

Efficient prediction of equations of state and strength properties using new electronic structure methods

Damian Swift, Pat Kalita,¹ Ken McClellan,² Darrin Byler,² Per Söderlind, Sébastien Hamel,
John Pask, Eric Loomis,² Tom Lockard, Kazem Alidoost, Jim McNaney

¹ Sandia National Laboratories – managed by NTESS, LLC under contract DE-NA0003525.

² Los Alamos National Laboratory – operated by Triad, LLC under contract 89233218CNA000001.



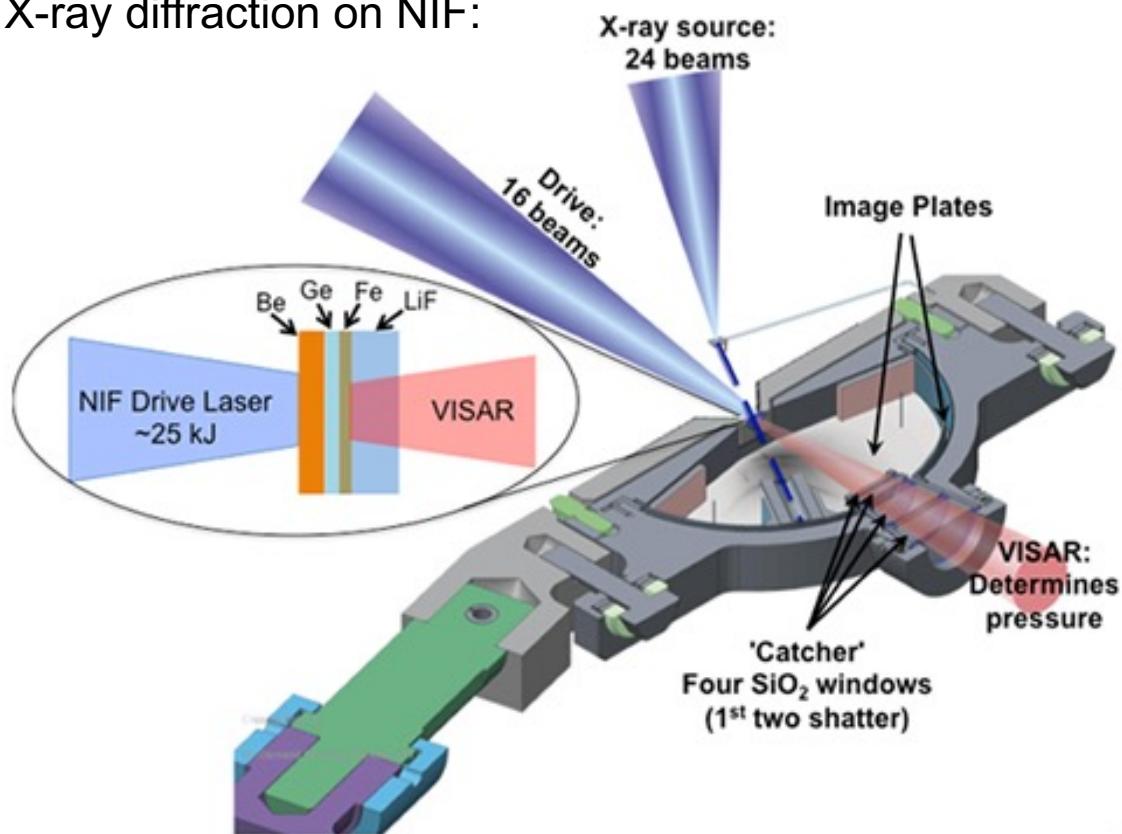
LLNL-PRES-???????

This work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under contract DE-AC52-07NA27344. Lawrence Livermore National Security, LLC



High energy density experiments: unusual materials and states

X-ray diffraction on NIF:



Ablators: Be, C_{dia} , Cu, ...

X-ray shields: Au, Pb, Re, **Ge**, ...

Tampers: C_{dia} , LiF, MgO

Glue

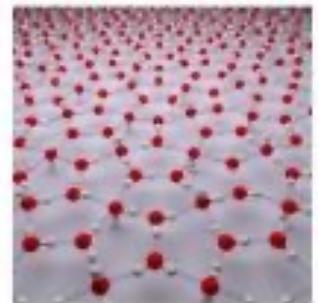
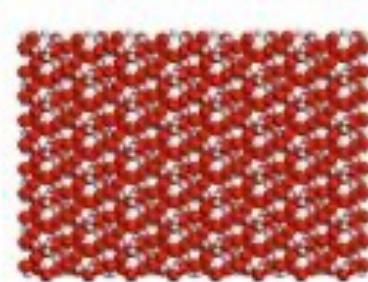
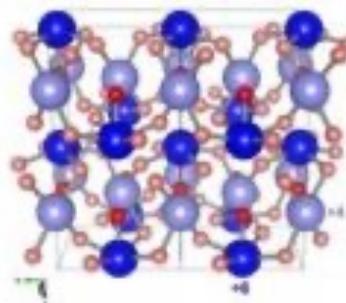
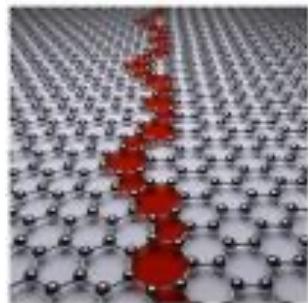
TPa states, solid or liquid

10 ns timescales

Design – Interpret – Relate to longer scales

Need: “adequate” hydrocode models, timely with experiments

No structure-searching!



Ambient, common,
seen or suspected.

Minimal (Q)MD.

Model	Approach	Issues
EOS	DFT cold curve, ion-thermal	Accuracy, sensitivity to XC
Shear modulus	DFT elastic strain	Numerical noise
Flow stress	NxSG, dislocation model	Polymorphism, availability
Conductivity	Ambient or plasma	Range, Boltzmann

Improvements: speed and accuracy of DFT

Electronic structure theory predicts EOS and (maybe) strength adequately – or better

FP-LMTO calculations:
3D multi-atom all-electron
(Per Söderlind)

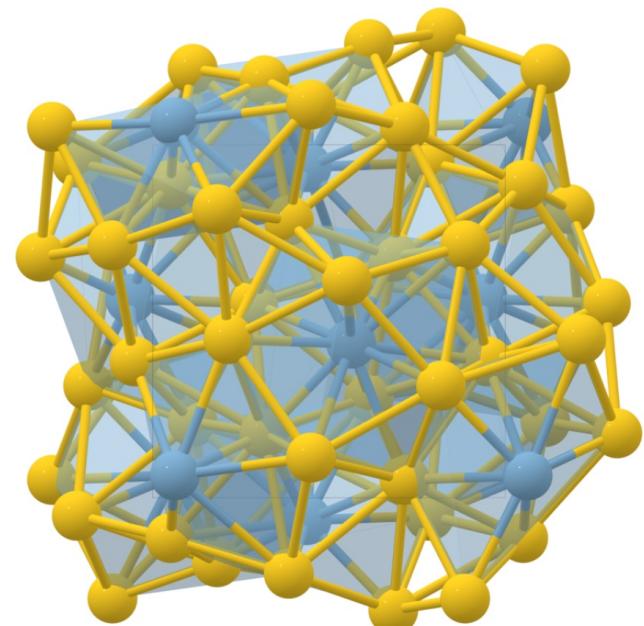
SPARC-X calculations
(John Pask): fast

Less noise and uncertainty
in DFT predictions

High-pressure properties,
inc compounds and alloys

New data!

$$f(\rho, T) = f_c(\rho) + f_i(\rho, T) + f_e(\rho, T)$$



Ion-thermal EOS from elastic moduli

Boson energy for each phonon mode. Many similar; integrate to find ion-thermal EOS => details unimportant. Can represent as a few effective Debye modes, or even just one.

Estimate Debye modes from elastic moduli, or bulk and shear moduli, or longitudinal and shear wave speeds. Electronic structure: computationally easier than calculating phonons (symmetry, supercell, imaginary modes).

E. Madelung, Phys. Z. **11**, 898 (1910), A. Einstein, Ann. Phys. Leipzig **34**, 170 (1911).
O.L. Anderson, J. Phys. Chem. Solids **24**, 909 (1963).

Still used in recent literature e.g.

X. Liu & H.-Q. Fan, *R. Soc. open sci.* **5**, 171921 (2018),
D.C. Swift et al, Phys. Rev. B **105**, 024110 (2022).

$$v_s = \sqrt{\frac{G_x}{\rho}}; v_p = \sqrt{\frac{B_x + 4G_x/3}{\rho}}; v_m = \left(\frac{2/v_s^3 + 1/v_p^3}{3} \right)^{-1/3}$$

Use average wave speed instead of integrating over orientations and polarizations.

$$\Theta = \frac{h}{k} \left(\frac{3nN_A \rho}{4\pi M} \right)^{1/3} v_m,$$

Hierarchy of approximations, but at least likely to predict systematic variations. Accurate if isotropic?

Grueneisen parameter: logarithmic derivative of Debye temperature $\Gamma(\rho) = \frac{\rho}{\theta_D} \frac{d\theta_D}{d\rho} = \frac{\rho}{v_m} \frac{\partial v_m}{\partial \rho} + \frac{1}{3}$

Converse: estimate G from θ_D and B. Numerical inverse of $\theta_D(\rho, v_m(B, G))$ by bisection, bracket $= (\alpha_1, \alpha_2)B$.

Dislocation plasticity model for HED conditions

Stresses high enough to cause plastic flow; wide range of pressure, temperature, strain rate; polycrystal.

Plastic relaxation rate: Orowan equation for single dislocation density: $\dot{\epsilon}_p = \frac{\eta}{M} \rho_d b \bar{v}_d$ $\bar{v}_d = bZ$

Redefine dislocation density as per atom rather than length/volume: $\phi_d = \rho_d \frac{m_a}{b\rho}$ $\dot{\epsilon}_p = \frac{\eta}{M} \phi_d Z \gamma$

Structure-dependent Burgers length-scale: $\gamma \equiv \frac{6f_v^3}{\pi}$

Dislocation hop rate: $Z = Z_0 \left[\exp \left(-N \frac{E_P - E_\tau}{k_B T} \right) - \exp \left(-N \frac{E_P + E_\tau}{k_B T} \right) \right]$

Enthalpy from applied shear stress: $\|\sigma\| v_{ws} f_v$

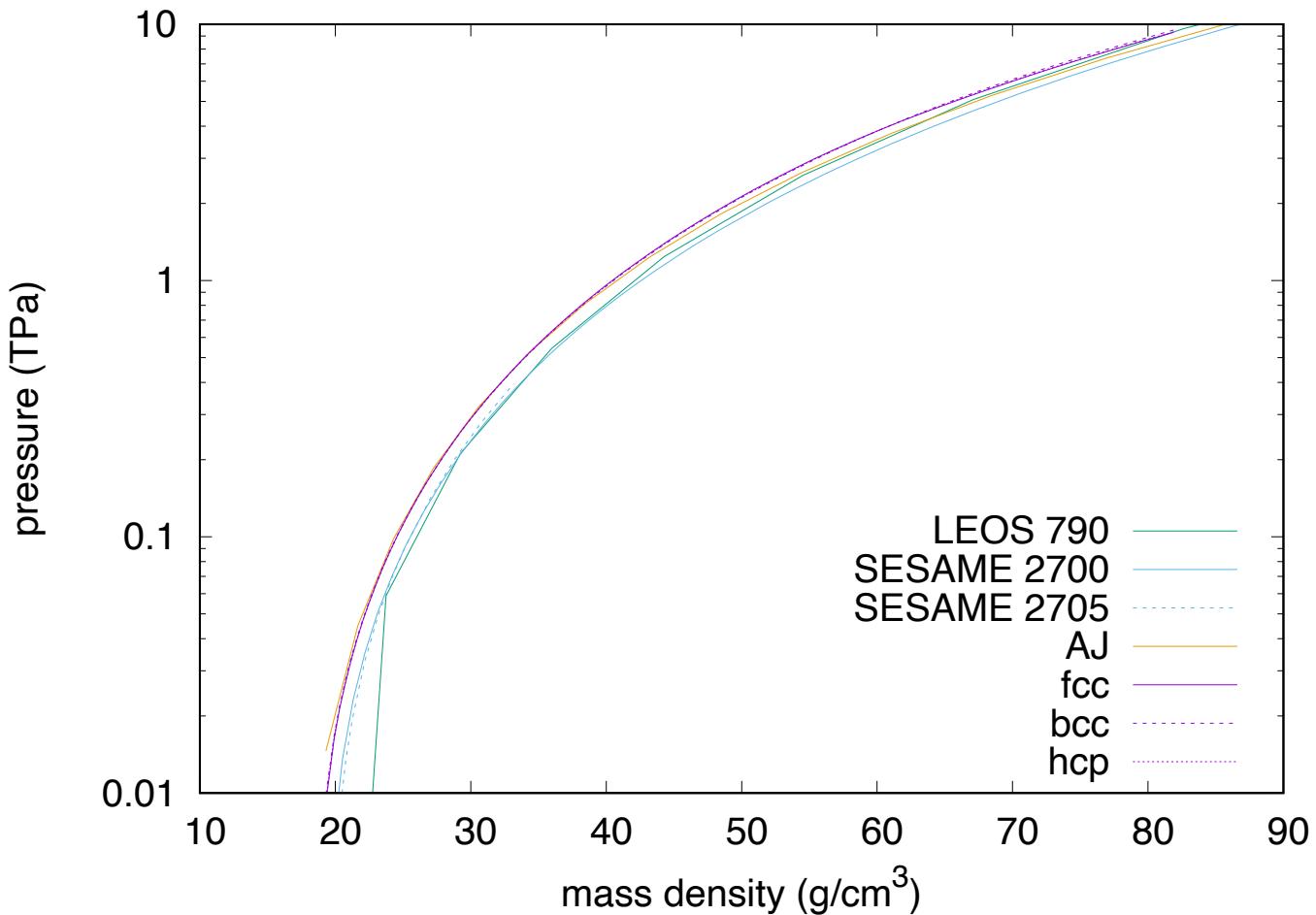
Dislocation evolution rate: $\dot{\phi}_d = \frac{\pi}{2} \frac{\phi_d}{M} Z \left(1 - \frac{2}{\bar{L}} \right) - \frac{2\phi_d Z_a}{\bar{L}} = \frac{\dot{\epsilon}_p}{\gamma} \frac{\pi}{2} \left(1 - \frac{2}{\bar{L}} \right) - \frac{2\phi_d Z_a}{\bar{L}}$ $\bar{L} = \sqrt{\frac{2M}{\phi_d}}$

Annihilation from attraction: Z with $E_\tau \rightarrow E_m = \frac{G v_{ws} f_v}{\bar{L}}$ (strain fields from opposite Burgers vectors)

Hardening: $Z \rightarrow Z f_h$: $f_h \equiv 1 - \frac{1}{\bar{L}'}$ mean distance to dissimilar dislocation: $\bar{L}' = \sqrt{\frac{M-1}{\phi_d}}$

Parameters (vary with state): Peierls barrier E_P , hop attempt rate Z_0 , shear modulus G . Used AJ theory.

FP-LMTO cold curves for Au: more rigorous than atom-in-jellium



Per Söderlind:

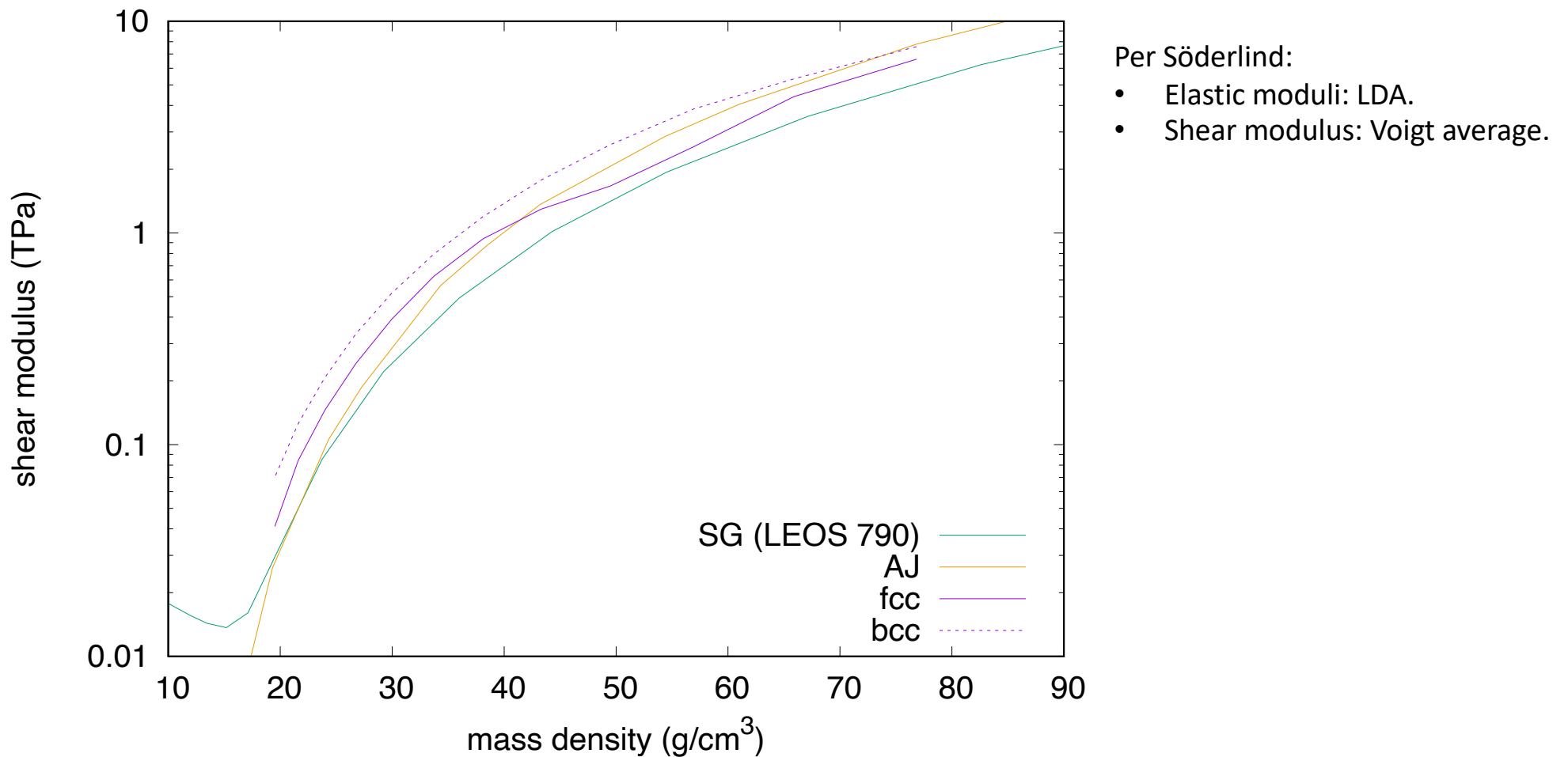
- All-electron, scalar-relativistic.
- 43 electrons/atom in valence.
- fcc, bcc, hcp (relaxed c/a)
- k-points:
 - fcc, bcc ~ 3000
 - hcp ~ 1200
- Cold curves: GGA.

Phase changes predicted:
fcc – hcp – bcc – hcp – fcc

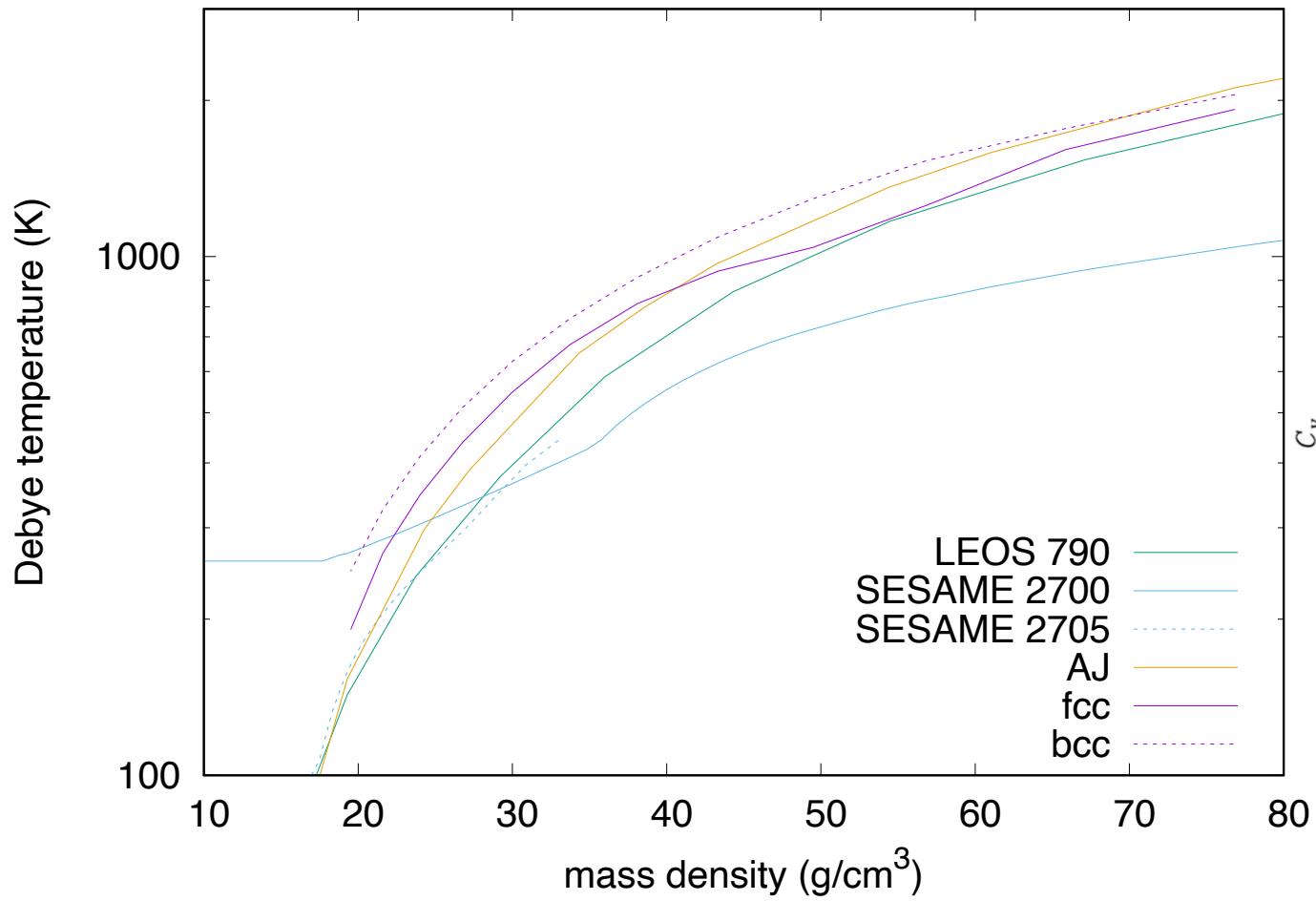
TF models seem too soft at $\sim \text{TPa}$.

*Highest-quality theoretical prediction
in this regime.*

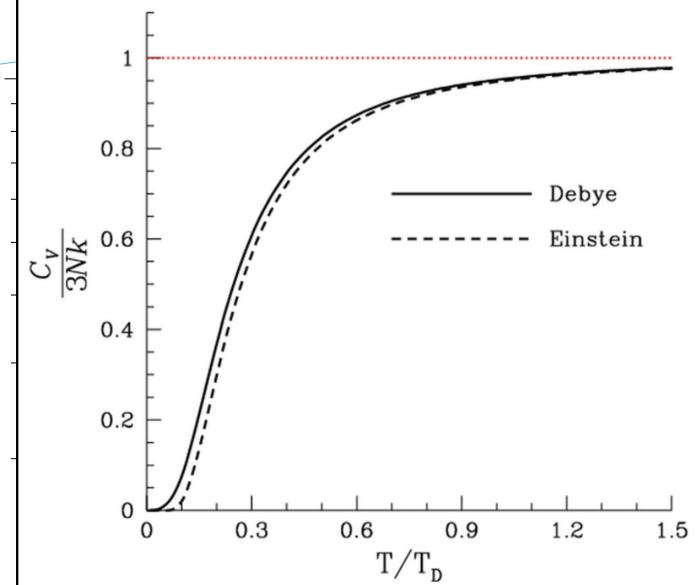
FP-LMTO shear modulus for Au: more rigorous, phase-aware



Debye temperature deduced from DFT and EOS models

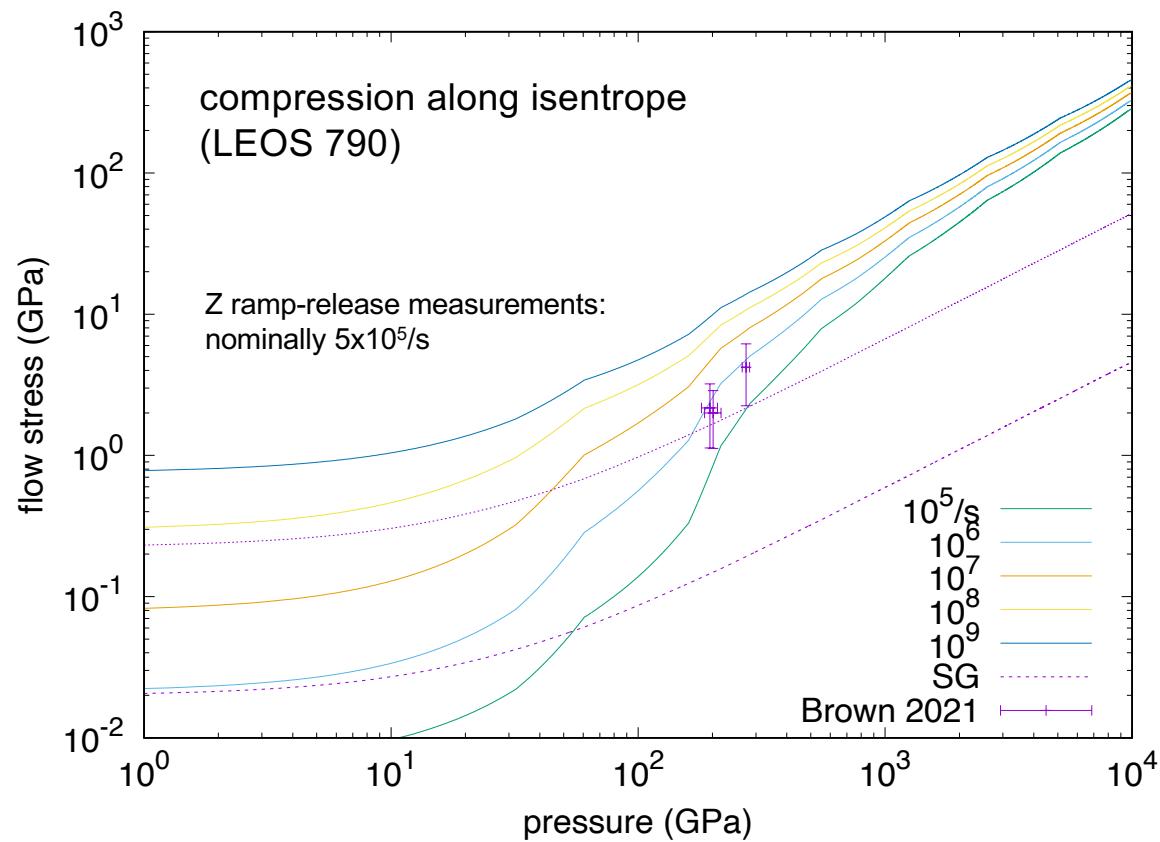


EOS models:
deduced θ_D from T
where $c_v = c_{DP}/2 \simeq \theta_D/2.9829$



Deduce $G(\rho)$ consistent with
any EOS model

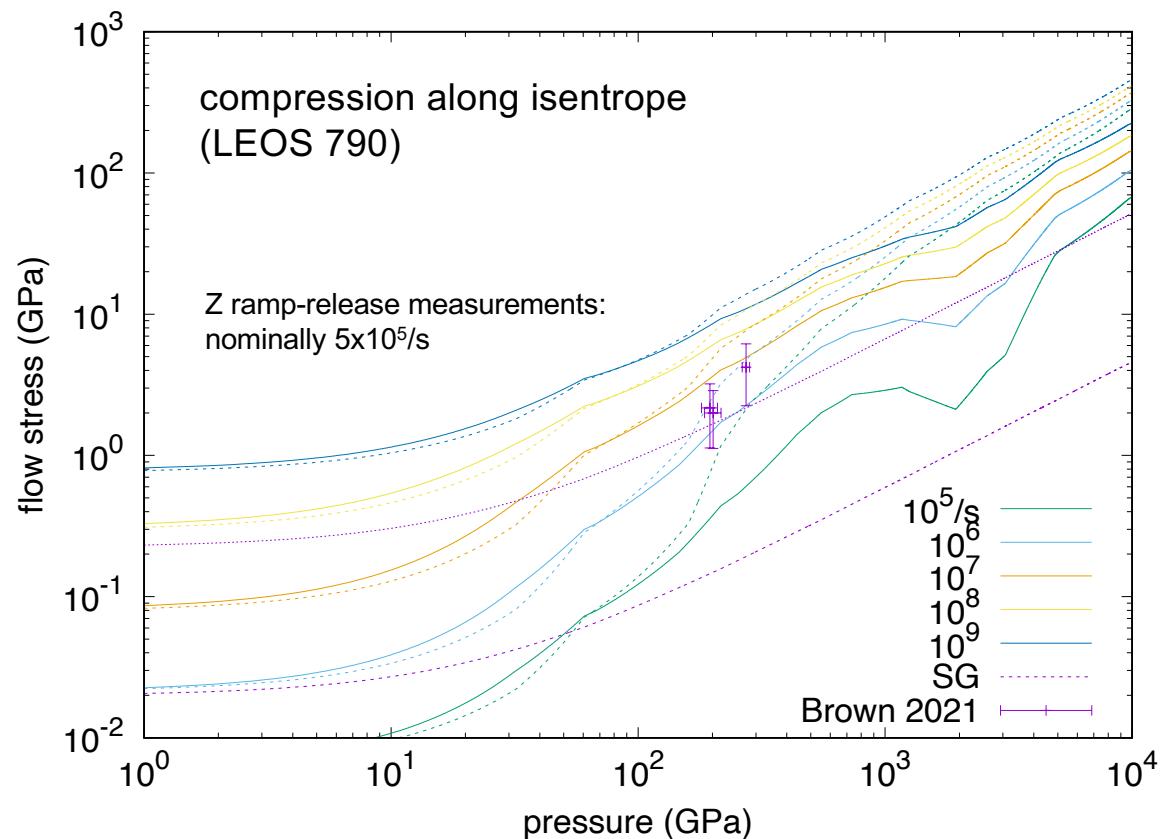
Performance of AJ dislocation model for Au



J.L. Brown et al, *J. Dyn. Behavior Mat.* 7, 196-206 (2021)

D.C. Swift et al, *arXiv:2110.06345*

Performance of FP-LMTO dislocation model for Au



$B, G \rightarrow T_{\text{Debye}} \rightarrow T_{\text{Einstein}} \rightarrow$ dislocation $\dot{\epsilon}_p$

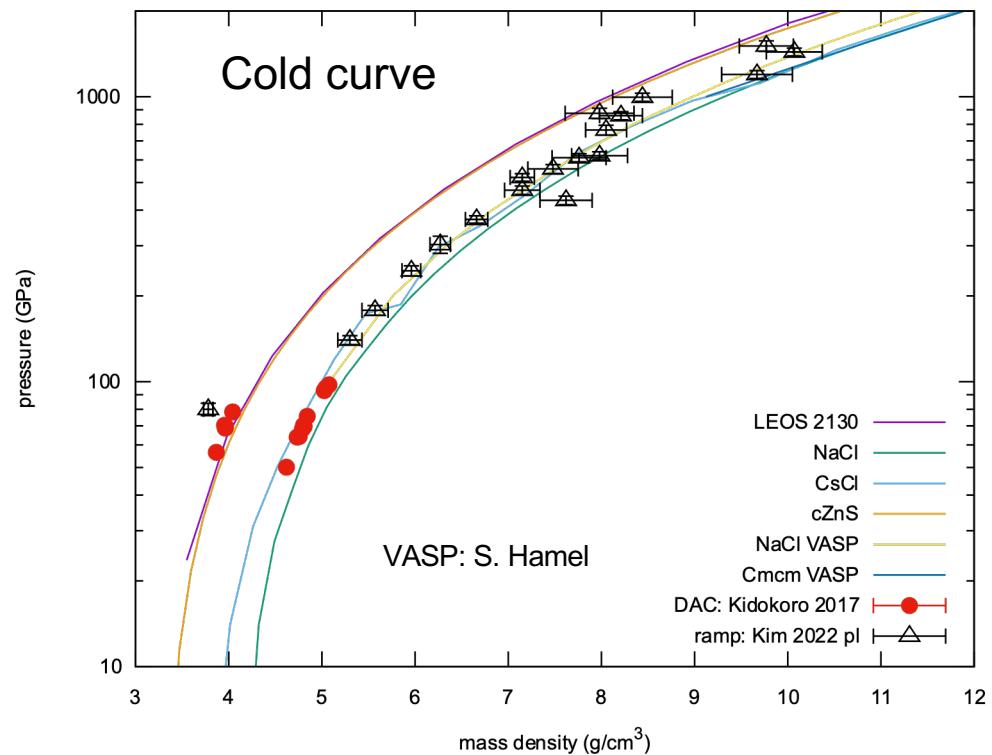
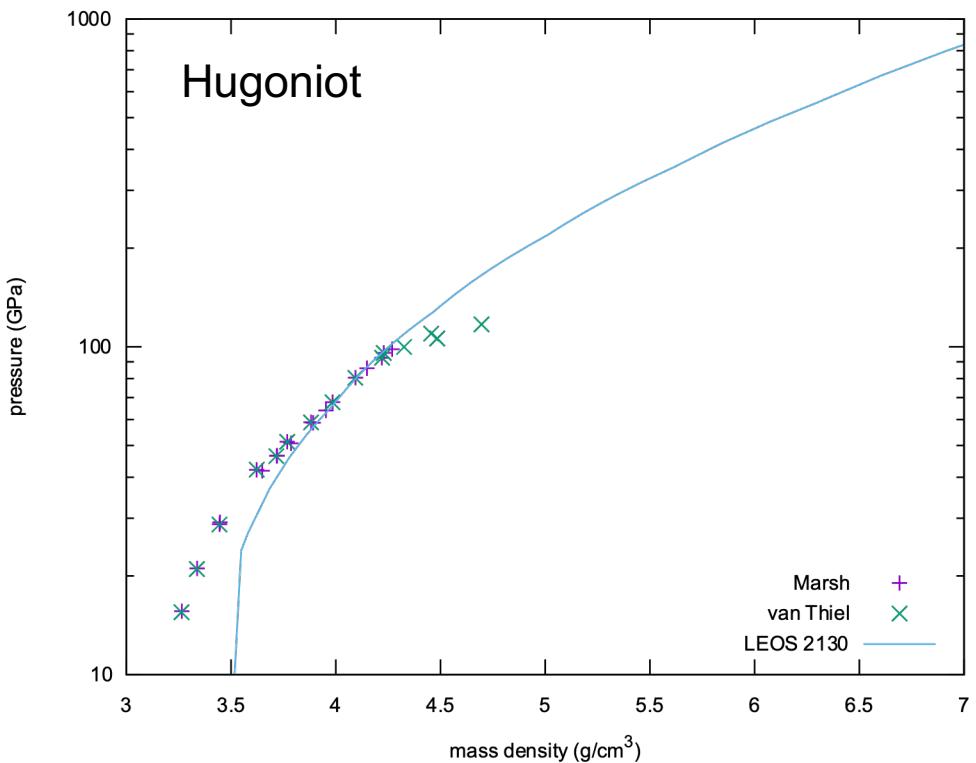
Calibrated f_b against SG Y_0 at 10^6 /s.

Calculated for fcc only.

Comparison with AJ calibration (dotted).

J.L. Brown et al, J. Dyn. Behavior Mat. 7, 196-206 (2021)

Multiphase EOS predictions e.g. SiC for Z/NIF Discovery Science

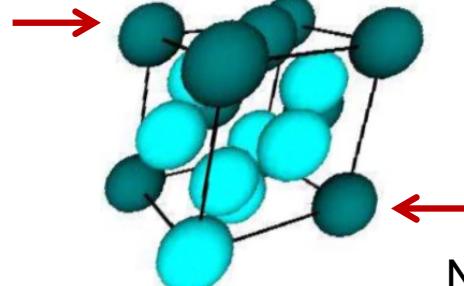
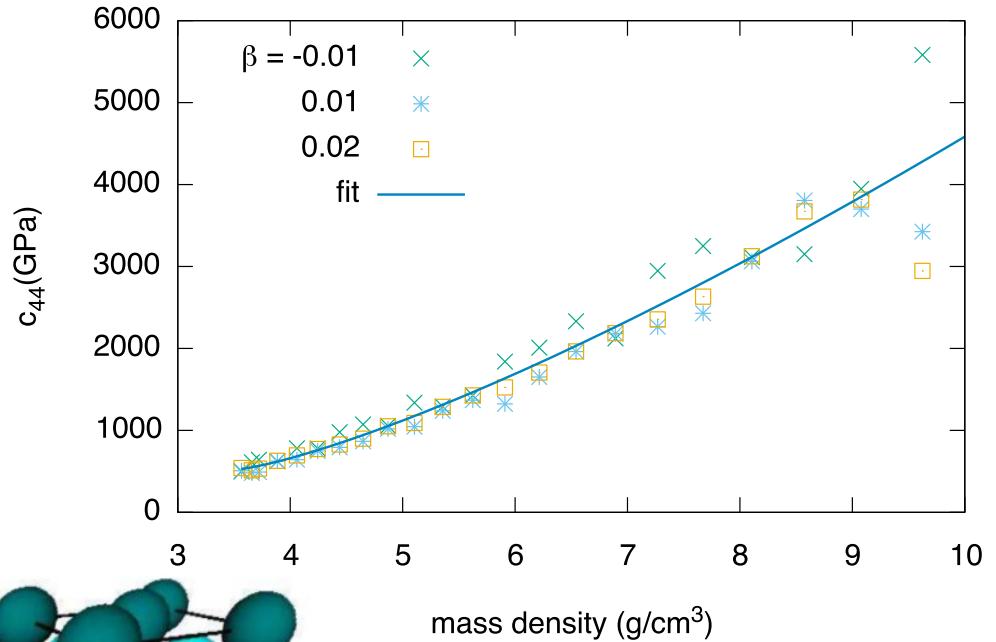
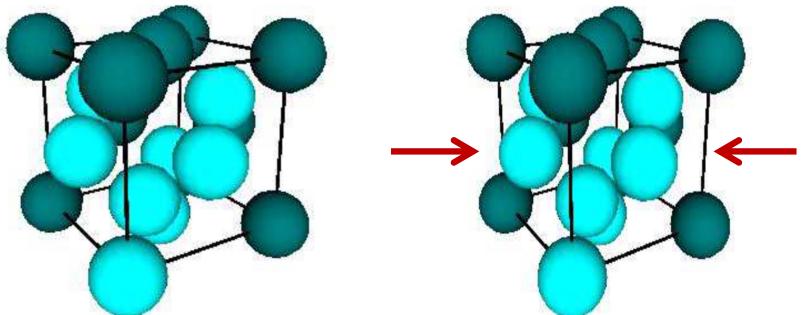


Constructing multiphase EOS models.
Working on associated models e.g. ceramic strength.

CASTEP EOS and elasticity calculations for diamond

- Local density approximation
- Pseudopotential
- Plane wave basis set
- Pulay corrections
- Monkhorst-Pack k-point symmetry reductions
- Lattice cell optimization
- Troullier-Martins potentials

Swift *et al*, Phys. Rev. B **105**, 014109 (2022)



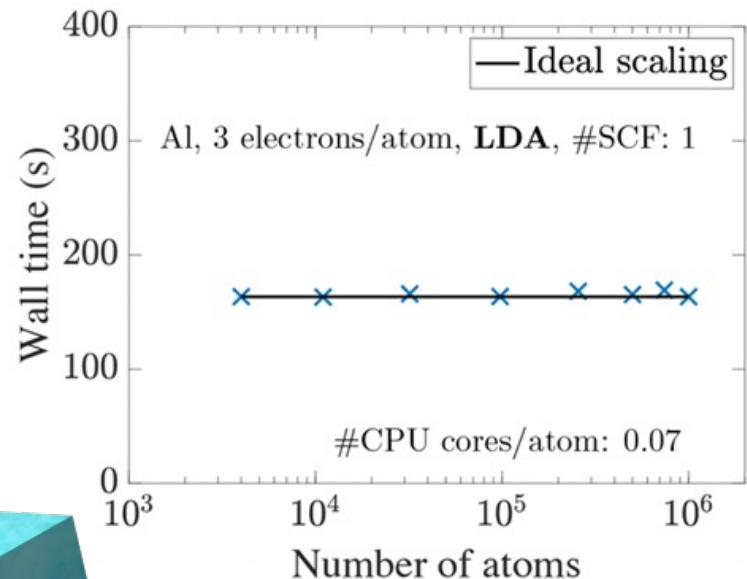
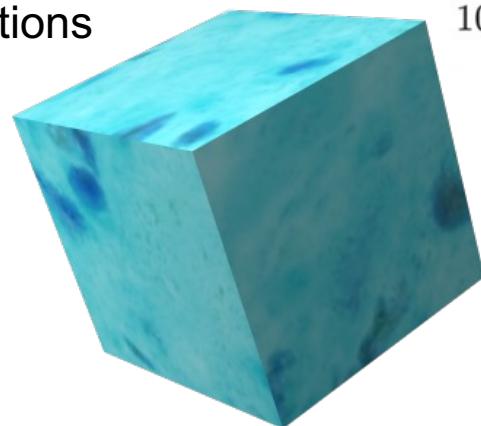
Numerical noise in elastic moduli,
filter by hand.

Electronic structure calculations in SPARC-X

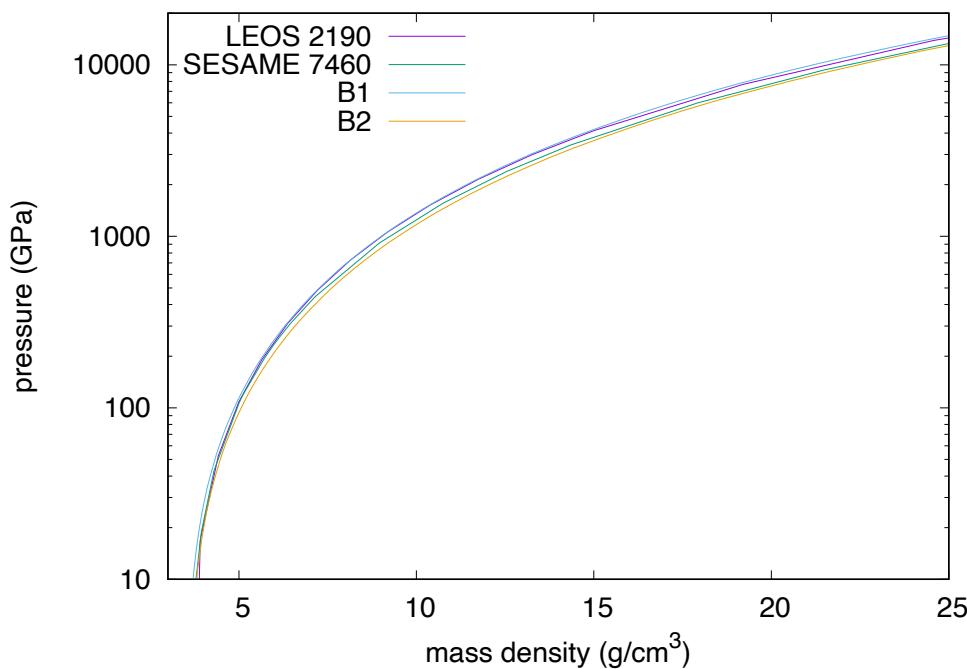
- Pseudopotential
- Finite element, 12th order polynomials
- Advanced optimization and convergence
- Parallel, GPU
- Hamann ONCV LDA or Pask SMPS soft PBE potentials

Not currently implemented:

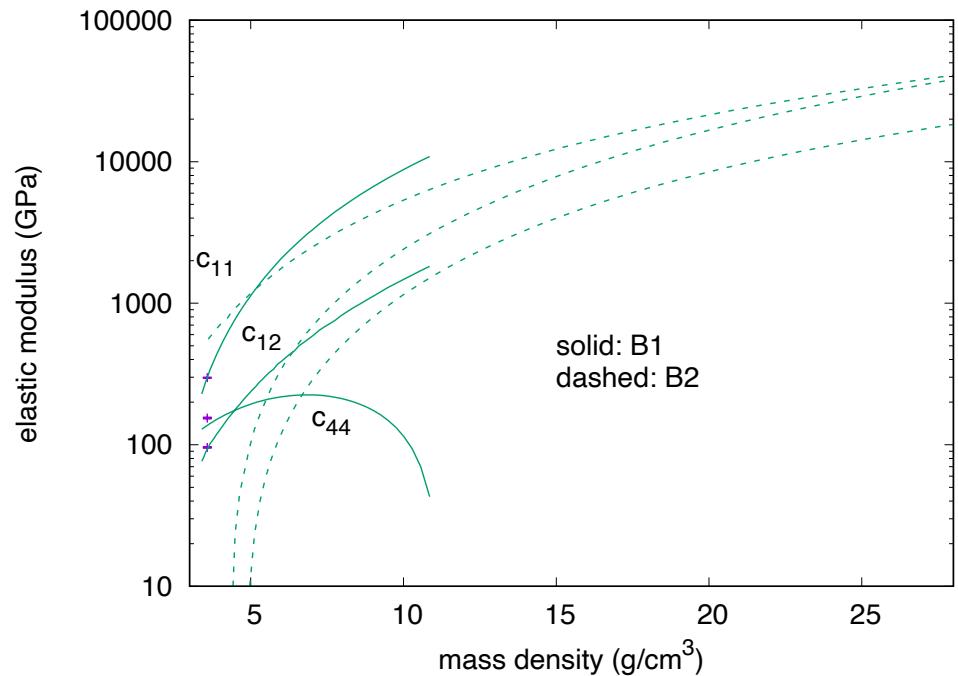
- Pulay corrections
- Monkhorst-Pack k-point symmetry reductions
- Lattice cell optimization



SPARC-X EOS and elasticity calculations for MgO (B1, B2)

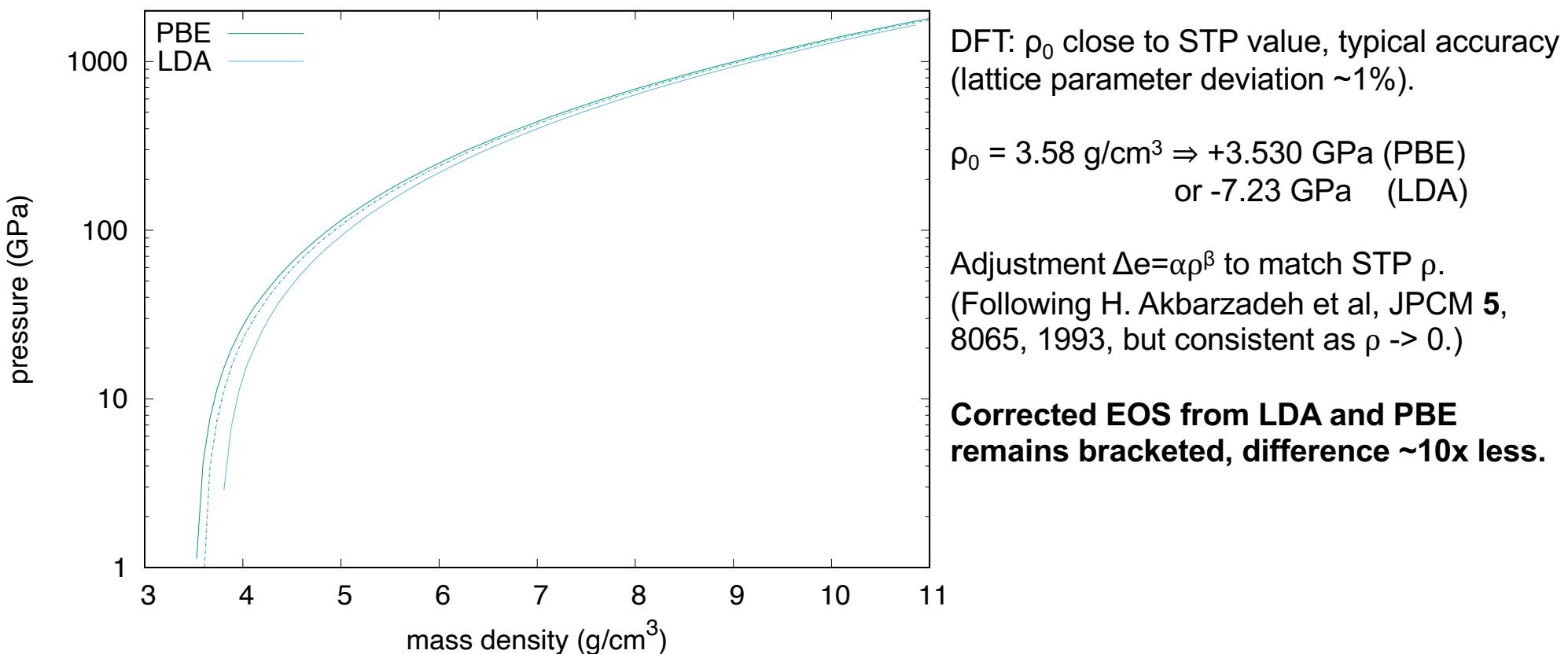


Predicts B1→B2 ~ 0.5 TPa.



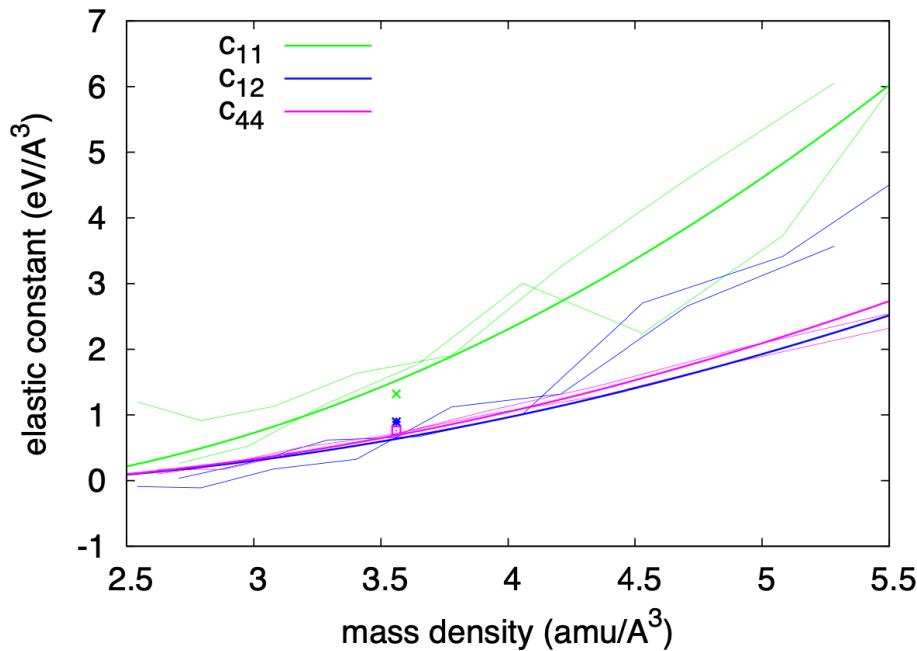
Elastic moduli smooth enough to use directly.

Correction of DFT EOS models to match STP state: insensitive to exchange-correlation functional

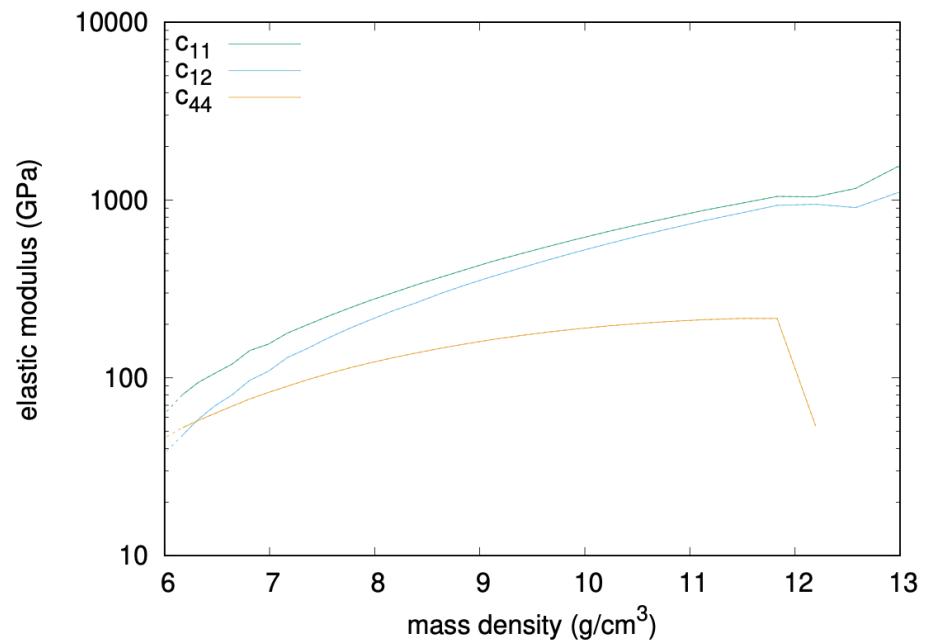


DFT technology and capability are evolving

NiAl: CASTEP ~2005, several months

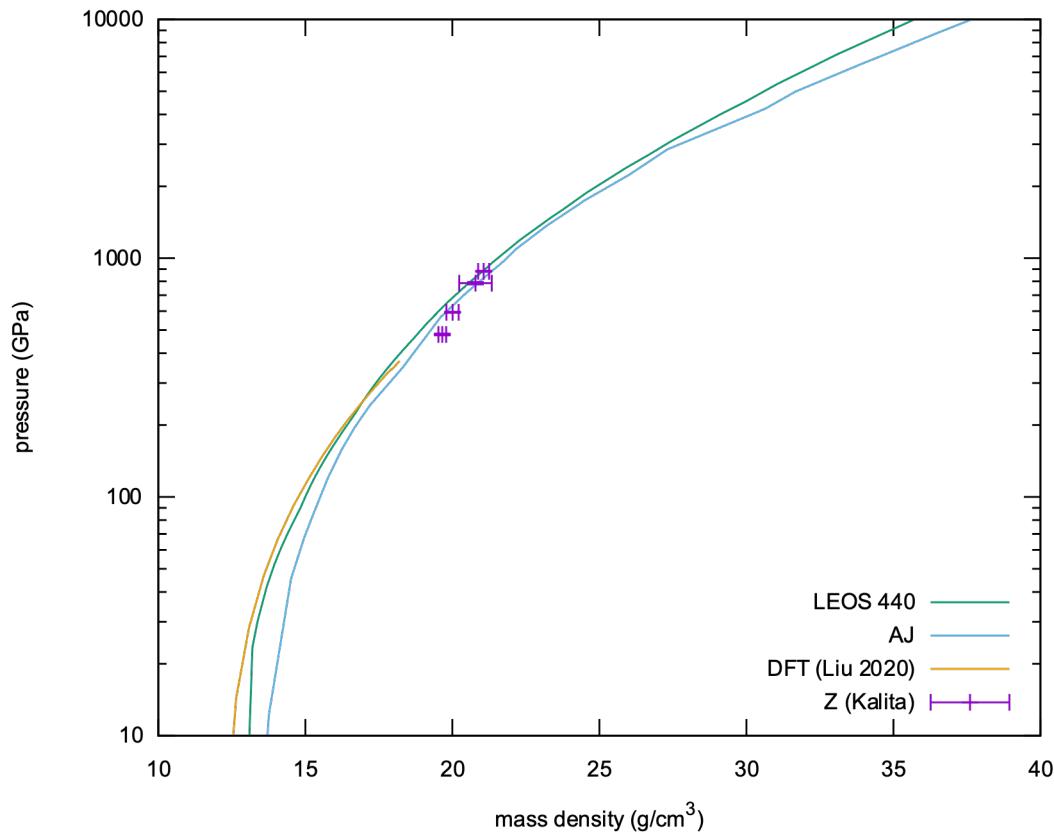


RuAl: SPARC 2022, 73 mins



- practical to predict properties of more complicated materials: compounds, alloys

First Hugoniot data for Ru !



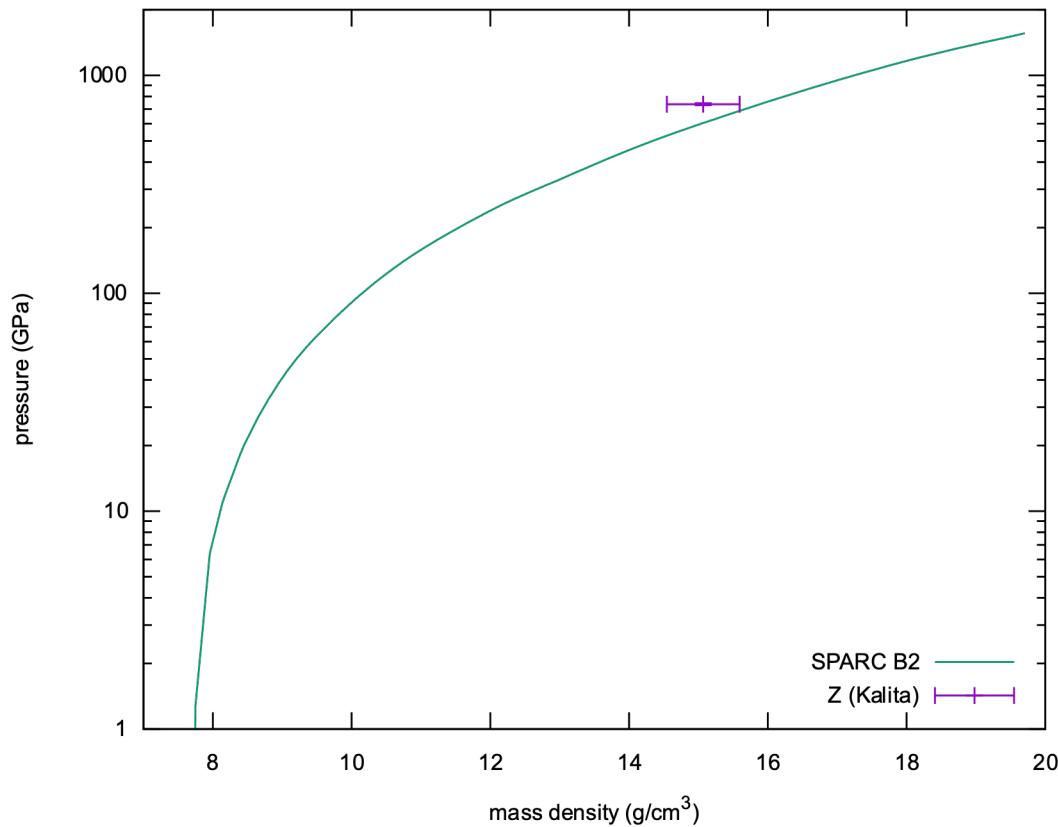
Ru

One of very few elements with no Hugoniot data reported.

QEoS, pseudopotential and atom-in-jellium
EOS models constructed
Swift et al, arXiv:1909:05391

DFT study inc Hugoniot to 380 GPa
Liu et al, Physica B 598, 412434 (2020)

First Hugoniot data for Ru and RuAl !



RuAl

Trident data on elastic-plastic transition, spall.
CASTEP cold curves ~2003.
SPARC EOS model constructed.
New samples made (McClellan & Byler).
Z Hugoniot data obtained (Kalita).

YZn

SPARC EOS model constructed <24 hours.
“Good match” to ~0.3 TPa shocks at DCS
(Loomis & Peralta).

Minimally-adjusted electronic structure calculations predict EOS; basis for few-parameter plasticity model

Highest-quality DFT used in place of AJ, older plane-wave codes for HED EOS and strength.

Minimally-corrected DFT EOS nearly independent of XC functional.

EOS models for more components of HED experiments in preparation: MgO, SiC, ...

NiAl, B2 structure:

D.C. Swift *et al*, *Phys. Rev. B*, **76**, 134111 (2007)

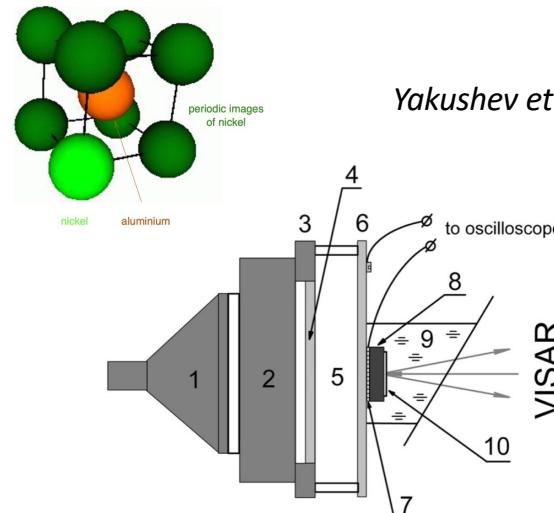
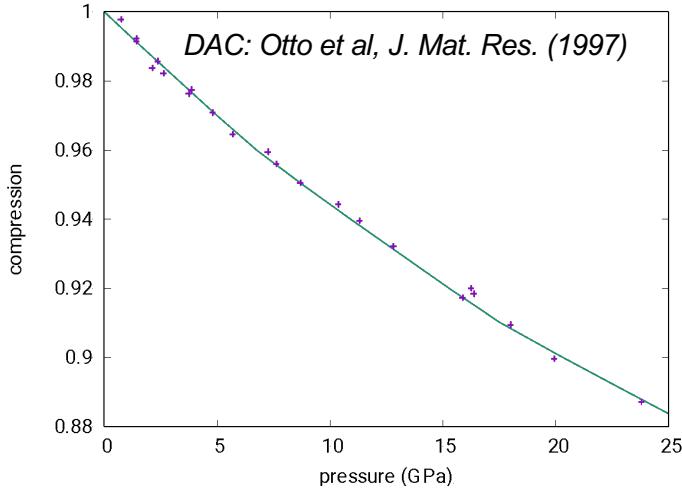


Figure 1. Experimental setup. 1 – plane shock wave generator, 2 – high explosive charge, 3 – steel ri
4 – Al flyer, 5 – flight acceleration base, 6 – Al screen, 7 – polarization gauge determining the mom
of entry of a shock wave from screen to the sample, 8 – NiAl sample, 9 – water window, 10 – thin Al I

