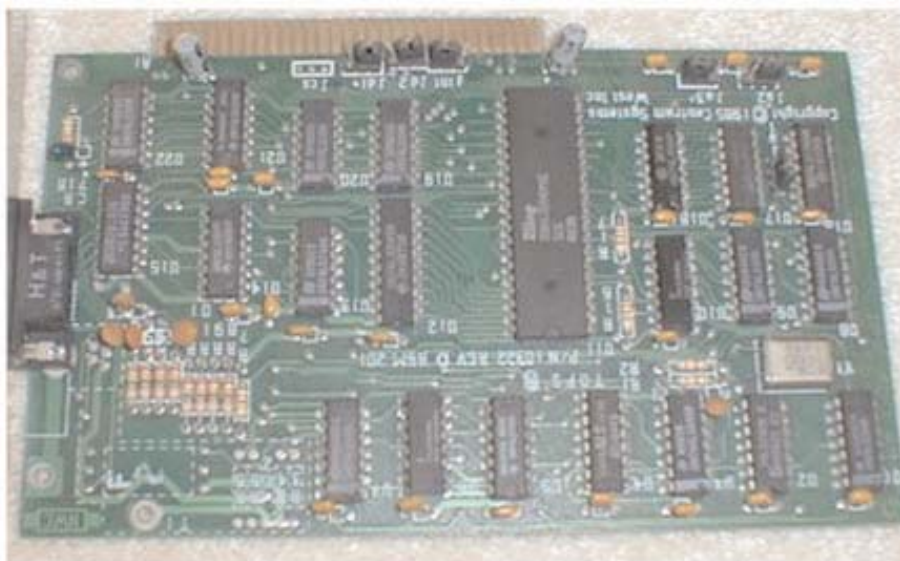


# Evaluating the Effects of Aging on Electronic Instrument and Control Circuit Boards and Components in Nuclear Power Plants

*Technical Report*

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# **Evaluating the Effects of Aging on Electronic Instrument and Control Circuit Boards and Components in Nuclear Power Plants**

**1011709**

Final Report, May 2005

U.S. Department of Energy  
1000 Independence Avenue  
Washington, DC

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# REPORT SUMMARY

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Circuit boards used in the electronic instrument and control (I&C) systems of nuclear power plants may suffer from aging failures that can cause a plant trip or unavailability of plant systems. The overall objective of this study was to determine how precursors of failures in I&C circuit boards can be measured and how these measures can be used to estimate the probability of failure during the next operational period within a statistical confidence level. The study provides a framework for the identification of techniques that can be used to monitor circuit board component aging failure modes that could lead to a failure of the circuit.

## Background

The nuclear power industry is currently facing increasing obsolescence issues with original equipment installed for instrumentation, control, and safety system applications. These systems, frequently more than thirty years old, are experiencing aging-induced failures in electronic boards and components. These failures can cause plant trips and reduce the reliability and availability of systems. Most plants take a policy of running to failure and/or periodic replacement—frequently without a good technical basis. Both of these approaches can be very costly. The industry needs a better understanding of the aging mechanisms and observable precursors to failure along with more cost-effective aging inspection, mitigation, and other aging management technologies.

## Objectives

- To classify the failures of electronic boards and components used in I&C systems according to the type of measurable failure mode conditions
- To apply reliability criteria to the components to understand the likelihood of failure using existing data sources
- To propose potential measurement tools and models of failure for input into a condition monitoring and operational assessment process for predicting I&C board failures.

## Approach

The project team reviewed the failure modes identified in EPRI reports 1003568 “Collected Field Data on Electronic Part Failures and Aging in Nuclear Power Plant I&C Systems” and 1008166 “Guidelines for the Monitoring of I&C Electronic Components,” as supplemented by technical papers, IEEE reliability meetings, and contacts with utility people. The team used data on failure modes from Military Handbook 217F to define the likelihood of failure for I&C electronic components. The team defined monitoring methods and techniques based on currently used methods and methods that have been used in laboratories for circuit board testing, for computer hardware testing, software verification and database integrity assurance.

## **Results**

The report describes potentially useful techniques for monitoring the aging of I&C boards. The techniques have been grouped into six methods: periodic testing, reliability modeling, resistance measures, signal comparison, external (passive) measures, and internal (active) measures, each representing distinct theoretical approaches to detection and evaluation. Each technique has significant advantages and disadvantages. The design of hardware and software monitoring systems increases in complexity as the methods become more precise in their ability to measure aging factors, but the technical tools that can be applied to monitoring within the methods have also clearly improved within the last few years as computers and networks have been enhanced to rapidly process large amounts of data.

The report provides a decision process for selecting those circuits and components that could benefit from an upgraded approach for monitoring the effects of aging and highlights areas where future R&D is needed to establish firm recommendations for I&C systems. The report also assesses the relative costs and technical benefits of upgrading circuit-monitoring systems.

## **EPRI Perspective**

As the nuclear power industry is facing increasing aging and obsolescence issues, one area that needs attention is the aging of electronic boards and components used in I&C systems. Existing methods of functional testing of I&C systems typically detect circuit failures after they occur whereas the new monitoring techniques provide indications of failure while the circuit is still functional. This information will make it possible to maximize the operating life of components without suffering circuit failure.

This report presents a number of specific techniques for improving the ability to monitor aging induced changes in circuits and board components that could lead to board failure. Some promising techniques are discussed that have been used in applications outside of electronic circuit board monitoring. Additional R&D efforts are needed to test, confirm, and demonstrate the viability of circuit board monitoring techniques for use as a predictive tool to detect aging induced changes that can lead to circuit failure. Additional engineering studies need to be completed to better quantify the implementation and operational costs and benefits of the viable techniques and to provide sufficient justification for their implementation.

## **Keywords**

Instrumentation and control systems  
Electronic boards and components  
Electronic board aging  
Electronic components aging  
Circuit boards  
Aging management  
Reliability  
Predictive maintenance



# ABSTRACT

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This report addresses understanding the effects of aging on electronic instrument and control (I&C) circuit boards in nuclear power plants. The issue is that circuit boards used in I&C systems may suffer from aging failures that can cause a plant trip or unavailability of plant systems. The overall objective is to determine how precursors of failures in I&C circuit boards can be measured, and how these measures can be used to estimate the probability of failure during the next operational period within a statistical confidence level. This initial study provides a framework for identification of techniques that can be used to monitor circuit board component aging failure modes that could lead to a failure of the circuit. This study has three tasks:

1. Provide (1) a review of information on circuit board aging failure descriptions, and (2) an identification of reliability data for I&C component failures.
2. Propose and review six uniquely different methods and associated techniques that could be applied to measure and predict the effects of aging within I&C boards and circuits.
3. Provide a systematic framework for deciding how to select circuit boards as well as methods for improving the process for monitoring aging effects of circuit boards in nuclear power plants - the framework includes a relative cost benefit assessment for each technique.

Many causes of I&C circuit board failure progress slowly. This opens the possibility for measuring the impacts of aging progression prior to complete failure. Measures of changes in electrical characteristics provide a basis for estimating the probability of failure during the next operational period. Simulation of the aging process can be used to produce a statistical confidence in the probability estimate. Such information can be used to support optimized maintenance planning and decisions.

The ideal result of this project is to define a framework for selecting techniques for aging management that can be applied to any circuit. The techniques should be easy to use and account for various modes of circuit component aging. This is an initial study to examine a wide range of issues and approaches for aging management in electronic systems. The existing state of the I&C systems is that most nuclear power plants were designed using analog control circuits and relay controlled safety circuits. Hardwired electric relays have become obsolete, as electronic circuits rely on integrated circuits and software controls to accomplish the same functions. Current technology permits software logic to replace relay logic and analog controls to activate safety and control circuits. Therefore, circuit boards can become obsolete in less than a decade and as this older equipment fails, the spare parts inventories become depleted and failures can't be easily repaired. Then there is an increasing need for older technology I&C systems to be upgraded or replaced. Even new solid state, integrated circuits and systems can become obsolete in a few years. Older nuclear power plants are in various stages of identifying

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obsolescence, upgrading and replacing I&C systems. As a result the key control and protection systems in nuclear plants use a range of technologies in different plants, and even within the same plant. Thus, the state of I&C technology within plants may be considered as fragmented with respect to replacement components and the amount of digital versus analog I&C systems.

In an absolute sense the rate of failure and replacement for electronic circuit boards may not be considered high. However, from a regulatory point of view, and also relative to other major plant systems, the I&C system repair and replacement rates are relatively high. For example, individual circuit boards are typically repaired or replaced several times during the life of a plant. Therefore, this higher rate of circuit board replacements makes them of low concern as an aging issue in plant license extension, whereas, insulation on the wires connecting the I&C systems is a required aging issue that must be addressed in license extension applications.

Reviewing the descriptions of aging failures in circuit boards provides some very valuable insights. For example, a conclusion from review of information from EPRI (EPRI 2002, EPRI 2003 and EPRI 2004) is that the failure end state of most electronic components is either an open or short circuit. These findings help simplify the design of potential measurement systems by permitting the monitoring of each board circuit to be treated as an equivalent circuit with measurable electronic parameters such as voltage, impedance, resistance, current, and ground resistance. Changes in these parameters become precursor indications of degradation that could lead to a complete failure.

Aging induced failures (due to temperature, operating stress, quality of components, corrosion, and environment) are slow and many intermediate states of partial failure exist. Changes in the electrical parameter signals from the circuit can be measured before an inoperable condition is reached. In the case of a rapid shock induced failure (e.g., high voltage spikes, rapid corrosion or high temperature effects from fire, etc.) the time between the triggering condition and the component failure would be too short for corrective action to be taken before a failure.

A variety of technology and software methods can be used to develop improved monitoring, including continuous circuit monitoring, and active as well as passive testing approaches.

1. The EPRI reports developed by EdF (EPRI 2002 and EPRI 2004) show the impact of component failures involving capacitance and inductance that are sensitive to frequency variation tests.
2. Simple voltage tests could easily identify circuits that are drifting toward shorts or open circuits.

Replacement of an aging component with a spare board may not always be possible, because of obsolescence. If this is the case, then the ability to locate the failed component supports the process of replacing individual components on a board when replacement circuit boards are not available. This circuit board reconditioning can be enhanced by early identification of precursor failures.

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Considerable failure data are available for electronic components. These data are compiled as failures per unit time for like components. The grouping process reduces the details of the failure modes in MIL-HBK-217F (i.e., descriptions of the failure modes typically found in event data and root cause analysis are subsumed into the term “failure” so that the detail is lost). The MIL-HBK-217F data can be assigned with some judgment to the individual board components. Since a board or circuit contains a combination of many components, board failure rates can be approximated by a sum of failure rates for the components following the recommended methodology in MIL-HDBK-217F.

It should be noted that information about aging failures of individual components of circuit boards has been presented previously, and therefore is not repeated in this report. References such as EPRI 2002 and EPRI 2004 identified the families of components that are of greatest concern for aging and failure, described component technologies typically found in nuclear plant circuit boards, and presented field data from a number of plants. These field data included failure analysis reports and visual inspections of boards and components. Root causes of failures are also presented, along with photos in many cases, to provide examples of various failure types. Furthermore, the reports describe postulated aging mechanisms and identify early aging indicators, which may be measured in a simple manner. Therefore, any reader who wishes to review detailed examples of various types of board and component failures should refer to these prior reports.

Potentially useful techniques for monitoring the aging of I&C boards are presented in Section 4. The techniques have been grouped into six methods of periodic testing, reliability modeling, resistance measures, signal comparison, external (passive) measures, and internal (active) measures, representing unique theoretical approaches for detection and evaluation. The technical tools that can be applied to monitoring within the methods have clearly improved within the last few years as computers and networks have been enhanced to rapidly process large amounts of data. Each technique has significant advantages and disadvantages. Human inspections can detect a surprisingly large group of aging issues, but unfortunately, most likely after the failure occurs. As the methods become more precise in their ability to measure aging factors, the design of the hardware and software monitoring system increases in complexity.

Section 5 provides a decision process for selecting those circuits and components that could benefit from an upgraded approach for monitoring the effects of aging. This investigation of providing a systematic decision process has highlighted areas where responses to the decision element questions are not yet precise enough to establish firm recommendations for systems. Rather, technical questions that should be answered have been identified for future R&D. To develop a cost effective program for upgrading the circuit monitoring systems, the relative costs and technical benefits are assessed to help refine the recommendations for the next steps. These are provided in Section 6.

I&C maintenance personnel and managers can benefit from this report. The business objective for developing I&C board monitoring systems includes identification of circuit board aging degradation before board failure, which could cause an unplanned outage or power reduction.

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The value of upgrading the existing functional testing process, with techniques for monitoring the aging effects of components within the circuit, is expected to become a higher priority with increasing circuit failures due to aging. The existing methods typically detect circuit failures after they occur whereas the new monitoring techniques provide indications of failure while the circuit is still functional. This will give operators information upon which to maximize the operating life of components without suffering circuit failure.

Most monitoring techniques have been applied to mechanical equipment or to a process, but not to circuits that control the mechanical equipment or process. Thus, a need exists for integrating the hardware and software components necessary to monitor aging of components within various circuit types according to the use of a specific technique.

Once the system operation of a circuit monitoring technique(s) is developed and demonstrated it will find wide applications in power plants for circuits that are classified as important to plant operation.

# ACRONYMS

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A/D —Analog to Digital  
AC— Alternating Current  
ANS—American Nuclear Society  
ANSI—American National Standards Institute  
ASME—American Society of Mechanical Engineers  
CFR— Code of Federal Regulations  
D/A —Digital to Analog  
DC— Direct Current  
DOD— Department of Defense  
EdF— Electricite de France  
EOPs —Emergency Operating Procedures  
EPRI—Electric Power Research Institute  
EPROM—Electronic Programmable Read Only Memory  
ESFAS—Engineered Safety Features Actuation System  
ETM— Electronic Temperature Monitor  
FET—Field Effect Transistor  
HRA— Human Reliability Assessment  
I&C—Instrumentation and Controls  
IAEA —International Atomic Energy Agency  
IC—Integrated Circuit  
IEEE—Institute of Electrical and Electronic Engineers  
INPO —Institute for Nuclear Power Operations  
JFET—Junction Field Effect Transistor  
LCDs —Liquid Crystal Display  
LCO— Limiting Condition of Operation  
LEDs —Light-Emitting Diodes  
MIL-HDBK —Military Handbook  
MOS— Metal Oxide Semiconductor  
MTBF— Mean time between failures  
NEI — Nuclear Energy Institute  
NRC – Nuclear Regulatory Commission  
OpAmp—Operational Amplifier  
PCB—Printed Circuit Board  
PC—Printed Circuit

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PM—Preventive Maintenance  
POF—Physics of failure  
PSAs— Probabilistic Safety Assessments  
PTH —Pin through Hole (PTH) solder joints  
R&D —Research and Development  
RAM —Random Access Memory  
ROM —Read-Only Memory  
RTD—Resistance Temperature Detectors  
SCSI —Small Computer System Interface  
SMT — Simultaneous Multi Threading (SMT) solder joints  
SRAM —Static RAM  
SSCs— Structures Systems or Components  
SSR—Solid-State Relay  
US —United States

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# 1

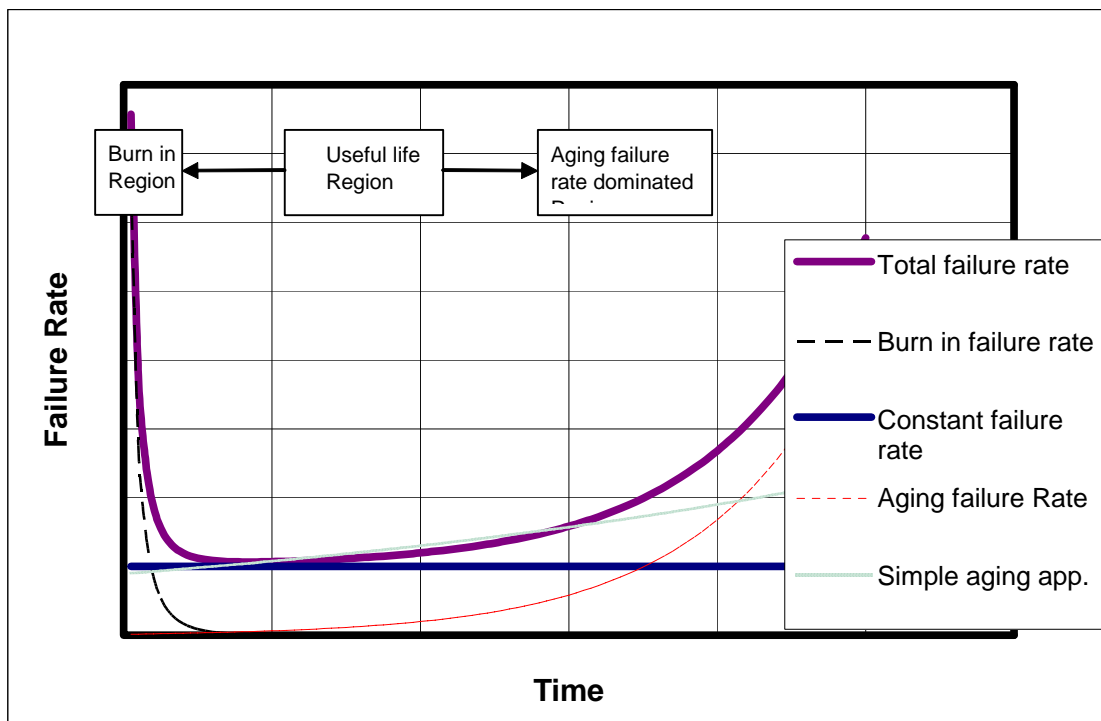
## INTRODUCTION

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An important metric commonly used to measure and specify the lifetime of electronic components and circuit boards is the mean time between failures (MTBF). This is the mean time until the group of devices will fail. The MTBF is a function of the failure rate of the circuit board and the components on it.

### Bathtub Curve

The failure rate for most modern electronic components has a distinctive "bathtub" curve that represents their failure characteristics (Kumamoto and Henley 1996, Wowk 1991, and Ireson and Coombs 1988). The bathtub curve in Figure 1-1 provides a means for discussion of the characteristics of the statistical failure rate during three phases of the component life burn in, useful life, and aging dominated



**Figure 1-1**  
**Example Bathtub Failure Rate Curve**

As shown in Figure 1-1 during the early life of the component (referred to as the burn-in phase), it's more likely to fail due to the initial manufacturing defects and introduction of damage during assembly and testing. The initial testing of electronic components uses high temperatures to act as a time accelerator to verify limits of failure conditions and eliminate obvious defects in the subsequent manufactured devices.

Once this initial burn in phase is over, through factory tests and initial testing at the site, a device's overall failure rate typically remains quite low for a number of years. This MTBF or useful life is expected to last more than ten years for electronic devices built in the 1980s, and operated within specified limits for the entire time period.

The useful life ends when the failure rate increases due to age related failures. Examples of age related failures include insulation breakdown, increases in current leakage, loss of resistance and loss of capacitance. Aging is impacted by long term stress from voltage differential, voltage cycles on specific components, and other factors.

For the purposes of this document it is assumed that the burn in failure period has passed, and components on the circuit boards are either in the constant failure rate period or transitioning from the useful lifetime into a period of increasing failure rate due to aging. The impact of failures during these periods is that circuits controlling the operation of equipment in the plant can fail in ways that can cause normally operating equipment to operate spuriously and then, for example, could cause controlled equipment such as a turbine generator to reduce power or go off line without advanced notice. In the case of safety systems the actuation signal might become unavailable due to aging failures on the electronic boards or operate spuriously. Such spurious actions can result in significant costs to the plant in lost revenue from generation, or extended down times for trouble shooting the cause of the failure. With these assumptions in mind the next step is to establish rationale foundations for addressing aging circuit boards that could lead to the identification of improved methods for limiting the impact of failures on the safety and operation of plants.

## **Foundations for Addressing Aging**

Utilities operating power plants are well aware of the aging issues and have adopted a variety of rationales for protecting the plant from unexpected circuit failures that go beyond the simple procedure of running the circuits until failure occurs. In general the proactive rationales can be characterized in four groups. The groups are (1) application of technical specifications to perform periodic testing, (2) replacement of a circuit board based on an estimated MTBF using statistical component reliability methods<sup>1</sup>, (3) use of condition monitoring and operational assessment models<sup>2</sup> to predict the need for replacement, and (4) continuous monitoring to warn of precursors of failure.

---

<sup>1</sup> DiSandro and Torok 2005 state that "There is no accepted methodology to quantify the failure probabilities of a control operation operating within a multitasking operating system environment."

<sup>2</sup> Condition monitoring assessments use past performance to evaluate likelihood of failure. Operational assessments define the failure probability over next operating cycle and may include statistical uncertainty bands.



## ***Application of a Periodic Test Interval***

Plant protection systems are subject to periodic surveillance that detects failed modules. This application currently involves periodically testing the plant protection circuits during outages or by testing one of the redundant circuits during operation. When a circuit is found to be out of specification for its intended use, an action is taken such as recalibrating or replacing the controlling circuit boards (if not obsolete). If replaced from stock, the failed printed circuit board (PCB) is typically repaired and returned to stock (EPRI 2003). This rationale is known as wait until a failure is discovered, and then restore the circuit, which is also called “run to failure.” In some cases an aging failure can progress to a complete failure between the test intervals. The test interval is usually found in the technical specifications. Because of long life of many circuit boards the test interval in the technical specifications is on the order of 18 to 24 months to coincide with the refueling interval. Hence, if there is no pre-warning time of failure, the only question becomes, “Is the circuit operable as tested or is it failed?” Using this rationale, circuit components that pass a functionality test with significant aging degradation can be placed back into service with no understanding of the degree of degradation.

## ***Reliability Modeling***

A second rationale for aging management of circuit boards is to use either the manufacturers recommended replacement schedule or calculate board specific MTBFs to establish a generic replacement schedule. Use of a replacement schedule defined by the circuit board MTBF relies on failure rate data from a population of similar boards. Determination of an MTBF then relies on generic information that might not apply to the actual operational conditions for the circuit board components in the plant. Thus, a large uncertainty must be applied to the MTBF and, if a 90% confidence level is used, a board with a nominal MTBF of 15 years might be replaced at 3 or 4 years. This can cause the cost of maintenance for aging failures to be more than actually needed.

Reliability models for electronics, including MIL-HDBK 217F data and models, can be used to predict the life of many electronic systems, such as computers and consumer goods, which may have a short life, typically two to five years, and critical applications, such as in the case of power plants, where life may be 15 years or more (EPRI 2003). However, when using this rationale, there is no warning time for a specific component failure on a specific board, and failures during the next test interval can trigger unplanned plant outages.

In typical reliability models component failures are assumed to be "random", i.e. exponentially distributed. A model such as this has no "infant mortality" or "wear out" region. Physics of failure (POF) approaches can describe the "life" of electronic products where life is limited by predictable physical mechanisms (Ireson and Coombs 1988). These models work well, e.g., for the case of solder joint fatigue by thermal cycling. However, POF approaches are not adequate for large systems, with disparate part types, where the environmental conditions are benign (i.e., there is no failure forcing stress on the component).

In power plant applications, circuit boards are typically operated in a control room with carefully controlled environmental conditions. So, EPRI (EPRI 2002 and EPRI 2004) conducted a large measurement program on samples of aged control circuit boards to determine what components

age, and by how much, over 20 years or more. The results, in concert with additional analysis, can be used to establish maintenance strategies for control systems using similar components, which exhibit the same failure mode and rate characteristics.

### ***Condition Monitoring and Operational Assessment***

A third foundational rationale for board replacement begins with the initial recommendation for replacement, and based on an evaluation of measures of a specific circuit condition and on use of the information in models that predict the probability of board failure adjusts the replacement interval. This type of proactive maintenance is normally practiced for mechanical components, but no references to such proactive methods have been found in the technical literature for electronic systems (Loman et. al. 2003).

Condition monitoring relies on measurable test information given from a circuit to develop inputs into a model for calculating the probability of circuit failure. In the case of condition monitoring and evaluation of the probability that the circuit failure would have occurred over the last cycle can be calculated. In the case of operational assessment the probability of failure during the next cycle can be estimated. Given this information the board could be left in place or replaced proactively.

This rationale requires measures upon which to predict the likelihood of failure in the next operating cycle. The predictions rely on statistical models, which may be derived from reliability models, but are adapted to a specific circuit through a correlation between the measured information and inputs to the failure probability quantification. An important question is then how much warning time is given between the measurement of an aging failure condition and loss of the circuit function? Improved circuit availability is possible, if the warning time for circuit replacement is short relative to the test interval based on refueling outages<sup>3</sup>. The improved warning time based on anomalous indications is a basis for replacement before failure.

### ***Continuous Monitoring***

A fourth rationale for determining the board replacement interval is to provide a means for continuous monitoring so that the results of the condition monitoring calculation and the operational assessment are the same. This occurs when the time interval between measurements approaches zero. If rapid changes in current flow are observed, and these changes are interpreted as precursor indications by trending, then this indicates that the maximum life of a component on a specific board is approaching or has been reached.

This rationale for board replacement has not been applied by utilities, but represents a more idealized approach that could be established. It provides a warning of the aging impact long before the failure would occur. It is compatible with a philosophy of replacement just in time and yet allows the operators to maximize the useful circuit board life. It would require specialized monitoring equipment, and may be cost effective when applied to key circuits.

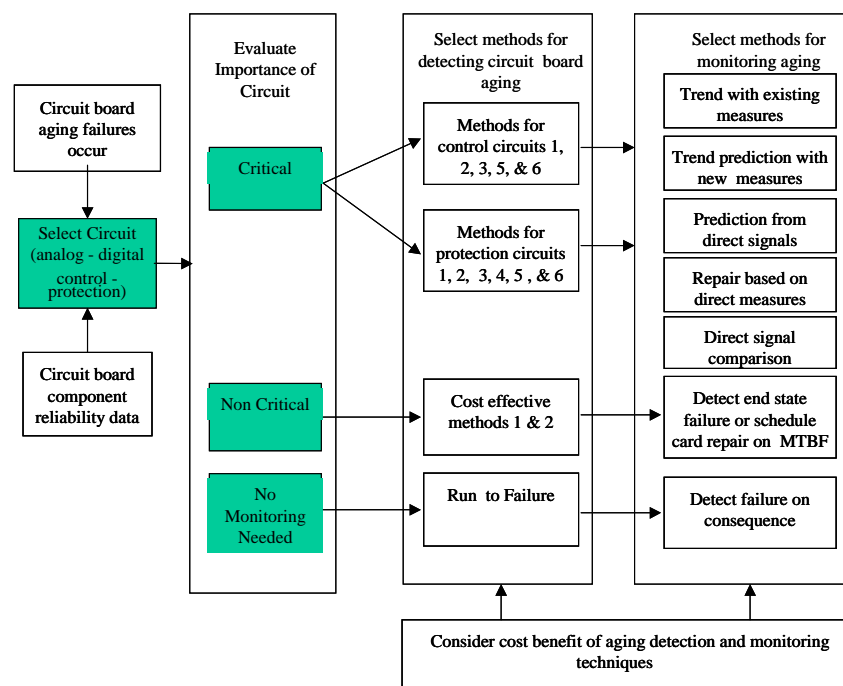
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<sup>3</sup> Typical refueling intervals are in the range of 18 to 24 months in US nuclear power plants.

Use of continuous monitoring could be one reason to select industrial grade boards in the important circuits. This would replace savings in one area with the increased cost of constant monitoring. In NEI, 2001b, the cost of a board that meets nuclear standards is about five times the cost of an industrial grade board that can perform the same function for which it is intended. The industrial-grade electrical circuit board could be purchased for \$1,160, or \$5,700 for the same circuit board under nuclear standards. “The main difference in cost is the extent of the process used to verify the component’s performance capability. Commercial industrial standards are entirely satisfactory for many applications with low safety-significance in nuclear power plants. In fact, they already are widely used in these facilities. Their use could be expanded substantially, and it simply makes sense to do so” (NEI 2001b).

## Framework for Improved Aging Monitoring

A framework for integrating the issues related to upgrading circuit board functional testing to include aging monitoring is needed. Figure 1-2 provides a framework for considering how new techniques can be considered for application in existing plants and what type of monitoring might be associated with the technique. It also includes deciding if it is necessary to upgrade the aging detection process.



**Figure 1-2**  
**Framework for Considering Improved Circuit Board Monitoring for Aging**

In general after choosing a specific circuit, its importance is assessed in the next column, and then from the potential methods factors such as observability and detectability of failure modes are considered. Finally, in the process monitoring column factors such as predicting failure and the timing of restoring, repairing, or replacing are considered.

The following sections address these elements in more detail leading to example decision trees and a relative cost benefit review. The next section reviews aging component failure modes on circuit boards and the use of various methods for monitoring failure modes recognized in electronic components by examination of used circuit boards (EPRI 2002 and EPRI 2004).

# 2

## CIRCUIT BOARD AGING FAILURES

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This section provides an important input to the framework. It begins with a listing of the components that are found on circuit boards and are subject to aging failures. This section also provides progressive failure mode descriptions for categories of component types.

### Component Listing

The components analyzed in EPRI 2002 and EPRI 2004 are shown in Table 2-1. These were identified as important by a survey of nuclear power plant I&C personnel. Definitions for these components are provided in Appendix B. It is necessary to understand the details of each component in order to assess how a method or technique for monitoring aging could be applied.

**Table 2-1**  
**Listing of Components Typically Found on Circuit Boards<sup>4</sup>**

1	Capacitors
2	Relays
3	Potentiometers
4	Edge board connectors
5	Power diodes and transistors
6	Transformers and inductive devices
7	Thyristors
8	Integrated circuits
9	Printed circuits
10	Signal diodes and transistors
11	Regulators & other analog circuits
12	Optocouplers
13	On board connectors
14	Fixed resistors
15	LED
16	PTH solder joints Pin through Hole (PTH)
17	DC/DC converters
18	SMT solder joints Simultaneous Multi Threading (SMT)
19	LCD
20	Switches and keyboards
21	Quartz

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<sup>4</sup> Analyzed in EPRI reports (EPRI, 2002 and EPRI 2004)

The next step was to identify detailed descriptions of effects of failure, root causes and failure modes from EPRI reports and other sources for the components in Table 2-1.

## **Aging Failure Modes**

A failure mode is the effect by which a failure is observed; whereas, a failure mechanism is the chemical, physical, or material process that led to the component failure (EPRI 2003). For electronic components, there are basically two general aging progressive failure modes and two end state aging failure modes:

### **Progressive failures**

- Degraded performance
- Functional failures

### **End states**

- Short circuit
- Open circuit

Transistors, diodes, and certain types of capacitors typically fail short circuit, although resistors and optoelectronic devices fail in a functional mode by having an output signal that is different from what is expected. Failure mechanism can typically be corrosion, wire-bond fatigue, oxide breakdown, electromigration, bond liftoff, and many others. The area of failure mechanism is truly a fertile frontier for understanding the contributors to equipment failure and then being able to first design the mechanisms out of components or at least understand the onset of failures and be able to detect them and take preventive measures to combat them (EPRI 2003).

The following precursor and progressive failure descriptions for circuit board components were obtained directly from EPRI 2002 and EPRI 2004. The failure modes and aging progression issues identified for the components in Table 2-1 are listed in Tables 2-2, 2-3 and 2-4 for components, integrated circuits and circuit boards, respectively. The tables focus on the effects of aging degradation, which generically can be addressed for all components as leading to an open or shorted circuit end state. The differences in component types and functions can result in unique progressions of intermediate degradation failure states that are precursors of failure. These unique progression states, if measured, provide a way of pin pointing the component on the board that is aging.

Tables 2-2, 2-3 and 2-4 provide a generic mapping of precursor effects in time groupings for generic components. These tables show that the aging mechanisms for these components can be observed both externally and internally from changes in circuit characteristics. Furthermore, most aging mechanisms that occur after the “burn in period” proceed slowly, therefore trends of aging can be observed before the circuit becomes fully open or shorted. Thus, if the aging effect can be measured and related to a failure condition, then trending the measures of aging mechanisms can provide a basis for quantifying the probability of failure over a time interval. This provides a rationale for reviewing the potential for detecting the aging with a particular method or technique.

The next section provides a review of the failure data that are available for the components listed in Table 2-1.

**Table 2-2****General Effects of Aging on Resistors, Capacitors, Transformers and Other Components on I&C Electronic Boards**

Precursor Condition	Progressive Conditions	Inoperable State
Electrical parameters (resistance, impedance, voltage or current) are out of spec,	Intermittent short circuit or open circuit,	Permanent short or open circuit,
Drift	Out of spec transfers	Loss of output voltage
High leakage current to ground.	Temperature increases	Short circuit
Input voltage is out of spec.	Output voltage out of spec.	Loss of output voltage
Loss of heat sink (thermal resistance increase, thermal dissipation problems may occur)	Long term reliability is affected (thyristors do not trigger properly)	Loss of output voltage
Short circuits between all leads	Cathode can turn around itself	Anode to cathode short circuit
Contact problems	Temperature increases	Permanent short or open circuit

**Table 2-3****General Effects of Aging on Integrated Circuits on Chips on I&C Electronic Boards**

Precursor condition	Progressive conditions	Inoperable state
Weak supply current,	Unstable supply pin loss of memory @ FF...	Open circuit inside the integrated circuit
Supply current is too high,	Memory cannot be returned	Short circuit between the an output pin and circuit board
Leakage current of an output transistor is out of spec.	Checksum is does not agree with standard	Loss of program instruction
High temperature operation	Gates have unstable output	Partial destruction of the wire bond component
Corrosion induced intermetallic layers growth at interfaces	Output voltage of a gate oscillates	Failure open or short circuit

**Table 2-4**  
**General Effects of Aging on Printed Circuit Electronic Boards**

Precursor condition	Progressive conditions degradation of parameters	Inoperable state
Due to cracks	Resistance increase	Open circuits on the printed circuit board
Due to corrosio	Resistance change	Short or open circuit
	Resistance fluctuation	Short circuit due to tracking
Junction breakdown -due to temperature	Leakage current between base and collector is out of spec	Open circuits
Due to vibration	Inverse leakage current is out of spec ( $> 10 \mu A$ )	Short or open circuit
Due to corrosion	Shift in wave form input -output	Short or open circuit
Due to moisture	Increase in resistance (increase in need to restart)	No longer functions
Due to Insulation aging	Operation limited to one position	Discover upon testing
	Output is not regulated for input change	No change on output
	Voltage drift high leakage current	Out of spec conditions
	Frequency progressively shifts	Voltage control problems through out circuits



# 3

## RELIABILITY DATA

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An element of the framework is to apply reliability modeling. Thus, the next step is to establish a reliability database that can be used for failure predictions on typical instrument and control (I&C) boards. In Table 3-1 the collected circuit board failure rates from grouped data sources are compared with the list of components in Table 2-1. The failure rates discussed in this section generally apply to the useful life period as previously illustrated in the bathtub curve of Figure 1-1.

### Component Specific Failure Data

The data sources for the nuclear power plant electronic components listed in Table 3-1 come from EPRI 2002 and EPRI 2004. They describe failure modes and root causes that have been observed in I&C boards and electronic components. In order to bridge between the list of components in Section 2 and component failure rate data, a clear description and function for each component is needed. Expanded component definitions are provided in Appendix B. The reliability data follow the data collected for military applications and other applications that have been collected and presented in the literature.

The estimates of electronic equipment failure rates can be obtained from the reliability data in Table 3-1. These data represent the results of accelerated aging tests that use high temperatures, excessive voltage stress, and many voltage, vibration and impact cycles to represent long term aging.

The recommended environmental values for each type of component assume the following conditions for power plant applications.

- The quality of the components is best commercial (quality)
- The operation is in a clean filtered air, fixed ground location (environment) with low mechanical vibration.
- The components have been proven under use for at least two years in other applications before installation (learning).
- The voltage is never more than 70% of the rated value (stress), for example for a fifty volt rating the operating voltage never exceeds 35 volts. In many circuit board operations the basic source voltage is 3 or less volts.
- The operating temperature is less than or equal to 40°C (temperature).

The failure rate data must be adjusted for conditions that differ from the above assumptions. The weakness in these data is that neither the impact of the failure nor the root cause is described in detail. The data typically describe only the failure rate or number of failures per time period.

## Event Data Sources

Other sources of data describing I&C board failures were reviewed for applicability to aging component evaluations. In addition to the MIL-HDBK-217 data, event data can be found for other facility operations. Event databases developed to support weapons processing facilities usually describe the impact of a circuit board failure following a root cause analysis. They are generally limited to only a few events, often use technology that is newer than that found in nuclear power plants (and therefore are of limited value in assessing failures in older technology circuit boards), and deal with the system level issues rather than the component level aging issues, which are the focus of this document. Additionally, there is concern about authorizing the use of database information from weapons processing facilities. Therefore, only the MIL-HDBK-217 type data are presented in Table 3-1.

## Failure Rate Equations

The general failure rate model for a part in MIL-HDBK-217 is of the form:

$$\lambda_p = \lambda_b \bullet \pi_Q \bullet \pi_E \bullet \pi_A \bullet \bullet \bullet$$

Where:  $\lambda_b$  = the base failure rate, is described by the Arrhenius equation, and  
 $\pi_Q \pi_E \pi_A \dots$  = factors related to component quality, environment, and application stress.

The Arrhenius equation illustrates the relationship between insulation breakdown rate and temperature for components. This application has been derived from the observed dependence of chemical reactions on temperature changes.

$$R(t) = Ae^{\frac{E}{\kappa \bullet T}}$$

Where:  $R(T)$  = process rate (e.g., rate of discharge increase)

$E$  = activation energy for the process

$\kappa$  = Boltzmann's constant

$T$  = absolute temperature

$A$  = a constant

Additional details involving the application of the Arrhenius equation are provided in Appendix A. A review of the information in Table 3-1 shows that the failure rates apply to complete failure and are not effective in identifying aging failure modes. Thus, the failure data can be used to estimate a circuit board MTBF during the operational period, and must be adjusted to account for aging with environmental factors. The reliability of a circuit is a strong function of its environment and operating temperature. Such measurements are typically not available, and it is not clear that collecting such data would be the most effective way of monitoring circuit board aging effects.

**Table 3-1**  
**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF**  
**Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	II L Learning	II E Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Capacitors	Capacitors, Discrete, Fixed, Alum, Axial Lead, <400uF	24	1	2	2	96
Capacitors	Capacitors, Discrete, Fixed, Ceramic	3.6	1	2	2	14
Capacitors	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	2	2	6
Relays	Relay General purpose	130	1	2	2	520
Relays	Relay Meter movement	880	1	2	2	3520
Relays	Relay Time delay	500	1	2	2	2000
Relays	Relay Contactor, high current	430	1	2	2	1720
Relays	Relay Solid State	400	1	2	2	1600
Relays	Relay thermal bi-metal	290	1	2	2	1160
Relays	Relay latching	130	1	2	2	520
Relays	Relay reed	110	1	2	2	440
Potentiometers	Motor	1600	1	2	2	6400
Potentiometers	Switch Thumbwheel	560	1	2	2	2240
Potentiometers	ETM-Inverter Driver	15000	1	2	2	60000
Potentiometers	ETM-AC	10000	1	2	2	40000
Edge board connectors	Conn IC socket	5.4	1	2	2	22
Edge board connectors	Conn Clip terminators	0.12	1	2	2	0
Edge board connectors	Conn comp	0.26	1	2	2	1
Power diodes & transistors	DIODES Power Rectifier	3	0.7	2	2	8
Power diodes & transistors	DIODES General Purpose Analog	3.8	0.7	2	2	11

**Table 3-1 (continued)**  
**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF**  
**Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	II L Learning	II E Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Power diodes & transistors	DIODES Transient Suppressor/Varistor	3.1	0.7	2	2	9
Power diodes & transistors	DIODES Fast Recovery Power Rectifier	69	0.7	2	2	193
Power diodes & transistors	DIODES Gunn/Bulk Effect	180	0.7	2	2	504
Power diodes & transistors	DIODES Schottky Barrier and Point Contact	27	0.7	2	2	76
Power diodes & transistors	DIODES Si Impatt	220	0.7	2	2	616
Transformers & inductive devices	XFMR RF	28	1	2	2	112
Transformers & inductive devices	Synchro	70	1	2	2	280
Transformers & inductive devices	XFMR High power pulse and power filter	23	1	2	2	92
Transformers & inductive devices	XFMR Audio	7.1	1	2	2	28
Transformers & inductive devices	XFMR Low power pulsed	3.5	1	2	2	14
Transformers & inductive devices	XFMR RF coils variable	3.3	1	2	2	13
Transformers & inductive devices	XFMR RF coils fixed or molded	1.7	1	2	2	7
Thyristors	DIODES Thyristor/SCR	2.2	0.7	2	2	6
Integrated circuits	MOS MicroProc 32 Bit	190	1	2	2	760
Integrated circuits	MOS DLA 60000 Gates	130	1	2	2	520
Integrated circuits	Bipolar MicroProc 32 Bit	110	1	2	2	440
Integrated circuits	Resolver	110	1	2	2	440
Integrated circuits	MOS MicroProc 16 Bit	93	1	2	2	372
Integrated circuits	MOS DLA 30000 Gates	84	1	2	2	336
Integrated circuits	Bipolar DLA 60000 Gates	75	1	2	2	300

**Table 3-1 (continued)**

**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	II L Learning	II E Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Integrated circuits	Bipolar DLA 30000 Gates	52	1	2	2	208
Integrated circuits	Bipolar MicroProc 16 Bit	52	1	2	2	208
Integrated circuits	Bipolar LinearLA 10000 Trans	50	1	2	2	200
Integrated circuits	MOS LinearLA 10000 Trans	50	1	2	2	200
Integrated circuits	MOS DLA 10000 Gates	49	1	2	2	196
Integrated circuits	MOS MicroProc 8 Bit	48	1	2	2	192
Integrated circuits	MOS SRAM 1MB	43	1	2	2	172
Integrated circuits	Bipolar DLA 10000 Gates	33	1	2	2	132
Integrated circuits	Bipolar LinearLA 1000 Trans	33	1	2	2	132
Integrated circuits	Bipolar SRAM 1MB	33	1	2	2	132
Integrated circuits	MOS LinearLA 1000 Trans	33	1	2	2	132
Integrated circuits	Bipolar MicroProc 8 Bit	28	1	2	2	112
Integrated circuits	MOS SRAM 256K	23	1	2	2	92
Integrated circuits	Bipolar PLA 5000 Gates	22	1	2	2	88
Integrated circuits	MOS DLA 3000 Gates	19	1	2	2	76
Integrated circuits	Bipolar SRAM 256K	18	1	2	2	72
Integrated circuits	Bipolar LinearLA 300 Trans	17	1	2	2	68
Integrated circuits	MOS LinearLA 300 Trans	17	1	2	2	68
Integrated circuits	MOS SRAM 64K	14	1	2	2	56
Integrated circuits	Bipolar SRAM 64K	12	1	2	2	48
Integrated circuits	Bipolar DLA 3000 Gates	11	1	2	2	44
Integrated circuits	Bipolar PLA 1000 Gates	11	1	2	2	44
Integrated circuits	MOS DRAM 1MB	11	1	2	2	44
Integrated circuits	MOS DLA 1000 Gates	10	1	2	2	40
Integrated circuits	Bipolar LinearLA 100 Trans	9.5	1	2	2	38
Integrated circuits	MOS LinearLA 100 Trans	9.5	1	2	2	38
Integrated circuits	FGPA 1M	9.5	1	2	2	38

**Table 3-1 (continued)**  
**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF**  
**Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	ITQ Quality	ITL Learning	ITE Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Integrated circuits	MOS SRAM 16K	7.9	1	2	2	32
Integrated circuits	Bipolar SRAM 16K	7.5	1	2	2	30
Integrated circuits	MOS DRAM 256K	7.2	1	2	2	29
Integrated circuits	Bipolar PLA 200 Gates	6.1	1	2	2	24
Integrated circuits	FGPA 256K	6.1	1	2	2	24
Integrated circuits	Bipolar DLA 1000 Gates	6	1	2	2	24
Integrated circuits	MOS DLA 100 Gates	5.7	1	2	2	23
Integrated circuits	FGPA 64K	5.6	1	2	2	22
Integrated circuits	MOS DRAM 64K	5.5	1	2	2	22
Integrated circuits	FGPA 16K	4.6	1	2	2	18
Integrated circuits	MOS DRAM 16K	4	1	2	2	16
Integrated circuits	Bipolar DLA 100 Gates	3.6	1	2	2	14
Integrated circuits programmable	Bipolar ROM 1MB	53	1	2	2	212
Integrated circuits programmable	Bipolar ROM 256K	28	1	2	2	112
Integrated circuits programmable	Bipolar ROM 64K	17	1	2	2	68
Integrated circuits programmable	MOS PROM 1MB	12	1	2	2	48
Integrated circuits programmable	MOS ROM 1MB	11	1	2	2	44
Integrated circuits programmable	Bipolar ROM 16K	10	1	2	2	40
Integrated circuits programmable	MOS PROM 256K	7.2	1	2	2	29
Integrated circuits programmable	MOS ROM 256K	6.7	1	2	2	27
Integrated circuits programmable	MOS PROM 64K	6.1	1	2	2	24

**Table 3-1 (continued)**

**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	IIIL Learning	IIIE Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Integrated circuits programmable	MOS ROM 64K	5.9	1	2	2	24
Integrated circuits programmable	MOS PROM 16K	4.9	1	2	2	20
Integrated circuits programmable	MOS ROM 16K	4.7	1	2	2	19
Printed circuits	Electronic filter discrete LC comp	120	1	2	2	480
Printed circuits	Electronic filter ceramic-ferrite	22	1	2	2	88
Printed circuits	Electronic filter discrete LC & crystal comp	270	1	2	2	1080
Signal diodes & transistors	DIODES Voltage Ref./Reg. (Avalanche & Zener)	2	0.7	2	2	6
Signal diodes & transistors	DIODES Varactor	2.5	0.7	2	2	7
Signal diodes & transistors	DIODES Tunnel and Back	2.3	0.7	2	2	6
Signal diodes & transistors	TRANSISTORS RF, Low Noise, Bipolar (f > 200 MHz, P < 1 W)	180	0.7	2	2	504
Signal diodes & transistors	TRANSISTORS GaAs FET (P >= 100 mW)	130	0.7	2	2	364
Signal diodes & transistors	TRANSISTORS RF, Power (P > 1 W)	80	0.7	2	2	224
Signal diodes & transistors	TRANSISTORS Si FET (f > 400 MHz)	60	0.7	2	2	168
Signal diodes & transistors	TRANSISTORS GaAs FET (P < 100 mW)	52	0.7	2	2	146
Signal diodes & transistors	TRANSISTORS Si FET (f < 400 MHz)	12	0.7	2	2	34
Signal diodes & transistors	TRANSISTORS NPN/PNP (f < 200 MHz)	0.74	0.7	2	2	2
Signal diodes & transistors	TRANSISTORS Power NPN/PNP (f < 200 MHz)	0.74	0.7	2	2	2
Signal diodes & transistors	TRANSISTORS Unijunction	8.3	0.7	2	2	23

**Table 3-1 (continued)**  
**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF**  
**Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	II L Learning	II E Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
Regulators & other analog circuits	DIODES Current Regulator	3.4	0.7	2	2	10
Regulators & other analog circuits	Switch Circuit breaker magnetic	60	1	2	2	240
Regulators & other analog circuits	Switch Circuit breaker thermal	110	1	2	2	440
Regulators & other analog circuits	Switch Rotary wafer	330	1	2	2	1320
Regulators & other analog circuits	Switch Sensitive	150	1	2	2	600
Regulators & other analog circuits	AC ammeter or voltmeter	150	1	2	2	600
Regulators & other analog circuits	DC ammeter or voltmeter	90	1	2	2	360
Optocouplers	Photodetector	5.5	0.7	2	2	15
Optocouplers	Laser Diode In/GaAs/In GaAsP	5650	0.7	2	2	15820
Optocouplers	Laser Diode GaAs/A/ GaAs	3230	0.7	2	2	9044
Optocouplers	Opto-isolator	13	0.7	2	2	36
On board connectors	DIODES PIN	8.1	0.7	2	2	23
On board connectors	Fuse	10	1	2	2	40
On board connectors	Conn circular	11	1	2	2	44
On board connectors	Conn coax	12	1	2	2	48
On board connectors	Conn PCB	1.9	1	2	2	8
On board connectors	Conn Solderless wrap	0.0035	1	2	2	0
On board connectors	Conn weld	0.05	1	2	2	0
Fixed resistors	Resistors, Networks, Thick or Thin Film	2.3	1	2	2	9
LED Light Emitting Diodes	Emitter	0.23	1	2	2	1
Pin Through Hole (PTH) solder joints	Conn Hand solder w/o wrapping	2.6	1	2	2	10



**Table 3-1 (continued)**

**Assigning Failure Rate Data for Electronic Components in MIL HBK 217F to EdF Components in Table 2-1**

EdF Field Data From Analyses & Inspections	MIL-Handbook 217F Electronic Component Generic Names	MH217F Comp. $\lambda$ x1. E-9 Per Hr	IIQ Quality	IIIL Learning	IIIE Environ.	Adj. Comp. $\lambda$ x1. E-9 Per Hr
PTH solder joints	Conn Hand solder w wrapping	0.069	1	2	2	0
DC/DC converters	ETM-Commutater DC	40000	1	2	2	160000
Simultaneous Multi Threading SMT solder joints	Conn Reflow solder	0.14	1	2	2	1
LCD - liquid crystal display	Alphanumeric Display	3	1	2	2	12
LED Light Emitting Diodes	Lamp incandescent AC	3900	1	2	2	15600
LED Light Emitting Diodes	Lamp incandescent DC	13000	1	2	2	52000
Switches & keyboards	DIODES Switching	1	0.7	2	2	3
Switches & keyboards	Switch Toggle, Pushbutton	1	1	2	2	4
Quartz	Crystal Oscillator	32	1	2	2	128



# 4

## METHODS FOR DETECTING I&C BOARD FAILURES

This section provides an overview of the framework element for selecting methods for monitoring the aging of components on circuit boards. The first step is to define methods, which uniquely capture different categories of theoretical underpinning for aging detection, using the four rationales from Section 1. As shown in Table 4-1 six broad method categories are defined and presented in order of increasing technical complexity.

**Table 4-1**  
**Summary of Methods for Detecting and Monitoring Aging in Circuit Boards**

#	Method	Applicability	Rationale for Applying a Method			
			Defined periodic test interval	Reliability modeling	Condition monitoring and operational assessment	Continuous monitoring
1	Periodic inspections	These methods can be applied in place, but can include board removal from circuits for inspection.	x			
2	Reliability modeling based on failure testing	This uses failure data from like components to estimate a MTBF; no specific interaction with the board is required.	x	x		
3	Measures of resistance	This uses resistance to ground or leakage current as a measure of insulation health	x		x	
4	Signal comparison with redundant measures	This can be applied to measure features of the redundant circuits and identify trends like drift that would require recalibration. Limited to plant protective circuits.	x		x	x
5	Passive measurement systems	This provides periodic or continuous measures of passively measured parameters to assess operating changes. Can range from simple to complex methods.			x	x
6	Active measurement systems	This uses active circuit measures to monitor aging trends in circuits and components. The methods require in-depth knowledge of circuits and components.			x	x

## **Circuit Board Failure Detection and Prediction Methods**

The basis for listing a technique is the expectation that within the theoretical method there can be various means for monitoring circuit behavior that can provide a better way for deciding when to repair or replace the circuit board. The six basic theoretical methods listed in Table 4-1 are discussed below. Within each method, where appropriate, techniques are identified that provide alternative technical approaches for monitoring aging.

### ***Method 1 Periodic Inspections***

There are two techniques for periodic inspections within this theoretical method, functional testing and visual inspections. The theory for detecting aging in circuit board components with periodic inspections is that the aging condition produces an observable measure during the test such as an increase in the time for circuit actuation, or in the case of visual inspection a color change somewhere on the printed circuit board (PCB). Most PCBs are operated under the run-to-failure philosophy. With this philosophy, PCBs may be monitored for proper operation, but no attempt is made to enhance the PCB life until the PCB fails to function. Since many PCBs operate beyond their design life, critical or essential boards may need to be refurbished at least once in a plant's operating life. Detailed methods of trouble-shooting and refurbishment are discussed in EPRI 2003.

#### **Functional Testing**

Functional surveillance tests are generally specified as operability checks and calibrations in the plant technical specifications and are considered to be an aging management technique (IAEA 2000). Such tests include circuit checks and evaluation of the results is used to verify that the entire circuit is capable of operating as it should. For example, some tests measure time of the signal to device actuation as a measure of the overall circuit and mechanical actuation. If the time interval exceeds a specified value the system is examined to identify the problem component. Successful functional tests of a circuit assume that the circuit can be returned to service even though degradation might exist. This is the most common method used in power plants. To reduce the potential for returning a degraded circuit board to service, visual inspections can also be employed.

#### **Visual Inspections**

The interval for visual inspections is typically included as part of the technical specification to coincide with the refueling outage cycle. Visual inspections of circuit boards may involve the use of aids for detecting anomalies; for example, magnifying glass, microscope, X-Rays, ultra violet light, etc. Inspections performed with an unaided eye or a low power optical microscope on I&C boards during manufacturing can detect surface imperfections such as burrs, voids, nicks, scratches, and gouges (EPRI 2002). They can be quickly identified and compared to a standard. Inspection of the solder mask material involves investigating blisters, delamination, bubbles, and thickness. Some subsurface imperfections such as foreign inclusions, voids and delamination can often be detected from the external visual inspection. The same type of results can be expected in examination of aging boards.

The types of aging anomalies that can be detected by visual inspection include:

1. Solder connection aging anomalies on printed circuit boards which include: Solder residues, solder lifted from the circuit board, insufficient solder in joint, cracks or separations of solder, brown spots around solder joint, holes, loose or broken wires, and solder bridges.
2. Cracked coatings on components such as capacitors, transformers, resistors, memory chips and processors.
3. Excessive dust or pollution on the board and components.
4. Traces of localized heating by color changes.
5. Traces of corrosion from moisture, chemicals, smoke, or atmospheric exposures.
6. Cleaning process negative results.
7. Laminar separation or bowed circuit boards.
8. Mechanically damaged parts (leads or body).
9. Damaged or missing connectors.
10. Repeated repairs on the same component as an indication of other problems.

### Advantages

Aging anomalies can be observed on specific circuit boards without special tools or other costs for new development.

Considerable experience from inspections during manufacturing provides an inspection standard and has qualified the circuit board prior to aging impacts.

Lists of observable anomalies are available from manufacturers, EPRI 2002, and others.

Functional testing verifies signal to actuation reliability.

### Disadvantages:

The frequency of inspections is generally no more frequent than once per refueling cycle, which can range from 18 to 24 months.

Boards must be removed for inspection, which could damage connectors or cause other handling induced problems.

Detection by visual inspection is limited to grossly visible characteristics (i.e., the assumption is that circuit aging conditions will leave an outer trace of damage such as changing the color of the board in an overheated area).

Many precursor aging failure modes are not observable (e.g., an open circuit in part of the board might not be detectable by visual inspection alone).

Judgment is required to assess the degree of degradation and whether to take corrective action.

## ***Method 2 Reliability Modeling***

The theory for reliability modeling is that statistical evaluations of the failures of components in a large population under accelerated aging conditions can be used to generate failure rates that can be applied to similar components in other applications.

Reliability models for circuit boards typically use statistical analysis of accelerated failure testing as a starting point. These tests assume that the Arrhenius model can be used to scale the accelerating conditions back to the conditions of actual operation for each component. Then the reliability model for a board is based on the sum of the parts on the circuit board. This is how the parts count databases in MIL-HDBK-217F are established (DOD 1995). Electronics reliability models including MIL-HDBK 217F can be used to predict the life of many electronic systems, such as computers and consumer goods that may have a short life, typically two to five years, and critical applications, as in the case of power plants, where life may be 20 years or more.

The databases for electronics reliability models come from various kinds of accelerated testing. Chemical corrosion impacts have been related to Weibull functions (Bhakta et. al. 2002) by modeling metal migration using diluted NaCl solutions, humidity, and temperature variations to simulate the effects of contaminants. Valentin, et. al. 2003, used simulation of applied temperature cycles to determine the mean time to failure of solder joint interconnects between the package leads and printed wiring boards. The methods for analyzing circuit reliability have been well established in the areas of collecting generic data, using accelerated failure data, and quantifying the reliability of multiple components on a circuit board. To the authors knowledge neither reliability nor physics of failure models have been linked to measured parameters from circuit testing. Such a linkage would require the development of correlations between a measured circuit test parameter and the failure rate, per mode of failure, which feeds into the model.

If reliability models are not used, then the default is to replace the circuit board on a periodic schedule for replacement before failure is expected to occur, or to wait until the I&C board failure is discovered by periodic testing, spurious trip, or failure to activate a system when needed.

## **Advantages**

The method produces a probability of failure, if needed for operational assessment or condition monitoring.

Evaluation of reliability for a specific I&C board can be completed with information about the components on the board and a spreadsheet calculation model with associated data to make an MTBF prediction. No additional measurement, signal processing equipment, or special software is required.

An evaluation can be performed at any time and no plant shutdown is required.

The evaluation process does not interfere with operation of the I&C board.

Results in terms of failure rate or MTBF can be linked to risk models.

The results can be adjusted or “tuned” to actual field data, and provide a starting point for assigning an inspection, test, maintenance or calibration interval for I&C boards.

## Disadvantages

The results assume that the specific I&C board is one of many identical boards. The input data come from grouped failures of similar equipment, and assume that the manufacturing, operational stress and temperatures are related to the MTBF by the Arrhenius model. Thus, the results do not treat each board as an individual unique board with actual measures to verify the operating condition.

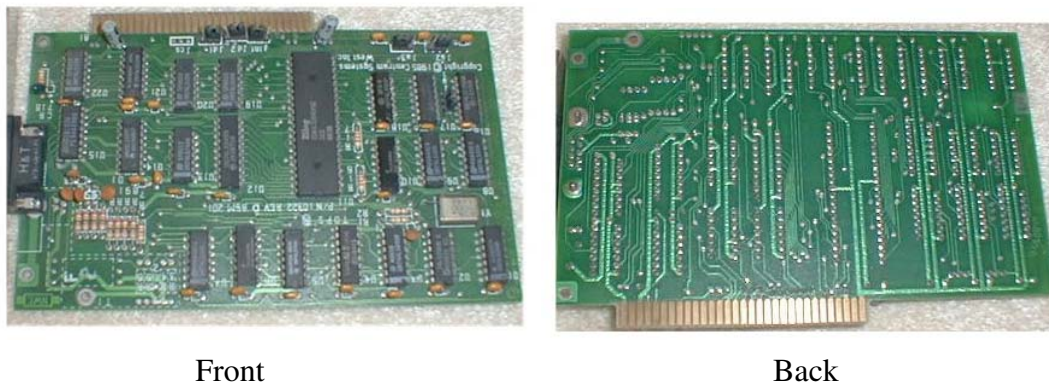
The confidence level associated with the MTBF estimate contains large uncertainty and may not address every issue. Additional judgment is needed to establish the best schedule for inspection or replacement intervals.

The method does not provide trends on operating I&C boards.

The probability of failure produced applies to a grouped average of boards with similar characteristics to the one considered for evaluation.

## Example Application

The following example board in Figure 4-1 is used to illustrate the fundamental process for combining the individual failure rates of the components into a failure rate for the board as shown in Table 4-1. For this circuit board operating in a clean air-conditioned environment, the total MTBF is expected to be about 68 years as shown in Table 4-2. This estimate does not consider the cumulative effects of significant corrosion exposures, voltage spikes and voltage cycling conditions that could reduce the lifetime to several years.



**Figure 4-1**  
**Example of a Circuit Board With Various Components**

**Table 4-2**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIIE	Tot Board Failure Rate
C:NDK, 18.432 PF, 10%, 50V	1	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	3
C:C, X7R, 0.01 UF, 50V	29	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	78
C:C,0.1UF,10V,20%,0603	2	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	5
Zilog 8838 Processor	1	MOS MicroProc 32 Bit	190	1	1.5	0.5	143
C:C,1UF,+/-20%,SM,1206	30	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	81
RPK,51,1%,12 ISO,24QSOP	14	Resistors, Networks, Thick or Thin Film	2.3	1	1.5	0.5	24
IC,MT48LC4M16A2TG-10,10NS	24	MOS DLA 10000 Gates	49	1	1.5	0.5	882
OSC,25.000,50PPM,5V,SM	1	Crystal Oscillator	32	1	1.5	0.5	24
OSC,33.333,100PPM,3.3V	1	Crystal Oscillator	32	1	1.5	0.5	24
RECP, 20P, RA, 3.05MM	16	Connectors, Multi-pin, 20 pins	#N/A	#N/A	1.5	0.5	
C:T, 3528, 4.7UF, 16V	32	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	31
CAP, 68PF, 5%, SIZE-A SM	32	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	31
MAG,10/100,1:1,PT4171S	1	Inductive Devices, Transformer, RF	#N/A	#N/A	1.5	0.5	
CR, 3.6864, HC49S, SM	1	Quartz Crystal	32	1	1.5	0.5	24
CR,32.768K,12.5PF,20PPM	1	Quartz Crystal	32	1	1.5	0.5	24
C:T, 10UF, 16V,SM	29	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	28



**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIIE	Tot Board Failure Rate
RNET,10K,8 BUS,10P	18	Resistors, Networks, Thick or Thin Film	2.3	1	1.5	0.5	16
IC,GC80960RP3V-33,I/O	1	MOS DLA 10000 Gates	49	1	1.5	0.5	15
IC,DT28F160S5,56SSOP	4	MOS DRAM 1MB	11	1	1.5	0.5	14
RES,1206, 220, 5%	40	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
SNSR,DS1621,IC,TEMP,SM	5	MOS LinearLA 100 Trans	9.5	1	1.5	0.5	13
IND,PWR,3.3UH,8.0A	1	Inductive Devices, Transformer, Power	#N/A	#N/A	1.5	0.5	
FPGA,EPM7128ATC100-12	1	RAM, Dynamic, CMOS, 4M	#N/A	#N/A	1.5	0.5	
FER 0805,1K,DCR = 0.3	36	Inductive Devices, Coil, RF, Fixed	#N/A	#N/A	1.5	0.5	
FER,SM,0805,200MA,2200	36	Inductive Devices, Coil, RF, Fixed	#N/A	#N/A	1.5	0.5	
RES,0603, 5.1, 5%	36	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
LED,3MM,RA,SQ,Y/G,SM	17	Other Optical Devices, LED/LCD Display	#N/A	#N/A	1.5	0.5	
RES,0603, 4.7K, 5%	33	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
C: 680UF,6.3V,ESR=0.013	2	Capacitors, Discrete, Fixed, Alum, Axial Lead, <400uF	24	1	1.5	0.5	17
C:T,7343H,100UF,16V,20%	16	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	15
FER BD,SM,1206,3000M	32	Inductive Devices, Coil, RF, Fixed	#N/A	#N/A	1.5	0.5	
RES,0603, 59.0,1%	32	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603,15,1 %,1/16	32	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	

**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIIE	Tot Board Failure Rate
IC,S08,3 TERM ADJ CUR	4	MOS LinearLA 100 Trans	9.5	1	1.5	0.5	10
OPTOISOL, PS2702	4	Bipolar LinearLA 100 Trans	9.5	1	1.5	0.5	10
TRANSCVR LVTH166245	3	MOS DLA 1000 Gates	10	1	1.5	0.5	9
PGD,BOOT PROM V2.2	1	MOS PROM 1MB	12	1	1.5	0.5	9
RESARAY 16P 8R,33	5	Resistors, Networks, Thick or Thin Film	2.3	1	1.5	0.5	4
RES,0603, 1.0K, 5%	25	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603, 10K, 5%	24	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
C:,0603,10PF, 10%, 50V	10	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	27
LED, SMT, 1206, GRN	12	Other Optical Devices, LED/LCD Display	#N/A	#N/A	1.5	0.5	
PGD,FPGA, CTRLR	1	FGPA 1M	9.5	1	1.5	0.5	7
RES, 0805, 270, 5%	20	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
TRANSCVR,74FCT16245TPA	2	MOS DLA 1000 Gates	10	1	1.5	0.5	6
IC, DP83843BVJE	1	MOS DLA 3000 Gates	19	1	1.5	0.5	6
IC,82557, PCI BUS,160QF	1	MOS DLA 3000 Gates	19	1	1.5	0.5	6
FER 5A SMT, MAT 43	18	Inductive Devices, Coil, RF, Fixed	#N/A	#N/A	1.5	0.5	
RES, 0603, 150, 1%	16	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,,0603,2.37K,1%,1/16	16	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603,7.50K,1%,1/16	16	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	

**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	II L	II E	Tot Board Failure Rate
IC,MAX4542,AN SW,DUAL	2	MOS LinearLA 100 Trans	9.5	1	1.5	0.5	5
RES,0603 5%, 33	12	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
IC,512KXS,120NS,32PLCC	1	MOS DRAM 1MB	11	1	1.5	0.5	3
IC, PCF8574,I/O EXPDR	3	Bipolar DLA 100 Gates	3.6	1	1.5	0.5	3
C:T,3216, 1UF, 20%, 16V	5	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	5
TRANSCVR LVTH162373	1	MOS DLA 1000 Gates	10	1	1.5	0.5	3
RES, 0805, 49.9, 1%	8	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,2512,62 OHMS,5%	8	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
REG, LTC1649, 3.3V	1	Bipolar LinearLA 100 Trans	9.5	1	1.5	0.5	3
SUPP,MAX708T,3.3V UP	1	Bipolar LinearLA 100 Trans	9.5	1	1.5	0.5	3
C: 0603, .001UF,10%,50V	3	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	8
C:0603, .0033UF,20%,50V	3	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	8
SKT, 32PLCC, SMT	1	Connectors, IC Sockets	#N/A	#N/A	1.5	0.5	
RES, 0603, 2.2K, 5%	6	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0805, 75, 1%	6	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
CLK DRV, 74FCT3807A	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2
IC - DUART, 16552	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2
IC RS232, MAX232, SMT	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2

**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIE	Tot Board Failure Rate
IC, MC100LVE111,3.3V SM	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2
IC,DS1307, I2C TIMEKPR	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2
SUPP, MAX707 5V	1	MOS DLA 100 Gates	5.7	1	1.5	0.5	2
FER,120,200MA,0603 SM	5	Inductive Devices, Coil, RF, Fixed	#N/A	#N/A	1.5	0.5	
RES, 0603, 47, 5%	5	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
C: 0603, NP0, 22PF, 10%	2	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	5
C: 0603,100PF,10%,50V	2	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	5
HDR,24P,R/A,PCB MT, BMI	2	Connectors, Multi-Pin, 10 Pin	#N/A	#N/A	1.5	0.5	
C:T,6032,4.7UF,20%,25V	2	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	2
RES, 0603, 549, 1%	4	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 210, 1%	4	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 475, 1%	4	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0805, 56, 5%	4	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603,301,1%,1/16	4	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 0, 5%	3	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,1206, 5%, 100	3	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
TRANS,MOSFET,TO-263AB	2	TRANSISTORS NPN/PNP (f < 200 MHz)	0.74	1	1.5	0.5	1

**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIE	Tot Board Failure Rate
C:0805, .33UF,20%,16V	1	Capacitors, Discrete, Fixed, Ceramic	3.6	1	1.5	0.5	3
HDR, .025 SQ, 5X2, SMT	1	Connectors, Multi-Pin, 10 Pin	#N/A	#N/A	1.5	0.5	
C: 0603, .039UF,10%,16V	1	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	1
C: 0603,6800PF,20%,50V	1	Capacitors, Discrete, Fixed, Tantalum, Solid, Hermatic	1.5	1	1.5	0.5	1
RES, 0603, 1.5K, 5%	2	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603, 100K, 5%	2	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0603,39,5%	2	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES,0805,5.1,5%	2	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
CN RJ45 SHLD W/O FERRITE	1	Connectors, Multi-Pin, 9 Pin	#N/A	#N/A	1.5	0.5	
HDR 10 P,RA,PCB MT,BMI	1	Connectors, Multi-Pin, 9 Pin	#N/A	#N/A	1.5	0.5	
TRANS,NPN,MMBT2222ALT1	1	TRANSISTORS NPN/PNP (f < 200 MHz)	0.74	1	1.5	0.5	0
RES, 0603, 11K, 1%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 18K, 5%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 2.7K, 5%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 4.87K, 1%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 69.8K, 1%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0805, 100, 1%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	

**Table 4-2 (continued)**  
**I&C Board Materials, Part Descriptions, and Failure Rate Estimate**

Part Description	Qty	Generic Name	Failures per 1E9 Hours	IIQ	IIL	IIE	Tot Board Failure Rate
RES, 0805, 22, 5%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 2010, 100, 5%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 1 MEG, 5%	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 0603, 43, 5%, 1/16	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 1206, 16.2K, 0.1%, 25PPM	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
RES, 1206, 3.01K, 0.1%, 25PPM	1	Resistors, Fixed, Film, <1M	#N/A	#N/A	1.5	0.5	
LED Light Emitting Diodes	1	Emitter	0.23	1	1.5	0.5	0
Connectors	36	Conn Clip terminators	0.12	1	1.5	0.5	3
Solder Joints	432	Conn Reflow solder	0.069	1	1.5	0.5	22
Total Board Failures per 1E9 hours							1661
MTBF, hours							601,942

Voltage stress and temperature adjustments have not been made in the example model of Table 4-2. Such adjustments can be applied on a component basis (e.g., accounts for high operating temperatures within the board), or globally for all components (e.g., accounts for environment temperature).

It can be seen from this example that the standard estimate, assuming that the operating conditions are ideal, produces a very optimistic MTBF, and data to support changes in the model are necessary for the model to be used to monitor changes to the MTBF as components in the circuit age.

### **Method 3 Measures of Resistance**

The theory for resistance measures is that over time slow chemical reactions within the insulating material lead to lower resistance pathways between the conductor and the ground (See Appendix A). This change is measurable through simple resistance measures or by noting the increase in leakage current.

#### **Ground Resistance Testing**

Monitoring the change in resistance to ground over time can identify the onset of a circuit ground condition as insulation breaks down. The processes for measuring changes in resistance to ground include measuring leakage current from the circuit when the circuit is isolated by applying a higher than normal voltage source or measuring the current to the board during normal operation. Insulation resistance testing is an established technique for trouble shooting many electrical systems (Fink and Beaty 1993), which is adaptable to circuit boards.

The ASTM definition of dielectric breakdown voltage is: the potential difference at which dielectric failure occurs under prescribed conditions in an electrical insulating material located between two electrodes. This is permanent breakdown and is not recoverable. ASTM goes on to state; that the results obtained by this test can seldom be used directly to determine the dielectric behavior of a material in an actual application. This is not a test for “fit for use” in the application as is the “Proof Test” which is used for detection of fabrication and material defects to the dielectric insulation.

Hence judgment in interpreting the results of resistance to ground is required for monitoring aging. An example would be to plot periodic measures to form a trend line. Then when a critical resistance is reached, actions should be taken such as cleaning and resealing the board.

#### **Leakage Current Testing**

Increased leakage current due to component aging or ground insulation deterioration can cause a shift in the output voltage, and cause over heating. This could create intermittent operation. Measures of the leakage current on a periodic basis and plotted in a trend line would provide an indication of the need to take action for board replacement. Bench testing equipment has been

used to measure such conditions in circuit boards (EPRI 2004); this method could be adapted to in situ circuit boards.

Not all boards test alike due to the variety of dielectric types, thickness and board layouts. All insulated metal substrates closely resemble a parallel plate capacitor during high voltage testing. The capacitance is equal to:

$$C = \varepsilon \frac{A}{d},$$

where  $\varepsilon$  is permittivity (dielectric constant), A is surface area, and D is the distance (dielectric thickness). The capacitance values change with different configurations of materials and board layouts. Thus, when the actual capacitance changes enough to impact circuit functionality, the board should be changed out.

#### **Advantages:**

The trending of leakage current is a good indicator of insulation quality. The aging of insulating materials is typically exacerbated by increased temperature and corrosion. Rapid changes in leakage current may indicate that corrosion effects are occurring. Likewise increased temperature tends to increase leakage current.

By providing a periodic DC voltage on a segment of the circuit the location of a leakage problem can be related to a specific circuit segment.

Applies to a specific circuit.

#### **Disadvantages:**

Insulation testing requires isolation of the circuit to measure ground current leakage for a specific voltage, the voltage is generally set to a fixed value (e.g., 700 or 1200 volts), this is typically far above the ratings of electronic components. Electronic component rating might be on the order of 50 to 100 volts.

Typical applications require that the circuit be isolated and not in use. This results in circuit down time for an active leakage current measurement.

For continuous operational measures very sensitive measurement circuits would be required to determine the difference between the current used in the normal circuit and current leaking to ground.

The process must be calibrated to each specific circuit to develop historical trends.

No direct correlation between insulation resistance reduction or leakage current increase and circuit failure has been developed, therefore experience and judgment used.



### **Method 4 Signal Comparison Measures**

The theory for signal comparison is simply that if two measures are supposed to be the same and they are not, then something has changed such as aging of a circuit component.

Signal comparison techniques have been applied in many nuclear power plants (IAEA 2000). The setups for measuring have been both temporary and fixed. For I&C boards that perform voting logic based on the input from three or more sensing circuits there is a possibility of using a comparison process to identify deviations from the normal signal processing within the board (Kim 2002). Such systems normally protect against false actuations from failures in a single sensor leading to the voting system. A failure on the I&C board could lead to a false signal to actuate a function such as reactor trip. The other failure of concern is failure to pass a valid trip signal due to a failure of a board component. By comparing signals from one channel with another, significant deviations can identify problems with components on the board up stream from the sensor signal to the logic voter.

#### **Advantages:**

The comparison process provides a good indicator of a system change in one of the parallel circuits. In the case of aging, trending of the circuit measures can be used to identify the degraded circuit.

Monitoring and comparison of redundant systems can also identify miscalibrated circuits.

Changes in a noise signal can be used to identify aging effects<sup>5</sup>. The increase of noise on the signal is an indicator of increased leakage to ground, capacitor shorting or inductor failure.

Monitoring signals can be taken from board inputs and intermediate outputs for passive evaluation of the board condition.

Monitoring can be performed while the circuit is online.

Signal comparison can be applied to reactor protection and trip circuits.

May permit automation of the detection function.

#### **Disadvantages:**

Something other than aging in the measured circuit components could be causing the difference in the signals such as changes in the ground connection circuit characteristics, induced currents from electromagnetic radiation from near by circuits, cell phones, wireless networks, radio waves, etc.

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<sup>5</sup> An internally generated noise signal can be found from the output signal by subtracting the average reading from the instantaneous reading.

Identification of aging issues based on signal differences as determined from engineering evaluation requires redundant signals, which are not available for non-redundant circuits.

Signal comparison is only good up to the voting circuitry; other means are needed to address the voting logic and output components.

Signal comparison requires engineering evaluation and software programs to identify a degraded circuit.

The comparisons are relative and do not rely on standards.

There is no correlation between signal comparison variance and the probability of failure.

Does not detect situation where the redundant circuits are affected by the same global stressor or other common cause.

### ***Method 5 Passive Measurement Systems***

The theory for this method is that aging degradation within the system will cause electrical “vibrations” like a mechanical system that is out of balance.

This method is derived from the approaches used to analyze the vibrations in machinery where an indication of degradation can be detected by perturbations of the normal operating conditions (Wowk 1991). The process can include both periodic and continuous measurements. There are two major types of techniques in this method. These are passive measures of the circuit parameters and of the environment around the circuit.

#### **Circuit Parameter Measures**

In this case the normal current to the circuit can be continuously measured. When changes in the current flow are detected for a given operating state (e.g., increasing current indicates a ground or short circuit, and decreasing current indicates degradation leading to an open circuit) identification of precursors to circuit failure can be identified.

Moreover, if an internal noise signal is generated by circuit operation, and if it can be isolated from process noise, its increase in intensity at various frequencies can be used as a passive indicator of component aging trends. The process for creating a circuit noise signal involves filtering noise in the process signal and then calculating the difference between the average signal and the instantaneous variations above and below the average signal. This is referred to as a passive measure of noise from the circuit.

A mechanical analogy for passive circuit measures would be the increase in noise and vibration from the aging of prestress cabling in a containment vessel. A method for continuously monitoring large prestressed structures to detect wire failures has been developed (Elliott, 2000). The technique involves placement of broadband accelerometers (sensors) on the surface of a prestressed concrete structure. The sensors detect anomalous acoustic emissions from the

structure, including those generated by the energy released by wire failures. An on-site data acquisition system reviews data collected by the system and transmits relevant data to a processing computer. Analytical software is used to classify and locate significant events. In this manner, a complete record of wire break activity can be collected over the duration of the monitoring program. Absence of wire break activity provides assurance of continuing structural integrity.

## **Environmental Parameter Measures**

The second technique is the use of environmental measures such as temperature, vibration, and air quality (e.g., smell of insulation burning) near the board as a measure of the aging factors. Then the impact of the measure is treated like the case of reliability modeling discussed in method 2. If a damaging condition is identified then additional inspection is needed to assess the need for a board repair or replacement.

An example of environmental measurements is the use of a resistance thermal detector (RTD) inside the windings of an electric motor or on the surface of the housing. In this case the temperature is monitored continuously and if the temperature reaches a pre-established set point a signal in the control circuit is activated to trip the motor. This protects the motor winding from hotspots that could lead to early failure and if the winding is shorted or grounded, prevents extensive additional damage to the iron core, facilitating less expensive repair. In the case of circuit boards this technique would require the addition of a sensor to detect the environmental condition (e.g., moisture, temperature, smoke, etc.) and warn of potential or actual damage.

### **Advantages:**

There is no interference between the measuring process and circuits on the board itself. This means that any failure mode is not introduced by additional complication from the monitoring system, which is independent of the board itself.

Independent sensors can measure heat, vibration, etc. to detect change from normal operation.

Potentially either technique could be automated to a degree.

Can be performed with no interference with circuit operation.

May permit automation of the signal analysis using a process and system to convert measured aging data and trends into a condition monitoring or operational assessment analysis.

### **Disadvantages:**

Requires a new system to be installed to measure change in a parameter.

There is a need for a correlation between a measurable parameter and the failure probability (e.g., temperature of exhaust cooling air and the degree of degradation or aging that is expected).

Correlations or models can be established to estimate the probability of circuit failure due to an aging failure mode before the next test interval. There is an expense in correlating measured environmental data with estimates of aging degradation. This introduces two uncertainties – one in the environmental measure (e.g., temperature), and in the degree of degradation (e.g., reduced life time at elevated temperature). The rapid end of life burnout failure for an incipient failure might be more appropriately measured by a rate of change in the current increase, which is not easy to collect as a database or to correlate mathematically. Hence, there is significant reliance on human judgment as to replacing a board on this measure alone.

### ***Method 6 Active Measurement System***

The techniques in this method stem from ideas used in perturbation theory, where simply stated, a known change to the input of a system can be used to measure the system response characteristics. By application of this theory the aging effects in the circuit can be detected as changes in response measurements to signal perturbations over time. A consistently applied input signal can be used to measure changes in the system response as long as the input signal does not interfere with the normal function of the circuit. Perturbation theory has been used to measure physics parameters in reactors (Lamarsh 1966).

Thus, for circuit boards the ability to measure parameters and receive signals from a defined input signal can be used to interpret the condition of the board. Parameters can include changes in normal current or voltage for a specified input signal. The input signals can be a single pulse, or a pseudo-random signal to test a full frequency range using a simulated noise signal. The received output signal can be continuous or periodic. Rapid sampling rates begin to approximate continuous measures. Uhrig 1970 has described various techniques for generating and evaluating signal inputs and output measures.

Once response parameters and interrogation signals are selected to measure the characteristics of the board, a method of collecting, and storing the data for analysis, trending, and failure prediction is needed. The data should be stored in a manner that is suitable for the type of trending analysis to be performed or that would trigger a warning, which indicates the circuits on the board were aging (degrading) to a point where corrective action is needed.

### **Current or Voltage Change**

An interrogation test signal can be sent to a specific board to measure the I&C board transfer function. It can be as simple as an externally generated pulse to check continuity and timing, or it can be an internally generated signal within the I&C board. Circuits on the board could intentionally generate internally generated signals, or be the product of the noise introduced into the signal processed by the circuit board. Boards with analog to digital transfers may tend to eliminate ability to monitor internally generated noise signals.

The use of interrogation signals driven by software command is a common diagnostic technique applied throughout the computer industry (e.g., personnel computers, servers, web sites, and software programs) to test hardware such as memory locations, hard drives, and disk drives for failure and software of an operating system for unwanted changes to a code or information in a database. All that is required is to run a program that sends test signals to the appropriate location and evaluates the results. This technique could possibly be adapted to specific circuit boards.

The key indication from a current measuring device in an I&C board would be a slight increase or decrease in the current flow in a circuit. If the current flow increases or decreases to a critical point, a warning signal could be triggered. The indications and trigger points for action could be based on a significant current deviation from an initial allocation analysis.

## Signature Analysis

The warning from an interrogation pulse could be as simple as a comparison of voltage level of the return pulse with a standard return pulse. A warning signal could be triggered if the return pulse falls outside the pulse height, duration or response time specifications when new, or when a trend shows that; for example, the pulse height is trending downward and pulse duration is increasing. Evaluation of such trends is referred to as signature analysis (e.g., Wowk 1991). Once the circuit precursor failure condition is identified, further investigation of the boards in the circuit can be performed.

An example of this technique that has been used for a long time period in nuclear plants (but not in circuit boards) is the examination of steam generator tubes for changes in wall thickness using an eddy current probe. The probe produces a signal at a given frequency which when linked magnetically with the tube induces perturbations in the measurement circuit when flaws are encountered near the probe (EPRI 1992). The methods used can include simple signals up to very complex signal analysis.

## Pattern Recognition

For more complex integration signals, such as existing white noise or a pseudo random input signal, the result can be displayed in the frequency domain using a spectrum analyzer to view amplitude and phase angle versus frequency. The analysis of the frequency response spectrum can decompose the response signal, into its frequency components, so that it is possible to evaluate the aging impact on specific components within the circuit or I&C board that impacts that frequency range. Aging induced changes in capacitors and transformers on the boards will shift frequency peaks and valleys in the frequency spectrum when filtered through the system response characteristics for typical capacitor circuits as shown in EPRI 2002 and EPRI 2003.

Degradation warnings can be given when the frequency display pattern differs from a standard pattern for the I&C board or the amplitude at a frequency becomes too low or high. This approach is referred to as pattern recognition (Fukunaga 1990). It has found many successful applications in the measurement and correction of vibrations in mechanical systems (Wowk 1991). The same principles could possibly be applied to circuit boards.

## Frequency Analysis

Active frequency analysis uses the amplitude and phase angle versus frequency response to an input signal (Uhrig 1970). The input signals can be white noise, pseudo random noise, or pulses. Ideal white noise includes equal amplitude inputs for all frequencies. Pseudo-random noise can be generated using a series of pulses with various durations to represent a white noise signal. In this method models of the physical parameters of the device being tested are compared with the

peaks in the frequency profile to identify causes of the peaks, causes of changes in the peak, changes in the spread of the frequency peak or valley. In this way specific components on the board within the circuit that need replacement can possibly be identified prior to board removal.

This technique is similar to the others in this method except that the development of the test signal and analysis of the results is far more complex. With the success of the other active measurement techniques and software development, this technique could be adapted to circuit board analysis.

## **Advantages**

Data can be obtained actively from each specific I&C board.

The trend in circuit degradation can be monitored on a continuous basis or queried manually by an analyst.

Under the correct setup of hardware and software an analyst can query the data during operation.

No circuit outage is required for monitoring and testing.

The monitoring process can be set up for automated warnings using software and preset warning points.

Circuit monitoring can be set up as a non-destructive indicator of a system change.

Uncertainty in correlating changes in amplitudes of frequency profile harmonics to changes in condition of the I&C board components can be used to set statistically based limits for action.

As experience is gained the patterns can be catalogued for future use, which enhances the ability to diagnosis specific component failure modes.

May permit automation of the signal analysis using a process and system to convert measured aging data and trends into a condition monitoring or operational assessment analysis. Correlations or models need to be established to estimate the probability of circuit failure due to an aging failure mode before the next test interval to support probability estimates.

## **Disadvantages**

This method requires an external diagnostic signal interaction with an operating circuit when using pulsing or pseudo random interrogation signals to measure the circuit transfer function. Care must be exercised to ensure that the interrogation signal does not interfere with the normal function of the I&C board.

The use of an internally generated noise signal for monitoring requires an engineering model of the circuit suitable for comparison and trending to fully interpret the aging induced changes in circuit operation.

Active systems may not be permitted for monitoring safety circuits.

There may be large uncertainty in correlating changes in amplitudes of frequency profile harmonics to changes in condition of the I&C board components.

These systems tend to be more complex than others.

## **Review of Methods and Techniques**

This section provides a qualitative review of the potential for the methods and techniques discussed above to identify specific aging failure modes before they cause a total circuit failure. This judgment-based review is presented in Tables 4-3, 4-4 and 4-5 for components, integrated circuits and circuit boards, respectively. The failure modes were identified from EPRI 2002 and EPRI 2004 for specific components as listed in Tables 2-3, 2-4, and 3-1. Within the six methods each technique is listed for a review of its potential to detect aging related precursor indications of impending circuit failure modes. In some cases the technique has demonstrated a capability for detection of precursor failure modes. In other cases the theory of its application is expected to be able to detect circuit changes, but has yet to be applied. Thus judgment about applicability uses the following classification rules.

- n = unlikely to detect the precursor failure mode
- u = unknown potential to detect the precursor failure mode
- y1 = likely to detect the precursor failure mode, but no examples, needs demonstration
- y2 = likely to detect the precursor failure mode as demonstrated in literature or plant experience

As can be seen from the tables very few advanced techniques have been demonstrated for detecting precursors of failure. However, all techniques except for visual inspection and reliability modeling are likely to directly detect a failed circuit when applied correctly.

This technical review assumes the following process for addressing a circuit board precursor of failure.

1. Appropriate resources are applied to make the detection system workable for detecting anomalies and identifying failure trends at a circuit level.
2. Upon detection of a circuit anomaly by measures at the circuit level, additional investigation would be applied to pin point the board with a failing component.
3. It is assumed that successful repair of a circuit can be performed while the plant is operating (e.g., in control circuits the feedback loop can be replaced by a manual adjustment while the circuit is under repair, in protection circuits, a 2 of 3 redundant signal arrangement can be temporarily replaced by a 2 of 2 or 1 of 2).
4. An individual component failure on a circuit board can be pin pointed via bench testing, and a decision about repair of the component on the board or replacement of the board can be made.
5. Circuit restoration can be performed on line by replacing the board with a new board or with a repaired original board.

**Table 4-3**  
**Review of the Potential for Techniques to Identify Precursors of Aging Failure in Electronic Components**

	Method	1	1	2	3	3	4	5	5	6	6	6	6
	Description	Periodic inspections	Periodic inspections	Reliability Modeling	Measures of resistance	Measures of resistance	Signal comparison measures	Passive measurement systems	Passive measurement systems	Active measurement systems	Active measurement systems	Active measurement systems	Active measurement systems
	Detection measurement technique	Functional testing	Visual Inspections	MTBF calculation	Resistance testing	Leakage current testing	Auto sampling	Circuit parameter testing	Environmental parameter testing	Signal change testing	Signature analysis testing	Pattern recognition testing	Frequency analysis testing
Precursor condition		Detects a circuit malfunction	Observes card damage	Statistics from similar components	Resistance change to ground in entire circuit	Leakage current increase in entire circuit	Difference in response between redundant circuits	Changes in passive measures of circuit outputs	Changes in environmental conditions of circuit card	Measures of change to a simple current or voltage pulse	Evaluation of test signal input to output changes	Comparison to a spectral standard	Trend analysis of spectral response to a complex signal
Out of spec electrical parameters (R, L, C, impedance, voltage or current)	Intermittent short circuit or open circuit	n	n	n	u	u	y1	y2	n	y1	y1	y1	y1
Drift in circuit parameters (R, L and C)	Drift causes out of spec transfers	y2	n	n	u	u	u	u	n	u	y1	y1	y1
High leakage current to ground (insulation failing)	Leakage current increases temperature	n	n	n	u	y	y1	u	u	u	u	y1	y1
Input voltage is out of spec. (R, L and C failures)	Input voltage results in output voltage out of spec.	n	n	n	n	n	y1	u	n	n	y1	y1	y1
Thermal resistance increase, (also L and C drift)	Loss of heat sink - Long term reliability is affected	u	n	n	u	n	u	u	y2	u	u	y1	y1
Short circuits between leads	Cathode can turn around itself	n	n	n	n	u	u	u	n	u	u	y1	y1
high resistance contacts	Temperature increases contactor failure	n	u	n	y2	u	u	u	u	u	u	u	u



Table 4-4

## Review of the Potential for Techniques to Identify Precursors of Aging Failure in Integrated Circuits and Chips

	Method	1	1	2	3	3	4	5	5	6	6	6	6
		Periodic inspections	Periodic inspections	Reliability Modeling	Measures of resistance	Measures of resistance	Signal comparison measures	Passive measurement systems	Passive measurement systems	Active measurement systems	Active measurement systems	Active measurement systems	Active measurement systems
		Functional testing	Visual Inspections	MTBF calculation	Resistance testing	Leakage current testing	Auto sampling	Circuit parameter testing	Environmental parameter testing	Signal change testing	Signature analysis testing	Pattern recognition testing	Frequency analysis testing
Precursor condition		Detects a circuit malfunction	Observes card damage	Statistics from similar components	Resistance change to ground in entire circuit	Leakage current increase in entire circuit	Difference in response between redundant circuits	Changes in passive measures of circuit outputs	Changes in environmental conditions of circuit card	Measures of change to a simple current or voltage pulse	Evaluation of test signal input to output changes	Comparison to a spectral standard	Trend analysis of spectral response to complex signal
Weak supply current, loss of R, L or C)	Unstable supply pin loss of memory @ FF...	u	n	n	u	u	u	n	n	y1	y1	y1	y1
Supply current is too high, failure of junction boundary	Memory cannot be returned	u	n	n	u	u	u	n	n	y1	y1	y1	y1
Leakage current of an output transistor is out of spec.	Checksum is does not agree with standard	u	n	n	u	u	u	n	n	y1	y1	y1	y1
High temperature operation	Gates have unstable output	u	n	n	u	u	y1	n	n	u	y1	y1	y1
Corrosion induced intermetallic layers growth at interfaces	Output voltage of a gate oscillates	u	n	n	u	u	y1	n	n	u	y1	u	y1

**Table 4-5**  
**Review of the Potential for Techniques to Identify Precursors of Aging Failure on Circuit Boards**

	Method	1	1	2	3	3	4	5	5	6	6	6	6
	Detection measurement technique	Functional testing	Visual Inspections	MTBF calculation	Resistance testing	Leakage current testing	Auto sampling	Circuit parameter testing	Environmental parameter testing	Signal change testing	Signature analysis testing	Pattern recognition testing	Frequency analysis testing
Precursor condition		Detects a circuit malfunction	Observes card damage	Statistics from similar components	Resistance change to ground in entire circuit	Leakage current increase in entire circuit	Difference in response between redundant circuits	Changes in passive measures of circuit outputs	Changes in environmental conditions of circuit card	Measures change to a simple current or voltage pulse	Evaluation of test signal input to output changes	Comparison to a spectral standard	Trend analysis of spectral response to a complex signal
Cracked coatings	Resistance increase or decrease	n	n	n	u	u	y1	u	n	u	u	u	y1
Separated or bowed boards, cleaning process faults	Resistance change	n	n	n	u	u	y1	u	n	y1	y1	y1	y1
Excessive dust or pollution on board and components	Resistance fluctuation	n	n	n	u	u	y1	u	n	y1	y1	y1	y1
Traces of localized heating by color changes	Current change between base & collector	u	n	n	n	n	u	u	n	u	y1	y1	y1
Vibration: Diode failure	Increase inverse leakage current >10µA	u	n	n	y1	y1	u	u	n	u	u	y1	y1
Corrosion: moisture, chemicals, smoke, or atmosphere	Shift in wave form input - output	n	n	n	n	n	n	u	n	u	u	y1	y1
Corrosion: moisture, chemicals, smoke, or atmosphere	Increase in resistance (need to restart)	n	n	n	y1	y1	y1	y1	u	y1	y1	y1	y1
Mechanically damaged parts (leads or body).	Operation limited to one position	y2	n	n	n	n	u	n	n	n	u	u	u
Damaged or missing connectors	Output not regulated for input change	y2	n	n	n	n	y1	y1	n	y1	y1	y1	y1
Insulation aging resistors	Voltage drift high leakage current	u	n	n	u	y1	y1	y1	u	y1	y1	y1	y1
Insulation aging capacitors and transformers	Frequency progressively shifts	n	n	n	n	n	u	u	n	u	u	y1	y1
Solder anomalies	Resistance increase	n	n	n	u	u	y1	u	n	y1	y1	y1	y1

# 5

## SELECTING A METHOD FOR MONITORING CIRCUIT BOARD AGING

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This section describes the framework element process presented in Section 1 for selecting methods to detect specific aging failure modes in circuit boards. Such decision-making processes are applied not when you know exactly what to do, but when you don't know what to do. The process of balancing conflicting issues and areas of uncertainty in selecting a path to pursue is the point of true decision-making. This section addresses decision making in the framework for systematic management of aging circuit boards in power plant applications by defining key decision elements, discussing the elements, and putting them into logic pathways that lead to selection of a method or technique that can meet desired circuit monitoring objectives.

The emergence of new electronic tools for detecting circuit changes presents an opportunity to upgrade the present approaches for managing aging of electronic circuit boards. The twelve detection techniques discussed in Section 4 are listed in Table 5-1.

**Table 5-1**  
**Techniques for Detecting Precursors and Progression of Aging Failures in Circuits**

Method	Circuit Board failure detection and prediction techniques
1	Periodic inspections - Functional testing
1	Periodic inspections - Visual Inspections
2	Reliability Modeling
3	Measures of resistance to ground - Resistance Testing
3	Measures of resistance to ground - Leakage current measures
4	Signal comparison with redundant measures
5	Passive Internal parameter measures
5	Passive External parameter measures
6	Active measures - Current or Voltage Change
6	Active measures - Signature Analysis
6	Active measures - Pattern Recognition
6	Active measures - Frequency Analysis

Each method provides a unique basis for upgrading the detection of aging conditions in electronic circuits. Also, the improved detection systems can provide more precise predictions. Development of a relationship between the measurable parameters and probability of failure is needed to support more quantitative condition monitoring and operational assessment processes, and the potential for automated aging monitoring.

## **Considerations for Addressing Electronic Component Aging**

To establish decision based concepts and questions for selecting improved techniques, a set of key considerations for the decision need to be established. The primary question to answer is “Should an improved process be used to predict circuit board failure because of aging?” To answer this question the following set of considerations was developed to support the decision-making process.

- What is the importance of a specific circuit containing an I&C board to plant operation?
- Are precursors to failure on the circuit board, due to component aging, easily observable under existing operational conditions?
- Can use of a new detection method technically improve on existing approaches for monitoring board-aging trends?
- Does the existing detection method support evaluation of failure probability to use in setting next inspection or repair interval (e.g., condition monitoring to assess the existing remaining life and operational assessment to evaluate failure probability over the next operational period)?
- Does the existing method support a workable process for repairing or replacing boards?

## ***Existing Approach to Managing Aging***

Existing methods generally use periodic testing and inspections to identify aging failures. The circuit test interval is usually based on the refueling outage schedule. The interval between tests has been changed based on evaluations of the MTBF using reliability models. This is a combination of methods 1 and 2 listed in Table 5-1. This combination is clearly acceptable for most circuits during the operating period. The use of reliability modeling to establish a better test interval is a first step in developing an improved method for monitoring and predicting aging failures.

## ***Evaluating Circuit Board Failure Probabilities***

In order to improve the prediction of aging induced failures on circuit boards, it is necessary to use models of aging and the measurement of failure mode precursors to characterize the condition of the circuit board. Evaluation of the measurements to predict failure requires some type of model or correlation. Models of the failure probability given measures can include engineering judgments, statistical evaluations, POF models, trending of measures, reliability models supported by the measured variables, combinations of trending and correlations of measures, etc. An objective of the modeling is to produce a probability of failure estimate for

the past interval between tests and a projection into the next test interval. These processes are called condition monitoring and operational assessments. They permit a quantitative evaluation of the probability of circuit board failure based on specific performance measures of the circuit board and components.

## **Decision Process Elements**

Selecting an improved measurement process for identifying and repairing circuit boards that suffer from aging failures requires a decision process. Considerations in such decisions should include: (1) an assessment of the importance of I&C board to plant operation, (2) an assessment of the ability to observe the impact of component aging failure modes on the circuit, (3) an assessment of technical viability of method to improve on contemporary approaches, (4) its ability to evaluate the existing condition<sup>6</sup> based on objective measures, and (5) support for replacing boards on a technical basis, which might use a correlation database. These decision process elements are discussed below.

### ***Decision Element 1: Importance***

#### **What is the importance of a specific circuit containing an I&C board to plant operation?**

Determining the importance of a circuit is the first step in the decision tree framework. The consideration of which monitoring method to use assumes that the importance of the circuit to plant operation and safety can be determined. Several approaches have been developed for assessing the importance of Structures Systems or Components (SSCs). Two approaches are summarized here as examples, maintenance rule (NRC 2000) questions and the AP-913 approach (INPO 2003).

#### **Classification With Maintenance Rule**

The first importance assessment approach is derived from language in the maintenance rule (NRC 2000). The maintenance rule classification system includes five class boundaries (Q1 to Q5), which can be assessed as yes/no conditions for each system. The supporting circuits and circuit boards should have the same classification. Based on the I&C board importance class, a method for measurement and evaluation of aging failures can be selected. Table 5-2 provides a listing of questions where a yes/no helps identify circuits that may be considered important to plant operation.

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<sup>6</sup> This supports condition monitoring in assessing the failure probability and the associated uncertainty, as well as operational assessment to evaluate the probability of failure before the next test.

**Table 5-2**  
**Maintenance Rule Questions to Assess Circuit Importance**

<b>Class</b>	<b>Structures Systems or Components (SSCs)</b>	<b>Typical Circuit Failure Logic Models in Probabilistic Safety Assessments (PSAs)</b>
Q1	Is the circuit a safety -related structure, system, or component?	Circuit functions modeled in logic combining basic events in PSAs
Q2	Is the circuit a non-safety related SSC that is relied upon to mitigate accidents or transients?	Circuit failure impacts modeled as part of SSC failure rate
Q3	Is the circuit a non-safety SSC that is used in plant emergency operating procedures (EOPs)?	Circuit failure impacts modeled as part of Human Reliability Assessment (HRA) failure probability
Q4	Is circuit a non-safety related SSC whose failure could prevent safety-related SSCs from fulfilling their safety related function?	Circuit failure impacts modeled as part of SSC failure rate in PSAs
Q5	Is the circuit a non-safety related SSC whose failure could cause a reactor scram or actuation of a safety related system?	Circuit failure impacts modeled by grouping into statistical estimates of trip, not enough detail for aging impact.

### Classification Following AP-913

The second method discussed in INPO 2003 uses classification elements to define SSCs as critical, non-critical and run to failure. For circuits whose failure has no impact on the plant, no special monitoring is needed (i.e., run to failure). For circuits considered to be non-critical monitoring methods 1 and 2 can be used as currently employed in many plants. For critical circuits improved monitoring of circuits can be considered.

#### *Critical Circuits*

With the maintenance rule as a base, a refined method for classification of critical SSCs has been provided by INPO 2003. The classification process identifies and assesses SSCs that are associated with the performance of specific function. Active and passive elements of each SSC are considered.

If a failure of the SSC defeats or degrades an important function or a function that is redundant to an important function, then it is a *critical component*, and analysis should be continued. In general, if a component failure within a circuit prevents the performance of an emergency operating procedure, or prevents the mitigation of the consequences of accidents that could result in potential off-site exposure in excess of 10CFR100 limits; or requires an operator workaround to prevent any of the classification elements in Table 5-3 from performing any of the above functions or procedures, then the circuit it is considered a *critical circuit*.

**Table 5-3**  
**Classification of Circuit Types Following AP 913 (INPO 2003) Elements**

Classification Element	Type of Circuit	Expected Failure Type
Significant power transient or derate	Control circuits	Revealed component failure
Loss of a redundant safety function	Protection circuits	Independent or common cause failure of a component
Unplanned entry into a technical specification Limiting Condition of Operation (LCO)	Protection circuits	Unrevealed component failure discovered during testing
Half scram or partial trip	Control or protection circuits	Individual revealed component failure
Reactor shutdown	Control or protection circuits	Revealed component failures
Actuation of emergency safeguards features	Spurious circuit operation	Revealed component failure
Failure to control a critical safety function (e.g., reactor water level and pressure)	Control or protection circuits	Revealed component failure
Degraded capability to shut down the reactor and maintain it in a shutdown condition	Control or protection circuits	Unrevealed component failure discovered during testing

### *Run-to-Failure Circuits*

A run-to-failure circuit is one for which the risks and consequences of failure are acceptable without any predictive or repetitive maintenance being performed and there is not a simple, cost-effective method to extend the useful life of the component. The circuit should be run until corrective maintenance is required.

### *Non-Critical Circuits*

In between the categories of critical and run-to-failure, there are a number of SSCs for which cost-effective preventive maintenance makes sense. If failure of the SSC results in any of the conditions listed below, then a non-critical analysis should be performed. Otherwise, the circuit can be classified as run to failure.

1. Circuit failure creates an unacceptable increase in personnel, industrial, environmental or radiological safety hazard (e.g., drift of radiation monitors).
2. The circuit has a history of unacceptably high repair, replacement, or operational cost.
3. Circuit failure represents an operator or maintenance burden (e.g., operator manually operates the feedback control input).
4. The circuit is obsolete, in short supply, or very expensive to repair or replace.
5. There is a long lead-time for replacement parts, which prevents a required circuit from being repaired in a timely fashion.

6. The circuit operation is necessary for work on critical equipment (for example, containment isolation control).
7. Circuit failure promotes failure of other components (e.g., failure of control circuit causes over torque on valve stem).
8. There is a potential for new risks from hazardous chemicals or environmental concerns (e.g., spurious operation of drain valve on a storage tank containing radioactive material).
9. Failure results in a power transient, sustained generation loss or reduction in the necessary redundancy or defense-in-depth (e.g., primary control systems for reactor power).
10. Failure may lead to regulatory consequences.
11. Circuit failure will hamper or prevent timely repair of a critical component.
12. It is more cost-effective to maintain the circuit, as opposed to repair or replacement.

### ***Decision Element 2: Observability***

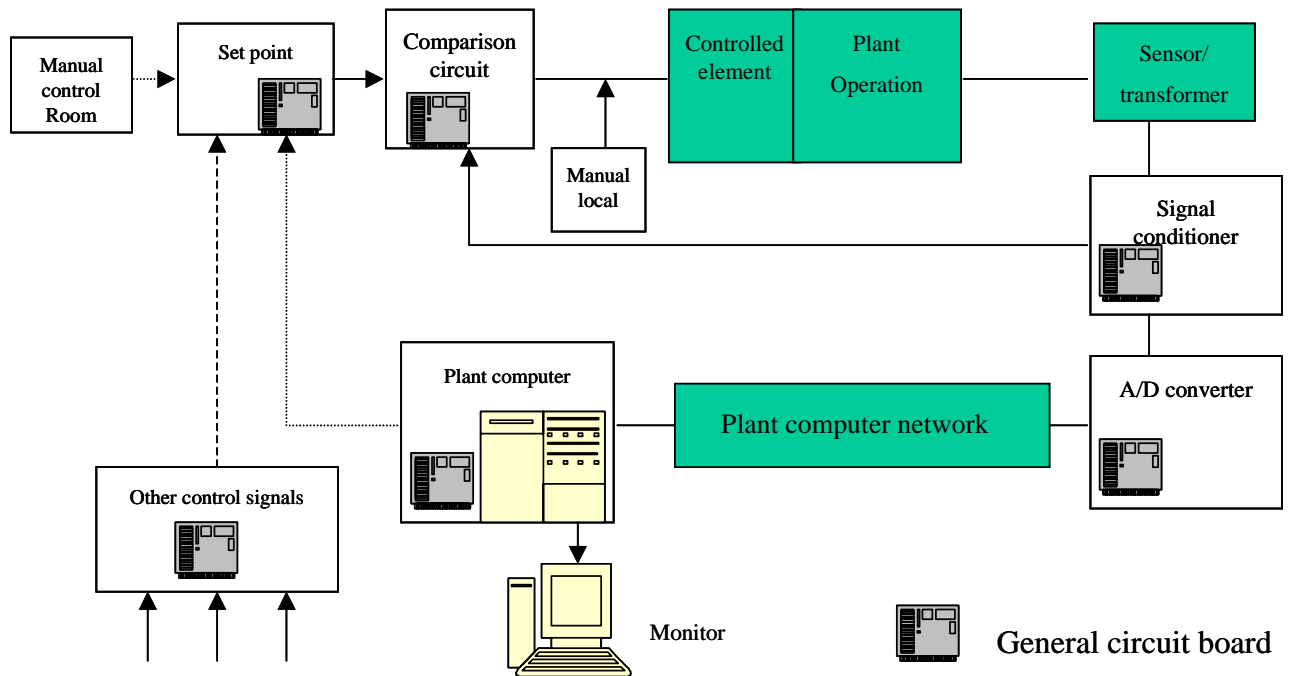
#### **Are precursors to failure on the circuit board, due to component aging, and easily observable under existing operational conditions?**

The differences between control circuits and protection circuits have an impact on which method might provide the most precise information for monitoring the aging processes. Specific types of circuits may be better suited for one type of diagnostic procedure than another. In some control circuits, changes in the way the plant operates can be detected by operator observation. In this case operator observation could initiate a circuit inspection to correct for unusual behavior of the plant responses. For important control and plant protection circuits some advanced methods may be useful in developing a more board specific program that would help avoid the potential for increased trip and power reductions. To determine the observability of aging in circuits the following sections address the types of circuits where circuit boards containing electronic components are found.

#### **Control Circuits**

The control circuits for continuous feedback systems are either analog or digital. Most continuous controllers can be built using analog electronics. Figure 5-1 for analog control circuits shows typical control circuit elements with various I&C boards at points where signal comparison adjustment conditioning, or combinations can be found. Feedback circuits that contain older relay logic circuits can be replaced by software logic to perform the same function (EPRI 2003). This clearly introduces a new set of important failure modes for control circuits such as electromagnetic interference from cell phones etc., silent failures and physical fail safe not possible conditions (DiSandro and Torok 2004).

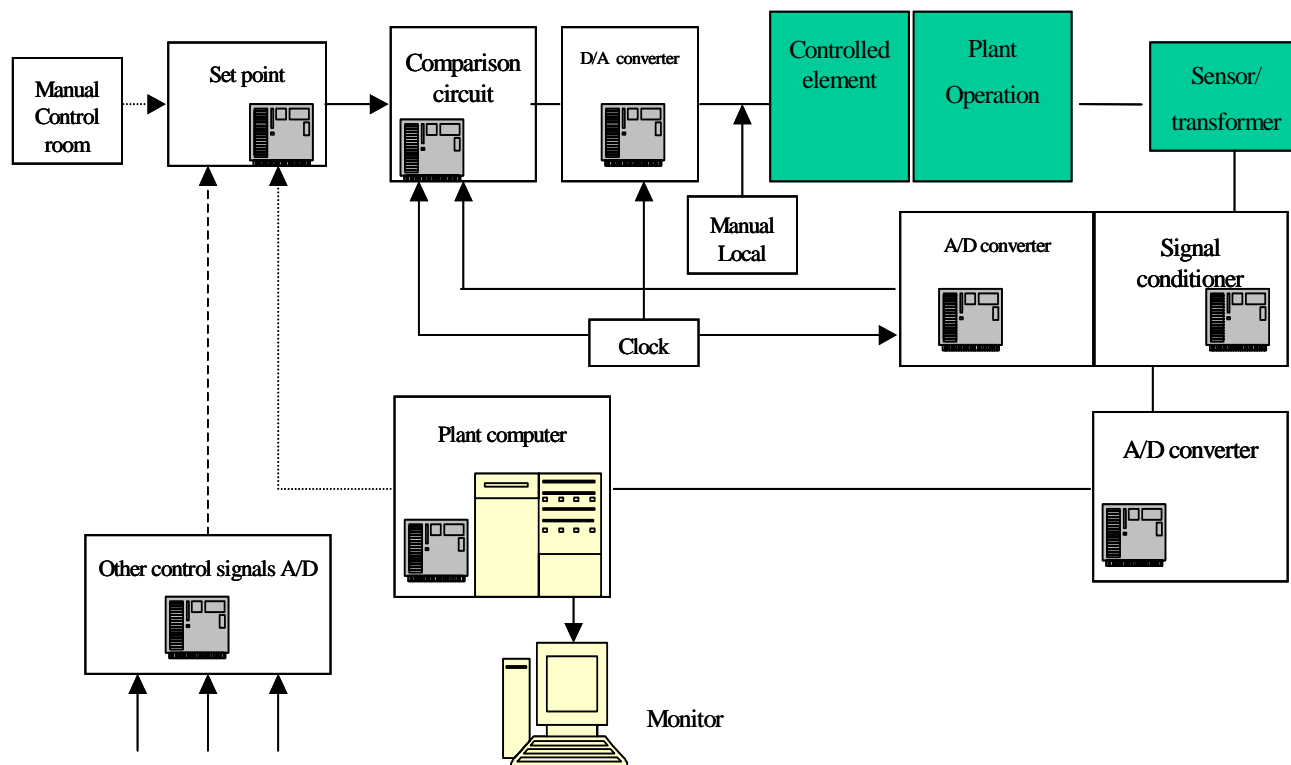




**Figure 5-1**  
**Example Analog Control Circuit With Circuit Boards**

In Figure 5-1 the electronic elements shown can be on a single board or be distributed on several boards. In many plants the control circuits are isolated by analog to digital converters. The digital outputs are generally suitable for communication on computer networks. The signal drawn from an analog control circuit is sent to a central processing computer that provides monitoring signals to the control room and elsewhere.

Originally, US nuclear plants used analog circuits that were not computer controlled, however most new process control systems are now digitally controlled using computer systems. Some specific circuits have been converted to digital control systems, but have limited computer interface for controlling the plant. Computers are used mainly for monitoring functions. Figure 5-2 provides an example of how an analog control circuit could be modified to become a digital system. In this case a digital controller that performs the same control task as the continuous analog controller can replace the analog controller feedback loop. The basic difference between an analog and digital controller is that the digital system operates on samples of the sensed signal rather than on continuous signals. Recently many control systems in nuclear plants have been converted to digital control. The control interface is typically through dedicated wiring rather than through a computer network. This has an impact on how an aging monitoring system could be established, on the quality of the signals used for monitoring, and on the frequency range of the signal passed from the analog to the digital system.

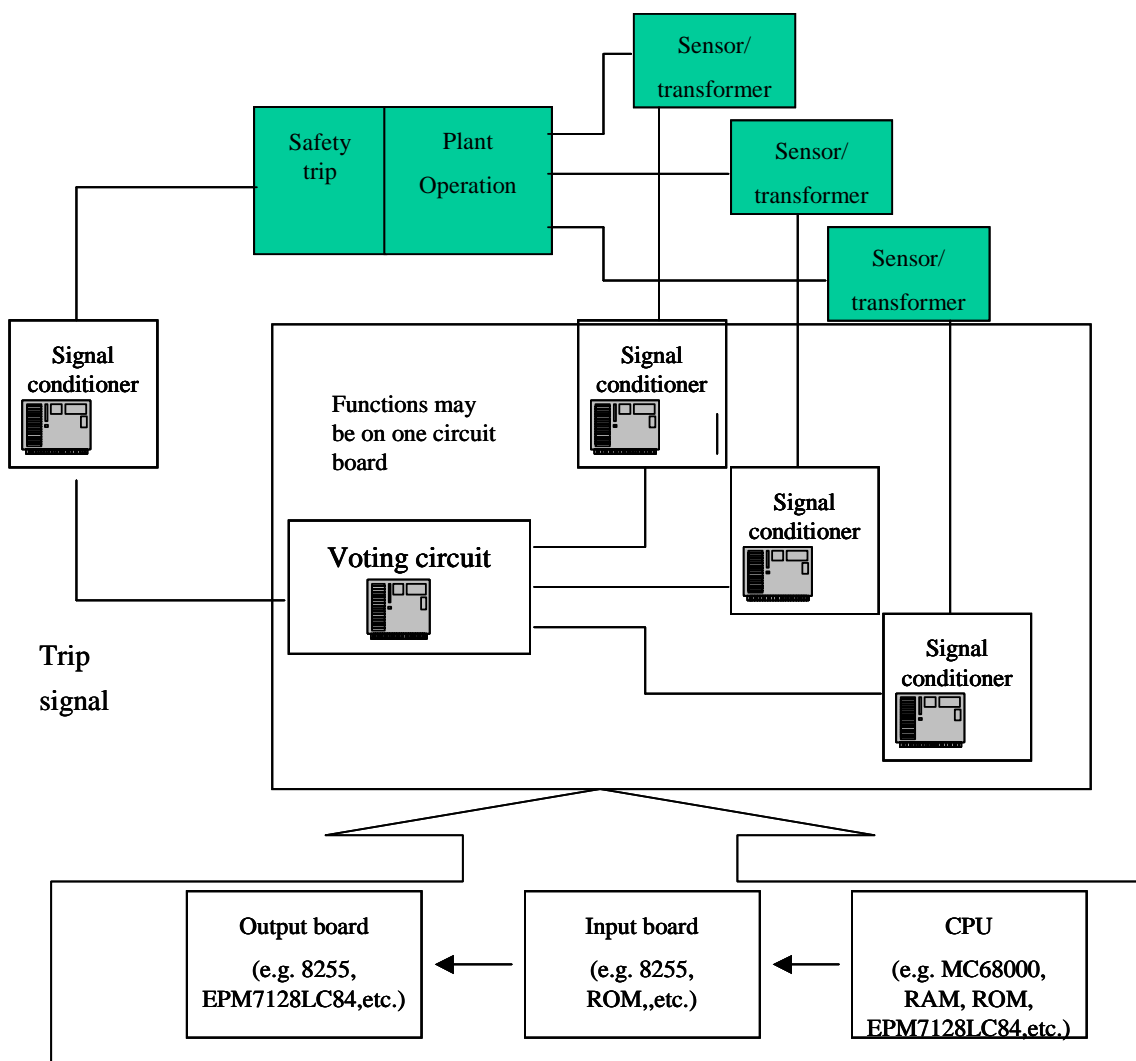


**Figure 5-2**  
**Example Digital Upgrade Control Circuit**

## Protection Circuits

Protection circuits are characterized by redundant measures and voting logic to prevent one false signal from triggering a safety action. They can be either signal interruption or signal sending circuits. A unique feature of protection circuits is that for a portion of the circuit there are redundant paths for redundant circuits. This feature allows for the possibility of comparing signals in the redundant pathways as a means of detecting both internal circuit aging and changes in the plant or sensors that are reflected as differences in the signals in the redundant trains. Thus, from a monitoring viewpoint, redundant circuit boards can be compared to identify differences in the associated circuit board measures of voltage and current as a measure of aging.

Figure 5-3 shows the key elements of a protection circuit. The lower portion shows an example circuit train with identified integrated circuits that could be used in a digital voting system (Kim 2002). The elements can be on one circuit board or distributed to locations along the circuit pathway.



**Figure 5-3**  
**Example Plant Protection Logic Circuit With Train Components**

Thus, knowledge of the circuit details is needed to determine the feasibility for a monitoring upgrade, i.e., which elements of a circuit can be monitored and observed, and which method or technique could be applied. As in the case of control circuit inputs the older relay logic circuits used in many protection systems can be replaced by software logic to perform the same function (EPRI 2003). This clearly introduces a new set of important failure modes for protection circuits such as silent failures and physical fail safe not possible conditions (DiSandro and Torok 2004).

### Decision Element 3: Detectability

**Can use of a new detection method technically improve on existing approaches for monitoring board-aging trends?**

This question is made more specific in the logic trees to answer whether aging failure modes of components are detectable by existing test methods (i.e., 1 & 2). If the answer is no, then Table 5-4 can be used to provide a link between existing and advanced methods of detection and the approaches for monitoring the aging impacts.

**Table 5-4**  
**Relationship Between the Detection Method, Technique and Monitoring Category**

Method	#	Detection technique	Detection and monitoring approach
1		Periodic inspections	Periodic testing verifies circuit operability or detects failures (typically performed during refueling cycles)
1	1	Functional testing	Detects a circuit malfunction
1	2	Visual Inspections	Observes card damage
2	3	Reliability Modeling	Predicts failure based on statistics from similar components
3		Measures of resistance	Simple trending of circuit degradation
3	4	Resistance testing	Predicts failure based on reduction of resistance change to ground in entire circuit (ground faults)
3	5	Leakage current testing	Predicts failure based on leakage current increase in entire circuit (short circuit faults)
4	6	Signal comparison measures	Predicts failure based on signal differences in redundant portion of circuits
5		Passive measurement systems	Monitor for change in circuit characteristics to predict aging
5	7	Passive parameter monitoring	Predicts failure based on passive measures of circuit outputs such as current and voltage
5	8	Environmental parameter testing	Predicts failure based on environmental conditions of circuit card
6		Active measurement systems	Monitoring for detection of aging at circuit level (Change in output indicates failure of capacitors and transformers)
6	9	Signal change testing	Predicts failure of circuits based on measures of change to a simple current or voltage pulse
6	10	Signature analysis testing	Predicts failure based on evaluation of test signal input output characteristic
6	11	Pattern recognition testing	Predicts failure based on comparison with a spectral standard for the specific circuit or card
6	12	Frequency analysis testing	Predicts failure based on analysis of the spectral response to an active signal

The technical capability to address various aging failure modes was discussed in Section 4. In general, as the measuring process becomes more sophisticated the monitoring results can become more precise in predicting both the timing and accuracy of a result such as the MTBF.

## **Detection Methods**

The first issue to address for this decision element is whether a method can detect the aging failure modes associated with the components (e.g., resistors, transformers and capacitors) on the board. For the category of resistors methods 3 to 6 can detect changes that would directly identify aging failure modes. In the case of transformers methods 3 to 6 can be used, but require a little finesse to detect gradual aging failures in methods 3 to 5, but can be more precise with method 6. The most difficult component is a capacitor. Use of methods 3 to 5 will require a lot of finesse on the technician's part. Method 6 should be able to detect capacitor problems by tracking the shift in frequency response of the overall circuit. The technical underpinning for system response changes is discussed in EPRI 2004 Appendix A.

## **Monitoring Approaches**

Any reasonable detection system will need to monitor the circuit as a whole. In the case of control circuits a repeatable input test signal output response can be compared with previous test results saved in a database. In principle as the circuit ages, trends can be detected before a complete failure by comparing recent outputs with previous results. When using electronic signals as in methods 3 to 6 the sampling frequency can be increased so the monitoring of the aging could be considered almost continuous. This can be done when the test signal does not interfere with the normal function of the circuit.

Even if the monitoring of the circuit is continuous, it will not detect rapid stressor induced failures such as a high voltage spike, or high current induced fault. If however the stress impact leaves the system operable with some degradation, the detection system should identify changes in the circuit response by changes in the measurable factors.

The ability to detect, monitor and interpret the changes can be formally addressed using a condition monitoring approach. This uses test and inspection results to determine if the circuit is suffering from aging effects. The condition monitoring approach can be supported with statistical correlation models, reliability models or by judgment of the plant personnel to relate the changes in response output to the aging failure mode and associated circuit board and component on the board.

## ***Decision Element 4: Predictability***

**Does the existing detection method support evaluation of failure probability to use in setting the next inspection interval?**

Improved circuit aging evaluations require clarity of concepts to become more precise in predicting failure based on the measurement of precursor and progressive failure conditions. The

definitions developed here can support probabilistic formulations that will permit the evaluation of a failure probability and its uncertainty bounds.

- Condition monitoring – A process for evaluating the existing condition (e.g., probability of failure) of components or systems based upon measures of operating performance.
- Operational assessment – A process for predicting the probability of failure over a future time period based on measures of operating performance.

## Reliability and Physics of Failure Models

The important issue here is to understand how the measures that are collected and saved can be converted into a probability of failure that can be used to decide what to do with the circuit board and component that is suffering from aging indications. To answer the question a process needs to be defined that relates the measured parameter(s) to a failure probability function. This is where the existing reliability and POF models can provide a good starting point. If the detection measure can be related to a failure rate, then reliability and POF models provide a straightforward conversion to probability. In these cases the uncertainty in the measurement parameter and conversion to failure rate can be used to evaluate the confidence level associated with failure probability. The models can be set up for Monte Carlo simulations that can extend the measured trends to provide the probability of failure and justification for the replacement schedule based on either or both condition monitoring and operational assessment.

## Statistical Correlations

If the measures and models are not compatible with the level of measurement in a method, then a statistical approach can be used. In this case tests of circuit failure versus a measured parameter, or combinations of parameters can be used to construct a correlation with statistical uncertainty bounds. Then trends in the measured parameters can be compared with the correlation to estimate the aging induced failure potential. The comparison can be performed graphically to find best estimate values, or simulations can be used to establish the statistical confidence bounds. The correlations can be set up for Monte Carlo simulations that can bridge between the measured trends and the correlation to provide the probability of failure and justification for the replacement schedule. Correlations that address both condition monitoring and operational assessment requirements can be established.

## Synopsis

Once data from the detection system is gathered and stored, at least two kinds of formal analysis can be used to evaluate the probability of failure, as it exists in the most recent test, and then predict failure prior to the next test. Such analyses use existing models and statistical correlations that are set up to perform either condition monitoring to assess the existing remaining life and operational assessment to evaluate failure probability over the next operational period.

### **Decision Element 5: Repairability**

**Is the combination of circuit type and method for repair or replacement non-intrusive to plant operation?**

#### **Existing Repair or Replacement Process**

In this decision element aging identification is assumed to be performed at the total circuit level. Once circuit degradation or failure is identified then a search for the specific board within the circuit begins. The most limiting case is when the plant must be shutdown to repair the circuit. In the least limiting case the electronic feedback circuit is replaced by a manual input while the circuit is being repaired. In this case there is no plant outage required, but operators must be extra vigilant and act as the feedback circuit.

Because existing diagnostic tools are imprecise in identifying the specific failed component, a well-known process is to replace boards in the circuit one at a time until the circuit operation is fully restored. Then degraded or failed components on the replaced boards can be identified through inspection and bench testing. Thus, the existing process is assumed to be that once a problem is identified at the circuit level, trouble shooting is performed to identify the specific board, the board is replaced and sent to a lab where the failed component can be identified and repaired, if it is deemed cost effective.

In some cases a series of boards might be replaced until the circuit is restored to full operation. Often good components are replaced during this diagnostic procedure when the identification of the circuit problem is imprecise and all the boards in the circuit are replaced. If the defective board among the good boards can be identified through testing and with a little finesse the degraded components are pin pointed, they can be replaced and the circuit board restored and placed in standby for future use. Because this process is labor intensive and diagnostic tools are not precise in identifying degraded circuits many utilities just replace the defective boards. This is generally an accepted practice as long as the boards are not obsolete.

#### **Improved Repair or Replacement Process**

The hope is that advanced measurement systems can more precisely identify the board or component that is undergoing aging degradation. With a more precise identification of a component degradation mode, it might be possible to isolate the degraded part of the circuit without having to shut the plant down. This would prevent a trip or power reduction during the repair process.

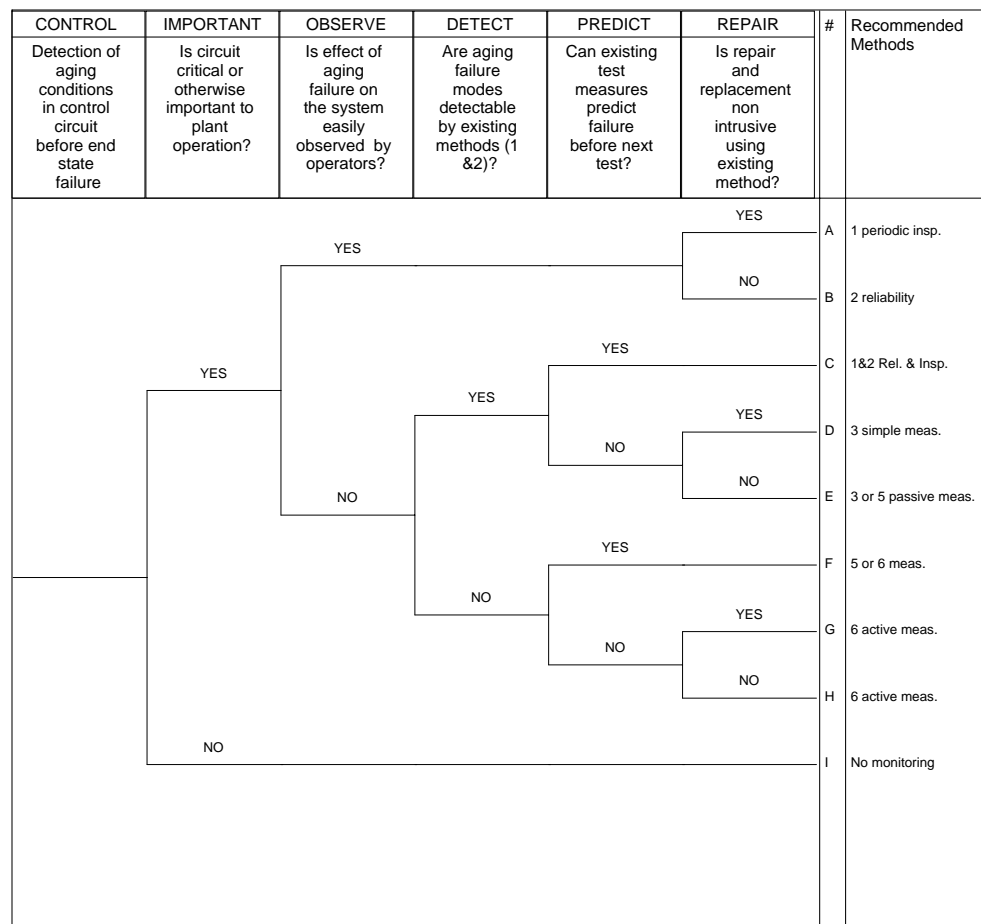
Through more precise diagnostic methods, the aging degradation on a specific board or component could be identified. This would significantly reduce reliance on troubleshooting finesse to correct degraded circuit operation. This type of improvement can be measured as time saved in troubleshooting during which the plant is exposed to an increased potential for trip.

Thus, when assessing the impact of this decision element, the existing repair process can be compared with the future process.

The next section provides an integration of these decision elements into pathways. These pathways help identify which method and approach can be applied for aging management in electronic circuits. The most appropriate technique can then be associated with an upgrade for aging monitoring in a specific circuit.

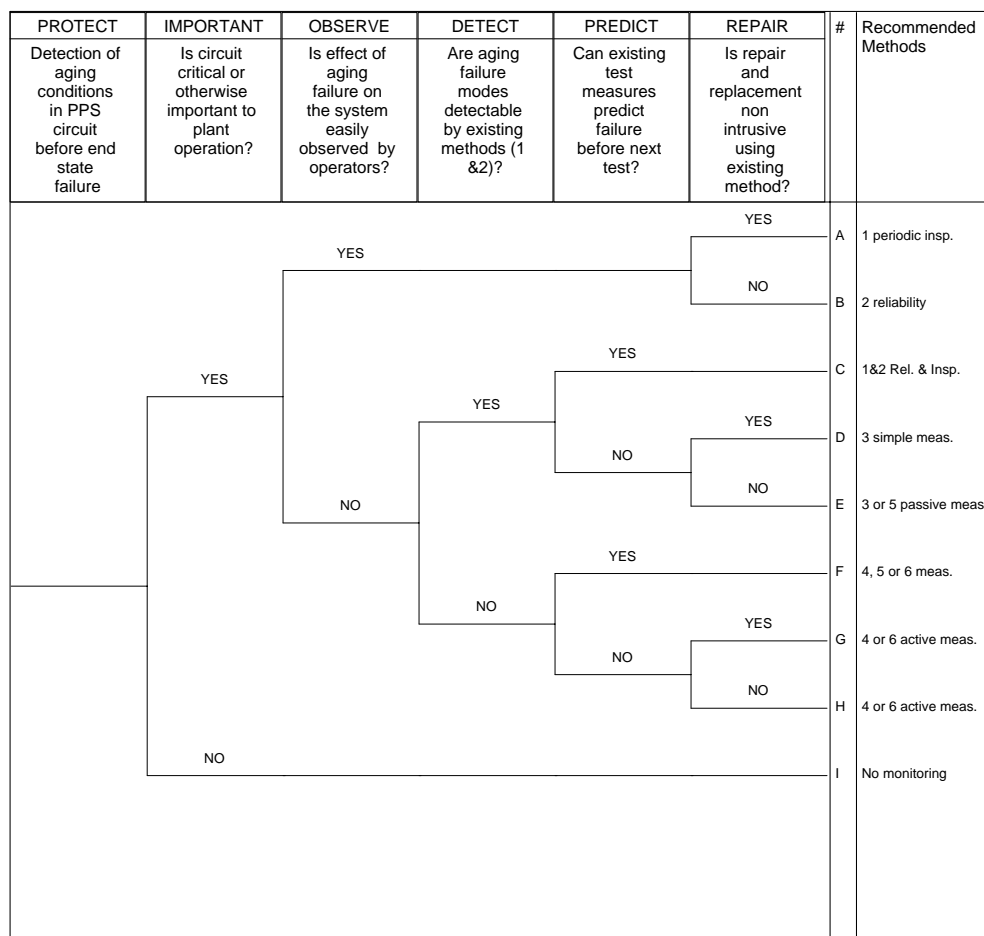
## Decision Mapping Logic

The decision process elements discussed above have been converted into decision mapping logic to help utility plant personnel select a method for monitoring aging of I&C circuit boards. Since slightly different methods apply to control circuits and plant protection circuits, two figures, Figures 5-4 and 5-5 have been prepared. The difference is in the application of potential methods for some of the logic pathways (F, G, and H). Moreover, the details of applying a method can vary depending on whether the circuit is analog or digital, safety or control, or software or signal controlled. The decision tree has been constructed with event elements so that an integrated set of questions can be answered with yes or no depending on the current situation for each circuit that is being considered for upgraded monitoring.



**Figure 5-4**  
**Control Circuits' Progressive Aging Decision Tree**





**Figure 5-5**  
**Protection Circuits' Progressive Aging Decision Tree**

A decision to become more sophisticated in the prediction of aging failures can be determined by asking questions about each circuit identifying the associated logic path and then noting the method recommended. There are several techniques within each monitoring method that can be used to improve the detection of circuit aging.

## Summary of Decision Process Considerations for Utilities

### Importance

The first decision element is to assess the importance of I&C board to plant operation. A circuit's *critical importance* to the plant can be incorporated into the identification of a monitoring technique by applying the logic described in Figures 5-4 and 5-5. In general, "yes" applies to circuits whose failure has a high potential for causing trip or safety system unavailability. Other circuits that are classified as *non-critical* following AP-913 (INPO 2003) can be placed on the "yes" path, if the circuits are important to operation or have a history of causing repeated replacement problems. Such non-critical circuits lend themselves to potential cost reductions in maintenance and repair activities.

Methods for assessing the importance of SSCs can be extended to circuits. This will help identify the priority of circuits that could be upgraded for condition monitoring and operational assessments. Then circuit boards and components of a few circuits can be identified for improved aging management. Processes have been developed for identifying the importance of equipment in the plant by both industry INPO 2001 and NRC 2000, which have been implemented to include electronic circuits by some utilities.

### Observability

The second decision element is to determine if the existing ability to observe the impact of component aging failure modes on circuit is adequate for operation and safety aspects of the plant. In some cases the operators can observe circuit problems and switch to manual under the existing *observability* of circuit degradation. This ability can vary widely depending on analog or digital circuit types, location, environment, and board function such as control or protection.

Existing methods for noting circuit aging rely on observing failure in one circuit, which is possible through functional testing and then extending this to similar circuits via reliability modeling. Examples of measurement-based systems can be developed to help operators recognize early indications of aging failure modes before they turn into full circuit failures.

The existence of observable precursors to an event can be identified by collecting and analyzing events involving circuit failures that lead to spurious trips, system unavailability, and failure to initiate a back up system. A listing of observable circuit features can be developed using existing monitoring instruments, and tools for more precise and dependable observations.

### Detectability

The third decision element is an assessment of technical ability of method to *detect* aging conditions more effectively than the existing approach. In this case, does the proposed improved method actually have the ability to detect component-aging failures? In this case Tables 4-3, 4-4 and 4-5 in Section 4 provide preliminary judgments about the technical capability of a technique to detect various hardware aging failure modes. This is probably the most difficult question to answer precisely, since all the detection systems will require some trending and judgment to pinpoint the exact component with the age-related degradation.

To detect aging within a circuit, detection methods that can measure changes in typical circuit boards and hardware such as chips and circuits (A/D converters, isolators, etc.) can be identified and tested. One way to start is to survey utilities for applications already in use related to methods 3 to 6. Some of these systems may have been applied in monitoring mechanical and electrical components other than electronic circuits.

A next step is to setup and test measurement systems by integration of existing equipment for specific application in methods 3 to 6. This involves integration of signal generation and measurement hardware with software to build a system capable of measuring circuit changes. The equipment should be able to generate test signals that do not interfere with the circuit function, use networking software, capture and store data, evaluate trends, and generate automatic warnings for aging issues. Integration of such components can be used to build systems that apply to methods 3 to 6.

A preliminary benchmark test for measurement systems can be developed to illustrate applications in methods 3 to 6. This would involve testing boards that have been removed from service in existing plant circuits.

### **Predictability**

The fourth decision element is an assessment of the ability to improve on the accuracy of the current failure *prediction*. This generally requires an evaluation of the failure probability due to aging at a point in time. Two techniques have been proposed to support condition monitoring and operational assessments. These are to use models developed to assess the reliability and statistical correlations that relate a measured parameter to the likelihood of failure.

If circuit measures can be obtained stored and trended, the next step is to develop models and or correlations that can be used to translate between the measured parameters and the aging impact. Such models or correlations between the aging extent and a component failure have been used to establish precise repair strategies. The ability to predict is applied statistically in method 2 where as it is applied to specific circuits in methods 3 to 6.

### **Repairability**

The fifth decision element is to determine if the selected method can improve the *Repair* process. In the case of run to failure, the consequences could be an unexpected loss of the circuit, which could trip the plant or cause unrevealed system unavailability. In these cases the plant might have to be shutdown during the repair period. In the case of an aging detection system, the pre warning and pin pointing of the circuit board(s) could support switching to manual circuit operation during the replacement of the circuit boards. This would avoid a plant outage for circuit repair. Any improved method should also improve the repair element.

A survey can be performed to list how utilities currently repair circuits on-line or wait until a plant shutdown. This will provide a base line repair process and produce information for developing improvements for measurement, monitoring and evaluation systems.

### ***Illustration of Decision Process***

The decision process outlined above can be applied to any circuit (or circuit board) in the plant. Once clear answers to the questions are established a method for addressing aging degradation on the circuit board can be selected. The following example applications for resistors, capacitors, and integrated circuits involve the basic components of any electrical circuit.

### **Resistance Components**

Consider a circuit that has resistive components that are subject to aging failure, but plant operators do not easily observe this. If the board is in a critical safety protection circuit, the aging is not detectable using methods 1 and 2, and then the process of aging on the specific circuit board is measurable by methods 3, 4, 5, and 6. At this point it is necessary to decide how

important the circuit is for passive or active monitoring. Since the safety circuit is to be isolated from other circuits a passive method might be required. This would point to methods 4 or 5. If the board is in the redundant portion of the circuit, signal comparison can be an effective method; otherwise method 5 could be applied.

## Capacitor Components

Consider an important circuit in the control system that is subject to aging failure of capacitors. If the failure mode is capacitor shorting, the circuit might exhibit intermittent faulty operation. This is typically not detectable by circuit functionally (method 1) or reliability modeling (method 2). This leaves methods 3, 5, and 6. Since loss of capacitance is often difficult to detect, an active measurement system should be considered. The impact of capacitor degradation usually changes the shape and timing of pulses sent through the system, or shifts the frequency response of the circuit. The simple pulse in method 3 could be used in conjunction with specialized diagnostic interpretation equipment. The ability to measure and predict the failure increases with methods 3, 5, and 6 because they use increasingly complex active inputs and output measures to characterize the circuit.

## Integrated Circuits

In the case of an integrated circuit the aging failure modes can be loss of the ability to hold internal voltage levels due to increase in leakage current or loss of capacitance. Computer diagnostic software for testing computer circuit boards would fall into method 3 where a fixed set of pulses (1, 0) is sent to each input location and then a check sum on the output is compared with a standard. Application of this method would require network access to the control circuit board to monitor integrated circuit to verify that it holds its charge.

# 6

## QUALITATIVE COST BENEFIT OF AGING MONITORING TECHNIQUES

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### Purpose

The purpose of this section is to summarize what has been learned during this project about the various monitoring techniques with respect to cost and benefits. The principle benefit is the potential for using the techniques to measure circuit board aging conditions and to use the measures to predict the probability of failure before the next set of measures are taken. Because of the difficulty in predicting failure rates or the MTBF based on measurements, the qualitatively assessed benefit of ability to detect an aging failure mode for most components can only be considered on a relative basis at this time. The various techniques must be tested and evaluated in greater detail before the benefits can be quantified.

Likewise, the costs cannot be fully assessed at this time; however, the relative cost (i. e., as more than or less than another technique) can be qualitatively assessed. This has been done for the three aspects of cost: for R&D, for plant implementation and for operation.

To provide guidance on the selection of possible techniques upon which to invest industry R&D it is reasonable to assess the relative qualitative rankings with figures of merit. These figures of merit are used to organize the techniques by their cost benefit ranking.

### Relative Technical Benefit

Considering how well each technique can monitor the range of aging induced degradation indications identified for circuit boards and components is used to infer the relative technical benefit for a technique. As shown in Tables 4-3 to 4-5 in Section 4, for each component failure mode a qualitative review is provided of how well the technique could identify aging impact degradation before circuit failure occurs. Four categories were used to classify each monitoring technique, (1) unlikely to detect (n), (2) unknown (u), (3) likely to detect with no known demonstration (y1), and (4) likely to detect with known demonstration (y2). A simple formulation was used to calculate a figure of merit to represent the technical benefit. The formulation is

$$\beta_T = \alpha_1 \cdot \sum n_i + \alpha_2 \cdot \sum u_i + \alpha_3 \cdot \sum y1_i + \alpha_4 \cdot \sum y2_i ,$$

where  $\beta_T$  is the figure of merit for technical capability,  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  are the weighting factors for each review, 'i' is the number of aging degradations addressed and n, u, y1 and y2 are defined in Section 4 and shown in Tables 4-3, 4-4 and 4-5. The values of the weighting factors  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  were selected to be 0, 0.3, 0.8 and 1.0, respectively. These values are not absolute and several different weighting factor schemes were tried and the result was that relative order of the techniques was nearly the same. This set of rating factors appears reasonable based on the following judgments:

- A detection technique that has been demonstrated is weighted as 1.0.
- A detection technique that is believed workable but not demonstrated is weighted less, say 0.8.
- A detection technique that is believed to be unknown as to how it might work is weighted less, say 0.3.
- A detection technique that is believed to be unable to detect change is weighted as 0.

The review data and results for technical capability are provided in Table 6-1.

**Table 6-1**  
**Qualitative Review for Detecting Aging Degradation With Technique**

#	Detection technique	$\Sigma n$	$\Sigma u$	$\Sigma y1$	$\Sigma y2$	Figure of merit $\beta_T$
1	Functional testing	12	9	0	3	5.7
2	Visual Inspections	23	1	0	0	0.3
3	Reliability Modeling	24	0	0	0	0
4	Resistance testing	7	14	2	1	6.8
5	Leakage current testing	7	13	3	0	6.3
6	Signal comparison measures	1	10	12	0	12.6
7	Passive parameter monitoring	6	14	3	1	7.6
8	Environmental parameter testing	19	4	0	1	2.2
9	Signal change testing	2	12	10	0	11.6
10	Signature analysis testing	0	9	15	0	14.7
11	Pattern recognition testing	0	4	20	0	17.2
12	Frequency analysis testing	0	2	22	0	18.2

## **Relative Cost**

An important element in selecting a system capable of detecting aging degradation in circuit boards is the cost. Since none of the proposed techniques have been applied as a monitor of aging degradation, there is no actual cost information available. In order to provide a “figure of merit” for the cost of a system that employs the techniques considered here, the relative cost has been qualitatively inferred by using a base case and estimating more than or less than the base case in the three cost categories of R&D, implementation and operation.

The R&D category considers the costs needed to prove the principle of detection, interpretation of measures, clarity of defining the aging mode, and defining the system elements. In general these costs can be shared through industry R&D efforts.

The implementation category involves applying the system elements to a specific circuit within the plant. It is assumed that the system would be permanently installed, although temporary system set-ups could be used during the initial testing stages. The cost per circuit is expected to be higher than the R&D costs on a relative basis because of the assumption that the R&D costs can be shared across the industry.

The operational costs involve the time spent in using the technique, in trouble shooting the issues revealed, and in repairing the circuits. This would also include costs for spare parts and training. Based on experience the cost per circuit is expected to be lower than both the R&D and much lower than the implementation costs.

The relative costs for a specific technique are inferred by assuming that a typical circuit is selected based on its importance and the existing testing uses periodic functional testing. To upgrade testing to address aging degradation monitoring the relative amount of R&D effort is judged using qualitative descriptions of low = 1, medium = 2, medium high = 3, high = 4, and highest = 5. The same qualitative descriptions are used in each cost category. As the systems become more complex, greater R&D effort is required; however the complex systems are expected to reduce the operational cost. In the case of implementation, if the technique can use existing test circuits, then the cost is low, and if new systems need to be installed then the cost is high. The result of this qualitative review is shown in Table 6-2.

The figure of merit for cost is the simply the sum of weighted rankings in each area. The weighting is 1 for operation, 2 for R&D and 3 for implementation. This reflects the above explanation that the per circuit cost is judged to be highest for implementation, less for R&D, and lowest for operation after implementation is complete. The experience in some I&C upgrade projects has been a higher than expected cost for implementation. Implementation includes preparation work such as design, documentation, testing, training, installation and commissioning (e.g., Walling D. interview by R Michal 2005).

**Table 6-2**  
**Qualitative Review of Cost for a Technique to Detect Aging Degradation**

#	Detection technique	2 R&D rank	3 Implementation rank	1 Operational rank	Figure of merit cost
1	Functional testing	1	1	2	7
2	Visual Inspections	1	1	3	8
3	Reliability Modeling	1	3	1	12
4	Resistance testing	2	2	2	12
5	Leakage current testing	2	2	2	12
6	Signal comparison measures	2	3	3	16
7	Passive parameter monitoring	3	4	2	20
8	Environmental parameter testing	4	2	2	16
9	Signal change testing	2	3	2	15
10	Signature analysis testing	3	4	2	20
11	Pattern recognition testing	4	4	2	22
12	Frequency analysis testing	5	4	1	23

Table 6-2 summarizes the preliminary qualitative assessments that have been made given the current knowledge available to the authors. Specific assumptions had to be made about each technique. For examples,

- It was assumed that if a technique was selected, it would be pursued with R&D, implemented and operated on its own.
- Sharing of R&D results between techniques was not assumed. However, it is likely that incremental R&D would build improvements from the basic concept with the simplest system to advanced systems with more features. Therefore, in actual R&D costs for advanced techniques could be reduced by starting with simpler techniques and then expanding the technology to more advanced features.
- It is expected that there is considerable uncertainty in the estimates, because engineering evaluations of where and how each technique could be implemented have not yet been performed. This type of assessment would need to be performed before a more quantitative cost assessment can even be performed. Therefore, at this point the authors have only developed costs as a figure of merit.



- It was assumed that each technique can provide a valuable improvement over what is done now.
- It is assumed that utilities performing classification of circuits, relative to their importance to plant operation and safety, will need to pick one or more of the techniques for testing and monitoring for aging and random failures.
- It was assumed that the implementation cost is a one time cost, if the technique results in a fixed system.
- It was assumed that the operations costs are on-going, and if the technique is not a fixed system, then the setup becomes part of the on-going operations costs since the system elements of the technique must be re-established each time it is used.

From Table 6-2 it can be seen that there are techniques where higher R&D costs can be offset with potentially lower operating costs. However, before the costs of implementing any of the techniques can be justified, proof of the concept and suitability from a regulatory viewpoint needs to be demonstrated. Therefore, it seems premature to attempt to provide any more quantitative breakdown of the costs of each technique at this time. As more information becomes available it is clear that cost benefit evaluations will need to be performed for each of the cost categories. For example, at some point the R&D cost and the benefit will need to be evaluated for each of the techniques. This would permit the allocation of R&D assets to those techniques that appear to offer the best payback for R&D expenditures. Similar cost benefit evaluations will also need to be performed for implementation costs and for operational costs. It is likely that the industry might agree to proceed with R&D expenditures for several techniques since not all utilities would necessarily agree on the same technique for implementation. This could be followed by cost sharing on implementation issues by smaller utility groups that favor certain techniques.

Furthermore, once the initial engineering evaluations of each technique are done specific cost benefit quantifications should consider one time implementation costs for fixed systems versus implementation for portable systems.

Finally, a cost benefit analysis for operational aspects can then be provided once test systems are have been demonstrated and are being used.

The initial qualitative costs depicted in Table 6-2 are only used to estimate what might be expected for each technique in the areas of R&D, implementation and operation.

## **Cost Benefit Comparison**

The objective of this comparison of relative technical performance with the relative cost is to provide information to guide the allocation of limited R&D funds to those systems and techniques with the potential to best address the objective of monitoring aging in circuit boards and using the results to assess the probability of failure before the next test or inspection cycle. The purpose of this initial qualitative cost benefit exercise is to provide initial thinking to support utilities in making decisions as to which techniques might offer better solutions based on the knowledge that is available at this time.

Figure 6-1 provides the figure of merit for cost versus the technical figure of merit. The following interesting points are revealed about each technique.

### ***Reliability Modeling***

Reliability modeling by itself does not detect circuit specific aging degradation; however, the models might prove important in interpreting the results of trending measures in other techniques.

### ***Visual Inspections***

Visual inspections are somewhat costly for a relative low potential for being able to monitor degradation. While visual inspections can identify some types of degradation, the ability to trend on subjective measures is very difficult.

### ***Environmental Parameter Testing***

In the case of environmental parameter testing the R&D costs are high because indirect measures are used to infer aging degradation. This will require significant verification and development of double correlations to trend for aging failure modes. Furthermore, only a few of the component failure modes would be detectable. Its best advantage is that it has no interaction with a circuit, and is thus a good candidate for monitoring safety circuits, which are typically isolated from monitoring systems.

### ***Functional Testing***

Functional testing is the primary existing method for verifying that circuits are operational during periodic tests. It is possible to expand the measures during functional testing to better monitor the aging degradation; however the technical and cost evaluations here do not consider use of the more advanced monitoring methods. The existing test circuits can be applied to the advanced approaches described below.

### ***Leakage Current and Resistance Testing***

Leakage current and resistance testing have essentially the same ranking. Both would require some R&D and implementation to go beyond periodic tests using temporary setups in order to become a fixed system that would provide better trending results. A weakness in these techniques is that the number of aging degradation issues that can be addressed is limited and almost no capacitor failures can be detected.

### ***Passive Parameter Monitoring***

The passive parameter monitoring technique appears in the high range of the cost benefit review. It has a good potential for monitoring of safety circuits, but still is limited to the signals from the circuit or circuit board. The R&D for this technique could focus on software that can detect and store measures for trending. This technique could benefit from the R&D supporting active measurement methods.

### ***Signal Change Testing***

Signal change testing is the first technique with a fairly broad ability to detect a wide range of degradations. The R&D in this area would need to address sending a pulse signal through specific circuits to measure the output. The aging trends could use changes in the pulse shape, reductions (or increase) in the voltage level as indicators of aging. R&D using this technique would also apply to the next techniques. Detection of capacitor aging is expected to be difficult using this technique where the next four techniques have a good chance of detecting capacitor aging degradation.

### ***Signal Comparison Measures***

Signal comparison techniques have been applied to redundant sensors and have detected both circuit and process degradations. The limitation is that redundant signals are required, and thus this technique applies only to protection circuits, and only to a portion of the circuit. Other techniques are needed for non redundant control circuits.

### ***Signature Analysis Testing***

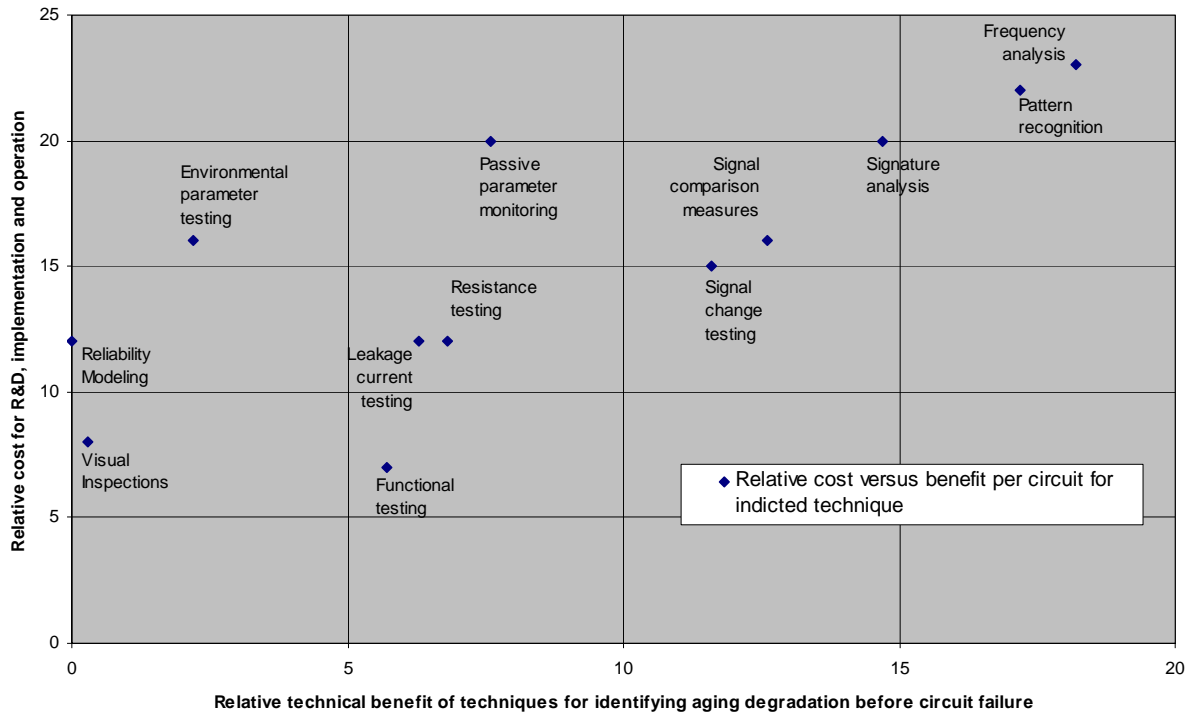
The signature analysis technique is an extension of signal change testing. In this case the R&D cost is greater because of the need to incorporate hardware that can separate frequency ranges in the input and output of the measurement system and this most likely includes software. The technical coverage is improved because this technique can detect capacitor aging degradation. However, pin pointing the degraded component location within the circuit requires extensive signature libraries and ability to discriminate between changes to internal circuits and changes in the external process.

### ***Pattern Recognition and Frequency Analysis Testing***

Both pattern recognition and frequency analysis techniques build upon the R&D for signal change testing and signature analysis techniques. The technical improvement is that a full spectrum output is obtained. In the case of pattern recognition the spectrum is not controlled by the input function and; therefore, does not easily represent the circuit transfer function. The frequency analysis technique provides a uniform active signal to represent uniform voltage input over the entire frequency range. In this case a true transfer function can be obtained by inspection. Then changes to the transfer function can be matched to specific circuit degradation

modes. This permits identification of the aging component through changes in break points and shifts in phase angle in the overall circuit. These techniques offer the greatest ability to detect capacitor failures.

Figure 6-1 provides a plot of the relative cost versus benefit for each technique as provided in Tables 5-4 and 6-1 above.



**Figure 6-1**  
Comparison of Relative Cost Benefit Inferred for Each Technique as Applied to a Selected Circuit

# 7

## FINDINGS

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### Summary

This report develops a framework for determining if the existing method for circuit testing should be upgraded so that the circuit can be monitored for aging degradation. Moreover, the monitoring results from the improved techniques can be used for trending and evaluation of the failure probability over the next test interval. This would permit replacement of a circuit board on the basis of its specific condition rather than a statistically estimated replacement frequency.

The elements of the framework include theories relating the aging of components on circuit boards to observed failure modes.

- The aging mechanisms in circuit board components can be observed both externally and internally from circuit changes.
- Most aging mechanisms after the “burn in period” proceed slowly, therefore trends of aging can be observed before the circuit becomes fully open or shorted. Thus, if the aging effect can be measured and related to a failure condition, then trending the measures of aging mechanisms provides a basis for quantifying the probability of failure over a time interval.
- If a method or technique for monitoring circuit behavior can be defined and implemented, then utilities can make the decision to repair or replace specific circuit boards on the basis of objective measures rather than replacing the circuit board after failure or on a scheduled frequency.

The framework includes the use of reliability modeling to estimate circuit board MTBFs during the operational period.

- The reliability of a circuit is a strong function of its environment and operating temperature. Thus, when developing reliability-based estimates of MTBF, precise operating conditions of the circuit are needed, but such measurements are typically not available.

The framework provides categories of methods and techniques that have the potential to detect aging mechanisms before circuit failure.

- Within six broad methods, twelve techniques are defined to describe the technical advantages and disadvantages for monitoring aging failure modes of the components on the circuit board.

- The design of potential active and passive measurement systems can be simplified by permitting the monitoring of each board circuit to be treated as an equivalent circuit with measurable electronic parameters such as voltage, impedance, resistance, current, and ground resistance. Changes in these parameters become precursor indications of degradation that could lead to a complete failure.

The framework provides a decision process for selecting candidate circuits for upgrade from functional testing to aging monitoring.

- A list of five decision elements and a decision process are provided for considering circuits that are candidates for upgraded monitoring.
- The decision elements link the assignment of circuit importance developed by utilities to the selection of methods for upgrading to aging monitoring.

The framework includes a qualitative process for inferring and comparing the cost benefit of each monitoring technique.

- The techniques are compared from a relative technical merit of being able to detect a wider range of the observed aging failure modes, and a relative cost for using the technique.
- Twelve techniques were defined in enough detail to provide relative rankings for cost and technical capability to monitor different aging mechanisms.
- Features of some of the simple techniques can act as building blocks for more complex techniques.

The following recommendations are provided to help improve the monitoring of circuits to detect aging mechanisms before a circuit failure occurs.

## **Recommendations**

1. Utilities should continue to systematically categorize the plant electronic circuits by importance following a systematic process such as described in the maintenance rule (NRC 2000) or using AP-913 (INPO 2001). This provides the basis for utilities to select circuits for implementation of improved methods for monitoring the degradation of aging mechanisms in components within the circuits.
2. Initiate R&D programs to develop, test and demonstrate improved monitoring techniques, as described in this report, for potential application to circuits that are considered to be important. Utilities are already reviewing plant systems and circuits to establish their importance. Therefore, R&D programs for improved monitoring methods need to be started immediately in order for proven techniques to be available for implementation on systems and circuits that are identified to be important. Based on the information in the previous sections it is recommended that two R&D approaches would be appropriate at this time, one for safety related protection circuits and another for control circuits.
  - a. For protective circuits conduct further R&D that builds upon the existing functional testing using passive measures of the circuit conditions such as signal comparison if redundancy exists, measurement of leakage current or resistance.

- b. For control circuits conduct further R&D that includes the use of active measures beginning with simple pulses that can be used for signal comparison and grows toward more complex methods such as signature analysis, pattern recognition and frequency analysis. In this way the total R&D effort can build upon the success in the previous methods. This will significantly reduce the cost of R&D for the complex methods.
3. Initiate R&D to establish correlations between the aging measurement trends for selected monitoring techniques to the likelihood of circuit failure due to aging effects. The biggest technical difference between the techniques in Section 4 is the ability to precisely detect aging trends. The ability to develop a mathematical relationship between the measured parameters associated with the technique and the aging trend in the circuits is vital for demonstrating the technique's technical success as a predictive method. The ability to develop correlations of this type for various failure modes should be part of the engineering evaluation of any technique. The use of reliability models, the Arrhenius equation concept, and physics of failure models is expected to provide a starting point for further R&D in this area.





# 8

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# A

## APPLICATION OF AGING THEORY

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For the last three decades, MIL-HDBK-217 has been widely used to predict product reliability. In many cases the prediction model results are inaccurate when compared with subsequently measured mean time between failures in the field. One reason for the difference is because the aging theory used in the MIL-HDBK-217 and similar approaches typically assume a single long-term aging process that is related to a reaction rate. The aging process model is based on the original Arrhenius model, which is based on reaction rates in chemical mixtures. This model has been extended to the breakdown of insulation in electrical systems as the number of discharges increase over time. A temperature impact model has also been developed to address the increase in insulation breakdown at elevated temperature. The temperature model has been used to support accelerated reliability testing.

### Purpose of MIL-HDBK-217

This military standard is used to estimate the inherent reliability of electronic equipment and systems, based on component failure data. It consists of two basic prediction methods:

- **Parts-Count Analysis**—Requires relatively little information about the system and primarily uses the number of parts in each category with consideration of part quality and environments encountered. Generally, the method is applied in the early design phase, where the detailed circuit design is unknown, to obtain a preliminary estimate of system reliability.
- **Part-Stress Prediction**—Updates the initial estimate with specific models for stress-analysis, environmental conditions, quality applications, maximum ratings, complexity, temperature, construction, and a number of other application-related factors. This method tends to be used near the end of the design cycle, after the actual circuit design has been defined.

### Failure Rate Equations

The general failure rate model for a part in MIL-HDBK-217 is of the form:

$$\lambda_p = \lambda_b \bullet \pi_Q \bullet \pi_E \bullet \pi_A \bullet \bullet \bullet$$

Where:  $\lambda_b$  = the base failure rate, is described by the Arrhenius equation, and  
 $\pi_Q \pi_E \pi_A \dots$  = factors related to component quality, environment, and application stress

The Arrhenius equation illustrates the relationship between insulation breakdown rate and temperature for components. It can be derived from the observed dependence of chemical reactions on temperature changes.

$$R(t) = Ae^{-\frac{E}{\kappa \bullet T}}$$

Where: R(T) = process rate (e.g., rate of discharge increase)

E = activation energy for the process

$\kappa$  = Boltzmann's constant

T = absolute temperature

A = a constant

The activation energy for each component can vary, and example of activation energies is shown in Table A-1. This is a first order impact of the activation energy for failure mechanisms applicable to microcircuits (Livingston 2000).

**Table A-1**  
**First Order Activation Energies**

General Failure Mechanism Class	E Activation Energy Typical (eV)
Surface/Oxide	1
Charge loss (dynamic memory)	0.6
<i>Dielectric Breakdown</i>	
Field > 0.04 micron thick	0.3
Field < 0.04 micron thick	0.7
<i>Metallization</i>	
Electro migration (Aluminum, alloys and multi-layer aluminum)	0.6
Corrosion -phosphorus	0.7
Corrosion -chlorine	0.53
<i>Wafer fabrication</i>	
Chemical contamination	1

## Application of Arrhenius Equation in Aging

MIL-HDBK-217F provides measured data for each part type, such as microcircuits, transistors, resistors, and connectors. The failure rates of components that are determined by accelerated testing using a high temperature can be converted to an operational condition at a lower temperature, by using the Arrhenius equation above to adjust the failure rate to a smaller value.

The measured failure times at two different temperatures can be used to solve for the time to failure given that the time to failure at a measured temperature condition is known.

$$\ln(t_R / t_i) = \frac{E}{\kappa} \left[ \left( \frac{1}{T_R} \right) - \left( \frac{1}{T_i} \right) \right]$$

E = activation energy for the process

$\kappa$  = Boltzmann's constant

$T_i$  = absolute temperature of base case

$T_R$  = absolute temperature of prediction case

$t_i$  = measured time to failure base case

$t_R$  = measured time to failure prediction case

## **Accuracy of MIL-HDBK-217F Predictions**

The prediction techniques described in MIL-HDBK-217 for estimating system reliability are based on the Arrhenius equation, an exponentially temperature-dependent expression, which is a good predictor of aging of components. It does not address failure modes that are unique shocks or environmental challenges outside the bounds of the aging model assumptions. For examples, mechanical vibration and shock, humidity, and power on/off cycling are all independent of temperature. These are observed causes of failure. Even some temperature-related stresses, such as temperature cycling and thermal shock, would cause failures that do not follow the Arrhenius equation.

More importantly, the reliability of components in many electronic systems is improving. Consequently, component failure no longer constitutes a major reason for system failure. But, the MIL-HDBK-217 model still tells us how to predict system reliability based on part failure data.

This application has been used to develop a rule of thumb for electrical insulation – if you can reduce the operating temperature by 10°C the aging mean time between failures is increased significantly.

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# B

## DEFINITIONS OF COMPONENTS

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This appendix describes the components found on typical circuit boards using in electronic systems. The definitions will help understand the way that systems can be interrogated to monitor aging issues

### Components Found on Circuit Boards

**Capacitor** – a device for storing an electrical charge. In its simplest form a capacitor consists of two metal plates separated by a non-conducting layer called the dielectric. When one plate is charged with electricity from a direct current or electrostatic source, the other plate will have induced in it a charge of the opposite sign.

**Circuit Board** – a flat piece of nonconductive material on which computer microprocessors and other electric components are mounted and electrically connected by thin strips of metal.

**Diode** – an electronic device that allows the passage of current in only one direction. The diodes most commonly used in electronic circuits today are semiconductor diodes.

**Dynamic RAM (DRAM)** – a form of semiconductor random access memory (RAM). Dynamic RAMs store information in integrated circuits that contain capacitors. Because capacitors lose their charge over time, dynamic RAM boards must include logic to “refresh” (recharge) the RAM chips continuously. While a dynamic RAM is being refreshed, the processor cannot read it; if the processor must read the RAM while it is being refreshed, one or more wait states occur. Because their internal circuitry is simple, dynamic RAMs are more commonly used than static RAMs, even though they are slower. A dynamic RAM can hold approximately four times as much data as a static RAM chip of the same complexity.

**EPROM** – erasable programmable read-only memory. Also called reprogrammable read-only memory (RROM). EPROMs are nonvolatile memory chips that are programmed after they are manufactured. They permit hardware vendors to put variable or constantly changing code into a prototype system when the cost of producing many PROM chips would be prohibitive. EPROMs differ from PROMs in that they can be erased, generally by removing a protective cover from the top of the chip package and exposing the semiconductor material to ultraviolet light, and can be reprogrammed after having been erased.

**Integrated Circuit** – a tiny electronic circuit used to perform a specific electronic function, such as amplification; it is usually combined with other components to form a more complex system. It is formed as a single unit by diffusing impurities into single-crystal silicon, which then serves as a semiconductor material, or by etching the silicon by means of electron beams. Several hundred identical integrated circuits (ICs) are made at a time on a thin wafer several centimeters wide, and the wafer is subsequently sliced into individual ICs called chips.

**Light-emitting diodes (LEDs)** – a voltage applied to the semiconductor junction results in the emission of light energy. LEDs are used in numerical displays such as those on electronic digital watches and pocket calculators.

**Microprocessor** – an electronic ultra-large-scale integrated circuit consisting of many integrated circuits, also known as microchips or chips. They are complex electronic circuits consisting of extremely tiny components formed on a single, thin, flat piece of material known as a semiconductor. Modern microprocessors incorporate as many as 10 million transistors (which act as electronic amplifiers, oscillators, or, most commonly, switches), in addition to other components such as resistors, diodes, capacitors, and wires, all packed into an area about the size of a postage stamp.

**Oscillators** – devices that generally consist of an amplifier and some type of feedback. The output signal is fed back to the input of the amplifier. The frequency-determining elements may be a tuned inductance-capacitance circuit or a vibrating crystal. Crystal-controlled oscillators offer the highest precision and stability.

**Potentiometers** – resistors with adjustable resistance. These types of resistors are used in applications when the current needs to be adjusted or when the resistance needs to be varied, as with lights that dim or adjustable generators.

**Printed Circuit Board (PCB)** – a flat board made of non-conducting material, such as plastic or fiberglass, on which chips and other electronic components are mounted, usually in predrilled holes designed to hold them. Predefined conductive metal pathways that are printed on the surface of the board electrically connecting the holes that hold components on a printed circuit board. The metal leads protruding from the electronic components are soldered to the conductive metal pathways to form a connection. A printed circuit board should be held by the edges and protected from dirt and static electricity to avoid damage.

**PROM** – read-only memory. A type of read-only memory (ROM) that allows data to be written into the device with hardware called a PROM programmer. After a PROM has been programmed, it is dedicated to that data, and it cannot be reprogrammed. Because ROMs are cost-effective only when produced in large volumes, PROMs are used during the prototyping stage of the design. New PROMs can be created and discarded as needed until the design is perfected.

**RAM** – random access memory. RAM is semiconductor-based memory that can be read and written by a microprocessor or other hardware device. The storage locations can be accessed in any order. Note that the various types of ROM memory are capable of random access, which can be written as well as read.

**Resistor** – component of an electric circuit that resists the flow of direct or alternating electric current. Resistors can limit or divide the current, reduce the voltage, protect an electric circuit, or provide large amounts of heat or light.

**ROM** – read-only memory. ROM is semiconductor-based memory that contains instructions or data that can be read but not modified. To create a ROM chip, the designer supplies a semiconductor manufacturer with the instructions or data to be stored; the manufacturer then produces one or more chips containing those instructions or data.

**SCSI** – small computer system interface. A SCSI interface is used to connect microcomputers to peripheral devices and to other computers and local area networks. Up to seven devices, such as printers or control devices not including the computer can be attached through a single SCSI connection (port) through sequential connections. Each device has an address (priority number). Only one device at a time can transmit through the port; priority is given to the device with the highest address. A SCSI port is standard on higher computers. A SCSI interface can be installed in IBM PC and compatible computers as an expansion board. A committee of the American National Standards Institute (ANSI) defines the standards for high-speed parallel interface.

**Static RAM (SRAM)** – a form of semi conductor memory (RAM). Static RAM storage is based on a logic circuit known as a flip-flop, which retains the information stored in it as long as there is enough power to run the device. A static RAM chip can store only about one-fourth as much data as a dynamic RAM chip of the same complexity, but static RAM does not require refreshing and is usually much faster than dynamic RAM. It is also more expensive. Static RAMs are usually reserved for use in caches.

**Switching and timing circuits** – these logic circuits form the heart of any device where signals must be selected or combined in a controlled manner. Applications of these circuits include telephone switching, satellite transmissions, and digital computer operations.

**Transformer** – an electrical device usually consisting of two adjacent coils of wire wound around a single core of magnetic material. A transformer is used to couple two or more AC circuits by employing induction between the coils.

**Transistor** – a common name for a group of electronic devices based on n-p-n or p-n-p junctions used as amplifiers or oscillators in communications, control, and computer systems. Groups of transistors can be organized into integrated circuits.





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