

## Photon-Assisted Transmission through a Double-Barrier Structure

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We study multi-photon-assisted transmission of electrons through single-step, single-barrier and double-barrier potential-energy structures as a function of the photon energy and the temperature. Sharp resonances in the spectra of the tunneling current through double-barrier structures are relevant to infra-red detectors.

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## I. INTRODUCTION

Transport of electrons under the influence of intense low-energy photons in artificially structured semiconductors has received increasing attention recently. [1 - 4] In this paper, we study multi-photon-assisted transmission of electrons through single-step, single-barrier, and double-barrier potential-energy structures as a function of the photon energy and the temperature. Sharp resonances are found in the tunneling current through double-barrier structures and may have valuable applications for IR (infra-red) detectors.

## II. PHOTON-ASSISTED TUNNELING AND ACTIVATION

We study the transmission of an electron through a general double-barrier structure shown in Fig. 1. The structure reduces to a single-step barrier for the special case  $V_2 = V_3 = V_4 = V_5$  and to a single barrier for  $V_2 \neq V_3 = V_4 = V_5$ . The electron has an effective mass  $m_i^*$  in the regions  $i = 1, \dots, 5$ . Region 1 is in contact with the source and is under a highly conducting metallic gate which drives the electron with an intense and uniform oscillating sinusoidal potential energy  $V_1 = \varepsilon_{ac} \cos(\omega t)$ . This model was originally introduced by Tien and Gordon to study tunneling between superconducting films. [5] A more general time-periodic model has been studied recently by Burmeister and Maschke. [4] Region 5 is in contact with the drain.

The time-dependent wave function of an incoming electron with a wave number  $k$  reflected at the boundary at  $x_1 = 0$  is given, in region 1 ( $x < 0$ , Fig. 1), by

$$\psi_1 = A_{1k}^+ e^{i(kx - \omega_k t)} e^{-i\alpha \sin(\omega t)} + \sum_{k'} A_{1k'}^- e^{i(k'x - \omega_{k'} t)} e^{-i\alpha \sin(\omega t)}, \quad (1)$$

where  $\hbar\omega_k = (\hbar k)^2/2m_1^*$  and  $\alpha = \varepsilon_{ac}/\hbar\omega$ . The factor  $\exp(-i\alpha \sin(\omega t))$  in Eq. (1) accounts for the time-dependent  $V_1$  in the Hamiltonian and can be expanded into the Fourier compo-

nents  $\exp(-i\alpha \sin(\omega t)) = \sum J_n(\alpha) \exp(in\omega t)$  where  $J_n(\alpha)$  is the  $n$ -th order Bessel function and  $n$  runs over the integers. [5] Matching the boundary conditions at  $x = x_1$  requires the reflected wave  $k'$  to take only the discrete values which generate the same time-Fourier components as the incoming waves, yielding

$$\psi_1 = A_{1k}^+ e^{ikx} \sum_{n=-\infty}^{\infty} J_n(\alpha) e^{-i(\omega_k + n\omega)t} + \sum_{n,n'=-\infty}^{\infty} A_{1k_{1,n-n'}}^- e^{-ik_{1,n-n'}x} J_{n'}(\alpha) e^{-i(\omega_k + n\omega)t}, \quad (2)$$

where  $k_{1,n} = [2m_1^*(\omega_k + n\omega)/\hbar]^{1/2}$ . This quantity as well as other  $k_{j,n}$  to be defined later is assumed to take positive imaginary values when the argument inside the brackets becomes negative.

In the regions  $j = 2, \dots, 5$ , the wave functions are linear superpositions of free plane-wave states without the time-dependent part  $\exp(-i\alpha \sin(\omega t))$ . Taking only the same time-Fourier components as the incoming waves, we write

$$\psi_j = \sum_n A_{jk_{j,n}}^{\pm} e^{\pm ik_{j,n}x} e^{-i(\omega_k + n\omega)t}, \quad (j = 2, \dots, 5) \quad (3)$$

where  $k_{j,n} = [2m_j^*(\omega_k + n\omega - V_j/\hbar)/\hbar]^{1/2}$  and  $A_{5k_{5,n}}^- \equiv 0$ . The A-coefficients satisfy the boundary conditions for the continuity of the wave function and the current density at the boundaries  $x_j$  for all  $t$ , yielding for  $j = 2, 3$ , and 4

$$A_{jk_{j,n}}^{\pm} = \frac{1}{2\gamma_j k_{j,n}} [(\gamma_j k_{j,n} \pm \gamma_{j+1} k_{j+1,n}) e^{ik_{j+1,n}x_j} A_{j+1k_{j+1,n}}^+ + (\gamma_j k_{j,n} \mp \gamma_{j+1} k_{j+1,n}) e^{-ik_{j+1,n}x_j} A_{j+1k_{j+1,n}}^-] e^{\mp ik_{j,n}x_j}, \quad (4)$$

where  $\gamma_j = 1/m_j^*$  and the second term is zero for  $j = 4$  (i.e.,  $A_{5k_{5,n}}^- \equiv 0$ ). The relationship in Eq. (4) is valid for a multi-barrier structure in general. According to Eq. (4), the A-coeffi-

ients are determined by  $A_{5k_{5,n}}^+$  in the regions  $j = 2, 3$ , and  $4$ . We therefore define

$$A_{2k_{2,n}}^\pm = P^\pm(n) A_{5k_{5,n}}^+ / (2\gamma_2 k_{2,n}). \quad (5)$$

Here,  $P^\pm(n)$  is found by successive substitutions of the relationship in Eq. (4).

The boundary conditions at  $x_1 = 0$ , yield

$$\begin{aligned} A_{1k}^+ J_n(\alpha) + \sum_{n'} A_{1k_{1,n-n'}}^- J_{n'}(\alpha) &= A_{2k_{2,n}}^+ + A_{2k_{2,n}}^-, \\ \gamma_1 k [A_{1k}^+ J_n(\alpha) - \sum_{n'} A_{1k_{1,n-n'}}^- J_{n'}(\alpha)] &= \gamma_2 k_{2,n} (A_{2k_{2,n}}^+ - A_{2k_{2,n}}^-). \end{aligned} \quad (6)$$

Choosing  $A_{1k}^+ \equiv 1$ , inserting Eq. (5) in Eq. (6), the coefficients  $A_{1k_{1,n}}^- \equiv R_{n,0}$  are given by the following linear equation for the column matrix  $R$ :

$$[(\{P^+ + P^-\} K_1 + K_2 (P^+ - P^-) J) R]_{n,0} = [\{\gamma_1 k (P^- + P^+) + (P^- - P^+) K_2\} J]_{n,0}, \quad (7)$$

where the matrices  $P^\pm$ ,  $J$ , and  $K_j$  are defined by

$$P^\pm_{n,n'} = \delta_{n,n'} P^\pm(n); \quad J_{n,n'} = J_{n-n'}(\alpha); \quad (K_j)_{n,n'} = \gamma_j k_{j,n} \delta_{n,n'}. \quad (8)$$

The reflection coefficients in region 1,  $R_{n,0} \equiv A_{1k_{1,n}}^-$  are obtained from Eq. (7) by employing a sufficiently large size for the matrices  $P^\pm$ ,  $J$ , and  $K_j$ . The coefficients  $A_{2k_{2,n}}^\pm$  are obtained from Eq. (6) after inserting these result on the left hand side. The transmission coefficients  $A_{5k_{5,n}}^+$  are then found from Eq. (5).

The transmitted current is given by summing over the contributions from all incoming electrons in region 1. The current per area is given by

$$I = \frac{em_1^{*2}}{2\pi^2 \hbar^3 \beta m_5^*} \sum_0^\infty \sum_n d\varepsilon_k \frac{k_{5,n}}{k} \left| \frac{A_{5k_{5,n}}^+}{A_{1k}^+} \right|^2 \theta(n\hbar\omega + \varepsilon_k - V_5) \ln[e^{-\beta(\varepsilon_k - \mu)} + 1], \quad (9)$$

where  $\theta(\varepsilon)$  is the unit step function,  $\mu$  is the chemical potential,  $\beta = 1/k_B T$ , and  $T$  is the

temperature. We consider the (non-equilibrium) situation where only the region 1 is populated. The electrons in region 5 flows out quickly into the drain.

A semi-log graph of the photon-assisted transmission current through a  $V_{j\neq 1} = 50$ -meV potential step is shown in Fig. 2 as a function of the inverse temperature for zero photon (i.e.,  $\varepsilon_{ac} = 0$ : solid curve) and for  $\hbar\omega = 10$  (dashed curve) and 20 meV (dotted curve). The electron density equals  $n = 2 \times 10^{16}/\text{cm}^3$ , yielding the Fermi energy  $\varepsilon_F = 4.0$  meV for  $m^* = 0.067m_0$ . We assume a significantly large amplitude  $\varepsilon_{ac} = 10$  meV for  $V_1(t)$  throughout this paper. The reduction of the activation energy for increasing  $\omega$  is clearly seen in Fig. 2. The dash-dotted curve shows the current through a 150-Å 50-meV barrier in the absence of the photon field. The transmission-current spectra are displayed in Fig. 3 for the same structures. The main peaks there correspond to transmission through one-photon-assisted activation. A weak two-photon peak is visible near  $\hbar\omega = 25$  meV at  $T = 0$  K for the step potential. The current is finite even at  $T = 0$  K for the barrier potential as expected.

The tunneling-current spectra are shown in Fig. 4 for a double barrier structure with  $V_2 = V_4 = 260$  meV,  $V_3 = V_5 = 10$  meV,  $m_1^* = m_3^* = m_5^* = 0.067m_0$  and  $m_2^* = m_4^* = 0.091m_0$ . The barrier widths are 60 Å. The QW width equals 100 Å. A small change in the effective barrier height arising from the effective-mass mismatch at the boundaries for a finite transverse momentum is ignored. The spectra at  $T = 0$  K show three resonance peaks for both  $n = 2 \times 10^{16}/\text{cm}^3$  and  $8 \times 10^{16}/\text{cm}^3$ . The two major peaks just below  $\hbar\omega = 40$  meV and  $\hbar\omega = 130$  meV are due to one-photon-assisted tunneling through the two lowest resonance levels of the QW, while the weak peaks near 20 meV are due to two-photon-assisted tunneling through the first resonance level. There are tiny peaks near 65 meV barely visible in Fig. 4. These peaks are due to two-photon-assisted tunneling through the second resonance level. Higher-order contributions are negligible. The peaks for  $n = 8 \times 10^{16}/\text{cm}^3$  are

wider and lower than those for  $n = 2 \times 10^{16}/\text{cm}^3$  because the Fermi energy ( $\varepsilon_F = 10.1 \text{ meV}$ ) is larger. The widths of the peaks equal approximately the Fermi energies and increase with  $n$  as  $n^{2/3}$ , while the current rises linearly with  $n$  approximately. The thermionic current dominates at  $T = 77 \text{ K}$  and above as shown by the dashed curve. The oscillations of the curves are due to numerical fluctuations. The inset shows the activation behavior for the zero-photon transmission. The slopes in the region  $0.01 \text{ K}^{-1} < 1/T < 0.05 \text{ K}^{-1}$  corresponds to the activation energy to the first resonance level in the QW.

### III. SUMMARY

In summary, we have studied multi-photon-assisted activation and transmission of electrons through single-step, single-barrier and double-barrier potential-energy structures as a function of the photon energy and the temperature. Sharp resonances in the tunneling current through double-barrier structures may have valuable applications for infra-red detectors.

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## Figure Captions

Fig. 1 A double-barrier structure. The A-coefficients denote the amplitudes of the  $n$ -th time-Fourier component of the left- and right-going waves. Region 1 is under a highly conducting metallic gate driven by an intense time-dependent sinusoidal potential energy  $V_1(t)$ .

Fig. 2 Transmission current through a 50 meV potential step with zero photon (solid curve), 10 meV (dashed curve) and 20 meV photons as a function of the inverse temperature. The dash-dotted curve represent a zero-photon thermionic current through a 150-Å 50 meV barrier. The inset shows a reduction of the threshold temperature caused by 20-meV photons.

Fig. 3 Transmission-current spectra of the potential-step and the barrier structures studied in Fig. 2 at 0 K and 77 K.

Fig. 4 Transmission-current spectra of the double-barrier structure described in the text. The inset shows the activation behavior of the thermionic current without photons.

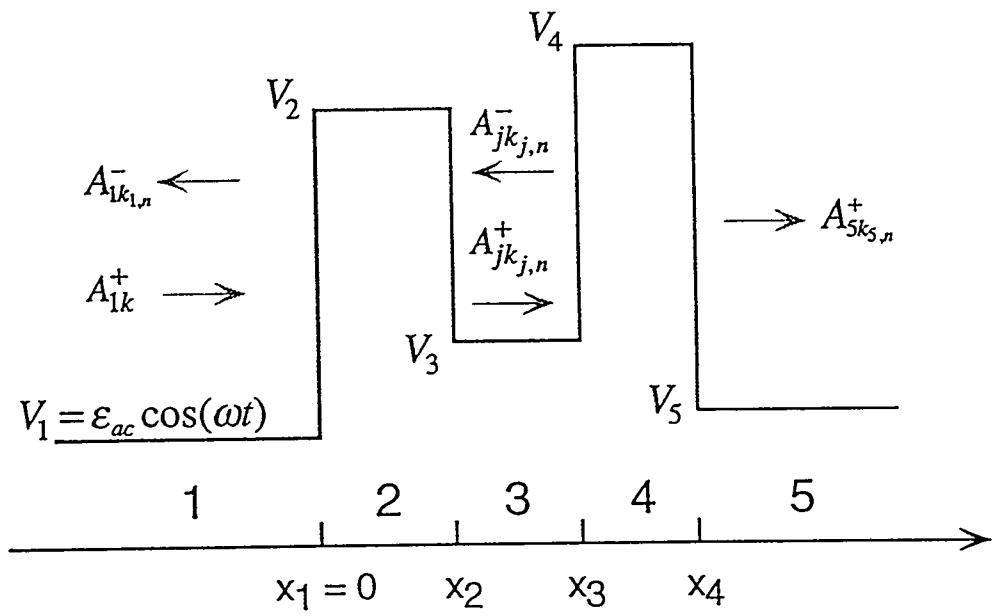


Fig. 1

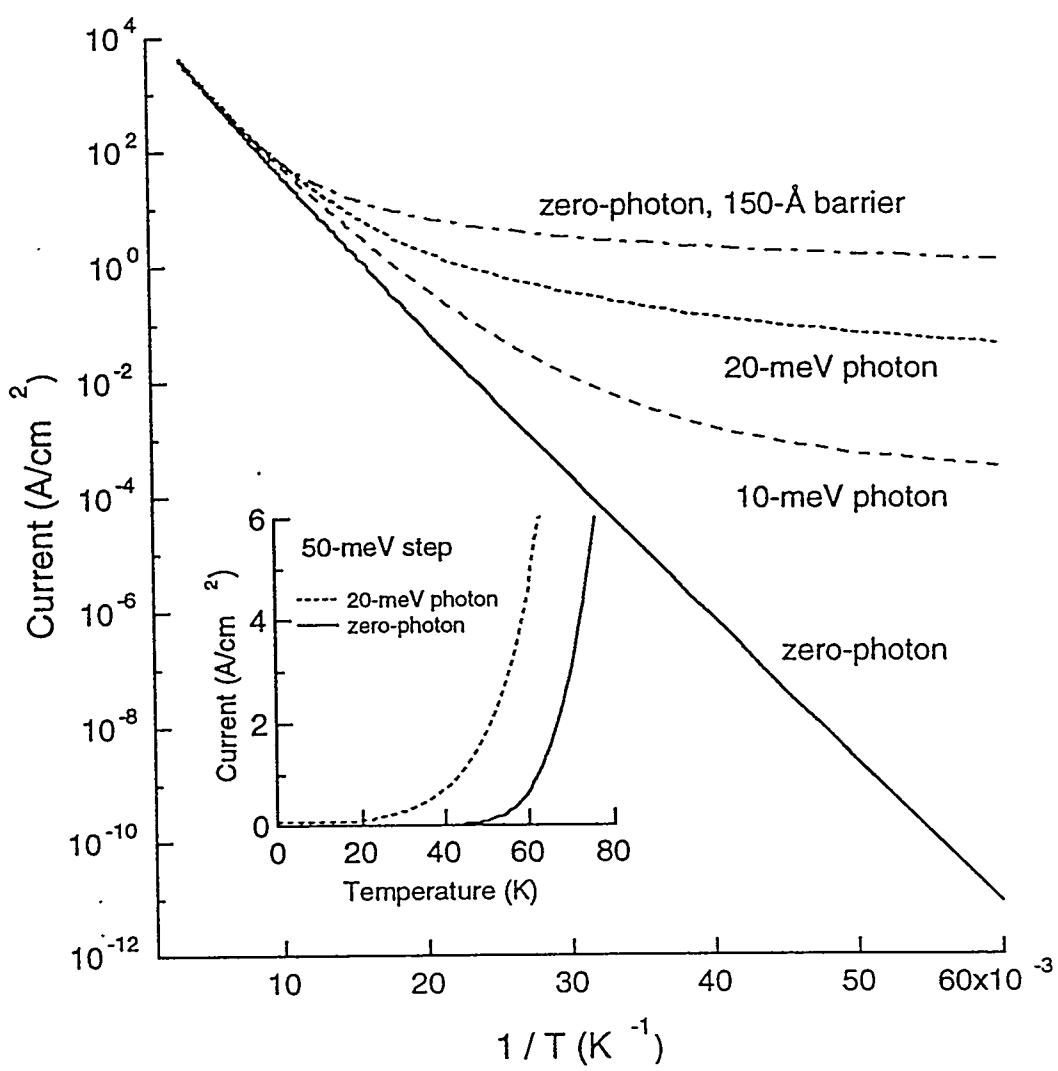


Fig. 2

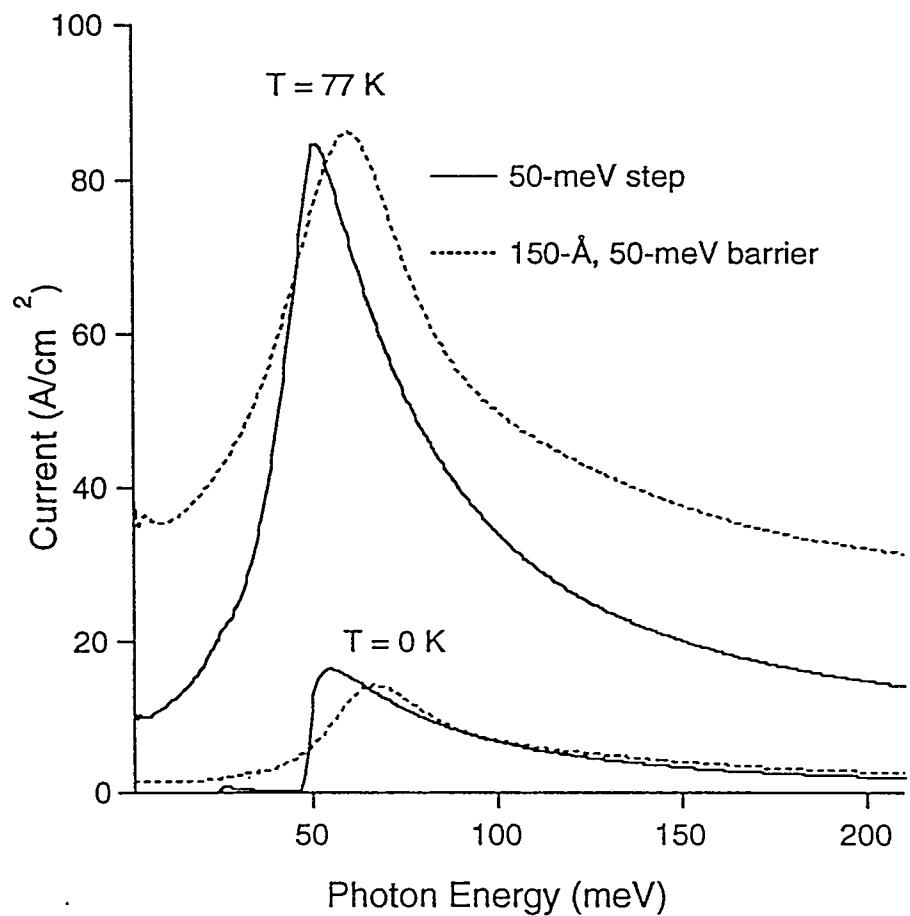


Fig. 3

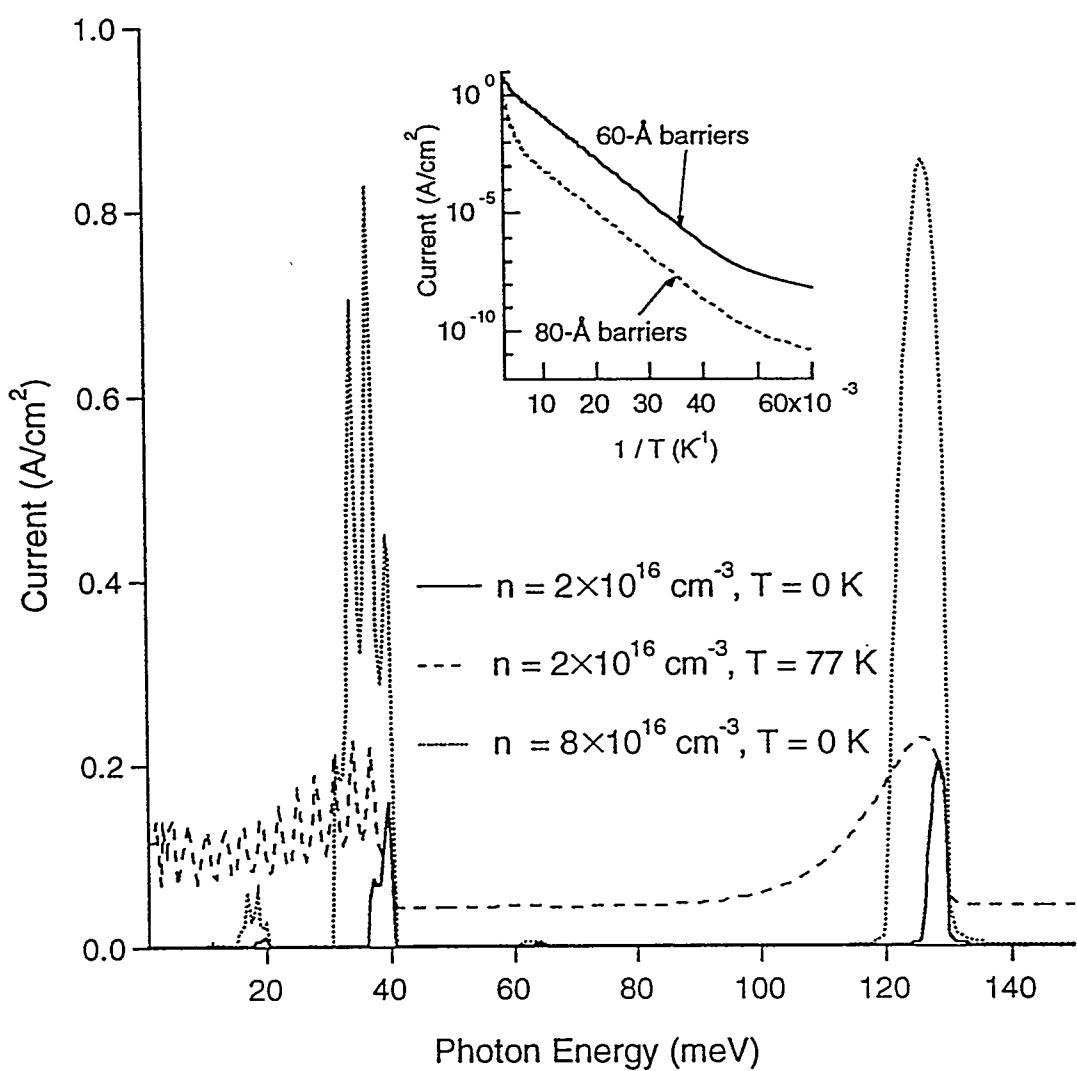


Fig. 4