

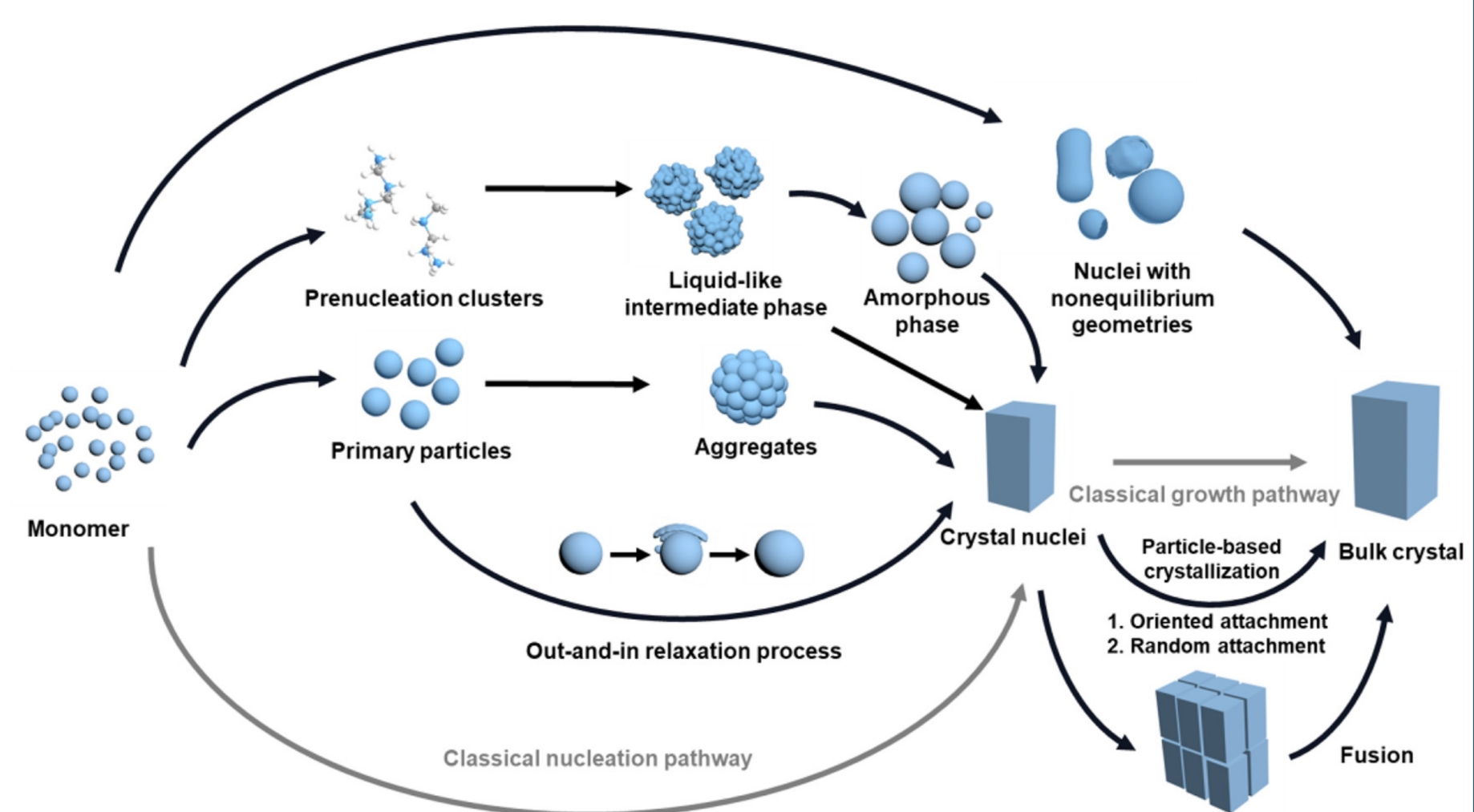
# Crystallization of *trans*-stilbene derivatives via bound-sphere kinetic Monte Carlo in SPPARKS (Stochastic Parallel Particle Kinetic Simulator)

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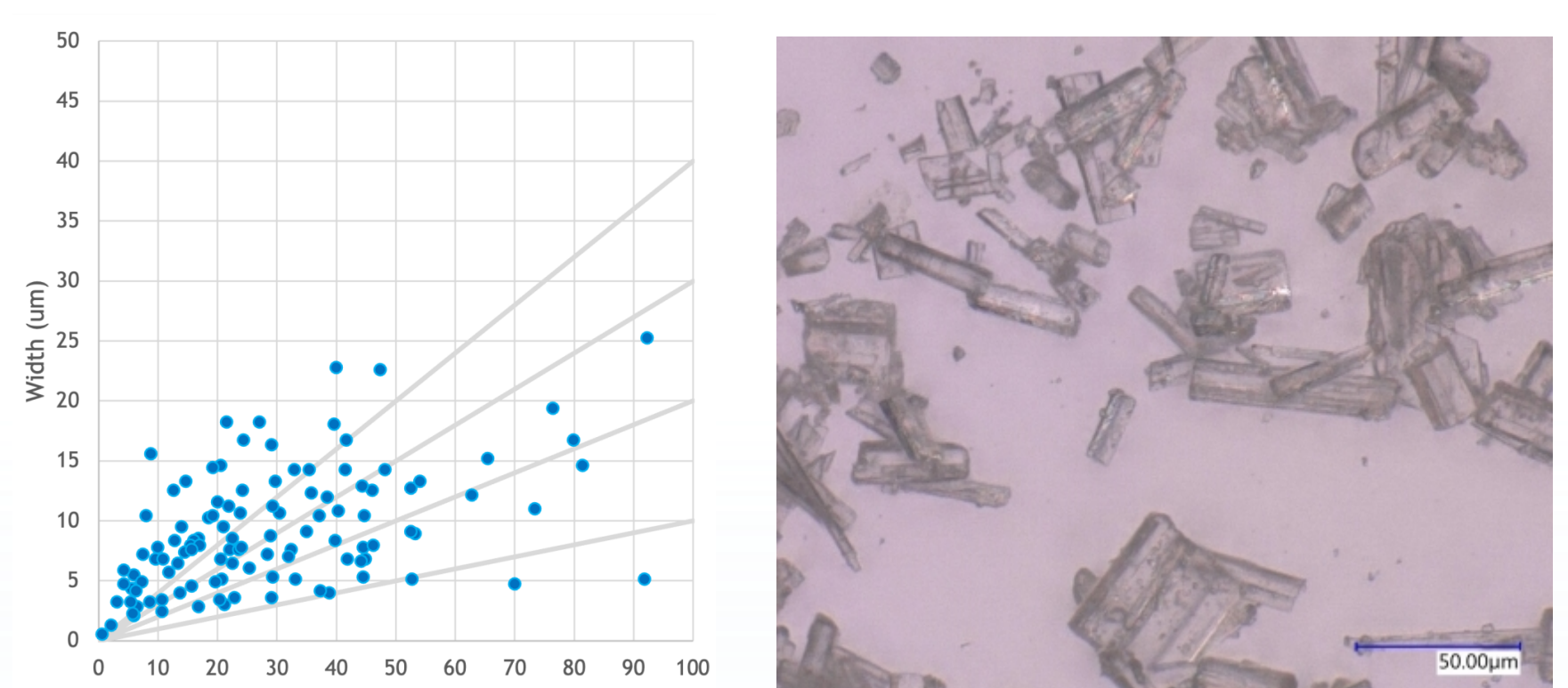
## Background

Resveratrol is widely used in medical treatments due to its antioxidant properties. *trans*-resveratrol has a stilbene derivative structure and is commonly isolated by recrystallization from solution. The mechanism of recrystallization has not been widely explored and is expected to proceed via non-classical crystallization pathways.



**Fig. 1:** Non-classical crystallization pathways. Reproduced with permission from [1] Copyright 2022 American Chemical Society.

We interrogate this mechanism in a multiscale approach, combining experiment, meso-scale, and kinetic Monte Carlo (kMC) models. Initial measurements of as-delivered resveratrol crystals reveal preferential, rod-like orientations (Fig. 2) which may form single-crystal aggregates prior to nucleation “events” upon recrystallization.

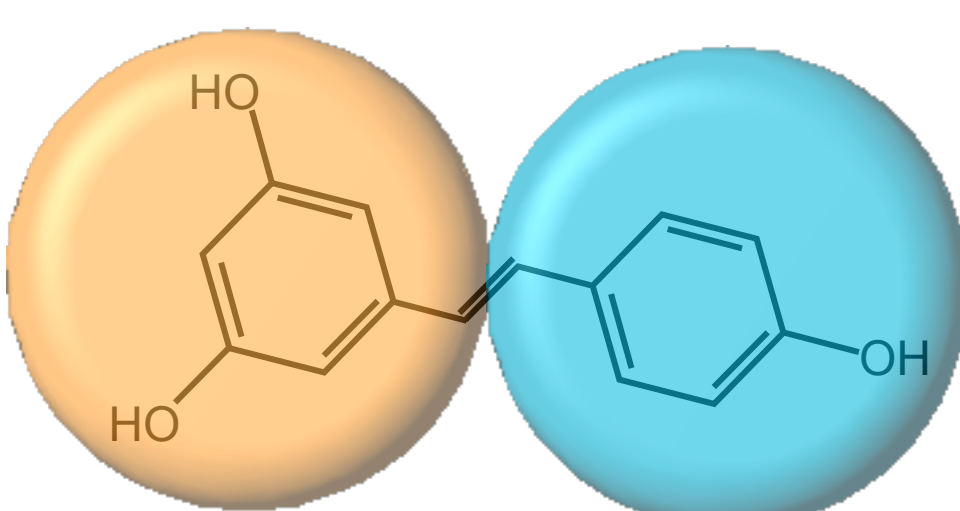


**Fig. 2:** Microscale photograph of “rod-like” resveratrol crystals and measurement of length/width profile.

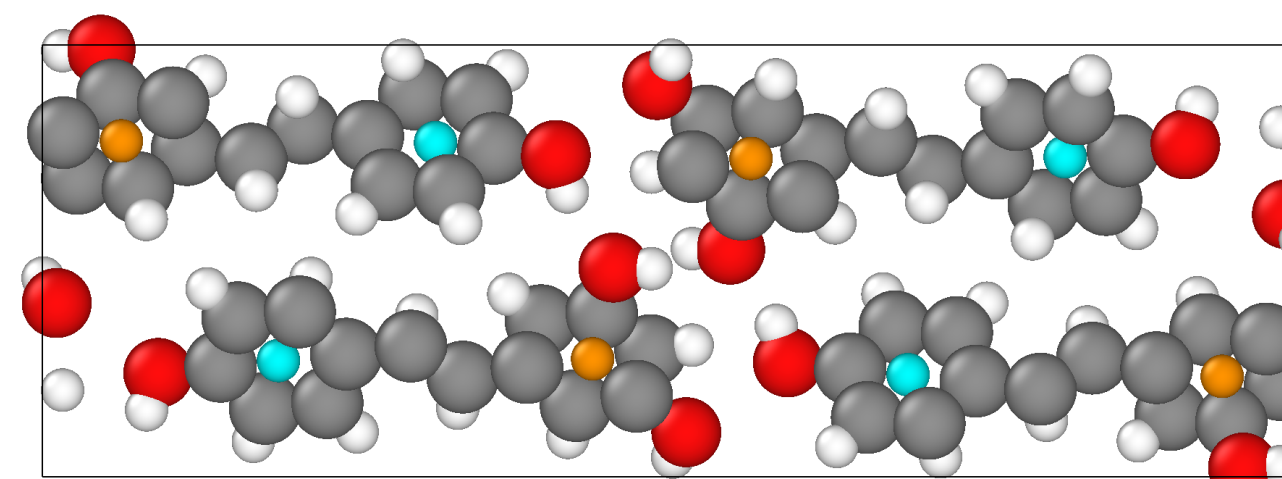
The present work focuses on kMC approaches to determine growth rates of single crystals which will inform mesoscale growth models. Goals of this work are to:

1. Develop a kMC theoretical model which reasonably coarse-grains the molecular system for on-lattice simulations.
2. Adapt our kMC platform (SPPARKS) to accommodate this model.
3. Apply electronic structure benchmark calculations for our crystallization deposition/diffusion event library.

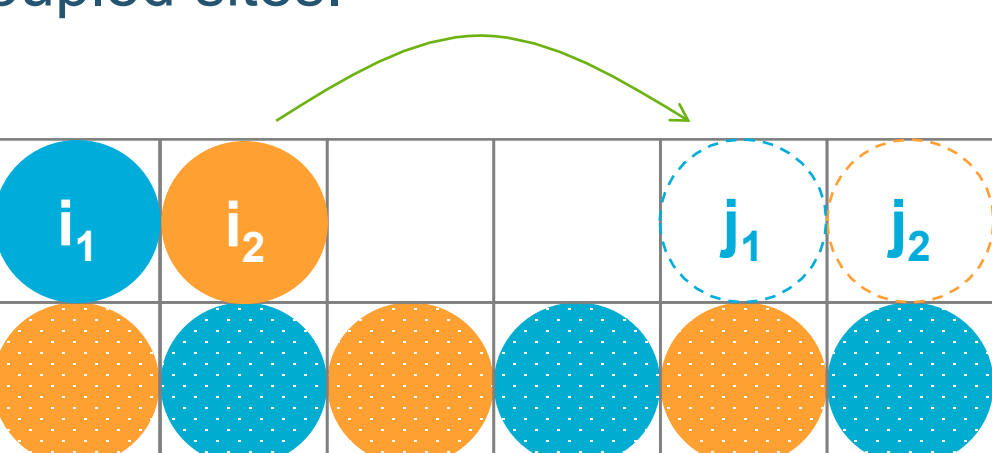
## Kinetic Monte Carlo (kMC) Approach Bound-sphere approximation for on-lattice kMC



**Fig. 3:** We approximate resveratrol by coarse-graining into two bound spheres as coupled sites.



**Fig. 4:** Each 28-atom molecule is reduced to 2 center-of-mass sites for facile on-lattice kMC implementation.



**Fig. 5:** On-lattice models restrict possible positions of particles in deposition/diffusion events. For the sphere in site  $i_1$  to move to site  $j_1$ , site  $j_2$  must be available to accept  $i_2$ .

$$(1) \Gamma_{ij} = v_0 \exp \left[ -\frac{E_{ij}}{kT} \right]$$

$$(2) E_{ij} = 2(E_{j1+j2} - E_{i1+i2}) + E_{\text{barrier}}$$

**Eq 1:** Rates of deposition/diffusion ( $\Gamma$ ) are determined by relative energies between randomly chosen initial ( $i$ ) and final ( $j$ ) states. These energies are determined via DFT.

**Eq 2:** The bound-sphere model requires energy of both coupled sites in the possible move.

## SPPARKS & modifications

<https://spparks.sandia.gov>

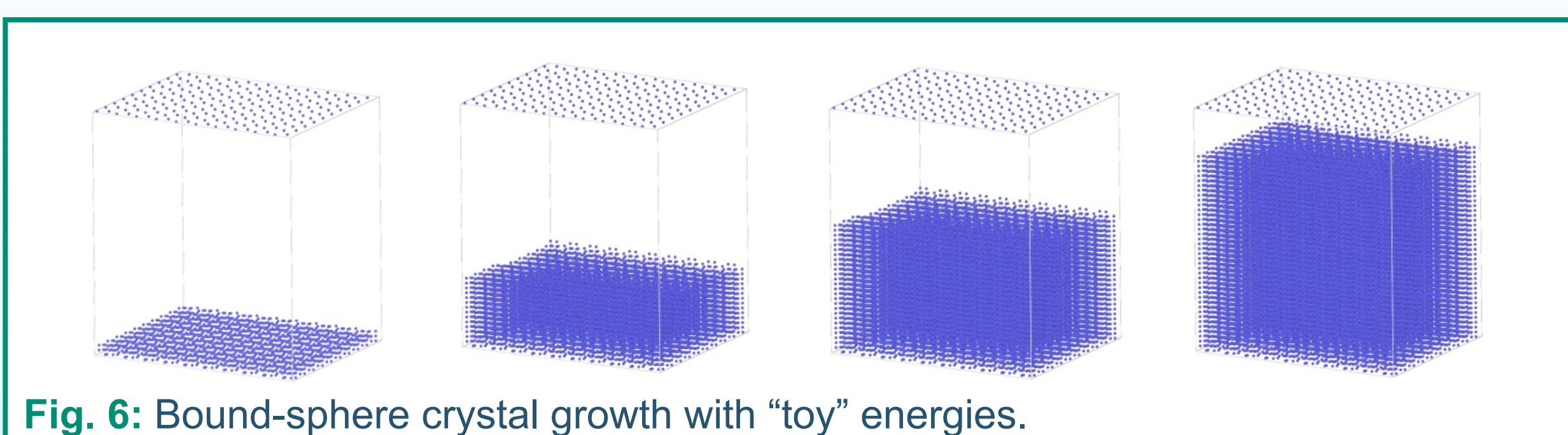
SPPARKS [2] is a modular, parallelized, open-source kMC platform with a range of MC solvers. The following modifications have been made to SPPARKS to enable bound-sphere models of *trans*-stilbenes:

### 1. “Bound-site” models

Standard on-lattice approaches in SPPARKS treat each site uniquely. To apply the bound-sphere problem, deposition and diffusion in SPPARKS have been adapted to couple sites as pairs. This impacts the physical structure of the lattice as well as energetic propensities, according to Eq. 1&2.

### 1. Non-orthogonal 3D simulation types

Resveratrol has a monoclinic unit cell ( $P2_1/c$ ). Prior to this work, all simulations in SPPARKS were constrained to orthogonal shapes. By enabling non-orthogonal simulation cells in SPPARKS, a larger materials library can be more accurately modeled, including the present resveratrol system.

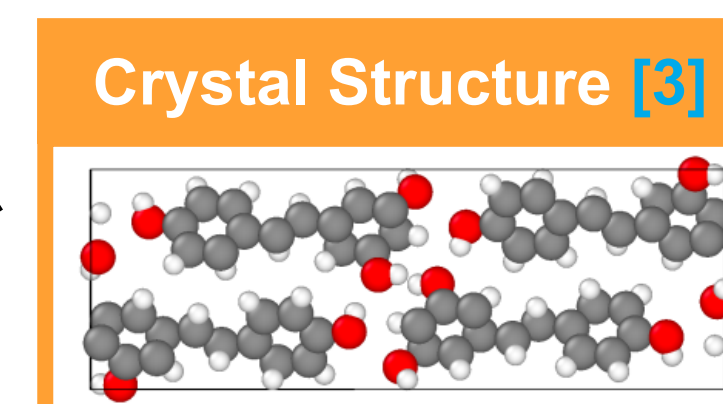
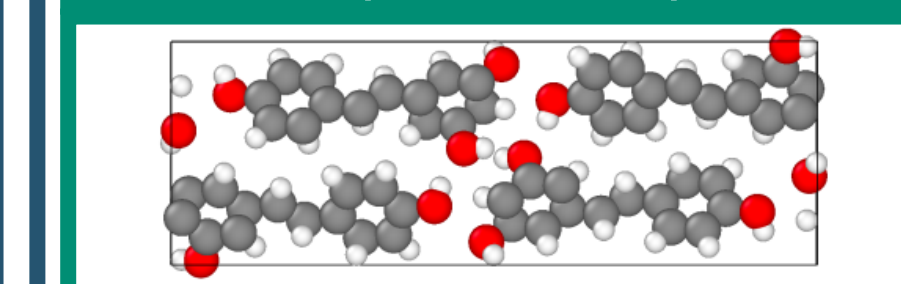


**Fig. 6:** Bound-sphere crystal growth with “toy” energies.

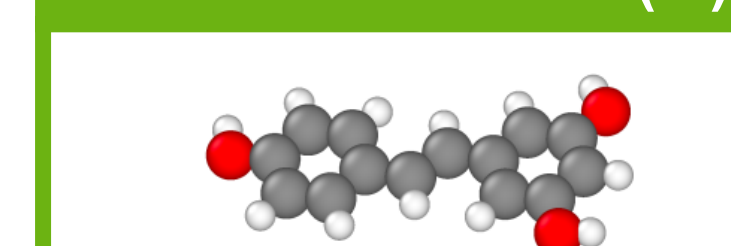
## Event Library Characterization Workflow

### Relaxed periodic bulk

- Fixed angles/box shape
- Lattice lengths optimized
- Atomic positions optimized



### Relaxed monomer (M)



$$E_{\text{site}} = E_D - E_S - E_M$$

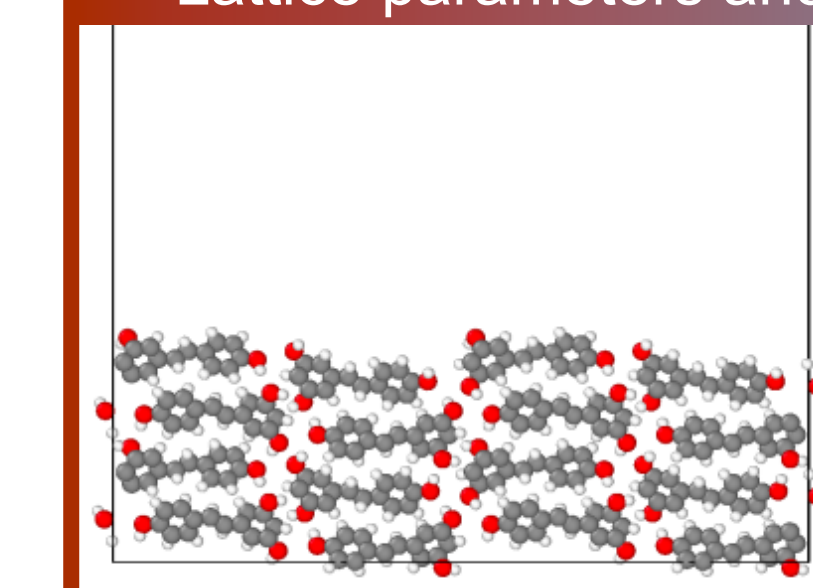
Replicate to build clean surface + vacuum layer

**Schematic:** The binding energy ( $E_{\text{site}}$ ) of each site is determined from the difference in initial and final state energies.

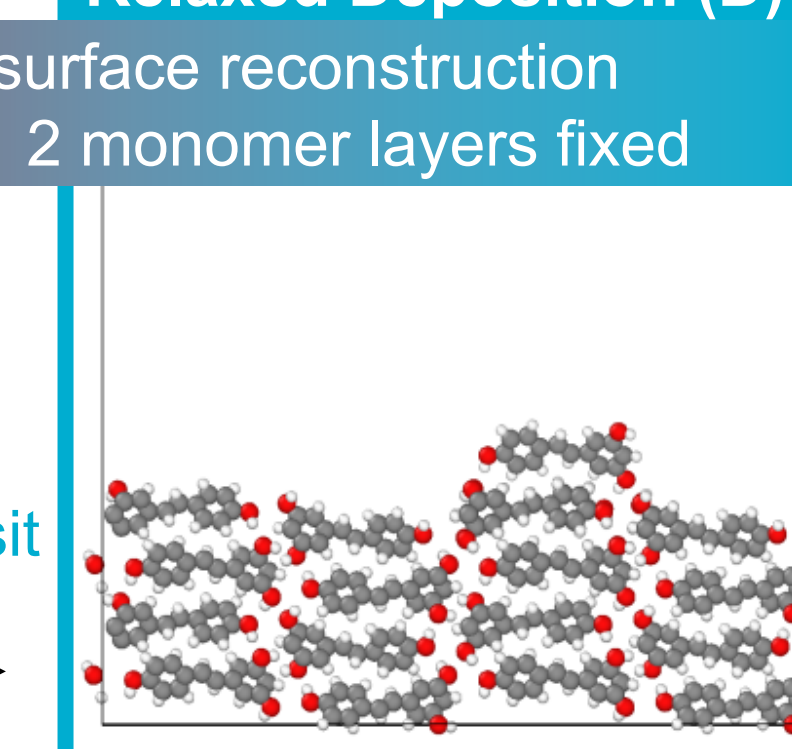
Energies are computed for the all-atom system then tabulated in SPPARKS and indexed by coordination number of each site in the bound-sphere pair.

### Relaxed Surface (S)

- Atomic positions optimized for surface reconstruction
- Lattice parameters and bottom 2 monomer layers fixed



### Relaxed Deposition (D)



Deposit site

## Computational Details

All electronic structure calculations are performed in FHI-aims [4] using “light” numerical settings and tier-1 basis functions. Perdew, Burke and Ernzerhof GGAs [5] are invoked for exchange-correlation interactions with a Tkatchenko-Scheffler Hirschfeld-partitioning vdW correction [6] and a Pulay DIIS mixing algorithm [7].

Periodic calculations invoke a 3x3x1 kpoint mesh. Geometric minimization is performed using a Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm [8] with a trust radius of 0.01 eV/Å. SCF iterations are converged within  $10^{-4}$  eV. Surfaces were created from 6x2x2 unit cell replications with an added >20 Å vacuum to omit periodic interactions between surfaces. Surface calculations constrain the bottom two monolayers (first unit cell) of molecules.

## Ongoing Work

1. We have developed the infrastructure in SPPARKS to model *trans*-stilbenes as a bound-sphere approximation in on-lattice kMC.
2. Collection of energetic benchmarks from electronic structure is **ongoing**.
3. Once complete, rates extracted from our model will inform continuum- and meso-scale models for aggregate formation and other possible non-classical crystallization pathways.

## References

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