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# **A Review of Gas-Cooled Reactor Concepts for SDI Applications**

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A. C. Marshall

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A REVIEW OF GAS-COOLED REACTOR  
CONCEPTS FOR SDI APPLICATIONS

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ABSTRACT

We have completed a review of multimegawatt gas-cooled reactor concepts proposed for SDI applications. Our study concluded that the principal reason for considering gas-cooled reactors for burst-mode operation was the potential for significant system mass savings over closed-cycle systems if open-cycle gas-cooled operation (effluent exhausted to space) is acceptable. The principal reason for considering gas-cooled reactors for steady-state operation is that they may represent a lower technology risk than other approaches. In the review, nine gas-cooled reactor concepts were compared to identify the most promising. For burst-mode operation, the NERVA (Nuclear Engine for Rocket Vehicle Application) derivative reactor concept emerged as a strong first choice since its performance exceeds the anticipated operational requirements and the technology has been demonstrated and is retrievable. Although the NERVA derivative concepts were determined to be the lead candidates for the Multimegawatt Steady-State (MMWSS) mode as well, their lead over the other candidates is not as great as for the burst mode.

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A REVIEW OF GAS-COOLED REACTOR  
CONCEPTS FOR SDI APPLICATIONS

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## CONTENTS

<u>Section</u>	<u>Page</u>
ACKNOWLEDGMENTS .....	xii
1. EXECUTIVE SUMMARY .....	1
1.1 Background .....	1
1.2 Burst-Mode System Studies .....	1
1.3 MMWSS-Mode System Studies .....	1
1.4 Concept Review Approach .....	2
1.5 Burst-Mode Ranking .....	2
1.6 MMWSS-Mode Ranking .....	3
1.7 Study Limitations .....	4
1.8 Changes from the Preliminary Document ...	5
2 INTRODUCTION .....	6
2.1 Background .....	6
2.2 Concepts Investigated .....	6
2.3 Study Limitations .....	8
3. REVIEW APPROACH .....	10
3.1 System Studies .....	10
3.2 Gas-Cooled Reactor Concept Studies .....	10
4. SYSTEM STUDY RESULTS .....	12
4.1 Burst Mode .....	12
4.2 MMWSS Mode .....	12
5. COMPARISON OF GAS-COOLED REACTOR CONCEPT MASSES .....	14
5.1 Mass Study Ground Rules .....	14
5.2 Burst-Mode Mass Estimates .....	15
5.3 MMWSS-Mode Mass Estimates .....	17
6. BURST-MODE CONCEPT RANKING .....	19
7. MMWSS-MODE CONCEPT RANKING .....	23
8. A NOTE ON BIMODAL REACTORS .....	25
9. CHANGES FROM THE PRELIMINARY DOCUMENT .....	26
10. REFERENCES .....	28



## CONTENTS (Continued)

<u>Section</u>	<u>Page</u>
APPENDIX A SYSTEM COMPARISON .....	A-1
A.1 Burst Mode .....	A-2
A.1.1 Open, Gas-Cooled Reactor System .....	A-5
A.1.2 Open, Hydrogen-Oxygen Combustion System .....	A-6
A.1.3 Closed Rankine- and Brayton-Cycle Power Systems .....	A-7
A.1.4 Energy Storage Systems .....	A-7
A.1.5 Thermionic Reactor with Thermal Storage .....	A-7
A.2 Multimegawatt Steady-State (MMWSS) Mode .....	A-8
A.2.1 Rankine System .....	A-11
A.2.2 Brayton System .....	A-11
A.2.3 Thermionic System .....	A-12
A.3 References .....	A-12
APPENDIX B REACTOR CONCEPT DESCRIPTIONS .....	B-1
B.1 Particle-Bed Reactor .....	B-2
B.2 NERVA Derivative Reactor .....	B-2
B.3 Pellet-Bed Reactor .....	B-5
B.4 PLUTO Derivative Reactor .....	B-7
B.5 NERVA/PLUTO Hybrid Reactor .....	B-7
B.6 UB <sub>2</sub> Reactor .....	B-9
B.7 Cermet Reactor .....	B-9
B.8 Wire-Core Reactor .....	B-9
B.9 Foam-Fuel Reactor .....	B-12
B.10 References .....	B-12
APPENDIX C THERMAL HYDRAULIC METHODS .....	C-1
C.1 Introduction .....	C-2
C.2 Particle- and Pellet-Bed Reactors .....	C-5
C.3 Prismatic-Core Reactors .....	C-7
C.4 Wire-Core and Foam-Fuel Gas-Cooled Reactor Concepts .....	C-14
C.5 References .....	C-19

## CONTENTS (Continued)

<u>Section</u>	<u>Page</u>
APPENDIX D MASS STUDIES .....	D-1
D.1 Method .....	D-2
D.2 Burst-Mode Mass Estimates .....	D-3
D.2.1 Pellet-Bed Reactor .....	D-3
D.2.2 PLUTO Derivative Reactor .....	D-3
D.2.3 NERVA/PLUTO Hybrid Reactor .....	D-3
D.2.4 UB <sub>2</sub> Reactor .....	D-4
D.2.5 Cermet Reactor .....	D-4
D.2.6 NERVA Derivative Reactor .....	D-4
D.2.7 Particle-Bed Reactor .....	D-5
D.2.8 Wire-Core Reactor .....	D-5
D.2.9 Foam-Fuel Reactor .....	D-5
D.3 MMWSS-Mode Mass Estimates .....	D-6
D.3.1 Pellet Bed Reactor .....	D-6
D.3.2 PLUTO Derivative .....	D-6
D.3.3 NERVA/PLUTO Hybrid Reactor .....	D-6
D.3.4 UB <sub>2</sub> Reactor .....	D-6
D.3.5 Cermet Reactor .....	D-7
D.3.6 NERVA Derivative Reactor .....	D-7
D.3.7 Particle-Bed Reactor .....	D-7
D.3.8 Wire-Core Reactor .....	D-7
D.3.9 Foam-Fuel Reactor .....	D-8
D.4 References .....	D-8
APPENDIX E ATTRIBUTE REVIEW .....	E-1
E.1 Burst Mode .....	E-2
E.1.1 Technical Risk .....	E-2
E.1.2 Mass .....	E-4
E.1.3 Safety .....	E-4
E.1.4 Survivability .....	E-4
E.1.5 Reliability .....	E-4
E.1.6 Power .....	E-5
E.1.7 Operation Time .....	E-5
E.1.8 Development Cost .....	E-5
E.1.9 Fabrication Cost .....	E-6
E.1.10 Manufacturability .....	E-7
E.1.11 Controllability .....	E-7

## CONTENTS (Continued)

<u>Section</u>	<u>Page</u>
E.1.12 Testability .....	E-7
E.1.13 Volume/Area .....	E-7
E.1.14 R&D Payoff .....	E-7
E.1.15 Effluent .....	E-7
E.1.16 Load Following .....	E-8
E.1.17 Deployability .....	E-8
E.1.18 Operational Life .....	E-8
E.1.19 Safeguards .....	E-8
E.1.20 Initial Operational Capability .....	E-8
E.1.21 Mechanical Compatibility .....	E-8
E.1.22 Modal Shift Time .....	E-8
E.1.23 Thermal Compatibility .....	E-8
E.1.24 Strategic Materials .....	E-8
E.1.25 Special Interfaces .....	E-8
E.1.26 Maintenance and Repair .....	E-8
E.1.27 Radiation Compatibility .....	E-8
E.1.28 Environmental Compatibility .....	E-8
E.1.29 Electromagnetic Compatibility .....	E-9
E.1.30 Design Change Tolerance .....	E-9
E.1.31 Shared Functions .....	E-9
E.1.32 Upgradability .....	E-9
E.1.33 Quality Assurance .....	E-9
E.1.34 Auxiliary Energy Requirements .....	E-9
E.1.35 Operation and End-of-Life Costs .....	E-9
E.1.36 Life Extendability .....	E-9
E.1.37 Intangibles .....	E-9
 E.2 MMWSS Mode .....	 E-9
E.2.1 Technical Risk .....	E-10
E.2.2 Mass .....	E-11
E.2.3 Safety .....	E-12
E.2.4 Development Cost .....	E-12
E.2.5 Fabrication Cost .....	E-13
E.2.6 Strategic Materials .....	E-13
 E.3 Attributes and Definitions .....	 E-14
 E.4 References .....	 E-19
 APPENDIX F REVIEW OF POTENTIAL FOR FRIT PLUGGING.....	 F-1
F.1 Introduction .....	F-2
F.2 The Plugging Issue .....	F-2
F.3 Discussion .....	F-4

## CONTENTS (Concluded)

<u>Section</u>	<u>Page</u>
F.3.1 Aerosol Generation .....	F-3
F.3.2 Aerosol Transport to the Frits .....	F-5
F.3.2.1 Fixed Bed .....	F-6
F.3.2.2 Fluidized Bed .....	F-7
F.3.3 Simple Clogging Models .....	F-7
F.3.3.1 Model 1 .....	F-7
F.3.3.2 Model 2 .....	F-9
F.4 Miscellaneous Observations .....	F-10
F.4.1 Pressure Drops Through Packed Beds ....	F-10
F.4.2 Aerosol Deposition In Unprotected Areas .....	F-11
F.5 Summary .....	F-11
F.6 References .....	F-12
APPENDIX G ASSESSMENT OF THE NERVA REACTOR .....	G-1
G.1 Introduction .....	G-2
G.2 Assessment of the NERVA Reactor Tests (D. Dobranich) .....	G-2
G.3 Review of NERVA Test Program (D. R. Gallup) .....	G-4
G.3.1 Kiwi .....	G-4
G.3.2 NRX-A5 .....	G-5
G.3.3 Peewee-1 .....	G-5
G.3.4 NRX-A6 .....	G-7
G.3.5 Nuclear Furnace-1 .....	G-7
G.3.6 Conclusions .....	G-8
G.4 References .....	G-9
APPENDIX H RETRIEVABILITY OF NERVA REACTOR TECHNOLOGY .....	H-1

## LIST OF FIGURES

<u>Figure</u>		<u>Page</u>
4-1	Comparison of Specific Weights of Multimegawatt Space Power Systems for the MMWSS Mode Assuming 10 MWe Power for 1 Year of Operation .....	13
5-1	Estimated Masses for 500-MWe Burst-Mode Gas-Cooled Reactors .....	16
5-2	Estimated Masses for a 10-MWe MMWSS-Mode Gas-Cooled Reactor Assuming 1 Year of Operation.....	18
A-1	Comparison of Specific Weights of Burst-Mode Space Power Systems .....	A-3
A-2	Comparison of Specific Weights of MMWSS-Mode Space Power Systems .....	A-9
B-1	Particle-Bed Reactor .....	B-3
B-2	NERVA Reactor .....	B-4
B-3	Particle-Bed Reactor .....	B-6
B-4	PLUTO Reactor .....	B-8
B-5	Cermet Reactor .....	B-10
B-6	Wire-Core Reactor .....	B-11
B-7	Foam-Fuel Reactor Concept .....	B-13
C-1	Specific-Power Limits for Burst-Mode, Pellet-Bed Reactor .....	C-5
C-2	Specific-Power Limits for Moderated, Burst-Mode, Particle-Bed Reactor .....	C-8
C-3	Specific-Power Limits for Burst-Mode, Pellet-Bed Reactor .....	C-8
C-4	Specific-Power Limits for Unmoderated, MMWSS-Mode, Particle-Bed Reactor .....	C-9
C-5	Specific-Power Limits for Moderated, MMWSS-Mode, Particle-Bed Reactor .....	C-9
C-6	Specific-Power Limits for MMWSS-Mode, Pellet-Bed Reactor .....	C-10
C-7	Burst-Mode Specific Power for Prismatic-Core Concepts .....	C-13
C-8	MMWSS-Mode Specific Power for Prismatic-Core Concepts .....	C-15
C-9	Specific Power of Wire-Core Reactor in Burst Mode .....	C-16
C-10	Specific Power of Wire-Core Reactor in MMWSS Mode .....	C-17
C-11	Specific Power of Foam-Fuel Reactor Burst Mode .....	C-18
C-12	Specific Power of Foam-Core Reactor in MMWSS Mode .....	C-18

# LIST OF FIGURES (Concluded)

<u>Figure</u>		<u>Page</u>
F-1	Orifice Control of Cooler Flow .....	F-3
F-2	Rhenium Hot Frit .....	F-8

## LIST OF TABLES

<u>Table</u>		<u>Page</u>
1.1	Ranking of Gas-Cooled Reactor Concepts for the Burst Mode .....	3
1.2	Ranking of Gas-Cooled Reactor Concepts for the MMWSS Mode .....	4
6-1	Burst-Mode Attribute Scores for Gas-Cooled Reactors .....	20
7-1	MMWSS-Mode Attribute Scores for Gas-Cooled Reactors .....	24
A-1	Comparison of Burst-Mode Space Power Systems.....	A-4
A-2	MMWSS Space Power System Comparison .....	A-10
C-1	System Parameters .....	C-3
C-2	Assumed Fuel Particle Description .....	C-6
C-3	Summary of Geometric Data .....	C-14
F-1	Pressure Drop Through an Aerosol Layer on the Hot Frit .....	F-10

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The excellent cooperation and dedication of these individuals are gratefully acknowledged. Their competent and spirited support throughout this effort has led to the successful completion of this study.



## 1.0 EXECUTIVE SUMMARY

### 1.1 Background

We received a request from the Strategic Defense Initiative (SDI) Space Power Office's Independent Evaluation Group (IEG) to review the gas-cooled reactor concepts that have been proposed for multimegawatt (MMW) SDI applications. The impetus for this request originated from the fact that open cycle gas-cooled reactor systems appear to offer a significant mass advantage for burst-mode operation. We were asked to discuss the reasons for considering gas-cooled reactors as contenders for both the burst and multimegawatt steady-state (MMWSS) operational modes and to review the various gas-cooled concepts to identify those that appear to be the most promising. As a result, the objective of our study was not only to discriminate among gas-cooled reactor concepts but also to determine whether gas-cooled reactors in general were a viable power source for burst and MMWSS applications; consequently, a comparison with other types of power systems was required. This study developed into a significant effort involving 20 people (equivalent to almost 10 full-time staff) over a 6-week time span.

### 1.2 Burst-Mode System Studies

Studies were performed to compare gas-cooled reactor systems to other potential power sources for the burst mode. Mass estimates were obtained for open-cycle\* gas-cooled reactor and combustion systems and for closed-cycle\* power systems. Open-cycle systems showed an overwhelming mass advantage over closed power systems when long engagement times were assumed. Consequently, both open-cycle gas-cooled reactor and chemical combustion systems are unquestionably strong contenders for the burst mode. These system studies also showed that there is no significant systems benefit in reducing burst-mode open-cycle reactor masses below their present projected values. An open-cycle gas-cooled reactor comprises only 2 percent of the total power system mass projected for "nominal" neutral particle beam and free electron laser weapons (see Appendix A) and 4 percent or less of the power system mass projected for the EML gun (Reference 1).

### 1.3 MMWSS-Mode System Studies

For the MMWSS mode, gas-cooled reactor systems were compared with liquid-metal-cooled and thermionic reactor power systems. Only reactor systems were evaluated, because nonnuclear power

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\*Open-cycle = working fluid exhausted to space.  
Closed-cycle = no effluent.

sources would be far too heavy for this application. Brayton-cycle power systems employing gas-cooled reactors were estimated to be heavier than Rankine-cycle systems using liquid-metal-cooled reactors and slightly lighter than systems using a thermionic reactor. However, because there are significant issues that must be resolved for Rankine-cycle and thermionic systems, the Brayton-cycle approach may have a lower technology risk and should be considered a contender for the MMWSS mode as well.

#### 1.4 Concept Review Approach

Nine gas-cooled reactor concepts (summarized in Section 2.2 and described more fully in Appendix B) were evaluated for both operational modes. Because many of these concepts were not well defined, we found it necessary to evaluate alternatives to the proposed design parameters if we felt that the parameters were not optimal for the intended application. Extensive parametric studies were carried out to determine the approximate mass of the reactor and shield for an optimized design.

Although a substantial calculational effort was devoted to estimating reactor and shield masses, mass was treated as one of several attributes rather than as a dominant consideration. To ensure that the positive as well as the negative attributes received attention, various staff members were assigned "pro" and "con" roles for each of the concepts. After reviewing the concepts, the group reached a consensus on a score of "good," "fair," or "poor" for each concept and for each attribute. The concepts were then assessed and rank-ordered, using these scores as a guide.

#### 1.5 Burst-Mode Ranking

Five burst-mode attributes were identified as discriminators among the concepts. These attributes were technical risk, development cost, fabrication cost, safety, and modal shift time (power-ramp rate). The burst-mode reactor and shield masses for the various gas-cooled reactor concepts were essentially the same; consequently, mass was determined not to be a valid discriminator in this mode. Safety and modal shift time were identified as potentially important discriminators, but sufficient information to make these discriminations was not available. Hence, technical risk, development cost, and fabrication cost were the attributes used in the burst-mode concept comparison.

When the review of the various gas-cooled reactor concepts was completed for the burst mode, the NERVA derivative concept

emerged as a strong first choice (Table 1.1), with the PLUTO derivative, NERVA/PLUTO hybrid reactor, pellet-bed reactor, wire-core reactor, particle-bed reactor, and cermet reactor in second place. The  $UB_2$  reactor and the foam-fuel reactor were not recommended for this application. Although our study was limited by the general lack of concept definition and by a brief review period, we feel confident that our lead candidate (NERVA) is an excellent choice and appears to exceed the expected operational requirements for the burst mode. Since this technology has already been demonstrated and is retrievable, the NERVA derivative concept is a highly cost-effective approach for this application. If very rapid power-ramps are needed, however, demonstration of the NERVA rapid start capability will be required. Other candidates may also prove to satisfy or exceed performance requirements, but they do not appear to offer any significant advantages over NERVA and are not expected to be cost-effective for burst-mode applications.

Table 1.1

#### Ranking of Gas-Cooled Reactor Concepts for the Burst Mode

First Choice: NERVA Derivative Reactor

Second Place: (alphabetical order)

Cermet	Pellet-Bed
NERVA/PLUTO Hybrid	Pluto-Derivative
Particle-Bed	Wire-Core

Not Recommended:

Foam-Fuel Reactor  
 $UB_2$  Fuel Reactor

#### 1.6 MMWSS-Mode Ranking

Six attributes were selected as discriminators in the MMWSS mode: technical risk, development cost, mass, fabrication cost, strategic materials, and safety. As in the case of our review of the burst mode, insufficient information was available to justify the use of safety as a discriminator.

After the review of the gas-cooled reactor concepts for the MMWSS mode was completed, the NERVA/PLUTO hybrid reactor and the NERVA derivative concepts were determined to be the lead

candidates (Table 1.2). The PLUTO derivative ( $\text{UO}_2\text{-BeO}$ ) reactor, particle-bed reactor, and pellet-bed reactor are ranked second, followed by the wire-core and cermet reactors. The  $\text{UB}_2$  and foam-fuel reactors were not recommended for this application.

Table 1.2

Ranking of Gas-Cooled Reactor Concepts for the MMWSS Mode

First Choice: NERVA Derivative  
NERVA/PLUTO Hybrid

Second Place:

Particle-Bed  
Pellet-Bed  
PLUTO Derivative

Third Place: Cermet  
Wire-Core

Not Recommended:

Foam-Fuel Reactor  
 $\text{UB}_2$  Fuel Reactor

Although the NERVA derivative concept is the leading contender for the MMWSS applications, its lead over the other candidates is not as great as in the burst mode. The small differences in the concept ranking are due, primarily, to the current level of development of the various technologies. Since none of these technologies are fully developed, this rank order should not be overemphasized. Consequently, NERVA and at least one of the other gas-cooled concepts should be pursued until a clear winner emerges. This would require further concept definition and evaluation. However, if program funding becomes so limited that only one gas-cooled reactor approach can be pursued for both burst and MMWSS applications, then we feel that NERVA is the appropriate choice.

### 1.7 Study Limitations

All of our conclusions are based on our initial assumptions that there are no significant safety or power ramp-rate problems associated with any of the proposed concepts. Although we have carried out a preliminary study of ramp-rate and safety considerations which support our conclusions, a final

assessment of these concepts must await a more complete review.

The conclusions reached in this study are limited to the applications discussed in this document. The rank order of these basic concepts may be radically different for other power ranges, operating times and missions. Furthermore, our assessment of fuels for gas-cooled reactors should not be applied to concepts using other coolants.

#### 1.8 Changes from the Preliminary Document

A preliminary version of this document was first released to the concept proposers for comment. Some changes were made in our report as a result of these comments and more recent information has been used in some portions of this report. The most significant changes include:

- a. The statement that NERVA technology has been demonstrated was qualified by the statement:

"If rapid power-ramps are needed, demonstration of the NERVA rapid start capability will be required."

- b. For the MMWSS mode, the cermet concept was moved from the not recommended category to the third place category.
- c. Updated mass and mass uncertainties are presented.

## 2.0 INTRODUCTION

### 2.1 Background

The Advanced Power Systems Division at Sandia National Laboratories Albuquerque (SNLA) and the National Aeronautics and Space Administration (NASA) Lewis Research Center (LeRC) provide technical assistance to the Independent Evaluation Group (IEG) of the Strategic Defense Initiative (SDI) Organization's Space Power Office. Our responsibilities include the review of potential multimegawatt (MMW) space power systems to compare promising concepts and recommend the technologies that should be developed. The Space Power Office IEG requested a review of the gas-cooled reactor concepts that have been proposed for MMW SDI applications, for both the burst mode and the multimegawatt steady-state (MMWSS) mode. As part of this review, we were requested to discuss the reasons for considering gas-cooled reactors as principal contenders for both operational modes. We were also asked to review the advantages and disadvantages of each of the gas-cooled concepts that appear to be the most promising at this time. Finally, we were asked to make a preliminary assessment of the cost/benefit of these approaches.

### 2.2 Concepts Investigated

In order to address the first request (i.e., discuss the reasons for considering gas-cooled reactors), a brief review of the important merits and issues (including system mass) for gas-cooled, thermionic, and liquid-metal-cooled reactor systems was carried out. For the burst mode, stored energy systems and  $H_2/O_2$  combustion systems were also included in the comparison.

When the various gas-cooled reactor concepts were compared to each other to determine the most promising, only the reactor and shield subsystems were evaluated. It was assumed that the balance of the system was the same for all gas-cooled concepts. For the burst mode, open-cycle cooling with hydrogen was assumed, using a gas-turbine power-conversion system. A closed Brayton power-conversion cycle was used for the MMWSS mode, with helium as the working fluid. The gas-cooled reactor concepts reviewed are described in Appendix B and summarized as follows:

- Particle-Bed Reactor (proposed by Brookhaven National Laboratories [BNL] and Babcock and Wilcox [B&W]). This concept incorporates a number of fuel elements, each consisting of two concentric porous cylinders called frits. The space between the frits contains a bed of coated 500- $\mu$ m-diameter  $UC_2$  particles. The inlet

cooling gas flows axially between the fuel elements, then radially through the outer frit and the particle bed, and exits through the center hole of the inner frit. A zirconium hydride moderator surrounds the fuel elements in the moderated design.

- Pellet-Bed Reactor (proposed by Science Applications International [SAI]). This approach uses large (approximately 1-cm diameter) graphite spheres (called pellets) imbedded with coated  $UC_2$  particles. These spheres make up the entire core, with no internal frits and no (or minimal) internal structure. Cooling gas flows directly from the cold end to the hot end of the cylindrical bed volume.
- NERVA Derivative Reactor (proposed by Westinghouse [W]). This reactor consists of bundled fuel modules. Each module incorporates a number of hexagonal fuel elements, surrounding a central hexagonal support element, with a cooled tie tube. Several fuel types have been proposed and tested. The basic NERVA fuel element consists of  $UC_2$  fuel particles embedded in a hexagonal graphite matrix. A typical fuel element is 1.91 cm across the flats with 19 small (2.5-mm-diameter) coolant holes. In our preliminary report we evaluated the NERVA reactor based on the NRX and XE' reactor tests which do not contain a  $ZrH_{1.7}$  moderator. Our NERVA reactor mass estimates, however, were based on a  $ZrH_{1.7}$  moderated NERVA. In this report both the unmoderated and moderated NERVA mass estimates will be presented, but our conclusions are based only on the unmoderated NERVA reactor mass and performance.
- PLUTO Derivative Reactor (proposed by Lawrence Livermore Laboratories [LLL]). The PLUTO derivative reactor core is made up of hexagonal fuel elements stacked together, with no internal structure. The fuel is a  $UO_2$ -BeO composite, 0.68 cm across the flats with a single large (4-mm-diameter) coolant hole.
- NERVA/PLUTO Hybrid Reactor (proposed by LLL and Westinghouse). This concept utilizes the PLUTO geometry and the basic ( $UC_2$ ) NERVA fuel type.
- $UB_2$  Reactor (proposed by LLL). The  $UB_2$  reactor uses the PLUTO geometry with  $UB_2$  fuel in a  $B_4C$  matrix.
- Cermet Reactor (proposed by General Electric [GE]). The cermet reactor fuel consists of  $UO_2$  in a tungsten matrix. The concept investigated consisted of

hexagonal fuel elements, 3.7 cm across flats surrounded by a thin cladding. The fuel element has 19 coolant channels, and each coolant channel is clad with a thin tube. (The fuel used in this concept is a derivative of the 710 program.)

- Wire-Core Reactor (proposed by Rockwell). The wire-core reactor utilizes thin fuel wires woven between spacer wires to form an open-weave three-dimensional mesh. Coolant flows from a central inlet plenum radially out through the wire fuel mesh. The proposed fuel consists of tungsten-clad UN fuel approximately 1 mm in diameter.
- Foam-Fuel Reactor (proposed by B&W) - The foam-fuel reactor is not well defined. The fuel consists of a  $UC_2$  fuel in the form of a porous foam coated with graphite and ZrC. The coolant gas passes through the pores in the fuel. We assumed that the foam fuel occupies the location of the particle bed in the particle-bed concept and that the foam-fuel approach may be considered as an alternative to the particle-bed reactor.

### 2.3 Study Limitations

A considerable effort was expended in the brief time allotted for this study. Several thermal/hydraulic models were created, and many concepts and alternatives were explored. The review encompassed neutronics, materials, operational stresses, thermal analyses, mass studies, fabrication processes, safety, and other considerations; however, given the time constraints, it was impossible to carry out an exhaustive study. Furthermore, the mass analysis was necessarily limited to approximate methods. In addition, this preliminary review was constrained by the limited information available to us at the time. Nonetheless, we feel confident about the fundamental conclusions drawn from this study and presented in the executive summary (Section 1). Hence, this study should serve as a useful guide to proposers and reviewers.

All of our conclusions are based on our initial assumption that there are no significant safety or power ramp-rate problems associated with any of the concepts. Although we have carried out a preliminary study of ramp-rate and safety considerations which support our conclusions, a final assessment of these concepts must await a more complete review.

The conclusions reached in this study are limited to the applications discussed in this document. The rank order for these basic concepts may be radically different for other



power ranges, operating times and missions. Furthermore, the assessment of fuels for gas-cooled reactors should not be applied to concepts using other coolants.

It should also be emphasized that concepts were reviewed in this document, not proposals or proposers. In fact, there was no discernible correlation observed between the rank order of the concepts and the level of effort or quality of the proposals.

### 3.0 REVIEW APPROACH

#### 3.1 System Studies

Studies were carried out to determine the reasons for considering gas-cooled reactor systems as contenders for both the burst and MMWSS operational modes. All potential nuclear and nonnuclear candidates were explored for this review. Most of this effort focused on system mass studies, using the model described in Reference 2. Merits and issues, other than mass, were also considered. The results of the mass study and the review of the merits and issues were then used to draw our conclusions regarding the utility of gas-cooled reactors in burst and MMWSS applications.

#### 3.2 Gas-Cooled Reactor Concept Studies

In order to determine the principal gas-cooled reactor concepts for both operational modes, we carried out an extensive review of the nine concepts identified in Section 2.2. Since mass can be an important consideration, a major effort was made to estimate reactor and shield masses. Detailed calculations could not be performed for the many concepts and alternatives in the time available; consequently, the RSMASS code (Reference 3) was used as the principal tool for obtaining mass estimates. In order to provide the thermal/hydraulic input data required by RSMASS, several thermal/hydraulic models were created, and numerous calculations were performed. Transport-theory and Monte Carlo neutronics calculations were used to provide criticality input data for RSMASS. Several of the concepts were not well defined; consequently, we found it necessary to evaluate alternatives to the proposed design parameters if we felt that the parameters were not optimal for the intended application. This approach placed an additional burden on the reviewers, since the alternatives we developed to the proposed concepts also had to be considered; however, we felt that the consideration of variations was essential to a fair review of the concepts. Numerous parameter studies were performed on fuel loading, coolant hole size, fuel and cladding materials, fuel element size, flow paths, core length-to-diameter (L/D) ratios, etc., to determine minimal reactor and shield masses. Although a substantial calculational effort was devoted to estimating reactor and shield masses, mass was treated as only one of several attributes rather than as a dominant consideration.

In order to provide a fair review of all of the concepts, nuclear engineers were assigned to represent proponents and opponents for each concept. A list of 37 power system attributes (e.g., technical risk, development cost, mass) was used as a guide for the review; however, since the scope of this detailed comparative evaluation was limited to gas-cooled

reactors, only a few of the attributes were considered to be discriminators.

The proponents and opponents for each concept presented reasons for scoring their concepts as either "good," "fair," or "poor" for each attribute. A considerable review and study was done to justify these scores. After a thorough discussion, a group consensus was reached for the score. The attributes were then ranked in order of importance, and the concepts were ranked in order of the most promising concepts. In this review, the burst mode and the MMWSS mode were considered separately. Bimodal systems were not reviewed because of lack of time and of adequate concept definition.

## 4.0 SYSTEM STUDY RESULTS

### 4.1 Burst Mode

Mass estimates were made for both open- and closed-cycle systems for burst-mode operation, using the system mass model discussed in Reference 2. The open systems consisted of gas-cooled reactor and hydrogen-oxygen combustion systems. The closed systems included energy storage and closed thermodynamic systems, and a thermionic reactor system with thermal storage. For the open-cycle reactor system, it was assumed that the hydrogen exiting the weapon system could be used as the reactor coolant and turbine working fluid.

It is apparent from this study (see Appendix A) that the open-cycle systems offer a significant mass benefit over other power systems. A major issue associated with open-cycle cooling, however, is the potential for contamination and obscuration of sensors and beams by the power system effluent.

### 4.2 MMWSS Mode

A one-year total operating life was assumed for the MMWSS mode. Closed-cycle Brayton gas-cooled reactor systems were compared to liquid-metal-cooled reactor systems with a Rankine power cycle and to thermionic reactor systems (see Appendix A). System mass estimates were made, using the model described in Ref. 2 at 10 MW electrical. The specific weight estimates for these systems are compared in Figure 4-1. The gas-cooled reactor system mass is somewhat greater than the mass of liquid-metal-cooled reactor systems and approximately equal to the thermionic reactor system masses. The component and total system masses for these systems are also given in Appendix A.

If mass were the only consideration, these results would not be favorable for gas-cooled reactors because the mass penalty is significant. However, Rankine-cycle concepts are associated with significant materials, safety, and two-phase fluid issues, and there are a number of important thermionic concept issues relating to materials, fuel fabrication, and reliability. These issues (discussed in detail in Appendix A) are significant enough that gas-cooled reactors should still be considered as major contenders for MMWSS operations.

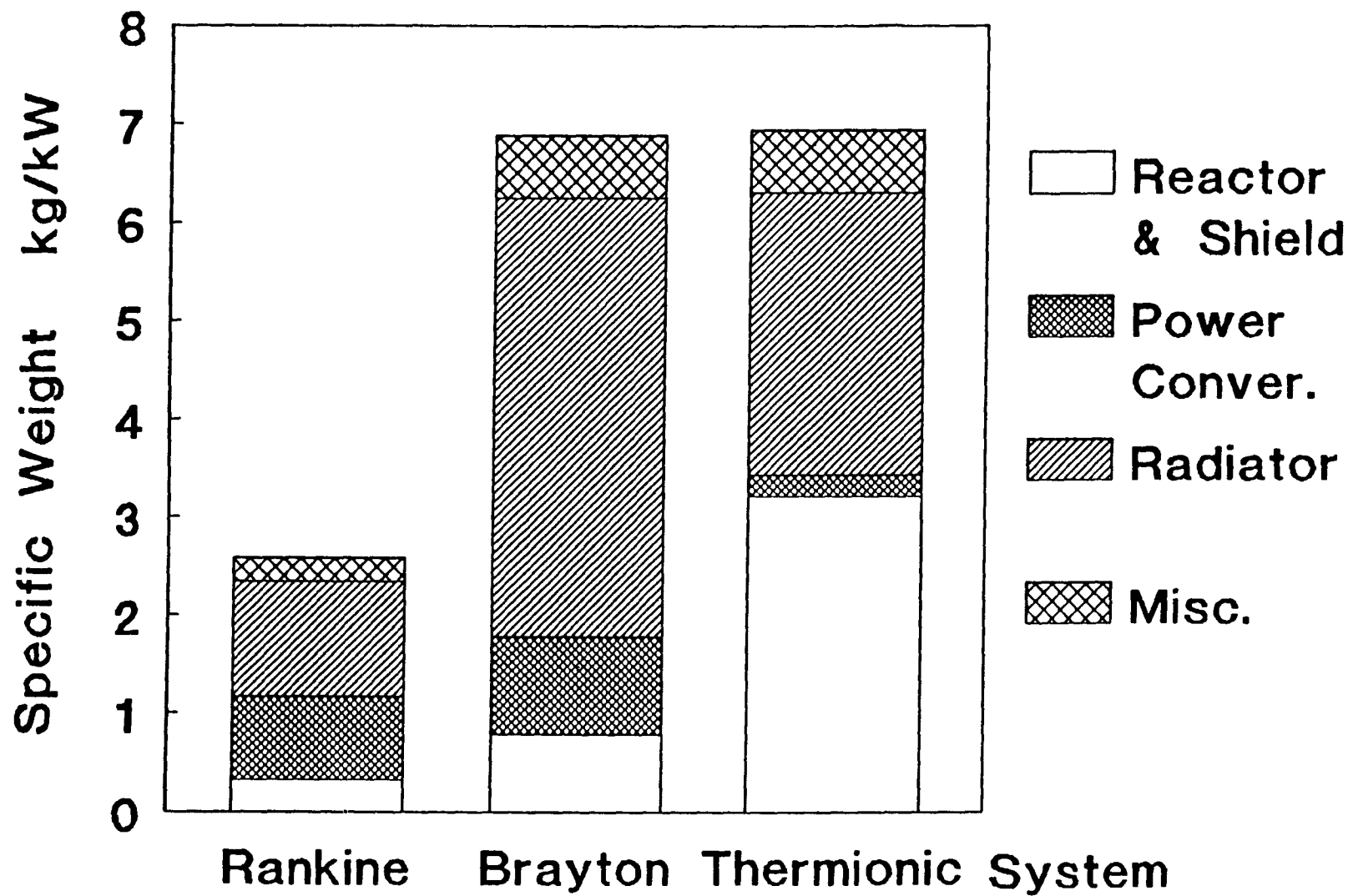


Figure 4-1. Comparison of Specific Weights of Multimegawatt Space Power Systems for the MMWSS Mode Assuming 10-MWe Power for 1 Year of Operation

## 5.0 COMPARISON OF GAS-COOLED REACTOR CONCEPT MASSES

### 5.1 Mass Study Ground Rules

Historically, mass has been a dominant concern for space-based systems. Although system mass is expected to be an important consideration for MMW space power systems, it is incorrect to assume that any individual component, such as the reactor and shield, should be selected principally on the basis of mass considerations. It must, however, be determined whether the component mass is significant and whether there are sufficiently large differences among the concepts to justify discrimination based on mass. For this reason, a major part of this review was directed toward estimating the reactor and shield masses of the various gas-cooled reactor concepts.

Since many of the proposals were submitted only recently and without the benefit of adequate funding to perform tradeoff studies, the design and operational parameters suggested in these proposals do not represent optimal choices. In some cases, we found that the reactor and shield masses obtained by using the proposer's parameters were very large. By varying design parameters, we were able to reduce the reactor and shield masses for some of these concepts by more than an order of magnitude. Consequently, in order to provide a fair and meaningful mass comparison, we used the proposers' parameters as the base case and performed numerous parametric studies to find a minimal mass. Alternative materials were also considered. In some cases, a decision had to be made whether a parametric variation would constitute a different concept. Although a significant effort was expended to reduce mass by varying parameters such as fuel loading, fuel-element size, and void fraction, the resulting masses should not be considered as representative of final optimized designs. Further mass reductions may be possible with any of the proposed concepts. It was often necessary to make some assumptions about the limitations of fuel that had not been fabricated or tested under the proposed conditions. These assumptions also influence the mass estimates.

Comparisons of various concept masses could be questioned because some of the proposers made optimistic assumptions while others used conservative assumptions. To avoid this problem, we used consistent assumptions to the maximum possible extent. Uniform assumptions were applied to all concepts unless an aspect of a design was unique to a particular concept and could not be used in other concepts. A good example is the pressure vessel. The pressure vessel was assumed to be Inconel 718 and always located outside of the reflector. These uniform assumptions resulted in mass predictions that are somewhat different from the masses that would be obtained if the proposers' assumptions were used.

Furthermore, reactor and shield masses can depend strongly on the choice of other system parameters such as operating pressure, compressor power and reactor outlet temperature. Although another choice of system parameters could result in very different reactor masses, the relative comparison of gas-cooled reactor masses should not be significantly affected.

Despite the caveats discussed in this section, the mass estimates presented in this document should determine the approximate magnitude of the reactor and shield masses and should identify any exceptionally heavy or light concepts.

## 5.2 Burst-Mode Mass Estimates

The estimated masses of the gas-cooled reactor concepts for the burst mode are presented in Figure 5-1. For our calculations, a thermal power of 1000 MW and an electrical power of 500 MW were assumed. (This large enthalpy extraction is characteristic of open cycle systems.) Also, a 1200 K gas outlet temperature and a 2000-second operating time were assumed. (A discussion of the mass calculational effort is given in Appendices C and D.) In all of these calculations, a payload separation distance of 25 m was assumed, and the dose limits given in the Requirements document (Reference 4) were used. For these dose limits, no shielding was required for the payload in burst-mode operation. Some shielding to protect actuators, etc., may be required, but this type of shielding was not included in these estimates. The uncertainty limits given in Figure 5-1 are based on the accuracy of the model, the uncertainty in the basic data (e.g., fuel performance parameters), and the potential for optimization for each specific concept. For example, a mass reduction of only 10 percent due to optimization (half our nominal allowance) was used for NERVA since it seems unlikely that optimization of a mature concept will result in significant mass reduction. On the other hand, a 40 percent mass reduction for optimization (double our nominal allowance) was used for the particle-bed concept, since the neutronic complexity of this concept prohibited extensive optimization with the RSMAS model.

As mentioned earlier these estimates were obtained after many parametric studies. For the pellet bed, the fuel loading, pellet size, and core geometry were varied. It was found that the pellet-bed mass could be reduced by almost a factor of 3 by assuming that the gas flows from the core center to the core periphery rather than from end to end. This alteration reduced the core pressure drop by reducing the flow-path length. In a more recent SAI report (Reference 5), the flow path for the proposed pellet-bed reactor was changed from axial to radial flow, which is now consistent with our assumptions. For the foam-fuel reactor, the very large uncertainty

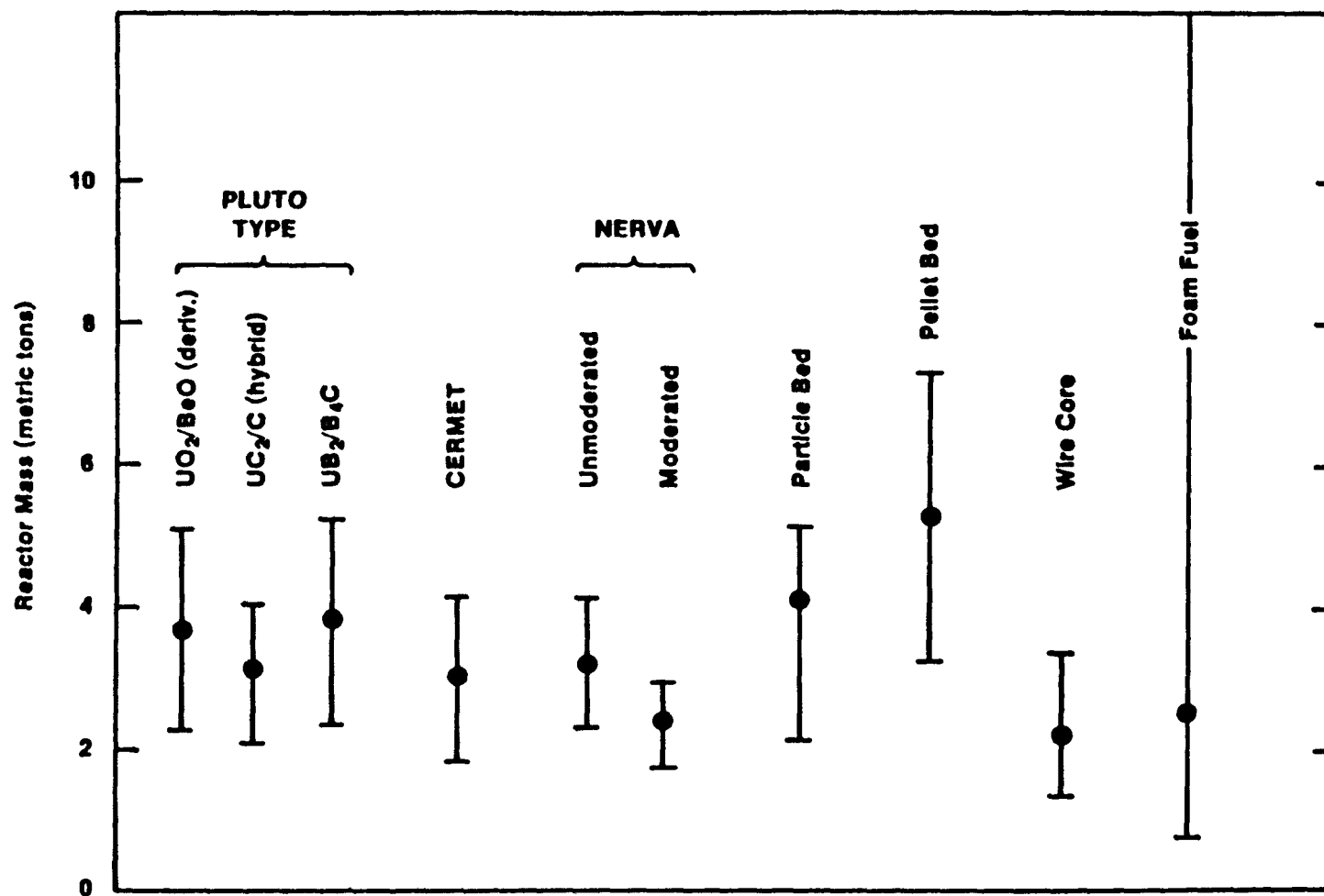


Figure 5-1. Estimated Masses for 500-MWe Burst-Mode Gas-Cooled Reactors



limits are associated with substantial uncertainties in the foam fuel characteristics and performance.

Two important observations can be made from the burst-mode mass estimates. First, the reactor masses are relatively small compared to the total power system mass (about 2 percent). Second, the mass variation among the concepts is within the uncertainty of the calculations. (The uncertainty limits should be used when comparing concepts, rather than just using the nominal mass estimates.) In other words, reactor mass is not a discriminator among gas-cooled reactor concepts for the burst mode.

### 5.3 MMWSS-Mode Mass Estimates

The estimated reactor-plus-shield masses for the MMWSS mode are presented in Figure 5-2. A power of 10 MW electrical (50 MW thermal) and 1 year of full-power cumulative operation were assumed for these calculations. Reactor inlet and outlet temperatures were assumed to be 900 K and 1500 K, respectively.

As for the burst mode, the reactor and shield mass uncertainties overlap for the MMWSS mode; however, the reactor and shield masses are generally greater than for the burst mode and represent a larger fraction (about 15 percent) of the system mass (see Section 4.2). Furthermore, the uncertainty bounds are much larger for the MMWSS case than for the burst mode. The large uncertainties for the  $UB_2$ , wire-core and foam-fuel reactors are due to the substantial uncertainties in the performance of these potential fuels. The larger uncertainty and the relative importance of the reactor and shield mass for the MMWSS mode suggests that reactor mass could qualify as a discriminator for steady state systems. On the other hand, the mass differences among the concepts will not be overwhelming and the large uncertainties do not permit an unequivocal ranking of the concepts by mass.

Based on the considerations described above, mass was used as a discriminator, but it was de-emphasized by ranking the importance of the mass issue below technical risk and development cost. Scoring for mass was based on the nominal mass estimate and the upper bound of the mass uncertainty. Very little variation resulted in the scores for mass among the concepts for the MMWSS mode.

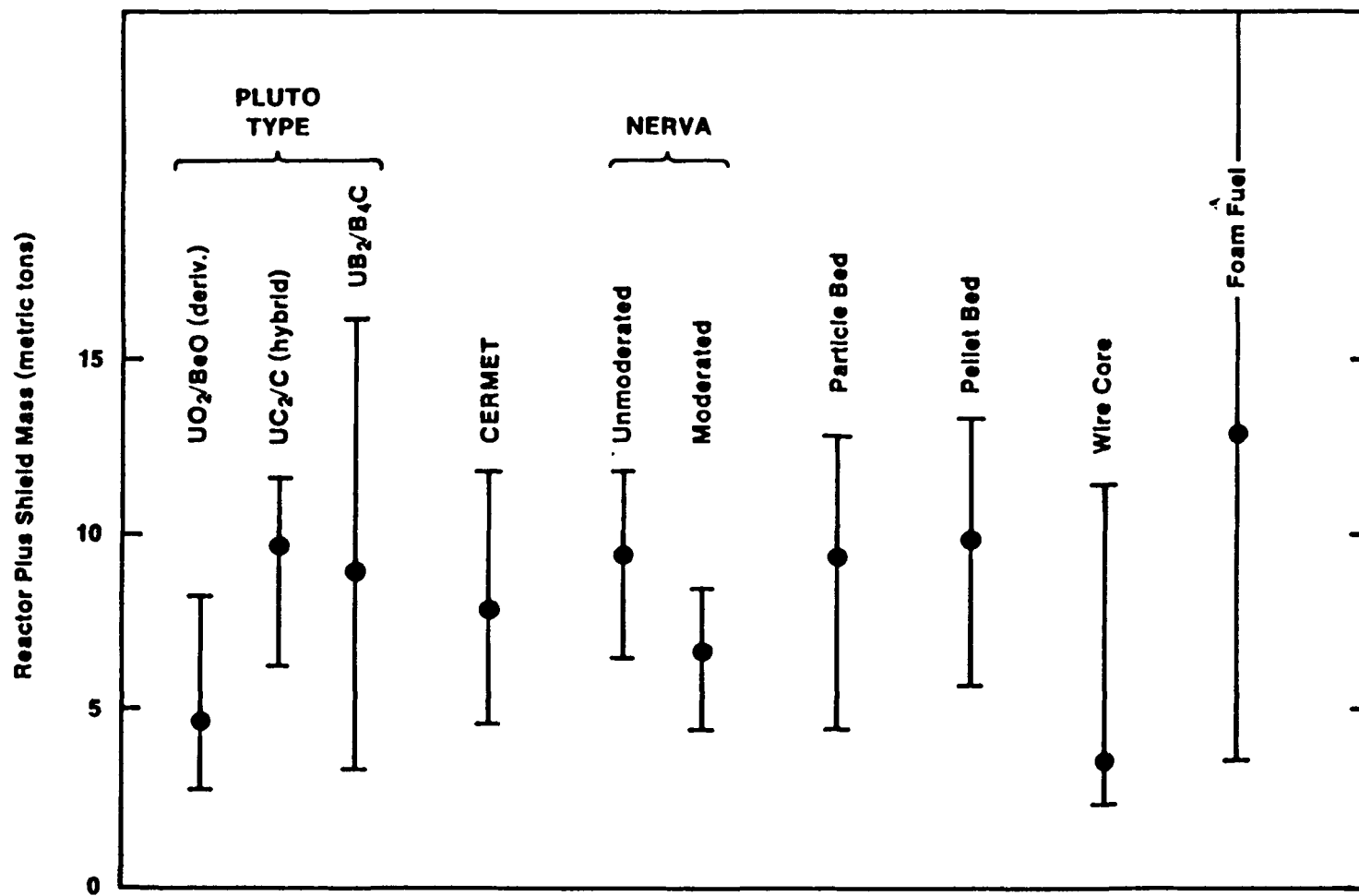


Figure 5-2. Estimated Masses for a 10-MWe MMWSS-Mode Gas-Cooled Reactor Assuming 1 Year of Operation

## 6.0 BURST-MODE CONCEPT RANKING

In order to provide a fair review, engineers were selected to represent either the "pro" or "con" for each concept, and a list of power-system attributes was used as a guide for the evaluation. Because the review was limited to gas-cooled reactors and shields on the conceptual level, only a few of the attributes were determined to be discriminators. The selected discriminators were technical risk, mass, development cost, fabrication cost, safety, and modal shift time (the time required to attain full power). The other attributes were found either to be nondiscriminators or to be covered by another one or more of the attributes used in this review. This does not imply that other attributes are not important; there are a number of important attributes that do not serve as discriminators among gas-cooled concepts. After a great deal of discussion and examination of opposing arguments, a consensus was reached on a score for each concept and each attribute. Scores were awarded as "good," "fair," or "poor." In some cases, finer discrimination was necessary, and a plus or minus was added to the score. After the scoring had been completed, a consistency check was made and the scores were adjusted. The attributes were then rank-ordered by importance.

The scores for each attribute by concept are discussed in Appendix E and summarized in Table 6-1. The concepts given in Table 6-1 have been ranked in descending order; i.e., the most promising concept is at the top of the list. The importance of the attributes are also ranked in descending order with the most important attribute given first (left side). Scores for safety and modal shift time could not be provided because there was insufficient information available at this stage to score any concept differently from any other concept; however, some comments on safety are appropriate. Several concepts may have an inherent advantage in regard to water-immersion sub-criticality and/or compaction and reconfiguration accidents; however, all of the concepts should be amenable to engineering around these issues. In regard to loss-of-flow accidents, the concepts with high heat capacities may have advantages over concepts with low heat capacities. Furthermore, the NERVA concept possesses the unique advantage of a redundant and independent coolant path through the cluster tie tube. Cooling the tie tubes with a separate coolant loop does, however, represent a deviation from existing NERVA technology.

Another consideration that was not factored into Table 6-1 was the possibility that a much higher reactor-outlet temperature may be desired. The mass analysis for the burst-mode reactor assumed that a reactor-outlet temperature of only 1200 K would

be required for SDI applications. This assumption was based on a system mass analysis of gas-cooled open-cycle power systems in which a turbine is used for power conversion. The mass study showed that only a marginal mass benefit was obtained by going to higher outlet temperatures.

Table 6-1

Burst-Mode Attribute Scores for Gas-Cooled Reactors

Reactor	Attribute			Mass
	Technical Risk	Development Cost	Fabrication Cost	
<u>First Choice:</u>				
NERVA Derivative	G	G	F	G
<u>Second Place:</u>				
PLUTO Derivative	G-	F+	F	G
NERVA/PLUTO Hybrid	G-	F	F	G
Pellet-Bed	F+	F	G	G
Wire-Core	F	F	G	G
Particle-Bed	F	F	F	G
Cermet	F	F	F-	G
<u>Not Recommended:</u>				
UB <sub>2</sub>	F-	P	F	G
Foam-Fuel	P	P	G	G

For the intended purpose of this document, the 1200-K outlet temperature should be a reasonable choice for SDI power systems. Our calculations show that even if the outlet temperature were increased to 1500 K, the conclusions in this document would not be altered. Some considerations, however, might favor temperatures on the order of 2000 K or more. If weapon efficiencies over 60 percent are postulated (it has been suggested that EML gun weapon system efficiencies may be greater than 60 percent), then reactor-outlet temperatures on the order of 2000 K may be desired. The suggestion that very efficient systems justify higher outlet temperatures when the reactor is combined with a turbo-alternator conversion system is a subject of much discussion, and we are conducting studies to attempt to resolve this issue. Nonetheless, the effect of a 2000-K outlet temperature on the burst-mode reactor conclusions was investigated. None of our conclusions were altered except for the  $\text{UO}_2/\text{BeO}$  reactor. A low-temperature eutectic just above 2000 K and a phase transition around 1900 K for  $\text{UO}_2\text{-BeO}$  fuel effectively eliminates the PLUTO reactor from consideration for these outlet temperatures. If the reactor is also to be considered for a nuclear rocket or if an MHD system is required, then temperatures as high as 3000 K may be desirable; at 3000 K the fuel choice may be limited to UC-ZrC.

The NERVA derivative reactor appears to have a substantial lead over the other concepts. The NERVA reactor has demonstrated successful operation for operating conditions in excess of the expected SDI requirements during the NRX A-6 and XE' reactor demonstration tests (see Appendix G). The fuel and reactor performed well for 60 minutes at gas-exit temperatures of 2280 K and even attained temperatures of 2550 K for a few minutes. Some graphite erosion occurred, producing acetylene and methane, but the erosion did not compromise the fuel or reactor experiment in any way. Also, these quantities of methane and acetylene are not expected to adversely affect the turbine or other downstream components (Reference 6).

There are a number of earlier ROVER test failures and later test results, such as the Pewee test results, that showed fuel failure. The Pewee reactor, however, was a test bed for experimental fuel, not a reactor demonstration. In fact, the failure at the end of the Pewee test was associated with improperly fabricated test-bed hardware.

The retrievability of the A-6 reactor design was verified by an onsite review of drawings, fabrication procedures, materials certification, etc. (Appendix H). Consequently, the NERVA reactor should be considered as established technology which is ready to be incorporated into designs specifically for SDI burst-mode applications. Years of technology and engineering

development work would be required for other burst-mode concepts to reach this stage.

The final development of the NERVA derivative reactor will, nonetheless, require several years to retrieve the technology, complete the design, and build a prototype power system. For example, advanced fuels developed at the end of the NERVA program have essentially eliminated the principal mechanism (coating cracks) for graphite erosion; these advanced fuels should be considered for the NERVA derivative reactor design. Also, design changes (such as independent tie-tube cooling) and technology advances should be explored and incorporated where appropriate.

Although safety and modal shift time (ramp-up time to full power) were not scored in this evaluation, these conclusions are not expected to change. The NERVA derivative reactor should score well for safety, particularly if an independent coolant path can be incorporated. The modal shift time of the NERVA reactor has been considered, by some, a shortcoming if very fast ramps to full power are needed. The proposer claims, however, that the ramp-up time of 85 K/s was imposed by thermal stresses in the thick fuel endcap. They have redesigned the endcap and now feel that temperature rise rates in excess of SDI requirements can be obtained. In any event, if the reactor is maintained at hot critical conditions, rapid power-ramps should have a minimal impact on peripheral components.

The PLUTO derivative, NERVA/PLUTO hybrid, pellet-bed, wire-core, particle-bed, and cermet reactor concepts have been rank ordered, but the differences in the rank order are small, and a detailed evaluation of improved designs for these concepts might result in changes to these comparative rankings; consequently, these concepts are all ranked second.

The  $UB_2$  reactor and foam-fuel reactor appear to be poor candidates and are not recommended for burst-mode operation. These recommendations may seem to discourage innovative and revolutionary approaches; however, we felt that the concepts had to show some significant system benefit to justify the risk and cost of a revolutionary concept, and these did not. Since the NERVA approach uses established technology and appears to surpass the SDI burst-mode operational requirements, and should be capable of rapid power-ramps, other concepts would have to be clearly superior to the NERVA derivative concept to justify their development cost. None of the burst-mode reactor concepts we have seen falls into this category.

## 7.0 MMWSS-MODE CONCEPT RANKING

The procedure used for reviewing the concepts for the burst mode was also used to review the concepts for the MMWSS mode. The discriminators for the MMWSS mode include technical risk, mass, safety, development cost, fabrication cost, and the need for strategic materials. The summary of the attribute review for the MMWSS mode is given in Table 7-1. The scores for each attribute and concept are discussed in Appendix E.

For the MMWSS mode, the NERVA/PLUTO hybrid reactor and the NERVA derivative reactor concepts received the highest scores but did not demonstrate the commanding lead that the NERVA derivative showed for burst-mode operation. The scores for the PLUTO derivative ( $\text{UO}_2\text{-BeO}$ ) reactor, particle-bed reactor, and pellet-bed reactor differ only slightly, and these three concepts are all ranked, as a group, in second place, followed by the wire-core and cermet reactors. The  $\text{UB}_2$  and foam-fuel reactor concepts were not recommended for the MMWSS mode.

None of the gas-cooled reactor concepts were found to demonstrate an overwhelming system level benefit over the other gas-cooled reactor concepts for the MMWSS mode; consequently, the small differences in the concept ranking are due, primarily, to the current level of development of the various technologies. Since none of these technologies are fully developed, this rank order should not be overemphasized.

Table 7-1

## MMWSS-Mode Attribute Scores for Gas-Cooled Reactors

<u>Reactor</u>	<u>Attribute</u>				
	<u>Technical Risk</u>	<u>Development Cost</u>	<u>Mass</u>	<u>Fabrication Cost</u>	<u>Strategic Materials</u>
<u>First Choice:</u>					
NERVA	G	F	G-	F	G
Derivative					
NERVA/PLUTO Hybrid	G-	F	G-	F	G
<u>Second Place:</u>					
Pellet-Bed	F+	F	G-	G	G
PLUTO	F	F	G	F	G
Derivative					
Particle-Bed	F	F	G-	F	G
<u>Third Place:</u>					
Wire-Core	F-	P	G-	G	F
Cermet	F-	P	G-	F-	F
<u>Not Recommended:</u>					
UB <sub>2</sub>	P	P	F+	F	G
Foam-Fuel	P	P	F	F	G



## 8.0 A NOTE ON BIMODAL REACTORS

As previously mentioned, bimodal reactors were not considered in this review. The effect of including bimodal operation is difficult to assess in this type of evaluation because the merits of bimodal reactors are not conclusive and because many combinations of power level and operating times could be considered. In previous studies, it was found that, for some conditions, the reactor and shield mass may be greater for a bimodal reactor than the combined mass of a two-reactor system. For other conditions, the reverse will be true. Also, the complexity associated with mode switching and the potential for fuel damage during mode switching must be weighed against the benefit of having a reactor already hot and critical when burst operation is required. In any event, consideration of bimodal reactors is beyond the scope of this study. (See Reference 7 for a further discussion of bimodal reactors.)

## 9.0 CHANGES FROM THE PRELIMINARY DOCUMENT

Following the completion of our study a preliminary document was issued for comments to the Multimegawatt concept proposers and cognizant SDI Space Power Office staff. Our responses to these comments are presented in Reference 8. Some changes to the report were made as a result of these comments. Also, additional information and improved modeling resulted in some modifications to the report. The most significant changes are discussed below:

1. The statement that NERVA technology has been demonstrated was qualified by the statement:

"If very rapid power-ramps are needed, demonstration of the NERVA rapid start capability will be required."

2. The ranking into broad categories (first choice, second place, third place, and not recommended) was emphasized by including a table in the executive summary listing the concepts in each category.
3. For the MMWSS mode, the cermet concept was moved from the not recommended category to the third place category.
4. The reactor mass estimates were recalculated using the latest RSMASS model and input parameters. A three percent core pressure drop was assumed, for the MMWSS mode, instead of a one percent pressure drop. This change was made since the system mass is typically optimized for a pressure drop of a few percent. The higher pressure drop results in a significant decrease in reactor and shield mass, but the total system mass change is slight ( $\approx 1$  percent) since the system efficiency is reduced. A three percent pressure drop is also more in line with most of the concept proposers assumptions. The change in pressure drop did not have a major impact on the relative standings of the reactor and shield masses among the various concepts. Finally, a greater effort was expended to optimize the concepts for minimum mass. Little or no optimization calculations were performed for several of the concepts for the MMWSS mode in the preliminary document. No change in concept ranking within the broad categories resulted from these improved mass calculations.
5. The uncertainty limits for the mass calculations in the preliminary document were an assumed percentage of the calculated reactor mass. In this document, the modeling uncertainties, the uncertainty in the parameters and the

potential for mass reduction by optimization was used to obtain the uncertainty limits for each specific concept.

6. Updated system masses are presented in this document. Shorter operating times were assumed for the burst-mode power system mass calculations.

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## APPENDIX A

### SYSTEM COMPARISON

M. W. Edenburn

## A.1 Burst Mode

Space-based ABM weapons will require hundreds of megawatts of electric power during a battle engagement. A variety of burst-mode power systems have been proposed to fulfill this energy need; Figure A-1 compares the specific masses (kg/kW electrical) for several of them as a function of engagement time from 0 to 800 s. A power system's specific mass is equal to its mass divided by its power output. Within the accuracy of our present models, the masses of all of these systems are proportional to, or very nearly proportional to, the power they generate; hence, their specific masses do not depend strongly on power level over a range of 100 to 1000 MW. All of these specific weight estimates include the mass for power conditioning. The specific weights for stored energy systems, as well as the Rankine and Brayton systems, include the mass of radiators to remove waste heat. Table A-1 itemizes component masses for each of the systems. These mass estimates were obtained using the system mass, computer models described in Reference 1.

The open, gas-cooled reactor system is the lightest of those shown in the figure. It has a particular advantage over all of the other systems when operation time is greater than 500 s because its mass does not increase as its operation time increases. The reactor system's mass, exclusive of coolant, does not increase because component masses depend on the power generated but not on operation time. For example, the reactor mass depends on power level, and not on operation time, because the mass of fuel it requires is typically determined by its specific power and not by fuel burnup.

The hydrogen-oxygen combustion system is very close in mass to the reactor system but is heavier, because the oxygen and associated equipment it requires are much heavier than a reactor. Both of these systems are classified as "open" because their turbines exhaust hydrogen, or hydrogen and steam, into space after energy has been extracted. Both systems use hydrogen exhausted from the weapon. The reactor system heats hydrogen to power its turbine, and the combustion system uses hydrogen for combustion to power its turbine. The mass of hydrogen has not been added to the power system's mass because hydrogen is a waste product from the weapon's cooling system. The weapon requires more hydrogen than the power system for weapons currently being developed.

The other power systems shown in the figure are closed--they do not exhaust effluent into space. They are much heavier than the open systems, with two exceptions. The exceptions are energy storage systems and systems that store thermal energy, but they compete with the open systems only at operation times shorter than 200 to 300 s. It is generally

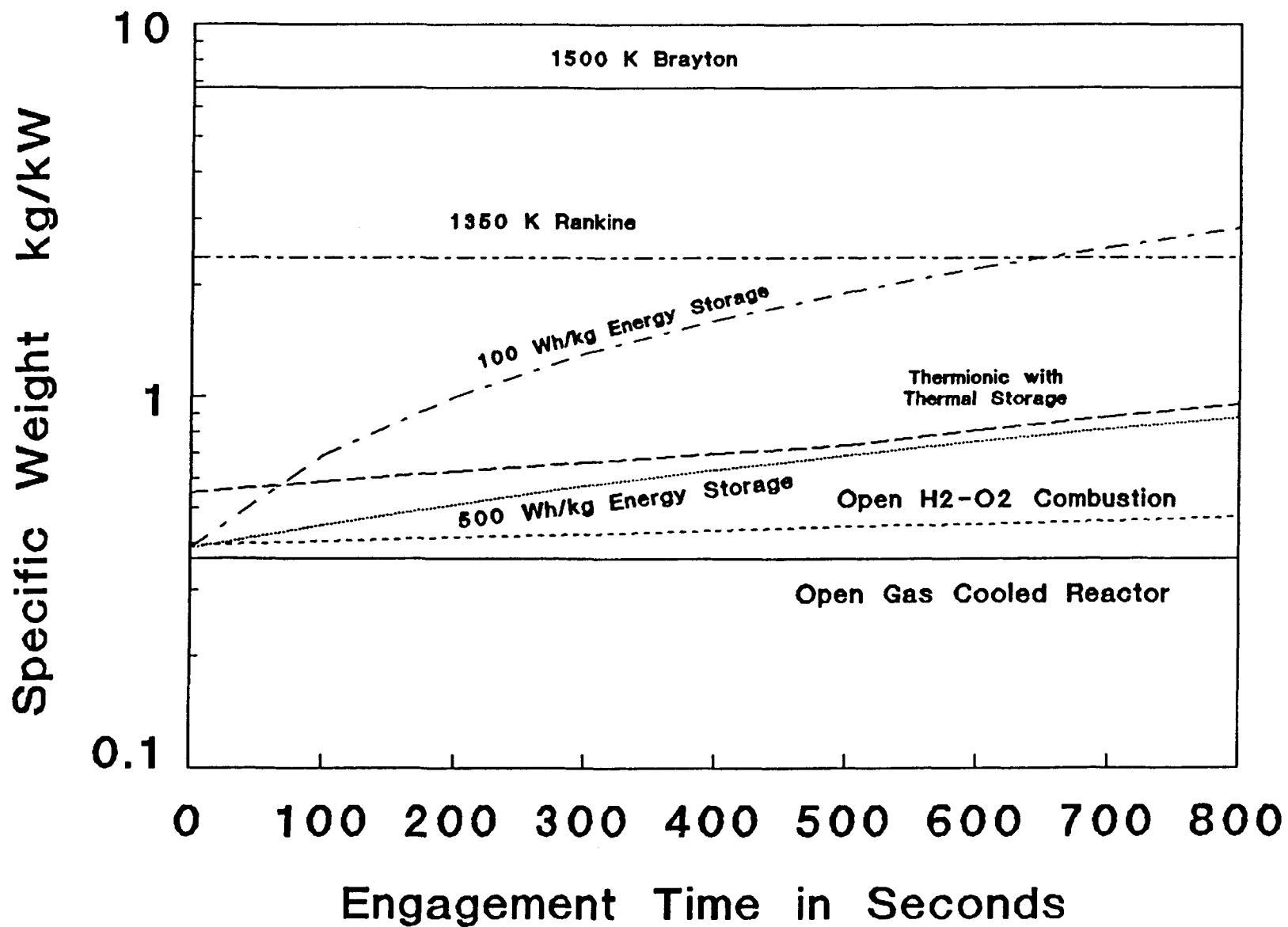


Figure A-1. Comparison of Specific Weights of Burst-Mode Space Power Systems

Table A-1

## Comparison of Burst-Mode Space Power Systems

500-MW, 600-s operation + 400-s testing  
weights in metric tons

Component	Open Gas-Cooled Reactor	Open H <sub>2</sub> -O <sub>2</sub> Combustion	500 Wh/kg*** Energy Stor	100 Wh/kg*** Energy Stor	1500 K Brayton	1350 K Rankine	Thermionic*** w/Thermal Str
Power Source	3	42	166	833	47	21	214
Turbine and Generator*	63	64	---	---	131	143	---
Compressor	---	---	---	---	236	---	---
Radiator	---	---	24.0	24.0	2452	585	---
Vapor Separator	---	---	---	---	---	115	---
Power Conditioning**	100	100	100	100	100	100	100
PC & Gen Radiator	---	---	53	53	115	115	53
Miscellaneous	<u>17</u>	<u>21</u>	<u>34</u>	<u>101</u>	<u>308</u>	<u>108</u>	<u>37</u>
TOTAL	183	227	377	1111	3309	1187	405

\* We have assumed that the specific mass of a generator is 0.1 kg/kW for a standard generator. The mass of a cryogenic generator may be a factor of 4 lighter.

\*\* The specific mass of power conditioning used here is 0.2 kg/kW. This is an average mass estimate that depends on the type of weapon system to be powered. We believe that power conditioning for beam weapons may be somewhat heavier but that it will be somewhat lighter for electromagnetic launcher (EML) weapon systems.

\*\*\* These systems will operate continuously for 600 s. The added weight for recharging the energy systems has not been included.



perceived that closed systems have an advantage over open systems because they have no effluent to interfere with the weapon and its associated sensors. On the other hand, a closed system offers no advantage if the weapon's cooling system is open. Studies we have conducted indicate that closed weapon-cooling systems are so heavy that they are impractical if cryogenic cooling is needed.

Table A-1 itemizes component masses for seven different 500-MW (electrical) systems that operate for 600 s of engagement time plus an additional 400 s for testing. Systems that can be recharged are assumed to operate 600 s and systems that cannot be recharged are assumed to operate 1000 s. There are several interesting points that should be noted in this table:

- Reactor mass in the open, gas-cooled reactor system represents less than 2% of the system's mass. The reactor's contribution climbs to 4% if the mass of power conditioning is subtracted from the total.
- Power conditioning represents a major portion of the mass for all of our "generic" systems, but our estimates of power-conditioning mass are uncertain at present and will actually depend on the type of weapon to be powered.
- EML weapons will require almost no power conditioning because the weapon can use power directly from the power system.
- FEL and NPB weapons will use substantial power conditioning because they need carefully regulated, 1000 kV DC power for RF generation.
- Closed, thermodynamic-cycle systems (Brayton and Rankine) are dominated by radiator mass.

Each of the systems will now be discussed in more detail.

#### A.1.1 Open, Gas-Cooled Reactor System

The open, gas-cooled reactor system is the lightest of the systems shown. It consists of an unshielded\* hydrogen-cooled reactor, a turbine, a generator, and a power-conditioning unit. Waste hydrogen coolant exits a weapon at 300 K and

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\*No payload shield was required for the burst mode when the dose limits given in the requirements document [Reference 2] are used and a payload separation distance of 25 m is assumed.

13.6 MPA,\* cools the power-conditioning unit and the generator, and enters the reactor. The hydrogen is heated by the reactor to 1200 K and enters the turbine, which extracts the hydrogen's energy and exhausts it into space. Shaft power from the turbine drives the generator, which generates electrical power for the weapon and for the hydrogen pump. Component masses for this system were estimated using algorithms developed for the Sandia--NASA LeRC space power system evaluation project, which is being conducted to support the SDI Space Power Office's Independent Evaluation Group (IEG). We estimated reactor mass using an algorithm developed by Al Marshall at SNLA and turbine mass using an algorithm developed by Steve Hudson at SNLA. Generator mass is 0.1 kg/kW, and power-conditioning unit mass is assumed to be 0.2 kg/kW. Although hydrogen mass was not included in Figure A-1, it was calculated by the system model, and to it were added tank mass, insulation mass, refrigeration system mass, and meteoroid shield mass.

The primary advantage of this system is its low mass. Its primary disadvantage is that it exhausts hydrogen into space. The Space Power Architecture Studies and Space Power, Inc., are addressing the effect this exhausted hydrogen will have on weapons and sensors. These studies are preliminary, but they indicate that the quantities of hydrogen associated with weapon cooling and power system operation may not be overly detrimental to weapon or sensor performance.

#### A.1.2 Open, Hydrogen-Oxygen Combustion System

This system is similar to the open reactor system, but instead of a reactor it uses oxygen to obtain combustion energy. The oxygen is burned with excess hydrogen to produce a mixture of hydrogen and steam at 1200 K to power the turbine. The turbine's exhaust is vented into space. The combustion system is slightly heavier than the reactor system but is much lighter than other systems. Besides low mass, its primary advantages are that it does not have the safety and environmental concerns that a reactor system has, and its development and possibly fabrication will be less expensive than for a reactor system. However, it exhausts steam and hydrogen into space. Preliminary results from the Space Power Architecture studies indicate that water vapor will not have a significantly more serious effect on weapons and sensors than will hydrogen.

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\*We now feel that a coolant pressure of only 4 or 5 MPa may be a more appropriate choice. This pressure difference will not alter our conclusions for the system masses or the relative standings of the various gas-cooled reactor concepts.

### A.1.3 Closed Rankine- and Brayton-Cycle Power Systems

Mass estimates for these systems were made using models developed to support the SDI Space Power Office's IEG. The primary advantage of these closed thermodynamic systems is that they produce no effluents; however, this advantage will not be realized if the weapon systems they power exhaust hydrogen effluent. Their main disadvantage is that they are heavy because they require radiators, which constitute the major portion of their masses. The Brayton system was assumed to have a turbine-inlet temperature of 1500 K, which is consistent with using superalloys with blade cooling. The Rankine system was operated at 1350 K, using superalloys; however, problems associated with two-phase liquid-metal flow, corrosion, and erosion need resolution.

### A.1.4 Energy Storage Systems

Energy storage systems comprise batteries, fuel cells, and flywheels. Figure A-1 shows two lines for energy storage. One is for a specific energy of 100 Wh/kg, and the other is for a specific energy of 500 Wh/kg. Present batteries, flywheels, and fuel cells have specific energies near 50 Wh/kg, so 100 Wh/kg represents a slightly advanced storage technology. Five-hundred Wh/kg represents a very advanced primary battery or fuel-cell technology. Projected masses for advanced energy storage systems fall between these two lines. We estimated system mass by dividing the required energy (power times time) by the storage device's specific energy, adding 0.2 kg/kW for power conditioning, adding the mass of a 1000-K radiator to dissipate 20% of the system's energy, and increasing the total mass by 10% to account for structure and miscellaneous items.

The advantage of energy storage systems is that they have no effluent, and they are relatively light when operation time is very short. However, when operation time is long, they are quite heavy.

### A.1.5 Thermionic Reactor with Thermal Storage

This in-core thermionic reactor uses LiH as a moderator and as a thermal storage medium. The waste thermal energy generated by the reactor is stored in its own core by heating and melting its LiH moderator. As with all thermal storage systems, mass increases with increasing operation time. The thermionic reactor's mass was estimated by Al Marshall using his reactor mass algorithms (Reference 3) and assuming an efficiency of 25%. A power-conditioning unit mass of 0.2 kg/kW was added to the reactor mass, and the sum was increased by 10% to account for structure and miscellaneous items. The advantages of this system are that it is simple, there are no moving parts, and it is closed. However, it is quite heavy for long engagement time burst-mode applications.

## A.2 Multimegawatt Steady-State (MMWSS) Mode

MMWSS-mode space-power systems may need to generate a few megawatts of power and operate for a total time of around 1 year. Unlike the burst systems, these power systems cannot use expendables, because the quantities required to operate for 1 year would be prohibitively heavy. Consequently, MMWSS power systems will be closed--they will not exhaust effluent into space. Figure A-2 and Table A-2 compare Rankine systems that use alkali-metal-cooled reactors, Brayton systems that use gas-cooled reactors, and reactor-powered thermionic MMWSS space-power systems designed to operate for a total time of 1 year at 10 MWe. Masses were calculated using algorithms developed in support of the SDI Space Power Office's IEG. Reactor masses were estimated using the reactor mass algorithms described in Reference 3. Mass estimates for the Rankine system are somewhat lower than for the other two systems because liquid-metal-cooled reactors are lighter than either gas-cooled or thermionic reactors at these power levels and assumed operating temperatures, and because Rankine radiators will operate at a higher temperature and will be smaller and lighter than the radiators for the other two systems.

The Rankine systems proposed for multimegawatt (MMW) space power use two-phase alkali metals, such as potassium or Li, as working fluids. Power system technology associated with two-phase fluid flow in a microgravity environment must be resolved. For concepts with in-core boiling, critical heat-flux concerns and reactivity effects associated with boiling may make two-phase issues more important for these concepts. In addition, very little long-term materials data exist for these liquid metals at the expected operating temperatures. Furthermore, enhanced erosion and corrosion from boiling must be addressed. Finally, some method for thawing the liquid-metal working fluid will be required for starting and restarting procedures. In spite of these developmental issues, the Rankine systems are potentially lighter and should be considered for development for space applications. Thermionic systems, shown in the figure to be heavier than Rankine systems, may compete on a mass basis if system efficiency reaches the 15 to 20% range. At present, we feel that the uncertainty in the achievable efficiency for these thermionic reactor systems is appreciable and may have a significant impact on the system mass. Brayton systems that use gas as a working fluid avoid most of the potential problems associated with liquid-metal-cooled reactors and may be a less risky option with significant technology development already in place. For this reason, we cannot rule out gas-cooled reactors for MMWSS-mode space applications, even though Brayton systems may be heavier than Rankine systems. We are

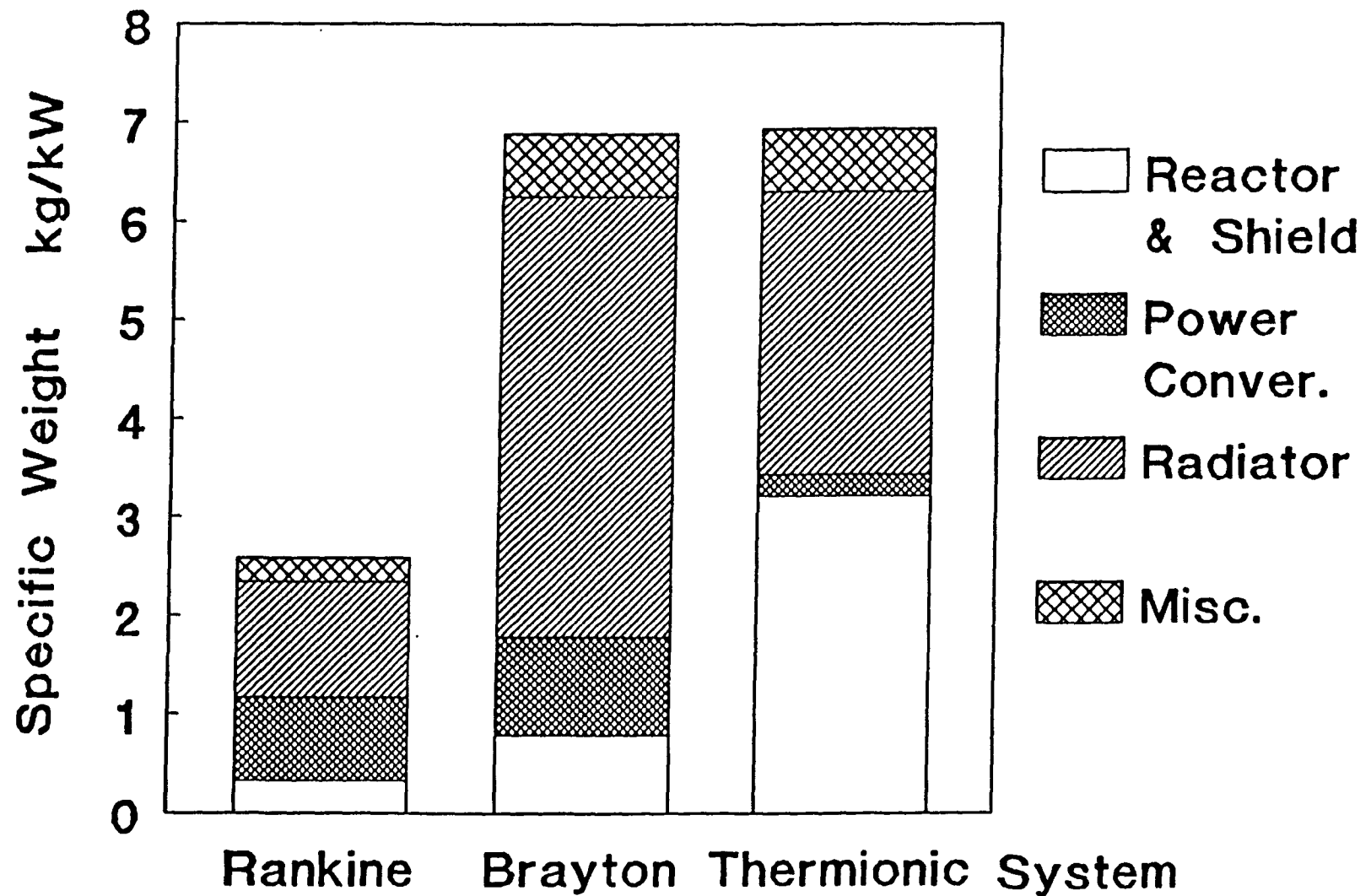


Figure A-2. Comparison of Specific Weights of MMWSS-Mode Space Power Systems

Table A-2  
MMWSS Space Power System Comparison  
10-megawatt, 1-year operation  
masses in metric tons

<u>Component</u>	<u>Rankine</u>	<u>Brayton</u>	<u>Thermionic**</u>
Reactor and Shield	3.3	7.8	32.1
Turbine	.9	1.2	--
Compressor	--	3.5	--
Vapor Separator	2.1	--	--
Generator*	1.1	1.1	--
PC and Gen. Radiator	2.3	2.3	1.1
Power Conditioning	2.0	2.0	2.0
Radiator and Condenser	11.7	44.8	28.8
Miscellaneous	<u>2.3</u>	<u>6.3</u>	<u>6.4</u>
Total	25.7	69.0	70.4

\* We have assumed that the specific mass of a generator is 0.1 kg/kW for a standard generator. The mass of a superconducting or hyperconducting generator may be up to factor of 4 lighter.

\*\* Large uncertainties in the achievable efficiency for thermionic systems may have a significant impact on system mass.

continuing to refine our mass-estimating algorithms for all of the power systems. While we expect the need for mass revisions in the future, we are confident that none of the revisions will exclude gas-cooled reactors from consideration.

### A.2.1 Rankine System

A direct, potassium-working-fluid cycle with a turbine-inlet temperature of 1350 K was evaluated in this study. The cycle is direct because the same fluid that cools the reactor is used as a working fluid in the turbine without an intermediate heat exchanger. In this cycle, the potassium is boiled in the reactor, and the fluid coming out of the reactor is a low-quality mixture of vapor and liquid at 1350 K. Boiling an alkali metal in the reactor's core without gravity is a technical issue that would require a development project to resolve. A vapor separator removes the liquid from the vapor and returns the liquid to the reactor's inlet. The vapor is sent to the turbine where it is expanded to generate shaft power. The fluid leaving the turbine is a high-quality mixture of vapor and liquid. The presence of liquid in the turbine requires that it be designed to accommodate liquid without significant erosion. From the turbine, the fluid passes through a condenser where energy is extracted and the vapor in the fluid is condensed to a liquid. The condenser is part of a heat-pipe radiator that radiates the waste heat to space. The behavior of the two-phase fluid in the condenser without gravity is a technical issue that will require resolution. From the condenser the fluid is pumped to the reactor. Another technical issue associated with alkali metals is that they can potentially dissolve small amounts of metal in the high-temperature parts of the cycle and deposit it in the low-temperature parts. The severity of this problem needs to be studied.

There are some safety concerns that must also be addressed for liquid-metal-cooled reactors, such as the potentials for liquid-metal fires and explosions prior to launch and for launch abort and reentry accidents. Also, the consequences of an accident may be more severe if energetic fuel/coolant interactions can occur. Since liquid-metal-cooled reactors have not been proposed with moderators, the reactor prompt-neutron lifetime should be short, which tends to make these reactors less forgiving of unplanned reactivity insertions.

Potential problems associated with boiling an alkali metal in a reactor core without gravity can be transferred to a heat exchanger by making the cycle indirect; that is, separating the reactor fluid from the cycle fluid by an intermediate heat exchanger. The heat exchanger's mass would be roughly equal to a vapor separator's mass, and the two types of systems would be roughly equal in mass and performance.

### A.2.2 Brayton System

The Brayton system consists of a gas-cooled reactor, a gas turbine, a radiator, a compressor, a generator, and a power-conditioning unit. A turbine-inlet temperature of 1500 K has

been used because it is near the limit for superalloys with blade cooling. Turbine-inlet pressure is 2.7 MPA, and a helium-xenon is used as a working fluid. Although the Brayton system is heavier than the Rankine system, it is simple, and all of its components have received some development. A variety of gas-cooled reactors have been built and tested, and gas turbines have been developed for air travel and terrestrial applications but need development for space. Proposed working fluids, helium and a helium-xenon mixture, are inert and are not expected to have serious corrosion or erosion problems, provided that the purity of the gas is maintained.

### A.2.3 Thermionic System

The thermionic system is shown to be roughly equal in mass to Brayton system, and it has the advantage that it has no moving parts. It is also a simple system, composed of a NaK-cooled reactor with small (slightly greater than 1 cm in diameter) in-core thermionic fuel elements and a power-conditioning unit. We assumed that thermionic system efficiency, with an emitter temperature of 1800 K, is between 10 and 12%, whereas the efficiencies of the other two systems are in the low twenties. This is consistent with current technology as reflected by General Atomics' (GA's) SP-100 thermionic concept. The system's low efficiency is significant because it directly influences radiator and reactor masses. Laboratory devices have exhibited much higher efficiency and if such efficiencies can be obtained on a system level, thermionic system mass can be significantly reduced. Of course, we must point out that there are potential improvements in Rankine and Brayton technologies as well.

### A.3 References

1. M. W. Edenburn, Models for Multimegawatt Space Power Systems, Sandia National Laboratories, Albuquerque, NM, SAND86-2742, forthcoming.
2. W. H. McCulloch and D. T. Furgal, Privileged Information, May 5, 1986.
3. A. C. Marshall, RSMAS: A Preliminary Reactor/Shield Mass Model For SDI Applications, Sandia National Laboratories, Albuquerque, NM, SAND86-1020, 1986.



## APPENDIX B

### REACTOR CONCEPT DESCRIPTIONS

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### B.1 Particle-Bed Reactor

Both moderated and unmoderated particle-bed reactors have been proposed. The Particle-Bed Reactor fuel (Figure B-1) is based on the extensive experience attained in the development of coated-particle fuels for the High Temperature Gas-Cooled Reactor program. The fuel element for this concept consists of TRISO-like particles contained between two porous cylindrical frits (screens). For the moderated particle-bed concept, the fuel elements are inserted in a monolithic solid moderator. Coolant flow is axially through channels in the moderator, then radially inward across the outer frit, fuel-particle bed, and inner frit into the central fuel element channel, where it exits at one end of the element.

The actual particles proposed for this reactor would not have an outer coating of carbon as standard TRISO particles do, and the containment layer would be ZrC rather than SiC. A typical moderated reactor would consist of 37 fuel elements in a moderator of either ZrH<sub>2</sub> or Li<sup>7</sup>H. The fuel elements would have an outer dimension of 5.8 cm and an inner diameter of 2.7 cm. The outer frit would nominally be made of stainless steel, and the inner frit would be made of rhenium. In this configuration the reactor should be able to attain an exit temperature of 2000 K at nominal power densities. If lower temperatures are required, a number of other materials might be used.

Proposed by Brookhaven National Laboratories (BNL) and Babcock and Wilcox (B&W). See References 1 through 12.

### B.2 NERVA Derivative Reactor

A typical nuclear engine for rocket vehicle application (NERVA) reactor is shown in Figure B-2. This figure also shows a typical NERVA fuel module and two of the three types of fuel being considered for the NERVA derivative concept. Each fuel module for this concept consists of six hexagonal graphite fuel elements surrounding a central support element or tie tube. Each support element contains a central coolant tube and an annular return flow channel. These coolant channels are used to maintain the tie rods at temperatures below the bulk core temperature.

The basic NERVA fuel element consists of UC<sub>2</sub> fuel particles embedded in a hexagonal graphite matrix. More advanced fuels consist of UC-ZrC dispersed in a graphite matrix (composite matrix fuel) and all-UC-ZrC hexagonal fuel elements designated as carbide fuel (no graphite matrix is used). Fuel particles coated with layers of graphite and ZrC (vs. only graphite coating), ZrC coating of the fuel element exterior as well as interior surfaces and the use of a ZrC/graphite composite matrix are also being considered to enhance fuel integrity and fission product containment. A typical fuel element is

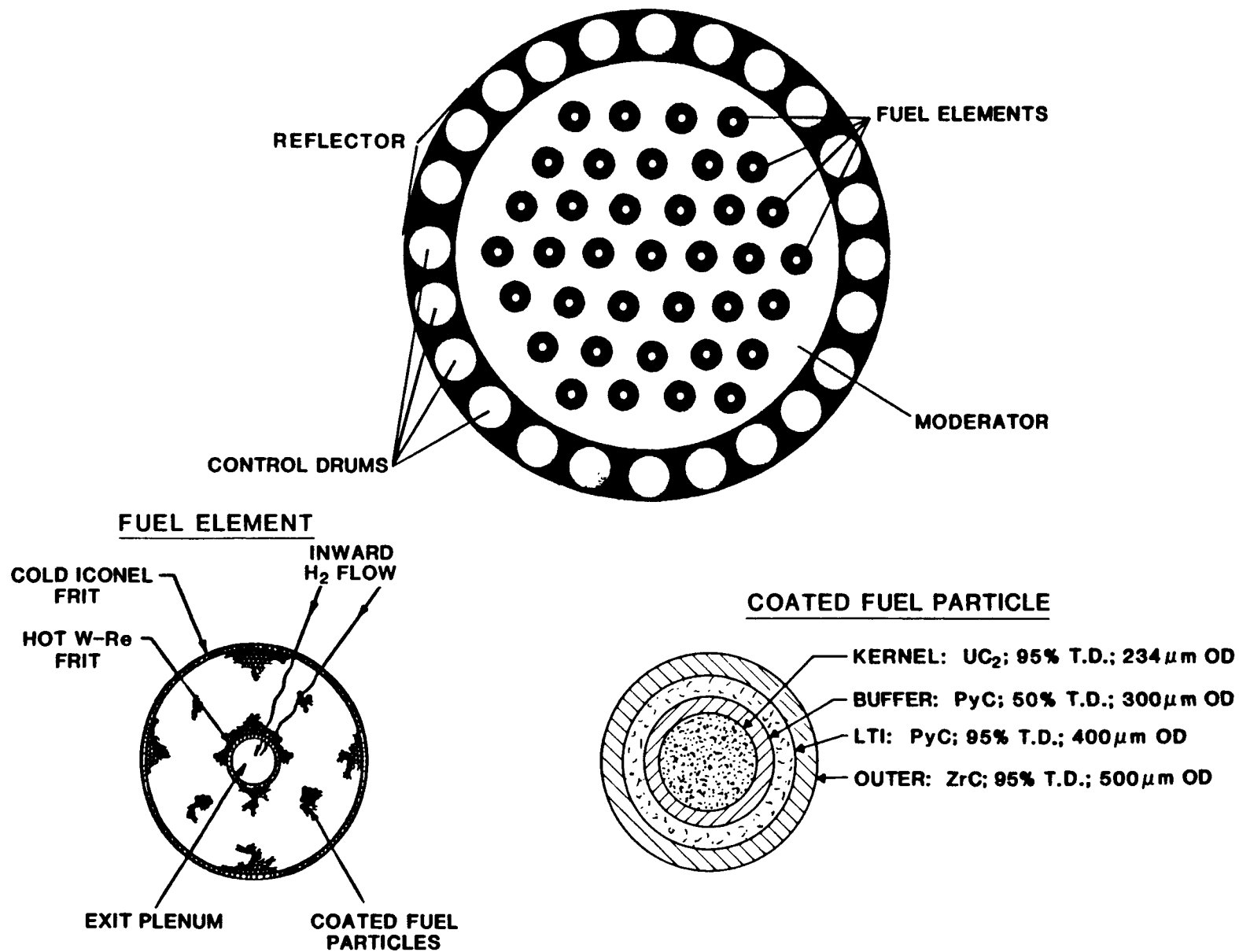
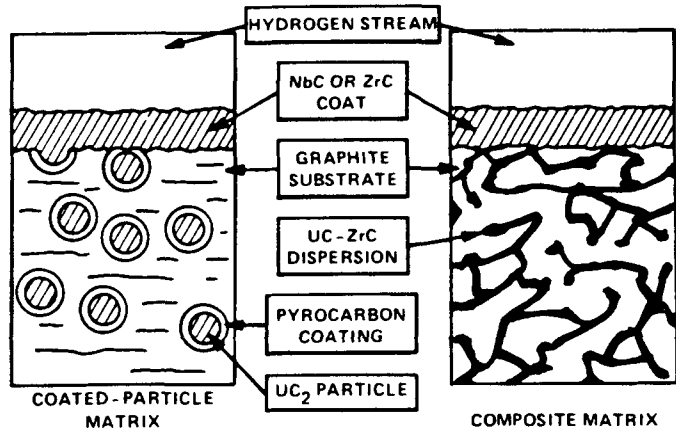
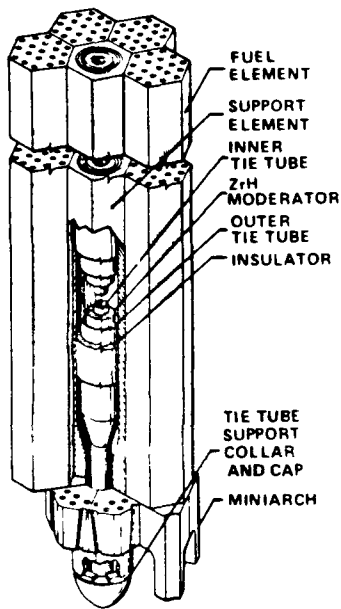
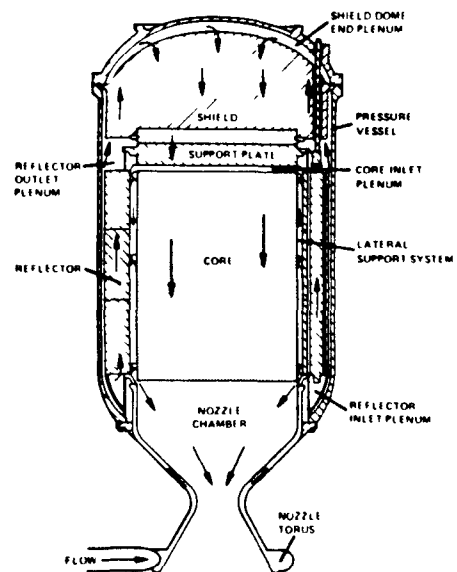
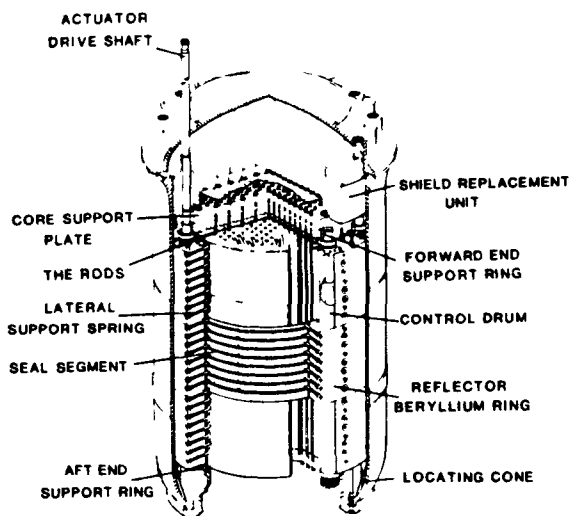


Figure B-1. Particle-Bed Reactor



### NERVA FUEL TYPES

### NERVA FUEL MODULE



### CUT-AWAY AND SCHEMATIC FLOW DESCRIPTION OF THE NERVA REACTOR

Figure B-2. NERVA Reactor (Used With Permission of Los Alamos National Laboratory)

1.91 cm across the flats, with 19 small coolant holes (2.5-mm diameter).

The NERVA derivative reactor is based on the NERVA reactor that was developed as part of the Rover program. Twenty reactors were built and tested. The test program culminated with the successful NRX-A6 and XE' reactor demonstration tests. NERVA derivative features that were not part of the original NERVA design include some of the advanced fuel described above, a separate helium coolant loop for the tie tubes, and a modified fuel end cap. The separate cooling loop is being considered for bimodal operation, but it also has the advantage of providing redundancy in the removal of waste heat. The modified end cap would permit very fast temperature ramp rates. Some redesign may be desirable if outlet temperatures much lower than those in the A-6 reactor are required.

Proposed by Westinghouse Electric Corp (W). See References 13 through 32.

### B.3 Pellet-Bed Reactor

The pellet-bed reactor utilizes nuclear fuel in the form of spherical pellets 0.5 to 2.0 cm in diameter. These pellets contain 93 percent-enriched  $UC_2$ -coated fuel particles embedded in a graphite matrix. The fuel pellets are loaded into a cylindrical, refractory metal core containment with perforated end plates. Holes in the end plates allow circulation of the coolant into and out of the core region. A beryllium or beryllia ( $BeO$ ) reflector surrounds the core and is cooled by reactor inlet coolant flow. Embedded within the reflector region are the reactor control elements; these take the form of rotatable (beryllium or  $BeO$ ) drums with neutron-absorber ( $B_4C$ ) strips attached.

Figure B-3 shows schematics for three possible designs of the Pellet-Bed Reactor concept. In the noncirculating fuel design (A), the pellets are located inside a pressure containment vessel, the flow is distributed by a baffle arrangement before entering the core through the end plate, and flow distribution is controlled by the end-plate hole arrangement. The heated coolant exits through the top end plate. In a more recent SAI document (Reference 34), a radial flow design was proposed. In this study, both axial and radial flow schemes were investigated and used in the mass analysis. The reflector is shown located outside the pressure vessel (PV) to reduce the size and weight of the PV. Approaches (B) and (C) in the figure are schemes that would allow refueling of the pellet-bed reactor. These refueling schemes were not considered in this evaluation.

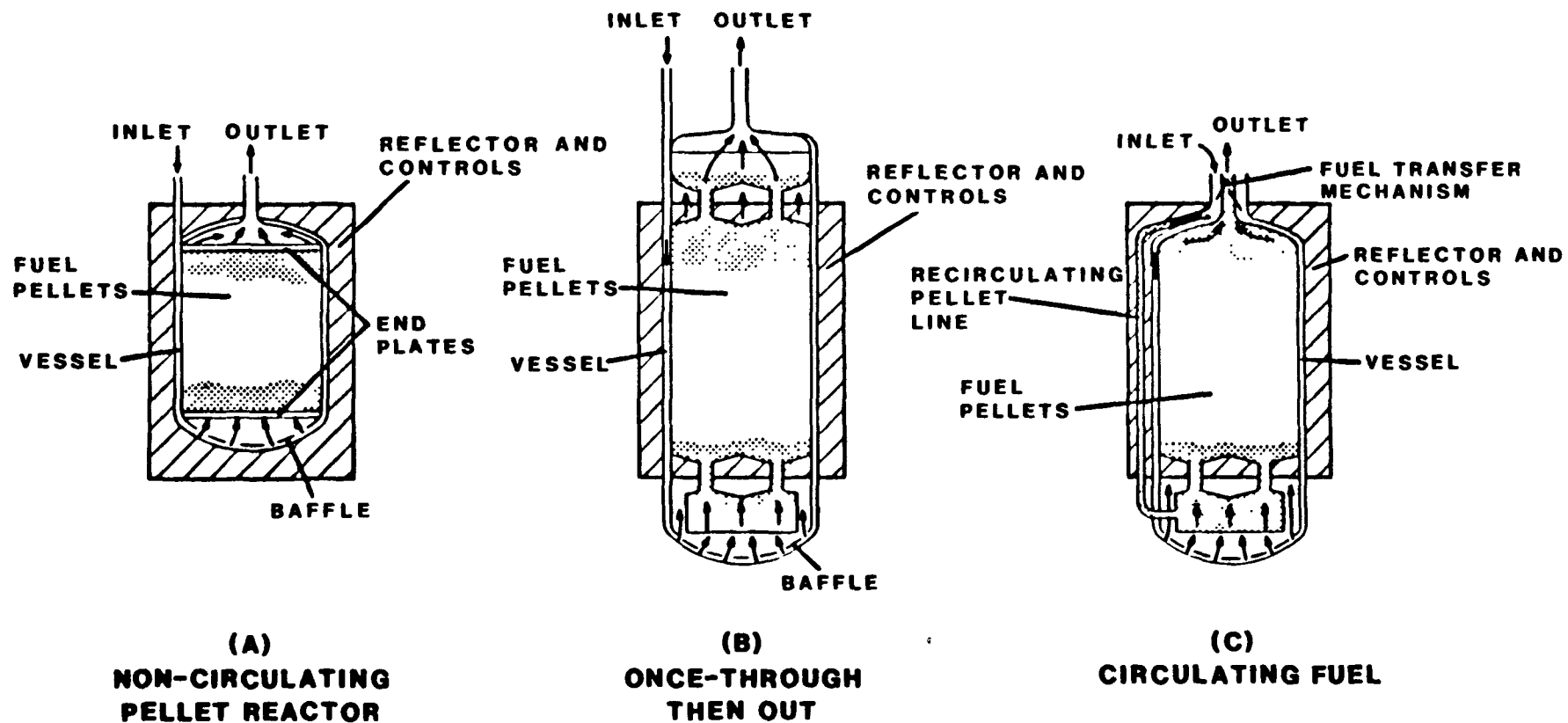


Figure B-3. Particle-Bed Reactor

Proposed by Science Applications International (SAI), Inc.  
See References 33 and 34.

#### B.4 PLUTO Derivative Reactor

The PLUTO derivative fuel element geometry is shown in Figure B-4. The elements are hexagonal in cross section with a single coolant channel running down the center. The flat-to-flat dimension of the fuel element is 6.83 mm, the diameter of the coolant channel is 4.00 mm, and the length of the fuel element is 10.0 cm. These fuel elements are stacked lengthwise to form a core with no internal structure. The fuel element is composed of a BeO moderator with 93 percent-enriched  $\text{UO}_2$  fuel mixed homogeneously throughout.

The PLUTO reactor concept is made up of the reactor core, a 10-cm BeO reflector, upper and lower grid plates, side support springs, a pressure vessel, and other structural materials. The reactor core is cylindrical, formed by columns of fuel elements held together in the axial direction by the upper and lower grid plates. The grid plates are held in place by the PV. The core is held together in the radial direction by side support springs that exert a uniform, compressive force on the reflected core.

The reactivity of the reactor is controlled in two ways: (1) with a variable leakage reflector, which is used to bring the reactor critical and vary the power level, and (2) with burnable poisons, which help reduce long-term reactivity changes. The flux profile throughout the reactor is flattened using two techniques: (1) the fuel concentration in the fuel elements is varied, and (2) internal absorber rods are placed throughout the core.

The Tory II-C reactor, developed as a part of the PLUTO program in the early 1960s, is the basis of the PLUTO reactor concept. This reactor was developed to be a nuclear ramjet. The Tory II-C reactor was successfully operated at full power (500 MW thermal) and had a coolant-outlet temperature of 1450-K.

The principal difference between the Tory II-C reactor and the PLUTO derivative concept is that the Tory II-C contained internal structure, whereas the proposed PLUTO derivative concept will not contain internal structure.

Proposed by Lawrence Livermore Laboratories (LLL). See References 35 through 41.

#### B.5 NERVA/PLUTO Hybrid Reactor

This concept utilizes the PLUTO geometry and the basic NERVA fuel type ( $\text{UC}_2$  in a graphite matrix).

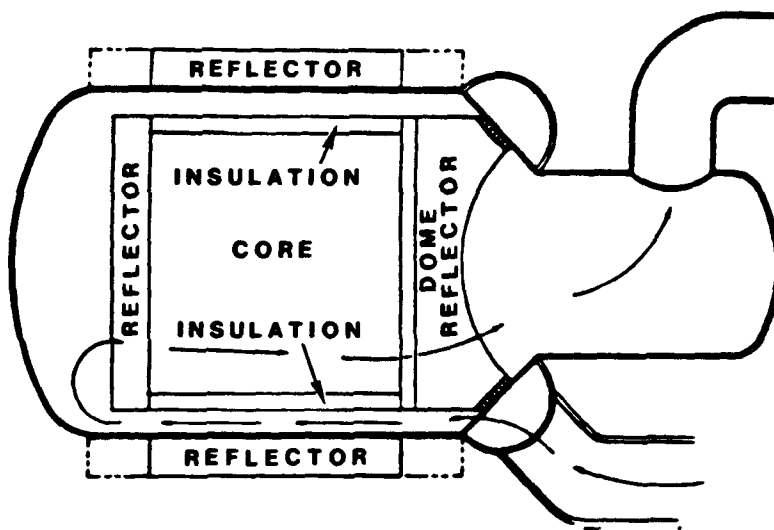
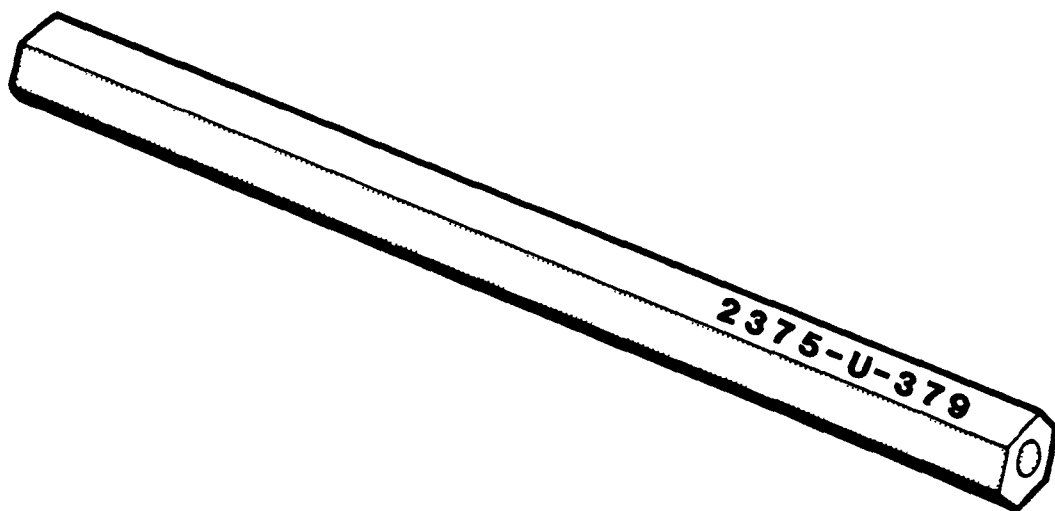


Figure B-4. PLUTO Reactor



Proposed by LLL and W. See References 29 and 36.

#### B.6 UB<sub>2</sub> Reactor

The UB<sub>2</sub> reactor uses the PLUTO geometry with UB<sub>2</sub> fuel in a B<sub>4</sub>C matrix. As originally conceived, the fuel would be enriched in B<sup>11</sup> to reduce B<sup>10</sup> parasitic neutron absorptions. If a hard spectrum is employed, however, minimal enrichment would be required.

Proposed by LLL. See References 35 through 40.

#### B.7 Cermet Reactor

The assumed cermet fueled refractory metal reactor concept is based on the 710 High Temperature Gas Reactor system that was developed in the 1960s. This concept's principal characteristics include a fast neutron spectrum and refractory metal hexagonal cermet fuel elements that have multiple tubular flow channels. The reactor is reflected radially with BeO, at the "top" by a molybdenum tube sheet and by a BeO header plate at the "bottom." Reactor control is provided by boron strips placed on rotatable Be drums that are embedded within the radial reflector. The working fluids are hydrogen for the open-cycle burst mode, and neon for the closed-loop (Brayton-cycle) MMWSS mode.

A UO<sub>2</sub>/W cermet fuel was chosen for its high strength and high thermal conductivity. GE originally included an Mo-based cermet as an option; however, Mo is incompatible with UN. Since UN is considered to be a backup fuel, GE dropped the Mo option. We have only considered W-based cermets in our study. A refractory metal matrix was selected to support and contain the fuel. A hexagonal fuel element with tubular fuel channels was chosen because tubes provide dimensional stability (resist distortion) and can be fabricated to very close tolerances.

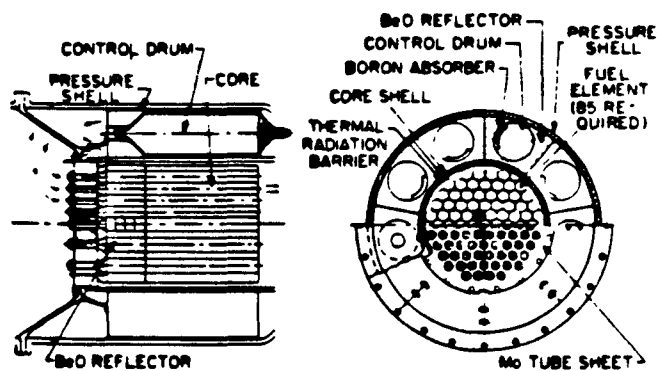
Figure B-5 shows two views of the overall 710 Reactor assembly and presents details of the cermet fuel element.

Proposed by General Electric (GE). See References 42 through 46.

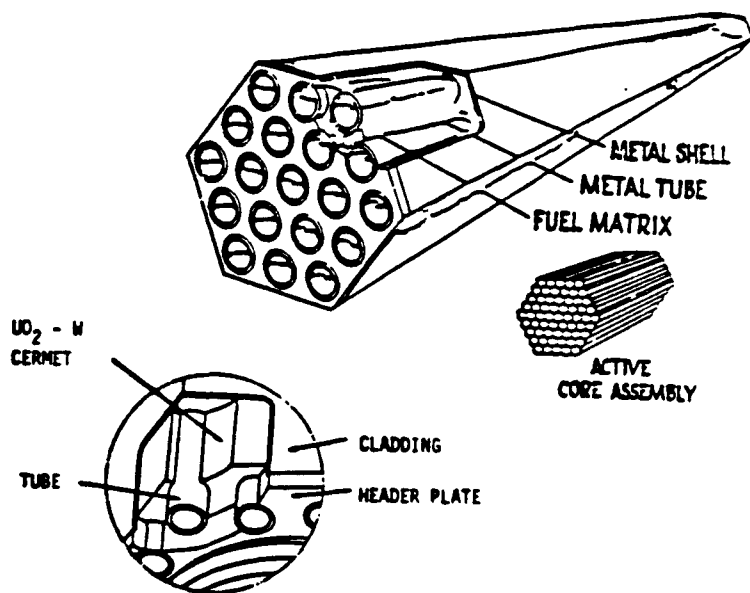
#### B.8 Wire-Core Reactor

The wire-core reactor is based on results of developmental studies of nuclear rocket propulsion systems. The reactor core is made up of annular fuel assemblies of continuous clad fuel wires. Between subsequent layers of fuel wires, unfueled spacer wires maintain wire spacing and allow coolant flow through void spaces. The spacers are held in place by wire tension. Figure B-6 shows the fuel-assembly construction

# 710 REACTOR ASSEMBLY



Longitudinal and cross-sectional views of 710 Reactor

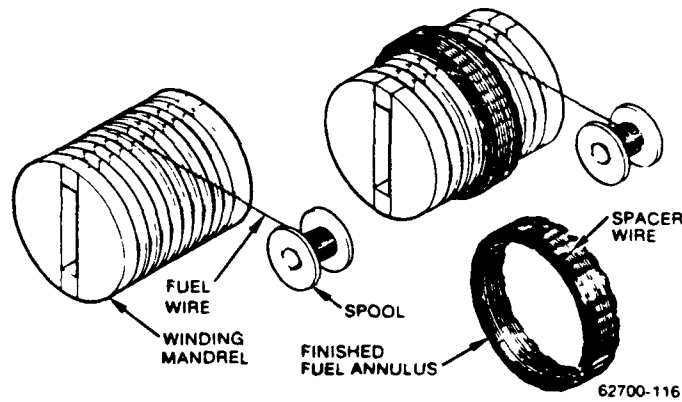


## INITIAL DEVELOPMENT FUEL ELEMENT GEOMETRY

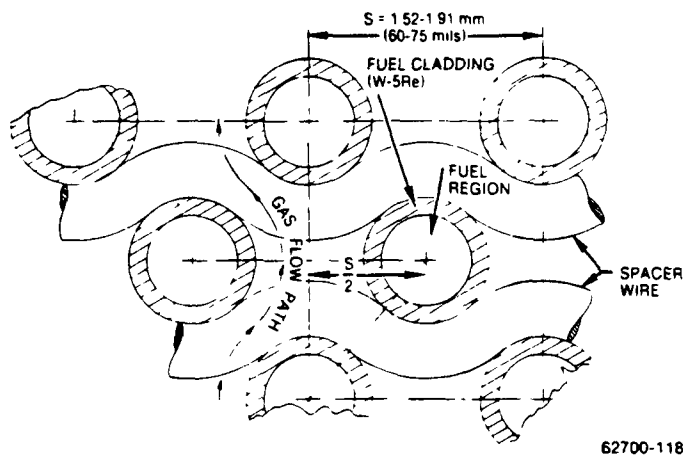
SHAPE	HEXAGONAL PRISM
MATRIX ACROSS-FLATS DIMENSION, IN.	0.8966
OUTER CLADDING THICKNESS, IN.	0.015
NO. OF COOLANT CHANNELS	91
COOLANT CHANNEL HYDRAULIC DIAMETER, IN.	0.036
COOLANT CHANNEL PITCH, IN.	0.0938
COOLANT CHANNEL CLADDING THICKNESS, IN.	0.008
MATRIX LENGTH, IN.	12

Cermet fuel element

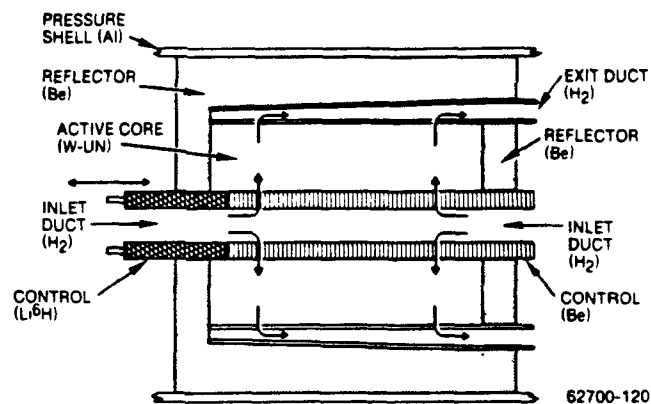
Figure B-5. Cermet Reactor



### ASSEMBLY SEQUENCE



### REACTOR WIRE FUEL ELEMENTS



### REACTOR SIDE VIEW - SCHEMATIC

Figure B-6. Wire-Core Reactor (Used with permission of Rockwell International)

sequence and the final fuel geometry and coolant flow through it. The fuel annuli are stacked side by side and smaller annuli nest inside larger annuli to form the core.

The proposed reactor has a fast neutron spectrum. Coolant flows into the reactor axially from either or both ends and radially outward through the fuel, as shown in Figure B-6. The central void region is occupied by a single rod with two sections, one of beryllium and one of poison. Axial motion of the rod controls the amount of reflector (beryllium) or poison in the reactor and, with it, the reactor power level. Beryllium reflectors surround the core, and the entire core is encased in a pressure vessel.

The fuel wires have a UN core, clad with W-5Re, with an outer diameter of 0.5 to 2.5 mm. The spacer wires are thinner than the fuel wires and are assumed to be W-5Re. The small diameter of the wire helps keep the peak fuel temperature down. Operation at lower temperatures, up to about 1400 K, allows the use of  $\text{UO}_2$  clad with Nichrome V. There is some fabrication experience with this fuel type.

Proposed by Rockwell International. See References 47 through 50.

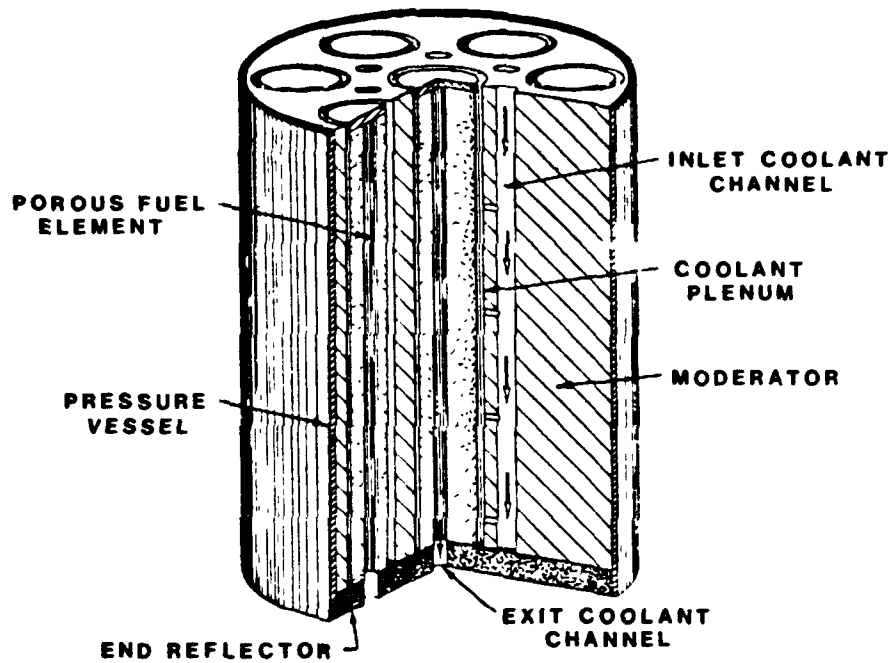
#### B.9 Foam-Fuel Reactor

The foam-fuel reactor is not well defined. The fuel consists of  $\text{UC}_2$  in the form of a porous foam coated with graphite and ZrC. It is assumed that the foam fuel, in the form of a porous annular cylinder, occupies the location of the particle bed in the particle-bed concept; this reactor concept may be considered to be an alternative to the particle-bed concept. The coolant gas passes through the porosity in the fuel. The core and fuel element configurations are illustrated in Figure B-7.

Proposed by Babcock and Wilcox. See References 51 through 53.

## CONCEPTUAL CORE CONFIGURATION

(NUMBER OF FUEL ELEMENTS VARIES WITH DESIGN REQUIREMENTS)



## REFRACTORY FOAM FUEL ELEMENT CONCEPT

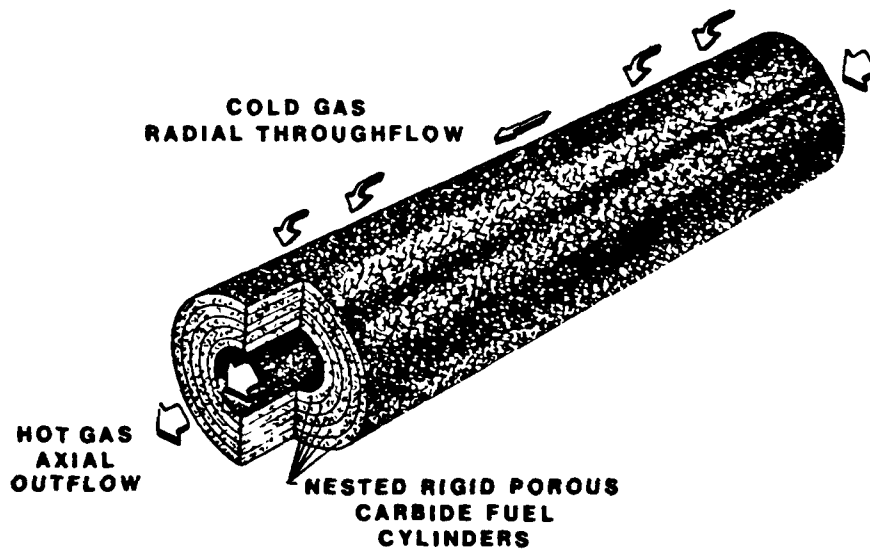


Figure B-7. Foam-Fuel Reactor Concept

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## APPENDIX C

### THERMAL HYDRAULIC METHODS

D. Dobranich  
M. W. Edenburn  
S. L. Hudson

## C.1 Introduction

Mass can be an important parameter when comparing candidate reactors for space applications, and a reactor's mass depends strongly on its fuel inventory. The mass of fuel needed by a reactor is determined by three requirements:

- The mass of fuel needed for criticality and burnup
- The mass of fuel required to prevent fuel damage due to fission product buildup
- The mass of fuel needed so that generated heat can be removed without exceeding the fuel's temperature limit or the core's pressure-drop limit

The greatest of these three requirements determines the mass of fuel needed by the reactor. The last requirement, the mass needed for heat removal, depends on the fuel's specific-power limit, which is the maximum power (thermal) that can be generated by a given mass of fuel without causing the fuel's temperature limit to be exceeded and without exceeding the core's maximum allowed pressure drop. The mass of fuel needed is found by dividing the reactor's thermal power by its specific power. For many multimewatt steady-state (MMWSS) applications and for most burst applications, the specific-power limit is important, and it often determines the mass of fuel required. Thus, it is important that consistent methods be used to calculate specific-power limits for candidate reactors.

In this appendix the method used to obtain the specific power is discussed. These specific power data are used as input parameters in the RSMASS code. The plots of specific power are presented here to illustrate the approach used in the RSMASS analysis and should not be used to compare reactor concepts. Many sets of parameters were varied in our analysis; consequently, the specific power data presented here do not, in general, correspond to the parameters used in our final mass calculations.

A variety of gas-cooled reactor cores are being investigated in this study. They include prismatic cores, such as NERVA, PLUTO, and cermet, with fuel embedded in a block of matrix material (e.g., graphite, W, etc.); particle and pellet beds with fuel in spherical form; and wire and foam cores with fuel in the form of thin wires or webs. The geometries for each of these cores are different, and each has a different specific-power limit. Even for a specific type of core, a range of geometries and fuel-packing densities is possible, and each variation will result in a unique specific-power limit. The geometry and fuel-packing density that give the highest specific-power limit may not be viable because of neutronic

considerations. For example, the specific-power limit can generally be increased by reducing the fuel's packing density, but, when the fuel's packing density is reduced, its mass required for criticality may increase. Thus, there is in general a tradeoff between specific-power limit and criticality. This appendix will describe the analytical methods used to calculate specific-power limits for the various types of cores and for variations in geometry and fuel-packing density within each core type.

System parameters such as temperature and pressure, as well as core design parameters, influence specific-power limit values. We selected a uniform set of system parameters to use in our analyses. These are summarized in Table C-1. We also assumed a uniform power profile, although a power profile factor was incorporated during separate core-mass analyses. The reactor-outlet pressures we used were selected somewhat arbitrarily, but they are in the range that we expect to see used for gas-cooled reactors. The burst-mode pressure is higher than for the MMWSS mode because specific-power requirements are higher, and higher pressure increases convection heat transfer. The 10 percent allowed pressure drop for the burst reactor was also somewhat arbitrarily selected. A higher allowed pressure drop would increase specific-power limits up to sonic velocity limits and, according to our models, have no negative effects on the systems. However, a higher pressure drop would in reality increase the masses of pressure vessels and piping. We selected 10 percent as a reasonable but nonoptimized value.

Table C-1  
System Parameters

Parameter	Mode	
	Burst	MMWSS
Reactor-outlet pressure	13.6 MPa*	2.7 MPa
Allowed pressure drop	10%	3%
Reactor-inlet temperature	400 K	900 K
Reactor-outlet temperature	1200 K	1500K
Coolant	Hydrogen	Helium

\* We now feel that a coolant pressure of only 4 or 5 MPa may be a more appropriate choice. This pressure difference will not alter our conclusions for the relative system mass or the relative standings of the various gas-cooled reactor concepts.

The effect of pressure drop on the MMWSS systems is more critical. The pressure drop in the reactor must be made up for by the compressor in a gas power-conversion system. The added compressor work decreases system efficiency. We thus have a tradeoff between system efficiency and reactor mass. System studies to date indicate that the optimum pressure drop is between 1 percent and 5 percent. We selected a nominal pressure drop of 3 percent. An outlet temperature of 1200 K was selected for the burst reactor because previous studies have concluded that significantly higher temperatures offer little advantage when the power-conversion system can use weapon coolant as a working fluid [Reference 1]. An inlet temperature of 400 K was used because it is expected to be near the outlet temperature of weapon coolant. The MMWSS reactor-outlet temperature was selected to be 1500 K because it is close to the highest temperature that can be used with state-of-the-art material technology. The 900-K inlet temperature was selected based on the results of a system optimization. The maximum allowed fuel temperature is also an important parameter to our analyses, but it will be discussed in later sections.

In the analyses of all of the cores, specific power was limited by two parameters: (1) maximum allowed fuel temperature, and (2) maximum allowed pressure drop. In cores where high-coolant velocity might be detrimental, the velocity corresponding to a Mach number of 0.3 was used as a third limiting factor. In all cases, fuel temperature was calculated based on convection from the fuel matrix to the coolant and on conduction through both the matrix and the fuel. The matrix, as used here, is the material or materials surrounding and encapsulating the fuel, such as graphite in the NERVA core or a layer of refractory metal in the wire core. The fuel-temperature specific-power limit was determined by solving the energy-balance equation that equates the energy entering the coolant to the energy generated by the fuel. Specific power is the energy generated by the fuel divided by the mass of fuel, and it is maximized when the fuel operates at its temperature limit. Figure C-1 shows the fuel-temperature specific-power limit as a function of bed length for a burst-mode pellet-bed reactor. As bed length increases, the specific-power limit increases. This relation is similar for all of the core types.

Specific power is also limited by the allowed pressure drops in the core. As before, specific power is found by solving the energy-balance equation, but this time we impose a maximum allowed pressure drop instead of a maximum allowed fuel temperature on the energy-balance equation. Results are shown in Figure C-1 as a function of bed length for a burst-mode pellet-bed core. We tried to be consistent with our pressure-drop calculations for all cores. We considered the pressure drop through the fuel matrix and through the moderator. We

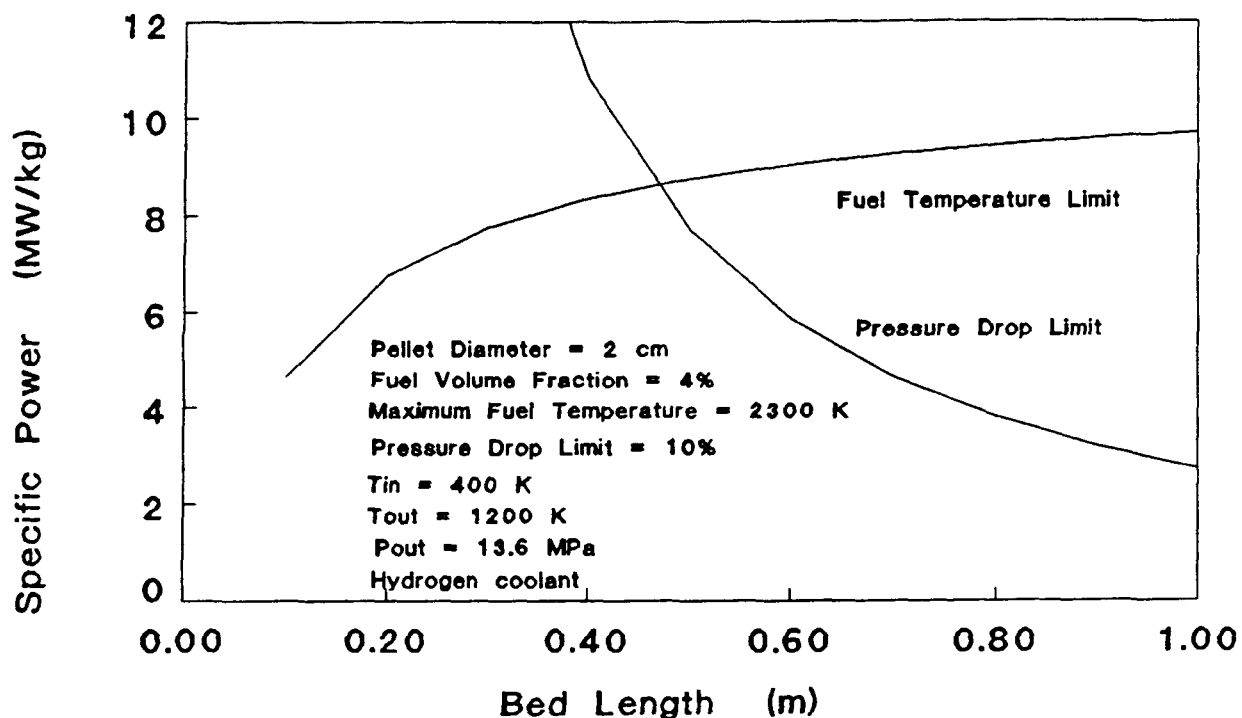


Figure C-1. Specific-Power Limits for Burst-Mode, Pellet-Bed Reactor

also considered the pressure drops in all flow passages inside the core, but we did not consider those leading into the core or those external to the core. We must satisfy both the fuel-temperature limit and the pressure-drop limit, hence the overall specific-power limit is the lower of the two values at each bed length. Notice that there is a bed length at which the two curves cross. The specific power here is the maximum that can be achieved, subject to fuel-temperature and pressure-drop restrictions. At this point, the fuel temperature is equal to its maximum value, and the pressure drop is equal to its maximum allowed value. For bed lengths to the left of the point, we are restricted by fuel temperature, and the pressure drop is below its allowed limit. For bed lengths to the right of the point, we are restricted by the maximum allowed pressure drop, and the fuel temperature is below its allowed maximum value. The same kinds of results occur for all of the core types.

## C.2 Particle- and Pellet-Bed Reactors

This section discusses thermal-hydraulics for particle- and pellet-bed, gas-cooled reactors.

The particle-bed reactors considered in this study use annular fuel elements with fuel particles trapped in the annular space between an inner and an outer frit. Coolant enters at the outer frit, flows radially inward, cools the bed, which is

about 2 cm thick, and leaves through the inner frit. Because the particles are small and have a large surface-area-to-volume ratio, heat transfer is excellent.

Several of these annular fuel elements are assembled in a core and may be surrounded by a moderator for a moderated core or assembled without a moderator for a fast core.

Fuel particles comprise a uranium carbide core or kernel encased in layers of porous graphite, pyrolytic graphite, and zirconium carbide cladding. Both moderated and unmoderated cores are considered, and each uses a unique fuel-particle design (see Table C-2).

Table C-2

Assumed Fuel Particle Description

<u>Diameter (mm)</u>	<u>Moderated</u>	<u>Unmoderated</u>
Fuel Kernel Diameter	0.234 mm	0.434 mm
Low-Density Graphite Diam.	0.300 mm	0.634 mm
Pyrolytic Graphite Diameter	0.400 mm	0.700 mm
Zirconium-Carbide Diameter	0.500 mm	0.800 mm

A pellet-bed core is filled with spherical pellets with diameters in the range of 1 cm. Coolant enters at one end of the core, passes through the bed, and leaves at the other end of the core; or it may enter through a central duct, flow radially outward through the bed, and exit at the core's outer radius. Inward radial flow is also an option. A pellet was assumed to be composed of many fuel particles (the moderated particle described above) locked in a spherical graphite matrix. The particle loading can be varied to adjust the fuel's specific-power limit and its neutronic behavior.

The heat-transfer and pressure-drop relations for packed spherical beds have been well documented in the literature. Eckert's Heat and Mass Transfer, second edition, published by McGraw-Hill, was used as a reference for this study. To find the specific-power limit for either particles or pellets, we solved the energy-balance equation that equates the thermal power entering the coolant to the thermal power generated by the fuel. This energy-balance equation was solved with two

restrictions: The first was that the fuel temperature is not allowed to exceed 2300 K at the hottest point in the fuel. Finding the maximum specific power based on this temperature limit involved calculating (1) the convection coefficient of the coolant and (2) the conduction temperature profile in the fuel particle or pellet. For particles, the temperature profile was found using a multiple-layer, spherical-conduction analysis. The same type of analysis was used for pellets, but, once the temperature at the pellet's center was found, the profile for a particle was superimposed. Solving the energy-balance equation with the fuel-temperature restriction resulted in what we call the temperature-related specific-power limit.

The second restriction was for pressure drop. The energy-balance equation was solved with the restriction that pressure drop must not exceed a specified percentage of the core's outlet pressure. The result was the pressure-drop-related specific-power limit. For the pellet-bed core, the pressure drop is due only to the pressure drop in the bed itself. For the particle-bed core, pressure drop is found by adding the pressure drop in the bed to pressure drops across frits, through coolant passages entering and leaving the fuel elements, and through coolant passages in the moderator, if there is one. These nonbed pressure drops were calculated using data from BNL's pressure-drop analysis.

The overall specific power limit is the lower of the temperature-related and pressure-drop-related specific-power limits. As explained in the introduction, there is a bed length, that maximizes the overall specific-power limit, and at this length, both fuel temperature and pressure drop are at their maximum allowed values. For shorter beds, specific power is determined by the fuel-temperature limit, and for longer beds it is determined by the pressure-drop limit. For particle beds, the bed length that maximizes the specific power limit is quite short, i.e., generally less than 10 particles long, which is not a practical length; thus, the specific-power limit for particle beds is determined by pressure-drop limitations, not by fuel-temperature limits. In fact, the maximum fuel temperature is somewhat below its maximum allowed value.

Figures C-2 through C-6 show specific-power limits for the various types of particle and pellet cores as a function of bed length. They were calculated using a computer program that incorporated the heat-transfer and pressure drop relations described above.

### C.3 Prismatic-Core Reactors

The prismatic-core reactors consist of hexagonally shaped fuel elements grouped together to form a cylindrically shaped core.



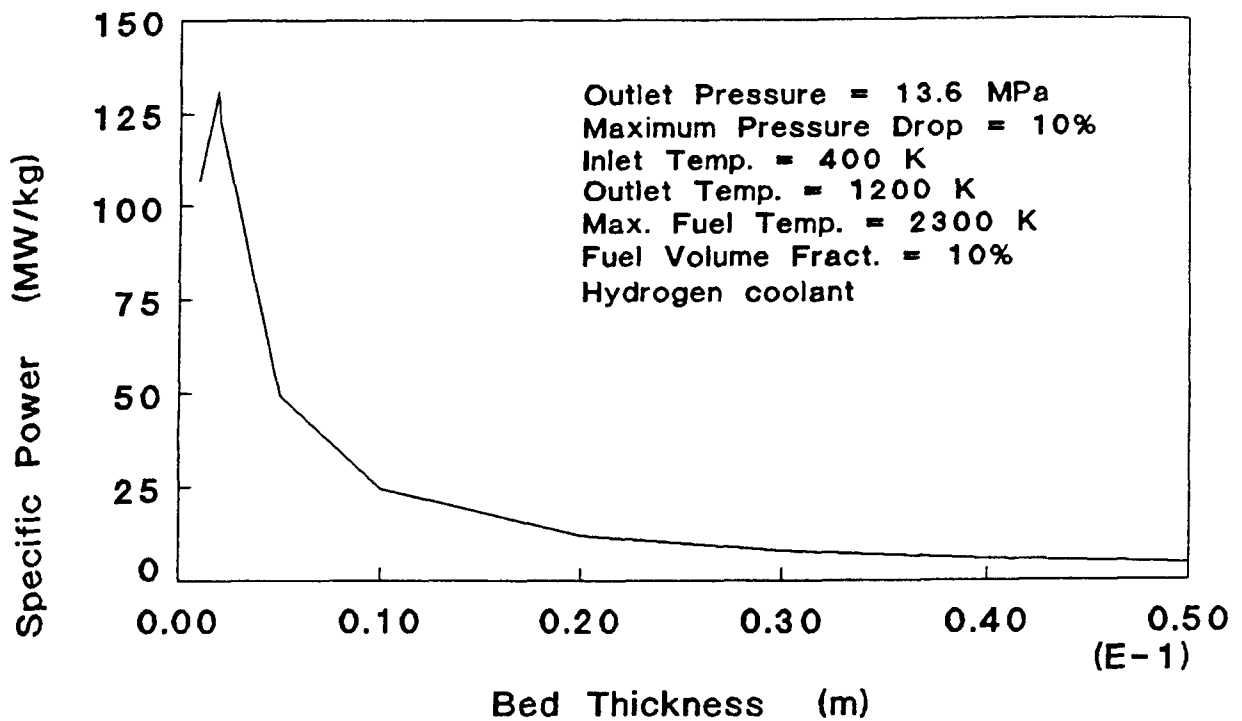


Figure C-2. Specific-Power Limits for Moderated, Burst-Mode, Particle-Bed Reactor

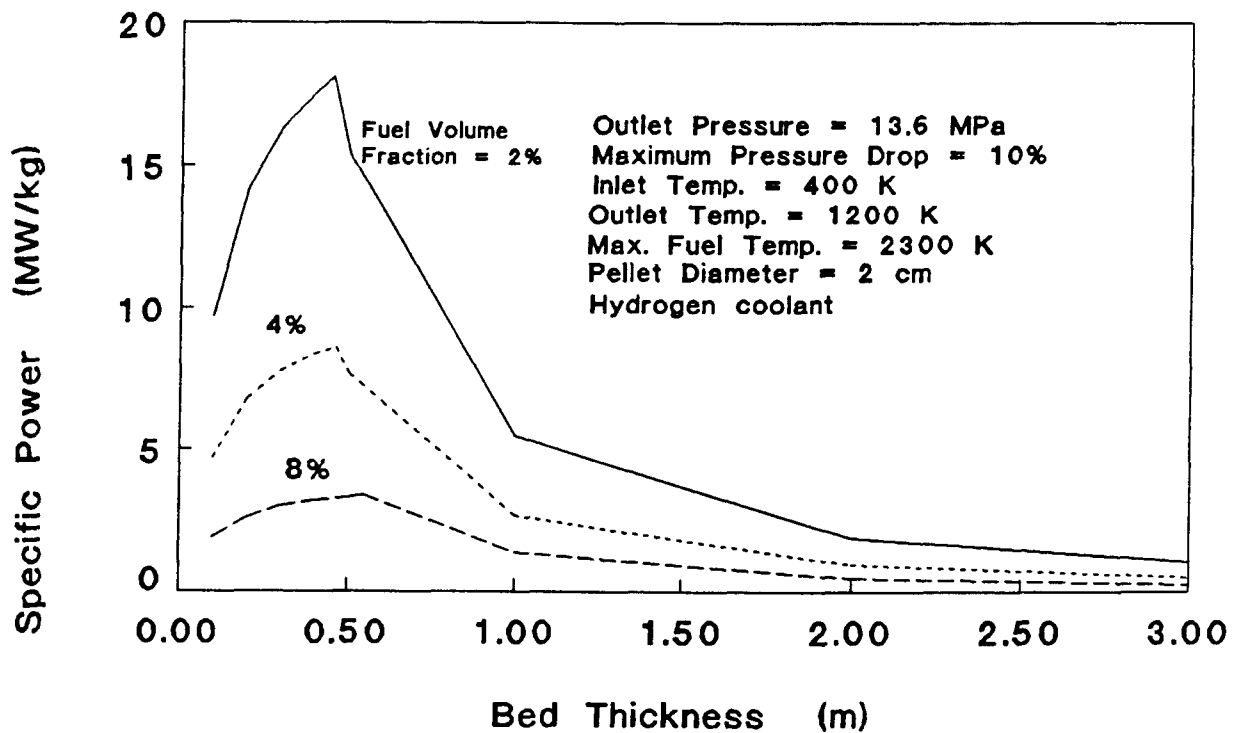


Figure C-3. Specific-Power Limits for Burst-Mode, Pellet-Bed Reactor

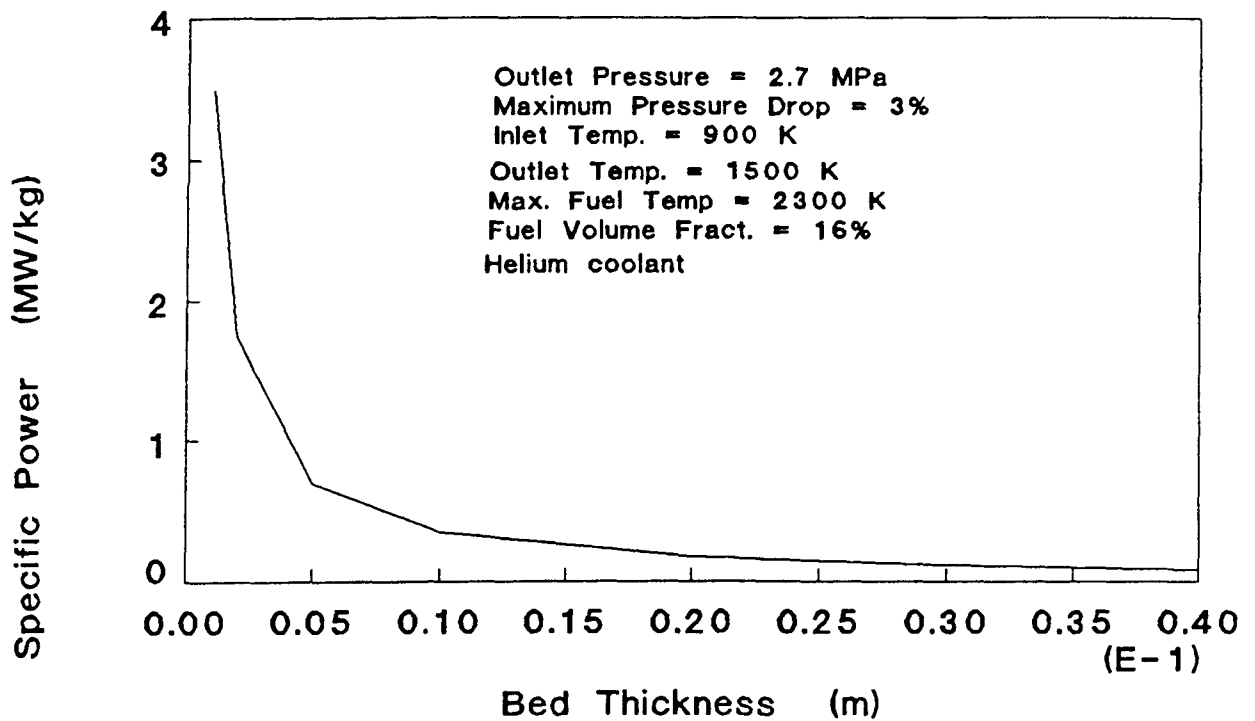


Figure C-4. Specific-Power Limits for Unmoderated, MMWSS-Mode, Particle-Bed Reactor

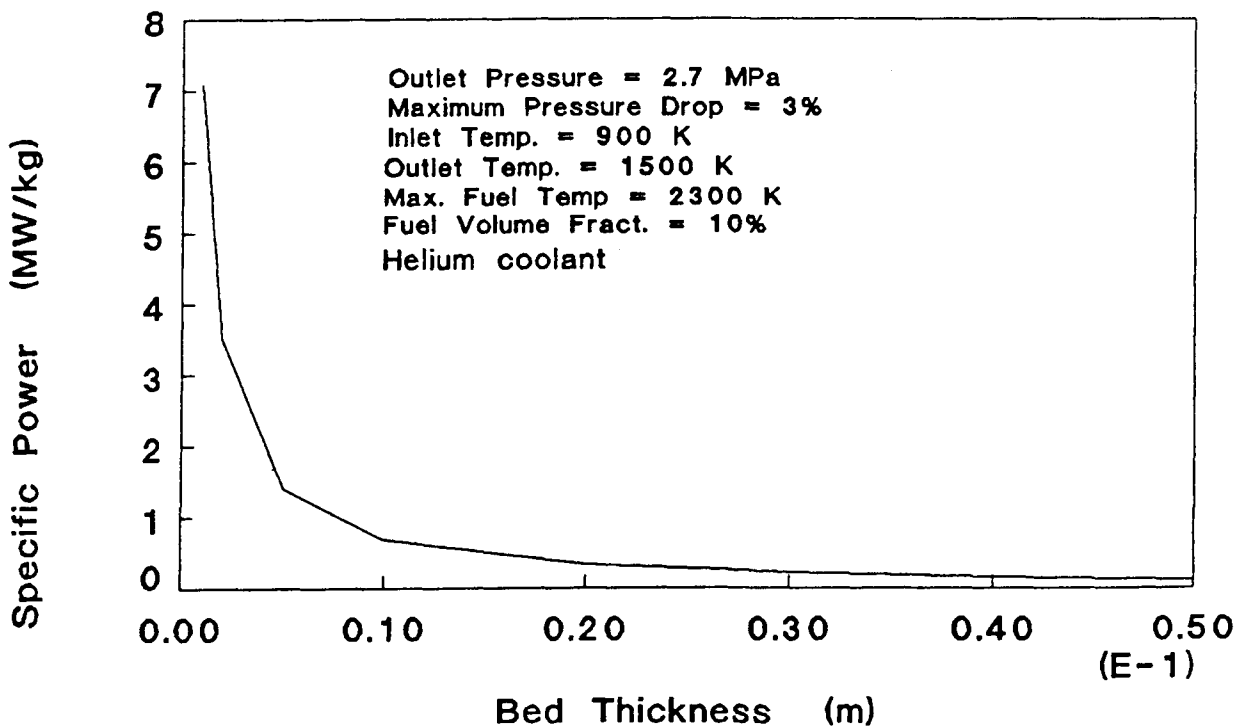


Figure C-5. Specific-Power Limits for Moderated, MMWSS-Mode, Particle-Bed Reactor

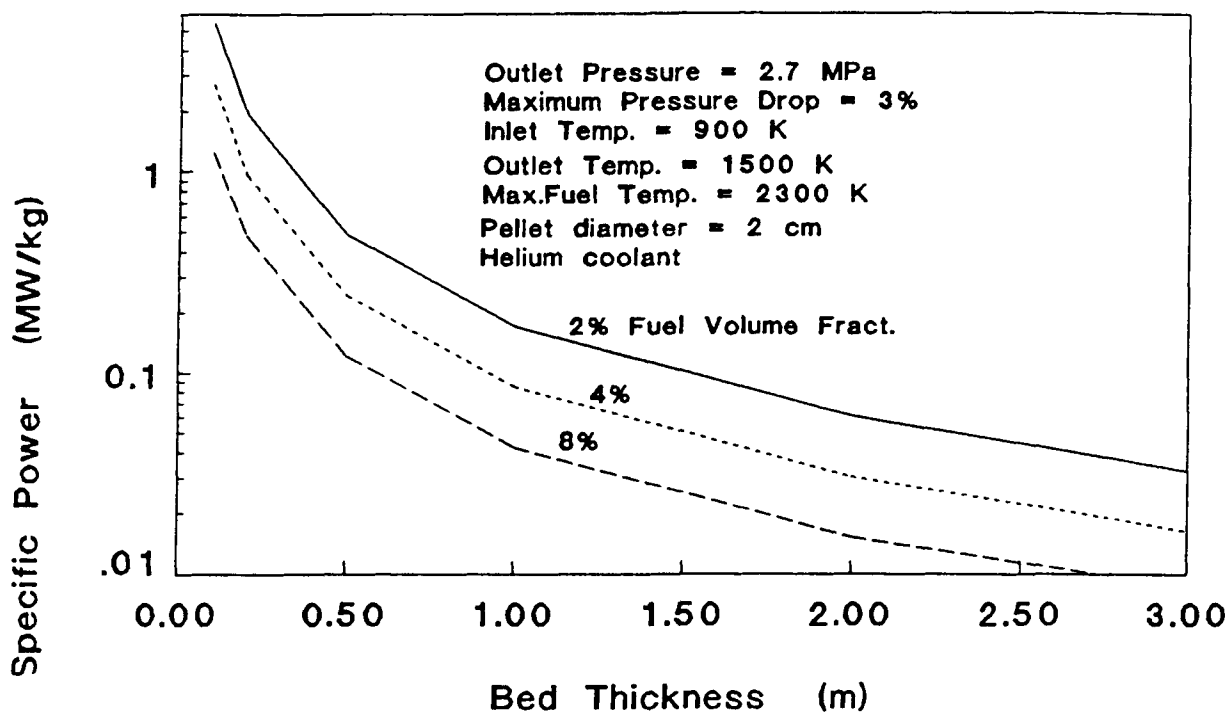


Figure C-6. Specific-Power Limits for MMWSS-Mode, Pellet-Bed Reactor

The coolant gas (hydrogen for burst-mode operation and helium for MMWSS-mode operation) flows through axial channels within the elements, and the fuel is dispersed within the solid element material either as a composite or in the form of coated particles. This geometry is used in three reactor concepts: (1) the NERVA derivative, (2) the PLUTO derivative (including the  $UB_2$  core and the NERVA/PLUTO hybrid), and (3) the 710 Cermet derivative.

The following is a list of the different variations of these concepts, along with the materials composing the core, and the associated maximum fuel temperature:

	Temperatures (K)		
	Used in <u>Illustrations</u>	Used for Mass Estimates <u>Burst</u>	<u>MMWSS*</u>
NERVA ( $UC_2/p, ZrC, C$ )	2300	2300	<1800
NERVA ( $UC_2-ZrC, C$ )	2700	--	--
NERVA ( $UC_2-ZrC$ )	3000	--	--
PLUTO ( $UO_2, BeO$ )	1900	1900	<1800
PLUTO ( $UC_2, C$ )	2700	2300	<1800
PLUTO ( $UB_4, B_4C$ )	2300	2300	<1800
710 Cermet ( $UO_2/p, W, W$ )	2800	<2800	<2400

\*Temperature not limiting

The symbols in parentheses indicate the core materials. The first material listed is the fuel, the second material is the fuel coating, and the last material is the element or matrix material in which the fuel is embedded. If the fuel in the matrix is in the form of a spherical particle, the fuel material designation is followed by a "/p"; if the core is made of a composite of fuel and matrix material, no coating material is needed, and only two materials are listed.

The specific power for a given concept was determined as a function of the fuel loading and the core length. The fuel loading is defined as the mass of fuel material per unit volume of solid element (i.e., the volume of the element minus the volume of the coolant channels). The fuel loading and core length were treated parametrically because their final values will also depend on reactor criticality and burnup considerations. The maximum specific power was determined such that a prescribed maximum fuel temperature was not exceeded (heat-transfer limit) or a maximum core-pressure drop or sonic velocity limit was not exceeded (hydraulic limit).

A computer program was written to determine the specific power for each of the prismatic-core concepts [Reference 2]. The thermal resistances for the matrix, coating, and fuel materials were all included in the heat-transfer model to determine the temperature drop from the coolant to the fuel centerline. The Taylor equation, given below, was used to calculate the heat transfer coefficient,  $h$ , of the coolant. This equation is for turbulent flow in circular channels and is a function of the wall temperature; thus, it must be solved iteratively with Newton's law of cooling to find the wall temperature and heat-transfer coefficient.

$$h = 0.023 \text{ Ck/D RE}^{0.8} \text{ PR}^{0.4} , \quad (\text{C-1})$$

$$C = (T_w/T_c)^E , \quad (\text{C-2})$$

$$E = (1.59D/x - 0.57) , \quad (\text{C-3})$$

where  $K$  = conductivity,

$D$  = channel diameter,

$RE$  = Reynolds number,

$PR$  = Prandtl number,

$T_w$  = wall temperature,

$T_c$  = coolant bulk temperature,

$x$  = distance from channel entrance.

The pressure drop,  $\Delta P$ , across the channel was calculated using

$$\Delta P = (fL/D) (\rho/2) V^2, \quad (C-4)$$

$$f = 0.184 RE^{-0.2} PR^{-0.6}, \quad (C-5)$$

where  $L$  = channel length,

$\rho$  = average coolant density,

$V$  = average coolant velocity.

Figure C-7 shows (for the assumed conditions in Table C-1) the burst-mode specific powers for the three different prismatic-core concepts and their variations (for a 500-kgU/m<sup>3</sup> fuel loading). The specific power to the left of the peak is limited by the heat-transfer constraints, whereas the specific power to the right of the peak value is limited by the hydraulic constraints. This set of curves cannot really be used for comparing specific powers among the various concepts, because they do not reflect the criticality aspects and other considerations associated with the different fuel loadings, core lengths, and fuel and matrix materials. However, they do provide an indication of what geometric parameter would have to be changed to improve the specific power in either the heat-transfer or hydraulic-limited regions. For example, the NERVA concepts offer very good heat-transfer characteristics but poor hydraulic characteristics with respect to specific power. To improve the hydraulic characteristics (at the expense of the heat-transfer characteristics), it would be necessary to use fewer channels of larger diameter. This would shift the specific power peak to the right. The PLUTO concept that uses UB<sub>2</sub> and B<sub>4</sub>C would require the exact opposite change. That is, it would be necessary to use more channels of smaller diameter to increase the specific power in the heat-transfer-limited region.

All of the concepts analyzed consist of the same basic geometry, i.e., a group of hexagonal elements with a certain number of channels formed within each element for coolant flow. It would, therefore, be possible to "redesign" (without consideration for structural integrity) all of the concepts in order to optimize the specific power with respect to channel diameter and number. Thus, with respect to thermal hydraulic performance, the only parameters that distinguish one concept from another are the thermal conductivity and the maximum operating temperature. However, it may not be possible to take advantage of a material's higher conductivity or temperature capability due to the imposed hydraulic limits. Whether a higher conductivity or temperature will be of benefit can only be determined after considering the criticality and fuel damage aspects of the concept.

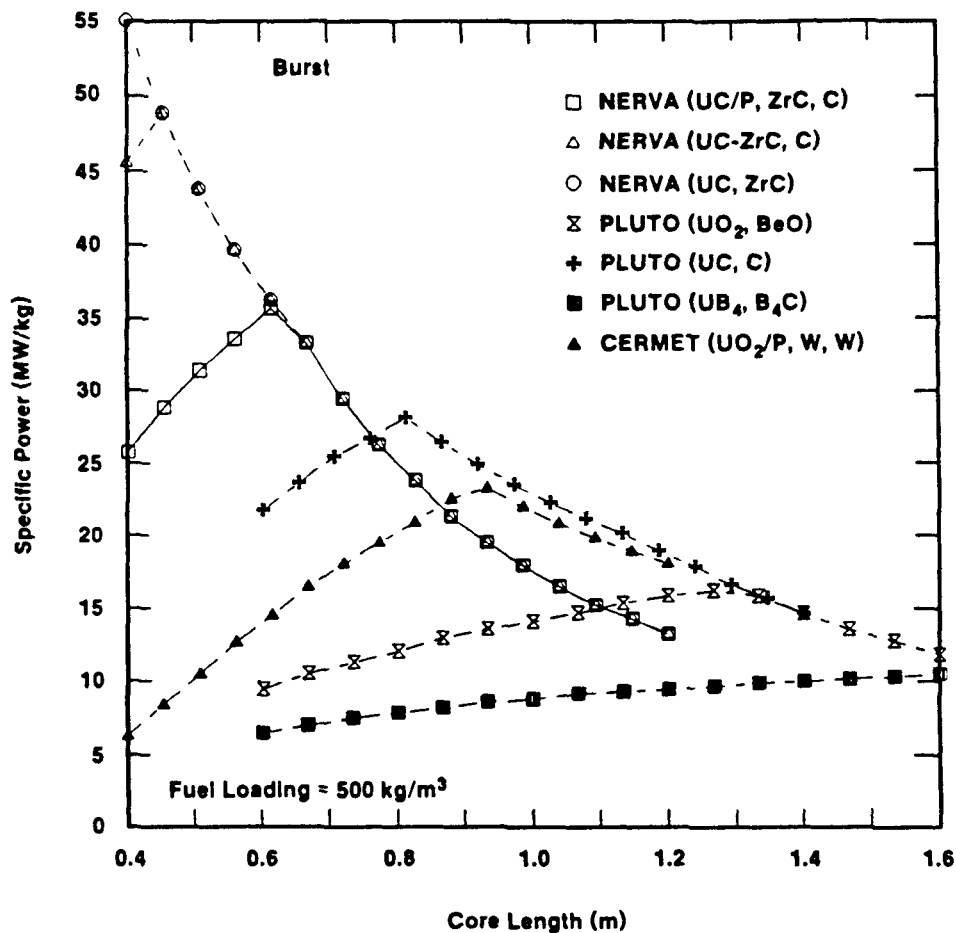


Figure C-7. Burst-Mode Specific Power for Prismatic-Core Concepts

For the MMWSS mode, specific power is limited by the imposed pressure drop and is not a function of the heat-transfer parameters (i.e., the thermal conductivity and the maximum fuel temperature). Thus, the power density is only a function of the core geometric data. The geometric data for the three concepts are summarized in Table C-3.

The results for the MMWSS-mode specific-power calculations are shown in Figure C.8 for all three prismatic-core concepts. The core pressure drop limit was assumed to be 1 percent in these illustrations; the other assumed operating conditions are as in Table C-1. The figure shows the specific power as a function of fuel loading for a core length of 1.0 m. The following formula can be used to determine the specific power for other core lengths for the MMWSS mode:

$$P_S(L) = P_S(1.0) / L^{3/2} \quad , \quad (C-6)$$

where  $P_S$  = specific power and  $L$  = core length (m).

Table C-3  
Summary of Geometric Data

<u>Parameter</u>	<u>NERVA</u>	<u>PLUTO</u>	<u>Cermet</u>
Hex flat-to-flat width (m)	0.01910	0.00683	0.03622
Channel diameter (m)	0.00254*	0.00400	0.00478
Number of channels/element	19*	1	19
Channel volume fraction	0.30	0.31	0.30
Pellet diameter (m)	0.00025	--	0.00010
Coating thickness (m)	0.000125	--	0.00005

\*These values were used in the illustrated specific-power calculations for both operational modes and for the burst mode in our final mass calculations. For the MMWSS case the estimates given in this document were based on specific-power calculations assuming 7 channels per element and a channel diameter of 0.0042 m.

All variations of a concept have the same specific power because the conductivity and maximum fuel-temperature limits have no effect when the specific power is hydraulic-limited. (The different variations for a concept use the same geometry and differ only in the core materials used.) As the figure shows, all concepts have about the same specific power for a given fuel loading. The cermet concept is somewhat better because the channel diameter is the largest; this results in a higher coolant velocity (and hence greater power) for the assumed maximum pressure drop.

#### C.4 Wire-Core and Foam-Fuel Gas-Cooled Reactor Concepts

The specific power of wire-core and foam-fuel reactor concepts was determined from the limiting heat-transfer and coolant pressure drop conditions specified for burst and MMWSS modes, as discussed in the previous sections and listed in Table C-1. The heat-transfer limit was based on the maximum allowable fuel temperature and material thermal conductivities.

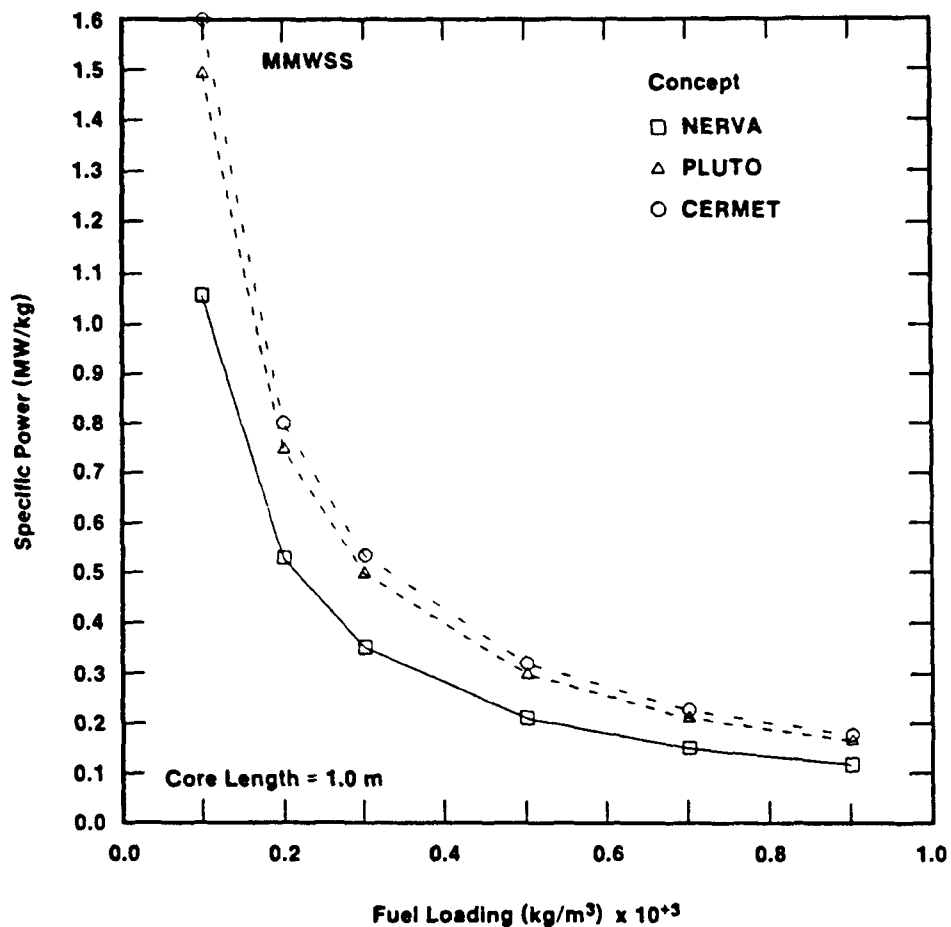


Figure C-8. MMWSS-Mode Specific Power for Prismatic-Core Concepts

In the wire-core reactor, the coolant flows axially into the open center, then radially out through the core. No pressure drop was calculated for the axial flow path. The specific power of the wire-core reactor concept for the burst mode is presented in Figure C-9. The decrease in specific power with increasing core thickness (i.e., the radial flow direction) is due to the reduction in coolant flow necessary to maintain the specified pressure drop. The maximum allowable temperature for UN fuel for the burst mode depends on the pressure of the nitrogen gas from thermal dissociation and the resulting effect on the cladding. Because this maximum temperature will depend on cladding thickness and other design variables, no absolute maximum temperature (below the melting temperature) can be assigned. Thus, a practical limit of 2000 K was used to obtain Figure C-9. The tungsten-clad fuel wire was assumed to be a constant 1-mm-diameter wire spaced axially on 1.7-mm centers and radially on 1.4-mm centers. This spacing determined the void fraction of the woven wire core. The calculations were also based on a radially and axially flat power profile. The parametric investigations varied radial core thickness and fuel-to-wire-diameter ratios. The core heat-transfer and pressure-drop correlations were obtained from Reference 3 for staggered cross-rod matrices.



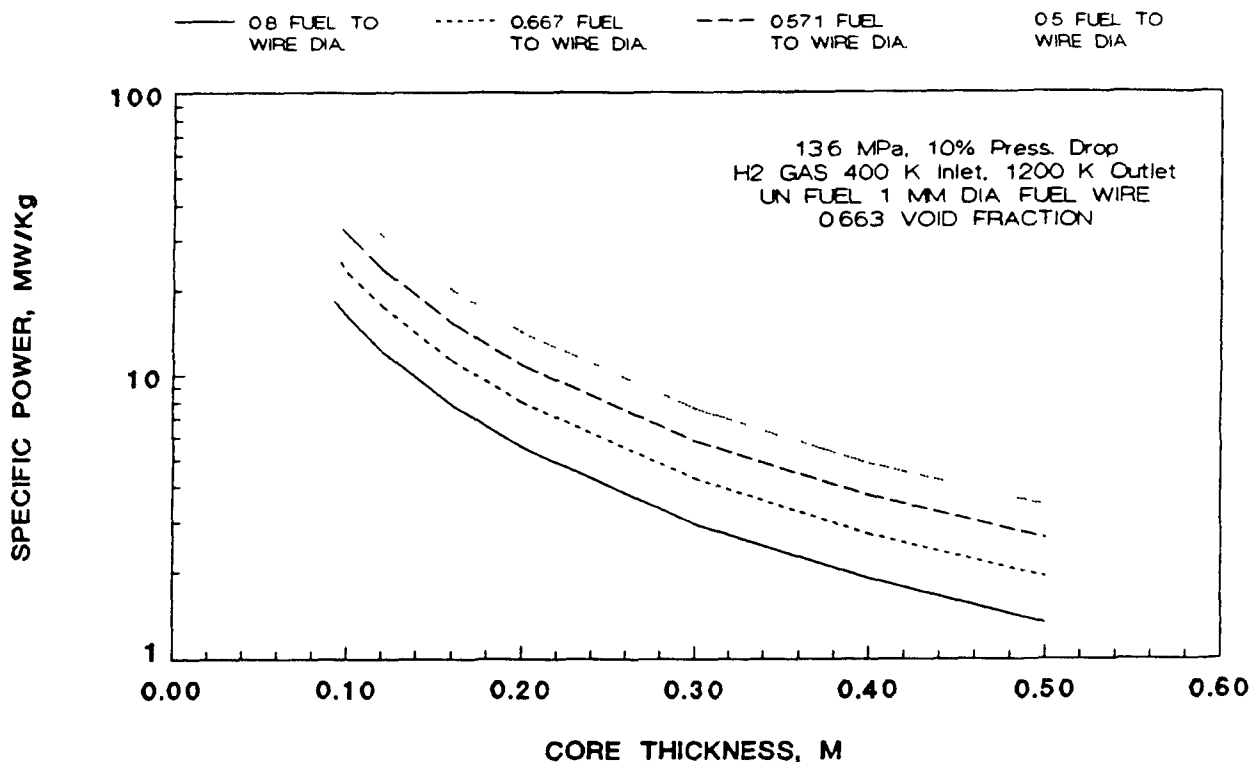


Figure C-9. Specific Power of Wire-Core Reactor in Burst Mode

The specific power of the wire-core reactor in the MMWSS mode is presented in Figure C-10. The maximum fuel temperature was assumed to be 1800 K; however, fuel temperature was not limiting. The specific power again decreases with increasing radial core thickness with similar limitations due to pressure drop. No axial flow pressure drop was accounted for. The axial coolant velocity in the wire-core reactor depends on the diameter of the axial flow path, the core dimensions, and whether coolant flows into the core center from one or both ends. Thus, for Figure C-9 in the burst mode (0.25-m-diameter axial flow path, equal core length and outer core diameter, and coolant flow from both ends), the axial coolant velocity is less than Mach 0.3 for core thicknesses less than 0.45 m. For the core thickness range shown in Figure C-10 for the MMWSS mode, the axial coolant velocity for these conditions was always less than Mach 0.3.

Very little design information was available for the foam-fuel reactor, and more design and performance information would be needed to really begin to understand the specific-power limits for this fuel. However, for purposes of this study, the particle-bed reactor was assumed to be fueled with foam fuel. That is, the annular frit portion of the particle-bed reactor was assumed to contain UC<sub>2</sub> foamed fuel. Also, fuel porosity, or the fuel void fraction, was varied from 20 percent to 95 percent. The foam fuel was assumed to be randomly oriented

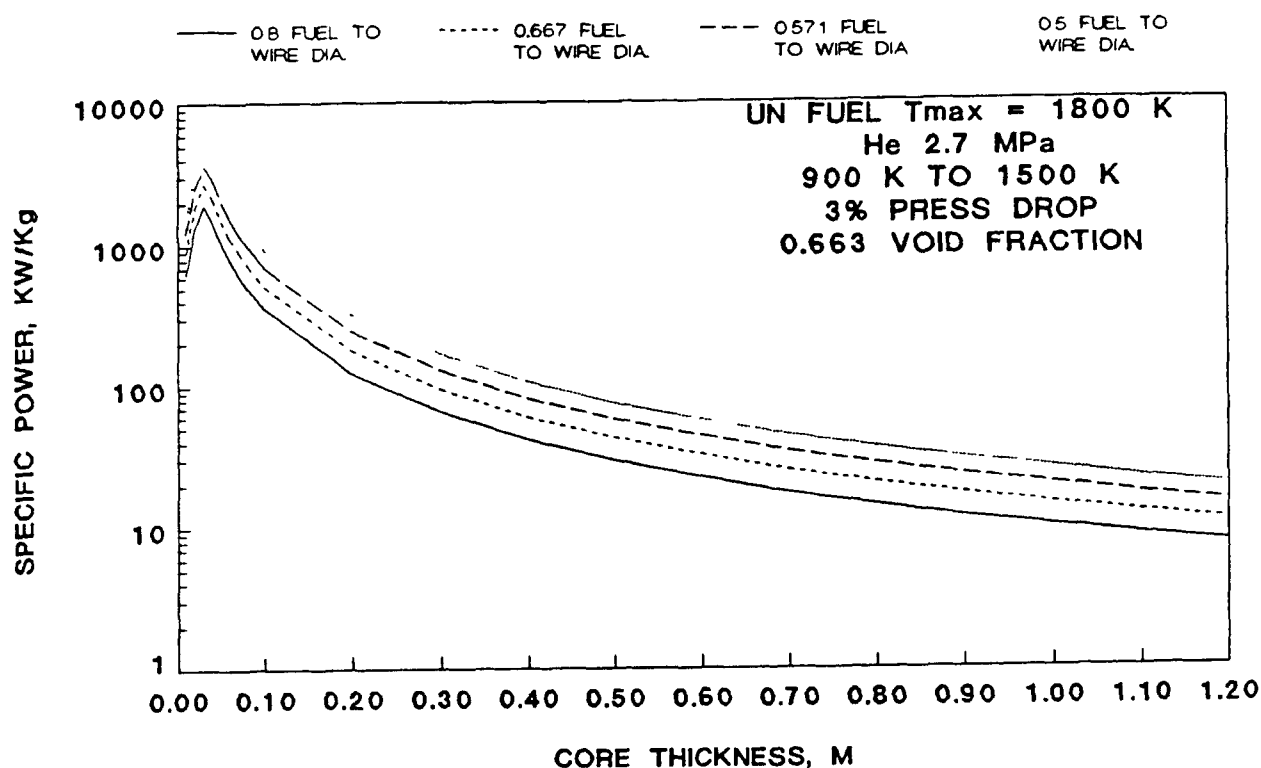


Figure C-10. Specific Power of Wire-Core Reactor in MMWSS Mode

0.55-mm diameter wires, including a 0.1-mm-thick coating. Heat-transfer and pressure-drop correlations were also obtained from Reference 3 for randomly stacked woven-screen materials. Typical burst and MMWSS mode results are shown in Figures C-11 and C-12 for  $UC_2$  fuel. The configurations shown are only representative of the study and do not necessarily provide an optimum design or configuration for either burst or MMWSS modes.

Specific power for a foam-fuel reactor increases as porosity increases, because more coolant can flow through the core for a given pressure drop. The specific-power limit is reached, however, when the maximum fuel temperature is reached and will occur at a different porosity for each different core configuration. In Figure C-11, the maximum specific power is reached at about 40 percent porosity. However, minimum core mass may be determined by factors other than thermal/hydraulic considerations and may occur at a porosity other than this.

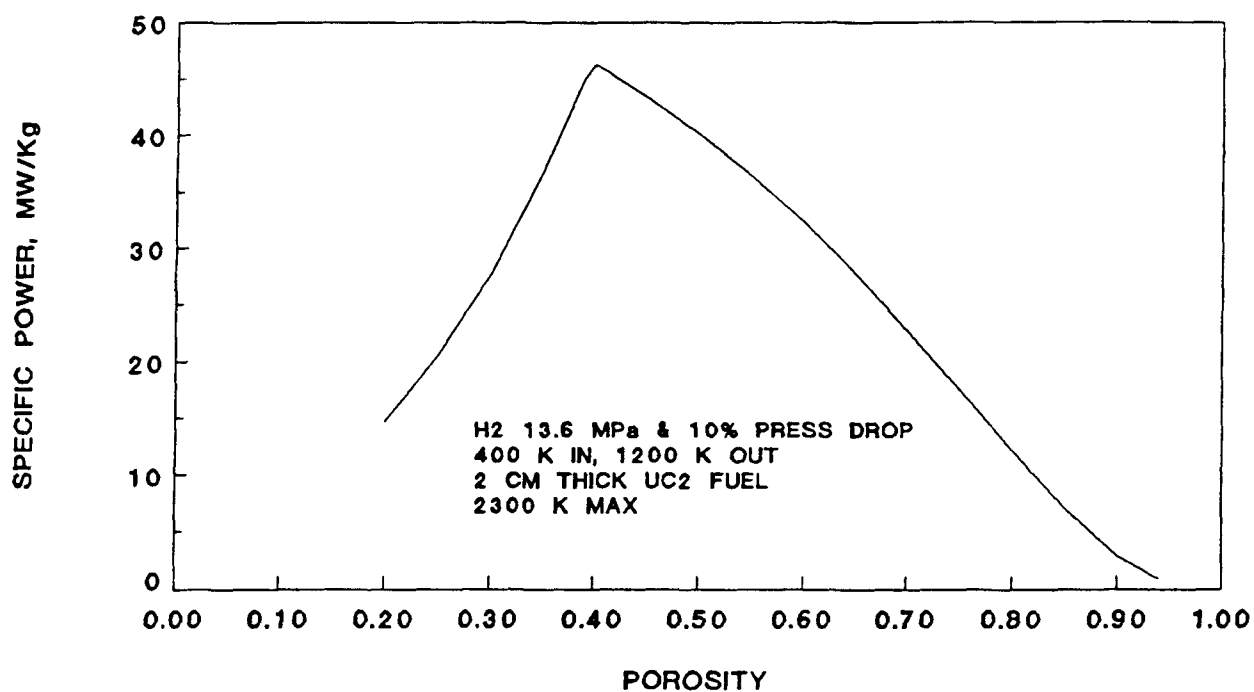


Figure C-11. Specific Power of Foam-Fuel Reactor  
Burst Mode

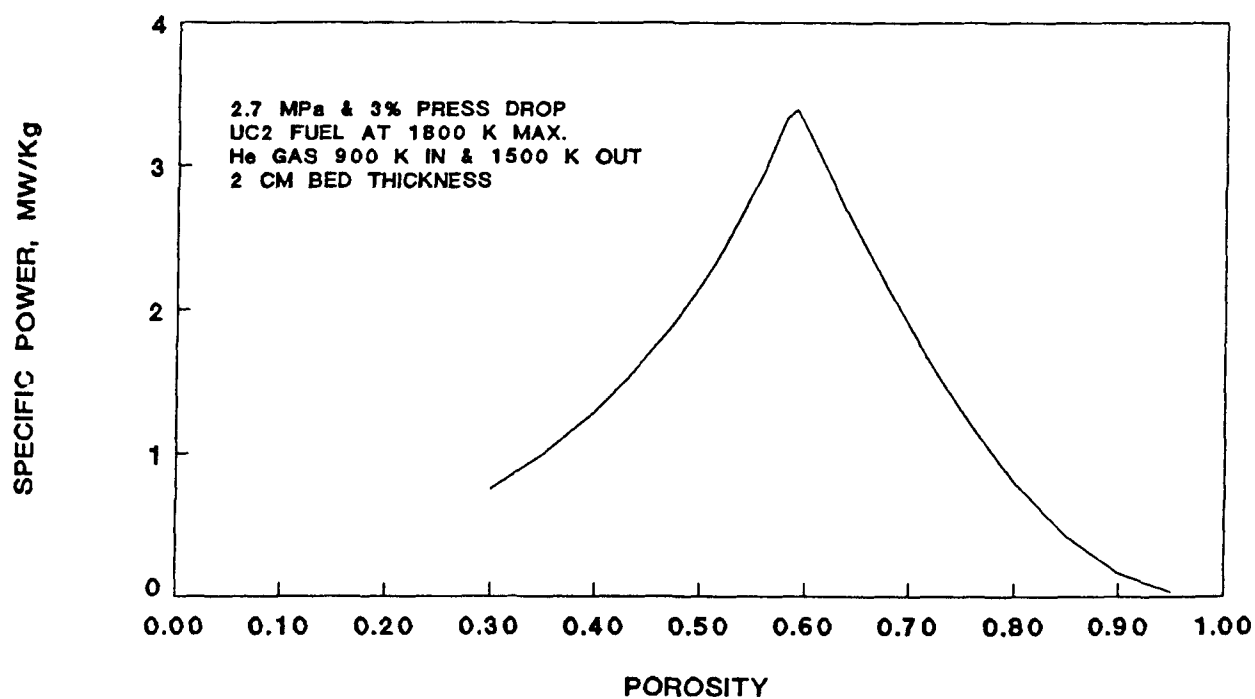


Figure C-12. Specific Power of Foam-Core Reactor  
in MMWSS Mode

For MMWSS mode (Figure C-12), the maximum specific power occurs at about 60 percent porosity (also for the  $UC_2$  fuel in a cylindrical fuel-element configuration 3 cm I.D. x 5.5 cm O.D. x 25 cm length). Coolant flows through this element radially inward and axially out of the center. Only pressure drops through the foam fuel were considered in the calculations used to obtain Figures C-11 and C-12. A moderated foam-fuel element (similar in coolant flow path configuration to the moderated particle bed reactor) would have approximately half the specific power of Figure C-12 for the MMWSS mode. These additional pressure drops, including the pressure drop through the moderator, were not considered in the mass calculations since the uncertainties in the other foam fuel parameters overwhelm the uncertainty in the core pressure drop.

### C.5 References

1. M. W. Edenburn, The Effect of Operating Temperature on Open MMW Space Power Systems, Fourth Symposium on Space Nuclear Power Systems, Albuquerque, New Mexico, January 12-16, 1987.
2. D. Dobranich, A Computer Program to Determine Specific Power for Prismatic Cores, SAND87-0735, May 1987.
3. Kays, W. M. and A. L. London, Compact Heat Exchangers, McGraw-Hill Book Co., 3rd ed., 1984.

## APPENDIX D

### MASS STUDIES

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## D.1 Method

The principal tool used for the reactor and shield mass studies was the RSMASS code (Ref. 1). RSMASS is a simple mathematical model that was developed to provide rapid estimates of reactor and shield masses for space-based reactor power systems. RSMASS uses approximations rather than correlations or detailed calculations to estimate the reactor fuel mass and the masses of the moderator, structure, reflector, pressure vessel, miscellaneous components, and the reactor shield. The fuel mass is determined either by neutronics limits, thermal/hydraulic limits, or fuel damage limits--whichever yields the largest mass.

RSMASS requires the user to specify the reactor power and energy, 24 reactor parameters, and 20 shield parameters. This parametric approach should provide good mass estimates for a very broad range of reactor types. Reactor and shield masses calculated by RSMASS were found to be in good agreement with the masses obtained from detailed calculations.

Mass estimates were begun using the proposers' input data. Important input data includes the molecular ratio of the moderator to the fuel, the type of moderator (if used), the volume fraction of the fuel and the moderator, the fuel burnup limit, the limiting specific power (thermal power per unit mass of fuel [see Appendix C]), the ratio of the structural volume to the fuel and moderator volume, etc. Using this and other input data, RSMASS provides estimates of the reactor and shield mass. Parametric studies were then performed varying fuel loading, coolant channel diameter, fuel size, fuel type, core geometry, etc., to obtain the minimal reactor and shield mass. These estimates, however, should not be considered to represent the masses for optimized systems. The mass studies are approximate and were used to determine whether a reactor concept would be exceptionally heavy or exceptionally light. Further mass reductions could be achieved by detailed optimization for many of these concepts; however, this should be done in an overall systems context.

The masses obtained from this study were for the assumed operating conditions described in Appendix C; different operating conditions can have a substantial impact on all of the calculated masses. A comparison of the relative masses of the various concepts, however, is not expected to be very sensitive to the chosen operating conditions.

## D.2 Burst-Mode Mass Estimates

### D.2.1 Pellet-Bed Reactor

The pellet-bed reactor was assumed to use a fuel bed in the form of graphite spheres imbedded with 500- $\mu$ -diameter  $UC_2$  particles contained in a cylindrical pressure vessel. Reactor masses were calculated for several pellet diameters, and, for each pellet diameter, the effect of various fuel loadings was studied. When an axial flow path was used, very large reactor masses were obtained. It was found that the reactor mass could be reduced by almost a factor of 3 by assuming radial flow from the center of the core to the core periphery. This assumption reduced the length of the flow path and consequently the pressure drop through the fuel bed at the same flow velocity. Because a 10 percent pressure drop is allowed, greater flow velocities and consequently improved heat transfer could be attained without exceeding the pressure-drop limit. The improved heat transfer increased the allowed specific power and reduced the fuel and reactor mass; consequently, radial flow was assumed for the pellet-bed studies.

Pellet sizes were varied between 0.5 and 4 cm, and fuel loading was varied between 100 and 900 kgU/m<sup>3</sup>. The lowest mass of 5.4 metric tons was obtained for 1.5-cm pellets with a loading at the assumed maximum value of 900 kgU/m<sup>3</sup>. At lower loadings, the reactor is criticality-limited, and a moderator mass penalty occurs.

### D.2.2 PLUTO Derivative Reactor

As described in Appendix A, the PLUTO derivative concept consists of unclad  $UO_2$ -BeO hexagonal fuel elements 6.83 mm across the flats with a single 4-mm coolant hole. Fuel loadings were explored from very low loadings up to a maximum loading of 900 kgU/m<sup>3</sup>. The minimal reactor mass of 3.7 metric tons was found to occur at a fuel loading of only 19 kgU/m<sup>3</sup>, because both the critical mass and the fuel mass based on the specific-power limits decrease with decreasing fuel loadings to very low loadings. For loadings much less than 19 kgU/m<sup>3</sup> the critical mass increases.

### D.2.3 NERVA/PLUTO Hybrid Reactor

This concept uses the PLUTO geometry with NERVA fuel ( $UC_2$ ). The fuel loading was varied between 300 and 900 kgU/m<sup>3</sup>. A minimum reactor mass of about 3.2 metric tons was observed for the assumed maximum fuel loading of 900 kgU/m<sup>3</sup>. Although the fuel mass increases slightly with increasing loading, the decrease in moderator mass, for this concept, results in a minimal mass at the highest loading.

#### D.2.4 UB<sub>2</sub> Reactor

This concept uses the PLUTO geometry with UB<sub>2</sub> fuel in a B<sub>4</sub>C matrix. The fuel loading was varied from 300 to 700 kgU/m<sup>3</sup>. The minimal reactor mass of about 3.9 metric tons occurred at about 500 kgU/m<sup>3</sup>. Above this loading, the specific power decreases and the fuel mass increases. Much below the 500-kgU/m<sup>3</sup> loading, the moderator mass becomes substantial. Although the proposer has suggested enriching the fuel in B<sup>11</sup> to prevent parasitic neutron capture in B<sup>10</sup>, our neutronics calculations show that this should not be necessary because the spectrum will be relatively hard and B<sup>10</sup> parasitic capture should not be significant if a 500-kgU/m<sup>3</sup> loading is used.

#### D.2.5 Cermet Reactor

The cermet reactor uses hexagonal fuel elements and no moderator. Each fuel element is 3.7 cm across the flats and is surrounded by a thin cladding (0.025 cm thick). The standard fuel element uses UO<sub>2</sub>/W and has 19 coolant channels 0.34 cm in diameter. Fuel loadings were varied from 2000 kgU/m<sup>3</sup> up to an assumed maximum loading of 6000 kgU/m<sup>3</sup>. Coolant channel diameters from 0.34 up to 0.5 cm were investigated.

Although higher loadings imply lower specific powers, they also result in less structural mass; consequently the highest permitted fuel loading resulted in the lowest reactor mass. It was also found that if the coolant hole diameter is increased to 0.5 cm diameter (30 percent void), the reactor mass can be reduced substantially to about 3.0 metric tons. The greater hole size reduces the pressure drop and increases the allowed specific power. The net effect is a reduction in fuel and reactor mass.

#### D.2.6 NERVA Derivative Reactor

The NERVA derivative concept uses hexagonal fuel elements 1.91 cm across the flats with 19 coolant holes 0.23 cm in diameter. These hexagonal fuel elements surround a central support element. The support element contains a cooled tie tube and, for the moderated concept, an annulus of ZrH<sub>1.7</sub> moderator. Coated UC<sub>2</sub> fuel particles were assumed. Fuel loadings from 300 to an assumed maximum of 900 kgU/m<sup>3</sup> were investigated. A minimum reactor mass of 3.3 metric tons was obtained at the highest fuel loading for the unmoderated NERVA. The highest fuel loading resulted in the highest fuel mass (because both the critical mass and the fuel mass determined by thermal hydraulics increase with increasing fuel-to-moderator ratios over this range), but the reduction in moderator mass more than compensated for this fuel-mass increase. The moderated burst mode reactor mass was about 2.4 metric tons at a fuel loading of about 400 kg/m<sup>3</sup>.



### D.2.7 Particle-Bed Reactor

The particle-bed reactor incorporates a number of fuel elements consisting of two concentric porous cylinders (called frits). The space between the frits contains a 2-cm-thick bed of coated 500- $\mu$ m-diameter  $UC_2$  particles. The inlet cooling gas flows axially between the fuel elements (and  $ZrH_{1.7}$  moderator, if present), radially through the bed and frits, then exits through the center hole of the inner frit.

Greater attention has been paid to this concept than to some of the other concepts at both BNL and SNLA (for example, moderator fraction optimization studies were carried out at SNLA, as described in Reference 2); consequently, the proposers' parameters should be reasonable. Because the particle-bed concept is the most difficult to analyze, and because much work has already been done for this concept, no further optimization studies were carried out. Instead, the principal effort for this concept was devoted to obtaining better input data, such as specific-power input, structure-to-fuel-and-moderator volume ratios, and critical mass input. When all of this improved input data was used in RSMASS, the mass of the particle-bed concept was estimated to be 4.07 metric tons. BNL has suggested that very-high purity  $LiH^7$  could be used instead of  $ZrH_{1.7}$  to reduce the reactor mass.

### D.2.8 Wire-Core Reactor

The wire-core reactor uses UN fuel enclosed within a thin hollow wire made of tungsten. The fuel wires are woven between solid tungsten spacer wires to form an open three-dimensional mesh. These spacer wires are spaced 13 mm apart in the axial direction. In the proposed reactor, the gas enters the core at the centerline, and the flow then turns radially outward into the wire fuel core.

The following fuel-to-wire-diameter ratios were used in the parametric calculation: 0.5, 0.57, 0.667, 0.75, and 0.8. These ratios correspond to the following fuel loadings: 2875, 2778, 5239, 6470, and 7360 kgU/m<sup>3</sup>. A minimal reactor mass of 2.2 metric tons was obtained at the assumed maximum fuel loading of 7360 kgU/m<sup>3</sup> (minimal clad thickness). The minimal mass occurs at the highest fuel loading because a substantial mass penalty is paid for the structural mass of the tungsten cladding.

### D.2.9 Foam-Fuel Reactor

The foam-fuel reactor is not well defined. The fuel consists of  $UC_2$  fuel in the form of a porous foam coated with graphite and  $ZrC$ . It is assumed that the foam fuel occupies the location of the particle bed in the particle-bed concept and that the foam-fuel approach may be considered as an alternative to

the particle-bed reactor. The coolant gas passes through the pores in the fuel.

As described in Appendix C, the fuel was assumed to be randomly oriented 0.55-mm-diameter UC<sub>2</sub> "wires," including a 0.1-mm-thick coating. The proposers' estimate of the fuel porosity was over 90 percent. In our calculation we used a more optimistic porosity of 80 percent. Our uncertainty analysis looked at porosities as low as 70 percent and as high as 90 percent. The estimated reactor mass was 2.5 metric tons. It must be pointed out, however, that nothing is known about this fuel, and these calculations are based on guesses about the fuel characteristics; consequently, the mass uncertainty for this concept is substantial.

### D.3 MMWSS-Mode Mass Estimates

#### D.3.1 Pellet-Bed Reactor

As for the burst mode, the coolant for the MMWSS mode was assumed to flow from the center of the core to the periphery. This flow geometry reduces the reactor-plus-shield mass by almost a factor of 3 relative to the proposed end-to-end flow. Loadings between 100 and 900 kgU/m<sup>3</sup> and pellet diameters between 1 and 8 cm were investigated. The reactor-plus-shield mass reached a minimum of 9.8 metric tons (4.9 metric tons for the reactor) at a loading of about 900 kg/m<sup>3</sup> and a pellet diameter of 2 cm.

#### D.3.2 PLUTO Derivative

Fuel loadings between 100 and 900 kgU/m<sup>3</sup> were investigated. A minimal reactor-plus-shield mass of 4.9 metric tons was obtained for an assumed maximum loading of 900 kgU/m<sup>3</sup>. The reactor mass for this case was 2.5 metric tons. The optimization for this case is linked to the moderator mass, which increases as loading decreases.

#### D.3.3 NERVA/PLUTO Hybrid Reactor

The fuel loading was varied between 300 and 900 kgU/m<sup>3</sup> for the NERVA/PLUTO Hybrid. At an assumed maximum loading of 500 kgU/m<sup>3</sup>, a minimal reactor-plus-shield mass of 9.3 metric tons was obtained. The reactor mass at this loading was 5.8 metric tons.

#### D.3.4 UB<sub>2</sub> Reactor

Fuel loadings between 300 and 900 kgU/m<sup>3</sup> were studied for the UB<sub>2</sub> reactor. The minimal reactor-plus-shield mass of 9.0 metric tons (5.5 metric tons of which is associated with the reactor) was obtained at an assumed maximum loading of

900 kgU/m<sup>3</sup>. Below this loading, the moderator mass penalty increases the reactor mass.

#### D.3.5 Cermet Reactor

The channel sizes used in this analysis were 0.34 cm and 0.5 cm. Two fuel loadings, 4000 and 6000 kgU/m<sup>3</sup>, were studied. A minimum reactor mass was obtained for the 6000 kgU/m<sup>3</sup> loading and 0.5 cm diameter coolant channel. The reactor mass for this case was 6.7 metric tons and the mass of the reactor-plus-shield was 8.0 metric tons.

#### D.3.6 NERVA Derivative Reactor

For the NERVA derivative reactor, loadings between 300 and 900 kgU/m<sup>3</sup> were studied. A minimal reactor-plus-shield mass of 9.5 metric tons occurred at a loading of about 500 kgU/m<sup>3</sup> for the unmoderated reactor. The reactor mass for this case was 6.0 metric tons. For the moderated NERVA the minimum reactor-plus-shield mass of 6.75 metric tons occurred at a loading of about 400 kgU/m<sup>3</sup>. The reactor mass for the moderated NERVA was 3.9 metric tons.

#### D.3.7 Particle-Bed Reactor

Due to the complexity of the analysis for this concept, only a few options were explored to reduce reactor mass. Since this concept has been investigated for some time by the proposers, it is expected that the chosen parameters are reasonable. A reactor mass of 5.6 metric tons and a reactor-plus-shield mass of 9.5 metric tons were obtained for the unmoderated particle-bed reactor. A ZrH<sub>1.7</sub> moderated case was also investigated, but for the chosen parameters, the reactor mass was greater than for the unmoderated case. However, since a variety of moderator-to-fuel ratios, bed thicknesses and types of moderators could be used, it is reasonable to believe that a thorough optimization study could yield a design with an appreciably lighter reactor and shield mass.

#### D.3.8 Wire-Core Reactor

Fuel-to-wire ratios between 0.5 and 0.8 were studied to minimize the reactor mass. At a ratio of 0.8, the minimum reactor-plus-shield mass was 3.6 metric tons and the reactor mass was 2.6 metric tons. This surprisingly low mass is a result of highly compact core with a large heat transfer surface area and no moderator mass. (The mass in our preliminary report used an approximate core thickness to obtain specific powers which resulted in a substantial over-prediction of the wire core mass.) Despite the low mass prediction for assumed nominal parameters, the uncertainty in the fuel performance for the wire core results in a large upper bound for the uncertainty limits.

#### D.3.9 Foam-Fuel Reactor

The uncertainties in the foam fuel parameters are very large. For a nominal choice of fuel parameters the reactor-plus-shield mass was 12.8 metric tons and the reactor mass was estimated to be 8.4 metric tons. It must be emphasized, however, that the uncertainty in the foam fuel reactor mass is enormous.

#### D.4 References

1. A. C. Marshall, RSMASS: A Preliminary Reactor/Shield Mass Model for SDI Applications, Sandia National Laboratories, Albuquerque, NM, SAND86-1020, 1986.
2. D. R. Gallup, SNLA, internal memorandum to L. O. Cropp, SNLA, "Criticality Calculations for the BNL Concepts," September 11, 1986.

APPENDIX E

ATTRIBUTE REVIEW

A. C. Marshall

## Attribute Review

This review of the nine candidate gas-cooled reactor concepts was guided by a list of attributes for space-based power systems (Reference 1). The attribute list and their definitions are given in Section E.3 of this appendix. The reviewers included nuclear engineers, mechanical engineers, and physicists with broad backgrounds. Materials specialists and other staff were consulted when necessary. Nuclear engineers from this group were asked to represent proponents and opponents for each concept. This approach, it is hoped, identifies the strengths and weaknesses of each concept. After many meetings, studies, and long discussions, a score of "good," "fair," or "poor" was awarded to each concept for each attribute. The guidelines for scoring and the results of this review are presented below:

### E.1 Burst Mode

#### E.1.1 Technical Risk

For this attribute the scoring was guided by the following definitions:

Good - A substantially similar reactor concept has been built and successfully tested.

Fair - The experience base is limited, but there are no insurmountable issues.

Poor - There is, at most, a very limited technical base and significant issues have been identified, or past attempts to use the concept have not been successful.

- Particle-Bed - Fair

The particle fuel has been developed for the HTGR and AVR reactors (Reference 2), but a reactor has never been built and tested in this configuration, and these types of fuel particles have not been tested for burst-mode conditions. No overwhelming problems are identified.

- NERVA Derivative - Good

Twenty reactors were built and tested, leading to the NERVA derivative reactor. Successful operation has been demonstrated for operating conditions similar to, but more severe than, the expected SDI operating conditions. A review of the test data has determined that the NERVA technology is essentially proven technology (Appendix G) for the burst-mode operating conditions. If very rapid power-ramps (seconds) are needed, the fuel is predicted to perform adequately; however,

demonstration of the rapid start capability will be required.

- Pellet-Bed Reactor - Fair (+)  
The successful AVR terrestrial reactor (Reference 2) is very similar to the proposed pellet-bed reactor. This concept was never tested in a flowing hydrogen environment or at the proposed power densities. Coating technology to protect the large pellets from hydrogen corrosion would also need to be developed. There are also differences in core geometry, and the AVR used an axially unconstrained fuel bed rather than the proposed fixed bed, which is constrained on all sides and may be subject to differential expansion considerations.
- PLUTO Derivative - Good (-)  
The substantially similar PLUTO reactor was successfully tested. This concept was never tested in a flowing hydrogen environment or at the anticipated temperatures and temperature gradients. The design inlet temperature for PLUTO was 860 K, and the peak fuel temperature was 1650 K (Reference 3). Inlet temperatures of 300 K and peak fuel temperatures of 1900 K have been assumed in this analysis. We have performed a preliminary stress analysis for the fuel that suggests that thermal stress cracking of the fuel may occur at the expected temperature gradients. It is not clear, however, that limited cracking will pose a problem for burst-mode operation.
- $UB_2$  Reactor - Fair (-)  
Although this fuel was never developed, no overwhelming problems have been identified.
- NERVA/PLUTO Hybrid - Good (-)  
The NERVA derivative reactor is substantially similar to this concept, although this configuration has never been tested.
- Wire-Core - Fair  
Wire-core fuel has been built and some testing of similar "ribbon fuel" has been conducted. No overwhelming problems have been identified.
- Foam-Fuel - Poor  
This represents a totally new reactor fuel concept. Issues concerning potential clumping of the fuel, fuel structural ruggedness, fabrication procedures, and maximum temperatures have been raised.

- Cermet Reactor - Fair  
Tests conducted for the expected burst-mode conditions (limited thermal cycling and 1200 K outlet temperatures) show good fuel performance (Reference 4); however, a substantially similar reactor has not been built and tested for burst-mode conditions.

#### E.1.2 Mass

- All gas-cooled concepts - Good

Although some mass differences were observed for the various concepts, the mass for all of the concepts is small. Also, there does not appear to be any substantial launch cost savings that could be obtained that would merit the increased development cost to reduce reactor weight. Although this attribute is considered a nondiscriminator, based on this study, the mass attribute was retained to emphasize this conclusion.

#### E.1.3 Safety

Insufficient Information to Discriminate.

There was a great deal of discussion and disagreement on the safety attribute before it was unanimously concluded that there was insufficient information to score any concept differently from any other concept. This does not imply that safety is not a discriminator; on the contrary, it is an important discriminator. Although we have carried out a preliminary safety study which supports our conclusions, until design work is sufficiently resolved and a great deal of safety analysis has been completed, no scoring can be provided.

#### E.1.4 Survivability

This attribute was considered to be a system-level attribute wherein the potential vulnerability of components, such as the radiator, may serve to discriminate between concepts. Gas-cooled reactors surrounded by a thick pressure vessel do not appear to be sensitive to the concept choice in regard to the issue of survivability. Furthermore, the hostile environment has not yet been adequately defined.

#### E.1.5 Reliability

This attribute is effectively included in technical risk and development cost, since all reactors will need to meet the same minimum requirement for reliability.



#### E.1.6 Power

The mass calculations are based on the assumption of a 500-MW (electrical) power level: consequently, the only discrimination based on power is the amount of excess power a concept possesses for the same reactor mass. In other words, if a concept is limited by neutronics at 500 MW electrical, an increase in the power requirement (relative to the assumed 500 MW electrical) may not increase the reactor mass. A preliminary study has shown that the mass of all of these concepts will be sensitive to significantly higher power requirements.

#### E.1.7 Operation Time

All of the proposed reactor concepts can operate well in excess of the assumed operation times without a substantial increase in mass.

#### E.1.8 Development Cost

The scoring for this attribute was based on the following:

Good - An essentially equivalent fuel form and reactor system has been developed.

Fair - The fuel form has been developed but reactor modification or development is required.

Poor - Only limited or unpromising development work has been completed on the fuel form.

- Particle-Bed - Fair  
Only the fuel form has been developed; the rest of the reactor needs to be developed. Also, the type of fuel particle proposed has not been developed and tested for burst-mode conditions.
- NERVA Derivative - Good  
The equivalent fuel and reactor have been developed. In fact, an on-site review has determined that all drawings, fabrication procedures, materials certifications, etc., for most recent NERVA designs appear to be totally retrievable (see Appendix H).
- Pellet-Bed - Fair  
A similar fuel form has been developed and operated (AVR reactor) with a helium coolant, but the reactor and fuel were not developed for the more severe burst-mode operating conditions.

- PLUTO Derivative Reactor - Fair (+)  
The fuel form and reactor have been developed and tested for test conditions similar to burst-mode requirements, but air was used instead of hydrogen, and the temperatures and temperature gradients were less severe than the anticipated conditions. Also, the original PLUTO reactor contained internal structure; the PLUTO derivative reactor has been proposed to possess no internal structure.
- $UB_2$  - Poor  
Fuel form totally undeveloped.
- NERVA/PLUTO Hybrid - Fair  
The fuel form can be the same as for NERVA, but the reactor system in this configuration has never been developed.
- Wire-Core - Fair  
The fuel form has been fabricated using  $UO_2$ /Nichrome, but the fuel and the rest of the reactor will need to be developed for burst-mode conditions.
- Foam-Fuel - Poor  
No experience at all, and many issues will need to be resolved. Full development effort required.
- Cermet - Fair  
Tests conducted for burst-mode conditions show good fuel performance, but a reactor has not been built and demonstrated for burst-mode conditions.

#### E.1.9 Fabrication Cost

The scoring for this attribute was based on the following:

Good - Requires only simple processes and relatively inexpensive materials.

Fair - Intermediate between good and poor.

Poor - Requires complex tooling or expensive processes.

- Particle-Bed - Fair  
Some fabrication complexity, but no very expensive processes identified.
- NERVA Derivative - Fair  
(As for particle bed.)
- Pellet-Bed - Good  
Simple geometry and straightforward fabrication procedures.

- PLUTO Derivative - Fair  
(As for particle bed, except that some complexity is expected in working with BeO.)
- UB<sub>2</sub> Reactor - Fair  
(As for particle bed, provided that spectrum is relatively fast and, consequently, very pure B<sup>11</sup> is not required.)
- NERVA/PLUTO Hybrid - Fair  
(As for particle bed.)
- Wire-Core - Good  
Straightforward fabrication procedures, similar to thermocouple fabrication procedures.
- Foam-Fuel - Good  
Simple fabrication procedures, provided that development is successful.
- Cermet - Fair (-)  
Fabrication for tungsten-based cermet should be expensive, but reactor design is relatively simple.

#### E.1.10 Manufacturability

Included in fabrication costs.

#### E.1.11 Controllability

This is effectively covered by technical risk and development costs, because all reactors will need to meet some established controllability criteria. No overwhelming problems in attaining adequate controllability for any of these concepts are foreseen.

#### E.1.12 Testability

No discrimination identified at the reactor level.

#### E.1.13 Volume/Area

Not a discriminator among gas-cooled reactors.

#### E.1.14 R&D Payoff

Not a discriminator.

#### E.1.15 Effluent

Not a discriminator among gas-cooled reactors.

E.1.16 Load Following

Related to controllability at reactor level.

E.1.17 Deployability

Not a discriminator among gas-cooled reactors.

E.1.18 Operational Life

Not a discriminator.

E.1.19 Safeguards

Not a discriminator. All >20 percent enriched and >5 kg of  $U_{235}$ .

E.1.20 Initial Operational Capability

Effectively included in operational cost.

E.1.21 Mechanical Compatibility

Not a discriminator.

E.1.22 Modal Shift Time

Insufficient data to discriminate.

E.1.23 Thermal Compatibility

Not a discriminator.

E.1.24 Strategic Materials

Based on the expected temperature requirements, all strategic materials can be engineered around.

E.1.25 Special Interfaces

Not a discriminator. No requirements identified.

E.1.26 Maintenance and Repair

Not a discriminator for burst-mode operation.

E.1.27 Radiation Compatibility

Not a discriminator.

E.1.28 Environmental Compatibility

Not a discriminator.

#### E.1.29 Electromagnetic Compatibility

Not a discriminator.

#### E.1.30 Design Change Tolerance

Covered by excess power attribute.

#### E.1.31 Shared Functions

Although an important attribute for gas-cooled reactors (which can use weapon hydrogen), it is not a discriminator among gas-cooled reactor concepts.

#### E.1.32 Upgradability

Not a discriminator.

#### E.1.33 Quality Assurance

Covered by fabrication cost.

#### E.1.34 Auxiliary Energy Requirements

Not a discriminator.

#### E.1.35 Operation and End-of-Life Costs

Not a discriminator.

#### E.1.36 Life Extendability

Not a discriminator

#### E.1.37 Intangibles

Not a discriminator

### E.2 MMWSS Mode

The guidelines used for scoring the MMWSS mode were the same as for the burst mode, except that the "strategic materials" attribute was not considered to be a discriminator for the burst mode, whereas it is a discriminator for the MMWSS mode. Consequently, the guidelines will be given only for the strategic materials attribute in this section. Also, the reasons other attributes were not chosen as discriminators will not be repeated here because they are the same for both modes. One exception is "operating time": operating time is not a discriminator for the MMWSS mode because all concepts will be equally sensitive to increases in the required operating time. The results of the MMWSS attribute are presented below:

### E.2.1 Technical Risk

- **Particle-Bed - Fair**  
Some of the technology has been established for the fuel in the high-temperature gas reactor (HTGR) and AVR reactor programs, but a substantially similar reactor has not been developed and tested. Since this unique approach of micro-particles with micro-flow passages has never been tested, issues relating to this new geometry must be considered (e.g., frit plugging during long-term operation, as discussed in Appendix F).
- **NERVA Derivative - Good**  
The NERVA configuration has been tested, and the concept is substantially similar to the HTGR with high burnup capability.
- **Pellet-Bed Reactor - Fair (+)**  
No breakthrough needed. Although similar to the AVR reactor, there are differences which prohibit a score of "good." These differences include the use of a fully constrained bed for the pellet-bed reactor and the use of a continually recirculating fuel bed in the AVR.
- **PLUTO Derivative - Fair**  
Some short-term testing of the PLUTO reactor and successful long-term testing of the EBOR fuel ( $\text{UO}_2$  particles in a BeO matrix [Reference 5]). A substantially similar reactor for MMWSS mode has not been built and tested.
- **$\text{UB}_2$  - Poor**  
No development at all of this type of fuel.
- **NERVA/PLUTO Hybrid - Good (-)**  
Scored for the same reasons used for NERVA, except that this configuration represents a greater deviation from NERVA and the HTGR (e.g., larger temperature gradients for this concept because of thicker fueled regions between coolant holes).
- **Wire-Core - Fair (-)**  
There is no experience base for this fuel; however, similar fuel has been fabricated, and (assuming porosity can be built into the fuel to accommodate fission products) no overwhelming problems are anticipated. There are also some functional similarities to clad-pin-type fuel.

- **Foam-Fuel - Poor**  
There has been no development of this fuel at all. In addition to the potential problems given for the burst mode, the ability of the coating to contain fission products needs to be demonstrated. The structural ruggedness of this fuel is also questionable. Vibration, flow variations, and other operational mechanisms could damage and fragment the fuel.
- **Cermet Reactor - Fair (-)**  
Cermet fuels have a poor performance record for this type of operation for burnups in excess of 1 percent; however, the incorporation of void space in the fuel may permit higher burnups.

### E.2.2 Mass

A score of "good" was awarded for all concepts with reactor and shield masses less than a nominal value of 7 metric tons with an upper uncertainty bound within a factor of 1.5 of the nominal value (10.5 metric tons). All other scores were awarded on the basis of the estimated mass and the upper uncertainty bound as follows:

<u>Estimated Reactor Mass (metric tons)</u>	<u>Upper Uncertainty Bound (metric tons)</u>	<u>Score</u>
<7	<10.5	Good
<10.5	>10.5 and <14*	Good (-)
<14	>14 and <17.5*	Fair (+)
<17.5	>17.5	Fair

Using these criteria the following scores were given to the concepts.

Good	UO <sub>2</sub> /BeO PLUTO Derivative (Moderated NERVA)**	
Good (-)	Cermet Moderated /NERVA NERVA/PLUTO Hybrid	Particle-Bed Pellet-Bed Wire-Core

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\*14 and 17.5 correspond to factors of 2 and 2.5 times 7 metric tons.

\*\*Moderated NERVA scores not included in final evaluation.

Fair (+)                     $UB_2/B_4C$  Reactor

Fair                        Foam-Fuel

### E.2.3 Safety

The conclusions drawn for the burst mode also apply to the MMWSS mode. No scores can be determined at this time.

### E.2.4 Development Cost

- Particle-Bed - Fair  
The fuel form has been developed, but the equivalent reactor system has not.
- NERVA Derivative - Fair  
The fuel form has been developed, but the equivalent reactor system has not.
- Pellet-Bed - Fair  
The fuel form has been developed, but the equivalent reactor system has not.
- PLUTO Derivative ( $UO_2$ -BeO) - Fair  
The fuel form has been developed for long-term operation (EBOR fuel tests), but an equivalent reactor system has not been developed for the MMWSS mode.
- $UB_2$  - Poor  
This fuel has not been developed at all.
- NERVA/PLUTO Hybrid - Fair  
The fuel form has been developed, but the equivalent reactor system has not been developed.
- Wire-Core - Poor  
No development work on fuel for the MMWSS mode (we assumed W-Re-clad UN with porosity in the fuel for this mode).
- Foam-Fuel - Poor  
No development work at all and many issues.
- Cermet Reactor - Poor  
Poor performance record for cermets for MMWSS conditions. Although a successful cermet may yet be developed for the MMWSS mode, such development is expected to be risky and costly.

Note that none of the concepts received a score better than "fair" for development cost for the MMWSS mode. A substantial development effort may be required for all of these concepts.



#### E.2.5 Fabrication Cost

- Particle-Bed - Fair  
Some fabrication complexity expected, but no very expensive processes identified.
- NERVA Derivative - Fair  
(As for particle bed.)
- Pellet-Bed - Good  
Uses a simple geometry and straightforward fabrication procedures.
- PLUTO Derivative ( $\text{UO}_2\text{-BeO}$ ) - Fair  
(As for the particle bed, although some complexity expected in working with BeO).
- $\text{UB}_2$  - Fair  
No anticipated issues, but since never fabricated, a "fair" score is given.
- NERVA/PLUTO Hybrid - Fair  
(As for particle bed.)
- Wire-Core - Good  
Straightforward fabrication processes, similar to thermocouple fabrication techniques.
- Foam-Fuel - Fair  
Although the fabrication process should be simple for the burst mode, a reliable fuel capable of containing fission products has not been established for the MMWSS mode. A "fair" score is given until a fabrication process yielding reliable fuel can be established.
- Cermet - Fair (-)  
Fabrication of tungsten-based cermet fuel should be expensive, but reactor design is relatively simple.

#### E.2.6 Strategic Materials

Good - No identified substantial use of strategic material.

Fair - Possible use of strategic material alloys (not known whether other nonstrategic materials can be substituted).

Poor - Required use of substantial amounts of strategic materials with no known acceptable substitute.

Only the cermet and the wire-core reactors, which uses tungsten-rhenium, were rated fair. No substantial use of strategic materials was identified for the other concepts.

This attribute may not be as significant as some of the other attributes.

### E.3 Attributes and Definitions

<u>Attribute</u>	<u>Definition</u>
Power	The maximum electrical power that the system is capable of delivering to the load.
Operation Time (Continuous, Burst, MMWSS)	The period of time over which the system is capable of delivering power at an established continuous-, MMWSS-, or burst-mode power level, as appropriate. Requirements in this area are characterized by minimum and maximum times and reference times, or both for the battle or routine operations, as appropriate, and for testing. In addition, a maximum period of time for which testing can deplete rechargeable systems below the battle or routine operation level, as appropriate, may be specified.
Modal Shift Time	A measure of the time required for the system to respond to changes in the load's demand for power as the platform shifts between operating modes (e.g., burst, alert, or continuous).
Load Following	A measure of the capability of the power to respond to changes in the load's demand for power within a single operating mode (e.g., burst, alert, or continuous).
Operational Life	The period of time over which the system is designed to be capable of operating.
Initial Operational Capability	The data upon which the concept or technology being developed can be expected to first become operational in the numbers required to meet SDI needs.
Controllability	The ability to transfer from one arbitrary safe state to another arbitrary safe state within a time period and in a manner that permits control of power system operation from a remote command

and control point. Also the capability of the power system to respond automatically to normal operating condition change (e.g., power transients) in such a manner that normal operation is restored.

Safety	The assurance that the system does not provide an unacceptable risk to operating personnel, or the public, prior to, during, and after operation.
Safeguards	The extent to which the development, implementation, and decommissioning of the concept or the use of the technology would increase or decrease the likelihood of nuclear material falling into unauthorized hands.
Environmental Capability	The likelihood that the development, implementation, and decommissioning of the concept or the use of the technology would result in unacceptable hazard to the biosphere.
Quality Assurance	All those planned and systematic actions necessary to provide adequate assurance that a system, concept, or technology will perform satisfactorily in service.
Reliability	The conditional probability that, given an initially successful launch, and no hostile action, the power system will be available and will perform properly on demand.
Survivability	The probability that the systems will not fail due to the effects of hostile actions on the platform, including nuclear explosives and beam weapons; and the effect of platform maneuvers.
Testability	<p>The extent to which assurance can be obtained by test of the deployed system or portions thereof that the overall concept or technology will function as designed when required. Testability is enhanced when:</p> <p>a. A concept can be separated into individual modules for testing and the results of such tests accurately</p>

- predict the performance of the entire concept; or
- b. A concept or technology can be tested on a different (usually smaller) scale in a system parameter (e.g., power) in a manner that predicts the performance of the concept or technology at the operating parameters.

Intangibles

Those aspects of the concept's or technology's design that affect only its public or political acceptability or its compliance with U.S. Statutes or international agreements.

Mechanical  
Compatibility

The extent to which dynamic effects (e.g., torques, vibrations, thrust) of the concept or technology affect the platform's ability to carry out its mission.

Radiation  
Compatibility

The extent to which ionizing radiation generated by the concept or technology affects the platform's capability to carry out its mission. Included is any synergistic effect caused by the thermal and mechanical environment.

Electromagnetic  
Compatibility

The extent to which electromagnetic radiation or effects (e.g., electromagnetic interference, electromagnetic pulses, induced currents, arcing) affect the platform's capability to carry out its mission.

Effluent

The extent to which effluents discharged by the concept or technology (e.g., open cycle cooling, outgassing) affect the platform's ability to accomplish its mission (e.g., through obscuring sensors or weapons, by deposition on the platform, or by chemical reaction with platform components).

Thermal  
Compatibility

The extent to which concept or technology thermal management requirements (e.g., a large radiator) affect the platform's ability to accomplish its mission (e.g., impeding pointing and tracking or decreasing platform survivability). Also considered is its capability to maintain necessary thermal

balance and the synergistic effect from radiation generated by the concept and the mechanical environment.

Shared Functions

The extent to which the technology or concept and the rest of the platform can share components or systems (e.g., shared coolant) or achieve economies of scale.

Technical Risk

The likelihood that the development program, employing the originally specified technologies or available alternative technologies, will produce feasible, planned-for results. Included is the consideration of the extent to which the success of the proposed concept or technology depends upon technological breakthroughs.

R&D Payoff

The extent to which the proposed technology development effort will increase the desirability of one or more concepts.

Development Cost

The total funding, appropriately adjusted for time of expenditure, required to develop (including testing) the proposed concept or technology.

Manufacturability

The likelihood that the concept or technology can be fabricated and delivered to meet the Initial Operational Capability requirement.

Strategic Materials

The extent to which the success of the proposed concept and technology or its production in the requisite numbers depends upon the availability of materials for which the U.S. cannot ensure a reliable supply.

Fabrication Cost

The total funding, appropriately adjusted for time or expenditure, required to fabricate the proposed concept or technology in sufficient numbers to meet SDI needs within schedule constraints.

Mass

The cost impact of the concept contribution to platform mass at time of launch.

Volume/Area	The cost of the contribution of the concept to platform volume and cross-sectional area at time of launch and when deployed on station.
Special Interfaces	The impact on cost from concept-specific interfaces with the launch facilities or launch vehicle. An example is special cooling equipment for unusual materials.
Deployability	These aspects of the concept, technology, or system design that increase or decrease the difficulty of or the likelihood of successfully deploying (e.g., on orbit assembly) the platform as required to meet SDI needs.
Maintenance and Repair	The minimization of the required maintenance and the ease of repairing the most likely power system faults, including consideration of human interactions.
Auxiliary Energy Requirements	Any power system energy requirements that cannot be met by the primary energy source (e.g., startup operations or maintenance activities).
Operation and End-of-Life Costs	The total funding, appropriately adjusted for the time of expenditure, required to operate platforms employing the proposed concept or technology including anticipated human interactions throughout the estimated platform lifetimes.
Design Change Tolerance	The ability of a concept or technology to support a wide range of requirements, without fundamental design change.
Upgrade Capability	The ease of modifying the power system while the platform remains on station.
Life Extendability	The extent to which the power system's design life can be extended.

#### E.4 References

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## APPENDIX F

### REVIEW OF POTENTIAL FOR FRIT PLUGGING

J. E. Brockmann  
D. J. Radar



## F.1 Introduction

The particle-bed reactor was one of the first gas-cooled reactors proposed for MMWSS applications. The novel configuration of microspheres contained in porous frits may have some advantages for certain applications; however, a unique approach may present unique problems or issues. In particular, the cooling channel flow areas through the fuel and frits are several orders of magnitude smaller than for prismatic fuel reactors. These very small flow channels suggest that we must now explore operating conditions and phenomena that would not be a major consideration for other reactor types. The nature of the fuel assembly (randomly packed microspheres) also implies some non-uniformity in the dimensions of these cooling paths. Furthermore, the fuel particles are not embedded in a matrix; hence, any fuel particle failure must take place directly into the coolant path.

Given these considerations, an issue has been raised concerning the potential for blocking of the flow path through either the frits or the interstitial spaces of the fuel particles. BNL has done some analysis in an attempt to resolve this issue (Reference 1). We reviewed BNL's work to determine whether this issue could, at last, be put to rest. One conclusion that may be drawn from BNL's work and our investigations, was that the source term for particulate is probably small. Although this conclusion does not dismiss plugging as an issue, it does reduce our concern. Nonetheless, only long-term testing will assure that the source term is, indeed, small. In the analysis that follows the potential particulate source terms are briefly identified and the potential for particulate plugging is investigated.

The focus on this issue for the particle-bed reactor should not be interpreted to mean that we feel that the particle-bed reactor concept possesses issues of greater concern than for other MMWSS concepts. The focus, as stated above, is an attempt to explore the potential for pitfalls of an uncharted approach and, if possible, to put this specific issue to rest. We must also point out that plugging is only an issue for MMWSS operation, and is not an issue for burst mode operation since blockage during the brief period of operation is unlikely.

## F.2 The Plugging Issue

We reviewed the frit-plugging analysis included in Brookhaven National Laboratory's study of a power design for a nuclear fuel element for the MMWSS mode. The fuel element is shown in Figure F-1. Spherical fuel particles (mean diameter of 500  $\mu\text{m}$ ) form an annular packed bed constrained between two cylindrical frits (i.e., retaining screens). Cool gas flows through the outer (cold) frit into the packed bed where it is

# AXIAL POWER VARIATION

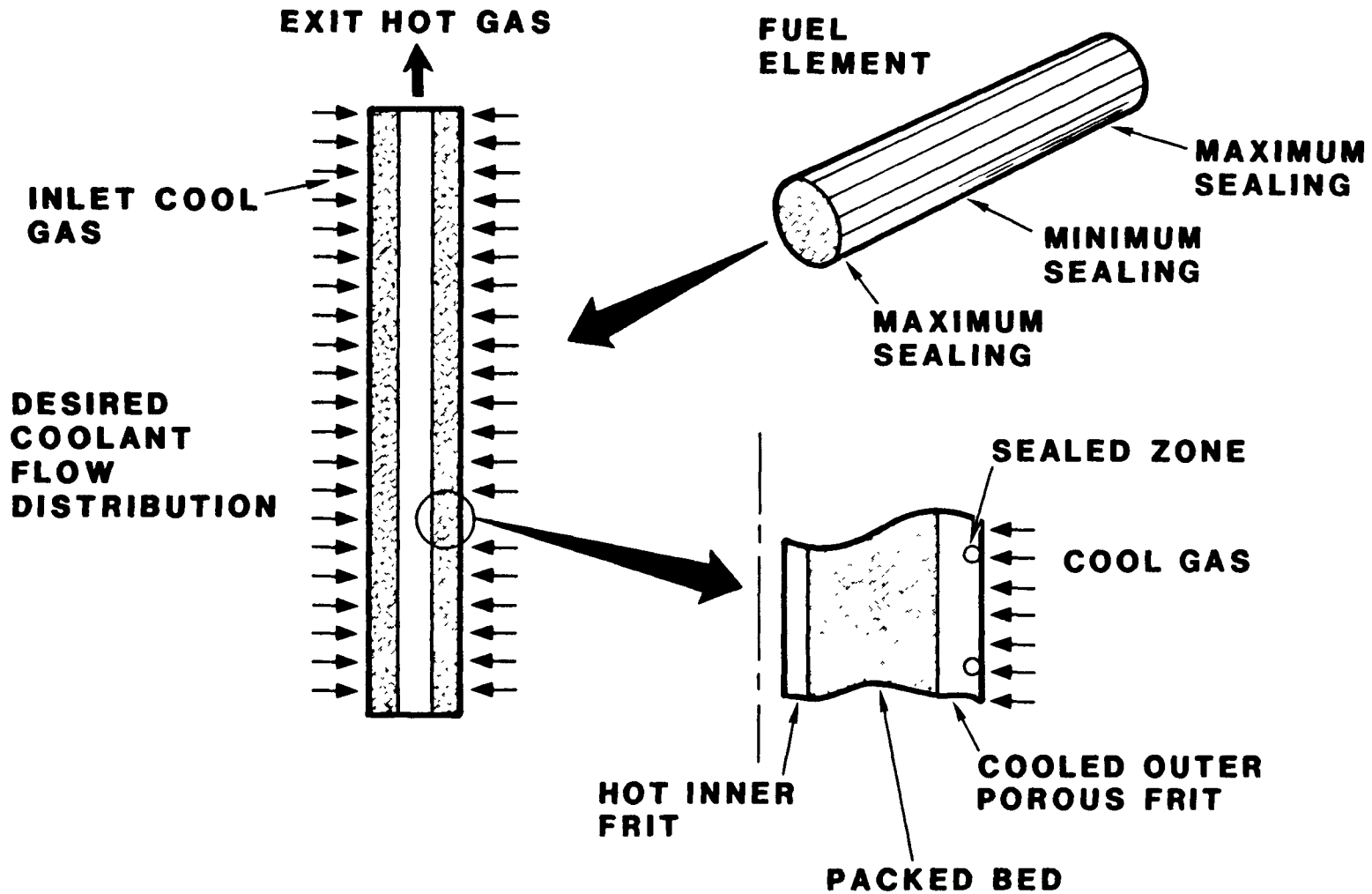


Figure F-1. Orifice Control of Cooler Flow

heated. The heated gas exits the packed bed at the inner (hot) frit and flows into a central outlet plenum. This hot gas is used to drive a turbine/compressor system and, now cooled, is finally returned through an outer plenum to the cold frit. The issue of concern is that aerosol particulate generated through this closed system might clog the frits or the fuel particle interstitial cooling paths. Clogging could increase the pressure drop through the system or alter the flow pattern causing overheating of the fuel particles. In our review we limited our analysis to frit plugging and did not address the potential for blocking the fuel particle interstitial cooling paths. Although our assumption in the frit-plugging analysis was a conservative bound for frit plugging, the quantity of particulate needed to cause localized plugging of the interstices is much less than for the frit-plugging case. Our analysis, consequently, provides some insight into the potential for plugging, but it does not represent a worst-case analysis.

### F.3 Discussion

Our review focused on two major aspects of the problem: aerosol generation and transport to the frits. We discuss these two areas below. We also present two simple models for estimating the potential impact of frit clogging for several particle sizes and concentrations. Finally, we include a few miscellaneous observations and a summary.

#### F.3.1 Aerosol Generation

The characteristics of the aerosol source term are of central importance: specific data on both the quantity and size distribution of the generated aerosol are essential for predicting the possibility of frit clogging. As we discuss below, plausible transport mechanisms of carrying degraded fuel particles to the hot frit can be argued, so that the severity of the clogging risk is dominated by the nature of the aerosol source term. Potential particular sources include:

- explosive fragmentation of the fuel particle,
- amoeba failure due to fuel kernel migration along a temperature gradient,
- launch vibration,
- general vibration including vibration associated with operation of the turbine and compressor,
- thermal and mechanical stresses associated with operational cycling,

- abrasive wear caused by movement of fuel particles in a flowing gas environment,
- corrosive failure of fuel particles,
- fission products released following particle failure.

BNL has explored most of these potential particulate sources and have presented their reasons for believing that the particulate produced will be insignificant. It may turn out that all particulate sources are insignificant and thus far, BNL's assessment of the particulate source term is encouraging. Nonetheless, until long-term testing or operational experience has demonstrated that no unanticipated and significant particulate sources are generated and no plugging or local flow blockage problems occur, the potential for plugging remains an issue. Again, we must emphasize that we do not anticipate a problem, we simply cannot, as yet, dismiss plugging as an issue.

### F.3.2 Aerosol Transport to the Frits

We considered two possibilities: (1) that the fuel particles remain tightly packed throughout the element lifetime and (2) that the fuel-particle bed becomes fluidized. In both cases, we postulate plausible transport mechanisms that might lead to clogging of the hot frit. We are particularly concerned by the following apparent assumptions by BNL: (1) that a pore can only be clogged by particles larger than the pore diameter, (2) that particulate smaller than the pore diameter will pass through it, and (3) that particulate not stopped by the cold frit will pass through the hot frit.

Particle deposition is a complicated process that is frequently dominated by its inherently unsteady nature. Collisions between particles and pore surfaces can result from a variety of physical mechanisms, including diffusion, interception, inertial impaction, and electrical migration. In the diffusion regime, for instance, these collisions can occur for particle diameters much smaller than the pore size. Depending on the physical properties of both the particle and pore surface, these collisions may or may not be effective (that is, the particles may or may not adhere to the surface). If the particles do stick, continuous deposition can lead to obstruction of the pore. As with common fibrous filters, the collection efficiency will increase with time. Thus, particulate much smaller than the pore size can eventually obstruct flow through the pore.

Collection characteristics between the hot and cold frits cannot be easily compared. The difference in pore size was noted by BNL, but other operating differences were not. Significant increases in the radial velocity can be expected because of the cylindrical geometry of the system and the specific volume increase resulting from fluid heating. Thus, there exists the possibility that a particle that passes through a small pore at low velocity (cold frit) could be collected by a larger pore at a higher velocity (hot frit).

#### F.3.2.1 Fixed Bed

The transport of aerosols through packed beds is inherently an unsteady process (Reference 2). Initially, the bed is clean and can be characterized by a certain initial pressure drop and aerosol collection efficiency. For a uniform bed of depth  $\Delta$  packed with spherical grains of diameter  $D_g$  to a solids fraction  $\alpha_g$ , the penetration  $P$  through the bed (ratio of the concentrations of particles exiting and entering the bed,  $P = c_{out}/c_{in}$ ) is given by

$$\ln P = - \frac{3\alpha_g \Delta \eta}{2D_g} \quad , \quad (F-1)$$

where  $\eta$  is the single-grain capture efficiency (Reference 3). Single-grain capture efficiencies are available for various flow regimes and deposition mechanisms (References 3 and 4). The single-grain capture efficiency will depend on particle properties (e.g., diameter and density), flow properties (e.g., Reynolds number), and bed properties (e.g., solid fraction). The important fact is that the penetration is not always either one or zero but is a continuous function. Thus, for any set of conditions, a particle size can be found that will penetrate the bed. Thus, until the source term is well characterized, transport through the bed to the hot frit cannot be ruled out.

Resuspension poses a second possible method of particulate transport through the packed bed. Particles that were initially trapped in the bed matrix could resuspend under altered flow conditions, e.g., resulting from pulses or vibrations. Also, the radioactive nature of the fuel-pellet bed environment may have a pronounced effect on the ability of the fuel-pellet bed to retain trapped particles (Reference 5), possibly enhancing resuspension. With time, resuspended particulate could migrate to the hot frit.

### F.3.2.2 Fluidized Bed

Although the fuel bed begins as a tightly packed matrix, we considered the eventual possibility of fluidization. Fluidization could result, for instance, if there were sufficient degradation of the fuel elements to significantly increase the void fraction. The dangers of fluidization are twofold: (1) enhanced migration of particulate through the bed to the hot frit and (2) increased potential for further fuel-pellet erosion. These concerns might be addressed by fixing the bed in a matrix.

### F.3.3 Simple Clogging Models

In this section, we present two simple models for estimating the potential for frit clogging. In both models, the fuel element design of B&W has been assumed. Their design for the hot frit is shown in Figure F-2.

In the first model, we consider that an aerosol of diameter  $D_p$  is uniformly deposited over the hot frit surface in a layer of depth  $\Delta_p$ . For several particle diameters, we calculate the resulting pressure drop as a function of the fraction of fuel that is aerosolized.

#### F.3.3.1 Model 1

The volume of the pores  $V_{\text{pore}}$  in the hot frit can be approximated as

$$V_{\text{pore}} = 2\pi r_f \delta r_f s_{\text{pore}} L \quad , \quad (\text{F-2})$$

where  $r_f$  is the frit radius (1.5 cm),  $\delta r_f$  the frit thickness (0.10 cm) (note that  $\delta r_f < r_f$ ),  $L$  the frit length (30.48 cm), and  $s_{\text{pore}}$  is the fraction of the frit surface that is open. From the pore arrangement shown in Figure F-2,  $s_{\text{pore}}$  is calculated to be 0.4. The total volume of fuel material  $V_{\text{fuel}}$  in the fuel element is

$$V_{\text{fuel}} = \pi (r_o^2 - r_f^2) \alpha_g L \quad , \quad (\text{F-3})$$

where  $r_o$  is the outer radius of the fuel bed (2.75 cm). The ratio of the pore to fuel volume is

$$\frac{V_{\text{pore}}}{V_{\text{fuel}}} = \frac{2s_{\text{pore}} r_f \delta r_f}{\alpha_g (r_o^2 - r_f^2)} \quad . \quad (\text{F-4})$$

CHEMICAL VAPOR DEPOSITED TUBE, EDM SLOTTED  
(APPROX. 10,000)  
SLOTS

PRELIMINARY

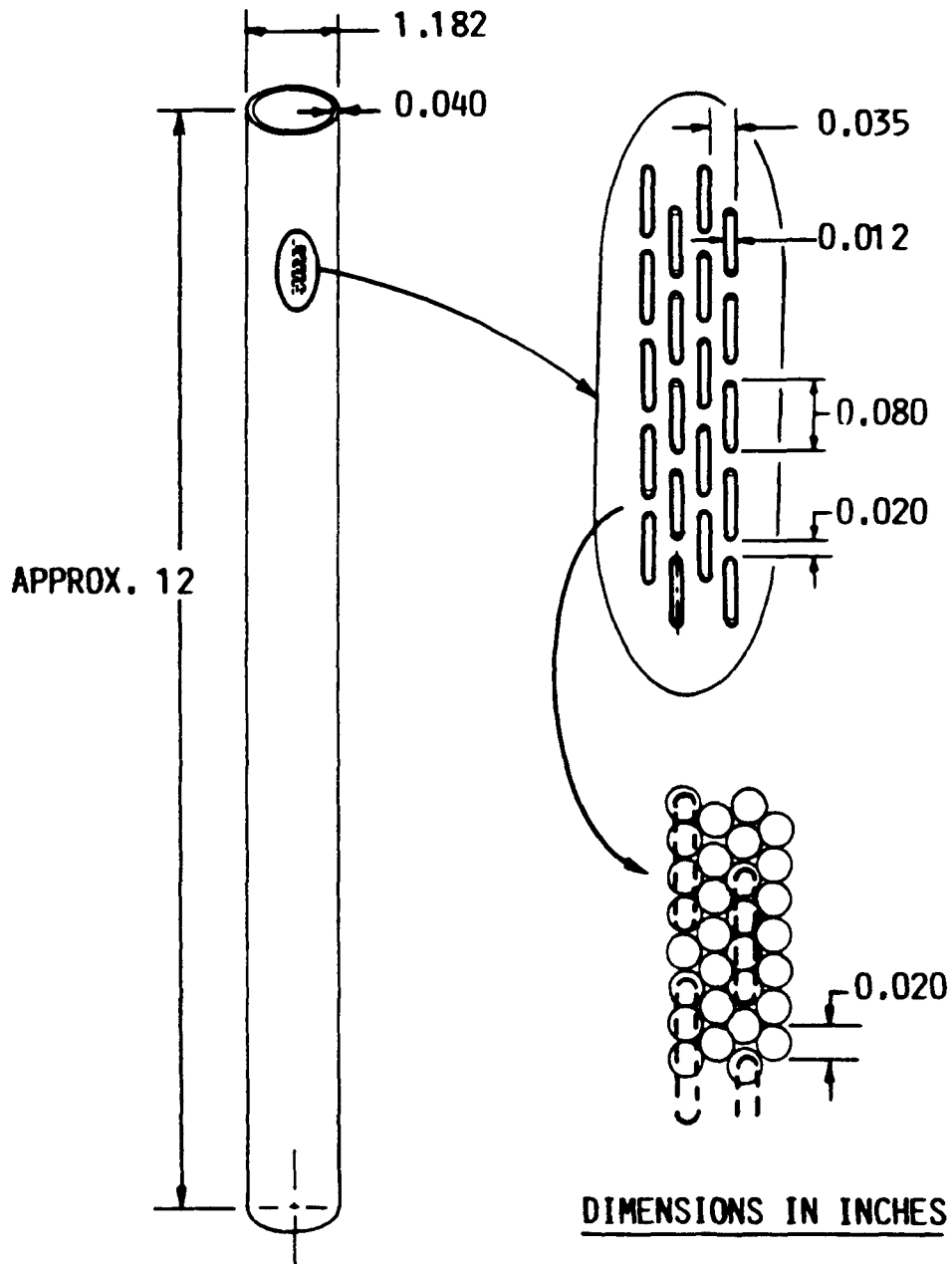


Figure F-2. Rhenium Hot Frit

Assuming a solids fraction of  $\alpha_g = 0.6$ , this ratio is 0.038. Thus, a complete obstruction of the frit could be obtained under these conditions with only a moderate amount of fuel degradation.

This model is offered primarily for illustrative purposes. The assumption that all of the aerosol is preferentially collected within the pores is not physically reasonable, particularly with a solids fraction of 1. Had the ratio been significantly larger, concerns over frit plugging would have been significantly diminished. However, we feel that the present ratio is small enough to warrant further analysis.

### F.3.3.2 Model 2

For this model, we assume that the aerosol generated by fuel degradation is deposited in a uniform layer over the entire hot frit surface to a depth  $\Delta_p$ . The particles are assumed to be monodisperse (all of the same size) with diameter  $D_p$ . This aerosol layer is porous and will be assumed to have a solids fraction  $\alpha_g = 0.6$  independent of particle size (typical for spherical packings). We are interested in estimating the pressure drop through this layer.

The pressure drop  $\Delta P$  per unit depth through a bed of spheres of diameter  $D_p$  packed to a depth of  $\Delta_p$  can be approximated by the Ergun equation:

$$\frac{\Delta P}{\Delta_p} = \frac{\alpha_g}{[1 - \alpha_g]^3} \frac{V_o}{D_p} \left( 150 \alpha_g \frac{\mu}{D_p} + 1.75 \rho V_o \right) \quad , \quad (F-5)$$

where  $V_o$  is the approach velocity (velocity the fluid would have in the absence of particles) and  $\mu$  and  $\rho$  are the fluid viscosity and density (Reference 6). Assuming that the working fluid is helium at 1500 K and  $2.7 \times 10^7$  dyne/cm<sup>2</sup> (26.6 atm), then  $\mu = 5.7 \times 10^{-4}$  g/cm/s and  $\rho = 8.4 \times 10^{-4}$  g/cm<sup>3</sup>. We assume a solids fraction  $\alpha_g = 0.6$  for all diameters and use an approach velocity of 400 cm/s. Using these values, we calculate the pressure drop per unit depth given in Table F-1.

It would be more convenient to put these results in terms of pressure drop per amount of fuel degradation. To do this, first take the ratio of the volume of the fine particle layer  $V_{\text{fine}}$  to the total volume of fuel  $V_{\text{fuel}}$  (assuming the  $\Delta_p \ll r_f$ ):

$$\frac{V_{\text{fine}}}{V_{\text{fuel}}} = \frac{2\pi r_f \Delta_p \alpha_g L}{\pi (r_o^2 - r_f^2) \alpha_g L} \quad . \quad (F-6)$$



Let  $f$  be the fraction of fuel degraded per unit depth of the aerosol deposit ( $f = (V_{\text{fine}}/V_{\text{fuel}})/\Delta p$ ). We can write the following result for  $f$ :

$$f = \frac{2r_f}{r_o^2 - r_o^2} \quad (F-7)$$

Using the appropriate values, we find that  $f = 0.565 \text{ cm}^{-1}$ . Dividing the pressure drop per unit length by  $f$  gives the pressure drop per volume fraction of fuel degradation. These values are given in Table F-1, where we have converted to percentages. For example, an increase in the pressure drop of 11 atm will be found for each 1 percent of fuel degradation into monodisperse 1- $\mu\text{m}$  particles. Although very approximate, these calculations suggest that the pressure drop increases resulting from frit clogging might be significant.

Table F-1

Pressure Drop Through an Aerosol Layer on the Hot Frit

$D_p (\mu\text{m})$	$\frac{\Delta P}{\Delta p} \left( \frac{\text{atm}}{\text{cm}} \right)$	$\frac{\Delta P}{f} \left( \frac{\text{atm}}{\% \text{ fuel degradation}} \right)$
10	196	0.11
5	780	0.44
2	4,860	2.74
1	19,400	11.0

#### F.4 Miscellaneous Observations

In addition to the main issue of frit-clogging, we offer the following observations and/or suggestions.

##### F.4.1 Pressure Drops Through Packed Beds

During our literature review, we found a recent reference (Reference 7) on the pressure drop through porous media. This paper compares the Ergun equation (which is proposed in the BNL handout) and the Ahmed-Sunada equation with experimental data. The authors note that some caution needs to be exercised when using the Ergun equation for estimating pressure drop.

#### F.4.2 Aerosol Deposition In Unprotected Areas

Besides the frits and the fuel bed, particle deposition could also occur on any surface within the flow system. There is evidence in the literature that enhanced deposition of radioactive aerosols can occur (Reference 5) as a result of particle self-charging (Reference 8). In light of the radioactive nature of such deposits, care should be taken to protect mechanical or electrical components from this source of radiation.

#### F.5 Summary

We feel that further tests need to be performed before a "yes or no" answer can be given to the frit-clogging issue. The quantity and size distribution of the aerosol source term are the key issues, and we feel that this information must come from experimental testing. With such data in hand, further analysis could be done using the packed-bed theory in the literature. Modeling would be complicated, however, by factors such as the high temperatures, cylindrical geometry, and radioactive nature of the aerosol and environment. With this in mind, the best avenue to pursue might be a testing program that includes the frit-clogging issue.

We would strongly encourage the use of filters upstream of the cold frit. We believe that these could be used effectively at the temperatures likely to be encountered (900 K) with minimum pressure drop. A method of filtering an aerosol downstream of the hot frit would reduce erosion rates and minimize deposition throughout the system, but finding a serviceable filter medium at such high temperatures might be difficult.

One additional recommendation would be to include a particle concentration diagnostic in the fuel design. Reasonably small, inexpensive units are available that can monitor both particle concentration and size. This would provide valuable operating data during the lifetime of the device.

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APPENDIX G

ASSESSMENT OF THE NERVA REACTOR

D. Dobranich  
D. R. Gallup  
A. C. Marshall

## G.1 Introduction

As our evaluation neared completion, it became clear that the NERVA derivative reactor was a strong candidate for burst-mode operation. In order to be sure that our conclusions regarding the performance of the NERVA reactor were valid, two independent reviewers (Dean Dobranich and Don Gallup) were assigned to review the NERVA test results. Dobranich was asked to provide a brief overview, and Gallup was asked to review the later tests in greater detail. In addition, staff members associated with NERVA at Westinghouse, Los Alamos National Laboratory (LANL) and the DOE (currently at DOE) were consulted. Our conclusion that the NERVA reactor demonstrated successful operation for conditions in excess of expected burst-mode SDI requirements was verified. Dobranich's and Gallup's reviews follow.

## G.2 Assessment of the NERVA Reactor Tests (D. Dobranich)

Between 1959 and 1972, a series of tests was conducted with the goal of developing a nuclear rocket engine, referred to as the NERVA reactor. The following question with regard to the NERVA reactor had been posed: Was there sufficient experimental evidence to indicate that the NERVA reactor can be successfully used for MMW power in burst-mode operation? (Burst-mode criteria are exit coolant temperature between 1200 and 2000 K, 12 to 20 restarts, and total operating time of 15 to 45 minutes.) I have made a cursory review of the available data in an attempt to address this question; the results of this review are summarized in this section.

The NERVA test results indicate that initially there was a problem related to the loss of graphite element material to the hydrogen coolant. Two mechanisms by which this loss occurred were identified: diffusion through the coolant channel coating and cracking of the coating. The coating (either NbC or ZrC) was applied to the coolant channels of the fuel elements using a chemical vapor deposition (CVD) technique. The purpose of the coating was to prevent corrosive interaction between the graphite and the hydrogen coolant, which would lead to loss of graphite material and subsequent loss of element integrity.

Diffusion of graphite through the coating occurred predominantly at the exit of the reactor (termed the "hot end") where the coolant temperature was highest. For coolant temperatures below about 2000 K, diffusion was not a problem. Several changes in the element coating process were made that essentially eliminated the hot-end diffusion. These changes included minor increases of the coating thickness, improved deposition techniques, and an increase in the temperature at which the coating was applied.

The more serious graphite loss problem involved the second mechanism, in which graphite diffused through cracks in the coating. This problem occurred predominantly in a region about one-third of the core length away from the core entrance. At this location, termed the midrange region, diffusion was not a problem because of the lower coolant temperatures. However, the lower coolant temperature, in conjunction with the higher local power density in this region, resulted in the most severe temperature gradient across the fuel element. This radial temperature gradient gave rise to stresses that were sufficient to crack the coating, thereby allowing graphite to escape to the coolant. Cracks were also formed during the CVD process itself as the coating cooled from its deposition temperature.

As the NERVA tests proceeded, changes in the coating procedure were made that reduced the cracking problem. These changes included thinner coatings in the midrange region, variation of the CVD temperature to control crack size and to improve adhesion, the use of molybdenum overcoat to fill in microcracks formed during cooling of the applied coating, radial power flattening via orificing and enrichment zoning to reduce thermal stresses, and producing graphite with a coefficient of thermal expansion that matched that of the coating. By the end of the NERVA development program, the rate of graphite loss from the elements had been reduced by a factor of 10. For operation at full power for about 110 minutes, tests in the nuclear furnace (a NERVA experimental facility) resulted in graphite mass loss of about 1 percent to 2 percent, compared to 10 percent to 20 percent for earlier tests.

After the NERVA program ended, Westinghouse continued to refine the fuel and coating materials to the point that graphite loss was insignificant. Given the fact that the burst-mode operating criteria are somewhat less severe than those to which the NERVA reactors were designed, it seems that a successful fuel development effort for MMW power reactors is essentially complete. At the very least, the major problems have been identified, and reasonable solutions to the problems have been demonstrated.

Some structural problems were associated with the Peewee-1 test, which took place near the end of the NERVA program. After more than three hours of operation at power levels above 1 MW (40 minutes at 503 MW), the experiments were ended because parts of the unfueled filler elements were observed to be exiting the reactor. The reasons for the partial core breakup were determined to be related to design oversights or fabrication mistakes. The design problems were solved and were to be tested in Peewee-2; however, the NERVA program was terminated before the tests were initiated. One should remember that Peewee-1 was a research reactor designed primarily

for fuel testing, and the failed hardware was associated with the test bed and not the fuel or other "standard" NERVA components. The NRX series of tests, however, were technology demonstration tests intended to test the entire reactor system. NRX-A6, the last of the NRX series, successfully ran for 60 minutes at 1125 MW with an exit coolant temperature of 2280 K. Although minor hardware problems were encountered, the reactor remained intact.

To summarize, the major problems identified with the NERVA fuel have been solved. Some minor structural problems were observed even for successful tests, such as the NRX-A6 test, but these problems did not compromise the reactor. The NERVA program has already identified and solved many of these problems. Throughout the entire 13-year Rover program that led to the NERVA reactor, there were approximately 20 reactors built and tested. Many of the tests uncovered flaws in some part of the system and ended in failure of the facility; sometimes the failures were very dramatic.

However, such failures are to be expected in such an ambitious development program. Based on my review and interpretation of the available data, there is sufficient experimental evidence to indicate that a NERVA derivative reactor can be successfully used for a MMW power reactor in burst-mode operation (see References 1 through 7).

### G.3 Review of NERVA Test Program (D. R. Gallup)

During the Rover Program, which lasted from 1955 to 1972, problems were encountered with several of the reactors during testing. Early in the program, there were serious problems encountered in several of the Kiwi tests. In the middle to later stages of the program, there were problems encountered during the NRX-A5 and Peewee-1 tests. However, reactor demonstration tests conducted at the end of the program, such as NRX-A6 and the Nuclear Furnace-1, were very successful.

#### G.3.1 Kiwi

Late in 1962, the Kiwi-B1B and Kiwi-B4A reactors, upon which the NERVA design was based, experienced severe core damage during testing; parts of several fuel modules were ejected from the core. It was determined that the cause of the core damage was vibration induced by the flow of the hydrogen coolant. This problem was eliminated in all subsequent tests by a design change in the lateral support system of the reactor core.

### G.3.2 NRX-A5

In 1965, the second full-power test of the NRX-A5 reactor was terminated prematurely, because of excessive loss of reactivity (about \$2.5). A post-mortem examination of the fuel elements showed that midrange corrosion of the fuel elements was the primary cause of the reactivity loss. The NERVA fuel elements have a hexagonal cross section and contain 19 coolant channels. After the elements are formed, they are coated with NbC (or ZrC) using CVD to prevent corrosion by the hydrogen coolant. However, during cooling after the CVD process, cracks form in the coating. The midrange corrosion during the NRX-A5 test occurred when hydrogen coolant attacked element coating. To avoid this problem during the NRX-A6 test, the cracks in the NbC coating were filled with a molybdenum overcoat using CVD. This substantially reduced the midrange corrosion. After the NERVA tests were completed, other significant advances were made in NERVA fuel element technology. Bob Holmon of Westinghouse claims that the latest elements can operate for 10 hours at temperatures above 2000 K without significant corrosion.

### G.3.3 Peewee-1

In 1968, the third full-power test of the Peewee-1 reactor was terminated prematurely, because pieces of graphite filler elements, which surround the core, were ejected from the reactor. The subsequent, post-mortem examination of the core found significant breakage of the filler elements as well as severe corrosion and breakage of some fuel elements and breakage of a significant number of support elements. The reasons for the various types of core damage are complicated. They will be described briefly here; more detailed explanations can be found in "Peewee-1 Reactor Test Report" (LA-4217-MS, June 1969).

The core of Peewee-1 consisted of fuel and support elements in 3:1 ratio. These elements were surrounded by graphite filler elements, pyrographite strips, and cooled graphite strips. The purpose of these components was to make the core cross section circular. The core could then be retained radially by garter springs, impedance rings, the core blocking ring, and hot-end ring. The breakage of the core filler elements was the result of two mechanical problems in the core: an improperly designed hot-end seal and improperly sized impedance rings. The purpose of the hot-end seal was to allow thermal expansion of the core in the axial direction while preventing the flow of hydrogen around the core. However, this caused axial stresses in the filler elements. Further, the impedance rings were too small, and they caused unexpectedly high radial pressure on the core when it heated up. These two mechanical stresses caused the filler elements to break during the



earlier runs of Peewee-1. Pieces of the filler elements were then ejected from the core during the third full-power test.

Some of the fuel elements in the Peewee core suffered substantial damage--46 of the 402 elements were broken or badly corroded and could not be weighed during disassembly. Also, many of the elements suffered from relatively high amounts of midrange corrosion. The conditions of the fuel elements can be explained by the operating conditions in the core and by the fact that the elements were experimental. The elements that suffered the most severe corrosion and breakage were located at the core periphery. This damage was caused primarily by the severe thermal gradients present there; the temperature at the edge of the core was 300 K (550 R) higher than at the center of the core. This was caused by unexpectedly high fluxes at the core periphery. The high thermal gradients caused two major problems: (1) fuel elements broke because of high thermal stresses, and (2) the fuel elements separated, which allowed hydrogen to flow between them and resulted in a high amount of external element corrosion. In addition to the elements that suffered severe damage, most of the elements suffered from a relatively high amount of midrange corrosion. This is because all of the fuel elements were experimental: different coatings and coating processes were being investigated and only a few of the elements had a molybdenum overcoat. The elements that did have an overcoat had substantially less midrange corrosion. (See the NRX-A5 discussion.)

Half of the Peewee-1 support elements were fractured during the reactor tests. These fractures were the result of poorly designed seals on the inlet end of the element. The axial motion of the liner tube with respect to the support element was enough that the seal would move past the portion of the liner tube that had a reduced diameter. As a result, during the test, hydrogen flowed through the gap between the liner tube and  $ZrH_{1.7}$  moderator. This resulted in mechanical damage at the cold end of the support elements and mechanical and corrosion damage at the hot end.

The majority of the problems that occurred during the Peewee-1 reactor test were the result of poor design. Filler elements were broken and ejected from the core, because the hot-end seal stuck during reactor cooldown. The peripheral fuel elements broke and suffered high external corrosion, because of unexpectedly high thermal gradients. Many of the support elements broke because of improperly designed inlet seals. Consequently, had the Peewee-2 reactor test been performed, it could have avoided many of the problems encountered during Peewee-1 by using a better reactor design.

#### G.3.4 NRX-A6

The NRX-A6 test in 1967 was the last and most successful of the NERVA reactor tests. Its success is due mainly to an improvement made in the reactor fuel. A problem with midrange corrosion had occurred in all of the previous NERVA tests (NRX-A1 through NRX-A5). In fact, the problem got worse with each test; the corrosion rate increased from 0.2 to 0.9 grams per minute per element from A2 to A5. This corresponded to reactivity loss rates of 3.5 to 7.4 cents per minute, respectively. The reactivity loss in A5 was so serious that the test was terminated prematurely. As a result, the A6 test was delayed so that the problem with midrange corrosion could be addressed.

The problem of midrange corrosion resulted from the way that the NERVA fuel elements were manufactured. The carbon fuel elements were given an NbC coating to prevent the hot hydrogen coolant from corroding the carbon in the elements. The NbC coating was applied at 1900 K using CVD. However, since NbC has a higher coefficient of thermal expansion than the element, cracks formed in the coating during the cooling process. During operation, the fuel elements were at approximately 1600 K in the midrange. As a result, the cracks in the NbC coating did not close, and the hydrogen chemically attacked the elements through these cracks and formed methane,  $\text{CH}_4$ . The rate of corrosion was aggravated by the fact that the midrange was the region of highest power density, and so the thermal stresses were the highest in this range.

The solution to the midrange corrosion problem was to apply an overcoat over the NbC coating. This overcoat filled in the cracks in the coating and reduced the midrange corrosion by a factor of 10 and the overall element corrosion by a factor of 5. The reactivity loss rate was 1.0 cent per minute and the mass loss rate was 0.19 g per minute per element.

As a result of the improved fuel technology, the NRX-A6 reactor test was very successful. It operated at 1125 MWth for 60 minutes with a coolant exit temperature at or above 2280 K. This met or exceeded all of the objectives of the NERVA program.

#### G.3.5 Nuclear Furnace-1

Nuclear Furnace-1 (NF-1) was a small test reactor with a low fuel inventory that was devised to provide an inexpensive means of testing fuel elements and other core components. It was a heterogeneous, water-moderated, beryllium-reflected reactor that contained 49 cells in which a single NERVA fuel element could be tested. (In contrast, the Peewee core contained 420 fuel elements and the NERVA reactors contained 1200 elements.)

A wide variety of fuels were tested in NF-1. Twenty-four of the fuel cells contained 19-hole (U,ZrC)C-carbon (composite) fuel elements that were built in 1970, twenty-three cells contained newer, composite elements that were built in 1971, and two contained a seven-element cluster of (U,Zr)C (carbide) fuel elements. The carbide elements were smaller and had a single, large coolant channel (similar to the PLUTO geometry). All of the elements were coated with ZrC rather than NbC. Most of the elements had unique features, e.g., various grades of graphite used in an attempt to produce elements with crack-free ZrC coatings. The NF-1 operated for about 160 minutes, most of that time at an exit temperature of 2450 K.

Some very interesting results were obtained with the NF-1 experimental fuel. The newer composite fuel did not have cracks in its ZrC coating, whereas the older fuel did. As a consequence, the midrange corrosion in the newer elements was less than one-third the corrosion in the older elements. The average midrange mass loss for the newer elements was 8.6 g. The elements from one of the processes had midrange losses of only 5.5 (0.039/min). Another interesting result is that the hot-end losses were less than half of the expected losses. The carbide elements did not perform as well as the composite elements; they all suffered from extensive cracking. However, this is not necessarily a problem with carbide fuel; it could be a problem with the geometry of the carbide elements.

#### G.3.6 Conclusions

Over the course of the NERVA Program, problems were encountered during several of the reactor tests. The most notable of these were the tests of the Kiwi-B1B, Kiwi-B4A, NRX-A5, and Peewee-1 reactors. The Kiwi problems were resolved, and solutions to the Peewee-1 problems were found. However, only the NRX-A5 reactor was a NERVA reactor, and only the NRX-A5 test results have a direct bearing on NERVA technology. The problems identified for NRX-A5 were overcome during the NRX-A6 test. The NRX-A6 and NF-1 nuclear reactor test were two of the most successful tests in the NERVA Program. The NRX-A6 met or exceeded all of the goals of the NERVA program: it ran at a power of 1125 MWth for 60 minutes with a coolant exit temperature of 2280 K or greater. The NF-1 test in 1972 provided valuable experimental data for developing advanced NERVA fuels.

It is my opinion that NERVA technology is very well developed and that NERVA derivative reactors are strong candidates for MMW burst-mode power sources.

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## APPENDIX H

### RETRIEVABILITY OF NERVA REACTOR TECHNOLOGY

S. L. Hudson

On November 11, 1986, Steve Hudson and Al Marshall visited the Westinghouse Advanced Energy Systems Division (AESD) in Pittsburgh, PA, to assess the retrievability of NERVA technology. The associated meeting was most informative, with several Westinghouse personnel, all of whom were involved in the NERVA program, giving presentations. From this information exchange, it was concluded that the NERVA technology is well documented, retrievable to over 90 percent confidence, and that program technical continuity could still exist if follow-up NERVA derivative work were initiated.

The NERVA technology development program was carried out from 1961 to 1971 by Westinghouse Astronuclear Laboratory (now Advanced Energy Systems Division), Aerojet-General Nuclear Systems Company, and Los Alamos Scientific Laboratory (now Los Alamos National Laboratory [LANL]). Westinghouse had primary responsibility for the reactor while Aerojet-General was involved with the rocket nozzle and turbopump. LANL contributed to the early experimental program phase. During this period, approximately 20 reactor ground tests were performed on seven derivative reactor concepts at a cost of approximately \$1.4 billion (although Westinghouse indicated their portion for reactor development was \$662 million). Reactor chamber temperatures during these tests exceeded 2400 K, and run times approached one hour.

NERVA technology retrievability was judged high, based on the availability of fabrication drawings, Process Specifications, Purchasing Department Specifications, and several key personnel. In addition, Westinghouse claimed that most documents and reports of the program are available in the Westinghouse corporate storage vault (not visited) in Pittsburgh. The fabrication drawings for the NRX-A6 reactor were still stored onsite at AESD in microfilm form on properly ordered aperture cards. These drawings included material lists, assembly drawings and more than 750 component drawings, all essentially to current DOD 1000 standards (which means present-day machine shops could readily interpret them). An impromptu check of their files showed traceability from assembly drawings through component fabrication drawings and specifications. The Process Specifications and Purchasing Department Specifications, as referenced from the fabrication drawings, were also on file at AESD. The former type of specification covers fabrication methods, while the latter is concerned with material requisition. From my cursory look at these specification files, I think some updating and reference to existing ASTM, ASME, and ANSI codes might be appropriate. Several thousand drawings and a few hundred specifications were estimated to be stored at AESD. Although many persons have left Westinghouse since the days of NERVA 20 years ago (through normal attrition and retirement), a significant number of personnel are still available who were deeply involved in the NERVA program. If a derivative reactor program were initiated in the next few

years, these people could still provide continuity from this past work that would further enhance the available documentation.

During the NERVA program, all fabrication work, except for the extruded graphite fuel elements, was contracted outside of Westinghouse. It is anticipated that no technical problems would prevent this fabrication today and that extruding graphite is existing technology even outside Westinghouse. Further, the fuel particles dispersed within the graphite fuel elements have been developed by several manufacturers since the NERVA program, although ZrC-coated particles are still in the experimental phase.

Finally, most of the computer analysis codes and models for stress, heat-transfer, and fluid flow calculations used in the NERVA program were documented only in reports and do not presently exist as operating programs. Thus, although retrievability may be in question here, it is important to realize that far more powerful codes and analysis techniques could be used today, and no significant technology loss is anticipated.

In conclusion, the NERVA technology is not lost, is retrievable, and could provide a substantial technical base for a derivative burst-mode reactor.

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