

LA-UR- 08-5740

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*Intended for:* Physical Review Letters



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# Vortex Ice in Nanostructured Superconductors

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(Dated: August 26, 2008)

We demonstrate using numerical simulations of nanostructured superconductors that it is possible to realize vortex ice states that are analogous to square and kagomé ice. The system can be brought into a state that obeys either global or local ice rules by applying an external current according to an annealing protocol. We explore the breakdown of the ice rules due to disorder in the nanostructure array and show that in square ice, topological defects appear along grain boundaries, while in kagomé ice, individual defects appear. We argue that the vortex system offers significant advantages over other artificial ice systems.

PACS numbers: 74.25.Qt

Geometric frustration occurs when a system is constrained by geometry in such a way that the pairwise interaction energy cannot be simultaneously minimized for all constituents, and appears in water ice [1], spin systems [2, 3], and a variety of other systems in both physics [4] and biology [5]. A specific example of frustration occurs in the classical spin ice system where the constituents of the system are magnetic spins on a grid of corner-sharing tetrahedra. The spins are constrained to point along the lines connecting the middle points of the tetrahedra [2, 3] and pairs of spins can minimize their energy by adopting a head-to-tail configuration. It is not, however, possible for the four spins on a tetrahedron to simultaneously satisfy each of the six pairwise interactions in a head-to-tail fashion; the best the system can do is to satisfy four interactions out of six, leaving two pairs in a head-to-head or tail-to-tail configuration. As a result, in the ground state configuration each tetrahedron obeys the so-called “ice rule” of a two-in two-out configuration with two spins pointing toward the center of the tetrahedron and two spins pointing away from it.

Recently, there has been growing interest in creating model systems that exhibit spin ice behavior [6–12] and that allow the individual constituents to be imaged directly, unlike molecular or atomic ices. For example, Wang *et al.* [6] created artificial square ice using single-domain rectangular ferromagnetic islands arranged in a square lattice such that four islands meet at every vertex point. They found that as the inter-island interaction increased, the system preferentially formed ice-rule-obeying vertices, but it did not reproduce the known ground state of two-dimensional spin ice, where the two “in” magnetic moments are on opposite sides of the vertex. This could be due to the relative weakness of the magnetic interactions. It has recently been shown that certain dynamical annealing protocols permit the system to approach the ground state more closely [7, 8]. Similar studies have been performed for a two-dimensional kagomé ice system [10, 11] where the local ice-rules were obeyed and defects such as three-in or three-out were absent [11]. In the colloidal artificial ice system of Ref. [12], the local dynamics can be accessed easily via video microscopy; however, the ice arrays in this system are lim-

ited to relatively small sizes in experiment.

Here we propose that a particularly promising artificial ice system could be created using vortices in superconductors with appropriately designed nanostructured arrays of artificial pinning sites. There has been extensive experimental work showing that a rich variety of different pinning array geometries can be fabricated where the geometry of the individual pinning sites and the global geometry can be controlled with high accuracy [13–18]. Various types of experimental techniques exist for directly imaging vortices in these arrays [15–17, 19]. The vortex system has several advantages over other artificial ice systems. The vortex-vortex interaction strength is large, permitting the ground state to be reached much more readily than in the nanomagnetic systems. An applied external current permits the straightforward realization of different dynamical annealing protocols. Unlike in the nanomagnet arrays, new types of defects can be studied by merely increasing or decreasing the magnetic field to create vacancies or interstitials that locally break the ice rules. The vortex system also permits the study of transport properties and critical currents which are not accessible in the other systems.

To form square vortex ice, we propose using an arrangement of elongated double-well pinning sites. Non-superconducting islands with the double-hump shape illustrated in Fig. 1(a) placed within a superconducting layer have a pair of potential minima at the highest points of the island where the superconducting layer is the shallowest. A single vortex trapped over each island will sit at one of the two minima, depending on the interactions with nearby vortices. By changing the arrangement of the islands, different types of ice can be created. For square ice, shown in Fig. 1(a), four islands come together at each vertex and the state of each island surrounding a vertex is defined as “in” if the vortex sits close to the vertex and “out” if the vortex is far from the vertex. In Fig. 1(a), the vortices have formed a two-in two-out ice-rule-obeying ground state configuration. Figure 1(b) shows a kagomé spin ice arrangement with three islands surrounding each vertex. In this case, the lowest energy state has a two-in, one-out or two-out, one-in vortex configuration at each vertex, but there is no overall ordering

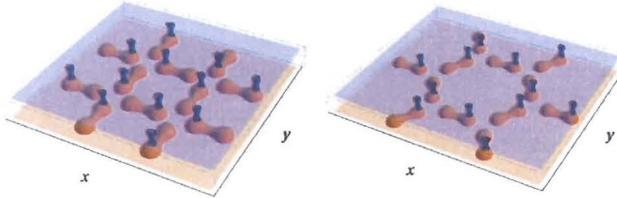


FIG. 1: Schematic of the nanostructured pinning site configurations producing ice states. The double-lobed objects are the pins, formed using nonsuperconducting inclusions, and the open mesh objects are vortices sitting at potential minima. a) The ground state of the square ice system. b) A biased ground state of the kagomé ice system.

into a ground state unless a biasing field is applied.

To study the vortex ice, we perform numerical simulations of a two-dimensional sample with periodic boundaries containing  $N_p$  elongated pinning sites in the square or kagomé configurations illustrated in Fig. 1 and  $N_v = N_p$  vortices. A vortex  $i$  at position  $\mathbf{R}_i$  obeys the following overdamped equation of motion:

$$\eta \frac{d\mathbf{R}_i}{dt} = \mathbf{f}_i^{vv} + \mathbf{f}_i^s + \mathbf{f}^d + \mathbf{f}_i^T. \quad (1)$$

The damping constant  $\eta = \phi_0^2 d / 2\pi \xi^2 \rho_N$ , where  $\phi_0 = h/2e$  is the flux quantum,  $\xi$  is the superconducting coherence length,  $\rho_N$  is the normal state resistivity of the material, and  $d$  is the thickness of the superconducting crystal. The vortex-vortex interaction force is given by  $\mathbf{f}_i^{vv} = \sum_{j \neq i}^{N_v} f_0 K_1(R_{ij}/\lambda) \hat{\mathbf{R}}_{ij}$ , where  $K_1$  is the modified Bessel function appropriate for stiff three-dimensional vortex lines,  $\lambda$  is the London penetration depth,  $f_0 = \phi_0^2 / (2\pi\mu_0\lambda^3)$ ,  $R_{ij} = |\mathbf{R}_i - \mathbf{R}_j|$ , and  $\hat{\mathbf{R}}_{ij} = (\mathbf{R}_i - \mathbf{R}_j) / R_{ij}$ . The substrate force  $\mathbf{f}_i^s$  arises from the elongated pins,  $\mathbf{f}_i^s = \sum_k^{N_p} f_0 (f_p/r_p) R_{ik}^{\pm} \Theta(r_p - R_{ik}^{\pm}) \hat{\mathbf{R}}_{ik}^{\pm} + f_0 (f_p/r_p) R_{ik}^{\perp} \Theta(r_p - R_{ik}^{\perp}) \hat{\mathbf{R}}_{ik}^{\perp} + f_0 (f_b/l) (1 - R_{ik}^{\parallel}) \Theta(l - R_{ik}^{\parallel}) \hat{\mathbf{R}}_{ik}^{\parallel}$ . Here  $R_{ik}^{\pm} = |\mathbf{R}_i - \mathbf{R}_k^p \pm l \hat{\mathbf{p}}_{\parallel}^k|$ ,  $R_{ik}^{\perp} = |(\mathbf{R}_i - \mathbf{R}_k^p) \cdot \hat{\mathbf{p}}_{\perp}^k|$ ,  $\mathbf{R}_k^p$  is the position of pin  $k$ , and  $\hat{\mathbf{p}}_{\parallel}^k$  ( $\hat{\mathbf{p}}_{\perp}^k$ ) is a unit vector parallel (perpendicular) to the axis of pin  $k$ . Each pin is composed of two half-parabolic wells of strength  $f_p = 10$  and radius  $r_p = 0.4\lambda$  separated by an elongated region of length  $2l$  which confines the superconducting vortex perpendicular to the pin axis and has a repulsive potential or barrier of strength  $f_b$  parallel to the axis which pushes the vortex out of the middle of the pin into one of the ends. We take  $l = 2/3\lambda$  or  $5/6\lambda$  and vary the lattice constant  $a$  of the pinning array between  $a = 2.0\lambda$  and  $8.0\lambda$ . The driving force  $\mathbf{f}_d$  represents the Lorentz force from an applied current. The thermal force  $\mathbf{f}_i^T$  comes from thermal Langevin kicks and is set to zero except during the annealing of the kagomé ice.

We prepare the square ice system using a dynamical annealing procedure inspired by the nanomagnetic ice results. Refs. [7, 8] demonstrated that the protocol of

the dynamical annealing is very important in determining how closely the system approaches the ground state, and proposed applying a rotating in-plane magnetic field with a magnitude that decreases with time. In our simulations, we place one vortex in each pin at a random position and then use a similar protocol with a rotating in-plane applied current of decreasing amplitude,  $\mathbf{f}^d = A_{ac}(t)(\cos(2\pi t/T_r)\hat{\mathbf{x}} + \sin(2\pi t/T_r)\hat{\mathbf{y}})$ . Here,  $T_r = 1000$  simulation time steps,  $A_{ac}(t) = \pm(A_0 - \delta A|t/\delta t|)$ ,  $A_0 = 2.0f_0$ ,  $\delta t = 10000$  simulation time steps,  $\delta A = 0.01f_0$ , and the dynamical annealing lasts for  $2 \times 10^6$  simulation time steps. Every time the magnitude of the force is decreased, the direction of the force is reversed. We measure the number of vertices of each type that appear after the dynamical annealing has completed. For the kagomé ice system, we obtain the vortex configurations from standard thermal simulated annealing.

To determine how effectively the dynamical annealing protocol brings the square ice system to the ground state, we introduce disorder to the system by replacing the delta-function distributed barriers  $f_b$  at the center of each pinning site with barriers of normally distributed strength, where the mean strength is  $f_b$  and the width of the distribution is  $\sigma$ . In Fig. 2 we illustrate the vertices that have reached the ground state configuration of two-in, two-out in a square ice sample with  $a = 2.5\lambda$ ,  $l = 5/6\lambda$  and  $f_b = 0.25f_0$  for differing disorder widths  $\sigma$ . The dots represent vertices in the ice-rule obeying ground state, while the closed black circles indicate higher energy vertices that we term ice-rule defects since they still obey the two-in, two-out ice rule but have the two “in” vortices adjacent to one another. The open circles mark the highest energy vertices that we term non-ice-rule defects since they do not obey the two-in, two-out ice rule but have, for example, three “in” or four “out” vortices. For  $\sigma < 0.1$ , the system can reach the ordered ground state as shown in Fig. 2(a). As the central barriers of the pins become more nonuniform with increasing  $\sigma$ , some pinning centers act as nucleation sites for grain boundaries, as illustrated in Figs. 2(b,c) for  $\sigma = 0.1$  and  $\sigma = 0.5$ . In general, we find that for  $0.1 < \sigma < 0.7$ , all of the defected vertices form closed loop grain boundaries and the ratio of ice-rule defects to non-ice-rule defects is 1:1. For  $\sigma \geq 0.7$ , a proliferation of non-ice-rule defects occurs and the non-ice-rule defects outnumber the ice-rule defects, as shown in Fig. 2(d) for  $\sigma = 1.0$ . Here we find that individual non-ice-rule defects can appear outside of grain boundaries, while the ice-rule defects always remain confined to grain boundaries. This result suggests that there could be a disorder-induced phase transition which occurs when the non-ice-rule defects proliferate. We have also examined disorder effects caused by doubly occupying one of the pinning sites with two vortices, and find that this type of defect can also act as a nucleation site for grain boundaries.

In Figure 3(a), we plot the percentage of vertices  $P_{GS}$  that are in the ice-rule obeying ground state as a function of time during the dynamical annealing procedure

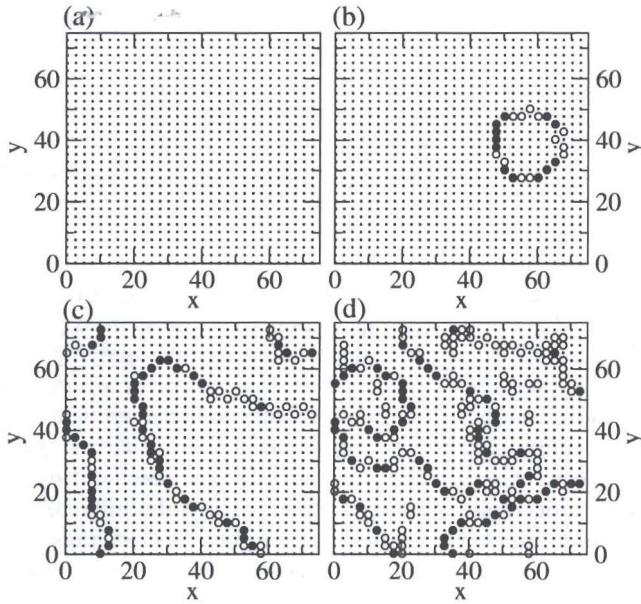


FIG. 2: Grain boundary images in square ice samples with  $a = 2.5\lambda$ ,  $l = 5/6\lambda$ , and  $f_b = 0.25$  for increasing disorder width  $\sigma$ . Dots: ground state two-in two-out ice-rule obeying vertices with “in” vortices on opposite sides of the vertex. Filled black circles: ice-rule defects, which are ice-rule obeying vertices with adjacent “in” vortices. White circles: Non-ice-rule defects. (a)  $\sigma = 0$ . (b)  $\sigma = 0.1$ . (c)  $\sigma = 0.5$ . (d)  $\sigma = 1.0$ .

in a sample with  $a = 2.5\lambda$ ,  $l = 5/6\lambda$ ,  $f_b = 0.25f_0$ , and different values of  $\sigma$ . At early times, when  $|A_{ac}|$  is close to  $A_0$ , all of the vortices follow the drive and switch back and forth inside the pinning sites. As  $|A_{ac}|$  decreases, a transition occurs when the vortices cease to follow the driving direction and become locked into one position in the pinning site. For  $\sigma = 0$ , this locking transition is relatively sharp and occurs at  $|A_{ac}| \approx 0.82f_0$ . Nonzero values of  $\sigma$  broaden the transition significantly and cause some vertices to lock into the ground state at much earlier times; at the same time, complete locking of all vertices into the ground state can no longer be achieved within the finite time of the dynamical annealing process. We quantify the broadening of the transition with increasing  $\sigma$  by fitting the curves in Fig. 3(a) to the form  $P_{GS}(t) = 1 - \exp(t/\tau)$ . Figure 3(b) shows the fitted relaxation time  $\tau$  as a function of  $\sigma$  and indicates the occurrence of an increasingly slow locking process as the disorder width increases. The dependence of  $P_{GS}$  on both  $a$  and  $\sigma$  is summarized in Fig. 3(d) for a system with  $f_b = 1.0$  and  $l = 2/3\lambda$ . Here,  $P_{GS}$  decreases both with increasing  $\sigma$  and with increasing  $a$  as the relative strength of the vortex-vortex interactions decreases.

Depending on the system parameters, it is not always necessary to perform a dynamical annealing procedure in order to reach the ground state. To demonstrate this, we prepare the sample in a random state and then apply a fixed amplitude rotating drive,  $\mathbf{f}^d = \tilde{A}(\cos(2\pi t/T_r)\hat{\mathbf{x}} + \sin(2\pi t/T_r)\hat{\mathbf{y}})$ , with  $\tilde{A} = 0.01f_0$  and

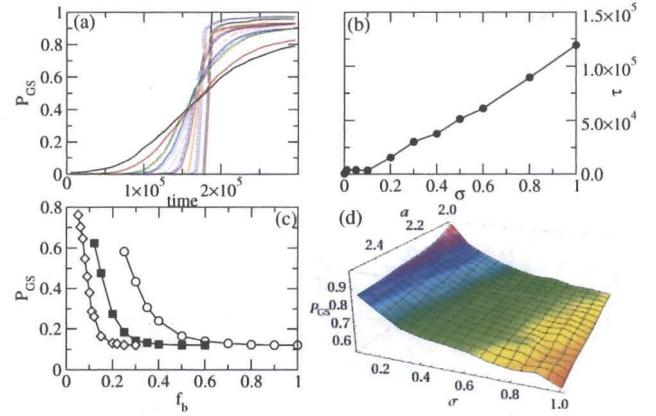


FIG. 3: (a) Percentage  $P_{GS}$  of ice-rule obeying ground state vertices as a function of time during the dynamical annealing process for different disorder widths  $\sigma$ . From upper right to lower right,  $\sigma = 0, 0.01, 0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.8$ , and  $1.0$ . Here,  $a = 2.5\lambda$ ,  $l = 5/6\lambda$ , and  $f_b = 0.25$ . (b) Relaxation time  $\tau$  vs  $\sigma$  for the same system, obtained by fitting the curves in (a) to  $P_{GS} = 1 - \exp(t/\tau)$ . (c) The final value of  $P_{GS}$  versus  $f_b$  in samples with no dynamical annealing that have been subjected to a small shaking field with  $\tilde{A} = 0.01f_0$  for  $2 \times 10^6$  simulation time steps. Here  $l = 5/6\lambda$ ,  $\sigma = 0.1$ , and  $a = 2.0$  (open circles),  $2.5$  (filled squares), and  $3.0$  (open diamonds). (d)  $P_{GS}$  as a function of both  $\sigma$  and  $a$  in a sample with  $f_b = 1.0$  and  $l = 2/3\lambda$ .

$T_r = 1000$  simulation time steps, for  $2 \times 10^6$  simulation time steps. When the central barrier in the pin  $f_b$  is weak, the system can reach the ordered ground state under the weak external shaking. For larger  $f_b$ , the system cannot reach the ordered ground state without dynamical annealing. This is shown in Fig. 3(c), where we plot the final  $P_{GS}$  at the end of the simulation time versus  $f_b$  for samples with  $\sigma = 0.01$  and varied pinning lattice constant  $a = 2.0\lambda, 2.5\lambda$ , and  $3.0\lambda$ . For large  $f_b$ , the sample is immediately frozen into the disordered initial configuration, and  $P_{GS} \approx 0.125$ , consistent with the value expected in a completely random sample. As  $f_b$  is lowered, a spontaneous rearrangement into a partially ordered state becomes possible and  $P_{GS} > 0.125$ . The value of  $f_b$  at which the spontaneous ordering begins to appear increases with decreasing  $a$ , indicating that as the vortex-vortex interactions become stronger in the denser pinning arrays, the ordered ground state becomes much more energetically favored.

The kagomé lattice illustrated in Fig. 1(b) has a distinct set of ice rules from the square lattice considered up until now. In a sample with a kagomé pinning arrangement, high energy vertices with 0 “in” vortices or 3 “in” vortices are avoided in favor of the kagomé-ice-rule obeying vertices with 1 “in” or 2 “in” vortices. It is possible for this system to form an ordered ground state, but only in the presence of an external biasing field. In Fig. 4(a) we show the biased ordered ground state for a kagomé lattice with  $f_b = 1.0$  and  $\sigma = 0$  obtained by applying a

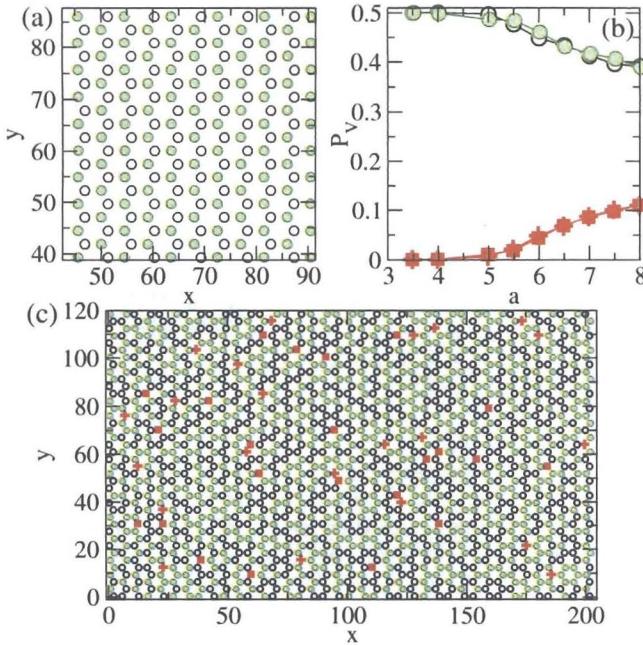


FIG. 4: (a) Ordered biased ground state in a sample with kagomé pinning,  $f_b = 1.0$ ,  $l = 2/3\lambda$ , and  $a = 3\lambda$ . Open circles: vertices with 1 “in” vortex; shaded circles: vertices with 2 “in” vortices. (b) Percentage  $P_V$  of each vertex type as a function of  $a$ . Crosses: vertices with 0 “in” vortices; open circles: vertices with 1 “in” vortex; shaded circles: vertices with 2 “in” vortices; filled squares: vertices with 3 “in” vortices. (c) Vertex configuration after thermal annealing in a sample with  $a = 3.5\lambda$ ,  $l = 2/3$ , and  $f_b = 1.0$ . Symbols are the same as in panel (b).

constant drive  $\mathbf{f}^d = 0.01f_0(\hat{\mathbf{x}} + \hat{\mathbf{y}})$  along a lattice symmetry direction while performing simulated annealing. In the absence of the biasing force, some high energy defect vertices which take the form of monopoles form in the system and there is no overall order, as illustrated in Fig. 4(c). We find that the kagomé ice is more robust against the effects of disorder than the square ice, which

is in agreement with experimental findings for nanomagnetic kagomé ice [11]. Additionally, the topological defect patterns are distinct from the square ice since no grain boundary state forms for the kagomé ice. Although Fig. 4(c) shows that there is some tendency for the defected vertices to form pairs, there are no extended defect patterns of the type seen in Fig. 2. Since the ice rules in this system are enforced by the vortex-vortex interaction energies, we can weaken the enforcement of the ice rules by increasing the spacing  $a$  between pinning sites. Figure 4(b) shows that as  $a$  increases, the system passes from a limit in which only kagomé-ice-rule obeying vortices appear for  $a \leq 4\lambda$  to a limit  $a \geq 8\lambda$  where the vertices assume a completely random arrangement. In the random limit we expect to find each of the two types of defect vertices with probability  $1/8$  and each of the two kagomé-ice-rule obeying vertices with probability  $3/8$ .

In summary, we propose that square and kagomé vortex ice can be realized in nanostructured superconductors. By using an annealing protocol of a rotating externally applied current, the system can be brought into or close to the square ice ground state. In the presence of quenched disorder, topological defects appear in a background of the ordered ground state. For moderate disorder in the square ice system, all of the defects are bound to grain boundaries, while for strong disorder, individual high energy vertices proliferate. For kagomé ice, we find no grain boundary phase in the presence of disorder. We predict that if the barrier for vortex motion from one side of each artificial pinning site to the other is sufficiently weak, the system will spontaneously organize into a partially ordered state even without use of an annealing protocol. This system could have interesting transport and memory effects which may manifest themselves as changes in the critical current, an effect which can not be accessed readily in other artificial ice systems.

We thank C. Nisoli for a useful discussion. This work was carried out under the auspices of the NNSA of the U.S. DoE at LANL under Contract No. DE-AC52-06NA25396.

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