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ACCOUNTABILITY FOR A 200 TONNE PER YEAR MIXED-OXIDE
FUEL-ROD FABRICATION PLANT

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MU200: A MODEL FOR EVALUATING SAFEGUARDS THROUGH MATERIAL ACCOUNTABILITY
FOR A 300 TONNE PER YEAR MIXED-OXIDE FUEL-ROD FABRICATION PLANT

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ABSTRACT

MU200 is a computer simulation model of a proposed 300 tonne¹ per year mixed-oxide fuel-rod fabrication plant that has been used to investigate the safeguarding of plutonium dioxide through material accountability. The computer program operating the model was constructed so that replicate runs could provide data for statistical analysis of the distributions of the randomized variables. The plant model was divided into material balance areas associated with definable unit processes. Indicators of plant operations status were modified end-of-shift material balances, end-of-blend errors formed by closing material balances between blends, and cumulative sums of the differences between actual and expected performance.

INTRODUCTION

It is possible that reprocessed plutonium in the form of plutonium dioxide (PuO₂) derived from the reprocessing of spent fuel from nuclear reactors will be introduced into the nuclear fuel cycle. This has forced a thorough examination of the present methods of safeguarding Strategic Special Nuclear Materials (SSNM) by the Nuclear Regulatory Commission (NRC). The USNRC report (1) concludes that all aspects of safeguarding SSNM should be improved, not only because of the threats of theft or diversion, but also because of the substantial health hazard of PuO₂. The goal is to develop methods and statistics good enough to assure adequate safeguards in the processing of SSNM. Physical security of SSNM must be supplemented by inventory and accounting techniques.

Present day material balance accounting for the relatively small quantities involved in the electrical sector generally has limits of error of the order of 0.5 to 1% of throughput. When extrapolated to the tonnes possible in the future, these errors amount to kilogram quantities of SSNM. Moreover, results are only available at monthly or bimonthly intervals since physical inventories are required. The sensitivity and timeliness of today's method of material control needs to be greatly improved.

Automated accounting systems that log SSNM in and out of material balance areas (MBAs) improve the availability of accurate records, but cannot verify the actual presence of SSNM. Periodic physical inventories are still required to reconcile the book inventory with the actual content of the MBA. Maximum limits on inventories could be set in order to reduce the chance of significant loss. However, if information from the logs were put in a time reference frame and compared to standard behavior it could be used for timely, sensitive loss detection. This means that intimate knowledge of the process must be incorporated into the safeguarding of SSNM.

A plant can be divided into "unit processes" where material changes in form or composition or where by-product is generated. It would be convenient to incorporate the unit processes in MBAs. If the parameters of a unit process are identifiable and the time behavior in either a continuous or discrete sense is thoroughly consistent, then criteria can be developed for detecting unexpected loss mechanisms.

Existing plants where PuO₂ is handled utilize pilot plant production lines that use conventional equipment enclosed in glove boxes. Attempting to instrument such process lines for demonstrating the adequacy of new material control techniques has been suggested but would require great expense and time, divert the present use of the process lines, and produce results that might not be applicable to a high-throughput custom-designed operation. Simulation of plants of the future, however, is one way to investigate both the plant operations and the material control instrumentation and to have results available in a reasonable time.

This paper describes a simulation model, MU200, that has been used in a Serial Safeguards Study by the NRC to demonstrate various safeguarding techniques that use automated measurements and information processing. State-of-the-art technology was assumed in the model in order to assess the present limits of PuO₂ accountability. The necessary stringent physical security measures were not under consideration for the model. The proposed Westinghouse Recycle Fuels Plant (2) (RFP) for mixed oxide (MOX) fuel rod fabrication at Anderson, S. C., was used as the basis of the model in order to test in-line nondestructive assay (ISNA) methods in a highly automated plant operation, and to assess the effects of scale on plutonium accountability. The 200 tonnes of mixed oxide (MOX) fuel throughput per year will require the processing of about 40 tonnes of PuO₂. The automation envisioned for the RFP plant would not only minimize worker exposure to PuO₂ and provide some physical security, but also would greatly improve the repeatability of operations. The repeatability would lead to reliable statistics that could be available for process control and detection of malfunctions and unexpected losses.

The model was expected to be versatile so that hypothetical questions could be asked. This required that both parameters and some structure could be easily modified. The development of a reasonable simulation required consideration of the plant as a system. In the process, we obtained insight into the problems of plutonium accountability.

DESCRIPTIVE MODEL

The Westinghouse license application (2) was used in constructing the model. Where necessary information was lacking in the application, nominal values typical of current industry practice were estimated. (3)

Fuel-rod fabrication involves making sintered (ceramir) cylindrical nuclear fuel pellets, stacking them into rods, and eventually assembling the rods into bundles for fueling nuclear reactors. The unit processes for the model were summarized as black boxes as far as detailed operation was concerned. They would have characteristic input and output levels, factors for scrap, waste and holdup generation, and a delay from input to output. The holdup is material that accumulates in cracks and crevices and coats the walls of the processing equipment. Flows occur in discrete quantities throughout the plant. The process description that follows is oriented toward PuO₂ since the UO₂ is either natural or depleted in ²³⁵U, and not considered SSNM.

Flowchart

The flow chart developed for the model is basically the Westinghouse flowchart with in-line NDA measurements inserted between the unit processes (see Fig. 1). Supporting services that were not really part of the main problem were Analytical Services, Miscellaneous Waste Treatment and Fuel Rod Shipping, Clean Scrap Recovery and Recycle MO₂ Storage were included in the model.

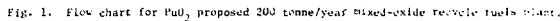
Fuel Rod Fabrication

The MO₂ fuel rod fabrication process for the model was decomposed into the unit processes:

- (1) PuO₂ Unloading - PuO₂ receiving and unloading a blend into one of three PuO₂ storage vessels.
- (2) Blending - blending of PuO₂, UO₂, and recycle MO₂ to form sub-blends or batches and storage in one of nine MO₂ storage silos.
- (3) Pelletizing - pelletizing the blended powder and loading the pellets into sintering boats and storing the boats in green pellet storage.

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²Metric ton.



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simulation (one for subsequent plotting, and for making replicate runs with different randomization). The explicit type of GSNL program with INITIAL, PARAMS, and TERMINAL sections was written.

The simulated operations of the fabrication plant were carried out in Fortran code subroutines. The LOGO of the Fortran code created by the GSNL translator was extracted from the file containing the code by a short program after successful compilation. It was then inserted into the subroutines where necessary by the GSNL feature of the GSNL M0200 pre-processor. This procedure completed the linkage between the GSNL generated code and the subroutines and allowed more versatility than was originally in the subroutine calls. The subroutines were then compiled as the next step in the sequence. Since a file containing the M0200G was retained during model development the main program had to be translated only when the list of variable or parameter names was changed, or when a change in the sequence of events was desired. Short versions of subroutines were loaded into the computer by the M0200G loader during debugging computer runs.

It was more convenient to treat some of the variables in the subroutines as constants of arrays. This enabled the use of a single subroutine for several of the unit processes; however, the runtime support routine of GSNL did not allow simple variables to be referenced as input or output since indexing is by means of a subscript table. It was possible to refer to the first reference to the simple variables during initialization in the GSNL language program such that the memory locations appeared similar to an array. A DIMENSION statement with a new variable name and an INITIALIZE statement in the subroutines was all that was required to properly point to the correct memory location when an index for the array element was used. The collection of declarative statements for the subroutines was in a single record and was inserted into the subroutines during the M0200G operation.

Design Criteria

The types of computer run that were expected to be of interest influenced the design of the computer program and model. A partial list of the criteria considered includes:

- (1) Test the design and scaling of the plant.
- (2) Be able to observe the position identification of SS2N as a function of time.
- (3) Evaluate the burden of in-line SDA instrumentation on the throughput of the plant.
- (4) Compare different SDA measurement techniques.
- (5) Observe the time series behavior of the unit processes and associated SDA's.

- (6) Observe the errors in amounts of SS2N to be expected, both typical errors and relative errors, during shift operations and at the closing of material balances after clearance between blends.
- (7) Evaluate theft detection capability of material accounting for both single and multiple thefts.

Simulation of Measurements

In a real plant, observations (estimations) are made by making measurements that are corrupted by noise. Figure 2 shows a unit process model (a) used for the program and the associated information flow. The amount of material going into a process is measured by an accurate and expensive determined by the systematic and random errors associated with the measurement. The product output of the process is measured before it goes into the next storage area. Scrap and waste output are also measured. The material that accumulates in the process holding can only be known by difference. In order to do material balance accounting, one also has the material balance of the residue must be periodically measured. This will be handled later.

Simulation of measurement noise and other random errors in the computer model was performed by independent, normally random number generators for each unit process. Since each computer run was only one possible sequence of random numbers for each generator, provision was made for making replicate runs with different seeds for each of the generators.

The computer model really contained two parallel models, an independent model of the true values of random variables and a dependent model simulating measured quantities. The true quantity of flow had its measured counterpart. Measurements were simulated by multiplying the true contents of a container by the factor $(1 + \text{GNSD}/\text{CA}/\text{SD})$ where the function GNSD represents a normally distributed random variable with mean 0, the calibration error, and standard deviation SD, the relative random error. GNSD was the seed value for a recursive uniform pseudo-random number generator. The calibration error was picked at each calibration time from a normal distribution with zero mean and standard deviation equal to the relative systematic error of the measurement being simulated. We assumed a 100% SDA assay so there was no sampling error.

Scheduling of Events

In keeping with the automation theme expected for the plant, a subroutine was written for the computer program that would act as a master controller and scheduler. This subroutine could load the status of input storage areas and unit processes and the position of batches throughout the plant for

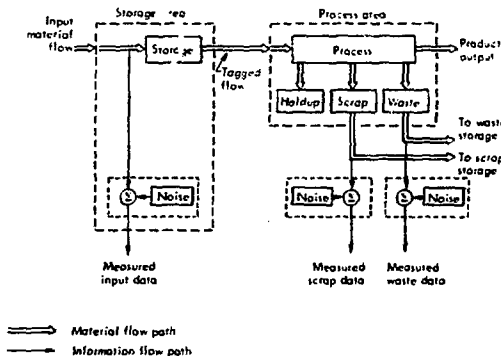


Fig. 2. The structural features of the unit processes for the M0200 simulation model.

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as the batch product output divided by the batch input. Both the batch inputs and outputs are distributions of values and care must be taken when the ratios are used. Although they may have normal distributions themselves, the ratio is a Cauchy distribution, which may depart from normality by a large margin. When the ratio is close to 1 and the distributions are well behaved, the ratios can be treated as having normal distributions. The evaluation of batch efficiencies was left to further study.

Simulation Results

In general the simulation runs were carried out to 500 h in simulation time at 100 shifts. A blend consisted of 75 input cans with 0.75 kg of PuO₂ in each. Two blends of PuO₂ were put through the Pu₂ unloading sub-routine for initialization so that material could be ready for blending at time zero. Recycling No2 Storage was loaded with 20.0 kg of PuO₂ 11.2 kg of PuO₂ before time zero and the weight simulated for a measured value. The rest of the plant was started up clean. The SSB was sufficient for more than three blends to be completely processed. This was not at all close to the steady-state weight reduction of 10.0 kg per blend. In the simulation, simulated starting inventories were different and flows and measurements were being used in the model. The test effort was made sufficient for development of the model. The results were in a satisfactory manner. Errors after time were made in many instances in the model. The results of the ratio of the random variation to the measured value. The replicate runs would be needed for the statistical analysis.

The total true error in the plant PuO₂ content was estimated through 20 replicate runs of 500 h. The range of 0 kg by 1 kg can be converted into 0.001 of the total equilibrium plant inventory of about 200 kg of PuO₂. This may be viewed as an indication of the quality of the measurement configurations used in the model. The plant output over the course of the simulation was also about 0.75 kg of PuO₂ (75) fuel rods.

Accountability and Theft Detection

The evaluation of PuO₂ accountability and theft detection was the prime interest for the Special Safeguards Study. The SSB assay system for the model were chosen in conjunction with Science Applications, Inc. (SAI) and were close to state-of-the-art. Practical implementation to the level implied by the errors in Table 1 might prove difficult.

Table 1 gives the range of the end-of-blend (EOB) errors calculated in closing the material balances between blends. These are not the "true" errors, but are the relative errors internal to the measurement model. The results suggest that the model structure for blending might be defective and that the Clean Scrap Recovery SSB does not have adequate measurements. The model used a survey measurement of the Pu₂ in the Recycling No2 Storage vessel and the Clean Scrap waiting recovery in order to have a value for closing the material balance. Also included in Table 1 is the end-of-shift (EOS) "true" error in the SSB inventories. The values parallel the EOB errors except for Clean Scrap Recovery. The survey measurement of the Recycling No2 Storage vessel was not used for the inventory value.

The Pu₂ unloading process and SSB were chosen as examples since the Pu₂ was unblended with No2 and thus would be the most attractive for theft purposes. The replicate runs dramatize the fact that the values of variables used in accounting will

be from distributions because of the variability allowed in plant operations and because of measurement errors. Judging whether or not a theft has taken place will depend on whether or not the measured value belongs to the distribution of standard values. Five successive thefts of 0.15 kg of PuO₂ were simulated. Theft were from single input cans and occurred between weighing of the can and measurement of the contents at the Pu₂ storage vessel. Two cases were considered in order to show that the choice of measuring instrumentation in the plant is important. In Case 1, the weight of the contents of the can at the Pu₂ storage vessel was taken as the difference between the weight of the Pu₂ storage vessel before and after the addition. As the vessel filled the accuracy of the measurement would be degraded because the difference between two large numbers would have to be used. In Case 2, it was assumed that the Pu₂ storage vessel could be provided with an input weight hopper that would only measure the received contents of cans at a time. This would greatly improve the measurement of each increment into the vessel.

Three different techniques of using measurement data for theft detection in the two cases will be described.

End-of-Shift Indicators

Except for the Sintering SSB, there was no Pu₂ in the processing areas at the completion of shifts except for the holdups. A modified material balance calculated at the end of shifts for each SSB would be indicative of the status of the processing area with respect to losses. The indicator EOB indicator may be defined as:

$$\text{Beginning Inventory} + \text{Flow in} - \text{Flow out} = \text{EOB to storage area}.$$

The beginning inventory is for the start of a blend and the flows are for the blend period.

Figures 1 and 2 show 20 replicates of the EOB indicator for Pu₂ unloading with a theft of 0.75 kg of PuO₂ occurring toward the end of the second blend. The characteristic signature and subsequent holdup mechanism during each blend is very evident. The general decline in the peak heights was caused by the way the holdup factor was reset between blends. The distribution of values for the shift with the theft overlaps the standard distribution so that the detection probability would be somewhat less than 1.0 if only deviation from the range of permissible values was used for loss detection. In the particular time sequence of values was being followed then it is likely that the 0.75 kg theft would have been detected in both Cases 1 and 2. The theft actually was in five successive 0.15 kg per share from successive input cans. The time series for Case 1 were much better behaved than in Case 1 and the detection of just one 0.15 kg theft would appear possible.

End-of-Blend Error

The closing of material balances between blends would produce discrepancies or errors that would lie within certain ranges (see Table 1). Values outside those ranges would point to non-standard behavior. Figures 3 and 4 show the End-of-Blend (EOB) error for Pu₂ unloading for Cases 1 and 2, again with the same 0.75 kg theft of PuO₂ from the second blend. The distribution in the EOB error after the theft overlaps the standard distributions for both of the cases, although less in Case 2. The detection probability of the 0.75 kg theft would be substantially less than 1.0 in both cases.

Cumulative Sums

Theft detection would be more sensitive if the standard behavior could be removed from the detection algorithm. The difference between the current value and a nominal value was taken as the basic element of a cumulative sum (Cumsum) of the differences. The Cumsum would average about zero if all the standard behavior were removed. If standard behavior is not completely removed then the Cumsum should be described by a curve with a small slope. This technique amplifies discrepancies. The unit process model was used to provide the standard values. When unit processes are operated in the batch mode, then caution must be used since the first and last values in the sums may depart significantly from the values calculated from using the parameters of the model.

For Pu₂ unloading in the processing of a blend, the Cumsum was defined as:

$$\text{Cumsum} = (\text{Increment to PuO}_2 \text{ storage vessel}) - (\text{Measured can content}) / (1 - \text{holdup factor} - \text{waste factor}).$$

Table 4. End-of-Blend (EOB) relative errors and End-of-Shift true errors from replicate runs of 500 h.

Material balance area	EOB relative errors		EOS true errors	
	kg PuO ₂		kg PuO ₂	
1 PuO ₂ Unloading	+1.0 to -2.0		+2.5 to -2.5	
2 Blending	+0.53 to -0.25		+0.8 to -0.5	
3 Pelletizing	+0.4 to -0.4		+0.55 to -0.63	
4 Sintering	+0.18 to -0.18		+0.2 to -0.2	
5 Pellet Grinding	+0.19 to -0.21		+0.2 to -0.2	
6 Fuel Rod Loading	+0.47 to -0.49		+0.55 to -0.45	
7 Clean Scrap Recovery	+8.5 to -8.5		+0.2 to -1.5	

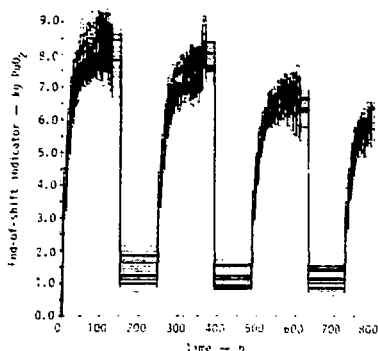


Fig. 3. End-of-shift indicator, PuO₂ Unloading, vs time. Case 1: theft of 0.75 kg of PuO₂ from second blend (20 replicate runs).

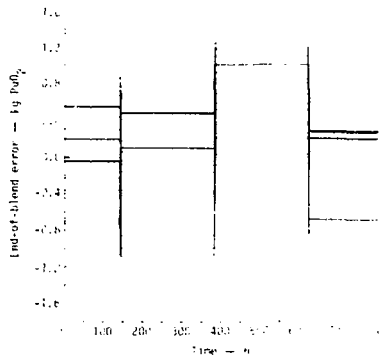


Fig. 5. End-of-blend error, PuO₂ Unloading vs time. Case 1: theft of 0.75 kg of PuO₂ from second blend (20 replicate runs).

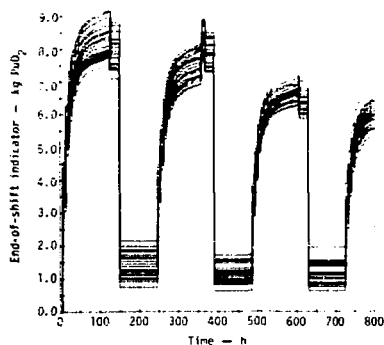


Fig. 4. End-of-shift indicator, PuO₂ Unloading, vs time. Case 2: theft of 0.75 kg of PuO₂ from second blend (20 replicate runs).

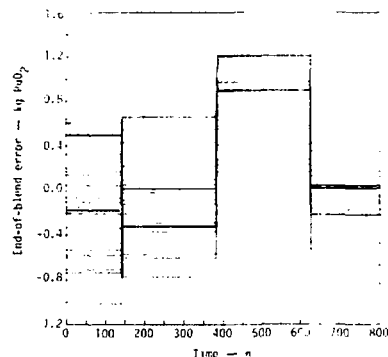


Fig. 6. End-of-blend error, PuO₂ Unloading, vs time. Case 2: theft of 0.75 kg of PuO₂ from second blend (20 replicate runs).

The can content was the value obtained by calorimetry. The holdup and waste factors were those used in the model of the unit process. Figures 7 and 8 show the Cusuma for Cases 1 and 2 with the 0.75 kg theft of PuO₂ during the processing of blend two. In Fig. 7 the Cusuma for each blend grew in uncertainty as the PuO₂ storage vessel became full. The theft is evident, but not too much different from normal. The improved precision of the measurement of increments to the PuO₂ storage vessel in Case 2 is clearly seen in Fig. 8 in the reduced width of the Cusuma of the blends and the definite occurrence of theft during the second blend.

DETECTION PROBABILITY

Distributions can be represented by their means and standard deviations. Statistical tables of the cumulative normal

distribution can be used to relate the detection probability of significant deviations from the normal distribution to the standard deviation. (5) Figure 9 presents a family of design curves for the detection probability versus theft levels normalized by the standard deviation. Each member of the family has a threshold normalized by the standard deviation. The intercept for no theft is the false alarm probability. With zero threshold, 50% of the values where no theft has occurred would lie below the mean and result in false alarms. False alarms imply plant disruptions so that alarm thresholds of 3 or 4 standard deviations would be reasonable. The false alarm probabilities would be 0.00135 and 0.000032, respectively. A false alarm probability of 0.00135 means that there is 1 chance in 741 that a result would cause a false alarm. M200 was used in the Special Safeguards Study to evaluate the standard deviations to be expected for the RFP plant as modelled.

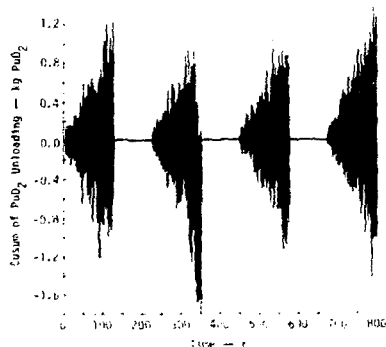


Fig. 7. Cumsum of PuO_2 Unloading vs time. Case 1: theft of 0.75 kg of PuO_2 from the second blend (20 replicate runs).

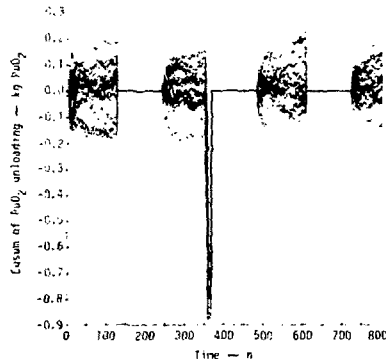


Fig. 8. Cumsum of PuO_2 Unloading vs time. Case 2: theft of 0.75 kg of PuO_2 from second blend (20 replicate runs).

SUMMARY

Simulation has been shown to be a useful tool in the study of material accountability in the processing of plutonium dioxide. Improvements in the plant operations and PuO_2 accountability could be made in the proposed Westinghouse RFP plant in Anderson, S.C. Concrete theft detection levels were not stated since the model was of a proposed plant, some

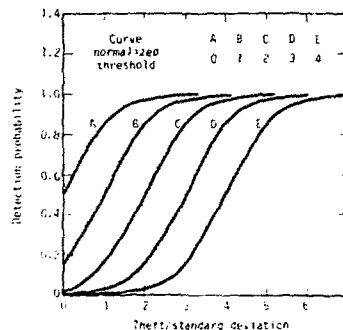


Fig. 9. Design curves for detection probability vs theft levels.

important factors were neglected, and the actual instrumentation that would be utilized might be quite different from that assumed in the model. Expected distributions in the plant and accountability variables were important results of the simulation runs. Theft detection capability is directly related to the quality of the distributions. It does appear that the material accounting of large quantities of PuO_2 can be used in a timely manner to reduce the risk of illicit diversion or unexpected losses, especially in conjunction with stringent physical security measures.

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NOTES

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