that our PLSR models identified stoichiometric relationships between carbon  
253 and elements associated with carbon within the soil matrix. Regression coefficients important to  
254 total C included the strong carbon emission line at 247.8 nm, a silicon emission line at 251.6 nm,  
255 and several Mg emission lines (no strong Ca emissions found between 200 -300 nm). Total soil  
256 carbon, as measured by dry combustion, includes carbon associated with organic compounds as  
257 well as inorganic Ca and Mg carbonates ( $\text{CaCO}_3$  and  $\text{MgCO}_3$ ). The Mg emissions were also  
258 strong predictors for IC; however, PLSR did not find an important relationship between the  
259 247.8 nm C emission and IC. Instead, a silicon emission at 251.6 nm was strikingly more  
260 important for predicting IC compared to TC. The importance of the Si emission was attributed  
261 to chemical matrix effects associated with the LIBS method for no stoichiometric relationship  
262 exists between IC and Si.

263 The relatively narrow spectral range (200-300 nm) of LIBS emissions recorded with the  
264 Ocean Optics HR2000 spectrometer also contributed to less precise MVA calibration and  
265 validation results. Prior to using PLSR, univariate techniques were used to relate sample carbon  
266 content to peak height (or area) of the carbon emission at 247.8 nm, justifying using the 200 –  
267 300 nm spectral range. As discussed above, PLSR found relationships between C and other  
268 elements associated with soil C. The narrow spectral range did not capture emissions from  
269 several other elements associated with soil inorganic C such as Ca (315.89, 317.93, and 534.95  
270 nm), and organic C such as H (656.27 and 656.29 nm), N (742.3, 744.2, and 746.8nm) and O  
271 (777.4nm) that occur at wavelengths greater than 300 nm. Consequently, correlations between C  
272 and elements associated with SOC could not be generated with a PLSR model and likely reduced

273 the predictive accuracy for SOC. Clegg et al.(in press) observed that the best calibration models  
274 for predicting elemental composition of igneous rocks were generated when the entire LIBS  
275 spectrum (200 – 900 nm) was used in PLSR. We anticipate PLSR predictive accuracies for both  
276 inorganic and organic carbon will improve when including the entire LIBS spectrum in future  
277 soil carbon measurements.

278 Finally, we attribute the remaining accuracy reduction to challenges surrounding *in situ*  
279 interrogations of intact cores rather than pressed or prepared samples. Prepared samples have the  
280 primary benefit of providing a smooth soil surface for interrogation compared to undisturbed and  
281 uneven intact soil core surfaces. Uneven surfaces can cause the distance from the laser focal  
282 point to the sample to vary among and within interrogation areas. Naturally occurring fractures  
283 and macropores also tend to obstruct the collection optics from observing the plasma emission.  
284 Normalization was used to partially compensate for these fluctuations.

285 **4. Conclusions**

286 To the best of our knowledge, this study represents the first rigorous validation of LIBS  
287 calibrations using a significant number (78) of intact soil cores without pre-treatment. Using  
288 LIBS with a spectral range of 200-300 nm and employing partial least squares regression (PLSR)  
289 modeling, we achieved semi-quantitative validation accuracies for total carbon (TC) ( $r^2 = 0.68$ ,  
290  $RPD = 1.6$ ,  $SEP = 5.8 \text{ g kg}^{-1}$ ,  $SEL = 0.9 \text{ g kg}^{-1}$ ) and inorganic carbon (IC) ( $r^2 = 0.60$ ,  $RPD = 1.5$ ,  
291  $SEP = 5.8 \text{ g kg}^{-1}$ ,  $SEL = 1.03 \text{ g kg}^{-1}$ ). Soil organic carbon (SOC) predictions appeared  
292 unacceptable ( $r^2 = 0.19$ ,  $RPD = 1.0$ ,  $SEP = 3.4 \text{ g kg}^{-1}$ ); however the low validation  $r^2$  and RPD  
293 values were primarily due to 1) the incomplete LIBS spectral record covering 200-300 nm, and  
294 2) low SOC variability ( $\sigma = 3.47 \text{ g kg}^{-1}$ ) with laboratory reference measurement error (SEL)  
295 estimated at  $1.37 \text{ g kg}^{-1}$  or 40% of  $\sigma_{soc}$ .

296        Regression coefficients from PLSR models suggested that calibrations utilized  
297        stoichiometric relationships between C and elements related to C in the soil matrix. Emissions  
298        from carbon (247.8 nm), Mg (279.55-280.4 nm, 285.26 nm), and Si (251.6 nm, 288.1 nm) were  
299        important predictors for estimating total and inorganic carbon. The relatively narrow spectral  
300        range (200 – 300 nm) of the LIBS spectrum recorded in this study; however, omitted emissions  
301        from elements related to soil carbon, including Ca, O, H, and N. Increasing the spectral range to  
302        the full LIBS spectrum (200 – 900 nm) could increase predictive accuracies for *in situ*  
303        measurement of both inorganic and organic C.

304        In addition to expanding the spectral range, we identified three key strategies for  
305        improved LIBS *in situ* soil measurements. First, improve the LANL-CS LIBS instrument design  
306        to compensate for uneven soil core surfaces. Second, evaluating the ability of LIBS to capture  
307        SOC variability will require the acquisition of calibration soil cores with greater SOC variability.  
308        Finally, we need to acquire soil cores from a more diverse set of locations to evaluate the  
309        potential of developing regional LIBS calibrations. Continued LIBS development and evaluation  
310        will assist in realizing the full potential of this emerging spectroscopic technique for *in situ* soil  
311        characterization.

312

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318

319

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Table 1. Soil total, inorganic, and organic carbon summary statistics for 78 intact soil cores from three wheat fields in north central MT, 2007.

	Soil Total C	Soil Inorganic C	Soil Organic C
	g kg <sup>-1</sup>		
Median	15.74	7.95	7.82
Min	1.43	0.00	0.85
Max	56.56	45.27	19.32
Mean	17.09	8.88	8.21
$\sigma$	10.18	9.53	3.47
CV	59.6%	107.4%	44.4%
<i>n</i>	306	306	306

$\sigma$  = standard deviation; CV = coefficient of variation

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Table 2. Laser-induced breakdown spectroscopy (LIBS) partial least squares regression (PLSR) calibration statistics for soil total carbon (TC), inorganic carbon (IC), and organic carbon (SOC).

Model	<i>n</i>	$r^2$	RPD (g/kg soil)	SEP (g/kg soil)	SB <sup>†</sup> (%)	NU <sup>†</sup> (%)	LC <sup>†</sup> (%)
LIBS TC	79	0.76	2.1	5.1	0.0	24.6	75.4
LIBS IC	79	0.81	2.3	4.3	0.5	28.2	71.3
LIBS SOC	79	0.55	1.5	2.5	0.0	45.0	55.0

RPD = residual product differential, SEP = standard error of prediction, SB = squared bias,

NU = non-unity, LC = lack of correlation

† percent of mean squared deviation (MSD)

Table 3. Laser-induced breakdown spectroscopy (LIBS) partial least squares regression (PLSR) validation statistics for soil total carbon (TC), inorganic carbon (IC), and organic carbon (SOC).

Model	<i>n</i>	$r^2$	RPD (g/kg soil)	SEP (g/kg soil)	SB <sup>†</sup> (%)	NU <sup>†</sup> (%)	LC <sup>†</sup> (%)
LIBS TC	79	0.68	1.6	5.8	3.3	5.6	91.1
LIBS IC	79	0.60	1.5	5.8	0.1	19.2	80.7
LIBS SOC	79	0.19	1.0	3.4	3.7	46.5	49.8

RPD = residual product differential, SEP = standard error of prediction, SB = squared bias,

NU = non-unity, LC = lack of correlation

<sup>†</sup> percent of mean squared deviation (MSD)

421 **Figure Captions**

422

423 **Figure 1.** Geographical location of the study area with three selected farm fields (a), and  
424 randomly selected calibration (triangles) and validation (circles) core locations at the LYD (b),  
425 HOR (c), and MAT (c) sites.

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427 **Figure 2.** LIBS sampling depths on a representative intact soil core and LIBS interrogation point  
428 configuration within a depth sample (inset).

429

430 **Figure 3.** Partial least squares regression (PLSR) coefficients for soil total, inorganic, and  
431 organic carbon. The magnitude of the coefficients indicates the relative importance of each  
432 emission line. Dashed vertical lines indicate important elemental emission lines for predicting  
433 the various forms of soil carbon.

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435 **Figure 4.** Regression of soil total carbon for predicting soil inorganic carbon. Soil IC represents  
436 a large portion of total C in these semi-arid glacial till soils.

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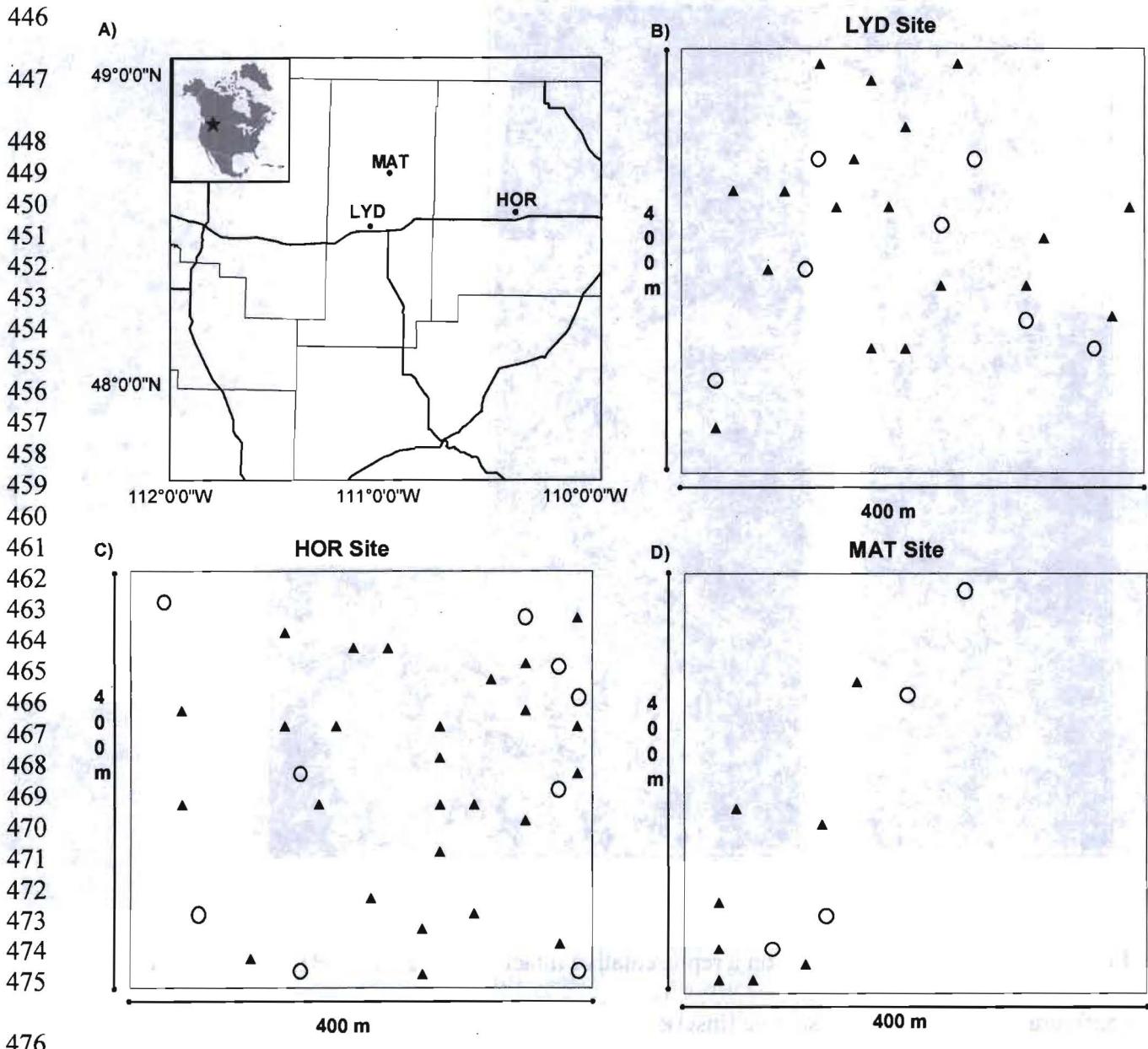
438 **Figure 5.** Independent validation of predicted soil total carbon (TC), inorganic carbon (IC), and  
439 organic carbon (SOC) using LIBS and partial least squares regression models. Nine  
440 interrogation spectra were averaged for PLSR analysis.

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442 **Figure 6.** The effect of spectrally averaging multiple LIBS interrogation points on the predictive  
443 accuracy of soil total carbon (TC), inorganic carbon (IC), and organic carbon (SOC).

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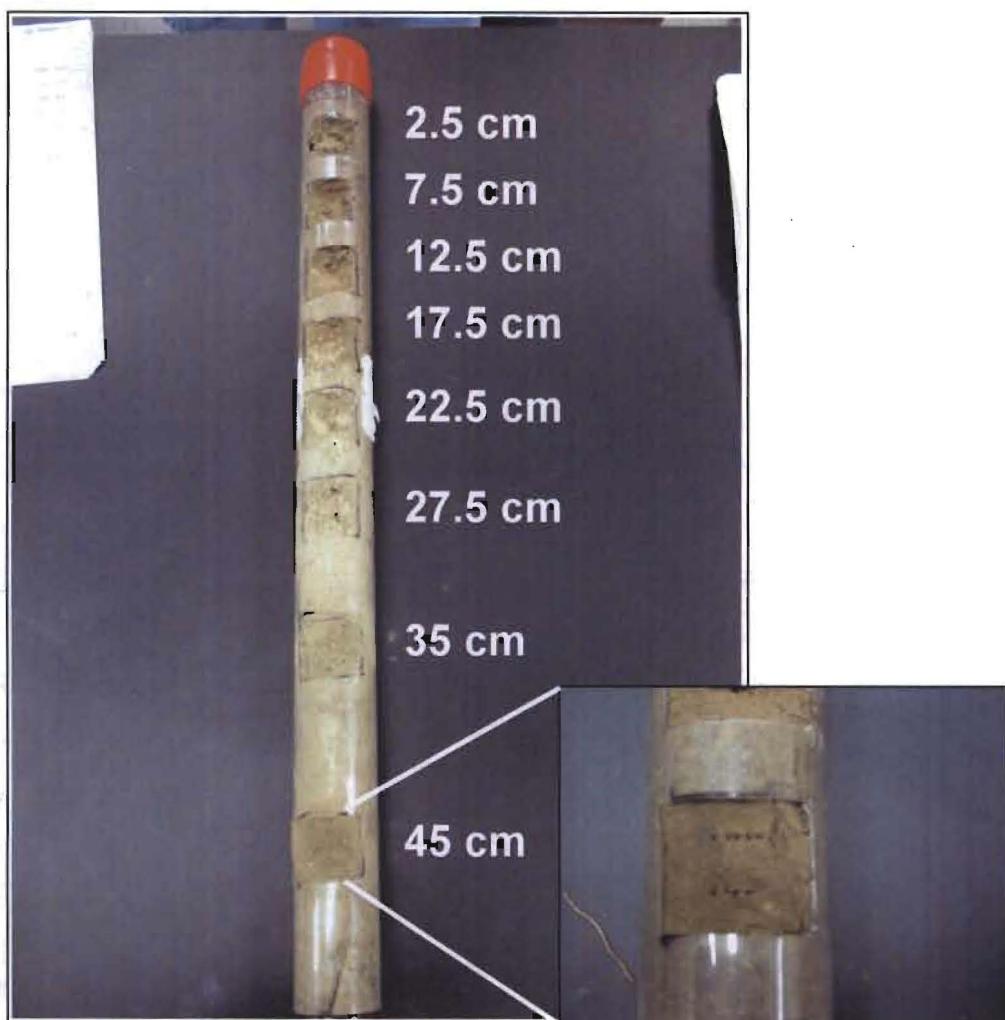
445 **Figure 1.**



477 **Figure 1.** Geographical location of the study area with three selected farm fields (A), and  
478 randomly selected calibration (triangles) and validation (circles) core locations at the LYD (B),  
479 HOR (C), and MAT (D) sites.

482 **Figure 2**

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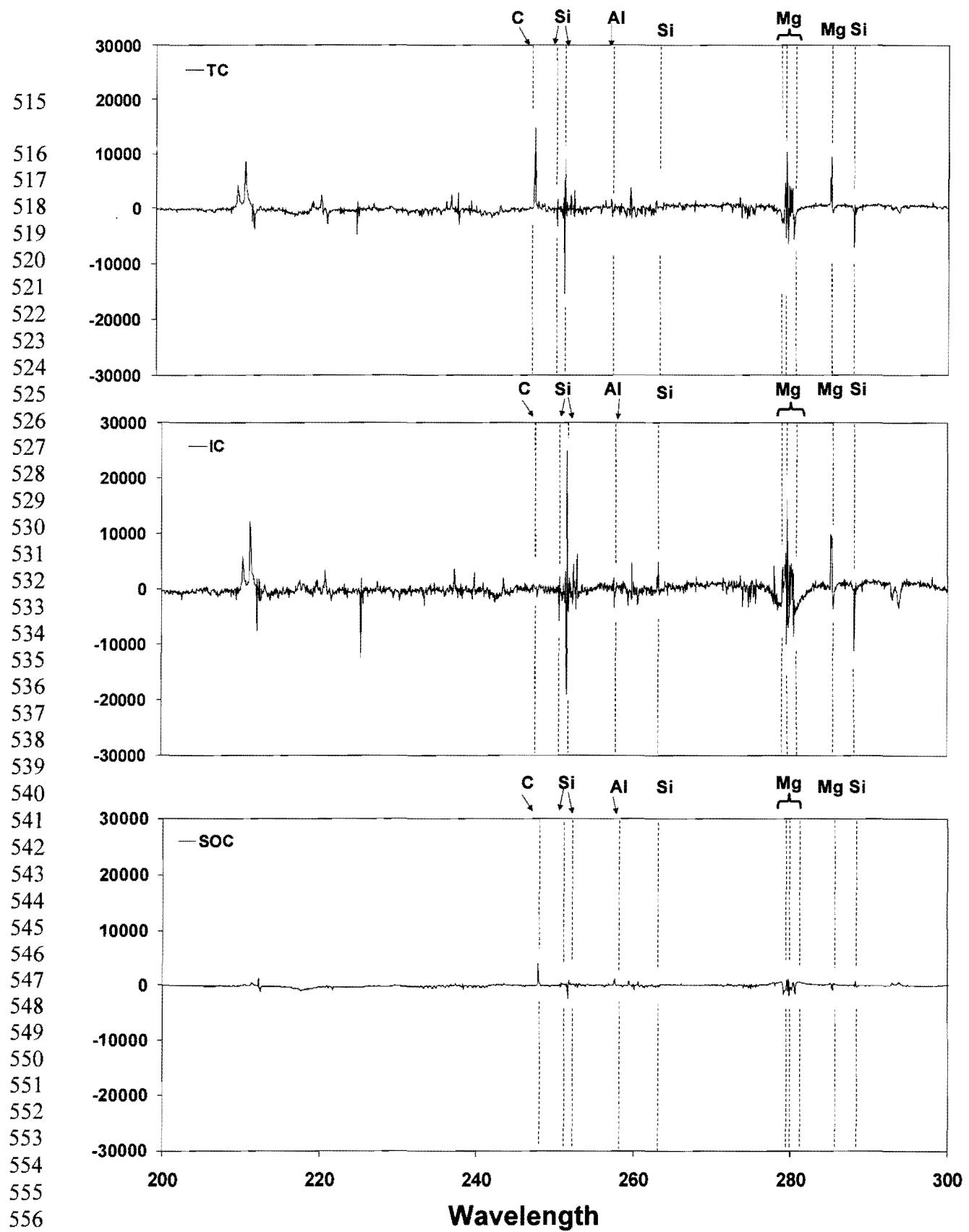


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511 **Figure 2.** LIBS sampling depths on a representative intact soil core and LIBS interrogation point  
512 configuration within a depth sample (inset).

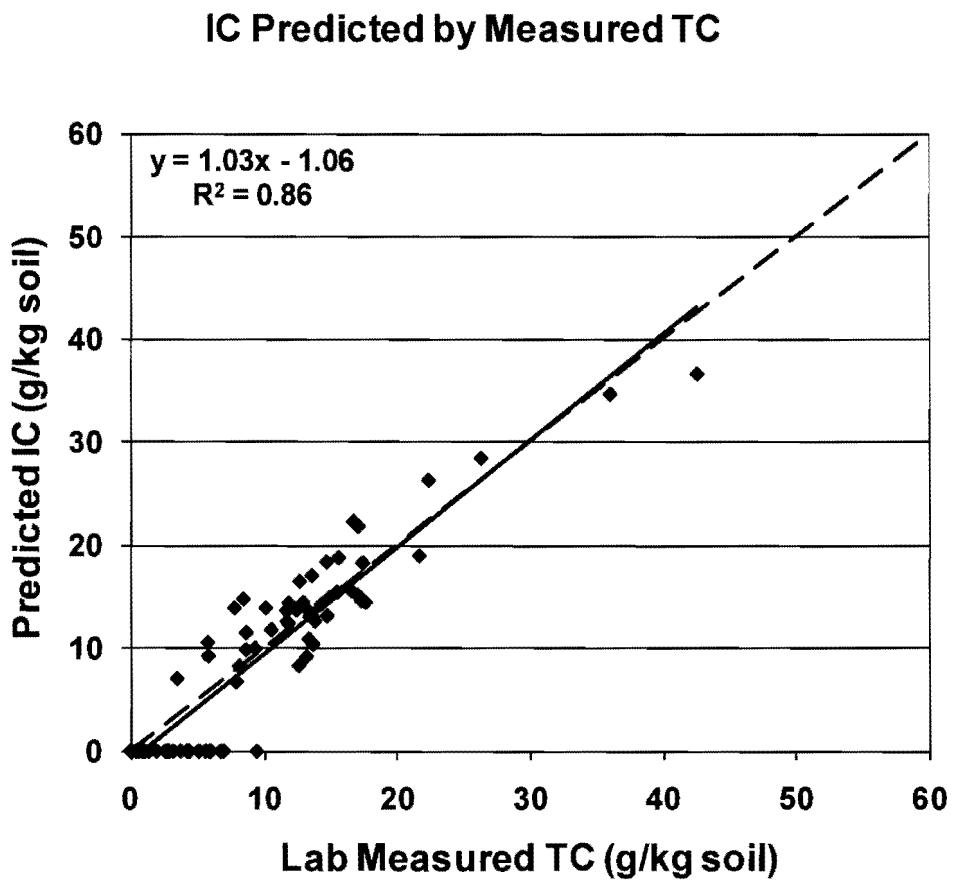
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**Figure 3.** Partial least squares regression (PLSR) coefficients for soil total, inorganic, and organic carbon. The magnitude of the coefficients indicates the relative importance of each emission line. Dashed vertical lines indicate important elemental emission lines for predicting the various forms of soil carbon.

562 **Figure 4.**



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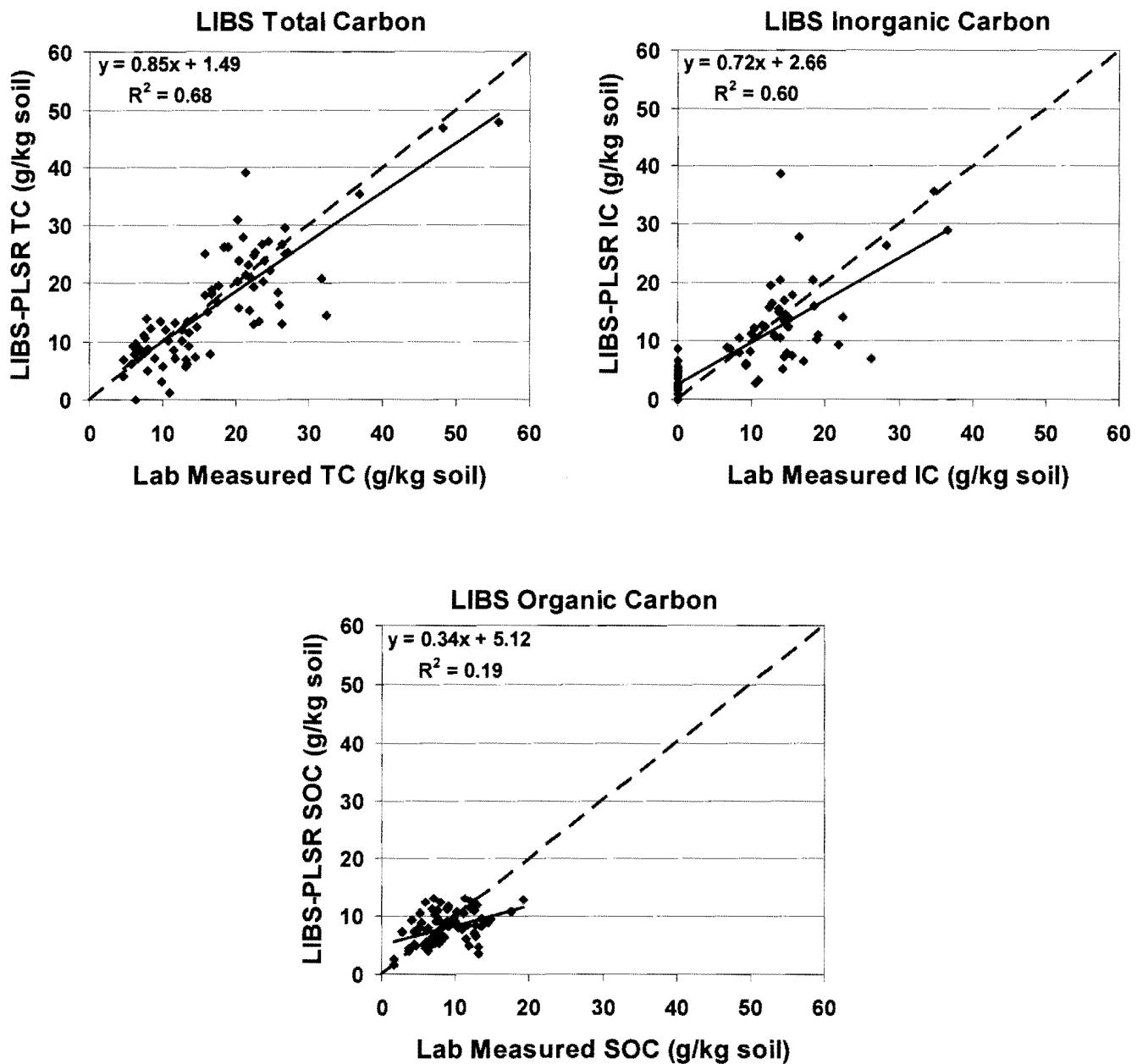
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569 **Figure 5.**

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603 **Figure 5.** Independent validation of predicted soil total carbon (TC), inorganic carbon (IC), and  
604 organic carbon (SOC) using LIBS and partial least squares regression models. Nine  
605 interrogation spectra were averaged for PLSR analysis.

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608 **Figure 6**

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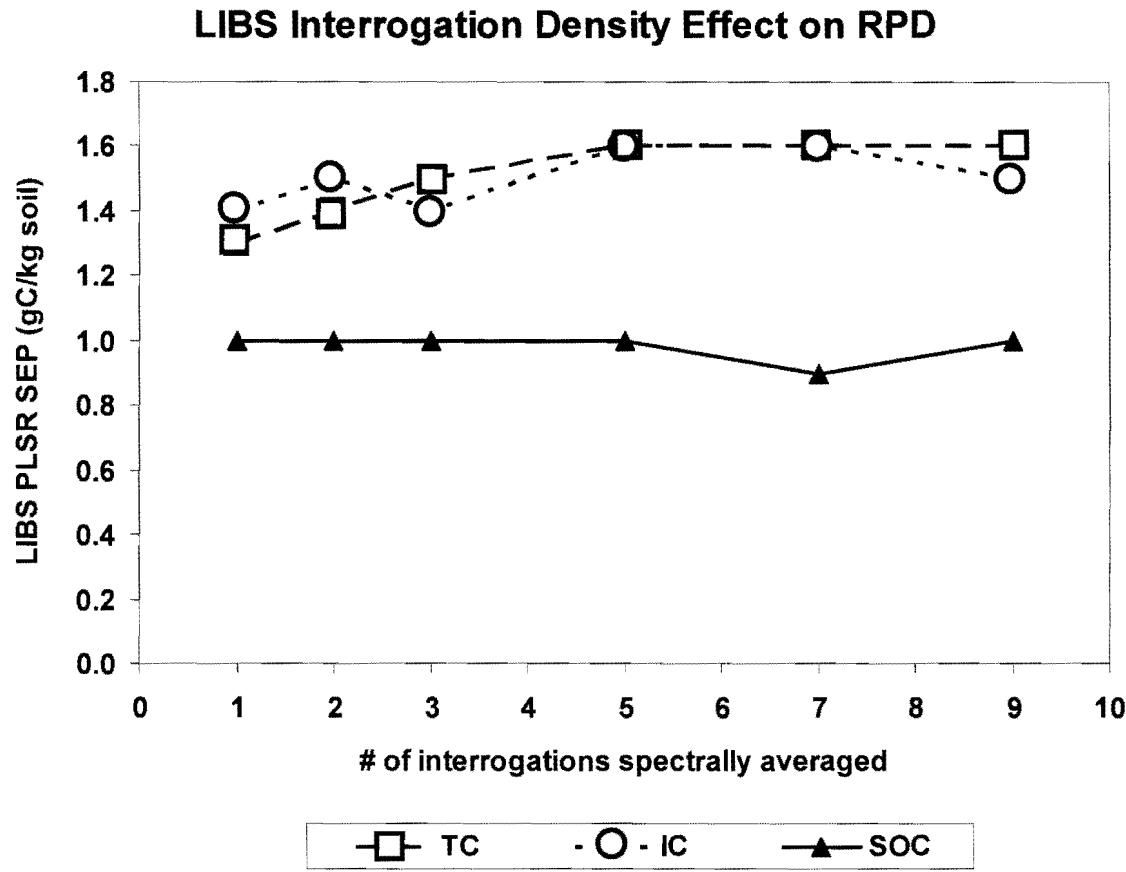


Figure 6. Predictive accuracy response, as indicated by the Residual Product Differential (RPD), to spectrally averaging multiple LIBS interrogation points for soil total carbon (TC), inorganic carbon (IC), and organic carbon (SOC) determination.