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Acid-Base Interactions at the Molecular Level: Adhesion and Friction Studies with Interfacial Force Microscopy

A. R. Burns, J. E. Houston, R. W. Carpick, and T. A. Michalske
Surface and Interface Sciences Department, MS 1413
Sandia National Laboratories
Albuquerque, NM 87185-1413

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ABSTRACT

To examine the forces of acid-base adhesive interactions at the molecular level, we utilize the scanning probe Interfacial Force Microscope (IFM). Unlike cantilever-based atomic force microscopes, the IFM is a non-compliant, mechanically stable probe that provides a complete adhesive profile without jump-to-contact. In this way, we are able to quantitatively measure the work of adhesion and bond energies at well-defined, nanometer-scale single asperity contacts. In particular, we will discuss the displacement-controlled adhesive forces between self-assembled monolayers of functionalized alkanethiols strongly bound to a gold substrate and a similarly functionalized tip. We also discuss a method utilizing decoupled lateral and normal force sensors to simultaneously observe the onset of both friction and chemical bond formation. Measurements show that friction can be directly attributed to bond formation and rupture well before repulsive contact.

Running title: Acid-Base Interactions at the Molecular Level...

Key Words: adhesion, friction, scanning probe microscopy, interfacial forces

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1. Introduction

In the last ten years, the field of scanning probe force microscopy of surfaces has grown enormously. The primary factors in this growth stem from the realization that attractive and repulsive forces between the probe tip and the sample surface can be utilized to provide not only a "feedback" signal for detailed nanometer-scale topographic imaging, but also to provide a window into those molecular force interactions with nN sensitivity and unprecedented displacement and lateral resolution. Atomic force microscopes (AFM) [1-3] and interfacial force microscopes (IFM) [4, 5], can detect weak van der Waals forces as well as strong bonding interactions. Fundamental studies of friction and tribology have undergone a considerable rebirth due to the ability of scanning probe microscopes to detect lateral forces simultaneously with normal forces [6-8], although the fundamental relationship between friction and adhesion remains elusive.

Chemical modification of the sample and/or scanning probe tip with hydrophobic or hydrophilic functional groups has been shown to be a sensitive way to probe "chemical forces" and to map the location of functional groups [5, 9-11]. An extremely effective and reproducible way of tailoring functional groups for fundamental studies of adhesion is to use self-assembled monolayers of n-alkanethiols [12, 13] that are terminated with various tail groups such as CH₃, COOH, OH, NH₂. A schematic representation is shown in Fig. 1. Alkanethiols have also been used extensively as model "lubricants" because they can be tailored to examine dissipative losses as a function of "mechanical" parameters such as chain length, packing density [7, 14], and cross-linking [15], as well as "chemical" parameters such as adhesive molecular bond strengths.

In this paper we will discuss how the IFM can be used to study adhesive interactions at the molecular level. Extensive reviews of cantilever-based AFM studies can be found in Refs. [7, 10]. The IFM was developed [4] to overcome the inherent mechanical instabilities of AFM cantilevers when making ("jump to contact") and breaking ("pull off") interfacial contacts. As shown in Fig. 2, these instabilities arise when the attractive force gradient of the tip-sample interaction exceeds the spring constant of the cantilever. Much of the detail in the adhesive force-distance profile is lost when these jumps in and out of contact occur, and the contact itself always has some repulsive

character [16]. Another concern is the phenomenon of adhesive hysteresis, which is the reason that we show *two* force vs. displacement curves in Fig. 2 instead of a single curve. The causes of hysteresis go beyond the scope of this paper, but can be attributed to irreversible mechanical, chemical, and/or structural effects [17]. On approach, the jump to contact can completely obscure the depth of the initial, bond-forming adhesive interaction; whereas on withdrawal, the much stronger adhesive interaction is observed directly as the pull-off force. In fact, it is the pull-off force that is commonly used to determine the work of adhesion (see Eq. 1 in next section). Thus we see that in the case of significant adhesion hysteresis, AFM analysis of adhesion energies must be viewed with caution. Furthermore, mechanical impact resulting from jump-to-contact may significantly alter the character of the adhesive interface. It is clearly desirable to have a mechanically stable, displacement-controlled method to measure the tip-sample adhesive interaction, while still benefiting from the nanoscale resolution and imaging capabilities of scanning probe microscopy.

2. Interfacial force microscopy

The basic idea underlying an IFM is shown in Fig. 3. Details concerning operation of the sensor may be found elsewhere [4, 18], and are only briefly summarized here. An IFM sensor consists of a teeter-totter-like common plate of a differential capacitor suspended by torsion bars above two identical gold pads fixed in a glass substrate, creating capacitances C_1 and C_2 in Fig. 3. The position of the common plate is determined by an rf bridge circuit and is initially balanced by static voltages applied to the capacitor pads. The balanced sensor is placed under proportional-integral-derivative feedback control by a circuit that supplies voltages to one pad to counteract any error signal due to normal forces applied to the tip. The normal force can be directly calculated with reasonable accuracy and precision ($\pm 20\%$) from the feedback voltage, the capacitances, and the static voltages, or it can be directly calibrated (with greater accuracy and precision) using a laboratory micro-balance [4, 18]. Unlike AFM cantilevers, the sensor is mechanically-stable and

non-compliant. Scanning and displacement-controlled approaches and withdrawals are performed with standard piezoelectric devices.

Representative force-displacement curves [5] in the tip/sample adhesive interaction are shown in Fig. 4. These experiments were performed in an atmosphere of dry nitrogen. For this data, various pairs of thiol tail groups were prepared on the gold-coated probe tips and samples by 24-hour exposure to 0.5 mM solutions of specific n-alkanethiols $X(\text{CH}_2)_{11}\text{SH}$, where $X=\text{CH}_3, \text{NH}_2, \text{COOH}$. The force profiles were measured at a displacement rate of 8 nm/s, and the zero of displacement was arbitrarily set as the point where the IFM signal (load) goes through zero prior to positive (net repulsive) loading. It was also convenient to normalize the adhesive forces relative to the tip radius in order to scale results for the different tip radii shown in parenthesis. Qualitatively, one can see that the complete adhesive interaction between tip and sample was obtained for both approach and withdrawal, with no evidence of mechanical instabilities. The adhesive forces increase considerably in the expected order as one progresses from the CH_3 vs. CH_3 van der Waals interaction to the full two-center acid-base bonding in NH_2 vs. COOH .

Quantitative analysis of the force-displacement curves is based on the following. From contact mechanics, the work of adhesion γ between the parabolic tip with radius R and the sample is a function of the critical load L_c (usually negative), or peak attractive force, at pull-off:

$$\gamma = \frac{-L_c}{\pi C R} . \quad (1)$$

The constant $C = 3/2$ in the Johnson, Kendall, Roberts (JKR) [19] model of adhesive contact mechanics, whereas $C = 2$ in the Derjaguin, Muller, Toporov (DMT) [20] model. Much controversy has surrounded the applicability of one model over the other and scanning probe experiments often require elements of both. We use the JKR model here because it correctly predicts a finite contact area at the critical load L_c , while the DMT model predicts zero contact area at L_c . This becomes important in the next section where we discuss friction vs. load. In Fig. 4, (L_c/R) is usually larger for the withdrawal relative to the approach because of adhesive hysteresis.

It is well-known from the Dupré equation (Eq. 2) [17] that the work of adhesion γ is a function of the surface free energies γ_1 and γ_2 of the contacting surfaces and the interfacial energy γ_{12} :

$$\gamma = \gamma_1 + \gamma_2 - \gamma_{12} \quad (2)$$

For identical pairs of interfaces, $\gamma_1 = \gamma_2$ and $\gamma_{12} = 0$; hence, γ becomes the work of cohesion $2\gamma_1$ and we obtain the surface free energies of the various thiol monolayers shown in Table 1. The CH₃ vs. CH₃ pair is expected to have a purely van der Waals (dispersive) interaction, with each monolayer having a surface free energy of $\sim 25 \text{ mJ/m}^2$ [17]. We see that the results are too high (they would be much closer to the expected value if $C = 2$). The other two identical pairs indicate increasing surface free energies due to hydrogen-bonding contributions. Fowkes [21] realized that contributions to the surface free energy can be additive,

$$\gamma_1 = \gamma_1^d + \gamma_1^b \quad (3)$$

where the first term in Eq. 3 is the non-directional dispersion forces contribution, and the second term is the directional hydrogen-bonding contribution. To estimate the latter for the pairs NH₂ vs. NH₂ and COOH vs. COOH we simply subtract the 80 mJ/m^2 dispersion contribution measured from the hydrophobic CH₃ vs. CH₃ pair (see Table 1) which has no bonding; *i.e.*, $\gamma_1 = \gamma_1^d = 40 \text{ mJ/m}^2$. We show below that the dispersive component is the same for the COOH and CH₃ tail groups. The homologous pairs indicate very weak hydrogen bonding in the NH₂ vs. NH₂ case and relatively stronger bonding in COOH vs. COOH case. In order to compare the results in Table 1 with gas-phase values, we convert the bond energy per unit area (mJ/m^2) to kcal/mole by assuming a uniform molecular spacing of $21.4 \text{ \AA}^2/\text{molecule}$. The gas-phase energetics for dimerization of NH₂ vs. NH₂ and COOH vs. COOH are, respectively, 3.4 kcal/mole and 14 kcal/mole [22], which are roughly twice that estimated here. However, the gas-phase value for the latter represents two bonds per dimer. It is possible that the discrepancy may be due to hydrogen-

bonding between NH_2 (or COOH) groups within the same monolayer and/or steric constraints for close-packed monolayers that do not permit optimum bonding orientation.

The work of adhesion of hydrocarbon/polar interfaces such as CH_3 vs. COOH can be estimated from the purely dispersive contributions [17, 21] through

$$\gamma \approx 2\sqrt{\gamma_1^d \gamma_2^d} \quad (4)$$

If $\gamma_1^d \approx \gamma_2^d$, then the work of adhesion for the CH_3 vs. COOH pair is expected to be the same as that observed for the CH_3 vs. CH_3 pair. This did turn out to be the case, indicating that the van der Waals components for the two tail groups were indistinguishable and that no other interaction was significant. It is important to note that analogous experiments [9, 10] performed in a solvent such as water or ethanol will have three interfaces and thus very different results.

We finally get evidence for a true acid/base interaction with the pair COOH vs. NH_2 . The large work of adhesion for this pair in Fig. 4 is due to the interfacial energy γ_{12} . From Eq. 2 and the values of γ_1 and γ_2 obtained from Table 1, we estimate $\gamma_{12} = -688 \text{ mJ/m}^2$. We saw from the CH_3 vs. COOH pair that van der Waals interactions can be neglected in γ_{12} , thus we attribute the entire amount to hydrogen bonding. This value corresponds to $\sim 21 \text{ kcal/mole}$, which is certainly in the range of strong hydrogen bonding [17], and is consistent with the increased polarity of the two-center interaction (see Fig. 1) relative to the COOH vs. COOH dimer.

Thus we have shown that the IFM technique is sensitive to the strength of interfacial bonding as well as being capable of monitoring the entire adhesive interaction. As pointed out elsewhere [10], a distinct advantage of scanning probe adhesion studies is the ability to probe the surface energies of high free energy surfaces. These materials are not amenable to contact angle analysis if they are wet by most liquids. Furthermore, contact angle measurements are macroscopic and thus do not necessarily account for surface roughness [17]. However, an important issue in both AFM and IFM studies is the presence of trace water monolayers condensed from the atmosphere, even when the conditions are "inert." Water layers can potentially reduce surface energies, particularly

for strongly hydrophilic surfaces. The results discussed here thus represent a lower bound to the binding energies.

3. Friction Measurements with Interfacial Force Microscopy

Scanning probes can also be used in sliding contacts to measure friction on the nanometer scale. The effects of adhesion on the *lateral* forces experienced by scanning probes has been an active area not only because of model lubricant studies, but also because this is the basis for "chemical force" imaging of functional groups [7, 9, 10]. Unfortunately, the mechanical instabilities of cantilever-based measurements tend to obscure the exact relation between friction, interfacial bonding, and applied load. That is because the friction forces are generally measured only in the repulsive regime of the contact, or for a limited range preceding pull-off that has both repulsive and attractive components [16]. This situation is exacerbated when chemical modification of the free tail groups leads to stronger adhesive forces at the interface and hence much greater force gradients [9, 10]. Finally, the finite mechanical coupling between the normal and lateral force sensing modes of a typical AFM cantilever [23] must also be taken into account in examining the friction-load relation of model lubricants.

In this section, we describe a new way of examining frictional forces over the *entire* adhesive interaction between a probe tip and the sample surface that not only avoids the inherent mechanical instabilities of AFM cantilevers, but also completely decouples normal and lateral force sensors. The method is based on bringing together the techniques of shear-force microscopy [24, 25] with the IFM. In this arrangement, frictional forces acting to dampen the tip's lateral motion are monitored independently as a function of both positive (repulsive) and negative (attractive) loads. Thus the adhesive nature of friction at the earliest stages of contact can be clearly characterized. Experimental details will be published elsewhere [26], but the basic design is to mount the probe tip shown in Fig. 3 on a small "dither" piezo tube, which vibrates it laterally. The probe is made of a glass fiber in order to provide a fairly high Q (~ 100) for resonance frequencies in the range 25-50 kHz. The diameter of the probe tip is reduced to <100 nm by using a commercial fiber puller [27].

Fiber motion induces voltages on the piezo, which are detected on the remaining quadrants by a phase-sensitive lock-in amplifier [28]. Attenuation of the fiber amplitude upon interaction of the probe tip with the surface is the basis for our friction measurements. The shear force damping (friction force) is proportional to the quantity $(1-V/V_0)$, where V is the attenuated signal at a given displacement and V_0 is the unattenuated signal due to the free lateral amplitude prior to contact. For an initial 12 nm free amplitude, we estimate that the frictional force at complete damping is 18 nN [26]. By keeping the initial free amplitude constant, we in effect use the *same range of friction force* (0-18 nN) for each sample. (For much higher normal loads and lateral forces, another technique has been recently developed involving lateral force detection by the IFM itself [29].)

We have studied the behavior of two thiols discussed above which have identical chain lengths, but differing tail groups: $\text{CH}_3(\text{CH}_2)_{11}\text{SH}$ (hereafter called "CH₃-thiol"), and $\text{COOH}(\text{CH}_2)_{11}\text{SH}$ (hereafter called "COOH-thiol"). Although the chemistry of the bare glass tip can be modified in principal, the fragile nature of the glass tip precludes immersion in thiol solutions. We choose here to let the native glass silanol groups interact with the molecular monolayers; therefore, our functional group "pairings" are CH₃ vs. OH and COOH vs. OH. All of the experiments were conducted in a filtered, dry nitrogen atmosphere where the relative humidity was less than 8%, and no capillary condensation of water was observed.

We show at the top of Fig. 5 the lateral "shear" damping of the tip amplitude as the oscillating fiber approaches the CH₃-thiol monolayer, together with the *simultaneous* normal-force response of the IFM sensor. The tip approaches the substrate at a rate of 2.5 Å/sec until the extent of lateral damping exceeds 80% of the undamped amplitude, at which point the tip is withdrawn at the same rate. We estimate the displacement is accurate to within 15%, with independent piezo calibration performed against a known 50 Å feature. Unlike Fig. 4, we now arbitrarily set zero in the displacement axis in Fig. 5 to be the point where the damping (friction) begins. (Also, the direction of approach is now right to left, instead of left to right). The initially negative IFM sensor signal indicates a weak attractive interaction that reaches a maximum of ~100 nN, and appears to have a total range of 7 ± 1 Å. As the tip continues the approach past approximately (-7) Å, the

attractive interaction gives way to increasingly repulsive forces that compress the CH₃-thiol monolayer and gold substrate. A JKR force-displacement fit in this ~32 Å compressive regime provides an effective Young's Modulus of 8±1 GPa that is comparable to a value of 9.3±3.1 GPa previously measured for a supported hydrocarbon film [30]. Thus, in summary, friction in the CH₃-thiol system is due first to weak attractive forces, followed by compression of the film.

Under identical conditions and approach rate, the interaction of the *same tip* with the COOH-thiol sample is predominantly attractive; as shown in the lower part of Fig. 5, the tip motion is completely damped over the same range of lateral forces long before any appreciable repulsive compression occurs. In fact, the steep rise in friction with displacement suggests that a significant fraction of COOH-thiol chains "stand up" from their usual 30° tilt [12] to meet the probe. The ~7 Å range of the tip-COOH-thiol attractive interaction is essentially the same as that observed for the CH₃-thiol, although the forces are clearly much stronger. Thus it is possible that some of the CH₃-thiol chains stand up as well, but they are unable to significantly slow the tip down because of the relatively weak attractive interaction. However, by virtue of the stronger attractive interaction, the COOH-thiols bring the tip to a halt. The collective motion of the chains will act as an efficient channel for vibrational energy dissipation in addition to the losses incurred by the making and breaking of adhesive bonds. We can account for ~3 Å of the ~7 Å attractive interaction range through the motion of the thiol chains from the initial 30° tilt to a 0° upright position. The additional ~4 Å could be due to surface roughness over the ±12 nm lateral motion.

If we examine the data in terms of friction vs. load (Fig. 6), the adhesive difference between the two thiols is readily apparent. The JKR model predicts the dependence of tip-sample contact area A upon the applied load L . Thus, assuming friction F is proportional to contact area through a constant shear strength τ , we have the relation given in Eq. 5 for a parabolic tip,

$$F = \tau A = \tau \pi \left\{ \frac{R}{K} \left[L + 3\pi R \gamma + \sqrt{6\pi R \gamma L + (3\pi R \gamma)^2} \right] \right\}^{2/3}, \text{ where} \quad (5)$$

$$K = \frac{4}{3} \left(\frac{1 - \nu_1^2}{E_1} + \frac{1 - \nu_2^2}{E_2} \right)^{-1}. \quad (6)$$

The reduced modulus K of the two materials in contact (Eq. 6) is a function of the respective Young's moduli (E_1 , E_2) and Poisson ratios (ν_1 , ν_2) of the tip and sample. If R and K are known, a plot of friction vs. load can be used to determine both constants γ and τ . For our tip shape, determined by scanning electron microscopy to be blunt and non-parabolic, we must apply the extensions to Eq. 5 developed in Ref. [31]. As mentioned above, the JKR model also predicts the relationship between load and displacement, which yielded a value of $E_1=8$ GPa. If we assume $\nu_1=0.4$ as for most materials, and use the (E_2 , ν_2) of glass [32], we obtain $K=11$ GPa. With this value of K in the extensions to Eq. 5, we observe the JKR friction vs. load fits shown in Fig. 6 for CH₃-thiol and COOH-thiol. Although adhesion hysteresis was observable, approach and withdrawal JKR fits were the same within experimental error.

Averaging over many fits for different regions of the CH₃-thiol monolayer, we obtain $\gamma=50\pm21$ mJ/m², which is in better agreement with purely van-der-Waals-type molecular interactions [17] than observed in the previous section. The COOH-thiol monolayer has an average adhesion energy $\gamma=449\pm49$ mJ/m² with the glass silanol groups, which we see from Table 1 is stronger than the COOH vs. COOH pair, but weaker than the COOH vs. NH₂ pair. If we subtract the 50 mJ/m² van der Waals contribution, the 400 mJ/m² result yields a hydrogen-bond energy of ~ 12 kcal/mole.

From $F=18$ nN at 100% damping and the contact areas given by the fits [33], we obtain average shear strength (τ) values of 13.7 ± 1.4 MPa and 20.0 ± 1.9 MPa for CH₃-thiol and COOH-thiol, respectively. The CH₃-thiol shear strength is similar to those obtained for hydrocarbon films with the surface forces apparatus [34]. It is initially surprising that the difference of shear strengths for the CH₃-thiol and COOH-thiol does not correspond with the large difference in adhesion. However, the JKR fit for the CH₃-thiol includes a significant portion of positive applied loads, whereas the COOH-thiol fit only has negative loads. The absence of data in the repulsive

contact regime for the COOH-thiol could mean that the JKR fit provides a lower bound to the estimated shear stress. More important is the fact that the JKR model assumes that the interfacial forces have zero spatial range. Models other than DMT which allow a small, finite range to these forces [35] produce curves essentially the same as those depicted in Fig. 6, and predict that contact is first made with the surface displaced significantly upward from its equilibrium position, *i.e.*, toward the tip, because of attractive forces. Indeed, observing finite friction with such negative loads indicates that the materials have made contact under tensile stress and thus the "range" of attractive forces should take account of film deformation as the molecules extend upward in response to the attractive forces. Our observations are unique, since measurements of load, displacement and friction in this tensile, molecularly-deformed regime are not possible with sensors exhibiting jump-to-contact instabilities.

4. Summary and Conclusions

Scanning probe microscopy is ideally suited for fundamental studies of acid-base interactions at the molecular-level. In particular, we have focused on the interfacial force microscope (IFM), which avoids mechanical instabilities such as "jump-to-contact" that affect cantilever-based atomic force microscopes (AFM). With the non-compliant IFM, one is able to map the entire adhesive interaction vs. displacement and also reveal the extent of adhesive hysteresis. Furthermore, we have discussed the sensitivity of IFM to measure the magnitude of adhesive forces between functionalized self-assembled monolayers which range from weak van der Waals dispersion forces to "full strength" acid-base hydrogen-bonding.

We have shown that the molecular origins of friction can be examined in detail with an instrument which combines the IFM with shear-force microscopy in a way that decouples normal and lateral force sensors. Correlation of normal forces with lateral shear forces reveals both the attractive and repulsive nature of friction between the contacting surfaces. Chemical modification of the surface dramatically changes the relative contributions of adhesive forces to friction. In addition to dissipative processes due to bond breaking and making, strong adhesive forces may

result in additional channels of energy loss characterized by tensile deformation and collective chain motion. Studies such as those presented here can help reveal the detailed relationship between friction and adhesion, and identify modes of deformation unique to molecular films.

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Table 1

Interface	γ (mJ/m ²)	γ_1 or γ_{12} (mJ/m ²)	H-bonding (mJ/m ²)	Bond Energy (kcal/mole)
CH ₃ vs. CH ₃	80 ± 43	40 ± 21 (γ_1)	-	-
NH ₂ vs. NH ₂	133 ± 32	67 ± 16 (γ_1)	53 ± 32	1.6 ± 1.0
COOH vs. COOH	304 ± 72	152 ± 36 (γ_1)	224 ± 72	6.9 ± 2.2
COOH vs. CH ₃	80 ± 43	0 (γ_{12})	-	-
COOH vs. NH ₂	907 ± 83	-688 ± 41 (γ_{12})	688 ± 41	21.1 ± 1.3

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Figure Captions

Fig. 1 Schematic representation of scanning probe studies of acid-base hydrogen bonding between functional groups terminating alkanethiol monolayers. The thiol monolayers self-assemble on gold substrates, thus both tip and sample are initially coated with gold.

Fig. 2 Schematic representation of force profile during tip approach to sample and during withdrawal after repulsive contact. Cantilevered AFM tips shown at top will suffer from "jump-to-contact" if the slope of the approach force gradient exceeds the cantilever spring constant (slope of arrow). Similarly, the cantilever will exhibit the "pull-off" instability during withdrawal from contact. Note that the approach and withdraw curves are not the same if there is significant adhesion hysteresis.

Fig. 3 Schematic of interfacial force microscope (IFM). A probe tip is brought into contact with a sample resting on an IFM sensor. The sensor measures the attractive and repulsive normal forces on the tip by maintaining an electrostatic balance of two capacitances C_1 and C_2 , formed by the common plate and identical gold pads fixed on a glass substrate (not shown).

Fig. 4 Force-displacement approach (O) and withdrawal (+) curves for various pairings of functional terminal groups of alkanethiols (from Ref. [5]). From top to bottom these are CH_3 vs. CH_3 , NH_2 vs. NH_2 , COOH vs. COOH , and COOH vs. NH_2 . The force axis is normalized to the probe radius R , and zero displacement is arbitrarily chosen to be the point where the force goes through zero at contact (far right). Approach is from left to right.

Fig. 5 Simultaneous shear damping of tip lateral motion (\square) and normal forces (\blacktriangle) on tip acquired for approach to CH_3 -thiol monolayer (top) and to COOH -thiol monolayer (bottom). The same glass tip, terminated by intrinsic silanols, is used for both data sets. The corresponding

withdrawal curves are not shown for the sake of clarity. Zero displacement is arbitrarily set at the point of initial contact, and the approach is from right to left.

Fig. 6 Johnson-Kendall-Roberts (JKR) fits to shear damping (friction) vs. normal load for different monolayers: (●-solid curve) CH₃-thiol, and (■-dashed curve) COOH-thiol.

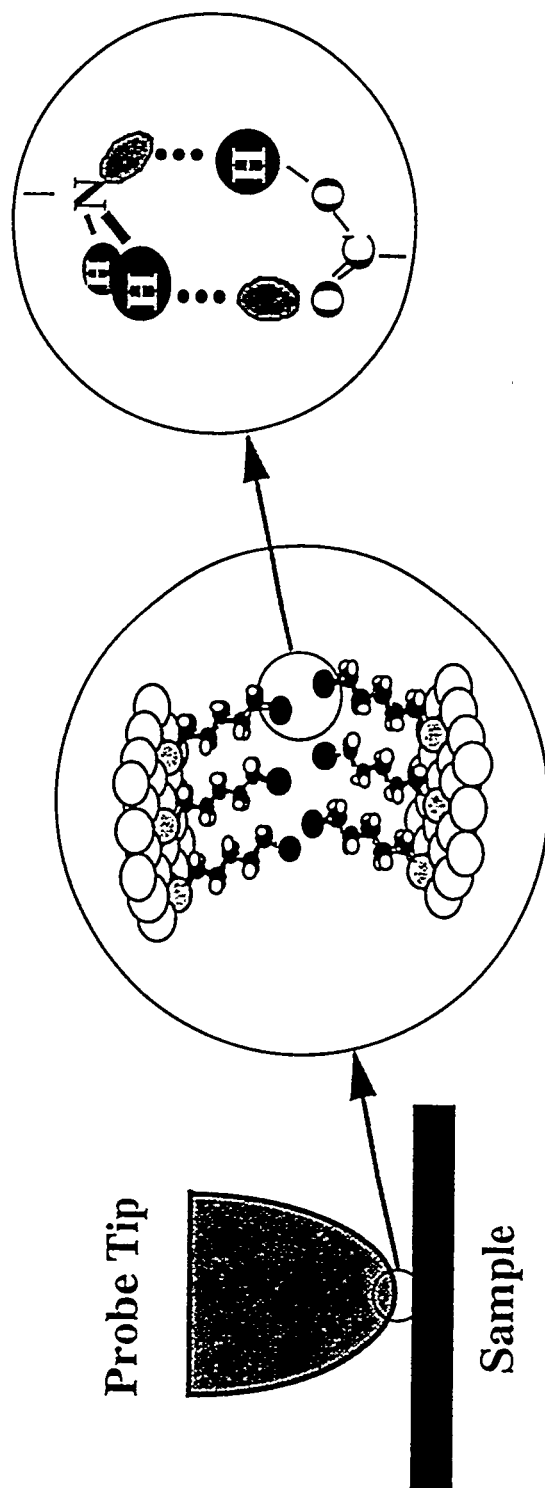


Fig. (1)

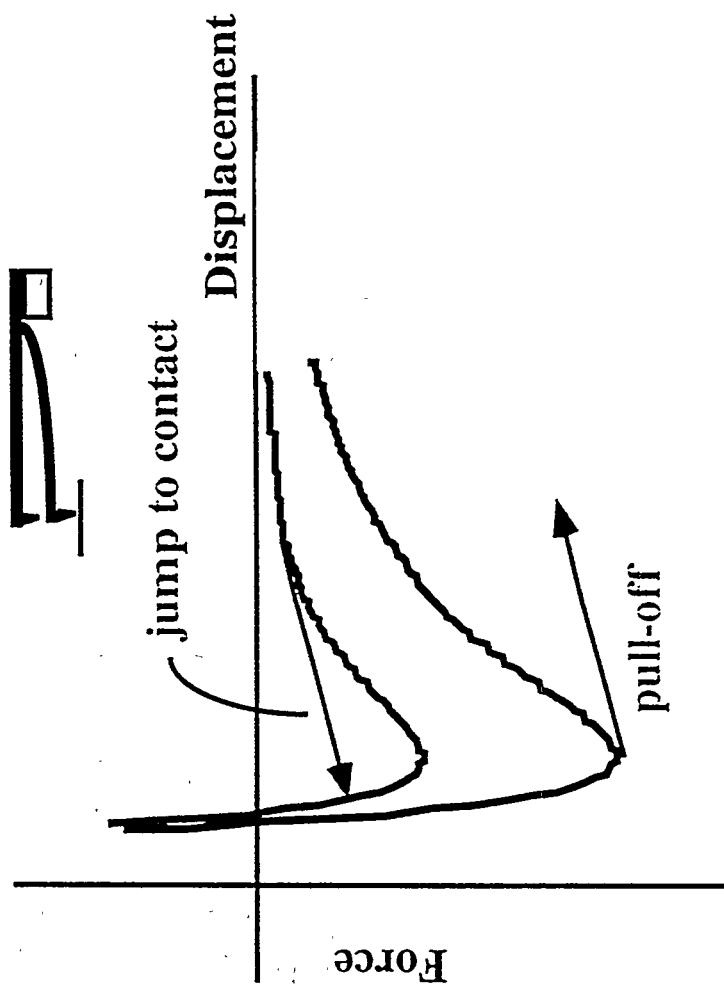
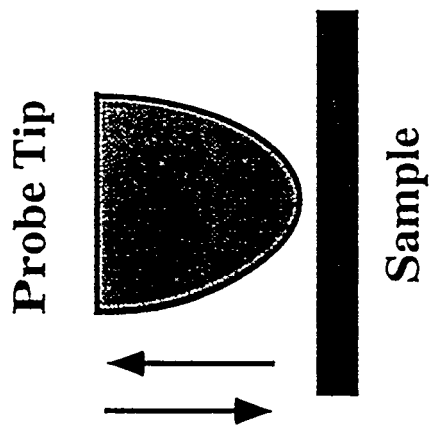


Fig (-)



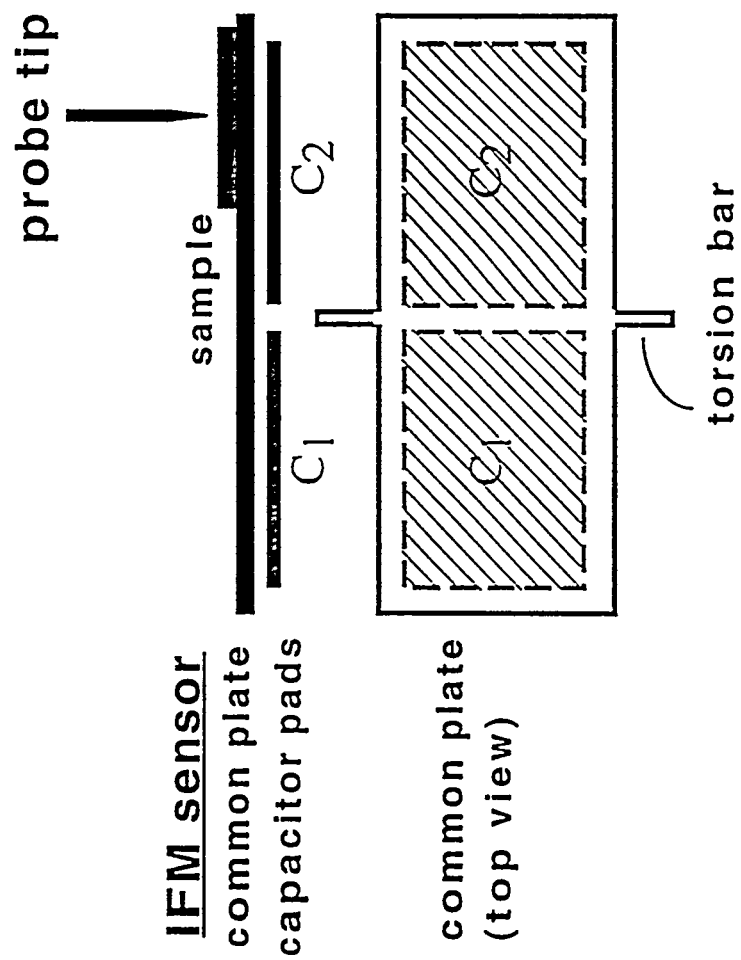


Fig. (.)

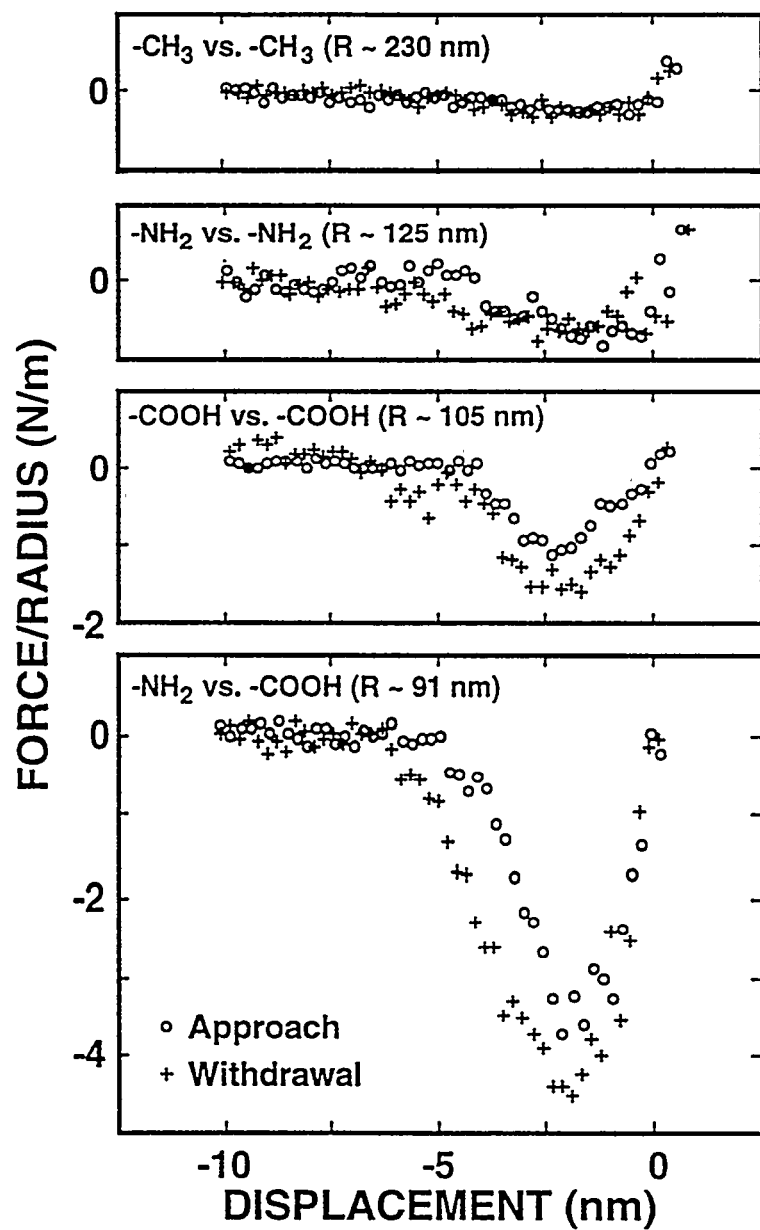


Fig. (4)

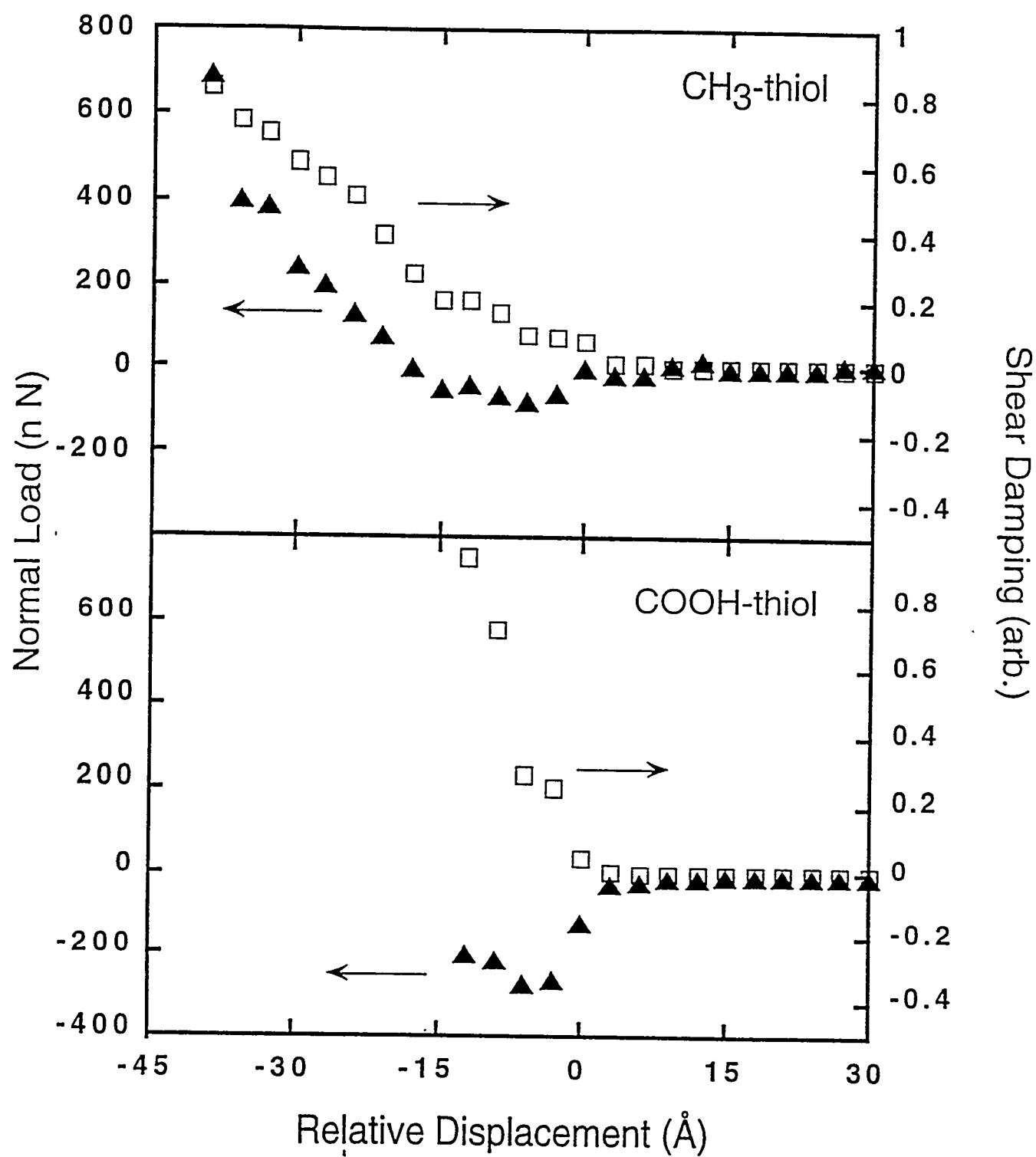


Fig. (5)

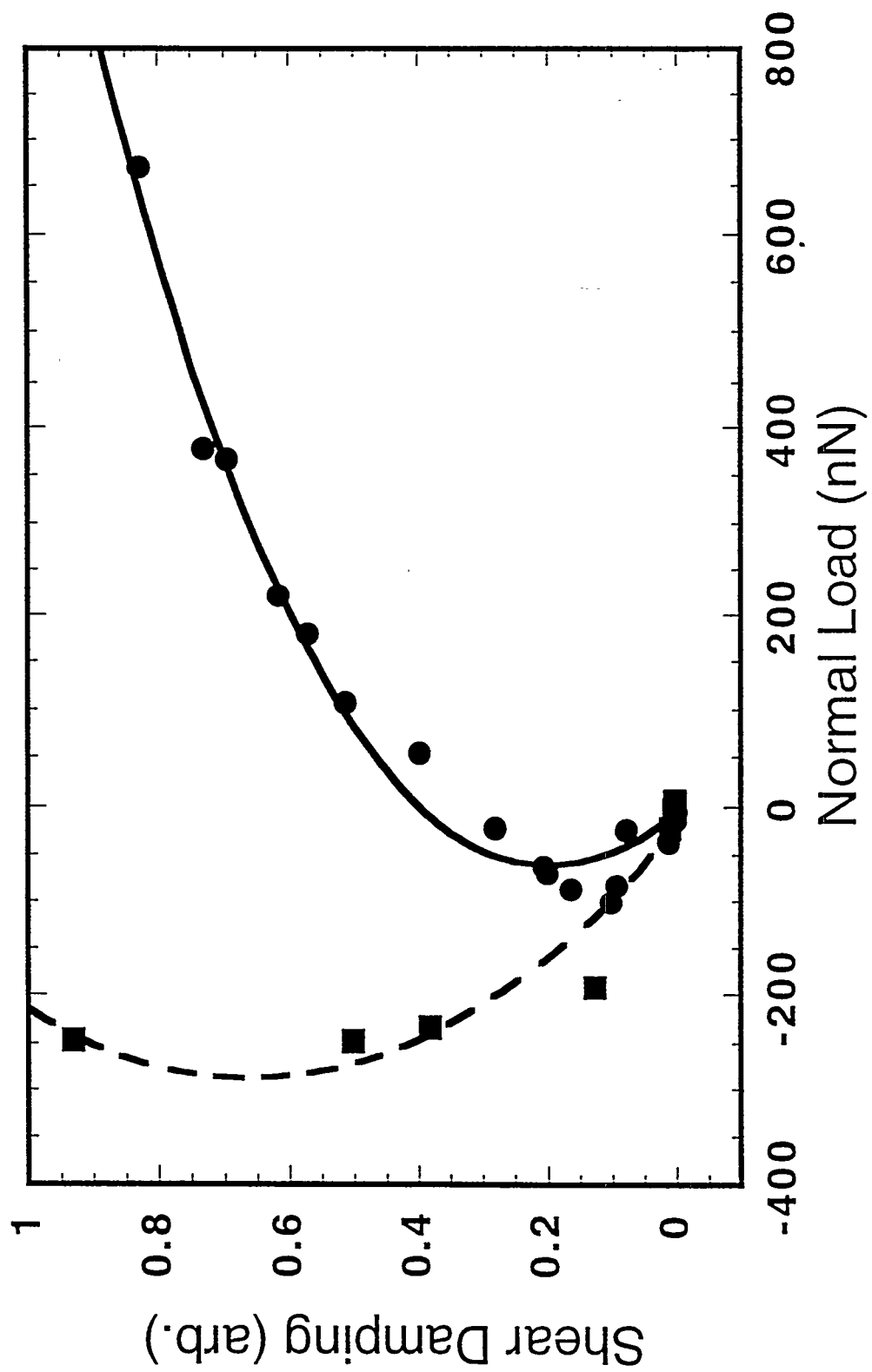


Fig (6)