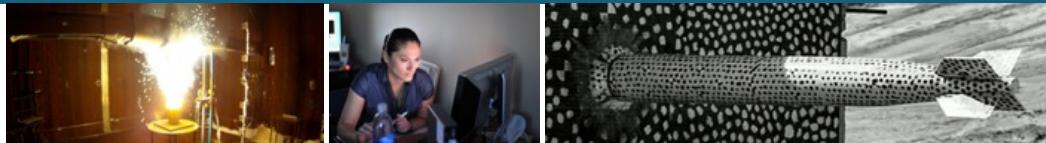
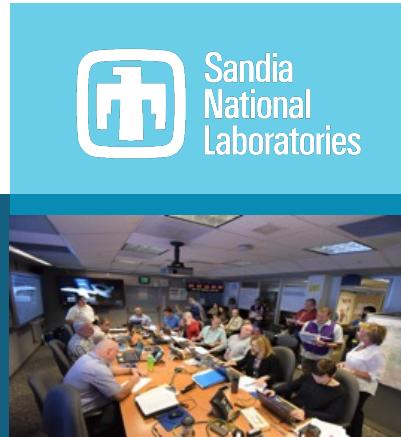


Applications of 3D EBSD for understanding complex microstructures



**Andrew Polonsky, Julia Deitz, Hojun Lim, Philip Noel,
Michael Melia, Kyle Johnson, Peter Renner, Kasandra
Herrera, Luis Jauregui, and Damion Cummings**

Monday, March 4, 2024



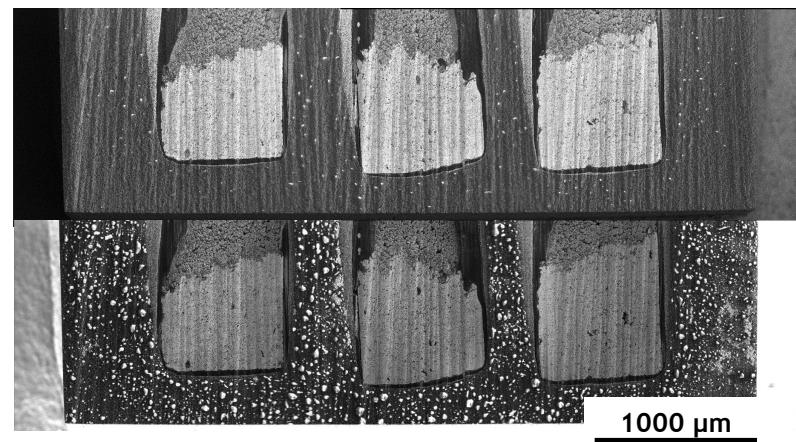
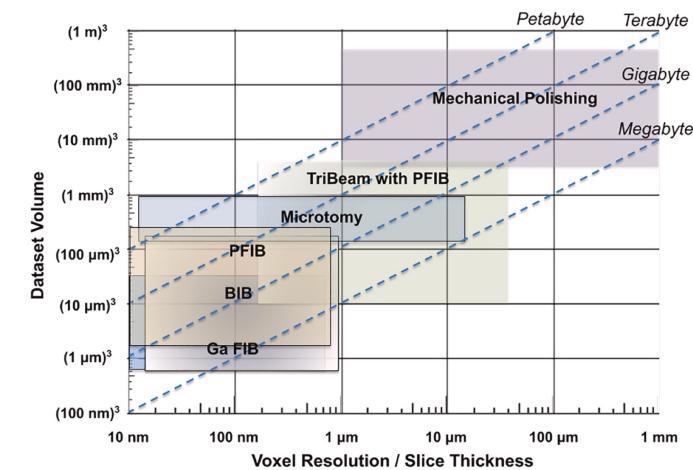
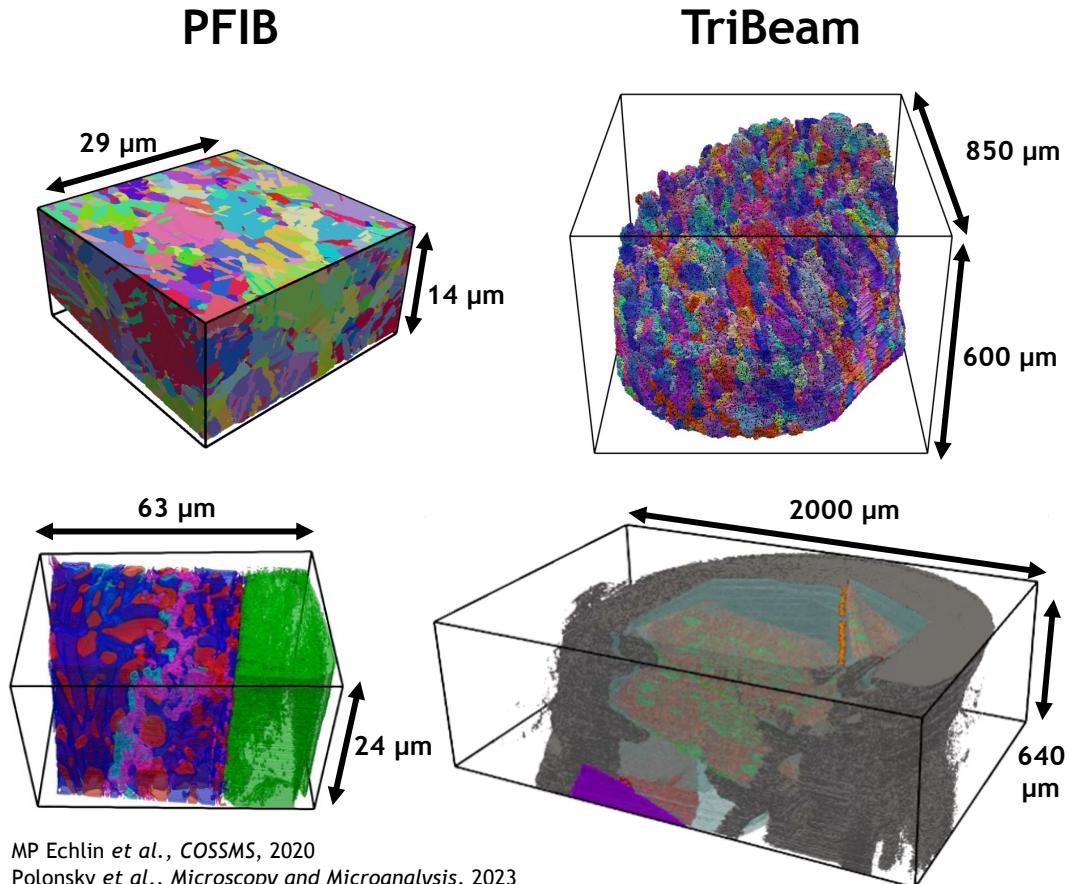
Sandia National Laboratories is a multimission laboratory managed and operated by National Technology & Engineering Solutions of Sandia, LLC, a wholly owned subsidiary of Honeywell International Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

2 | Outline

- Motivation
- Orientation Gradients in 3D
- Multimodal Data Fusion
- EBSD Distortion Correction
- Integration with Modeling
- Conclusion



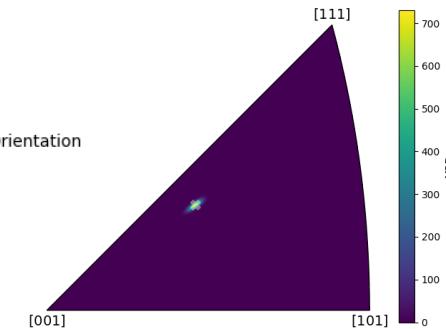
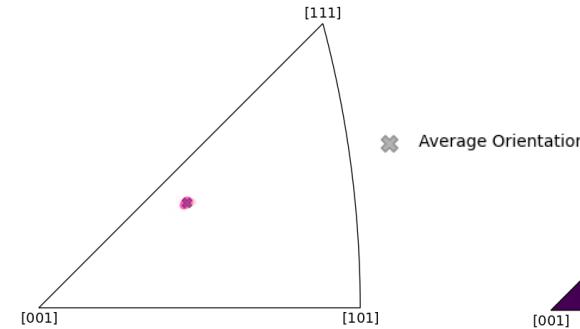
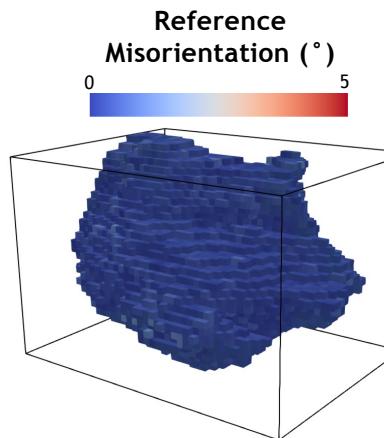
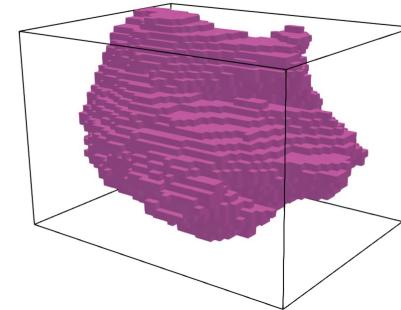
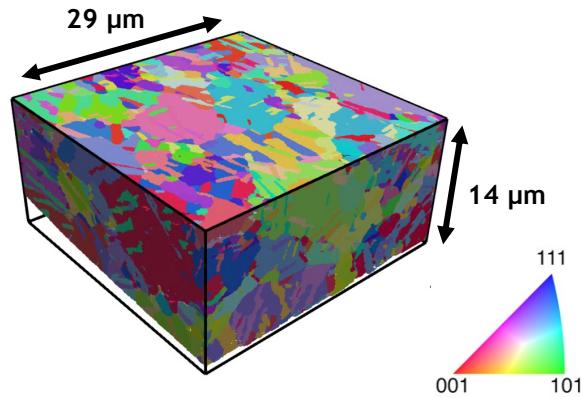
3 | 3D Characterization has Advanced Significantly in the last Decade



MP Echlin *et al.*, COSSMS, 2020
Polonsky *et al.*, Microscopy and Microanalysis, 2023

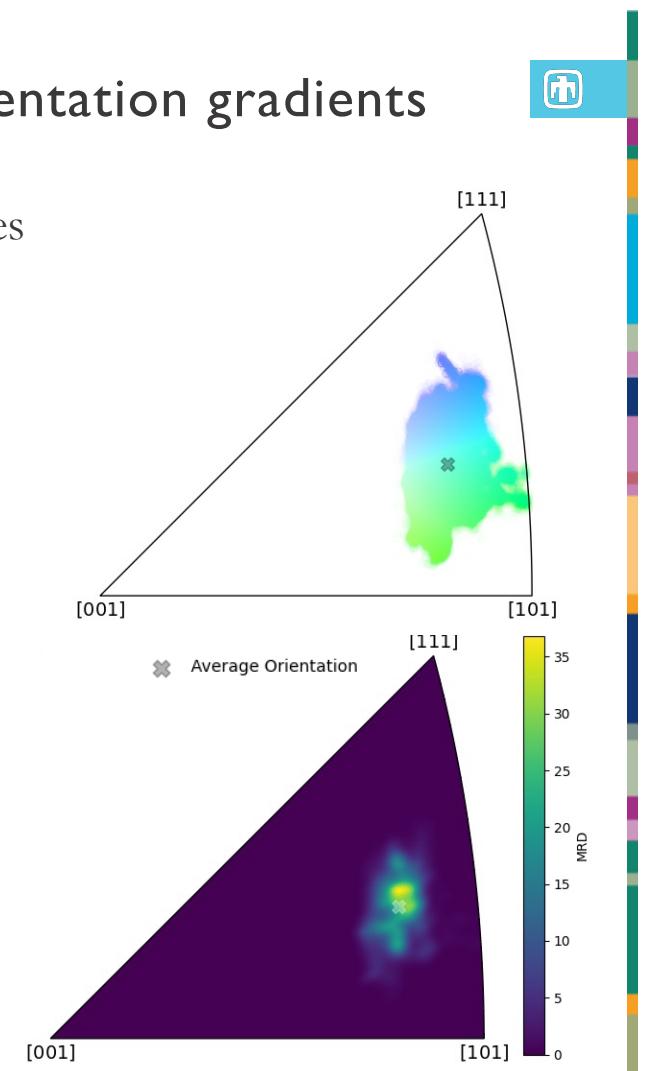
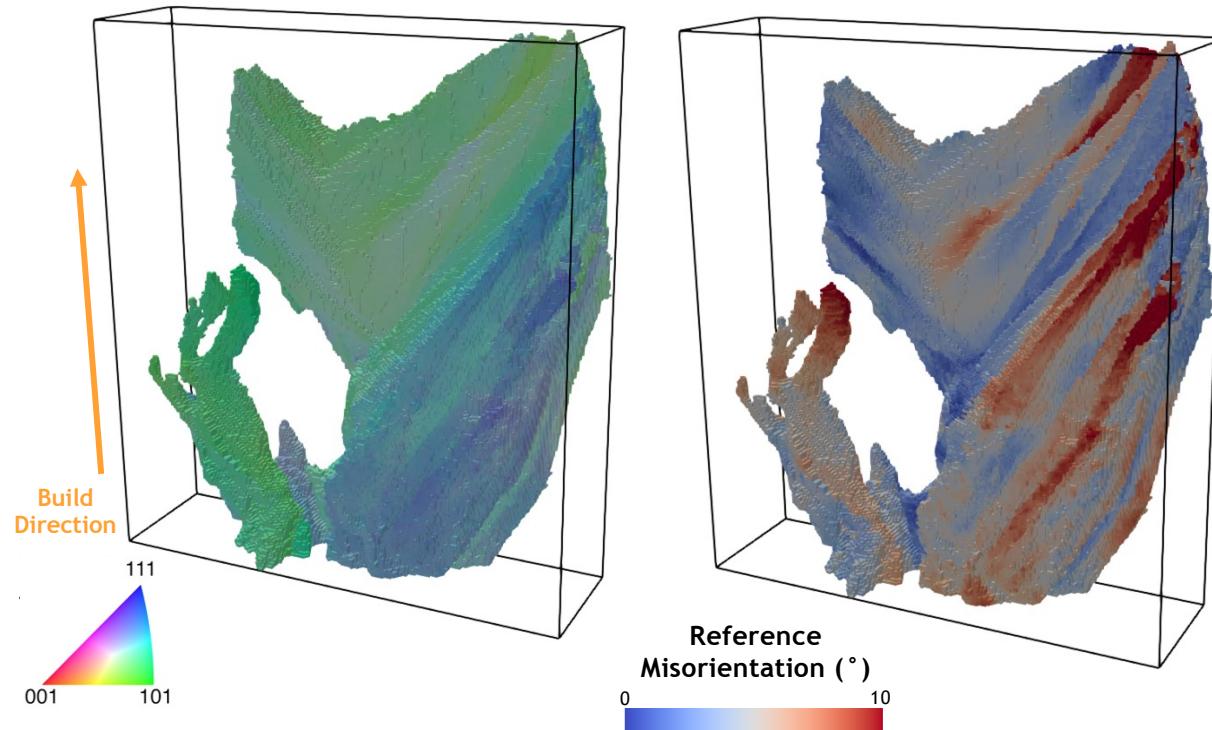
5 | Complex 3D microstructures exhibit large orientation gradients

- Well-annealed or undeformed crystals are easily analyzed with approaches using 2D conventions

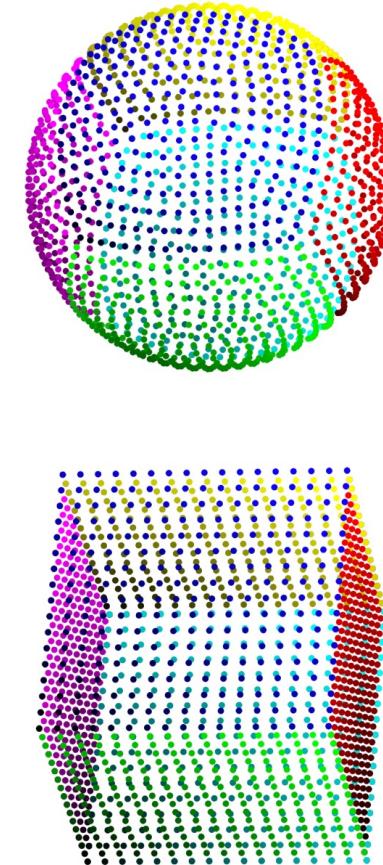
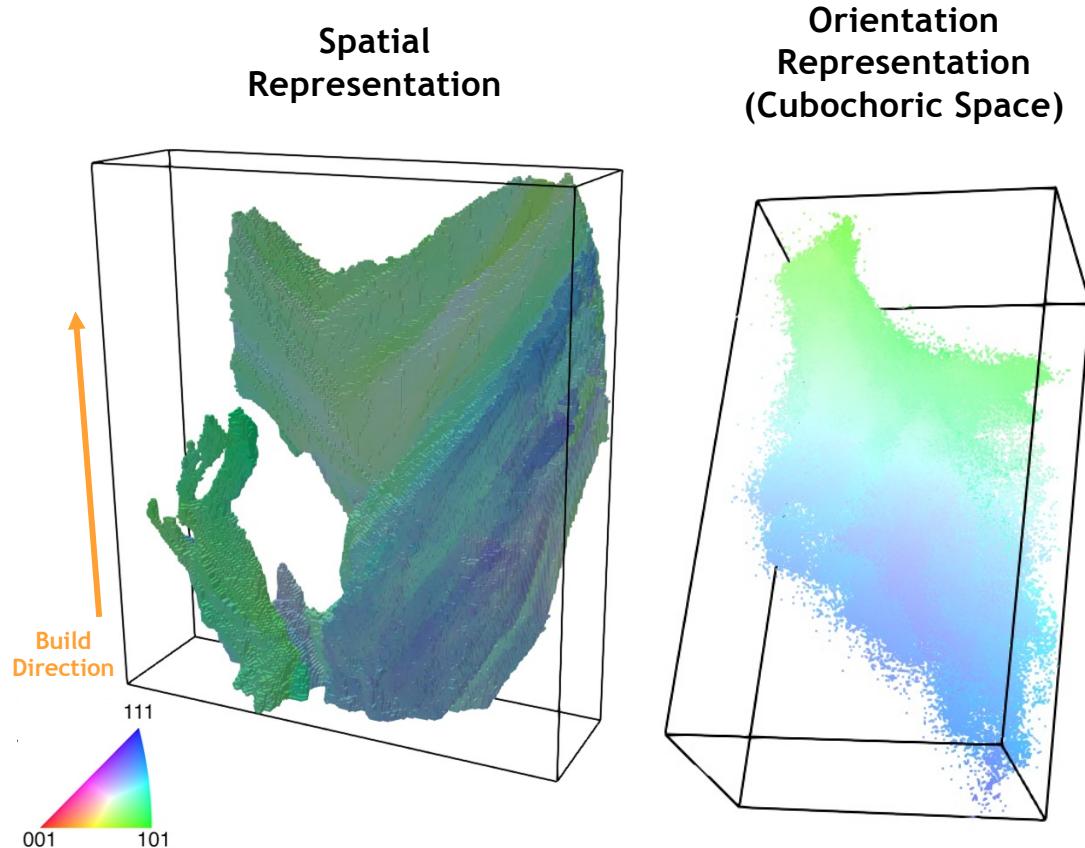


6 | Complex 3D microstructures exhibit large orientation gradients

- Average orientation is not necessarily a good reference in all cases

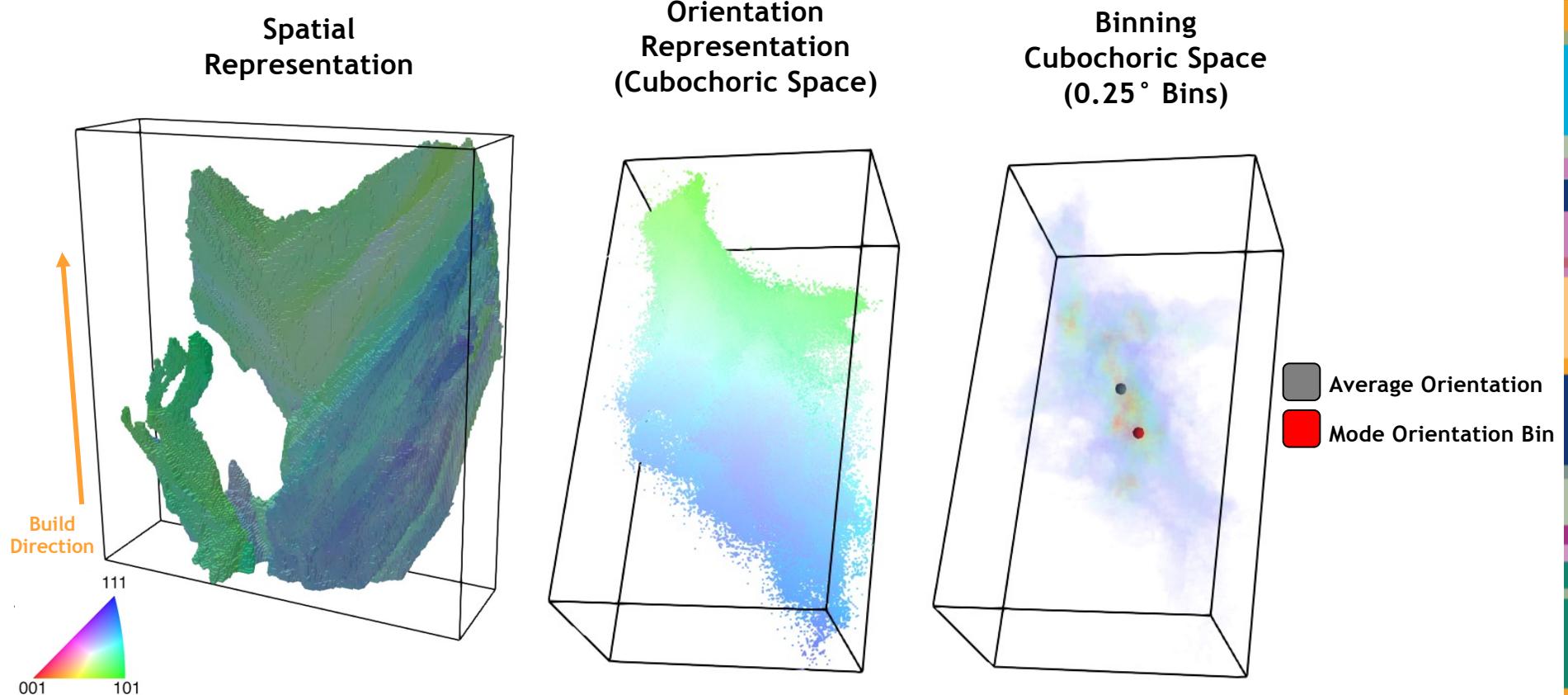


7 | Complex 3D microstructures exhibit large orientation gradients



D Roșca, A Morawiec, and M De Graef, *Modelling and Simulation in Materials Science and Engineering*, 2014
S Singh and M D Graef, *Modelling and Simulation in Materials Science and Engineering*, 2016

9 | Complex 3D microstructures exhibit large orientation gradients

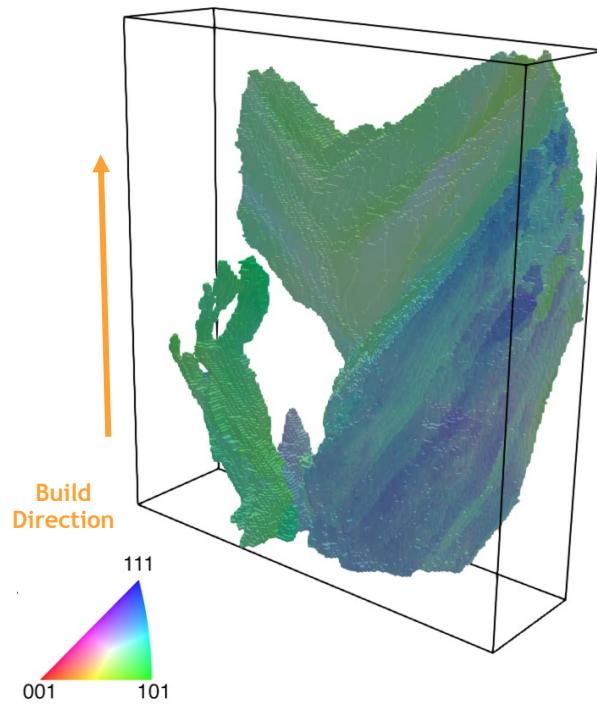


10

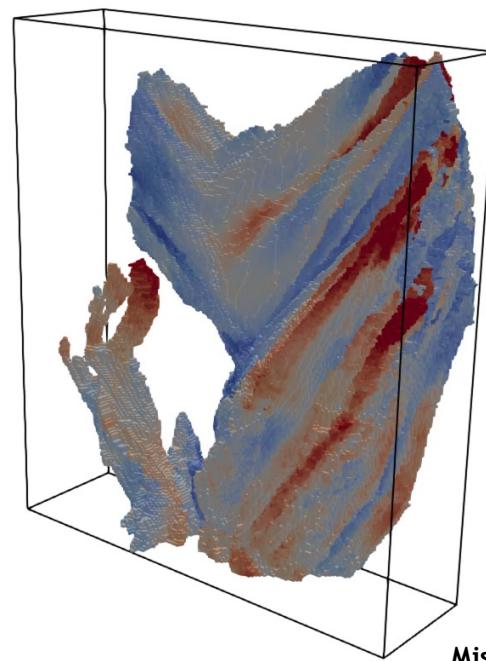
Complex 3D microstructures exhibit large orientation gradients



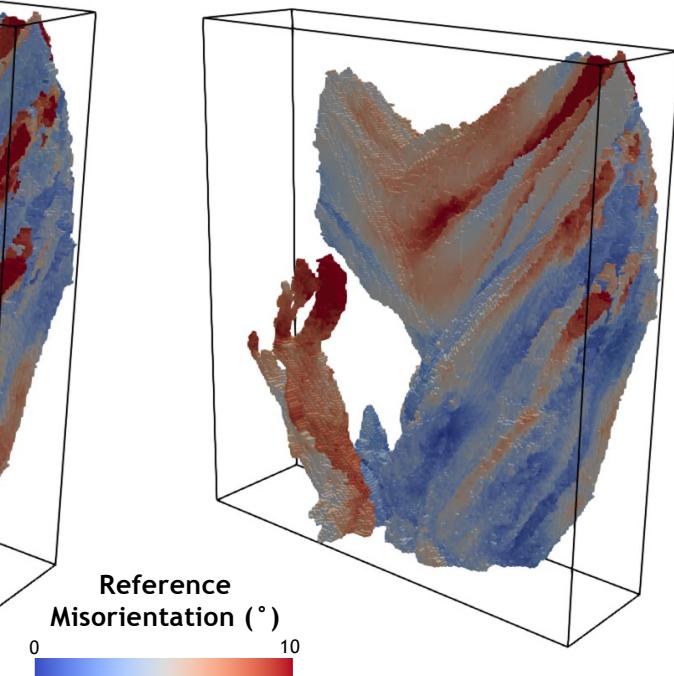
Spatial
Representation



Average Orientation
Reference



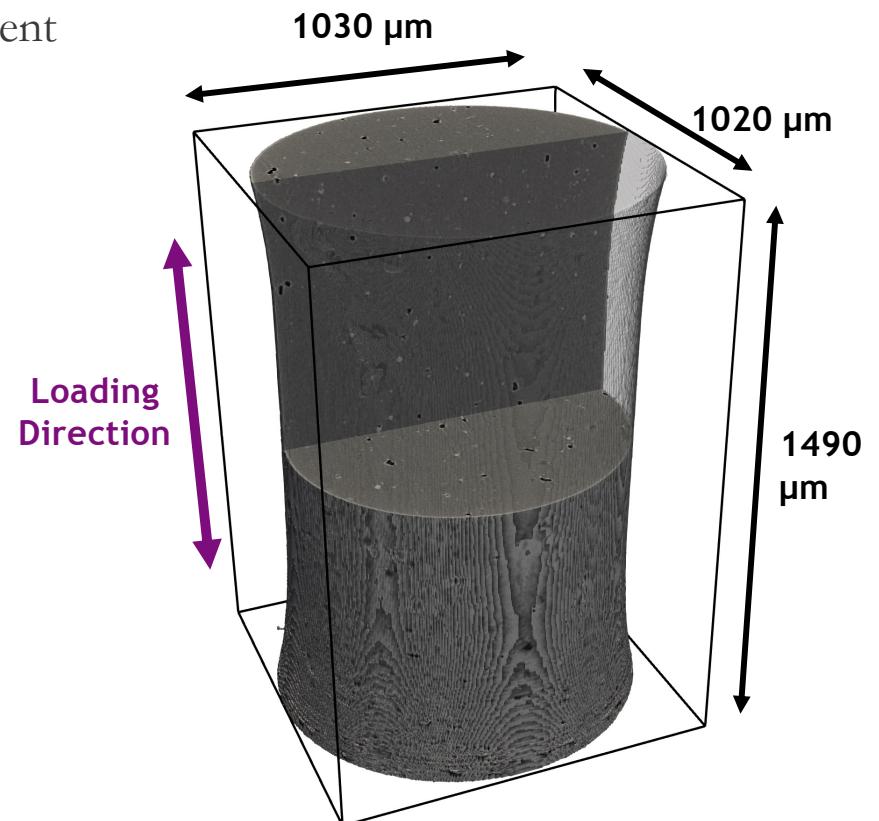
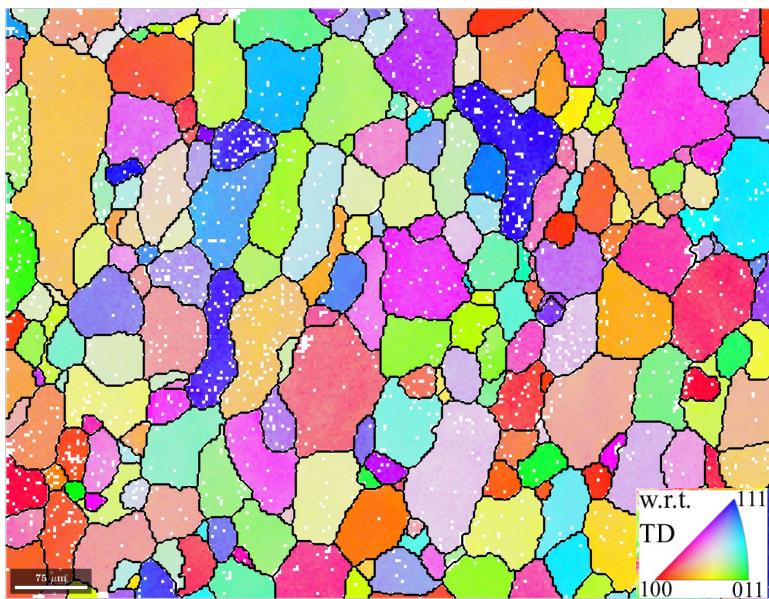
Mode Orientation
Reference



12

In situ tensile experiment with μ CT and DCT

- Sample of Al 2219 processed with T6 heat treatment
 - Minimal texture
 - 55 μm average grain size
 - 0.3% porosity
 - 0.5% Al_2Cu Particles



In situ tensile experiment with μ CT and DCT

- Sample of Al 2219 processed with T6 heat treatment
 - Minimal texture
 - 55 μm average grain size
 - 0.3% porosity
 - 0.5% Al_2Cu Particles

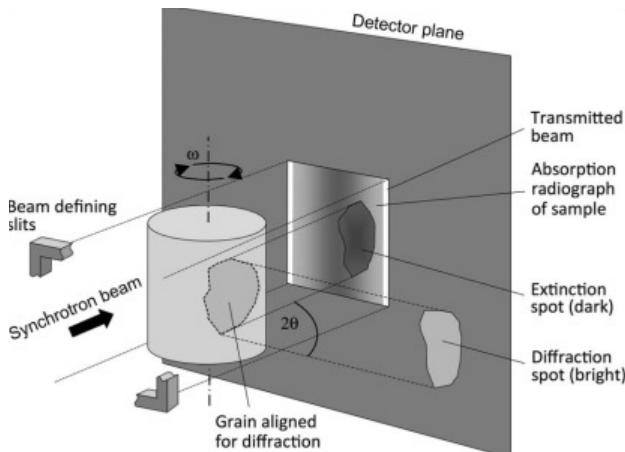
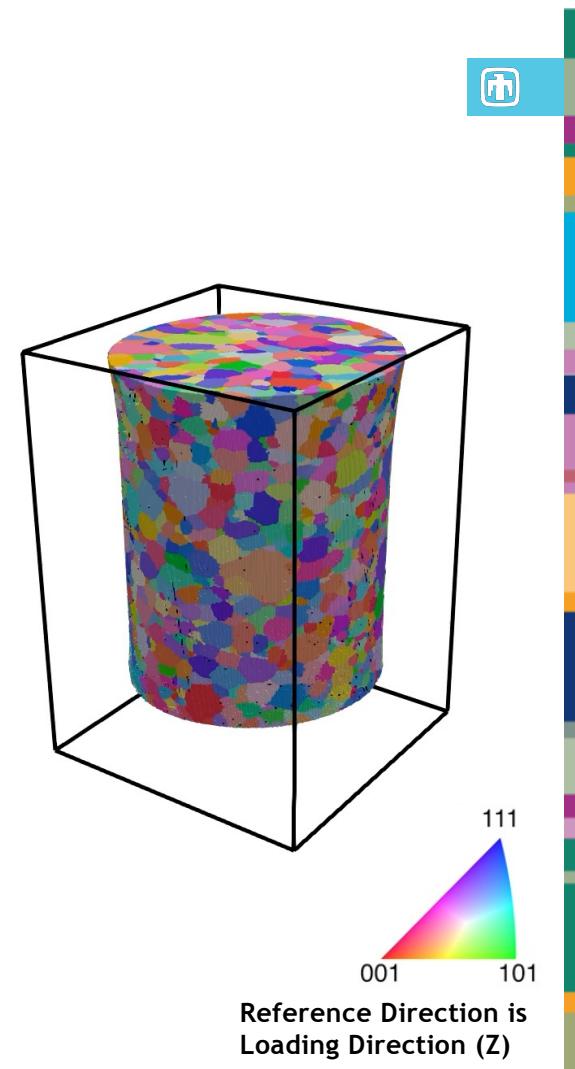
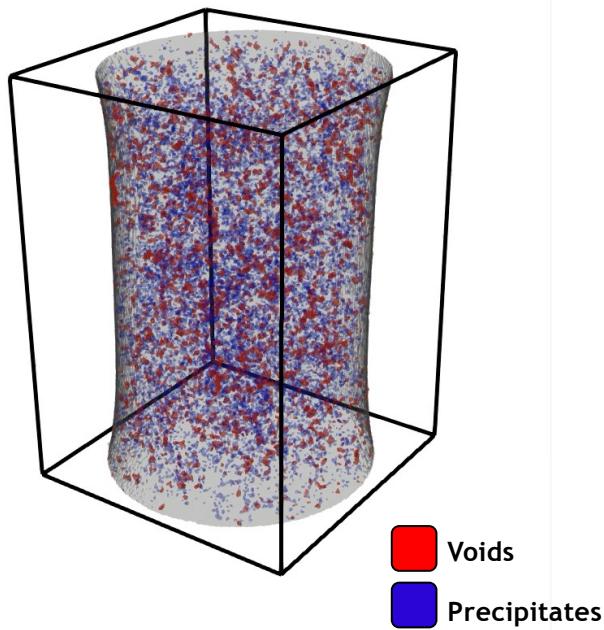
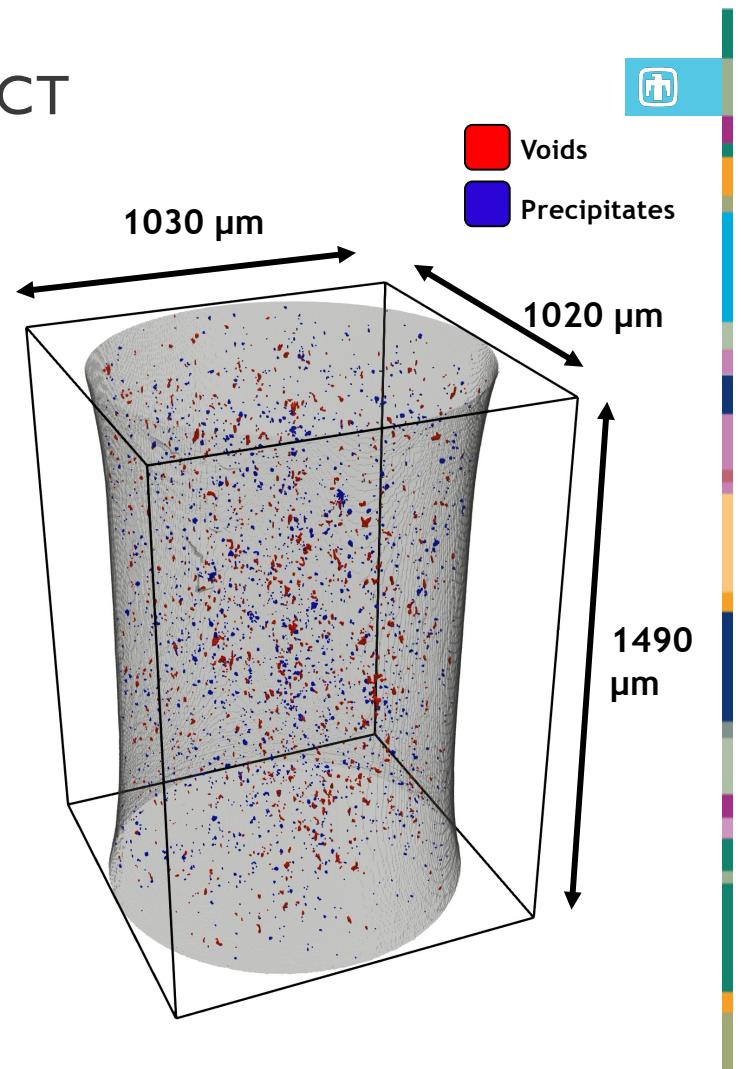
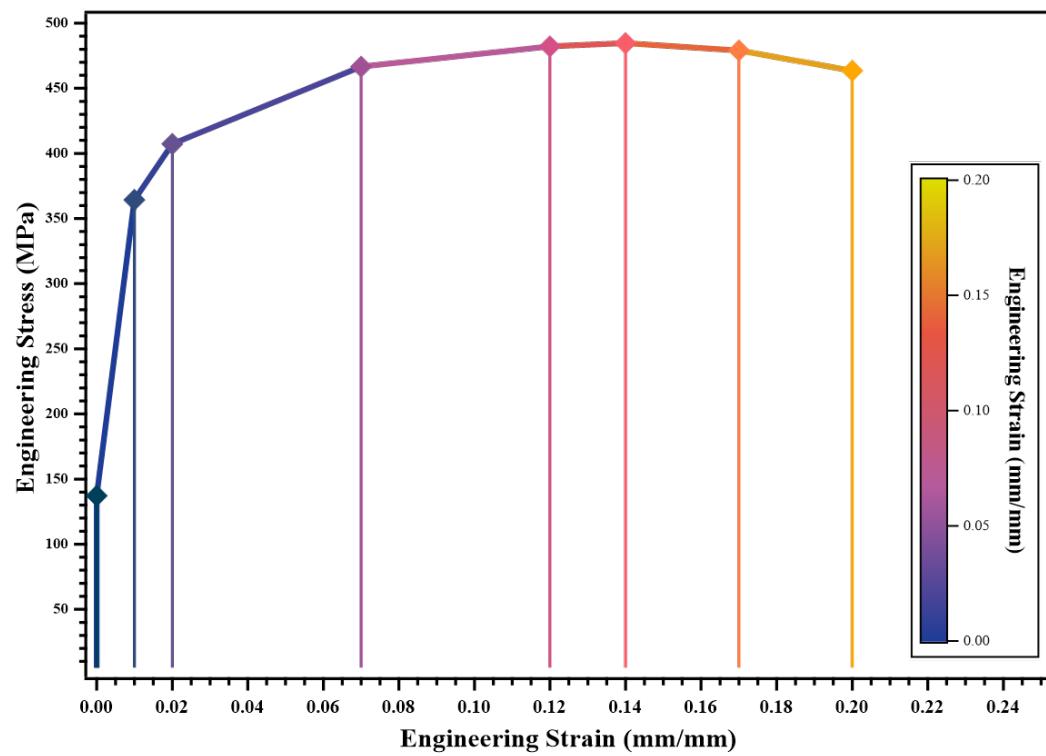


Image courtesy ESRF



In situ tensile experiment with μ CT and DCT

- 8 intermittent scans taken at fixed displacements until fracture



Ex situ analysis of fractured sample

- Understand deformation via orientation analysis
 - DCT cannot handle large deformations
- Utilized destructive serial sectioning in the SEM via TriBeam Tomography
- Ultimately want to fuse all data modalities into a single reference frame

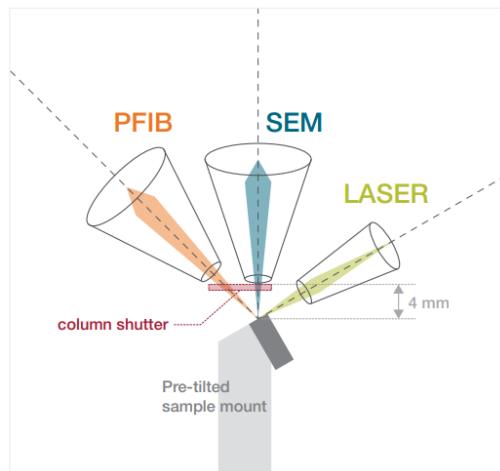
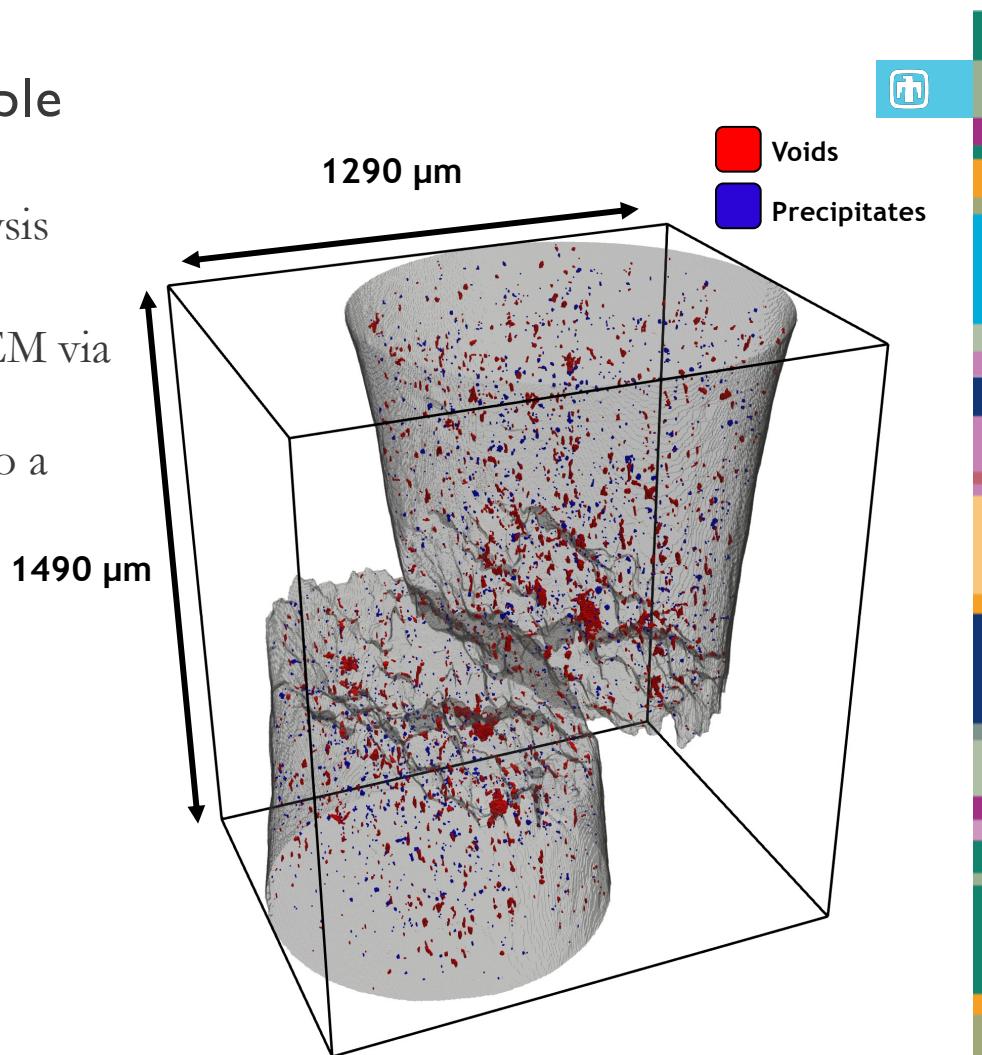
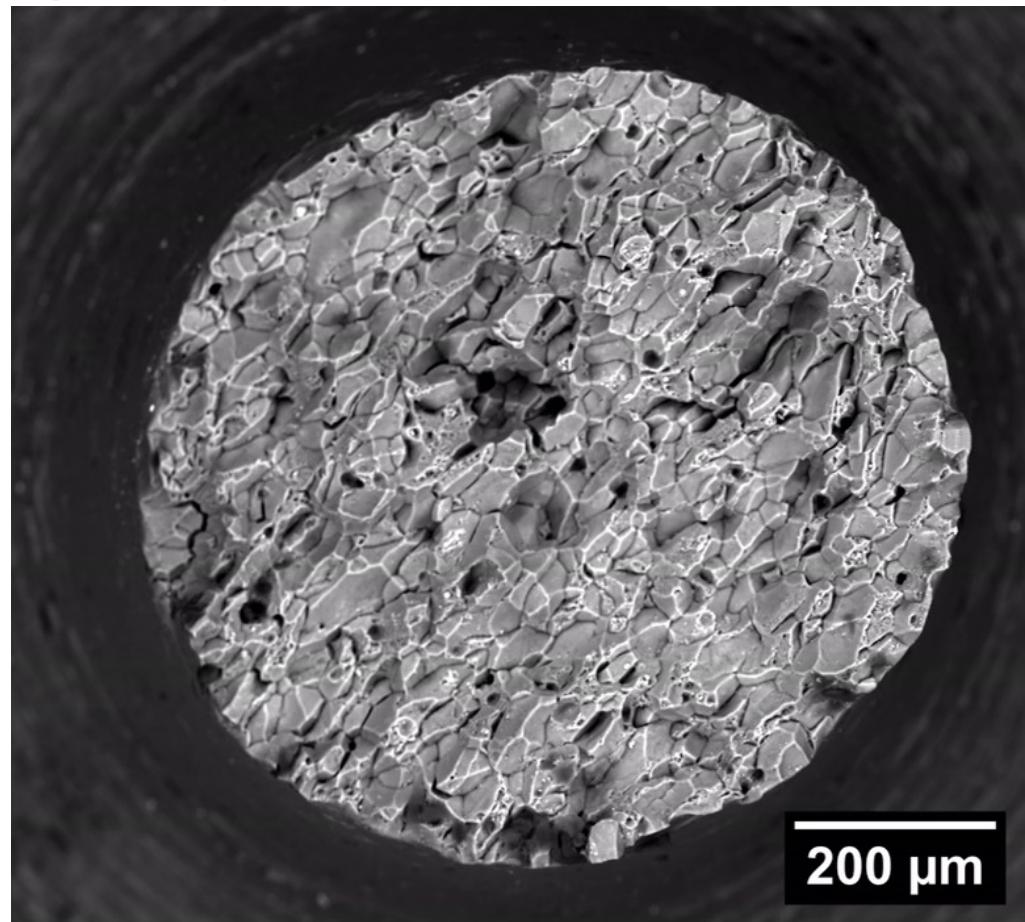


Image courtesy Thermo Fisher Scientific



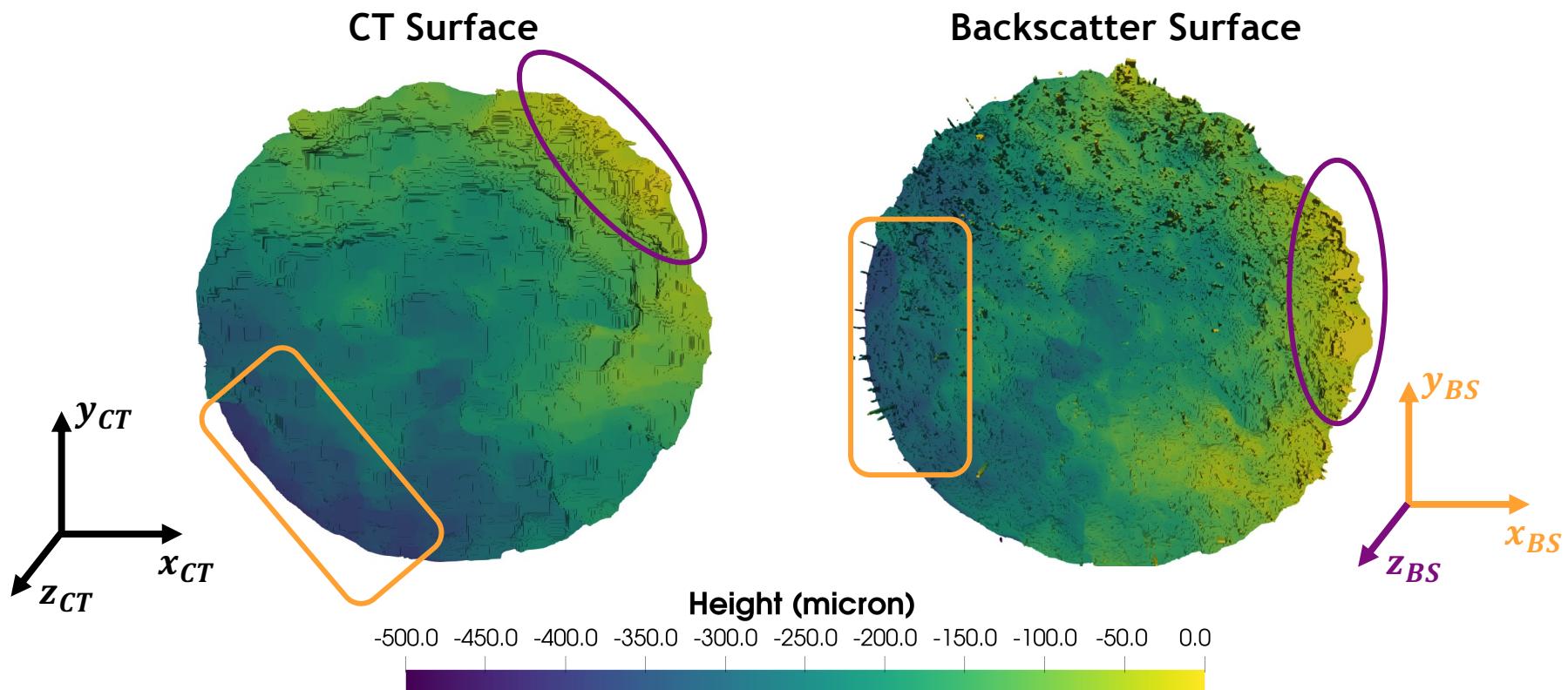
Backscatter Electron Image Flythrough

- Roughly 300 slices collected at a slice thickness of $2.0\text{ }\mu\text{m}$
 - EBSD data also collected on each slice
 - Data collection is fully automated via in-house codebase using python interface with the SEM (autoscript package)
- Fracture surface shows mixture of transgranular and intergranular fracture
- Precipitates, voids, and cracks clearly visible in this imaging modality



Fracture Surface Profile Comparison

- Serial-sectioning captures surface profile well, but is rotated in 3D relative to the CT data



CT-Backscatter Data Fusion



- Following approach of Lenthe et al. (2015) for least-squares affine transformation using a series of control points:

$$[A] \leftarrow \begin{bmatrix} a_1^x & a_1^y & a_1^z & 1 \\ a_2^x & a_2^y & a_2^z & 1 \\ \vdots & \vdots & \vdots & \vdots \\ a_n^x & a_n^y & a_n^z & 1 \end{bmatrix}$$

$$[B] \leftarrow \begin{bmatrix} b_1^x & b_1^y & b_1^z & 1 \\ b_2^x & b_2^y & b_2^z & 1 \\ \vdots & \vdots & \vdots & \vdots \\ b_n^x & b_n^y & b_n^z & 1 \end{bmatrix}$$

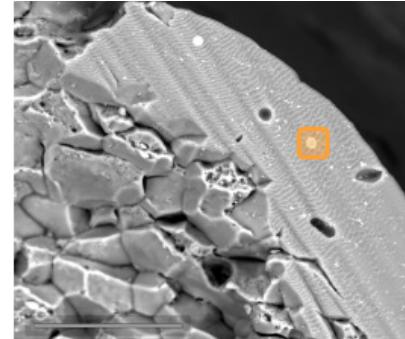
$$[T] \leftarrow A^T B (B^T B)^{-1}$$

```

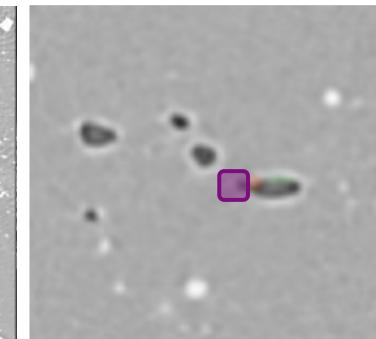
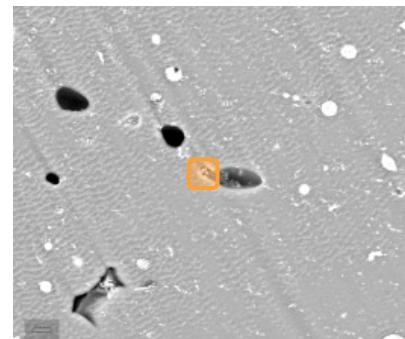
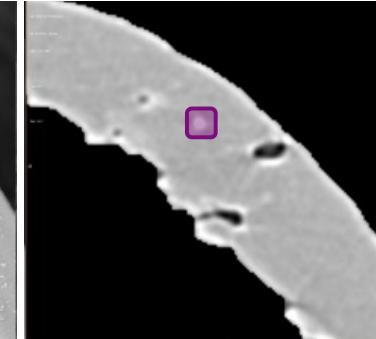
for all voxels in data set A do
     $x \leftarrow [centroid(voxel), 1]$ 
     $x' \leftarrow [T]^{-1}x$ 
     $v_b \leftarrow$  voxel nearest  $x'$ 
     $voxel \leftarrow data(v_b)$ 
end for

```

Backscatter Data

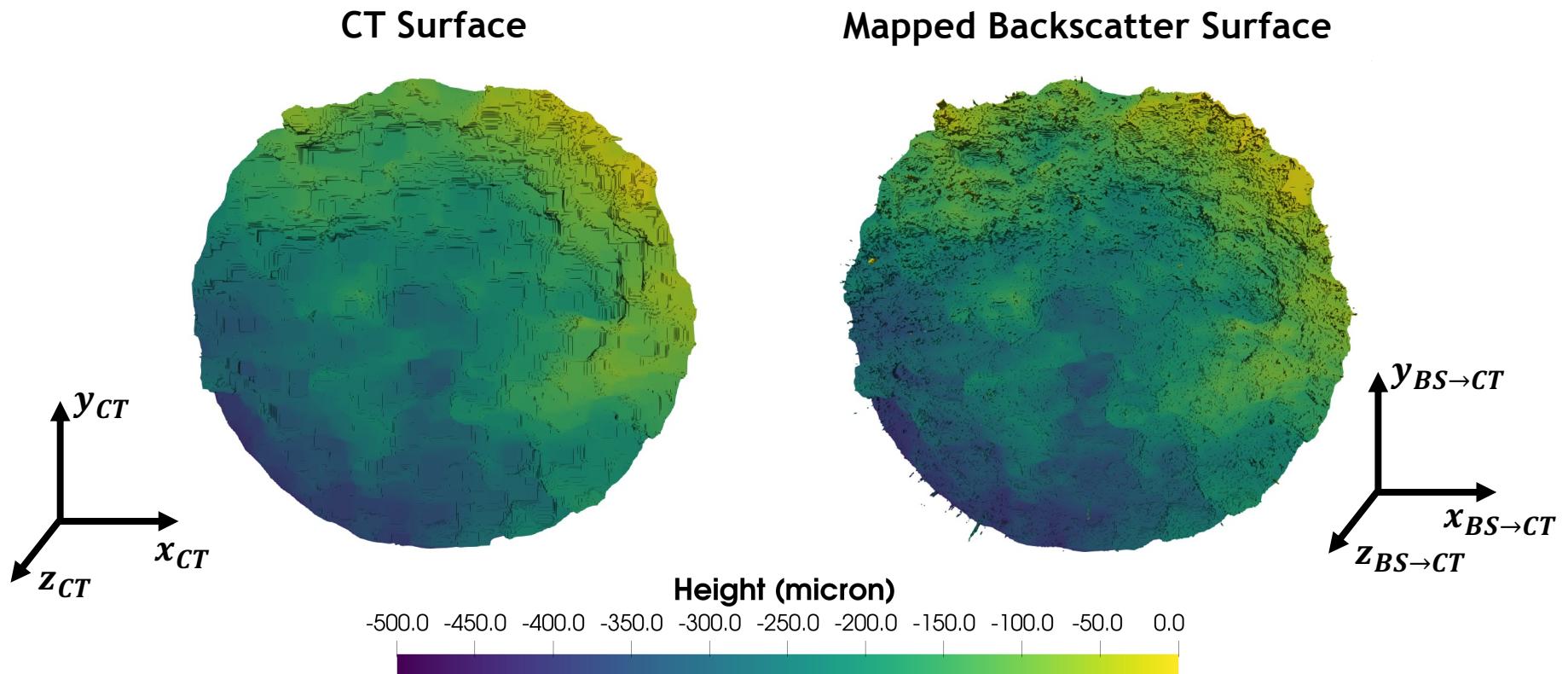


CT Data



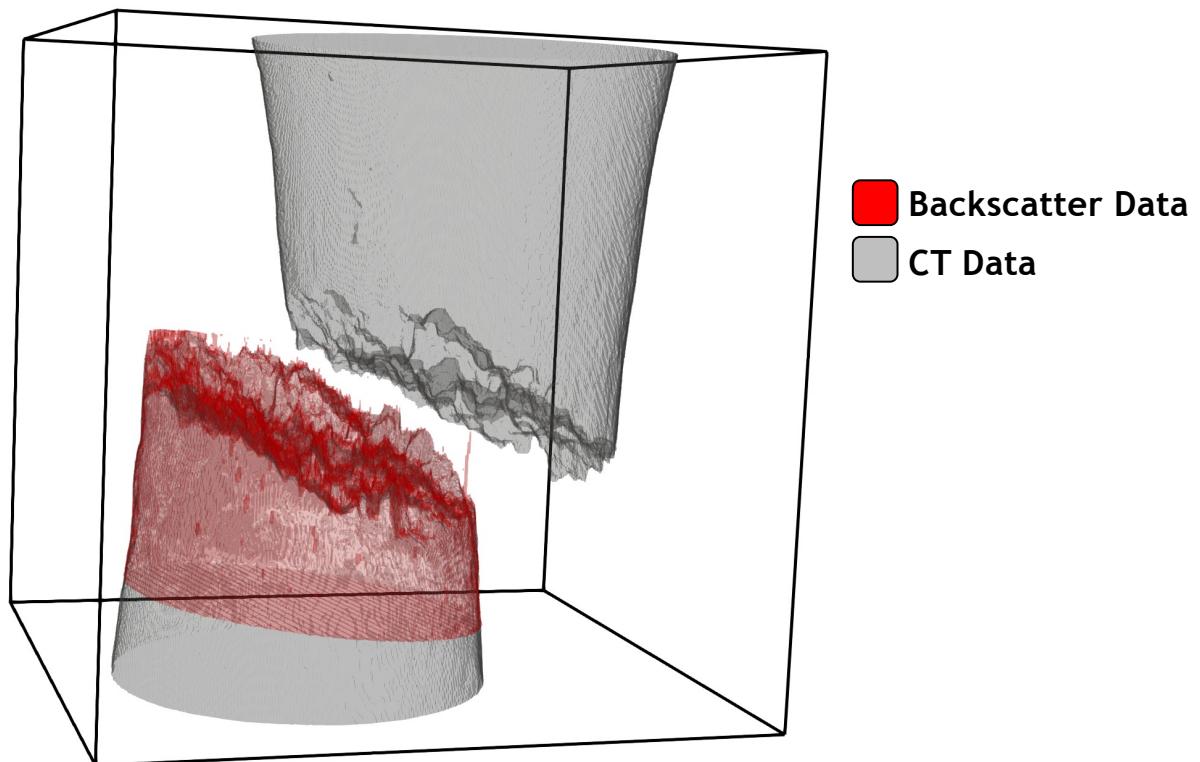
CT-Backscatter Data Fusion

- Mapping to new reference frame yields unified coordinate system



CT-Backscatter Data Fusion

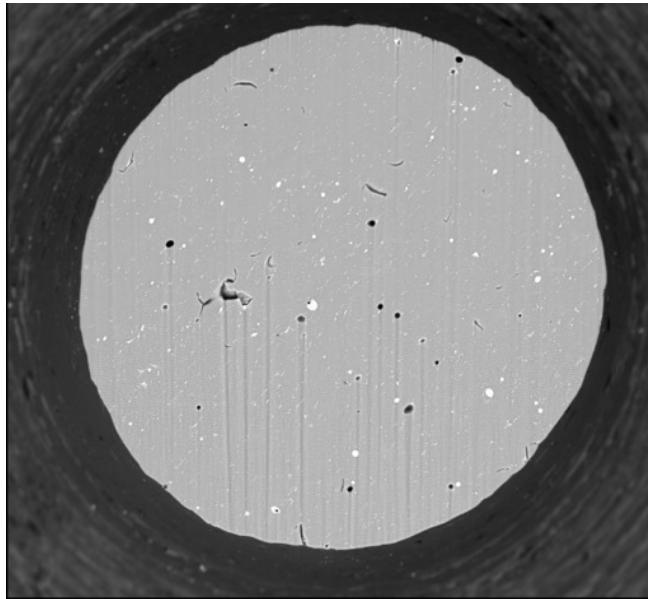
- Mapping to new reference frame yields unified coordinate system



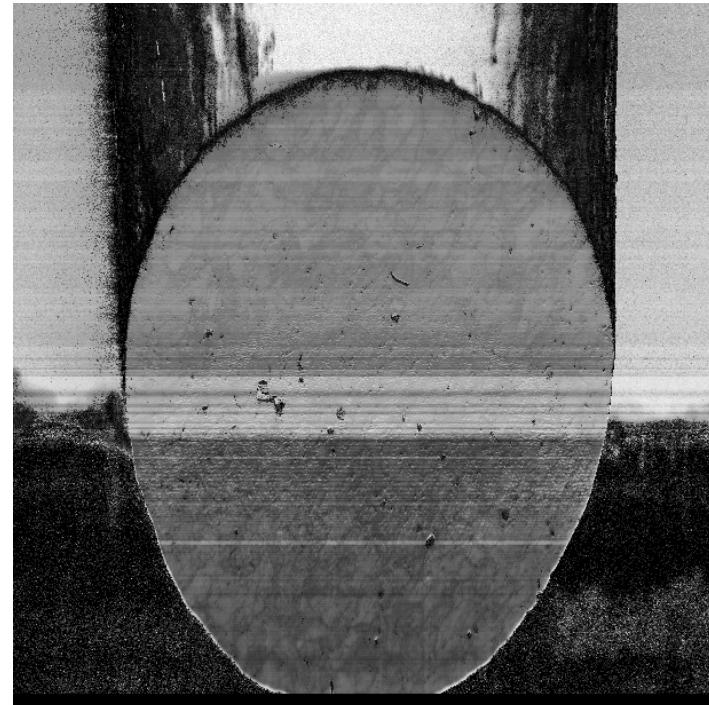
EBSD-Backscatter Data Fusion

- Distortions in EBSD are generally non-affine

Backscatter Image



EBSD Image Quality



EBSDF-Backscatter Data Fusion



- Thin-plate spline enables non-linear relation of reference frames using control points

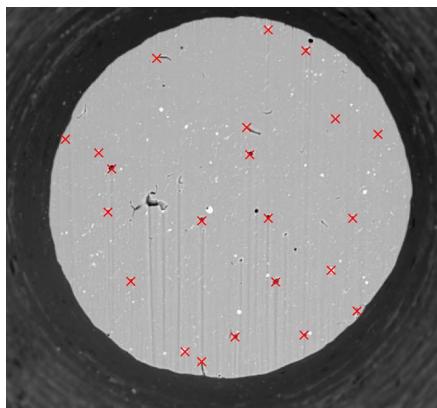
$$(X, Y, Z) = f(x, y, z) = a_1 + a_{xx}x + a_{yy}y + a_{zz}z + \sum_{i=1}^n w_i U(|P_i - (x, y, z)|)$$

Affine Portion **Bending Portion**

n = Control Points in distorted image (X_i, Y_i, Z_i) and reference image (x_i, y_i, z_i)

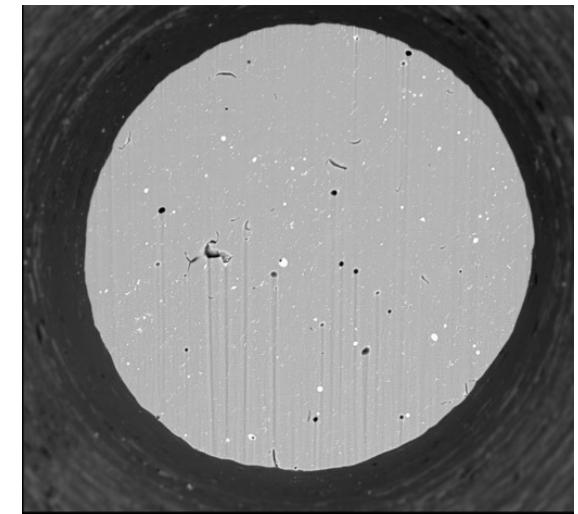
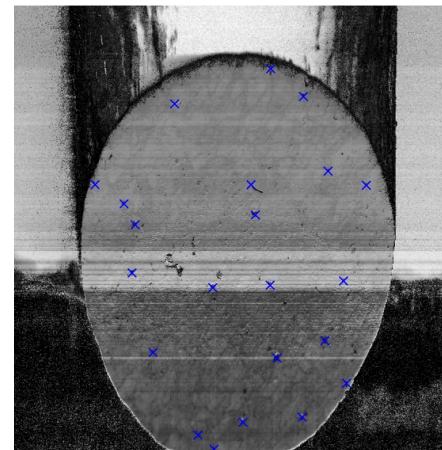
Backscatter Image

(x, y, z)



EBSDF Image Quality

(X, Y, Z)



AT Polonsky et al., *Superalloys* (2020)

EBSDF-Backscatter Data Fusion

- Thin-plate spline enables non-linear relation of reference frames using control points

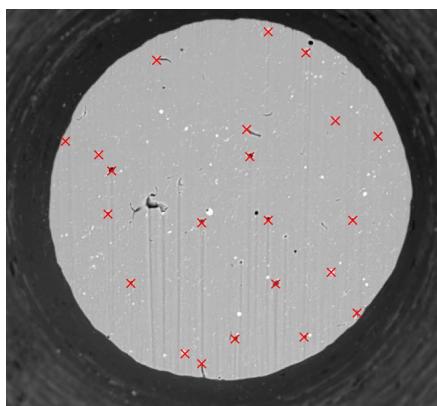
$$(X, Y, Z) = f(x, y, z) = a_1 + a_{xx}x + a_{yy}y + a_{zz}z + \sum_{i=1}^n w_i U(|P_i - (x, y, z)|)$$

Affine Portion **Bending Portion**

n = Control Points in distorted image (X_i, Y_i, Z_i) and reference image (x_i, y_i, z_i)

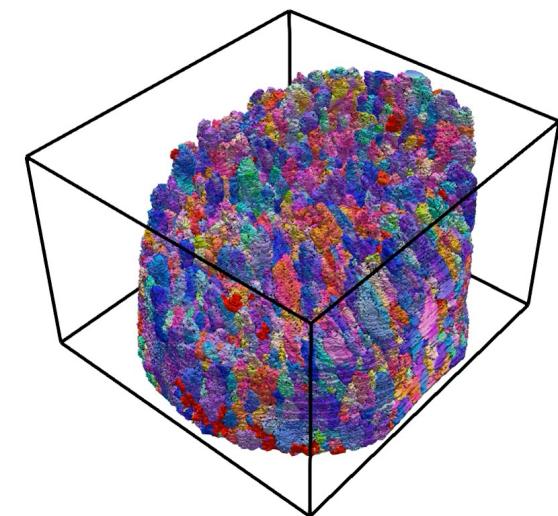
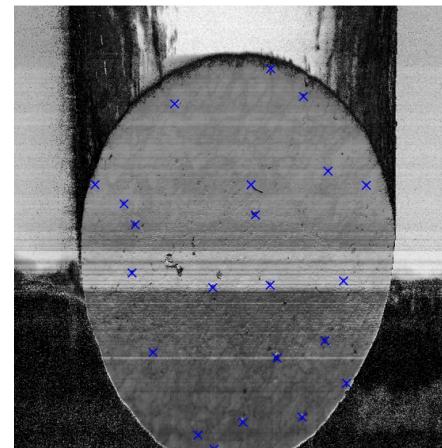
Backscatter Image

(x, y, z)



EBSDF Image Quality

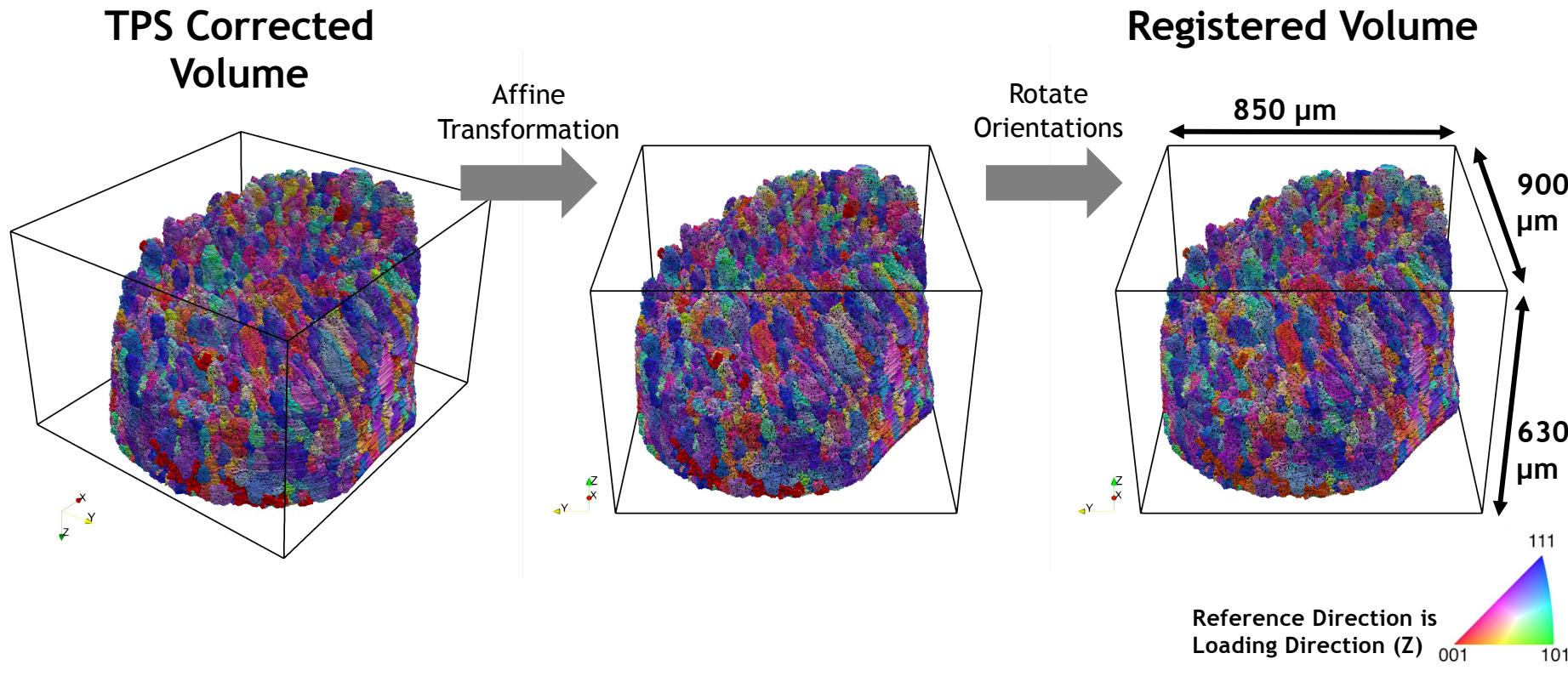
(X, Y, Z)



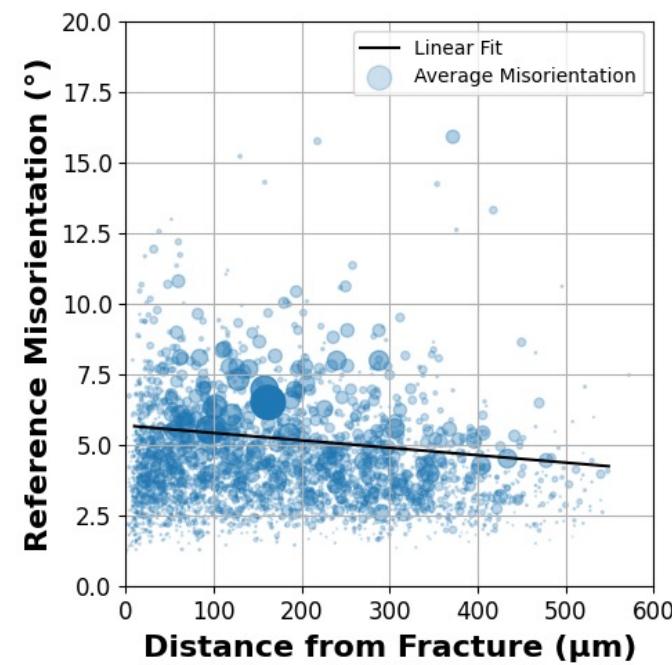
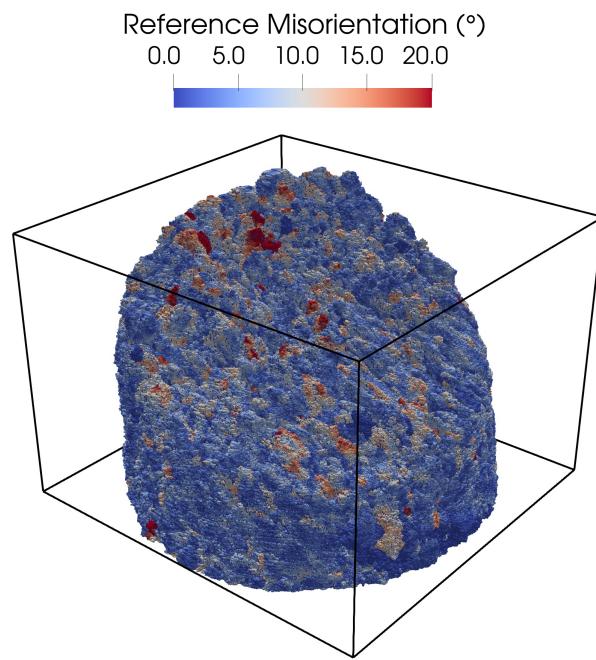
AT Polonsky et al., *Superalloys* (2020)

EBS-D-Backscatter-CT Data Fusion

- Apply BS → CT transformation to distortion-corrected EBSD volume



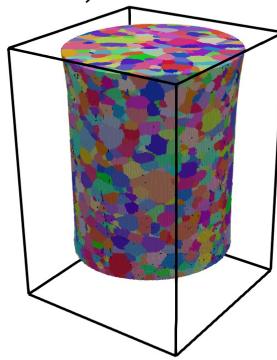
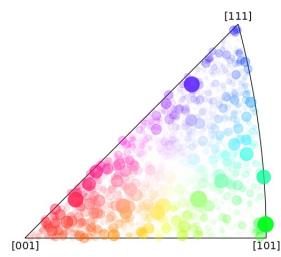
Intragrain misorientation distributions (mode reference)



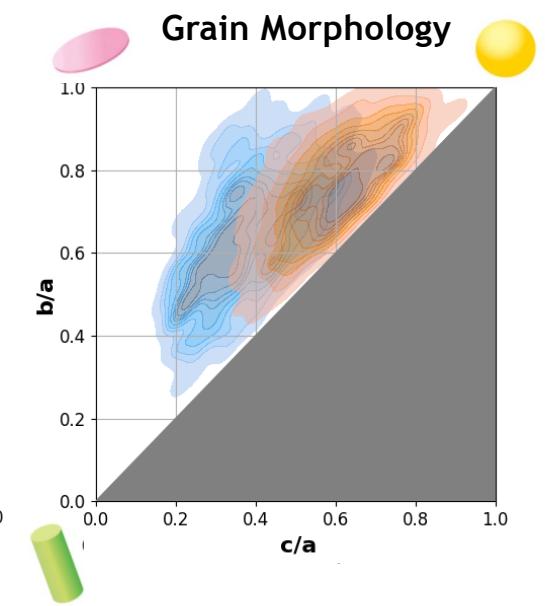
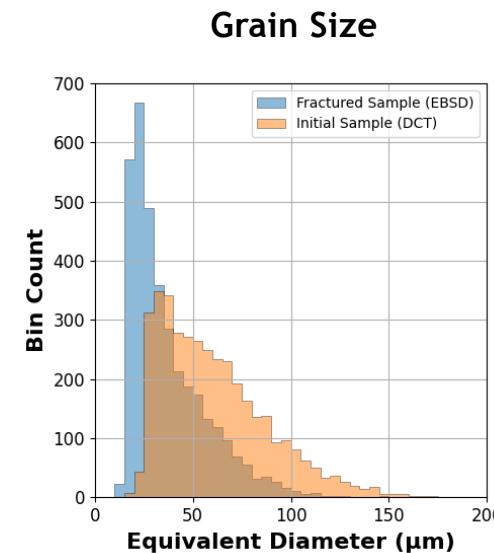
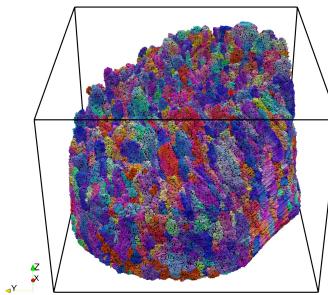
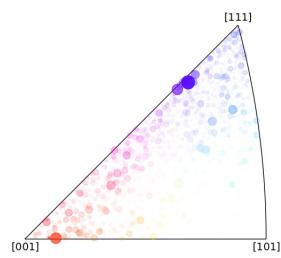
Clear changes in texture and morphology during deformation



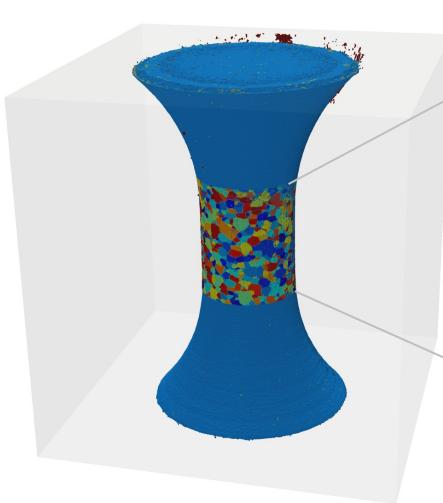
- DCT Data (5 μm resolution)



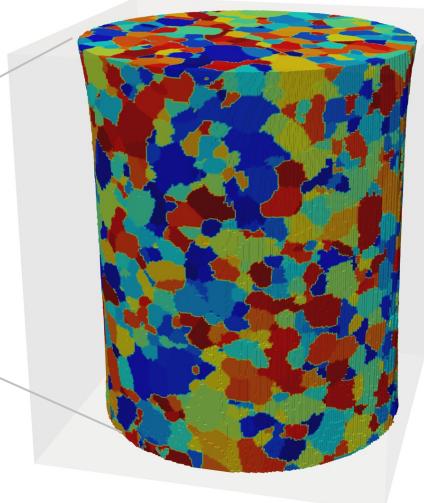
- EBSD Data (2 μm resolution)



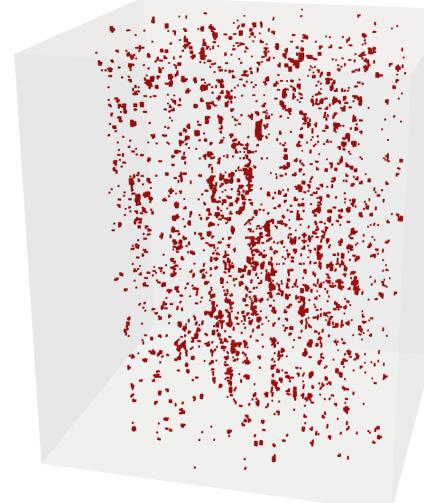
Crystal plasticity simulation initialized from DCT data



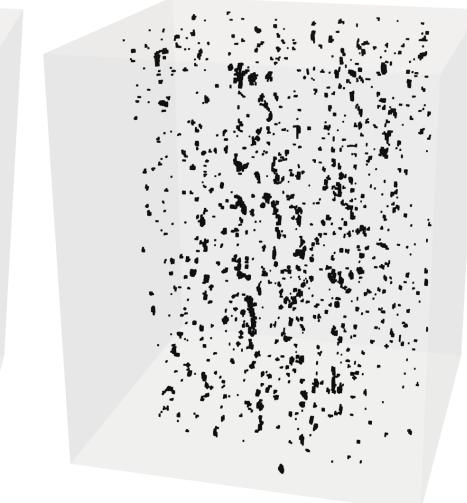
674x672x686
310,708,608 voxels
5 μ m voxel size



209x206x245
10,548,230 voxels
3708 grains

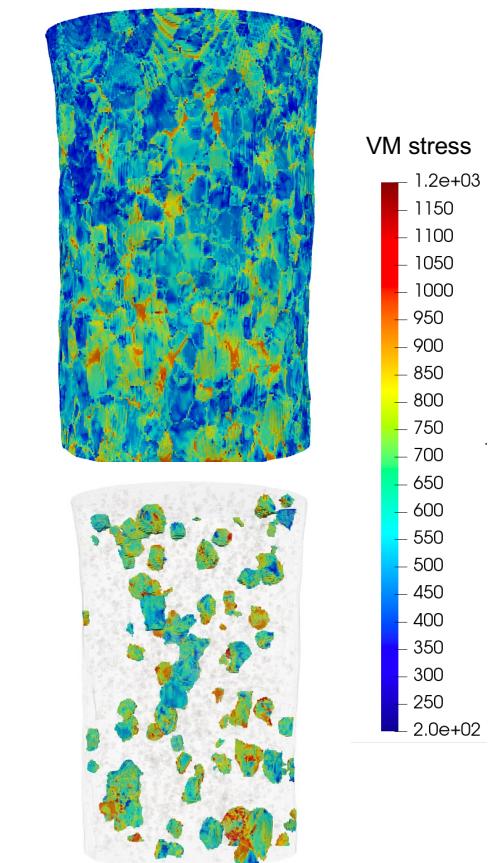
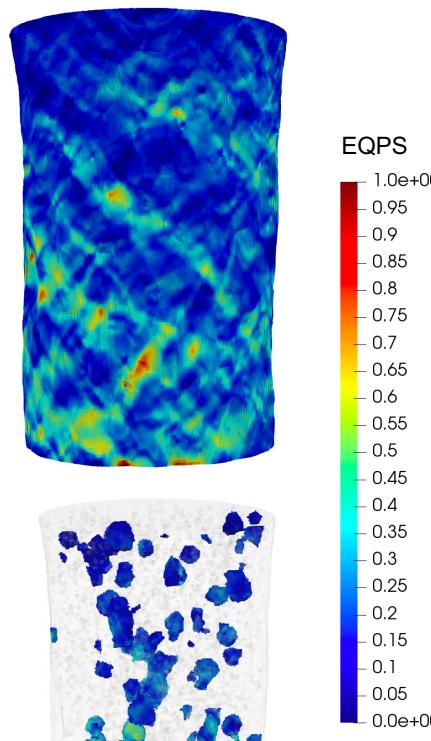
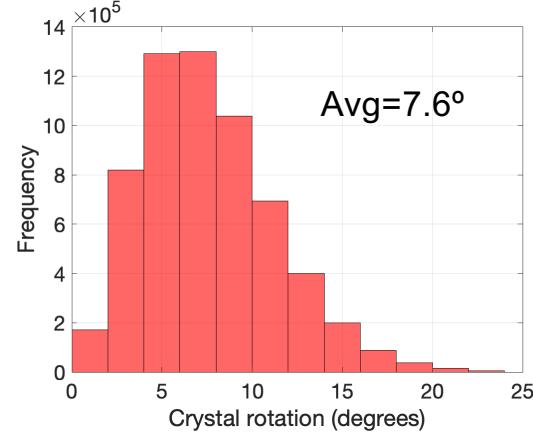
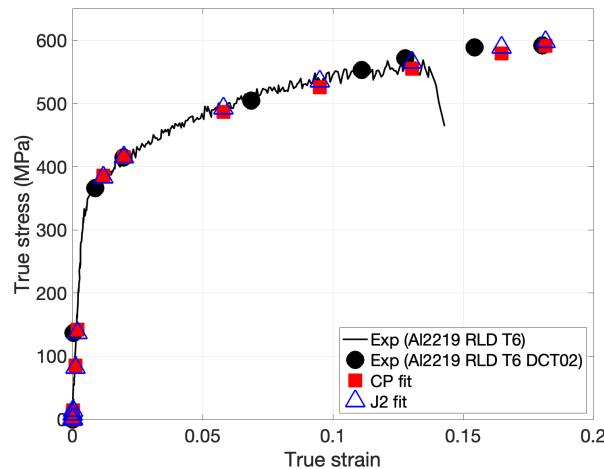


Particle = 0.8865 %

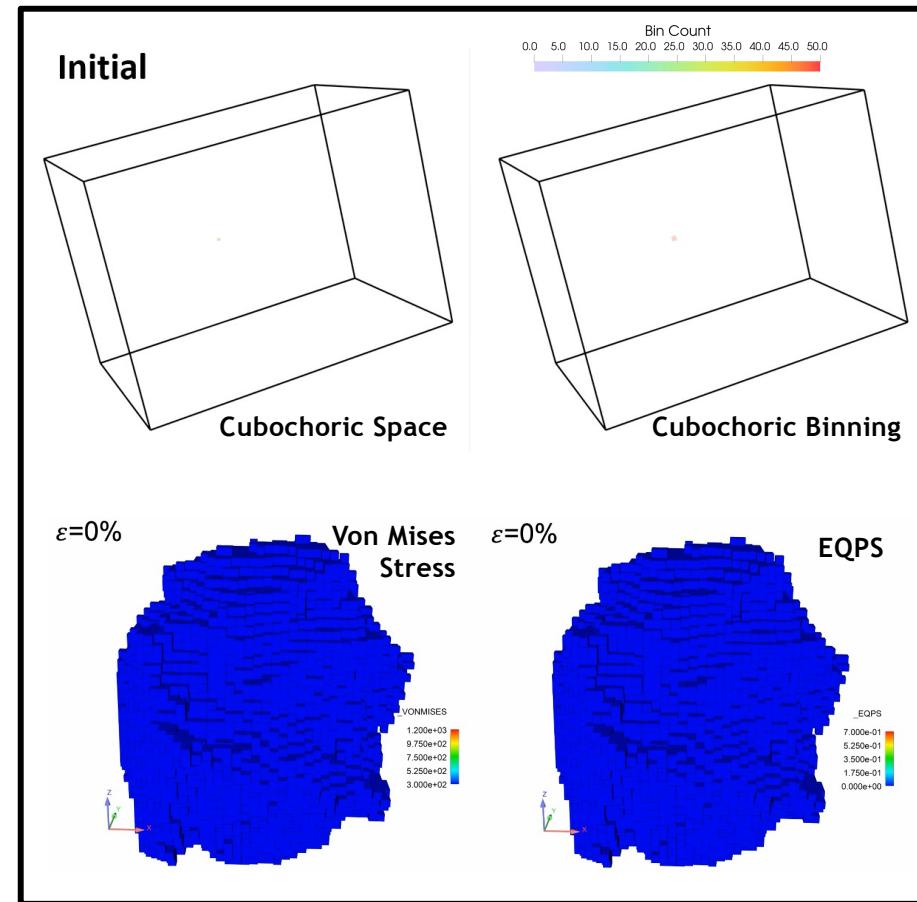
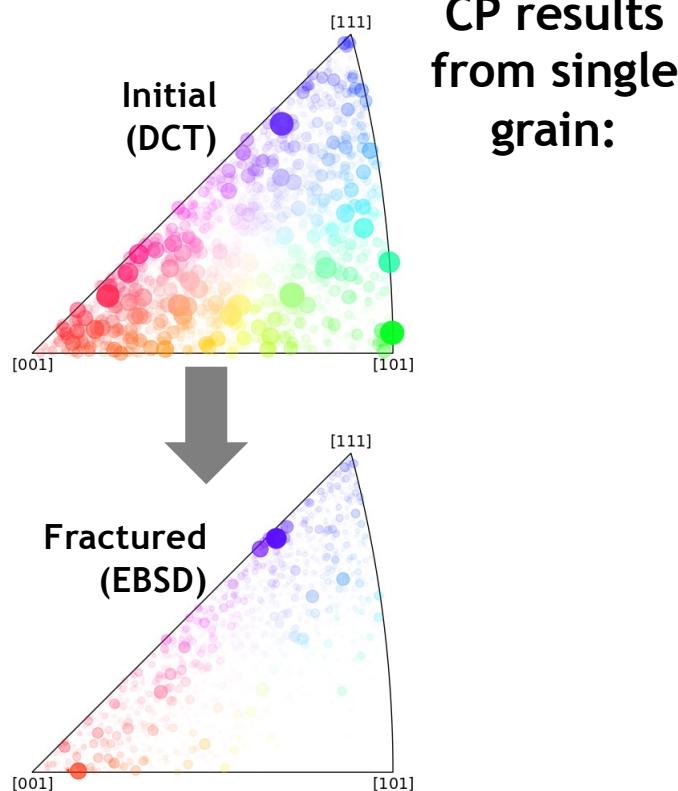


Pores = 0.5055 %

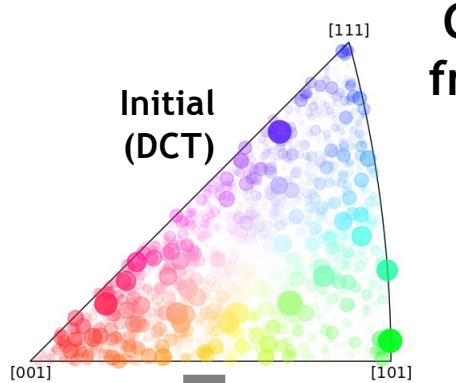
CP Local Fields at 19% Strain



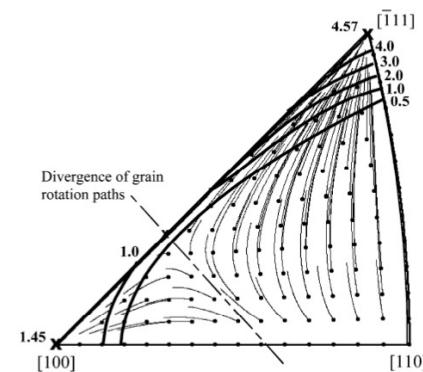
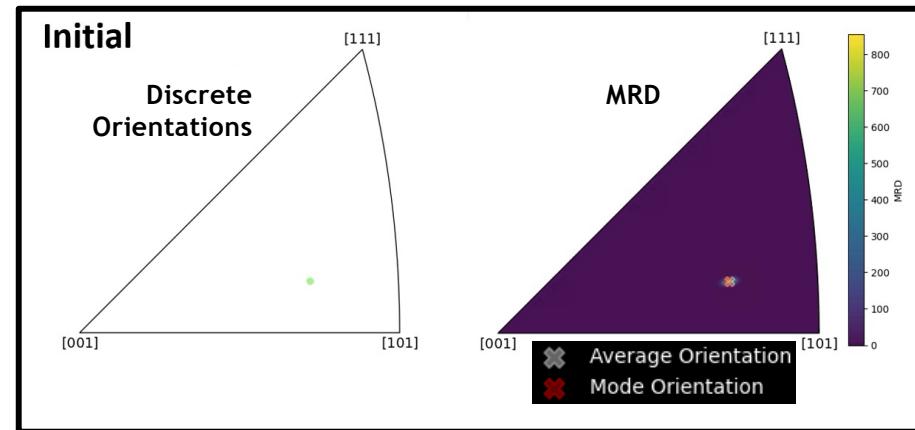
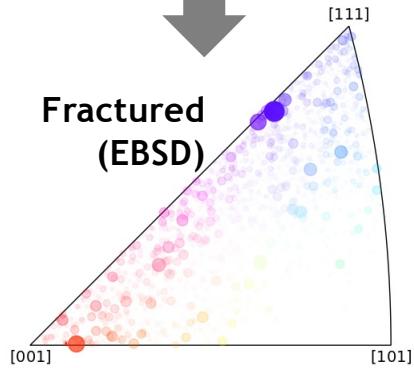
Grain rotation seen with CP explains change in texture



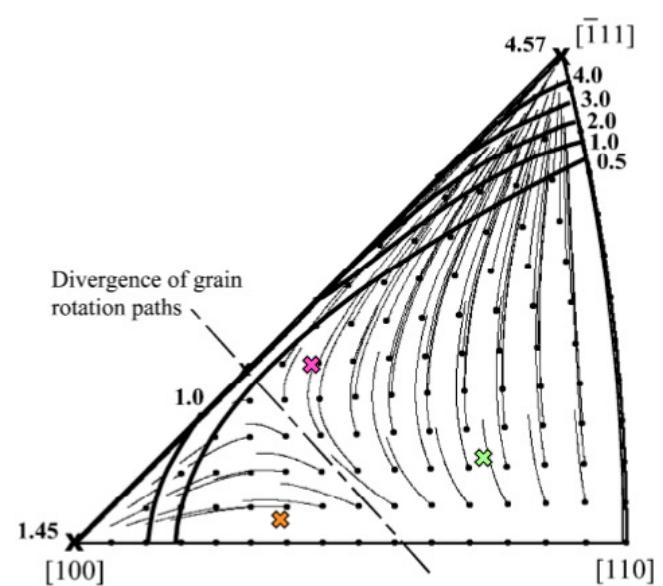
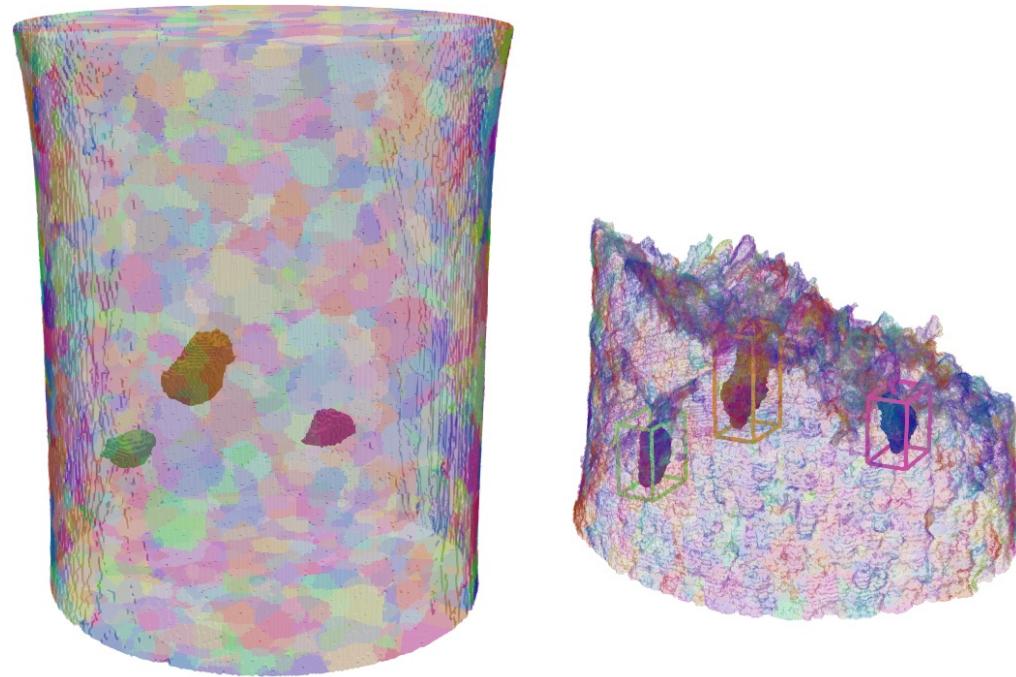
Grain rotation seen with CP explains change in texture



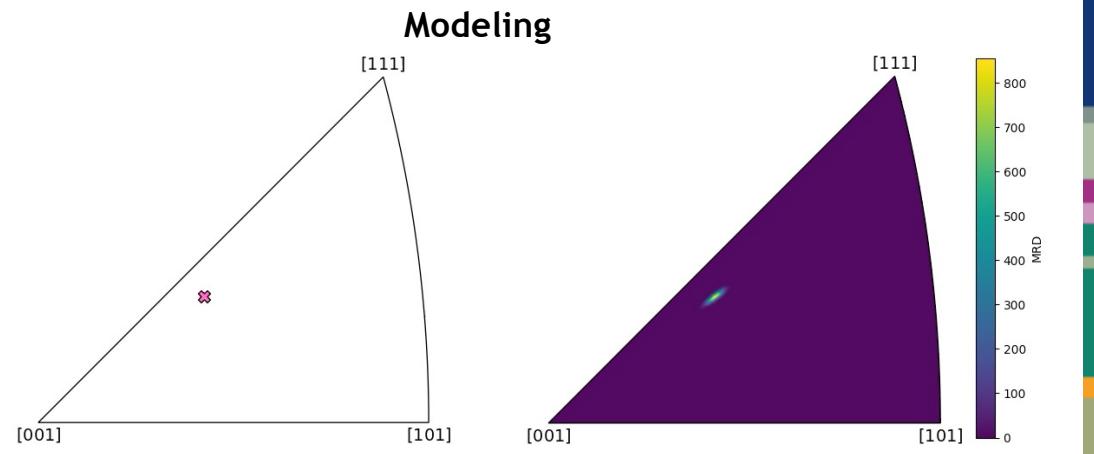
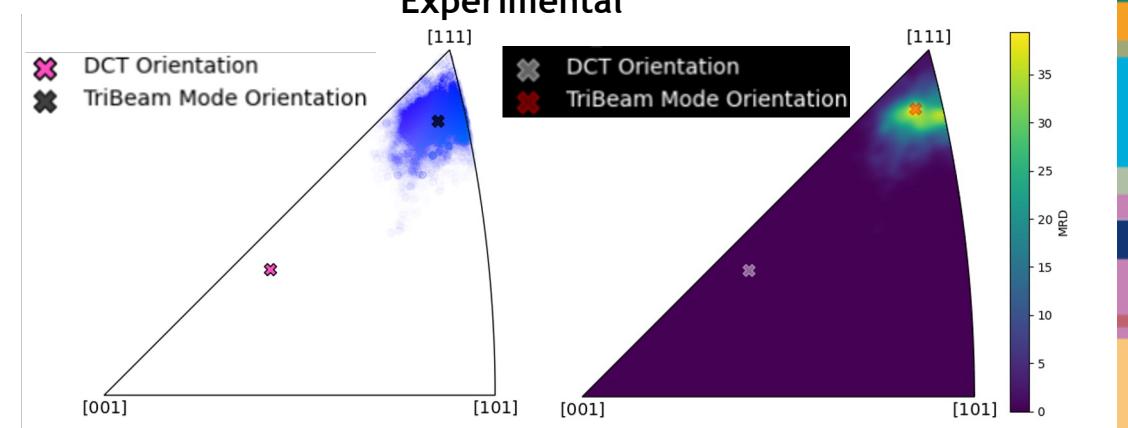
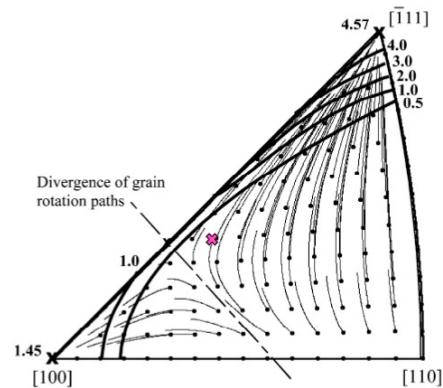
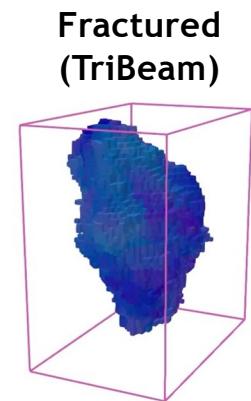
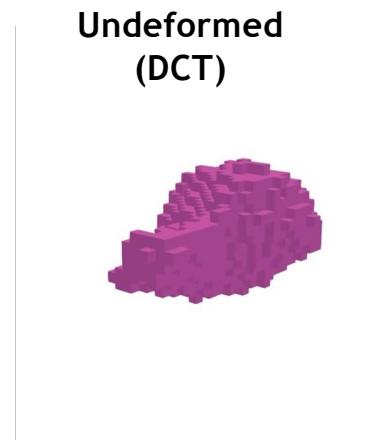
CP results
from single
grain:



Grains Pairs in undeformed DCT and fractured TriBeam data



Grains Pairs in undeformed DCT and fractured TriBeam data

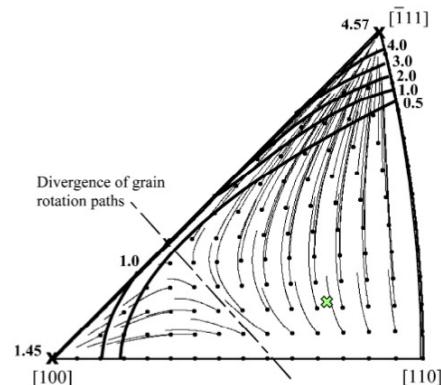
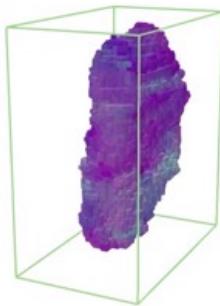


Grains Pairs in undeformed DCT and fractured TriBeam data

Undeformed
(DCT)

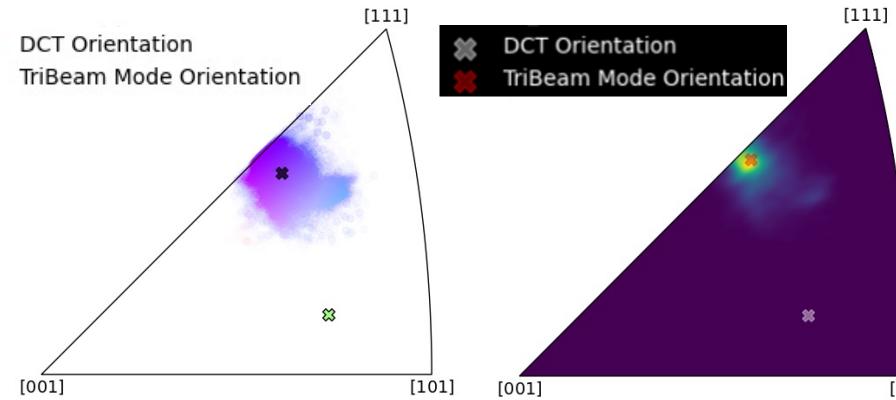


Fractured
(TriBeam)

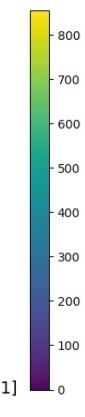
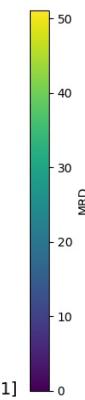
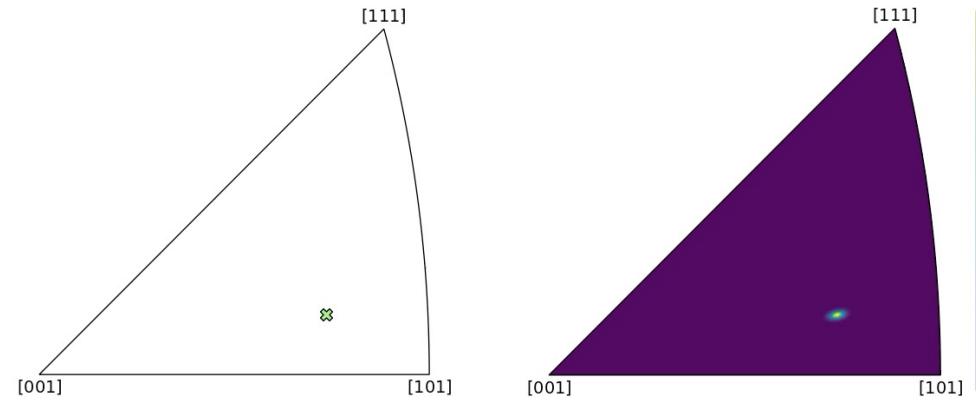


✖ DCT Orientation
✖ TriBeam Mode Orientation

Experimental

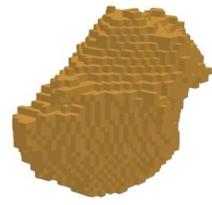


Modeling

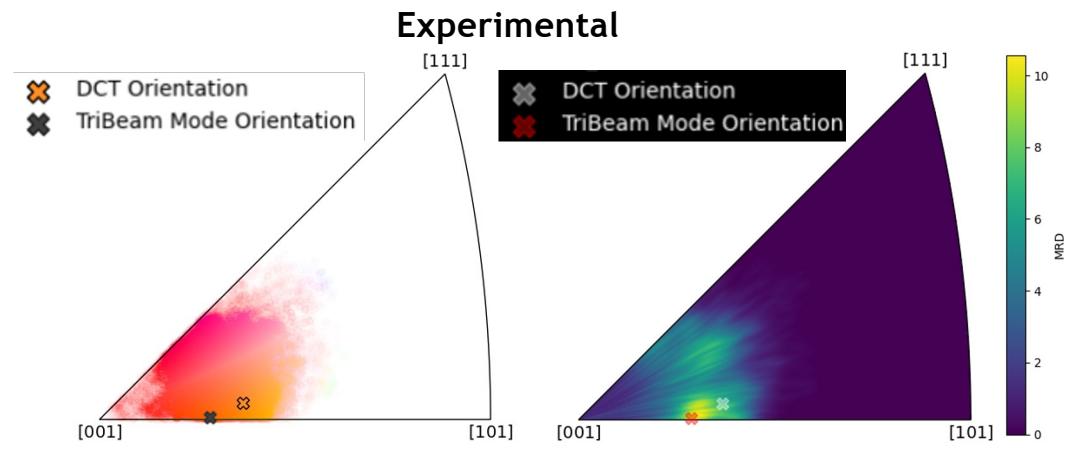
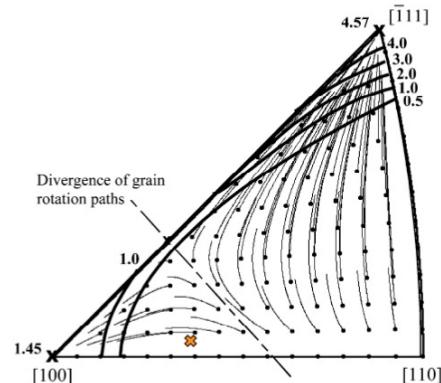
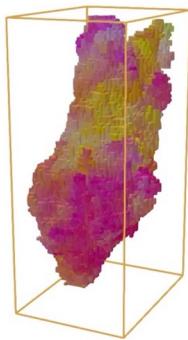


Grains Pairs in undeformed DCT and fractured TriBeam data

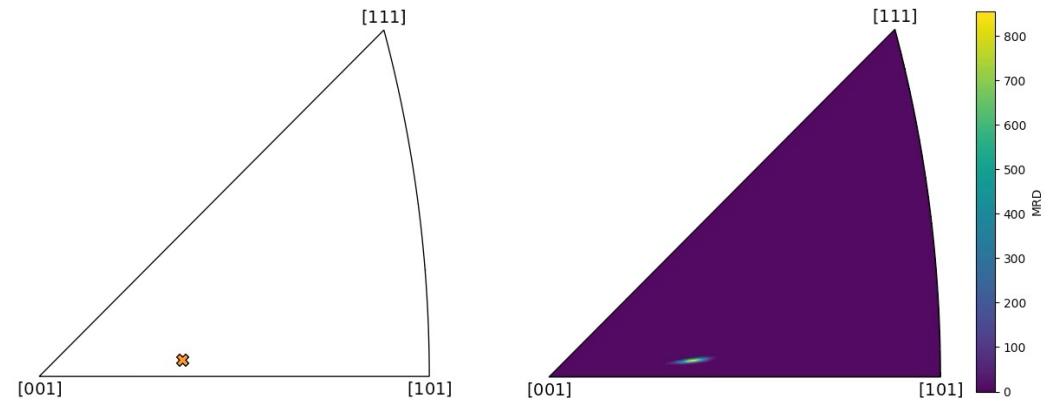
Undeformed
(DCT)



Fractured
(TriBeam)



Modeling



Conclusions

- Improvements in characterization approaches offers new opportunities for 3D EBSD to understand microstructure
- For complex microstructures with large orientation gradients, choice of reference orientation must be considered
- Data fusion with 3D EBSD is best approached as a combination of affine and non-affine transformations
- Ground truth EBSD data can be used to benchmark and improve high-fidelity modeling approaches

