

Correspondence to: Dr. Craig J. Medforth
 Department of Chemistry
 University of California
 Davis, California 95616
 U.S.A.

Draft of
 February 18, 2000

Phone: (530) 752-8308
 FAX: (530) 752-8995
 email: medforth@chem.ucdavis.edu

RECEIVED
 APR 10 2000
 OSTI

Nonplanarity and the protonation behavior of porphyrins

Maria S. Somma,¹ Craig J. Medforth,^{1,*} Kevin M. Smith,¹ and John A. Shelnutt²

¹*Department of Chemistry, University of California, Davis, CA 95616, USA*

²*Nanomolecular Materials and Interfaces Department, Sandia National Laboratories,
 Albuquerque, NM 87185-1349, USA.*

¹H NMR studies of the protonation of highly nonplanar porphyrins with strong acids reveal the presence of the previously elusive monocation, and show that its stability can be related to the amount of saddle distortion induced by protonation; the amount of saddle distortion for a porphyrin dication is also found to correlate well with the rate of intermolecular proton transfer.

Molecules based on the porphyrin framework (**1**) are of considerable importance because they are used in a number of applications (e.g. catalysis) and because they are cofactors in many biological systems.¹ As a group, porphyrins frequently display unusual and interesting behavior, and this is the case when they are protonated with strong acids to form monocations (**2**) and dications (**3**).²⁻⁴ Upon titration with strong acids, porphyrins [especially tetraarylporphyrins such as tpp (**4**)] form much smaller amounts of monocation than do simple dibasic systems.^{2,3} In

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, make any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

DISCLAIMER

**Portions of this document may be illegible
in electronic image products. Images are
produced from the best available original
document.**

addition, the rate of proton exchange between the free base and dication of tpp is much slower⁴ than in octalkylporphyrins [as typified by oep (5)], a somewhat unexpected finding as the latter have more electron-releasing substituents. It has been speculated that the instability of porphyrin monocations may be explained, at least in part, by a significant nonplanar distortion upon formation of the monocation,⁵ and also that the slow proton exchange rate seen for tpp might be related to the very nonplanar structure of the dication of this porphyrin.⁴ However, the extent to which nonplanar distortions determine the protonation behavior of this important group of molecules has not been investigated in any detail. Herein, we describe ¹H NMR studies of the very nonplanar porphyrins oetpp (6) and t(Bu^t)p (7) and the standard porphyrins tpp and oep which show how strongly nonplanar distortions influence the protonation properties of porphyrins.

To examine the protonation of porphyrins 4-7, the chloride, trifluoroacetate, and picrate (2,4,6-trinitrophenolate) salts of the dications were prepared and ¹H NMR spectra measured as they were titrated with the corresponding free base porphyrins. This procedure is equivalent to the direct titration of free base porphyrins with trifluoroacetic acid reported in earlier NMR studies^{4,6,7} but was found to be more convenient when working with aqueous acids. Before carrying out the titrations, the purity of the dications was checked using ¹H NMR spectroscopy. In the case of the picrate complexes, an upfield shift was seen for H_{meta} of the picrate anion versus the free acid ($\Delta\delta$ = 1.4 - 1.9 ppm) unambiguously confirming the presence of picrate anions bound to the porphyrin dication.

As noted earlier, it has been speculated that nonplanarity of the porphyrin macrocycle might explain the unexpectedly high activation energy for proton exchange between the free base and dication of tpp.⁴ To investigate this proposal, variable temperature ¹H NMR spectroscopy was used to determine the activation energies for proton exchange (abbreviated as ΔG^\ddagger_{EX}) for porphyrins 4-7. The results of these studies (Table 1) show that ΔG^\ddagger_{EX} varies significantly as a function of the porphyrin and the anion. In particular, ΔG^\ddagger_{EX} increases in the series oep < tpp < t(Bu^t)p < oetpp. This trend can be related to the amount of saddle distortion (pyrrole tilting) in the

dication as approximated by ΔC_b in Table 1, suggesting that saddle distortion is a key factor in determining the intermolecular proton exchange rates for porphyrins 4-7.

The inverse relationship between $\Delta G_{\text{EX}}^{\ddagger}$ and ΔC_b can be explained in terms of increased saddle distortion reducing the steric repulsions between the protons in the porphyrin core, and therefore decreasing the rate of proton dissociation which is the rate-limiting step for proton exchange.^{2,3} Thus, the slowest rates of proton exchange are observed for oetpp, where crystallographic studies reveal extremely nonplanar saddle structures, and the fastest rates are seen for oep where the dications show the least distortion (Table 1). The amount of saddle distortion for a given dication must presumably depend largely upon the amount of steric strain induced by the peripheral substituents.

Figure 1 shows a portion of an NMR spectrum obtained during titration of the oetpp dication picrate salt in CD_2Cl_2 . The spectrum was measured at a temperature of 298 K where proton exchange is slow on the NMR timescale. The group of signals at δ 8.6 - 8.4 corresponds to the porphyrin H_{ortho} protons, whereas the signals at δ 7.9 - 7.6 arise from the porphyrin H_{meta} and H_{para} protons. An additional species is present which is not the dication or free base porphyrin. This component is also seen during the titration of oep but not during the titrations of tpp or $\text{t}(\text{Bu}^t)\text{p}$. The proportion of the third component and its pattern of NMR signals were found to vary according to the anion, solvent, and temperature. Additional studies of the complicated NMR behavior of this species are currently in progress, but the fact that its concentration increases and then decreases during the titration together with the ratio of the picrate H_{meta} to porphyrin H_{ortho} signals (1:4) strongly suggests that it is the monocation **2**. To the best of our knowledge, this is the first time that the monocation of a simple porphyrin system has been observed by NMR spectroscopy.^{4,6,7}

Of particular relevance to the present study is the fact that the ability to observe the monocation can be correlated with the amount of saddle distortion that occurs upon protonation of the free base porphyrin to form the dication (last column in Table 1). Put simply, the monocation is not observed for the two porphyrins (tpp and $\text{t}(\text{Bu}^t)\text{p}$) which undergo the largest amount of saddle

distortion upon protonation. It does not seem to matter whether this distortion occurs from a nominally planar conformation (tpp) or from a very ruffled (pyrrole rings twisted) conformation ($t(Bu^t)_p$).

These findings lend support to the suggestion⁵ that large protonation-induced nonplanar distortions decrease the stability of the porphyrin monocation. Most likely, a large saddle distortion of the monocation destabilizes it because the distortion significantly reduces the steric crowding of the unprotonated pyrrole nitrogen atom, which makes the second protonation more energetically favorable than the first. A reasonable assumption made in this model is that a large increase in saddle distortion in the dication indicates a large distortion in the monocation. However, obtaining confirmation of this fact from crystallographic studies will most likely be difficult because of the instability of the monocations. Finally, it should be noted that saddle distortion was only one factor seen to influence the amount of monocation formed during our studies; others included the anion, solvent, and temperature.

The present study shows that the increase in saddle distortion between the free base and dication of porphyrins **4-7** is a good predictor of the stability of the monocation, and that the total amount of saddle distortion in the dications of these porphyrins correlates well with the intermolecular proton exchange rate. It also demonstrates that highly nonplanar model systems can be successfully used to address longstanding questions about the influence of nonplanar distortions on the properties of porphyrins.

This work was supported by grants from the National Institutes of Health (HL 22252) and the National Science Foundation (CHE-96-23117). Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed-Martin company, for the United States Department of Energy under Contract DE-AC04-94AL85000.

References:

- 1 K. M. Kadish, K. M. Smith, and R. Guilard (eds.), *The Porphyrin Handbook*, Academic Press, Burlington, MA, 2000.

2. F. Hibbert and K. P. P. Hunte, *J. Chem. Soc., Perkin Trans. II*, 1977, 1624.
3. K. A. Freeman, F. Hibbert, and K. P. P. Hunte, *J. Chem. Soc., Perkin Trans II*, 1979, 1237.
4. R. J. Abraham, G. E. Hawkes, and K. M. Smith, *Tetrahedron Lett.*, 1974, **1**, 71.
5. A. Stone and E. B. Fleischer, *J. Am. Chem. Soc.*, 1968, **90**, 2735.
6. R. I. Walter and E. C. A. Ojadi, *J. Phys. Chem.*, 1993, **97**, 13308.
7. A. S. Sadjadi, R. I. Walter, and J. S. Harwood, *J. Phys. Chem. A*, 1997, **101**, 9948.
8. M. O. Senge in *The Porphyrin Handbook*, ed. K. M. Kadish, K. M. Smith, and R. Guilard, Academic Press, Burlington, MA, 2000, vol. 1, ch. 6.
9. M. S. Somma, C. J. Medforth, N. Y. Nelson, M. M. Olmstead, R. G. Khoury, and K. M. Smith, *Chem. Commun.*, 1999, 1221.
10. M. O. Senge and W. W. Kalisch, *Zeitschrift für Naturforschung*, 1999, **54**, 943.

Table 1. Summary of NMR and crystallographic data for porphyrins 4-7.

Porphyrin/Acid	ΔG_{EX}^{\ddagger} ^a (kJ mol ⁻¹)	ΔC_b (Å) ^{b,c} (dication)	Monocation Observed? ^d	ΔC_b (Å) (dication- free base) ^{c,e}
oep/Picric	46	0.06 - 0.72 (4)	Yes	0.00 - 0.64
	/Trifluoroacetic		Yes	
	/Hydrochloric		Yes	
tpp/Picric	63	0.85 - 1.08 (4)	No	0.62 - 0.94
	/Trifluoroacetic		No	
	/Hydrochloric		No	
t(Bu ^t)p/Picric	82	1.29 (1)	No	1.15
	/Trifluoroacetic		No	
	/Hydrochloric		No	
oetpp/Picric	>89	1.31 - 1.38 (4)	Yes	0.14 - 0.21
	/Trifluoroacetic		Yes	
	/Hydrochloric		Yes	

^aActivation energy for proton exchange between the dication and free base (tpp, t(Bu^t)p, and oetpp) or the dication and a mixture of the free base and monocation (oep). NMR studies were conducted in CD₂Cl₂, CDCl₃, CDCl₂CDCl₂ or toluene-d₈.

^bNumber in parentheses is number of crystal structures. Note that the dications typically also contain a small amount of ruffle distortion (pyrrole twisting).

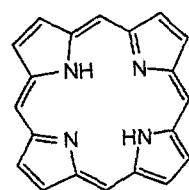
^cData taken from references 8-10.

^dNMR studies were conducted in CD₂Cl₂. Monocation observed indirectly via exchange broadening of signals for the free base porphyrin in the case of oep with trifluoroacetic and hydrochloric acid.

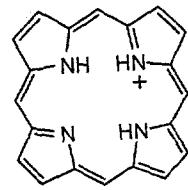
^e ΔC_b for free base t(Bu^t)p was corrected for the large amount of ruffling distortion present.

^fFree base t(Bu^t)p was unstable when exposed to hydrochloric acid.

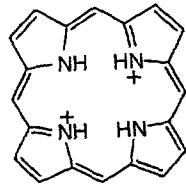
Structures



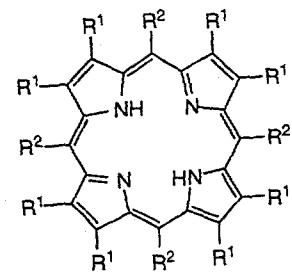
1



2



3



4 $R^1 = H, R^2 = C_6H_5$

5 $R^1 = CH_2CH_3, R^2 = H$

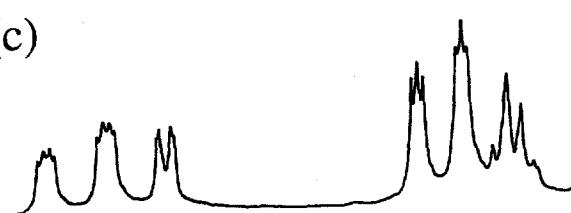
6 $R^1 = CH_2CH_3, R^2 = C_6H_5$

7 $R^1 = H, R^2 = C(CH_3)_3$

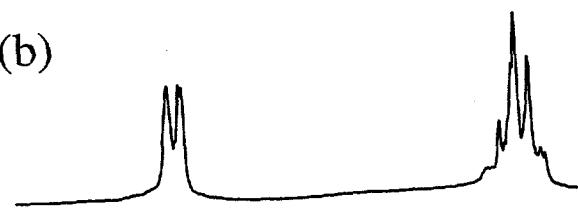
Figure Caption

Figure 1 Portions of the 300 MHz ^1H NMR spectra of the oetpp dication picrate salt (a), free base oetpp (b), and a mixture of the oetpp dication picrate salt and free base oetpp (c). Spectra were measured at 298 K in CD_2Cl_2 .

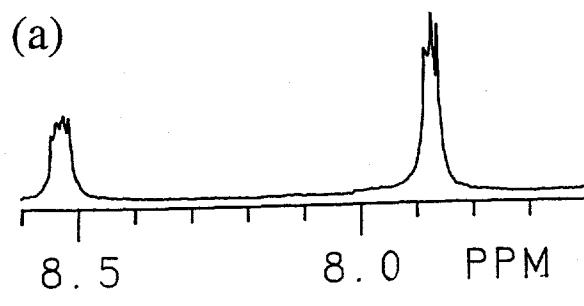
(c)



(b)



(a)



8.5

8.0

PPM