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Arthur L. Robinson and Alfred S. Schlachter

Advanced Light Source
Accelerator and Fusion Research Division
Lawrence Berkeley Laboratory
1 Cyclotron Road
Berkeley, CA 94720

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A.L. ROBINSON AND A.S. SCHLACHTER

*Advanced Light Source, Accelerator and Fusion Research Division,
Lawrence Berkeley Laboratory, University of California, Berkeley, CA 94720
USA*

The Advanced Light Source (ALS), now under construction at the Lawrence Berkeley Laboratory, is a third-generation synchrotron radiation facility based on a low-emittance, 1.5-GeV electron storage ring with 10 long straight sections available for insertion devices and, initially, 24 bend-magnet ports. Undulators will provide high-brightness radiation at photon energies from below 10 eV to above 2 keV; wiggler and bend-magnet radiation will extend the spectral coverage with high fluxes to above 10 keV. Scheduled to begin operations as a U.S. Department of Energy national user facility in the spring of 1993, the ALS will support an extensive research program in which soft x-ray and ultraviolet radiation is used to study matter in all its varied gaseous, liquid, and solid forms. Participating research teams to implement the initial scientific program have been selected.

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1. Introduction

Around the world, construction of several third-generation synchrotron-radiation sources is either under way or planned. These facilities are designed to maximize the spectral brightness (flux per unit area of the source, per unit solid angle of the radiation cone, and per unit bandwidth) of x-ray and ultraviolet radiation by means of an electron or positron storage ring specifically designed to have very low emittance and several long straight sections primarily for undulators. This combination can generate radiation with a spectral brightness that is a factor of 20 or more greater than that of existing, second-generation sources, depending on the spectral range [1]. In the past, order-of-magnitude increases in brightness have led to qualitatively new developments in spectroscopic and structural studies of both gas-phase and condensed matter [2].

The Advanced Light Source (ALS) at the Lawrence Berkeley Laboratory will be a third-generation synchrotron facility optimized for the production of soft x-rays and ultraviolet radiation. The ALS is intended to be a national user facility open to all qualified scientists and technologists when operations begin in the spring of 1993. The facility consists of injector accelerators, a 1.5 GeV electron storage ring, a complement of undulators and wigglers (collectively, insertion devices), beamlines, and associated experimental apparatus, and a building to house this equipment. The ALS is described in detail in a conceptual design report [3].

2. Scientific program

The initial scientific program at the ALS is being implemented by means of participating research teams (PRTs) consisting of investigators with

related research interests from one or more institutions. The primary responsibility for experimental apparatus (i.e., apparatus downstream from the exit slit of the monochromator) rests with the PRTs; the responsibility for the beamlines and insertion devices will be shared between the ALS and the PRTs. Each PRT will be assigned, for its own research program, privileged access to the facilities it helps develop. The amount of access will depend on the resources (personnel, funding, and equipment) provided for the facilities by the PRT. However, a substantial fraction of the beam time at every beamline will be allocated to independent investigators by means of a proposal-review process.

Proposals were invited from candidate PRTs in March 1989. Nine initial insertion-device teams were selected in December 1989, which subsequently coalesced into the eight that are listed in Table 1. As of June 1990, seven bend-magnet ports have also been allocated to PRTs.

Some of these groups are expected to use the increased brightness of the ALS undulators to open new areas of research in the materials sciences, such as spatially resolved photon and electron spectroscopy (spectromicroscopy) [4]. Biological applications will include x-ray microscopy with element-specific sensitivity in the water window of the spectrum (23-44 Å) where water is much more transparent than protein [5, 6]. The ALS will also be an excellent research tool for atomic physics and chemistry because the high flux will allow measurements to be made with tenuous gas-phase targets [7, 8]. The short pulse width (30-50 ps) will facilitate time-resolved experiments [8, 9].

Other research areas planned for ALS undulator beamlines include high-resolution soft x-ray spectroscopy of materials and surfaces, spin-

polarized photoemission spectroscopy of magnetic materials, and experiments that exploit the polarization of undulator radiation. A future option is the construction of special devices to generate radiation with a controlled elliptical polarization. Wiggler-based x-ray studies will include spectroscopy of atoms in both the gas phase and in condensed matter, spatially-resolved elemental analysis with an x-ray microprobe, grazing-incidence x-ray scattering from surfaces, and x-ray diffraction of large biological molecules (protein crystallography). Bend-magnet research will include studies of physical and biological systems with polarized radiation and infrared absorption spectroscopy of solids, surfaces and gases.

3. Photon sources

The ALS lattice is optimized for the use of insertion devices. Of the 12 straight sections in the storage ring, one is used for injection and one is occupied by rf cavities, leaving 10 full straight sections available for undulators and wigglers up to 4.5 m in length. Operating at 1.5 GeV, the ALS is optimized for insertion-device operation in the soft x-ray and ultraviolet spectral regions.

The spectrum of undulator radiation consists of a series of narrow peaks, a fundamental and its harmonics. The spectrum at an observation angle θ is given by

$$\varepsilon_n[\text{keV}] = \frac{0.949nE^2[\text{GeV}]}{\lambda_u[\text{cm}]} \left(\frac{1}{1 + K^2/2 + \gamma^2\theta^2} \right),$$

where ε_n is the photon energy of the n th harmonic, E is the electron-beam

energy, K is the deflection parameter, which is proportional to the undulator magnetic field and is usually about equal to 1, λ_u is the period of the undulator, and γ is the ratio of the beam energy to the energy of the electron rest mass. The relative bandwidth $\Delta\epsilon/\epsilon$ of each peak is approximately

$$\Delta\epsilon/\epsilon = 0.9/nN,$$

where N is the number of periods.

The spectral range of the undulator is scanned by varying the undulator magnetic field, which decreases as the gap between the poles of the undulator increases. Scanning from low to high photon energies is therefore accomplished by moving the gap from a minimum to a maximum distance. At the ALS, use of the third and fifth harmonics of the undulators is planned to extend their spectral range to higher photon energies than can be reached with the fundamental alone. The wiggler, which operates with a high magnetic field and hence a K value much greater than 1, generates a broad continuous spectrum characterized by a critical photon energy ϵ_c , defined as the photon energy above which half the total power is radiated. At the high end of the broad wiggler spectrum, the flux drops rapidly but is still one-tenth of its maximum value at photon energies near $4\epsilon_c$.

Based on the user requirements expressed in proposals received from participating research teams, engineering designs are well under way for insertion devices that cover the ALS spectral range (fig. 1). The goals of very high brightness and useful fifth-harmonic output impose unusually

tight tolerances on the magnetic-field quality and thus on the mechanical structure of the undulators [10, 11]. Table 2 lists the properties of three undulators (U8.0, U5.0, and U8.0, where the number refers to the period length in centimeters) that span the soft x-ray and ultraviolet spectral regions when the ALS operates at 1.5 GeV. In addition, undulator U10.0, also included in table 2, has been requested by the chemical dynamics PRT listed in table 1 to provide low-energy photons in the range 6-25 eV. The wiggler in table 2, with an ϵ_c of 3.1 keV, extends spectral coverage into the hard x-ray region beyond 10 keV. High-quality synchrotron radiation will be available from the 24 bend-magnet ports, as well. The critical photon energy of the bend magnets is 1.56 keV.

Spectral brightness is shown in fig. 2 for the ALS undulators and wiggler described in table 2, the ALS bending magnets, and for representative sources at other facilities. The spectral brightness of the ALS undulators is shown in more detail in fig. 3, which separately shows the fundamental and the third and fifth harmonics.

4. Insertion-device beam lines

Low-emittance storage rings and insertion devices have created new challenges for designers of UV and soft X-ray optics. The source size and divergence have become smaller. For ALS undulators at the high-photon-energy end of the spectral range, the size is typically 330 μm horizontal by 65 μm vertical and the divergence is typically 40 μrad horizontal by 30 μrad vertical [12, 13]. The small source size requires tighter tolerances for relay optics and monochromator components in both optical figure and finish to avoid loss of light (e.g., rms surface roughness ≈ 0.5 nm and tangential

slope error $<1 \mu\text{rad}$ for a condensing mirror). The attainment of higher resolution by use of smaller slits also becomes practical (the spectral-resolution goal of monochromators in undulator beamlines is $\Delta E/E \approx 10^{-4}$). Monochromator components therefore need tighter tolerances to avoid loss of resolution. Finally, the photon-beam power has increased to several kw/cm^2 . The requirement that thermal distortions and stress be controlled complicates the design [for example, water cooling in a UHV ($\approx 1 \text{ ntorr}$) environment] and limits the choice of materials.

The most serious limitation is that of optical fabrication tolerances. It is difficult to fabricate aspheric optical surfaces (paraboloids, ellipsoids, toroids, etc.) sufficiently accurately in mirrors, monochromators, and other optical elements to take full advantage of the undulator source. One way to address this problem is to avoid the use of aspheric surfaces and to build beamlines entirely with plane and spherical surfaces. Although studies at LBL and elsewhere have confirmed that, when a large heat load is present, thermal considerations dominate the design and cost of the beamline, undulators usually generate lower total power than wigglers. For the ALS undulator beamlines, the power problem is significant but within the state of the art.

To meet these challenges, the initial ALS-constructed undulator beamlines are based on the spherical-grating monochromator system with water-cooling. The strategy is to use spherical surfaces for all mirrors and gratings and to actively water cool the optics. Depending on the beamline requirements, the condensing system chosen for ALS undulator beamlines consists of one or two spherical mirrors. (PRTs are free to choose other optical designs for their beamlines, subject to approval by the ALS.)

Because of the low emittance of the ALS storage ring, the monochromator can accept the entire undulator beam in most cases, even at a slit-width of 10 μm .

5. For more information

Proposals to form both insertion-device and bend-magnet PRTs continue to be accepted. For information on forming a new PRT or joining an existing one, contact the authors. Information about independent-investigator proposals will be available at a later date.

This work was supported by the Director, Office of Energy Research, Office of Basic Energy Sciences, Division of Materials Sciences, of the U.S. Department of Energy under Contract No. DE-AC03-76SF00098.

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Table 1
ALS insertion device teams

| Name | Scientific Focus | Spokesperson |
|-------|--|---|
| U10.0 | Chemical dynamics | Tomas Baer, U. of North Carolina |
| U8.0 | Atoms, molecules, ions | Denise Caldwell, U. of Central Florida |
| U8.0 | Pump-probe, timing, dynamics experiments | Victor Rehn, Naval Weapons Center |
| U5.0 | Surfaces and interfaces | Brian Tonner, U. of Wisconsin-Milwaukee |
| U5.0 | Surfaces and interfaces | Joachim Stöhr, IBM Almaden Research Center |
| U3.9 | X-ray imaging and optics for life and physical sciences | Stephen Rothman, U. of California, San Francisco |
| W16 | Atomic, molecular, optical physics; materials science | Bernd Crasemann, U. of Oregon; Philip Ross, LBL |
| W16 | Life sciences | Stephen Cramer, Brookhaven National Lab |

Table 2
Parameters for initial ALS insertion devices

| Name | Period [cm] | No. of periods | Photon energy range [eV] a) | Critical energy [keV] |
|-------------------|----------------|-------------------|---------------------------------------|--------------------------|
| <i>Undulators</i> | | | | |
| U10.0 | 10.0 | 45 | — b) | — |
| U8.0 | 8.0 | 55 | 5.4—220 c) [16.2—660] [27—1100] | — |
| U5.0 | 5.0 | 89 | 52—380 [156—1140] [260—1900] | — |
| U3.9 | 3.9 | 115 | 169—500 [507—1500] [845—2500] | — |
| <i>Wiggler</i> | | | | |
| W16 | 16 | 16 | — | 3.1 |

- a) The photon energy range of the fundamental and of the third and fifth harmonics (shown in brackets) as the deflection parameter K decreases from its maximum value to approximately 0.5, when the electron-beam energy is 1.5 GeV.
- b) Only the range from 6 to 25 eV in the fundamental will be used.
- c) Below about 8 eV in the fundamental, the peak field in undulator U8.0 exceeds the bending-magnet field and may adversely affect storage-ring operation.

[FIGURE CAPTIONS]

Fig. 1. Drawing of a generic insertion device for the straight sections of the ALS storage ring showing the main structural features that ALS-constructed undulators and wigglers will have in common.

Fig. 2. Spectral brightness as a function of photon energy for the three ALS undulators and wiggler described in table 2, the ALS bending magnets, undulators planned for the Advanced Photon Source (APS), and representative insertion-device and bend-magnet sources at the National Synchrotron Light Source (NSLS) and the Stanford Synchrotron Radiation Center (SSRL). X-1 is a recently installed undulator beamline at NSLS.

Fig. 3. Spectral brightness as a function of photon energy for the three undulators described in table 2. Each undulator curve is the locus of narrow peaks of radiation, tuned by altering the undulator gap. Separate curves are shown for the fundamental and the third and fifth harmonics of each undulator. The dotted extension of the fundamental of U8.0 represents the performance when the undulator field exceeds the bend-magnet field, a condition that may adversely affect storage-ring operation.

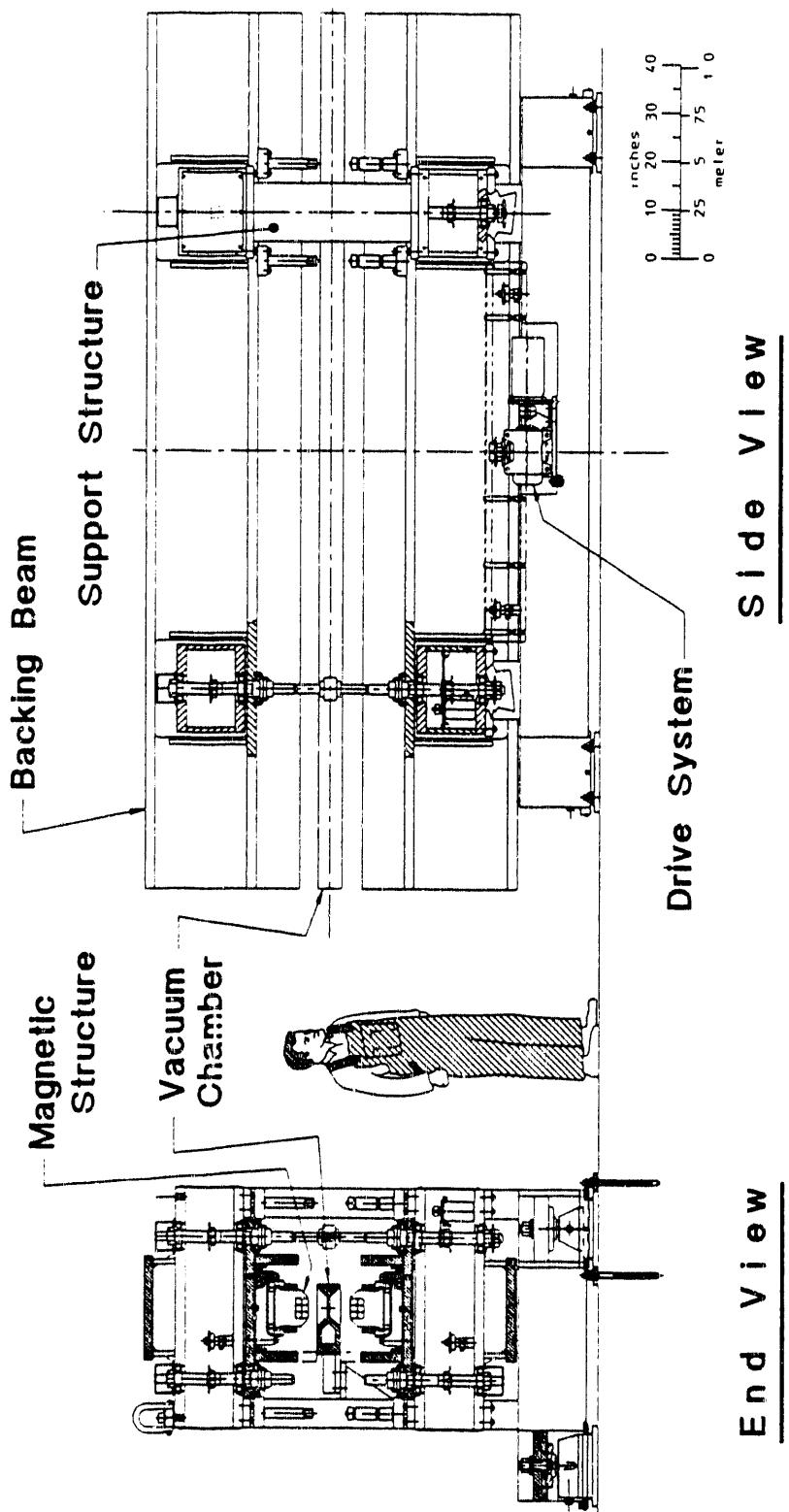


Fig. 1

XBL 901-278A
undulator 11
me19/users/chin/WIGGLER
2/2/90

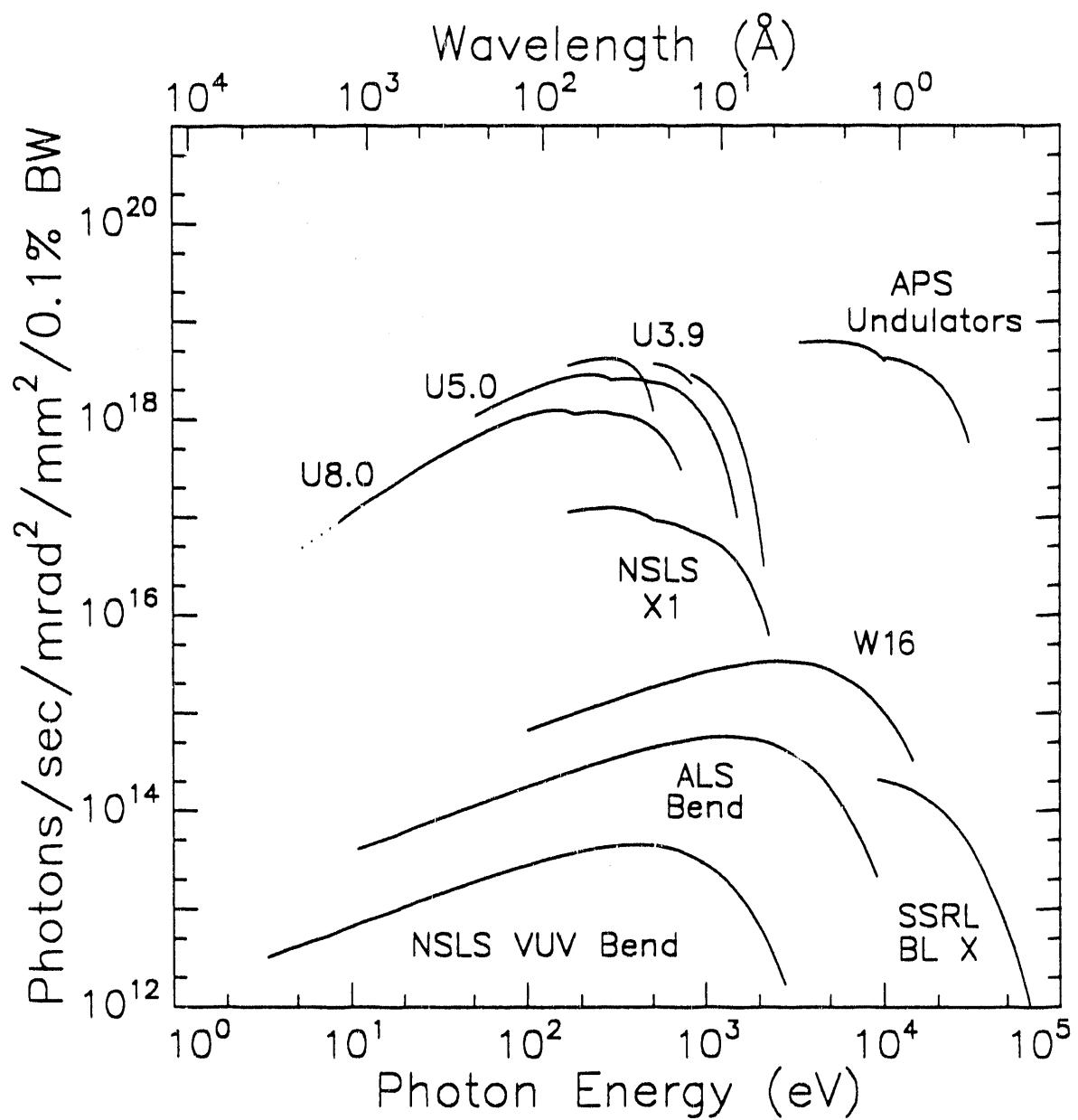


Fig. 2

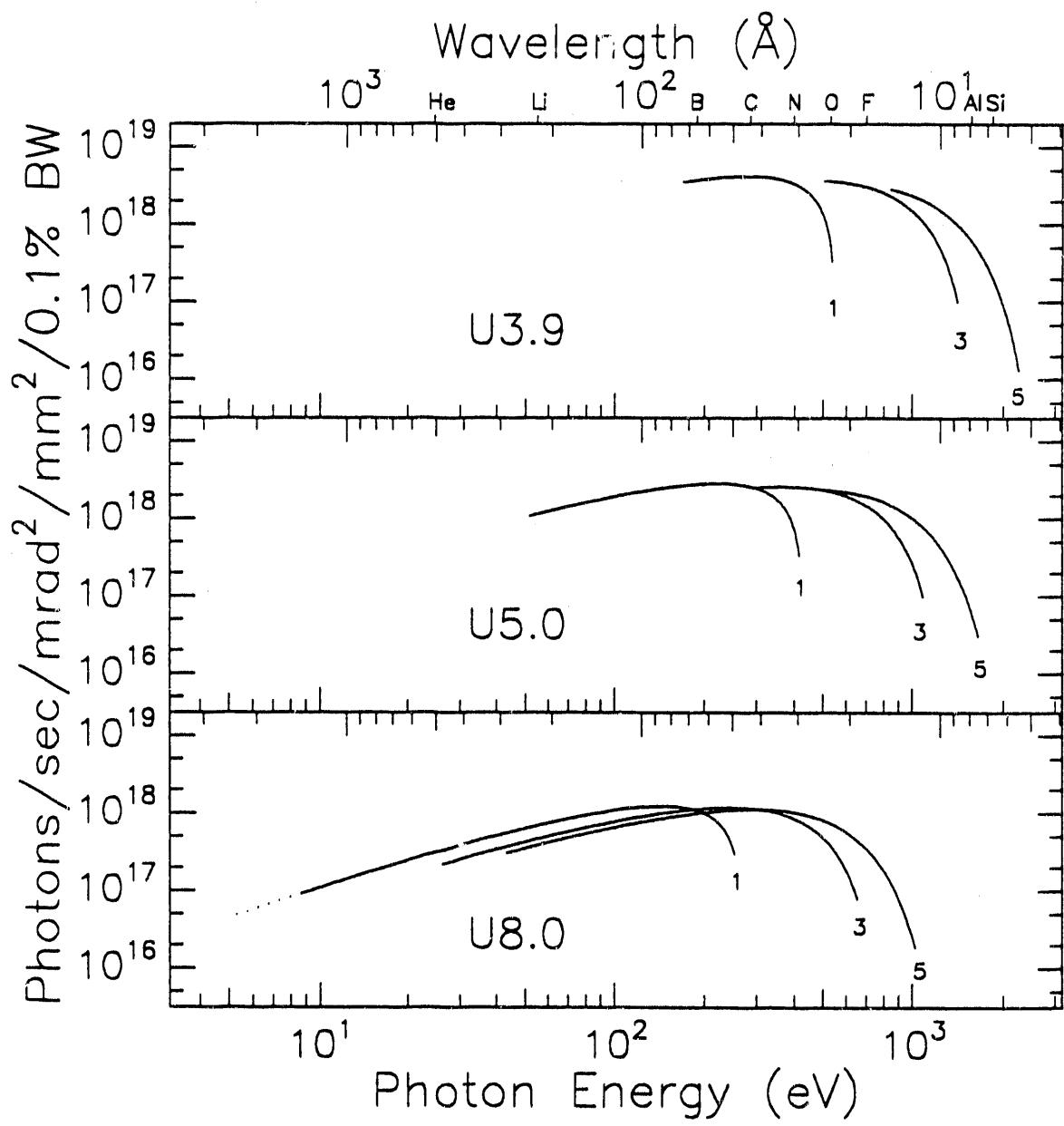


Fig. 3

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