

Long-Term, Autonomous Measurement of Atmospheric Carbon Dioxide Using an Ormosil Nanocomposite-Based Optical Sensor

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EXECUTIVE SUMMARY

The goal of this project is to construct a prototype carbon dioxide sensor that can be commercialized to offer a low-cost, autonomous instrument for long-term, unattended measurements. ***Currently, a cost-effective CO₂ sensor system is not available that can perform cross-platform measurements (ground-based or airborne platforms such as balloon and unmanned aerial vehicle (UAV)) for understanding the carbon sequestration phenomenon.*** The CO₂ sensor would support the research objectives of DOE-sponsored programs such as AmeriFlux and the North American Carbon Program (NACP).

Global energy consumption is projected to rise 60% over the next 20 years and use of oil is projected to increase by approximately 40%. The combustion of coal, oil, and natural gas has increased carbon emissions globally from 1.6 billion tons in 1950 to 6.3 billion tons in 2000. This figure is expected to reach 10 billion tons by 2020. It is important to understand the fate of this excess CO₂ in the global carbon cycle. The overall goal of the project is to develop an accurate and reliable optical sensor for monitoring carbon dioxide autonomously at least for one year at a point remote from the actual CO₂ release site.

In Phase I of this project, ***InnoSense LLC (ISL) demonstrated the feasibility of an ormosil-monolith based Autonomous Sensor for Atmospheric CO₂ (ASAC) device. All of the Phase I objectives were successfully met.*** Important Phase I results include:

Sensitivity to 0.4 ppm CO₂: The sensor exhibited a minimum detection limit of 0.4 ppm.

Response Time of <1 minute: The T₉₀ (time for the response signal to reach 90% of its final value) for the sensor was on the order of forty seconds.

Complete Reversibility: ISL demonstrated that the CO₂ sensor was completely reversible.

Longer Operational Life: Utilizing a technique of continuous rehydration, the sensor was rendered operational during the Phase I test period of 2 months. Potential for one-year operational life has been established by extrapolation. To our knowledge, this sensing approach has not been reported.

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1.0 BACKGROUND AND APPROACH

1.1 Background

Carbon sequestration refers to the provision of long-term storage of carbon in the terrestrial biosphere, underground, or the oceans so that the buildup of carbon dioxide (the principal greenhouse gas) concentration in the atmosphere will reduce or slow. Precise knowledge of CO₂ partitioning into these sinks has important implications for the global climate modeling and energy policy making. In February 1999, the U.S. Department of Energy released a 200-page "working draft" detailing the emerging science and technology of carbon sequestration - the capture and secure storage of carbon dioxide emitted from the combustion of fossil fuels [Source: DOE Report "Carbon Sequestration State of the Science: A Working Paper for Roadmapping Future Carbon Sequestration R&D," <http://www.netl.doe.gov/publications/press/1999/seqrpt.pdf>]. **According to that report, the Intergovernmental Panel on Climate Change has forecasted that under "business as usual" conditions, global emissions of carbon dioxide could triple from 7.4 billion tons of carbon per year in 1997 to approximately 20 billion tons per year by 2100.** The panel also warned that concentrations of carbon dioxide in the earth's atmosphere could double by the middle of the 21st century and continue to build up even faster in later years, potentially creating a variety of serious environmental consequences. Section 5.3.6 of the previously mentioned report (page 5-16) states that tracking of the distribution of CO₂ in air and trapped in dissolved or solid phases is needed for verifying the successes of carbon sequestration activities.

Thus, advancing our understanding of the carbon sequestration pathways requires a CO₂ sensor capable of long term, highly accurate, autonomous, and *in situ* measurements. It is desirable that these sensors exhibit total autonomy, high measurement precision, suitable frequency of measurement (many times per day), and long deployment lifetimes. CO₂ concentrations are currently determined offsite using air samples collected in bags or flasks with gas chromatographs. This approach is limiting with respect frequency of measurements and lag time between sample collection and analysis.^{1(d)} Issues such as sample storage and transport are also concerning. Continuous CO₂ monitoring by infrared absorption techniques offer reliable data on a fast time scale (0.1 s). However, such instruments are often bulky and not particularly robust. Electrochemical sensors, utilizing membranes and scrubbers, are popular for CO₂ measurements. However, their use is mostly limited to short-term measurements because of issues such as electrolyte drying and calibration.

For long-term and unattended sensor operation, *InnoSense LLC (ISL)* has completed the design of sensor addressing issues including power requirement, calibration, performance under all weather conditions, temperature-corrected sensor response and data transmission to climate research centers. Figure 1-1 shows an artist's rendering of the application of the device.

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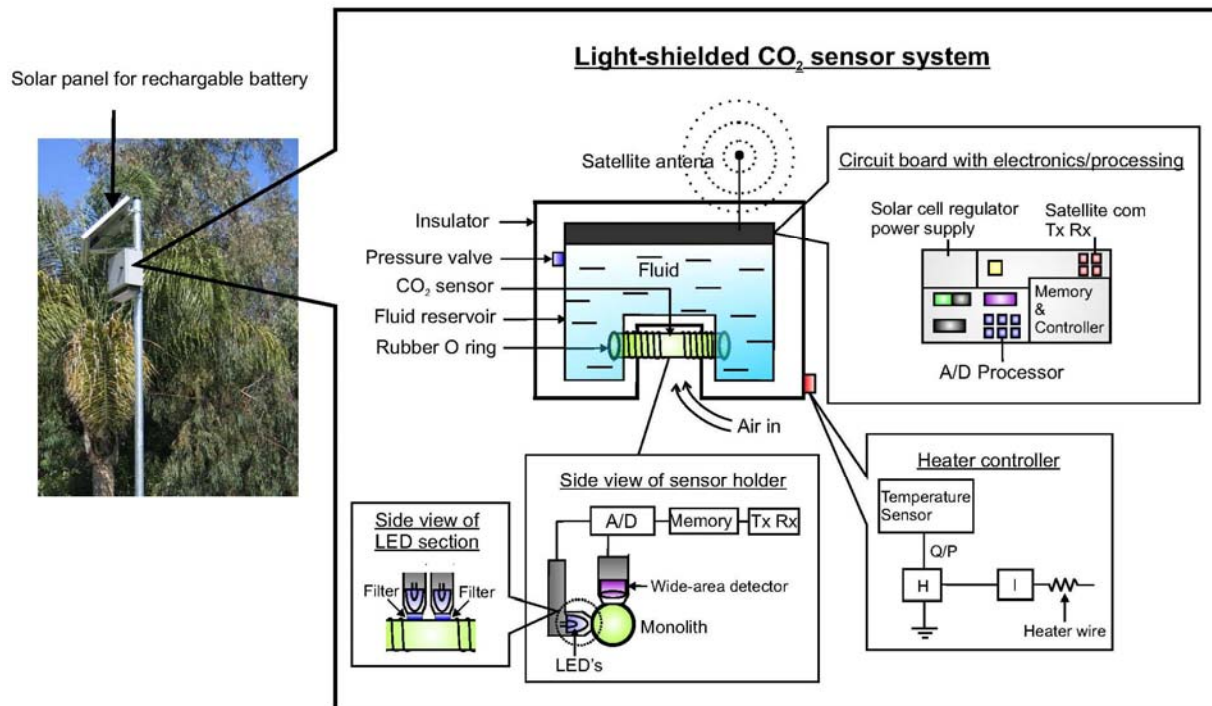


Figure 1-1

An artist's rendition of a ground-based deployment scenario for the sensor system. Details on the device follow.

Previous experience of the Principal Investigator and other researchers (discussed in Section 1.2) shows that opto-chemical sensors for CO₂ require daily to weekly calibration. This happens because the anionic form of the indicator is exhausted by continuous exposure to CO₂, and the indicator matrix also dries out. ***One significant aspect of our sensor design is the continuous rehydration of the carbon dioxide indicator for maintaining its anionic form so that the sensor can operate without calibration for a year.*** The ASAC system consists of a reservoir containing a buffer (pH 8), which slowly migrates into the Organically Modified Silicate (ormosil)-based CO₂ sensor monolith attached to the reservoir. The anionic form of the fluorescent CO₂ indicator (8-hydroxypyrene-1,3,6-trisulfonic acid (HPTS)) undergoes a protonation-deprotonation equilibrium process as the CO₂ concentration increases and decreases respectively. Upon protonation, the fluorescence intensity of HPTS decreases. In our design the sensor would remain reversible and longer-lasting because the base from the reservoir migrates into the ormosil matrix through the pores and channels of ormosil via capillary action. This transport process allows the pH in the sensing matrix to remain above 7, a necessity for deprotonating the hydroxyl group of HPTS (pK_a 7.3).

The sensing activity takes place at the middle of the monolith where the flow of buffer is comparatively restricted than at the ends of the monolith. Thus, this active sensing zone would just stay moist and not be flooded with the buffer. The continuous exposure of the sensor to atmospheric CO₂ changes pH in the microenvironments of the pores within the ormosil monolith, creating a steady state condition for CO₂ measurements. The sensing zone is deliberately chosen at the middle of the monolith to make sure that the buffering capacity of the buffer would not prevent pH changes to occur in response to CO₂. The validation of this concept had been the subject of our Phase I investigation.

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Two LEDs that are pulsed alternatively (one LED as reference) are attached to the sensor sideways, as Figure 1-1 shows. Appropriate filters are utilized to select different excitation bands (detailed discussion appears in Section 5.1 under Task 4). A wide area photodetector is affixed orthogonally. Since photoluminescence emits in all solid angles, the emission light from HPTS due to both LEDs can be collected by the wide area photodetector. The pulsed LEDs are synchronized so that the photodetector gathers signal resulting from each LED separately for subsequent ratiometric analysis by the microprocessor. The LEDs and the photodetector can be configured across from each other also. A cut-off filter is used before the photodiode to exclude the excitation component from the luminescence signal. For field applications, the system would be powered by a solar battery with seven-day or more battery autonomy. The experimental data on CO₂ levels can be stored locally prior to transmission via satellite or other appropriate communication means following a pre-programmed protocol. For preventing freezing of the aqueous buffer during winter, the sensor housing would be properly insulated with a thick pad of thermal insulation materials. The monolithic sensing element, which is open to air, would be heated with a resistor wire. The sensor housing is designed to prevent rain-water penetration, and for shielding the sensor from ambient light and turbulent air.

1.2 Background and Technical Approach

Opto-chemical sensors have been developed recently for *in situ* measurements of CO₂.²⁻¹⁸ These sensors utilize the fact that CO₂ upon dissolution in water produces carbonic acid as shown by the following equilibrium processes:^{*}



Where, K_h is the Henry's law constant; K is the equilibrium constant for carbonic acid formation; K_1 and K_2 are the first and second ionization constant for carbonic acid. In an aqueous solution of sodium bicarbonate it can be shown [see footnote below] that the relationship between the partial pressure of CO₂ in air (i.e., $p\text{CO}_2$) and $[\text{H}^+]$ is as follows:

$$\alpha p\text{CO}_2 = \{([\text{H}^+]^3 + [\text{H}^+]^2[\text{Na}^+]) - K_w[\text{H}^+]\} / \{K_1([\text{H}^+] + 2K_2)\}$$

Where, $\alpha = K_h K[\text{H}_2\text{O}]$, K_w is the water dissociation constant, and $[\text{Na}^+]$ is the concentration of sodium ions present. Using a pH sensitive dye, where the anionic form of the dye is protonated, changes in medium pH can be detected and correlated to the partial pressure of CO₂ in ambient air. In the first optical sensor for carbon dioxide, Opitz and Lubbers⁹ followed the fluorescence of 4-methylumbelliferone in a bicarbonate buffer to measure the CO₂ partial pressure. Zhuzun and Seitz used 8-hydroxypyrene-1,3,6-trisulfonic acid (HPTS) in bicarbonate buffer utilizing a silicone membrane.¹⁰ For measurements in water, membranes

^{*} Source: (a) P.W. Atkins, Physical Chemistry, Oxford University Press: Oxford, U.K., pp. 163-164, 1990. (b) M.A. Jensen, G.A. Rechnitz, "Response-time characteristics of the $p\text{CO}_2$ electrode," *Analytical Chemistry*, 51(12), pp. 1972-1977, 1979.

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were used so that changes in the ambient pH were not mistakenly interpreted as change in the CO₂ concentration.^{10,14} Care was taken so that other proton-producing components of smog (SO₂, NO₂) did not mislead the results. Silicone membranes had been observed to allow preferential permeation for CO₂.¹⁰

The Principal Investigator of this proposal had studied HPTS in ethylcellulose matrix to construct a field-deployable fiber optic sensor.⁷ He found the operational lifetime of the sensor limited to only 3 weeks because the basicity of the medium dwindled gradually. ***For making a reversible CO₂ sensor, the protonated dye needs to be deprotonated again, which requires the presence of base in the medium.*** The intensity change of a CO₂ indicator can be affected by a variety of factors including stability of the indicator matrix, temperature fluctuations, and leaching and bleaching of the indicator. Response time may depend on lag time related to the permeation of CO₂ through a membrane and equilibrium with the sensing medium. The need for frequent calibration is another concern.^{3,14} The Principal Investigator has been active in this field, since late 1980s, and he is aware of these challenges.^{3,7,15-17}

The ultimate target performance for the ASAC device appears in Table 1-1.

Table 1-1. Target Performance/Price Goal for the ASAC System

Parameter	CO ₂ Sensor
Dynamic Range	0-500 ppm in Air (The sensor is not limited to this range. This range can be expanded easily)
Response Time	<1 minute
Accuracy	±5% of reading
Resolution	1 part in 3000 in dry air.
Operating Temperature	-40 to 140 °F
Operational Life	12 months
Device Cost	\$2,000 (CO ₂ sensor system only; with solar battery and communication gear approximately \$5,000)
Special Feature	Sensor Insensitive to motion/turbulence

1.2.1 InnoSense LLC Approach Toward an Autonomous CO₂ Sensor

Before detailing the Phase I results, a discussion on the background of the technical approach is in order.

1.2.1.1 *Fluorescent Indicator for Detecting Carbon Dioxide*

Having identified the issues that need to be resolved for developing a carbon dioxide sensor with longer operational life, InnoSense LLC utilized HPTS (8-hydroxypyrene-1,3,6-trisulfonic acid, Figure 1-2), also called pyranine, as the indicator. The anionic form of the dye is highly fluorescent. In the presence of CO₂, HPTS becomes protonated leading to a proportionate decrease in the fluorescence intensity, which is the basis for CO₂ detection.

HPTS is an inexpensive indicator with a pK_a of ~7.3 in aqueous buffers. It absorbs visible light (400 – 500 nm) very efficiently (molar absorptivity, ϵ , is $2 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$), and it also fluoresces in the visible range (480 nm – 560 nm) with a high quantum yield ($\Phi_f \sim 0.96$). Figure 1-3 shows changes in the HPTS absorption spectra as a function of pH, and Figure 1-4 shows how HPTS undergoes changes in the excitation and emission profiles in different pH media.

The dotted curve in the excitation spectra (Figure 1-4) represents protonated HPTS with peak maximum at 410 nm, and the solid excitation curves represent deprotonated HPTS (peak maxima at 460 nm). The isosbestic point occurs at 420 nm.⁶ The emission spectra undergo an increase in intensity from the protonated form to the deprotonated form, while the peak position remains unchanged at 513 nm. Nivens et al. have utilized HPTS for CO₂ detection by exciting the dye at 460 nm.⁶ Since highly powerful and inexpensive blue LEDs (Nichia) are available to excite HPTS at 460 nm, the Principal Investigator selected HPTS to develop a low cost device. As Figures 1-3 and 1-4 show, changes in the absorption and excitation spectra closely match each other indicating that the pH interaction is purely a ground state phenomenon.

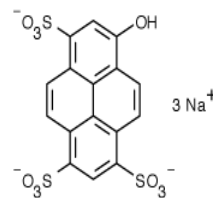


Figure 1-2
Structure of HPTS (8-hydroxypyrene-1,3,6-trisulfonic acid trisodium salt)

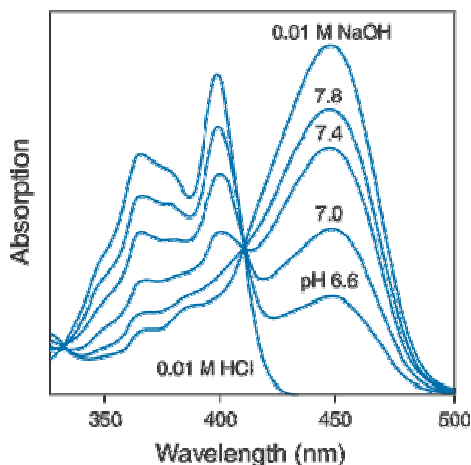


Figure 1-3
The pH-dependent absorption spectra of 8-hydroxypyrene-1,3,6-trisulfonic acid. [Source: Handbook of Fluorescent Probes and Research Products, Molecular Probes, Eugene, Oregon, <http://www.probes.com/handbook/figures/0578.html>.]

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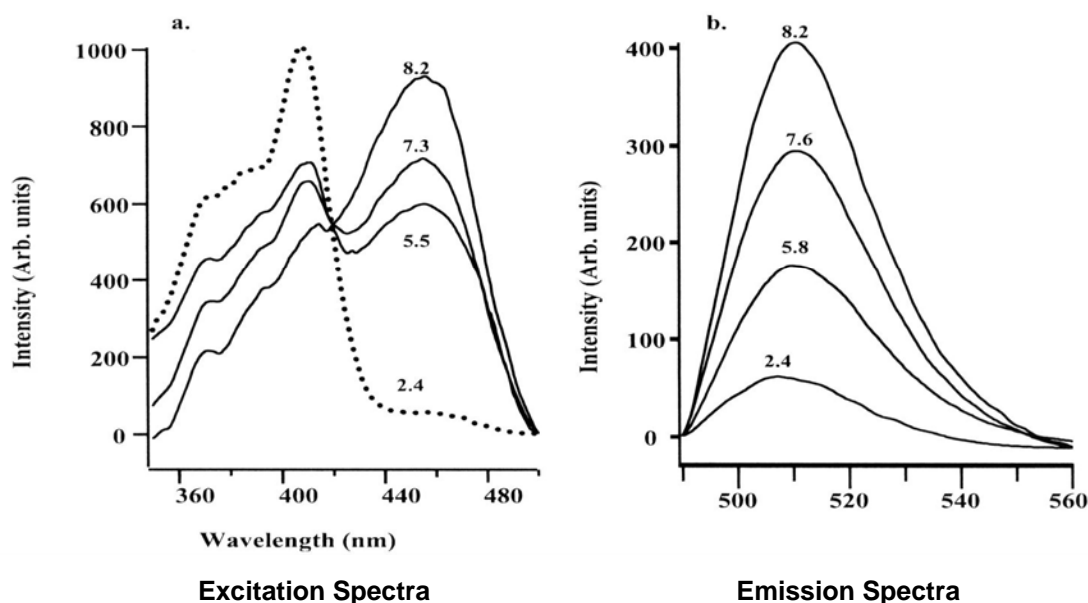


Figure 1-4

Excitation and emission spectra of HPTS as a function of pH. The excitation spectra were generated by monitoring emission at 513 nm, and the emission spectra were generated using 460 nm excitation.

Equations (1-1) through (1-4) show a series of equilibria involving the formation of H_2CO_3 by CO_2 in the aqueous phase. The protons from the acidic species (H_2CO_3 or HCO_3^-), however, are consumed by the anionic form of HPTS. We have observed that when the sensor is left exposed to air, the sensitivity decreased drastically. This observation can be explained by the fact that the constant presence of CO_2 in the atmosphere is a perpetual source of hydrogen ions. This destroys the internal buffering capacity of the sensor, rendering the sensor irreversible. We could regenerate the sensor by soaking it in a high pH buffer (pH 8-10). *For autonomous operation of the ASAC system, we therefore incorporated a reservoir in our sensor design (Figure 1-1), which would contain a suitable buffer for hydrating the sensing matrix as well as maintaining the indicator at the proper anionic state.*

Foundation of our working hypothesis that we can achieve a resolution of 1 part in 3000:

Referring to the excitation spectra of Figure 1-4, we calculated that the decrease of pH from 8.2 to 7.3 corresponded to an increase in hydrogen ion concentration by 4.5×10^{-8} M. Considering that each H_2CO_3 molecule in the medium contributes one H^+ (or H_3O^+) ion, then from Eqs. (1-1) and (1-2) we calculated that the change in the partial pressure of CO_2 in air is 0.043 mm Hg or 56 ppm ($\text{ppm} = [(\text{vapor pressure in mm Hg} \times 10^6)/760]$).

From the excitation spectra of Figure 1-4 we estimated that the signal count changed by 200 units when the pH value decreased from 8.2 to 7.3 corresponding to 56 ppm CO_2 . Thus, each intensity unit corresponded to 0.28 ppm (56 ppm/200 units) of CO_2 . Assuming that the full scale intensity is recorded using a 12 bit A/D (analog to digital) data acquisition card, then each count of the A/D card corresponds to 0.07 ppm ($[1000 \text{ unit}/4096 \text{ count}] \times [0.28 \text{ ppm/unit}]$). Assuming that the concentration of atmospheric CO_2 is 350 ppm and that we can differentiate each count of the A/D card, then it is possible to detect one part of CO_2 in 5000 parts (0.07 ppm in 350 ppm is equivalent to 1 part in 5000 parts).

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1.2.1.2 Sensor Fabrication

For making sensors, researchers use sol-gel technique and incorporate indicator moieties on optical fiber or planar waveguides.¹⁹⁻²³ Sol-gel is a low temperature method of fabricating high quality optical glasses. Starting from molecular precursors in solution, an oxide glass network is produced through inorganic polymerization reactions. In a typical sol-gel process (Figure 1-5), a solution of a metal alkoxide (e.g., tetraethylorthosilicate, TEOS), water, and a suitable solvent (e.g., ethanol) is mixed in stoichiometric proportions to first make a "sol."

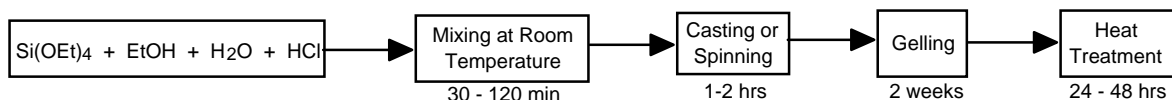


Figure 1-5
Sol-Gel approaches to fabricating waveguide monoliths

This "sol" then undergoes transition to a "gel," catalyzed by an acid or base. The gel is then dried to form a silica glass. Careful control of the ratio of the molecular precursors can produce a variety of glasses with defined properties. The indicator molecules can be an integral part of the "sol" or they can be uptaken by dip coating once the porous network is formed. ***The Principal Investigator has been personally involved in sol-gel based integrated optic (IO) sensor studies.***¹⁹ The IO sensor, developed by his group contained a thin sol-gel film doped with an indicator for measuring oxygen. The sol-gel process is known to be influenced by a variety of parameters. Through numerous tests, Dr. Goswami's group developed a process that yielded indicator-doped silica thin films on glass substrate with a fast response, high sensitivity, and good durability. The sol-gel synthesis was carefully controlled to achieve the desirable fast kinetics and minimal indicator loss. Of all the factors affecting sol-gel morphology and properties, the concentration and nature of catalysts in the sol-gel synthesis are the most important. Films prepared by acid and base catalysis were found to have distinctive surface morphologies, response times, and robustness.

Due to covalent linkage, sol-gels show excellent adhesion to glass. However, a major disadvantage of sol-gel derived silica sensors is that the materials retain a degree of hydrophilicity due to terminal Si-OH surface characteristics. ***Due to a continuous condensation reaction, the porous network within the sol-gel glass is always in a state of flux causing shrinkage and cracking of the structure. This leads to sensor drift.***²⁴ Steps to alleviate this problem has been introduced by selectively modifying the terminal surface characteristics. Hybrid inorganic-organic substrates, obtained with ormosils, offer a distinct advantage over silica-based materials for the optical detection of carbon dioxide.²²

InnoSense LLC's approach for using organically modified silicates (ormosils) for incorporating the sensing materials has been inspired by available literature. Ormosils prepared from alkyl-derivatized alkoxy silanes such as methyltrimethoxysilane (MTMOS) or polydimethylsiloxane (PDMS) and a silicon alkoxide, offer a distinct advantage over silica-based materials. Adding these organic functionalities during the sol-gel process increases flexibility, and reduces silanol groups and gel-shrinkage. Thus, we expect the CO₂ sensor not to show any aging effect commonly found in sol-gel glass sensors. ***Optical materials developed from these ormosils are often less brittle, and thus easier to fabricate a monolith***

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than those solely based on silica.^{25,26} Ormosils have been found to be nano-porous with unique properties,²⁶ and the hybrid organic-inorganic materials have thus been termed nanocomposites.

1.2.2 Innovations

Careful considerations have been given to address issues related to long-term sensor operation. These issues include: (a) drying out of the buffer, (b) calibration, (c) drift, (d) sensitivity, and (e) accuracy. ***Our approach utilizes one type of ormosil to incorporate the HPTS indicator in the monolith and a second ormosil to act as a selective CO₂ permeable membrane.*** This membrane is designed to be impermeable to water vapor for minimizing the evaporative loss of the buffer from the sensor surface. Excess evaporative loss is likely to make it harder to maintain HPTS in the proper anionic form. In addition, excess evaporative loss is like to clog the nanopores on the monolith with precipitated salts from the buffer. ***The Phase I study was designed to prove the hypothesis that constant rehydration with alkaline buffer would allow the CO₂ sensor to remain sensitive and accurate for longer time. Thus, reproducible measurements can be made without recalibration. Incorporation of a continuous rehydration mechanism has been our significant innovation.***

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2.0 ANTICIPATED PUBLIC BENEFITS

2.1 Application Target

The ASAC system is designed to perform CO₂ measurements *in situ* for up to a year. A series of these sensors, if triggered simultaneously or clocked synchronously, would show the time evolution of the CO₂ concentration profile across the globe. The benefits of such instruments will be enormous. A successful CO₂ detection system will significantly improve our understanding of terrestrial CO₂ processes and assess the ecosystem response.

2.2 Benefits to DOE

A low-cost, autonomous and high resolution CO₂ sensor would help DOE achieve its goal of cost savings by optimizing the utilization of resources for carbon sequestration activities. The joint Office of Fossil Energy and Office of Science April 1999 draft report, *Carbon Sequestration: State of the Science*, has assessed key areas for research and development (R&D) for an understanding of carbon sequestration as a major tool for managing carbon emissions. Using present technology, estimates of sequestration costs are in the range of \$100 to \$300/ton of carbon emissions avoided. The goal of the program is to reduce the cost of carbon sequestration to \$10 or less per net ton of carbon emissions avoided by 2015. Achieving this goal would save the U.S. trillions of dollars.

2.3 Potential Market and Competition

The total land area of the United States is 9,158,960 square kilometers. For spatially resolving the progress of carbon sequestration if one ASAC device is deployed per square kilometer, then the U.S. alone will require about 9.2 million units. This number is perhaps too ambitious. Sales volume in the thousands will offer economies of scale and the procurement cost of ASAC can be approximately \$2,000 per unit. This cost is only for InnoSense LLC's CO₂ sensor system. The solar battery and the communication gear are commercial-off-the-shelf items.

Market potential may be estimated as short term or long term with some assumptions. The short term will be a period short enough that the market buyers and suppliers have very little time to react to new product demands, increases in prices, or other major changes. The long term refers to a longer period, where significant market adjustments can be made. Due to the long budgeting process for environmental field instrumentation, we will define the short term to be 5 years. Due to the long product development and testing cycle, as well as the period of time for new techniques to become generally accepted, we will define the long term to be 10 years. ***We estimate that in the short term deployment scenario, one ASAC will be utilized per 2,000 sq. km land area. Thus, the short term revenue from a sale of 4,600 units can potentially approach \$9 million.*** Assuming that each unit will require one sensor replacement per year and the price of the sensor monolith and calibration kit is \$100, then another \$0.5 million revenue can be realized from the disposables every year. Thus, this product will serve an attractive niche market in the short term.

Presently, there is no product in the market that can monitor atmospheric CO₂ autonomously for a long period with resolution adequate for monitoring the progress of the carbon sequestration process. Commercial companies such as YSI Life Sciences and Ocean Optics currently have optical CO₂ measurement device: YSI 8500 CO₂ Monitor from YSI and FCO2-R Fiber Optic CO₂ Sensor from Ocean Optics. The YSI system is designed for the fermentation

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industries where typically 5-10% CO₂ in air (v/v) is used and the system detects 1-25% CO₂ dissolved in aqueous medium. The YSI system requires calibration every seven days or sooner. Thus, this system is not designed for measuring atmospheric CO₂ autonomously. The unit price of the YSI system is \$8,900 and the sensorhead costs \$900. The Ocean Optics system is also designed for the same applications as YSI's sensor. The unit price for the Ocean Optics CO₂ sensor system is about \$5,000, and the replacement sensorhead is \$499. Thus, the ASAC device *ISL* is developing is expected to have a performance to price ratio superior to the commercially available devices. ***Affordable product price, superior quality and features, and friendly after-sales service will help ISL to achieve differentiation and retain market share.*** In a market where all vendors are equally susceptible to loss of brand and customer loyalty, *ISL* will reap rich benefits by ensuring shorter lead times and providing value-added features.

3.0 DEGREE TO WHICH PHASE I HAS DEMONSTRATED TECHNICAL FEASIBILITY

The purpose of the Phase I project was to demonstrate that a longer-lasting opto-chemical sensor for carbon dioxide can be materialized by continuously rehydrating the indicator and maintaining it in the proper state of ionization. The specific Phase I technical objectives were:

1. To establish that the sensing components can be incorporated into an ormosil matrix for facilitating rehydration via pores and channels for long term autonomous deployment.
2. To demonstrate that the indicator remains active in the ormosil monolith and can detect CO₂ in the 0-500 ppm range within a minute, potentially for a long time.

InnoSense LLC successfully met all objectives proposed for Phase I. The following sections detail our results. We would first discuss how we incorporated the CO₂ indicator in the ormosil monolith and then we would show how we have established the long-term, autonomous use potential.

3.1 Objective 1: Constructing the Ormosil Monolith

3.1.1 Solution Phase Characterization of HPTS

Prior to incorporating the CO₂ indicator in the ormosil matrix, we performed spectroscopic studies of HPTS in the solution phase to assure that the indicator we are using matched reported spectral profile. Figure 3-1 shows the absorption characteristics of 0.1 mM HPTS in water, pH adjusted to 8.0 with sodium hydroxide. Figure 3-2 shows the emission characteristics. These spectral profiles are consistent with literature data.

Various concentrations of CO₂ were generated by diluting 1000 ppm CO₂ from a certified tank with pure nitrogen. CO₂ samples were carefully purged through the solution in a cuvette for 10 minutes prior to making absorption measurements using a Shimadzu Model 2401 UV/vis spectrophotometer. The emission measurements were made using a SPEX FluoroMax 3 spectrofluorimeter

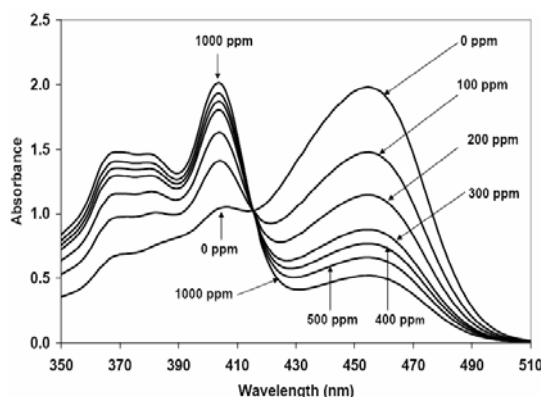


Figure 3-1
Absorption characteristics of 0.2 mM HPTS in aqueous medium (pH 8) at various concentrations of carbon dioxide

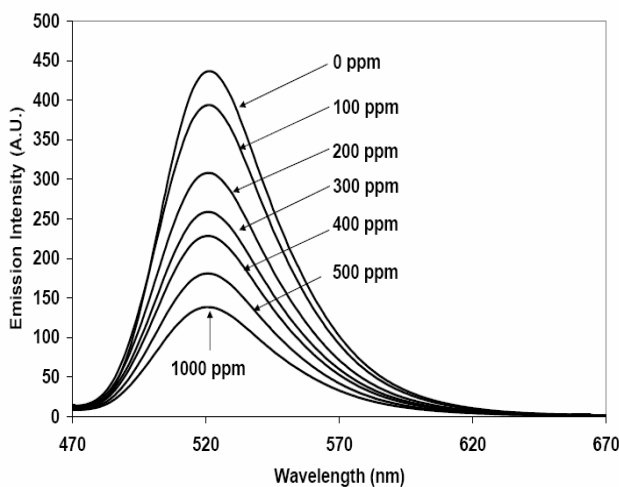


Figure 3-2
Emission characteristics of 0.2 mM HPTS in aqueous medium (pH 8) at various concentrations of carbon dioxide

3.1.2 Construction of Ormosil Monolith

Fabrication of a crack-free, optically and mechanically suitable ormosil monolith was achieved by experimenting with a variety of formulations shown in Table 3-1. The chemical reagents used to make the indicator matrix were tetraethylorthosilicate (TEOS), polydimethylsiloxane hydroxyl-terminated (PDMS, $M_w = 2000$), 3-aminopropyltriethoxysilane (APTES), 3-

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glycidoxypyrpyltrimethoxysilane (GPTMS), tetramethylammonium hydroxide (TMAOH) 25% aqueous solution, tetrabutylammonium hydroxide (TBAOH) 40% aqueous solution, tetraoctylammonium hydroxide (TOAOH) 20% solution in methanol, and 8-hydroxypyrene-1,3,6-trisulfonate (HPTS), all purchased from Sigma-Aldrich.

Table 3-1: Various formulations for making the monoliths

Sol ID	Ormosil Precursor	Catalyst	Observations
A	TEOS+APTES+PDMS (11:10:1) molar ratio	TMAOH	Rapid hydrolysis and gelation
	TEOS+APTES+PDMS (11:10:1) molar ratio	TBAOH	Clear; slow gelation
B	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TMAOH	Rapid hydrolysis and gelation (concave top)
	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TBAOH	Clear; slow gelation (2 weeks for monolith formation). Flat top surface
	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TOAOH	Clear; very long gelation time (5-6 weeks)
C	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TBAOH (0.8 ml)	Clear; slow gelation (3-4 weeks for monolith formation). Flat top surface
	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TBAOH (1.0 ml)	Hazy; slow gelation (4-5 weeks for monolith formation). Flat top surface
	TEOS+APTES+GPTMS+PDMS (11:5:5:1) molar ratio	TBAOH (1.2 ml)	Clear; slow gelation (2 weeks for monolith formation). Flat top surface

Preparation of Sol: Sol solutions were made using TEOS, APTES, GPTMS and PDMS in the molar ratios indicated in Table 3-1. A typical formulation for Sol B was made by taking 5 mL of TEOS, 2.4 mL of APTES, 2.4 mL of GPTMS and 4.1 mL of PDMS in a polypropylene beaker placed in an ice bath, covered and stirred. In a separate test tube, 0.8 mL of the catalyst and 12.7 mL of ethanol were mixed and placed in the same ice-bath. After both solutions had cooled, the contents of the test tube were slowly added to the reagents in the beaker with stirring. The solution was allowed to mix for 3 hours and slowly allowed to warm to room temperature. The resulting sol was filtered using a 0.2 mm nylon filter and poured into polyethylene vials, sealed with parafilm with a few holes to allow for solvent evaporation and allowed to age at room temperature or in an isothermal oven at 40 °C. The gelation and monolith formation were monitored on a daily basis and monoliths were considered ready for testing when the weight change measured on consecutive days was less than 0.1 mg. The aging times for monoliths varied with the recipe used. The formulation using Sol B and tetrabutylammonium hydroxide as the catalyst yielded good quality monoliths in the shortest time frame (2-3 weeks).

Incorporation of HPTS: The HPTS indicator has been embedded in the matrix by two methods. In **Method A**, 0.056 mM of HPTS was dissolved in the ethanol-TBAOH mixture and added to the ormosil precursors, mixed as described, and cast into the vials. In **Method B**, HPTS was first modified in the form of an HPTS-tetraoctylammonium (TOA) ion pair based on the Principal Investigator's prior research on HPTS as CO₂ indicator.⁷ The ion pair

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between anionic HPTS and the tetraoctylammonium ion has the composition $(\text{HPTS})/(\text{TOA})_4$ and was prepared as follows: 200 g of the trisodium salt of HPTS and the 4-fold molar equivalent (834 g) of tetraoctylammonium bromide (TOABr, Sigma-Aldrich) were dissolved in 30 mL of water containing 1% sodium carbonate and 30 mL of dichloromethane, respectively, and mixed in a separatory funnel. The ion-pair was extracted into the organic phase. The organic phase was collected and washed three times with 0.05 M sodium hydroxide solution. The organic phase was separated and the organic solvent was evaporated to obtain the crystalline ion-pair. The purpose for using this bulky ion-pair is to prevent potential leaching of the indicator from the monolith. About 130 mg of the ion-pair was dissolved in ethanol mixed with TBAOH and added to the ormosil precursors. Figure 3-3 shows the various processing steps involved in the formation of monoliths.

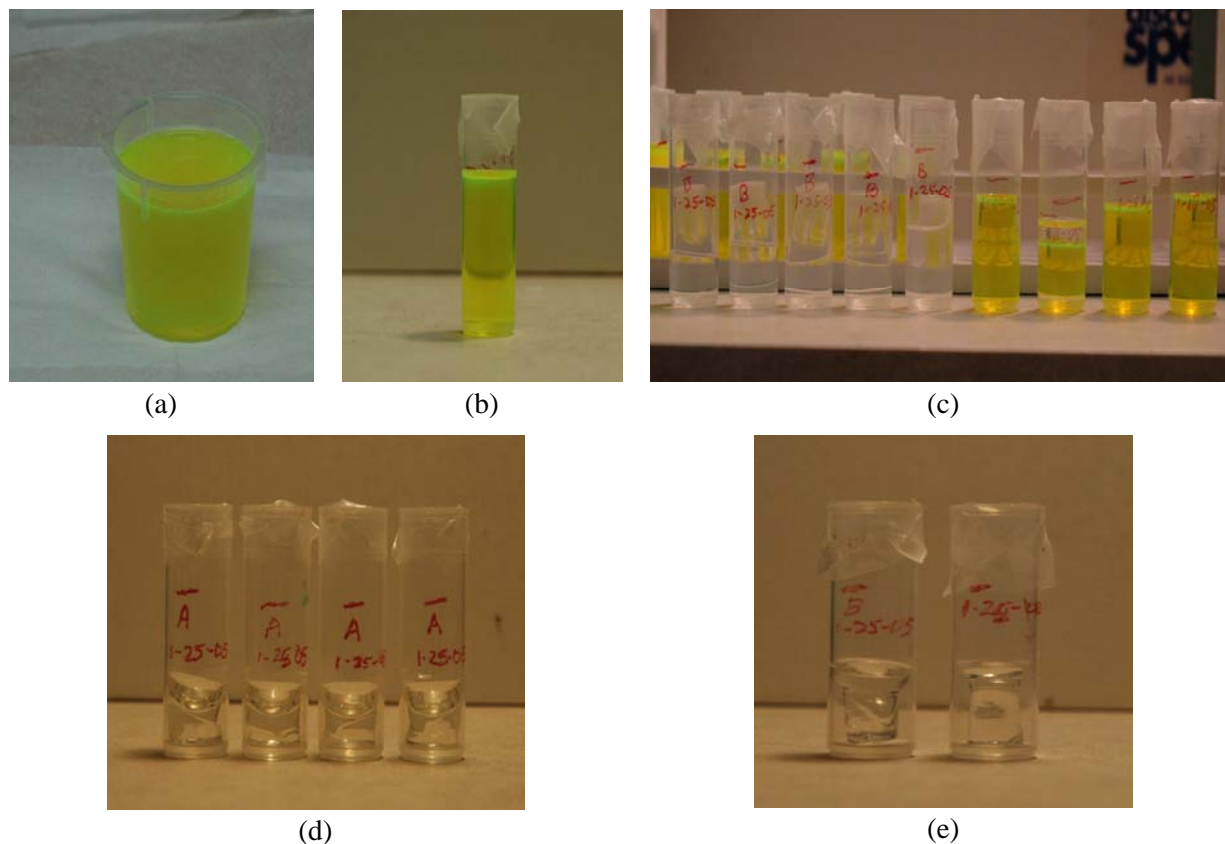


Figure 3-3

Various stages of the monolith formation. (a) precursor sol doped with HPTS after mixing warmed up to room temperature, (b) sol cast into polyethylene vials, (c) sols from different recipes in varying stages of gelation and aging at room temperature, (d) aging monoliths from Sol A without indicator for identifying abnormalities such as cracking, deformation and a concave top surface, (e) monoliths from Sol B without the indicator.

In Figure 3-4, we show the differences in the monoliths that were formed using the recipe Sol C and TBAOH as the catalyst. The main differences were the amount of catalyst and the form of the HPTS indicator -- free or ion-paired.

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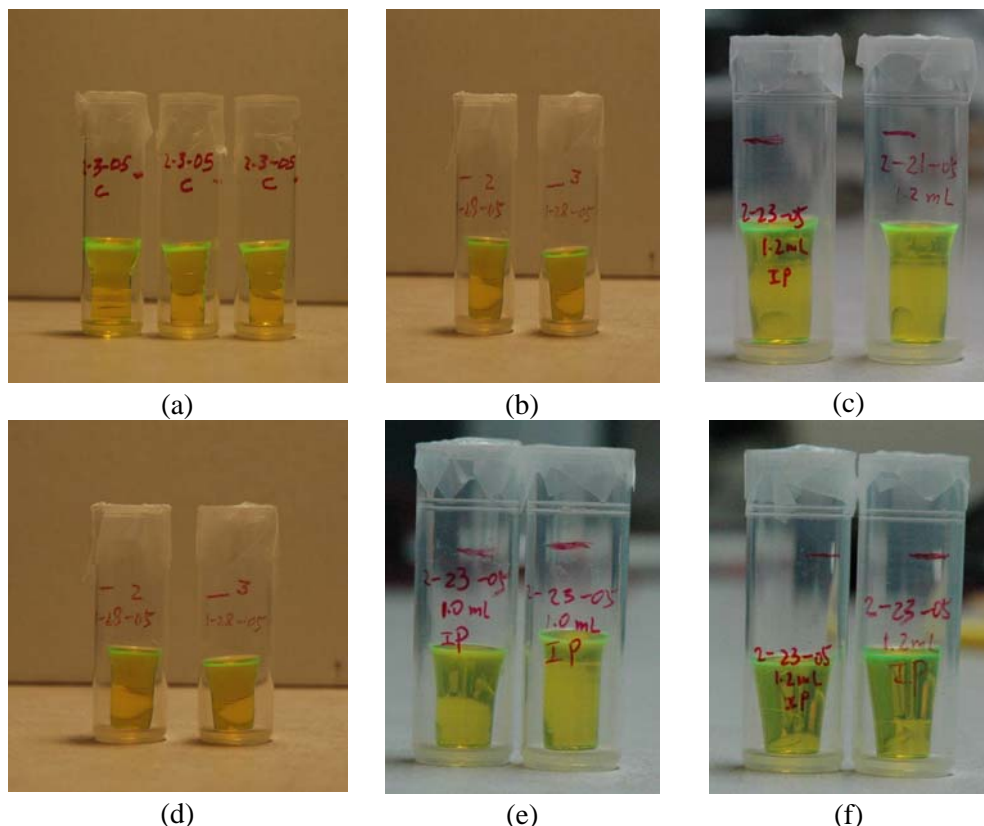


Figure 3-4

Effect of indicator type and catalyst concentration on the quality of monoliths.

In Figure 3-4(a), 1.2 mL of the catalyst was used with HPTS in its free form, while in 3-4(b) HPTS in the ion-pair form was used. Figure 3-4(c) compares a monolith containing HPTS in the ion-pair form (left image) with a monolith containing free form of HPTS (right image). Experimentally, the free form of HPTS did not completely dissolve in the ethanolic catalyst solution while the ion-pair form dissolved completely at the same concentration levels. From the monoliths that formed, those with ion-pair appear to have lower degree of shape distortion at the top compared to monoliths that have HPTS alone. Figures 3-4(d), (e) and (f) compare the effect of the catalyst composition on the monoliths. The indicator was used as the ion-pair in all three cases. In 3-4(d), where 0.8 mL catalyst was used, the gelation was slow with slight distortion at the top. In 3-4(e), 1.0 mL of the catalyst was used. We consistently observed a hazy structure, suggesting a more rapid hydrolysis of one or more precursor components and a constantly evolving microstructure, while for 1.2 mL of the catalyst (Figure 3-4(f)), we observed clear and undistorted monolith formation.

Characterization of Monoliths: Visual inspection of appearance was performed on all samples. Scanning electron micrographs of the fracture surface of monoliths containing free and ion-paired HPTS were obtained. The samples were analyzed at the Center for Surface Analysis of Materials at Case Western Reserve University, using a Hitachi S4500 FE-SEM. Mercury intrusion porosimetry was used to estimate the porosity of a monolith sample embedded with HPTS in the form of ion-pair. These measurements were carried out at Micromeritics Analytical Services, GA, using an AutoPore IV 9500 v1.06 instrument.

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Sol B yielded good quality monoliths when 1.2 mL of TBAOH was used as the catalyst and HPTS was used as ion-pair. The gelation time was about 2 weeks and the shrinkage was relatively lower (44% in height, 14% across the top and 25% across the middle). Figure 3-5 compares the microstructure and morphology of the fracture surface of two types of monolith samples – Sample A and Sample B.

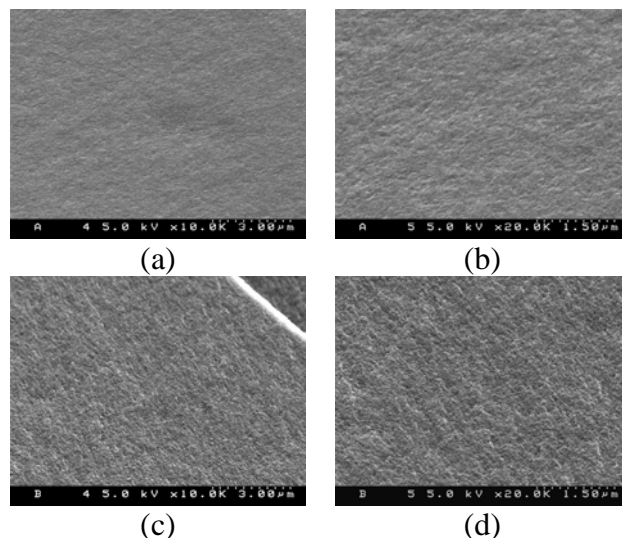


Figure 3-5

Scanning electron micrographs of monoliths containing free-form HPTS and HPTS in the form of ion-pair. (a) Sample A with free HPTS (x10k); (b) Sample A with free HPTS (x20k); (c) Sample B with ion-paired HPTS (x10k); (d) Sample B with ion-paired HPTS (x20k)

Sample A contained the free form of HPTS and Sample B contained the ion-paired HPTS. Figures 3-5(a-b) show the features for Sample A and Figures 3-5(c-d) show the features of Sample B. The samples were fractured just prior to analysis and coated with a thin layer of Pd-Au alloy to prevent charging. Comparing samples A and B, the ion-paired HPTS (Sample B) appears to give more texture to the surface.

The porosity of the samples was studied with mercury porosimetry. The total pore area was estimated to be $39 \text{ m}^2/\text{g}$, which is comparable to a surface area of $32 \text{ m}^2/\text{g}$ reported by Nivens et al.²⁷ The average pore diameter was estimated to be $\sim 14 \text{ nm}$ while the median pore diameter was estimated to be $\sim 5 \text{ nm}$. The porosity was estimated to be 15.3% from the bulk density measured at 0.51 psia (1.15 g/ml) and skeletal density of 1.36 g/ml. Higher porosity is desirable for enhancing the sensitivity and decreasing sensor response time. In Phase II, the porosity would be optimized by varying the organic content of the ormosil.

Consideration for Using the Ormosil Monolith Approach: The Principal Investigator has experimented with a variety of sensing platforms for CO_2 sensing, which include using HPTS solution directly into a small cell contained by CO_2 permeable membrane,^{3,15-17} thin polymer film on waveguides,⁷ and sol-gel film on waveguides (this study was conducted under a NASA-Johnson Space Center funded SBIR project entitled “Biochemical Assays Using Novel Surface Plasmon Resonance Excitation,” Contract No. NAS9-19499, period of performance March 1996 - September 1998, Technical Monitor Ms. Melody Anderson (281-483-3318),

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unpublished results). These platforms did not succeed toward developing a CO₂ sensor for long term, autonomous operation because of the aforementioned drying issues.

The ormosil monolith allows us to integrate ion-paired HPTS during the monolith fabrication process, and also provides a network of pores and channels for rehydrating the indicator continuously with alkaline buffers. The bulky HPTS-TOA ion-pair substantially reduces leaching and photobleaching of the HPTS, and its water of crystallization¹² also provides the sensing chemistry with limited hydration for CO₂ dissolution.

3.2 Objective 2: Demonstration of Application Potential for the DOE Program

3.2.1 Phase I Test Setup

The critical aspect of the feasibility demonstration involves the continuous rehydration of the CO₂ sensor and assuring that the indicator could maintain the anionic form for responding to varying levels of CO₂. Toward that goal, we assembled a test cell as shown in Figure 3-6.

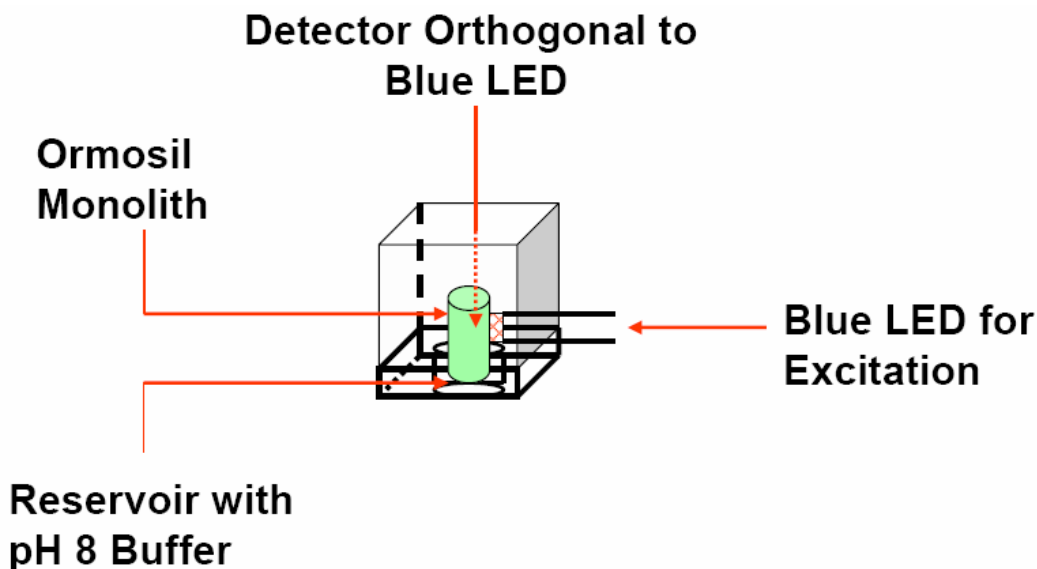


Figure 3-6

Phase I test setup. The ormosil monolith was positioned in a cavity containing pH 8 buffer, which assured continuous rehydration and reionization.

We constructed a test cell using black Delrin polymer block. A cavity was machined at the base of the polymer block for soaking the bottom of the ormosil monolith (5 mm diameter) into pH 8 sodium bicarbonate buffer. This test cell was placed inside a metal housing equipped with gas inlet and outlet ports. A blue LED, with a cut-off filter placed after the LED to allow only the blue light into the monolith, was used for excitation. A silicon photodiode, with built-in preamplifier and a cut-off filter placed before the photodiode for collecting only the HPTS emission, was used for signal collection. The LED and photodiode were mounted orthogonally into the Delrin block. The detector signal was amplified further and the electrical signal was collected using data acquisition system (National Instruments) and LabView software. The signal was processed using Fast Fourier Transform algorithm and a desktop computer. Various CO₂ concentrations were generated by diluting 1000 ppm CO₂ from a certified tank with nitrogen utilizing mass flow controllers.

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3.2.2 Response Time and Reversibility

The monoliths we used in the Phase I investigation were approximately 20 mm long and 5 mm in diameter. To determine the response time of the sensor, we changed the CO₂ concentration from 0 ppm to 500 ppm. The CO₂ sample was administered to the test cell (200 mL volume) at a rate of 1L/minute. Figure 3-7 shows the experimental results. From the raw data, we determined that the sensor response to 90% change in the signal (T_{90}) occurs in 40 seconds with a 90% recovery time of 60 seconds.

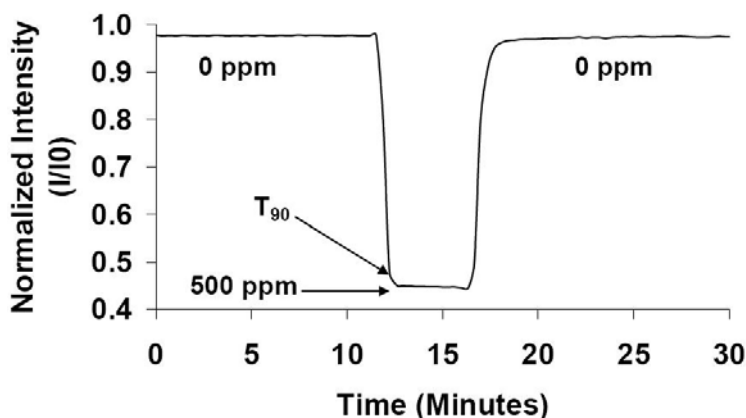


Figure 3-7

Response characteristics of the CO₂ sensor. The integration time was 1 second. The output of a blue LED was filtered through a low-pass filter ($\lambda_{\text{cutoff}} > 500$ nm) for excitation purposes. The fluorescence signal was filtered through a high-pass filter ($\lambda_{\text{cutoff}} < 500$ nm) prior to detection by a photodetector.

The 40-second response time is not an inherent limitation of the sensor. This time is a function of the test setup with 200 mL air volume of nitrogen, which has to be replaced with 500 ppm CO₂. As will be discussed in the Phase II work plan, we would design a flow cell just surrounding the CO₂ sensor (Figure 5-2, Section 5.1 under Task 3, total volume of flow cell 10 mL), and we expect the response time to be under 10 seconds). The response time and recovery time aspects would be investigated during Phase II using monoliths of different porosities. .

3.2.3 Dynamic Detection Range

Figure 3-8 shows the sensor response in the 0-1000 ppm range.

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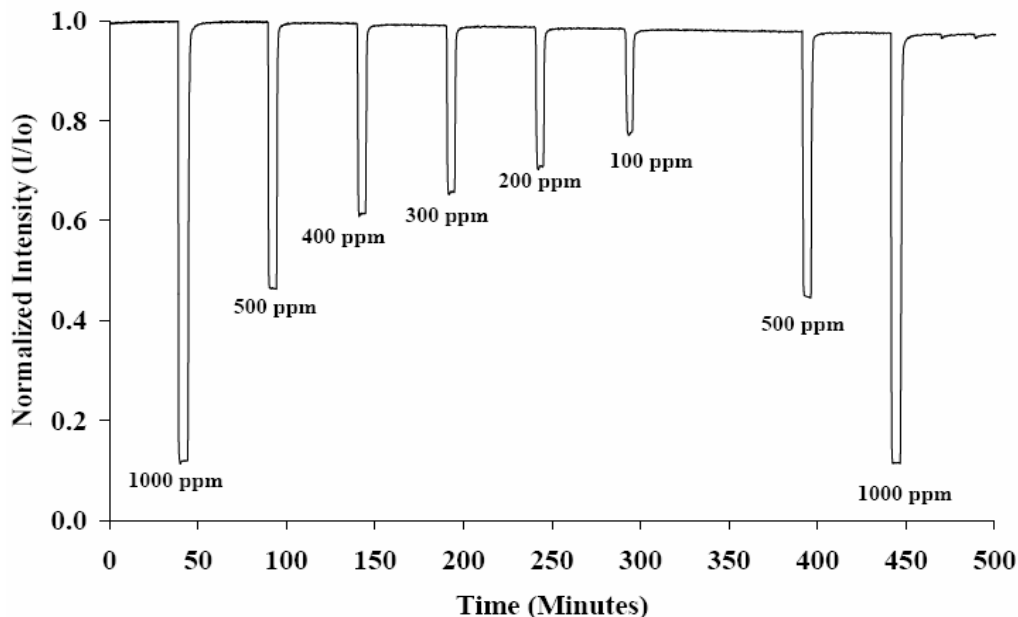


Figure 3-8
CO₂ sensor response in the 0-1000 ppm range.

The sensor responds nicely over the 0-1000 ppm range. The sensor response over the entire range appears to show a non-linear fit. Because fine-tuning would be done in Phase II, we reserved the curve-fitting exercise in the 0-1000 ppm range for Phase II. Since atmospheric CO₂ levels hover in the 360-380 ppm levels, we carried out regression analysis in the 0-400 ppm range. Results are shown in Figure 3-9.

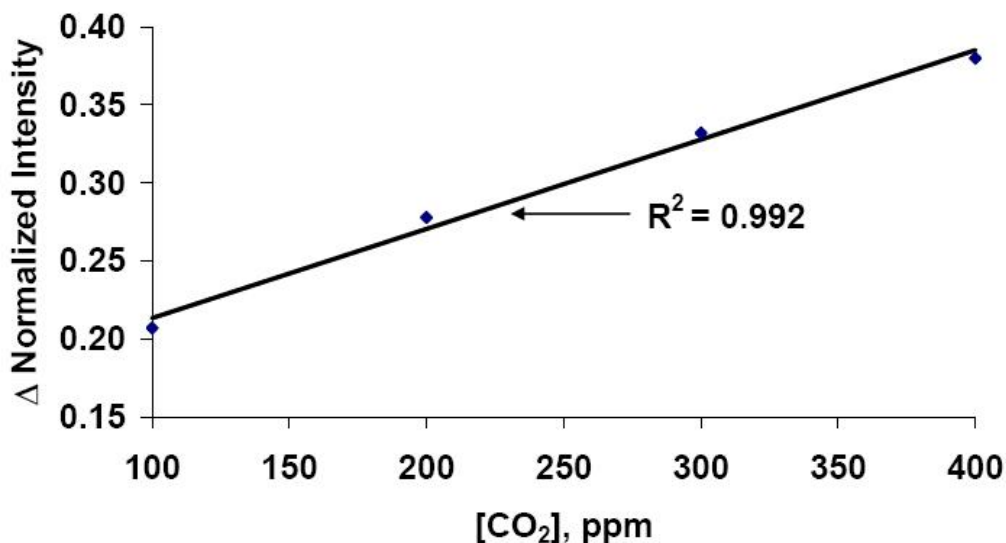


Figure 3-9
Regression fit of the sensor response for 0-400 ppm CO₂.

Sensitivity Calculation: The uncertainty in the baseline is 0.001 volts and the signal change for 100 ppm CO₂ is 0.23 volts. For a signal to noise ratio (SNR) of 1, we calculate that the sensitivity of the sensor in its present state of development approximates 0.4 ppm CO₂. At this stage of our project, this represents the best case scenario. We expect to improve the sensor

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performance at least by a factor of 5 during Phase II by fine-tuning the sensor fabrication process and implementing ratiometric measurements. The sensitivity of a carbon dioxide sensor is linked to the pKa of HPTS and to the nature of the buffer that surrounds it.¹² During Phase II fine-tuning, we would evaluate the sensor with buffers in the pH 7-10 range. The effects of ionic strengths would also be explored since it is a fact that electrolyte concentration affects chemical equilibria.

3.2.4 Potential for Long-Term, Autonomous Performance.

The long-term, autonomous performance potential of the sensor was evaluated in Phase I by exposing the sensor to ambient levels of CO₂ for 8 weeks. The test cell was carefully covered with black flannel fabric, and the buffer in the reservoir was replenished periodically. The sensor was equilibrated for two hours, under ambient atmosphere, prior to commencing data acquisition. The sensor signal was recorded every 30 minutes. Figure 3-10 shows the long-term sensor performance during the Phase I study.

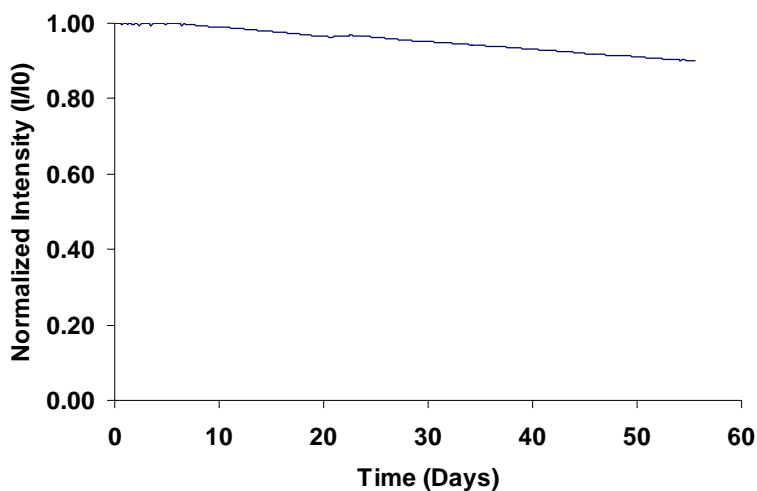


Figure 3-10
Phase I investigation of long-term use potential

The sensor showed 9% drift in baseline over eight weeks. This drift can be attributed to slow leaching and/or photobleaching of the indicator as well as electronic drift including deterioration of the LED output. As would be discussed in the Phase II work plan (Section 5.1, Tasks 4 and 5), this drift would be mitigated by ratiometric measurements. ***The crucial part of the Phase I evaluation was to determine if the sensor was still capable of responding to CO₂ in the same manner before the long-term test.*** We tested the sensor again over the 0-1000 ppm range. Figure 3-11 shows the performance data.

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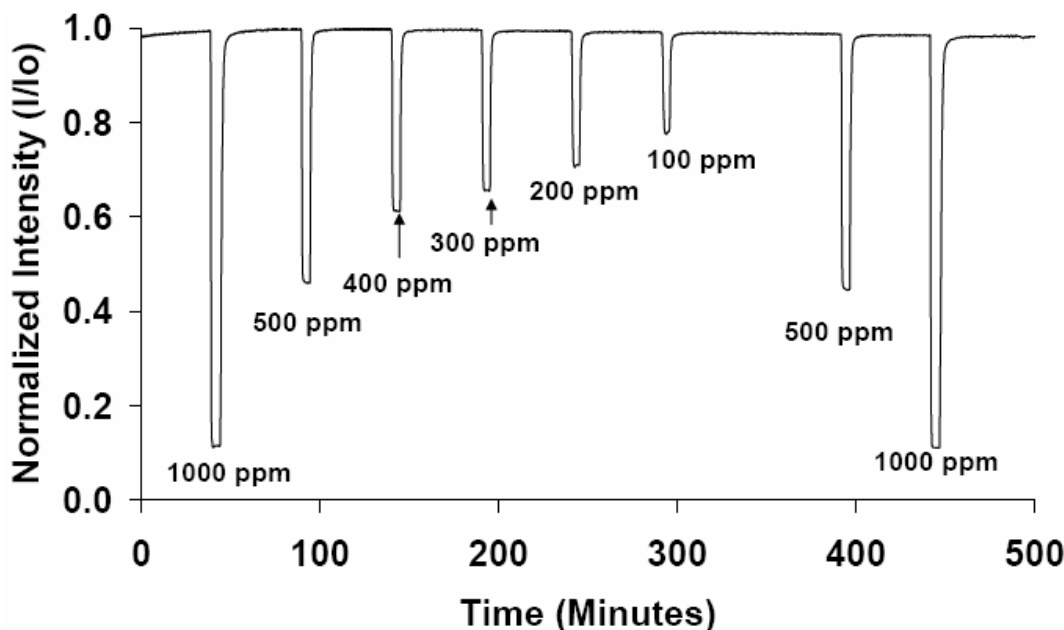


Figure 3-11

Evaluation of performance after the sensor was exposed to atmospheric CO₂ continuously for 8 weeks.

The data acquisition protocol was same as the one used for Figure 3-8. *Although the initial baseline was different, we found that the % change in signal for a given concentration of CO₂ remained similar before and after the long-term test.* This shows that the sensor maintained its characteristics, and long-term application is possible without calibration.

3.3 Phase I Conclusions and Justification of Transitioning to Phase II

In this Phase I project, InnoSense LLC set out to demonstrate that the use of a reservoir approach for rehydrating the CO₂ indicator and maintaining it in the proper anionic form would allow the construction of an autonomous, longer-lasting CO₂ sensor for ground-based measurements in support of DOE's carbon sequestration program. The results obtained in this project met or exceeded the performance goals set at the beginning of Phase I.

The Phase I project also allowed us to identify the areas where Phase II efforts should be focused. The goal of our Phase II effort is to construct a sensor prototype that is consistent with our commercial goals of a low-cost, autonomous sensor system. We would verify performance of the Phase II prototype by a third party. InnoSense LLC has teamed with Dr. Hank Loescher of the Department of Forest Science, Oregon State University for conducting the independent performance verification toward the end of Phase II. InnoSense LLC (ISL) has committed \$50,000 Phase II co-funding for successful execution of the Phase II project. ISL has also received firm commitment of Phase III follow-on funding (\$400,000) from Environmental Instrumentation Systems (Concord, CA) for commercializing the CO₂ sensor.

The Principal Investigator, Dr. Kisholoy Goswami, has 24 years of experience in optical sensor development and holds 11 U.S. patents on optical sensors for trace chemical analysis. *Dr. Goswami played the key role in developing the world's first commercial fiber optic chemical sensor for hydrocarbons* (at FiberChem, a Nevada corporation, where he was the Director of Research, <http://www.monitoreurope.com/products/water/petrosense/>). The PI also has

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hands-on experience in commercialization, having raised \$3M for the Phase III development of the above mentioned hydrocarbon sensor at FiberChem. *ISL* has assembled a proficient technical team having 70 cumulative years of experience in developing commercially viable optical sensor technologies for assuring successful completion of the project.

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