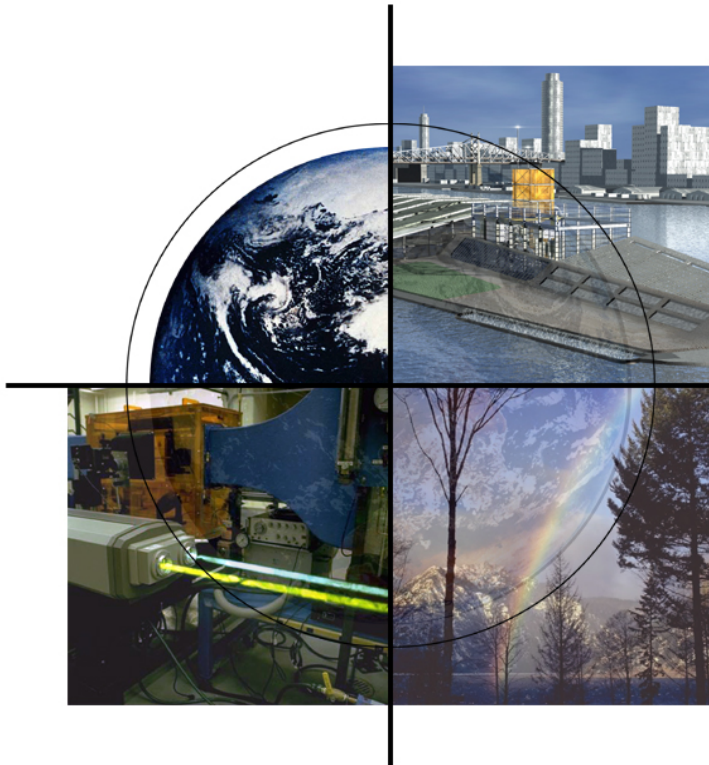


# Process Systems Engineering R&D for Advanced Fossil Energy Systems



*Stephen E. Zitney*

**Director, Collaboratory for  
Process & Dynamic Systems Research**  
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*MIT Energy Initiative*

**Massachusetts Institute of Technology  
Cambridge, MA  
September 11, 2007**

**National Energy Technology Laboratory  
Morgantown, WV**



# Outline of Presentation

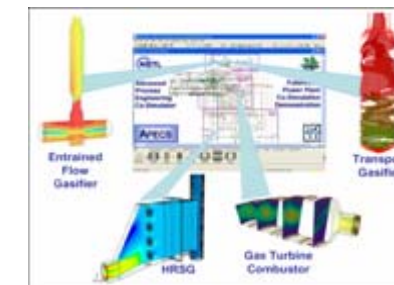
- Introduction
- US Fossil Energy Outlook
- NETL's Fossil Energy Mission
  - Energy Policy Support
    - Clean Coal Power Initiative
    - *FutureGen*
  - Extramural RD&D
  - Onsite Research and Analysis
- Process Systems Engineering
  - Industry R&D Challenges
  - NETL R&D Activities
- Concluding Remarks



*FutureGen Plant*



NETL Onsite R&D



APECS Co-Simulation



# National Energy Technology Laboratory

- Only DOE national lab dedicated to fossil energy
- One lab, five locations, one management structure
- 1,200 Federal and support-contractor employees
- NETL History
  - 1910: Coal Research Center in Pittsburgh
  - 1954: Morgantown site with coal/syngas research
  - 1975: Energy Technology Centers (PETC, METC)
  - 1976-1981: ASPEN Project at MIT with DOE (METC)
  - 1996: Federal Energy Technology Center (PETC/METC)
  - 1999: FETC becomes DOE national laboratory (NETL)



*Pittsburgh, PA  
(1910)*



*Morgantown, WV  
(1954)*



*Tulsa, Oklahoma  
(2000)*



*Fairbanks, Alaska  
(2001)*

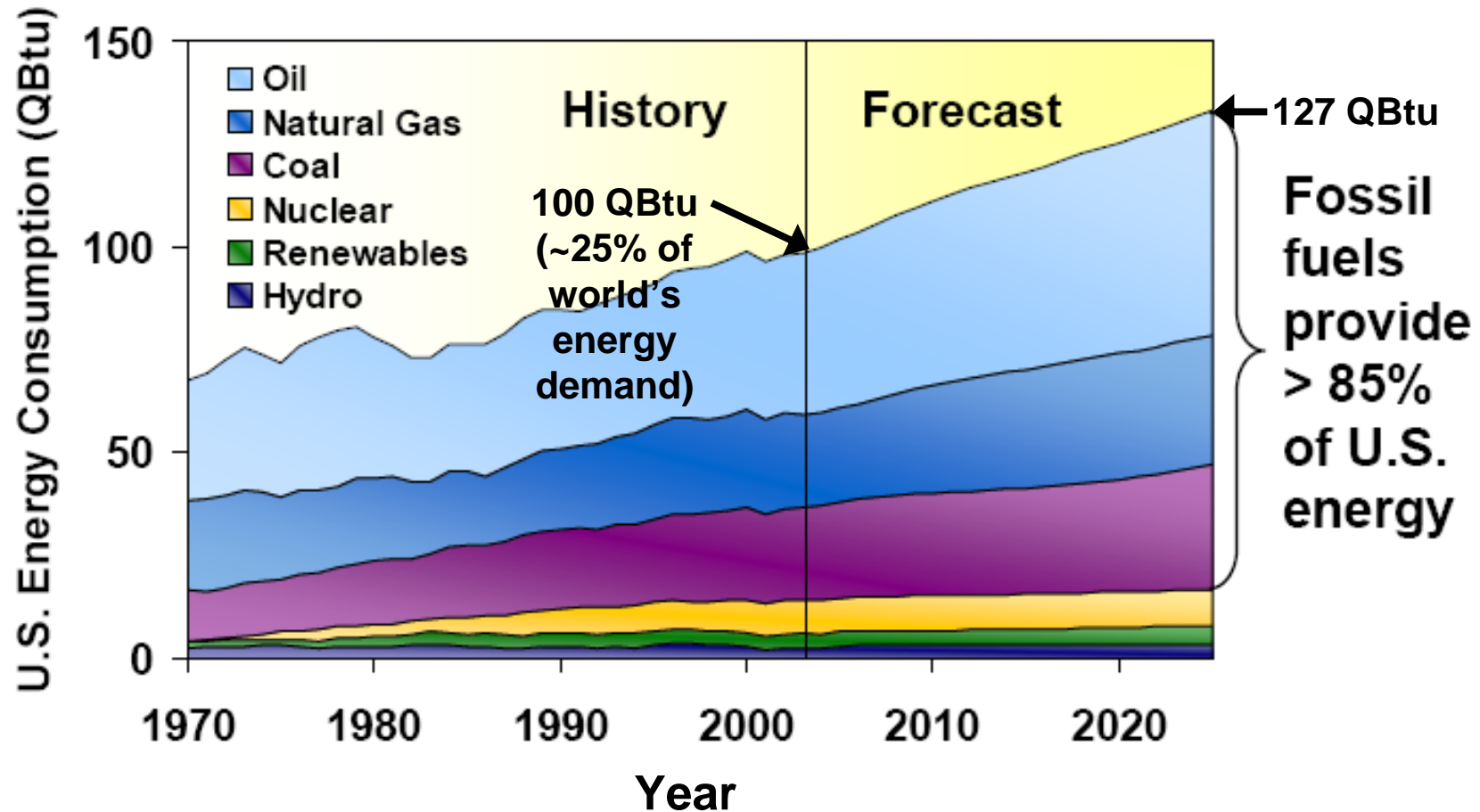


*Albany, Oregon  
(2006)*



# Growing U.S. Energy Demand

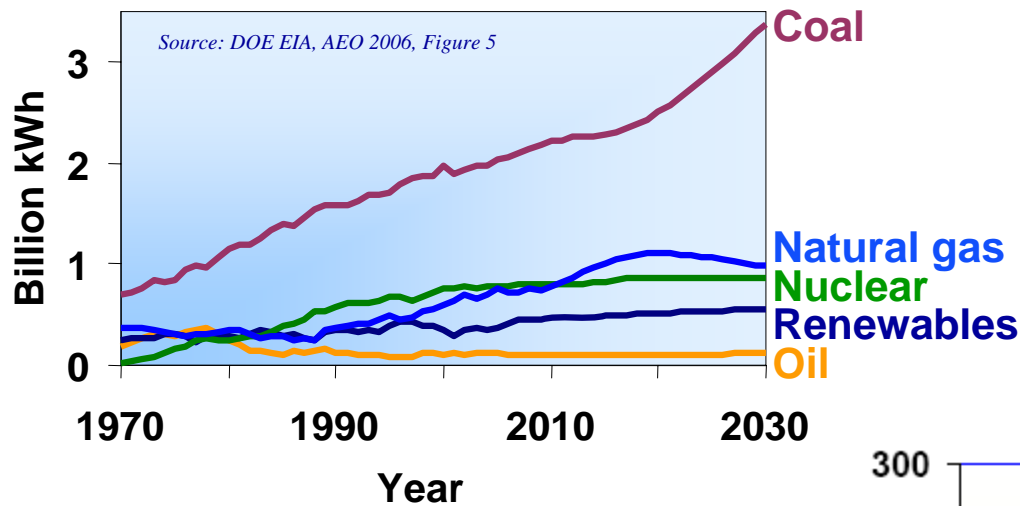
## *Fossil Fuels Dominate Energy Consumption*



DOE EIA, Annual Energy Outlook 2005, Figure 3

MITEI, SEZ/NETL/Sep 11, 2007

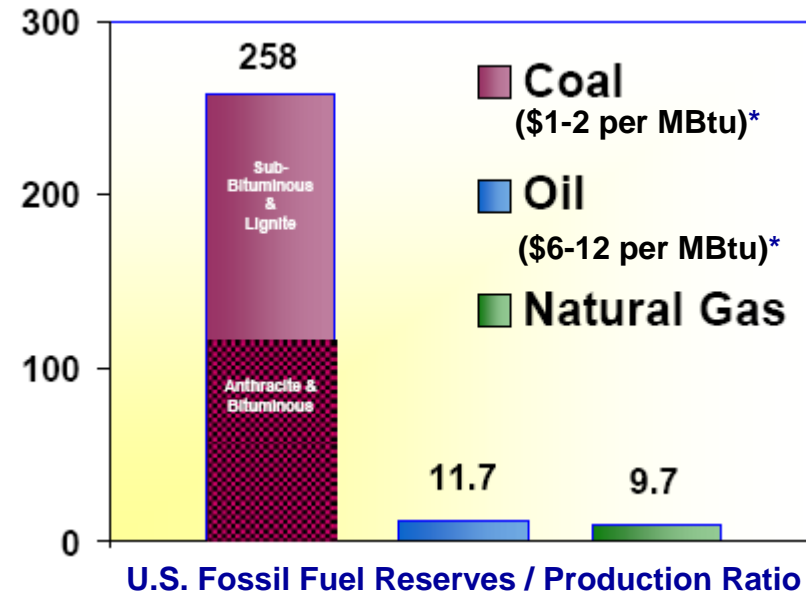
# U.S. “Electricity Generation” Outlook by Fuel Type



*Coal dominates electricity generation*

*Over 50% of U.S. electricity from coal.*

*Over 250 year supply of coal at current demand levels!*

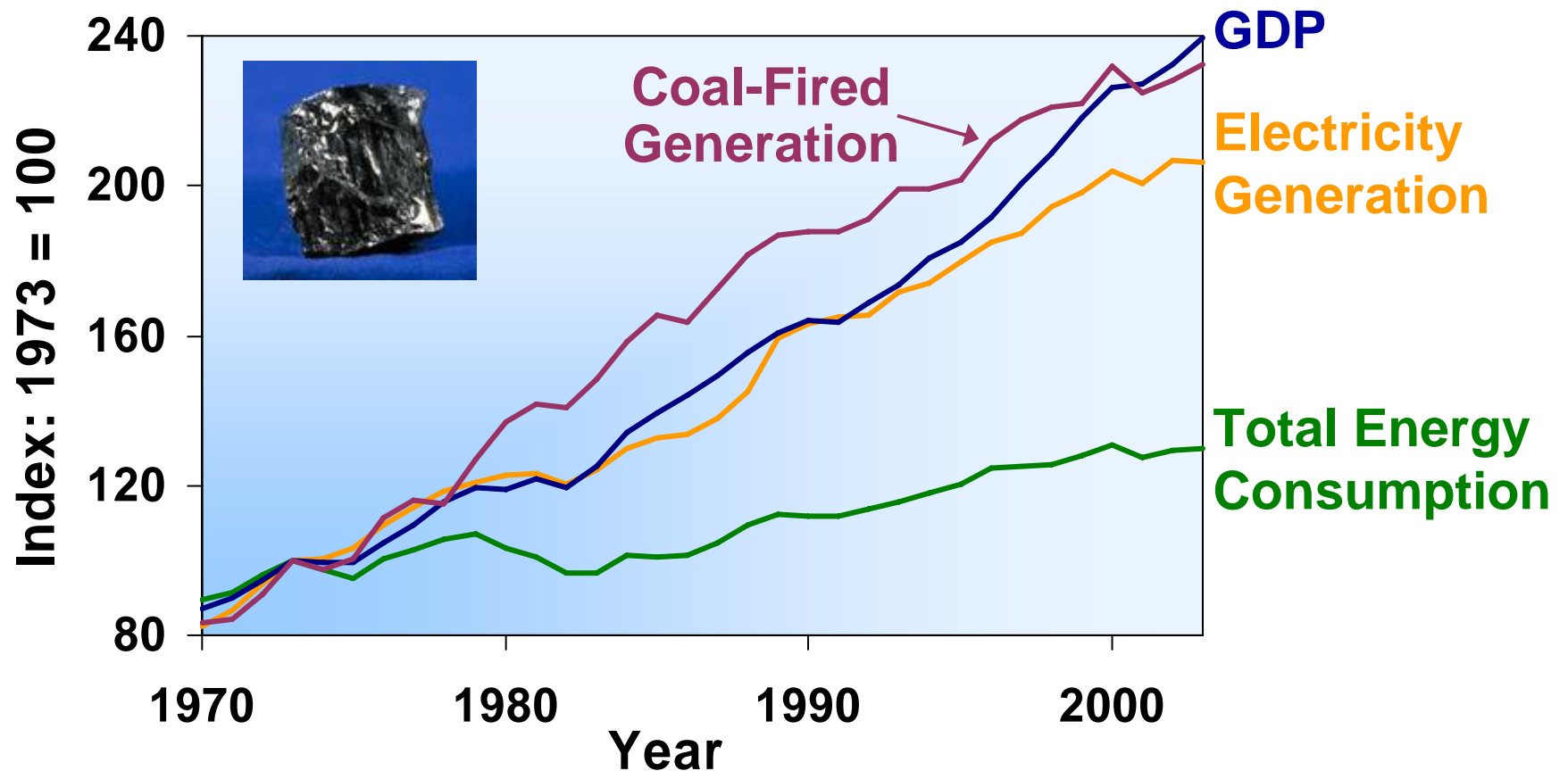


Sources: BP Statistical Review, June 2004, - for coal reserves data - World Energy Council; EIA, Advance Summary U.S. Crude Oil, Natural Gas, and Natural Gas Liquids Reserves, 2003 Annual Report, September 22, 2004 - for oil and gas reserves data

\*Source - The Future of Coal, MIT, 2007



# U.S. Coal Use Linked to Economic Growth



*Coal-fired generation and GDP have grown at nearly the exact same pace over last 30 years*

GDP: U.S. DOC, Bureau of Economic Analysis  
Energy & Electricity: EIA, Annual Energy Review 2003

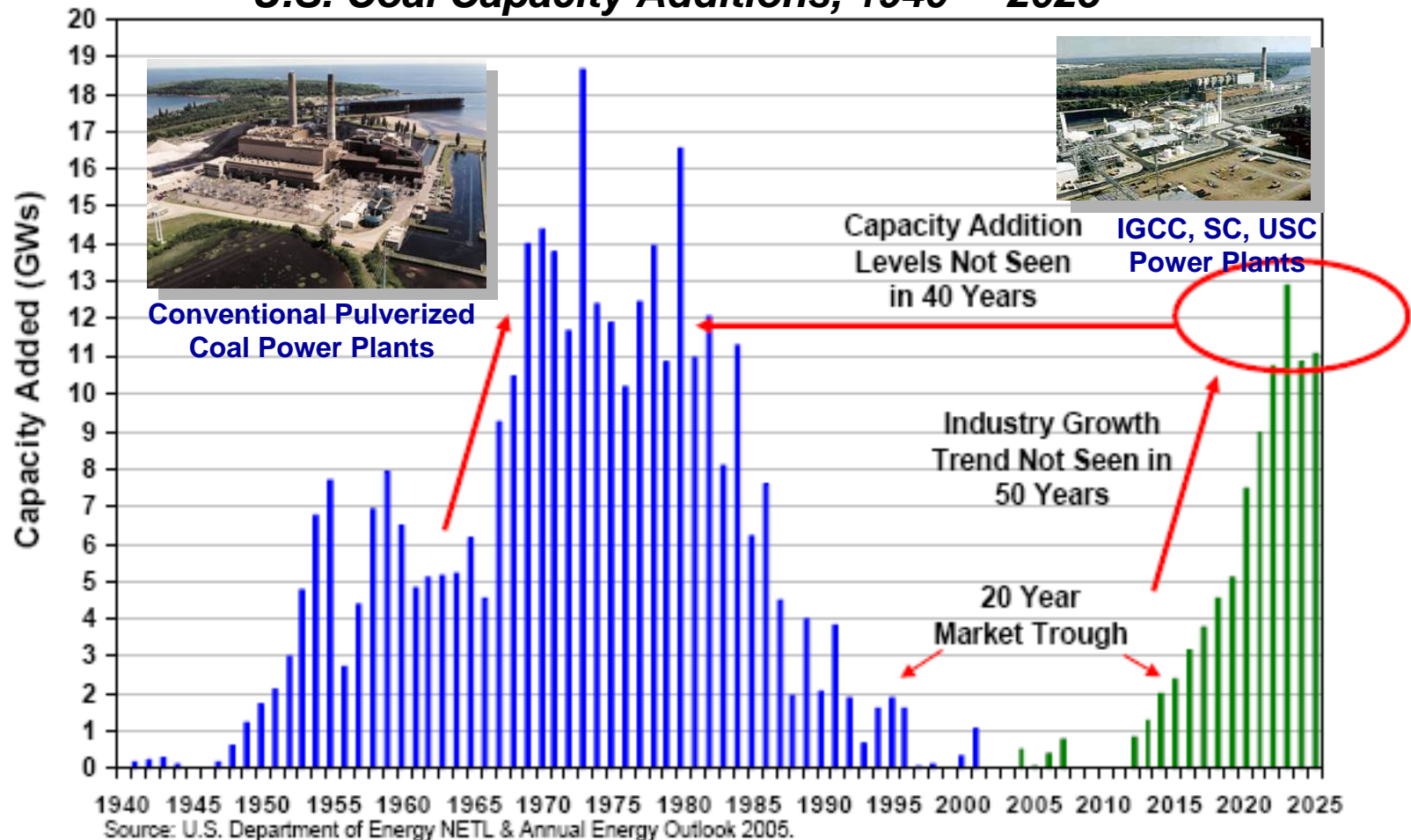
MITEI, SEZ/NETL/Sep 11, 2007





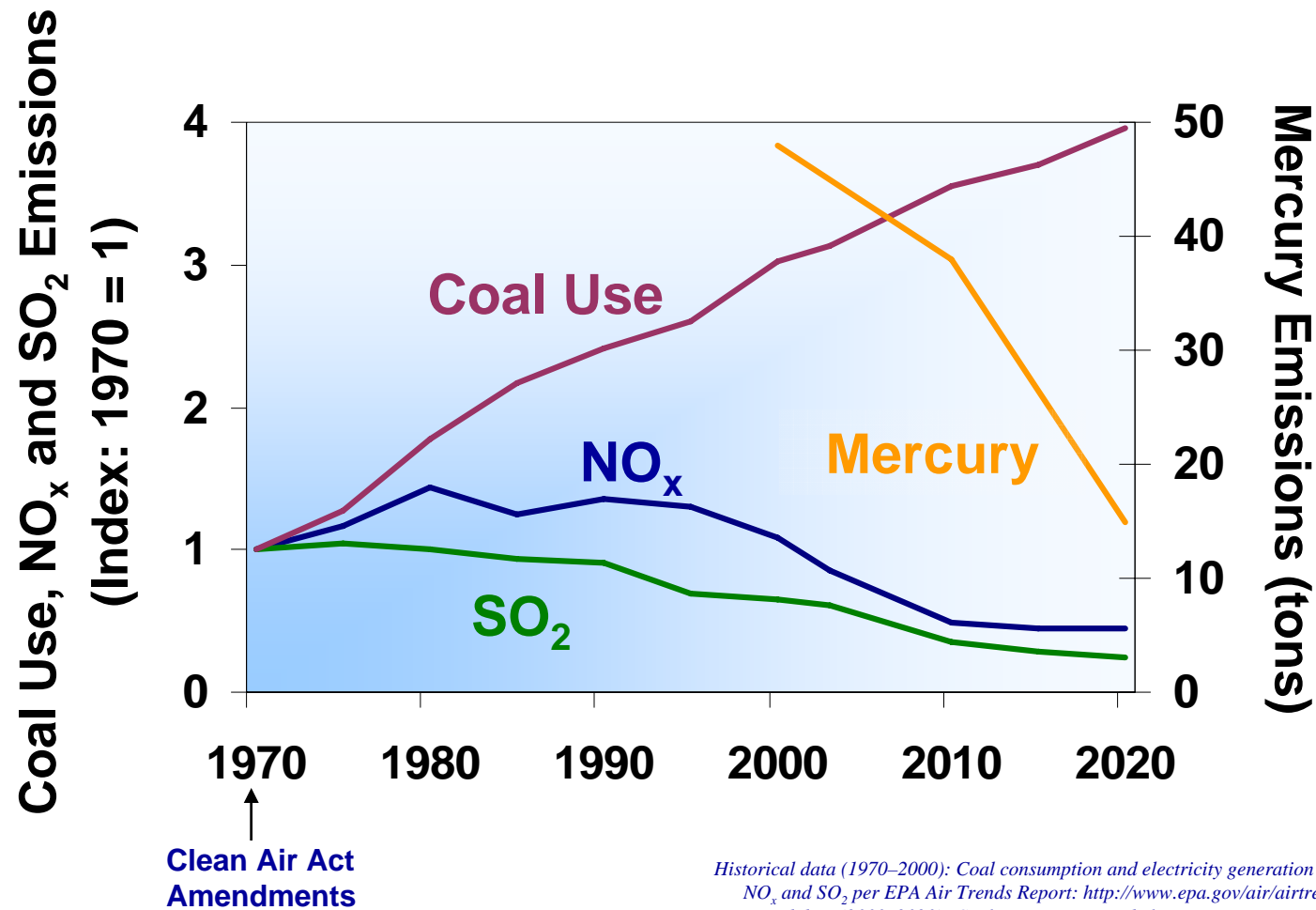
# U.S. Forecasts Largest Coal Generation Capacity Installation in 40 Years

*U.S. Coal Capacity Additions, 1940 —2025*



# Air Emission Trends and Projections

## *Annual U.S. Air Emissions and Coal Use*



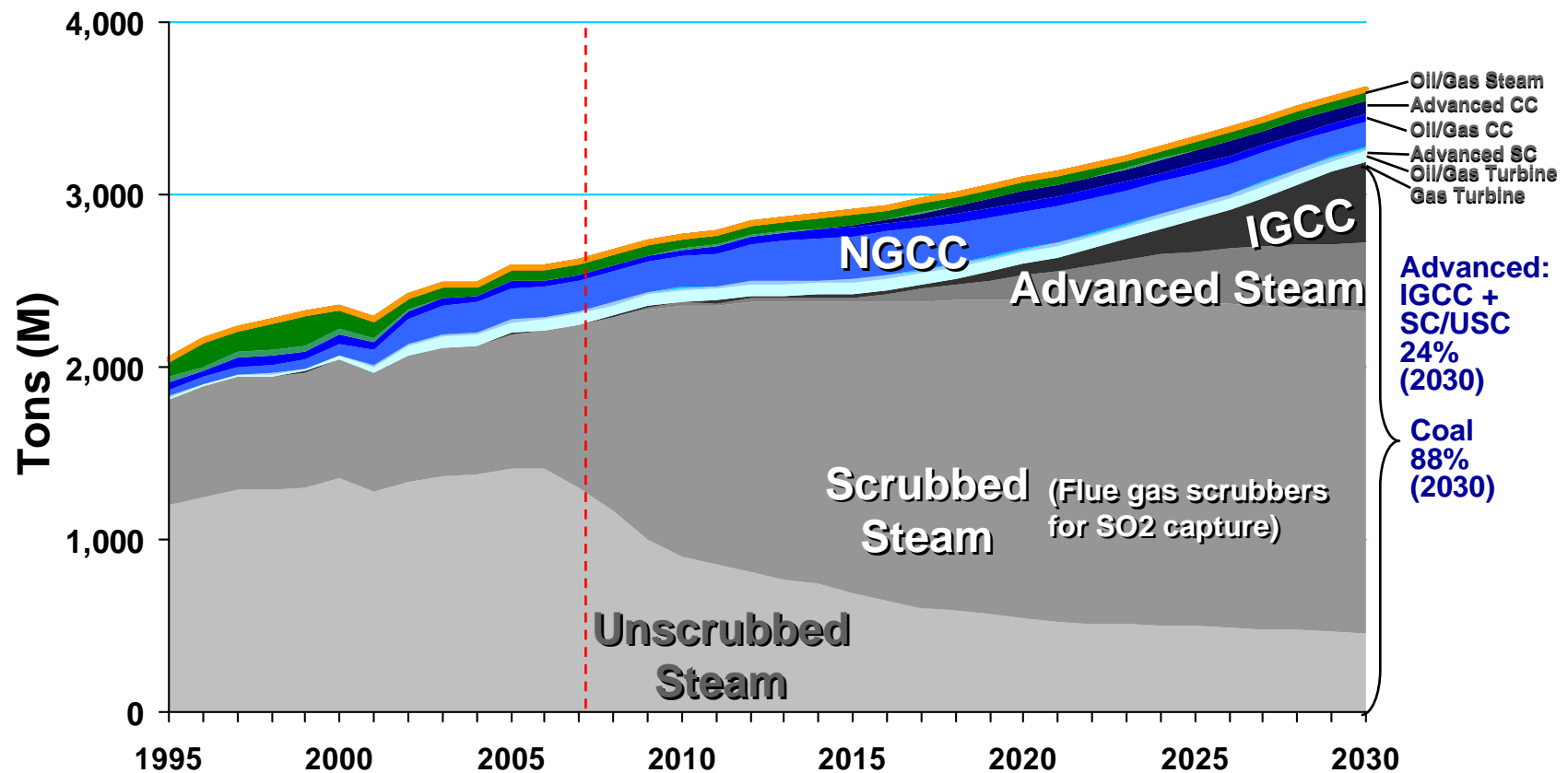
Historical data (1970–2000): Coal consumption and electricity generation per DOE EIA, AER 2003  
NO<sub>x</sub> and SO<sub>2</sub> per EPA Air Trends Report: <http://www.epa.gov/air/airtrends/econ-emissions.html>  
Projected data (2003–2020): Coal consumption and electricity generation per DOE EIA, AEO 2005  
NO<sub>x</sub> and SO<sub>2</sub> per EPA projections under CAIR: <http://www.epa.gov/interstateairquality/charts.html>  
Mercury per EPA Clean Air Mercury Rule





# U.S. CO<sub>2</sub> Emissions from Fossil Power Generation

## *Forecast - Annual Energy Outlook 2006*



*Need for CO<sub>2</sub> Capture and Storage (CCS) as Coal Dominates CO<sub>2</sub> Emissions from Fossil Power Generation*



*Annual Energy Outlook 2006, reference case*

MITEI, SEZ/NETL/Sep 11, 2007

# Fossil Energy Power Generation

## *Industry Challenges*

- **Short-term:** Manage existing fleet of combustion power plants
  - Plant optimization and control
  - Improve efficiency
  - Reduce carbon intensity
- **Long-term:** Design next generation of high-efficiency, zero-emission coal-fired power plants
  - Oxy-fuel PC combustion plants
  - Integrated gasification combined cycles (IGCC)
  - Polygeneration systems
  - CO<sub>2</sub> capture and storage (CCS)
  - Chemical looping



**Coal-Fired Power Plant**



**IGCC Power Plant**



# Outline of Presentation

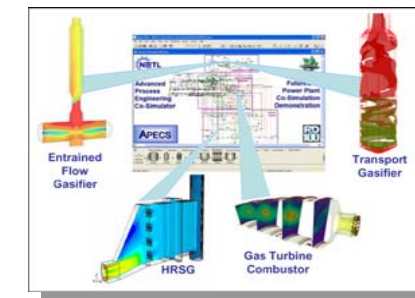
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  - Industry R&D Challenges
  - NETL R&D Activities
- **Concluding Remarks**



*FutureGen Plant*



**NETL Onsite R&D**



**APECS Co-Simulation**



# NETL Mission

*Conduct R&D from fundamental science to technology demonstration for resolving the environmental, supply, and reliability constraints of producing and using fossil resources*



# Accomplishing Our Mission

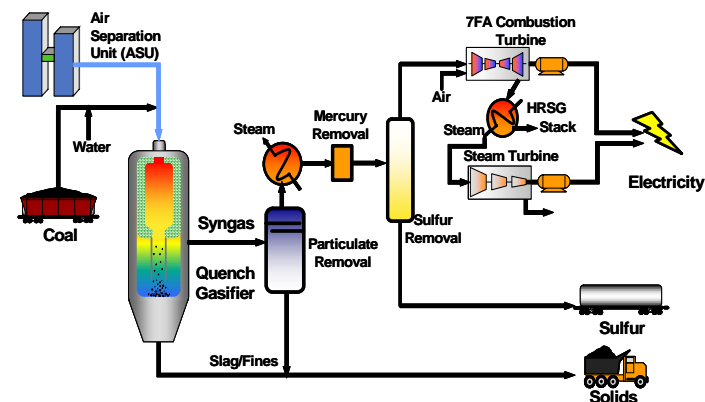
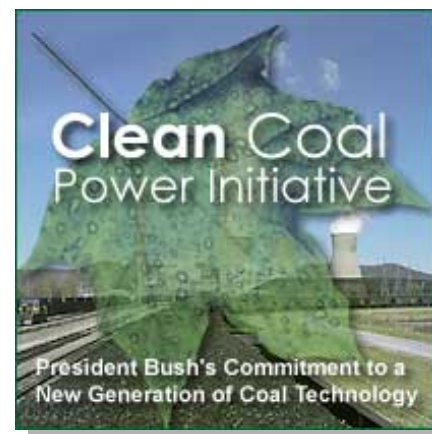
- **Support energy policy development**
  - Presidential Initiatives
    - Clear Skies
    - Climate Change
    - Hydrogen Fuels
    - Energy Security
  - Demonstration Programs
    - Clean Coal Power Initiative (CCPI)
    - *FutureGen* R&D Initiative





# Clean Coal Power Initiative (CCPI)

- \$2B, 10-year program to demonstrate a new generation of power plant technologies
- Government / industry partnership
- Industry cost share of at least 50%
- Anticipate four rounds of solicitations
- Round 1 (January 2003)
  - Eight projects selected; two withdrawn
  - \$315M DOE / \$1B industry cost share
- Round 2 (October 2004)
  - Four projects, including two IGCCs:
    - Southern Co., Orlando, FL – 285MW with KBR transport gasifier
    - Excelsior Energy, MN – 531MW with CoP entrained-flow gasifier
- Round 3 (May 2007)
  - NETL published Notice of Intent to issue a funding opportunity announcement



IGCC Process

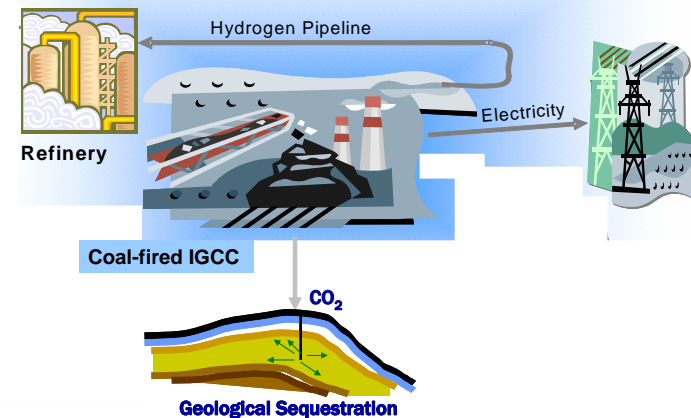




# U.S. DOE *FutureGen* Initiative

## *Pathway to Zero Emissions*

- 10-year, \$1B DOE project
- Commercial-scale, coal-fired, gasification-based plant
- Co-production of H<sub>2</sub> and electricity (275 MWe)
- Sequester >90% CO<sub>2</sub> with potential for ~100%
- Minimum 1-million tons/year CO<sub>2</sub> captured and stored
- “Living R&D laboratory” for cutting-edge technologies
- FutureGen Alliance
- On-line 2012



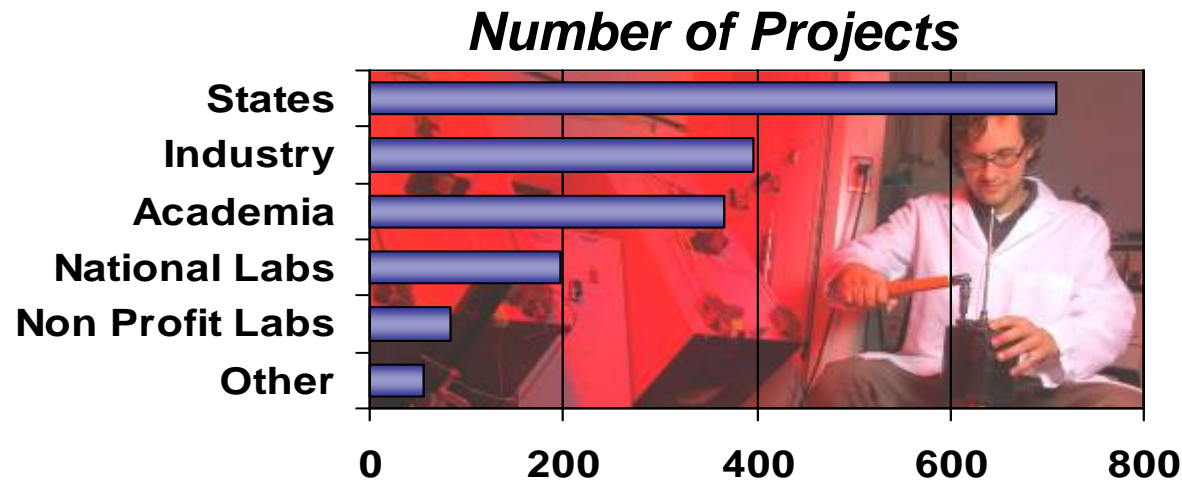
# Accomplishing Our Mission

- **Support energy policy development**
  - Presidential Initiatives: Clear Skies, Climate Change, Hydrogen Fuels, Energy Security
  - Demonstration Programs: *FutureGen*, Clean Coal Power Initiative (CCPI)
- **Implement and manage extramural RD&D**
  - R&D activities in U.S. and around the world
  - States, industry, academia, and others
  - Private sector cost-sharing

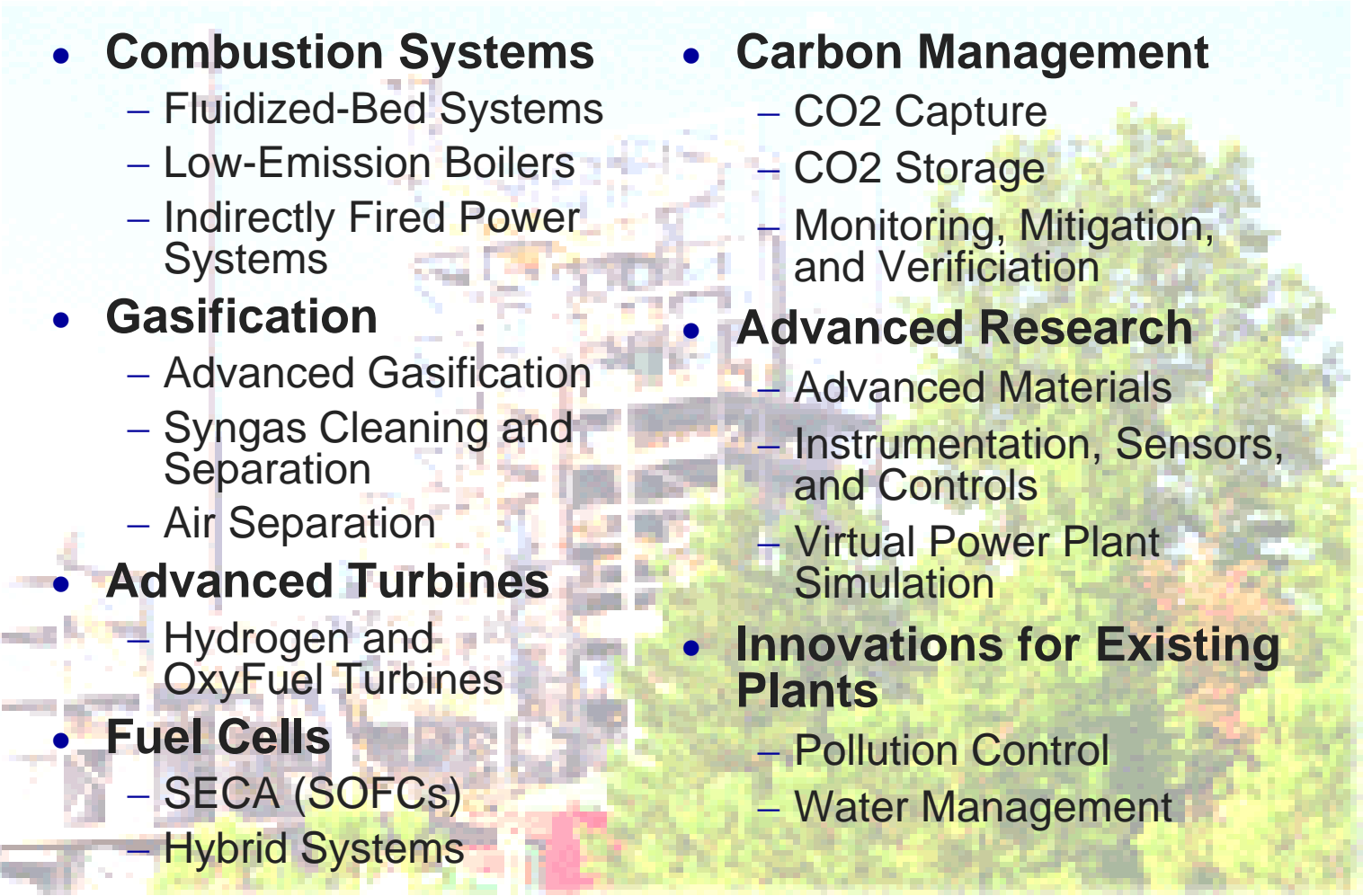


# NETL Implements and Manages Extramural RD&D

- Over 1,800 research and deployment activities in U.S. and more than 40 foreign countries
- Total award value over \$9 billion
- Private sector cost-sharing over \$5 billion
  - Leverages DOE funding
  - Accomplishes mission through commercialization
  - Ensures relevance



# Clean Coal - Core R&D Programs

- 
- **Combustion Systems**
    - Fluidized-Bed Systems
    - Low-Emission Boilers
    - Indirectly Fired Power Systems
  - **Gasification**
    - Advanced Gasification
    - Syngas Cleaning and Separation
    - Air Separation
  - **Advanced Turbines**
    - Hydrogen and OxyFuel Turbines
  - **Fuel Cells**
    - SECA (SOFCs)
    - Hybrid Systems
  - **Carbon Management**
    - CO<sub>2</sub> Capture
    - CO<sub>2</sub> Storage
    - Monitoring, Mitigation, and Verification
  - **Advanced Research**
    - Advanced Materials
    - Instrumentation, Sensors, and Controls
    - Virtual Power Plant Simulation
  - **Innovations for Existing Plants**
    - Pollution Control
    - Water Management



# Accomplishing Our Mission

- **Support energy policy development**
  - Presidential Initiatives: Clear Skies, Climate Change, Hydrogen Fuels, Energy Security
  - Demonstration Programs: *FutureGen*, Clean Coal Power Initiative (CCPI)
- **Implement and manage extramural RD&D**
  - Over 1,800 research activities in U.S. and more than 40 foreign countries
  - Total award value over \$9B
  - Private sector cost-sharing over \$5B
- **Conduct onsite research and analysis**
  - More than 550 engineers and scientists
  - Over 150 with Ph.D. and 300 with M.S.
  - Office of Systems, Analyses & Planning
  - Office of Research and Development



# Office of Systems, Analyses and Planning

## *Employing an Interdisciplinary Approach to Guide R&D and Support Energy Policy Development*

- **Systems analysis**
  - Technical and economic feasibility
  - Integrated operation requirements
  - Setting cost and performance targets
- **Life cycle analysis**
- **Benefits quantification**
  - Advanced technologies deployment
- **Forecasts and trend analysis**
- **Technology-regulatory implications**
- **Assessment of natural resource requirements**





# Office of Systems, Analyses and Planning

## *Cost and Performance Baselines*

- **Baseline Cases**

- PC, Oxyfuel PC
- NGCC, IGCC
- *FutureGen*
- Polygeneration
- Coal/Bio-to-Liquids

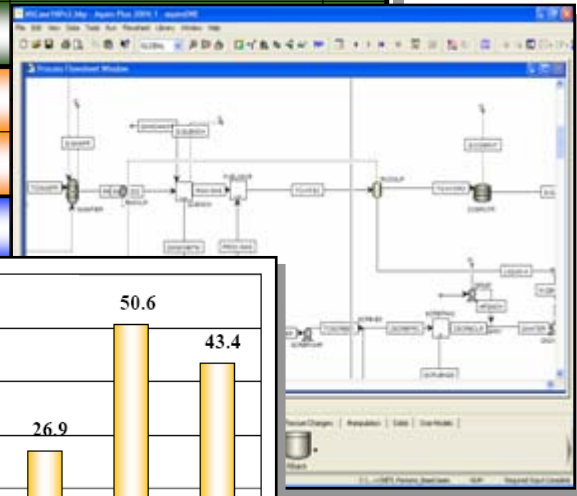
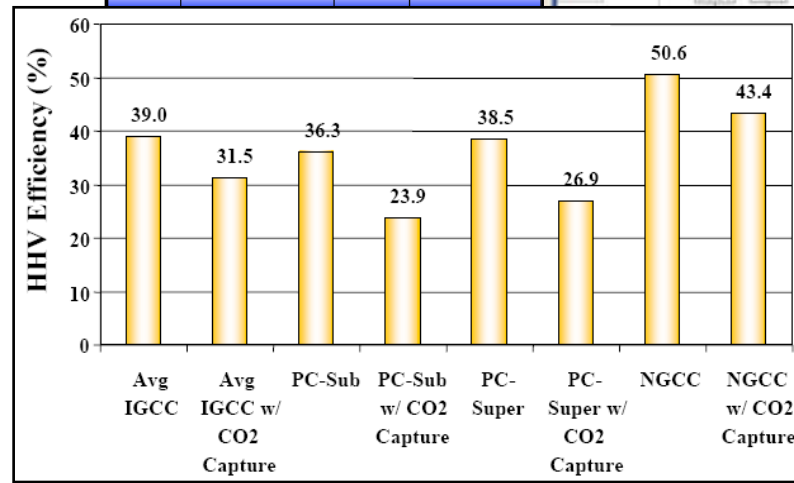
- **Cost/Performance**

- Efficiency
- Capital cost
- Cost of electricity

- **Simulation Tools**

- Steady State
  - Aspen Plus
  - GateCycle
- Costing
  - Spreadsheets
  - ICARUS

Plant Type	ST Cond. (psig/°F/°F)	GT	Gasifier/Boiler	Acid Gas Removal/ CO <sub>2</sub> Separation / Sulfur Recovery	CO <sub>2</sub> Cap
IGCC	1800/1050/1050	F Class	GE	Selexol / - / Claus	90%
			CoP	Selexol / Selexol / Claus	
			E-Gas	MDEA / - / Claus	90%
			Shell	Selexol / Selexol / Claus	
PC	2400/1050/1050		Subcritical		
	3500/1100/1100		Supercritical		
NGCC	2400/1050/950	F Class	HRSG		



"Cost and Performance Baseline for Fossil Energy Power Plants Study, Volume 1: Bituminous Coal and Natural Gas to Electricity," National Energy Technology Laboratory, [www.netl.doe.gov](http://www.netl.doe.gov), May 2007.



# Office of Research and Development

## *Creates and Transfers Innovative Fossil Energy Technologies*

### Focus Areas

- Energy System Dynamics
- Geological & Environmental Science
- Materials Science
- Computational & Basic Science
  - Computational Chemistry
  - Multiphase Flow
  - Device-Scale Simulation
  - Model Validation
  - Process & Dynamic Systems



# Outline of Presentation

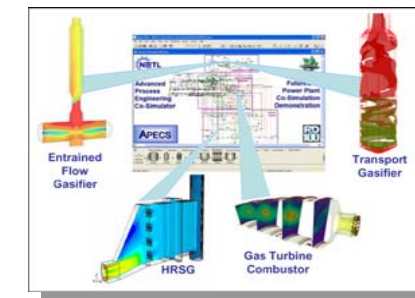
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  - Industry R&D Challenges
  - NETL R&D Activities
- Concluding Remarks



*FutureGen Plant*



NETL Onsite R&D



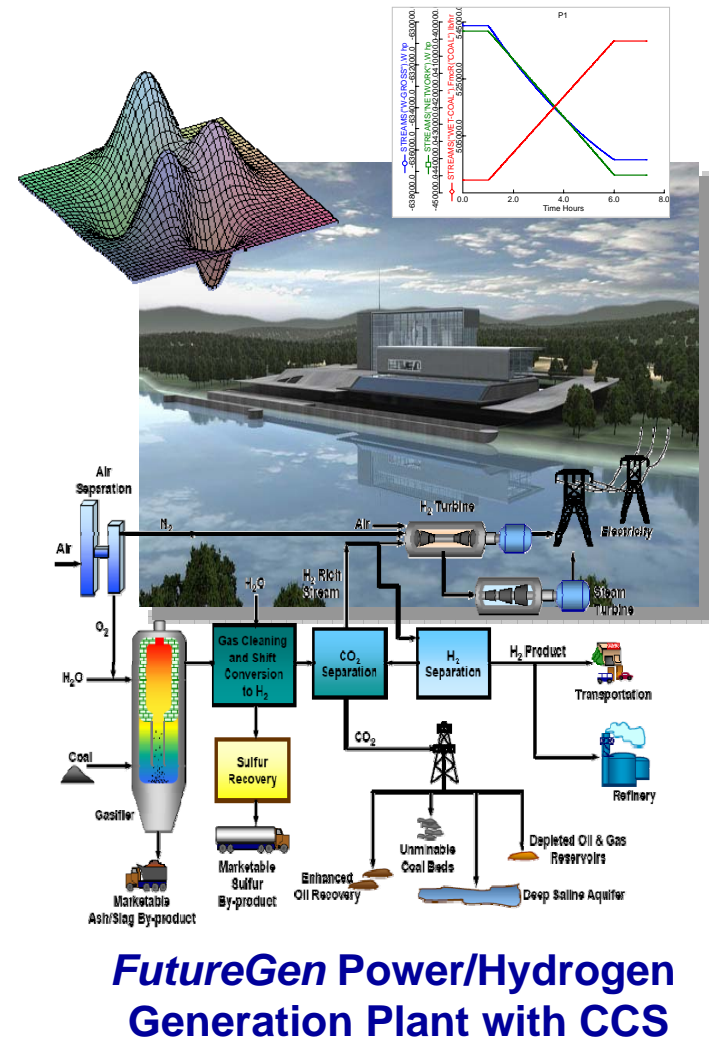
APECS Co-Simulation



# Fossil Energy Power Generation Industry

## *Process Systems Engineering R&D Challenges*

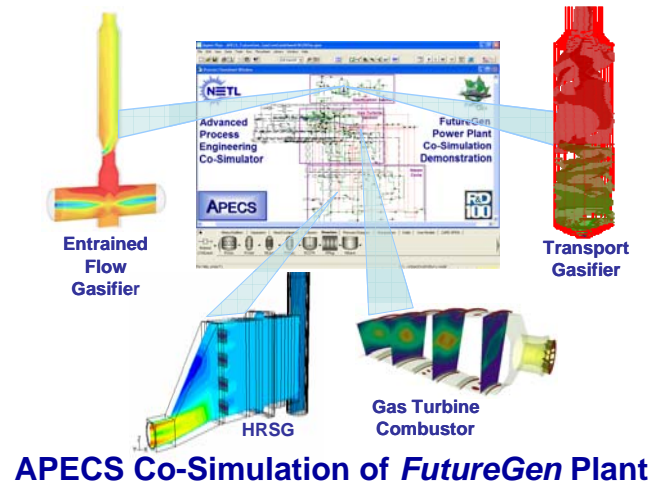
- Wide range of potential plant configurations with heat/water network management
- Large, complex, highly-integrated, and multipurpose designs
- Limited number of demo plants
- Aggressive design goals
  - Zero emissions
  - Unprecedented efficiency
- Uncertain cost fluctuations for raw materials / finished products
- Growing demand for experience with plant operations and control
- Optimization across plant lifecycle



# Process Systems Engineering R&D at NETL

## *Goals and Objectives*

- Reach beyond traditional steady-state systems analysis
- Accelerate R&D on advanced models, methods, and tools for process systems engineering
  - High-fidelity modeling
  - Dynamic modeling
  - Systems optimization
- Apply to existing and emerging fossil energy systems
- Establish strong RD&D collaborations
- Transfer technology to process and energy industries



**NETL Collaboratory  
for Process &  
Dynamic Systems  
Research**



**APECS- 2006/07 Federal  
Laboratory Consortium  
Awards for Excellence in  
Technology Transfer**



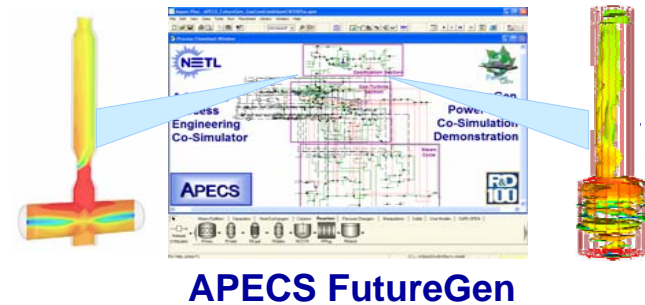


# Process Systems Engineering R&D

## *Focus Areas*

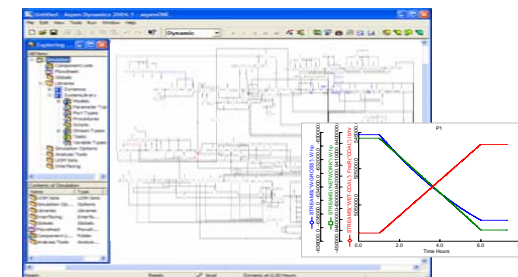
- **High-Fidelity Systems**

- Advanced process engineering co-simulation (APECS)
- Virtual power plant simulation



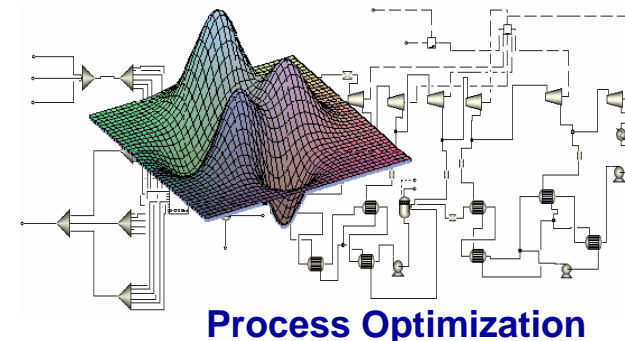
- **Dynamic Systems**

- Dynamic simulation
- Process control
- Real-time applications



- **Systems Optimization**

- Plant-wide optimization
- Stochastic simulation for uncertainty/risk analysis
- Cost estimation



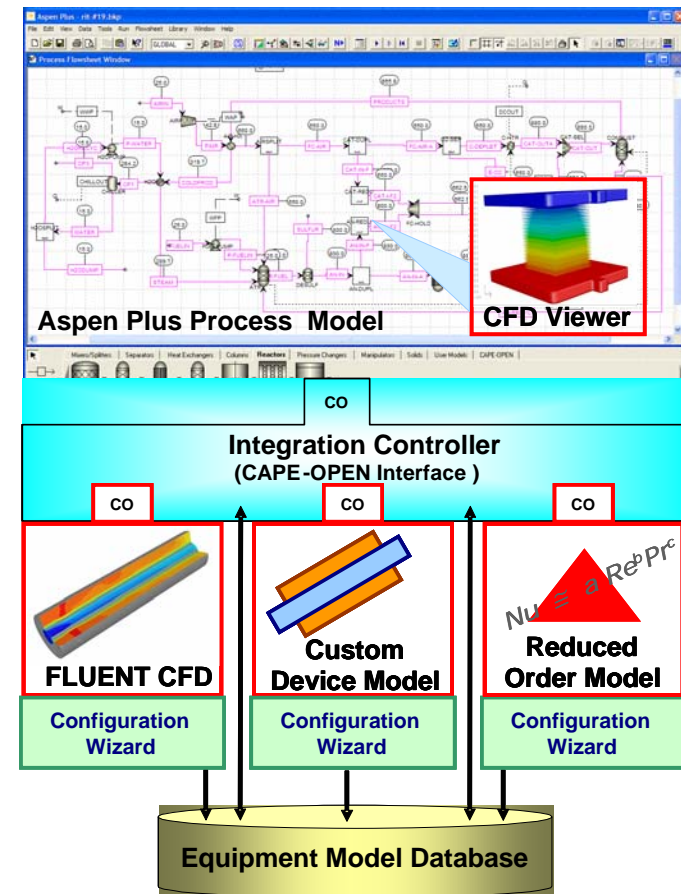




# Advanced Process Engineering Co-Simulator (APECS)



- Co-simulation software framework for integration of:
  - Process simulation
  - Equipment simulations
    - Computational fluid dynamics (CFD)
    - Custom engineering models (CEMs)
    - Reduced-order models (ROMs)
- Enables analysis and optimization of overall plant performance with respect to complex thermal and fluid flow phenomena

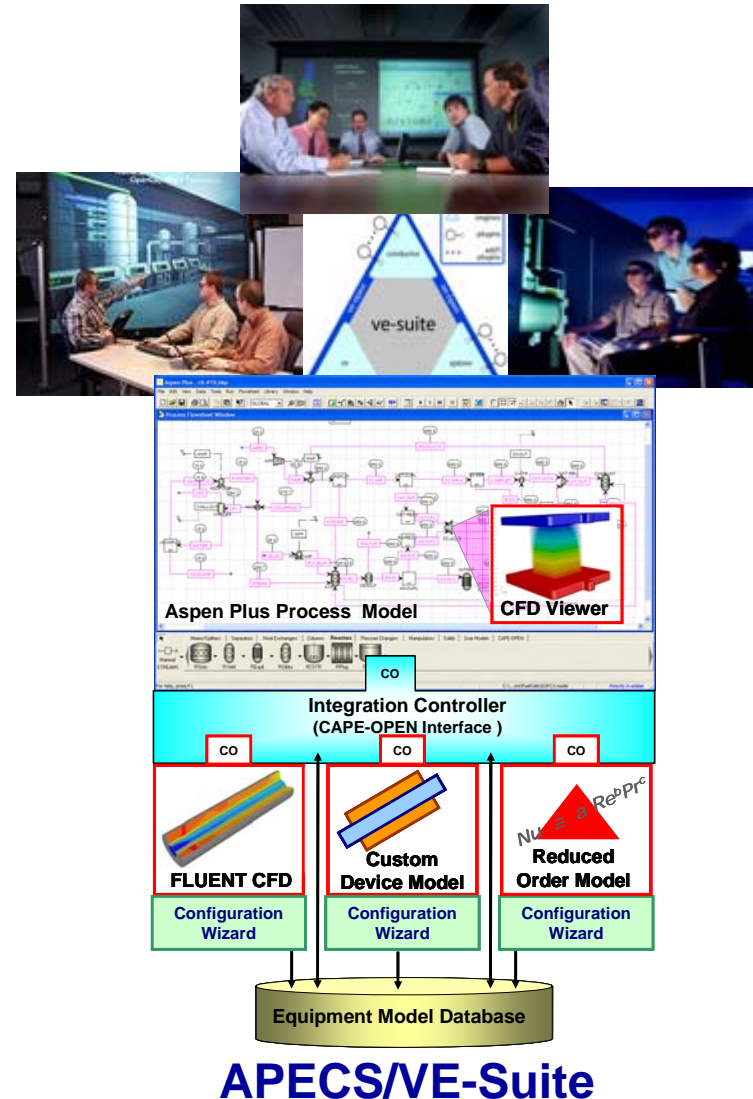


APECS Software Integration Framework



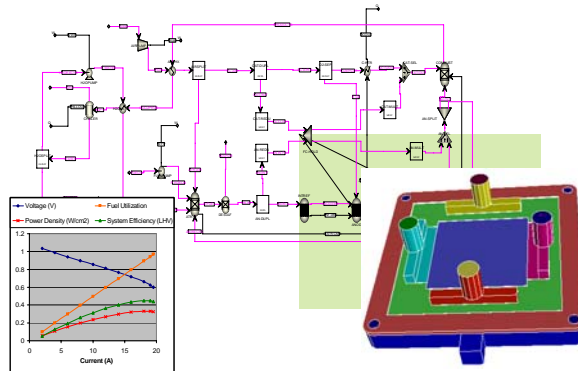
# APECS Software Components and Features

- **Process Simulators**
  - CAPE-OPEN compliant
  - Aspen Plus®, HYSYS®, gPROMS®
- **Equipment Models and Database**
  - CAPE-OPEN compliant
  - CFD: FLUENT®
  - Custom Models: e.g., INDVU
  - ROMs: LR, NN, PCA, POD
- **Integration Controller**
  - CAPE-OPEN v1.0 Interfaces
  - Unit Ops, Phys Props, Reactions
- **Configuration Wizards**
  - FLUENT®, Custom Model, and ROM
- **Solution/Analysis Tools**
  - CAPE-OPEN compliant
  - Hybrid: Speed (ROM), Accuracy (CFD)
  - Stochastic, Multi-objective Optimization
- **Distributed Execution**
  - CAPE-OPEN COM/Corba Bridge
  - Windows/Linux, Serial/Parallel
- **Virtual Engineering**
  - CFD Viewer (2D), Paraview (3D)
  - VE-Suite

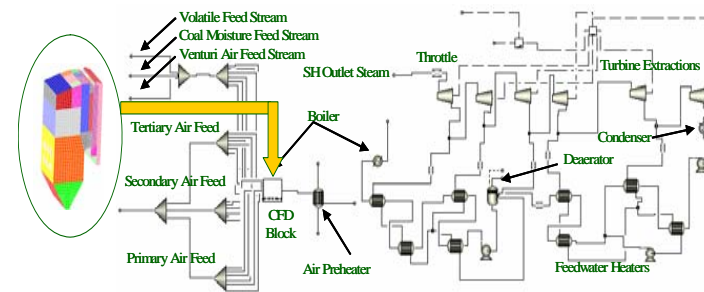


# APECS Power Generation Applications

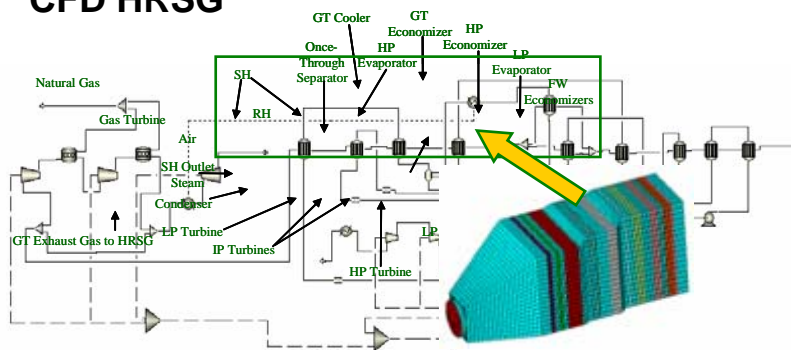
- Fuel Cell Auxiliary Power Unit (APU) with 3D CFD SOFC



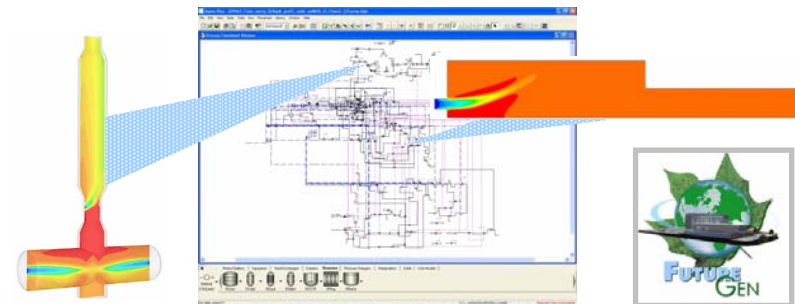
- ALSTOM Conventional Steam Plant (250MWe) with 3D CFD Boiler



- ALSTOM NGCC (250MWe) with 3D CFD HRSG



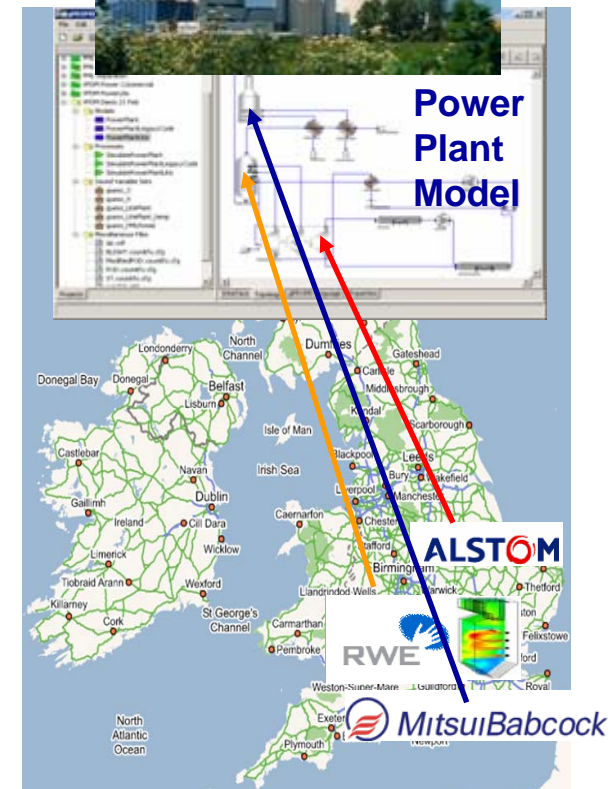
- FutureGen Plant (250MWe) with 3D CFD Gasifier and 2D CFD Turbine Combustor



# US-UK Collaboration on Virtual Plant Simulation

## *Fossil Energy R&D*

- **Major Goal**
  - Develop compatible process/CFD co-simulation software platforms for application to advanced FE systems
- **Leverages On-Going R&D Projects**
  - US DOE/NETL APECS
  - UK Virtual Plant Demonstration Model (VPDM)
  - CAPE-OPEN Software Standard
- **Didcot A coal-fired power station**
  - Plant: PSE (gPROMS)
  - Equipment Items:
    - Furnace – RWE; APECS for CFD (FLUENT) / ROM (ANN)
    - Boiler – MBEL; Turbine – ALSTOM



**Distributed Equipment Models**



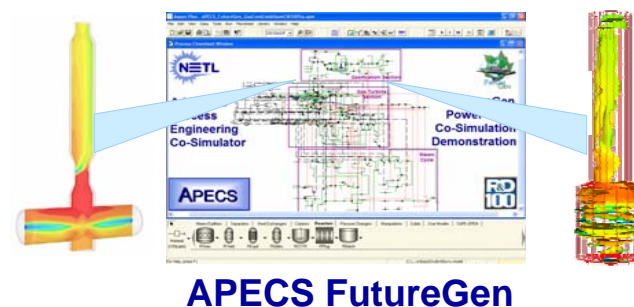


# Process & Dynamic Systems Research

## *Focus Areas*

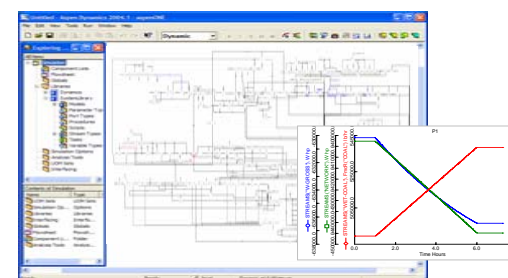
- **High-Fidelity Systems**

- Advanced process engineering co-simulation (APECS)
- Virtual power plant simulation



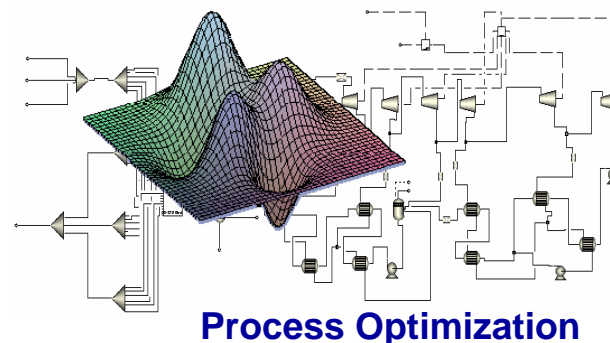
- **Dynamic Systems**

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- Process control
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- **Systems Optimization**

- Plant-wide optimization
- Stochastic simulation for uncertainty/risk analysis
- Cost estimation



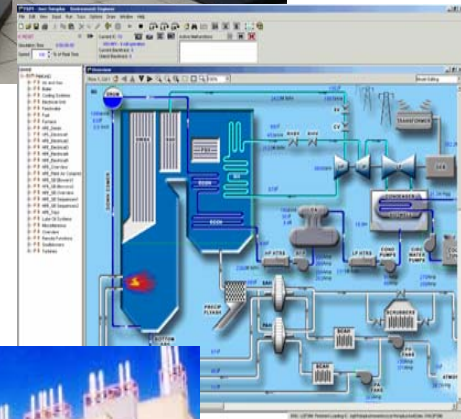
# IGCC Dynamic Simulator & Research Center

## *Project Objectives*

- Full-scope, high-fidelity, real-time, generic IGCC simulator
- IGCC DS&R Center at WVU's National Research Center for Coal & Energy (NRCCE)
- NETL Collaboratory for Process & Dynamic Systems Research
- Strong R&D collaborations
  - Enginomix, EPRI, FCS, Bechtel, Software/services vendor, ...
- Industry participation
  - CoalFleet (AEP, BP, GRE, ...)
- Custom, site-specific IGCC dynamic simulators
- Extension to *FutureGen* and other advanced FE systems



**IGCC  
DS&R  
Center**



**WVU's  
NRCCE**

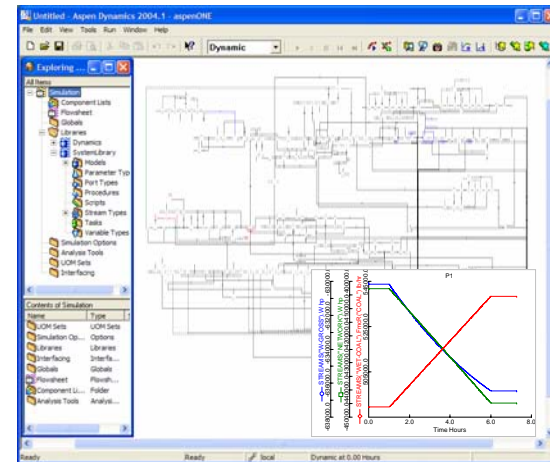




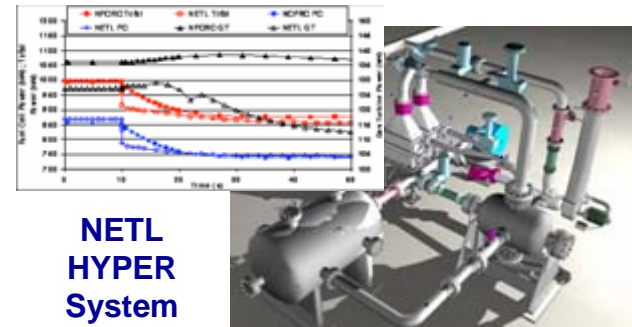
# Process & Dynamic Systems Research

## *R&D Projects – Dynamic Systems*

- Dynamic IGCC Model for Industry Cluster (Turton - WVU)
- Plant-wide IGCC Control and RT-Optimization (Ydstie - CMU)
- Plant-wide IGCC Model Predictive Control (Bequette - RPI)
- Nonlinear Model Predictive Control for IGCC (Biegler - CMU)
- Gas Turbine/Fuel Cell Hybrid Systems (NETL)



**Plantwide IGCC Simulation  
in Aspen Dynamics**



**NETL  
HYPER  
System**

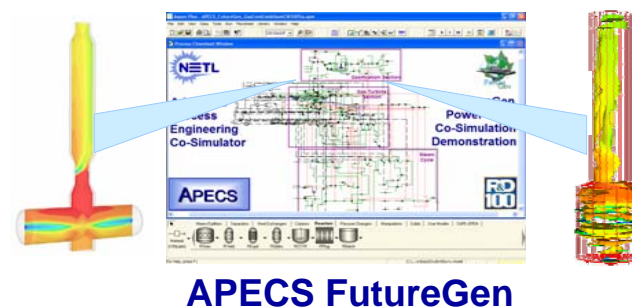


# Process & Dynamic Systems Research

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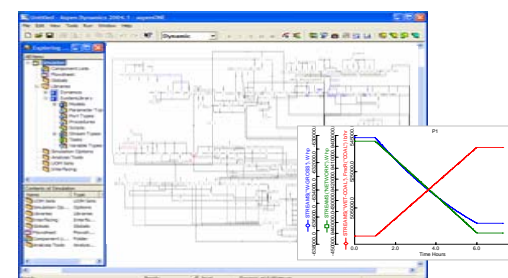
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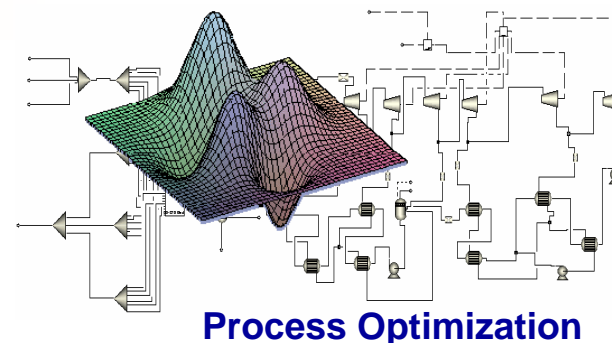
- **Dynamic Systems**

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- Process control
- Real-time applications



- **Systems Optimization**

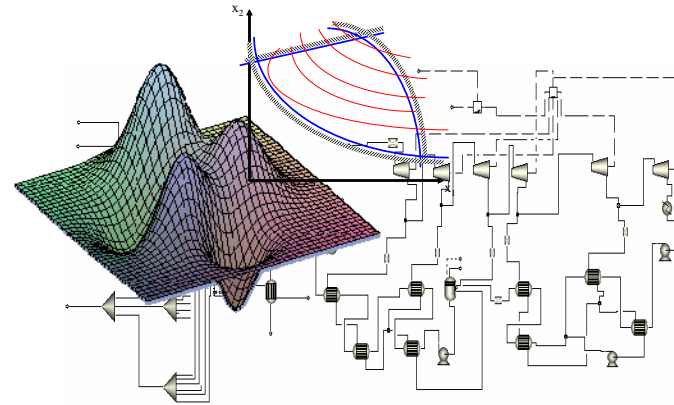
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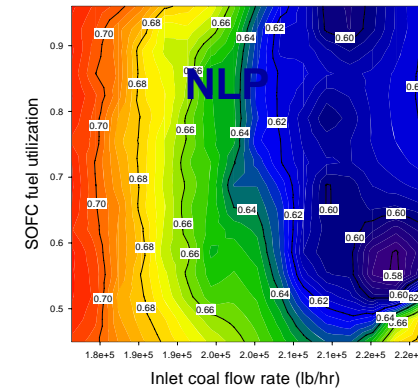
# Process & Dynamic Systems Research

## *R&D Projects – Optimization*

- IGCC Process Synthesis  
(Grossmann – CMU)
- Integrated Optimization  
Strategies for Zero-Emission  
Power Plants  
(Biegler - CMU)
- Stochastic Analysis and Multi-  
objective Optimization  
(Diwekar – VRI)
- Aspen-based Performance  
and Cost Models for Power  
Systems Analysis  
(Rubin - CMU)



**Process Optimization**



**Stochastic Simulation of  
Advanced Power Plant**



# Outline of Presentation

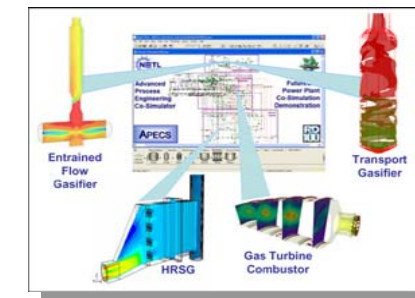
- Introduction
- US Fossil Energy Outlook
- NETL's Fossil Energy Mission
  - Energy Policy Support
    - Clean Coal Power Initiative
    - *FutureGen*
  - Extramural RD&D
  - Onsite Research and Analysis
- Process Systems Engineering
  - Industry R&D Challenges
  - NETL R&D Activities
- Concluding Remarks



*FutureGen Plant*



NETL Onsite R&D



APECS Co-Simulation



## Concluding Remarks

- U.S. energy challenges are daunting
- Fossil fuels, including coal, will continue to play a major role for decades
- IGCC emerging as an attractive technology option for next-generation, coal-fired plants
- *FutureGen* is a pathway to zero-emission, coal-fueled, power generation
- NETL supports DOE's Fossil Energy mission with broad spectrum of R&D from fundamental science to technology demonstrations
- NETL's process systems engineering R&D is aimed at reducing the time, cost, and risk of developing advanced power generation plants
- Optimization challenges across the power plant lifecycle offer considerable potential for collaborative research



IGCC Plant



FutureGen Plant



NETL Onsite R&D





# Visit Fossil Energy, NETL, and *FutureGen* Websites



<http://fossil.energy.gov/>



[www.netl.doe.gov](http://www.netl.doe.gov)



[www.futuregenalliance.org](http://www.futuregenalliance.org)



# Thank You

## Questions?

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