

# **Impermeable thin $\text{Al}_2\text{O}_3$ overlay for TBC protection from sulfate and vanadate attack in gas turbines**

## **Final Report**

Reporting Period Start Date: Sep. 1, 2001  
Reporting Period End Date: Aug. 31, 2005  
Principal Author: Scott X. Mao  
Date Report was issued (Oct 30, 2005)  
DOE Award Number: DE-FC26-01NT41189

Department of Mechanical Engineering  
University of Pittsburgh  
3700 O'Hara St.  
Pittsburgh, PA 15261  
[smao@engr.pitt.edu](mailto:smao@engr.pitt.edu), Tel: 412-624-9602

## DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United State Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United State Government or any agency thereof.

## ABSTRACT

In order to improve the hot corrosion resistance of conventional YSZ TBC system, a dense and continues overlay of  $\text{Al}_2\text{O}_3$  coating of about 0.1 - 25  $\mu\text{m}$  thick was deposited on the surface of TBC by EB-PVD, high velocity oxy-fuel (HVOF) spray and composite-sol-gel (CSG) techniques. Hot corrosion tests were carried out on the TBC with and without  $\text{Al}_2\text{O}_3$  coating in molten salts mixtures ( $\text{Na}_2\text{SO}_4 + 5\%\text{V}_2\text{O}_5$ ) at  $950^\circ\text{C}$  for 10h. The microstructures of TBC and overlay before and after exposure were examined by means of scanning electron microscopy (SEM), energy-dispersive X-ray spectrometer (EDX), X-ray diffraction (XRD) and secondary ion mass spectrometry (SIMS). In order to investigate the effect of  $\text{Al}_2\text{O}_3$  overlay on degradation and spalling of the TBC, indentation test has been employed to study spallation behaviors of YSZ coating with and without  $\text{Al}_2\text{O}_3$  overlay.

It has been found that TBC will react with  $\text{V}_2\text{O}_5$  to form  $\text{YVO}_4$  in hot corrosion tests. A substantial amount of M-phase of  $\text{ZrO}_2$  was formed due to the leaching of  $\text{Y}_2\text{O}_3$  from YSZ. During hot corrosion test, there were no significant interactions between overlay  $\text{Al}_2\text{O}_3$  coating and molten salts. After exposure, the alumina coating, especially produced by HVOF, was still very dense and cover the surface of YSZ, although they had been translated to  $\alpha - \text{Al}_2\text{O}_3$  from original  $\gamma - \text{Al}_2\text{O}_3$ . As a result,  $\text{Al}_2\text{O}_3$  overlay coating decreased the penetration of salts into the YSZ and prevented the YSZ from the attack by molten salts containing vanadium. Accordingly, only a few M-phase was formed in YSZ TBC, compared with TBC without overlay coating. The penetration of salts into alumina coating was thought to be through microcracks formed in overlay  $\text{Al}_2\text{O}_3$  coating and at the interface between alumina and zirconia due to the presence of tensile stress in the alumina coating.  $\text{Al}_2\text{O}_3$  overlay acted as a barrier against the infiltration of the molten salt into the YSZ coating during exposure, thus significantly reduced the amount of M-phase of  $\text{ZrO}_2$  in YSZ coating. However, a thick  $\text{Al}_2\text{O}_3$  overlay was harmful for TBC by increasing compressive stress which causes crack and spalling of YSZ coating. As a result, a dense and thin  $\text{Al}_2\text{O}_3$  overlay is critical for simultaneously preventing YSZ from hot corrosion and spalling.

## TABLE OF CONTENTS

1. Introduction
2. Executive summary
3. Experimental
4. Results and discussion
5. Conclusion
6. Paper published
7. References

## LIST OF GRAPHICAL MATERIALS

- Fig.1 SEM micrographs of (a) cross-section and (b) surface of as-sprayed TBC
- Fig.2 SEM micrographs of (a) cross-section and (b) surface of TBC with EB-PVD overlay  $\text{Al}_2\text{O}_3$  coating
- Fig.3 SEM micrographs of (a) cross-section and (b) surface of TBC with HVOF overlay  $\text{Al}_2\text{O}_3$  coating
- Fig.4 Surface morphology of YSZ deposited with CSG alumina coating.
- Fig.5 Cross-section of YSZ with CSG alumina overlay
- Fig.6 XRD patterns of TBC before and after exposure to the molten salts
- Fig.7 XRD patterns of TBC with EB-PVD  $\text{Al}_2\text{O}_3$  overlay coating before and after exposure to the molten salts
- Fig.8 XRD patterns of TBC with HVOF  $\text{Al}_2\text{O}_3$  overlay coating before and after exposure to the molten salts
- Fig.9 A comparing in destabilization (D) of the TBC with and without overlay  $\text{Al}_2\text{O}_3$  coating
- Fig.10 SEM surface micrograph of TBC after exposure showing the formation of  $\text{YVO}_4$
- Fig.11 SEM micro images of cross-section of TBC after exposure
- Fig.12 X-ray maps of the cross-section of YSZ coating after a hot corrosion test
- Fig.13 SEM surface micrograph of YSZ/EB-PVD  $\text{Al}_2\text{O}_3$  coating after exposure to the molten salts
- Fig.14 X-ray maps of cross-section of YSZ/EB-PVD  $\text{Al}_2\text{O}_3$  coating
- Fig.15 SEM surface micrograph of YSZ/HVOF  $\text{Al}_2\text{O}_3$  coating after exposure to the molten salts
- Fig.16 X-ray maps of cross-section of YSZ/HVOF  $\text{Al}_2\text{O}_3$  coating
- Fig.17 XRD patterns of TBC before exposure (A) and after exposure (B) to the molten salts
- Fig.18 XRD patterns of YSZ coated with CSG alumina overlay before exposure (A) and after exposure (B) to the molten salts
- Fig.19 Surface photographs of YSZ with CSG alumina overlay after exposure
- Fig.20 Cross-section of YSZ with CSG alumina overlay after exposure
- Fig.21 SEM images showing the formation of cracks and spalling of YSZ after hot corrosion for ~100 h in composite YSZ/ $\text{Al}_2\text{O}_3$  overlay (25  $\mu\text{m}$ ) system.
- Fig.22 Cracking and spalling of YSZ coating with and without  $\text{Al}_2\text{O}_3$  (25  $\mu\text{m}$ ) after hot corrosion during indenter test.(a) and (b)YSZ,10h and 100h; (c) and (d)YSZ/ $\text{Al}_2\text{O}_3$  (25  $\mu\text{m}$ ), 10h and 100h
- Fig.23 SEM image showing no cracks and spalling of YSZ in YSZ/ $\text{Al}_2\text{O}_3$  overlay (2  $\mu\text{m}$ ) system after hot corrosion of 100 h.

Table 1 Quantitatively comparison of vanadium content in the YSZ layer by SIMS

## 1. INTRODUCTION

Thermal barrier coatings (TBCs) are finding increased application in overall component design of gas turbine. TBCs reduce the severity of thermal transients and lower the substrate temperature, thus improving fuel economy, engine power and component durability in engines. Yttria-stabilized zirconia (YSZ) TBCs is widely used in aero gas turbines [1-2]. Attempts to bring the advantages of TBCs to industrial and marine engines have been limited, however, in part because YSZ coatings are degraded by the reaction of Yttria with traces of sodium, sulfur, and especially vanadium present in many industrial-quality fuels, although zirconia itself shows good resistance to the molten sulfate or vanadate compounds arising from fuel impurities [3-4]. The majority of present-day TBCs are 8%  $Y_2O_3$ - $ZrO_2$  type as they exhibiting superior performance in the absence of vanadium. The critical problem is that yttria reacts with the  $V_2O_5$  or  $NaVO_3$  to form  $YVO_4$  in the case of molten salt containing small amount of  $V_2O_5$  as follows:



This reaction depletes the  $Y_2O_3$  stabilizer from  $ZrO_2$  matrix and causes destabilization (i.e., transformation of the zirconia from the tetragonal and/or cubic to monoclinic phase upon cooling, which is accompanied by a large destructive volume change.) and degradation of the YSZ coating. Destabilization of the TBCs eventually causes the delamination and spalling of the ceramics coating. In addition, molten salts can penetrate into the YSZ coatings along porous and cracks in YSZ TBC and react with the metallic bond coat.

Therefore, the proposed idea for preventing the YSZ coating system from hot corrosion is the development of a dense overlay on the outer surface of YSZ coating to isolate the YSZ coating system from the molten salts so that chemical or physical change of the YSZ coating does not occur.

Alumina ( $Al_2O_3$ ) is a well-know oxide material that has diverse application as engineering ceramics. Alumina has a high melting point and stabilization without showing phase transition at high temperature like the  $ZrO_2$  ceramics. In addition,  $Al_2O_3$  has a small solubility particularly in molten salts and is expected to show an excellent corrosion resistance.  $Al_2O_3$  layer on metallic substrate has exhibited a very important role against corrosion. This allows the potential application of  $Al_2O_3$  in gas turbines. However,  $Al_2O_3$  has relatively high thermal conductivity (0.02-0.06W/cmK) compared with YSZ. Therefore, in the present TBC design, the YSZ coating acts as a thermal barrier and the  $Al_2O_3$  coating plays a role in preventing hot-corrosion.

In the present study, a high-purity  $Al_2O_3$  overlay was deposited onto the surface of YSZ coating by means of EB-PVD, high velocity oxy-fuel (HVOF) spray and composite-sol-gel (CSG) techniques. Hot corrosion tests were carried out. By using XRD, SEM and EDX analyses, the microstructure, hot corrosion behaviors of the surface modified TBC system with alumina coating were described in comparison with the conventional TBC system.

However, due to the thermal expansion mismatch between YSZ coating and  $Al_2O_3$  overlay, such surface modification using  $Al_2O_3$  overlay might deteriorate strain tolerance of the TBC. In the present work, in order to investigate the effect of  $Al_2O_3$  overlay on degradation and



spalling of the TBC, indentation test has been employed to study spallation behaviors of YSZ coating with and without  $\text{Al}_2\text{O}_3$  overlay.

## 2. EXECUTIVE SUMMARY

Overlay of  $\text{Al}_2\text{O}_3$  coating deposited by EB-PVD, HVOF and CSG is mainly consisted of  $\gamma$ - $\text{Al}_2\text{O}_3$ . The  $\text{Al}_2\text{O}_3$  overlay was dense, continuous and adherent to the TBC. Hot corrosion tests were done on TBC with and without the  $\text{Al}_2\text{O}_3$ . The results show that there were no significant interactions between overlay  $\text{Al}_2\text{O}_3$  coating and molten salts.  $\text{Al}_2\text{O}_3$  overlay coating can prevent the YSZ from the attack by molten salts containing vanadium and decrease the penetration of the salts into the YSZ TBC, although there were some cracks in alumina coating and at the interface between alumina and zirconia formed during the hot corrosion tests. Indenter test was employed to investigate the spalling of YSZ with and without  $\text{Al}_2\text{O}_3$  overlay after hot corrosion. The results showed that a thick  $\text{Al}_2\text{O}_3$  overlay was harmful for TBC by increasing compressive stress which causes crack and spalling of YSZ coating. As a result, a dense and thin  $\text{Al}_2\text{O}_3$  overlay is critical for simultaneously preventing YSZ from hot corrosion and spalling.

## 3. EXPERIMENTAL

The TBC system used in this study consisted of 6061 nickel-based superalloy substrate, CoNiCrAlY alloy bond coat as well as zirconia-8%yttria (YSZ) ceramic top coating. The bond coat and the YSZ TBC were produced by LPPS and APS, with the thickness of 100 and 250  $\mu\text{m}$ , respectively. After receiving the TBC samples, overlay  $\text{Al}_2\text{O}_3$  coating was deposited by EB-PVD, HVOF and CSG techniques. The thickness of  $\text{Al}_2\text{O}_3$  coating was approximately 0.1 - 30  $\mu\text{m}$ .

In order to compare the hot corrosion resistance of the TBCs with and without  $\text{Al}_2\text{O}_3$  coating, hot corrosion experiments were carried out. The samples were exposed to molten salts mixtures ( $\text{Na}_2\text{SO}_4 + 5\%\text{V}_2\text{O}_5$ ) by placing them into a still air furnace at  $950^\circ\text{C}$  for 10h exposures. A Philips PW1700 series diffractometer was employed to perform the phase analysis. X-ray diffraction (XRD) was used to determine whether reaction had taken place. XRD patterns were first obtained from the samples (YSZ TBC and YSZ TBC/ $\text{Al}_2\text{O}_3$  overlay) before exposure to the molten salt. After exposure, the samples were cooled down to room temperature in the furnace. The exposed samples were cleaned in distilled water. XRD analyses were then carried out to the exposed samples. The extent of destabilization (D) of the YSZ TBC was estimated by

$$D (\%) = \frac{M}{T + M} \times 100 \quad (2)$$

Where T is the height of the zirconia tetragonal (111) peak, and M is the height of the zirconia monoclinic ( $1\bar{1}\bar{1}$ ) peak in XRD test. For the sample of TBC/ $\text{Al}_2\text{O}_3$  overlay, in order to detect the same depth as that of TBC without  $\text{Al}_2\text{O}_3$  overlay, XRD test was done again on the sample whose overlay  $\text{Al}_2\text{O}_3$  coating has been removed.

The microstructures and composition changes on the coating surface and their cross-sections after hot corrosion tests were examined using scanning electron microscopy (SEM), energy-dispersive X-ray spectrometer (EDX) equipped in SEM and SIMS.

In order to investigate the effect of  $\text{Al}_2\text{O}_3$  overlay on degradation and spalling of the TBC, high-purity  $\text{Al}_2\text{O}_3$  overlays of 25  $\mu\text{m}$  and 2  $\mu\text{m}$  thick are deposited onto the surface of YSZ coating by means of HVOF) spray and CSG techniques, respectively. After exposure to air and

to molten  $\text{Na}_2\text{SO}_4$  salt containing  $\text{V}_2\text{O}_5$  at high temperature, in addition to examinations of microstructure and visual check of TBC spallation, indentation test has been employed to study spallation behaviors of YSZ coating with and without  $\text{Al}_2\text{O}_3$  overlay.

## 4. RESULTS AND DISCUSSION

### 4.1 CHARACTERS OF MATERIALS

#### 4.1.1 Conventional YSZ TBC

SEM micrographs of the cross-section and surface morphology of as-sprayed TBC, shown in Fig.1, indicated that the TBC had a typical APS microstructure [5] and contained predominantly T-phase of  $\text{ZrO}_2$  (see A in Fig.6), with inter-splat porosity and complex pattern of microcracks. It was found that the thickness of the bond coat and YSZ was 100  $\mu\text{m}$  and 250  $\mu\text{m}$ , respectively. It was visible that there were microcracks and porous on the surface of the TBC, which are considered to be the path for molten salts to attack the TBC system.

#### 4.1.2 TBC/EB-PVD overlay $\text{Al}_2\text{O}_3$ coating

Fig.2 shows the cross-section and surface SEM micrograph of the TBC with  $\text{Al}_2\text{O}_3$  overlay coating sputtered by EB-PVD. It is seen that the  $\text{Al}_2\text{O}_3$  coating is dense and adherent to the TBC. The thickness of the  $\text{Al}_2\text{O}_3$  coating was estimated to be about 25  $\mu\text{m}$ . The surface micrograph of as-deposited specimen revealed a ‘cauliflower’ type of structure or dome shaped. The XRD pattern of the specimen in the as-deposited condition (A in Fig.7) demonstrated that TBC contained predominantly T-phase and  $\text{Al}_2\text{O}_3$  coating was mainly consisted of *g*- $\text{Al}_2\text{O}_3$ . The broad *g*- $\text{Al}_2\text{O}_3$  peaks indicated either nanosize crystallites or stress within the overlay  $\text{Al}_2\text{O}_3$  coating.

#### 4.1.3 TBC/HVOF overlay $\text{Al}_2\text{O}_3$ coating

Fig.3 shows the cross-section and surface SEM micrograph of the TBC with  $\text{Al}_2\text{O}_3$  overlay coating sprayed by HVOF technique.  $\text{Al}_2\text{O}_3$  coating is also very dense and adherent to the TBC. The surface morphology of alumina overlay coating produced by HVOF was similar to that of ASP zirconia TBC layer, but the particle size was much smaller than that of TBC, probably due to the higher temperature and particle speed during HVOF deposit. Therefore, denser, non-cracks and continuous coating could be obtained.  $\text{Al}_2\text{O}_3$  overlay coating sprayed by HVOF was comprised of *g*- $\text{Al}_2\text{O}_3$  and a little bit of *a*- $\text{Al}_2\text{O}_3$  (A in Fig.8).

#### 4.1.4 TBC/CSG overlay $\text{Al}_2\text{O}_3$ coating

Thin and dense alumina overlay (60-1000 nm) has been successfully deposited on the surface of YSZ by the composite-sol-gel (CSG) dip coating route with dipping solution consisted of 30cm<sup>3</sup> of 1 mol dm<sup>-3</sup> boehmite sol mixed with 20cm<sup>3</sup> aqueous polyvinyl alcohol (PVA) solution at a concentration of 3.5g per 100cm<sup>3</sup> and 5 g calcined *a*- $\text{Al}_2\text{O}_3$  particles (300 nm) followed by calcinations at 1200°C. CSG alumina overlay remarkably refrained the infiltration of the molten salt into the YSZ coating. The amount of M-phase in the TBC coating with  $\text{Al}_2\text{O}_3$  overlay was substantially reduced comparing to that without alumina overlay.

The secondary- electron and backscattered-electron morphologies of the YSZ surface after dip coating with boehmite sol followed by calcined at 1200°C are shown in Fig.4. Fig.4(c)

shows that a microcrack of 0.5-1.0  $\mu\text{m}$  width on the YSZ surface was filled and blocked by alumina particles whose size was in the range of 100-350nm. The dark regions in backscattered-electron morphologies (A in Fig.4(d)) had a lower average atomic weight in comparison to the white regions (B in Fig.4(d)). Results of EDS analyses (Fig.4(e) and Fig.4(f)) showed that the dark regions had higher Al content, i.e. thicker alumina overlay, than white region. This result indicated the thickness of alumina overlay was not uniform probably due to the rough character of the YSZ surface.

The cross-section of the YSZ with alumina overlay is shown in Fig.5. The thickness of alumina overlay was ranged from 100nm to 500nm. Occupation of the microcracks and porous near the surface in YSZ by alumina because of penetration of the low viscosity precursor could also be found (as shown in Fig.5 by arrows).

## 4.2 XRD and SEM analyses on TBC and TBC/ $\text{Al}_2\text{O}_3$ samples by PVD and HVOF

X-ray diffraction before and after exposure to molten slats has provided the information of the extent of reactions occurred during hot corrosion in TBC. The X-ray diffraction of as-sprayed TBC demonstrated that it contained predominantly T-phase of  $\text{ZrO}_2$  (A in Fig.6). After exposure to the molten mixture of salts of  $\text{Na}_2\text{SO}_4 + 5\%\text{V}_2\text{O}_5$  at  $950^\circ\text{C}$  for 10h, the XRD patterns (B in Fig.6) showed that corresponding to a remarkable decrease in intensity of T-phase of zirconia, a substantial amount of M-phase was formed due to the leaching of  $\text{Y}_2\text{O}_3$  from YSZ resulting from the reaction of  $\text{Y}_2\text{O}_3$  with  $\text{V}_2\text{O}_5$  to form  $\text{YVO}_4$  (which was found in XRD patterns) according the reaction (1) indicated in Introduction.

For the TBC/EB-PVD overlay  $\text{Al}_2\text{O}_3$  coating system, the XRD patterns after exposure to molten slats (B in Fig.7) showed a few amount of M-phase in the specimen and no  $\text{YVO}_4$  peaks could be detected. Overlay  $\text{Al}_2\text{O}_3$  coating had undergone structure change from  $\text{g} - \text{Al}_2\text{O}_3$  crystalline  $\text{a} - \text{Al}_2\text{O}_3$ . However, no reaction products between  $\text{Al}_2\text{O}_3$  and mixed molten salts could be identified from the XRD results. From the XRD patterns of the sample whose  $\text{Al}_2\text{O}_3$  coating was partially removed before XRD analyses, as shown in C in Fig.7, it can be found that remarkable increase in the intensity of T-phase was resulted, indicating the destabilization (D) of the TBC with overlay  $\text{Al}_2\text{O}_3$  coating was much lower than that of TBC without overlay coating. Namely the attack of YSZ by molten salts was limited due to the present of  $\text{Al}_2\text{O}_3$  overlay coating.

Similarly, B in Fig.8 shows the XRD patterns of TBC with HVOF  $\text{Al}_2\text{O}_3$  coating after exposure. It was quite notable that just a little bit amount of M-phase was formed after exposure to molten salts.  $\text{YVO}_4$  was not picked up by XRD in the specimen, probably due to its low content that was below the detection limit. A large amount of  $\text{g} - \text{Al}_2\text{O}_3$  was transformed to  $\text{a} - \text{Al}_2\text{O}_3$  after subjecting to hot corrosion at high temperature.

Based on the XRD results, destabilization (D) could be obtained for different TBC system, as demonstrated in Fig.9. It clearly showed that  $\text{Al}_2\text{O}_3$  overlay coating can prevent the YSZ from hot corrosion by molten salts containing vanadium.

## 4.3 SEM observation

### 4.3.1 Conventional YSZ TBC

For conventional YSZ TBC system, after exposure to the salts, characteristic surface crystals among the fine zirconia grain were formed which was rich in yttrium (40.53at%) and

vanadium (36.31at%) and contained no zirconium (Fig.10). The essentially equal amounts of yttrium and vanadium indicated the crystal on the surface of TBC to be  $YVO_4$ . This was consistent with the results of XRD analyses in which the peaks of  $YVO_4$  were clearly shown. From SEM micro images of cross-section (Fig.11), it was found that  $YVO_4$  existed not only near the surface of TBC but also in the area near the bond coat, indicating that molten salts has deeply penetrated into the TBC along the porous and cracks.

X-ray maps of the cross-section of YSZ coating after a hot corrosion test are shown in Fig.12. Defects, such as pores and cracks, were observed along the cross-section. Sulphur, but no sodium, has been found in the interface region between the bond coat and substrate. Because the sample after exposure had been washed in water, sulphur was considered to come from sulphide, such as  $FeS$ , which can't be dissolved by water, as suggested by Chen et al [6]. Vanadium could not be detected in this magnification, probably due to its low content that was below the detection limit. In addition, it can be seen that the bond coat has been visibly oxidized after exposure.

#### 4.3.2 TBC/EB-PVD overlay $Al_2O_3$ coating

In the new TBC system that has overlay of  $Al_2O_3$  coating deposited by EB-PVD, the surface morphology was transformed to uniformly faceted shape after exposure due to the formation and growth of  $\alpha - Al_2O_3$  crystal (Fig.13(a)). In addition, there were crystallites marked with A in Fig.13(b) that grew along a preferred direction. By EDX analyses, it was found that they contained O, Na, Al and S, as show in Fig.13(c). These crystallites were considered to be  $NaAlO_2$  that seemed to be dense, as suggested by Chen et al [6]. However, the morphology of  $NaAlO_2$  crystallites were different from that described in literature [6] where  $NaAlO_2$  covered the APS sprayed  $Al_2O_3$  coating. Due to partially spallation of  $Al_2O_3$  grains, fine zirconia grains beneath the alumina cover layer could also be found on the surface in some large interspaces between alumina grains, as shown in Fig.13(d). However, it seemed that there was no evidence of the reaction between  $Al_2O_3$  and  $V_2O_5$ .

X-ray maps of cross-section of YSZ/EB-PVD  $Al_2O_3$  coating after exposure are shown in Fig.14. The thickness of  $Al_2O_3$  coating after exposure was about the same as that of as-deposited coating. Although  $Al_2O_3$  layer was less dense comparing to the layer before exposure because of the presence of interspaces between  $Al_2O_3$  grains and cracks in the  $Al_2O_3$  overlay and at the interface between  $Al_2O_3$  overlay and the TBC, sulphure could not be found along the cross-section maps. Moreover, less oxidation of the bond coat was observed.

#### 4.3.3 TBC/HVOF overlay $Al_2O_3$ coating

In the case of TBC system that has overlay  $Al_2O_3$  coating sprayed by HVOF, the surface was still dense even after exposure to the molten salts for 10h at  $950^\circ C$ , as shown in Fig.15. It is quite notable that the microstructure of the surface did not have notable variation compared with that of as-sprayed specimen, although it has been translated to  $\alpha - Al_2O_3$  from  $\gamma - Al_2O_3$ . The much less formation of M-phase revealed the excellent barrier action of alumina layer sprayed by HVOF to prevent the TBC from the attack of molten salts.

X-ray maps of cross-section of YSZ/HVOF  $Al_2O_3$  coating after exposure are shown in Fig.16. Obviously,  $Al_2O_3$  overlay sprayed by HVOF was denser than that deposited by EB-PVD after exposure. Also, there was no distinct change for HVOF  $Al_2O_3$  overlay before and after exposure. Accordingly, HVOF  $Al_2O_3$  overlay is more effective in preventing the TBC from the attack by molten salts.

#### 4.3.4 TBC/CSG overlay $\text{Al}_2\text{O}_3$ coating

X-ray diffraction analysis was performed on the specimen before and after hot corrosion testing. The X-ray diffraction pattern of the as-sprayed YSZ TBC demonstrated that it contained predominantly T-phase of  $\text{ZrO}_2$  (Pattern A in Fig.17). After exposure to the molten salts at  $950^\circ\text{C}$  for 10 h, high  $\text{YVO}_4$  peaks were found in XRD analyses (Pattern B in Fig.17), implying the leaching of  $\text{Y}_2\text{O}_3$  from YSZ by the reaction of  $\text{Y}_2\text{O}_3$  with  $\text{V}_2\text{O}_5$  or  $\text{NaVO}_3$ . As a result, the intensity of T-phase remarkably decreased, and a substantial amount of M-phase was formed due to the leaching of  $\text{Y}_2\text{O}_3$  from YSZ. This was in agreement with previous investigation on hot corrosion of YSZ coating [1-2].

Fig.18 exhibited the XRD patterns of the YSZ/ $\text{Al}_2\text{O}_3$  system before and after hot corrosion testing. The X-ray beam of the XRD diffractometer was focused on the surface of the  $\text{Al}_2\text{O}_3$  overlay and corresponding results were shown in Pattern A and B in Fig.18. The  $\text{Al}_2\text{O}_3$  overlay after calcined at  $1200^\circ\text{C}$  showed the  $\alpha$ -phase structure (Pattern A in Fig.18). After exposure to the molten salt, the results showed that the T-phase of  $\text{ZrO}_2$  in the YSZ coating was still predominant (Pattern B in Fig.18). And a little amount of the M-phase of  $\text{ZrO}_2$  and  $\text{YVO}_4$  existed in the YSZ coating. It is worth to notice that peaks corresponding to  $\alpha$ - $\text{Al}_2\text{O}_3$  were still evident, indicating no evidence that the chemical reaction of the  $\text{Al}_2\text{O}_3$  overlay with the molten salt had taken place. However, a peak with the  $d$  value of 2.445 Å (as shown by arrow in Fig.18) could be found on the corroded YSZ/ $\text{Al}_2\text{O}_3$  sample, which was considered to be  $\text{CoAl}_2\text{O}_4$  phase and will be discussed when SEM results are presented.

Visual examination of the surface of YSZ/ $\text{Al}_2\text{O}_3$  coating found that the surface was white before corrosion and it became blue after corrosion. Further SEM examination results are shown in Fig.19. It can be seen that  $\text{Al}_2\text{O}_3$  overlay still covered the almost YSZ surface, but the crystals of  $\text{Al}_2\text{O}_3$  were coarser and less dense than those before exposure (Fig.19(a) and Fig.4(b)). Trace of Na was detected on coarser  $\text{Al}_2\text{O}_3$  crystals region. In some regions, however, coarse and faceted crystals which filled in the porous and cracks on the YSZ surface were observed (Fig.19(b)). Those crystals consisted of Al, Co and O as well as a few Cr and Zr. The YSZ coating around those crystals was visible. Quantitative EDS analyses indicated those crystals contained 53at.%O, 28at.%Al and 14at.%Co. As a result, those crystals were identified to be  $\text{CoAl}_2\text{O}_4$ .  $\text{CoAl}_2\text{O}_4$  could also be found in XRD analyses in Fig.18, which corresponded to the peak marked with arrow.

Fig.20 demonstrates the cross-section of composite YSZ/ $\text{Al}_2\text{O}_3$  system after 10 h of exposure. Consistent with the surface morphology, alumina overlay was still adherent to the YSZ (Fig.20(a)). It is worthy of noticing that some of the pores and cracks within the YSZ coating were filled by  $\text{CoAl}_2\text{O}_4$  (marked by arrows in Fig.20(b)), which was confirmed by the EDS analysis.

#### 4.4 SIMS analyses

In order to detect the vanadium within TBC, the TRIFT TOF-SIMS was used. The primary ions were delivered using a 15keV liquid metal ( $\text{Ga}^+$ ) ion gun with a beam current 600pA. The primary ions were focused on the YSZ layer in cross-section sample with a raster

size of  $600 \times 600 \text{ nm}^2$ . The spectrum was acquired using a total ion dose of  $1 \times 10^{12} \text{ ions/cm}^2$ . The result of SIMS is shown in Table 1.

Table 1 Quantitatively comparison of vanadium content in the YSZ layer by SIMS

	YSZ	YSZ/EB-PVD $\text{Al}_2\text{O}_3$	YSZ/HVOF $\text{Al}_2\text{O}_3$
V/Zr	0.1	0.016	0.014

It was clearly showed that  $\text{Al}_2\text{O}_3$  overlay coating significantly decreased vanadium content, which is a reflection of  $\text{YVO}_4$  formed in YSZ.

The main problem associated with the  $\text{Al}_2\text{O}_3$  overlay is the cracking of alumina coating during hot corrosion tests. The reason for the formation of cracks was considered to be (1) the conversion to crystal  $\alpha - \text{Al}_2\text{O}_3$  from  $\gamma - \text{Al}_2\text{O}_3$  is associated with a volume shrinkage that easily causes internal cracking; (2) the heating cycle causes tensile stresses in the alumina due to the mismatch in thermal expansion coefficient (TEC) between alumina ( $\text{TEC} \approx 8 - 9 \times 10^{-6} / ^\circ\text{C}$ ) and zirconia ( $\text{TEC} \approx 11 - 13 \times 10^{-6} / ^\circ\text{C}$ ), which will very easily crack under this tensile straining.

#### 4.5 Indentation tests: Effect of overlay thickness on stress in YSZ coating and spalling of YSZ coating

To improve the hot corrosion resistance of YSZ thermal barrier coatings, a 25  $\mu\text{m}$  and a 2  $\mu\text{m}$  thick  $\text{Al}_2\text{O}_3$  overlay were deposited by HVOF thermal spray and by sol-gel coating method, respectively, onto to the surface of YSZ coating. Indenter test was employed to investigate the spalling of YSZ with and without  $\text{Al}_2\text{O}_3$  overlay after hot corrosion. The results showed that  $\text{Al}_2\text{O}_3$  overlay acted as a barrier against the infiltration of the molten salt into the YSZ coating during exposure, thus significantly reduced the amount of M-phase of  $\text{ZrO}_2$  in YSZ coating. However, a thick  $\text{Al}_2\text{O}_3$  overlay was harmful for TBC by increasing compressive stress which causes crack and spalling of YSZ coating. As a result, a dense and thin  $\text{Al}_2\text{O}_3$  overlay is critical for simultaneously preventing YSZ from hot corrosion and spalling.

Trying to measure the YSZ/bond coat interfacial toughness lose after hot corrosion using indentation test proposed by A. Vasinonta et al [7] was failed due to much thick YSZ coating employed in the present work. For such thick YSZ, buckling never occurred during indenter test because the indenter could not throughout penetrate the YSZ coating and as a result, interface crack could not be driven by the compressive radial strains. Nevertheless, a much large compressive stress could be induced through the YSZ coating thickness during indenter test. The compressive stresses induced by indenter and due to the phase transformation of  $T \rightarrow M$  were very harmful for the coating spalling.

After hot corrosion for 10 h and 100 h, visual and SEM examination showed no cracks on the YSZ surface and spalling for monolithic YSZ TBC system. On the contrary, composite YSZ/ $\text{Al}_2\text{O}_3$  (25  $\mu\text{m}$ ) system showed the formation of cracks and spalling of YSZ after hot corrosion for ~100 h, as shown in Fig.21. This result demonstrated that  $\text{Al}_2\text{O}_3$  overlay increased the compressive stress within the YSZ coating due to mismatch in thermal expansion between  $\text{Al}_2\text{O}_3$  and YSZ. It is further evidenced by the indenter test results, as shown in Fig.22. As can be seen from Fig.22, for 10 h hot corrosion, monolithic YSZ system did not show cracking and spalling, whereas spalling was clearly observed on the YSZ/ $\text{Al}_2\text{O}_3$  (25  $\mu\text{m}$ ) system.

Furthermore, after 100 h hot corrosion, monolithic YSZ system only cracked during indenter test, while spalling and cracking occurred on the YSZ/Al<sub>2</sub>O<sub>3</sub> (25  $\mu\text{m}$ ) system. Instead of thick Al<sub>2</sub>O<sub>3</sub> overlay, however, when much thin Al<sub>2</sub>O<sub>3</sub> overlay (2  $\mu\text{m}$ ) was deposited, neither crack nor spalling could be found on the sample hot corroded for ~100 h during indenter test, as demonstrated in Fig.23. These results revealed that a dense and thin Al<sub>2</sub>O<sub>3</sub> overlay is critical for simultaneously preventing YSZ TBC from attack of molten salt and spalling caused by compressive stress.

It is known that phase transformation from T-phase to M-phase of ZrO<sub>2</sub> is accompanied by a large destructive volume change, which will induces much large compressive stress within the YSZ coating. However, the results mentioned above demonstrate that the stresses developed in monolithic YSZ coating after hot corrosion are not sufficient to cause failure, although a large amount of M-phase of ZrO<sub>2</sub> was formed due to hot corrosion. On the other hand, composite YSZ/Al<sub>2</sub>O<sub>3</sub> (25  $\mu\text{m}$ ) overlay TBC system showed early failure through delamination. The mechanisms leading to delamination are what must be addressed.

Due to thermal expansion mismatch between Al<sub>2</sub>O<sub>3</sub> overlay and YSZ coating, stresses developed on cooling can lead to spalling or delamination of YSZ coating. The stress caused by the thermal expansion is formulated by

$$\sigma_{YSZ} = \frac{E_a' \times E' \times H_a}{E_a' \times H_a + E' \times H} (\alpha_a - \alpha) \times \Delta T \quad (3)$$

$$\sigma_a = \frac{E_a' \times E' \times H}{E_a' \times H_a + E' \times H} (\alpha - \alpha_a) \times \Delta T \quad (4)$$

where,  $E_a' = E_a / (1 - \nu_a)$ , and  $E' = E / (1 - \nu)$ .  $E$ ,  $\nu$  and  $\alpha$  are Young's modulus, Poisson's ratio, and thermal expansion coefficient of the YSZ coating respectively;  $E_a$ ,  $\nu_a$  and  $\alpha_a$  are Young's modulus, Poisson's ratio, and thermal expansion coefficient of Al<sub>2</sub>O<sub>3</sub> overlay respectively;  $H$  and  $H_a$  are the thickness of YSZ coating and Al<sub>2</sub>O<sub>3</sub> overlay, respectively. The Young's modulus of YSZ is 50 GPa and that of Al<sub>2</sub>O<sub>3</sub> overlay is 375 GPa.  $\nu$  and  $\nu_a$  are supposed to be 0.1 and 0.25 respectively.  $\alpha$  and  $\alpha_a$  are  $11 \times 10^{-6}$  and  $8 \times 10^{-6}$ , respectively.  $\Delta T$ , difference between exposure temperature and room temperature after cooling, can be taken as -930°C (950°C-20°C). Thus the stresses encountered in YSZ coating on cooling to room temperature are approximately 73.35MPa and 10.4MPa for Al<sub>2</sub>O<sub>3</sub> overlay thickness of 25  $\mu\text{m}$  and 2  $\mu\text{m}$ , respectively. It clearly shows that the effect of Al<sub>2</sub>O<sub>3</sub> overlay on the residual stress in YSZ coating can be significantly reduced when a much thin overlay is deposited. Similarly, the stress in Al<sub>2</sub>O<sub>3</sub> overlay after cooling can be estimated to be -734MPa and -1300MPa, for Al<sub>2</sub>O<sub>3</sub> overlay thickness of 25  $\mu\text{m}$  and 2  $\mu\text{m}$ , respectively.

Upon cooling, planar stress states will be developed in the YSZ coating due to CTE mismatch between the YSZ coating and the bond coat, and Al<sub>2</sub>O<sub>3</sub> overlay, causing spalling of the YSZ coating. Based upon the above stresses estimation, a tensile stress was developed in YSZ coating near the YSZ/Al<sub>2</sub>O<sub>3</sub> overlay interface after cooling due to the presence of Al<sub>2</sub>O<sub>3</sub> overlay. It might be found that the compressive stress with in the YSZ coating could be increased due to this tensile stress. As the Al<sub>2</sub>O<sub>3</sub> overlay thickness was decreased to 2  $\mu\text{m}$ , the

effect of  $\text{Al}_2\text{O}_3$  overlay on the compressive stress could be negligible. Consequently, the spalling of YSZ coating due to the presence of  $\text{Al}_2\text{O}_3$  overlay can be minimized.

## 5. CONCLUSION

Overlay  $\text{Al}_2\text{O}_3$  coatings with 0.1~ 25  $\mu\text{m}$  thickness have been successfully deposited on TBC by EB-PVD, HVOF and composite-sol-gel (CSG) techniques. It has been found that overlay  $\text{Al}_2\text{O}_3$  coatings were dense, continuous and adherent to the TBC. In hot corrosion tests,  $\text{Al}_2\text{O}_3$  coating rarely reacted with the molten salts. After exposure to the molten  $\text{Na}_2\text{SO}_4 + 5\%\text{V}_2\text{O}_5$  salts, just a few M-phase of zirconia was formed and no  $\text{YVO}_4$  could be detected comparing to the conventional TBC system. As a result,  $\text{Al}_2\text{O}_3$  coating play a key role in preventing the TBC from the attack by molten salts, although there were some cracks in overlay  $\text{Al}_2\text{O}_3$  coating and at the  $\text{Al}_2\text{O}_3$ /TBC interface formed during hot corrosion tests.

Because of thin and dense CSG alumina overlay on the YSZ surface and occupation of the microcracks and porous near the surface in YSZ by alumina resulting from the penetration of the low viscosity CSG precursor, the infiltration of the molten salt into the YSZ coating was remarkably refrained. After hot corrosion, the amount of M-phase in the TBC coating with  $\text{Al}_2\text{O}_3$  overlay was substantially reduced comparing with that without the  $\text{Al}_2\text{O}_3$  overlay.

Indenter test was employed to investigate the spalling of YSZ with and without HVOF and CSG  $\text{Al}_2\text{O}_3$  overlay after hot corrosion test. A thick  $\text{Al}_2\text{O}_3$  overlay was harmful for TBC by increasing compressive stress which causes crack and spalling of YSZ coating. As a result, a dense and thin  $\text{Al}_2\text{O}_3$  overlay is critical for simultaneously preventing YSZ from hot corrosion and spalling.

## 6. PAPER PUBLISHED:

- Z. Chen, N. Wu and S X. Mao, "Hot Corrosion Mechanism of Composite Alumina/Yttria-Stabilized Zirconia Coating in Molten Sulfate-Vanadate Salt", *Journal of the American Ceramic Society*, 457, p.301-306 (2004).
- Z. Chen, N. Wu, J. Singh, S X. Mao, "Effect of  $\text{Al}_2\text{O}_3$  Overlay on hot-corrosion behavior of yttria-stabilized zirconia coating in molten sulfate-vanadate salt", *Solid Thin Film* 443, pp46-52 (2003).

## 7. REFERENCES

- [1] I.Gurrappa. Thermal barrier coating for hot corrosion resistance of CM 247 LC superalloy. J. Mater.Sci.Lett., 17(1998)1267-1269
- [2] R.L.Jones. Thermogravimetric study of the 800 degree reaction of zirconia stabilizing oxides with  $\text{SO}_3\text{-NaVO}_3$ . J. Electrochem.Soc., 1992, 10(39)2794-2799
- [3] R.L.Jones. India as a hot corrosion-resistant stabilizer for zirconia. J.Am.Ceram.Soc., 1992, 75(7)1818-1821
- [4] S.A.Muqtader and R.K.Sidhu. Destabilization behavior of ceria-stabilized tetragonal zirconia polycrystals by sodium sulphate and vanadium oxide melts. J.Mater.Sci.Lett., 12(1993)831-833



- [5] A.Rabiei and A.G.Evans. Failure mechanisms associated with the thermally grown oxide in plasma-sprayed thermal barrier coatings. *Acta Materialia*. 48(2000)3963-3967
- [6] H.C.Chen et al. Degradation of plasma-sprayed alumina and zirconia coatings on stainless steel during thermal cycling and hot corrosion. *Thin solid films*. 223(1992)56-64
- [7] Aditad Vasinonta and Jack L. Beuth, "Measurement of interfacial toughness in thermal barrier coating systems by indentation", 68, 843-860( 2001).

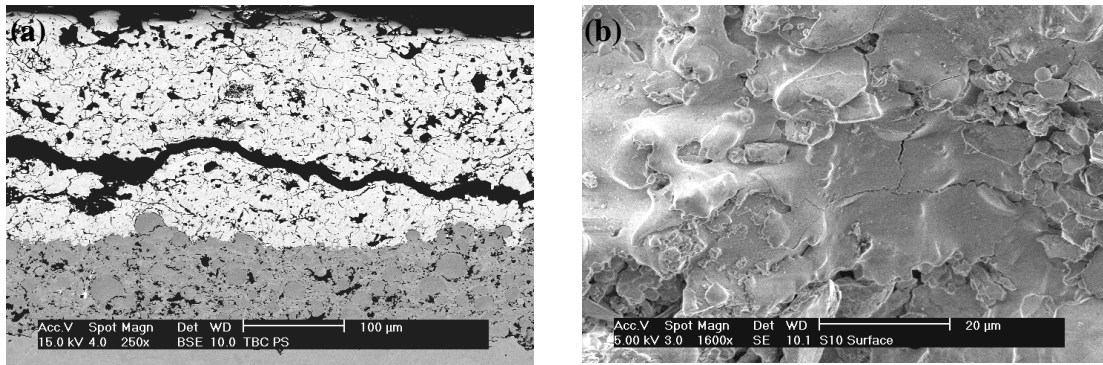


Fig.1 SEM micrographs of (a) cross-section and (b) surface of as-sprayed TBC

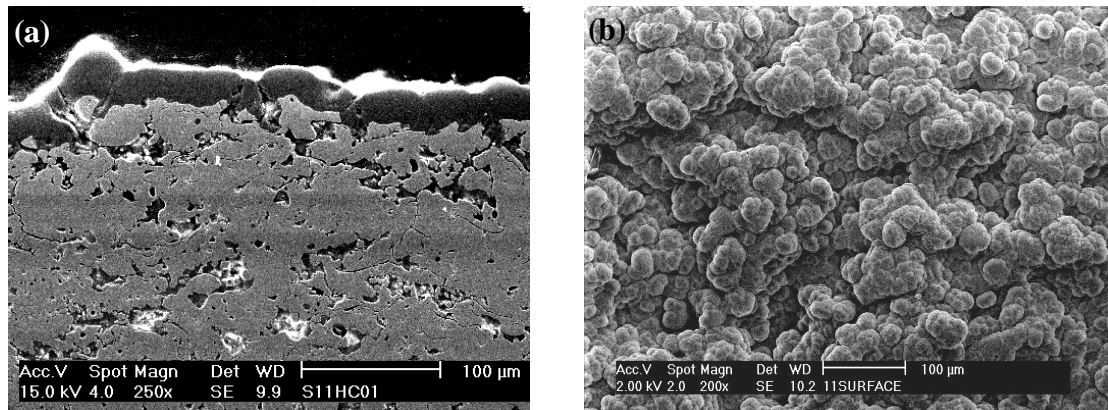


Fig.2 SEM micrographs of (a) cross-section and (b) surface of TBC with EB-PVD overlay  $\text{Al}_2\text{O}_3$  coating

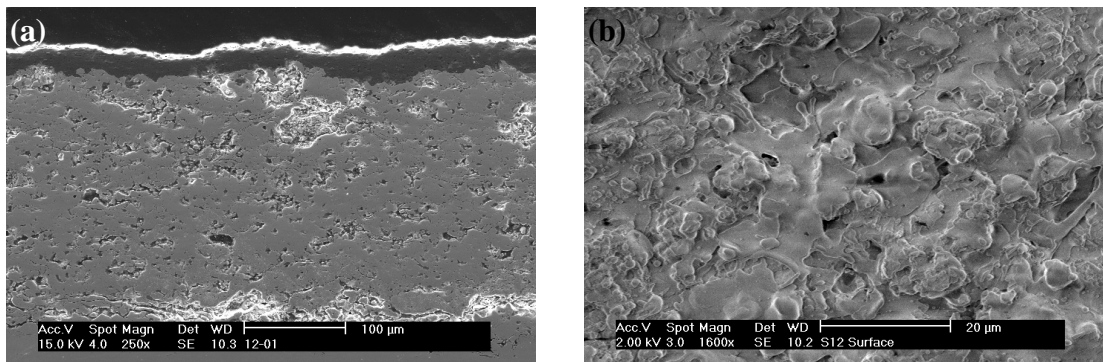


Fig.3 SEM micrographs of (a) cross-section and (b) surface of TBC with HVOF overlay  $\text{Al}_2\text{O}_3$  coating

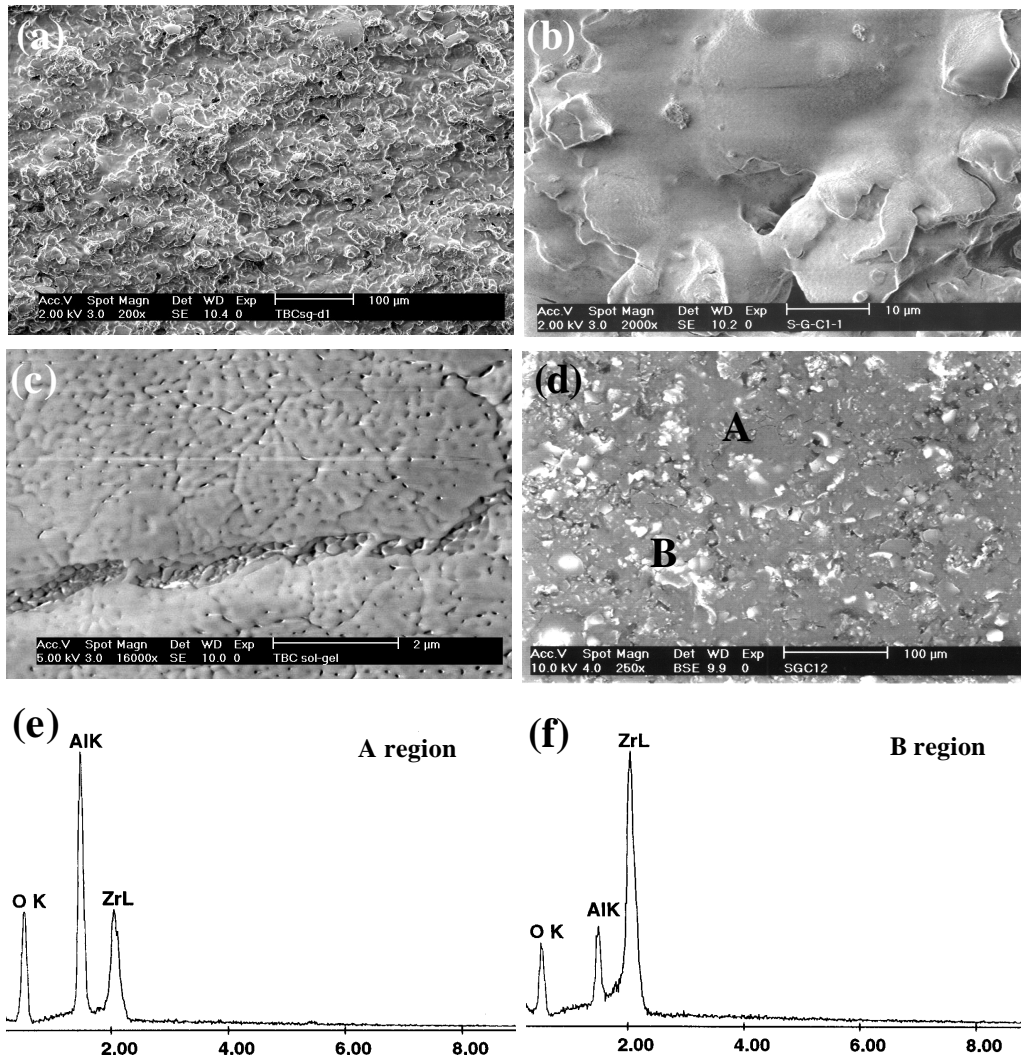


Fig.4 Surface morphology of YSZ deposited with CSG alumina coating

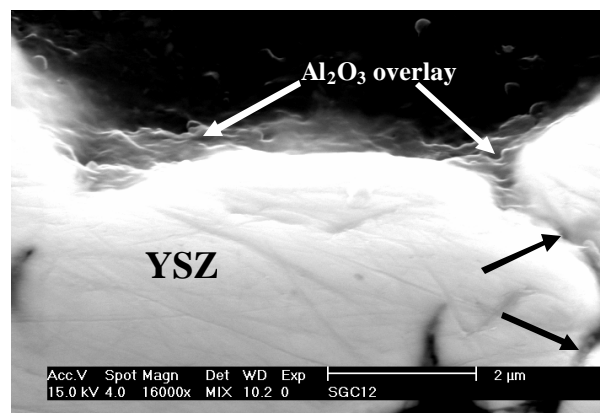


Fig.5 Cross-section of YSZ with CSG alumina overlay

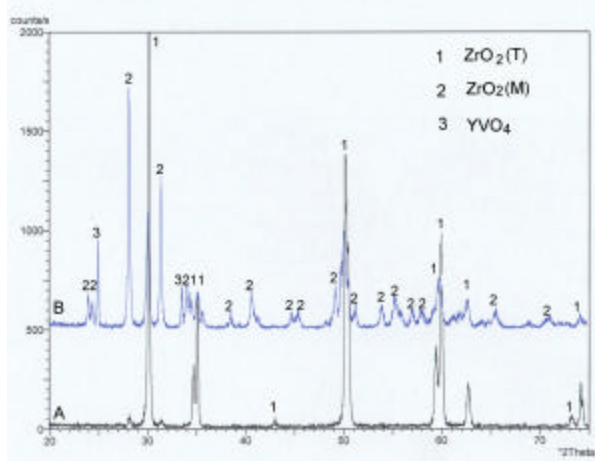


Fig.6 XRD patterns of TBC before and after exposure to the molten salts

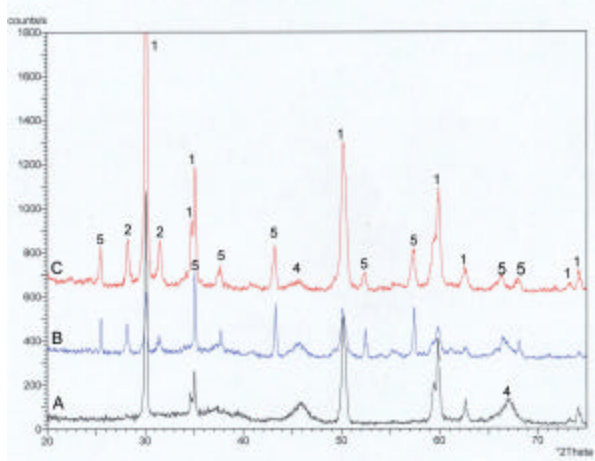


Fig.7 XRD patterns of TBC with EB-PVD  $\text{Al}_2\text{O}_3$  overlay coating before and after exposure to the molten salts(4:  $\beta$ - $\text{Al}_2\text{O}_3$ , 5:  $\alpha$ - $\text{Al}_2\text{O}_3$ )

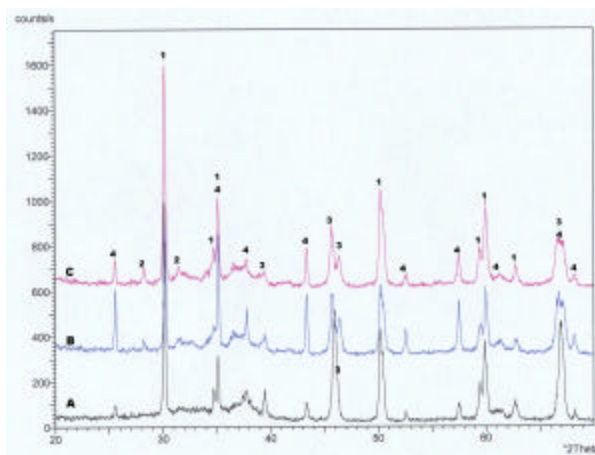


Fig.8 XRD patterns of TBC with HVOF  $\text{Al}_2\text{O}_3$  overlay coating before and after exposure  
(A: TBC with as-deposited overlay  $\text{Al}_2\text{O}_3$  ; B: after exposure;  
C: after partially removing  $\text{Al}_2\text{O}_3$  overlay after exposure)

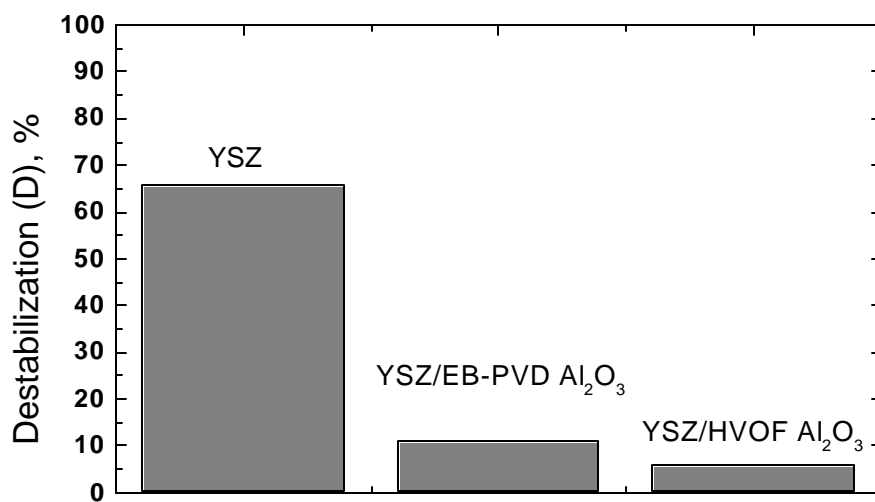


Fig.9 A comparing in destabilization (D) of the TBC with and without overlay  $\text{Al}_2\text{O}_3$  coating

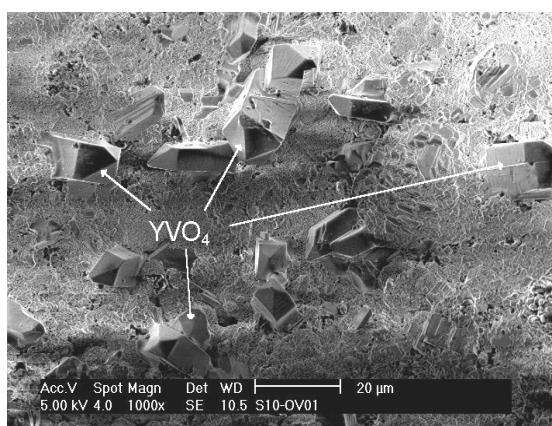


Fig.10 SEM surface micrograph of TBC after exposure showing the formation of  $\text{YVO}_4$

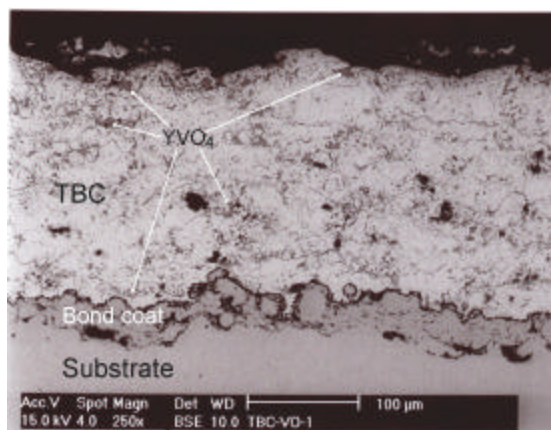


Fig.11 SEM microimages of cross-section of TBC after exposure



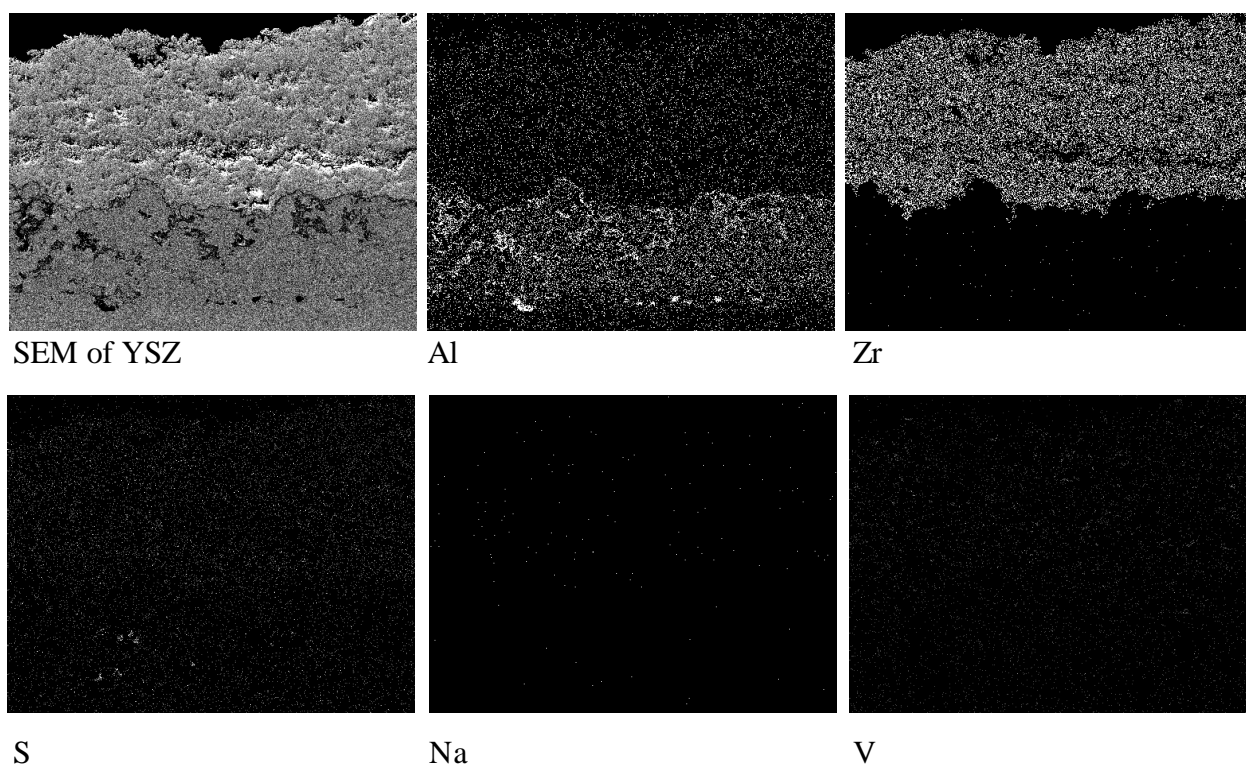


Fig.12 X-ray maps of the cross-section of YSZ coating after a hot corrosion test

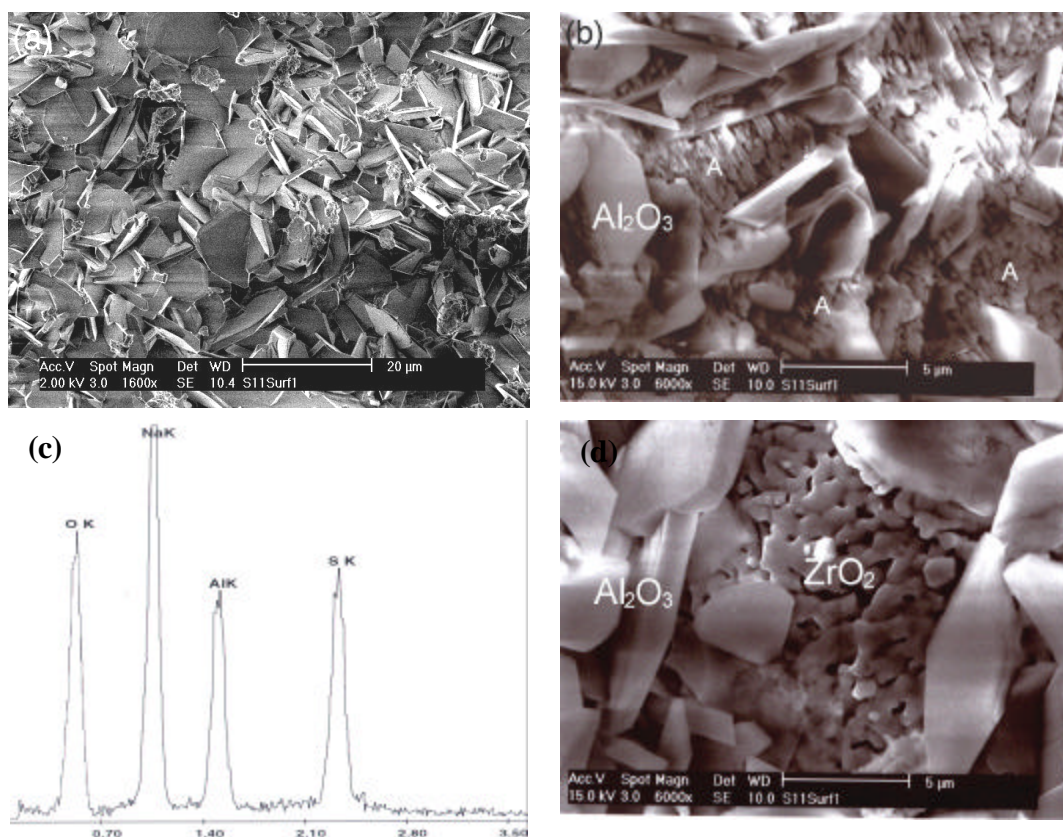


Fig.13 Surface micrograph of YSZ/EB-PVD  $\text{Al}_2\text{O}_3$  coating after exposure to the molten salts

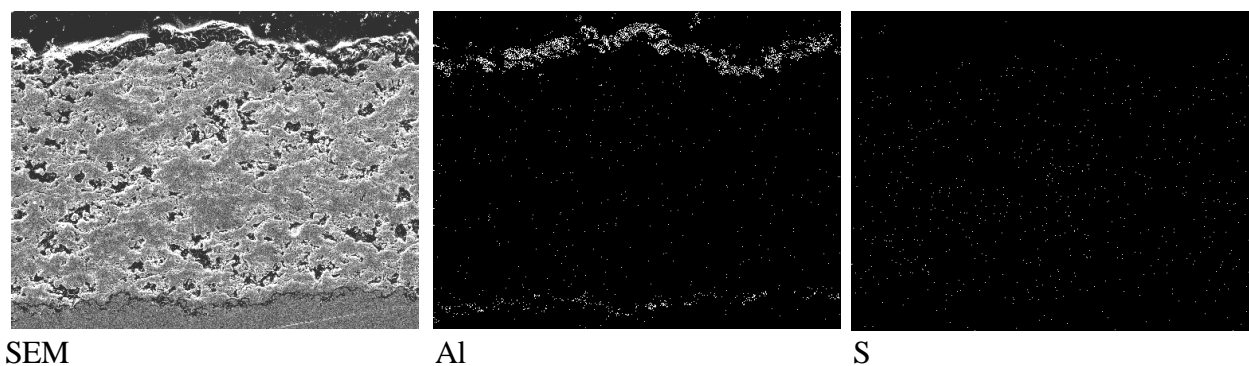


Fig.14 X-ray maps of cross-section of YSZ/EB-PVD  $\text{Al}_2\text{O}_3$  coating after exposure

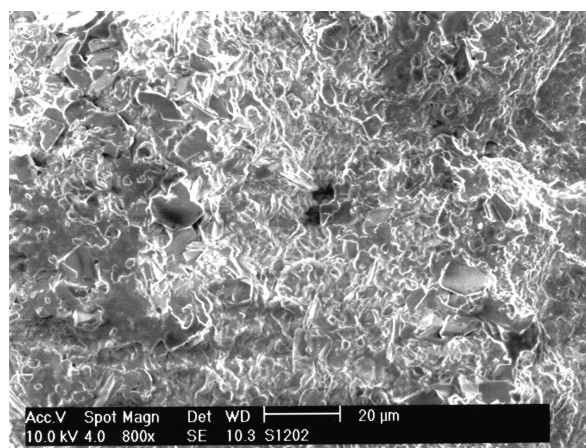


Fig.15 Surface SEM micrograph of HVOF overlay coating after exposure to the salts

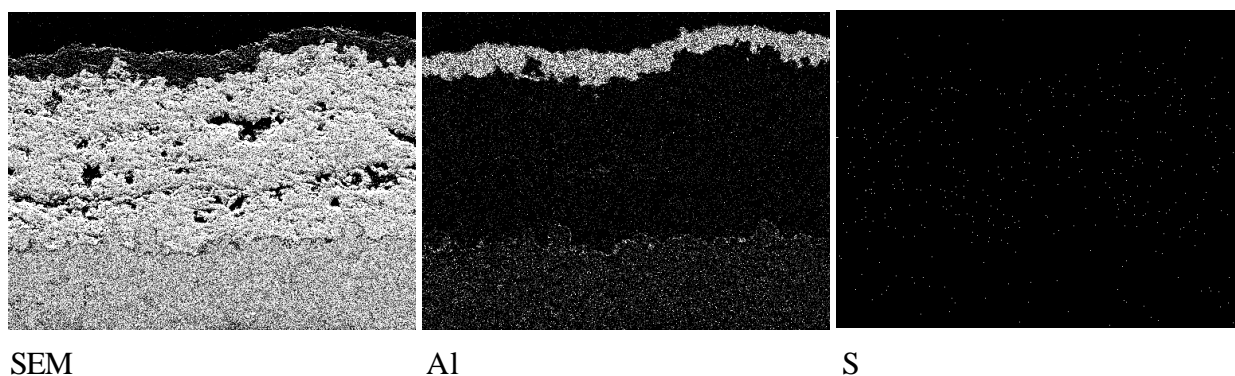


Fig.16 X-ray maps of cross-section of YSZ/HVOF  $\text{Al}_2\text{O}_3$  coating

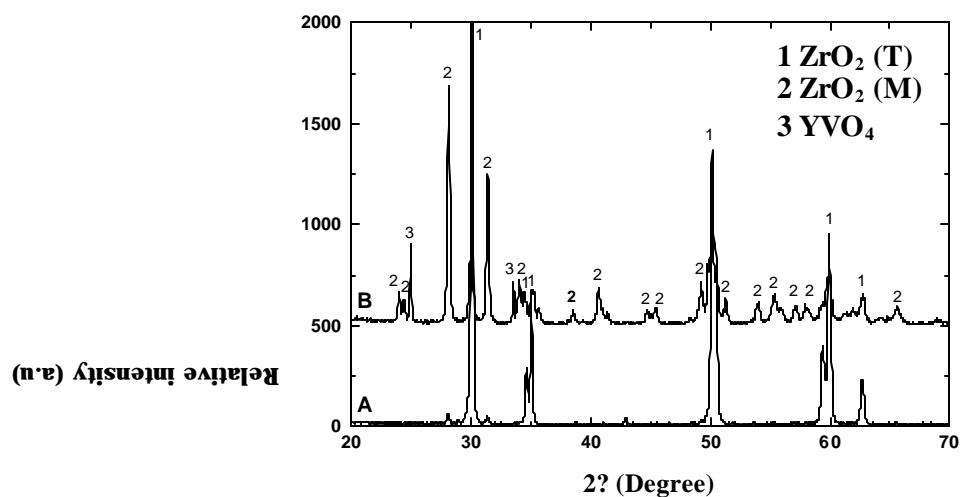


Fig.17 XRD patterns of TBC before exposure (A) and after exposure (B) to the molten salts

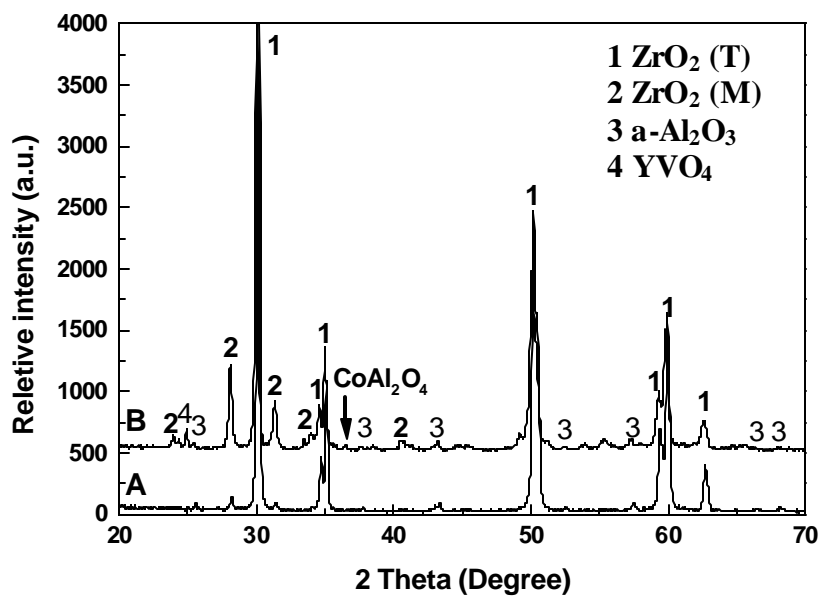


Fig.18 XRD patterns of YSZ coated with CSG alumina overlay before exposure (A) and after exposure (B) to the molten salts



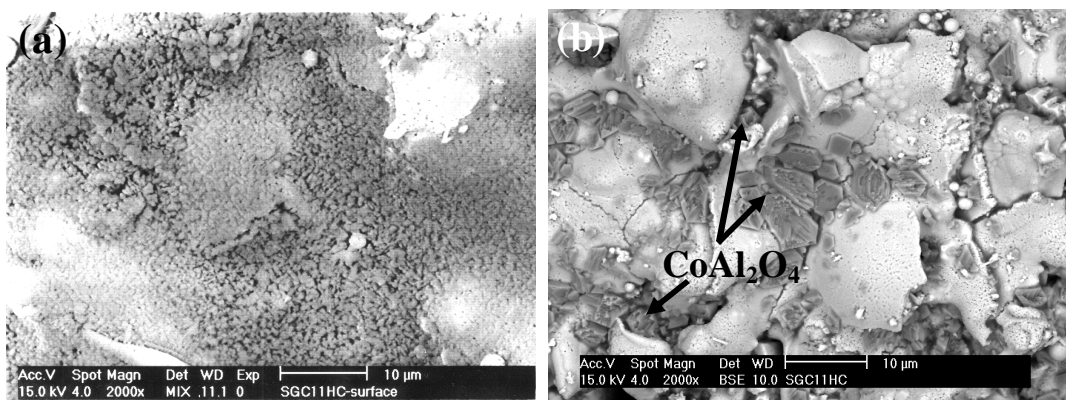


Fig.19 Surface photographs of YSZ with CSG alumina overlay after exposure

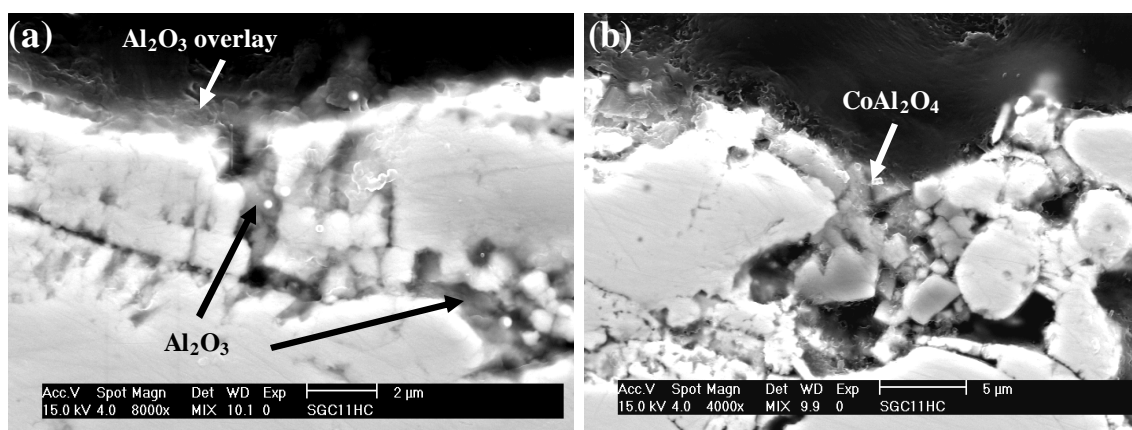


Fig.20 Cross-section of YSZ with CSG alumina overlay after exposure

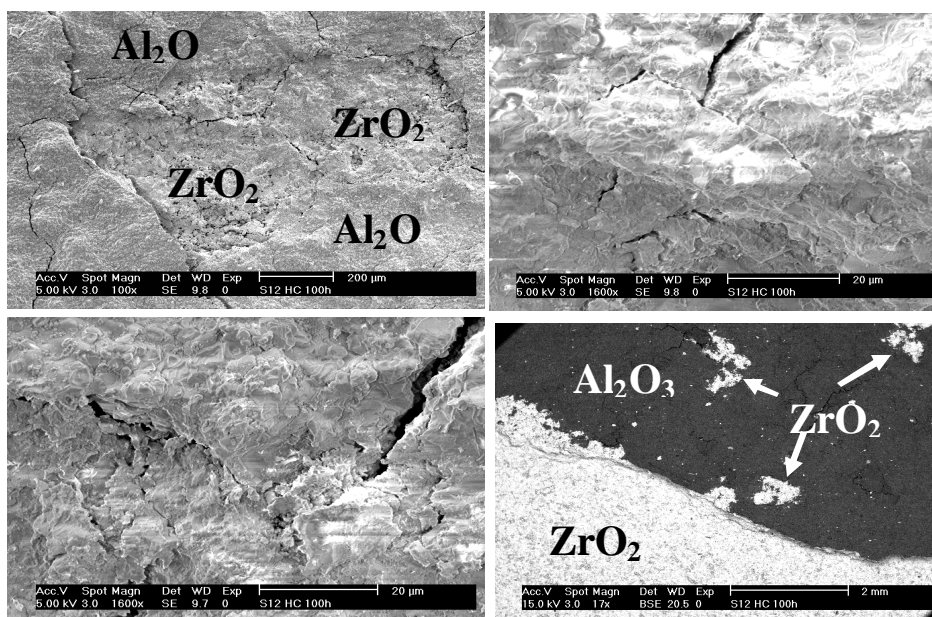


Fig.21 SEM images showing the formation of cracks and spalling of YSZ after hot corrosion for ~100 h in composite YSZ/ $\text{Al}_2\text{O}_3$  overlay (25 nm) system.

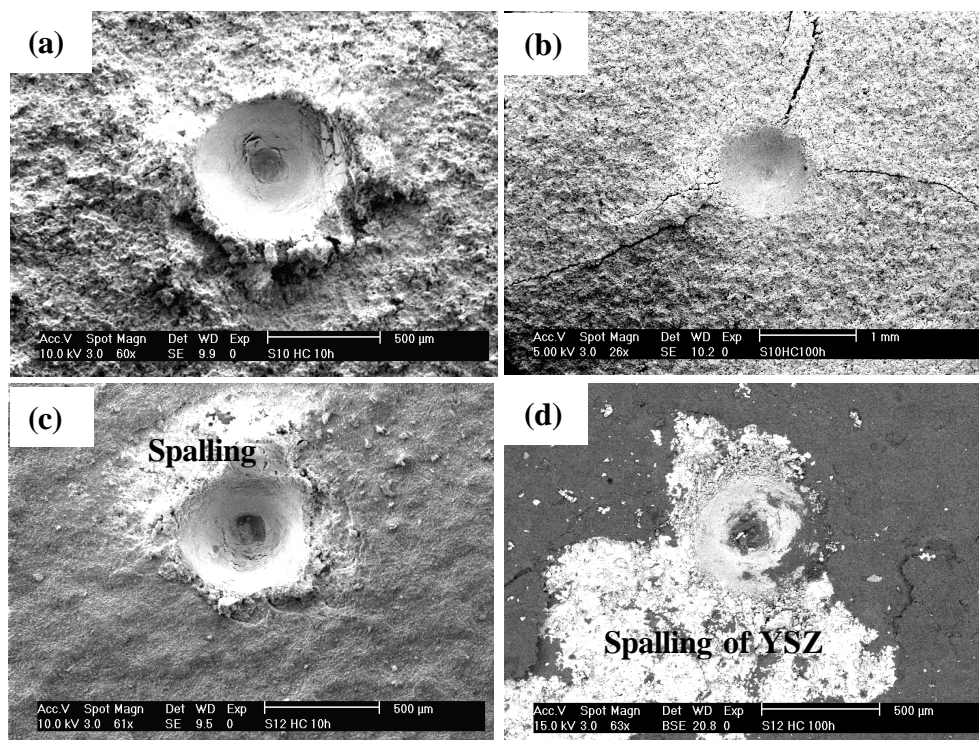


Fig.22 Cracking and spalling of YSZ coating with and without  $\text{Al}_2\text{O}_3$  (25 nm) after hot corrosion during indenter test.(a) and (b)YSZ,10h and 100h; (c) and (d)YSZ/ $\text{Al}_2\text{O}_3$  (25 nm), 10h and 100h

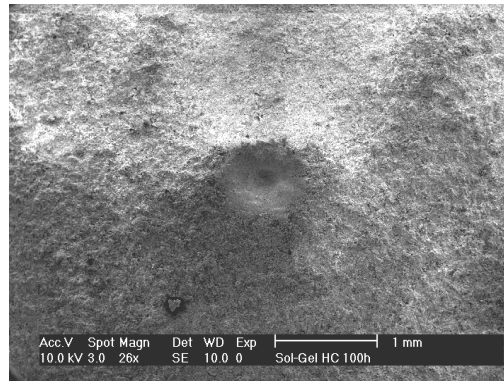


Fig.23 SEM image showing no cracks and spalling of YSZ in YSZ/Al<sub>2</sub>O<sub>3</sub> overlay(2 *mm*) system after hot corrosion of 100 h.