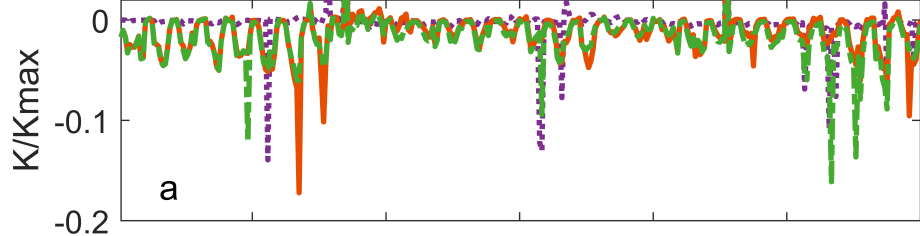


Figure1.

Lake Erie(Shoreline broadleaf)



Chesapeake Bay(Shoreline broadleaf)

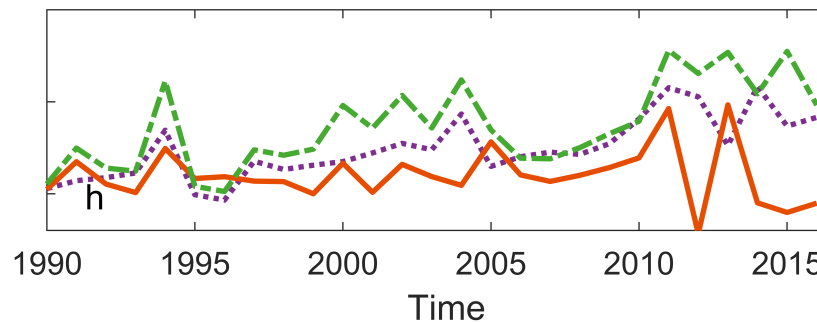
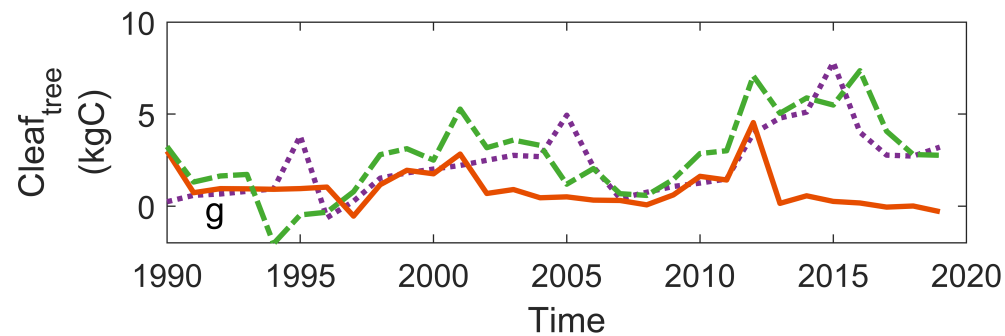
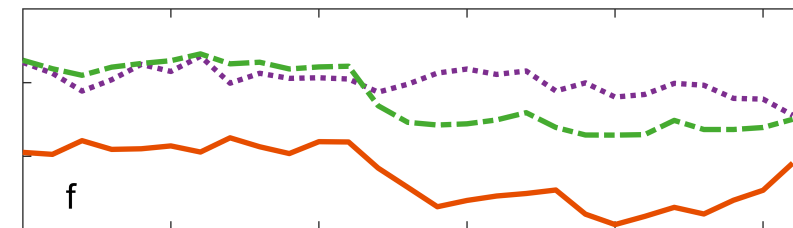
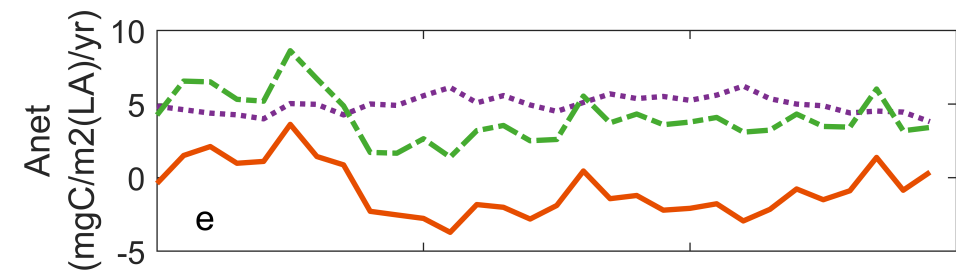
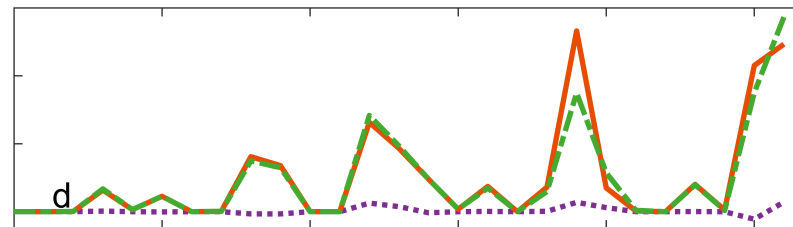
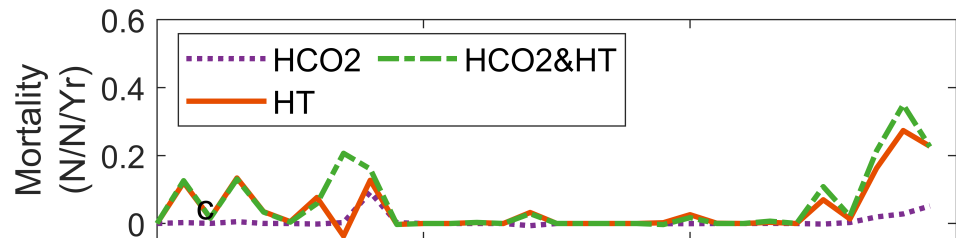
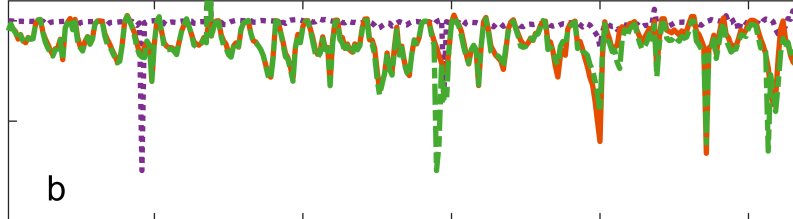
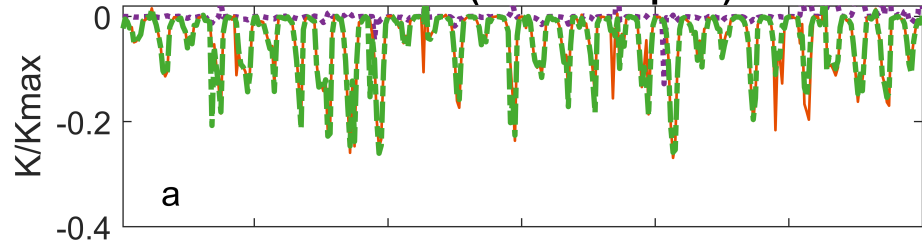


Figure2.

Lake Erie(Shoreline pine)



Chesapeake Bay(Shoreline pine)

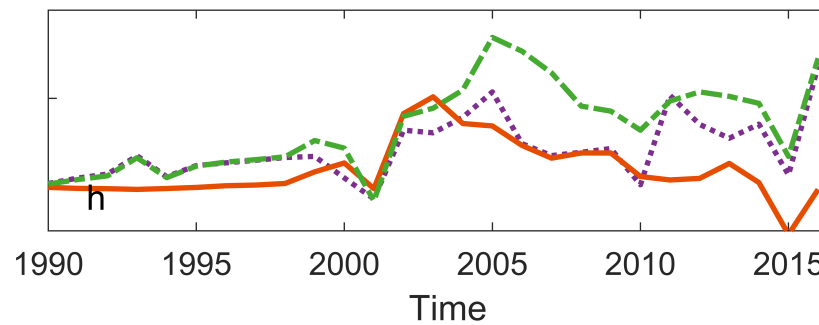
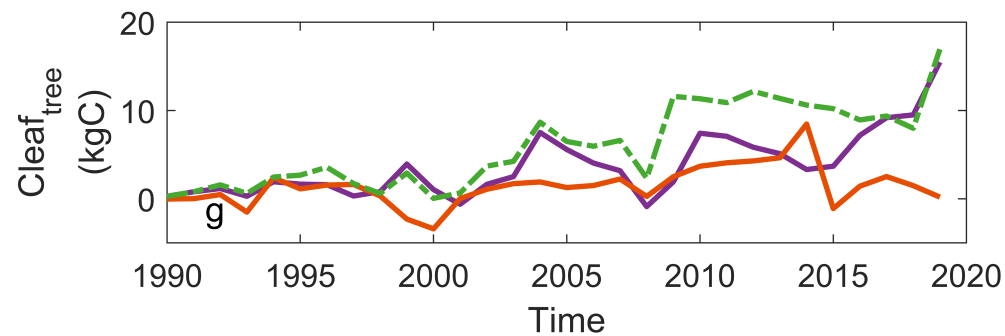
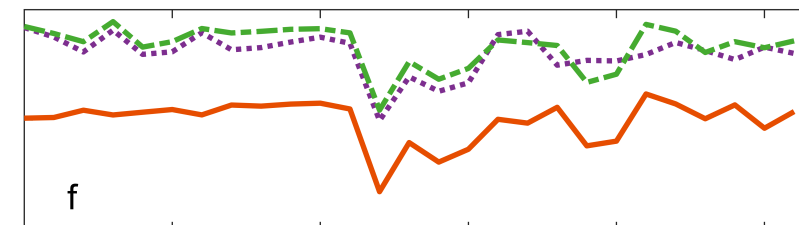
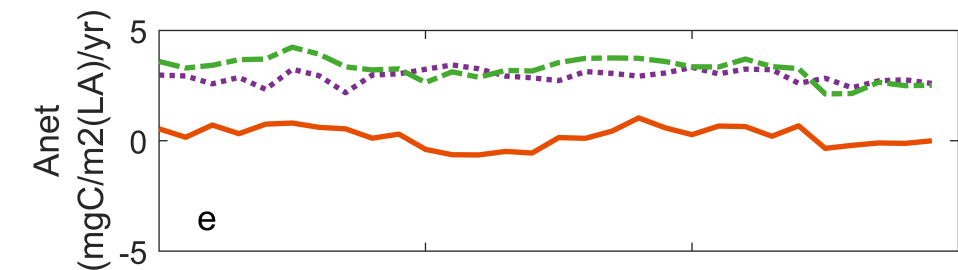
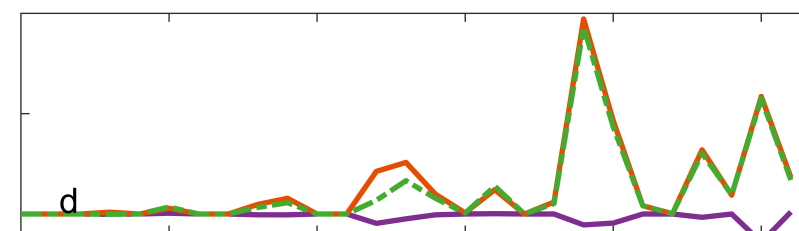
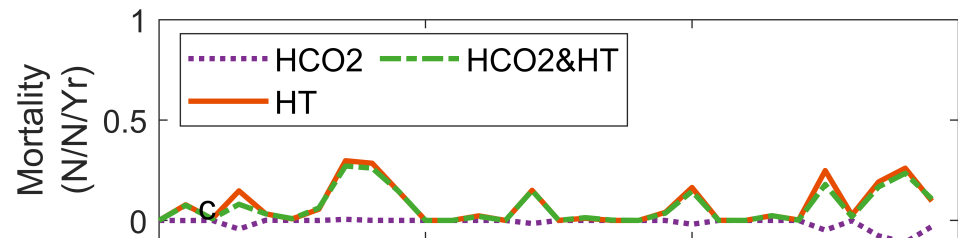
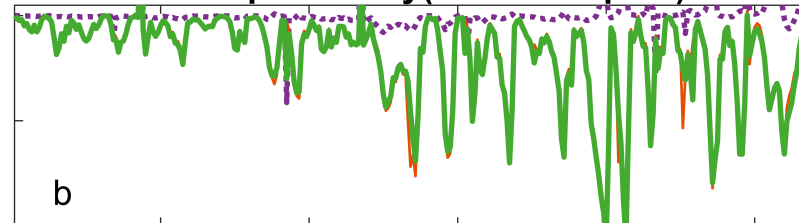
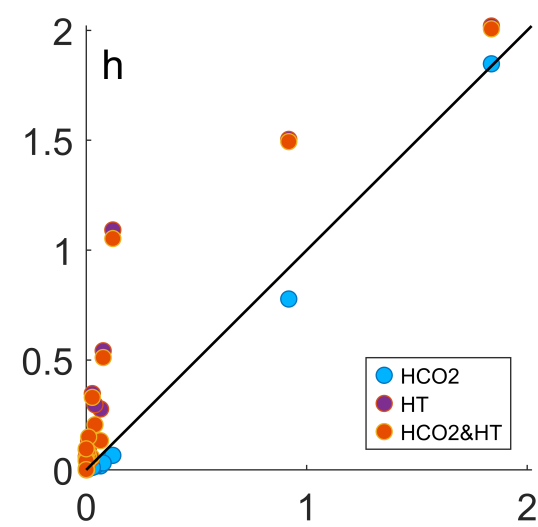
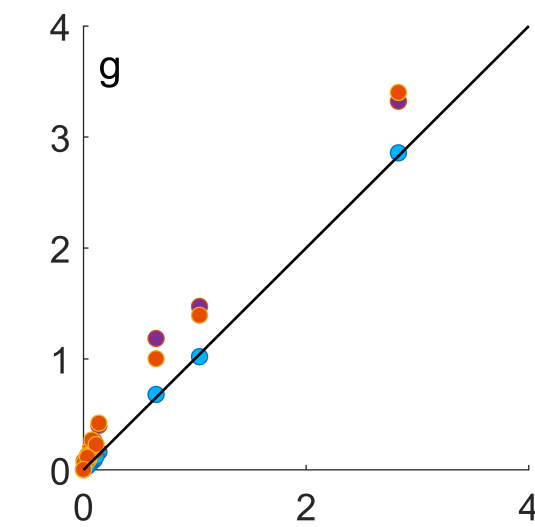
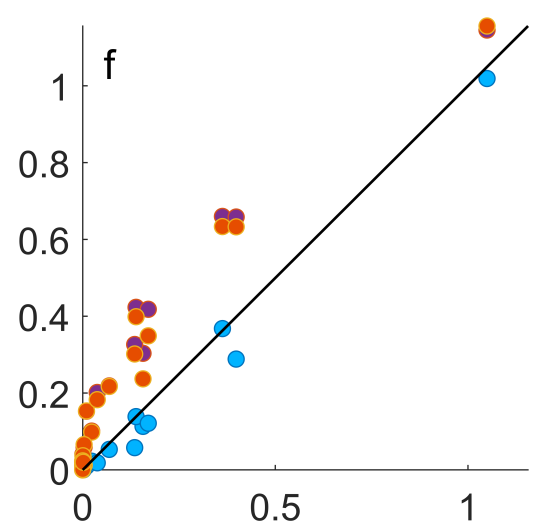
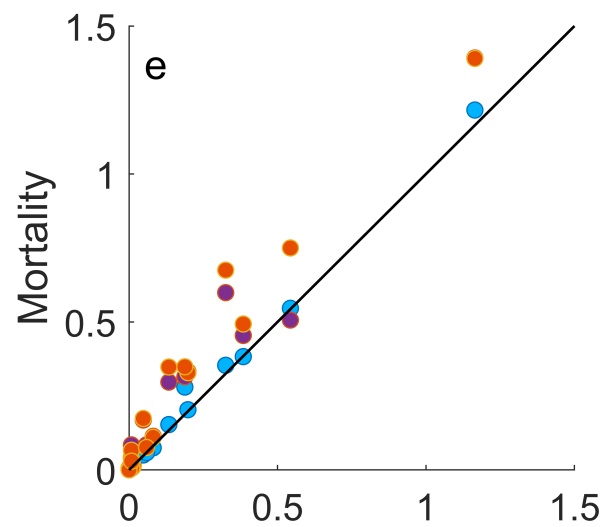
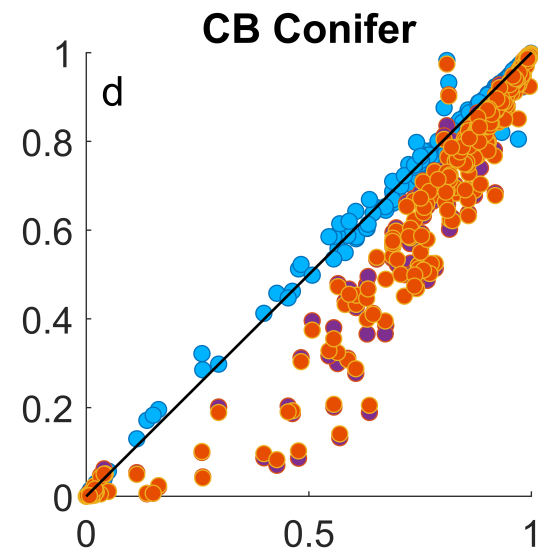
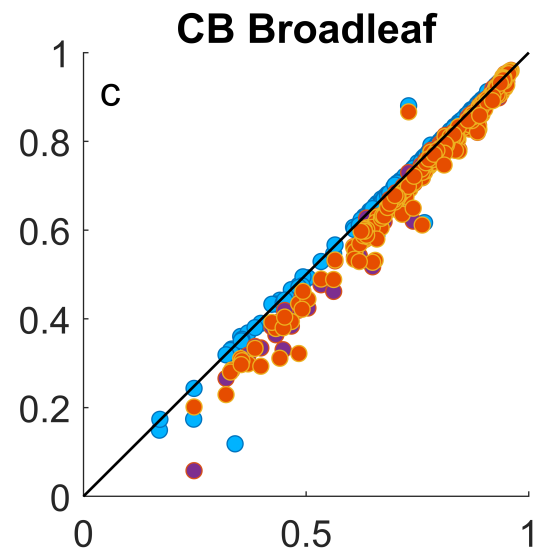
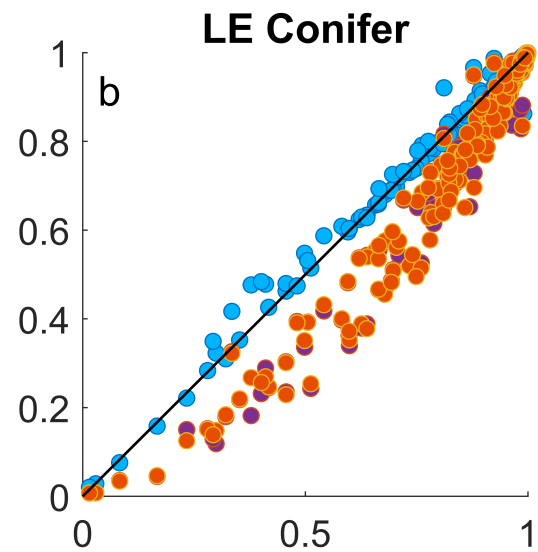
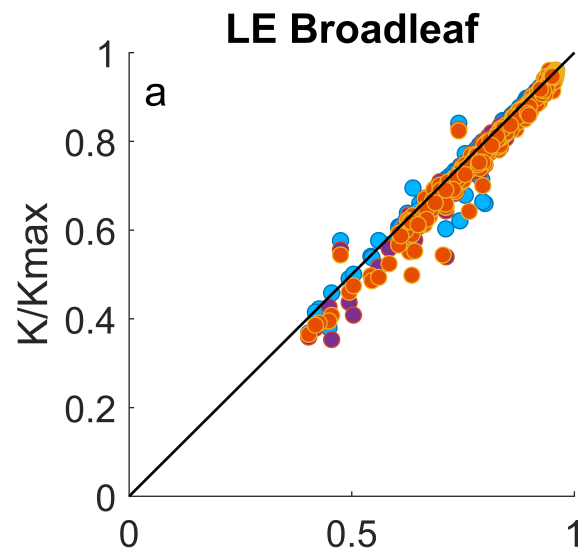


Figure3.

Treatment



Control

Figure4.

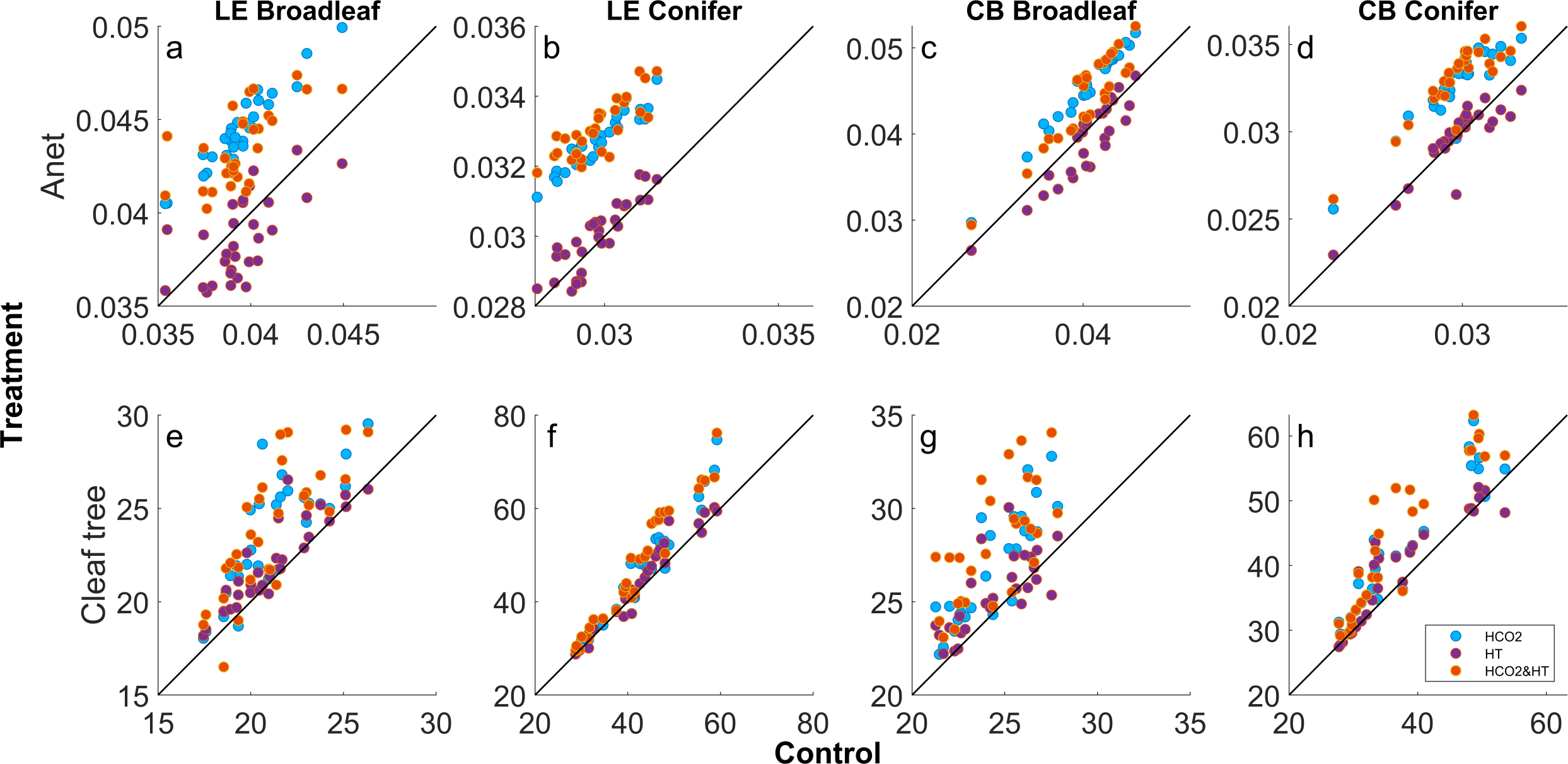
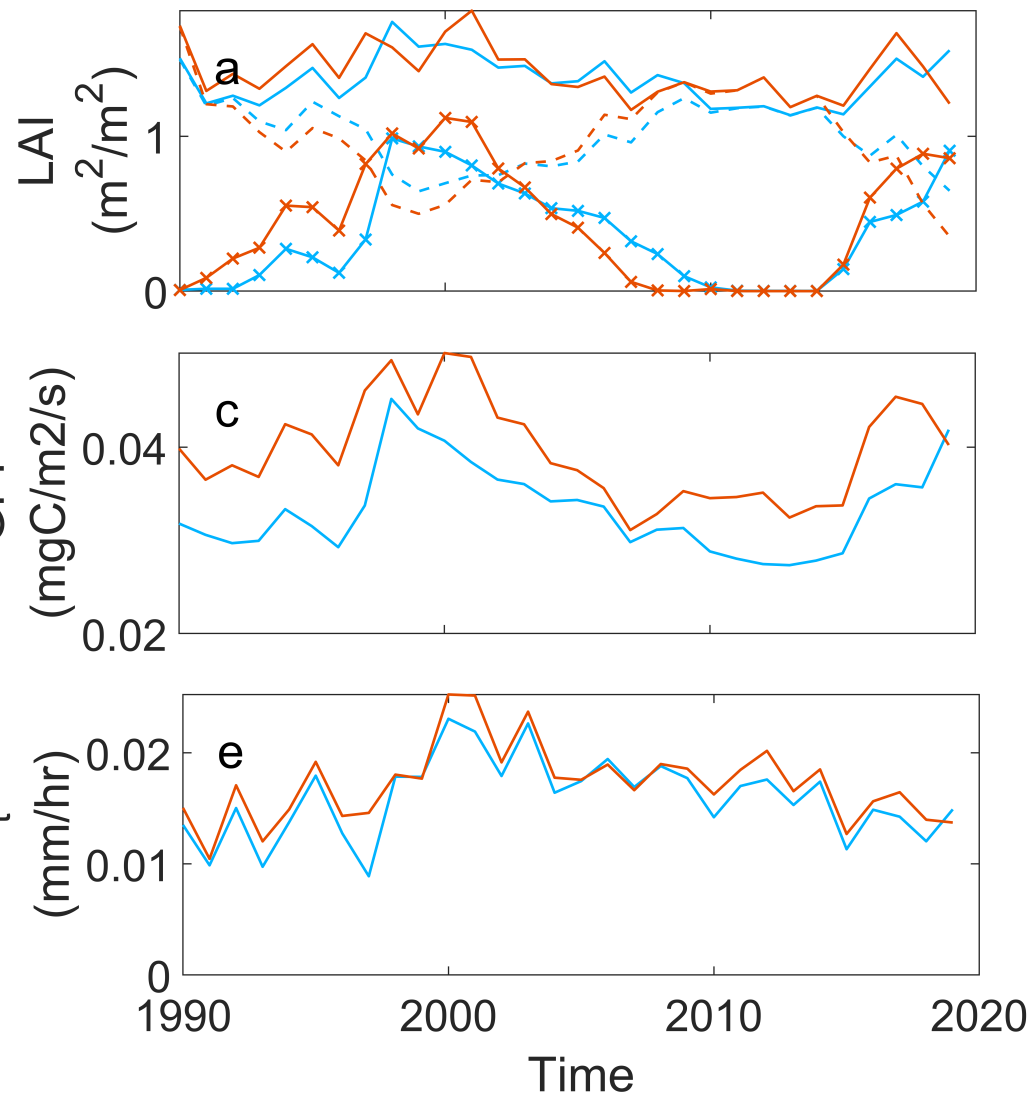


Figure5.

### Broad leaf Lake Erie



### Broad leaf Chesapeake Bay

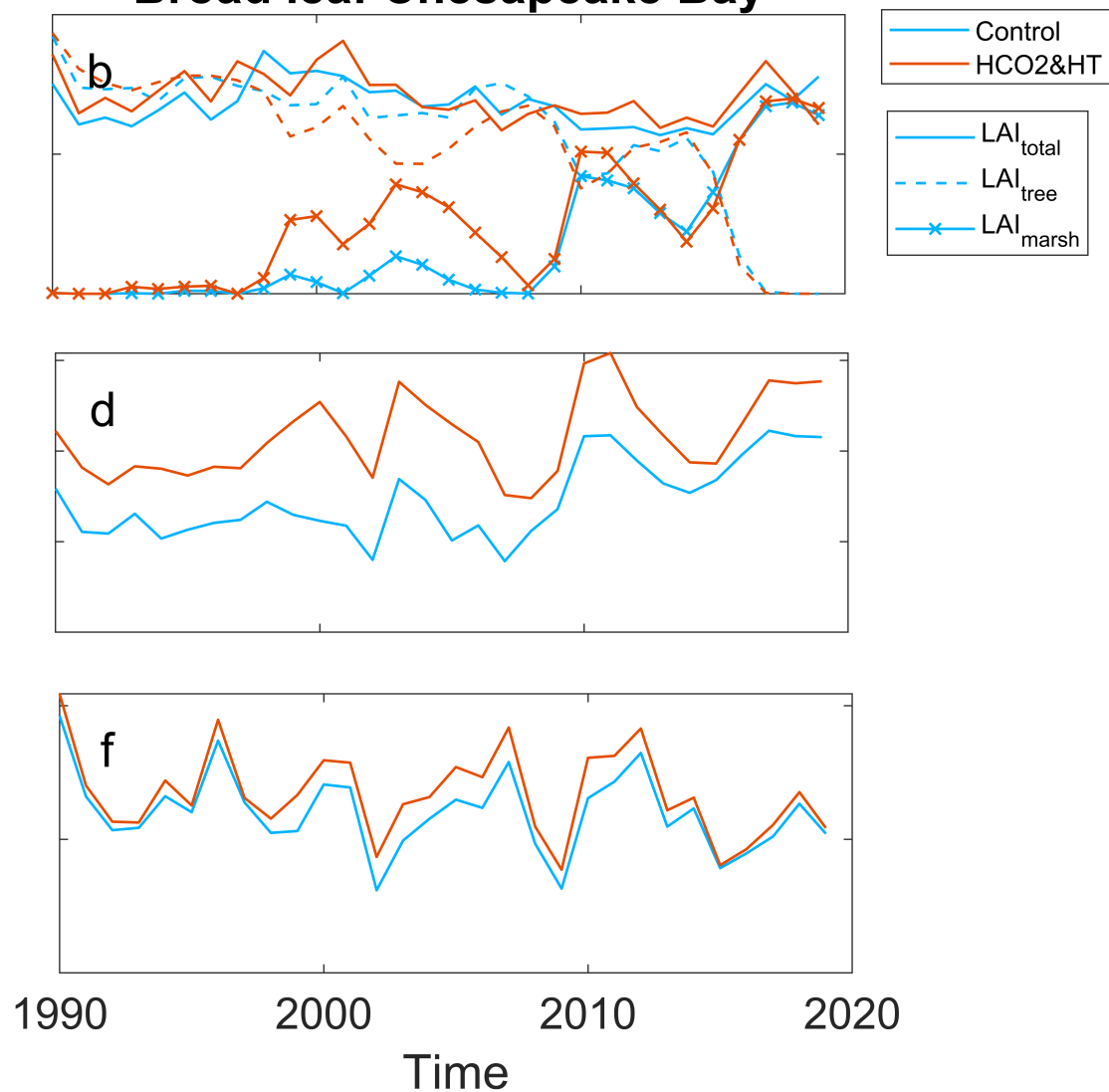
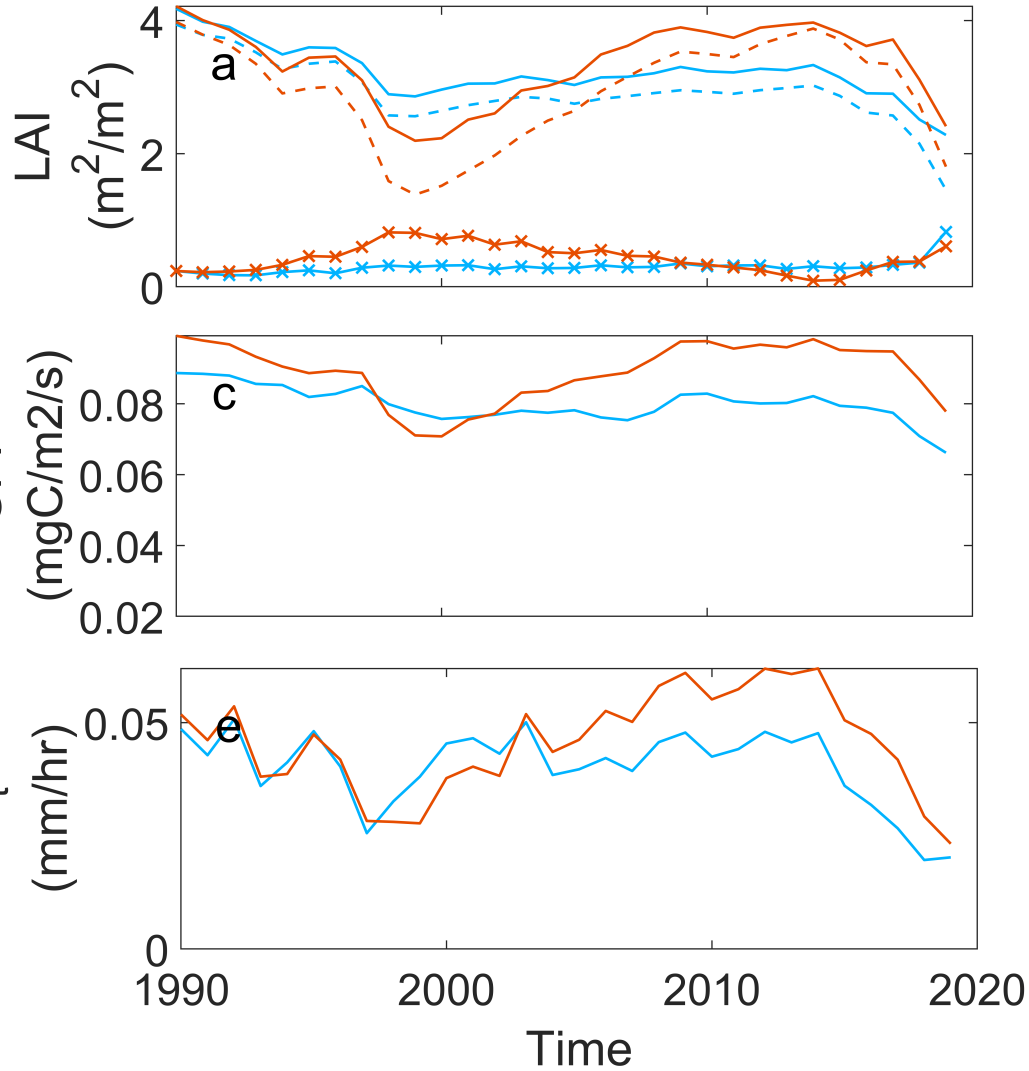


Figure6.

### Conifer Lake Erie



### Conifer Chesapeake Bay

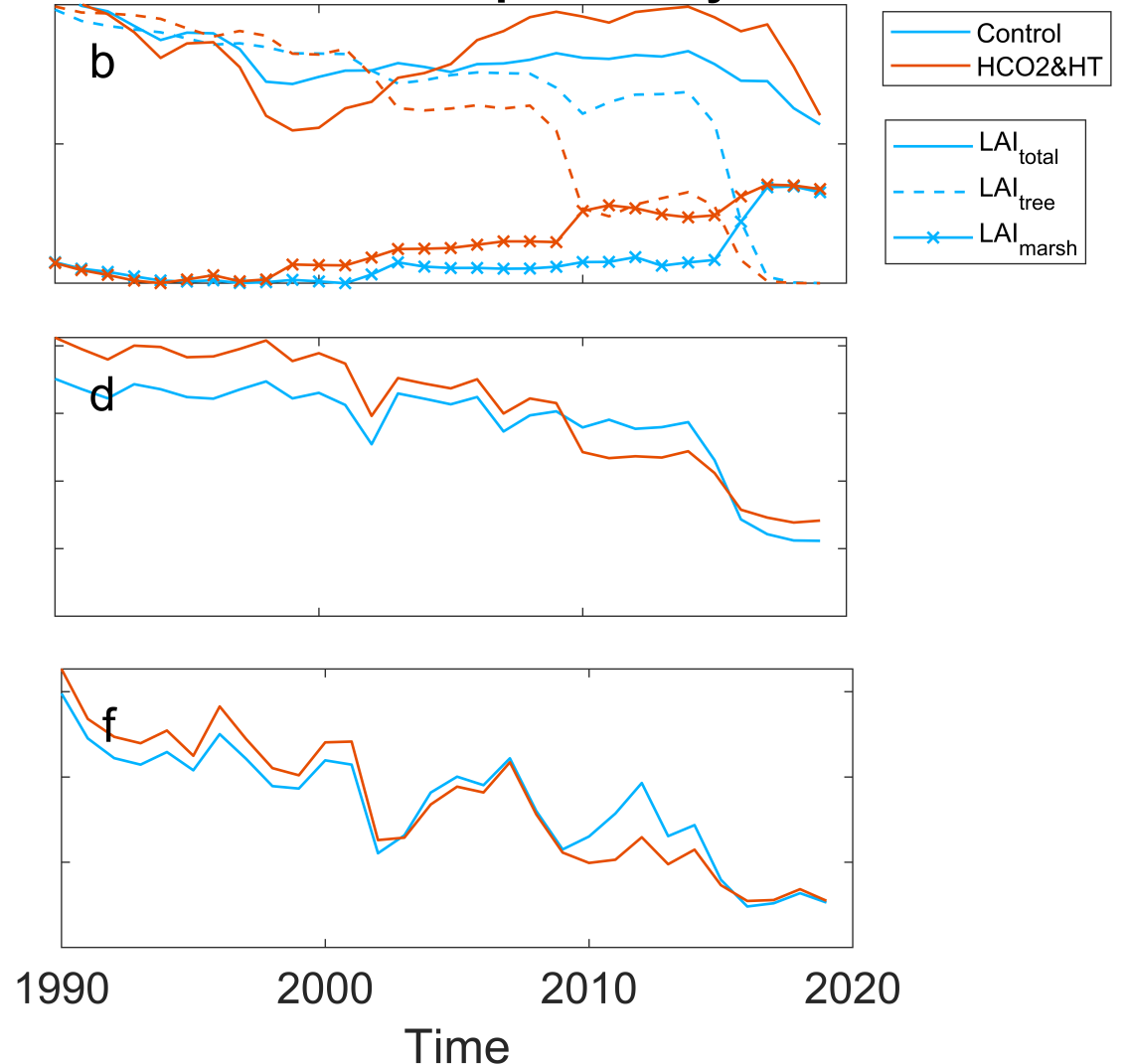


Figure7.

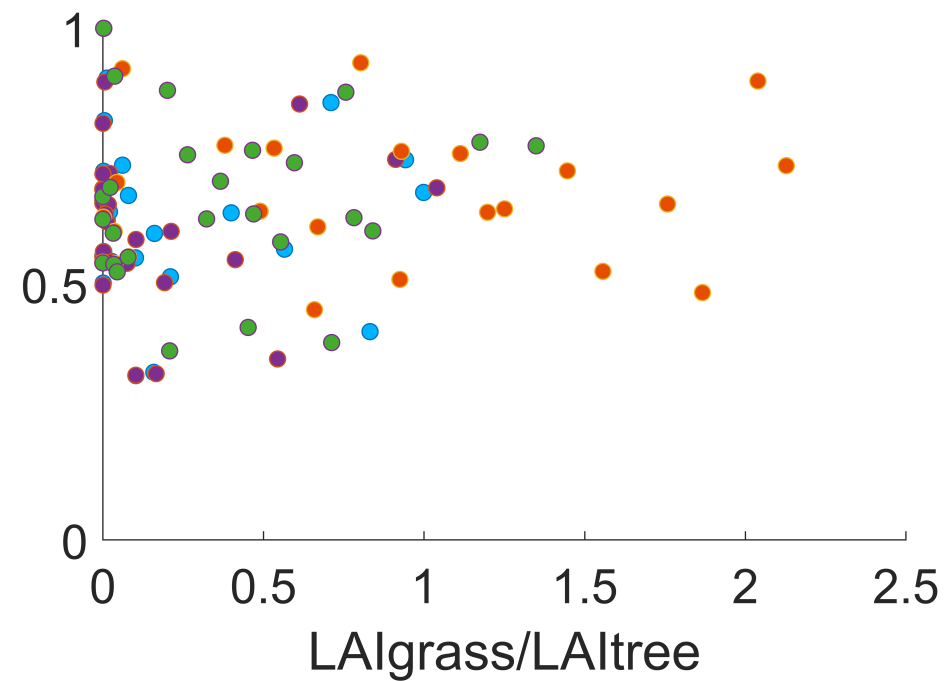
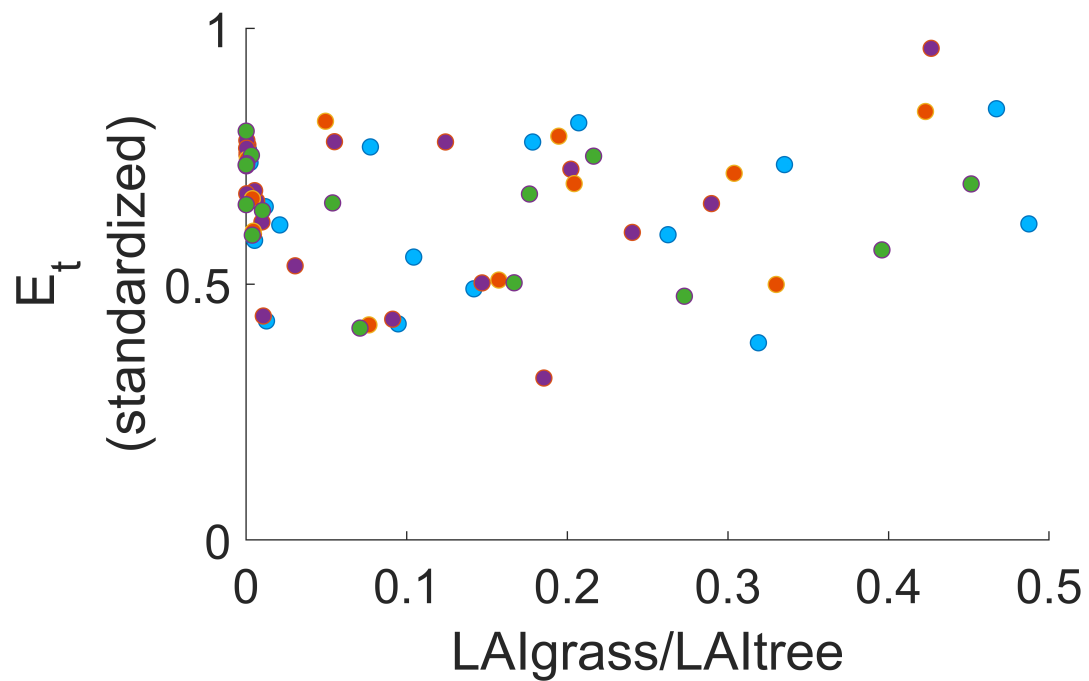
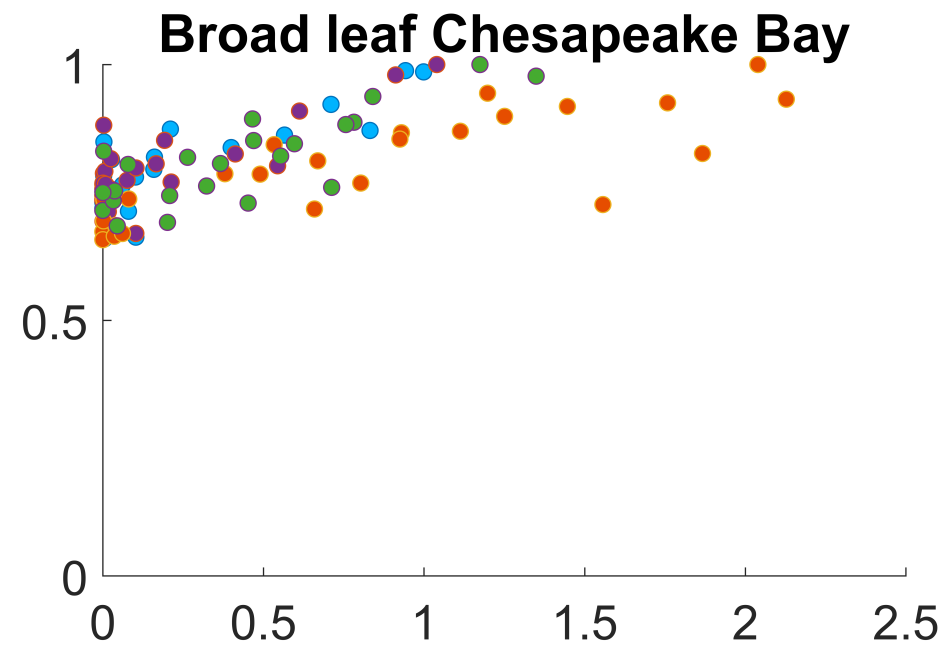
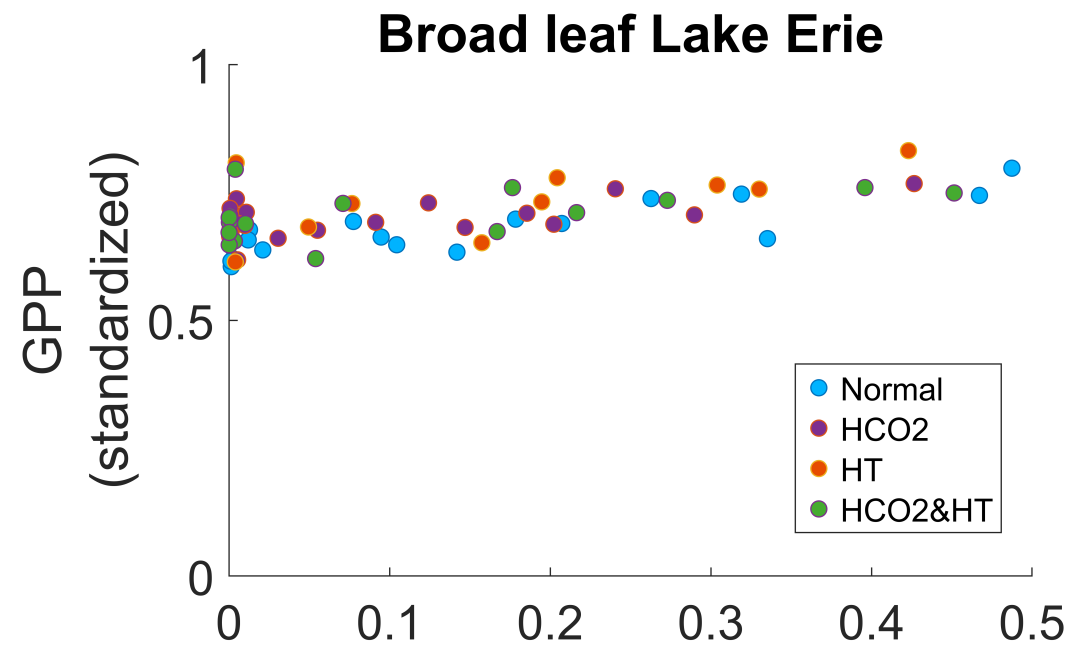
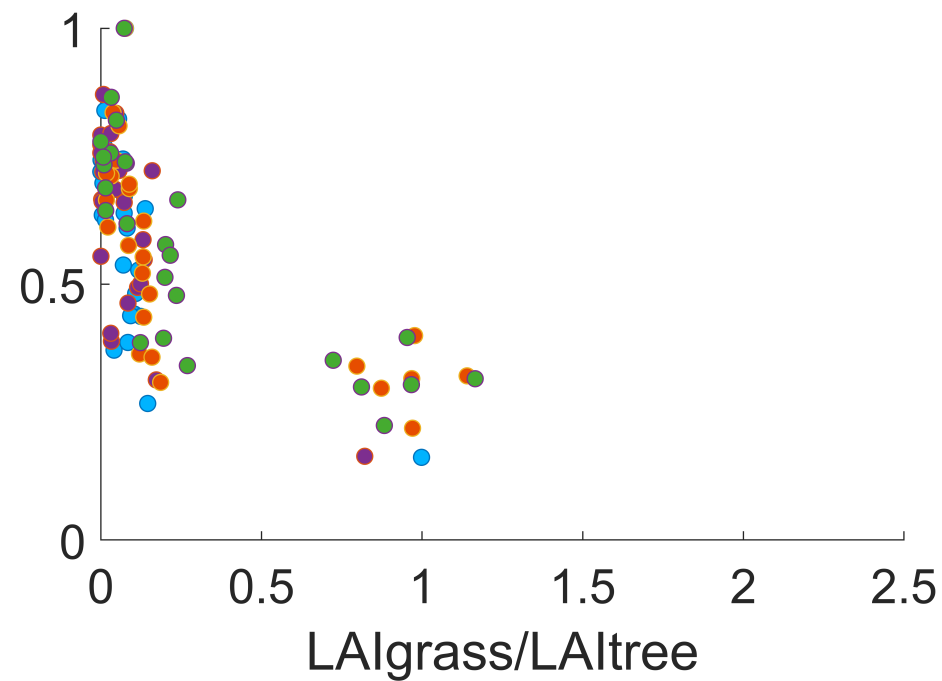
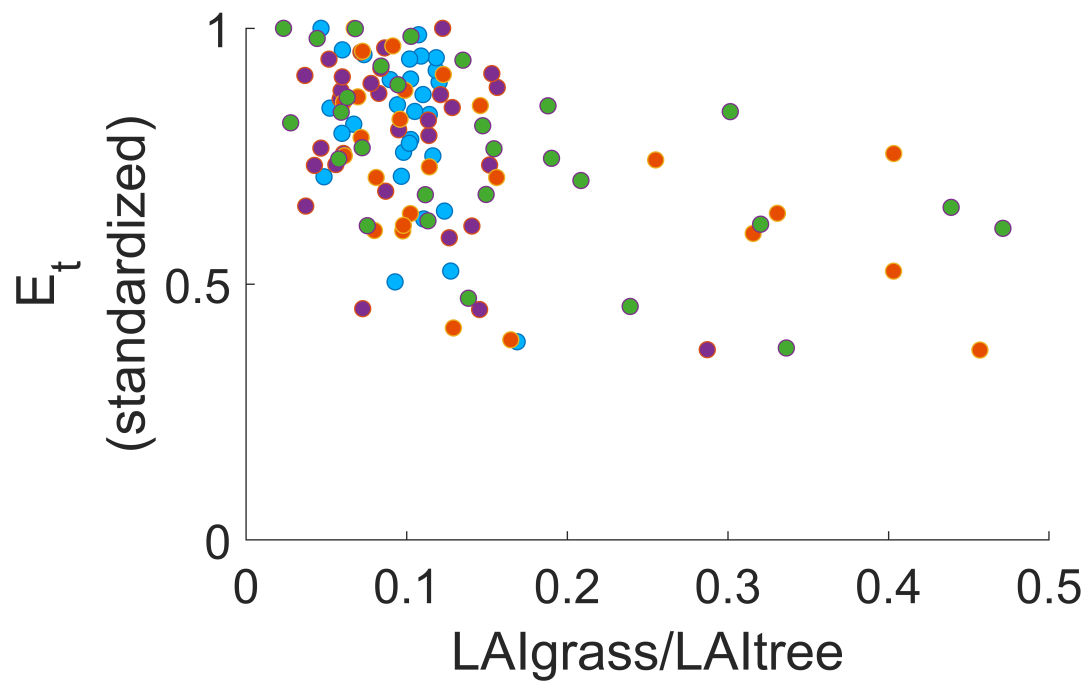
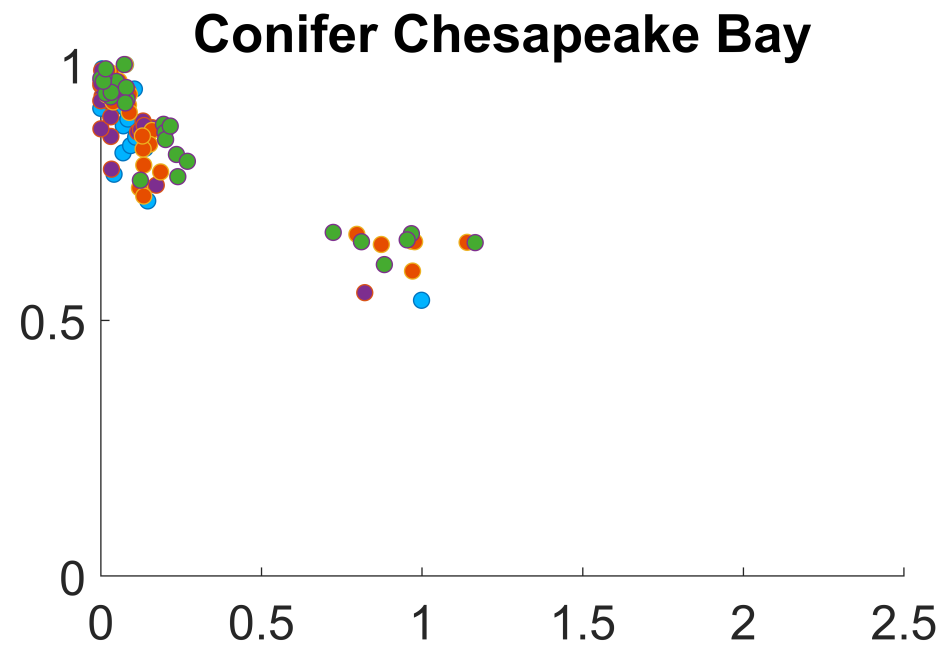
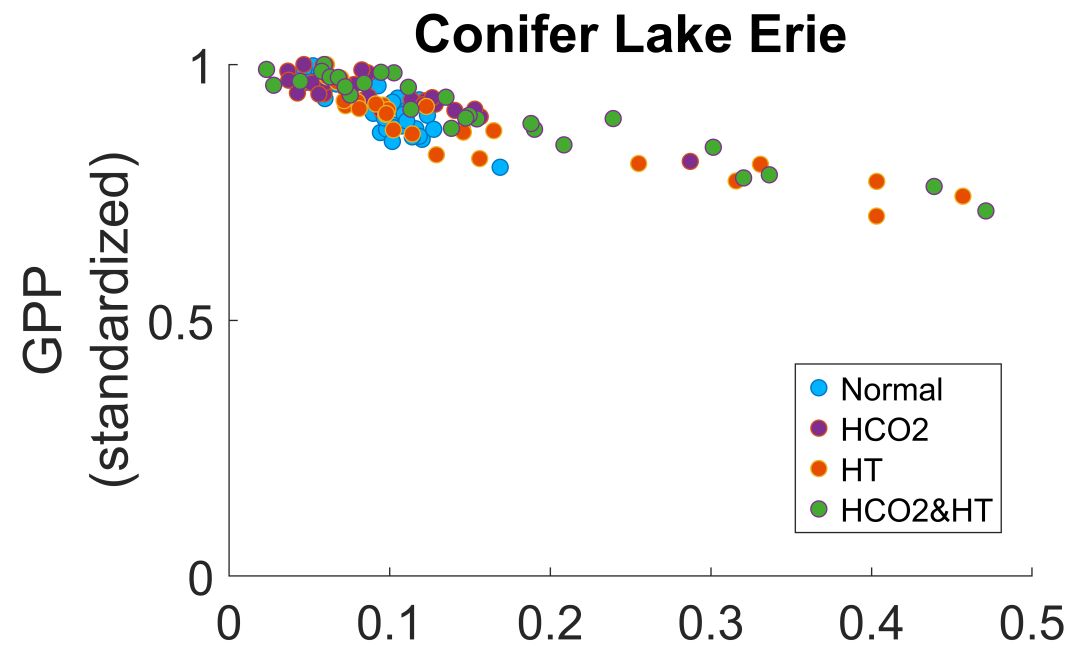


Figure8.



1 Investigating coastal vegetation dynamics and ecosystem impacts under elevated CO<sub>2</sub> and  
2 temperature: A process-based approach

3

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5 Kemner,<sup>7</sup> Matthew L. Kirwan,<sup>8</sup> Matthew Kovach,<sup>5</sup> Patrick Megonigal,<sup>9</sup> Kendalynn A. Morris,<sup>10</sup>  
6 Teri O'Meara,<sup>11</sup> Stephanie C. Pennington,<sup>10</sup> Roberta B. Peixoto,<sup>5</sup> Peter Thornton<sup>11</sup>, Mike  
7 Weintraub,<sup>5</sup> Peter Regier,<sup>12</sup> Leticia Sandoval,<sup>5</sup> Fausto Machado-Silva,<sup>5</sup> Alice Stearns,<sup>9</sup> Nicholas  
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33 Key points:

- 34 • In coastal forests, elevated temperature compounds hydraulic stress from salinity and  
35 submersion, increasing tree mortality beyond what would be expected in non-coastal forests.  
36 • Elevated CO<sub>2</sub> enhances carbon uptake but does not reduce mortality arising from compound  
37 salinity and flooding stress.  
38 • Broadleaf stands with more open canopies maintain productivity as marsh invades, whereas  
39 dense conifer stands lose productivity as marsh expansion lags behind tree mortality.

40

## Abstract

41 Coastal forests are increasingly vulnerable to climate change and sea-level rise, with  
42 flooding and salinity driving transitions to marsh-dominated ecosystems. Using the coastal  
43 version of FATES-Hydro, we conducted 30-year simulations at two coastal forest sites—a  
44 broadleaf swamp white oak stand at Lake Erie and a conifer loblolly pine stand at Chesapeake  
45 Bay—under historical climate and elevated CO<sub>2</sub> (+100 ppm) and temperature (+1.5 °C)  
46 scenarios. Elevated CO<sub>2</sub> increased net primary productivity at both sites, while warming alone  
47 intensified hydraulic stress and accelerated mortality, particularly in the conifer stand.  
48 Simulations show that elevated temperatures intensify vapor pressure deficit and hydraulic stress  
49 on trees already experiencing salinity- and submersion-driven water stress, increasing tree  
50 mortality beyond what would be expected in a non-water-limited environment. Marsh expansion  
51 partially compensated for tree loss at the Lake Erie site but reduced ecosystem productivity in  
52 the conifer forest at Chesapeake Bay. Our results highlight how differences in stand structure,  
53 phenology, and local hydrology modulate ecosystem trajectories under climate change,  
54 emphasizing the importance of demographic and community-level processes for predicting the  
55 fate of coastal forests.

56

## 57 1. Introduction

58 Shoreline vegetation provides important ecosystem functions and services (Barbier et al., 2011;  
59 Mitsch et al., 2015), including high productivity in wetland compared to upland systems  
60 (Tagestad et al., 2021), larger carbon stocks in upland systems than the young marshes replacing  
61 them (Smith et al., 2021), reduced impacts of storms and erosion (Arkema et al., 2013; Spalding  
62 et al., 2014), and habitat for diverse animal species (Duarte et al., 2013; Barbier, 2013).  
63 Transitions from coastal forests to marshes through tree mortality driven by anomalous  
64 inundation from freshwater and saltwater have been observed globally (McDowell et al., 2022).  
65 Changes in vegetation composition can further alter ecosystem functions, including primary  
66 productivity and evapotranspiration (Kirwan & Megonigal, 2013; Gedan et al., 2009). With sea  
67 level rise and shifting precipitation regimes, flooding and inundation are expected to intensify

68 through 2100 (IPCC, 2021; Bamber et al., 2019), raising uncertainty about the persistence of  
69 existing forested coastlines.

70 Environmental variability alters key drivers that influence the rate and extent of forest-to-marsh  
71 transitions in coastal ecosystems. In particular, rising atmospheric CO<sub>2</sub> concentrations and  
72 associated increases in temperature and vapor pressure deficit (VPD) are expected to affect plant  
73 physiological responses and mortality risk (McDowell et al., 2022; Grossiord et al., 2020).  
74 Atmospheric CO<sub>2</sub> is projected to reach 600–800 ppm by the end of the century, and global  
75 temperatures are expected to rise 1.5 to 2.0°C above pre-industrial levels (IPCC, 2021;  
76 Meinshausen et al., 2020). These changes could enhance carbon fixation, respiration, and  
77 seasonal leaf area expansion, potentially increasing biomass growth and altering carbon  
78 allocation patterns (Walker et al., 2020; Way & Montgomery, 2015). In fact, such changes are  
79 already underway. However, plant responses to climate change depend not only on temperature  
80 and CO<sub>2</sub> but also on water and salinity stress—key constraints in coastal environments (Li et al.,  
81 2022).

82 Inundation-induced root loss has emerged as a primary driver of forest-to-marsh transitions in  
83 coastal systems, acting through its effects on hydraulic failure and tree mortality (Ding et al.,  
84 2025). Simulations conducted at freshwater lacustrine (Lake Erie) and brackish estuarine  
85 (Chesapeake Bay) shoreline sites suggested that salinity and hypoxia-induced root mortality  
86 significantly reduced canopy cover, enabling marsh expansion. The resulting declines in leaf area  
87 index (LAI) governed the trajectory and pace of marsh invasion, with conifer forests showing  
88 larger reductions in LAI, gross primary production (GPP), transpiration, and soil water uptake  
89 than broadleaf forests. These findings highlight how vegetation responses to environmental stress  
90 arise from the interplay of physiological mechanisms—such as root mortality, carbon  
91 assimilation, and hydraulic failure—rather than from any single trait. To predict future  
92 vegetation dynamics and ecosystem function under climate change, models must therefore  
93 represent these coupled, process-level interactions explicitly. This insight directly motivates the  
94 present study, which extends the Functionally Assembled Terrestrial Ecosystem Simulator with  
95 explicit plant hydraulics (FATES-Hydro) to explore how elevated CO<sub>2</sub> and temperature interact  
96 with flooding stress across forest types.

97 Understanding the extent to which physiological traits, demographic processes, and vegetation  
98 composition mediate coastal ecosystem responses to climate change remains a major challenge.  
99 While leaf-level processes like photosynthesis, stomatal conductance, and embolism  
100 vulnerability (P50) have been widely studied (Farquhar & von Caemmerer, 1982; Fisher et al.,  
101 2018; McDowell et al., 2022; Grossiord et al., 2020), these alone cannot capture the emergent  
102 ecosystem-level outcomes. Previous studies using the FATES-Hydro model highlighted the  
103 importance of vegetation composition and trait diversity in modulating responses to flooding and  
104 salinity (Ding et al., 2025), but the additional effects of elevated CO<sub>2</sub> and warming on these  
105 responses remain poorly understood.

106 In this study, we use the coastal version of a plant hydraulics-enabled vegetation demographic  
107 model, the Functionally Assembled Terrestrial Ecosystem Simulator (FATES-Hydro; Ding et al.,  
108 2023), which was recently enhanced to represent root mortality from inundation (Ding et al.,  
109 2025). We apply this model to investigate how aboveground traits and environmental drivers—  
110 including elevated temperature and CO<sub>2</sub>—shape coastal tree mortality, marsh invasion, and  
111 associated changes in GPP. Numerical experiments were conducted at two shoreline sites: a  
112 freshwater system along Lake Erie shoreline, Ohio, and a saline coastal system along the  
113 Chesapeake Bay, Maryland. At each site, we virtually swapped a broadleaf and a conifer species  
114 across locations, simulating their responses to elevated CO<sub>2</sub>, elevated temperature, and their  
115 combination. These simulations enable us to evaluate how ecosystem dynamics change in  
116 response to variations in species composition, inundation regime (freshwater vs. seawater), and  
117 future climate conditions.

118 At the individual tree level, we examine how elevated CO<sub>2</sub> and temperature interact with  
119 flooding stress to affect VPD, root loss, recovery, and species-specific mortality. At the  
120 ecosystem level, we assess how stand structure and function—including leaf area index (LAI),  
121 GPP, and transpiration—respond to changing environmental conditions across forest  
122 compositions. Together, these analyses aim to clarify the mechanisms by which hydrologic stress  
123 and climate change shape the future of coastal forests.

## 124 2. Methods

### 125 2.1 Study areas

126 At our two study sites, water stress arises from different combinations of flooding and salinity.  
127 At Lake Erie (LE), water stress primarily results from prolonged freshwater submersion and  
128 associated hypoxia during periods of high lake level. At Chesapeake Bay (CB), trees experience  
129 compound stress from waterlogging and soil salinity driven by seawater intrusion. These  
130 contrasts in baseline hydrologic and salinity regimes motivate our comparative numerical  
131 experiments.

132 The first site is a broadleaf deciduous forest ecosystem located on the south shore of Lake Erie  
133 (LE) in Ohio, USA (41.48°N, 83.06°W). The area experiences warm, humid summers and cold,  
134 snowy winters. The LE site is dominated by broadleaf deciduous forest, with *Carya laciniosa*  
135 and *Quercus bicolor* as the main angiosperm species. Lake Erie is one of the Great Lakes of  
136 North America—a system of interconnected freshwater lakes. Its water level varies on daily,  
137 seasonal, interannual, and decadal timescales due to complex interactions among climate, lake  
138 bathymetry, and upstream water levels (Burlakova et al., 2014). Increases in water level have led  
139 to widespread coastal tree mortality (Sippo et al., 2018).

140 The second site is a coastal conifer forest located in Chesapeake Bay (CB), Maryland, USA  
141 (38.5°N, 76.3°W) (Smith & Kirwan, 2021). The region also has warm, humid summers and cool  
142 winters. The Chesapeake Bay area is a hotspot for sea-level–driven coastal forest retreat, due to  
143 rapid relative sea-level rise (RSLR) across low-lying coastal plains (Schieder et al., 2018; Chen  
144 & Kirwan, 2022). The CB site is dominated by *Pinus taeda* forest and has experienced SLR rates  
145 of 6.84 mm yr<sup>-1</sup> in recent decades (NOAA Sea Level Trends:  
146 <https://tidesandcurrents.noaa.gov/sltrends/>; STAR:  
147 <https://www.star.nesdis.noaa.gov/socd/lisa/SeaLevelRise/>), which were two to three times faster  
148 than the global average (Sallenger et al., 2012; Ezer & Corlett, 2012). This rapid rise has  
149 contributed to substantial tree mortality along low-elevation shorelines.

150 At the Lake Erie site, the shoreline water table often remains very close to or above the soil  
151 surface during spring and early summer, leading to prolonged periods of saturated soil and  
152 frequent exposure to hypoxic conditions (FigS1c). At the Chesapeake Bay site, empirical soil  
153 salinity estimates derived from tidal water level and surface-water salinity (following Ding et al.,  
154 2025) show pronounced seasonal and interannual variability (Fig S1e). Along the shoreline,

155 growing-season soil salinity frequently exceeds the salinity threshold (5PSU) used in the  
156 FATES-Hydro root-loss function, indicating the trees had already experienced substantial  
157 osmotic and ionic stress in the historical period. These baseline hydrologic and salinity regimes  
158 provide the background stress environment on which our elevated temperature and CO<sub>2</sub>  
159 scenarios are superimposed.

## 160 2.2 Model Configuration and Experimental Design

161 We conducted numerical experiments with two forest types—broadleaf deciduous and conifer—  
162 at shoreline locations along the Lake Erie and Chesapeake Bay coasts under historical and future  
163 elevated CO<sub>2</sub> and temperature conditions. We first describe the two modeled ecosystems (study  
164 areas), then outline the setup of the numerical experiments. We used a newly developed version  
165 of the ecosystem demography model, FATES-Hydro (Ding et al., 2023b, 2025), to conduct  
166 numerical experiments exploring how shoreline vegetation responds to elevated atmospheric  
167 CO<sub>2</sub> and temperature.

### 168 2.2.1 FATES-Hydro model description

169 The FATES is a vegetation demographic model that simulates physiological processes and  
170 competitive dynamics among cohorts of different plant functional types (PFTs) (Fisher et al.,  
171 2018; Koven et al., 2020), which has been coupled with the land surface model (ELM)  
172 component of the Energy Exascale Earth System Model (Golaz et al., 2020). The hydraulically  
173 enabled variant, FATES-Hydro, incorporates plant water transport processes and their interaction  
174 with carbon assimilation (Ding et al., 2023a). In this model, transpiration is determined by the  
175 product of total leaf area and unit leaf-area transpiration rate ( $J$ ), which itself depends on  
176 stomatal conductance and the vapor pressure gradient between internal leaf spaces and the  
177 atmosphere.

178 The hydraulic module represents the root system, xylem, and leaves as interconnected porous  
179 media, with water flow regulated by tissue water potentials. These potentials are governed by  
180 plant-specific pressure–volume relationships and vulnerability to cavitation, following  
181 formulations by Manzoni et al. (2013) and Christoffersen et al. (2016). Stomatal behavior is  
182 based on the Ball–Berry model (Ball et al., 1984; Oleson et al., 2013; Fisher et al., 2015), with an

183 additional constraint imposed by leaf water potential via a water stress function,  $\beta_t$ , which scales  
184 with the ratio of current leaf water potential to the water potential at 50% stomatal closure  
185 (P50gs) (Christoffersen et al., 2016).

186 Soil moisture dynamics are resolved through multiple soil layers. Root distribution is allocated  
187 across layers using the two-parameter function from Zeng (2001). Water uptake from each layer  
188 is computed based on the water potential difference between the rhizosphere and absorbing roots,  
189 and on layer-specific root conductance, which depends on root biomass and traits such as  
190 specific root length. Further implementation details, sensitivity analyses, and model assumptions  
191 are documented in the technical documentation (FATES Development Team, 2021, [https://fates-  
192 users-guide.readthedocs.io/projects/tech-doc/en/latest/index.html](https://fates-users-guide.readthedocs.io/projects/tech-doc/en/latest/index.html)) and in recent literature (Koven  
193 et al., 2020; Xu et al., 2023; Ding et al., 2023a, 2023b; Robbins et al., 2024).

194 In this study, we use the coastal version of FATES-Hydro developed by Ding et al. (2023, 2025),  
195 which incorporates several physiological mechanisms representing the effects of salinity and  
196 hypoxia on coastal vegetation. In the coastal configuration used here, FATES-Hydro represents  
197 the impacts of seawater intrusion and flooding on trees through three coupled pathways: (i)  
198 osmotic effects on water availability, (ii) ionic effects on photosynthetic capacity and xylem  
199 vulnerability, and (iii) chronic damage to fine roots.

200 Daily soil salinity is translated into organ-level (root, stem, leaf) salinity using a root salt-  
201 exclusion function. Following Ding et al. (2023), we represent root salt tolerance with a root salt-  
202 exclusion trait,  $k_{ex}$ , that determines the fraction of soil salinity that is transmitted into organ  
203 salinity. A value of  $k_{ex}=0.8$  corresponds to moderate salt exclusion (i.e. ~80% of salt at the root-  
204 soil interface is prevented from entering the xylem). In Ding et al. (2023), this value was chosen  
205 so that the combined salinity-driven reductions in photosynthetic capacity, increased xylem  
206 vulnerability, and loss of root conductance reproduced observed patterns of hydraulic damage,  
207 gas exchange, and crown dieback of Sitka spruce along the seawater exposure gradient at Beaver  
208 Creek, Washington (Zhang et al., 2021; Li et al., 2022). Because analogous, long-term salinity-  
209 manipulation datasets are not available for the broadleaf and loblolly pine forests considered  
210 here, we adopt the same moderately salt-sensitive  $k_{ex}$  value for both tree plant functional types as  
211 a first-order approximation and note this as a limitation of the current model configuration.

212 Salinity affects plant water relations and physiology through two distinct but interacting  
213 pathways that operate on different timescales. Osmotic stress arises primarily from salt dissolved  
214 in soil pore water, which lowers the osmotic potential of the soil solution and reduces the water  
215 potential gradient driving root water uptake—an effect sometimes described as chemical drought  
216 (Munns & Tester, 2008; Perri et al., 2017). This osmotic component operates on relatively fast  
217 timescales (hours to days) and limits water availability to the plant. In contrast, ionic stress  
218 results from the progressive accumulation of  $\text{Na}^+$  and  $\text{Cl}^-$  ions within plant tissues, causing  
219 damage to cellular processes including photosynthetic machinery and membrane integrity; ionic  
220 stress develops more slowly (days to weeks) and can persist even after osmotic conditions ease  
221 (Munns & Tester, 2008; Perri et al., 2019). Salt tolerance encompasses a range of mechanisms  
222 beyond root-level salt exclusion, including osmoregulation, ion compartmentalization, and  
223 synthesis of compatible solutes (Munns & Tester, 2008). The current implementation in FATES-  
224 Hydro captures the primary osmotic and ionic pathways as described below, while  
225 acknowledging that additional salt-tolerance mechanisms are not yet represented.

226 Organ salinity is then converted into an osmotic potential term ( $\Psi_{osm} = k_{osm} * Sal_{org}$ ) that is added  
227 to the matric and gravitational components of water potential, thereby reducing the water-  
228 potential gradient driving root water uptake. The organ salinity also represents ionic stress on  
229 leaf photosynthetic capacity and xylem vulnerability. Leaf photosynthetic capacity parameters  
230 ( $V_{cmax}$  and  $J_{max}$ ) decline with increasing leaf salinity following a logistic response calibrated  
231 using gas-exchange measurements on Sitka spruce along a seawater-exposure gradient at Beaver  
232 Creek, Washington (Zhang et al., 2021; Ding et al., 2023). In FATES-Hydro, this empirical  
233 salinity– $V_{cmax}$  relationship is intended to represent the component of photosynthetic decline  
234 associated with ionic damage to the photosynthetic apparatus—effects not captured by the  
235 osmotic pathway alone. However, we acknowledge that this empirical relationship is a lumped  
236 representation that cannot fully separate osmotic from ionic contributions to reduced  
237 photosynthetic capacity based on field observations alone. In practice, both pathways likely  
238 contribute to observed declines in  $V_{cmax}$  under salinity. The dual representation in FATES-  
239 Hydro (osmotic effects via water potential and ionic effects via the  $V_{cmax}$  relationship) is a  
240 modeling convenience that allows the two physiological pathways to be represented without  
241 double-counting, but should not be interpreted as implying that the two effects are empirically

242 distinguishable from gas-exchange measurements alone. The pressure-conductance curve of  
243 xylem shifts in proportion to xylem salinity, such that trees become more vulnerable to cavitation  
244 as internal salt concentrations increase (Ding et al., 2023).

245 Finally, cumulative exposure to saline and saturated soils reduces fine-root biomass and  
246 conductance via an empirical root-loss function driven by both the duration and intensity of  
247 saline/hypoxic conditions. This decline in root function is modeled using empirical stress-  
248 response relationships derived from observed patterns of crown dieback, mortality, and root  
249 biomass in seawater-exposed Sitka spruce at Beaver Creek (Zhang et al., 2021; Ding et al., 2023)  
250 and from field observations of root loss in coastal conifer stands (Ding et al., 2025). Key  
251 parameter values are listed in Table S1 of the Supporting Information; full equations are  
252 provided in Ding et al. (2023, 2025). These combined mechanisms allow the model to simulate  
253 vegetation responses to seawater intrusion, salinity-induced reductions in hydraulic function, and  
254 root mortality under current and future coastal conditions.

255 To provide context for these salinity- and hypoxia-response formulations, we note that this  
256 parameterization follows the coastal FATES-Hydro applications in Ding et al. (2023), where the  
257 salinity-response and root-loss functions (including  $k_{ex} = 0.8$ ) were calibrated against long-term  
258 observations of Sitka spruce at the Beaver Creek seawater exposure gradient and then applied  
259 across multiple coastal conifer sites. In Ding et al. (2025), these same formulations were  
260 extended to broadleaf vegetation for simulations at the Chesapeake Bay site. Because  
261 comparable long-term salinity-manipulation datasets do not exist for swamp white oak or  
262 loblolly pine, we retain this moderately salt-sensitive parameter set as a first-order approximation  
263 and explicitly acknowledge this as a limitation of the current model configuration (see Section  
264 4.6).

### 265 2.2.2 Numerical experiments

266 In our numerical experiments, each simulation included two plant functional types (PFTs): trees  
267 (either broadleaf or conifer) and grasses. We simulated both broadleaf–grass and conifer–grass  
268 combinations at both the LE and CB shoreline sites over 30 years (1990–2019). We adopted the  
269 same experimental setup as in Ding et al. (2025) by first simulating the LE site with its native

270 broadleaf trees and marsh vegetation, and the CB site with conifers and marsh. We then swapped  
271 tree types between sites—simulating broadleaf forests at CB and conifer forests at LE.  
272 Initialization data for pine at CB and oak at LE were used at both locations accordingly. Grass  
273 colonization originated from external seed supply in year one, followed by both external and  
274 local reproduction thereafter. Alongside meteorological inputs, daily soil salinity at CB and  
275 water table depth at LE were used as external driving variables (see Ding et al., 2023; 2025 for  
276 details).

277 At Lake Erie, the ‘broadleaf deciduous tree’ type represents the *Quercus bicolor* dominated  
278 stand, whereas at Chesapeake Bay the ‘conifer tree’ type represents the *Pinus taeda* dominated  
279 stand. Throughout the text we use ‘broadleaf trees’ and ‘conifer trees’ as shorthand for these  
280 species-dominated stands, rather than for generic plant functional types.

281 For all simulations we used a single set of salinity and hypoxia tolerance parameters for both  
282 broadleaf and conifer trees, including the root salt-exclusion coefficient, the salinity threshold for  
283 root loss, and the coefficients governing salinity-induced root mortality. These parameters were  
284 previously calibrated at the Beaver Creek coastal conifer site by matching simulated  
285 relationships between leaf and soil ion concentrations, crown dieback, and mortality to  
286 observations for Sitka spruce exposed to seawater flooding (Ding et al., 2023). Species-specific  
287 constraints on long-term salinity and flooding tolerance are not available for the Lake Erie and  
288 Chesapeake Bay forests; we therefore adopt this calibrated parameter set as a common,  
289 moderately salt-sensitive template for coastal trees in all simulations.

290 Trait parameters for the broadleaf and conifer trees were derived primarily from field  
291 measurements at the Lake Erie and Chesapeake Bay sites and complemented with values from  
292 published databases when observations were lacking. For both sites,  $V_{cmax,25}$  and  $J_{max,25}$  were  
293 estimated from  $A/C_i$  curves measured on the dominant species and then adjusted within the  
294 observed ranges so that simulated hourly net photosynthesis matched fitted relationships between  
295 net photosynthesis and intercellular  $CO_2$ . Hydraulic traits (maximum whole-plant conductance  
296 and the water potential at 50% stomatal closure) were calibrated within ranges observed for  
297 temperate broadleaf and conifer species so that simulated diurnal leaf water potentials  
298 reproduced observed patterns. Allometric parameters linking DBH to height, sapwood area,

299 woody biomass, and leaf biomass were derived from the BAAD database (Falster et al., 2015)  
300 and tuned so that simulated stand-level leaf area index matched site-level estimates. A complete  
301 list of parameters and their sources is provided in Table S1 and in the Supporting Information.

302 We ran simulations for 30 years under four climate scenarios: (1) historical climate, (2) elevated  
303 atmospheric CO<sub>2</sub>, (3) elevated temperature, and (4) combined elevated CO<sub>2</sub> and temperature.  
304 These scenarios are denoted as Control, HCO<sub>2</sub>, HT, and HCO<sub>2</sub>&HT, respectively. The same  
305 plant parameter sets from Ding et al. (2025) were used throughout. In ELM, ambient vapor  
306 pressure is calculated from air temperature and relative humidity, so increasing temperature  
307 automatically increases vapor pressure deficit (VPD).

308 Meteorological forcing used to drive the model was derived from the University of East Anglia  
309 Climatic Research Unit Japanese Reanalysis (CRUJRA) dataset (Harris, 2019), spanning 1990–  
310 2019. Atmospheric CO<sub>2</sub> concentrations were set at 400 ppm for the historical scenario and 500  
311 ppm for elevated CO<sub>2</sub> scenarios. In elevated temperature scenarios, air temperature was  
312 uniformly increased by 1.5°C above the corresponding historical CRUJRA values. Daily soil  
313 salinity and water table depth at CB, as well as water table depth at LE, were used as additional  
314 external drivers in the simulations. For LE, daily water table depth from 1990 to 2019 was  
315 reconstructed by establishing a linear relationship between shoreline water table measurements  
316 in 2022 and water level records from the NOAA station in Cleveland, which was then applied to  
317 the historical data (Ding et al., 2025). During the simulation, lake levels were notably high  
318 during two periods: 1997–1999 and 2018–2020 (FigS1b). At CB, soil salinity was derived from  
319 an empirical relationship between open water level and salinity (Ding et al., 2023b). Estimated  
320 soil salinity showed seasonal and interannual fluctuations, with a gradual increasing trend over  
321 the simulation period (FigS1e). This approach captures first-order variability in pore-water  
322 salinity but does not resolve fine-scale heterogeneity associated with microtopography,  
323 evapotranspiration, or species-specific salt-exclusion strategies; thus, the simulated salinity time  
324 series should be interpreted as a site-level approximation rather than a detailed prediction. We  
325 used the observed upland inventory data of *Carya* spp. at LE for both CB and LE initialization  
326 and observed upland inventory data of *Pinus* spp. at CB for both CB and LE initialization. The  
327 simulated marsh colonization was from external seed supply at the first year, then from both  
328 external seed supply and local reproduction afterward.

329

## 330 3. Results

331 3.1 Tree-level hydraulic and carbon responses to elevated CO<sub>2</sub> and temperature

332 We first examine how elevated temperature and CO<sub>2</sub> affect tree hydraulic function, mortality,  
333 and carbon assimilation under flooding, using simulations for both broadleaf and conifer trees  
334 across the two shoreline ecosystems. Simulations run with historical CO<sub>2</sub> and climate serve as  
335 the control. Treatment impacts are presented as the difference from the control (i.e., treatment  
336 minus control), where zero indicates no treatment effect, a positive value indicates an increase,  
337 and a negative value indicates a decrease.

338 Broadleaf trees exhibited increased xylem conductivity loss (up to 45% at CB and 35% at LE)  
339 and higher mortality (peaking at 12% yr<sup>-1</sup> under elevated temperature at CB) under elevated  
340 temperature, reflecting the compounding effects of increased VPD during high water or salinity  
341 periods (Fig. 1a–d). In contrast, elevated CO<sub>2</sub> enhanced both net leaf carbon assimilation ( $A_{\text{net}}$ )  
342 (by ~25–35%) and total leaf biomass (by ~30%) (Fig. 1e–h). When both temperature and CO<sub>2</sub>  
343 were elevated, the positive effect of CO<sub>2</sub> on carbon gain partially offset the negative effects of  
344 temperature-induced stress on mortality.

345 Conifer trees exhibited a similar pattern: elevated temperature increased xylem conductivity loss  
346 (by ~50% at CB and ~40% at LE) and mortality (reaching 15% yr<sup>-1</sup> under elevated temperature  
347 at CB) (Fig. 2a–d), while elevated CO<sub>2</sub> increased  $A_{\text{net}}$  (by ~20–30%) and leaf biomass (by  
348 ~25%) (Fig. 2e–h). However, the temperature effects on carbon budgets were generally weaker  
349 in conifers than in broadleaf trees.

350 Across species, elevated temperature induced greater xylem conductivity loss (up to 50%) and  
351 mortality (10–15% yr<sup>-1</sup>) in conifers compared to broadleaf trees at both sites (Fig. 3a–d).

352 Responses were consistent across sites for each species. Elevated CO<sub>2</sub> had similarly positive  
353 effects on  $A_{\text{net}}$  and leaf biomass in both groups, with stronger responses observed at Lake Erie  
354 than at Chesapeake Bay ( $A_{\text{net}}$  increased by ~30% at LE vs. ~20% at CB) (Fig. 4a–h). While

355 elevated temperature slightly reduced  $A_{\text{net}}$  of broadleaf trees (by ~5%; Fig. 4a, c), it had  
356 negligible effects on conifer  $A_{\text{net}}$  (Fig. 4b, d).

357 In the historical simulations, Chesapeake Bay shoreline trees experienced soil salinity above the  
358 root-loss threshold on a substantial fraction of growing-season days, whereas Lake Erie shoreline  
359 trees encountered saturated or near-saturated soils for a large portion of the spring and early  
360 summer. These baseline stress frequencies are summarized in Fig. S1 and highlight that both  
361 sites are already operating under strong hydrologic and salinity constraints before any climate  
362 perturbation is applied.

### 363 3.2 Ecosystem responses mediated by vegetation composition

364 At the ecosystem scale, vegetation composition strongly influenced forest responses to elevated  
365  $\text{CO}_2$  and temperature. These effects were most pronounced in LAI, GPP, and transpiration,  
366 following marsh expansion driven by climate-induced tree mortality.

367 In broadleaf forest ecosystems, treatments with elevated  $\text{CO}_2$  and temperature accelerated tree  
368 mortality (cumulative tree cover decline of ~40% at CB and ~30% at LE) and marsh expansion  
369 (marsh area increased to ~60% of total cover at CB and ~50% at LE) relative to historical  
370 conditions (Fig. 5a–b). Despite these changes in vegetation composition, total LAI remained  
371 relatively stable (fluctuating within  $\pm 10\%$  of control values) throughout the simulation. GPP was  
372 slightly higher under the treatments (increased by ~5–10%), and transpiration showed modest  
373 increases (by ~5%) (Fig. 5c–f). Broadleaf systems at Lake Erie exhibited quicker recovery after  
374 flooding than those at Chesapeake Bay.

375 In conifer forest ecosystems, elevated  $\text{CO}_2$  and temperature also led to faster tree mortality  
376 (cumulative tree cover decline of ~50% at CB and ~40% at LE) and marsh expansion (marsh  
377 area increased to ~30–40% at CB but remained <20% at LE) (Fig. 6a–b). However, these  
378 systems exhibited greater variability in LAI and GPP, with pronounced declines during stress  
379 periods (LAI decreased by up to 50% and GPP dropped by ~30% at CB) and less consistent  
380 recovery (Fig. 6c–d). Transpiration also fluctuated more in conifer systems (by  $\pm 20\%$ ) than in  
381 broadleaf systems (by  $\pm 10\%$ ) (Fig. 6e–f). As with broadleaf systems, recovery at Lake Erie was  
382 more apparent than at Chesapeake Bay.

383 To assess whether the relationship between vegetation composition and ecosystem function  
384 remained consistent across scenarios, we analyzed how GPP and transpiration varied with the  
385 marsh-to-tree abundance ratio (Figs. 7 and 8). In broadleaf systems, GPP slightly increased with  
386 marsh expansion (by ~5–10%), while transpiration remained largely unchanged (within  $\pm 5\%$ )  
387 (Fig. 7). In conifer systems, increases in marsh dominance corresponded to declines in both GPP  
388 (by ~20–25%) and transpiration (by ~15–20%) (Fig. 8). These trends were consistent across all  
389 climate scenarios. Soil water uptake remained similar across all treatments (data not shown).

#### 390 4. Discussion

391 Our simulations with FATES-Hydro revealed several key findings. First, elevated temperature  
392 increased tree mortality in both broadleaf and conifer forests by intensifying hydraulic stress  
393 (Figs. 1a–d, 2a–d, 3a–d), with minimal impact on carbon assimilation (Figs. 1e–f, 2e–f, 4a–d).  
394 Second, elevated CO<sub>2</sub> consistently enhanced leaf-level carbon assimilation and biomass  
395 production (Figs. 1g–h, 2g–h, 4e–h), but did not alleviate water stress or reduce mortality at  
396 elevated temperature. Third, while tree-level physiological responses were broadly similar  
397 between conifers and broadleaf species, contrasting canopy structures and initial tree stand  
398 densities led to divergent ecosystem-scale outcomes. In particular, marsh invasion in broadleaf  
399 forests compensated for tree loss and maintained productivity (Fig. 5), whereas conifer forests—  
400 characterized by higher initial density and greater mortality—exhibited slower marsh expansion  
401 and greater declines in LAI and GPP (Fig. 6). Across all scenarios, stand composition—reflected  
402 in structure, density, and tree-to-marsh ratios—emerged as a stronger control on ecosystem  
403 carbon and water fluxes than physiology alone (Figs. 7–8).

404 The +1.5 °C and +100 ppm CO<sub>2</sub> perturbations we impose are modest relative to the magnitude of  
405 baseline hydrologic and salinity stresses at our sites. At the Chesapeake Bay shoreline, trees  
406 already experience high salinity for a substantial fraction of the growing season, and at Lake Erie,  
407 trees frequently experience saturated soils during spring. Our simulations should therefore be  
408 interpreted as showing how projected changes in temperature and CO<sub>2</sub> modify an already  
409 stressed system, rather than as responses to climate change in an otherwise benign environment.

##### 410 4.1 Elevated temperature increases hydraulic stress without reducing carbon gain

411 Elevated temperature intensified hydraulic stress in both species by increasing VPD, which  
412 enhanced transpiration and exacerbated xylem conductivity loss and mortality (Figs. 1, 3). This  
413 is consistent with prior work showing that rising VPD is a key driver of hydraulic failure  
414 (Grossiord et al., 2020; Li et al., 2022). The mechanistic basis is captured by the relationship  $\Delta\psi$   
415  $= J \times R_{\text{root}}$ , where  $\Delta\psi$  is the water potential drop from soil to stem,  $J$  is water flux, and  $R_{\text{root}}$  is root  
416 resistance, in which flooding and/or salinity-induced root loss increases while simultaneously  
417 elevated VPD increases  $J$ , compounding overall stress (Ding et al., 2025).

418 Despite increased hydraulic stress,  $A_{\text{net}}$  remained largely unchanged (Figs. 1e–f, 2e–f, 4a–d).  
419 This pattern does not imply that temperature plays a minor role; rather, it reflects the fact that  
420 trees at both sites are already operating near hydraulic limits because of chronic salinity and  
421 submersion stress. Under these conditions, modest increases in VPD can substantially raise the  
422 risk of hydraulic failure by accelerating sap flow and increasing xylem vulnerability to  
423 embolism. At the same time, instantaneous  $A_{\text{net}}$  remains relatively buffered, due to the hyperbolic  
424 relationship between stomatal conductance and photosynthesis in the Farquhar model (Farquhar  
425 & von Caemmerer, 1982) and modest biochemical stimulation from warming. Thus, elevated  
426 temperature acts as an additional stressor superimposed on a pre-stressed system, increasing  
427 mortality risk without strongly reducing carbon uptake.

#### 428 4.2 Elevated CO<sub>2</sub> enhances carbon assimilation but not water status

429 Simulations under elevated CO<sub>2</sub> showed consistent increases in  $A_{\text{net}}$  and leaf biomass in both  
430 species (Figs. 2e–h, 4e–h), consistent with numerous field and experimental studies (Drake et al.,  
431 1997; Ainsworth & Long, 2005; Walker et al., 2020). Improved resource-use efficiency under  
432 elevated CO<sub>2</sub> may be especially beneficial under light- or nutrient-limited conditions typical of  
433 saturated coastal ecosystems. Trees likely responded to greater carbon availability by increasing  
434 leaf area.

435 However, elevated CO<sub>2</sub> had a minimal impact on tree hydraulic status. At the Chesapeake Bay  
436 site, the simulated soil salinity regime is characterized by a gradual, seasonally varying increase  
437 from near-fresh to low-to-moderate brackish conditions, rather than abrupt exposure to full-  
438 strength seawater. As a result, trees experience chronic but relatively moderate osmotic and ionic

439 stress superimposed on prolonged waterlogging. In our simulations, coastal tree mortality  
440 therefore arises primarily from impaired root function caused by long-term root loss, rather than  
441 from soil water deficits. Stomata do respond to high VPD and rising salinity, but once fine-root  
442 biomass and conductance have been strongly reduced, further reductions in  $g_s$  provide limited  
443 additional hydraulic protection because water uptake is constrained by damaged roots rather than  
444 by transpiration demand (Li et al., 2022; Ding et al., 2023; Ding et al., 2025). Under these  
445 conditions, CO<sub>2</sub>-induced reductions in transpiration are insufficient to offset mortality risk driven  
446 by chronic root loss.

#### 447 4.3 Similar tree-level responses emerge from shared stress pathways

448 Broadleaf and conifer trees showed comparable physiological responses to elevated CO<sub>2</sub> and  
449 temperature (Figs. 3–4), largely because both experienced similar degrees of root loss under  
450 inundation (Ding et al., 2025). While species differ in traits such as leaf habit and architecture,  
451 environmental forcing—especially saturation and salinity—was the primary driver of mortality.  
452 Trait differences shaped the magnitude but not the direction of responses.

453 Some distinctions still emerged. Conifers exhibited slightly higher mortality under elevated  
454 temperature, possibly due to year-round transpiration by evergreen canopies (Fig. 3). Both  
455 species responded similarly to elevated CO<sub>2</sub>, likely due to similar  $V_{c_{max}}$  parameterization. These  
456 findings suggest that environmental constraints can override interspecific trait differences.

#### 457 4.4 Vegetation drives divergent ecosystem trajectories

458 Despite similar individual-level responses to elevated CO<sub>2</sub> and temperature, ecosystems diverged  
459 in their trajectories following tree mortality and marsh expansion. In broadleaf systems, tree loss  
460 created canopy openings that allowed marsh plants to establish quickly, maintaining total LAI  
461 and GPP despite climate-induced stress (Fig. 5). In contrast, conifer systems—characterized by  
462 higher initial stand density and closed canopies—experienced sharper declines in LAI and GPP,  
463 with marsh colonization too slow to fully compensate for the loss of tree cover (Fig. 6).

464 These contrasting outcomes reflect initial differences in vegetation structure derived from site-  
465 specific census data. The model was initialized using observed size structures at each site, which

466 resulted in a less dense, more open broadleaf forest at Lake Erie and a denser conifer forest at  
467 Chesapeake Bay. This structural difference shaped subsequent ecosystem dynamics. The lower  
468 canopy density and more open structure of broadleaf forests facilitated more rapid marsh  
469 invasion, enabling those systems to recover functionally even as tree mortality increased. The  
470 high water-use efficiency of marsh species, further enhanced by elevated CO<sub>2</sub>, contributed to this  
471 compensatory response. However, in dense conifer stands mortality led to abrupt structural  
472 collapse, and the slow replacement by marsh vegetation limited ecosystem recovery. Although  
473 higher conifer mortality also eventually creates canopy openings, the key difference is the timing  
474 of gap formation relative to the pace of marsh colonization: in the broadleaf system, lower initial  
475 stand density allows mortality to create small, distributed gaps early in the simulation that are  
476 quickly colonized by marsh plants, whereas in the dense conifer system, high initial crown  
477 closure delays the formation of contiguous open patches sufficient for marsh establishment,  
478 resulting in a pronounced lag between tree loss and vegetation recovery. These contrasting  
479 responses highlight how differences in initial stand structure, canopy openness, and regenerative  
480 capacity, rather than physiology alone, determine long-term trajectories of coastal ecosystems  
481 under climate change.

#### 482 4.5 Implications for modeling vegetation responses under elevated CO<sub>2</sub> and temperature

483 Most ecosystem models emphasize physiological processes such as CO<sub>2</sub> fertilization, but  
484 underrepresent mortality and community shifts. Our findings show that changes in vegetation  
485 composition—mediated by mortality and marsh colonization—are at least as important as, and  
486 often more influential than, physiological adjustments alone in determining ecosystem responses  
487 to elevated CO<sub>2</sub> and temperature in the coastal forests we studied (Figs. 7–8). This conclusion  
488 emerges despite our use of a single, moderately salt-sensitive parameter set for long-term salinity  
489 and hypoxia tolerance in both tree types. A more detailed representation of species-specific  
490 tolerance would likely modify the quantitative thresholds for mortality and marsh expansion, and  
491 could even allow some particularly tolerant species to persist longer under high salinity.  
492 However, we expect the qualitative pattern—that stand structure, canopy openness, and  
493 regenerative capacity strongly modulate how climate change reshapes coastal forest–marsh  
494 mosaics—to remain robust. Our results therefore argue for Earth system models that couple

495 physiological responses with explicit mortality, demographic turnover, and vegetation dynamics,  
496 including trait variation in submersion and salt tolerance.

497 Neglecting mortality due to hydraulic failure and subsequent vegetation reorganization may lead  
498 to overestimating the long-term benefits of CO<sub>2</sub> enrichment and underestimating ecosystem  
499 vulnerability. Our results support growing recognition that demographic and community-level  
500 processes are critical to understanding ecosystem feedbacks to climate change (Franklin et al.,  
501 2016; Felton & Smith, 2017).

#### 502 4.6 Limitations and future study

503 Despite the species-based parameterization of photosynthetic, hydraulic, and allometric traits,  
504 our representation of stress tolerance is still limited by the scarcity of species-specific data on  
505 long-term responses to salinity and flooding. In particular, we lack direct constraints on salinity  
506 and hypoxia tolerance for the swamp white oak and loblolly pine stands considered here, and  
507 therefore adopted a single, moderately salt-sensitive parameter set calibrated at a coastal conifer  
508 site. This limitation implies that our quantitative projections of mortality rates for any given  
509 species should be interpreted with caution. We view the most robust aspects of our results as the  
510 relative patterns and mechanisms, for example, how differences in stand structure, phenology,  
511 and local hydrology modulate vulnerability—rather than precise predictions of species-specific  
512 thresholds under future climate and sea-level rise.

513 An important limitation of the present study is that we lack species-specific constraints on long-  
514 term tolerance to chronic salinity and hypoxia for the focal coastal forests. Consequently, we  
515 applied a single set of salinity and flooding tolerance parameters—calibrated at a coastal conifer  
516 site (Beaver Creek) based on Sitka spruce responses—to both the broadleaf and conifer trees at  
517 Lake Erie and Chesapeake Bay. This simplifying assumption allows us to cleanly isolate the  
518 roles of stand structure, phenology, and local hydrologic forcing in shaping future vulnerability,  
519 but it likely underestimates the true diversity of stress-tolerance strategies among coastal species.  
520 Future work should explore how interspecific variation in salinity and flooding tolerance  
521 modulates the patterns reported here.

522

523 4.7 Summary

524 In summary, simulations with FATES-Hydro show that elevated temperature intensifies  
525 hydraulic stress and coastal forest tree mortality, while elevated CO<sub>2</sub> enhances carbon  
526 assimilation without improving water status under flooding. At the ecosystem scale, marsh  
527 invasion offsets tree loss in broadleaf forests but reduces productivity in conifer systems. These  
528 responses are governed more by vegetation composition and stand structure than by physiology  
529 alone. To improve projections of future carbon and water dynamics, ecosystem models must  
530 integrate plant hydraulics, mortality, and vegetation dynamics.

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540 **Author contributions**

541 JD and NM designed the study and drafted the manuscript. JD developed the model and  
542 performed simulations. CDK helped with model development. NM, NW, LS, DD, KM, MK, PR,  
543 PZ, HZ, SP, SW, WW, WI, AS, TM, PT, and JPM collected field data or performed data  
544 analysis. All the authors contributed to the manuscript.

545 **Competing interests**

546 None declared

547 **Open Research**

548 The data and FATES-Hydro code that support the findings of this study are openly available in  
549 GitHub repository: [https://github.com/JunyanDing/FATES\\_COMPASS](https://github.com/JunyanDing/FATES_COMPASS) or Zenodo (Ding et al.,  
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715 **Figure captions**

716 Fig. 1. Effects of elevated CO<sub>2</sub> and temperature on broadleaf tree physiology, expressed as  
717 treatment minus control. Panels show (a, b) loss of xylem hydraulic conductivity ( $K/K_{\max}$ ), (c, d)  
718 mortality rate, (e, f) net leaf carbon assimilation rate ( $A_{\text{net}}$ ), and (g, h) total leaf biomass per tree.  
719 Results are shown for both Chesapeake Bay and Lake Erie sites.

720 Fig. 2. Effects of elevated CO<sub>2</sub> and temperature on conifer tree physiology, expressed as  
721 treatment minus control. Panels show (a, b) loss of xylem hydraulic conductivity, (c, d) mortality  
722 rate, (e, f) net leaf carbon assimilation rate ( $A_{\text{net}}$ ), and (g, h) total leaf biomass per tree at both  
723 sites.

724 Fig. 3. Comparison of hydraulic responses and mortality between each treatment and the control  
725 for broadleaf and conifer trees. Each point represents the annual mean value for one simulation  
726 year at one site. The x-axis shows values under the historical climate (Control), and the y-axis  
727 shows the corresponding values under the elevated CO<sub>2</sub> (HCO<sub>2</sub>), elevated temperature (HT), or  
728 combined (HCO<sub>2</sub>&HT) treatments. Panels show scatterplots of xylem conductance for (a, c)  
729 broadleaf and (b, d) conifer trees, and mortality rate for (e, g) broadleaf and (f, h) conifer trees.  
730 The 1:1 line indicates no treatment effect; points above the line indicate higher values under the  
731 treatment than under the control, and points below the line indicate lower values.

732 Fig. 4. Comparison of leaf-level carbon responses between each treatment and the control. Each  
733 point represents the annual mean value for one simulation year at one site. The x-axis shows  
734 values under the historical climate (Control), and the y-axis shows the corresponding values  
735 under the elevated CO<sub>2</sub> (HCO<sub>2</sub>), elevated temperature (HT), or combined (HCO<sub>2</sub>&HT)  
736 treatments. Panels show scatterplots of net leaf carbon assimilation ( $A_{\text{net}}$ ) for (a, c) broadleaf  
737 and (b, d) conifer trees, and total leaf biomass for (e, g) broadleaf and (f, h) conifer trees. The 1:1  
738 line indicates no treatment effect; points above the line indicate higher values under the treatment  
739 than under the control, and points below the line indicate lower values.

740 Fig. 5. Ecosystem responses of broadleaf forest under elevated CO<sub>2</sub> and temperature. Panels  
741 show changes in (a, b) leaf area index (LAI), (c, d) gross primary productivity (GPP), and (e, f)  
742 transpiration at the Chesapeake Bay and Lake Erie sites.

743 Fig. 6. Ecosystem responses of conifer forest under elevated CO<sub>2</sub> and temperature. Panels show  
744 changes in (a, b) leaf area index (LAI), (c, d) gross primary productivity (GPP), and (e, f)  
745 transpiration at the two sites.

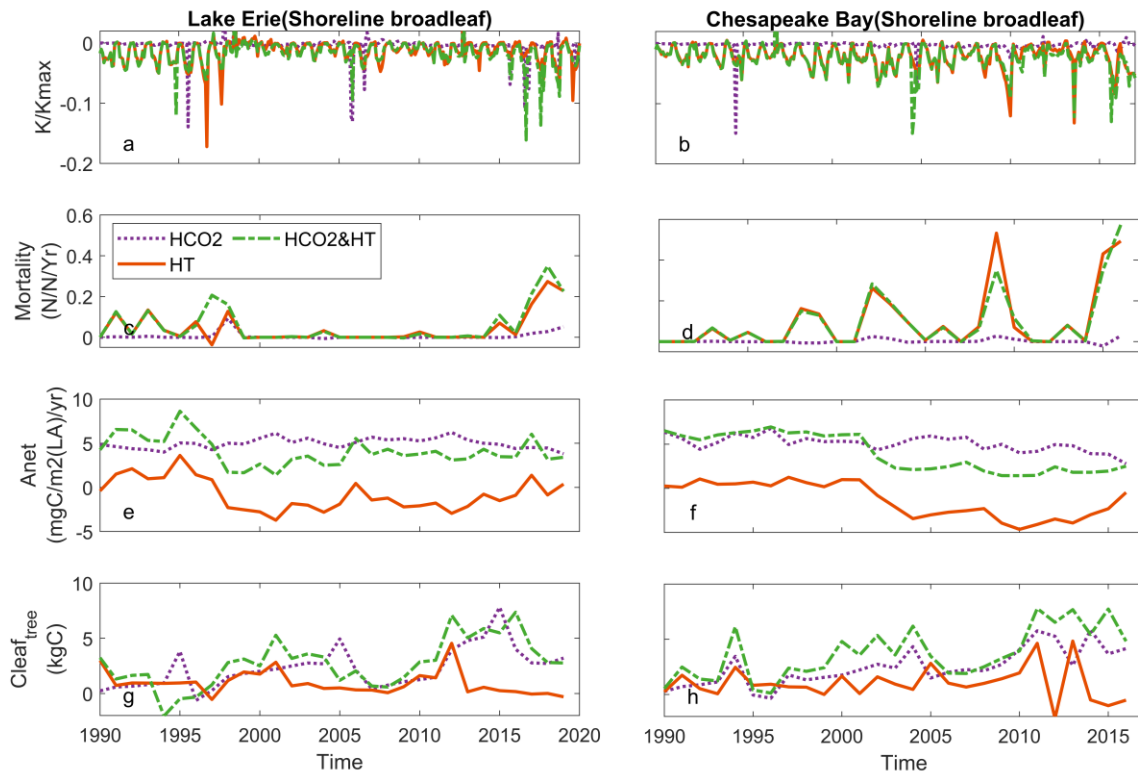
746 Fig. 7. Relationship between vegetation composition and ecosystem function in broadleaf forests.  
747 Panels show standardized gross primary productivity (GPP; a, b) and transpiration ( $E_t$ ; c, d) as a  
748 function of the marsh-to-tree abundance ratio under different climate scenarios.

749 Fig. 8. Relationship between vegetation composition and ecosystem function in conifer forests.  
750 Panels show standardized gross primary productivity (GPP; a, b) and transpiration ( $E_t$ ; c, d) as a  
751 function of the marsh-to-tree abundance ratio across scenarios.

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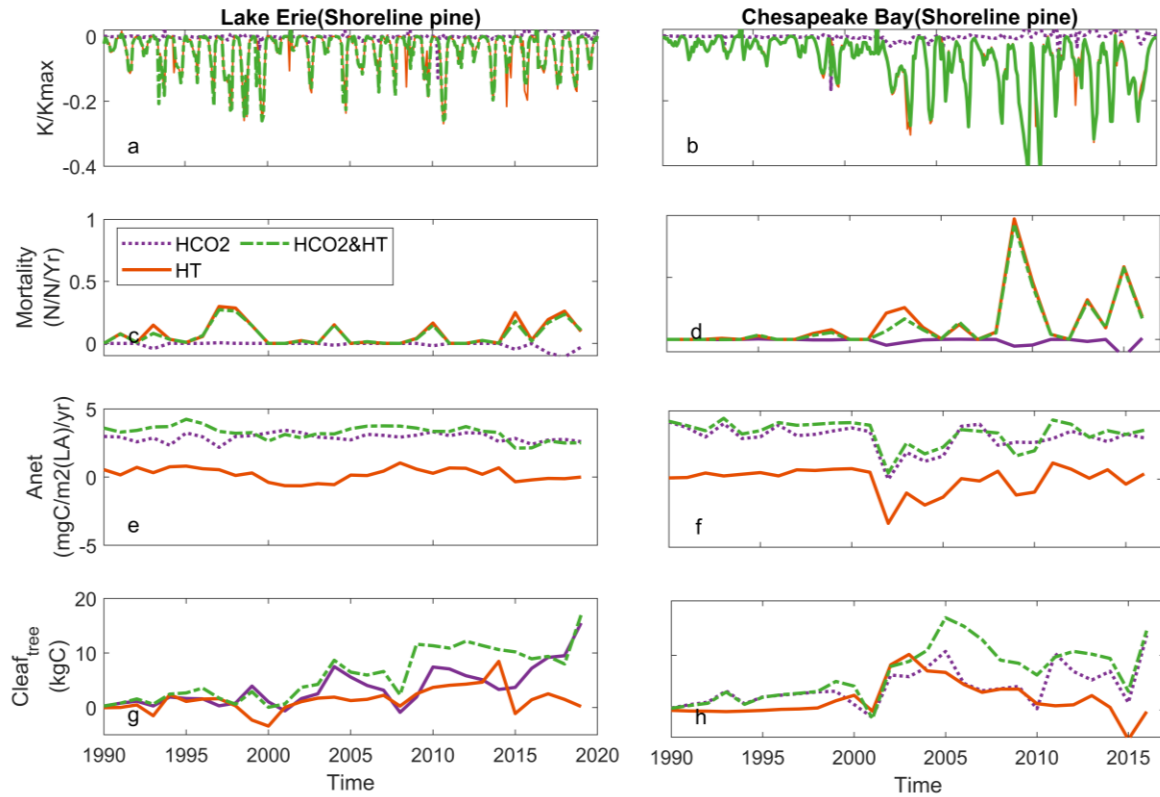
754 Fig. 1



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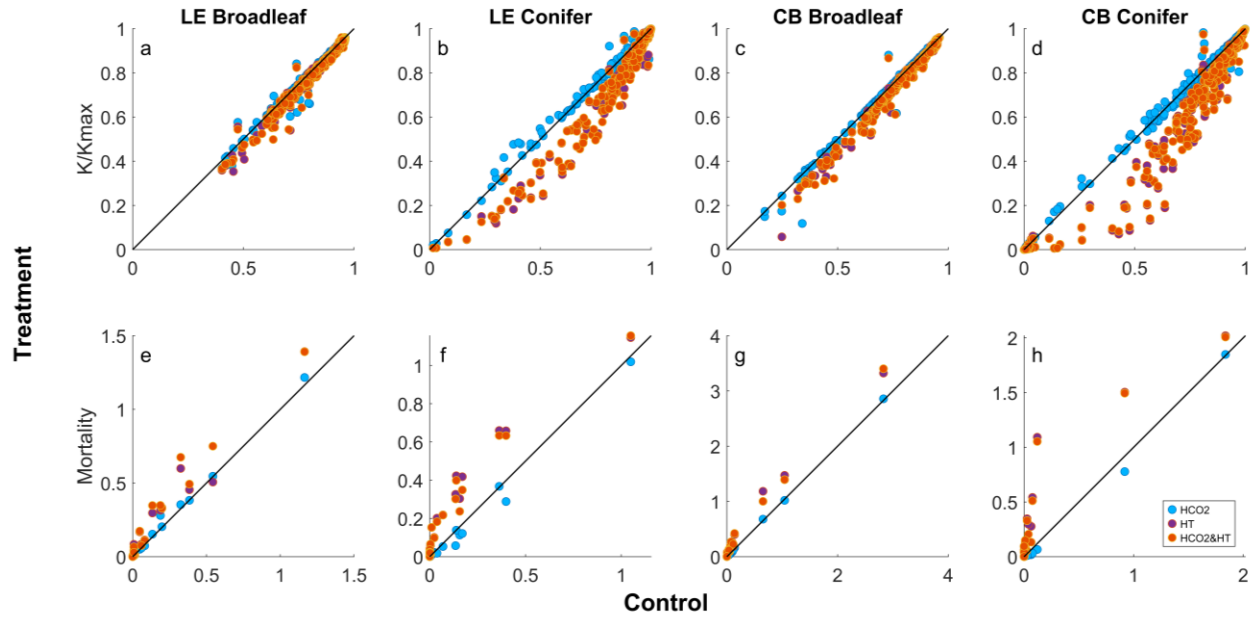
757 Fig. 2



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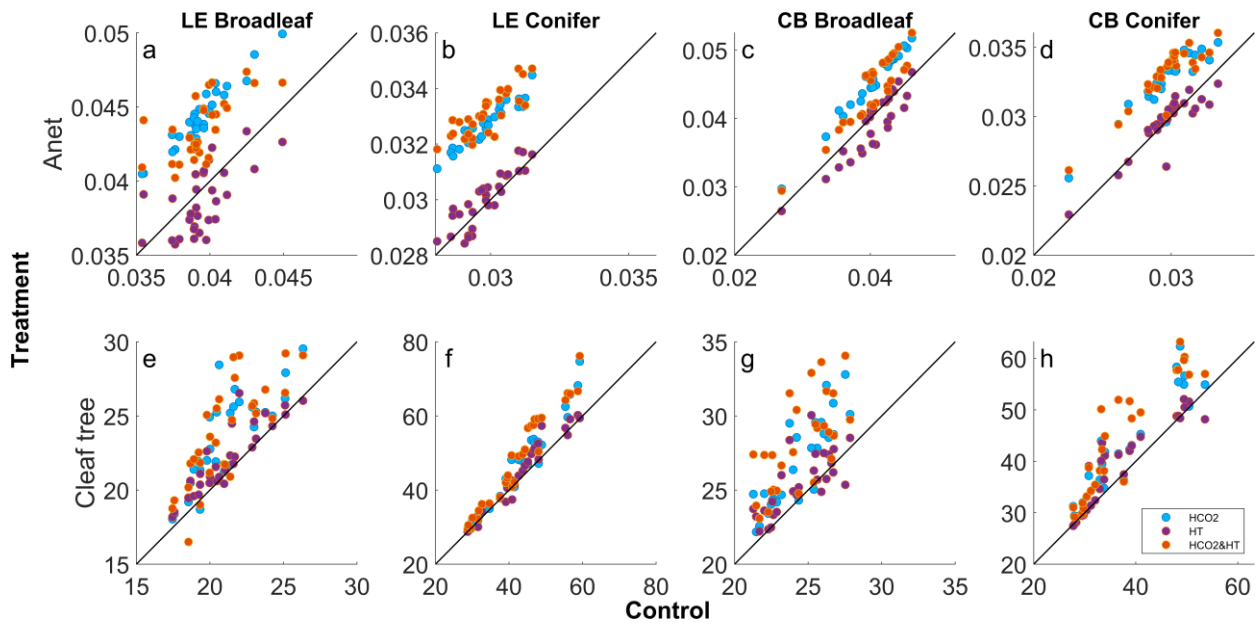
760 Fig. 3



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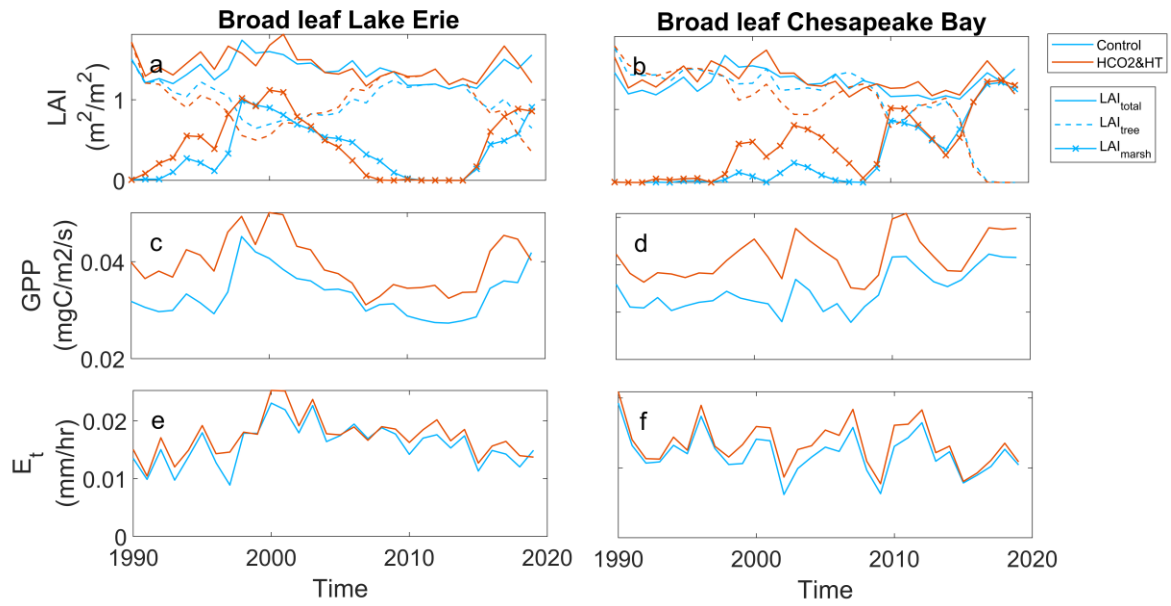
763 Fig. 4



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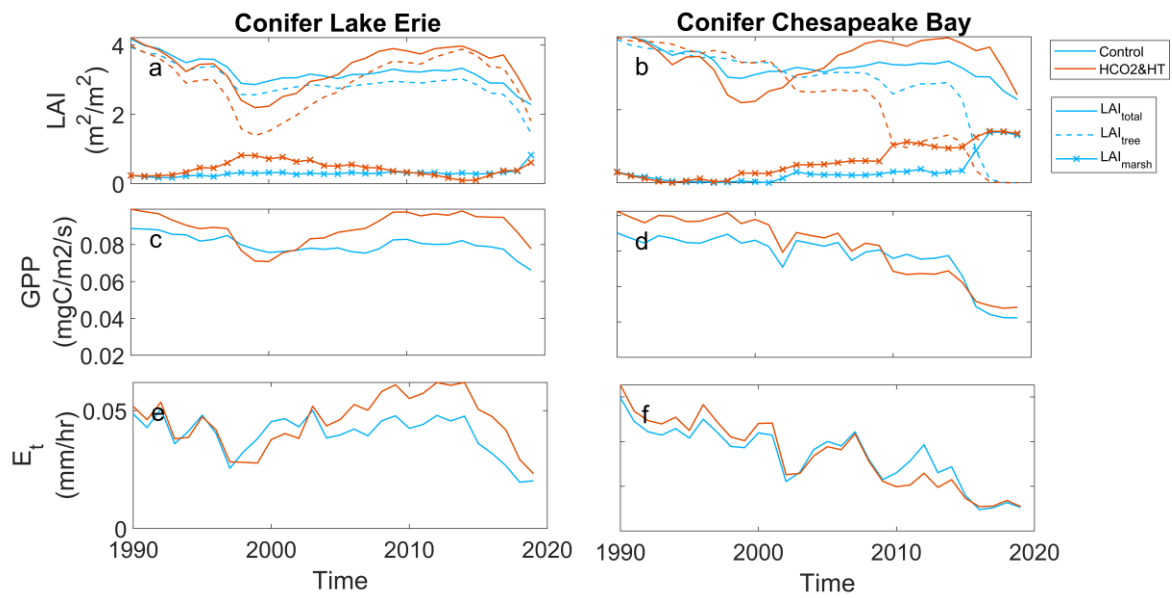
766 Fig. 5



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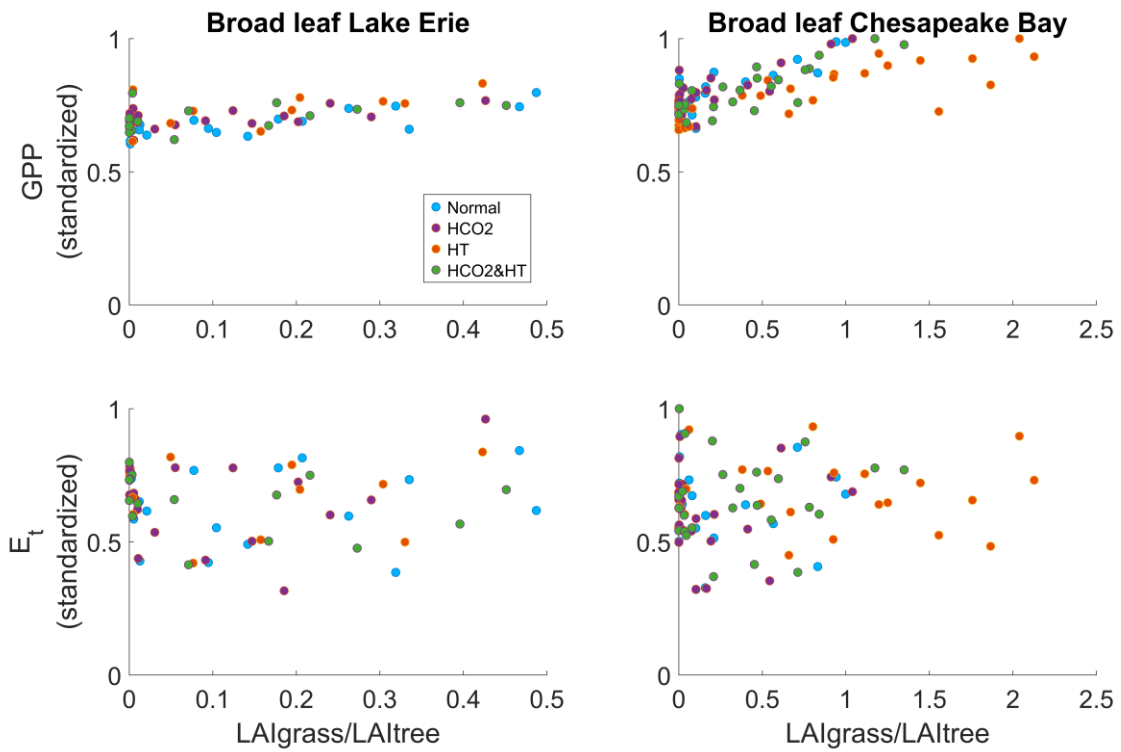
769 Fig. 6



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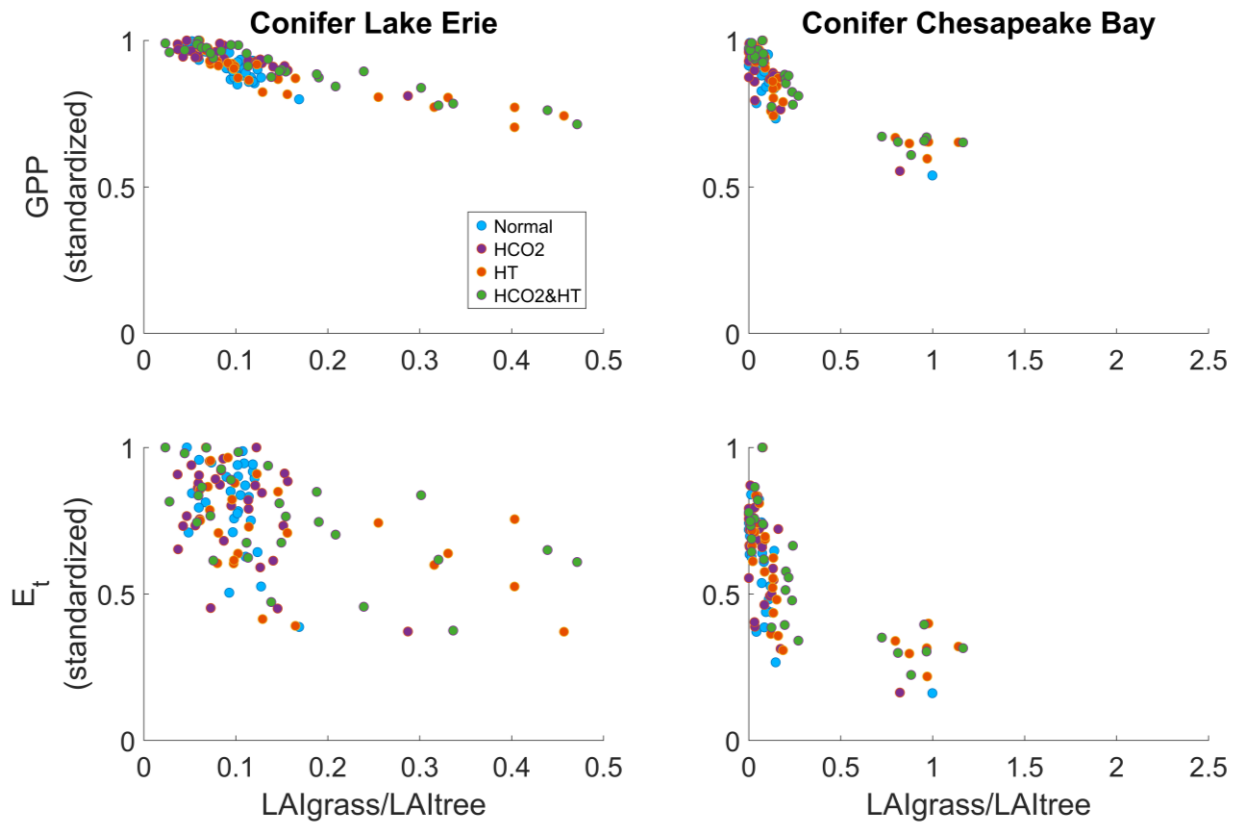
772 Fig. 7



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775 Fig. 8



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