

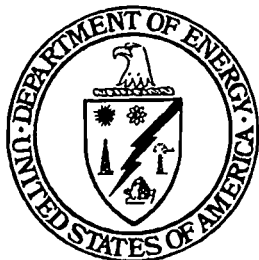
June 1997

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# **Materials Sciences Programs**

## **Fiscal Year 1996**

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**U.S. Department of Energy**  
**Office of Energy Research**  
**Office of Basic Energy Sciences**  
**Division of Materials Sciences**  
**Germantown Building**  
**19901 Germantown Road**  
**Germantown, MD 20874-1290**

**MASTER**

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## FOREWORD

The Division of Materials Sciences is located within the Department of Energy (DOE) in the Office of Basic Energy Sciences which is under the Office of Energy Research. The Director of the Office of Energy Research is appointed by the President and confirmed by the Senate. The Director of the Office of Energy Research is responsible for oversight of, and providing advice to, the Secretary of Energy on the Department's research portfolio and on the management of all of the Laboratories that it owns, except for those that are designated as having a primary role in nuclear weaponry.

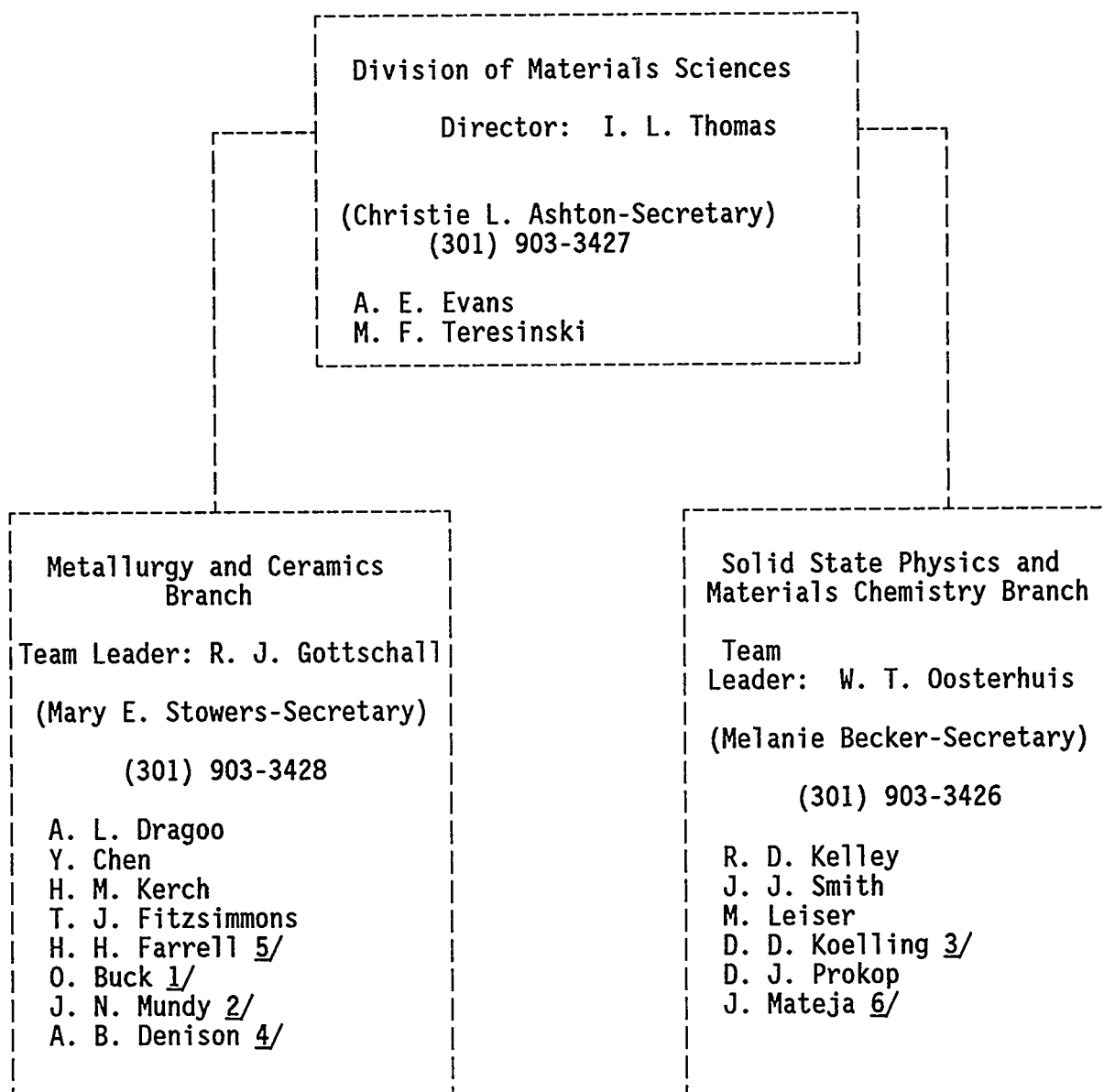
The Division of Materials Sciences is responsible for basic research and research facilities in strategic materials science topics of critical importance to the mission of the Department and its Strategic Plan. Other programmatic divisions under the Office of Basic Energy Sciences are Chemical Sciences, Engineering and Geosciences, and Energy Biosciences; information for them is contained on page 157.

Materials Science is an enabling technology. The performance parameters, economics, environmental acceptability and safety of all energy generation, conversion, transmission and conservation technologies are limited by the properties and behavior of materials. The Materials Sciences programs develop scientific understanding of the synergistic relationship amongst the synthesis, processing, structure, properties, behavior, performance and other characteristics of materials. Emphasis is placed on the development of the capability to discover technologically, economically, and environmentally desirable new materials and processes, and the instruments and national user facilities necessary for achieving such progress. Materials Sciences sub-fields include: physical metallurgy, ceramics, polymers, solid state and condensed matter physics, materials chemistry, surface science and related disciplines where the emphasis is on the science of materials.

This report includes program descriptions for 478 research programs including 250 at 14 DOE National Laboratories, 228 research grants (218 of which are at universities), and 10 Small Business Innovation Research Grants. Five cross-cutting indices located at the rear of this book identify all 478 programs according to principal investigator(s), materials, techniques, phenomena, and environment. Other contents include identification of our Staff structure and expertise on pages ii-iii; a bibliographical listing of 50 scientific workshop, topical, descriptive, Research Assistance Task Force and research facilities reports on select topics that identify materials science research needs and opportunities on pages iv - viii; a descriptive introduction on page ix; a descriptive summary of the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is on pages 105-109; and a descriptive summary and access information on 14 national research user facilities including synchrotron light sources, neutron beam sources, electron beam microcharacterization instruments, materials preparation, surface modification, and combustion research is on pages 111-143.

Iran L. Thomas, Director  
Division of Materials Sciences  
Office of Basic Energy Sciences

OFFICE OF BASIC ENERGY SCIENCES  
Division of Materials Sciences



- 1/ On quarter-time assignment from Ames Laboratory  
2/ On assignment from Argonne National Laboratory  
3/ On assignment from Argonne National Laboratory  
4/ On quarter-time assignment from Idaho National Engineering Laboratory  
5/ On assignment from the University of Illinois  
6/ On assignment from Argonne National Laboratory



DIVISION OF MATERIALS SCIENCES  
Iran L. Thomas, Director, ER-13

Environment, Safety and Health, ER-13

Albert E. Evans  
Michael F. Teresinski

Metallurgy and Ceramics Team, ER-131

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Alan L. Drago	Ceramics
Otto Buck	Mechanical Behavior, NDE
John N. Mundy	Physical Behavior, Irradiation Effects
Yok Chen	Physical Behavior, Irradiation Effects
Timothy J. Fitzsimmons	Ceramics, High Temperature Superconductors, High Temperature Materials
Helen M. Kerch	Microstructure, Processing
Arthur B. Denison	Magnetic Materials, Condensed Matter Physics
Helen H. Farrell	Surface Science, Chemical Physics, Solid State Physics

Solid State Physics and Materials Chemistry Team, ER-132

<u>Name</u>	<u>Program Area</u>
William T. Oosterhuis	Neutron and X-ray Facilities
Richard D. Kelley	Materials Chemistry, Polymers, Surface Science
Jerry J. Smith	Solid State Physics, Surface Science
Manfred Leiser	Solid State Theory
Dale D. Koelling	Solid State Physics, Solid State Theory, Magnetism, Advanced Computation for Materials
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## WORKSHOP AND REPORT REFERENCES

The Materials Sciences program has sponsored various workshops, topical and descriptive reports and co-sponsored Research Assistance Task Forces on select topics over the past 15 years. The contributions to them come from scientists drawn from universities, national laboratories, and industry, and represent a diverse mixture as well as a balance of sub-disciplines within materials science. It is our intention to make the proceedings of these activities publicly available through publication in open literature scientific journals, bulletins, or other archival forms. Many of these publications identify the authors' perceptions of emerging or existing generic materials science research needs and opportunities. Their primary purpose is to stimulate creative thinking and new ideas by scientists within their respective topical fields. None of these is intended to be all inclusive or to encompass with thoroughness any given topic, and none of them represents Department of Energy (DOE) policy or opinion. No pretense is made to have covered every topic of interest in this listing, and the fact that there is no publication corresponding to a particular materials science topic does not, of itself, carry any implication whatsoever with respect to DOE interest or lack thereof.

"Basic Research Needs for Vehicles of the Future." The proceedings of this Basic Energy Sciences and National Science Foundation sponsored workshop, which was held on 5-7 January 1995 are to be published.

"Surface Modification and Processing as Applied to Electrochemical Energy Storage and Conversion Devices." (Workshop jointly sponsored with DOE Office of Transportation Technologies, 22-24 February 1995).

"Electrical Breakdown of Insulating Ceramics in a High-Radiation Field," Y. Chen, F. W. Cline, B. D. Evans, E. H. Farnum, R. H. French, R. Gonzalez, J. J. O'Dwyer, F. W. Wiffen, X. F. Zong, *Journal of Nuclear Materials*, 217, 1994, pp. 32-47.

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"Research Opportunities on Cluster and Cluster-Assembled Materials - A Department of Energy, Council on Materials Science Panel Report," R. W. Siegel, L. E. Brus, et al., *J. Mater. Res.*, 4, 3, (1989), 704-736.

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"Proceedings of the Workshop on First-Order Displacive Phase Transformations," L. E. Tanner, M. Wuttig, et al., *Mat. Sci. and Eng. A*, 127, 2, (1990), 137-270.

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"Hydrogen Interaction with Defects in Crystalline Solids," S. M. Myers, et al., *Rev. of Modern Physics*, 64 (2), April 1992, 559-617.

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"Radiation Effects on Materials in High Radiation Environments - A Workshop Summary," W. J. Weber, L. K. Mansur, F. W. Clinard, Jr., and D. M. Parkin, *J. Nuclear Materials*, 184, (1991), 1-21.

"Welding Science: Needs and Future Directions," D. W. Keefer, S. A. David and H. B. Smartt, and K. Spence, *Journal of Metals*, 44, 9, 1992, 6-7.

"Organic Superconductivity," (International Workshop), V. Z. Kresin and W. A. Little (eds), Plenum Press, New York, 1990, (jointly sponsored with Office of Naval Research).

"Surface, Interface, and Thin-Film Magnetism," L. M. Falicov, D. T. Pierce, et al., *J. Materials Research*, 5, 6, (1990), 1299-1340.

"Research Needs and Opportunities in Magnetic Materials," G. Thomas, *Materials Science and Engineering*, B105, 3, (1990), 409-412.

"Basic Research in Superconductor, Ceramic and Semiconductor Sciences at Selected Japanese Laboratories," R. J. Gottschall, DOE/ER-0410, (1989), (jointly sponsored with Office of Naval Research, U.S. Department of Commerce, and the U.S. Congress Office of Technology and Assessment)<sup>a,b,c</sup>.

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"Theory and Computer Simulation of Materials Structures and Imperfections," A. B. Kunz, et al., (1984)<sup>a</sup>.

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- "Materials Preparation and Characterization Capabilities," DOE/CONF-821120, February (1983)<sup>a,b</sup>.
- "Critical and Strategic Materials," R. J. Gottschall, et al., (1983)<sup>a,b</sup>.
- "High Pressure Science and Technology," G. A. Samara, et al., (1982)<sup>a</sup>.
- "Scientific Needs of the Technology of Nuclear Waste Containment," D. Turnbull, et al., (1982)<sup>a</sup>.
- "Basic Research Needs and Opportunities on Interfaces in Solar Materials," A. W. Czanderna, R. J. Gottschall, et al., Materials Science and Engineering, 53, (1982), 1-162.
- "The Effects of Irradiation on the Structure and Properties of Materials," C. Peter Flynn, et al., (1981)<sup>a</sup>.
- "Condensed Matter Theory and the Role and Support of Computation," J. D. Joannopoulos, A. N. Berkner, et al., (1981)<sup>a</sup>.
- "Research Opportunities in New Energy-Related Materials," J. L. Warren, T. W. Geballe, et al., Materials Science and Engineering, 50, (1981), 48-198.
- "Aqueous Corrosion Problems in Energy Systems," D. D. Macdonald, et al., Materials Science and Engineering, 50, (1981), 18-42.
- "High Temperature Corrosion in Energy Systems," R. A. Rapp, et al., Materials Science and Engineering, 50, (1981), 1-17.
- "Basic Research Needs on High Temperature Ceramics for Energy Applications," H. K. Bowen, et al., Materials Science and Engineering, 44, (1980), 1-56.
- "Materials Property Measurements: Identification of Technology Gaps," O. Buck, T. Taylor, and G. Alers, J. of Non-Destructive Evaluation (Submitted).

### **Description of Research Facilities, Plans, and Associated Programs**

"Using Federal X-ray, Electron, and Neutron Facilities," S. Spooner, *Journal of Metals*, 44, 10, 1992, 72-76 and 44, 11, 1992, 67.

"Scientific User Facilities, A National Resource"<sup>a</sup>.

"Special Instrumentation Research Opportunities for Fundamental Ceramic Science at DOE," R. J. Gottschall, *Ceramic Bulletin*, 67, (1988), 1333-1339.

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<sup>a</sup> Available in limited quantities from the Division of Materials Sciences by calling (301) 903-3426, -3427, or -3428

<sup>b</sup> Available from National Technical Information Service, U.S. Department of Commerce, Springfield, VA 22161

<sup>c</sup> Available from Pro Books, Inc., P.O. Box 193, 5 Smith Street, Rockport, MA 01966 (phone: 800-783-9590 or 508-546-9590)

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## INTRODUCTION

The purpose of this report is to provide a convenient compilation and index of the DOE Materials Sciences Division programs. This compilation is primarily intended for use by administrators, managers, and scientists to help coordinate research.

The report is divided into eight sections. Section A contains all Laboratory projects, Section B has all contract research projects, Section C has projects funded under the Small Business Innovation Research Program, Section D describes the Center of Excellence for the Synthesis and Processing of Advanced Materials and E has information on major user facilities. F describes other user facilities, G as a summary of funding levels and H has indices characterizing research projects.

The FY 1996 funding level, title, personnel, budget activity number (e.g., 01-2) and key words and phrases accompany the project number. The first two digits of the budget number refer to either Metallurgy and Ceramics (01), Condensed Matter Physics (02), Materials Chemistry (03), or Facility Operations (04). The budget numbers carry the following titles:

01-1 - Structure of Materials

01-2 - Mechanical Properties

01-3 - Physical Properties

01-4 - Radiation Effects

01-5 - Engineering Materials

02-1 - Neutron Scattering

02-2 - Experimental Research

02-3 - Theoretical Research

02-4 - Particle-Solid Interactions

02-5 - Engineering Physics

03-1 - Synthesis & Chemical Structure

03-2 - Polymer & Engineering Chemistry

03-3 - High Temperature & Surface Chemistry

04-1 - Facility Operation

For more detailed information call (301) 903-3428 for the Metallurgy and Ceramics topics; (301) 903-3426 for the Condensed Matter Physics and Materials Chemistry topics.

Sections E and F contain information on special DOE centers that are operated for collaborative research with outside participation. Section G summarizes the total funding level. In Section H provides cross-cutting references are to the project numbers appearing in Sections A, B, and C and are grouped by (1) investigators, (2) materials, (3) techniques, (4) phenomena, and (5) environment.

It is impossible to include in this report all the technical data available for the program in the succinct form of this Summary. To obtain more detailed information about a given research project, please contact directly the investigators listed.

Preparation of this FY 1996 summary report was coordinated by Iran L. Thomas. The effort required time by every member of the Division. Much of the work was done by Christie Ashton.

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## **SECTION A**

### **Laboratories**

The information in this section was provided by the Laboratories. Most projects are of a continuing nature. However, some projects were concluded and others initiated this fiscal year.

**AMES LABORATORY**

Iowa State University  
Ames, IA 50011

R. B. Thompson - (515) 294-9649  
Fax: (515) 294-4456

**Metallurgy and Ceramics - 01 -**

O. Buck - (515) 294-4446  
Fax: (515) 294-8727

**1. CONTROLLED MICROSTRUCTURES**

I. E. Anderson  
(515) 294-8252 01-1 \$211,000

Studies to improve the performance of materials by achieving enhanced control of composition and microstructure during processing that involves diffusion and deformation with emphasis on powder-based materials. Perform hot isostatic pressing (HIP) experiments on high pressure gas atomized (HPGA) quasicrystalline Al-Cu-Fe powder to develop fabrication process for monolithic and composite forms of this novel material. Investigate consolidation by HIP and vacuum sintering of unique Al powders that contain high supersaturations of reactive gas resulting from gas atomization reaction synthesis (GARS) processing in the HPGA to form refractory dispersoid reinforced composites. Study consolidation of intermetallic powders to produce bulk shapes with technologically useful levels of toughness and strength.

**2. SOLIDIFICATION MICROSTRUCTURES**

R. K. Trivedi, L. S. Chumbley, R. W. McCallum  
(515) 294-5869 01-1 \$566,000

Studies of solidification processes and their applications to technologically important materials. Theoretical modeling of microstructural evolution and correlation between microstructures and processing conditions. Rapid solidification processing by the laser treatment of materials and by highly undercooled fine droplets. Development of microstructure/processing maps. Study of interface kinetics and the effect of crystalline anisotropy on the microstructure evolution. Dissociation/recombination phenomena in intermetallic compounds exposed to inductively coupled plasma. Solidification processing of (Dy,Tb)Fe<sub>2</sub> magnetostrictive alloys, Nd-Fe-B permanent magnet materials, and intermetallic compounds, and analysis of their magnetic and mechanical properties.

**3. MARTENSITIC PHASE TRANSFORMATIONS**

B. N. Harmon, K. M. Ho, J. R. Morris  
(515) 294-7712 01-2 \$137,000

First principles calculations of electronic structure and total energies to study the order parameters, transformation paths, activation energies, and basic physics leading to analysis and control of the transformation. Detailed study of anharmonic couplings and their manifestation. Modeling pseudoelastic and thermoelastic behaviors of shape-memory alloys. Investigation of twin formation and its effects on ductility in hcp metals. Application of molecular dynamics using realistic interatomic potentials. Study of prototypical systems: Na, NiTi, NiAl, Ba, Zr, TiPd, etc. Development and use of the genetic algorithm for complex defect structure optimization.

**4. MECHANICAL BEHAVIOR OF MATERIALS**

J. Kameda, B. Biner, O. Unal  
(515) 294-2731 01-2 \$451,000

Studies of the effects of environment and stress on the mechanical properties and corrosion of ultra-high temperature materials. High-temperature-induced intergranular cracking in Ni base alloys. Description of three dimensional arrays of defects and relationship of arrangement to ductility and creep. Correlation between defect structure and nondestructive measurement. Effects of post-irradiation annealing on mechanical properties.

**5. RARE EARTH AND RELATED MATERIALS**

K. A. Gschneidner, Jr.  
(515) 294-7931 01-3 \$271,000

Study the behavior of rare earth materials in the extreme regime of low temperatures (down to 0.5 K) and high magnetic fields (up to 10T). This includes heat capacity, magnetic properties, electrical resistivity measurements. Examine the systematics of phase formation, or the variation of physical properties to understand various physical phenomena, such as bonding, alloy theory, structure of materials. Exploitation of materials with large magnetocaloric effects for refrigeration materials.

**6. ADVANCED MATERIALS AND PROCESSES**

I. E. Anderson, M. Akinc, L. L. Jones, T. A. Lograsso,  
D. J. Sordelet, R. K. Trivedi  
(515) 294-8252 01-5 \$971,000

Development of advanced processes for preparing special metals. Development of new melting procedures for preparing metal matrix composites. New thermite reduction process for preparing rare earth-iron alloys and for producing permanent magnet and magnetostrictive alloys. Processing of stoichiometric and non-stoichiometric materials by an inductively coupled plasma. Electrotransport and zone melting for maximum purification of rare earth and refractory metals. Processing of single crystals of congruent melting and

peritectic materials by levitation zone melting, free-standing vertical zone melting, Bridgman, Czochralski and strain-anneal recrystallization. High pressure gas atomization for production of fine powders of metals and mixed metal oxides. Specialized coatings by plasma-arc spraying. Ceramic-ceramic bonding. Above research supports directly the Materials Preparation Center described in the Section-Collaborative Research Centers. This program participates in the focus project on Metal Forming and Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 7. NDE MEASUREMENT TECHNIQUES

O. Buck, D. C. Jiles, C. H. Schilling,  
R. B. Thompson  
(515) 294-4446 01-5 \$317,000

Techniques to measure failure-related material properties to improve understanding of failure mechanisms and inspection reliability. Ultrasonic measurement of internal stresses, texture, and porosity. Ultrasonic scattering and harmonic generation studies of fatigue cracks to provide information about crack tip shielding and its influence on crack growth rate and detectability. X-ray microfocus techniques for high resolution studies of grain microstructure and defects. Effects of fatigue damage, stress and microstructure on magnetic properties, particularly Bloch wall motion. This program participates in the focus project on Metal Forming and Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 8. MAGNETOCALORIC MATERIALS AND THEIR PREPARATION

K. A. Gscheidner, Jr., I. E. Anderson,  
V. K. Pecharsky  
(515) 294-7931 01-5 \$130,000

Properties of rare earth-based alloys with magnetocaloric effect (MCE). Measure heat capacity as a function of temperature. Enhance and tailor the MCE in known and new rare earth-based metallic materials. Investigate scientific issues in processing technologies relevant to maximizing heat transfer capabilities of these materials. Current approach is to evaluate experimental quantities of atomized MCE particulates.

#### 9. MATERIALS PREPARATION CENTER

L. L. Jone, D. P. Baldwin, T. A. Lograsso  
(515) 294-5236 01-5 \$299,000

It has and continuously develops unique capabilities for preparation, purification, fabrication, and characterization of a wide variety of metals and materials by processes that have been discovered or utilized by investigators at the Ames Laboratory during the course of their basic research. The Center provides laboratory research quantities of research grade materials not available in specific forms or purities from commercial suppliers on a partial cost recovery basis. Expertise is on very pure rare earths, alkaline earths, refractory metals, and some actinide metals. Forms of materials available include single crystals, bi-crystals, cast ingots, atomized powders, wires, rods, and sheets. Assures research community access to materials of the highest possible quality for their research programs. Center also has an Analytical Section for chemical and spectrographic analysis. Scientific and Technological Information Exchange activities of the Center are described in item 9. Upgrading existing processing equipment and capabilities through support from the Scientific Facilities Initiative (see Facilities section in this booklet).

#### 10. SCIENTIFIC AND TECHNOLOGICAL INFORMATION EXCHANGE

L. L. Jones, T. A. Lograsso, S. Mitra  
(515) 294-5236 01-5 \$145,000

Dissemination of information to the scientific and industrial communities. Publication of *High-T<sub>c</sub> Update* for rapid dissemination of up-to-date information on high-temperature superconductivity research. Operation of Materials Referral System and Hotline to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community.

#### 11. FUNDAMENTALS OF PROCESSING OF BULK HIGH-T<sub>c</sub> SUPERCONDUCTORS

R. W. McCallum, J. R. Clem, D. K. Finnemore,  
D. C. Johnson, M. J. Kramer  
(515) 294-4736 01-5 \$500,000

Investigation of the role of microstructure in the bulk superconducting properties of high-T<sub>c</sub> oxides. Control of microstructure using information obtained from phase diagram studies. Phase diagram dependence on rare earth and oxygen partial pressure. Interaction of materials with CO<sub>2</sub>. Study of fine grained dense polycrystalline materials. Effects of processing induced defects on the bulk superconducting properties. Thermal and quantum fluctuations of vortices.

**12. ENVIRONMENTALLY-BENIGN GELCASTING OF CERAMICS**

C. H. Schilling, L. Ukrainczyk  
(515) 294-9465 01-5 \$100,000

Interface chemistry involved in regulating plasticity during gelcasting of ceramics. Utilize ultrafine alumina as model ceramic particulate material. Investigate fundamental basis for use of modified starches as plasticizers. Measure electrophoretic mobility of particulate/additive aqueous suspensions. Utilize thermal analysis and diffuse reflectance infrared Fourier transform spectroscopy to reveal complexation mechanisms of powder surfaces in suspensions. Characterize effects by evaluation of rheology, green-body mechanical properties, and sintering behavior.

**Solid State Physics - 02 -**

B. N. Harmon - (515) 294-7712  
Fax: (515) 294-7712

**13. NEUTRON SCATTERING**

C. Stassis, A. R. Goldman, D. Vaknin,  
J. Zarestky  
(515) 294-4224 02-1 \$409,000

Study of the magnetic properties of high temperature superconductors and related compounds by polarized and unpolarized neutron scattering techniques ( $\text{La}_2\text{CuO}_4$ ,  $\text{LaNiO}_4$ ,  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ,  $\text{La}_{2-x}\text{Sr}_x\text{NiO}_4$ ),  $\text{Sr}_2\text{Cl}_2$ ,  $\text{Ca}_2\text{Cl}_2\text{CuO}_2$ , and  $\text{BaCuO}_2$ . Study of magnetism and superconductivity in the  $\text{RENi}_2\text{C}_2\text{B}$  systems. Experimental investigation of the lattice dynamics of metals and alloys undergoing martensitic transformations (bcc La, Cu-Al-Be, Cu-Al-Ni, Cu-Zn-Al); study of the Verwey transition in magnetite. Electronic structure and phonon spectra of mixed valence compounds ( $\text{CePd}_3$ ,  $\gamma\text{-Ce}$ ). Lattice dynamics of quasicrystals. Study of organic films on aqueous and solid surfaces by neutron and X-ray diffraction techniques.

**14. NEW MATERIALS AND PHASES**

F. Borsa, D. C. Johnston, L. L. Miller,  
C. A. Swenson  
(515) 294-5435 02-2 \$500,000

Synthesis and characterization of new high- $T_c$  superconductors and related oxides. Study of the physical properties of these new materials, such as phase equilibria and high and low temperature behavior. Properties of new phases including magnetic susceptibility, transport properties, heat capacity, crystallographic phase transformations, coexistence and/or competition of superconductivity

and magnetic order. Modeling and analysis of the data using appropriate theories. High pressure equations of state of new materials, elementary solids (ternary compounds and alloys, and alkaline earth metals), low temperature expansivity and heat capacity of materials (Lu) containing hydrogen. Applications of NMR to high- $T_c$  superconductors, and strongly correlated electronic systems. Low dimensional magnetic systems (including magnetic molecular clusters), and phase transitions. NMR studies of ionic motion in superionic conductors and of hydrogen motion in quasicrystals.

**15. MAGNETO OPTIC MATERIALS**

P. Canfield, A. I. Goldman, K. A. Gschneidner,  
B. N. Harmon, D. W. Lynch, C. Stassis, S. Zollner  
(515) 294-7712 02-2 \$500,000

Synthesis and detailed characterization of new magnetic materials. Study of magnetic structures in exotic materials using magnetic X-ray diffraction and neutron scattering. Investigation of the correlation, spin-orbit, and exchange interactions leading to novel or large magneto-optical properties. Kerr angle spectroscopy development and use of circular magnetic X-ray dichroism as a new tool for studying local magnetic properties. Theoretical modeling, first principles calculations, and predictions in close collaboration with the experimental effort. Specific families of materials include single crystalline:  $\text{RNi}_2\text{B}_2\text{C}$  magnetic superconductors,  $\text{RFe}_2$  ferromagnets,  $\text{RNi}_2\text{Ge}_2$  and  $\text{RSb}_2$  intermetallics.

**16. SUPERCONDUCTIVITY**

D. K. Finnemore, J. E. Ostenson  
(515) 294-3455 02-2 \$200,000

Preparation, characterization, and study of the fundamental properties of copper oxide superconductors; search for new superconducting materials; current transfer and the proximity effect near superconductor normal metal interfaces, fundamental studies of vortex motion; development of superconducting composites for large scale magnets. Fundamental studies of superconductivity in metal-metal composites, use of Josephson junctions to study flux pinning of isolated vortices. Development of superconducting composites with very strong pinning suitable for large scale magnets in the 8 to 16 Tesla range, practical studies to improve wire fabrication techniques.

**17. X-RAY DIFFRACTION PHYSICS**

A. I. Goldman  
(515) 294-3585 02-2 \$250,000

X-ray measurements on Icosahedral Phase alloys. Magnetic structures and phase transitions, and solids at high pressure. Magnetic X-ray scattering and spectroscopy. Study of magnetism and superconductivity in the  $\text{RENi}_2\text{C}_2\text{B}$  systems.



**18. PHOTONIC BAND GAP MATERIALS**

K.-M. Ho, R. Biswas, K. Constant, W. Leung,  
C. M. Soukoulis, G. Tuttle  
(515) 294-1960 02-2 \$500,000

Fabrication and design of materials with periodically varying dielectric constants. Design of photonic crystals with metallic elements and intentional 'defects'. Enhancement and suppression of radiative transition rates. Antennas. Resonant Filters and Detectors.

**19. OPTICAL, SPECTROSCOPIC, AND SURFACE PROPERTIES OF SOLIDS**

D. W. Lynch, C. G. Olson, M. Tringides,  
S. Zollner  
(515) 294-3476 02-2 \$600,000

Electron photoemission, inverse photoemission, and optical properties (transmission, reflection, ellipsometry, Kerr spectroscopy) of solids in the visible, vacuum ultraviolet and soft X-ray region using synchrotron radiation; low energy electron diffraction, scanning tunnelling microscopy. Ce and Ce-compounds (e.g., CeSb<sub>3</sub>), copper-oxide-based superconductors, O on W. Epitaxial growth on metal and semiconductor surfaces, surface diffusion, ultrathin film morphology, LEED (Low Energy Electron Diffraction), RHEED (Reflection, High Energy Electron Diffraction), STM (Scanning Tunneling Microscopy) are used for structural characterization and growth measurements. Ultrafast laser studies of electron spin dynamics in magnetic materials. Relaxation of holes in semiconductors.

**20. SEMICONDUCTOR PHYSICS**

J. Shinar  
(515) 294-8706 02-2 \$170,000

(i) Fabrication and electronic and structural dynamics studies of hydrogenated amorphous Si-based thin films and devices, using UV-Vis-NIR-IR absorption spectroscopies, photoconductivity, SAXS, and SIMS. (ii) Processing and studies of fullerenes, using luminescence and optically-detected magnetic resonance spectroscopies and -conjugated polymers. (iii) Fabrication and characterization of thin diamond and porous Si films and devices.

**21. SUPERCONDUCTIVITY THEORY**

J. R. Clem, V. Kogan  
(515) 294-4223 02-3 \$210,000

Electrodynamics behavior of the high-temperature copper-oxide superconductors, especially while carrying electrical currents in magnetic fields. Anisotropy of critical fields, internal magnetic field distributions, and magnetization in bulk samples, tapes, and films. Granularity effects using

Josephson-coupled-grain models. Flux pinning, critical currents, thermally activated flux flow, noise, ac and high-frequency losses. Surface-barrier, interface, grain-boundary, proximity effects, and vortex fluctuations.

**22. ELECTRONIC AND MAGNETIC PROPERTIES**

B. N. Harmon, K.-M. Ho, M. Luban, C. M. Soukoulis  
(515) 294-7712 02-3 \$387,000

Theoretical studies of structural and lattice dynamical properties of cluster and bulk materials using first principles total energy calculations. Magnetic properties of new RNi<sub>2</sub>B<sub>2</sub>C superconductors. Anharmonic interactions, lattice instabilities, phase transformations, electron-phonon interaction, and superconductivity. Equations of state (pressure and temperature). Electron and light localization in quasi-periodic, disordered, and nonlinear materials. Magnetism in spin glasses and ternary compounds. Electronic structure of rare earth compounds and transition metal sulfides and hydrides. Theory of amorphous semiconductors, and nuclear magnetic ordering in metals. Wave propagation in random media. Theoretical modeling of quantum dot nanostructures and Bloch oscillations. Buckyballs and other carbon structures. Spin relaxation in mesoscopic magnets.

**23. OPTICAL AND SURFACE PHYSICS THEORY**

K.-M. Ho, G. D. Lee  
(515) 294-1960 02-3 \$100,000

Optical properties of metals, semiconductors, and insulators, studies of surfaces, thin films, layered systems, small particles, and powders. Differential surface reflectance spectroscopy. First principles calculation of lattice relaxation, reconstruction and phonons at single crystal surfaces (Al, Au, W, Mo, Ag, and Au on Si). Chemisorption. Determination of growth modes via first principles calculations.

**24. MUCAT SECTOR AT THE ADVANCED PHOTON SOURCE**

A. I. Goldman, T. Gu, D. W. Lynch, D. Robinson  
(515) 294-8700 02-5 \$950,000

Design and construction of the undulator beamline at the Advanced Photon Source (APS). Design of optical elements of modifying the polarization of the undulator radiation and polarization analysis of the scattered beam. Testing and certification of components constructed for use at the APS. Experiments in magnetic scattering, surface sciences, and diffraction using undulator radiation.

**Materials Chemistry - 03 -**

P. A. Thiel - (515) 294-8985  
Fax: (515) 294-4709

**25. SYNTHESIS AND CHEMICAL STRUCTURE**

J. D. Corbett, J. W. Anderegg, H. F. Franzen,  
R. A. Jacobson, R. E. McCarley  
(515) 294-3086 03-1 \$822,000

Synthesis, structure and bonding in polar intermetallic systems. Interstitial derivatives of intermetallic phases - the systematic variation of electronic, conduction, and magnetic properties and corrosion resistance. Influence of common impurities (O, N, H) on stability of intermetallic compounds. Homoatomic clusters of main-group metals in condensed phases; electronic regularities. Zintl phases, criteria and property relationships. Synthesis, bonding, structure and properties of new reduced ternary oxide and chalcogenide phases containing heavy transition elements, especially metal-metal bonded structures stable at high temperatures. Low temperature routes to new metal oxide, sulfide and nitride compounds, especially metastable crystalline and amorphous phases. Structure and properties of new higher valent transition metal nitrides. Electronic band structure calculations. Study of refractory metal-rich binary and ternary sulfides and oxides by both experimental and theoretical techniques to understand the relationships among crystal structure, chemical bonding, and electronic structure as they affect high temperature stability, phase equilibria, and order-disorder transitions. Development of diffraction techniques for single crystal and non-single crystal specimens, techniques for pulsed-neutron and synchrotron radiation facilities, and use of Patterson superposition methods. Experimental methods: X-ray and electron diffraction, X-ray and UV photoelectron spectroscopy, resistivity and magnetic susceptibility measurements, computer automated mass-loss-mass-spectrometry for high-temperature vaporation reactions.

**26. POLYMER AND ENGINEERING CHEMISTRY**

T. J. Barton, M. Akinc, S. Ijadi-Maghsoodi  
(515) 294-2770 03-2 \$315,000

Synthesis of highly-strained, unsaturated, organometallic rings for ring-opening polymerizations. Study of controlled thermal decomposition of preceramic polymers. Development of thermal and photo-chemical routes to transient compounds containing silicon multiple

bonds as route to preceramic materials. Design and synthesis of polymers containing alternating silicon and unsaturated carbon units. Such polymers are evaluated as ceramic precursors, as electrical conductors, and as nonlinear optical materials. Synthesis and processing of novel intermetallics for high temperature structural applications. Oxidation behavior of ternary silicides. Study of thermomechanical properties of novel ternary silicides.

**27. HIGH TEMPERATURE AND SURFACE CHEMISTRY**

P. A. Thiel, J. Feng, C. J. Jenks, D. C. Johnson  
(515) 294-8985 03-3 \$357,000

Surface phenomena in quasicrystals. Mechanisms of oxidation of metals and alloys, and properties of oxide overlayers (composition, stability, structure). Chemistry of electrode reactions, including electrocatalysis, electrochemical incineration, and corrosion reactions. Characterization of electrocatalytic materials by modulated hydrodynamic voltammetry. Reactivity of oxidized and doped electrode surfaces, including characterization of oxygen mobility and defect density at such electrodes. Equilibrium and dynamic properties of adsorbed films. Techniques used include low energy electron diffraction, Auger electron spectroscopy, electron energy loss spectroscopy, temperature programmed desorption, electron-stimulated desorption, X-ray photoelectron spectroscopy, scanning tunneling microscopy, ring-disk and modulated hydrodynamic voltammetry.

**28. SURFACE AND INTERFACE PROPERTIES OF QUASICRYSTALS**

P. A. Thiel, M. F. Besser, D. W. Delaney,  
A. I. Goldman, C. J. Jenks, M. J. Kramer,  
T. A. Lograsso, D. J. Sordelt, M. C. Tringides,  
M. A. Van Hove  
(515) 294-8985 03-3 \$250,000

Studies of surface and interface properties of quasicrystals. Preparation of large, single-phase, well-defined samples of icosahedral AlPdMn and AlCuFe. Phase transformations within AlCuFe powders. Friction and wear at coatings and single-grain samples. Surface structure determination using low-energy electron diffraction. Surface oxidation. Oxidation state of grain boundaries in plasma sprayed samples and subsequent heat-treated samples. Microstructure of plasma sprayed coatings with and without B additions.

**ARGONNE NATIONAL LABORATORY**

9700 South Cass Avenue  
Argonne, IL 60439

F. Y. Fradin - (630) 252-3504  
Fax: (630) 252-6720

**Metallurgy and Ceramics - 01 -**

B. D. Dunlap - (630) 252-4925  
Fax: (630) 252-4798

**29. ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH**

M. A. Kirk, C. W. Allen  
(630) 252-4998 01-1 \$1,888,000

Development and use of high-voltage, high-spatial resolution and advanced analytical microscopy for materials research. Operation and development of the Center's HVEM-Tandem Facility with in-situ high voltage and intermediate voltage electron microscope capability for direct observation of ion-solid interactions. The HVEM and IVEM are currently being utilized for research programs in irradiation effects advanced materials and mechanical properties. Specimen holders are available for heating (to 1100 K), cooling (to 10 K), and straining of specimens in-situ. Ion-beam interfaces with 650 kV ion implanter and 2 MV tandem accelerator available for in-situ implantations and irradiations into the HVEM or IVEM. More than 50 percent of HVEM usage is by non-ANL scientists for research proposals approved by the Steering Committee for the Center. A state-of-the-art, medium-voltage, ultra-high vacuum, field-emission gun, Analytical Electron Microscope has recently been installed. Its design is directed toward the attainment of the highest microanalytical resolution and sensitivity. Fundamental studies of electron-solid interactions and microcharacterization of materials, using TEM, STEM, HREM, CBED, XEDS, and EELS are conducted at present on conventional transmission electron microscopes (JEOL 4000 EXII, JEOL 100CXII, Philips EM420, and Philips CM30).

**30. INTERFACES IN ADVANCED CERAMICS**

D. Wolf, D. Auciello, J. A. Eastman,  
K. L. Merkle, S. R. Phillpot  
(630) 252-5205 01-3 \$1,705,000

Experimental synthesis and characterization methods are combined with atomistic computer simulation techniques to address fundamental issues relevant to the synthesis, characterization

and elucidation of interfacially controlled properties of oxide and nitride ceramic thin films. The main thrust of the program focuses on the following four scientific themes: (a) Microstructure and composition control during synthesis and processing of oxide and nitride thin films, for example, via in-situ X-ray studies at the Advanced Photon Source (APS); (b) Characterization of interfacial strains, including the identification of modes of strain relaxation during in-situ growth and/or post-growth environmental re-equilibration at the APS and the effects of such strains, for example, on the phase diagram; (c) Determination of the atomic structure and chemistry of the interfaces in these films, including the structure and composition of amorphous intergranular films commonly present in covalently bonded ceramics, the effects of severe microstructural constraints (associated, for example, with a small film thickness or a nanometer-scale grain size), and the mechanism(s) of interfacial off-stoichiometry accommodation as a function of the film microstructure and thickness; (d) Correlation between "structure" and properties: film adhesion and corrosion-and-wear resistance as a function of interfacial strains, film microstructure, thickness and composition, and atomic structure and composition of the interface. This program participates in the focus projects on Materials Joining and High Efficiency Photovoltaics of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**31. IRRADIATION AND KINETIC EFFECTS**

L. E. Rehn, D. E. Alexander, R. C. Birtcher,  
S. K. Chan, N. Q. Lam, P. R. Okamoto, N. J. Zaluzec  
(630) 252-5021 01-4 \$1,588,000

Investigations of mechanisms leading to the formation of defect aggregates, precipitates, and other inhomogeneous distributions of atoms in solids during irradiation. Studies of neutron and gamma irradiation effects on alloy microstructure and embrittlement. Freely-migrating and cascade defects. Irradiation performance of advanced nuclear fuels. Solid state amorphization. Radiation-induced segregation to internal and external defect sinks. Radiation-enhanced diffusion. Inert-gases in solids. Surface modification and sputtering of alloys by ion bombardment. In-situ studies of irradiation effects in the Intermediate and High-Voltage Electron Microscopes. Neutron and dual-beam ion irradiation. Computer modeling of irradiation-induced microstructural changes. Ion-beam analysis. Radiation sources include HVEM-2MV Tandem facility (electrons and ions), 650kV ion accelerator, and IPNS.

### 32. TRIBOLOGICAL INVESTIGATION OF BORIC ACID BOUNDARY FILMS FORMING ON AL SURFACES

A. Erdemir, G. R. Fenske  
(630) 252-5190 01-5 \$125,000

Experimental investigation of boundary lubrication and chemical bonding mechanisms of boric-acid films on aluminum and aluminum alloys. Mechanisms of boundary film formation between aluminum surfaces and boric acid, to elucidate their fundamental tribological mechanisms under a wide range of contact pressures and shear rates using standard and micro-tribology test rings. Thin boron-rich (e.g., boron, boron-oxides, and boric-acid) films deposited by dry (powder and PVD) and aqueous processes are characterized by surface analytical techniques (e.g., Auger, XPS, and NEXAFS) to determine the nature of the chemical bonding to aluminum and aluminum alloys. The effects of environment (e.g. humidity), temperature, stress, and chemistry on friction forces and chemical bonding are evaluated using macro- and nanotribometers in an effort to improve the metal-forming properties of lightweight materials.

### 33. CERAMIC MATERIALS DEVELOPMENT

K. E. Gray, K. C. Goretta, D. J. Miller,  
A. P. Paulikas, B. W. Veal Jr.  
(630) 252-5525 01-5 \$872,000

This program studies oxide ceramic materials, with the primary emphases on high- $T_c$  superconductors and coatings. Synergistic efforts incorporating synthesis, characterization, fabrication are coupled to a wide range of fundamental electronic and structural properties. Materials engineering issues that limit performance and processing flexibility are also studied. The properties of ceramic protective coatings for use in high temperature corrosive environments (e.g., for high-temperature gas turbines) are studied. The single thallium layer HTS compounds are studied for use in powder-in-tube and coatings, because of their superior flux pinning. This program participates in the focus project on Oxides for Corrosion Resistance of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

### Solid State Physics - 02 -

B. D. Dunlap - (630) 252-4925  
Fax: (630) 252-4798

### 34. NEUTRON AND X-RAY SCATTERING

J. D. Jorgensen, G. P. Felcher, R. Osborn, D. L. Price  
(630) 252-5513 02-1 \$1,348,000

Exploitation of neutron and X-ray scattering techniques in the study of the properties of condensed matter. Instrument development and interactions with university and industrial users at IPNS. Investigations of the structure and defects of intermetallic and oxide superconductors, structure and dynamics of chalcogenide and oxide glasses, liquid alloys and molten salts, surface magnetism, polymer interfaces, distributions with deep inelastic scattering, and fast ion transport in solids.

### 35. MAGNETIC FILMS

S. D. Bader, E. E. Fullerton, M. Grimsditch  
(630) 252-4960 02-2 \$782,000

Research on the growth and physical properties of novel ultra-thin, epitaxial films, wedges, metallic sandwiches, superlattices and multilayers. Thin-film and surface-science preparation techniques include molecular beam epitaxy, and sputtering. Monolayer growth phenomena and interfacial structure characterization methods include electron (RHEED and LEED) and X-ray diffraction. Electronic properties studied via electron spectroscopies (photoemission and Auger), band-structure theory, and low-temperature transport, magnetic and magneto-optic Kerr effect measurements. Elastic, magnetic and vibrational properties using Brillouin and Raman scattering, and spin polarized photoemission.

### 36. TAILORED PERMANENT MAGNETS

S. D. Bader, E. E. Fullerton  
(630) 252-4960 02-2 \$436,000

This program involves exploration for new and improved permanent magnets with high energy products. The approach is to utilize thin-film deposition techniques for fabrication, and magnetometry and electron microscopy for magnetic and structural characterizations, respectively. Rare-earth transition-metal binaries and ternary nitrides are being grown epitaxially via sputtering, and Nd-Fe-B is being grown via molecular beam epitaxy. Efforts are also being taken to interleave hard and soft ferromagnets on the nanometer-scale in order to test the new concepts of "exchange hardening" permanent magnets. This should reduce rare-earth content, and therefore improve

corrosion resistance and lower materials costs. Ultimately, revolutionary advances are possible technologically with new permanent magnets for energy applications.

### 37. SUPERCONDUCTIVITY AND MAGNETISM

G. W. Crabtree, W. K. Kwok, V. Vinokur,  
U. Welp  
(630) 252-5509 02-2 \$912,000

Experimental and theoretical investigations of the magnetic and superconducting properties of materials. Strong emphasis is being placed on studies of high- $T_c$  oxide superconductors, especially on the physics of vortices in the mixed state. Other programs include studies of the electronic properties of organic superconductors, heavy fermion and other narrow-band materials containing rare-earth and actinide elements. Experimental techniques include the transport and magnetic measurements, electron tunneling, magneto-optical imaging, de Haas-van Alphen effect, materials preparation and characterization.

### 38. SYNCHROTRON X-RAY SCATTERING

P. A. Montano, M. Bedzyk, J. C. Campuzano,  
G. B. Stephenson, H. You  
(630) 252-6239 02-2 \$660,000

X-ray scattering techniques, X-ray standing waves, glancing incidence fluorescence, X-ray absorption spectroscopy and resonant reflectivity have been used to characterize the structure and composition profile of epitaxially grown metals and multilayers. X-ray scattering has been utilized to characterize in situ the growth mode of metallic thin films on difference substrates. X-ray standing waves are being used to investigate surface, thin film and interface structures. Angle resolved photoemission has been employed to measure the electronic structure of high temperature superconductors. A new beamline for energy dispersive X-ray absorption measurements is being utilized for the study of transition metal magnetic alloys with emphasis on the use of magnetic circular dichroism. X-ray absorption technique was used to study the structure of photoexcited states in molecules and crystals.

### 39. ADVANCED MATERIALS CHARACTERIZATION

P. A. Montano, M. A. Beno, G. Jennings,  
G. S. Knapp  
(630) 252-6239 02-2 \$532,000

Development of beamlines and instrumentation for the Basic Energy Sciences Synchrotron Radiation Center (BESSRC) at the Advanced Photon Source. A high brilliance source will be used to study the structure of new materials with particular emphasis in high energy X-ray scattering. Inelastic X-ray

scattering is being used to study the momentum distribution of the electrons in solids. A new monochromator for high heat loads has been developed to use at the new beamlines. A new device, the elliptical multipole wiggler will be used to study the magnetic properties of materials.

### 40. CERAMIC EPITAXY FILMS AND COMPOSITES

D. Wolf, O. Auciello, C. Foster  
(630) 252-5205 02-2 \$309,000

Experimental research program on the processing, characterization, and property determination of single-crystal and polycrystalline epitaxial ceramic-oxide films and layered composites prepared by metal-organic chemical vapor deposition (MOCVD) techniques. The main objectives are two fold, namely (a) to enhance our fundamental understanding of the processing-structure-property relationship of thin ceramic films and multilayers synthesized by MOCVD and (b) to measure tensor properties in single-crystalline films, thus elucidating the performance of these materials. The main emphasis is on electro-ceramic materials, such as  $\text{TiO}_2$ ,  $\text{SnO}_2$ ,  $\text{PbTiO}_3$ ,  $\text{SrTiO}_3$ ,  $\text{BaTiO}_3$ ,  $\text{PbZrO}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{LiTaO}_3$ . Properties of interest involve their dielectric, piezoelectric, electro-optic, acousto-optic and elastic behavior.

### 41. CONDENSED MATTER THEORY

A. A. Abrikosov  
(630) 252-5482 02-3 \$1,210,000

Theory of superconductivity; electronic band structure, many-body effects; properties of low-dimensional systems; magnetic materials. Physical properties of superconductivity in heavy-fermion and high- $T_c$  materials including anisotropic energy gap, nature of the pairing, vortex lattice, angular resolved photoemission, paramagnetic Meissner effect, superconductor-insulator transition, normal state anomalies. Theory of magnetic Compton scattering. Magnetic coupling in superlattice systems.

### 42. EMERGING MATERIALS

K. E. Gray, D. G. Hinks, D. J. Miller, J. F. Mitchell  
(630) 252-5525 02-5 \$563,000

This program includes materials engineering research and fundamental studies of new materials with a primary emphasis presently on superconductors. Sample fabrication includes single crystals and film depositions. Microcharacterizations, including electron microscopy and in-situ X-ray probes, are used as crucial links between physical properties and syntheses/processing. Studies seek to identify intrinsic potential of important new materials and the effects of extrinsic defects.

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**Materials Chemistry - 03 -**


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B. D. Dunlap - (630) 252-4924  
Fax: (630) 252-4798

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**43. CHEMICAL AND ELECTRONIC STRUCTURE**

J. M. Williams, U. Geiser, A. M. Kini,  
J. A. Schlueter, H. H. Wang  
(630) 252-3464 03-1 \$1,179,000

New materials synthesis and characterization focusing on synthetic organic metals and superconductors based on BEDT-TTF bis(ethylenedithio)tetrathia-fulvalene, and various newly-synthesized organic electron-donor and electron-acceptor molecules. Development of structure-property relationships coupled with electrical and superconducting properties measurements. Development of improved crystal growth techniques. Phase transition and crystal structure studies as a function of temperature (10-300 K) by use of the IPNS-single crystal diffractometer and a low-temperature (10 K) single crystal X-ray diffraction instrument. Co-development arrangements with Lake Shore Cryotronics, ["beta" test site for prototype low-temperature (1.2 K -> 298 K) AC susceptometer for magnetic properties measurements in applied magnetic fields] and Siemens International (Center of Excellence in X-ray scattering studies at ANL, and "bera" test-site for software development), by use of the new Siemens SMARTR diffraction system.

**44. INTERFACIAL MATERIALS CHEMISTRY**

V. A. Maroni, L. A. Curtiss, L. Iton, A. R. Kraus  
(630) 252-4547 03-2 \$367,000

Basic research on interfacial phenomena is being carried out in two forefront scientific fields of materials science: (1) molecular sieve materials and their application in heterogeneous catalysis and (2) thin-film growth phenomena and film properties, with emphasis on multiphase and multicomponent materials. The role of organic template molecules in the crystallization mechanisms of aluminosilicate zeolites. The application of modified zeolites and metallaluminophosphate materials as catalysts in hydrocarbon oxidation reactions. Use of molecular sieve materials as matrices for the generation of intercrystalline particles and polymers, constrained in size and dimensionality. Computer simulations of framework and adsorbate molecular dynamics, as well as ab initio molecular orbital calculations of chemical properties of zeolite catalysts and template effects in microporous structure

development. Production of nanocrystalline diamond thin films grown from C60 and CH4 plasmas. Development of diamond films for tribological applications and for use as a large area electron emitting surface.

**45. AQUEOUS CORROSION**

V. A. Maroni, L. A. Curtiss, C. A. Melendres, Z. Nagy,  
R. M. Yonco  
(630) 252-4547 03-2 \$527,000

Basic research aimed at elucidating fundamental aspects of interfacial phenomena that occur on the surface of metals immersed in aqueous media under conditions relevant to light water fission reactors, nuclear waste storage environments, and the operation of batteries. Investigations of the mechanisms responsible for passivation on iron, copper, and nickel-based alloys and for crack and pit propagation in these same alloys. Studies of the details that connect surface adsorption, electron transfer, and electrolyte chemistry with passive film structure using a combination transient electrochemical techniques and in-situ synchrotron adsorption radiation scattering methods. In-situ characterization of electrochemical interfaces using synchrotron radiation techniques (X-ray and far infrared). Investigations of the key features of the interfacial chemistry associated with passivation processes (including charge transfer kinetics) using pulsed galvanostatic, potentiostatic, dc polarization, and ac impedance. A parallel computational effort seeks to simulate solid/liquid interface phenomena through the application of molecular dynamics methods in combination with ab initio molecular orbital theory.

**46. DYNAMICS, ENERGETICS AND STRUCTURE OF ORDERED AND METASTABLE MATERIALS**

M.-L. Saboungi, L. A. Curtiss, D. L. Price  
(630) 252-4341 03-2 \$301,000

Ordering is an important phenomenon in tailoring key properties that are significantly and technologically important in non-crystalline materials. In some liquid semiconducting alloys which exhibit a dramatic metal-non-metal (MNM) transition as a function of composition, ordering results from charge transfer. Our investigation of the structure, dynamics and electronic properties of liquid Zintl alloys has revealed unusual semiconducting behavior due to the presence in the liquid of complex anions. Room temperature molten salts continue to be investigated not only to understand the stability of the different ionic species but also to explore their effect on the potential use of these materials as electrolytes in high-energy batteries and in aluminum electroplating processes on steel. A combination of NMR, Raman spectroscopy, neutron diffraction and inelastic scattering measurements and ab initio calculations are used to determine their properties. New and powerful quantum mechanical techniques are being developed for application to various areas of materials chemistry including zeolites, silicon, clusters, gallium halides and Zintl molecules. Our computations are valuable for the

interpretation of results from on-going experiments and are used to complement and guide experiments.

#### 47. DIRECTED ENERGY INTERACTIONS WITH SURFACES

D. M. Gruen, W. F. Calaway, A. R. Krauss,  
M. J. Pellin  
(630) 252-3513 03-3 \$857,000

Development of multiphoton resonance and femtosecond short pulse ionization methods combined with sophisticated time-of-flight mass spectroscopy for ultrasensitive detection of sputtered species. Application of this technique to studies of (1) fundamental problems in surface science (depth of origin of sputtered species; sputtering of metal clusters; adsorbate structures; strong metal support interactions; mechanisms of oxidation; surface segregation), (2) sub-micron imaging mass spectrometry using both laser desorption and sputtering, (3) trace analysis for selected systems of special significance such as impurities in semiconductors, (4) isotopic studies of naturally occurring materials for study of environmentally-important problems anomalies. The composition and structure of thin films and solid surfaces are being studied by means of ion beam scattering and direct recoil spectroscopy methods as well as conventional surface analysis methods such as Auger, UV and X-ray photoelectron spectroscopies, and secondary ion mass spectroscopy. The ion beam scattering and direct recoil methods permit characterization of thin film surfaces during deposition in ambient hydrogen, oxygen or nitrogen background gases. The system is being applied to the study of growth mechanisms in ferroelectric materials, high temperature superconductors and diamond thin films, where it has been used to study transient and kinetically-dependent phenomena during deposition.

#### 48. MOLECULAR IDENTIFICATION FOR SURFACE ANALYSIS

D. M. Gruen, K. R. Lykke, M. J. Pellin  
(630) 252-3513 03-3 \$372,000

Surface analysis of the molecular composition of complex solids using Fourier transform ion cyclotron resonance Mass Spectroscopy coupled with resonant, ponderomotive, and "soft" laser ionization methods. The solid surfaces to be investigated include conducting polymers, plastics, fullerenes, and other high molecular weight materials. One aspect of the study involves the

diffusion and fate of additives such as plasticizers and UV stabilizers in polymers. Another aspect includes the characterization of self assembled monolayer (SAM) compounds.

#### Facility Operations - 04 -

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#### 49. APS USER TECHNICAL AND ADMINISTRATIVE INTERFACE

S. Barr, S. Davey, G. K. Shenoy  
(630) 252-5537 04-1 \$1,925,000

The user technical and administrative interface will provide the point of contact between the APS and the APS users during the design, construction, and operation of users' experimental beamlines. This program will provide for the integration of user technical and administrative requirements with APS Experimental Facilities Division activities and for the oversight and support during development of these beamlines.

#### 50. INTENSE PULSED NEUTRON SOURCE PROGRAM

B. S. Brown, J. M. Carpenter, C.-K. Loong,  
G. E. McMichael, W. G. Ruzicka  
(630) 252-4999 04-1 \$10,658,000

Operation and development of IPNS, a pulsed spallation neutron source for condensed matter research with neutron scattering techniques. The facility is equipped with 12 instruments which are regularly scheduled for users and 1 instrument under construction. The facility has been run since 1981 as a national facility in which experiments are selected on the basis of scientific merit by a nationally constituted Program Committee. Approximately 350 experiments, involving about 200 outside visitors from universities and other institutions are performed annually. Industrial Research on a proprietary basis, which allows the company to retain full patent rights, has been initiated with a number of companies (e.g., ALCAN, Texaco, Corning, General Electric, Amoco, BP Chemicals) and is encouraged. Relevant Argonne research programs appear under the neutron activities of the Materials Science Division of Argonne National Laboratory.

#### 51. APS COMMISSIONING AND START-UP

Y. Cho, J. Galayda, G. Shenoy  
(630) 252-6616 04-1 \$28,482,000

To establish a smooth transition between the construction phase and the operations phase, operations groups have been established and will grow in size until they take full responsibility for operations, maintenance and troubleshooting of all systems. Maximum use will be made of computerized documentation and document

control procedures to assure repeatable, safe operations. A unified approach will be developed to create and control command sequences defining operation, associated documentation, routine maintenance record keeping and system troubleshooting. Beam stability is one of the prime measures of performance of APS. Three systems are proposed to detect three principal causes of instability in the orbit of the stored positron beam. The undulators and wigglers of APS produce the X-ray beams and are also capable of disturbing the beam stability if not adjusted correctly. The APS staff will preview and test, among other things, the performance limits of state-of-the-art undulators. Operation of the APS relies on a long lifetime for the stored beam which depends critically on vacuum conditions. Vacuum systems and procedures will be optimized to achieve desired performance.

#### **52. ASD R&D IN SUPPORT OF OPERATIONS**

E. Crosbie, R. Damm, J. Galayda, M. Knott,  
R. Kustom, A. Lumpkin, G. Mavrogenes,  
L. Teng, M. White  
(630) 252-7796 04-1 \$7,643,000

To further develop the operations of the APS, R&D support is needed to optimize accelerator systems, controls and X-ray source capabilities. These studies will examine the operating characteristics of APS systems with the goal of improving them. Activities include accelerator physics studies of the linacs, PAR, synchrotron storage ring, and transport lines to increase injected currents, increase circulating current, and improve beam lifetime and stability. There is also an effort towards developing new diagnostic devices and control techniques to support accelerator physics activities and to improve integrated performance of the circulating positron beam, insertion devices and X-ray beamlines. New storage ring operating techniques are studied and devices will be developed with the goal of enhancing the ability to use the facility for synchrotron radiation research.

#### **53. APS ACCELERATOR OPERATION AND SUPPORT**

J. N. Galayda, L. E. Temple  
(630) 252-7796 04-1 \$21,214,000

To operate the APS accelerator systems to meet very challenging reliability and availability goals for providing X-ray beams to teams of APS research users. These goals were established to be consistent with the considerable value of the time and effort expended by the community of X-ray experimenters to prepare and complete their experiments. Development of sophisticated software tools to automate supervisory and troubleshooting functions are vital to achieving high levels of reliability and availability during scheduled

operations. Administrative and support functions that insure regulatory compliance and required utility services and maintenance of critical support systems are also included.

#### **54. XFD R&D IN SUPPORT OF OPERATIONS**

E. Gluskin, T. Kuzay, D. M. Mills, G. K. Shenoy  
(630) 252-5537 04-1 \$14,380,000

To R&D needs to support the user operations of the APS will optimize X-ray source and beamline capabilities. These studies will examine the operating characteristics of X-ray source and beamline components with the goal of improving their performance. Activities include the studies on novel X-ray sources, engineering of beamline front ends, beamline optics, and instruments. The influence of higher power loads from X-ray flux produced by new and novel insertion devices, and higher stored currents on the beamline components will be evaluated. In support of users, software based on EPICS to control the beamlines will be developed and tested. New synchrotron techniques will be developed which will lead to newer user scientific capabilities.

#### **55. XFD OPERATIONS IN SUPPORT OF APS USERS**

J. Hawkins, M. Ramanathan, T. Rauchas,  
G. K. Shenoy  
(630) 252-5537 04-1 \$2,425,000

To establish a smooth transition between the construction of beamlines of the APS and the user operations phase, operations groups have been established which will take full responsibility for APS beamline operations, maintenance and trouble shooting of insertion devices, beamline front ends, personnel safety systems, and radiation shielding. A conduct of operations will be defined to support all activities through documentation, routine maintenance records, and system troubleshooting. All systems will be optimized to achieve desired operational performance in support of the APS users.



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**Metallurgy and Ceramics - 01 -**

K. G. Lynn - (516) 344-3501  
Fax: (516) 344-4071

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**56. SUBMICROSCOPIC DEFECTS IN LAYERED  
SYSTEMS**

B. Nielsen, V. J. Ghosh  
(516) 344-3525 01-1 \$234,000

Positron annihilation spectroscopy (PAS) is utilized to obtain depth-resolved profiles of open-volume defects such as vacancies, vacancy-clusters, microvoids, etc., in layered structures formed by deposition and thermal growth of thin layers, ion-implantation, and ion-beam surface treatment of metals and semiconductors. Experimental PAS studies and computer simulation methods are used to study defect evolution and migration during processing. The generation of defects and aging in protective coatings such as SiC, TiN, and paint is also investigated.

**57. THEORY OF ALLOY PHASES**

M. Weinert, M. Alatalo,  
P. Allen (SUNY-Stony Brook), M. Sluiter,  
R. E. Watson  
(516) 344-2684 01-1 \$384,000

Theoretical studies of complex alloy phases using first-principles electronic structure methods. Microstructure and phase stability of complex multicomponent metallic systems and their relationships to phase diagrams. Relative stability of ordered alloys and the structural properties of metals. Defects, impurities, low concentration ternary alloys, and impurity/clustering interactions. Effects of configurational and vibrational entropy. Development of new techniques for the calculation of the properties of materials, combined use of electronic structure and statistical mechanics calculations.

**58. SUPERCONDUCTING MATERIALS AND BASIC  
MATERIALS SCIENCE OF HIGH- $T_c$  CONDUCTOR  
FABRICATION**

M. Suenaga, Z.-X. Cai, D. O. Welch, Y. Zhu  
(516) 344-3517 01-3 \$1,025,000

Fundamental properties of high critical temperature and critical field superconductors, mechanical properties, theoretical models of interatomic forces, lattice defects, and diffusion kinetics in superconducting oxides, studies by electron microscopy of lattice defects in superconducting compounds, flux pinning, properties of composite superconductors.

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**Solid State Physics - 02 -**

J. W. Davenport - (516) 344-3789  
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**59. NEUTRON SCATTERING**

J. D. Axe, W. Bao, M. C. Martin, S. M. Shapiro,  
G. Shirane, B. J. Sternlieb, J. M. Tranquada, P. Wochner,  
A. Zheludev  
(516) 344-3821 02-1 \$2,203,000

The principal objective of this program is the study of fundamental interactions in solids by elastic and inelastic neutron scattering. The phenomena studied include structural and magnetic phase transformations, magnetic structure, and elementary excitations such as spin-waves and phonons. Current specific topics of interest include high-temperature superconductivity, as well as normal state properties of cuprate superconductors and related systems (e.g., nickelates) with highly correlated d-electrons. Of particular interest is the interplay between electronic, structural and magnetic degrees of freedom in these systems, as well as in f-electron heavy fermion systems. The effect of spatial dimensionality on magnetic properties is a long-standing and ongoing activity. Present work is concerned with S=1 linear chain antiferromagnets with Haldane singlet ground states, and S= 1/2 structures with unusual magnetic order (spin dimers, spin ladders, etc.). Work also is continuing on studies of Martensitic transformations which show unusual temperature dependent phonon behavior. The facilities at the High Flux Beam Reactor (HFBR) are operating as a Participating Research Team and are available to the outside scientific community.

**60. POWDER DIFFRACTION**

D. E. Cox, Q. Zhu  
(516) 344-3818 02-2 \$256,000

Application of synchrotron X-ray and neutron powder diffraction techniques to structural analysis of materials, including mixed metal oxides, zeolites, high- $T_c$  superconductors and giant magnetoresistive materials. Phase transition studies at high and low temperatures,

including magnetic ordering. High pressure and low temperature studies in diamond-anvil cells by synchrotron X-ray diffraction techniques with monochromatic radiation. Development of instrumentation and software for powder diffraction analysis, including the application of Maximum Entropy methods.

#### 61. EXPERIMENTAL RESEARCH - X-RAY SCATTERING

L. D. Gibbs, E. Dimasi, J. P. Hill, B. Ocko, T. Thurston, A. Vigilante, G. Watson  
(516) 344-4608 02-2 \$995,000

The objective of this program is to exploit the techniques of synchrotron X-ray scattering to study basic structural, electronic, and magnetic properties of condensed matter systems. The X-ray scattering group, as members of three participating research teams, operates and maintains three X-ray beamlines at the National Synchrotron Light Source (X22A, X22B, and X22C). Particular emphasis is placed on investigations of surface and interfacial phenomena, on the structure and magnetic spectroscopy of magnetically ordered crystals and on electronic excitations in solids. Current examples of projects include: 1) the study of metal surface phase transformations in UHV, 2) the study of electrochemically driven surface and overlayer, reconstructions at metal/electrolyte interfaces, 3) the study of fluctuations at liquid surfaces and interfaces, 4) X-ray magnetic scattering studies of bulk and surface rare earths, transition elements, and actinides, and 5) inelastic X-ray scattering studies of plasmons in light metals. The X-ray group is also an active member of the Complex Materials CAT constructing beamlines at the Advanced Photon Source.

#### 62. POSITRON SPECTROSCOPY

K. G. Lynn, P. Asoka-Kumar, S. Jovanovic, C. Szeles  
(516) 344-3710 02-2 \$870,000

Perfect and imperfect solids, solid heterostructures and interfaces, and their surfaces are investigated using variable energy positron beam (0.1 eV-3MeV). A high intensity positron beam that utilizes a copper isotope produced with the high flux beam reactor has become fully operational, and can deliver a beam of peak intensity of  $1 \times 10^{18}$  e<sup>+</sup>/s. The beam has been used by several research groups studying a wide range of topics: positron-hydrogen scattering, positron reemission microscope, and positron-induced Auger electron spectroscopy. The 2D-ACAR study provided the first electronic image of a buried interface (SiO<sub>2</sub>-Si), while the positron-hydrogen scattering provided an accurate value for the positronium formation cross section. The research activity connected with the defects over the past years has

spanned different topics, like, role of defects in producing a saturation conductivity behavior at high doping concentrations in Si, 2D-ACAR images of As vacancies in GaAs, characterization of diamond-like nanocrystalline films, and vacancy generation due to electromigration. A new two-detector coincidence system is developed to examine the line shape variations originating from the high momentum core-electron annihilations. The new approach adds elemental specificity to positron spectroscopy, and is useful in studying the elemental variations around a defect site. The 3 MeV positron beam was used for a high resolution study of planar channeling in thin single crystal Si. The beam transmitted in the forward direction reveals many features associated with the dynamical diffraction effects and long coherence lengths in agreement with theoretical predictions. Based on these studies, it is concluded that positron channeling can be developed into a new solid state probe (electron and spin densities in the channel) via annihilation in flight of the positrons. New techniques (thermo electric effect spectroscopy, thermally stimulated current spectroscopy, 1/f noise using an Ac-cross-correlational technique) were developed to obtain complementary defect-related informations in a large variety of materials.

#### 63. CONDENSED MATTER THEORY

V. J. Emery, P. Bak, G. Castilla, M. Pacuski, R. E. Watson, M. Weinert  
(516) 344-3765 02-3 \$743,000

Solid state theory including self-organized criticality, nonlinear systems, theory of correlated electron systems, especially superconductivity in oxides. Theory of alloys including heats of formation, using local density functional theory. Electronic structure of metallic surfaces. Applications to X-ray and neutron scattering, and to photoemission.

#### 64. X-RAY MICROSCOPY BEAMLINE UPGRADE

J. Kirz  
(516) 344-5601 02-4 \$122,000

The X1A Undulator Beamline at NSLS was originally designed in 1983 to feed two experimental stations from a single monochromator of moderate resolution. Since then, it has become clear that the combination of microscopy with spectroscopy is a particularly powerful tool. To be able to do spectroscopy on both stations simultaneously, it was necessary to re-design the beamline with separate monochromators for the two stations. This provided the opportunity to upgrade the optics to current fabrication standards, to improve the resolution of both monochromators, and to improve the available resolution/flux trade-off. After removing the old components, the upgraded beamline elements were installed, assembled, aligned, and commissioned. This X-ray spectro-microscopy capability will be used to examine materials structures and biological structures.

**65. PRECISION PHOTO-FABRICATION USING X-RAYS**

E. D. Johnson, D. P. Siddons  
(516) 344-4603 02-5 \$200,000

The NSLS X-ray ring is used as a source of hard ( $E > 15$  keV) X-rays to extend lithographic exposure techniques to the length scale of centimeters. The properties of the source also make it possible to fabricate fully three dimensional structures while maintaining micrometer precision. The objectives of this project are to provide tools for utilizing this resource and conduct research defining optimal operating parameters for exposures in various configurations. Precision patterning over large areas with aspect ratios exceeding 100:1 and the fabrication of re-entrant three dimensional objects in resist have been demonstrated. Scanning systems and mask writing equipment matched to the requirements of hard X-ray exposures have been developed. Current research is aimed at providing a better understanding between the resist properties, exposure parameters, and device geometry to develop design rules for high quality precision fabrication in this high aspect ratio regime. Development of the next generation exposure station is also under way.

**66. ELECTRON SPECTROSCOPY**

P. D. Johnson, D. Bosov, C. Huang, C. Reisfeld, M. Strongin  
(516) 344-3705 02-5 \$802,000

Various surface sensitive techniques are used to study the physical and chemical properties of surfaces and thin films. These techniques include Low Energy Electron Diffraction (LEED), Auger Electron Spectroscopy, Photoemission, Inverse Photoemission, Spin Polarized Photoemission, and infrared spectroscopy. The major part of the program is supported by beamlines at the NSLS. These include both conventional monochromators and the more advanced spherical grating monochromators used on the undulator sources. The latter devices are dedicated to the spin polarized photoemission components of the program. Ongoing research includes: (a) photoemission and inverse photoemission studies of the electronic structure of metal overlayers, clean metal surfaces, and adsorbate covered surfaces; (b) studies of surface magnetism in thin films and the effect of adsorption on surface magnetism; (c) studies of magnetism in thin films and effect of absorption on surface magnetism; and (d) studies of hydrogen in thin and multilayer films. A new program has been initiated on charge transport in complex materials, including organic conductors, high- $T_c$  superconductors, doped

fullerene systems and other unusual metals. It is anticipated that the complex conductivity will show unique features due to the breakdown on the usual quasiparticle picture in these materials.

**67. STRUCTURE-SENSITIVE PROPERTIES OF ADVANCED PERMANENT MAGNET MATERIALS: EXPERIMENT AND THEORY**

D. O. Welch, L. H. Lewis  
(516) 344-3517 02-5 \$500,000

It is the task of this program to study the basic relationships between crystal lattice defects and the microstructure of advanced high-coercivity permanent magnet materials and their macroscopic magnetic properties, such as coercivity, remanence, and maximum energy product, which are relevant to their energy related technological application. The research features both theory and experiment, including the use of the High Flux Beam Reactor (HFBR) and the National Synchrotron Light Source (NSLS), and features a collaboration between researchers from Brookhaven National Laboratory (BNL), industry [primarily the General Motors Research Laboratories (GM), DelcoRemy/Magnequench, and International Business Machines (IBM)-San Jose] another national laboratory (Idaho National Engineering Laboratory (INEL), and universities (primarily Lehigh University and Carnegie-Mellon University). This program is part of the focused project on Tailored Microstructures in Hard Magnets of the Department of Energy (DOE) Center of Excellence for the Synthesis and Processing of Advanced Materials.

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**Materials Chemistry - 03 -**

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**68. NEUTRON SCATTERING - SYNTHESIS AND STRUCTURE**

J. Z. Larese  
(516) 344-4349 03-1 \$505,000

Neutron and X-ray scattering techniques are employed to study phase transitions and critical phenomena of atomic and molecular films adsorbed on surfaces. Primary emphasis is focussed on the structure and dynamics of hydrocarbon and rare gas films adsorbed on graphite, magnesium oxide, and boron nitride surfaces. Other areas of study include the synthesis of high-quality single-crystal materials with unique physical properties, the production and characterization of chemically active metal oxides and molecular dynamics simulations of surface films. This effort is also responsible for the operation of a multiuse neutron beam port through a participating research team. A medium resolution, 15-detector powder diffractometer, a high-resolution two-dimensional area detector, and a triple-axis diffractometer are available for use by the outside scientific community.

**69. SYNTHESIS AND STRUCTURES OF NEW CONDUCTING POLYMERS**

J. McBreen  
(516) 344-4513 03-2 \$441,000

Development of a fundamental understanding of ionically and electronically conducting polymers and development of techniques for tailoring the materials with highly specific electrical and optical properties. Research consists of the synthesis of new conducting polymers and the exploration of their physical and chemical properties with a number of spectroscopic techniques, including electrochemistry, X-ray absorption spectroscopy, X-ray diffraction, positron annihilation, Fourier transform infrared spectroscopy, Raman spectroscopy and electrical resistivity measurements. The materials of interest are linear polyethers, polysiloxanes, polypyrroles and polythiophenes. The materials are chemically modified by the covalent attachment of electrically active side groups or by introducing polar plasticizers on anion complexing agents. A second category of materials consists of organo-disulfid redox polymers. This is a collaborative program between Brookhaven National Laboratory, Polytechnic University, and Power Conversion, Inc.

**Facility Operations - 04 -****70. OPERATIONS OF NSLS**

M. Hart, J. Hastings, R. Heese, J. Keane,  
R. Klaffky, S. Kramer, S. Krinsky,  
W. Thomlinson  
(516) 344-4966 04-1 \$18,175,000

This program supports the operation of the National Synchrotron Light Source, which is a large user facility devoted to the production and utilization of synchrotron radiation, and its supports the development of electron based radiation sources and of new applications of this radiation in the physical and biological sciences. The NSLS operates two electron storage rings and the associated injection system composed of a linear accelerator and a booster synchrotron, and it operates an extensive user program built around facility and participating research team photon beamlines on the vacuum ultraviolet (VUV), and X-ray storage rings. As this is the first facility in the U.S. that was designed expressly for the use of synchrotron radiation, there are extensive development programs to improve the stability, reliability, and lifetime of electron beams and to develop new insertion devices which give even brighter photon beams. Equally important are programs to develop new beamline instrumentation including beamline optics, monochromators and

detectors which will permit users to take full advantage of the unique research capabilities offered by the NSLS. The PRTs continue to invest heavily in the facility, and the program seeks to keep the facility at the forefront to justify this investment. Two conceptual design reports have been submitted: one for a beamline and machine upgrade, and the other for a fourth-generation source (the Deep UltraViolet Free Electron Laser).

**71. HIGH FLUX BEAM REACTOR**

D. Rorer, J. Barkwill, W. Brynda, J. Carelli, C. Dimino,  
S. Golden, R. Karol, D. Ports, A. Queirolo, L. Somma  
(516) 344-4056 04-1 \$23,220,000

Operation of the High Flux Beam Reactor, including routine operation and maintenance of the reactor, procurement of the fuel, training of operators, operation and maintenance of a liquid hydrogen moderated cold neutron source, irradiation of samples for activation analysis, isotope production, positron source production, and radiation damage studies. Technical assistance provided for experimental users, especially with regard to radiation shielding and safety review of proposed experiments. Additionally, planning and engineering assistance provided for projects for upgrading the reactor.

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**Metallurgy and Ceramics - 01 -****72. WASTE MINIMIZATION IN PROCESSING OF FUNCTIONALLY GRADED MATERIALS**

B. Rabin, C. D. Van Siclen  
(208) 526-0058 01-5 \$100,000

Minimization of waste generated during functionally graded materials processing. Predictive modelling development for the morphological stability of graded materials during fabrication and subsequent service. Two- and three-dimensional Kinetic Monte Carlo simulations to study the evolution of graded region as atomic diffusion induces mesoscopic-scale morphological changes and new phase nucleation. Development of novel microstructure descriptors to enable quantitative comparison of simulated microstructures with experimental microstructures to provide a time scale for the simulations.

**73. STRESS DISTRIBUTION IN GRADED MICROSTRUCTURES**

B. H. Rabin  
(208) 526-0058 01-5 \$295,000

Develop fundamental understanding of the effects of microstructure, processing conditions, and specimen geometry on the thermomechanical behavior of graded materials. Fabrication of two-phase coatings and bulk materials with controlled microstructural gradients and varying geometries by ion-beam assisted deposition (IBAD) and powder metallurgy techniques. Focus on model materials systems in which significant property mismatch exists between components, e.g.  $Al_2O_3/Ni$ . Thermophysical and mechanical property characterization of graded composites. Mapping of residual stresses by X-ray and neutron diffraction methods, and fluorescence spectroscopy. Comparison of experimental results with predictions from elastic-plastic finite element method (FEM) modeling of stress distributions. Use of FEM models to design gradient material microstructures for severe service conditions. This program participates in the focus projects on Hard Magnets and on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**74. ROLE OF IMPURITIES IN MICROSTRUCTURAL EVOLUTION OF RAPIDLY SOLIDIFIED MATERIAL**

R. N. Wright  
(208) 526-6127 01-5 \$213,000

Examination of phenomena associated with the interaction of low levels of impurities with quenched-in defects in rapidly solidified metals. Interactions studies in simple systems to determine fundamental mechanisms. Initial studies of high-purity aluminum and aluminum doped with ppm levels of lead or indium containing ion-implanted helium have shown accelerated helium bubble growth when liquid precipitates are attached to bubbles. Rapidly quenched, high-purity aluminum and dilute aluminum alloys containing substitutional elements with different vacancy binding energies, as well as carbon as an interstitial impurity, have been examined. The transformation from a dendritic as-solidified structure to equiaxed grains during isothermal annealing and with superimposed plastic strain is being studied in detail for Ag-2% Al and Ni-Cu alloys. Solute redistribution by a moving grain boundary is modeled. This program participates in the focus project on Mechanically Reliable Surface

Oxides for High Temperature Corrosion Resistance and Polymers of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**75. STRUCTURE-SENSITIVE PROPERTIES OF ADVANCED PERMANENT MAGNET MATERIALS**

C. Sellers 02-5 \$16,000

The task of this program to study the basic relationships between crystal lattice defects and the microstructure of advanced high-coercivity permanent magnet materials and their macroscopic magnetic properties, such as coercivity, remanence, and maximum energy product, which are relevant to their energy related technological application. The research features both theory and experiment, including the use of the High Flux Beam Reactor and the National Synchrotron Light Source, and features a collaboration between researchers from Brookhaven National Laboratory, industry [primarily the General Motors Research Laboratories (GM), DelcoRemy/Magnequench, and International Business Machines (IBM)-San Jose] another national laboratory (Idaho National Engineering Laboratory (INEL), and universities (primarily Lehigh University and Carnegie-Mellon University). This program is part of the focused project on Tailored Microstructures in Hard Magnets of the Department of Energy Center of Excellence for the Synthesis and Processing of Advanced Materials.

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H. Birnbaum - (217) 333-1370  
Fax: (217) 333-2278

**Metallurgy and Ceramics - 01 -****76. THEORY OF DEFECTS AND INTERFACES IN BODY CENTERED CUBIC METALS**

J. B. Adams 01-1 \$116,000

Calculate surface segregation in Al-Mg alloys. Study the Pt catalysis of NO and hydrocarbons on Rh and surfaces. Determine the surface structure of amorphous silicon. Simulate deformation of polymer adhesives.

**77. TRANSPORT PROCESSES IN LOCALIZED CORROSION**

R. C. Alkire  
(217) 333-0034      01-1 \$182,000

Corrosion of passivating systems. Transport, reaction, and convective diffusion at localized corrosion sites. Initiation at inclusions; corrosion pit growth; corrosion of cracks in static and dynamically loaded systems; corrosion inhibition.

**78. DEFECTS, DIFFUSION, AND NON-EQUILIBRIUM PROCESSING OF MATERIALS**

R. S. Averback  
(217) 333-4302      01-1 \$216,000

Ion beam studies of interfaces and diffusion; Rutherford backscattering studies of ion beam effects in solids; crystalline and amorphous transitions; formal properties of nanophase metals and alloys; radiation damage due to ion beams. Development of nanophase ceramics and studies of their physical and mechanical properties. Transport properties and structures of nanophase ceramics are being studied.

**79. MOLECULAR SPECTROSCOPY OF THE SOLID-LIQUID INTERFACE**

P. W. Bohn  
(217) 333-0676      01-1 \$73,000

In-situ molecular spectroscopic probes used to study the structural chemistry of corrosion inhibitors on metal and metal-oxide surfaces. Raman spectroscopy of the liquid-solid interface will be used to determine adsorbate-substrate binding and linear dichroism to probe the supermolecular structure and molecular orientation. Correlation with the solution chemistry and corrosion response will be made.

**80. CENTER FOR MICROANALYSIS OF MATERIALS**

J. A. Eades  
(217) 333-8396      01-1 \$851,000

Chemical, physical and structural characterization of materials. Surface and bulk microanalysis. Electron microscopy, X-ray diffraction, Auger spectroscopy, SIMS and other techniques. Collaborative research programs.

**81. DIFFRACTION AND MICROSCOPY FROM SURFACES**

J. A. Eades  
(217) 333-8396      01-1 \$67,000

Electron diffraction and electron microscopy are used for the study of surfaces. Reflection high-energy electron diffraction (RHEED) and low-energy diffraction (LEEM) are studied in conventional and convergent-beam mode. The emphasis is on technique development.

**82. ATOMISTICS OF GROWTH AND TRANSPORT AT METAL AND SEMICONDUCTOR INTERFACES**

G. Ehrlich  
(217) 333-6448      01-1 \$126,000

Atomic processes important in the growth of crystals and thin films are being characterized on the atomic level using field ion microscopic methods. The diffusivity of single metal atoms will be explored on different planes of the same crystal, as well as on different substrates, in order to establish the importance of structure and chemistry in affecting atomic transport and incorporation.

**83. ATOMIC RESOLUTION ELECTROCHEMISTRY OF CORROSION AND DEPOSITION PROCESSES**

A. A. Gewirth  
(217) 333-8329      01-1 \$78,000

Scanning Tunneling Microscopy and Atomic Force Microscopy is applied to understanding the atomic processes of corrosion and deposition in electrochemical environments.

**84. TRANSMISSION ELECTRON MICROSCOPY OF SURFACES AND INTERFACES**

J. M. Gibson  
(217) 333-2997      01-1 \$178,000

Elucidation of surface and interface structure using quantitative transmission electron microscopy. TEM studies of surface reactions and in-situ epitaxial growth using image formation using surface related diffracted intensities. Quantitative atomic resolution microscopy is being applied to interface structure and chemistry.

**85. CRYSTAL GROWTH AND PHYSICAL PROPERTIES OF METASTABLE SEMICONDUCTING, CERAMIC AND METALLIC ALLOYS**

J. E. Greene  
(217) 333-0747      01-1 \$178,000

Mechanisms and kinetics of crystal growth. Metastable single crystal alloys for solar and optical applications. Ion-beam sputtering, molecular-beam epitaxy, laser heating and low-energy ion bombardment methods applied to III-V based compounds and III-IV-V2 chalcopyrite systems.

# 86. SURFACE AND INTERFACE X-RAY DIFFRACTION

I. K. Robinson  
(217) 244-2949 01-1 \$147,000

Use and development of X-ray scattering methods to study the physics and chemistry of surfaces. Development of methods to study the structure of surfaces during MBE growth and during corrosion. Studies of the solid-liquid interface.

# 87. ORGANIZATION OF THE SINGLE-CRYSTAL SOLID-LIQUID INTERFACE: ENERGIES, STRUCTURES AND ELECTRONIC SYNERGISM

A. Wieckowski  
(217) 333-7943 01-1 \$147,000

Structure and properties of the solid-liquid interface. Atomic level studies of the structure/energy characteristics of adsorbates in electrochemical systems. Electrocatalysis.

# 88. MICROSTRUCTURE EVOLUTION, INTERFACES AND PROPERTIES IN STRUCTURAL CERAMIC COMPOSITES

A. Zangvil  
(217) 333-6829 01-1 \$195,000

Phase and microstructural evolution in structural ceramics and ceramic matrix composites; SiC-based solid solutions; nitride, boride and mullite-based systems; interfaces and fracture toughness. Oxidation mechanisms of ceramic matrix composites; theoretical model of particle oxidation in an oxide matrix.

# 89. SOLUTE EFFECTS ON MECHANICAL PROPERTIES OF GRAIN BOUNDARIES

H. K. Birnbaum, I. Robertson  
(217) 333-1370 01-2 \$180,000

Hydrogen effects on deformation and fracture; effects of hydrogen on dislocation mobilities; theoretical model of hydrogen embrittlement; interaction of dislocations with grain boundaries; solute effects on the response of grain boundaries to stress. This program participates in the focus projects on Metal Forming of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

# 90. COUNCIL ON MATERIALS SCIENCE

C. P. Flynn  
(217) 333-1370 01-2 \$78,000

Study and analysis of current and proposed basic research programs on materials and assessment of

their relevance to problems of energy utilization. Consideration of national facilities needs. Convening of panel studies on selected topics.

# 91. CHEMICAL VAPOR DEPOSITION OF METAL AND CERAMIC THIN FILMS

G. S. Girolami  
(217) 333-2729 01-2 \$70,000

Synthesis of thin film metals and ceramics by chemical vapor deposition method at low temperature. Studies of the chemistry of precursor compounds at solid surfaces. Development and investigation of surface-selective chemical vapor deposition methods. Preparation of transition metals and their carbide, boride and nitride binary phases using MOCVD methods. Characterization of the microstructures, chemistry, electronic structure, physical properties of the films using a variety of methods.

# 92. HIGH TEMPERATURE MECHANICAL BEHAVIOR OF CERAMICS

D. F. Socie  
(217) 333-7630 01-2 \$80,000

Behavior of engineering materials subjected to complex loading involving high temperatures, multiaxial state of stress, and time dependent state of stress. Macroscopic damage models are being developed on the basis of microscopic studies of defects accumulated in the materials. High temperature mechanical properties of ceramics under uniaxial, multiaxial, and fatigue conditions.

# 93. MICROSTRUCTURE BASED CONTINUUM MODELING OF THE MECHANICAL BEHAVIOR OF MATERIALS

P. Sofronis  
(217) 333-2636 01-2 \$59,000

Theoretical modeling of mechanical properties such as hydrogen interactions with dislocations, high temperature creep of nanophase materials, and sintering of ceramic compacts. Development of algorithms for describing mechanical behavior including time dependence and mass flow.

# 94. SUBCRITICAL CRACK GROWTH IN STRUCTURAL CERAMICS

J. F. Stubbs  
(217) 333-6474 01-2 \$48,000

Micromechanisms of failure at elevated temperatures under creep, fatigue and aggressive environmental conditions. Role of oxide films on crack initiation and propagation. Microstructural examination of regions in front of cracks and of the dislocation structures are related to micromechanics of failure. Crack propagation kinetics in ceramics at high temperatures and in aggressive atmospheres. Subcritical crack growth in ceramics.

**95. DEVELOPMENT OF X-RAY SYNCHROTRON INSTRUMENTS**

H. K. Birnbaum  
(217) 333-1370 01-3 \$337,000

Design, development and fabrication of X-ray beamline equipment for the UniCat sector at the Advanced Photon Source located at Argonne National Laboratory. Program is interactive with Oak Ridge National Laboratory, National Institute of Science and Technology and UOP Corporation.

**96. STRUCTURE AND KINETICS OF ORDERING TRANSFORMATIONS IN METAL ALLOYS AND SILICIDE THIN FILMS**

H. Chen  
(217) 333-7636 01-3 \$146,000

Investigation of the kinetics and mechanisms of thermally induced structural transformation in amorphous silicate glasses and crystalline silicide thin films. Emphasis is placed on the devitrification behavior and silicide layer growth kinetics and interface characterization using X-ray diffraction techniques in an in-situ manner.

**97. MATERIALS CHEMISTRY OF OXIDES CERAMICS; FIELD RESPONSIVE ORGANIC INCLUSION COMPLEXES**

W. G. Klemperer  
(217) 333-2995 01-3 \$128,000

Low-temperature synthesis of oxide gels and glasses using a step-wise approach. Polynuclear molecular building-blocks are first assembled and then polymerized into solid materials using sol-gel methods. Silicate cage, ring, and chain alkoxides and their polymerization reactions are studied using multinuclear NMR spectroscopic and gas chromatographic techniques.

**98. SYNTHESIS AND PROPERTIES OF ELECTRICAL CERAMICS**

D. A. Payne  
(217) 333-2937 01-3 \$185,000

Synthesis, powder preparation, crystal growth, forming methods, materials characterization and property measurements on electrical and structural ceramics. Sol-gel processing of thermal barriers and mechanical coatings. Chemical, electrical and mechanical boundary conditions in polarizable deformable solids, twin and domain structures, ferroelasticity and crack propagation. Amorphous ferroelectrics. Synthesis methods and properties of high- $T_c$  superconductors.

**99. ATOMIC SCALE MECHANISMS OF VAPOR PHASE CRYSTAL GROWTH**

A. Rockett  
(217) 333-0417 01-3 \$99,000

Atomic dynamics on semiconductor surfaces during growth from the vapor phase; photoelectron spectroscopic characterization of the energy band structure, near surface chemistry, and interactions between thin films; dielectrics for Si-Ge and compound semiconductors; and characterization of reactions to form contact metallizations for microelectronic integrated circuits.

**100. MAGNETIC BEHAVIOR OF NANOPHASE MATERIALS**

M. B. Salamon  
(217) 333-6186 01-3 \$131,000

Experimental and theoretical studies of the magnetic properties of nanophase metals and mixtures of metals. Interfacial effects of magnetic particles embedded in non-magnetic matrices. Investigation of spin waves, quantum tunneling of the macroscopic magnetization of particles and macroscopic quantum coherence effects. This program participates in the focus projects on Nanostructural Materials of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**101. FERROELECTRIC THIN FILMS**

D. Viehland  
(217) 333-6837 01-3 \$114,000

An interlaboratory collaboration to explore the effects of epitaxy on the properties of ferroelectric materials. The proposed work is based on advanced methods for the synthesis of ferroelectrics by molecular beam epitaxy at ORNL and by sol gel methods at Illinois. Ferroelectric displacements in strained epitaxial structures containing clamped strictions will be characterized initially by X-rays.  $180^\circ$  and  $90^\circ$  domain boundaries will be analyzed at atomic resolution using unique resources for Z-contrast electron microscopy at ORNL. Low energy electron microscopy at the MRL will be employed to observe at 10 nm resolution the domain structures and polarizations that exist in these tailored epitaxial materials. Properties related to structure will be determined using existing facilities for dielectric characterization in the MRL that use lithographic methods to measure polarizability, hysteresis and piezoelectric deformations on a 1  $\mu$ m length scale; the dielectric behavior is to be explained by the observed structure and the epitaxial constraints. The difference behaviors obtained from samples grown by sol gel and molecular beam methods are expected to reveal a linking of domain structure to physical microstructure.



## 102. PROCESSING OF MONODISPERSE CERAMIC POWDERS

C. Zukoski

(217) 333-7379 01-3 \$109,000

Low temperature processing of ceramics including precipitation of monodisperse oxide powders, rheology of monodisperse powders and mixtures, and studies of forces in colloidal suspensions, for the purpose of forming low flaw density, high performance ceramics.

## 103. MICROSCOPIC PROCESSES IN IRRADIATED CRYSTALS

R. S. Averback, C. P. Flynn

(217) 333-4302 01-4 \$153,000

Fundamental processes of irradiation induced defects in crystalline solids. Use of high resolution analytical methods such as TEM, SIMS, RBS, to explore the atomic processes at the size scale of the defect events. Thermal spike behavior, radiation induced diffusion, radiation sputtering and sink behavior are being studied. Experimental efforts are complemented by molecular dynamic computer simulations.

## 104. RADIATION EFFECTS IN METALS AND SEMICONDUCTORS

I. M. Robertson

(217) 333-6776 01-4 \$248,000

Investigations of vacancy dislocation loop formation and displacement cascades in Fe, Ni, Cu with irradiations and high voltage electron microscopy (at ANL) at 10K to 800K; and of amorphous zones produced in Si, GaAs and GaP by heavy ion irradiation

## 105. MOLECULAR PRECURSOR ROUTE TO NANOPOROUS SOLIDS

J. S. Moore

(217) 244-4024 01-5 \$140,000

Synthesis of multi-topic ligands designed to spontaneously organize into nanoporous coordination networks. Structure and property characterization. Development of constitutive models to understand crystal packing and enhance rational design of new materials.

## Solid State Physics - 02 -

## 106. MICROSCOPIC MECHANISMS OF CRYSTAL GROWTH

D. Cahill

(217) 333-6753 02-2 \$177,148

Fundamental studies of vapor phase crystal growth. Use of scanning tunneling microscopy to quantify the evolution of surface morphology during epitaxial growth of pure elements and alloys and the effects of low-energy ion bombardment on morphology and microstructure.

## 107. ELECTRONIC PROPERTIES OF SEMICONDUCTOR SURFACES AND INTERFACES

T.-C. Chiang

(217) 333-2593 02-2 \$178,691

Synchrotron radiation photoemission X-ray diffraction, and STM studies of the electronic properties atomic structure, and growth behaviors of semiconductor surfaces and interfaces prepared in-situ by molecular beam epitaxy and chemical vapor deposition; properties and atomic structure of alloy surfaces.

## 108. GROWTH AND PROPERTIES OF NOVEL MBE MATERIALS

C. P. Flynn

(217) 244-6297 02-2 \$168,724

Determination of the mechanisms of epitaxial growth of metals and oxides. Development of a predictive framework for understanding the growth of metastable and stable structures accessible by MBE methods. Growth of multilayer systems of interest for technological applications.

## 109. CHARGE TRANSPORT ACROSS SUPERCONDUCTOR-SEMICONDUCTOR INTERFACES

L. H. Greene, P. M. Goldbart, A. V. Sokol,

D. Van Harlingen

(217) 333-7315 02-2 \$245,250

A coordinated experimental and theoretical study of the static and dynamic properties of hybrid superconductor-semiconductor structures. Electronic transport, superconductive tunneling, and magnetization measurements are conducted in planar microfabricated structures of high-quality niobium thin films grown directly on III-V semiconductors to study the superconducting proximity effect and Andreev reflection.

**110. THEORY OF SOLIDS, SURFACES AND HETEROSTRUCTURES**

R. M. Martin

(217) 333-4229 02-2 \$77,265

Theoretical studies of the properties of materials using ab-initio calculations in a unified manner. Development of techniques tested on known materials and extension of these methods to predict properties new materials. Focus on problems involving many correlations of electrons and complex structures such as high- $T_c$  superconductors, surfaces, catalysis, heterostructures and interfaces.

**111. SEMICONDUCTOR/INSULATOR STRUCTURES**

H. Morkoc

(217) 333-0722 02-2 \$110,443

Development of novel techniques of crystal growth based on MBE, Gas Beam, and MOCVD methods. Application of methods to growth of controlled interfaces and multilayers involving semiconductors and insulators. Understanding the electronic and optical properties of these structures.

**112. DESIGN AND SYNTHESIS OF NEW ORGANOMETALLIC MATERIALS**

T. B. Rauchfuss

(217) 333-7355 02-2 \$125,762

A research program for the synthesis of organometallic polymers. The program emphasizes fundamental synthetic chemistry as it applies to the design of monomers suited for polymerization. Solids containing dynamic metal-metal bonds, i.e. mobile charge density waves. Synthesis of metal clusters containing reactive ester groups will be developed for the applications to organometallic polyesters. The reactivity inherent in main group vortices of metal clusters will be used to generate clusters-of-clusters. Synthetic studies will focus on charge transfer salts containing organometallic donors and acceptors.

**113. MICROSCOPIC THEORIES OF THE STRUCTURE AND PHASE TRANSITIONS OF POLYMERIC MATERIALS**

K. S. Schweizer

(217) 333-6440 02-2 \$29,682

Development of novel molecular scale statistical mechanical theories of the equilibrium properties of polymers. Applications to the structural, thermodynamic, and phase transition behavior of

polymer blends, copolymers, and melts. Development of a chemically realistic predictive theory of behavior as a design tool for synthetic chemists.

**114. PROPERTIES OF CRYSTALLINE AND LIQUID CONDENSED GASES**

R. O. Simmons

(217) 333-4170 02-2 \$0

Measurement and theory of momentum density in bcc, hcp, and liquid helium, in solid neon and argon, and molecular solids, pulsed neutron scattering, electronic and phonon excitations in solid helium-three by inelastic X-ray scattering, thermal and isotopic defects in helium crystals, quantum effects in diffusion.

**115. NUCLEAR MAGNETIC RESONANCE IN SOLIDS**

C. P. Slichter

(217) 333-3834 02-2 \$206,794

Investigations of layered materials and one dimensional conductors with charge density waves, of Group VIII metal-alumina catalysts, and of spin glasses using nuclear magnetic resonance methods. Use of resonance methods to study the role of Cu and O in high- $T_c$  superconductivity.

**116. ELECTRO-ACTIVE AND NONLINEAR OPTICAL POLYMERS**

S. I. Stupp

(217) 333-4436 02-2 \$150,618

Synthesis and physical property determination of self ordering chiral polymers that order in response to external fields. Fields of interest are electric, stress and flow, and optical responses. Properties of interest in these polymers are ferroelectricity, ferromagnetism and nonlinear optical properties.

**117. METALLOPORPHYRINS AS FIELD RESPONSIVE MATERIALS**

K. S. Suslick

(217) 333-2794 02-2 \$66,159

The synthesis and characterization of porphyrinic materials with ferroelectric and nonlinear optical properties are being studied. Metalloporphyrin polymers, linked by direct metal-porphyrin chains via lanthanide metals or bridging, non-symmetric bifunctional ligands are being developed. Asymmetric assemblies with large molecular species having large dipole moments are being studied.

**118. CARRIER TRANSPORT IN QUANTUM WELLS - PICOSECOND IMAGING**

J. P. Wolfe

(217) 333-2374 02-2 \$106,935

Development of picosecond imaging techniques applied to measure the lateral transport of photoexcited carriers in semiconductor quantum wells. Optical- pulse-probe methods and spatial imaging techniques applied to GaAs/AlGaAs multilayers. Energy distribution of

photoexcited carriers measured with high resolution luminescence imaging methods used to study the scattering processes of carriers and surfaces, interfaces, impurities and phonons.

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#### Materials Chemistry - 03

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#### 119. HIGH PRESSURE STUDIES OF MOLECULAR AND ELECTRONIC PHENOMENA

H. G. Drickamer

(217) 333-0025 03-1 \$151,697

Use of high pressure to study electronic phenomena with emphasis on tuning of triplet energy levels in molecules containing N and O atoms in rigid polymeric media and on understanding changes in physical and chemical properties important for molecular electronic devices.

#### 120. MECHANISTIC AND SYNTHETIC STUDIES IN CHEMICAL VAPOR DEPOSITION

R. G. Nuzzo

(217) 244-0809 03-3 \$109,913

In-situ surface analysis techniques are directed towards understanding the atomic mechanisms of chemical vapor deposition growth of surface films and surface modified structures. Reactive gas-solid interactions studied with XPS, EELS, LEED and other surface methods.

#### 121. OPTICAL SPECTROSCOPY OF SURFACE PROCESSES IN THIN FILM DEPOSITION

E. G. Seebauer

(217) 333-4402 03-3 \$66,676

Surface chemistry during the deposition of GaAs films using LEED, temperature programmed desorption, photoreflection and surface second harmonic generation. The chemistry of the adsorption process and surface diffusion are being probed.

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### LAWRENCE BERKELEY NATIONAL LABORATORY

1 Cyclotron Road  
Berkeley, CA 94720

Daniel S. Chemla - (510) 486-4999

Fax: (510) 486-7768

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#### Metallurgy and Ceramics - 01 -

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#### 122. NATIONAL CENTER FOR ELECTRON MICROSCOPY

U. Dahmen

(510) 486-4627 01-1 \$2,684,000

Organization and operation of a national, user-oriented resource for transmission electron microscopy. Maintenance, development, and application of specialized instrumentation including an Atomic Resolution Microscope 1.6A point-to-point (ARM) for ultrahigh-resolution imaging a 1.5-MeV High Voltage Electron Microscope (HVEM) with capabilities for dynamic in-situ observations, analytical electron microscopes for microchemical analysis, and support facilities for specimen preparation, computer image analysis, simulation, and processing.

#### 123. CRYSTALLOGRAPHY OF MICROSTRUCTURES

U. Dahmen

(510) 486-4627 01-1 \$175,000

Investigation of fundamental features underlying the evolution of microstructures in solids by application of crystallographic techniques to the analysis of topology and defects in crystalline materials. Crystallographic relationships of precursor or parent phases and their use in analysis of defect structures and synthesis of new and unique microstructures with defect configurations reflecting composite symmetries. Electron microscopy investigation of the structure and distribution of defects such as inclusions, grain boundaries, domain walls and dislocations. Detailed characterization of the atomic structure of interfaces by conventional, in-situ and atomic resolution microscopy in tandem with computer image simulations.

#### 124. ALLOY PHASE STABILITY

D. De Fontaine

(510) 642-8177 01-1 \$111,000

Calculate temperature - composition phase diagrams from first principles. Combine total energy electronic structure computational procedures with statistical methods (cluster variation method) to calculate alloy phase equilibria without the use of empirical parameters. Phenomena of current interest are the oxygen ordering in high-temperature superconductors and the prediction of

phase stability in metallic alloys, particularly high-temperature superalloys.

#### 125. STRUCTURE AND PROPERTIES OF TRANSFORMATION INTERFACES

R. Gronsky  
(510) 486-5674 01-1 \$102,000

Relationship between atomic structure of homophase or heterophase boundaries and their properties, with attention to the solid state reactions that they either initiate, catalyze or propagate. Atomic resolution imaging, spatially-resolved diffraction, and spatially-resolved spectroscopy for location and identity of atomic species. Electron microscopy. Computer simulation of microstructural development and characterization methodologies for enhanced interpretation of results. Object-oriented code development. Engineering of new materials through control of atomic structure.

#### 126. THIN FILM STRUCTURES AND COATINGS

K. Krishnan  
(510) 486-4614 01-1 \$128,000

The goals of this research are the synthesis and characterization of atomically-engineered thin films with novel magnetic, optical, and electrical properties. Focus is on magnetic ultrathin films and multilayers and low-pressure deposition of diamond. Fundamental investigations of new phenomena as well as the development, control and optimization of microstructures to achieve enhanced properties will be stressed. In addition to synthesis and property measurement, development of nanoscale spectroscopic, imaging and diffraction methods at the appropriate level of resolution, with either electron or photon probes, will be critical to the success of these investigations and hence will be an integral part of these research projects. Of current interest in this program are the synthesis and understanding of ultrathin magnetic nanostructures with novel anisotropy, coupling, hysteretic and transport behavior, evolution and control of microstructures to optimize these properties, electronic structure changes associated with magnetic and chemical transitions in binary transition metal alloys and the electron emissivity of diamond thin films. This program participates in the focus project on Tailored Microstructures in Hard Magnets of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 127. CAM HIGH PERFORMANCE METALS PROGRAM

J. W. Morris, Jr., R. O. Ritchie, G. Thomas  
(510) 486-6482 01-2 \$615,000

This CAM program focuses on advanced metallic materials of interest to American industry. It includes fundamental research on microstructure and mechanical behavior and specific investigations of interesting metallic systems. It is organized in three projects: (1) Mechanical Behavior, which addresses the mechanisms of creep, fatigue and fracture, friction and wear, (2) Advanced Metals, which concentrates on the understanding and use of functional instabilities in the understanding and development of modern alloys, such as eutectic alloys for low-temperature bonding, controlled elongation alloys for formability, and electromagnetic field effects, and (3) Hard Magnets, which attempts to predict magnetic properties based on microstructural parameters such as grain size, phase distribution and texture, and design processing schemes to achieve superior microstructure and properties. This program participates in the focus projects on Metal Forming and on Tailored Microstructures in Hard Magnets of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 128. CAM CERAMIC SCIENCE PROGRAM

L. C. DeJonghe, R. Cannon, A. Glaeser,  
W. Moberlychan, R. Ritchie, G. Thomas, A. Tomsia  
(510) 486-6138 01-3 \$1,415,000

The CAM Ceramic Science Program has three linked objectives: the development of predictive, quantitative theories of densification and microstructure development in heterogeneous powder compacts, the application of these theories to produce advanced structural ceramics with improved performance beyond 1900K, and the evaluation of the mechanical properties of these ceramics, at temperatures above 1700 K. It develops model experiments that facilitate investigation of fundamental aspects of microstructural development and processing, and their application of model ceramic systems. It develops models and means for initial powder compact structural control including the production and use of coated powders; it examines the microstructural evolution and control during densification in relation to interface properties; it produces particulate ceramic composites based on SiC, and it tests mechanical properties of such ceramics in particular high temperature creep and fatigue; it characterized micro- and nano-chemistry and structure in relation to high temperature mechanical and environmental performance; it addresses issues in ceramic/ceramic and ceramic/metal joining. This program participates in the focus projects on Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance and on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**129. CAM ELECTRONIC MATERIALS PROGRAM**

E. Haller, J. Ager III, E. Bourret, Z. Liliental,  
W. Walukiewicz, J. Washburn, E. Weber,  
K. M. Yu  
(510) 486-5294 01-3 \$1,165,000

Research in this program focuses on an improved understanding of the materials science of artificially structured semiconductor and semiconductor-metal systems with special emphasis on wide band gap materials. Basic studies concentrate on the relationships between synthesis and processing conditions and the properties of semiconductor materials, as modified by the resulting structural and electronic imperfections. Growth of compound semiconductors by metalorganic epitaxies is combined with detail studies of structural and electronic properties of thin films and interfaces. Extensive transmission electron microscopy investigations of the nature and origin of defects at interfaces and within epitaxial layers closely correlated with electrical measurements on the same specimens provide feedback to the crystal growth synthesis and processing work at Berkeley and at other national laboratories. Optical spectroscopies ranging from the near UV to the far infrared region of the electromagnetic spectrum, electron paramagnetic resonance spectroscopy and electrical transport measurements give the complementary electronic properties. Theoretical and experimental work on the effects of atomic scale diffusion and the differences between solid solubility limits of dopants and the maximum concentration of free carriers is pursued. Novel types of processing methods including annealing under large hydrostatic pressures and with tunable synchrotron radiation, to increase the electrically active fraction of dopants, are explored. Progress in this area is applicable to the design of advanced photovoltaic energy conversion devices and of a large variety of sensors used in energy conversion, energy distribution and energy consumption. This program participates in the focus project on Processing for Surface Hardness of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**130. DESTRUCTIVE CHARACTERIZATION  
TOOLS FOR ENERGY-EFFICIENT  
MANUFACTURING**

J. W. Morris Jr., J. Clarke  
(510) 486-6482 01-5 \$175,000

This three-year research program will explore the potential of the scanning SQUID microscope as a probative tool for the nondestructive characterization for engineering alloys, to enhance process and quality control for energy-efficient manufacturing. The research specifically addresses critical elements of microstructure that are not readily accessible by existing techniques

including residual plastic deformation, mechanical degradation, internal precipitation, and thermal sensitization. The research team includes representatives of three distinct disciplines: (1) materials scientists familiar with the microstructure-property relations that determine engineering properties; (2) physicists expert in modern SQUID devices and techniques; (3) electron microscopists who can identify the magnetic phenomena that cause particular microstructural states to have characteristic magnetic signatures. The research will use a unique, high- $T_c$  scanning SQUID microscope recently developed at LBNL to examine well-characterized metallurgical specimens. The SQUID signals will be interpreted through high-resolution studies of magnetic patterns, obtained through Lorentz imaging and SPLEEM analysis in the National Center for Electron Microscopy. The research should identify important problems for which SQUID microscopy is ideally suited, and other problems for which SQUID microscopy, while superficially promising, is inapplicable or inherently noncompetitive with other techniques.

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**Solid State Physics - 02 -**

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**131. QUANTUM SIZE EFFECTS IN SEMICONDUCTOR  
NANOSTRUCTURES**

D. S. Chemla  
(510) 486-4999 02-2 \$268,000

A unique ultrashort optical pulse, high magnetic field, and low temperature facility will be exploited and methods for characterizing simultaneously the phase and the amplitude of polarization waves will be developed for exploring the ultrafast dynamics of materials with reduced dimensionality. The current research focuses on two topics: (1) Effect of Magnetic Confinement on Optical Nonlinearities, and (2) Understanding Dissipation and Relaxation in the Quantum Kinetics Regime. The objective of this program is to explore the physical properties of material systems whose sizes, of the order of a few nanometers, are intermediate between that of atoms/molecules and that of bulk solids. Because of quantum size effects, the properties of such systems are size and shape dependent and neither like those of atoms nor those of macroscopic solids. Because of the ultrasmall size of these systems, the dynamics of their electronic, vibronic and energy excitations is ultrafast, in the femtosecond regime.

### 132. SUPERCONDUCTIVITY, SUPERCONDUCTING DEVICES, AND 1/F NOISE

J. Clarke  
(510) 642-3069 02-2 \$204,000

DC Superconducting QUantum Interference Devices (SQUIDS) are being used in a wide variety of measurements. An ultrasensitive SQUID spectrometer is used to detect nuclear magnetic and nuclear quadrupole resonance in molecular solids in the frequency range below 1 MHz. The nuclear magnetic resonance signal from Xe has been greatly enhanced by Fermi exchange with optically pumped Rb, and observed at frequencies down to 200 Hz; further applications of this enhancement technique are under investigation. A SQUID is used to determine the origins of low-frequency magnetic flux noise in high transition temperature ( $T_c$ ) superconductors, and the effects of proton and heavy ion irradiation on flux pinning in these materials. Related experiments include the observation of flux lattice melting in high- $T_c$  superconductors and of the dynamics of flux vortices in two-dimensional arrays of Josephson junctions near the Kosterlitz-Thouless transition temperature. Experiments are in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$  by means of Josephson tunneling along the c-axis into lead films.

### 133. SURFACE, INTERFACE, AND NANOSTRUCTURE STUDIES USING SYNCHROTRON RADIATION IN COMBINATION WITH OTHER PROBES

C. S. Fadley  
(510) 486-5774 02-2 \$356,000

We are developing new synchrotron-radiation-based instrumentation and methods for studying solid surfaces, interfaces, and nanostructures and applying these methods together with other techniques such as scanning tunneling microscopy to systems of fundamental and technological interest. A principal interest is photoelectron spectroscopy, diffraction, and holography with ultrahigh resolutions in energy and angle. During the current year, a photoelectron spectrometer/diffractometer with unique capabilities for use at the Advanced Light Source also was completed, and first experiments performed with it. This system provides the highest combined resolutions in energy (1 in 104) and angle ( $\pm 1^\circ$ ) currently available at the ALS. The beamline on which it is situated also covers a broad range of energies from 30 eV to 1500 eV, and is the only one so far to permit varying the polarization from linear to circular. Some of the first studies carried out with this system were full-solid-angle photoelectron angular distributions from oxide and element atoms for O on W(110), and spin-polarized photoelectron diffraction from antiferromagnetic

MnO(001). Parallel theoretical work on spin-polarized photoelectron diffraction, circular dichroism in both non-magnetic and magnetic systems, and photoelectron holography also is continuing, with successful interpretations of experimental data for both spin-polarized photoelectron spectra and circular dichroism in photoelectron angular distributions.

### 134. ELECTRON TRANSPORT IN NANOSTRUCTURES

P. McEuen  
(510) 486-6817 02-2 \$95,000

We will create novel nanostructures using a combination of lithography and chemical synthesis, and probe their properties using local electrical measurements. Current work focuses on the electrical measurement of single metal and semiconductors clusters fabricated by colloidal synthesis. In one approach, an atomic force microscope (AFM) with a conducting tip will be employed to probe clusters bound surfaces. In a second approach, clusters will be used to bridge lithography patterned electrodes. DC transport measurements of these systems will directly probe the single electron charging energies and quantum level spacing of these clusters. In addition to DC transport, photocurrent and ultrafast spectroscopy will also be performed. This work will be performed in collaboration with the research groups of Paul Alivisatos, Peter Schultz, John Clarke, Joe Orenstein, and Daniel Chemla. The goal is to use a multidisciplinary approach to probe and control the properties of materials on a nanometer scale.

### 135. LINEAR AND NONLINEAR TERAHERTZ SPECTROSCOPY OF MATERIALS

J. Orenstein  
(510) 486-5880 02-2 \$120,000

Our program focuses on the terahertz and far-infrared properties of materials. The terahertz region of the spectrum is of critical importance in the spectroscopy of condensed matter systems. Spin waves in magnets, superconducting band gaps, and transitions between quantum confined states of low-dimensional systems all fall in this range. In spite of its importance, terahertz spectroscopy has been hindered by the lack of suitable tools. Our group uses time-domain terahertz spectroscopy (THz-TDS), a new technique which has revolutionized far-infrared spectroscopy. THz-TDS is based on electromagnetic transients generated optoelectronically with the help of sub-picosecond laser pulses. These transients are single-cycle bursts of electromagnetic radiation whose spectral density spans the range from below 100 GHz to above 5 THz. In recent studies we have used this unique source to study the microwave properties of the vortex state, nonlinear electrodynamics in high- $T_c$  superconductors, and giant magnetoresistance in multilayer thin films.

**136. FAR-INFRARED SPECTROSCOPY**

P. L. Richards  
(510) 486-3027 02-2 \$163,000

Improvements in infrared technology are making possible increases in the sensitivity of many types of infrared and millimeter wave measurements. In this project, improved types of infrared sources, spectrometers, and detectors are being developed. Also, improved infrared techniques are being used to do experiments in areas of fundamental and applied infrared physics where their impact is expected to be large. Infrared experiments in progress include: measurements of the far-infrared absorptivity of the new high- $T_c$  superconductors, measurements of hopping conductivity in Ge doped near the metal-insulator transition, and measurements of the heat capacity of monolayers of adsorbates on metal films. Improvements in infrared technology include: development of thin-film voltage biased superconducting bolometers for detecting X-ray, infrared, and microwave radiation. This novel approach promises orders of magnitude increase in detector speed and linearity for both high- $T_c$  and low  $T_c$  bolometers.

**137. UNENHANCED RAMAN SPECTROSCOPY OF MATERIALS AND SURFACES**

G. Rosenblatt  
(510) 486-6606 02-2 \$15,000

Raman spectroscopy probes the atomic vibrations of a material and can yield important information about chemical, physical, and mechanical properties. We have developed unique Raman instrumentation that has high sensitivity, a profiling and mapping capability with a spatial resolution of 5  $\mu\text{m}$ , and the ability to study adsorbed molecules and films (as thin as a monolayer) in-situ in an ultrahigh vacuum chamber. We are investigating a variety of technologically important materials systems - including amorphous carbon films, chemically synthesized diamond films, reinforced and phase stabilized ceramics, III-V semiconductors including nitrides, and polymers. Collaborative work with researchers in industry is leading to an improved understanding of the relationship between structure and mechanical performance of amorphous, "diamond-like" carbon films. Raman and photoluminescence are being used to obtain unique maps of strain distributions in carbon-based films, in ceramic composites, and in semiconductor films.

**138. ELECTRODE SURFACE PROCESSING**

P. Ross  
(510) 486-6226 02-2 \$300,000

Advanced plasma surface-modification and ion-implantation techniques are being used to modify battery and fuel cell electrodes. Plasma and ion-beam surface modification techniques of several different kinds will be employed including nitrogen-ion implantation, metal-ion implantation, and synthesis of thin-film surface layers that are atomically mixed into the electrode substrate. It is anticipated that surface-modified lithium electrodes will have significantly better stability, safety and lifetimes in nonaqueous battery electrolytes, compared to pure lithium electrodes. The goal is to replace commercially available lithium-carbon electrodes with metallic lithium, and thereby provide a significant increase in delivered energy and power per unit battery mass. Also, novel electrocatalyst structures will be formed using these techniques. It is anticipated that nonequilibrium multimetallic surfaces will exhibit unusual and enhanced electrocatalytic properties, compared to surfaces of the same elements prepared using conventional bulk synthetic methods.

**139. STUDIES OF THE METAL/SOLUTION INTERFACE WITH X-RAYS**

P. N. Ross  
(510) 486-6226 02-2 \$173,000

Development of a new method to determine the in-situ structure at metal/solution interfaces using total reflection of X-rays from metal surfaces at glancing incidence and analysis of Bragg reflection parallel and perpendicular to the reflecting plane to obtain complete structural characterization of the interfacial region. Initial experiments directed towards the study of the electrolytic reconstruction of metal surfaces and the understanding of solvated ion-metal interaction that causes this phenomenon (related to the more familiar reconstruction of the (100) faces of Au, Pt, and Ir in UHV). Recent experiments include determining lattice expansion accompanying hydrogen atom adsorption (from solution) on Pt, Ir and Pd surfaces, the 2D structure of halide ions on Pt, and the 2D structure of metals in the first stages of electrodeposition. Future experiments planned for the Advanced Light Source, where the unique high brightness of this source is very advantageous for the glancing incidence geometry in these experiments.

**140. FEMTOSECOND DYNAMICS IN CONDENSED MATTER**

C. V. Shank  
(510) 486-6557 02-2 \$262,000

The goal of this research program is to further the basic understanding of ultrafast dynamic processes in condensed matter. Research efforts are directed in two areas: development of new femtosecond optical pulse generation and measurement techniques, and application

of these techniques to investigate ultrafast phenomena in condensed matter and novel material systems. In the course of this work we have developed measurement techniques which allow us to resolve rapid events with the unprecedented time resolution of a few femtoseconds. The generation and compression of femtosecond pulses has been extended to cover the entire visible spectrum from 400 to 800 nm, providing the capability to investigate a large variety of important materials. Recent work has focused on ultrafast electron-hole dynamics in highly confined semiconductor structures CdSe and InP nanocrystals and CdS/HgS nanocrystal onions. Experimental results show clear evidence of coherent vibrational oscillations from Lo, and acoustic phonons which modulate the dynamic dephasing of the optically excited electron-hole pairs on a 10 fs time scale. A novel three-pulse photon echo technique which allows us to separate the vibrational dynamics from the polarization dephasing process. Three-pulse photon echo measurements in InP indicate that electronic dephasing occurs on a 100 fs time scale at 15 K, with significant contributions from an acoustic phonon heatbath. Contributions from acoustic phonons dominate the dephasing at room temperature. These results are in good agreement with our previous measurements in CdSe. Furthermore, we observe a partial dephasing at early times which results from a second excited state originating from valence band mixing. This is a direct result of the quantum confinement. Three-pulse photon echo techniques are being applied to studies of electronic dephasing of oxazine molecules in an amorphous polymer host. Such measurements elucidate the coupling between a probe molecule and the host environment. We find that the dephasing dynamics are consistent with a low-frequency phonon activated process. The polarization decay times vary from ~40fs at room temperature to ~160fs at 28K. In addition, the dephasing dynamics appear to be strongly dependent on the molecular nature of the solute. Our recent experiments on oxazine molecules in liquid solutions using chirped optical pulses show that the electronic response of the molecules can be strongly modified by the phase of the optical pulse. In particular we have shown that the optical absorption and fluorescence quantum yield of a solvated molecule can be enhanced or suppressed by the chirp of the optical pulse. These studies of ultrafast processes in condensed matter will provide new information about the fundamental properties of materials. This knowledge will be useful for evaluating novel materials for future energy applications.

#### 141. EXPERIMENTAL SOLID-STATE PHYSICS AND QUANTUM ELECTRONICS

Y. R. Shen  
(510) 486-4856 02-2 \$203,000

Development of linear and nonlinear optical methods for material studies and applications of these methods to probe properties of gases, liquids, and solids. Theoretical and experimental investigation of various aspects of laser interaction with matter are pursued. New nonlinear optical techniques are applied to the studies of surfaces and interfaces of all types.

#### 142. SURFACE INSTRUMENTATION

Y. R. Shen  
(510) 642-4856 02-2 \$203,000

The surface instrumentation project develops new experimental techniques for the atomic and molecular scale characterization of surfaces. These include the scanning tunneling and atomic force microscopes (STM, AFM), nonlinear optical techniques of sum frequency and second harmonic generation (SFG, SHG), and surface crystallography by LEED. (This project is part of the CAM Surface Science and Catalysis Program; this summary is duplicated in #139, 03-3).

#### 143. TIME-RESOLVED SPECTROSCOPIES IN SOLIDS

P. Y. Yu  
(510) 486-8087 02-2 \$125,000

The main objective of this project is to utilize picosecond and subpicosecond laser sources to study the ultrafast relaxation processes that occur in semiconductors. The processes under investigation include electron-phonon interactions, trapping of defects, phonon-phonon interactions, and electron-electron interactions. The experiments involve exciting dense electron-hole plasmas in bulk or nanostructures of semiconductors and monitoring the time evolution of the electron and phonon distribution functions by Raman scattering and photoluminescence. Another area of investigation involves the study of properties of solids under high pressure.

#### 144. QUANTUM THEORY OF MATERIALS

M. L. Cohen, S. G. Louie  
(510) 486-4753 02-3 \$310,000

Research to further basic understanding of the physical properties of materials and materials systems such as surfaces and interfaces. Emphasis on carrying out quantum-mechanical calculations on realistic systems so that a microscopic understanding may be obtained from first principles. Model systems are also examined, and new theoretical techniques are developed. Studies include bulk materials, high- $T_c$  superconductors, fullerenes, surface and chemisorbed systems, interfaces, materials under high pressure, clusters, and defects in solids.



Close collaboration with experimentalists is maintained comparisons with experiment showing that the calculations are accurate and of predictive power. Bulk materials research is focused on: electronic, magnetic, structural, and vibrational properties; crystal-structure determination; solid-solid phase transformations at high pressure; and defect properties. Surface and interface research focused on atomic, electronic, and magnetic structures. Superconductivity research is focused on mechanisms for high transition temperature and possibilities of superconductivity at high pressures.

#### 145. CENTER FOR X-RAY OPTICS

D. Attwood

(510) 486-4463 02-4 \$2,128,000

The Center for X-ray Optics (CXRO) continues to pursue advances in science and technology based on advances in X-ray optics and the use of modern sources of radiation. Emphasis is placed on the use of soft X-rays and extreme ultraviolet (EUV) radiation, a spectral region characterized by photon energies extending from below 100 eV to as high as 10 keV, and wavelengths extending from 0.1 nm to 20 nm. Activities in the past year have included soft X-ray microscopy for the physical and life sciences, with spatial resolution well below 100 nm (nanometers), a microprobe for X-ray fluorescence and diffraction studies of widely varying samples, generally permitting subpicogram elemental analysis on a 1 micron spatial scale in the presence of a high-Z host. New polarization sensitive studies of materials have yielded first results based on the polarizing properties of multilayer mirrors, and several projects related to future industrial capabilities have seen substantial progress. With support from the microelectronics industry, a unique at-wavelength EUV interferometer has been constructed, using coherent radiation from the Advanced Light Source (ALS), to measure the surface figure of multilayer coated optics for eventual use in a potential nanoelectronic manufacturing plant. Deep etch X-ray lithography (LIGA) was also used at the ALS to fabricate micron sized mechanical parts for use in precision manufacturing. New capabilities for absolute metrology and calibrations at EUV and soft X-ray wavelengths were also completed, and newly designed beamline monochromators, which combine high resolution, efficiency, low cost and ease of use, were completed and installed at BESSY I (in Berlin) and at the ALS. In addition to completing five beamlines at the ALS for the above pursuits, a long sought a state-of-the-art electron beam pattern writer, the "Nanowriter," has been installed a centerpiece of a new nanofabrication facility. The facility has just been commissioned, enabling the fabrication of significantly improved diffractive X-ray optics, structures for surface

materials science, quantum electronic devices, and using its unique stitching accuracy, complex mask structures for nanoelectronic lithography applications.

#### 146. CAM HIGH- $T_c$ SUPERCONDUCTIVITY PROGRAM

A. Zettl, J. Clarke, N. E. Phillips, P. Richards

(510) 642-4939 02-5 \$570,000

Studies in three areas: basic science, thin films and their applications, and electron microscopy. Basic science activities are directed at developing an understanding of the known high- $T_c$  materials in the expectation that it will lead to other materials with superior properties. It includes theoretical work, the synthesis of new materials, growth of single crystals, and the measurement of physical properties (including magnetic susceptibility, transport properties, specific heat, isotope effects, mechanical properties, nonlinear electrodynamics, microwave absorption, terahertz spectroscopy, electron tunneling, and infrared absorption). Theoretical studies include first principles calculations and model-based interpretations of measured properties. Thin films and applications research includes fabrication and processing. Investigation of physical and electrical properties, development of thin-film devices, including SQUIDS and other applications of Josephson devices, and bolometric radiation sensors. The electron microscopy research features atomic resolution imaging of cations, which enables defects, grain boundary structure, interface epitaxy, and composition to be analyzed and related to synthesis conditions and to physical properties. Fullerene materials are also synthesized and explored by electron microscopy and transport measurements and theory. The program benefits from collaborations with M. L. Cohen, U. Dahmen, D. de Fontaine, R. Gronsky, E. Haller, L. DeJonghe, V. Kresin, S. G. Louie, D. Olander, A. Portis, J. Reimer, M. Rubin, R. Russo, G. Thomas, J. Washburn, and P. Y. Yu. This program participates in the focus projects on Hard Magnets and Photovoltaics of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

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#### Materials Chemistry - 03 -

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#### 147. LOW-TEMPERATURE PROPERTIES OF MATERIALS

N. E. Phillips

(510) 486-4855 03-1 \$133,000

Measurements of low-temperature properties of materials, particularly superconductors, to contribute to the general understanding of materials properties and structure-property relations. The emphasis is on specific heat measurements (5mK to 130K; pressures to 20kbar; magnetic fields to 10T), but the electrical resistivity and magnetic susceptibility are also measured in cases of interest. Current investigations are mainly on high- $T_c$  oxide superconductors and heavy-fermion compounds.

The measurements on oxide superconductors give fundamental information on the nature and mechanism of the superconductivity, and technically useful information on the volume fraction of superconductivity and its relation to synthetic procedures; those on heavy-fermion compounds give information on the interrelation of superconductivity and magnetism.

#### 148. CAM BIOMOLECULAR MATERIALS PROGRAM

M. D. Alper, C. Bertozzi, D. Charych,  
J. F. Kirsch, D. E. Koshland, Jr.,  
P. G. Schultz, R. Stevens, C.-H. Wong  
(510) 486-6581 03-2 \$555,000

The goal of this program is the use of natural biological concepts processes and molecules as the basis for the synthesis of new materials. One component focuses on the use of natural, engineered and "created" enzymes to synthesize new materials. The unique stereochemical control exerted by enzymes and their ability to catalyze reactions at low temperature allows the synthesis of materials with structures and therefore properties that cannot be achieved using conventional synthetic routes. Efforts are focused on the design of reaction conditions for the enzymatic synthesis of polymeric materials; engineering of enzyme structure and activity to allow the binding and polymerization of novel monomers; generation of catalytic antibodies for materials synthesis; characterization and processing of the polymer products of these reactions and understanding the structure/function relationships of this new class of materials. Other polymers with structures inspired by biological polymers are being synthesized chemically. Work is also progressing on the synthesis of organic thin films which mimic the biological membrane. Membranes self assemble from their molecular components, present defined and controllable surfaces to the outside world, detect the presence or absence of specific materials in their environment and transfer membranes to alter interfacial and surface properties, and to fabricate sensor devices. Thin film sensors have been developed to detect influenza virus, botulism and cholera toxins. Similar films have been used to direct the ordered crystallization of inorganic salts. Research is also focused on the modification of the surface of materials to improve their biocompatibility. \* Funded jointly by DOE's Division of Materials Sciences and Energy Biosciences.

#### 149. CAM POLYMERS AND COMPOSITES PROGRAM

M. M. Denn, A. Chakraborty, D. Gin, S. Muller,  
J. Reimer, D. Theodorou  
(510) 486-0176 03-2 \$598,000

Development and synthesis of high performance polymeric materials. Currently the program consists of two projects: anisotropic polymeric materials, polymer/substrate interactions. Both are focused on the prediction and control of microstructure during the processing of polymeric materials. The first (M. M. Denn) looks primarily at liquid crystal polymers, using rheology, NMR, thermal analysis, and structural theory to elucidate how orientation and stress develop during shaping. The way in which the multi-phasic nature of the polymer melt affects macroscopic orientation and orientation rates is of particular concern. The second project (A. Chakraborty) emphasizes the theory of polymer conformation and stress state near a solid interface as a means of defining the influence of surface interactions on bulk orientation and stress, and hence on properties and adhesion. Polymer synthesis and the development of computational methods for predicting structure development and the onset of dynamical instabilities are integral components of both project areas.

#### 150. "NEW INITIATIVE" ATOMIC LEVEL STUDIES OF TRIBOLOGICAL PROPERTIES OF SURFACES AND LUBRICANTS

M. Salmeron  
(510) 486-6230 03-2 \$465,000

The purpose of this program is to understand the basic physical and chemical processes that govern the tribological properties of surfaces (adhesion, friction and wear) and to determine the role of surface films of lubricants in modifying these tribological properties. The atomic structure of surfaces and the mechanical properties of adhesion and friction at point contacts are studied with the Scanning Tunneling Microscope (STM) and the Atomic Force Microscope (AFM). These techniques allow us to study the substrate atomic structure and that of the adsorbate before and after contact. A Surface Force Apparatus (SFA) is used in combination with Second Harmonic and Sum Frequency Generation to study the conformation (orientation) and vibrational properties of monomolecular films in-situ, during compressive and shear stresses. Studies employ simple model lubricants including atomic adsorbates (O, C, S, etc.), simple organic molecules, and long chain hydrocarbons (alkylsilanes, perfluorinated hydrocarbons) that can form self-assembled monolayers covalently bonded to various surfaces.

**151. SEMICONDUCTOR THIN FILMS USING NANOCRYSTAL PRECURSORS**

P. Alivisatos  
(510) 643-7371 03-3 \$155,000

Methods have been developed to prepare monodisperse, high quality, nanometer size crystallites of many common semiconductors. We are investigating the phase diagram of these nanocrystals. We find that they melt at lower temperatures than the bulk solid, and that they transform to denser phases at higher pressures than the bulk. These nanocrystals can be bound to metal surfaces using self-assembled monolayers. We are investigating the use of these surface-bound nanocrystals as low temperature precursors to thin films. This program participates in the focus project on Nanoscale Materials of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**152. NUCLEAR MAGNETIC RESONANCE**

A. Pines  
(510) 486-6097 03-3 \$730,000

The Nuclear Magnetic Resonance (NMR) program has two complementary directions. The first is the development of new concepts and techniques in NMR in order to extend its applicability to a wide range of problems and materials. Such an undertaking involves the development of new theoretical and experimental approaches. Some developments currently underway in this direction are iterative and multiple-pulse sequences, geometric quantum phase, multiple-quantum NMR, zero-field and SQUID-NMR, double-rotation NMR, NMR imaging of structure and flow, optical pumping and surface-enhanced NMR. The second direction involves the application of novel NMR methods and instrumentation to materials research. The developments above are being used, for example, to study surfaces, clusters and nanostructures, semiconductors, superconductors, silicates, zeolites, catalysts, liquid crystals, polymers, bipolymers and glasses.

**153. BURIED INTERFACES**

P. N. Ross, D. Loretto, C. A. Lucas  
(510) 486-6171 03-3 \$35,000

Study of growth mechanisms, structure and phase transitions in thin film heterostructures where there is a large change in electronic structure across the interface. Determination of relationship between growth mechanism and electronic and atomic structure by application of transmission electron microscopy, synchrotron X-ray diffraction, electron diffraction and X-ray photoelectron spectroscopy to thin films grown by molecular beam epitaxy.

Emphasis on combining information from in-situ and ex-situ studies. Synthesis of novel thin film structures of potential interest for technological applications.

**154. CAM SURFACE SCIENCE AND CATALYSIS PROGRAM**

G. A. Somorjai, M. B. Salmeron, Y. R. Shen,  
M. A. Van Hove  
(510) 486-4831 03-3 \$925,000

The Surface Science and Catalysis program emphasizes atomic level surface characterization and the relationship between macroscopic chemical and mechanical properties and properties on the molecular scale. The Surface instrumentation development is an important part of the project. The Surface Science effort includes studies of atomic scale surface structure of solids and adsorbed monolayers; the chemical (bonding reactivity) and mechanical (adhesion, friction, lubrication) properties are investigated. Hard coatings, oxide films and oxide-metal, metal-metal, and metal-polymer interfaces are prepared by vapor, plasma or sputter deposition. Catalysis research is focused on correlating macroscopic catalytic properties of microporous crystalline materials and model single crystal surfaces with their atomic surface structure, chemical bonding and composition. The catalytic materials investigated include transition metals, zeolites and other oxides, sulfides and carbides. The roles of additives that are surface structure or bonding modifiers are explored. Catalyzed reactions of interest include selective hydrocarbon conversion to produce clean fuels, nitrogen oxide reduction, hydrogenation and methanol synthesis. The scanning tunneling microscopy (STM) and related techniques (AFM, SFA), digital low energy electron diffraction (LEED) and nonlinear laser optics (SFG and SHG) are the focus of surface instrumentation development.

**155. SYNTHESIS OF NOVEL SOLIDS**

A. M. Stacy  
(510) 642-3450 03-3 \$90,000

Research on new synthetic procedures for the preparation of advanced materials with potentially useful electronic and/or magnetic properties. Current research is focused in three project areas: 1) Precipitation of oxide superconductors from ionic liquids; 2) Preparation and characterization of new layered niobium oxide superconductors; and 3) Investigation of cooperative interactions in rare earth transition metal phosphides. The structure and properties of the materials that are synthesized are determined in order to correlate synthesis and properties, as well as structure and properties.

**156. SURFACE THEORY**

M. Van Hove  
(510) 486-6160 03-3 \$70,000

This project develops theoretical methods for the analysis of surfaces and interfaces, in particular for structure determination by various electron scattering, diffraction

and tunneling techniques. The project operates in particularly close collaboration with experimental programs at LBNL. Many of the theoretical methods developed in this project are of particular importance for a host of experimental techniques that will be employed by users of LBNL's Advanced Light Source. The project also manages a database of solved surface structures. The Surface Structure Database (SSD) is marketed world-wide to provide the detailed atomic-scale structures of surfaces determined from experiment.

#### 157. STIMULATED DESORPTION OF HALOGENS

J. A. Yarnoff

(909) 787-5336 03-3 \$33,000

The interaction of radiation with surfaces is studied with desorption induced via electronic transitions (DIET) techniques, which monitor the ions produced by electronic excitation. Of particular interest are the types of chemical systems that are important in the processing of semiconductor devices. Synchrotron radiation-based techniques, e.g., soft X-ray photoelectron spectroscopy (SXPS) and photon stimulated desorption (PSD), are performed at the National Synchrotron Light Source, Brookhaven National Laboratory, at the Advanced Light Source (ALS) and at MAX-LAB in Lund, Sweden. In addition, at the University of California, Riverside, studies of surface damage induced via electron stimulated desorption (ESD) are performed. A number of halogen-semiconductor systems have been investigated, including  $\text{XeF}_2/\text{Si}$ ,  $\text{XeF}_2/\text{GaAs}$ ,  $\text{Cl}_2/\text{GaAs}$ ,  $\text{I}_2/\text{Si}$ , and  $\text{I}_2/\text{GaAs}$ . From this work, a model of the halogen etching process of semiconductor surfaces, based on the electronic structure of the near-surface region, has been developed. DIET studies of the  $\text{CaF}_2/\text{Si}(111)$  interface have provided information on the formation of F-center defects in ionic solids.

undulator beamlines and six bend-magnet beamlines, some serving multiple experimental stations, were operational in 1996. These numbers will almost double to nine and 11, respectively, by 1998. Highlights of the scientific program include: (1) Several ALS groups are using X-ray "spectromicroscopes" that combine imaging with high-resolution spectroscopy to obtain chemical, structural, or magnetic information from tiny features, such as those on semiconductor microchips and high-density magnetic data-storage media, as well as polymers and advanced composite materials, (2) The technique of soft X-ray fluorescence spectroscopy has become a powerful new method that complements existing techniques for the investigation of both fundamental and applied problems in the electronic structure of materials, surfaces, molecules adsorbed on surfaces, and interfaces buried beneath surfaces. (3) High-resolution photoemission techniques, including photoelectron diffraction, photoelectron holography, and resonant photoemission, are providing detailed information about the electronic and atomic structure of materials and surfaces. (4) The combination of synchrotron radiation with molecular beams and lasers is a opening new window into the fundamentals of chemical-reaction dynamics, including those processes of importance for combustion and atmospheric pollution. (5) Ultrahigh-resolution photoelectron and photoionization data are enhancing the ability of researchers to understand the fundamental behavior of electrons in atoms, especially electron-electron correlation, a problem of basic importance in molecules and solids, as well. In addition to research activities. In addition to research activities, the ALS has a vigorous outreach program to local industry. Research program under way include microelectronics (Intel and others), magnetic materials (IBM, which is also a major partner in one of the undulator beamlines), and polymers (Dow Chemical). In early 1997, a new protein crystallography beamline will open with the support and participation of biotech firms.

#### Facility Operations - 04 -

#### 158. ADVANCED LIGHT SOURCE OPERATIONS

B. M. Kincaid

(510) 486-4810 04-1 \$28,032,000

The Advanced Light Source (ALS) at the Lawrence Berkeley National Laboratory (LBNL) is delivering X-rays and vacuum ultraviolet light of unprecedented brightness to users from a wide range of disciplines in industry, academia, and government laboratories. Facility construction was completed in March 1993. First light was seen in October 1993, and new beamlines have been installed at a steady pace since then. Five

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**Metallurgy and Ceramics - 01 -**


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**159. EFFECT OF IMPURITIES, FLAWS AND  
INCLUSIONS ON ADHESION AND  
BONDING AT**
**INTERNAL INTERFACES**

W. E. King, G. Campbell, S. M. Foiles, D.  
Medlin,

W. G. Wolfer  
(510) 423-6547      01-2 \$550,000

Experimental and theoretical investigations of the effects of impurities, flaws and inclusions on adhesion and bonding at internal interfaces. Specifically, structure and properties of grain boundaries in Al and Cu and the effect of impurities such as Cu and Ag. Interface structure calculations using state-of-the-art interatomic potentials. Bicrystals for experimental studies fabricated using ultra high vacuum diffusion bonding. Determination of interface atomic structure using quantitative high resolution electron microscopy. This program participates in the focus project on Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance and on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**160. THE KINETICS OF PHASE  
TRANSFORMATIONS IN THE  
HEAT AFFECTED ZONE OF WELDS A  
COMBINED IN-SITU EXPERIMENTAL  
INVESTIGATION WITH NUMERICAL  
MODELING**

J. W. Elmer, J. Wong  
(510) 422-6543      01-5 \$267,000

This research program addresses the fundamental issue of microstructural evolution that occurs under highly non-isothermal conditions by solid state phase transformations during the processing of materials by welding. Although welding is an enabling technology used in many industrial settings, it is least understood in terms of the phases that actually exist, the variation of their spatial disposition with time, and the rate of transformation from one phase to another at various thermal coordinates in the vicinity of the

weld. In this research, we apply two unique experimental tools developed at LLNL: a spatially resolved X-ray diffraction (SRXRD) method to determine the phases present and map out spatially their relative fraction in both the heat affected zone (HAZ) and resolidified zone in fusion welds; and a time-resolved X-ray absorption spectroscopic (TRXAS) technique to directly determine the rate of transformation of one phase to the other in-situ under the highly non-isothermal conditions that persist during welding. Direct observation of HAZ phase transformations will be made initially on two material systems: a commercially pure titanium that exhibits an allotropic transformation from a hcp phase to a bcc phase upon heating, and a two-phase stainless steel alloy that exhibits an austenitic (fcc) to ferritic (bcc) phase transformation upon heating. A central goal of this project is to utilize the in-situ phase and real-time transformation kinetic data to help develop a generalized model of HAZ phase transformation behavior, and to verify this model for allotropic and two-phase transformation behavior. This program participates in the focus project on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**161. ROLES OF INTERFACES AND INTERPHASES ON  
SUPERPLASTICITY IN CERAMICS**

T. G. Nieh, L. Hsiung, J. Wang  
(510) 423-9802      01-5 \$718,000

Research program focused on developing a basic understanding of the effects of interfacial chemistry, structure, and the presence of different phases, in particular thin films, on the sliding properties of an interface. Fabrication of metallic and ceramic bicrystals with controlled orientations and interfaces using the LLNL diffusion bonding machine. Characterization of interfacial cohesion, structure, and other mechanical properties. Effects of liquid film to be studied using quartz bicrystal interleaved with a  $B_2O_3$  layer. Study of superplasticity in composites. Theoretical approach to incorporate ab initio total energy methods and molecular dynamics simulations. This program participates in the focus project on Metals Forming of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

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**Solid State Physics - 02 -**


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**162. SCIENCE OF THIN FILMS AND CLUSTERS**

L. L. Chase, A. V. Hamza, A. Van Buren  
(510) 422-6151      02-2 \$352,000

The electronic and geometric structures of surfaces, interfaces and ultrathin films constructed from nanocrystalline clusters are investigated. A combination of unique synthesis methods and powerful characterization techniques are used to study nanoscale

properties, such as quantum confinement, and to address issues like grain boundary effects and structure-property relationships in nanophase systems. Characterization methods include photoelectron spectroscopies, EXAFS, X-ray diffraction, scanning tunneling and force microscopy, TEM, and small angle electron scattering. The evolution of properties as a function of particle size from the nanoscopic to macroscopic scale will be used to develop a strategy for the preparation and utilization of novel assemblies of clusters. Materials and processes studied include oxidation of Si and other semiconductors deposition of insulating or semiconducting thin films and ion-implanted layers.

#### 163. OPTICAL MATERIALS RESEARCH

S. A. Payne, C. D. Marshall, R. H. Page, K. Shaffers  
(510) 423-0570 02-2 \$258,000

Linear and nonlinear optical properties of optical materials are investigated including behavior at high laser intensities and during ultrashort pulses of light. Properties measured and modelled include absorption and emission spectra and cross sections, lifetimes of optical excitations, and nonlinear transmission and propagation effects. Spectroscopic properties of laser ions in crystals and glasses are investigated using linear and nonlinear spectroscopic techniques. In support of this work new optical materials are prepared and characterized.

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#### Materials Chemistry - 03 -

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#### 164. INVESTIGATION OF NANOSCALE MAGNETICS

J. G. Tobin  
(510) 422-7247 03-1 \$490,000

Integrated experimental-theoretical investigation of magnetic structure-property relationships at the nanometer level. Focuses on restricted dimensionality systems such as surfaces, interfaces, and ultrathin films in arrangements such as epitaxially-deposited monolayer, bi-layer, and tri-layer films and multilayers. Experiments focus on the use of novel magnetic double polarization measurements utilizing circularly polarized synchrotron radiation combined with spin polarized electron detection. Theory applies spin-specific multiple scattering simulations. Investigations will permit the direct determination of atomically-local magnetic and geometric structure.

#### 165. GROWTH AND FORMATION OF ADVANCED HETEROINTERFACES

L. J. Terminello, J. Carlisle, R. Hill, I. Jimenez, J. Klepeis, D. G. J. Sutherland  
(510) 423-7956 03-2 \$480,000

Microscopic investigation of solid heterointerfacial growth and formation. Experimental determination of evolution of the atomic geometry and electronic structure during initial stages of interface formation. Studying interfaces such as CIBNIC sandwiches and atomic layer epitaxies with nanometer or smaller domains. Utilizes synchrotron-based probes such as photoelectron holography, X-ray fluorescence, multiple angle, valence-band and core-level photoemission, and near-edge core level photoabsorption to investigate heterojunctions. Experimental results are compared with theoretical models of atomic and electronic structure using ab initio molecular dynamic simulation from self consistent interatomic forces.

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D. M. Parkin - (505) 667-9243  
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#### Metallurgy and Ceramics - 01 -

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#### 166. UNIFIED THEORY OF EVOLVING MICROSTRUCTURES

R. Lesar, E. A. Holm, D. J. Srolovitz  
(505) 665-0420 01-1 \$474,000

Fundamental theory and modeling of microstructural evolution, combining materials modeling techniques to bridge length scales from the atomistic to the microstructural. Atomistic simulations are being used to examine grain-boundary mobility, dislocation interactions with grain boundaries, etc. Dislocation-dynamics simulations will be used to examine the role of dislocation microstructural evolution in the presence of moving grain boundaries. Information from the atomistic and dislocation dynamics are being incorporated into more accurate, three-dimensional, Potts model simulations of grain growth, recrystallization, and other dynamic phenomena. Application of the modeling is being applied to aluminum and other materials for which there is data on dislocation dynamics, annealing of dislocation structures, dynamic recrystallization, etc.

### 167. NEUTRON IRRADIATION INDUCED METASTABLE STRUCTURES

K. E. Sickafus, M. Nastasi  
(505) 665-3457 01-4 \$681,000

Irradiation phenomena and damage microstructures resulting from neutron, irradiation of ceramics and intermetallic compounds. Investigation of cascade damage events in model materials, complemented by physical property measurements and ion irradiation tests, where the latter can elucidate neutron damage effects. Computer simulation is used to assist in understanding the nature of damage events.

### 168. STRUCTURAL CERAMICS: INTERFACIAL EFFECTS AND VERY HIGH TEMPERATURE MECHANICAL BEHAVIOR

T. E. Mitchell, S. P. Chen, F. Chu, A. Malloy,  
K. J. McClellan, J. J. Petrovic, A. F. Voter  
(505) 667-0938 01-5 \$681,000

Our goal is to investigate the mechanical behavior of advanced structural ceramic materials. This presently involves two research programs. The first is associated with deformation and fracture studies of single crystals of oxide and non-oxide ceramics at very high temperatures. The second involves fundamental investigations of the nature and properties of interfaces important to structural ceramic composite systems. Modeling efforts are associated with both programs. Materials currently being studied include complex oxides, silicon-based ceramics, and disilicides. Our emphasis is on the mechanical behavior of structural ceramics, including composites, at very high temperatures. The fundamental nature of interfaces and their role in determining mechanical behavior is an important aspect of the research. Investigations being pursued on the deformation behavior of single crystals of  $\text{Si}_3\text{N}_4$ ,  $\text{MoSi}_2$ , and YAG are being extended to perovskites such as  $\text{LaAlO}_3$ , spinels such as  $\text{Mg}_2\text{CrO}_4$ , and other complex oxides and silicides. We have established melting fabrication facilities for the growth of such crystals and also for eutectic systems. Modeling aspects will emphasize fracture and plasticity effects and atomistic simulations of defects such as dislocations in the very high temperature ceramics, with interatomic potentials developed for these materials which will allow atomistic calculations of features such as dislocation core structures.

### 169. ION ENHANCED SYNTHESIS OF MATERIALS

M. Nastasi, M. Hawley, C. Maggiore, K. Walter  
(505) 667-7007 01-5 \$140,000

This project pursues a fundamental understanding of how energetic ions influence and affect the syntheses and properties of materials. This

research effort has two focused goals, the first of which is to systematically study enhanced electron emission that has been observed from diamond and diamond coated materials, the second is to determine how the ion irradiation derived properties of materials synthesized through a plasma based ion beam processing are influenced by and related to the plasma physics and plasma chemistry of the processing environment. This program participates in the focus project on Surface Hardness of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

### 170. HIGHLY ADHERENT AND CONFORMAL HARD COATINGS FOR THE AUTOMOTIVE INDUSTRY

M. Nastasi, M. Trkula, M. Tuszewski,  
K. C. Walter  
(505) 667-7007 01-5 \$183,000

This project addresses a fundamental understanding of how to use plasma based ion processing, with energetic (hundreds of eV to many tens of keV) metallic and metalloid ions derived from high vapor pressure organometallic precursor, to produce highly adherent and conformal hard coatings for lightweight Al and Mg automotive components and automotive steel forming dies through: (1) studying the nonequilibrium thermodynamic capabilities of plasma- and ion-solid interactions to develop new and novel materials for surface modification applications; (2) developing a basic understanding of the properties, stability, and deformation mechanics of plasma and ion modified materials; (3) developing new and novel plasma-based surface modification approaches that will provide a scientific test bed to study coating/substrate interfaces; and (4) developing a hard coating synthesis approach that is scaleable, is a non-line of sight process capable of providing coatings that are conformal, is relatively environmentally benign, and is economical to implement.

### 171. METASTABLE PHASES AND MICROSTRUCTURES

R. B. Schwarz  
(505) 667-8454 01-5 \$265,000

Fundamental research on the theory, synthesis, microstructures, and properties of materials with metastable phases. The research includes: (a) the synthesis of bulk amorphous alloys by fluxing techniques, (b) the synthesis of amorphous and nanocrystalline powders by mechanical alloying, (c) the study of phase equilibria and transformation kinetics in solid-state transformations, and (d) the application of metastable structures to improve material properties such as mechanical strength, magnetic behavior, catalysis, and superconductivity. Experimental techniques include room and high temperature X-ray diffraction, scanning and transmission electron microscopy, differential scanning calorimetry, and elastic moduli measured by resonant ultrasound spectroscopy. This program participates in the

focus project on Hard Magnets of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 172. MECHANICAL PROPERTIES

M. G. Stout, U. F. Kocks  
(505) 667-4665 01-5 \$425,000

Response of metals to multiaxial loading and large strains, yield surfaces, multiaxial stress-strain relationships, stress path changes, Bauschinger effects. Characteristics of mechanisms controlling the large strain deformation of aluminum, nickel, iron, copper, brass, tantalum, zirconium, and titanium. Sub-structural and textural evolution with strain, strain state, and strain rate. Predictions of texture evolution using crystal plasticity and strain-rate sensitivity. Kinetics of plastic flow at room and elevated temperatures. Phenomenology and mechanisms of dynamic and static recrystallization. This program participates in the focus project on Metal Forming of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

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#### Solid State Physics - 02 -

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#### 173. CONDENSED MATTER RESEARCH WITH THE LANSCE FACILITY

J. A. Roberts  
(505) 667-6069 02-1 \$1,673,000

Research in condensed-matter science using the pulsed spallation neutron source, the Manuel Lujan Jr. Neutron Scattering Center, at Los Alamos National Laboratory. Topics of current interest include the structure of polymers, polymer blends, colloids, and other macromolecular systems in the bulk and at surfaces and interfaces; the vibration spectra of organometallics; atomic arrangements of high-temperature superconductors, actinides, and metal hydrides; crystallography at high pressures; texture and preferred orientation in metallurgical and geological samples; the structure of magnetic multilayers; and residual and applied stress in engineering materials. Extensive collaborations are in place with researchers working on other programs at Los Alamos, as well as with staff at various outside institutions. These interactions cover a broad range of applications of neutron scattering to materials science, chemical physics, crystallography, structural biology, and support science-based stockpile stewardship.

#### 174. INTEGRATED MODELING OF NOVEL MATERIALS

S. A. Trugman, A. R. Bishop, A. F. Voter  
(505) 665-1167 02-3 \$502,000

This is a core program in condensed matter and materials theory aimed at extending the theory base available for modelling novel electronic and structural materials. Such an integrated theory base is essential to the challenges of controlling and utilizing the unusual properties of such materials for applications in device and other technologies. A combination of techniques are represented, drawn from solid state and many body physics and quantum chemistry, including state-of-the-art analytical and numerical approaches. This theoretical technology base is used to develop new techniques and to couple them with integrated synthesis-characterization-modelling programs at Los Alamos and elsewhere. The modelling is aimed at both the effects of coupled spin, charge, and lattice degrees of freedom in classes of strongly correlated materials, and the development of interatomic potentials for directionally bonded materials.

#### 175. 100 TESLA MAGNET PROJECT

L. J. Campbell, J. R. Sims  
(505) 667-1482 02-4 \$300,000

Design, construction, and utilization of the world's first nondestructive 100 tesla magnet user facility for materials research. This project benefits from collaboration with the National High Magnetic Field Laboratory through the shared use of power supplies, design capability, and research infrastructure. In addition to being nondestructive the magnet will have a pulse several thousand times longer than existing 100 tesla magnets and a bore size sufficiently large to accommodate milli-Kelvin refrigerators and diamond anvil cells. As a result, transport, magnetization, and optics experiments will be possible with alloys, semiconductors, organics, highly correlated electron systems, and other materials in new regions of thermodynamic phase space that, in many instances, probe quantum limits.

#### 176. PHOTOELECTRON SPECTROSCOPY OF TRANSURANICS UTILIZING A TUNABLE ULTRAVIOLET LABORATORY LIGHT SOURCE

A. J. Arko, J. J. Joyce, P. Riseborough  
(505) 665-0758 02-5 \$425,000

Photoelectron spectroscopy, with photons from the new laser-plasma tunable light source, for exploring the electronic structure of the 5f electrons in the actinide series; including an investigation of the localization-delocalization mechanism for f-electrons. The transition to localized f-states for the actinides will be microscopically probed and correlated with parameters such as Coulomb correlation energy, band width, hybridization strength, dispersion, anisotropy, and lifetimes; which are readily obtained from photoemission



data. Emphasis will be placed on heavy Fermion compounds forming the boundary between localized and band states. The ultraviolet laboratory light source has tunability in the VUV range (30 eV to 200 eV) allowing full use of the powerful resonance photoemission technique to separate out the 5f as well as other orbital features in the spectra. The unique time structure of the laser pulses allows the utilization of pump and probe experiments to study empty 5f states just above the Fermi energy and fully complement the standard photoemission investigation of filled states.

#### **177. THERMAL PHYSICS AND OSCILLATORY THERMODYNAMICS**

G. W. Swift, R. E. Ecke

(505) 665-0640 02-5 \$650,000

Experimental investigations of pattern formation and nonlinear dynamics in fluid systems: thermal convection involving nonlinear traveling waves, spatial and dynamic scaling, pattern dynamics; liquid-solid dissolution, mass transfer, turbulence and solid morphology. Experimental and theoretical studies of novel engines: acoustic engines (both heat pumps and prime movers) using liquids and gases; acoustic turbulence; Stirling engines using liquids and gases: regenerators, heat exchangers, mechanicals, seals. Superposition of steady flow and oscillatory thermodynamics.

#### **178. HIGH TEMPERATURE SUPERCONDUCTIVITY AND CORRELATED ELECTRON MATERIALS**

J. D. Thompson, P. C. Hammel, R. H. Heffner, J. L. Smith

(505) 667-6416 02-5 \$766,000

Effort focuses on developing a fundamental understanding of correlated electron materials by investigating the interplay among structural, magnetic and electronic properties of high- $T_c$  and heavy fermion compounds in addition to other related narrow-band materials exhibiting valence and spin fluctuations and unconventional magnetism and superconductivity. A broad range of experimental techniques is used in these studies, including resistivity, magnetic susceptibility, specific heat, nuclear magnetic resonance, neutron diffraction and scattering, muon spin rotation, X-ray absorption fine structure, ultrasound, thermal expansion, Mossbauer spectroscopy, chemical analysis, and new materials synthesis. Many of the measurements are made at extremes of very high pressures, high magnetic fields, and very low temperatures. The approach taken to understanding electronic correlations in f-electron systems and applying this knowledge to the more complicated and technologically important

d-electron materials provides a broad perspective on the physics of these materials.

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#### **Materials Chemistry - 03 -**

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#### **179. LOW-DIMENSIONAL MIXED-VALENCE SOLIDS**

B. I. Swanson, A. R. Bishop

(505) 667-5814 03-2 \$283,000

This is a theoretical and experimental effort to characterize the model low-dimensional mixed-valence solids as they are tuned, with pressure and chemistry, from a charge-density-wave (CDW) ground state towards a valence delocalized state. The systems of interest are comprised of alternating transition metal complexes and bridging groups that form linear chains with strong electron-electron and electron-phonon coupling down the chain axis. The ground and local gap states (polarons, bipolarons, excitons, and kinks) are characterized using structural, spectroscopic and transport measurements and this information is correlated with theoretical predictions. The theoretical effort includes quantum chemistry, band structure, and many-body methods to span from the isolated transition metal complexes to the extended interactions present in the solid state.

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#### **Facility Operations - 04 -**

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#### **180. MLNSC OPERATIONS SUPPORT AND USER SUPPORT**

J. Roberts

(505) 667-3629 04-1 \$6,563,000

Neutron beams for condensed matter research at MLNSC are produced when a pulsed, 800 MeV beam of protons impinges on a tungsten target. The proton beam is accelerated to 800 MeV by the LANSCE linac and its time-structure is tailored by a Proton (PRS) whose operation is supported by Defense Programs and the Office of Basic Energy Sciences. Most of the neutrons produced by proton spallation in the MLNSC tungsten target have too high an energy to be useful for condensed matter research. To produce neutron beams of suitable energies, four moderators-three using chilled water and one using liquid hydrogen - surround the target assembly. The intense neutron beams produced by the MLNSC target-moderator assembly provides higher instantaneous data rates than have ever been experienced before at a similar installation. To facilitate the acquisition of neutron scattering data at such an intense source, a new generation of ultra-fast, computer-based modules has been developed using the international standard FASTBU

framework. Suitable neutron scattering spectrometers make optimum use of the source characteristics provided by the PRS and the advanced target-moderator system. The spectrometers at MLNSC are used by researchers from government laboratories, academic, and industry. Such a national user program requires MLNSC support personnel to assist in the operation of spectrometers and to familiarize users with the safe operation of the facility. A scientific coordination and liaison office has been established with the responsibility for dissemination of information about MLNSC and coordination of the user program.

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### Metallurgy and Ceramics - 01 -

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#### 181. STRUCTURALLY TOLERANT ELECTRONIC OXIDES

D. Ginley, T. Ciszek, P. Parilla, J. Perkins,  
C. Wolverton  
(303) 384-6573 01-1 \$350,000

Structurally tolerant metal oxides serve as transparent conductors ( $\text{SnO}_2$ ,  $\text{In}_2\text{O}_3$ ) in thin film photovoltaics and flat panel displays, as electrochromic layers ( $\text{WO}_3$ ) in windows and displays, and as electrodes in high energy density rechargeable Li batteries ( $\text{V}_2\text{O}_5$  and  $\text{LiCoO}_2$ ). Deficiencies in the current materials and needs for the next generation of devices will require new and as yet undeveloped materials. Among these apparently diverse materials are two unifying traits (1) a functionality which depends crucially on the existence of oxygen off-stoichiometry and/or the introduction of interstitial cations and (2) a structural tolerance to these huge defect or doping levels without structural deterioration. In fact it is the ability to create the large doping or defect concentrations which generate the technologically interesting properties of these materials. In many cases the relationship between the structural, electronic and optical properties is poorly understood. Our goals are (A) to develop a predictive model of the interplay between structure/doping, and the electronic/optical properties and (B) use this knowledge to develop

new and improved oxides for important energy-related technologies. Initial work is focused on the Li intercalation properties of the first row transition metal oxides.

#### 182. GROWTH AND PROPERTIES OF NOVEL ORDERED II-VI AND III-V SEMICONDUCTOR ALLOYS

A. Mascarenhas, J. Olson, A. Zunger  
(303) 384-6608 01-1 \$682,000

This project combines experimentals with theoretical efforts aimed at understanding spontaneous long-range order in isovalent III-V/III-V and II-VI/II-VI semiconductor alloys. It includes (i) MOCVD and MBE growth of III-V alloys such as GaP/InP, AlP/GaP, AlP/InP, AlAs/InAs, and GaAs/GaP, (ii) Raman, modulation reflectance photoluminescence, spectroscopic ellipsometry and reflectance difference spectroscopy studies of ordering in the above systems, and (iii) first-principles theoretical studies of surface-induced, epitaxially-induced and bulk ordering in various alloys, as well as prediction of optical consequences of ordering (polarization anisotropy, band gap narrowing, crystal field splitting, electric fields, band offsets, NMR gradients). This program participates in the focus project on Photovoltaics of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 183. COMPUTER-AIDED PREDICTIONS OF ENERGETICS AND THERMODYNAMICS IN LIGHT-METAL AUTOMOTIVE ALLOYS

C. Wolverton, A. Zunger  
(303) 384-6652 01-5 \$135,000

Predictions of energetic and thermodynamic properties of light aluminum automotive alloys, particularly as they pertain to issues of stability, metastability, structural properties, and states of order. Clarification of the energetics and thermodynamics of these alloys, thereby leading to a more detailed understanding of processes involved in quenching experiments, precipitation kinetics, and ultimately microstructure and processing of these automotive alloys. Properties investigated by a combination of first-principles quantum and statistical mechanical calculations, affording the opportunity of stable and metastable structures, ordered and disordered alloys, and bulk and non-bulk geometries, both at zero and finite temperatures.

## Solid State Physics - 02 -

**184. COMPOSITION MODULATION IN SEMICONDUCTOR ALLOYS**

A. Mascarenhas, E. Jones (SNL/NM), J. Reno (SNL/NM), A. Zunger  
(303) 384-6608 02-2 \$400,000

This is a joint project between NREL and Sandia National Laboratories involving the study of spontaneous composition modulation in III-V semiconductor alloys. The main efforts in this program are: (1) MBE growth of III-V ternary alloys and short period superlattices such as InAs/AlAs, InAs/GaAs, InP/GaP, which exhibit composition modulation, (2) Electron microscopy, Electron Diffraction, X-ray, and Atomic force microscopy studies of spontaneously compositionally modulated structures (3) Polarized Photoluminescence, Ellipsometry, Excitation Spectroscopy, Differential Absorption, Modulated Reflectance, Time Resolved Photoluminescence, and Magnetoluminescence studies on spontaneously composition modulated structures, and (4) Theoretical studies on the electronic properties and thermodynamics of compositionally modulated alloys.

**185. SEMICONDUCTOR THEORY**

A. Zunger  
(303) 384-6672 02-3 \$208,000

First-principles band structure, total energy, and statistical mechanical methods are used to predict electronic and structural properties of semiconductor superlattices, surfaces, alloys and nanostructures, emphasizing chemical trends and properties of new, energy-related materials. Current work includes (1) prediction of optical and dielectric properties of semiconductor quantum dots, wires, and films including configuration interactions (CdSe, GaAs, InP); (2) first-principles prediction of alloy thermodynamic quantities (e.g., phase-diagrams) for bulk  $A_xB_{1-x}C$  semiconductor alloys including order/disorder transitions, miscibility gaps, and ordered stoichiometric compounds. These methods are also applied to metallic cases, e.g., CuAu, CuAg, NiAu, AgAu, PdV, CuPd; (4) calculation of valence band offsets in II-VI, III-V, and I-II-VI semiconductors; (5) prediction of properties of unusual ternary materials, e.g., ordered vacancy compounds. Theoretical tools include (a) the total energy non-local pseudopotential method and full-potential linearized augmented plane wave (LAPW) method, (b) the cluster variation approach to the Ising program, applied to binary and pseudobinary phase

diagrams, and (c) Monte-Carlo and simulated-annealing calculations of Ising models derived from first-principles.

**OAK RIDGE INSTITUTE FOR SCIENCE AND EDUCATION**

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## Metallurgy and Ceramics - 01 -

**186. SHARED RESEARCH EQUIPMENT PROGRAM, OAK RIDGE SYNCHROTRON ORGANIZATION FOR ADVANCED RESEARCH AND TECHNICAL ASSISTANCE WITH PROGRAM REVIEWS**

N. D. Evans, E. A. Kenik  
(423) 576-4427 01-1 \$425,000

Microanalysis facilities within the Metals and Ceramics Division of Oak Ridge National Laboratory (ORNL) are available for collaborations in materials science between researchers at universities, industries, or other government laboratories and ORNL staff members. Facilities are available for state-of-the-art analytical transmission electron microscopy, scanning electron microscopy, atom probe/field ion microscopy, irradiation studies, ion beam treatments, nuclear microanalysis, and mechanical property measurements at high spatial resolution. A detailed description of the program and facilities can be found at <http://www.ms.ornl.gov/share/intro.htm>. As well as conventional electron microscopy, analytical electron microscopy capabilities include energy dispersive X-ray spectrometry (EDS), electron energy-loss spectrometry (EELS), post-column energy filtered transmission electron microscopy (EFTEM), spectrum imaging. High resolution electron microscopy, low temperature (100 K), high temperature (1500 K), in-situ deformation, and video recording facilities are available. The SHaRE Facility includes a fully analytical Schottky-FEG scanning electron microscope. The SEM is configured for secondary and backscattered electron imaging, light element EDS, orientation imaging microscopy, and real-time signal mixing and display. The accelerating voltage is continuously variable between 0.2 and 30 kV. The SEM is equipped with a motorized eucentric specimen stage, and digital image acquisition and storage. Wavelength dispersive spectrometry and hot-stage capabilities will be available in 1997. The APFIM facilities permit the imaging and composition determination of single atoms, clusters, precipitates, grain boundaries, and matrix regions. The state-of-the-art ORNL atom probe is equipped with field ion and field emission microscopes, and a high mass resolution Poschenrider-style time-of-flight mass spectrometer; this instrument has the highest mass resolution in the world. The facility also includes a

reflectron-type energy-compensated atom probe and a developmental three-dimensional atom probe (3DAP). Sophisticated statistical analysis and data visualization software is available for the analysis of atom probe data. Mechanical properties microprobes having high lateral (0.3 microns) and depth (0.16nm) resolution can characterize adhesion and elastic/plastic behavior in thin films, layers, interfaces, and other sub-micron features at either ambient or elevated (600 K) temperatures. An atomic force microscope (AFM) is available and equipped with an optical-based position-sensing system to obtain accurate quantitative measurements. This AFM can operate in either the repulsive or attractive mode. Other facilities which are available for studies include three Auger electron spectroscopy (AES) systems for surface analysis and three (0.4, 2.5, and 4.0 MeV) Van de Graaff accelerators for radiation effects studies and ion beam modification treatments.

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**Metallurgy and Ceramics - 01 -**

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**187. MICROSCOPY AND MICROANALYSIS**

K. B. Alexander, J. Bentley, E. A. Kenik,  
M. K. Miller, K. F. Russell  
(423) 574-0631 01-1 \$1,976,000

Development and application of analytical electron microscopy (AEM), atom-probe field-ion microscopy (APFIM), and mechanical properties microprobes (MPM) to determine the microstructure, microchemistry and mechanical properties of materials at high spatial resolution. Operation of SHARE User facilities and collaborative research with ORNL and external users. Equilibrium and radiation-induced segregation at grain boundaries and interfaces by APFIM/AEM, correlation of grain boundary structure and segregation. Applications of advanced energy dispersive spectroscopy (EDS), electron energy loss spectroscopy (EELS), energy-filtered imaging and spectrum imaging. Radial distribution function (RDFs) by extended energy loss fine structure (EXELFS) and electron diffraction, site occupancies by Atom Location by Channeling Enhanced Microanalysis (ALCHEMI), and multivariate statistical analysis of AEM data.

High-resolution scanning electron microscopy and automated electron back-scattered pattern (EBSP) texture mapping. APFIM characterization of intermetallics, phase transformations, Ni-based superalloys, bulk metallic glasses, and irradiated pressure vessel steels. AEM of structural ceramics, oxide scales, and intermetallics. MPM of thin films and electronic materials.

**188. THEORETICAL STUDIES OF METALS AND ALLOYS**

W. H. Butler, C. L. Fu, G. S. Painter,  
G. M. Stocks  
(423) 574-4845 01-1 \$728,000

Use of density functional theory and other techniques to calculate the properties of materials. Development of new techniques for calculating properties of materials. Use of KKR-CPA to calculate such properties of alloys as phase diagrams, thermodynamic properties, magnetic properties, lattice constants, short-range order parameters, electrical and thermal resistivities. Use of high-speed band theory (FLAPW, pseudopotential, LMTO, LKKR) to calculate total energies of metals and intermetallic compounds. Calculation of the elastic constants, and the energetics of planar and point defects of metals and intermetallic alloys, and the use of these quantities to understand their mechanical properties. Theory of electronic, magnetic, and transport properties of layered materials. Use of density functional theory and LCAO method to calculate the properties of clusters of atoms. Application of cluster calculations to materials problems such as trace element effects on metallic cohesion. Use of tight-binding approach to study grain boundary and non-commensurate interface structures.

**189. ATOMISTIC MECHANISMS IN INTERFACE SCIENCE-DIRECT IMAGING AND THEORETICAL MODELING**

S. J. Pennycook, K. Chen, M. F. Chisholm,  
D. E. Jesson, T. Kaplan, A. Maiti  
(423) 574-5504 01-1 \$430,000

Direct imaging of atomic structure and chemistry of interfaces by high-resolution Z-contrast scanning transmission electron microscopy, static and dynamic ab-initio pseudopotential calculations of interface structures and atomistic mechanisms of epitaxial growth, molecular beam epitaxial growth of semiconductors, evolution of surface morphology, strain relaxation, dislocation nucleation, role of surfactants on growth, kinetic ordering, grain boundaries in materials, atomic resolution chemical analysis by electron energy loss spectroscopy, segregation to dislocations, hole concentration mapping in high-temperature superconductors, correlation of microstructure to transport properties, metal/ceramic interfaces, and catalysts.

**190. RADIATION EFFECTS**

L. K. Mansur, S. W. Cook, K. Farrell, E. H. Lee,  
R. E. Stoller  
(423) 574-4797 01-4 \$1,399,000

Theoretical and experimental research on defects and microstructures produced by neutron irradiation, by ion beam treatment and by related processes. Principles for design of improved materials. Neutron damage in metals and alloys irradiated in HFIR and other reactors. Evaluation of spallation neutron radiation damage and high energy proton damage, in connection with an initiative to develop a spallation neutron source. Effect of alloying additions; effect of type of irradiation, energy spectrum, and damage rate; radiation-induced embrittlement, creep and swelling; phase stability under irradiation; relationships between ion and neutron damage; effect of helium and other impurities on microstructure and microcomposition; theory of microstructural evolution based on defect reactions. Studies using multiple simultaneous ion beams. Ion beam modification of surface mechanical and physical properties of metallic, polymeric and ceramic materials; new materials by ion beam processing.

**191. MICROSTRUCTURAL DESIGN OF STRUCTURAL CERAMICS**

P. F. Becher, K. B. Alexander, C.-H. Hsueh,  
E. Y. Sun  
(423) 574-5157 01-5 \$966,000

Experimental and theoretical approaches are being developed to provide new insights into mechanisms which improve the toughness, strength, and elevated temperature mechanical performance of ceramics and composites with companion studies in ceramic processing to control densification and resultant microstructure and composition in such toughened systems. These micro- and (macro-) scopic characteristics are directly related to phenomena that are controlled during powder synthesis, powder processing, and densification. These are directly coupled with studies of the role of microstructure, interfacial characteristics, composition, and defects in the mechanical behavior of ceramics and theoretical modelling of toughening-strengthening and creep mechanisms. A primary consideration of these studies is to provide the fundamental basis for the design and fabrication of advanced ceramics and ceramic composites for use over a wide range of temperatures.

**192. FUNDAMENTALS OF WELDING AND JOINING**

S. A. David, J. M. Vitek, T. Zacharia  
(423) 574-4804 01-5 \$836,000

Correlation between solidification parameters and weld microstructure; formation; distribution and stability of microphases and inclusions microstructure of laser-produced welds; single crystal welds; modeling of microstructure, hot cracking; modeling of transport and solidification phenomena in welds; structure-property correlations, modeling of residual stress austenitic stainless steels, low alloy steels; aluminum alloys; electron beam welding; and university collaborations.

**193. THE NON-DESTRUCTIVE EVALUATION OF MATERIALS USING POSITRON SPECTROSCOPY**

L. D. Hulett, Jr., G. M. Stocks, P. F. Tortorelli,  
M. Yoo  
(423) 574-8955 01-5 \$241,000

Positron spectroscopy will be used for the non-destructive analysis of materials. The technique has unique capabilities that complement information obtained from other methods; for example, monatomic vacancies and small voids can be detected and quantified, measurements of defect distributions in the bulk of specimens and at surfaces and interfaces can be done, and elemental compositions of the outermost atomic layers of solids can be determined. The characterization of intermetallic compounds, amorphous alloys, ceramics, protective oxide films, welding specimens, surface modified solids, and polymers are the major focus. Positron channeling and low energy and high energy positron diffraction are applied to key fundamental problems. For these studies, ORNL has a high intensity beam of monoenergetic positrons and specialized positron spectrometers and bunchers. Non-destructive assays by positron spectroscopy will be coordinated with those of other methods.

**194. HIGH TEMPERATURE ALLOY DESIGN**

C. T. Liu, E. P. George, J. A. Horton, C. G. McKamey,  
J. H. Schneibel, M. H. Yoo  
(423) 574-4459 01-5 \$1,239,000

Formulation of scientific principles and design of ordered intermetallic alloys based on  $\text{Ni}_3\text{Al}$ ,  $\text{Ni}_3\text{Si}$ ,  $\text{FeAl}$ ,  $\text{NiAl}$ ,  $\text{FeCo}$ ,  $\text{Nd}_2\text{Fe}_{14}\text{B}$ , and other aluminides (e.g.,  $\text{TiAl}$ ) and silicides. Study of the effect of alloy stoichiometry on structure and properties of grain boundaries, nature and effects of point defects, and microalloying and grain-boundary segregation. Study of superlattice dislocation structures, solid-solution hardening, mechanistic modeling of anomalous temperature dependence of yield stress, impact resistance and crack growth, and deformation and fracture behavior of aluminides and silicides in controlled environments at ambient and elevated temperatures. Study of the effect of electron structure and atomic bonding on both

intergranular and transgranular fracture (e.g., cleavage). Experimental work on structure and properties of aluminide and silicide materials prepared by conventional methods and innovative processing techniques. Establishment of correlations between mechanical properties, microstructural features, and defect structures in aluminides. Study of processing parameters on reaction kinetics and microstructural evolution of aluminides and silicides processed by reaction synthesis (combustion synthesis). This program participates in the focus projects on Hard Magnets and Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 195. WELDING SCIENCE FOR THE NEW GENERATION VEHICLES

M. L. Santella, S. A. David  
(423) 574-4805 01-5 \$3,781,000

An important element of the design strategy for new generation vehicles is the greater use of high strength low alloy steels to reduce weight. One of the keys to achieving this objective is the development of the science-base for the welding technologies used in the construction of automobiles. Key issues include improvements in the overall reliability and reproducibility of weld quality and weld properties. To support these goals, this research aims to develop a method for specifying welding process variables that will optimize structural integrity and reliability by developing a predictive model based on the relationship of weld properties to weld microstructures.

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#### Solid State Physics - 02 -

J. B. Roberto - (423) 574-6151  
Fax: (615) 423-4143

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#### 196. STRUCTURES OF ANISOTROPIC COLLOIDAL MATERIALS

J. B. Hayter, W. A. Hamilton  
(423) 574-5239 02-1 \$400,000

Small-angle neutron scattering and neutron reflectometry studies of colloidal systems. Objectives of this research are to understand the role of anisotropies imposed by geometry, shearing flow, or external fields on the structure and dynamics of liquid-phase colloidal dispersions. Major goals are to determine how anisotropic features in such systems are preserved or modified in processing to form nanoscale materials and how tuning of this behavior may be directed toward the

control of the properties of final structures. In collaboration with L. Magid, the University of Tennessee, and R. Pynn, Los Alamos National Laboratory.

#### 197. INSTRUMENTATION FOR NEUTRON SCATTERING

H. Mook  
(423) 574-5234 02-1 \$500,000

A joint effort between ORNL, the ISIS Spallation Neutron Source in England, and AT&T Bell Labs to develop advanced time-of-flight instrumentation for neutron scattering.

#### 198. INTERATOMIC INTERACTIONS IN CONDENSED SYSTEMS

H. A. Mook, B. C. Chakoumakos, J. Fernandez-Baca, S. Nagler, M. Yethiraj  
(423) 574-5234 02-1 \$1,288,000

Inelastic neutron scattering studies of phonons, magnons, and single-particle excitations in condensed matter; elastic and inelastic scattering of polarized and unpolarized neutrons by magnetic materials. Lattice dynamics; magnetic excitations, phase transitions, and the vortex lattice in high-temperature superconductors. Nuclear spin ordering, momentum distributions in quantum fluids. New research directions will concentrate on novel materials and their properties under extreme environments of high pressures, high temperatures, or ultralow temperatures.

#### 199. STRUCTURE AND DYNAMICS OF ENERGY-RELATED MATERIALS

H. A. Mook, S. Spooner, G. D. Wignall, M. Yethiraj  
(423) 574-5234 02-1 \$1,035,000

Elastic, inelastic, and small-angle scattering of neutrons by superconductors and metal hydrides, phase transitions, heavy fermion superconductors, high- $T_c$  superconductors and reentrant superconductors; small-angle neutron scattering from, polymers, polymer blends, and block copolymers, aqueous colloids (e.g., micelles, microemulsions), metal alloys, and biological systems, phase transitions in polymer solutions and supercritical fluids. Residual stress mapping in welds and composite materials.

#### 200. PROPERTIES OF ADVANCED CERAMICS

J. B. Bates, N. J. Dudley, D. C. Lubben  
(423) 574-6280 02-2 \$445,000

Physical and chemical properties of advanced ceramics including single-phase thin-film, layered, and surface-modified structures prepared by novel techniques. Materials investigated include, thin films of amorphous and crystalline metal oxide, and oxynitride lithium intercalation compounds and oxynitride ionic conductors. Films prepared by magnetron sputtering, and evaporation. Studies include ion and electron transport in thin-film electrolytes, electrodes, and electrode-electrolyte interfaces; electrical, dielectric, and optical properties of

thin-film materials. Techniques include impedance spectroscopy, transient signal analysis, Raman scattering, infrared reflectance-absorption, optical spectroscopy, X-ray diffraction, and scanning electron microscopy.

#### 201. MATERIALS FOR HIGH-POWER RECHARGEABLE SOLID STATE LITHIUM BATTERIES

J. B. Bates, N. J. Dudney, D. C. Lubben  
(423) 574-6280 02-2 \$433,000

Synthesis and processing of thin and thick films of lithium intercalation cathode materials. Present emphasis on the spinel phase of lithium manganese oxide,  $\text{LiMn}_2\text{O}_4$ . Methods for thin-film deposition include rf magnetron sputtering and electron beam evaporation. Single-phase and composite thick films are fabricated by tape casting methods. Films are characterized by X-ray diffraction, infrared and optical spectroscopy, Rutherford backscattering, electron microscopy, and impedance spectroscopy. Cathodes are also investigated in solid state lithium cells. Constant current cycling of the cells and in-situ X-ray diffraction are used to evaluate further the electrochemical properties of the cathode films and the changes in structure with lithium intercalation and deintercalation.

#### 202. SYNTHESIS AND PROPERTIES OF NOVEL MATERIALS

L. A. Boatner, H. M. Christen, L. Gea,  
V. Keppens, D. G. Mandrus, J. O. Ramey,  
B. C. Sales  
(423) 574-5492 02-2 \$966,000

Synthesis and characterization of advanced materials including single crystal growth and the development of new crystal growth techniques; development of new materials using enriched stable isotopes; investigations of the physical, chemical, and thermal properties of novel materials using the techniques of thermal analysis, X-ray diffraction, Mossbauer spectroscopy, ion implantation and RBS/ion channeling, optical absorption, high-performance liquid chromatography, EPR, atomic force microscopy, and X-ray or neutron scattering; application of materials science techniques to basic research problems; preparation and characterization of high  $T_c$  superconducting oxides; synthesis and structural characterization of phosphate glasses; development and characterization of advanced ceramics and textured materials; solid state epitaxial regrowth; growth of perovskite-structure oxides, in bulk and thin-film forms high-temperature materials ( $\text{MgO}$ ,  $\text{CaO}$ ,  $\text{LaPO}_4$ ), refractory metal single crystals ( $\text{Nb}$ ,  $\text{Ta}$ ,  $\text{V}$ ), fast-ion conductors, thermoelectric materials, stainless steels, rapid solidification and solidification microstructures; new

scintillator, thermophosphor and photonic materials; new fiber; and detector materials, "smart" surfaces and materials.

#### 203. PHYSICAL PROPERTIES OF SUPERCONDUCTORS

D. K. Christen, R. Feenstra, H. R. Kerchner,  
J. R. Thompson  
(423) 574-6269 02-2 \$475,000

Physical properties of superconductors, particularly high- $T_c$  materials, in various thin-film, single-crystal, melt processed, magnetically aligned sintered, and composite forms. Configurations of thin films include epitaxial single-, multilayer, and superlattices. Irradiation of thin films and single crystals with energetic particles for the systematic introduction of flux pinning defect structures. Studies of flux pinning, defect arrays. Related investigations include fundamental superconducting properties such as upper and lower critical fields, magnetic penetration depths, and superconducting coherence lengths. Techniques and facilities include electrical transport by ac, dc, and pulsed current, with variable orientation of applied magnetic fields to 8T; dc magnetization using a SQUID-based instrument with 7-T capability.

#### 204. X-RAY RESEARCH USING SYNCHROTRON RADIATION

G. E. Ice, E. D. Specht  
(423) 574-2744 02-2 \$401,000

Research focuses on the use of synchrotron radiation as a probe for the study of metal alloys, ceramics, and interfaces, emphasizing the ability to select a particular X-ray energy from the synchrotron radiation spectrum to highlight atomic arrangements of specific elements. Thus, the atomic arrangements among the various elements can be unraveled and related to the materials' physical and chemical properties. The task includes operation of an X-ray beamline on the National Synchrotron Light Source at Brookhaven National Laboratory. Staff are also involved in the design and construction of two X-ray beamlines on the Advanced Photon Source. Important materials' problems under study include: (1) effects of short-range order among atoms on mechanical, chemical and magnetic behavior and on radiation swelling; (2) effects of atomic displacements, caused by bonding and size difference, on energetics of phase stability and materials properties; (3) studies of site substitution on alloying and other defects associated with nonstoichiometry in long-range ordered alloys which affect ductility, ordering temperature and phase stability, and (4) role of atomic-scale structure and chemistry of interfaces in controlling heteroepitaxy.

## 205. SEMICONDUCTOR PHYSICS, THIN FILMS, AND PHOTOVOLTAIC MATERIALS

D. H. Lowndes, G. Eres, D. B. Geohegan,  
G. E. Jellison, D. P. Norton  
(423) 574-6306 02-2 \$918,000

Fabrication of superconducting, semiconducting, and optical thin films by pulsed-laser ablation and molecular jet CVD energetic-beam growth methods, growth and doping of elemental and compound semiconductors for thin-film photovoltaics; in-plane aligned substrates for high- $J_c$  superconducting films; laterally selective film growth by pulsed-jet CVD and e-beam writing; epitaxial growth of modulated layered structures and superlattices; time-resolved measurements of pulsed-laser-generated plasmas using optical emission, absorption, ion probe, and gated photographic methods; time-resolved and scanning ellipsometric measurements; studies of semiconductor film-growth reactions and growth and defect formation mechanisms; pulsed-laser bonding of metals to ceramics; thermal and laser annealing of lattice damage in semiconductors; fabrication of solar cells by laser, and thin-film techniques; effects of point defects and impurities on electrical and optical properties of elemental and compound semiconductors; current-voltage and resistance-temperature measurements of superconducting transitions and dissipation. Scanning tunneling microscopy, transmission electron microscopy, X-ray scattering, secondary ion mass spectrometry, and Rutherford ion backscattering measurements; photon- and electron beam lithography; dopant concentration profiles, deep-level transient spectroscopy, and solar cell electrical characteristics; and spectral response absolute quantum efficiency measurements.

## 206. SYNTHESIS OF NONEQUILIBRIUM MATERIALS AND STRUCTURES USING LASER-MBE

D. H. Lowndes, G. E. Jellison, T. Kaplan,  
B. C. Larson, D. P. Norton, E. D. Specht,  
J. Z. Tischler, Z. Zhang  
(423) 574-5506 02-2 \$250,000

Fundamental studies of nonequilibrium growth of thin-film materials and structures in reactive environments, using energetic pulsed-laser ablation beams and in-situ, time-resolved synchrotron X-ray measurements. Use of pulsed-laser deposition, combined with the high intensity and pulsed time structure of synchrotron X-rays at the Advanced Photon Source, to separate fundamental growth steps of deposition and aggregation in order to directly probe the formation and evolution of crystalline structure, from the arrival of incident species (atoms, ions, and clusters) through crystallization. Simulations using massively parallel

computers to understand kinetics of nonequilibrium growth under pulsed reactive conditions; dopant/defect complexes; and nonequilibrium materials properties. Correlation of initial and intermediate growth configurations with final film structures to understand the effect of deposition conditions on each phase of growth. Development of real-time, in-situ scanning ellipsometry to monitor film and multilayer growth in reactive environments; correlation with synchrotron measurements to enable nonequilibrium growth monitoring by ellipsometry in remote locations and industrial environments. Growth of YBCO and infinite-layer high-temperature superconductors; II-VI and I-III-VI<sub>2</sub> compound semiconductors. Reflection high-energy electron diffraction, atomic force microscopy, transmission electron microscopy, Rutherford ion backscattering, optical and electrical properties measurements.

## 207. SMALL-ANGLE X-ray SCATTERING

G. D. Wignall, J. S. Lin, S. Spooner  
(423) 574-5237 02-2 \$164,000

Small-angle X-ray scattering (SAXS) from a wide variety of materials in the solid (e.g., polymers, metals, alloys), liquid (e.g., zirconium suspensions, surfactants, aqueous colloids), and gaseous states (e.g., polymers in supercritical fluids). SAXS is also used to study domain structures in composite materials for time-slicing studies of phase transformation. Facilities are available to users at no charge for research published in the open literature or under contract for proprietary research.

## 208. THEORY OF CONDENSED MATTER

J. F. Cooke, A. G. Egulix, R. S. Fisham,  
T.D. Kaplan, G. D. E. Mahan, D. C. Marinescu,  
M. E. Mostoller, R. F. Wood, Z. Zhang  
(423) 574-5787 02-3 \$709,000

Electrical and thermal transport across and along grain boundaries, lattice vibrations in metals and alloys. Molecular dynamics and total energy studies of surfaces and interfaces surface vibrations and relaxation, electronic structure of metal surfaces, magnetism in transition metals and local moment systems, magnetic multilayers, neutron scattering at high energies. High-temperature superconductivity, quantum Hall effect, studies of thermoelectric and varistor-related phenomena, computer modeling of the laser ablation technique, ab initio calculations of the dynamic properties of metallic systems, nonequilibrium growth phenomena, and quantum engineering of metallic thin-film growth.

## 209. STRUCTURAL PROPERTIES OF MATERIALS - X-RAY DIFFRACTION

B. C. Larson, J. D. Budai, J. Z. Tischler  
(423) 574-5506 02-4 \$241,000

Microstructure and properties of defects in solids, synchrotron X-ray scattering, time-resolved X-ray scattering, inelastic X-ray scattering, X-ray diffuse scattering, Mossbauer scattering spectroscopy, X-ray



topography, ion implantation formation of nanocrystals in materials, pulsed-laser-induced melting and crystal growth, defects associated with laser and thermal processing of pure and ion-implanted semiconductors, structure of pulsed-laser and MBE-grown semiconductor films, microstructural characterization of high-temperature superconducting films, on single-crystal and textured substrates, theory of scattering of X-rays from defects in solids.

## 210. ELECTRON MICROSCOPY OF MATERIALS

S. J. Pennycook, M. F. Chisholm, D. E. Jesson  
(423) 574-5504 02-4 \$574,000

Atomic resolution scanning transmission electron microscopy and electron energy loss spectroscopy; growth and relaxation phenomena in epitaxial thin films; interface structure/property relations; morphological stability; molecular beam epitaxial growth; structure of catalysis; ion implantation; segregation phenomena; theory of elastic, inelastic, and diffuse scattering of electrons from crystals and defects; Z-contrast image simulation.

## 211. INVESTIGATIONS OF SUPERCONDUCTORS WITH HIGH CRITICAL TEMPERATURES

D. K. Christen, J. D. Budai, H. R. Kerchner,  
D. P. Norton, S. J. Pennycook, B. C. Sales  
(423) 574-6269 02-5 \$465,000

Studies of superconducting materials with high transition temperatures. Synthesis, characterization, and analysis of thin films, thin-film heterostructures, new substrate materials, single crystals and melt-processed bulk materials, and high-current conductors and composite structures. Magnetic and electrical transport properties, microstructural characterization by electron microscopy. Collaborative research with scientists at IBM Watson Research Center, The University of Tennessee, SUNY-Buffalo, University of Houston, and other U.S. universities.

## 212. RADIATION SAFETY INFORMATION COMPUTATIONAL CENTER (RSICC)

B. L. Kirk, R. W. Roussin  
(423) 574-6176 02-5 \$75,000

This task is directed in support of basic energy sciences for application to a wide variety of radiation effects, radiation transport, shielding, and neutronics problems. It provides information and technology which contributes to the solution of problems occurring in DOE programs for materials sciences, and other basic energy sciences. In FY 1996 there were 79 individuals from 32 organizations who have registered with the Radiation Safety Information Computational Center (RSICC, formerly RSIC) and indicated they are

working on DOE/BES projects. The level of RSICC activity on behalf of BES contractors is increasing. RSICC is a technical institute, serving DOE radiation research and development programs by collecting, organizing, processing, evaluating, packaging, and disseminating information related to radiation transport and safety. The scope includes physics of interaction or radiation with matter, radiation production and radiation transport, radiation detectors and measurements, engineering design techniques, shielding materials properties, computer codes useful in research and design, and shielding data compilations. In addition, RSICC participates in CSEWG activities and processes cross sections for its sponsors, gives leadership to shielding computing standards activities (ANS-6 and -10) and organizes seminar workshops as needed. RSICC is partially supported by DOE's Nuclear Energy Program, Office of Environmental Management, and the Office of Fusion Energy, and by the Defense Special Weapons Agency (DSWA, formerly DNA). By integrating developments in the various programs (fission and fusion reactors, nuclear weapons, accelerators) the latest technology is made available to all scientists and engineers doing radiation transport calculations.

## 213. SURFACE MODIFICATION AND CHARACTERIZATION FACILITY AND RESEARCH CENTER

D. B. Poker, S. P. Withrow  
(423) 576-8827 02-5 \$1,176,000

The SMAC Collaborative Research Center provides facilities for materials alteration and characterization in a UHV environment. Methods which can be used for alteration include ion implantation, ion beam mixing, and low-energy ion deposition using ions and energies that span the range from 30 eV to ~5 MeV. In-situ characterization methods include Rutherford backscattering, ion channeling, low-energy nuclear reaction analysis, and surface analysis techniques such as low-energy electron diffraction and Auger electron spectroscopy. The facility supports research in the Ion Beam Analysis and Ion Implantation Program as well as other programs in the Solid State Division and research carried out by other ORNL divisions. These facilities are available to scientists from industrial laboratories, universities, other national laboratories, and foreign institutions for collaborative research projects.

## 214. ION BEAM ANALYSIS AND ION IMPLANTATION

C. W. White, T. E. Haynes, O. W. Holland, R. A. Zuhr  
(423) 574-6295 02-5 \$753,000

Studies of ion implantation damage and annealing in a variety of crystalline materials (semiconductors, metals, superconductors, insulators, etc.); formation of unique morphologies such as buried amorphous or insulating layers by high dose ion implantation; formation of nanocrystals in a wide variety of substrates by ion implantation; the use of high-energy ion beams to reduce the temperature of various thermally activated processes

such as damage removal, alloying, and phase transformations; formation of buried compounds; studies of dose and dose rate dependence of damage accumulation during irradiation, and characterization of superconducting thin films; fundamental studies of ion beam mixing in metal/semiconductor, metal/metal, and metal/insulator systems; applications of ion beam mixing and ion implantation to corrosion/catalysis studies, to reduction of friction and wear of metal surfaces, to changes in mechanical and optical properties of ceramics and insulators, to the formation of nonlinear optical materials, and to reduction of corrosive wear of surgical alloys; studies of ion channeling phenomena; direct ion beam deposition (IBD) of isotopically pure thin films, epitaxial layers, and layered structures on metal and semiconductor substrates using decelerated, mass-analyzed ion beams.

#### 215. SURFACE PHYSICS

D. M. Zehner, A. P. Baddorf, A. K. Swan,  
J. F. Wendelken  
(423) 574-6291 02-5 \$681,000

Studies of crystallographic and electronic structure of clean and adsorbate-covered metallic, intermetallic compound, carbide, and semiconductor surfaces; combined techniques of low-energy electron diffraction (LEED), photoelectron spectroscopy using synchrotron radiation, scanning tunneling microscopy (STM), and computer simulations for surface crystallography studies. LEED, Auger Electron Spectroscopy and X-ray photoelectron spectroscopy studies of both clean and adsorbate-covered surfaces; determination of effects of intrinsic and extrinsic surface defects on surface properties, surface and thin-film growth morphology, and surface magnetism using high-resolution LEED and STM and surface magnetic optical Kerr effect; vibronic structure of surfaces and adsorbates examined by high-resolution electron energy loss spectroscopy; examination of surface electronic and geometric structures with respect to solid state aspects of heterogeneous catalysis.

#### 216. NATIONAL SPALLATION NEUTRON SOURCE

B. R. Appleton  
(423) 574-4321 02-6 \$7,535,000

The purpose of the National Spallation Neutron Source (NSNS) project is to perform the research and development (R&D) and conceptual design studies for a new Office of Energy Research neutron research facility. The facility will be an accelerator-based, short-pulse, spallation neutron source to carry out DOE research and to provide R&D capabilities to scientists and engineers from

universities, industry, and government laboratories. The NSNS project includes establishing the reference design and technical approach, preparing cost and schedule estimates and appropriate safety and environmental documents, and conducting the R&D needed to support the design and documentation. The R&D program covers ion sources and front-end systems; a linac accelerator; an accumulator ring; beam handling and diagnostic systems; target design and performance; moderators, including low-temperature moderators for the production of cold neutrons; materials specification and testing; neutron transport and instrumentation systems; neutronics optimization; target handling systems; and instrumentations elected by the user community. Probabilistic and other analyses are being used to identify key improvements needed to provide substantially better availability and reliability than existing spallation sources. DOE has given ORNL responsibility for coordinating the conceptual design and has designated ORNL as the preferred alternate site for the facility. To obtain the best available technical expertise and to consolidate community consensus, the NSNS was established as a collaborative project between ORNL, ANL, BNL, LANL, and LBNL. Also, international agreements and collaborations are being formed and international workshops and reviews are being held to validate technical choices and to incorporate user input. The project is on schedule for an FY 1998 design-only line item request to the DOE and to complete construction in 2004.

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#### Materials Chemistry - 03 -

M. L. Poutsma - (423) 574-5028  
Fax: (423) 576-5235

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#### 217. CHEMISTRY OF ADVANCED INORGANIC MATERIALS

D. B. Beach, C. E. Bamberger, L. Maya,  
M. Paranthaman, C. E. Vallet  
(423) 574-5024 03-1 \$897,000

Synthesis of solid-state inorganic materials using non-traditional method of synthesis, including sol-gel, reactive sputtering, and metal organic chemical vapor deposition (MOCVD). These methods overcome the limitations of solid-solid diffusion and thus produce materials at reduced time and temperature when compared to traditional solid-state preparations. Materials currently being synthesized include high dielectric constant insulators using sol-gel and MOCVD techniques. These materials have applications in semiconductor memory and decoupling capacitors. In addition to the preparation of high- $T_c$  superconductors, barrier layers for superconductors are being prepared using sol-gel techniques. These barrier layers are required for the commercial application of superconductors on polycrystalline metal substrates. Nanocomposites of

metals in an insulating matrix are being synthesized using reactive sputtering. These materials have applications in electrooptics, capacitors, and for magnetic storage. Analytical techniques include atomic force microscopy (AFM), scanning tunneling microscopy (STM), Rutherford backscattering spectroscopy, (RBS), and a variety of electrical and magnetic measurement techniques.

#### **218. STRUCTURE AND DYNAMICS OF ADVANCED POLYMERIC MATERIALS**

B. K. Annis, A. Habenschuss, D. W. Noid,  
B. G. Sumpter, B. Wunderlich  
(423) 574-6018 03-2 \$830,000

Characterization of polymers and composites at the molecular level by small-angle and wide-angle neutron and X-ray scattering, thermal and mechanical analysis, atomic force microscopy, NMR spectroscopy, and statistical mechanical calculations. Structural relationships between crystalline, partially ordered, and amorphous regions. Simulation of polymer chain structure and dynamics in large-scale molecular dynamics, neural network, and quantum Monte Carlo calculations. Improvement of the basic understanding of local molecular structure, the packing of chains in semicrystalline polymers, and the dynamics of materials ranging from oriented fibers to isotropic materials. Materials studied include high-performance crystalline fibers and composites, liquid crystalline, and plastic crystalline mesophases. Development of methods of predicting polymer properties resulting from various processing methods.

#### **219. THERMODYNAMICS AND KINETICS OF ENERGY-RELATED MATERIALS**

E. C. Beahm  
(423) 574-6851 03-2 \$288,000

The objective here is the determination and chemical thermodynamic modeling of nonstoichiometry, phase equilibria, and other thermochemical data for energy-related ceramic systems. Our new adaptation of solid-solution thermodynamics is used to represent the chemical thermodynamic interrelationship of temperature, oxygen partial pressure, and nonstoichiometry in oxide compounds having extensively variable oxygen-to-metal ratios. Presently, these interrelationships are being measured and modeled for superconducting oxides in the the (Y, lanthanide) barium-copper-oxygen systems. These efforts are providing a heretofore unavailable description of these oxides.

#### **220. NUCLEATION, GROWTH, AND TRANSPORT PHENOMENA IN HOMOGENEOUS PRECIPITATION**

M. Z.-C. Hu, C. H. Byers  
(423) 574-8782 03-2 \$207,000

Fundamental laser light-scattering spectroscopic studies are conducted on and a theoretical framework is developed for liquid-phase homogeneous nucleation and growth of pure component and composite monodisperse metal oxide particles which are precursor materials in ultra fine processing for the production of a new generation of ceramic materials. The focus of the program entails investigation of metal alkoxide/metal salt reactions and reactants-solvent interactions (i.e., short-range bonding) which affect the characteristics of the particles formed. Methods and instrument development (including alternative methods for metal oxide powder synthesis, optical spectroscopic measurements, low angle-light scattering spectrometer design, flow through SAXS, dispersion stabilization, and NMR spectroscopy mathematical analysis) are important features of this research.

#### **221. BLENDS OF MACROMOLECULES WITH NANOPHASE SEPARATION**

G. D. Wignall, B. K. Annis, J. G. Curro (SNL/NM),  
A. Habenschuss, K. S. Schweizer (UIMRL)  
(423) 574-5237 03-2 \$352,000

Development of a scientific basis for the molecular design of polymer blends in order to optimize physical and end-use properties. Prediction of molten blend structure, miscibility, phase diagrams and other thermodynamic properties from integral equation theories. Testing of theoretical predictions by neutron and X-ray scattering. Focus on multicomponent polymer systems where mixing occurs on molecular length scales in contrast to conventional composites and filled polymers.

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**Metallurgy and Ceramics - 01 -**


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**222. MICROSTRUCTURAL MODIFICATION IN  
CERAMIC PROCESSING USING  
INORGANIC POLYMER DISPERSANTS**

G. J. Exarhos, J. Liu, W. D. Samuels,  
L.-Q. Wang  
(509) 375-2440 01-1 \$350,000

Fundamental research focused on particle compaction interaction in colloidal suspensions, molecular directed assembly of three-dimensional ceramic networks, and synthesis of polymer-ceramic molecular composites. Control of interfacial bonding through modification to processing chemistry. Modeling studies undertaken to simulate the magnitude of these interactions and how they can be perturbed through surfactants modifications, choice of solvent, and chemical alteration to particle surface sites. Characterization of molecular interactions between the respective phases by means of in-situ magnetic resonance and vibrational spectroscopy measurements at all stages during processing. Evaluation of physical properties and microstructure derived from electron and atomic force microscopies in order to understand how processing routes alter materials properties. Research in this area supports the polymers thrust area within the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials. This program participates in the focus project on Microstructural Engineering with Polymers of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**223. INTERFACIAL DYNAMICS DURING  
HETEROGENEOUS DEFORMATION**

S. M. Bruemmer, C. H. Henager, Jr.,  
J. S. Vetrano  
(509) 376-0636 01-2 \$493,000

The purpose of this research is to elucidate mechanisms controlling heterogeneous, interfacial deformation processes through a combination of high-resolution measurement and atomistic modeling techniques. Emphasis is placed on characterizing, modifying, and simulating dynamic

events occurring at grain boundary and particle-matrix interfaces. Specific interfacial processes such as dislocation emission and accommodation, boundary migration, sliding, diffusion, solute segregation, and cavitation will be isolated and evaluated. Initial research focuses on the interfacial dynamics limiting the superplastic deformation of fine-grained metallic materials. Synergistic effects of stress, strain, strain rate, and temperature on grain boundary composition, dislocation activity, and properties are being examined in controlled purity alloys. Fundamental relationships and understanding will be established to give mechanistic insight into empirical continuum equations of interfacial deformation processes. This program participates in the focus project on Conventional and Superplastic Metal Forming of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**224. FUNDAMENTAL STUDIES OF STRESS  
CORROSION AND CORROSION FATIGUE  
MECHANISMS**

R. H. Jones, C. H. Henager Jr., E. P. Simonen,  
C. F. Windisch, Jr.  
(509) 376-4276 01-2 \$384,000

Investigations of the mechanisms controlling intergranular and transgranular stress corrosion and corrosion fatigue cracking of iron, iron-chromium nickel, nickel-based alloys, and ceramic matrix composites in gaseous and aqueous environments. Relationships between interfacial and grain boundary chemistry, hydrogen embrittlement, and intergranular stress corrosion cracking investigated with surface analytical tools, electrochemical polarization, straining electrode tests, subcritical crack growth tests, and crack-tip and fracture surface analysis. Modeling of the electrochemical conditions at the tip of a growing crack and evaluation of the electrochemical effects of grain boundary microchemistry on crack growth in nickel- and iron-based alloys. Differential, reversed dc potential drop analysis of stress corrosion initiation and cracking processes. Effect of surface chemistry on gas phase adsorption and aqueous corrosion using transient electrochemical analysis. This program participates in the focus project on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**225. CHEMISTRY AND PHYSICS OF CERAMIC  
SURFACES**

B. C. Bunker, S. A. Chambers, K. F. Ferris,  
M. A. Henderson, S. A. Joyce, L. Q. Wang  
(509) 375-5969 01-3 \$412,000

Study of the chemistry and physics of specific crystalline oxide bonding configurations with an emphasis on the properties of defects. Colloid chemistry, surface science, and theoretical methods are coupled to generate a comprehensive understanding of oxide surface chemistry. Model surfaces of metal oxides are created by cleavage

of single crystals. Hydration/solvation, ion adsorption, acid/base chemistry, and site stabilities/reconstruction of these model surfaces are investigated. Surfaces are characterized using electron and vibrational spectroscopies; electron diffraction; scanning tunneling microscopy; electron, photon, and thermal desorption methods; and microcalorimetry. Molecular modelling activities emphasize ab initio electronic structure and molecular dynamics approaches, and include the development of methodologies for large-scale assemblies.

#### **226. IRRADIATION-ASSISTED STRESS CORROSION CRACKING**

S. M. Bruemmer, E. P. Simonen,  
G. S. Was (Univ. of Michigan)  
(509) 376-0636 01-4 \$445,000

The mechanisms controlling irradiation-assisted stress corrosion cracking under neutron and charged-particle irradiation are evaluated through a combination of experiments and modeling. Research includes examination of radiation effects on grain boundary composition, matrix and interfacial deformation processes, crack-tip phenomena, and material electrochemical behavior. Radiation-induced grain boundary segregation is measured and modeled as a function of material and irradiation parameters. Specific grain boundary compositions and matrix microstructures are simulated by thermomechanical treatments, and their influence on corrosion and stress corrosion assessed by tests in low- and high-temperature aqueous environments. Crack-tip models are being evolved so that radiation effects on local material microstructure, microchemistry, deformation and electrochemistry can be assessed in relation to crack propagation mechanisms.

#### **227. IRRADIATION EFFECTS IN CERAMICS**

W. J. Weber, N. J. Hess  
(509) 375-2299 01-4 \$223,000

Multidisciplinary research on the production, nature, and accumulation of irradiation-induced defects, microstructures, and solid-state transformations in ceramics. Irradiations with neutrons, ions, and electrons to study point defect production and associated effects from both single displacement events and high-energy displacement cascades. Develop understanding of structural stability and irradiation-induced amorphization in ceramics. Computer simulations of defect production, stability, and migration. The investigations utilize X-ray and neutron diffraction, electron microscopy, EXAFS, laser spectroscopies, ion-beam techniques, and electrical property measurements to characterize the defects, microstructures, and transformations introduced by irradiation in simple and complex

oxides, carbides, and nitrides. Work includes the development of techniques for in-situ characterization during neutron and ion-beam irradiations.

#### **228. ENVIRONMENTAL DEGRADATION MECHANISMS IN LIGHTWEIGHT TRANSPORTATION ALLOYS**

R. H. Jones, M. J. Danielson, J. S. Vetrano,  
R. E. Williford  
(509) 376-4276 01-5 \$173,000

Investigations of the critical environmental degradation mechanisms in lightweight automotive alloys including nonheat treatable Al sheet (Al-Mg), superplastically formed aluminum alloys and magnesium alloys. Evaluation of grain boundary microchemistry and microstructural causes for stress corrosion and processes that could potentially inhibit these effects in Al-Mg alloys. Measurement of grain boundary segregation using Auger electron spectroscopy (AES) and analytical electron microscopy, surface and electrochemical reactions with Laser Raman, AES, X-ray photoelectron spectroscopy and a surface analytical tool-corrosion side cell, early stages of intergranular cracking using atomic force microscopy, and electrical potential drop methods to measure the crack velocity of very short cracks. Evaluation of alloys with additives that could potentially inhibit stress corrosion cracking in Al-Mg alloys.

#### **229. DESIGN AND SYNTHESIS OF NANOSCALE ULTRACAPACITOR MATERIALS USING LYOTROPIC LIQUID CRYSTAL TEMPLATES**

J. W. Virden, J. Liu  
(509) 375-6512 01-5 \$170,000

This multidisciplinary research program focuses on the formation mechanisms of mesoporous ceramic oxides synthesized from surfactant nanostructural templates. Solution synthesis and post-deposition processing routes are examined to develop conductive ceramics appropriate as an ultracapacitor electrode. Integrated experimental and modeling efforts will investigate the surfactant selection and control of surfactant interactions to promote and optimize nucleation and growth of ceramic phases into specific nanostructures. Research investigates effects of surfactant interactions leading to surfactant self-assembly and interaction between surfactant arrays (i.e., micelle-micelle interactions) that ultimately influence pore size, wall thickness, and overall surface area. Control of pore size and wall thickness relates directly to sinterability of oxides and conversion to high-surface area conductive nitrides or carbides. Characterization of the evolution of the final ultracapacitor material from soluble precursor to templated oxide for final conductive mesoporous ceramic will establish structure-property relationships that will optimize ultracapacitor performance.

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**Solid State Physics - 02 -**


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**230. THIN FILM OPTICAL MATERIALS**

G. J. Exarhos, K. F. Ferris, C. F. Windisch, Jr.  
(509) 375-2440 02-2 \$209,000

Integrated experimental and theoretical studies designed to understand how materials properties including residual stress, surface morphology and phase homogeneity correlate with the attendant linear and nonlinear optical response of dielectric films prepared using both vacuum and solution-based deposition methods. Issues addressed relate to phase stability, stress homogeneity, the resident microstructure which evolves during deposition, and the associated perturbation to film optical properties when subjected to variations in temperature, pressure, electric field or chemical environment. Finite element modeling approaches provide insight into structure/property relationships. Development of innovative deposition techniques and post-deposition modification methods for the manipulation of film microstructure in order to attain a targeted optical response. Application of laser spectroscopic and ellipsometric methods for film characterization. This program supports the photovoltaics task within the DOE Center of Excellence for the Synthesis and Processing of Advanced Materials.

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**Materials Chemistry - 03 -**


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**231. CERAMIC COMPOSITE SYNTHESIS UTILIZING BIOLOGICAL PROCESSES**

P. C. Rieke, S. Baskaran, B. C. Bunker,  
A. A. Campbell, G. E. Fryxell, G. L. Graff,  
J. Liu, B. J. Tarasevich  
(509) 375-2833 03-1 \$668,000

Processing routes have been developed to make ceramic thin films or composites via controlled nucleation and growth from aqueous solutions onto functionalized interfaces. The techniques, called biomimetic processing, stimulate nucleation and growth on substrates by using functional groups that mimic the behavior of biomineralization proteins. This program has demonstrated that high-quality ceramic films can be grown on plastics and other materials at temperatures below 100°C. Conformal coatings with unique oriented and/or nanocrystalline microstructures can be produced. The current emphasis of the program is to establish mechanisms for the surface nucleation and growth processes controlling biomimetic depositions using

studies on self-assembling monolayers, Langmuir-Blodgett films, and colloidal particles as substrates. Development of the Pacific Northwest Consortium Collaborative Access Team (PNC-CAT) sector of the Advanced Photon Source for basic research in support of environmental clean-up and basic research activities (\$140,000; PI: Steven M. Heald (708-252-9795)).

**232. SUPPORT FOR THE PNC-CAT**

R. Stults  
(509) 375-2687 03-3 \$140,000

Support is provided for the construction of an insertion device beamline at the Advanced Photon Source at Argonne National Laboratory. This beamline will serve the members of the PNC from PNNL, University of Washington, Simon Fram University, who will carry out a wide variety of X-ray experiments with emphasis on environmental applications.

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**Metallurgy and Ceramics - 01 -****233. SURFACE, INTERFACE, AND BULK PROPERTIES OF ADVANCED CERAMICS**

W. G. Wolfer, K. F. McCarty, D. L. Medlin  
(510) 294-2307 01-1 \$315,000

The major focus of this project is the synthesis and characterization of novel, thin-film structures of ultrahard and wide-bandgap ceramics. We emphasize ion-assisted deposition, and the use of in-situ diagnostics during film growth. The project focuses on nitride ceramics, including the boron nitride system. We strive for a fundamental understanding and quantitative models of ion-assisted film growth, including the microscopic mechanisms controlling selective formation of, for example, (diamond-like) cubic boron nitride over the stable (graphite-like) phase. Multilayer ceramic structures will be synthesized and characterized with the goal being engineered mechanical and electronic properties. We study the microstructure, phonon structure, and electronic defect structure of the thin films using Raman spectroscopy, infrared spectroscopy, photoluminescence, and transmission electron microscopy. Mechanical-property measurements are performed to evaluate both fundamental properties and technological viability. This program participates in the focus project on Processing for Surface Hardness of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

### 234. DEFECTS AND IMPURITIES IN SOLIDS/COMPUTATIONAL MATERIALS SCIENCE/VISITING SCIENTIST PROGRAM

W. G. Wolfer, N. C. Bartelt, S. M. Foiles,  
J. C. Hamilton, J. R. Hoffelfinger, D. A. Hughes,  
R. Q. Hwang, C. L. Kelchner, D. L. Medlin,  
A. A. Quong, A. K. Schmid, P. O. Tepesch  
(510) 294-2307 01-2 \$985,000

The overall objective of the three programs is to enhance our understanding, in quantitative terms, of defects in solid materials. This understanding is to span length scales from the atomic to the microstructural, and is to relate to macroscopic properties and the performance of materials in various applications. The quantitative aspects of this understanding are to be captured both in mathematical relationships and in computational methods and tools suitable for wide applications in materials science and technology. Furthermore, the methods and tools are to complement each other so that all length as well as time scales (from the period of atomic vibrations to the lifetime of an engineering component) can be joined and covered. The approach is to support both experimental and theoretical research on the same, or closely related, topics in an environment that induces communication and close collaboration between experimentalists and theoreticians. The Visiting Scientist Program facilitates collaborations with researchers at other institutions. Presently, the experimental program "Defects and Impurities in Solids" focuses on STM, AFM, and LEED investigations of multi-elemental surface layers, films and coatings; on HRTEM studies of the structure of dislocation cores, grain boundaries, and interfaces; and on the dislocation cell structure in plastically deformed metals. The major activities in the "Computational Materials Science" program include the following thrusts: electronic structure methods including a LDA/pseudopotential code with a mixed-basis set and a linear response code to compute the dynamical properties; atomistic simulation methods based on an order-N tight binding method as well as empirical potentials; statistical analysis of ordering based on the cluster variational method; and studies of kinetics based on dynamic Monte Carlo schemes and mean-field rate theories. Each development is carried out in the context of one or more specific applications in materials science and technology.

### 235. ALLOY THEORY

D. D. Johnson, M. D. Asta,  
F. J. Pinski (Univ. of Cinn.)  
(510) 294-2751 01-3 \$443,000

A "first-principle" theory for alloys is developed in which electronic, size, charge-transfer, and magnetic effects (which are responsible for the effective interactions between the alloy

constituents) play an essential role in determining the phase diagrams and the ordering tendencies in disordered alloys. Correlation functions for compositional and magnetic short-range ordering are derived from the theory and utilized to interpret experimental results from diffuse X-ray and neutron scattering experiments, and to further plan and guide such experiments. The combined theoretical and experimental efforts elucidate the underlying electronic forces for intermetallic interactions and their influence on the thermodynamics of alloys including ordering in multicomponent alloys, such as ternaries. Finally, the theory will be used to explore and discover new metal alloys, and the electronic origin for their ordering properties and for their ordered phases. This program participates in the focus projects on Metals Forming and on Materials Joining of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

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### Solid State Physics - 02 -

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### 236. MATERIALS CHARACTERIZATION USING ULTRAFAST OPTICAL TECHNIQUES

W. G. Wolfer, R. J. Anderson  
(510) 294-2307 02-2 \$186,000

Develop, evaluate, and apply advanced, nonperturbing diagnostic techniques for studying the structure and dynamics of advanced materials. The scope includes studies of bulk, interface, and surface properties using spectroscopic techniques. We emphasize the use of these techniques to characterize electronic structure, ultrafast dynamics, and the chemistry of surfaces and interfaces formed during thin film growth. The approach includes the use of 1) ultrashort laser pulses, extending to the femtosecond regime, to examine excited state dynamics, 2) photoluminescence spectroscopy to probe electronic structure and defects of bulk materials and thin films, and 3) impulsively stimulated scattering to study mechanical properties and thermal conductivity of thin films. Materials under investigation include semiconductors, nonlinear optical materials, and large bandgap systems, and their interfaces with metals.

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**Metallurgy and Ceramics - 01 -**


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**237. PHYSICS AND CHEMISTRY OF CERAMICS**

R. A. Assink, T. J. Boyle, C. J. Brinker,  
R. K. Brow, K. Chen, A. J. Hurd, P. R. Schunk,  
R. W. Schwartz, D. R. Tallant  
(505) 845-8629 01-2 \$1,025,000

For glasses and sol-gel-derived ceramics, we measure and model the effects of processing on molecular structure, network topology, pore formation and behavior, network dynamics, crystallite growth and sintering. Our tools include NMR, small-angle scattering, fluorescence, neutron scattering, quasielastic light scattering, dynamic mechanical analysis, dielectric relaxation, and imaging ellipsometry to establish structure and behavior across a wide range of spatial and temporal scales in solutions, films, and monoliths. The theme in the Physics and Chemistry of Ceramics Program has long been that of borrowing from nonceramic fields: nonequilibrium, kinetic processes that lead to fractal structures, anomalous diffusion models, and concepts from polymer physics are examples of the new viewpoints we have applied recently to solution precursors and the dynamics of glasses. Ceramics and glasses are increasingly used in demanding, high consequence situations. "Perfectly" assembled materials will never be realized, but our goal is to understand the processes that link precursors to the properties of materials. This program participates in the focus projects on Microstructural Engineering with Polymers and Metal Forming of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

**238. ATOMIC LEVEL SCIENCE OF INTERFACIAL ADHESION**

T. A. Michalske, A. Burns, P. J. Feibelman,  
J. E. Houston, R. C. Thomas  
(505) 844-5829 01-2 \$449,000

The goal of this program is to understand, in atomic detail, the nature of the physical and chemical interactions that bind solid surfaces together. This study includes atomic scale measurements of

interfacial bonding forces, theoretical calculations of interfacial bonding, surface science measurements of interfacial bonding and structure, and macroscopic adhesion measurements that will be used to relate the results of fundamental theory and experiment to more conventional measures of adhesion. Key to our approach is the ability to make detailed measurements of interfacial force profiles on well controlled and characterized interfaces. These measurements provide a common point for investigations ranging from first principles theory to practical adhesion and provide fundamental insight into the factors controlling interfacial adhesion.

**239. WETTING AND FLOW OF LIQUID METALS AND AMORPHOUS CERAMICS AT SOLID INTERFACES**

N. D. Shinn, U. Landman (Georgia Tech.),  
T. A. Michalske  
(505) 844-5829 01-2 \$255,000

The objective of this program is to provide a scientific basis to understand the nanometer-scale structure, chemistry and flow properties of liquid metals and amorphous ceramics at solid interfaces. We will develop a fundamental understanding of the wetting and flow properties of interfacial liquids that combines: (1) new atomic scale methods for measuring the wetting and flow of liquids near well characterized interfaces, (2) theoretical simulations for liquid flow and stability, and (3) macroscopic wetting, spreading, and creep measurements that can be used to relate the results of fundamental experiment and theory to practical materials response. Key to our unique approach is the ability to make detailed measurements of the wetting and flow of atomically thin, well characterized liquid metal and amorphous ceramic interfacial layers. These measurements will provide a common point that will permit interactions extending from atomic-level theory to practical wetting and flow measurements and will provide fundamental insight into the factors controlling the wetting and flow of thin liquid layers.

**240. ADVANCED GROWTH TECHNIQUES AND THE SCIENCE OF EPITAXY**

E. Chason, J. A. Floro, S. T. Picraux,  
B. Swartzentruber, J. Y. Tsao  
(505) 844-8951 01-3 \$352,000

Advanced growth techniques are studied for the synthesis of improved semiconductor and thin film structures. In-situ diagnostics are used in conjunction with molecular beam epitaxy (MBE) and novel growth method to grow new semiconductor structures. By combining energetic beams with MBE, new approaches to controlling the growth process as well as new understanding of the defect-mediated mechanisms controlling growth are developed. Studies concentrate on Ge and Si, as well as layered III-V compounds and SiGeC strained layer structures. A primary purpose of this research is to provide new understanding of fundamental thin film growth mechanisms and new methods and diagnostics for the



growth of improved epitaxial layered structures. Advanced in-situ techniques yield surface structure, film stress composition and chemical reactivity information and correlation with growth parameters. Theoretical studies model the growth processes and address growth mechanisms in order to interpret and guide the experimental studies.

#### 241. ENERGETIC-PARTICLE SYNTHESIS AND SCIENCE OF MATERIALS

S. M. Myers, J. C. Barbour, M. T. Dugger,  
D. M. Follstaedt, J. A. Knapp, C. H. Seager,  
W. R. Wampler  
(505) 844-6076 01-3 \$776,000

Basic research is conducted on the interactions of ion, laser, electron, and plasma beams with metals, semiconductors and dielectric materials. The synthesis of new or novel metastable and equilibrium microstructures in solids with energetic ions, remote plasma sources and pulsed laser deposition is studied. Ion beams are used in conjunction with such techniques as TEM, X-ray scattering, IR spectroscopy, AES, capacitance-voltage analysis, DLTS, and mechanical testing to explore the properties of beam-synthesized materials and to illuminate a wide range of fundamental atomic processes in solids. Representative areas of research include ion-beam synthesis of nanostructures with novel chemical and electrical properties in semiconductors, ECR-plasma growth of superior new dielectrics, the formation by ion implantation, ECR plasmas and pulsed-laser deposition of new Al, Fe, and Ni alloys with very high strengths, and fundamental studies of the interactions of H with semiconductors. This program participates in the focus project on Surface Hardness of the Department of Energy's Center of Excellence in Synthesis and Processing of Advanced Materials.

#### 242. ARTIFICIALLY STRUCTURED SEMICONDUCTORS

P. L. Gourley, E. D. Jones, S. K. Lyo,  
J. S. Nelson, M. B. Sinclair  
(505) 844-5806 01-5 \$553,000

Study and application of compound semiconductor heterostructures, quantum wells and surface-structured materials to explore solutions to new and existing semiconductor materials problems. The program coordinates semiconductor physics and materials science to produce new semiconductor materials with useful electronic properties not available in bulk compound semiconductor crystals. This program investigates fundamental material properties including band structure, electronic transport, crystal stability, optical transitions, and nonlinear optical properties. Both theoretical and experimental understanding are emphasized. The materials under study have a

wide range of applications for high speed switching, photovoltaics, optical detectors, lasers, and efficient high generators.

#### 243. METAL-CERAMICS INTERFACIAL REACTIONS

R. E. Loehman, K. G. Ewsuk,  
T. Swiler (Univ. of NM), A. P. Tomsai (BNL)  
(505) 272-7601 01-5 \$250,000

Fundamental understanding of the interfacial reactions of molten aluminum and aluminum alloys with other materials at high temperatures. Predictive model for reactive wetting of oxides by aluminum and aluminum alloys. Quantify processes at Al-ceramic interfaces. Improved refractories and metal process equipment, better mold releases, protective coatings, die lubricants, hardfacing for high wear rate applications, and improved techniques for aluminum joining. Lower cost of lightweight materials and improvement of properties.

#### 244. FIELD-STRUCTURED ANISOTROPIC COMPOSITES

J. E. Martin, R. A. Anderson, C. P. Tigges  
(505) 844-9125 01-5 \$160,000

This program will develop the modeling, synthesis, and processing capability to create novel, tailorable anisotropic polymer/ceramic and polymer/metal composite materials by applying external electric or magnetic fields to systems consisting of a polymerizable continuous phase into which particles having an electric permittivity or magnetic permeability mismatch are suspended. These materials are expected to find a variety of applications capitalizing on their anisotropic electrical and mechanical properties.

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### Solid State Physics - 02 -

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#### 245. TAILORED SURFACES AND INTERFACES FOR MATERIALS APPLICATIONS

G. L. Kellogg, P. J. Feibelman, T. M. Mayer,  
N. D. Shinn, B. Swartzentruber  
(505) 844-2079 02-2 \$527,000

The overall goal of this program is to identify and understand the microscopic mechanisms that control the growth of thin surface films and use this knowledge to develop predictive models for materials synthesis. Atomic-scale processes involving adatoms, vacancies, steps, and impurities play a key role in how a crystal or epitaxial film grows. We are conducting experimental and modeling studies to address the fundamental interactions associated with these defects. Our current emphasis is on the initial stages of nucleation and cluster formation in the epitaxial growth of single-component, mixed-component, and compositionally modulated overlayers and on establishing those factors which control the growth, the electronic structure, and the chemical

properties of the resulting surfaces and interfaces. Thin surface films and engineered interfacial structures are currently used to tailor the properties of materials for improved mechanical performance, chemical reactivity, corrosion resistance, and the fabrication of novel magnetic and electronic devices.

#### 246. PHYSICS AND CHEMISTRY NOVEL SUPERCONDUCTORS

E. L. Venturini, B. Morosin, P. P. Newcomer,  
M. P. Siegal  
(505) 844-7055      02-2 \$505,000

This program focuses on the fundamental physical properties of the cuprate-based high-temperature superconductors with emphasis on thin films of the thallium system. The primary goal is a detailed understanding of structure/property relationships, particularly the effects of synthesis conditions, structural phase, cation and oxygen stoichiometry and lattice defects on the superconducting transition temperature, critical current density and vortex pinning. Diagnostic and processing capabilities include magnetic studies of critical current and vortex dynamics at high magnetic fields in the presence of tailored nanoscale defects generated by high-energy, heavy-ion irradiation or other methods. Active internal and external collaborations complement our expanding knowledge of these challenging materials.

#### 247. TRANSPORT IN UNCONVENTIONAL SOLIDS

T. L. Aslage, D. Emin, S. S. McCready,  
G. A. Samara, D. R. Tallant  
(505) 844-8027      02-5 \$475,000

This program investigates solids whose bonding and/or structures promote unusually strong interactions between charge carriers and their surrounding atoms. As a result of these interactions, electronic transport in unconventional solids is distinct from solids in which charge carriers move quasi-freely. Boron-rich solids, clathrate networks, conducting and superconducting oxides, and polymers are among the materials that are being examined. High-quality samples are synthesized by a variety of techniques. Electrical transport properties are measured over an exceptionally wide temperature range. Facilities for transport measurements over a temperature range of 4-1800 K and in magnetic fields as large as 10T are employed. Our understanding of transport mechanisms is enhanced through complementary studies of the structures, vibrations, and optical, dielectric, and magnetic properties of the materials. Insights

gained from this work suggest distinctive applications, including novel, high-efficiency thermoelectrics, unique energy conversion devices, and solid state neutron detectors.

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#### Materials Chemistry - 03 -

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#### 248. CHEMICAL VAPOR DEPOSITION

J. Y. Tsao, M. E. Bartram, W. G. Breiland,  
M. E. Coltrin, J. R. Creighton, J. Han, P. Ho,  
H. K. Moffat  
505) 844-7092      03-3 \$662,000

Studies of important vapor-phase and surface reactions during CVD under conditions used to fabricate photovoltaic cells, wear- and corrosion-resistant coatings, and semiconductor thin films. Measurements of major and minor species densities, gas temperatures and flow fields using laser Raman spectroscopy, laser-induced fluorescence, and laser velocimetry. Development of predictive numerical models of the coupled chemical kinetics and transport. Application of a wide array of UHV, molecular beam, and in-situ measurement capabilities to study surface reactions, monitor growth, and study the product materials.

#### 249. SYNTHESIS AND PROCESSING OF NANOCLUSTERS FOR ENERGY APPLICATIONS

J. P. Wilcoxon, J. E. Martin, T. Thurston  
(505) 844-3939      03-3 \$285,000

The work exploits a unique micellar synthesis method to create new size-controlled metal and semiconductor nanoclusters and investigate those physical properties germane to energy applications. The most promising applications are in catalysis and photocatalysis, so emphasis is on materials for these applications. Metal clusters from base metals are being examined as candidates for replacing precious transition metals for coal liquidification and other reactions. The catalytic activity of these clusters will be evaluated in model hydrogenation reactions. The work also investigates the use of semiconductor nanoclusters to efficiently create electron-hole pairs for photocatalysis and then bind reducing and oxidizing nanoclusters to the semiconductors to create cluster assemblies that can convert sunlight to chemical fuels.

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**Facility Operations - 04 -**

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**250. RESEARCH AND DEVELOPMENT OF  
SYNCHROTRON RADIATION FACILITIES**

A. I. Bienenstock, H. Winick  
(415) 926-3153      04-1 \$3,442,000

Support of materials research utilizing synchrotron radiation, as well as operations and development of the Stanford Synchrotron Radiation Laboratory (SSRL). Development and utilization of new methods for determining atomic arrangement in amorphous materials, and on surfaces, time-resolved studies of thin film growth, studies of highly perfect semiconductor crystals using X-ray topography, analysis of ultra-trace contamination on silicon water surfaces, photoemission studies of superconductors and semiconductor interfaces (e.g., heterojunctions and Schottky barriers), photoemission studies of highly correlated materials including magnetic systems, metal surfaces (especially catalytic reactions on surfaces) and development of techniques such as surface EXAFS, photoelectron diffraction, and interface studies using core level spectroscopy. Photoelectron and X-ray absorption spectroscopic studies of catalysts. R&D related to, and improvement of, accelerators and insertion devices for synchrotron radiation production including shorter wavelength free electron lasers. Development of Laue diffraction for time-resolved protein crystallography. Development of X-ray absorption spectroscopy methods to study the of environmentally important materials and contaminants. Research in utilization of X-ray absorption edges to determine electronic structure of metal complexes.

## **SECTION B**

### **Grant Research (Primarily Universities)**

The information in this Section was prepared by the DOE project monitors of the Division of Materials Sciences. There is considerable turnover in the Grant Research program, and some of the projects will not be continued beyond the current period.

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**UNIVERSITY OF ALABAMA**  
Birmingham, AL 34294-0111

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**251. THE ALABAMA DOE/EPSCoR PROGRAM**  
K. M. Pruitt,  
(205) 934-4346 03-3 \$900,000

The University of Alabama has a DOE/EPSCoR program with initiatives in the following three research areas: Cluster 1, "Petroleum Reservoir Characterization;" Cluster 2, "Fusion Energy Research Cluster;" and Cluster 3, "Novel Organic Semiconducting Materials Research Cluster."

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**252. STRUCTURE, STOICHIOMETRY AND STABILITY IN MAGNETOPLUMBITE AND  $\beta$ -ALUMINA TYPE CERAMICS**  
A. N. Cormack, Department of Ceramic Science and Engineering  
(607) 871-2422 01-1 \$75,200

Atomistic simulation of defect structures and energies for defect clusters in mirror planes of magnetoplumbite and beta-alumina structures; defect cluster interaction. Born model with Buckingham potential and shell model treatment of atomic polarizations; atomic relaxation treated by Mott-Littleton approximation. Barium, strontium, calcium and magnesium magnetoplumbite structure, site-preferences for Mg; stability of alkaline earth -aluminas; computation of nonconfigurational entropy, thermal stability of  $\text{SrAl}_2\text{O}_9$ , origin of instability of barium magnetoplumbite

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**ARIZONA STATE UNIVERSITY**  
Tempe, AZ 85287

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**253. POLYMER-IN-SALT ("IONIC RUBBER") FAST ION ELECTROLYTES AND RELATED MATERIALS**  
C. A. Angell, Department of Chemistry  
(602) 965-7217 01-1 \$89,684

Investigation of polymer-in-salt compounds for use as solid electrolytes in high energy density batteries. A variety of ambient temperature molten salts, rubberized by the addition of polymers will be examined in order to establish materials with a single ion conductivity high enough to serve as a battery electrolyte and with a sufficiently low glass transition temperature that the material remains amorphous. The work will require improvements in both the salt constitution and polymer type; modifications of the materials with solid particulates; materials having a polymer matrix forming network that supports an ionic phase; and the necessary physical measurements to characterize these materials.

**254. HIGH RESOLUTION INTERFACE NANO-CHEMISTRY AND STRUCTURE**  
R.W. Carpenter, Center for Solid State Science  
(602) 965-4549

S. H. Lin, Department of Chemistry  
(602) 965-3715 01-1 \$157,500

High spatial resolution analytical electron microscopy investigation of compositional gradients and solute segregation at interfaces and grain boundaries in ceramic/ceramic and ceramic/metal systems. Relationships between chemical and structural width of interfaces and boundaries studied as functions of material system and temperature. Theoretical analysis of interfaces and boundaries using quantum molecular dynamic computational methods.

**255. DE-ALLOYING AND STRESS-CORROSION CRACKING**  
K. Sieradzki, Department of Mechanical and Aerospace Engineering  
(602) 965-3291 01-5 \$0

Two major areas of focus are: (1) alloy corrosion and the roughening transition and (2) the role of selective dissolution in the stress corrosion cracking of alloy systems. Alloy corrosion processes are studied on Ag-Au and Cu-Au using electrochemical techniques, in-situ scanning tunneling microscopy (STM), and X-ray scattering and reflectivity. The STM and X-ray work address the roughening transition known to occur in alloy

systems undergoing corrosion at electrochemical potentials greater than the "critical potential." Molecular dynamic and Monte Carlo simulation techniques are being used to examine various aspects to the roughening transition.

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**UNIVERSITY OF ARIZONA**  
Tucson, AZ 85721

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**256. EARLY STAGES OF NUCLEATION**

M.C. Weinberg, Department of Materials  
Science and Engineering  
(602) 621-6909 01-1 \$25,000

Nucleation of glass-in-glass phase separation. Electron microscopy, Raman spectroscopy, and small angle X-ray scattering techniques will be used to study the nucleation rates and compare them with the predictions of Classical Nucleation Theory.

**257. CONTROL OF MICROSTRUCTURE ON FATIGUE IN ALUMINUM AUTOMOTIVE CASTINGS**

D. Poirier, Department of Materials Science  
and Engineering  
(520) 621-6072 01-5 \$405,000 (34 months)

Investigation of structure-property relationships in fatigue behavior of aluminum alloys. Effects of microporosity on fatigue resistances will be studied with alloy A356.2 (Al-7%Si-0.3%Mg) which will be produced as near-net-shape castings using pressure-riseless casting/vacuum-riserless casting (PRC/VRC). Effects of pore-size, hydrogen content, strontium modification, and grain refiners will be studied with Al-Ti-B and Al-Ti-C alloys. Effects of near-surface microshrinkage, secondary dendrite arm spacing, and inclusions and undissolved second-phase particles on fatigue crack propagation and fracture toughness measurements will be determined. An improved process model will be developed which will take into account pore formation and solidification shrinkage. An experimental apparatus utilizing acoustic emission will be developed to detect nucleation and early growth of pores. Casting of alloys will be performed collaboratively by the Alcoa Technical Center.

**258. ARTIFICIALLY STRUCTURED MAGNETIC MATERIALS**

C. M. Falco, Department of Physics  
(602) 621-6771

B. N. Engel, Department of Physics  
(602) 621-6771 02-2 \$90,000

Emphasis is on understanding magnetism using very well characterized surfaces and interfaces, and in developing artificially structured magnetic materials with improved properties. Preparation of artificially structured magnetic materials by molecular beam epitaxy and multi-target sputtering. Sample characterization: various X-ray diffraction techniques including Bragg-Brentano 0-20, low-angle, wide-film Debye-Scherrer (Read), Laue, and Seemann-Bohlin; Scanning Tunneling and Atomic Force Microscopy; Auger, X-ray photoelectron spectroscopy, ion scattering spectroscopy, and secondary ion mass spectroscopy; reflected high and low energy electron diffraction; Rutherford backscattering; scanning and transmission electron microscopy. Magnetic properties of surfaces of ultra-thin magnetic films and interfaces in multilayers and superlattices; in-situ magneto-optic Kerr effect; variable-temperature vibrating sample magnetometry; Brillouin light scattering; magnetic neutron scattering; synchrotron photoemission studies.

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**BOSTON UNIVERSITY**  
590 Commonwealth Avenue  
Boston, MA 02215

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**259. STUDIES OF THE STRUCTURE & DYNAMICS OF THE SURFACE SPIN SYSTEMS OF MAGNETIC INSULATORS AND GROWTH TRENDS OF TRANSITION METAL OVERLAYERS, USING HE SPECTROSCOPIES**

M. M. El-Batanouny, Department of Physics  
(617) 353-4721 03-3 \$160,000

Use of scattered spin-polarized metastable He( $2^3S$ ) atoms from surfaces both elastically and inelastically, to study surface magnetic properties, and the structural, dynamic and magnetic trends of the 3D transition metal overlayers-Cu, Au, Ag and Cr on Pd(111) and Pd(110) substrates; and Pd and Cu on Nb(110) substrate. Magnetic properties will be studied in the newly constructed Spin-Polarized Metastable He (SMPH) facility. Spin-ordering in NiO, MnO and CoO surfaces will be investigated. Other systems to be studied include rocksalt 3d monoxides such as NiO, cubic perovskites such as  $KMnF_3$ , corundum 3d oxides such as  $V_2O_5$ , quasi 2D tetragonal fluorates and oxides, and the rutile fluorites. Studies of the structural and dynamical evolution of the growth of ultra-thin film will be continued.

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**BRANDEIS UNIVERSITY**  
 Waltham, MA 02254
 

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**260. ORDERING IN CRYSTALLINE AND QUASICRYSTALLINE ALLOYS: AN ATOMISTIC APPROACH**

 B. Chakraborty, Department of Physics  
 (617) 736-2835 01-1 \$0

Theoretical effort based on Effective Medium Theory (EMT). Study of phase stability and kinetics of ordering in crystalline and quasicrystalline alloys. Comparison with the KKR-CPA approach. Applied to Cu-Au alloys and intermetallics exhibiting quasicrystalline order.

**261. ELECTRIC FIELD-INDUCED INTERACTIONS IN COLLOIDAL SUSPENSIONS AND THE STRUCTURE OF ELECTORHEOLOGICAL FLUIDS**

 S. Fraden, Department of Physics  
 (617) 736-2835 01-3 \$50,000

Electric field-induced interactions between colloidal particles and structure of electro-rheological fluids, spatial organization of colloids in external electric fields and shear flows. Neutral colloids in insulating solvents, silica spheres in organic solvents such as chloroform; charged colloids in conducting solvents, polystyrene spheres in aqueous suspensions. Colloidal interaction and structure in electric field and no shear flow; effects of field strength and frequency; field-induced interparticle potential; test of model of electro-hydrodynamic stability; liquid-to-crystal phase transitions as function field strength and particle concentration; electric field-induced ordering of concentrated colloidal suspensions. Structure of colloids in shear flow in absence of electric fields; simultaneous direct visualization and light scattering. Structure of colloids in combined shear flow and electric fields.

**262. ULTRA HIGH RESOLUTION ARP SPECTROMETER SYSTEM FOR NSLS UNDULATOR BEAMLINE U13**

 E. S. Jensen, Physics Department  
 (617) 736-2835 02-2 \$300,000

Development of an ultra-high resolution angle-resolved photoemission spectrometer for use on Beamline U13 at the National Synchrotron Light Source. The system is expected to provide a few times  $10_{13}$  photon/sec into a 10 meV bandwidth and a spot of 100 microns in diameter (or less) in the 5-30 eV photon energy range. The beamline should be capable of an ultimate resolution of

possible with this less than 1 meV. Experiments made instrumentation include hole decay at simple surfaces, electronic structure of low dimensional inorganic and organic conductors, quantum well states in thin films, and metal-insulator transitions in ultra thin layers.

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**BROWN UNIVERSITY**  
 Providence, RI 02912
 

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**263. IN-SITU TRANSMISSION ELECTRON MICROSCOPY INVESTIGATION OF SINTERING AND RELATED PHENOMENA IN CERAMIC PARTICLES**

 J. Rankin, Department of Engineering  
 (401) 863-2637 01-1 \$0

In-situ TEM study of sintering and related phenomena in ceramic oxides. Sintering of nanosized single-crystal cubes of MgO for the determination of neck stability and coalescence criteria. Sintering of constrained and unconstrained systems for the study of particle reorientation during heating. Chemical reactions during sintering and the role of chemical modification for the suppression of grain growth. In-situ observations of the growth of atoms or clusters on ceramic surfaces.

**264. GRAIN BOUNDARY STRUCTURE, SEGREGATION, AND SLIDING**

 C. Briant, Division of Engineering  
 (401) 863-2626 01-2 \$120,000

Experimental and modeling study of grain boundary structure, segregation and sliding in Al. Tilt bicrystals prepared via Bridgman technique. Experimental studies using orientation imaging microscopy and HRTEM. Tilt boundaries in Al examined using embedded atom modeling in conjunction with high-resolution image simulation. Structural evolution in Cu-Ni and Cu-Bi as a function of segregant concentration for high angle, low coincidence structures using Monte Carlo simulations. Auger spectroscopy and EELS. Static energy and embedded atom modeling and HRTEM examination of grain boundary sliding in Al and Cu-Bi, Cu-Ni systems.

**265. SURFACES AND THIN FILMS STUDIED BY PICOSECOND ULTRASONICS**

 H. J. Maris, Department of Physics  
 (401) 863-2185

 J. Tauc, Division of Engineering and Physics  
 (401) 863-2318 02-2 \$144,000

Thin films, interfaces, and superlattices investigated using very high frequency (10-700GHz) ultrasonics. The ultrasound is produced by short (< 1 picosecond) laser pulses impinging on a thin metallic layer. Fundamental studies of lattice dynamics, relaxation phenomena, absorption phenomena, and diffusion phenomena both in bulk and at interfaces.

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**UNIVERSITY OF CALIFORNIA AT IRVINE**

Irvine, CA 92717

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**266. MECHANISMS OF HIGH TEMPERATURE CRACK GROWTH UNDER MIXED-MODE LOADING CONDITIONS**

J. C. Earthman, Department of Chemical and Biochemical Engineering  
(714) 856-5018

F. A. Mohamad, Department of Mechanical Engineering  
(714) 856-5807 01-2 \$80,851

Mechanisms of high temperature crack growth under different multiaxial stress states in 304 SS and TiAl. Examination of cavity density, cavity distribution, cavitating grain boundary facet size and orientation, and rupture surface topography for three states of stress. Evaluation of effect of multiaxial stresses on the role of intergranular particles. Analysis of crack tip stress states using finite element techniques.

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**267. THEORETICAL STUDIES OF ELECTRON SCATTERING SPECTROSCOPIES OF MAGNETIC SURFACES AND ULTRA THIN FILMS**

D. L. Mills, Department of Physics  
(714) 824-5148 02-3 \$94,000

Theory of the inelastic scattering of electrons, ions, and neutral atoms from elementary excitations at surfaces, and the development of theoretical descriptions of these excitations. Emphasis on electron energy loss from surface phonons at both clean and adsorbate-covered surfaces. Studies of spin-flip scattering of low energy electrons from magnetic excitations at surfaces, and excitation of surface phonons by helium atoms. Strong emphasis on the quantitative comparison between the results of this program and experimental data. Tightly coupled effort between Professor Mills and Professor Tong at the University of Wisconsin at Milwaukee.

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**268. OPTICAL SPECTROSCOPY AND SCANNING TUNNELING MICROSCOPY STUDIES OF MOLECULAR ADSORBATES AND ANISOTROPIC ULTRATHIN FILMS**

J.C. Hemminger, Department of Chemistry  
(714) 824-6020 03-2 \$50,000 (4 months)

Temperature dependant scanning tunnelling microscopy will be used in conjunction with both optical probes (e.g., raman spectroscopy and laser-induced thermal desorption spectroscopy) and

conventional UHV analytical techniques (e.g., Auger electron spectroscopy, X-ray photoemission spectroscopy and low energy electron diffraction) to investigate anisotropic thin films of organic molecules. Emphasis will be placed on controlling the preparation of such films. The effects of substrate structure (e.g., surface steps) on adsorbate orientation will be of particular interest.

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**UNIVERSITY OF CALIFORNIA AT LOS ANGELES**

6532 Boelter Hall  
Los Angeles, CA 90025-1595

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**269. EVOLUTION OF GAMMA' (Ni<sub>3</sub>X) PRECIPITATION KINETICS, MORPHOLOGY AND SPATIAL CORRELATIONS IN NI-BASE ALLOYS AGED UNDER EXTERNALLY APPLIED STRESS**

A.J. Ardell, Department of Materials Science and Engineering  
(310) 825-7011 01-2 \$112,000

Kinetics studies of coarsening of gamma' precipitates Ni<sub>3</sub>X (X is solute atom) during aging under stress and hydrostatic pressure. The formation of these stress induced precipitates is key to the toughening of the nickel based superalloys.

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**270. UNIVERSAL RELATION BETWEEN LONGITUDINAL AND TRANSVERSE CONDUCTIVITIES AND VARIATIONAL ANALYSIS OF WIGNER CRYSTAL STATES IN QUANTUM HALL EFFECT**

S. Feng, Physics Department  
(310) 825-8530 02-3 \$57,000

The relation of the Hall conductance  $\sigma_{xy}$  and the longitudinal conductance  $\sigma_{xx}$  in the inter-plateau region will be investigated. The usual assumption that all dynamic effects occur at the edges of the material will be checked by using a model that incorporates electron-electron interactions. The importance of residual effects in the bulk of the material will be investigated.



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**UNIVERSITY OF CALIFORNIA AT SAN DIEGO**

La Jolla, CA 92093-0319

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**271. GROWTH INDUCED MAGNETIC ANISOTROPY IN AMORPHOUS THIN FILMS**

F. Hellman, Department of Physics  
(619) 534-5533 02-2 \$85,000

The relationships among macroscopic magnetic anisotropy, structural anisotropy and the vapor deposition growth process in amorphous thin films of rare earth transition metal alloys is being examined. Experimental observations are compared to models describing the effects of growth parameters on film properties. Magnetic, thermodynamic and structural measurements are used to determine magnetic phase diagrams and to test theoretical predictions for random magnetic materials in the presence of controlled macroscopic anisotropy.

**272. SUPERCONDUCTIVITY AND MAGNETISM IN D- AND F-ELECTRON MATERIALS**

M. B. Maple, Department of Physics  
(619) 534-3968 02-2 \$317,500

Research on superconductivity, magnetism, and the mutual interaction of these two phenomena in d- and f-electron materials will be carried out. The emphasis of the research will be on: 1) high  $T_c$  copper oxide superconductors and other novel superconducting materials such as the recently discovered lanthanide-transition metal-boride-carbide superconductors, and 2) investigation of the anisotropic normal and superconducting state properties of these materials as a function of doping, oxygen vacancy concentration, pressure and magnetic field. The goals are to elucidate the type of electron pairing involved in the superconductivity, to characterize the properties important to technological applications, and to explore new methods of fabricating high  $T_c$  superconducting composites.

**273. PREPARATION AND CHARACTERIZATION OF SUPERLATTICES**

I. K. Schuller, Department of Physics  
(619) 534-2540 02-2 \$100,000

Preparation and characterization, both during and after growth, of novel microstructures and exploration of novel physical properties produced by new geometries. A combined thin film and electron beam lithography technique is used to prepare model systems which allow the

development of new characterization techniques, or to prepare new systems with interesting physical properties. Model systems include samples such as superlattices, vicinally cut crystals, narrow lines and dots in a variety of configurations. New microstructures are combined to prepare and investigate new properties such as exchange bias in ferromagnetic-antiferromagnetic bilayers, magnetic coercivity in single and multilayered films, and magnetic dots.

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**UNIVERSITY OF CALIFORNIA AT SANTA BARBARA**

Santa Barbara, CA 93106

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**274. THEORIES OF PATTERN FORMATION AND NONEQUILIBRIUM PHENOMENA IN MATERIALS**

J. S. Langer, Department of Physics  
(805) 893-2280 02-3 \$109,000

Theoretical investigations of phenomena that occur in systems far from thermodynamic or mechanical equilibrium; dynamic fracture; kinetics of phase separation. Dynamic stability analyses; mechanics of the cohesive (or "process") zone; effects of dissipative mechanisms; physical origin of stresses that resist crack bending; brittle solids; nonlinear processes. Effects of shear on phase separation in multicomponent viscous fluids; theory of localized failure; comparative stability of competing precipitation patterns; coarsening mechanisms.

**275. NUMERICAL SIMULATION OF QUANTUM MANY-BODY SYSTEMS**

D. J. Scalapino, Department of Physics  
(805) 893-2871

R. T. Sugar, Department of Physics  
(805) 893-3469 02-3 \$80,000

Development of stochastic numerical techniques for simulating many-body systems containing particles that obey Fermi statistics, and application of these techniques to problems of strongly interacting fermions. One-dimensional and quasi-one-dimensional systems, arrays of these and extensions to higher dimensions. Investigations with various electron-phonon interactions to further the fundamental understanding of conducting polymers, spin glasses, pseudo-random spin systems such as CeNiF. Non-phonon pairing models (e.g., excitonic, and frequency dependent transport to test the validity of theoretical approximations. Investigations of many-fermion systems in two and higher dimensions.

**276. MOLECULAR PROPERTIES OF THIN ORGANIC/INTERFACIAL FILMS**

J. Israelachvili, Department of Chemical and Nuclear Engineering  
(805) 893-3412 03-1 \$120,000 (8 months)

Fundamental measurements of structural, adhesive and tribological properties of thin organic films on solid surfaces. Film deposition by Langmuir-Blodgett method. Measurements emphasize the use of a Surface Forces Apparatus (SFA) for measuring directly the forces acting between solid surfaces as a function of separation with a distance resolution of 0.1nm. Adhesion and surface energy of metals coated with surfactant and polymer films are measured by SFA in both gaseous and liquid environments. New measurements of dynamic forces acting on two laterally moving surfaces, recording the normal (compressive) and tangential (frictional) forces while simultaneously monitoring the plastic deformation.

**UNIVERSITY OF CALIFORNIA AT SANTA CRUZ**

1156 High Street  
Santa Cruz, CA 95064

**277. STATICS AND DYNAMICS IN SYSTEMS WITH FRUSTRATION AND/OR RANDOMNESS**

D. Belanger, Department of Physics  
(408) 459-2871 02-1 \$90,000

Neutron scattering, optical birefringence, Faraday rotation, and pulsed specific heat techniques will be applied to magnetic systems with frustrated interactions and/or random interactions. Epitaxial films are used to complement bulk samples: Thin films are used in neutron scattering to avoid extinction effects. Epitaxial multilayers are used to explore crossover from three dimensional to two dimensional behavior. Materials studied include  $\text{Fe}_x\text{Zn}_{1-x}\text{F}_2$ ,  $\text{Mn}_x\text{Zn}_{1-x}\text{F}_2$ ,  $\text{Rb}_2\text{Co}_x\text{Mg}_{1-x}\text{F}_4$ ,  $\text{FeBr}_2$ ,  $\text{UNi}_4\text{B}$ .

**CALIFORNIA INSTITUTE OF TECHNOLOGY**

138-78  
Pasadena, CA 91125

**278. METASTABLE ALLOY STRUCTURES AND PROPERTIES**

W. L. Johnson, Department of Material Science  
(818) 395-4433 01-1 \$397,010

Development of alloy compositions which favor formation of bulk metallic glasses, and the design and construction of new equipment for the production of high quality bulk metallic glass samples. Thermodynamic and kinetic studies of these new materials using a combination of various processing methods (levitation melting, etc.) together with calorimetry studies and microstructural analysis. Work focuses on the thermodynamic functions such as heat capacity and on studies on atomic diffusion, viscosity, and the glass transition. Mechanical property studies include measurements of elastic constants, studies of the dynamic deformation behavior using such methods as Hopkinson bar tests. Techniques include electron microscopy, X-ray diffraction, small angle X-ray diffraction, and neutron and electron diffraction. Molecular dynamic methods will be used to carry out atomistic simulations of the properties of metallic glasses.

**279. CALORIMETRY AND INELASTIC SCATTERING STUDIES OF THE VIBRATIONAL ENTROPY OF ALLOY PHASES**

B. Fultz, Materials Science Department  
(818) 395-2170 01-3 \$99,872

Measurement of vibrational entropy differences between different alloy phases by precision cryogenic differential calorimetry. Alloys of interest include  $\text{DO}_3$ ;  $\text{Fe}_3\text{Si}$ ,  $\text{Fe}_3\text{Ge}$ , and  $\text{Li}_2$ ;  $\text{Au}_3\text{Cu}$ ,  $\text{Cu}_3\text{Au}$ , for unmixed alloys such as bcc  $\text{Fe-50\%Cr}$ , bcc  $\text{Fe-25\%Sn}$ , bcc  $\text{Fe-20\%Cu}$ , bcc and fcc  $\text{Fe-50\%Cu}$ , fcc  $\text{Ag-50\%Cu}$ . Phonon density of state measurements using neutrons and synchrotron radiation. Incoherent inelastic neutron scattering measurements on different phases of alloys of V, Co, and Ni. A new technique based on inelastic nuclear resonant gamma-ray scattering will be used for measuring the phonon partial density of states of  $57\text{Fe}$  atoms.

**280. IRRADIATION INDUCED PHASE TRANSFORMATIONS**

H. A. Atwater, Department of Applied Physics  
(818) 356-2197 01-4 \$0

Investigation of irradiation induced phase transformations in semiconductor thin films. Role of irradiation in microstructural evolution of very small semiconductor crystals near the critical size for crystal stability. In-situ microscopy of Ge using HVEM-Tandem facility at Argonne National Laboratory to measure crystal nucleation rate, rate of amorphous-crystal interface motion, and incubation time before the onset of crystal nucleation. Crystal

evolution and optical properties of Ge and Si nanocrystals prepared by precipitation of ion-implanted supersaturated solid solutions in an amorphous SiO<sub>2</sub> matrix. Effect of hydrogen reduction in Ge-Si oxides. Optical and transport studies of nanocrystals including absorption spectra and photoluminescence lifetime as a function of temperature.

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**CARNEGIE MELLON UNIVERSITY**  
5000 Forbes Avenue  
Pittsburgh, PA 15213

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**281. MICROSTRUCTURAL EVOLUTION IN ELASTICALLY STRESSED SYSTEMS**

W. C. Johnson, Department of Materials Science and Engineering  
(412) 268-8785

T. M. Pollock, Department of Materials Science and Engineering  
(412) 268-8785 01-1 \$0 (0 months)

Theoretical and computer simulation of microstructural evolution of two-phase systems under stress. The influence of misfit strains and external stress on precipitate shape, size and distribution. Computer simulation predicting particle alignment, inverse coarsening and rafting during Ostwald ripening.

**282. THE ROLE OF MICROSTRUCTURAL PHENOMENA IN MAGNETIC MATERIALS**

D. E. Laughlin, Department of Materials Science and Engineering  
(412) 268-2706

N. Lambeth, Department of Materials Science and Engineering  
(412) 268-3674 01-1 \$83,446

Effects of microstructure of thin magnetic films on extrinsic magnetic properties. Systematic variation of important microstructural features, such as grain size and crystallographic texture, by control of variables used during processing. Interrelationship of microstructure, magnetic domain structure and extrinsic magnetic properties of magnetic thin films.

**283. INTERFACIAL ENERGY AND ITS CONTROL BY SEGREGATION PHENOMENA AT METAL/METAL AND METAL/CERAMIC INTERFACES**

P. Wynblatt, Department of Materials Science and Engineering  
(412) 268-8711 01-1 \$94,931

A fundamental understanding of interphase boundary segregation and its effects on interfacial energy are of great importance in a number of scientific and technological areas. The proposed research intends to extend previous knowledge gained on the behavior of interphase boundaries in alloy systems to metal/non-metal interfaces. Interfacial energy, and its control by means of segregation phenomena, at copper/graphite interfaces will be studied through the addition of nickel, and at copper/silicon carbide interfaces by silicon additions. These systems have been selected so as to avoid the possibility of interfacial reactions which could lead to the formation of brittle reaction products. In addition, earlier results of the effects of Au segregation on Pb/Cu interfacial energy will be used demonstrate a possible means of controlling coarsening rates in two-phase alloys.

**284. MECHANISMS OF DEFORMATION IN B2 ALUMINIDES**

T.M. Pollock, Department of Materials Science and Engineering  
(412) 268-2973 01-2 \$106,146

Study of the fundamental deformation mechanisms in three B2 aluminide systems; NiAl, FeAl and RuAl. Measurement of strain rate sensitivities, activation volumes and activation energies. Dislocation dynamics at low temperature; compression experiments; effect of solute additions; TEM observations of dislocation microstructures for mechanical property correlations.

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**CASE WESTERN RESERVE UNIVERSITY**  
10900 Euclid Avenue  
Cleveland, OH 44106

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**285. DISLOCATIONS AND POLYTYPIC TRANSFORMATIONS IN SiC**

P. Pirouz, Department of Materials Science and Engineering  
(216) 368-6486 01-1 \$126,603

Experimental and theoretical study of mechanisms for polytypic transformations of  $\alpha$ -SiC. Compressive deformation of 6H SiC single crystals at temperatures up to 1700°, inert atmospheres and nitrogen environments; TEM observation of deformation modes and polytype development. Annealing experiments on 6H-SiC single crystals and 3C-SiC films in inert gas and nitrogen environments; effects of dislocations introduced by surface scratches investigated; TEM determination of polytype development. Determination of the presence of

residual dislocations on cross-slip planes following polytypic transformation; thick sections examined by HVEM. Theoretical analysis of formation of Frank-Read dislocation loops and cross-slip of dissociated screw dislocations, effects of stress and temperature; quantitative analysis of mechanism of cross-slip; determination of the activation energy for the motion of partial dislocations.

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**UNIVERSITY OF CHICAGO**  
5640 Ellis Avenue  
Chicago, IL 60637

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**286. HIGH-TEMPERATURE  
THERMOCHEMISTRY  
OF TRANSITION METAL BORIDES,  
SILICIDES AND RELATED COMPOUNDS**  
O. J. Kleppa, The James Franck Institute  
(312) 702-7198 01-3 \$83,220

Studies of the enthalpies of formation of the lanthanum borides, lanthanum silicides, and lanthanum germanides. The investigations based on the application of direct synthesis calorimetry and will also include studies of europium and ytterbium lanthanides. Direct synthesis of  $\text{LnB}_4$  will be examined and thermochemical studies of  $\text{LnB}_6$  will be made with solute-solvent drop calorimetry.

**287. CHEMMATCARS: A SYNCHROTRON  
RESOURCE FOR CHEMISTRY AND  
MATERIALS RESEARCH AT THE  
ADVANCED PHOTON SOURCE**  
S. Rice, Department of Chemistry  
(312) 702-8669 03-2 \$350,000

Build and operate an undulator beamline at the Advanced Photon Source to perform structural and dynamic studies on liquid and polymer surfaces; very Small Angle X-ray Scattering and Wide Angle X-ray Diffraction in polymers, Buckminsterfullerenes and other large feature systems; and time resolved element-and valence-specific single crystal scattering. This is an interagency cooperative funding with the National Science Foundation of the ChemMatCARS Collaborative Access Team.

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**UNIVERSITY OF CINCINNATI**  
P.O. Box 210012  
Cincinnati, OH 45221-0012

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**288. ROLE OF INTERFACIAL PROPERTIES ON  
THE MATRIX CRACKING AND CREEP  
BEHAVIORS IN CERAMIC-MATRIX COMPOSITES**  
R. N. Singh, Department of Materials Science  
and Engineering  
(513) 556-5172 01-5 \$94,000

Mechanical properties of fiber-reinforced ceramic composites at elevated temperatures. Matrix cracking and creep behaviors of composites. Analytical models of mechanical response to composites. Fabrication of composites with tailored microstructure, flaw size, fiber architecture, and interfacial properties. Role of interfacial properties and flaw size on the first-matrix cracking and creep behaviors at elevated temperatures.

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**CITY UNIVERSITY OF NEW YORK (LEHMAN  
COLLEGE)**  
250 Bedford Park Blvd W.  
Bronx, NY 10468-1589

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**289. STATICS AND DYNAMICS OF THE MAGNETIC  
FLUX IN HIGH TEMPERATURE  
SUPERCONDUCTORS**  
E. M. Chudnovsky, Department of Physics  
and Astronomy  
(718) 960-8770 02-3 \$40,000

Theoretical investigation of the static and dynamic behavior of magnetic flux lines in high temperature superconductors. Static behavior interpreted via a comprehensive theory of a Hexatic Vortex Glass to represent the vortex lattice of the flux lines, and use of numerical simulations to study the vortex lattice with extended orientational order but only limited translational order. Investigation of the dynamics of magnetic relaxation in two-dimensional, layered superconductors and its relationship to recent experimental results. Attention given to the high temperature depinning of vortices due to their annihilation with antivortices, with tests to determine if this effect is responsible for the irreversibility line in high temperature superconductors. Study of quantum tunneling of vortices through pinning barriers, and their diffusion due to quantum unbinding of vortex pairs.

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**CITY UNIVERSITY OF NEW YORK AT  
CITY COLLEGE**

Convent Ave. & 138th St.  
New York, NY 10031

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**290. NONLINEAR DYNAMICS AND PATTERN  
SELECTION AT THE CRYSTAL - MELT  
INTERFACE**

H. Z. Cummins, Department of Physics  
(212) 650-6921 02-2 \$88,000

Dynamics and pattern formation at the crystal-melt interface during solidification. Focus on growth of fluctuations, dendritic growth, effect of perturbations on dendritic sidebranching. Particular interest in the instability to the spacial period doubling occurring when the wavelength of a periodic modulation is too short. Initial transient conditions are studied rather than steady state demonstrating history effects. Investigations conducted utilizing light scattering, videomicroscopy, and fluorescence techniques. Laser pulses are used to produce either local or sinusoidal thermal perturbations on the crystal growth process.

**291. TRANSPORT STUDIES IN HEAVILY DOPED  
SEMICONDUCTORS**

M. P. Sarachik, Department of Physics  
(212) 650-5618 02-2 \$88,700

Investigation of the properties of doped semiconductors which undergo a transition from insulating to metallic behavior with increasing dopant concentration. Examination of the role of disorder and correlations on the transition. Uniaxial stress and dopant concentration will be used to tune materials through the transition. Determination of the effect of spin-orbit scattering, spin-flip scattering, magnetic field, quantum interference phenomena, and Coulomb correlations and exchange. Experiments will include measurements of resistivity, Hall coefficient and dielectric constant of CdSe:In and of Si:B, Si:P.

**292. OPTICAL INTERACTIONS IN  
MICROSTRUCTURES**

M. Lax, Department of Physics  
(212) 650-6864 02-3 \$40,000

Theoretical investigations of electrons confined by a potential barrier in two dimensions interacting with freely propagating phonons. Time dependent transport effects in the femto-second regime. Inelastic tunneling through barriers, including screening and 3-D effects. Ultra fast relaxation of photo-excited electrons study of the phonon mediated nonlinear optical response of quasi 2-D

polymeric systems including homogeneously and inhomogeneously broadened systems. Study of the influence of semiconductor laser design on information transmission. Investigation of the ability to use scattered light to detect the presence of encapsulated aerosols. This work involves decision theory, and both inverse scattering and pattern recognition problems.

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**CLEMSON UNIVERSITY**

Clemson, SC 29634

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**293. CHARACTERIZATION AND THERMOPHYSICAL  
PROPERTIES OF BI-BASED CERAMIC  
SUPERCONDUCTORS, PART B**

M.V. Nevitt, Department of Physics and Astronomy  
(803) 656-5323 01-3 \$173,992

The measurement of the heat capacity of YBCO and BiSCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligrain specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon-interaction.

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**COLORADO SCHOOL OF MINES**

1500 Illinois St.  
Golden, CO 80401

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**294. A NEW APPROACH TO VIBRATIONAL  
SPECTROSCOPY FOR THE  
CHARACTERIZATION OF BURIED INTERFACES**

T.E. Furtak, Physics Department  
(303) 273-3843 01-5 \$150,000 (10 months)

The mechanism of surface vibrational sum-frequency generation will be studied for the electrochemical environment in stages. The sum-frequency generation will first be studied for metals under controlled conditions; then the vibrational sum-frequency generation from adsorbates on the metal surfaces will be examined. In parallel with the technique development, application will be made to the study of the ammonia fuel cell reaction. Identification of adsorbed intermediates will enable the determination between competing models for the mechanism of the oxidation. Thereafter, surface effects and alloy catalysts will be studied.

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**295. FRACTURE AND DEFORMATION OF CERAMIC/METAL JOINTS WITH GRADED MICROSTRUCTURES**

I. E. Reimanis, Department of Metallurgical and Materials Engineering  
(303) 273-3549 01-5 \$100,000

The joining of dissimilar materials, specifically in metal/ceramic joints is addressed for the case of graded microstructures. The goals include the experimental development of failure criteria for graded joints and advances in modeling and understanding of graded microstructures.

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**COLUMBIA UNIVERSITY**

1106 Mudd Building  
New York, NY 10027

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**296. ISOTHERMAL NUCLEATION KINETICS OF SOLIDS IN SUPERCOOLED LIQUID SI**

J.S. Im, Department of Metallurgy and Materials Science  
(212) 854-8341 01-3 \$0

Experimental verification of Classical Nucleation Theory; laser melting and quenching, thin Si films on SiO<sub>2</sub>, photolithography-isolated and SiO<sub>2</sub> encapsulation of Si films, supercooling, in-situ detection of liquid-to-solid transformation via reflectivity measurements; isothermal nucleation and nucleation rates; tests of Turnbull's empirical generalization of proportionality between surface energy and heat of fusion and of Spaepen's theoretical prediction of temperature dependence of the liquid-solid interfacial energy.

**297. PROTONS AND LATTICE DEFECTS IN PEROVSKITE-RELATED OXIDES**

A. S. Nowick, Henry Krumb School of Mines  
(212) 854-2921 01-3 \$124,282

Defect chemistry of pure and doped perovskite-related oxides that include KTaO<sub>3</sub>, BaCeO<sub>3</sub>, SrZrO<sub>3</sub>, and mixed order/disorder type perovskites. Utilization of internal friction, EPR and IR techniques, in addition to electrical conductivity and dielectric relaxation measurements. Computer simulation techniques to study and predict defect-dopant behavior. Study of the Jonscher "universal" relaxation effect in simple ionic materials over a wide temperature range.

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**CORNELL UNIVERSITY**

120 Day Hall  
Ithaca, NY 14853-2501

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**298. DISORDER AND NONLINEARITY IN MATERIAL SCIENCE: MARTENSITIC, CRACKS AND HYSTERESIS**

J. A. Krumhansl, Department of Physics  
(607) 255-5132

J. P. Sethna, Department of Physics  
(607) 255-2704 01-1 \$146,793

Development of a general theoretical framework for analyzing displacive changes and application to a few selected martensitic transformations. Physics of transformation, mesostructure, and cracking by large lattice distortion. "Tweed" precursor textures in martensitic materials several hundred degrees above their bulk transformation temperatures. Continuum theory for brittle crack growth in three dimensions. Broad search for giant elastic softening, glassy low temperature properties, and nucleation and nucleation dynamics.

**299. EXPERIMENTAL STUDIES OF THE STRUCTURE, CHEMISTRY, AND BONDING AT GRAIN BOUNDARIES**

S. L. Sass, Department of Materials Science and Engineering  
(607) 255-5239 01-1 \$77,456 (9 months)

Investigation of the structure and chemistry of grain boundaries in Ni<sub>3</sub>Al and NiAl in the presence and absence of boron. Influence of solute-induced changes in the structure of grain boundaries on their mechanical properties. Study of the possibility of control of mechanical properties of ceramic grain boundaries. Techniques include transmission electron microscopy, Auger electron spectroscopy, electron diffraction, and X-ray diffraction techniques.

**300. SURFACE PHASES, SURFACE DEFECTS AND INITIAL STAGES OF OXIDATION**

J. M. Blakely, Department of Materials Sciences and Engineering  
(607) 255-5149 01-3 \$99,049

Determination of phase diagrams for binary 2-dimensional adsorbed systems, such as S + O, on transition metals and effect of adsorbed phases on growth and morphological stability of oxide layers on these materials. Determination of long range order and transitions in the adsorbate phases by LEED and surface X-ray diffraction. Composition and bonding information from Auger and photoemission spectroscopies. Spectroscopic

ellipsometry for oxide thickness determination and scanning tunneling microscopy for the study of surface phase morphology, interphase boundaries, and heterogeneous oxide-adsorbate surfaces.

### 301. DEFECTS AND TRANSPORT IN MIXED OXIDES

R. Dieckmann, Department of Materials Science and Engineering  
(607) 255-4315 01-3 \$104,191

Systematic thermogravimetric study of magnetite based spinel solid solutions,  $(\text{Ti}_x\text{Fe}_{1-x})_{3-\delta}\text{O}_4$  and  $(\text{Cr}_x\text{Fe}_{1-x})_{3-\delta}\text{O}_4$ , to determine defect concentration. Thermogravimetric work on the influence of boundaries on the oxygen content of polycrystalline, nonstoichiometric oxides. Radioactive cation tracer diffusion work on  $(\text{Fe,Ti})_{3-\delta}\text{O}_4$  and  $(\text{Fe,Cr})_{3-\delta}\text{O}_4$ . Electrical conductivity studies of ternary and quaternary systems, beginning with the system Co-Fe-Mn-O, to understand influence of space charges on observed electrical conductivity minimum.

### 302. QUASICRYSTALS GEOMETRICS AND ENERGIES

C. L. Henley, Laboratory of Atomic and Solid State Physics  
(607) 255-5056 02-3 \$60,450

The understanding of energetics governing the structure of quasicrystalline materials from the microscopic level (effective interatomic potentials), to the tiling level (Hamiltonian for energy differences among tilings) and on to the macroscopic level (elastic theory). Particular emphasis placed on the  $\text{Si-Al-Cu-Li}$  family of quasicrystals and also on hypothetical boron-based structures. Investigation of geometrical properties of models, particularly as defined by various models. Development of computational methods for random-tiling simulations. Study (rigorously) how minimizing a tile Hamiltonian or optimizing sphere packing can enforce a ground state built from larger tiles.

### 303. CLUSTER AND LAYERED CHALCOGENIDES

F. J. DiSalvo, Department of Chemistry  
(607) 255-7238 03-1 \$121,667 (10 months)

Synthesis of new cluster compounds, Chevrel phases, containing the metals, Nb, Ta, Mo, W and Re. Compounds are usually halides, chalcogenides, oxides or pnictides. Examination of solid state synthesis and properties of new metal cluster chalcogenide phases to be emphasized. Synthesis to exploit some of the known solution chemistry of halide compounds to obtain novel kinds of compounds. Properties such as: superionic conductivity, very high superconducting

magnetic behavior and thermally induced valence transitions of post-transition elements to be determined. Study of  $\text{Mo}_3\text{X}_3$  infinite chain clusters and polymer blends of these inorganic polymers with organic polymers. Synthesis of complexes of  $\text{Nb}_6\text{I}_8$  with bifunctional ligands or with square planar metal organic or coordination complexes. Characterization by X-ray diffraction, Faraday balance for magnetic measurements, four probe resistance for conductivity, Hall effect, and magneto-resistance measurements.

### DARTMOUTH COLLEGE Hanover, NH 03755

### 304. THE YIELD STRENGTH ANOMALY AND ENVIRONMENTAL EFFECT IN FeAl

I. Baker, Thayer School of Engineering  
(603) 646-2184 01-2 \$137,160

Mechanical behavior of B2 intermetallics, particularly FeAl. Relationship between grain boundary structure/chemistry and the occurrence of intergranular fracture of FeAl and NiAl. Grain boundary characterization using AES and EDS/EELS on a FEG STEM. Compositional and temperature dependence of the flow and fracture of FeAl. Effect of boron on the strength of FeAl as a function of aluminum concentration and temperature. Effects of grain size and of boron on the strain-rate sensitivity of the room temperature fracture behavior.

### 305. ON THE NOTCH SENSITIVITY OF $\text{Ni}_3\text{Al}$ , PART II: THE ROLE OF A RANDOM SOLID SOLUTION, AND THE DEFORMATION OF NOTCHED BICRYSTALS

E. M. Schulson, Thayer School of Engineering  
(603) 646-2888 01-2 \$0 (0 months)

Intermetallic compounds; notch sensitivity and relationship to work hardening; B-doped Ni-rich  $\text{Ni}_3\text{Al}$ ,  $\text{Zr}_3\text{Al}$ ,  $\text{Ni}_3\text{Fe}$  and B-doped single crystals of  $\text{Ni}_3\text{Al}$ ; effects of triaxiality of stress state, strain rate, temperature, environment, prestrain and orientation of single crystals; near-notch tip deformation field through microhardness and through optical, transmission and scanning electron microscopy.

### 306. EXCITONS AND PLASMAS IN SEMICONDUCTING MICROSTRUCTURES

M. Sturge, Department of Physics  
(603) 646-2528 02-2 \$100,000

Spectroscopic investigations on three types of semiconductor systems: Type II indirect gap superlattices, strain confined quantum structures and partially ordered ternary semiconductors to improve the understanding of optically excited states of such structures. Time-resolved tunable laser spectroscopy, magneto-spectroscopy and spatially resolved

spectroscopy, with and without external perturbations such as magnetic field, electric field and uniaxial stress, will be employed as experimental tools.

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**UNIVERSITY OF DELAWARE**  
Newark, DE 19716

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**307. FUNDAMENTAL STUDIES OF NOVEL  
PERMANENT MAGNET MATERIALS**  
G. C. Hadjipanayis, Department of Physics  
(302) 831-2661 02-2 \$45,800

Research to advance the understanding of the magnetic rare earth-transition metal compounds and alloys that have high Curie temperatures and large magnetization. The materials studied usually are based on iron and light rare earths, and are generally ternary or higher order alloys with unusually complex, anisotropic structures. Investigated are Fe-rich phases which have been nitrogenated or carbonated to enhance their magnetic properties, new phases reached by intermediate metastable phases via melt spinning, materials produced by mechanical alloying in a high energy ball mill under inert atmosphere, and nanostructured and nanocomposite films made by sputtering techniques. Extensive characterization of the materials by comprehensive experiments which include X-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility,  $^{57}\text{Fe}$  Mossbauer, and photoemission. Spin-polarized, self-consistent electronic structure calculations performed to compare with the experimental results. Research performed in close collaboration with work at the University of Nebraska (Sellmyer).

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**FISS UNIVERSITY**  
Nashville, TN 37208

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**308. METAL COLLOIDS AND SEMICONDUCTOR  
QUANTUM DOTS: LINEAR AND  
NONLINEAR OPTICAL PROPERTIES**  
D.O. Henderson, Physics Department  
(615) 329-8622 01-3 \$101,670

Synthesis of nanocomposite materials consisting of metal colloids and semiconductor (II-IV and III-V) quantum dots by ion implantation. Laser and thermal post-processing to control and modify optical characteristics. Spectroscopic examination of optical properties and host-guest interactions. Size and morphology information of colloids via

TEM and AFM examination. Relationship between materials and fabrication routes on nonlinear optical response.

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**FLORIDA STATE UNIVERSITY**  
SCR/406A SCL B-186  
Tallahassee, FL 32306

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**309. THEORETICAL STUDIES OF MAGNETIC  
SYSTEMS**  
L. P. Gorkov  
(904) 644-1010

M. A. Novotny  
(904) 644-0848

J. R. Schrieffer  
(904) 644-2032 02-3 \$45,000

Theoretical investigation of many body effects in low dimensionality magnetic systems using a mixture of analytic and numerical techniques. Some specific physical systems investigated are: (1) thin ferromagnetic films separated by paramagnetic metals, (2) various type of defects in one- and two-dimensional antiferromagnetic systems, (3) ferrimagnetic materials in one and two dimensions, and (4) spin compensation and Kondo insulators. Techniques used in the investigations are diagrammatic expansions as well as non-perturbative methods, exact-diagonalization of matrices corresponding to small systems, quantum Monte Carlo calculations, and numerical transfer-matrix type calculations. Both zero-temperature and finite-temperature behavior of the physical systems are considered, and the theoretical results are compared with available experimental information.

**310. HEAVY FERMIONS AND OTHER HIGHLY  
CORRELATED ELECTRON SYSTEMS**  
P. U. Schlottmann, Department of Physics  
(904) 644-0055 02-3 \$55,850

Theoretical investigation of highly correlated fermion systems. The Bethe-ansatz is used to solve the orbitally degenerate Anderson impurity model with finite Coulomb repulsion. The dynamics of the n-channel Kondo problem is investigated within a  $1/n$  expansion. The thermodynamic Bethe-ansatz equations of the n-channel Kondo problem are solved numerically in a magnetic field. The low temperature and small field magnetoresistivity of heavy-fermion alloys is studied. The properties of the spin-one Heisenberg chain with anisotropies induced by crystal fields and the generalized t-J model in one and two dimensions are investigated.



**311. HE-ATOM SURFACE SCATTERING:  
SURFACE DYNAMICS OF INSULATORS,  
OVERLAYERS AND CRYSTAL GROWTH**

J. G. Skofronick, Department of Physics  
(904) 644-5497

S. A. Safron, Department of Chemistry  
(904) 644-5239 02-4 \$118,000

Application of high-resolution He-atom scattering to the investigation of surface dynamics of insulators, which include MgO, NiO, and MgF<sub>2</sub>, perovskites such as KTaO<sub>3</sub> and BaTiO<sub>3</sub>, and compounds with internal structure such as KCN and NH<sub>4</sub>C<sub>1</sub>. Studies of homo- and heteroepitaxial growth of oxides; e.g., NiO/NiO(001), NiO/Mg(001), and BiTiO<sub>3</sub> on KTaO<sub>3</sub>. Elastic and inelastic He scattering experiments for self-assembling monolayers of organic materials (alkane thiols) on the noble metals gold, silver and copper. Exploratory studies to develop a <sup>3</sup>He nozzle beam source which would be useful to perform elastic and inelastic scattering, and, if successful, subsequent use of <sup>3</sup>He beam to investigate the magnetic properties of various surfaces.

**UNIVERSITY OF FLORIDA**

Gainesville, FL 32611

**312. QUANTUM-CONFINEMENT EFFECTS AND  
OPTICAL BEHAVIOR OF  
SEMICONDUCTOR CLUSTERS IN GLASS**

J. H. Simmons, Department of Materials  
Science and Engineering  
(904) 392-6679

P. H. Kumar, Department of Physics  
(904) 392-6679 01-1 \$122,694

Studies performed on the quantum confinement effects and optical behavior of semiconductor clusters in glass. Preparation and study of CdTe, Si, Ge, GaAs, and GaN clusters in silica, titanium silicate, ITO(indium-tin-oxide), and several heavy metal oxides including In<sub>2</sub>O<sub>3</sub>, and a complex glass developed by the PbO-B<sub>2</sub>O<sub>3</sub>-Ga<sub>2</sub>O<sub>3</sub>-In<sub>2</sub>O<sub>3</sub>. Structural studies will include TEM and photoemission. Optical behavior to be afforded by absorption spectroscopy, Raman, transient absorption, and photoluminescence. Loading studies will determine the effect of tunneling. Carrier diffusion and conductivity to be determined as well as Hall Effect in conducting matrices. Studies of the nature and energy of quantum states which originate from bands other than at the gamma point.

**313. INSTRUMENTATION FOR THE MRCAT  
UNDULATOR BEAMLINE AT THE  
ADVANCED PHOTON SOURCE**

R. S. Duran, Department of Chemistry  
(352) 392-2011 02-2 \$366,135

Completion of an undulator beamline and associated experimental facilities at Sector 10 of the Advanced Photon Source by the Materials Research Collaborative Access Team (MR-CAT). Experiments involving high resolution in space, momentum and time-studies of catalysts, polymers, organic monolayers, liquid-solid and solid-solid interfaces, fuel cells, and thin liquid films.

**314. SCATTERING STUDIES OF ORDERING  
PROCESSES AND QUANTUM EXCITATIONS**

S. E. Nagler, Department of Physics  
(904) 392-8842 02-2 \$51,001

X-ray and neutron scattering are being used to investigate ordering processes and the microscopic structure of materials undergoing phase separation or ordering. Systems under investigation include the quantum binary alloy, solid <sup>3</sup>He/<sup>4</sup>He, undergoing phase separation and free-standing soap films undergoing a thinning process constituting an ordering mesoscopic system in a confined geometry.

**315. STUDIES OF HIGHLY CORRELATED ELECTRON  
MATERIALS: SUPERCONDUCTIVITY**

G. R. Stewart, Department of Physics  
(904) 392-9263/0521 02-2 \$90,000

Experimental investigations will be made on highly correlated electron "heavy fermion" materials to understand what parameters control the nature of the normal and/or superconducting ground state formed. Focus will be on further hydrogen doping; extending the scope of specific heat-resistivity correlations; fully characterizing ultra-pure UBe<sub>13</sub> samples already produced; superconductivity suppression due to ligand doping of UBe<sub>13</sub> with magnetic ions. Complementary cerium based work will be continued. Compounds will be obtained or prepared and characterized by X-ray and neutron diffraction, resistivity, dc and ac susceptibility, and specific heat (often with applied magnetic field) measurements.

**316. TIME-RESOLVED FAR-INFRARED EXPERIMENTS  
AT THE NSLS**

D. Tanner, Physics Department  
(904) 376-0614 02-2 \$345,318

A facility for time resolved infrared and far-infrared spectroscopy will be built on Beamline U12(IR) at the National Synchrotron Light Source. Time resolution is achieved through pump-probe techniques so that existing detection technologies can be utilized. This will enable studies of dynamics in semiconductors and superconductors and on surfaces.

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**GEORGIA INSTITUTE OF TECHNOLOGY**  
 Atlanta, GA 30332-0430
 

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**317. FIRST-PRINCIPLES STUDIES OF PHASE STABILITY AND THE STRUCTURAL AND DYNAMICAL PROPERTIES OF HYDROGEN-METAL SYSTEMS**

M. -Y. Chou, Department of Physics  
 (404) 894-4688 02-3 \$42,500

Problems to be investigated include: disorder-disorder, disorder-order and order-order phase transitions found in the temperature-composition diagrams; preferential interstitial sites of hydrogen in different metals, the change of optimal sites under hydrogen in different metals, the change of optimal sites under static pressure or uniaxial stress; the vibrational spectra, diffusion barrier and migration path of hydrogen in metals. Structural and electronic properties will be examined by total-energy calculations for a series of metal hydrides by the local-density-functional approximation and the pseudopotential method. Various hydrogen concentrations and configurations will use the supercell method. Within the framework of cluster expansions, the multibody interaction energies among hydrogen atoms as extracted from the total energies of related ordered structures are used to investigate the thermodynamic properties and phase diagrams by the cluster variational method.

**318. ASSESSING THE IMPACTS IN INDUSTRY OF BASIC RESEARCH SPONSORED BY THE OFFICE OF BASIC ENERGY SCIENCES AN "R&D VALUE MAPPING" APPROACH**

B. Bozeman  
 (404) 894-3196

D. Roessner  
 (404) 894-0093 03-3 \$125,617

The impact on industry of public investment in basic research is to be evaluated. A modified case study design, "R&D Value Mapping," will be employed seeking to identify the impacts and benefits that industry experiences subsequent to interacting with basic research projects supported by DOE's Office of Basic Energy Sciences. It is intended that these industry impacts be casually linked to a series of upstream factors that can be influenced by DOE program managers, such as project funding mix and choice of mechanism for industry interaction.

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**GEORGIA TECH RESEARCH CORPORATION**  
 Atlanta, GA 30332-0430
 

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**319. STRUCTURE AND DYNAMICS OF MATERIAL SURFACES, INTERPHASE-INTERFACES AND FINITE AGGREGATES**

U. Landman, School of Physics  
 (404) 894-3368 02-3 \$180,000

Numerical simulations/molecular dynamics investigations of the fundamental processes that determine the structure, transformations, growth, electronic properties and reactivity of materials and material surfaces. Focus on (1) surfaces, interfaces and interphase-interfaces under equilibrium and nonequilibrium conditions and (2) finite material aggregates. Modeling uses molecular dynamical and quantum mechanical path-integral numerical methods.

**320. EPITAXIAL PHENOMENA**

A. Zangwill, Department of Physics  
 (404) 894-7333 02-3 \$65,000

Investigate growth, structure and stability of epitaxial overlayers. Morphology of MBE and CVD films by use of continuum models. Long-term evolution of morphological instability. Epitaxial stabilization of metastable phases. Development of a general theory of structural phases and phase transitions in superlattices and multilayers. Time dependent pattern formation in cases where misfit locations are pinned at the epitaxial interface.

**321. THE ORGANIC CHEMISTRY OF CONDUCTING POLYMERS**

L. M. Tolbert, Department of Chemistry  
 (404) 894-4043 03-1 \$67,500

The phenomena of charge transport in conducting polymers, materials which are ordinarily insulators, is basically a problem in mechanistic organic chemistry. Fundamental studies in the mechanistic organic chemistry of conducting polymers are being conducted. Oligomers of defined length have been synthesized, and a comparison of their spectroscopic properties as they converge with those of the associated polymers is being carried out. This approach has allowed a validation of solid state theory. New alternating heteropolymers which have enhanced stability and processability, while retaining the desirable characteristics of more well-known polymers such as polythiophene, are being synthesized. This novel class of heteropolymers is characterized by strong charge-transfer characteristics and significantly smaller band gaps than the homopolymers.

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**HARVARD UNIVERSITY**29 Oxford Street  
Cambridge, MA 02138

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**322. CRYSTAL GROWTH KINETICS AT  
EXTREME DEVIATIONS FROM  
EQUILIBRIUM**M. J. Aziz, Division of Applied Science  
(617) 495-9884 01-1 \$79,055

Fundamental study of materials growth during Pulsed Laser Deposition. Ballistic aspects of impingement and deposition. Effects of surface relaxation, roughening, adsorption and incorporation.

**323. FUNDAMENTAL PROPERTIES OF LIGHT  
ATOMS AND SPIN-POLARIZED QUANTUM  
SYSTEMS**I. F. Silvera, Lyman Laboratory of Physics  
(617) 495-9075 02-2 \$149,999

Investigation of light bosonic atoms at low temperature and high density to observe the effects of quantum degeneracy in condensed systems of identical particles. Attempt to reach sufficient densities and low temperatures that these unusual gases will undergo Bose-Einstein condensation using either a hybrid trap consisting of a static magnetic trap and a microwave trap or the formation of a two-dimensional gas of spin polarized hydrogen on a helium surface in a magnetic field gradient. Investigation of helium at microkelvin temperatures by evaporation of helium films from low temperature surfaces to achieve extremely low temperatures and high densities so that quantum degenerate effects and the possible formation of extremely weakly bound helium dimers in equilibrium with the gas can be studied.

**324. SYNCHROTRON STUDIES OF LIQUID  
METAL SURFACES**P. S. Pershan, Division of Applied Sciences  
(617) 495-3214 03-3 \$89,728 (3 months)

Experimental study using glancing angle X-ray scattering to determine surface and near surface structure and density profiles. Pure liquid metals and alloys with melting temperatures no higher than lead (327C) will be examined in the initial phase. Ultra high vacuum equipment will be used to maintain clean surfaces. In addition, specular reflectivity of X-rays will be used to investigate the physical processes by which liquids deposit on solid surfaces.

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**UNIVERSITY OF HOUSTON**Houston, TX 77204-4792

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**325. THE ROLE OF CRACK FACE BRIDGING  
MECHANISMS IN THE FATIGUE RESISTANCE  
OF NON-TRANSFORMING CERAMICS**K.W. White, Department of Mechanical Engineering  
(713) 743-4526 01-2 \$95,000

Determination of microstructural role of crack face bridging mechanisms in non-transforming ceramics subjected to cyclic loading environments. Application of post-fracture tensile experiments to evaluation of the wake process zone resulting from static crack extension with the goal of obtaining improved resolution of the mechanistic constituents and the resolution of the separate components of the fracture process. Correlation of the components of the fracture process with the character of the microstructural constituents. Fatigue crack growth experiments to temperatures of 1200°C so as to permit the delineation of the thermoelastic contributions at the lower temperatures which may dominate the behavior at lower temperatures and other mechanisms involving interphases and interfaces which may dominate the behavior at higher temperatures.

**326. DIFFRACTION STUDIES OF THE STRUCTURE OF  
GLASSES AND LIQUIDS**S. C. Moss, Department of Physics  
(713) 743-3539 02-1 \$105,600

Operation of a dedicated glass and liquid neutron diffractometer (GLAD) at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory with collaborative support from Argonne personnel. Determination of the atomic structure of glasses and/or amorphous materials by the utilization of X-ray and neutron scattering methods closely coupled with model computer calculations. Examples of materials investigated are sputtered IrO<sub>2</sub> films, molten FeC<sub>1</sub>, amorphized silicon and its annealing behavior, and nanophases of zeolites and related molecular sieves. Some studies of laser light scattering from colloidal and polymeric systems.

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**HOWARD UNIVERSITY**Washington, DC 20059

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**327. A REAL-TIME X-ray DIFFRACTION STUDY OF  
KINETICS IN STRAINED OVERLAYERS**W. P. Lowe, Department of Physics and Astronomy  
(202) 806-4351 02-2 \$183,000

High intensity X-ray studies of the strain relief in overlayers and interfaces will be undertaken in order to understand the fundamental mechanism of strain relief

and to determine possible methods of preparing materials less subject to the detrimental effects of this strain relief. The work involves the preparation of strained overlayer samples, detailed time-resolved X-ray studies of the strain relief as a function of temperature, and other diagnostic measurements needed to compare the data with models such as the pin-slip model.

- 328. ENGINEERING, DESIGN, AND CONSTRUCTION OF THE MHATT-CAT SECTOR AT THE ADVANCED PHOTON SOURCE**  
W. Lowe, Department of Physics and Astronomy  
(202) 806-4351 02-2 \$500,000 (15 months)

Design, construction and operation of the University of Michigan, Howard University and AT&T Bell Laboratories Collaborative Access Team (MHATT-CAT) beamlines at the Advanced Photon Source will be performed. Research includes time-resolved studies of materials under dynamic conditions: the microscopic features of physical and chemical processing, behavior under stress and structural relaxation and the kinetic mechanisms of growth.

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**UNIVERSITY OF ILLINOIS**  
104 South Wright Street  
Urbana, IL 61801

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- 329. OXIDE FILM MICROSTRUCTURE: THE LINK BETWEEN SURFACE PREPARATION PROCESSES AND STRENGTH/DURABILITY OF ADHESIVELY BONDED ALUMINUM**  
K.J. Hsia, Department of Theoretical and Applied Mechanics  
(217) 333-2321 01-5 \$607,270 (34 months)

Experiment, modeling, and testing of relationship between surface preparation and strength/durability prediction in the bonding of aluminum and its alloys for automobile applications. Process development to synthesize improved surface preparation techniques. Identification of microstructural parameters that determine bond strength including porosity, pore connectivity, pore size distribution, and protrusion morphology. Mechanistic development between processing and microstructure, and between microstructure and bond strength and durability. Employment of surface analytical techniques and mechanical testing. Development of quantitative models of thin film growth.

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**INDIANA UNIVERSITY**  
Bloomington, IN 47405

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- 330. NEUTRON POLARIZERS BASED ON POLARIZED  $^3\text{He}$**   
W. M. Snow, Department of Physics  
(812) 855-7914 02-1 \$286,450

Develop neutron polarizer based on an absorbing gas of polarized  $^3\text{He}$  atoms for use at the Los Alamos Neutron Science Center (LANSCE). The  $^3\text{He}$  gas is to be polarized either by spin-exchange with polarized alkali atoms or by optical pumping. The polarizer will be used to determine magnetization densities in solids and at interfaces, and to train the next generation of scientists in the use of polarized neutron beams. In collaboration with scientists at the National Institute of Standards and Technology (NIST).

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**JOHNS HOPKINS UNIVERSITY**  
Baltimore, MD 21218

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- 331. IN SEARCH OF THEORETICALLY-PREDICTED MAGIC CLUSTERS: TOWARD THE DEVELOPMENT OF A NEW CLASS OF MATERIALS**  
K.H. Bowen, Department of Chemistry  
(410) 516-8425 01-1 \$177,088

Systematic experimental search for theoretically-predicted magic clusters. Formation of magic clusters by several methods. Detection by mass spectrometry. Synthesis of macroscopic samples of clusters.

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**KANSAS STATE UNIVERSITY**  
116 Cardwell Hall  
Manhattan, KS 66506

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- 332. OPTICAL AND ELECTRICAL PROPERTIES OF III -V NITRIDE WIDE BANDGAP SEMICONDUCTORS**  
H. Jiang, Department of Physics  
(913) 532-1627 02-2 \$50,000

Optical and electrical properties of GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  will be studied including alloys, epitaxial layers, and quantum well structures. The recombination rates for all possible mechanisms will be studied by time-resolved picosecond laser spectroscopy. These will be characterized as a function of temperature, alloy composition, applied electric and magnetic fields, and parameters of the exciting

photons. Non-linear properties will also be measured. Electrical property measurements will focus on the effects of p-type dopants and native defects up to 600°C.

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#### UNIVERSITY OF KENTUCKY CAER

3572 Iron Works Pike  
Lexington, KY 40511-8433

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#### 333. KY DOE/EPSCOR PROGRAM

J. M. Stencel,  
(606) 257-0250 03-3 \$925,000

The University of Kentucky Research Foundation has four DOE/EPSCoR research clusters: Cluster 1, "Fossil Energy: Characterization and On-line Measurement of Critical Elements in Coal and Coal Combustion;" Cluster 2, "Environmental Research: Transport, Accumulation, and Utilization of Organic Carbon in Large Reservoir Systems;" Cluster 3, "High Energy and Nuclear Physics Research: Experimental Nuclear Physics at CEBAF and High Energy Physics Research"; and Cluster 4, "Materials Research."

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#### LEHIGH UNIVERSITY

5 East Packer Avenue  
Bethlehem, PA 18015

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#### 334. DETERMINATION OF STRUCTURE AROUND LIGHT ATOMS IN INORGANIC GLASSES BY EXELFS

H. Jain, Department of Materials Science  
and Engineering  
(610) 758-4217 01-1 \$30,000

Structure of inorganic glasses, especially short range order around glass modifier alkali ions and the network forming oxygen atoms. An experimental study of the validity of the modified network model (MRN), using extended energy loss fine structure (EXELFS) with energy-filtered electron diffraction. The local structure around both alkali and oxygen ions, measured by this technique, will be used with simulation studies to establish fundamental glass structure, and in turn physical properties of glasses.

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#### LOUISIANA BOARD OF REGENTS

2000 Percival Stern Hall  
New Orleans, LA 70118

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#### 335. DOE EPSCOR PROPOSAL FOR THE STATE OF LOUISIANA

C. F. Ide,  
(504) 865-5546 03-3 \$900,000

Louisiana has DOE/EPSCoR research activities in three areas. Cluster A, "Inorganic Synthesis and Laser-Induced Photochemistry Relevant to the Fabrication of Electronic Materials" focuses on electronic materials research. The major projects involve the synthesis of novel materials precursors, the application of unique thin-film deposition strategies, and the utilization of innovative photochemical techniques. Cluster B, "Using High Energy Ion Beams and Synchrotron Radiation for Modification and Analysis of Corrosion and Wear Inhibiting Coatings of Metallic Alloys" involves the study of two applications of coating technology - zinc galvanizing of steel and ceramic coating of metallic alloys. The Metallic alloys will be implanted with ion species having energies of 0.3 - 5.1 MeV produced by the University of Southwestern Louisiana's new 1.7 MV National Electrostatics 5SDH-2 Tandem Pelletron accelerator system. Cluster C, "Development of Mammalian and Non-Mammalian Toxicological Indices to Investigate Risks Associated with Energy-Related Wastes" focuses on the toxicological consequences of exposure to energy-related materials and wastes at several levels, including: ecosystems, populations, organisms, specific tissues and molecular level, with emphasis on the genetic, reproductive risks and trophic relationships. The study examines the effects of energy-related contaminants on organisms at the base of the food chain, i.e., micro-organisms and small invertebrates; on estuarine habitats; and on fish, amphibians and macroinvertebrates. The effects of toxicants to which humans may be exposed directly through inhalation or indirectly through accumulation in the food chain the be examined in rodent models. Assessments of the impacts of exposure to contaminants on metabolic function of liver, gill, and central nervous systems will also be performed using several endpoints.

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**LOUISIANA STATE UNIVERSITY**  
 Baton Rouge, LA 70803-4001
 

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**336. MOLECULAR DYNAMICS SIMULATIONS  
OF NANOSTRUCTURED MATERIALS ON  
PARALLEL COMPUTERS**

P. Vashishta, Concurrent Computing Laboratory  
for Materials Simulations  
(504) 388-1342

R. Kalia  
(504) 388-1157

G. Greenwall  
(504) 388-1342 02-3 \$37,500

Large scale molecular dynamics simulations of ceramic and semiconductor systems are carried out at the microscopic level. Mechanical properties of environmentally benign substances such as aerogel silica will be studied. As will be thermal properties of ceramic silicon nitrides, and the crack propagation and fracture of thin films of these materials. In addition new algorithms for parallel, distributed memory computing will be implemented.

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**MAINE SCIENCE & TECHNOLOGY  
FOUNDATION**

87 Winthrop Street  
Augusta, ME 04330

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**337. MAINE DOE/EPSCOR IMPLEMENTATION  
PROGRAM**

T. Shehata  
(207) 621-6350 03-3 \$924,700

The Maine EPSCoR program; major new research initiatives have been implemented; new faculty with new research programs and opportunities for Maine students at all levels; new state-of-the-art equipment; and new research resources have been identified and incorporated into Maine's R&D infrastructure. Greater collaboration among researchers and institutions involved in the Maine DOE/EPSCoR program to maximize R&D resources for the state as a whole. Continued work on the two existing research clusters (Human Genome Project: Hierarchical Chromosome Organization in Mice and Physical Mechanisms in Tribology).

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**UNIVERSITY OF MAINE**

5764 Sawyer Research Ctr.  
Orono, ME 04469

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**338. SYNTHESIS, CHARACTERIZATION AND  
MECHANICAL BEHAVIOR OF BINARY AND  
TERNARY OXIDE FILMS**

R. J. Lad, Department of Physics  
(207) 581-2257 01-1 \$71,952

Fundamental properties of metal/oxide and oxide/oxide heterogeneous interfaces with emphasis on effects of interfacial defects, impurities, carbon layers, and amorphous phases on interfacial morphology, adhesion, electronic structure, and high temperature stability. Deposition of ultra-thin metal and oxide films (viz. Al, Ti, Cu, MgO, Y<sub>2</sub>O<sub>3</sub>, and SiO<sub>2</sub>) on single crystal Al<sub>2</sub>O<sub>3</sub> substrates. Determination of film epitaxy and interface morphology by in-situ RHEED analysis and Atomic Force Microscopy; determination of composition, chemical bonding, interdiffusion, segregation and electronic structure information by X-ray and ultraviolet photoemission, Auger spectroscopy, and EELS.

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**MASSACHUSETTS INSTITUTE OF  
TECHNOLOGY**

77 Massachusetts Avenue  
Cambridge, MA 02139

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**339. THE PREDICTION OF PHASE STABILITY AND  
STRUCTURE IN COMPLEX OXIDES**

G. Ceder, Department of Materials Science  
and Engineering  
(617) 253-1581 01-1 \$110,000

First-principles calculations on oxides. Prediction of structure and defect arrangements at non-zero temperature. Mechanisms of charge compensation in doped oxides. Phase diagrams. Diffusion in highly concentrated solid solutions. Current applications include doped zirconia for solid electrolytes and lithium-transition-metal oxides for rechargeable batteries. Computational tools include pseudopotential method, tight binding and LMTO. Ionic disorder is modeled with a generalized lattice model and Monte Carlo sampling.

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**340. CERAMIC INTERFACES**

Y-M. Chiang, Department of Materials Science  
and Engineering  
(617) 253-6471 01-2 \$95,268

Defect thermodynamics of interfaces in CeO<sub>2</sub> and TiO<sub>2</sub>. Electrical characterization of nanocrystals and thin films. Space-charge experiments in polycrystals. Interfacial forces leading to destabilization of thin intergranular films in ceramics using measurements of pressure vs. film

thickness and dihedral angle in  $\text{ZnO-Bi}_2\text{O}_3$  and  $\text{TiO}_2\text{-SiO}_2$ . Impedance spectroscopy, HREM, and STEM.

**341. THERMO-MECHANICAL RESPONSE OF LAYERED MATERIALS**

S. Suresh, Department of Materials Science and Engineering

(617) 253-3233 01-2 \$108,370

The micromechanics of thermo-mechanical deformation and failure is addressed from a experimental and theoretical standpoint for both homogeneous and compositionally graded layers.

**342. STRUCTURAL DISORDER AND TRANSPORT IN TERNARY OXIDES WITH THE PYROCHLORE STRUCTURE**

H. L. Tuller, Department of Materials Science and Engineering

(617) 253-6890 01-3 \$0

Relationship of electrical and optical properties to the defect structure in ternary and quaternary oxides with the pyrochlore structure. Use of transition elements to alter electronic properties, rare-earth elements to alter the ionic conduction characteristics, and aliovalent dopants to change the carrier concentrations. Computer simulations of defects, transport and structural parameters in these systems. Structural disorder characterized by X-ray diffraction, neutron diffraction, and spectroscopic measurements. Electrical and defect properties characterized by AC impedance, DC conductivity, thermoelectric power, and thermogravimetric techniques. Materials to be doped and studied include  $\text{Gd}_2\text{O}_3\text{-ZrO}_2\text{-TiO}_2$ ,  $\text{Y}_2\text{O}_3\text{-ZrO}_2\text{-TiO}_2$  and related systems.

**343. PROCESSING OF SUPERCONDUCTING OXIDES IN ELEVATED MAGNETIC FIELDS**

J. B. Vander Sande, Department of Materials Science and Engineering

(617) 253-6933 01-3 \$122,690

Kinetics of superconducting oxide formation from metallic alloys subjected to oxidation; textured microstructures arising from solid state reactions in temperature gradients; high magnetic fields to induce texture in superconducting oxide/silver composites; improvement in the texture and critical current density of superconducting oxide/silver microcomposites through mechanical deformation.

**344. RADIATION-INDUCED TOPOLOGICAL DISORDER IN IRRADIATED CERAMICS**

L. W. Hobbs, Department of Ceramics and Materials Science

(617) 253-6835 01-4 \$0

Fundamental study to characterize irradiation-induced amorphization of  $\text{SiC}$ ,  $\text{Si}_3\text{N}_4$  and  $\text{AlPO}_4$ . Irradiations to be performed in-situ with electrons in a TEM, with heavy ions using the implantation facilities, or with neutrons using available neutron sources. Energy-filtered electron diffraction (EFED) technique to examine the structure to topologically disordered ceramics; the use of X-rays, neutron and electron diffraction to study structure; proton and other ion irradiations to modify structures, and a new modelling program applying methods developed for self-assembly of virus shells from proteins to explore the process of topological disordering. Studies of neutron-irradiated single crystals of  $\text{Pb}_2\text{P}_2\text{O}_7$  and high pressure polymorphs of silica will be completed.

**345. DESIGN OF SURFACE TEXTURE FOR IMPROVED CONTROL OF FRICTION AND FORMABILITY OF ALUMINUM SHEET PRODUCTS FOR AUTOMOTIVE APPLICATIONS**

L. Anand,

(617) 253-1635 01-5 \$450,000 (34 months)

The development of a more complete process design capability for a variety of sheet forming operations will be accomplished. To do this a set of constitutive equations for the mechanical and theoretical behavior of tool-workpiece interfaces will be developed. Computational procedures will also be developed to help automate this capability. Microscale sheet of surface geometry design will be optimized to reduce tool-surface friction and enhance formability.

**346. GELS FOR MOLECULAR RECOGNITION, ACCUMULATION AND RELEASE**

T. Tanaka,

(617) 253-4817 01-5 \$375,000 (34 months)

This project will expand the development of polymer gels that can selectively recognize, accumulate and release molecules. The essence of the design is that the polymer will consist of two groups of monomers, each with specific designated roles. One group will form a complex with a target molecule and the other group will allow the polymer gel network to reversibly stretch and shrink in response to planned environmental changes. The complexing sites will develop strong affinity to target molecules only when the gel is shrunken and the sites come into proximity with the target molecules. However, when the gel expands and stretches, the affinity for the target molecules is diminished. Thus, the affinity of the synthetic polymer gel network can be specifically controlled using reversible phase transition of the gel.

**347. DEVELOPMENT OF BEAMLINES FOR  
CONDENSED MATTER PHYSICS AND  
MATERIALS SCIENCE AT THE ADVANCED  
PHOTON SOURCE**

S. Mochrie,  
(617) 253-6588 02-2 \$300,000 (5 months)

Design, development and construction of the IMM-Collaborative Access Team (IMM-CAT) Beamline at the Advanced Photon Source for research on the structure and properties of advanced materials.

**348. PHASE TRANSITION PHENOMENA IN  
QUENCHED DISORDERED AND  
QUANTUM-CORRELATED SYSTEMS**

A. N. Berker, Department of Physics  
(617) 253-2176 02-3 \$49,299

Renormalization-group calculations will be performed for various models all incorporating some aspect of randomness. Possible novel hyper universality of critical phenomena where there is strong randomness will be investigated as a possible replacement for the ordinary universality -- shown previously to be violated in these systems. The tricritical point involving a conversion of first order phase transitions to second order by strong bond randomness will be investigated.

Finite-temperature renormalization group theory will be applied to tJ and enhanced tJ models of electronic conduction in 1-3 dimensions. The hard-spin mean-field theory, previously applied to uniformly frustrated systems, will be applied to spin glasses. A speculative effort will be the development of a non-equilibrium renormalization group theory based on the restriction by time scales of the underlying partial trace of the partition function.

**349. STRUCTURE AND DYNAMICS OF  
MICROEMULSIONS IN BULK, AT  
INTERFACES AND IN CONFINED  
GEOMETRIES**

S.-H. Chen, Department of Nuclear Engineering  
(617) 258-3810 03-2 \$84,150

Complete construction and testing of a special purpose small angle neutron scattering diffractometer at the Intense Pulsed Neutron Source (IPNS) of Argonne National Laboratory. The diffractometer will be fully available to general users and involves design and construction by a cooperative effort between the principal investigator and the IPNS staff with financial assistance from Texaco. The principal investigator will focus on the use of the diffractometer for studies of problems in the area of microemulsions

and micellar solutions. For these investigations a temperature controlled environment for scattering experiments and a shear cell for the study of shear fields on microemulsion and micelle structures will be employed.

**UNIVERSITY OF MASSACHUSETTS  
Amherst, MA 01003-4530**

**350. SEGMENTAL INTERPENETRATION AT  
POLYMER-POLYMER INTERFACES**

T. P. Russell, Polymer Science  
and Engineering Department  
(413) 545-0433 03-2 \$372,000 (24 months)

The behavior of block copolymers at interfaces will be studied with the use of neutron and X-ray reflectivity, XPS, DSIMS, and FRES. The subjects of investigation will include the behavior of diblock copolymer in confined geometries, the interfacial behavior of P(S-b-MMA) at the interface between PS and PMMA homopolymers, interfacial behavior of multiblock copolymers, and the behavior of diblock copolymers at the interface of dissimilar homopolymers. The combined use of the four techniques mentioned above, coupled with small angle X-ray and neutron scattering, will permit a quantitative evaluation of the segment density profiles of block copolymers at interfaces and will allow a critical assessment of current theoretical treatments of the interfacial behavior of block copolymers.

**MIAMI (OHIO) UNIVERSITY  
Oxford, OH 45056**

**351. MAGNETIC MICROSTRUCTURE PHYSICS**

M. J. Pechan, Department of Physics  
(513) 529-4518 02-2 \$39,000

Investigation of magnetic multilayers and magnetic quantum dots using ferromagnetic resonance and magnetization measurements. Determine interrelation of magnetic properties and interactions with structural and transport properties.



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**MICHIGAN STATE UNIVERSITY**  
 East Lansing, MI 48824
 

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- 352. HIGH-ENERGY ION BEAM SURFACE MODIFICATION OF SINGLE- $Al_2O_3$  FIBERS FOR IMPROVED POST-PROCESSING STRENGTH RETENTION IN B-NIAL AND  $\gamma-Ni_3Al$  MATRIX COMPOSITES**  
 D. S. Grummon, Department of Metallurgy, Mechanics, and Materials Science  
 (517) 353-4688 01-2 \$64,788

Single crystal  $\gamma$ -alumina fibers are of interest as strong creep-resistant reinforcements for metal and intermetallic matrix composites. Surface modifications will be designed to (a) produce large residual compressive stress, to reduce flaws; (b) improve mechanical properties, and (c) improve resistance to chemical damage at high temperatures.

- 353. DISORDER AND FAILURE: PLASTICITY, FLUX FLOW AND FATIGUE**  
 P. M. Duxbury, Department of Physics and Astronomy  
 (517) 353-9179 01-3 \$94,000

Development of generic models for electrical, dielectric, mechanical and superconducting failure; analytic expressions for size effect, failure distribution, and crossover from nucleation stage to catastrophic failure stage. Nucleation and evolution of damage in random microstructures. Use of numerical methods such as finite element, finite difference or Green's function methods; complemented by scaling concepts such as fractals, percolation, and universality. Prediction of asymptotic scaling laws such as ultimate tensile strength, damage prior to instability and fractal dimension of fracture surfaces. Development of broad theoretical understanding of the yield point in random plastic materials, the onset of flux flow in superconductors, fatigue in random materials, and the transmission of stress in random central-force problems. Methods applied specifically to mechanical properties of epoxy matrices containing high aspect ratio nano-dispersed clay platelets and to high-cyclic fatigue of Al/SiC composites. Material specific calculations compared with on-going experiments.

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**UNIVERSITY OF MICHIGAN**  
 2300 Hayward Street  
 Ann Arbor, MI 48109-2136
 

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- 354. OXIDE CERAMIC ALLOYS AND MICROLAMINATES**  
 I-W. Chen, Department of Materials Science and Engineering  
 (313) 763-6661 01-2 \$103,562

Solute-defect interactions and segregation;  $CeO_2$ ,  $Y_2O_3$  and  $ZrO_2$  host oxides; solid solutions with oxides of divalent Mg Ca and Sr, trivalent Sc, Yb, Y, Gd and La, tetravalent Ti and Zr, and pentavalent Nb and Ta. Static grain growth, dynamic grain growth and related mechanical phenomena; mechanisms for solute drag, solute-defect interactions; static grain growth experiments, grain boundary mobility, compression tests, dislocation creep; construction of stress-strain constitutive relation incorporating grain growth; microstructural and microchemical characterization. Densification kinetics, microstructure development, grain boundary mobility; doped solid solutions; effect of solute drag on sintering of second phase ceramics; effect of initial porosity. Deformation and fracture of ceramic slips. Plasticity induced interface instabilities. Sintering and microstructure development of bimetals and compositionally graded materials. Strength and fracture of compositionally graded materials. Strength and fracture of layered, graded, and multiply connected cellular composites.

- 355. THE ROLE OF GRAIN BOUNDARY CHEMISTRY AND STRUCTURE IN THE ENVIRONMENTALLY-ASSISTED INTERGRANULAR CRACKING OF NICKEL-BASE ALLOYS**  
 G. S. Was, Department of Nuclear Engineering  
 (313) 763-4675 01-2 \$133,435

Intergranular (IG) cracking as a function of grain boundary chemistry and structure. The role of carbon in solution and as carbides on IG creep-controlled cracking in 360°C water; determination of the role of grain boundary orientation on IG cracking in 360°C water and creep in 360°C Ar; and the role of the film character (composition and structure) in the correlation of creep, repassivation rate, and IGSCC susceptibility in Ni-(16-30)Cr-Fe alloys. Experiments conducted on laboratory and commercial heats of Ni-16Cr-3Fe (alloy 600), Ni-30Cr-3Fe (alloy 690), and Ni-16Cr-3Fe-Al-Ti-Nb (alloy X-750).

**356. ATOMISTIC AND ELASTIC ANALYSES OF DEFECTS AND SMALL STRUCTURES**

D. J. Srolovitz, Department of Materials  
Science and Engineering  
(313) 936-1740 01-3 \$0 (0 months)

The goal is to elucidate the structural, elastic and thermodynamic properties of non-topological defects, defects at interfaces and in small structures, and small structures themselves. The common theme is the centrality of the interplay between elastic and structural effects. This will be investigated and exploited by using atomistic simulations to provide the input needed to develop and validate the elastic theory. Atomistic simulations will be used to extract the information at the atomic level needed to parameterize the full elastic fields. The resulting analysis will then be generalized by identifying the dominant effects.

**357. SYNCHROTRON STUDIES OF NARROW BAND MATERIALS**

J. W. Allen, Department of Physics  
(313) 763-1150 02-2 \$90,000

This experimental program utilizes a combined photoemission/inverse photoemission study of narrow band materials — where additional effects of the Coulomb interactions can be expected to have greater significance. The focus is to relate the obtained spectroscopic properties to results obtained when only small excitation energies are involved. This is the crucial issue in attempting to use X-ray measurements to help unravel the thermal and electrical properties of a solid. The narrow band materials studied are selected on the basis of being the most stringent tests of our theoretical understanding.

**358. CORRELATION GAPS IN THE RARE EARTH HEXABORIDES**

M. Aronson, Department of Physics  
(313) 764-3272 02-2 \$75,015

Experimental investigation of the stability of correlation gaps in the rare earth hexaborides. Low temperature electrical resistivity, specific heat, and magnetization measurements performed to assess the stability of the correlation gap to high pressures, high magnetic fields, and varying degrees of disorder present in the hexaboride samples. Exploration of the transport properties of in-gap states, their relationship to the magnitude of the gap, and search for a proposed metal-to-insulator transition which should occur for a sufficiently wide gap. Comparison of the Kondo effect for magnetic impurities present in insulating materials with a normal, electronic-band-structure gap and with a correlation gap. Examples of hexaborides investigated are  $\text{SmB}_6$ ,  $\text{EuB}_6$ ,  $\text{SmB}_6$

doped with either Ca or Sr, and a series of  $\text{Eu}_{1-x}\text{Sm}_x\text{B}_6$  samples.

**359. GROWTH AND PATTERNS**

L.M. Sander, Department of Physics  
(313) 764-4471 02-3 \$55,000

Analytic and simulation studies of the formation of solid state structure far from equilibrium will be performed. The effects of strain on the epitaxial growth of these films will be investigated. The stability of dense radial patterns arising from electrochemical deposition will be studied. New methods will be developed to study non-equilibrium continuum processes such as catalysis and other non-equilibrium processes.

**MIDWEST RESEARCH INSTITUTE**

425 Volker Boulevard  
Kansas City, MO 64110

**360. DESIGN AND CONSTRUCTION OF MAIN GROUP ELEMENT-CONTAINING MOLECULES AND MOLECULE-DERIVED MATERIALS WITH UNUSUAL ELECTRONIC, OPTICAL, AND MAGNETIC PROPERTIES**

B.N. Diel,  
(816) 753-7600 01-5 \$600,000 (34 months)

New Source compounds for the Metal Organic Chemical Vapor Deposition (MOCVD) of thin films, especially those which incorporate group IIIA (boron family) and group VA (nitrogen family) elements, and which are known to have utility as sensors in the automobile industry, are being developed, characterized, and evaluated with regards to volatility, thermal lability and thin film forming tendencies. Where appropriate, doped films and wafers are being prepared and evaluated and prototype devices fabricated.

**UNIVERSITY OF MINNESOTA**

421 Washington Ave., SE  
Minneapolis, MN 55455

**361. CRYSTALLINE-AMORPHOUS INTERFACES AND AMORPHOUS FILMS IN GRAIN BOUNDARIES**

C.B. Carter, Department of Chemical Engineering  
and Materials Science  
(612) 625-8805 01-1 \$135,358

Thin and thick glassy films prepared on selected  $\text{Al}_2\text{O}_3$  and  $\text{MgO}$  single crystal surfaces and in bicrystal boundaries; pulsed laser deposition; hot-pressing of bicrystals; tilt and twist boundaries, bicrystal orientation, interface plane and surface faceting. Structure and chemical analysis with visible-light microscopy, SEM, AFM and TEM, including bright - and dark-field imaging, HREM, EDS and PEELS; comparison of grain boundaries and interfaces with and

without noncrystalline layers; wetting and dewetting of glassy films on grain boundaries; crystallization of glassy boundaries and interfaces. Computer modeling of amorphous/crystalline interfaces in collaboration with ANL; lattice statics and molecular dynamics.

### 362. THIN FILM ADHESION OF PRECRACKED FINE LINES

W.W. Gerberich, Department of Chemical Engineering and Materials Science  
(612) 625-8548 01-2 \$66,363

The need to determine accurate values of adhesion for thin films is addressed, first through examination of a new testing technique, the "Precracked Fine Line Scratch Test" (PLST) and second through the extension of the PLST technique to the measurement of interfacial adhesion of ductile thin films.

### 363. THEORETICAL STUDY OF REACTIONS AT THE ELECTRODE-ELECTROLYTE INTERFACE

J. W. Halley, Department of Physics and Astronomy  
(612) 624-0395 01-3 \$87,403

Electron transfer and other reactions of importance to aqueous corrosion are studied by simulation and modeling. Molecular dynamics used to describe solvent dynamics. Tight binding and local density function methods used to study the electronic structure of metallic and oxide passivated electrodes. The calculations of atomic and electronic dynamics are linked to yield insights into such corrosion relevant phenomena as electron transfer, defect diffusion, polaronic electronic conductivity and cracking. Phenomena of particular interest include ferrous-ferric and cuprous-cupric outer shell electron transfer, the passivation layer of titanium, chloride at interfaces, hydrolysis at oxide surfaces and dissolution of metals. The project is part of an ongoing collaboration with Argonne National Laboratory.

### 364. THEORY OF THE STRUCTURAL AND ELECTRONIC PROPERTIES OF SOLID STATE OXIDES

J. R. Chelikowsky, Department of Chemical Engineering and Materials Science  
(612) 625-4837 02-3 \$55,000

A multi-level theoretical approach to the global properties of solid state oxides will be implemented. The methods which will be applied comprise ab initio pseudopotential calculations, semi-empirical valence force field techniques, and the establishment of empirical chemical "scaling"

indices. The amorphization in oxides induced by high pressure will be studied using classical and quantum mechanical techniques.

## UNIVERSITY OF MISSOURI AT KANSAS CITY

Kansas City, MO 64110-2499

### 365. THEORETICAL STUDIES ON THE ELECTRONIC STRUCTURES AND PROPERTIES OF COMPLEX CERAMIC CRYSTALS AND NOVEL MATERIALS

W-Y. Ching, Department of Physics  
(816) 235-2503 01-1 \$172,989

Theoretical program to study the electronic structure and properties of complex ceramic materials. Over the next three year period the following will be carried out:

1) study of spinel ceramics of the form  $AB_2O_4$ , 2) study of defect and grain boundary structures, 3) modeling of Si-based oxide, nitride, and oxynitride glasses and their interfaces with ceramic crystals, and 4) study of magnetic properties of permanent magnets such as  $Nd_3Fe_{20-x}T_x$  ( $T=Ti$  or  $Cr$ ), 5) study of novel materials and new methods of computation.

## UNIVERSITY OF MISSOURI AT ROLLA

Rolla, MO 65409-0640

### 366. A REVOLUTIONARY ROTATABLE ELECTRON ENERGY ANALYZER FOR ADVANCED HIGH-RESOLUTION SPIN-POLARIZED PHOTOEMISSION STUDIES

G. D. Waddill, Physics Department  
(573) 341-4797 02-2 \$199,791

Development and construction of an experimental photoemission system based upon a large-radius, rotatable hemispherical electron energy analyzer and an electron spin analysis capability. To be installed at the Advanced Light Source (ALS) at LBNL. In collaboration with Penn State University (Willis).

## UNIVERSITY OF MISSOURI

Columbia, MO 65211

### 367. DEVELOPMENT OF FOCUSING MONOCHROMATORS FOR NEUTRON SCATTERING INSTRUMENTS

M. P. Popovici, Research Reactor Center  
(573) 882-5314 02-1 \$150,000

Development of both the theoretical guidance and instrumentation for focusing neutron beams based upon bent crystals. These focusing neutron optics will be

Integrated into specialized monochromators for use in neutron diffraction and scattering experimentation.

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**UNIVERSITY OF MONTANA**  
Missoula, MT 59812-1002

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**368. THE MONTANA ORGANIZATION FOR RESEARCH IN ENERGY'S (MORE) COLLABORATIVE RESEARCH AND HUMAN RESOURCES DEVELOPMENT PROGRAM**

J. Bromenshenk, MORE Office  
(406) 243-5648 03-3 \$950,000

The University of Montana has DOE/EPSCoR research activities in two areas. Research Cluster 1, "Petroleum Reservoir Characterization," will develop a characterization team able to train a new, wholly-integrated earth scientist. The research plan developed by the cluster is based on the idea that maximum understanding of reservoir behavior is gained through integration of (1) 3-dimensional seismic illumination, (2) geologic models, (3) mathematical models that accommodate variations of physical scale and relative emphasis of data, (4) petroleum engineering models, and (5) a 3-dimensional visualization of the integrated model set. Research Cluster 2, titled "Wind Energy Development," is divided into two project areas. In Project Area 1, wind turbine blades are being developed and tested. A test site has been established, the first turbine flown, and experimental blades for fatigue wear out studies developed. The test site will be expanded to include a larger turbine with more representative loading to bring the blade structural studies closer to utility grade turbine structural details. In Project Area 2, the economics and use of wind generated energy, the effects of utility regulatory changes, and wind development on Blackfeet Tribal lands are being studied. One offshoot of the early work on this project was the development of an avian study that has received national attention and additional DOE funding. The Project Area will continue to pursue the avian issue and will explore additional aesthetics-related siting concern and issues related to wind development on Tribal lands. The development of wind resources is closely tied to the economics of wind energy use and the utility regulatory process, with studies in these areas, as well as related innovative use of wind energy in combination with other renewables and storage, particularly at remote sites.

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**NATIONAL ACADEMY OF SCIENCES**

2101 Constitution Avenue  
Washington, DC 20418

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**369. SUPPORT OF THE SOLID STATE SCIENCES COMMITTEE**

D.F. Morgan, Board on Physics and Astronomy  
(202) 334-3520 02-2 \$65,000

Support for the activities of the Solid State Sciences Committee and the Solid State Sciences Committee Forum.

**370. AN ASSESSMENT OF CONDENSED MATTER AND MATERIALS PHYSICS**

D. C. Shapero,  
(202) 334-3520 02-2 \$50,000

Support for activities of the Solid State Sciences Committee to perform a study to identify major recent achievements in condensed matter and materials physics; to identify new opportunities, needs, and challenges facing the field; and to articulate to the general audience the important roles played by condensed matter and materials physics in modern society.

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**UNIVERSITY OF NEBRASKA**

Lincoln, NE 68588-0113

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**371. FUNDAMENTAL STUDIES OF NOVEL PERMANENT MAGNET MATERIALS**

D. J. Sellmyer, Department of Physics  
(402) 472-2407 02-2 \$75,000

Research to advance the understanding of the magnetic rare earth-transition metal compounds and alloys that have high Curie temperatures and large magnetization. The materials studied usually are based on iron and light rare earths, and are generally ternary or higher order alloys with unusually complex, anisotropic structures. Investigated are Fe-rich phases which have been nitrogenated or carbonated to enhance their magnetic properties, new phases reached by intermediate metastable phases via melt spinning, materials produced by mechanical alloying in a high energy ball mill under inert atmosphere, and nanostructured and nanocomposite films made by sputtering techniques. Extensive characterization of the materials by comprehensive experiments which include X-ray and neutron diffraction, electron microscopy, dc and ac magnetic susceptibility,  $^{57}\text{Fe}$  Mossbauer, and photoemission. Spin-polarized, self-consistent electronic structure calculations performed to compare with the experimental results. Research performed in close collaboration with work at the University of Delaware (Hadjipanayis).

**UNIVERSITY OF NEVADA**

1664 N. Virginia Street  
Reno, NV 89557-0187

**372. NEVADA DOE EPSCOR PROGRAM FOR  
THE STIMULATION OF EXPERIMENTAL  
PROGRAM COMPETITIVE RESEARCH  
(EPSCOR)**

J. N. Seiber, Center for Environmental Sciences  
and Engineering  
(702) 784-6460 03-3 \$950,000

The University of Nevada, Las Vegas has proposed the implementation of a DOE/EPSCoR program with initiatives in the following two research areas: Cluster Number 1, "Chemical Physics" and Cluster Number 2, "Responses of Desert Vegetation to Increasing Atmospheric CO<sub>2</sub>."

**UNIVERSITY OF NEW HAMPSHIRE**

Durham, NH 03824

**373. AN EXPERIMENTAL AND ANALYTICAL  
INVESTIGATION OF THE EFFECT OF  
FRACTURE SURFACE INTERFERENCE IN  
SHEAR**

T. S. Gross, Department of Mechanical  
Engineering  
(603) 862-2445 01-2 \$0

An experimental and theoretical program to study the effects of fracture surface interference on shear modes (mode II and III) of crack growth. The theoretical program to extend and refine current models of force transfer between crack faces and wear of asperities in the vicinity of the crack tip. The model will be the observed non-monotonic, non-linear dependence of shear crack growth on applied shear stress, superimposed tensile stress, and cyclic load history. The experimental program to study the evolution of fracture surface roughness using Fourier analysis to characterize the average asperity amplitude, slope, and wavelength of fracture surface profiles in a variety of loading configurations and environmental conditions for metals, ceramics, and polymers. A broad range of materials selected for testing to maximize the variation in elastic modulus, yield strength, fracture surface profile and wear characteristics.

**UNIVERSITY OF NEW MEXICO**

Albuquerque, NM 87131

**374. PARTICLE-INDUCED AMORPHIZATION OF  
COMPLEX CERAMICS**

R.C. Ewing, Department of Earth  
and Planetary Sciences  
(505) 277-4163 01-1 \$107,937

Investigation of irradiation effects on transition from crystalline to a periodic state in naturally occurring materials (complex oxides, silicates and phosphates) and ion-irradiated ceramics; effects of structure and bonding, cascade energy, defect accumulation and temperature on the amorphization of complex ceramic materials; structural types include zircon (ABO<sub>4</sub>), olivine, garnet, aluminosilicates, pyrochlore. Techniques include X-ray diffraction, high-resolution transmission electron microscopy (HRTEM), extended X-ray absorption fine-structure (EXAFS) and near-edge spectroscopy (XANES).

**NORTH CAROLINA A&T STATE UNIVERSITY**

551 McNair Hall  
Greensboro, NC 27411

**375. MICROSTRUCTURE - PROPERTY  
CORRELATIONSHIPS IN OXIDE  
HETEROSTRUCTURE**

C.B. Lee, Department of Electrical Engineering  
(919) 334-7760 01-1 \$216,990

Processing and characterization studies to understand microstructure-property correlations in ferroelectrics and superconductor heterostructures. Use of pulsed laser ablation technique to fabricate these heterostructures. Optimization of laser and processing parameters. Atomic level characterization with STEM-Z HRTEM, Auger, etc. Electrical property characterization for sharp resistive transitions and low noise characteristics in high T<sub>c</sub> materials.

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**NORTH CAROLINA STATE UNIVERSITY**  
Raleigh, NC 27695-8202

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**376. RESEARCH AT AND OPERATION OF THE  
MATERIAL SCIENCE X-ray BEAMLINE  
(X-11) AT THE NATIONAL  
SYNCHROTRON LIGHT SOURCE**

D. E. Sayers, Department of Physics  
(919) 515-4453 02-2 \$440,000

Development, upgrade, and operation of beamlines X-11A and B at the National Synchrotron Light Source, Brookhaven National Laboratory. Transmission, fluorescence electron-yield and X-ray absorption fine structure measurements on a range of materials and interfaces, including metal-semiconductor systems; multilayers and ion implanted layers; electrochemical systems; rare earth metal oxide catalysts; semiconductor alloys; high  $T_c$  superconductors; biocatalysts and actinide metals.

**377. BAND ELECTRONIC STRUCTURES AND  
CRYSTAL PACKING FORCES**

M. H. Whangbo, Department of Chemistry  
(919) 515-3464 03-1 \$110,000

Theoretical investigation of the electronic and structural properties of various low-dimensional solid state materials, which include (1) organic conducting and fullerene salts, (2) cuprate superconductors, and (3) transition-metal compounds. The primary techniques for the investigation are tight-binding electronic structure calculations and ab initio self-consistent-field/molecular-orbital (SCF-MO) approaches. The main objectives of the project are to search for structure-property correlations which serve to govern the physical properties of the various materials, and to develop a library of efficient computer programs for the calculation of the physical properties of low-dimensional solid state materials. The work also involves the rational interpretation of STM and AFM images of various layered materials on the basis of density plot calculations. The research also includes the study of images obtained by STM and AFM of solid surfaces and self-assembled overlayers.

**378. THEORETICAL STUDIES OF SURFACE  
REACTIONS ON METALS AND  
ELECTRONIC MATERIALS**

J. L. Whitten, Department of Chemistry  
(919) 515-7277 03-1 \$0

Theoretical investigations of the structure and reactivity of small molecules adsorbed on transition metal and semiconductor substrates. Development and application of theoretical techniques that will

provide a molecular level of fundamental understanding for surface processes, especially reaction mechanisms, energetics and adsorbate atomic and electronic structure. Electronic structures obtained by an ab initio embedding formalism that permits an accurate determination of reaction energetics and adsorbates. Major applications treated are for reactions on surfaces of silicon, carbon, nickel, and ruthenium.

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**UNIVERSITY OF NORTH CAROLINA**

One University Heights  
Asheville, NC 28804

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**379. COUNCIL ON UNDERGRADUATE RESEARCH  
SIXTH NATIONAL CONFERENCE**

J. G. Stevens,  
(704) 251-5002 01-1 \$7,500

The University of North Carolina - Asheville, is serving as an agent for the Council on Undergraduate Research. The conference will bring together over 500 faculty from predominantly undergraduate institutions to exchange ideas and information on establishing and maintaining faculty research programs at these institutions.

**380. THEORIES OF DISORDER AND CORRELATIONS  
IN FULLERIDES**

J. Lu, Department of Physics and Astronomy  
(919) 962-3012 02-3 \$46,603

Theoretical models for the effects of disorder and correlation on the electronic and superconducting properties of alkali doped fullerenes  $A_xC_{60}$  large fullerenes and nanotubes will be formulated and studied. Correlations are to be incorporated utilizing degenerate Hubbard model extensions of previously constructed tight binding representations for the electronic structure. Disorder, both of the  $C_{60}$  molecules and of alkali positions, will be studied using Kubo-Greenwood transport theory. The superconducting state will be examined utilizing the real space deGennes-Bogoliubov equations.

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**NORTH DAKOTA STATE UNIVERSITY**

Fargo, ND 58105

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**381. STATIC AND DYNAMIC PROPERTIES OF  
ANTIFERROMAGNETIC TRANSITION METAL  
ALLOYS**

R. Fishman, Department of Physics  
(701) 237-8977 02-3 \$0

Theoretical investigation of the magnetic properties of chromium, -manganese, and their alloys. Consideration of the effects of impurities on the phase transition in chromium at the Neel temperature, including evaluation of the threshold impurity concentration for the phase

transition to change from first order to second order  
Examination of the effects of a charge-density wave on the magnetic phase diagram of chromium alloys, with particular emphasis on whether a charge-density wave combined with spin-orbit coupling can account for the observed spin-flip regime in pure chromium. Study of the effect of imperfect Fermi surface nesting on the magnetic dynamics of chromium alloys in both the commensurate and incommensurate regimes. Calculation of the spin-wave spectrum, and its gap, for -manganese from an effective Hamiltonian constructed after addition of an elastic energy to the Ginzburg-Landau free energy.

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### UNIVERSITY OF NORTH TEXAS

P.O. Box 5308  
Denton, TX 76203

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#### 382. IMPURITY-INDUCED CORROSION AT GRAIN BOUNDARIES, METAL-OXIDE INTERFACES AND OXIDE SCALES

J.A. Kelber, Department of Chemistry  
(817) 565-3265 01-3 \$138,160

Obtain a fundamental understanding concerning the effects of sulphur and other electronegative adsorbates on interfacial chemistry and topography, and how such effects can be counteracted by the use of other, selected, dopants. Interfaces of interest are grain boundaries, oxide and metal free surfaces, and oxide/metal internal surfaces.

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### NORTHEASTERN UNIVERSITY

110 Forsyth Street  
Boston, MA 02115

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#### 383. COMPUTER MODELING OF SOLIDIFICATION MICROSTRUCTURE

A.S. Karma, Department of Physics  
(617) 373-2929 01-5 \$70,587

Anomalous eutectics, dendrite fragmentation and eutectic colony formation in ternary alloys are theoretically studied. The phase field method will be used for the computation of the solidification morphology. An adaptation of the classic Rayleigh instability will be applied towards dendrite fragmentation. This may have a significant application towards predicting the microstructure of castings. Research on anomalous eutectic structure will help understand the microstructure of eutectic solders.

#### 384. MICROSCOPIC MECHANISMS FOR FRICTION

J. Sokoloff, Physics Department  
(617) 373-2931 02-3 \$30,000 (3 months)

Fundamental mechanisms of dissipation will be studied for two surfaces sliding in contact: i.e. the basic mechanisms of sliding friction. A dual approach will use both analytic and computer studies performed on dialyzed models specifically designed to elucidate operative effects coupled with molecular dynamics simulations of more realistic models performed to uncover physical principles involved. Three main areas will be examined: (1) a comparison of phonon generation and electronic excitations as loss mechanisms; (2) the effect of surface defects; and (3) modifications to be anticipated when the solids become sufficiently small as to exhibit finite size effects.

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### NORTHWESTERN UNIVERSITY

2225N. Campus Drive  
Evanston, IL 60208

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#### 385. GRAIN BOUNDARY PHENOMENA IN SOLUTE DOPED SrTiO<sub>3</sub> BICRYSTALS

V.P. Dravid, Department of Materials Science and Engineering  
(708) 467-1363 01-1 \$145,869

Atomic structure of acceptor/donor doped grain boundaries in SrTiO<sub>3</sub>, including the presence, extent, occupancy and associated effects of solute enrichment. Direct determination of the presence, sign, magnitude and spatial extent of grain boundary charge and associated space-charge in doped grain boundaries. Deviation of double Schottky barrier parameters for doped grain boundaries. Investigation of interface dynamics under applied current or field across grain boundaries using in-situ TEM I-V probe and in-situ FEG SEM experiments.

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#### 386. POINT DEFECTS AND TRANSPORT AT INTERFACES IN OXIDES

T. O. Mason, Department of Materials Science and Engineering  
(708) 491-3198

D. E. Ellis, Department of Physics and Astronomy  
(708) 491-3663

J. B. Cohen, Department of Materials Science and Engineering  
(708) 491-5220 01-1 \$199,599

Investigations of defect structures and electronic transport properties at/near interfaces -- external (surfaces) and internal (grain boundaries) -- in the transition metal oxides, Fe<sub>2</sub>O<sub>4</sub>, ZnO, and in the lanthanide, CeO<sub>2</sub>. This is an interdisciplinary study involving X-ray diffraction (glancing incidence diffraction and diffuse scattering), neutron scattering, equilibrium and transient electrical property

measurements, and first principles electronic structure theory.

### 387. ATOMIC SCALE CHEMISTRY AND STRUCTURE OF CERAMIC/METAL INTERFACES

D.N. Seidman, Department of Materials Science and Engineering  
(708) 491-4391 01-1 \$130,000

The characterization of solute-atom segregation at ceramic/metal interfaces is addressed through atom-probe field ion and tomographic atom probe microscopies, high-resolution electron and scanning transmission electron microscopies, and spatially resolved electron-energy-loss spectroscopy. Theoretical studies involving both ab initio electronic structure calculations and Monte Carlo and molecular dynamics simulations are used to complement the experimental studies.

### 388. INVESTIGATION OF MECHANICAL PROPERTIES AND THEIR RELATION TO THE INTERNAL STRUCTURE OF NANOCRYSTALLINE METALS AND COMPOUNDS

J. R. Weertman, Department of Materials Science and Engineering  
(708) 491-5353 01-2 \$91,791

The tensile, microhardness, fatigue and creep properties of nanocrystalline metals and alloys will be studied using improved processing that decreases the flaw population. The structure of the materials will be characterized using X-ray diffraction, small angle neutron scattering and TEM.

### 389. PLASMA, PHOTON, AND BEAM SYNTHESIS OF DIAMOND FILMS AND MULTILAYERED STRUCTURES

R. P. H. Chang, Department of Materials Science and Engineering  
(847) 491-3598 01-3 \$73,617

Diamond nucleation and growth on carbide and noncarbide surfaces; mechanisms of nucleation; interface properties. Diamond nucleation on fullerenes; ion activation, effects of ion energy, mass and ion type; preparation of large fullerene and buckytube substrates; in-situ characterization of diamond nucleation and growth using scanning ellipsometry, Raman scattering and Auger/ESCA measurements. Growth of copper, nickel, and copper/nickel on single crystal diamond to attempt formation of epitaxial layer; epitaxial metal layers characterized by Rutherford backscattering/channeling and HREM; selective area epitaxy of copper on diamond and overgrowth of diamond. Growth of diamond on amorphous carbon, SiC, c-BN, Si<sub>3</sub>N<sub>4</sub> and C<sub>x</sub>N<sub>y</sub> films; role of graphitic carbon; role of noncarbon surfaces; in-situ

characterization by Auger, ESCA, Raman and HREED; modeling of nucleation and growth.

### 390. STRUCTURE AND PROPERTIES OF EPITAXIAL OXIDES

B. W. Wessels, Department of Materials Sciences and Engineering  
(847) 491-3219 01-3 \$99,735

Electronic, optical and nonlinear optical properties of rare-earth doped thin film perovskite oxides, SrTiO<sub>3</sub>, BaTiO<sub>3</sub>, their solid solutions, and rare-earth doped niobates; metal organic chemical vapor deposition. Effect of rare-earth impurities on electrical and optical properties; Hall effect measurements, thermopower measurements, photoluminescence spectroscopy, photoluminescence decay, and transient photocapacitance spectroscopy. Structure and composition; high resolution transmission electron microscopy, analytical electron microscopy, and X-ray diffraction.

### 391. DEVELOPMENT OF X-RAY FACILITIES FOR MATERIALS RESEARCH AT THE ADVANCED PHOTON SOURCE

M. J. Bedzyk, Department of Materials Science and Engineering  
(847) 491-3570 02-2 \$183,311

Development of analytical instrumentation to be used on the DuPont-Northwestern-Dow Collaborative Access Team (DND-CAT) Beamline endstations at the Advanced Photon Source for research on the structure of advanced materials. This instrumentation will be used for standing wave X-ray studies of single crystal surfaces and thin films, thin film growth, and molecular monolayers on surfaces.

### 392. ENERGETICS, BONDING MECHANISM AND ELECTRONIC STRUCTURE OF CERAMIC/CERAMIC AND METAL/CERAMIC INTERFACES

A. J. Freeman, Department of Physics and Astronomy  
(708) 491-3343 02-3 \$70,000

Model the energetics, bonding, bonding mechanism and structure of metal/ceramic interfaces. Investigate surface electronic structure of oxides and interface grain boundaries in transition metal-simple oxide interfaces, e.g. Pd and Nb alumina interfaces as well as metal/SiC interfaces. Investigations of ferroelectricity in lead titanate and antiferroelectricity in lead zirconate. Investigations of the electronic structure of TiO<sub>2</sub> surfaces and the properties and structures of VO<sub>2</sub>/TiO<sub>2</sub> interface.



**393. MIXED IONIC-ELECTRONIC CONDUCTION  
AND PERCOLATION IN POLYMER  
ELECTROLYTE METAL OXIDE  
COMPOSITES**

M. A. Ratner, Department of Chemistry  
(708) 491-5655

D. F. Shrivvers, Department of Physics  
(708) 491-5655 03-2 \$50,000

This proposal is an investigation of ionic transport along and through interfaces, both within a given solid electrode or electrolyte and between solid electrodes and electrolytes. The objective is mechanistic understanding of which processes result in over potential, degradation, charge accumulation, and enhanced mobility at such interfaces. Two general classes of materials will be investigated: siloxane-based polymer electrolytes, and layered chalcogenide cathodes. Experiments will include synthesis and surface modification of electrolyte films, bulk and interfacial impedance measurements, and simulation of interfacial transport phenomena by Monte Carlo and percolation theory techniques.

**394. X-RAY SCATTERING STUDIES OF LIPID  
MONOLAYERS**

P. Dutta, Department of Physics and Astronomy  
(847) 491-5465

J. B. Ketterson, Department of Physics  
and Astronomy  
(708) 491-5468 03-3 \$75,000 (9 months)

Study the mechanical properties of organic monolayers on the surface of water (Langmuir films). Determine the microscopic structure of such films and of multilayers formed on repeatedly dipped substrates (Langmuir-Blodgett films) using ellipsometry, conventional source and synchrotron X-rays. Mechanical property studies directed toward structure after shear response. Diffraction techniques, involving external reflection at the monolayer surface, used to determine film structure.

**UNIVERSITY OF NOTRE DAME  
NOTRE DAME, IN 46556**

**395. EXPERIMENTAL FACILITIES FOR IN-SITU  
STUDIES OF MATERIALS AND  
PROCESSES AT THE ADVANCED  
PHOTON SOURCE**

B. A. Bunker, Department of Physics  
(219) 631-7432 02-2 \$200,000

Construction and implementation of the Materials Research Collaborative Access Team (MR-CAT) Beamlines at the Advanced Photon Source will be performed. Research is aimed at materials and processes under extreme conditions of temperature, field, processing or geometrical confinement. In collaboration with University of Florida (Duran).

**396. SINGLE ELECTRON TUNNELING**

S. T. Ruggiero, Department of Physics  
(219) 631-7463 02-2 \$49,000

This experimental program examines the so-called "Coulomb Staircase" effect arising from single electron tunneling into small metallic clusters. The clusters, consisting of only a few atoms, can thus be examined for bond lengths and basic electronic structure properties. The project focuses on opportunities to observe quantum effects in the important mesoscopic and few-atom regime.

**OHIO STATE UNIVERSITY  
2041 College Road  
Columbus, OH 43210-1178**

**397. EXPERIMENTAL AND THEORETICAL STUDY OF  
DISLOCATION PROCESSES AND  
DEFORMATION BEHAVIOR IN B2  
INTERMETALLIC COMPOUNDS  
OF THE (Fe,Ni) AL PSEUDOBINARY SYSTEM**

M.J. Mills, Department of Materials Sciences  
and Engineering  
(614) 292-2553 01-2 \$103,500

Mechanical properties and characterization of the dislocation microstructure of single crystals of several compositions within the (Fe,Ni)-Al pseudobinary system. Systematic examination of alloys bridging the behavior from FeAl to NiAl. Mechanical testing of the single crystals including constant strain-rate, strain-rate change and predeformation/temperature change experiments. Detailed characterization of the dislocation structures using both weak beam and high resolution transmission electron microscopy. Fundamental understanding of the complex dislocation processes which control the deformation behavior in these B2 compounds.

**398. THEORIES OF HEAVY FERMION NORMAL STATE**

D. Cox, Department of Physics  
(614) 292-0620 02-3 \$55,288 (9 months)

The normal state properties of heavy fermion materials are studied. Emphasis in three areas: (i) Monte Carlo studies of the two-channel Kondo lattice in infinite dimensions. Interest is the possible non-Fermi liquid behavior and precision of simpler approximations. (ii) Model uranium impurities in the extreme mixed valence phase where  $f_2$  and  $f_3$  configurations are nearly equally occupied. Interest is the crossover between ground state nature and implications for the non-linear susceptibility. (iii) Melting of local density approximation calculations of hybridization matrix elements and host electronic structure with dynamic many body treatments of the f-electron sites.

**399. STRONGLY INTERACTING FERMION SYSTEMS**

J. W. Wilkins, Department of Physics  
(614) 292-5193 02-3 \$108,000

The study of the optical properties of insulators with enhancements (i) parallel computation of the quasi-particle band structures with attention to defects and superlattices; (ii) optical properties in strong magnetic fields. Work on strongly correlated 'atoms', in addition to the program on transition metals, will involve the study of the (iii) use of pseudopotentials in atoms/molecules, (iv) use of non-orthogonal orbits to enhance the effectiveness or state selection, (v) real-time dynamics of atoms and quantum dots in the presence of ultrafast, intense laser pulses. In addition, work on many-body effects in bulk or limited dimensional systems will with (vi) realistic treatment of electron-electron scattering in magnetic transition metals and (vii) combined effect of electron-phonon and impurity scattering on transport effects.

**400. MOLECULAR/POLYMERIC MAGNETISM**

A. J. Epstein, Department of Physics  
(614) 292-1133/3704 03-1 \$175,000

Study of cooperative magnetic behavior and its microscopic origins in molecular and polymeric materials. Synthesis and characterization of novel ferromagnets and elucidation of the origins of ferromagnetic exchange. Objective is to develop design criteria for the synthesis of new ferromagnetic materials possessing desirable physical properties including high temperature transitions to a ferromagnetic state. Study of magnetism in molecular ferromagnets and origins of the ferromagnetic exchange. Synthesis of  $V(\text{TCNE})_x \cdot y$  (solvent), including single crystals, and analogous molecular-based organic systems.

Measurements of magnetism as a function of field, temperature, and pressure and comparison of results with models of one-dimensional ferro-ferrimagnetism. X-ray and inelastic neutron scattering measurements for magnetic structure.

**OHIO UNIVERSITY**

Athens, OH 45701-2979

**401. ELECTRONIC INTERACTIONS IN CONDENSED MATTER SYSTEMS**

S. E. Ulloa, Department of Physics and Astronomy  
(614) 593-1729 02-3 \$55,000

Theory of semiconductor systems, specifically those where electrons are confined to regions of only a few Fermi wavelengths. Work includes the effects of geometrical confinement and its interrelationship with electric and magnetic fields and transport properties of systems in the ballistic and near-ballistic regimes. Confined systems will be investigated to determine whether confinement induces collective and single-particle modes in their optical response. Transport issues to be investigated will include the loss of phase coherence by elastic and inelastic scattering, transit times and the character of the tunneling mechanism.

**OLD DOMINION UNIVERSITY**

Norfolk, VA 23529-0246

**402. DYNAMICS OF SURFACE MELTING**

H. E. Elsayed-Ali, Department of Electrical and Computer Engineering  
(804) 683-3748 03-3 \$100,000

Experimental investigation of the dynamics of surface melting for metallic single crystals and thin epitaxial metal films. Time-resolved reflection high energy electron diffraction (RHEED), with picosecond time resolution, is used to study the surface melting upon fast heating and cooling. Observation of the time evolution of lattice expansion during ultrafast heating. Studies of the role of surface roughness on the nucleation and the growth of disorder during surface melting. Examples of systems investigated are surfaces of Pb and Bi, and epitaxial films of Pb on Si.

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**OREGON STATE UNIVERSITY**  
Corvallis, OR 97331

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**403. HYPERFINE EXPERIMENTAL INVESTIGATIONS OF POINT DEFECTS AND MICROSCOPIC STRUCTURE IN COMPOUNDS**

J. A. Gardner, Department of Physics  
(503) 737-3278 01-1 \$129,359

Perturbed angular correlation (PAC) spectroscopy of nuclear gamma rays to investigate defect complexes, and microscopic structure in ceria, zirconia, and II-VI compounds containing either  $^{111}\text{In}$  or  $^{181}\text{Hf}$  as a probe. PAC characterization of free energies, transformation mechanisms, equilibrium phase boundaries, diffusion and relaxation models, short range order, order-disorder reactions, and elevated-temperature/time dependent effects. NMR and EXAFS measurements to complement and expand the studies of local structure and oxygen vacancy dynamics.

**404. UPGRADE OF THE HIGH-RESOLUTION NEUTRON POWDER DIFFRACTOMETER AT THE HIGH FLUX BEAM REACTOR AT BROOKHAVEN NATIONAL LABORATORY**

A. W. Sleight, Department of Chemistry  
(541) 737-6749 02-1 \$234,620

Upgrade of the High Resolution Neutron Powder Diffractometer at the High Flux Beam Reactor to increase the range of available wavelengths and provide an even higher resolution than is presently available.

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**UNIVERSITY OF OREGON**  
Eugene, OR 97403-1274

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**405. NANOSCALE MATERIAL PROPERTIES: SOFT X-RAY SPECTROSCOPY AND SCATTERING**

S. D. Kevan, Department of Physics  
(503) 346-4742 02-2 \$172,000

This research program probes the structure of materials, surfaces, and interfaces on a variety of length and energy scales using soft X-rays. New focus is on understanding the interactions between adsorbates on a surface; diffusion and nucleation in materials prepared as synthetic multilayers and then reacted; fluctuation phenomena of surface diffusion, destruction of long range order, and

polymers. Focus on Fermi surface problems and quasi-2D transition metal phosphochalcogenide materials will continue at a diminished rate of progress.

**406. NONLINEAR OPTICAL STUDIES OF SEMICONDUCTOR/LIQUID AND LIQUID INTERFACES**

G. L. Richmond, Department of Chemistry  
(503) 346-4635 03-2 \$90,000

Studies of interfacial structure and dynamics using second harmonic generation (SHG) and hyper-Raman scattering. Development of SHG for monitoring electrochemical reactions on a nanosecond to femtosecond timescale, correlation of surface structure with electron transfer kinetics, thin-film nucleation and growth, and analyses of the structure and reactive role of surface defects.

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**PENNSYLVANIA STATE UNIVERSITY**  
104 Davey Laboratory  
University Park, PA 16802

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**407. VIBRATIONAL AND ELECTRONIC PROPERTIES OF FULLERENE AND CARBON-BASED THIN FILMS**

J. S. Lannin, Department of Physics  
(814) 865-9231 01-1 \$102,241

Study of nanoscale systems of carbon-based solids using conventional multichannel and interference Raman scattering (IERS) and vibrational and electronic high resolution electron energy loss spectroscopy (HREELS). The three forms of carbon are: 1) fullerene-based, metal- $\text{C}_{60}$  systems; 2) very small, 1-2nm, isolated nanocrystalline graphite-derived particles on surfaces; and 3) amorphous diamond-like carbon (DLC). Pulsed laser and vacuum arc plasma deposition techniques together with multilayer methods to make  $\text{A}_x\text{C}_{60}$  materials (where A = Na, K, and RB). Thin and ultrathin film studies to clarify the effects of alkali type and concentration on structural disorder and electron-phonon coupling.

**408. FUNDAMENTAL STUDIES OF PASSIVITY AND PASSIVITY BREAKDOWN**

D. D. Macdonald, Department of Materials Science and Engineering  
(814) 863-7772 01-3 \$60,000

Study of the effects of minor alloying elements on passivity breakdown and of photo effects on properties of passive films. Use of electrochemical and photoelectrochemical techniques to explore transport and kinetic properties of vacancies and charge carriers in films and at metal/film and film/solution interfaces. Development of point defect and solute/vacancy interaction models. Electrochemical impedance spectroscopy to determine transport properties of vacancies in passive films and to explore kinetics of vacancy generation and annihilation at metal/film and

film/solution interfaces. Kinetics of localized attack. Design new corrosion-resistant alloys and explore susceptibilities of existing alloys to pitting corrosion.

- 409. LASER WELDING OF AUTOMOTIVE ALUMINUM ALLOYS TO ACHIEVE DEFECT-FREE STRUCTURALLY SOUND AND RELIABLE WELDS**  
T. DebRoy,  
(814) 865-1974 01-5 \$300,000 (34 months)

Laser welding of automotive aluminum alloys. Alloying element loss and porosity formation. Numerical modeling of temperature field and weld pool geometry. Prevention of porosity formation. Role of surface active elements. Emission spectroscopy.

- 410. AN INVESTIGATION OF THE STRUCTURE AND PHASE RELATIONS OF C-S-H GELS**  
M. W. Grutzeck,  
(814) 863-2779

A. Benesi  
(814) 865-0941 01-5 \$20,000

Structural and compositional evolution of calcium silicate and calcium silicate hydrates (C-S-H) gels during hydration; magic angle spinning and cross polarization magic angle spinning NMR, TEM, trimethylsilylation, BET, SEM, XRD and TGA/DTA; effect of drying methods, alkali chloride and carbonation on C-S-H structure. Hydration model developed.

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**UNIVERSITY OF PENNSYLVANIA**  
3231 Walnut Street  
Philadelphia, PA 19104

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- 411. SCANNING TUNNELING MICROSCOPY AND SPECTROSCOPY OF CERAMIC INTERFACES**  
D. A. Bonnell, Department of Materials Science and Engineering  
(215) 898-6231 01-1 \$74,238

Investigation of the effects of interfacial structure and chemistry on the local electrical properties at grain boundaries in ceramics using scanning tunneling microscopy (STM) and transmission electron microscopy (TEM). Develop improved understanding regarding the imaging of large band gap structures in STM. Measurements and calculations of space charge at interfaces.  $\text{SrTiO}_3$  is used as the model oxide.

- 412. STRUCTURE AND DYNAMICS IN LOW-DIMENSIONAL GUEST-HOST SOLIDS**  
J.E. Fischer, Department of Materials Science and Engineering  
(215) 898-6924 01-1 \$179,648

Structural and dynamical studies on layer intercalates and doped polymers and fullerenes. Emphasis on competing interactions on phase equilibria, lattice dynamics and microscopic diffusion phenomena in low-dimensional systems. Study of staging phenomenon. X-ray, elastic and inelastic neutron scattering performed as a function of temperature, hydrostatic pressure, doping or intercalate concentration and/or chemical potential. Materials include graphite intercalations (especially with Li and  $\text{AsF}_6$ ), Li-intercalated  $\text{TiS}_2$  and alkali-doped polymers and fullerenes.

- 413. ATOMISTIC STUDIES OF INTERFACES IN MULTI-COMPONENT SYSTEMS**  
V. Vitek, Department of Materials Science and Engineering  
(215) 898-7883 01-1 \$112,775

Atomistic computer simulation studies of grain boundaries in binary ordered and disordered alloys. Investigation of grain boundaries with segregated solutes. Study of grain boundary and metal-ceramic interface electronic structure. Methods of calculation of interatomic forces.

- 414. STRAIN LOCALIZATION AND EVOLVING MICROSTRUCTURES**  
C. Laird, Department of Materials Science and Engineering  
(215) 898-6703

J. L. Bassani, Department of Mechanical Engineering and Applied Mechanics  
(215) 898-7106 01-2 \$125,837

Study of micromechanics of deformation and fracture processes at grain boundaries as affected by the structure of the boundary, slip geometry, hardening under multiple slip deformation, and the incompatibility of deformation at the boundary. Monotonic and cyclic experiments will focus on copper bicrystals and slip line analysis. TEM will be combined with continuum methods. The behavior of copper will be compared to Cu-Al having different stacking fault energies and a planar-slip mode.

- 415. CONDENSED MATTER PHYSICS AT SURFACES AND INTERFACES OF SOLIDS**  
E. J. Mele, Department of Physics  
(215) 898-3135 02-3 \$55,000

Theoretical studies of the lattice dynamics of reconstructed semiconductor surfaces. Computations, employing a developed theoretical model, will be used to investigate the effects of surface defect configurations through the surface elastic properties, the effects of simple commensurate surface defects and the effects of

defect configurations which break the translational symmetry parallel to the surface. The systems will be investigated by a generalization of a long wavelength elastic theory to describe scattering of elastic waves by the various surface and figurations. An investigation of the dynamics of strongly correlated many fermion systems near the Mott insulating limit will be made.

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**UNIVERSITY OF PITTSBURGH**  
Pittsburgh, PA 15260

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**416. THE PHYSICS OF PATTERN FORMATION AT LIQUID INTERFACES**

J. V. Maher, Department of Physics and Astronomy  
(412) 624-9007 02-2 \$114,100

The formation of patterns at liquid interfaces and the behavior of interfaces inside disordered systems is investigated in: 1) a study of the changes in patterns available to the growth of a macroscopic interface when that interface is grown over one of a variety of "microscopic" lattices, 2) a study of reversible aggregation of colloidal particles in a mixed solvent, and of the interactions and relaxations of both solvent and suspended particles when thermodynamic conditions are changed for a liquid matrix with suspended particles or fibers, and 3) an investigation of the sedimentation of particles in a quasi-two-dimensional viscous fluid, with attention both to the dynamics of the flow and to the roughness of the resulting surface of settled particles.

**417. PATTERN FORMATION IN POLYMER FILMS**

A. C. Balazs, Department of Materials Science and Engineering  
(412) 648-9250 03-2 \$70,651 (2 months)

Computer simulations and theoretical models to examine how architecture and morphology affect the properties of polymers at surfaces and interfaces. Of particular interest is understanding how the architecture of the polymer chain and conditions such as the nature of the surface or solvent affect the extent of adsorption and the morphology of the interfacial layers. By understanding the factors that affect adsorption, predictions of chain geometries and conditions will yield the optimal interfacial structure for such applications as patterning, adhesion and film growth. The approach involves using statistical mechanics, molecular dynamics and Monte Carlo computer simulations to model the polymer-surface interactions. These studies can allow the

determination of how varying molecular structure or the chemical environment affects the properties of the interface.

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**POLYTECHNIC UNIVERSITY**

Six MetroTech Center  
Brooklyn, NY 11201

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**418. PROCESSING, DEFORMATION AND MICROSTRUCTURE OF SINGLE CRYSTAL L<sub>1</sub><sub>0</sub> TYPE INTERMETALLIC COMPOUNDS**

S.H. Whang, Department of Metallurgy and Materials Science  
(718) 260-3144 01-2 \$102,703

Processing, deformation, and microstructural characterization of single crystals L<sub>1</sub><sub>0</sub> type TiAl and CoPt compounds to elucidate mechanical property-microstructure relationship, in particular in relationship with the anomalous hardening in TiAl. Elastic constants and TEM observations of dislocation structures will be employed to develop theoretical models to explain the deformation mechanism and fracture behavior in TiAl.

**419. SCANNING TUNNELING MICROSCOPY OF SOLIDS AND SURFACES**

E. L. Wolf, Department of Applied Mathematics and Physics  
(718) 260-3850 02-2 \$96,000

This combined theoretical and experimental program utilizes Scanning Tunneling Spectroscopy as the preferred experimental technique to examine electronic properties and processes at the surface and to relate them to structure. Primary interests are the superconducting pairing mechanism; proximity effects in cuprate superconductors and overlaid metal films; fluctuations in the order parameter, and the correlation of fluctuations with magnetic excitations. Theoretical effort deals with the coupled Josephson junction view of the cuprate superconductors and interactions with the magnetic field.

**420. STRONGLY CORRELATED ELECTRONICS MATERIALS**

P. Riseborough, Department of Physics  
(718) 260-3675 02-3 \$56,000

Theoretical studies of the effects of strong electronic correlations on highly degenerate narrow band materials such as uranium and cesium based f band metals. Short range ordering that may occur as a result of local moment correlations using a 1/N expansion, where N is the degeneracy of the material. Similar techniques applied to high T<sub>c</sub> superconductors. Field dependence of the de Haas-van Alphen effect. Compton scattering and Angle Resolved Photoemission Spectra for the latter materials. Comparison of theory with these and other experimental observations.

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**PRINCETON UNIVERSITY**

Guyot Hall

Princeton, NJ 08544-1033

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**421. THERMOCHEMICAL STUDIES OF THE STABILITY OF NITRIDES AND OXYNITRIDES**

A. Navrotsky, Department of Geological and Geophysical Sciences

(609) 258-4674 01-3 \$95,272

The basic thermodynamic properties of nitrides and oxynitrides and the relations among energetics, structure, and bonding are far less well known than for oxides. The goals of this work are to develop high temperature reaction calorimetric techniques for measuring enthalpies of formation of nitrides and oxynitrides, to determine energetics of silanes and ternary nitrides, and to formulate thermochemical systematics useful for predicting phase stability, materials compatibility, and the synthesis of new compounds.

**422. DEVELOPMENT OF ADVANCED X-RAY SCATTERING FACILITIES FOR COMPLEX MATERIALS**P. Eisenberger, Princeton Materials Institute  
(609) 258-4580 02-2 \$0 (0 months)

Construction and implementation of the Complex Materials Collaborative Access Team (CMC-CAT) Beamline at the Advanced Photon Source will be performed. Research is aimed at structural characterization of complex materials. Included materials include complex fluids, self-assembling systems, surfaces and interfaces, and heterogeneous materials.

**423. STRUCTURE AND STEREOCHEMISTRY OF SELF-ASSEMBLED MONOLAYERS**

G. Scoles, Department of Chemistry

(609) 258-5570 03-2 \$87,500 (7 months)

Fundamental investigation of the self-assembly at metallic surfaces of substituted long-chain hydrocarbons with complex head groups. Use of both low energy atom diffraction and grazing incidence X-ray diffraction for structural characterization of monolayers of the chain hydrocarbons as a function of the chemical composition of their respective terminal groups. Determination of relative positions, alignment and orientations of the terminal groups not only as a function of the chain length of the supporting hydrocarbon but also as a function of temperature. Measurement of the stereo reactivity of the functional groups, such as double bonds and halogen substituted methyl groups, by exposure of the monolayers to collimated fluxes of reactive

species (e.g., oxygen and fluorine; and the determination of the reaction probabilities as a function of direction and energy of the incoming species. Specific examples of monolayer systems used in the studies are  $C_6H_{13}SH$  and  $C_{22}$  chains and with either  $-CH_2Br$  or  $-CH=CH_2$  terminal groups.

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**UNIVERSITY OF PUERTO RICO**

Facundo Bueso Bldg.

Rio Piedras, PR 00931

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**424. PARTICLES, PROCESSES AND MATERIALS FOR MODERN ENERGY NEEDS: DEVELOPMENT OF A DOE EPSCOR PROJECT IN PUERTO RICO**

B. R. Weiner, Resource Center for Science and Engineering

(809) 765-5170 03-3 \$925,000

The University of Puerto Rico has DOE/EPSCoR program with research thrusts in the following three areas: Cluster 1, "High Energy Particle Physics;" Cluster 2, "Novel Thin Materials for Optoelectronic Applications;" and Cluster 3, "Catalytic Processes in Photooxidation, Combustion and Environmental Detoxification."

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**PURDUE UNIVERSITY**West Lafayette, IN 47907

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**425. BEAM LINE OPERATION AND MATERIALS RESEARCH UTILIZING NSLS**

G. L. Liedl, School of Materials Engineering

(317) 494-4100 01-1 \$270,337

A grant to support MATRIX, a group of scientists from several institutions who have common interests in upgrading and in utilizing X-ray synchrotron radiation for unique materials research. The group has available a specialized beamline at the National Synchrotron Light Source (NSLS). A unique and versatile monochromator provides radiation to a four-circle Huber diffractometer for the basic system. Multiple counting systems are available as well as a low temperature stage, a high temperature stage, and a specialized surface diffraction chamber. The grant covers the operational expenses and system upgrade of this beamline at NSLS for all MATRIX members, and to support part of the research on phase transformation studies, X-ray surface and interface studies.

**426. MIDWEST SUPERCONDUCTIVITY CONSORTIUM**

A. L. Bement, School of Materials Engineering  
(317) 494-4100 01-5 \$3,076,718

The Midwest Superconductivity Consortium (MISCON) was formed in response to Congressional direction. The consortium emphasis is in issues of ceramic superconductor synthesis, development, processing, electron transport, and magnetic behavior. Efforts are both theoretical and experimental. The membership includes Purdue University, University of Nebraska, Notre Dame University, Ohio State University, Indiana University, and the University of Missouri-Columbia.

**427. X-RAY PHYSICS OF MATERIALS**

S. Durbin, Department of Physics  
(317) 494-6426

R. Colella, Department of Physics  
(317) 494-6426 02-2 \$300,000

Development of end stations to be used on the Synchrotron Radiation Instrumentation Collaborative Access Team (SRI-CAT) beamlines at the Advanced Photon Source for diverse X-ray physics studies including microdiffraction, standing wave applications, imaging optics, resonant nuclear scattering, and magnetic diffraction.

**UNIVERSITY OF RHODE ISLAND**

317A East Hall  
Kingston, RI 02881-0817

**428. PHYSICS WITH ULTRACOLD AND THERMAL NEUTRON BEAMS**

A. Steyerl, Department of Physics  
(401) 792-2204 02-1 \$25,000

The methods of surface reflectometry will be extended to use ultra cold neutrons. This offers the unique possibility to improve the experimental sensitivity to the point where extremely small momentum and energy transfers relevant in critical surface phenomena will be accessible to experiment. A combination of the ultra cold neutron technique with X-ray and thermal neutron reflectometry as well as other techniques should lead to a more complete picture of surface properties. The techniques for this work require the development of high precision neutron optics. Applications to magnetic multilayer systems, surfactant effects, polymer films, and to effects influencing neutron lifetimes.

**429. UPGRADE OF NSLS BEAMLINE X24A WITH IMPROVED ELECTRON DETECTOR**

D. Heskett, Physics Department  
(401) 874-2634 02-2 \$117,100

Upgrade of the electron detection equipment on beamline X24A of the National Synchrotron Light Source by utilizing a multi-channel spherical capacitor analyzer. This hemispherical electron energy analyzer with position-sensitive detector will be used to increase the sensitivity and resolution of the electron detection and its multidetection capability will be used to reduce counting times by roughly an order of magnitude.

**RICE UNIVERSITY**

Houston, TX 77251-1892

**430. HIGH-RESOLUTION MAGNETIC IMAGING AND INVESTIGATIONS OF THIN-FILM MAGNETISM WITH SPIN-POLARIZED ELECTRON, ION AND ATOM PROBES**

G. K. Walters, Department of Physics  
(713) 527-6046

F. B. Dunning, Department of Physics  
(713) 527-8101 02-2 \$200,000

Exploitation of unique capabilities in electron spin polarimetry, polarized particle beams, and spin-sensitive electron spectroscopies to develop new imaging spectroscopies that are suitable for high-resolution studies of magnetic domain structures of thin films and multilayers. An existing Scanning Electron Microscope (SEM) will be modified to obtain an SEM with Polarization Analysis (SEMPA), which is estimated to be able to inspect written magnetic domain patterns on a thin film within a 20 to 50 nanometer length scale. Use of an incident beam of spin-polarized low energy He<sup>+</sup> ions to develop a Spin-Polarized Ion Neutralization Spectrometer (SPINS) that would be useful to image magnetic domain structures with a spatial resolution of about one micrometer. Application of the SEMPA and SPINS imaging techniques to investigate basic questions of magnetic data storage materials. Studies of ferromagnetic surfaces and thin films by use of Spin-Polarized Metastable (atom) Deexcitation Spectroscopy (SPMDS). Adaptation of existing SPMDS apparatus in order to study the interaction of Rydberg atoms with surfaces.

**ROCKWELL INTERNATIONAL**

1049 Camino Dos Rios  
Thousand Oaks, CA 91358

**431. MECHANISMS TEMPERATURE FRACTURE AND FATIGUE OF CERAMICS**

B. N. Cox, Science Center  
(805) 373-4128

D. B. Marshall  
(805) 373-4170

W. L. Morris  
(805) 373-4545 01-2 \$0 (0 months)

Investigate the relationship between microstructure and fatigue behavior in fiber/whisker and metal reinforced ceramics. Distinguish crack bridging and crack-tip-shielding mechanisms by very precise measurements of crack opening displacements and displacements fields ahead of the crack tip using a computer-based high accuracy strain mapping system (HASMAP). Study the rate of change of crack bridging forces and the nonlinear constitutive behavior that causes crack shielding. Systematic studies of the effects of variations in microstructure and changes in interface characteristics on fatigue.

**STATE UNIVERSITY OF NEW JERSEY  
RUTGERS**

Piscataway, NJ 08855

**432. THERMODYNAMIC, KINETIC AND STRUCTURAL BEHAVIOR OF SYSTEMS WITH INTERMEDIATE PHASES IN CERAMIC AND METAL SYSTEMS**

A.G. Khachaturyan, Department of Mechanics and Materials Science  
(908) 932-2888

T. Tsakalakos  
(908) 932-4711

S. Semenovskage  
(908) 932-4711 01-1 \$92,020

Development of theoretical and computational simulation methods which can study the diffusional (ordering and decomposition) and martensitic transformations in metal alloys, complex ceramics, intermetallics, and nanostructures over different temperature and stoichiometry ranges.

**SOUTH CAROLINA STATE UNIVERSITY**

300 College Street, N.E.  
Orangeburg, SC 29117

**433. CHARACTERIZATION AND THERMOPHYSICAL PROPERTIES OF BI-BASED CERAMIC SUPERCONDUCTORS: PART A**

J.E. Payne, Department of Physics  
(803) 536-7111 01-3 \$122,844

The measurement of the heat capacity of YBCO and BiSCCO single crystal superconductors is being performed. Because available single crystals are small, microcalorimetry techniques, suitable for measuring submilligram specimens are used. Thermophysical measurements are expected to provide insight into the origin of the superconductivity state, and characterizing electron-phonon-interaction.

**UNIVERSITY OF SOUTHERN CALIFORNIA**  
Los Angeles, CA 90089**434. FACTORS INFLUENCING THE FLOW AND FRACTURE OF SUPERPLASTIC CERAMICS**

T.G. Langdon, Department of Materials Science  
(213) 740-0491 01-2 \$128,808

Investigation of flow mechanisms and method of optimizing superplastic characteristics in yttria-stabilized zirconia (Y-TZP). Propose to build on earlier work by investigating factors which influence the mechanical properties and overall ductility, including examining the role of impurities or an amorphous grain boundary phase. Three critical experiments: (1) direct measurements of grain boundary sliding using scanning electron microscopy, (2) extend analytical procedure for quantitative measurements of cavity distributions to include cavities of very small sizes ( $\sim 0.1 \text{ micro-}2$ ), thereby obtaining information on cavity nucleation sites, (3) examine feasibility of using photoacoustic technique as a non-destructive evaluation technique to reveal presence of internal cavitation in Y-TZP samples after superplastic deformation.



**SOUTHERN UNIVERSITY**

P.O. Box 11746  
Baton Rouge, LA 70813

**435. INSTALLATION OF A SYNCHROTRON  
RADIATION BEAMLINE FACILITY AT THE  
J. BENNETT JOHNSTON, SR. CENTER  
FOR ADVANCED MICROSTRUCTURES  
AND DEVICES FOR THE SCIENCE AND  
ENGINEERING ALLIANCE**

R. Gooden, Department of Chemistry  
(504) 771-3994 02-2 \$223,500

Initiation and performance of materials sciences research by scientists from member institutions of the Science and Engineering Alliance at the J. Bennett Johnston, Sr. Center for Advanced Microstructures and Devices (CAMD), Louisiana State University, Baton Rouge, Louisiana using existing CAMD beamlines; the long-term goals, following establishment of the materials sciences research program are the design, construction, installation, and use of end stations on existing CAMD beamlines unique to the research programs of the Science and Engineering Alliance (SEA) institutions, and, ultimately the design, construction, and operation of dedicated synchrotron radiation beam lines supporting the research of the SEA member faculties and students. Members of the SEA include Alabama A&M University, Jackson State University, Prairie View A&M University and Southern-University and A&M College.

**436. SPECTROSCOPIC STUDIES AND  
MAGNETIC PROPERTIES OF SELECTED  
RARE EARTH ALLOYS**

R. C. Mohanty, Department OF Physics  
(504) 771-4130 02-2 \$85,000

Research to study the magnetic properties of alloys which are predominantly composed of transition metals and rare earths. Synthesis of suitable alloys and their magnetization by various techniques. Investigation of the phase equilibria and microstructures of the alloys after various types of heat treatments, the determination of the alloys' hard magnetic properties as a function of temperature, and the elucidation of those magnetic properties as a function of heat treatment and microstructural variations. Examples of materials to be investigated are alloys in the classes of  $\text{Nd}_2\text{Fe}(\text{T}_{14}\text{B})$ ,  $\text{Nd}(\text{Dy}_2\text{Fe}(\text{Co}, \text{T}_{14}\text{B}))$ , and  $\text{Nd}_2\text{Fe}(\text{T})_{14}\text{BX}$ , where T represents small concentrations of either Ru or Rh and X is either Be or C.

**STANFORD UNIVERSITY**

Stanford, CA 94305-4055

**437. HIGH RESOLUTION SPIN- AND  
ANGLE-RESOLVED PHOTOEMISSION FACILITY  
FOR HIGHLY CORRELATED ELECTRON  
SYSTEMS AT THE ADVANCED LIGHT SOURCE**

Z. Shen, Department of Applied Physics  
(415) 725-8254 02-2 \$300,000

Development of an experimental station at the Advanced Light Source for high resolution angle- and spin-resolved photoemission investigations of highly correlated electron systems.

**438. STRUCTURAL RELIABILITY OF CERAMICS AT  
HIGH**

**TEMPERATURE: MECHANISMS OF FRACTURE  
AND**

**FATIGUE CRACK GROWTH**

R. Dauskardt, Materials Sciences and Engineering  
(415) 725-0679 01-2 \$167,478

Study of the fundamental micromechanisms of cyclic fatigue in several classes of ceramics and ceramic-matrix composites. Subcritical crackgrowth under cyclic applied loads at temperatures as high as 1400°C. Identification of mechanisms responsible for cyclic fatigue, a study of their temperature dependence, and development of mechanistic models describing fatigue failure. Adaptation and development of life prediction procedures. Design of composite ceramic microstructures with optimum resistance to cyclic fatigue.

**439. MECHANICAL PROPERTIES OF THIN FILMS AND  
LAYERED MICROSTRUCTURES**

W. D. Nix, Department of Materials Science  
and Engineering  
(415) 725-2605 01-2 \$139,747

Study of the strength and adhesion properties of thin films and metal multilayers. FCC/BCC metal multilayer combinations with a wide range of wavelengths made by sputter deposition. X-ray diffraction studies and substrate curvature measurements of multilayer stresses and TEM for the study of microstructure, defects and interfacial epitaxy. Nanoindentation substrate curvature measurements and bulge testing using a laser interferometer system. Modeling of the strength properties of metal multilayers.

**440. STUDIES OF SMALL MAGNETIC  
STRUCTURES USING  
NEAR-FIELD MAGNETO-OPTICS**

A. Kapitulnik, Department of Applied Physics  
(415) 723-3847 02-2 \$100,000

The novel technique of Sagnac magnetometry will be used in both the far field and near field modes to study the magnetic microstructure of thin magnetic films and multilayers on a sub-micrometer length scale. With this method, sufficient resolution and sensitivity will be achieved to study the structure of small domains and domain walls. This method has an advantage over other probes such as electron microscopy and atomic force microscopy in that it can be performed in any size magnetic field. This method has had great success in the search for anyon superconductivity in the high temperature superconductors. The method does not have the resolution of SEMPA (scanning electron microscopy with polarization analysis), but it is laser based spectroscopy and thus has a much wider range of applicability. The work proposed here will apply a new tool to the study of magnetism and will open a whole new field.

**441. ULTRA-LOW TEMPERATURE PROPERTIES  
OF AMORPHOUS AND GLASSY  
MATERIALS**

D. D. Osheroff, Department of Physics  
(415) 723-4228 02-2 \$112,000

The low temperature dielectric properties of amorphous systems are being investigated. Convincing evidence has now been obtained that interactions between thermally active defects in glasses leads to a hole in the defect density at zero field similar to that observed in spin glasses. The importance of the implications of this hole for other low temperature properties will now be studied in the expectation that a better overall understanding of amorphous materials will result. Development of glass capacitance thermometry will continue incorporating adjustments for the complications implied by the more complex dielectric response due to the interactions of the defects.

**442. SEARCH FOR THE MECHANISM OF HIGH  
 $T_c$  SUPERCONDUCTIVITY**

J. P. Collman, Department of Chemistry  
(415) 725-0283

W. A. Little, Department of Physics  
(415) 723-4233 03-1 \$100,000

The proposed research is a two-pronged attack on the question of the nature of the mechanism responsible for the superconductivity of the high  $T_c$  superconductors using two newly developed techniques uniquely suited for such studies. One

involves the measurement of minute changes in the reflectivity of a superconducting sample upon entering the superconducting state, and the other the use of tri-layer, N'NS proximity effect sandwiches for studies of interference phenomena. Electrochemical experiments using the high  $T_c$  electrodes at cryogenic temperatures are also being conducted.

**STATE UNIVERSITY OF NEW YORK AT  
BUFFALO**

Buffalo, NY 14260-3000

**443. SUNY BEAMLINE FACILITIES AT THE NATIONAL  
SYNCHROTRON LIGHT SOURCE**

P. Coppens, Department of Chemistry  
(716) 645-2217 02-2 \$250,000

Development and operation of beamline facilities at the National Synchrotron Light Source for X-ray diffraction, X-ray absorption spectroscopy, and other X-ray scattering techniques by a participating research team composed of investigators from many of the State University of New York campuses, Alfred University, E. I. DuPont de Nemours, the Geophysical Institution and collaborative work with numerous other institutions. The research interests are: structure of materials, electronic structure of materials, surface physics, compositional analysis, and time-dependent biological phenomena.

**444. X-RAY STUDIES OF MICROSTRUCTURES IN  
SEMICONDUCTOR AND SUPERCONDUCTING  
MATERIALS**

Y. H. Kao, Department of Physics  
(716) 645-2576 02-2 \$100,000

State-of-the-art techniques making use of the high-intensity X-rays from synchrotron radiation are employed for a systematic study of the short-range-order microstructures in multilayer semiconductors. Emphasis is on studies of semiconductor heterostructures and superlattices grown by molecular beam epitaxy. Focus is on the interfacial microstructures and the effects of chemical doping.

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**STATE UNIVERSITY OF NEW YORK AT STONY BROOK**  
Stony Brook, NY 11794-3400

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- 445. SELF-ASSEMBLY BEHAVIOR AND POLYMERIZATION PROCESSES IN SUPERCRITICAL FLUIDS COMPOSITES**  
B. Chu, Department of Chemistry  
(516) 632-7928 03-2 \$84,000

Kinetics of phase separation in polymer solutions and blends. Structure of phase separated droplets. Size, shape, and distribution of microdomains measured using light and X-ray scattering, excimer fluorescence, and optical microscopy. Phase separation kinetics measured using time-resolved, small angle X-ray scattering at the National Synchrotron Light Source. Studies of rod-like polymers and functionalized rod (hairy rod) polymers. Synthesis and characterization of molecular composite based supramolecular structures.

- 446. THE EFFECTS OF CONFINEMENT ON POLYMER CHAINS IN THIN FILMS**  
M. Rafailovich, Department of Material Science  
(516) 632-8483
- J. Sokolov, Department of Material Science  
(516) 632-8483 03-2 \$72,000

This program studies the properties of homopolymers and block-copolymers confined to solid and liquid interfaces. The areas of research are the wetting of thin polymer films and polymer brushes, the dynamical properties of grafted polymers in melts and solutions, and the dynamics of asymmetric block co-polymer ordering near surfaces. Complementary experimental profiling techniques being used in this research include dynamic secondary ion mass spectroscopy (SIMS), atomic force and transmission electron microscopy (AFM and TEM), and neutron and X-ray reflectivity.

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**STEVENS INSTITUTE OF TECHNOLOGY**  
Hoboken, NJ 07030

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- 447. IMPROVING RESOURCE UTILIZATION AND POLLUTION REDUCTION IN PLASTICS PROCESSING**  
J. Killion  
(201) 216-8968 01-5 \$150,000

Thermomechanical data on polymer processing is generated, modelled and then applied using the concepts of lifecycle analysis to portions of the polymer processing industry.

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**UNIVERSITY OF TENNESSEE**  
300 South College  
Knoxville, TN 37996-1501

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- 448. X-RAY SCATTERING FACILITY FOR DYNAMICS OF SURFACES AND INTERFACES**  
E. W. Plummer, Department of Physics & Astronomy  
(423) 974-2288 02-2 \$373,500

Design and construction of a bending magnet beamline and surface scattering endstation at the Advanced Photon Source. The first optics enclosure will be shared with a second beamline (for EXAFS and imaging). This is part of the sector operated by the Complex Materials Collaborative Access Team (CMC-CAT).

- 449. INVESTIGATIONS OF THE EFFECTS OF ISOTOPIC SUBSTITUTION, PRESSURE, POLYMER SEGMENT NUMBER, AND SEGMENT DISTRIBUTION, ON MISCIBILITY IN POLYMER-SOLVENT AND POLYMER-POLYMER SYSTEMS**  
A. Van Hook, Department of Chemistry  
(615) 974-5105 03-2 \$112,500

Measurement of phase separation temperature and related properties as a function of isotopic labeling (H/D) and pressure in polymer-polymer and polymer-solvent systems. Comparison, through the use of statistical theory of isotope effects in condensed phases, of isotope effect and pressure effects on the thermodynamic properties of solution, in particular the consolute properties. These measurements will be used to refine present molecular models of polymer-polymer and polymer-solvent interactions. The results will aid in the interpretation of neutron scattering data in H/D mixtures of polymers.

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**TEXAS A&M UNIVERSITY**  
416 Engin. Physics Bldg.  
College Station, TX 77843-4242

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**450. INFORMATION-BEARING STRUCTURES  
AND MAGNETISM OF THIN FILMS**  
V. Pokrovsky, Department of Physics  
(409) 845-1175

W. Sasslow, Department of Physics  
(409) 845-1175 02-3 \$35,900

A theoretical investigation into the magnetic behavior of thin film ferromagnets will be performed to elucidate possible topological structures, their interactions, and their dynamics. Because the system involves both competition between weak interactions of exchange, spin anisotropy, and dipole-dipole magnetic coupling and also formally has no long range order (fluctuations are large), its behavior is very rich and quite sensitive to external perturbation. Structural types to be considered are Skyrmions, vortices, domain walls, and the glassy state. Applied magnetic fields and surface structure are the primary types of perturbing influences to be considered.

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**UNIVERSITY OF UTAH**  
304 EMRO  
Salt Lake City, UT 84112

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**451. THEORETICAL AND EXPERIMENTAL  
STUDY OF ORDERING IN III/V SYSTEMS**  
G.B. Stringfellow, Department of Materials  
Science and Engineering  
(801) 581-8387 01-1 \$90,090

Explores the kinetic processes leading to atomic scale ordering in III/V semiconducting alloys during epitaxial growth by organometallic vapor phase epitaxy (OVMP). Emphasis on expanding the ordered structure domain size and increasing the degree of ordering. Characterization of the structural, electrical and optical properties by atomic force microscopy, high resolution electron microscopy, electron microprobe, X-ray diffraction, photoluminescence, optical absorption, and Raman spectroscopy. Materials for study include alloys of GaInP, GaInSb, GaSb, InPSb, and InAsSb.

**452. PROPOSAL FOR THE CONTINUATION OF  
THE PROGRAM ON THE SYNTHESIS OF  
MOLECULE/POLYMER-BASED  
MAGNETIC MATERIALS**

J. S. Miller, Department of Chemistry  
(801) 585-5455 03-1 \$70,000 (7 months)

The systematic synthesis and chemical characterizations of: glass-like  $V(TCNE)_x \cdot y$  (solvent) as a function of solvent and replacement of the TCNE with other acceptors using new growth methods, including the growth of single crystals; metal cyclopentadienyl-TCNE complex solid solutions to investigate spin-spin coupling; new magnetic materials based upon metal cyclopentadienyl complexes with various, new acceptor molecules; and new systems exhibiting magnetic ordering, such as monolayers of  $[RNH_3]_2CrCl_4$ , where R is a long alkyl group capable of self-assembly. Continued collaboration with A. J. Epstein at the Ohio State University.

**453. TRANSIENT AND CW OPTICAL STUDIES OF  
CONDUCTING POLYMERS**

Z. V. Vardeny, Department of Physics  
(801) 581-8372 03-2 \$65,000 (7 months)

Study of conducting polymer materials using CW and ultrafast laser spectroscopy. Doped and native polyacetylenes and polythiophenes thin films. Photoexcited electronic states, coupled vibrations, carrier relaxation and recombination processes, resonant Raman spectroscopy. Time-resolved: femtosecond to nanosecond, CW photomodulation spectroscopy, and ultrasonic phonon spectroscopy.

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**VIRGINIA COMMONWEALTH UNIVERSITY**  
Richmond, VA 23284-2000

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**454. CLUSTERS AND CLUSTER ASSEMBLIES**

P. Jena, Physics Department  
(804) 828-8991

B. K. Rao  
(804) 828-1820

S. N. Khanna  
(804) 828-16123 01-3 \$184,010

Theoretical studies of the equilibrium geometries, electronic structure, stability, and reactivity of transition metal clusters using molecular orbital theory and molecular dynamics. Mobility of clusters on metal substrates. Design of stable clusters and energy band structure of cluster assembled crystals.

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**VIRGINIA STATE UNIVERSITY**  
Petersburg, VA 23803

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- 455. CHARACTERIZATION OF SUPERCONDUCTING AND MAGNETIC MATERIALS WITH MUON SPIN ROTATION AND NEUTRON SCATTERING**  
C. E. Stronach, Department of Physics  
(804) 524-5915 01-3 \$157,691

Use of muon spin rotation to characterize the magnetic states in high temperature and heavy-fermion superconductors. Investigate the relationship between magnetic ordering and superconductivity.

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**UNIVERSITY OF VIRGINIA**  
205 McCormick Road  
Charlottesville, VA 22903

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- 456. SURFACE STRUCTURE AND ANALYSIS WITH SCANNING PROBE MICROSCOPY AND ELECTRON TUNNELING SPECTROSCOPY**  
J. W. P. Hsu, Department of Physics  
(804) 924-7956 02-2 \$25,001

Development of scanning tunneling microscopy (STM) and atomic force microscopy (AFM) techniques with emphasis toward improving the observation of surface atomic configurations and the measurement of associated electronic states. Particular attention given to techniques which can be applied over a range of temperature, vacuum conditions and applied magnetic fields. Application of STM and AFM to investigation of the intercalation of transition metal impurities into dichalcogenides and the spatial and magnetic superlattices which result with intercalation. Studies of the detection, creation and manipulation of defects on layered chalcogenides. Investigation of the oxidation processes on iron surfaces and the etch pits at radiation damage tracks in mica.

- 457. SUPERCONDUCTING MATERIALS**  
J. Ruvalds, Physics Department  
(804) 924-6796 02-3 \$55,000

Investigations of high temperature superconductors with emphasis on copper oxide alloys. The key features of the electron spectrum in these materials will be studied in order to identify the charge carriers. Emphasis will be on quasiparticle damping in view of the anomalous damping observed experimentally and calculated by the principal investigator. Normal state properties of

the high temperature oxides will be investigated, including i.e., reflectivity, the Hall effect, electronic Raman scattering and anomalous susceptibility.

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**WASHINGTON STATE UNIVERSITY**  
Pullman, WA 99164-2920

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- 458. COARSENING IN MULTICOMPONENT MULTIPHASE SYSTEMS**  
J.J. Hoyt, Department of Mechanical and Materials Engineering  
(509) 335-8523 01-1 \$42,434

Theoretical and numerical analysis to extend recent methods of treating precipitate coarsening to systems that contain multiple components and more than one coarsening precipitate phase. The results, in addition to scientific value, may be of practical value in the design and optimization of new materials.

- 459. THE ROLE OF DEFECT STRUCTURES IN GRAIN BOUNDARIES ON THE DEFORMATION AND FRACTURE BEHAVIOR OF CRYSTALLINE SOLIDS**  
R.G. Hoagland, Department of Mechanical and Materials Engineering  
(509) 335-8280 01-2 \$99,072

In-situ TEM observations of gallium penetration along grain boundaries in aluminum. Impurity mobility in polycrystals, bi- and tri-crystals. Atomistic calculations of grain boundary defect structures via EAM. Correlation of Ga mobility and grain boundary structure.

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**WASHINGTON UNIVERSITY**  
St. Louis, MO 63130-4899

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- 460. QUANTUM-MECHANICAL FORCE LAWS IN TRANSITION METALS, INTERMETALLIC COMPOUNDS, AND SEMICONDUCTORS**  
A. E. Carlsson, Department of Physics  
(314) 935-6276 02-3 \$70,470

Development of computationed methods for calculation of interatomicpotentials used in simplified tight-binding models of transition metalsand their alloys. Extension beyond the tight-binding model. Interatomic potentials tested both by experimental data and density-of-states band calculations. Applied to surfaces and vacancies and subsequently used to calculate phase diagrams and the properties of dislocations and grain boundaries.

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**UNIVERSITY OF WASHINGTON**  
 Seattle, WA 98195
 

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**461. X-RAY AND GAMMA-RAY SPECTROSCOPY  
OF SOLIDS UNDER PRESSURE**  
 R. L. Ingalls, Department of Physics  
 (206) 543-2778 02-2 \$118,750

Investigation of the structure and properties of materials under the influence of high pressure by the use of various X-ray and gamma-ray spectroscopies. Emphasis is on materials which undergo pressure-induced phase transitions; e.g., the bcc-hcp transition in metallic iron, the rotational transition of  $\text{ReO}_6$  and  $\text{WO}_6$  octahedra in, respectively,  $\text{ReO}_3$  and the rubidium tungsten bronze  $\text{Rb}_{.3}\text{WO}_3$ , and the NaCl to CsCl transition in the alkali halides. The changes of the magnetic interactions in  $\text{Fe}_2\text{As}$  with high pressure are studied by the Mossbauer effect, as is the low spin to high spin transition of Fe in sodium nitroprusside. Some efforts are made to advance high pressure methodology. X-ray spectroscopies employed are XANES (x-ray absorption near-edge structure) and EXAFS (extended X-ray absorption fine structure).

**462. FORMATION AND PROPERTIES OF  
SILICON-FLUORITE  
HETEROSTRUCTURES** M. A. Olmstead,  
 Department of Physics  
 (206) 685-3031 02-2 \$80,000

Experimental investigation of the formation and the properties of epitaxial interfaces between elemental semiconductors and compound insulators. Growth morphology of the interfaces studied by X-ray photoelectron diffraction and spectroscopy, atomic force microscopy, transmission electron microscopy, X-ray scattering, and photoelectron holography. The optical, vibrational, and electronic properties of the interfaces probed by photoemission techniques. Consideration of prototype interfaces which are formed by deposition of films of  $\text{CaF}_2$  on Si(111) or films of Si on  $\text{CaF}_2$ , with film thickness of less than a monolayer to several tens of angstroms. Some extension of the interface studies to related heterostructures and quantum structures.

**463. FURTHER XAFS INVESTIGATION OF  
PHASE TRANSITIONS**  
 E. A. Stern, Department of Physics  
 (206) 543-2023 02-2 \$80,000 (11 months)

Use of X-ray absorption spectroscopy to investigate phase transitions in various materials. Investigation of lattice instabilities, defect structures, local deviations from average structure, and the range of

interactions that cause structural instabilities. Examples of specific investigations are the range of the tetragonal distortion interaction in  $\text{PbTiO}_3$ , the antiferrodistortive transition in  $\text{Na}_{1-x}\text{K}_x\text{Ti}_2\text{O}_3$ , the orthorhombic to tetragonal transition in the high temperature superconductor  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ , and the local disorder in the  $\text{AgBr}_{1-x}\text{C}_{11}$  system.

**464. NEAR-EDGE X-ray SPECTROSCOPY THEORY**  
 J. J. Rehr, Department of Physics  
 (206) 543-8593 02-3 \$50,989 (10 months)

A theoretical-calculational investigation of various deep core X-ray spectroscopies such as X-ray absorption fine structure (XAFS), photoelectron diffraction (PD), and diffraction anomalous fine structure (DAFS). Development, maintenance, and distribution of computer codes to provide a state-of-the-art means to obtain a theoretical mimicry which can be compared with experimental XAFS-type spectra. Important features of the codes are portability and their ease of application to various X-ray spectroscopies. All relevant multiple-scattering and atomic vibrations effects are included in the codes. Special emphasis placed on the theoretical development of improved treatment of many-body and electron self-energy effects with their eventual inclusion into the library of codes, which is important in order to obtain the best possible agreement between calculated and experimental spectra in their near-edge region (less than 100 eV).

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**UNIVERSITY OF WISCONSIN AT MADISON**  
 1509 University Ave.  
 Madison, WI 53706
 

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**465. THERMODYNAMIC AND KINETIC STABILITIES OF  
TWO-PHASE SYSTEMS INVOLVING GALLIUM  
ARSENIDE AND AN INTERMETALLIC PHASE**  
 Y. A. Chang, Department of Materials Science  
 and Engineering  
 (608) 262-0389 01-3 \$50,000 (0 months)

Investigate the thermodynamics, kinetics and interface morphologies of reactions between metals and gallium arsenide in the bulk and thin-film forms. Bulk diffusion-couple measurements of M/GaAs and of thin-film diffusion couples with thin-metal films on GaAs substrates. Bulk samples characterized by optical microscopy, SEM, EPMA and TEM and the thin-film samples primarily by TEM and XTEM and by AES and ESCA. Kinetic data for the bulk samples quantified in terms of ternary diffusion theory. Using the chemical diffusivities obtained from the bulk couples, an attempt will be made to predict the reaction sequences in the thin-film couples. The approach confirmed by its application to a binary metal/silicon system before it is extended to metal/GaAs couples. Rationalize the electrical properties of model-system alloy ohmic contacts to GaAs in terms of the thermodynamic, kinetic and morphological stabilities of

these contacts. The initial system a Co-Ge bilayer/GaAs ohmic contact. Electrical characterization and some phase diagram determination. The aim is to provide a basic understand of the electrical properties of alloy/GaAs contacts in terms of their chemical stabilities.

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**UNIVERSITY OF WISCONSIN AT MILWAUKEE**  
Milwaukee, WI 53201

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**466. INELASTIC ELECTRON SCATTERING FROM SURFACES**

S. Y. Tong, Department of Physics  
(414) 229-5056 02-3 \$84,390

Theoretical investigation of the geometric and dynamical properties of surfaces by use of ab initio multiple scattering methods to extract quantitative surface information from state-of-the-art experimental techniques. Exploration of electron and positron diffraction for surface structural studies using a combination of elastic scattering and emission techniques, as well as imaging techniques based on holographic principles. Interpretation of electron-phonon loss spectra to study localized excitations at metal-semiconductor interfaces and ultrathin epitaxial metal layers by use of highly precise first-principles models and inelastic multiple scattering theory. Some studies which deal with the relation between atomic structure and surface magnetism. Close collaboration with experimental programs based at universities and at DOE laboratories.

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**UNIVERSITY OF WISCONSIN**

3731 Schneider Drive  
Stoughton, WI 53589

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**467. SCANNING PHOTOEMISSION MICROSCOPY AT THE ALS**

F. Cerrina, Center for Xray Lithography  
(608) 877-2402 02-2 \$100,000

Spatially Resolved Photoemission Spectroscopy with a probe beam of soft X-rays will be performed with a spot size very near the diffraction limit ( $<500\text{\AA}$ ). This spectromicroscopy instrument, named MAXIMUM, will enable a careful examination of patterned or structured surfaces to determine local chemical and electrical properties. MAXIMUM, having demonstrated capability at Aladdin and on borrowed beam lines at the Advanced Light Source, will be moved to the interferometry beam line (12.0). Research topics will include electronic structure of non-ideal semiconductor surfaces; electronic states and local chemistry of semiconductor interfaces; polarization resolved (for either contrast or magnetization studies) microscopy; surface charges in highly insulating systems; failure mechanisms (electromigration and corrosion) of integrated circuit interconnects; solid state reactions in constrained systems (semiconductor silicides); local chemistry of Si and Si:Ge surfaces; and diamond film work function studies.

**SECTION C**

**Small Business Innovation Research**



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**ADHERENT TECHNOLOGIES, INC.**  
9621 Camino del Sol, NE  
Albuquerque, NM 87111-1522

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**468. ULTRAFAST POLYSILYLENE  
SCINTILLATORS**

L. A. Harrah,  
(505) 822-9186 PH-1 \$74,975

Scintillators have been used for over 90 years to detect ionizing radiation, but solid organic scintillator, commonly used in physics experimentation, suffer from errors caused by pulse pileup. To minimize this problem, this project will examine a new class of polymeric materials which also offer the potential for increased energy resolution and increased light output. These materials have been shown to have very fast fluorescence, and the state from which fluorescence occurs is of molecular size. Because they can be made as high polymers, their efficiency for harvesting radiation deposited energy should be high. This effort will examine those molecular and electronic properties (light yield from radiation excitation, energy capture to their fluorescent state, and self absorption of emitted light) that determine their utility for application to scintillator formulations. Scintillator formulations will be derived from these data and their efficiency in converting radiation deposited energy to light will be compared with more conventional organic scintillator.

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**ARACOR**  
425 Lakeside Drive  
Sunnyvale, CA 94086

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**469. HIGH-GRAIN MONOCAPILLARY OPTICS**

E. D. Franco,  
(408) 733-7780 PH-1 \$75,000

Glass capillaries, which have been pulled into focusing geometries with narrow exit apertures, have shown substantial gains for the concentration of 1 to 20keV X-rays into sub-micron beams. These optics have been used on both synchrotron and laboratory X-ray instruments. However, the main barrier to their useful deployment has been the lack of a reproducible and well-controlled fabrication technique. This problem will be addressed by building a new furnace for pulling capillaries, which will incorporate novel in-situ metrology sensors to both understand and control the fabrication process. The in-situ sensors will be installed and used to measure the position and shape of the capillaries, during the pulling process,

and to characterize the temperature profile of the furnace. The in-situ measurements will then be correlated with dimensional measurements on the fabricated capillaries, and with gain measurements made on the capillaries using synchrotron radiation. Phase I will develop and characterize the metrology techniques. Phase II will use this information to design and implement a process-control scheme to control the fabrication process using real-time feedback from the in-situ metrology sensors.

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**ENERGY PHOTOVOLTAICS, INC.**

P. O. Box 7456  
Princeton, NJ 08543

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**470. BINARY-CHALCOGENIDES AS EVAPORATION  
SOURCE MATERIAL FOR  $\text{Cu}(\text{In,Ga})\text{Se}_2$   
THIN-FILM DEPOSITION**

A. M. Gabor,  
(609) 587-3000 PH-1 \$74,847

The compound  $\text{In}_2\text{Se}_3$  has been proven as an effective evaporation source material for the formation of  $\text{Cu}(\text{In,Ga})\text{Se}_2$  thin films, which yield high solar-cell efficiencies. Other binary chalcogenides in the In-Se, In-S, Ga-Se, and Ga-S compound families may prove effective as well. However, the commercial cost of these compounds is too high to allow their use in the economic manufacture of solar panels. This project will explore the formation of these compounds through microwave synthesis techniques. The use of these synthesized compounds as evaporation sources will be examined and applied toward the formation of high efficiency solar cells. Phase II will develop a microwave processing chamber for economical, high-throughput formation of the compounds, and apply the compounds to large-area, linear-source evaporation as a stage in module fabrication.

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**GREEN DEVELOPMENT**

16164 West 13th Place  
Golden, CO 80401

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**471. DEVELOPMENT OF OPTIMAL TIN OXIDE  
CONTRACTS FOR CADMIUM TELLURIDE  
PHOTOVOLTAIC APPLICATIONS**

J. Xi,  
(303) 278-4571 PH-1 \$75,000

Thin film cadmium telluride technology manifests potential advantages over competing photovoltaic (PV) technologies, such as economy of manufacturing over large areas and high stabilized efficiency. Yet, present day, large area cadmium telluride (CdTe) module efficiencies (7-8%) lag far behind laboratory scale device efficiencies (12-15%). This project seeks to increase the efficiency of manufacturing CdTePV modules by

developing a front transparent conductor which is specifically optimized for this PV technology. The technology to be used for the development of this transparent conductor will be atmospheric pressure chemical vapor deposition (APCVD). In Phase I, fluorine doped tin oxide will be demonstrated and grown by APCVD using well established precursor chemistry. In Phase II, alternative precursor chemistry for the growth of optimized tin and indium-tin oxide materials will be demonstrated. In both phases, high efficiency CdTe devices will be fabricated and grown. In Phase II, improved sodium antidiffusion barriers and alternate processing methods for the back contact will be established.

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#### **HIRSCH SCIENTIFIC**

365 Talbot Ave., Suite D8  
Pacifica, CA 94044-2657

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#### **472. HIGH PERFORMANCE X-RAY AND NEUTRON MICROFOCUSING OPTICS**

G. Hirsch,  
(415) 359-3920 PH-1 \$74,850

The utilization of extremely small diameter X-ray beams is expected to become a routine experimental technique for researchers in materials science, biology, medicine, microelectronics, and many other scientific disciplines. While tapered glass-monocapillary optics have recently been developed to produce these microbeams, several technical issues have prevented this technology from achieving its full potential. First, it is difficult to produce capillaries having ideal taper profiles, extremely straight bores, and exceedingly low surface roughness. Secondly, the selection of materials suitable for fabricating the devices using conventional methods is narrow. This project will investigate an innovative approach for generating optics processing optimized capillary shapes, in addition to allowing wide latitude in the material choice for the capillary interior. This will allow the highest possible microfocusing efficiency to be obtained for X-rays, as well as neutrons. Phase I will investigate the key technical issues regarding the viability of the fabrication process. Phase II will produce full size, high-performance microfocusing devices.

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#### **INTERNATIONAL SOLAR ELECTRIC TECHNOLOGY, INC.**

8635 Aviation Boulevard  
Inglewood, CA 90301-2001

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#### **473. LARGE AREA, LOW COST PROCESSING FOR COPPER INDIUM DISLENIDE PHOTOVOLTAICS**

B. Basol,  
(310) 216-4427 PH-1 \$74,658

Copper indium diselenide and related compound semiconductors are important photovoltaic (PV) materials. Although copper indium diselenide type devices are the highest efficiency thin film solar cells ever produced, they are not yet commercially available because of difficulties associated with the costly processing schemes employed in the fabrication of these devices. This project will develop and demonstrate a lower cost, more robust, large area processing technique for copper indium diselenide PVs. In Phase I, absorber layers with controlled composition will be deposited on metal coated glass substrates, and efficient solar cells will be fabricated on these absorbers. In Phase II, the processing technique will be further refined to fabricate large area PV modules.

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#### **PISM CORPORATION**

1106 Commonwealth Ave.  
Boston, MA 02215

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#### **474. ENVIRONMENTALLY BENIGN PHYSICAL AND CHEMICAL PROCESSES**

T. Bifano,  
(617) 277-2958 PH-2 \$500,000

Current manufacturing processes for compact disc (CD) stamper production are not automated and produce toxic and hazardous wastes. In this project, a new precision manufacturing process will be developed to improve productivity, reduce energy usage and hazardous waste production, and reduce costs while achieving an elusive CD industry goal of 100% automated production. This new stamper production technique calls for two major changes: (1) replacement of the glass master disk substrate by a tough ceramic, which will be used as the injection molding stamper, and (2) replacement of electroforming, peeling, protecting, polishing, and punching operations by a single precision step. All other processes remain largely unchanged. In the new process, more than 200 minutes of present manufacturing processes will be replaced by less than 20 minutes of precision machining. Costs of the proposed process will be lower than those for the present process. In Phase I, the feasibility of the new process was verified and a prototype CD stamper was manufactured. In Phase II, an ion machining system for stamper fabrication will be built

and tested, stampers and replicas will be fabricated, and materials and process parameters will be selected to optimize the process.

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#### **PRINCETON SCIENTIFIC INSTRUMENTS**

7 Deer Park Drive  
Monmouth Junction, NJ 08852-1921

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##### **475. HIGH FRAME RATE ULTRAVIOLET/X-RAY IMAGER**

J. L. Lowrance,  
(908) 274-0774      PH-1 \$75,000

The intense beams-of photons, now available from synchrotron, light ring facilities such as the Advanced Photon Source (APS), make feasible research experiments that were not practical or possible heretofore, especially in the hard ultraviolet and soft X-ray regions. The intensity of the beams is high enough that high temporal resolution imaging is possible, provided that an imaging sensor could be developed for these high frame rates. Indeed, the cost-effective exploitation of these facilities is paced by the availability of suitable instrumentation. This project will develop an X-ray image sensor capable of frame rates up to a million frames per second and tailored for X-ray diffraction and other experiments employing intense beam synchrotron light rings. Phase II will result in an X-ray sensitive camera capable of a million frames per second.

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#### **UNISUN**

587-F North Ventu Park Rd  
Newbury Park, CA 91320-2741

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##### **476. IMPROVED PROCESSES FOR FORMING CIS FILMS**

C. Eberspacher,  
(805) 499-7840      PH-1 \$75,000

Solar cell technologies based on copper indium selenide (CIS) materials have achieved the highest sunlight-to-electricity conversion efficiencies of any polycrystalline thin-film photovoltaics (PV) technology, but CIS-based PV products have yet to be introduced in the commercial marketplace in large part due to the lack of simple, robust processes capable of depositing high-quality CIS films at low costs on large areas with the high-volume reproducibility needed for commercial production. This project will explore a simple technique for fabricating CIS films for solar cells. The film deposition process will combine material technique developed for other applications: high-temperature superconductors, the commercial

paint industry, and high-performance flat panel displays. Phase I will demonstrate that low-cost precursor materials can be prepared and that device-quality CIS films can be fabricated. Phase II will demonstrate state-of-the-art cell efficiencies and demonstrate the commercial potential of the technology.

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#### **X-RAY OPTICAL SYSTEMS, INC.**

90 Fuller Road  
Albany, NY 12205-5719

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##### **477. COATING CAPILLARY OPTICS TO IMPROVE X-RAY AND NEUTRON TRANSMISSION**

R. G. Downing,  
(518) 442-2661      PH-1 \$75,000

Because of their recently demonstrated ability to provide significant improvements in beam intensity, capillary optics are finding use for controlling X-rays and neutrons across a wide range of fields. However, their use for some energy ranges is limited due to absorption. For all applications, there is some loss of efficiency due to interactions of X-rays or neutrons with the inner capillary walls. Since the optics function as a result of multiple reflections, the ability to optimize reflecting materials would lead to dramatic improvements in capillary optic collection and transmission efficiency. This project will combine two emerging technologies: multichannel capillary optics and low temperature chemical vapor deposition (CVD), to coat the inner glass capillary walls with thin films. Initially, nickel will be used for the coating material because it is the preferred material for neutrons and will provide improved X-ray transmission efficiency for some commercially important X-ray energies. A two-fold increase in optic transmission, for both neutron and X-rays, is expected.

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##### **478. MONOLITHIC POLYCAPILLARY OPTIC FOR BENDING AND MICROFOCUSING**

I. Ponomarev,  
(518) 442-5250      PH-1 \$73,429

Neutron analyses are in great demand because of the unique information obtained through the characterization of materials using the properties of neutrons. However, material analysis using neutron beam techniques has a high demand on instrument time which is further exacerbated by the limited number of neutron-guide end positions. This project will demonstrate the technical feasibility of a neutron bender microfocusing optic that is constructed of a single piece polycapillary bundle. Glass polycapillary technology has demonstrated capabilities of efficiently transporting neutron beams, bending them, and producing high neutron intensity focal spots. The neutron

6 bender microfocusing optic will enable the development of state-of-the-art neutron instrumentation along the length of neutron guides in locations previously unusable for end station techniques. The effective strength and availability of every reactor-based neutron source will be multiplied in a cost effective manner.

**SECTION D**

**DOE Center of Excellence for the Synthesis  
and Processing of Advanced Materials**

## DOE CENTER OF EXCELLENCE FOR THE SYNTHESIS AND PROCESSING OF ADVANCED MATERIALS

### OVERVIEW

The DOE Center of Excellence for the Synthesis and Processing of Advanced Materials is a distributed center for promoting coordinated, cooperative research partnerships related to the synthesis and processing of advanced materials. It was established by DOE's Division of Materials Sciences, Office of Basic Energy Sciences and the DOE Laboratories in recognition of the enabling role of materials synthesis and processing to numerous materials fabrication- and manufacturing-intensive technologies. The participants include investigators from 12 DOE national laboratories, universities and the private sector. The Center has a technological perspective which is provided by a Technology Steering Group.

The current emphasis of the Center is on seven focused multilaboratory projects which draw on the complementary strengths of the member institutions in their ongoing research programs. These seven projects were selected on the basis of the following criteria: (1) scientific excellence, (2) clear relationship to energy technologies, (3) involvement of several laboratories, (4) existing or potential partnerships with DOE Technologies-funded programs, and (5) existing or potential "in-kind" partnerships with private industry.

The seven projects are: (1) Metal Forming, (2) Materials Joining (3) Tailored Microstructures in Hard Magnets, (4) Microstructural Engineering with Polymers, (5) Processing for Surface Hardness, (6) Mechanically Reliable Surface Oxides for High Temperature Corrosion Resistance, and, (7) High Efficiency Photovoltaics. The member laboratories of the Center are: Ames Laboratory (Ames), Argonne National Laboratory (ANL), Brookhaven National Laboratory (BNL), Idaho National Engineering Laboratory (INEL), University of Illinois Frederick Seitz Materials Research Laboratory (UI/MRL), Lawrence Berkeley National Laboratory (LBNL), Lawrence Livermore National Laboratory (LLNL), Los Alamos National Laboratory (LANL), National Renewable Energy Laboratory (NREL), Oak Ridge National Laboratory (ORNL), Pacific Northwest National Laboratory (PNNL), and Sandia National Laboratories (SNL). The Center also includes appropriate university grant research.

#### Objective

The overall objective of the Center is,

*"To enhance the science and engineering of materials synthesis and processing in order to meet the programmatic needs of the Department of Energy and to facilitate the technological exploitation of materials".*

Synthesis and processing are those essential elements of materials science and engineering (MS&E) that deal with (1) the assembly of atoms or molecules to form materials, (2) the manipulation and control of the structure at all levels from the atomic to the macroscopic scale, and (3) the development of processes to produce materials for specific applications. Clearly, S&P represent a large area of MS&E that spans the range from fundamental research to technology. The goal of basic research in this area ranges from the creation of new materials and the improvement of the properties of known materials, to the understanding of such phenomena as diffusion, crystal growth, sintering, phase transitions, etc., in relation to S&P. On the applied side, the goal of S&P is to translate scientific results into useful materials by developing processes capable of producing high quality, cost-effective products.

The Center's emphasis is on the elucidation and application of fundamental S&P principles directed toward the rapid improvement or development and ultimate utilization of advanced materials. In order to meet its overall objective, the Center has the following specific objectives:

- 1) Develop synthesis and processing methodologies to control structure, and thereby materials properties, from the atomic to the macroscopic scale.
- 2) Discover and develop high-payoff, advanced materials.
- 3) Integrate fundamental scientific principles with the concurrent development of synthesis and processing in collaboration with DOE technologies-funded programs and with industry.

#### The Center's Technology Steering Group

A Technology Steering Group (TSG) for the Center has been established. The role of TSG is to become familiar with the Center's technical activities and comment on their technological value, provide information from a technology perspective, help identify technological barriers, influence the direction of the Center's programs, and help develop ideas which can make the Center more effective.

Current TSG membership is as follows:

<u>Member</u>	<u>Affiliation</u>
Dr. David J. Beecy	DOE/Fossil Energy
Dr. Thomas C. Clarke	IBM-Almaden
Dr. David W. Johnson, Jr.	Lucent Technologies Bell Labs
Dr. Hylan B. Lyon	Marlow Industries
Dr. Neil E. Paton	Howmet
Dr. John Stringer	Electric Power Research Institute (EPRI)

#### Materials and Processes Focus of the Center

The current emphasis of the Center is on the seven focused multilaboratory projects cited above. Each of the projects is coordinated by an appropriate representative from one of the participating institutions. The overall Center coordinator is:

George A. Samara: (SNL/NM)  
 Phone: (505) 844-6653  
 Fax: (505) 844-4045  
 email: gasamar@sandia.gov

A brief description of each project follows:

##### 1. Metal Forming

Participating Labs: Ames, LBNL, LLNL, LANL, ORNL, PNNL, SNL/CA, SNL/NM  
 Coordinator: M. Kassner (Oregon State University)  
 Phone: (541) 737-7023  
 Fax: (541) 737-2600  
 email: Kassner@engr.orst.edu  
 (Included activities: 67, 89, 127, 161, 172, 223, 235))

This project is motivated by the goal of improving fuel efficiency in transportation systems. Achieving this goal requires the use of light weight structural materials which in turn necessitates consideration of aluminum alloys. Unfortunately, compared to steels, Al alloys are more expensive.

The first objective of this project is a scientific understanding of phenomena relating to the forming of aluminum alloys for commercial (especially automotive) applications. This particularly includes recrystallization, which is both poorly understood fundamentally, and poorly predicted during industrial processing. Recrystallization is basically a process by which the material softens by forming new, relatively defect-free, crystallites. The study of recrystallization phenomena includes understanding the precursor states or microstructures.

The second objective is developing equations that will predict, over a complicated thermal and mechanical process, such as will be experienced during industrial processing, the mechanical behavior of aluminum alloys for automotive applications. The equations will consider recrystallization, texture, hardening and dynamic recovery (a less dramatic softening process than recrystallization). No set of constitutive equations has yet effectively modeled these phenomena. The scientific underpinning of the first objective could allow more realistic and versatile constitutive equations.

## 2. Materials Joining

Participating Labs: Ames, INEL, LBNL, LLNL, ORNL, PNNL, SNL/CA, SNL/NM  
 Coordinator: R. B. Thompson (Ames)  
 Phone: (515) 294-9649  
 Fax: (515) 294-4456  
 email: thompsonrb@ameslab.gov  
 (Included activities: 6, 7, 30, 73, 160, 224, 235)  
 (Related activities: 1, 223)

Materials joining is an enabling technology in virtually all industrial sectors, and often the reliability of joints is the factor that limits performance. Welding is an old technology, but weld failures are common and some technologically important materials such as aluminum alloys are difficult to weld. Advanced high temperature ceramics have tremendous potential in energy and related technologies, but there are no reliable methods of joining them. These realities provide the motivation for this project.

The project consists of two tasks. The first entitled "*The effects of Gradients on Weld Reliability and Performance*," uses advances in experimental, analytical and computational tools to develop an integrated and quantitative understanding of the origin and extent of gradients in composition, stress, microstructure and properties which occur during various welding processes. Strategies will also be developed to control these gradients which are often the cause of failure. Initial emphasis is on Al-Cu alloys and on Fe-Ni-Cr alloys.

The second task, "*Ceramics and Dissimilar Materials Joining*," focuses on critical issues in the non-welding joining area which include property mismatch between members to be joined; use temperature limitation; joining temperature limitation; poor wetting, adhesion and/or chemical bonding; potential for use in the field; and manufacturing and/or joint reliability. Some of the initial emphasis is on silicon carbide joining, an area of strong interest to the Fossil Energy program. This part of the work is being done in collaboration with research sponsored by the continuous Fiber Ceramic Composites Program, Office of Industrial Technologies, Office of Energy Efficiency and Renewable Energy.

## 3. Tailored Microstructures in Hard Magnets

Participating Labs: Ames, ANL, BNL, INEL, LBNL, LLNL, LANL, ORNL  
 Coordinator: Bob Dunlap (ANL)  
 Phone: (630) 252-4925  
 Fax: (630) 252-4798  
 (Included activities: 67, 73, 126, 127, 146)  
 (Related activities: 100, 282, 307, 371)

Improvements in the properties of permanent (or hard) magnetic materials can lead to lighter, more efficient and longer life motors for energy, transportation and many other industries. A figure of merit for permanent magnet materials is the maximum energy product,  $W$ . In some of the best current commercial materials  $W$  is  $\leq 50\%$  of its theoretical value. The problem is generally attributed to a lack of understanding of the role of microstructure in determining magnetic properties. Other limitations of current commercial magnetic materials are relatively poor mechanical and corrosion-resistant properties. These properties are also determined largely by microstructure.

The overall objective of this project is to improve hard magnets by understanding, in terms of the microstructures achieved, the magnetic and mechanical properties of materials produced by a number of synthesis and processing (S&P) approaches.

Initial focus is on the technologically important material  $\text{Nd}_2\text{Fe}_{14}\text{B}$  as a model system. Specifically, this material is being produced in single crystal, powder, bulk and thin film forms and characterized by state-of-the-art tools. The microstructures developed by the different S&P methods are being compared and modeled. The relationships between microstructure and domain wall pinning, magnetic properties and mechanical properties are being determined. The ultimate goal is to identify S&P approaches which optimize material properties for specific applications.



#### 4. Microstructural Engineering with Polymers

Participating Labs: Ames, BNL, INEL, UI/MRL, LBNL, LLNL, PNNL, SNL/NM

Coordinator: Gregory J. Exarhos (PNNL)

Phone: (509) 375-2440

Fax: (509) 375-2186

email: gj\_exarhos@pnl.gov

(Included activities: 75, 222, 237)

(Related activities: 97, 112, 221, 321, 350, 393, 417, 445, 446, 452)

Ongoing fundamental research involves the design, development, and implementation of advanced processing protocols which direct the evolution of hierarchical microstructures in mixed phase composites, alter materials properties, and induce multifunctionality. This can be achieved by combining constituents at the molecular level in order to impart properties inaccessible by conventional processing routes. The project is not simply directed toward polymer synthesis, but involves the use of engineered polymers or macromolecules in processing methods designed to produce targeted architectures or promote mixing in ceramics, polymers, glasses or mixed multicomponent phases. An integral thrust area within the project involves materials properties modeling by means of semi-empirical approaches based upon small model systems. Verification of the modeling is based on scattering and physical properties measurements for these model systems and provides guidelines for further development of modified materials processing routes.

This project is comprised of two tasks: (1) engineered porosity and (2) blends, composites, alloys. Recent activities have been focused upon influencing transport phenomena (ion/molecules diffusion, electron transport, heat flow) in polymer-tailored materials. The program provides a fundamental underpinning for the development of new materials to support electric power, chemical separations, thermal insulation, automotive, membrane, sensor, and catalysis technologies.

#### 5. Processing for Surface Hardness

Participating Labs: ANL, BNL, LBNL, LLNL, LANL, ORNL, SNL/CA, SNL/NM

Coordinator: J. B. Roberto (ORNL)

Phone: (423) 574-0227

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email: jbr@ornl.gov

(Included activities: 129, 169, 233, 241)

(Related activities: 389)

There exists a broad range of applications for which the ability to produce an adherent, hard, thin, wear-resistant coating plays a vital role. These applications include engine and machine components, orthopedic devices, textile manufacturing components, hard disk media, micromachined sensors and actuators, optical coatings, and cutting and machining tools (e.g., punches, taps, scoring dies, and extrusion dies). Emphasis is placed on development and improvement of processes which are environmentally benign and which provide flexible control over the surface structure and chemistry.

Plasma-based processing is an important component of processes used for the applications listed above. The ability to provide flux, energy, and temporal control of a variety of ions, which is characteristic of plasma-based processing, provides the means to tailor surface hardness and other tribological properties.

The goal of the project is to address critical issues which limit the use of plasma-based processing for surface hardness. Initial emphasis is on plasma ion immersion processing (PIIP), a relatively inexpensive non-line-of-sight-implantation process capable of treating complex-shaped targets without complex fixturing, and on boron-based superhard coatings where the focus is on cubic boron nitride and boron suboxides.

#### 6. Mechanically Reliable Surface Oxides for High-Temperature Corrosion Resistance

Participating Labs: ANL, INEL, LBNL, LLNL, ORNL

Coordinator: Linda L. Horton (ORNL)

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email: hortonLL@ornl.gov

(Included activities: 33, 74, 128, 159, 194)

(Related activities: 29, 30, 40, 138, 188, 190, 238, 299, 338, 382, 387)

Protection from corrosion and environmental effects arising from deleterious reactions with gases and condensed products is required to fully exploit the potential of advanced high-temperature materials designed to improve energy efficiency and minimize deleterious environmental impact. The resistance to such reactions is best afforded by the formation of stable surface oxides that are slow growing, sound, and adherent to the substrate and/or by the deposition of coatings that contain or develop oxides with similar characteristics. However, the ability of brittle ceramic films and coatings to protect the material on which they are formed or deposited has long been problematical, particularly for applications involving numerous or severe high temperature thermal cycles or very aggressive environments. This lack of mechanical reliability severely limits the performance or durability of alloys and ceramics in many high-temperature industrial applications and places severe restrictions on deployment of such materials. The beneficial effects of certain alloying additions on the growth and adherence of protective oxide scales on metallic substrates are well known, but satisfactory broad understandings of the mechanisms by which scale properties and coating integrity (that is, corrosion resistance) are improved by compositional, microstructural, and processing modifications are lacking.

The objective of this task is to systematically generate the knowledge required to establish a scientific basis for the design and synthesis of improved (slow growing, adherent, sound) protective oxide coatings and scales on high temperature materials without compromising the requisite bulk material properties. Specific objectives are to (1) systematically investigate the relationships among substrate composition and properties and scale/coating failure using a mix of relevant microstructural and mechanical characterization techniques and modeling, and (2) identify conditions leading to more damage-tolerant coatings and scales that are amenable to legitimate synthesis routes. The initial emphasis is on alumina scales and coatings, and the work is co-sponsored by the Office of Advanced Research, Fossil Energy Program. Some of the work in the project is also in collaboration with the Electric Power Research Institute (EPRI).

#### 7. High Efficiency Photovoltaics

Participating Labs: Ames, ANL, BNL, INEL, UI/MRL, LBNL, LLNL, NREL, ORNL, PNNL, SNL/CA, SNL/NM, Caltech, MIT, SUNY, U.Florida, UCSB, U.Utah, Washington State U.

Coordinator: S. K. Deb/J. Benner (NREL)

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email: satyen\_deb@nrel.gov

(Included activities: 30, 146, 182, 230)

Advances in the technology of solar photovoltaic (PV) energy conversion are critically dependent on the fundamental understanding of the synthesis and properties of the materials that compose solar cells. Reduced cost, improved conversion efficiency, and long-term stability are the major objectives of the DOE Photovoltaics Program. Thin-film semiconductor materials and device technologies are key to achieving these objectives. Currently, there are several important classes of thin-film PV materials at various stages of research and development, but in all cases there is a lack of understanding of the fundamental scientific issues associated with each of these technologies. Therefore, this program is motivated by the scientific exploration of new solid-state physics as it relates to photon absorption and carrier transport, novel materials synthesis techniques, the characterization and control of defect structures, and ultimately designs of new material architectures.

The project is focused on two areas: (1) Silicon-Based Thin Films, in which key scientific and technological problems involving amorphous and polycrystalline silicon thin films will be addressed; and (2) the Next Generation Thin Film Photovoltaics, which will be concerned with the possibilities of new advances and breakthroughs in the materials and physics of photovoltaics using non-silicon-based materials.

## **SECTION E**

### **Major User Facilities (Large Capital Investment)**

## ADVANCED PHOTON SOURCE

Argonne National Laboratory  
Argonne, Illinois 60439

The Advanced Photon Source (APS) is a powerful synchrotron radiation research facility. It is the nation's most brilliant source of x-ray beams in the energy range from 3 to 300KeV. The APS design is optimized to employ special magnetic arrays called "insertion devices" which generate monoenergetic; tunable proton beams. X-ray beam brilliances of the order of  $10^{18}$  photons/second  $\text{mm}^2 \text{mrad}^2$  (0.1% Bandwidth) at 10 KeV are produced. These brilliant X-ray beam probe material structure in greater detail than ever before, opening new vistas of research in materials sciences, chemistry, physics, biology, energy sciences and applied technologies. The facility provides up to 70 independent X-ray beamlines for research by teams of researchers from universities, industry, national laboratories, and other research institutions.

### User Mode

APS is available at no charge to qualified scientists engaged in fundamental, non-proprietary research. Proprietary research can be carried out at a full cost recovery basis. Independent investigators may access the facility by submitting a technically-competitive proposal to any research team operating beamlines at the APS. Collaborative Access Teams (CATs) are granted continuing access to the APS facilities upon satisfactory peer review by an independent Program Evaluation Board. Full details of the APS user program, including a APS User Guide, can be obtained from the APS User Office, Building 401, Argonne National Laboratory or by browsing the APS world wide web site (<http://www.aps.anl.gov>).

### Persons to Contact for Information

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9700 South Cass Avenue	
Argonne, IL 60439	

User Office	(630) 252-5981
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## DESCRIPTIONS OF ADVANCED PHOTON SOURCE COLLABORATIVE ACCESS TEAMS

**SRI-CAT.Sectors 1-3** The primary focus of the Synchrotron Radiation Instrumentation-CAT or SRI-CAT is to develop critical beamline instrumentation that can be used by the entire APS community and to conceive and implement experimental techniques that exploit the unique properties of APS radiation. This CAT contains both instrument development scientists from the APS and other national laboratories and scientific members from national laboratories, academia, and industry. Dennis Mills (Experimental Facilities Division/APS) is the Director of SRI-CAT (telephone: 630/252-5680; email: dmm@aps.anl.gov).

**DND-CAT.Sector 5** DND-CAT is the DuPont-Northwestern-Dow Collaborative Access Team. Although many fields of materials science and engineering are represented in the planned research program, the scientific thrust is concentrated in three main areas: (1) crystallography; (2) the study of two dimensional or quasi-two dimensional atomic structures (surfaces, interfaces and thin films); and (3) polymer science and technology. The DND-CAT Director is Joe Georgopoulos (DND-CAT) Synchrotron Research Center; telephone: 630/252-0221; email: joe\_g@nwu.edu).

**μ-CAT.Sector 6** The Midwest Universities-CAT (μ-CAT) beamlines are designed for studies in materials science, with surface diffraction, diffraction from small samples, and control of polarization for studies of magnetic systems as areas of key interest. Institutional affiliations of μ-CAT members include Georgia Tech., Ames Laboratory/Iowa State University, Kent State, SUNY at Stony Brook, University of Missouri at Columbia, University of Nebraska at Lincoln, University of Wisconsin at Madison, and Washington University. The Director of μ-CAT is Alan Goldman (Iowa State University; telephone: 515/294-3585; e-mail: goldman@ameslab.gov).

**MHATT-CAT.Sector 7** The Center for Real-Time X-ray Studies-CAT (MHATT-CAT) has three institutional members: University of Michigan, Howard University, and Bell Laboratories. This sector will consist of two 60-meter-long beamlines instrumented for time-resolved experiments in X-ray scattering and spectroscopy. The primary scientific thrust of MHATT-CAT will be to study the different dynamical processes that occur in complex materials, ranging from internal conformational changes in proteins to relaxation phenomena in glasses. MHATT-CAT's Director is Walter Lowe (Howard University; telephone: 301/419-9030; e-mail: lowe@mhattcat.howard.edu).

**IMM-CAT.Sector 8** The scientific program of the IBM-MIT-McGill-CAT (IMM-CAT) focuses on dynamic phenomena in materials science and condensed matter physics. The insertion-device beamline will be optimized for scattering experiments, and the bending-magnet beamline will be optimized for resonant scattering and spectroscopy. The Director of IMM-CAT is Simon Mochrie (MIT; telephone: 617/253-6588; e-mail: simon@lindy.mit.edu).

**CMC-CAT.Sector 9** The Complex Materials Consortium-CAT (CMC-CAT) is developing a beamline optimized for several experimental techniques used to study complex materials (polymers, surfactants, liquid crystals, biomaterials, membranes, and thin molecular films of hydrocarbons on solid or liquid surfaces, etc.). Institutional affiliations of key CAT members include: Argonne National Laboratory, Brookhaven National Laboratory, Exxon Research and Engineering, Los Alamos National Laboratory, Princeton University, University of California-Santa Barbara, University of Pennsylvania, and University of Tennessee/Oak Ridge National Laboratory. The CMC-CAT Director is Doon Gibbs (Brookhaven National Laboratory; telephone: 516/344-4608; e-mail: doon@solids.phy.bnl.gov).

**MR-CAT.Sector 10** The Materials Research-CAT (MR-CAT) includes scientists from Amoco, Argonne National Laboratory's Chemical Technology/Geosciences Division, the Illinois Institute of Technology, Northwestern University, the University of Notre Dame, and the University of Florida. The scientific studies planned by the CAT include time-resolved scattering and spectroscopy, spatially resolved measurements, and *in situ* measurements. These techniques will be used to study a variety of systems ranging from semiconductor interfaces to catalysts to "soft" condensed matter systems. The MR-CAT Director is Bruce Bunker (Notre Dame; telephone: 219/631-7219; e-mail: bruce.bunker.1@nd.edu).

**BESSRC-CAT.Sectors 11 and 12** The Basic Energy Sciences Synchrotron Radiation Center-CAT (BESSRC-CAT) consists of scientists from the Chemistry, Materials Science and Physics Divisions of ANL, as well as from the Geosciences groups at ANL and Northern Illinois University. The primary scientific focus is on materials, chemical, and geological sciences; and atomic physics. BESSRC-CAT's two sectors will contain four beamlines and 10 distinct instrumented stations, seven of which will be equipped initially. Two types of insertion devices will be used for the insertion-device beamlines: an undulator A and an elliptical multipole wiggler. The BESSRC-CAT Director is Pedro A. Montano (ANL, Materials Science Division; telephone: 630/252-6239; e-mail: Pedro\_Montano@qmgate.anl.gov).

**CARS-CAT.Sectors 13-15** The Consortium for Advanced Radiation Sources-CAT (CARS-CAT) is a multi-disciplinary, multi-

institution, multi-sector CAT. It includes The University of Chicago, Northern Illinois University, and Southern Illinois University as primary institutional members and serves four national user groups (BioCARS, ChemMatCARS, GeoCARS, and SoilEnviroCARS). The University of Chicago serves as the managing agent for this CAT. The scientific program and experiments planned for CARS beamlines are designed to exploit the unique features of x-rays emitted by both undulator and wiggler sources through x-ray scattering, spectroscopy, and microprobe approaches. Both spatially resolved and time-resolved experiments are planned. The CARS-CAT Director is Keith Moffat (The University of Chicago; telephone: 312/702-9950; e-mail: moffat@cars1.uchicago.edu).

**IMCA-CAT, Sector 17** The Industrial Macromolecular Crystallography Association-CAT (IMCA-CAT) is a consortium of crystallographic groups from 12 companies with major pharmaceutical research laboratories in the United States. This group was organized to build and operate two beamlines at the APS dedicated to protein crystallography, with the goal of providing data for structure-based drug design. Both proprietary and non-proprietary research will be conducted on IMCA-CAT beamlines. The Director of IMCA-CAT is Andrew Howard (Illinois Institute of Technology; telephone: 312/567-5881; e-mail: ahoward@sparky.csrii.itt.edu).

**BIO-CAT, Sector 18** The Biophysics-CAT (Bio-CAT) plans to study the structure and dynamics of biological and related systems at the molecular level, with a focus on partially ordered samples such as membranes, fibers, and solutions. The primary research techniques will be resonant (anomalous) and nonresonant x-ray diffraction, and x-ray absorption fine structure (XAFS) spectroscopy. The Director of Bio-CAT is Grant Bunker (Illinois Institute of Technology; telephone: 312/567-3385; e-mail: bunker@biocat1.iit.edu).

**SBC-CAT, Sector 19** The Structural Biology Center-CAT (SBC-CAT) is building a national user facility at the APS for studies in macromolecular crystallography. The SBC will provide crystallographers with access (through peer-reviewed proposals) to both an insertion-device and a bending-magnet beamline optimized for studies in macromolecular crystallography, plus a full complement of instrumentation, ancillary facilities, software, and support staff. The SBC-CAT Director is Edwin Westbrook (Argonne National Laboratory, Center for Mechanistic Biology; telephone: 630/252-3983; e-mail: westbrook@anl.gov).

**PNC-CAT, Sector 20** The Pacific Northwest Consortium-CAT (PNC-CAT) is building beamlines with innovative instruments, including a scanning x-ray microprobe with 0.1- $\mu\text{m}$  resolution and instrumentation for material analysis emphasizing energy scanning (either in the incident or scattered X-rays) techniques such as XAFS, DAFS, inelastic x-ray resonance and Raman scattering. Research is planned in a variety of areas, with an emphasis on environmentally related problems. The optics include a toroidal mirror, a capillary concentrator, and a Kirkpatrick-Baez mirror pair. Instrumentation includes an energy analyzer for scattered x-rays, an MBE facility with a UHV chamber, and imaging capabilities. Primary institutional members of this CAT include the University of Washington, Pacific Northwest Laboratories, and Simon Fraser University. The Director of PNC-CAT is Edward Stern (University of Washington; telephone: 206/543-2023; e-mail: stern@phys.washington.edu).

**UNI-CAT, Sector 33** The University-National Laboratory-Industry CAT (UNI-CAT) consists of four primary institutional members: University of Illinois at Urbana-Champaign, Oak Ridge National Laboratory, National Institute of Standards and Technology, and UOP Research and Development. The focus of this CAT is the development of state-of-the-art instrumentation to allow structural characterization at the atomic level. Special capabilities include focusing beam optics, an ultrahigh-vacuum molecular beam epitaxy (MBE) chamber, a chemical-vapor deposition (CVD) facility, topography, microscopy, and ultras-small-angle X-ray scattering (USAXS) apparatus, instrumentation for time-resolution scattering experiments, and reactive environment X-ray absorption spectrometry (XAS) capabilities. The Director of UNI-CAT is Haydn Chen (University of Illinois; telephone: 217/244-4666; e-mail: chen@uimrl7.mrl.uiuc.edu).

**MICRO-CAT, Sector 34** A Micro-Investigation of Composition Research Organization CAT (MICRO-CAT) proposes to develop and operate a microprobe facility with wide-ranging applications in the fields of materials science, chemistry, geochemistry, environmental science, and medicine. Materials science studies will include the measurement of impurity effects and strains in crack growth and fracture; ductility of grain boundaries; the study of creep, ceramics, and reinforced composites; diffusion studies; the study of integrated circuits and microchips; and radiation effects. Environmental and biological studies include such things as measurement of trace element distribution in tree rings, fish scales, clam shells, and other time-dependent growth tissues where contamination levels can be related to chronology of exposure, and the measurement of contamination transport by small particles. The director of MICRO-CAT is Gene E. Ice (Oak Ridge Natl. Lab.; telephone 423/574-2744; fax (615)574-7659).

## INTENSE PULSED NEUTRON SOURCE

Argonne National Laboratory  
Argonne, Illinois 60439

IPNS is a pulsed spallation source dedicated to research on condensed matter. The source has some unique characteristics that have opened up new scientific opportunities:

- high fluxes of epithermal neutrons (0.1-10 eV)
- solid methane moderation producing high fluxes of cold neutrons ( $2 > 4 \text{ \AA}$ )
- pulsed nature, suitable for real-time studies and measurements under extreme environments
- white beam, time of flight techniques permitting unique special environment experiments

Two principal types of scientific activity are underway at IPNS: neutron diffraction, concerned with the structural arrangement of atoms (and magnetic moments) in a material and the relation of this arrangement to its physical and chemical properties, and inelastic neutron scattering, concerned with processes where the neutron exchanges energy and momentum with the system under study and thus probes the dynamics of the system at a microscopic level. At the same time, the facilities are used for technological applications, such as stress distribution in materials and characterization of zeolites, ceramics, polymers, and hydrocarbons.

### USER MODE

IPNS is available without charge to qualified scientists doing fundamental research. Selection of experiments is made on the basis of scientific merit by a Program Committee consisting of eminent scientists, mostly from outside Argonne. Scientific proposals (4 pages long) are submitted twice a year and judged by the Program Committee. Full details, including a User's Handbook, Proposal and Experimental Report Forms, can be obtained from the Scientific Secretary, IPNS, Building 360, Argonne National Laboratory or at <http://pnsjph.pns.anl.gov/ipns.htm>. Neutron time for proprietary research can be purchased based on the full-cost recovery rate.

### PERSONS TO CONTACT FOR INFORMATION

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9700 South Cass Avenue	
Argonne, IL 60439	

Scientific Secretary	(630) 252-6600
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## IPNS EXPERIMENTAL FACILITIES

Instrument (Instrument Scientist)	Range		Resolution	
	Wave-vector* ( $\text{\AA}^{-1}$ )	Energy (eV)	Wave-vector ( $\text{\AA}^{-1}$ )	Energy (eV)
Special Environment Powder Diffractometer (J. D. Jorgensen/S. Short)	0.5-50	**	0.35%	**
General Purpose Powder Diffractometer (J. Richardson/R. Thomas)	0.5-100	**	0.25%	**
Single Crystal Diffractometer (A. J. Schultz/R. Vitt)	2-20	**	2%	**
Low-Res. Medium-Energy Chopper Spectrometer (R. Osborn/R. Zugler)	0.1-30	0-0.6	0.02 $k_0$	0.05 $E_0$
High-Res. Medium-Energy Chopper Spectrometer (C.-K. Loong/O. Yocum)	0.3-9	0-0.4	0.01 $k_0$	0.02 $E_0$
Small Angle Diffractometer (P. Thiyagarajan/D. Wozniak)	0.006-0.35	**	0.004	**
Small Angle Neutron Diffractometer (SAND) (P. Thiyagarajan/D. Wozniak)	0.006-2.0	**	0.004	**
Polarized Neutron Reflect. (POSY) (G. P. Felcher/R. Goyette)	0.0-0.07	**	0.0003	**
Neutron Reflect. (POSY II) (A. Wong/R. Goyette)	0.0-0.25	**	0.001	**
Quasi-Elastic Neutron Spectrometer (F. Trouw/C. Johnson)	0.42-2.59	0-0.1	$\sim 0.2$	70 $\mu\text{eV}^{\leftrightarrow}$ 0.01 $\Delta E$
Glass, Liquid and Amorphous Materials Diffractometer*** (D. L. Price/K. Volin)	0.05-25	**	$\sim 0.5\% \cot\theta$	**
	0.1-45	**	$\sim 1.0\% \cot\theta$	**
High Intensity Powder Diffractometer (A. J. Schultz/R. Vitt)	0.5-25	**	1.8-3.5%	**
	1.8-50	**	0.9%	**

\* Wave-vector,  $k = 4\pi\sin\theta/\lambda$ .  
 \*\* No energy analysis.  
 \*\*\* Two sample positions  
 $\leftrightarrow$  Elastic and inelastic resolution

Not Yet in the User Program  
Chemical Excitation Spectrometer (CHEX)



## HIGH FLUX BEAM REACTOR

Brookhaven National Laboratory  
Upton, New York 11973

The Brookhaven High Flux Beam Reactor (HFBR) presently operates at a power of 30 megawatts and provides an intense source of thermal neutrons (total thermal flux =  $0.5 \times 10^{15}$  neutrons/cm<sup>2</sup>-sec). The HFBR was designed to provide particularly pure beams of thermal neutrons, uncontaminated by fast neutrons and by gamma rays. A cold source (liquid hydrogen moderator) provides enhanced flux at long wavelengths ( $\lambda > 4 \text{ \AA}$ ). A polarized beam spectrometer, triple-axis spectrometers and small-angle scattering and neutron reflectometry facilities are among the available instruments. Special equipment for experiments at high and low temperatures, high magnetic fields, and high pressure is also available. The emphasis of the research efforts at the HFBR has been on the study of fundamental problems in the fields of solid state and nuclear physics and in structural chemistry and biology.

### USER MODE

Experiments are selected on the basis of scientific merit by a Program Advisory Committee (PAC), composed of the specialists in relevant disciplines from both within and outside BNL. Use of the facilities is divided between Participating Research Teams (PRT's) and general users. PRT's consist of scientists from BNL or other government laboratories, universities, and industrial labs who have a common interest in developing and using beam facilities at the HFBR. In return for their development and management of these facilities, each PRT is assigned up to 75 percent of the available beam time, with the remainder being reserved for general users. The PAC reviews the use of the facilities by the PRT's and general users and assigns priorities as required.

### PERSON TO CONTACT FOR INFORMATION

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www: <http://neutron.chm.bnl.gov/HFBR/index.html>

## HIGH FLUX BEAM REACTOR (continued)

### TECHNICAL DATA

<u>INSTRUMENTS</u>	<u>PURPOSE AND DESCRIPTION</u>
5 Triple-axis Spectrometers (H4M, H4S, H7, H8, H9A)	Inelastic scattering; diffuse scattering; powder diffraction; polarized beam. Energy range: 2.5 MeV, $< E_0 < 200$ MeV Q range: $0.03 < Q < 10 \text{ \AA}^{-1}$
Small Angle Neutron Scattering (H9B)	Studies of large molecules. Located on cold source with $50 \times 50 \text{ cm}^2$ position- sensitive area detector. Sample detector distance $L < 2$ meter. Incident wave- length $4 \text{ \AA} < \lambda_0 < 10 \text{ \AA}$
Diffractometer (H3A)	Protein crystallography. $20 \times 20 \text{ cm}^2$ area detector, $\lambda_0 = 1.57 \text{ \AA}$
Small Angle Scattering (H3B)	Studies of small angle diffraction of membranes. Double multilayer monochromator $1.5 \text{ \AA} < \lambda < 4.0 \text{ \AA}$ 2D detector with time slicing electronics and on-line data analysis.
2 Diffractometers (H6S, H6M)	Single-crystal elastic scattering 4-circle goniometer $1.69 \text{ \AA} < \lambda_0 < 0.65 \text{ \AA}$
Neutron Spectrometer (H5)	Inelastic scattering Diffuse scattering Powder diffraction; 15 He detectors covering $90^\circ$
(n, $\gamma$ ) Spectrometer (H1B)	Neutron capture studies Energy range: $0.025 \text{ eV} < E_0 < 25 \text{ KeV}$
Neutron Reflectometer (H9D)	Accommodates liquid or solid samples up to 40 cm long. $.0025 \text{ \AA}^{-1} \leq Q \leq 0.25 \text{ \AA}^{-1}$ , with resolution $1 \times 10^{-3} \text{ \AA}^{-1}$ . Reflection range $1-10^6$ .
High Resolution Neutron Powder Diffractometer. (H1A1)	Determination of moderately complex crystalline structures. $\lambda = 1.88 \text{ \AA}$ , $\Delta d/d = 5 \times 10^{-4}$ Ge(511) vertical focussing monochromator. 64 He <sup>3</sup> detectors, covering $160^\circ$
Irradiation Facilities 7 Vertical Thimbles	Neutron activation; production of isotopes; thermal flux: $8.3 \times 10^{14}$ neutrons/cm <sup>2</sup> -sec; fast ( $> 100 \text{ MeV}$ ) flux: $3 \times 10^{14}$ neutrons/cm <sup>2</sup> -sec.

## NATIONAL SYNCHROTRON LIGHT SOURCE

Brookhaven National Laboratory  
Building 725B, P.O. Box 5000  
Upton, New York 11973-5000

The National Synchrotron Light Source (NSLS) is the largest facility in the U.S. dedicated to the production of synchrotron radiation. Funded by the Department of Energy as a user facility, construction on the NSLS began in 1977 with VUV Ring operation commencing in 1982 and X-Ray Ring operation in 1984. Since then, the facility has undergone a major 4-year upgrade and is continually improved to take advantage of the latest technology in storage rings, beamline optics, and insertion devices.

The NSLS operates two electron storage rings producing high brightness synchrotron radiation in the infrared, visible, ultraviolet, and X-ray regions of the electromagnetic spectrum. Insertion devices installed in the straight sections of the rings provide radiation that is anywhere from one to several orders of magnitude brighter than the radiation from bending magnets. The VUV Ring operates at 800 MeV with a critical energy of 486 eV. It has 17 beam ports split into 32 experimental stations, or beamlines, and also supports two insertion devices. The X-Ray Ring operates at 2.5 GeV, 300 mA, with a critical energy of about 5 keV. It has a total of 30 beam ports split into 61 beamlines and currently supports 5 insertion devices: two undulators, a superconducting wiggler, and two hybrid wigglers. There are also a number of beamlines devoted to machine diagnostics and R&D. The NSLS facility has user laboratories and a wide range of research equipment for basic and applied studies in condensed matter, surface science, photochemistry and photophysics, lithography, crystallography, small-angle scattering, metallurgy, X-ray microscopy, topography, etc. Detailed information about beamline research programs, experimental apparatus, and optical configurations is available from the NSLS User Administration Office.

### USER MODES

Over 2,268 scientists from approximately 400 institutions were registered as NSLS users during 1996. The NSLS is a national user facility available without charge to university, industrial, national laboratory, and government users. In addition, a program is available to assist faculty/student research groups who have limited grant support and wish to defray travel expenses to the NSLS. Proprietary work can be done on a full cost recovery basis with the option to retain title to inventions resulting from research at the NSLS.

There are several ways of using NSLS experimental facilities. A large fraction of the beamlines have been designed and constructed by Participating Research Teams (PRTs). PRTs are comprised of one or more research teams from industry, universities, and other laboratories with large, long-range programs which have been approved by the NSLS Scientific Advisory Committee (SAC). The PRT members are given priority for up to 75% of their beamline's operational time, and their programs are reviewed by the SAC every 3-years. Peer-reviewed General User proposals are scheduled on both PRT beamlines and on beamlines built by the NSLS for the general community. The NSLS facility operates throughout the year with beam time scheduled in 4-month cycles. Deadlines for General User proposals are September 30, January 31, and May 31. Information about submitting research proposals, becoming a PRT, or applying for financial assistance may be obtained from the NSLS User Administration Office or the world wide web at [www.nsls.bnl.gov](http://www.nsls.bnl.gov).

### PERSON TO CONTACT FOR INFORMATION

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# NATIONAL SYNCHROTRON LIGHT SOURCE (continued)

## NSLS TECHNICAL DATA

### STORAGE RINGS

### KEY FEATURES

VUV electron 17 ports; Ec - 25.3 angstroms; 0.808 GeV electron energy  
 X-ray electron 30 ports; Ec - 2.48 angstroms; 2.584 GeV electron energy

RESEARCH AREA	WAVELENGTH RANGE (Angstroms)	ENERGY RANGE (eV)	NUMBER OF BEAMLINES
Absorption Spectroscopy	0.35 - 2480	5 - 35,000	24
Circular Dichroism	10.3 - 5904	2.1 - 1200	2
High Pressure Physics	1 - 10,000 m WB; 0.12 - 1.24	0.124 - 1240 meV WB; 10,000 - 100,000	2 2
High Q-Resolution Scattering	WB; 0.12 - 6.20	WB; 2000 - 100,000	15
Imaging:			
Medical	WB; 0.12 - 1.24	WB; 10,000 - 100,000	2
Tomography	WB; 0.12 - 3.10	WB; 4000 - 100,000	3
X-ray Microprobe	WB; 0.12 - 3.10	WB; 4000 - 100,000	3
X-ray Microscopy/Holography	10 - 80	155 - 1240	1
X-ray Topography	WB; 0.41 - 3.10	WB; 4,000 - 30,000	2
Infrared Spectroscopy	1 - 10,000 m	0.124 - 1240 meV	2
Lithography	124 - 4133	3 - 100	1
Nuclear Physics	---	80 - 400 (meV)	1
Photoemission Spectroscopy	2.10 - 6200	2 - 5900	19
Photoionization	2.10 - 4133	3 - 5900	3
Protein Crystallography	WB; 0.41 - 3.10	WB; 4,000 - 30,000	6
Radiometry	WB; 8.27 - 248	WB; 50 - 1500	1
Small Angle Scattering:			
Biology	0.66 - 5.90	2100 - 18,800	2
Materials Science	0.36 - 6.20	2000 - 34,000	4
Small Molecule Crystallography:			
Powder	WB; 0.12 - 3.10	WB; 4000 - 100,000	4
Single Crystal	0.21 - 6.20	2000 - 59,400	7
Standing Waves	WB; 0.62 - 6.89	WB; 1800 - 20,000	2
Surface Scattering/X-ray Reflectivity	WB; 0.48 - 6.20	WB; 2000 - 26,000	10
Time Resolved Fluorescence	1393 - 5904	2.1 - 8.9	1
UV Reflectometry	WB; 8.27 - 6200	WB; 2 - 1500	2
X-ray Emission Spectroscopy	2.48 - 50	248 - 5000	2

WB = White Beam (from 1993 NSLS User's Manual - BNL 48724)

## MANUEL LUJAN JR. NEUTRON SCATTERING CENTER

Los Alamos National Laboratory  
Los Alamos, New Mexico 87545

The Manuel Lujan Jr. Neutron Scattering Center (MLNSC) facility is a pulsed spallation neutron source equipped with time-of-flight (TOF) spectrometers for condensed-matter research. Neutrons are produced by spallation when a pulsed 800-MeV proton beam, provided by the Los Alamos Neutron Science Center (LANSCE) and an associated Proton Storage Ring (PSR), impinges on a tungsten target. To date, the PSR has achieved 75 percent of its design goal of 100- $\mu$ A average proton current at 20-Hz repetition rate. At this level, MLNSC has the world's highest, peak thermal flux for neutron scattering research. Current research programs at MLNSC use the following instruments: a chopper spectrometer (PHAROS) for Brillouin scattering; a filter difference spectrometer (FDS) for vibrational spectroscopy by inelastic neutron scattering; a Laue-TOF single-crystal diffractometer (SCD); a high-intensity powder diffractometer (HIPD) for structural studies of liquids, amorphous materials, and crystalline powders; a neutron powder diffractometer (NPD) with the highest resolution in the U.S.; a low-Q diffractometer (LQD) for small-angle scattering studies; and a surface profile reflectometer (SPEAR) for studies of surface structure.

### USER MODE

MLNSC provides neutron scattering facilities for several communities. Research programs cover a broad range: solid-state physics, chemistry, metallurgy, crystallography, structural biology, materials science, and nuclear physics. Since FY 1996, the MLNSC is funded by DOE, Office of Defense Programs for science-based stockpile stewardship (SBSS). Proposed experiments under the SBSS program will be reviewed by a panel of scientists and members of the defense community. Program priority will be evaluated in addition to scientific merit. The MLNSC also operates a formal user program funded by DOE, Basic Energy Sciences. Scientists from universities, industry, and national laboratories may again apply for beam time by submitting short proposals that will be subjected to appropriate peer review. DOE cost-recovery rules apply to proprietary experiments. The MLNSC sponsors participating research teams (PRTs) that are guaranteed access to a beam line for a negotiated period in exchange for financial participation in constructing a neutron spectrometer or ancillary equipment.

### CONTACT FOR USER INFORMATION

LANSCE User Office  
Mail Stop H805  
Los Alamos National Laboratory  
Los Alamos, NM 87545

(505) 665-1010  
Fax: (505) 665-8604  
E-Mail: [lansce\\_users@lanl.gov](mailto:lansce_users@lanl.gov)  
WWW: <http://www.lansce.lanl.gov>

# MANUAL LUJAN JR. NEUTRON SCATTERING CENTER (continued)

## TECHNICAL DATA

Proton Source	LANSCE linac
Proton Source Energy	800 MeV
LANSCE Proton Current	75 $\mu$ A
Proton Pulse Width	0.27 $\mu$ s
Repetition Rate	20 Hz
Epithermal Neutron Current (n/eV.Sr.S)	$3.2 \times 10^{12}/E$
Peak Thermal Flux (n/cm <sup>2</sup> .S)	$1.7 \times 10^{16}$

## INSTRUMENTS

32-m Neutron Powder Diffractometer (M. Bourke, Responsible) E-mail: bourke@lanl.gov	Powder Diffraction Wave vector 0.3-25 $\text{\AA}^{-1}$ Resolution 0.13%
Single Crystal Diffractometer (D. Argyriou) E-mail: argyriou@lanl.gov	Laue time-of-flight diffractometer Wave vectors: 1-15 $\text{\AA}^{-1}$ Resolution 2% typical
High Intensity Powder Diffractometer (R. VonDreele, Responsible) E-mail: vondreele@lanl.gov	Powder diffraction resolution: 0.7% liquids and amorphous materials diffraction resolution: 2% Wave vectors: 0.2-25 $\text{\AA}^{-1}$
Low Q Diffractometer (R. Hjelm, Responsible) E-mail: hjelm@lanl.gov	Small angle scattering at a liquid hydrogen cold source Wave vectors: 0.003-1.0 $\text{\AA}^{-1}$
Reflectometer (G. Smith, Responsible) E-mail: gsmith@lanl.gov	Surface reflection at grazing incidence. Wave vectors: 0.007 to 0.3 $\text{\AA}^{-1}$
Chopper Spectrometer (R. Robinson, Responsible) E-mail: rrobinson@lanl.gov	Inelastic scattering at small scattering angles. Energy trans.: 1-1700 meV Incident energy resolution: 0.5%
Filter Difference Spectrometer (J. Eckert, Responsible) E-mail: juergen@lanl.gov	Inelastic neutron scattering, vibrational spectroscopy Energy trans: 15-600 meV Resolution: 5-7%

## NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR

Solid State and Chemistry Divisions  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831

The neutron scattering facilities at the High Flux Isotope Reactor (HFIR) are used for long-range basic research on the structure and dynamics of condensed matter. Active programs exist on the magnetic properties of matter, lattice dynamics, defect-phonon interactions, phase transitions, crystal structures, polymers, micelles, ferrofluids, ceramics, and liquid crystals. The HFIR is an 85-MW, light-water moderated reactor. The central flux is  $4 \times 10^{15}$  neutrons/cm<sup>2</sup>-sec, and the flux at the inner end of the beam tubes is slightly less than  $10^{15}$  n/cm<sup>2</sup>-sec. A wide variety of neutron scattering instruments have been constructed with the support of the Division of Materials Sciences. Facilities are available for studies of materials at low and high temperatures, high pressures, and high magnetic fields.

### USER MODE

These facilities are open for use by outside scientists on problems of high scientific merit. Written proposals are reviewed for scientific feasibility by an external review committee. It is expected that all accepted experiments will be scheduled within six months of the receipt of the proposal. No charges for the use of the beams will be assessed for research to be published in the open literature. The cost of extensive use of ORNL shop or computer facilities must be born by the user. Inexperienced users will normally collaborate with an ORNL staff member. Proprietary experiments can be carried out after a contract has been arranged based on full cost recovery, including a charge for beam time. A brochure describing the facilities and a booklet giving user procedures is available on request.

### PERSON TO CONTACT FOR INFORMATION

G. D. Wignall Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 574-5237	Small Angle Neutron Scattering
B.C. Chakoumakos Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 574-5235	Powder and single-crystal structure
S. E. Nagler Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 574-5420	Triple-axis spectrometry
W. A. Hamilton Solid State Division Oak Ridge National Laboratory Oak Ridge, TN 37831-6393	(423) 576-6068	Reflectometry

# NEUTRON SCATTERING AT THE HIGH FLUX ISOTOPE REACTOR (continued)

## TECHNICAL DATA

HB-1	<u>Triple-axis polarized-beam</u> , Beam size - 2.5 by 3 cm max, Flux - $2.6 \times 10^6$ n/cm <sup>2</sup> s at sample (polarized), Vertical magnetic fields to 7 T, Horizontal fields to 5 T, Variable incident energy ( $E_0$ )
HB-1A	<u>Triple-axis, fixed <math>E_0</math></u> , $E_0 = 14.7$ MeV, Wavelength = 2.353 angstroms, Beam size - 5 by 3.7 cm max, Flux - $9 \times 10^6$ n/cm <sup>2</sup> s at sample with 40 min collimation
HB-2, HB-3	<u>Triple-axis, variable <math>E_0</math></u> , Beam size - 5 x 3.7 cm max, Flux - $10^7$ n/cm <sup>2</sup> s at sample with 40 min collimation
HB-3A	<u>Double-crystal small-angle diffractometer</u> , Beam size - 4 x 2 cm max, Wavelength = 2.6 angstroms, Flux - $10^4$ n/cm <sup>2</sup> s, Resolution - $4 \times 10^{-5}$ angstroms <sup>-1</sup>
HB-4A	<u>Wide-angle time-slicing diffractometer</u> , Beam size - 2 x 3.7 cm max, Wavelength = 1.537 angstroms, Flux - $2 \times 10^6$ n/cm <sup>2</sup> s with 9 min collimation, Curved linear position sensitive detector covering 130°
HB-4	<u>Correlation chopper</u> , Beam size - 5 x 3.7 cm, Flight path - 1.5 m, 70 detectors covering 130°, Variable $E_0$ , Variable pulse width  <u>Powder Diffractometer</u> , Beam size - 5 x 3.7 cm, Wavelength = 1.4 angstroms, 32 detectors with 6 min collimators
HB-4SANS	<u>Small-Angle Scattering Facility</u> , Beam size - 3 cm diameter max, Wavelength = 4.75 or  2.38 angstroms, $10^4$ - $10^6$ n/cm <sup>2</sup> s depending on slit sizes and wavelength, area detector 64 x 64 cm <sup>2</sup> , sample to detector distance 1.5 - 19 m
HB-3B	<u>Reflectometer</u> , 2.59-Å Horizontal Reflection Plane. 2-mm resolution with linear position-sensitive detector at 3 m from the sample.



## **STANFORD SYNCHROTRON RADIATION LABORATORY STANFORD LINEAR ACCELERATOR CENTER**

**Stanford University  
Stanford, California 94309-0210**

SSRL is a National Users' Research Laboratory for the application of synchrotron radiation to research in biology, chemistry, engineering, geology, materials science, medicine and physics. In addition to scientific research utilizing synchrotron radiation the Laboratory program includes the development of advanced sources of synchrotron radiation (e.g., insertion devices for the enhancement of synchrotron radiation as well as modifications of SPEAR). SSRL presently has 24 experimental stations with 4 more under construction. Three of these are contained in an integrated structural molecular biology facility to be completed in 1996. The radiation on 11 stations is enhanced by insertion devices providing some of the world's most intense X-ray sources.

The primary research activities at SSRL are:

X-ray absorption, small and large angle scattering as well as topographic studies of atomic arrangements in complex materials systems, including surfaces, extremely dilute constituents, amorphous materials and biological materials. X-ray and VUV photoemission and photoelectron diffraction studies of electronic states and atomic arrangements in condensed and gaseous matter. Environmental studies. Semiconductor and thin film processing and magnetic properties of thin films using circular polarization.. SSRL serves over 1,000 scientists from 153 institutions working on 266 active proposals. A wide variety of experimental equipment is available for the user and there are no charges either for use of the beam or for the facility-owned support equipment. Proprietary research may be performed on a cost-recovery basis.

### USER MODE

SSRL is a user-oriented facility which welcomes proposals for experiments from all qualified scientists. SSRL operates for users 8-9 months per year. Over 75 percent of the beam time is available for the general user. Access is gained through proposal submittal and peer review. An annual Activity Report is available on request. It includes progress reports on about 100 experiments plus descriptions of recent facility developments. The booklets "Proposal Submittal and Scheduling Procedures" and "SSRL Experimental Stations" provide detailed information on proposal submittal and experimental station characteristics.

### PERSON TO CONTACT FOR INFORMATION

Artie Bienenstock (415) 926-3153  
SSRL FAX: (415) 926-4100  
P. O. Box 4349  
Stanford, CA 94305

# CHARACTERISTICS OF SSRL EXPERIMENTAL STATIONS

SSRL presently has 25 experimental stations on 21 beam ports with 5 more stations under construction.

	Horizontal Angular Acceptance (mrad)	Mirror Cut Off (keV)	Monochromator	Energy Range (eV)	Resolution $\Delta E/E$	Approximate Spot Size Hgt. x Width (mm)	Instrumentation
<b>WIGGLER LINES - X-RAY</b>							
<b>End Stations</b>							
4-2 (4 periods)							
Focused	2.0	10.2	Double Crystal	2400-10200	$\sim 5 \times 10^{-4}$	0.5 x 4.0	
Unfocused	1.0		Double Crystal	2400-45000	$\sim 10^{-4}$	2.0 x 20.0	
4-2 SAXS	0.5-2.0	10.2	Double Crystal	2400-10200	$\sim 5 \times 10^{-4}$	0.5 x 4.0	SAXS Camera
	0.5-2.0	10.2	Multilayers	7000-10200	$3 \times 10^{-2}$	0.5 x 4.0	SAXS Camera
6-2 (27 periods)							
Focused	2.3	22	Double Crystal	2050-21000	$\sim 5 \times 10^{-4}$	0.5 x 4.0	
Unfocused	1.0		Double Crystal	2050-32000	$\sim 10^{-4}$	2.0 x 20.0	
7-2 (4 periods)							6-circle Diffractometer
Focused	2.0	10.2	Double Crystal	2400-10200	$\sim 5 \times 10^{-4}$	1.0 x 4.0	
Unfocused	1.0		Double Crystal	2400-45000	$\sim 10^{-4}$	2.0 x 20.0	
9-2 (8 periods)					Under Construction		
Focused	2.0	23	Double Crystal	4000-23000			Area Detector
White Light Laue	0.5			4000-45000			
10-2 (15 periods)							6-circle Diffractometer
Focused	2.3	22	Double Crystal	2400-21000	$\sim 5 \times 10^{-4}$	0.5 x 4.0	
Unfocused	1.0		Double Crystal	2400-40000	$\sim 10^{-4}$	2.0 x 20.0	
10-2 Diffractometer					Under Construction		6-circle Diffractometer
11-2 (13 periods)							
Focused	$\sim 2$	23	Double Crystal	4500-23000			
Unfocused	$\sim 1$			4500-45000			
<b>Side Stations</b>							
4-1	1.0		Double Crystal	2400-35000	$\sim 5 \times 10^{-4}$	2.0 x 20.0	
4-3							
Focused	1.0	Variable	Double Crystal	2400-15000	$\sim 10^{-4}$	0.15 x 20.0	
Unfocused	1.0		Double Crystal	2400-35000	$\sim 10^{-4}$	2.0 x 20.0	
7-1	1.0		Curved Crystal	6000-13000	$\sim 8 \times 10^{-4}$	0.6 x 3.0	Rotation Camera
7-3	1.0		Double Crystal	2400-35000	$\sim 10^{-4}$	2.0 x 20.0	
9-1	3.0	16	Curved Crystal	11500-13500	Under Construction		Rotation Camera
9-3					Under Construction		
Focused	2.5	23	Double Crystal	4600-23000			
Unfocused	0.7		Double Crystal	4600-40000			
<b>VUV/SOFT X-RAY STATIONS</b>							
5-2 multi-undulator	1.5		4 Gratings	10-1000	$1 \times 10^{-3}$	1mm <sup>2</sup>	Circular Polarization
5-3 multi-undulator	1.5		4 Gratings	20-250	$1.0-2.0 \times 10^{-3}$	$\square 1\text{mm}^2$	
5-4			NIM		Under Construction		
10-1 wiggler side station	2.0		6m SGM	250-1200	$\sim 2 \times 10^{-4}$	$\square 0.1\text{mm}^2$	
<b>BEND MAGNET STATIONS</b>							
<b>X-RAY</b>							
1-4	2.0		Curved Crystal	6700-10800	$4.0 \times 10^{-3}$	0.25 x 1.0	SAXS Camera
1-5	1.0		Double Crystal	5500-30000	$\sim 2 \times 10^{-4}$	2 x 17 <sub>2</sub>	CAD-4
1-5 ES2	1.0	14.5	Double Crystal	5500-14500	$\sim 2 \times 10^{-4}$	$\square 1\text{mm}$	Imaging Plate
2-1 (Focused)	4.8	8.9	Double Crystal	2400-8900	$\sim 5 \times 10^{-4}$	2 x 6	
2-2	1.0		None	3200-40000		4 x 22	
2-3	1.0		Double Crystal	2400-30000	$\sim 5 \times 10^{-4}$	2 x 20	
<b>VUV SOFT X-RAY</b>							
1-1	2.0		Grasshopper	24-1000	$\Delta\lambda = .05-2\text{\AA}$	1.0 x 1.0	Commissioning
1-2	4.0		6m TGM	8-180	$\sim 1 \times 10^{-4}$	0.1 x 0.5	
3-3	8-10	4.5	UHV Double Crystal	800-4500	$\sim 5 \times 10^{-4}$	1.5 x 2.5	
3-4	0.6		Multilayer	0-3000	White or $\Delta\lambda\lambda = .6\%$	2 x 8	Vacuum Diffractometer Litho. Expo. Station
8-1	12		6m TGM	8-180	$\sim 1 \times 10^{-3}$	$\square 0.1\text{mm}^2$	
8-2	5.0		6m SGM	150-1000	$\sim 1 \times 10^{-4}$	$\square 0.1\text{mm}^2$	Circular Polarization

## ADVANCED LIGHT SOURCE

University of California  
Lawrence Berkeley National Laboratory  
Berkeley, CA 94720

The Advanced Light Source (ALS) is a third-generation synchrotron source of high-brightness soft x-ray and ultraviolet radiation operated by the Lawrence Berkeley National Laboratory (LBNL) of the University of California.

Construction began in October 1987 and was completed in April 1993. The ALS is based on a low-emittance electron storage ring with 10 long straight sections available for insertion devices and 33 bend-magnet ports. The storage ring operates in the energy range from 1.0 to 1.9 GeV. The spectrum of synchrotron radiation depends on the radiation source and on the storage-ring energy. The brightest sources at the ALS are undulators placed in the straight sections of the storage ring. Undulators emit ultrabright laser-like beams that are highly focused in narrow wavelength bands. Existing undulator beamlines at the ALS provide high-brightness radiation at photon energies from below 10 eV to about 1.5 keV. A second type of insertion device, the wiggler, can access the hard x-ray region by generating broad-band radiation to about 20 keV. Bend magnets provide broad-band radiation to about 10 keV. Circularly polarized radiation is available from bend magnets and will be available from elliptical undulators. Infrared radiation will also be available from bend magnets. In the normal multibunch operating mode, the time structure of the radiation comprises pulses with a full-width-half-maximum of about 30 ps and separation between pulses of 2 ns; a two-bunch mode with maximum pulse separation of 656 ns is also provided on user request.

The ALS research program is extensive and steadily growing. The high brightness is opening new areas of research from the materials sciences, such as spatially resolved spectroscopy (spectromicroscopy), to the life sciences, such as spatially resolved spectroscopy (spectromicroscopy), to the life sciences, such as x-ray microscopy with element-specific sensitivity. The scientific and technological impact of spatial resolution is extremely wide owing to the relentlessly decreasing size of the physical, chemical, and biological systems to be analyzed. Other beneficiaries of high brightness include very-high-resolution spectroscopy, spectroscopy of dilute species, spectroscopy and imaging of magnetic materials using circularly polarized radiation, diffraction from very small samples, and time-resolved spectroscopy and diffraction. Chemical analysis of semiconductor microstructures, x-ray crystallography of biological macromolecules for rational pharmaceutical design, at-wavelength interferometric testing of x-ray optical elements for future projection lithography systems, x-ray metrology, and microstructure fabrication by the LIGA process using proximity x-ray lithography are examples of research programs with direct industrial interest and participation. The table summarizes existing experimental facilities and those planned for installation through 1998.

### USER MODE

The ALS operates year round with scheduled shutdowns for installation of new experimental facilities and for accelerator maintenance. The current operating schedule provides 16 shifts per week for user (1 shift = 8 hours). As a national user facility, the ALS is available without charge to personnel from university, industrial, and government laboratories for non-proprietary research intended for publication in the open literature. Proprietary research is also welcome but is subject to a cost-recovery charge for provision of beam time. Proprietary users have the option to take title to any inventions made during the proprietary research program and treat as confidential all technical data generated during the program.

Whether non-proprietary or proprietary, there are two primary modes of conducting research at the ALS: as a member of a participating research team (PRT) or as an independent investigator. PRTs are collaborative groups comprising research personnel from one or more institutions with common research interests who contribute to the construction, operation, and maintenance of experimental facilities (beamlines and experimental stations) at the ALS for this purpose. In return for their contributions, PRT members are granted priority for a percentage of the operating time on their facilities. The remaining operating time on each beamline is allotted to scientists who are not members of a PRT (independent investigators). The proportion of time available to independent investigators varies from beamline to beamline. Proposals for the establishment of new PRTs are reviewed by the Program Advisory Committee. Proposals for beam time from independent investigators are peer-reviewed by the Proposal Study Panel twice a year with 1 June and 1 December deadlines for receipt of proposals. For details, consult the ALS Users' Handbook, which is available from the Program Administrator at the address below. A safety handbook, a beamline design guide, and an annual activity report describing the previous year's accomplishments is also available.

### PERSON TO CONTACT FOR INFORMATION

Elizabeth Saucier, Program Administrator  
Advanced Light Source, MS 80-101  
Lawrence Berkeley Laboratory  
Berkeley, CA 94611

(510) 486-6166  
Fax (510) 486-4960  
E-mail: ECSaucier@lbl.gov

## SUMMARY OF ALS EXPERIMENTAL FACILITIES

The ALS complement of experimental facilities (insertion devices, beamlines, and experimental stations) continues to grow as new research opportunities become defined and funding becomes available. Experimental facilities are developed and operated by participating research teams working with the ALS staff. The table lists existing beamlines and beamlines planned through 1998 for which funding is available. Beamline designations X.Y.Z refer to storage ring sector number X, port number Y, and branch number Z. A fourth digit is used for fixed experimental stations with substantially different programs. There are 12 sectors. Ports 0 are insertion-device ports, ten of which are available for insertion devices; ports 1, 2, 3, and 4 are bendmagnet ports. Each branch may service multiple experimental stations. EPU is an elliptically polarizing undulator.

Beamlines available at the Advanced Light Source through 1998.

Beamline Number	Radiation Source	Scientific Program	Spectral Range	When Available
1.4	Bend Magnet	Infrared spectromicroscopy	0.06-1.2eV	1997
3.1	Bend Magnet	Diagnostic beamline	200-280eV	Now
4.0.1	EPU	High-resolution spectroscopy of magnetic and other spin-dependent systems	20-1800eV	1998
4.0.2	EPU	Magnetic microscopy	100-1800eV	1998
5.0	Wiggler	Protein crystallography	4-13 keV	1997
6.1.	Bend Magnet	High-resolution zone-plate microscopy	250-600eV	Now
6.3.1.	Bend Magnet	Calibration and standards, EUV/soft x-ray optics testing	500-4000eV	1997
6.3.2.	Bend Magnet	Calibration and standards, EUV optics testing, atomic, molecular, and materials science	50-1000eV	Now
7.0.1	Undulator	Surface and materials science, spectromicroscopy	70-1200eV	Now
7.0.2	Undulator	Coherent optics experiments	70-650eV	1997
7.3.1.1	Bend Magnet	Magnetic microscopy, spectromicroscopy	260-1200eV	1997
7.3.1.2	Bend Magnet	Surface and materials science, spectromicroscopy	260-1200eV	1997
7.3.3	Bend Magnet	White light x-ray	3-12keV	1997
8.0	Undulator	Surface and materials science	70-1200eV	Now
9.0.1	Undulator	Atomic and molecular science	20-300eV	Now
9.0.2.1	Undulator	Chemical and reaction dynamics, Photochemistry	5-30eV	Now
9.3.1	Bend Magnet	Atomic and molecular science, materials science	700-6000eV	Now
9.3.2	Bend Magnet	Chemical and materials science	30-1500eV	Now
10.3.1	Bend Magnet	Fluorescence x-ray microprobe	3-12keV	Now
10.3.2	Bend Magnet	Deep-etch x-ray lithography (LIGA), materials science	3-12keV	Now

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Major Facilities

12.0	Undulator	EUV projection lithography, surface and materials science, optics development	60-320eV	Now
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## **SECTION F**

### **Other User Facilities**

## MATERIALS PREPARATION CENTER

Ames Laboratory  
Iowa State University  
Ames, Iowa 50011

The Materials Preparation Center was established because of the unique capabilities for preparation, purification, fabrication and characterization of certain metals and materials that have been developed by investigators at the Ames Laboratory during the course of their basic research. Individuals within the Laboratory's Metallurgy and Ceramics Program are widely recognized for their work with very pure rare-earth, alkaline-earth and refractory metals. Besides strengthening materials research and development at the Ames Laboratory, the Center increases awareness by the research community of the scope and accessibility of this resource to universities, other government and private laboratories and provides appropriate transfer of unique technologies developed at the Center to private, commercial organizations. The Center is presently upgrading its equipment pool through support of the Scientific Facilities Initiative.

Through these research efforts at Ames, scientists are now able to acquire very high-purity metals and alloys in single and polycrystalline forms, as well as the technology necessary to satisfy many needs for special preparations of rare-earth, alkaline-earth, refractory and some actinide metals. The materials in the form and/or purity are not available from commercial suppliers, and through its activities the Center helps assure the research community access to materials of the highest possible quality for their research programs.

In addition to a Materials Preparation Section, the Center also consists of an Analytical Section, the Materials Referral System and Hotline (MRSH), and the High- $T_c$  Superconductivity Information Exchange. The Analytical Section has extensive expertise and capabilities for the characterization of materials, including complete facilities for chemical and spectrographic analyses, selected services of this section are available to the research community. The purpose of MRSH is to accumulate information from all known National Laboratory sources regarding the preparation and characterization of materials and to make this information available to the scientific community. The High- $T_c$  Superconductivity Information Exchange provides a centralized site for rapid dissemination of up-to-date information on high-temperature superconductivity research. It publishes the newsletter, High- $T_c$  Update, twice-monthly without charge, as both hard copy and electronic mail.

### USER MODE

#### Materials Preparation and Analytical Sections

Quantities of ultrapure rare-earth metals and alloys in single and polycrystalline forms are available. Special preparations of high-purity oxides and compounds are also available in limited quantities. Unique technologies developed at Ames Laboratory are used to prepare refractory metals in single and polycrystalline forms. In addition, certain alkaline-earth metals used as reducing agents are available. Complete characterization of these materials are provided by the Analytical Section. Materials availability and characterization information can be obtained from Lawrence L. Jones, Director, Materials Preparation Center or Thomas A. Lograsso, MRSH Manager.

#### Materials Referral System and Hotline

The services of the Materials Referral System are available to the scientific community and inquiries should be directed to Thomas A. Lograsso, MRSH Manager, (515) 294-8900.

#### High- $T_c$ Superconductivity Information Exchange

The newsletter, High- $T_c$  Update, is published twice-monthly and available without charge as either hard copy or electronic mail. Inquiries should be directed to Sreeparna Mitra, (515) 294-3877.

**MATERIALS PREPARATION CENTER (continued)****TECHNICAL DATA****MATERIALS**

Scandium	Titanium	Magnesium	Thorium
Yttrium	Vanadium	Calcium	Uranium
Lanthanum	Chromium	Strontium	
Cerium	Manganese	Barium	
Praseodymium	Zirconium		
Neodymium	Niobium		
Samarium	Molybdenum		
Europium	Hafnium		
Gadolinium	Tantalum		
Terbium	Tungsten		
Dysprosium	Rhenium		
Holmium			
Erbium			
Thulium			
Ytterbium			
Lutetium			

**PERSON TO CONTACT FOR INFORMATION**

Lawrence L. Jones, Director      (515) 294-5236  
Ames Laboratory  
Materials Preparation Center  
121 Metals Development Building  
Ames, IA 50011

Thomas A. Lograsso, Associate Director      (515) 294-8900  
Ames Laboratory  
Materials Preparation Center  
109 Metals Development Building  
Ames, IA 50011



## ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

Argonne National Laboratory  
Argonne, Illinois 60439

The Argonne National Laboratory Electron Microscopy Center for Materials Research provides unique facilities which combine the techniques of high-voltage electron microscopy, ion-beam modification, and ion-beam analysis, along with analytical electron microscopy.

The HVEM-Tandem Accelerator Facility, which combines two microscopes and two ion accelerators, is the cornerstone of the Center. A High Voltage Electron Microscope (HVEM: a 1.2 MeV Kratos/AEI EM7) and a recently installed intermediate Voltage Electron Microscope (IVEM: a 300 kV Hitachi 9000 NAR) are interfaced to either of two ion accelerators, a 650 kV NEC implanter and a 2 MeV NEC Tandem accelerator. Ion beams of most stable elements, with energies from 10 keV to 8 MeV, can be transported into the HVEM or IVEM to permit direct observation of the effects of ion and/or electron bombardment of materials. Additionally, the HVEM and IVEM have a number of specialized features (see following page), which allow for a wide range of in situ experiments on materials under a variety of conditions.

In addition to the HVEM-Tandem Facility, the Center's facilities include a High Resolution Electron Microscope (JEOL 4000 EXII), a JEOL 100 CXII transmission and scanning transmission electron microscope (TEM/STEM) equipped with an X-ray energy dispersive spectrometer (XEDS), a Philips EM 420 TEM/STEM equipped with XEDS and an electron energy loss spectrometer (EELS) and a Philips CM30 with XEDS and PEELS. Installation of a VG603Z advanced Analytical Electron Microscope (AEM) is complete. This state-of-the-art, field emission gun ultra-high vacuum AEM operates up to 300 keV and has the highest available microanalytical resolution with capabilities for XEDS, EELS, and Auger Electron Spectroscopy AES. As such, it has substantially increased analytical capabilities for materials research over present-day instruments.

### USER MODE

The HVEM-Tandem Facility is operated as a national resource for materials research. Qualified scientists wishing to conduct experiments using the HVEM-Tandem facilities of the Center should submit a proposal to either of the persons named below. Proposals are peer reviewed by a Steering Committee composed of ANL and non-ANL scientists. There are no use charges for non-proprietary research of documented interest to DOE. Use charges will be levied for proprietary investigations.

### PERSON(S) TO CONTACT FOR INFORMATION

C. W. Allen (630) 252-4157  
and  
E. A. Ryan (630) 252-5222  
Electron Microscopy Center for Materials Res.  
Materials Science Division  
Argonne National Laboratory  
9700 South Cass Avenue  
Argonne, IL 60439

# ELECTRON MICROSCOPY CENTER FOR MATERIALS RESEARCH

## TECHNICAL DATA

### ELECTRON MICROSCOPES

High-Voltage Electron Microscope  
Kratos/AEI EM7 (1.2 MeV)

Transmission Electron Microscope  
Hitachi H-9000 NAR (300 keV)

Transmission Electron Microscope  
JEOL 100 CX (100 keV)

Transmission Electron Microscope  
Phillips EM 420 (120 keV)

Transmission Electron Microscope  
Phillips CM 30 (300 keV)

High Resolution Electron Microscope  
JEOL 4000 EXII (400 keV)

Analytical Electron Microscope  
VG603Z (300 keV)

### KEY FEATURES

Resolution 9 Å pt-pt  
Continuous voltage selection  
Current density 15 A/cm<sup>2</sup>  
High-vacuum specimen chamber  
Electron and ion dosimetry systems  
Video recording system  
Ion-beam interface  
Specimen stages 10 - 1300 K  
Straining and environmental stages

Resolution 2.5 Å pt-pt  
Ion beam interface  
Specimen holders (15- 1200 K)

Resolution 7 Å pt-pt  
Equipped with STEM, XEDS  
Specimen stages 85 - 900 K

Resolution 4.5 Å pt-pt  
Equipped with EELS, XEDS, PEELS  
Specimen stages 30 - 1300 K

Resolution 2.5 Å pt-pt  
Equipped with XEDS  
Specimen stages 30 - 1300 K

Resolution 1.65 Å pt-pt  
Specimen stages RT

Resolution 2.8 Å pt-pt  
Ultra-high vacuum, Field Emission Gun  
Equipped with EELS, XEDS, AES,  
SIMS, LEED, etc.  
Specimen stages 85 - 1300 K

### ACCELERATORS

NEC Model 2 UDHS Tandem

Terminal voltage 2 MV  
Energy stability  $\pm 250$  eV  
Current density: H<sup>+</sup>, 10  $\mu$ A/cm<sup>2</sup>  
(typical) Ni<sup>+</sup>, 3  $\mu$ A/cm<sup>2</sup>

NEC 650 kV Ion Implanter

Terminal voltage 650 kV  
Energy stability  $\pm 60$  eV  
Current density: He<sup>+</sup>, 100  $\mu$ A/cm<sup>2</sup>  
(typical) Ar<sup>+</sup>, 10  $\mu$ A/cm<sup>2</sup>

## CENTER FOR MICROANALYSIS OF MATERIALS

Frederick Seitz Materials Research Laboratory  
University of Illinois  
Urbana-Champaign, Illinois 61801

The Center operates a wide range of advanced microchemistry, surface chemistry, electron microscopy, X-ray and electron-beam microanalytical equipment for the benefit of the University of Illinois materials research community and for the DOE Laboratories and Universities Programs. Equipment is selected to provide a spectrum of advanced microcharacterization techniques including microchemistry, micro-crystallography, surface analysis, structure determination, etc. A team of professionals runs the facility and facilitates the research.

### USER MODE

Most of the research in the facility is funded from the MRL, DOE, and NSF contracts, and is carried out by graduate students, post-doctoral and faculty researchers and by the Center's own professional staff. The Center welcomes external users from national laboratories, universities, and industry.

For the benefit of external users the system retains as much flexibility as possible. The preferred form of external usage is collaborative research with a faculty member associated with the MRL. Independent usage by trained individuals is also encouraged. Assistance and collaboration with the professional staff of the Center is arranged as required. In all cases, the research carried out by users of the Center has to be in the furtherance of DOE objectives.

The equipment is made available on a flexible schedule. Professional help by the Center staff will be arranged to assist the users. Fully qualified users can and do use the equipment at any time of the day.

The Center staff maintain training programs in the use of the equipment and teach associated techniques. Part of the Center's activity is concerned with the development of new instruments and instrumentation.

In addition to the main items listed opposite, the Center also has other equipment: optical microscopes, a surface profiler, a microhardness tester, etc. Dark rooms and full specimen preparation facilities are available, including ion-milling stations, a micro-ion mill, electropolishing units, sputter coaters, a spark cutter, ultrasonic cutter, diamond saw, dimpler, etc.

### PERSON TO CONTACT FOR INFORMATION

Dr. J. A. Eades, Coordinator  
Center for Microanalysis of Materials  
Materials Research Laboratory  
University of Illinois Frederick Seitz  
104 S. Goodwin  
Urbana, IL 61801

(217) 333-8396

## CENTER FOR MICROANALYSIS OF MATERIALS (continued)

<u>INSTRUMENTS</u>	<u>"ACRONYM"</u>	<u>FEATURES AND CHARACTERISTICS</u>
Imaging Secondary Ion Microprobe Cameca IMS 5f	SIMS	Dual ion sources ( $C_+^*$ , $O_2^+$ ). 1 $\mu m$ resolution.
Scanning Auger Microprobe Physical Electronics 660	Auger	Resolution: SEM 25 nm Auger 60 nm
X-ray Photoelectron Spectrometer Electronics 5400	XPS	Resolution: 50 meV, 180° Physical spherical analyzer, Mg/Al and Mg/Ag anodes
X-ray Photoelectron Spectrometer Surface Science	XPS	Spherical analyzer, small spot size, gas doping, high temperature
Transmission Electron Microscope Philips EM420 (120kV) Stage (30K).	TEM	EDS, EELS, STEM, Cathodoluminescence,
Transmission Electron Microscope Philips EM400T (120kV)	TEM	EDS. Heating, cooling stages
Transmission Electron Microscope Philips CM12 (120 kV)	TEM	EDS, STEM, Heating, cooling stages
Transmission Electron Microscope JEOL 4000EX (400 kV)	TEM	For environmental cell use. Straining stages, heating stages
Transmission Electron Microscope Hitachi 9000 (300 kV)	TEM	0.19nm resolution atomic imaging
Scanning Transmission E.M. Vacuum Generators HB501 (100kV)	STEM	0.5 nm probe, field emission gun, EDS, EELS (on order)
Scanning Electron Microscope Hitachi S800	SEM	Field Emission Gun Resolution 2 nm, EDX
Scanning Electron Microscope Zeiss 960	SEM	Backscattering (EBSP), EDX, Cathodoluminescence, Helium Stage
Scanning Tunnelling Microscope Omicron VT	STM	Variable temperature 30K - 1000K Auger, gas dosing, ion cleaning

## CENTER FOR MICROANALYSIS OF MATERIALS (continued)

<u>INSTRUMENTS</u>	<u>"ACRONYM"</u>	<u>FEATURES AND CHARACTERISTICS</u>
X-ray Equipment Enraf-Nonius 18kW source Elliott 14 kW source Rigaku 12 kW source Several conventional sources Rigaku D/Max-11B Computer Controlled Powder Diffractometer Scintag diffractometers(2)	X-ray	4-circle diffractometer. Bede high-precision diffractometer 3-circle diffractometer Powder cameras, etc. High temperature and low temperature stages. Texture analysis.
Van de Graff Accelerator for High Voltage Engineering 3 MeV Tandem Accelerator general ionex 1.7 MeV	RBS etc.	Rutherford Backscattering ion irradiation and implantation PIXE Proton Induce X-ray Emission)
Low-energy electron microscopy (Tromp-IBM)	LEEM	(on order)

## NATIONAL CENTER FOR ELECTRON MICROSCOPY

Lawrence Berkeley National Laboratory  
University of California  
Berkeley, California 94720

The National Center for Electron Microscopy (NCEM) was formally established in the Fall of 1981 as a component of the Materials and Molecular Research Division, Lawrence Berkeley Laboratory.

The NCEM provides unique facilities and advanced research programs for electron microscopy characterization of materials. Its mission is to carry out fundamental research and maintain state-of-the-art facilities and expertise. Present instrumentation at the Center includes a 1.5 MeV Kratos microscope dedicated largely to in-situ work, a 1-MeV JEOL atomic resolution microscope (ARM) with 1.6 angstrom point-to-point resolution, a 200-kV high-resolution microscope (JEOL 200 CX), a 200-kV analytical microscope (JEOL 200 CX) equipped with a thin window and a high-angle X-ray detector, and a parallel energy-loss spectrometer; a 200 kV in situ microscope with an electrical biasing holding, and a 200 Lv field emission microscope with an imaging energy filter. Facilities for computer image simulation, processing and analysis are also available to users.

### USER MODE

Qualified microscopists with appropriate research projects of documented interest to DOE may use the Center without charge. Proprietary studies may be carried out on payment of full costs. Access to the Center may be obtained by submitting research proposals, which will be reviewed for Center justification by a Steering Committee (present external members are: Drs. D. G. Howitt, Chairman, C. W. Allen, D. J. Smith, R. Mishra and K. Downing; internal members are: Drs. G. Thomas, K. M. Krishnan, R. Gronsky, and K. H. Westmacott). A limited number of studies judged by the Steering Committee to be of sufficient merit can be carried out as a collaborative effort between a Center post-doctoral fellow, the outside proposer, and a member of the Center staff. The Center also provides access to junior faculty and researchers through an annual visiting scientist fellowship award.

### PERSON TO CONTACT FOR INFORMATION

Ms. Gretchen Hermes (510) 486-5006  
National Center for Electron Microscopy  
Mail Stop: 72-150  
Lawrence Berkeley Laboratory  
University of California  
Berkeley, CA 94720

## NATIONAL CENTER FOR ELECTRON MICROSCOPY (continued)

### TECHNICAL DATA

#### INSTRUMENTS CHARACTERIZATION

#### KEY FEATURES

KRATOS 1.5-MeV  
Electron Microscope

Resolution 3 Å (pt-pt)  
environmental cell; hot stage,  
cold stage, straining stage,  
straining/heating stage, CBED,  
video camera, Faraday cup

50-80 hrs/week 150-1500 kV  
range in 100 kV steps and  
continuously variable.  
LaB<sub>6</sub> filament. Max. beam  
current 70 amp/cm<sup>2</sup>.  
3-mm diameter specimens.

JEOL 1-MeV Atomic  
Resolution Microscope

Resolution < 1.6 Å (pt-pt)  
over full voltage range.  
Ultrahigh resolution  
goniometer stage,  $\pm 40^\circ$   
biaxial tilt with height control.

60 hrs/week, 400 kV-1  
MeV, LaB<sub>6</sub> filament, 3-mm  
diameter specimens.

JEOL 200 CX  
Electron Microscope

Dedicated high-resolution  
2.4 Å (pt-pt) U.H.  
resolution goniometer  
stage only.

200 kV only, LaB<sub>6</sub>  
filament, 2.3-mm or  
3-mm diameter specimens.

JEOL 200 CX dedicated  
Analytical Electron  
Microscope

Microdiffraction, CBED,  
UTW X-ray detector, high-  
angle X-ray detector, PEELS  
spectrometer.

100 kV-200 kV LaB<sub>6</sub>  
filament, state-of-the-art  
resolution; 3-mm diameter  
specimens.

JEOL 200 CX  
Electron Microscope

In-situ instrument with  
electrical biasing holder,  
heating stage and video camera.

100 kV-200 kV LaB<sub>6</sub>  
filament; side entry stage;  
3-mm diameter specimens.

Philips Cm200 FEG  
Electron Microscope

Field emission microscope,  
2.4Å (pt.-pt) resolution;  
holography; energy filter,  
video and CCD cameras.

200kV FEG  
side entry, 5 axis  
compustage; 3mm  
diameter specimens.

## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

Metals and Ceramics Division  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831

A wide range of facilities for use in materials science are available for collaborative research between university, industry, and other government laboratory researchers and ORNL staff members. Facilities are available for state-of-the-art intermediate voltage electron microscopy, atom probe/field ion microscopy, scanning electron microscopy, atomic force microscopy, surface analysis, and nuclear microanalysis. The electron microscopy capabilities include analytical electron microscopy [energy dispersive X-ray spectrometry (EDS), electron energy-loss spectrometry (PEELS), energy-filtered transmission electron microscopy, spectrum imaging, and selected area and convergent beam electron diffraction (CBED)]. A high resolution FEG-SEM is equipped for autooriented orientation imaging microscopy and light-element EDS, atom probe field ion microscopy facilities permit single atom analysis. Surface analysis facilities include three Auger electron spectroscopy (AES) systems, and 0.4, 2.5, and 4.0 MeV Van de Graaff accelerators for radiation effects studies and ion beam modification treatments. Other equipment includes two mechanical properties microprobes (Nanoindenter), X-ray diffraction systems, rapid solidification apparatus, and various other facilities in the Metals and Ceramics Division.

### USER MODE

User interactions are through collaborative research projects between users and researchers on the Materials Sciences Program at ORNL. Proposals are reviewed by an executive committee which consists of ORISE, ORNL, and external personnel. Current members are: E. A. Kenik, Chairman, J. Bentley, M. L. McCartney, E. L. Hall, and N. D. Evans. Proposals are evaluated on the basis of scientific excellence and relevance to DOE needs and current ORNL research. One ORNL staff member must be identified who is familiar with required techniques and will share responsibility for the project.

The SHaRE program provides technical help and limited travel expenses for us academic participants through the Oak Ridge Institute for Science and Education (ORISE).

### PERSONS TO CONTACT FOR INFORMATION

E. A. Kenik  
Metals and Ceramics Division  
Oak Ridge National Laboratory  
P. O. Box 2008  
Oak Ridge, TN 37831

(423) 574-5066  
email: kenikea@ornl.gov

N. D. Evans  
Oak Ridge Institute for Science  
and Education  
P. O. Box 117  
Oak Ridge, TN 37831

(423) 576-4427



## SHARED RESEARCH EQUIPMENT PROGRAM (SHaRE)

### TECHNICAL DATA

Facilities	Key Capabilities	Applications
Philips EM400T/ FEG AEM 120 kV	EDS, CBED, STEM; minimum probe diam ~2 nm*	Structural and elemental microanalysis
Philips CM12 AEM 120kV	EDS, CBED, STEM;*	Structural and elemental microanalysis
Philips CM 200/ FEG AEM 200 kV	EDS, CBED, (P) EELS, STEM; minimum probe ~1 nm Spectrum imaging*	Structural and elemental microanalysis
Philips CM30 AEM 300 kV	EDS, (P) EELS, CBED, STEM; energy filter*	Structural and elemental microanalysis
Philips XL30/FEG Scanning Electron Microscope 30 kV	SEM, EDS (windowless), EBSD minimum probe ~1.5 nm	Structural and elemental analysis
Atom Probe Field- Ion microscopes	TOF atom probe, imaging atom probe, FIM, pulsed	Atomic resolution imaging: single atom analysis laser atom probe elemental mapping
PHI 590 Scanning Auger Electron Spectroscopy System	200 nm beam; fracture stage; RGA; depth profiling elemental mapping	Surface analytical and segregation studies
Varian Scanning Auger Electron Spectroscopy System	5 micron beam; hot-cold fracture stage; RGA; depth profiling; elemental mapping	Surface analytical and segregation studies; gas-solid interaction studies
Triple Ion-Beam Accelerator Facilities	400 kV, 2.5 MV, 4 MeV Van de Graff accelerators sputter profiling	Nuclear microanalysis; Rutherford backscattering; elemental analysis
Mechanical Properties Microprobe-Nanoindenter	Computer-controlled diamond indenter, cooling/heating capability, scratch-testing	High spatial resolution (0.1 $\mu$ m lateral and 0.2 nm depth) measurements of elastic/plastic and viscoelastic behavior
Park Autoprobe - XL Atomic Force Microscope	Optical-based position sensing, quantitative	Surface imaging; repulsive or attractive imaging modes.

\* Video recording; stages for cooling, heating, and deformation available for Philips microscopes.

## **SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER**

**Solid State Division  
Oak Ridge National Laboratory  
Oak Ridge, Tennessee 37831**

Ion implantation doping, ion-induced mixing, ion beam deposition, and other ion beam based techniques are utilized to alter the near-surface properties of a wide range of solids under vacuum conditions. In-situ analysis by ion beam, surface, and bulk properties techniques are used to determine the fundamental materials interactions leading to these property changes. Since ion implantation doping is a nonequilibrium process, it can be used to produce new and often unique materials properties not possible with equilibrium processing. Ion beam techniques are also useful to modify surface properties for practical applications in areas such as friction, wear, corrosion, catalysis, surface hardness, semiconducting and optoelectronic devices, superconductors, etc.

This program emphasizes long-range basic research. Consequently, most cooperative research involving scientists from industries, universities, and other laboratories has focused on the investigation of new materials properties possible with these processing techniques and on the determination of mechanisms responsible for the observed property changes. In many instances such research projects identify practical applications and accelerate the transfer of these materials alteration techniques to processing applications.

### **COOPERATIVE RESEARCH**

User interactions are through mutually agreeable research projects between users and research scientists at ORNL that can effectively utilize the unique alteration/analysis capabilities of the SMAC facility. The goal of these interactions is to demonstrate the usefulness or feasibility of these techniques for a particular materials application. Routine service alterations or analyses are discouraged.

### **PERSON TO CONTACT FOR INFORMATION**

D. B. Poker (423) 576-6719  
Solid State Division  
Oak Ridge National Laboratory  
Oak Ridge, TN 37831-6048

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**SURFACE MODIFICATION AND CHARACTERIZATION RESEARCH CENTER****TECHNICAL DATA****ACCELERATORS**

2.5-MV positive ion  
Van de Graaff

1.7-MV tandem

35-170-kV high-current ion  
implantation accelerator

10-500-kV high-current ion  
implantation accelerator

**FACILITIES**

UHV implantation and  
analysis chambers

In-situ analysis capabilities

Scanning electron microscope

Rapid thermal annealer

Thermal annealer

Computer

4-Point Resistance Probe

**Operating  
CHARACTERISTICS**

0.1-2.5 MeV H,  $^4\text{He}$ ,  $^3\text{He}$ ,  
and selected  
gases. Beam current up to  
100 nA

0.2-3.5 MeV H; 0.2-5.1 MeV  
 $^3\text{He}$ ,  $^4\text{He}$ ; rf gas source and  
sputtering source for  
up to MeV energy ion beams  
of most elements.

Most ion species; 100-1000  
microamps singly charged  
ions; factor of 10 less for  
doubly charged ions

Most ion species from  
microamp to  
milliamp currents

Several chambers with  
vacuums  $10^{-6}$ - $10^{-11}$   
Torr; multiple access ports;  
UHV goniometers with  
temperature range 4-1300 K

Ion scattering, ion channeling,  
and ion-induced nuclear  
reactions; PIXE; LEED  
Auger

JEOL-840 with energy  
dispersive X-ray  
analysis

AG Heatpulse Model 410,  
with programmable, multistep  
heating to 1200°C.

Heating to 1200°C under  
flowing gas or Torr vacuum

Data acquisition and  
reduction; ion  
implantation and ion  
backscattering simulation

VEECO FPP5000

## COMBUSTION RESEARCH FACILITY - MATERIALS PROGRAM

Sandia National Laboratories  
Livermore, California 94551-0969

### TECHNICAL DATA

<u>INSTRUMENTS</u>	<u>KEY FEATURES</u>	<u>COMMENTS</u>
Raman Surface Analysis System	UHV Chamber; Raman system with Ar laser; triple spectrograph, diode array detector and 2-D imaging photon counting detector; Auger; sputtering capability.	Simultaneous Raman and sputtering. Raman system capable of detecting 2 nm thick oxides. Sample heating up to 1100°C.
Raman Microprobe	Hot stage; Raman system with Ar, Kr lasers; scanning triple spectrometer.	1-2 micron spatial resolution. Hot stage can handle corrosive gases.
Raman High-Temperature Corrosion System	Furnace; Raman system with Ar, Kr, Cu-vapor lasers Nd:YAG; triple spectrograph and diode array detector.	Pulsed lasers gated detection for blackbody background rejection. Furnace allows exposure to oxidizing, reducing, and sulfidizing environments.
Combustion Flow Reactors	Raman system with Ar, Kr, Cu-vapor. lasers; triple spectrograph and diode array detector.	Vapor and particulate injection into flames. Real-time measurements of deposit formation.
Linear and Non-Linear Optical Spectroscopy of Electrochemical Systems	Electrochemical cell; Raman system with Ar, Kr, Cu-vapor lasers; triple spectrograph and diode array detector; Nd:YAG laser, 1 Hz rep. rate.	Electrochemical cell with recirculating pump and nitrogen purge; Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.
Nonlinear Optical Spectroscopy of Surfaces System	Picosecond Nd:YAG and dye lasers, 10 Hz; UHV chamber equipped with LEED, Auger, sputtering, and quad. mass spectroscopy; 100-ns pulse length, 10 Hz Nd:YAG laser.	Monolayer and submonolayer detection of high temperature hydrogen and oxygen adsorption and nitrogen segregation on alloys; laser thermal desorption.
Nonlinear Optical Spectroscopy of Electrochemical Systems	Ng-YAG laser, 1kHz rep rate; electrochemical cell.	Monolayer and submonolayer detection of metals, oxygen, and hydrogen adsorption at electrodes.
Ultrafast Optical Spectroscopy	Sub-100-fs CPM ring dye laser; copper-vapor-laser-pumped amplifier.	Transient absorption and transient grating experiments.

## **SECTION G**

### **Summary of Funding Levels**

## SUMMARY OF FUNDING LEVELS

During the Fiscal Year ending September 30, 1995, the Materials Sciences total support level amounted to about \$275.7 million in operating funds (budget outlays) and \$18.1 million in equipment funds. The following analysis of costs is concerned only with operating funds (including SBIR) i.e., equipment funds which are expended primarily at Laboratories are not shown in the analysis. Equipment support for the Contract and Grant Research projects is included as part of the operating budget.

### 1. By Region of the Country

	<u>Contract and Grant Research (% by \$)</u>	<u>Total Program (% by \$)</u>
(a) Northeast..... (CT, DC, DE, MA, MD, ME, NJ, NH, NY, PA, RI, VT)	32.1	19.3
(b) South..... (AL, AR, FL, GA, KY, LA, MS, NC, SC, TN, VA, WV)	19.0	13.5
(c) Midwest..... (IA, IL, IN, MI, MN, MO, OH, WI)	26.1	40.3
(d) West..... (AZ, CO, KS, MT, NE, ND, NM, OK, SD, TX, UT, WY, AK, CA, HW, ID, NV, OR, WA)	22.8	26.9
	-----	-----
	100.0	100.0

### 2. By Discipline:

	<u>Grant Research (% by \$)</u>	<u>Total Program (% by \$)</u>
(a) Metallurgy, Materials Science, Ceramics (Budget Activity Numbers 01-)	57.4	44.3
(b) Physics, Solid State Science, Solid State Physics (Budget Activity Numbers 02-)	33.1	25.1
(c) Materials Chemistry (Budget Activity Numbers 03-)	9.5	10.6
(d) Facility Operations	---	20.0
	-----	-----
	100.0	100.0

## SUMMARY OF FUNDING LEVELS (continued)

3. By University, DOE Laboratory, and Industry:

	Total Program (% by \$)
(a) University Programs (including laboratories where graduate students are involved in research to a large extent, i.e., LBL, Ames and IL)	24.6
(b) DOE Laboratory Research Programs	28.3
(c) Major Facilities at DOE Laboratories	45.6
(d) Industry and Other	1.5
	<hr/>
	100.0

4. By Laboratory and Grant Research:

	Total Program (%)
Ames Laboratory	3.3
Argonne National Laboratory	28.4
Brookhaven National Laboratory	18.5
Idaho National Engineering Laboratory	1.0
Illinois, University of (Frederick Seitz Materials Research Laboratory)	3.5
Lawrence Berkeley National Laboratory	3.0
Lawrence Livermore National Laboratory	2.0
Los Alamos National Laboratory	4.4
National Renewable Energy Laboratory	1.3
Oak Ridge National Laboratory	10.0
Pacific Northwest National Laboratory	2.3
Sandia National Laboratories	4.2
Stanford Synchrotron Radiation Laboratory	1.0
Grant Research	17.1
	<hr/>
	100.0

## **SECTION H**

**Index of Investigators,  
Materials, Techniques,  
Phenomena, and Environment**



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## MATERIALS, TECHNIQUES, PHENOMENA, AND ENVIRONMENT

The numbers in parenthesis at the end of each listing of Abstract numbers gives for each topic the percentage of prorated projects, the percentage of funding, and the percentage of individual projects respectively. The prorated projects and the funding levels are based on estimates of the fractions of a given project devoted to the topic. The operating funds for fiscal year 1996 were \$332,120,000. The number of projects is 478.

### MATERIALS

#### Actinides-Metals, Alloys and Compounds

5, 9, 15, 19, 37, 59, 147, 158, 175, 176, 178, 198, 250, 272, 300, 310, 315, 357, 376, 399, 420  
(1.11, 0.99, 4.39)

#### Aluminum and its Alloys

1, 7, 9, 23, 28, 32, 47, 74, 76, 80, 89, 125, 127, 130, 158, 166, 172, 183, 188, 190, 192, 199, 207, 209, 223, 228, 235, 243, 257, 264, 305, 329, 331, 345, 383, 397, 409, 418, 458, 459  
(2.85, 1.68, 8.37)

#### Alkali and Alkaline Earth Metals and Alloys

3, 9, 47, 61, 66, 156, 198, 298, 331, 407, 458  
(0.54, 0.22, 2.30)

#### Amorphous State: Liquids

46, 62, 114, 119, 153, 171, 218, 220, 225, 250, 261, 265, 290, 322, 324, 326, 405, 416, 449  
(1.11, 0.38, 3.97)

#### Amorphous State: Metallic Glasses

22, 29, 78, 80, 125, 153, 171, 187, 193, 198, 207, 241, 250, 265, 278, 319, 326, 427  
(0.82, 0.73, 3.77)

#### Amorphous State: Non-Metallic Glasses (other than Silicates)

34, 91, 98, 137, 153, 181, 201, 202, 205, 241, 250, 253, 254, 326, 334, 336, 443  
(0.71, 0.42, 3.56)

#### Amorphous State: Non-Metallic Glasses (Silicates)

20, 96, 225, 237, 250, 254, 256, 308, 312, 326, 334, 336, 410, 443, 472, 477, 478  
(1.42, 0.37, 3.56)

#### Carbides

9, 20, 88, 91, 128, 142, 152, 154, 200, 202, 213, 215, 217, 224, 229, 254, 285, 288, 331  
(0.75, 0.47, 3.97)

#### Carbon and Graphite

47, 60, 125, 137, 144, 153, 158, 169, 207, 213, 234, 236, 380, 389, 407, 412  
(0.69, 0.63, 3.35)

#### Coal

199, 249  
(0.08, 0.05, 0.42)

#### Composite Materials-Structural

4, 6, 9, 16, 30, 128, 137, 158, 168, 191, 207, 222, 224, 244, 254, 288, 316, 325, 341, 352, 354, 392, 427, 431, 438, 439  
(1.23, 0.95, 5.44)

Critical/Strategic Elements (Cr, Co, and Mn-Pt Alloys--use indexes below, also see Critical/Strategic Materials Substitution in the Phenomena index.) Not to appear in Summary Book.

6, 9  
(0.04, 0.05, 0.42)

Copper and its Alloys

1, 4, 6, 9, 16, 45, 47, 77, 79, 83, 92, 104, 124, 152, 156, 158, 172, 185, 188, 209, 235, 255, 259, 264, 283, 311, 343, 414  
(1.32, 0.85, 5.86)

Dielectrics

17, 18, 20, 98, 111, 143, 153, 158, 200, 202, 205, 217, 230, 233, 244, 252, 297, 353, 390  
(0.79, 0.72, 3.97)

Fast Ion Conductors (use Solid Electrolytes if more appropriate)

34, 47, 200, 201, 252, 253, 297, 342  
(0.38, 0.20, 1.67)

Iron and its Alloys

1, 2, 4, 7, 8, 9, 19, 45, 77, 79, 83, 87, 89, 92, 94, 104, 127, 130, 156, 158, 160, 172, 188, 190, 192, 193, 195, 198, 199, 204, 224, 226, 235, 258, 259, 266, 279, 300, 304, 307, 355, 366, 371, 382, 383, 388, 397, 425, 436, 439, 461  
(3.14, 2.51, 10.67)

Glasses (use terms under Amorphous State)

200, 256, 326, 348, 361, 393, 441  
(0.50, 0.07, 1.46)

Hydrides

9, 25, 66, 89, 93, 171, 199, 205, 234, 278, 317  
(0.48, 0.27, 2.30)

Intercalation Compounds

27, 144, 181, 198, 201, 229, 393, 412, 456  
(0.44, 0.15, 1.88)

Intermetallic Compounds

4, 5, 6, 8, 9, 13, 14, 17, 25, 27, 28, 47, 58, 59, 67, 85, 127, 144, 147, 156, 167, 171, 175, 176, 178, 183, 188, 193, 194, 198, 199, 204, 234, 235, 260, 266, 279, 284, 286, 302, 304, 305, 310, 317, 352, 356, 376, 413, 418, 432, 463  
(2.66, 1.50, 10.67)

Ionic Compounds

30, 40, 46, 153, 156, 189, 200, 201, 225, 252, 293, 317, 331, 336, 385, 390, 433  
(0.77, 0.39, 3.56)

Layered Materials (including Superlattice Materials)

14, 16, 17, 20, 30, 35, 36, 38, 40, 44, 54, 55, 62, 78, 81, 84, 89, 91, 106, 109, 111, 118, 125, 126, 127, 129, 131, 135, 137, 145, 146, 153, 158, 164, 171, 184, 185, 188, 189, 198, 199, 203, 205, 206, 209, 210, 230, 231, 233, 236, 240, 241, 242, 250, 271, 275, 283, 295, 306, 309, 343, 351, 360, 376, 394, 398, 401, 405, 412, 439, 451, 462  
(4.12, 5.52, 15.06)

Liquids (use Amorphous State: Liquids)

79, 83, 86, 119, 177, 196, 207, 239, 287, 290, 294, 296, 313, 328, 349, 391, 395, 422, 463  
(1.59, 0.67, 3.97)

Metals and Alloys (other than those listed separately in this index)

5, 9, 17, 19, 23, 31, 38, 39, 46, 47, 54, 55, 61, 62, 66, 82, 83, 86, 87, 93, 100, 103, 106, 107, 108, 109, 115, 120, 127, 132, 133, 142, 144, 150, 152, 154, 156, 158, 166, 171, 172, 174, 175, 183, 186, 187, 188, 190, 193, 199, 209, 214, 215, 226, 228, 235, 241, 255, 259, 260, 270, 271, 273, 277, 280, 282, 283, 298, 313, 316, 322, 324, 328, 343, 355, 356, 357, 366, 381, 382, 388, 391, 392, 395, 396, 402, 406, 413, 418, 427, 439, 440, 459, 463  
(5.84, 5.41, 19.67)

### Molecular Solids

43, 48, 97, 105, 112, 114, 116, 117, 119, 156, 218, 247, 303, 331, 346, 380, 400, 452, 468  
(1.88, 0.65, 3.97)

### Nickel and its Alloys

3, 4, 9, 22, 29, 45, 59, 77, 80, 92, 104, 156, 158, 172, 185, 188, 190, 192, 193, 198, 204, 215, 223, 224, 226, 235, 266, 269, 273, 279, 281, 299, 300, 304, 305, 352, 355, 378, 397, 425  
(1.99, 1.44, 8.37)

### Nitrides

20, 25, 26, 42, 88, 126, 128, 137, 142, 154, 200, 213, 217, 229, 233, 254, 325, 332, 336, 421  
(0.86, 0.42, 4.18)

### Oxides: Binary

9, 12, 25, 30, 34, 40, 46, 60, 66, 84, 88, 91, 97, 98, 102, 108, 111, 128, 129, 133, 142, 150, 154, 158, 161, 167, 168, 181, 189, 190, 191, 200, 201, 213, 220, 225, 227, 236, 254, 256, 263, 300, 308, 325, 338, 339, 340, 341, 344, 354, 361, 364, 375, 386, 387, 403, 411, 421, 425, 439, 447  
(3.72, 2.07, 12.76)

### Oxides: Non-Binary, Crystalline

25, 30, 33, 37, 40, 47, 58, 60, 88, 96, 97, 126, 127, 129, 135, 146, 156, 158, 163, 167, 168, 178, 181, 189, 190, 200, 201, 205, 206, 211, 213, 217, 220, 225, 227, 236, 252, 254, 263, 293, 297, 298, 301, 325, 342, 344, 354, 361, 364, 365, 374, 385, 386, 390, 403, 410, 419, 426, 433, 434, 455  
(3.66, 2.52, 12.76)

### Polymers

20, 26, 44, 48, 69, 105, 112, 113, 116, 117, 125, 148, 149, 158, 174, 190, 193, 199, 207, 218, 221, 222, 230, 231, 244, 250, 275, 276, 287, 303, 313, 316, 321, 335, 346, 347, 350, 353, 391, 393, 394, 395, 405, 412, 417, 422, 435, 441, 442, 443, 445, 446, 449, 453  
(3.56, 1.93, 11.30)

### Platinum Metal Alloys (Platinum, Palladium, Rhodium, Iridium, Osmium, Ruthenium)

9, 14, 82, 115, 142, 154, 156, 158, 188, 235, 282, 311, 387, 465  
(0.59, 0.59, 2.93)

### Quantum Fluids and Solids

16, 34, 114, 132, 134, 135, 144, 174, 198, 270, 306, 312, 323, 349, 380  
(0.98, 0.30, 3.14)

### Radioactive Waste Storage Materials (Hosts, Canister, Barriers)

45, 96, 374  
(0.21, 0.06, 0.63)

### Rare Earth Metals and Compounds

2, 5, 6, 8, 9, 11, 13, 14, 15, 19, 22, 37, 59, 61, 67, 127, 147, 158, 171, 174, 176, 178, 198, 272, 307, 310, 358, 371, 376, 419, 430, 436  
(1.80, 1.35, 6.69)

### Refractory Metals (Groups VB and VI B)

4, 6, 9, 14, 22, 25, 29, 42, 82, 133, 202, 258, 303, 382, 405  
(0.54, 0.43, 3.14)

### Superconductors - ceramic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

6, 10, 11, 13, 16, 21, 22, 30, 33, 37, 38, 42, 44, 47, 58, 59, 60, 62, 66, 91, 98, 110, 115, 124, 125, 132, 135, 143, 144, 146, 147, 155, 158, 175, 178, 186, 189, 199, 202, 203, 205, 206, 209, 210, 211, 217, 219, 237, 246, 250, 258, 272, 273, 293, 298, 310, 311, 316, 343, 353, 357, 364, 375, 376, 386, 399, 404, 419, 426, 427, 433, 437, 442, 455, 461, 463  
(4.94, 3.41, 15.90)



Superconductors - metallic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

16, 21, 37, 42, 58, 109, 110, 135, 144, 178, 199, 202, 203, 211, 315, 353, 405, 441, 455  
(0.94, 0.49, 3.97)

Superconductors - polymeric, organic (also see superconductivity in the Phenomena index and Theory in the Techniques index)

37, 43, 175  
(0.15, 0.18, 0.63)

Semiconductor Materials - Elemental (including doped and amorphous phases)

18, 20, 62, 81, 82, 84, 99, 104, 106, 107, 109, 120, 125, 129, 133, 137, 141, 144, 151, 153, 157, 158, 162, 165, 185, 189, 205, 209, 210, 213, 214, 215, 236, 240, 241, 248, 250, 251, 280, 291, 292, 296, 306, 314, 320, 322, 327, 328, 335, 376, 378, 389, 411, 415, 435, 444, 462, 470, 471, 473, 476  
(4.77, 2.31, 12.76)

Semiconductor Materials - Multicomponent (III-Vs, II-VIs, including doped and amorphous forms)

18, 20, 22, 62, 81, 84, 85, 89, 104, 106, 107, 109, 111, 118, 119, 120, 121, 125, 129, 131, 134, 135, 137, 140, 141, 143, 144, 151, 153, 157, 158, 175, 179, 182, 184, 185, 205, 206, 213, 233, 234, 236, 240, 242, 248, 249, 250, 291, 292, 306, 308, 311, 312, 316, 332, 390, 401, 403, 425, 427, 443, 451, 465  
(3.91, 1.91, 13.18)

Solid Electrolytes

69, 252, 253, 297, 342, 363, 393  
(0.56, 0.11, 1.46)

Structural Ceramics (Si-N, SiC, SiALON, Zr-O (transformation toughened))

12, 26, 27, 73, 78, 88, 92, 98, 102, 125, 128, 137, 158, 168, 172, 186, 187, 191, 193, 199, 217, 220, 222, 224, 254, 285, 325, 341, 353, 354, 365, 421, 431, 434, 438  
(1.95, 1.25, 7.32)

Surfaces and Interfaces

2, 12, 16, 19, 23, 27, 28, 30, 32, 35, 36, 38, 39, 44, 45, 47, 48, 61, 62, 66, 72, 73, 74, 76, 78, 79, 81, 82, 83, 84, 86, 87, 88, 89, 91, 100, 106, 108, 109, 110, 111, 121, 125, 126, 128, 129, 133, 139, 142, 144, 148, 149, 150, 151, 153, 154, 156, 157, 158, 159, 161, 162, 164, 165, 166, 169, 170, 174, 176, 185, 187, 188, 189, 190, 191, 193, 196, 204, 205, 206, 210, 213, 214, 215, 217, 220, 222, 223, 224, 225, 229, 230, 231, 233, 234, 236, 237, 238, 243, 245, 248, 250, 254, 263, 264, 265, 276, 280, 283, 288, 290, 294, 295, 299, 300, 304, 313, 314, 316, 319, 322, 324, 328, 337, 338, 345, 347, 350, 354, 356, 361, 368, 378, 382, 391, 394, 395, 405, 406, 408, 411, 413, 417, 422, 423, 427, 428, 429, 430, 435, 440, 443, 444, 446, 448, 454, 456, 462  
(11.11, 5.91, 33.05)

Synthetic Metals

43, 69, 153, 169, 170, 174, 179, 250, 321, 351, 377, 398, 442, 453  
(1.09, 0.43, 2.93)

Transition Metals and Alloys (other than those listed separately in this index)

9, 15, 16, 22, 25, 29, 59, 61, 66, 67, 68, 124, 127, 130, 133, 142, 154, 156, 158, 160, 172, 178, 183, 185, 188, 198, 199, 215, 234, 235, 249, 271, 272, 282, 286, 317, 357, 381, 382, 405, 430, 436, 441, 454  
(2.13, 1.64, 9.21)

**TECHNIQUES**

Acoustic Emission

224, 257  
(0.17, 0.09, 0.42)

Auger Electron Spectroscopy

1, 4, 11, 20, 27, 32, 35, 44, 47, 62, 80, 84, 85, 87, 88, 89, 91, 120, 127, 128, 133, 142, 150, 153, 154, 172, 194, 213, 215, 223, 224, 225, 226, 228, 229, 234, 245, 248, 250, 258, 264, 283, 338, 354, 389, 402, 419, 462  
(1.82, 1.09, 10.04)

Bulk Analysis Methods (other than those listed separately in this index, e.g., ENDOR, muon spin rotation, etc.)

6, 39, 47, 158, 203, 286, 331, 455  
(0.29, 0.60, 1.67)

Computer Simulation

4, 7, 22, 30, 35, 44, 46, 47, 62, 73, 74, 76, 78, 84, 99, 109, 125, 127, 129, 142, 143, 144, 149, 154, 158, 159, 160, 166, 167, 171, 172, 174, 183, 185, 190, 195, 204, 206, 208, 218, 220, 223, 225, 227, 229, 234, 237, 239, 240, 244, 248, 252, 253, 254, 263, 264, 274, 275, 278, 281, 283, 297, 298, 319, 320, 336, 339, 342, 344, 348, 356, 359, 361, 368, 384, 401, 409, 413, 417, 450, 451, 459, 464, 465  
(4.67, 3.26, 17.57)

Chemical Vapor Deposition (all types)

30, 40, 84, 91, 99, 106, 108, 120, 121, 129, 153, 158, 169, 170, 181, 182, 205, 217, 225, 240, 242, 247, 248, 250, 296, 320, 360, 389, 390, 439, 473, 476, 477  
(1.72, 1.06, 6.90)

Dielectric Relaxation

200, 244, 297  
(0.10, 0.04, 0.63)

Deep Level Transient Spectroscopy

129, 143, 153, 205, 357, 390  
(0.17, 0.06, 1.26)

Electron Diffraction (Technique development, not usage, for all types--LEED, RHEED, etc.)

19, 27, 29, 80, 84, 85, 87, 99, 122, 123, 126, 127, 133, 142, 150, 154, 156, 158, 184, 186, 187, 189, 210, 215, 225, 229, 233, 234, 245, 267, 273, 338, 344, 402, 419, 466  
(1.32, 1.60, 7.53)

Electron Energy Loss Spectroscopy (EELS)

20, 27, 29, 33, 80, 81, 84, 87, 88, 120, 122, 123, 125, 126, 127, 129, 142, 154, 158, 169, 186, 187, 189, 210, 215, 225, 229, 245, 254, 264, 267, 268, 334, 338, 361, 466  
(1.44, 1.57, 7.53)

Elastic Constants

35, 171, 172, 178, 183, 186, 244, 295, 418  
(0.40, 0.13, 1.88)

Electrochemical Methods

9, 12, 27, 43, 45, 46, 61, 69, 77, 87, 119, 152, 153, 181, 200, 201, 224, 226, 228, 229, 246, 393, 406, 408, 465  
(1.13, 0.50, 5.23)

Electron Microscopy (technique development for all types)

1, 2, 4, 11, 29, 30, 33, 42, 67, 80, 81, 84, 88, 89, 91, 99, 101, 103, 104, 122, 123, 125, 126, 127, 129, 130, 146, 153, 158, 167, 168, 169, 170, 172, 179, 182, 184, 186, 187, 189, 190, 191, 192, 194, 210, 227, 229, 234, 254, 256, 263, 264, 269, 273, 282, 284, 285, 304, 305, 308, 312, 329, 331, 334, 340, 343, 344, 350, 361, 374, 375, 385, 386, 397, 418, 430, 439, 451  
(4.64, 2.94, 16.32)

Electron Spectroscopy for Chemical Analysis (ESCA)

35, 47, 66, 85, 87, 89, 91, 106, 120, 128, 133, 142, 145, 151, 153, 154, 158, 172, 201, 225, 229, 262, 389, 467  
(0.71, 0.84, 5.02)

Electron Spin Resonance or Electron Paramagnetic Resonance

44, 97, 129, 179, 202, 246, 247, 297, 302, 351, 398  
(0.36, 0.17, 2.30)

Extended X-Ray Absorption Fine Structure (EXAFS and XANES)

38, 39, 45, 69, 91, 96, 145, 151, 158, 160, 162, 178, 250, 313, 314, 334, 374, 376, 391, 395, 403, 427, 443, 444, 461, 463, 464  
(1.30, 0.98, 5.65)

Field Emission and Field Ion Microscopy

27, 82, 186, 187, 238, 245, 375  
(0.31, 0.26, 1.46)

High Pressure (Technique development of all types)

14, 34, 45, 143, 146, 175, 178, 179, 220, 247, 358  
(0.42, 0.26, 2.30)

Ion or Molecular Beams

29, 44, 47, 48, 78, 85, 103, 106, 111, 129, 158, 169, 170, 182, 186, 190, 205, 211, 213, 225, 248, 259, 280, 308, 311, 331, 335, 372, 382, 430  
(1.23, 1.20, 6.28)

Ion Channeling, or Ion Scattering (including Rutherford and other ion scattering methods)

29, 31, 47, 78, 81, 89, 103, 129, 157, 167, 169, 170, 186, 190, 201, 202, 213, 214, 217, 229, 241, 258, 308, 344, 375, 389  
(1.03, 0.90, 5.44)

Internal Friction (also see Ultrasonic Testing and Wave Propagation)

171, 297  
(0.04, 0.01, 0.42)

Infrared Spectroscopy (also see Raman Spectroscopy)

18, 44, 45, 66, 97, 105, 135, 136, 141, 142, 146, 149, 154, 158, 179, 181, 200, 201, 205, 217, 225, 233, 236, 237, 241, 253, 256, 297, 308, 316, 321, 331, 342  
(1.55, 1.07, 6.90)

Laser Spectroscopy (scattering and diagnostics)

9, 47, 48, 109, 131, 137, 140, 141, 143, 149, 150, 153, 158, 163, 181, 182, 205, 206, 220, 222, 227, 230, 236, 240, 242, 248, 290, 294, 306, 312, 332, 335, 402, 406, 416, 440, 445, 453  
(2.11, 1.06, 7.95)

Magnetic Susceptibility

5, 14, 15, 16, 35, 36, 37, 43, 67, 100, 109, 127, 146, 155, 167, 175, 178, 181, 202, 203, 205, 211, 244, 246, 247, 271, 272, 291, 307, 310, 315, 351, 358, 371, 398, 436, 442  
(1.92, 0.83, 7.74)

Molecular Beam Epitaxy

35, 36, 84, 85, 101, 103, 106, 107, 108, 111, 129, 150, 153, 182, 184, 189, 205, 206, 210, 240, 242, 258, 273, 277, 314, 320, 331, 444  
(1.19, 0.57, 5.86)

Mossbauer Spectroscopy

44, 127, 178, 209, 307, 371, 400, 436, 452, 461  
(0.40, 0.10, 2.09)

Neutron Scattering: Elastic (Diffraction)

13, 15, 17, 34, 43, 44, 46, 59, 60, 67, 68, 100, 105, 114, 172, 178, 179, 180, 198, 199, 204, 211, 212, 218, 227, 235, 271, 277, 278, 307, 315, 326, 330, 342, 367, 371, 386, 404, 412, 428, 436, 449, 472, 477, 478  
(2.26, 1.90, 9.41)

Neutron Scattering: Inelastic

13, 34, 44, 46, 59, 68, 100, 114, 178, 179, 180, 198, 199, 212, 218, 277, 330, 367, 400, 412, 428, 446, 449, 452, 472, 477, 478  
(1.46, 1.50, 5.65)

Neutron Scattering: Small Angle

34, 44, 152, 180, 196, 199, 212, 218, 221, 349, 350, 367, 388, 428, 449, 472, 477, 478  
(1.15, 1.13, 3.77)

Nuclear Magnetic Resonance and Ferromagnetic Resonance

14, 44, 97, 115, 132, 142, 149, 152, 154, 178, 218, 222, 229, 246, 253, 256, 321, 403, 410  
(1.11, 0.37, 3.97)

Optical Absorption

15, 18, 19, 27, 30, 40, 44, 121, 131, 140, 146, 153, 163, 179, 181, 205, 230, 236, 242, 312, 316, 332  
(0.96, 0.45, 4.60)

Perturbed Angular Correlation and Nuclear Orientation

403  
(0.06, 0.01, 0.21)

Photoluminescence

20, 119, 127, 137, 140, 153, 163, 175, 182, 205, 236, 242, 312, 357, 390, 427, 451  
(0.73, 0.23, 3.56)

Positron Annihilation (including slow positrons)

56, 62, 69, 74, 193, 424  
(0.67, 0.49, 1.26)

Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics, use this item in the Phenomena index)

1, 6, 9, 11, 30, 98, 102, 128, 151, 171, 181, 191, 203, 211, 217, 222, 229, 288, 307, 331, 342, 371, 439  
(1.05, 0.52, 4.81)

Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, use this item in the Phenomena index)

1, 6, 8, 9, 11, 12, 30, 60, 72, 78, 98, 102, 128, 171, 181, 191, 201, 217, 225, 229, 237, 342, 421, 439  
(1.05, 0.53, 5.02)

Raman Spectroscopy (also see Infrared Spectroscopy)

44, 45, 79, 105, 109, 137, 142, 143, 153, 154, 179, 181, 182, 184, 200, 228, 229, 230, 233, 236, 248, 256, 268, 306, 308, 312, 321, 342, 358, 389, 406, 407, 451, 462  
(1.53, 0.36, 7.11)

Rapid Solidification Processing (also see Solidification: Rapid in the Phenomena index)

2, 6, 8, 9, 80, 202, 205, 214, 241, 319, 322, 343, 383, 409  
(0.90, 0.48, 2.93)

Surface Analysis Methods (other than those listed separately in this index, e.g., ESCA, Slow Positrons, X-Ray, etc.)

4, 19, 38, 39, 47, 48, 54, 55, 62, 83, 85, 86, 87, 89, 106, 120, 121, 127, 129, 133, 142, 150, 153, 154, 156, 157, 158, 162, 181, 196, 204, 213, 214, 225, 229, 237, 238, 239, 250, 259, 265, 268, 283, 294, 300, 329, 330, 335, 338, 362, 382, 405, 411, 423, 430, 440, 456, 462  
(3.24, 2.44, 12.13)

Specific Heat

5, 8, 14, 146, 147, 171, 178, 211, 218, 246, 315, 323, 441  
(0.84, 0.28, 2.72)

Spinodal Decomposition

127, 182, 183, 184, 250, 256, 439, 451  
(0.21, 0.15, 1.67)

Sputtering

20, 30, 33, 35, 38, 42, 44, 47, 54, 55, 85, 126, 127, 132, 153, 170, 181, 200, 201, 203, 205, 217, 250, 258, 273, 282, 307, 312, 371, 419  
(1.03, 1.39, 6.28)

Synchrotron Radiation

15, 17, 19, 24, 27, 30, 38, 39, 45, 49, 51, 52, 53, 54, 55, 59, 60, 61, 66, 67, 69, 86, 107, 126, 129, 133, 145, 151, 153, 156, 157, 158, 160, 162, 171, 178, 204, 206, 209, 215, 218, 237, 238, 250, 255, 262, 287, 313, 316, 326, 327, 328, 335, 347, 357, 366, 372, 376, 386, 391, 395, 405, 422, 423, 425, 427, 429, 435, 437, 442, 443, 445, 448, 461, 464, 467, 468, 469, 472  
(4.56, 20.80, 16.53)

Surface Treatment and Modification (including ion implantation, laser processing, electron beam processing, sputtering, etc., see Chemical Vapor Deposition)

1, 9, 44, 47, 66, 77, 80, 127, 128, 129, 133, 142, 150, 153, 154, 158, 162, 169, 170, 171, 181, 186, 190, 200, 203, 205, 206, 213, 214, 226, 228, 241, 242, 243, 265, 314, 335, 337, 382, 389, 393, 396, 444  
(1.82, 1.49, 9.00)

Synthesis

8, 9, 12, 25, 26, 30, 33, 42, 43, 44, 60, 69, 91, 105, 112, 116, 117, 142, 146, 148, 149, 151, 153, 154, 169, 170, 178, 181, 182, 201, 202, 205, 217, 220, 222, 229, 231, 233, 244, 246, 247, 249, 272, 303, 307, 308, 315, 346, 360, 371, 421, 442, 470, 471, 475  
(3.70, 1.74, 11.51)

Theory: Defects and Radiation Effects

31, 56, 57, 58, 67, 74, 78, 103, 158, 166, 167, 174, 179, 190, 218, 226, 280, 297, 301, 317, 335, 344, 390, 447  
(1.23, 1.20, 5.02)

Theory: Electronic and Magnetic Structure

5, 8, 12, 15, 18, 22, 44, 45, 57, 63, 67, 100, 109, 110, 124, 127, 133, 144, 146, 153, 158, 161, 164, 165, 174, 176, 179, 181, 183, 184, 185, 188, 206, 208, 230, 234, 235, 242, 246, 250, 254, 275, 292, 302, 307, 309, 310, 312, 317, 320, 335, 339, 357, 364, 371, 380, 381, 399, 400, 401, 415, 420, 450, 452, 454  
(3.56, 1.74, 13.60)

Theory: Non-Destructive Evaluation

7, 265  
(0.13, 0.04, 0.42)

Theory: Surface

30, 32, 47, 57, 63, 76, 82, 99, 110, 133, 142, 144, 154, 156, 158, 174, 182, 183, 185, 189, 208, 225, 234, 238, 245, 267, 283, 300, 319, 320, 337, 340, 356, 359, 361, 368, 373, 378, 384, 392, 394, 413, 415, 417, 454, 466  
(2.57, 1.50, 9.62)

Theory: Structural Behavior

3, 5, 8, 23, 30, 40, 93, 113, 114, 124, 127, 128, 133, 144, 149, 161, 165, 166, 174, 181, 182, 183, 184, 185, 191, 194, 223, 237, 260, 269, 271, 274, 281, 285, 295, 298, 299, 317, 320, 334, 339, 341, 353, 354, 355, 356, 357, 361, 377, 392, 394, 409, 412, 414, 431, 432, 438, 454, 459, 460  
(4.54, 1.29, 12.55)

Theory: Superconductivity

21, 37, 58, 63, 109, 110, 132, 144, 146, 174, 208, 211, 246, 310, 353, 377, 399, 442, 455  
(1.21, 0.54, 3.97)

Theory: Thermodynamics, Statistical Mechanics, and Critical Phenomena

30, 37, 46, 57, 63, 110, 113, 114, 124, 127, 146, 149, 166, 171, 174, 177, 182, 183, 185, 191, 208, 218, 219, 220, 221, 234, 256, 260, 275, 283, 298, 302, 309, 317, 319, 348, 353, 356, 381, 447, 451, 463  
(2.22, 0.87, 8.79)

Theory: Transport, Kinetics, Diffusion

1, 2, 37, 44, 45, 58, 72, 77, 78, 82, 93, 127, 152, 153, 166, 183, 188, 189, 190, 192, 201, 208, 220, 223, 225, 234, 235, 242, 257, 260, 264, 269, 274, 275, 281, 292, 297, 301, 317, 319, 329, 342, 353, 363, 383, 386, 393, 401, 408, 415, 416, 420, 432  
(3.16, 1.39, 11.09)

Thermal Conductivity

8, 177, 441  
(0.23, 0.12, 0.63)

Ultrasonic Testing and Wave Propagation

7, 77, 171, 265  
(0.19, 0.06, 0.84)

Vacuum Ultraviolet Spectroscopy

19, 49, 54, 55, 66, 133, 145, 158, 176, 236  
(0.38, 1.69, 2.09)

Work Functions

47, 153  
(0.02, 0.02, 0.42)

X-Ray Scattering and Diffraction (wide angle crystallography)

11, 17, 24, 25, 30, 33, 35, 36, 38, 39, 40, 42, 43, 59, 60, 61, 67, 69, 73, 86, 95, 96, 105, 114, 127, 128, 129, 139, 151, 153, 155, 158, 160, 167, 171, 172, 178, 179, 182, 204, 206, 209, 218, 221, 227, 229, 250, 258, 271, 273, 278, 287, 300, 302, 303, 304, 307, 312, 313, 326, 327, 328, 342, 347, 352, 358, 371, 374, 375, 386, 388, 390, 391, 395, 405, 412, 422, 427, 435, 436, 442, 443, 465, 468, 469, 472, 475  
(4.18, 2.47, 18.20)

X-Ray Scattering (small angle)

35, 36, 39, 95, 96, 127, 145, 151, 207, 218, 237, 250, 313, 324, 350, 388, 391, 395, 427, 443, 445, 458, 468, 469, 472, 475  
(1.32, 0.59, 5.44)

X-Ray Scattering (other than crystallography)

17, 24, 34, 38, 39, 45, 49, 54, 55, 59, 61, 86, 95, 127, 145, 153, 184, 204, 206, 209, 218, 235, 250, 314, 315, 328, 347, 382, 394, 405, 423, 425, 427, 429, 435, 444, 446, 448, 468, 469, 472, 475  
(1.95, 2.19, 8.79)

X-Ray Photoelectron Spectroscopy

25, 44, 47, 54, 55, 66, 69, 120, 129, 133, 142, 145, 149, 150, 151, 153, 154, 156, 157, 158, 164, 165, 176, 178, 215, 224, 225, 228, 229, 237, 238, 250, 262, 307, 329, 338, 354, 357, 366, 371, 405, 429, 437, 443, 448, 462, 467, 468, 469, 472, 475  
(2.09, 2.35, 10.67)

**PHENOMENA**

Catalysis

18, 27, 34, 44, 66, 76, 87, 115, 133, 142, 144, 148, 149, 150, 154, 156, 158, 189, 210, 214, 215, 220, 225, 229, 249, 250, 294, 313, 316, 319, 359, 376, 378, 391, 395, 425, 429  
(1.72, 1.37, 7.74)

Channeling

62, 78, 81, 129, 210, 214, 241, 258  
(0.42, 0.20, 1.67)

Coatings (also see Surface Phenomena in this index)

6, 9, 27, 48, 120, 127, 144, 145, 148, 150, 169, 170, 187, 202, 230, 233, 243, 276, 335, 337, 362, 382, 389, 424  
(1.11, 1.00, 5.02)

Colloidal Suspensions

12, 97, 102, 128, 151, 191, 196, 207, 220, 222, 225, 229, 237, 261, 326, 349  
(0.94, 0.32, 3.35)

Conduction: Electronic

37, 43, 46, 69, 109, 111, 112, 115, 116, 117, 119, 129, 134, 146, 153, 169, 175, 178, 179, 181, 188, 201, 203, 205, 242, 244, 246, 253, 258, 270, 275, 291, 292, 301, 303, 321, 332, 342, 358, 377, 380, 386, 390, 396, 399, 415, 420, 442, 451, 453  
(3.18, 0.91, 10.46)

Conduction: Ionic

12, 46, 69, 112, 116, 117, 181, 200, 201, 253, 297, 317, 339, 342, 393  
(1.03, 0.24, 3.14)

Constitutive Equations

4, 127, 128, 166, 172, 295, 325, 345, 354, 431  
(0.42, 0.17, 2.09)

Corrosion: Aqueous (e.g., crevice corrosion, pitting, etc., also see Stress Corrosion)

45, 62, 77, 79, 83, 86, 87, 158, 181, 224, 225, 226, 228, 241, 335, 355, 363  
(1.00, 0.79, 3.56)

Corrosion: Gaseous (e.g., oxidation, sulfidation, etc.)

46, 133, 157, 158, 187, 193, 224, 268, 300, 343, 355, 382, 456  
(0.61, 0.56, 2.72)

Corrosion: Molten Salt

46  
(0.04, 0.02, 0.21)

Critical Phenomena (including order-disorder, also see Thermodynamics and Phase Transformations in this index)

37, 46, 59, 60, 61, 129, 133, 149, 151, 167, 179, 199, 202, 208, 218, 220, 234, 260, 277, 279, 284, 291, 298, 302, 412, 416, 445  
(0.94, 0.51, 5.65)

Crystal Structure and Periodic Atomic Arrangements

5, 8, 17, 25, 30, 43, 59, 60, 61, 99, 105, 122, 123, 125, 126, 127, 129, 133, 142, 144, 153, 154, 156, 159, 167, 171, 178, 183, 186, 187, 189, 190, 198, 199, 202, 205, 206, 218, 227, 234, 246, 247, 250, 254, 285, 299, 302, 313, 326, 327, 328, 331, 339, 342, 356, 361, 385, 386, 390, 391, 395, 404, 412, 413, 421, 422, 425, 427, 451, 454  
(3.97, 2.65, 14.64)

Diffusion: Bulk

77, 78, 103, 129, 171, 181, 183, 190, 201, 218, 220, 241, 246, 250, 278, 281, 301, 317, 465  
(0.67, 0.37, 3.97)

Diffusion: Interface

17, 62, 78, 89, 125, 127, 129, 149, 152, 153, 159, 181, 190, 210, 223, 225, 233, 236, 250, 264, 278, 329, 382, 405, 416  
(0.86, 0.51, 5.23)

Diffusion: Surface

47, 48, 82, 121, 125, 129, 142, 154, 189, 205, 206, 245, 263, 319  
(0.61, 0.37, 2.93)

Dislocations

4, 30, 84, 89, 93, 125, 127, 129, 130, 166, 168, 171, 172, 186, 187, 189, 190, 205, 210, 223, 226, 234, 242, 250, 284, 285, 299, 304, 387, 397, 439  
(1.13, 0.70, 6.49)

Dynamic Phenomena

37, 59, 72, 103, 131, 140, 143, 158, 166, 177, 198, 199, 208, 218, 220, 223, 231, 236, 250, 265, 267, 274, 276, 287, 306, 311, 316, 319, 336, 359, 402, 416, 425, 445, 453, 466  
(1.67, 1.23, 7.53)

Electronic Structure - Metals including amorphous forms

19, 22, 25, 37, 38, 62, 66, 107, 115, 125, 133, 144, 146, 158, 159, 174, 176, 183, 185, 188, 199, 208, 234, 235, 250, 270, 278, 307, 308, 312, 317, 331, 357, 358, 371, 376, 380, 396, 399, 405, 415, 420, 430, 450  
(2.09, 1.25, 9.21)

Electronic Structure - Non-metals including amorphous forms

22, 62, 85, 91, 107, 111, 119, 133, 140, 144, 153, 157, 158, 163, 174, 175, 179, 181, 182, 184, 185, 199, 205, 206, 225, 236, 250, 275, 291, 292, 308, 315, 334, 364, 390, 401, 411, 437, 442, 453, 460  
(2.36, 1.00, 8.58)

Grain Boundaries

2, 4, 11, 30, 33, 42, 67, 74, 76, 84, 89, 98, 125, 128, 129, 159, 161, 166, 168, 172, 174, 186, 187, 188, 189, 190, 191, 194, 205, 208, 210, 223, 224, 226, 228, 234, 254, 263, 264, 266, 280, 299, 304, 305, 340, 355, 356, 361, 365, 385, 388, 392, 411, 414, 425, 434, 439  
(2.66, 1.42, 11.92)

Hydrogen Attack

88, 89, 241, 259, 355  
(0.27, 0.10, 1.05)

Ion Beam Mixing

29, 31, 47, 78, 106, 129, 170, 213, 214, 280, 372  
(0.77, 1.05, 2.30)

Laser Radiation Heating (annealing, solidification, surface treatment)

47, 77, 85, 176, 205, 206, 209, 213, 214, 241, 308, 319, 322, 375, 383, 402, 409  
(1.05, 0.50, 3.56)

Magnetism

2, 5, 7, 8, 11, 13, 14, 15, 16, 22, 36, 37, 38, 59, 61, 66, 67, 100, 101, 126, 127, 130, 133, 135, 147, 156, 158, 164, 175, 178, 181, 188, 198, 199, 203, 205, 208, 235, 270, 271, 272, 275, 277, 282, 291, 302, 303, 307, 309, 310, 315, 330, 351, 358, 366, 371, 381, 396, 398, 399, 400, 419, 420, 427, 430, 436, 440, 450, 452, 455, 456  
(5.19, 2.16, 14.85)

Martensitic Transformations and Transformation Toughening

3, 13, 17, 59, 127, 198, 298  
(0.27, 0.21, 1.46)

Mechanical Properties and Behavior: Constitutive Equations

93, 127, 149, 168, 172, 223, 266, 345, 414  
(0.27, 0.14, 1.88)

Mechanical Properties and Behavior: Creep

92, 93, 94, 127, 128, 166, 190, 191, 223, 266, 328, 354, 365, 388, 418, 434  
(0.71, 0.28, 3.35)

Mechanical Properties and Behavior: Fatigue

7, 92, 93, 94, 127, 128, 137, 166, 190, 255, 257, 274, 325, 329, 337, 341, 414, 431, 438  
(0.96, 0.35, 3.97)

Mechanical Properties and Behavior: Flow Stress

4, 7, 12, 30, 93, 127, 149, 166, 168, 172, 187, 261, 274, 284, 305, 397, 439  
(0.59, 0.26, 3.56)

Mechanical Properties and Behavior: Fracture and Fracture Toughness

4, 7, 30, 88, 92, 93, 94, 127, 128, 130, 137, 166, 168, 190, 191, 194, 199, 224, 257, 266, 269, 274, 288, 295, 304, 325, 336, 341, 345, 352, 353, 354, 362, 373, 409, 414, 418, 431, 438, 439, 459  
(2.28, 0.70, 8.58)

Materials Preparation and Characterization: Ceramics

9, 12, 18, 25, 30, 37, 40, 58, 60, 88, 98, 125, 126, 128, 137, 146, 153, 156, 158, 161, 168, 172, 178, 181, 186, 187, 189, 191, 200, 201, 205, 207, 211, 217, 220, 222, 225, 229, 230, 233, 237, 244, 253, 288, 331, 337, 338, 340, 342, 354, 387, 390, 410, 439, 474  
(2.55, 1.90, 11.51)

Materials Preparation and Characterization: Glasses

125, 171, 200, 202, 225, 237, 250, 253, 271, 334, 468, 469, 472, 478  
(1.13, 0.28, 2.93)



Materials Preparation and Characterization: Metals

1, 2, 6, 9, 16, 17, 25, 29, 37, 42, 47, 67, 78, 125, 127, 133, 142, 152, 153, 154, 155, 156, 158, 159, 171, 172, 176, 178, 186, 187, 194, 202, 207, 217, 249, 250, 255, 257, 258, 269, 272, 273, 282, 283, 305, 307, 308, 324, 331, 335, 345, 368, 371, 373, 382, 388, 439  
(2.55, 2.13, 11.92)

Materials Preparation and Characterization: Polymers

69, 112, 116, 117, 148, 149, 158, 218, 221, 222, 230, 250, 337, 346, 350, 394, 442, 445, 449, 453, 468  
(1.23, 1.00, 4.39)

Materials Preparation and Characterization: Semiconductors

17, 20, 62, 84, 107, 125, 129, 133, 137, 151, 153, 155, 158, 179, 181, 182, 184, 189, 205, 217, 240, 242, 248, 249, 250, 251, 308, 314, 327, 328, 331, 389, 390, 444, 451, 462, 465, 470, 471, 473, 476  
(2.36, 1.54, 8.58)

Nondestructive Testing and Evaluation

4, 7, 142, 154, 158, 181, 193, 199, 255  
(0.25, 0.50, 1.88)

Phonons

3, 13, 16, 22, 30, 59, 118, 137, 140, 141, 143, 144, 163, 178, 179, 181, 182, 198, 199, 208, 233, 236, 265, 267, 298, 311, 384, 407, 412, 415, 441, 453, 466  
(1.63, 0.61, 6.90)

Photothermal Effects

153  
(0.02, 0.00, 0.21)

Photovoltaic Effects

20, 119, 129, 153, 205, 308, 332, 435, 470, 471, 473, 476  
(0.82, 0.19, 2.51)

Phase Transformations (also see Thermodynamics and Critical Phenomena in this index)

1, 3, 5, 8, 14, 22, 37, 43, 59, 60, 61, 68, 88, 113, 115, 119, 127, 129, 142, 144, 151, 153, 154, 158, 160, 167, 171, 182, 183, 185, 186, 187, 190, 192, 195, 198, 199, 202, 204, 221, 230, 235, 246, 256, 261, 277, 278, 290, 296, 298, 309, 311, 313, 317, 320, 328, 381, 391, 395, 402, 403, 405, 416, 421, 425, 427, 432, 461, 463  
(3.62, 2.30, 14.44)

Precipitation

1, 2, 30, 102, 104, 125, 127, 153, 158, 168, 183, 186, 187, 190, 207, 220, 228, 229, 269, 281, 354, 458  
(0.88, 0.72, 4.60)

Point Defects

30, 31, 37, 62, 67, 103, 104, 114, 125, 129, 132, 137, 143, 158, 163, 167, 174, 179, 185, 190, 193, 194, 205, 209, 210, 227, 234, 252, 297, 300, 301, 340, 386, 387, 390, 403, 408, 463  
(2.03, 1.27, 7.95)

Powder Consolidation (including sintering, hot pressing, dynamic compaction, laser assisted, etc., of metals and ceramics)

6, 9, 18, 30, 72, 74, 80, 93, 98, 128, 146, 171, 181, 191, 202, 203, 217, 229, 263, 288, 336, 354, 388  
(1.00, 0.55, 4.81)

Powder Synthesis (including preparation, characterization, or pre-consolidation behavior, see same item under Technique index)

6, 8, 9, 12, 25, 26, 30, 60, 78, 80, 88, 98, 128, 171, 191, 202, 217, 220, 225, 229, 237, 331  
(0.90, 0.61, 4.60)

Radiation Effects (use specific effects, e.g., Point Defects and Environment index)

4, 31, 47, 62, 78, 103, 104, 132, 167, 170, 186, 187, 190, 202, 208, 209, 210, 227, 280, 344, 374, 447  
(1.36, 0.69, 4.60)

Recrystallization and Recovery

96, 114, 127, 166, 172, 214, 223, 227, 409, 414  
(0.56, 0.18, 2.09)

Residual Stress

7, 73, 137, 158, 172, 199, 233, 327, 328, 352  
(0.54, 0.60, 2.09)

Rheology

12, 102, 149, 237, 239, 261  
(0.25, 0.10, 1.26)

Stress-Corrosion

45, 77, 79, 224, 226, 228, 238, 255, 329, 355, 363  
(0.63, 0.25, 2.30)

Solidification (conventional)

2, 6, 9, 192, 202, 243, 290, 296, 328  
(0.42, 0.28, 1.88)

SOL-GEL Systems

97, 126, 149, 191, 196, 207, 211, 217, 220, 229, 230, 237, 333, 336, 410  
(0.92, 0.59, 3.14)

Solidification (rapid)

2, 9, 34, 74, 80, 171, 205, 208, 209, 214, 322, 343, 409, 463  
(0.71, 0.43, 2.93)

Surface Phenomena: Chemisorption (binding energy greater than 1eV)

19, 48, 66, 79, 87, 106, 107, 115, 120, 121, 133, 142, 144, 148, 150, 152, 153, 154, 156, 157, 158, 162, 181, 205, 215, 225, 238, 239, 243, 250, 259, 267, 268, 319, 323, 378, 394, 405, 406, 429, 443, 448, 456, 466  
(2.05, 1.19, 9.21)

Surface Phenomena: Physisorption (binding energy less than 1eV)

12, 23, 35, 47, 61, 66, 68, 79, 120, 136, 142, 153, 154, 158, 162, 181, 205, 215, 225, 238, 239, 245, 250, 268, 294, 316, 394, 406, 423, 429, 448  
(1.61, 1.19, 6.49)

Surface Phenomena: Structure

16, 23, 30, 38, 39, 44, 45, 61, 82, 84, 86, 87, 99, 106, 115, 120, 121, 133, 139, 142, 144, 148, 149, 150, 153, 154, 156, 157, 158, 162, 169, 183, 185, 189, 196, 199, 206, 208, 215, 217, 224, 225, 234, 243, 250, 267, 283, 287, 294, 300, 311, 313, 314, 324, 356, 359, 361, 373, 382, 391, 392, 394, 395, 415, 422, 425, 427, 429, 430, 440, 443, 444, 446, 448, 456, 460, 462, 466, 467  
(4.21, 1.93, 16.53)

Surface Phenomena: Thin Films (also see Coatings in this index)

30, 33, 34, 35, 36, 38, 39, 40, 42, 44, 54, 55, 66, 85, 91, 107, 108, 120, 127, 129, 133, 141, 142, 144, 145, 153, 154, 156, 158, 169, 170, 176, 181, 189, 199, 200, 204, 205, 206, 209, 215, 217, 225, 230, 233, 236, 239, 243, 248, 250, 258, 265, 267, 271, 276, 280, 282, 283, 320, 327, 328, 329, 338, 361, 362, 375, 389, 392, 396, 407, 408, 423, 424, 428, 446, 453, 466, 467, 470, 471, 473, 476  
(4.90, 7.67, 17.15)

Short-range Atomic Ordering

38, 127, 133, 142, 154, 156, 158, 165, 166, 171, 174, 182, 183, 185, 188, 189, 198, 199, 204, 218, 222, 231, 234, 235, 250, 260, 317, 394, 427, 451, 456, 463  
(1.28, 1.29, 6.69)

Superconductivity

10, 11, 14, 16, 21, 30, 33, 35, 37, 38, 42, 43, 44, 58, 59, 66, 110, 125, 132, 135, 136, 141, 144, 146, 147, 158, 175, 178, 189, 199, 202, 203, 205, 206, 208, 217, 220, 237, 250, 258, 272, 303, 310, 315, 353, 357, 375, 380, 396, 419, 420, 437, 441, 442, 455  
(3.28, 2.04, 11.51)

Thermodynamics (also see Critical Phenomena and Phase Transformations in this index)

3, 6, 30, 37, 46, 113, 124, 127, 147, 152, 171, 175, 177, 178, 182, 183, 184, 185, 195, 208, 218, 219, 256, 279, 281, 283, 286, 296, 323, 340, 403, 412, 432, 451, 465  
(2.03, 1.12, 7.32)

Transformation Toughening (metals and ceramics - see Martensitic Transformation and Transformation Toughening in this index)

127, 137, 365, 434  
(0.17, 0.03, 0.84)

Valence Fluctuations

19, 37, 59, 147, 174, 176, 178, 179, 181, 199, 272, 357, 358, 399  
(0.54, 0.33, 2.93)

Wear

48, 127, 169, 170, 190, 243, 276, 337, 345, 373, 384  
(0.44, 0.19, 2.30)

Welding

127, 160, 192, 195, 199, 409  
(0.31, 0.55, 1.26)

**ENVIRONMENT**Aqueous

12, 45, 77, 79, 83, 86, 87, 97, 102, 139, 148, 153, 158, 181, 224, 225, 228, 229, 231, 237, 276, 294, 355, 394, 410  
(4.00, 2.68, 5.23)

Gas: Hydrogen

4, 89, 93, 171, 181, 234, 238, 323, 355, 409  
(1.11, 0.46, 2.09)

Gas: Oxidizing

33, 88, 128, 153, 168, 178, 181, 194, 205, 206, 224, 234, 238, 268, 343, 409  
(1.59, 1.13, 3.35)

Gas: Sulphur-Containing

382  
(0.21, 0.03, 0.21)

High Pressure

14, 17, 22, 45, 59, 60, 119, 142, 143, 147, 154, 175, 178, 198, 199, 242, 272, 403, 412, 443, 461  
(2.07, 1.24, 4.39)

Magnetic Fields

5, 8, 14, 21, 37, 49, 51, 52, 58, 59, 67, 68, 100, 127, 147, 158, 175, 178, 198, 199, 203, 205, 272, 277, 291, 440  
(2.38, 6.48, 5.44)

Radiation: Electrons

37, 53, 103, 104, 122, 123, 126, 132, 158, 167, 190, 212, 227, 251, 344, 390  
(1.76, 4.85, 3.35)

Radiation: Gamma Ray and Photons

17, 38, 39, 43, 47, 49, 51, 52, 53, 54, 55, 158, 167, 176, 178, 190, 204, 205, 206, 212, 226, 403, 443, 468, 469, 472, 475, 477  
(2.62, 10.67, 5.86)

Radiation: Ions

37, 47, 48, 78, 103, 167, 169, 178, 190, 202, 203, 209, 213, 214, 226, 227, 241, 280, 315, 335, 344, 352, 374  
(2.85, 1.80, 4.81)

Radiation: Neutrons

4, 43, 78, 114, 158, 167, 190, 204, 211, 212, 226, 227, 477, 478  
(1.26, 1.83, 2.93)

Radiation: Theory (use Theory: Defects and Radiation Effects in the Techniques index)

31, 78, 103, 190, 227, 344  
(0.50, 0.63, 1.26)

Temperatures: Extremely High (above 1200degK)

4, 6, 13, 14, 26, 33, 34, 60, 61, 88, 92, 128, 141, 160, 168, 171, 186, 191, 194, 217, 224, 233, 236, 266, 285, 301, 322, 325,  
336, 340, 341, 386, 403, 438, 443  
(4.94, 2.66, 7.32)

Temperatures: Cryogenic (below 77degK)

5, 13, 14, 21, 33, 34, 35, 36, 37, 42, 43, 44, 58, 59, 60, 61, 66, 67, 104, 114, 115, 127, 132, 142, 143, 146, 147, 154, 158,  
175, 176, 178, 182, 198, 199, 202, 203, 236, 242, 250, 258, 272, 277, 291, 323, 340, 386, 403, 419, 443  
(5.08, 5.23, 10.46)

Vacuum: High (better than 10<sup>-9</sup> Torr)

6, 19, 35, 36, 38, 39, 47, 48, 49, 51, 52, 53, 54, 55, 61, 62, 82, 84, 99, 106, 107, 120, 142, 150, 153, 154, 156, 157, 158, 159,  
171, 176, 205, 213, 215, 236, 238, 245, 250, 311, 331, 402, 430, 456  
(5.79, 12.99, 9.21)

**MAJOR FACILITIES: OPERATIONS**

Pulsed Neutron Sources (Operations)

50, 173, 180, 216  
(0.84, 7.96, 0.84)

Steady State Neutron Sources (Operations)

190, 428  
(0.42, 0.43, 0.42)

Synchrotron Radiation Sources (Operations)

38, 39, 45, 49, 53, 70, 95, 133, 139, 156, 158, 160, 206, 209, 238, 250, 357, 464  
(3.77, 23.12, 3.77)

## Divisions of the Office of Basic Energy Sciences

Divisions of the Office of Basic Energy Sciences are summarized below. Full program descriptions and research summary reports are available from each division.

**Division of Chemical Sciences**, Director: Dr. Robert S. Marianelli, 301/903-5804.

The Chemical Sciences subprogram sponsors experimental and theoretical research on liquids, gases, plasmas, and solids. The focus is on their chemical properties and the interactions of their component molecules, atoms, ions, and electrons. The long-term goal is to contribute to new or improved processes for developing and using domestic energy resources in an efficient and environmentally acceptable manner.

**Division of Energy Biosciences**, Director: Dr. Gregory L. Dilworth, 301/903-2873.

Energy Biosciences sponsors research in the microbiological and botanical sciences. The research addresses the underlying mechanisms of green plant productivity by solar energy transformation, conversion of biomass and other organic materials into fuels and chemicals by novel and improved methods of fermentation, and biotechnologies capable of saving energy.

**Division of Engineering and Geosciences**, Acting Director: Dr. Iran L. Thomas, 301/903-3427

The **Engineering Research** activity sponsors research to strengthen the foundations of energy-related engineering practice aimed at long-term energy needs, while furthering advanced engineering education. Contact: Dr. Robert Price, 301/903-5822.

The **Geosciences Research** objectives include development of a knowledge base for predicting the behavior and response of geologic materials, such as rocks, minerals, and fluids, and the broader earth-sun system, to natural processes. Research areas include: fracture characteristics, fluid movement in geologic formations and reservoirs; indirect characterization and monitoring of geologic structures and *in situ* properties of rock masses.