

Efficient prediction of equations of state and strength properties using new electronic structure methods

Damian Swift, Pat Kalita,¹ Ken McClellan,² Darrin Byler,² Per Söderlind, Sebastien Hamel,
John Pask, Eric Loomis,² Tom Lockard, Kazem Alidoost, Jim McNaney

¹ Sandia National Laboratories – managed by NTESS, LLC under contract DE-NA0003525.

² Los Alamos National Laboratory – operated by Triad, LLC under contract 89233218CNA000001.



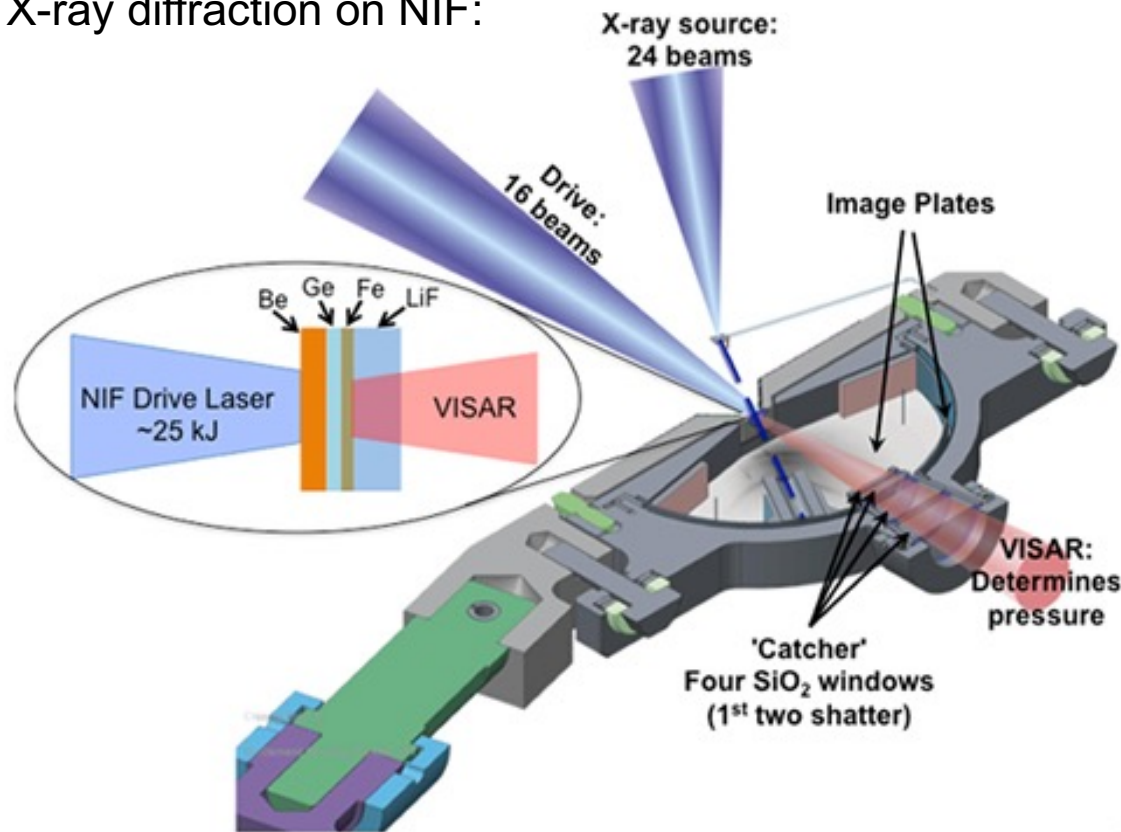
LLNL-PRES-??????

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High energy density experiments: unusual materials and states

X-ray diffraction on NIF:



Ablators: Be, C_{dia}, Cu, ...

X-ray shields: Au, Pb, Re, **Ge**, ...

Tampers: C_{dia}, LiF, MgO

Glue

TPa states, solid or liquid

10 ns timescales

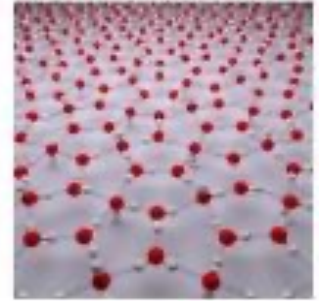
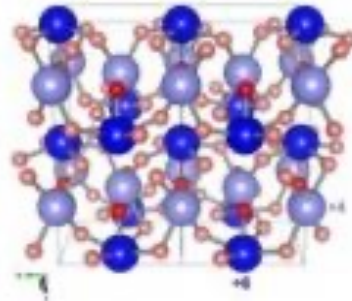
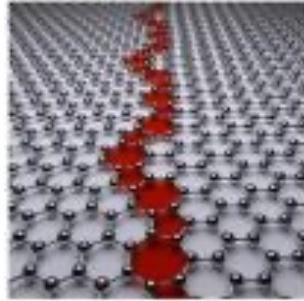
Design – Interpret – Relate to longer scales

Need: “adequate” hydrocode models, timely with experiments

No structure-searching!

Ambient, common,
seen or suspected.

Minimal (Q)MD.



Model	Approach	Issues
EOS	DFT cold curve, ion-thermal	Accuracy, sensitivity to XC
Shear modulus	DFT elastic strain	Numerical noise
Flow stress	NxSG, dislocation model	Polymorphism, availability
Conductivity	Ambient or plasma	Range, Boltzmann

Improvements: speed and accuracy of DFT

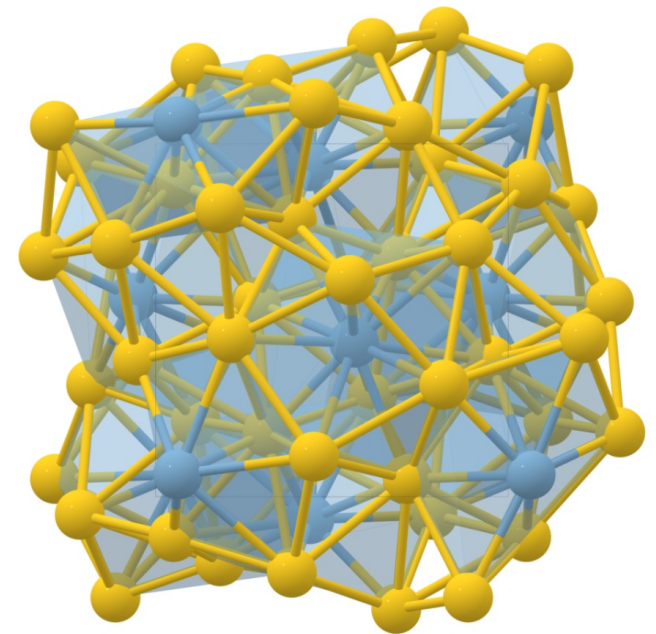
Electronic structure theory predicts EOS and (maybe) strength adequately – or better

FP-LMTO calculations:
3D multi-atom all-electron
(Per Söderlind)

SPARC-X calculations
(John Pask): fast

Less noise and uncertainty
in DFT predictions

High-pressure properties,
inc compounds and alloys



New data!

$$f(\rho, T) = f_c(\rho) + f_i(\rho, T) + f_e(\rho, T)$$

Ion-thermal EOS from elastic moduli

Boson energy for each phonon mode. Many similar; integrate to find ion-thermal EOS => details unimportant. Can represent as a few effective Debye modes, or even just one.

Estimate Debye modes from elastic moduli, or bulk and shear moduli, or longitudinal and shear wave speeds. Electronic structure: computationally easier than calculating phonons (symmetry, supercell, imaginary modes).

E. Madelung, Phys. Z. **11**, 898 (1910), A. Einstein, Ann. Phys. Leipzig **34**, 170 (1911).
O.L. Anderson, J. Phys. Chem. Solids **24**, 909 (1963).

Still used in recent literature e.g. X. Liu & H.-Q. Fan, *R. Soc. open sci.* **5**, 171921 (2018),
D.C. Swift et al, Phys. Rev. B **105**, 024110 (2022).

$$v_s = \sqrt{\frac{G_x}{\rho}}; v_p = \sqrt{\frac{B_x + 4G_x/3}{\rho}}; v_m = \left(\frac{2/v_s^3 + 1/v_p^3}{3} \right)^{-1/3}$$

Use average wave speed instead of integrating over orientations and polarizations.

$$\Theta = \frac{h}{k} \left(\frac{3nN_A\rho}{4\pi M} \right)^{1/3} v_m,$$

Hierarchy of approximations, but at least likely to predict systematic variations. Accurate if isotropic?

Grueneisen parameter: logarithmic derivative of Debye temperature $\Gamma(\rho) = \frac{\rho}{\theta_D} \frac{d\theta_D}{d\rho} = \frac{\rho}{v_m} \frac{\partial v_m}{\partial \rho} + \frac{1}{3}$

Converse: estimate G from θ_D and B. Numerical inverse of $\theta_D(\rho, v_m(B, G))$ by bisection, bracket $= (\alpha_1, \alpha_2)B$.

Dislocation plasticity model for HED conditions

Stresses high enough to cause plastic flow; wide range of pressure, temperature, strain rate; polycrystal.

Plastic relaxation rate: Orowan equation for single dislocation density: $\dot{\epsilon}_p = \frac{\eta}{M} \rho_d b \bar{v}_d$ $\bar{v}_d = bZ$

Redefine dislocation density as per atom rather than length/volume: $\phi_d = \rho_d \frac{m_a}{b\rho}$ $\dot{\epsilon}_p = \frac{\eta}{M} \phi_d Z \gamma$

Structure-dependent Burgers length-scale: $\gamma \equiv \frac{6f_v^3}{\pi}$

Dislocation hop rate: $Z = Z_0 \left[\exp \left(-N \frac{E_P - E_\tau}{k_B T} \right) - \exp \left(-N \frac{E_P + E_\tau}{k_B T} \right) \right]$

Enthalpy from applied shear stress: $\|\sigma\| v_{WS} f_v$

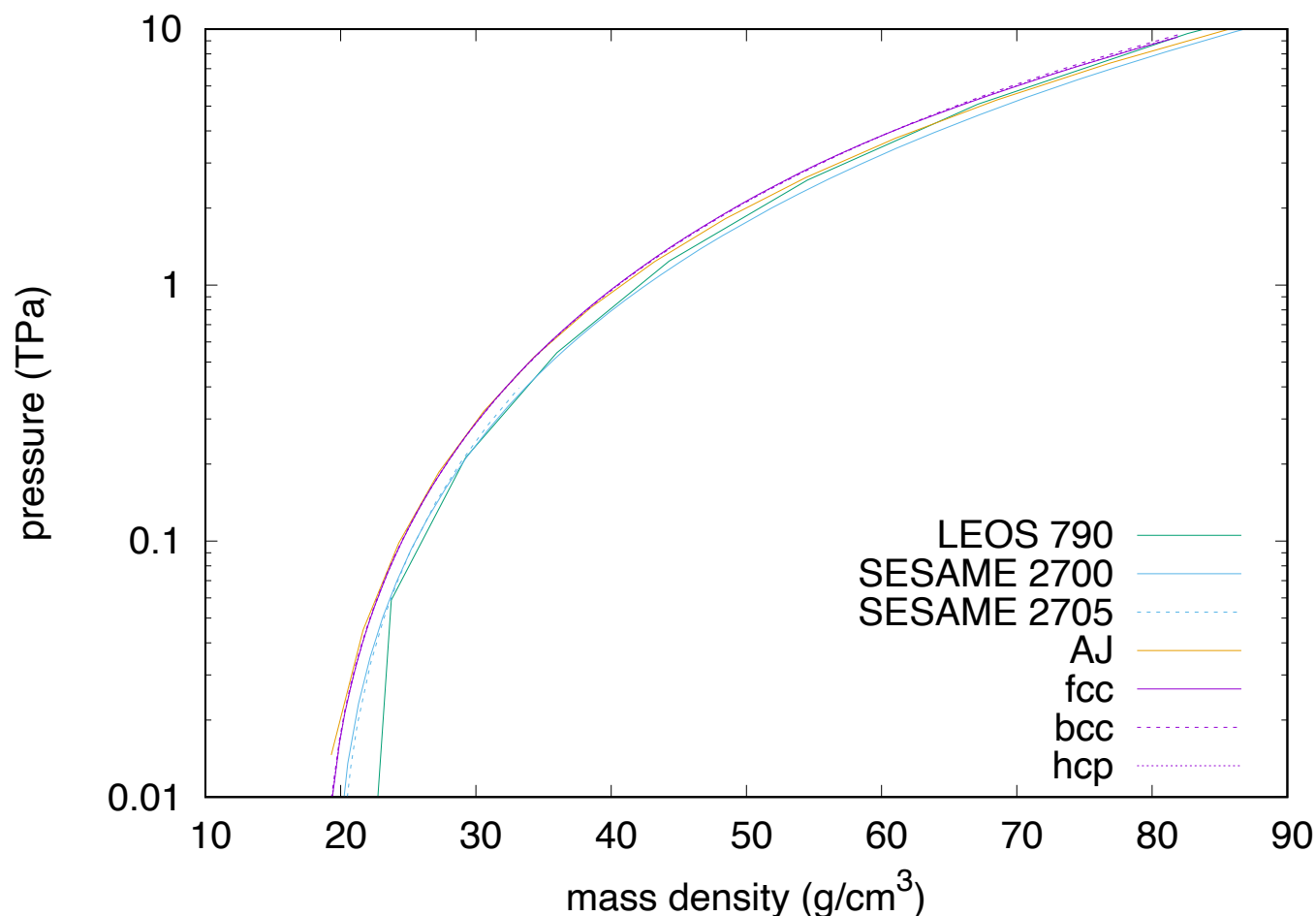
Dislocation evolution rate: $\dot{\phi}_d = \frac{\pi \phi_d}{2M} Z \left(1 - \frac{2}{\bar{L}} \right) - \frac{2\phi_d Z_a}{\bar{L}} = \frac{\dot{\epsilon}_p \pi}{\gamma} \left(1 - \frac{2}{\bar{L}} \right) - \frac{2\phi_d Z_a}{\bar{L}}$ $\bar{L} = \sqrt{\frac{2M}{\phi_d}}$

Annihilation from attraction: Z with $E_\tau \rightarrow E_m = \frac{G v_{WS} f_v}{\bar{L}}$ (strain fields from opposite Burgers vectors)

Hardening: $Z \rightarrow Z f_h$: $f_h \equiv 1 - \frac{1}{\bar{L}'}$ mean distance to dissimilar dislocation: $\bar{L}' = \sqrt{\frac{M-1}{\phi_d}}$

Parameters (vary with state): Peierls barrier E_P , hop attempt rate Z_0 , shear modulus G . Used AJ theory.

FP-LMTO cold curves for Au: more rigorous than atom-in-jellium



Per Söderlind:

- All-electron, scalar-relativistic.
- 43 electrons/atom in valence.
- fcc, bcc, hcp (relaxed c/a)
- k-points:
 - fcc, bcc ~ 3000
 - hcp ~ 1200
- Cold curves: GGA.

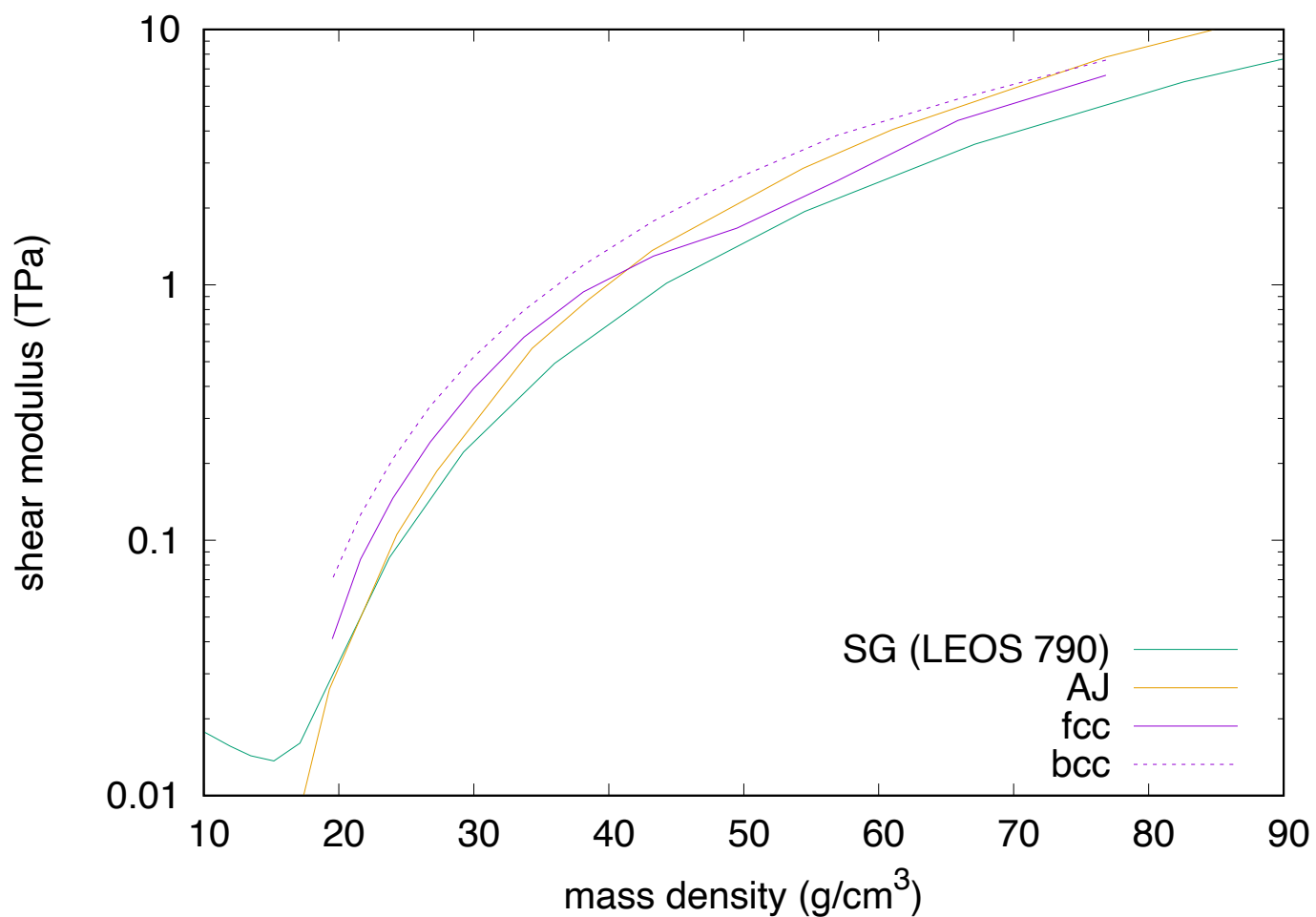
Phase changes predicted:

fcc – hcp – bcc – hcp – fcc

TF models seem too soft at ~ 1 TPa.

Highest-quality theoretical prediction in this regime.

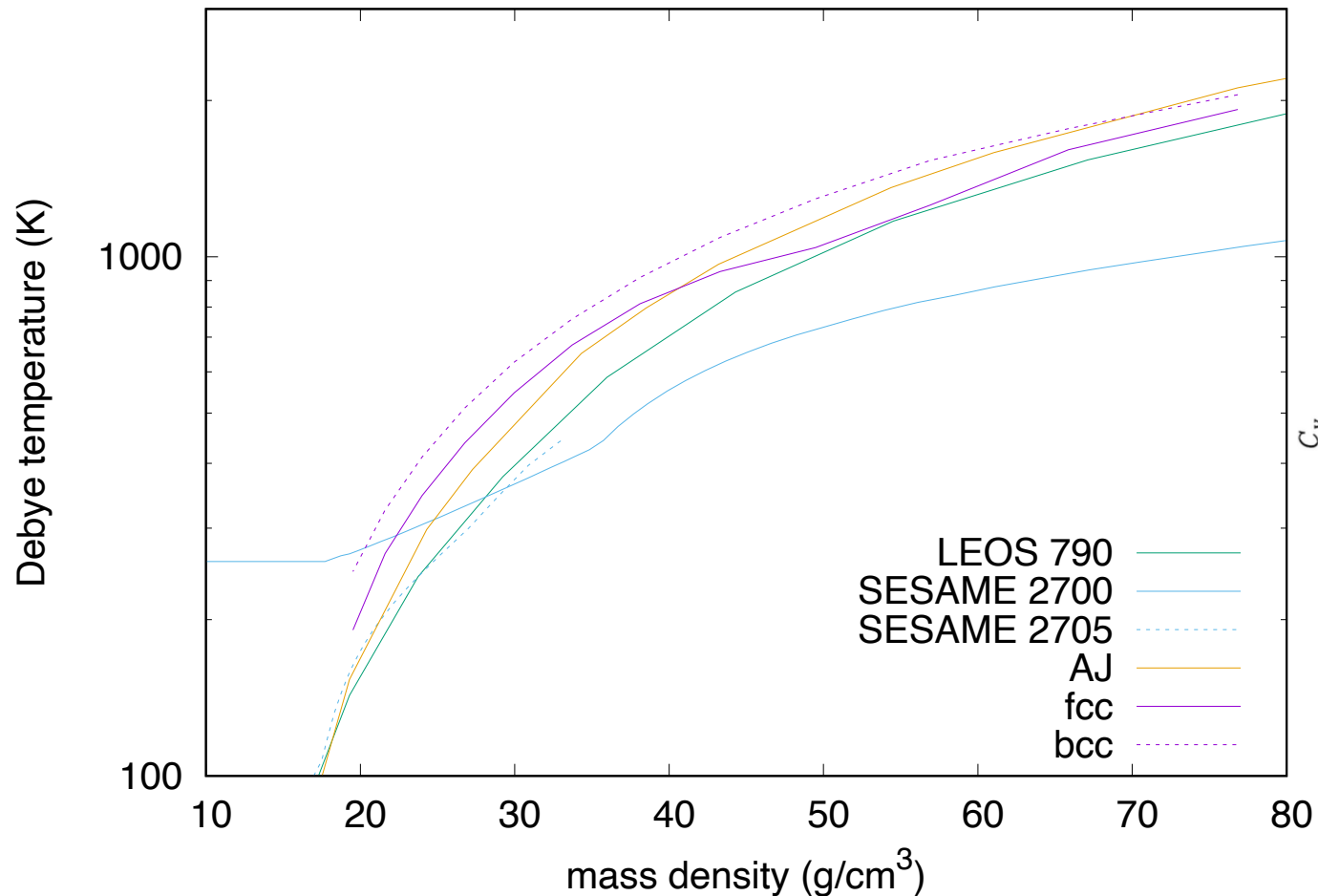
FP-LMTO shear modulus for Au: more rigorous, phase-aware



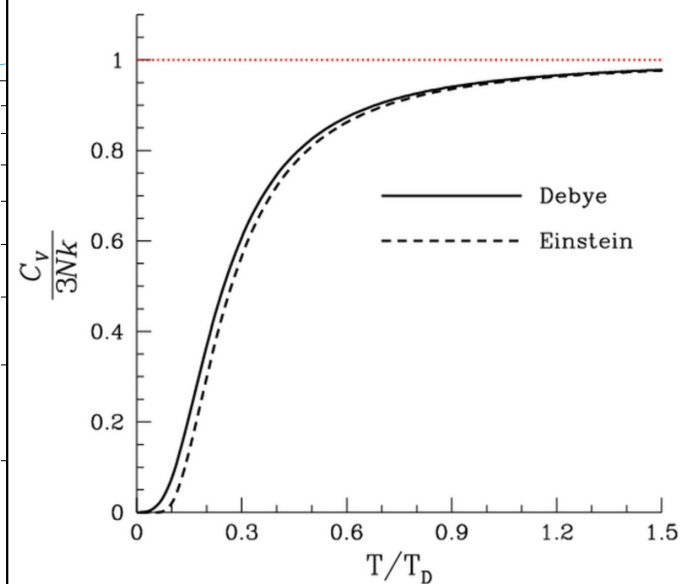
Per Söderlind:

- Elastic moduli: LDA.
- Shear modulus: Voigt average.

Debye temperature deduced from DFT and EOS models

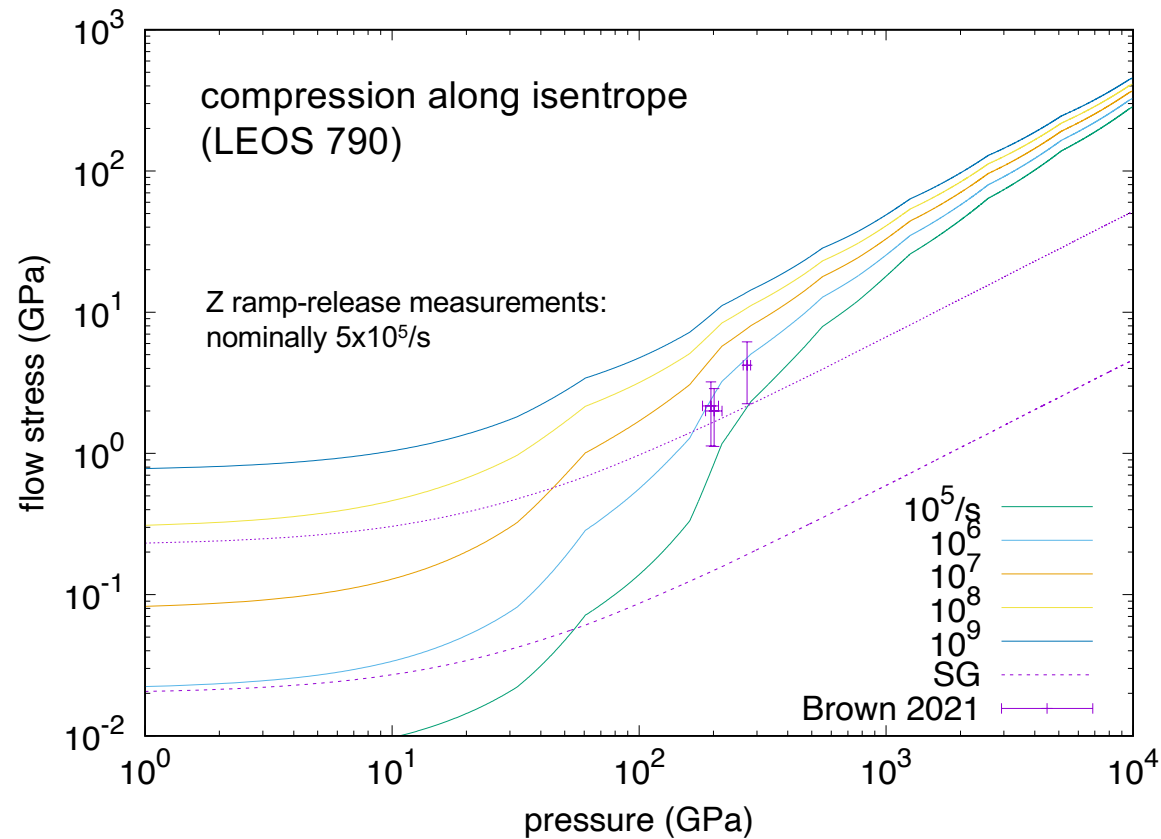


EOS models:
deduced θ_D from T
where $c_v = c_{DP}/2 \approx \theta_D/2.9829$



Deduce $G(\rho)$ consistent with
any EOS model

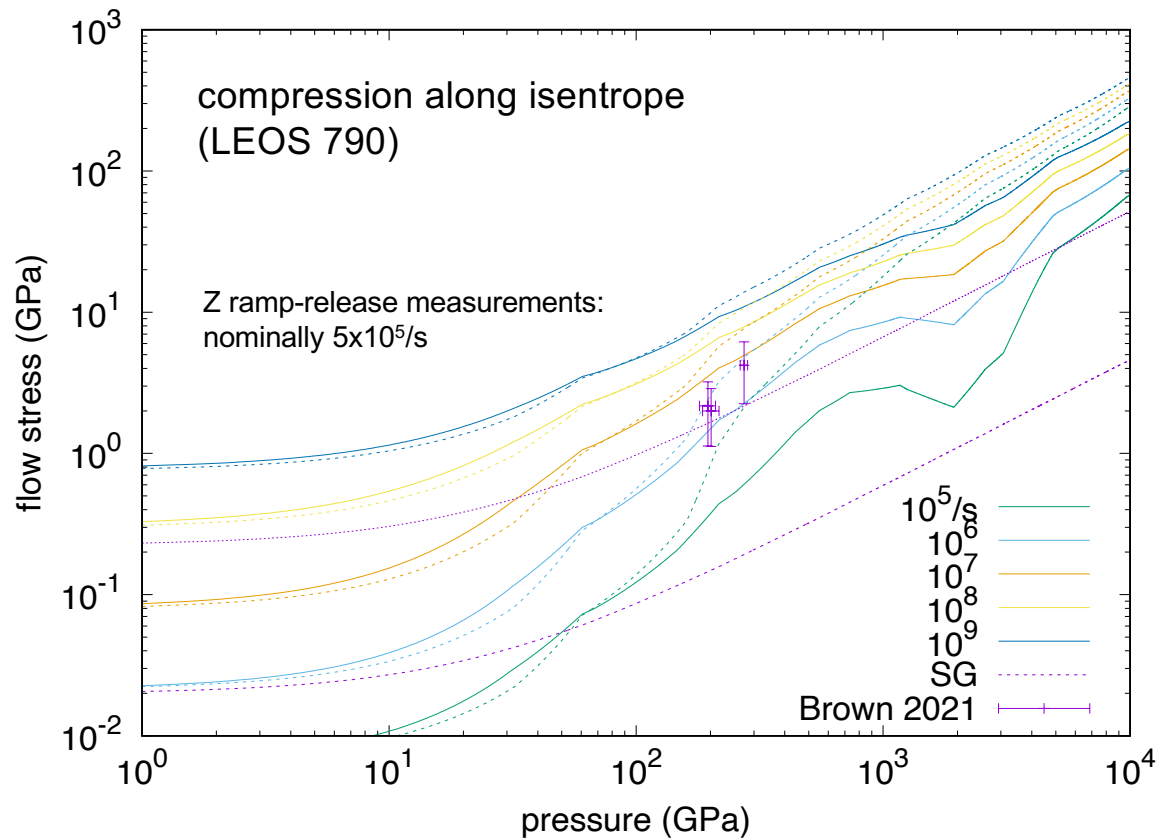
Performance of AJ dislocation model for Au



J.L. Brown et al, J. Dyn. Behavior Mat. 7, 196-206 (2021)

D.C. Swift et al, arXiv:2110.06345

Performance of FP-LMTO dislocation model for Au



$B, G \rightarrow T_{\text{Debye}} \rightarrow T_{\text{Einstein}} \rightarrow \text{dislocation } \dot{\epsilon}_p$

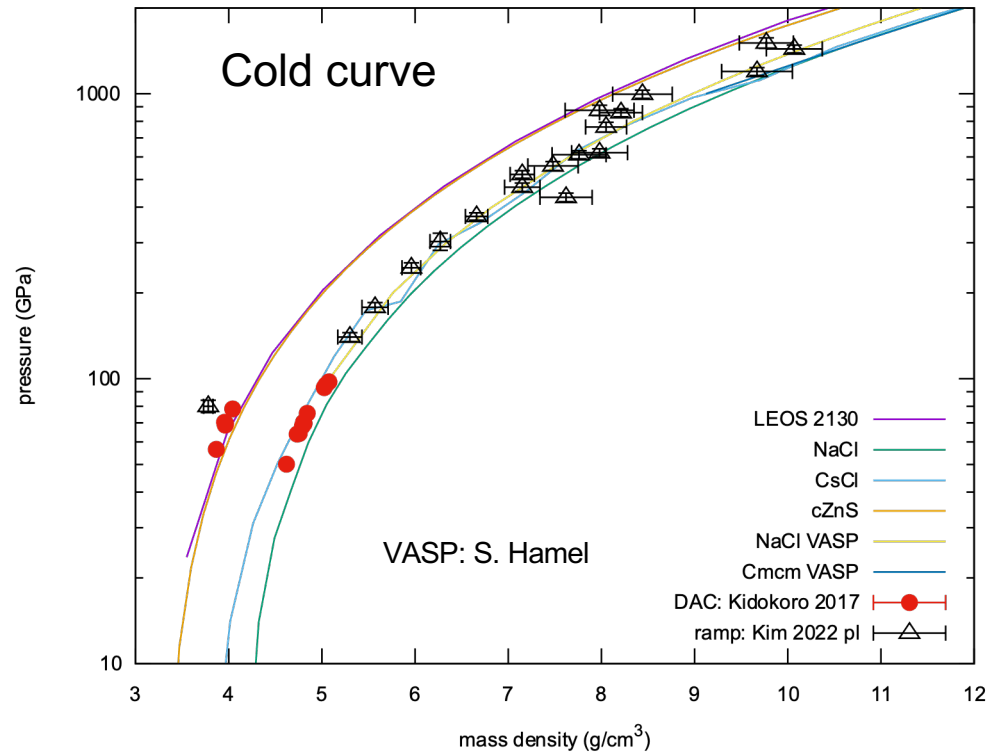
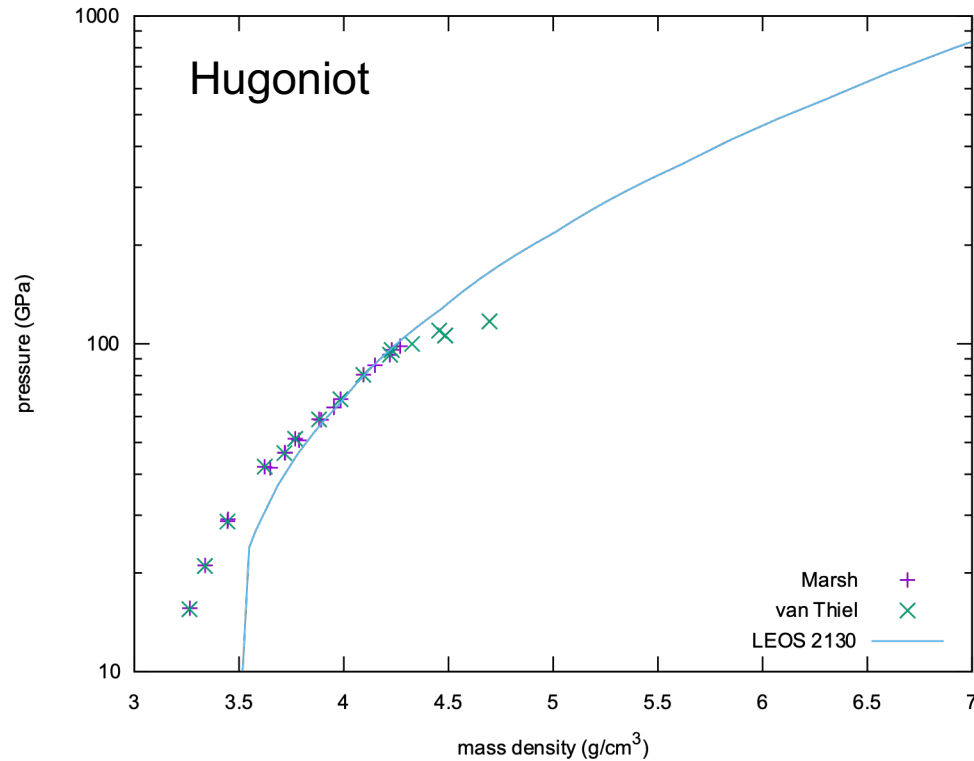
Calibrated f_b against SG Y_0 at $10^6/s$.

Calculated for fcc only.

Comparison with AJ calibration (dotted).

J.L. Brown et al, J. Dyn. Behavior Mat. 7, 196-206 (2021)

Multiphase EOS predictions e.g. SiC for Z/NIF Discovery Science



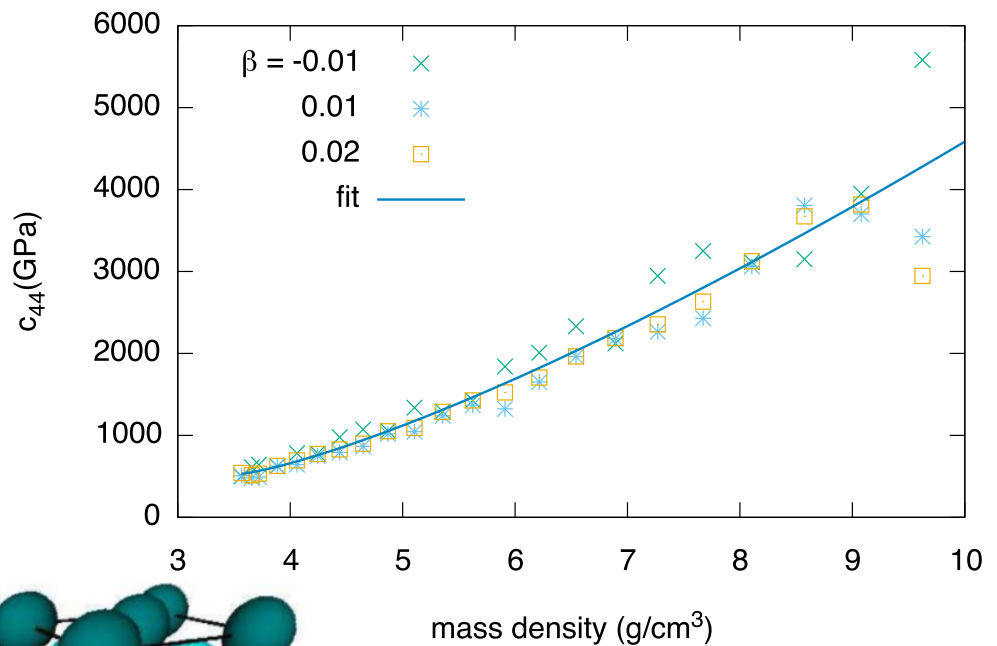
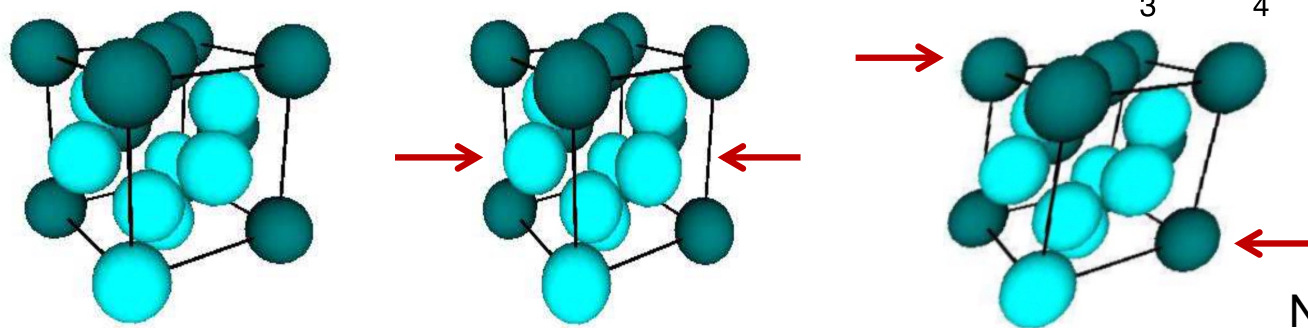
Constructing multiphase EOS models.

Working on associated models e.g. ceramic strength.

CASTEP EOS and elasticity calculations for diamond

- Local density approximation
- Pseudopotential
- Plane wave basis set
- Pulay corrections
- Monkhorst-Pack k-point symmetry reductions
- Lattice cell optimization
- Troullier-Martins potentials

Swift et al, *Phys. Rev. B* **105**, 014109 (2022)



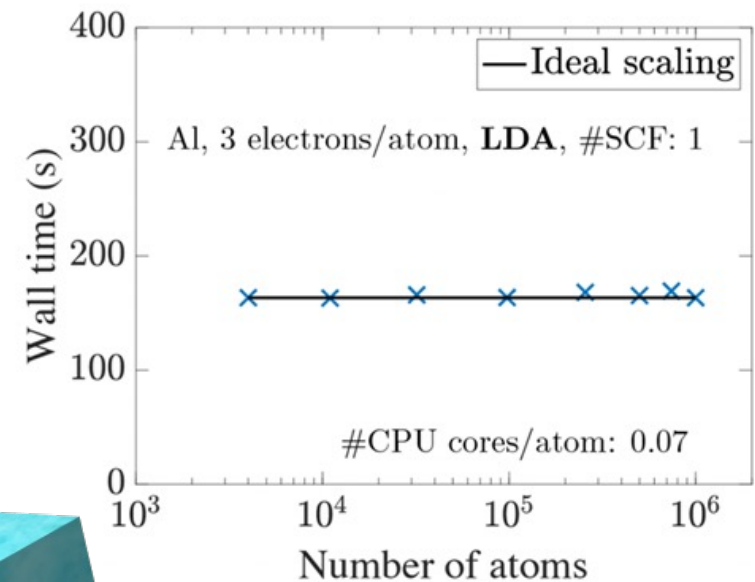
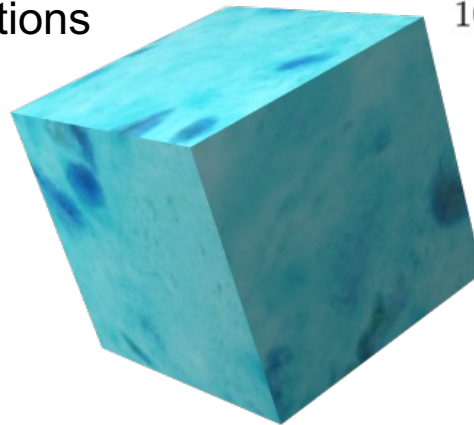
Numerical noise in elastic moduli,
filter by hand.

Electronic structure calculations in SPARC-X

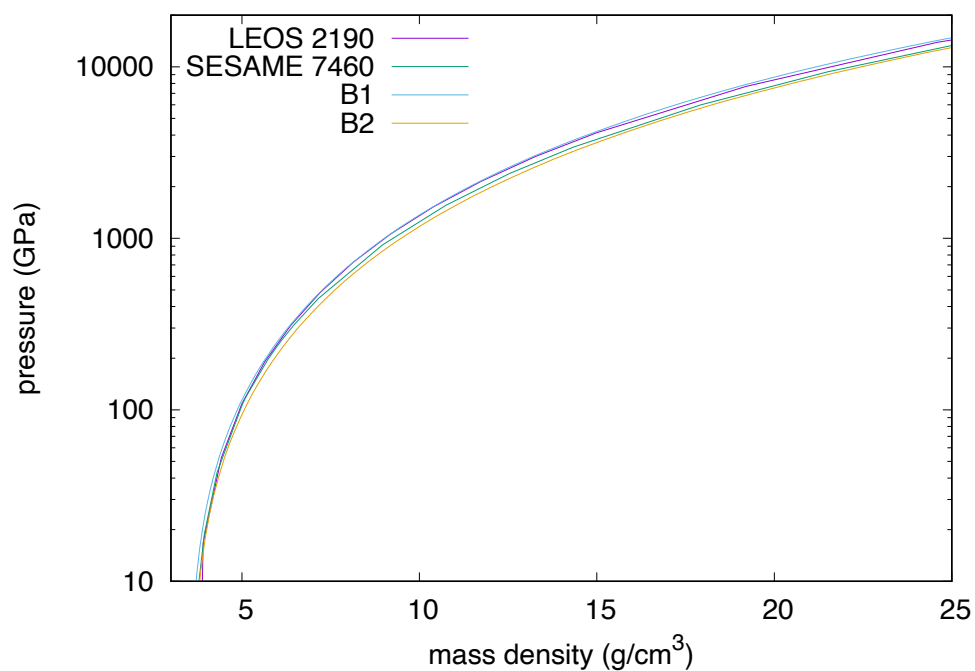
- Pseudopotential
- Finite element, 12th order polynomials
- Advanced optimization and convergence
- Parallel, GPU
- Hamann ONCV LDA or Pask SMPS soft PBE potentials

Not currently implemented:

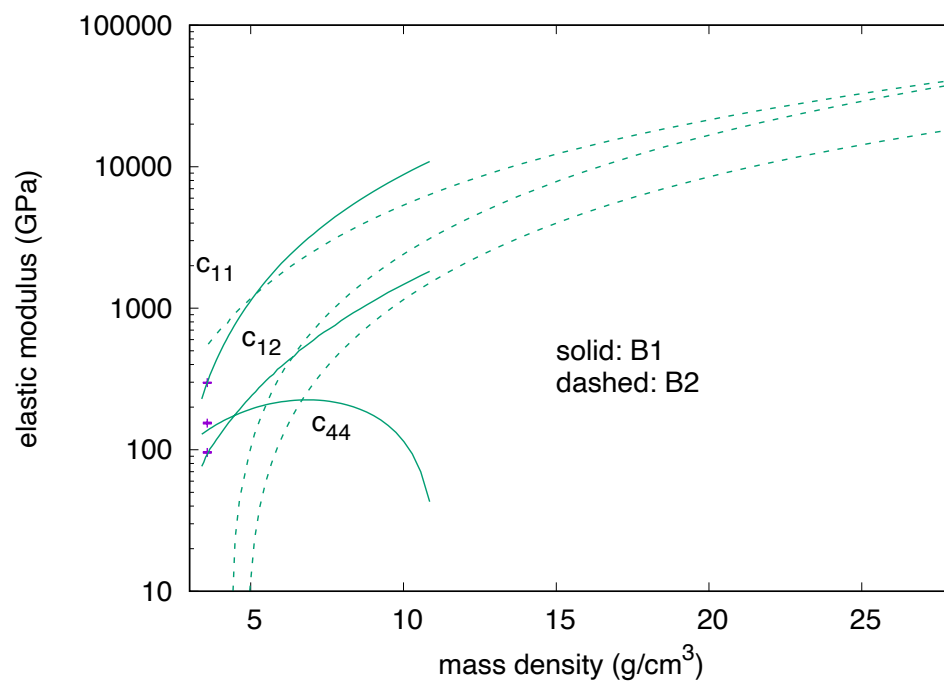
- Pulay corrections
- Monkhorst-Pack k-point symmetry reductions
- Lattice cell optimization



SPARC-X EOS and elasticity calculations for MgO (B1, B2)

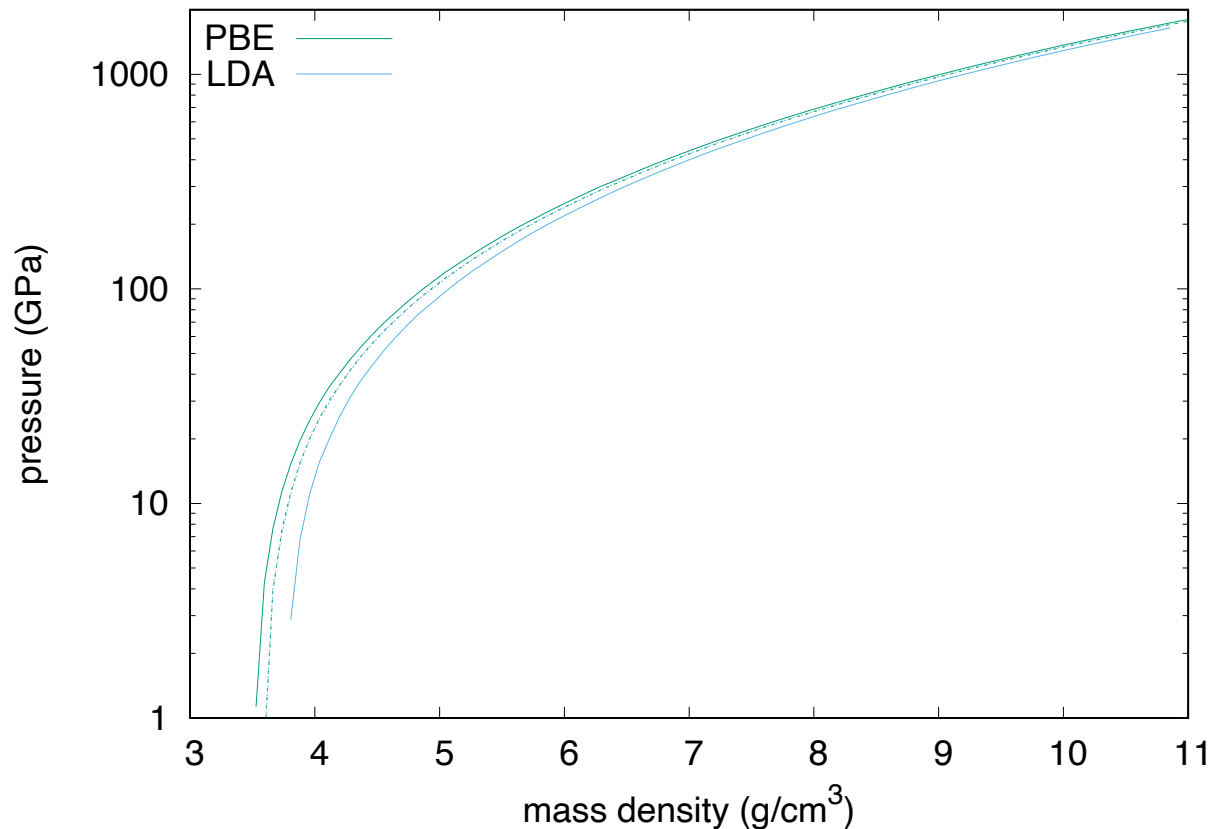


Predicts B1 \rightarrow B2 \sim 0.5 TPa.



Elastic moduli smooth enough to use directly.

Correction of DFT EOS models to match STP state: insensitive to exchange-correlation functional



DFT: ρ_0 close to STP value, typical accuracy (lattice parameter deviation $\sim 1\%$).

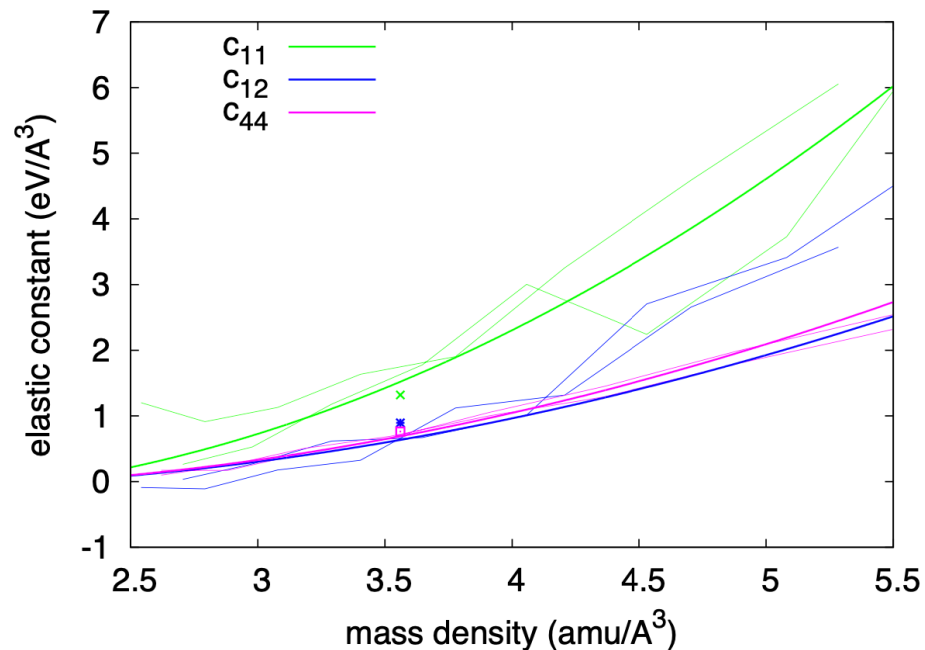
$\rho_0 = 3.58 \text{ g/cm}^3 \Rightarrow +3.530 \text{ GPa (PBE)}$
or -7.23 GPa (LDA)

Adjustment $\Delta e = \alpha \rho^\beta$ to match STP ρ .
(Following H. Akbarzadeh et al, JPCM **5**, 8065, 1993, but consistent as $\rho \rightarrow 0$.)

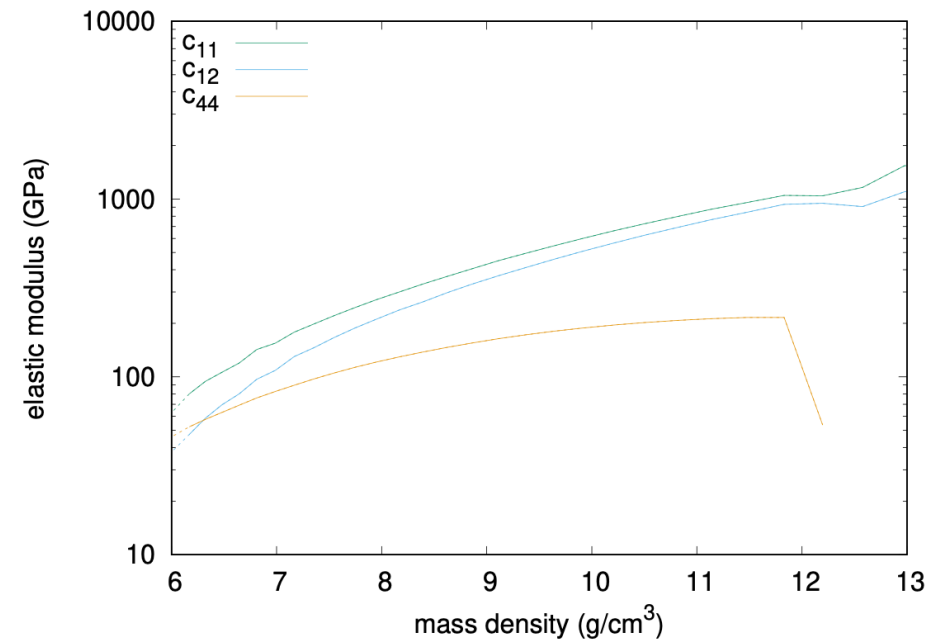
Corrected EOS from LDA and PBE remains bracketed, difference $\sim 10\times$ less.

DFT technology and capability are evolving

NiAl: CASTEP ~2005, several months

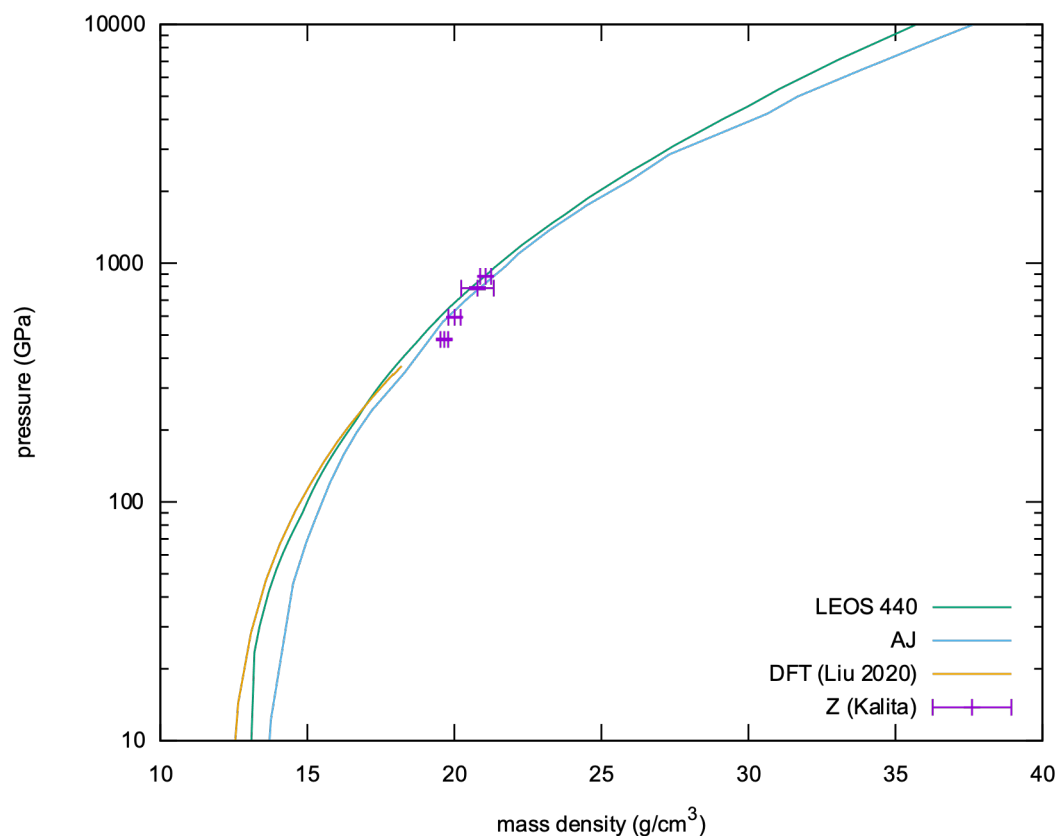


RuAl: SPARC 2022, 73 mins



- practical to predict properties of more complicated materials: compounds, alloys

First Hugoniot data for Ru !



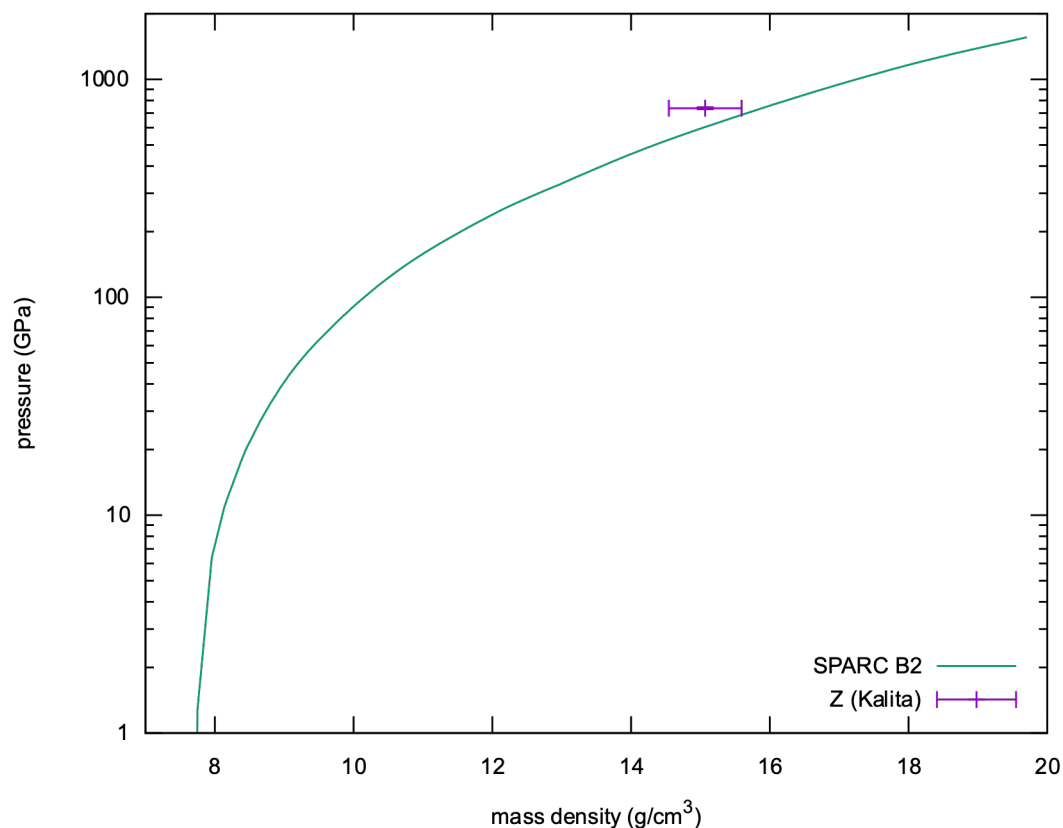
Ru

One of very few elements with no Hugoniot data reported.

QEOS, pseudopotential and atom-in-jellium EOS models constructed
Swift et al, arXiv:1909:05391

DFT study inc Hugoniot to 380 GPa
*Liu et al, Physica B **598**, 412434 (2020)*

First Hugoniot data for Ru and RuAl !



RuAl

Trident data on elastic-plastic transition, spall.
CASTEP cold curves ~2003.
SPARC EOS model constructed.
New samples made (McClellan & Byler).
Z Hugoniot data obtained (Kalita).

YZn

SPARC EOS model constructed <24 hours.
“Good match” to ~0.3 TPa shocks at DCS
(Loomis & Peralta).

Minimally-adjusted electronic structure calculations predict EOS; basis for few-parameter plasticity model

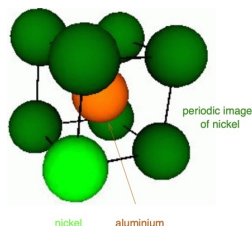
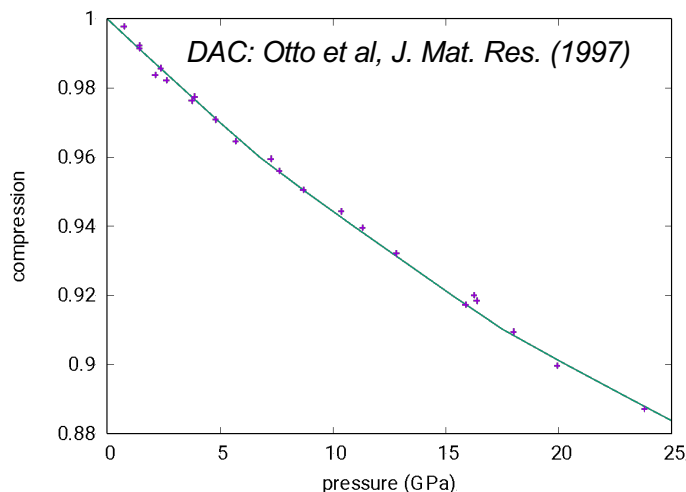
Highest-quality DFT used in place of AJ, older plane-wave codes for HED EOS and strength.

Minimally-corrected DFT EOS nearly independent of XC functional.

EOS models for more components of HED experiments in preparation: MgO, SiC, ...

NiAl, B2 structure:

*D.C. Swift et al, Phys. Rev. B, **76**, 134111 (2007)*



*Yakushev et al, High Press. Res. **39**, 3, 471 (2019)*

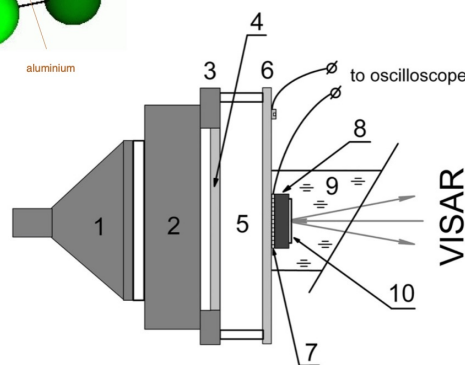


Figure 1. Experimental setup. 1 – plane shock wave generator, 2 – high explosive charge, 3 – steel flyer, 4 – Al flyer, 5 – flight acceleration base, 6 – Al screen, 7 – polarization gauge determining the moment of entry of a shock wave from screen to the sample, 8 – NiAl sample, 9 – water window, 10 – thin Al foil

