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# Solution of the Fokker-Planck Equation with Mixing of Angular Harmonics by Beam-Beam Charge Exchange

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## Abstract

A method for solving the linear Fokker-Planck equation with anisotropic beam-beam charge exchange loss is presented. The 2-D equation is transformed to a system of coupled 1-D equations which are solved iteratively as independent equations. Although isotropic approximations to the beam-beam losses lead to inaccurate fast ion distributions, typically only a few angular harmonics are needed to include accurately the effect of the beam-beam charge exchange loss on the usual integrals of the fast ion distribution. Consequently, the algorithm converges very rapidly and is much more efficient than a 2-D finite difference method. A convenient recursion formula for the coupling coefficients is given and generalization of the method is discussed.

## 1. Introduction

Intense neutral beam heating of low density plasmas[1-4] can produce a charge exchange loss rate between the injected fast neutrals and the trapped fast ions that approaches the thermalization rate. The anisotropic velocity distributions of the beam neutrals and fast ions together with the strong energy dependence of the charge exchange cross section produce a charge exchange loss rate with strong pitch angle dependence. When a Legendre expansion is used to represent the angular dependence of the distribution function, the normally independent 1-D equations for each Legendre harmonic[6,7] are coupled by the anisotropic charge exchange loss.

In spite of this complexity a solution based on Legendre harmonics is preferable to a 2-D finite difference method because the fast ion particle density, current density, parallel and perpendicular pressures, beam-target and beam-beam fusion reactivities are integral quantities which involve only low order harmonics; the first three or four usually suffice[7-9]. A finite difference algorithm with respectable accuracy, on the other hand, requires many angular grid points and correspondingly more calculation even if operator splitting is used to transform the 2-D problem to a pair of 1-D problems. In addition, the most efficient method of calculating the beam-beam fusion reactivity is based on Legendre harmonics[9]; a distribution based on a difference grid needs to be converted to a Legendre expansion to use it!

The general approach described in this paper can be more broadly applied[5]; any and all of the terms in the Fokker-Planck equation could have an angular dependence. A general nonlinear formulation [8] could be recast in this way. When the pitch angle domain is limited by loss cones, the basis functions are no longer Legendre polynomials and both the basis functions and the coupling coefficients must be determined numerically[8]. It is interesting to note that only a few harmonics are needed for mirror machine simulations[8], which are complementary to the example below in that they have near perpendicular injection, loss cones, and anisotropic nonlinear Coulomb collision terms.

## 2. Coupled equations for the angular harmonics

The usual approximate form (valid for  $\exp(-(v/v_{thi})^2) \ll 1$  and  $(v/v_{the})^2 \ll 1$ ) of the linear Fokker-Planck equation describing the fast ion velocity space distribution in a background plasma is

$$\begin{aligned} \frac{\partial f}{\partial t} = & \frac{1}{\tau_{ee} v^2} \frac{\partial}{\partial v} \left[ (v^3 + v_c^3) f + \frac{1}{m_i v} (v^3 T_e + v_c^3 T_i) \frac{\partial f}{\partial v} \right] \\ & + \frac{v^3}{\tau_{pe} v_c^3} \frac{\partial}{\partial \zeta} (1 - \zeta^2) \frac{\partial f}{\partial \zeta} - \nu_{cx} f + S, \end{aligned} \quad (1)$$

where  $\zeta = v_{||}/v$  and  $\tau_{ee}$ ,  $v_c$ , and  $\tau_{pe}$  are standard [10]. (Magnetic compression and electric field terms are neglected here.) The collision rates with Maxwellian background ions and electrons have been simplified by neglecting higher order corrections of order  $\exp(-(v/v_{thi})^2)$  and  $(v/v_{the})^2$ , respectively.

The charge exchange loss rate can be divided into two parts

$$\nu_{cx} = n_o^{th} \langle \sigma v \rangle_{cx}^{bt} + n_o^b \langle \sigma v \rangle_{cx}^{bb}.$$

The 'beam thermal' losses arise from reactions with the more or less isotropic thermal neutral gas arising from wall and volume sources; this term is not of specific interest here and is ignored below. The 'beam-beam' loss rate is anisotropic because the velocity space distribution of neutrals in the injected beam is highly directional. In most circumstances there will be many beam-beam terms; one for each of the three energy components in each of the ion sources in a neutral beam system.

The beam-beam reactivity for a ring of fast ions with velocity space position of  $(v, \theta)$  reacting with a delta-function distribution of fast neutrals at  $(v_o, \theta_o)$  is

$$\langle \sigma v \rangle_{cx}^{bb} = \frac{1}{\pi} \int_0^\pi v_{rel} \sigma_{cx}(v_{rel}) d\phi,$$

where  $v_{rel}^2 = v^2 + v_o^2 - 2vv_o(\cos \theta \cos \theta_o + \sin \theta \sin \theta_o \cos \phi)$  and  $\phi$  is the gyro-phase angle relative to the component of the beam neutral velocity which is perpendicular to the magnetic field,  $\cos \theta = \zeta$ , and  $\cos \theta_o = \zeta_o$ .

For neutral and fast ion energies  $\geq 30$  keV/amu  $\langle \sigma v \rangle_{cx}^{bb}$  is a strong function of pitch angle (Fig. 1) because  $\sigma_{cx}$  is a strong function of  $v_{rel}$  [11]. The greatest anisotropy occurs for unidirectional injection with  $|\zeta_o| \sim 1$  and

$v \sim v_0$ ;  $f(v, \zeta)$  is most anisotropic under the same conditions. For these reasons the test problem described below has parallel injection; the beam-beam fusion reactivity provides a sensitive scalar measure of changes in the distribution function.

By expanding the distribution function and  $\nu_{cx}$  in Legendre polynomials

$$f(v, \zeta) = \sum_{m=0}^M f_m(v) P_m(\zeta),$$

$$\nu_{cx}(v, \zeta) = \sum_{n=0}^N \nu_n(v) P_n(\zeta),$$

multiplying Eq. (1) by  $P_l(\zeta)$ , and integrating over  $\zeta$ , we obtain a coupled system of 1-D equations for the angular harmonics of the distribution:

$$\begin{aligned} \frac{\partial f_l}{\partial t} = & \frac{1}{\tau_{se} v^2} \frac{\partial}{\partial v} \left[ (v^3 + v_c^3) f_l + \frac{1}{m_b v} (v^3 T_e + v_c^3 T_i) \frac{\partial f_l}{\partial v} \right] \\ & - \frac{l(l+1)v^3}{\tau_{pe} v_c^3} f_l - \sum_{m=0}^M \sum_{n=0}^N A_{l,m,n} f_m \nu_n + S_l, \end{aligned} \quad (2)$$

for  $l = 0$  to  $M$ , where

$$S_l(v) = \frac{2l+1}{2} \int_{-1}^1 S(v, \zeta) P_l(\zeta) d\zeta,$$

$$A_{l,m,n} = \frac{2l+1}{2} \int_{-1}^1 P_l P_m P_n dx.$$

The coupling coefficients,  $A_{l,m,n}$  are zero if  $l+m+n$  is odd or if the sum of any pair of indices is less than the remaining index. J. C. Adams[13] derived a closed form expression for the coefficients:

$$A_{l,m,n} = \frac{(2l+1)(m+n-l)!(l-n-m)!(l+m-n)!}{(2s+1)!(s-l)!^2(s-m)!^2(s-n)!^2},$$

where  $2s = l+m+n$ , but it may be more convenient to calculate them from the recursion relation

$$A_{l,m,n} = \begin{cases} \delta_{l,m}, & \text{for } n = 0, \\ \frac{[(m+1)\delta_{l,m+1} + m\delta_{l,m-1}]/(2m+1),}{\left\{ \begin{aligned} (2n-1)[(m+1)A_{l,m+1,n-1} + mA_{l,m-1,n-1}]/(2m+1) \\ -(n-1)A_{l,m,n-2} \end{aligned} \right\}/n,} & \text{for } n = 1, \\ & \text{for } n > 1, \end{cases}$$

which follows from application of the identity

$$P_n = [(2n - 1)xP_{n-1} - (n - 1)P_{n-2}]/n$$

to the definition of  $A_{l,m,n}$ .

The diagonal elements,  $A_{l,l,n}$ , are easy to handle; only the elements with  $l \neq m$  couple what would otherwise be independent equations for each  $f_l$ . The coupling is less burdensome than it appears because many elements of  $A_{l,m,n}$  are zero and in practice  $N$  can be as small as 2 without serious loss of accuracy in the usual global properties of the fast ion distribution.

Ignoring the implicit dependence on  $f_l$  of the off-diagonal terms,

$$\sum_{m \neq l} \sum_{n=0}^N A_{l,m,n} f_m \nu_n,$$

we may consider them to be pseudo source terms. When solving the equation for each  $f_l$ , the off-diagonal terms are evaluated using the most recent versions of the  $f_m$  (which are initially zero). The iterative solution of this set of 'independent' equations converges to the solution of the coupled set. Note that the coefficients of  $f_l$  in Eq. (2) are unchanged during the iterations; thus the coefficients in the tridiagonal difference equations are set up once and only the calculation of the 'source' and the elimination and back-substitution are iterated. This is a very convenient algorithm which usually converges very rapidly and involves only a modest change to existing codes.

The solution of an extreme situation is shown in Fig. 2. Even though the beam-beam charge exchange loss is very large, the iterative method converges. The boundary conditions are standard:  $f(v_{\text{thi}}) = 0$  (thermalization sink) and zero particle flux at  $v_{\text{max}}$ . This example has 120 keV neutral deuterium injection with  $\zeta_0 = 0.95$  in a deuterium background plasma at a density of  $n_D = 5 \times 10^{19} \text{ m}^{-3}$ , with temperatures of 10 keV, and  $Z_{\text{eff}} = 1.0$ . A deuterium 'beam' neutral density of  $2 \times 10^{14} \text{ m}^{-3}$  produces a deuterium source rate of  $7 \times 10^{20} \text{ m}^{-3} \text{ sec}^{-1}$ . The source rate used in this test problem has been artificially reduced to insure that  $n_{\text{fast}} \ll n_e$  so that the different methods of handling the beam-beam charge exchange loss do not affect  $n_e$  and, hence, the thermalization time scale. In this test problem  $\tau_{\text{sr}} = 1.5 \text{ sec}$ ,  $E_c = 225 \text{ keV}$ ,  $\tau_{\text{ps}} = 1.1 \text{ sec}$ , and  $\nu_{0,j,2}(v_0) = (0.22, 0.10, 0.12) \text{ sec}$ , respectively.

With such a large beam neutral density the beam-beam charge exchange loss has a dramatic effect on the steady-state fast ion distribution. The

importance of the anisotropic part of the charge exchange loss is seen by comparing curve c), which corresponds to using only  $\nu_0$ , with curve e) where the full angular dependence of  $\nu_{ex}$  is used. Both isotropic approximations — curves b) and c) — seriously underestimate the loss because the charge exchange reactivity has been averaged over pitch angle although most of the fast ions have a pitch angle near that of the neutrals.

The iterative procedure described above converged to a part in  $10^6$  in 8 iterations. The fast ion particle density, pressure, and beam-beam fusion rate changed less than 5% when  $(M,N)$  were lowered from (11,11) to (7,3). When  $|\zeta_0| \leq 0.8$ , the accuracy is 5% or better with  $(M,N) = (4,3)$  or greater.

### 3. Discussion

The algorithm described in the previous section has worked very well in simulations of 'supershots' in TFTR with convergence to the level of  $10^{-6}$  occurring in three or four iterations. The usual integrated properties of the distribution are typically changed by less than a percent when more than three harmonics are used to represent the charge exchange loss rate and the distribution. Beginning the iteration loop over the  $f_l$  with  $l = M$  and working downward using the most recently calculated value of the  $f_m$  converges slightly faster than starting with  $l = 0$  and working up in  $l$ . This occurs because the pitch angle scattering term in Eq. (2) grows as  $l(l+1)$  and thus the high  $l$  harmonics are less sensitive to the beam-beam charge exchange and are closer to their final values on the first pass than the low  $l$  harmonics.

If many more harmonics are required, it is possible to use the techniques described by Karney[12] to vectorize more efficiently the elimination and back-substitution algorithm; the algorithm would also apparently be suitable for a parallel computer.

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### Figure captions

**Figure 1** The beam-beam charge exchange reactivity,  $\langle \sigma v \rangle_{\text{cx}}^{\text{bb}}(v_o, \zeta)$ , for 120 keV deuterium neutrals and fast ions where  $\zeta_o =$  a) 1.0, b) 0.8, c) 0.6, d) 0.

**Figure 2** The lowest harmonic of the fast ion distribution function,  $f_0(v)$ , for several treatments of the beam-beam charge exchange loss applied to the model problem described in the text: a) no beam neutral density; b) the (isotropic) beam-target charge exchange reactivity,  $\langle \sigma v \rangle_{\text{cx}}^{\text{bt}}$ , for cold neutral gas is used in place of  $\langle \sigma v \rangle_{\text{cx}}^{\text{bb}}$ . The first 11 harmonics of  $f(v)$  together with 1, 3, and 11 harmonics of  $\nu_{\text{cx}}$  are used for curves c), d), and e), respectively.

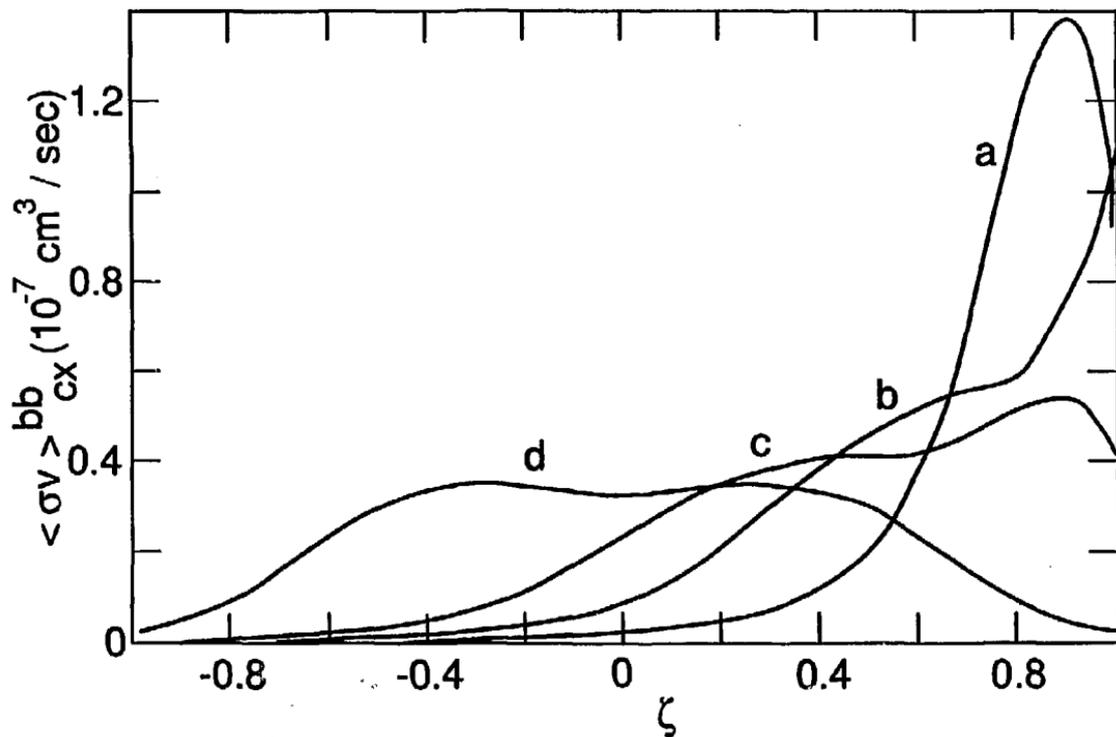


FIG. 1

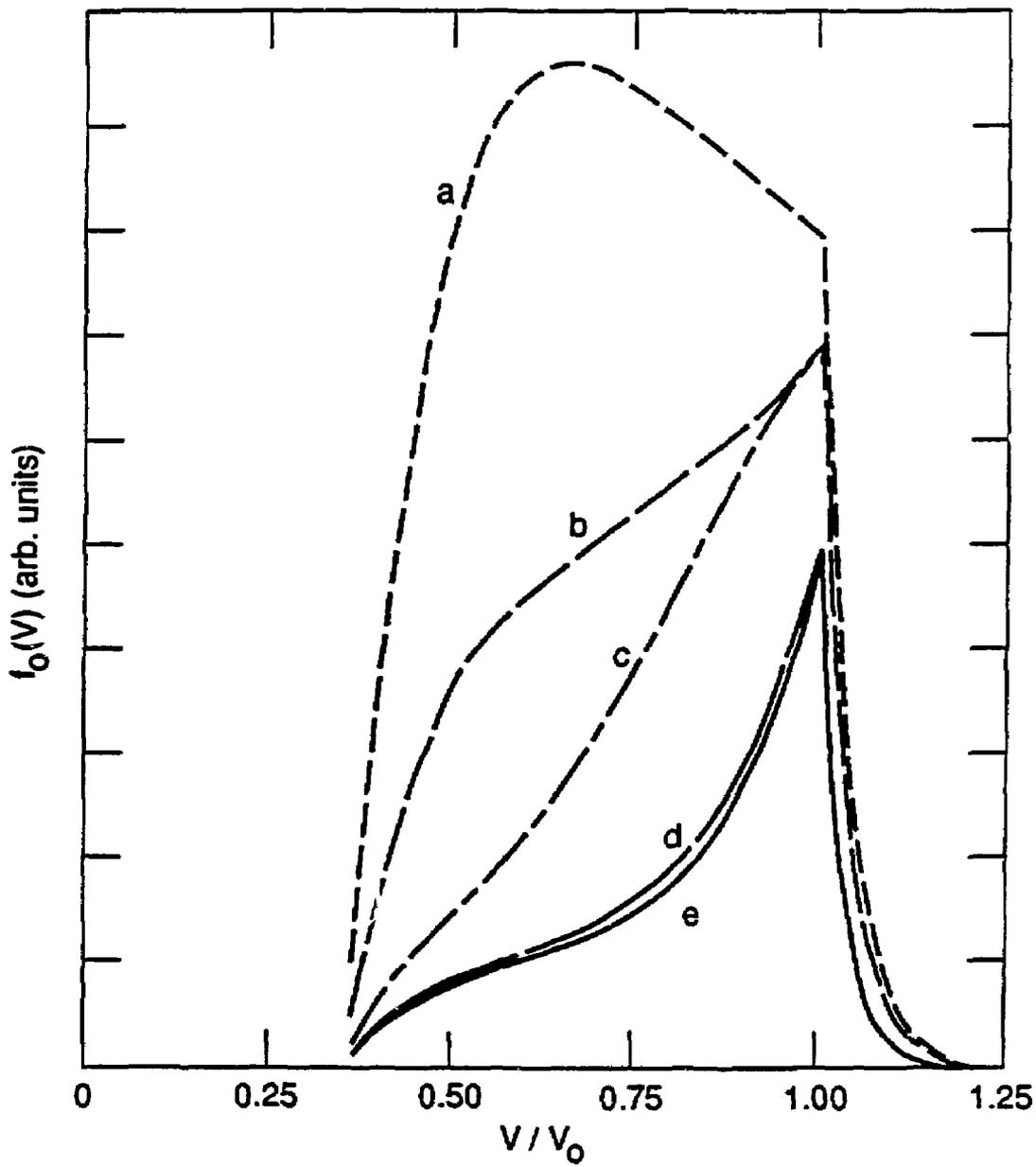


FIG. 2

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