

1 **Adsorption of REEs to kaolinite via ion exchange and**
2 **surface complexation as a function of water chemistry**

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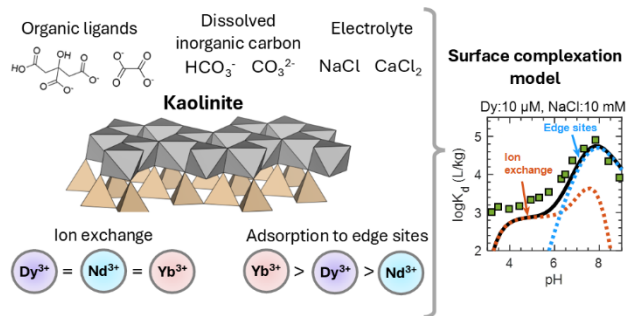
18 **Abstract**

19 Rare earth elements (REEs) are critical components of modern technology behind renewable
20 energy, transportation, and electronics but have a limited current supply. A substantial portion of
21 global REE production relies on ion adsorption deposits. A high abundance of kaolinite in REE
22 enrichment zones within these deposits suggests that kaolinite controls the subsurface migration
23 of REEs. This study aimed to improve the current understanding of REE binding to kaolinite under
24 varying water chemistry conditions. We conducted batch experiments with kaolinite (KGa-2) and
25 three REEs (Nd, Dy, and Yb) at varying pH, electrolyte concentration, dissolved inorganic carbon
26 (DIC), low molecular weight organic acids (citric and oxalic acids), and total REE concentration
27 conditions. Increasing electrolyte concentration inhibits REE adsorption at $\text{pH} < 7$, suggesting that
28 ion exchange contributes to adsorption at these pH values. DIC affects adsorption above pH 7-8
29 by forming a strong aqueous complex with heavy REEs. Citric acid decreases REE adsorption via
30 aqueous complexation of REEs at $\text{pH} > 5$ but does not affect adsorption at $\text{pH} < 5$. The surface
31 complexation model captures the main adsorption trends with two mechanisms: ion exchange on
32 basal planes at $\text{pH} < \sim 6$ and inner-sphere surface complexation to edge sites at $\text{pH} > \sim 6$.
33 Equilibrium constants for surface complexation increase in the order of $\text{Yb} > \text{Dy} > \text{Nd}$, indicating
34 a higher strength of adsorption for heavy REEs. This study demonstrates how water chemistry
35 conditions can control the adsorption mechanisms that may determine the mobility of REEs in
36 subsurface environments rich in kaolinite.

37 **Keywords:** rare earth elements, kaolinite, adsorption, clay, surface complexation modeling,
38 dissolved inorganic carbon.

39

40 Table of Contents (TOC) graphic



41

42 1. Introduction

43 Unique magnetic, optical, and electrical properties of rare earth elements (REEs), also known as
44 lanthanides, make them key components in many critical applications, such as lasers, optical
45 sensors, magnets, wind turbines, and additives in alloys.¹ As a result, different industries involving
46 sustainable energy generation, medicine, defense, and the production of electronic technology
47 depend on a continuous supply of REEs.² Demand for these elements has increased in recent years
48 and is projected to grow.^{3,4} Exports of REEs are limited to only a few countries, mainly China,
49 resulting in price volatility and uncertainty in the future of the steady availability of critical
50 elements.⁵ Around 35% of all Chinese REE production comes from ion adsorption deposits
51 (IADs).⁶ The occurrence of IADs is not limited to China; they are also present in Japan,
52 Madagascar, the United States, Australia, Brazil, and countries in Southeast Asia.^{7,8} IADs are
53 known for their easy extractability and lower costs of exploitation, unlike other more abundant
54 deposits such as alkaline and carbonate rocks.^{9,10} They are also selective to heavy REEs (Gd to
55 Lu), providing ~80% of all heavy REEs globally.¹¹ As numerous countries search for alternative
56 REE sources,³ it is important to develop a fundamental understanding of geochemical processes
57 governing the retention of REEs in IADs.

58 IADs are formed by the weathering of granitic bedrock. Although granite is the most commonly
59 reported rock type found in IADs,¹²⁻¹⁵ some studies report the presence of other igneous rocks
60 (syenite,^{12,14} pegmatite,¹⁴ and monzonite¹²) and volcanic rocks.^{10,12,14} Granite bedrock hosts REE-
61 containing accessory minerals such as silicates, phosphates, fluorocarbonates, and fluorides.^{10,12}
62 Weathering promotes dissolution of these accessory minerals, releasing REEs to groundwater.^{10,15}
63 The released REE species are retained by adsorption to weathering products, primarily clays.¹²

64 Kaolinite, along with illite and halloysite, is one of the most abundant clay minerals in the REE-
65 enriched zone of IADs.¹⁶⁻¹⁸ Kaolinite, a 1:1 clay, consists of ~7 Å wide flat sheets comprised of
66 Si tetrahedra and Al octahedra layers.¹⁹ Kaolinite has different surfaces: basal planes and edge
67 sites, which consist of amphoteric surface hydroxyl groups associated with Si or Al.¹⁹ The high
68 kaolinite content in IADs suggests that kaolinite plays an important role in the retention of REEs.
69 Therefore, understanding surface binding in the kaolinite-REE system will help elucidate REE
70 enrichment mechanisms in IADs.

71 REE adsorption on kaolinite has been explored at macroscopic and molecular levels. Batch studies
72 have explored the adsorption edges of all REEs in the lanthanide group at different ionic strengths
73 to determine if the dominant complex is outer- or inner-sphere. These batch studies^{16,20,21} found
74 that the background electrolyte concentration affects REE adsorption at lower pH (around 3-6),
75 suggesting adsorption via outer-sphere complexation. Time-resolved laser fluorescence
76 spectroscopy (TRLFS) studies mostly focused on Eu^{3+} and indicated that an outer-sphere complex
77 dominates adsorption at $\text{pH} < 4-5$ and low ionic strength.^{22,23} As pH increases, batch studies report
78 an increase in adsorption.^{16,21,24} TRLFS studies involving Eu^{3+} have reported the formation of
79 inner-sphere complexes as pH increases,^{22,23} although extended X-ray absorption fine structure
80 (EXAFS) studies on Nd^{3+} and Y^{3+} indicate that outer-sphere complexes might be present at
81 circumneutral or high pH.¹⁴

82 Water chemistry conditions relevant to weathering environments might affect REE binding to
83 kaolinite. The weathering environment's pH is slightly acidic (4-5) closer to the surface and
84 increases to pH 6-7 deeper in the enrichment zone.¹⁵ Dissolved inorganic carbon (DIC) is present
85 in IADs from the uptake of CO_2 .¹² Some studies suggest the formation of ternary surface
86 complexes of REEs with DIC on kaolinite.^{23,25} Inorganic ions such as Cl^- , NO_3^- , and SO_4^{2-} are

87 present in weathering environments but they are unlikely to affect REE adsorption to kaolinite due
88 to their negative charge and weak aqueous complexation with REEs.²⁶

89 Dissolved organic matter is an umbrella term for a wide variety of dissolved organic compounds
90 occurring in natural environments and is ubiquitous in weathering zones such as ion adsorption
91 deposits.^{27,28} These compounds can facilitate the release of REEs from minerals by forming
92 aqueous complexes¹¹ or can retain REEs at the mineral surface by forming ternary surface
93 complexes.²⁷ This dual effect of organic matter on REE adsorption was observed in studies
94 involving humic acid; humic acid promotes REE adsorption (possibly through ternary complexes)
95 at pH < 4, but decreases REE adsorption to kaolinite at pH > 4.^{29,30} While the effect of humic acid
96 on REE adsorption is known, the role of low molecular weight organic acids (LMWOAs) in REE
97 adsorption had been considered in previous literature. LMWOAs are present in the top layers of
98 weathering environments due to the exudation from roots and the degradation of organic matter.³¹
99 The presence of vegetation over ion adsorption deposits³² suggests that LMWOAs are present in
100 the subsurface of the deposits and can affect REE adsorption to kaolinite. Among the most
101 common LMWOAs are oxalic and citric acids.

102 A surface complexation model (SCM) describes equilibrium adsorption by considering chemical
103 binding and electrostatic interactions between the surface of the mineral and surrounding aqueous
104 ions. It provides a set of equilibrium constants for adsorption reactions, which can then be used to
105 predict REE concentrations at a given water chemistry. A SCM's key strength is its applicability
106 over a wide range of water chemistry conditions.³³ Therefore, the usefulness of SCM is
107 proportional to the number of datapoints and experimental conditions upon which the model is
108 built. SCMs can be used in reactive transport models to advance the understanding of the fate and
109 transport of REE. Such models can also be helpful for understanding the formation of ion

110 adsorption deposits and developing possible recovery strategies by providing insights into the
111 adsorption mechanism.

112 Although REE adsorption to clay minerals has been investigated in previous work,^{21,24,25,33-35} the
113 studies explored limited ranges of water chemistry conditions and metals. For example, many
114 studies focused primarily on Eu^{3+} and did not explore the adsorption trends across the lanthanide
115 series. Another overlooked water chemistry parameter is DIC. Previous studies of the REE-
116 kaolinite system did not describe the effect of CO_2 on REE adsorption. The effect of LMWOAs
117 has not been covered by REE-clay adsorption studies. Some studies have developed SCM for the
118 REE-kaolinite system.^{24,33} However, these SCMs were developed based on a limited dataset,
119 restricting their predictive ability.^{24,33}

120 The main objectives of this study were to (1) investigate how varying water chemistry (pH,
121 background electrolyte conditions, total REE concentration, the presence of LMWOAs, and DIC)
122 affects the extent of adsorption of light (Nd) and heavy (Dy and Yb) REEs to kaolinite, and (2) to
123 interpret the surface speciation and binding mechanisms of REEs to kaolinite using surface
124 complexation modeling and EXAFS. We aim to develop a robust model, which can be applied to
125 diverse water chemistry conditions. Testing new water chemistry variables relevant to subsurface
126 conditions in ion adsorption deposits will expand the current knowledge of adsorption in the REE-
127 kaolinite system. Incorporating these water chemistry variables into a robust SCM will enhance
128 our predictive ability to estimate REE behavior in the environment.

129 **2. Materials and Methods**

130 **Reagents**

131 Chemicals from Sigma-Aldrich used in batch experiments were $\text{Nd}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ (99.9%, trace
132 metal basis), $\text{Yb}(\text{NO}_3)_3 \cdot 8\text{H}_2\text{O}$ (99.9%, trace metal basis), $\text{Dy}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$ (99.9%, trace metal
133 basis), sodium chloride, and sodium hydroxide (>98%, reagent grade). Citric acid (certified ACS
134 grade), oxalic acid dihydrate (certified ACS grade), and nitric acid (67.7-70%, trace metal grade)
135 were obtained from Fisher Scientific. Calibration standards for inductively coupled plasma mass
136 spectroscopy (ICP-MS) were prepared from a standard obtained from Inorganic Ventures (3 % v/v
137 HNO_3).

138 **Kaolinite preparation and characterization**

139 One batch of kaolinite clay powder (KGa-2) from the Source Clays Repository was used to prepare
140 all kaolinite suspensions. KGa-2 kaolinite was chosen for its widespread use in adsorption studies,
141 allowing comparison with other studies. Kaolinite was cleaned and size-fractionated using a
142 published procedure.³⁶ The Brunauer–Emmett–Teller (BET) specific surface area is $21.5 \text{ m}^2/\text{g}$ (N_2
143 adsorption, Quantachrome Nova 2000e). The effective cation exchange capacity (CEC) was
144 determined by an additional experiment at pH 4 with no added electrolyte salt (more details in the
145 section describing the effect of the total REE concentration). The negative charge observed in
146 natural kaolinites is usually attributed to the isomorphic substitution of Si^{4+} by Al^{3+} in the
147 tetrahedral sheet of kaolinite^{37,38} but can also be due to the presence of smectite layers.^{39,40} The
148 hexagonal kaolinite plates have a size $< 2 \mu\text{m}$ (Thermofisher Quattro S ESEM) (Figure S1).

149 **Titration**

150 Potentiometric titrations were performed to determine acid-base properties of surface sites of
151 kaolinite in a solution containing 0.01 M NaCl and 5 g/L kaolinite under CO₂-free conditions (Coy
152 Laboratory Products, filled with nitrogen gas) and at room temperature (22°C). The gas inside the
153 glovebox was pumped through a 10 M KOH scrubber solution to ensure the absence of CO₂.
154 Before starting each of the CO₂-free experiments, we confirmed that the pH value of the 0.01 M
155 NaOH solution was 12. If CO₂ had infiltrated the glovebox from the air, the pH value of the 0.01
156 M NaOH would have been around 9.1. The starting pH was adjusted with 0.05 M HCl to the value
157 of 3 and was raised to 9 by adding 5-50 µL of 0.03 M NaOH. Blank titrations were done under the
158 same conditions as above, but without kaolinite.

159 **Adsorption experiments**

160 Adsorption edge experiments were done in 15-mL batch reactors at room temperature (22°C) with
161 each REE separately (Nd or Dy or Yb) unless stated otherwise. Different sets of experiments were
162 performed to encompass different water chemistry conditions. Background electrolyte conditions
163 studied were 1 mM NaCl, 10 mM NaCl, and 10 mM CaCl₂. The addition of electrolyte is required
164 to control the ionic strength at a fixed value. Our findings are relevant to groundwater with ionic
165 strength ranging from 1 mM to 20 mM.

166 Adsorption edge experiments were done over the pH range of 3-9. Total REE concentrations were
167 1 and 10 µM. We observe almost no kaolinite dissolution based on measured Al concentrations
168 (<0.1% kaolinite dissolved). Adsorption edge experiments with LMWOAs (citric and oxalic acids)
169 were conducted in the presence of 100 µM organic ligand, 10 mM NaCl, and 10 µM of REE. The
170 selected concentration of LMWOAs (100 µM) is within the range of the naturally occurring
171 concentrations, from a few nM up to 1 mM in soils.⁴¹ Two competitive adsorption experiments

172 were done by mixing all REEs (referred to as mixed batch experiments) at 1 μM and 10 μM
173 concentrations of each REE (3 μM and 30 μM total REE concentrations, respectively) at 10 mM
174 NaCl. In addition to adsorption edges, isotherm experiments were conducted at pH 6 and 10 mM
175 NaCl in duplicate with total REE concentrations up to 100 μM . The effect of varying NaCl (1 -
176 100 mM) and CaCl_2 concentrations (1 - 7 mM) on REE adsorption was examined at pH 4. Kaolinite
177 loading was 0.2 g/L in all experiments.

178 We used ultrapure water (18.2 $\text{M}\Omega\text{-cm}$) that had been equilibrated with atmospheric CO_2 in all
179 adsorption experiments (except for the CO_2 -free adsorption edge experiment). Ultrapure water was
180 purged with air before the start of the experiment at a pH that had been pre-adjusted to the desired
181 value with NaHCO_3 to accelerate the process of equilibrating with atmospheric CO_2 . The CO_2 -
182 free adsorption edge experiment was done in the glovebox (Coy Laboratory Products) in a nitrogen
183 gas environment with 10 mM NaCl and 10 μM REE.

184 The first step of the experiments was mixing the stock solutions (1 M NaCl, 100-500 μM REE, 10
185 mM LMWOAs, and 10 g/L kaolinite) to achieve the desired concentrations of REE, kaolinite,
186 electrolyte concentration, and, if necessary, LMWOAs. The stock suspension of kaolinite was
187 vigorously mixed to ensure that kaolinite particles were distributed homogeneously during the
188 addition of the mineral to the reactor. The suspension's pH was adjusted before adding REEs and
189 re-adjusted immediately after the addition using small volumes (<5 μL) of HCl and NaOH.
190 Samples were gently mixed on a rotator for 8-10 hours before further re-adjustment of pH values.
191 After 24 h of equilibration, the pH was measured, and the solution was filtered through a 0.2 μm
192 polyethersulfone (PES) syringe filter. The supernatant was measured by ICP-MS (PerkinElmer
193 NexION 2000) after dilution and acidification to 1% HNO_3 .

194 Adsorption calculations

195 Adsorption was quantified using both the logarithm of the distribution coefficient ($\log K_d$, unit:
196 $\log_{10}(\text{L kg}^{-1})$) (Eq. 1) and percent adsorbed (Eq. 2). In isotherm experiments, adsorption was
197 quantified with the adsorption density ($\mu\text{mol/g}$) (Eq. 3). C_{total} (μM) is the total concentration of
198 REE in the reactor. A kaolinite-free control was prepared in every experiment to measure C_{total} .
199 $C_{\text{supernatant}}$ (μM) is the concentration measured by ICP-MS after 24 h of equilibration. C_{ads} (μM) is
200 the adsorbed concentration (Eq. 4). $C_{\text{kaolinite}}$ (kg/L) is the kaolinite loading (0.2 g/L in all
201 experiments). $\log K_d$ values reveal adsorption trends more clearly than the percent adsorbed when
202 adsorption is high. When adsorption is low, however, adsorption trends are more apparent when
203 plotted on the percent adsorbed scale. All figures from the main text that are plotted using $\log K_d$
204 are included with the percent adsorbed scale in the supporting information. The range of the
205 reported $\log K_d$ values is 2 to 8. The upper limit depends on the detection limits of ICP-MS, which
206 were calculated during every measurement and varied from 10 to 50 ng/L for all REEs. The lower
207 limit denotes a $\log K_d$ value below which adsorption is negligible ($< 2\%$) and adsorption
208 uncertainties become too high ($\pm 1 \log K_d$ units and larger). Uncertainties of the measured
209 concentrations from ICP-MS (δ) were calculated based on weighted calibration equations (details
210 are in the supporting information).

$$211 \log K_d = \log_{10} \left(\frac{C_{\text{ads}}}{C_{\text{supernatant}} \times C_{\text{kaolinite}}} \right) \quad (\text{Eq. 1})$$

$$212 \% \text{adsorbed} = \frac{C_{\text{ads}}}{C_{\text{total}}} \times 100 \quad (\text{Eq. 2})$$

$$213 \text{Adsorption density} = \frac{C_{\text{ads}}}{C_{\text{kaolinite}}} \quad (\text{Eq. 3})$$

$$214 C_{\text{ads}} = C_{\text{total}} - C_{\text{supernatant}} \quad (\text{Eq. 4})$$

215 **X-ray absorption spectroscopy measurements**

216 The L₃-edge X-ray absorption spectra of Yb adsorbed to kaolinite were measured at the Stanford
217 Synchrotron Radiation Lightsource (SSRL) at beamlines 4-1, 4-3, and 11-2. Selected batch
218 adsorption experiments covering varying water chemistry conditions (1-10 mM NaCl, pH 3.5-7,
219 and 0-100 μM citric acid) were repeated in a scaled-up 500-mL reactor with 0.2 g/L kaolinite
220 loading and 10 μM total REE concentration to obtain 1000-7700 μg REE/g kaolinite surface
221 coverage. Samples were prepared following the same procedures as for the adsorption studies,
222 after which they were centrifuged, and the supernatant was decanted. The remaining wet pastes of
223 reacted kaolinite were then sealed in Delrin sample holders using Kapton tape. Cryogenically-
224 cooled Si double-crystal monochromators were used for energy scanning, with the (111)
225 orientation employed at beamline 4-3 and the (220) orientation at beamlines 4-1 and 11-2. All data
226 were collected in fluorescence yield using a 7-element silicon drift detector (4-3), a 32-element
227 energy-dispersive Ge detector (4-1), or a 100-pixel segmented Ge array detector (11-2). Four to
228 ten scans were collected for each sample and then averaged to increase the signal-to-noise ratio.
229 Data were averaged in the SixPack interface⁴² to IFEFFIT,⁴³ followed by background removal and
230 FEFF-based structural model fitting of the extended X-ray absorption fine structure (EXAFS)
231 region in Larch.⁴⁴ Backscattering phase and amplitude functions for analysis were calculated in
232 FEFF 8L⁴⁵ using the crystal structure of Yb₅Al₃O₁₂.⁴⁶ Spectra were also collected for Nd adsorbed
233 to kaolinite but contained substantial artifacts near $k = 7.7 \text{ \AA}^{-1}$ (at the Pr L₂-edge) and 9.4 \AA^{-1} (at
234 the Ce L₁-edge) in the EXAFS region. Kaolinite KGa-2 contains 56±8 mg/kg Ce and 6.0±0.9
235 mg/kg Pr.⁴⁷ Similarly, the Fe content of KGa-2 (1.15 wt.% as Fe₂O₃)⁴⁸ produced a substantial X-
236 ray fluorescence background signal that prevented measurement of spectra of Dy adsorbed to
237 kaolinite KGa-2. The Yb spectra did not suffer from spectral artifacts because of the substantially
238 lower content of heavy REEs in KGa-2⁴⁸ and the separation of the Yb L₃-edge from the Fe K-edge.

239 **Surface complexation modeling**

240 We developed an SCM in Visual MINTEQ 3.1 to aid in the interpretation of the results.⁴⁹ Visual
241 MINTEQ 3.1 is a common software used for calculating aqueous and surface speciation.⁵⁰ The
242 thermodynamic database for stability constants and solubility products of REEs is summarized in
243 Table S1. Solubility and speciation diagrams of REEs are provided in the supporting information
244 (Figures S2 and S3, respectively). The Davies equation was used to calculate activity coefficients.
245 Model parameters were found by minimizing the residual sum of squares (RSS) between the
246 experimentally observed and model-calculated logarithms of total dissolved concentration.
247 Intrinsic equilibrium constants (logK values) were optimized to the nearest 0.1 units. A detailed
248 overview of the fitting procedure is in the supplementary information.

249 3. Results and Discussion

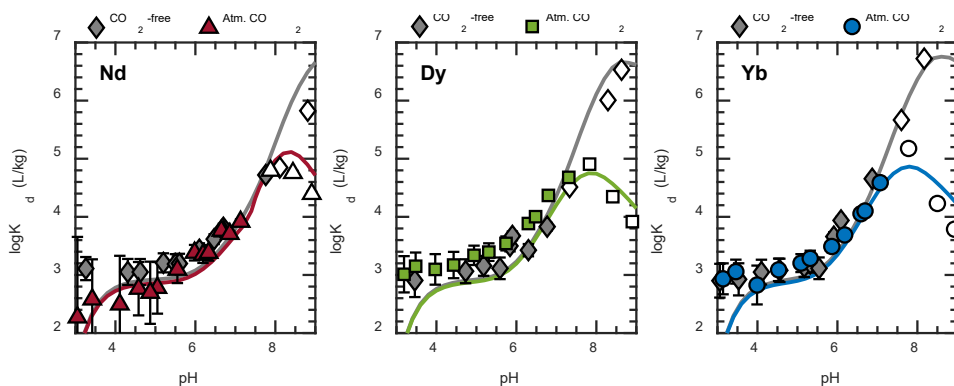
250 Effects of pH and DIC

251 REEs adsorb to kaolinite at $\text{pH} < 5$ to a limited extent and independently of pH ($\log K_d$ of ~ 3 or
252 10-20% adsorbed) (Figure 1). All plots are re-plotted as %adsorbed in the supporting information
253 (Figures S4-S8). Adsorption of REEs increases with increasing pH, with a major rise occurring
254 between pH values of 6 to 7 (around 0.5-1.2 $\log K_d$ units or 30-50% adsorbed). Overall, the effect
255 of pH on REE retention to kaolinite agrees with other studies involving REEs and other cations.⁵¹

256 Without DIC, $\log K_d$ values increased with pH, reaching 5.8 (Nd), 6.5 (Dy), and 6.7 (Yb) at pH
257 values of 8.2-8.8. In the presence of atmospheric CO_2 , $\log K_d$ values decrease at $\text{pH} > 8$.
258 Complexation with carbonate ligands might cause the observed decrease in retention. DIC affects
259 the aqueous chemistry of REEs by forming REECO_3^+ or $\text{REE}(\text{CO}_3)_2^-$ complexes (Figure S2). In
260 the presence of DIC, REEs are present as REE^{3+} at low pH values and as REECO_3^+ above $\text{pH} \sim 7$.
261 A strong $\text{REE}(\text{CO}_3)_2^-$ aqueous complex is the main form of REE above pH 8 for Yb, 8.1 for Dy,
262 and 8.3 for Nd. Stability constants of both REECO_3^+ and $\text{REE}(\text{CO}_3)_2^-$ follow the order of $\text{Yb} > \text{Dy}$
263 $> \text{Nd}$ (Table S1), indicating stronger complexation with heavier REEs.

264 Some experiments involved water chemistry conditions at which solubility calculations predict the
265 precipitation of REEs if no adsorption were to occur (Figure S3). In CO_2 -free experiments with 10
266 μM total REE (Figure 1), solubility calculations predict precipitation of $\text{Nd}(\text{OH})_3(\text{s})$ above pH 7.9,
267 $\text{Dy}(\text{OH})_3(\text{s})$ above pH 7.2, and $\text{Yb}(\text{OH})_3(\text{s})$ above pH 7. When atmospheric CO_2 is present (Figure
268 1), $\text{REE}(\text{OH})_3(\text{s})$ is predicted to remain a solubility-controlling solid for Dy ($\text{pH} > 7.4$) and Yb (pH
269 > 7.1), but Nd is predicted to precipitate as $\text{Nd}_2(\text{CO}_3)_3(\text{s})$ ($\text{pH} > 7.2$). If data points are at conditions
270 below solubility limits (solid-colored markers), then precipitation is ruled out as a retention

271 mechanism and the observed $\log K_d$ value can be unequivocally attributed to adsorption. However,
 272 if the data points are at conditions above solubility limits (open white markers), then precipitation,
 273 adsorption, or both could have occurred. When referring to data points at which REE precipitation
 274 is calculated in the absence of adsorption, we use “retention” instead of “adsorption” and indicate
 275 these data points with open white markers. SCM can help distinguish if precipitation contributes
 276 to the observed retention at pH values where REEs could precipitate in case adsorption did not
 277 lower the dissolved REE concentration below solubility limits. To avoid the effect of precipitation
 278 on the development of the SCM, all data points for which precipitation could occur (open white
 279 markers) were not included in model optimization.



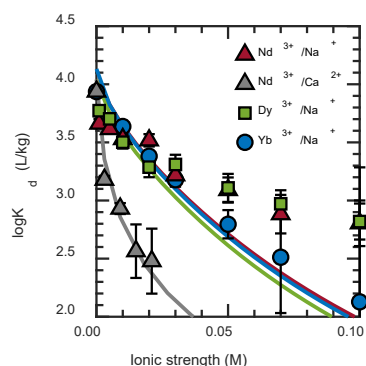
280
 281 Figure 1. The effect of pH and DIC in equilibrium with atmospheric CO₂ on the retention of REEs
 282 (10 μM) to kaolinite (0.2 g/L) in the presence of 10 mM NaCl. Markers represent experimental
 283 data; open white markers show data points at which solubility calculations indicate the possibility
 284 of REE precipitation. Solid lines represent the output from the SCM.

285 **Effect of electrolyte cations**

286 Increasing electrolyte concentration decreases REE adsorption at pH 4 (Figure 2). The dependence
 287 of adsorption on the electrolyte concentration indicates that ion exchange reactions dominate
 288 adsorption at pH 4. Divalent cations (Ca²⁺) suppress adsorption to a greater extent than monovalent
 289 cations (Na⁺) at an equivalent ionic strength, as can be seen for Nd adsorption (Figure 2). REEs
 290 are present in the form of REE³⁺ under a pH value of ~7 regardless of the presence of DIC (Figure
 291 S2), suggesting that REEs can adsorb via an ion exchange mechanism up to pH ~7. Divalent

292 cations could occupy more sites on basal planes, saturating more available sites than monovalent
293 cations at the same concentration. Divalent cations could also adsorb more strongly than
294 monovalent ions.

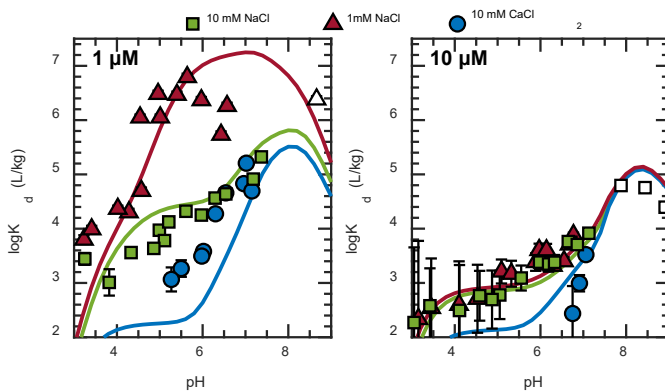
295 Ion exchange reactions are known to be important in the adsorption of cations to basal planes of
296 clay minerals, including kaolinite.^{24,52} However, kaolinite is a 1:1 clay and in its purest
297 stoichiometric form would not have any charge compensation for isomorphous substitutions that
298 result in permanently charged basal planes.¹⁹ It has been previously suggested that the isomorphous
299 substitution of Al by Si in an octahedral sheet or the mixing of smectite layers in natural kaolinite
300 might be responsible for the observed cation exchange capacity in natural kaolinites (CEC).^{38,39}



301
302 Figure 2. The effect of electrolyte type (NaCl and CaCl₂) and concentration on adsorption of REEs
303 (1 μM) to kaolinite at pH 4. The solutions in all experiments were in equilibrium with atmospheric
304 CO₂. Markers represent experimental data; solid lines represent the output from the SCM.

305 The presence of different electrolyte types and concentrations (10 mM NaCl, 1 mM NaCl, and 10
306 mM CaCl₂) affects REE adsorption to kaolinite at pH values above 4 (Figure 3). At pH < ~6.5, the
307 electrolyte affects adsorption similarly to at pH 4, i.e., increasing the concentration and the charge
308 of the electrolyte decreases REE adsorption. At pH 7, adsorption edges corresponding to 10 μM
309 total Nd concentration and different electrolyte conditions become more similar, indicating that
310 the effect of electrolyte type and concentration fades at higher pH (Figure 3, right panel). In 1 μM

311 Nd experiments, adsorption edges corresponding to 10 mM NaCl and 10 mM CaCl₂ become more
 312 similar at pH 7 but do not converge with the adsorption edge corresponding to 1 mM NaCl (Figure
 313 3, left panel). The independence of adsorption trends from the electrolyte's type and concentration
 314 indirectly suggests that REEs form inner-sphere complexes on kaolinite's surface.^{52,53} Inner-
 315 sphere complexation involves chemical binding to a hydroxyl group on a mineral's surface (edge
 316 sites), that is not mediated by water molecules and is less dependent on the electrolyte.⁵¹⁻⁵³ The
 317 results suggest that inner-sphere complexation becomes more important as pH increases. The poor
 318 convergence of the adsorption edge of 1 μM Nd in the presence of 1 mM NaCl with the adsorption
 319 edges with 10 mM NaCl and 10 mM CaCl₂ suggests that adsorption depends on the electrolyte
 320 type and concentration and that inner-sphere complexation is unlikely to happen at these water
 321 chemistry conditions.



322
 323 Figure 3. The effect of electrolyte type and concentration on Nd retention to kaolinite (0.2 g/L).
 324 Total Nd concentration was 1 μM and 10 μM. The solutions in all experiments were in equilibrium
 325 with atmospheric CO₂. Markers represent experimental data; open white markers show data points
 326 at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent
 327 the output from the surface complexation model.

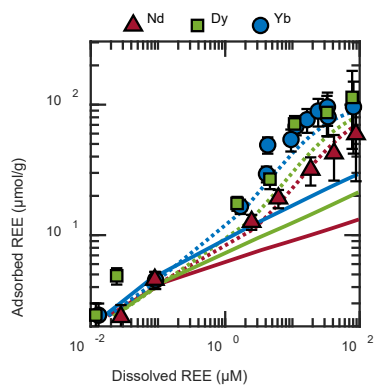
328 **Effect of the total REE concentration**

329 The total REE concentration affects REE adsorption when viewed on logK_d, %adsorbed, and
 330 adsorption density scales (Figure S9). Experiments with 10 μM total REE concentration do have
 331 higher adsorption densities than in 1 μM REE experiments for comparable pH (Figure S9);

332 however, adsorption affinity as represented by $\log K_d$ and %adsorbed is lower for 10 μM total REE
333 loadings than 1 μM REE loadings (Figures S9, 3, and S6). The observed decrease in $\log K_d$ values
334 at higher REE concentrations at low pH values suggests that the availability of adsorption sites is
335 a limiting factor for adsorption. At low pH values (< 5), where ion exchange governs REE binding
336 to kaolinite, a measure of maximum adsorption is cation exchange capacity (CEC).

337 The CEC of kaolinite KGa-2 was previously reported to be 37 meq/kg using the ammonia acetate
338 method at pH 7.⁵⁴ The ammonia acetate method is known to overestimate CEC because it is done
339 at a high pH value, at which pH-dependent edge sites can possibly affect the measurement of
340 CEC.⁵⁵ To provide a better estimate of the effective CEC for our processed kaolinite and minimize
341 the contribution from pH-dependent edge sites, we conducted an experiment with 5 μM Yb and
342 no added NaCl to reduce the suppressing effect of an electrolyte at pH 4. We chose to estimate
343 CEC at pH 4 because adsorption at this pH is governed mainly by ion exchange, as demonstrated
344 in Figure 2, which shows that adsorption is a function of the electrolyte concentration at pH 4. The
345 experiment showed the adsorbed Yb concentration of $1.5 \pm 0.3 \mu\text{M}$ (or $4.5 \pm 0.9 \mu\text{eq/L}$), indicating a
346 CEC of $22.5 \pm 4.5 \text{ meq/kg}$ ($1.5 \mu\text{mol/L} * 10^{-3} \text{ mmol}/\mu\text{mol} * 3 \text{ eq/mol} * 1/0.2 \text{ L/g} * 10^3 \text{ g/kg}$).
347 Although the CEC estimation method we used is unconventional, the determined CEC (22.5
348 meq/kg) falls within the reported range of CECs for KGa-2 kaolinite measured with different
349 methods (9-37 meq/kg).^{37,54,56} We assumed that the adsorbed Yb concentration from this
350 experiment is indicative of the CEC. This result agrees with the observation from Figure 3 because
351 the CEC of 22.5 meq/kg (or 1.5 μM REE) would limit the adsorption of 10 μM REE but not 1 μM
352 REE. Although CEC does not restrict adsorption when the REE concentration is 1 μM , adsorption
353 is lower than 100% (40-60% or $\log K_d$ of 2-3) at pH < 5 and 10 mM NaCl because REEs³⁺ compete
354 with Na^+ and H^+ ions for ion exchange sites.

355 We estimated the site density of edge sites by conducting the isotherm experiment, in which we
356 increased the total REE concentration up to 100 μM at pH 6 and 10 mM NaCl (Figure 4). The
357 maximum adsorption capacity is twice as high for Dy and Yb (96-113 $\mu\text{mol/g}$ or 19-23 μM REE)
358 as it is for Nd (59 $\mu\text{mol/g}$ or 12 μM REE). We assume that the observed maximum adsorption
359 capacity corresponds to the site density of edge sites. Ion exchange contributes to adsorption at pH
360 6 too, but its effect is assumed to be minimal because the maximum adsorbed REE concentration
361 due to ion exchange is limited by CEC (22 meq/kg or 1.5 μM REE) and will account only for <
362 7% of the observed maximum adsorption capacity (23 μM). Assuming mono-nuclear and mono-
363 dentate adsorption, the maximum adsorption capacity (23 μM) corresponds to an edge site density
364 of 3.2 nm^{-2} of BET surface area (0.2 g/L kaolinite, 21.5 m^2/g BET surface area). The obtained site
365 density agrees with other modeling studies reporting site densities in the range of 2.3-3.5 nm^{-2}
366 based on BET surface area.⁵⁷⁻⁵⁹ Although many SCM studies report site density of kaolinite per
367 unit BET surface area, it is important to note that the BET surface area does not represent the
368 surface area of edge sites. Edge sites can constitute 8 to 40% of kaolinite's BET surface area.⁶⁰⁻⁶²
369 If we assume that edge sites constitute 40% of BET surface area, the obtained site density (3.2 nm^{-2}
370 BET surface area) can be converted to 8.1 nm^{-2} edge surface area, a value in good agreement with
371 crystallographic calculations by White and Zelazny (8.2 nm^{-2} edge surface area).⁶³ In this study,
372 we assumed a site density of 3.3 nm^{-2} BET surface area, which is equivalent to the site density of
373 8.2 nm^{-2} edge surface area (assuming that edges contribute to 40% of BET surface area).



374

375 Figure 4. The effect of total REE concentration (0.1-100 μM) on REE adsorption to kaolinite (0.2
 376 g/L) at $\text{pH } 6 \pm 0.2$ in the presence of 10 mM NaCl. Markers represent experimental data. Solid lines
 377 represent the output from the SCM; dotted lines represent the output from NEM. The solutions in
 378 all experiments were in equilibrium with atmospheric CO_2 .

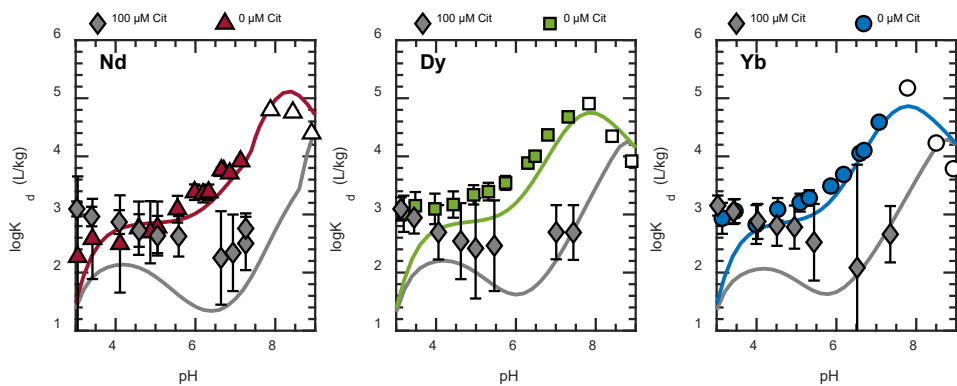
379 **Competitive adsorption experiments**

380 In the competitive adsorption experiments, 0.2 g/L kaolinite and all three REEs were mixed (mixed
 381 batch) as opposed to the rest of the experiments in which only one REE (separate batch) was
 382 present in the kaolinite suspension (Figure S10). Figure S11 compares data from mixed and
 383 separate batches for each REE and total concentration. The retention of each REE in the mixed
 384 batch experiment is lower or equivalent to the retention of the same REE in the separate batch
 385 experiment because REEs compete for the limited sites. A decrease in retention due to DIC in
 386 equilibrium with atmospheric CO_2 levels above pH 8 is in agreement with Figure 1.

387 The extent of retention in mixed batches is similar for all REEs, indicating little to no preference
 388 for any REE. This result contrasts the trend observed in separate batches (Figure 1), where
 389 retention increases as REEs become heavier, and in isotherm experiments, which indicated that
 390 Nd's maximum adsorption capacity is about half that of Dy and Yb. Differences in REE retention
 391 might vary slightly within the calculated uncertainties. Alternatively, there remains a possibility
 392 that other processes affect REE retention in a mixed batch.

393 Effect of low molecular weight organic acids

394 Citric and oxalic acids are common LMWOAs in weathering environments⁴¹ and can affect the
395 subsurface mobility of REEs. Citric acid does not affect adsorption at lower pH values (< 5), where
396 ion exchange is the primary adsorption mechanism, but it substantially decreases adsorption at
397 higher pH values (> 5) (Figure 5). Aqueous speciation diagrams (Figure S2) show that citric acid
398 forms a neutrally charged REECit aqueous complex, the dominant form of REEs between pH
399 values of 3 and 9. The formation of REECit(aq) complexes is expected to decrease adsorption by
400 limiting REE³⁺ concentrations available for surface complexation in the studied pH range (3-9).
401 At pH > 5, the decreased adsorption in the system containing citric acid can be explained by the
402 presence of REECit(aq). At pH < 5, however, adsorption is unaffected by citric acid despite lower
403 REE³⁺ concentrations predicted by thermodynamic calculations. The formation of ternary surface
404 complexes (REE-citric acid-kaolinite) might explain the observed adsorption at pH < 5. Oxalic
405 acid has little to no effect on REE adsorption (Figure S12). The effect of oxalic acid was
406 investigated in the supporting information.



407
408 Figure 5. The effect of citric acid (100 μM) on the retention of REEs (10 μM) to kaolinite in the
409 presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric
410 CO₂. Markers represent experimental data; open white markers show data points at which
411 solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output
412 from the SCM. The system with binary reactions, where citric acid and REEs adsorb to kaolinite
413 separately, was assumed to model the experiment containing 100 μM citric acid (grey solid lines).

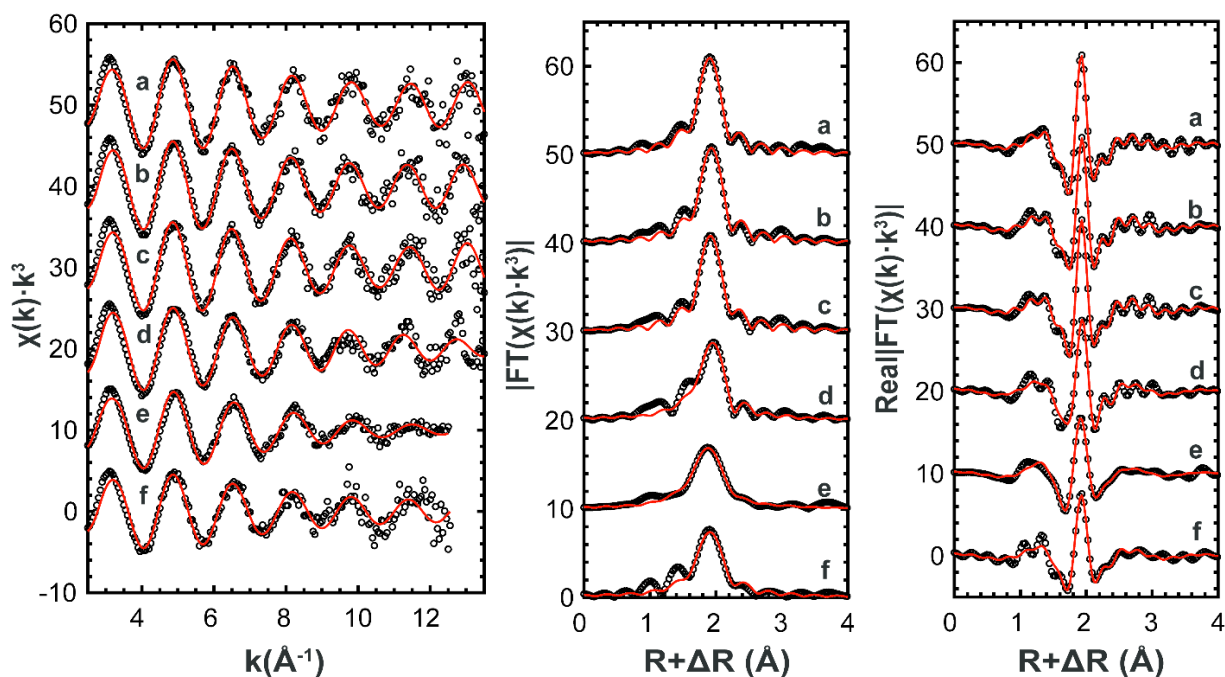
414 **Extended X-ray absorption fine structure spectroscopy**

415 The EXAFS spectra of Yb adsorbed to kaolinite were collected for samples in (a) 10 mM NaCl
416 solution at pH 3.5, 6.0, and 7.0, (b) 1 mM NaCl at pH 4, and (c) 10 mM NaCl containing 100 μ M
417 citric acid at pH 3.5 and 7 (Figure 6). The spectra of citrate-free samples at pH 3.5 and 4 display
418 similar features (Figure 6), with strong oscillations displayed throughout the entire data range. The
419 Fourier transform feature associated with the first coordination shell was inadequately reproduced
420 by a single O neighbor, suggesting asymmetric disorder. Attempts to model this with a third or
421 fourth cumulant improved data reproduction but obtained physically-implausible oxygen
422 coordination numbers (e.g., 3 ± 1). Structural model fitting with a split oxygen shell reproduced the
423 data well (Figure 6), obtaining a total coordination number of ~ 8 with an average Yb-O distance
424 of ~ 2.36 Å (Table S2). There were no statistically significant differences in fitting parameters
425 induced by a decrease in ionic strength from 0.01 M to 0.001 M (Table S2). The relatively ordered
426 Yb-O shells (Table S2), lack of substantial second-shell features, and substantial oscillations in
427 the spectra beyond $k = 10$ Å⁻¹ (Figure 6) are consistent with outer-sphere adsorption, in agreement
428 with the observed effects of electrolyte concentration on macroscopic adsorption (Figure 2).

429 Increasing the pH to 6 and 7 weakened the EXAFS oscillations beyond $k = 9$ Å⁻¹ (Figure 6),
430 suggesting an increase in disorder. Application of a similar structural model obtained similar total
431 coordination but required a substantially increased σ^2 , confirming that the oxygen coordination
432 shell becomes more disordered, i.e., there is a wider distribution of Yb-O bond lengths. These
433 changes indicate a transition in the adsorption mechanism as pH increased, with the greater
434 disorder at pH 6 and 7 consistent with the formation of inner-sphere complexes because bonding
435 to a surface functional group should distort the first coordination shell. The addition of an
436 aluminum or silicon neighbor to the structural model increased the χ_v^2 value and yielded a

437 coordination number within the error of zero, both indicators that this change did not statistically
438 improve the fit and is thus unjustified. This does not preclude the presence of such neighbors, but
439 the weak backscattering from such atoms and the likely disordered nature of Yb-Al/Si interatomic
440 distances would make their spectral contribution unobservable above the noise level in the data.

441 The addition of citrate had a distinct impact on the EXAFS spectra at pH 3.5 and 7. At the lower
442 pH value, the spectrum was essentially identical to the spectra without citrate at pH 3.5 and 4
443 (Figure 6). The structural model fitting parameters did not display a statistically significant change
444 upon citrate addition (Table S2), indicating that the first coordination shell is unperturbed and that
445 citrate is likely not coordinated to adsorbed Yb at this pH value. In contrast, the EXAFS
446 oscillations in the high k region differ in the absence and presence of citrate at pH 7 (Figure 6).
447 This may indicate the formation of a Yb-citrate ternary surface complex. The low Yb surface
448 coverage with citrate present resulted in substantial noise, and it is not possible to determine if
449 citrate is directly coordinated to Yb.



450

451 Figure 6. The L₃-edge EXAFS spectra (left) and corresponding Fourier transform magnitudes
452 (center) and real components (right) of Yb adsorbed to kaolinite: (a) 100 μM citrate, 10 mM NaCl,
453 pH 3.5; (b) 10 mM NaCl, pH 3.5; (c) 1 mM NaCl, pH 4; (d) 10 mM NaCl, pH 6; (e) 10 mM NaCl,
454 pH 7; (f) 100 μM citrate, 10 mM NaCl, pH 7. Total REE concentration was 10 μM Yb and kaolinite
455 loading was 0.2 g/L in all experiments. The solutions in all experiments were in equilibrium with
456 atmospheric CO₂. The data (black symbols) were fitted with a FEFF-based structure model (red
457 lines). Fitting parameters are listed in Table S2.

458 **Development of the surface complexation model**

459 The model features two mechanisms, ion exchange and surface complexation to edge sites, to
460 capture retention trends at both low and high pH values. The dependence of REE adsorption on
461 electrolyte type and concentration at pH < ~6 and the ordered first oxygen shell coordinating to
462 Yb at pH 3.5 and 4 identified by EXAFS spectroscopy suggest that cation exchange is the dominant
463 binding mechanism at low pH values. Therefore, Gaines-Thomas coefficients for ion exchange
464 reactions were optimized to fit adsorption data at pH < 4.5, where ion exchange is dominant, and
465 any contributions to adsorption to edge sites are minimal. At higher pH values, a weaker
466 dependence of REE adsorption on electrolyte type and concentration and the distortion of the first
467 coordination shell of Yb observed by EXAFS measurements indicate a transition to binding via
468 inner-sphere surface complexation. The stoichiometry of surface complexes, however, cannot be
469 resolved based on EXAFS spectroscopy alone since EXAFS spectroscopy was unable to provide
470 substantial constraints. Therefore, we adhered to a “parsimony principle”, which favors a simpler
471 model with the smallest number of adjustable parameters needed to capture the adsorption trends
472 over a wide range of water chemistry conditions.⁶⁴

473 Surface complexation to edge sites was interpreted with the diffuse double-layer model (DLM) by
474 including two surface complexes ($\equiv\text{SOREE}^{2+}$ and $\equiv\text{SOREEOH}^+$) representing the simplest
475 stoichiometry. We used the entire dataset containing all pH values to optimize the equilibrium
476 constants of these two surface complexes and included ion exchange reactions. The optimized

477 Gaines-Thomas coefficients were fixed from the previous step. The fitting of titration data (Figure
478 S13) provided the deprotonation constant of kaolinite edge sites. One deprotonation constant and
479 a single site type ($\equiv\text{S}$) were enough to obtain a satisfactory fit for titration ($R^2 > 0.9$). Data points
480 at which thermodynamic calculations predict precipitation of REEs (mostly $\text{pH} > \sim 7$ and $10 \mu\text{M}$
481 total REE concentration) were not included in the optimization. The adsorption of REEs in the
482 presence of LMWOAs (citric and oxalic acids) was first modeled by considering only binary
483 (organic ligand-kaolinite and REE-kaolinite separately) surface complexation reactions, which
484 assumes that REEs and LMWOAs adsorb to kaolinite separately. In the case of the unsatisfactory
485 fit with the system of binary interactions, ternary surface complexes were added. Ternary surface
486 complexes include LMWOAs as components in addition to REE^{3+} , H^+ , and kaolinite surface sites.
487 The detailed procedure describing the development of SCM can be found in the supporting
488 information.

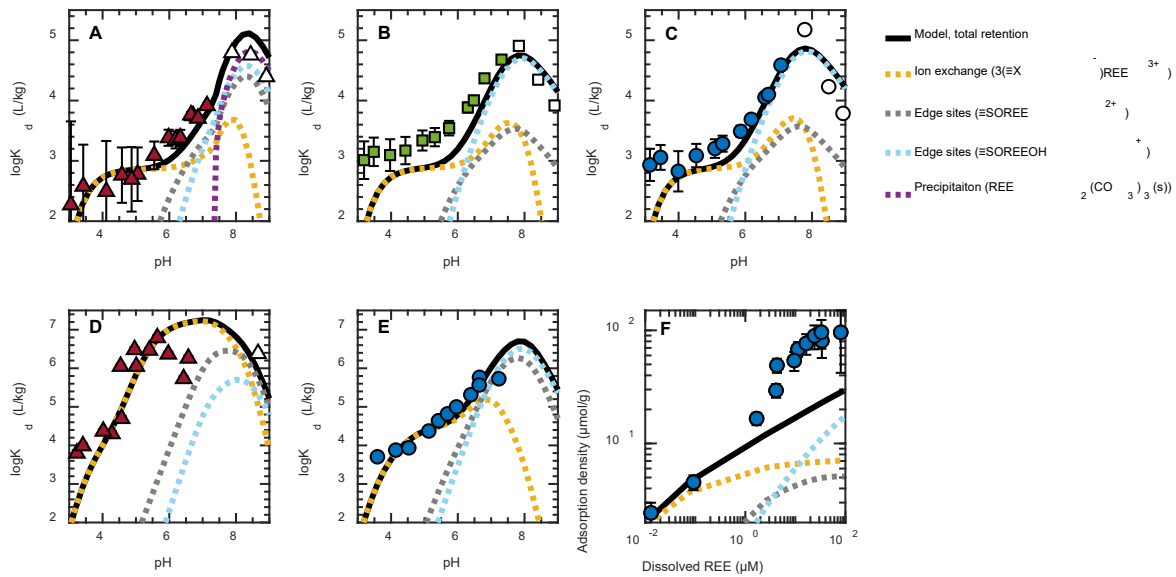
489 **Surface complexation model**

490 The fitted Gaines-Thomas coefficients (Table 1) successfully described adsorption trends at lower
491 pH values (Figures 1-7). Modeling suggests that ion exchange is an important adsorption
492 mechanism not only at low pH values but also at pH values up to 6-7, depending on water
493 chemistry conditions. Model fits for the three REEs ($10 \mu\text{M}$) in the presence of 10 mM NaCl
494 (Figures 7A-C) show that ion exchange dominates adsorption up to $\text{pH } 6.5$ (Nd), 6.3 (Dy), and 6.1
495 (Yb). Adsorption of $1 \mu\text{M Nd}$ in the presence of 1 mM NaCl can be entirely due to ion exchange
496 up to $\text{pH } 8$ (Figure 7D). In the presence of 10 mM NaCl and $1 \mu\text{M Yb}$, ion exchange is dominant
497 up to $\text{pH } 6.5$ (Figure 7E). Our model suggests that, despite natural kaolinite's low CEC among
498 clays, ion exchange is still an extremely important adsorption mechanism for REEs.

499 Table 1. Optimized Gaines-Thomas coefficients (K_{GT}) for ion exchange.

Ion exchange reactions	Log K_{GT}^a
$\equiv X \cdot H^+ + Na^+ \rightleftharpoons \equiv X \cdot Na^+ + H^+$	-2.3
$2\equiv X \cdot H^+ + Ca^{2+} \rightleftharpoons 2(\equiv X)Ca^{2+} + 2H^+$	-2.2
$3\equiv X \cdot H^+ + Nd^{3+} \rightleftharpoons 3(\equiv X)Nd^{3+} + 3H^+$	-1.6
$3\equiv X \cdot H^+ + Dy^{3+} \rightleftharpoons 3(\equiv X)Dy^{3+} + 3H^+$	-1.7
$3\equiv X \cdot H^+ + Yb^{3+} \rightleftharpoons 3(\equiv X)Yb^{3+} + 3H^+$	-1.6

500 ^aThe activity of a surface complex in K_{GT} is expressed as a surface coverage fraction. The total site
 501 concentration for ion exchange sites ($\equiv X$) is the CEC (22.5 meq/kg).



502

503 Figure 7. Detailed overview of the output of SCM (lines) in selected experiments. Markers
 504 represent retention of (A) 10 μ M Nd, (B) 10 μ M Dy, (C) 10 μ M Yb, (D) 1 μ M Nd, (E) 1 μ M Yb,
 505 (F) 0.1-100 μ M Yb to kaolinite (0.2 g/L). DIC in all experiments was in equilibrium with
 506 atmospheric CO_2 . The electrolyte was 10 mM NaCl in all panels, except (D), where NaCl
 507 concentration was 1 mM. White markers show data points at which solubility calculations predict
 508 REE precipitation.

509 The fitted equilibrium constants ($\log K$) (Table 2) successfully captured almost all adsorption
 510 trends at pH above 6 (Figures 1-7). The full adsorption dataset with the corresponding model fits
 511 can be found in the supplementary information (Figures S15-S18). Adsorption to edge sites
 512 becomes important at medium to high pH values (> 6) and at higher total REE concentrations. The
 513 model did not predict precipitation of Dy- and Yb-containing solids at high pH values (even if data
 514 are above solubility limits for kaolinite-free solubility calculations in Figure S3, indicated by white

515 markers). The model predicted that adsorption to kaolinite caused the dissolved REE
516 concentrations to drop below solubility limits. Overall, the observations and model suggest that
517 adsorption alone is responsible for the observed retention of Dy and Yb. At pH > 8, carbonate
518 ligands form aqueous complexes with Dy and Yb, decreasing the adsorption above pH 8 (Figures
519 1 and 7B-C). Nd, however, is predicted to precipitate as Nd₂(CO₃)₃(s) above pH 7.4 by the SCM
520 when the Nd concentration is 10 μM (Figure 7A), in agreement with solubility calculations. In
521 Figure 3, the convergence of all modeled adsorption edges into one line at pH > 7.5 indicates that
522 the model predicts Nd precipitation at pH > 7.5. Adsorption of Nd to edge sites is generally weak
523 and dominant only in a narrow pH range (6.5-7.4), leaving ion exchange and precipitation as the
524 main retention mechanisms at low and high pH values, respectively. Lighter REEs are more prone
525 to precipitation at high pH values (> 7) than heavier REEs, possibly explaining their enrichment
526 in carbonatite deposits.⁶⁵ The model did not require the addition of ternary surface complexes
527 (REE-carbonate-kaolinite) to provide satisfactory fits of the experimental data in the presence of
528 LMWOAs.

529 Table 2. Optimized DLM equilibrium constants describing adsorption to edge sites.

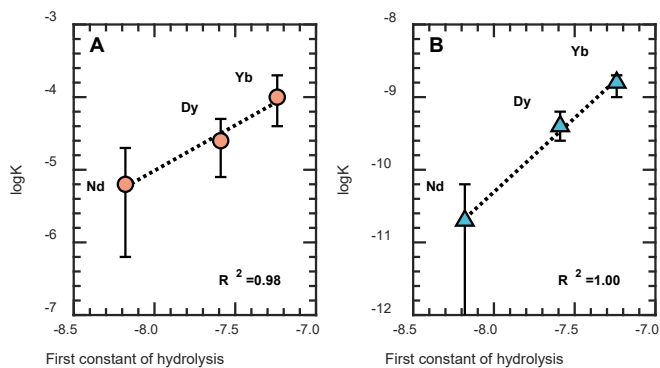
Surface reactions	logK ^a
Deprotonation of edge sites ^b	
≡SOH ⇌ ≡SO ⁻ + H ⁺	-5.7
Adsorption of LMWOAs to edge sites ^c	
≡SOH + Citrate ³⁻ ⇌ ≡SOHCitrate ³⁻	11.1
≡SOH + Citrate ³⁻ + H ⁺ ⇌ ≡SOHHCitrate ²⁻	13.5
≡SOH + Oxalate ²⁻ ⇌ ≡SOHOxalate ²⁻	7.6
Adsorption of REEs to edge sites	
≡SOH + Nd ³⁺ ⇌ ≡SONd ²⁺ + H ⁺	-5.5
≡SOH + Dy ³⁺ ⇌ ≡SODy ²⁺ + H ⁺	-4.5
≡SOH + Yb ³⁺ ⇌ ≡SOYb ²⁺ + H ⁺	-3.9
≡SOH + Nd ³⁺ + H ₂ O ⇌ ≡SONdOH ⁺ + 2H ⁺	-11.2
≡SOH + Dy ³⁺ + H ₂ O ⇌ ≡SODyOH ⁺ + 2H ⁺	-9.5
≡SOH + Yb ³⁺ + H ₂ O ⇌ ≡SOYbOH ⁺ + 2H ⁺	-8.9

530 ^aActivity of a surface complex in intrinsic equilibrium constants describing complexation to edge
531 sites is expressed as a mole fraction. The site density of edge sites (≡S) was assumed to be 8.2 nm⁻²

532 ² based on edge surface area (assuming that edge sites make up 40% of BET surface area or 8.6
533 m²/g). ^bDetails of the fitting are in the supporting information. ^cThe dataset used to optimize
534 equilibrium constants describing the adsorption of LMWOAs to kaolinite (in the absence of REEs)
535 was obtained from previous studies. More details can be found in the supplementary information
536 (section titled “The detailed development of SCM”). All other equilibrium constants were optimized
537 based on adsorption data from this study.^{57,66}

538 Equilibrium constants (logK) of surface complexes correlate well with stability constants of
539 REEOH⁺ (Figure 8) and ionic radii of REEs (Figure S19). As REEs become heavier, more prone
540 to hydrolysis,⁶⁷ and smaller in their ionic radii, equilibrium constants for both surface complexes
541 increase, indicating that the adsorption strength follows the order of Yb > Dy > Nd. This finding
542 agrees with the observation that IADs are selective for heavy REEs compared to other deposit
543 types.^{14,16} Heavy REEs that form stronger complexes with aqueous hydroxyl species also form
544 stronger complexes with surface hydroxyl species.

545 After finding the equilibrium constants resulting in the minimum RSS (markers in Figure 8), we
546 performed a sensitivity analysis to determine the range of equilibrium constant values that would
547 keep the RSS within 5% of its minimum value (uncertainty bars in Figure 8). The optimized logK
548 values of Dy- and Yb-associated surface complexes vary by ± 0.4 , whereas that of $\equiv\text{SONd}^{2+}$ varies
549 by ± 0.8 units. Uncertainty bars of $\equiv\text{SONdOH}^+$ extend to negative infinity, indicating that Nd
550 adsorption could be described with only $\equiv\text{SONd}^{2+}$ because removing $\equiv\text{SONdOH}^+$ from the model
551 does not increase the minimum RSS by more than 5% (Figure 8B). The sensitivity analysis
552 suggests that hydrolyzed Nd surface complexes are unlikely on kaolinite’s edge sites, which is in
553 agreement with the weak hydrolysis of lighter REEs.



554

555 Figure 8. Equilibrium constants for adsorption reactions on edge sites in DLM for (A) ≡SOREE²⁺
 556 and (B) ≡SOREEOH⁺ surface complexes as a function of the first stability constant of hydrolysis
 557 (REEOH⁺). The stability constants of hydrolysis were taken from Table S1. Markers represent the
 558 fitted equilibrium constants, whereas dotted lines represent the linear function fitting. Error bars
 559 represent the range of equilibrium constants that result in RSS within 5% of the minimum RSS.

560 IADs are more acidic (pH 4-5) near the upper portions of the deposits, where ion exchange is likely
 561 the main mechanism governing REE retention.⁶⁸ Lower depths of REE enrichment zones are less
 562 acidic and can have pH values of up to ~6-7, depending on the location of IADs.^{13,14,68} The increase
 563 in pH suggests a higher contribution of edge sites to the overall adsorption, but ion exchange can
 564 still be important depending on the electrolyte and the kaolinite CEC. This study suggests that both
 565 ion exchange and inner-sphere adsorption to edge sites contribute to REE retention at pH values
 566 relevant to the enrichment zones. Ion exchange coefficients do not show preference towards any
 567 REE, whereas equilibrium constants for inner-sphere surface complexation to edge sites are higher
 568 for heavy REEs. Therefore, the complexation to edge sites of kaolinite might be responsible for
 569 the observed relative enrichment of heavy REEs in the kaolinite-rich IADs.

570 To model experiments containing citric acid, we used a model in which REEs and citric acid adsorb
 571 to kaolinite separately (Figure 5 and Figure S20). The model resulted in a satisfactory fit at pH >
 572 6 since the calculated adsorption was within the uncertainty bars of the data. However, at pH < 6,
 573 the SCM with only binary reactions underestimated adsorption. The inclusion of ternary surface
 574 complexes (REE-citric acid-kaolinite) can improve the fit at pH < 6 substantially (Figure S20);

575 however, EXAFS did not observe any difference between spectra with or without citric acid at pH
576 3.5 and 4 that would have been indicative of REE-citric acid-kaolinite ternary surface complexes.
577 Therefore, we did not include ternary complexes in the model to align it with spectroscopic
578 evidence.

579 Modeling adsorption in the presence of oxalic acid with only binary reactions underpredicted REE
580 adsorption substantially (Figure S21). To match the experimental data and the modeled adsorption,
581 the inclusion of ternary surface complexes was necessary (Figure S21). The evidence of ternary
582 complexes (REE-oxalic acid-kaolinite) in the oxalic acid-containing system is based on surface
583 complexation modeling (more details can be found in the supporting information).

584 We applied the model to predict adsorption in the mixed batch experiments, which were excluded
585 from the dataset used for the optimization of equilibrium constants to evaluate the performance of
586 the model. The model predicts lower adsorption in the mixed batch experiments compared to the
587 separate batch experiments, in agreement with the experimental data (Figure S18). Competition
588 between REEs for the limited availability of adsorption sites (4.5 $\mu\text{eq/L}$ ion exchange sites and 23
589 μM edge sites at 0.2 g/L kaolinite) is responsible for the decreased adsorption. Although the model
590 overpredicts the Dy and Yb adsorption in 1 μM mixed batch experiments at $\text{pH} > 7$, the model fits
591 are mostly within the uncertainty bars of the data, indicating that overall, the model captured the
592 effect of the competition among REEs.

593 Modeling adsorption to edge sites with DLM provided satisfactory model fits for all the adsorption
594 data except for the isotherm experiments at pH 6 (Figures 4 and 7F). The poor DLM fit is attributed
595 to the effect of electrostatic interactions because the adsorption of REEs as $\equiv\text{SOREE}^{2+}$ and
596 $\equiv\text{SOREEOH}^+$ increases the surface charge of edge sites, making the surface potential more

597 positive and limiting further adsorption of REE³⁺. To examine how the electrostatic term affects
598 the adsorption, we implemented an alternative non-electrostatic model (NEM). The NEM was
599 optimized in the same way as the DLM and based on the same dataset. The supplementary
600 information file provides details about the development of the NEM. Unlike DLM, the
601 development of NEM required the addition of two surface sites. The NEM predicted adsorption at
602 high REE concentrations in the isotherms well, but it provided a poor fit of the adsorption data
603 with 1 μM total REE concentrations at pH > 7 (Figure S23-S27) and some adsorption data in the
604 presence of CaCl₂ (Figure S25B and S25E), contrasting the excellent performance of the DLM at
605 these water chemistry conditions. The electrostatic term in the DLM is necessary for modeling the
606 effects of pH and ligands on adsorption, but the DLM's electrostatic term failed to describe
607 experiments involving high loadings of REEs. Neither DLM nor NEM can fully describe
608 adsorption trends for all water chemistry conditions, which points to a need to re-visit the
609 formulation of a diffuse layer model for clay minerals. Previous studies on montmorillonite noted
610 that negative charge from basal surfaces spills over to edge sites.^{69,70} Including the spillover effect
611 for the classic DLM would render surface potential more negative, increasing the affinity for REE
612 adsorption. The predicted REE adsorption densities in the isotherm experiments would increase if
613 such a spillover effect had been included in the current model for kaolinite. However, the extent
614 of the increase in the REE adsorption might not be enough to account for the observed difference
615 since kaolinite's CEC (20-30 meq/kg) is considerably smaller than that of montmorillonite (700-
616 800 meq/kg).²⁸ Another possible reason for the underestimation of isotherm might be the formation
617 of polynuclear surface complexes at high REE loadings that are not considered in the current
618 model. Such polynuclear surface complexes have been suggested in a previous study of Eu uptake
619 by kaolinite.²²

620 **Conclusions**

621 This study sought to expand current knowledge of REE mobility and retention in subsurface
622 environments abundant in clay minerals such as kaolinite with batch adsorption experiments
623 covering a wide range of experimental conditions (pH, DIC, total REE concentration, and
624 LMWOAs), SCM, and EXAFS spectroscopy. Our results demonstrate that water chemistry
625 changes the binding mechanism of REEs to kaolinite. In acidic environments (pH 3-5), ion
626 exchange on basal planes is the dominant adsorption mechanism despite the low cation exchange
627 capacity of natural kaolinites. Ion exchange can be the main adsorption mode at pH values up to
628 7-8 when concentrations of electrolyte and REEs are low. At higher pH values (> 6), surface
629 complexation to edge sites becomes more important than ion exchange. The SCM found higher
630 equilibrium constants for heavy REEs, indicating affinity in the order of $\text{Yb} > \text{Dy} > \text{Nd}$. These
631 findings are helpful for understanding the formation of IADs and improving existing recovery
632 strategies by providing insights into the adsorption mechanism. The REE recovery method used
633 for ion adsorption deposits uses an ammonium sulfate solution at pH 3-4 to extract REEs via ion
634 exchange.⁷¹ One implication of the model is that increasing the pH value of the extraction will
635 limit the recovery of REEs. At higher pH values, REEs form inner-sphere complexes and are
636 unlikely to be displaced by a weakly sorbing ion such as NH_4^+ .

637 DIC is the primary factor affecting adsorption at $\text{pH} > 8$ because it can separate light REEs from
638 heavy REEs by precipitation. At $\text{pH} > 8$, DIC forms strong aqueous complexes with heavy REEs,
639 limiting their adsorption. High-pH environments will promote the mobility of heavy REEs but will
640 be enriched with light REEs in a precipitated form. Although groundwater pH levels within IADs
641 are below pH 8, this finding is relevant to other systems, such as alkaline hot springs.⁷²

642 The SCM captured the main adsorption trends with ion exchange and the inner-sphere
643 complexation, and EXAFS spectra supported the predicted trends in binding mechanisms.
644 Modeling enables understanding of REE behavior in subsurface environments subject to ionic
645 strength and pH conditions beyond those studied in experiments. SCM indicated the presence of
646 ternary surface complexes (REE-oxalic acid-kaolinite) in oxalate-containing systems. The
647 combination of SCM and EXAFS suggested that ternary surface complexes do not form with
648 REEs, kaolinite, and citric acid, although such ternary surface complexes cannot be ruled out. The
649 SCM should be used with caution when applied to predict the adsorption of high REE
650 concentrations to kaolinite since the model underestimated the adsorption of REE concentrations
651 above 25 μM . The model advances the predictive ability to estimate REE concentrations in the
652 environment regardless of whether REEs are viewed as a resource or as a contaminant. The SCM
653 can be used in reactive transport models to advance understanding of the fate and transport of REE
654 and possibly other trivalent cations.

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658 **Supporting information**

659 The supporting information file contains information about the SEM image of kaolinite particles,
660 a summary of cleaning and fractionation procedures, calculation of uncertainty bars, stability
661 constants for aqueous complexes with REEs with speciation and solubility diagrams, supporting
662 figures, all figures from the Results and Discussion re-plotted on %adsorbed scale, structural
663 model fitting results for the EXAFS, the detailed description of model development, the results
664 from potentiometric titration, all experimental data with the associated surface complexation
665 model (DLM) outputs, the description of modeling the effect of oxalic acid on adsorption of Dy
666 and Yb, detailed overview of the non-electrostatic model, and all experimental data with the
667 associated surface complexation model (NEM) outputs. The supporting figures show the effect of
668 the total REE concentration, adsorption of REEs in the mixed batch, the effect of oxalic acid on
669 REE adsorption, and equilibrium constants for adsorption reactions plotted against ionic radii.

670

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Adsorption of REEs to kaolinite via ion exchange and surface complexation as a function of water chemistry

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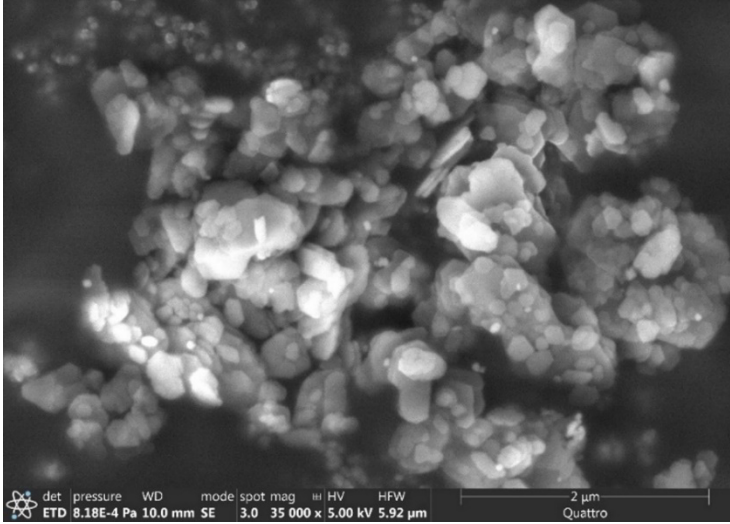


Figure S1. SEM image of kaolinite particles.

Cleaning and size fractionation of kaolinite

Kaolinite cleaning and size fractionation procedures were taken from Chorover et al.¹ Dispersion of untreated clay (30 g) in 0.01 M NaOH at pH 9.5 was followed by centrifugation (5 min at 119g) to obtain kaolinite particles with an equivalent spherical diameter of < 2 μm. Clay was resuspended and centrifuged repeatedly until the conductivity of the supernatant solution reached < 1 μS. The clay was then treated with 1 M NaCl to have Na⁺-saturated clay, followed by washing with ultrapure water (18.2 MΩ·cm) water to remove residual salts. The mineral was freeze-dried and stored as a powder. New kaolinite suspension (10 g/L) was prepared from the same batch every month for batch adsorption experiments.

Calculation of uncertainties

Uncertainties of the measured concentrations (δC) from the inductively coupled plasma mass spectrometry were calculated based on the weighted calibration curve.² An uncertainty of 5% was assumed for dilution factors, kaolinite loading, and BET surface area. The obtained uncertainties of the measured concentration were propagated using Equations S1-S4 to calculate uncertainties of $\log K_d$ values ($\delta \log K_d$), %adsorbed values ($\delta(\% \text{adsorbed})$), and adsorption densities ($\delta(\text{Adsorption density})$). C_{total} (μM) is the total concentration of REE in the reactor. $C_{\text{supernatant}}$ (μM) is the concentration measured by ICP-MS after 24 h of equilibration. C_{ads} is the adsorbed concentration (μM). $C_{\text{kaolinite}}$ (kg/L) is the kaolinite loading.

$$\delta C_{\text{ads}} = \sqrt{\delta C_{\text{total}}^2 + \delta C_{\text{supernatant}}^2} \quad (\text{Eq. S1})$$

$$\delta \log K_d = 0.434 \sqrt{\left(\frac{\delta C_{\text{ads}}}{C_{\text{ads}}}\right)^2 + \left(\frac{\delta C_{\text{supernatant}}}{C_{\text{supernatant}}}\right)^2 + \left(\frac{\delta C_{\text{kaolinite}}}{C_{\text{kaolinite}}}\right)^2} \quad (\text{Eq. S2})$$

$$\frac{\delta(\% \text{adsorbed})}{\% \text{adsorbed}} = 100 \sqrt{\left(\frac{\delta C_{\text{total}}}{C_{\text{total}}}\right)^2 + \left(\frac{\delta C_{\text{ads}}}{C_{\text{ads}}}\right)^2} \quad (\text{Eq. S3})$$

$$\frac{\delta(\text{Adsorption density})}{\text{Adsorption density}} = \sqrt{\left(\frac{\delta C_{\text{kaolinite}}}{C_{\text{kaolinite}}}\right)^2 + \left(\frac{\delta C_{\text{ads}}}{C_{\text{ads}}}\right)^2} \quad (\text{Eq. S4})$$

Table S1. Stability constants (at zero ionic strength and 25°C) of aqueous complexes and solubility products of relevant solid phases involving Nd, Dy, and Yb.

Reaction	REE			References
	Nd	Dy	Yb	
$\text{REE}^{3+} + \text{H}_2\text{O} \rightleftharpoons \text{REEOH}^{2+} + \text{H}^+$	-8.18	-7.59	-7.24	3,4
$\text{REE}^{3+} + 2\text{H}_2\text{O} \rightleftharpoons \text{REE}(\text{OH})_2^+ + 2\text{H}^+$	-17.04	-16.1	-15.75	5,6
$\text{REE}^{3+} + 3\text{H}_2\text{O} \rightleftharpoons \text{REE}(\text{OH})_3 + 3\text{H}^+$	-26.41	-24.4	-23.86	5,6
$\text{REE}^{3+} + 4\text{H}_2\text{O} \rightleftharpoons \text{REE}(\text{OH})_4^- + 4\text{H}^+$	-37.39	-33.5	-32.7	4,5
$2\text{REE}^{3+} + 2\text{H}_2\text{O} \rightleftharpoons \text{REE}_2(\text{OH})_2^{4+} + 2\text{H}^+$	-13.89			4
$\text{REE}^{3+} + \text{CO}_3^{2-} \rightleftharpoons \text{REECO}_3^+$	7.28	7.56	7.81	7
$\text{REE}^{3+} + 2\text{CO}_3^{2-} \rightleftharpoons \text{REE}(\text{CO}_3)_2^-$	12.17	12.91	13.3	7
$\text{REE}^{3+} + \text{H} + \text{CO}_3^- \rightleftharpoons \text{REEHCO}_3^{2+}$	12.61	12.83	12.86	7
$\text{REE}^{3+} + \text{NO}_3^- \rightleftharpoons \text{REENO}_3^{2+}$	0.91	0.31	0.41	4,5,8
$\text{REE}^{3+} + \text{Citrate}^{3-} \rightleftharpoons \text{REECitrate}^0$	9.51	9.34	9.65	4,9
$\text{REE}^{3+} + \text{Oxalate}^{2-} \rightleftharpoons \text{REEOxalate}^+$	6.31	6.74	6.95	10
$\text{REE}^{3+} + 2\text{Oxalate}^{2-} \rightleftharpoons \text{REE}(\text{Oxalate})_2^-$	10.82	11.35	11.75	10
$\text{REE}^{3+} + \text{CO}_3^{2-} \rightleftharpoons \text{REE}_2(\text{CO}_3)_3 (\text{s})$	-33	-31.5	-31.1	4,11
$\text{REE}^{3+} + 3\text{H}_2\text{O} \rightleftharpoons \text{REE}(\text{OH})_3 (\text{s}) + 3\text{H}^+$	18.09	16.09	15.39	4,11

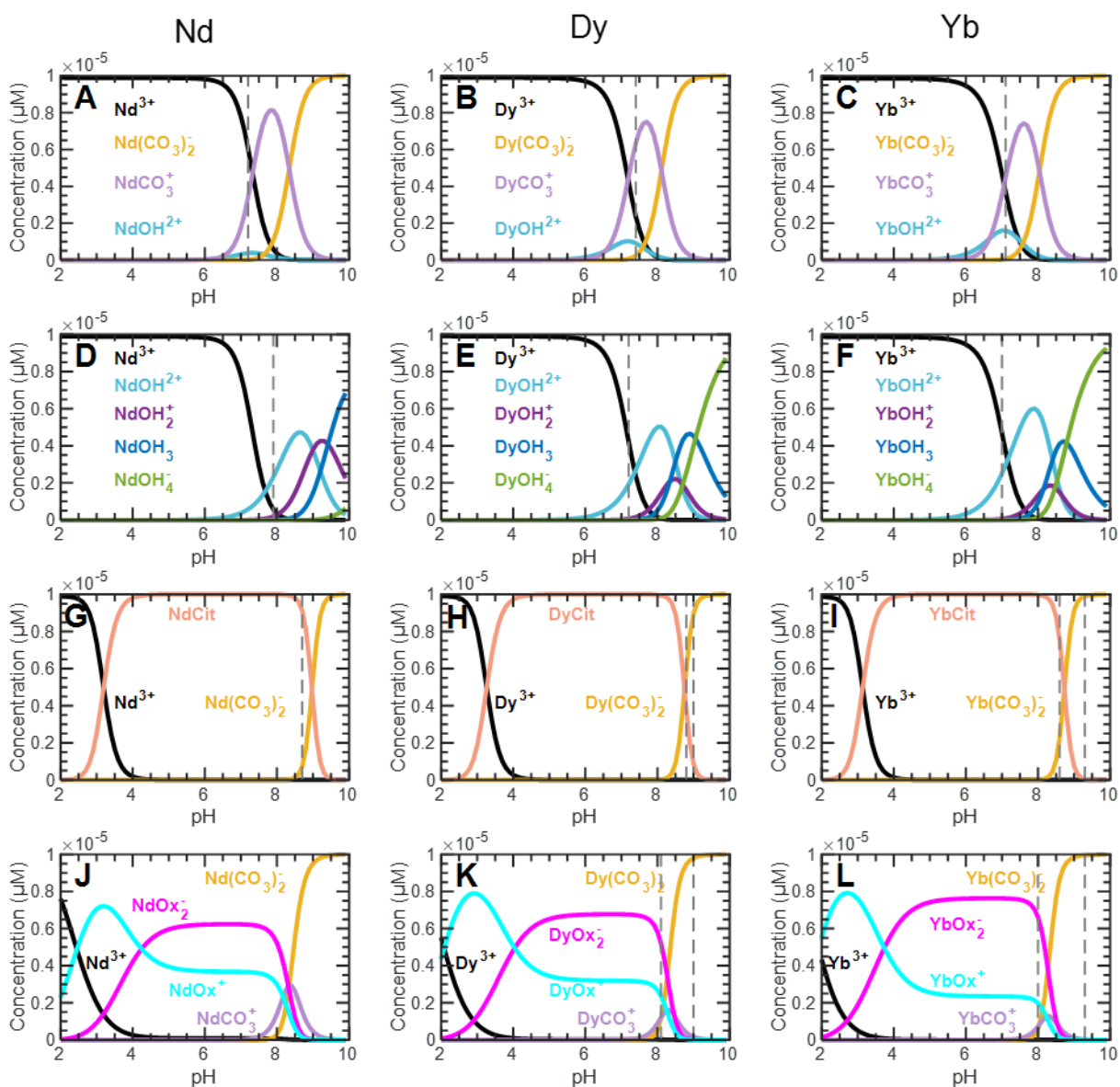


Figure S2. Speciation diagrams of rare earth elements. (A-C) DIC in equilibrium with atmospheric CO_2 , (D-F) CO_2 -free conditions, (G-I) DIC in equilibrium with atmospheric CO_2 in the presence of $100 \mu\text{M}$ citric acid, (J-L) DIC in equilibrium with atmospheric CO_2 in the presence of $100 \mu\text{M}$ oxalic acid. The electrolyte was 10 mM NaCl , the total REE concentration was $10 \mu\text{M}$, and atmospheric $\log P_{\text{CO}_2}$ was assumed to be -3.42 . The dashed line indicates the pH value at which REE precipitates based on solubility calculations. In (J), a dashed line is not shown because Nd is predicted to precipitate at all pH values (2-10) indicated in the figure.

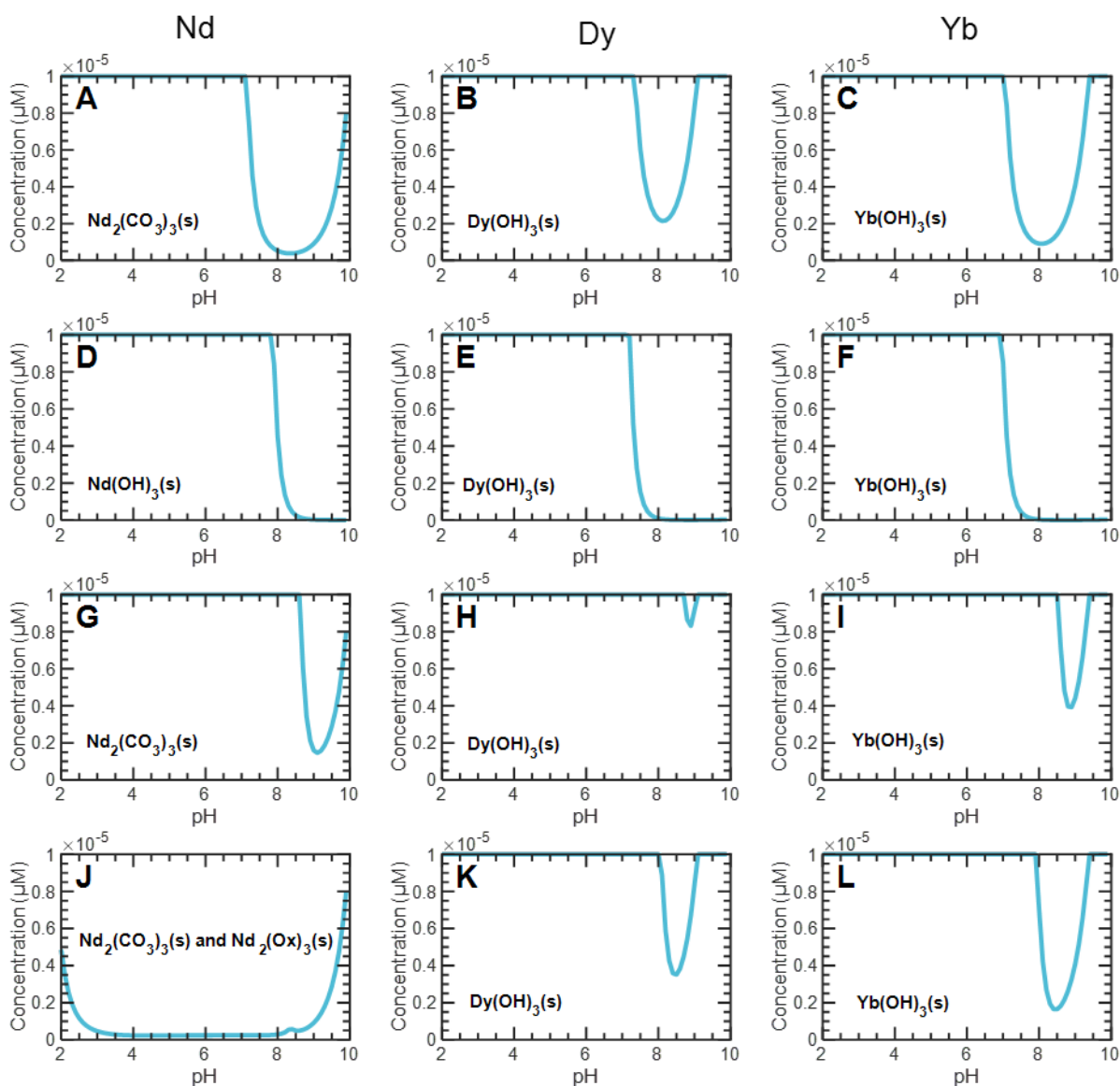


Figure S3. Total dissolved REE concentrations in equilibrium with the solubility-controlling solid phase (in annotation). (A-C) DIC in equilibrium with atmospheric CO₂, (D-F) CO₂-free conditions, (G-I) DIC in equilibrium with atmospheric CO₂ in the presence of 100 μM citric acid, (J-L) DIC in equilibrium with atmospheric CO₂ in the presence of 100 μM oxalic acid. The electrolyte was 10 mM NaCl, the total REE concentration was 10 μM, and atmospheric logP_{CO2} was assumed to be -3.42.

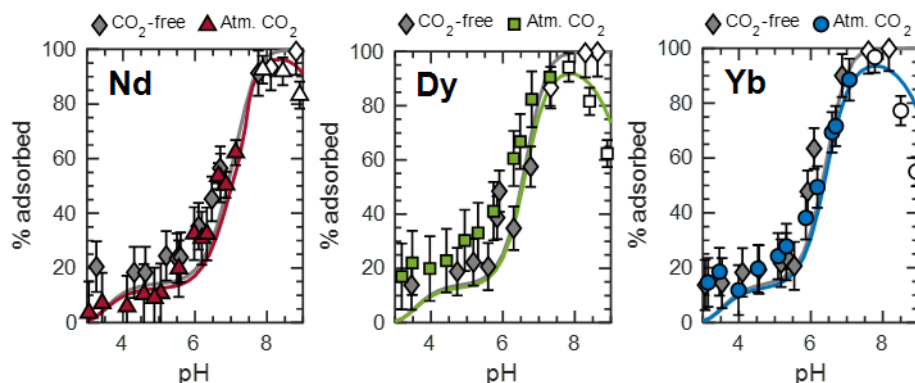


Figure S4. The effect of pH and DIC in equilibrium with atmospheric CO₂ on the retention of REEs (10 μM) to kaolinite (0.2 g/L) in the presence of 10 mM NaCl. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output from the SCM.

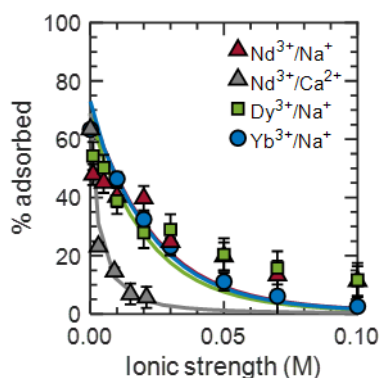


Figure S5. The effect of electrolyte type (NaCl and CaCl₂) and concentration on adsorption of REEs (1 μM) to kaolinite at pH 4. The solutions in all experiments were in equilibrium with atmospheric CO₂. Markers represent experimental data; solid lines represent the output from the SCM.

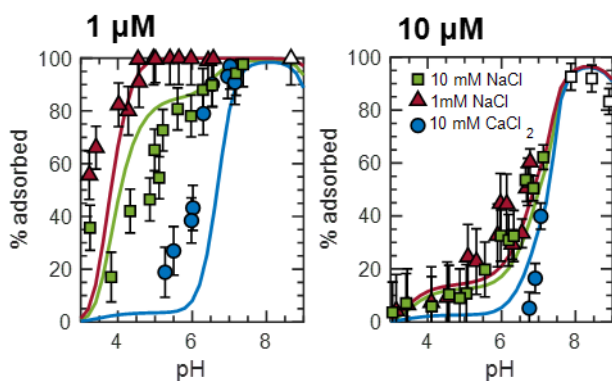


Figure S6. The effect of electrolyte type and concentration on Nd retention to kaolinite (0.2 g/L). Total Nd concentration was 1 μM and 10 μM. The solutions in all experiments were in equilibrium with atmospheric CO₂. Markers represent experimental data; solid lines represent the output from the surface complexation model. Open white markers show data points at which solubility calculations indicate the possibility of REE precipitation.

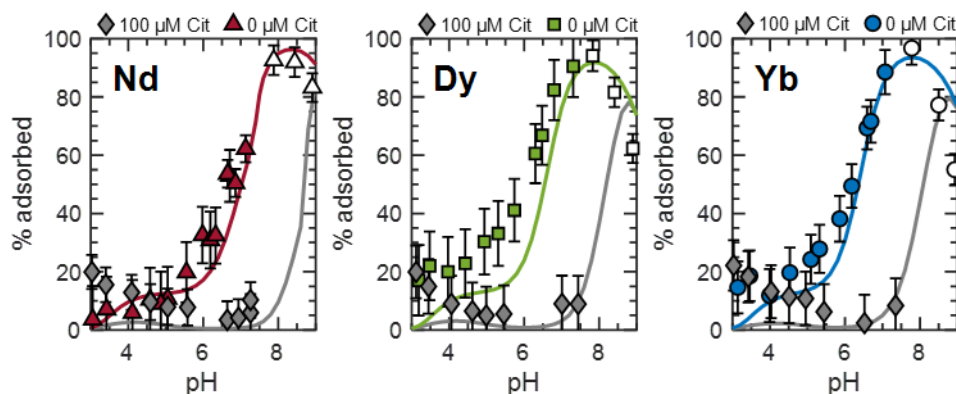


Figure S7. The effect of citric acid (100 μM) on retention of REEs (10 μM) to kaolinite in the presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric CO_2 . Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output from the SCM with ternary surface complexes (REE-citric acid-kaolinite).

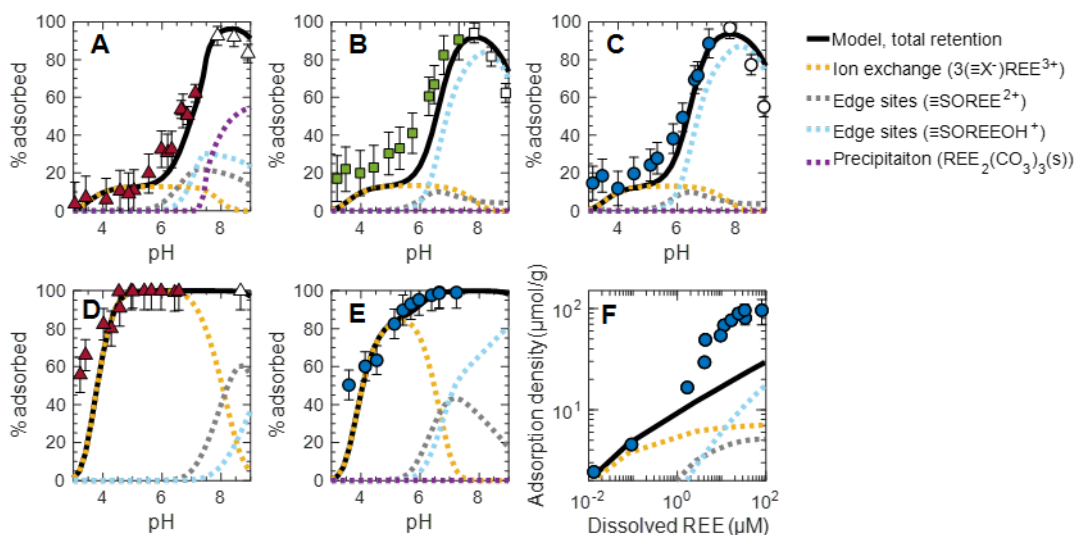


Figure S8. Detailed overview of the surface complexation model output (lines) for selected experiments. Markers represent retention of (A) 10 μM Nd, (B) 10 μM Dy, (C) 10 μM Yb, (D) 1 μM Nd, (E) 1 μM Yb, (F) 0.1-100 μM Yb to kaolinite (0.2 g/L). DIC in all experiments was in equilibrium with atmospheric CO_2 . The electrolyte was 10 mM NaCl in all panels, except (D), where NaCl concentration was 1 mM. Open white markers show data points at which solubility calculations indicate the possibility of REE precipitation.

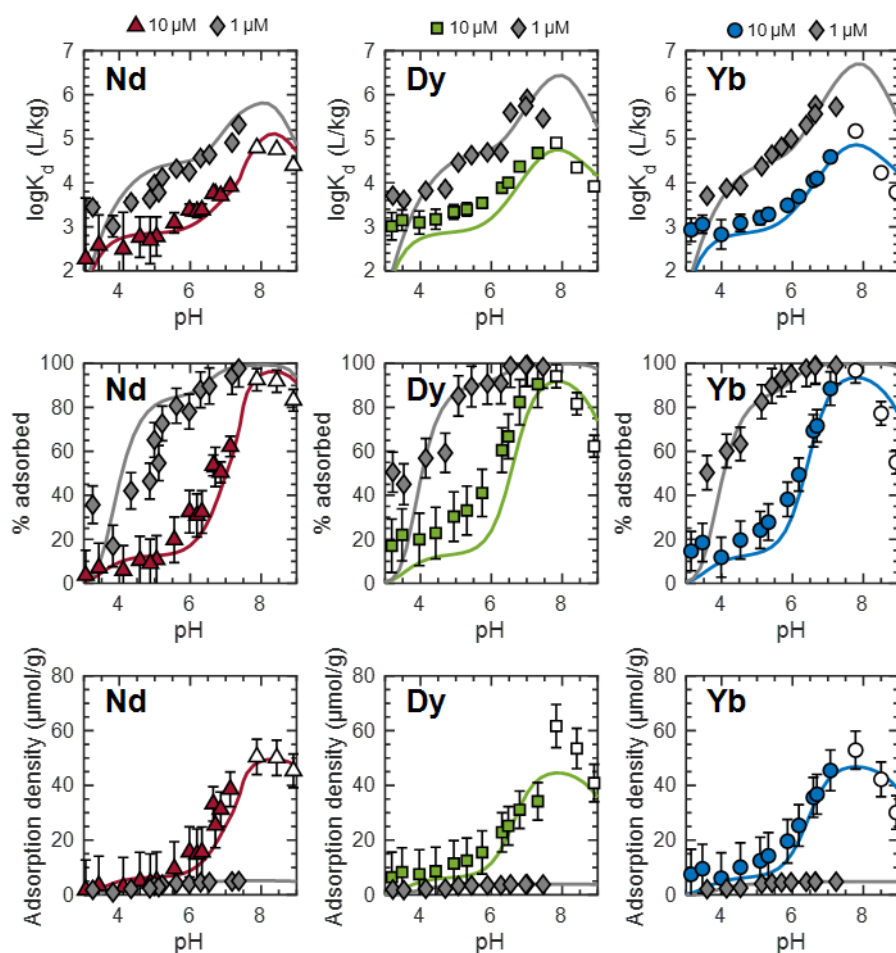


Figure S9. The effect of the total REE concentration (1 μM or 10 μM) on REE retention to kaolinite (0.2 g/L) in the presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric CO_2 . Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output from the SCM. The top row shows $\log K_d$ values, the middle row shows %adsorbed values, and the bottom row shows adsorption densities.

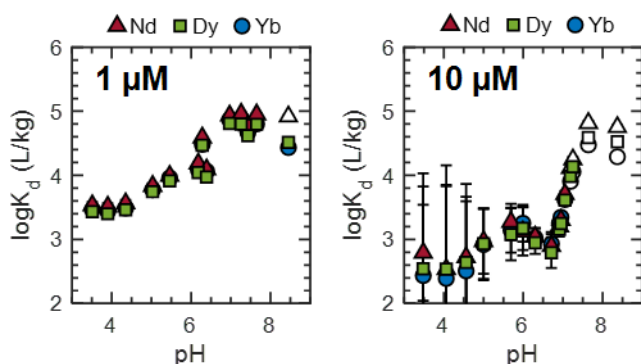


Figure S10. Retention of REEs in a mixed batch (1 μM or 10 μM of each REE) in the presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric CO_2 . Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation.

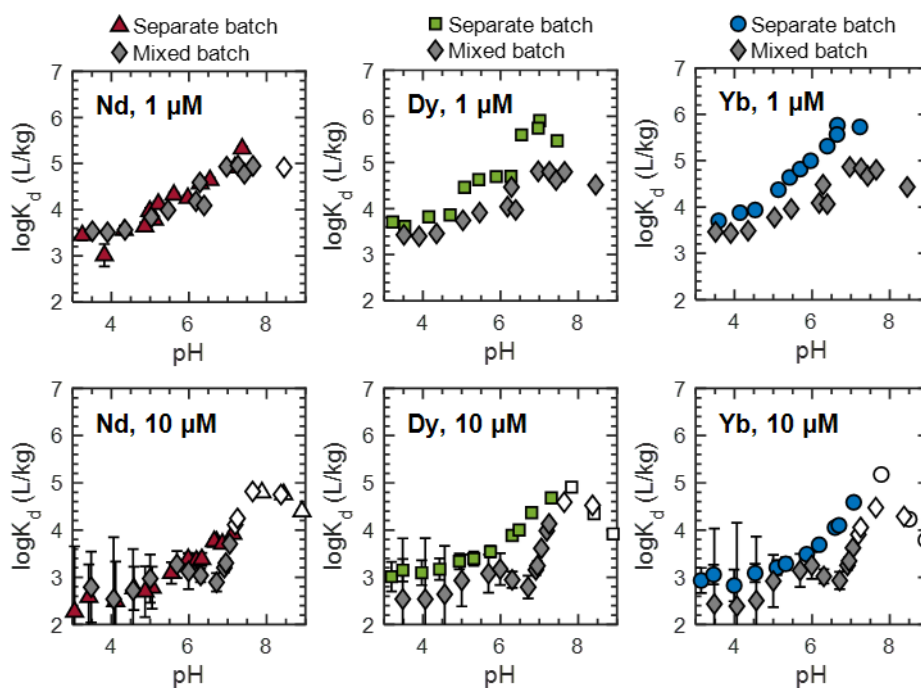


Figure S11. Retention of REEs in mixed batch and separate batches (1 μM or 10 μM of each REE) in the presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric CO_2 . Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation.

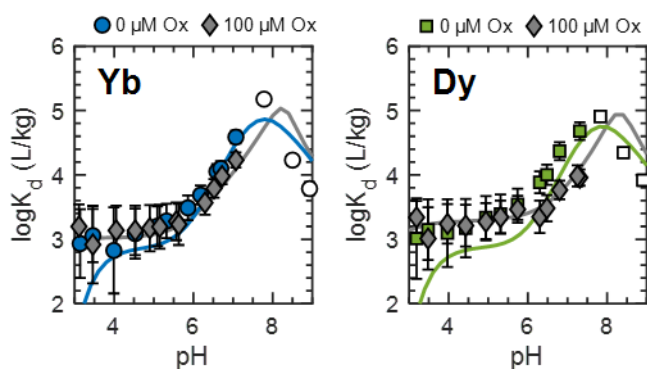


Figure S12. The effect of oxalic acid (100 μM) on retention of Dy and Yb (10 μM) to kaolinite in the presence of 10 mM NaCl. The solutions in all experiments were in equilibrium with atmospheric CO_2 . Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output from the SCM with ternary surface complexes (REE-oxalic acid acid-kaolinite). The effect of oxalic acid on Nd was not investigated due to the propensity of Nd to precipitate with oxalic acid.

Table S2. Structural model fitting results for the EXAFS spectra of Yb adsorbed to kaolinite.

Sample	Path	CN	R (Å)	σ^2 (Å ²)	ΔE_0 (eV)	S_0^2	R-factor	V/NIDP	χ_v^2	R range (Å)	k range (Å ⁻¹)
10 μ M Yb, 200 mg/L kaolinite, 100 μ M citrate, 10 mM NaCl, pH 3.5	Yb-O ₁	5.4 \pm 0.7	2.307 \pm 0.008	0.001 \pm 0.001	10 \pm 1	1.0	0.011	6/13.7	0.78	1.5-3.5	3.0-13.0
	Yb-O ₂	2.5 \pm 0.3	2.455 \pm 0.018 ^a	0.001 \pm 0.001							
10 μ M Yb, 200 mg/L kaolinite, 10 mM NaCl, pH 3.5	Yb-O ₁	5.7 \pm 1.0	2.327 \pm 0.011	0.002 \pm 0.001	11 \pm 1	1.0	0.019	6/13.7	1.60	1.5-3.5	3.0-13.0
	Yb-O ₂	2.2 \pm 0.5	2.483 \pm 0.029	0.002 \pm 0.001							
10 μ M Yb, 200 mg/L kaolinite, 1 mM NaCl, pH 4	Yb-O ₁	4.9 \pm 0.7	2.314 \pm 0.009	0.001 \pm 0.001	11 \pm 1	1.0	0.016	6/13.7	0.91	1.5-3.5	3.0-13.0
	Yb-O ₂	2.7 \pm 0.3	2.459 \pm 0.017	0.001 \pm 0.001							
10 μ M Yb, 200 mg/L kaolinite, 10 mM NaCl, pH 6	Yb-O ₁	7.8 \pm 1.5	2.349 \pm 0.014	0.007 \pm 0.002	11 \pm 2	1.0	0.038	6/13.7	1.61	1.5-3.5	3.0-13.0
	Yb-O ₂	1.5 \pm 1.4	2.576 \pm 0.060	0.007 \pm 0.002							
10 μ M Yb, 200 mg/L kaolinite, 10 mM NaCl, pH 7	Yb-O ₁	5.4 \pm 1.5	2.289 \pm 0.021	0.006 \pm 0.002	8 \pm 1	1.0	0.006	6/12.5	0.32	1.5-3.5	3.0-12.0
	Yb-O ₂	2.7 \pm 0.9	2.421 \pm 0.033	0.006 \pm 0.002							
10 μ M Yb, 200 mg/L kaolinite, 100 μ M citrate, 10 mM NaCl, pH 7	Yb-O ₁	5.8 \pm 1.6	2.326 \pm 0.019	0.005 \pm 0.002	11 \pm 2	1.0	0.024	6/12.5	1.24	1.5-3.5	3.0-12.0
	Yb-O ₂	1.9 \pm 0.7	2.497 \pm 0.058	0.005 \pm 0.002							

^aThe σ^2 value for the two Yb-O paths were constrained to be equal.

Fitting parameter: coordination numbers (CN); interatomic distance (R); Debye-Waller factor (σ^2); difference in the threshold Fermi level between data and theory (ΔE_0); amplitude reduction factor (S_0^2); reduced χ squared value (χ_v^2) and R-factor are goodness-of-fit parameters;¹² V is the number of variables; NIDP is the number of independent points.

Development of the diffuse double layer model (DLM) surface complexation model.

The surface complexation model (SCM) was developed based on two mechanisms: ion exchange and surface complexation to edge sites. We interpreted surface complexation to edge sites using the diffuse double-layer model.

The first step was developing the ion exchange model based on the calculated CEC (22.5 meq/kg) by optimizing for Gaines-Thomas coefficients (K_{GT}). Ion exchange reactions dominate at low pH ranges; therefore, we used data with $\text{pH} < 4.5$ in the fitting to prevent adsorption reactions on edge sites from affecting the determination of the Gaines-Thomas coefficients. The combination of four coefficients ($K_{GT,Nd/H}$, $K_{GT,Dy/H}$, $K_{GT,Yb/H}$, $K_{GT,Na/H}$) that resulted in the least residual sum of squares (RSS) is listed in Table 1. Adsorption data with CaCl_2 as an electrolyte and $\text{pH} < 5$ were fitted separately to find $K_{GT,Ca/H}$. The fitted Gaines-Thomas coefficients for Na^+/H^+ and $\text{Ca}^{2+}/\text{H}^+$ exchange agree well with published literature.¹³

The second step was choosing constraints on edge site density. Studies that calculated site density using crystallographic calculations report site densities in the range of 7.6-8.2 nm^{-2} based on the area of edge sites.^{14,15} Most studies report site density based on BET surface area, and only a few studies measured a proportion of the area of edges to the BET surface area and reported a wide range of values (8-40% of BET surface area).¹⁶⁻¹⁸ We assumed that edge sites comprise 40% of the total BET area to obtain the maximum adsorption capacity similar to the one observed in the isotherm experiment (19-23 μM) (Eq. S5). The total site density used in the SCM is 3.3 nm^{-2} BET surface area (21.5 m^2/g) or 8.2 nm^{-2} edge surface area (assuming that edges make up 40% of the BET surface area).

$$8.2 \frac{\text{sites}}{\text{nm}^2 \text{edge}} * \frac{\text{mol}}{6.023 \times 10^{23} \text{ sites}} * 0.4 \frac{\text{m}^2 \text{edge}}{\text{m}^2 \text{BET}} * 10^{18} \frac{\text{nm}^2 \text{BET}}{\text{m}^2 \text{BET}} * 21.5 \frac{\text{m}^2 \text{BET}}{\text{g}} * 0.2 \frac{\text{g}}{\text{L}} = 23.4 \mu\text{M}$$

(Eq. S5)

The third step was fitting a titration curve of the kaolinite suspension by varying the deprotonation constant ($\equiv\text{SOH} \rightleftharpoons \equiv\text{SO}^- + \text{H}^+$) while keeping Na^+/H^+ ion exchange reaction from the first step (Figure S13). We assumed only one site to characterize edge sites ($\equiv\text{S}$) because the titration fit was satisfactory ($R^2 = 0.95$). Using a single site type oversimplifies the kaolinite structure containing different surfaces (aluminol basal plane, silanol basal plane, aluminol edge sites, and silanol edge sites), but macroscopic adsorption experiments alone cannot provide sufficient information to differentiate between aluminol vs. silanol sites or a particular crystallographic plane the adsorption sites are on. The fitting of another deprotonation constant ($\equiv\text{SOH}_2 \rightleftharpoons \equiv\text{SOH} + \text{H}^+$) was not required because the ion exchange reaction between Na^+ and H^+ ions ($\log K_{GT,Na/H} = -2.3$) could adequately explain the titration curve at low pH values (< 5). A combination of the Gaines-Thomas coefficient ($K_{GT,Na/H}$) and the optimized deprotonation constant was sufficient to reproduce the titration fitting accurately enough to capture the main deprotonation trends with the minimum number of model parameters. Titration data and the accompanying fits agree well with other studies reporting titration of kaolinite suspensions (Figure S13).

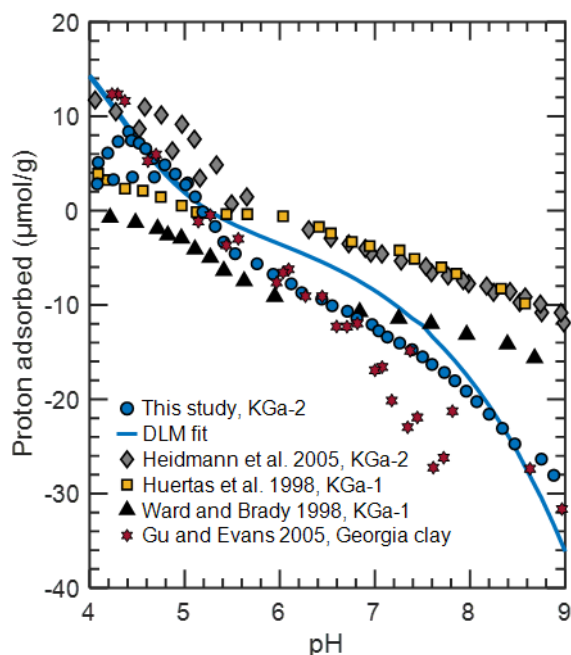


Figure S13. Proton adsorption to kaolinite as a function of pH in CO₂-free conditions. Kaolinite loading was 5 g/L in this study. All studies used 10 mM electrolyte concentration (NaCl in this study and Ward and Brady¹⁹, KClO₄ in Huertas et al.²⁰, and NaNO₃ in Heidmann et al.²¹ and Gu and Evans²²).

The fourth step was to determine the stoichiometry of surface complexes and the associated equilibrium constants that provided the best fit of the model to the experimental data. We assumed two surface complexes ($\equiv\text{SOREE}^{2+}$ and $\equiv\text{SOREEOH}^+$) representing the simplest stoichiometry for each REE. We excluded data points featuring water chemistry conditions that favor precipitation (mostly pH > 7) and excluded precipitation reactions from the fitting. Equilibrium constants (logK values) for each REE were fitted separately and to the nearest 0.1 units. The intrinsic equilibrium constants providing the best fit were those with the minimum RSS, and the success criterion was $R^2 > 0.9$. Competitive adsorption data were not included in the model optimization, but they were instead used for checking the model's performance for predicting adsorption at conditions not included in the optimization.

The model optimization included a sensitivity analysis to understand the uncertainty of the equilibrium constant. After finding the equilibrium constant that provided the minimum residual sum of squares (RSS), we varied it to determine how high or low it could be while maintaining the RSS within 5% of the minimum RSS. The resulting range of equilibrium constants provides uncertainty estimates for the optimal value of the constant.

The fifth step was fitting experiments with organic ligands (citric acid and oxalic acid). Organic ligands can potentially affect REE adsorption to kaolinite by (1) forming an aqueous complex (Figures S3G-S3L), and (2) adsorbing to kaolinite separately from REEs and thereby occupying adsorption sites, and (3) forming ternary surface complexes (REE-organic ligand-kaolinite). The simplest way to model adsorption with organic ligands is to assume a system in which organic ligands and REEs adsorb to kaolinite independently of one another without ternary complexation. Ternary surface complexes can be added if the model fit with the simple system is unsatisfactory.

The adsorption of organic ligands to kaolinite (without REEs) has been studied previously with surface complexation modeling.^{19,23} We could not use these models directly because both of the previous studies assumed different surface complexation models from the one we used (Table S3). We re-optimized equilibrium constants for the binding of organic ligands to kaolinite based on the adsorption data from these previous studies with DLM (Table 1 and Figure S14). Although the previous studies used different sources of kaolinite, we assumed that the site density from this study (8.2 nm^{-2} based on edge surface area) applies to the kaolinite that they used. We re-calculated site concentration based on the BET surface area of kaolinite and kaolinite loading used in those studies (Table S3).

Table S3. Differences between this study and the published literature describing the adsorption of organic ligands to kaolinite.

	This study	Lackovic et al. ²³	Ward and Brady ¹⁹
Organic ligand	Both citrate and oxalate	Citrate	Oxalate
Type of kaolinite	KGa-2	Commercial	KGa-1
Electrolyte	10 mM NaCl	10 mM KClO ₄	10 mM NaCl
Mineral loading	0.2 g/L	6.8 g/L	100 g/L
BET surface area	21.5 m ² /g	14.7 m ² /g	8.6 m ² /g
Model type	Double layer	Extended constant capacitance	Triple layer

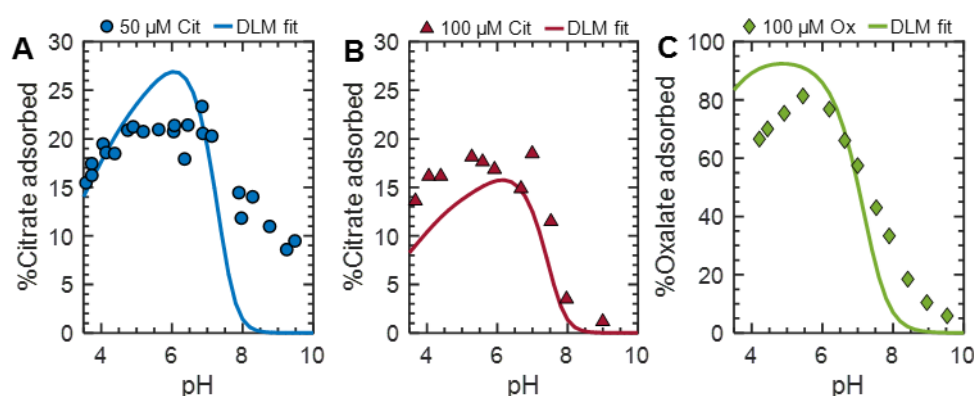


Figure S14. Adsorption of (A) 50 μM citrate, (B) 100 μM citrate, and (C) 100 μM oxalate to kaolinite. Markers represent experimental data from the published literature;^{19,23} solid lines represent the output from the surface complexation model.

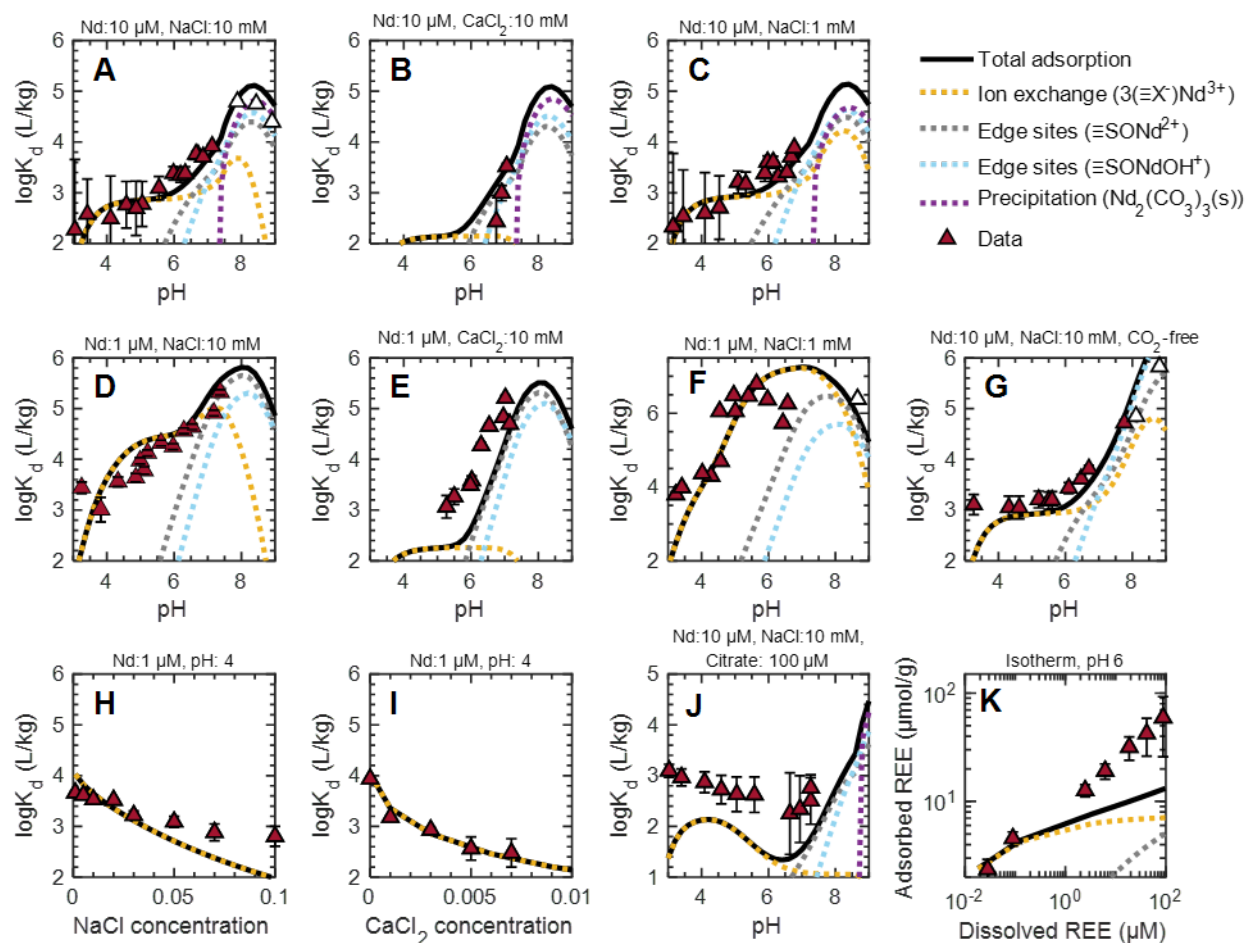


Figure S15. Detailed overview of the DLM-based surface complexation model output (lines) in all experiments with Nd. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO_2 (except panel G, which corresponds to the CO_2 -free experiment).

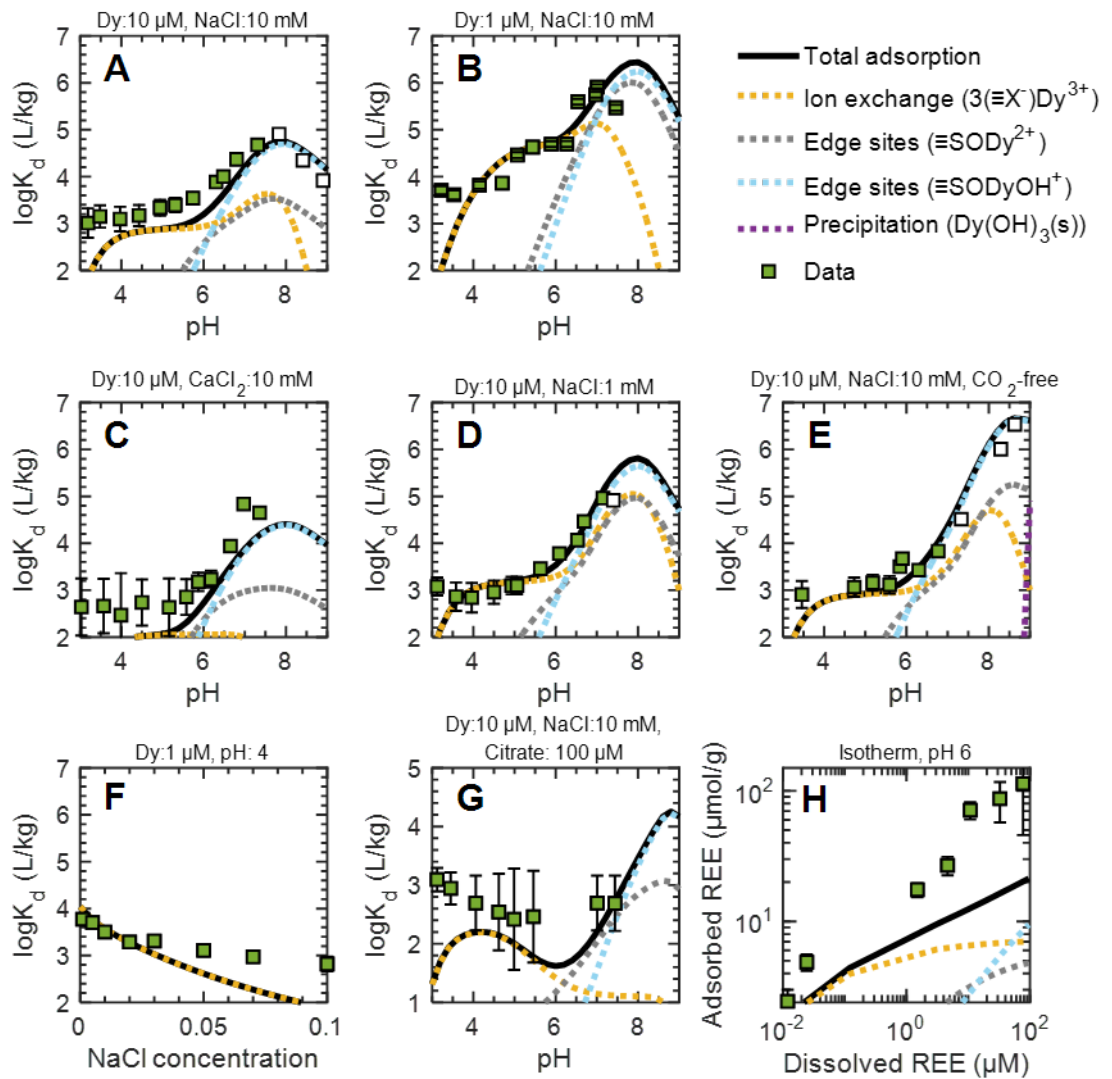


Figure S16. Detailed overview of the DLM-based surface complexation model output (lines) in all experiments with Dy. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO_2 (except panel E, which corresponds to the CO_2 -free experiment).

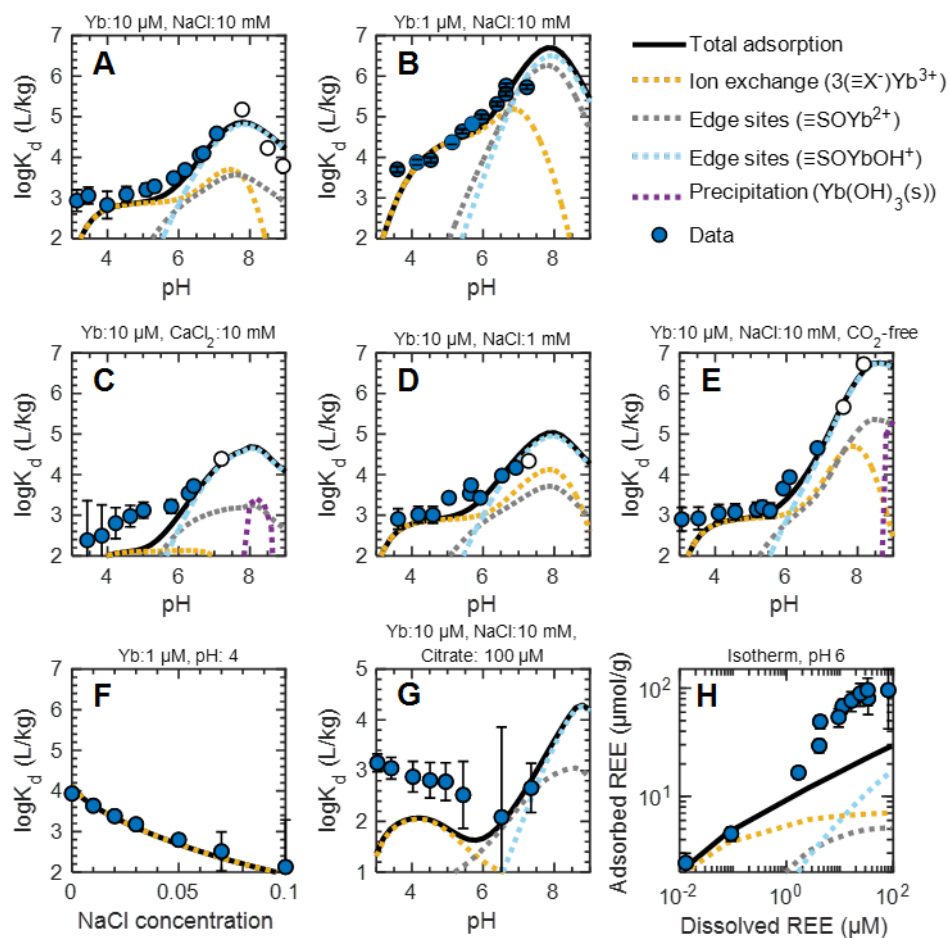


Figure S17. Detailed overview of the DLM-based surface complexation model output (lines) in all experiments with Yb. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO_2 (except panel E, which corresponds to the CO_2 -free experiment).

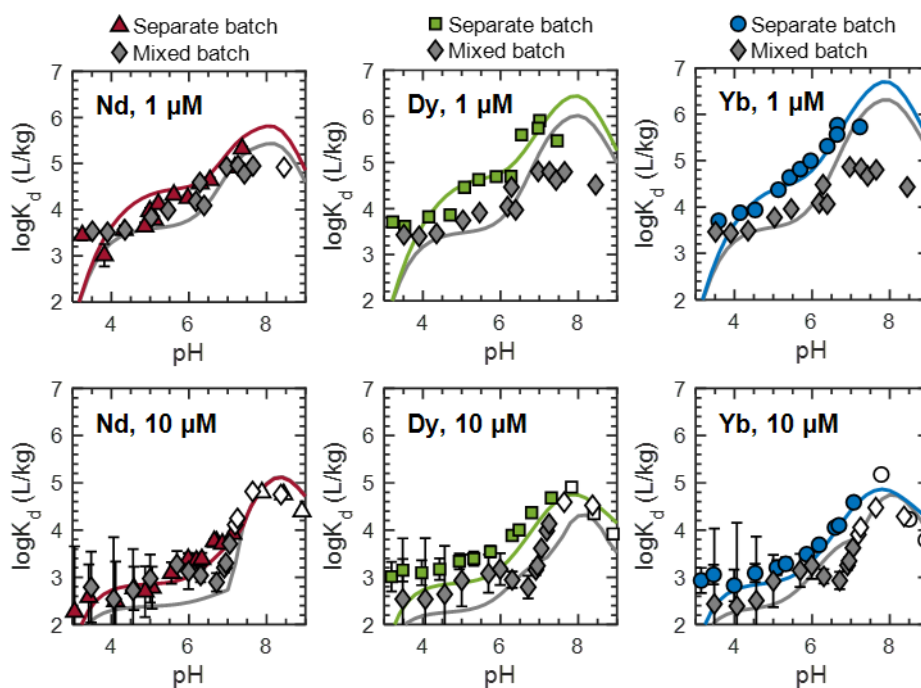


Figure S18. Comparison of REE retention in separate batches (Nd or Dy or Yb, colored markers) and mixed batches (Nd and Dy and Yb, grey diamond markers) in the presence of 10 mM NaCl and 0.2 g/L kaolinite. The solutions in all experiments were in equilibrium with atmospheric CO₂. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. Solid lines represent the output from the surface complexation model.

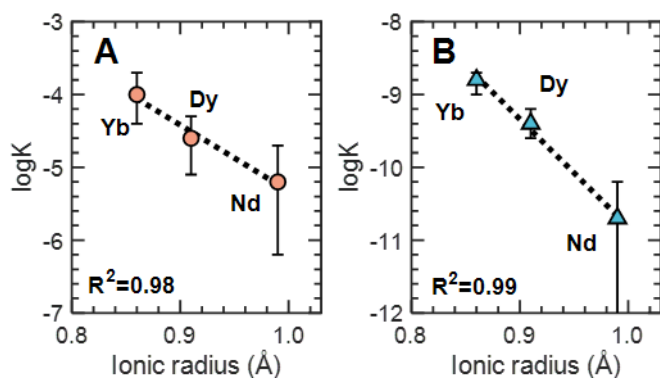


Figure S19. Equilibrium constants for adsorption reactions on edge sites in DLM for (A) $\equiv\text{SOREE}^{2+}$ and (B) $\equiv\text{SOREEOH}^+$ surface complexes. Ionic radii were taken from Moldoveanu and Papangelakis.²⁴ Markers represent the fitted equilibrium constants, whereas lines represent the linear function fitting. Error bars represent a range of equilibrium constants, which result in RSS within 5% of the minimum RSS.

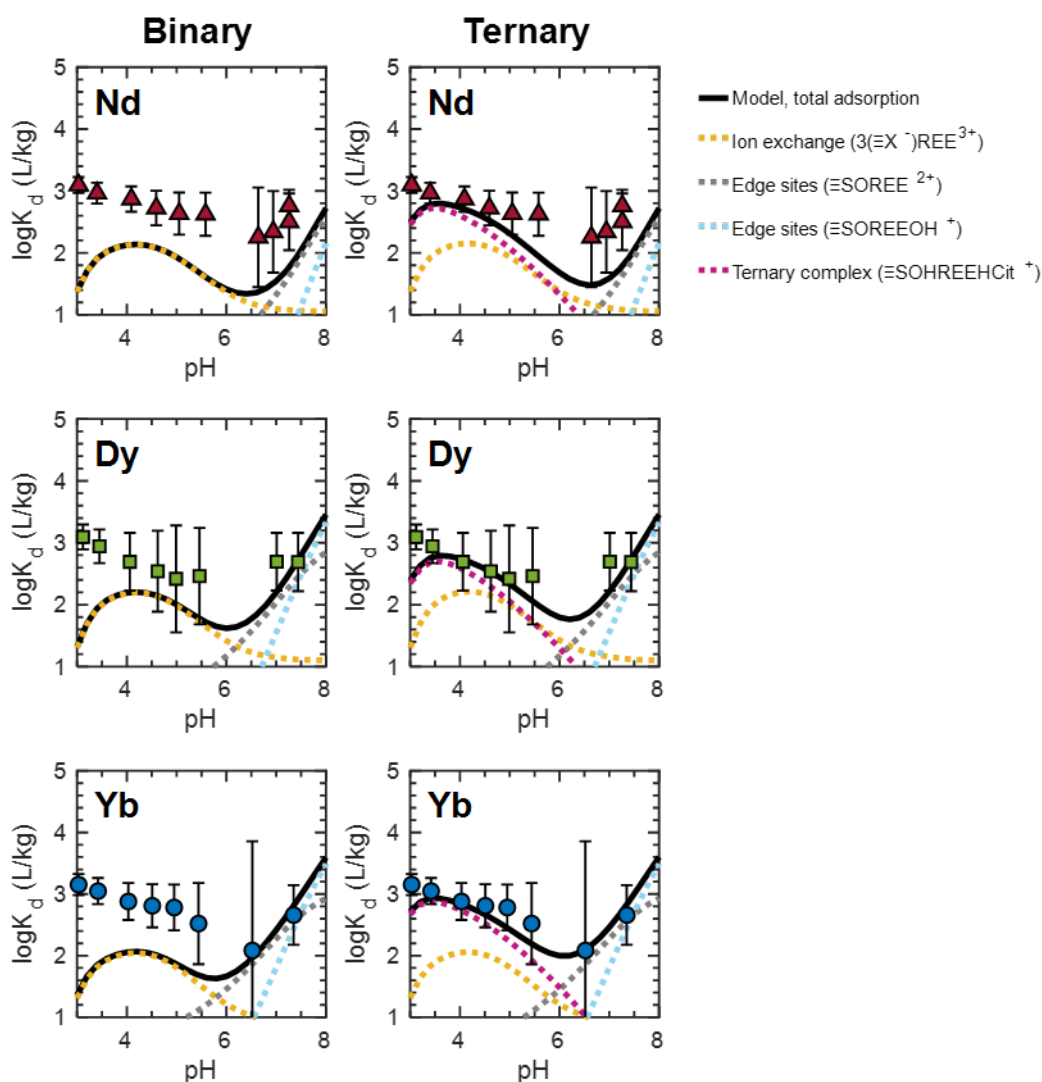


Figure S20. Modeling the adsorption of 10 μM REE to kaolinite (0.2 g/L) in the presence of citric acid (100 μM) with only binary citrate-kaolinite and REE-kaolinite surface complexation reactions (left column) and with the inclusion of a ternary citrate-REE-kaolinite surface complex (right column). Optimized constants are listed in Table S4. The solutions in all experiments contained 10 mM NaCl as the electrolyte and were in equilibrium with atmospheric CO_2 . Markers represent experimental data; lines represent the output from the SCM.

Table S4. Equilibrium constants (DLM) for REE-citric acid-kaolinite ternary surface complexes^a.

Surface reactions	$\log K^b$
$\equiv\text{SOH} + \text{Nd}^{3+} + \text{Cit}^{3-} + \text{H}^+ \rightleftharpoons \equiv\text{SOHNdHCit}^+$	15.2
$\equiv\text{SOH} + \text{Dy}^{3+} + \text{Cit}^{3-} + \text{H}^+ \rightleftharpoons \equiv\text{SOHDyHCit}^+$	15.0
$\equiv\text{SOH} + \text{Yb}^{3+} + \text{Cit}^{3-} + \text{H}^+ \rightleftharpoons \equiv\text{SOHYbHCit}^+$	15.5

^aThis table is complementary to Tables 1 and 2 in the main text.

^bActivity of a surface complex in intrinsic equilibrium constants describing complexation to edge sites is expressed as a mole fraction. The site density of edge sites ($\equiv\text{S}$) was assumed to be 8.2 nm^{-2} based on edge surface area (assuming that edge sites make up 40% of BET surface area or $8.6 \text{ m}^2/\text{g}$).

Adsorption of REEs to kaolinite in the presence of oxalic acid

Oxalic acid does not affect the adsorption of Yb at any of the pH values studied, but it does slightly decrease the adsorption of Dy at pH > 6 (Figure S12). The solubility diagram for Nd in the presence of oxalic acid (Figure S3J) predicts precipitation of Nd-oxalate solid at the given water chemistry conditions (100 μM oxalic acid, pH 3-9, and 10 μM Nd); therefore, the effect of oxalic acid on Nd adsorption was not examined in this study. REE-oxalic acid aqueous complexes (REEOx^+ and REEOx_2^-) are dominant at pH 3-9 and are expected to decrease adsorption in the presence of oxalic acid (Figure S2). However, the little to no effect from oxalic acid on the adsorption of Dy and Yb suggests that ternary surface complexes are formed (REE-oxalic acid-kaolinite). This observation agrees with the SCM because the SCM fails to predict the data accurately with the binary system assumption. Two ternary surface complexes are required to capture adsorption trends at pH > 5.5 ($\equiv\text{SOREEOx}$) and pH < 5.5 ($\equiv\text{SOHREEOx}^+$) (Figure S21 and Table S5).

The equilibrium constants of these ternary complexes were optimized based on stability constants from Schijf and Byrne.¹⁰ There is a wide range of stability constants for REE-oxalate aqueous complexes reported in the published literature, especially for Yb (Table S6). We tried to improve the fit of the model with just binary reactions to the data by varying the stability constants, but the SCM still underestimates adsorption (Figure S22).

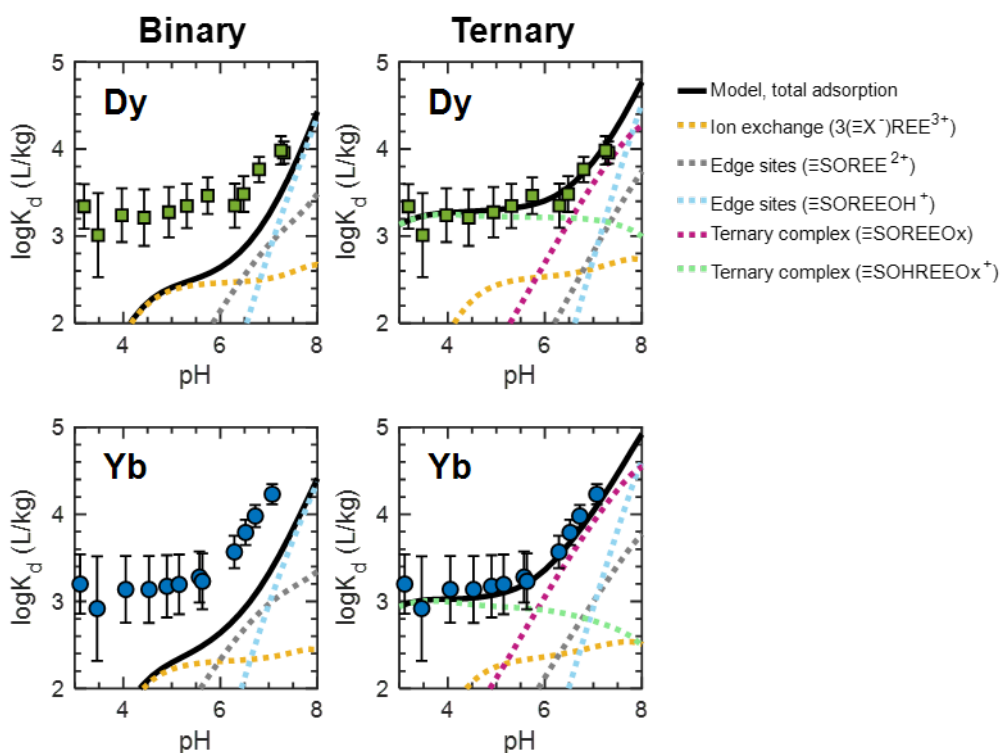


Figure S21. Modeling the adsorption of 10 μM REE to kaolinite (0.2 g/L) in the presence of oxalic acid (100 μM) with only binary oxalate-kaolinite and REE-kaolinite surface complexation reactions (left column) and with the inclusion of a ternary oxalate-REE-kaolinite surface complex (right column). The solutions in all experiments contained 10 mM NaCl as the electrolyte and were in equilibrium with atmospheric CO_2 . Markers represent experimental data; lines represent the output from the SCM.

Table S5. Equilibrium constants (DLM) for REE-oxalic acid-kaolinite ternary surface complexes^a.

Reaction	logK ^b
$\equiv\text{SOH} + \text{Dy}^{3+} + \text{Ox}^{2-} \rightleftharpoons \equiv\text{SOHDyOx}^+$	9.3
$\equiv\text{SOH} + \text{Yb}^{3+} + \text{Ox}^{2-} \rightleftharpoons \equiv\text{SOHYbOx}^+$	9.3
$\equiv\text{SOH} + \text{Dy}^{3+} + \text{Ox}^{2-} \rightleftharpoons \equiv\text{SODyOx} + \text{H}^+$	5.0
$\equiv\text{SOH} + \text{Yb}^{3+} + \text{Ox}^{2-} \rightleftharpoons \equiv\text{SOYbOx} + \text{H}^+$	5.7

^aThis table is complementary to Tables 1 and 2 in the main text.

^bActivity of a surface complex in intrinsic equilibrium constants describing complexation to edge sites is expressed as a mole fraction. The site density of kaolinite edge sites ($\equiv\text{S}$) was assumed to be 8.2 nm⁻² based on edge surface area (assuming that edge sites make up 40% of BET surface area or 8.6 m²/g).

Table S6. Literature review of stability constants for Yb-oxalate aqueous complexes.

Reference	YbOx ⁺	YbOx ₂ ⁻	YbOx ₃ ³⁻
10	6.95	11.75	
4	6.74	11.44	
25	7.82	12.28	14.77
26	7.02	11.95	
9	7.30	11.89	

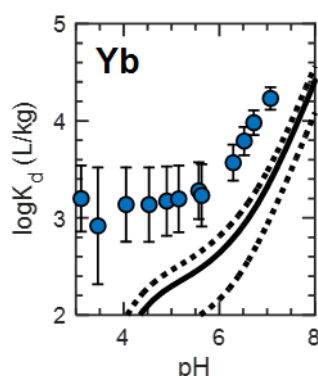


Figure S22. Modeling the adsorption of 10 μM Yb to kaolinite (0.2 g/L) in the presence of oxalic acid (100 μM) with the binary system. The solutions in all experiments contained 10 mM NaCl as the electrolyte and were in equilibrium with atmospheric CO₂. Markers represent experimental data. Solid lines show the output from the SCM, and dotted lines show how this output changes depending on the choice of stability constant. The upper dashed line is based on stability constants from NIST,⁴ the lower dashed line is based on Gammons and Wood,²⁵ and the solid gray line is based on Schijf and Byrne.¹⁰

Non-electrostatic model (NEM)

A non-electrostatic model (NEM) was developed in addition to the DLM to assess the effect of the electrostatic interactions on model predictions of the experimental data (Table S7). The NEM included the same set of Gaines-Thomas coefficients for ion exchange as DLM (Table 1). Unlike the DLM, The NEM required two sites to fit titration data (Figure S24). The NEM titration fit was unsatisfactory with one site type ($\equiv\text{S}$), requiring the addition of another site type ($\equiv\text{Y}$). To obtain an accurate model output for the titration curve, the $\equiv\text{Y}$ sites must have a smaller site concentration (2.3 μM) and a lower pK_a than $\equiv\text{S}$ sites (21.1 μM). The total site concentration ($\equiv\text{Y}$ and $\equiv\text{S}$) was fixed at 23.4 μM based on the maximum observed adsorption capacity from isotherm experiments (Eq. S5). The $\equiv\text{S}$ sites do not deprotonate over the studied pH range. These two types of sites do not provide any information beyond the affinity towards proton ions captured by titration curves. The maximum adsorption capacity of 113 $\mu\text{mol/g}$ (23 μM) observed in isotherm experiments suggests that the $\equiv\text{S}$ sites are responsible for most of the observed REE adsorption. The adsorption associated with the second type of sites ($\equiv\text{Y}$) cannot exceed the small $\equiv\text{Y}$ site concentration (2.3 μM). Therefore, REE adsorption was assumed to happen on $\equiv\text{S}$ sites only to check NEM's fit of data from isotherm experiments.

Overall, the NEM equilibrium constants increase as REEs become heavier, similar to the trend in equilibrium constants in the DLM but without the strong correlation observed in Figure 7. In the NEM, only one surface complex was sufficient to describe Nd adsorption. The NEM predicted adsorption in isotherm experiments very accurately, suggesting the electrostatic term in the DLM affects its performance at high REE concentrations. The NEM captured the main trends of adsorption, but when predicting adsorption as a function of pH, it underestimates adsorption at low REE concentrations (Figure S23). The NEM also required an additional level of complexity (two sites) compared to the DLM.

Table S7. NEM equilibrium constants describing adsorption to edge sites^a.

Reaction	$\log K^b$
Deprotonation of edge sites ($\log K$) ^b	
$\equiv\text{SOH} \rightleftharpoons \equiv\text{SO}^- + \text{H}^+$	-9.8
$\equiv\text{YOH} \rightleftharpoons \equiv\text{YO}^- + \text{H}^+$	-6.2
Adsorption of REEs to edge sites ($\log K$) ^b	
$\equiv\text{SOH} + \text{Nd}^{3+} \rightleftharpoons \equiv\text{SONd}^{2+} + \text{H}^+$	-1.3
$\equiv\text{SOH} + \text{Dy}^{3+} \rightleftharpoons \equiv\text{SODy}^{2+} + \text{H}^+$	-1.1
$\equiv\text{SOH} + \text{Yb}^{3+} \rightleftharpoons \equiv\text{SOYb}^{2+} + \text{H}^+$	-0.9
$\equiv\text{SOH} + \text{Nd}^{3+} + \text{H}_2\text{O} \rightleftharpoons \equiv\text{SONdOH}^+ + 2\text{H}^+$	
$\equiv\text{SOH} + \text{Dy}^{3+} + \text{H}_2\text{O} \rightleftharpoons \equiv\text{SODyOH}^+ + 2\text{H}^+$	-7.8
$\equiv\text{SOH} + \text{Yb}^{3+} + \text{H}_2\text{O} \rightleftharpoons \equiv\text{SOYbOH}^+ + 2\text{H}^+$	-7.6

^aIon exchange reactions in NEM are the same as in DLM (Table 1 in the main text). The total site density of kaolinite edge sites ($\equiv\text{S}$ plus $\equiv\text{Y}$) was assumed to be 8.2 nm^{-2} based on edge surface area (assuming that edge sites make up 40% of BET surface area or 8.6 m^2/g). ^bActivity of a surface complex in intrinsic equilibrium constants is expressed as a mole fraction.

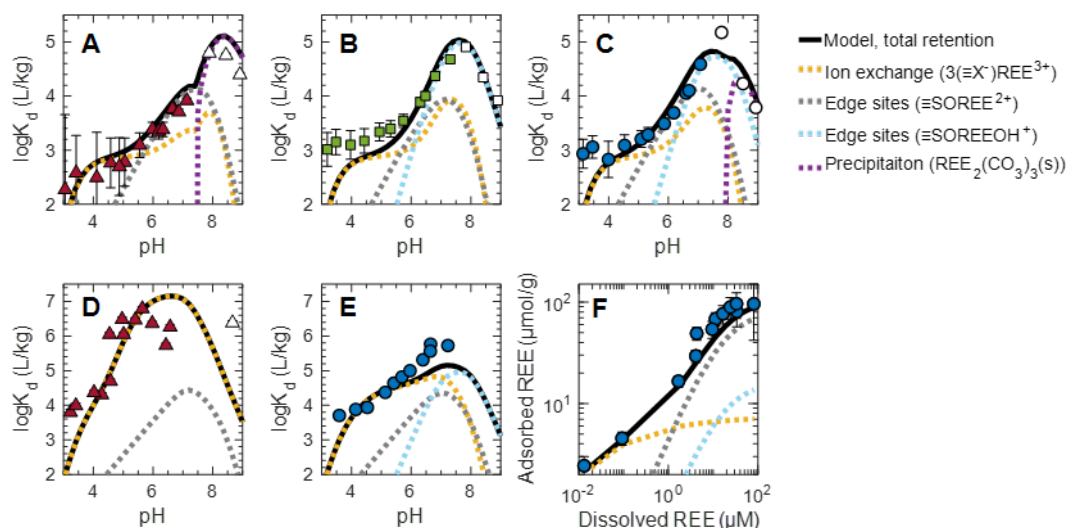


Figure S23. Detailed overview of the output of NEM (lines) in selected experiments. Markers represent retention of (A) 10 μM Nd, (B) 10 μM Dy, (C) 10 μM Yb, (D) 1 μM Nd, (E) 1 μM Yb, (F) 0.1-100 μM Yb to kaolinite (0.2 g/L). DIC in all experiments was in equilibrium with atmospheric CO_2 . The electrolyte was 10 mM NaCl in all panels, except (D), where NaCl concentration was 1 mM. White markers show data points at which solubility calculations predict REE precipitation.

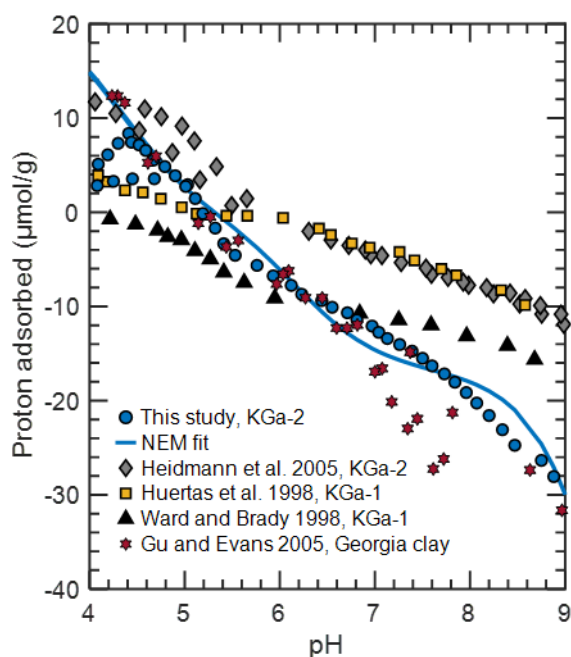


Figure S24. Proton adsorption to kaolinite as a function of pH in CO_2 -free conditions. Kaolinite loading was 5 g/L in this study. All studies used 10 mM electrolyte concentration (NaCl in this study and Ward and Brady,¹⁹ KClO_4 in Huertas et al.,²⁰ and NaNO_3 in Heidmann et al.,²¹ and Gu and Evans²²). The dotted line represents the output from NEM.

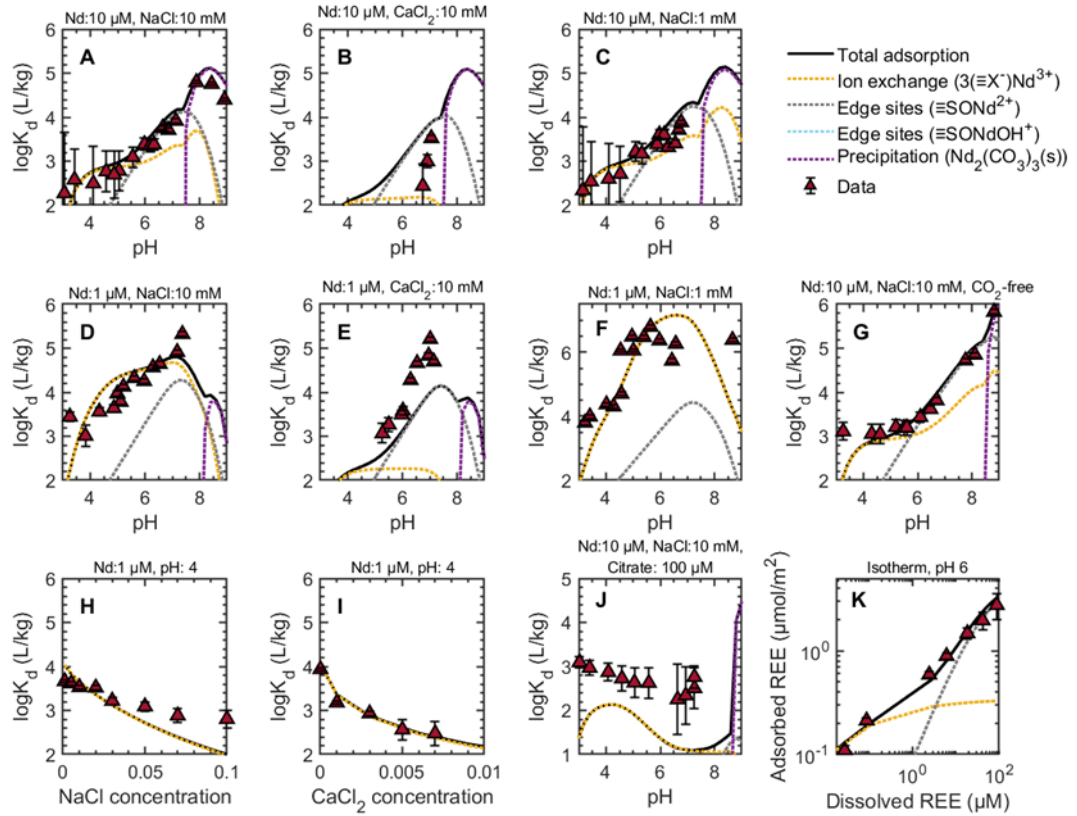


Figure S25. Detailed overview of the NEM-based surface complexation model output (lines) in all experiments with Nd. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO₂ (except panel E, which corresponds to the CO₂-free experiment).

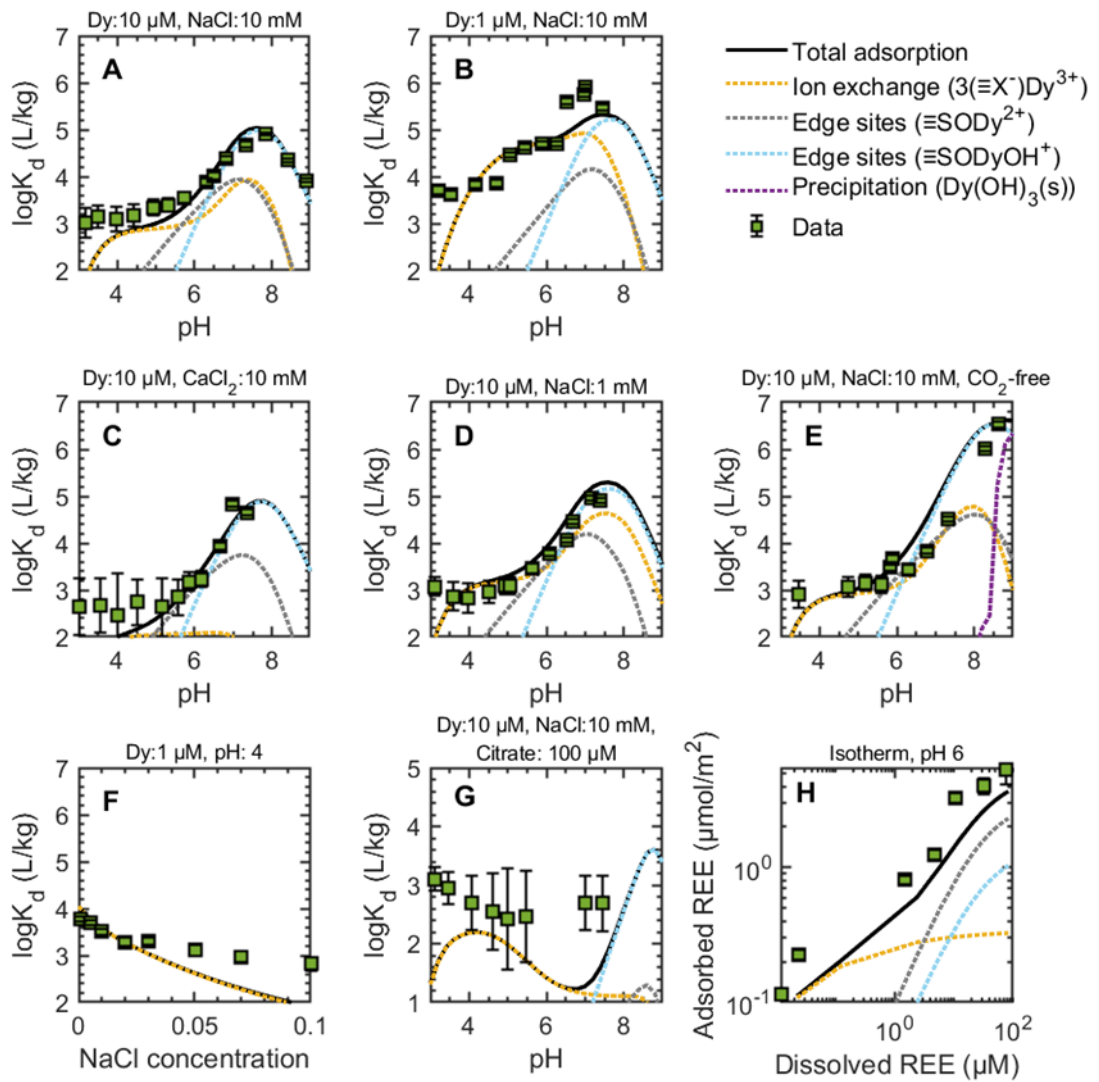


Figure S26. Detailed overview of the NEM-based surface complexation model output (lines) in all experiments with Dy. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO₂ (except panel E, which corresponds to the CO₂-free experiment).

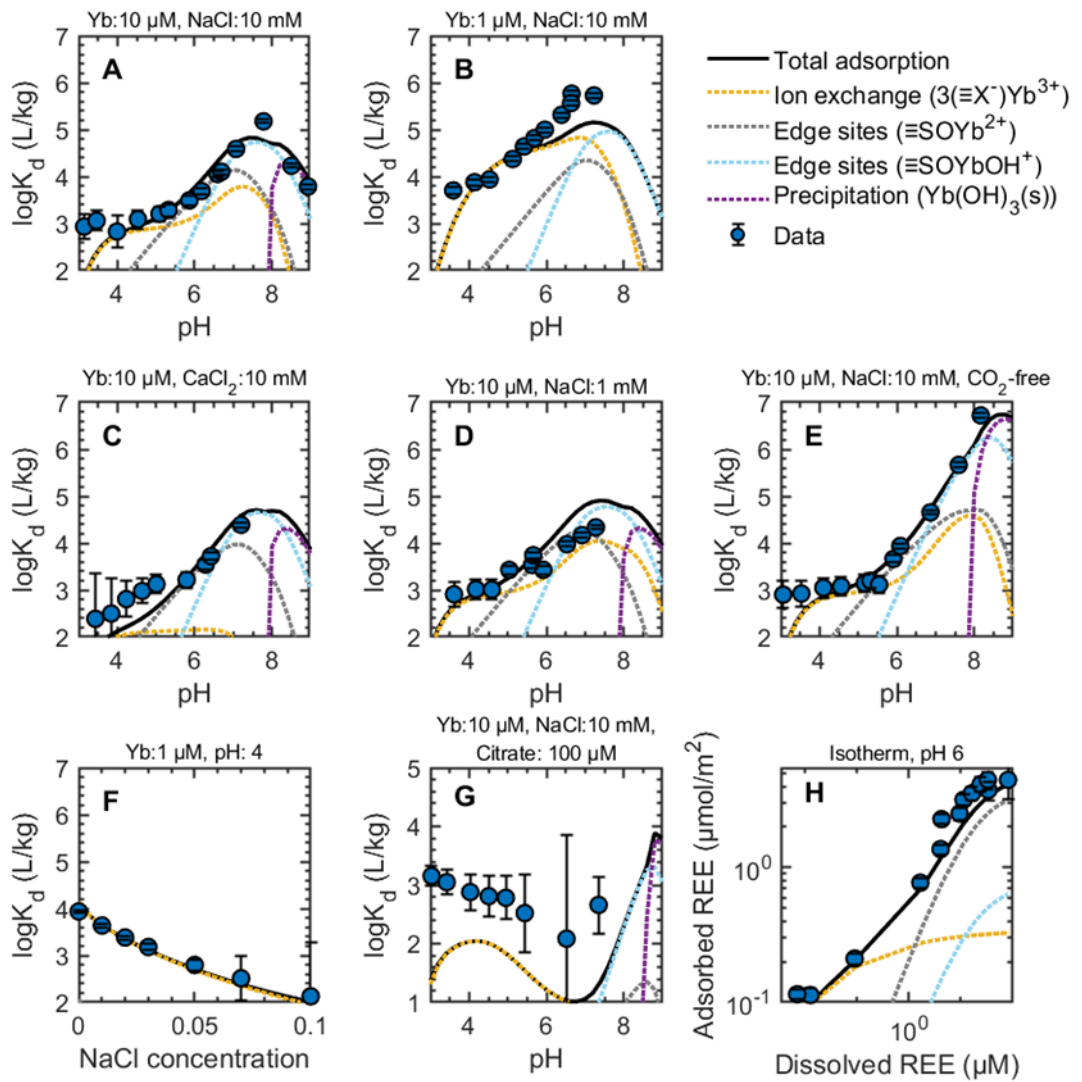


Figure S27. Detailed overview of the NEM-based surface complexation model output (lines) in all experiments with Yb. Markers represent experimental data; open white markers show data points at which solubility calculations indicate the possibility of REE precipitation. DIC in all experiments was in equilibrium with atmospheric CO₂ (except panel E, which corresponds to the CO₂-free experiment).

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