

Machine Learning Vacancy Formation Energy in Nickel-Based Superalloys

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NETL Support Contractor



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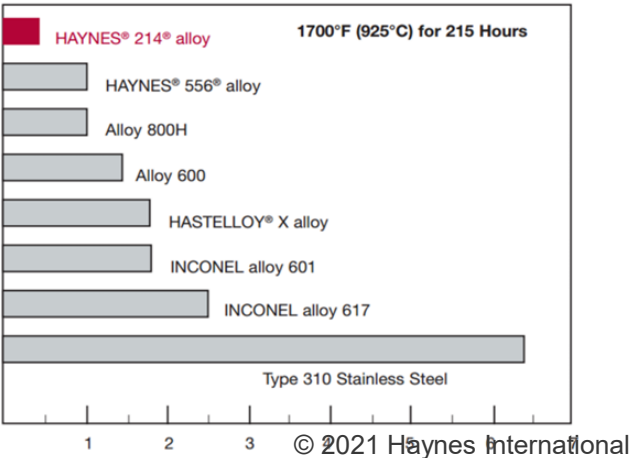


Introduction: Ni Superalloys and Applications

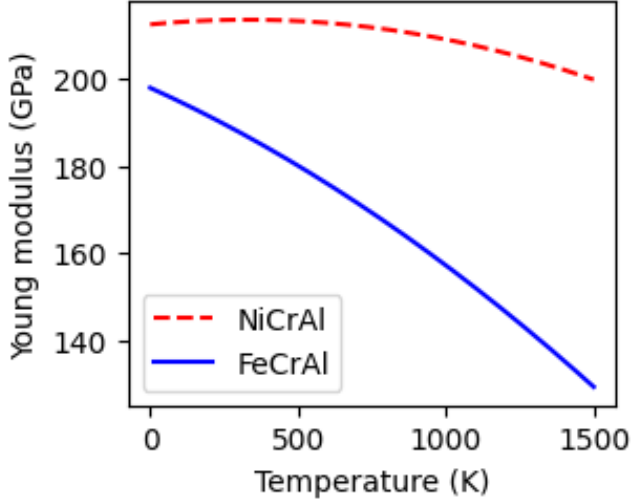
Turbine Blades



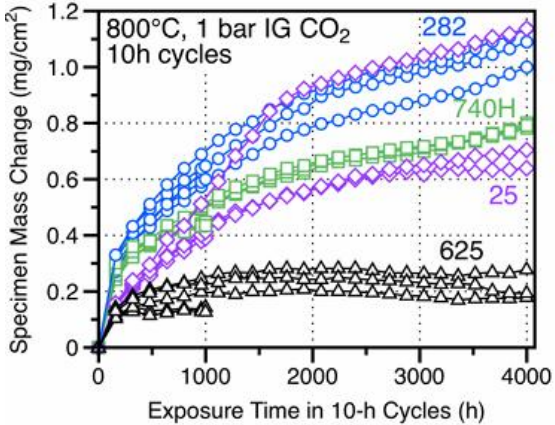
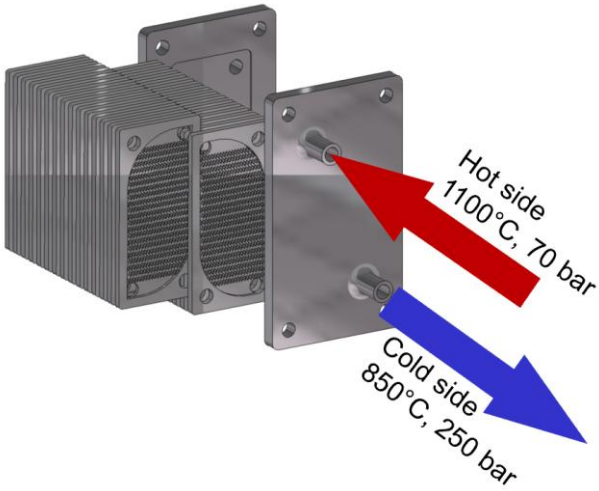
Corrosion Resistance



High Strength



Heat Exchangers

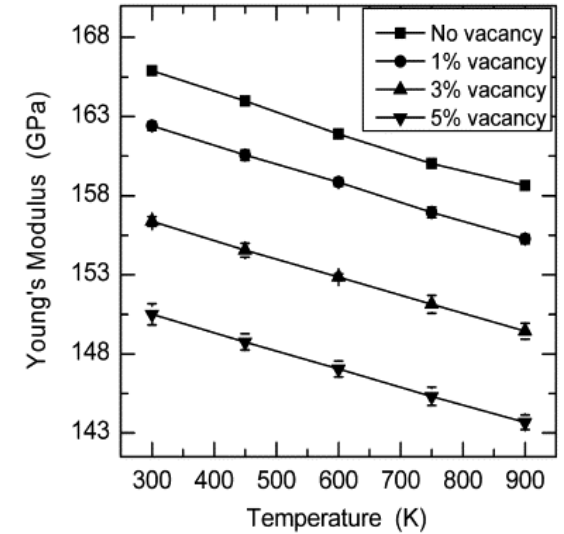
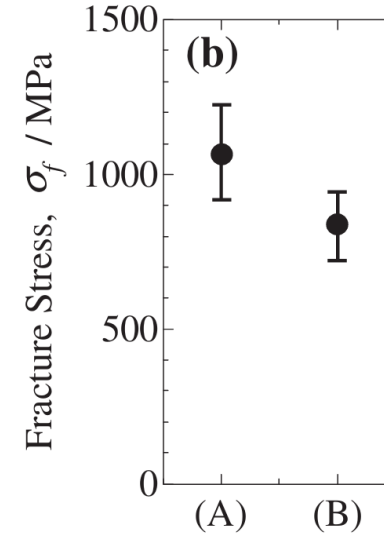
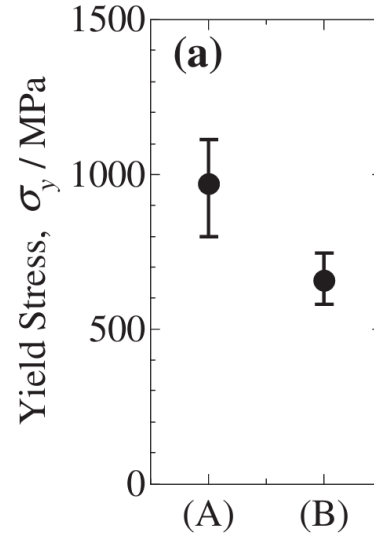
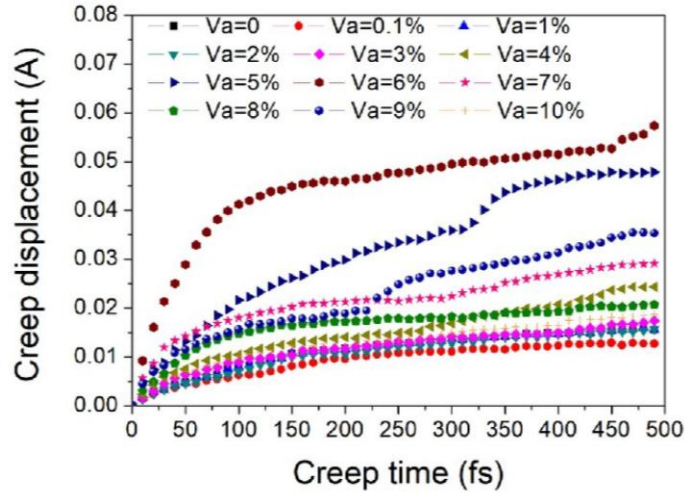


<https://mooseframework.inl.gov>
<https://www.haynesintl.com/>

Pint and Pillai DE-EE0001556 Lifetime Model Development for sCO2 CSP (2019).

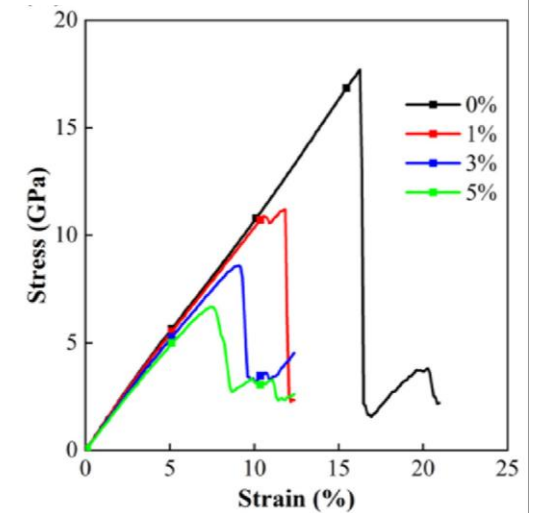


Impact of Vacancies on Strength & Creep Properties

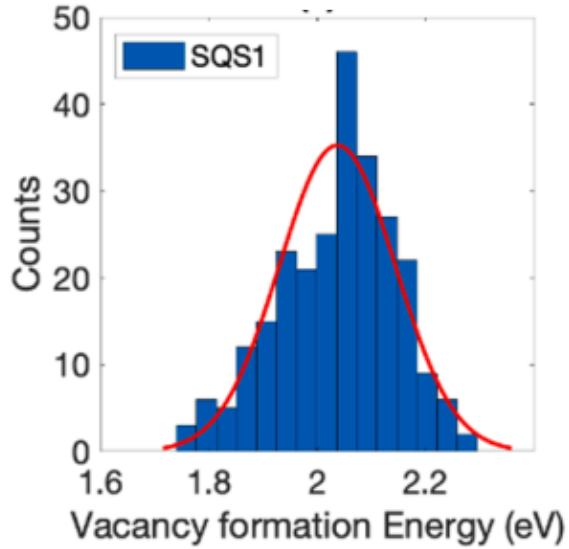


- Higher creep displacements
- Reduced yield and fracture stress, elastic moduli

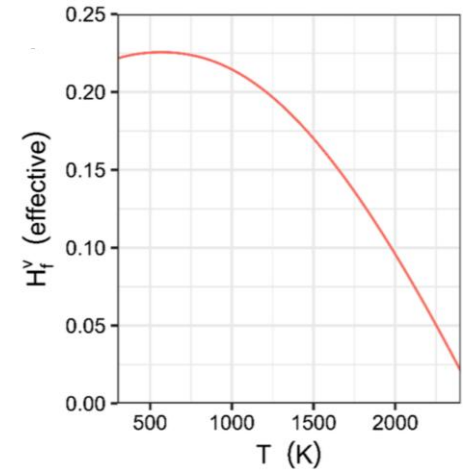
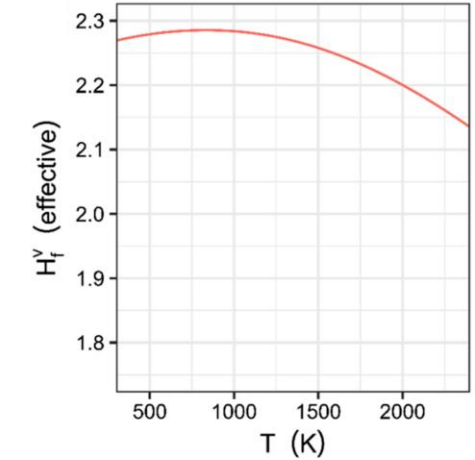
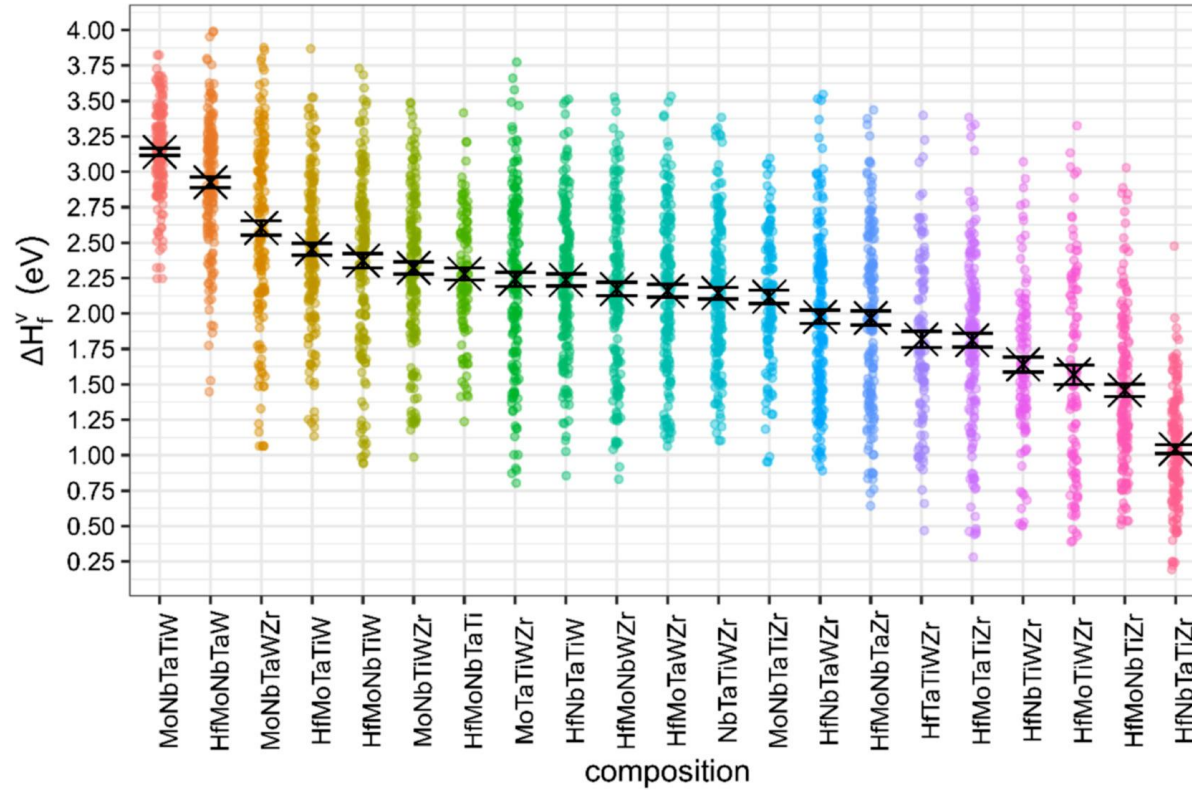
Yang et al. Yuasa et al. *Materials Transactions*, Vol. 49, No. 10 (2008).
 Cui et al. *Coatings* 2024, 14(1), 63.
Physica B: Condensed Matter Volume 407, Issue 12, 15 June 2012, Pages 2234-2238.
 Peng et al., *International Journal of Mechanical Sciences* Volume 218, 15 March 2022, 107065.



Vacancies in Multi-Element Alloys



- Tail states can get activated at low temperatures
- Reduced effective formation energy
- Increased vacancy concentration



$$E_{\text{vac}}^{\text{eff}}(T) = -k_B T \times \log \left[\int g(E_v^f) \exp \left(-\frac{E_v^f}{k_B T} \right) dE_v^f \right]$$

Zhang et al. *Computational Materials Science* Volume 190, 1 April 2021, 110308., 2) Wilson et al. *Materialia* Volume 28, May 2023, 101764.

Methodology

1. Define composition space

a) Base alloy: Face Centered Cubic Ni with 108 atoms

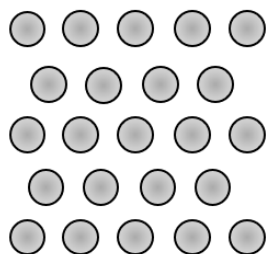
b) Alloying elements

- Major transition metals (up to 33%)
- Refractory metals (up to 16%)
- Minor transition metals (up to 11%)

2. Density functional theory calculations for various 2-6 element alloys

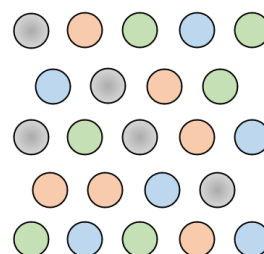
(36 binary, 20 ternary, 11 quaternary, 9 quinary, and 3 senary)

									13 Al
22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga
40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In
72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl



Single element in ground state

$$\mu = E_{atom}$$



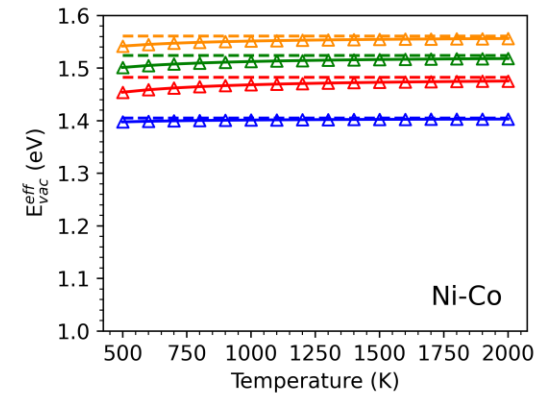
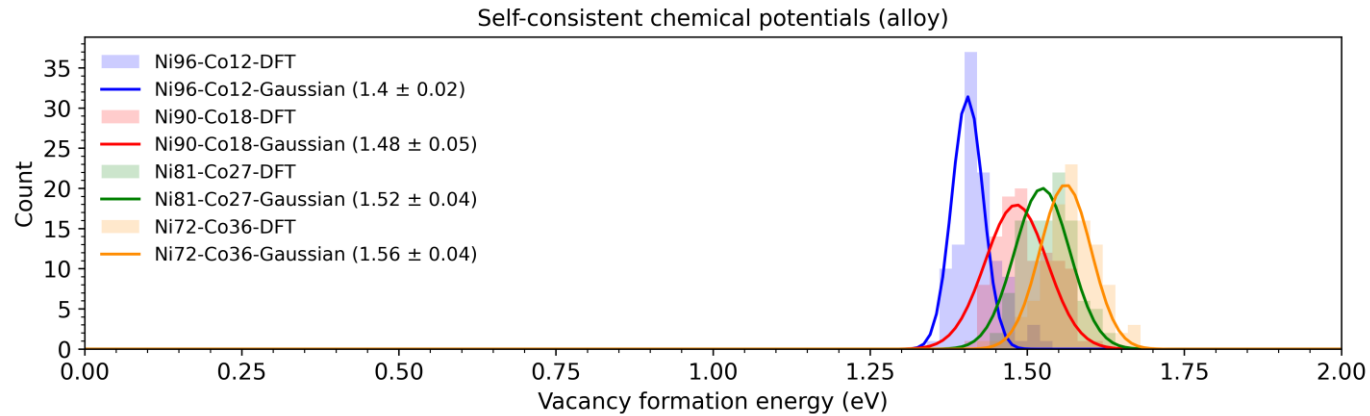
Self-consistent chemical potential in alloy

$$\mu_{el} = E_0^{DFT} + E_{vac}^{mean} - \frac{1}{N_{el}} \sum_{i=1 \dots N_{el}} E_{v,i}^{DFT}$$

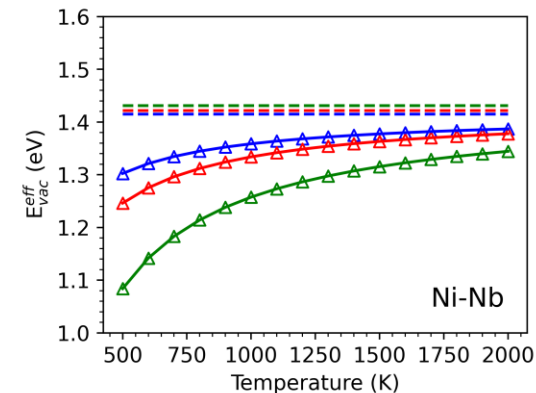
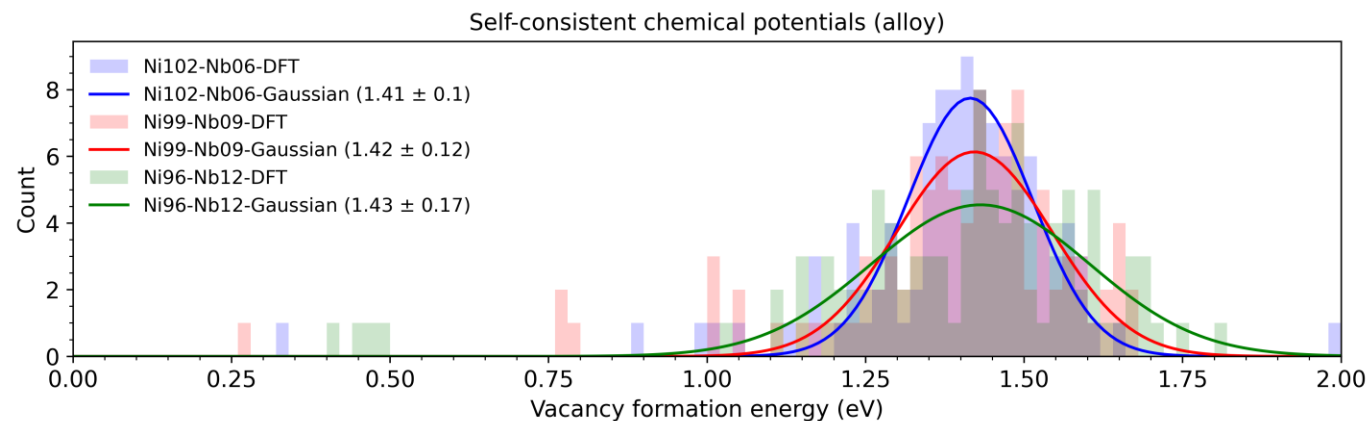
Zhang et al. *Computational Materials Science* Volume 190, 1 April 2021, 110308



Ni-Transition Metal Binary Alloy Systems



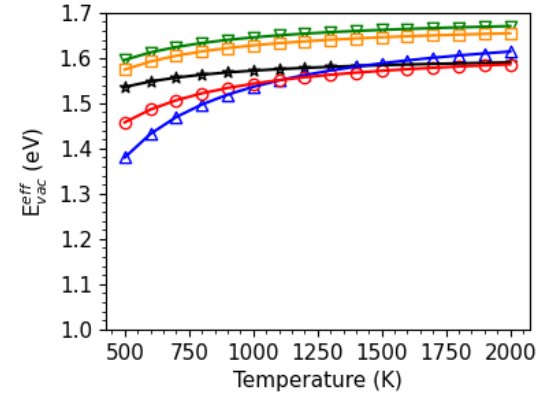
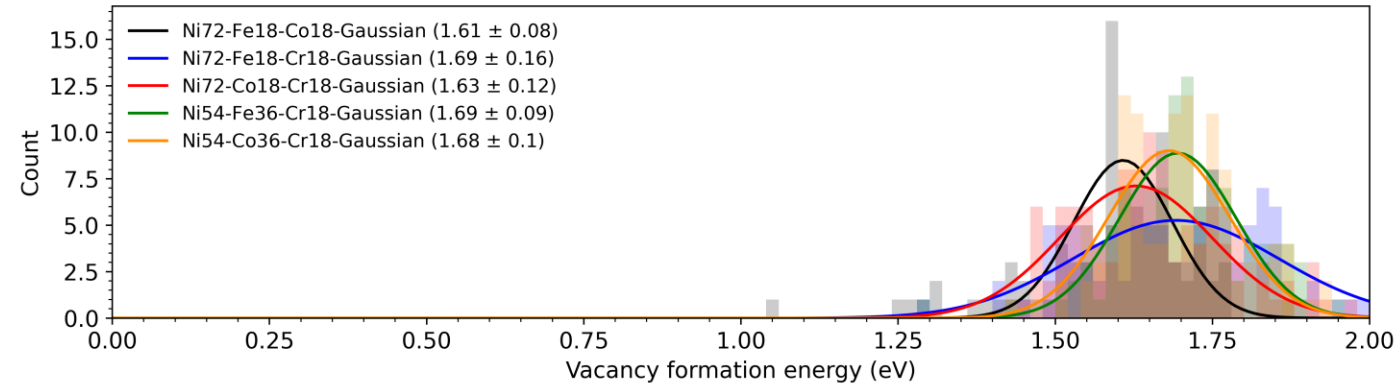
- Distribution shifts toward higher energies with increasing Co content
- Similar standard deviations for all compositions
- Parallel $E_{vac}^{eff}(T)$ profiles



- Larger standard deviations for Ni-Nb
- Reduced $E_{vac}^{eff}(T)$ values at low temperatures

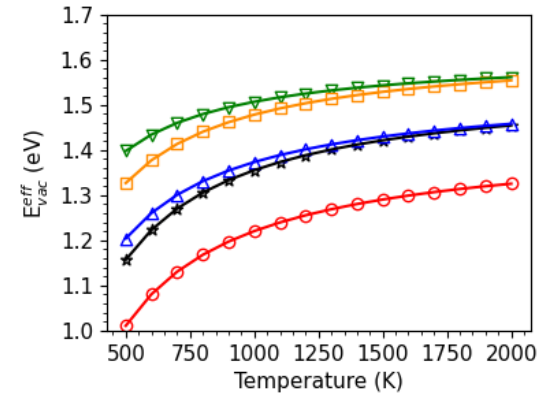
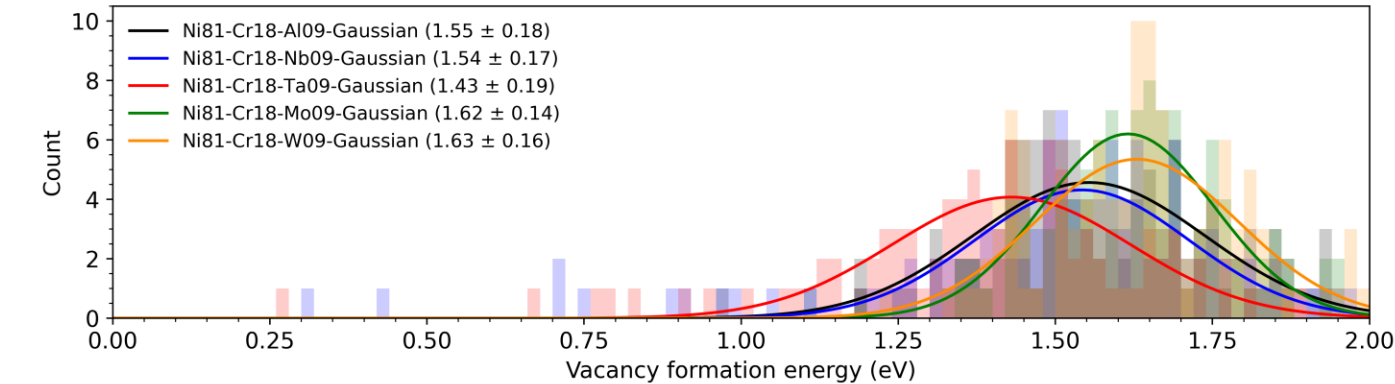
Effects in Multi-Element Alloys

Self-consistent chemical potentials (alloy)



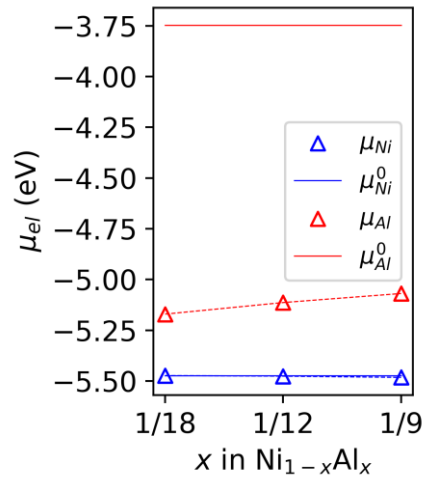
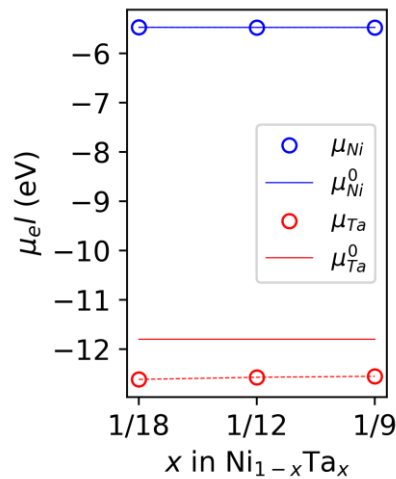
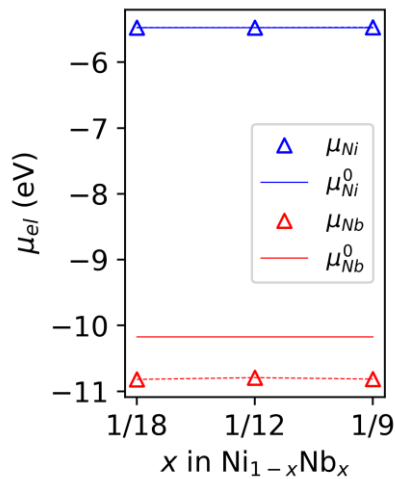
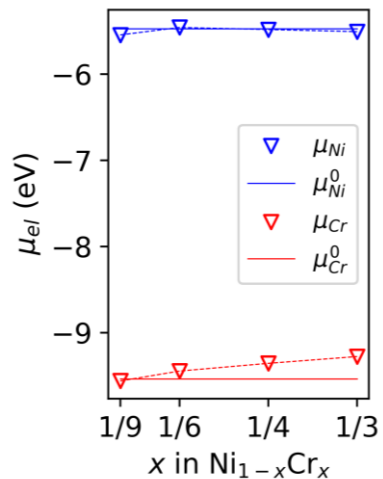
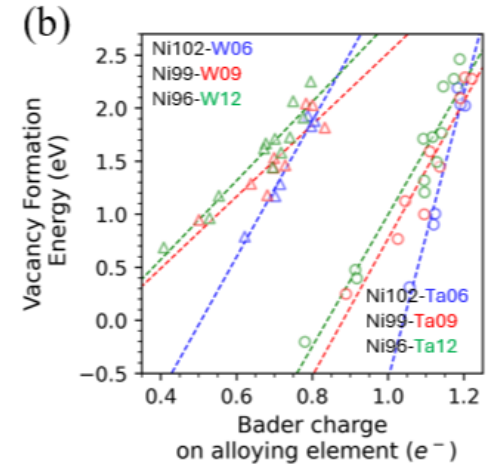
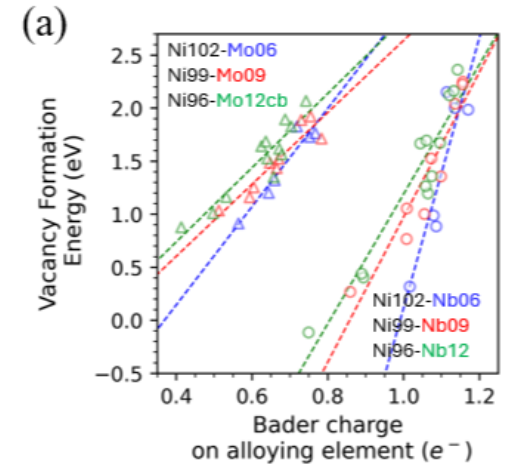
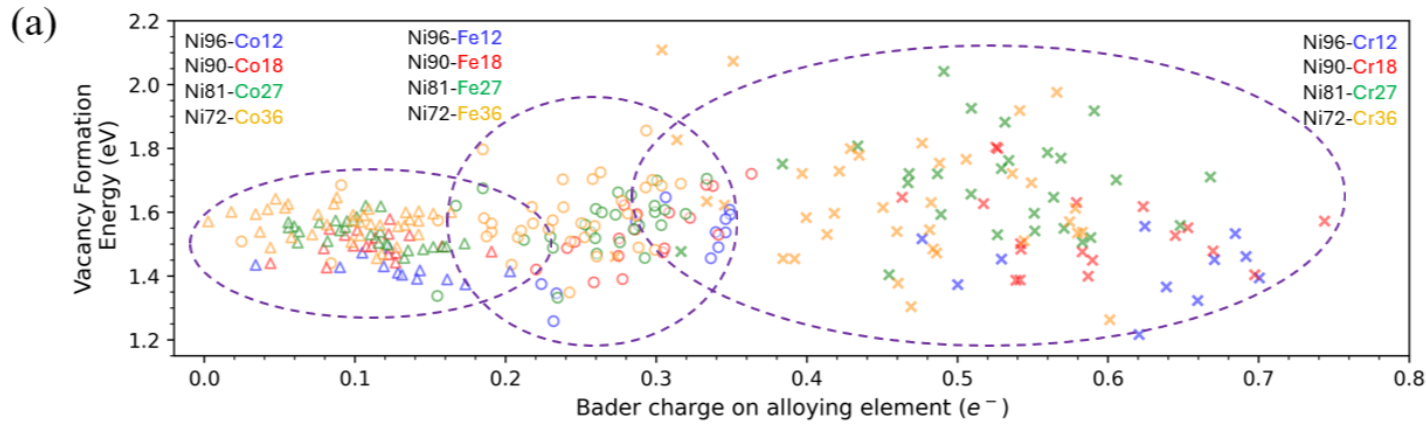
- Adding Fe/Co/Cr
- $\sigma(E_{vac}) \sim 0.1-0.15$ eV
- $E_{vac}^{eff} \sim 1.4-1.6$ eV at 500 K

Self-consistent chemical potentials (alloy)



- Combining Cr and Ta/Nb
- $\sigma(E_{vac}) \sim 0.14-0.2$ eV
- $E_{vac}^{eff} \sim 1$ eV at 500 K

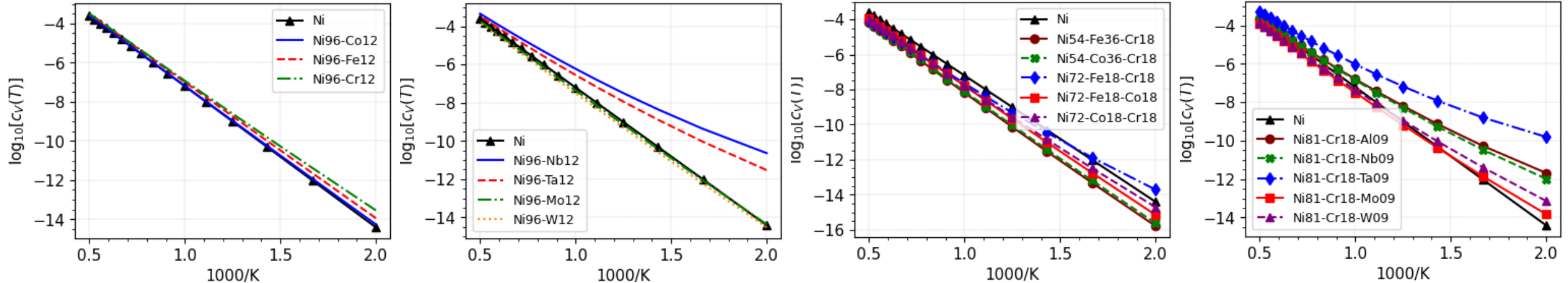
Correlations with Charge Localization and Impact on Chemical Potential



- Large charge localization at Cr, Nb, Ta, and Al sites results in decreased chemical potentials
- Impacts $\mu(E_{vac})$ and $\sigma(E_{vac})$ values

Effects systematically observed in multi-element alloys

Impact on Vacancy Concentration

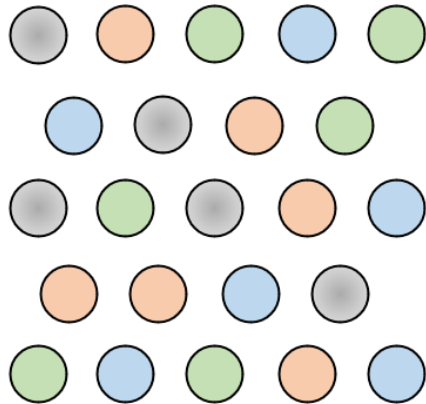


- **Minimal impact of Co and Fe in binary alloys, 1 order of magnitude rise upon adding Cr**
- **At 500 K, vacancy concentration increases 3-4 orders of magnitude upon Nb and Ta addition**
- **In ternary alloys:**
 - Adding Cr and Ta increases vacancy concentration by ~ 5 orders at 500 K
 - Adding Cr and Nb/Al results in ~ 3 orders increase

Goal: Screen Ni-alloys with high $\mu(E_{vac})$ and low $\sigma(E_{vac})$

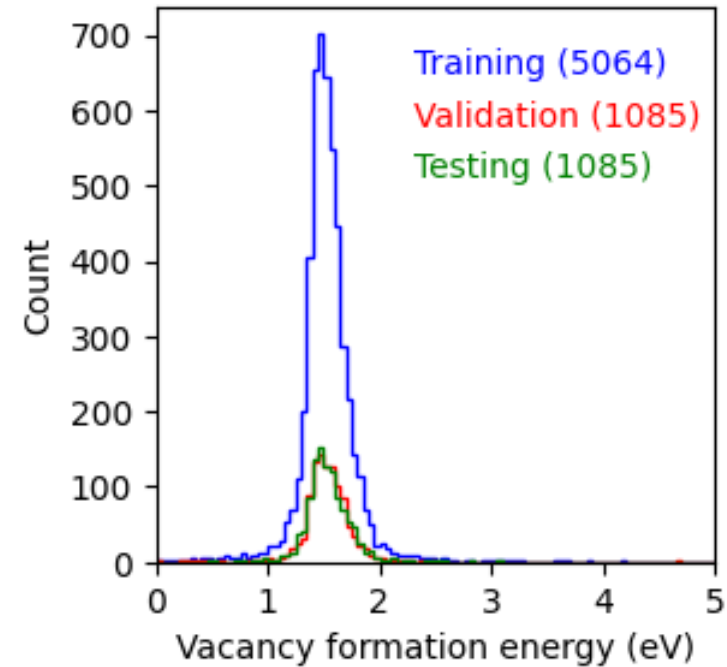
Graph Neural Networks

- FCC lattice constant using rule-of-mixtures
- Create vacancy
- Construct graph using ALIGNN model



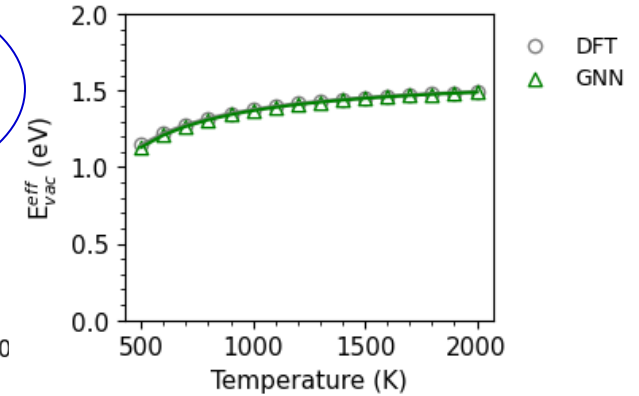
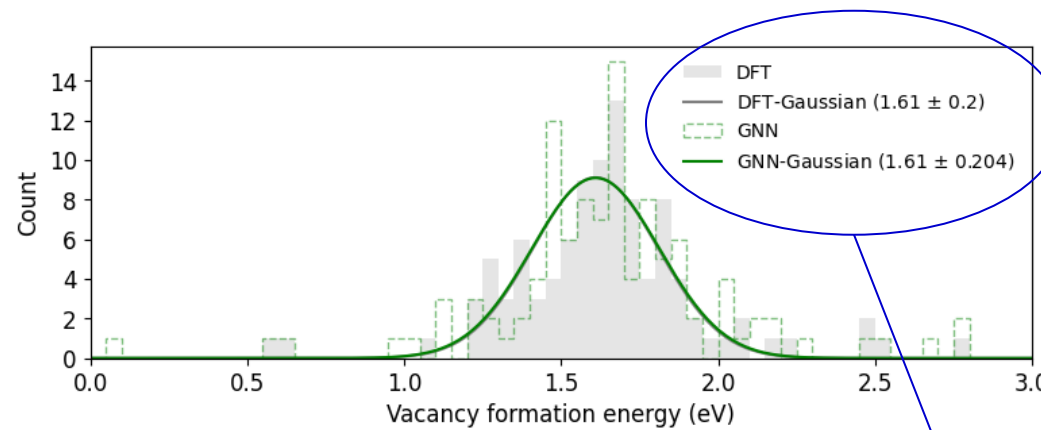
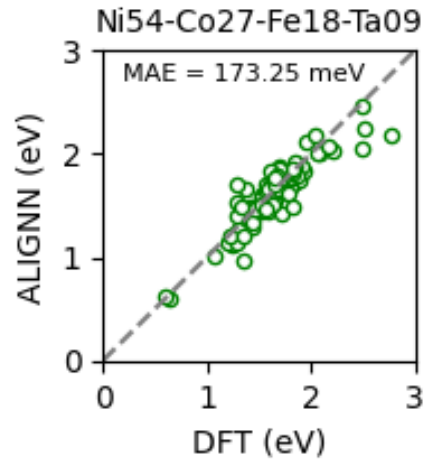
ALIGNN: Choudhary and DeCost, *npj Computational Materials* volume 7, Article number: 185 (2021).

70-15-15 Training-Validation-Testing Split



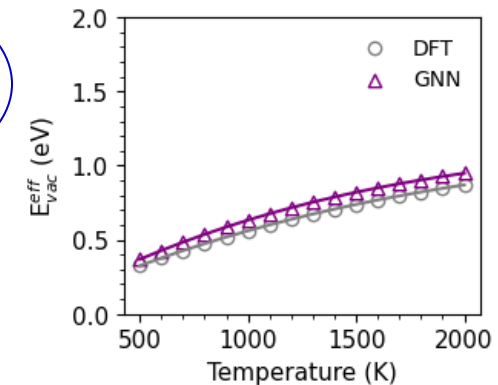
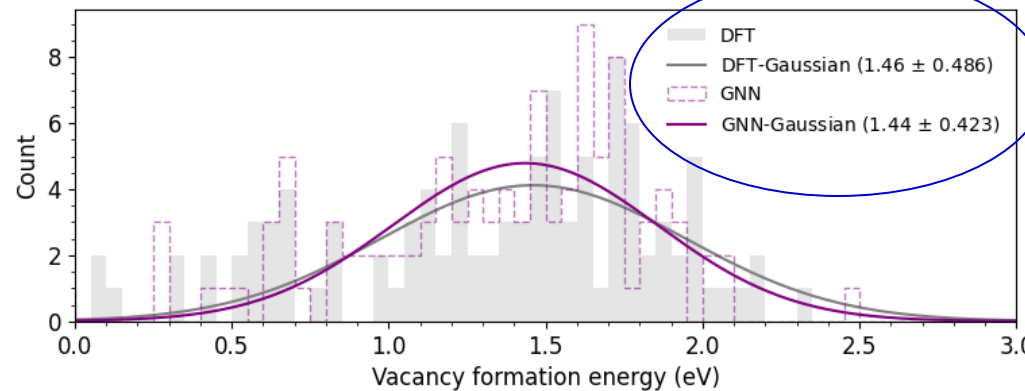
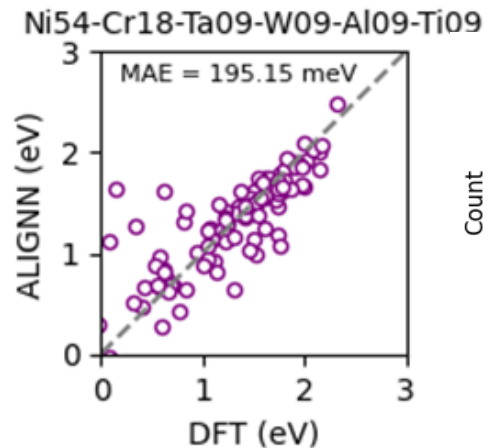
Other regression models are not very efficient

ML-Predicted Energy Distributions

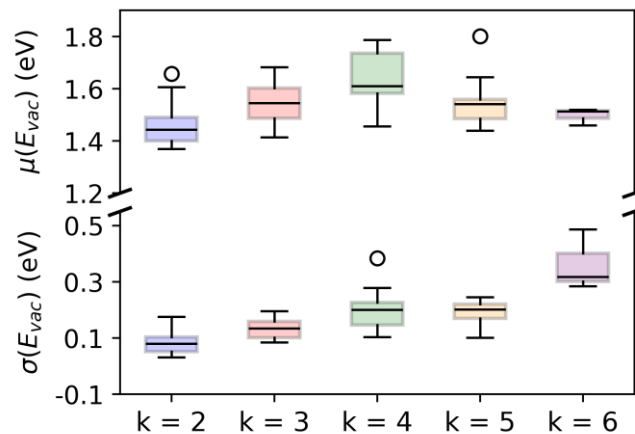
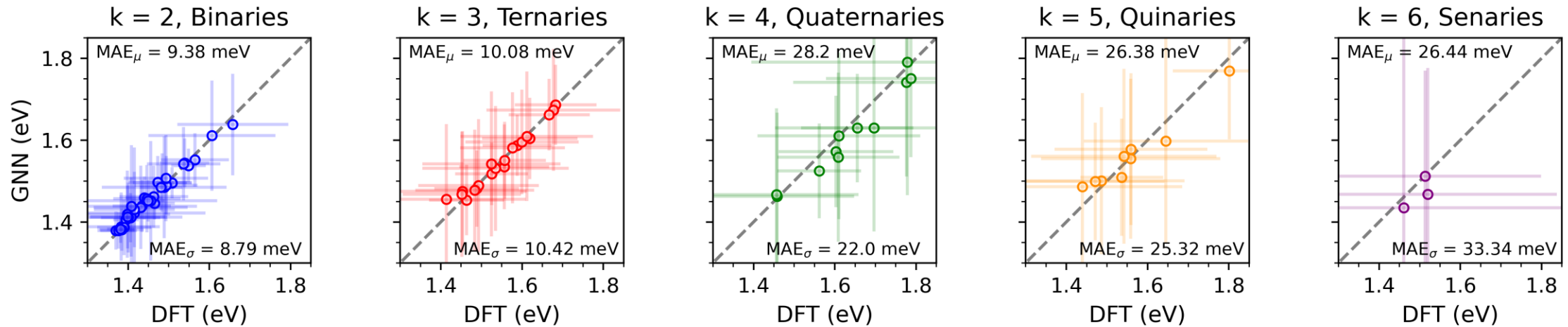


Error over each atomic site for this composition

Much lower errors for $\mu(E_{vac})$ and $\sigma(E_{vac})$



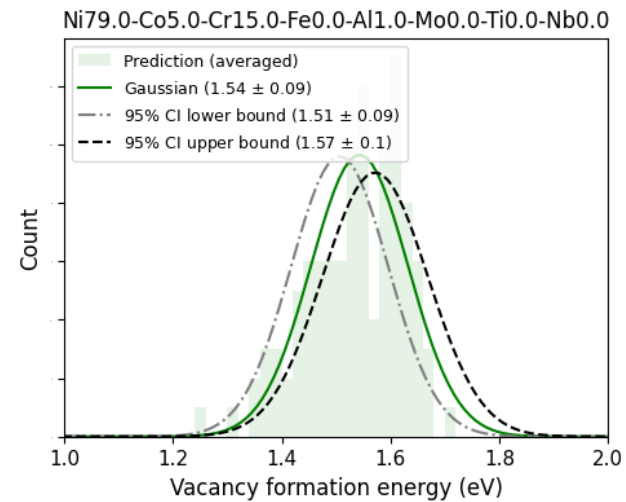
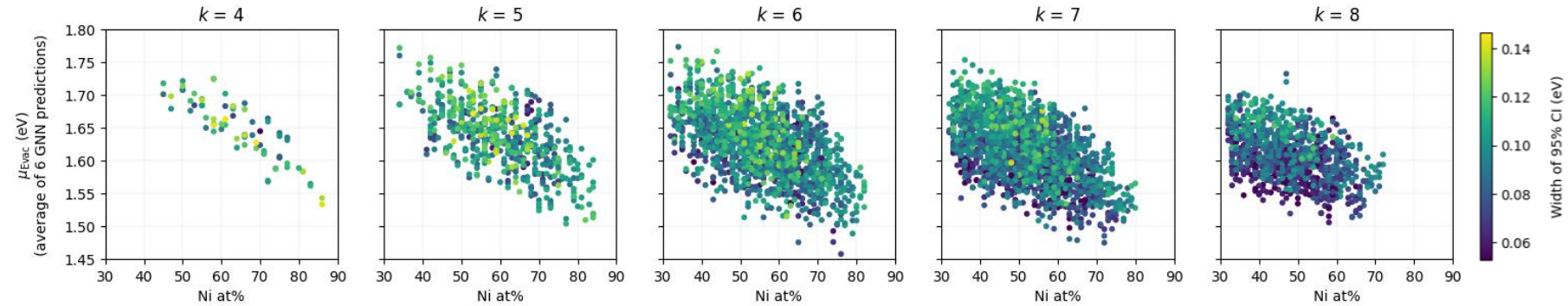
ML-Predicted Distributions for $\mu(E_{vac})$ and $\sigma(E_{vac})$



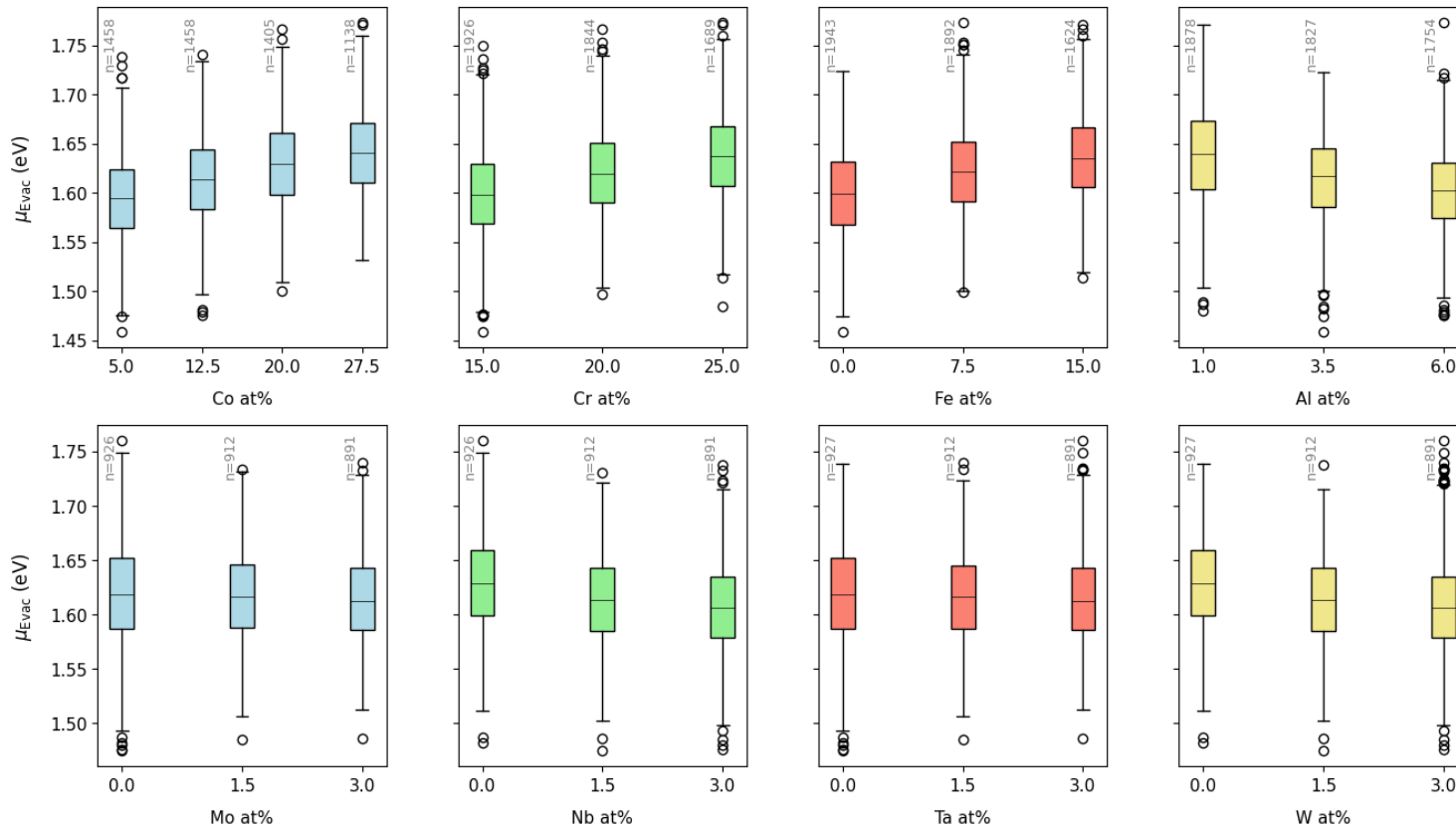
- $\mu(E_{vac})$ in 4, 5, 6-element alloys remains high 1.34-1.81 eV (value in pure Ni = 1.4 eV)
- However, $\sigma(E_{vac})$ is as low as 0.49 eV \rightarrow vacancy formation energy as low as 0.5 eV at 1000 K \rightarrow large vacancy concentrations

Screening Alloys with Low Vacancy Concentrations

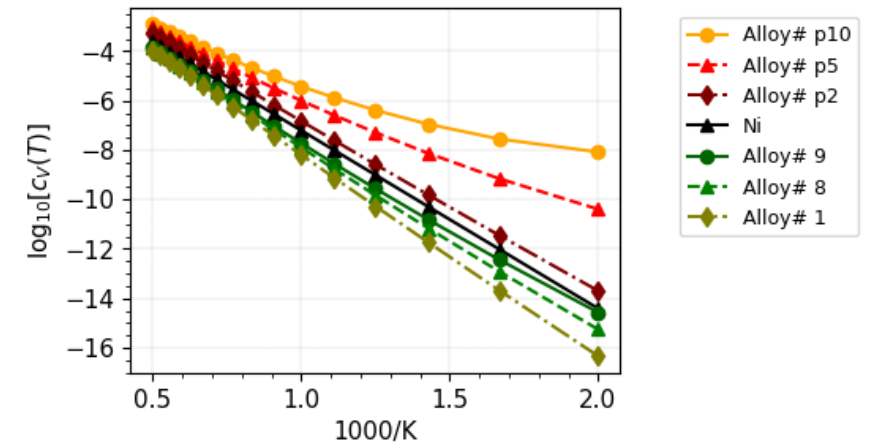
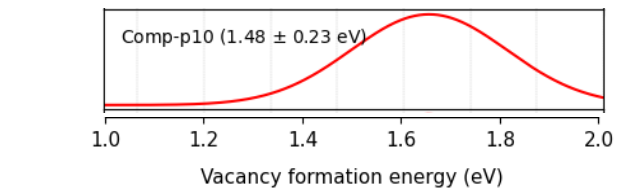
Composition (at%)			
Elem	Lower bound	Upper bound	δ
Ni	Balance ≥ 30		
Co	5	27.5	7.5
Cr	15	25	5
Fe	0	15	7.5
Mo/W	0	3	1.5
Nb/Ta	0	3	1.5
Al	1	6	2.5
Ti	0	4	2
Total	2730 x 2 = 5460		



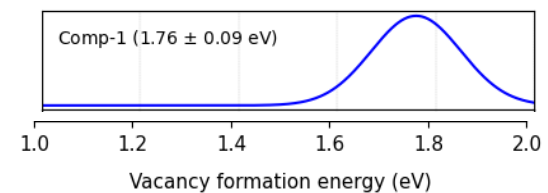
Screening Alloys with Low Vacancy Concentrations



Ni67.0-Co5.00-Cr15.0-Al6.0-W0.0-Ti4.0-Ta3.0



Ni31.5-Co27.5-Cr25.0-Fe15.0-Al1.0



- ML models rapidly identify alloys with $\mu(E_{vac}) > 1.75$ eV and $\sigma(E_{vac}) < 0.1$ eV
- Vacancy concentration $\sim 10^2$ lower than in pure Ni



Conclusion and On-Going Work

- Cr/Nb/Ta/Al/Ti increased $\sigma(E_{vac})$ and introduced low-energy vacancy states
- ML models rapidly identify alloys with $\mu(E_{vac}) > 1.75$ eV and $\sigma(E_{vac}) < 0.1$ eV
- Vacancy concentration 2 orders of magnitude lower than pure Ni at 1000 K
- These alloys are rich in Fe-Co-Cr and contain Al, W

AI/ML/Data Informatics for Materials Discovery: Bridging Experiment, Theory, and Modeling: Property and Performance Predictions

- Predicting the High-Temperature Oxidation Response of Nickel Superalloys Using CALPHAD-Enhanced Machine Learning – *Aditya Sundar*
- A Design-Focused Machine Learning Framework for Creep Behavior in Structural Alloys - *Madison Wenzlick*

Multivariate Linear Regression

