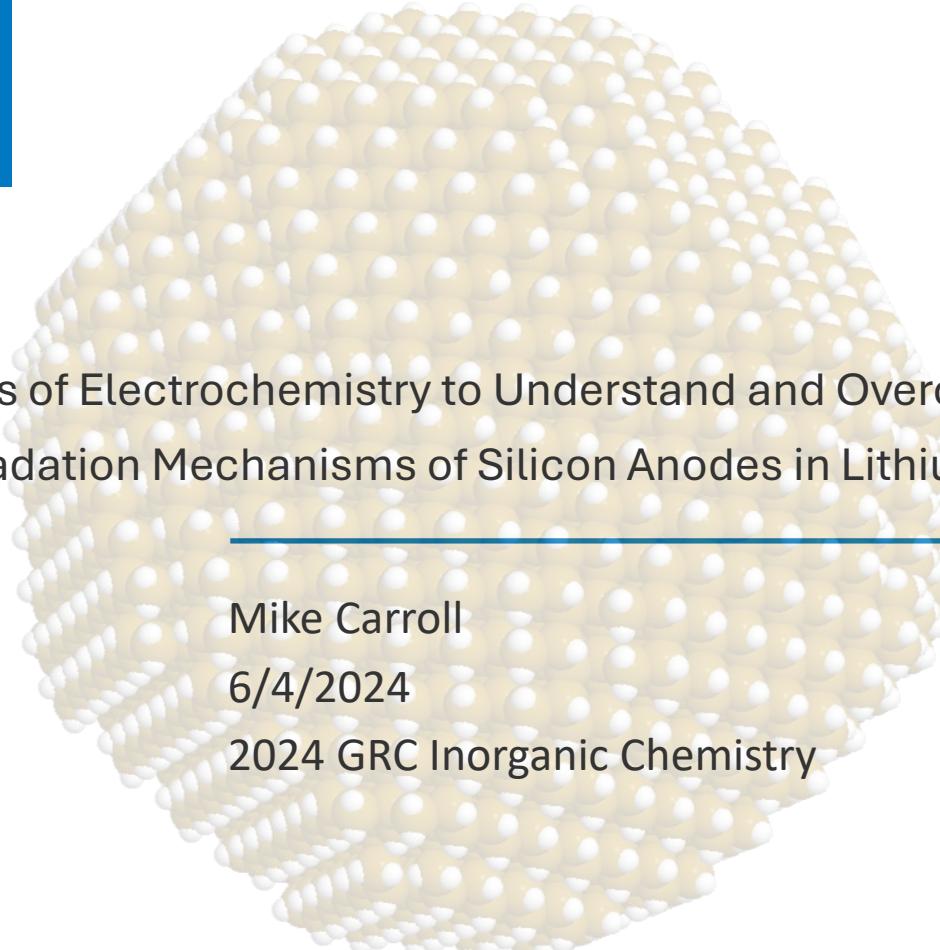


DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof. Reference herein to any social initiative (including but not limited to Diversity, Equity, and Inclusion (DEI); Community Benefits Plans (CBP); Justice 40; etc.) is made by the Author independent of any current requirement by the United States Government and does not constitute or imply endorsement, recommendation, or support by the United States Government or any agency thereof.



Using the Principles of Electrochemistry to Understand and Overcome the Complicated Degradation Mechanisms of Silicon Anodes in Lithium-Ion Batteries.

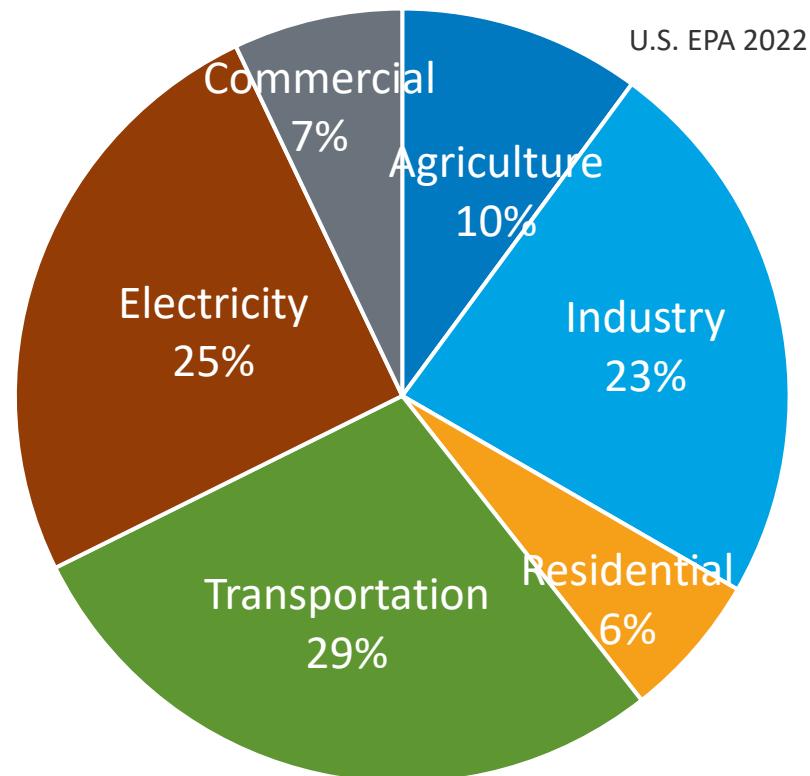
Mike Carroll

6/4/2024

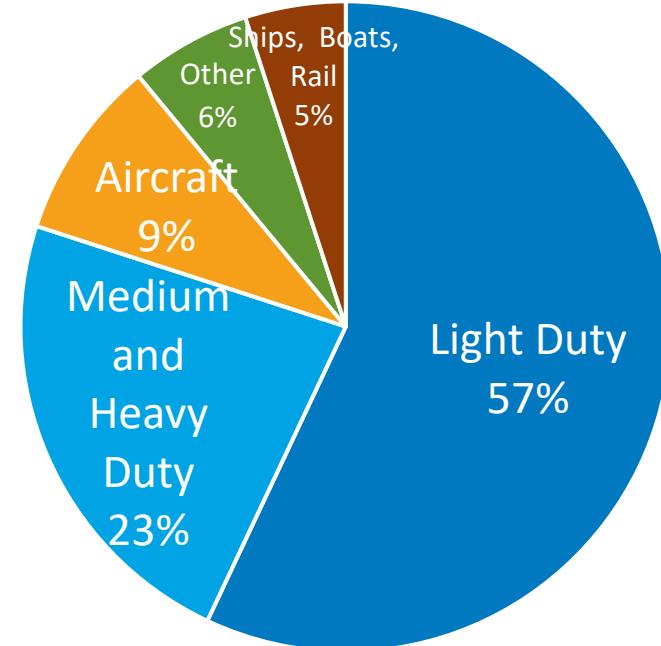
2024 GRC Inorganic Chemistry

Decarbonization

US GHG emissions by sector

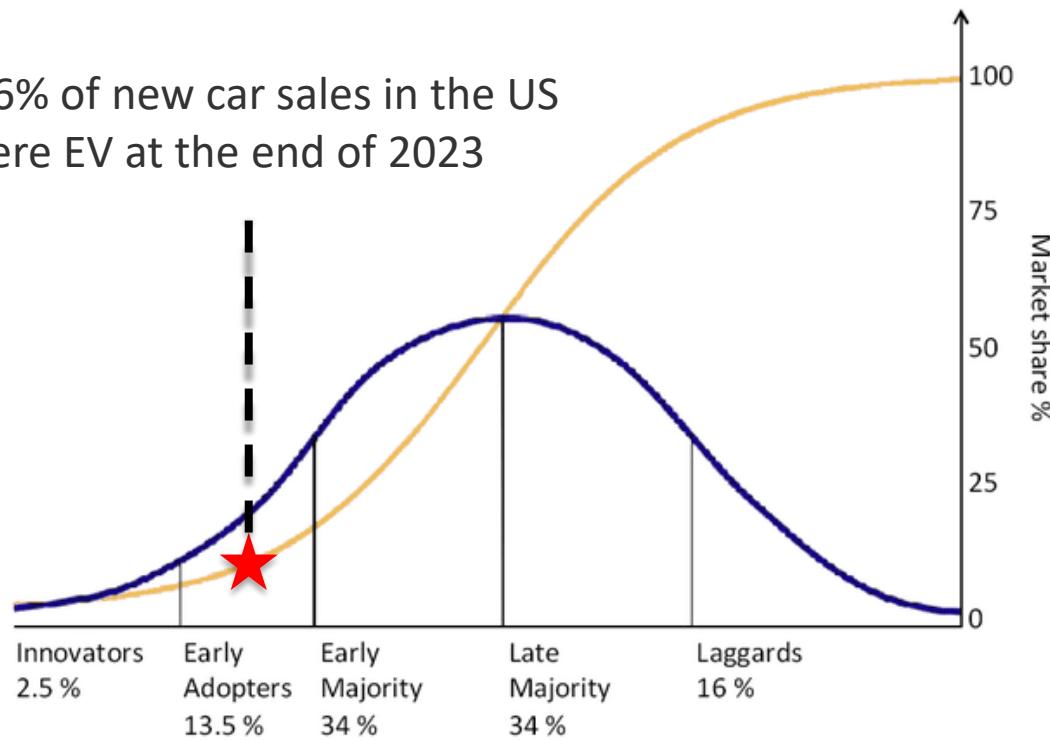


US transportation emissions



EV Adoption

7.6% of new car sales in the US
were EV at the end of 2023



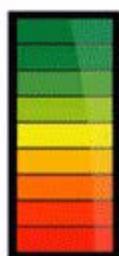
Major headwinds for EVs:

- (1) Range anxiety
- (2) Too expensive
- (3) Developing charging infrastructure

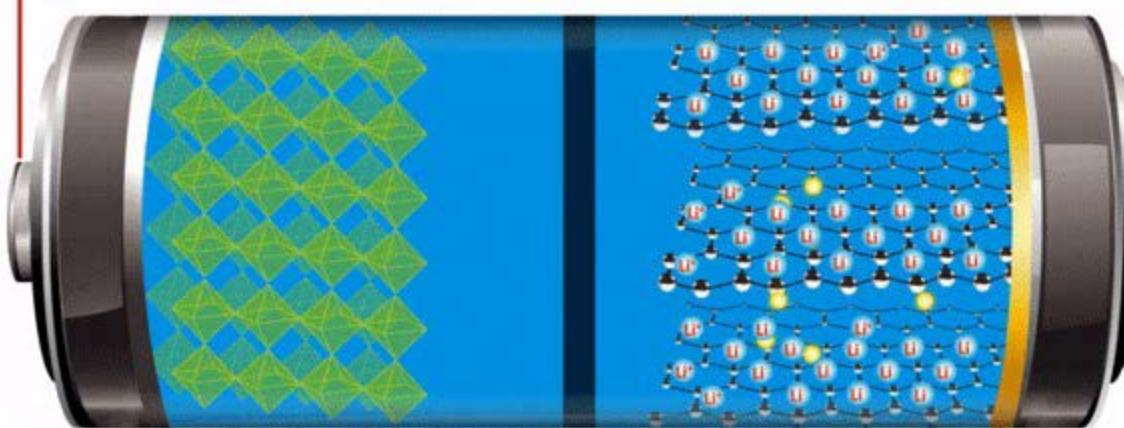
Battery technology is the bottleneck

How Lithium-ion Batteries Work

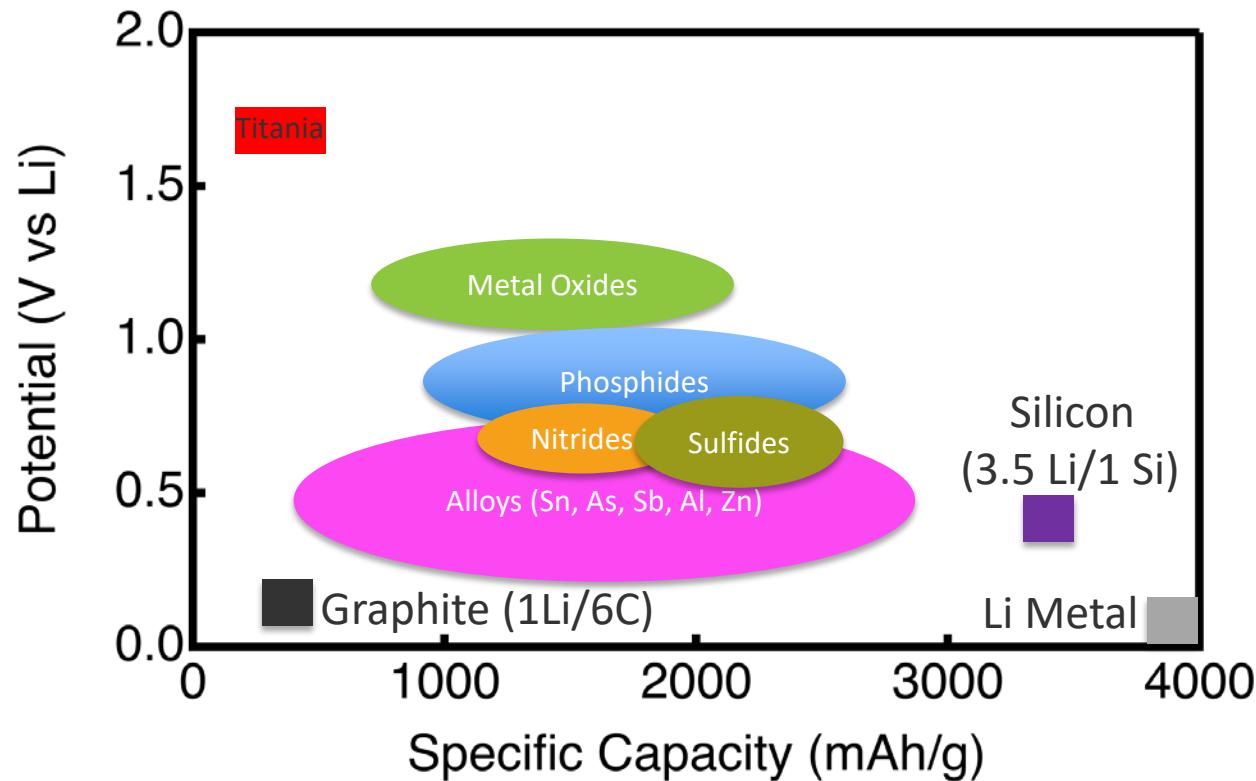
Discharge



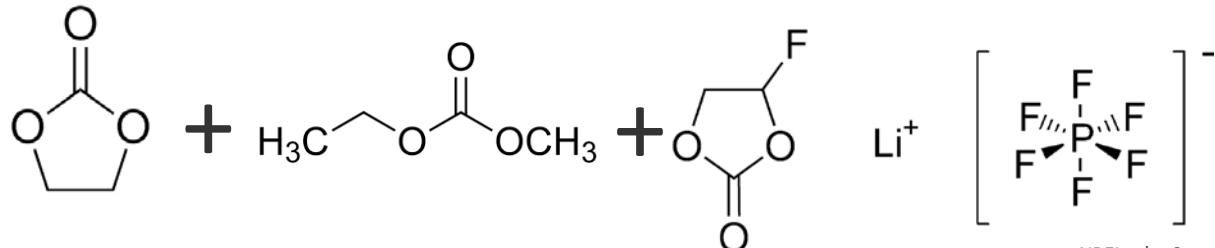
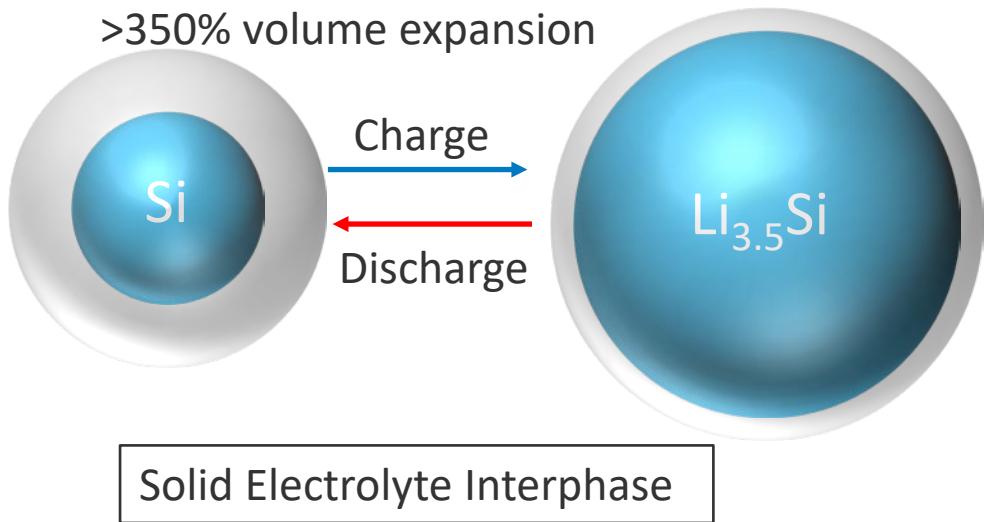
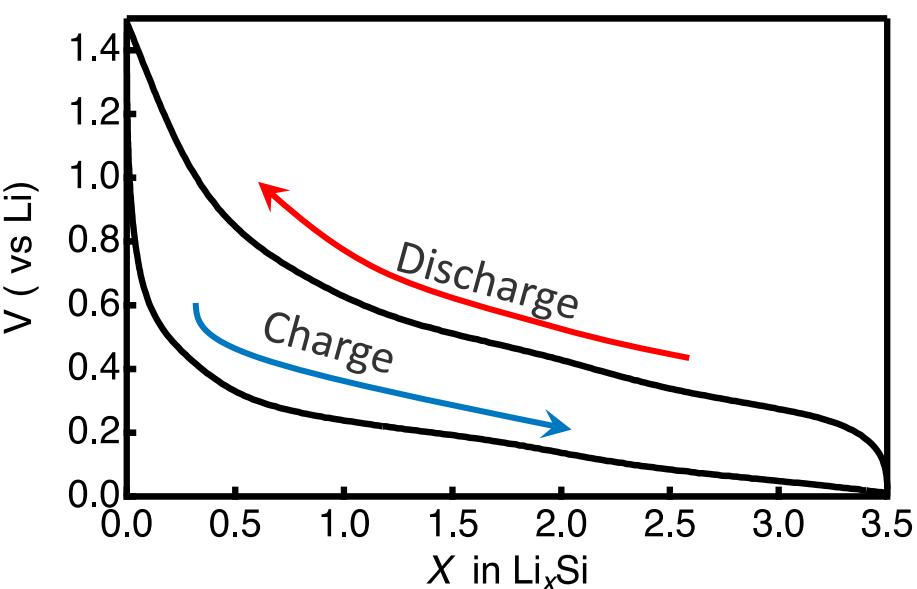
Charge
Meter



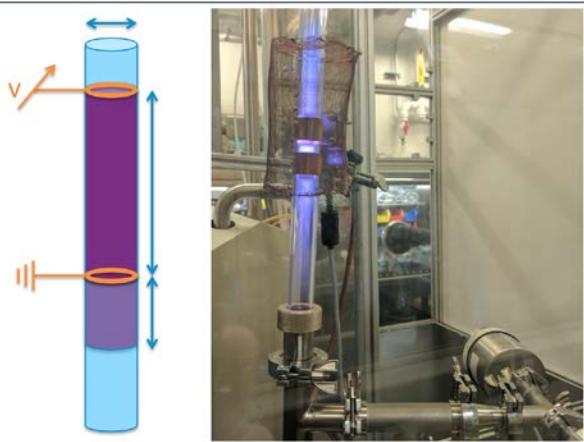
Anode Active Materials



Cycling Silicon Under Battery Operation



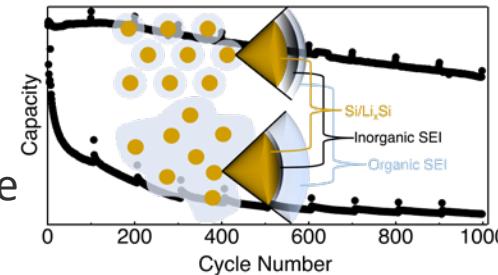
Plasma Enhanced Chemical Vapor Deposition Si NPs



-PECVD synthesis enables precise control over silicon size, surface chemistry, and composition

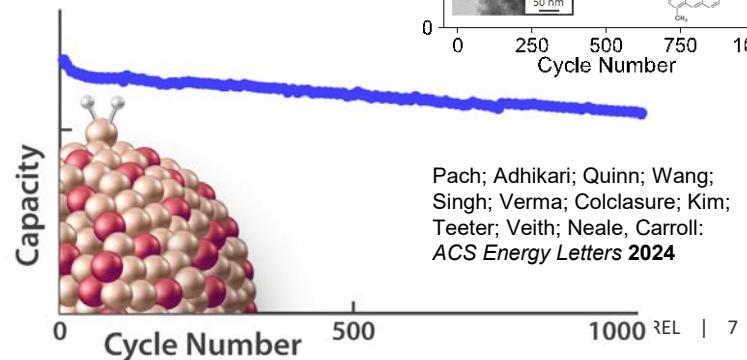
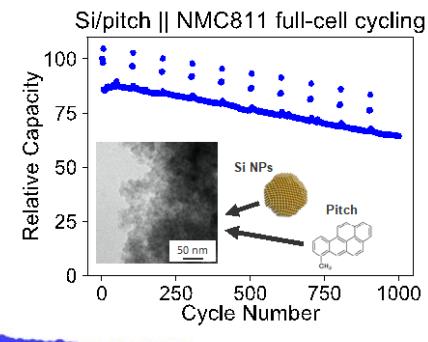
-Surfaces are terminated with SiH_x functional groups

-Radical chemistry to functionalize the silicon surface



Schulze.; Fink; Palmer; Carroll; Dutta; Zwiefel; Engtrakul; Han; Neale, Tremolet de Villers: *Batteries and Supercaps*, 2023.

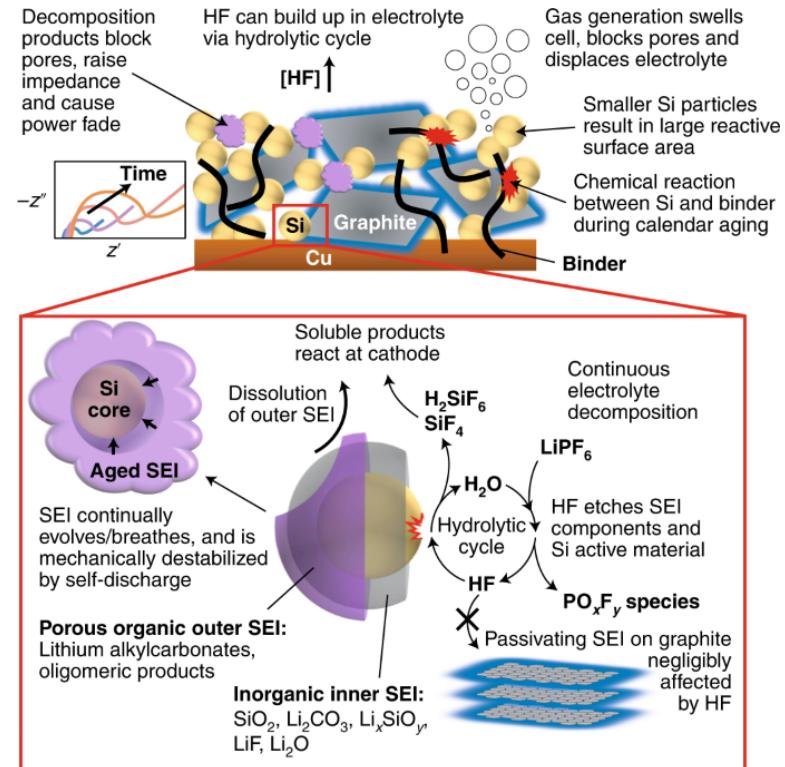
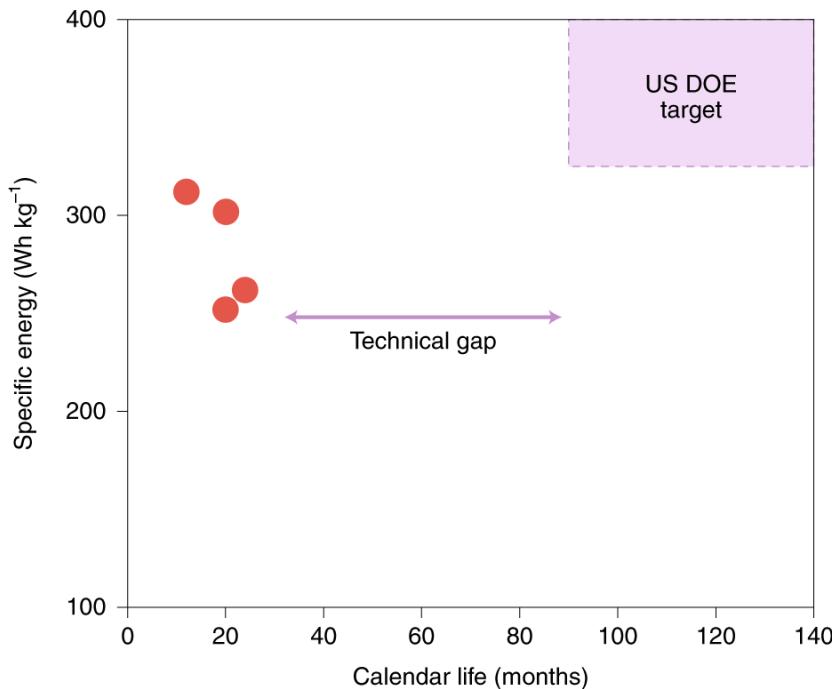
Schulze; Urias; Dutta; Huey; Coyle; Teeter; Doeren; Tremolet de Villers; Han; Neale; Carroll: *J. Mater. Chem. A*. 2023.



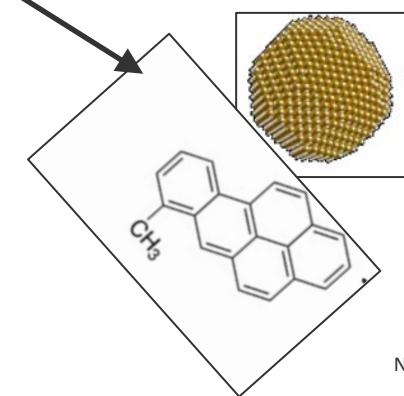
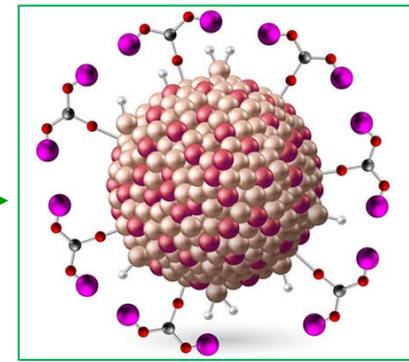
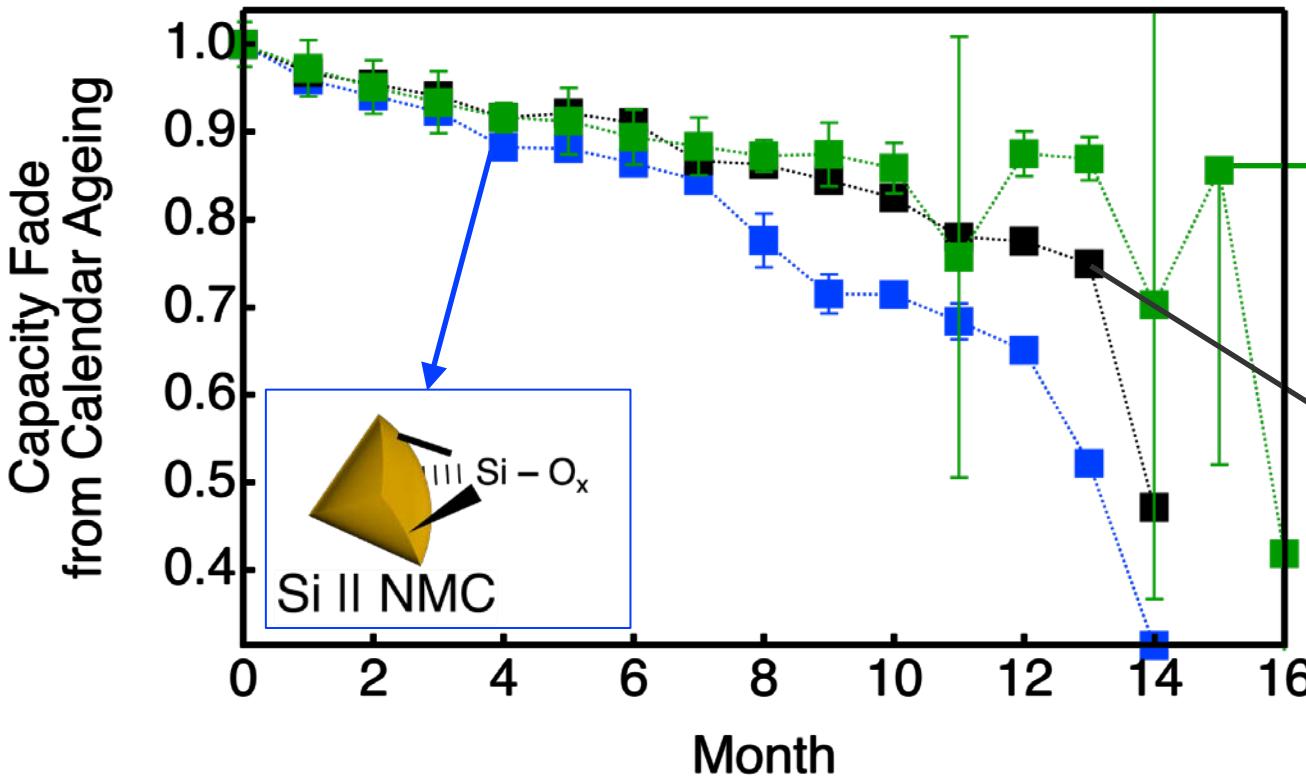
Pach; Adhikari; Quinn; Wang; Singh; Verma; Colclasure; Kim; Teeter; Veith; Neale, Carroll: *ACS Energy Letters* 2024

Calendar Life in Silicon Containing Anodes

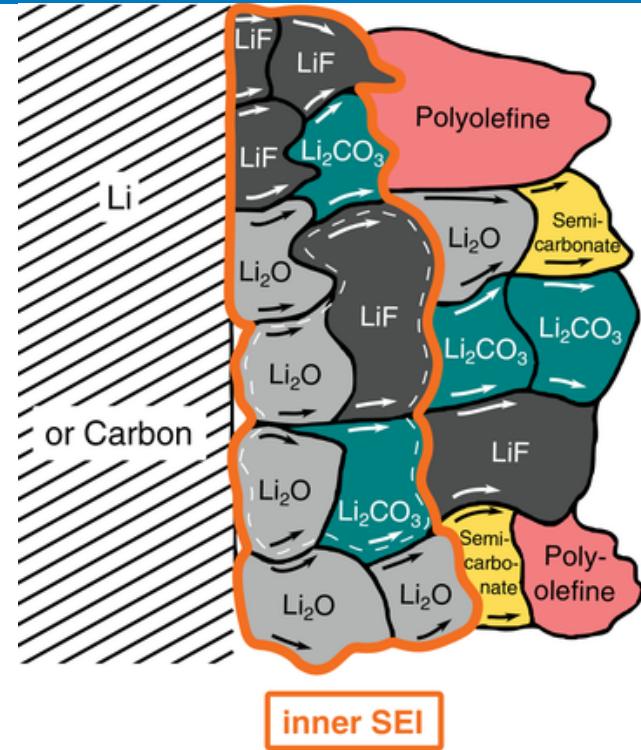
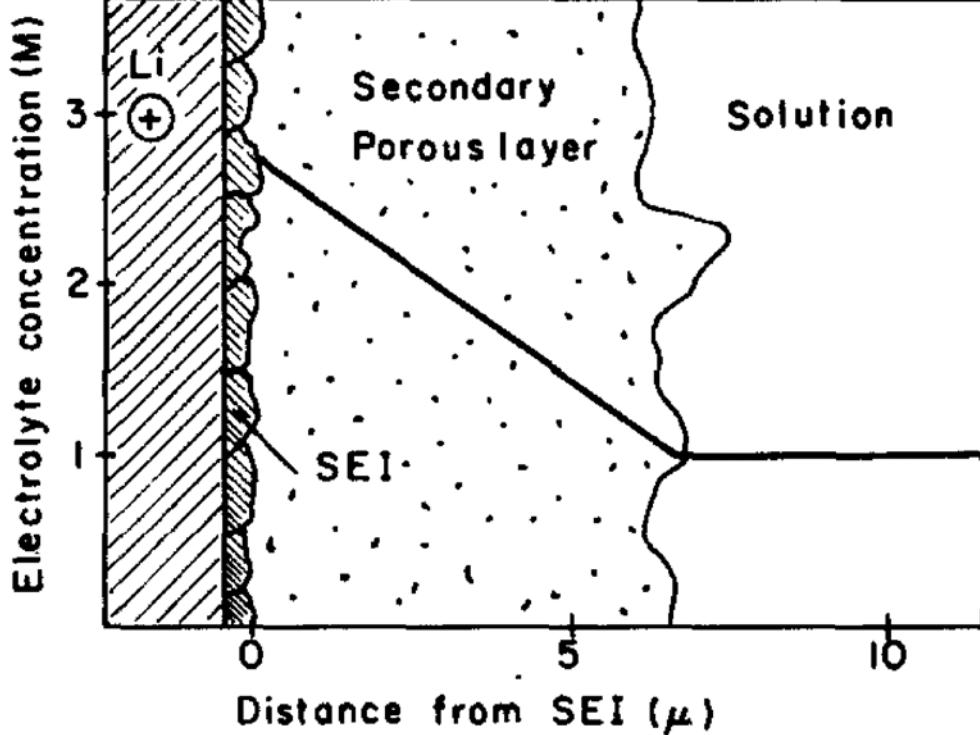
b



Calendar Life Issues in Silicon Containing Anodes

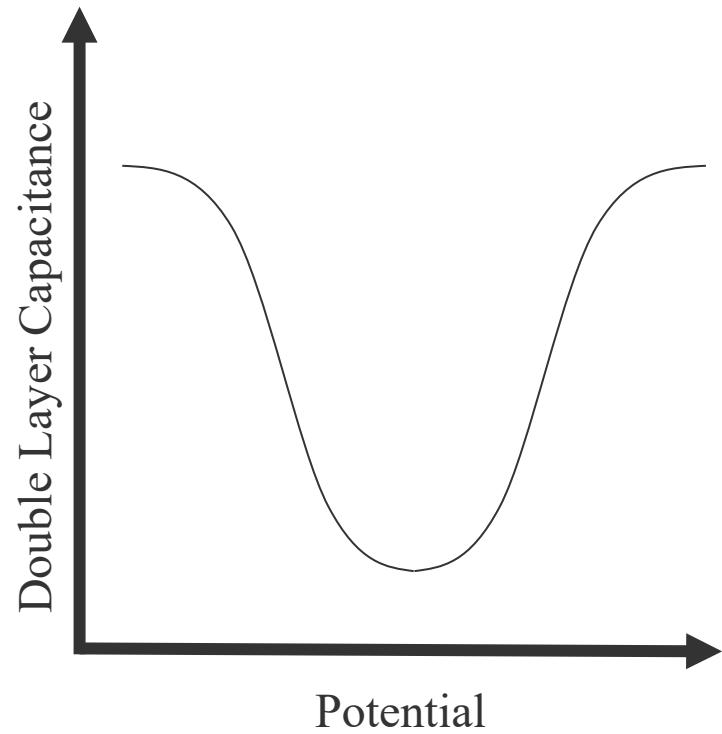
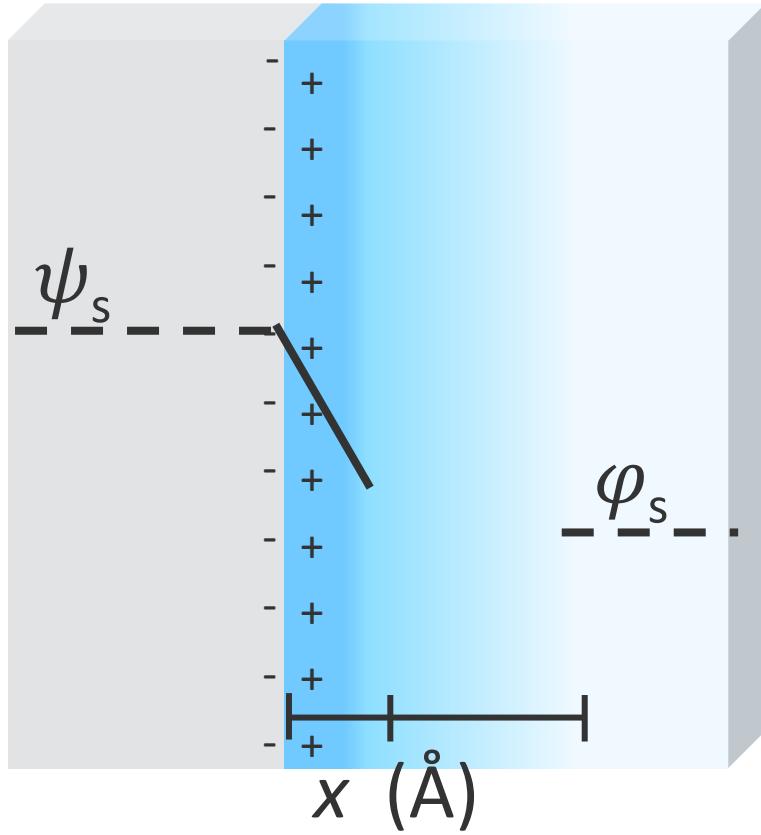


The SEI Model

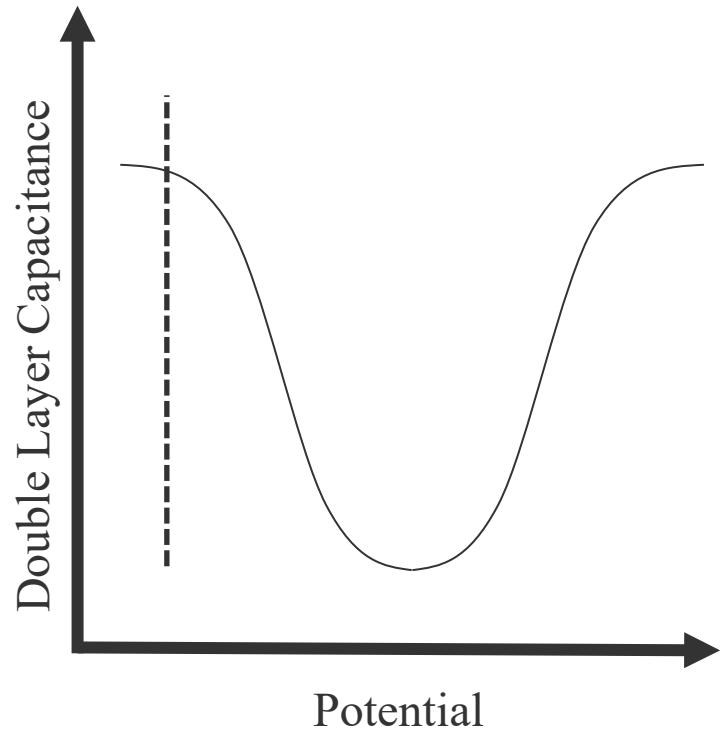
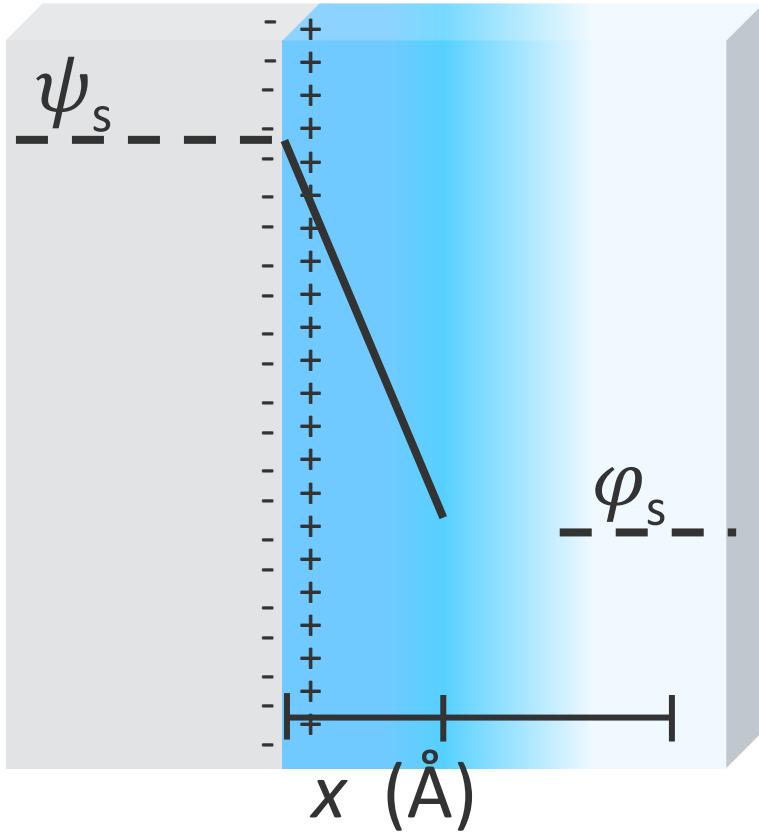


Krauss, et al., *Adv. Mater. Int.* 2022

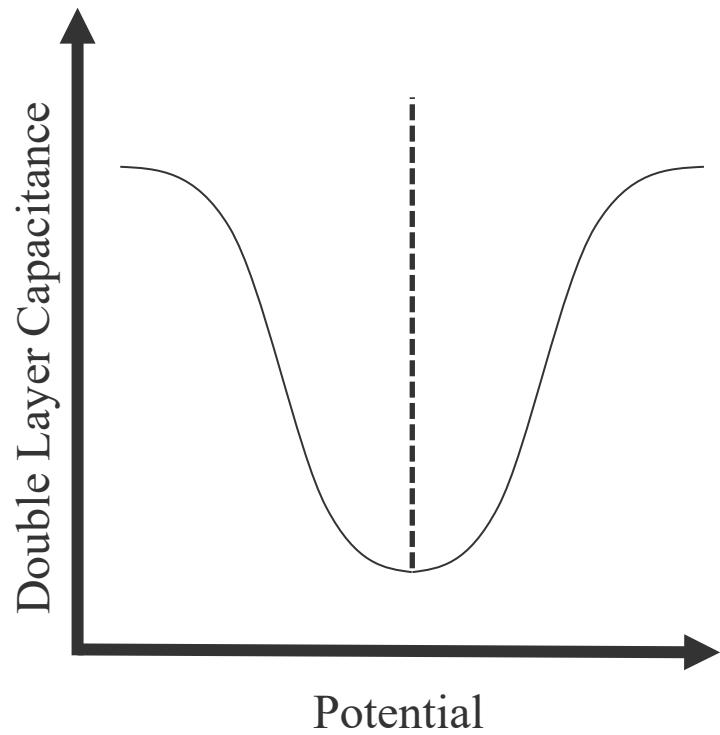
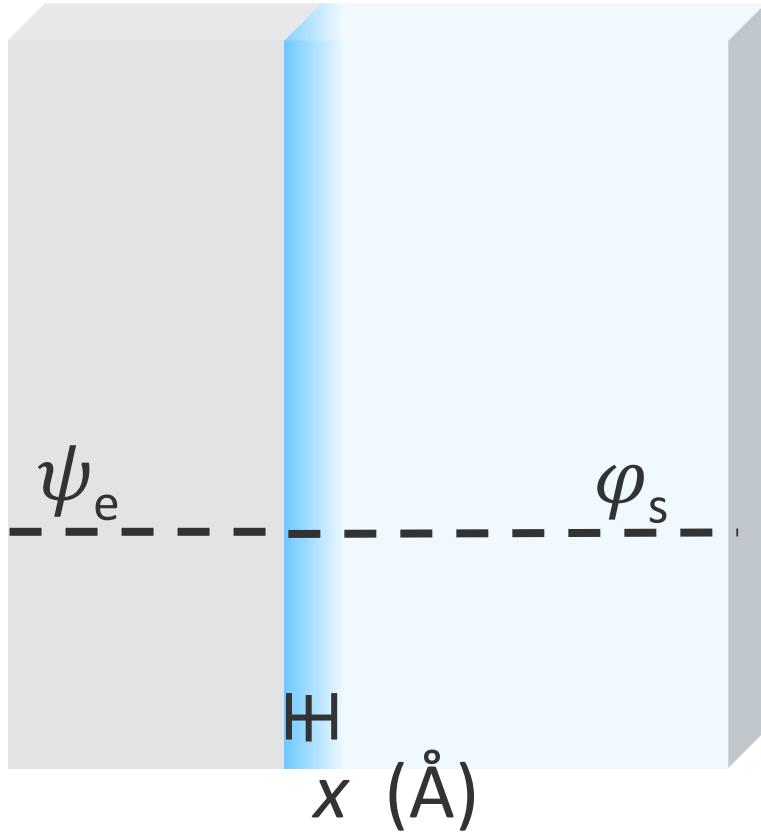
The Classical Model



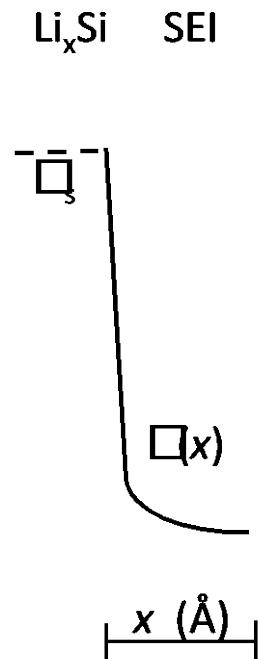
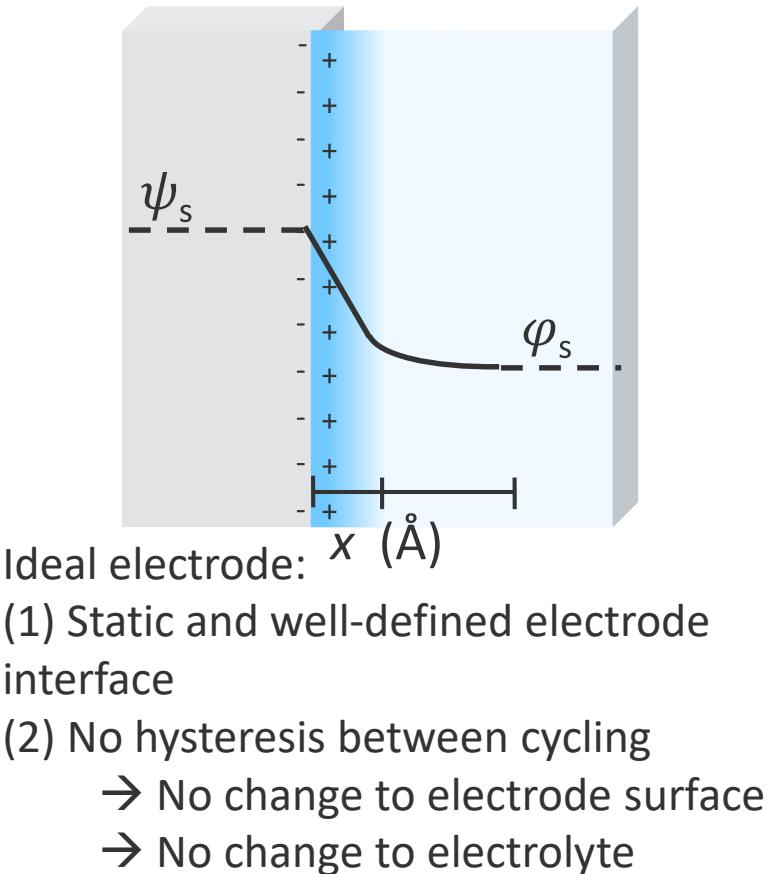
The Classical Model



The Classical Model



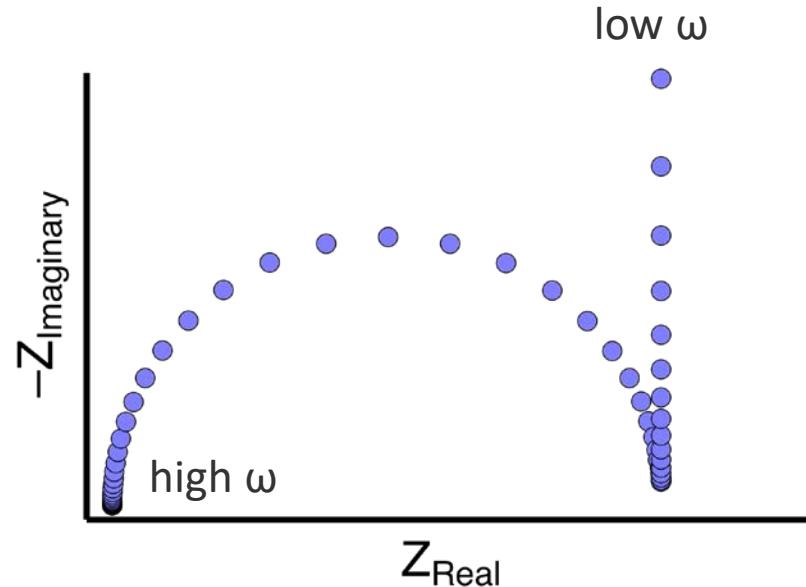
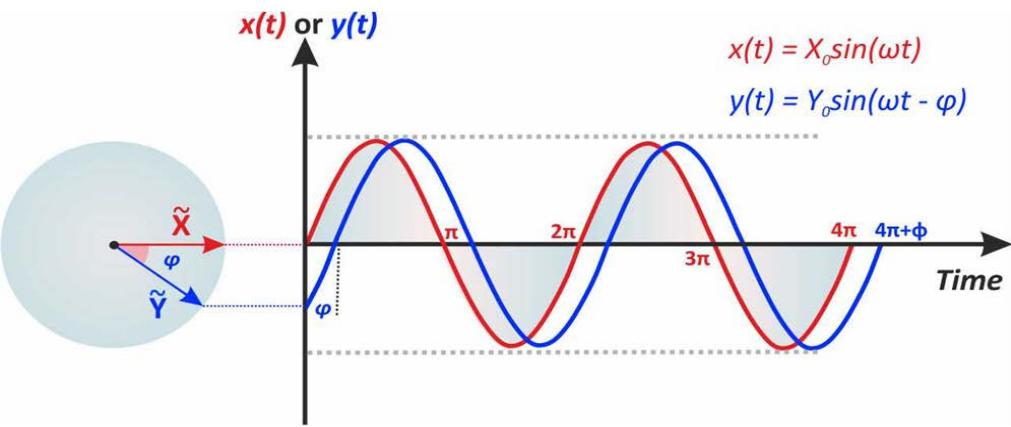
(Re-)Connecting Li_xSi to Model Interfaces



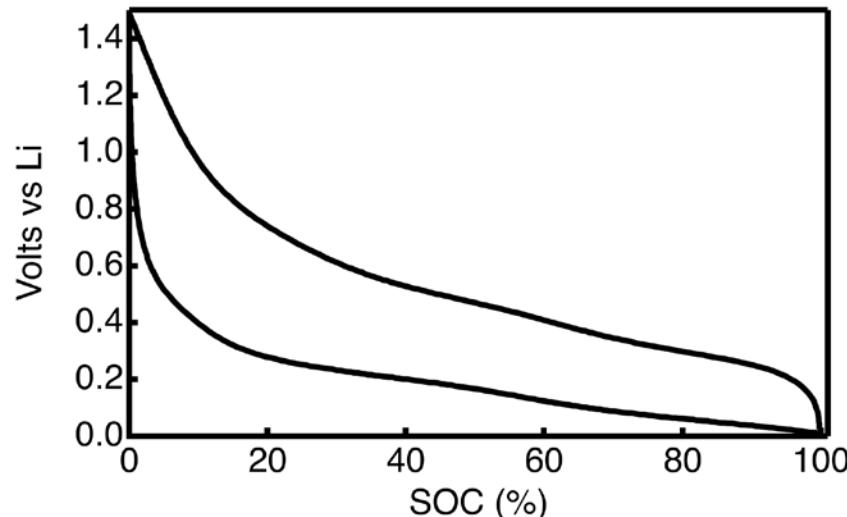
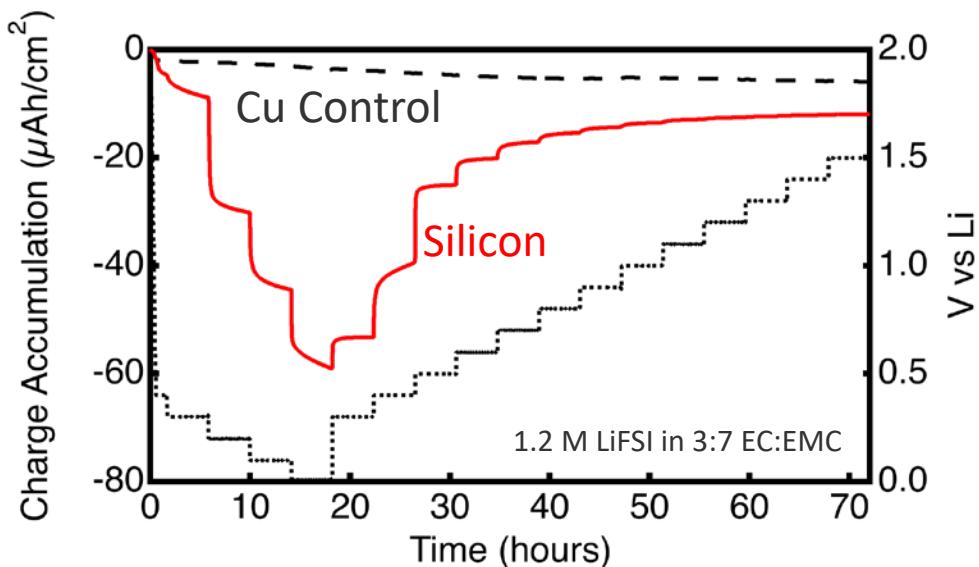
Silicon electrode:

- (1) Dynamic Si electrode interface with lithiation
- (2) Poorly-defined interface ($\text{Li}_x\text{Si}?$, SEI?, $\text{Li}_x\text{Si}_y\text{O}_z$)
- (3) Unstable (intrinsically reactive)
- (4) Hysteresis between cycles
- (5) Extremely heterogeneous

Electrochemical Impedance Spectroscopy

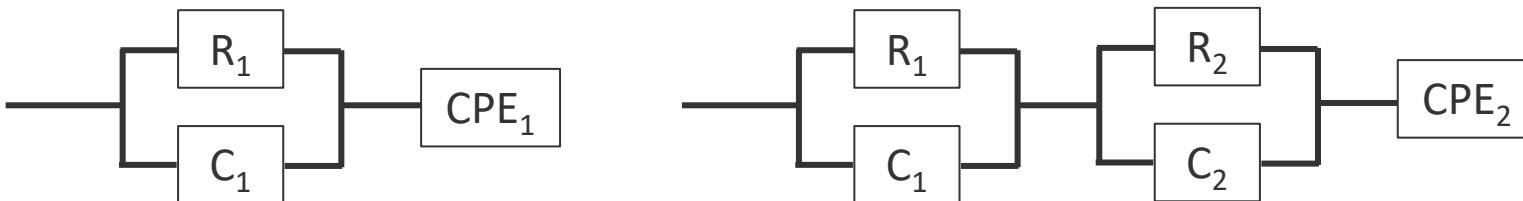
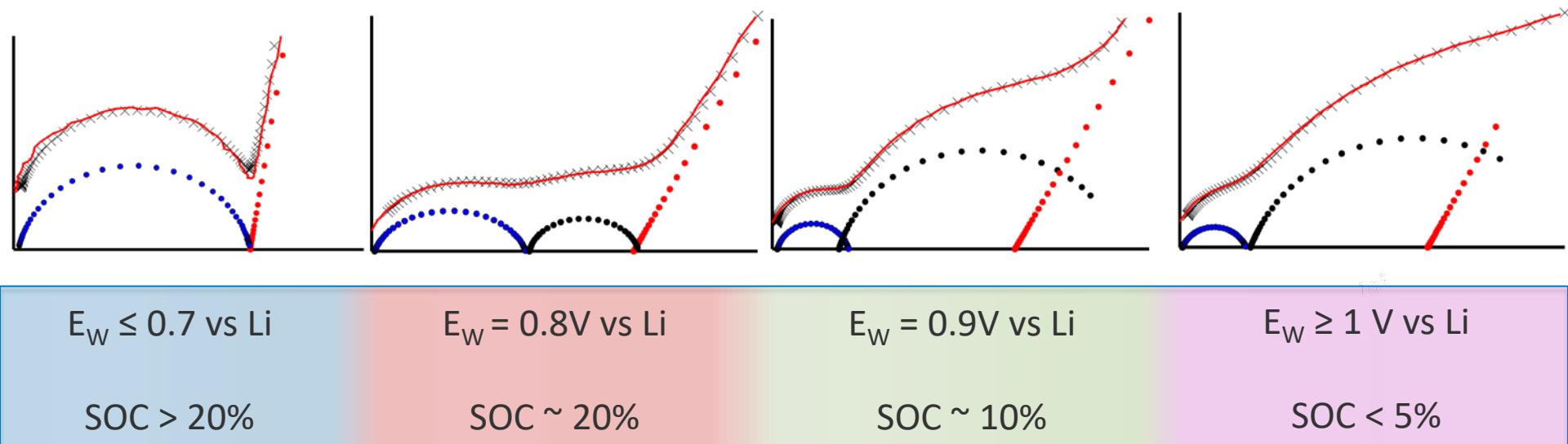


SOC – Dependent EIS

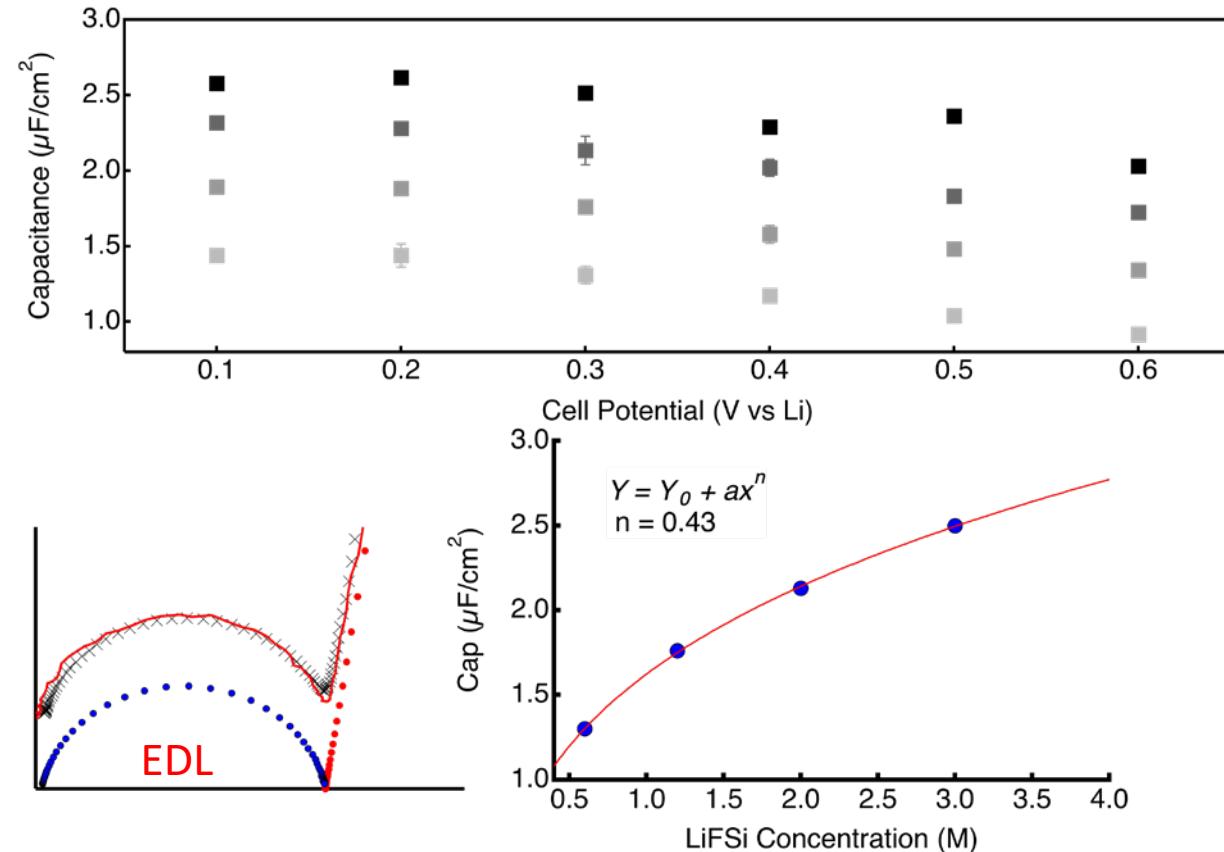


Silicon lithiation states held at a constant potential
Potentiostatic EIS performed at end of V-hold every 4 hours.
Delithiation sweep investigated specifically

Impedance Evolution on Delithiation



Capacitive Feature Assignment



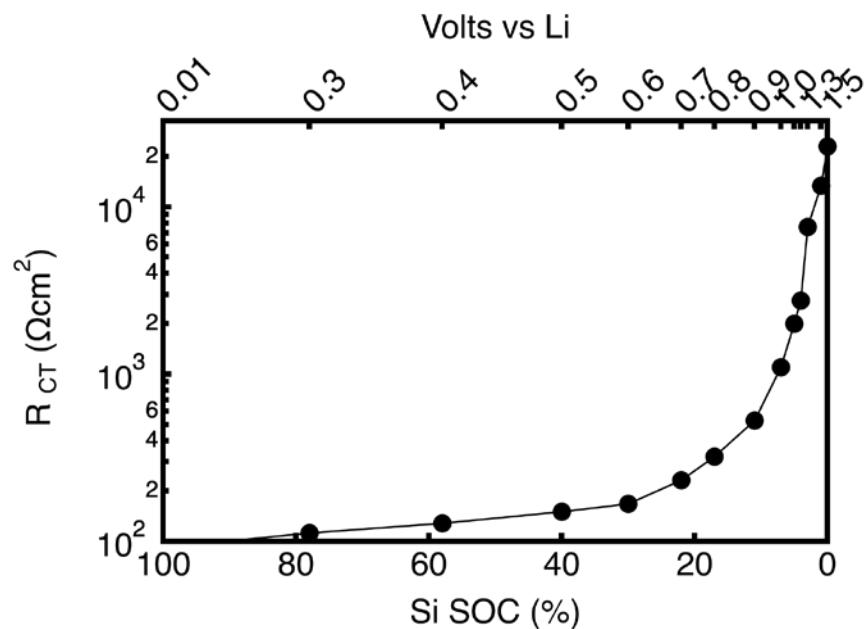
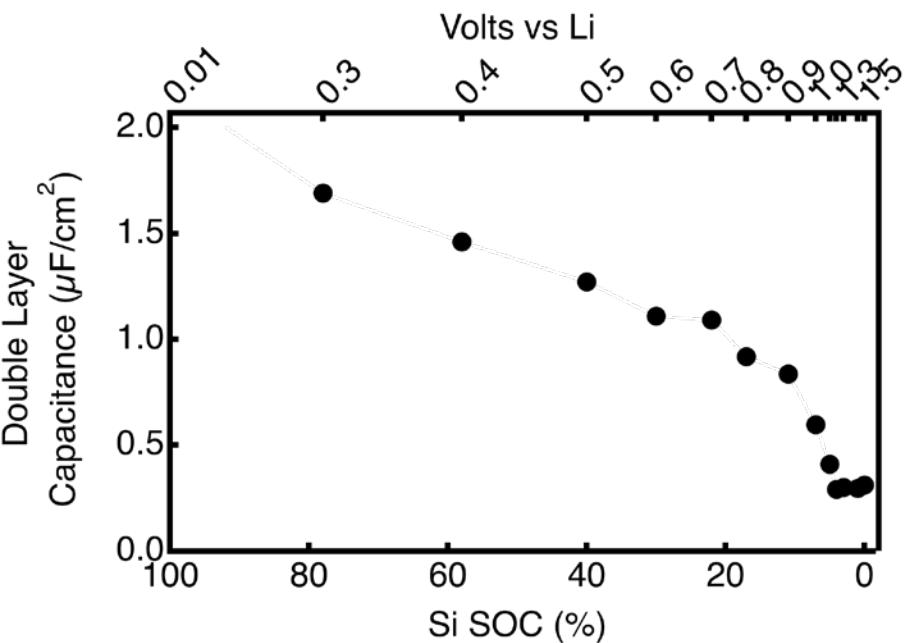
Double layer capacitance:

Differential capacitance is not zero

Capacitance is proportional to sq. root of ion activity (\sim concentration $^{1/2}$)

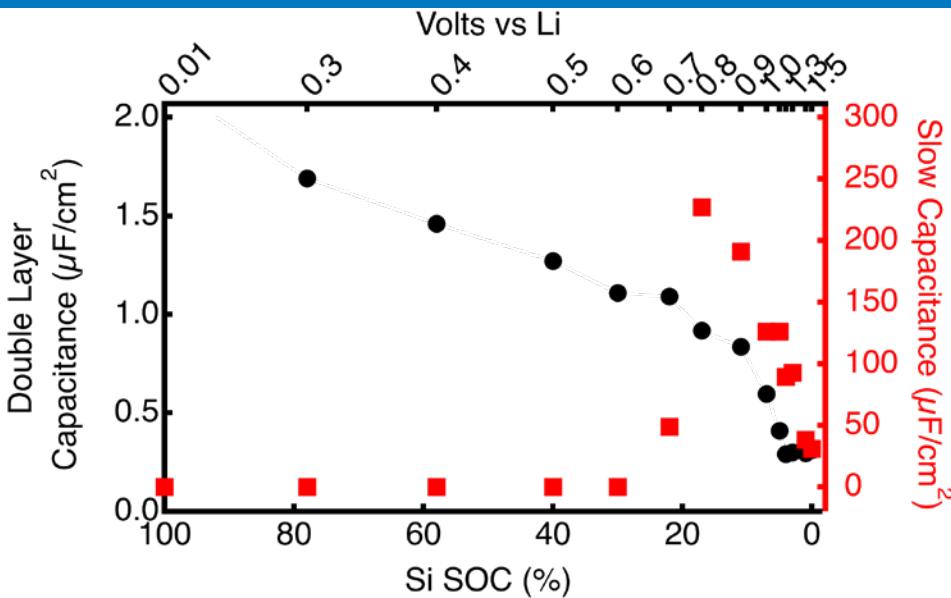
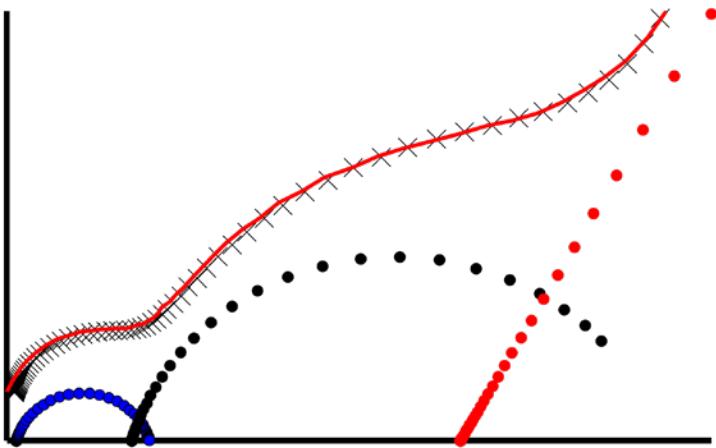
First semi circle is capacitance of EDL.

EDLC and Charge Transfer Resistance



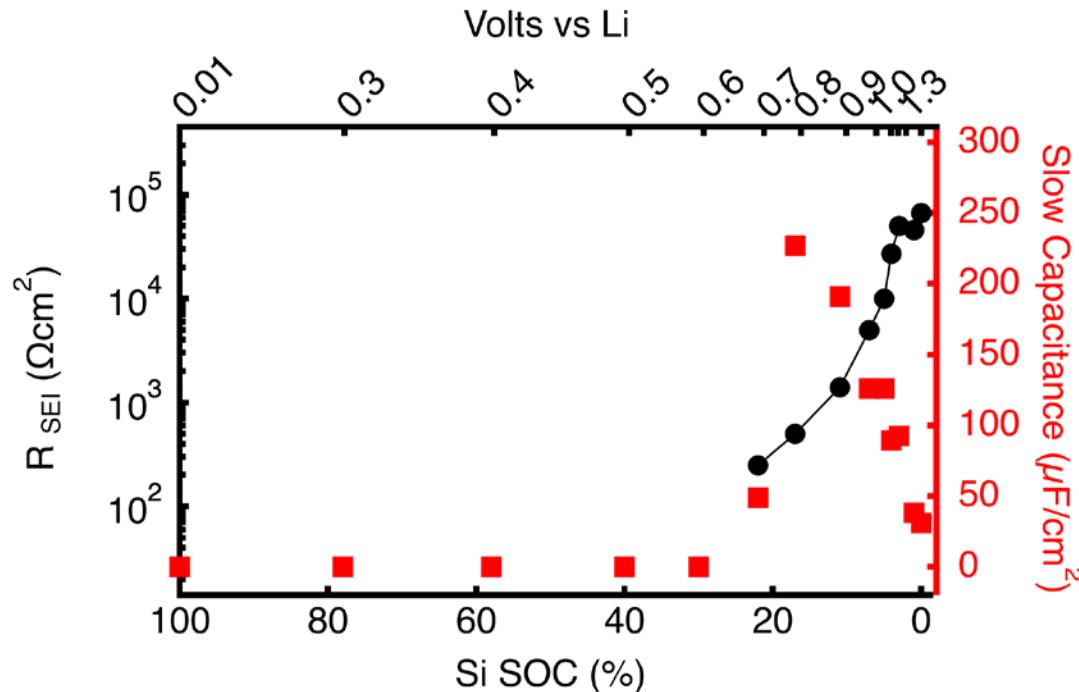
Inverse relationship between R_{CT} and C_{DL}
Indicates change in limiting step in charge transfer

Slow Process Capacitance



Capacitance of slow process has sharp increase at EDL drop
Slow capacitance is > 100 greater than EDL capacitance
Slow semicircle is likely SEI capacitance and resistance

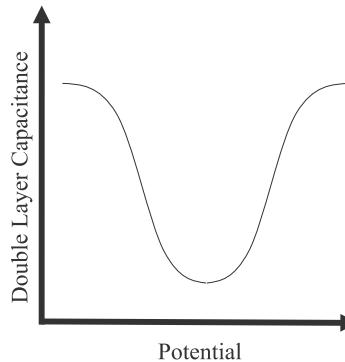
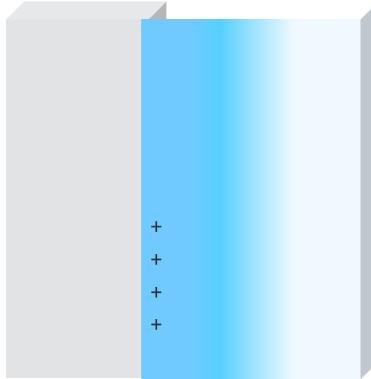
Resistance of the SEI



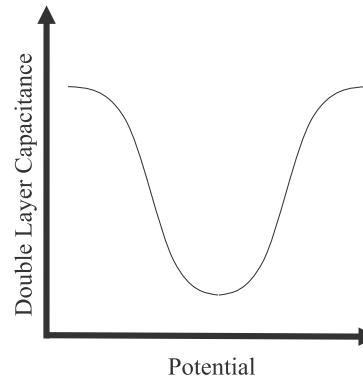
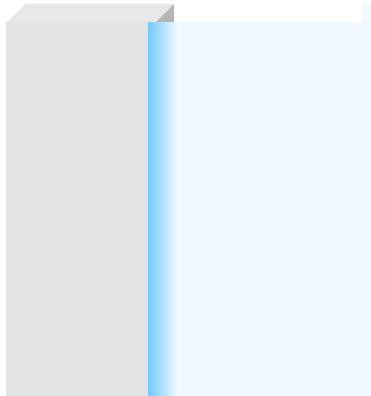
Resistance values keep climbing despite decreasing differential capacitance
Suggests SEI continues to grow

SOC-dependent EDLC

$\sim 90\% > SOC > \sim 20\%$



$SOC < \sim 20\%$



Calendar Aging in Symmetric Cells

Si@PEO || Si@PEO

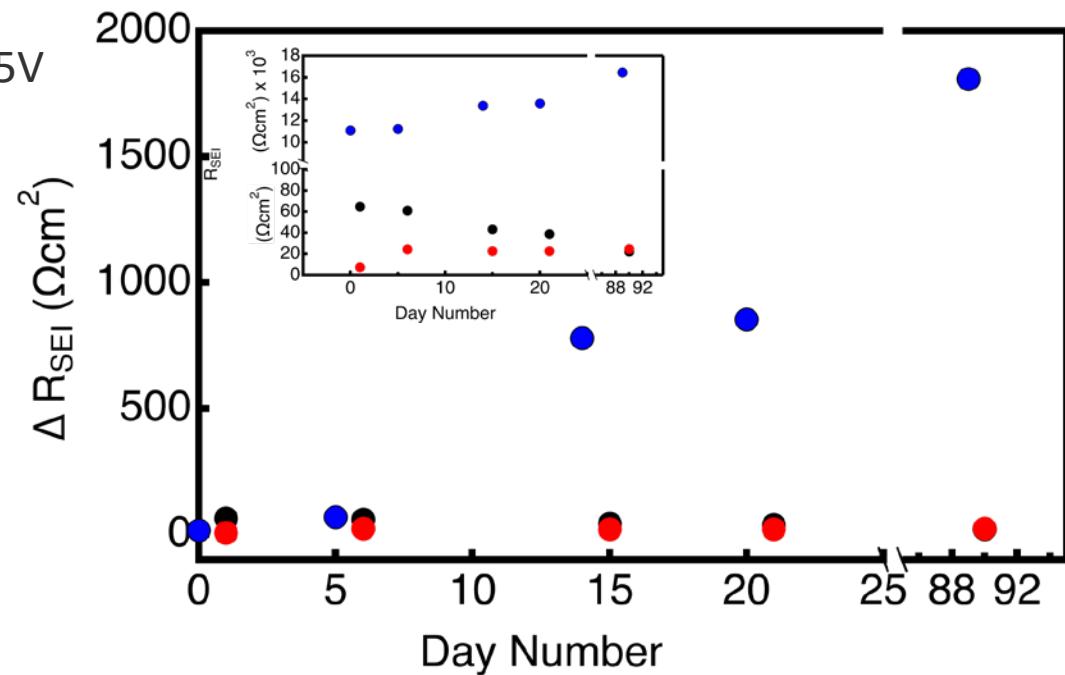
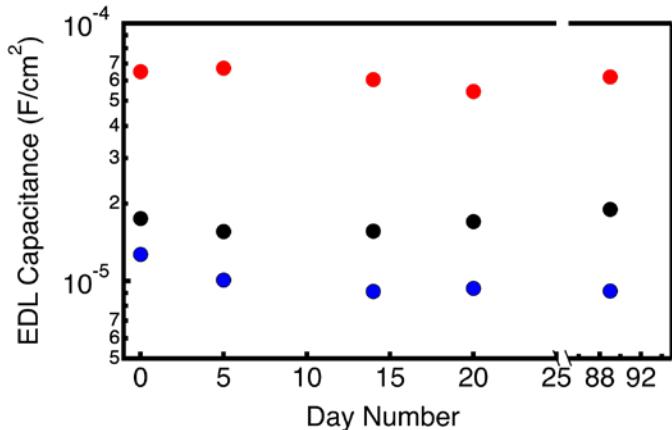
Gen2 electrolyte

Formed at C/10 between 0.01 and 1.5V

Delithiated to: 0.01 V, **0.4 V, 1 V**

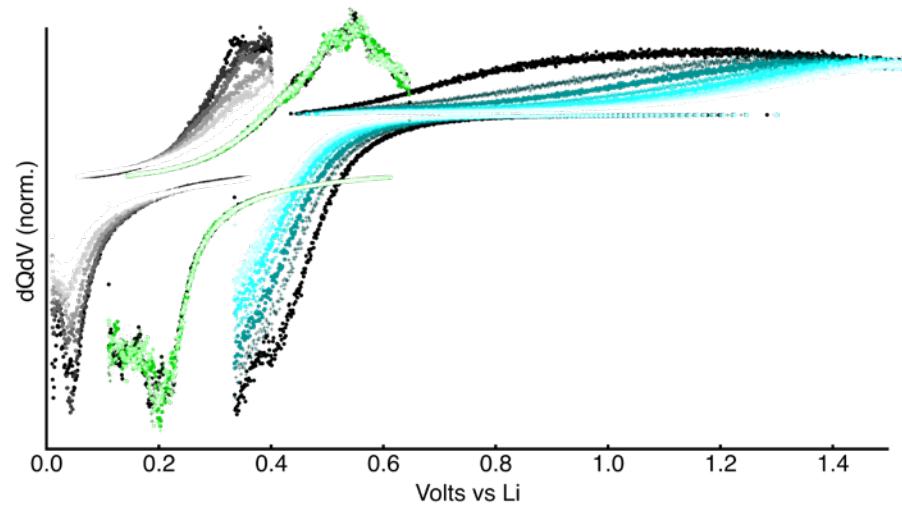
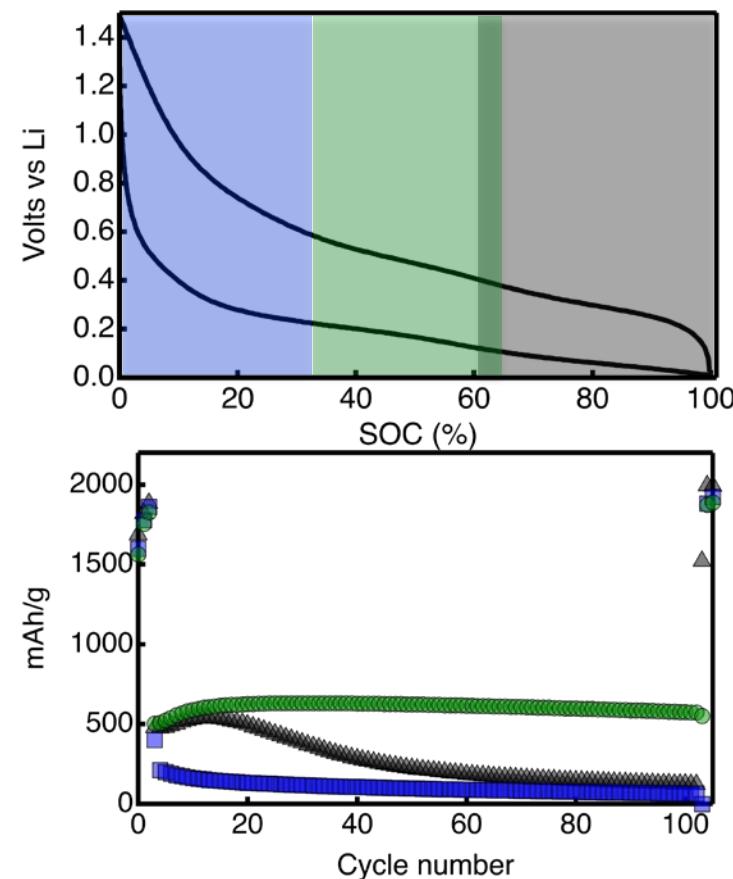
48 hr V-hold @ desired potential

Aged at 45 C at 0V vs Li_xSi



Increasing impedance at low SOCs is consistent with PZC-like regime

Cycle Lifetime (Composite Half cells)

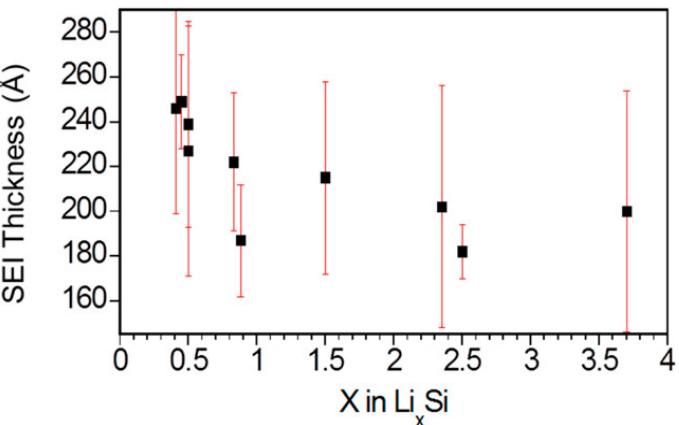


Large impedance gain in High SOC and Low SOC cycle domains

No apparent loss of active material

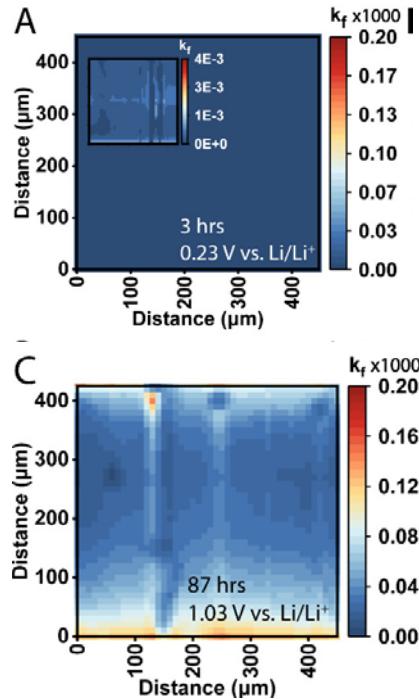
SOC-Dependent SEI Electrostatic Picture

Neutron Reflectometry Measurements of SEI thickness

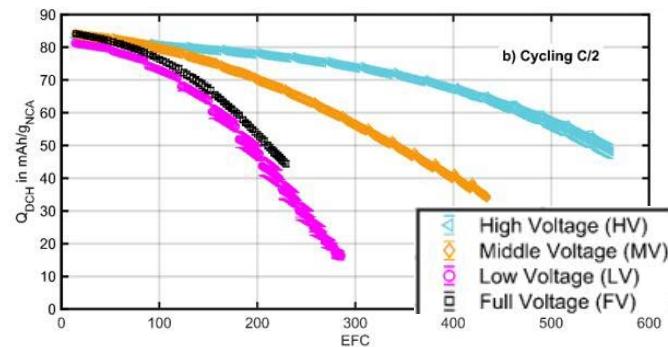


Veith, et al; *JPCC* 2019

Scanning Electrochemical Microscopy Measurements on SEI Passivation



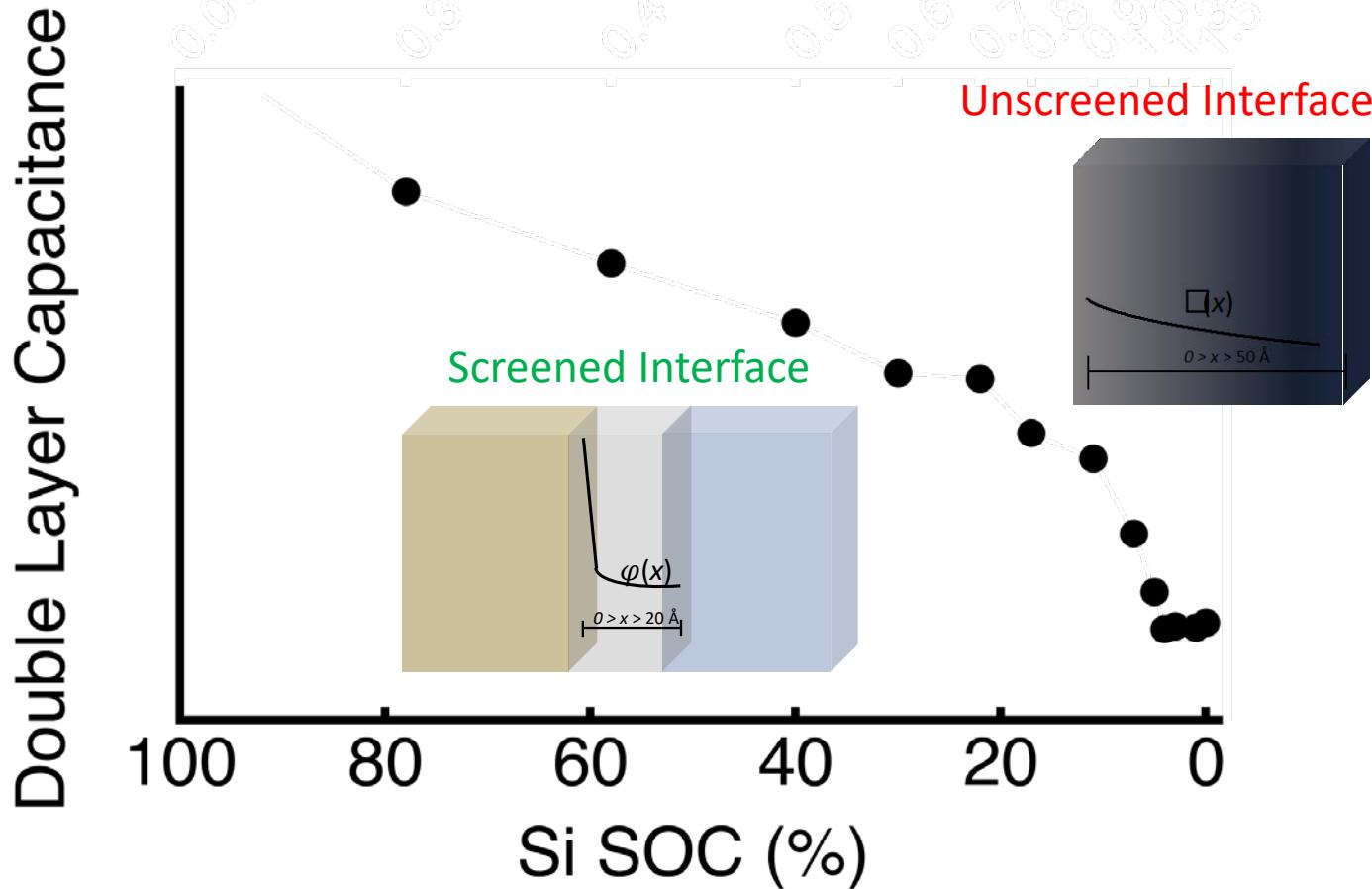
Battery Cycling



Sven Friedrich, 245th ECS
A02-0240 2024

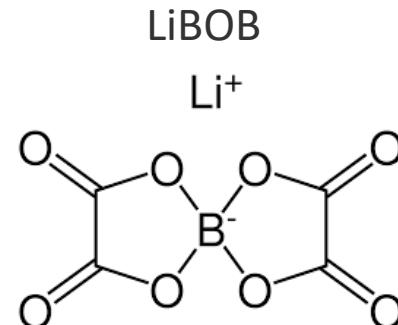
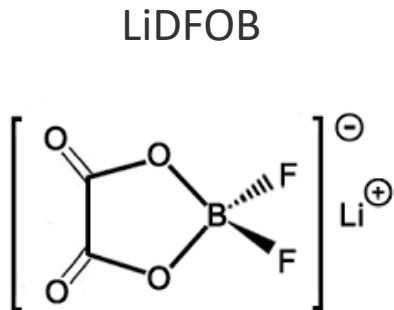
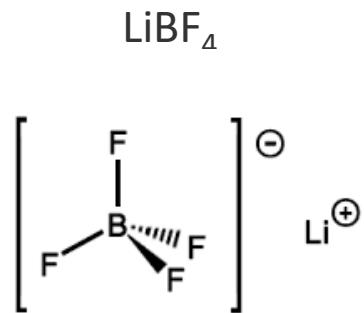
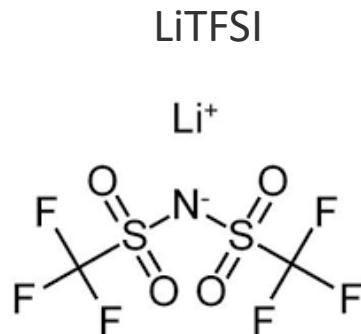
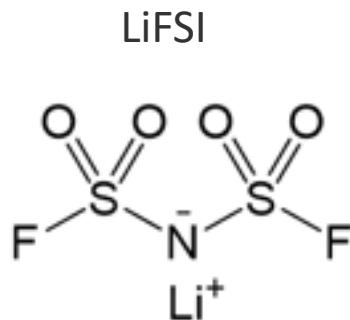
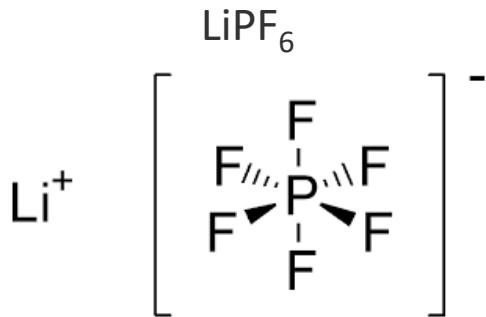
McBrayer, et al; *J. Appl. Mater. Interfaces* 2024

SOC-Dependent SEI Electrostatic Picture

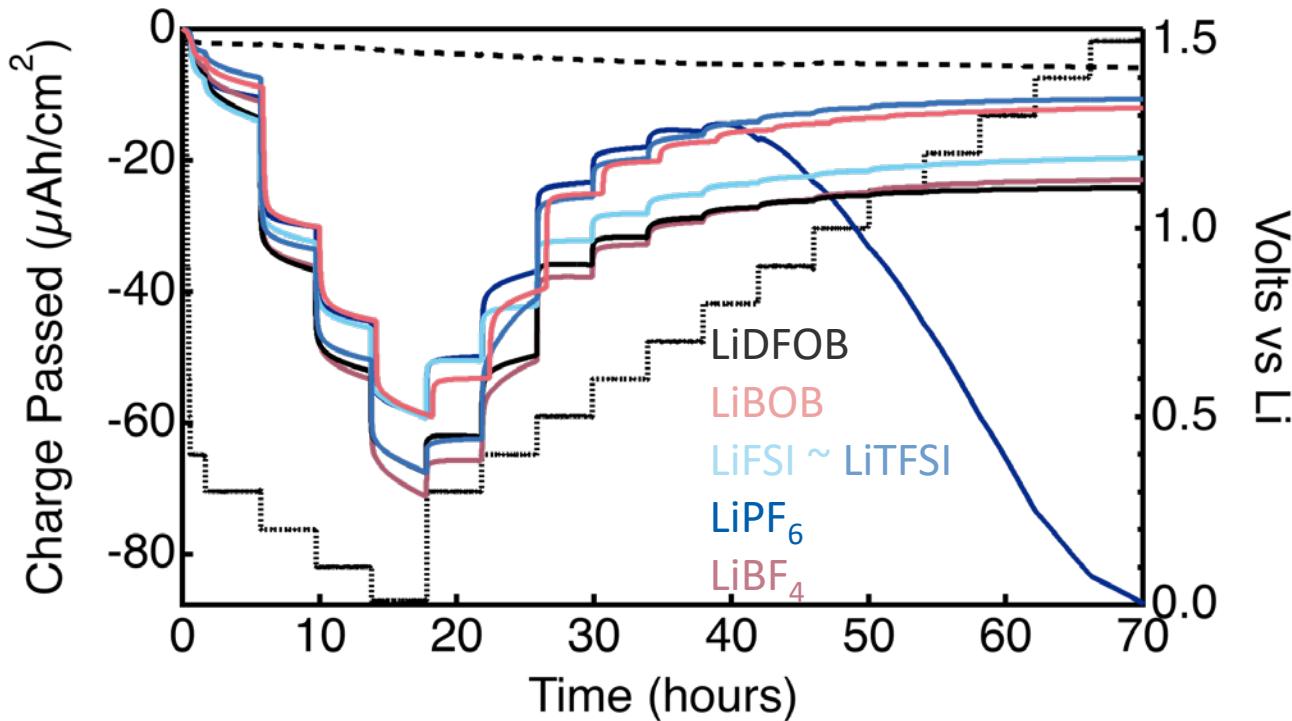


Anion Variation

1.2M LiX dissolved in 3:7 EC:EMC

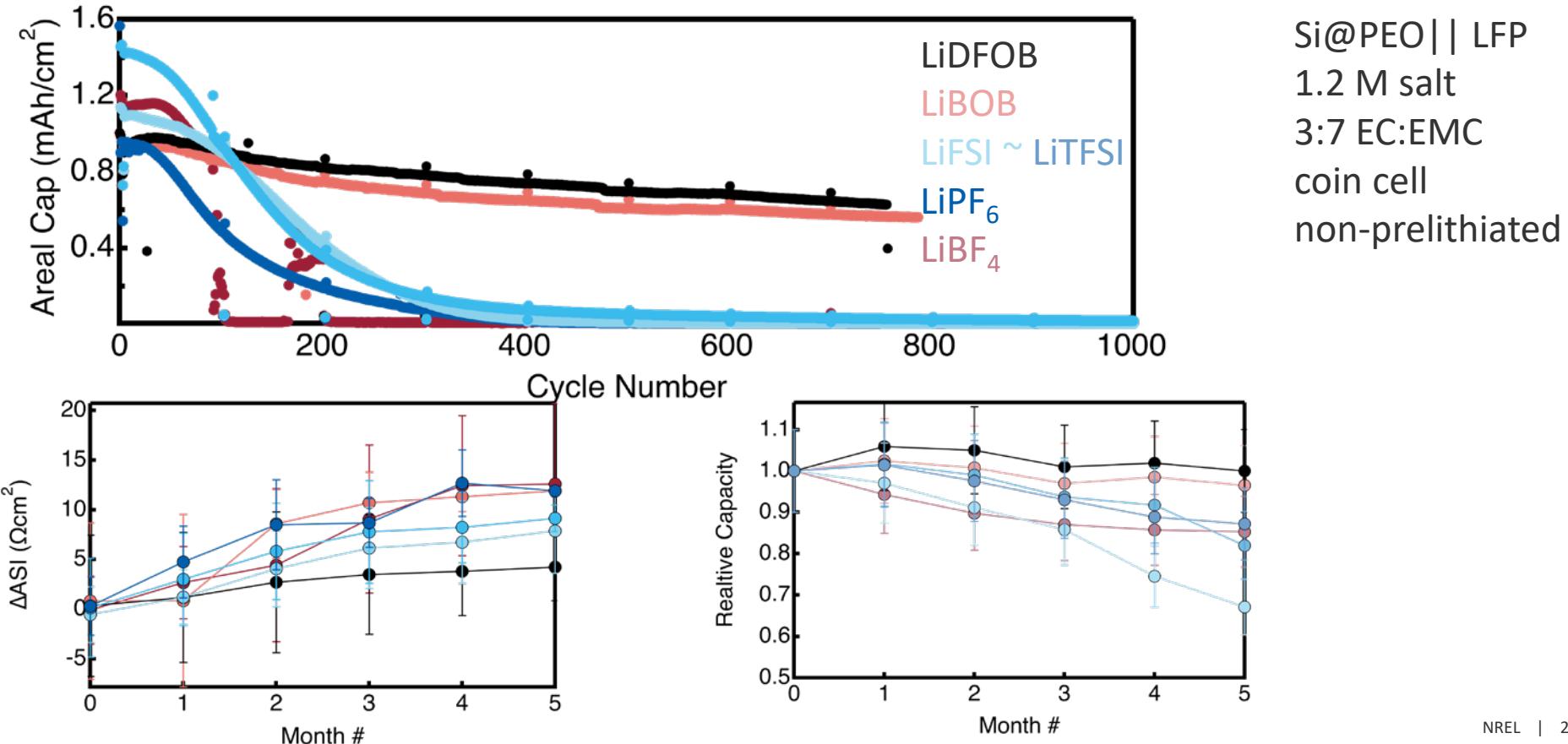


Charge Accumulation

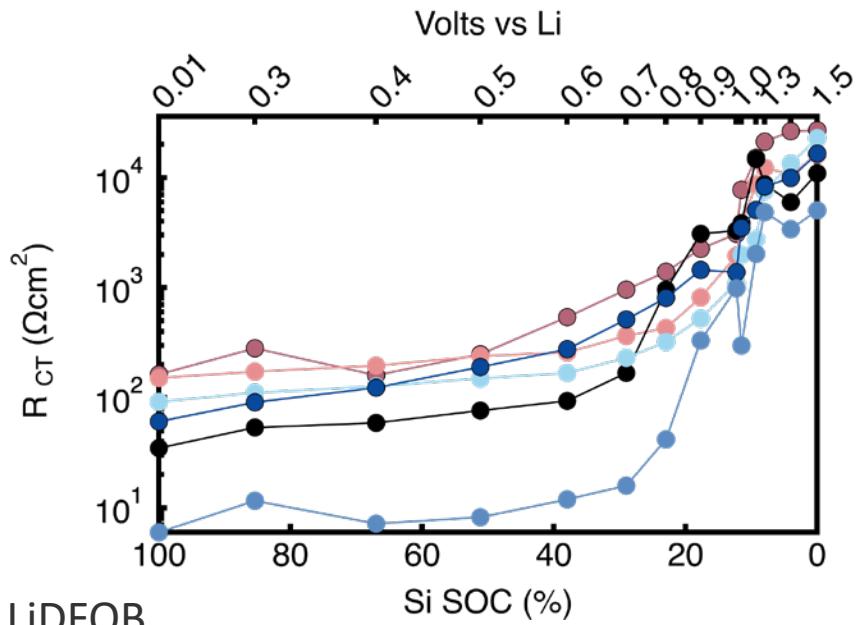


LiPF₆ coupled with EC shows continuous current at low SOC's
All other electrolytes are stable at low SOC's

Cycle/Calendar Lifetime vs LFP (n-limited)



Resistances



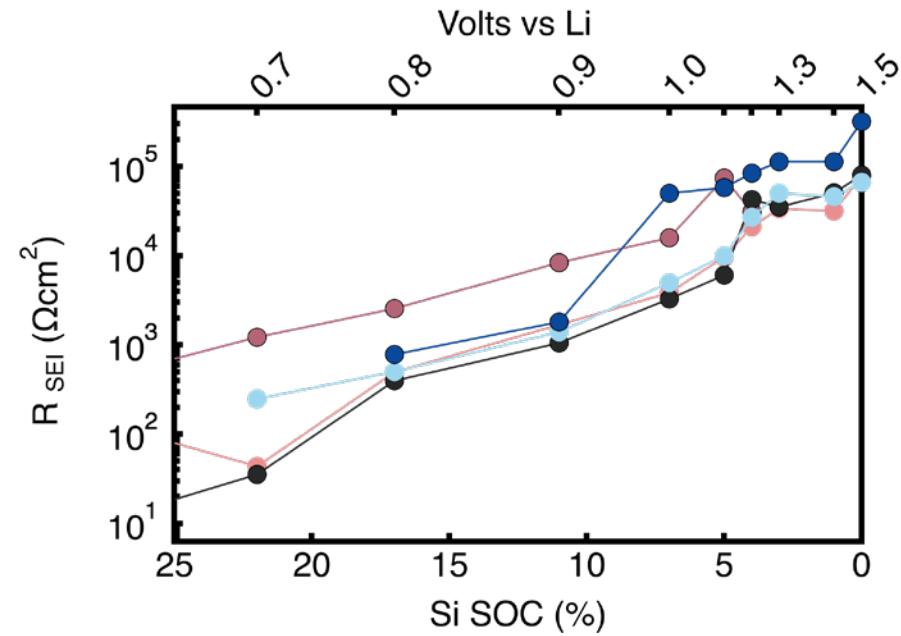
LiDFOB

LiBOB

LiFSI ~ LiTFSI

LiPF₆

LiBF₄

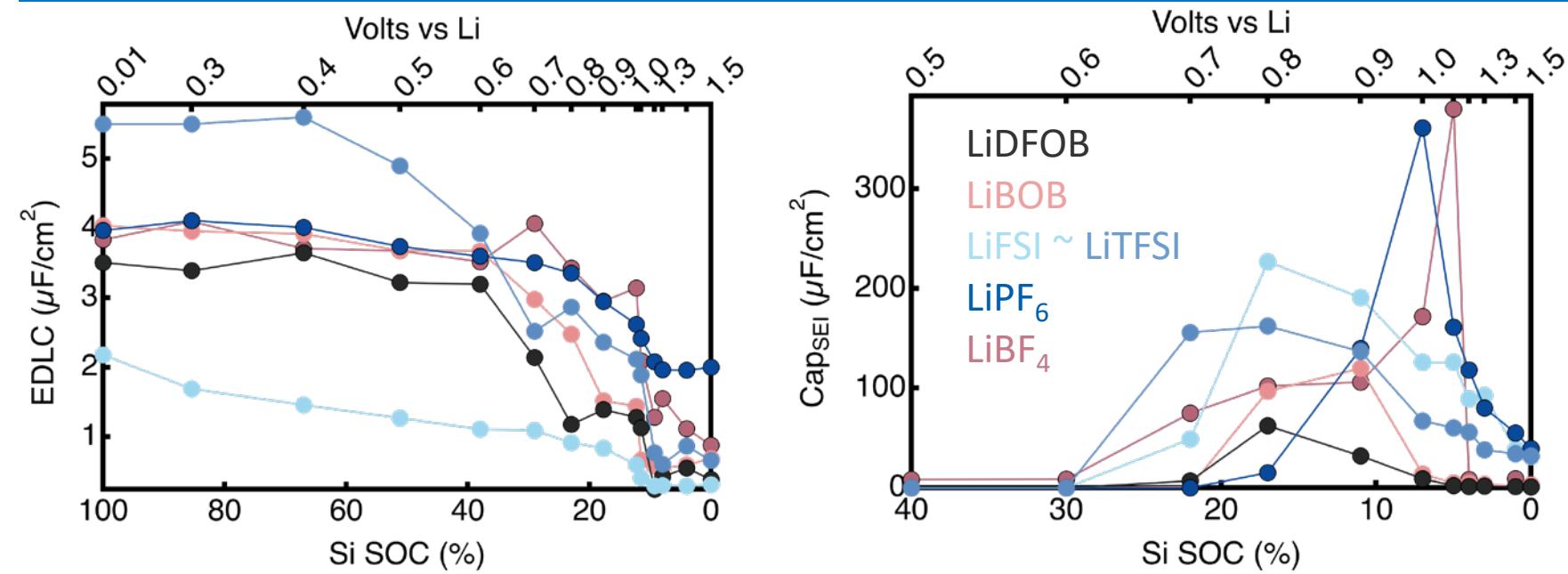


R_{CT} and R_{SEI} follow the same trajectory for all salts

R_{CT} values vary significantly

R_{SEI} are generally the same

Capacitance and Passivation



Onset for SEI capacitance gain tracks with the drop in EDLC (except LiBF₄)

→ Expected as PZC is set by electrode *and* electrolyte

Capacitance in SEI roughly follows performance → lower SEI capacitance is signature of more passivated surface

Conclusions

1. Lithium silicide interface and SEI can be understood through the classical model of electrochemical interfaces
 - EIS enables measurements of relevant properties at a very non-ideal electrochemical interface
2. EDLC measurements reveal that Li_xSi have very dynamic surface electrostatics:
 - At SOC < ~20% the electrode surface resembles a structure analogous to the PZC
 - The PZC regime is least passivated--> fastest impedance gain in calendar life cells
 - The PZC regime may be appropriate for accelerating calendar life measurements and assessing the passivation of the SEI with different electrolytes
3. Measurements of different anions:
 1. The onset of PZC regime depends on anions
 2. Anions play a large role in passivation
 3. The degree of passivation (C_{SEI}) strongly correlates with cycle and calendar life

Conclusions

1. Cycle life in majority Si anodes is less relevant than calendar life
2. Lithium silicide interface and SEI can be understood through the classical model of electrochemical interfaces
 - EIS enables measurements of fundamental electrochemical interface properties of a highly non-ideal electrochemical interface
3. The interface structure and mass transport properties are strongly dependent on the electrode state of charge

If your battery has silicon in it, don't store it fully charged or discharged

The Silicon Consortium Project

Baris Key	Rachel Korkosz	Ankit Verma	Francois Usseglio-Viretta	Anton Tomich	Jack Vaughney
Sohyun Park	Eric Dufek	Petter Weddle	Donal Finegan	Alison Dunlop	Chris Johnson
Zhengcheng Zhang	Jack Deppe	Bertrand Tremolet de Villers	Max Schulze	Marco Tulio Fonseca	Tyler Sweet
Joseph Kubal	Kevin Gering	Kae Fink	Matt Keyser	Rodrigues	Gabe Veith
Devashish Salpekar	Bumjun Park	Ryan Tancin	Pashupati Adhikari	Wenquan Lu	Beth Armstrong
Brian Ingram	Mauresh Savargaonkar	Mike Carroll	Pallavi Sundaram	Evelyna Wang	Khryslyn Arano
Steve Trask	Robert Kostecki	Jae Ho Kim	John Farrell	Fulya Dogan Key	Amanda
Avi Gargye	Tony Burrell	Zoey Huey	Nina Prakash	Lily Robertson	Musgrove
Zhenzhen Yang	Eric Allcorn	Chun-Sheng Jiang	Trevor Martin	Daniel Abraham	Robert Sacci
Glenn Teeter	Megan Diaz	Jasmine Tabatabai	Jackson Pope	Andrew Jansen	Steven Lam
Jaclyn Coyle		John Westgard	Erika Hunting	Nate Neale	Jie Xiao
Juliane Preimesberger		Qian Huang	Lydia Meyer	Katie Harrison	Chongmin Wang
		Josey McBrayer		Andrew Colclasure	Joseph Quinn

Questions?

www.nrel.gov

Mike Carroll

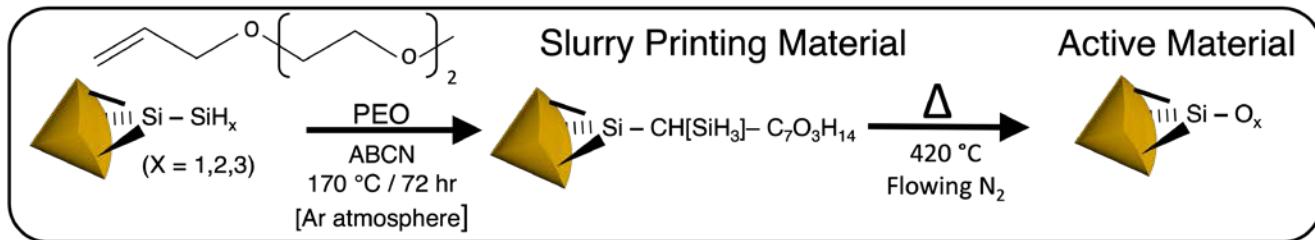
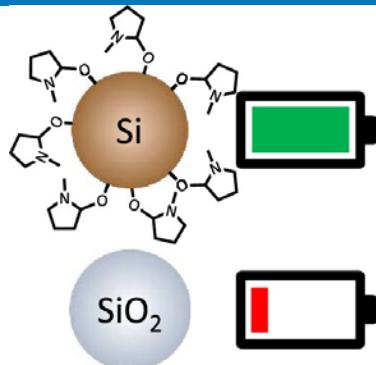
mike.carroll@nrel.gov

NREL/PR-5900-90535

This work was authored by the National Renewable Energy Laboratory, operated by Alliance for Sustainable Energy, LLC, for the U.S. Department of Energy (DOE) under Contract No. DE-AC36-08GO28308. Funding provided by the U.S. Department of Energy Office of Energy Efficiency and Renewable Energy Vehicle Technologies Office. The views expressed in the article do not necessarily represent the views of the DOE or the U.S. Government. The U.S. Government retains and the publisher, by accepting the article for publication, acknowledges that the U.S. Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this work, or allow others to do so, for U.S. Government purposes.

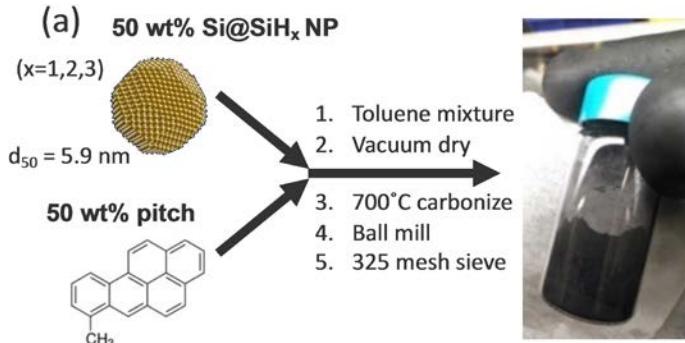


Controlling the Surface Chemistry of Si Electrodes



Schulze, ... Carroll; *J. Mater. Chem. A* 2023

Carroll, ... Neale; *ACS Appl. Energy Mater.* 2020



Schulze, et al.; *Batteries and Supercaps* 2023

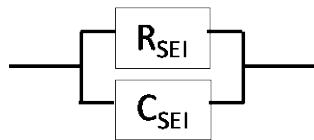
Pach, ... Carroll; *ACS Energy Letters* 2024

EIS of a Redox Active Thin Film (SEI) at a Reflective Boundary.

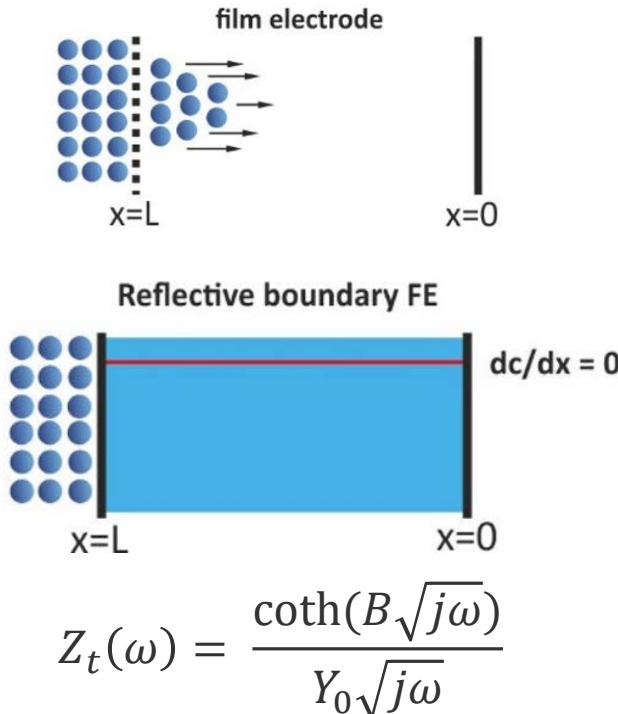
(1) Faradaic Redox Chemistry



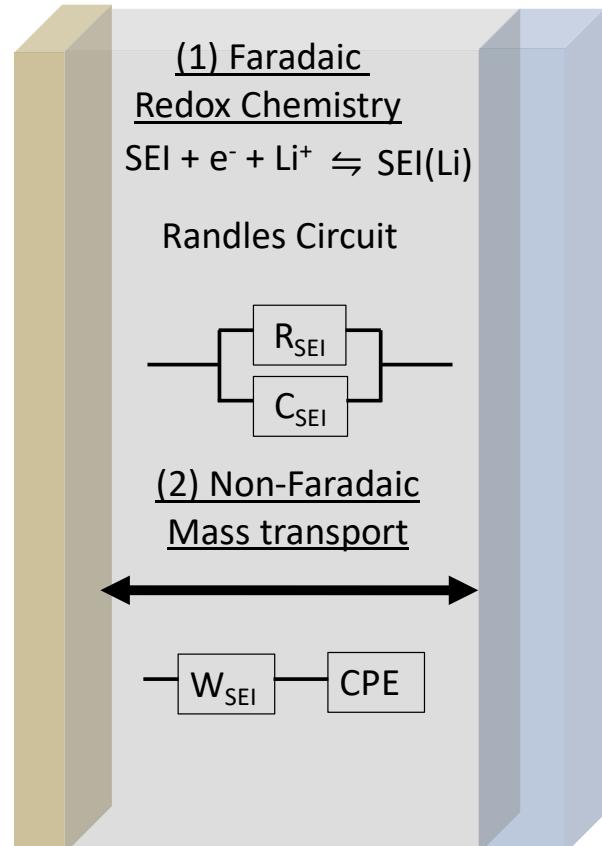
Randles' Circuit



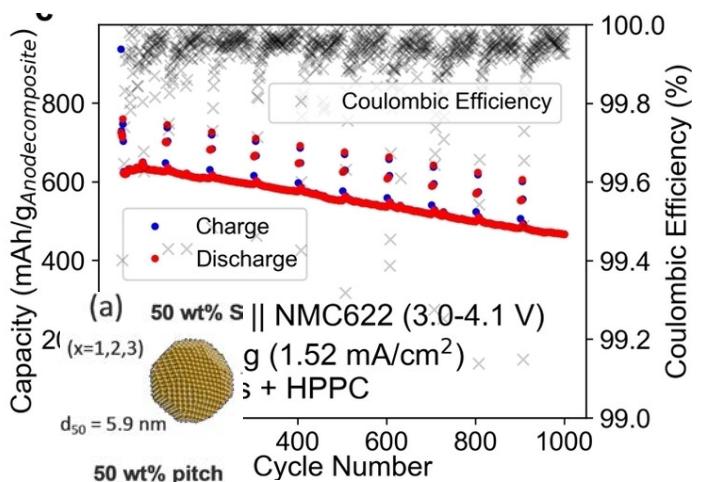
Mass Transport Through the SEI



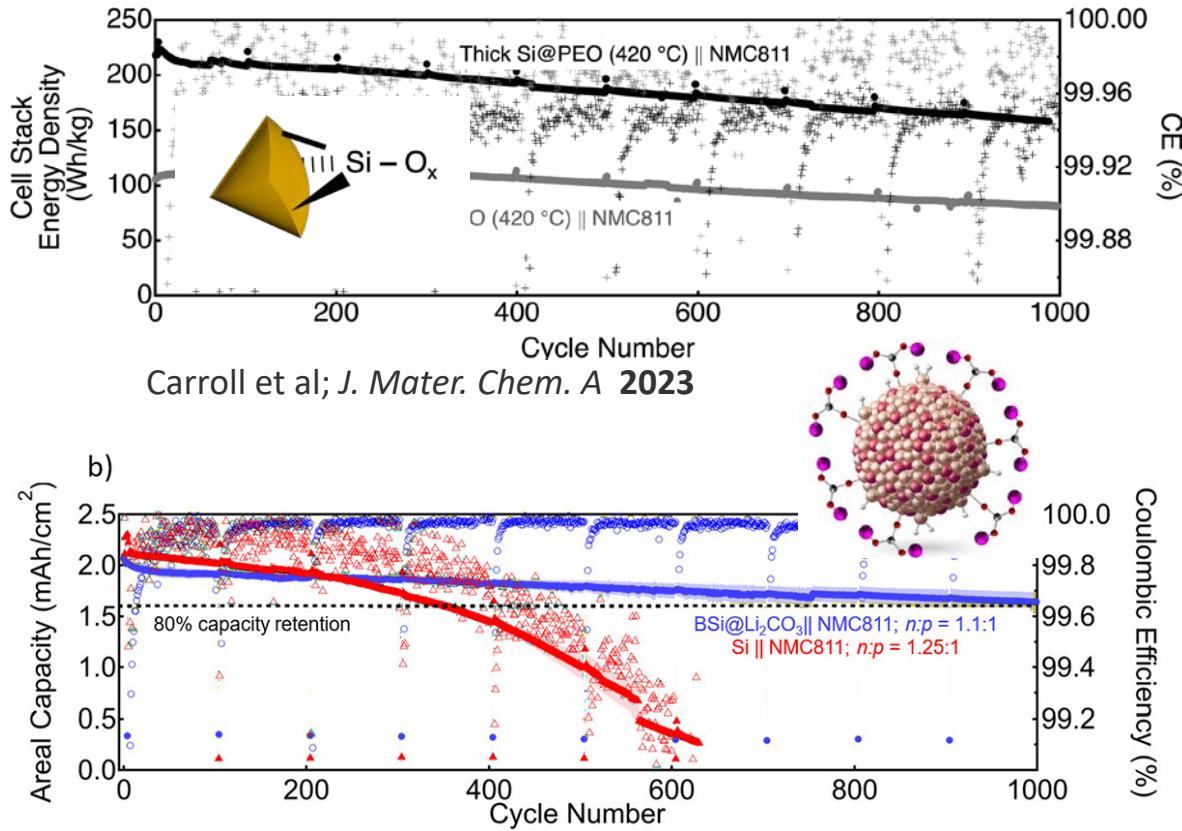
$$\beta = \frac{\text{diffusion layer thickness}}{\text{diffusion coefficient}^{1/2}}$$



Cycle Life with Varied Surfaces

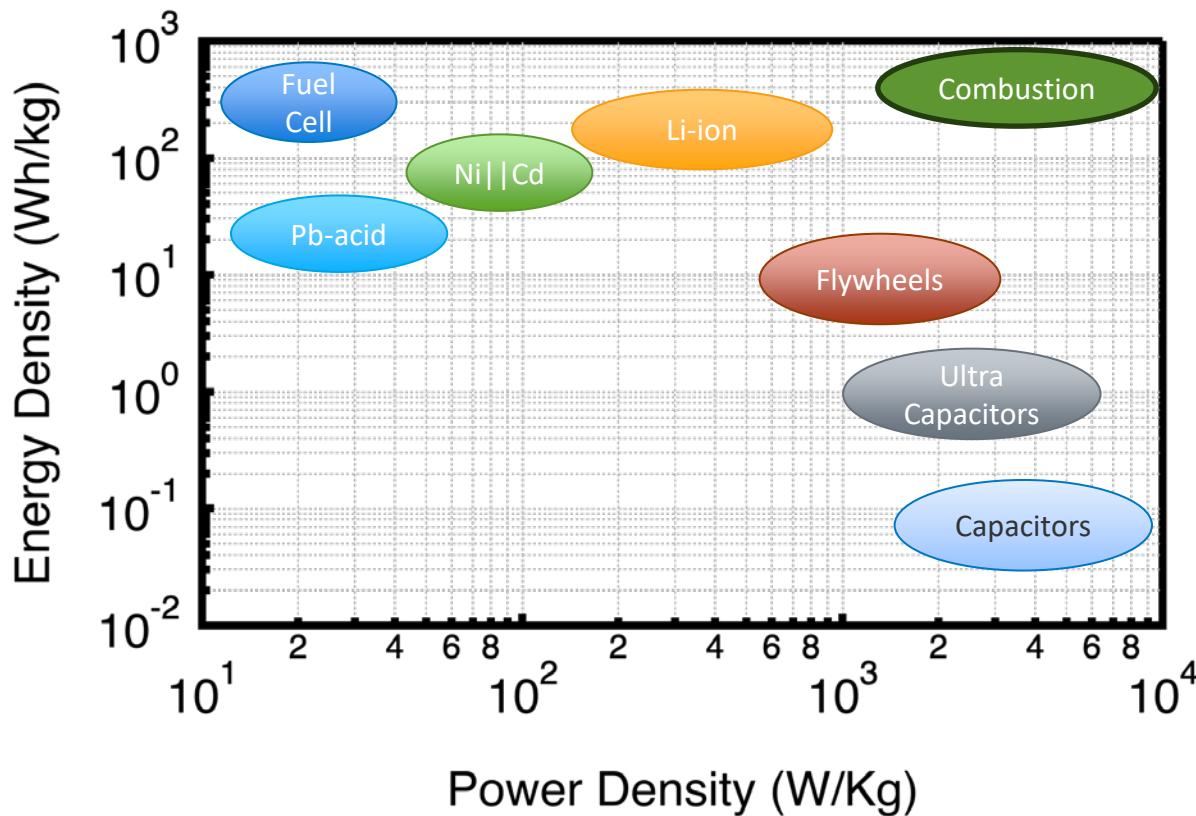


Schulze, et al.; *Batteries and Supercaps* 2023



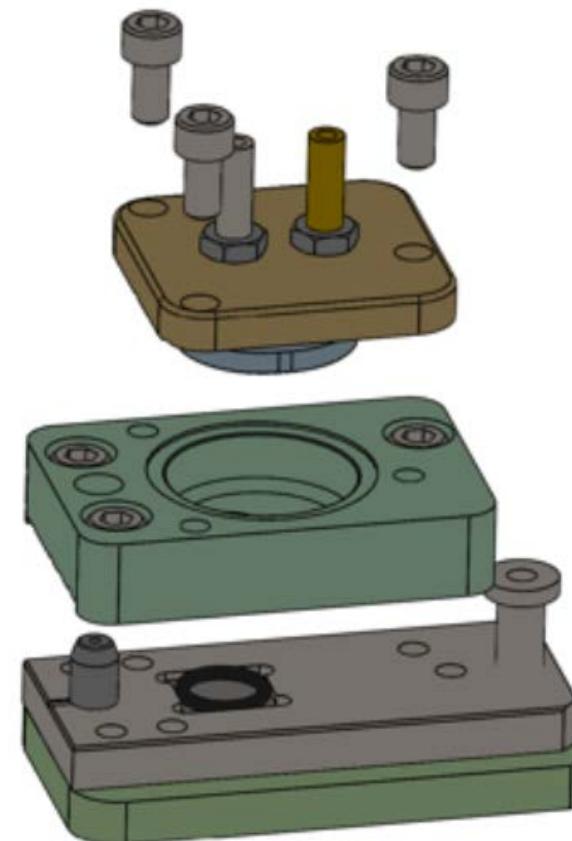
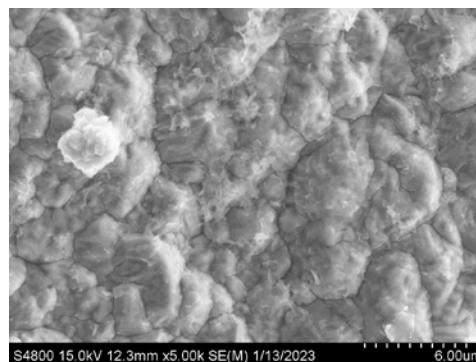
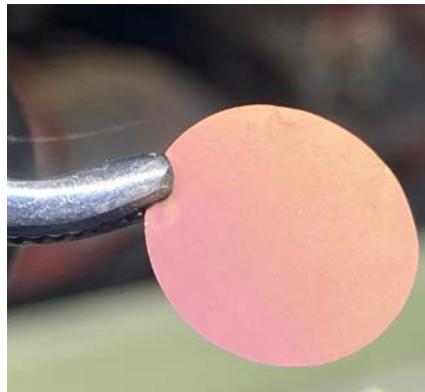
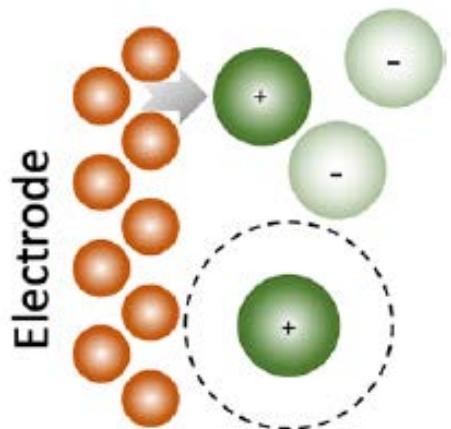
Pach, ... Carroll; *ACS Energy Letters* 2024

Energy Storage and Delivery Technology



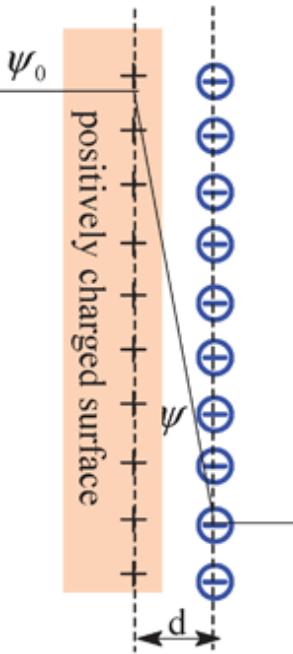
Thin Film Silicon Electrode

2D Planar electrode

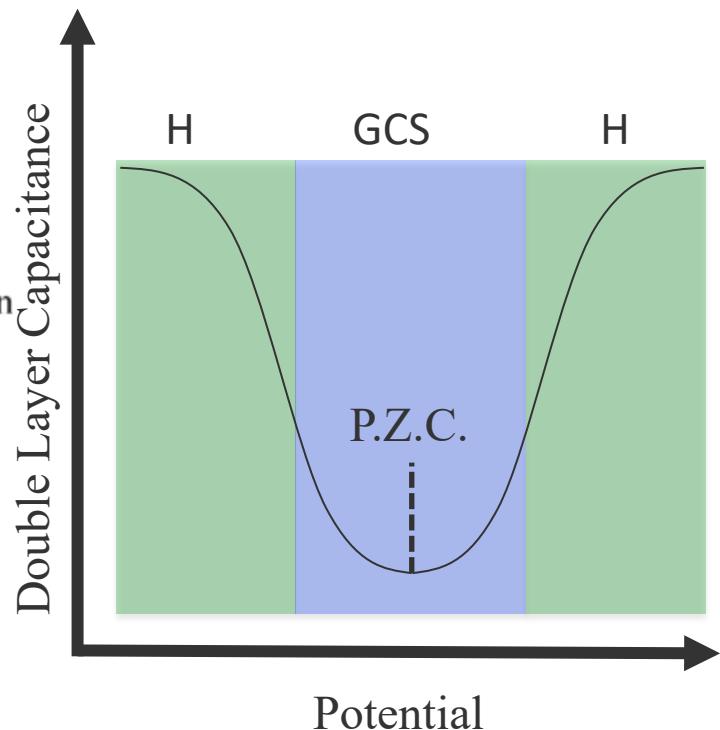
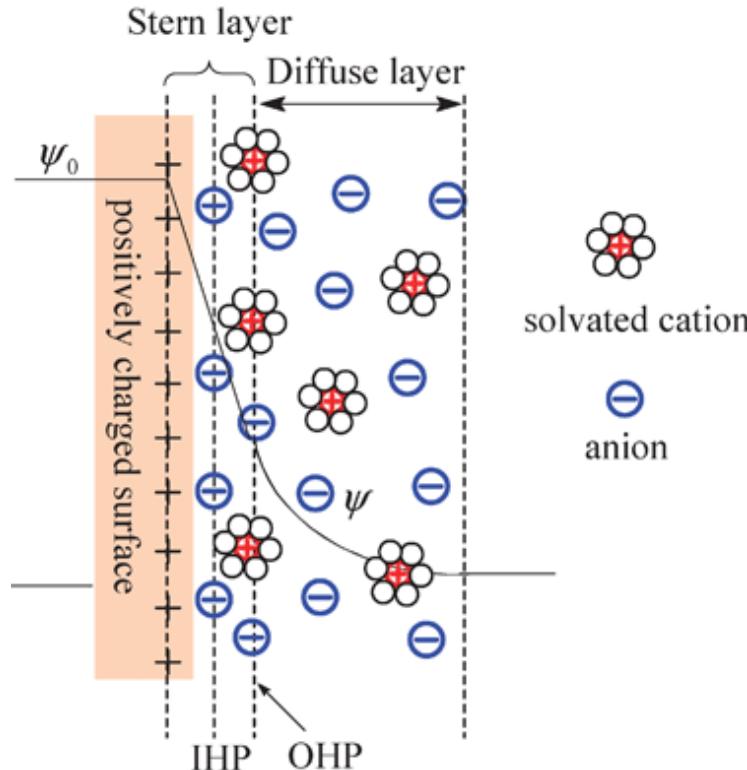


The Classical Model

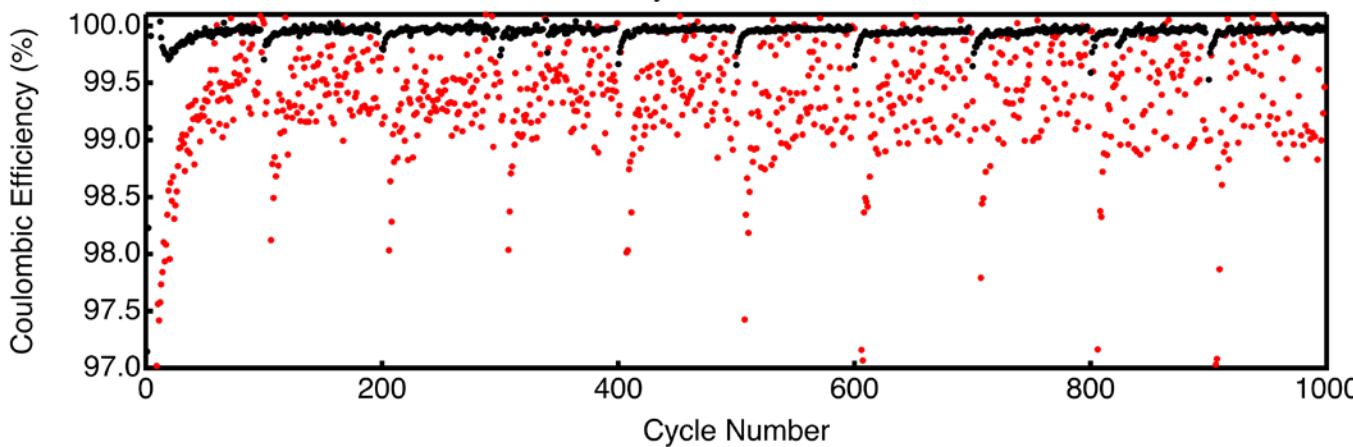
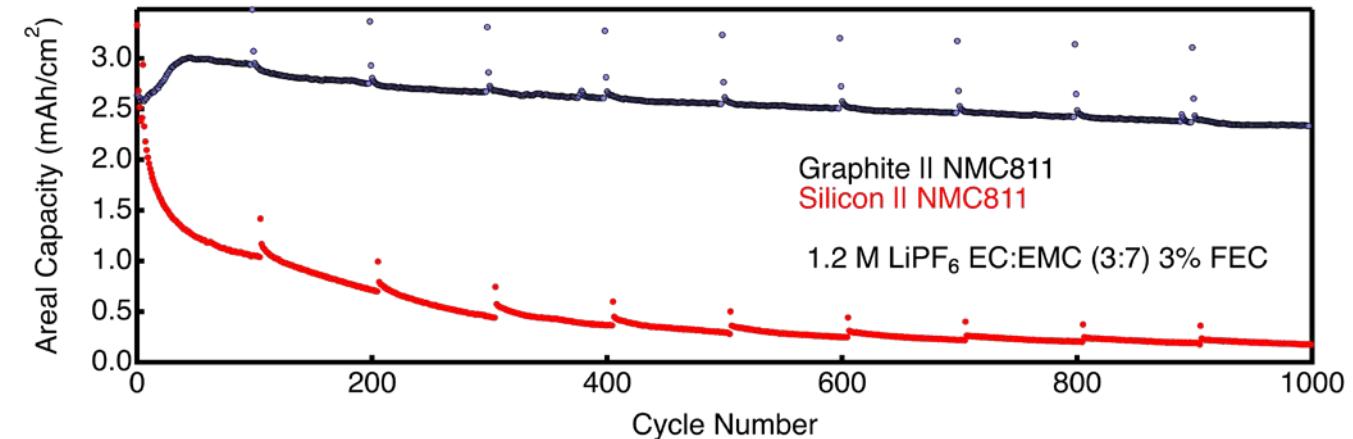
Helmholtz Model



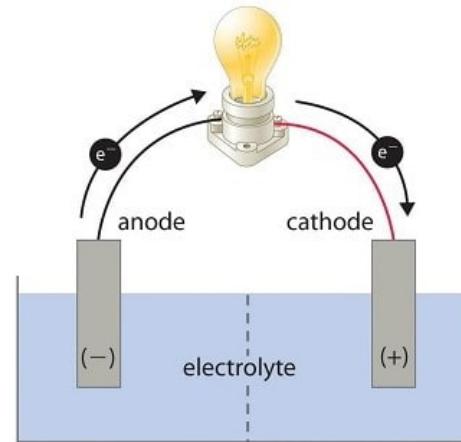
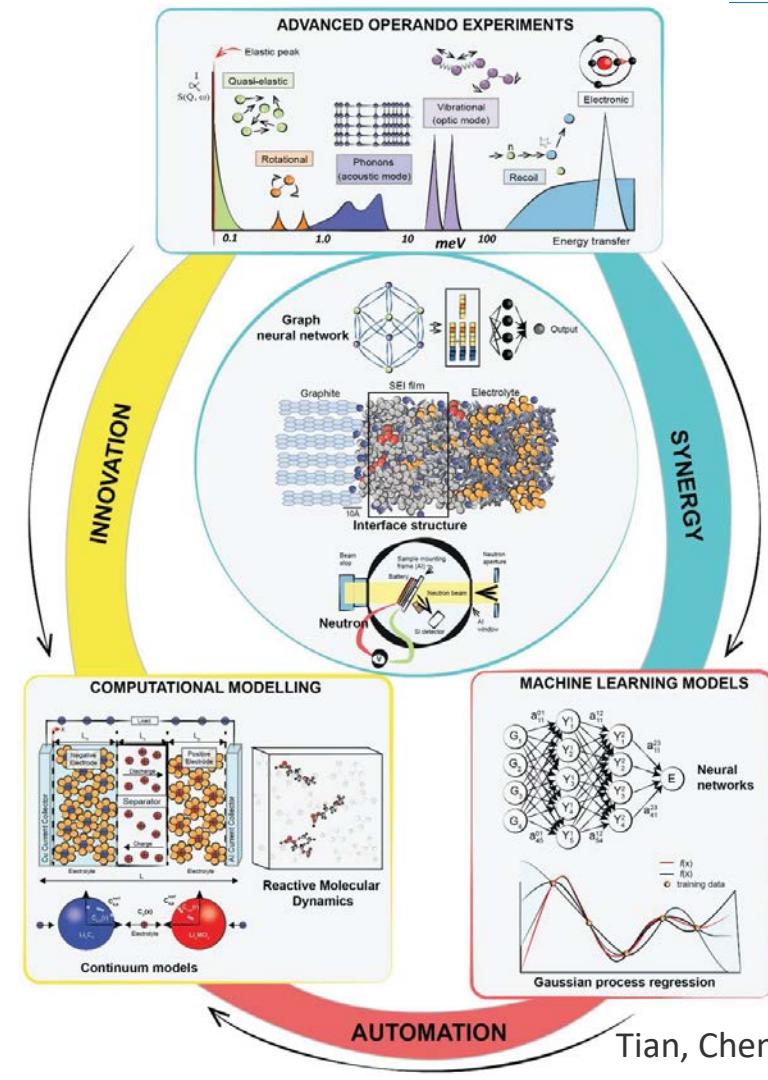
Gouy-Chapman-Stern Model



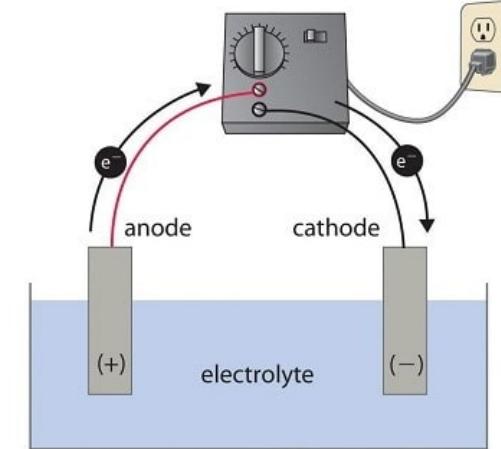
Electrochemical Cycling



Understanding Calendar Life



Energy released by spontaneous redox reaction is converted to electrical energy.

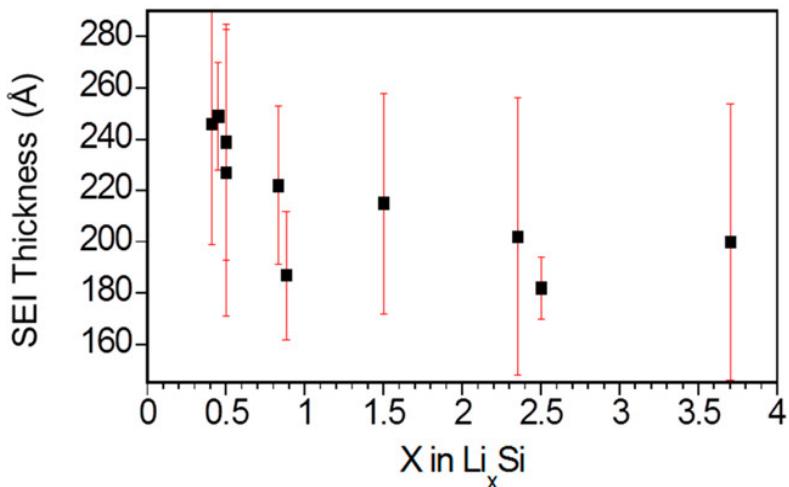


Electrical energy is used to drive nonspontaneous redox reaction.

Energy Education, Univ. of Calgary

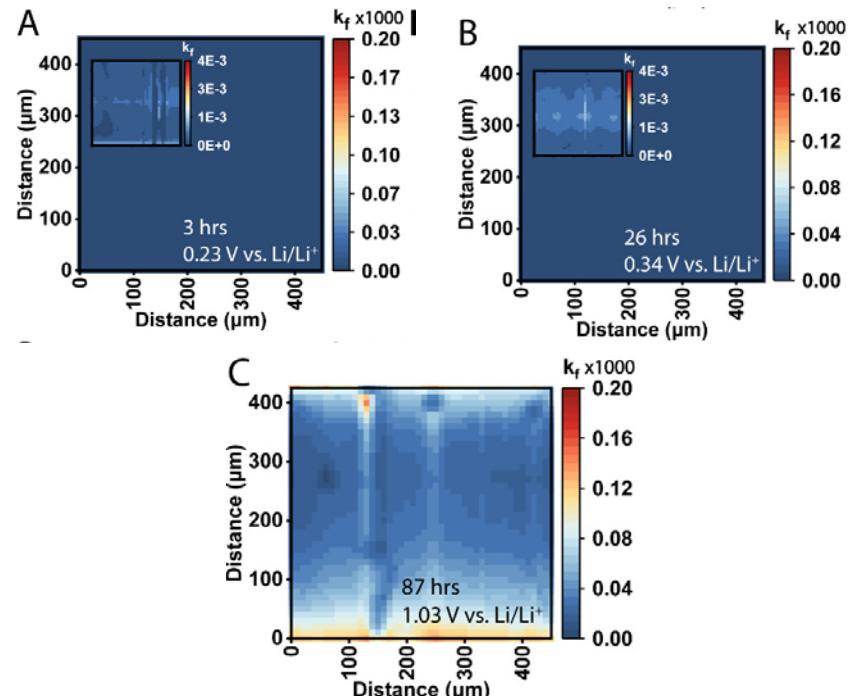
Corroborating Observations

Neutron Reflectometry Measurements of SEI thickness



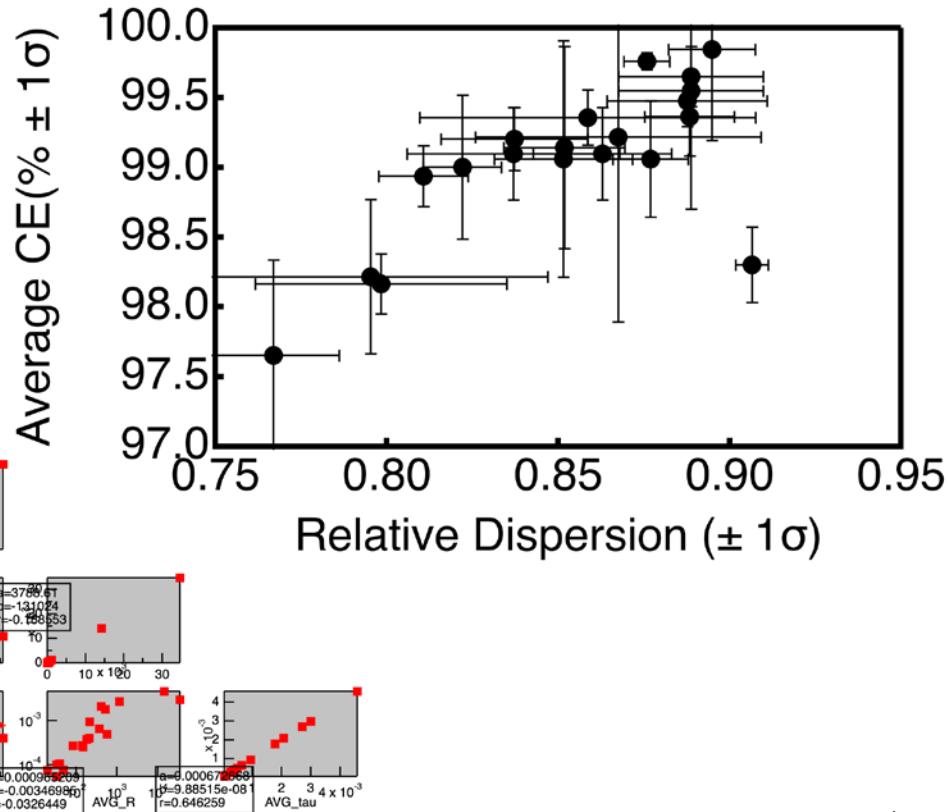
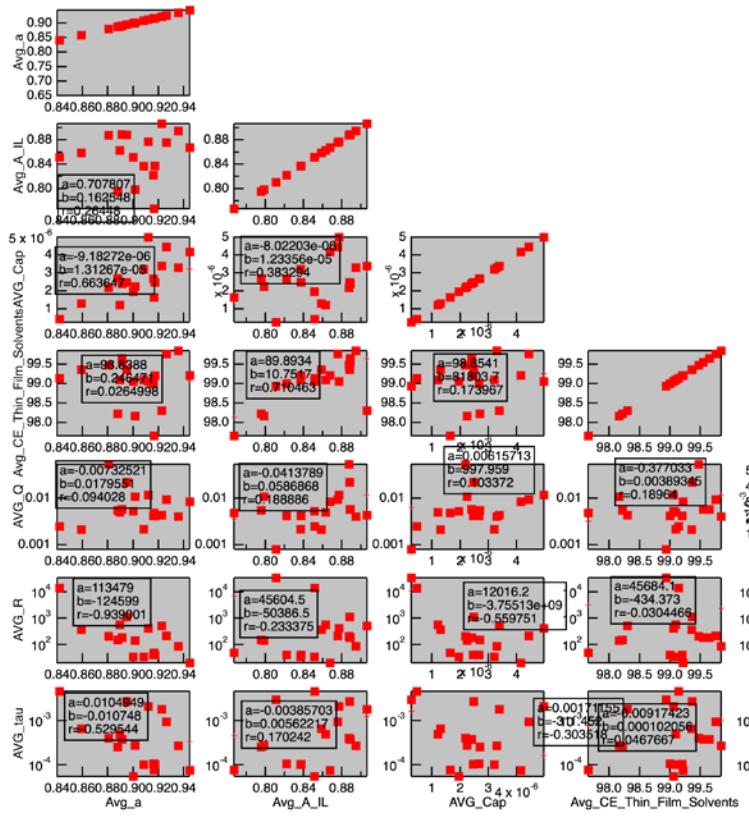
Veith, et al; *JPCC* 2019

Scanning Electrochemical Microscopy Measurements on SEI Passivation



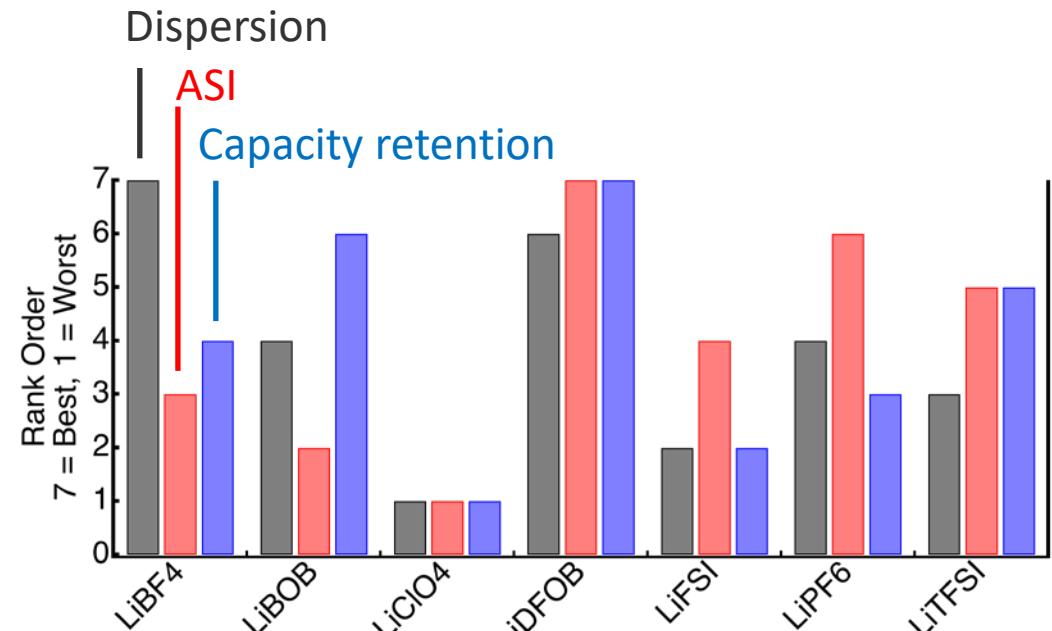
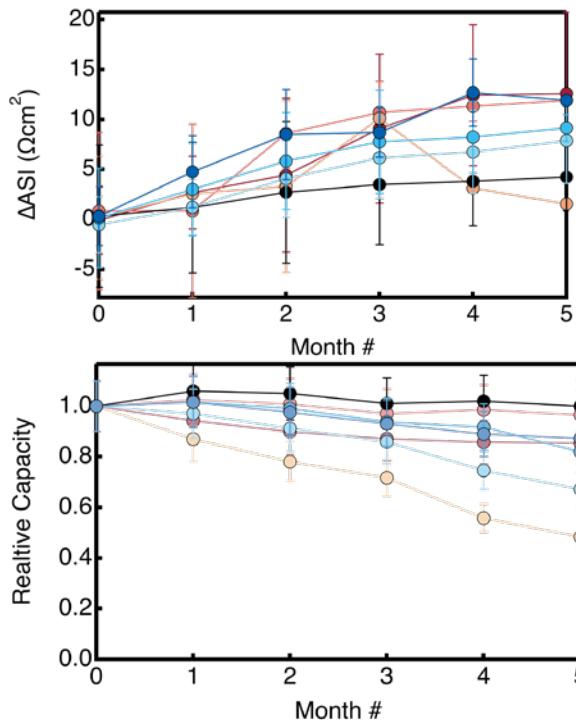
McBrayer, et al; *J. Appl. Mater. Interfaces* 2024

Predictive Parameters for Cycle and Calendar Life



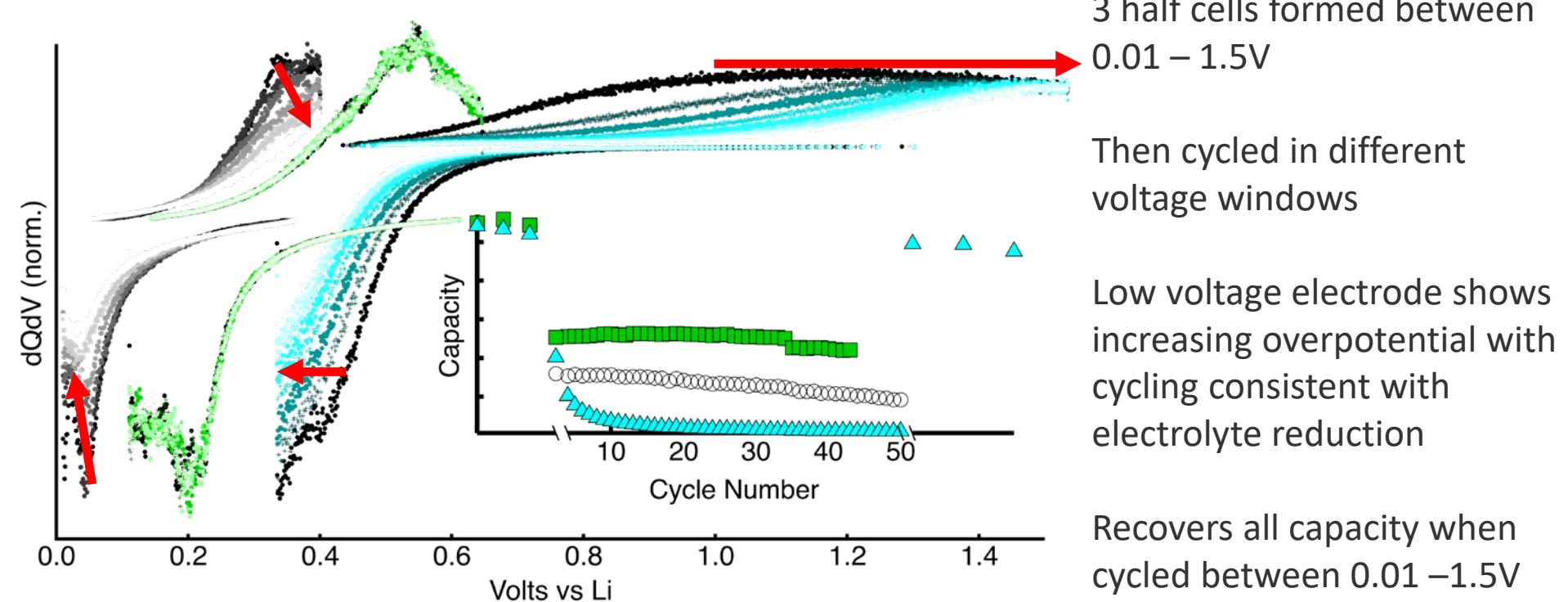
Calendar aging data in EC:EMC (Tier 2, Li⁺ limited)

LiPF_6 : LiTFSI : LiFSI : LiClO_4 :
 LiBOB : LiDFOB : LiBF_4

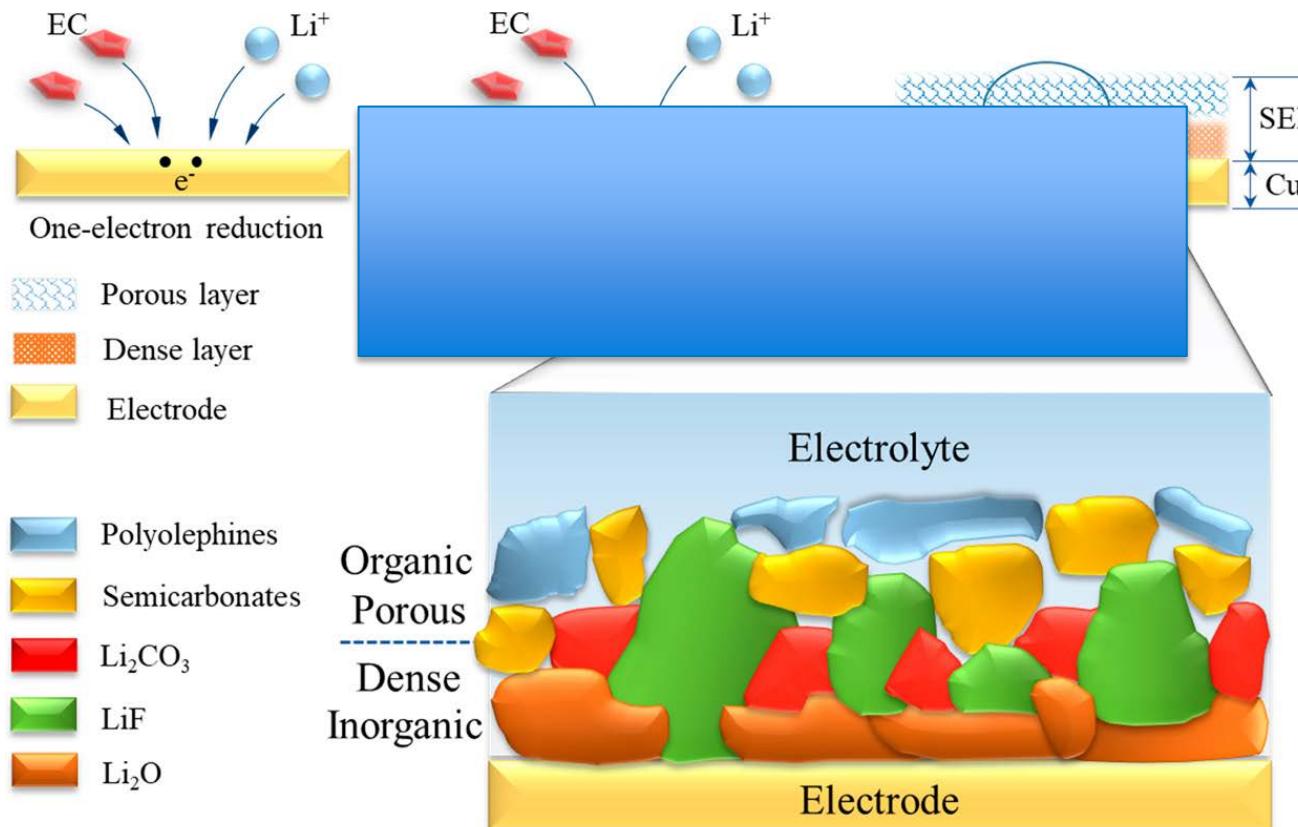


When ranked for ASI gain and capacity retention, a shows promise as a predictor for calendar-related degradation

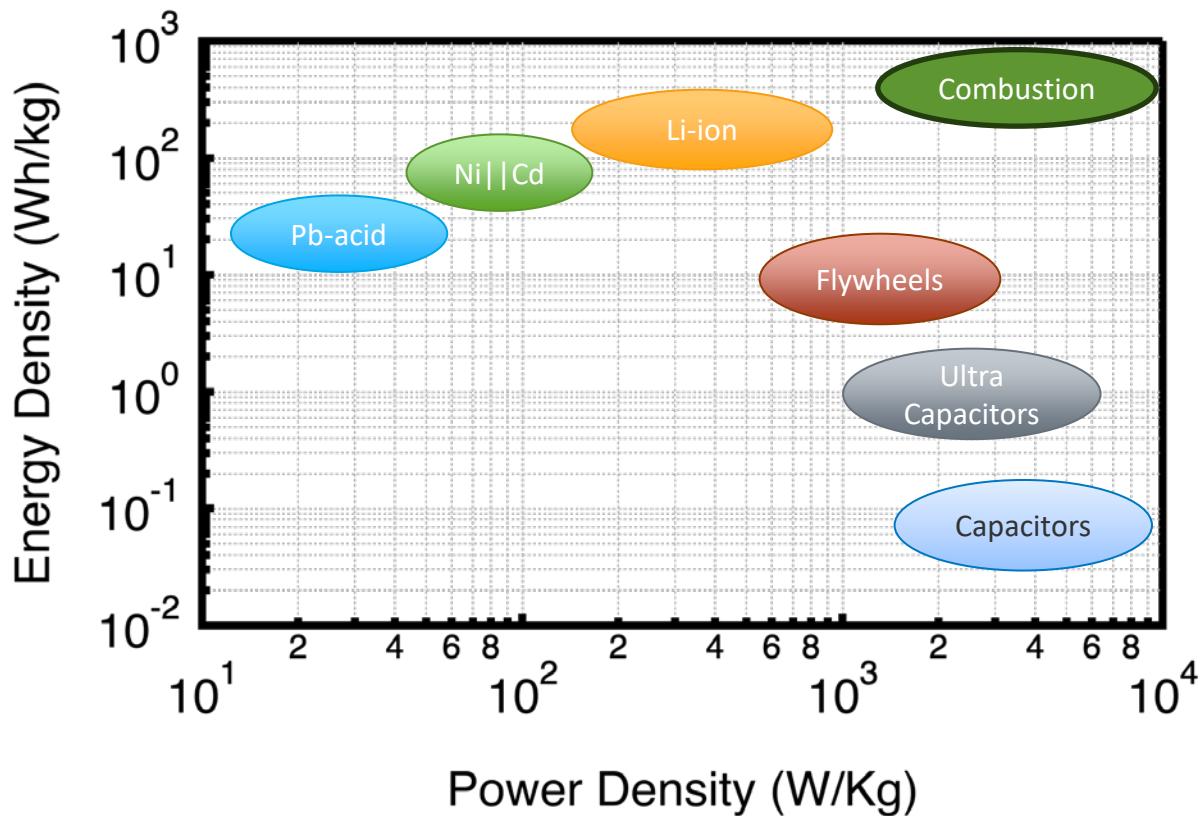
Impact in Real System



SEI on Copper



Energy Storage and Delivery Technology



Electrode | Electrolyte Equilibration

