

Pion-Nucleon Scattering
and Pion Production in Nucleon-Nucleon
and Nucleus-Nucleus Collisions*

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*Lectures given at the State University of New York at Stony Brook,
Fall, 1982

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Outline:

1) Basic aspects of πN interactions

Properties of pions and nucleons

$SU(3)$ & $SU(6)$ classification

phenomenology of πN scattering

[(3,3) resonance; phase shift analysis

Bag model approach to πN

2) Pion production and absorption in the two nucleon system

$NN \rightarrow NN\pi$ (isobar model)

$\pi d \leftrightarrow NN$ (existence of dibaryon res.?)

3) Pion absorption in complex nuclei

multiparticle aspects
cascade calculations

4) Pion production with nuclear targets

a) nucleon-nucleus

b) nucleus-nucleus (Fermi-averaged
2-body vs. thermodynamic models)

c) $\pi\pi$ interferometry

A selection of review articles on
pion - nucleus interactions:

- 1) J. Hüfner, "Pions Interact with Nuclei"
Phys. Rep. 21, 1 (1975)
- 2) G. E. Brown + W. Weise, "Pion Scattering
+ Isobars in Nuclei", Phys. Rep. 22, 279 (1975)
- 3) G. E. Brown + W. Weise, "Pion Condensates"
Phys. Rep. 27, 1 (1976)
- 4) G. E. Brown, B. K. Jennings + V. I. Rostokin,
"The Pion - Nucleus Many - Body Problem"
Phys. Rep. 50, 227 (1979)
- 5) J. Alster + J. Warszawski, "Pion - Nucleus
Charge Exchange Reactions", Phys. Rep. 52, 87
(1979)
- 6) A. W. Thomas + R. H. Landau, "Pion - Deuteron
and Pion - Nucleus Scattering" Phys. Rep. 58, 121
(1980)
- 7) J. M. Laget, "Pion Photoproduction in
Few Body Systems", Phys. Rep. 69, 1 (1981).

Properties of Pions and Nucleons:

Pions: come in 3 charge states

$$\pi^{\pm} \quad \text{mass } 139.6 \text{ MeV}$$

$$\pi^0 \quad \text{mass } 135 \text{ MeV}$$

form a triplet in isospin space ($I=1$)

range associated with pion exchange $\sim 1.4\text{f}$
 $\sim m_{\pi}^{-1}$

spin-parity: 0^{-} (pseudoscalar)

parity relative to nucleon (+) from fact
that $\pi^{-} + d \rightarrow n + n$ observed from $3S_1$ state

charge conjugation C (transforms particle
into antiparticle)

$$C |\pi^{\pm}\rangle = -|\pi^{\mp}\rangle ; C |\pi^0\rangle = +|\pi^0\rangle$$

strong + EM interactions conserve P, C

G-parity: $G = C e^{i\pi I_y}$

$$G |\pi^{\pm}, \pi^0\rangle = -|\pi^{\pm}, \pi^0\rangle ; G = (-)^n \text{ for } n \text{ pions}$$

Decay Modes of Pions:

1) π^\pm decay weakly: $\tau_{\pi^\pm} \approx 2.6 \times 10^{-8} \text{ sec}$

$\pi_{\mu 2}^+ \rightarrow \mu^+ + \nu_\mu$ dominates (0.999)

$$R = \frac{\Gamma(\pi \rightarrow e \nu_e)}{\Gamma(\pi \rightarrow \mu \nu_\mu)} = \frac{f_\pi^2(e)}{f_\pi^2(\mu)} \left(\frac{m_e}{m_\mu}\right)^2 \frac{(m_\pi^2 - m_e^2)^2}{(m_\pi^2 - m_\mu^2)^2} \\ \approx 1.2 \times 10^{-4}$$

$\rightarrow f_\pi^2(e) = f_\pi^2(\mu)$ μ - e universality

2) π^0 decays electromagnetically

$\pi^0 \rightarrow 2\gamma$ (98.85%)

$\rightarrow \gamma e^+ e^-$ (1.15%)

$$\tau_{\pi^0} \approx 0.83 \times 10^{-16} \text{ sec}$$

with respect to time scale $10^{-22} - 10^{-23}$ of strong interactions, pions are effectively stable

PCAC and Goldberger - Treiman relation

weak hadronic current $J_\mu(x) = J_\mu^V + J_\mu^A$

$$\frac{\partial}{\partial x_\mu} J_\mu^V = 0 \quad \text{conserved vector current}$$

$$\frac{\partial}{\partial x_\mu} J_\mu^A = \frac{f_\pi}{\sqrt{2}} \phi(x) \quad \text{PCAC}$$

↑
pion field

f_π = pion decay constant ($\Gamma_{\pi \rightarrow \mu \nu} \sim f_\pi^2$)

hadronic current for neutron β -decay:

$$\bar{\psi}(x) \gamma_\mu (1 + g_A \gamma_5) \frac{\tau_1 \pm i\tau_2}{2} \psi(x)$$

$g_A = G_A/G_V$ = ratio of axial vector to vector couplings

$$f_\pi = \sqrt{2} m_N m_\pi^2 g_A / g \quad \text{Goldberger-Treiman}$$

↑
 $\pi N N$ strong coupling constant

Isospin structure of πNN and NN Coupling

Define Pauli matrices: $\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$,
 $\tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

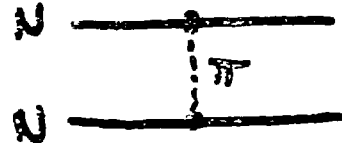
Commutation relations: $[\tau_i, \tau_j] = 2i \epsilon_{ijk} \tau_k$
 \uparrow
 Lie algebra of $SU(2)$

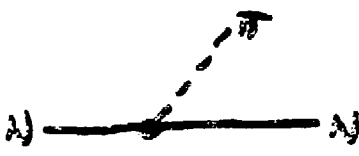
In isospin space: $p = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ $n = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$$\pi^+ = -\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \\ 0 \end{pmatrix} \quad \pi^- = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \\ 0 \end{pmatrix} \quad \pi^0 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

NN coupling: $\vec{I} = \frac{1}{2} \vec{\tau}^{(1)} + \frac{1}{2} \vec{\tau}^{(2)}$

$$H = H_0 + H_1, \quad \vec{\tau}^{(1)}, \vec{\tau}^{(2)}$$



πNN coupling: 

$$N_1^\dagger \vec{\tau} N_2 \cdot \vec{\phi}$$

\uparrow
 isovector pion field

CLASSIFICATION OF PIONS AND NUCLEONS IN SU(3) → "eightfold way"

SU(3) group has representations

$$[1], [3], [\bar{3}], [6], [8], [10], \dots$$

↑
adjoint

↑
octet

↑
decuplet

SU(3) nonets = $[8] + [1]$ mixed

$$\begin{array}{l} 0^- : \pi^\pm \pi^0 \eta \eta' \quad K^\pm K^0 \bar{K}^0 \\ 1^- : \rho^\pm \rho^0 \omega \phi \quad K^{*\pm} K^{*0} \bar{K}^{*0} \end{array} \left. \vphantom{\begin{array}{l} 0^- \\ 1^- \end{array}} \right\} \begin{array}{l} \text{mesons} \\ (B=0) \end{array}$$

$$\frac{1}{2}^+ : n \quad p \quad \Lambda \quad \Sigma^\pm \quad \Sigma^0 \quad \Xi^- \quad \Xi^0 = [8]$$

$$\frac{3}{2}^+ : \Delta(1236), \Upsilon^*(1385), \Xi^*(1530), \Omega^- = [10] \left. \vphantom{\frac{3}{2}^+} \right\}^{10}$$

SU(n) has $n^2 - 1$ generators which satisfy a Lie algebra

$$[F_i, F_j] = if_{ijk} F_k \quad ; \quad i=1 \dots 8$$

for SU(3)

SU(3) has strangeness degree of freedom in addition to SU(2) of isospin → can write

SU(3) invariant MBB' couplings: $\pi NN \rightarrow K^+ \Lambda N$
 \dots

CLASSIFICATION IN QUARK MODEL + SU(6)

Quark Model: species of quark $\{u, d, s, c, b, t\}$

for nuclear and hypernuclear physics, need low mass u, d, s only

	u	d	s
Q	$+\frac{2}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
I	$\frac{1}{2}$	$\frac{1}{2}$	0
S	0	0	-1
B	$\frac{1}{3}$	$\frac{1}{3}$	$\frac{1}{3}$

hypercharge $Y = B + S$

mesons are $\bar{q}q$ ($B=0$)

baryons are qqq ($B=1$)

Quark composition:

$$\pi^+ \sim u\bar{d}, \quad K^- \sim s\bar{u}$$

$$p \sim uud, \quad \Lambda \sim sud$$

$$\Delta^{++} \sim uuu$$

we get $SU(6)$ by combining $SU(3)$ of isospin and strangeness with $SU(2)$ of ordinary spin $\vec{\sigma}/2$: $SU(6) \supset SU(3) \otimes SU(2)$

πN scattering: isospin amplitudes

πN system has isospin $I = \vec{I}_\pi + \vec{I}_N \rightarrow 1/2, 3/2$

construct eigenstates of good (I, I_3) :

$$I = 3/2: \quad \begin{aligned} I_3 = 3/2 & \quad |p\pi^+\rangle \\ I_3 = 1/2 & \quad \sqrt{2/3} |p\pi^0\rangle + \sqrt{1/3} |n\pi^+\rangle \\ I_3 = -1/2 & \quad \sqrt{1/3} |p\pi^-\rangle + \sqrt{2/3} |n\pi^0\rangle \\ I_3 = -3/2 & \quad |n\pi^-\rangle \end{aligned}$$

$$I = 1/2: \quad \begin{aligned} I_3 = 1/2 & \quad \sqrt{1/3} |p\pi^0\rangle - \sqrt{2/3} |n\pi^+\rangle \\ I_3 = -1/2 & \quad \sqrt{2/3} |p\pi^-\rangle - \sqrt{1/3} |n\pi^0\rangle \end{aligned}$$

amplitudes:

$$\begin{aligned} \langle \pi^+ p | T | \pi^+ p \rangle &= T^{3/2} \\ \langle \pi^- p | T | \pi^- p \rangle &= \frac{1}{3} T^{3/2} + \frac{2}{3} T^{1/2} \\ \langle \pi^- p | T | \pi^0 n \rangle &= \frac{\sqrt{2}}{3} (T^{3/2} - T^{1/2}) \end{aligned}$$

cross sections:

$$\begin{aligned} \sigma(3/2) &= \sigma(\pi^+ p) \\ \sigma(1/2) &= \frac{1}{2} (3 \sigma_{\text{tot}}(\pi^- p) - \sigma(\pi^+ p)) \\ & \quad \uparrow \\ & \quad \text{incl. charge exch} \end{aligned}$$

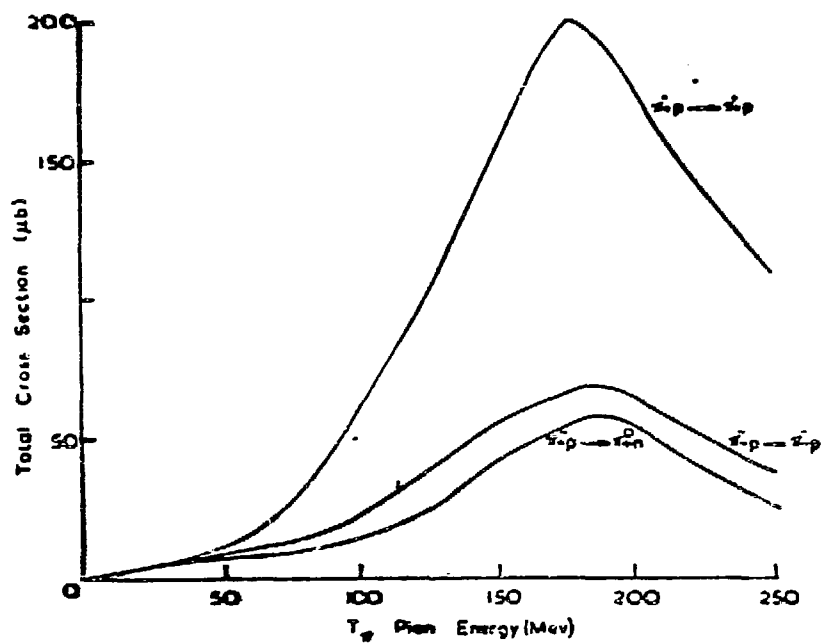


Fig. 2-2. Total cross sections for π^+ and π^- scattering by protons for laboratory pion energies T_π in the range 0 to 250 MeV.

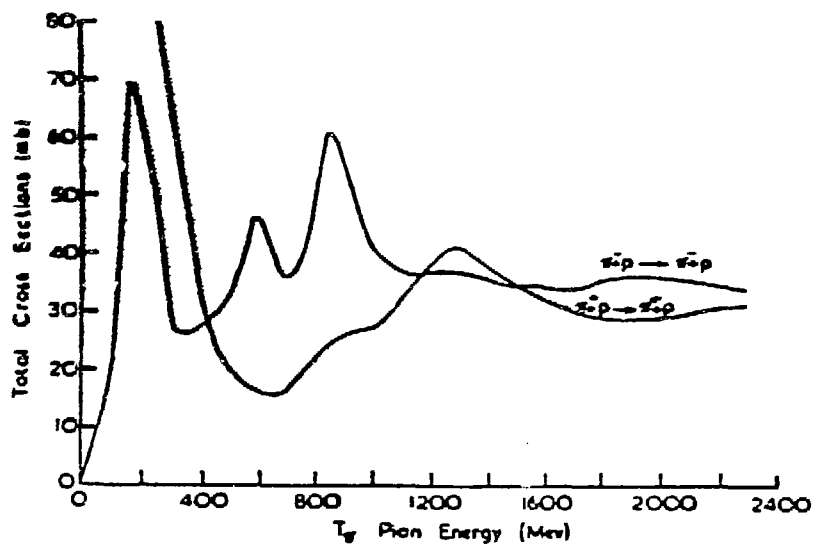


Fig. 2-3. Total cross sections for π^+ and π^- scattering by protons for laboratory pion energies T_π in the range 250 MeV to 2.4 GeV.

Properties of peak at 1236 MeV in $\sigma_{\pi N}$

$$1) \quad \sigma(\pi^+ p) : \sigma(\pi^- p \rightarrow \pi^- p) : \sigma(\pi^- p \rightarrow \pi^0 n) \\ = 9 : 1 : 2$$

$\rightarrow \Delta(1236)$ has $I = 3/2$

2) resonance has form

$$\sigma_{2j} = \frac{\pi (2j+1)}{q^2} \frac{\Gamma_L^2}{(W_R - W)^2 + (\Gamma_L/2)^2} \quad \text{Breit-Wigner}$$

unitarity limit $\sigma_{2j} \leq 4\pi (2j+1)/q^2$

threshold properties: $\Gamma_L \sim q^{2l+1}$
 $\sigma_{2j} \sim q^{4l}$

data consistent with $l=1, j=3/2$

$\therefore \Delta(1236)$ is $3/2^+$, $I=3/2$ resonance
in P_{33} partial wave of πN system

MORE DETAILS ON $\Delta(1236)$:

$$\sigma_{\pi^+p} = \sigma_{\text{res}} + B(q)$$

↑
background

$$\Gamma(q) = 2(qR)^3 \gamma / (1 + (qR)^2) \quad l=1$$

$$\gamma = 71 \text{ MeV}$$

$$R = 0.81 \text{ (}\hbar/mc\text{)}$$

$$B = 4 \text{ mb (small)}$$

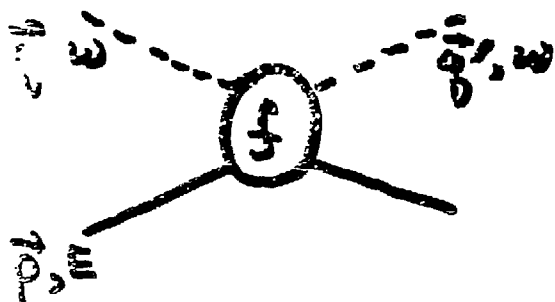
$$\underline{\Gamma(q_{\text{res}}) = 120 \text{ MeV}}$$

$\Delta(1236)$ also seen in photoproduction



same resonance form, with $\pi^2 \rightarrow \rho \rho_\gamma$

AMPLITUDES FOR πN SCATTERING (GENERAL)



Invariants:

$$s = (p_\mu + q_\mu)^2 = (E + w)^2$$

$$t = (q_\mu - q'_\mu)^2 = -2q^2(1 - \cos\theta)$$

$$u = (p_\mu - q'_\mu)^2 = (E - w)^2 - 2q^2(1 + \cos\theta)$$

$$\cos\theta = \hat{q} \cdot \hat{q}'$$

$$s + t + u = 2(m_N^2 + m_\pi^2)$$

f is an operator in spin-isospin space:

$$f(\vec{q}, \vec{q}') = f_0 + f_1 \vec{T}_\pi \cdot \vec{\tau}_N + f_2 \vec{\sigma}_N \cdot \hat{n} + f_3 \vec{\sigma}_N \cdot \hat{n} \vec{T}_\pi \cdot \vec{\tau}_N$$

where $\hat{n} \equiv \hat{q} \times \hat{q}'$ is perpendicular to scattering plane

Partial wave expansion for $0^- + \frac{1}{2}^+$:

$$f_{\text{I}}(\vec{q}', \vec{q}) = \sum_{\ell} [(\ell+1) f_{\text{I}, \ell+}(s) + \ell f_{\text{I}, \ell-}(s)] * P_{\ell}(\cos\theta)$$

$$+ i \vec{\sigma}_N \cdot \hat{n} \sum_{\ell} [f_{\text{I}, \ell+} - f_{\text{I}, \ell-}] P'_{\ell}(\cos\theta)$$

$\ell = \ell + \frac{1}{2}$

S matrix, K matrix and scattering lengths

$$f_a(s) = \frac{1}{2iq} (S_a(s) - 1)$$

$$S_a(s) = e^{i2\delta_a(s)}$$

δ_a real below

$\pi N \rightarrow \pi(N+1)$ threshold

$$f_a = (\eta_a e^{2i\delta_a} - 1) / 2iq$$

above threshold

$$(\eta_a \neq 1)$$

define K-matrix: $S_a = (1 + iqK_a) / (1 - iqK_a)$

$$K_a = 1/q \tan \delta_a$$

scattering lengths $a_a = \lim_{q \rightarrow 0} K_a / q^2 = \lim_{q \rightarrow 0} \frac{\tan \delta_a}{q^2}$

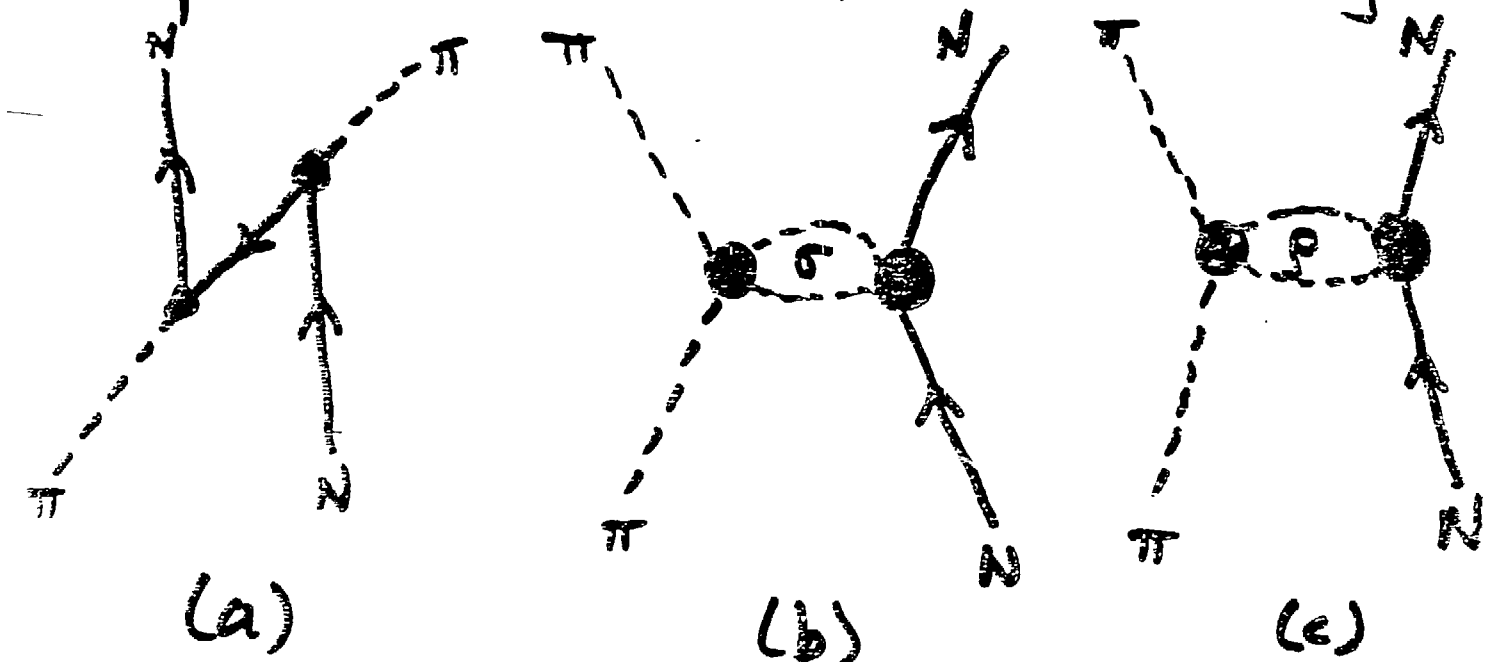
Experiment: $a_1 \approx 0.17 \pm 0.004 \text{ m}\pi^{-1}$
 $a_3 \approx -0.092 \pm 0.002 \text{ m}\pi^{-1}$ (Bugg)

isoscalar $a_1 + 2a_3 \approx -0.01$ small

isovector $a_1 - a_3 \approx 0.26$ large

$a_1 + 2a_3 \approx 0$ follows from soft-pion theorem of Weinberg (PCAC)

old picture in terms of meson exchanges



(a) + (b) tend to cancel \rightarrow "pair suppression"
 \rightarrow isoscalar small

(c) gives isovector

Phase shift analysis for πN scattering:

see B.H. Bransden + R.G. Moorhouse,
"The Pion-Nucleon System", Princeton (1973)

Define $f(\theta) = \sum_l [(l+1)f_{l+} + lf_{l-}] P_l(\cos\theta)$

$$g(\theta) = \sum_l (f_{l+} - f_{l-}) \sin\theta P_l'(\cos\theta)$$

(spin-flip)

need both single and double scattering
experiments with polarized target
to get complete set of observables

Density matrix formalism:

initial target polarization $\langle \vec{\sigma}_i \rangle = \frac{1}{2} \vec{P}_i$

initial density matrix $\rho_i = \frac{1}{2} (1 + \vec{P}_i \cdot \vec{\sigma})$

final density matrix

$$\rho_f = \frac{f \rho_i f^\dagger}{\text{Tr}(f \rho_i f^\dagger)}$$

\vec{P}_f = final nucleon polarization = $\text{Tr}(\vec{\sigma} \rho_f)$

observables are $\frac{d\sigma}{d\Omega}$, \vec{P}_f , A , R
spin rotation parameters

$$\frac{d\sigma}{d\Omega} = |f|^2 + |g|^2 + 2\hat{n} \cdot \vec{P}_i \text{Im}(fg^*)$$

$$\frac{d\sigma}{d\Omega} \vec{P}_f = 2\hat{n} \text{Im}(fg^*) - 2\hat{n} \times \vec{P}_i \text{Re}(fg^*) \\ + (|f|^2 + |g|^2)(\hat{n} \cdot \vec{P}_i)\hat{n} - (|f|^2 - |g|^2)(\hat{n} \times (\hat{n} \times \vec{P}_i))$$

$$\frac{d\sigma}{d\Omega} A = (|f|^2 - |g|^2) \sin\theta + 2 \text{Re}(fg^*) \cos\theta$$

$$\frac{d\sigma}{d\Omega} R = (|f|^2 - |g|^2) \cos\theta - 2 \text{Re}(fg^*) \sin\theta$$

spin rotation parameters A and R

require measuring polarization of recoil nucleon in a second scattering

Define $\hat{m} = \hat{q} \times \hat{n}$ (\perp to scattering plane)

target pol. \parallel to \hat{q}

$$\epsilon_A = P^{(3)} A P^{(1)}$$

$$\epsilon_R = P^{(3)} R P^{(1)}$$

target pol. \parallel to \hat{m}

analyzing power
of second scattering

$\epsilon_A =$ up-down asymmetry w.r.t.
scattering plane defined by
first collision

meas. of $d\sigma/d\Omega$, P, R, A for π^+ and π^-

\rightarrow f and g (4 complex nos. for each I)

also have optical theorem + Coulomb-nuclear interference

object of πN phase shift analysis:
 extract non-strange baryon resonance
 spectrum ($I = \frac{1}{2}$ and $\frac{3}{2}$)

Results for lowest-lying states:

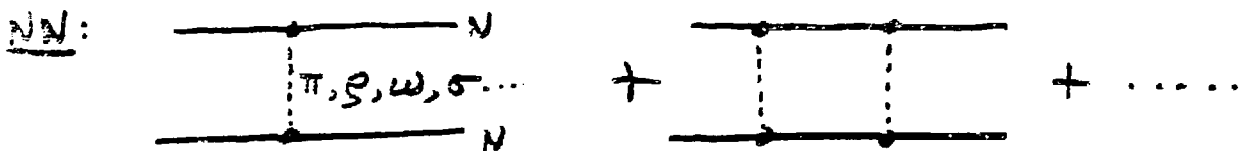
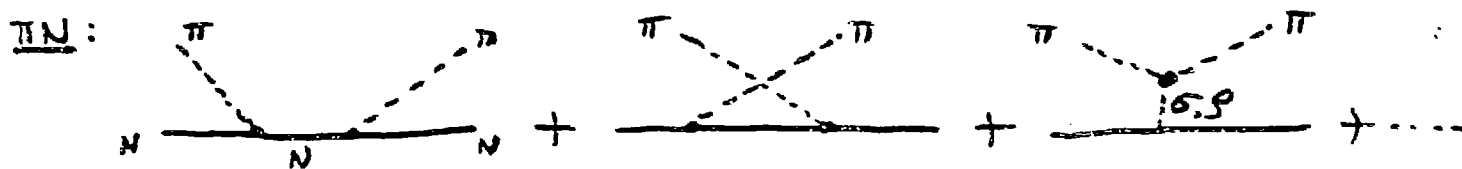
<u>mass</u>	<u>isospin</u>	<u>J^{π}</u>	<u>Partial wave ($2I, 2J$)</u>
1236	$\frac{3}{2}$	$\frac{3}{2}^+$	$P_{33} \leftarrow \Delta(1236)$
1470	$\frac{1}{2}$	$\frac{1}{2}^+$	$P_{11} \leftarrow \text{Roper}$
1500	$\frac{1}{2}$	$\frac{1}{2}^-$	S_{11}
1520	$\frac{1}{2}$	$\frac{3}{2}^-$	D_{13}
1620	$\frac{3}{2}$	$\frac{1}{2}^-$	S_{31}
1700	$\frac{3}{2}$	$\frac{3}{2}^-$	D_{33}
1850	$\frac{1}{2}$	$\frac{3}{2}^+$	P_{13}
1900	$\frac{3}{2}$	$\frac{1}{2}^+$	P_{31}

↑
in πN

DYNAMICS OF THE πN SYSTEM:

old fashioned approach:

dispersion relations
meson exchange models



one boson exchange models (OBE)

Idea: one meson or one baryon exchange diagrams generate a potential V ("driving term") for insertion into some dynamical equation which iterates V to all orders and generates a unitary S -matrix

(Schrödinger, Lippmann-Schwinger, Blankenbecler-Sugar, Bethe-Salpeter.... wave equations)

Qualitative Idea: obtain long and medium range parts of V from meson exchange theory; parametrize the short range interaction

ranges: $1/\mu_\pi \approx 1.4 \text{ fm}$ (one pion exchange)

$$1/\mu_\sigma \approx 0.5 \text{ fm}$$

$$1/\mu_{\rho,\omega} \approx 0.25 \text{ fm}$$

danger signal: $1/\mu_{\rho,\omega} \ll \langle r^2 \rangle_{\text{proton}}^{1/2} \approx 0.8 \text{ fm}$

disadvantages of one boson exchange models

- too many fields \rightarrow adjustable coupling constants
- arbitrary cutoffs (form factors) to describe short distance behavior of V
- no relation to underlying theory of strong interactions \rightarrow quantum chromodynamic (QCD), involving quark and gluon degrees of freedom

old Chew-Low model is limit $h(k) \rightarrow 0$

$$\text{then } t_{CL}(k, k', \omega) = \frac{g(k') g(k)}{1 - \frac{2\omega}{\pi} \int_0^\infty \frac{dq q^2 q^2 \rho(q)}{\omega + i\epsilon - \omega_q}$$

$$\sim \frac{1}{\omega - \omega_0 + i\Gamma/2} \rightarrow \text{Breit-Wigner form}$$

Numerical fit in cloudy bag model:

$$\omega_\Delta \approx m_\Delta - m_N = 294 \text{ MeV}$$

$$f_{\Delta\pi\pi} \approx 0.42$$

$$\underline{R \approx 0.72 \text{ fm}}$$

Conclusions: elementary Δ carries 80% of the strength at the (3,3) resonance (far from Chew-Low!)

R rather well determined

Structure of the Low equation:

$$t(E) = (V_{cl} + V_{\Delta}) + (V_{cl} + V_{\Delta}) G_0(E) t(E)$$

↑
Chew-Low driving term

If driving terms V_{cl} and V_{Δ} are treated as separable, i.e. $\langle k | V | k' \rangle \sim f(k) f(k')$, then

$$t(k, k', \omega) = N(k, k', \omega) / D(\omega)$$

$$N(k, k', \omega) = g(k') g(k) D_2(\omega) + h(k) h(k') D_1(\omega) + \omega [g(k') h(k) + h(k') g(k)] D_3(\omega)$$

$$D(\omega) = D_1(\omega) D_2(\omega) - \omega D_3^2(\omega)$$

$$D_1(\omega) = 1 - \frac{2\omega}{\pi} \int_0^{\infty} \frac{dq q^2 g^2(q)}{\omega + i\epsilon - \omega q} \quad \text{Chew-Low}$$

$$D_2(\omega) = \omega - \omega_{\Delta} - \frac{2}{\pi} \int_0^{\infty} \frac{dq q^2 h^2(q)}{\omega + i\epsilon - \omega q} \quad \Delta \text{ propagator}$$

$$D_3(\omega) = \frac{2}{\pi} \int_0^{\infty} \frac{dq q^2 g(q) h(q)}{\omega + i\epsilon - \omega q} \quad \text{interference}$$

Graphical interpretation of the Low equation:

$$t = \begin{array}{c} \pi \quad \pi \\ \diagdown \quad \diagup \\ \text{---} \\ \text{N} \\ \uparrow \\ V_{\pi N} \end{array} + \begin{array}{c} \pi \quad \pi \\ \diagdown \quad \diagup \\ \text{---} \\ \Delta \\ \uparrow \\ V_{\Delta} \end{array}$$

$$+ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} + \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array}$$

$$+ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} + \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array}$$

$$+ \dots$$

Other graphs are included as renormalizations of masses and coupling constants:

δM_N

$\delta f_{N\pi\pi}$

δM_Δ

Application to πN scattering:

$$H |\tilde{N}, k\rangle_+ = (m_N + \omega_k) |\tilde{N}, k\rangle_+$$

$$|\tilde{N}, k\rangle_+ = a_k^\dagger |\tilde{N}\rangle + |\chi\rangle_+$$

↑ outgoing wave boundary conds

recall $H_{int} = \sum_{\alpha, \beta = \{N, \Delta\}} \sum_{\vec{k}} (V_k^{\alpha\beta} a_k + \text{herm. conj.})$

πN t -matrix is

$$t(\tilde{N}'k', \tilde{N}k) = \langle \tilde{N}'k' | \sum_{\alpha\beta} V_k^{\alpha\beta} | \tilde{N} \rangle$$

t satisfies the Low equation

$$t(\tilde{N}'k', \tilde{N}k) = \sum_{|\tilde{n}\rangle} \left[\frac{t^\dagger(\tilde{N}'k', \tilde{n}) t(\tilde{n}, \tilde{N}k')}{m_N - \omega_{k'} - E_{\tilde{n}}} + \frac{t^\dagger(\tilde{N}'k', \tilde{n}) t(\tilde{n}, \tilde{N}k)}{m_N + \omega_{k'} - E_{\tilde{n}} + i\varepsilon} \right]$$

$|\tilde{n}\rangle$ is a complete set of states
approximate $|\tilde{n}\rangle$ by $\tilde{N}, \pi\tilde{N}$ only

Approximations in cloudy bag model

- 1) no self-consistency
- 2) $\sum_{\alpha} |\alpha\rangle\langle\alpha|$ truncated with N, Δ
- 3) pion field does not influence quark wave functions \rightarrow no deformation of the bag

Simple picture of the physical nucleon:

$$|\tilde{N}\rangle = z^{1/2} |N\rangle - z^{1/2} \sum_{\vec{k}} \left(\frac{v_b^{NN} |N, \vec{k}\rangle}{\omega_{\vec{k}}} + \frac{v_R^{\Delta N} |\Delta, \vec{k}\rangle}{m_{\Delta} - m_N + \omega_{\vec{k}}} \right)$$

\uparrow
bare nucleon

\uparrow
 $N + \pi$

\uparrow
 $\Delta + \pi$

$$z^{-1} = 1 + \sum_{\vec{k}} \left[\frac{|v_b^{NN}|^2}{\omega_{\vec{k}}^2} + \frac{|v_R^{\Delta N}|^2}{(m_{\Delta} + \omega_{\vec{k}} - m_N)^2} \right]$$

\uparrow
like the quasiparticle residue in nuclear physics

RESULTS:

61%	bare nucleon	($z^2 = 0.61$)
25%	$N\pi$	
14%	$\Delta\pi$	

$\Delta\pi$ vertex:

$$H_{int}^{NN\pi} = |N\rangle\langle N| \frac{1}{(2\pi)^{3/2}} \sum_j \int \frac{d^3\vec{k}}{(2\omega_R)^{1/2}} (v_{jk}^{NN} a_k + (v_{jk}^{NN})^\dagger a_k^\dagger)$$

$$v_{jk}^{NN} = \frac{i}{2f} \frac{\omega}{\omega-1} \frac{j_1(kR)}{kR} \langle N | \sum_a \vec{\sigma}_a \cdot \vec{k} \tau_j | N \rangle$$

compare to usual form

$$v_{jk}^{NN} = i (4\pi)^{1/2} \left(\frac{f^{(0)}}{m_\pi} \right) u_N(k) v_N^\dagger \vec{\sigma} \cdot \vec{k} \tau_j v_N$$

identify

$$\text{form factor } u_N(k) = \frac{3j_1(kR)}{kR} \rightarrow \begin{cases} 1 & k \rightarrow 0 \\ 1/k^2 & k \rightarrow \infty \end{cases}$$

$$\text{coupling constant } (4\pi)^{1/2} \frac{f^{(0)}}{m_\pi} = \frac{5}{18} \frac{\omega}{\omega-1} \frac{1}{f}$$

For $\Delta\pi$ vertex, we have

$$v_{jk}^{\Delta N} = i (4\pi)^{1/2} \left(\frac{f_{\Delta N\pi}^{(0)}}{m_\pi} \right) u_\Delta(k) v_\Delta^\dagger \vec{S} \cdot \vec{k} \vec{T}_j v_\Delta$$

$\uparrow \nearrow$
transition spin + isospin

$$\text{form factor } \underline{u_\Delta(k) = u_N(k)}$$

$$\text{coupling constant } \underline{f_{\Delta N\pi}^{(0)} = \sqrt{\frac{72}{25}} f_{NN\pi}^{(0)}} \text{ from } SU(6)$$

COUPLING CONSTANTS AND FORM FACTORS:

Expand the quantized pion field:

$$\phi_j(x) = \frac{1}{(2\pi)^{3/2}} \int \frac{d^3\vec{k}}{(2\omega_k)^{1/2}} (a_{jk} e^{i\vec{k}\cdot\vec{x}} + a_{jk}^\dagger e^{-i\vec{k}\cdot\vec{x}})$$

$$H_\pi = \sum_j \int d^3\vec{k} \omega_k a_{jk}^\dagger a_{jk}$$

↑ creation operator for π

$$H_{int} = \sum_{\alpha, \beta} |\alpha\rangle \langle \beta| \left[\frac{i}{2f} \langle \alpha | \int d^3x \sum_a \bar{q}_a(x) \gamma_5 \vec{\tau} q_a(x) \vec{\phi}(x) \delta(x-R) \right] |\beta\rangle$$

↑
baryonic bag states N, Δ, \dots

$N\pi, \Delta N\pi, \Delta\Delta\pi$ vertices can be evaluated

using bag model wave functions $q(\vec{r})$:

$$q(\vec{r}) = \frac{N}{(4\pi)^{1/2}} \begin{pmatrix} j_0(\omega r/R) \\ i\vec{\sigma}\cdot\vec{r} j_1(\omega r/R) \end{pmatrix}$$

↑ spin-isospin wave fn.

where $\omega = \omega_{1,-1} = 2.04$

$$N^2 = \frac{1}{R^3} \left\{ \omega^2 + \frac{\omega}{2(\omega-1)} \right\}$$

Applications of chiral bag models:

- a) $N\pi$ and $BB'\pi$ vertices
→ $f_{\pi NN}$ and form factors as fcn. of W and R
- b) one pion exchange potential
- c) sizes of baryons $\langle r^2 \rangle_{p, n}^{1/2}$
form factor G_{E_n} of neutron
- d) axial vector coupling constant g_A
- e) theory of πN scattering
→ soft pion limit
dynamics of $(3, 3)$ resonance

Hamiltonian for cloudy bag model:

$$H = H_{\text{MIT}} + H_{\pi} + H_{\text{int}}$$

$$H_{\text{MIT}} = \int d^3x \left(\frac{i}{2} \sum_{\alpha} q_{\alpha}^{\dagger} \overleftrightarrow{\partial}_0 q_{\alpha} + B \right) \Theta(R-r)$$

$$H_{\pi} = \frac{1}{2} \int d^3x \left(\vec{\pi} \cdot \vec{\pi} + \vec{\nabla} \phi \cdot \vec{\nabla} \phi + m_{\pi}^2 \phi^2 \right)$$

$$H_{\text{int}} = \frac{i}{2f} \int d^3x \sum_{\alpha} \bar{q}_{\alpha} \gamma_5 \vec{\tau} \cdot \vec{\phi} q_{\alpha} \delta(r-R)$$

differences between CBM and Stony Brook version

- 1) CBM is linearized in $\vec{\phi} \rightarrow$ pion fields are not large corrections to MIT bag
- 2) CBM allows pion field inside the bag
 \rightarrow SB has factor $\Theta(r-R)$ in H_{π}
- 3) CBM has quantized π field
SB emphasizes non-linear effects in classical limit

How to build in PCAC:

for Gell-Mann and Levy \mathcal{L} , minimum for $\sigma^2 + \vec{\pi}^2 = v^2$

→ a continuous symmetry

→ there exists a massless excitation (the pion)

[Goldstone theorem]

now break chiral symmetry by $\mathcal{L} \rightarrow \mathcal{L} + c\sigma$

→ pick a preferred direction in $(\sigma, \vec{\pi})$ space

$$\text{then } \partial_\mu A^\mu = -c\pi^\mu$$

if $c = -\frac{1}{f} m_\pi^2$, recover PCAC

The breaking of chiral symmetry implies

that the pion acquires a finite mass m_π

How to make \mathcal{L} chiral invariant?

σ model of Gell-Mann and Lévy (1960)

introduce new fields $(\sigma, \vec{\pi}) \rightarrow$ span 4 degrees of freedom of $SU(2) \otimes SU(2)$
 $\uparrow \quad \uparrow$
 $I=0 \quad I=1$

$$\mathcal{L}(\psi) = i \bar{\psi} \not{\partial} \psi + g \bar{\psi} (\sigma + i \vec{\tau} \cdot \vec{\pi} \gamma_5) \psi + \frac{1}{2} (\partial_\mu \sigma)^2 + \frac{1}{2} (\partial_\mu \vec{\pi})^2 - \frac{\lambda^2}{4} (\sigma^2 + \vec{\pi}^2 - v^2)^2$$

invariant under chiral transformation

$$\psi \rightarrow \psi - i \frac{\vec{\tau} \cdot \vec{\alpha}}{2} \gamma_5 \psi$$

$$\bar{\psi} \rightarrow \bar{\psi} - i \bar{\psi} \gamma_5 \frac{\vec{\tau} \cdot \vec{\alpha}}{2}$$

$$\sigma \rightarrow \sigma - \vec{\alpha} \cdot \vec{\pi}$$

$$\vec{\pi} \rightarrow \vec{\pi} + \sigma \vec{\alpha}$$

Note $e^{-i \vec{\tau} \cdot \vec{\alpha} \gamma_5 / 2} \approx 1 - \frac{i}{2} \vec{\tau} \cdot \vec{\alpha} \gamma_5 + \dots$

$$\sigma^2 + \vec{\pi}^2 \text{ constant}$$

Technical diversion on chiral invariance

$$\vec{V}_\mu = \bar{q}(x) \vec{\tau} \delta_\mu q(x) \quad \text{vector}$$

$$\vec{A}_\mu = \bar{q}(x) \vec{\tau} \delta_\mu \gamma_5 q(x) \quad \text{axial vector}$$

$$L_\mu = \bar{q}(x) \vec{\tau} \delta_\mu (1 - \gamma_5) q(x) \quad \text{left-handed}$$

$$R_\mu = \bar{q}(x) \vec{\tau} \delta_\mu (1 + \gamma_5) q(x) \quad \text{right-handed}$$

$1 \pm \gamma_5$ is chirality

L_μ, R_μ form independent $SU(2)$ algebras

chiral invariance \rightarrow \mathcal{L} does not mix left and right-handed particles

\rightarrow $SU(2)_L \otimes SU(2)_R$ is good symmetry

see H. Pagels, Phys. Rep. 16, 219 (1975)

relation to helicity: define $\psi_\pm = (1 \pm \gamma_5)/2 \psi$

then $\vec{\sigma} \cdot \hat{p} \psi_\pm = \mp \psi_\pm$

$\therefore \frac{1 + \gamma_5}{2}$ projects out negative helicity

Consequences: axial current $A^\mu = \bar{q} \gamma^\mu \gamma_5 \vec{T} q \otimes (R-R)$

$$\partial_\mu A^\mu(x) = -\frac{i}{2} \bar{q}(x) \gamma_5 \vec{T} q(x) \delta(r-R) \neq 0$$

MIT bag model does not conserve axial current
or chiral symmetry is broken

attempts to restore chiral symmetry

A. Chodos + C. B. Thorn, Phys. Rev. D12, 2733 (1975)

G. E. Brown + M. Rho, Phys. Lett. 82B, 177 (1979)

G. E. Brown, M. Rho and V. Vento, Phys. Lett. 84B, 383 (1979)

V. Vento et al, Nucl. Phys. A345, 413 (1980)

Théberge, Thomas + Miller: Phys. Rev. D22, 2838 (1980);
D24, 216 (1981)

Can. J. Phys. 60, 59 (1982)

"cloudy bag model"

Idea: introduce a pion cloud around the bag to restore chiral symmetry ($m_\pi \rightarrow 0$)

{ recover Yukawa π exchange potential
let $m_\pi \neq 0$, get PCAC
soft pion theorems + (3,3) resonance

Examples of currents:

charge current: $q(x) \rightarrow q(x) + i\varepsilon q(x)$ $\bar{q}^\dagger \gamma^0 = \bar{q}$
 $\bar{q}(x) \rightarrow \bar{q}(x) - i\varepsilon \bar{q}(x)$

$\mathcal{L} = \bar{q} q$ is invariant $\rightarrow j^\mu = \bar{q}(x) \gamma^\mu q(x) \Theta(R-r)$
is conserved $(\partial_\mu j^\mu(x) = 0)$

isospin current: $q(x) \rightarrow q(x) + i \frac{\vec{\tau} \cdot \vec{\varepsilon}}{2} q(x)$
 $\bar{q}(x) \rightarrow \bar{q}(x) - i \bar{q}(x) \frac{\vec{\tau} \cdot \vec{\varepsilon}}{2}$

$I^\mu = \bar{q}(x) \gamma^\mu \frac{\vec{\tau}}{2} q(x)$ conserved $\rightarrow \vec{I} = \int d^3x I^0(x)$
is isospin

axial current: $q(x) \rightarrow q(x) - i \frac{\vec{\tau} \cdot \vec{\varepsilon}}{2} \gamma_5 q(x)$

$\bar{q}(x) \rightarrow \bar{q}(x) - i \bar{q}(x) \gamma_5 \frac{\vec{\tau} \cdot \vec{\varepsilon}}{2}$

↑ note sign! $\gamma_5 \gamma_0 = -\gamma_5$

surface term $-\frac{1}{2} \bar{q}(x) q(x) \delta(r-R)$ not invariant

technical: surface term has odd chirality

physical: confinement forces quarks to be reflected at bag surface, but no spin flip in this reflection, so "chirality" or "handedness" or "helicity" of quark is changed.

Introduce a Lagrangian for the Bag Model

$$\mathcal{L}_{\text{MET}}(x) = \left[\frac{i}{2} \bar{q}(x) \overleftrightarrow{\partial} q(x) - B \right] \theta(R-r) - \frac{1}{2} \bar{q}(x) q(x) \delta(r-R)$$

$$\overleftrightarrow{\partial} = \gamma^\mu (\partial_\mu - \overleftarrow{\partial}_\mu)$$

no current flow through surface

Conserved currents: Noether's theorem

→ invariance of $\mathcal{L}(x)$ associated with a conserved quantity

consider a variation $\delta\varphi_i(x) = f_i(\{\varphi_i(x)\}) \epsilon(x)$

↑
infinitesimal

$$\delta\mathcal{L} = \frac{\partial\mathcal{L}}{\partial\varphi_i} f_i \epsilon(x) + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\varphi_i)} \epsilon(x) \partial_\mu f_i + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\varphi_i)} f_i \partial_\mu \epsilon(x)$$

If $\delta\mathcal{L} = 0$, we can integrate by parts and use

$\delta \int d^4x \mathcal{L} = 0$ (equations of motion) to find

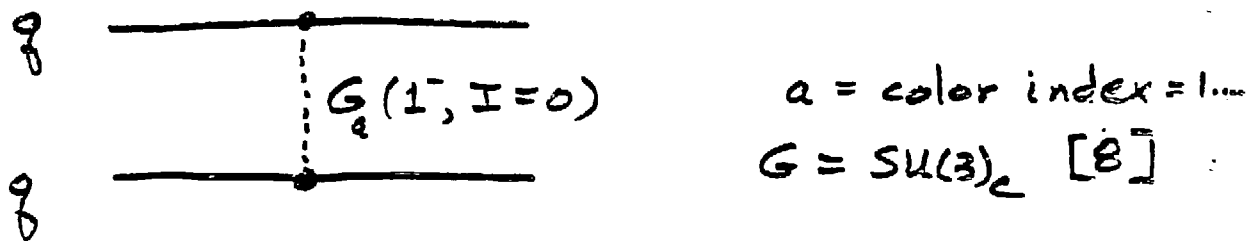
$$\int d^4x \partial_\mu \left[\frac{\partial\mathcal{L}}{\partial(\partial_\mu\varphi_i)} f_i \right] \epsilon(x) = 0$$

∴ $j^\mu(x) = \frac{\partial\mathcal{L}}{\partial(\partial_\mu\varphi_i)} f_i$ is a conserved current

i.e. $\partial_\mu j^\mu(x) = 0$

Aside on residual interactions in bag model:

N, Δ degeneracy lifted by quark-quark interactions (one gluon exchange)



work to first order in $\alpha_c = \text{QCD strong coupling constant}$

one gluon energy shift = $\Delta E_G = \alpha_c \int d^3x \sum_{a=1}^8 (\overset{\uparrow}{\vec{E}}^a \cdot \overset{\uparrow}{\vec{E}}^a - \overset{\uparrow}{\vec{B}}^a \cdot \overset{\uparrow}{\vec{B}}^a)$

\uparrow
color electric
 \uparrow
color magnet.

for quarks of same mass in same orbits, $\vec{E}_i^a \cdot \vec{E}_j^a \rightarrow C$

$$\Delta E_G = \frac{\lambda \alpha_c}{R} \sum_{i < j} \bar{M}(m_i, m_j, R) \vec{\sigma}_i \cdot \vec{\sigma}_j$$

$\lambda = 1$ for baryons.

$\rightarrow m_\Delta - m_N > 0$ determines α_c

resolution of problem of energy-momentum conservation

$$T_{MIT}^{\nu\mu} = (T_D^{\nu\mu} + Bg^{\nu\mu}) \theta(R-r)$$

$$\text{Then } \partial_\nu T_{MIT}^{\nu\mu} = (-P_D + B) n^\mu \delta(r-R) = 0$$

$$\text{if } B = P_D = -\frac{1}{2} n \cdot \partial [\bar{q}(x) q(x)]_{r=R}$$

This is the non-linear boundary condition
of the MIT Bag Model

Interpretation of B:

$$\text{total energy of bag} = \int d^3x T^{00}(x) = E(R)$$

$$E(R) = 3W_{3,-1}/R + \frac{4\pi R^3}{3} B$$

$$\text{boundary cond.} \rightarrow \partial E(R)/\partial R = 0$$

$$\rightarrow R^4 = 3W_{3,-1}/4\pi B \quad \text{or } B^{1/4} \sim R^{-1}$$

$$E(R) = \frac{4}{3} (3W_{3,-1})/R$$

$$\text{if } E(R) \approx \frac{m_N + m_\Delta}{2}, \text{ then } R \approx 1.4 \text{ fm}, B^{1/4} \approx 100 \text{ MeV}$$

$$\begin{aligned} \text{Now } i n_\mu \bar{q} \gamma^\mu q &= (\bar{q} i \gamma \cdot n) q = \bar{q} (i \gamma \cdot n q) \\ &= -\bar{q} q = \bar{q} q \\ &= 0 \end{aligned}$$

requires $\bar{q} q|_{r=R} = \bar{\Psi} \Psi|_{r=R} = 0$

This is just eigenvalue condition in Bogoliubov model
so no flow of quark current through surface!

Problem with Bogoliubov model \rightarrow violates energy-momentum conservation

$$T^{\mu\nu} = T_D^{\mu\nu} \Theta(R-r) = \frac{i}{2} \bar{q}(x) \gamma^\mu \overleftrightarrow{\partial}^\nu q(x) \Theta(R-r)$$

\uparrow
 energy-momentum tensor

$$\overleftrightarrow{\partial}^\nu = \overrightarrow{\partial}^\nu - \overleftarrow{\partial}^\nu$$

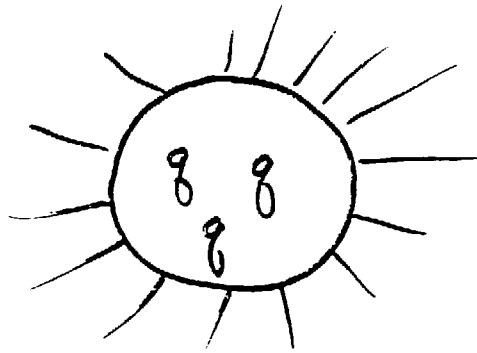
$$\begin{aligned} \partial_\mu T^{\mu\nu} &= (\partial_\mu T_D^{\mu\nu}) \Theta(R-r) + T_D^{\mu\nu} n_\mu \delta(r-R) \\ &\quad \text{" from Dirac equation} \\ &= \frac{i}{2} \bar{q} \gamma \cdot n \overleftrightarrow{\partial}^\nu q \delta(r-R) = -P_D n^\nu \delta(r-R) \end{aligned}$$

where $P_D = -\frac{1}{2} n \cdot \partial(\bar{q} q)|_{r=R}$ = pressure exerted on bag surface by quark

so $\partial_\mu T^{\mu\nu} \neq 0$ since $P_D \neq 0 \rightarrow$ violate energy-momentum conservation

MIT Bag Model: Phys. Rev. D9, 3471 (1974)
 D12, 2060 (1975)
 D12, 2733 (1975)

Physical picture:



nucleon = three quarks confined to sphere of radius R

obtain relation to Bogoliubov model: $\psi(x) \rightarrow q(x)$

MIT model requires no flow of quark current through surface at $r=R$

→ boundary condition $n_\mu \bar{q} \gamma^\mu q \Big|_{r=R} = 0$

$n^\mu = (0, \hat{r}) = \text{normal to surface}$

implemented through linear boundary condition

$$i \gamma \cdot n q = q$$

? of: recall $\bar{q} = q^\dagger \gamma^0$, $\gamma^\mu = \gamma^0 \gamma^{\mu\dagger} \gamma^0$, so l.b.c. implies
 $-i q^\dagger \gamma^{0\dagger} n = q^\dagger$ or $-i \bar{q} \gamma \cdot n = \bar{q}$

Consider now the "confinement limit" $m \rightarrow \infty$

eigenvalue eq. for $j^\pi = \frac{1}{2}^+$ fermion:

$$j_0(ER) = j_1(ER)$$

energy levels: $E_{n\alpha} = \omega_{n\alpha}/R$
 \uparrow
 principal quantum no.

$$\omega_{1,-1} = 2.04, \quad \omega_{2,-1} = 5.4 \dots$$

wave function: $\psi_{n,\alpha=-1}^\mu = N_{n,-1} \begin{pmatrix} j_0(\frac{\omega r}{R}) \chi_{-1}^\mu \\ -i j_1(\frac{\omega r}{R}) \chi_1^\mu \end{pmatrix}$

Note $\vec{\sigma} \cdot \hat{r} \chi_x^\mu = -\chi_{-x}^\mu$

Properties: 1) Quark density $\rho = \bar{\psi} \gamma^0 \psi$; $\bar{\psi} = \psi^\dagger \gamma^0$
 $\sim (j_0^2(\frac{\omega r}{R}) + j_1^2(\frac{\omega r}{R})) \theta(R-r)$

$\rho \neq 0$ for $r = R - \epsilon, \epsilon \rightarrow 0$

$$2) \quad \underline{\bar{\psi} \psi} \Big|_{r=R} \cong (j_0, i \vec{\sigma} \cdot \hat{r} j_1) \begin{pmatrix} j_0 \\ i \vec{\sigma} \cdot \hat{r} j_1 \end{pmatrix}$$

$$\sim j_0^2(\omega) - j_1^2(\omega) = 0$$

\uparrow
eigenvalue

Consider a scalar field $W(r)$ in the Dirac equation

$$H \psi(r) = [\vec{\alpha} \cdot \vec{p} + \beta(m + W(r))] \psi(r) = E \psi(r)$$

two component form: $\psi_{\pm}^{\mu}(r) = \begin{pmatrix} g(r) \chi_{\pm}^{\mu} \\ i f(r) \chi_{\mp}^{\mu} \end{pmatrix}$

$\alpha = -1$ is positive parity

coupled equations:
$$\begin{aligned} df/dr &= (\alpha - 1) f/r - (E - u) g \\ dg/dr &= (E + u) f - \frac{(\alpha + 1)}{r} g \end{aligned}$$

where $u = m + W(r)$

Now consider square well:
$$W(r) = \begin{cases} -m & r \leq R \\ 0 & r > R \end{cases}$$

then $f = (E + u)^{-1} dg/dr$; $g = u/r$ for $\alpha = -1$

$$\frac{d^2 u}{dr^2} + (E^2 - u^2) u = 0$$

solution:
$$u(r) = \begin{cases} A \sin Er & r \leq R \\ A \sin ER e^{-(m^2 - E^2)^{1/2} (r - R)} & r > R \end{cases}$$

also demand $f \sim dg/dr$ continuous at $r = R \rightarrow$

$$\cos(ER) + \frac{(1 - (E/m)^2)^{1/2}}{1 + E/m} \sin(ER) = \frac{\sin(ER)}{ER} (1 - E/(E+m))$$

eigenvalue equation for $E = E(R)$; $\alpha = -1$

Simple Derivation of the MIT Bag Model:

Consider a fermion moving freely in a spherical volume of radius R , with a scalar potential operating for $r > R$ ("confinement")

[Bogoliubov (1967)]

Notation: Dirac eq. for spin 1/2 $H\psi = i\partial\psi/\partial t$

$$H = \vec{\alpha} \cdot \vec{p} + \beta m$$

$$\beta = \gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \vec{\gamma} = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix} = \gamma^0 \vec{\alpha} \quad \gamma^5 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu} \quad g_{\mu\nu} = \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix}$$

$$\not{\partial} = \gamma_\mu p^\mu = i\not{\partial}$$

Conserved quantities: $\vec{j} = \vec{l} + \vec{\sigma}/2$ (not \vec{l})

$$K = \beta(\vec{\sigma} \cdot \vec{p} + 1)$$

$$[H, \vec{j}] = [H, K] = 0$$

Write $\psi(r, t) = \psi(r) e^{-iEt}$

Eigenfunctions: $\vec{j}^2 \psi_\alpha^\mu = j(j+1) \psi_\alpha^\mu$, $j_z \psi_\alpha^\mu = \mu \psi_\alpha^\mu$
 $K \psi_\alpha^\mu = -\alpha \psi_\alpha^\mu$; $\alpha = \pm(j + 1/2)$

QCD has had many successes in describing high energy, high momentum transfer processes (deep inelastic electron scattering \rightarrow scaling, quark counting rules, "jet" phenomena....)

However, for low energy, low q processes, QCD calculations are difficult \rightarrow must make some concessions to phenomenology

discuss MIT bag model with chiral symmetry as a phenomenological representation of QCD

Outline:

- a) simple derivation (quarks in a square well)
- b) incorporating energy-momentum conservation \rightarrow boundary conditions
- c) incorporate chiral symmetry \rightarrow recover one pion exchange potential, PCAC, soft pion theorems
- d) application to πN scattering in $(3, 3)$ resonance region

Fundamental treatment of $\pi\pi$ scattering:

Concepts in QCD : quark and gluon fields fundamental
asymptotic freedom
confinement

quark flavors : $u, d, \underbrace{s, c, b, t}_{\text{heavy}}$

for light quarks (u, d), flavor is $SU(2)$ of isospin

quarks have three colors : group $SU(3)_c$, $\{3\}$ repr.

confinement \rightarrow physical hadrons are "colorless"

\rightarrow $\{1\}$ of $SU(3)_c$ group

\rightarrow isolated Q or $Q\bar{Q}$ systems not observed ($\{3\} \times \{3\} = \{3\} + \{6\}$)

\rightarrow only $Q\bar{Q}$, QQQ are observable
(in general $\alpha(Q\bar{Q}) + \beta(Q^3)$)

asymptotic freedom : QCD coupling constant α_s
has form

$$\alpha_s(q^2) = \alpha_s(1^2) / (1 + \frac{5}{3} \ln(q^2/1^2))$$

so $\alpha_s(q^2) \rightarrow 0$ for $q^2 \rightarrow \infty \Rightarrow$ weak interaction at short distances

CLOUDY BAG MODEL FITS TO TN (3,3) RESONANCE

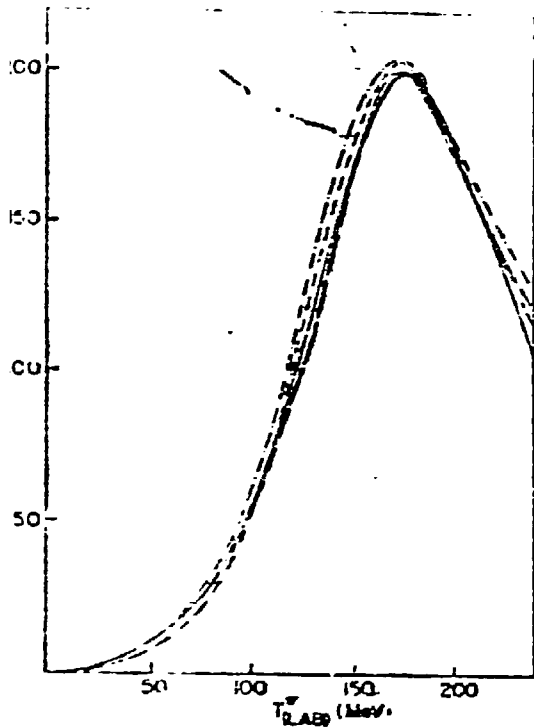


FIG. 11. δ -meson fit calculations using the CBM formalism but retaining only the delta mesons. A dashed line shows the fit with δ and ω mesons. $\Delta N_{\pi\pi} = 0$.

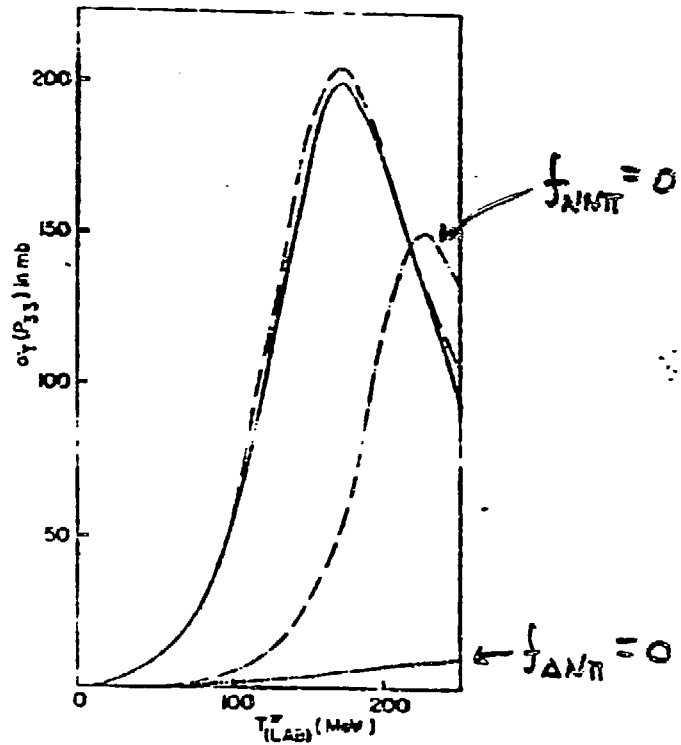
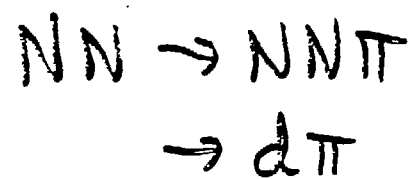
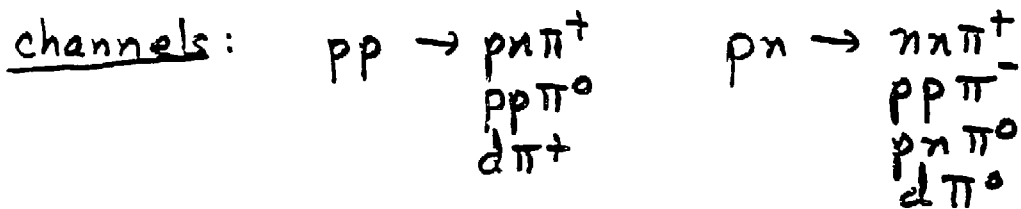


FIG. 12. δ -meson fit calculations using the CBM formalism but retaining only the delta mesons and ω mesons. A dashed line shows the fit with only the delta mesons. $\Delta N_{\pi\pi} = 0$.

PION PRODUCTION IN NN COLLISIONS



Pion Production in Nucleon-Nucleon Collisions



thresholds:

single pion production: $S = (2m_N + \mu_\pi)^2$

lab kinetic energy = $\frac{2\mu_\pi + \mu_\pi^2}{2m_N}$

$\approx 280 \text{ MeV}$

double pion production:

lab k.e. = $\frac{4\mu_\pi + 2\mu_\pi^2}{m_N} \approx 600 \text{ MeV}$

π production on nuclear target: $N + A \rightarrow N + A + \pi$

$$S_{\text{th}} = (m_N + Am_N + \mu_\pi)^2 = (\sqrt{m_N^2 + p_L^2} + Am_N)^2 - p_L^2$$

$$T_L = (\sqrt{p_L^2 + m_N^2})^{1/2} - m_N = \frac{A+1}{A} \mu_\pi + \mu_\pi^2 / 2m_N A \quad \text{threshold}$$

for large A , $T_L \rightarrow \mu_\pi$

Statistical Theory of Pion Production: Fermi (1950)

$p + p$ initial state fuses into compound system
in statistical equilibrium in volume Ω

observer in c.m. system sees meson cloud of nucleon
as Lorentz contracted sphere

→ looks like circle of radius $\hbar/\mu_\pi c$ perpendicular
to direction of motion; along direction of motion,
get Lorentz contraction factor $\gamma = E_{cm}/2M$

total energy concentrated in volume $\Omega = \Omega_0/\gamma$

where $\Omega_0 = \frac{4\pi}{3} \left(\frac{\hbar^3}{\mu_\pi}\right)^3$, so $\Omega = 8\pi M/3\mu_\pi^3 E_{cm}$

cross section for n particles in final state

\propto probability that n particles contained in $\Omega \propto \Omega^{n-1}$

$$\sigma(E, m_1, \dots, m_n) \sim \Omega^{n-1} \rho(E, m_1, \dots, m_n)$$

$\rho(E, m_1, \dots, m_n) =$ density of states

$$\sim \int d\vec{p}_1 \dots d\vec{p}_n \delta(E - \sum_{i=1}^n (m_i^2 + p_i^2)^{1/2}) \delta^{(3)}\left(\sum_{i=1}^n \vec{p}_i\right)$$

can make into Lorentz-invariant phase space.

STATISTICAL THEORY OF PION PRODUCTION VS. EXPERIMENT

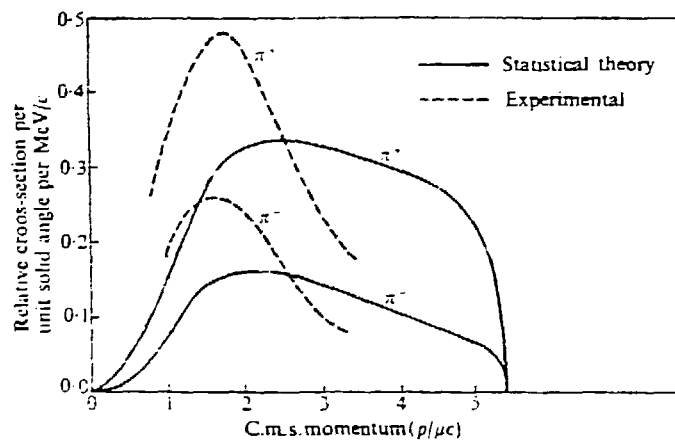


FIG. 6.7. Comparison of the experimental results for the production of pions in Be and H (positive pion spectrum only) with the statistical theory predictions. ([11], (1956). *Phys. Rev.* 103, 404)

from S. Lindenbaum, Oxford (1973)

THE ISOBAR MODEL FOR PION PRODUCTION

S.J. Lindenbaum and R.M. Sternheimer, Phys. Rev. 105, 1874 (1957); Phys. Rev. 106, 1107 (1958), Phys. Rev. 109, 1723 (1961)

Idea: NN energy high enough to excite one or both nucleons to isobaric levels

isobars characterized by variable mass m
= total energy of decay products in isobar c.m.s.

General form: $d\sigma_{NN \rightarrow NN\pi} / dm_1 = d_s F_s \sigma(m_1) a_s(\theta) d\Omega$

$$d\sigma_{NN \rightarrow NN\pi\pi} / dm_1, dm_2 = d_d F_d \sigma(m_1) \sigma(m_2) a_d(\theta) d\Omega$$

F_s, F_d are phase space factors

$a_s(\theta), a_d(\theta)$ contain angular information

$\sigma(m)$ contains information on isobar mass and width

no assumptions about detailed mechanism for isobar formation

later, peripheral model with one pion exchange was introduced \rightarrow pins down the mechanism

PION PRODUCTION

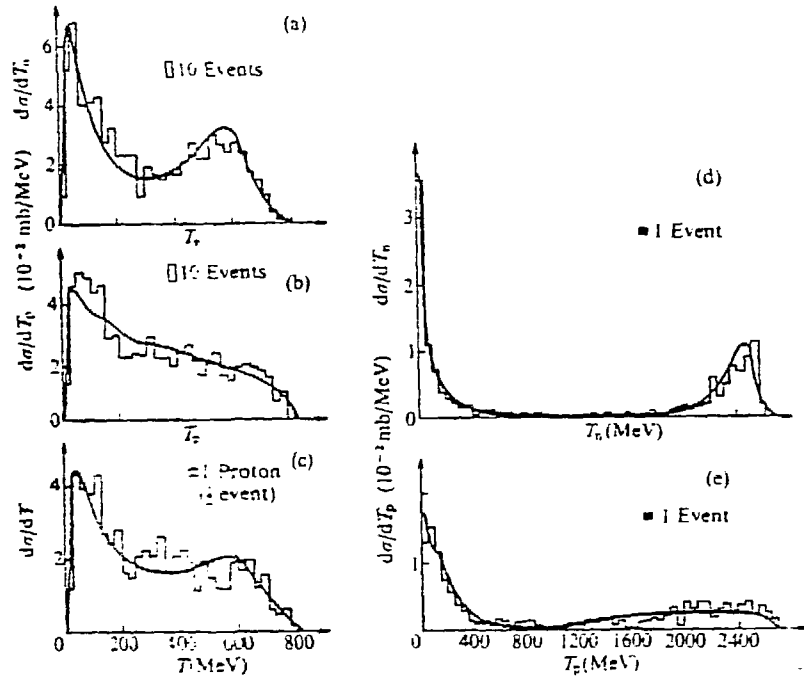


FIG. 6.11. Example of laboratory kinetic energy spectra fitted by the one-pion exchange model with form factors. (Taken from Ferrari and Selleri (1963), which also contains the experimental bibliography.) (a) Neutrons from $pp \rightarrow pn\pi^+$, (b) protons from $pp \rightarrow pn\pi^+$, (c) protons from $pp \rightarrow pp\pi^+$ (at an incident energy of 970 MeV), (d) neutrons, and (e) protons from $pp \rightarrow pn\pi^+$ (at 285 GeV), where only events in which the system $p\pi^+$ is in the region of the resonance N^{*++} have been selected. (From Bertocchi and Ferrari, [20].)

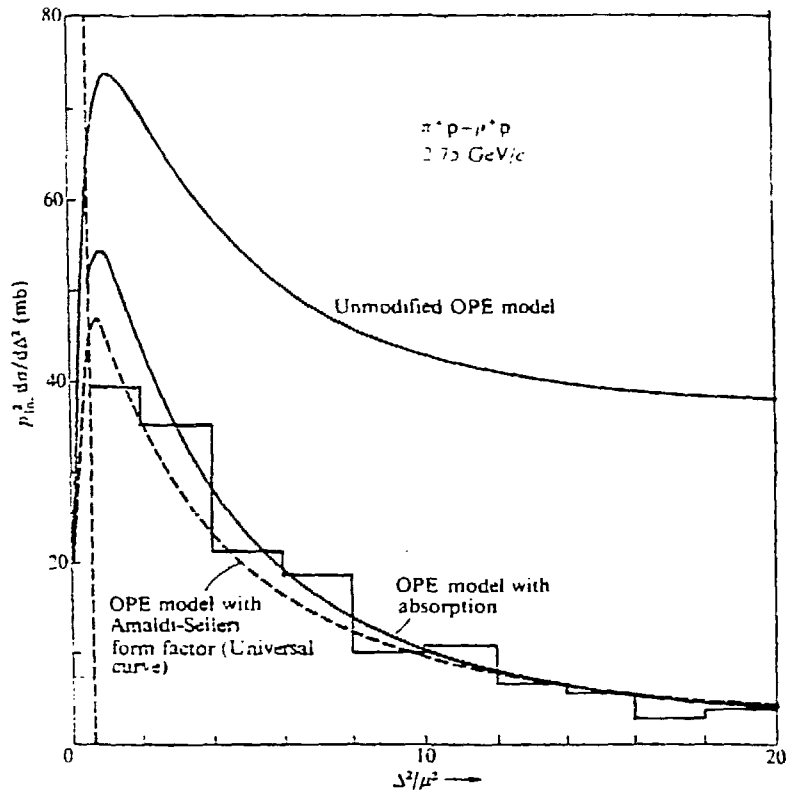
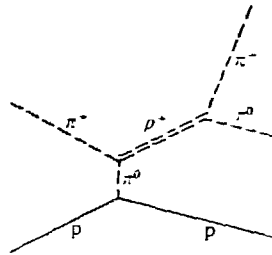


FIG. 5.12. Comparison of theory and experiment for the differential cross-section of the reaction, $\pi^+p \rightarrow \rho^+p$ at 2.75 GeV/c. The histogram represents the data. The upper solid curve is the cross-section predicted by the unmodified OPE model. The dashed curve is given by the cross-section multiplied by the square of the form factor. The lower solid curve is that predicted by the OPE model modified to include absorptive effects as described in §§ 5 and 6. The abscissa is Δ^2 in units of $\mu^2 = m_\pi^2 = 0.0195 \text{ (GeV/c)}^2$. (After review by Lindenbaum [38].)



PERIPHERAL MODEL FOR $\pi^+p \rightarrow \text{meson} + N^*$

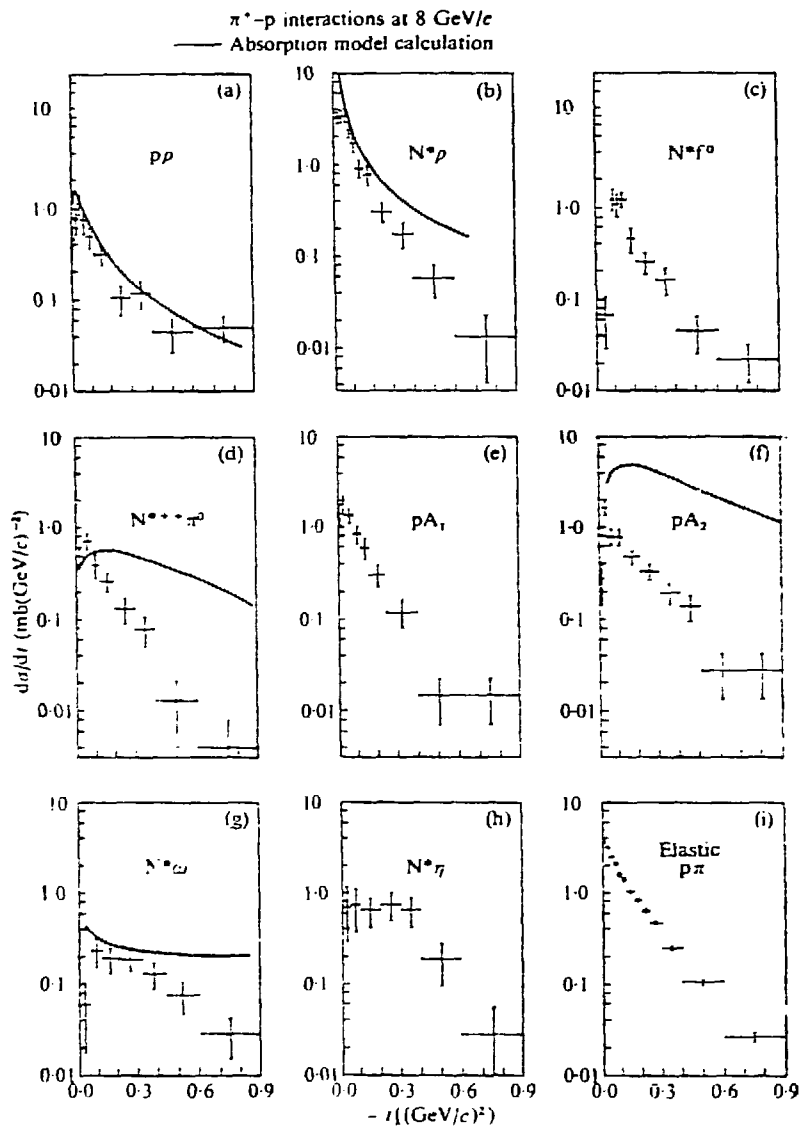


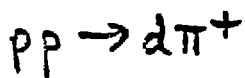
FIG. 6.13. 8 GeV/c π^+p inelastic channels and their comparison with predictions of the OME (ρ or π) model, with absorption effects included. The graph was presented by the Aachen-Berlin-CERN collaboration at the Oxford Conference (1965). The figure is from Lindenbaum (Oxford, 1965)

ISOSPIN DECOMPOSITION OF REACTION CROSS SECTIONS

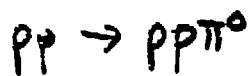
4 independent isospin cross sections for $\underbrace{NN}_{I} \rightarrow \underbrace{NNT}_{I'}$

Process

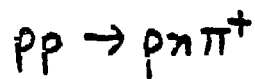
Cross Section



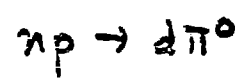
$$\sigma_{10}^d$$



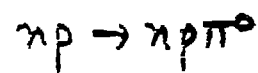
$$\sigma_{11}$$



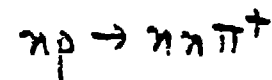
$$\sigma_{10} + \sigma_{11}$$



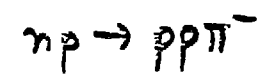
$$\frac{1}{2} \sigma_{10}^d$$



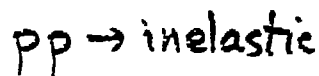
$$\frac{1}{2} (\sigma_{10} + \sigma_{01})$$



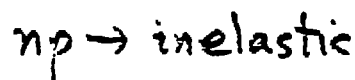
$$\frac{1}{2} (\sigma_{11} + \sigma_{01})$$



$$\frac{1}{2} (\sigma_{11} + \sigma_{01})$$



$$\sigma_{10}^d + \sigma_{10} + 2\sigma_{11} = \sigma_{I=1}$$



$$\frac{1}{2} (\sigma_{I=1} + \sigma_{I=0}) \quad ; \quad \sigma_{I=0} = 3\sigma_{01}$$

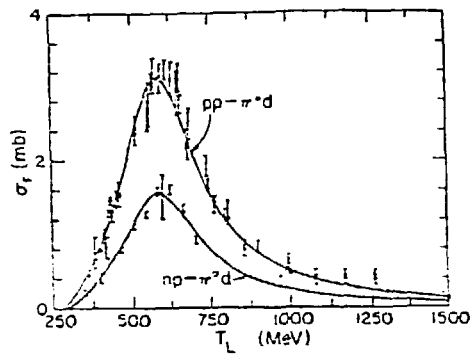


FIG. 3. Cross sections for reactions $pp \rightarrow d\pi^+$ and $np \rightarrow d\pi^0$. Data indicated as in Fig. 2.

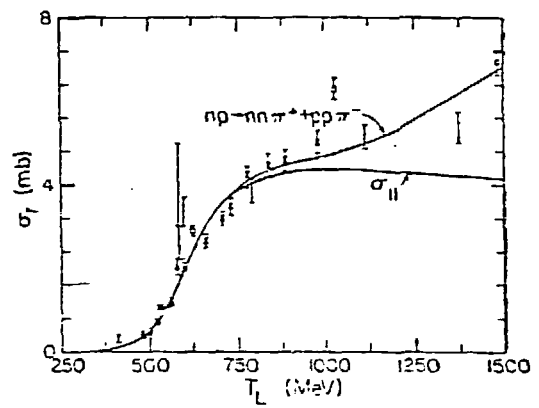


FIG. 4. Reaction cross section for $np \rightarrow n,1\pi^+ + pp\pi^-$ and isospin cross section σ_{11} . Data are as indicated in Table II with data from Ref. 5 indicated by Δ , from Ref. 6 by \square , and Ref. 7 by \times .

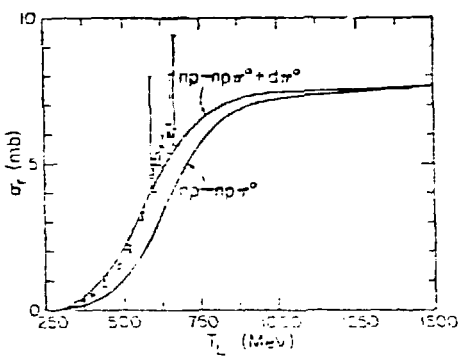


FIG. 5. Reaction cross sections for $np \rightarrow np\pi^0 + d\pi^0$ and $np \rightarrow np\pi^0$. The data are all for the first reaction as indicated in Table II.

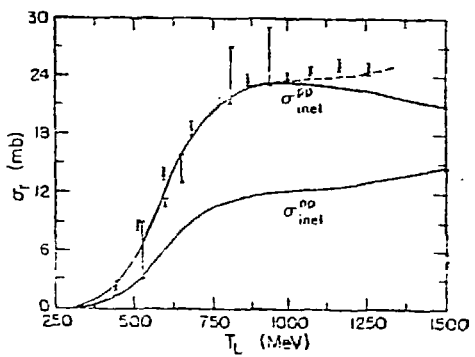


FIG. 6. Total reaction cross sections for single pion production for pp and np scattering. The data are as indicated in Table II except for those indicated by \times which were obtained from $\sigma_{tot} - \sigma_{el}$ from Ref. 4. Also the dashed curve indicates the result of adding the cross section for 2π production from Ref. 4 to the single pion production curve.

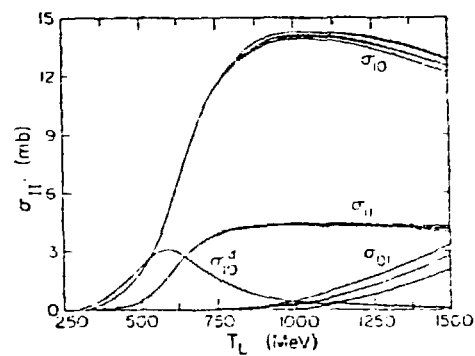


FIG. 7. Isospin reaction cross sections. The shaded areas indicate the relative uncertainties of their determination.

TWO PION PRODUCTION
 $pp \rightarrow \pi^+ \pi^- pp$

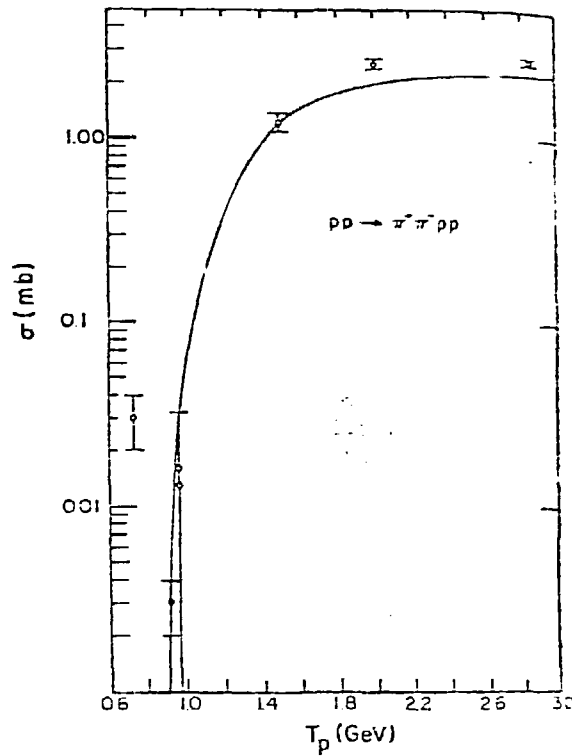


FIG. 13. Total cross section for double-pion production in proton-proton collisions vs incident proton energy (laboratory reference frame). Solid line is an extrapolation from the calculation of Lindenbaum and Sternheimer (Ref. 24).

Cervera et al, Phys Rev. C23, 1698 (1981)

PARAMETRIZATION OF $NN \rightarrow N\pi\pi$ REACTION CROSS SECTIONS

single most important feature: dominance of P_{33} πN resonance as substate of πNN system

$$\sigma_{10}^d(s) = \frac{\pi(\hbar c)^2}{2p^2} \alpha \left(\frac{p_r}{p_0}\right)^\beta \frac{m_0^2 p^2}{(s_{\pi N} - m_0^2)^2 + m_0^2 p^2}$$

where $s = 4m_N^2 + 2m_N T_L$, $s_{\pi N} = (\sqrt{s} - m_N)^2$, $p^2 = s/4 - m_N^2$

$$p_r^2(s) = \frac{1}{4s} [s - (m_d - m_\pi)^2] [s - (m_d + m_\pi)^2]; \quad p_0^2 = \frac{s_0}{4} - m_N^2; \quad s_0 = (m_N + m_0)^2$$

For $NN \rightarrow N\pi\pi$, use effective Δ mass $\langle M(s) \rangle$:

$$\langle M(s) \rangle = (s^*)^{1/2} = \frac{\int_{m_N + m_\pi}^{\sqrt{s} - m_N} dM \sigma(M) M}{\int_{m_N + m_\pi}^{\sqrt{s} - m_N} dM \sigma(M)}$$

$$\text{with } \sigma(M) = \frac{1}{\pi} \frac{p_0/2}{(p_0/2)^2 + (M - M_0)^2}$$

use same form as above for $\sigma_{II}(s)$, with $s_{\pi N} \rightarrow s^*$,

$$p_r^2(s) = \frac{1}{4s} [s - (m_N - \langle M(s) \rangle)^2] [s - (m_N + \langle M(s) \rangle)^2]$$

$$\alpha \rightarrow \alpha (q/q_0)^3$$

$$p_0^2 = \frac{1}{4s^*} [s^* - (m_N - m_\pi)^2] [s^* - (m_N + m_\pi)^2]$$

$$q_0 = q(m_0^{\frac{1}{2}})$$

PARAMETERS FOR $NN \rightarrow NN\pi$ CROSS SECTIONS
 (VerWest + Arndt, Phys. Rev. C25, 1979 (1982))

	σ_{10}^d	σ_{11}	σ_{10}	σ_{01}
α	6.03	3.77	15.28	146.3
β	1.7	1.26	0	0
M_0 (MeV)	1203	1188	1245	1472
Γ (MeV)	134.3	99.	137.4	26.5

For $I=1$ initial state, $M_0 = 1220$ MeV, $\Gamma_0 = 120$ MeV (Δ)
 $I=0$ initial state, $M_0 = 1430$ MeV, $\Gamma_0 = 200$ MeV (P_{11} Poper)

Conclusions: a) $\sigma_{01} \approx 0$ below $T_L = 1$ GeV

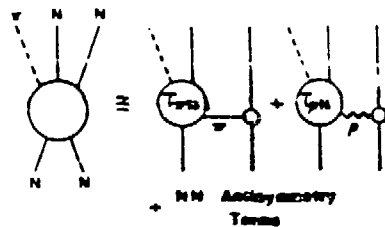
- b) π production mostly peripheral with strong πN resonance quasiparticles
- c) little π production from NN impulse approximation terms (non-resonant intermediate states)
- d) no large violations of isospin symmetry

LOWEST ORDER PERIPHERAL MODELS
FOR NN π PRODUCTION

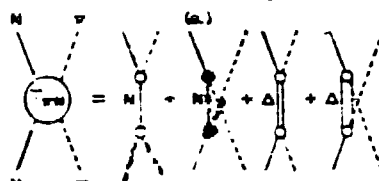
some examples: J. Hudomalj-Gabitzsch et al,
Phys. Rev. C18, 2666 (1978)

B.J. Ver West, Phys. Lett. 83B, 161 (1979)

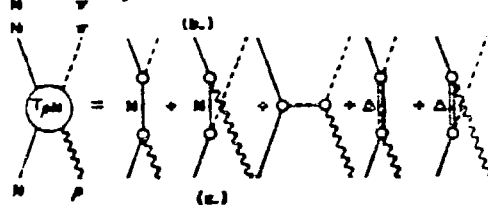
F. H. Cervera et al, Phys. Rev. C23,
1698 (1981)



π and ρ exchange



$\pi N \rightarrow \pi N$ ampl.



$\pi N \rightarrow \rho N$ ampl.

Fig. 1. (a) Feynman diagrams included in the model for $NN \rightarrow NN$, (b) diagrams included for the reaction $\pi N \rightarrow \pi N$, (c) diagrams included for the reaction $\rho N \rightarrow \pi N$.

Table I

Lagrangians and coupling constants, $m_\pi = 139.0$ MeV, $m_\rho = 765.0$ MeV, $m_N = 938.9$ MeV.

Vertex	Lagrangian	Coupling constants
πNN	$L = - (f_\pi/m_\pi) \bar{\psi} \gamma_5 \gamma_\mu \tau \cdot \partial^\mu \phi \psi$	$f_\pi/m_\pi = g_\pi/2m_N, g_\pi = 13.61$
$\pi N\Delta$	$L = (f_\pi^*/m_\pi) \bar{\psi}_\mu \tau \cdot \partial^\mu \phi \psi + h.c.$	$f_\pi^* = 1.862$
ρNN	$L = -g_\rho \bar{\psi} \gamma_\mu \tau \cdot \partial^\mu \psi - (f_\rho/2m_\rho) \bar{\psi} \sigma_{\mu\nu} \tau \cdot \partial^\mu \rho^\nu \psi$	$g_\rho = 2.556, f_\rho = 12.781$
$\rho N\Delta$	$L = i[g_\rho^*/(m_\Delta + m_N)] \bar{\psi}_\mu \tau \cdot (\partial^\mu \rho^\nu - \partial^\nu \rho^\mu) \gamma_\nu \gamma_5 \psi + h.c.$	$g_\rho^* = 29.910$
$\rho \pi \pi$	$L = g_{\rho\pi\pi} (\sigma \cdot \partial) \Delta \cdot \rho_\mu$	$g_{\rho\pi\pi} = 2g_\rho$

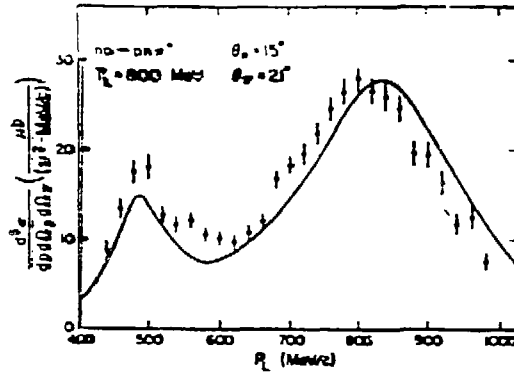
$$\Gamma_{\pi NN} = \frac{\Lambda_1^2 - m_\pi^2}{\Lambda_1^2 - q_\pi^2}, \quad \Gamma_{\pi N\Delta} = \left[\frac{2\Lambda_1^2 - m_\pi^2}{2\Lambda_1^2 - q_\pi^2} \right]^2$$

$$\Gamma_{\rho NN} = \Gamma_{\rho N\Delta} = \frac{\Lambda_2^2 - m_\rho^2}{\Lambda_2^2 - q_\rho^2}, \quad \Lambda_2 = 1800 \text{ MeV}, \quad (1)$$

form factors

B.J. VerWest, Phys. Lett. 833, 161 (1979)

lowest order Feynman diagram approach for $NN \rightarrow NN\pi$

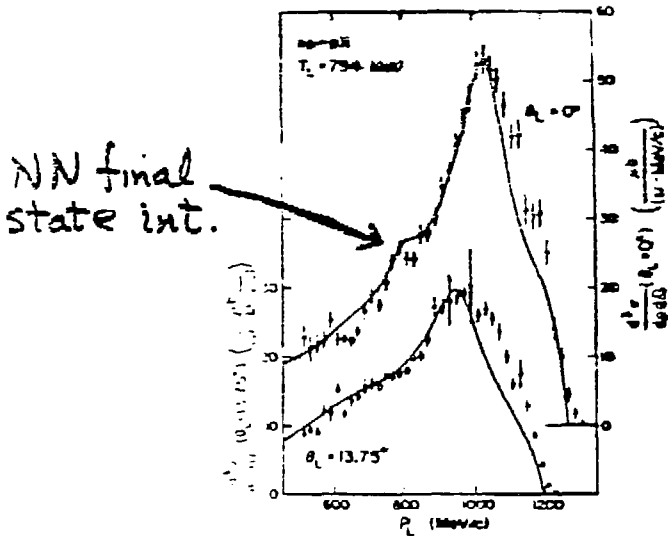


$$\frac{d^5\sigma}{dp_p d\Omega_p d\Omega_\pi}$$

$$pp \rightarrow p\pi\pi^+$$

Fig. 4. Differential cross section $d^5\sigma/dp_p d\Omega_p d\Omega_\pi$ in the lab for $p + p \rightarrow p + \pi + \pi^+$ as a function of proton momentum for $\theta_p = 15^\circ$ and $\theta_\pi = 21^\circ$. The data are from ref. [17].

↑
lower peak from low energy NN final state interactions

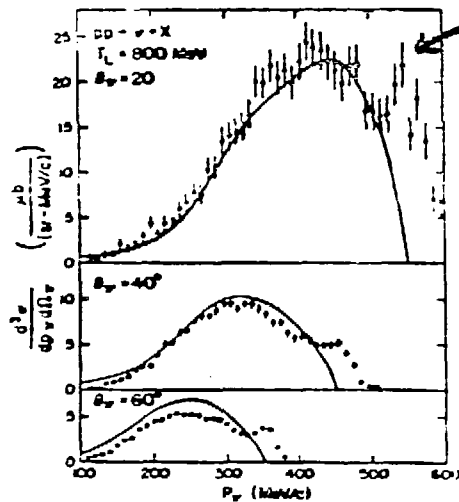


NN final state int.

Fig. 2. Differential cross section $d^3\sigma/dp_p d\Omega_p$ in the lab for $p + p \rightarrow p + X$ as a function of proton momentum for $\theta_p = 13.75^\circ$ and $\theta_X = 0^\circ$. The data are from ref. [18] and the curves are from the present calculation.

$$\frac{d^3\sigma}{dp_p d\Omega_p}$$

$$np \rightarrow p + X$$



from π^+ final state

Fig. 3. Differential cross section $d^3\sigma/dp_p d\Omega_p$ in the lab for $p + p \rightarrow \pi^+ + X$ as a function of pion momentum for $\theta_p = 20^\circ$, 40° and 60° . The data are from ref. [19].

$$\frac{d^3\sigma}{dp_\pi d\Omega_\pi}$$

$$pp \rightarrow \pi^+ + X$$

$pp \rightarrow pn\pi^+$

$d^5\sigma/dp d\Omega_1 d\Omega_2$

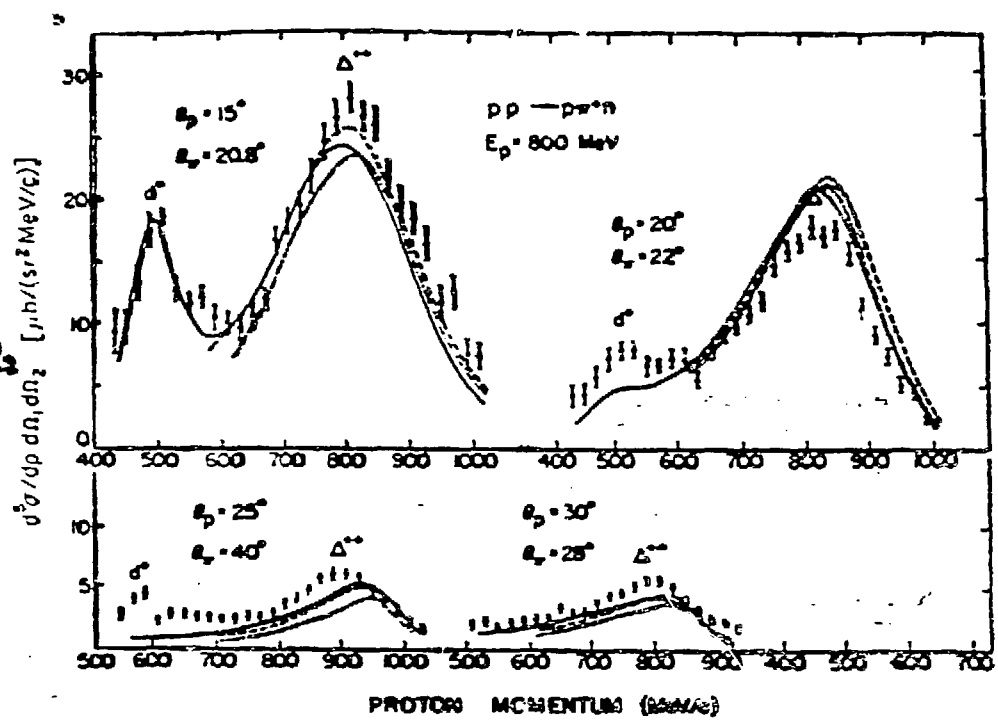


FIG. 8. Differential cross section $d^5\sigma/dp d\Omega_1 d\Omega_2$ as a function of proton momentum and angle. The parameters for the curves are in units of GeV/c : (a) solid curve $A_p=0.78$, $A_\pi=1.3$, (b) dashed curve $A_p=0.62$, $A_\pi=1.5$, and (c) dot-dashed curve $A_p=0.62$, $A_\pi=1.5$; Δ^{++} curves; Galluccio formalism was used.

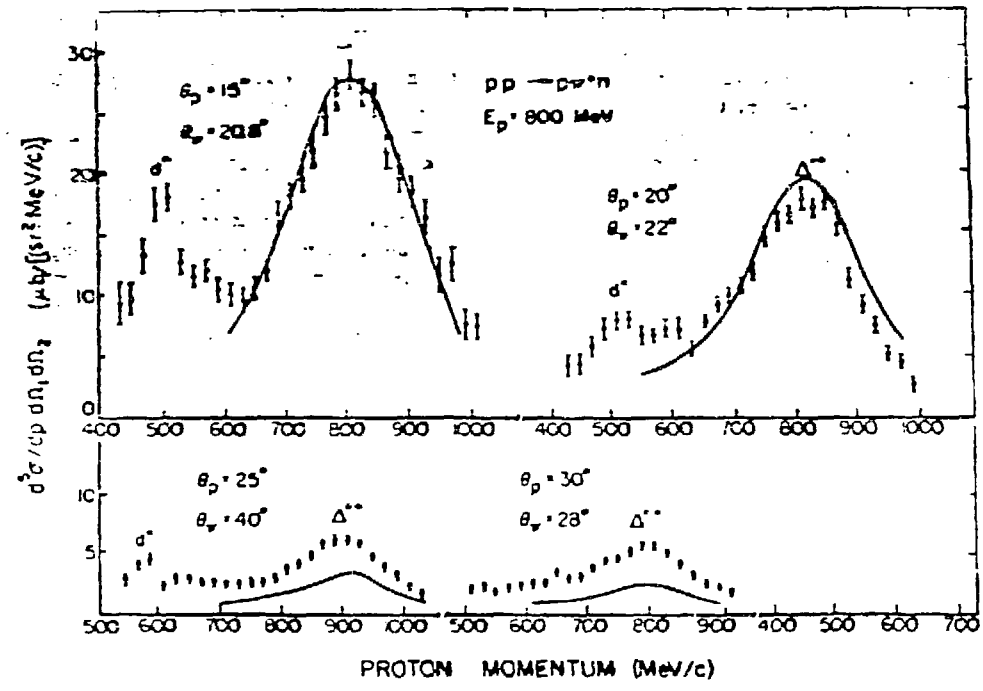


FIG. 9. Same as in Fig. 8. The calculation used the static approximation. Parameters are $A_p=0.72 \text{ GeV}/c$ and $A_\pi=1.3$.

solid curves include first order Feynman diagrams with π and p exchange

$pp \rightarrow \pi^+pn$ at $T_p = 800$ MeV

F. H. CVERNA *et al.*, Phys. Rev. C23, 1698 (1981)

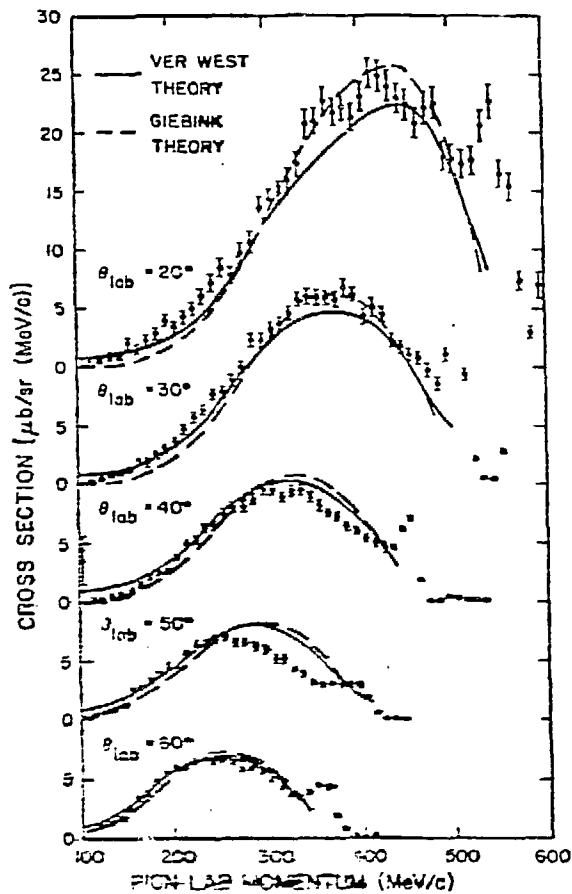


FIG. 3. Momentum spectra of π^+ (laboratory reference frame).

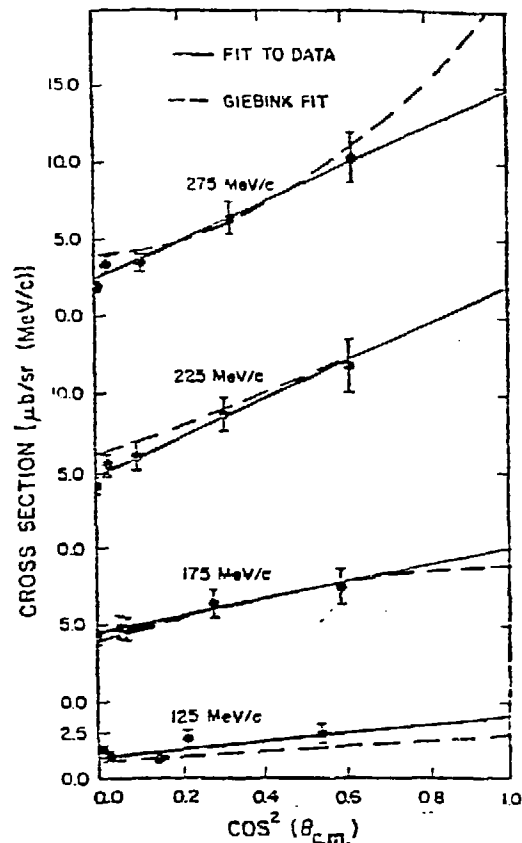


FIG. 4. Angular distributions of π^+ at selected overall-center-of-mass (OACM) momenta of 125, 175, 225, and 275 MeV/c. Solid lines are least-squares fits to the data. Dashed lines are from the calculations of Giebink ($\alpha = 730$ MeV/c).

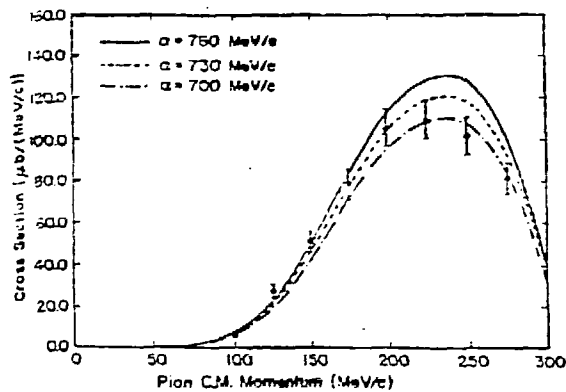


FIG. 5. Cross section for π^+ production integrated over angle ($d\sigma/dq = 9\pi^2 c_0$). Lines are from the calculation of Giebink using different form factors. Solid line, $\alpha = 760$ MeV/c. Dashed line, $\alpha = 730$ MeV/c. Dot-dash line, $\alpha = 700$ MeV/c.

use isospin invariance to write

$$M(pp \rightarrow np \pi^+) = -\sqrt{2} (M_C^+ - M_B^+) - M_A^{\text{CEX}} + M_D^{\text{CEX}}$$

u.s.

\pm refers to $\pi^\pm p$ elastic, CEX to $\pi \bar{p} \rightarrow \pi^0 n$

Approximations:

a) no off-shell corrections for $\pi N \rightarrow \pi N$
partial wave amplitudes $T_{l\pm}$

b) take into account full off-shell kinematics
of angular momentum projection operators

c) use form factor $e^{\lambda t_i}$ at πNN vertex
 $\lambda \approx 0.4 \text{ GeV}^{-2}$ is only parameter

Example: $M^{\text{off}}(\pi N \rightarrow \pi N) = \bar{u}(p_i) [A^{\text{off}} + \not{p}_\pi B^{\text{off}}] u(p_j)$

$$A^{\text{off}} = \frac{4\pi}{q_i^3} \left\{ (E_i - m)(\sqrt{s_i} + m) T_{0+}^{\text{on}} - (E_i + m)(\sqrt{s_i} - m) T_{1-}^{\text{on}} + \dots \right\}$$

E_i = energy of outgoing nucleon in πN ; c.m. system

For $q_\pi^2 = m_\pi^2 \rightarrow$ recover usual partial wave expansion

Deck model includes isobar and nucleon pole models as special cases:

$T_{1-} \rightarrow$ nucleon pole (P_{11}) , $T_{1+} \rightarrow \Delta$ pole (P_{33})

Other off-shell extensions of the πN amplitude have been tried:

Example: $A^{\text{off}} = A^{\text{on}}$, $B^{\text{off}} = B^{\text{on}}$

This works for integrated $NN \rightarrow NN\pi$ cross sections ($I=$), but fails for angular distribution of pions

Conclusions:

- 1) for $p_L = 1.5 \text{ GeV}/c$, cross sections systematically below data in magnitude \rightarrow this could indicate normalization errors in data or presence of dibaryon resonances
- 2) good agreement with angular distributions
- 3) strong spin dependence for $NN \rightarrow NN\pi$
- 4) can neglect ρ exchange at low p_L

Inclusion of dibaryon resonances in deck model

no information available on relative phases of amplitude
 so add dibaryon resonance contribution incoherently

$$\sigma^{\text{res}} = 2\pi \frac{4s}{\lambda(s, m_a^2, m_b^2)} \frac{\alpha (1 - \Gamma_{el}/\Gamma) m_r^2 \Gamma^2}{(s - m_r^2)^2 + m_r^2 \Gamma^2} \left(\frac{s - s_{\text{thr}}}{m_r^2 - s_{\text{thr}}} \right)^{J/2}$$

$$\alpha = (2J+1) \Gamma_{el}/\Gamma$$

np channel best testing ground for dibaryon resonance
 since Δ does not contribute to $I=0$ amplitudes
 \rightarrow smaller background from peripheral mechanism

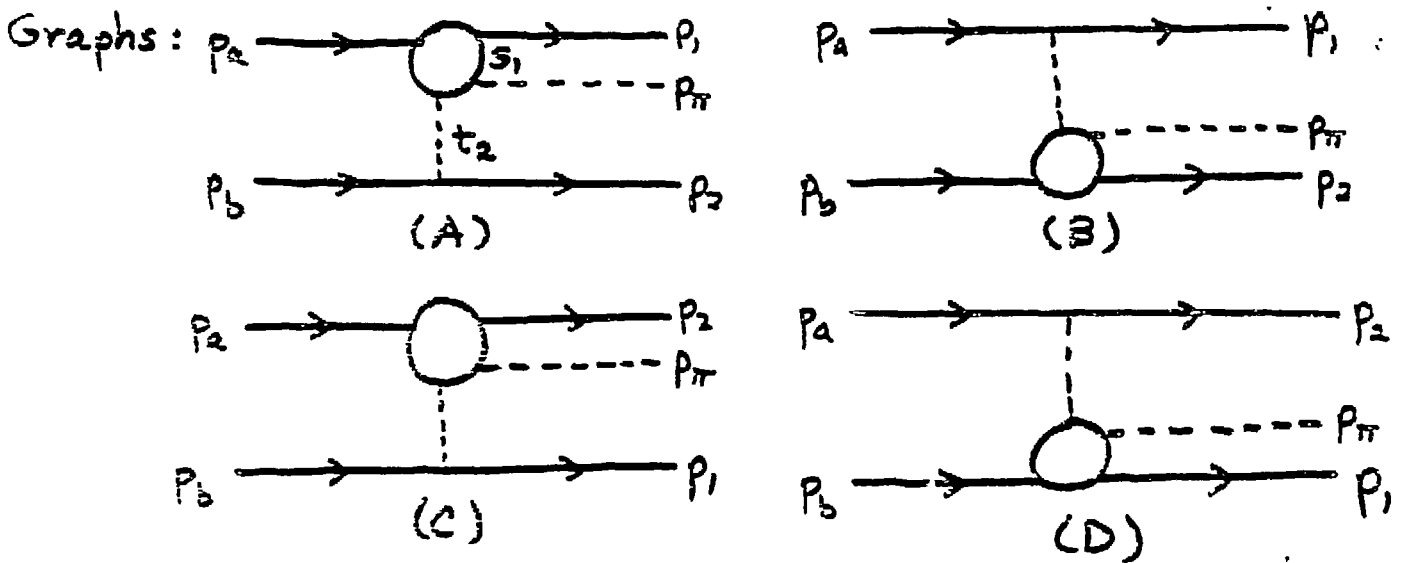
Dibaryon resonance parameters obtained from nucleon-nucleon scattering data:

<u>Mass (MeV)</u>	<u>J^π</u>	<u>I</u>	<u>Γ (MeV)</u>	<u>α</u>	
{ 2240 2340	3^-	1	{ 120 140	{ 1.4 0.98	PL 70B, 47. PRL 41, 35 NP B137, 17. 1978
2390	$0^+, 2^+, \text{ or } 4^+$	1	90	0.33	
2250	$1^+ \text{ or } 3^+$	0	100	0.91	PL 96B, 17. (1980)

The "Deck Model" for $NN \rightarrow NN\pi$:

König + Krall, Nucl. Phys. A356, 345 (1981)
R.T. Deck, Phys. Rev. Lett. 13, 169 (1964)

a refined form of the one pion exchange peripheral model \rightarrow describe $NN \rightarrow NN\pi$ (both $I=0,1$) from threshold to 6 GeV/c.



Work in the helicity representation (λ)

$$M_A(\lambda_1, \lambda_2; \lambda_a, \lambda_b) = \frac{g}{\sqrt{4\pi}} \bar{u}(p_1, \lambda_1) \left[A^{\text{off}}(t_2, s_1, t_1) + \frac{1}{\sqrt{4\pi}} B^{\text{off}} \right]$$

$$\times u(p_a, \lambda_a) \frac{i}{t_2 - m_\pi^2} \bar{u}(p_2, \lambda_2) \gamma_5 u(p_b, \lambda_b)$$

$g^2/4\pi = 14.28$ is πNN coupling, $t_1 = (p_1 - p_a)^2$, $s_1 = (p_1 + p_\pi)^2$

A^{off} and B^{off} are off-shell $\pi N \rightarrow \pi N$ invariant amplitudes

Isospin 1 CROSS SECTION FOR $NN \rightarrow NNT$

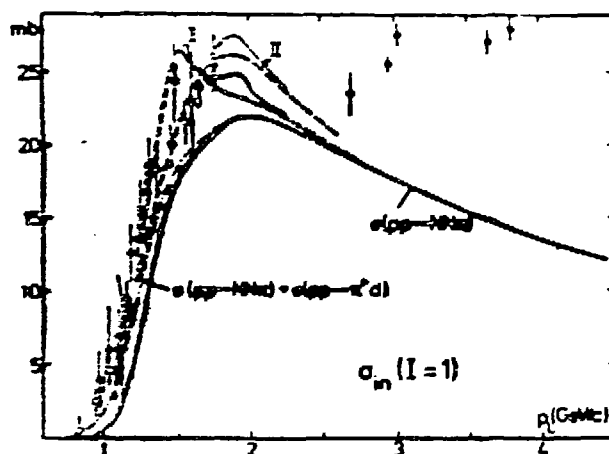
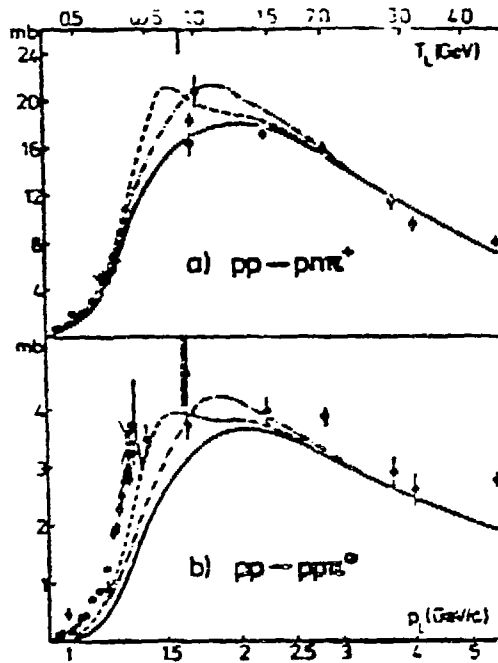


Fig. 11. The isospin one inelastic total cross section versus lab momentum. Data are taken from refs. 17) (●) and 21) (○), where the lower points are obtained from integrated elastic differential cross sections which have been extrapolated to $t=0$ with the aid of the forward amplitudes (from dispersion theory). The solid line is the prediction of the Deck model; the dashed-dotted line is the Deck cross section plus the experimental values for the $pp \rightarrow n'd$ cross sections (7) as obtained by Lamb. The dashed lines are the additional contributions from the 3^- dibaryon resonance (I: $m_{3^-} = 2240$ MeV, II: $m_{3^-} = 2320$ MeV). Finally, adding the 4^+ resonance (see entries at the dotted lines).

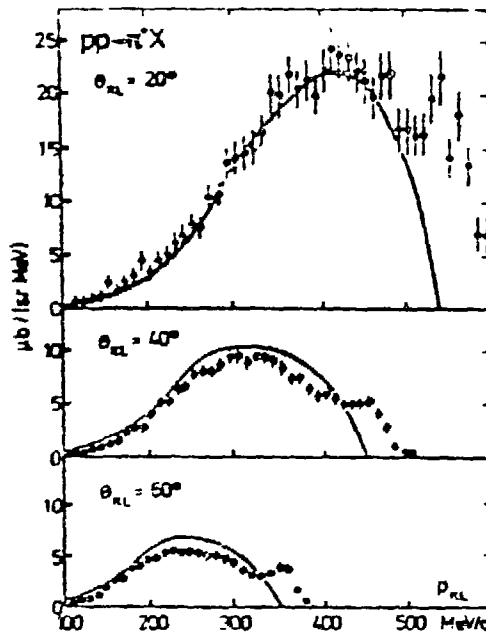
solid line = prediction of König + Kroll,
Nucl. Phys. A356, 345 (1981)
(Deck model)

dashed lines include additional contributions
of 3^- , 4^+ dibaryon resonances



REACTION CROSS
SECTIONS FOR
 $pp \rightarrow pn\pi^+$
 $pp\pi^0$

Fig. 2. The reaction cross sections for $pp \rightarrow pn\pi^+$ (a) and $pp \rightarrow pp\pi^0$ (b) versus lab momentum p_L and lab kinetic energy T_L . Data are taken from ref. [16]. The solid lines are the Doak model predictions. The dashed-dotted lines are the contribution of the $\rho(770) \rightarrow \pi$ resonance (resonance with mass 2240 (2320) MeV) to the π production.

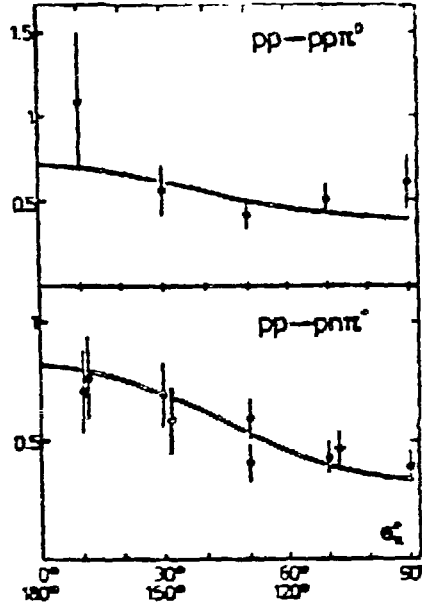


DIFFERENTIAL CROSS
SECTION FOR $pp \rightarrow$
 $\pi^+ X$ (inclusive)

$$\frac{d^2\sigma}{2\pi dp_{TL} d\cos\theta_{TL}}$$

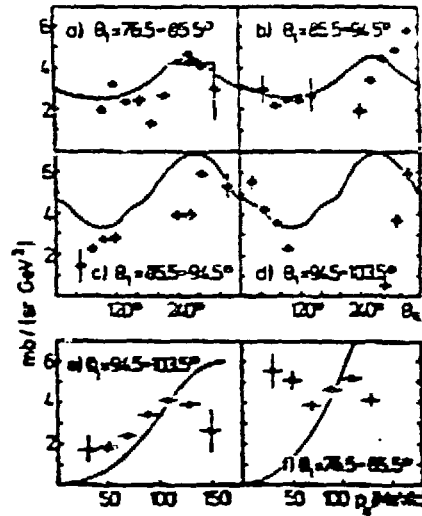
$$T_p = 800 \text{ MeV}$$

Fig. 3. The differential cross section $d^2\sigma/dp_{TL}d\cos\theta_{TL}$ in the π system for the inclusive reaction $pp \rightarrow \pi^+ X$ versus pion momentum p_{TL} in the π system. The lab kinetic energy T_L is 800 MeV. Data are taken from ref. [17]. The solid lines are the Doak model predictions.



$$\frac{1}{\sigma} \frac{d^2 \sigma}{d \cos \theta^* d \cos \theta}$$

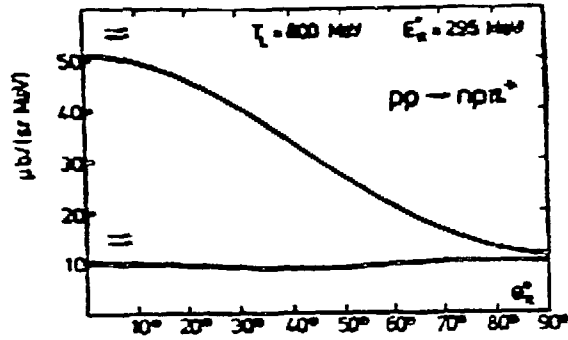
Fig. 4. Angular distributions (in c.m. system) $(1/\sigma) d^2 \sigma / d \cos \theta^* d \cos \theta$ for π^0 and π^+ production at $T_L = 656$ MeV compared to the Deck model predictions (solid lines). Data ** are not normalized



pp \rightarrow pp π^0
 differential
 cross section

$$\frac{1}{p^{*2}} \frac{d^4 \sigma}{2\pi dp_{\pi}^* d\Omega_{\pi}^* d \cos \theta^*}$$

Fig. 5. The $pp \rightarrow pp\pi^0$ differential cross section $(1/p^{*2}) d^4 \sigma / 2\pi dp_{\pi}^* d\Omega_{\pi}^* d \cos \theta^*$ in the c.m. system for coplanar events at $T_L = 600$ MeV. The solid lines are the normalized Deck model predictions (compare text). Data are taken from [1] (a) $p^0 = 75.5-85.5$ MeV/c; (b) $p^0 = 85.5-94.5$ MeV/c; (c) $p^0 = 85.5-94.5$ MeV/c; (d) $p^0 = 94.5-103.5$ MeV/c; (e) $p^0 = 94.5-103.5$ MeV/c; (f) $p^0 = 75.5-85.5$ MeV/c.



ANGULAR DISTR.
WITH POLARIZED
PROTONS

Fig. 6. The differential cross section $d^2\sigma/d\Omega dE'$ vs θ_c^* for longitudinally polarized incident protons at $T_L = 800$ MeV as predicted by our model

strong spin dependence predicted!

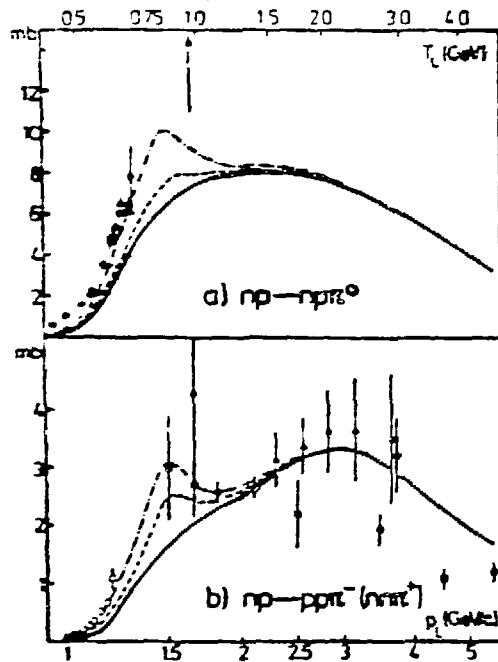


Fig. 7. The reaction cross sections for $np \rightarrow np\pi^0$ (a) and $np \rightarrow pp\pi^-, nn\pi^0$ (b) versus lab momentum and kinetic energy. The data are taken from ref. ¹⁶⁾ (●), DESY ²⁰⁾ (Δ), DUBNA ²¹⁾ (■), FREIBURG ²²⁾ (○), BIRMINGHAM ²³⁾ (□). The latter two are reproduced below. The solid lines are the Deck model predictions. The dashed lines are those including the $I=0$ dibaryon resonance. The dashed-dotted lines are those from ref. ²⁴⁾ (see text). $\alpha = 1$ (see text).

solid lines = Deck model prediction
dashed lines include $I=0$ dibaryon resonance

MORE COMPLETE APPROACHES TO $NN \rightarrow NN\pi$, $d\pi$

1) Helsinki group (Green, Niskanen, Sainio)

$NN, N\Delta, \Delta\Delta$ as coupled channels (CCM)

A.M. Green and J.A. Niskanen, Nucl. Phys. A271, 503
(1976)

J.A. Niskanen, Nucl. Phys. A298, 417 (1978)

2) Rochester group (Koltun, Myhrer, Mizutani)

non-relativistic Faddeev theory (emphasis on πd elastic)

T. Mizutani + D. Koltun, Ann. Phys. 109, 1 (1977)

F. Myhrer + D. Koltun, Nucl. Phys. B86, 441 (1975)

3) Australian group (Afnan, Blankleider, Thomas)

$NN, \pi d, N\Delta$ incorporated in one set of unitary, coupled three body integral equations

J. R. Afnan + A. W. Thomas, Proc. Int. Conf. on Few Particle Problems, UCLA (1972); Phys. Rev. C10, 109 (1974)

I. R. Afnan + A. T. Stelbovics, Phys. Rev. C23, 1384 (1981)

B. Blankleider and I. R. Afnan, Phys. Rev. C22, 1638
(1980)

APPROACHES TO $NN \rightarrow NNT, dT$ (continued)

4) Argonne group (Betz, Coester, + Lee)

coupled channels method with bare N and Δ ,
including ΔNT but not NNT vertex

M. Betz and F. Coester, Phys. Rev. C21, 2505 (1980)

M. Betz and T.-S. H. Lee, Phys. Rev. C23, 375 (1981)

5) Los Alamos group (Dubach, Kloet, Silbar)

coupled channel 3-body model \rightarrow emphasis
on πNN continuum states

W.M. Kloet and R.R. Silbar, Nucl. Phys. A338, 281 (1980)
+317
Phys. Rev. Lett. 45, 970 (1980)

J. Dubach, W.M. Kloet, and R.R. Silbar, Phys. Rev. D22, 276
(1981)
+ preprints

6) Review articles

A.W. Thomas + R.H. Landau, Phys. Rep. 58C, 121 (1980)

D. Bugg and A.W. Thomas, review talks at Versailles

J.A. Niskanen, 5th Int. Symposium on Polarization
Phenomena, Sante Fe (1980) (1981)

* M. Betz, B. Blankleider, J.A. Niskanen and A.W. Thomas,
Workshop on Pion Production and Absorption in Nuclei

SPIN OBSERVABLES IN $NN \rightarrow \pi d, \pi NN$

spin asymmetry in $pp \rightarrow \pi^+ pn$ has been measured

$$\left(\frac{d^2\sigma}{d\Omega dq}\right)_{\text{pol}} = \left(\frac{d^2\sigma}{d\Omega dq}\right)_{\text{unpol}} + \vec{P}_B \cdot \vec{n} \sin\theta_{\text{cm}} [d_0 + d_1 P_1(\cos\theta_{\text{cm}}) + \dots]$$

↙ pion angle
↑ beam direction
↑ beam polarization

asymmetry for $pp \rightarrow \pi^+ d$ has also been measured

introduce partial wave helicity amplitudes

$$F_{\mu_1, \mu_2; \lambda} = \frac{1}{4\pi} \sum_J (2J+1) F_{\mu_1, \mu_2; \lambda}^J d_{\mu_1 - \mu_2}^J(\theta)$$

↑ deuteron helicity
↑ nucleus helicities
 $\mu = \mu_1 - \mu_2$

pp state labeled by $^{2S+1}L_J$; $\pi^+ d$ state L'_J

apply Pauli principle and parity conservation

example: $J = \text{even}$ $^1J_J \rightarrow (J \mp 1)_J$ parity +

$^3(J \mp 1)_J \rightarrow J_J$ parity -

$J = \text{odd}$ $^3J_J \rightarrow (J \mp 1)_J$ parity -

examples of transitions: $1S_0 \rightarrow P_0$, $3P_1 \rightarrow S_1, D_1$,
 $1D_2 \rightarrow P_2, F_2$

introduce partial wave amplitudes $T_{LS;L'}^J$
 \uparrow \uparrow
 PP πd

$$J = \text{even} \quad F_{\frac{1}{2}\frac{1}{2};\pm 1}^J = \left(\frac{J+1}{2J+1}\right)^{\frac{1}{2}} T_{J0;J-1}^J + \left(\frac{J}{2J+1}\right)^{\frac{1}{2}} T_{J0;J+1}^J \\ \pm \left(\left(\frac{J}{2J+1}\right)^{\frac{1}{2}} T_{J-1,\pm 1;J}^J - \left(\frac{J+1}{2J+1}\right)^{\frac{1}{2}} T_{J+1,\pm 1;J}^J \right)$$

similar for other helicities and odd J

$$\text{Now } \sigma_{\text{TOT}}^{pp \rightarrow \pi d} = \frac{\pi}{4p^2} \sum (2J+1) |F_{\mu_1 \mu_2; \lambda}^J|^2$$

$$\frac{d\sigma}{d\Omega} = \frac{1}{4} \left(\frac{2\pi}{p}\right)^2 \sum |F_{\mu_1 \mu_2; \lambda}|^2$$

$$P(\theta) \frac{d\sigma}{d\Omega} = \left(\frac{2\pi}{p}\right)^2 \frac{1}{2} \sum \text{Im} (F_{\frac{1}{2}\mu_2; \lambda} F_{-\frac{1}{2}\mu_2; \lambda}^*)$$

\uparrow
asymmetry (or polarization) of scattered pion

In general, both beam and target can be polarized

general form defining asymmetries A_{ij} for $pp \rightarrow \pi^+ d$

$$\sigma_{ij}(\text{polarized}) = \sigma_{00}(\text{unpol.}) (1 + P_i A_{i0} + P_j A_{0j} + P_i P_j A_{ij})$$

example: if beam and target pol. along $+z$,

$$\sigma_{zz}(\theta) = \sigma_{00} A_{zz}(\theta)$$

need spin-spin correlation and spin transfer measurements to form a complete set of observables
→ non co-planar geometries

observation: simple peripheral model cannot account for fine details of spin observables

Development of the theory: non-perturbative few-body calculations of π production

Afnan + Thomas, Phys. Rev. C10, 109 (1974)

3-body description in bound state model
NN channel as $N + (\pi N)_p$, bound state

$\pi d \rightarrow \pi d$, $\pi d \leftrightarrow NN$, $NN \rightarrow NN$ OK for low energies

Blankleider + Afnan, Phys. Rev. C22, 1638 (1980)

Flinders preprint FIAS-R-760981

formalism, three-body equations for πNN

Afnan + Blankleider, Phys. Lett. 93B, 367 (1980)

first attempt to solve full set of πNN eqs.

Fayard et al, Versailles Conf. (1981)

more ambitious 3-body calculation

DIFFERENTIAL CROSS SECTIONS $pp \rightarrow pn\pi^+$ at 800 MeV

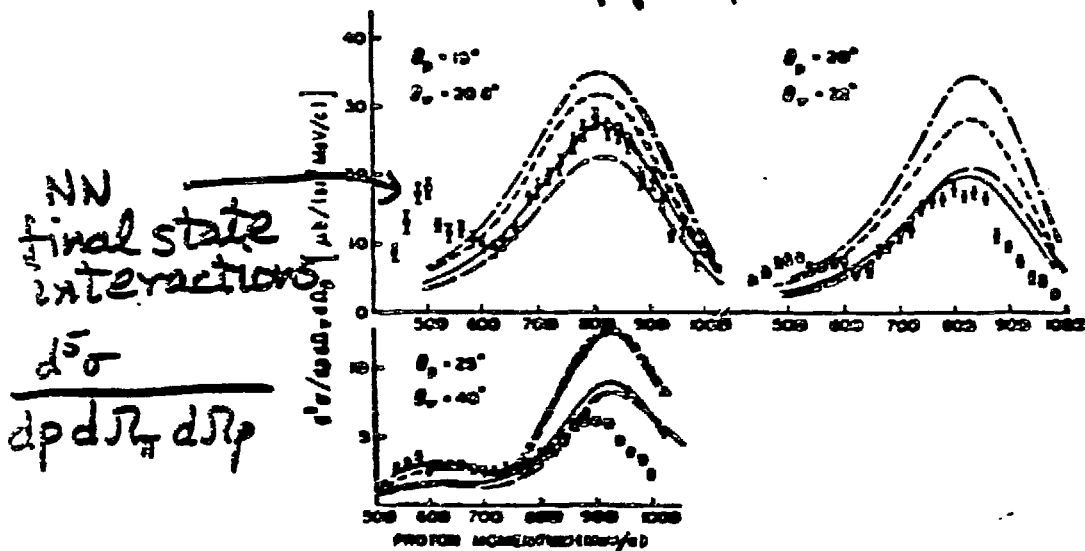


Fig. 3. Differential cross sections for kinematically complete measurements⁵⁸ of $pp \rightarrow pn\pi^+$ at $T_L = 800$ MeV. The geometries are coplanar; θ_p and θ_n are the laboratory angles of the proton and the pion, respectively. The data is plotted versus the lab proton momentum. The parameters of the NN - NN transition operator corresponding to each curve are (in MeV/c): a) --- $A_0 = A_2 = 1200$; b) — $A_0 = 1200$, $A_2 = 1600$; c) — $A_0 = 700$, no σ -exchange; d) - - - $A_0 = 700$, no σ -exchange, no initial state interactions. The momentum transfers for the $pp \rightarrow pn\pi^+$ process corresponding to the three geometries are roughly 300, 360 and 460 MeV/c.

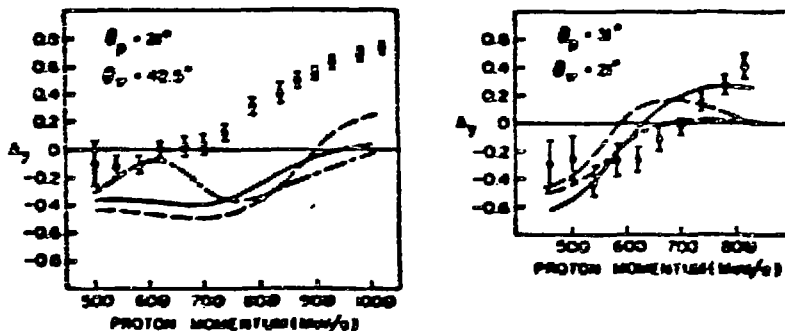


Fig. 4. Analyzing powers for kinematically complete measurements of $pp \rightarrow pn\pi^+$ at $T_L = 800$ MeV.⁵⁸ The meaning of each curve is the same as in Fig. 3. The momentum transfers for the $pp \rightarrow pn\pi^+$ process corresponding to the two geometries are roughly 400 and 550 MeV/c.

ANALYZING
 POWER A_y

M. Betz, B. Blankleider, J.A. Niskanen
 + A.W. Thomas, Bloomington Workshop Proc. (1981)

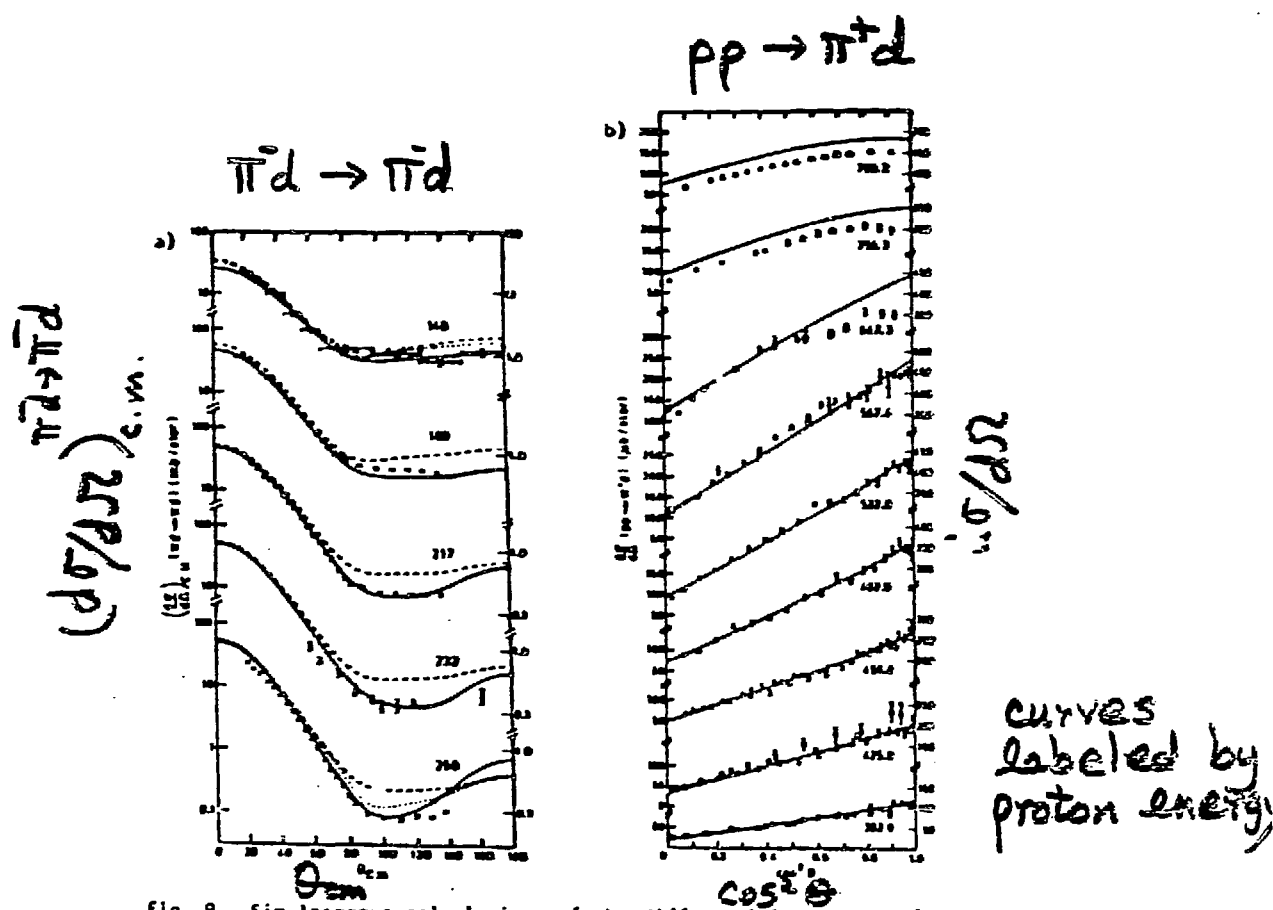


Fig. 9. Simultaneous calculations of the differential cross sections for the coupled processes: a) $\pi^- d \rightarrow \pi^- d$: for various pion lab energies we show the full calculation (—), no absorption (---) and no absorption or P_{11} rescattering (---). The experimental data are those of Cola et al.,⁸⁵ Gabathuler et al.,⁸⁶ Holt et al.,⁸⁷ and Pewitt et al.⁸⁸ b) $p p \rightarrow \pi^+ d$; curves are labelled by the proton lab energy. The experimental results are those of Axen et al.,¹⁰⁵ Dolnick,⁸⁹ Aebischer et al.,⁹⁰ Richard-Serre,⁹¹ Mann et al.,⁹¹ and Hürster et al.⁹² The data of Hürster was scaled since it was normalized to 1 at zero degrees.

Blankleider & Afnan, Phys. Rev. C22, 1638 (1980)
 Flinders preprint FIAS-R-76
 (1981)

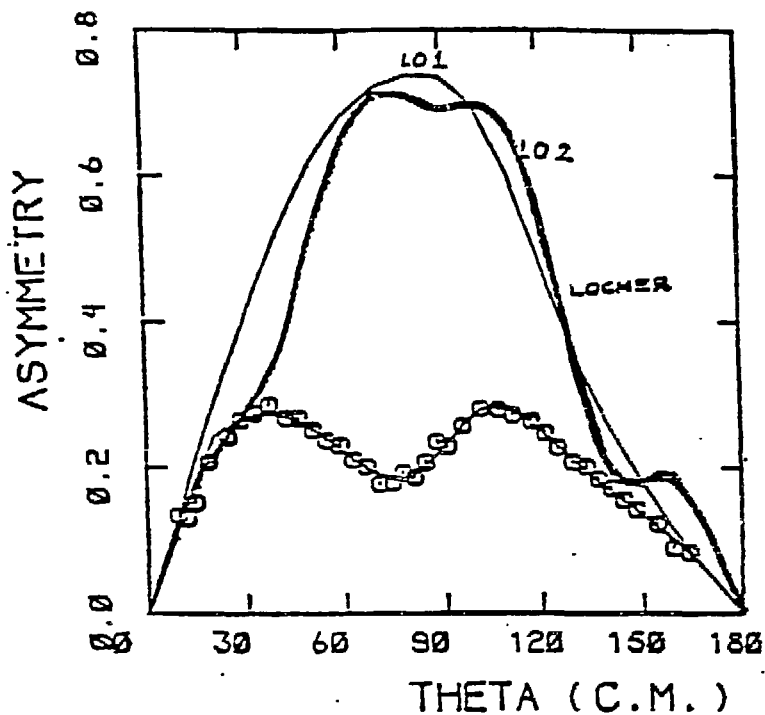
Some conclusions

- 1) πNN dominates πd above 500 MeV
- 2) non-resonant NN and πN interactions play a role for large $q \rightarrow$ simple Δ model not valid
- 3) importance of NN initial state interactions
 - a) lower the cross section
 - b) perturbative treatment inadequate
- 4) need detailed study of three-body channels to separate s -channel dibaryon resonance from pseudo-resonance phenomenon due to resonant behavior of πN subsystem
- 5) convergence of multiple scattering series is poor \rightarrow large changes in spin observables
- 6) substantial disagreements between theory and experiment for $\vec{p} \vec{p} \rightarrow \pi^+ d$
(A_{ys} too large)

pp \rightarrow d π^+ WITH POLARIZED PROTONS \rightarrow ASYMMETRY

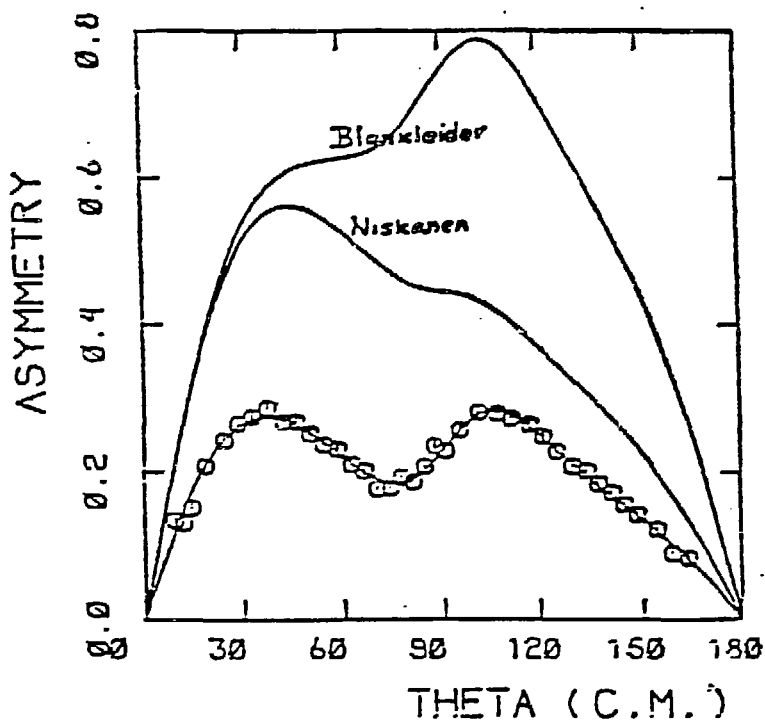
P(P,D)PI+ — 793 MEV

SAHA ET.AL. (EXPTAL.DATA)



P(P,D)PI+ — 793 MEV

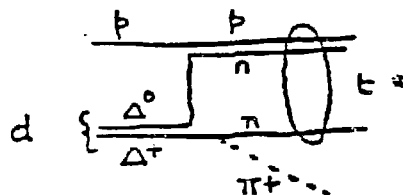
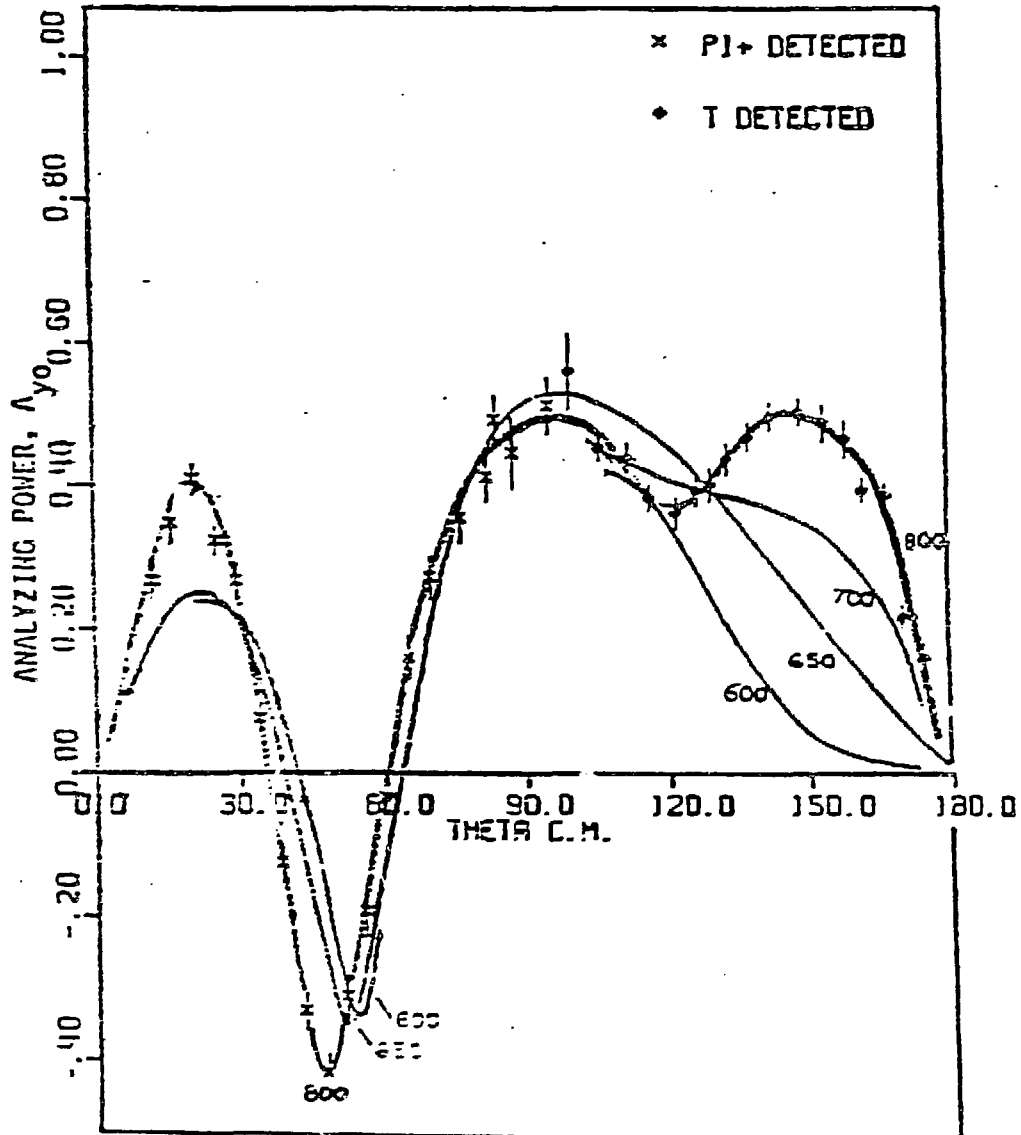
SAHA ET.AL. (EXPTAL.DATA)



ANALYZING POWER FOR $pd \rightarrow \pi^+ t$

D(P,PI+)T 800 MEV

NPTS = 36



ion Production in Proton-Nucleus Collisions

Data: D. Cochran et al, Phys. Rev. D6, 3085 (1972)

measured $d^2\sigma/d\Omega dE$ for $15^\circ - 150^\circ$ lab and $E \approx 25 - 550$ MeV

Theory: M. Sternheim and R. Silbar, Phys. Rev. D6, 3117 (1972)
(transport model) C8, 452 (1973)

J. Ginocchio and M. B. Johnson, Phys. Rev. C21, 1056 (1980)
(cascade model)

D. Long and M. Sternheim, Phys. Rev. C26, 1558 (1982)
(one particle cascade model)

transport models are an approximation to cascade models which include absorption and charge exchange but neglect multiple elastic scattering

cascade models build in multiple scattering, nuclear medium corrections, π absorption, Fermi motion, Pauli blocking

complete cascade code VEGAS follows all recoiling particles, whereas Long + Sternheim follow only one particle (pion or faster nucleon)

Philosophy: processes in nucleus built up from series of independent collisions, using $\pi N \rightarrow \pi N$, $NN \rightarrow NN$, $NN \rightarrow NN\pi$, $\pi NN \rightarrow \dots$
data as input

a semi-classical approach: probabilities rather than amplitudes for series of independent collisions

for semi-classical approach to apply, want

$$\lambda_M \gg r_0 \quad r_0 = \text{interparticle spacing} \sim 1.5f$$

$$\hbar = \hbar/k \ll r_0 \quad \lambda_M = \text{mean free path}$$

These conditions not satisfied, since

$$\lambda_M \sim 1/\rho \sigma \sim 0.5 \text{ fm for } \pi$$

$$\hbar \sim 2 \text{ fm for } 50 \text{ MeV pion}$$

Even so, it works!

Ingredients of cascade calculation (Long + Sternheim)

- projectiles enter nucleus at random points on circle of radius R_M such that $\rho(R_M)/\rho(0) = 0.01$
- if N projectiles $\rightarrow n$ outgoing pions in ϵ, Ω bin
 \rightarrow cross section $\pi R_M^2 (n/N)$
- propagating nucleon can elastically scatter, charge exchange, or produce a pion
- pion can elastically scatter, charge exchange, or be absorbed with mean free path
 $\lambda_M = 1/\sigma_{\text{TOT}} \bar{\rho} \quad ; \quad \bar{\rho} = \text{average density along path}$

ingredients (cont.)

- e) distribution of path lengths given by $x = -\lambda_m \ln r$
 $0 < r < 1$ is random number
- f) cross sections (input) are Fermi averaged
- g) momentum of struck nucleon selected randomly according to Fermi gas momentum distribution
- h) follow π after πN collision or $NN \rightarrow \pi NN$
follow faster nucleon after $NN \rightarrow NN$ ($E < 400$ MeV terminates cascade)
- i) $NN \rightarrow NN$, $\pi N \rightarrow \pi N$, $NN \rightarrow \pi NN$ taken from free space data (no off-shell effects)
- j) pion absorption on two nucleons treated according to several different models
- $$\lambda_{abs} = \frac{1}{\epsilon_{abs} \rho^2} \text{ or } \frac{1}{\sigma_{abs} \rho}$$
- neglect real part of pion optical potential

π^+ Production in Proton-Nucleus Collisions

Cascade Model

DAVID G. LONG AND MORTON M. STERNHEIM

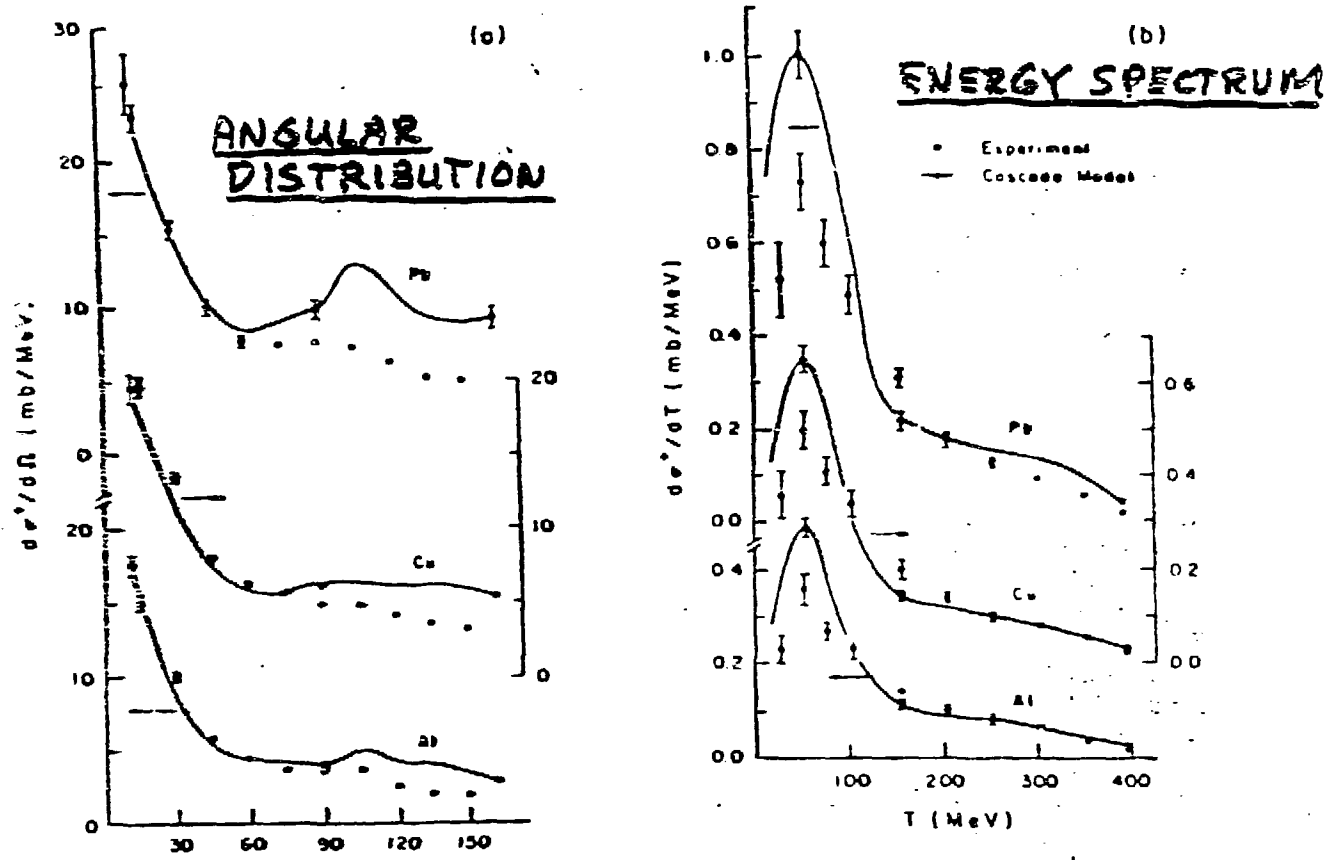


FIG. 2. π^+ production by 740 MeV protons as calculated with the single particle cascade model. Data (filled circles) from Ref. 9. (a) Angular distributions; (b) energy spectra. Representative error bars (open circles) are shown for the cascade calculations.

Results: (Long + Sternheim)

- a) π^+/π^- ratios a bit too large, but much better than impulse approximation values obtained using Δ dominance and neglecting nuclear medium effect
→ improvement from $\pi^0 n \rightarrow \pi^- p$
- b) multiple scattering series converges rapidly
50% π^+ , 25% π^- from impulse approximation
0, 1 rescatterings most common
- c) after only one scattering, pion loses its original bias toward small angles
→ even get backward peak from π 's made in back half of nucleus
- d) large effect on angular distr. and energy spectra from different absorption models
(only 30% of pions escape from nucleus)
- e) use of realistic $\rho(r)$ rather than square well is important
diffuse edge gives larger range of impact parameter b such that N or π can travel through low density region
- f) small effects from Pauli blocking and mom. distr. of struck nucleon

PION PRODUCTION IN
NUCLEUS-NUCLEUS COLLISIONS

Subthreshold Pion Production

Experimental:

W. Benenson et al, Phys. Rev. Lett. 44, 54 (1980)
43, 683 (1979)

S. Nagamiya et al, LBL-14033 (1982) [90°

T. Johansson et al, Phys. Rev. Lett.
48, 732 (1982) $\frac{183 \text{ MeV}}{A}$
 $\frac{85 \text{ MeV}}{A}$

Theoretical:

J. I. Kapusta, Phys. Rev. C16, 1493 (1977)

J. Gosset, J. I. Kapusta, G. D. Westfall, Phys. Rev. C18, 844 (1978) } therm models

G. Bertsch, Phys. Rev. C15, 713 (1977) } hard scatterin models
P. Hecking, LBL-12671 (1981)

A. H. Blin, S. Bohrmann + J. Knoll, Zetsch. f. Physik

S. Nagamiya et al (above) ← phase space models

B. Jakobsson et al, Phys. Lett. 82B, 35 (1979)

J. P. Bondorf, C. Guet, B. Jakobsson and M. D. ...

Mechanisms for subthreshold pion production

a) NN \rightarrow NN π , d π "hard scattering" models
includes diffuse Fermi momentum distribution

b) collective phenomena

1) collisions between clusters

V. Burov et al, Phys. Lett. 67B, 46 (1977)

A.M. Baldin, Dubna Report E1-80-174 (1980)

2) coherent production of Δ isobar

P. Deuschmann + L.W. Townsend, Phys. Rev. Lett. 45, 1622 (1980)

G.E. Brown, Saclay Workshop on High Resolution Heavy Ion Physics at 100 MeV/A, 1978

3) Pion Condensation

V. Ruck, M. Gyulassy and W. Greiner, Zeit. Physik A277, 391 (1976)

H.J. Pirner, Phys. Rev. C22, 1982 (1980)

Manifestations of pion condensation:

M. Gyulassy, Nucl. Phys. A354, 395 (1981)

a spin-isospin instability shows up as a non-vanishing expectation value for the nuclear axial current $\vec{J}_{\mu 5}$

$$(\square + \mu_{\pi}^2) \phi(x, t) = g \partial^{\mu} J_{\mu 5}(x, t)$$

number of coherent pions radiated per baryon $\sim 10^{-4}$

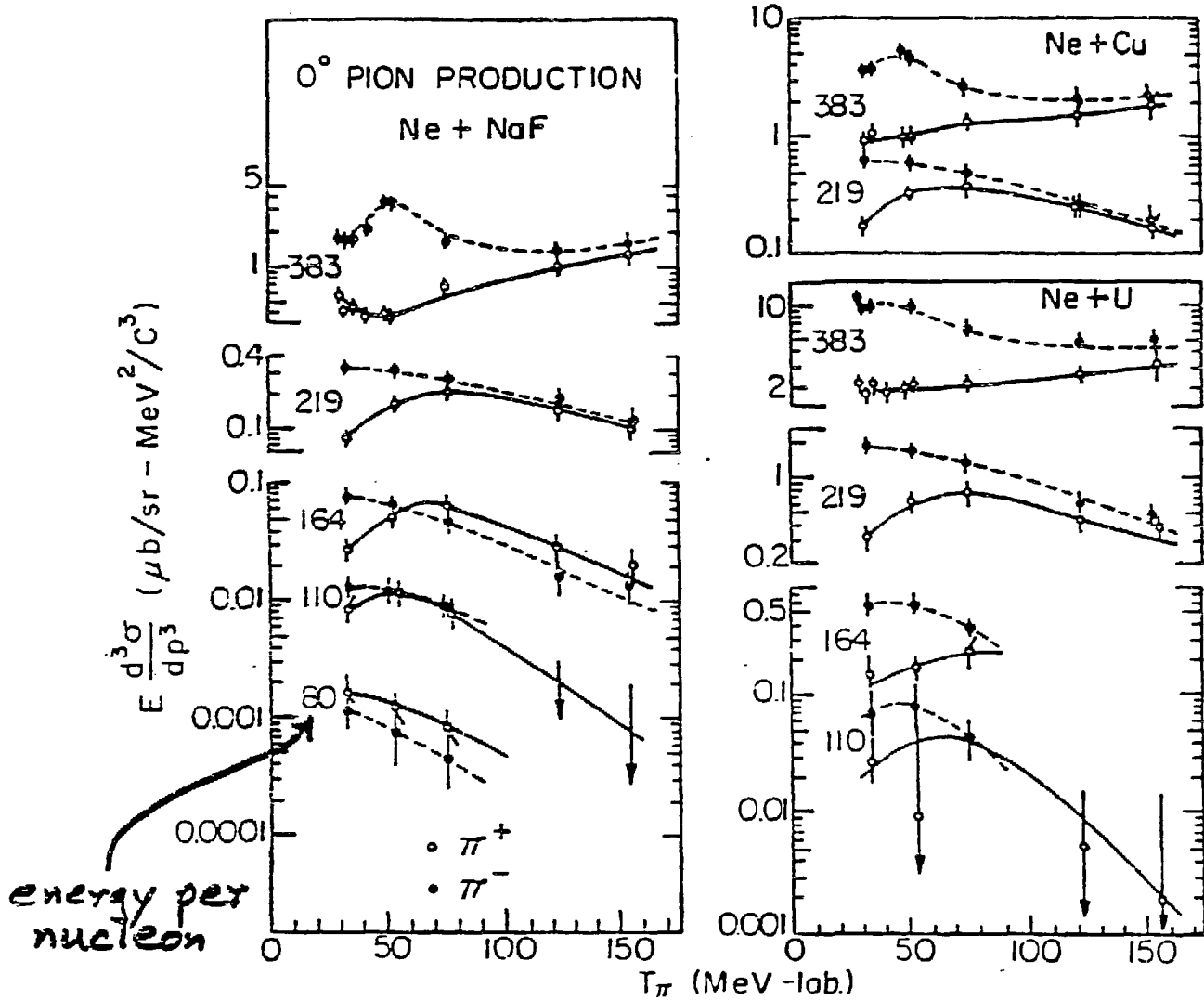
number of incoherent pions/baryon from $NN \rightarrow NN\pi$ $\sim 10^5$

\therefore pion condensation does not lead to copious production of pions

coherent pions should appear as a bump in energy spectrum at ~ 200 MeV \rightarrow at higher energies this effect is swamped by background

\rightarrow look at subthreshold production with heaviest possible target and projectile (U+U)

Subthreshold Pion Production



W. Benenson et al, Phys. Rev. Lett. 44, 54 (1980)

F. Johansson et al., Phys. Rev. Lett. 48, 732 (1982)

π^+ production in C+C and C+Au at 85 MeV/A
for $20 \leq E_\pi \leq 30$ MeV and $\theta_{lab} > 55^\circ$

scale of cross section:

$$\int (d^2\sigma/dRdE) dE \approx \begin{cases} 0.8 \mu\text{b/sr} & \text{for } 55^\circ \\ 0.5 & 90^\circ \text{ for C+C} \\ 0.4 & 130^\circ \end{cases}$$

C+Au is ~ 9 times larger $\rightarrow A^{0.8}$ dependence
 \uparrow
target A

C+Au π production strongly backward peaked
in NN c.m., slightly forward peaked in nucleus-nucleus
c.m.

refer to J. P. Bondorf, C. Guet, B. Jakobsson, M. Praxas!

NN \rightarrow NN π , d π model

can reproduce absolute cross sections if
diffuse internal momentum distribution is used
contr. of pp \rightarrow d π^+ largest, since Pauli blocking
weaker than for NN \rightarrow NN π

B. Jakobsson, J. P. Bondorf and G. Fai, Phys. Lett. 828, 35 (1977)

π production from 200-500 MeV/A

Basis: independent NN interactions

Glauber multiple scattering

isobar model for pion absorption \rightarrow Monte Carlo

$$P(b) = 1 - e^{-\bar{\sigma} \langle T(b) \rangle} = \text{prob. that incident beam nucleon scatters at least once}$$

$$\langle T(b) \rangle = \iint T_A(\underline{b}') T_B(\underline{b} - \underline{b}') d^2 \underline{b}' / \iint T_A(\underline{b}') d^2 \underline{b}'$$

$$T_{A,B}(\underline{b}) = \int_{-\infty}^{+\infty} S_{A,B}(\underline{b}, z) dz \quad \underline{b} = \text{impact param.}$$

$\bar{\sigma}$ = free NN cross section \times Pauli blocking factor

$$P(N_i, b) = \binom{A}{N_i} [P(b)]^{N_i} [1 - P(b)]^{A - N_i}$$

= prob. that N_i beam nucleons scatter at least once

small $b \rightarrow$ larger N_i favored

calculate $S(E)$ = distribution of energy E of two nucleon system in their c.m.

from Fermi momentum distr. with $p_F = 260 \text{ MeV}/c$

$$R(E) = \frac{\sigma_{\pi}^{NN}(E)}{\sigma_{\text{TOT}}^{NN}(E)} \rightarrow \text{ratio of } \pi \text{ production to total}$$

$$\langle R \rangle = \int_0^{E_{\text{max}}} dE R(E) S(E)$$

Then

$$\sigma_{\pi}^A(E) = 2\pi \langle R \rangle F_{bl} \int_0^{\infty} b db \sum_{i=1}^A P(N_i, b) N_i$$

total π prod.
cross section

ratio of inelastic and total
Pauli blocking factors

detectable pion flux strongly reduced by reabsorption of pions: calculated in isobar mode

small $b \rightarrow$ strongest absorption

for $\text{Ne} + \text{U}$, largest π multiplicities expected when $b \approx 5 \text{ fm}$; for $\text{C} + \text{C}$, small $b \approx 0$ corresponds to largest multiplicity

typical reabsorption probability $\sim 65\%$

B. Jakobsson, J. Bondorf, G. Fai, Phys. Lett. 823, 35 (1975)

<u>Reaction</u>	<u>E/A</u>	<u>$\langle \text{Multiplicity} \rangle_{\text{exp}}$</u>	<u>$\langle \text{Mult} \rangle_{\text{theor}}$</u>
$^{12}\text{C} + \text{LiH}$	400	0.02 ± 0.01	0.021 ± 0.004
$^{12}\text{C} + \text{NaF}$	400	0.038 ± 0.013	0.04 ± 0.008
$^{12}\text{C} + \text{BaI}_2$	400	0.078	0.062
$^{12}\text{C} + \text{Pb}_3\text{O}_4$	400	0.066	0.062
$^{20}\text{Ne} + \text{emulsion}$	250	< 0.13	0.03
$^{40}\text{Ar} + \text{LiH}$	400	0.043	0.03
$^{40}\text{Ar} + \text{NaF}$	400	0.034	0.075
$^{40}\text{Ar} + \text{BaI}_2$	400	0.107	0.161
$^{40}\text{Ar} + \text{Pb}_3\text{O}_4$	400	0.099 ± 0.02	0.124 ± 0.024

↑
average pion multiplicity

Pion Interferometry → correlations of two identical pions

R. Hanbury-Brown + R. Q. Twiss, Nature 178, 1046 (1956)
→ $\gamma\gamma$ interference effects in astronomy used to measure stellar radii

G. Goldhaber, S. Goldhaber, W. Lee and A. Pais, Phys. Rev. 120, 300 (1960) → $\pi^-\pi^-$ interference in $\bar{p}p$ annihilation (GGLP effect)

application to heavy ion collisions

S. E. Koonin, Phys. Lett. 70B, 43 (1977)

F. B. Yano and S. E. Koonin, Phys. Lett. 78B, 556 (1978)

M. Gyulassy and S. K. Kauffmann + L. Wilson, Phys. Rev. C20, 2267 (1979)

M. Gyulassy, Phys. Rev. Lett. 48, 454 (1982)

Data: B. Jakobsson, Physica Scripta, 17, 451 (1978)

J. J. Lu et al, Phys. Rev. Lett. 46, 898 (1981)

J. Bartke, Nucl. Phys. A335, 481 (1980)

W. A. Zajc et al, LBL-12652 (1981), p350

S. Y. Fung et al, Phys. Rev. Lett. 41, 1592 (1978)

Pion Interferometry in particle physics: some events.

1) A. Eskreys et al, Nucl. Phys. B42, 44 (1972)

$K^+ p \rightarrow K^0 2\pi^+ \pi^- p$, $K^+ 2\pi^+ 2\pi^- p$, $K^0 3\pi^+ 2\pi^- p$ at $8.25 \frac{\text{GeV}}{c}$

look for angular correlation $\gamma = B/F$ or $\epsilon = \frac{B-F}{B+F}$

$B =$ pions with $\theta \geq 90^\circ$, $F =$ pions with $\theta \leq 90^\circ$

typically $\gamma^{\text{like } \pi} < \gamma^{\text{unlike}}$

write $\epsilon = \int \epsilon(m) \rho(m) dm$

↑ mass distribution of $\pi\pi$ pairs

Conclusion: differences in shape of $\rho(m)$ lead to diff. in $\epsilon \rightarrow$ get simulated GGLP effect

also see apparent GGLP effect in $K^+ \pi^+$ vs $K^+ \pi^-$ from diff. in $\rho(m)$
↑
exotic

expect true GGLP effect at low energy/particle (some region of m)

2) P. L. Jain et al, Phys. Rev. D¹¹, 605 (1973)
 $K^+ + p \rightarrow K^+ p \pi^+ \pi^-$ at 12.7 GeV/c

explain GGLP effect as due to effect of peripheral resonance production (N^* , K^*) on $\pi\pi$ correlations [peripheral mechanism induces strong angular correlations]

for this reaction, conclude that Bose-Einstein statistics play a negligible role \rightarrow no difference in γ for like and unlike pairs when resonance production taken into account (not true for $\bar{p}p$).

J. Schlesinger, Phys. Rev. D⁸, 2308 (1973) $\bar{p}p$

P. L. Jain et al, Phys. Rev. D⁸, 2309 (1973) K^+p

prescription to minimize influence of resonance production: study angular correlations in a plane \perp to line of flight of resonance

\rightarrow look at correlations in variable

q_{\perp} (or P_{\perp}) = projection of $\vec{p}_1 - \vec{p}_2$ on plane \perp to $\vec{p}_1 + \vec{p}_2$

Recent studies of pion interferometry

M. Deuschmann et al, Nucl. Phys. B103, 1981

$\pi p \rightarrow p + 5\pi, p + 7\pi$ at 4-25 GeV/c (select multi)

↙ * of $\pi\pi$ pairs of like charge

look at $\frac{N_L - N_B}{N_B} = f(q_t, q_0)$; $q_t =$
 $q_0 =$
↖ background

fit to form

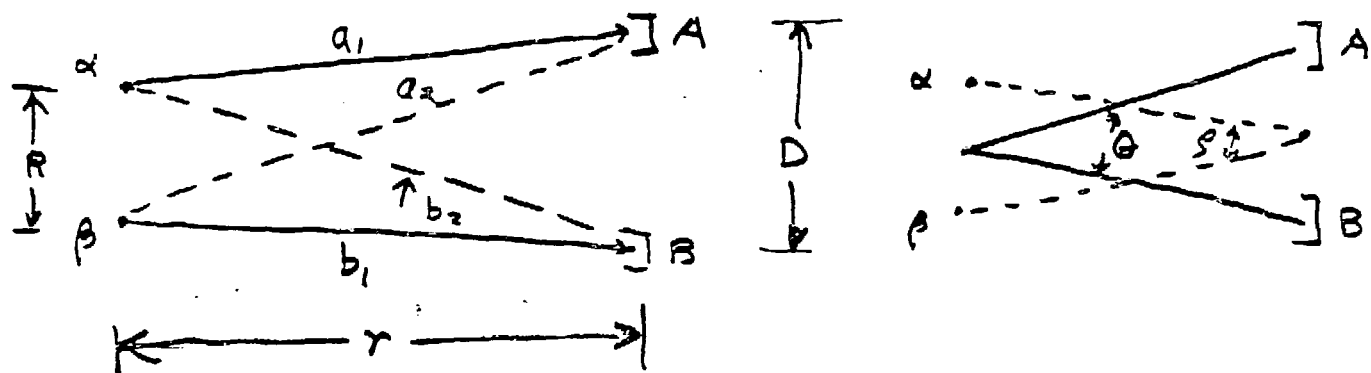
$$f(q_t, q_0) = \left(\frac{2J_1(q_t R)}{q_t R} \right)^2 - 1$$

derived by Kopylov and Podgoretsky, Sov. J. N
15, 392 (1972); 18, 656 (1973); 19, 434 (1974);
Lett. 508, 472 (1974)

emission from disk source of radius $R \rightarrow$ "
form of q_0 dependence is model dependent

Simple derivation of interference pattern:

©. Coreoni, Phys. Lett. 49B, 459 (1974)



$\theta = D/r$, $\phi = R/r$, mesons of equal momentum k

amplitude of coincidence $\sim e^{ika_1} e^{ikb_1} + e^{ika_2} e^{ikb_2}$

$$\text{rate of coinc.} = (e^{ik(a_1+b_1)} + e^{ik(a_2+b_2)}) (e^{-ik(a_1+b_1)} + e^{-ik(a_2+b_2)})$$

$$= 2(1 + \cos kR\theta)$$

$$\text{since } a_2+b_2 - (a_1+b_1) = 2\phi D/2 = R\theta$$

now integrate over source positions α and β
 \rightarrow circle of radius R emitting π 's uniformly

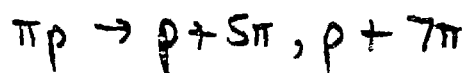
$$\rightarrow \text{rate of coinc.} \propto \left(\frac{2J_1(kR\theta)}{kR\theta} \right)^2 \quad \text{like Fraunhofer diffraction}$$

or Gaussian distr. of luminosity, get

$$\text{rate of coinc.} \sim e^{-(Rk\theta/2)^2}$$

RESULTS FOR SIZE OF FIREBALL :

M. Deutschmann et al, Nucl. Phys. B103, 198 (1975)



$$R \approx 1^{+0.4}_{-0.2} \text{ fm}, \quad \tau = 2.3^{+2}_{-1} \times 10^{-24} \text{ s}$$

$$c\tau = 0.7^{+0.6}_{-0.3} \text{ fm}$$

attempted to look at ellipsoidal shape of fireball \rightarrow find evidence for sphere contracted in direction of collision axis

However, $c\tau$ varies with direction of observation
 \rightarrow interpret $c\tau$ as depth of layer from which pions can escape (rather than τ as lifetime)

F. Grand et al, Nucl. Phys. B102, 221 (1975)
 $K^+ p \rightarrow K^+ p + 2\pi^+ + 2\pi^-$ at 8.25 GeV/c

$$R \approx 0.8 \text{ fm}$$

$$\tau \approx 3 - 4 \times 10^{-24} \text{ sec}$$

Conclusion: for elementary particle processes is essentially the bag radius

Theoretical Approach to Hadron Interferometry:

relevant to question of pion condensate:

G. N. Fowler, N. Stelte and R. M. Weiner, Nucl. Phys. A319, 349 (1979)

M. Gyulassy, S. K. Kauffmann and L. W. Wilson, Phys. Rev. C20, 2267 (1979)

define a correlation function for $\pi^-\pi^-$ pairs:

$$R(k_1, k_2) = \frac{\langle n_{\pi^-} \rangle^2}{\langle n_{\pi^-} (n_{\pi^-} - 1) \rangle} \frac{\sigma_{\pi^-} \frac{d^6 \sigma(\pi^-\pi^-)}{d^3 k_1 d^3 k_2}}{d^3 \sigma(\pi^-) / d^3 k_1, d^3 \sigma(\pi^-) / d^3 k_2}$$

normalization: $\int d^3 k_1 \frac{d^3 \sigma(\pi^-)}{d^3 k_1} = \langle n_{\pi^-} \rangle \sigma_{\pi^-}$

$$\int d^3 k_1 d^3 k_2 \frac{d^6 \sigma(\pi^-\pi^-)}{d^3 k_1 d^3 k_2} = \langle n_{\pi^-} (n_{\pi^-} - 1) \rangle \sigma_{\pi^-}^2$$

$R(k_1, k_2) = 1$ if pions uncorrelated in momentum space, i.e. $d^6 \sigma \sim f(k_1) f(k_2)$

Correlations between pions arise from

a) Conservation laws → energy-momentum, quantum numbers (isospin...)

b) Bose-Einstein or Fermi statistics

c) Production or final state interaction dynamics
(resonances, cluster model, optical distortions)

coherent vs. incoherent emission

standard heuristic derivation yields

$$R(k_1, k_2) \propto 1 + |\rho(\vec{k}_1 - \vec{k}_2, \omega_1 - \omega_2)|^2$$

↑
Fourier transform of source density $\rho(x)$

- Problems:
- 1) question of normalization unclear
 - 2) assume pions produced independently at random space-time points
→ cannot reveal coherent dynamics
 - 3) not general enough even for chaotic pion fields

E mples:

1) fully coherent pion field $\phi(x)$
given by $(\square + m_\pi^2)\phi(x) = J(x)$

↑
c-number
space-time fcn.
(not coupled back
to pion field)

final state produced by classical source is
coherent state

$$|J\rangle = e^{-\bar{n}/2} e^{i \int d^3k J(k) a^\dagger(k)} |0\rangle$$

← like a
laser
field in
optics

where $J(k) = \frac{\int d^4x e^{i\omega_k t - i\vec{k}\cdot\vec{x}} J(\vec{x}, t)}{(2\pi)^{3/2} (2\omega_k)^{1/2}}$

$$\bar{n} = \int d^3k |J(k)|^2$$

physical picture same as for bremsstrahlung radiation

$|J\rangle$ involves arbitrary numbers of pions

Properties:

a) $\langle n_\pi \rangle^2 = \langle n_\pi (n_\pi - 1) \rangle = \bar{n}^2$

b) $a(k) |J\rangle = iJ(k) |J\rangle$

c) density matrix $\rho_\pi = |J\rangle\langle J|$

d) m -pion inclusive distribution

$$P_m(k_1, \dots, k_m) = \text{Tr}(\rho_\pi a^\dagger(k_1) \dots a_m^\dagger a_m \dots a_1)$$

$$= |J(k_1)|^2 \dots |J(k_m)|^2$$

no correlations!
→ e) $R(k_1, k_2) = 1$!!

Partially coherent pion fields:

$$J(k) = J_0(k) + J_{ch}(k)$$

↑
collective current

↑ chaotic current
(pions from $NN \rightarrow NN\pi$)

$$J_{ch}(k) = J_{\Delta}(k) \sum_{i=1}^N e^{iQ_i} \psi_k^*(x_i) \rightarrow \text{perform ensemble average over } \{N, Q_i, x_i\}$$

correlation function

$$R(k_1, k_2) = 1 + \frac{\eta_{ch}(k_1) \eta_{ch}(k_2)}{P_1(k_1) P_1(k_2)} |\rho(k_1, -k_2)|^2 \\ + 2 \operatorname{Re} \left[\frac{(J_0^*(k_1) J_{\pi}(k_1))}{P_1(k_1)} \frac{(J_{\pi}^*(k_2) J_0(k_2))}{P_1(k_2)} \right] \\ * \langle N \rangle \rho(k_1, -k_2)$$

where $\eta_0 = \int d^3k |J_0(k)|^2 = \int d^3k \eta_0(k)$

$$\eta_{ch} = \int d^3k \langle |J_{ch}(k)|^2 \rangle_{\{N, Q_i, x_i\}} = \int d^3k \eta_{ch}(k)$$

$$P_1(k) = \eta_0(k) + \eta_{ch}(k)$$

Partially coherent pion fields (cont.)

→ we assume $J_0(k)$, $I_{\frac{1}{2}}(k)$ real, we get

$$R(k_1, k_2) = 1 + (1 - D(k_1))(1 - D(k_2)) \rho^2(k_1, -k_2) \\ + 2 [D(k_1) D(k_2) (1 - D(k_1))(1 - D(k_2))]^{1/2} \rho(k_1, -k_2)$$

where the degree of coherence of mode k is

$$D(k) = n_0(k) / [n_0(k) + n_{ch}(k)]$$

Note $R(k, k) = 2 - D^2(k)$

If we have no coherent field, $n_0(k) = 0$, and $R(k, k) = 2$ as for chaotic Bose field

Note: for charged particles, must correct for Coulomb effects which are important for $\vec{k}_1 \approx \vec{k}_2$

define \hat{R} by $R(k_1, k_2) = G(k_1, k_2) \hat{R}(k_1, k_2)$

$$G(k_1, k_2) = 2\pi\eta / (e^{2\pi\eta} - 1) = \underline{\text{Gamow factor}}$$

$$\text{where } \eta = \alpha m_{\pi} / |\vec{k}_1 - \vec{k}_2|$$

heavy Ion Data on Pion Interferometry:

) S. Y. Fung et al, Phys. Rev. Lett. 41, 1552 (1978)

1.8 GeV/A Ar⁴⁰ beam

use two forms $R(q, q_0) = K \left\{ \begin{array}{l} \textcircled{a} \rightarrow 1 + e^{-\frac{R^2 q^2}{2} - \frac{\tau^2 q_0^2}{2}} \\ 1 + \left(\frac{2J_1(Rq/\sqrt{2})}{Rq/\sqrt{2}} \right)^2 \end{array} \right.$

$\textcircled{b} \rightarrow * (1 + \tau^2 q_0^2)^{-1}$

Target	(a)		(b)	
	$R(\text{fm})$	$\tau(10^{-24} \text{sec})$	$R(\text{fm})$	$\tau(10^{-24} \text{sec})$
BaI ₂	3.05 ± 1.1	5	3.03 ± 1.2	5
Pb ₃ O ₄	3.3 ± 0.9	5	2.98 ± 0.8	5
Pb ₃ O ₄	3.98 ± 0.8	2 ⁺⁴ -1.8	3.88 ± 0.64	3.2 ^{+4.6} -2

) J. J. Lu et al, Phys. Rev. Lett. 46, 898 (1981)

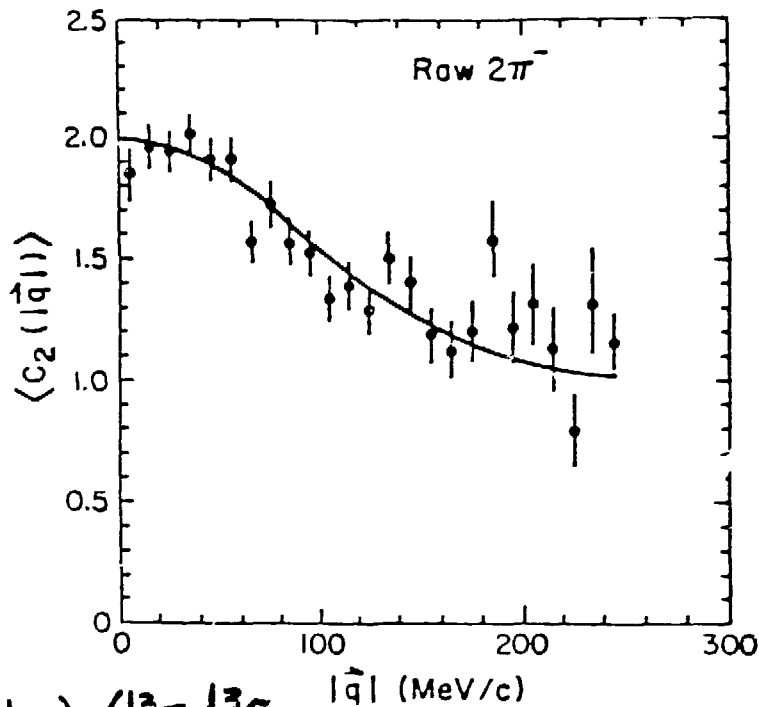
1.8 GeV/A Ar⁴⁰ + Pb target → dependence of R on $\langle n_{\pi} \rangle$

$\langle n_{\pi} \rangle$	$R(\text{fm})$	$R(\rho_c = \rho_0/3)$	$R(\rho_c = \rho_0)$
2-4	3.1 ± 1.1	5.7	4.2
5-8	4.0 ± 0.7	7.7	5.4
9-12	4.8 ± 0.7	8.36	5.8
13-15	5.6 ± 1.2	8.42	5.85

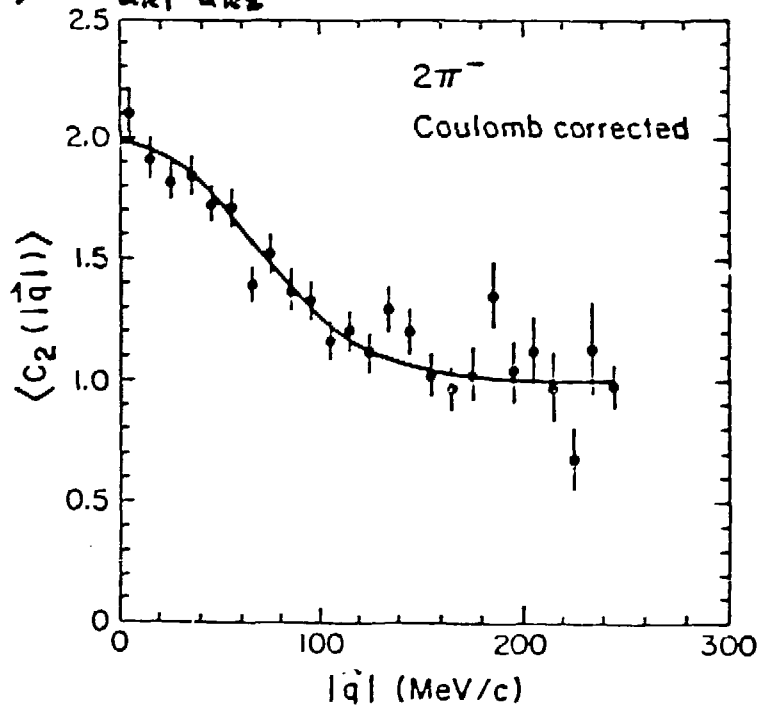
$\rho_c = \text{freezeout density}$

thermodynamic fireball model

$\pi^- \pi^-$ CORRELATION FUNCTION $\langle C_2(\vec{q}) \rangle$



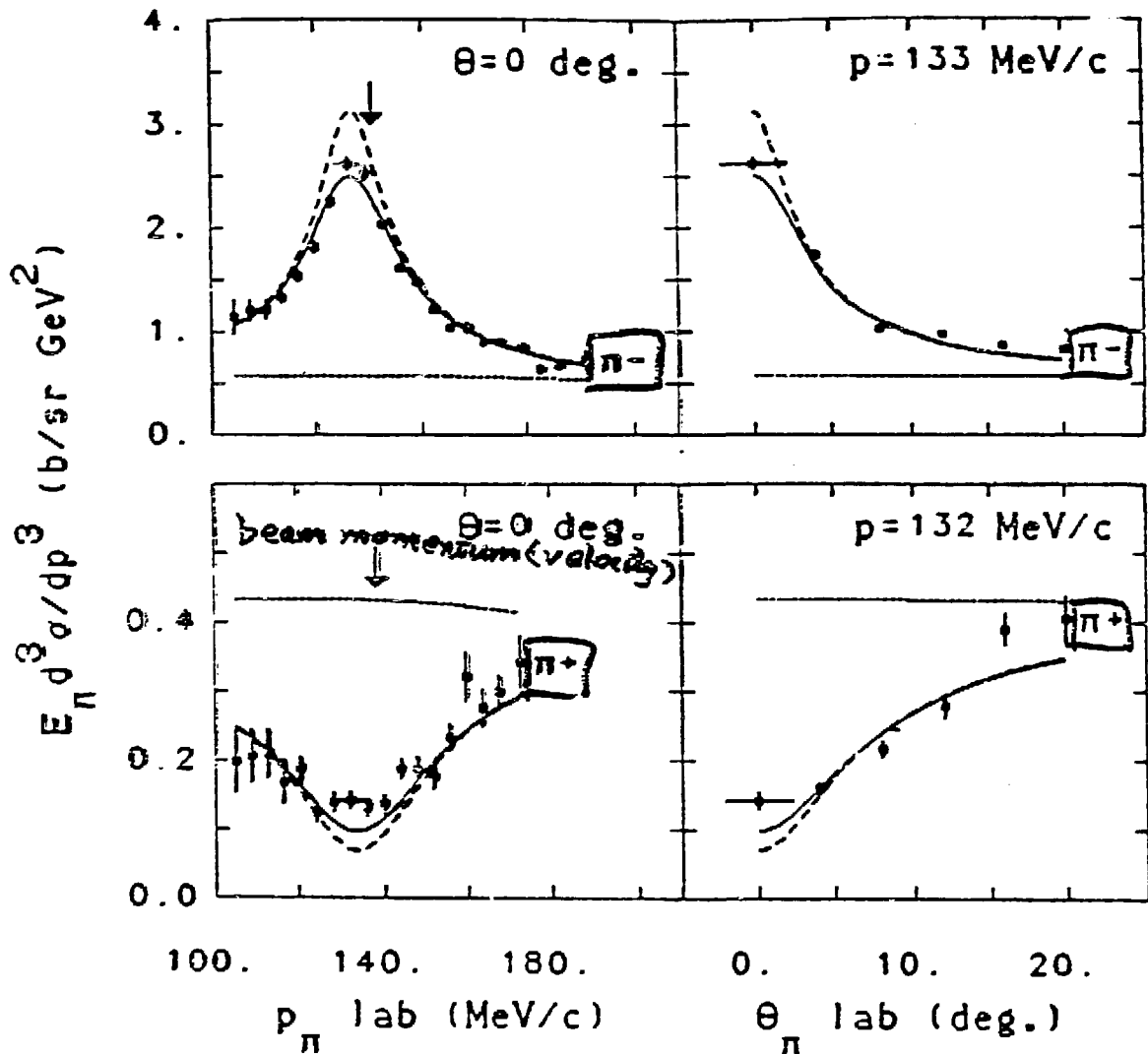
$$C_2 = \sigma_0 \left(\frac{d^6\sigma}{d\vec{k}_1 d\vec{k}_2} \right) / \frac{d^3\sigma}{d\vec{k}_1} \frac{d^3\sigma}{d\vec{k}_2}$$



1.8A GeV Ar + KCl $\rightarrow R = 3 \pm 0.3 \text{ fm}$

W.A. Zajc et al, Proc. 5th High Energy Heavy Ion Summer Study, LBL-12652 (May, 1981)

COULOMB EFFECTS IN π^+ AND π^- PRODUCTION



π^-/π^+ ratio $\approx 20-30$ when $v_{\pi} \approx v_{beam}$

W. Benenson et al, Phys. Rev. Lett. 43, 683 (1979)
 Phys. Rev. Lett. 44, 54 (1980)

J.P. Sullivan et al, Phys. Rev. C (1982)

Coulomb Effects in Pion Production:

- 1) enhancement of π^+ yield in the mid-rapidity region
 \rightarrow peak at $\Theta_{c.m.} = 90^\circ$

K. L. Wolf et al, Phys. Rev. Lett. 42, 1448 (1979)
(peak at $p_\perp \approx 0.4 m_\pi$ in Ar + Ca at 1.05 GeV/nucleon.)

J. Chiba et al, Phys. Rev. C20, 1332 (1979)
($^{20}\text{Ne} + \text{NaF}$ at 0.8 GeV/nucleon.)

- 2) π^-/π^+ ratio large at $\Theta_{c.m.} = 0^\circ$ when $v_\pi \approx v_{\text{beam}}$

W. Benzenson et al, Phys. Rev. Lett. 43, 653 (1979)
44, 54 (1980)

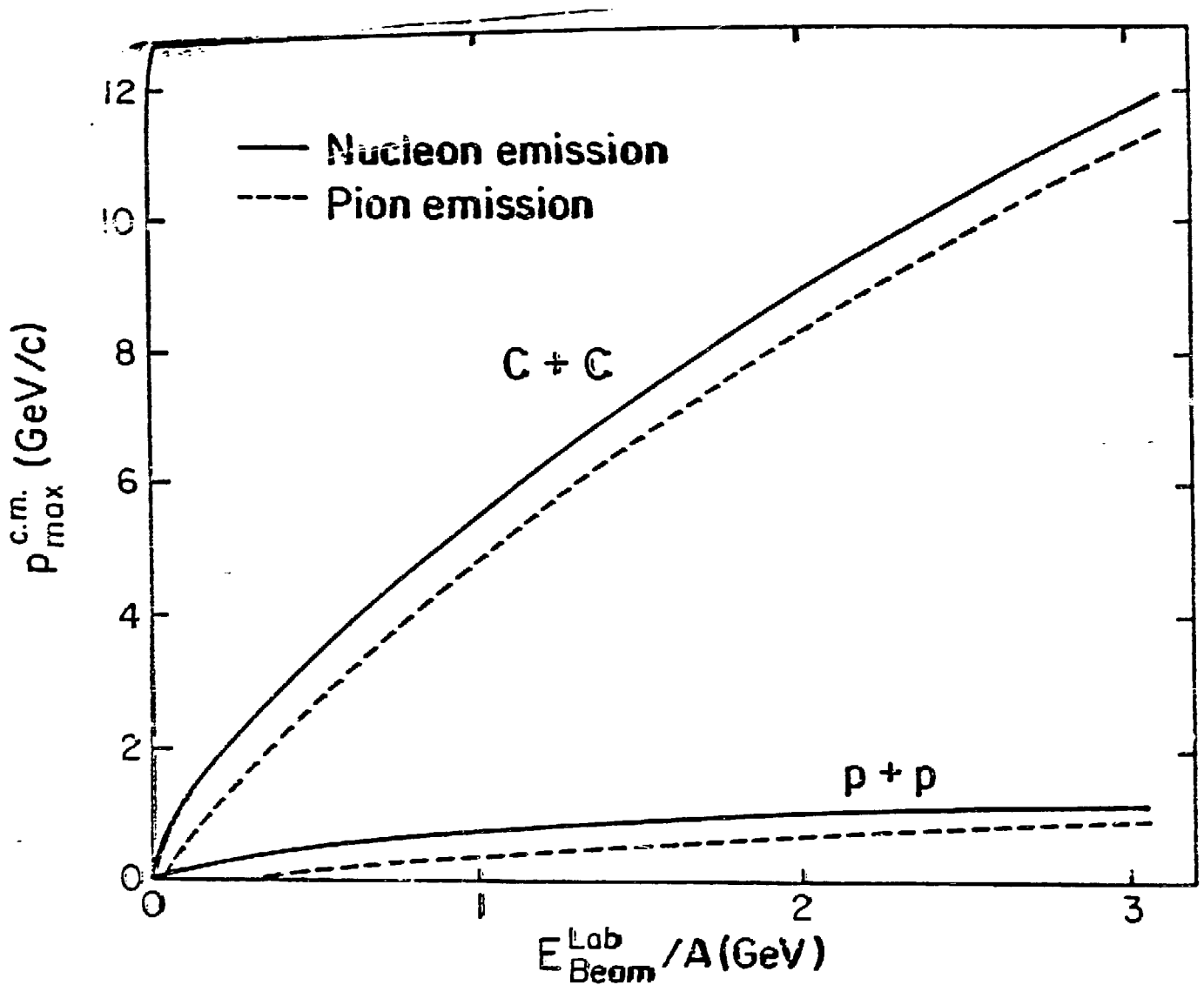
newest data from K. A. Frankel et al, Phys. Rev. C25,
1102 (1982)

$^{40}\text{Ar} + ^{40}\text{Ca}$ at 1.05 GeV/c \rightarrow do not see drop in
cross section for $p_\perp < 0.4 m_\pi$ of Wolf et al
 π^-/π^+ ratio only 1.5

Theories of Coulomb effects

- 1) K. G. Libbrecht + S. E. Koonin, Phys. Rev. Lett. 43, 1581 (1979)
- 2) J. Cugnon + S. E. Koonin, Nucl. Phys. A355, 477 (1981)
- 3) M. Gyulassy + S. K. Kauffmann, Nucl. Phys. A362, 503
(1981)

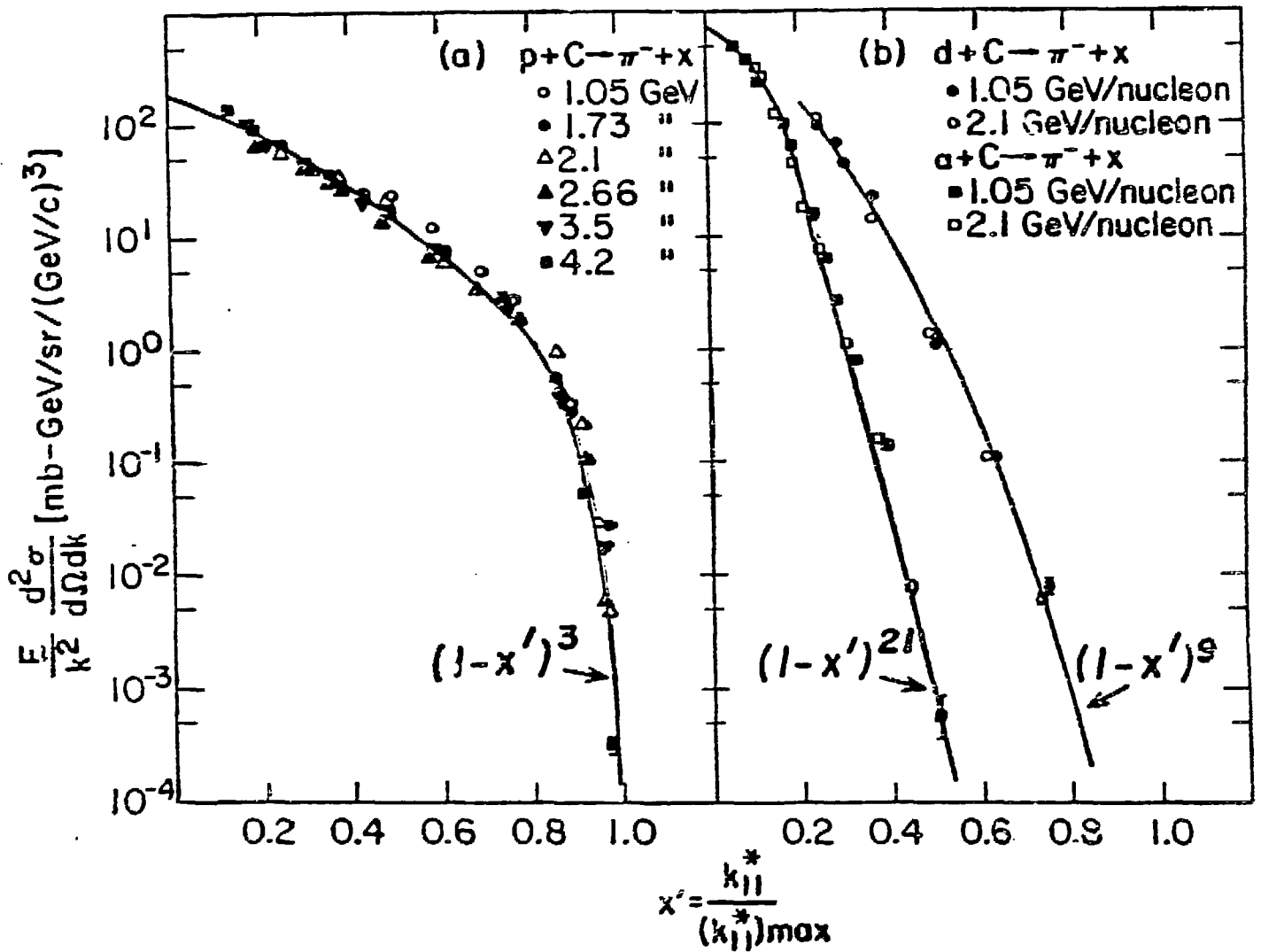
ABSOLUTE KINEMATICAL LIMIT



$p_{\max}^{\text{c.m.}}$ = maximum momentum of pion/proton in c.m. frame

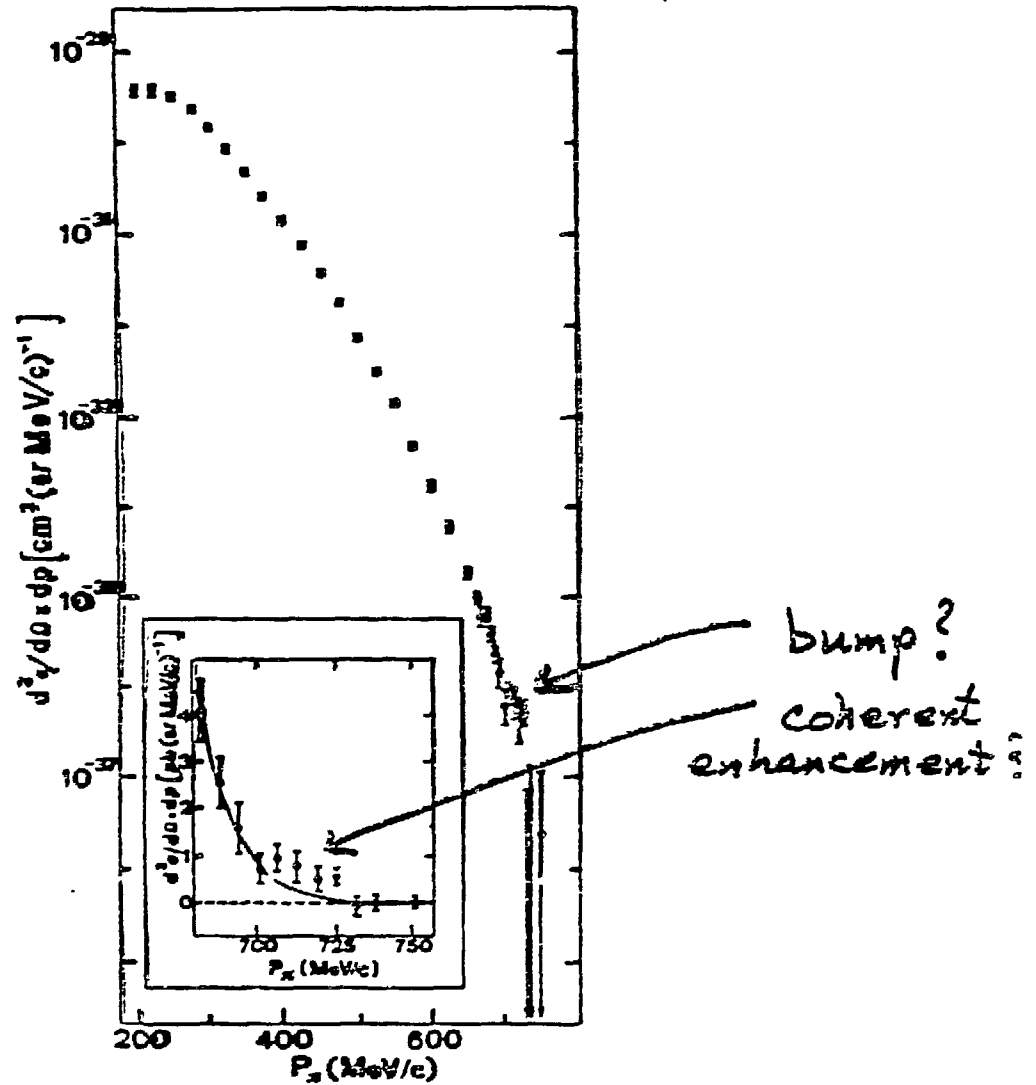
→ much more phase space available for nucleon or pion in heavy ion collision than NN collision

SCALING LAWS $(1-x)^n$ NEAR KINEMATICAL LIMIT



J. Papp et al, Phys. Rev. Lett. 34, 601 (1975)

PION MOMENTUM SPECTRA AT Q^2 IN ${}^3\text{He} + {}^6\text{Li}$
 AT 303 MeV/nuc

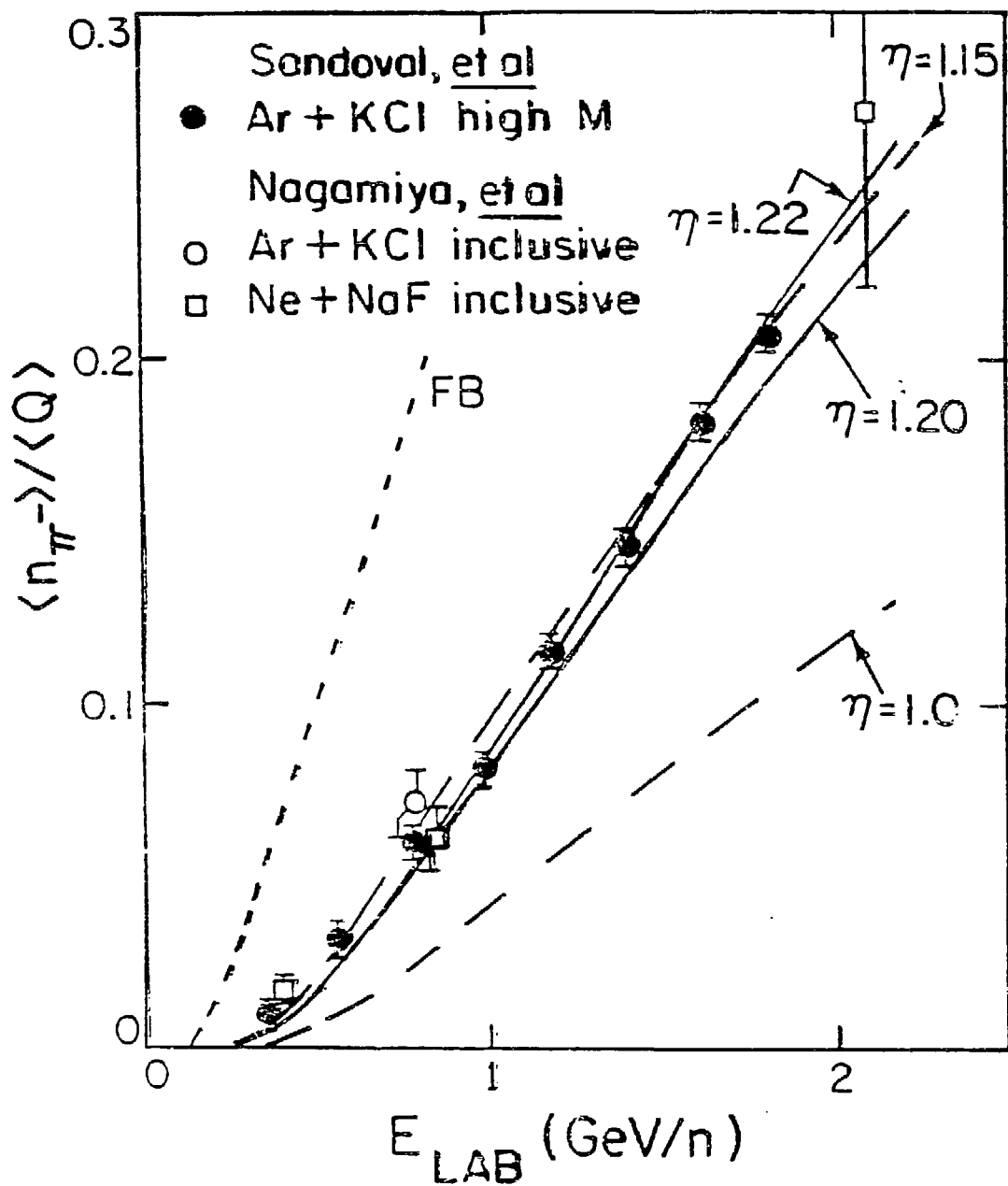


look for structure near kinematical limit

E. Aslanides et al, Phys. Rev. Lett. 43, 1466 (1979)

$(1-x)^n$ deviates from data for $0.9 < x < 1$

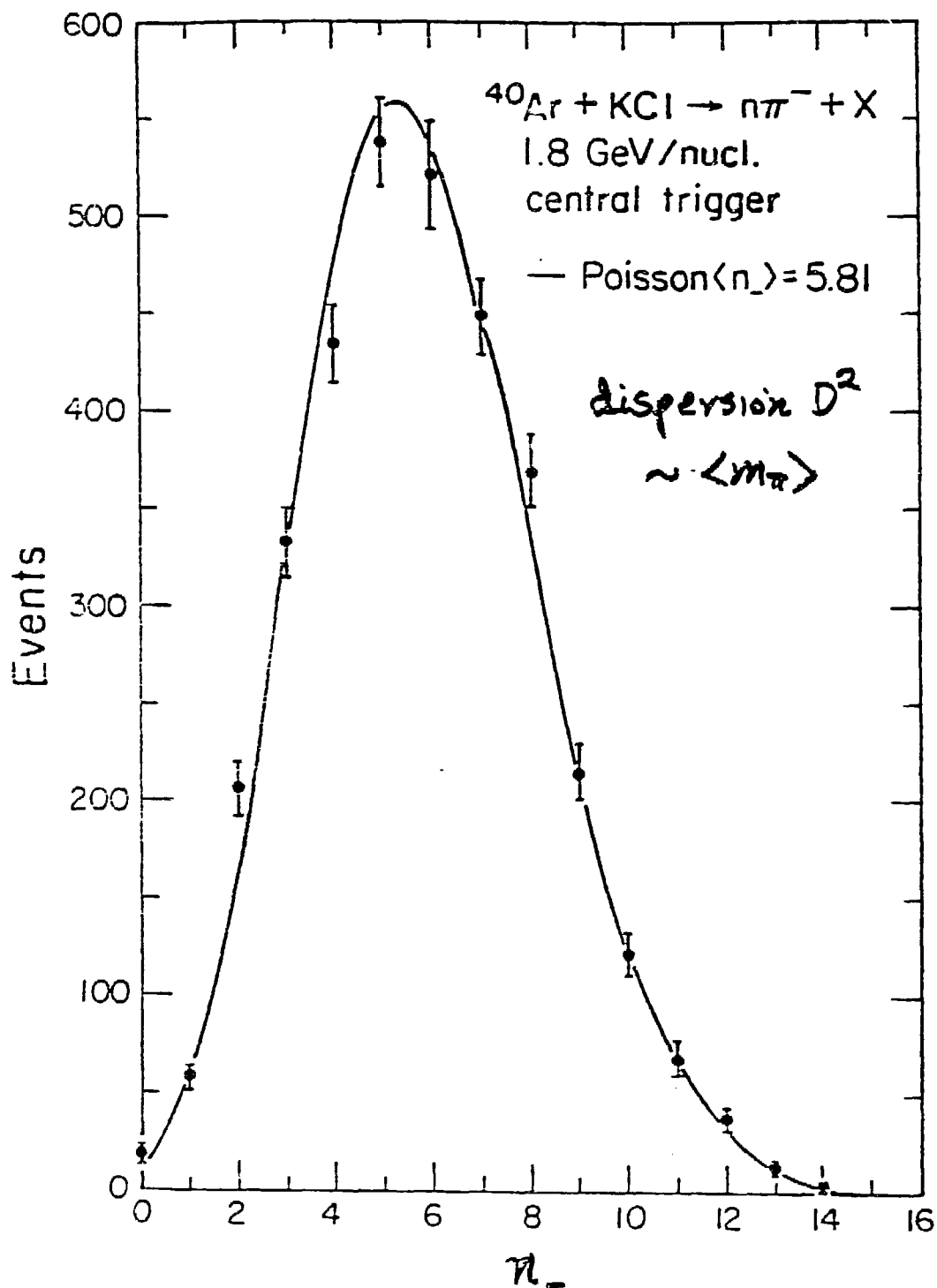
Energy Dependence of Average π^- Multiplicity



$\langle n_{\pi^-} \rangle$ = average multiplicity of π^- 's

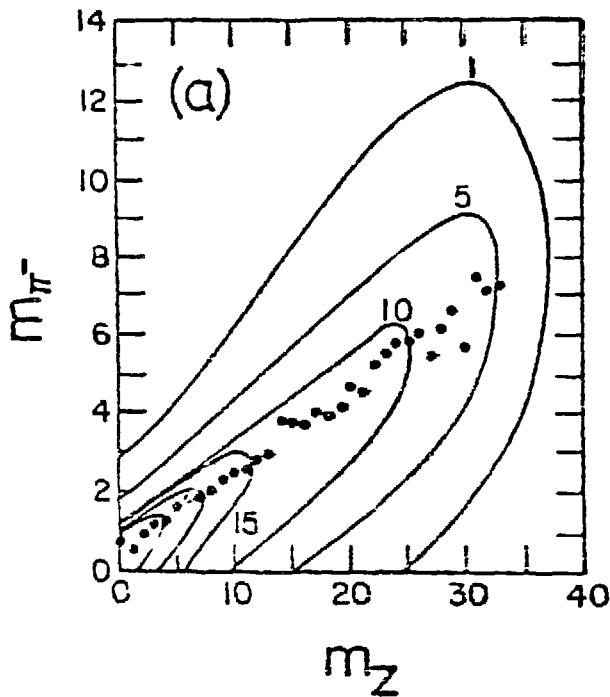
$\langle Q \rangle = \langle \pi_{\pm} \rangle$ = multiplicity of charged nuclear fragments

PION MULTIPLICITY DISTRIBUTION

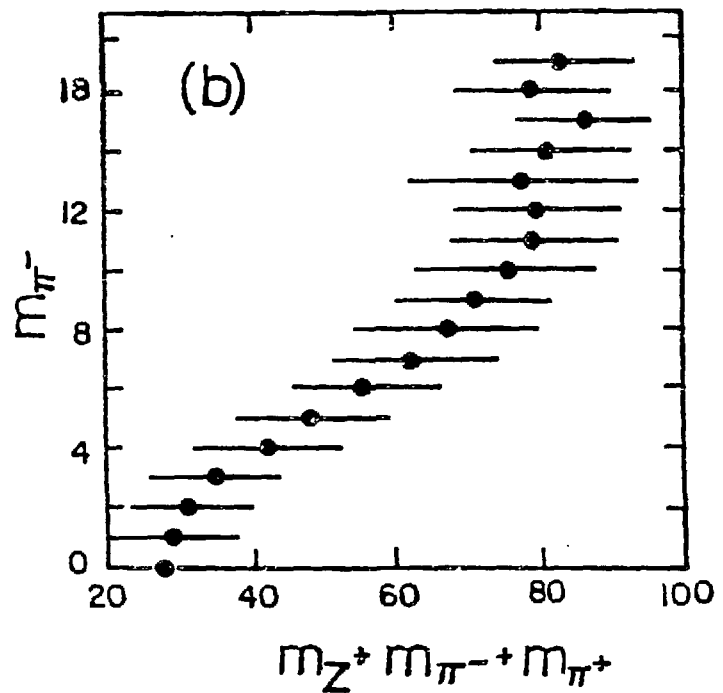


events with $m_z \geq 30 \rightarrow$ almost head-on collision

Correlations between pion (m_{π^-}) and charged nuclear fragment (m_Z) multiplicities

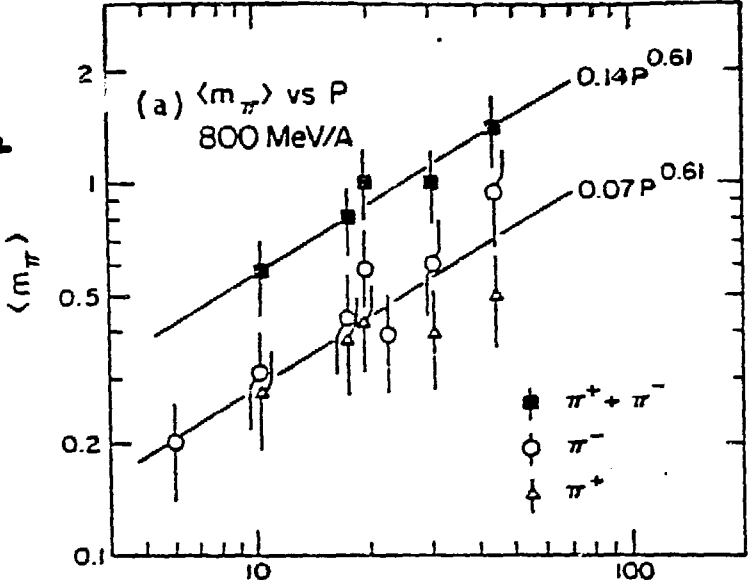


Ar + KCl
A. Sandoval et al,
Phys. Rev. Lett. 45, 874 (1980)



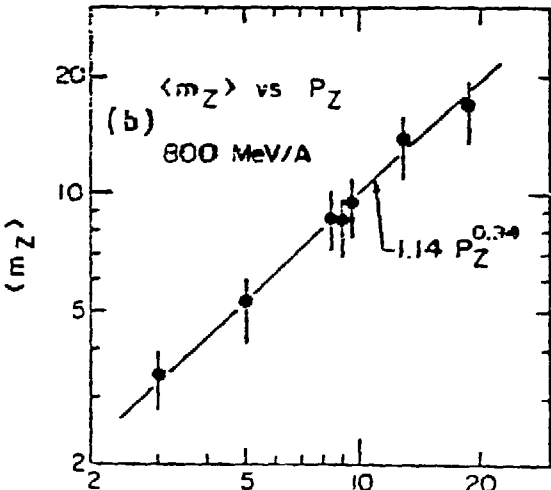
Ar + Pb
J.J. Lu et al,
Phys. Rev. Lett.
46, 898 (1981)

S. Nagamiya
 et al, Phys.
 Rev. C24, 971
 (1981)



fit to form
 $\langle m_\pi \rangle = \alpha P^x$
 $x = 2/3 \rightarrow$ emission
 from surface
 of participant
 region

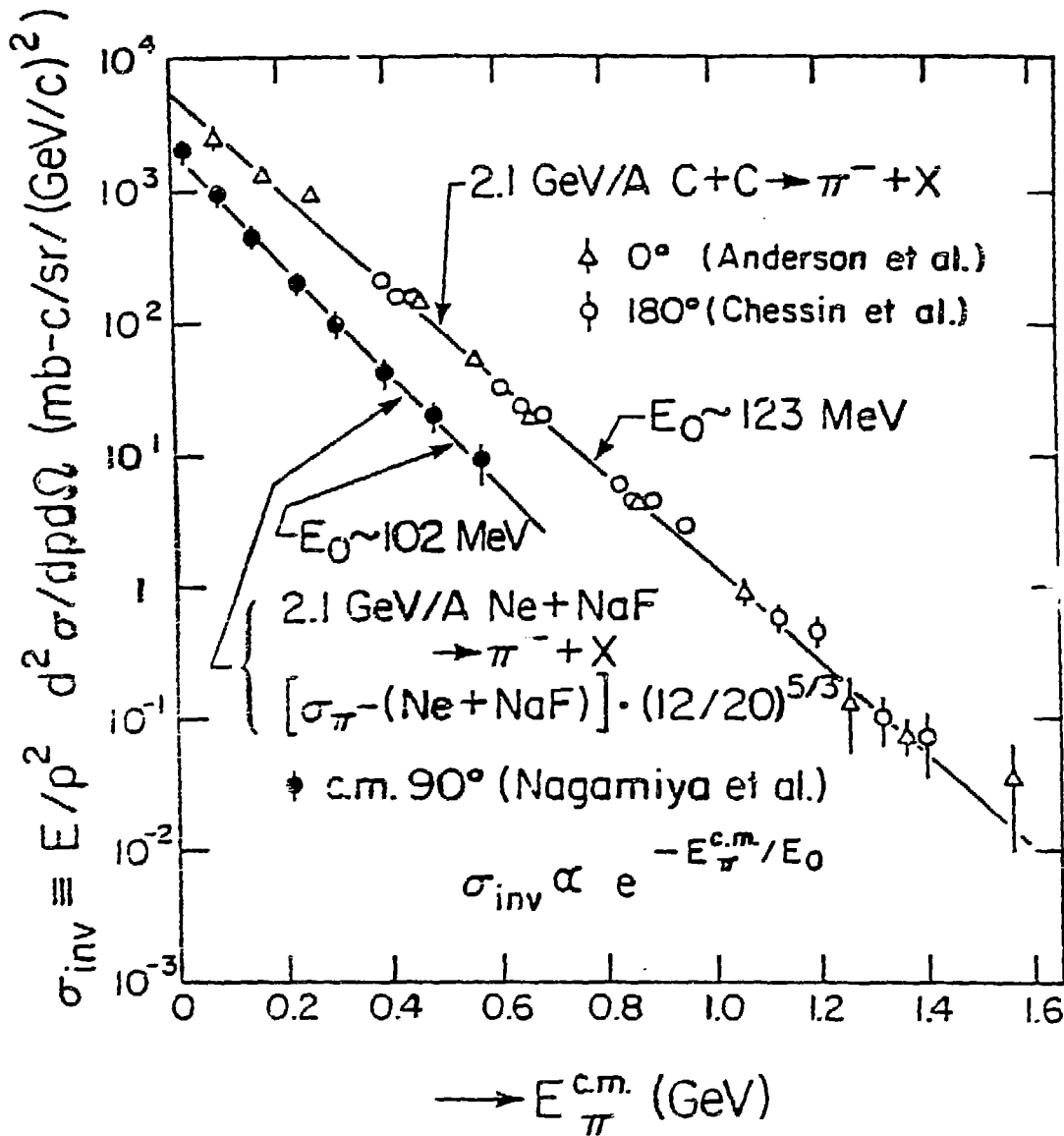
$$P = \frac{A_P A_T^{2/3} + A_T A_P^{2/3}}{(A_P^{1/3} + A_T^{1/3})^2} = \text{average participant nucleon number}$$



$$P_Z = \frac{Z_P A_T^{2/3} + Z_T A_P^{2/3}}{(A_P^{1/3} + A_T^{1/3})^2} = \text{average nuclear charge in participant region}$$

\therefore effects of pion absorption cannot be neglected

Energy Distribution of Pions at various angles



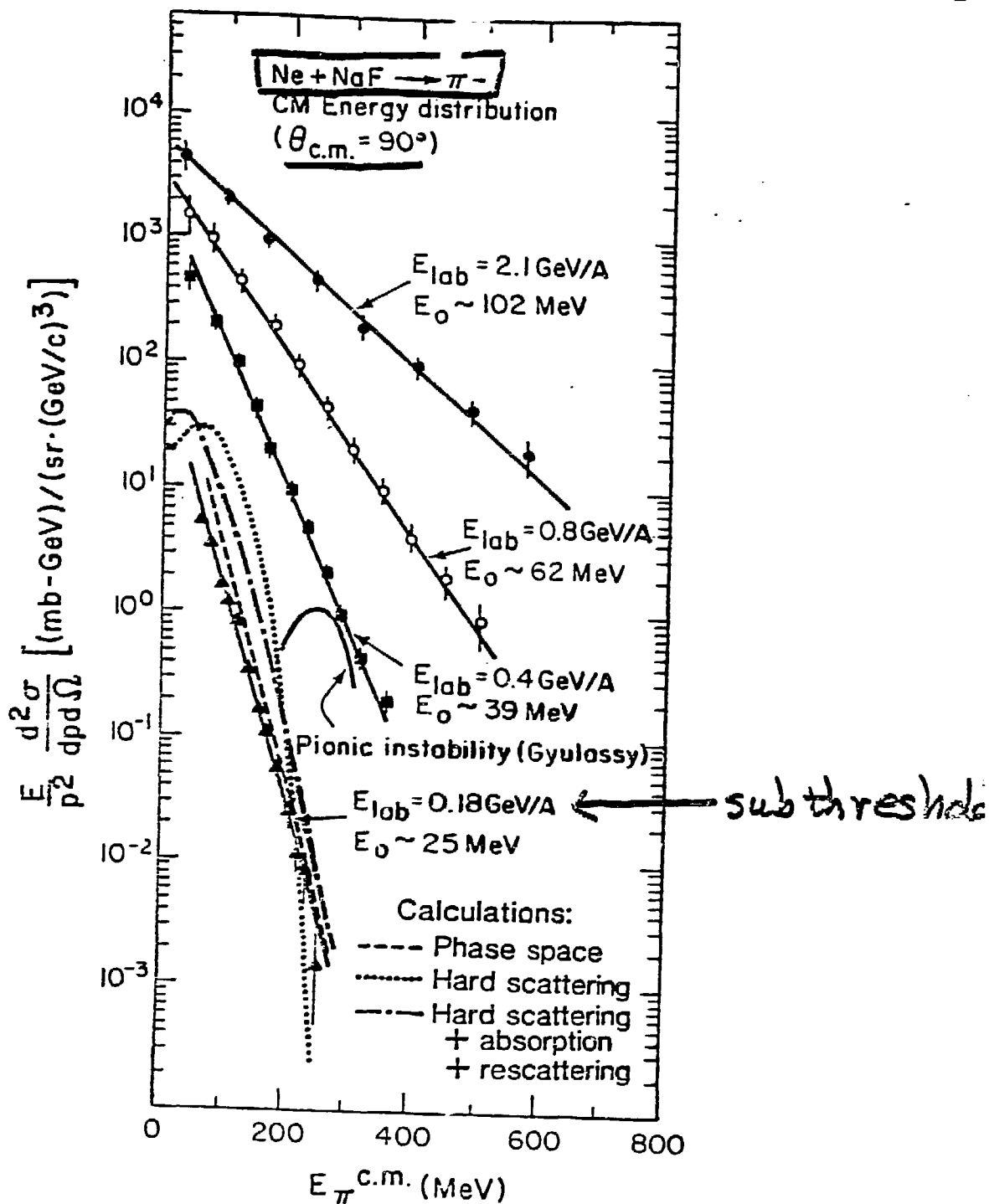
0° data: E. Moeller et al, preprint (1982)

90° data: S. Nagamiya et al, Phys. Rev. C24,971 (1981)

180° data: S.A. Chessin et al, preprint (1982)

\therefore energy distribution \sim exponential at any angle

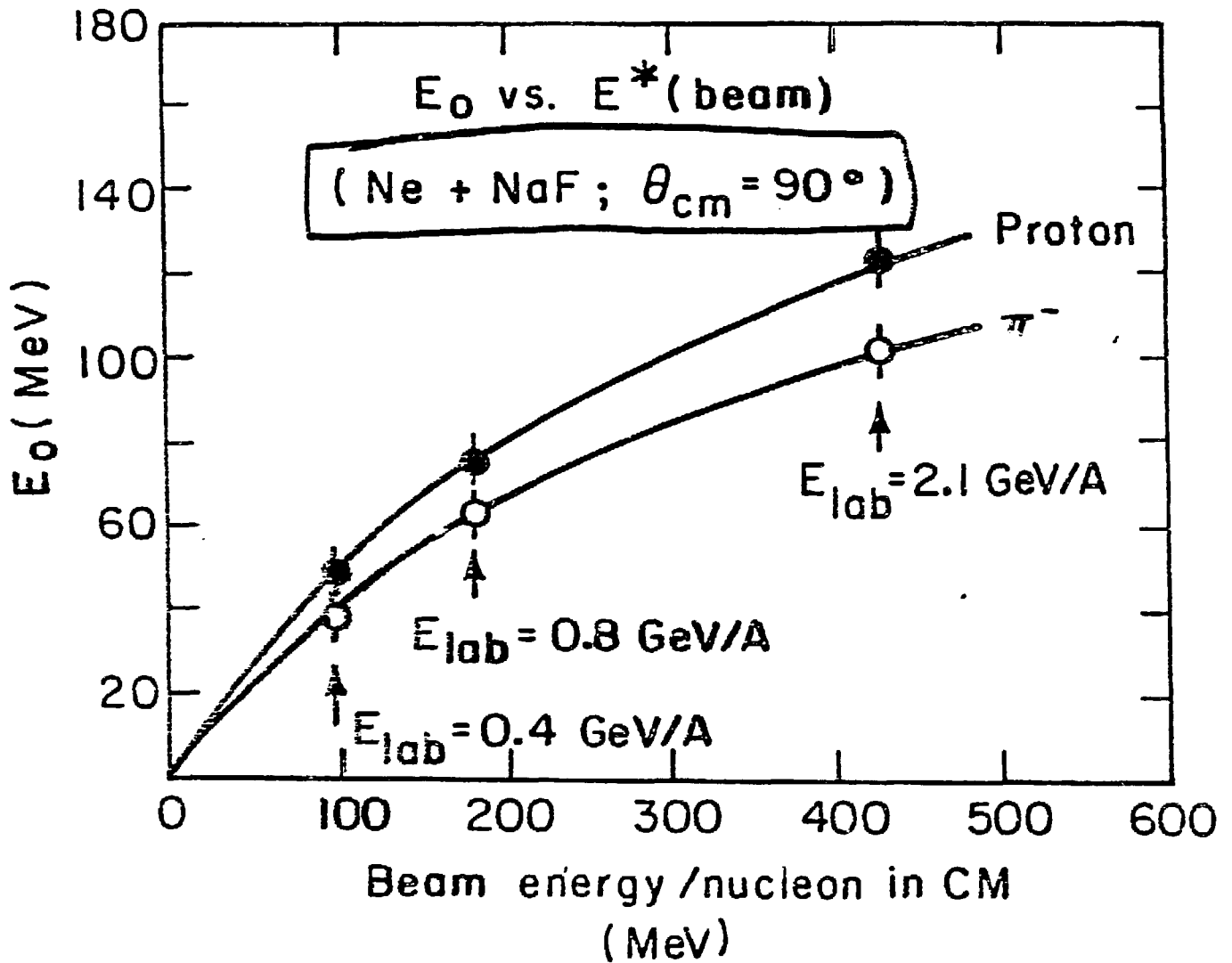
Dependence of pion energy spectrum on beam energy



$$E \frac{d^3 \sigma}{d^3 p} \propto \exp(-E_{\pi}^{c.m.} / E_0)$$

s. Nagamiya et al, PRC24,971(1981) ↑ slope factor
 + LBL-14033 (1982)

SLOPE E_0 OF PION ENERGY SPECTRUM AS FUNCTION OF BEAM ENERGY

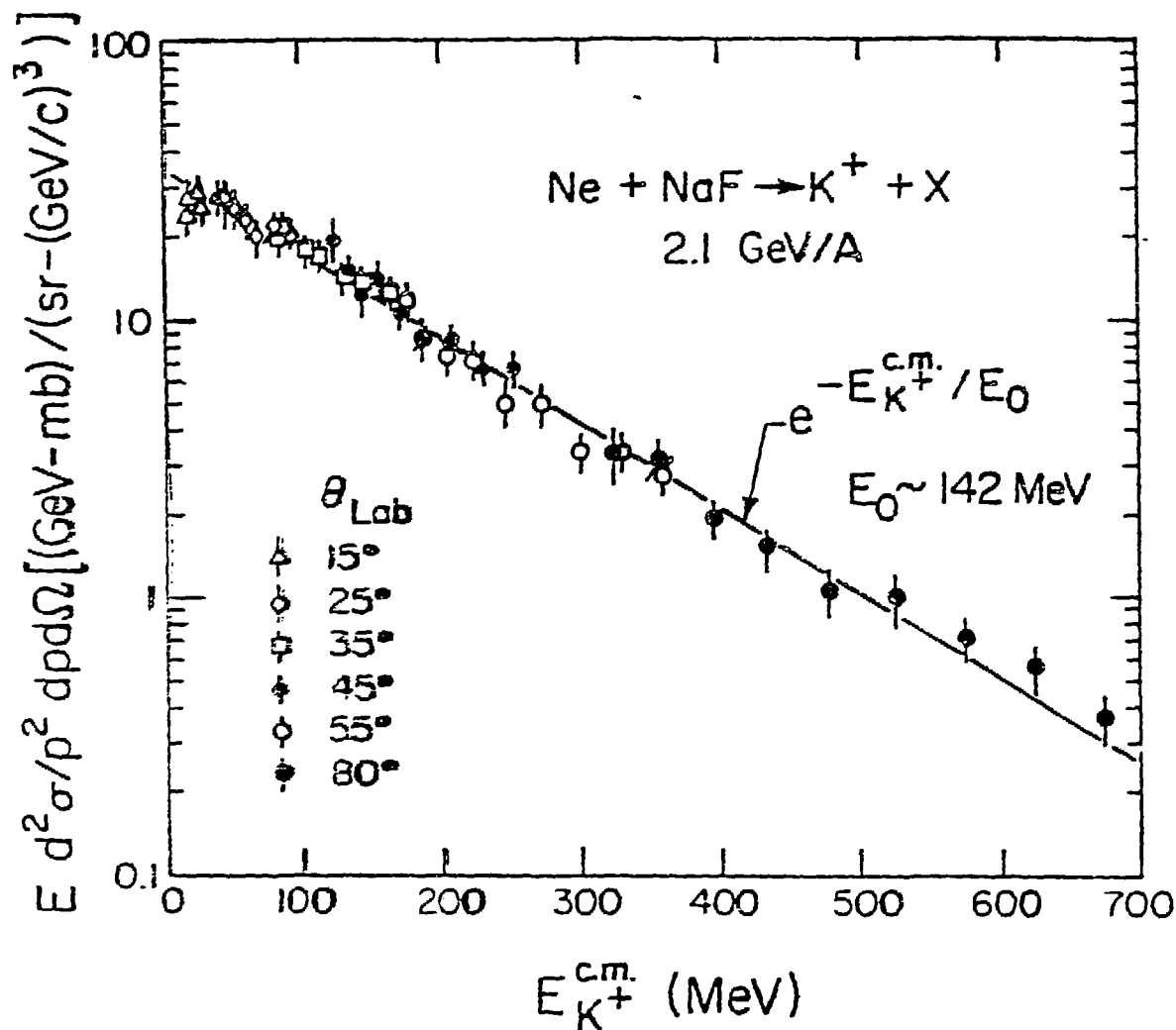


$$\pi \frac{d^3\sigma}{d^3p} \sim e^{-E_0^{cm}/E_0}$$

observation: $E_0(\pi) < E_0(p) < E_0(K^+)$

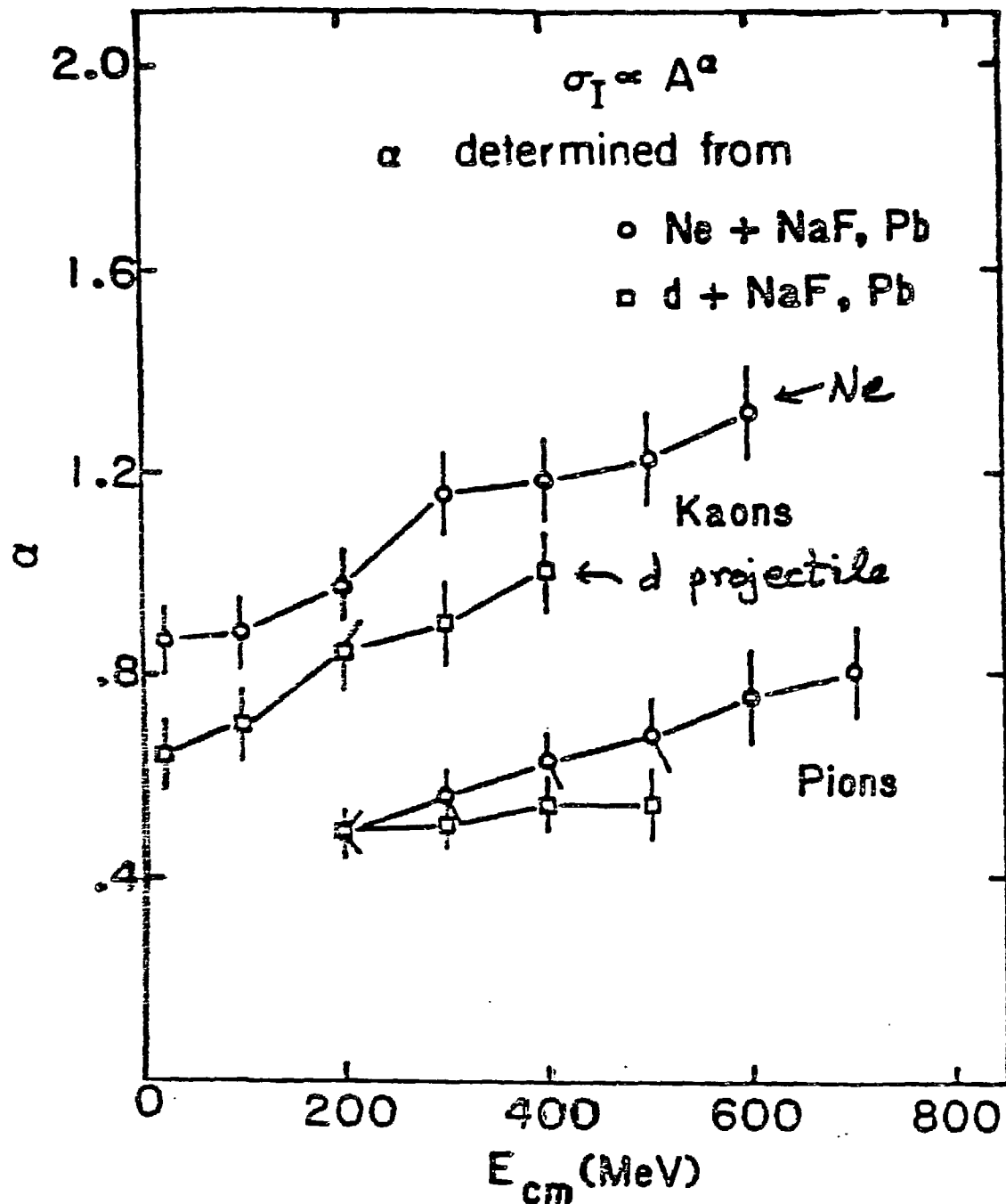
same as ordering of mean free paths

ENERGY SPECTRUM OF K^+ PRODUCTION



S. Schnetzer, Thesis, LBL-13727 (1981)

"A" DEPENDENCE OF PION AND KAON PRODUCTIE



Theory for K^+ production:

J. Randrup + C. M. Ko, Nucl. Phys. A343, 519 (1980)

J. Randrup, Phys. Lett. 99B, 9 (1981)

SLOPES E_0 OF ENERGY SPECTRUM

a) if E_0 reflects mean free path effects, then

$$E_0(\pi) < E_0(p) < E_0(k^+)$$

since $\lambda(\pi) < \lambda(p) < \lambda(k^+)$

↑ weakest absorption

This agrees with experiment!

b) if E_0 reflects phase space effects, then

$$E_0(k^+) < E_0(\pi) < E_0(p)$$

↑
highest threshold

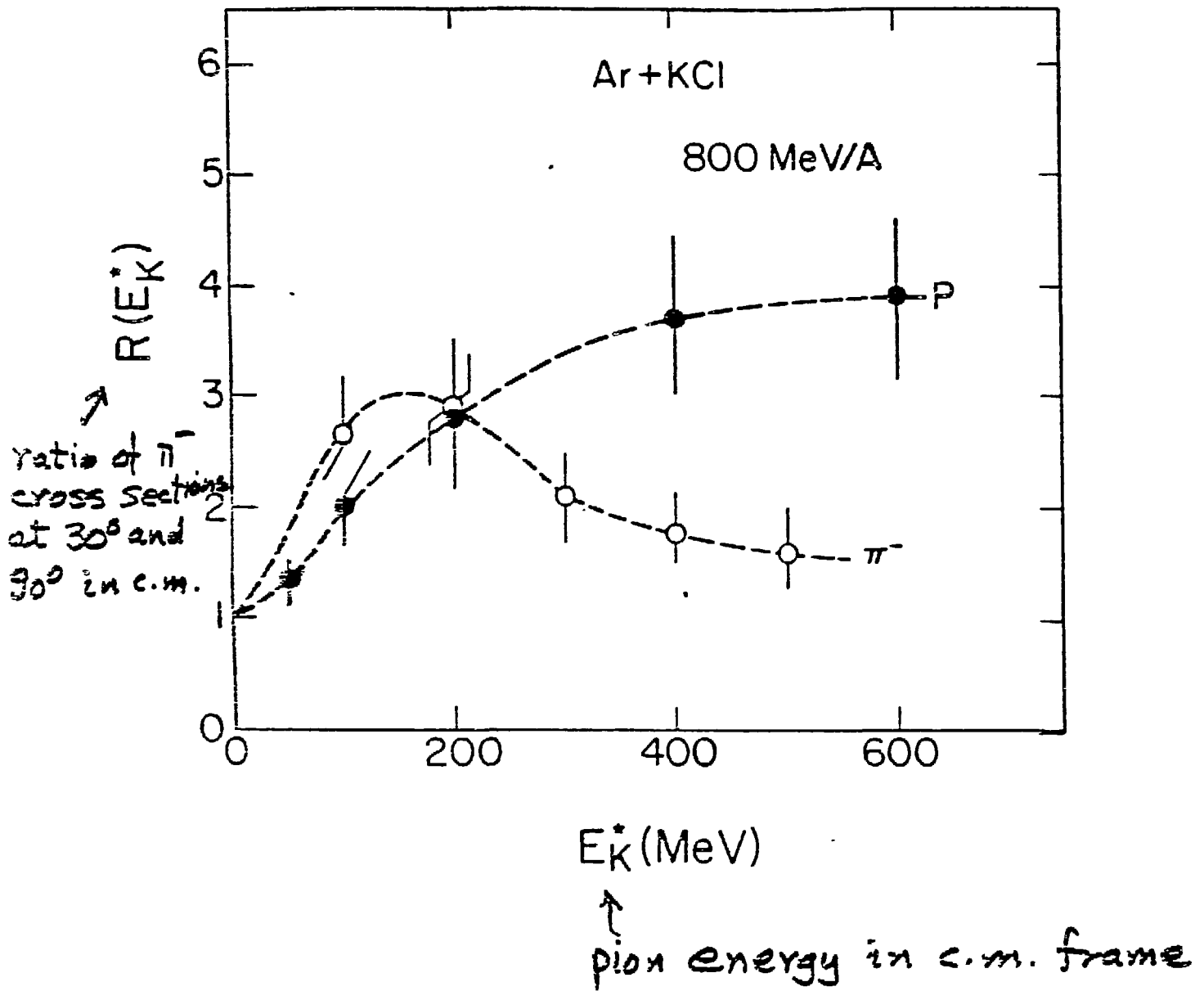
c) radial explosive flow (Siemens + Rasmussen,
Phys. Rev. Lett. 42, 844 (1979))

$$E_0(\pi) < E_0(k^+) < E_0(p)$$

ordered like $\gamma_\pi < \gamma_{k^+} < \gamma_p$

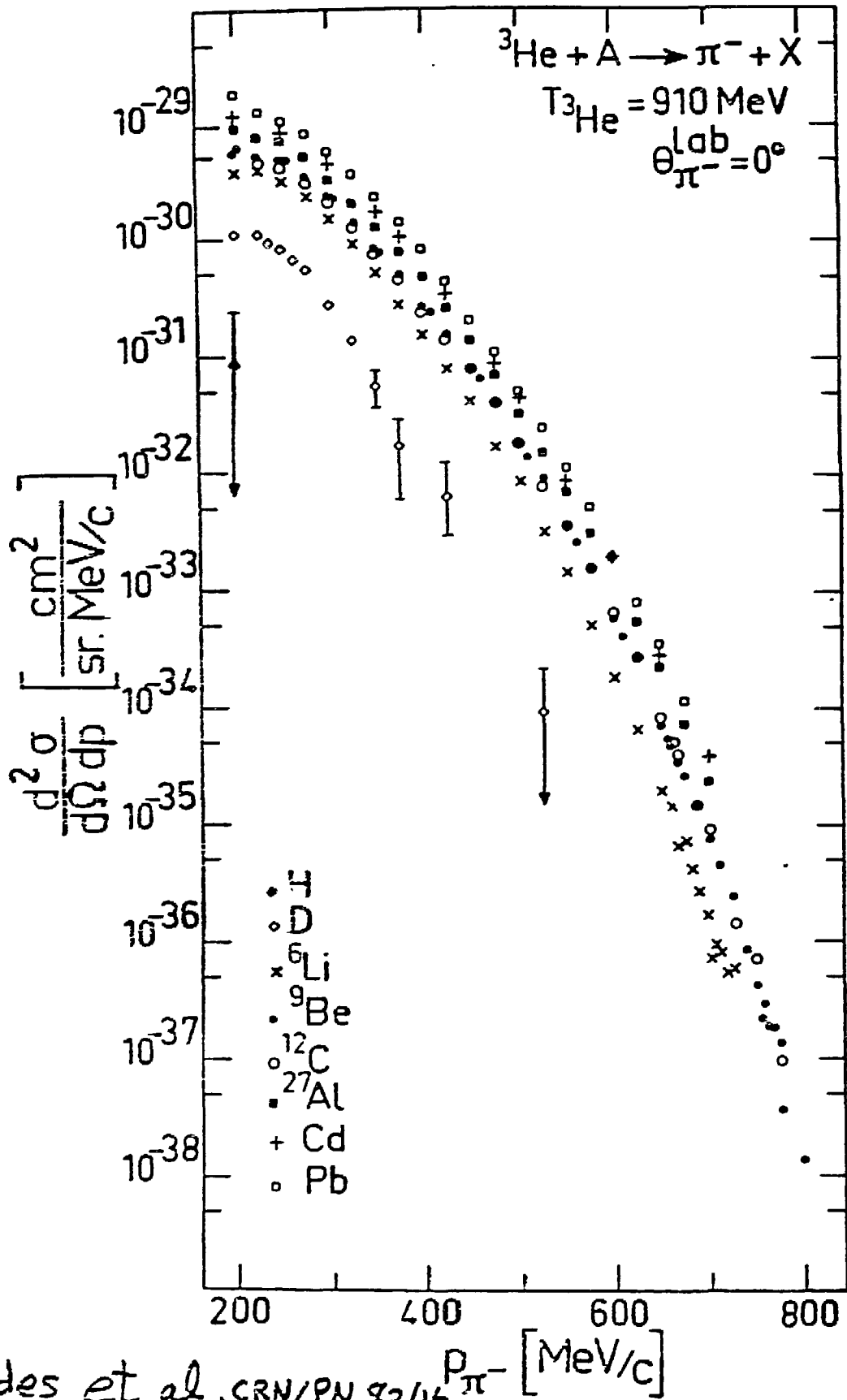
Angular Dependence of π^- Production

S. Nagamiya et al, Phys. Rev. C24, 971 (1981)



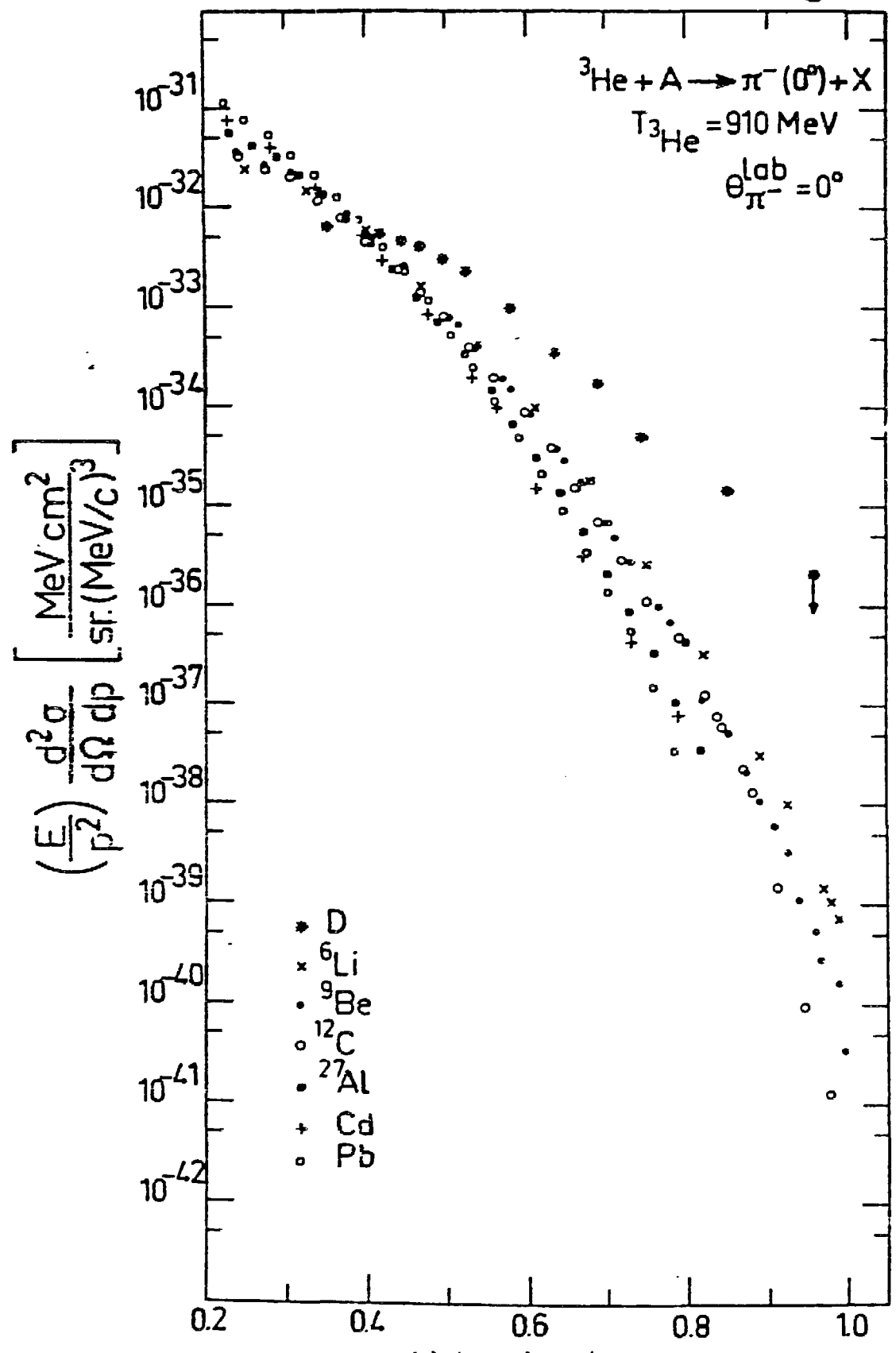
Consistent with expectation that most pions arise from $\Delta_{3,3}$ resonance in $NN \rightarrow NN\pi$

π^- Inclusive Spectra for various targets



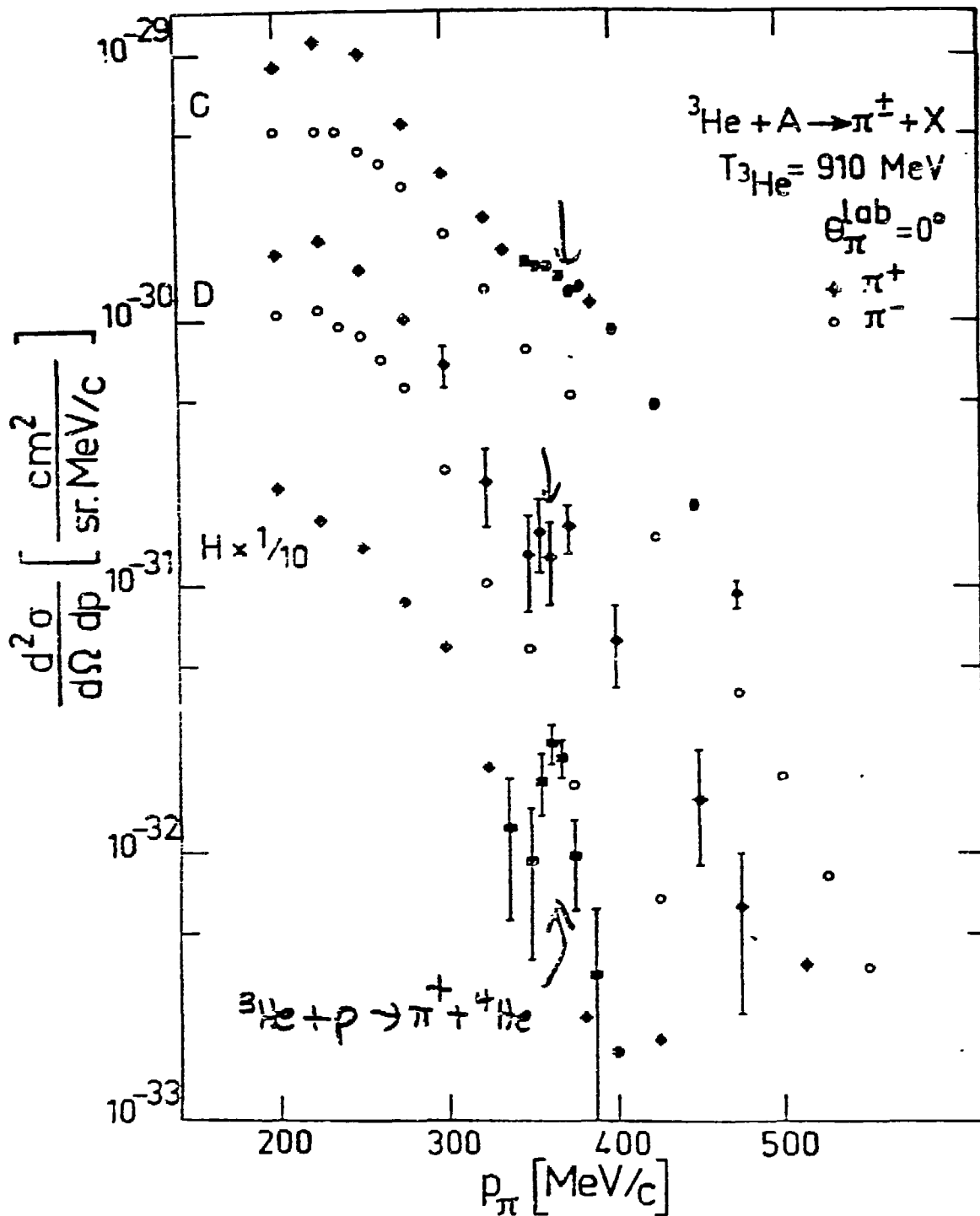
Aslanides et al, CRN/PN 82/16

Lorentz invariant cross section as fcn. of Feynman X_F

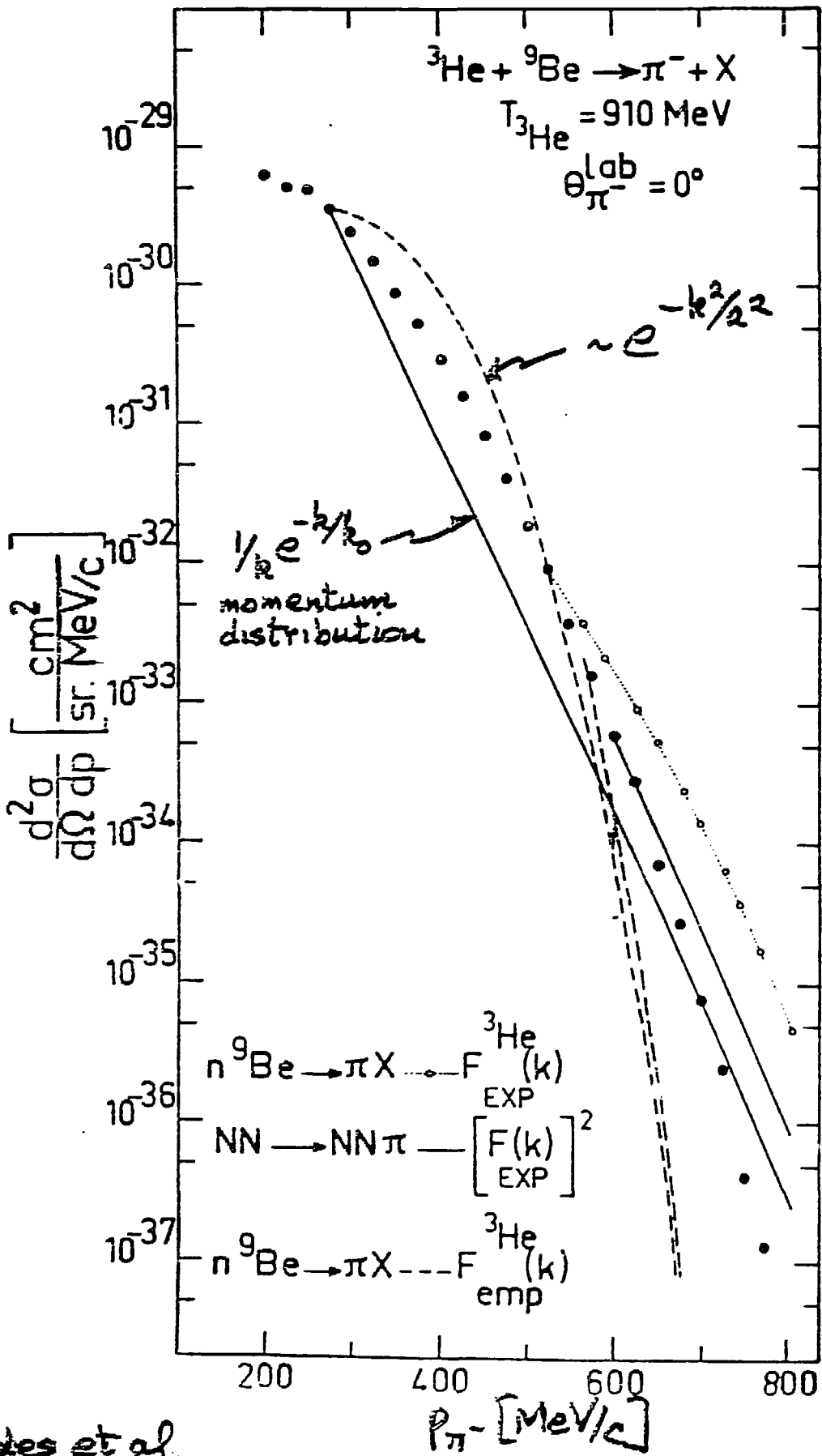


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π^\pm spectra on H, D, C targets

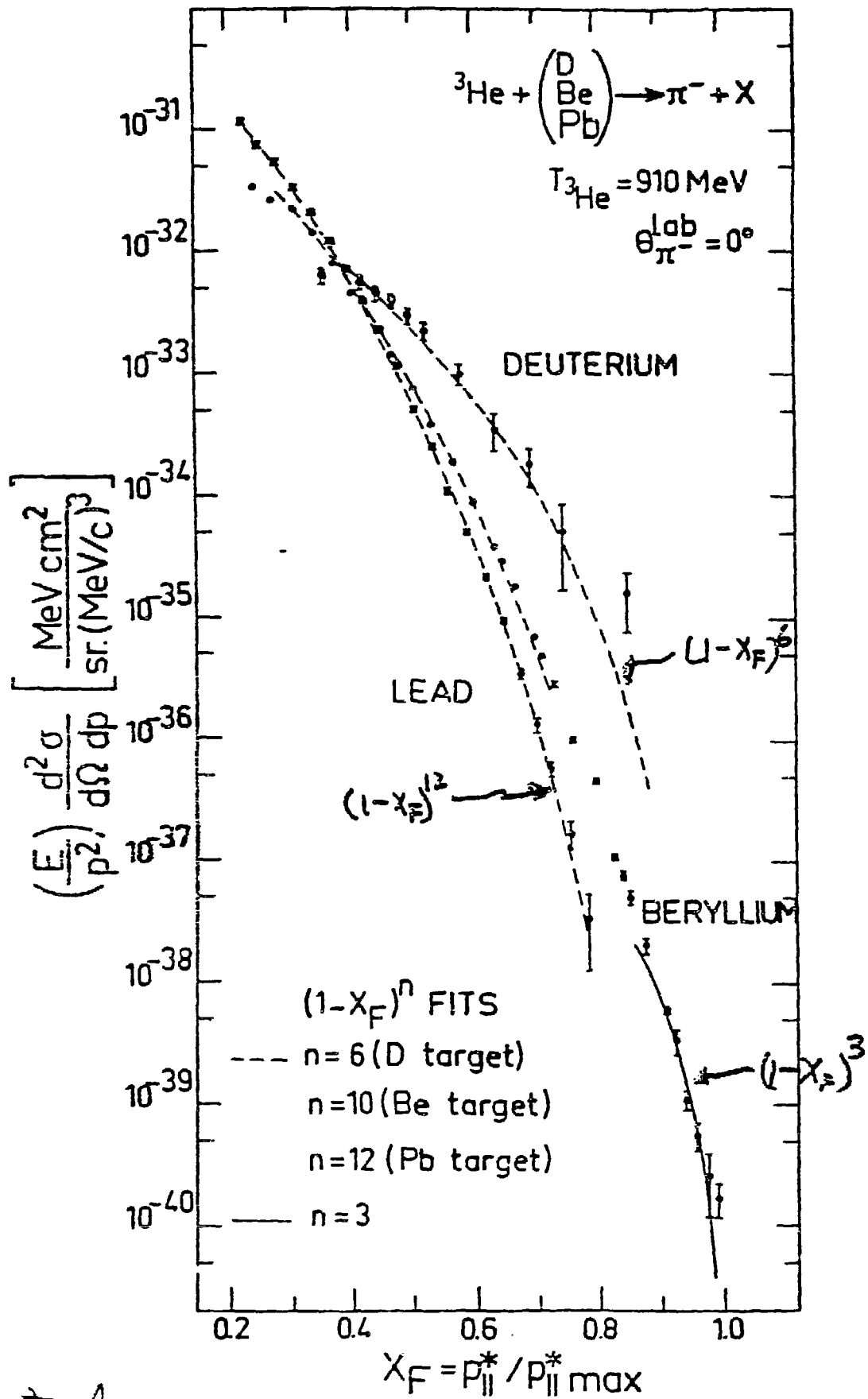


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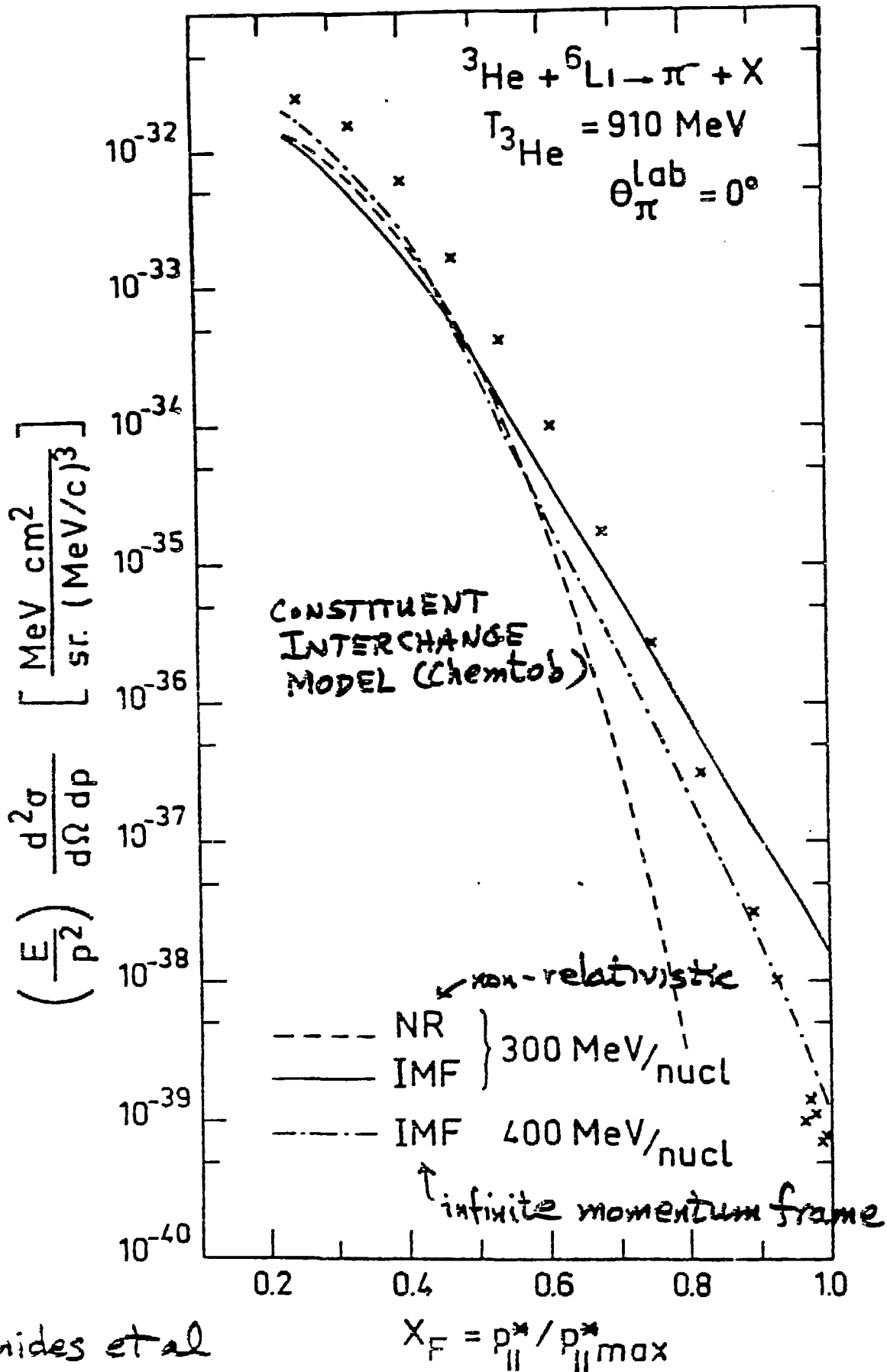


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Invariant Cross Section as function of x_F

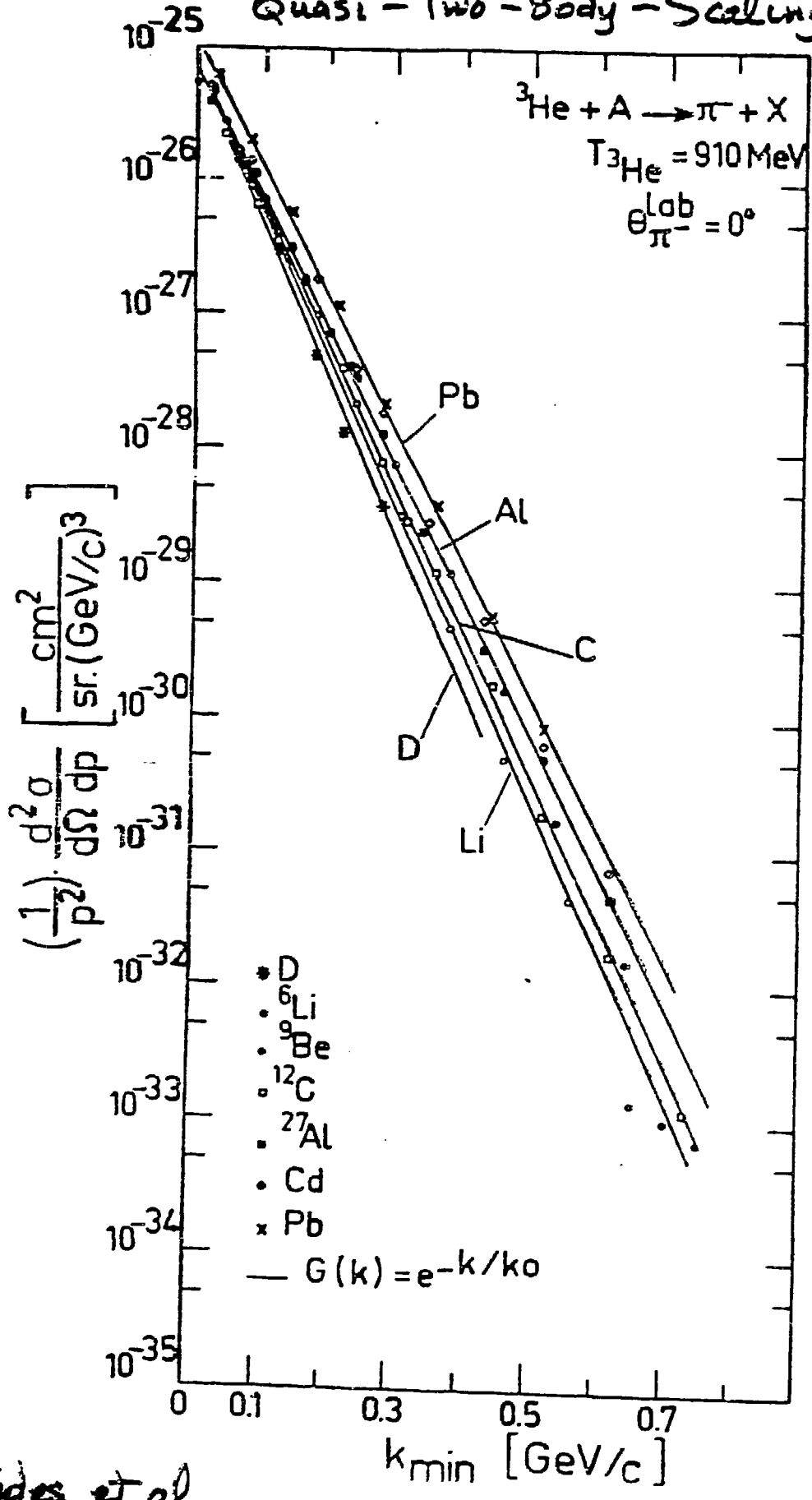


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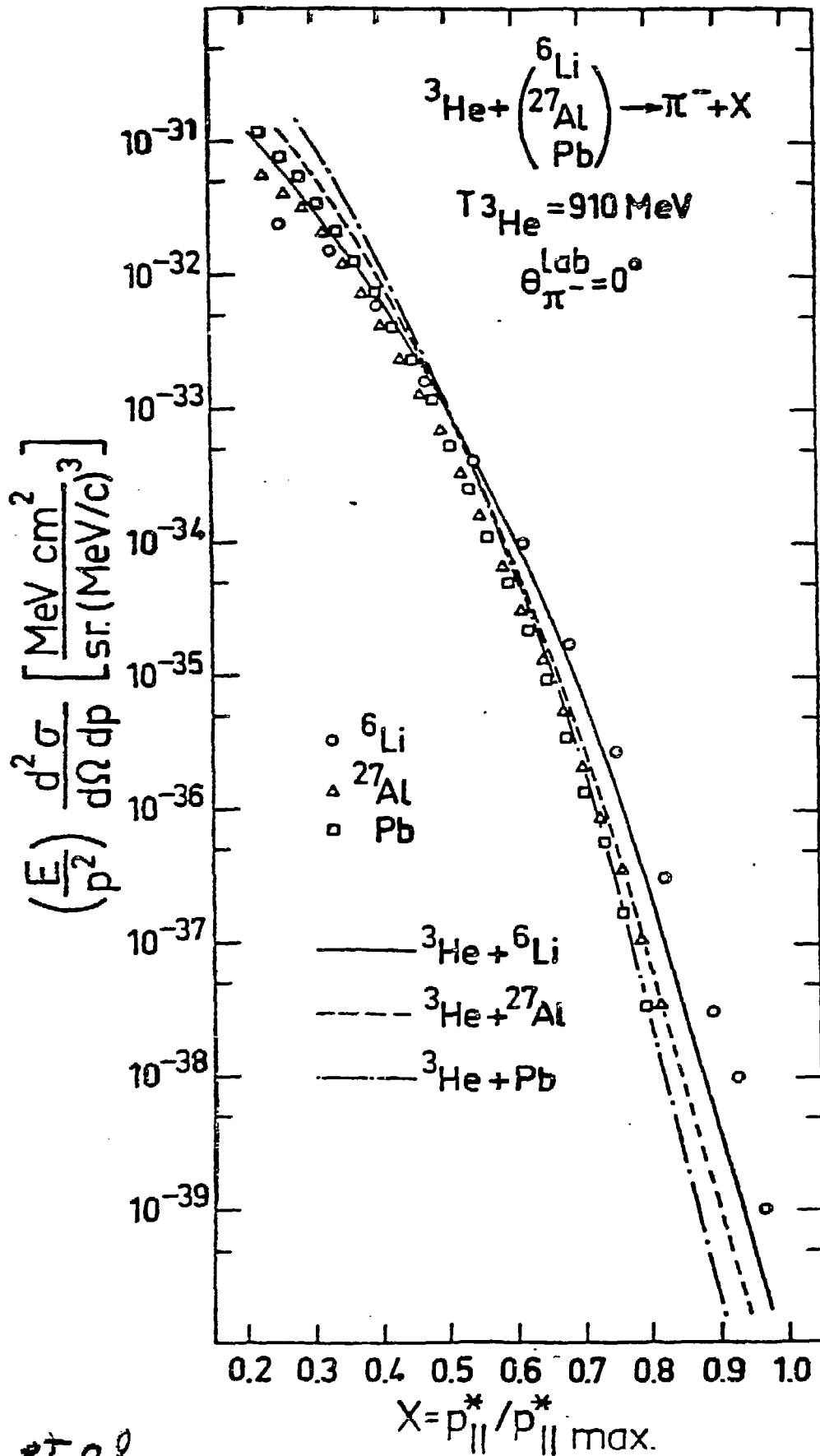
Quasi - Two - Body - Scaling



$\sim e^{-k/k_0}$
 $k_0 \approx 38 \text{ MeV}/c$
 (D)
 $44 \text{ MeV}/c$
 (Pb)

Aslanides et al

STATISTICAL MODEL FOR π^- PRODUCTION



les et al