

Nonphotochemical hole-burning studies of energy transfer dynamics
in antenna complexes of photosynthetic bacteria

by

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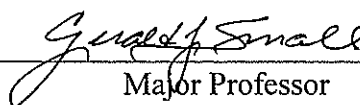
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TABLE OF CONTENTS

CHAPTER 1.	GENERAL INTRODUCTION TO BACTERIAL ANTENNA COMPLEXES	1
1.1	Thesis Organization	1
1.2	General Introduction to Photosynthesis	2
1.2.1	Historical Background	3
1.2.2	Chlorophyll and Bacteriochlorophyll	6
1.3	Light-harvesting Complexes (LHC) of Purple Bacteria	6
1.3.1	Structure of LH2 from <i>Rps. acidophila</i> (Strain 10050)	8
1.3.2	Excitonic Level Structure of the B850 Ring	14
1.3.3	Excitation Energy Transfer Dynamics	17
1.4	Antenna Complex (FMO Complex) of Green Sulfur Bacteria	21
1.4.1	Structure of FMO Complex from <i>Prosthecochloris aestuarii</i>	22
1.4.2	Energy Transfer and Spectral Dynamics of the Three Lowest Energy States	22
CHAPTER 2.	GENERAL INTRODUCTION TO NONPHOTOCHEMICAL HOLE-BURNING SPECTROSCOPY	35
2.1	General Introduction to Hole-burning Spectroscopy	35
2.2	Hole-burning Mechanism	41
2.3	Background Theories for Excitation Energy Transfer (EET)	47
2.3.1	Electron-Phonon Coupling	47
2.3.2	Single-site Absorption Profile and Absorption Spectrum	51
2.3.3	Exciton Level Structures	54
CHAPTER 3.	ENERGY TRANSFER KINETICS AND LOW ENERGY VIBRATIONAL STRUCTURE OF THE THREE LOWEST ENERGY Q _y -STATES OF THE FENNA-MATTHEWS-OLSON (FMO) ANTENNA COMPLEX	64
	Abstract	64
	Introduction	65
	Materials and Methods	68
	Results and Discussion	70
	Some general features of the hole spectra	70
	Low energy satellite hole structure	72
	Energy transfer and spectral dynamics of the three lowest energy states	83
	Conclusions	85
	Acknowledgments	87
	References	87
	Tables	90

Figure Captions	93
Figures	95
CHAPTER 4. ENERGY TRANSFER DYNAMICS IN LH2 COMPLEXES OF <i>RHODOPSEUDOMONAS ACIDOPHILA</i> CONTAINING ONLY ONE B800 MOLECULE	104
Abstract	104
Introduction	105
Experimental	108
Results and Discussion	109
Assignment of the 799.7 nm band	109
B800 zero-phonon holewidths for B800-deficient LH2	111
Mechanistic aspects of B800-B800 transfer	112
B850 excitonic structure in B800-deficient LH2	121
Conclusions and Final Remarks	123
Acknowledgments	125
References	125
Figure Captions	129
Figures	131
CHAPTER 5. GENERAL CONCLUSIONS	136
APPENDIX. 2-MODE/3-GAUSSIAN HOLE PROFILE SIMULATION PROGRAM	138
ACKNOWLEDGMENTS	144

CHAPTER 1. GENERAL INTRODUCTION TO BACTERIAL ANTENNA COMPLEXES

1.1 Thesis Organization

This thesis contains the candidate's original work on excitonic structure and energy transfer dynamics of two bacterial antenna complexes as studied using spectral hole-burning spectroscopy. The general introduction is divided into two chapters (1. and 2.). Chapter 1 provides background material on photosynthesis and bacterial antenna complexes with emphasis on the two bacterial antenna systems related to the thesis research. Chapter 2 reviews the underlying principles and mechanism of persistent nonphotochemical hole-burning (NPHB) spectroscopy. Relevant energy transfer theories are also discussed. Chapters 3 and 4 are papers by the candidate that have been published. Chapter 3 describes the application of NPHB spectroscopy to the Fenna-Matthews-Olson (FMO) complex from the green sulfur bacterium *Prosthecochloris aestuarii*; emphasis is on determination of the low energy vibrational structure that is important for understanding the energy transfer process associated within three lowest energy Q_y -states of the complex. The results are compared with those obtained earlier on the FMO complex from *Chlorobium tepidum*. In Chapter 4, the energy transfer dynamics of the B800 molecules of intact LH2 and B800-deficient LH2 complexes of the purple bacterium *Rhodospseudomonas acidophila* are compared. New insights on the additional decay channel of the B800 ring of bacteriochlorophyll a (BChl a) molecules are provided. General conclusions are given in Chapter 5. A version of the hole spectrum simulation program written by the candidate for

the FMO complex study (Chapter 3) is included as an appendix. The references for each chapter are given at the end of each chapter.

1.2 General Introduction to Photosynthesis

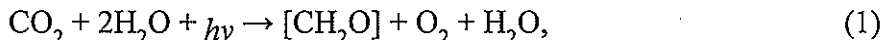
For over 200 years, research in the area of photosynthesis has been conducted to determine how photosynthetic organisms absorb solar photons and convert solar energy to chemical potential. An important motivation for this research has been to gain a fundamental understanding of the excitation energy transfer processes that occur within and between photosynthetic antenna complexes, the end result being transfer of solar energy to the reaction center complex where charge separation occurs. Chlorophyll (Chl) molecules are the most important pigments involved in light absorption and excitation energy transfer (EET). See Section 1.2.2 for Chl and bacteriochlorophyll (BChl) structures.

Fundamental studies of the light-driven processes of photosynthesis have proven indispensable in the design of artificial light harvesting and charge separation assemblies that can serve as key components in solar energy conversion technologies. The work presented in this thesis is concerned with the excitonic structure and EET dynamics of the BChl *a* $Q_y(S_1)$ -states of the light harvesting 2 (LH2) complex of the purple bacterium *Rps. acidophila* (strain 10050) and the FMO antenna complex of the green sulfur bacterium *P. aestuarii*. For the LH2 complex, focus is on the EET channels of the B800 BChl *a* molecules while for the FMO complex it is on the identification and characterization of the three lowest energy Q_y -states and the kinetics and mechanism of EET between them and the determination of the nuclear spectral density that enters into the nonadiabatic rate expression.

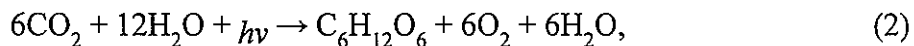
1.2.1 Historical Background

Photosynthesis is the physico-chemical process by which plants, algae and photosynthetic bacteria use light energy to drive the synthesis of organic compounds. In plants, algae and certain types of bacteria, the photosynthetic process results in the release of molecular oxygen and the removal from the atmosphere of carbon dioxide that is used to synthesize carbohydrates (oxygenic photosynthesis). Other types of bacteria use light energy to create organic compounds but do not produce oxygen (anoxygenic photosynthesis).

By the middle of the nineteenth century the key features of plant photosynthesis were known, namely, that plants could use light energy to make carbohydrates from CO_2 and water. The empirical equation representing the net reaction of photosynthesis for oxygen evolving organisms is:



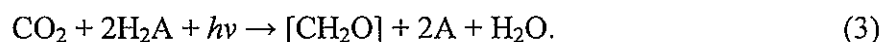
where $[\text{CH}_2\text{O}]$ represents a carbohydrate (e.g., glucose). The synthesis of carbohydrate from carbon and water requires a large input of light energy. The standard free energy for the reduction of one mole of CO_2 to the level of glucose is + 478 kJ/mol. Because glucose is often an intermediate product of photosynthesis, the net equation of photosynthesis is frequently written as:



The standard free energy for the synthesis of glucose is + 2870 kJ/mol [1].

Early scientists studying photosynthesis concluded that the O_2 released by plants came from CO_2 , which was thought to be split by light energy. In the 1930s, comparison of bacterial and plant photosynthesis lead van Niel to propose the general equation of photosynthesis that applies to plants, algae and photosynthetic bacteria [1]. Van Niel was

aware that some photosynthetic bacteria could use hydrogen sulfide (H_2S) instead of water for photosynthesis and that these organisms released sulfur instead of oxygen. Van Niel, among others, concluded that photosynthesis depends on electron donation and acceptor reactions and that the O_2 released during photosynthesis comes from the oxidation of water. Van Niel's generalized equation is:



In oxygenic photosynthesis, 2A is O_2 , whereas in anoxygenic photosynthesis, which occurs in some photosynthetic bacteria, the electron donor can be an inorganic hydrogen donor, such as H_2S , or an organic hydrogen donor, such as succinate. Experimental evidence that molecular oxygen came from water was provided by Hill and Scarisbrick [2] who demonstrated oxygen evolution in the absence of CO_2 in illuminated chloroplasts and by Ruben et al. who used ^{18}O enriched water [3].

The biochemical conversion of CO_2 and H_2O to carbohydrate is a reduction reaction that involves the rearrangement of covalent bonds between carbon, hydrogen and oxygen. The energy for the reduction of carbon is provided by energy-rich molecules that are produced by the light driven electron transfer reactions. Carbon reduction can occur in the dark and involves a series of biochemical reactions that were elucidated by Calvin, Benson and Bassham in the late 1940s and early 1950s.

In 1954, Arnon and coworkers discovered that plants use light energy to produce ATP, an organic molecule that serves as an energy source for many biochemical reactions. Also in 1954, Frenkel discovered photosynthetic bacteria are capable of producing ATP, driven by light energy [4]. During the same period, Duysens was able to show that the primary photochemical reaction of photosynthesis is an oxidation/reduction reaction that

occurs in a protein complex (the reaction center). Over the next few years the work of several groups, including those of Emerson, Kok, Duysens, Hill and Witt, combined to prove that plants, algae and cyanobacteria require two reaction centers, photosystem II and photosystem I, operating in series [5,6]. In 1961, Mitchell suggested that cells can store energy by creating an electric field or a proton gradient across a membrane [7]. Mitchell's proposal that energy is stored as an electrochemical gradient across a vesicular membrane opened the door for understanding energy transformation by membrane systems.

Most of the proteins required for the conversion of light energy and electron transfer reactions of photosynthesis are located in membranes. Despite decades of work, efforts to determine the structure of membrane bound proteins had little success. This changed in the 1980s when Deisenhofer, Michel, Huber and co-workers determined the structure of the reaction center of the purple bacterium *Rhodospseudomonas viridis* by X-ray diffraction [8-10].

It is important to emphasize that knowledge of the structure of a photosynthetic antenna complex is essential for arriving at a firm understanding of the quantum mechanics of EET since, for example, the orientations of and distances between the Chl molecules bound to the protein are required for calculation of excitonic Chl–Chl coupling energies. It is to be appreciated, however, that a structure alone is insufficient for achieving a firm understanding of EET. For instance, the excitation energies of different Chl molecules in a complex are generally not identical, either because different Chl molecules are symmetry inequivalent and/or because of the glass-like structural heterogeneity of proteins. Furthermore, calculation of EET rates requires knowledge of the nuclear spectral density associated with energy conservation. This density involves intramolecular Chl vibrations and

protein phonons. Clearly, data from a variety of frequency and time domain experiments and theoretical simulations are essential to the study of EET.

1.2.1 Chlorophylls and Bacteriochlorophylls

Plant photosynthesis is driven primarily by visible light that is absorbed by pigment molecules (mainly chlorophylls (Chl) or bacteriochlorophylls (BChl) and carotenoids). Chl *a* and Chl *b* are found in the antenna complexes of photosystem I and II of higher plants while BChl *a* and BChl *b* exist in antenna complexes of bacteria. The chemical structures of the Chl *a* and BChl *a* molecules are shown in Figure 1.1(a) and (b) (in Chl *b* and BChl *b* the CH₃ group in ring II is replaced by a CHO group). Absorption spectra of BChl *a* are shown in Figure 1.1(c). Monomeric BChl *a* in organic solvents exhibits a Q_y-absorption band around 777 nm. Typically, 200-300 pigment molecules are bound to light-harvesting protein complexes, which serve as antennae, located in the photosynthetic membrane to collect light.

The following two sections (Section 1.3 and 1.4) describe the structures and the arrangements of two aforementioned specific bacterial antenna complexes, which lead research interests of excitonic structure and EET dynamics of those antenna complexes (Chapter 3 and 4).

1.3 Light-harvesting Complexes (LHC) of Purple Bacteria

The LHC or antenna complex of purple bacteria is located in the intracytoplasmic membrane in the form of vesicular, lamellar and rod shaped structures. The fundamental structural and functional element of antenna complexes is a heterodimer of α - and β -polypeptides (apoproteins) [12]. The binding sites for BChl molecules (via the central



Figure 1.1 Structures of (a) a chlorophyll *a* and (b) a bacteriochlorophyll *a*. The phytol chain is abbreviated as R in both of the structures. The structures are distinguished, in part, by the saturation/unsaturation in ring II. Part (c) shows room temperature absorption spectra of BChl *a*. The blue spectrum is that of BChl *a* in an organic solvent. The pink and red spectra are those of a dimer and the native LH1 complex that is a cyclic array of 16 BChl *a* dimers, respectively [11].

magnesium atom) are the conserved histidine residues found in all α - and β - chains in the hydrophobic domain, which is the central part of the α - and β -polypeptides in α -helix form [12,13].

The components of the antenna complexes in purple bacteria depend on the individual types, their heterogeneous energy transfer systems and strains. *Rhodospirillum rubrum* and *Rhodopseudomonas viridis* have only one complex, B870 and B1020, respectively, where, e.g., B870 indicates BChl molecules that absorb at 870 nm at room temperature. *Rhodopseudomonas sphaeroides* has two complexes (B870, B800–850) and *Chromatium vinosum* has three complexes (B870, B800-850, B800-820) [12]. In the case of *Rhodopseudomonas (Rps.) acidophila* (strain 10050), there exist B875 and B800-850 complexes. The 32 BChl a molecules of the B875 complex (core antenna) are arranged in a circle with C_{16} symmetry that surrounds the reaction center (RC) [14]. The B875 complex is commonly referred to as the light-harvesting 1 (LH1) complex. On the other hand, the B800-850 complex (peripheral antennae) is referred to as the LH2 complex and is located peripherally to the RC-LH1 core. The concentric circular arrangement of the LH1 and LH2 complexes in a modeled bacterial photosynthetic unit (PSU) is shown in Figure 1.2.

1.3.1 Structure of LH2 from *Rps. acidophila* (Strain 10050)

Most recently, the crystal structure of the LH2 of *Rps. acidophila* (strain 10050) has been determined at 2.5 Å resolution by X-ray diffraction [17]. The α -apoprotein contains 53 amino acids and the β apoprotein has 41 amino acids. The LH2 complex is a ring of nine identical units, each unit containing α - and β - polypeptides, three BChl a molecules and at least one carotenoid. The pair of α - and β - polypeptides, which constitute a minimum

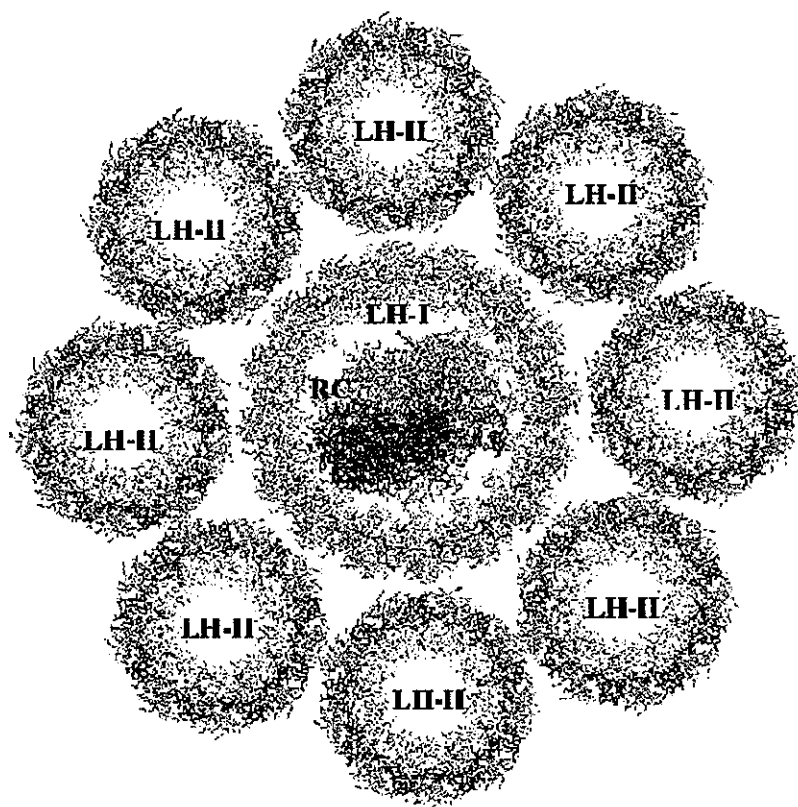
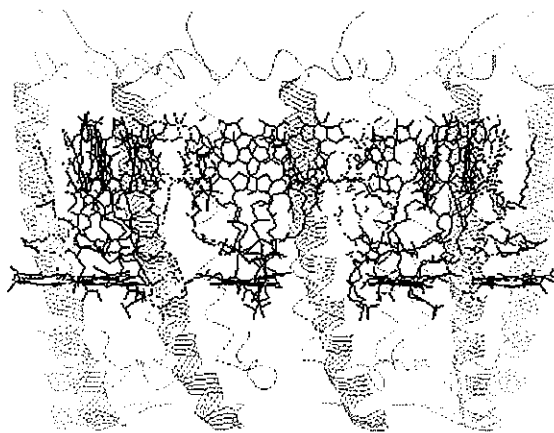


Figure 1.2 Arrangement of light-harvesting complexes in the modeled bacterial photosynthetic unit (PSU) of *Rb. sphaeroides*, in the plane of the special pair of the RC. Original picture was produced with the program VMD [15] and adapted from [16].

repeating unit that then aggregates to form the fully functional *in vivo* antenna, is called the protomer. The crystal structure shows that the active assembly consists of two concentric cylinders of helical protein subunits that enclose the pigment molecules. The transmembrane helices of nine α apoproteins are packed side by side to form a hollow cylinder and the nine helical β apoproteins are arranged radially with the α apoproteins forming an outer cylinder. See Figure 1.3(b). The α apoprotein helices are almost parallel to the nine-fold rotation axis to within 2° , whereas the β helices are inclined by 15° relative to this axis.

Within the LH2 complex, there are two rings of BChl *a* molecules, one set of 18 molecules close to the membrane surface (B850) and another set of 9 molecules in the middle of the bilayer (B800). The B850 pigment molecules are positioned vertically with respect to the membrane plane, whereas the B800 pigments lie almost parallel to the membrane plane. The BChl *a*-binding histidines of the α - (His31) and β - (His30) apoproteins face outwards and inwards, respectively, forming a complete ring of 18 overlapping BChl molecules (see Figures 1.3 and 1.4). The planes of the 18 B850 BChls are perpendicular to the membrane surface and the phytyl chains descend into the hydrophobic core of the assembly. Within a protomer, the Mg–Mg distance is 8.9 Å, and between adjacent protomers, the shortest distance is 9.6 Å. There are van der Waals contacts at the periphery of the bacteriochlorin systems. The conformations of the phytyl chains of the α and β B850 BChls differ (Figure 1.5). In the α form, the chain is slightly more extended, whereas in the β form, the chain is bent and passes parallel to the B800 ring at a distance of ~ 4.0 Å. The nine B800 BChl *a* molecules are located between the β apoproteins, with the planes of bacteriochlorins almost parallel to the presumed cytoplasmic membrane surface and about 11 Å away from it. The distance between the central Mg atoms of the B800 molecules is 21.2 Å

(a)



(b)

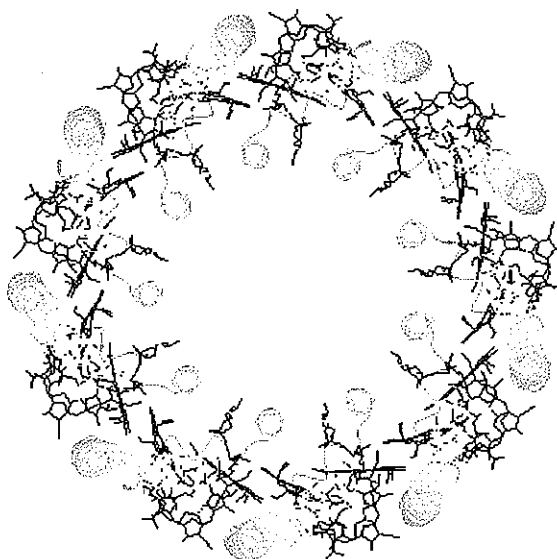


Figure 1.3 Part (a) and (b) show cyclically arranged α -, β -polypeptides of LH2 complex from *Rps. acidophila* (strain 10050) [17,18]. α -polypeptides are located on the inner circle with $\sim 18\text{\AA}$ radius, where β -polypeptides are the outer shell having $\sim 34\text{\AA}$ radius. BChls are expressed in wireframe forms, where as the rest of the proteins are in strand forms. The figures were generated using the crystallographic coordinates with the program RasMol [15].

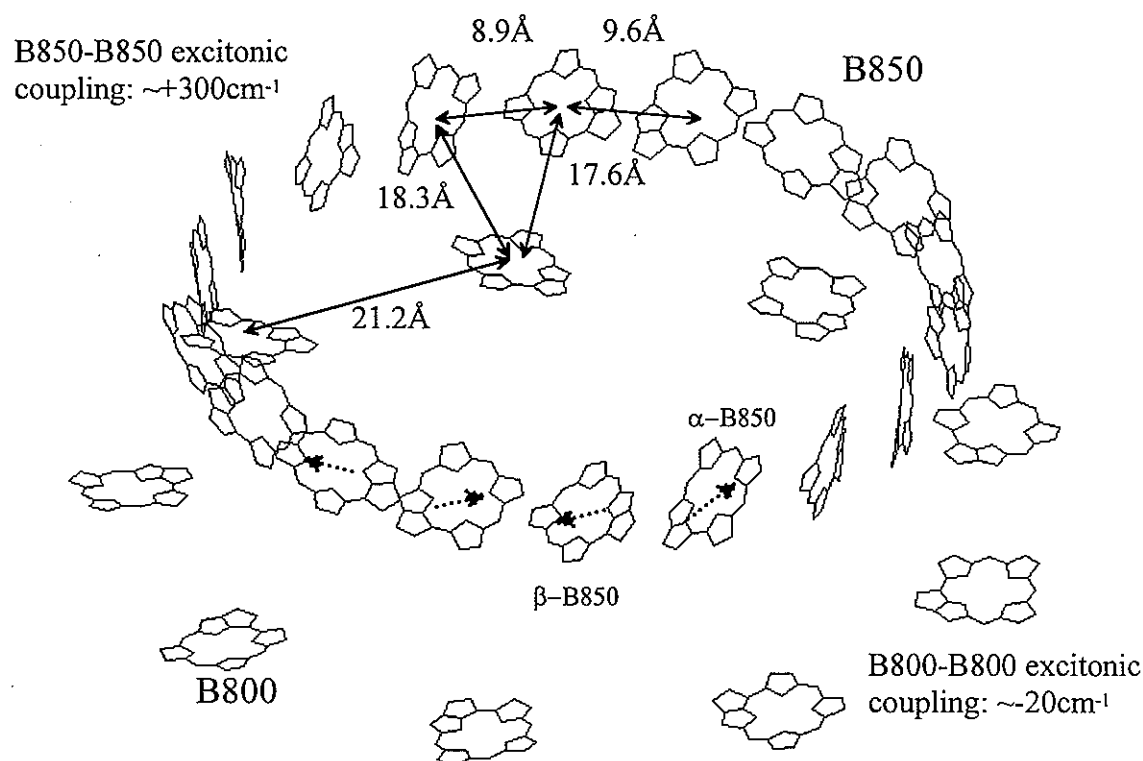


Figure 1.4 Arrangement of the 27 BChls in the LH2 complex from *Rps. acidophila* (strain 10050). All the side chains on the BChls are omitted for clarity. As shown, the upper ring contains 18 B850 molecules, while the lower ring contains nine B800 molecules. Each of the Mg-Mg distances between the BChls is presented with solid arrows. Dotted arrows are also drawn to show the directions of Q_y -transition dipole of the BChls. Excitonic coupling energies between neighboring B800-B800 molecules and between B850-B850 molecules [19,20] are also shown in the figure. The figure was modified from the one created by the RasMol program [15].

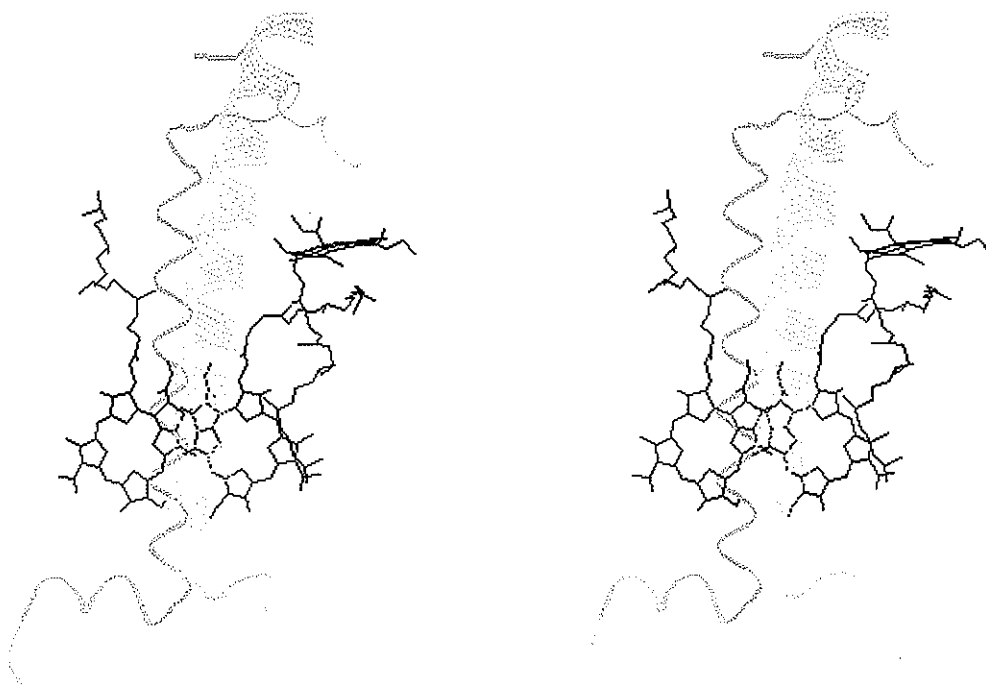


Figure 1.5 Stereographical view of the protomer of the LH2 complex from *Rps. acidophila* (strain 10050), which is the repeating unit of the 9-fold symmetry complex. The front tube represents the α -apoprotein, where as the back ribbon is the β -apoprotein. This figure is a view from the C_9 cylinder center. The BChl *a* molecule that is perpendicular to the C_9 axis is the B800 molecule. Two strongly coupled B850 molecules are located between the α - and β -polypeptides. The α -B850 molecule is in the right (red) and the β -B850 molecule is in the left (blue). The figure was created with RasMol [15].

and the distance between the closest pair of B800 and B850 molecules is 17.6 Å. Excitonic coupling between the nearest neighbor B800 molecules was determined to be $\sim -20 \text{ cm}^{-1}$, whereas the corresponding coupling between the B850 molecules on adjacent subunits is $\sim +300 \text{ cm}^{-1}$ [19,20], see Figure 1.4. Also, there are at least nine membrane-spanning carotenoids (rhodopin-glucoside), each of which span the membrane and makes close contact (less than 3.5 Å) with both the B850 and B800 BChls. Recently, an electron density map showed that the LH1 complex contains 32 B875 BChls and has a 16-fold symmetric ring of $\alpha\beta$ -heterodimers, with the RC in its center [21].

1.3.2 Excitonic Level Structure of the B850 Ring

Hamiltonian models for exciton levels of C_n cyclic ringed chromophores (dimers for the case at hand), which were utilized for simulations in Chapter 4, were developed in Refs. [22-24]. The Hamiltonian in the absence of disorder is given [23] by

$$H_0 = e \sum_{\alpha=0}^{n-1} |\alpha\rangle\langle\alpha| + \sum_{\alpha,\beta=0}^{n-1} V_{\alpha,\beta} |\alpha\rangle\langle\beta|, \quad (4)$$

where α and β denote chromophores or sites and e is the excitation energy of the chromophore. The eigenfunctions of H_0 are delocalized and determined by symmetry to be [25]

$$|j\rangle = n^{-1/2} \sum_{\alpha} B^{j\alpha*} |\alpha\rangle, \quad (5)$$

where $B = \exp(i2\pi/n)$ and $j = 0, 1, \dots, n-1$ with n the number of dimers in the ring. Then, with the nearest dimer-dimer coupling approximation, the exciton energies are given by [23]

$$E^j = e + 2V \cos(2\pi j/n), \quad (6)$$

with the nearest neighbor dimer-dimer coupling V . It can be rewritten for the energies of two exciton manifolds (lower- and upper-level of the dimer) as

$$E_l^j = e_l + 2V_l \cos(2\pi j / n) \quad (7)$$

and

$$E_u^j = e_u + 2V_u \cos(2\pi j / n), \quad (8)$$

where e_l and e_u correspond to the energies of the two levels and V_l and V_u are the nearest neighbor dimer-dimer coupling energies for the lower and upper manifolds, respectively.

The coupling between the exciton levels of different manifolds is given by

$$H_{ul}^j = 2V_{ul} \cos(2\pi j / n). \quad (9)$$

The dimer-dimer couplings V_l , V_u and V_{ul} can be determined using the monomer-monomer coupling energies [24]. Sample exciton level simulation results for the B850 ring (in the absence of energy disorder) are shown in Figure 1.6. The coupling parameters for the simulation are given in the figure caption. For $n = 9$, from the 1-dimensional irreducible representations of the C_9 group, there exist one non-degenerate level (A) and 4 degenerate levels (E_1, E_2, E_3, E_4), each corresponding to $j = 0, \{1, 8\}, \{2, 7\}, \{3, 6\}$ and $\{4, 5\}$, respectively. The lowest E_1 level carries most of the absorption intensity [19] and because of this the E_1 level is placed at the maximum of the B850 band. It should be noted that the A levels and upper E_1 level, even though the levels are symmetry allowed in absorption, are highly forbidden by the structural arrangement of the Q_y -transition dipoles. Based on simulations by Sauer et al., the lowest A level is expected to carry less than 1 % of the absorption intensity of the B850 band in the absence of energy disorder [19]. For experimental support, the zero-phonon hole action spectra reported in Ref. [26] located the

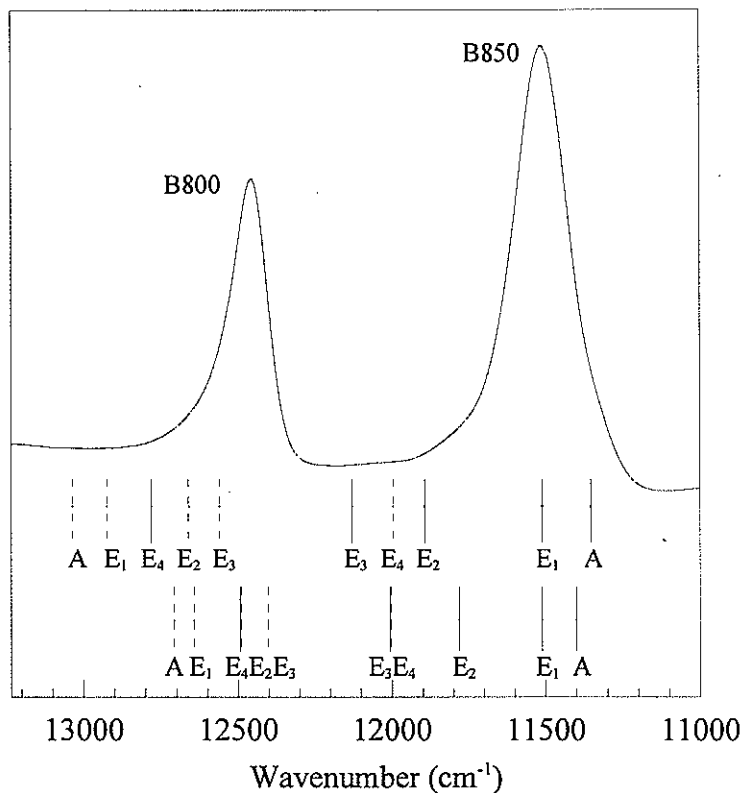


Figure 1.6 Exciton levels of the B850 ring based on simulation with the Hamiltonian defined by Eq. (4). The simulation parameter sets for $e_u - e_l$, V_l , V_u and V_{ul} are 600, -270, 170 and 200 (for upper set – the one presented in Chapter 4) and 600, -200, 100 and 130 (for lower set – given in Sauer et al. [19]), respectively, in the unit of cm^{-1} . The solid and dashed vertical lines represent exciton levels associated with the lower- and upper-manifolds, respectively. The labels A, E₁, E₂, E₃ and E₄ correspond to the irreducible representations of the C₉ group. See text.

weakly absorbing A level about 200 cm^{-1} lower in energy than the strongly allowed E1 level. Also, Stark hole burning data led to a very small dipole moment change for the A level, as predicted by theory in the absence of energy disorder due to the intrinsic structural heterogeneity of proteins [27].

1.3.3 Excitation Energy Transfer Dynamics

The direction of energy transfer within the bacterial photosynthetic unit is not random, but is guided by the energy gradient going from B800 to B850 to B875 (LH1) and then to the RC (see Figure 1.7). The large separation ($\sim 21\text{ Å}$) between the B800 BChls and concomitant weak nearest neighbor electronic coupling ($\sim -20\text{ cm}^{-1}$) as well as energy disorder due to the intrinsic structural heterogeneity of proteins indicate that the Q_y excitation of the B800 ring can be viewed as highly localized on individual BChl molecules. The results of recent spectroscopic studies of single LH2 complexes are consistent with this [29,30]. In contrast, the B850 BChls are sufficiently closely spaced ($\sim 9\text{ Å}$) that it is necessary to consider them as strongly excitonically coupled aggregates [19]. That is, the $S_1(Q_y)$ wavefunctions are significantly delocalized even when energy disorder due to protein structural heterogeneity is taken into account (see Ref. [29] and references therein). The sufficiently close distance between B800 and B850 allows $B800 \rightarrow B850$ energy transfer with $\sim 700\text{ fs}$ time constant at room temperature [31]. The time constant at 4 K is only slightly longer, $\sim 2\text{ ps}$ [32]. The Q_y transition dipole moments of neighboring B800 and B850 BChls are nearly parallel to each other, which is to say that they are optimally aligned for a Förster dipole-dipole energy transfer mechanism (modified for the excitonic level structure of the B850 ring). Once the excitation reaches a B850 molecule, the strong

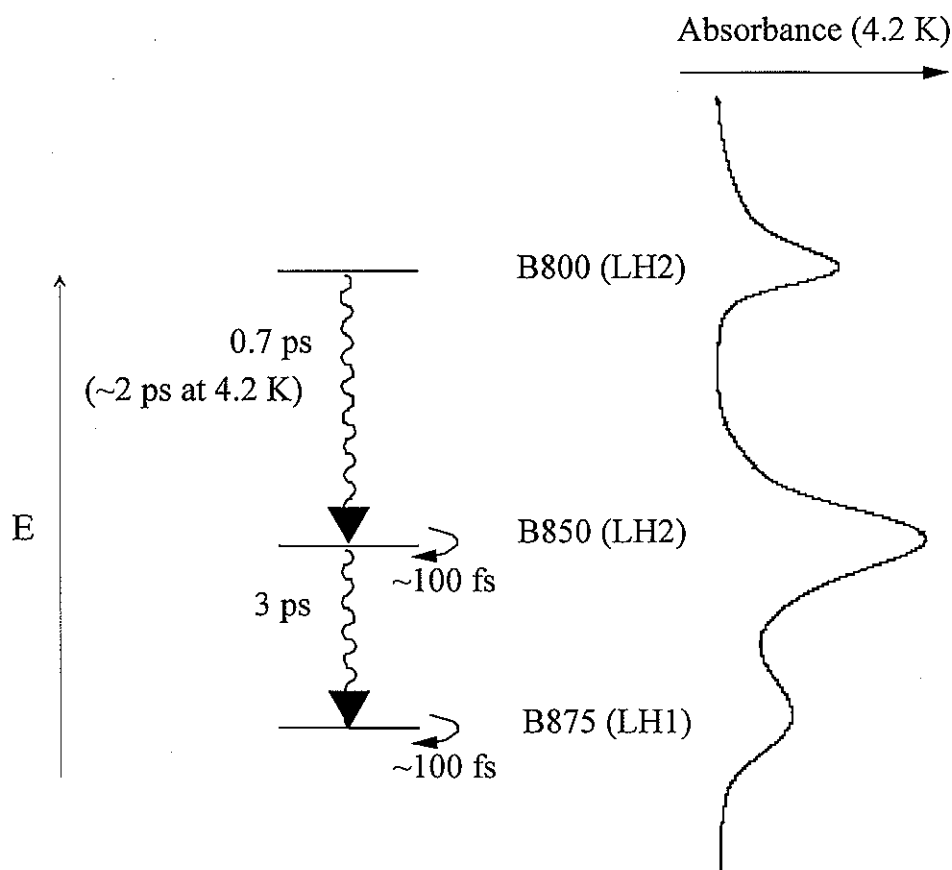


Figure 1.7 Low temperature (4.2 K) absorption spectrum of chromatophores from *Rps. acidophila* (strain 10050). At 4.2 K, the B800, B850 and B875 bands are located at ~ 804 nm, 870 nm and 905 nm, respectively. The B850 and B875 bands positions depend strongly on temperature [26], while the location of B800 band is almost temperature independent. The time constants of 0.7 and 3 ps apply respectively to the B800 → B850 and B850 → B875 energy transfer times at room temperature. The ~ 100 fs time constants correspond to inter-exciton level relaxations within the B850 and B875 rings (for a review see Ref. [28]). This figure was adapted from Ref. [46].

excitonic couplings between B850 molecules ensure that this excitation visits the other B850 BChls in about 100 – 200 fs [33,34]. The B850 excitation is available for transfer from any part of the ring to the LH1 complex. When the LH2 and LH1 (B875) rings are in close proximity, the energy can transfer to an adjacent LH1 complex, which takes place in ~ 3 ps at room temperature [35], Figure 1.7. Moreover, recent room temperature pump-probe experiment on LH1 found a time constant of ~ 100 fs for intra-LH1 energy transfer [36]. Readers who are interested in interactions and energy transfer between carotenoid and BChl molecules of LH2 should refer to the recent review by Sundström et al. [28].

Recent time-resolved experiments on variety of LH2 systems that followed the original work of Kramer et al. [37] in 1984 confirmed the presence of fast relaxation processes within the B800 band. Hess et al. reported a 300 fs intra-B800 relaxation channel based on isotropic and anisotropic decays at 77 K for LH2 of *Rb. sphaeroides* [38]. A similar 400 fs intraband relaxation process was observed for B800 of *Rps. acidophila* at 19 K [39] and ~ 1 ps anisotropy decay in the B800 band of the same bacterium at room temperature was also reported [40]. Since the energy transfer within the B800 ring is believed to be explicable in terms of the Förster equation for energy transfer (in the weak coupling limit), the B800–B800 transfer rate is predicted to be temperature dependent. As an alternative interpretation, however, Wu et al. proposed an additional decay channel of B800 (at low temperature) for the 400 fs fast relaxation process, based on the result that zero-phonon holes (ZPH) near the B800 absorption maximum and at lower energy within the B800 band exhibited almost constant holewidths, which implies that the widths are associated with B800 $\rightarrow$ B850 energy transfer, while the widths of ZPH burned on the high energy side of B800 increase as the burn frequency increases [39]. It should be noted that

this “additional” channel was also supported by low temperature pump-probe experiments [28] and recent spectroscopic studies of single complexes of *Rps. acidophila* [30]. As discussed in Chapter 4, there were several explanations considered for this channel.

B800 to B850 energy transfer processes in *Rb. sphaeroides* and *Rps. acidophila* have also been studied in detail. In both species, very similar transfer rates (~ 0.7 ps at room temperature) were reported [40,41]. In the low temperature limit, Wu et al. [39] reported the transfer times of 1.6 ± 0.2 ps and 1.9 ± 0.2 ps determined by femtosecond pump-probe and NPHB spectroscopies, respectively. Recently, quantitative Förster-type calculations of this energy transfer process were performed [20,39,42,43] using reasonable values for the Franck-Condon (FC) factors of the vibrational modes of BChl *a*. The calculations yielded a considerably longer B800 $\rightarrow$ B850 transfer time than the measured values, which suggested that there may be an additional channel for B800 $\rightarrow$ B850 relaxation. Hole-burning experiments at high pressure [39] suggested that this additional channel may be due to the interaction of excited B800 molecules with quasi-degenerate upper exciton levels of B850.

Based on the theory of Ref. [44], the following weak coupling non-adiabatic rate expression with consideration of energy disorder effect was employed for the energy transfer kinetic studies in Refs. [39,45]:

$$\langle k_{DA}(T) \rangle = 2\pi n^{-4} V^2 e^{-\sum_i S_i} (1 - e^{-S}) \times \sum_i 2FC_i \left[\frac{\exp\left\{ -(\Omega_0 - \omega_i - S\omega_m)^2 / 2(\Gamma^2 + Z^2) \right\}}{\sqrt{2\pi(\Gamma^2 + Z^2)}} \right], \quad (10)$$

where Ω_0 is the average value of the donor-acceptor energy gap, Γ^2 is the variance of the gap, V is the electronic coupling and n is the refractive index factor. Z^2 is the variance of the homogeneous broadening factor (spectral density) and is defined by

$$Z^2 = (\gamma/2)^2 + \hat{S}(\sigma^2 + \omega_m^2), \quad (11)$$

where σ^2 is the variance of the distribution of phonons. γ is the homogeneous broadening due to effects other than electron-phonon coupling, e.g. pure electronic dephasing. With the mean phonon frequency approximation, $\hat{S}$ is $S \cdot \text{ctnh}(\hbar\omega_m/2kT)$, where ω_m is the mean phonon frequency and S is the Huang-Rhys factor for the phonons, which characterizes the electron-phonon coupling strength. ω_i and FC_i are the frequency and the FC factor for the i^{th} intramolecular vibration mode, respectively. The term $(1 - e^{-\hat{S}})$ is the Franck-Condon factor for the phonons, which are taken to be delocalized. In Ref. [39], the electron-phonon coupling parameters for the B800 $S_0 \rightarrow Q_y$ optical transition were obtained (from HB spectra) as $\omega_m \sim 30 \text{ cm}^{-1}$, $S \sim 0.3$ and a phonon profile width of $\sim 30 \text{ cm}^{-1}$. Note that the homogeneous broadening, γ , for LH2 complex was estimated to be $\sim 200 \text{ cm}^{-1}$ [28]. For explanations and physical meaning of FC factors, see Chapter 2.

1.4 Antenna Complex (FMO complex) of Green Sulfur Bacteria

The phototropic green sulfur bacteria contain BChl *c* or *d* and the carotenoids chlorobactene and OH-chlorobactene as their light-harvesting pigments [47]. Early work on green sulfur bacteria focused on two aspects of their peculiar photosynthetic apparatus: chlorosomes, which contain 900-4500 BChl *c*, *d* or *e* molecules [48], and a BChl *a* protein. The water-soluble BChl *a*-protein complex, commonly referred to as FMO (Fenna-Matthews-Olsen) complex, which is located between the chlorosomes and the intramembrane antenna complex, was the first structurally resolved photosynthetic pigment-protein complex [49].

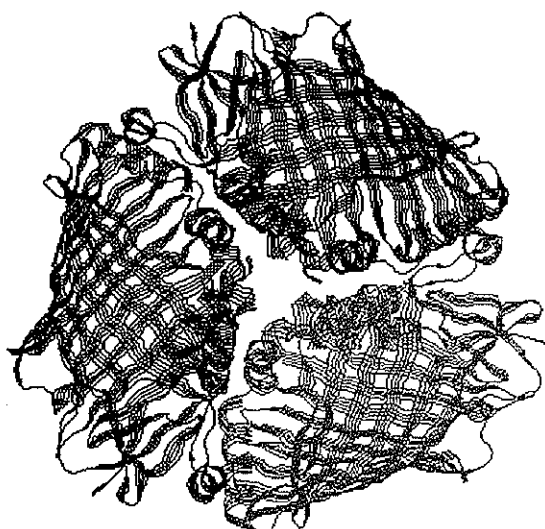
1.4.1 Structure of FMO Complex from *Prosthecochloris aestuarii*

The three-dimensional structure of the FMO complex, isolated from green sulfur bacterium *Prosthecochloris aestuarii*, was first determined by X-ray crystallography [50] (see Figures 1.8 and 1.9 for its structure). More recently, the structure was refined to 1.9 Å resolution [51] and the structure of the FMO complex from *Chlorobium tepidum* was resolved at a resolution of 2.2 Å, which revealed the same main structural features, including all pigment-binding residues, as the FMO complex from *P. aestuarii* [52]. The crystal structures revealed that the BChl *a* molecules are bound, within a cylinder of mainly β -sheet structure, in groups of 7 onto 42 kDa polypeptides that assemble as trimers. An individual FMO trimer in the crystal structure exhibits C_3 symmetry and belongs to the $P6_3$ space group, with cell dimensions $a = b = 111.9$ Å and $c = 98.6$ Å [53]. Each subunit within a trimer contains a β -sheet with 16 strands, enclosing seven pentacoordinate BChl *a* pigments. Six out of the 7 symmetry-inequivalent BChls are liganded by protein residues in the interior. BChls 1, 3, 4, 6, and 7 coordinated to a His residue and BChl 5 is coordinated to a Leu side-chain, whereas BChl 2 is liganded to water [54]. Note that the BChl numbering scheme follows the one given in Ref. [54]. The nearest-neighbor distances within one subunit vary from 11.3 Å to 14.4 Å, while the distance is about 24 Å between nearest neighbors in different subunits of the trimer.

1.4.2 Energy Transfer and Spectral Dynamics of the Three Lowest Energy State

Excitation energy transfer (EET) processes in the FMO complex occur with time constants that range between tens of ps and hundreds of ps, *vide infra*. Theoretical calculation of the rates required a firm understanding of the excitonic level structure. In this

(a)



(b)



Figure 1.8 Part (a) shows arrangement of the FMO complex trimer from *Prosthecochloris aestuarii*, which has a three-fold symmetry. In Part (b), one subunit of the FMO complex showing seven BChls enclosed within an envelope of protein is described. The figures were generated by the program RasMol [15].

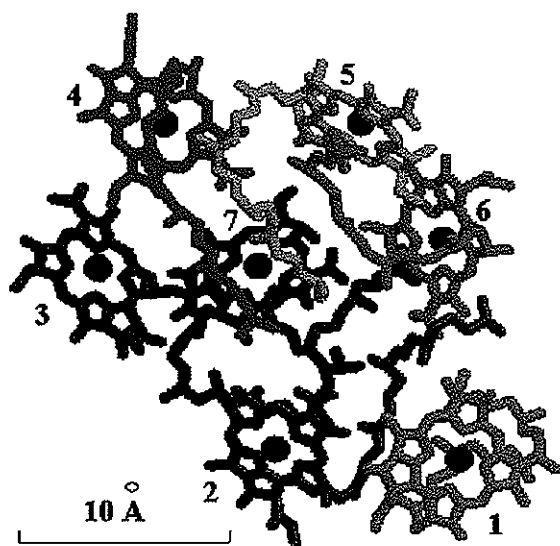


Figure 1.9 Arrangement of the 7 BChls in a subunit of FMO complex (trimer) from *Prosthecochloris aestuarii*. Blue dots shown in the center of BChls are Mg ions. The BChl numbering is given according to the scheme of Matthews and Fenna [54]. The nearest neighboring Mg–Mg distances are 11–15 Å and the phytyl chains are packed in the central space between the BChls to form a hydrophobic core. The figure was adapted from Ref. [53].

regard, progress has been hampered by the inability to accurately determine the $S_0 \rightarrow S_2(Q_y)$ excitation energies of the 7 symmetry inequivalent BChl *a* molecules. However, significant progress has been made in recent years. See below.

It has been postulated that the energy transfer between the BChl *a* molecules, spaced at an average of 12 Å, could be described on the basis of an exciton model [55]. The first simulations, in particular of the absorption and circular dichroism (CD) spectra, were performed by Pearlstein and coworkers. From the orientations of the BChl *a* molecules and of their transition moments, the pairwise dipolar interactions in the FMO complex were calculated; the strongest coupling within the subunit is about 200 cm⁻¹. It was concluded that the spectra are determined not only by the excitonic coupling between the BChls, which lead to excitonic shifts, but also by the BChls possessing different site energies due to different protein environments [56,57]. EET at room temperature of isolated FMO complexes was also studied with subpicosecond resolution. The isotropic decays were characterized by time constants ranging from 100 to 900 fs, whereas the anisotropy decays with time constants of 75–135 fs and 1.4–2.0 ps [58,59]. Later, measurements at 10 K showed that the lifetimes of the states at 804, 812, 815 and 823 nm are 0.5, 1.7, 5.5 and 37 ps, respectively [60,61]. At 10 K, these lifetimes are determined by downward EET. The time constant for the component at the very red edge of the Q_y -absorption spectrum due to the lowest energy state was also determined as >800 ps, due to decay to the ground state [61]. The Q_y -absorption spectra of the FMO complexes from *P. aestuarii* and *C. tepidum* at ~4 K are presented in Figure 1.10. Hole-burning experiments in the Q_y -absorption spectrum of the FMO complex at 4 K led to time constants for total dephasing of >20 ps in the lowest energy 825 nm band and about 100

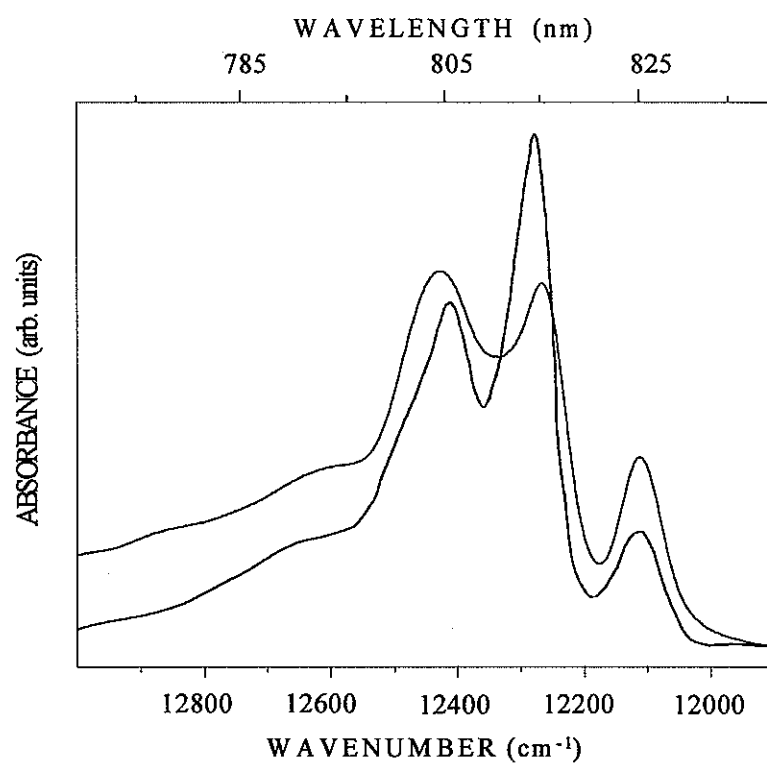


Figure 1.10 The 4.2 K Q_y-absorption spectra of the FMO complexes from *P. aestuarii* (black curve) and *C. tepidum* (blue curve).

fs for $\lambda < 820$ nm [62,63]. Also, the hole-burning studies showed the existence of at least 8 excitonic bands [63].

More recently, optical spectra of the FMO complex from *P. aestuarii* and *C. tepidum* (linear dichroism (LD), triplet–singlet absorption difference, circular dichroism (CD) and ultra-fast pump-probe) led to detailed simulations for the subunit, which used the dipolar interaction energies [64,65]. The excitonic Hamiltonian used was [66]

$$\hat{H} = \sum_j E_j |j\rangle\langle j| + \sum_{j<i} V_{ij} (|j\rangle\langle i| + |i\rangle\langle j|), \quad (12)$$

where E_j is the site energy of pigment j and V_{ij} is the dipole-dipole interaction between the i th and j th BChl. Note that the excitonic simulations assumed that only BChl–BChl interactions within a single subunit are significant. This appears to be quite reasonable since the coupling energies between BChls belonging to different subunits of the trimers are only a few cm^{-1} . Satisfactory fitting of the various spectra was achieved for both *P. aestuarii* and *C. tepidum*. The resulting excitonic energies and $S_0 \rightarrow Q_y$ dipolar strength (Debye^2) are given in Table 1.1. The last column gives the BChl(s) that contributes most significantly to the excitonic state.

From the above simulations and other results (*vide infra*), it was concluded that the 825 nm absorption band is contributed to by three trimer states which stem from the lowest energy Q_y -state of the subunit highly localized on a single BChl a molecule (most likely BChl 3) [64,67]. For perfect C_3 symmetry, there should be a doubly degenerate E state and non-degenerate A state. However, energy disorder (diagonal and/or off-diagonal) due to the glass-like structural heterogeneity of proteins would split the degeneracy of the E state and mix it with the A state. With BChl 3 responsible for the lowest energy state of the subunit,

Table 1.1 Simulated excitonic energies and dipole strengths for the FMO complexes

P. aestuarii^a

State	State Energies, cm ⁻¹ (nm)	Dipole Strength, D ²	BChl
1	12111 (825.7)	49.7	3
2	12278 (814.5)	138.8	4
3	12312 (812.2)	60.6	1
4	12410 (805.8)	100.3	6
5	12488 (800.8)	71.1	7
6	12566 (796.4)	26.7	2
7	12610 (793.0)	34.6	5

C. tepidum^b

State	State Energies, cm ⁻¹ (nm)	Dipole Strength, D ²	BChl
1	12113 (825.6)	48.9	3
2	12262 (815.5)	121.0	4
3	12355 (809.3)	79.5	1
4	12414 (805.6)	92.3	5,6
5	12448 (803.3)	59.8	7
6	12611 (793.0)	27.6	5,6
7	12649 (790.9)	52.2	2

^aFrom simulation results described in Ref. [66].^bFrom Ref. [65].

the A state is weakly absorbing in the absence of energy disorder [64,67]. Temperature dependent (1.8–10 K) non-photochemical hole-burning (NPHB) and zero-phonon hole growth kinetics measurements on *C. tepidum* showed that the 825 nm band is contributed to by 3 states at ~823, 825 and 827 nm with comparable absorption intensities and a static inhomogeneous broadenings of $\sim 50 \text{ cm}^{-1}$ [68]. The 2 nm gaps were considered to be due to strong diagonal energy disorder stemming from structural disorder.

Both the low temperature photon echo experiments on FMO complex of *P. aestuarii* [69] and the NPHB on FMO complex of *C. tepidum* [68] showed that the lifetimes of the states excited at high energy side of the 825 nm band are significantly shorter than that of the state excited at the low energy side. The lifetime values obtained by hole-burning were 117 and 37 ps for burning wavelengths equal to 823 and 825 nm [68], while that of the lowest state was ~ 2 ns. The 117 and 37 ps lifetimes were attributed to downward EET between the three lowest states. (Note that pure dephasing/spectral diffusion contribution due to the glass-like two-level systems of the protein needed to be taken into account in the interpretation of the temperature dependencies (1.8–10 K) of the ZPH holewidths. See Section 2.2 for more details on pure dephasing.)

As will be seen in Chapter 3, the hole spectra of the FMO complex exhibit unusually rich low energy satellite structure. The theoretical simulations of the hole spectra revealed that these satellite holes are due to intermolecular protein phonons and low energy intramolecular vibrations of BChl *a*. A simulated single-site absorption profile and absorption spectrum that takes into account these modes are presented in Figure 1.11. The simulation is based on the theory described in Section 2.3.2 and performed using the program presented in the Appendix. Readers interested in the simulation should refer to

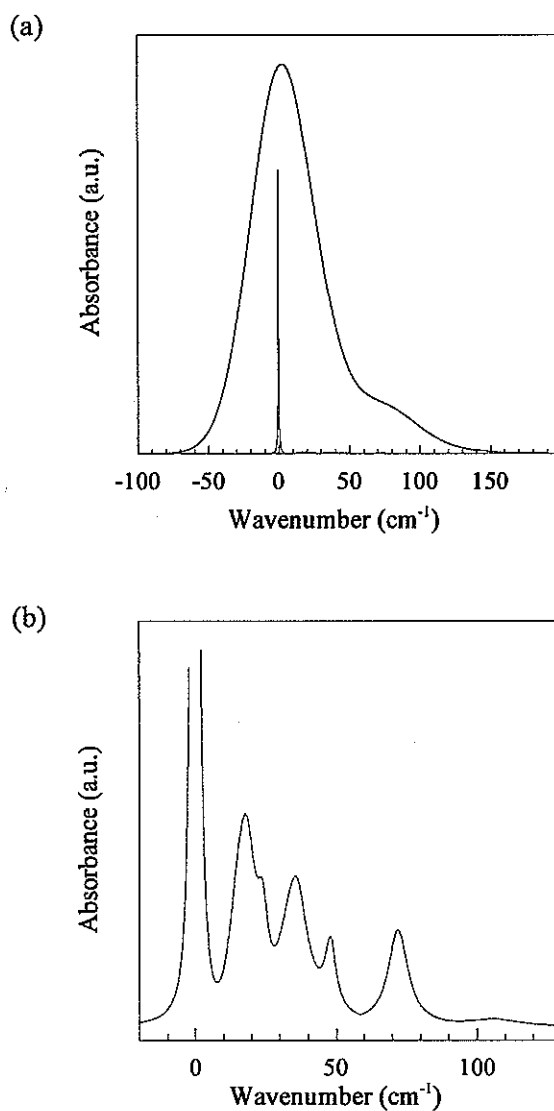


Figure 1.11 Part (a) shows the simulated single-site absorption profile (5-mode) and absorption spectrum, while Part (b) shows a magnified view of the low frequency vibrational sideband structure of the single-site profile for clarification. The simulation parameters used are $\omega\{1..5\}=18, 24, 36, 48$ and 72 cm^{-1} and $S\{1..5\}=0.24, 0.05, 0.12, 0.04$ and 0.12 , with bandwidths of 10, 5, 10, 5 and 7.5 cm^{-1} , respectively, as given in Chapter 3. $\Gamma_{\text{inh}} = 50 \text{ cm}^{-1}$ was used to generate the absorption spectrum.

corresponding sections for further discussions. The parameter values for the simulation are given in the figure caption. See Chapter 3 for more details on the low energy satellite structure.

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CHAPTER 2. GENERAL INTRODUCTION TO NONPHOTOCHEMICAL HOLE-BURNING SPECTROSCOPY

2.1 General Introduction to Hole-burning Spectroscopy

Fundamental mechanisms and applications of hole-burning spectroscopy and theories related to hole spectra are covered in this chapter. These theories are the foundation for simulations of hole spectra for the FMO complex study (Chapter 3). In addition, molecular exciton theory is briefly described to provide background on the exciton level structure calculations reported in the study of the LH2 complex (Chapter 4).

The optical absorption bands of chromophores in amorphous solid hosts such as glasses and polymers exhibit large inhomogeneous broadening. This is also the case for Chls in proteins. Such broadening is the result of a chromophore experiencing different environments, i.e. interactions between the chromophore and the host molecules gives rise to a distribution of transition frequencies [1]. A schematic illustration of this is depicted in the Figure 2.1. Typical inhomogeneous broadening of electronic absorption bands are $\Gamma_{\text{inh}} \sim 100\text{--}300 \text{ cm}^{-1}$ [2]. On the other hand, each particular chromophore carries a finite width, which is called homogeneous width (γ) and is determined by the total dephasing time (T_2) associated with the optical transition of the chromophore in its environment. The linewidth of the homogeneous broadening is given by

$$\gamma(\text{cm}^{-1}) = \frac{1}{\pi T_2 c}, \quad (1)$$

where T_2 , the total dephasing time, is defined [3] by

$$\frac{1}{T_2} = \frac{1}{2T_1} + \frac{1}{T_2^*}, \quad (2)$$

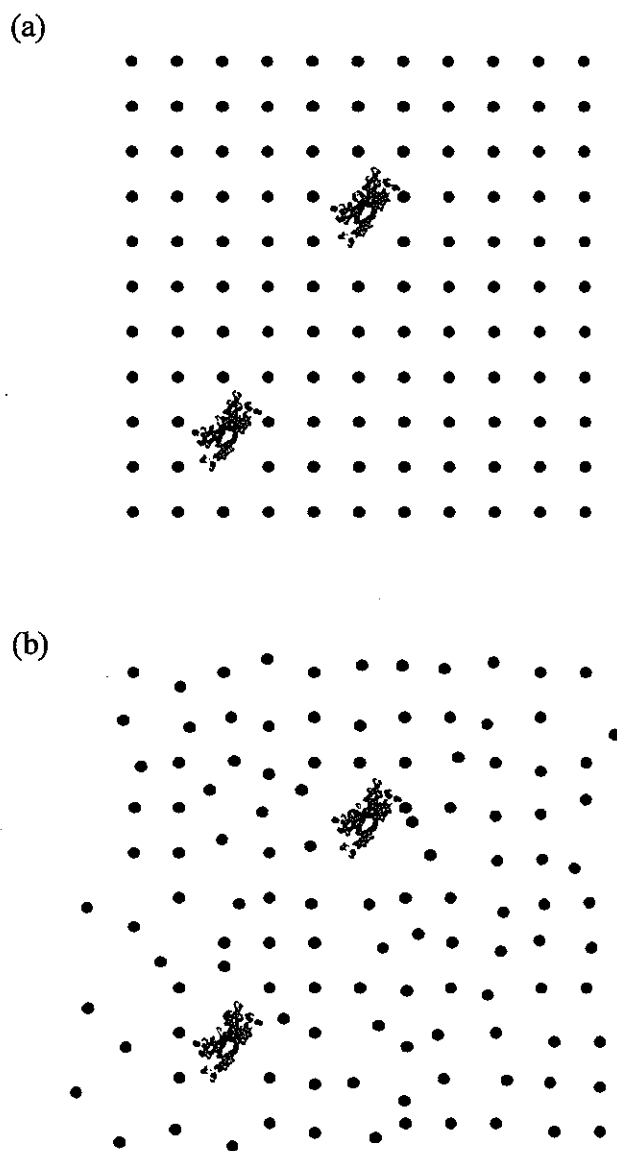


Figure 2.1 Parts (a) and (b) show schematic views of guest molecules in a perfect lattice (host) and in an amorphous lattice, respectively. In Part (a) the ZPLs appear at same transition frequency. However, in Part (b) the ZPLs appear at different frequencies, as shown in Figure 2.2.

where T_1 is the excited state lifetime of the chromophore and T_2^* is the pure dephasing time of the molecules. As seen in Eq. (2), the total optical dephasing time of a chromophore consists of two parts: (1) the excited state lifetime which is usually weakly dependent on temperature and (2) the pure dephasing time, which is due to interaction of the chromophore with the host matrix and, therefore, temperature dependent. Electronic dephasing/spectral diffusion will be briefly discussed in the following section.

Information on a specific subset of chromophores in a particular local environment is well-hidden behind other subsets of absorbers. The use of extremely narrow linewidth lasers enables selective excitation of a spectrally narrow subset of absorbers to circumvent the screening effect of inhomogeneous broadening. Up to date, two main frequency domain classes of selective excitation techniques exist: A. Fluorescence line narrowing spectroscopy (FLNS), which name is meant to indicate that fluorescence spectra obtained under selective excitation conditions can be much narrower than those obtained under non-selective excitation conditions. Description of the FLN mechanism is omitted here since the technique is out of scope of this thesis; B. Spectral hole-burning spectroscopy. Hole-burning is typically a two part process consisting of recording the absorption spectrum before and after burning with a narrow frequency laser. Burning is the result of the irradiation of the amorphous system at the hole-burning frequency ω_B . The hole is observed as a spectrally narrow area of reduced absorption in the absorption band close to ω_B . In general, no matter which mechanism of hole-burning (see Section 2.2) is employed, two conditions are mandatory for hole-burning: (1) the presence of an inhomogeneously broadened absorption band of the chromophore and (2) a persistent transition frequency change mechanism that involves the excited states of the chromophore.

Selectively exciting specific chromophores results, in part, in a zero-phonon transition. A zero-phonon line (ZPL) is an electronic transition that does not involve a change in the total number of phonons. The ZPL is accompanied by a phonon wing, which is known as a phonon side-band (PSB). The PSB arises due to (linear) electron-phonon coupling between the initial and final electronic states of the chromophore and the phonon bath of the host matrix. The ZPL and PSB together form a single site absorption profile and it, in low temperature limit, is given by [4,5]

$$L(\Omega - \nu) = \prod_{j=1}^N \sum_{R=0}^{\infty} \frac{e^{-S_j} S_j^R}{R!} l_R(\Omega - \nu - R\omega_j), \quad (3)$$

in harmonic approximation, where ν is a ZPL frequency, l is the line shape function and j corresponds to the discrete pseudolocalized or localized phonons and intramolecular vibrational modes. S_j is the Huang-Rhys factor, which is a measure of the electron-phonon coupling strength, and ω_j is the center frequency of one-phonon profile with respect to the ZPL of the j^{th} mode. For $R = 0$, L is the lineshape of the ZPL with a homogeneous width, γ . Values of R ($R = 1, 2, 3 \dots$) correspond to one-, two-, three- ... phonon profiles, which for $R \geq 1$, are given by the convolution of the one-phonon profile with itself R times. Convolution of a single-site absorption profile with a Gaussian shaped site-distribution function (SDF), whose mean is at ν_m , gives the inhomogeneously broadened absorption spectrum,

$$A(\Omega) = \int d\nu N(\nu - \nu_m) L(\Omega - \nu), \quad (4)$$

where $N(\nu - \nu_m)$ is the probability function of finding a site with a zero-phonon transition frequency at ν . Figure 2.2 schematically shows an inhomogeneously broadened absorption band and single site absorption profiles, each consisting of a Lorentzian-shaped ZPL and an accompanying PSB.

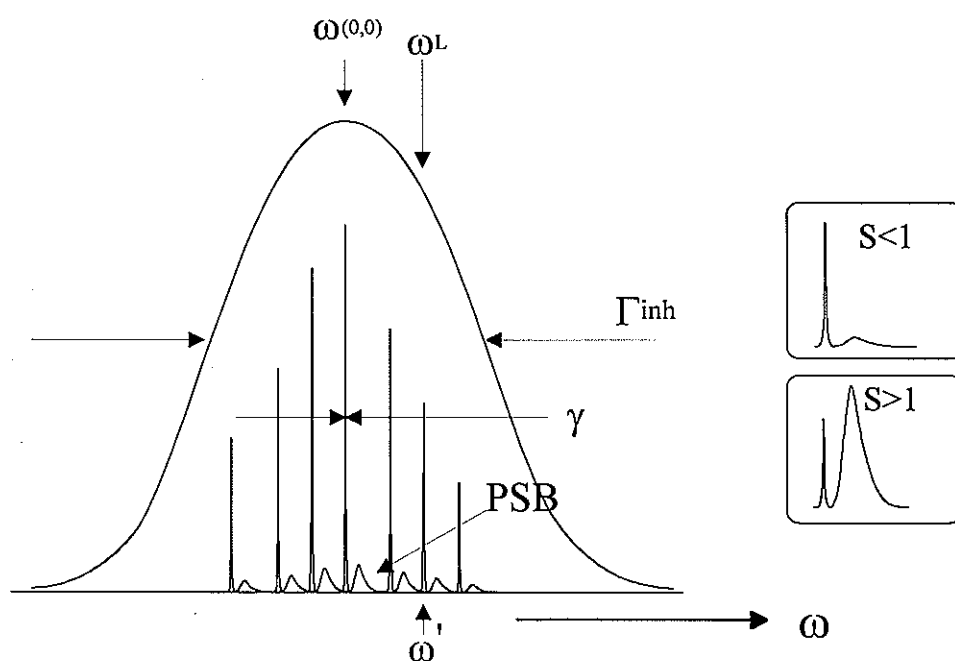


Figure 2.2 Diagram of homogeneously (γ) and inhomogeneously (Γ_{inh}) broadened absorption bands. The ZPLs and their phonon side bands are enlarged for clarification. ω_L is the laser frequency for selective excitation of a narrow isochromat. The windows at the right describe the schematic difference of the relative ZPL/PSB structures with respect to Huang-Rhys factor (electron-phonon coupling strength). Strong and weak coupling are defined by $S > 1$ and $S < 1$, respectively.

In a hole-burning experiment, the reduction of specific chromophores forms a zero-phonon hole (ZPH) and an accompanying phonon-sideband hole (PSBH). The width of the ZPL can be obtained from the ZPH width, Γ_h , and it is given by

$$\Gamma_h = 2\gamma. \quad (5)$$

Note that lasers applied in the candidate's experiments had a linewidth that was typically more than ten times narrower than Γ_h . The hole profile at burning time τ is derived from $A_\tau(\Omega) - A_0(\Omega)$ where $A_\tau(\Omega)$ and $A_0(\Omega)$ stand for the absorption spectrum after and before burning, respectively (see section 2.3.2 for details).

Spectral hole-burning has been applied to photosynthetic protein-pigment complexes over the past decade [6-9]. The width of the ZPH, which coincides with the burn frequency (ω_B), was utilized to determine lifetimes of excited states, which corresponds to the inverse rate of electron transfer (ET) or excitation energy transfer (EET), as well as to resolve closely spaced exciton levels. Pure dephasing of Q_y -optical transitions due to coupling with bistable configurations of the protein (in two-level system) can also be determined, see Section 2.2. Moreover, the linear electron-phonon coupling can be completely determined [7,9]. According to hole-burning theory [6], the Huang-Rhys factor, S , is obtainable from the integrated intensity of the real-PSBH divided by that of ZPH at λ_B (burning wavelength). However, with nonphotochemical hole-burning, the blue-shifted anti-hole, usually from the pseudo-PSBH, interferes with the real-PSBH. S can also be determined using the fact that the saturated fractional depth of the ZPH is equal to $\exp(-S)$, which is the Franck-Condon factor for the ZPL [10,11]. Normally, intense ZPLs are observed for weak electron-phonon coupling ($S < 1$) cases (see Figure 2.2). Most of the hole-burning studies of the photosynthetic complexes exhibit weak electron-phonon coupling for antennae [6,8,9]. The

hole spectra of bacterial antenna complexes often show low-frequency vibrational modes, in addition to those characteristic of weak electron-phonon coupling, as seen in Chapter 3.

Spectral hole-burning also provides valuable information about inhomogeneous broadening. In an action spectrum, the ZPHs are burned under constant burn fluency conditions across the absorption band of interest [12,13]. Assuming that the nonphotochemical hole-burning (NPHB) efficiency is independent of the burn fluency, the packets of ZPHs describe the inhomogeneously broadened absorption band.

Nonphotochemical ZPH action spectroscopy has often been used to probe weakly absorbing states that contribute to an absorption band (see Chapter 4). In hole-burning, because of the inherent amorphous structural disorder of the chromophores, the action spectrum reveals the extent of heterogeneity, which is responsible for site inhomogeneous broadening, Γ_{inh} , of an individual absorption band. Γ_{inh} 's of chlorophylls and bacteriochlorophylls in antenna pigment-protein complexes and reaction centers are found to be in the range of $\sim 50 - 200$ cm^{-1} [6-8].

More recently, hole-burning techniques combined with high-pressure and/or external electric (Stark) fields have been applied to some photosynthetic antenna complexes to determine the pressure shift rates and the permanent dipole moment changes associated with the $S_0 \rightarrow S_1(Q_y)$ state. Readers interested in the recent spectral hole-burning studies with external fields effects on the photosynthetic complexes should refer to Refs. [14-18].

2.2 Hole-burning Mechanisms

There are three mechanisms for hole-burning spectroscopy [6]. All three have in common a process that leads to an absorption decrease at the frequency (ω_B) of the absorbed

photon. The three types of spectroscopies are referred to as photochemical hole-burning (PHB), nonphotochemical hole-burning (NPHB) and population bottleneck hole-burning, which is not described in this section. PHB can be observed for both amorphous and crystalline hosts and it involves a photoreaction of the chromophore in its excited state, such as tautomerization, bond-breaking, and isomerization. PHB was first observed for free base phthalocyanine in n-octane, whereby selective electronic excitation resulted in an intramolecular proton tautomerization [19].

NPHB, on the other hand, does not require the absorbing chromophore to be photoreactive. Nonphotochemical processes result from a “permanent” change in the environment around the chromophore following completion of the optical excitation cycle. As the host configurations are required to be changed in the case of NPHB, NPHB is generally restricted to glass, polymer and protein hosts, with a few exceptions [9]. NPHB was first observed for perylene and for 9-aminoacridine in an ethanol glass [20]. In NPHB, laser excitation of a guest molecule results in a rearrangement of the microenvironment surrounding the guest molecule. NPHB mechanism is favored in amorphous systems because of their inherent structural disorder that allows for reconfiguration of host-guest interactions. NPHB is a reversible process, i.e. the hole can be filled, e.g. by an increase of temperature. The absorption of the photophysical product, known as an antihole, is formed within the original inhomogeneous absorption.

Hayes and Small [21], using a two-level system (TLS) model, first proposed a mechanism for NPHB in 1978. The schematic diagram is described in Figure 2.3. This model premised that amorphous systems consist of bistable host-guest configurations (TLS) that are described by a static distribution of TLS [6,22,23]. As depicted in Figure 2.3,

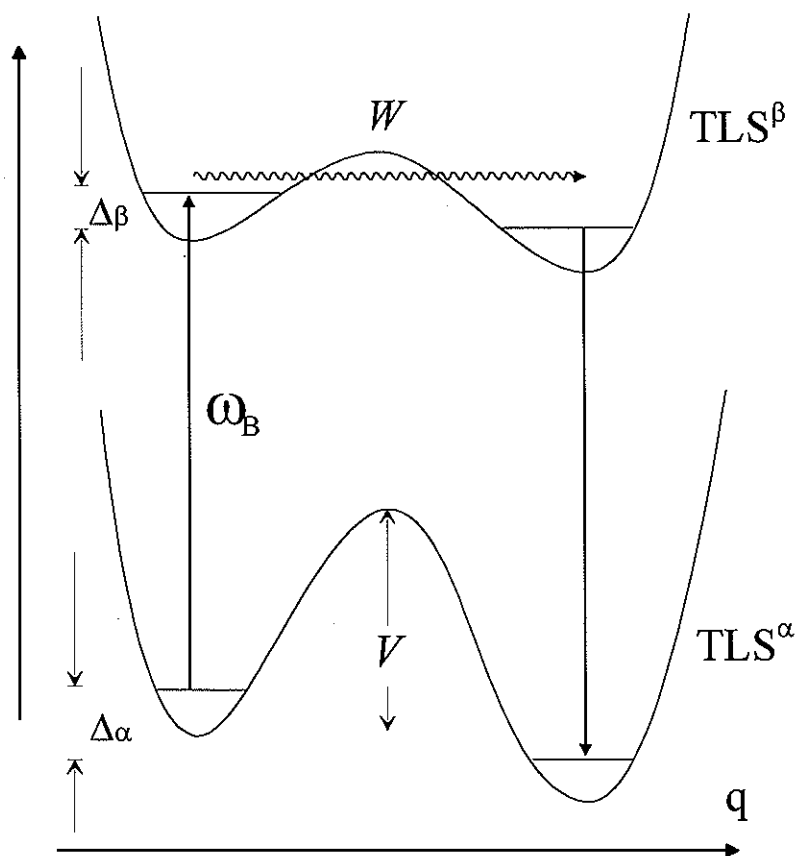


Figure 2.3 Diagram of TLSs coupled to a guest molecule in the ground state (α) and in the excited state (β). $\Delta\alpha$ and $\Delta\beta$ are asymmetry parameters and q represents the intermolecular coordinates. V is the amount of energy barrier and W ($=\omega_0\exp(-\lambda)$) is the tunneling frequency. ω_B stands for the excitation frequency. See text for details.

excitation at the frequency ω_B is followed by a tunneling process in the excited state, which is typically ~ 1000 times more favored than in the ground state, and finally relaxation to the ground state on the right. The tunneling frequency $W = \omega_0 \exp(-\lambda)$, where λ is the tunnel parameter equals to $d(2mV)^{1/2}/\hbar$, V is a barrier height, m is the effective mass of the tunneling entity and ω_0 is the harmonic frequency. Since the TLS are asymmetric double well potentials, the new absorption frequency is different, leading to the absence of absorption at ω_B . In 1981, Hayes et al. [24] suggested that two types of TLS are involved in NPHB. The extrinsic TLSs (TLS_{ext}) were supposed to be strongly associated with the absorbing centers and are responsible for initiation of hole formation. The intrinsic TLSs (TLS_{int}) of the host are connected with the excess free volume of glasses and were proposed to cause optical dephasing [9,21]. The chromophore (guest) initiates TLS_{ext} that are associated with the chromophore and its inner shell of solvent molecules. NPHB occurs primarily in amorphous matrices due to a rearrangement of the host environment, triggered by the electron- TLS_{ext} coupling. It is optical excitation of the chromophore that generates the phonon-assisted tunneling processes that lead to the hole formation. The rate-determining step for hole formation is the excited state phonon-assisted tunneling of TLS_{ext} .

Later, it was proposed [25] that NPHB is the result of a hierarchy of configurational tunneling events that begin in the outer shell and involve the faster relaxing TLS_{int} , and terminate in the inner shell. This “outside-in” hierarchy of events causes the reduction of excess free volume in the outer shell (due to TLS_{int} tunneling) and leads to an increase in the inner shell free volume about the chromophore (see Figure 2.4 for scheme). NPHB in the inner shell is then the result of prior relaxation events that allow for hole-burning in the limit of $T \rightarrow 0$ K. Moreover, the increase in the free volume for the probe in its inner shell of host

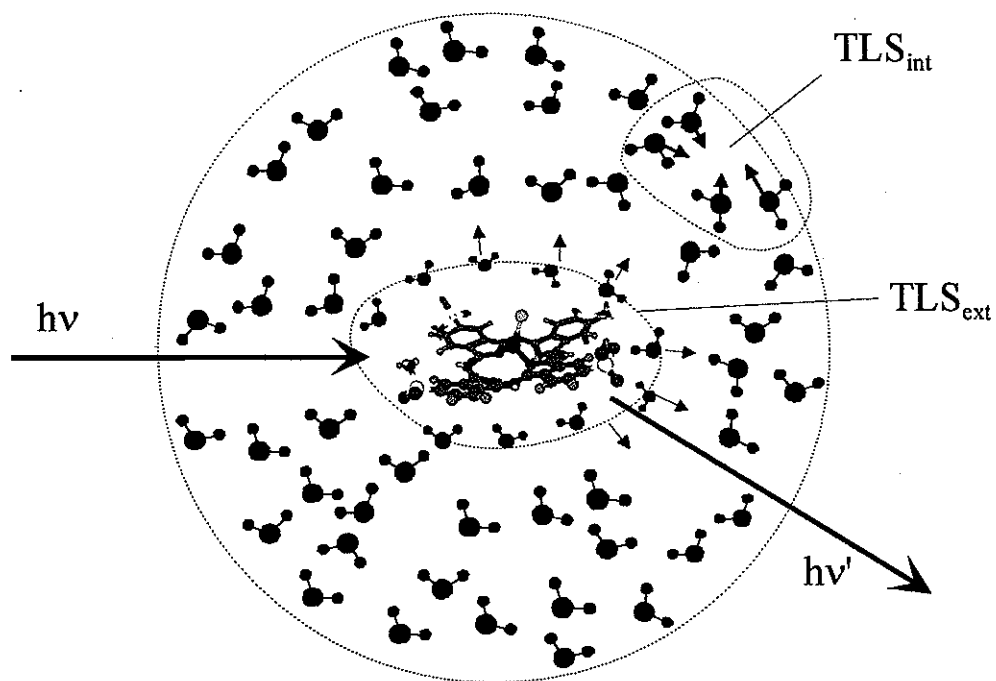


Figure 2.4 Schematic view of NPHB mechanism. See text for discussion. Original figure was prepared by Dr. Tonu Reinot (Dept. of Chem., Iowa State University).

molecules explains the blue-shifted antihole associated with $^1\pi\pi^*$ states since such states exhibit a red shift in going from the gas to condensed phase.

Experimentally, spectral holes are measured in fluorescence excitation mode or transmission mode. Both of methods were utilized for this thesis study; detailed experimental setups can be found in the Experimental sections of Chapter 3 and 4. The theory for dispersive hole growth kinetics of laser dyes in glasses was developed in Ref. [26]. More recently, it was refined for the Al-phthalocyanine tetrasulfonate in hyperquenched glassy water (APT/HGW) system [27], the result being

$$D(\Omega, t) = 1.5 \int d\omega L(\Omega - \omega) G(\omega) \int d\lambda f(\lambda) \int d\alpha \sin \alpha \cos^2 \alpha \left(e^{-P\sigma\phi(\lambda)L(\omega_B - \omega)\cos^2 \alpha} \right), \quad (6)$$

which is the fractional hole depth at frequency Ω following a burn for time t with photon flux P , where ω is ZPL frequency, $L(\Omega - \omega)$ is the single site absorption spectrum, $G(\omega)$ is the site excitation energy distribution function, σ is the integrated absorption cross-section and $f(\lambda)$ is the normalized Gaussian distribution function for the TLS_{ext} tunnel parameter, centered at λ_0 . The NPHB quantum yield, $\phi(\lambda)$, is given by

$$\phi(\lambda) = \Omega_0 \exp(-2\lambda) [\Omega_0 \exp(-2\lambda) + \tau_f]^{-1}, \quad (7)$$

where τ_f is the fluorescence lifetime. For a detailed discussion, the reader should refer to Ref. [27]. Moreover, as screening processes in NPHB, spontaneous hole filling (SPHF) [28] and light-induced hole filling (LIHF) [29] also need to be considered for more precise hole burning rate analysis. Besides the above factors, the temperature dependence of electronic dephasing in glasses, which can be given by the Jackson-Silbey expression [30] as

$$\Gamma - \Gamma_0 = \alpha T^\alpha + b_1 \bar{n}(\omega_1) + b_2 \bar{n}(\omega_2), \quad (8)$$

where Γ is half the measured holewidth and Γ_0 is the lifetime limited width of the ZPL, has been studied thoroughly. $\bar{n} = [\exp(\hbar\omega/kT) - 1]^{-1}$ is the phonon thermal occupation number. αT^α describes dephasing from electron-TLS_{int} coupling and the remaining two terms on the right-hand side of Eq. (8) are from exchange coupling of pseudolocalized modes. For a variety of probe molecules in organic glasses, the values of α are ≈ 1.3 [3,22,31,32]. These dephasing studies characterize the quadratic electron-phonon coupling, whereas aforementioned measurements of the frequencies and intensities of the phonons characterize the linear electron-phonon coupling. More recent results of the spectral diffusion in organic glasses are described in Refs. [33,34].

The reader interested in the most recent NPHB mechanism involving multilevel extrinsic systems (MLS_{ext}) should refer to the recent review by Reinot et. al [35].

2.3 Background Theories for Excitation Energy Transfer (EET)

2.3.1 Electron-Phonon Coupling

For the candidate's study, electron-phonon coupling is one of the central terms. This coupling determines the Franck-Condon factors that enter into the nonadiabatic rate expression for EET / electron transfer. Marcus [36-38], Jortner [39] and Hopfield [40] have developed nonadiabatic rate expressions for weak electronic coupling. The rate of a transition from an initial state I to a final state F is given by the Fermi golden rule [41]

$$W_{I \rightarrow F} = \frac{2\pi}{\hbar} V^2 (FC), \quad (9)$$

where V is the transition matrix element between the two states and FC denotes the spectral density that is determined by Franck-Condon factors. In the harmonic approximation, the

reorganization energy (Λ), the amount of energy required to move the system from an initial equilibrium coordinate x_A to a final coordinate x_B is given by

$$\Lambda = V_F(x_A) - V_I(x_A) + \Delta E = \frac{k_H}{2}(x_A - x_B)^2. \quad (10)$$

The Huang-Rhys factor, S , which is a measure of the electron-phonon coupling strength, is $\Lambda/\hbar\omega$, thus

$$S = \frac{k_H}{2\hbar\omega}(x_A - x_B)^2, \quad (11)$$

where ω is the angular vibrational frequency. See Fig. 2.5.

The overlap integral, $C(n, n')$, between the vibrational state n' in F and its corresponding state n in I, defines the probability of the transition from state I to F and is given by

$$C^2(n, n') = \left[\int \psi_n(x) \psi_{n'}(x) dx \right]^2, \quad (12)$$

where $\psi_n(x)$ is the vibrational wavefunction for state n and x is the oscillator coordinate.

$C^2(n, n')$ is the Franck-Condon factor. Then, the FC factor for the final state n' is given by

$$FC = \sum_{n=0}^{\infty} C^2(n, n') F(n, T), \quad (13)$$

where $F(n, T)$ is the fraction of systems in state n at temperature T and is given by a

Boltzman distribution. The exact expression for $C^2(n, n')$ in the case of $n' = n + p$ has been derived in [42] and it is

$$C^2(n, n + p) = n!(n + p)! S^p e^{-S} \left[\sum_{R=0}^n \frac{(-S)^R}{R!(n - R)!(p + R)!} \right]. \quad (14)$$

In the low temperature limit ($T \rightarrow 0$ K), $n = 0$ and resulting in

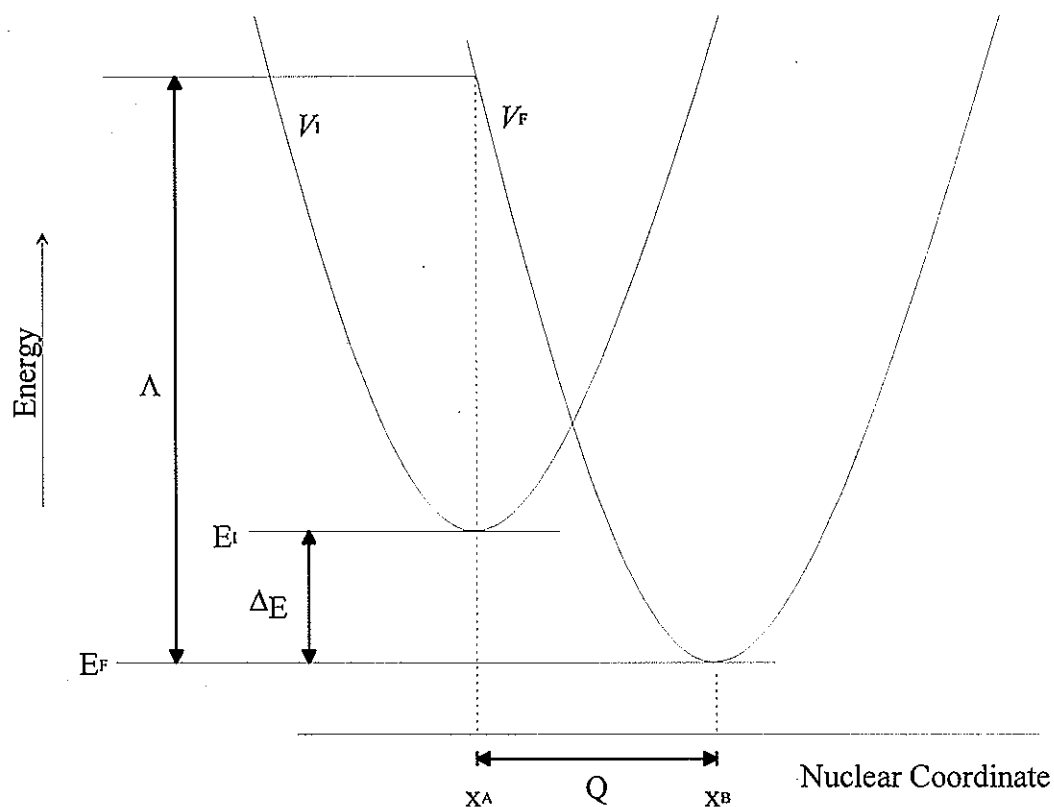


Figure 2.5 Scheme of the nuclear motions for a non-adiabatic energy transfer. Nuclear potential curves V_I and V_F correspond to donor and acceptor states, respectively. Note that the reorganization energy, Λ , is required to displace the coordinate of the system from x_A to x_B . See text for more discussion.

$$C^2(0, p) = \frac{S^p e^{-S}}{p!}. \quad (15)$$

Since $F(n=0, T \rightarrow 0 \text{ K}) = 1$ in the low temperature limit, then substitution of Eq. (15) into Eq. (13) yields

$$\text{FC} = \frac{S^p e^{-S}}{p!}. \quad (16)$$

As indicated by Eq. (16), the Franck-Condon factors for phonons (intermolecular modes) follow a simple Poisson distribution formula. (Note that Eq.(16) also applies to intramolecular harmonic vibrations.) The Franck-Condon factor for a collection of oscillators has been determined [43,44] and is given below [45]. For a single oscillator,

$$\text{FC} = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \exp[-S(2\bar{n}+1) - (\bar{n}+1)e^{i\omega t} - \bar{n}e^{-i\omega t} - i\Delta E t / \hbar] dt, \quad (17)$$

where

$$\bar{n} = \frac{1}{\exp(\hbar\omega / kT) - 1}. \quad (18)$$

$\bar{n}$ is the average vibrational quantum number at thermal equilibrium. By a Taylor series expansion of $e^{\pm i\omega t}$ terms, the general formula for the Franck-Condon factor for multiple oscillators is given as [4,46]

$$\text{FC} = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} f(t) e^{-i\Delta E t / \hbar} dt, \quad (19)$$

where

$$f(t) = \exp[G_+(t) + G_-(t) - (G_+(0) + G_-(0))], \quad (20)$$

$$G_+(t) = \sum_j S_j (\bar{n}_j + 1) \exp(+i\omega_j t) \quad (21)$$

and

$$G_{-}(t) = \sum_j S_j \bar{n}_j \exp(-i\omega_j t). \quad (22)$$

Note that $G_{+}(t)$ and $G_{-}(t)$ represent absorption and emission of vibrational quanta at time t , respectively. j indicates the modes in the multiple oscillator system. By combining Eq. (19), (20), (21) and (22) with integration over t , the Franck-Condon factor for arbitrary temperature has been derived [5,46] as

$$\text{FC} = e^{-\sum_j S_j (2\bar{n}_j + 1)} \prod_j \sum_p \sum_{p'=0}^p \frac{[S_j (\bar{n}_j + 1)]^{p-p'} [S_j \bar{n}_j]^{p'}}{(p-p')! p'!} \delta\left(-\frac{\Delta E}{\hbar} + \sum_j \omega_j (p - 2p')\right), \quad (23)$$

where j describes here the discrete intramolecular modes. Detailed derivation of Eq. (23) is discussed in Refs. [4,46].

2.3.2 Single-site Absorption Profile and Absorption Spectrum

For this thesis study, experimental hole spectra were used to determine the energy levels. To that end, the single site absorption profile must be known. Original hole spectra formulations (using different approach) were performed in Refs. [10,46]. In this section, the derivation of the single site absorption profile as well as the absorption spectrum will be discussed.

Based on the Fermi golden rule, the absorption spectrum is given by [47]

$$\sigma(\Omega) = \frac{4\pi^2 \Omega}{\hbar c} \sum_n \sum_{n'} |\langle j, n' | \mu | i, n \rangle|^2 \delta(\Omega - \Delta E / \hbar) \quad (24)$$

for an absorption from the initial electronic state i to the final state j (n and n' correspond to the vibrational levels of the initial and final state, respectively), where $\Delta E = E_{j,n'} - E_{i,n}$ and μ represents the transition dipole moment operator. In the Condon approximation,

$$|\langle j, n' | \mu | i, n \rangle|^2 = |\langle j | \mu | i \rangle|^2 |\langle n' | n \rangle|^2 = |\langle j | \mu | i \rangle|^2 C^2(n, n'), \quad (25)$$

Eq. (24) is then [5,46]

$$\sigma(\Omega) = \frac{4\pi^2\Omega}{\hbar c} \sum_n \sum_{n'} |\langle j | \mu | i \rangle|^2 C^2(n, n') \delta_{n',n}(\Omega - \Delta E / \hbar). \quad (26)$$

As discussed in Section 2.3.1, in the low temperature limit ($T \rightarrow 0$ K), $n \rightarrow 0$ and $n' = n + p \rightarrow p$. Therefore, the Franck-Condon factor of the transition converges into simple Poisson formula (see Eq. (16)), which leads to

$$\sigma(\Omega) = \frac{4\pi^2\Omega}{\hbar c} |\langle j | \mu | i \rangle|^2 \sum_{p=0}^{\infty} \frac{S^p e^{-S}}{p!} \delta_p(\Omega - \Delta E / \hbar). \quad (27)$$

By replacing the delta function by a line shape function (l) and defining $\nu = \Delta E / \hbar$ as the center of the Lorentzian, the single-mode absorption spectrum is

$$\sigma(\Omega - \nu) = \frac{4\pi^2}{\hbar c} |\langle j | \mu | i \rangle|^2 \sum_{p=0}^{\infty} \frac{S^p e^{-S}}{p!} l_p(\Omega - \nu - p\omega_m), \quad (28)$$

where ω_m is the mean phonon frequency and the p -phonon transition is centered at $\nu + p\omega_m$ (the one-phonon transition is centered at $\nu + \omega_m$ and the zero-phonon transition at ν). The p -phonon lineshape profile, l_p , is the result of convolution of one-phonon profile (l_1) p -times with itself. The absorption spectrum can be written as

$$\sigma(\Omega - \nu) \equiv \sigma_{\text{int}} L(\Omega - \nu), \quad (29)$$

with $L(\Omega)$ is normalized to unity. σ_{int} is the integral absorption cross-section and $L(\Omega)$ is the single site absorption profile. Thus, in the low temperature limit [10,47],

$$L(\Omega - \nu) = \sum_{p=0}^{\infty} \frac{S^p e^{-S}}{p!} l_p(\Omega - \nu - p\omega_m). \quad (30)$$

Several studies have extended it for the multi-mode single site profile [4,5,48 and references therein] as

$$L(\Omega - \nu) = \prod_{j=1}^N \sum_{p=0}^{\infty} \frac{e^{-S_j} S_j^p}{p!} l_p(\Omega - \nu - p\omega_j), \quad (31)$$

where j corresponds to the discrete phonon modes.

Because the absorption spectrum for a distribution of absorbing centers can be written as an integral of the single-site absorption profiles over the distribution of ZPL frequencies,

$$A_0(\Omega) = \int d\nu N_0(\nu - \nu_m) L(\Omega - \nu), \quad (32)$$

where subscript 0 indicates the time 0, i.e., pre-burn absorption. $N_0(\nu - \nu_m)$ stands for the Gaussian distribution of the ZPL frequencies (often referred as a site distribution function, SDF), centered at ν_m . Substitution of the single site profile in Eq. (32) leads to

$$A_0(\Omega) = \prod_{j=1}^N \sum_{p=0}^{\infty} \frac{e^{-S_j} S_j^p}{p!} \int d\nu N_0(\nu - \nu_m) l_p(\Omega - \nu - p\omega_j). \quad (33)$$

The site distribution function after a burn (time τ) is then defined [10] as

$$N_\tau(\nu - \nu_m) = N_0(\nu - \nu_m) e^{-P\phi\sigma\tau L(\omega_B - \nu)}, \quad (34)$$

where P , ϕ and σ are photon flux, hole-burning quantum yield and optical cross section parameters, respectively. Then, the absorption spectrum following hole-burning at a frequency ω_B for a time τ can be written as

$$\begin{aligned} A_\tau(\Omega) &= \int d\nu N_\tau(\nu - \nu_m) L(\Omega - \nu) \\ &= \prod_{j=1}^N \sum_{p=0}^{\infty} \frac{e^{-S_j} S_j^p}{p!} \int d\nu N_0(\nu - \nu_m) e^{-P\phi\sigma\tau L(\omega_B - \nu)} l_p(\Omega - \nu - p\omega_j) \end{aligned} \quad (35)$$

Note that Eq. (35) neglects the photoproduct absorption. A detailed investigation of hole shape and the influence of the photoproduct is given in Ref. [23]. For arbitrary temperatures, the FC factor needs be substituted by Eq. (23). As in the derivation of Eq. (28), the delta function is replaced by a line shape function and, by following the same procedure as above, the single site absorption profile for the arbitrary temperature results in

$$L(\Omega - \nu) = e^{-\sum_j S_j(2\bar{n}_j+1)} \prod_j \sum_p \sum_{p'=0}^p \frac{[S_j(\bar{n}_j+1)]^{p-p'} [S_j\bar{n}_j]^{p'}}{(p-p')! p'!} l_{p,p'}(\Omega - \nu - \omega_j(p-2p')). \quad (36)$$

Then, the absorption spectrum for the arbitrary temperature is given by

$$A_\tau(\Omega) = e^{-\sum_j S_j(2\bar{n}_j+1)} \prod_j \sum_p \sum_{p'=0}^p \frac{[S_j(\bar{n}_j+1)]^{p-p'} [S_j\bar{n}_j]^{p'}}{(p-p')! p'!} \times \int d\nu N_0(\nu - \nu_m) e^{-P\phi\sigma\tau L(\omega_B - \nu)} l_{p,p'}(\Omega - \nu - \omega_j(p-2p')) \quad (37)$$

This formula was utilized for the simulations in Ref. [49] and Chapter 3. Note that in the hole profile simulation program (see Appendix), an asymmetric phonon profile ($l_{p,p'}$) is employed which has a Gaussian shape on the low-energy side and a Lorentzian shape on the higher-energy side. The hole spectrum is the difference between the after-burn absorption spectrum at time τ , $A_\tau(\Omega)$, and pre-burn spectrum, $A_0(\Omega)$.

2.3.3 Exciton Level Structures

Researchers interested in the excited electronic states of photosynthetic antenna complexes have recently utilized exciton theory to calculate band structures. The following is a review of a Frenkel exciton theory, first within the static lattice approximation, i.e. the exciton-phonon coupling is neglected, as well as the effects of energy disorder. For detailed

discussion on the effects of energy disorder (diagonal or off-diagonal), the reader can consult Ref. [14,51,52].

Energy states of an isolated molecule are described with the eigenfunctions φ^i and the energies E^i :

$$H\varphi^i = E^i\varphi^i, \quad (38)$$

where i corresponds to the ground state (g) or excited state (e) of the molecule. For a dimer of identical molecules with the monomers interacting through the intermolecular potential V , the total energy for the system in the ground state can be written as

$$\langle \psi^g | H_1 + H_2 + V | \psi^g \rangle = 2E^g + V_{00}, \quad (39)$$

where

$$\psi^g = \varphi_1^g \varphi_2^g \quad (40)$$

and V_{00} is van der Waals interaction (or dispersion energy) for the ground state. In contrast, the wavefunction for the excited dimer can be described as

$$\Psi_{\pm} = c_1^e \psi_1^e + c_2^e \psi_2^e = c_1^e \varphi_1^e \varphi_2^g + c_2^e \varphi_1^g \varphi_2^e, \quad (41)$$

where coefficients c_1 and c_2 are normalized and orthogonal. Therefore, the energies of the excited dimer states are then given by

$$\langle \Psi_{\pm} | H_1 + H_2 + V | \Psi_{\pm} \rangle = E^g + E^e + V_{11} \pm V_{12}, \quad (42)$$

where V_{11} is dispersion energy for the excited dimer and V_{12} is resonance energy integral:

$$\begin{aligned} V_{11} &= \langle \psi_1^e | V | \psi_1^e \rangle = \langle \psi_2^e | V | \psi_2^e \rangle \equiv V_{22} \\ V_{12} &= \langle \psi_1^e | V | \psi_2^e \rangle = \langle \psi_2^e | V | \psi_1^e \rangle \equiv V_{21} \end{aligned} \quad (43)$$

The dimer levels are split by $2V_{12}$ (Davydov splitting or exciton splitting) [53]. Also, the average energy of these two levels has been shifted with respect to the ground state by an

amount of $(V_{11} - V_{00})$, which is sometimes referred to as displacement energy and usually leads to a red shift of the absorption band. For discussion of inequivalent site energies, consult Section 3.1 in Chapter 2 of Ref. [54]. Note that selective excitation of either of the spectrally well-resolved dimer state ($\Psi_{\pm}$) is necessary for creation of delocalized dimer states. The excitation eventually needs to be localized on one of the two monomers for excitation energy transfer to occur.

The excitons in molecular crystal (or aggregate) can easily be described by extending the above treatment. For a system consisting of n molecules, in analogous to dimer system, the wavefunction for excitation energy localized on molecule α is given by

$$\psi_{\alpha}^e = \varphi_{\alpha}^e \prod_{\beta \neq \alpha, \beta=0}^{n-1} \varphi_{\beta}^g. \quad (44)$$

The delocalized aggregate states are linear combinations of the ψ_{α}^e 's. The Hamiltonian for the exciton states is

$$H = \sum_{\alpha} H_{\alpha} + \frac{1}{2} \sum_{\alpha, \beta} V_{\alpha\beta}, \quad (45)$$

where $V_{\alpha\beta}$ is the interaction between molecules α and β and H_{α} is the Hamiltonian of the α^{th} molecule which is defined by

$$H_{\alpha} \varphi_{\alpha}^e = \varepsilon^e \varphi_{\alpha}^e. \quad (46)$$

For general solution to Eq. (45) and more details, see Ref. [53]. The Hamiltonian for a linear aggregate has been diagonalized and the expressions for the exciton wavefunctions can be found in Ref. [55]. The excited energy levels are split by $\sim 4V_{\alpha\beta}$ for linear or circular aggregates.

In order to consider exciton-phonon coupling, molecular displacements from equilibrium positions need to be considered. One starts with the excitonic Hamiltonian Ref. [53]

$$H_{ex}(\underline{R}) = \sum_n \left[\left(E + \sum_m D_{nm}(\underline{R}) \right) B_n^+ B_n + \sum_m M_{nm}(\underline{R}) B_m^+ B_n \right], \quad (47)$$

where matrix element D describes the interaction between the n^{th} excited molecule and all other ground state molecules and M is responsible for excitation transfer from the n^{th} molecule to the m^{th} molecule (resonance-energy transfer). E is the excitation energy of an isolated molecule and the operators B_n^+ and B_n are the creation and annihilation operators for the n^{th} site. As seen above, H_{ex} is dependent on the lattice configuration, $\underline{R}$, which is introduced by the matrix elements D and M . Expanding by a power series, to displace $\underline{R}$, relative to the equilibrium position of the molecules ($\underline{R} = 0$) leads to

$$H_{ex}(\underline{R}) = H_{ex}(0) + H_{ex-ph}^{(1)} + H_{ex-ph}^{(2)}, \quad (48)$$

where non-linear terms are neglected. The Hamiltonians for linear exciton-phonon coupling are given by

$$H_{ex-ph}^{(1)} = \sum_n \sum_m B_m^+ B_n \sum_\alpha \left[R_n^\alpha \left(\frac{\partial M_{nm}}{\partial R_n^\alpha} \right)_0 + R_m^\alpha \left(\frac{\partial M_{nm}}{\partial R_m^\alpha} \right)_0 \right] \quad (49)$$

and

$$H_{ex-ph}^{(2)} = \sum_n \sum_m B_m^+ B_n \sum_\alpha \left[R_n^\alpha \left(\frac{\partial D_{nm}}{\partial R_n^\alpha} \right)_0 + R_m^\alpha \left(\frac{\partial D_{nm}}{\partial R_m^\alpha} \right)_0 \right], \quad (50)$$

where α denotes the six degrees of freedom of the molecules (3 for translation and 3 for rotation). The subscript 0 designates evaluation at the ground state configuration. M

represents the resonance-energy transfer matrix element between two molecules and, thus, $H^{(1)}$ is responsible for the elastic and inelastic scattering of the excitons by phonons [14].

On the other hand, $H^{(2)}$ is associated with the dispersion term D . $H^{(2)}$ describes lattice distortion produced by electronic excitation. Since the excited and ground state molecules have different forces with their neighbors, excitation often causes displacement of molecules to new equilibrium positions. From Eqs. (47), (48) and (50), the linear term of the matrix element D , which describes the distortion, can be written as

$$D_{nm}(1) = \sum_{\alpha} \left[R_n^{\alpha} \left(\frac{\partial D_{nm}}{\partial R_n^{\alpha}} \right)_0 + R_m^{\alpha} \left(\frac{\partial D_{nm}}{\partial R_m^{\alpha}} \right)_0 \right]. \quad (51)$$

For a dimer system, D and A ,

$$D(1) = \sum_{\alpha} \left[R_D^{\alpha} \left(\frac{\partial D_{DA}}{\partial R_D^{\alpha}} \right)_0 + R_A^{\alpha} \left(\frac{\partial D_{DA}}{\partial R_A^{\alpha}} \right)_0 \right]. \quad (52)$$

If one considers a dimer system, the displacement of molecules can be expressed in terms of ground-state phonon coordinates [50]:

$$D(1) = \sum_p Q_p \left(\frac{\partial D_{DA}}{\partial Q_p} \right)_0, \quad (53)$$

where Q_p is the phonon coordinate for mode p and it can be conveniently expressed in terms of creation and annihilation operators [50] as

$$Q_p = \left(\frac{\hbar}{2\omega_p} \right)^{1/2} (b + b^{\dagger}). \quad (54)$$

Derivations and further treatment of the energy transfer matrix element have been discussed in Ref. [50,53]. Also, for discussion about inter-exciton level relaxation process, the reader can refer to Ref. [54].

Hamiltonian models for exciton levels of C_n cyclic (ringed) chromophore arrays, which were utilized for simulations in Chapter 4, were developed in Refs. [18,52]. The Hamiltonian in the absence of disorder was given [18] as

$$H_O = e \sum_{\alpha=0}^{n-1} |\alpha\rangle\langle\alpha| + \sum_{\alpha,\beta=0}^{n-1} V_{\alpha,\beta} |\alpha\rangle\langle\beta|, \quad (55)$$

where e is the excitation energy of chromophore and α and β denote its sites. Then, in the nearest dimer-dimer coupling approximation, the exciton level energies were derived [18] as

$$E_l^j = e_l + 2V_l \cos(2\pi j / n) \quad (56)$$

for lower manifold and

$$E_u^j = e_u + 2V_u \cos(2\pi j / n) \quad (57)$$

for upper manifold, where $j = 0, 1, \dots, n-1$ with n the number of dimers in the ring, e_l and e_u are the energies of the two levels of the basic dimer and V_l and V_u are the nearest neighbor dimer-dimer coupling energies for the lower and upper manifolds, respectively. The coupling between the respective exciton levels of two manifolds was obtained as

$$H_{ul}^j = 2V_{ul} \cos(2\pi j / n). \quad (58)$$

The dimer-dimer couplings V_l , V_u and V_{ul} can be determined using the monomer-monomer coupling energies [51]. The Hamiltonian in the presence of disorder can be written [51] as

$$H = H_0 + H_\lambda + H_v, \quad (59)$$

where H_λ and H_v govern the diagonal and off-diagonal disorder, respectively, and are defined by

$$H_\lambda = \sum_{\alpha} \lambda_{\alpha} |\alpha\rangle\langle\alpha| \quad (60)$$

and

$$H_v = \sum_{\alpha} v_{\alpha} (|\alpha\rangle\langle\alpha+1| + |\alpha+1\rangle\langle\alpha|), \quad (61)$$

where λ_{α} is the diagonal energy defect at site α and v_{α} is the defect associated with coupling between sites α and $\alpha + 1$. For H_{λ} , the coupling between the delocalized levels r and s is given by

$$\langle r | H_{\lambda} | s \rangle = \frac{1}{n} \sum_{\alpha} \lambda_{\alpha} B^{(r-s)\alpha}, \quad (62)$$

where

$$B = e^{i2\pi/n}. \quad (63)$$

The energy defect patterns can be calculated using superpositions of basic defect patterns (BDP). The BDP for a C_9 -array are given in Ref. [51]. For further discussion of symmetry-adapted BDP for analysis of energy disorder effects on cyclic excitonic systems see Appendix of Ref. [14].

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CHAPTER 3. ENERGY TRANSFER KINETICS AND LOW ENERGY VIBRATIONAL STRUCTURE OF THE THREE LOWEST ENERGY Q_Y-STATES OF THE FENNA-MATTHEWS-OLSON ANTENNA COMPLEX

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Abstract

Burn wavelength (λ_B)-dependent nonphotochemical hole spectra are reported for the lowest energy Q_y-absorption band of the Fenna-Matthews-Olson (FMO) trimer complex from *Prosthecochloris aestuarii*. This band at 825 nm is contributed to by three states that stem from the lowest energy state of the subunit of the trimer. The spectra reveal unusually rich and quite sharp low energy satellite structure that consists of holes at 18, 24, 36, 48, 72, 120 and 165 cm⁻¹ as measured relative to the resonant hole at λ_B . The possibility that some of these holes are due to correlated downward energy transfer from the two higher energy states that contribute to the 825 nm band could be rejected. Thus, the FMO complex is yet another example of a photosynthetic complex for which structural heterogeneity results in distributions for the values of the energy gaps between Q_y-states. The results of theoretical simulations of the hole spectra are consistent with the above holes being due to intermolecular phonons and low energy intramolecular vibrations of the bacteriochlorophyll *a* (BChl *a*) molecule. The 36 cm⁻¹ and higher energy modes are most likely due to the intramolecular BChl *a* modes. The simulations lead to the determination of the Huang-Rhys (S) factor for all modes. They range between 0.05 and 0.25 in value. The temperature

dependencies of the spectral dynamics for the three contributing states are similar to those reported for the FMO complex from *Chlorobium tepidum* (Rätsep et al., *J. Phys. Chem. B* **2000**, 103, 5736). The contribution to the dynamics from pure dephasing/spectral diffusion due to the glass-like two-level systems of the protein is identical for all three states. The lifetimes of the highest and intermediate energy Q_y -states due to downward energy transfer are 26 and 99 ps, respectively, at liquid helium temperatures.

Introduction

The Fenna-Matthews-Olson (FMO) bacteriochlorophyll *a* (BChl *a*) antenna complex of the green sulfur bacterium *Prosthecochloris aestuarii* was the first photosynthetic complex whose structure was determined by X-ray diffraction [1]. The structure led to many studies of its $Q_y(S_1)$ -electronic structure and excitation energy transfer (EET) dynamics (for reviews see Refs. [2,3]). The complex is a C_3 trimer of subunits, each containing seven symmetry inequivalent BChl *a* molecules. Nearest neighbor Mg...Mg distances within the subunit are ≈ 11 -14 Å with the largest pairwise excitonic couplings in the ≈ 50 -200 cm^{-1} range (calculated using point monopoles with a dielectric constant, ϵ , of 1 [4]). The largest coupling between BChl *a* molecules belonging to different subunits is $\approx 15 \text{ cm}^{-1}$. Recently, the X-ray structure of the FMO complex from *Chlorobium tepidum* was determined [5]. The relative orientations of the BChl *a* molecules and the distances between them are very similar to those of *P. aestuarii* although there are some potentially significant differences in H-bonding and BChl *a*-residue distances that may contribute to the differences between the 4.2 K Q_y -absorption spectra of *P. aestuarii* and *Cb. tepidum* seen in Figure 1.

Pearlstein and co-workers were the first to perform excitonic calculations on the FMO complex of *P. aestuarii*. In the end, Pearlstein concluded that it is necessary to include the full trimer, rather than just the subunit, to best explain the low temperature absorption and CD spectra [4]. Consideration of the trimer was stimulated by the 4.2 K hole burning results of Johnson and Small [6] which showed that the 825 nm absorption band (see Figure 1) is contributed to by at least two states. van Mourik et al. [7] reached the same conclusion based on polarized triplet-singlet difference spectra. Concerning the states of the subunit, Pearlstein found that they are mini-excitons with excitation primarily on 2-3 BChl *a* molecules. The mini-exciton picture also emerged from the calculations of Gülen [8] and Louwe et al. [9]. There is general agreement that the 825 nm absorption band is due to three trimer states which stem from the lowest energy Q_y -state of the subunit that is mainly localized on a single BChl *a* molecule. This molecule is most likely BChl 3 [9] or 6 [8] according to the numbering scheme of Ref. [1]. For perfect C_3 symmetry, there is a doubly degenerate E state and non-degenerate A state that are polarized perpendicular and parallel to the C_3 -axis, respectively. Energy disorder due to structural heterogeneity results in removal of the degeneracy of the E state and mixing of the A and E states. Based on the results of Gülen [8] and Louwe et al. [9], it is most likely that the A state is weakly absorbing relative to the E state in the absence of energy disorder. However, which of these two states lies lowest in energy is an unsettled question.

Further evidence for the 825 nm band being contributed to by three states came from the low temperature accumulated photon echo experiments of Louwe and Aartsma [10] on the FMO complex of *P. aestuarii* and the non-photochemical hole burning experiments of Rätsep et al. [11] on the FMO complex of *Cb. tepidum*. Both works showed that the

lifetimes of states excited at the high energy side and maximum of the 825 nm band are significantly shorter than that of the state excited at the low energy side which is 2 ns, about the value expected for the lifetime of an isolated BChl *a* molecule. The hole burning data led to lifetime values of 117 and 37 ps for λ_B (burn wavelength) equal to 825 and 823 nm, respectively, which are similar to those reported by Louwe and Aartsma. Both groups concluded that these two lifetimes are determined by downward excitation energy transfer (EET). Based on fitting of the 825 nm absorption band of *Cb. tepidum*, Rätsep et al. [11] suggested that it is contributed to by the absorption bands of three states located near 823, 825, and 827 nm, with each band carrying a static inhomogeneous broadening of about 50 cm⁻¹ and the same intensity. This suggests that one may be in the strong energy disorder limit. However, the results of van Mourik et al. [7] argue against this limit.

Presented here are non-photochemical hole burning (NPHB) data and spectra for the FMO complex of *P. aestuarii*. There were two main objectives of the experiments. The first was to elucidate the excitation energy transfer (EET) and spectral dynamics of the three trimer states that contribute to the 825 nm band and compare them with those of *Cb. tepidum*. The results show that the kinetics for downward EET from the two higher energy states are similar in the two species as is the temperature dependence (1.8 – 10 K) of the spectral dynamics due to the two-level systems (TLS) of the protein. The second objective was to obtain more [12] detailed spectra that report on the unusual and rich *low* energy satellite hole structure observed when the burn wavelength (λ_B) is tuned from the low to high energy side of the 825 nm band. The energies of the satellite holes, as measured relative to the resonant zero-phonon hole at λ_B , are in the range 18 to ≈ 120 cm⁻¹. The results lead to two possible interpretations for the satellite structure: that it is due to pseudo-phonon sideband holes,

and/or to correlated downward EET from higher to lower energy states. It is emphasized that both interpretations are relevant to the interpretation of the EET kinetics. It is concluded that the first interpretation is correct and that the satellite structure is due to both intermolecular phonons and very low frequency intramolecular modes of BChl *a*.

Materials and Methods

Samples of the isolated FMO complex from *P. aestuarii* were kindly provided by Professor T. Aartsma at Leiden University. The green sulfur bacterium was grown in a mixed culture as described in [13]. The protein was isolated and purified as described by Francke and Ames [14]. Complexes were dissolved in 50 mM HCl-Tris buffer at pH 8.3. Glycerol was added (70% v/v) to ensure good glass formation. Samples were contained in gelatin or plastic capsules with an optical pathlength of 5 and 8 mm respectively. The optical density of the sample at 825 nm was adjusted to ≈ 0.3 at 4 K. A Janis 20 DT liquid helium cryostat was used for low temperature measurements. Temperatures were stabilized with a Lakeshore Cryotronics Model 330 temperature controller and measured with a silicon diode (accuracy ± 0.1 K).

The laser used for hole burning was a Coherent CR899-29 Autoscan Ti:Sapphire laser pumped by a 15 W Coherent Innova 200 Ar-ion laser. Laser intensity was stabilized using an LS 100 power stabilizer (Cambridge Research and Instrumentation.) The burn intensities and times used are given in the Figure captions.

The hole spectra were obtained with the thick etalon of the above Ti:sapphire laser removed which led to a linewidth of 0.07 cm^{-1} . The spectra were recorded with a Bruker IFS 120 HR Fourier transform (FT) spectrometer operated at a resolution of 1 cm^{-1} . Hole spectra

are the difference between the post-burn and pre-burn spectra. Most of the spectra reported are the average of 200 scans with an acquisition time of 8 min. The intensity of the white light from the spectrometer at the sample was $\approx 2 \text{ mW/cm}^2$. A Glan-Thompson polarizer was used in the polarized hole burning experiments and placed before the sample, in-line with the spectrometer's white light beam. The vertically polarized laser beam was perpendicular to the optical axis. Post-burn spectra were recorded with horizontal polarization first and vertical polarization second. (Pre-burn absorption spectra were recorded with vertical and horizontal polarization of the probe beam in order to account for the possibility that the probe beam of the FT spectrometer is slightly polarized.) This order was chosen because of the spontaneous hole filling (SPHF) whose kinetics are dispersive; easier to burn sites are easier to fill [15]. It ensures that any polarization effects observed for the low energy satellite hole structure are real. This structure was observed to be uniformly and preferentially polarized parallel to the laser polarization.

For measurement of the dependence of the widths of zero-phonon holes on temperature the above Ti:sapphire laser was used with an actively stabilized linewidth < 20 MHz. Spectra were recorded in the fluorescence excitation mode. Fluorescence was detected and processed by a GaAs photomultiplier tube (Hamamatsu) and photon counter (SR-400, Stanford Research Instruments). Rejection of scattered laser light was accomplished with two long wavelength-pass filters (RG 850, Coherent-Ealing) and a broad band interference filter (P60-940, Coherent-Ealing). This combination allowed for fluorescence detection between ≈ 910 and 930 nm . Laser intensities used for hole burning were in the range $20\text{-}400 \text{ }\mu\text{W/cm}^2$, depending on the burn wavelength. Hole depths were

typically $\approx 10\%$. For hole reading, the laser was attenuated by a factor of 10-100 in order to avoid hole burning during reading.

Results and Discussion

Some general features of the hole spectra. Persistent NPHB spectra for the FMO complex of *P. aestuarii* were obtained with burn wavelengths (λ_B) between 805.0 and 829.3 nm. For each λ_B -value spectra were recorded with several burn fluences. Figure 2 shows hole spectra obtained with $\lambda_B = 823$ nm, which is at the high energy side of the 825 nm band. For ease of discussion, the absorption spectrum is also shown. The sharpest and deepest zero-phonon hole (ZPH) is coincident with λ_B , referred to hereafter as the resonant hole. The satellite holes a, b and c are part of the low energy satellite hole structure referred to in the Introduction. The feature indicated by the arrow is a pseudo-phonon sideband hole (PSBH) associated with the resonant hole, *vide infra*. It is displaced from the resonant hole by 18 cm^{-1} . The broad satellite features at wavelengths shorter than 823 nm are very similar to those observed by Johnson and Small and, recently, by Franken et al [12]. The positive absorption to the left of the broad and relatively intense hole at ≈ 814 nm is its blue-shifted anti-hole (positive absorption) that is a signature for NPHB of $S_1 \pi\pi^*$ states [16,17]. This anti-hole is interfered with by the holes associated with 805 and 800 nm absorption bands. Johnson and Small favored the interpretation that has the high energy satellite holes being due to their associated Q_y -states being excitonically correlated with the state(s) directly burned at λ_B . It is possible, however, that they are due, at least in part, to structural changes produced by NPHB of the state at λ_B that are not localized near the BChl molecules that contribute to it. That is, the structural change is spatially extended. This

mechanism, however, is unimportant for the bacterial reaction center of *Rhodopseudomonas viridis* [18] and the LHC II and CP29 antenna complexes of photosystem II [19,20].

Franken, et al. [12] suggested that the just-mentioned high energy satellite holes might be mainly due to white light hole burning, [21] the white light being that of the Fourier transform spectrometer used by Johnson and Small [6] to record the pre- and post-burn spectra. The results in Figure 3 show that this is not the case. The lowest Δ -absorbance spectrum is the difference between two consecutively recorded absorption spectra, each of which are the average of 100 scans taken at 1.0 cm^{-1} resolution. The acquisition time was 4 min. and the intensity of the white light at the sample was $\approx 2 \text{ mW/cm}^2$. (The conditions used to record the other hole spectra reported here are the average of 200 scans.) The fractional depth of the hole at $\approx 815 \text{ nm}$ is only 0.02. Thus, the white light hole burning effect is weak. The sample was then irradiated at $\lambda_B = 820 \text{ nm}$ with a laser intensity of 10 mW/cm^2 for 30 s and the absorption spectrum recorded. The difference between it and the preceding spectrum is the middle spectrum in Figure 3. The ZPH at λ_B is just discernible and the fractional absorbance change of the weak hole at $\approx 815 \text{ nm}$ is reduced to 0.01. The top hole spectrum was obtained following additional laser irradiation at 820 nm with an intensity of 100 mW/cm^2 for 375 s. The high energy satellite holes near 815, 805 and 800 nm are now much more pronounced. Thus, it may be concluded that the high energy satellite holes of the top spectrum are mainly due to the structural changes that accompany hole burning at λ_B , in agreement with the conclusion reached by Johnson and Small [6]. This is also the case for the hole spectra shown in Figure 2 (see caption for burn intensities and burn times).

Low energy satellite hole structure. Figure 4 shows hole spectra obtained with nine λ_B -values between 818.0 and 829.3 nm. Except for the $\lambda_B = 824.7$ and 829.3 nm spectra, the laser intensity and burn time used were 100 mW/cm² and 375 s, see figure caption. For ease of discussion, the absorption spectrum is also shown (dashed curve). The deepest ZPH in each spectrum at λ_B is the resonant hole. The fractional hole depths and widths of the resonant holes are given in the figure caption. The widths of the resonant holes are determined by saturation broadening and the read resolution of 1 cm⁻¹.

Considered first is the bottom spectrum, $\lambda_B = 829.3$ nm. At this wavelength, excitation should be at the red edge of the absorption due to the lowest energy state that contributes to the 825 nm band. The dashed and solid arrows locate satellite holes displaced from the resonant hole by +18 and -18 cm⁻¹, respectively. That the satellite holes are symmetrically disposed relative to the resonant hole establishes that they are real- and pseudo-phonon holes (PSBH), respectively. The saturated fractional hole depth of the resonant hole is 0.75. To a good approximation, this depth is given by $\exp(-S)$ [22], the Franck-Condon factor for the zero-phonon line. S is the Huang-Rhys factor. The result is $S = 0.3$ (weak coupling), in agreement with the value reported in [6]. The absence of any observable real-PSBH in the $\lambda_B = 829.3$ nm spectrum that lie higher in energy than the 18 cm⁻¹ real-PSBH means that the Franck-Condon factors of their associated phonons are smaller than that of the phonon(s) associated with the 18 cm⁻¹ hole. We return to this later. We note that the 18 cm⁻¹ pseudo-PSBH is also indicated in the $\lambda_B = 824.7$, 824.0, 823.0 and 822.0 nm hole spectra by a solid arrow. As expected, it tracks the resonant hole at λ_B in a linear fashion (± 1 cm⁻¹ uncertainty).

Considered next is the low energy satellite hole structure in Figure 4 that consists of holes a – f. To the best of our knowledge, such rich structure has not been observed in the hole spectra of other photosynthetic complexes. (The 18 cm^{-1} pseudo-PSBH and holes a–d were also observed for *Cb. tepidum*, results not shown.) The hole spectra of Figure 5 show, more clearly, the existence of hole d. With Δ_i defined as the displacement between the resonant hole at λ_B and satellite hole i, $\Delta_i = 72, 48, 36, 24, 120$ and 165 cm^{-1} for $i = a - f$, respectively, as given in Table 1. The satellite holes track the resonant hole (λ_B), to within $\pm 1\text{ cm}^{-1}$. Analysis of the $\lambda_B = 824 - 820\text{ nm}$ spectra in Figure 5 revealed that the 18 cm^{-1} pseudo-PSBH and holes a–d attain their maximum intensity (Δ -absorbance value) when they are located near the maximum of the 825 nm band. From hole burning theory [22], this is consistent with the satellite hole structure being due to pseudo-PSBH, *vide infra*. (In what follows our use of the term phonon is meant to encompass low frequency intermolecular modes and very low frequency intramolecular modes of BChl *a*. [23]) The intensities of holes a – d relative to the 18 cm^{-1} pseudo-PSBH, as estimated on the basis of spectra where holes a – d and the 18 cm^{-1} pseudo-PSBH are near the maximum of the 825 nm absorption band, are given in Table 1. The 18 cm^{-1} hole and hole d ($\Delta_d = 24\text{ cm}^{-1}$) are considered to be due to intermolecular modes since their energies are too low to be assigned to intramolecular modes of BChl *a* [23].

The interpretation that has holes a – f due to pseudo-PSBH will be referred to as interpretation A. There is, however, an alternative interpretation (B). It has holes a, b and c due to correlated, downward EET from the two higher states that contribute to the 825 nm absorption band. (Hole d would be assigned as a 24 cm^{-1} pseudo-PSBH.) The basic idea behind interpretation B is depicted in Figure 6 where Z,Y,X label the three inhomogeneously

broadened absorption bands that contribute to the 825 nm band. Not shown in the figure is the resonant hole at ω_B . Excitation at ω_B selects two isochromats, one at the low energy side of the Z band and the other at the high side of the Y band. Isochromat Z can transfer energy to state Y (solid arrow) whereupon hole burning occurs to produce the center satellite hole displaced from ω_B by Δ_b . It can also transfer to state X (long dashed arrow) whereupon hole burning occurs to produce the right-most hole displaced from ω_B by Δ_a . We note that if the site excitation distribution functions (SDF) of the three states are correlated, as defined below, the $Z \rightarrow Y \rightarrow X$ EET pathway also leads to a hole at Δ_a . Isochromat Y selected by ω_B can transfer energy to state X, whereupon hole burning ensues to produce the left-most hole displaced from ω_B by Δ_c . If the SDF of the three states are correlated, the satellite holes should track ω_B , i.e. $\Delta_a, \Delta_b, \Delta_c$ should be independent of the ω_B -value, *vide infra*.

We now define what is meant by correlated. Consider states Z and X. Let $\omega_z = \bar{\omega}_z + z$ and $\omega_x = \bar{\omega}_x + x$ be frequencies associated with their SDF. ($\bar{\omega}_z$ and $\bar{\omega}_x$ are the peak frequencies of the SDF which can be reasonably taken to be Gaussians.) For linear correlation, $x = \alpha z$ where α is a constant. Thus, excitation at $z = \omega_B$ should result in a low energy satellite hole displaced from ω_B by $\Delta_a = (\bar{\omega}_z - \bar{\omega}_x) + (1 - \alpha)z$. Perfect, positive correlation is defined by $\alpha = 1$. For the sake of brevity, the SDF states of Z and X for $\alpha = 1$ are said to be correlated. (If $\alpha = -1$, the SDF are anti-correlated.) Thus, when the SDF of states Z and X are correlated, Δ_a (see Figure 6) is independent of ω_B within the Z-band and equal to $\bar{\omega}_z - \bar{\omega}_x$. That is, hole a should track ω_B . We emphasize that since the satellite holes track ω_B to within $\pm 1 \text{ cm}^{-1}$, the value of α would have to be very close to 1.

Correlation is transitive, i.e. if, for example, the SDF of states Z and X and the SDF of states

Y and X are correlated, so are the SDF of states Z and Y. Interpretation B of satellite holes a,b,c rests on the SDF of the three states that contribute to the 825 nm being correlated. Correlation also means that the SDF of the three states are identical. We note that if the three states are equispaced, only two holes should be observed since $\Delta_b = \Delta_c$, see Figure 6.

Arguments against interpretation B. As mentioned, low energy satellite hole structure as rich as that seen in Figure 4 has not been reported for other antenna complexes. Typically, the low frequency structure is confined to a single pseudo-PSBH at $\approx 20 - 30 \text{ cm}^{-1}$ with a width of $\approx 20 - 30 \text{ cm}^{-1}$ [24,25]. (Very recently, a detailed study of the satellite structure associated with the lowest exciton level (B870) of the LH2 BChl *a* complex of *Rb. sphaeroides* revealed only a pseudo-PSBH with an energy of 16 cm^{-1} and a width of 10 cm^{-1} , unpublished results.) Thus it was that we were led to consider interpretation B which has holes a, b and c due to correlated EET. Holes e and f would be due to correlated EET from a state located at the low energy side of the intense 815 nm band [6] to the states that contribute to the 825 nm band.

There are several problems with interpretation B. *First*, it is not at all clear why the SDF of the three states (X,Y,Z) that contribute to the 825 nm band should be correlated since they are most likely quite highly localized on the three subunits of the trimer. Furthermore, correlation has not been observed for other photosynthetic complexes, *vide infra*. The *second problem* arises because, with correlation, one expects that the widths of the satellite holes should equal that of the resonant hole under the conditions that: (i) the homogeneous width of the ZPL of the lower energy state that gives rise to a satellite hole is narrower than that of the ZPL at ω_B ; and (ii) spectral diffusion broadening of the satellite holes due to the heat released in downward EET is negligible. The results of Ref. [11] and those in Figure 9

establish that condition (i) is met, see Introduction. Broadening due to spectral diffusion of the type defined by (ii) has recently been observed [26,27]. However, it is over two orders of magnitude smaller than the widths of the satellite holes in the spectra shown in Figure 4 and 5. The widths of the satellite holes are given in Table 1. We conclude, therefore, that the contribution from spectral diffusion to the widths of the satellite holes is negligible. The widths of the resonant holes of the spectra shown in Figure 4 are given in the caption to that figure. Consider hole a which is relatively well resolved, Figures 4 and 5. In the $\lambda_B = 824.7 - 821.0$ nm spectra its width is significantly broader than that of the resonant hole. This is inconsistent with correlation. Furthermore, the width of hole a in the spectra of Figure 4 is constant to within $\pm 2 \text{ cm}^{-1}$. The average of the widths is $10 \pm 2 \text{ cm}^{-1}$. For hole a to be a satellite hole due to correlated EET, its width in the top two spectra would have to be significantly broader than in the $\lambda_B = 824.7 - 822.0$ nm spectra since the width of the resonant hole in the former spectra of 7 cm^{-1} is a factor of 2.5 broader than those in the latter spectra. Clearly, the width of hole a does not reflect the width of the resonant hole. This is inconsistent with correlated EET. The *third problem* is best illustrated using hole a. With correlation and constant burn fluence conditions, its intensity (Δ absorbance (ΔA) value) should be highest when $\omega_B = \bar{\omega}_Z$, Figure 6. The spectra of Figure 4 lead to a $\bar{\omega}_Z$ -value close to $12,180 \text{ cm}^{-1}$ (821 nm). The ΔA -values for $\lambda_B = 820, 821$ and 822 nm are 0.024, 0.034 and 0.024, respectively. Correlation also requires that the frequency at which hole a attains its maximum intensity be equal to $\bar{\omega}_X$ which, based on the spectra in Figure 4, corresponds to a wavelength close to 825 nm. The problem is that with the X and Z bands located near 825 and 821 nm and the requirement that the widths of the SDF of the X, Y and Z states be equal, *vide supra*, an acceptable fit of the 825 nm absorption band was not achieved, especially on

its low energy side (results not shown). Our attempts at fitting were quite intensive and took into account the weak electron-phonon coupling that introduces a slight asymmetry to the X, Y and Z bands (the SDF were taken to be Gaussians). The position of the Y band associated with hole b was allowed to vary, within reasonable bounds, since the apparent position of hole b ($\Delta_b = 48 \text{ cm}^{-1}$) may not be its true position because, for example, of interference from the anti-hole a. The intensities of the three bands were independently varied but under the constraint that the intensity of the Z-band must be significantly weaker than those of the other two bands given its location at 821 nm and the experimental 825 nm absorption band, Figure 4.

Putting aside the fitting problem, it is interesting to note that based on the results of excitonic calculations given in [8,9], one would associate the Z-band with the weakly absorbing A state of the trimer with the main part of the experimental 825 nm band due to the more strongly allowed E state that is split into two components (X and Y) because of heterogeneity. The unequal absorption intensities of the three states then means that one is not in the strong energy disorder limit and that polarization effects associated with the 825 nm band should be observable. The polarized triplet-singlet difference spectra reported by van Mourik et al. [7] clearly show that significant polarization effects exist. Their results led us to perform polarized hole burning experiments as described in section 2, the reason being that if holes a, b and c are due to correlated downward EET, one should observe differences in the values of their $I_{\parallel}/I_{\perp}$ ratio, where $I_{\parallel}$ and $I_{\perp}$ are the intensities of the hole measured with polarization parallel and perpendicular to the burn laser polarization. The results for $\lambda_B = 822$ and 825 nm are shown in Figure 7 where the solid and dotted spectra correspond to $I_{\parallel}$ and $I_{\perp}$, respectively. The resonant holes at λ_B are essentially unpolarized

since it was necessary to burn them to saturation depth in order to be able to detect the satellite holes. The two spectra in Figure 7 show that the 18 cm^{-1} pseudo-PSBH and satellite holes a, b and c are, for all intent and purposes, uniformly and preferentially polarized parallel to the laser polarization. Thus, the results provide no support for holes a, b and c being due to correlated EET in the weak energy disorder limit. (In the strong disorder limit the polarizations of the X, Y and Z states would be identical.) They are, however, consistent with holes a, b and c also being pseudo-PSBH since the $S_0 \rightarrow Q_y$ electronic transition is strongly allowed, which means that the Condon approximation should be applicable. In the Condon approximation, the phonon sidebands should carry the same polarization as that of the pure electronic transition.

In summary, several reasons for satellite holes a, b and c not being due to correlated EET have been given. To the best of our knowledge, correlation has not been observed for a photosynthetic complex. In the absence of correlation, a low energy satellite hole that results from EET should mirror the absorption band of the state (acceptor) in which hole burning occurs. The first reported example of an absence of correlation was for the phycobilisomes of a blue-green algae [28]. For this complex, the donor and acceptor states involved in EET are the S_1 -states of phycocyanin and allophycocyanin, respectively. Somewhat later an absence of correlation was reported [29] for the isolated reaction center of photosystem II of green plants where the donor state(s) are associated with Chl *a* molecules and the acceptor state that of the pheophytin *a* molecule active in primary charge separation. Another early example is the LH2 antenna complex of purple bacteria [30]. In that work it was shown that the SDF of the cyclic ring of B800 BChl *a* donor states are largely uncorrelated with those of the B850 ring of BChl *a* molecules. The reader interested in more recent examples is

referred to Refs. [19,20,31]. We note that in the $\lambda_B = 818$ and 819 nm spectra of Figure 4, holes a, e and f are superimposed on a broad hole. We assign the latter hole to uncorrelated EET from the state(s) excited at λ_B to the states that contribute to the 825 nm band.

Interpretation A of the satellite structure. This interpretation has the satellite holes a,b,c,e and f assigned to pseudo-PSBH with peak energies of 72 , 48 , 36 , 120 and 165 cm^{-1} , respectively. (Thus, with the assignment of the 18 and 24 cm^{-1} satellite hole to pseudo-PSBH, one has a total of seven pseudo-PSBH.) For this assignment to be convincing it is necessary to show (i) that the dependencies of the hole intensities on λ_B seen in Figure 4 are consistent with the pseudo-PSBH assignment, and (ii) that reasonable assignments for the phonons associated with the PSBH exist. Considered first is the question raised by (ii).

The phonons associated with the 18 and 24 cm^{-1} holes are assigned as intermolecular since modes in this energy range are routinely observed in the line-narrowed spectra of π -conjugated chromophores in polymers, glasses and proteins (for a review see Ref. [25]). Such phonons have been assigned to the so-called boson peak unique to amorphous solids [32,33]. They are too low in energy to be assigned to intramolecular modes of chlorophyll molecules [23]. However, resonance Raman (RR) spectra of the Q_y -states of BChl *a* molecules in the reaction center of *Rb. sphaeroides* and in BChl *a* films have revealed quite rich structure between 36 cm^{-1} and ≈ 160 cm^{-1} . The reader is referred to Czarnecki et al. [23] for the most recent RR data and a review of previous RR data on the Q_y -states of BChl *a*. Semi-empirical quantum chemical calculations of the fundamental vibrations of the BChl *a* molecule are also presented. There is a reasonable correlation between the peak energies of holes a,b,c,e and f and the RR energies which were assigned in [23] to intramolecular modes, Table 2. We say reasonable because the frequencies and relative intensities of the low

energy intramolecular modes determined by RR depend, quite significantly, on the Q_y -state being resonantly excited. Furthermore, the RR mode energies are those of the ground state while those determined by hole burning are those of the excited state. The RR bands at energies $\lesssim 130 \text{ cm}^{-1}$ were assigned to vibrations that primarily involve the acetyl and methyl substituents on ring I.

Presented next are the results of simulations that determine whether or not the dependencies of the satellite hole intensities on λ_B seen in Figures 4 and 5 are consistent with their assignment to pseudo-PSBH. Because the satellite hole structure is interfered with by anti-holes and a broad underlying hole due to downward uncorrelated EET, we did not attempt to obtain exact fits; thus the focus on intensities. The results are shown in Figure 8. Before discussing them, it is appropriate first to briefly discuss the expression of Hayes et al. [22] used in the calculations.

In the low temperature limit, the expression of Hayes et al. for the absorption spectrum following a burn for time τ reduces to

$$A_\tau(\Omega) = e^{-S_t} \prod_k \sum_{R=0}^{\infty} \left(\frac{S_k^R}{R!} \right) \int dv N_0(v - v_m) e^{-\sigma P \tau L(\omega_B - v)} I_{R,k}(\Omega - v - R\omega_k) \quad (1)$$

This equation does not account for anti-hole absorption. The hole burned spectrum is given by $A_\tau(\Omega) - A_{\tau=0}(\Omega)$. S_k and ω_k are the Huang-Rhys factor and frequency of the k th contributing mode; S_t is the total Huang-Rhys factor $\sum_k S_k$. The zero-phonon line (ZPL) transition corresponds to $R = 0$. A Lorentzian with a width of 1 cm^{-1} (determined by the instrument resolution) was used for the ZPL. The 1-phonon, 2-phonon, ... transitions are defined by $R = 1, 2, \dots$. The results in Figure 8 were obtained using Gaussian profiles for the 1-phonon transitions of the contributing modes. (Use of Lorentzian or asymmetric profiles

yielded results that do not differ significantly.) The widths of the $R \geq 2$ profiles are obtained by folding the 1-phonon profile R -times. $N_0(\nu - \nu_m)$ is the distribution function (SDF) for the ZPL frequencies (ν); ν_m is the peak frequency of the SDF. Since the 825 nm band is contributed to by three states, N_0 was taken to be the sum of three Gaussians, *vide infra*. In the exponential of Eq. 1, σ is the integrated absorption cross-section, P is the photon flux and ϕ is the hole burning quantum yield. Since values for σ and ϕ are unavailable, $\sigma P \phi$ was an adjustable parameter in the calculations. The single value for $\sigma P \phi$ used was obtained by fitting. Treating $\sigma P \phi$ as a parameter does not affect the λ_B -dependencies of the ΔA -values of the satellite holes. Finally, $L(\omega_B - \nu)$ is given by

$$L(\omega_B - \nu) = e^{-S_i} \prod_k \sum_{R=0}^{\infty} \left(\frac{S_k^R}{R!} \right) l_{R,k}(\omega_B - \nu - R\omega_k). \quad (2)$$

Here, ω_B is the burn frequency. With ω_B replaced by Ω , $L(\Omega - \nu)$ is the absorption spectrum of a single site with a ZPL frequency equal to ν .

The solid curve in Figure 8 is the experimental 825 nm absorption band. The dashed curve is the 825 nm band calculated using Eq. 1 with $\tau = 0$. The SDF of the three contributing states were taken to carry equal intensities and widths (50 cm^{-1}) and to be equispaced (20 cm^{-1}). (Allowance for unequal intensities and spacings, subject to the constraint of a reasonable fit to the 825 nm band, resulted in hole spectra that mimic the intensity behavior of the satellite holes seen in the spectra of Figure 8). Because the S -factors of the contributing modes are small, Table 2, the asymmetry they introduce to the calculated 825 nm band is small. Except for the low energy tail, the agreement between the calculated and experimental profiles of the 825 nm band is quite reasonable. The sharpest and deepest hole in the calculated spectra is the resonant hole coincident with the burn

wavelength. The Huang-Rhys factors of the contributing modes and the widths of their one-phonon profiles used are given in Table 2. The hole spectra were calculated at intervals of 1 nm across the absorption band. Therefore, the resonant hole positions are akin to those of Figures 4 and 5. The fractional absorbance changes of the resonant holes are, from top to bottom, 0.31, 0.46, 0.56, 0.62, 0.68, 0.74 and 0.81. These values mimic the trend set by the experimental values given in the caption to Figure 4. The explanation for this trend is that as the burn frequency is tuned to the blue, the probability of exciting phonon transitions increases while the probability of exciting ZPL transitions decreases. This leads to a decrease and increase in the intensity of the resonant hole and pseudo-PSBH, respectively [34,35]. This intensity behavior is evident in the experimental spectra shown in Figures 4 and 5 and calculated spectra in Figure 8. Moreover, one observes that the intensities of the satellite holes reach their maximum values when they are located near the maximum of the 825 nm band. The agreement between the observed and calculated spectra is better than qualitative. To illustrate this we consider the 18 cm^{-1} pseudo-PSBH indicated by the solid arrows in Figures 4, 5 and 8. When combined, the results of Figures 4 and 5 lead to relative intensity (ΔA) values for $\lambda_B = 828, 827, 826, 825, 824$ and 823 nm of 0.2, 0.5, 0.7, 0.9, 1.0 and 0.7, respectively. The corresponding values from Figure 8 are 0.13, 0.36, 0.68, 0.92, 1.0, and 0.85. We consider the agreement satisfactory given that there is considerable uncertainty in the experimental ΔA values. It should be noted that real-PSBH that lie to higher energy of the resonant hole also appear in the calculated spectra. In the experimental spectra they are interfered with by the anti-hole.

The Huang-Rhys factors and widths of the one-phonon profiles given in Table 2 can be used in the calculation of EET rates involving the states that contribute to the 825 nm band.

Energy transfer and spectral dynamics of the three lowest energy states. Figure 9 shows the temperature dependencies of the widths (fwhm) of ZPH burned at 822.8, 824.8 and 826.8 nm. They are very similar to those shown in Figure 3 of Ref. [11] for *Cb. tepidum* where burn wavelengths of 823.0, 825.0 and 827.0 nm were used. (The 0.4 nm shift between the burn wavelengths is a consequence of the absorption maximum of the 825 nm band of *P. aestuarii* being at a wavelength 0.4 nm longer than that of *Cb. tepidum*.) The discussion that follows is similar to that given in [11].

That the temperature dependence of the 822.8 and 824.8 nm holewidths are considerably weaker than that of the 826.8 nm holewidth, especially below ≈ 4 K, suggests that the widths of the former two holes at 1.8 K are dominated by a temperature-independent contribution, one that is attributed to downward energy transfer. Preliminary analysis of the 826.8 nm data points showed that they approximately follow a T^α dependence with $\alpha \sim 1.3$. This power law is unique to pure dephasing/spectral diffusion due to two-level systems (TLS) of glasses and proteins. Such a temperature dependence has recently been reported for the lowest energy Q_y -state of the photosystem II reaction center [36], the LHC II antenna complex of photosystem II [19], and the lowest exciton level of the B850 molecules in the LH2 antenna complex of purple bacteria [37]. However, the hole widths for $\lambda_B = 826.8$ nm are not solely determined by the TLS. This follows because of the apparent convergence of the holewidths for the three burn wavelengths at higher temperatures. That is, if the 826.8 nm holewidths were due only to TLS, they should add to the energy transfer

contributions to the widths of the 822.8 and 824.8 nm holes. The EET kinetics can be safely assumed to be temperature independent for $T \leq 10$ K. This line of argument becomes more convincing when the holewidths in Figure 9 are fit by

$$\Gamma_{\text{hole}} (\text{cm}^{-1}) = \Gamma_0 + 2AT^\alpha \quad (3)$$

where Γ_0 is temperature independent and the second term is due to TLS-induced spectral dynamics. The 826.8 nm data were fit first (solid curve), resulting in $\Gamma_0 = 0.015 \text{ cm}^{-1}$, $\alpha = 1.3$ and $A = 0.0056$ (Table 3). The lifetime of the lowest energy state is 2ns [10,11]. The lifetime contribution to Γ_0 is given by $2(2\pi T_1 c)^{-1}$, where T_1 is the lifetime and c is the speed of light in the units of $\text{cm} \cdot \text{s}^{-1}$. The lifetime contribution is 0.005 cm^{-1} , leaving $\approx 0.010 \text{ cm}^{-1}$ of Γ_0 to be explained. As in Ref. [11], we attribute the 0.010 cm^{-1} to spectral diffusion and/or a small contribution to the holewidth from the next higher energy state near 824.8 nm. For fitting of the 824.8 and 822.8 nm data, α was fixed at 1.3, leaving Γ_0 and A as the only adjustable parameters. That $A = 0.0056$ for the three λ_B -values, Table 3, supports our analysis procedure since the spectral dynamics from TLS should be the same for the three subunits of the trimer. As in refs 9,10 the Γ_0 -values obtained for $\lambda_B = 824.8$ and 822.8 nm are assigned to lifetime broadening due to downward EET. They lead to the T_1 -values of 99 and 26 ps given in Table 3.

For comparison, the values of T_1 , A and α for *Cb. tepidum* are also given in Table 3. That they are similar to those for *P. aestuarii* is not surprising given that the structures of the two species are very similar as are their 825 nm absorption bands, Figure 1. The differences in the above values may not be significant since the λ_B -values used for *P. aestuarii* may not be exactly equivalent to those used for *Cb. tepidum* (keeping in mind that the 825 nm band is due to three overlapping and inhomogeneously broadened bands).

With reference to Figure 6, the T_1 -values of *P. aestuarii* for $\lambda_B = 822.8$ and 824.8 nm (823.0 and 825.0 nm for *Cb. tepidum*) are assigned to downward EET from the Z and Y states, respectively. For the latter state there is only the $Y \rightarrow X$ pathway while for the former there are the $Z \rightarrow Y$ and $Z \rightarrow X$ pathways. At this point it seems most likely that these EET pathways should be describable in terms of weak coupling nonadiabatic transfer theory. The electron-phonon coupling parameters that define the spectral densities in the rate expression are given in Table 2. It will be interesting to see whether or not they can explain why the transfer rate for the Z state is four times higher than that of the Y state. Such calculations, which take into account the effects of structural heterogeneity, are now in progress.

Conclusions

The nonphotochemical hole burning spectra and spectral dynamics data reported for the FMO complex of *P. aestuarii* pertain mainly to the lowest energy absorption band at 825 nm which is contributed to by three states. These states stem from the lowest energy state of the subunit of the trimer. The splittings between them, which can be no greater than about 30 cm^{-1} , appear to be dominated by structural heterogeneity. Earlier hole burning studies had shown that the widths of the three underlying absorption bands are mainly inhomogeneously broadened ($\approx 50 \text{ cm}^{-1}$) at liquid helium temperatures. The two main objectives of our experiments were to interpret the rich low energy satellite hole structure observed when the burn wavelength (λ_B) is located in the 825 nm band and to determine the excitation energy transfer (EET) kinetics for the two higher energy states that contribute to the 825 nm band.

The λ_B -dependent hole spectra obtained are the most detailed yet reported for an antenna complex. They reveal low energy satellite holes at 18, 24, 36, 48 and 72 cm^{-1} , as measured relative to the resonant zero-phonon hole at λ_B . The last four holes are designated as holes d, c, b and a, respectively, in Table 1 which also includes the energies of two higher energy satellite holes. The 18 and 24 cm^{-1} holes are assigned to pseudo-phonon sideband hole (PSBH) due to intermolecular phonons. Two interpretations for holes c, b and a at 36, 48 and 72 cm^{-1} were considered. The interpretation that has them due to correlated EET from the two higher energy states that contribute to 825 nm band could be rejected. Thus, the FMO complex is yet another example where the site distribution functions of different states exhibit little correlation. Based on theoretical simulations of the λ_B -dependence of the hole spectra, we conclude that the above holes are pseudo-PSBH and that the 36, 48, 72, 120 and 165 cm^{-1} phonons are most likely due to BChl *a* intramolecular modes. Their Huang-Rhys factors and one-phonon profile widths are given in Table 2.

The temperature dependencies (1.8 – 10 K) of the widths of zero-phonon holes burned in the 825 nm band of *P. aestuarii* at $\lambda_B = 822.8, 824.8$ and 826.8 nm were found to be similar to those of *Cb. tepidum*, Table 3. These wavelengths should be close to the absorption maxima of the three states that contribute to the 825 nm band, Z, Y and X in Figure 2. Pure dephasing/spectral diffusion due to the glass-like two-level systems of the protein is operative and identical for the three states. When this contribution to the holewidths is taken into account the time constants for excitation energy transfer from the Y to X state and Z to Y and X states are 99 and 26 ps, respectively. Currently, energy transfer calculations are in progress that utilize the electron-phonon coupling parameters given in Table 2 with due account being given to the effects of energy disorder.

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Table 1. Spectral Data of the Low Energy Satellite Holes, *P. aestuarii*.

Hole	$\Delta(\text{cm}^{-1})^b$	ΔA^c	Width ($\text{cm}^{-1})^d$
Pseudo-PSBH ^a	18	0.08	10
a	72	0.023	10
b	48	0.008	5
c	36	0.02	9
d	24	0.004	5
e	120	0.014	9
f	165	0.015	10

^aPSBH $\equiv$ phonon sideband hole.

^bDisplacement measured relative to resonant zero-phonon hole at burn wavelength.

^c Δ -absorbance value for hole when located near the maximum of the 825 nm band.

^dFull width at half maximum, $\pm 2 \text{ cm}^{-1}$.

Table 2. Parameter Values Used in Calculations and BChl *a* Vibrational Energies.

Phonon Energy (cm ⁻¹) ^b	S ^c	Width (cm ⁻¹) ^d	RR Modes ^e (cm ⁻¹)
18	0.24	10	n.o. ^f
72(a)	0.12	7.5	56,72
48(b)	0.04	5.0	56
36(c)	0.12	10	36
24(d)	0.05	5.0	n.o.
120(e)	0.09	10	118
165(f)	0.09	10	163

^aThe 120 and 165 cm⁻¹ modes were not included in the calculations. Their S-values were determined using the value of 0.12 for the 72 cm⁻¹ mode and the $\lambda_B = 820, 819, 818$ nm hole spectra shown in Figure 4 and the spectrum for $\lambda_B = 817$ nm (not shown).

^bThe letters in parentheses identify the phonon frequencies with the low energy satellite holes seen in the spectra of Figure 4.

^cS $\equiv$ Huang-Rhys factor.

^dFull-width at half-maximum of the one-phonon profile.

^eBChl *a* vibrational energies from resonance Raman experiments on the Q_y-states of the *Rb. sphaeroides* reaction center, from Ref. [23].

^fNot observed or calculated.

Table 3. Analysis of Zero-Phonon Holewidths of the Three States that Contribute to the 825 nm Band.

λ_B (nm)	T_1 (ps) ^a	$A(\text{cm}^{-1}\text{K}^{-\alpha})^b$	α^b
<i>P. aestuarii</i>			
822.8	26	.0056	1.3
824.8	99	.0056	1.3
826.8	2.10^3 ^c	.0056	1.3
<i>Cb. tepidum</i> (from ref 11)			
823.0	32	0.0070	1.3
825.0	135	0.0070	1.3
827.0	2.10^3	0.0070	1.3

^aLifetimes of three contributing states.

^bParameters associated with pure dephasing/spectral diffusion due to two-level systems, see Eq. 3.

^cFrom Refs. [10,11].

Figure Captions

Figure 1. The 4.2 K Q_y -absorption spectrum of the FMO complex from *P. aestuarii* (solid curve) and *Cb. tepidum* (dashed curve).

Figure 2. Hole burned spectra of the FMO complex from *P. aestuarii* obtained with burn wavelength (λ_B) = 823.0 nm at 4.2 K. For convenience the absorption spectrum is also shown. From top to bottom the burn intensity and burn time used were: 50 mW/cm², 30 s; 100 mW/cm², 75 s; and 100 mW/cm², 375 s. The deepest and sharpest hole in each spectrum is the resonant zero-phonon hole at λ_B = 823.0 nm. The solid arrows locate the 18 cm⁻¹ pseudo-phonon sideband hole (PSBH). The satellite holes a, b and c correspond to those indicated in Figures 4 and 5. The broad and higher energy holes are the result of the structural changes that accompany hole burning in the 825 nm absorption band. The structural changes alter the energies of the states that contribute to the higher energy absorption band, see text.

Figure 3. Spectra of the FMO complex from *P. aestuarii* related to the white light hole burning effect, cf. text for discussion. For convenience the absorption spectrum is also shown. The excessive noise in the spectra near 815 nm is due to the high absorbance. T = 4.2 K.

Figure 4. The dependence of the 4.2 K hole burned spectrum of the FMO complex from *P. aestuarii* on burn wavelength (818.0 – 829.3 nm). The deepest hole in each spectrum is the resonant zero-phonon hole located at λ_B . The burn intensities and times used to obtain the λ_B = 818 – 824 nm spectra were 100 mW/cm² and 375 s. The intensity and time for the λ_B = 824.7 and 829.3 nm were 125 mW/180 s and 250 mW/660 s, respectively. The solid arrows locate the 18 cm⁻¹ pseudo-PSBH; the dashed arrow in the λ_B = 829.3 nm locates the real-PSBH due to the 18 cm⁻¹ phonon. The energies of holes a – f as measured relative to λ_B are given in Table 1. The dashed curve is the absorption spectrum. The fractional hole depths of the

resonant hole are, from top to bottom, 0.34, 0.34, 0.42, 0.49, 0.43, 0.68, 0.75, 0.61, 0.75, respectively. The corresponding holewidths are 6.8, 6.7, 4.2, 3.0, 3.0, 2.6, 1.6, 2.0 cm^{-1} .

Figure 5. Low energy satellite hole structures obtained with $\lambda_B = 824.0\text{--}828.0$ nm, $T = 4.2$ K. Burn intensity and time were ~ 200 mW/cm² and up to 1500 s. The deepest hole in each spectrum is the resonant zero-phonon coincident with the burn wavelength (λ_B). The spectra more clearly establish the existence of hole d. The dashed curve is the 825 nm absorption band.

Figure 6. Schematic of correlated downward energy transfer that results in satellite holes a, b and c displaced from the burn frequency ω_B by Δ_a , Δ_b , and Δ_c . Z, Y and X denote the three Q_y -states that contribute to the 825 nm band.

Figure 7. Polarized hole burned spectra of the FMO complex from *P. aestuarii* obtained with burn wavelengths 822.0 and 825.0 nm, $T = 4.2$ K. Dark solid spectrum: horizontal polarization and dotted spectrum: vertical polarization.

Figure 8. Calculated hole spectra for different burn wavelengths (822 – 828 nm). The deepest hole in each spectrum is the resonant hole at λ_B . The arrow locates the 18 cm^{-1} pseudo-PSBH. Satellite holes a–d correspond to those shown in Figure 5. The experimental (solid curve) and calculated absorption (dashed curve) spectra are also shown. The values of Huang-Rhys factors (S) and widths of the one-phonon profiles used are given in Table 2; see text for further discussion.

Figure 9. Temperature dependence of zero-phonon holes burned at 822.8, 824.8 and 826.8 nm. The solid curves are fits obtained using Eq. 3; see text for further discussion.

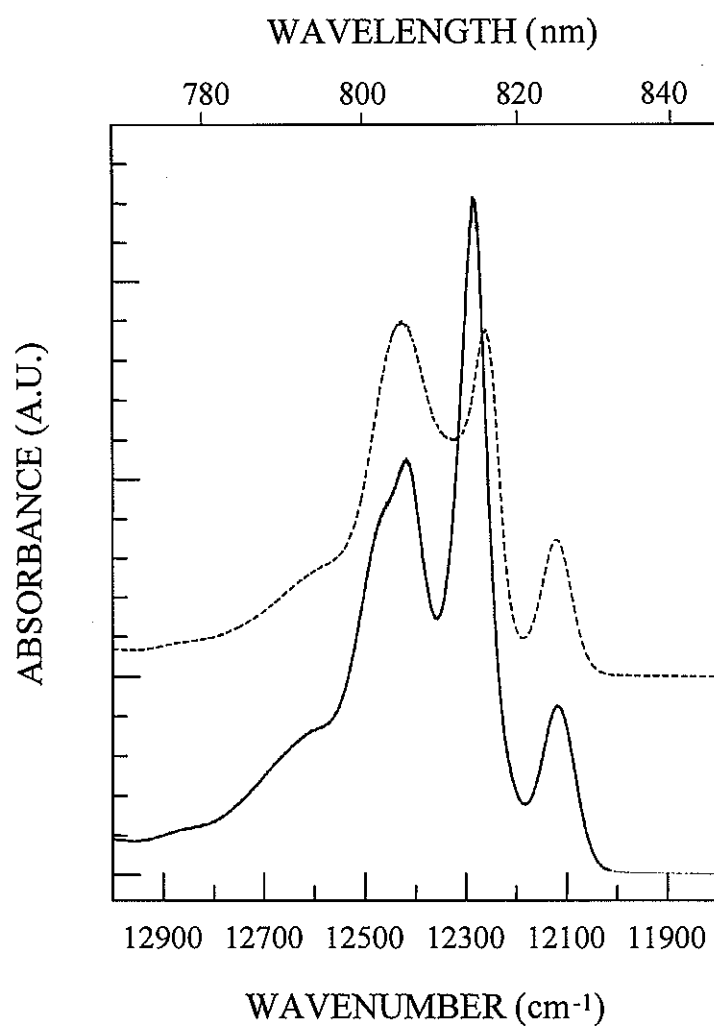


Figure 1.

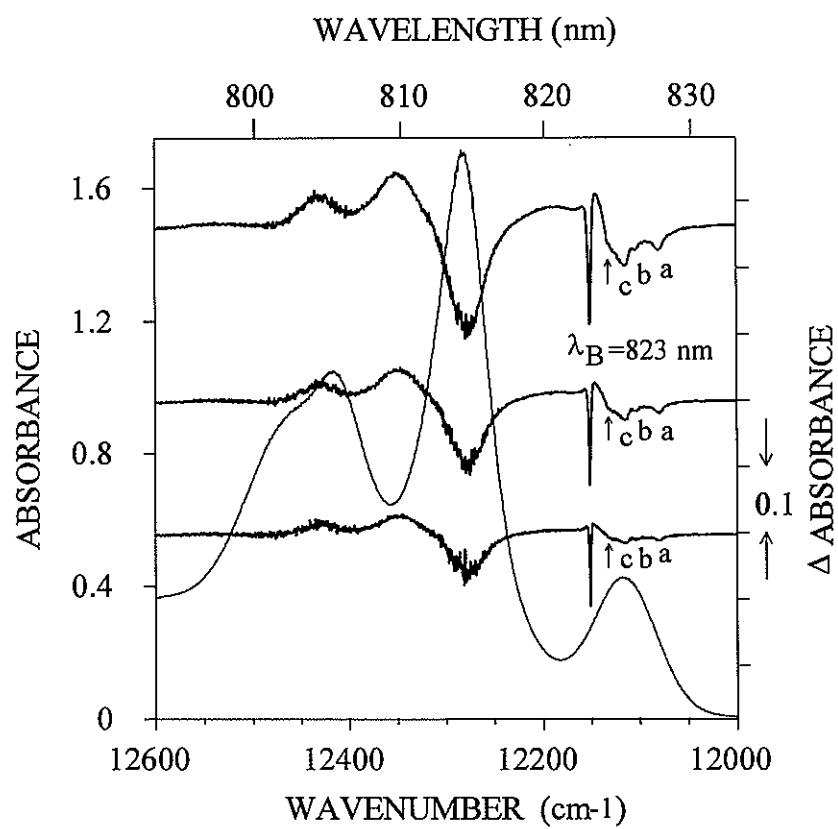


Figure 2.

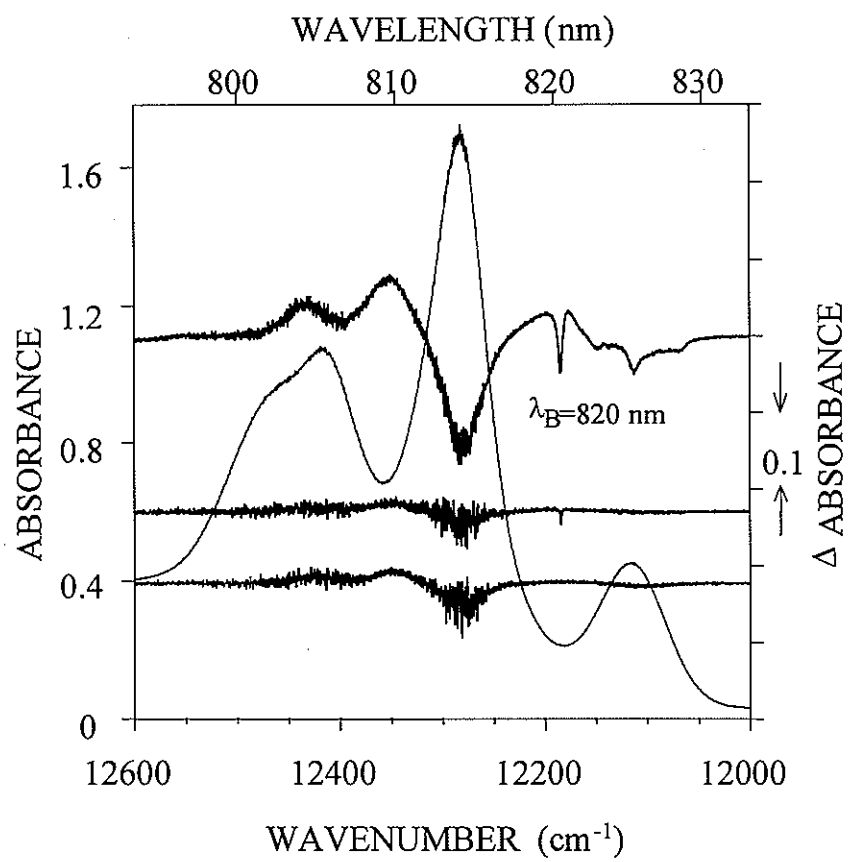


Figure 3.

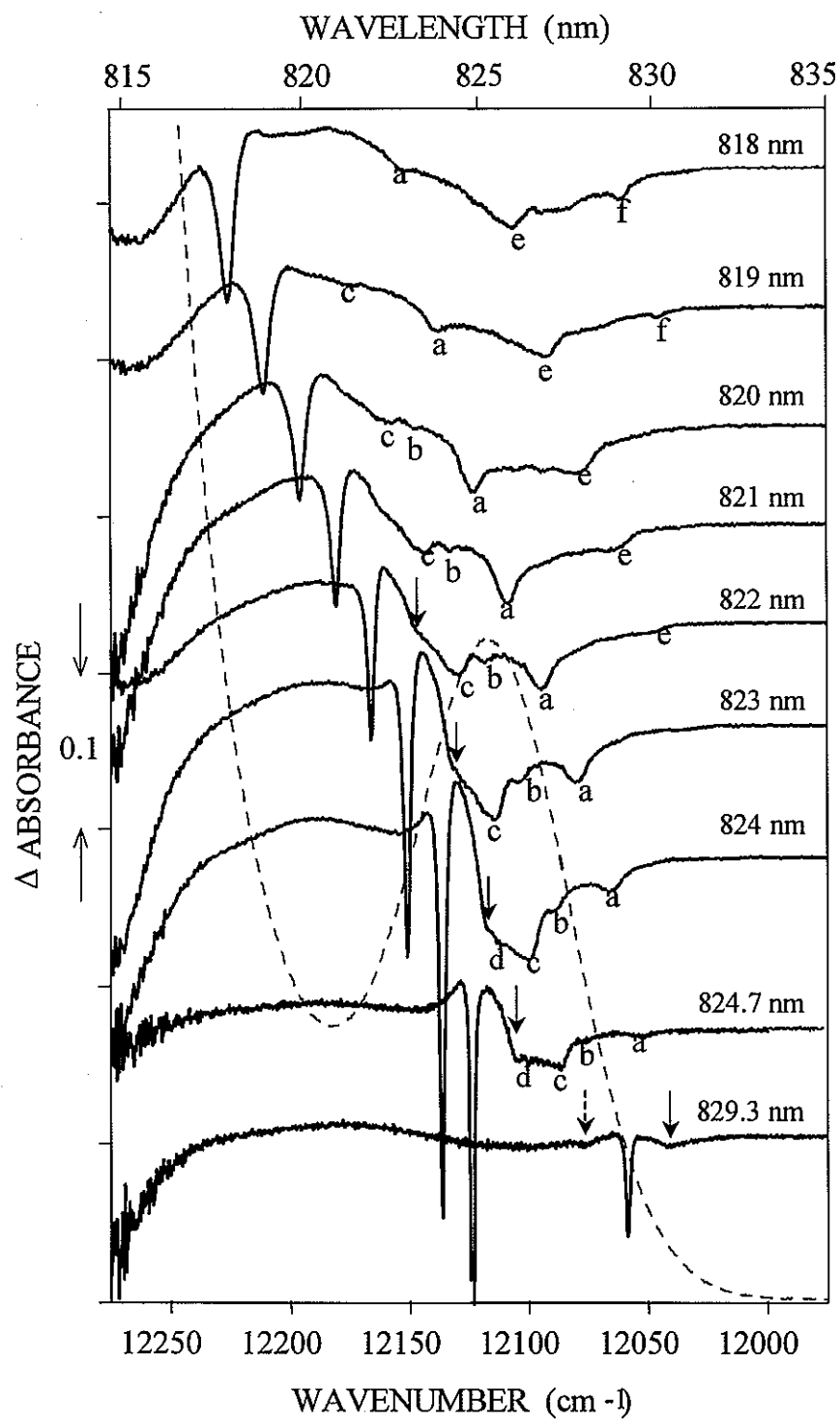


Figure 4.

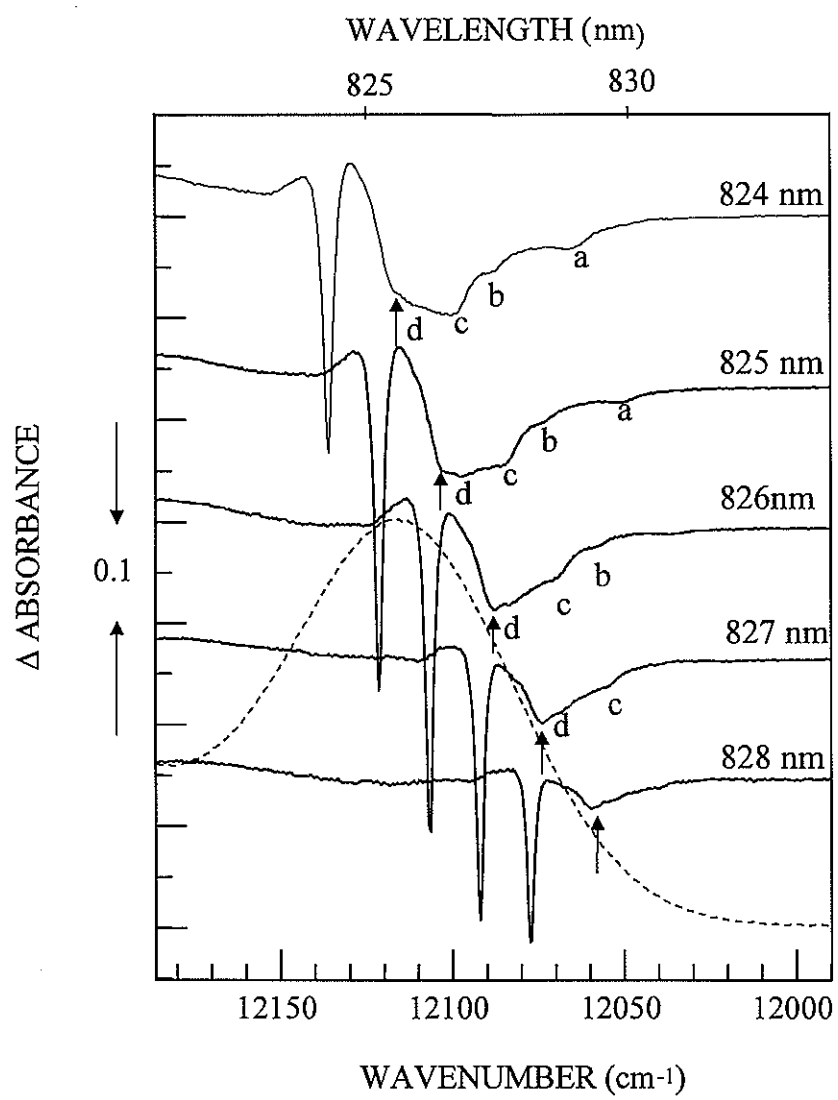


Figure 5.

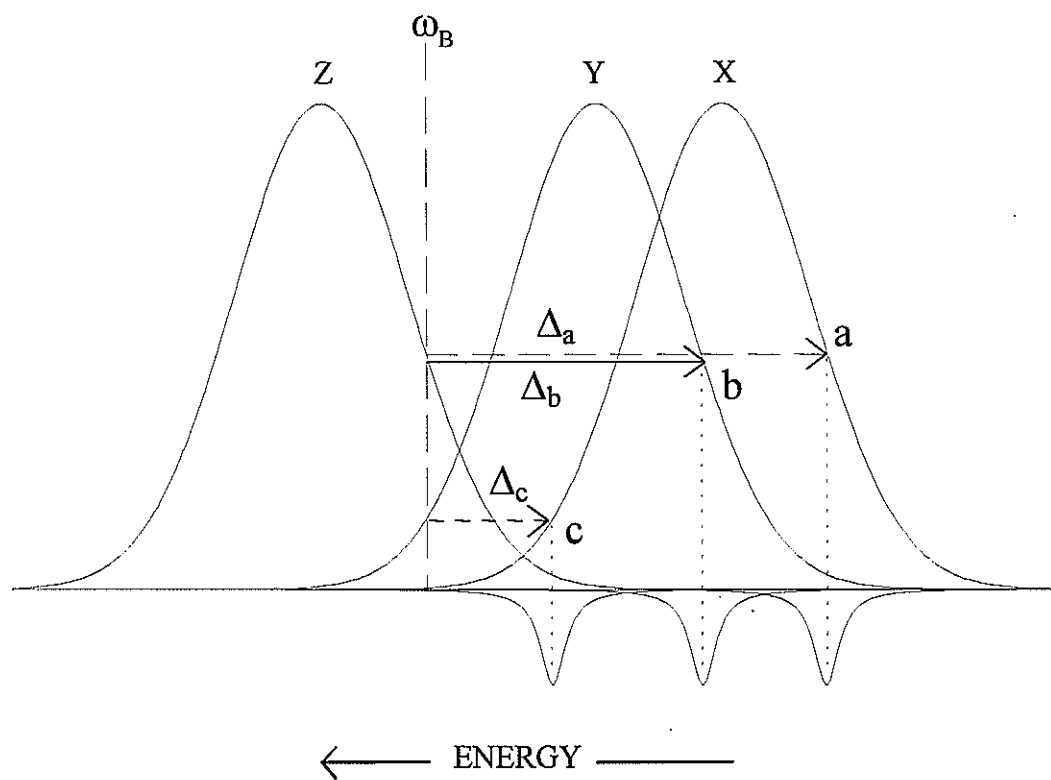


Figure 6.

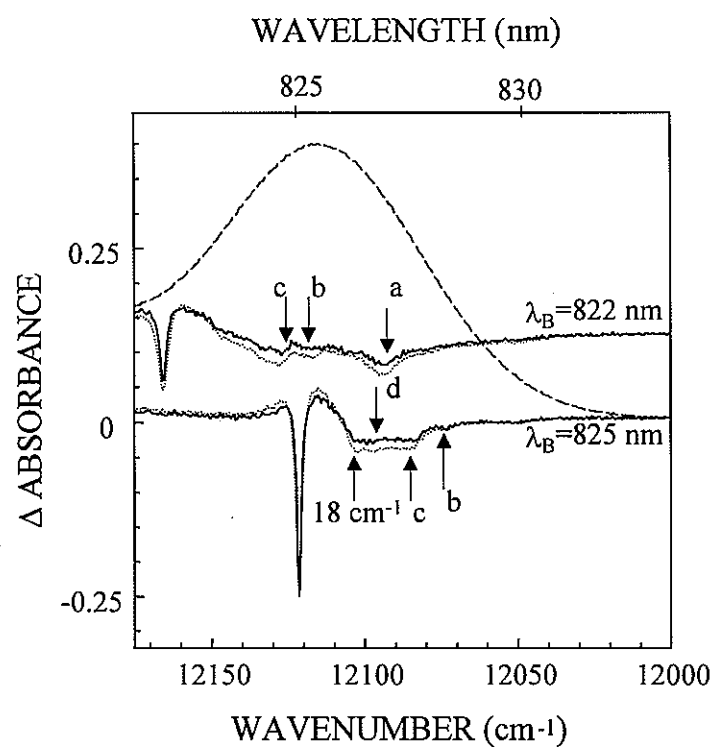


Figure 7.

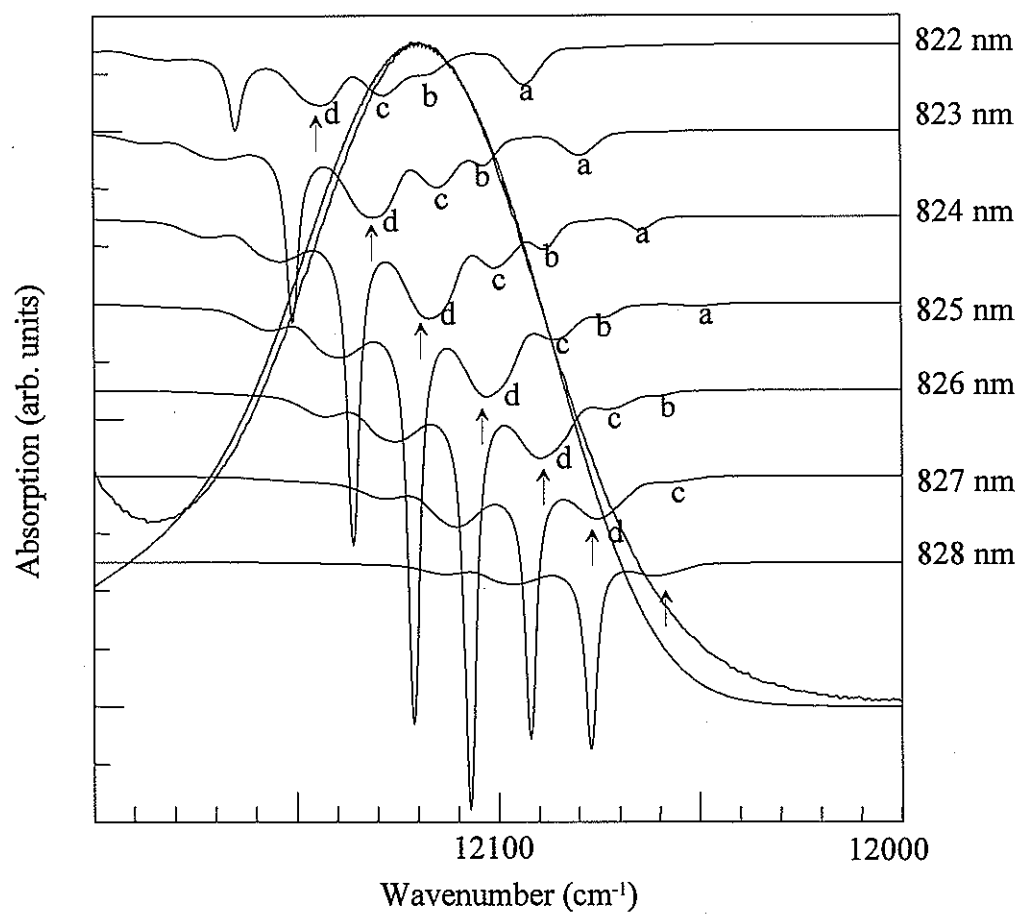


Figure 8.

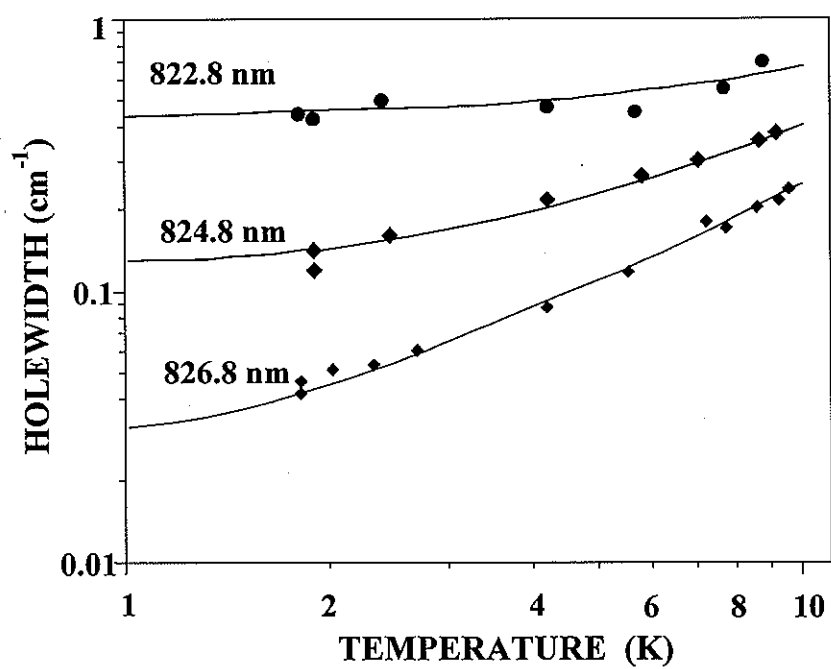


Figure 9.

CHAPTER 4. ENERGY TRANSFER DYNAMICS IN LH2 COMPLEXES OF *RHODOPSEUDOMONAS ACIDOPHILA* CONTAINING ONLY ONE B800 MOLECULE

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Abstract

Nonphotochemical hole burning (NPHB) and femtosecond pump-probe experiments have previously shown that following their excitation, the B800 molecules of the LH2 complex of *Rhodobacter sphaeroides* and *Rhodopseudomonas (Rps.) acidophila* relax by two channels. The decay channel observed for excitation on the low energy side of the B800 absorption band is due to B800→B850 excitation energy transfer which occurs in ~ 2 ps at 4 K. The additional decay channel becomes detectable for excitation on the high energy side of the B800 band. The mechanisms that have been proposed for this channel are: (i) vibrational relaxation following excitation of vibronic levels associated with the Q_y -states of either the B800 or B850 bacteriochlorophyll *a* molecules; (ii) direct excitation of upper B850 exciton levels, followed by downward relaxation within the B850 manifold; (iii) intraband B800–B800 energy transfer involving only the B800 molecules; and (iv) downward energy transfer of initially excited mixed B800–B850 states. Presented here are NPHB results for intact LH2 and B800-deficient LH2 complexes of *Rps. acidophila* (strain 10050). The latter contain only one B800 molecule, rather than nine. In sharp contrast with the intact LH2 complex, the zero-phonon holewidths for B800-deficient LH2 complex were observed to be independent of the location of the burn frequency within the B800 band, 3.2 ± 0.3 cm⁻¹ at

4 K. This finding and others eliminate the first two of the above mechanisms. It is argued that the third mechanism is highly unlikely. It is proposed that mixed B800–B850 states are mainly responsible for the additional decay channel. These mixed states could undergo downward relaxation to B800 levels that are mainly “B800” in character and to levels that are mainly “B850” in character.

Introduction

The Q_y (S_1) electronic structure and excitation energy transfer (EET) processes of the light harvesting (LH)1 and LH2 complexes of purple bacteria have long been of much interest [1]. The determination in 1995 [2] of the X-ray structure of LH2 from *Rps. acidophila* (strain 10050) at a resolution of 2.5 Å stimulated even more activity. LH2 is also referred to as B800–B850 because of the location of the bacteriochlorophyll *a* (BChl *a*) absorption bands at ~ 800 and 850 nm at room temperature. The B800 and B850 molecules are located at the cytoplasmic and periplasmic sides of the membrane, respectively. The structure revealed that LH2 carries C_9 symmetry with the B800 ring a 9-mer of monomers and the B850 ring a 9-mer of dimers whose BChl *a* monomers are energetically inequivalent. (The symmetry is reduced to C_8 in *Rhodospirillum molischianum* [3].) Whereas the excitonic coupling between neighboring B850 molecules is strong, $\sim +300 \text{ cm}^{-1}$, the corresponding coupling between B800 molecules is weak, $\sim -20 \text{ cm}^{-1}$ (see Refs. [4,5]). Structural data for LH1 of *Rs. rubrum* point to it being a cyclic 16-mer of BChl *a* dimers with an inner diameter large enough to house the reaction center complex [6]. LH1 is often referred to as B875. These structures, together with results from femtosecond and nonphotochemical hole burning (NPHB) experiments, electronic structure and EET calculations have significantly improved

our understanding of the light-driven processes in the antenna system of purple bacteria (see the recent review by Sundström et al. [7]). In that article the importance of energy disorder, which stems from the structural heterogeneity of proteins, for understanding excitonic structure and EET is stressed. Energy disorder (diagonal and/or off-diagonal) and exciton-phonon coupling determine the extent to which the aggregate BChl *a* states are delocalized. The prevailing view is that the states of the B800 ring are highly localized on individual BChl *a* molecules while those of the B850 ring are not [7]. However, the extent of delocalization of the latter continues to be controversial (see Ref. [8] and references therein).

We present here hole burning and absorption results that shed new light on the intraband B800–B800 and interband B800→B850 EET processes. The latter has been extensively studied by ultra-fast pump-probe spectroscopy in *Rhodobacter* (*Rb.*) *sphaeroides* [9-13] and *Rps. acidophila* (strain 10050) [14-16], referred to hereafter as just *Rps. acidophila*. At room temperature the B800→B850 transfer times for both species are similar, ~ 0.7 ps. This time increases to 1.6 ps in the low temperature limit [11,16]. Persistent NPHB has also been used to determine the B800→B850 transfer times at liquid helium temperatures for *Rb. sphaeroides* [16-20] and *Rps. acidophila* [16,21]. The widths of the zero-phonon holes (ZPH) burned at the maximum and to lower energy of the B800 band were found to be constant and led to transfer times of 1.9 and 2.5 ps for *Rps. acidophila* and *Rb. sphaeroides*, respectively. Wu et al. [16] reported transfer times determined by femtosecond pump-probe and NPHB spectroscopies of 1.6 ± 0.2 ps and 1.9 ± 0.2 ps, respectively, for *Rps. acidophila* in the low temperature limit. Taken as a whole, the time domain and NPHB results establish that the lifetimes of the B800 molecules excited at the low energy side of the B800 band are determined by B800→B850 EET at low temperatures.

Of particular relevance to this paper is that the ZPH widths for both *Rb. sphaeroides* [20] and *Rps. acidophila* [16] were observed to increase (approximately linearly) as the burn wavelength (λ_B) was tuned to the blue of the maximum of the B800 band. For example, the holewidth at $\lambda_B = 790$ nm for *Rb. sphaeroides* is 7.7 cm^{-1} which corresponds to a lifetime of 700 fs, over three times shorter than the lifetime for $\lambda_B \geq 798$ nm (maximum of the B800 band). The “additional” decay channel for B800 was also detected at low temperatures in pump-probe experiments (see review by Sundström et al. [7]). For example, 783 nm excitation at the blue edge of the B800 band of *Rps. acidophila* (B800 absorption maximum at 803 nm) resulted in a lifetime of 400 fs [16]. A 400 fs component was not detected in the photobleaching of B850. The additional decay channel has also been observed for *single* complexes of *Rps. acidophila* [22].

Wu et al. [16] considered several explanations for the additional decay channel – that it is due to: (i) vibrational relaxation of BChl *a* modes excited at the blue side of the B850 band that build on either the B800 or B850 origin bands; (ii) downward relaxation of directly excited upper exciton levels of the B850 ring (such levels for *Rps. acidophila* have been predicted to lie in the near vicinity of the B800 band and be weakly absorbing [4,5,23]); and (iii) downward B800–B800 intraband EET. With regard to (iii), it was suggested that the “blue” B800 states might be mixed B800–B850 states involving certain upper B850 levels. de Caro et al. [20] favored interpretation (iii) with only B800 molecules involved. However, the EET calculations of Wu et al. [16] do not support this. Van Oijen et al. [22], aware of those calculations, favored interpretation (i). Wu et al. [16], on the basis of Franck-Condon factor arguments, considered that interpretation quite unlikely. The results of their pump-probe experiments argued against interpretation (ii). In the end, they favored interpretation

(iii) with the above mixed B800–B850 states playing a major role. Clearly, the question as to the nature of the additional B800 decay channel was left unsettled by the above studies.

We present here the results of NPHB experiments on LH2 complexes of *Rps. acidophila* that are almost deficient in B800 molecules but carry the full complement of B850 molecules that are weakly perturbed by the procedure used to remove the B800 molecules. The B800-deficient LH2 enabled us to determine the EET kinetics from a single B800 molecule to the B850 ring. The B800 lifetime was found to be independent of excitation wavelength within the B800 band and equal to 3.2 ± 0.3 ps at 4 K. Implications of this finding for the aforementioned mechanisms of the additional B800 decay channel are considered.

Experimental

The LH2 complexes of *Rps. acidophila* were isolated and purified as in Ref. [24]. After dilution in glycerol:water solution (2:1 by volume) containing 0.1% LDAO detergent in water (pH 8, 20 mM Tris HCl) samples were contained in polypropylene tubes (i.d. = 9 mm) and cooled in a Janis 8-DT liquid helium cryostat for ambient pressure studies. A Lake Shore temperature controller (Model 330) was used for stabilization and measurement of temperature.

The procedure for removing the B800 molecules from the LH2 complex has been extensively described elsewhere [25]. Briefly, B800 molecules were released by incubating a LH2 sample containing Triton BG-10 at a pH of 4.75 at 30°C for 1 h. B850 complexes lacking B800 molecules were then purified by ion exchange chromatography using

phosphocellulose as the absorbant. This procedure leads to almost complete removal of B800 molecules [25].

The hole burning apparatus is described in detail elsewhere [26]. In short, a Bruker IFS 120 HR Fourier transform spectrometer was used to record preburn and postburn absorption spectra at a resolution of 0.5 cm^{-1} . The burn laser was a Coherent 899-21 Ti:sapphire laser (linewidth of 0.07 cm^{-1}) pumped by 15 W Coherent Innova 200 Ar ion laser. The reported widths of the ZPH burned in the B800 band were obtained from ZPH with a fractional hole depth less than 0.07. Burn intensities and times are given in the figure captions.

Results and Discussion

Figure 1 shows the 4.2 K absorption spectra of *Rps. acidophila* for intact LH2 (a) and B800-deficient LH2 (b). The B800 and B850 bands of the former are located at 802.9 and 868.7 nm, respectively, and carry widths (fwhm) of 135 cm^{-1} and 210 cm^{-1} , respectively. These values are similar to those reported in [27,28] for intact LH2 complexes isolated and purified by the same procedure. The spectrum of B800-deficient LH2 is similar to that given in [25]. The B850 band is red-shifted by 1.2 nm relative to the value for intact LH2 and its width is broader by 25 cm^{-1} . A more detailed comparison of the two B850 bands is given later. The integrated intensity of the weak band at 799.7 nm relative to that of the B800 band of intact LH2 is 0.025 upon equalization of the peak intensities of the B850 bands.

Assignment of the 799.7 nm band. Gall et al. [25] suggested that this band may be due to residual B800 molecules bound to the β -apoproteins of LH2 or a weakly absorbing upper exciton level of the B850 ring. (The possibility that it is due to free BChl *a* monomer

could be excluded since the monomer absorbs at ~ 770 nm ($13,000$ cm^{-1}). The spectra in the inset of Figure 1 and other results eliminate the latter possibility. Spectrum b is a magnified view of the $12,500$ cm^{-1} region of spectrum b. Spectrum c is that of the same sample after storage at 0°C in the dark for one week. While the B850 band was unaffected by storage (result not shown), the intensity of the 799.7 nm band decreased by a factor of ~ 4 . This is inconsistent with an upper exciton level assignment. We attribute the diminuation in intensity to glycerol which readily extracts B800 (but not B850) molecules from the LH2 complex (unpublished results). Thus, in studying “intact” LH2 complexes of *Rps. acidophila* it is important to add glycerol last and cool quickly to low temperatures. We note that B800-deficient LH2 can be reconstituted with a variety of chlorophyll-type molecules [25,29]. Additional support for the assignment of the 799.7 nm band to residual B800 molecules in the LH2 complex is provided by the observation that its peak position is invariant to temperature between 4 K and room temperature (results not shown). This unusual temperature independence is precisely that observed for the B800 band of intact LH2 complexes of *Rps. acidophila* and *Rb. sphaeroides* [28]. In contrast, the B850 band exhibits a strong linear blue shift for $T \geq 150$ K, 0.9 cm^{-1}/K for *Rps. acidophila* [28]. The same shift rate was observed for the B850 band of B800-deficient LH2 (data not shown). This shifting provides additional support for the 799.7 nm band not being due to an upper exciton level for the B850 ring. With the assignment of the 799.7 nm band to residual B800 molecules in the LH2 complex, the diminuation factor of 0.025 (*vide supra*) results in there being 0.2 B800 molecules per LH2 complex. Under the reasonable assumption that the kinetics of B800 dissociation from the LH2 complex is the same for all complexes in the bulk sample, the

most probable situation is that ~ 80% of the complexes are devoid of B800 molecules while ~ 20% contain 1 B800 molecule.

B800 zero-phonon holewidths for B800-deficient LH2. These widths are shown in the inset of Figure 2. The experimental uncertainty is estimated at $\pm 0.3 \text{ cm}^{-1}$. Thus, the widths are independent of burn (excitation) wavelength located within the B800 band. This independence is in striking contrast with the results for intact LH2 for which the holewidth increases for λ_B shorter than that of the maximum of the B800 band, cf. Introduction. This dependence for intact LH2 of *Rps. acidophila* is shown in Figure 3. The average of the holewidths for B800-deficient LH2 is $3.2 \pm 0.3 \text{ cm}^{-1}$, which corresponds to B800→B850 EET time of 3.2 ps. We attribute this lifetime, which is somewhat longer than that for intact LH2 (*vide infra*), to LH2 complexes that contain only 1 B800 molecule. The independence of the holewidth on λ_B for B800-deficient LH2 eliminates two of the interpretations for the additional decay channel of B800 presented in the Introduction. They are that it is due to vibrational relaxation of BChl *a* modes excited at the high energy side of the B800 band; and excitation of upper exciton levels of the B850 ring that undergo downward relaxation (here we consider that these levels are determined by a Hamiltonian that involves only the B850 molecules). Elimination of the latter follows since deletion of B800 molecules results in only a small red-shift (1.2 nm) of the B850 band and does not significantly affect the BChl *a*–BChl *a* couplings of the B850 ring (*vide infra*). That is, the locations of the B850 upper exciton levels should be close to those of intact LH2. (We note that circular dichroism studies of a B800-free mutant of *Rb. sphaeroides* led to assignment of an upper exciton level located on the high energy side of the B800 band [30].) Similarly, the vibronic structure associated with the B850 exciton manifold of B800-deficient LH2 should be very similar to

that of intact LH2. Since the B800–B800 nearest neighbor excitonic coupling is weak relative to the diagonal energy disorder, the B800 excitations are expected to be highly localized on individual B800 molecules [7]. Thus, the low frequency vibrational structure that builds on the B800 origin transition of a single B800 molecule in B800-deficient LH2 should also be similar to that of intact LH2. Therefore, the first of the above two interpretations also appears very unlikely.

We conclude, therefore, that the additional B800 decay channel is due to intraband B800–B800 EET. In LH2 complexes possessing only one B800 molecule this channel is eliminated, explaining, therefore, the λ_B -independence of the B800 holewidths in Figure 2. In what follows we consider the question of the mechanism by which B800–B800 transfer occurs.

Mechanistic aspects of B800–B800 transfer. Although several groups have calculated the kinetics of B800→B850 EET [16,31-35], there has been only one reported calculation on the kinetics of B800–B800 transfer [16]. In that work, Wu et al. attempted to explain holewidth data for the B800 band of the type shown here in Figure 3. Before discussing their results, a few remarks regarding current thinking on B800–B800 transfer are in order: (i) to a good approximation B800–B800 transfer can be viewed as occurring between states localized on individual BChl α molecules; (ii) the dipole–dipole coupling approximation for calculating B800–B800 excitonic couplings should be accurate since Mg...Mg distances between BChl α –BChl α molecules are ≥ 21 Å; (iii) while the nearest neighbor coupling appears to be sufficiently strong to explain a B800–B800 transfer time of ~ 1 ps for optimal spectral overlap between the donor fluorescence and acceptor absorption spectra [16], coupling between non-nearest neighbors is not [36]; and (iv) the B800→B850

transfer time should be weakly dependent on excitation frequency within the B800 band. Item (iv) follows from the data of Refs. [16,31] which show that large (hundreds of cm^{-1}) pressure-induced changes in the B800–B850 energy gap have only a weak effect on the B800→B850 transfer time. The results presented here for B800-deficient LH2 are entirely consistent with this. Thus, the contribution from B800–B800 transfer to the holewidths can be obtained by subtracting the constant holewidth on the red side of the B800 band from the measured widths. The resulting residual holewidths for the data in Figure 3 are given by the right ordinate in that figure. The width subtracted was 4.0 cm^{-1} ; the average of the widths for the four lowest ω_B -values is $4.0 \pm 0.2 \text{ cm}^{-1}$, which corresponds to a B800→B850 transfer time of $2.5 \pm 0.1 \text{ ps}$ (a holewidth of 1 cm^{-1} corresponds to a 10 ps lifetime). The residual holewidth of 22 cm^{-1} corresponds to the solid circle data point (from Ref. [16]) in the figure. Importantly, it lies on the straight line defined by the four diamond data points. The 22 cm^{-1} width corresponds to a lifetime of 0.4 ps which two-color pump-probe spectra indicated is due to downward B800 intraband transfer. For ω_B -values $\lesssim$ the frequency of the B800 band maximum, this transfer is too slow, relative to B800→B850 transfer, to be measurable.

Returning to the aforementioned paper by Wu et al. [16], they employed the weak coupling, nonadiabatic rate expression of Kolaczowski et al. [37] which requires, as input, the electron-phonon coupling parameters for the B800 $S_0 \rightarrow Q_y$ optical transition. It is this coupling that governs the spectral overlap between the donor fluorescence and acceptor absorption spectra. The hole burned spectra revealed coupling to phonons with a peak energy of $\omega_m \sim 30 \text{ cm}^{-1}$, a Huang-Rhys factor S of 0.3 (weak coupling) and a one-phonon profile width of $\sim 30 \text{ cm}^{-1}$. The results of their calculations, which employed a reasonable value for the nearest neighbor coupling, revealed that a $\sim 1 \text{ ps}$ B800–B800 transfer time is

possible when the donor-acceptor energy gap is close to ω_m in value. However, the spectral overlap (density) for gaps larger than $\sim 30 \text{ cm}^{-1}$ decreases quite rapidly. For gaps of 50, 60 and 80 cm^{-1} the spectral overlaps, relative to the value for the 30 cm^{-1} gap, are 0.15, 0.04, and 0.001, respectively. As a result, the ω_B -dependence of the holewidth shown in Figure 3, which is very similar to that observed for *Rb. sphaeroides* [20], could not be explained. (In addition, their calculations predicted a strong temperature dependence for B800–B800 transfer which is not observed [38].) To expand on their reasoning we consider the function

$$F(\omega_B) = \int_{\omega_B}^{\omega_B} d\omega \rho(\omega) D(\omega_B - \omega) \quad (1)$$

which governs downward B800–B800 energy transfer for the localized excitations. $\rho(\omega)$ is the site excitation energy distribution function (SDF) which can be taken to be a Gaussian. $D(\omega_B - \omega)$ is the square of the spectral overlap factor for sites excited at ω_B that transfer downward to sites at ω . It is instructive to consider first the case where $D(\omega_B - \omega)$ is smooth and slowly varying over the frequency range defined by the SDF. This situation would arise when the electron-phonon coupling is strong and/or involves phonons whose frequencies cover a broad range. One can then remove $D(\omega_B - \omega)$ from the integral with the result being that $F(\omega_B)$ has a sigmoidal shape. It is shown in the inset of Figure 3 for $\rho(\omega)$ a Gaussian with $\text{fwhm} = 120 \text{ cm}^{-1}$, a value appropriate for the B800 band. $F(\omega_B)$ represents the fraction of B800 molecules that lie lower in energy than ω_B . Although the straight experimental line may correspond to the linear region of the sigmoidal curve, it is shifted substantially to the left of the latter. Thus, the prediction that the B800–B800 transfer time for ω_B located at the maximum of the SDF (solid curve in inset) should be twice that for ω_B located at its blue edge is at odds with the experimental data. Furthermore, the experimental holewidths show

no indication of leveling off at high values of ω_B . This is also the case for *Rb. sphaeroides* [20]. The situation worsens when $D(\omega_B - \omega)$ in Eq. 1 is not smooth and slowly varying, but rather a “window” function centered at $\omega = \omega_B - \Delta$. (Based on the values of the electron-phonon coupling parameters used in [16], $D(\omega_B - \omega)$ would be peaked at $\sim 60 \text{ cm}^{-1}$ and carry a width of $\sim 50 \text{ cm}^{-1}$). In this case one expects, for example, that leveling off of the holewidth at higher frequencies should onset at a lower frequency. In this regard it is instructive to consider that $D(\omega_B - \omega)$ is much sharper than $\rho(\omega)$ and peaked at $\omega_B - \Delta$. Then $F(\omega_B) \sim \rho(\omega_B - \Delta)$, from which it is apparent that the differences between the widths of holes burned on the low and high energy sides of the B800 band should not be nearly as large as those indicated by the data.

The above analysis leads us to conclude that the holewidth data cannot be understood in terms of downward B800–B800 energy transfer that involves only the B800 molecules. We propose, as in Ref. [16], that the data are the result of mixed B800–B850 states with the degree of mixing being greater on the high energy side of the B800 band than on the low energy side. The relevant B850 levels would be the upper exciton levels of the B850 ring and be biased towards the high energy side of the B800 band. Excitonic structure calculations were performed in the nearest dimer–dimer coupling approximation with a Hamiltonian defined by [23]

$$E_l^j = e_l + 2V_l \cos(2\pi j/n), j = 0, 1, \dots, 8 \quad (2)$$

$$E_u^j = e_u + 2V_u \cos(2\pi j/n) \quad (3)$$

and

$$H_{ul}^j = 2V_{ul} \cos(2\pi j/n), \quad (4)$$

where e_l and e_u are the energies of the lower and upper levels of the basic dimer of the B850 ring. (The basic dimer is that of the protomer of the B850 ring as defined in Ref. [4].) Eqs. 2 and 3 define the energies associated with the exciton manifolds spawned by the l and u dimer levels; V_l and V_u are the nearest dimer–dimer coupling constants. In the absence of energy disorder, intermanifold mixing is restricted to levels of the same j-value and given by Eq. 4.

As explained in Ref. [39], the V_l , V_u and V_{ul} dimer–dimer couplings can be determined from the monomer–monomer coupling energies. The values of Sauer et al. [4] for the latter yield $V_u = 100 \text{ cm}^{-1}$, $V_l = -200 \text{ cm}^{-1}$, and $V_{ul} = 130 \text{ cm}^{-1}$ (slightly rounded off values) [23]. The coupling energies between the monomers of the basic dimer is $\sim 300 \text{ cm}^{-1}$. Thus, we use $e_u - e_l = 600 \text{ cm}^{-1}$ as in Ref. [23]. The energies of the B850 exciton levels obtained are shown at the bottom of Figure 4 (scheme (a)). The solid and dashed lines denote levels mainly associated with the l- and u-manifolds, respectively. As expected, the energies of the excitonic levels are very similar to those of Sauer et al. [4] As shown in Refs. [4,5], the structure of the B850 ring dictates that the lowest energy E_1 level carried almost all of the absorption intensity. This is why the lowest E_1 level is placed at the maximum of the B850 absorption band in Figure 4. Wu and Small pointed out that levels of E_2 , E_3 and E_4 symmetry are forbidden [40]. The A levels and upper E_1 level, although symmetry allowed, are highly forbidden because of the structural arrangement of the Q_y -transition dipoles. The lowest A level was predicated to carry $< 1\%$ of the absorption intensity of the B850 band in the absence of energy disorder [4,5]. An important benchmark for excitonic calculations is the splitting, ΔE , between the lowest A and E_1 levels. The calculations of Sauer et al. [4] and Alden et al. [5] led to a ΔE -value close to 100 cm^{-1} . The value in energy level scheme (a) of Figure 4 is 110 cm^{-1} . The experimental value, as determined at 4 K by ZPH action

spectroscopy, is 200 cm^{-1} [27,28]. Furthermore, the percentage contribution of the A level (often referred to as B870) to the intensity of the B850 band was found to be $\sim 3\%$.

Energy disorder, whether diagonal or off-diagonal, couples the A and E_1 levels, thereby increasing ΔE and the absorption intensity of the A level by intensity borrowing from the E_1 level. However, energy disorder of sufficient strength to yield $\Delta E = 200\text{ cm}^{-1}$ resulted in far too high an absorption intensity for the B870 band [23]. Wu et al. [23] concluded that the nearest monomer–monomer coupling energy for adjacent dimers at 4 K is substantially greater than that calculated in Ref. [4] using the room temperature structure. This was strongly supported by the temperature dependence of the B850 absorption band [28] which showed that LH2 complex undergoes a structural change at 150 K (also observed for *Rb. sphaeroides* [28]). Theoretical analysis of the thermal broadening data, which are characteristic of downward inter-exciton level relaxation, led to the conclusion that the above coupling for the low temperature structure is $\sim 35\%$ stronger than that of the high temperature structure. It was suggested that this increase is primarily associated with electron-exchange coupling.

The 35% increase in coupling leads to $V_u = 170\text{ cm}^{-1}$, $V_l = -270\text{ cm}^{-1}$, and $V_{ul} = 200\text{ cm}^{-1}$. The resulting excitonic energies obtained with $e_u - e_l = 600\text{ cm}^{-1}$ are shown in scheme (b) in Figure 4. Comparing schemes (a) and (b), one sees that for (a) the five highest energy levels are symmetrically disposed about the B800 band while in (b) they lie to the blue of the B800 band maximum. The ΔE -value for (b) is 150 cm^{-1} . It is likely that the structural change at 150 K also increases the monomer–monomer coupling of the basic dimer. However, the two monomers of that dimer are symmetry inequivalent; their site excitation energies could differ by $\sim 200\text{ cm}^{-1}$ [5]. This being the case, the effect of the

structural change on the energies of the u and l dimer levels would be diluted. The result of increasing $e_u - e_l$ to 690 cm^{-1} (a 15% increase) is shown as scheme (c) in Figure 4. As expected, this increase has only a small effect on the splittings between the A, E_1 and E_2 levels associated with the B850 band. The main effects are to shift the five highest energy levels further to the blue and change the splittings between them.

Schemes (b) and (c) of Figure 4 are consistent with the exciton levels that stem from the u-dimer level lying to higher energy of the B800 band maximum. This is also the case for the E_4 level associated with l-dimer level. We believe that the values of 170, -270 and 200 cm^{-1} for V_u , V_l and V_{ul} , respectively, are reasonable since, when diagonal energy disorder is taken into account, a ΔE value of $\sim 200 \text{ cm}^{-1}$ and $\sim 3\%$ contribution from B870 to the B850 absorption band was obtained [23]. The value of $e_u - e_l$ is more uncertain. There have been many calculations of the excitonic structure of the B850 ring of *Rps. acidophila*. All are based on the room temperature structure of LH2 and, thus, do not take into account the structural change at 150 K. The reader is referred to Linnanto et al. [41] for discussion of the range of values obtained for the intradimer monomer–monomer coupling and nearest monomer–monomer coupling between adjacent dimers and the methods and assumptions used. The variations in these couplings are quite significant, as are the widths of the B850 exciton manifold they lead to. Suffice to say that exciton manifolds (b) and (c) in Figure 4 cannot be argued at this time to be unreasonable. We note that Koolhaas et al. [30] recently concluded that the nearest neighbor coupling in the B850 ring of *Rbs. sphaeroides* at 77 K is $\sim 300 \text{ cm}^{-1}$, a value obtained from theoretical analysis of the CD spectrum of an upper B850 exciton level located on the blue side of the B800 band.

It follows from level schemes (b) and (c) in Figure 4 that mixing of the upper B850 exciton levels should be stronger with B800 molecules that absorb on the high energy side of the B800 band. (The strongest coupling between B800 and B850 molecules is $\sim 30 \text{ cm}^{-1}$ [4].) The resulting mixed B800–B850 states may be responsible for the tailing of the B800 band on its high energy side. The Franck-Condon factors for low frequency intramolecular BChl *a* modes [42] are too small to account for the tailing, results not shown.

The interpretation that has mixed B800–B850 states figuring importantly in the additional B800 decay channel is plausible since mixing of the upper B850 levels with nearby B800 levels in *intact* LH2, with its nine B800 molecules, can be expected to be significantly stronger than in LH2 complexes containing only one B800 molecule. (Obviously, the B800 absorption intensity from which the B850 levels can steal intensity is nine times greater in native LH2.) Excitonic calculations that take into account energy disorder, which determine the extent to which the B850 levels are delocalized, are required to assess how much stronger the mixing in native LH2 is. To a good approximation, one could assume that B800 excitations are localized on single B800 molecules and that removal of B800 molecules has no effect on the structure of the B850 ring (*vide infra*). Such calculations are beyond the scope of this paper. It is, however, instructive to consider a B850 level localized on a dimer that interacts with a complex containing only one B800 molecule. The probability that the dimer would be positioned to make the closest possible contact with that B800 molecule is 1/9 whereas it is 1 for intact LH2. Of course, dimers not in closest proximity to the B800 molecule would need to be taken into account. It should be noted the situation for B800→B850 transfer is different. Here, in the first approximation, one expects

that the rates for intact LH2 and B800-deficient LH2 should be similar. The holewidth data are consistent with this.

There are experimental results that provide some support for mixed B800–B850 states located on the high energy side of the B800 band. First, the pump-probe spectra of ref 16 obtained with excitation on the blue side of the B800 band ($T = 19$ K) revealed an essentially prompt (~ 30 fs) excited state absorption of B850, the expected behavior for excitation of a mixed B80–B850 state. Second, the magnitude of the permanent dipole moment change for the $S_0 \rightarrow B800^*$ transition, as determined by Stark-hole burning spectroscopy, was observed to *increase* by 10% as the burn frequency was tuned from the red edge of the B800 band to its maximum [43]. Such behavior is opposite to that routinely observed for an inhomogeneously broadened absorption band of a “pure” state. We plan to extend the Stark measurements to the blue edge of the B800 band as well as perform high pressure-hole burning experiments with burn frequencies that range from the red to blue edges of the B800 band since the linear pressure shift rate for the B850 band is a factor of ~ 4 greater than that of the B800 band [28]. The results of such experiments should allow for further testing of the above proposal. Although other mechanisms we have not considered may be possible, the above mechanism, given our present knowledge, seems the most reasonable one.

To conclude this subsection we consider possible relaxation pathways for the mixed B800–B850 states. Downward relaxation between them which culminates in population of levels that contribute to the low energy side of the B800 band and which are mainly “B800” in character is one. This pathway is in accord with the femtosecond pump-probe spectra reported in [16] which were obtained by pumping on the high energy side of the B800 band

and probing at lower energies within the B800 band. The second pathway would be downward relaxation to levels that lie lower in energy than the B800 band, i.e. the lowest five levels in schemes (b) and (c) of Figure 4. Such a pathway is also suggested by the results in Ref. [16] which show that pumping on the high energy side of the B800 band results in prompt excited state absorption of the B850 ring. Detailed excitonic calculations that take into account energy disorder and correctly deal with the vibrational and phononic structure associated with the B850 exciton levels are required to assess the relative importance of the two pathways. It should be noted, in the case of the B850 ring, that the vibronic structure problem is difficult because one is in the strong coupling limit where the width of the exciton manifold is $\geq$ intramolecular vibrational frequencies [44]. In calculations performed thus far the opposite limit was assumed, see, e.g., Refs. [34,35].

B850 excitonic structure in B800-deficient LH2. As mentioned, the B850 band of B800-deficient LH2 is slightly red-shifted (1.2 nm) relative to that of intact LH2 and somewhat broader (235 vs. 210 cm^{-1}). One cannot be sure that these rather small differences are due to removal of B800 molecules since differences of this magnitude have been observed for different samples of intact LH2. For example, a B850 bandwidth of 200 cm^{-1} was reported in [28] for an intact LH2 sample prepared by the same isolation and purification procedure used in this work. Nevertheless, the spectra of Figure 1 indicate that removal of B800 molecules has a weak effect on the B850 ring. This is supported by the observation that the thermal broadening and shifting of the B850 band of B800-deficient LH2 is identical to those of intact LH2 [28] (results not shown). As discussed in detail in Ref. [28], the thermal broadening is characteristic of downward inter-exciton level relaxation. The thermal broadening of the B800 band is entirely different and characteristic of that observed for

monomeric optical transitions. Additional support is provided by the ZPH action spectrum of the lowest A exciton level (B870) of B800-deficient LH2, Figure 5. Here, the solid circles are the ΔOD values of ZPH burned at different wavelengths. Zero-phonon hole action spectroscopy, first introduced in Ref. [45], has proven to be a powerful technique for locating and characterizing the lowest exciton level of photosynthetic complexes [46]. The solid curve through the data points is a Gaussian fit that yields a fwhm of 125 cm^{-1} , within experimental uncertainty the same as the value reported in [27]. To a good approximation the Gaussian curve is the site distribution function (SDF) for B870. However, ΔE (displacement between the maxima of the B850 absorption band and the action spectrum) is 245 cm^{-1} , 45 cm^{-1} larger than the value reported in [27]. We remind the reader that ΔE is the apparent energy gap between the A level (B870) and the strongly allowed E_1 level and that in order to obtain a “true” value one needs to take into account the distribution of peak absorption cross-sections for B870 of different single complexes. This distribution is a consequence of structural heterogeneity. The result is that the apparent value of ΔE is larger than the true value [23]. Although the above discrepancy of 45 cm^{-1} may be due to an increase in coupling between B850 molecules in B800-deficient LH2, we think it more likely that it is mainly a consequence of greater energy disorder in B800-deficient LH2. One expects that increasing energy disorder (diagonal or off-diagonal) should be reflected by an increase in width of the B850 absorption band. Increasing energy disorder also leads to an increase in ΔE as first shown in Refs. [5,40]. As discussed in Ref. [23], ΔE for both *Rb. sphaeroides* and *Rps. acidophila* has decreased over the years as the quality of isolated LH2 complexes has improved, i.e. as the widths of the B800 and B850 bands have decreased. For example, the ΔE values reported for *Rb. sphaeroides* samples that exhibited B850

bandwidths of 280 and 230 cm^{-1} are 250 and 185 cm^{-1} , respectively. Returning to Figure 5, $\Delta E = 245 \text{ cm}^{-1}$ and the width of the B850 band is 245 cm^{-1} . The value of $\Delta E = 200 \text{ cm}^{-1}$ reported in Ref. [23] was for a sample exhibiting a B850 bandwidth of 200 cm^{-1} . We conclude, therefore, that almost complete removal of the B800 molecules does not significantly affect the excitonic structure of the B850 ring.

Conclusions and Final Remarks

The primary motivation for this work was to gain new insight on the nature of the additional B800 decay channel which is observed upon excitation on the high energy side of the B800 absorption band. To this end, NPHB experiments were performed on LH2 complexes of *Rps. acidophila* (strain 10050) that contain only one B800 BChl *a* molecule. The key finding is that the zero-phonon holewidths associated with the B800 band of B800-deficient LH2 are independent of the location of the burn frequency within that band (Figure 2), in sharp contrast with intact LH2 (Figure 3). It was determined, on the basis of the temperature dependence of the B850 absorption band and zero-phonon hole action spectrum (Figure 5) of the lowest exciton level of A symmetry (B870), that the removal of B800 molecules has essentially no effect on the excitonic structure of the B850 ring. This, together with the above independence of the holewidths, led us to eliminate mechanisms (i) and (ii) (see Abstract) for the additional decay channel. It could be concluded, therefore, that this channel must involve B800 intraband energy transfer. It was argued, however, that the holewidth data in Figure 3 for intact LH2 are inconsistent with downward B800–B800 energy transfer involving only the B800 molecules (mechanism (iii)). This followed from consideration of the spectral density that enters into the rate expression for energy transfer.

We have proposed that the additional decay channel is due to mixed B800–B850 levels that contribute significantly to the high energy side of the B800 absorption band. Results from previous studies that provide some support for this model were presented. However, additional experiments are required to test this model. They include Stark- and high pressure-hole burning experiments with burn frequencies that span the entire B800 absorption band. Such experiments are planned. More detailed ultrafast pump-probe experiments which test the notion that the mixed B800–B850 states could decay by two pathways should also be informative. The two suggested pathways are relaxation to B800 levels which are mainly “B800” in character and dominate the absorption on the low energy side of the B800 band and relaxation to lower energy levels mainly associated with the B850 ring. Excitonic structure and energy transfer calculations which account for energy disorder and incorporate appropriate spectral densities are also required. In this regard, we suggest that the effective Hamiltonian defined by Eqs. 2–4 with the parameter values used to generate exciton levels schemes (b) and (c) in Figure 4 would be good starting points. Concerning the determination of an acceptable level of energy disorder in the low temperature limit, we have reemphasized [27,28] the importance of the splitting (ΔE) between the lowest E_1 and A (B870) measured by ZPH hole action spectroscopy and the $\sim 3\%$ contribution of B870 to the B850 absorption band.

Finally, it should be emphasized that the residual holewidths given in Figure 3 determine the decay times of the additional decay channel ($10 \text{ cm}^{-1} = 1 \text{ ps}$).

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Figure Captions

Figure 1. The 4.2 K absorption spectra of intact (a) and B800-deficient LH2 (b) normalized to equal peak intensities of the B850 band. Inset: spectrum (b) is an expanded view of the 800 nm region of B800-deficient LH2; spectrum (c) is that of the same sample after storage in the dark at 0°C for one week.

Figure 2. Widths of zero-phonon holes burned into the B800 band with different burn frequencies at 4.2 K (inset). The burn intensity and time were $\sim 120 \text{ mW/cm}^2$ and 100 s. The fractional hole depths were 0.02–0.03. The uncertainty in the widths is $\pm 0.3 \text{ cm}^{-1}$. Thus, the holewidth is independent of burn frequency. The average of the holewidths is $3.2 \pm 0.3 \text{ cm}^{-1}$ which corresponds to a B800→B850 transfer time of 3.2 ps (see text for discussion). For convenience the absorption spectrum from Figure 1 is shown.

Figure 3. Widths of zero-phonon holes burned into the B800 band of intact LH2. The diamond data points are from this study. The burn intensity and time were $\sim 120 \text{ mW/cm}^2$ and 100 ps. Fractional hole depths did not exceed 0.03. The solid circle data point is from Ref. [16] and corresponds to a holewidth of 26 cm^{-1} . Importantly, it falls on the straight line defined by the four diamond data points. The inset shows the SDF of the B800 molecules (width = 120 cm^{-1}) and a sigmoidal curve calculated with Eq. 1 with $D(\omega_B - \omega)$ a constant. See text for discussion.

Figure 4. Exciton levels of the B850 ring calculated with the Hamiltonian defined by Eqs. 2–4. The values used for $e_u - e_l$, V_l , V_u and U_{ul} are, respectively (in the unit of cm^{-1}): (a) 600, -200, 100, 130; (b) 600, -270, 170, 200; and (c) 690, -200, 100, 130. The solid and dashed vertical lines denote exciton levels mainly associated with the l- and u-manifolds (see text). The asterisk indicates closely spaced levels of E_2 and E_4 symmetry belonging to the l- and u-manifolds, respectively. The X indicates closely spaced E_3 and E_4 levels belonging to the l- and u-manifolds.

Figure 5. The zero-phonon hole action spectrum of the lowest energy A level of the B850 ring (B870) for B800-deficient LH2. The circles are the Δ absorbance changes for holes burned at different frequencies with a constant burn intensity of 50 mW/cm² and burn time of 30 s. The maximum fractional hole depth was 0.06. For ease of discussion the B850 absorption band is also shown.

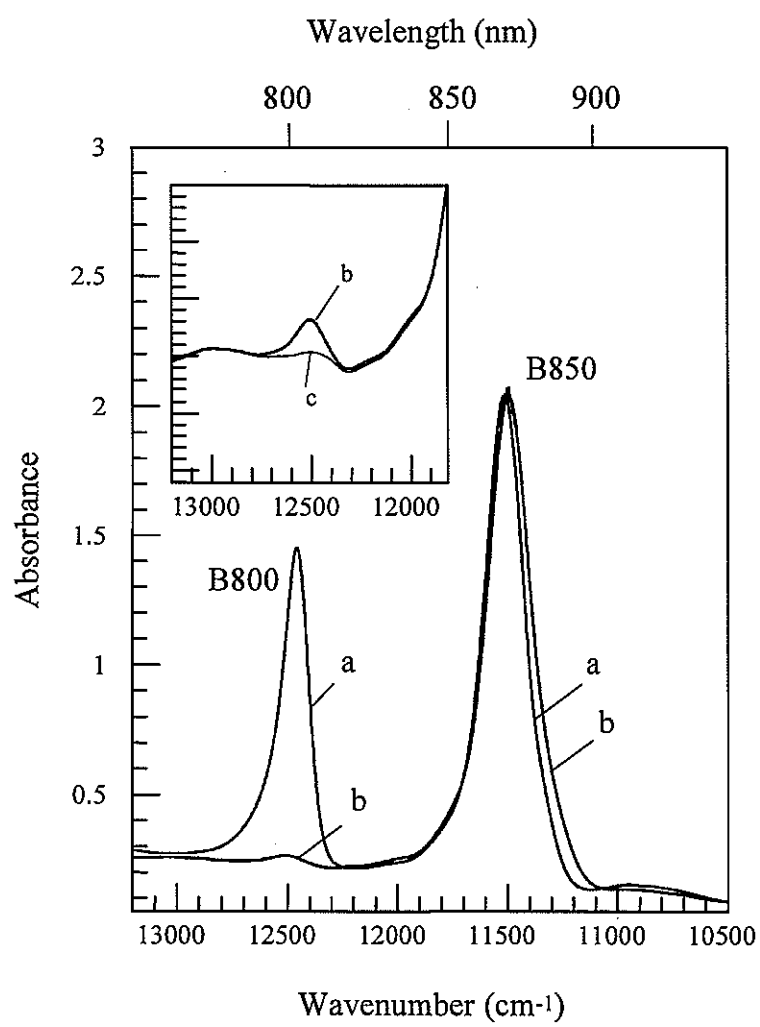


Figure 1.

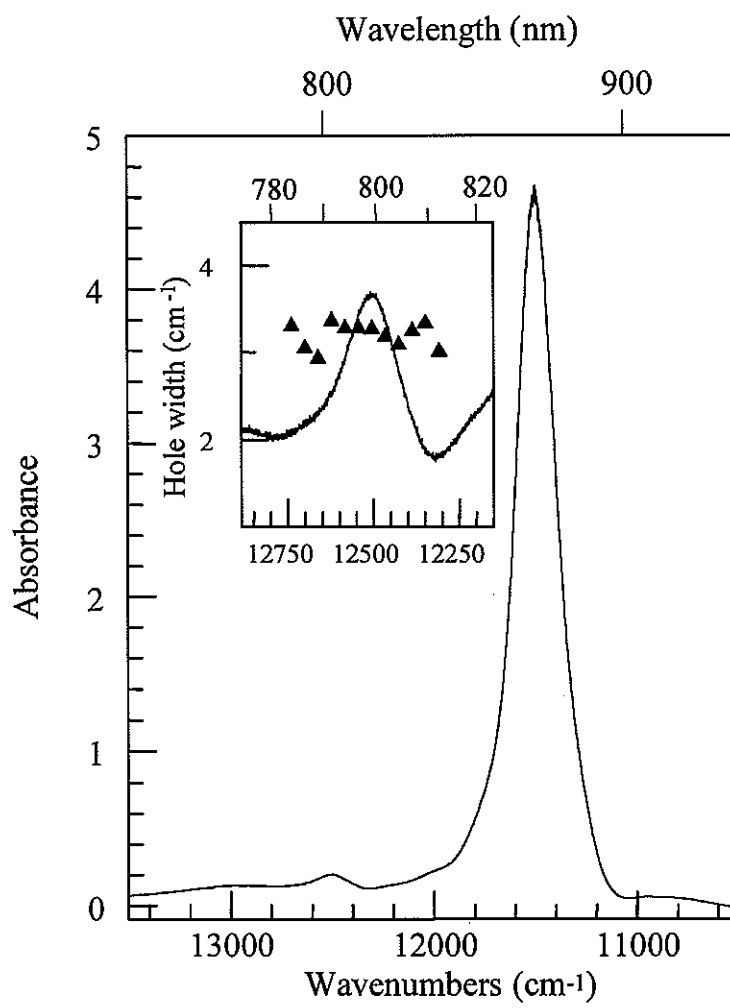


Figure 2.

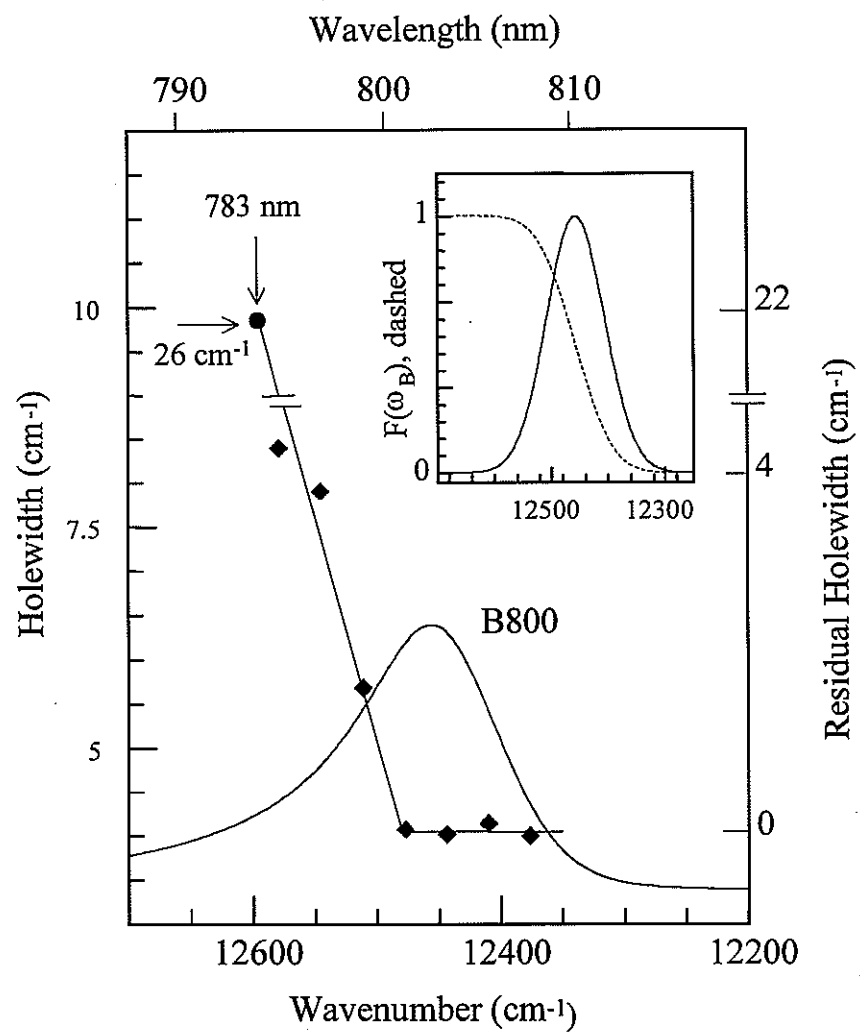


Figure 3.

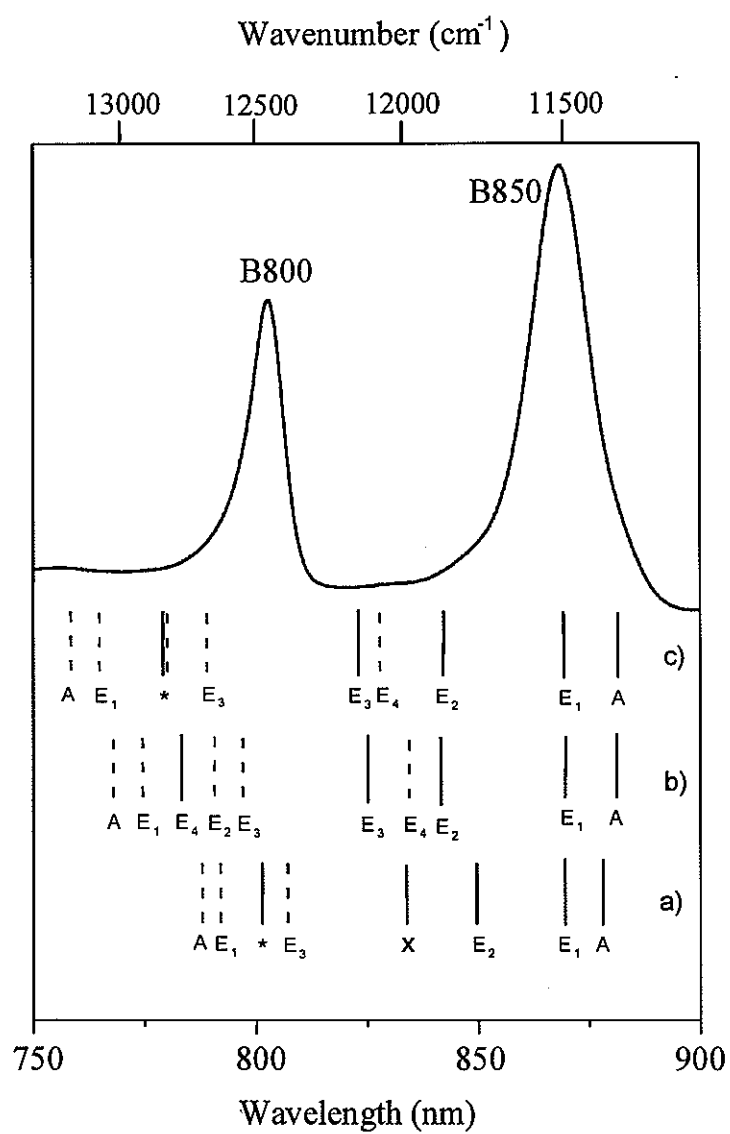


Figure 4.

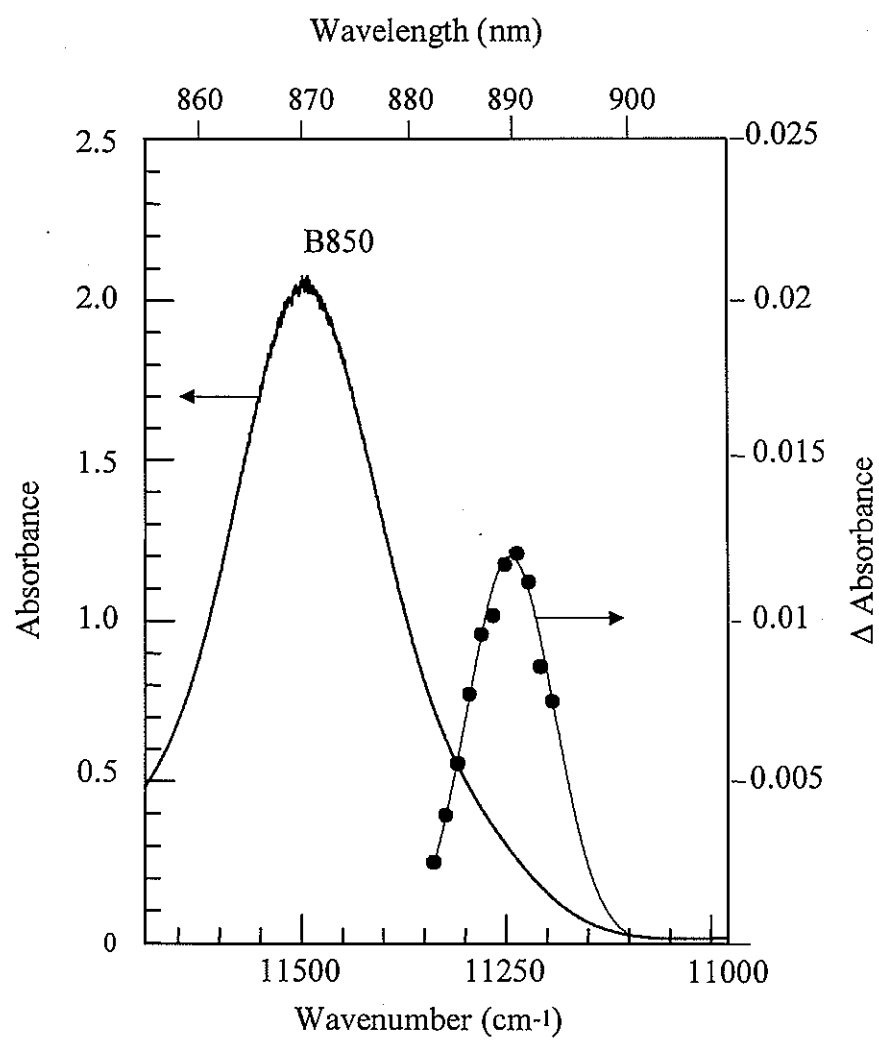


Figure 5.

CHAPTER 5. GENERAL CONCLUSIONS

Nonphotochemical hole-burning (NPHB) spectroscopy was used in this work to study bacterial antenna complexes. The research focused on the Q_y -state electronic structures and energy transfer dynamics of these systems. Theoretical models were used to simulate the data obtained and determine how well the assumptions made in the models successfully describe the systems.

The lowest energy absorption band at 825 nm of FMO complex from *P. aestuarii*, which consists of three states, was burned nonphotochemically and its low energy satellite holes were analyzed to determine the excitation energy transfer (EET) kinetics for the contribution of two higher energy states to the 825 nm band. The satellite holes at 36, 48, 72, 120 and 165 cm^{-1} were concluded, based on simulations, to be pseudo-phonon sideband holes (PSBH) and these phonons were considered due to BChl *a* intramolecular modes. In addition, the temperature dependencies of zero-phonon hole (ZPH) widths burned in the 825 nm band at $\lambda_B = 822.6, 824.6$ and 826.6 nm showed similarity to those of *Cb. tepidum* and these three wavelengths were close to the absorption maxima of the three states. Additional energy transfer calculations that account the effects of energy disorder into the electron-phonon coupling parameters were suggested for future study.

NPHB on LH2 complexes of *Rps. acidophila* (strain 10050) that contain only one B800 BChl *a* molecule, in contrast with intact LH2, were performed to determine an additional B800 decay channel. ZPH action spectrum of the lowest exciton level (A symmetry) and the temperature dependency of the B850 absorption band found a deficiency of B800 molecules does not affect on the excitonic structure of the B850 ring. The above

results and another finding, which showed ZPH widths associated with the B800 band (of B800-deficient LH2) are independent of λ_B within the band, concluded that the decay channel is due to mixed B800–B850 levels, which may be a major contribution to the high energy side of the B800 absorption band. The model established the necessity for additional experiments such as Stark- and high pressure-hole-burning experiments and/or ultrafast pump-probe experiments, as well as further excitonic level calculations taking account of energy disorder, to check the modeled two decay pathways of the mixed B800-B850 states (downward relaxation to B800 levels that are mainly “B800” in character and to levels that are mainly “B850” in character).

APPENDIX. 2-MODE/3-GAUSSIAN HOLE PROFILE SIMULATION PROGRAM

Following is the program used to test to fit the experimental hole spectra of FMO complex described in Chapter 3. The original program (1-Gaussian) was written by Dr. John Hayes in C/C++ to calculate hole profiles analytically in the short burn time limit, according to our groups' hole burning theory [1,2]. Variety of hole profile simulation programs have been written and revised by former members of our group, including Dr. Inja-Lee, Dr. Luchuan Shu, Dr. Paul Lyle and by Dr. John Hayes [2,3].

My contribution to the program was the conversion of the program into Wolframs' *Mathematica*[®] script language (see Refs. [4-6] for details on formats and functions), which allows user to use actual integration for the absorption spectrum calculation rather than using approximation by Bode function. The program below (in cell-expression format) was written in compatible with *Mathematica* 2.2x and 3.0 and it was tested under 32bits Microsoft Windows version of '*Mathematica* for Students version 3.0'. Descriptions of calculation variables and other information are included in the program itself. Combining the 2-mode profiles generated the 5-mode single-site absorption profile presented in Chapter 1.

One of the possible future works would be the incorporation of tunneling parameter (Gaussian distribution) into the hole-burning quantum yield variable, ϕ , as discussed in recently published papers [7,8]. Also, revisions and algorithm changes may be necessary for faster calculation.

```

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Ref.
Hayes, J. M.; Lyle, P. A.; Small, G. J. J. Phys. Chem. 1994, 98, 7337
Hayes, J. M.; Gillie, J. K.; Tang, D.; Small, G. J. Biochimica et Biophysica \
Acta 1988, 932, 287\
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frequency; S's=Huang-Rhys factor; \nvm's=mean frequency; \
gammainh's=inhomogeneous width; \ngammal's/gammag's=phonon \
Lorentzian/Gaussian width; \ngammaz=one-phonon profile width; \
\[Sigma]=absorption cross section parameter;\nP=spectral phonon flux; \
\[Phi]=HB quantum yield (*\[Sigma],P,\[Phi] are temporary parameters) ",
"Text"],

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intensity[2] = 1. ; \ intensity[3] = 1. ; \ngammainh[1] = 50. ; \
gammainh[2] = 50. ; \ gammainh[3] = 50. ; \n
maxgammainh = Max[Table[gammainh[j], {j, 1, 3}]]; \nRmax = 1; \n
vm[1] = 12150. ; \ vm[2] = 12130. ; \ vm[3] = 12110. ; \n
maxvm = Max[Table[vm[j], {j, 1, 3}]]; \n
minvm = Min[Table[vm[j], {j, 1, 3}]]; \n
intrangemin = minvm - 1.5*maxgammainh; \n
intrangemax = maxvm + 1.5*maxgammainh; \ngammal[1] = 19. ; \
gammal[2] = 5. ; \ngammag[1] = 8. ; \ gammag[2] = 5. ; \ngammaz = 1. ; \n
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nbar's=phonon occupation number;
N0's=Gaussian dist. of ZPL; NON=normalized;
term1&term2=temporary functions (FC factor=term1*term2)\
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```

```

\\(((\\((\\(Sqrt[Pi/Log[2. ]))\\)*gammainh[j])\\)^\\((\\(-1\\))\\))\\)*2*
E^\\((\\((\\(-\\((v - vm[j])\\)^2\\)/gammainh[j]^2\\)^4*Log[2. ]\\))\\),
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lor2=ZPL;
lor=high-energy side Lorentzian shape; gau=low-energy side Gaussian shape \\
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\\((\\((\\[CapitalOmega] - v -
\\((\\((Rp - 2*R2p)\\)*omega[1] +
\\((Rv - 2*R2v)\\)*omega[2])\\))\\)^2 +
\\((widthL[Rv, R2v, Rp, R2p]/2)\\)^2)\\))\\)*2*Pi*
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```
\{gau[\[CapitalOmega]_, v_, Rv_, R2v_, Rp_, R2p_] =
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E^{\((- \)
\(\([CapitalOmega] - v -
\(\([Rp - 2*R2p]\)\)*omega[1] +
\(\([Rv - 2*R2v]\)\)*omega[2])\)}\)/
\((widthG[Rv, R2v, Rp, R2p]/Sqrt[2*Log[2. ]])\)\)^2\)}
\)*2*Sqrt[Pf/Log[2. ]]*
widthG[Rv, R2v, Rp, R2p]/
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lor[\[CapitalOmega], v, Rv, R2v, Rp, R2p],
gau[\[CapitalOmega], v, Rv, R2v, Rp, R2p]]\ /; \ Rp\ != \ 0\},
\{1[\[CapitalOmega]_, v_, Rv_, R2v_, Rp_, R2p_, j_] :=
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term3=temporary function for clarification\
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WorkingPrecision->10]], {R2p, 0, Rp},
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Rmax}]]\}], "Input"],

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for exporting data into other graphical soft or comparing with experimental \
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\>", "Text"],

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1. Hayes, J. M., Gillie, J. K., Tang, D. and Small, G. J. *Biochimica et Biophysica Acta* **1988**, 932, 287.
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8. Reinot, T. and Small, G. J. *J. Chem. Phys.* **2001**, 114, 9105.

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