

# On-chip, Ultra-Low Voltage GaN Vacuum Nanoelectronics

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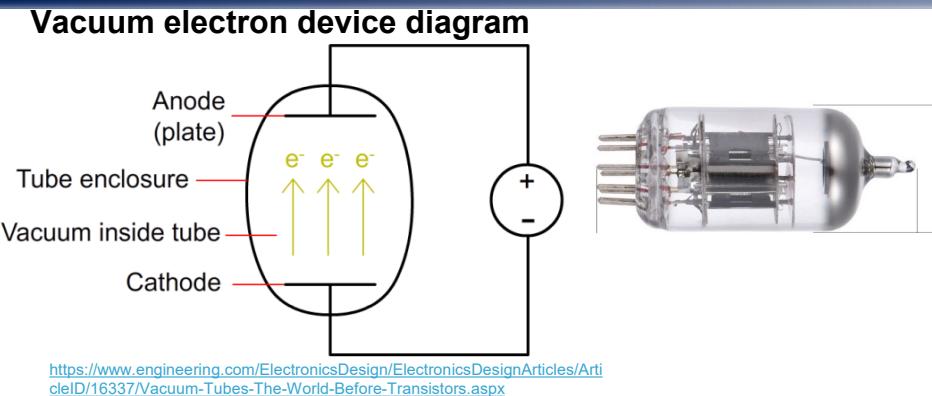
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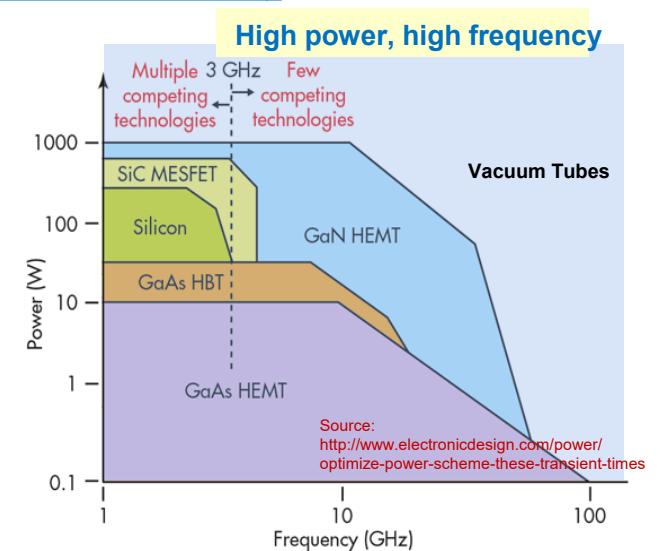
# Vacuum Electron Devices (VEDs)

- Silicon solid state devices began to replace vacuum tubes > 60 years ago
- But vacuum electron devices (magnetrons, traveling wave tubes, klystrons, etc.) have distinct advantages and are still in use!
  - **Communication:** Radar, RF broadcasting
  - **NASA:** Satellite communications, electronics for space missions
  - **Commercial/Industrial:** Microwave ovens, CRTs, industrial RF heating, THz technologies, Microwave electronic applications



**Advantages: operation at higher frequencies, power, temperature, radiation than solid-state semiconductor devices**

- **Ballistic transport in vacuum channel** (vs. scattering in solid channel)
- **No heat generation** during electron transport in vacuum
- **High dielectric breakdown** (Dielectric strength of perfect vacuum =  $10^{12}$  MV/m)
- Operation in **harsh environments (radiation, temperature)**: no junction, vacuum channel unaffected

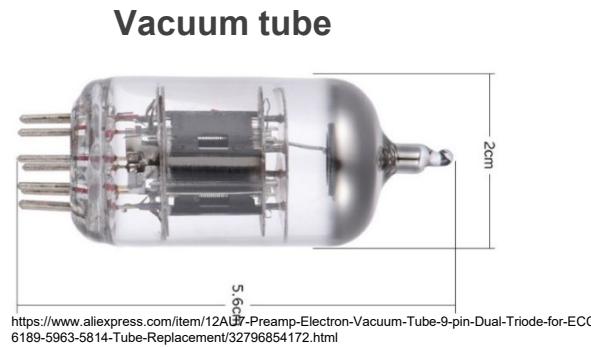


**Drawbacks of vacuum tubes: Size, cost, energy efficiency (thermionic emission), lifespan, lack of integration, vacuum requirement**

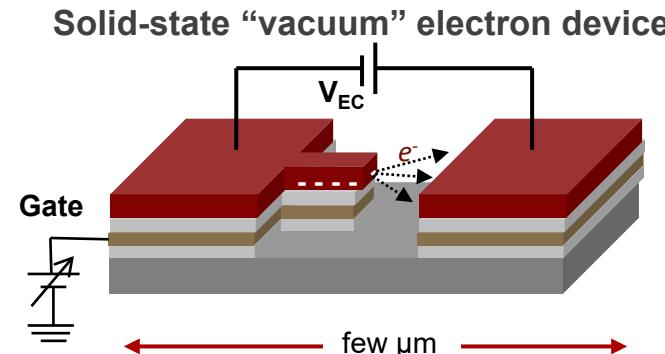
# “Integrated” on-chip vacuum electron devices

GOAL: Combine advantages of vacuum & semiconductor devices

Vacuum: high frequency/power, radiation hardness, high temperature



Semiconductor: size, integration, energy efficiency, cost, reliability



## ~1980s-1990s: Microfabricated Si vacuum microelectronics

- Microfabricated Si or W/Mo tip arrays (“Spindt tips”) on a wafer, **cold field emission** for lower power consumption
- **Limitations: high vacuum requirement, high turn-on voltages (e.g. ~100 V) & low currents (high work function materials), emitter degradation**

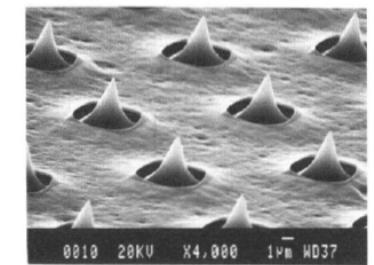
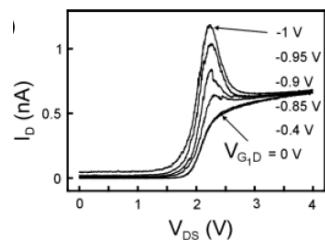
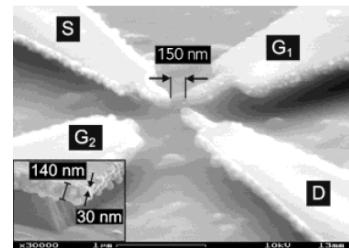


Figure 31. SEM micrograph of silicon tip emitters with maximum recess of the gates using the process of figure 29.

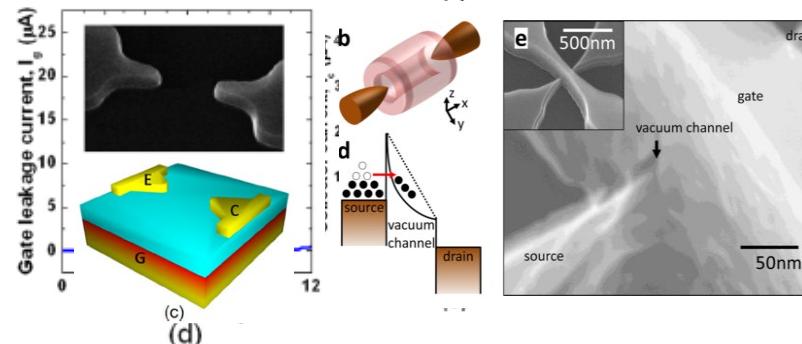
Betsui K 1991 Fabrication and characteristics of Si field emitter arrays *Technical Digest 4th Int. Vacuum Microelectronics Conf. (Nagohama Japan)* p 26

# Nanogap Vacuum Nanoelectronics (~2012-present)

- Nano-scaling of cathode-anode gap/channel (e.g. < 200 nm)
- Enhancement of local electric field: **reduction of operating voltage and emitter sharpness requirement**
- **Operable in air:** vacuum channel < mean free path of electron in air (~500 nm)

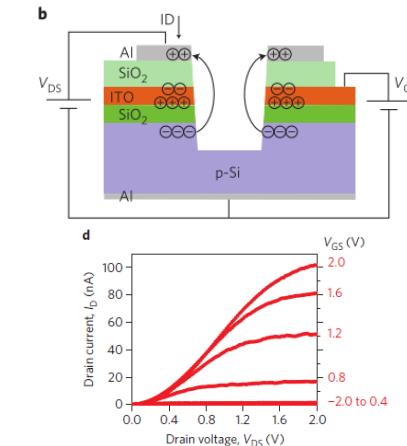


Lateral field-emission triode at atmospheric pressure on SOI  
Pescini et al., *Adv. Mat.* (2001)

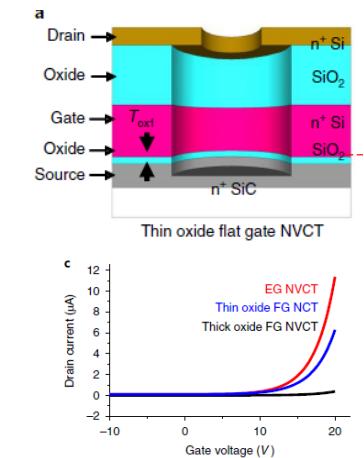


**Lateral back-gate-insulated & surround gate nano vacuum channel transistor**  
Han et al., *APL* (2012), Han et al., *NL* (2017)

- NASA: vacuum-free “vacuum” Si transistor with estimated cutoff frequencies to **460 GHz** (2012)
- Operating  $V < 5$ ,  $I > 3$  uA (2017)



**Vertical nano-void vacuum channel FET on Si**  
Srisonphan et al., *Nat. Nanotech.* (2012)



**Vertical SiC vacuum channel transistors**  
Han et al., *Nat. Elec.* (2019)

**New class of solid-state “nanogap” vacuum electronics** have strong potential for high-speed, resilient electronics, but outstanding challenges remain & further R&D needed!

# GaN: A Superior Platform for Solid-State Vacuum Nanoelectronics?

## 1. Low voltage field emission

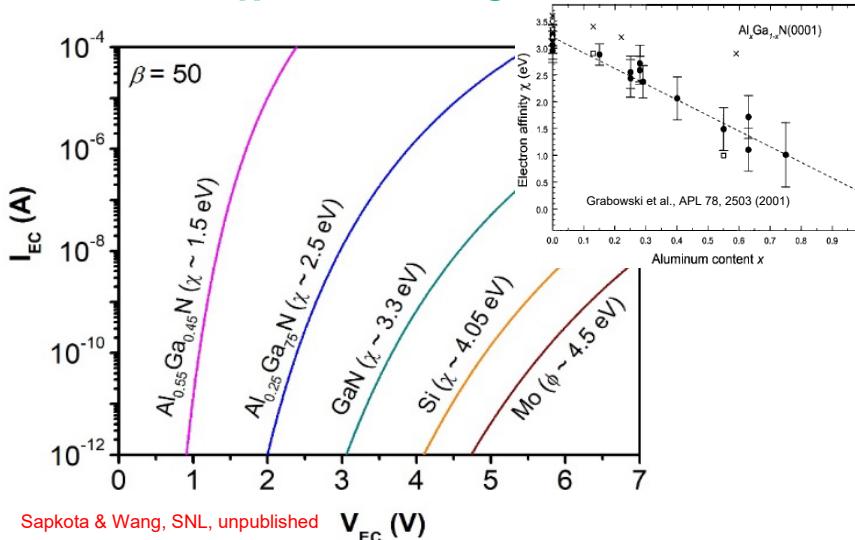
Fowler-Nordheim (FN) equation

$$J = A \left( \frac{\beta^2 V^2}{\phi d^2} \right) \exp \left( -\frac{B \phi^{3/2} d}{\beta V} \right)$$

$\phi \rightarrow$  work function;

$\varphi \sim \chi$  (electron affinity) for n-type semiconductor

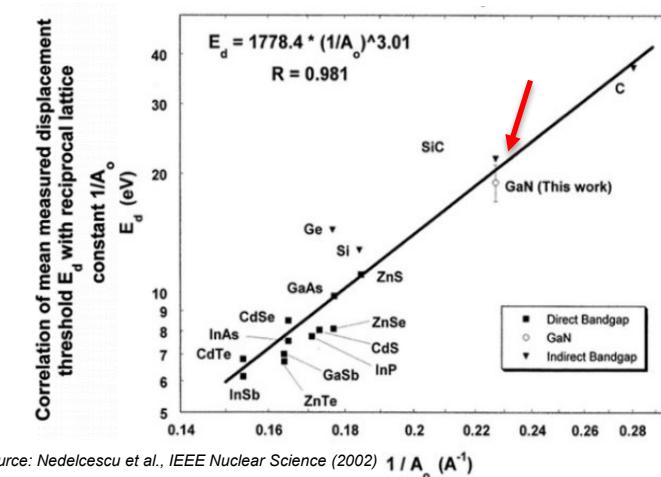
**GaN: low  $\chi \rightarrow$  Low voltage field emission**



## 2. Stability and reliability

**GaN has significantly higher bond strength than Si**

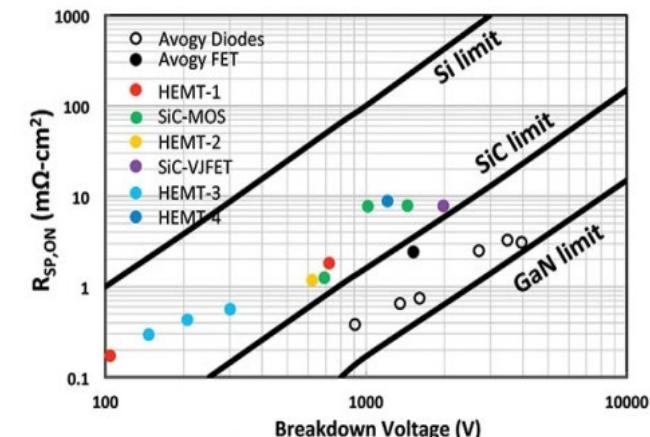
- ✓ Resistance to degradation
- ✓ Chemical stability
- ✓ Operable at high temperature
- ✓ Radiation hardness



## 3. High Power Operation

**GaN has High Breakdown Field**

- ✓ 3.3 MV/cm vs 0.3 MV/cm for Si
- ✓ High power operation
- ✓ High frequency operation

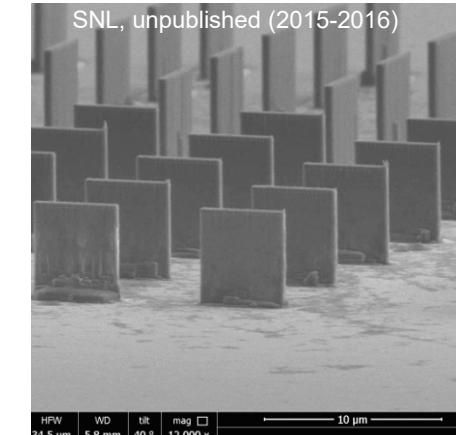
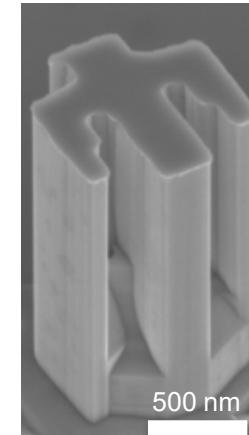
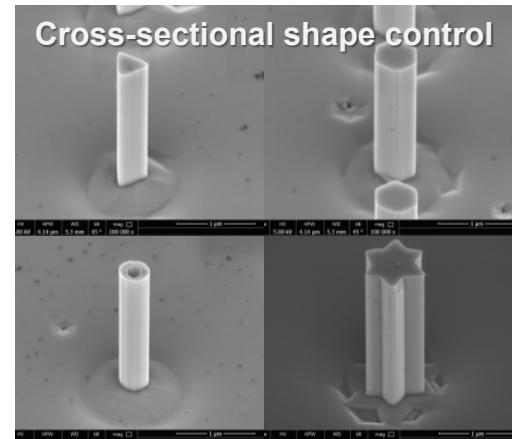
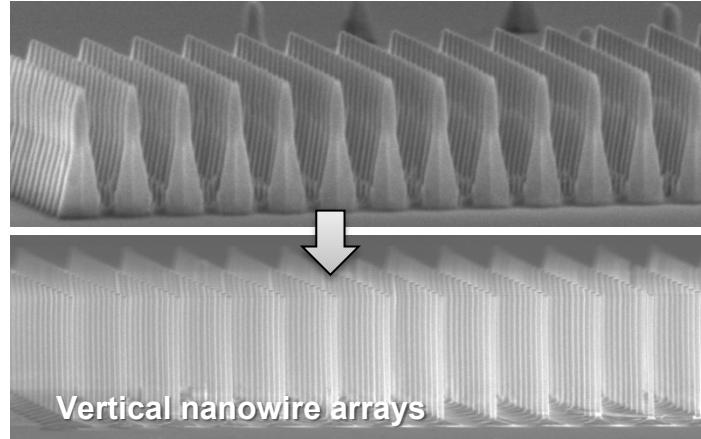


## 4. Mature & scalable materials & device platform (commercial UV-visible, LEDs, lasers)

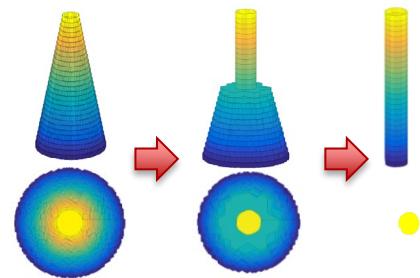
# Enabling Capability: Top-Down 3D GaN Nanofabrication

## High quality, smooth & damage-free GaN-based nanostructures

### Two-step dry + wet (KOH-based) GaN vertical etch



### Simulation of facet etch evolution

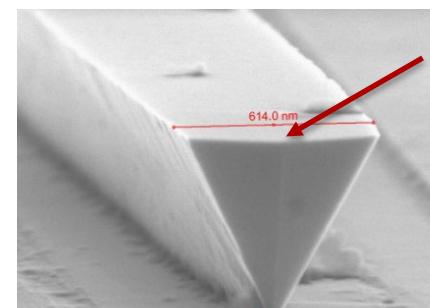


Q. Li et al., *Optics Express* **19**, 25528 (2011)

Q. Li et al., *Opt. Exp.*, **20**, 17873 (2012)

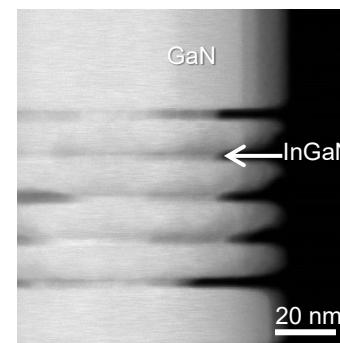
Li, Changyi, et al., *Nanoscale* **8**, 5682 (2016). ...etc.

### GaN undercut etch



Sharp emitter geometry for enhanced field emission

### (In)GaN lateral etch

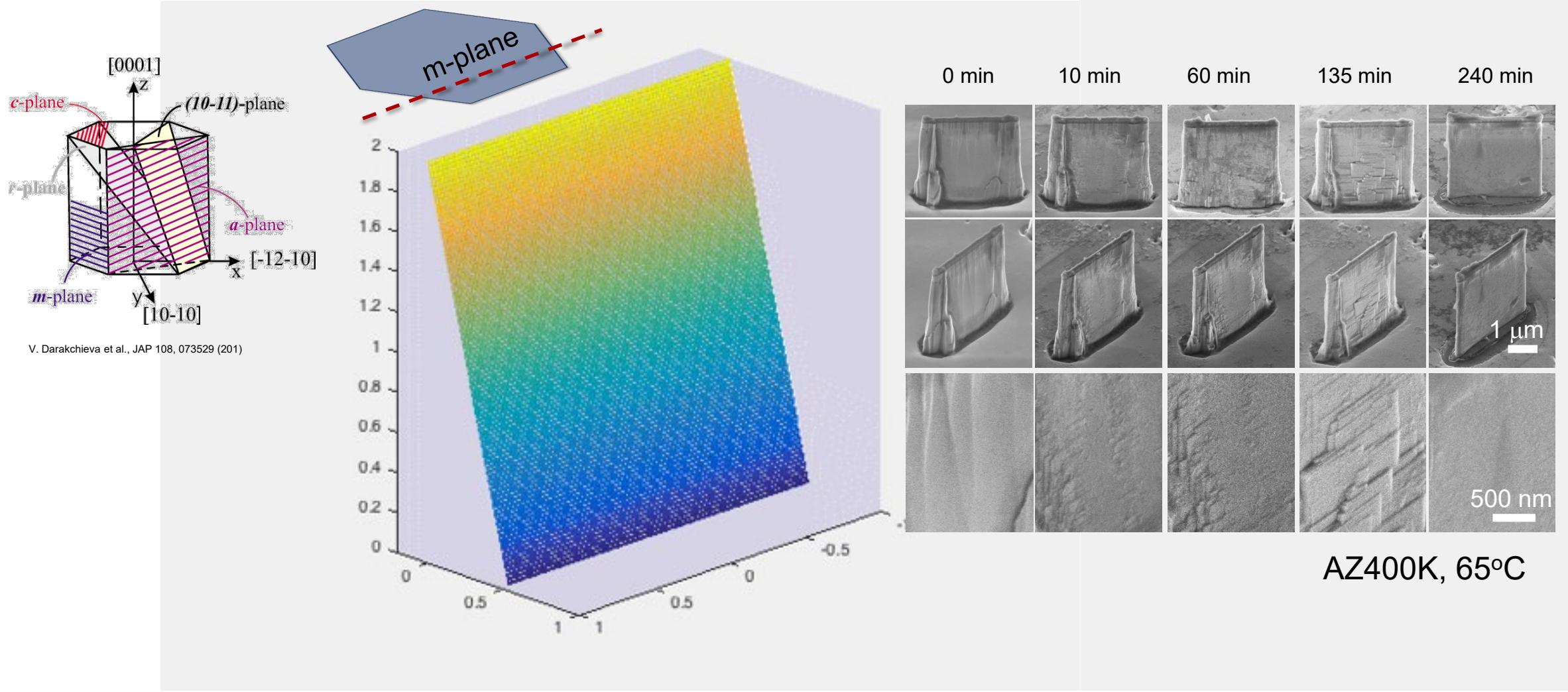


Selective removal of quantum wells allows for **ultrathin** vertical nanogap vacuum channel

Xiao et al, *Elec. Acta* **162**, 163 (2015)

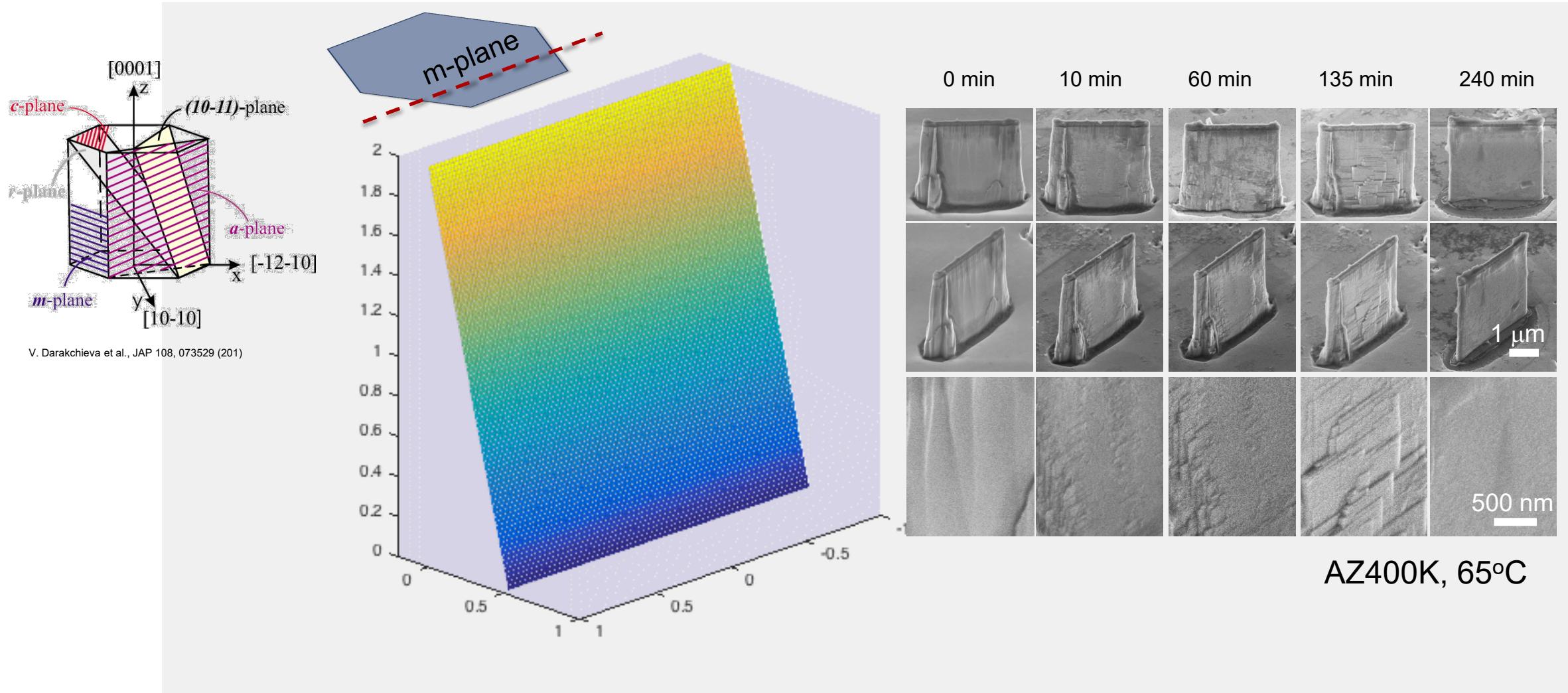
# Etch evolution of *m*-plane wall

Etch mask defined parallel to *m*-plane

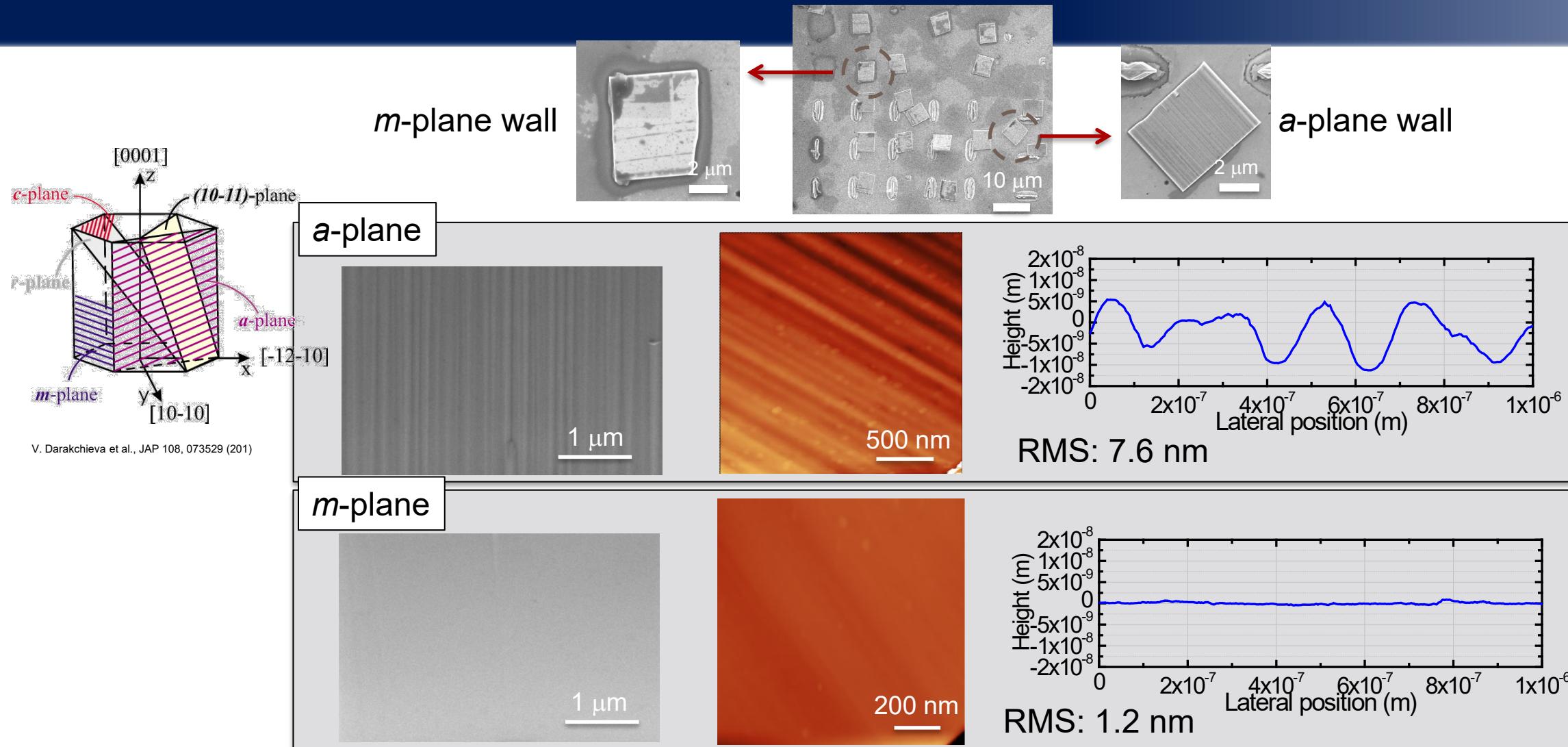


# KOH-based Wet etch evolution of *m*-plane wall

Etch mask defined parallel to *m*-plane

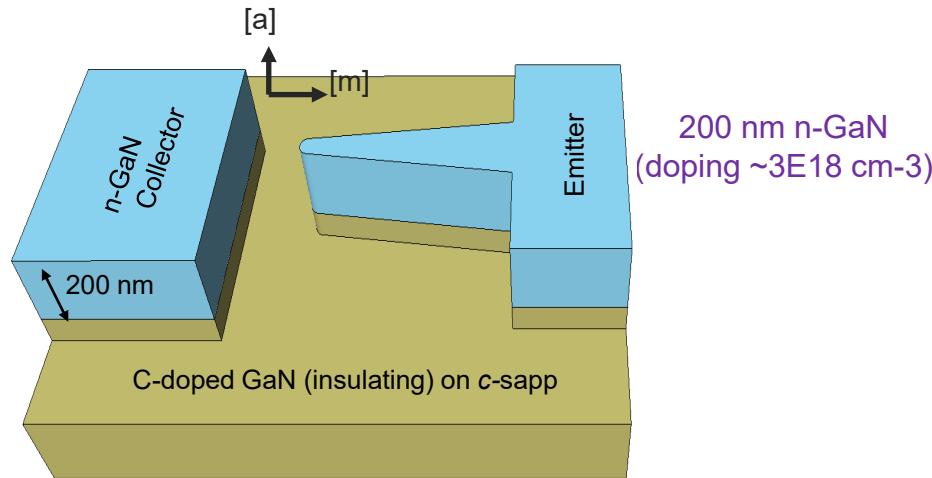


# Measured wet etched nanowall morphology by AFM

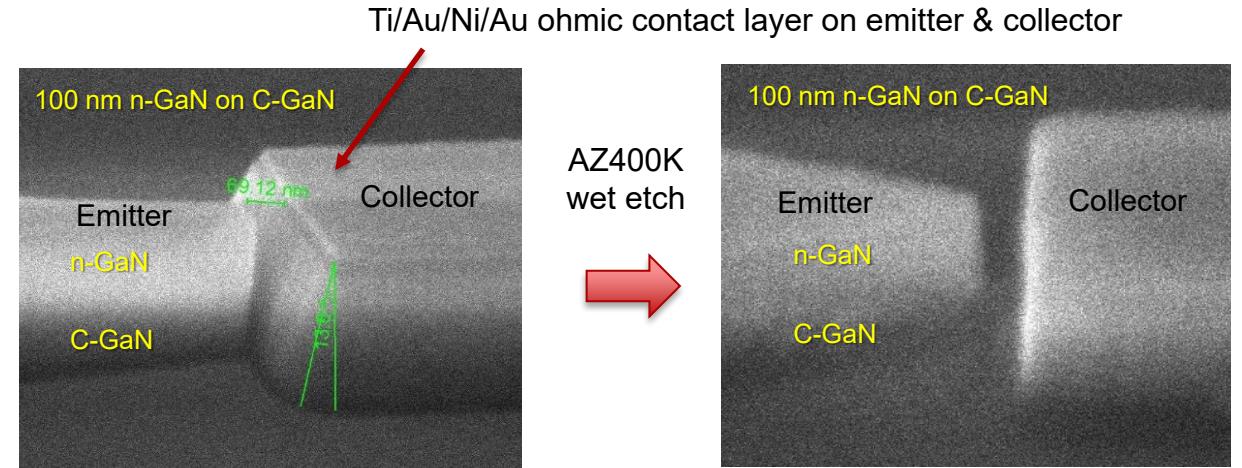


# Fabrication: Integrated, lateral GaN nanoscale vacuum electron diodes

## Lateral GaN vacuum nanodiode structure



## III-N top-down fabrication process



### ICP dry etch:

**Angled side walls – variable gap size, possible shorting at bottom, plasma sidewall damage**

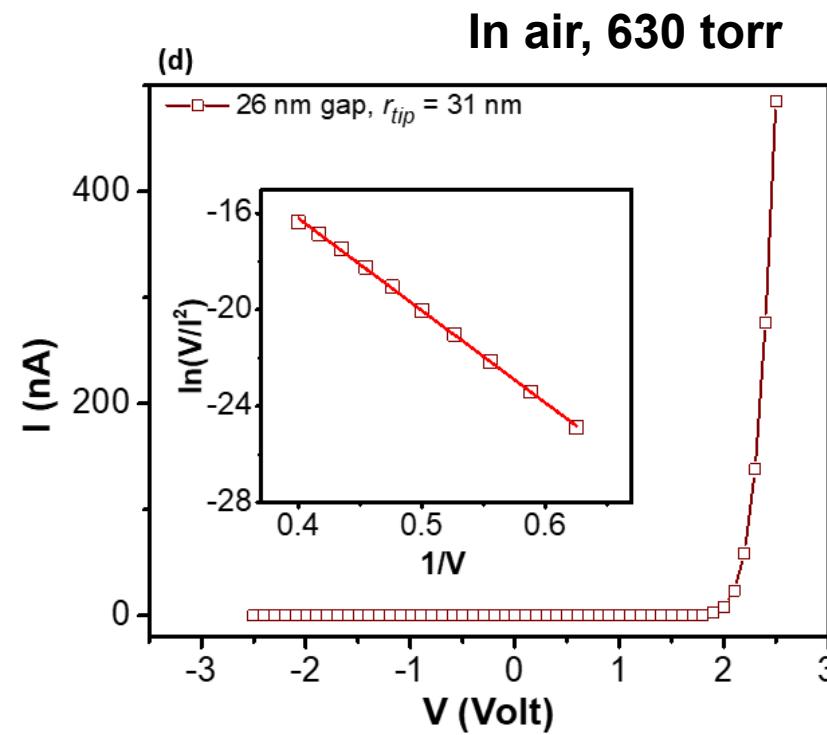
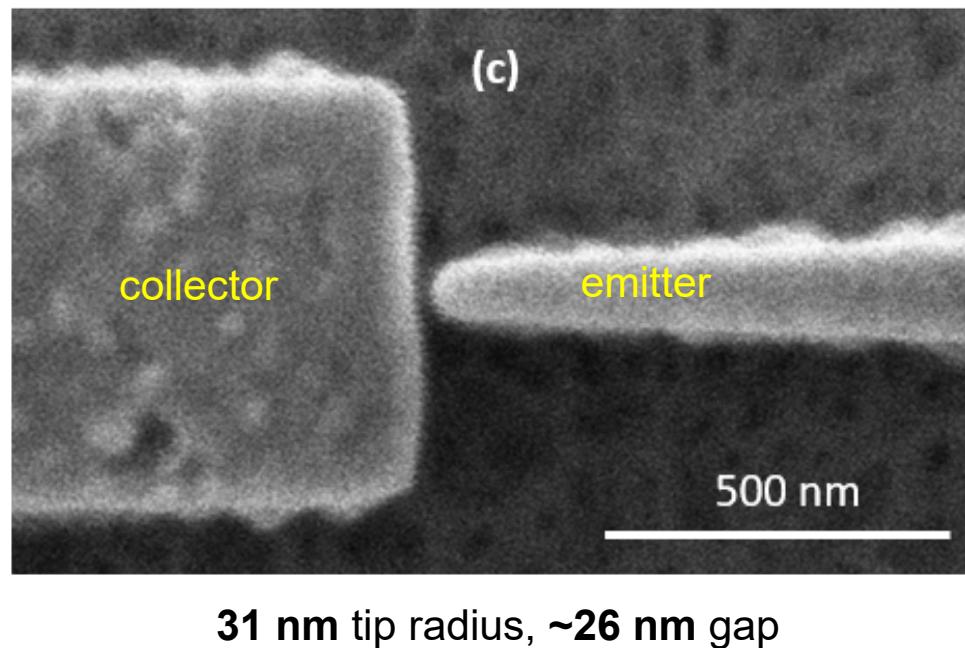
### + AZ400K wet etch:

**Vertical side walls, cleared gap, removed sidewall damage, smoother m-face collector**

**~30 nm gaps and ~20 nm radius emitters routinely achievable!**

# Working monolithic, on-chip GaN nanoscale vacuum electron diodes!

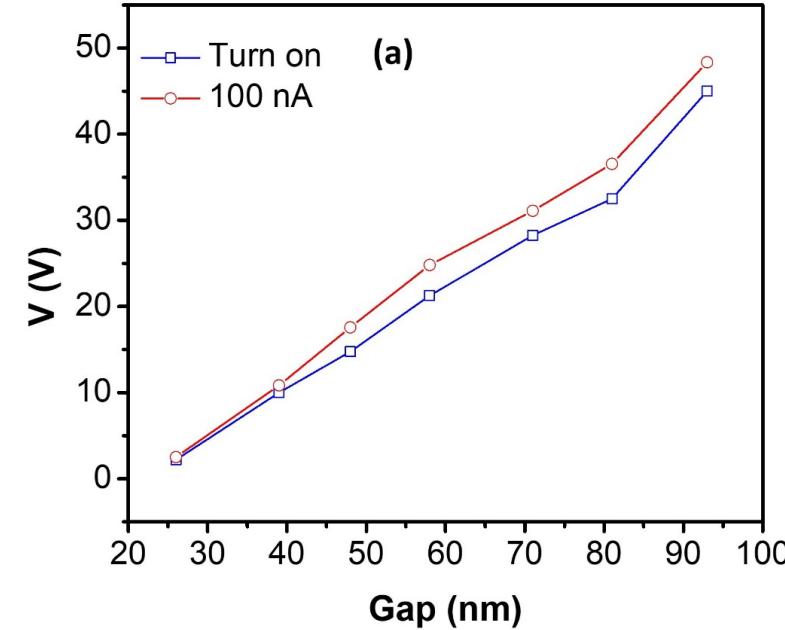
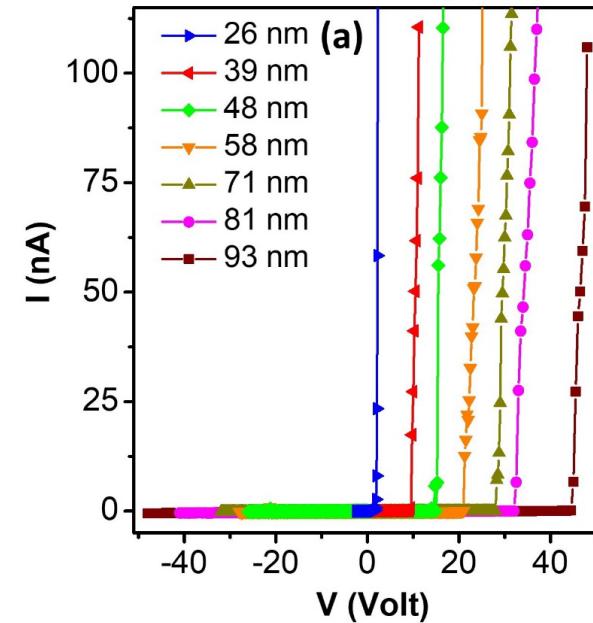
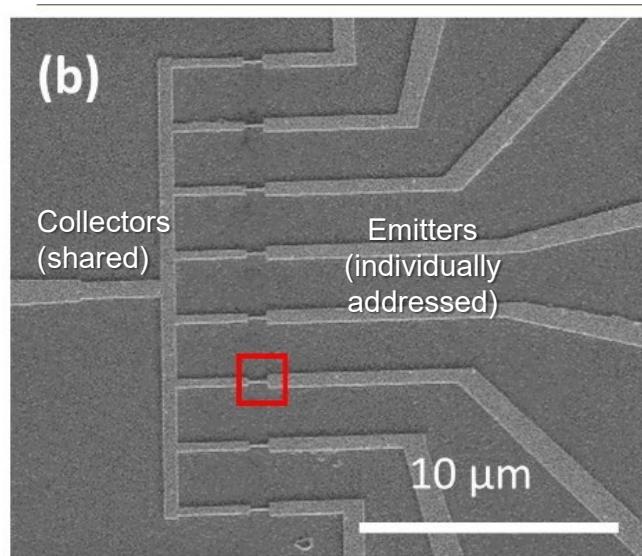
- Emitter tip radius  $\sim 31$  nm; Nanogap size (emitter-collector separation)  $\sim 26 \pm 5$  nm
- Low turn-on voltage ( $V_{on}$ ) of  $\sim 1.8$  V, high emission current ( $I_e$ ) of  $\sim 485$  nA at 2.5V!
- **Field emission observed in air at atmospheric pressure (630 torr)!**
- I-V data good linear fit with the Fowler-Nordheim plot (confirms cold field emission)



# Nanogap Size Dependency of the Field Emission

**Devices with seven nanogap sizes from ~26-93 nm were fabricated**

- Emitter tip radius  $\sim 32 \pm 2$  nm
- Field emission observed **from all seven devices (100% yield) in air** (atmospheric pressure), with very sharp current increase after turn on (turn-on  $V_{on} = V \geq 100$  pA)
- I-V data: linear fit to the Fowler-Nordheim field emission equation (not shown)
- **Turn-on voltage increases linearly with increasing gap size**

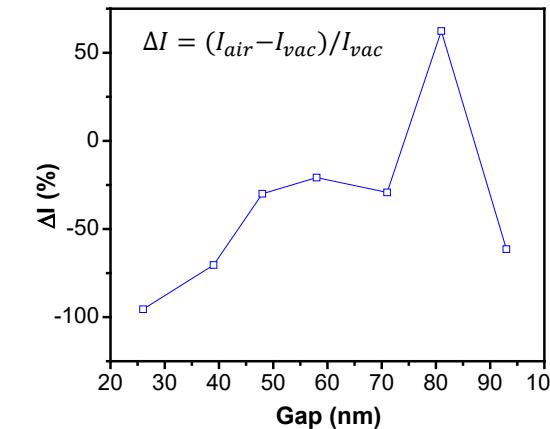
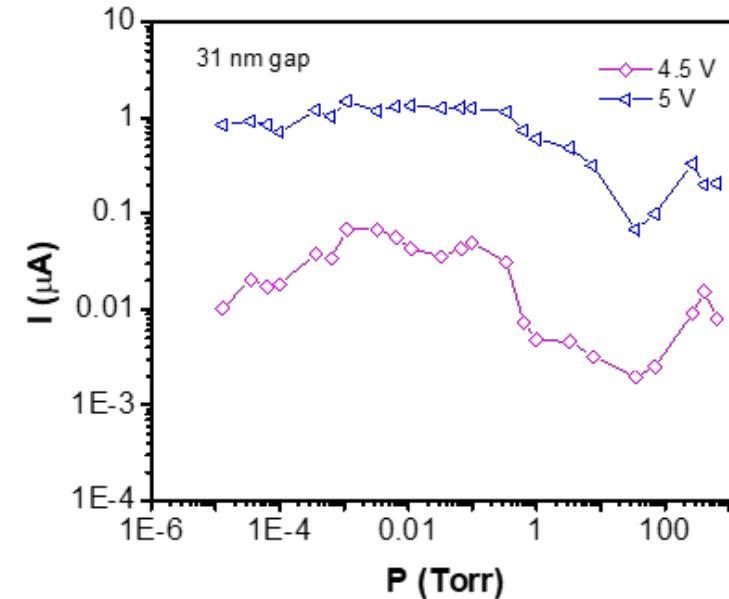


# Effect of Pressure on Nanogap Field Emission

- **Assumption:** nanogap is vacuum-like if nanogap size << electron mean free path in air
- ***Does pressure actually affect field emission of nanogap device in this regime?***

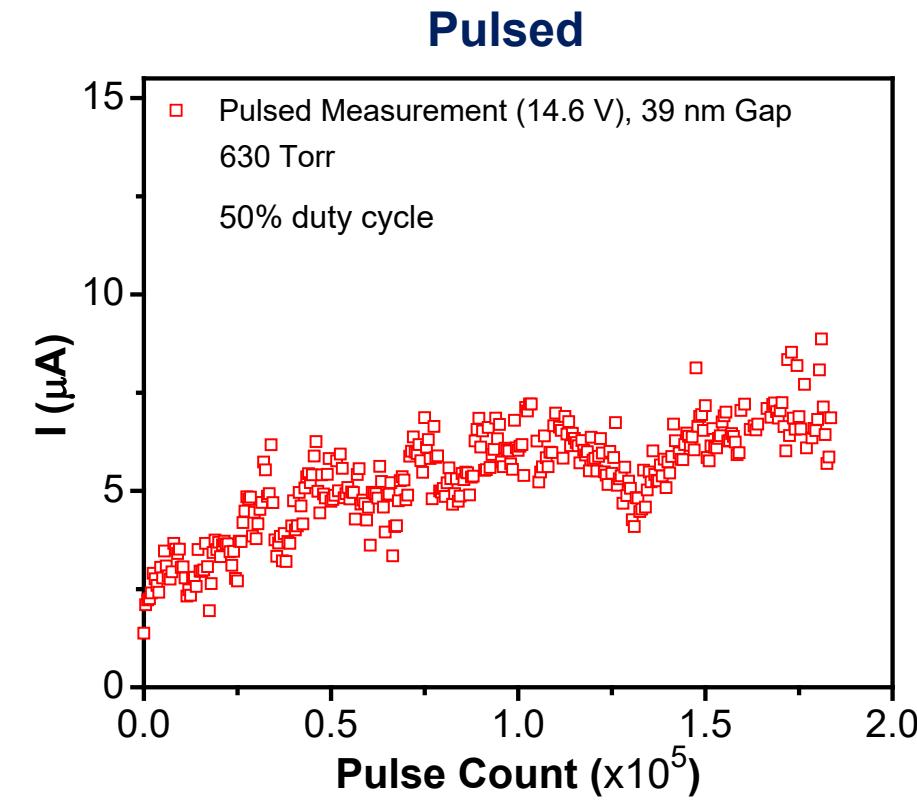
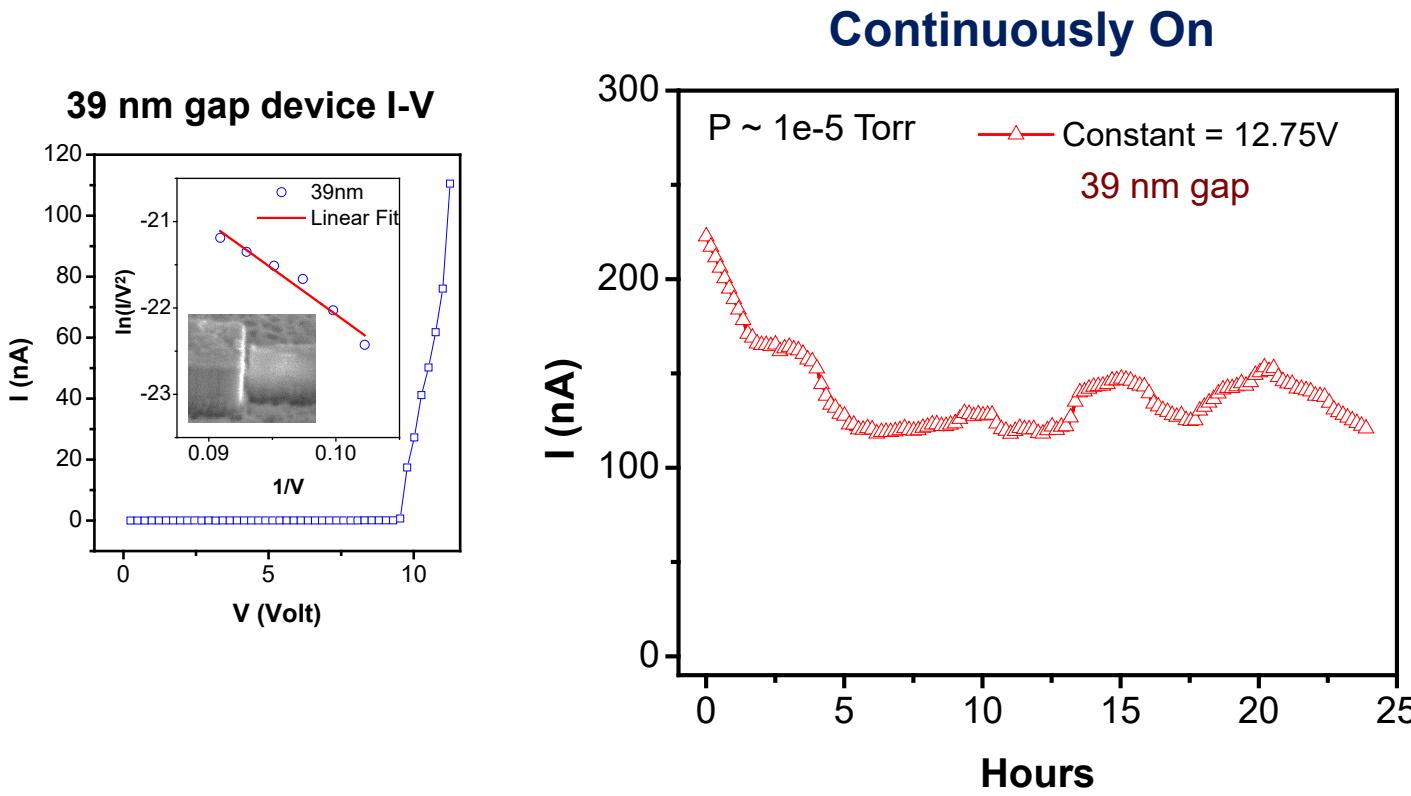
**Lateral GaN nanogap diodes measured from 5e-6 to 630 Torr (8 orders of magnitude)**

- Performance at atmospheric pressure near to that at high vacuum (within factor of 10), can be compensated by slight boost in operating voltage
- *However, field emission is affected by pressure, but behavior complex (non-monotonic relationship)*



# Reliability Measurements of GaN Nanogap Device (39 nm gap)

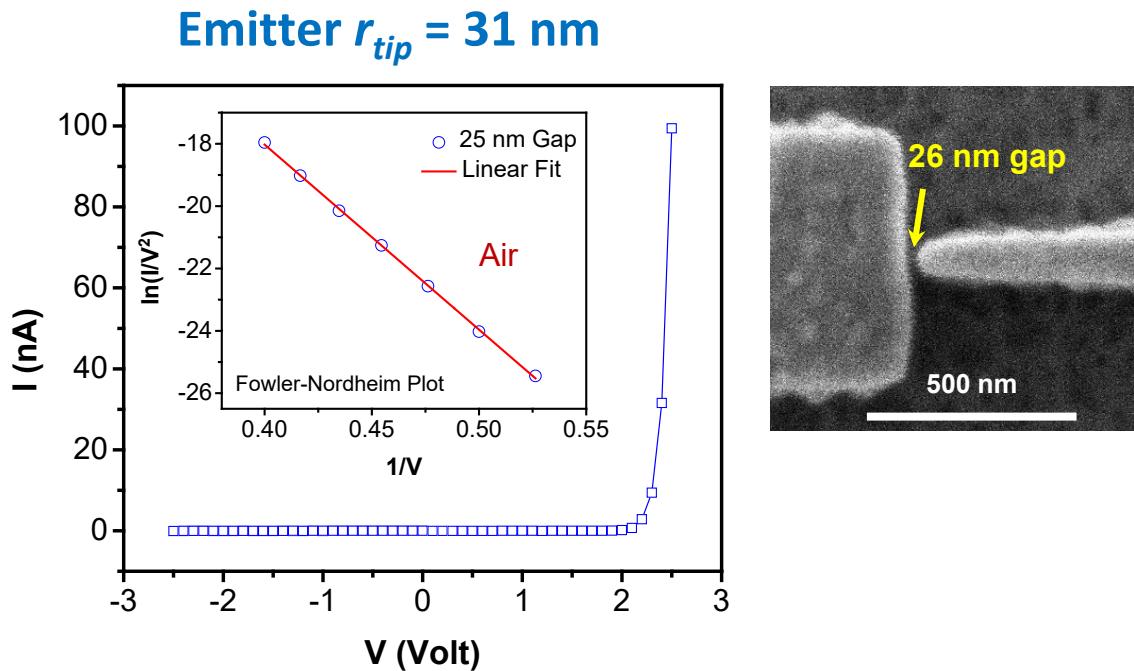
- **Continuously on** measurement: drop in current over first few hours, then stabilizes. Device performs for at least ~24 hours during continuous measurement (other device measured to 55 hours)
- **Pulsed** measurement: No degradation after  $1.8 \times 10^5$  pulses at high currents (few  $\mu$ As) (# pulses limited by measurement equipment)



# Effect of Emitter Tip Size on Field Emission

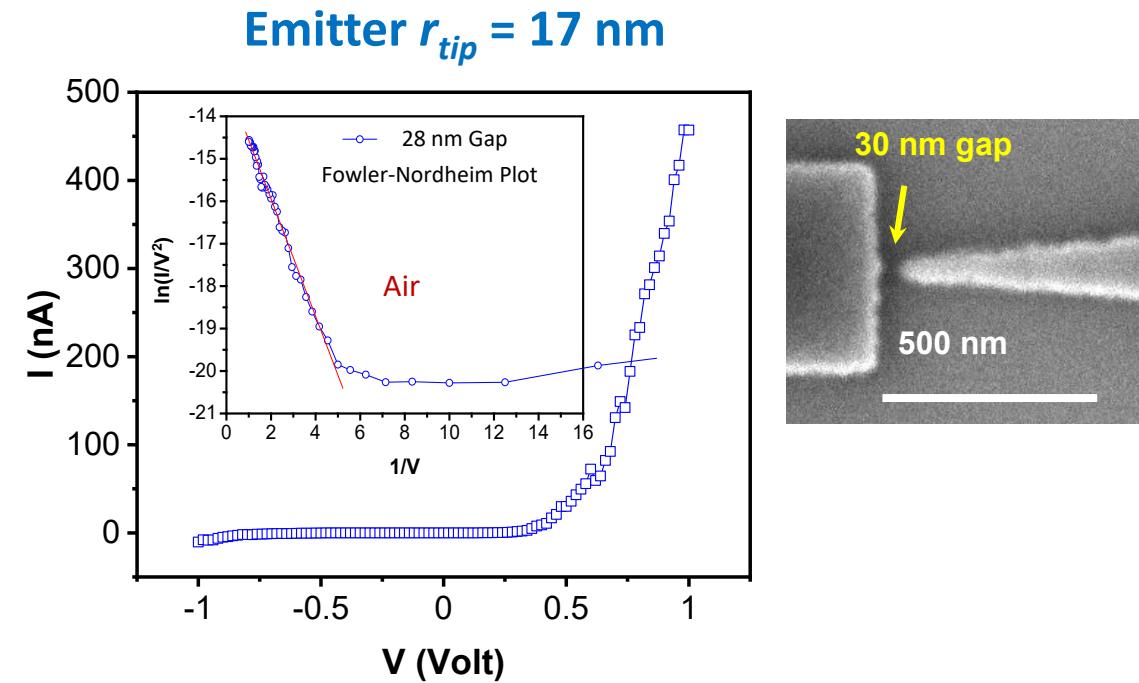
Sharper emitter is desired for lower voltage field emission

- Increases field enhancement  $\beta$  (depends on geometry)



- Field enhancement factor ( $\beta$ ) = 32
- Turn on voltage = **1.9 V** @ 50pA

$$J = A \left( \frac{\beta^2 V^2}{\phi d^2} \right) \exp \left( -\frac{B \phi^{3/2} d}{\beta V} \right)$$



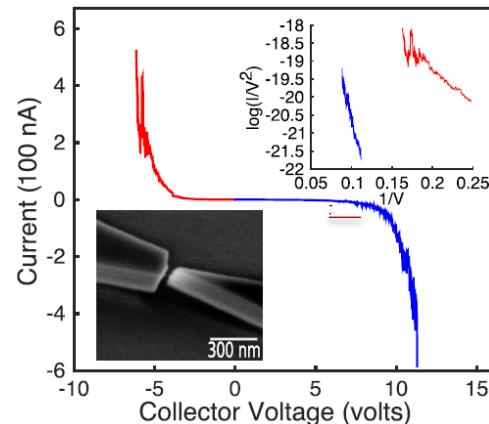
- Field enhancement factor ( $\beta$ ) = 920
- Turn on voltage = **0.24 V** @ 50pA

Ultra-low turn-on voltage < 1 V achieved with 17 nm radius emitter!

# Comparison of GaN to previous Si and SiC nanogap vacuum diodes

## n-Silicon (200 nm) on 2000 nm SiO<sub>2</sub>

W.M. Jones et al., APPLIED PHYSICS LETTERS 110, 263101 (2017)



Gap/channel size: 22 nm

Tip radius: ~15 nm (est.)

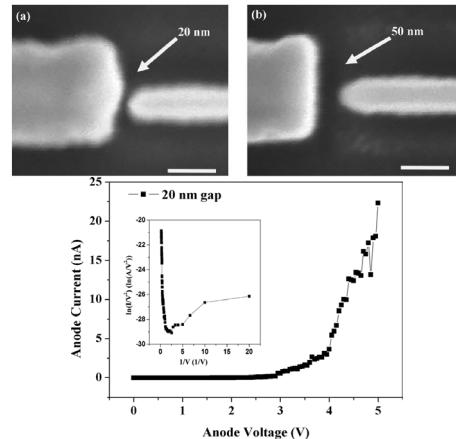
V<sub>on</sub>: **~3.5 V**

I<sub>e</sub>: 100 nA at ~4.8 V

In air? **No**

## SiC nanowire (CVD grown & cut by FIB)

M. Liu et al., Journal of Vacuum Science & Technology B 35, 031801 (2017); (R<sub>wire</sub> = 0.015 Ohm-m.)



Gap/channel size: 20 nm

Tip radius: ~20 nm

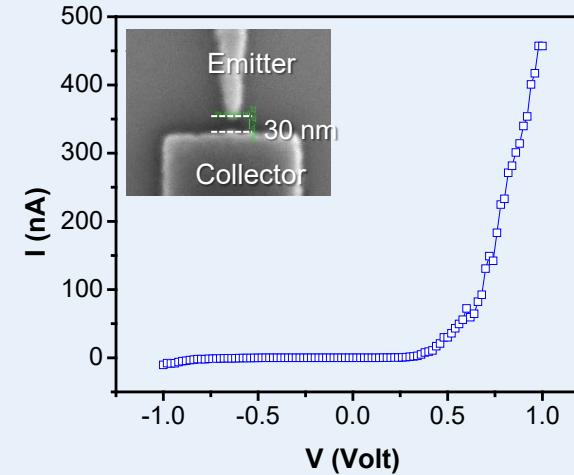
V<sub>on</sub>: **~2.6 V (est.)**

I<sub>e</sub>: 22 nA at ~5.0 V

In air? **No**

**Note: V<sub>on</sub> = V ≥ 100 pA for all cases**

## This Work: n-GaN (200 nm) on C-GaN



Gap/channel size: 30 nm

Tip radius: ~17 nm

V<sub>on</sub>: **~0.24 V**

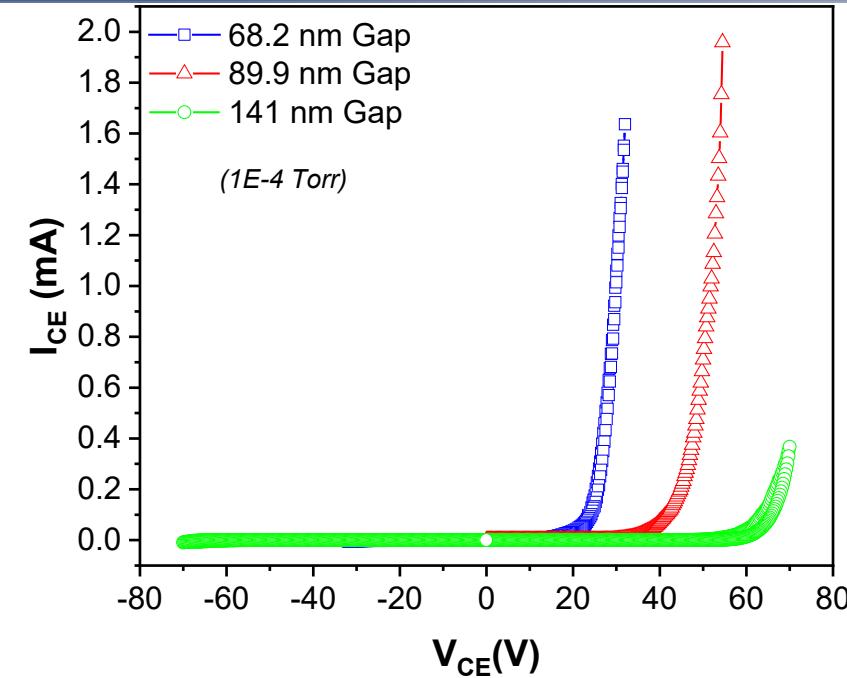
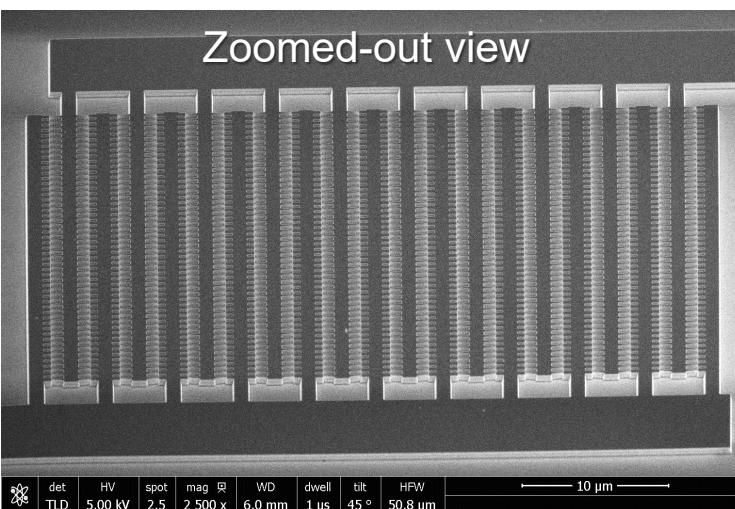
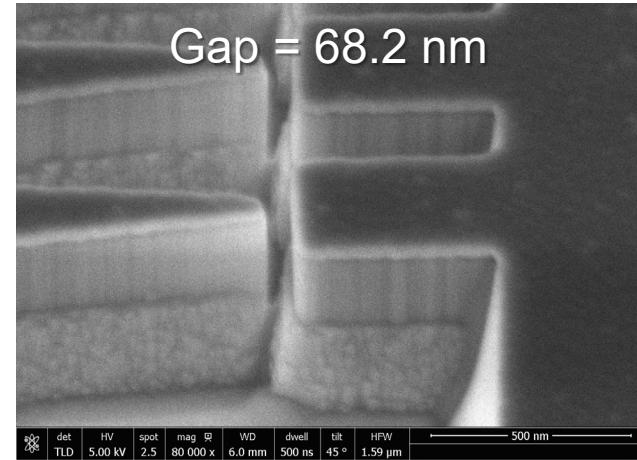
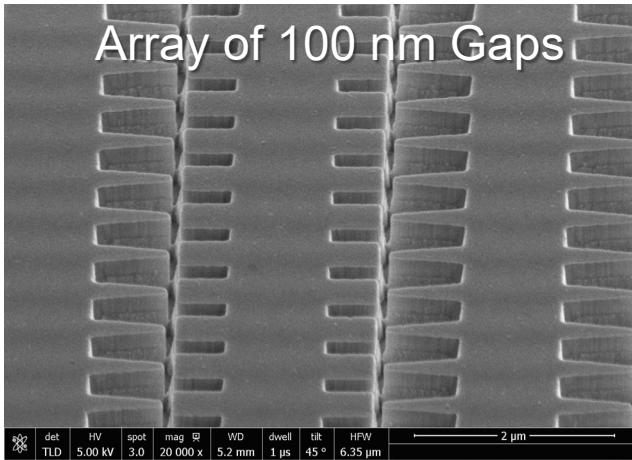
I<sub>e</sub>: **~457 nA at ~1.0 V**

In air? **Yes**

\*K. Sapkota et al., *Nano Lett.* 21, 1928 (2021)

**GaN nanoscale vacuum electron diode shows far superior performance vs previous Si and SiC devices!**

# High-current, 1000 vacuum nanodiode arrayed device!



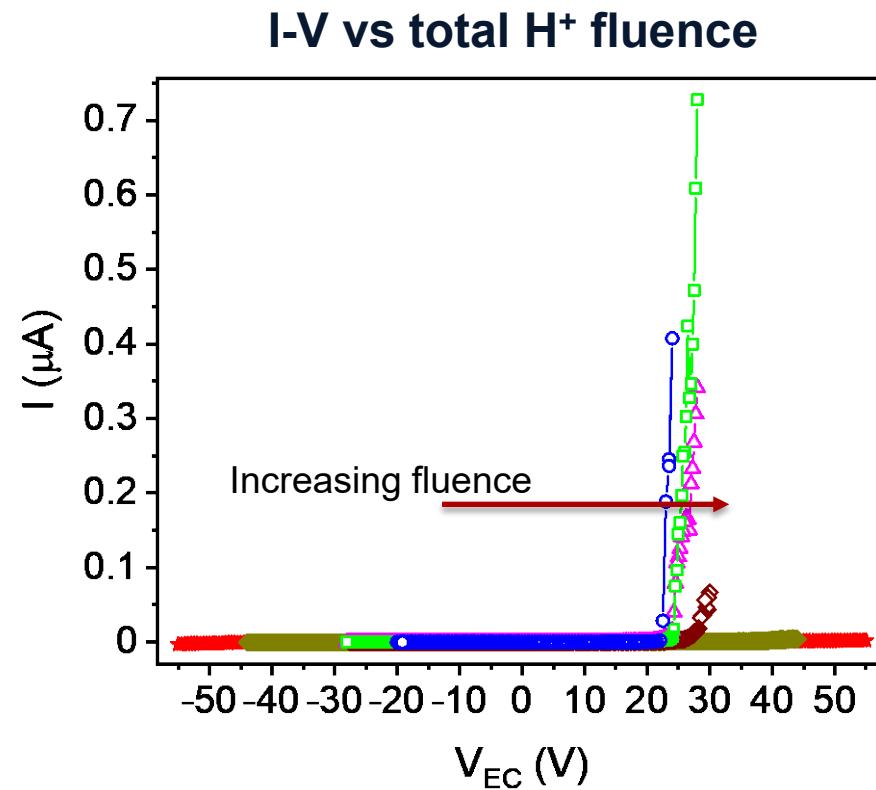
- Proof-of-concept array of 1000 connected GaN vacuum nanodiodes with  $\sim 2$ mA field emission current
- Array design can provide higher current, improve reliability, and device predictability compared to single devices
- Achieved current density 171 A/cm<sup>2</sup> (semiconductor record?)!
- Scale-up potential to amps of current?!

# 2.5 MeV Proton Irradiation Studies

Acknowledgement: George Burns,  
Michael King, Edward Bielejec

Done at Light Ion Microbeam (Pelletron) at IBL

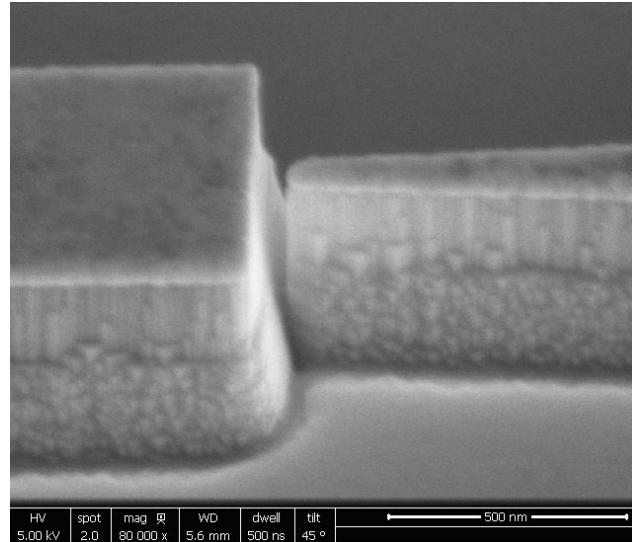
- **Trend of slightly decreasing current up to certain fluence**, possibly due to carrier compensation in n-GaN due to defect formation (e.g. Ga vacancies), but **no substantial degradation**.
- At higher fluence, significant increase in  $V_{on}$  observed and eventually no field emission current observed at highest fluence



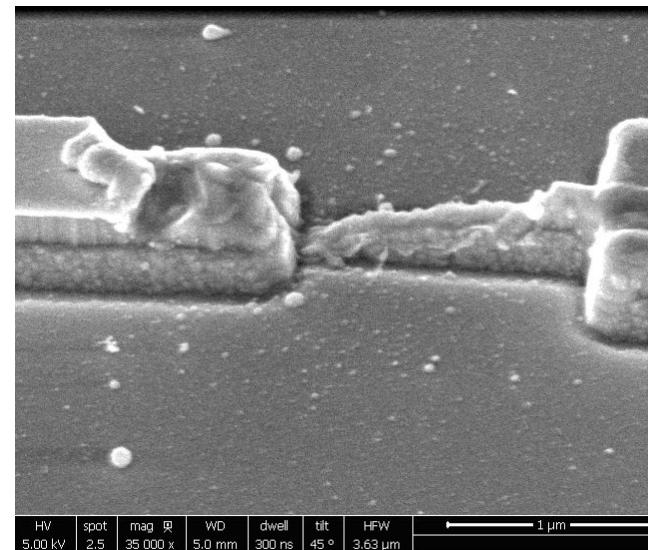
# 2.5 MeV Proton Irradiation Studies

- SEM shows **physical damage at highest fluence after** exposure & I-V measurement, likely due to Joule heating from increased resistivity based on damage occurring only at narrow emitter & collector regions.
- Very high damage threshold, likely due to GaN, vacuum channel, and small interaction volume
- Other radiation testing currently underway (e.g. electron, neutron)

**Before proton exposure**

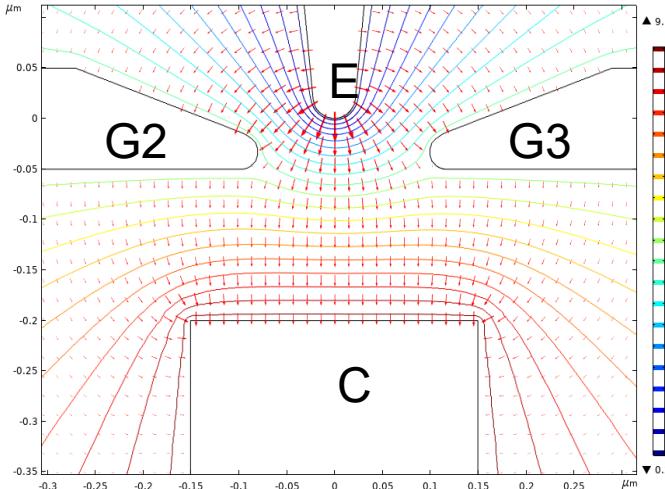


**After exposure & I-V**

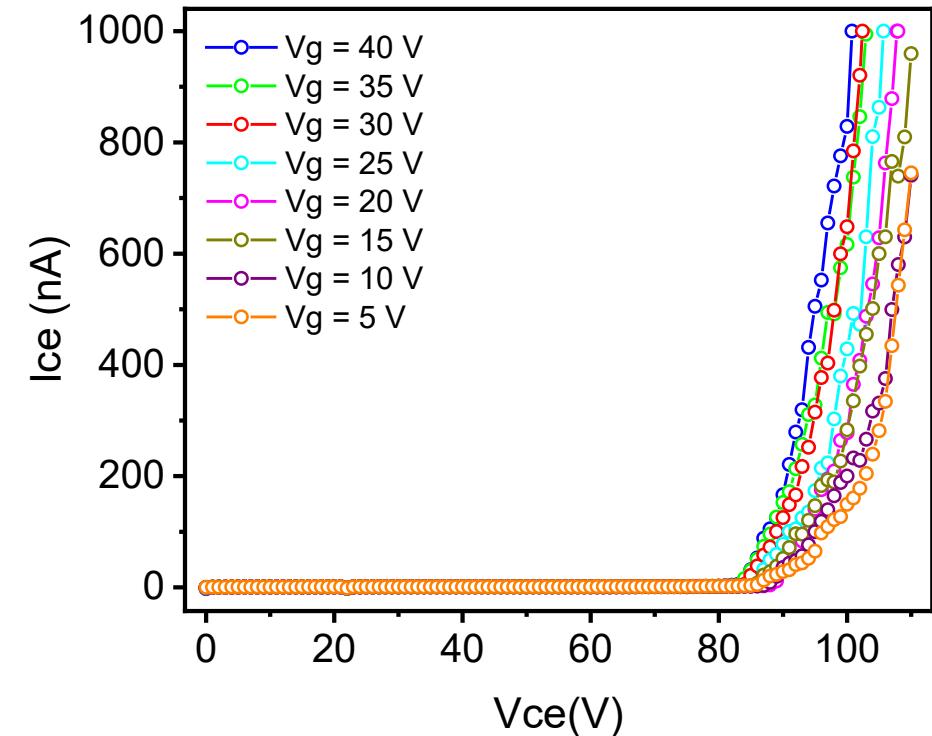
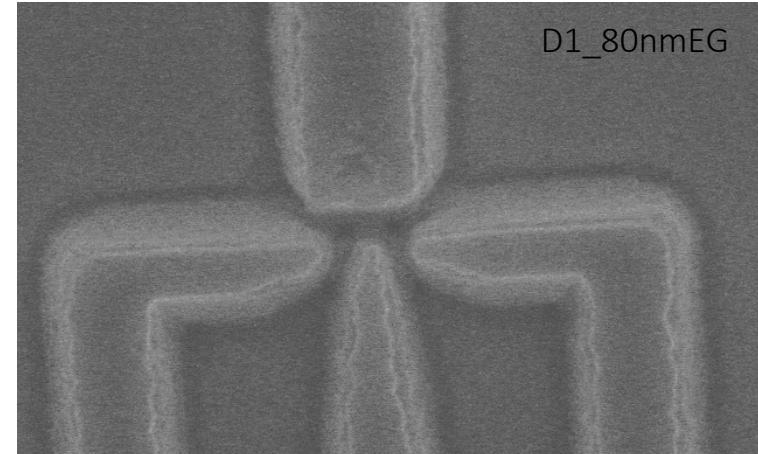


# Lateral GaN nanogap field emission transistor

Electric field modulation simulation (COMSOL)

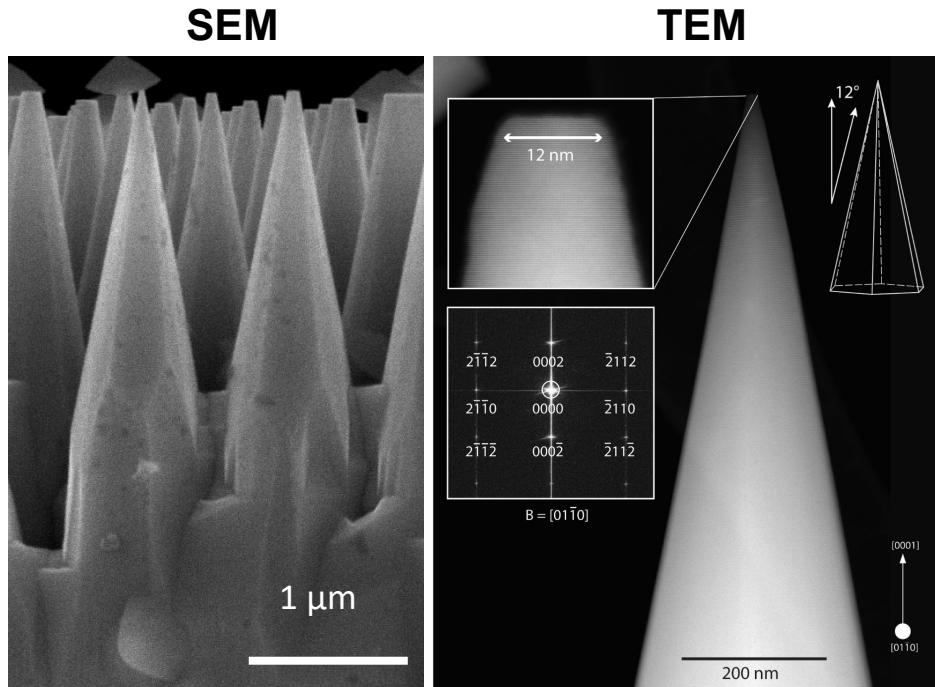


SEM – fabricated GaN lateral vacuum nanogap transistor



- Transistor – additional circuit element needed for various devices
- First lateral GaN vacuum nanotransistor demonstrated
- Gate voltage decreases the turn on, increases current (expected)
- Gate electrodes act as field emitter at  $V_g \leq 5V$
- Other designs (e.g. top gate, back gate) need exploring to increase response to  $V_g$

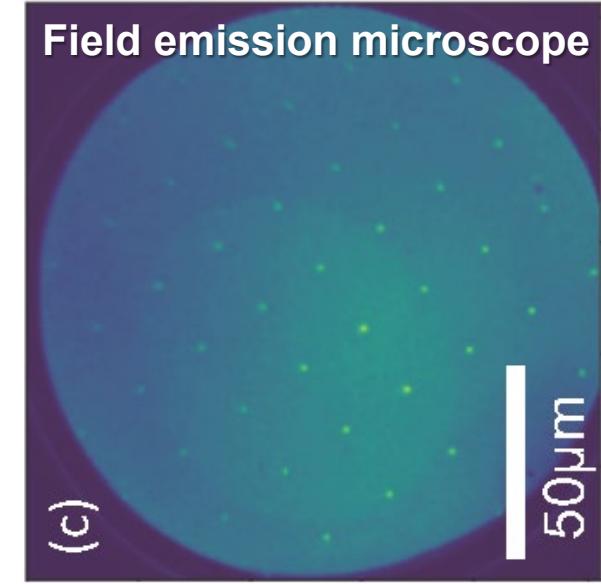
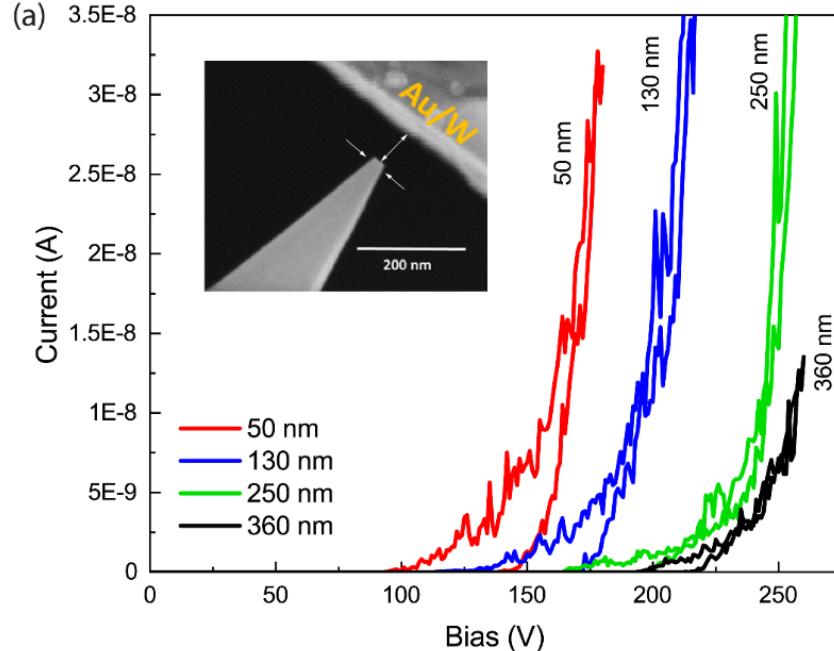
# Vertical GaN nanowire field emitter arrays



w/B. Kazanowska, K. Jones, UF

- Developed **new  $H_3PO_4$  etch** for tapered, vertical GaN nanowire fabrication\*
- Field emission microscope: very uniform turn-on across nanowire array
- Can serve as field emitter arrays for **vertical** GaN vacuum nanoelectronic architectures.

## Field emission measurements (in-situ SEM)

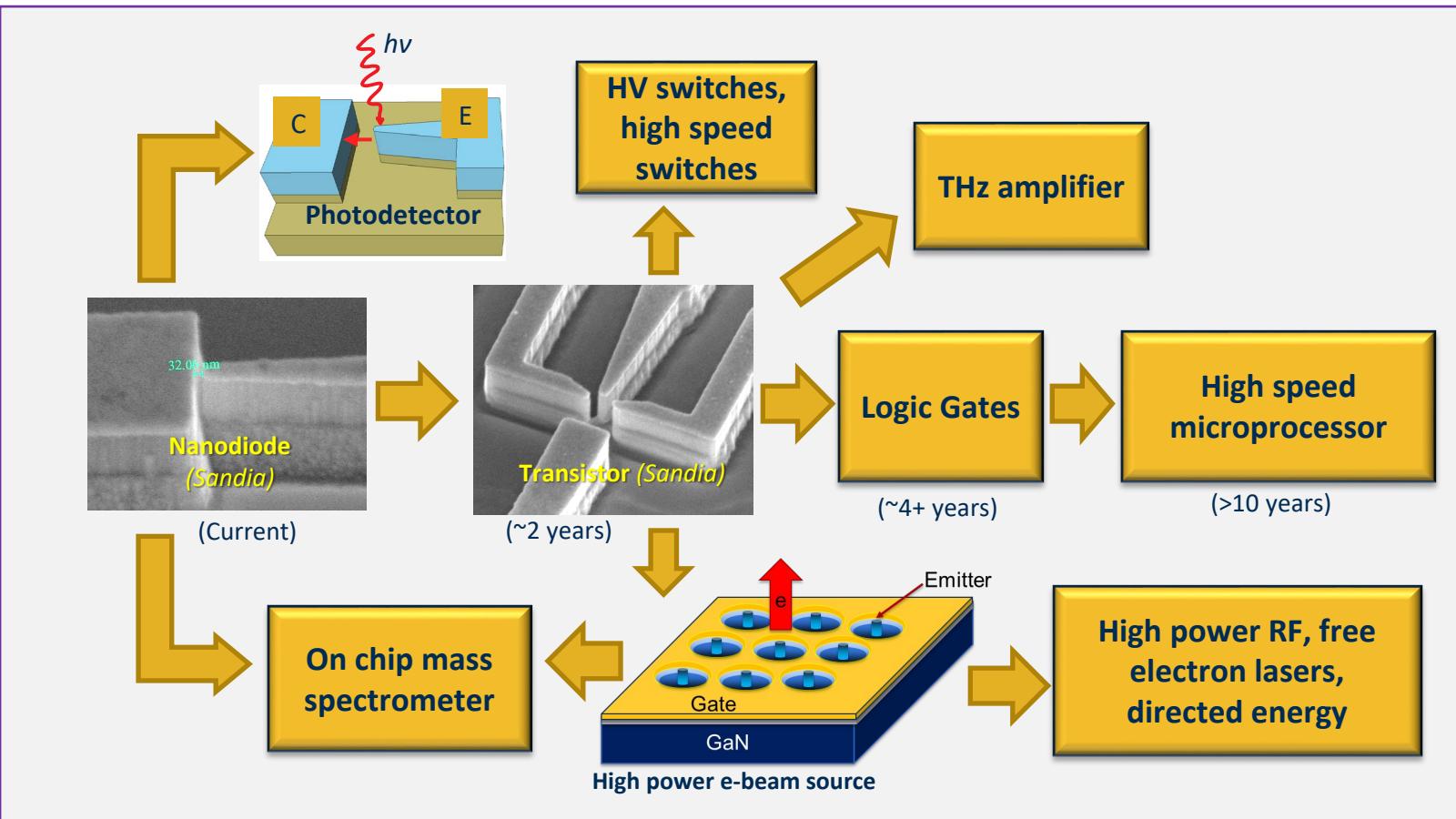


E. Bussmann, T. Ohta, SNL

\*B. Kazanowska et al., *Nanotechnology* **33** 035301 (2022)

# Future directions for nanogap vacuum nanoelectronics?

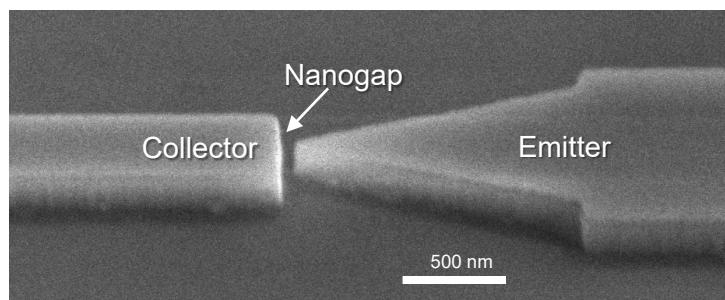
## Speculative/aspirational “roadmap”



- What are the potential “killer” or niche apps for nanogap vacuum electronics?
- High speed microwave/RF devices
- High speed logic circuits
- On-chip electron sources
- Detectors
- Radiation hard & high temperature [opto]electronics

# Conclusions

- Nanoscale vacuum nanoelectronics have the potential to combine the advantages of vacuum electron and solid-state devices: ideal medium for electron transport, no junction to damage, integratability/cost, efficiency
- High performance, on-chip lateral **GaN** nanogap field emission diodes were demonstrated using a **scalable** top-down fabrication approach: Ultra-low turn-on voltage **down to ~0.24 V is achieved in air** with high field emission current & good diode behavior. **1000 diode array with record(?) current density** demonstrated.
- Operating voltages are compatible with modern electronic circuits
- Promising for a variety of future high T, rad-hard devices but **killer & niche applications need to be identified**
- Relatively small field, needs further investment to explore **different materials & architectures** & to understand fundamental physics, performance characteristics (strengths & weaknesses), reliability, etc.

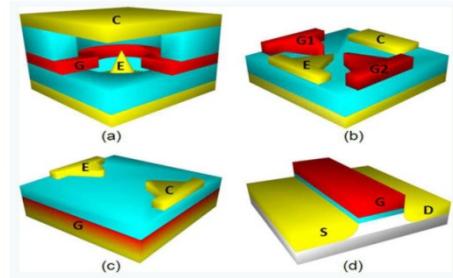


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# Backup Slides

# Vacuum Nanoelectronics: Current Status

- Relatively new field, significant new interests



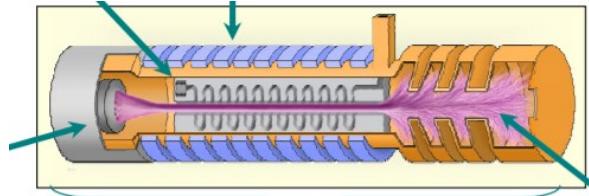
NASA's recent patented designs of Vacuum Channel FETs based on Si

## PL.3: Vacuum Electronics and the World Above 100 GHz

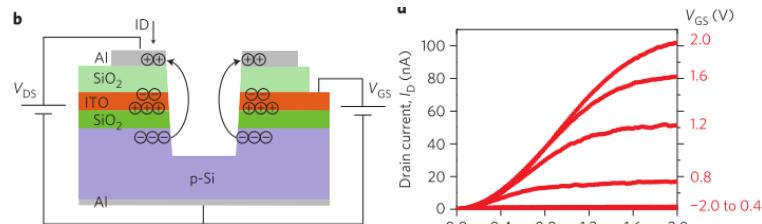
Mark J. Rosker<sup>(1)</sup> and H. Bruce Wallace<sup>(2)</sup>

(1) MTO, DARPA, Arlington, VA, 22203

(2) MMW Concepts LLC, Havre de Grace, MD 21078

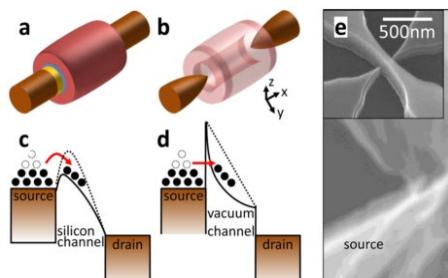


- Most current works are on Si based Vacuum Nanoelectronics



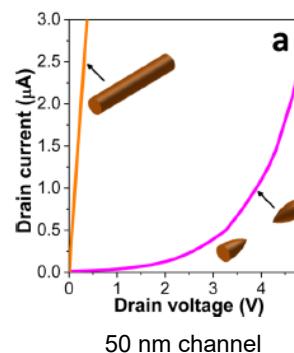
Nano-void vacuum channel on Si

Srisonphan et al., *Nature Nanotechnology* (2012)



Drum Gate VC-FET

Han et al., *Nanoletters* (2017)



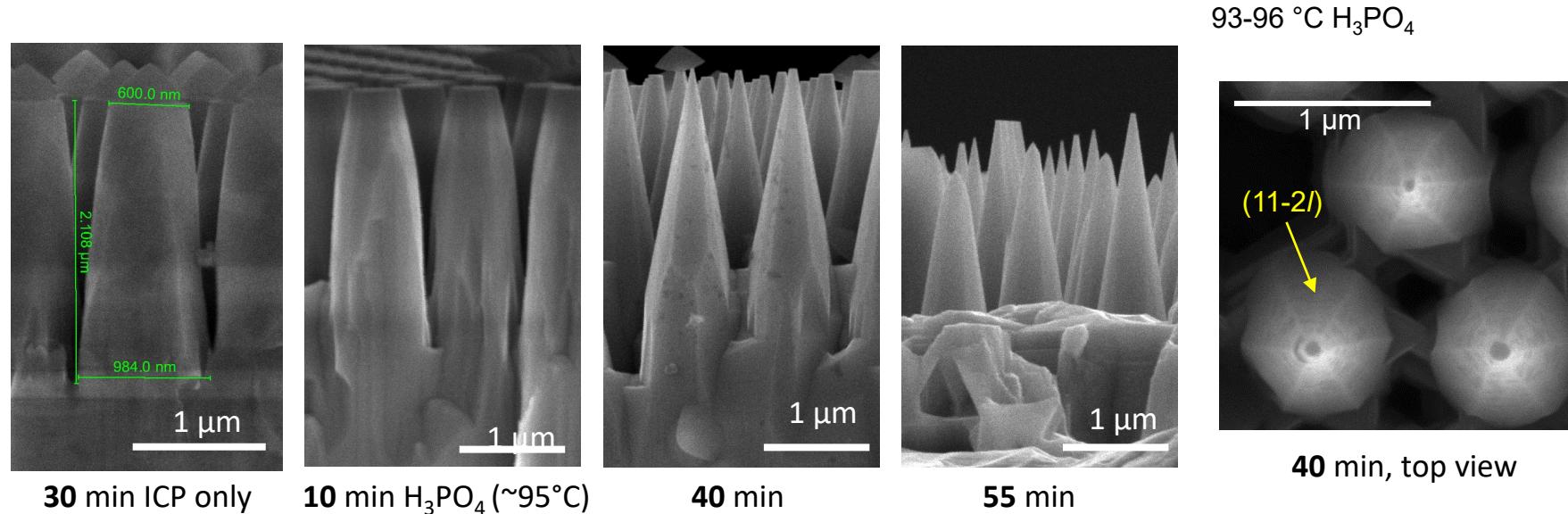
50 nm channel

## Major Challenges

- High operating voltage and low emission current
- Weak gate control and significant gate leak
- Long channel length generally defined by lithography

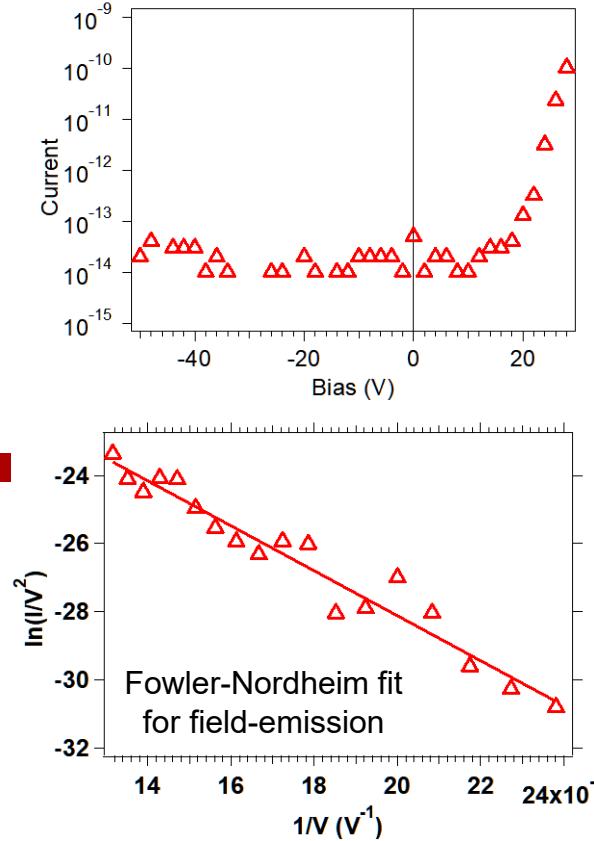
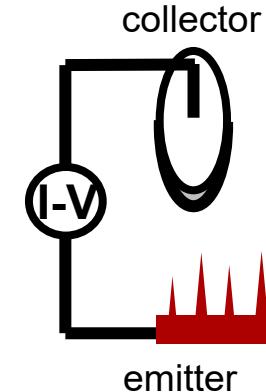
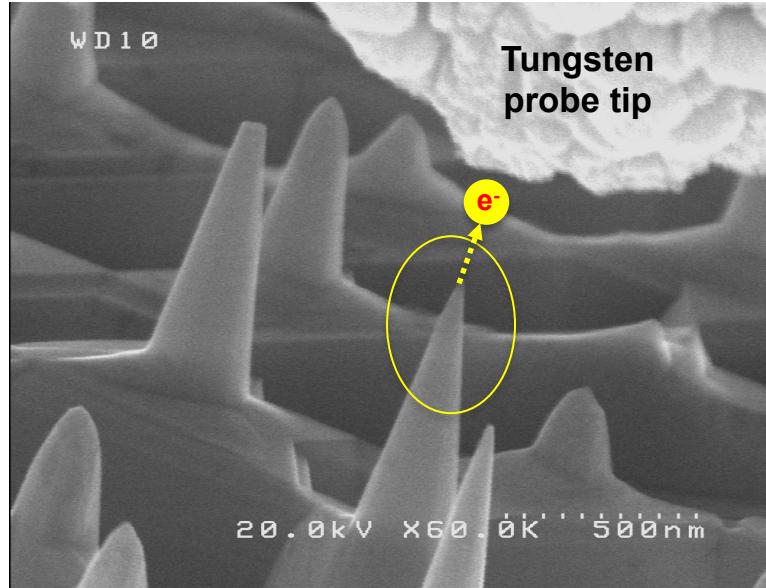
## ■ No GaN based Vacuum Nanoelectronics reported in the literature

# $\text{H}_3\text{PO}_4$ wet etching of tapered GaN nanowires



- $\text{H}_3\text{PO}_4$  wet etching of ICP dry etched GaN nanowires leads to inclined  $\{11-2l\}$  facets not seen in KOH-based etch
- Micro-faceting not observed during etch in contrast to KOH-based etch. Also top corners not “protected” as in KOH-based etch.
- Leads to “pointy” tapered nanowires instead of straight vertical nanowires

# Field emission from pointy vertical GaN nanowires



- Field emission shown from pointed GaN nanowires etched by  $\text{H}_3\text{PO}_4$
- Need sparser nanowires to decrease gap between probe and nanowire to increase current/reduce turn-on V