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# Real-Time Adaptive Protection Optimization using CAPE

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CAPE User Group Meeting

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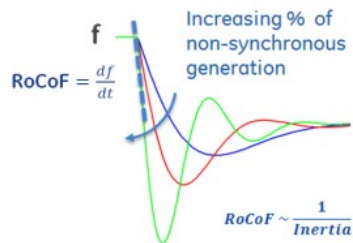
# Problem Statement

- The grid is getting more complicated, with increasing number of possible states
- The conventional protection system lacks the intelligence required to modify the protective responses according to the system conditions

## Current Protection Schemes

### Local Fault Detection

- Well-tuned only for normal operating conditions
- Does not work with distributed energy resources (DER) with reverse current or inverter-based generation with low fault currents

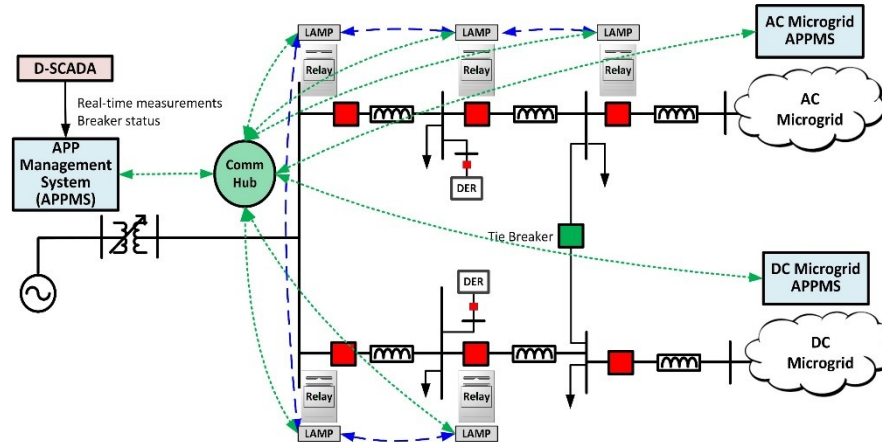


### Logic-Based Adaptive Protection

- Reliant on communication, which also introduces cyber-security vulnerabilities
- Based on a set of pre-defined logics
- Covering a limited number of contingencies



- Adaptive Protection Platform (APP) to be utilized in modern distribution systems with high penetration of PV as well as AC and DC microgrids.

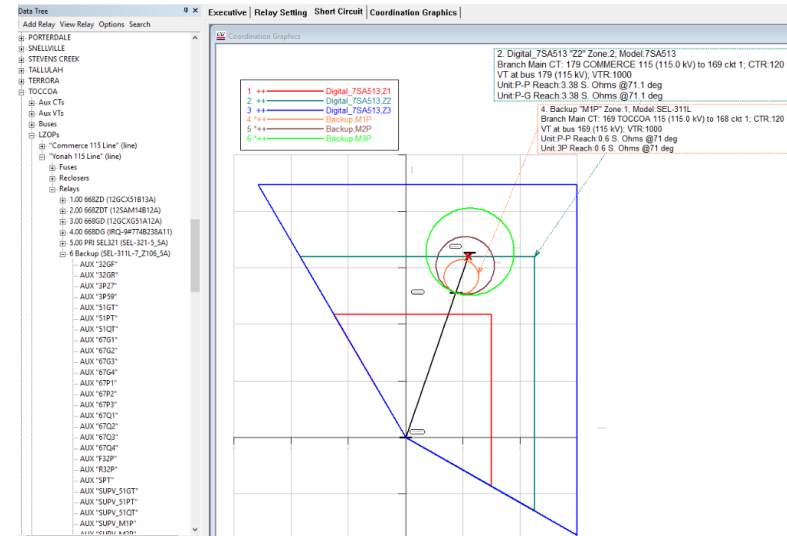
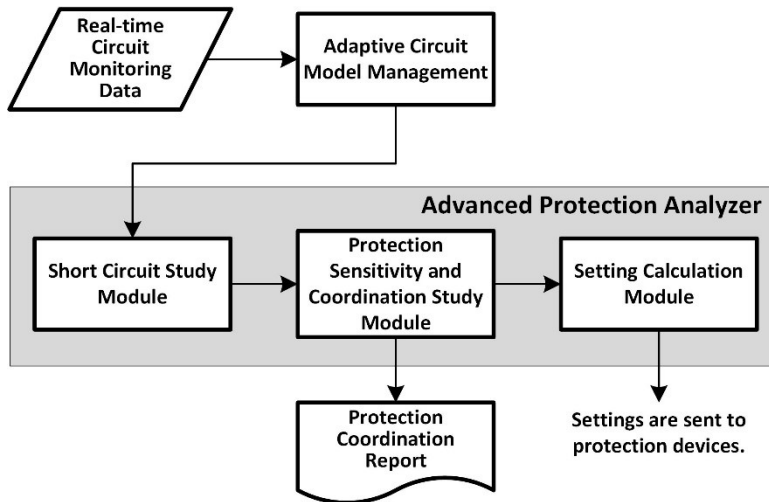


- Determine appropriate relay settings in real-time for all devices in the network based on the current system state (switching, grid-connected, generator dispatch, etc.)

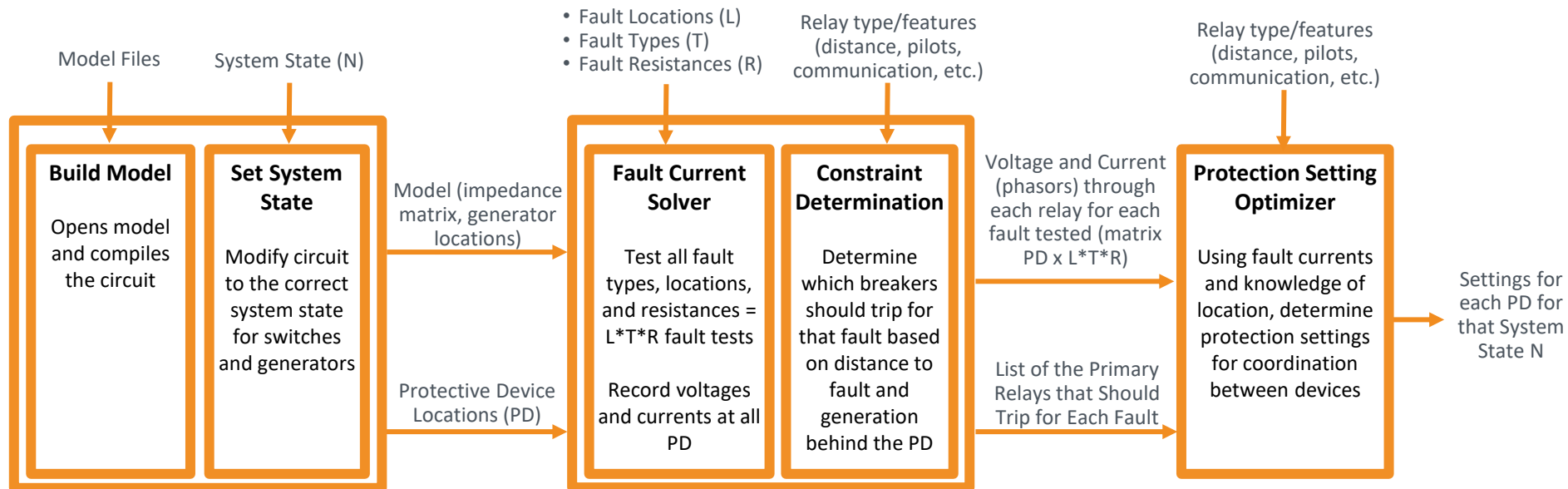


# Adaptive Protection Platform

- APP Management System (APPMS)
  - Model-based algorithms in Adaptive Circuit Model Management
  - Uses CAPE for model-based Short Circuit Study Module, and wide-area Sensitivity and Coordination Study Module
  - Derive optimized and coordinated relay settings



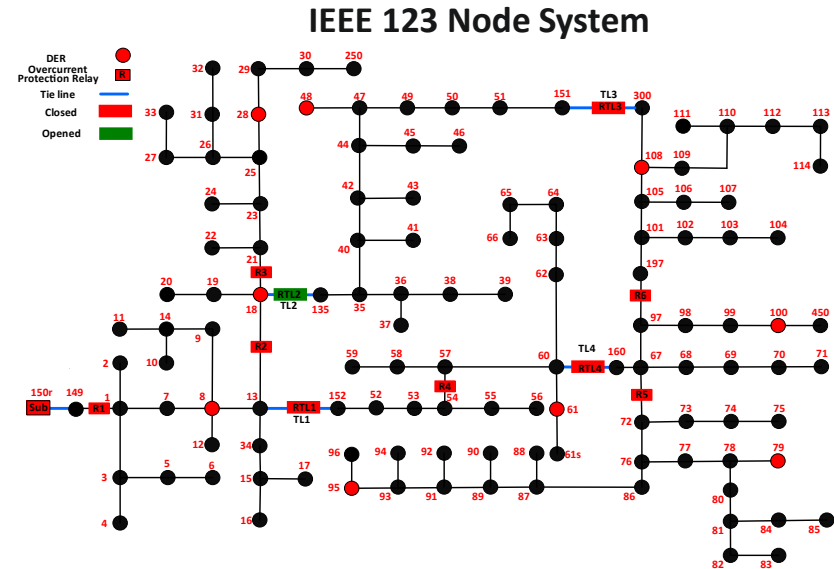
- Optimize relay settings (curve type, time dial, and pickup current)
  - Minimize the sum of the relay operating times for all possible faults
  - Ensure coordination with a coordination time interval (CTI) between relays of at least 0.25s for all fault types at various locations and resistances



- Relay Settings Optimizer Functionality
  - Coordination between relays, reclosers, and fuses based on the capabilities of each protective device in CAPE – device type, pickup range, time dial setting range, time current curve choices
  - Uses relay, recloser, and fuse curves imported from CAPE
  - Fast and slow curve recloser coordination with fuse minimum melting and total clearing time
  - Multiple protection function choices:
    - Instantaneous overcurrent (50P/G)
    - Time overcurrent (51P/G) – pickup, time dial setting, curve type
    - Directional time overcurrent (67) – characteristic angle (ECA), forward angle limit
    - Voltage-restrained time overcurrent (51V)
    - Distance (21) – zone 1 and 2 impedance angle and magnitude, delay time

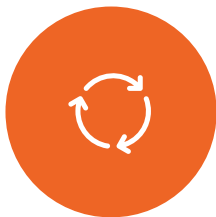
# Adaptive Protection Interaction with CAPE

1. Query equipment capabilities from CAPE
  - Available protection functions, curve types, and setting ranges
2. Read real-time breaker status and system steady-state pre-fault data from streaming data from relays, PMU, and DER
  - Updates real-time model in CAPE
3. Run short circuit study in CAPE to calculate voltages and currents at relays
4. Determine optimal protection settings for all relays
5. Update CAPE model with relay settings
6. Run CAPE coordination macro
7. Push settings to relays

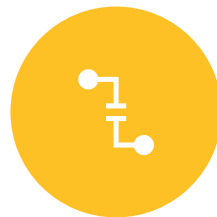


# CAPE Short Circuit Study Macro

- Settings optimizer needs short circuit data to find out properly coordinated settings
- The model consists of inverter-based resources (IBRs), the power flow is bidirectional. During short circuit, fault is fed by both grid and IBRs.
- This macro produces short circuit currents and voltages at all relay locations.



3 SLG faults, 3 DLG faults, 3 LTL faults and one 3-phase faults are defined



Each fault is attached with low 0.05 Ohm and high 1 Ohm Fault resistance.



Faults are applied to near node to the relay and far node from the relay.



Fault currents and voltages are collected on all relays in “CSV” format.

# CAPE Distribution Coordination Macro

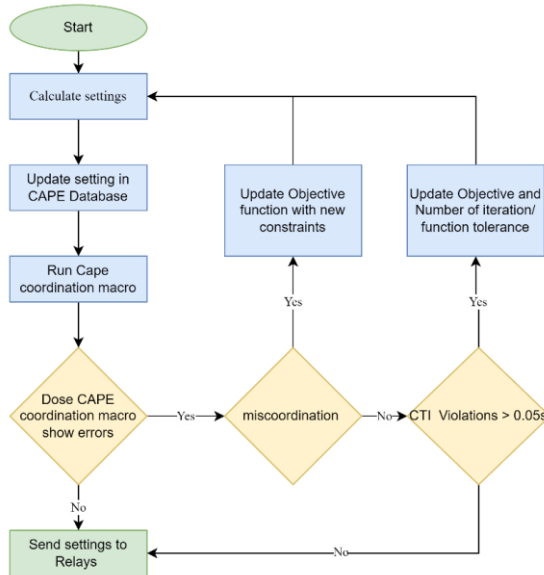


- This macro runs to verify the validity of the settings from the adaptive protection optimizer
- Each line is faulted according to location specified.
- The macro finds out the pair of relays for coordination for a fault and their timing
- **UPDATE:**
  - Now includes information about primary/backup devices
  - Directional settings
  - Voltage restrained settings

Macro to perform distribution feeder protection coordination study.

<p><b>1: Select first line of distribution feeder IN THE DOWNSTREAM DIRECTION</b></p> <p><input type="text"/></p> <p><b>+ Modify Selection   - Remove Selection</b></p> <p><b>2: Specify bolted faults that should be checked</b></p> <p><input checked="" type="checkbox"/> Single-Line-Ground  <input checked="" type="checkbox"/> Three-Phase-Ground  <input type="checkbox"/> Line-to-Line  <input type="checkbox"/> Double-Line-Ground</p> <p><b>3: Specify first fault resistance in primary ohms for SLG_RF (0 if none desired)</b>  Range: 0 - 150  Value: <input type="text" value="0"/></p> <p><b>4: Specify second fault resistance in primary ohms for SLG_RF (0 if none desired)</b>  Range: 0 - 150  Value: <input type="text" value="0"/></p> <p><b>5: Specify first fault resistance in primary ohms for DLG_RF (0 if none desired)</b>  Range: 0 - 150  Value: <input type="text" value="0"/></p> <p><b>6: Specify second fault resistance in primary ohms for DLG_RF (0 if none desired)</b>  Range: 0 - 150  Value: <input type="text" value="0"/></p> <p><b>7: Specify fault locations that should be checked</b></p> <p><input type="checkbox"/> Select All  <input checked="" type="checkbox"/> Local Close-In  <input type="checkbox"/> 10%  <input type="checkbox"/> 15%  <input checked="" type="checkbox"/> 50%  <input type="checkbox"/> 85%  <input type="checkbox"/> 90%  <input checked="" type="checkbox"/> Remote Close-In</p>	<p><b>8: Select file containing network changes; file must have been saved with the "Snapshots   Save Network Changes to File" option in SC or SS</b></p> <p><input type="text"/></p> <p><b>9: Select file containing network changes; file must have been saved with the "Snapshots   Save Network Changes to File" option in SC or SS</b></p> <p><input type="text"/></p> <p><b>10: Simulation depth (Number of levels of backup to consider)</b>  Range: 1 - 4 step 1  Value: <input type="text" value="2"/></p> <p><b>11: Minimum desired CTI (default 0.30 SECONDS)</b>  Range: 0.01 - 1 step 0.01  Value: <input type="text" value="0.3"/></p> <p><b>12: Maximum allowed CTI between primary and backup (default 16.65 SECONDS); enter 999 to NOT PERFORM this check</b>  Range: 0.5 - 999 step 0.5  Value: <input type="text" value="16.5"/></p> <p><b>13: Maximum allowed fault clearing time (default 2.00 SECONDS)</b>  Range: 1 - 5 step 0.1  Value: <input type="text" value="2"/></p> <p><b>14: Print informational messages during the study?</b>  <input type="text" value="NO"/></p> <p><b>15: Detailed File Reporting Options</b></p> <p><input checked="" type="radio"/> Write report file for all cases tested  <input type="radio"/> Write report file only for cases causing CTI Viol./Miscoord. or other problems</p> <p><b>16: Enter utility's name here for reporting</b>  <input type="text" value="IEEE 123 bus system"/></p>
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# Integration with CAPE coordination Macro



- If CAPE coordination macro identifies any mis-operations, miscoordination is written as additional constraint with adaptive protection optimizer re-run

Faulted Segment Data - Start Bus: 76 IEEE123 ( 4.2 MW) End Bus: 86 IEEE123 ( 4.2

No.	Network Situation/Outages in Effect	Fault (RF)	Fault Location	Time (s)
712	System Normal (Maximum short-circuit)	SLG	Close-in : on to 86 IEEE123	0.039
Event: 1 at 2.38 cycles; 0.040 seconds; (all times below in SECONDS)				

Substation	L2OP Name	Primary TYPE Backup	L2OP Time	Brkr Time	Total Time	Avail CTI
IEEE123	R5	MISC PRIMARY	0.040	0.000	0.040	N/A NORMAL OPERATION
Element: 31 AUX "VCTRL_ENABLE1"; (SEL-351S)		Contact Logic Code: VCTRL1			Op. Time: 0.000	
Element: 31 AUX "VCTRL_ENABLE2"; (SEL-351S)		Contact Logic Code: VCTRL2			Op. Time: 0.000	
Element: 31 DIR "32QFG"; (SEL-351S)		Contact Logic Code: DIRG FW			Op. Time: 0.001	
Element: 31 DIR "32QRG"; (SEL-351S)		Contact Logic Code: DIRG RV			Op. Time: 0.001	
Element: 31 TOC "51G1T"; (SEL-351S)		Contact Logic Code: 51G1 FW			Op. Time: 0.040	
Element: 31 TOC "51G2T"; (SEL-351S)		Contact Logic Code: 51G2 RV			Op. Time: 0.040	
Element: 31 TOC "51P1T"; (SEL-351S)		Contact Logic Code: 51P1 FW			Op. Time: 0.040	
Element: 31 TOC "51P2T"; (SEL-351S)		Contact Logic Code: 51P2 RV			Op. Time: 0.040	

IEEE123	RTL4	MISC BACKUP	0.406	0.000	0.406	0.366 PREDICTED
Element: 34 AUX "VCTRL_ENABLE1"; (SEL-351S)		Contact Logic Code: VCTRL1			Op. Time: 0.000	
Element: 34 AUX "VCTRL_ENABLE2"; (SEL-351S)		Contact Logic Code: VCTRL2			Op. Time: 0.000	
Element: 34 DIR "32QFG"; (SEL-351S)		Contact Logic Code: DIRG FW			Op. Time: 0.001	
Element: 34 DIR "32QRG"; (SEL-351S)		Contact Logic Code: DIRG RV			Op. Time: 0.001	
Element: 34 TOC "51G1T"; (SEL-351S)		Contact Logic Code: 51G1 FW			Op. Time: 0.406	
Element: 34 TOC "51G2T"; (SEL-351S)		Contact Logic Code: 51G2 RV			Op. Time: 0.406	
Element: 34 TOC "51P1T"; (SEL-351S)		Contact Logic Code: 51P1 FW			Op. Time: 0.444	
Element: 34 TOC "51P2T"; (SEL-351S)		Contact Logic Code: 51P2 RV			Op. Time: 0.444	

IEEE123	R4	MISC BACKUP	0.794	0.000	0.794	0.754 PREDICTED
Element: 32 AUX "VCTRL_ENABLE1"; (SEL-351S)		Contact Logic Code: VCTRL1			Op. Time: 0.000	
Element: 32 AUX "VCTRL_ENABLE2"; (SEL-351S)		Contact Logic Code: VCTRL2			Op. Time: 0.000	
Element: 32 DIR "32QFG"; (SEL-351S)		Contact Logic Code: DIRG FW			Op. Time: 0.001	
Element: 32 DIR "32QRG"; (SEL-351S)		Contact Logic Code: DIRG RV			Op. Time: 0.001	
Element: 32 TOC "51G1T"; (SEL-351S)		Contact Logic Code: 51G1 FW			Op. Time: 0.794	
Element: 32 TOC "51G2T"; (SEL-351S)		Contact Logic Code: 51G2 RV			Op. Time: 0.794	
Element: 32 TOC "51P1T"; (SEL-351S)		Contact Logic Code: 51P1 FW			Op. Time: 0.893	
Element: 32 TOC "51P2T"; (SEL-351S)		Contact Logic Code: 51P2 RV			Op. Time: 0.893	

IEEE123	RTL1	MISC BACKUP	1.073	0.000	1.073	1.033 PREDICTED
Element: 40 AUX "VCTRL_ENABLE1"; (SEL-351S)		Contact Logic Code: VCTRL1			Op. Time: 0.000	
Element: 40 AUX "VCTRL_ENABLE2"; (SEL-351S)		Contact Logic Code: VCTRL2			Op. Time: 0.000	
Element: 40 DIR "32QFG"; (SEL-351S)		Contact Logic Code: DIRG FW			Op. Time: 0.001	
Element: 40 DIR "32QRG"; (SEL-351S)		Contact Logic Code: DIRG RV			Op. Time: 0.001	
Element: 40 TOC "51G1T"; (SEL-351S)		Contact Logic Code: 51G1 FW			Op. Time: 1.073	
Element: 40 TOC "51G2T"; (SEL-351S)		Contact Logic Code: 51G2 RV			Op. Time: 1.073	
Element: 40 TOC "51P1T"; (SEL-351S)		Contact Logic Code: 51P1 FW			Op. Time: 1.473	
Element: 40 TOC "51P2T"; (SEL-351S)		Contact Logic Code: 51P2 RV			Op. Time: 1.473	

# Running CAPE in Real-Time

# Running CAPE in Real-Time

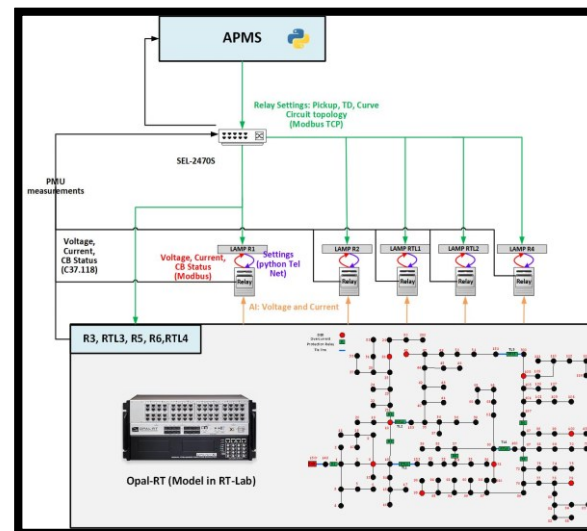


- For adaptive protection, CAPE represents a real-time digital twin of the system, which is updated based on system conditions
- Demonstrated using Opal-RT real-time hardware-in-the-loop simulator
  - Opal-RT grid simulator voltages and currents to the analog inputs of relays
  - Relays stream PMU data that updates CAPE model



A change in configuration was issued here. After blocking scheme was enabled, the system configuration took effect.

A Successfully change of circuit configuration. Closing Relay TL3 and Opening Relay TL 4.



# CAPE Model Update with New Settings

- Uses PMU data to update real-time digital twin in CAPE
- Updates relay settings in CAPE based on the optimizer output
  - **UPDATE:** directional overcurrent settings are now available



This will run in sequence after the protection system sees the change in system.



Optimizer provides new set of settings.



From CAPE, each LZOP are matched with corresponding data from optimizer.



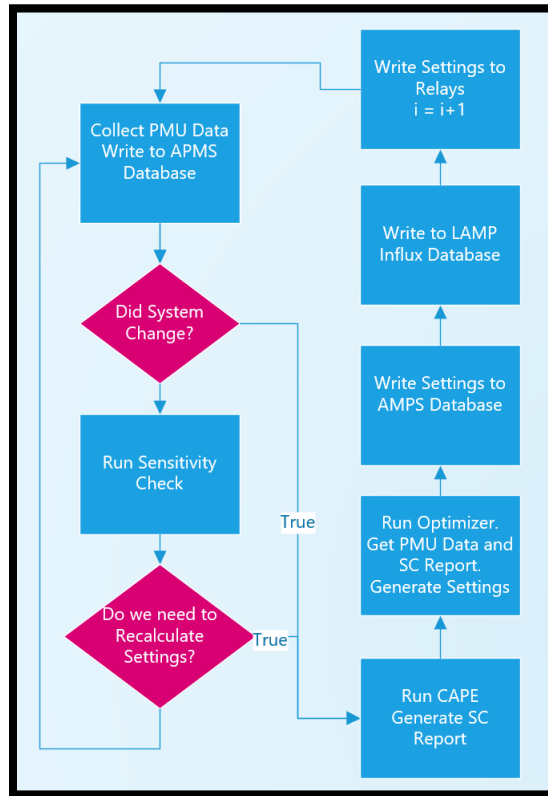
CAPE model updater macro runs to update settings data in LZOP

# Adaptive Protection Process

Funded by:

PMU data is streamed at  
30 messages/sec

Sensitivity Check takes  
~ 5, **0.3** seconds



Writing Settings to Relays  
Takes ~62, **10** seconds\*

Writing Settings to LAMP  
Database takes ~1 seconds

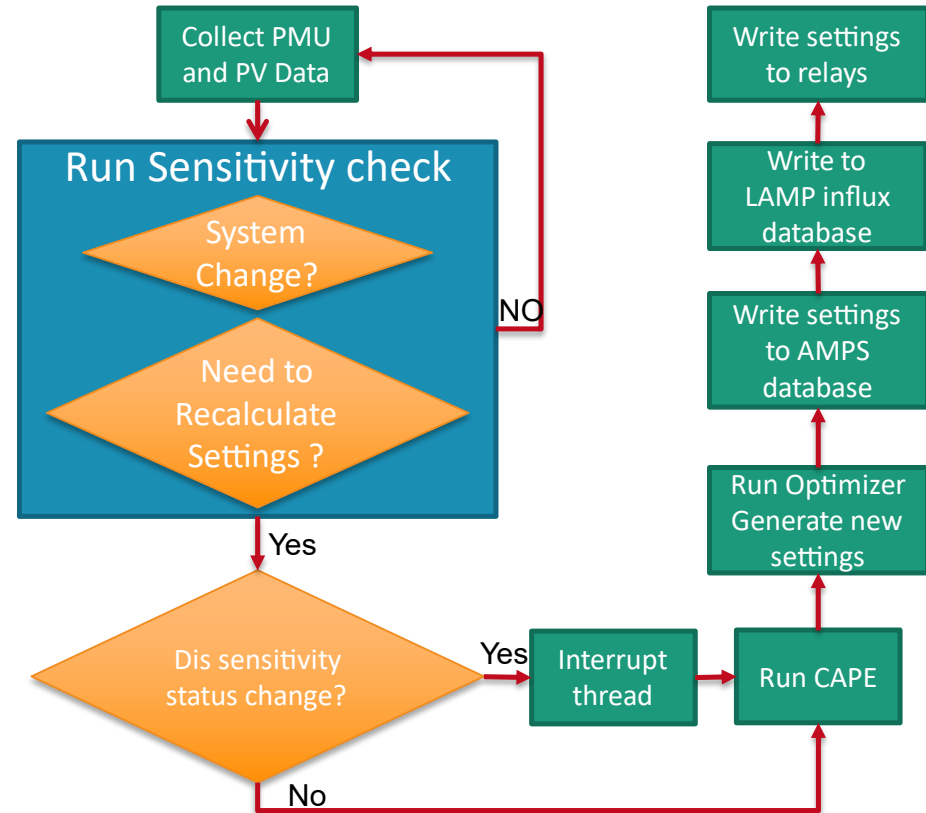
Writing Settings to AMPS  
Database takes ~1 seconds

Optimizer takes  
~35, **11** seconds

CAPE SC Report takes  
~35 seconds

The total process takes ~2 **1** min or ~4.5mins with the CAPE coordination check enabled

- CAPE can be run in a parallel thread for improved speed
- The parallel thread can be interrupted for real-time analysis

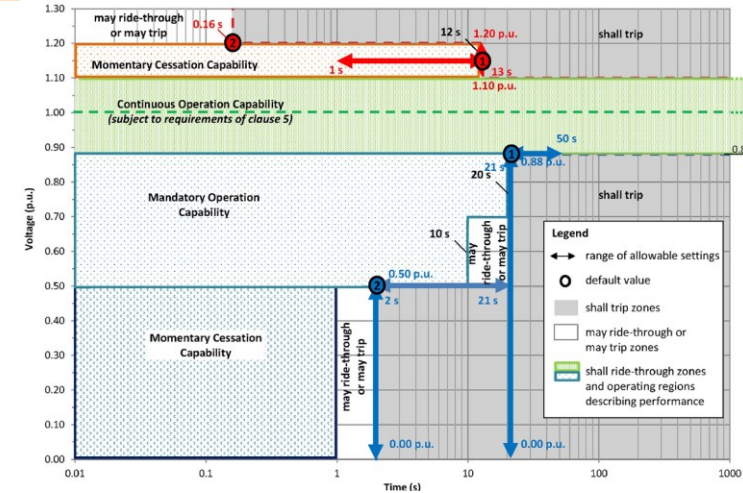


# Modeling Inverter-Based DER in CAPE

- For distribution protection studies, one of the key pieces is if DER will:
  - A. Ride-through the fault and contribute fault current, or
  - B. Enter momentary cessation before the protective devices can react
- Two methods developed for incorporating DER momentary cessation curves into CAPE

# Modeling IBR in CAPE

- Adding Undervoltage Protection Relays to CAPE Model to each IBR to model the IEEE 1547 momentary cessation and disconnect
- Using the standard CAPE tools, such as sequence of operations and coordination studies, the IBR momentary cessation of each IBR will appear at the appropriate time



	Voltage	Clearing time (s)
OV2	1.2 pu	0.16
OV1	1.1 pu	13
UV1	0.88 pu	21
UV2	0.5 pu	2

Protective Device Data: Query

Copy Record Close Original Print Settings Settings IO

Location Device Remarks Miscellaneous Device Tag: 48 Relay Tag: 45  
 Local Zone of Protection Data View LZOP Change LZOP  
 Substation IEEE123 Data last changed on 12/12/2022 by DB user SYSDBA  
 LZOP ID From: (3008 Bus\_3008) To: (8 IEEE123) Status: Closed Data last changed on 12/12/2022 by OS user binmoudpouet309  
 Device Name Bus8PV LZOP Rank 1 Archived  
 Active Group  
 Device Name 2A.U. Advanced >>

Group Displayed Rename Copy Delete Compare Move Tools

Relay Style GENERIC\_VOLT3 Select Style Catalog Number: GENERIC\_VOLT  
 Scheme Manufacturer: Generic

Elements Common Taps Documents Device Inputs

Connect CT Connect VT Connect AUX Inputs Filter Grid Rows Show Grid Column Options

Type	Designation	Zone	Setting (secondary)	Logic Cnt	Tip C	Operating CT	CT Qty	Operating VT	VT Qty	Fund
VOLT	OV	1			N			S1	ABC	
VOLT	OV2	1			N			S1	ABC	
VOLT	UV	101.2		27_1	N			S1	ABC	
VOLT	UV2	57.5		27_2	N			S1	ABC	
AUX	OVT	PU=0; DO=0 S			N					
AUX	OVT2	PU=0; DO=0 S			N					
AUX	UVT	PU=21; DO=0 S		27T_1	Y					
AUX	UVT2	PU=2; DO=0 S		27T_2	N					



# Modeling IBR in CAPE

- To implement the momentary cessation requested by IEEE 1547, we used the voltage-controlled current source model in CAPE for IBRs.
- This helps us gather correct fault currents to optimize TOC elements since IBRs stop injecting current after 5 cycles if their voltage falls below 0.5 pu.

Voltage range (p.u.)	Operating mode/response	Minimum ride-through time (s) (design criteria)	Maximum response time (s) (design criteria)
$V > 1.20$	Cease to Energize <sup>a</sup>	N/A	0.16
$1.10 < V \leq 1.20$	Momentary Cessation <sup>b</sup>	12	0.083
$0.88 \leq V \leq 1.10$	Continuous Operation	Infinite	N/A
$0.70 \leq V < 0.88$	Mandatory Operation	20	N/A
$0.50^c \leq V < 0.70$	Mandatory Operation	10	N/A
$V < 0.50^c$	Momentary Cessation <sup>b</sup>	1	0.083

Generator Data: Query

Bus Number: 3008 Bus\_3008 (4.16 kV) In Service Date:

Shunt Number: 1 Circuit ID:  Out of Service Date:

Shunt Label: Generator Category: <unassigned>

SC Machine Type: Generator Name:

Data last changed on 12/12/2022 by DB user SYSDBA  
Data last changed on 12/12/2022 by OS user binodpoudel309

<< Advanced

Impedance Power Flow Data Miscellaneous Current Limit External Formats IEC Correction

Type of Limit: Voltage-controlled Current Source

#	Voltage (pu)	Current (amps)	Deg (Curr/Volt)
1	1	69.39	0
2	0.9	74.94	-11.31
3	0.7	97.15	-33.06
4	0.5	97.15	-65.38
5	0.49	0	0
6	0.45	0	0
7	0.4	0	0
8	0.2	0	0
9	0	0	0

Time Constant (seconds)  
Td'' Default (0.033)   
Td' Default (1.00)   
View Defaults

Operating Voltage (per unit)  
Minimum 0.7143  
Maximum 1.1

# Ensuring Fault Clearing Before Equipment is Damaged

Using CAPE Equipment Databases

# Equipment Damage Considerations

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- Optimizer is minimizing the average clearing time for all possible faults in the system, but this does not necessarily guarantee all faults will be cleared fast enough to make sure we do not enter any transformer or line damage curves
- The equipment damage curves can be specifically added to the optimizer as a constraint to ensure all faults are cleared before equipment is damaged

## Distribution Feeder Coordination Macro

ACME Electric Company distribution feeder coordination study

Macro to perform distribution feeder protection coordination study.

1: Select first line of distribution feeder IN THE DOWNSTREAM DIRECTION  
(149 IEEE123) - (1 IEEE123) ckt 1  
+ Modify Selection - Remove Selection

2: Specify bolted faults that should be checked  
☒ Single-Line-Ground  
☒ Three-Phase-Ground  
☐ Line-to-Line  
☐ Double-Line-Ground

3: Specify first fault resistance in primary ohms for SLG\_RF (0 if none desired)  
Range: 0 - 150  
Value: 0

4: Specify second fault resistance in primary ohms for SLG\_RF (0 if none desired)  
Range: 0 - 150  
Value: 0

5: Specify first fault resistance in primary ohms for DLG\_RF (0 if none desired)  
Range: 0 - 150  
Value: 0

6: Specify second fault resistance in primary ohms for DLG\_RF (0 if none desired)  
Range: 0 - 150  
Value: 0

7: Specify fault locations that should be checked  
☐ Select All  
☐ Local Close-In  
☐ 10%  
☐ 15%  
☒ 50%  
☐ 85%  
☐ 90%  
☒ Remote Close-In

8: Select file containing network changes; file must have been saved with the "Snapshots | Save Network Changes to File" option in SC or SS

9: Select file containing network changes; file must have been saved with the "Snapshots | Save Network Changes to File" option in SC or SS

10: Simulation depth (Number of levels of backup to consider)  
Range: 1 - 4 step 1  
Value: 2

11: Minimum desired CTI (default 0.30 SECONDS)  
Range: 0.01 - 1 step 0.01  
Value: 0.3

12: Maximum allowed CTI between primary and backup (default 16.66 SECONDS); enter 999 to NOT PERFORM this check  
Range: 0.5 - 999 step 0.5  
Value: 16.5

13: Maximum allowed fault clearing time (default 2.00 SECONDS)  
Range: 1 - 5 step 0.1  
Value: 2

14: Report conductor time-to-damage  
YES

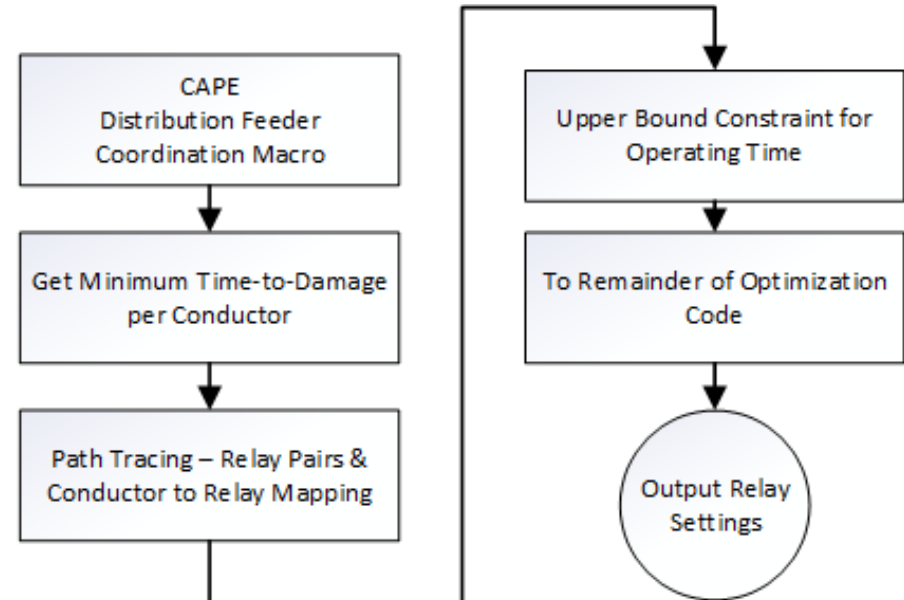
15: Print informational messages during the study?  
NO

16: Detailed File Reporting Options  
☒ Write report file for all cases tested  
☐ Write report file only for cases causing CTI Viol./Miscoord. or other problems

17: Enter utility's name here for reporting  
ACME Electric Company

Ok Cancel

## Optimizer process diagram



# Conductor Damage Constraint

## Example relay to conductor mapping

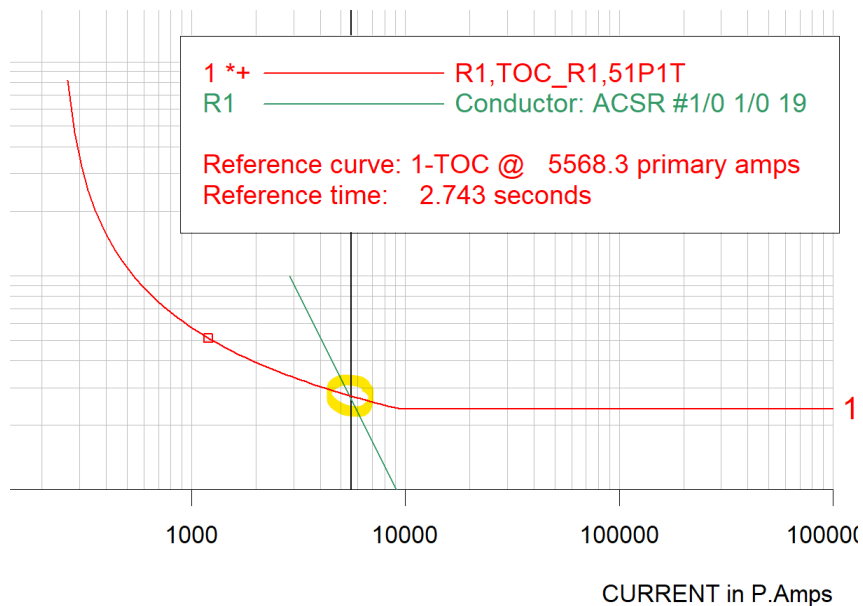
Worst-case conductor in each relay's zone of protection.

Lowest system-wide time-to-damage highlighted.

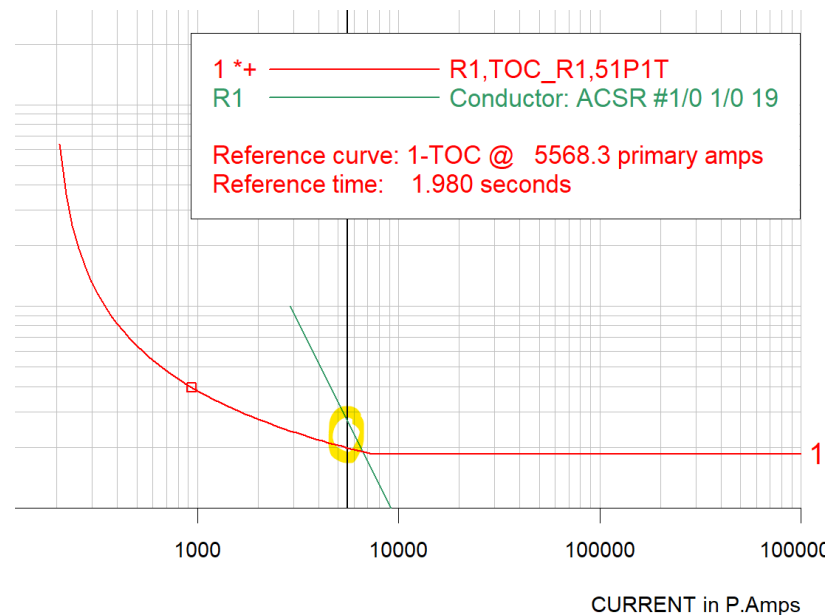
Relay	Element	Conductor From-To	Time-to-Damage (sec.)
R1	Phase	149 to 1	10.35
	Ground	13 to 34	3.02
R2	Phase	13 to 18	33.88
	Ground	18 to 19	3.92
R3	Phase	18 to 21	60+
	Ground	26 to 31	5.97
R4	Phase & Ground	57 to 54	60+
R5	Phase	60 to 62	10.12
	Ground	108 to 109	11.95
R6	Phase	60 to 62	10.12
	Ground	36 to 38	5.64
RTL1	(open)	N/A	N/A
RTL2	Phase	13 to 18	33.88
	Ground	18 to 19	3.92
RTL3	Phase	300 to 108	60+
	Ground	36 to 38	5.64
RTL4	Phase	60 to 62	10.12
	Ground	108 to 109	11.95

## Example CTI improvement due to damage curve constraint:

Damage constraint **DISABLED**  
CTI **Violated**



Damage constraint **ENABLED**  
CTI **Achieved**



# Conductor Damage Constraint

- Separate phase & ground constraint
- No damage violations
- Small impact on optimization time

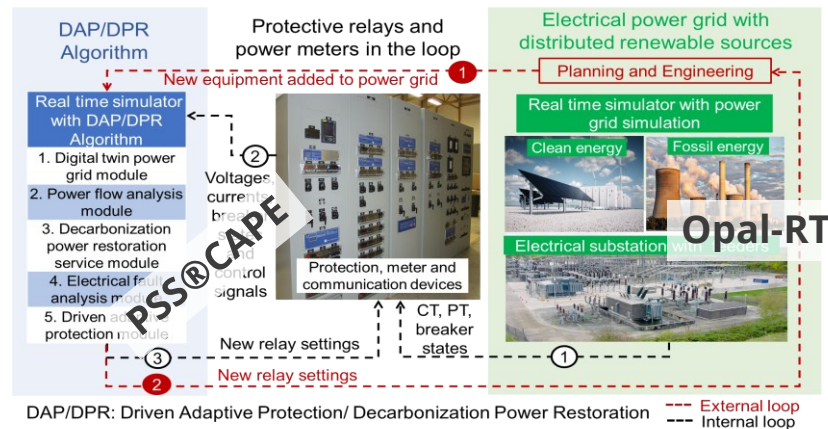
**Optimization Runtime Summary**

Case	Average Runtime (sec.)	Damage Violations
Base	32	N/A
Single Constraint	41	2
Separated Constraints	38	0

- The protection setting optimizer can determine the optimal protection functions and settings based on device capabilities
  - Adaptive protection updates in real-time with changing system conditions
- Integration with CAPE provides a robust and trusted fault current solver
- CAPE macros for protection coordination studies can be run in real-time to check for any issues with the current system configuration and protection settings
- Next Steps
  - New projects are expanding this to transmission systems
  - Could the real-time adaptive protection be used with grid-forming inverters and microgrids?



# Questions?



**Contact: Matthew Reno (mjreno@sandia.gov)**