

1 **The Macroevolution of Filamentation Morphology Across the *Saccharomycotina* Yeast**
2 **Subphylum**

3
4 Christina M. Chavez^{1,2}, Marie-Claire Harrison^{1,2}, Thodoris Danis^{1,2}, Marizeth Groenewald³,
5 Chris Todd Hittinger⁴, and Antonis Rokas^{1,2,*}

6
7 ¹ *Department of Biological Sciences, Vanderbilt University, Nashville, TN 37235, USA*

8 ² *Evolutionary Studies Initiative, Vanderbilt University, Nashville, TN 37235, USA*

9 ³ *Westerdijk Fungal Biodiversity Institute, 3584 Utrecht, The Netherlands*

10 ⁴ *Laboratory of Genetics, DOE Great Lakes Bioenergy Research Center, Wisconsin Energy*

11 *Institute, Center for Genomic Science Innovation, J.F. Crow Institute for the Study of Evolution,*

12 *University of Wisconsin-Madison, WI 53726, USA*

13
14 **Corresponding author:** antonis.rokas@vanderbilt.edu

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16 **Running title:** Macroevolution of yeast filamentation morphology

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18 **Keywords:** evolutionary cell biology, hyphae, pseudohyphae, *Saccharomycotina*, ancestral state
19 reconstruction, machine learning, convergent evolution

20 **Abstract**

21 *Saccharomycotina* are a subphylum of ascomycete fungi with diverse asexual growth
22 morphologies. Filamentous growth can comprise linear and branched budding cells that do not
23 undergo cell separation, termed pseudohyphae, or tubular filaments with septa that perforate
24 allowing movement of organelles, termed true hyphae. We integrated phenotypic, genomic,
25 metabolic, and environmental data on isolation sources from 1,051 species to examine the
26 variation and evolutionary history of filamentation across *Saccharomycotina* and determine
27 whether these data could predict filamentation types. We found that 63.37% of strains can form
28 filaments; 6.56% true hyphae, 42.40% pseudohyphae, and 14.39% both true hyphae and
29 pseudohyphae. The distributions of species that can produce true hyphae or filament were more
30 strongly correlated with the yeast phylogeny than the distribution of species with pseudohyphae.
31 Ancestral state reconstruction suggested that true hyphal and pseudohyphal morphologies
32 evolved several times, that most yeast ancestors likely produced pseudohyphae or lacked
33 filaments, and that the *Saccharomycotina* last common ancestor likely produced pseudohyphae
34 but not true hyphae. Machine learning models trained on genomic and metabolic features
35 predicted filament morphologies with ~70% accuracy. Connecting the evolution of morphologies
36 to their genomic, physiological, and ecological characteristics will enrich our understanding of
37 how the diversity of lifestyles evolved in *Saccharomycotina*.

38

39

40 **Introduction**

41 Fungi are a diverse group of eukaryotic organisms that exhibit unicellular (yeast) and/or
42 multicellular (filamentous) morphologies during vegetative growth (Powers-Fletcher et al., 2016)
43 In general, filamentous growth is important for response to environmental changes and stress,
44 aids with biofilm formation, and increases nutrient acquisition. For example, filamentous fungi
45 in soil environments may transfer water through their filamentous mycelial networks (Pérez-
46 Izquierdo et al., 2021). Induction of filamentous growth can be especially advantageous to
47 organisms in nutrient-poor and variable environments (Berman, 2006; Karasz et al., 2022). For
48 example, several pathogenic and commensal fungi can thrive in a variety of animal host
49 environments by utilizing filamentous morphologies during stressful conditions, such as changes
50 in pH, temperature, and nutrients (Chow et al., 2021; De Paula et al., 2023; Du et al., 2020).

51

52 *Saccharomycotina* is a subphylum of Ascomycota that originated approximately 438 Ma ago and
53 contains approximately 1,200 known species (Groenewald et al., 2023; Opulente et al., 2024;
54 Shen et al., 2020). Even though *Saccharomycotina* are commonly referred to as yeasts, several
55 species in the subphylum can also exhibit filamentous growth (Chavez et al., 2024; Kurtzman et
56 al., 2011). Additionally, yeast morphologies have independently evolved in several other fungal
57 lineages (Kiss et al., 2019; Nagy et al., 2014). Filamentous growth in *Saccharomycotina*
58 manifests itself through two different types of filaments or hyphae, termed pseudohyphae and
59 true hyphae. Pseudohyphae are chains of budding cells in which the daughter cells have stayed
60 attached to their mother cells. True hyphae are highly polarized tubular cells with septae that
61 allow for perforation of organelles or multinucleation. In *Saccharomycotina* that can filament,

62 one can typically observe both yeast cells and filaments (pseudohyphae and/or true hyphae) in
63 the same culture. In some species, the switch between the different types of growth can depend
64 on the environment. For example, environmental stresses, such as changes in temperatures and
65 low glucose availability, are associated with the production of filaments in human hosts for
66 pathogenic species, such as *C. albicans* and *Candidozyma auris* (synonym *Candida auris*)
67 (Chow et al., 2021; Du et al., 2020). Furthermore, in certain culture media, *C. albicans* yeast
68 cells form at 25°C, pseudohyphae at 30°C, and true hyphae at 37°C (Mukaremera et al., 2017).
69 However, it should be noted that *C. albicans* has been shown to adopt several additional
70 morphologies in mammalian hosts (Noble et al., 2017). Finally, some *Saccharomycotina* species
71 always or nearly always exhibit filamentous growth (and seldom or never grow as yeasts),
72 including several species in genera across the order *Dipodascales*, such as *Dipodascus*,
73 *Geotrichum*, and *Magnusiomyces* (Kurtzman et al., 2011).
74
75 The genetic pathways required for filamentation have been extensively studied in species such as
76 *S. cerevisiae* and *C. albicans* (Cullen & Sprague, 2012), and they are related to the cell polarity
77 and biofilm formation pathways (Bi & Park, 2012; Chiou et al., 2017). An important genetic
78 pathway that allows for reorganization of cell polarity to produce filaments is the MAPK
79 signaling pathway. There are many genes that are required for both the cell polarity and MAPK
80 signaling pathways; for example, regulators of the polarisome bind active GTPase Cdc42p to
81 establish a site of polarity for cell growth, and regulator kinases recruit Cdc42p to activate
82 downstream MAPK signaling. Further, a MAPK kinase, Fus3p, phosphorylates Bni1p, a
83 component of the polarisome; when the *FUS3* gene is deleted cell polarization does not function
84 properly (Matheos et al., 2004). Cell polarity allows for the growth of budding cells, as well as

85 pseudohyphae and true hyphae. When filamentous cells grow continuously, they can form
86 biofilms (Váchová & Palková, 2018). Biofilm formation increases cell-cell adhesion using cell
87 surface proteins, such as Flo11p, which is one of the flocculins required for filamentation in *S.*
88 *cerevisiae* (Van Mulders et al., 2009; Verstrepen & Klis, 2006). Expression of *FLO11* is also
89 under the control of the MAPK and Snf1 pathways (Laxman & Tu, 2011; Van Mulders et al.,
90 2009; Verstrepen & Klis, 2006).

91
92 It is well established that *Saccharomycotina* yeasts evolved from filamentous ancestors and that
93 this evolutionary transition was likely facilitated through gene losses and the co-option of
94 conserved genetic pathways for yeast-like growth (Nagy et al., 2014). What remains unclear is
95 whether *Saccharomycotina* lineages ancestrally retained the ability to filament or lost and
96 regained it in their subsequent evolution. To examine the evolution of filamentous and yeast-like
97 growth across *Saccharomycotina*, we cataloged the variation and distribution of filamentous
98 (pseudohyphae and true hyphae) and yeast morphologies across the subphylum, examined their
99 phylogenetic conservation, and inferred their evolutionary history. We also employed machine
100 learning to identify genomic, metabolic, and/or environmental traits that are associated with the
101 observed variation in filamentation across *Saccharomycotina* yeasts.

102 103 **Results**

104 105 **Inter- and intra-ordinal variation of filamentation across *Saccharomycotina* yeasts**

106 To elucidate the variation of filament morphology, we collected all available filament
107 descriptions from reference works on yeast taxonomy (Kurtzman et al., 2011; theyeasts.org) and

108 calculated the frequency of filamentation-associated traits across the 12 taxonomic orders in the
109 *Saccharomycotina* phylogeny (Supplemental Table 1). Of the 1,154 yeast strains, 133 (11.53%)
110 lack information about filamentation. From the remaining 1,021 yeasts, 374 / 1,021 (36.63%) are
111 known to grow only unicellularly, whereas 647 / 1,021 (63.37%) can generate filaments. Among
112 these strains, 433 of 1,021 (42.41%) can only form pseudohyphae, 67 / 1,021 (6.56%) can only
113 form true hyphae, while 147 / 1,021 (14.40%) are polymorphic and can form both pseudohyphae
114 and true hyphae (Figure 1). Interestingly, 435 / 1,021 strains (3.33%) are known to only grow via
115 filamentation, i.e., they are not known to exhibit yeast growth or form buds but instead may
116 generate conidia, blastoconidia, or arthroconidia. Most species of the genera *Dipodascus*,
117 *Geotrichum*, *Galactomyces*, and *Magnusiomyces* (all from the order *Dipodascales*) produce true
118 hyphae that disarticulate into arthroconidial cells. Three *Blastobotrys* species (order
119 *Dipodascales*) generate primary and secondary conidia from true hyphae. Other species that
120 form blastoconidia and / or arthroconidia were found in the orders *Dipodascales* (genus
121 *Saprochaete*) and *Ascoideales* (*Ascoidea rubescens*). *Dipodascus geniculatus* (order
122 *Dipodascales*) and *Dipodascopsis tothii* (order *Lipomycetales*) do not produce yeast cells nor
123 arthroconidia, and *Eremothecium cymbalariae* (order *Saccharomycetales*) is described as “purely
124 hyphal” (Kurtzman et al., 2011).

125
126 The *Saccharomycotina* orders with the highest frequency of filamentation are *Alloascoideales*
127 (100%; 3 / 3 tested strains in the order), *Ascoideales* (80.95%; 17 / 21), *Serinales* (69.53%; 299 /
128 430), *Saccharomycodales* and *Sporopachydermiales* (66.67%; 16 / 24 and 2 / 3, respectively).
129 *Lipomycetales* has the lowest frequency of filamentation (14.71%; 5 / 34), followed by
130 *Trigonopsidales* and *Saccharomycetales* (33.33%; 5 / 15, and 63.38%; 45 / 71, respectively).

131
132 Almost all taxonomic orders of *Saccharomycotina* contain strains that produce pseudohyphae,
133 apart from *Alloascoideales*. Orders *Saccharomycodales* (72.73%; 16 / 22 tested strains in the
134 order), *Sporopachydermiales* (66.67%; 2 / 3), *Serinales* (56.59%; 219 / 387), and
135 *Phaffomycetales* (58.06%; 54 / 93) have the highest frequencies of yeasts that can form
136 pseudohyphae. The orders with the lowest frequencies are *Dipodascales* (19.16%; 32 / 167),
137 *Ascoideales* (11.76%; 2 / 17), and *Lipomycetales* (2.94% ;1 / 34).
138
139 Only 67 / 1,021 tested strains in the order (6.56%) of *Saccharomycotina* yeasts representing
140 seven of the 12 orders are known to produce true hyphae. These are *Dipodascales* (23.95%; 40 /
141 167), *Ascoideales* (17.65%; 3 / 17), *Lipomycetales* (9.09%; 3 / 33), *Serinales* (3.39%; 13 / 384),
142 *Saccharomycetales* (2.59%;3 / 116), *Pichiales* (1.36%; 2 / 148), and all three *Alloascoideales*
143 yeasts.
144
145 Yeasts from seven different orders are known to generate both true hyphae and pseudohyphae.
146 These are (from highest to lowest) *Ascoideales* (70.59% tested strains in the order; 12 / 17),
147 *Dipodascales* (27.55%; 46 / 167), *Serinales* (17.97%; 69 / 384), *Phaffomycetales* (12.90%; 12 /
148 93), *Pichiales* (5.41%; 8 / 148), *Lipomycetales* (2.94%; 1 / 34), and *Saccharomycetales* (0.86%;
149 1 / 116). *Dipodascales* is arguably the order with the highest levels of interspecific variation of
150 filamentation, (23.95%; 40 / 167 yeasts) produce true hyphae, (19.16%; 32 / 167) produce
151 pseudohyphae (27.55%; 46 / 167) produce both pseudohyphae and true hyphae, and (29.34%; 49
152 / 167) are not known to generate filaments. A few other orders exhibit substantial interspecific
153 variation, including *Serinales*: more than half generate pseudohyphae (56.59%; 219 / 387

154 yeasts), others generate both pseudohyphae and true hyphae (17.31%; 67 / 387), a small
155 percentage generates true hyphae (3.36%; 13 / 387), and more than 20% are not known to form
156 filaments (22.74%; 88 / 387). In contrast, other orders exhibit lower levels of interspecific
157 variation; for example, most *Saccharomycodales* yeasts are known to form pseudohyphae
158 (72.73%; 16 / 22) and the remaining ones do not produce filaments (27.27%; 6 / 22). Thus
159 overall, there is diversity of filament types both within, but especially between orders with
160 respect to types of filamentation.

161

162 **Filamentation morphologies tend to be evolutionarily conserved**

163 To determine if the pattern of variation in filamentation reflects the *Saccharomycotina*
164 phylogeny, we calculated the phylogenetic signal D (Fritz & Purvis, 2010) of each
165 morphological trait. Values of D near 1 reflect phylogenetic randomness (i.e., trait distribution is
166 random with respect to phylogeny), whereas values of D near 0 reflect phylogenetic conservation
167 (i.e., closely related species exhibit more similar traits than species that are more distantly
168 related). When filamentation was treated as a binary trait (presence of any filamentation type vs.
169 absence of filamentation), the phylogenetic signal was high (D value = 0.26, probability of D
170 value resulting from Brownian phylogenetic structure p-value = 0.002). The binary trait of
171 presence/absence of both pseudohyphae and true hyphae had a slightly lower phylogenetic signal
172 (D value = 0.33, p-value = 0.003), whereas the presence/absence of pseudohyphae had an even
173 lower phylogenetic signal (D-value = 0.53, p-value = 0). The presence/absence of true hyphae,
174 despite its low D-value, was not significant (D-value = 0.02, p-value = 0.456), likely due to the
175 relatively small number of yeasts can generate true hyphae. These results suggest that

176 filamentation-related traits are generally, but not always, phylogenetically conserved and that
177 closely related species tend to exhibit similar filamentation morphologies.

178

179 **Evolutionary history of *Saccharomycotina* confirms filamentous ancestors**

180 To estimate the evolutionary history of the different filamentation traits, we performed ancestral
181 state reconstruction analyses using different models of trait evolution (see Methods). We
182 analyzed our filamentation data as a single character with three-character states: YEAST,
183 PSEUDOHYPHAE, and TRUE HYPHAE. The model with the best fit (i.e., lowest AIC value) was the
184 ARD model, which assumes that each transition rate (i.e., the instantaneous probability of
185 changing from one character state to another; higher values correspond to higher rates of change)
186 is independent of one another and can have different values in the gain and loss of a trait state
187 (Figure 2A).

188

189 We found that the evolutionary transition rate from yeast to pseudohyphae [(YEAST → YEAST +
190 PSEUDOHYPHAE = 0.007) + (YEAST + PSEUDOHYPHAE → PSEUDOHYPHAE = 0) = 0.007] was
191 slightly higher than the transition rate from yeast to true hyphae [(YEAST → YEAST + TRUE
192 HYPHAE = 0) + (YEAST + TRUE HYPHAE → TRUE HYPHAE = 0.003) = 0.003]. Similarly, the
193 transition rate from pseudohyphae to yeast [(PSEUDOHYPHAE → PSEUDOHYPHAE + YEAST = 0) +
194 PSEUDOHYPHAE + YEAST TO YEAST = 0.008) = 0.008] was higher than the transition rate from true
195 hyphae to yeast [(TRUE HYPHAE → TRUE HYPHAE + YEAST = 0.002) + (TRUE HYPHAE + YEAST →
196 YEAST = 0) = 0.002]. Furthermore, transition rates from filamentous to yeast morphologies were
197 typically higher than those from yeast to filamentous morphologies, consistent with the

198 macroevolutionary transition of the *Saccharomycotina* predominant yeast lifestyle from a
199 filamentous ancestor over evolutionary time.

200

201 The ability to form filaments has evolved five times independently; two of these transitions
202 included pseudohyphae, and the three other transitions included true hyphae. Our inference
203 suggests that most *Saccharomycotina* ancestors produced filaments, and they seldomly could
204 only grow as yeasts (Figure 2B; Supplemental Figure 1). The *Saccharomycotina* last common
205 ancestor likely had the ability to filament and was more likely to form pseudohyphae than true
206 hyphae.

207

208 **Genomic and metabolic traits predict the presence of filamentation variation across**

209 *Saccharomycotina*

210 Filamentation is likely due to multiple genetic and environmental factors (Basso et al., 2019;
211 Chow et al., 2021; Cullen & Sprague, 2000). To examine how variation in genomic, metabolic,
212 and environmental features was associated with the variation of filament types observed across
213 the subphylum, we used three recently generated data matrices of genomic features (which
214 included variation in InterProScan IDs for all predicted orthologous genes, gene families, and
215 domains) (Opulente et al., 2024), metabolic growth features (presence/absence of growth in
216 different substrates and environments) (Harrison et al., 2024; Kurtzman et al., 2011; Opulente et
217 al., 2018), and isolation environment features (the descriptions for isolation environments from
218 Kurtzman et al. 2011 of each strain were converted to an environmental trait matrix using an
219 ecological ontology) (M. Harrison et al., 2024; Opulente et al., 2024).

220

221 To examine the relationship between filamentation traits and genomic, metabolic, and
222 environmental features, we trained a random forest machine learning algorithm on the three data
223 matrices independently and tested how well each of these data matrices predicted filamentation.
224 We found that genomic features had moderate accuracy (71%) when predicting filament
225 presence / absence across 1,021 *Saccharomycotina* yeast species (Figure 3A). Accuracy was
226 lower when the algorithm was trained on metabolic features (67%; Figure 3B), and slightly
227 above random (57%) when trained on environmental isolation features (Figure 3C). The low
228 accuracy achieved with environmental isolation features is expected as the isolation environment
229 may be different than the environment that most commonly contains that strain.

230
231 Top genomic features that predicted filament production best according to Gini impurity
232 included InterPro features of N-acetylglucosamine metabolism, fatty acid metabolism, and cell
233 polarity. The top two InterPro features were Acyl-CoA dehydrogenase (InterPro ID IPR041726)
234 and HotDog domain of thioesterases and dehydratases (InterPro ID IPR029069), both involved
235 in fatty acid metabolism (Figure 3A). For *S. cerevisiae* and *C. albicans*, fatty acid metabolism,
236 specifically beta-oxidation, is important for growth in low nutrient environments (Wang et al.
237 2024; Otzen et al. 2014). Gene features related to N-acetylglucosamine metabolism, including N-
238 acetylglucosamine-6-phosphate deacetylase (InterPro ID IPR003764) and glucosamine-6-
239 phosphate (InterPro ID IPR004547) (*C. albicans* gene *NAG1*), were also among the top InterPro
240 features. N-acetylglucosamine has been implicated as a signal during formation of hyphae in *C.*
241 *auris* (order *Serinales*) (Du et al., 2020), *C. albicans* (order *Serinales*) (Basso et al., 2019), and
242 *Yarrowia lipolytica* (order *Dipodascales*) (Pérez-Campo & Domínguez, 2001). Other interesting
243 top InterPro features were the CDC24 domain (InterPro ID IPR033511) and the Ras-GTPase

244 activating domain (InterPro ID IPR001936). A daughter cell can begin growth once
245 establishment of polarity occurs which involves the GEF Cdc24p, and other members of the cell
246 polarity network that bind at the plasma membrane to regulate of the accumulation of Cdc42p
247 (Chiou et al., 2017; Diepeveen et al., 2017).

248

249 Given the importance of cell polarity in filamentation and that the process has been very well
250 studied in *S. cerevisiae* (Bi & Park, 2012; Diepeveen et al., 2017), we further examined how cell
251 polarity- and filamentation-related genes predicted the absence and presence of filamentation.

252 We found 20 InterPro features in the top 500 genomic features (Supplemental Table 2A). In
253 addition to CDC24 and GTPase activating proteins (GAPs), InterPro features related to cell
254 polarity included actin patch protein, and cyclins. An InterPro feature required for filamentation
255 included the flocculin Flo11 domain (InterPro ID IPR018789) (Cullen & Sprague, 2000;
256 Lambrechts et al., 1996), which is present in Flo11p, an important protein for biofilm formation
257 (Van Mulders et al., 2009).

258

259 The top 10 metabolic features that best predicted filamentation included growth on different
260 carbon sources (e.g., erythritol, methanol, maltose) and nitrogen sources (e.g., creatine and
261 imidazole) (Figure 3B). Limitation of carbon and nitrogen sources can induce filamentous
262 growth in *S. cerevisiae* and *C. albicans* (Cullen & Sprague, 2012; Du et al., 2020). Three α -
263 glucosides (i.e., salicin, maltose, and methyl- α -D-glucoside) were among the top 10 features.
264 Interestingly, recent studies have shown that the ability to grow on numerous α -glucosides is
265 significantly associated with the size of the gene family encoding α -glucoside transporters
266 (AGTs), a gene family with a rich history of gene duplications across *Saccharomycotina*

267 (Crandall et al., 2024; David et al., 2025). Notably, several α -glucosides have been shown to
268 induce filamentation in *S. cerevisiae* (Van De Velde & Thevelein, 2008). Other top metabolic
269 features that were predictors of filamentation included growth in succinate, which has been
270 previously found to promote growth in *Magnusiomyces magnusii* (order *Dipodascales*) that is
271 known to only generate septate hyphae (Il'chenko et al., 2005), and amyloid, or starch,
272 formation, which occurs during the production of filamentous biofilms in species such as *C.*
273 *albicans* (Mourer et al., 2021) (Supplemental Table 2B). Finally, the top isolation environment
274 features associated with filamentation were “animals” and “isolation from *Citrus* plants” (Figure
275 3C).

277 **Discussion**

278 Our study describes the variation of filamentation, and the genomic and metabolic features
279 associated with it across the *Saccharomycotina* subphylum. Even though *Saccharomycotina*
280 organisms are commonly referred to as “yeasts”, we found that most species in the clade
281 (63.37%) can filament. However, filamentation ability varies considerably across
282 *Saccharomycotina*, especially between taxonomic orders (Figures 1 and 2). Both the ability for
283 filamentous growth and for pseudohyphal growth were phylogenetically conserved, suggesting
284 that closely related species tend to exhibit similar cellular morphologies (Figure 2).

285
286 Past examinations of representatives from diverse fungal clades suggest that the ability to
287 produce filaments is ancient and has been marked by repeated acquisitions of the yeast lifestyle
288 within various lineages, such as *Saccharomycotina*, *Peizizomycotina*, and *Taphrinomycotina*
289 (Harris, 2011; Kiss et al., 2019). In this study, through ancestral state reconstruction of the

290 filamentation morphologies of over 1,000 species, we infer that the *Saccharomycotina* last
291 common ancestor was most likely capable of filamentous growth (Figure 4). Interestingly, the
292 last common ancestor most likely produced pseudohyphae (Figure 2B); taxa with the ability to
293 generate true hyphae likely originated from ancestors capable of pseudohyphal growth (Figure
294 2A). Given that *Saccharomycotina* yeasts emerged from ancestors that grew as true hyphae (e.g.
295 the Dikarya last common ancestor) and the true hyphal growth of species in the sister subphylum
296 *Pezizomycotina*, it is perhaps surprising that the *Saccharomycotina* last common ancestor is
297 inferred to have been capable of pseudohyphal growth rather than true hyphal growth. Studies of
298 the molecular mechanisms governing filamentation in *C. albicans* have shown that true hyphal
299 growth mechanistically differs from pseudohyphal growth in both the polarized growth
300 mechanisms involved and in cell cycle organization (Sudbery, 2011). However, the differences
301 in mechanisms are largely regulatory, and numerous mutations have been described that result in
302 true hyphal growth, instead of pseudohyphal growth, and vice versa (Sudbery, 2011), suggesting
303 that there are likely numerous mutational paths that can give rise to true hyphal growth.
304 Furthermore, comparative genomics studies have shown that the genetic machinery governing
305 filamentation, whether involving pseudohyphae or true hyphae, is ancient (Wu et al., 2022) and
306 has been evolutionarily retained, albeit in reduced form, in *Saccharomycotina* yeasts (Kiss et al.,
307 2019).

308

309 It is well established that model species across fungi utilize both yeast and filamentous lifestyles
310 to adapt to their surrounding environment (Berman, 2006; Karasz et al., 2022; Naranjo-Ortiz &
311 Gabaldón, 2019). Filamentous growth across *Saccharomycotina* yeasts was predicted with
312 moderate accuracy from genomic features (71% accuracy) and metabolic features (67%

313 accuracy) using machine learning. Genomic features associated with filamentation were related
314 to fatty acid metabolism and cell polarity, while metabolic features included carbon (e.g., α -
315 glucosides) and nitrogen sources (Figure 3). Growth on maltose, an α -glucoside that was the
316 second most important metabolic feature, has been shown to result in elongated cell growth and
317 induced filamentous MAPK signaling relative to glucose (Vandermeulen & Cullen, 2023).

318

319 What are the evolutionary and ecological dimensions of this extensive variation in the cellular
320 morphologies of *Saccharomycotina* species? It is well established that ecologically relevant
321 compounds can trigger filamentation in model yeasts, such as *S. cerevisiae* (Vandermeulen &
322 Cullen, 2023). Experimental evolution of unicellular *S. cerevisiae* from clump-forming ancestors
323 showed that clump-formers tend to be more resistant to a variety of stressors than their
324 unicellular counterparts at the cost of slower growth (Kuzdzal-Fick et al., 2019). While it is
325 tempting to assume that the different morphologies likely offer selective advantages in particular
326 environments, recent laboratory evolution experiments by Farkas and coworkers showed that
327 new cellular morphologies can emerge as a byproduct of compensatory evolution (Farkas et al.,
328 2022).

329

330 In summary, examination of filamentation across *Saccharomycotina* showed that it is widespread
331 and that true hyphal, pseudohyphal, and yeast morphologies are common. The abundance of
332 observed variation raises several interesting questions, including: Are there specific
333 environmental and/or nutritional conditions that favor filamentous vs. yeast growth? What are
334 the molecular mechanisms that govern polymorphism within individual species? What
335 evolutionary changes gave rise to the observed variation in morphologies across the subphylum?

336 The availability of genomic, metabolic, and ecological data for nearly every species of
337 *Saccharomycotina* (M. Harrison et al., 2024; Opulente et al., 2024) coupled with subphylum-
338 level systems and assays for dissecting gene and pathway functions (e.g., broadly active state-of-
339 the-art genome-editing tools (Kuang et al., 2018) and genome-enabled multi-omics (Aranguiz et
340 al., 2024; Horianopoulos et al., 2025) make the subphylum a superb system for understanding the
341 relationship between two hallmark fungal morphologies.

343 **Methods**

344 **Data collection from theyeasts.org**

345 Kurtzman et al. (2011) and its online resource theyeasts.org contain information on the growth
346 profiles of the type strains of all known yeast species, including most *Saccharomycotina* yeast
347 species, as well as descriptions of their asexual, sexual, and filamentous morphologies. The
348 Dalmau plate culture, which uses cornmeal agar and incubation temperatures between 20° C and
349 25° C to create a microaerobic environment that induces stress and the formation of filaments,
350 was used for most strains to induce filamentous growth; if the yeast grew filaments of
351 pseudohyphae and true hyphae, their presence and further details of growth type were included.
352 To induce yeast growth, either glucose-yeast extract-peptone agar or YM broth or agar (4% malt
353 extract / 0.5% yeast extract agar). For each *Saccharomycotina* yeast species, filamentation types
354 were input into a morphology trait matrix (MTM) in which filamentous traits were formatted as
355 binary absence / presence traits. Some species were described in theyeasts.org to generate both
356 pseudohyphae and true hyphae and were considered polymorphic. Some species were not
357 included in theyeasts.org; thus, the total number of strains was 1,021 from 979 species of
358 *Saccharomycotina* yeasts.

359

360 It is important to note that the morphological traits, i.e., the presence of pseudohyphae, true
361 hyphae and yeasts, used for this study were observed in using growth in Dalmau plate cultures
362 and YM growth media. Dalmau plate cultures have been shown to induce hyphal growth, and
363 YM media are commonly used for yeast culture and growth, but there are other media that were
364 not considered in this study. Furthermore, the life cycles are not known for all 1,154
365 *Saccharomycotina*. Therefore, the pattern of trait presence / absence in some strains may differ
366 when other growth media are used.

367

368 **Variation of filamentation across *Saccharomycotina* yeasts**

369 Categorical values from the MTM were used as data input for iTOL software version 7 (Letunic
370 & Bork, 2024) to generate a visual map of filamentation types across the entire sub-phylum of
371 *Saccharomycotina* yeasts. The frequency of filamentation was quantified as frequencies per
372 number of species in each specified order. For example, *Lipomyces* order has data from 36
373 yeasts; of those, three are not included in the database (8.33%), 29 do not form filaments
374 (87.88%), one can produce pseudohyphae (3.03%), three can produce true hyphae (9.09%), and
375 none are polymorphic for pseudohyphae and true hyphae (0%). Histograms with the counts and
376 frequencies of the different types of morphologies were generated in Microsoft Excel 2024.

377

378 **Phylogenetic analysis of filamentation types**

379 For phylogenetic signal and ancestral state reconstruction, we used Picante R-package version
380 1.8.2 (Kembel et al., 2010) function `match.phylo.data()` to match each trait data file (either binary
381 data for phylogenetic signal, or categorical data for ancestral state reconstruction) to the

382 phylogeny. Phylogenetic signal was calculated for various binary filamentation traits (i.e.,
383 presence / absence of filaments, presence / absence of pseudohyphae, presence / absence of true
384 hyphae, presence / absence of pseudohyphae and true hyphae) using the D-statistic (Fritz &
385 Purvis, 2010). Caper software version 1.0.3 (Orme et al., 2023) function phylo.d() was used to
386 calculate the D-statistic value and associated p-values (under Brownian motion model vs. under
387 no, i.e., random, phylogenetic structure) for each filamentation type. To control the random
388 permutations, a fixed seed was used for result reproducibility.

389

390 **Ancestral state reconstruction of filamentation**

391 To estimate the evolutionary history of all *Saccharomycotina* yeast internal nodes, ancestral state
392 reconstruction was used. The transition rates for the transitions between each filament trait state
393 was estimated with Phytools version 2.4-4 (Revell, 2024) function fitpolyMk, which estimates
394 discrete character evolution using a Markov model (Lewis, 2001).

395

396 Some species are described to be polymorphic and generate both pseudohyphae and true hyphae;
397 thus, the unordered transitions between each state (YEAST, PSEUDOHYPHAE, and TRUE HYPHAE)
398 were needed to include all hidden intermediate states (YEAST + PSEUDOHYPHAE, YEAST + TRUE
399 HYPHAE, YEAST + PSEUDOHYPHAE + TRUE HYPHAE, and PSEUDOHYPHAE + TRUE HYPHAE).

400 Transition rates were estimated under four transition rate models: ER (equal rates), ARD (all
401 rates different), SYM (symmetric backward and forward rates), and transient (polymorphisms
402 are gained at different rates than polymorphisms are lost). Model selection was performed using
403 the anova() function (Chambers and Hastie, 2017), and the model with the lowest Akaike
404 Information Criterion (AIC) was chosen for downstream analysis.

405

406 The best-fitting transition rate model was implemented in a hidden Markov model using the
407 corHMM package version 2.8 (Beaulieu et al., 2013). This model allows for rate variation and
408 estimates the likelihood of each trait state being present at all ancestral nodes of the phylogeny.
409 The resulting likelihood values were used to calculate the probability of each specific trait state
410 at each ancestral node. These probabilities were then visualized as pie charts mapped across the
411 phylogeny.

412

413 **Genomic data matrix**

414 InterProScan gene functional annotations were generated by the Y1000+ Project (Opulente et al.,
415 2024). A data matrix was built with counts of the unique InterPro ID number for each
416 *Saccharomycotina* strain genome, in which rows were strains and columns contained the count
417 of each InterPro ID (N = 12,242) present in one or more of the 1,154 yeast genomes. The number
418 of every InterPro ID for each genome was calculated with a python script and input into
419 corresponding cells in the data matrix (Blum et al., 2025).

420

421 **Metabolic data matrix**

422 The metabolic data matrix comprised 122 traits measured across 893 yeast strains of the total
423 1,154 representing 885 species within the subphylum (M. Harrison et al., 2024; Opulente et al.,
424 2018). The matrix contained traits across a range of growth capacities on carbon and nitrogen
425 sources (e.g. galactose, raffinose, urea), as well as environmental conditions (e.g. growth at
426 different temperatures and salt concentrations). Traits that were less frequently studied had

427 higher levels of missing data compared to more commonly studied traits; the average percentage
428 of missing data was 37.5% (40,906 of 108,946 total entries).

429

430 **Environmental data matrix**

431 The isolation environments were collected for 94% of all yeasts (1,088 of 1,154 strains) sourced
432 from strain databases, species descriptions, or from *The Yeasts: A Taxonomic Study* (Kurtzman et
433 al., 2011; Opulente et al., 2024). To include all unique isolation environment descriptions, the
434 environmental data were encoded into a hierarchical binary trait matrix using a controlled
435 vocabulary (M. Harrison et al., 2024; Opulente et al., 2024). The ecology ontology contains six
436 broad categories of isolation environments including animal, plant, environmental, fungal,
437 industrial products, and victuals (food or drink), with specific controlled vocabulary used to
438 annotate each strain.

439

440 **Machine learning methods to predict filamentation across *Saccharomycotina* yeasts**

441 We used a random forest algorithm to determine if the presence and / or absence of filamentation
442 could be predicted from genomic, metabolic, or environmental features. Independent random
443 forest models were trained on the different datasets to assess classification accuracy and identify
444 the top predictive features. While the function of the model is classification, and the random
445 forest algorithm that we use is a classifier, we refer to the outcomes as “predictions” for ease of
446 interpretation.

447

448 A machine learning model was trained using the XGBoost version 1.7.3 (Chen & Guestrin,
449 2016) random forest classifier (XGBRFClassifier()) with the parameters max_depth=12 and

450 n_estimators=100; all other parameters were in their default settings. To avoid overfitting and
451 preserve the highest accuracy, the max_depth parameter determines how complex the random
452 forest will be by setting the depth of each decision tree. The number of decision trees in the
453 model was indicated with the n_estimators parameter. Evaluation of impact of increasing each
454 parameter showed that higher max_depth and more decision trees per random forest model
455 further improved accuracy.

456
457 The sklearn.model_selection version 1.2.1 package functions RepeatedStratifiedKFold and
458 cross_val_score were used to train 90% of the data and cross validation of the remaining 10% for
459 the random forest model (Pedregosa et al., 2011). Cross validation is an accuracy assessment
460 method that involves 10 trials, each time holding back a random 10% of the training data for model
461 testing. The Sci-Kit Learn function cross_val_predict() was used independently to generate the
462 confusion matrices; matrices show the amount of yeasts predicted correctly to either be capable of
463 generating filamentous growth or only yeast growth (true positives and true negatives,
464 respectively) and predicted incorrectly (false positives, predicted to be filamentous but are in
465 reality do not generate filamentous growth (exist only as yeast); and false negatives, predicted to
466 only have yeast growth but are in reality also generate filaments). The cross_val_predict() function
467 also performs 10-fold cross validation, tracking which species are true / false positives and those
468 that are true / false negatives for each of the 10 trials and compiling the final results into a confusion
469 matrix. The XGBRFClassifier function was used to generate the top predictive features using Gini
470 importance, which is based on node impurity (the amount of variance in filamentous growth with
471 strains that either generate filaments or not). We recorded and saved all metrics, including total
472 balanced accuracy (in which 50% accuracy is interpreted as randomly guessing).

473

474 Receiver Operating Characteristic (ROC) curves, which plot the true positive rate against the
475 false positive rate, were generated for each prediction analysis to visualize the accuracy of the
476 algorithm in predicting filamentous growth. An area under the curve (AUC) greater than 0.5
477 indicates classification better than random. To capture the error that could exist in the whole
478 dataset, non-down-sampled datasets were used for the ROC analysis.

479

480 **Acknowledgements**

481 We thank members of the Rokas lab and the Y1000+ Project (<http://y1000plus.org>) for helpful
482 discussions. This project was supported by the National Science Foundation under Grants Nos.
483 DEB-2110403 (to C.T.H.) and DEB-2110404 (to A.R.); in part by the Great Lakes Bioenergy
484 Research Center, U.S. Department of Energy, Office of Science, Biological and Environmental
485 Research Program under Award Number DE-SC0018409 (of which C.T.H. is a co-investigator);
486 and the National Institute of Food and Agriculture, United States Department of Agriculture,
487 Hatch project 7005101 (to C.T.H.). C.T.H. is a Vilas Faculty Mid-Career Investigator. Research
488 in A.R.'s lab is also supported by the National Institutes of Health/National Institute of Allergy
489 and Infectious Diseases (R01 AI153356).

490

491 **Data availability**

492 **All input data for all analyses can be found in the supplementary material of this**
493 **manuscript.** The genomic, metabolic, and isolation environment data matrices used in this work
494 are publicly available and can be accessed via the Figshare repositories from Opulente et al.
495 (2024):

496 [https://plus.figshare.com/articles/dataset/Genomes_and_Annotations_of_1_154_budding_yeasts/](https://plus.figshare.com/articles/dataset/Genomes_and_Annotations_of_1_154_budding_yeasts/22802147)
497 [22802147](https://plus.figshare.com/articles/dataset/Genomes_and_Annotations_of_1_154_budding_yeasts/22802147); Harrison et al. (2024): <https://doi.org/10.6084/m9.figshare.24855294>; and M.
498 Harrison et al. (2024):
499 [https://figshare.com/projects/Exploring_Saccharomycotina_Yeast_Ecology_Through_an_Ecolog](https://figshare.com/projects/Exploring_Saccharomycotina_Yeast_Ecology_Through_an_Ecological_Ontology_Framework/208648)
500 [ical_Ontology_Framework/208648](https://figshare.com/projects/Exploring_Saccharomycotina_Yeast_Ecology_Through_an_Ecological_Ontology_Framework/208648).

501

502 **Author contributions**

503 C.M.C and A.R. conceptualized this project. C.M.C. and T.D. developed the methodology for
504 ancestral state reconstruction, and M.C.H. developed the methodology for machine learning.
505 C.M.C. curated and analyzed the data, as well as prepared the figures under the supervision and
506 guidance of A.R. C.M.C wrote the manuscript, and M.C.H., T.D., M.G., A.R., and C.T.H.
507 reviewed edited and approved the manuscript. A.R. and C.T.H. acquired funding for this project.

508

509 **Conflicts of Interest**

510 A.R. is a scientific consultant of LifeMine Therapeutics, Inc. All other authors declare no
511 competing interests.

512

ORIGINAL UNEDITED MANUSCRIPT

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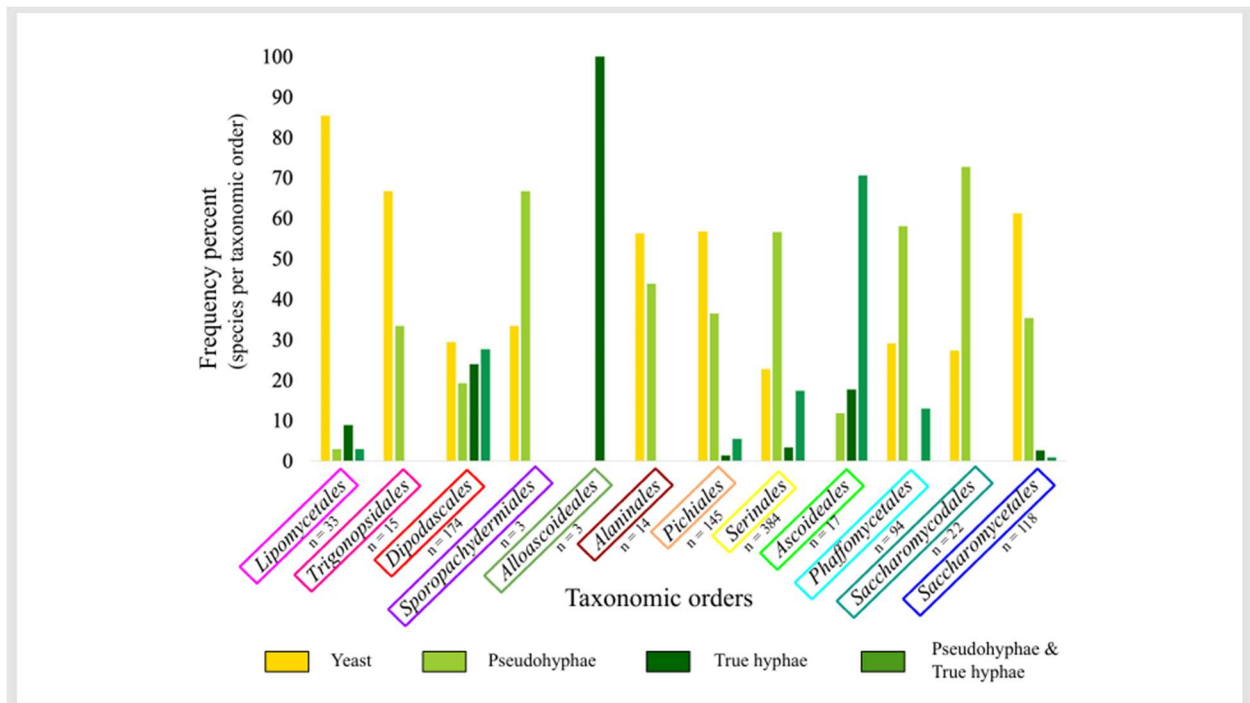
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713 **Figure Legends**

714



715

716 **Figure 1. Variation in the frequency of filamentation types across the 12 *Saccharomycotina***

717 **orders.** Histograms of the frequency of filamentation trait types, including filaments absent (i.e.,

718 unicellular or yeast growth; yellow), pseudohyphae present (light green), true hyphae present

719 (dark green), and polymorphic pseudohyphae and true hyphae (medium green). To obtain the

720 frequency values for each order, the count of each filamentation type was divided by the total

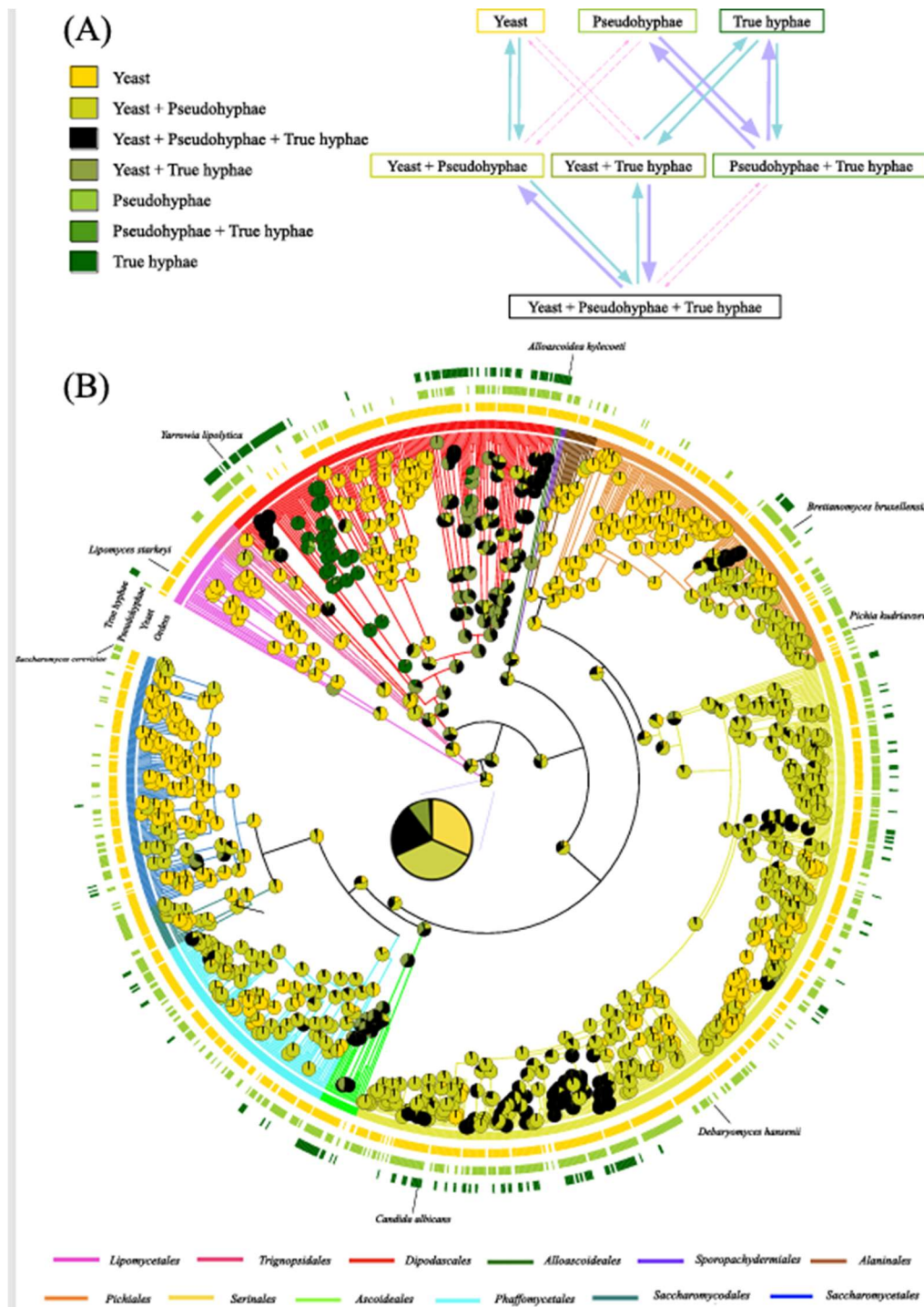
721 number of species in the order for which data are available. The numbers of yeasts analyzed in

722 each order were: *Lipomycetales*: 33, *Trigonopsidales*: 15, *Dipodascales*: 174,

723 *Sporopachydermiales*: 3, *Alloascoideales*: 3, *Alaninales*: 14, *Pichiales*: 145, *Serinales*: 384,

724 *Ascoideales*: 17, *Phaffomycetales*: 94, *Saccharomycodales*: 22, and *Saccharomycetales*: 118.

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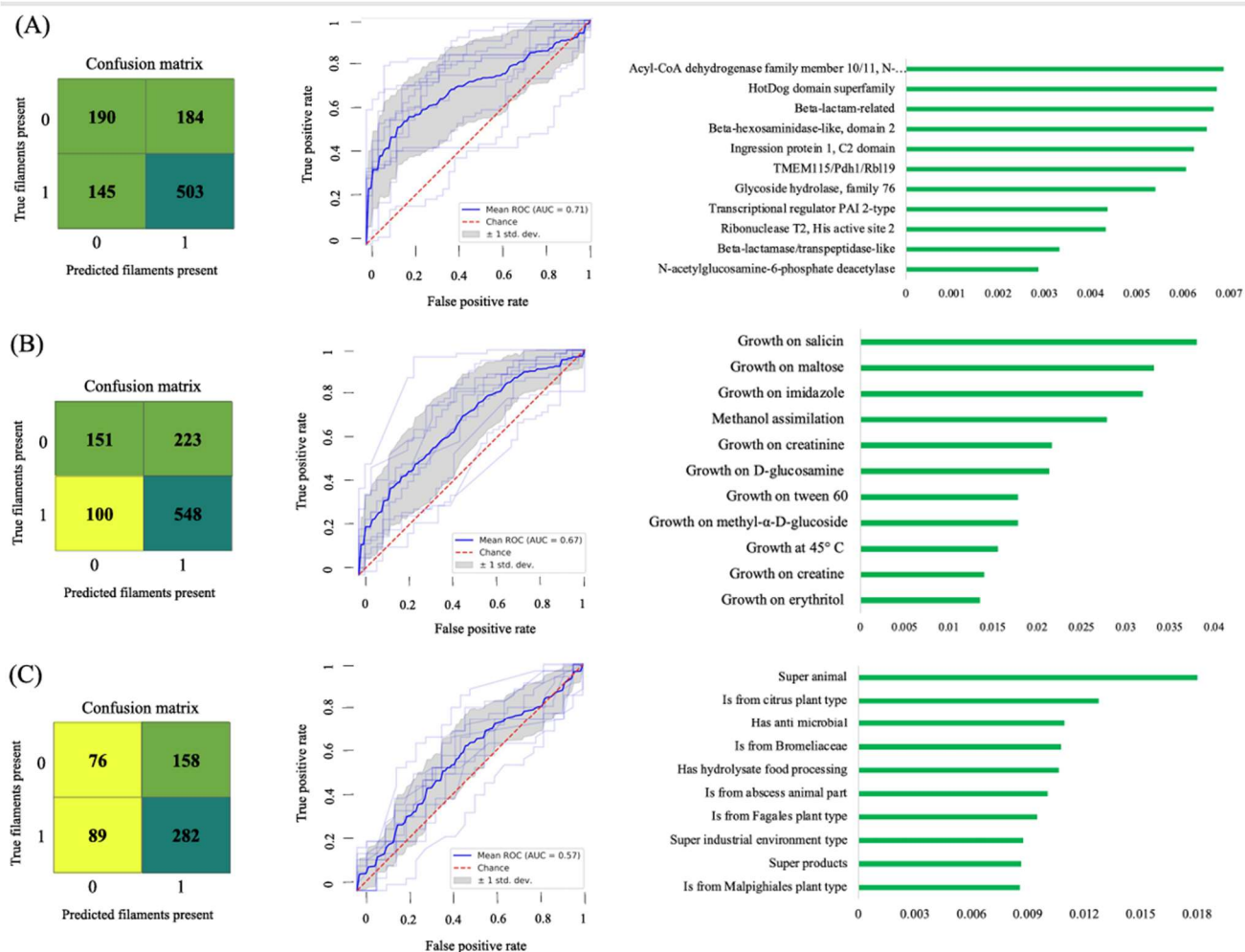
728 Figure 2. The last common ancestor of *Saccharomycotina* yeasts could likely grow filaments.

729 Transition rates of filamentation trait states and ancestral state reconstruction of filamentation

730 types across *Saccharomycotina* yeasts. A) The transition rate map of the filament trait states with

731 rates as arrows; thicker arrows represent higher transition rates and thinner arrows represent
732 lower rates. The color of arrows represents varying transition rate ranges including 0.01 - 0.099
733 (purple arrows), 0.001 - 0.009 (green arrows), and rates of 0 (pink dotted arrows). B) Ancestral
734 state reconstruction of filamentation types. Pie charts in ancestral nodes correspond to the
735 likelihood-inferred ancestral state(s). The enlarged pie chart within the phylogeny is the enlarged
736 ancestral state inference for the *Saccharomycotina* last common ancestor. The tips of the
737 phylogeny represent 1,021 extant yeasts that have filament trait states. The colored circles
738 around the phylogeny show (from inner to outer) the taxonomic order, yeast (yellow),
739 pseudohyphae (light green), and true hyphae (dark green) trait states of each yeast species. For
740 example, a *Dipodascales* species that can produce yeast, pseudohyphal, and true hyphal
741 morphologies is shown as red in the first inner circle (reflecting its order), yellow in the second
742 (reflecting its ability for yeast growth), light green in the third (pseudohyphal growth), and dark
743 green (true hyphal growth).
744

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745

746 **Figure 3. The top genomic, metabolic, or environmental features that predict filament**

747 **morphology across *Saccharomycotina* yeasts.** A.) The best machine learning model was

748 trained on genomic data (InterPro ortholog presence / absence); its accuracy, measured by the

749 receiver operating characteristic (ROC) area under the curve (AUC), was 0.71. The top ten

750 InterPro orthologs that best predicted filaments had a range of importance from 0.0029 to

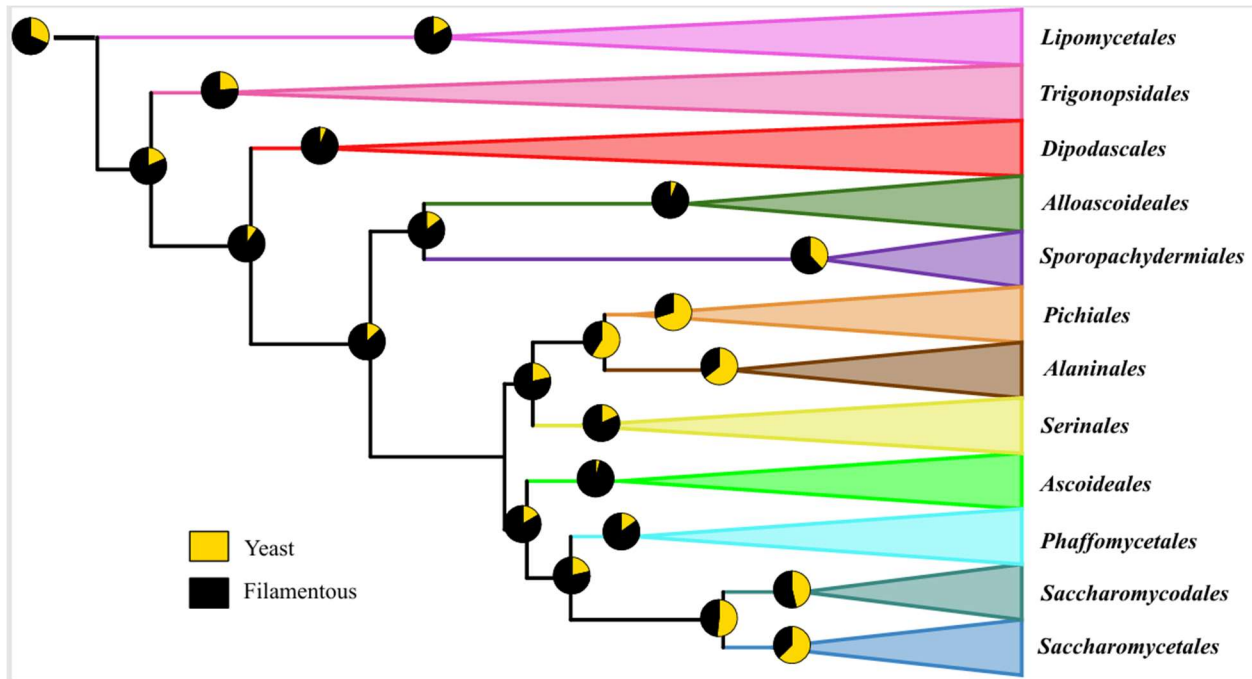
751 0.0069. B.) The trained on metabolic data (presence / absence of ability to grow on different

752 substrates) had an accuracy value of 0.67. The top ten metabolic traits that best predicted

753 filaments had a range of importance from 0.014 to 0.038. C.) The model trained on isolation

754 environment data had an only slightly higher than random accuracy of 0.57. The top ten isolation
755 environment traits that best predicted filaments had a range of importance from 0.018 to 0.0086.

756



757

758 **Figure 4. The last common ancestors of most of the 12 taxonomic orders of**
759 ***Saccharomycotina* yeasts likely had the ability for filamentous growth.** The legend on the
760 bottom left includes yeast only (yellow), and filamentation (black) which includes the following
761 filamentation traits: yeast and pseudohyphae and true hyphae, yeast and true hyphae,
762 pseudohyphae, pseudohyphae and true hyphae, and true hyphae. Each taxonomic order is
763 collapsed as a triangle; only the ancestral state likelihood pie charts for the last common
764 ancestors of the 12 orders and their ancestors are shown, and at the edges of collapsed orders are
765 each of the order names. Each different color represents a different taxonomic order.

766