

**LA-UR-23-30491**

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**Title:** Advanced Moderator Material Handbook FY23 Version

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**Intended for:** Report

**Issued:** 2023-10-25 (rev.2)



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# Advanced Moderator Material Handbook

FY23 Version

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LA-UR-23-30491  
September 30, 2023

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## **Foreword to FY23 Revision**

The first version of the Advanced Moderator Material Handbook was published in United States Fiscal Year 2020 to consolidate knowledge on yttrium dihydride for nuclear reactor moderator applications [1]. The purpose of the handbook was to exist a living document to reflect updates to new findings, results, and publications of experimental data related to nuclear applications of yttrium dihydride as they become available. This revision serves as the second update based on findings that have been published since the publication of the original handbook.

The FY22 revision [2] included data from the Transformational Challenge Reactor program originally conducted at Oak Ridge National Laboratory. These results were primarily in the form of material properties. Additionally, Handbook sections were split and reorganized to improve clarity and overall readability.

The principal additions to the FY23 revision are the pre- and post-irradiation examination of neutron-irradiated yttrium dihydride through the U.S. Department of Energy Office of Nuclear Energy (DOE NE) Microreactor Program and the inclusion of the results from the Hypatia experiment, also performed through the DOE NE Microreactor Program.

## **Acknowledgements**

This document was developed collaboratively with a team of subject matter experts who contributed content and recommendations. Contributors' names and affiliations are listed in the front of the Handbook.

How to cite this document: A. P. Shivprasad et al., "Advanced Moderator Material Handbook: FY23 Version," Los Alamos National Laboratory, Los Alamos, NM, LA-UR-23-30491, 2023.

## **Summary**

High hydrogen density moderators such as metal hydrides are an important research topic within the DOE NE Microreactor Program due to their ability to retain hydrogen to much higher temperatures than other hydrogenous media. This class of moderators, which includes yttrium dihydride ( $\text{YH}_2$ ), thermalizes neutrons in the system such that the overall fuel mass or the required uranium enrichment in the system can be significantly reduced. Knowledge of material properties, both in the as-fabricated and irradiated state, are important to understanding moderator performance during steady-state and transient reactor operation.

Provided in this document is the Advanced Moderator Material Handbook, which gives a detailed summary of the literature data on  $\text{YH}_2$ , thermomechanical and other property data, and a critical evaluation of that data. This handbook also provides microstructural and thermophysical property data for  $\text{YH}_2$  that has been irradiated in the Advanced Test Reactor at Idaho National Laboratory, as well as nuclear data from an integral critical experiment at the National Criticality Experiments Research Center at Los Alamos National Laboratory. This report focuses on measured quantities but also includes some modeling results for comparison where applicable.

From the evaluation of the available literature data, further work is necessary to better develop  $\text{YH}_2$ , standardize fabrication methods and experiments, and thus enable deployment as a moderator for microreactors. Although property data is relatively extensive, details on material degradation are lacking. In particular, the knowledge base for hydrogen redistribution in  $\text{YH}_2$  under temperature gradients, as well as moderator reactivity during accident scenarios such as a reactor breach, warrant further study. In addition, literature information on hydrogen concentrations at given pressures and temperatures exhibits significant variation. To that end, understanding the degradation of this material during normal and off-normal conditions is important. At present,  $\text{YH}_2$  appears to be the best candidate moderator for high-temperature reactors.

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# 1 Introduction

## 1.1 Moderators for microreactor applications

Advanced nuclear reactors such as small modular (SMR) and microreactors are emerging as a viable power generation technology around the world. With their small size, high power output, and relatively low cost, microreactors are envisioned to meet localized power generation needs; these needs may include integration into microgrids to provide power to remote locations and disaster-prone regions, space applications such as nuclear propulsion or fission surface power, and military forward bases. The United States government as well as several private companies have expressed commitment to developing novel, compact nuclear power generation. The Kilopower Reactor Using Stirling TechnologY (KRUSTY) was a recent demonstration performed at the National Criticality Experiments Research Center (NCERC) in March 2018 [3]. Its ambitious, self-regulating features made it highly attractive for terrestrial and space power and propulsion applications. However, the KRUSTY design required highly enriched or weapons-grade uranium to achieve criticality, much like many existing compact designs from the past [Systems Nuclear Auxiliary POWER (SNAP), Aircraft Nuclear Propulsion program (ANP)]. Reduction of the enrichment level from weapons-grade to civilian-grade fuel is highly sought after, but results in higher core masses; this can be ameliorated by using a moderator to slow down neutrons. Moderator materials selected for these concepts must be usable at high temperatures to improve power conversion efficiencies, which makes metal hydrides, graphite, and beryllium-containing compounds attractive candidate materials.

Nuclear moderators are used to thermalize, or slow down, high-energy neutrons so that they may more efficiently participate in fission reactions in isotopes such as uranium-235, which has a high capture cross section in the thermal-neutron energy range [4]. The nearly equal mass of hydrogen to that of a neutron enables significant energy transfer through inelastic collisions. Water is therefore a commonly used moderator due to its high hydrogen density, wide availability, and low cost. However, high-temperature water and steam pose significant risk of corrosion, and the total hydrogen density in steam and supercritical water is much lower than in liquid water. Among the promising alternatives are metal hydrides, owing to their greater thermal stability and hydrogen mass density at high temperatures. The SMR and microreactor concepts are designed around compact nuclear cores that operate at temperatures above what is practical with water moderators. In this respect, metal hydrides are a leading candidate class of materials for a moderator.

Metal hydrides exhibit greater moderating efficiencies due to their high hydrogen densities. Moreover, zirconium, cerium, and yttrium hydrides retain their high hydrogen densities at temperatures in excess of 773 K, the most stable of which is yttrium dihydride ( $YH_2$ ), up to 1623 K [5]. Due to the historical use of graphite, beryllium, and zirconium hydride as nuclear reactor moderators, there exists a wealth of property and neutronic data for these materials. Zirconium hydrides ( $ZrH_x$ ) have been used for decades in reactor systems as either a separate moderator or as part of the fuel system. Examples include the Russian Topaz-II reactor [6], the SNAP-8 and -10 series reactors [7, 8], and the TRIGA reactor series [9]. However, at temperatures above 923 K, hydrogen will eventually desorb from zirconium hydrides with a corresponding decrease in moderating power. Figure 1-1 plots the equilibrium hydrogen atom density of water compared to various metal hydrides that are in equilibrium with 101.325 kPa (1 atm) of hydrogen gas as a function of temperature. Here, zirconium hydride shows a quadratic decrease in H density between 923 and 1123 K followed by a steep drop around 1123 K. Moreover, we note that the 101.325 kPa of hydrogen cover gas is an optimistic scenario; therefore, it is more realistic that hydrogen dissociation will occur at lower temperatures.

## Introduction

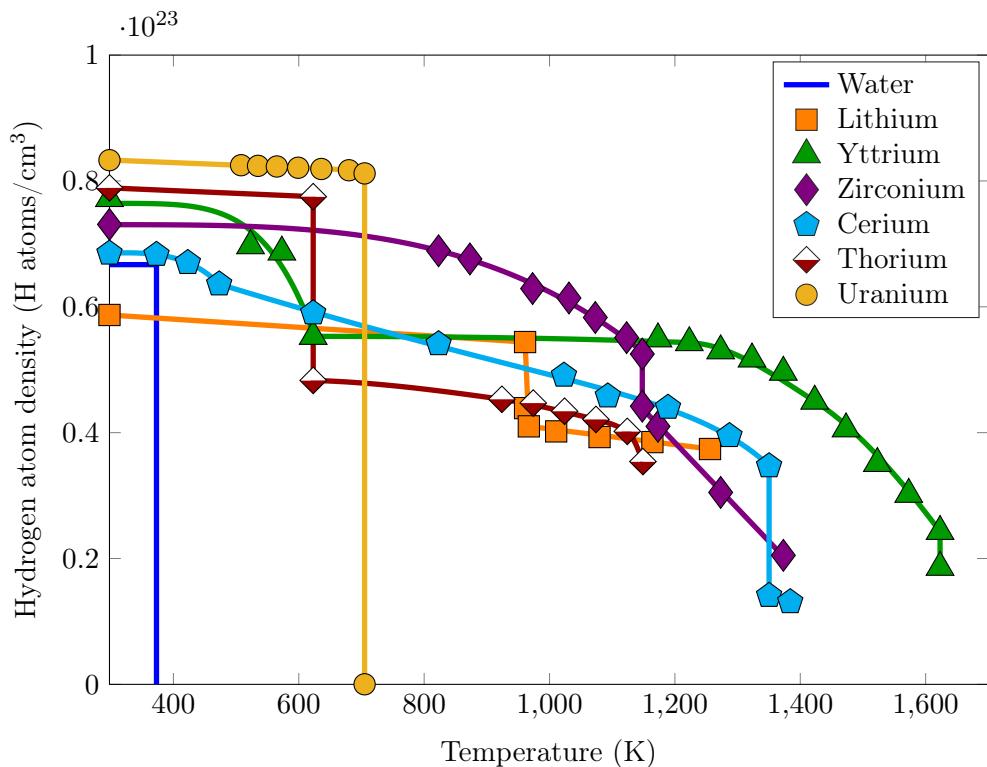


Figure 1-1: Hydrogen atom density as a function of temperature for water and various metal hydrides in equilibrium with 101.325 kPa of hydrogen gas for temperatures between 25 and 1600 K. Decreases in hydrogen density are associated with hydrogen content changes with temperature and with thermal expansion. Figure and caption modified from [5].

In Figure 1-1,  $\text{YH}_2$  displays superior hydrogen retention at the highest temperatures compared to zirconium hydrides. Between 673 and 1273 K, the hydrogen density of  $\text{YH}_2$  is roughly constant with significant hydrogen desorption occurring around 1623 K, and historical data notes that  $\text{YH}_2$  has shown the ability to melt without significant decomposition [10]. However, there are knowledge gaps in the properties and performance of  $\text{YH}_2$  that must be investigated to achieve high technical and manufacturing readiness.

This Handbook serves as a culmination of the phase stability, thermodynamic, thermophysical, mechanical, hydrogen-diffusion, and post-irradiation performance properties of  $\text{YH}_2$  from across published literature in the context of microreactor technology development. We note that a significant portion of these collected works originate from the U.S. Department of Energy Office of Nuclear Energy's Micro Reactor Program and Transformational Challenge Reactor (TCR) program [11, 12].

This Handbook is organized as follows. First, section 1.3 contains an overview of the various experimental techniques used for property measurements. In subsequent sections, some experimental techniques and analysis methods are explained further to familiarize the reader. Property evaluations are presented in terms of the validity of the literature results. Section 2 is a collection of unirradiated material properties relevant to nuclear applications. Finally, the results of various nuclear tests of  $\text{YH}_2$  are presented, including in-reactor irradiations at various test reactors including the Advanced Test Reactor (ATR), as well as an integral critical experiment at the NCERC.

### 1.2 Summary of techniques

This section details the various techniques used to measure the experimental data presented in the rest of this document.

**Bulk yttrium dihydride production** An overview of fabrication of metal hydrides for historic nuclear applications is given by Van Houten and is briefly summarized here [10]. Historically, the fabrication of large metal hydride components for nuclear reactors was achieved using systems that were designed to hydride components to a specific hydrogen content by hydrogen aliquoting or matching hydrogen pressures and reaction vessel temperatures, as per the pressure-composition-temperature (PCT) diagrams of the metal-hydrogen system of interest. Hydriding furnaces on the order of meters in diameter and up to tens of meters in height were used during the Aircraft Nuclear Propulsion Program to produce large components of titanium, zirconium, and yttrium hydrides.

In more recent efforts, metal hydrides have been produced using a Sieverts-type apparatus, which is a gas manifold attached to a calibrated-volume reaction vessel maintained at a temperature of interest. A metal sample is placed in the reaction vessel and hydrogen is titrated into the vessel in well-defined aliquots. The analysis of the subsequent gas-solid reaction is termed "Sievert's gas absorption" from which one can determine the hydrogen content of the resultant metal hydride, the PCT relationships, and, thus, the thermodynamics of the gas-solid reaction. One alternative to the hydride retort and Sieverts gas absorption methods is to expose high-purity metal to hydrogen pressure defined by an isochore for the particular metal-hydrogen system and a defined hydrogen content; this method was first described by Van Houten [13] and was later used by Hu, et al. to produce yttrium dihydride [12]. Another alternative fabrication method is the powder metallurgy process invented by Shivprasad et al. [5].

**Measurement of hydrogen concentration** There are several methods used to measure hydrogen concentration with varying levels of accessibility, applicability, and accuracy. Sieverts gas absorption, as discussed above, allows for the measurement of the number of moles of hydrogen absorbed in a sample as determined by the corresponding pressure drop in the reaction vessel. Note that this assumes a correct hydrogen gas equation of state is used; systematic errors in the pressure, reaction gas manifold volume, and temperature can lead to significant uncertainty. More commonly used is the gravimetric method in which the difference between the mass before and after the absorption reaction is assumed equivalent to the mass of absorbed hydrogen; here accuracy depends on that of the balance and requires high gas purities such that that all mass change is due to hydrogen absorption. There are also destructive methods such as inert gas fusion and vacuum hot extraction where a sample is heated to release the absorbed hydrogen, which is then quantified using various analytical techniques [14]. Among the more advanced nondestructive methods, there is neutron radiography, which measures the attenuation of a neutron beam by the hydrogenated sample and has been shown to be quantitative provided that appropriate calibrations/standards are used [15, 16]. Similarly, cold-neutron prompt gamma activation provides a unique prompt-gamma decay signature upon absorption of a neutron; the intensity of this prompt gamma line is correlated to the overall hydrogen content of the material [17, 18]. These neutron techniques, however, are inherently expensive and can be difficult to access.

**Phase formation** Yttrium forms various hydride phases with hydrogen solubility limits that depend on temperature and pressure. Measurements of the phase-formation thermodynamics include

## Introduction

calorimetry where heat evolution/absorption is measured as a function of temperature relative to a reference temperature. The phase formation thermodynamics, determined using Sievert's gas absorption or calorimetric methods, are used to calculate phase diagrams. One well-established technique to do this is the Calculation of Phase Diagrams (CALPHAD) method, where thermodynamic parameters for the relevant phases are summarized in a database and a computer program is used to calculate the respective Gibb's Free Energies [19]. The computer programs perform Gibb's energy minimization calculations to determine the equilibrium phase diagrams given the input thermodynamic parameters. Thus, the resultant phase diagrams depend heavily on the reliability of the input thermodynamic data.

Material structure provides supplementary information for phase diagram evaluation. Structural parameters are typically determined using X-ray diffraction (XRD) or neutron diffraction (ND) [20, 21]. In these techniques, the incident particles (X-rays or neutrons) scatter off the probed material, following Bragg's Law, which then allows the crystal unit cell parameters to be determined through structure refinement. Moreover, neutrons are highly penetrating and hydrogen nuclei in metal hydrides exhibit very high neutron-scattering cross sections compared to X-rays, thus enabling bulk phase fraction measurements and occupancy factor determination.

**Thermophysical properties** Thermal expansion properties, including the coefficient of thermal expansion (CTE), have been measured using dilatometric methods as well as XRD and ND [22–25]. In our opinion, ND data are preferred due to the ability to probe bulk properties as well as gather simultaneous structural and thermal expansion information. Like phase formation thermodynamics, heat capacity is also measured using calorimetric methods [22, 24–26]. Thermal diffusivity may be measured using laser flash analysis, where the sample is exposed to a laser and the measured heat rise across the sample is an indication of the material thermal diffusivity [24–26]. Finally, thermal conductivity may be measured either using a thermal conductivity probe [22] or from the product of the density calculated from the CTE, the heat capacity, and the thermal diffusivity, all as a function of temperature.

**Hydrogen diffusion** The magnetic properties and hydrogen self-diffusion properties of metal hydrides, including  $\text{YH}_2$ , have been measured using nuclear magnetic resonance (NMR) [27–30]. NMR involves application of a magnetic field to a sample to probe the local magnetic fields about the nucleus of interest. Radio-frequency pulses are used to excite the nuclei, which relax from the excited state. Relaxation times are dependent on experimental parameters and material properties. Thus, if the experimental parameters are kept constant, the material properties, such as mobility and structure, may be determined. This technique can probe the electronic structure of various nuclei and is a bulk measurement technique because the signal is representative of the ensemble of nuclei in the system.

There are several neutron-scattering methods used to measure hydrogen diffusion rates. Quasi-elastic neutron scattering (QENS) and neutron spin-echo spectroscopy are inelastic scattering techniques that measure very small energy exchanges between incident neutrons and a sample's hydrogen nuclei on dynamic time scales that span hydrogen self-diffusion processes (on the order of picosecond to microsecond timescales). QENS studies have been performed for various metal hydrides to uncover ion diffusion rates and pathways [31–34]. Recently, in-situ and ex-situ neutron radiography has been used to quantify hydrogen concentrations and diffusion rates (using the Fick equation) in zirconium alloys [35–38], the principles of which could be extended to studies on yttrium hydrides [39].

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**Mechanical properties** The mechanical properties of metal hydrides may be determined using traditional methods like uniaxial tensile testing and creep testing [22]. However, preparation of dog-bone samples, for example, is very difficult due to cracking and anisotropic volume expansion of large test pieces. Acoustical measurements like resonant ultrasound spectroscopy (RUS) provide a measure of elastic properties of various metal hydrides [5, 40]. In the RUS technique, the sample is subject to mechanical vibrations swept through ultrasound frequencies, which then generate a series of resonances that are solutions to the equation of motion. Elastic properties are then determined by modeling the experimental spectra through either finite-element analysis or energy minimization methods.

**Radiation effects** Post-irradiation examination of ATR-irradiated  $\text{YH}_2$  samples is presented in this Handbook and highlights radiation-induced changes to microstructure and physical properties. However, the fundamental radiation effects in  $\text{YH}_2$  have not yet been published in the literature and are currently being studied. These include ion irradiation studies of  $\text{YH}_2$ .

**Nuclear properties** In addition to characterization of material properties, nuclear cross section data to predict performance of a material is important to understand. Density functional theory (DFT) codes can be used to model fundamental, atomistic properties, such as interatomic forces and crystal lattice parameters [5, 24, 41–46]. Validation of results from DFT codes has occurred using neutron time-of-flight powder diffraction and elastic constant measurements [5, 44, 46]. Of particular interest for hydride material performance in a nuclear system is the generation of thermal scattering laws that contribute to inelastic scatter cross sections such as incoherent inelastic neutron scattering ( $S(\alpha, \beta)$ ) in Monte Carlo transport codes such as the Monte Carlo N-Particle Transport Code (MCNP) [45]. Once the thermal scatter laws are generated, they can be processed by codes such as NJOY to generate data for MCNP [47]. The cross sections developed in this way can then be validated against nuclear reactivity data generated during a critical test, such as was done for  $\text{YH}_2$  at NCERC [48].

## 2 Summary of manufacturing and research needs

Although the benefits of using a yttrium dihydride ( $\text{YH}_2$ ) moderator are many, there are several gaps in knowledge and several logistical considerations for implementation of this material in nuclear reactors.

### 2.1 Manufacturing specifications

For the U.S. nuclear industry, one concern is that there is no domestic supply of yttrium, which has already been identified as a high supply chain risk to the U.S. economy [49]. As a result, *yttrium sourcing is a key need for research and end use in advanced nuclear reactors.*

A key drawback to the use of  $\text{YH}_2$  in nuclear reactors is the difficulty in producing the starting material at purity levels sufficient for nuclear applications. Currently, high-purity yttrium stock is only provided by a few commercial vendors and the material that is produced typically contains impurities that hinder the quantity of hydrogen absorbed, such as oxygen and fluorine [50], or impurities that are associated with neutronic penalties, such as tantalum [5]. The process by which high-purity yttrium metal is produced, which is described in greater detail in Section 3, requires high-purity yttrium oxide ( $\text{Y}_2\text{O}_3$ ) as a starting material, with typical purities of 99.999% and less than 10 wt. ppm of lanthanides. *Innovative methods of purifying yttrium metal from high-purity starting materials are necessary to produce nuclear grades of  $\text{YH}_2$ .*

### 2.2 Research needs and considerations

Currently, the process to manufacture  $\text{YH}_2$  across a variety of synthesis techniques is well established and is deemed to be mature [5, 12]. Although these methods are informed by pressure-composition-temperature (PCT) relations, the absolute values of the PCT curves for the yttrium-hydrogen system are not well established. These values are necessary for predicting hydride phase stability under reactor conditions. As will be described in section 3, the equilibrium hydrogen pressures to achieve hydrogen contents within the two-phase  $\text{Y}-\text{YH}_2$  phase regime are consistent across much of the literature. However, the equilibrium hydrogen pressures in the single-phase regimes are not consistent. *Well-characterized PCT curves of the yttrium-hydrogen phase system are required for the use of this material in nuclear reactor systems.*

Similarly, most studies of the formation of the hydride have focused on equilibrium measurements. However, dynamic properties of the hydride are also necessary to predict material performance during reactor startup, normal operation, transients, reactor accidents, and reactor shutdown. *Of particular importance are  $\text{YH}_2$  phase formation kinetics, hydrogen absorption/desorption kinetics, hydrogen surface reaction rates, yttrium trihydride phase formation kinetics, and hydrogen diffusion kinetics.* All of these must be characterized as a function of hydrogen content (H/Y), hydrogen partial pressure, and temperature; in the case of diffusion kinetics, this must be determined additionally as a function of thermal gradients to determine their effect on diffusion above pure concentration-driven, Fick diffusion of hydrogen. Much of the dynamic hydrogen behavior in  $\text{YH}_2$  can be predicted using modeling tools [51]. However, the lack of a consensus for much of the equilibrium and dynamic hydrogen data make modeling efforts challenging.

## 3 Yttrium dihydride phases and thermodynamics

The yttrium-hydrogen (Y-H) system is, like many rare earth-hydrogen systems, relatively complex. The cubic dihydride phase forms from the hexagonal metal phase, which exhibits a significant amount of hydrogen solubility. The dihydride phase has been reported to have extreme thermal stability, even retaining its hydrogen to melting temperatures [10]. The presence of the trihydride phase,  $\text{YH}_3$ , complicates many fabrication efforts due to the large volume expansion upon formation, which often results in material pulverization. This section describes current knowledge of the Y-H phase system and the recorded fabrication methods to produce yttrium dihydride( $\text{YH}_2$ ).

### 3.1 Stable phases and phase transitions

Reviews of the Y-H system have been performed in the past, such as by Blackledge and Khatamian et al. [52, 53]. In this section, we combine the data given in these reviews with other recently reported results to provide a complete picture of the current understanding of the Y-H system.

For nuclear reactor moderator applications, the relevant phases within the Y-H system can be down-selected to those stable at ambient pressure and temperatures between 298 and 1273 K. There are three principal phases of interest: hcp yttrium metal ( $\alpha$ -Y), fcc  $\text{YH}_2$  ( $\delta$ - $\text{YH}_2$ ), and trigonal/hexagonal  $\text{YH}_3$  ( $\varepsilon$ - $\text{YH}_3$ ) [52–54]. There also exists a high-pressure, fcc yttrium trihydride, which maintains the same crystal structure as the ambient pressure dihydride [55].

Yttrium metal in the  $\alpha$ -phase is stable at ambient pressure up to approximately 1753 K, at which point it transforms to the bcc  $\beta$ -yttrium. The melting point of yttrium metal is approximately 1803 K. The hydrides of yttrium are formed upon exposure of  $\alpha$ -yttrium to hydrogen gas at pressures up to 101.325 kPa and temperatures up to approximately 1623 K [20, 56, 57]. Hydride formation may be possible at higher temperatures. However, investigations of hydriding have not been reported to temperatures above 1623 K.

The formation of the di- and trihydride phases is governed by pressure-composition-temperature (PCT) relations. Under sub-ambient pressures of hydrogen, both phases are possible to form. The dihydride phase is stable up to approximately 1623 K, while the trihydride phase is stable below approximately 623 and 673 K based on extrapolation of the PCT data [57, 58]. At temperatures above approximately 623 K, the trihydride phase decomposes to form the dihydride phase and hydrogen gas, and at temperatures above approximately 1623 K,  $\text{YH}_2$  decomposes to form  $\alpha$ -yttrium metal and hydrogen gas for hydrogen pressures below 101.325 kPa.

**Other phases** High-pressure compression ( $\geq 17$  GPa) of  $\text{YH}_3$  or Y-metal exposed to  $\text{H}_2$  at low temperature yields hydrides with much higher hydrogen content, e.g., bct- $\text{YH}_4$ , bcc- $\text{YH}_6$ , and hcp- $\text{YH}_9$  [59, 60]. However, these "super-hydride" phases have not been shown to be stable at ambient pressures or temperatures and, thus, are not considered relevant for nuclear reactor use.

#### 3.1.1 Reporting hydrogen composition

The hydrogen content of metal hydrides for moderator applications has been denoted by four principal units: (1) the hydrogen-to-metal atom ratio, or  $\text{H/M}$ ; (2) the hydrogen atom fraction or percent; (3) the hydrogen weight fraction or percent; and (4) the hydrogen atom density in  $\text{atom}/\text{cm}^3$ . For neutronics calculations, the hydrogen atom density is the preferred unit, as it can readily be used to calculate macroscopic cross sections.

## Yttrium dihydride phases and thermodynamics

The hydrogen-to-metal atom ratio is calculated as the moles of hydrogen absorbed by the hydride to the moles of yttrium metal (H/Y) in the original specimen. This is typically calculated through mass-balance measurements, but the moles of hydrogen absorbed can also be measured by hydrogen pressure drops during Sieverts gas absorption. The focus of this Handbook is  $\text{YH}_2$ , so H/M and H/Y are used interchangeably.

The hydrogen atom fraction or percent is calculated quite simply from the H/M ratio, X. In one molecule of  $\text{YH}_X$ , the hydrogen atom fraction,  $c_H$ , is given as:

$$c_H = \frac{X}{1 + X} \quad (1)$$

Hydrogen weight fraction, or percent, is similarly determined. The hydrogen weight fraction,  $w_H$ , is related to X as follows:

$$w_H = \frac{M_H X}{M_Y + M_H X} \quad (2)$$

where  $M_H$  is the molar mass of monatomic hydrogen (approximately 1.01 g/mol) and  $M_Y$  is the molar mass of yttrium (approximately 88.91 g/mol).

The hydrogen atom density,  $N_H$ , is calculated from the mass density of the material (either the metal with hydrogen in solid-solution or the metal hydride),  $\rho$ , and X, as follows:

$$N_H \left( \frac{\text{atoms H}}{\text{cm}^3} \right) = \frac{\rho \left( \frac{\text{g}}{\text{cm}^3} \right) N_A X}{M_Y + M_H X} \quad (3)$$

where  $N_A$  is Avogadro's number ( $6.022 \times 10^{23} \frac{\text{atoms}}{\text{mol}}$ ). Table 3-1 shows a comparison between several different values for these parameters for yttrium,  $\text{YH}_2$ , and  $\text{YH}_3$  at room temperature.

Table 3-1: Summary of hydrogen contents for yttrium and its hydrides that are relevant to nuclear reactor moderator applications at room temperature and ambient pressure.

X (H/M)	$c_H$ (atom %)	$w_H$ (weight %)	$N_H$ ( $10^{22}$ atoms H/cm <sup>3</sup> )	Phase(s) (-)
0.00	0.00	0.00	0.00	$\alpha$ -Y
0.10	9.09	0.11	0.30	$\alpha$ -Y
0.20	16.67	0.23	0.61	$\alpha$ -Y
0.30	23.08	0.34	0.91	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
0.50	33.33	0.56	1.51	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
1.00	50.00	1.12	3.00	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
1.50	60.00	1.68	4.48	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
1.70	62.96	1.89	5.06	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
1.80	64.29	2.00	5.35	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
1.90	65.52	2.11	5.64	$\alpha$ -Y + $\delta$ - $\text{YH}_2$
2.00	66.67	2.22	5.93	$\delta$ - $\text{YH}_2$
2.50	71.43	2.76	7.38	$\delta$ - $\text{YH}_2$ + $\varepsilon$ - $\text{YH}_3$
3.00	75.00	3.30	8.80	$\varepsilon$ - $\text{YH}_3$

### 3.1.2 Stable phase structural data

Key structural parameters for the relevant stable phases of yttrium hydrides are given in Table 3-2 along with the hydrogen-to-yttrium atom ratio, measured lattice parameters, and the techniques

## Yttrium dihydride phases and thermodynamics

used to determine the lattice parameters. The data summarized in Table 3-2 show that the lattice parameters of each phase are very consistent across all studies, with discrepancies only principally arising on the order of approximately one-hundredth or one-thousandth of an angstrom. However, these differences could also mainly be due to differences in hydrogen content. While a more detailed study may be of interest, the data in the literature are thought to be sufficient due to the consistency across multiple studies and techniques.

Table 3-2: Lattice parameters for Y,  $\text{YH}_2$ , and  $\text{YH}_3$  measured experimentally at ambient temperature and pressure using X-ray (XRD) and neutron diffraction (ND). \*Setoyama et al. measured the lattice parameter (a) of  $\delta\text{-YH}_2$  as a function of hydrogen content that follows the empirical relation  $a(\text{nm}) = 0.5215 - 4.127 \times 10^{-4}c_H(\text{H/Y})$ .

Phase	Hydrogen content (-)	a ( $\text{\AA}$ )	c ( $\text{\AA}$ )	Technique	Ref. (-)
$\alpha\text{-Y}$	0	$3.6474 \pm 0.0007$	$5.7306 \pm 0.0008$	XRD	[61]
	0	3.654	5.7501	XRD	[20]
	0.23	$3.6636 \pm 0.0009$	$5.7900 \pm 0.0013$	XRD	[62]
$\delta\text{-YH}_2$	2.00	5.201	-	XRD	[20]
	1.96	$5.205 \pm 0.002$	-	ND	[21]
	1.7 - 2.0	*	-	XRD	[23]
$\varepsilon\text{-YH}_3$	3.00	3.674	6.599	XRD	[20]
	2.90 - 3.00	3.672	6.659	XRD	[63]
	N/A	$3.67 \pm 0.02$	$6.62 \pm 0.02$	XRD	[64]

## 3.2 Thermodynamics and phase equilibrium

### 3.2.1 Calculation of thermodynamic quantities

An analysis of the PCT curves allows for calculation of the phase formation thermodynamics for yttrium or yttrium hydrides at a particular stoichiometry. The following is a summary of the analysis by Dantzer and extended to additional datasets that were not available at the time of that publication [65]. The PCT curves in Figure 3-1 can be broken down into three distinct regions that span H/M ratios of 0 to 2.0: hydrogen dissolved in yttrium metal, two-phase mixture of yttrium metal and  $\text{YH}_2$  ( $\alpha\text{-Y} + \delta\text{-YH}_{2-x}$ ), and single-phase  $\delta\text{-YH}_{2-x}$ .

**Hydrogen in yttrium metal** For low values of H/Y, hydrogen absorbs into the yttrium metal by the process of adsorption, dissociation, and dissolution (solvation of hydrogen) [66]. The chemical equation for this reaction is given as:



where  $\text{H}_\text{M}$  is hydrogen adsorbed onto the yttrium metal surface. At equilibrium, the chemical potential of the gas is equal to the chemical potential of the hydrogen in the metal. The equilibrium constant, called the Sieverts constant, is given as follows:

$$K_S = c_H \sqrt{p_{\text{H}_2}} \quad (5)$$

where  $K_S$  is the Sievert's Law constant,  $c_H$  is the concentration of hydrogen in solution, and  $p_{\text{H}_2}$  is the equilibrium partial pressure of hydrogen.  $K_S$  is related to thermodynamic properties through

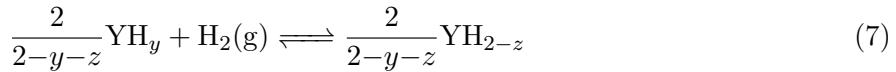
## Yttrium dihydride phases and thermodynamics

Gibb's Free Energy as follows:

$$K_S = K_S^0 \exp \left( -\frac{\Delta H}{RT} + \frac{\Delta S}{R} \right) \quad (6)$$

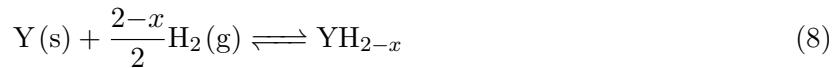
where  $K_S^0$  is the pre-exponential factor for the Sieverts constant and  $\Delta H_s$  and  $\Delta S_s$  are the enthalpy and non-configurational entropy of solution, respectively. Combining Equations (5) and (6) enables calculation of the standard enthalpy and entropy of formation for a given H/M value given knowledge of  $K_S^0$  [67]. The result is that Gibb's Free Energy, and thus the enthalpy and non-configurational entropy of solution, is a linear function of the hydrogen concentration.

**Two-phase yttrium/yttrium dihydride regime** At intermediate values of H/Y, the hydrogen reacts with saturated yttrium metal to form the dihydride. This is represented in the PCT curves as the plateau region and consists of two-phase saturated  $\alpha$ -yttrium and  $\delta$ - $\text{YH}_2$  at the equilibrium stoichiometry given by the composition at the phase boundary. For example, at 873 K, at an overall stoichiometry of 1.0 H/Y units, the material will consist of  $\alpha$ -yttrium with an approximate composition of 0.45 H/Y units and  $\delta$ - $\text{YH}_2$  with a composition of 1.5 H/Y units. In this two-phase region, the hydrogen absorption reaction can be written as:



where  $y$  is the maximum solubility of hydrogen in yttrium metal and  $z$  is the sub-stoichiometry in hydrogen sublattice of  $\text{YH}_2$  [65]. The enthalpy and entropy of the reaction do not vary with hydrogen concentration, as required by chemical equilibrium [68].

**Single-phase yttrium dihydride** The general reaction between yttrium metal and hydrogen gas to form the single-phase dihydride is given as follows:



where  $x$  is the degree of sub-stoichiometry in the hydrogen sublattice. For a reversible reaction, the equilibrium constant for this equation is given as follows:

$$K_{\text{eq}} = \frac{a(\text{YH}_{2-x})}{a(\text{Y}) a(\text{H}_2)^{\frac{2-x}{2}}} \quad (9)$$

where  $a(i)$  is the activity of species  $i$ . Assuming that the activities of the solid, pure phases are unity, and that the concentrations of gases are equal to their equilibrium partial pressures ( $p_g = p_{\text{eq}}/p_0$ ), then

$$K_{\text{eq}} = p_{\text{H}_2}^{-\left(\frac{2-x}{2}\right)} \quad (10)$$

Combining the definitions of Gibb's Free Energy yields:

$$\ln(K_{\text{eq}}) = -\frac{\Delta H^\circ}{RT} + \frac{\Delta S^\circ}{R} \quad (11)$$

$$\frac{2-x}{2} \ln(p_{\text{H}_2}) = \frac{\Delta H^\circ}{RT} - \frac{\Delta S^\circ}{R} \quad (12)$$

## Yttrium dihydride phases and thermodynamics

where  $\Delta H^\circ$  is the standard reaction enthalpy and  $\Delta S^\circ$  is the standard reaction entropy.

From this analysis, the natural log of the equilibrium constant ( $K_{\text{eq}}$ ) and the natural log of the hydrogen partial pressure are both linear functions of  $1/T$ . The enthalpy of formation is obtained from the slope of the curve, while the entropy of formation is obtained from its intercept. For exothermic reactions, the enthalpy of formation is negative, which yields a negative slope for plots of  $\ln(p_{\text{H}_2})$  vs.  $1/T$  and a positive slope for plots of  $\ln(K_{\text{eq}})$  vs.  $1/T$ .

### 3.2.2 Pressure-composition-temperature curves

PCT curves plot equilibrium partial pressure as a function of composition/stoichiometry for gas-solid reactions and are used extensively for hydrogen-solid interactions. As described in section 3.2.1, the equilibrium partial pressure of hydrogen and hydrogen content of the hydride phase are directly related to the enthalpies and entropies of the hydriding reaction.

The PCT diagrams are typically developed using a Sieverts apparatus or a modification thereof. In a typical experiment, a sample is placed in a reaction vessel with a well-defined volume that is attached to a gas manifold also with a well-defined volume. The reaction vessel is evacuated to a suitable vacuum level and then heated to a desired temperature. Hydrogen is then aliquoted at precisely controlled pressures, followed by absorption of the hydrogen gas by the sample, and the corresponding pressure drop over time is measured. The moles of hydrogen absorbed by the sample are calculated from the measured pressure drop, the system volume, and the known temperature using an equation of state (such as the Ideal Gas Law) with assumptions therein. The moles of hydrogen absorbed are related to the initial moles of sample to produce a hydrogen composition. In this way, the equilibrium pressure of hydrogen is plotted as a function of hydrogen composition for each isothermal gas absorption experiment.

It is important to note that chemical compatibility between the sample and the reaction vessel must be considered, and potential hydrogen permeation through the reaction vessel must be addressed at high temperatures. Additionally, the condition of the sample surface, such as surface-adsorbed species, chemical treatment of the surface, ball-milling, and the presence of a surface oxide, have been shown to impact hydriding [69].

A compilation of the PCT curves in the literature for the Y-H system is plotted in Figure 3-1 as equilibrium pressure of hydrogen (on a logarithmic scale) as a function of hydrogen-to-yttrium atom ratio. Each curve represents data collected at a particular temperature. It is observed that, for low hydrogen contents, up to  $H/Y = 0.3 - 0.4$ , the PCT data follow an approximate Sieverts law dependence, which is characteristic of hydrogen solubility in the base yttrium metal, as will be discussed in section 5. For hydrogen contents between approximately 0.3 – 0.6 and 1.0 – 1.6 H/Y units, depending on the temperature, the hydrogen partial pressure remains constant as a function of hydrogen content, which is due to the formation of the dihydride from hydrogen-saturated yttrium metal and hydrogen gas. This regime is called the "plateau region" and represents two-phase equilibrium between the hydrogen-saturated metal and the dihydride, following the phase rule. Finally, at higher hydrogen contents, the hydrogen partial pressure rapidly increases as a function of hydrogen content. At this point, the system is single-phase dihydride that is nearly stoichiometric, and the accommodation of further hydrogen becomes increasingly difficult, i.e., more thermodynamically unfavorable.

Across the literature, it is observed that the plateau regions are very consistent and as such the reproducibility is high. However, the single-phase regions (hydrogen dissolved in yttrium metal or the single-phase dihydride) are quite inconsistent. For example, in the studies by Lundin et al. [20]

## Yttrium dihydride phases and thermodynamics

and Begun et al. [70], the terminal hydrogen content is  $H/Y = 2.0$ , while for Yannopoulos et al. [56] and Tanase et al. [71], their terminal values were closer to  $H/Y = 1.90$  and  $1.70$ , respectively. In the single-phase region with low hydrogen contents, the relationships between the hydrogen partial pressure and hydrogen-to-yttrium atom ratio are also not consistent across the various studies, though they are consistent within each single study. The origin of the inconsistencies is not currently known. We speculate that the starting metal's purity is a likely cause. Lundin et al. noted that the principal impurities in their yttrium metal were 0.57% zirconium and 0.32% oxygen. Yannopoulos et al., on the other hand, noted low-Z impurities of 0.179% oxygen and 0.0033% nitrogen, by mass. The yttrium metal used by Begun et al. showed approximately 0.1% dysprosium, cerium, and zirconium, as well as 0.45% oxygen and 0.05% nitrogen, hydrogen, and other rare earths, by mass, with impurities totaling approximately 0.85% by mass. Tanase et al. measured oxygen and nitrogen impurities to be 0.083% and 0.12%, by mass, respectively. Fu et al. [57] noted that the metal used in their work was vacuum distilled and provided a maximum purity (lowest impurity content of the group) of 0.0155% oxygen and 0.0030% nitrogen, by mass. The presence of alloying elements that do not form a hydride or hydride at higher partial pressures will likely skew the partial pressures to higher values. Substitutional impurity elements, such as oxygen, nitrogen, carbon, and fluorine, will bind with the yttrium atoms, resulting in a smaller population of yttrium atoms available for reaction with the hydrogen. Small differences in gas purities could also affect these impurity content values. Lundin et al. obtained hydrogen source gas by thermal decomposition of uranium hydride, whereas the studies by Yannopoulos et al. and Begun et al. used high-purity hydrogen gas cylinders with impurities of approximately 60 and 10 ppm, respectively. Yannopoulos et al. further purified their gas by using a liquid-nitrogen trap and by passing the gas over zirconium turnings. Tanase et al. used gas with a purity of 99.9999%, while Fu et al. did not mention the purity of the hydrogen gas used to develop the PCT curves. As with substitutional impurities, gas phase impurities can react with the yttrium, thus skewing the overall measured hydrogen content by gravimetric or Sieverts gas absorption methods.

Another possible source of the discrepancies could arise from the differences in the Sieverts apparatuses used in the various studies. Lundin et al., Yannopoulos et al., Begun et al., and Tanase et al. all used ceramic reaction vessels, such as mullite and quartz, due to the low permeability of hydrogen through these materials [72]. However, Fu et al. used a stainless steel reaction vessel, which is known to permeate hydrogen at elevated temperatures [73], raising the question as to how pressure equilibrium at high temperature was established in their study. As a result of the inconsistencies across datasets and the potential impact of gas and metal starting purities, it is believed that more work is necessary to further characterize the PCT relationships in the single-phase regions with careful consideration of sample preparation, vessel calibration, source-gas purity, and pressure/temperature control and sensitivity.

## Yttrium dihydride phases and thermodynamics

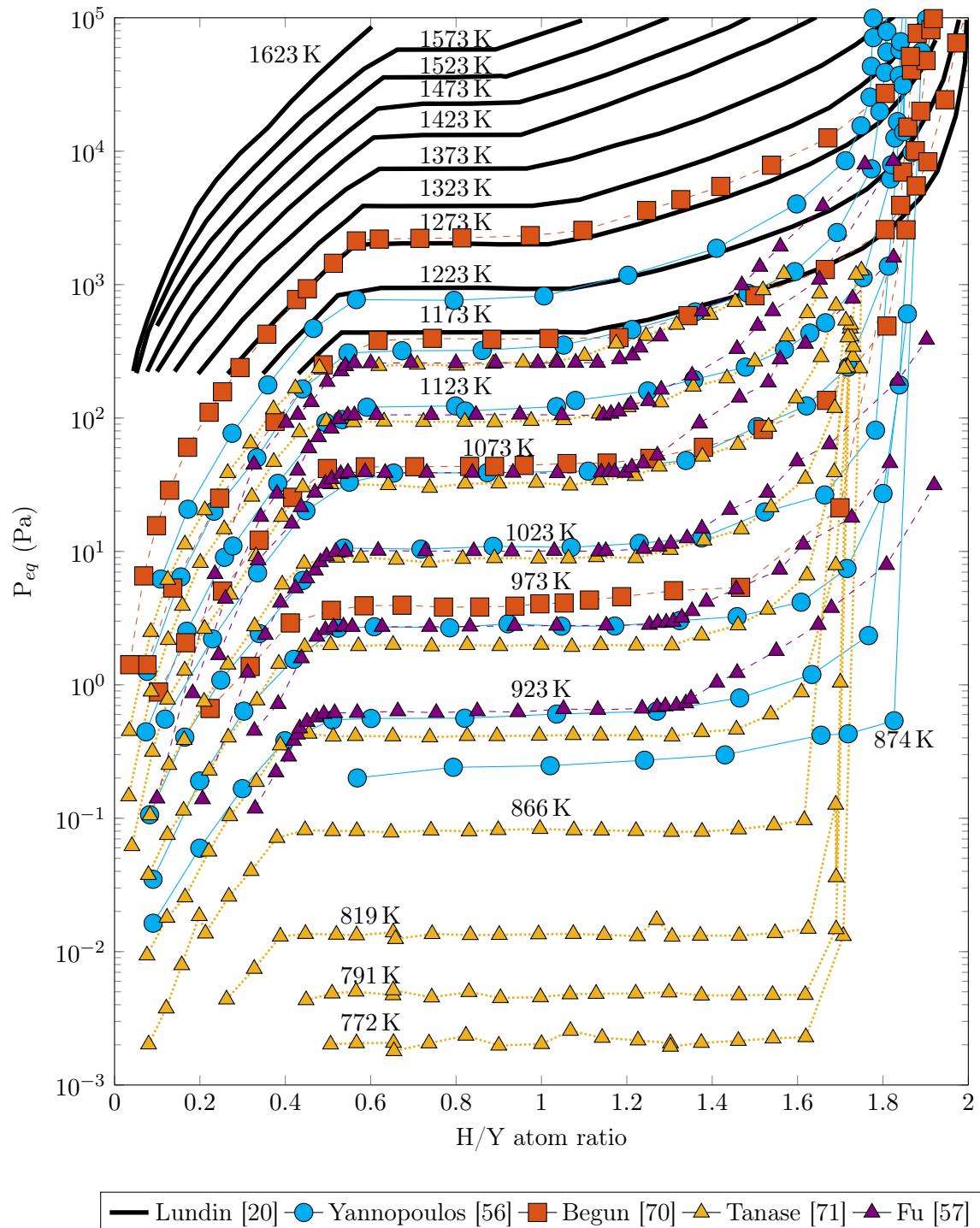


Figure 3-1: Compilation of PCT curves for the Y-H system up to a hydrogen-to-yttrium ratio of 2.0. Data replotted from [20, 56, 57, 70, 71].

## Yttrium dihydride phases and thermodynamics

### 3.2.3 Some hydrogen partial pressures of yttrium dihydride

As mentioned in section 3.2.2, the PCT data for the Y-H system exhibit consistency in the two-phase region (metal and dihydride in equilibrium) but show significant differences in the single-phase regions. A summary of the equilibrium partial pressures, along with the associated data spread from averaging over the various literature sources is shown in Table 3-3. Data are presented as equilibrium hydrogen pressure (in Pa) as a function of hydrogen-to-yttrium atom ratio and temperature (in K).

Table 3-3: Summary of equilibrium hydrogen pressures from literature PCT data [20, 56, 57, 70, 71]. Underlined values were extrapolated from low-temperature data. No data exists for H/Y = 2.0, exactly, due to the difficulty in achieving complete hydriding at these temperatures.

X (H/Y)	P <sub>H<sub>2</sub></sub> (Pa)			
	T = 873 K	T = 1073 K	T = 1273 K	T = 1473 K
0.2	$1.80 \times 10^{-2}$	$2.43 \pm 1.44$	$8.91 \times 10^1$	$1.12 \times 10^3$
0.4	$7.43 \times 10^{-2}$	$1.80 \times 10^1 \pm 7.89$	$6.48 \times 10^2 \pm 1.97 \times 10^1$	$5.54 \times 10^3$
0.6	$1.43 \times 10^{-1} \pm 1.73 \times 10^{-1}$	$3.74 \times 10^1 \pm 9.59$	$2.09 \times 10^3 \pm 2.24 \times 10^2$	$1.93 \times 10^4$
0.8	$1.61 \times 10^{-1} \pm 2.23 \times 10^{-1}$	$3.82 \times 10^1 \pm 9.45$	$2.14 \times 10^3 \pm 2.76 \times 10^2$	$2.27 \times 10^4$
1.0	$1.65 \times 10^{-1} \pm 2.27 \times 10^{-1}$	$3.89 \times 10^1 \pm 9.78$	$2.19 \times 10^3 \pm 5.12 \times 10^2$	$2.62 \times 10^4$
1.2	$1.74 \times 10^{-1} \pm 2.59 \times 10^{-1}$	$4.17 \times 10^1 \pm 9.64$	$2.98 \times 10^3 \pm 7.83 \times 10^2$	$4.31 \times 10^4$
1.4	$1.87 \times 10^{-1} \pm 2.97 \times 10^{-1}$	$7.19 \times 10^1 \pm 4.72 \times 10^1$	$4.73 \times 10^3 \pm 1.37 \times 10^3$	$7.61 \times 10^4$
1.6	$2.42 \times 10^{-1} \pm 4.08 \times 10^{-1}$	$1.78 \times 10^2 \pm 2.07 \times 10^2$	$8.70 \times 10^3 \pm 3.71 \times 10^3$	$1.40 \times 10^5$
1.8	$5.11 \times 10^{-1}$	$1.02 \times 10^3 \pm 9.63 \times 10^2$	$2.23 \times 10^4 \pm 1.18 \times 10^4$	$2.44 \times 10^5$
2.0		N/A		

### 3.2.4 Enthalpies and entropies of formation

The enthalpies and entropies of formation for YH<sub>2</sub> are important to understand, as they provide information on how tightly bound the hydrogen is within the hydride moderator and, thus, help describe the propensity of the moderator to release hydrogen at a given set of conditions. The partial molar enthalpies of formation in the literature are plotted in Figure 3-2, while the partial molar entropies of formation are plotted in Figure 3-3. It should be noted that all results except those from Dantzer et al. were calculated using the above methods from the PCT curves, while Dantzer et al. measured the partial molar enthalpies of formation using calorimetric methods. Another available dataset by Fadeyev et al. was not included, due to a lack of access, as it is a foreign publication not available in English [74].

From Figure 3-2, it is observed that many of the data sets exhibit a significant degree of consistency. The only dataset that appears to disagree is the one by Lundin et al., for which the data appear to be consistently lower, as compared with the other literature. The temperature range examined in the study by Lundin et al. was higher than for all other studies, which could have impacted the analysis. In all studies, the enthalpies of formation are essentially constant in the two-phase equilibrium region of yttrium with YH<sub>2</sub> for hydrogen contents between approximately 0.5 and 1.0 H/Y units. In the dihydride single-phase region, the enthalpy of formation consistently increases with hydrogen content, though Dantzer et al. measured a significantly lower value at 2.0 H/Y units. However, in the metal single-phase region, the relationship between enthalpy of formation and hydrogen content is not as clear. The data by Dantzer et al. show a nearly constant enthalpy of formation in this region, while the values determined from other studies, calculated

## Yttrium dihydride phases and thermodynamics

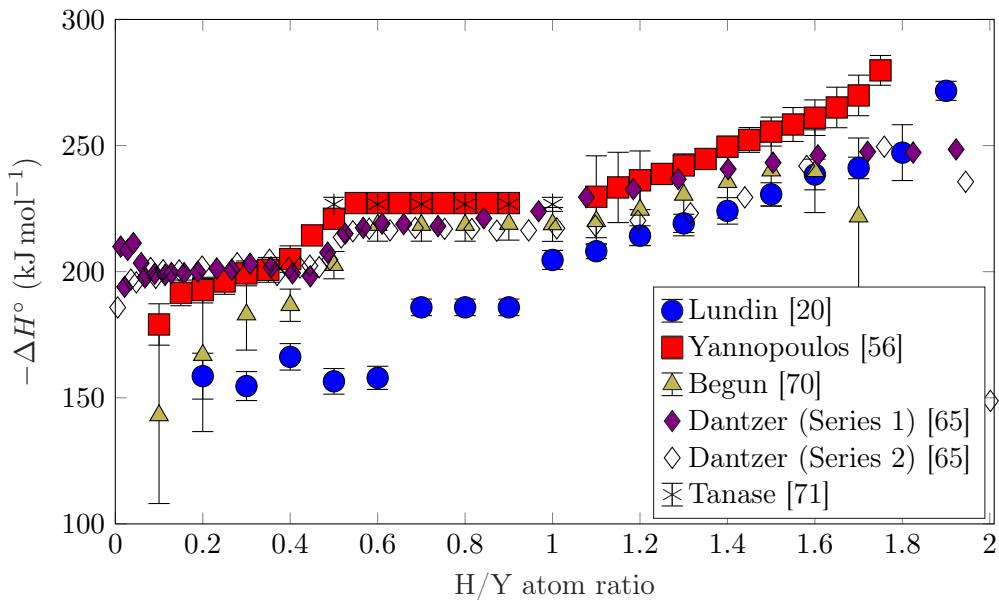


Figure 3-2: Partial molar enthalpy of formation for yttrium dihydride as a function of hydrogen content in H/Y units. Data taken from [20, 56, 65, 70, 71].

using the PCT curves, show increasing formation enthalpy with hydrogen content. It should be noted from Section 3.2.2 that there exists a significant degree of scatter in the PCT data for the pure metal and dihydride single-phase region across all datasets.

Similarly, in Figure 3-3, it is observed that the datasets exhibit a significant degree of overlap, with only the data from Lundin et al. deviating from the others. Again, this is believed to be due to the higher temperature at which hydrogen absorption experiments were performed. However, this is only the case for the yttrium metal single-phase and two-phase regions. In the dihydride single-phase region, all datasets exhibit consistency. The non-configurational entropy of formation is observed to increase with hydrogen content up to approximately 0.5 H/Y units, which demarcates the single-phase metal and two-phase metal and dihydride regions. In the two-phase region, the entropy of formation is constant. Finally, in the single-phase dihydride region, the non-configurational entropy increases with hydrogen content.

## Yttrium dihydride phases and thermodynamics

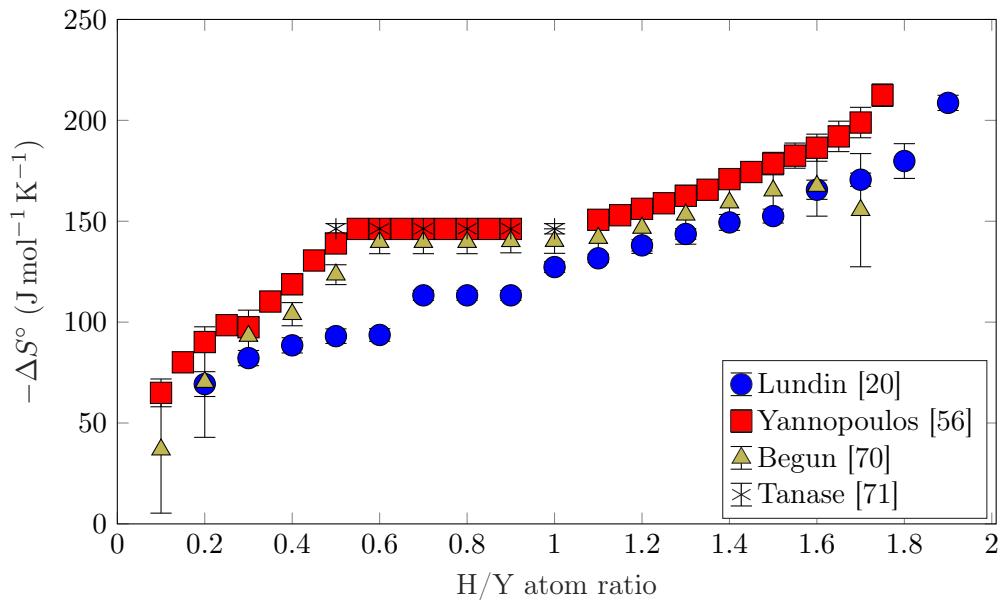


Figure 3-3: Partial molar non-configurational entropy of yttrium dihydride as a function of hydrogen content in H/Y units. Data taken from [20, 56, 70, 71].

### 3.2.5 Yttrium-hydrogen phase diagram

The phase diagrams for gas-solid systems are generated by projecting PCT curves onto the T-x axis and outlining phase-stability regions. As such, the phase diagrams omit the pressures required, but represent useful information. Phase diagram analysis of the Y-H system was first presented by Yannopoulos, et al. [56] and then summarized by Blackledge [52] by compiling data from Lundin, et al. and Yannopoulos, et al. More recently, CALPHAD analysis of the Y-H system thermodynamics was carried out by Fu, et al. and Peng, et al. [57, 58]. A redrawing of the Y-H phase diagram based on the analyses by Fu, et al. and Peng, et al. is presented in Figure 3-4. The phase diagram from this study was used because it is consistent with the previous analyses of the Y-H phase system by Blackledge. It should be noted that very little data exists for  $\text{YH}_2$  at temperatures below approximately 773 K, especially at/near the phase boundary between the two-phase (metal and dihydride) and single-phase (dihydride) regions. As a result, it is believed that this region represents an area of research that requires further study.

## Yttrium dihydride phases and thermodynamics

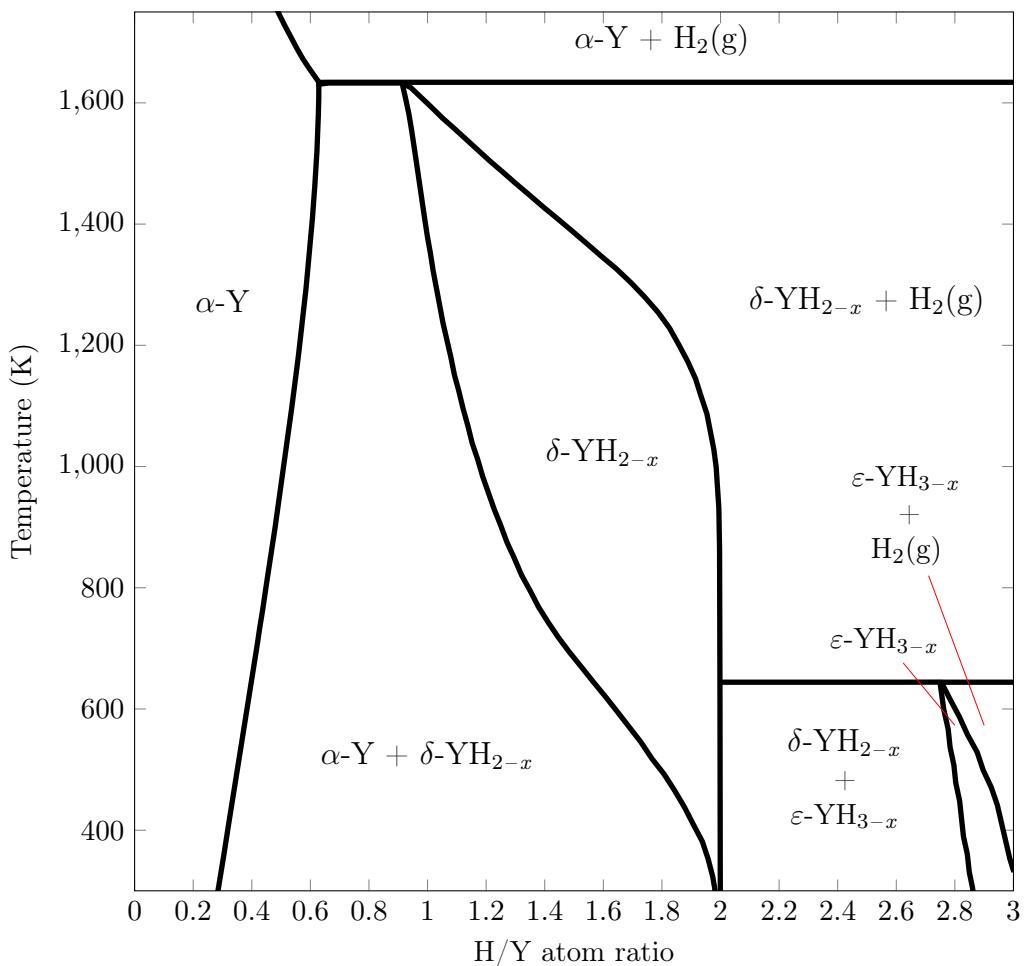


Figure 3-4: Redrawing of the Y-H phase diagram based on CALPHAD analyses by Fu, et al. and Peng, et al. [57, 58] Phase regions are labeled and phase regions have been modified from the original source to temperature in Kelvin as a function of H/Y atom ratio.

### 3.3 Phase formation kinetics

While hydriding kinetics have been measured for many materials such as titanium, zirconium, and intermetallic compounds for hydrogen storage applications, the formation kinetics of  $\text{YH}_2$  have not been explicitly measured [69, 75]. One study in the literature examined the emissivity of a sample of yttrium metal as a function of time when exposed to 0.49 MPa of hydrogen at 528 K, though the result was unclear due to the formation of  $\text{YH}_3$  before complete reaction of yttrium metal to form the dihydride [76]. The application of methods described in literature may be used to characterize the formation of  $\text{YH}_2$  from yttrium metal at various temperatures and pressures.

In general, the pure metals that form hydrides are highly electropositive and readily form surface oxide layers. These surface oxides act as barriers for hydrogen diffusion from the gaseous phase to the metal. As hydrogen diffuses to the metal, it reacts with the metal to form the metal hydride, which is accompanied by the characteristic volume expansion associated with metal hydride formation as the hydride ingresses into the metal in a film-like manner [69].

At low temperatures, the solubility of hydrogen in the metal is low, which results in a large

## **Yttrium dihydride phases and thermodynamics**

hydrogen concentration gradient across the surface [69]. Under these conditions, hydrogen accumulates below the surface oxide layer and, thus, the hydrides nucleate just below the surface oxide layer and grow into the metal. The solubility of hydrogen in the metal increases exponentially with temperature. Therefore, at high temperatures, the hydrogen concentration gradient is shallower and, thus, allows for hydrogen to diffuse further within the metal due to a slower approach to supersaturation at the surface. As a result, other nucleation sites besides the oxide/metal interface become possible such as pathways for fast diffusion like grain boundaries. This results in bulk nucleation of hydrides.

The observed effect of temperature and diffusion pathways on hydriding kinetics makes it clear that surface condition, the presence of impurity phases, heat treatment, and physical form (powder versus monolith) may affect hydriding kinetics significantly. Thus, future investigations into the hydriding kinetics of yttrium to form  $\text{YH}_2$  must account for these kinds of effects.

## 4 Fabrication of yttrium and yttrium dihydride

### 4.1 Preparation of high-purity yttrium

The first preparation of yttrium metal was by the reduction of yttrium chloride by potassium metal in 1828 by Wohler [77]. Since then, all subsequent methods of preparing yttrium metal have been variations of the metallothermic reduction of yttrium halides.

**Yttrium halide synthesis from high-purity oxide** The Ames Laboratory led the production of yttrium metal based on demand from the U.S. Atomic Energy Commission as part of the Air Force Nuclear Propulsion Program. Researchers at Ames Lab developed several methods of preparing yttrium metal from yttrium halides. Detailed descriptions of these methods may be found elsewhere [78], but some of these methods are briefly summarized here. The starting material for yttrium metal production is yttrium(III) oxide ( $\text{Y}_2\text{O}_3$ ). High-purity rare-earth oxides (including yttrium) are prepared using ion-exchange methods. The oxide is then converted to yttrium fluoride ( $\text{YF}_3$ ) or yttrium chloride ( $\text{YCl}_3$ ). High-purity  $\text{YF}_3$  is prepared by Ames Laboratory by direct reaction of the oxide with high-purity anhydrous hydrogen fluoride (HF) gas. Commercially,  $\text{YF}_3$  may be prepared by the reaction of yttrium(III) oxide with ammonium bifluoride ( $\text{NH}_4\text{F} \cdot \text{HF}$ ). Yttrium metal resulting from  $\text{YF}_3$  produced using ammonium bifluoride has been shown to have a higher oxygen content, as compared with material produced using the gaseous hydrogen fluoride route [78].  $\text{YCl}_3$  may be prepared by the reaction between yttrium(III) oxide and either chlorine gas ( $\text{Cl}_2$ ) or carbon tetrachloride ( $\text{CCl}_4$ ).

The high-purity yttrium halides are further purified.  $\text{YF}_3$  may be purified using a molten-salt method, where the fluoride is mixed with a fluxing salt and then exposed to anhydrous hydrogen fluoride gas.  $\text{YCl}_3$ , on the other hand, may be vacuum distilled or may be melted and then filtered under an argon atmosphere.

**Reduction of yttrium halides with calcium** The primary method of producing high-purity yttrium metal is reduction of  $\text{YF}_3$  or  $\text{YCl}_3$  using calcium or lithium metal [78]. In this method, a charge of compacted  $\text{YF}_3$  and calcium is placed in a tantalum crucible and heated to approximately 1873 K. All processing is done in an inert environment, due to the ability of calcium to oxidize rapidly. At the Ames Lab, calcium metal is distilled under helium and stored in a glovebox for further processing. Tantalum crucibles generally adhere to ASTM B708 – 12. After the charge is cooled, the slag may be removed, and the yttrium remelted under vacuum and cast as an ingot.

**Yttrium-magnesium intermediate alloy process** Another method of producing high-purity yttrium metal is through the formation of an yttrium-magnesium alloy [78]. In this process, a charge of high-purity  $\text{YF}_3$ , calcium chloride ( $\text{CaCl}_2$ ), calcium metal, and magnesium metal, is heated in a zirconium crucible at 1273 K. At this temperature, an yttrium-magnesium alloy forms and separates from the slag. Heating to 1473 K enables further separation of magnesium and unreacted calcium. Heating cycles are employed to further separate the magnesium and calcium. The use of a calcium-lithium alloy as a co-reductant has also shown promising results due to the formation of a low-melt-point mixture of calcium fluoride and lithium fluoride. The resultant yttrium metal may be cast or extruded into desired shapes.

## Fabrication of yttrium and yttrium dihydride

### 4.2 Direct hydriding of yttrium metal

Previous documents by Van Houten describe the process of directly hydriding metals to produce crack-free hydrides [10]. They are briefly summarized here.

Historically, the fabrication of crack-free metal hydrides has been complicated by a variety of issues. Firstly, hydriding at high temperatures generally causes initial hydride nucleation at the surface, which is then followed by undesirable directional hydride grain growth towards the center of a work piece. Additionally, the procedure to make a crack-free metal hydride requires well characterized PCT curves and, thus, phase diagrams for the metal-hydrogen system of interest.

- Metal/hydride phase boundaries are typically not vertical with temperature except at low temperatures.
- The hydriding reaction is exothermic, which causes localized thermal, hydrogen, and strain gradients.
- The PCT relationships enable large changes in hydrogen concentration with small changes in temperature such that cooling is accompanied by rapid hydrogen absorption.

The coupling of these issues necessitates controlled temperature steps to ensure adequate hydrogen absorption while also balancing hydrogen, thermal, and stress gradients to mitigate localized volume changes that can result in distortion.

Another issue that has been seen in historical hydride fabrication is anisotropic expansion during hydriding. For example, complete hydriding of zirconium is accompanied by a volumetric expansion of approximately 17%, but hydriding of a zirconium cube could result in 8% expansion in both the  $x$ - and  $y$ -directions, but only a 1% expansion in the  $z$ -direction. This is because prior metal grains in cast microstructures are typically large and hydride grains typically grow into the metal with a columnar grain morphology. To mitigate this, the prior metal microstructure should be comprised of small, randomly oriented metal grains. In the past, this was achieved using ceramic grain refiners, such as carbides and borides. Hydrided bodies fabricated using these additives exhibited much finer dimensional control that are crack free, and the as-hydrided bodies are much easier to machine than the pure hydride.

In more recent work, Setoyama, et al. demonstrated the ability to produce crack-free yttrium dihydride ( $\text{YH}_2$ ) using a Sieverts apparatus [23, 26]. These samples were measured for elastic and thermo-physical properties. In methods detailed by Hu et al., crack-free  $\text{YH}_2$  was fabricated by exposing high-purity yttrium to ultra-high purity hydrogen gas at low flow rates and tuning the hydrogen partial pressure to the temperature. This maintains the PCT relations by cooling on an isochore (constant composition) by varying the hydrogen pressure to match the desired composition. In principle, this is identical to the methods detailed by Van Houten. The full details of the hydriding procedure may be found in [12].

### 4.3 Powder metallurgy of yttrium dihydride

Historical approaches to powder metallurgy were limited due to the sheer number of hydriding furnaces available for these projects and the ease with which directly-hydrided components could be produced [79]. For producing  $\text{YH}_2$  by powder metallurgy, Van Houten has described a method by which yttrium trihydride is consolidated and then converted to  $\text{YH}_2$  by sintering or hot pressing [10].

## Fabrication of yttrium and yttrium dihydride

Shivprasad et al. have shown that powder metallurgy processes to produce  $\text{YH}_2$  are possible [5]. Mechanical and thermophysical property measurements of the sintered monoliths showed results consistent with those obtained from directly-hydrided yttrium, indicating the feasibility of the powder metallurgy process to produce high-quality  $\text{YH}_2$ . Further details of these methods may be found in [5]. Briefly, the metal hydride, which does not have to be crack free, is size-reduced in an inert, argon glovebox, compacted into a green body (typical green densities on the order of 70% theoretical density), and then sintered under a hydrogen-containing atmosphere at high temperatures. Powder metallurgical methods for the production of  $\text{YH}_2$  have several advantages:

1. Large yttrium castings are not readily, commercially available for producing reactor-scale components.
2. Yttrium castings tend to have large, directionally solidified grains. Direct hydriding leads to a phase change accompanied by a large, anisotropic volume expansion unless grain refinement is done prior to hydriding. This may result in cracks and large residual stresses in the final component.
3. The anisotropic volume expansion results in final parts with unpredictable sizes, which necessitates post-hydriding machining that has the potential for safety incidents due the pyrophoricity of  $\text{YH}_2$  powders.
4. Processing of castings is required to refine the grain size and improve homogeneity.
5. Powder processing of  $\text{YH}_2$  results in final products that are independent of preexisting yttrium metal microstructure and physical form. Therefore,  $\text{YH}_2$  of irregular sizes and geometries may be used in lieu of large castings.
6. The sintered microstructure exhibits significant grain refinement to inhibit directional hydride grain growth at elevated temperatures.
7. Fabrication of composite microstructures, such as fuel-moderator composites, is relatively straightforward.

The principal disadvantage to the process is that it requires inert, argon glovebox handling and processing of  $\text{YH}_2$  powder, due to the air-sensitive nature of the size-reduced material. Additionally, the sintered microstructure is relatively complicated, as compared with that of directly hydrided yttrium.

## 5 Properties of unirradiated yttrium dihydride

This section primarily focuses on physical property characterization of yttrium dihydride ( $\text{YH}_2$ ) in the unirradiated state. These include properties such as heat capacity, thermal expansion coefficient, thermal conductivity, and elastic moduli; all of these properties might be measured during post-irradiation examination, so this section serves as a reference for characterizing as-fabricated  $\text{YH}_2$  and comparing with results obtained post-irradiation.

### 5.1 Heat capacity

Heat capacity has been measured experimentally and density functional theory (DFT)-based methods allow for simulation of this property. Flotow et al. measured the heat capacity at low temperatures (5 – 350 K) using an adiabatic calorimeter and cryostat [80]. Parker also reported heat capacity, as measured using an ice calorimeter, in the temperature range of 273 to 1081 K [22]. More recently, Ito et al.; Shivprasad et al.; and Trofimov et al. measured the heat capacity of  $\text{YH}_2$  using differential scanning calorimetry (DSC) [5, 25, 26]. Ito et al. and Trofimov et al. measured heat capacity for various stoichiometries of  $\text{YH}_2$ , while Shivprasad et al. measured the heat capacity of near-stoichiometric sintered samples and compared experimental results with those calculated using DFT. A summary of these measurements and calculations is shown in Figure 5-1. The heat capacities of samples with lower- and upper-limit hydrogen concentrations from Trofimov et al. are plotted to show trends with hydrogen content. Figure 5-1 shows that there exists a significant degree of consistency between experimental data across various studies. Particularly, the data from Flotow et al., Parker, Shivprasad et al., and Trofimov et al. appear to overlap with one another for hydrogen contents near 2.0 H/Y units. The experimental data from Ito et al. show higher molar heat capacities, even exceeding the Dulong-Petit limit for the heat capacity. It is believed that the data presented by Flotow et al., Parker, Shivprasad et al., and Trofimov et al. represent the more correct values. It is also observed that the results of DFT modeling by Shivprasad et al., which only includes contributions from harmonic lattice vibrations, are also consistent with the experimental data up to a temperature of approximately 700 K. Above this temperature, the modeling results and experimental data appear to diverge. This could be due to changes in hydrogen content experimentally from passing from the two-phase to the single-phase region or, as was hypothesized by Shivprasad et al., to the initial formation of Frenkel pair defects [24]. Trofimov et al. similarly hypothesized that the divergence, shown as a peak in the heat capacity data, was due to an order-disorder transition [25]. However, more studies are required to understand the dependence of the molar heat capacity with temperature in this higher-temperature regime.

## Properties of unirradiated yttrium dihydride

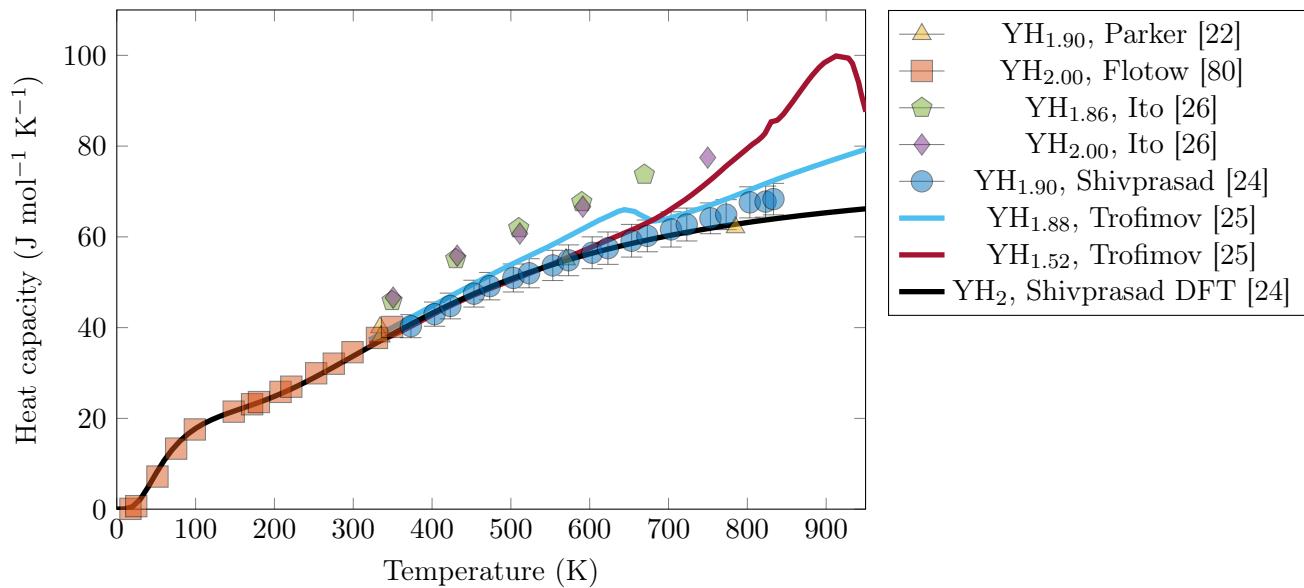


Figure 5-1: Molar heat capacity of yttrium dihydride as a function of temperature. Complete data sets are not shown here for ease of viewing. Data points are made translucent to view overlapping data sets.

## 5.2 Thermal expansion

### 5.2.1 Definitions of thermal expansion coefficient

**Thermal strain** The thermal strain as a function of temperature,  $\epsilon_T$ , is defined as the normalized thermal expansion with reference to a temperature,  $T_0$ . The thermal strain, by definition, is a function of temperature. Here, the thermal strain at the reference temperature is typically defined as zero.

$$\epsilon_T(T) = \frac{\ell(T) - \ell_0}{\ell_0} \quad (13)$$

where  $\ell(T)$  is the length at temperature,  $T$ , and  $\ell_0$  is the length at temperature,  $T_0$ .

Across the various studies that have examined the thermal expansion of  $\text{YH}_2$ , two different methods for reporting the coefficient of thermal expansion (CTE) are used. This has caused some confusion as to which values of CTE as a function of temperature are correct. Here we seek to clarify the two different, but related, definitions of CTE.

**Secant coefficient of thermal expansion** A common way to describe thermal expansion is using a linear, or secant, model that averages the thermal strain over a particular temperature range. Thus, the secant CTE,  $\alpha_S$ , is a function of temperature and is defined using the following relationship:

$$\epsilon_T(T) = \alpha_S (T - T_0)$$

or

$$\alpha_S(T) = \frac{\epsilon_T}{T - T_0} \quad (14)$$

## Properties of unirradiated yttrium dihydride

**Instantaneous coefficient of thermal expansion** Another common way to describe thermal expansion is to relate it to the instantaneous expansion as a function of temperature.

$$\alpha_I(T) = \frac{d\epsilon_T}{dT} = \frac{1}{\ell_0} \frac{d\ell}{dT} \quad (15)$$

**Relating secant and instantaneous thermal expansion coefficients** Because the literature thermal expansion data is reported as the two different types of coefficients, it is important to understand how to convert the data between these two. This can be accomplished by differentiating the definition of the secant CTE.

$$\begin{aligned} \epsilon_T &= \alpha_S(T - T_0), \quad \frac{d\epsilon_T}{dT} = \alpha_I \\ \alpha_I &= \frac{d\epsilon_T}{dT} = \frac{d}{dT} [\alpha_S(T - T_0)] \end{aligned}$$

This simplifies to the following expression:

$$\alpha_I = \frac{d\alpha_S}{dT}(T - T_0) + \alpha_S \quad (16)$$

Evaluating the instantaneous CTE at a temperature,  $T_1$ , can thus be achieved as follows:

$$\alpha_I \Big|_{T_1} = \frac{d\alpha_S}{dT} \Big|_{T_1} (T_1 - T_0) + \alpha_S \Big|_{T_1}$$

Conversely, through the definition of the instantaneous CTE, it is simple to convert this quantity to the secant CTE:

$$\epsilon_T(T) - \epsilon_T(T_0) = \int_{T_0}^T \alpha_I(T') dT'$$

Because  $\epsilon_T(T_0)$  is typically defined as zero, this expression can be combined with Equation (14) to give

$$\alpha_S = \frac{1}{T - T_0} \int_{T_0}^T \alpha_I(T') dT' \quad (17)$$

### 5.2.2 Thermal expansion data for yttrium dihydride

As with heat capacity measurements, thermal expansion data are similarly limited. Historical data for  $\text{YH}_2$  thermal expansion are found in studies by Lundin et al. and Parker; both of these studies used dilatometric methods to measure the secant CTE of  $\text{YH}_2$  [22, 81]. More recently, Setoyama et al., Ito, Shivprasad et al., and Trofimov et al. measured thermal expansion using XRD, neutron diffraction, and dilatometry, respectively [23–25, 82]. Setoyama, Ito, and Trofimov all reported secant CTEs, while Shivprasad reported instantaneous CTE. A compilation of all this data is shown in Figure 5-2, which compares (a) the thermal strain, (b) instantaneous CTE, and (c) secant CTE of  $\text{YH}_2$  across all studies.

All CTEs show consistency in values and in functional behavior with temperature. The experiments by Lundin et al. and Shivprasad et al. measured data at high temperature, showing that at a temperature of 800 K, the dependence of the CTE with temperature appeared to change. Shivprasad et al. hypothesized that this change may be due to the formation of Frenkel pair defects,

## Properties of unirradiated yttrium dihydride

Table 5-1: Empirical fitting parameters for the instantaneous thermal expansion coefficient as a function of temperature. Fitting parameters are given with 95% confidence intervals.

Temperature range (K)	Temperature unit (-)	A $(10^{-5})$	B $(10^{-6})$	C $(10^{-7})$	D $(10^{-6})$
298-1000	$\frac{T-698.0}{203.6}$	$1.44 \pm 0.05$	$2.10 \pm 0.76$	$6.13 \pm 3.82$	$1.30 \pm 0.38$

Table 5-2: Empirical fitting parameters for the secant thermal expansion coefficient as a function of temperature. Fitting parameters are given with 95% confidence intervals.

Temperature range (K)	Temperature unit (-)	A $(10^{-5})$	B $(10^{-6})$	C $(10^{-7})$	D $(10^{-6})$
298-1000	$\frac{T-699.2}{203.2}$	$1.16 \pm 0.02$	$2.18 \pm 0.34$	$-0.07 \pm 0.16$	$0.10 \pm 0.15$

as their formation is accompanied by a volume expansion, which would be reflected in the thermal expansion. This change appears to correlate with temperatures where the heat capacity increases in the data by Trofimov, et al., though there are differences in hydrogen content between the two studies and this discrepancy will cause shifts in these temperature regimes. Another possibility relating to the sharp increase in CTE is a softening of phonon modes, which has produced anomalous thermal expansion data in other materials [83, 84].

Shivprasad et al. provided empirical fits for the two temperature regimes considered for thermal expansion, as the CTE appeared to follow two different regimes. These were fit using equations with the following form:  $\alpha_P = A + BT + CT^2$ . Hu et al. fit the Transformational Challenge Reactor (TCR) CTE data to a function with the following form:  $\alpha = A + BT + CT^2 + DT^3$  [85]. Here, we have taken a similar approach as Hu et al: we have fit the instantaneous and secant CTE data across many studies. Both data sets were fit using a third-order polynomial. For both fits, temperature units were centered and scaled to the full span. These fits are shown for near-stoichiometric  $\text{YH}_2$  in Figure 5-2 and fitting parameters are summarized in Tables 5-1 and 5-2. The CTE of  $\text{YH}_{1.61}$  from the TCR program is shown in Figure 5-2 for comparison, but was not used to produce fits. For the analysis of the secant CTE data, the values from Ito were discarded, as they were much higher than values of other studies, where the data were much more consistent across the other studies. The data was normalized to the central point of the data and fit using a bisquare weights method in MATLAB (Mathworks, Natick, MA, USA).

## Properties of unirradiated yttrium dihydride

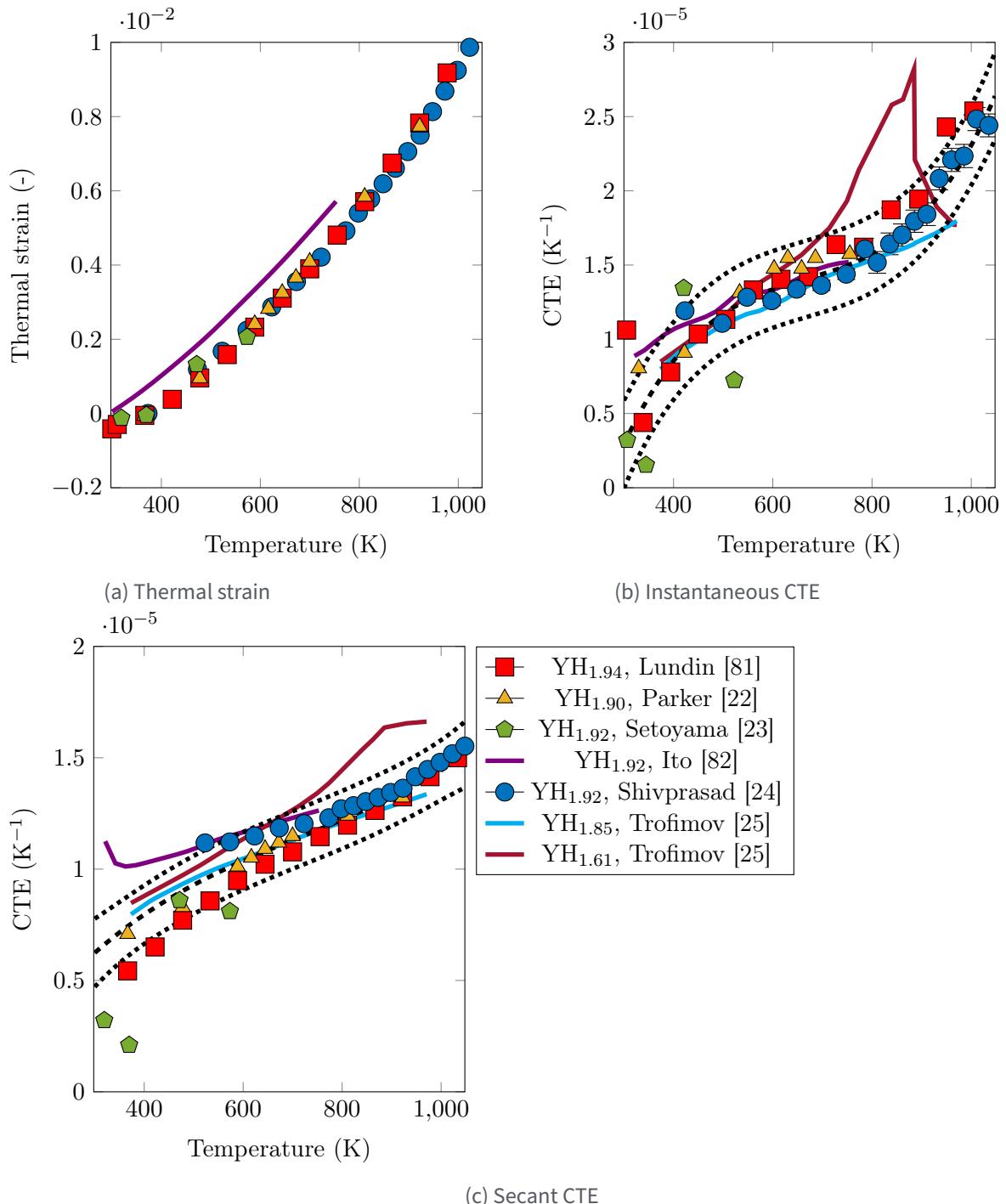


Figure 5-2: Thermal expansion data for yttrium dihydride. (a) Thermal strain, (b) instantaneous coefficient of thermal expansion, and (c) linear coefficient of thermal expansion. Thermal expansion coefficients were fit to functions of temperature. The fitting parameters for these are summarized in Tables 5-1 and 5-2.

## Properties of unirradiated yttrium dihydride

### 5.3 Thermal conductivity

Four experimental measurements of the thermal conductivity of  $\text{YH}_2$  exist. Parker measured this property as a function of temperature for  $\text{YH}_{1.77}$  using a heat flow method and apparatus [22]. Ito et al., Shivprasad et al., and Trofimov et al. calculated thermal conductivity from experimentally-measured CTE, thermal diffusivity, and heat capacity [5, 25, 26]. Reported values from these studies are plotted in Figure 5-3, which plots thermal conductivity in Figure 5-3(a) along with the calculated thermal resistivities in Figure 5-3(b). The results from Ito et al., Shivprasad et al., and Trofimov et al. appear to be consistent, while the thermal conductivity values from Parker are much lower. Indeed, the values provided by Parker are closer to values expected for yttrium metal than  $\text{YH}_2$ . The thermal conductivity and resistivity provided by Trofimov, et al. used a heat capacity and CTE that spanned a hydrogen order-disorder transition [25, 85]. However, energy associated with that transition is primarily in hydrogen defects [24], which do not contribute to the thermal transport of the lattice. As a result, it is believed that the values by Ito et al. and Shivprasad et al. are more representative of the true thermal conductivity of the material.

By assuming phonon heat transport dominates, a linear fit of the thermal resistivity calculated by Ito and Shivprasad for near-stoichiometric  $\text{YH}_2$  is provided in Equation (18) with 95% confidence intervals. Deviations from the trend by the experimental data could be due to other contributions to thermal conductivity, such as electronic or ionic heat transport. In the linear fit given in Equation (18), the  $y$ -intercept term represents the athermal scattering process, such as defect-phonon scattering, while the slope term represents phonon-phonon scattering processes.

$$R_\lambda(\text{m K W}^{-1}) = (6.50 \times 10^{-3} \pm 1.70 \times 10^{-3}) + (2.61 \times 10^{-5} \pm 0.25 \times 10^{-5}) T(\text{K}) \quad (18)$$

## Properties of unirradiated yttrium dihydride

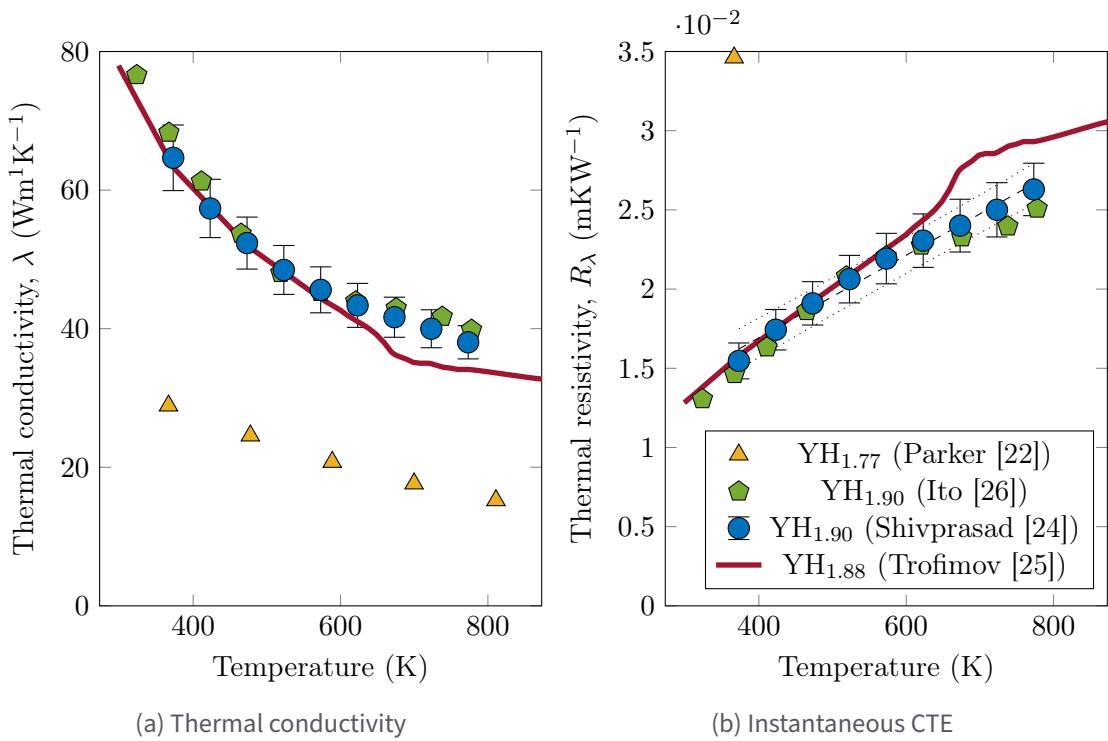


Figure 5-3: (a) Thermal conductivity and (b) thermal resistivity. Thermal resistivity was fit to a function of temperature. The fitting parameters for these are summarized in Equation (18). Note that the thermal resistivity calculated by Parker is off the scale of the other studies.

## Properties of unirradiated yttrium dihydride

### 5.4 Emissivity

The emissivities of yttrium hydrides have been measured qualitatively. The infrared emissivity of yttrium was measured as a function of exposure time when exposed to 490 kPa of pure hydrogen at 528 K [76]. Normalized emissivity values showed that emissivity increased with hydrogen content and exposure time and increased with phase transformations to the dihydride and the subsequent trihydride phases. It is difficult to extrapolate emissivities of  $\text{YH}_2$  from these measurements, as the phase contents did not appear to follow a strict metal to dihydride to trihydride progression. Rather, by 10 minutes of exposure to hydrogen, the phase mixture consisted of yttrium metal and  $\text{YH}_2$ , after which point yttrium trihydride ( $\text{YH}_3$ ) began to form, indicating that the system consisted of two to three phases at any point when  $\text{YH}_2$  was present.

### 5.5 Electrical properties

Electrical property measurements have been performed for yttrium hydrides because of their use in switchable mirror applications, where changes in electrical properties are correlated with changes in optical properties. Because electrical properties do not significantly impact nuclear reactor performance, a cursory summary is presented here. Weaver et al. measured the dielectric constant of  $\text{YH}_2$  as approximately 4.8 [86]. This value increases for higher concentrations, with the dielectric coefficient for  $\text{YH}_3$  of 10 [87]. This is consistent with the understanding that the dihydride is well known as having metallic character, while the trihydride is a semiconductor [88]. The charge carrier concentration of  $\text{YH}_2$  was measured by Sakai et al. as between  $1.1$  and  $1.4 \times 10^{27} \text{ m}^{-3}$  [89]. Sakai et al. also measured the electrical resistivity of  $\text{YH}_2$  as approximately  $8.4 \times 10^{-12} \Omega \text{ m}$ . With higher hydrogen stoichiometries in the two-phase (dihydride + trihydride) region, Vajda et al. measured a significant increase in electrical resistivity. For  $\text{H/Y} = 2.065$ , the electrical resistivity was measured as  $8.4 \times 10^{-7} \Omega \text{ m}$  at 283 K and for  $\text{H/Y} = 2.07$ , the electrical resistivity was measured as approximately  $1.85 \times 10^{-6} \Omega \text{ m}$  at 256 K [90]. For hydrogen stoichiometries close to (but above)  $\text{H/Y} = 2.00$ , the hydride was found to be a metal at low temperatures, but still transitioned to a semiconductor at temperatures below room temperature [91, 92]. This transition temperature was found to decrease as a function of increased hydrogen content. These data have been evaluated for validity by other sources [93–96].

### 5.6 Magnetic properties

Magnetic property measurements of  $\text{YH}_2$  have been summarized more thoroughly in other reviews [97]. Because they do not significantly impact nuclear reactor operation, a qualitative summary is presented here. Arons summarized that, in general, the rare earth dihydrides exhibit an antiferritic structure, but that this structure changes ordering with hydrogen stoichiometry [97]. Additionally, because measured hydrogen contents are relatively inaccurate, true dependence of the magnetic properties with hydrogen content are difficult. Magnetic properties, including proton spin relaxation times were found to depend on the impurity content with even 100 ppm of rare earth additions significantly impacting these values [28]. It was also observed that different additions affected the relaxation times to differing degrees, with the purest yttrium having the longest relaxation time and relaxation time decreased with additions in this order: cerium, dysprosium, erbium, neodymium, gadolinium. Because the proton spin relaxation time measurement is used to determine hydrogen self-diffusion coefficients, it is believed that diffusion measurements of hydrogen in  $\text{YH}_2$  may have been significantly impacted by impurities. A more detailed description of the nuclear magnetic

## Properties of unirradiated yttrium dihydride

resonance (NMR) technique and the different relaxation times is given in section 5.8, as it relates to the determination of hydrogen self-diffusion parameters through  $\text{YH}_2$ .

### 5.7 Mechanical properties

Until recently, mechanical testing data of  $\text{YH}_2$  have been relatively limited, primarily due to the difficulty in fabricating bulk, crack-free specimens. Nevertheless, the limited studies on elastic properties and hardness are presented below.

Short-term tensile testing of  $\text{YH}_2$  was performed as part of the Aircraft Nuclear Propulsion program, though the program noted the difficulty in performing these tests, due to the brittle nature of the material and high temperature testing was performed in hydrogen-containing atmospheres to maintain stoichiometry [22]. Briefly summarized here, the results of short-term tensile testing showed nearly zero elongation at temperatures up to approximately 1273 K but were able to measure up to 5.5% elongation at nearly 1473 K and an 18% reduction in area at the same temperature. Parker noted that, for near-stoichiometric  $\text{YH}_2$ , the yield strength could not be measured and the variation in the ultimate tensile strength was nearly 100% of the measurement.

Equibiaxial flexural testing of  $\text{YH}_2$  was performed under the TCR program for geometries representative of the moderator for the TCR design. No significant trend was observed as a function of hydrogen content in the range of 1.00 to 1.87 H/Y units, though the mean failure strength was calculated to range between 50 and 95 MPa for 5.8 mm diameter samples with a nominal thickness between 0.5 and 0.625 mm [85].

#### 5.7.1 Elastic moduli

The elastic moduli of  $\text{YH}_2$  are commonly determined through sound speed or resonant ultrasound spectroscopy (RUS) measurements. Beattie et al. measured the elastic moduli of  $\text{YH}_{1.93}$  using sound speed measurements in the temperature range 80-300 K and observed an inflection around 230 K possibly due to proton ordering on tetrahedral sites [40]. Setoyama et al. measured the moduli of various  $\text{YH}_2$  specimens as a function of hydrogen content (H/Y between 1.6 to 2.3), finding that the moduli increase linearly with H/Y [23]. Similarly, Hu et al. observed a linear dependence of the elastic moduli with H/Y [98]. We also note there exists a significant body of work done to calculate the elastic moduli using DFT with modeled results in significant agreement with experimental values for fully-dense material [5, 41-44]. The results of various elastic modulus measurements are plotted in Figure 5-4 as a function of hydrogen stoichiometry. Results for shear modulus ( $G$ ), the bulk modulus ( $K$ ), and the Young's modulus ( $E$ ) as a function of hydrogen content were fit to linear functions of hydrogen-to-yttrium atom ratio ( $X$ ) and are summarized as follows with 95% confidence intervals for the fitting parameters:

$$G(\text{GPa}) = (20.0 \pm 8.9) + (18.1 \pm 4.8) X \quad (19)$$

$$K(\text{GPa}) = (21.0 \pm 27.4) + (34.1 \pm 14.8) X \quad (20)$$

$$E(\text{GPa}) = (16.1 \pm 33.6) + (61.7 \pm 18.2) X \quad (21)$$

It should be noted that the confidence intervals for the bulk and Young's moduli are significantly larger than for the shear modulus; as Shivprasad et al. showed, many of the resonances required to measure the elastic moduli have predominantly shear characteristics [5]. That is, material resonances from which the elastic moduli are calculated predominantly depend on shear modes. As a result,

## Properties of unirradiated yttrium dihydride

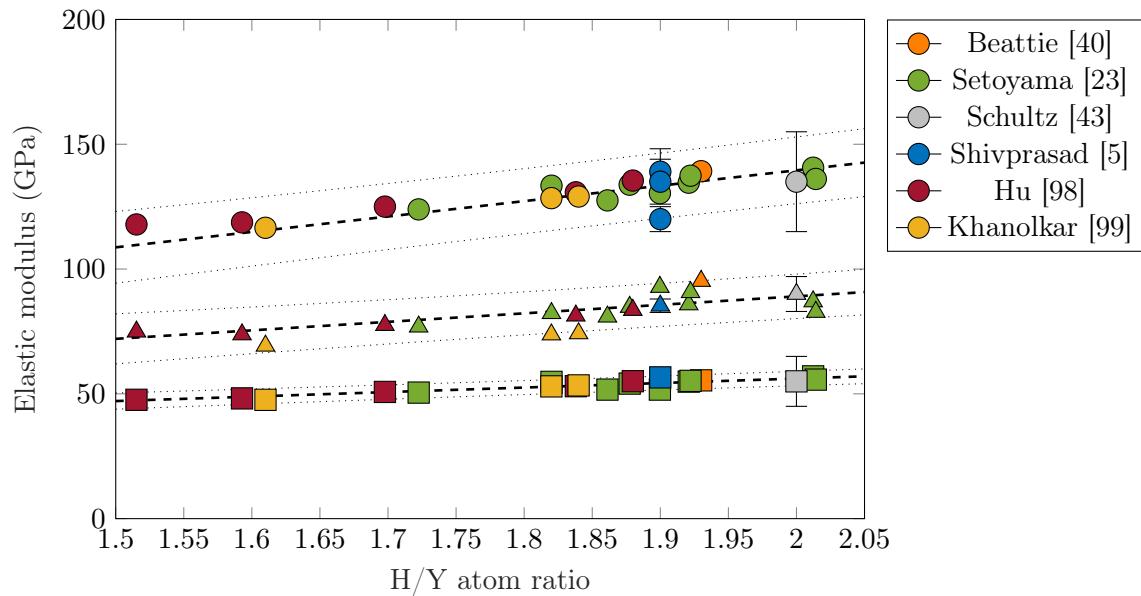


Figure 5-4: Shear ( $G$ ), bulk ( $K$ ), and Young's ( $E$ ) moduli as a function of hydrogen content for yttrium dihydride. Young's modulus is plotted in circles, bulk modulus is plotted in triangles, and shear modulus is plotted in squares.

further characterization of the elastic moduli to stoichiometries lower than  $H/Y = 1.90$  is required to better characterize the dependence of these moduli on hydrogen content. Shivprasad et al. demonstrated that the moduli of sintered- $YH_2$  monoliths decrease linearly as a function of monolith porosity (90-100%) [5]. These results are given in Figure 5-5, in which it is apparent that the elastic moduli of  $YH_2$  decrease with increasing porosity. It is also observed that the dependence on porosity extrapolates to elastic moduli values very close to values obtained from fully dense  $YH_2$ . As a result, it is believed that these values and fits are valid. These results have been fit as functions of porosity and are given as follows with 95% confidence intervals for the fitting parameters:

$$G(\text{GPa}) = (56.60 \pm 3.64) - (180.34 \pm 70.81)p \quad (22)$$

$$K(\text{GPa}) = (85.34 \pm 2.68) - (307.12 \pm 52.03)p \quad (23)$$

$$E(\text{GPa}) = (139.02 \pm 9.23) - (449.88 \pm 179.44)p \quad (24)$$

As with the fits of elastic moduli as a function of hydrogen concentration, the confidence intervals for the bulk and Young's moduli are much larger than for the shear modulus due to many of the resonance modes correlating with shear characteristic.

Khanolkar, et al. performed a study using laser RUS to measure the elastic moduli of  $YH_2$  as a function of temperature [99]. For this study, the samples used were the same as the ones produced by Oak Ridge National Laboratory for the TCR program and, thus, are of a similar pedigree to those studied by Hu, et al. and Trofimov, et al. Khanolkar found linear fits for the elastic moduli as a function of temperature. These fits have been redone here to convert the temperature to degrees Kelvin and to provide error bars for the fitting parameters. Khanolkar's data has been re-plotted with revised fits in Figure 5-6. Equations for the fits for shear, bulk, and Young's moduli as a function of temperature are given in Equations (25) to (27). The Poisson's ratios from these fits varied between 0.196 and 0.222 as a function of temperature. It should be noted that the vertical

## Properties of unirradiated yttrium dihydride

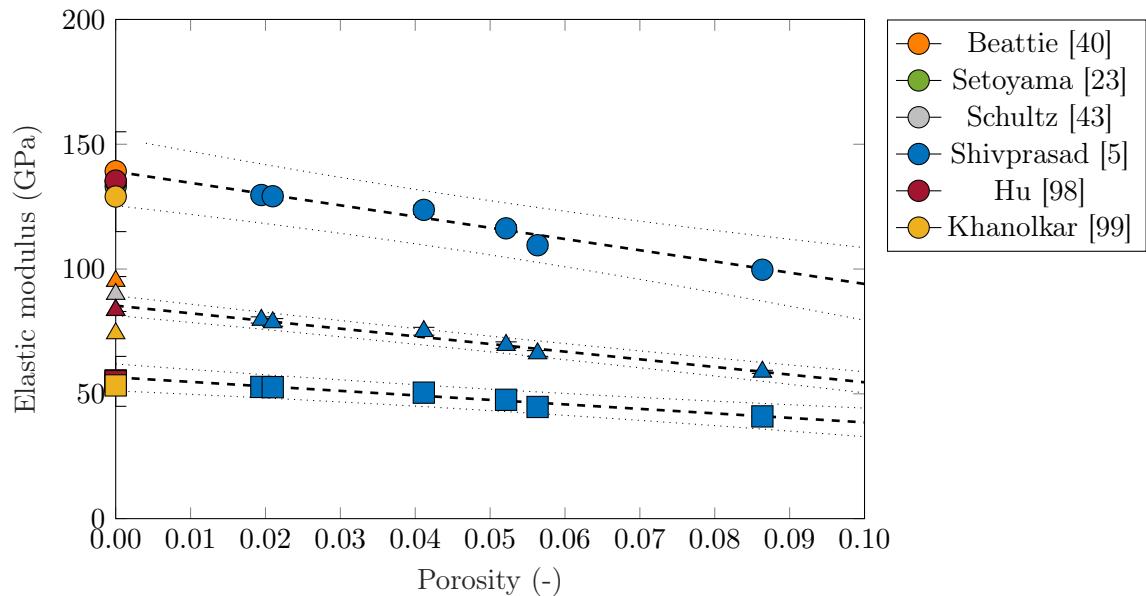


Figure 5-5: Shear ( $G$ ), bulk ( $K$ ), and Young's ( $E$ ) moduli as a function of porosity for near-stoichiometric yttrium dihydride,  $\text{YH}_2$ . Young's modulus is plotted in circles, bulk modulus is plotted in triangles, and shear modulus is plotted in squares.

intercepts for the equations in Equations (25) to (27) are different from those provided by Khanolkar, as the equations presented here use temperature units of Kelvin and, thus, extrapolate values to absolute zero. The slopes, however, are consistent.

$$G(\text{GPa}) = (56.01 \pm 1.55) - (6.98 \times 10^{-3} \pm 1.9 \times 10^{-3}) T \quad (25)$$

$$K(\text{GPa}) = (74.67 \pm 2.69) - (4.62 \times 10^{-3} \pm 3.2 \times 10^{-3}) T \quad (26)$$

$$E(\text{GPa}) = (134.4 \pm 2.50) - (1.52 \times 10^{-2} \pm 3.0 \times 10^{-3}) T \quad (27)$$

## Properties of unirradiated yttrium dihydride

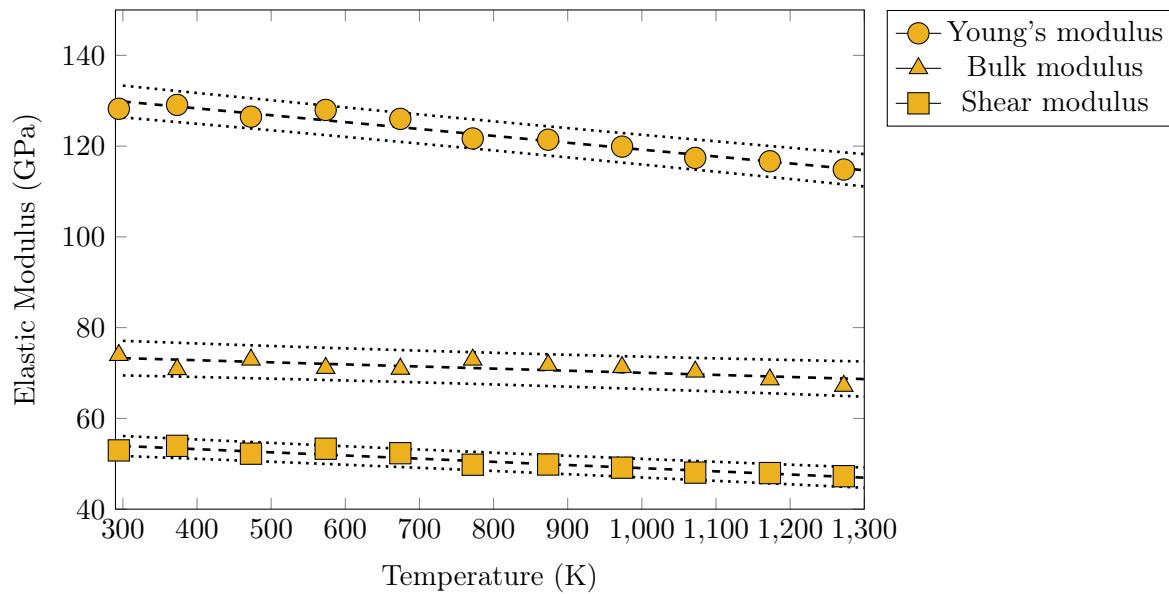


Figure 5-6: Shear ( $G$ ), bulk ( $K$ ), and Young's ( $E$ ) moduli as a function of temperature for near-stoichiometric yttrium dihydride,  $\text{YH}_2$ . Young's modulus is plotted in circles, bulk modulus is plotted in triangles, and shear modulus is plotted in squares. Data from [99].

### 5.7.2 Hardness

Detailed studies on the hardness of  $\text{YH}_2$  are limited. Funston, Parker, Setoyama et al., and Hu et al. measured hardness as a function of hydrogen content, while Shivprasad et al. measured hardness on  $\text{YH}_2$  fabricated by direct-hydriding and powder metallurgical methods [5, 22, 23, 98, 100]. Vickers hardness results are summarized in Table 5-3. Funston, Parker, and Shivprasad et al. examined the hardness of only one stoichiometry of  $\text{YH}_2$ , while Setoyama, et al. and Hu, et al. looked at the dependence of the Vickers hardness on hydrogen content. While Setoyama et al. noted a dependence on hydrogen content, the values for  $\text{H/Y}$  between 1.7 and 1.9 exhibited no significant variation. Conversely, Hu et al. observed a linear trend for  $\text{H/Y}$  values between 1.5 and 1.9, but their reported values were lower than in other studies. As a result, we believe that further study of hardness as a function of hydrogen content is warranted.

Table 5-3: Summary of Vickers hardness values for yttrium dihydride.

Hydrogen content ( $\text{H/Y}$ )	Vickers hardness (GPa)	Synthesis route (-)	Ref.
1.4	2.0	Direct hydride	[100]
2.12	2.9	Direct hydride	[22]
$1.7 \leq X \leq 2.0$	$3.48 \pm 0.86$	Direct hydride	[23]
1.90	$2.87 \pm 0.69$	Powder metallurgy	[5]
1.90	$3.17 \pm 0.07$	Direct hydride	[5]
1.88	$2.39 \pm 0.08$	Direct hydride	[85]

## Properties of unirradiated yttrium dihydride

### 5.8 Hydrogen self-diffusion in yttrium hydrides

Although the data are sparse, hydrogen diffusion measurements in yttrium hydrides have been performed using NMR and quasi-elastic neutron scattering (QENS). A brief overview of both techniques is given below to familiarize the reader, which is followed by a summary of relevant diffusion parameters of  $\text{YH}_2$ .

#### 5.8.1 Description of measurement techniques

**Nuclear magnetic resonance (NMR)**  $^1\text{H}$ -NMR spectroscopy is a non-destructive, bulk measurement technique that measures the precession of hydrogen nuclei and provides insight into chemical composition, spin-spin coupling between adjacent atoms, molecular dynamics, and molecular structure of hydrogen-bearing media. The NMR signal is sensitive to the electronic structure because the number and proximity of nearby electrons affect the local magnetic field at the nucleus. Each nucleus precesses at a unique frequency  $\omega$ , which is defined as

$$\omega = \gamma B_0 \quad (28)$$

where  $B_0$  is the applied magnetic field and  $\gamma$  is the gyromagnetic ratio, which is characteristic of each nucleus and is representative of the sensitivity of a nucleus in an NMR experiment [101]. During a single measurement, only one nuclide can be observed, as the spectrometer can only detect signal at one frequency.

When a given sample is placed in a strong magnetic field, the nuclear spin aligns with the magnetic field along the  $z$ -axis, as is shown in Figure 5-7(a). A radiofrequency (RF) pulse is then applied, which perturbs the spin, aligning it either perpendicular to ( $x$ - $y$  plane) or anti-parallel ( $-z$ -axis) to the magnetic field, as shown in Figure 5-7(b). Relaxation causes the nuclear spin to be aligned again with the magnetic field along the  $z$ -axis (Figure 5-7(c) – (e)) and causes a free induction decay, which is measured and Fourier transformed to give a spectrum. There are two mechanisms of relaxation: spin-lattice relaxation, which is also referred to as longitudinal or  $T_1$  relaxation, and spin-spin relaxation, referred to as transverse or  $T_2$  relaxation [102]. The  $T_1$  relaxation is the recovery of the magnetization along the  $z$ -axis and is illustrated in Figure 5-7. This relaxation is typically caused by coupling to the electronic spin of free electrons within the material (i.e., dangling bonds, paramagnetic impurities, dopants, impurities). In pure, crystalline materials, the  $T_1$  relaxation is very long and can take minutes or even hours for full recovery of the magnetization to the  $z$ -axis [102]. The  $T_2$  relaxation, on the other hand, is more complex and may be affected by many factors. One such cause will be explained simply here for the sake of brevity. The first is related to the precession of the nuclear spin and is depicted in Figure 5-8: After the RF

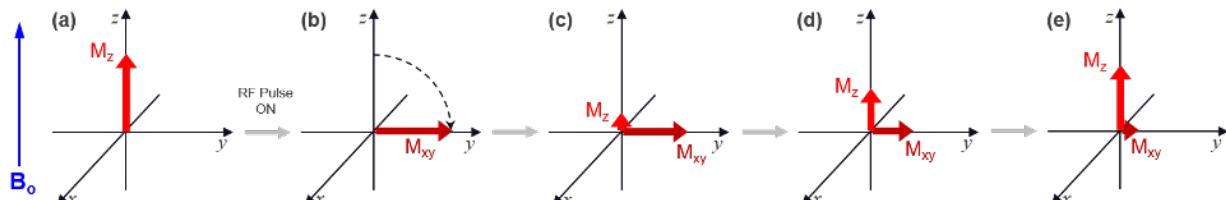


Figure 5-7: Magnetization evolution before and after a pulse of RF radiation is applied. The applied magnetic field is in the direction of the  $z$ -axis (up/down).

## Properties of unirradiated yttrium dihydride

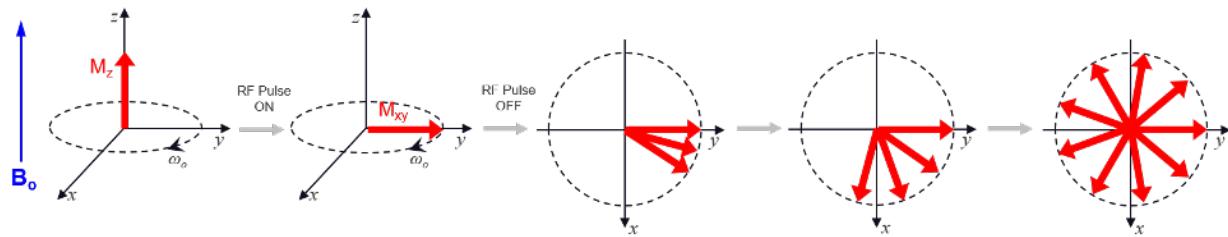


Figure 5-8: Loss of coherence of magnetization in the  $x$ - $y$  plane after an RF pulse is applied.

pulse is turned off, the magnetization is aligned along the  $+y$ -axis, and the nuclear spins begin to precess about the  $z$ -axis. If we consider here  $^1\text{H}$  nuclei in the tetrahedral site in  $\text{YH}_2$ , all  $^1\text{H}$  nuclei should be precessing at the same frequency. However, some of the hydrogen atoms many be near a vacancy or an impurity atom, which disturbs the local magnetic field,  $B_{\text{loc}}$  [102]. The frequency then becomes:

$$\omega = \gamma (B_0 + B_{\text{loc}}) \quad (29)$$

There is then a loss in phase coherence between the unaffected spins and the affected spins. After some time, the  $^1\text{H}$  nuclei are resonating at their own frequency, which is due to the differences in the local magnetic field [102]. An analogy for this phenomenon is that of runners in a marathon. Before the race, all runners are lined up, and initially after the start, all competitors are running at the same speed. After time  $t$ , some of the runners will be going at a faster pace. Towards the end of the race, the runners are randomly dispersed throughout the racetrack due to their various speeds. Another factor that leads to loss of phase coherence is mobility of the nuclei since hopping from one site to another will drastically change the local magnetic field, and this will lead to a change in the  $T_2$  relative to the case of non-mobile species. The  $T_2$  relaxation time can be approximated as the inverse of the width of the spectral peak. Therefore, if the nuclei in  $\text{YH}_2$  become mobile, the  $T_2$  will be affected, thus changing the peak width of the observed signal. This allows for the study of material dynamics because the mobility of a species can be related to the  $T_2$ . The dynamics can be studied by solid-state, variable temperature (VT) NMR, which has been applied broadly to determine the rotational dynamics in ionic liquids and glasses [103, 104], the glass transition temperature of glasses and hopping transport in ionic conductors and cathode materials [105, 106].

**Quasi-elastic neutron scattering (QENS)** QENS is an inelastic neutron scattering technique that measures the very small energy exchanges between incident neutrons and a sample's nuclei, which in turn leads to broadening of the spectrum's elastic line. The probability of a scattering event is gauged by the scattering cross sections of a sample's nuclei. In this context, the scattering cross section of hydrogen ( 82 barns) is much greater than that of zirconium ( 6 barns), and so the scattered neutron signal is dominated by the dynamics of hydrogen. The measured energy exchange occurs in the sub-meV range, which corresponds to dynamic processes such as hydrogen self-diffusion in the pico-to-microsecond range. The reader is referred to the book by Marc Bée for a detailed description of the QENS technique [107].

### 5.8.2 Hydrogen self-diffusion coefficients

Hydrogen diffusion in the hydrogen sublattice of the dihydride is believed to proceed through three potential mechanisms: (1) tetrahedral-tetrahedral (T-T) jumps, tetrahedral-octahedral (T-

## Properties of unirradiated yttrium dihydride

O) jumps, and octahedral-octahedral (O-O) jumps. As mentioned above, the dihydride forms when the tetrahedral sites of the crystal fill with hydrogen, while the trihydride forms upon accommodation of further hydrogen into the octahedral sites. However, as also noted above, octahedral site occupation has been observed even at room temperature, though the occupancy factors are small [27, 32]. The mechanism for hydrogen diffusion in yttrium hydrides has been observed to depend on hydrogen content and temperature [27]. Majer, et al. hypothesized that at low hydrogen contents, the hydrogen diffuses predominantly through T-T jumps through a vacancy mechanism. As the hydrogen content increases, the tetrahedral sites become increasingly occupied, which Majer, et al. hypothesized allows for contributions from T-O and O-O jumps [108].

Fukai analyzed the hydrogen diffusion data from QENS and NMR for yttrium and zirconium hydrides (as well as other metal hydrides) and describes that the above hypothesis by Majer, et al. might be consistent for the hydrogen data in zirconium hydrides but is not consistent with the data for hydrogen in  $\text{YH}_2$ . In fact, Fukai's fit of the diffusion data to models considering T-T, T-O, O-T, and O-O jumps shows the best agreement when only considering O-O jumps with an activation energy of approximately  $E_A = 0.39 \text{ eV} \pm 0.05 \text{ eV}$  [66]. Fukai hypothesized that, for the rare earth dihydrides (such as  $\text{YH}_2$ ), the hydrogen diffusion also exhibits some degree of coordinated motion that depends on the metal-to-ionic transition of the compound and atomic ordering. However, this coordinated motion manifests more clearly at high hydrogen concentrations. This behavior is consistent with what was observed by Mehta, et al. experimentally using neutron diffraction [44].

The diffusion of hydrogen in  $\text{YH}_2$  is also complicated by the fact that, depending on the temperature, hydrogen diffusion in metals appears to proceed through different mechanisms [66]. While all of the statements below may not apply to  $\text{YH}_2$ , several of these phenomena are known to occur in  $\text{YH}_2$  and the fact that several different mechanisms occur for hydrogen diffusion in metals means that a classical interpretation of hydrogen in  $\text{YH}_2$  may not be correct. The various mechanisms by which hydrogen may diffuse in metals are briefly summarized here.

- I. At low temperatures, where hydrogen is self-trapped, hydrogen atoms are believed to migrate by coherent tunneling in a zero-phonon process.
- II. This is gradually damped with increasing temperature through interactions with conduction electrons and diphonon processes, which results in incoherent hopping of hydrogen.
- III. As the temperature further increases, migration processes involving many phonons may occur. This can occur non-adiabatically if tunneling is less favorable and adiabatically if tunneling is more favorable.
- IV. At still higher temperatures, hydrogen atoms diffuse by classical Fick diffusion. That is, the hydrogen behaves as a classical particle and diffuses through thermal excitation.
- V. At very high temperatures, but before the hydride decomposes, the hydrogen atoms are no longer bound to their interstitial sites and undergo free motion.

The overall temperature dependence of hydrogen in metals is shown in Figure 5-9.

Given the different mechanisms described above, it is clear that a classical model, such as Fick's First Law of Diffusion, may not sufficiently describe hydrogen diffusion in metal hydrides. However, several studies assuming a classical model for diffusion have been carried out in the past. Table 5-4 summarizes key hydrogen diffusion data, including activation energy ( $E_A$ ) and pre-exponential

## Properties of unirradiated yttrium dihydride

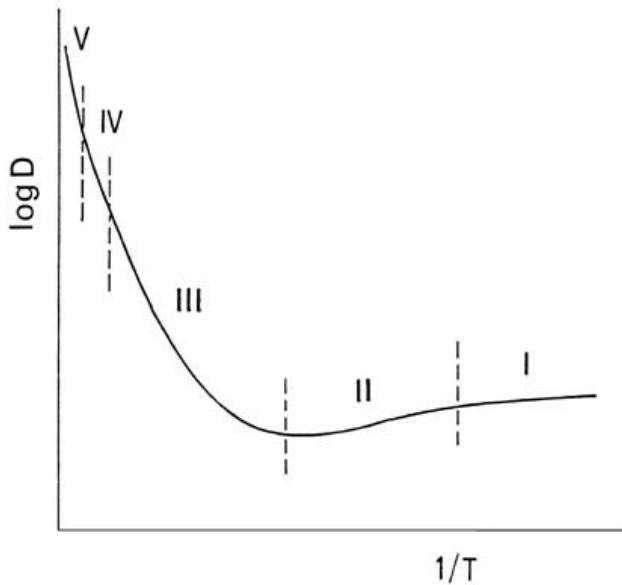


Figure 5-9: Schematic of the expected temperature dependence of the diffusion coefficient of hydrogen in metals. Temperature regions (I) - (V) are classified according to the dominant diffusion mechanism (see section 5.8.2). Note that not all of these temperature regimes may be realized in real systems. Figure and caption from [66].

factor ( $D_0$ ), for yttrium hydrides as a function of hydrogen content. For stoichiometries where pre-exponential factors were not available in the literature, they were approximated using the Einstein equation for diffusion:

$$D = \frac{1}{6} z f \nu_0 \lambda^2 \exp\left(-\frac{E_A}{k_B T}\right) \quad (30)$$

where  $z$  is the number of nearest-neighbor diffusion sites (six for T-T jumps),  $\nu_0$  is the hydrogen atom jump attempt frequency,  $\lambda$  is the distance to the nearest neighbor site (half the lattice parameter for T-T jumps), and  $f$  is a correction factor of approximately 0.74 [109]. Note that this analysis does not account for hydrogen concentration factors, which would change the diffusion coefficient. This analysis also does not account for hydrogen jumps other than T-T jumps. As noted above, some studies have hypothesized that T-T jumps are the dominant migration mechanism, while others suggest it is not the dominant migration mechanism.

Because the studies cited here have these shortcomings, more diffusion studies of hydrogen in  $\text{YH}_2$  are required to understand the mechanisms of hydrogen diffusion and the temperature regimes where mechanisms may change. This also includes studies of  $\text{YH}_3$  to understand the impact of site occupancies, as the octahedral and tetrahedral sites are occupied in the trihydride, which may enable further distinction of the diffusion mechanism and jump sites involved in the diffusion processes.

## Properties of unirradiated yttrium dihydride

Table 5-4: Available diffusion parameters for the Y-H system.  $D_0$  values were calculated as described in Equation (30) when not provided in the reference.

Hydrogen content (H/Y)	$E_A$ (eV)	$D_0$ ( $\text{cm}^2 \text{s}^{-1}$ )	Ref.
1.63	1.1	$5.3 \times 10^{-1}$	[27]
1.80	0.3	N/A	[32]
1.87	1.73	45.7	[33]
1.91	0.53	$4.8 \times 10^{-4}$	[108]
1.92	0.438	$7.9 \times 10^{-5}$	[27]
1.95	0.4	$9.0 \times 10^{-5}$	[108]
1.98	0.417	$7.9 \times 10^{-5}$	[27]
2.03	0.38	$1.0 \times 10^{-4}$	[108]

### 5.9 Out-of-pile degradation of yttrium dihydride

This section represents a significant gap in our current knowledge regarding  $\text{YH}_2$  performance as a moderator. It is currently hypothesized that the material will degrade under the thermal cycling that will occur during startup/shutdown cycles for a nuclear reactor. Phase stability will be affected by significant temperature changes under hydrogen partial pressures such that  $\text{YH}_3$  may be stable at low temperature, which could result in the degradation of thermophysical and mechanical properties, as well as pulverization of the moderator. Fast temperature changes could result in thermal shock of the moderator. Additionally, operation of the moderator at high temperatures (e.g., above 750 K) could allow for hydrogen loss through a moderator cladding, reactor monolith, or pressure vessel. However, the relevant testing parameters have not been critically evaluated. As such, thermo-mechanical testing for this type of behavior has yet to occur.

In general, it was established early on that metal hydride moderators would undergo hydrogen losses due to temperature and hydrogen redistribution under temperature gradients, as observed in the ANP and SNAP programs [8, 10, 110, 111]. To mitigate hydrogen losses, a permeation-resistant cladding is necessary. Historic concepts focused on FeCrAl alloys with native aluminum oxide layers [110] and ceramic coatings to the cladding inner diameter of Hastelloy-N [8] in the ANP and SNAP programs, respectively.

In the event of a reactor breach, a potential ingress of air will enable degradation of the moderator through reactions with nitrogen and oxygen. The ability of yttrium compounds to readily react with oxygen is well-documented in the literature, as yttrium is found at the bottom of the Ellingham diagrams for oxide formation [112]. However,  $\text{YH}_2$  has also been observed to react at room temperature with nitrogen to form surface layers of yttrium nitride. Nitrogen rot of  $\text{YH}_2$  has also been observed in the past, though no details of this phenomenon have been provided in the literature other than the fact that it may be impeded by grinding off the surface oxide/nitride and re-oxidizing the surface [10].

A recent examination of  $\text{YH}_2$  oxidation in synthetic air by Kane et al. showed that  $\text{YH}_2$  oxidized more slowly than yttrium metal [50]. The authors attributed the difference to proton migration from the hydride into the oxide scale, thus inhibiting oxygen diffusion from the oxide/air interface to the oxide/hydride interface. It was noted that the presence of yttrium fluoride ( $\text{YF}_3$ ) and yttrium oxy-fluoride ( $\text{YOF}$ ) impurities in the base metal carried over to the hydride phase and increased the oxidation rate, but this effect was reduced in the hydride as compared to yttrium metal.

## **Properties of unirradiated yttrium dihydride**

Hu et al. performed thermal desorption experiments of  $\text{YH}_2$  [98]. The authors found that the hydrogen desorption process occurred in four distinct regimes characterized by (1) minimal hydrogen loss followed by (2) linear hydrogen loss with temperature and (3) constant hydrogen loss with temperature. In the final regime, (4) hydrogen loss increased rapidly with temperature.

## 6 Neutronic considerations

The figures of merit for the neutronic performance of moderators are the moderating power and the moderating ratio [113]. The moderating power is defined as the logarithmic energy decrement per collision (also called lethargy),  $\xi$ , multiplied by the macroscopic neutron scattering cross section,  $\Sigma_S$ . The moderating ratio is defined as the ratio of the moderating power to the macroscopic neutron absorption cross section,  $\Sigma_A$ . Both parameters are necessary for moderator qualification, as the former describes the efficiency with which neutrons are slowed down, while the latter describes the ability to moderate with minimal neutron absorption. It should be noted that materials with very high moderating power may have low moderating ratios due to high absorption cross sections. For example, gadolinium dihydride,  $\text{GdH}_2$ , has a very high hydrogen density at room temperature ( $7.43 \times 10^{22}$  atoms  $\text{cm}^{-3}$  [54]), but a very low moderating ratio because it also has a very high neutron absorption cross section: isotopically average cross section of approximately 49,000 barns [4]. Conversely, some materials may have a very low moderating power but a very high moderating ratio. For example, beryllium metal,  $\text{Be}$ , has a very low moderating power because it is relatively massive for a moderator material, but has a very high moderating ratio because it has a very low neutron absorption cross section.

### 6.1 Moderating power

**Neutron lethargy** Neutron lethargy is defined as the average effectiveness of a substance in slowing down neutrons for all collisions based on an assumption of equal probability for all collision angles between  $0^\circ$  and  $180^\circ$  [113]. For a neutron colliding with a nucleus of element  $i$ , with mass  $A_i$ , the equation for the lethargy is:

$$\xi_i = 1 + \frac{(A_i - 1)^2}{2A_i} \ln \frac{A_i - 1}{A_i + 1} \quad (31)$$

For example, the lethargy for beryllium is:

$$\xi_{\text{Be}} = 1 + \frac{(9 - 1)^2}{2 \times 9} \ln \frac{9 - 1}{9 + 1} \approx 0.207$$

Equation (31) may be approximated as

$$\xi_i = \frac{2}{A_i + 2/3} \quad (32)$$

The approximation in Equation (32) is good for values of  $A_i$  greater than 10, but still quite accurate for small values of  $A_i$ . For  $A = 1$  (H-1 nucleus),  $\xi = 1$ . Lethargy may also be used to calculate the average number of collisions required to slow down a neutron from one energy to another,  $\bar{n}$ , which is defined as:

$$\bar{n} = \frac{\ln(E_0/E)}{\xi} \quad (33)$$

For example, the average number of collisions required to thermalize 1 MeV neutrons using beryllium would be:

$$\bar{n}_{\text{Be}} = \frac{\ln(E_0/E_{th})}{\xi_{\text{Be}}} = \frac{\ln \left[ \frac{1 \times 10^6 \text{ eV}}{0.025 \text{ eV}} \right]}{0.207} = 84.7$$

## Neutronic considerations

Table 6-1: Lethargy and average number of collisions required to thermalize 1 MeV neutrons for various nuclei of interest for nuclear reactor moderator applications.

Nucleus	Mass number	$\xi$	$\bar{n}$ to thermalize a 1-MeV neutron
$^1\text{H}$	1	1.000	17
$^2\text{H}$	2	0.725	24
$^4\text{He}$	4	0.425	41
$^9\text{Be}$	9	0.207	85
$^{12}\text{C}$	12	0.158	111
$^{16}\text{O}$	16	0.120	146

Thus, beryllium requires, on average, 85 collisions to thermalize a 1 MeV neutron. Values for  $\xi$  and  $\bar{n}$  for various nuclei relevant to moderator materials are summarized in Table 6-1. In this table, it is seen that  $^1\text{H}$  nuclei are the most efficient at thermalizing 1 MeV neutrons, requiring approximately 17 collisions, on average.

**Moderating power** The moderating power,  $P$ , of a material is defined as:

$$P = \sum_i \xi_i \Sigma_{s,i} = \sum_i \xi_i N_i \sigma_{s,i} \quad (34)$$

where  $\Sigma_{s,i}$  is the macroscopic scattering cross section of nucleus  $i$ , which is defined as the product of the atomic density of nucleus  $i$ ,  $N_i$  and the microscopic scattering cross section of nucleus  $i$ ,  $\sigma_{s,i}$ . Because of the dependence of Equation (34) on lethargy and scattering terms, moderating power may be thought of as an efficiency of moderation. That is, neutrons will slow down with fewer collisions using moderators with higher  $P$ -values.

The purpose of slowing neutrons is to obtain thermal neutrons from fast neutrons. Thus, the appropriate scattering cross sections to use are in the epithermal regime [113]. In general, elastic scattering is considered the primary mechanism for energy loss through collisions except for nuclei with very high atomic numbers.

The atomic density,  $N_i$ , may be calculated readily from the mass density and the stoichiometry. For all materials, the mass density changes as a function of temperature. Given a reference density,  $\rho_i^0$ , at a reference temperature,  $T_0$ , and a known secant coefficient of thermal expansion,  $\alpha_i$ , the mass density as a function of temperature,  $\rho_i(T)$ , is calculated as [103]:

$$\rho_i(T) = \frac{\rho_i^0}{[1 + \alpha(T - T_0)]^3}$$

For metal hydrides, the equilibrium hydrogen composition changes as a function of temperature, and metal hydrides have lattice parameter changes with hydrogen content changes [5]. However, the lattice parameter changes with hydrogen content contribute much less to overall atom density than does the hydrogen content change with temperature. Moderating power as a function of temperature in 101.325 kPa of pure hydrogen for some materials considered for nuclear reactor moderator applications is presented in Figure 6-1. Note that Figure 6-1 does not account for cross section changes due to temperature. Figure 6-1 plots the moderating power as a function of temperature for various candidate moderator materials including water, metal hydrides, beryllium compounds, and graphite. It is observed that the moderating power of water and metal hydrides is significantly

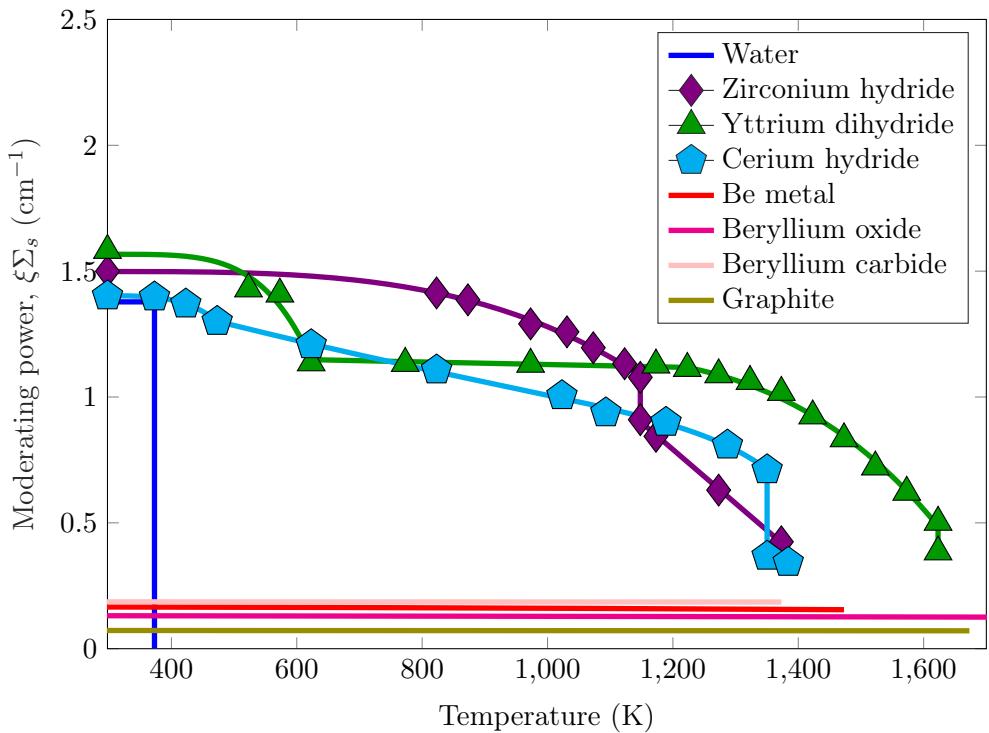


Figure 6-1: Moderating power as a function of temperature for various candidate moderator materials. All data points are taken from literature for materials at 101.325 kPa pressure. Data for metal hydrides were determined for those materials under 101.325 kPa of pure hydrogen [21, 23, 54, 56, 81, 114–126].

higher than for beryllium compounds and graphite. This makes sense, as the primary moderating nucleus in water and metal hydrides is hydrogen, which is much more efficient at slowing down neutrons than any other nucleus. As a result, metal hydrides and water are more efficient at thermalizing neutrons than are the beryllium-based compounds and graphite. However, one advantage of beryllium-containing compounds and graphite is that their moderating power is nearly constant over temperature with only small changes due to thermal expansion. Conversely, metal hydrides may have large changes in moderating power with temperature due to changes in equilibrium hydrogen content as a function of temperature. It is for this reason that yttrium dihydride ( $YH_2$ ) is attractive as a metal hydride moderator, as its hydrogen content is relatively constant from approximately 600 to 1200 K under atmospheric pressures of pure hydrogen, where zirconium hydride and cerium hydride lose hydrogen with temperature in this regime. However, maintaining a hydrogen pressure is optimistic. In most realistic scenarios, hydrogen dissociation will occur.

## 6.2 Moderating ratio

The equation for moderating power, Equation (34), does not account for the loss of neutrons due to neutron absorption. A simple parameter to account for this is the macroscopic neutron absorption cross section,  $\Sigma_a$ . Combining the moderating power with the macroscopic absorption cross section results in the moderating ratio,  $R$ , which is a dimensionless quantity.

For a moderator with the chemical formula  $A_aB_bC_c \dots Z_z$ , the density of each atomic specie,  $K$ ,

## Neutronic considerations

Table 6-2: Moderating lethargy, power, and ratio for various candidate moderator materials at room temperature and ambient pressure.

Material	$\xi$	$P$	$R$
(-)	(-)	( $\text{cm}^{-1}$ )	(-)
$\text{H}_2(\text{g})$	1.00	$5 \times 10^{-4}$	61.5
$\text{H}_2\text{O}(\text{l})$	0.707	1.38	62.1
$\text{ZrH}_{2.0}(\text{s})$	0.674	1.66	37.5
$\text{YH}_{2.0}(\text{s})$	0.674	1.22	17.3
$\text{CeH}_{2.0}(\text{s})$	0.671	0.94	22.9
$\text{Be}(\text{s})$	0.207	0.17	134
$\text{BeO}(\text{s})$	0.163	0.13	174
$\text{Be}_2\text{C}(\text{s})$	0.190	0.19	149
Graphite	0.158	0.07	202

is given as:

$$N_K = \eta_K \frac{\rho_t N_A}{M_t} \quad (35)$$

where  $\eta_K$  is the number of atoms of specie  $K$  in the chemical compound,  $\rho_t$  is the total mass density of the compound, and  $M_t$  is the total molar mass of the compound. As before,  $N_A$  is Avogadro's number. Thus,

$$P = \frac{\rho_t N_A}{M_t} \sum_i \xi_i \eta_i \sigma_{s,i} \quad (36)$$

$$\Sigma_a = \frac{\rho_t N_A}{M_t} \sum_i \eta_i \sigma_{a,i} \quad (37)$$

$$R = \frac{\sum_i \xi_i \eta_i \sigma_{s,i}}{\sum_i \eta_i \sigma_{a,i}} \quad (38)$$

As seen in Equation (38), the macroscopic cross sections are present in the numerator and denominator of the moderating ratio. Thus, the dependence of the moderating ratio on the atomic density disappears. This means that the moderating ratio provides information on the moderating ability of a chemical compound and ignores density. For example, the moderating ratios of liquid water and steam are both 62.1, although liquid water is a much better moderator, due to its higher density of hydrogen atoms (over three orders of magnitude higher than that of steam). As another example, an ideal gas of hydrogen has a very low atomic density. Its moderating power is very low ( $5 \times 10^{-4} \text{ cm}^{-1}$ ) but its moderating ratio is very high (61.5) because it is 100% hydrogen. A selection of moderating ratios for some candidate moderator materials at room temperature are summarized in Table 6-2, which shows that the materials with high hydrogen density tend to have high moderating power, but low moderating ratio due to neutron absorption by other atoms in the compounds. Conversely, the beryllium-containing and carbon-containing moderator compounds have very low moderating powers, but very high moderating ratios, due to the small neutron absorption cross sections of beryllium-9, oxygen-16, and carbon-12. The compounds shown here are the primary candidate moderator materials of interest.

## Neutronic considerations

### 6.3 Effect of hydrogen diffusion on neutronic properties

As mentioned in section 1, the technical challenge of using zirconium hydride as a moderator is that at above approximately 800 K, it begins to lose substantial amounts of hydrogen [123]; these loss rates are further increased with temperature [127, 128]. The latter could be detrimental for reliable reactor operation, and thus the use of zirconium hydrides is limited to lower-temperature applications.  $\text{YH}_2$  was tested as a higher temperature moderator during the 1960s as part of the aircraft propulsion project [52, 110].  $\text{YH}_2$  was studied, but never fully developed for use in a working reactor system. Yet it was recognized even then that  $\text{YH}_2$  could be used at temperatures up to 1100 K with no significant change in the hydrogen density.

One of the challenges of metal hydrides is that under the influence of a temperature gradient, hydrogen redistributes within the hydride's adjacent metallic bond and migrates [129]. This hydrogen diffusion under a temperature gradient is due to Soret diffusion (high temperature particles having higher energy, thus diffusing) and to Fick diffusion resulting from pressure-composition-temperature (PCT) relations (equilibrium concentrations along isobars change as a function of temperature) [51]. This weakness has been a critical argument against the use of metal hydrides in reactors due to the inherent non-linearity in reactor dynamics resulting from hydrogen migration. Simply put, when hydrogen migrates inside a reactor core, it leads to fluctuations in the behavior and performance of the system. The hydrogen relocates within the yttrium hydride moderator, leading to local changes in material properties. As such, the neutronic, mechanical/structural, and heat transfer physics are directly affected. In terms of neutronic effects, local fast and thermal cross sections are interrupted due to changes in the availability of hydrogen. The shift in hydrogen leads to changes in thermo-physical properties, which, in turn, would lead to deviations in the temperature and material stress profile. Asymmetric geometrical changes in materials and evolving temperature profiles change the microscopic and macroscopic neutron cross sections, which develop into further neutronic complications. Note that during this convoluted physical process, it is still necessary to account for overall actinide and non-actinide inventory of the fuel for proper neutronics modeling. All the details described above result in a shift of the local and global neutron energy spectra, and thus, effective neutron multiplication of the system, which affects criticality. It is easy to imagine how non-linear and complicated it can become to design a hydride-moderated reactor without accounting for the hydrogen migration complications and the fluctuations within the reactor system from the beginning until the end of reactor life. This effect is described in the paragraph below.

Lower hydrogen concentrations result in a decrease the heat capacity of  $\text{YH}_2$  due to lower availability of hydrogen. Lower hydrogen content (H/Y ratio) introduces phonon splitting in the  $\text{YH}_2$  crystal, which in turn, ends up increasing the thermal scattering properties,  $S(\alpha, \beta)$ , per atom for certain energies [45]. Higher hydrogen concentration tends to make the  $\text{YH}_2$  material less ductile, thus causing it to be more likely to crack, break, or pulverize [23, 98]. On one hand, more hydrogen content in a reactor is beneficial from a neutron-stopping-power perspective. On the other hand, more hydrogen could compromise the structural integrity of moderator material in the reactor. As such, localized regions of high hydrogen concentration in the moderator material could lead to severe material deformation and/or failure. Higher temperatures in the hydride favor higher hydrogen diffusion rates, but also lower concentrations. This drives the reactor to be more dynamic, which requires more predictive tools, which are currently being developed to help understand hydrogen dynamics in metal hydrides [51].

## 7 Historical irradiation in the Fast Flux Test Facility

Irradiation tests can provide moderator behavior under various neutron irradiation environments for licensing purposes. Three different sets of data can be obtained from irradiation experiments: (1) characterization of materials under irradiation for the first time, (2) justification of the behavior of a reactor in both normal and accident conditions through graded NQA-1 experiments, and (3) assessment and/or confirmation of the byproducts created by irradiation (e.g., gas generation, chemical attack).

Due to its properties, yttrium dihydride ( $\text{YH}_2$ ) was selected as the high temperature moderator for the Fast Flux Test Facility (FFTF) at the Hanford Site for various anticipated missions related to the production of beneficial isotopes.  $\text{YH}_2$  moderator was irradiated in the FFTF for 138 equivalent full power days (EFPD) during Cycle 9A (September 1986) in the Cobalt Test [130], for 10 EFPD during Cycle 11A (May 1989) for the Multiple Isotope Irradiation (MIP) Test [131], for 185 EFPD in the Materials Open Test Assembly (MOTA)-1D (August 1985), and for 203 EFPD in the fusion MOTA-2B (May 1991). In addition,  $\text{YH}_2$  was selected for the high temperature moderator for the Space Isotope Production (SIP) core in FFTF for producing  $^{238}\text{Pu}$  with low  $^{236}\text{Pu}$  for the National Aeronautics and Space Administration from the irradiation of  $^{237}\text{Np}$  [131]. In preparing for these various missions, in-reactor and out-of-reactor tests were completed that assessed the properties of  $\text{YH}_2$ , such as the hydrogen to yttrium ratio, the hydrogen diffusion and permeation rates, the effect of microstructure on hydriding, the effect of impurities on performance, and the effects of the hydriding procedure on the material properties. These were integral performance tests of specific configurations rather than basic materials properties tests.

The behavior of  $\text{YH}_2$  in a microreactor-specific design must be known before it can be licensed for use. To fully qualify  $\text{YH}_2$  in a microreactor, a Nuclear Quality Assured (NQA-1) experiment must achieve a representative fluence. The User's Guide for Experiments in FFTF included a table relating the various test requirements to NQA-1 requirements.

For FFTF irradiations and laboratory tests, the hydrogen content in  $\text{YH}_2$  pellets was measured by a scale that determined the weight change from the hydriding process. The requirements were that the weight had to change by the amount expected from the hydriding process, and the  $\text{YH}_2$  had to pass through a go-no go diameter gage. Bowing of the 5 cm long pellets was checked by the gage, and some machining of the pellets was allowed so that the pellet could fit through the gage. The cladding was exposed to the sodium and was relied on for structural integrity. The temperature of the  $\text{YH}_2$  was calculated for the proposed configuration and materials, and as long as the calculated  $\text{YH}_2$  temperature was below the value that would result in high hydrogen partial pressure, then the test could proceed, as the hydrogen pressure would not be exceeded. Coatings to reduce hydrogen permeation from free hydrogen were used on the inside and outside of the steel cladding tubes for the Cobalt Test and some MOTA tests, but later tests (such as the MIP test) did not have these coatings, and no coatings were proposed for the SIP project.

No post irradiation information for  $\text{YH}_2$  from these FFTF tests (such as phase diagrams) was located.

## 8 Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

### 8.1 Introduction to the ATR irradiations

As presented in the previous sections, a significant amount of data exists for the thermodynamics and thermophysical properties of yttrium dihydride ( $\text{YH}_2$ ). This material has been used in reactors, most notably the Fast Flux Testing Facility (FFTF) reactor. However, post-irradiation examination (PIE) data of this material are lacking and, thus, inadequate to support its use in microreactors. To establish a materials qualification strategy for solid moderators, the impact of neutron irradiation effects on the solid moderator's form and function must be qualified. Therefore, the effect of irradiation on the critical material properties and dimensional stability must be determined for irradiated  $\text{YH}_2$ . This result will serve as the basis for solid moderator qualification and will inform microreactor performance and safety codes.

To that end, fission reactor neutron irradiations of  $\text{YH}_2$  were performed in the B2 position of the Advanced Test Reactor (ATR) at Idaho National Laboratory (INL). A total of 102  $\text{YH}_2$  specimens, 36 titanium-zirconium-molybdenum (TZM) sheets, passive instrumentation (12 melt wires, 6 fluence wires, and 3 silicon carbide passive thermometry sticks) were encapsulated in 6 TZM capsules. These capsules were irradiated in ATR for two months (60 full power days) starting on February 19, 2021, and ending on April 19, 2021. Samples were transported to INL's Hot Fuels Examination Facility (HFEF) on June 16, 2021. The irradiation assembly was disassembled on October 6, 2021, after which PIE commenced.

The rest of this section describes the PIE of  $\text{YH}_2$  completed through fiscal year 2023 (FY23). Much of this work has been documented extensively in technical reports prepared for the Microreactor Program [132–135].

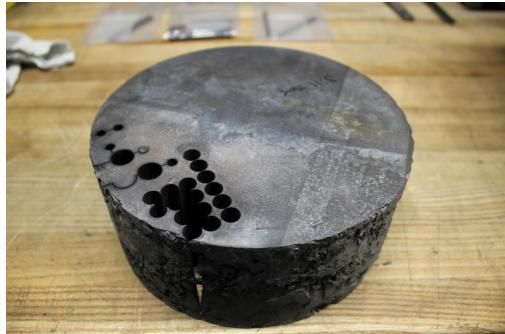
Neutron radiography of the irradiated assembly was the first PIE activity completed. After this, specimens were retrieved from capsules in the HFEF hot cells. Specimens were catalogued and packaged with serial numbers. Fluence wires were shipped to Pacific Northwest National Laboratory (PNNL) for study. Silicon carbide passive thermometry sticks and melt wires were studied at INL.  $\text{YH}_2$  samples were shipped to INL's Analytical Laboratory for basic inspection, including optical microscopy, as well as mass, volume, and density measurements. Hydride samples were subsequently measured for thermal diffusivity using laser flash analysis and temperature-dependent energetics using differential scanning calorimetry.

### 8.2 Hydride fabrication

The starting material for all  $\text{YH}_2$  samples prepared for this experiment was legacy yttrium metal stock that was available in the Sigma Division of Los Alamos National Laboratory (LANL). From here on, this metal ingot and the metal samples produced from it will be referred to as Sigma yttrium. Figure 8-1 shows this ingot with holes cored out to prepare samples for the irradiation.

Slices of the Sigma yttrium ingot were sent to NSL Analytical Services, Inc. (Cleveland, OH) for chemical analysis using inductively coupled plasma mass spectroscopy. The composition of the Sigma yttrium with 95% confidence intervals of each element composition is given in Table 8-1. The fact that the confidence intervals for the chemistry are large indicates a large degree of inhomogeneity in the ingot. Indeed, the three sets of samples were measured to have zirconium compositions of 2200 ppm, 9200 ppm, and 5300 ppm by weight.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor



(a) Ingot



(b) Example samples

Figure 8-1: (a) Legacy stock yttrium ingot available at the Sigma Division of LANL. (b) Example metal samples machined from the yttrium ingot. Figures courtesy of M. Pate (LANL).

Table 8-1: Composition summary of yttrium metal used for ATR sample fabrication.

Element (-)	Composition (wt. ppm)
Y	bal.
Zr	5567 $\pm$ 6840
O	2900 $\pm$ 1103
N+C	275 $\pm$ 69
Fe	223 $\pm$ 92
Transition metals (excl. Fe and refractories)	190 $\pm$ 69
Refractories (excl. Zr and Ta)	110 $\pm$ 138
Group I metals	100 $\pm$ 89
Group II metals	93 $\pm$ 63
Rare Earth metals	70 $\pm$ 59
Nonmetals (excl. O, C, N)	50
Metalloids	41 $\pm$ 55

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

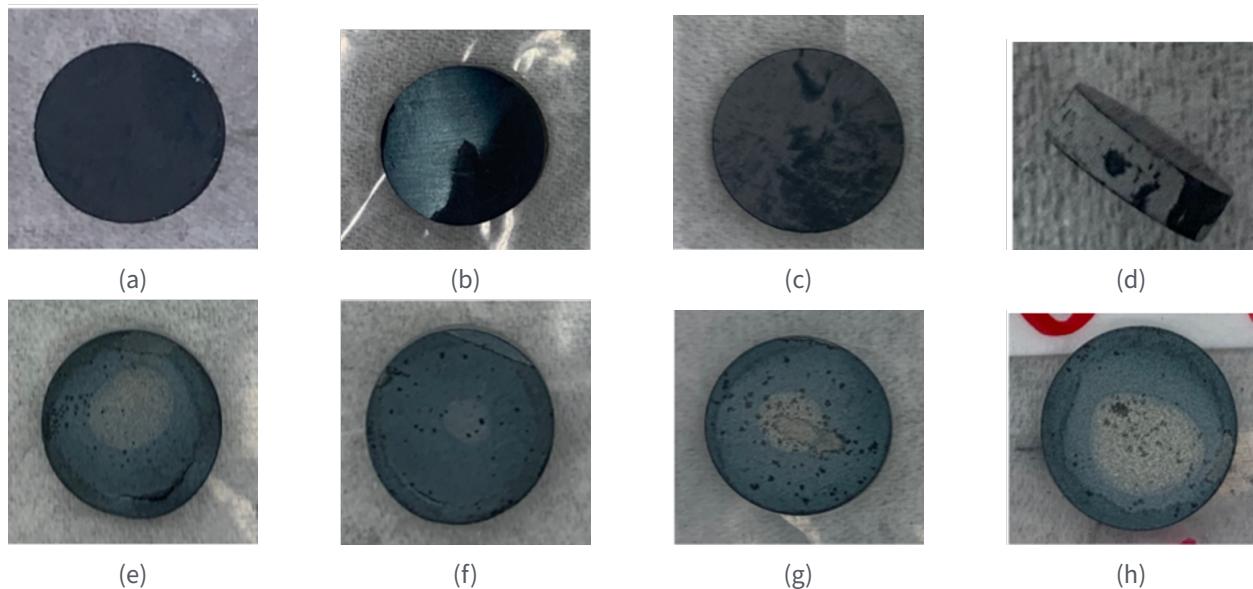


Figure 8-2: Example samples prepared for ATR irradiation experiments. (a)-(d) show examples of direct hydride samples, while (e)-(h) show examples of powder metallurgy samples.

YH<sub>2</sub> samples were fabricated in a variety of geometries for this experiment. Materials were fabricated using either the direct hydriding (DH) method or the powder metallurgy (PM) process. Both of these processes are described in section 4. For each targeted irradiation temperature, 17 samples were fabricated using each method (i.e., 17 DH samples and 17 PM samples). DH samples and PM samples to be irradiated at the same temperature were placed in separate irradiation capsules. Examples of as-fabricated samples are shown in Figure 8-2. A complete list of samples fabricated for the ATR irradiation is presented in Tables 8-2 and 8-3; Table 8-2 summarizes the initial data for all PM samples, while Table 8-3 summarizes the initial data for all DH samples. Both tables contain comments on the appearance of samples prior to loading into irradiation capsules.

In Figures 8-2(e) to 8-2(h), discolorations are observed on several PM samples. As will be shown in section 8.6.4, these discolorations appear to be associated with regions of low hydrogen content. From this, it is likely that many of the PM samples did not have homogeneous hydrogen contents in the as-fabricated state, which makes interpretation of PIE data challenging for these samples.

Table 8-2: Data summary of PM samples prepared for ATR irradiations. Density was compared against a theoretical value of  $4.28 \text{ g cm}^{-3}$  [21].

Sample ID	Diameter	Thickness	Mass	Density	Comment
(-)	(mm)	(mm)	(g)	(% of TD)	(-)
022020-3	12.11	2.09	1.004	97.45	PITTING
, 022020-4	12.16	2.08	1.000	96.72	
022020-10	12.13	2.15	1.009	94.88	PITTING
022420-1	12.2	2.1	1.002	95.37	PITTING ONE SIDE, DISCOLORATION OTHER SIDE
022420-2	12.17	2.17	0.986	91.26	CIRCULAR CRACK, DISCOLOR
022420-3	12.2	2.09	0.964	92.19	
022420-5	12.21	2.1	0.952	90.46	
022520-5	4.88	1.58	0.121	95.67	SMALL CHIP
022520-6	4.88	1.57	0.12	95.48	
022520-7	4.85	1.57	0.122	98.27	
022520-9	4.87	1.59	0.122	96.24	MINOR OXIDATION, PITTING
030520-7	12.26	2.07	0.96	91.79	MINOR PITTING
030520-9	12.17	2.09	0.979	94.09	MINOR OXIDATION, PITTING
030520-10	12.17	2.07	0.967	93.83	MINOR OXIDATION, MINOR PITTING
030520-11	12.23	2.01	0.962	95.19	MINOR OXIDATION, PITTING
030520-13	12.15	1.98	0.951	96.79	MINOR PITTING, MINOR OXIDATION
030520-15	12.13	1.98	0.957	97.72	MINOR PITTING, MINOR OXIDATION
030520-18	12.17	2.02	0.955	94.96	CIRCULAR CRACK, PITTING
0306-11	4.88	1.52	0.117	96.15	
030620-1	12.25	9.56	4.774	99.00	MINOR OXIDATION, PITTING POSSIBLE
030620-5	4.94	1.47	0.118	97.85	
030620-6	4.88	1.52	0.116	95.33	
030620-9	4.88	1.41	0.107	94.80	SMALL CHIP
030620-10	12.28	9.5	4.778	99.22	OXIDATION, PITTING,
030620-13	12.2	9.51	4.752	99.87	MINOR PITTING, MINOR OXIDATION
030620-17	4.86	1.44	0.108	94.46	
030620-22	12.26	9.49	4.779	99.67	
030920-1	12.18	9.79	4.912	100.61	PITTING, EDGE CHIP
030920-4	4.86	1.73	0.132	96.10	MINOR PITTING
030920-6	4.86	1.54	0.118	96.51	PITTING ON ONE SIDE
030920-8	4.86	1.55	0.119	96.70	PITTING ON ONE SIDE
030920-9	4.86	1.51	0.116	96.76	
030920-12	4.91	1.43	0.109	94.06	MINOR OXIDATION
031020-1	12.25	9.80	4.944	100.01	MINOR OXIDATION
031020-4	12.25	9.77	4.926	99.95	SEVERE CIRCULAR CRACK, PITTING
031020-5	12.26	9.81	4.956	99.99	MINOR CIRCULAR CRACK, MINOR PITTING
031020-6	12.28	9.78	4.94	99.65	OXIDATION, PITTING,
031020-8	12.15	2.09	0.998	96.23	SEVERE CIRCULAR CRACK, MINOR PITTING
031020-9	12.13	2.08	0.997	96.91	PITTING
031020-10	12.12	2.11	0.995	95.50	PITTING
031020-11	12.13	2.14	1.004	94.86	PITTING
031020-12	12.12	2.13	0.996	94.70	MINOR CIRCULAR CRACK, MINOR PITTING
031120-2	12.19	2.12	0.986	93.11	DISCOLOR, MINOR PITTING
031120-4	12.14	2.10	1.000	96.12	MINOR OXIDATION, PITTING POSSIBLE
031120-5	12.14	2.09	0.993	95.90	MINOR CIRCULAR CRACK, PITTING
031120-6	12.16	2.09	0.994	95.68	CIRCULAR CRACK, PITTING
031120-7	12.19	2.12	0.997	94.15	CIRCULAR CRACK, PITTING
031120-12	4.88	1.44	0.11	95.42	MINOR OXIDATION
031120-13	4.88	1.45	0.111	95.63	
031120-14	4.9	1.43	0.109	94.44	CIRCULAR CRACK ONE SIDE
031120-15	4.92	1.42	0.107	92.60	ROUGH SURFACE

Table 8-3: Data summary of DH samples prepared for ATR irradiations. Hydrogen content was determined gravimetrically after hydriding, assuming all mass gain was due to hydrogen absorption.

Sample ID	Diameter	Thickness	Mass	H content	Comment
(-)	(mm)	(mm)	(g)	(H/M)	(-)
172-3	12.408	5.07	9.988	1.97	GROUND TO FINAL SIZE
182-2	12.425	5.07	9.987	1.89	ONE SIDE GROUND, ONE SIDE ROUGH
182-3	12.402	5.08	9.977	1.9	GROUND TO FINAL SIZE, DISCOLORATION BROWN/WHITE
186-1	12.402	5.07	9.95	1.88	GROUND TO FINAL SIZE, DISCOLORATION BROWN/WHITE
186-3	12.472	5.1	9.953	1.88	OXIDATION
186-4	12.45	5.03	9.924	1.88	OXIDATION
194-1	4.95	0.12	1.478	1.88	MODLED APPEARANCE
194-2	12.068	0.93	1.968	1.89	MINOR OXIDATION
194-3	12.135	0.88	1.886	1.83	MODLED APPEARANCE
194-4	12.209	0.86	1.888		OXIDATION ON SIDES
194-5	12.085	0.87	1.838	1.8	MINOR OXIDATION
194-6	12.176	0.94	1.94	1.88	OXIDATION ON SIDES
194-7	12.044	0.93	1.97	1.85	MINOR OXIDATION
194-8	12.096	0.92	1.934	1.86	OXIDATION ON SIDES
194-9	12.144	0.91	1.935		MINOR OXIDATION ON SIDES
194-10	12.12	0.82	1.768	1.88	EDGE MINOR OXIDATION
204-4	12.499	5.24	10.016	1.9	PARTIAL GRIND
210-1	12.49	5.21	10.003	1.94	GRIND ONE SIDE
210-4	12.505	5.23	10.009	1.92	GRIND ONE SIDE
210-9	12.09	0.87	1.842		GROUND TO FINAL SIZE
216-1				1.65	GROUND TO FINAL SIZE, DARK GREY
216-2	4.938	0.13	1.508	1.64	GROUND TO FINAL SIZE, DARK GREY
216-3	4.94	0.12	1.498	1.65	GROUND TO FINAL SIZE, DARK GREY
216-4	4.935	0.12	1.493	1.65	GROUND TO FINAL SIZE, DARK GREY
216-5	4.932	0.11	1.507	1.65	GROUND TO FINAL SIZE, DARK GREY
216-8	4.927	0.12	1.484	1.65	GROUND TO FINAL SIZE, DARK GREY
216-9	4.941	0.12	1.491	1.65	ODD SHINE FROM POLISH
216-10	4.94	0.12	1.495		
221-2	4.927	0.12	1.479	1.71	GROUND TO SIZE
221-3	4.934	0.11	1.492	1.99	
221-4	4.935	0.12	1.46	1.78	GROUND TO SIZE
221-6	4.925	0.12	1.483	1.72	
221-8	4.928	0.12	1.506	1.96	
221-9	4.959	0.12	1.464		GROUND TO SIZE, OXIDATION ON SIDES
221-10	4.936	0.12	1.508	1.84	GROUND TO SIZE
221-11	4.913	0.12	1.501	1.79	
221-12	4.942	0.11	1.494	1.85	GROUND TO SIZE
221-14	5.008	0.12	1.508	1.58	GROUND ON ONE SIDE
252-A3	12.419	1.02	1.981	1.8	GROUND TO SIZE
252-A4	12.461	1.02	1.986	1.8	GROUND TO FINAL SIZE
252-A5	12.587	1.04	2	1.81	GROUND TO FINAL SIZE
252-A6	12.389	1.01	1.991	1.81	GROUND TO SIZE
252-A7	12.482	1.03	1.985	1.79	GROUND TO FINAL SIZE
252-A8	12.456	1.04	1.989	1.84	GROUND, ROUGH SURFACE
252-A9	12.451	1.02	1.998		GROUND TO FINAL SIZE
252-A10	12.456	1.03	1.995	1.84	GROUND TO SIZE
261-1	12.44	1.032	1.999		GROUND TO SIZE ONE SIDE
261-2	12.421	1.035	2.001	1.84	GROUND TO SIZE ONE SIDE
261-3	12.451	1.033	1.991	1.89	GROUND TO SIZE ONE SIDE
261-4	12.43	1.001	1.951	1.81	PARTIAL GRIND ONE SIDE
261-5	12.468	1.052	1.988	1.85	PARTIAL GRIND TWO SIDES

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

### 8.3 Irradiation capsule design

Six irradiation capsules were fabricated with the intention of studying the neutron irradiation response of  $\text{YH}_2$  as a function of irradiation temperature and fabrication method. Each capsule was assembled with 17  $\text{YH}_2$  samples fabricated by either Sieverts gas absorption DH or the PM process. Capsules 1, 2B, and 3A contained samples fabricated by PM, while Capsules 4, 5, and 6 contained samples fabricated by Sieverts gas absorption DH. Samples were offset from one another using six TZM sheets and one TZM ring per capsule. Melt wires were inserted inside the TZM ring.  $\text{YH}_2$  fabrication and capsule assembly were both performed by Sigma Division at LANL. Fluence wires, melt wires, and silicon carbide thermometry sticks were inserted in each temperature capsule inside the TZM rings. A schematic of an irradiation capsule is shown in Figure 8-3. Specimens were identified as RUS, glow discharge optical emission spectroscopy (GDOES), transmission electron microscopy (TEM), laser flash analysis (LFA), or differential scanning calorimetry (DSC), depending on the sample geometry for the desired PIE technique. Sample quantities and geometries are summarized in the Yttrium Hydride Post-Irradiation Examination Plan [132]. Assembled capsules

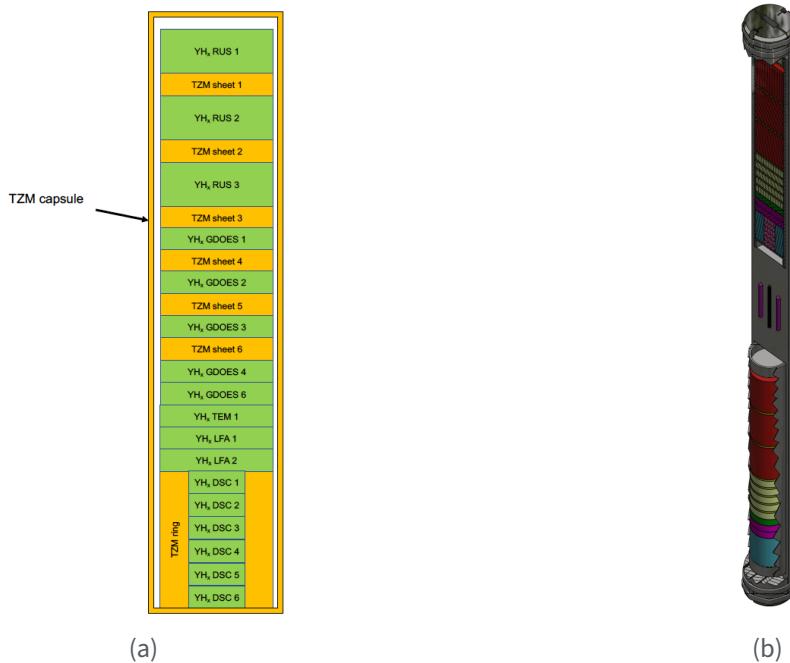


Figure 8-3: Schematic of specimen stacking in TZM capsule. (a) A 2D cross section of the TZM irradiation capsule. Green: yttrium dihydride samples; orange: TZM fixturing. Samples are labeled with intended PIE technique. (b) A 3D rendering of two TZM capsules intended for a single temperature.

are shown in Figure 8-4, except Capsule 2B. Surface oxidation on the capsule lids was noticed after welding. Capsules 1, 2, 3A, 4, 5, and 6 all passed helium gas leak checking. However, Capsule 2B successively failed helium leak checking, indicating a crack in the capsule. A crack at the weld section was observed using optical microscopy; this crack extended through the wall thickness of the TZM capsule. This is shown in Figure 8-5.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

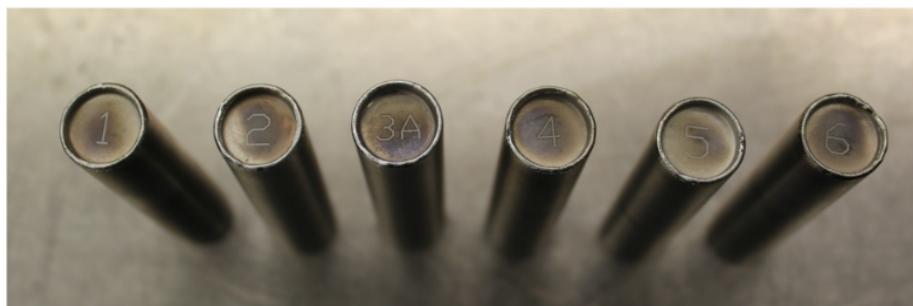


Figure 8-4: Picture of assembled capsules, except Capsule 2B.

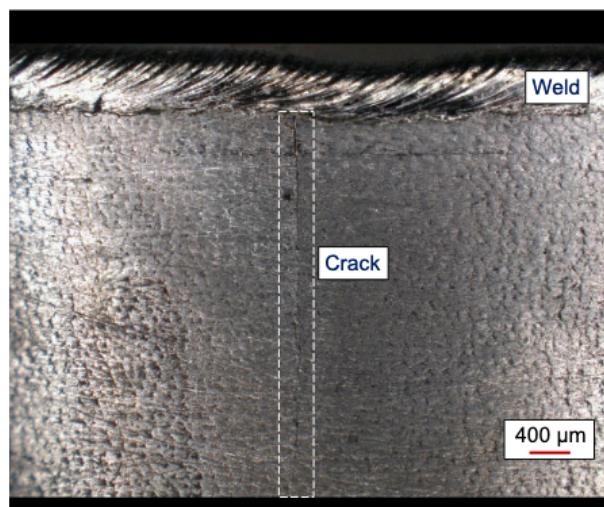


Figure 8-5: Optical micrograph of the weld section of Capsule 2B with the crack highlighted.

### 8.4 Summary of irradiation conditions

The three targeted temperatures for irradiation were 873, 973, and 1073 K (600 °C, 700 °C, and 800 °C). Irradiation temperatures were estimated based on vertical position in the reactor. The irradiation temperatures for the capsules were estimated as follows: capsules 1 and 4 were irradiated at 873 K, capsules 3A and 6 were irradiated at 1073 K, and capsules 2B and 5 were irradiated at 973 K. The fast neutron fluence exhibited a skewed cosine shape that was calculated to be between 1.05-1.25 cm<sup>-2</sup>. The nominal average design temperature of each capsule and the fast fluence distribution are shown in Figure 8-6. The irradiation temperatures overlayed with capsule gas compositions are given in Figure 8-7. As noted above, Capsule 2B had a crack at the weld location [133]. The crack in Capsule 2B was expected to act as a path for gas exchange between the capsule and free volume of the ATR basket, which was filled with a helium/argon gas mixture. As such, the gas composition of Capsule 2B would not contain the intended 10 Torr (1333 Pa) of hydrogen gas. The thermal analysis of the irradiation was redone assuming the capsule was filled with air to estimate the worst condition. The consequence of the crack was that the average calculated temperature of Capsule 2B increased from 972 K to 1042 K. The impact to the expected temperatures of the other capsules was determined to be minimal.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

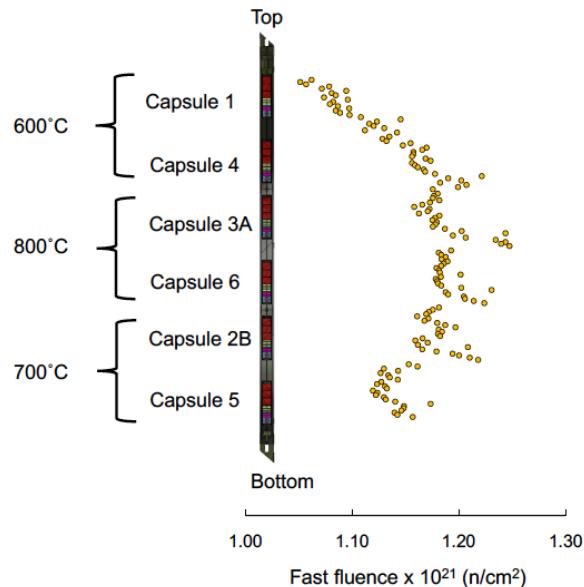


Figure 8-6: Calculated capsule design temperatures and the calculated fast fluence distribution.

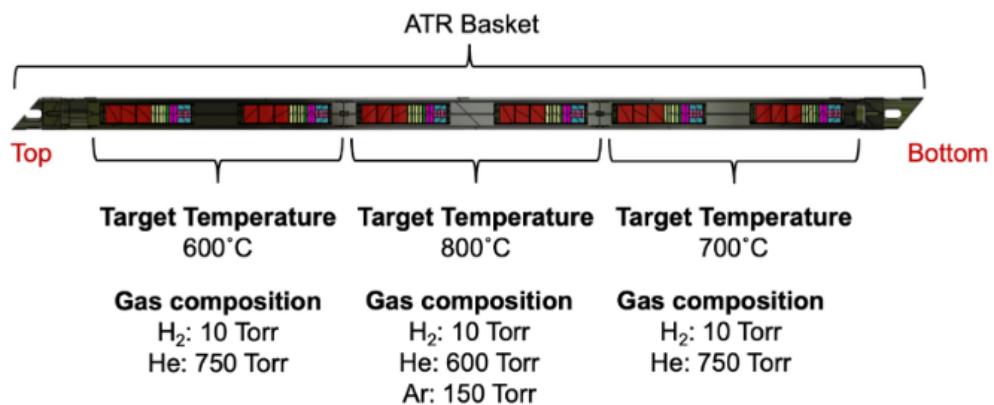


Figure 8-7: Predicted irradiation temperatures and gas compositions of irradiation capsules.

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

## 8.5 PIE techniques

**Neutron radiography** After irradiation, the basket containing the capsules was imaged using neutron radiography at the East Radiography Station of the Neutron Radiography Reactor (NRAD) at HFEF. The basket was placed in an aluminum carrier and sample positioning was determined using a gadolinium-filled ruler to enable ruler gradations to be viewed in transmission neutron images. The high spatial resolution indirect transfer method was used to produce images. Briefly, this method uses reactor neutrons to penetrate the sample; transmission neutrons interact with dysprosium, cadmium, and indium foils to image the thermal and epithermal neutrons. The radioactive foils are then placed on an electron sensitive X-ray film in a dark room for five half-lives for the  $\beta^-$  decay of dysprosium and indium. The image is formed through electron-stimulated chemical reactions in the X-ray film. The basket was imaged at two elevations with 190.5 mm overlap and three orientations of 0°, 120°, and 240° by manual rotation. Further details of the neutron radiography measurements are given in [134].

**Fluence wire examinations** Two types of neutron fluence wires were used in this study: (1) iron-titanium-niobium (FeTiNb) alloy wires and (2) aluminum-cobalt (AlCo) alloy wires. Six neutron fluence wires were fabricated at PNNL, where they were electron-beam sealed in vanadium capsules. Details of their fabrication are given in [136]. Following irradiation, the vanadium capsules and fluence wires were shipped back to PNNL for analysis. At PNNL, the fluence wires were removed from the capsules. The FeTiNb wires were dissolved in a mixture of nitric and hydrofluoric acids and small aliquots of the dissolved wire were deposited on filter paper. This aliquoted filter paper was then counted for gamma rays using low energy germanium detectors to measure the X-rays emitted by niobium-93m, which has a half-life of 16.1 years. Gamma detectors were calibrated using National Institute of Standards and Technology (NIST) traceable standards following ASTM standard E1297 [137].

**Melt wire examinations** Melt wires of pure or alloyed metals with known compositions and melting points were used to passively determine peak irradiation temperatures during the test. These melt wires were encapsulated in quartz ampoules and were placed inside specific capsules based on the target irradiation temperature. Two melt wires were placed in each capsule in the TZM rings. After irradiation, melt wires were inspected visually using an optical microscope to determine whether melting had occurred, which indicates the range of the peak temperature experienced by the capsule during the test.

**Optical inspection of samples** After removal from the capsules, YH<sub>2</sub> samples were inspected using a Dino-Lite AM73915MZTL digital microscope calibrated using a NIST-certified external standard purchase from Klarmann Rulings. This standard enabled dimensional inspections with  $\pm 7 \times 10^{-4}$  mm uncertainties.

**Density measurements** YH<sub>2</sub> samples were measured for mass changes using a Mettler-Toledo XPR204 four-place analytical balance that had been calibrated by the INL Calibrations and Standards Laboratory. Balance performance was checked daily and corrected for temperature fluctuations. Hydride sample volumes were measured using a Micromeritics AccuPyc II 1345 Gas Displacement Pycnometer. This system operates under a similar principle as a Sieverts apparatus, but uses inert gas (helium, in this case) to measure the volume of a sample by calibrating against

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

known sample volumes. Finally, the density was calculated using mass and volume measurements with uncertainties propagated from both mass and volume measurement techniques.

**Hydrogen content measurements** An ELTRA ONH-2000 inert gas fusion (IGF) analyzer was used for hydrogen measurements. Prior to reference standard and irradiated specimen tests, blank runs with empty tin capsules were performed to evaluate and correct for the hydrogen content of the capsules during the data analysis. The instrument was calibrated with NIST traceable and certified reference standards, having hydrogen contents bounding those predicted for the sample. The calibration runs were then checked by analyzing an independent NIST traceable certified reference standard with hydrogen content near the middle of the calibrated range. After calibration checks, the specimens were tested. An additional check standard run was applied at the end of the sample batch and at least every hour during the analysis to evaluate the detector drift.

The hydrogen concentration of each sample ( $c_H^{\text{sample}}$ ) was calculated using Equation (39):

$$c_H^{\text{sample}} = \frac{K_H A_{\text{sample}} - A_{\text{BG}}}{M_{\text{sample}}} \quad (39)$$

where  $K_H$  is the sensitivity constant of the instrument for hydrogen determined through the analysis of a known standard,  $A_{\text{sample}}$  is the sample peak area,  $A_{\text{BG}}$  is the sum of all background contributions to the peak area determined experimentally, and  $M_{\text{sample}}$  is the net weight of the sample minus the capsule weight. The average hydrogen content is then calculated as the arithmetic mean of a set of individual samples.

**X-ray diffraction** XRD measurements were performed in a Panalytical Empyrean equipped with a Pixel 3D detector using a  $0.02 \mu\text{m}$  nickel filter. The goniometer radius was configured to 240 mm, Soller slits of 0.04 radians, anti-scatter slit of  $0.25^\circ$ , and divergence slit of  $1.125^\circ$  (140 mm distant from the sample). A silicon zero-background was used as the sample holder. Scans were acquired using Copper  $K_\alpha$  radiation. Voltage and current were 45kV and 40mA, respectively, and  $2\theta$  ranged from  $20$  to  $140^\circ$  in Bragg-Brentano geometry, with step size of  $0.013^\circ$  and 200 seconds per step.

Phase identification was carried out in Highscore software from Panalytical, using PDF4+ database. Rietveld refinements were done with TOPAS software from Bruker, version 4.2. Significant grain size effects were noted for most samples. To reduce the influence of this effect, some crystalline phases were refined with preferential orientation using the March-Dollase algorithm embedded in the TOPAS software.

**Thermal diffusivity** The thermal diffusivities of the irradiated hydride samples were measured using a glovebox Netzsch LFA using ASTM standard E1461 [138]. The glovebox was maintained with 1 ppm oxygen and less than 5 ppm water. Thermal diffusivity standards used to verify instrument calibration included Pyroceram 9600 (magnesium aluminosilicate glass) and pure iron ( $> 99.9\%$  pure). Specimen holders were painted with yttrium(III) oxide to minimize interaction between hydride specimens and the surroundings. Ultra-high purity argon gas was used as the cover gas. Sample measurements were made at 25 and 50 K temperature increments. Specimens were measured up to 1123 or 1273 K temperature. Thermal diffusivity was determined using the pulse-corrected Cowan model.

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

**Differential scanning calorimetry** DSC scans were measured using a Netzsch DSC 404F1 differential scanning calorimeter. Samples were placed in platinum-rhodium crucibles lined with yttrium(III) oxide. DSC was measured upon heating and cooling at a rate of  $10\text{ K min}^{-1}$ . Ultra-high purity argon was used as the cover gas at a flow rate of  $20\text{ mm}^3/\text{min}$  after passing it through an oxygen gettering furnace. Oxygen impurity levels were below the parts-per-billion range based on the measured performance of the gettering furnace. All instruments were contained within an argon atmosphere glovebox with less than 1 parts-per-million oxygen.

## 8.6 PIE results

### 8.6.1 Neutron radiography

Neutron radiographs of the ATR fixture at orientations of  $0^\circ$ ,  $120^\circ$ , and  $240^\circ$  are shown in Figure 8-8. The six capsules contained specimens from top to bottom. The color map in Figure 8-8 depicts black to white regions as having zero to full neutron absorption contrast. That is, black regions had full transmission of neutrons, while white regions had almost no transmission of neutrons. No defects or failures of the ATR basket or TZM capsules were detected after irradiation and following transport from ATR to NRAD.

A closeup of the individual capsules at  $240^\circ$  is shown in Figure 8-9. In this figure, it is observed that some regions of the  $\text{YH}_2$  samples exhibited neutron transmission contrast variations. This is most clearly seen in Capsules 1, 2B, and 4. This contrast variation indicates some hydrogen concentration variation within specific samples, though this behavior is not observed in all samples of a particular capsule or in all capsules. The temperature of Capsule 1 was  $883\text{ K}$ , and Capsule 2B was  $1088\text{ K}$ , as calculated using finite element analysis. Specimens in Capsules 1 and 2B were prepared by PM. Specimens in Capsule 3A were fabricated using PM methods but did not exhibit such behavior, and specimens in Capsule 4 showed subtle gradation in the neutron radiographs but were fabricated using DH. The contrast variation in specimens which were irradiated at  $873\text{ K}$  (target), appeared to have a continuity for axially stacked specimens. Melt wire data from the bottom of Capsule 1 suggested a radial temperature gradient, as will be discussed below. However, the same contrast difference was not observed in other samples of the same capsule.

Another observation was the contrast variation in the specimens which were irradiated in the  $973\text{-}1083\text{ K}$  Capsule 2B. The first specimen and the third specimen (starting from the top) both exhibited a contrast variation in the radial direction, but the second specimen had no visible contrast variation. These observations could also be indicative of some manufacturing-related effect. As will be shown in later sections, these samples also had optically visible features corresponding to the contrast variations, which were also observed prior to irradiation. Acknowledging the caveat of the specimen temperature measurements, the observed contrast variation was likely caused by a manufacturing-related effect or the non-uniform cooling after irradiations.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

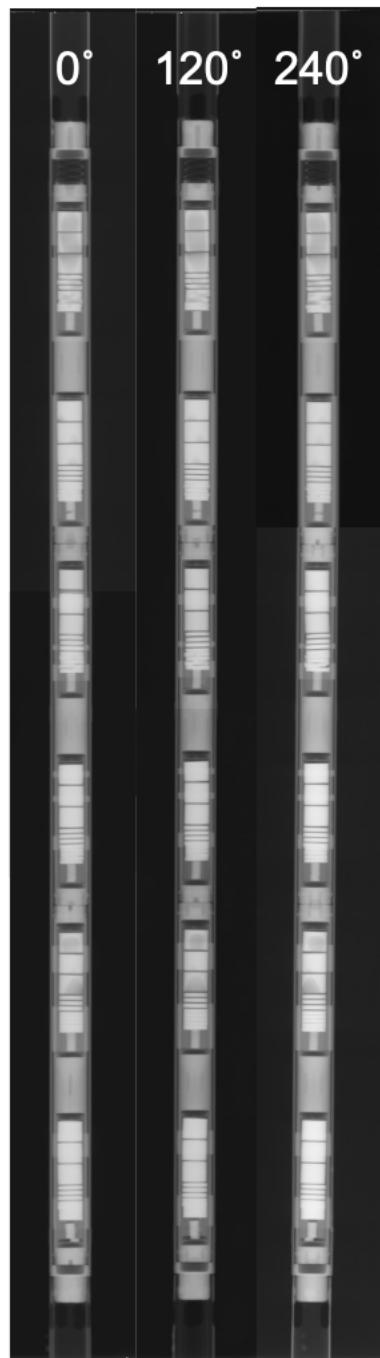


Figure 8-8: Neutron radiography of the ATR basket and yttrium dihydride irradiation capsules with specific hydride samples.  
Images were taken at  $0^\circ$ ,  $120^\circ$ , and  $240^\circ$ .

**Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor**

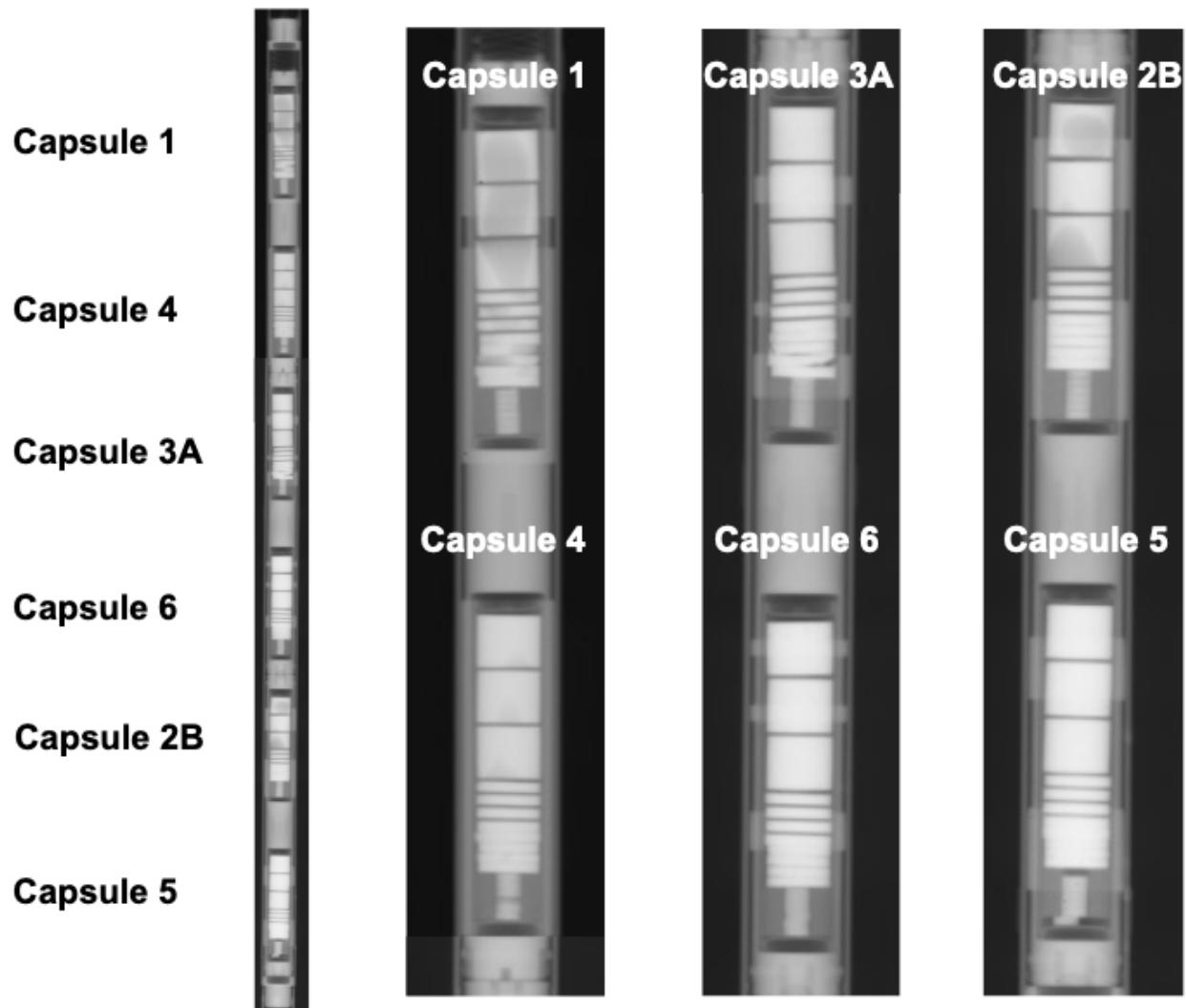


Figure 8-9: Neutron radiographs of individual capsules at an angle of 240°.

## **Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor**

### **8.6.2 Specimen integrity during retrieval**

Because YH<sub>2</sub> is brittle, one important attribute is its mechanical integrity after irradiation. The neutron radiographs in Figures 8-8 and 8-9 indicated that all samples were intact within the irradiation assembly. Table 8-4 shows the status of the samples and planned PIE activities after capsule opening at HFEF's main hot cell. Upon opening the capsule, several samples mechanically failed prior to handling with hot cell manipulators. Additionally, several samples had bonded to one another. The number of broken, powdered, and bonded specimens were three, five, and six respectively.

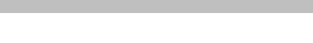
For Capsule 3A, the bonded specimens were all DSC type (5 mm diameter, 1.5 mm thickness). No TZM sheet was present in between these specimens. Within this capsule, DSC-1, -2, and -3 were bonded together to form a single specimen, while DSC-4 and -5 bonded to form another. Additionally, GDS-5 and LFA-1 samples were broken. GDS specimens of Capsule 4, fabricated using DH, came out of the capsule as powders. These specimens had TZM sheets in between. Within Capsule 5, sample GDS-3 bonded to the adjacent TZM sheet and DCS-5 was broken. In total, 88 of 102 hydride specimens were retrieved intact. Additional specimens were broken during inter-facility transfers. Retrieved pieces of an individual broken specimen were used for multiple characterizations, as allowed.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

Table 8-4: The planned PIE activities (color-coded). Capsules 1, 2B, and 3A contained PM specimens, while Capsules 4, 5, and 6 contained directly hydrided specimens.

Capsule Identification (#)					
1 (873 K)	2B (973 K)	3A (1073 K)	4 (873 K)	5 (973 K)	6 (1073 K)
PRD-1	PRD-1	PRD-1	PRD-1	PRD-1	PRD-1
PRD-2	PRD-2	PRD-2	PRD-2	PRD-2	PRD-2
PRD-3	PRD-3	PRD-3	PRD-3	PRD-3	PRD-3
GDS-1	GDS-1	GDS-1		GDS-1	GDS-1
GDS-2	GDS-2	GDS-2		GDS-2	GDS-2
GDS-3	GDS-3	GDS-3		GDS-3	GDS-3
GDS-4	GDS-4	GDS-4		GDS-4	GDS-4
GDS-5	GDS-5	GDS-5		GDS-5	GDS-5
TEM	TEM	TEM	TEM	TEM	TEM
LFA-1	LFA-1	LFA-1	LFA-1	LFA-1	LFA-1
LFA-2	LFA-2	LFA-2	LFA-2	LFA-2	LFA-2
DSC-1	DSC-1	DSC-1	DSC-1	DSC-1	DSC-1
DSC-2	DSC-2	DSC-2	DSC-2	DSC-2	DSC-2
DSC-3	DSC-3	DSC-3	DSC-3	DSC-3	DSC-3
DSC-4	DSC-4	DSC-4	DSC-4	DSC-4	DSC-4
DSC-5	DSC-5	DSC-5	DSC-5	DSC-5	DSC-5
DSC-6	DSC-6	DSC-6	DSC-6	DSC-6	DSC-6

Color codes	
	LIBS
	LFA
	DSC
	IGF and XRD (halved sample)
	Metallography
	Not available due to pulverization
	PRD
	Reserved sample

### 8.6.3 Neutron fluence and thermometry

**Fluence wires** Three sets of fluence wires were contained within the irradiation capsule. Detailed analysis of the fluence wires has been provided by PNNL and included in the PIE documentation. Table 8-5 shows the fluence wire results along the ATR reactor elevation at the B2 irradiation position, based on activity measurements. The fast fluence for neutron energies greater than 0.1 MeV was measured as 1.28, 1.40, and  $1.27 \times 10^{21} \text{ cm}^{-2}$ .

**Melt wires** Most of the melt wires were damaged during retrieval. Therefore, limited information was extracted from the melt wire assessment (see Table 8-6). The main takeaways from the melt wire analysis were as follows:

- The capsules that were expected to be at 883 K likely experienced a radial temperature gradient where one side was higher than 903 K and the other side was lower than 850 K

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

Table 8-5: Measured and calculated fluences of irradiation capsules.

Capsule	Calculated fast ( $E \geq 0.1$ MeV) fluence ( $1 \times 10^{21} \text{ cm}^{-2}$ )	Measured fast ( $E \geq 0.1$ MeV) fluence ( $1 \times 10^{21} \text{ cm}^{-2}$ )
1	1.61	1.28
4		
3A	1.71	1.40
6		
2B	1.67	1.27
5		

- Capsules that were expected to be around 1083 K likely experienced higher temperatures, above 1138 K
- For capsules at 988 K and 1042 K, no maximum temperature was determined due to lack of melt wires operating around 973 K.

Table 8-6: Melt wire examination results.

Capsule	Temperature (K)	Wire composition (-)	Melting point (K)	Capsule condition (-)	Result (-)
1	882	88Al-12Si	850	Intact	No melt
1	882	100Sb	903	Intact	Melt
4	883	100Sb	903	Intact	Melt
4	883	88Al-12Si	850	Broken	Unknown
3A	1084	98.2Cu-1.8Be	1138	Damage	Probable melt
3A	1084	98.2Cu-1.8Be	1138	Damage	Probable melt
6	1086	98.2Cu-1.8Be	1138	Broken	Unknown
6	1086	98.2Cu-1.8Be	1138	Broken	Unknown
2B	1042	100Al	933	Broken	Melt
2B	1042	49Au-16Cu-23Zn-7.5Mn-4.5Ni	954	Broken	Unknown
5	988	49Au-16Cu-23Zn-7.5Mn-4.5Ni	954	Intact	Melt
5	988	100Al	933	Intact	Melt

**Silicon carbide passive thermometry** Maximum and minimum irradiation temperatures of the ATR capsule were measured with a silicon carbide (SiC) passive monitor (see Table 8-7). All irradiated passive monitors were evaluated with optical dilatometry during an isochronal heat treatment. SiC monitors were located between capsules of the same target temperature, in the middle of the clam shell fixture. At these locations, finite element analysis (FEA) computed higher temperatures than the maximum specimen temperatures in the capsules. All three SiC monitors exhibited some level of physical degradation during the capsule disassembly process in the hot cells, but maintained enough integrity to be used for the dilatometry measurements. The SiC monitor that was aimed for 1060 K was measured as 1133 K maximum temperature.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

Table 8-7: SiC temperature monitor results with FEA-calculated target temperatures at monitor locations.

Sample	At SiC location	Irradiation temperature (K)			
		FEA-calculated		SiC-measured	
		Specimen max	Min	Max	Max – Target
1	942	883	863	903	39
2	1060	1042	1123	1133	63
3	1108	1086	1083	1123	15

### 8.6.4 Metallography of irradiated yttrium dihydride

Optical inspection of each sample was conducted to determine morphological changes or degradation (e.g., cracking) that was visible to the naked eye. This was followed by more detailed optical microscopy, as well as scanning electron microscopy (SEM) with energy-dispersive X-ray spectroscopy (EDS) and electron backscatter diffraction (EBSD). The samples with contrast change, as depicted in Figure 8-9, were carefully inspected using microscopy to understand the effect of this contrast change on microstructure. Instead of providing all the optical pictures of specimens, specimens with important observations are presented below.

**Sample integrity and inspection** Small diameter specimens (DSC samples) showed better mechanical integrity than the large diameter specimens. DSC specimens of Capsule 3A (PM) were retrieved as bonded to each other, except one.

Figure 8-11 shows typical DSC-type specimens after removal from their respective irradiation capsules. In this figure, the samples fabricated using PM are shown on the left and the direct hydride samples are shown on the right. Generally, the samples exposed to lower temperatures (Capsules 1 and 4) showed very little decomposition but did exhibit some surface color variations. As Capsules 2, 3, 5, and 6 were all exposed to temperatures at, or near, 1073 K, degradation was expected to be uniform. However, some specimens appeared to have completely dehydrated (see samples 700-2B and 800-6 in Figure 8-11), while others appeared to remain hydrides (see samples 700-5 and 800-3A in Figure 8-11). This will be discussed in greater detail in section 8.6.5.

**Microscopy of direct hydride specimens** Figure 8-12 shows EBSD information for the DSC-1 specimen of Capsule 5, while Figure 8-13 shows EDS data for the same regions. Briefly, this sample was cross-sectioned and polished and then measured in an SEM using the aforementioned techniques. Scans were performed in the center of the sample, halfway to the outer edge, and at the outer edge. This was done for several DH and PM samples.

Generally, Figure 8-12 shows a fairly textured specimen where each grain crystallographically indexed well to  $\text{YH}_2$ . It is observed that the EBSD maps that index well to  $\text{YH}_2$  actually stop short of the specimen edges at the bottom and at the right. This could be due to hydrogen loss at the periphery of the sample, as the phase identity at this location would be yttrium metal if this were the case. This sample was retrieved from Capsule 5, which had a target temperature of 988 K, though this could have been higher, as indicated by the SiC passive thermometry (see Table 8-7). At these temperatures, slow hydrogen loss would not be unexpected. Although hydrogen loss has only been observed starting around 1073 K under similar conditions [24], those results were for short-time tests (order of hours) where this irradiation took place over 60 days.

The EDS results in Figure 8-13 show similar features to those in Figure 8-12. That is, regions

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

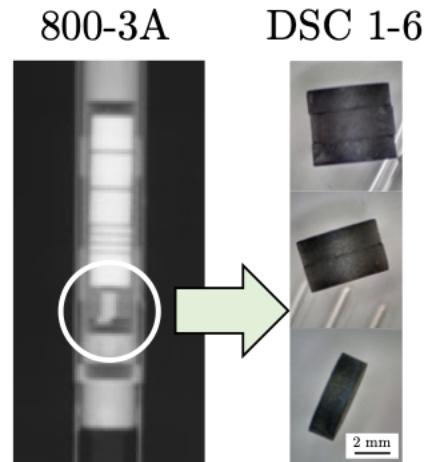


Figure 8-10: DSC samples of capsule 800-3A (Powder metallurgy)

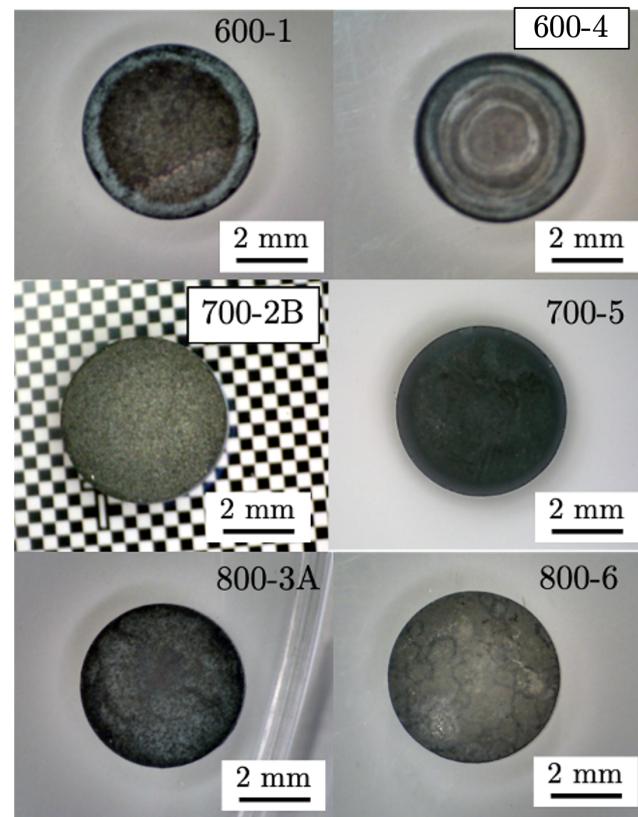


Figure 8-11: Typical DSC samples for depiction of observed surface features.

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

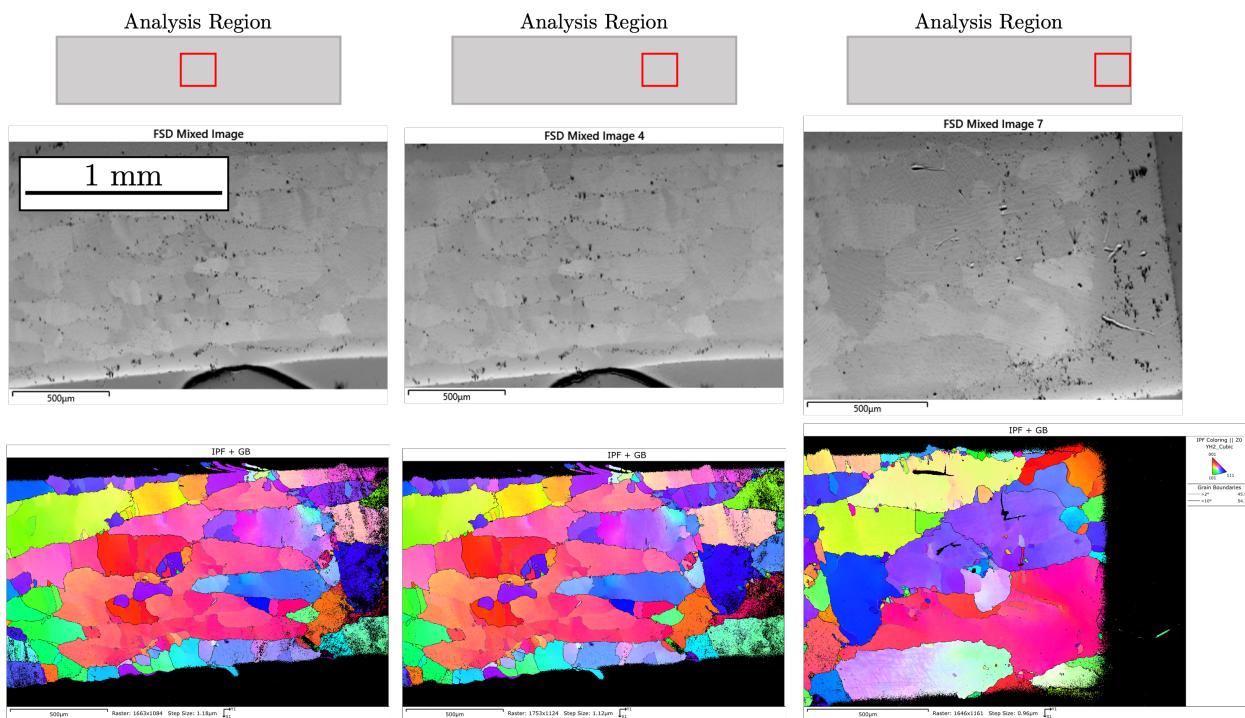


Figure 8-12: EBSD data taken from the DSC-1 sample of Capsule 5 (direct hydride). Note that the scale bar is the same for all images.

in the sample of DSC-1 at the periphery exhibit differences in yttrium fluorescence intensity, which may indicate hydrogen redistribution. Additionally, small oxygen-rich particles dotting the YH<sub>2</sub> phase boundaries are observed. It is not currently known whether these particles were present in parent metal material (Sigma yttrium), were formed during the hydriding process, were formed due to irradiation, or were formed during the sample preparation process. The lack of prior data makes interpretation of PIE results difficult. This result highlights the importance of specimen characterization prior to irradiation.

**Microscopy of powder metallurgy specimens** Figures 8-14 and 8-15 show the EBSD and EDS information for the LFA-1 specimen of Capsule 2B, respectively. Note that Capsule 2B was the cracked capsule that was anticipated to have a higher target temperature than originally planned. The sample was examined in a similar manner as the DSC-1 specimen of Capsule 5; that is, the sample was cross-sectioned and examined in the center, far edge, and halfway between the center and far edge.

Unlike the DH specimen in Figure 8-12, the PM specimen in Figure 8-14 has a much more equiaxed, randomly oriented grain structure, which is expected of a sample produced using PM. The specimen appears to have many surface features that appear to coincide with higher oxygen content, as shown in Figure 8-15. It is likely that many of these features formed during sample preparation, as they are out of plane with the sample surface. However, it is also likely that many of these oxygen-rich regions were also present in the as-fabricated state, as oxidation was noted for several samples in Tables 8-2 and 8-3. More extensive characterization prior to irradiation would have enabled better interpretation of this result. As with the DH sample, the specimen edges appear

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

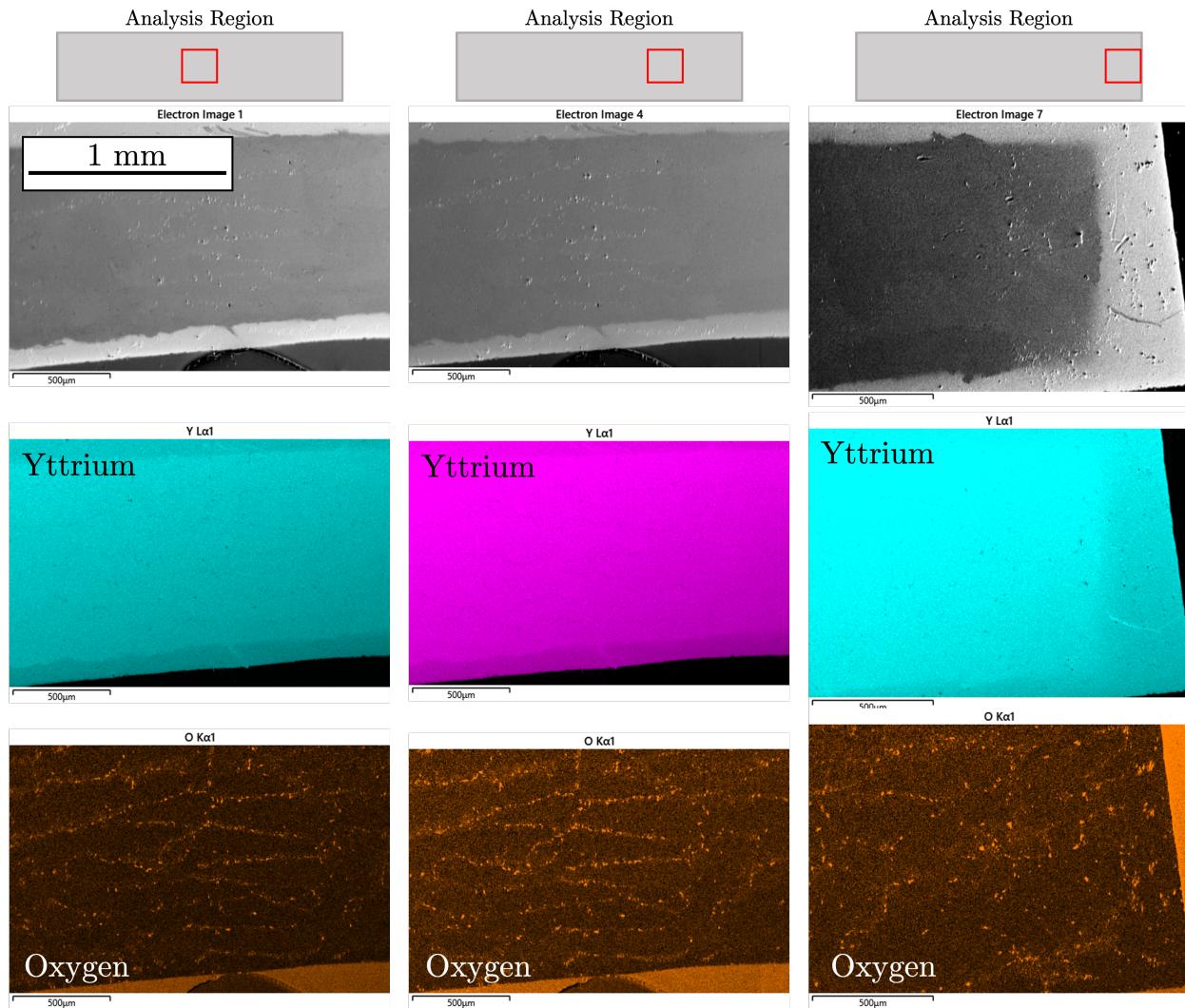


Figure 8-13: EDS data taken from the DSC-1 sample of Capsule 5 (direct hydride). Note that the scale bar is the same for all images.

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

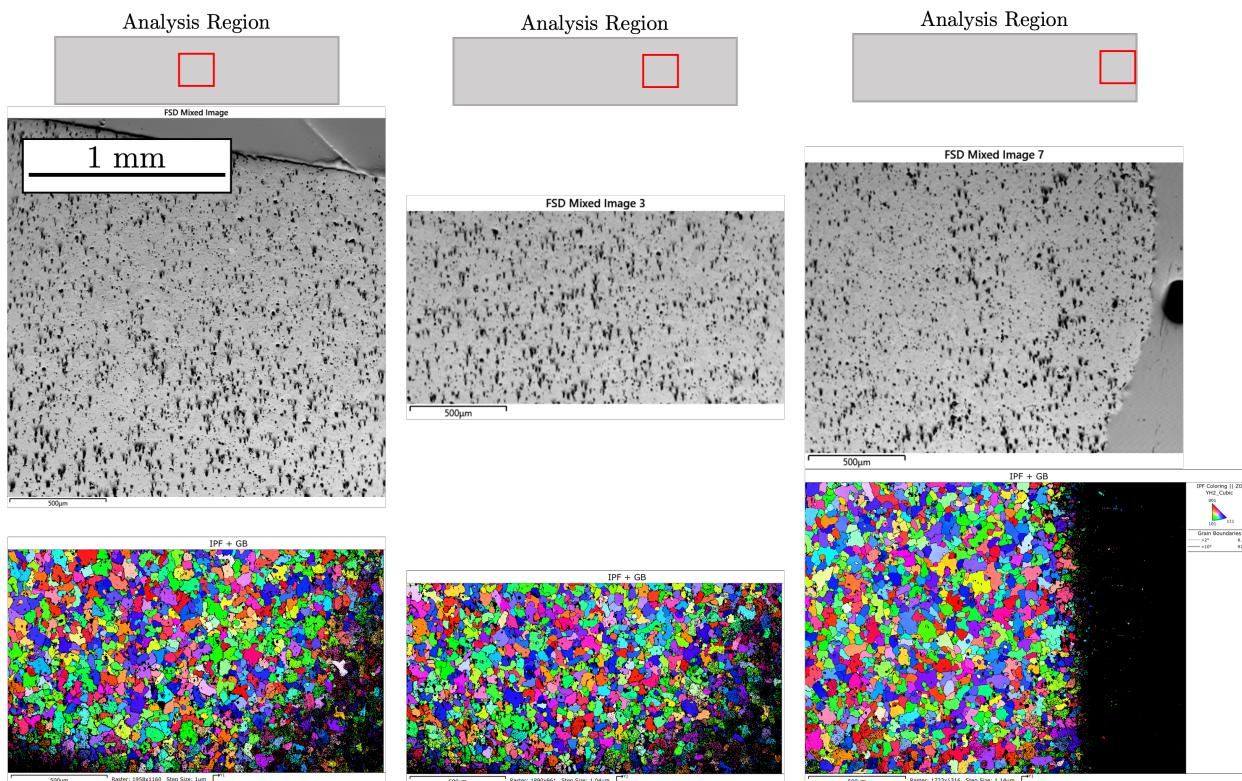


Figure 8-14: EBSD data taken from the LFA-1 sample of Capsule 2B (powder metallurgy). Note that the scale bar is the same for all images.

to not index well to  $\text{YH}_2$  and the EDS maps of the periphery region show lower yttrium content at the edges, which again appears to indicate hydrogen loss from this region of the sample.

**Hydrogen content variations in certain powder metallurgy samples** Specimens from Capsule 1 with visible contrast variations in the neutron radiography of large diameter specimens exhibited a significant color difference ranging from gold, gray-blue, light-gray, and dark-gray, dependent on the particular light conditions. The color alteration was volumetric, verifying the radiography data, and not limited to the surface of samples. Microscopy examination using EBSD showed that the majority of grains indexed as the  $\text{YH}_2$  phase, except oxide, Y metal, and other precipitates (see Figure 8-16) which indicated that the color alterations were related to the grain morphology and potential lack of hydrogen.

Further elemental analysis at the color-changing regions with EDS detected molybdenum at noise levels (Figure 8-17), which indicates that the discoloration was not due to diffusion of molybdenum from the TZM foils placed between samples. Oxygen was also observed together with yttrium, indicating the presence of oxide precipitates. Zirconium was determined as present in the starting  $\text{YH}_2$  material. Thus, the observed color changes were considered related to hydrogen content variations inside the  $\text{YH}_2$  samples. Based on the images taken of the as-manufactured samples, it is determined that the hydrogen content variations and, thus, color changes, were present in several PM samples prior to irradiation, as shown in Figure 8-2. Thus, it is difficult to deconvolute the effect of irradiation from the manufacturing.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

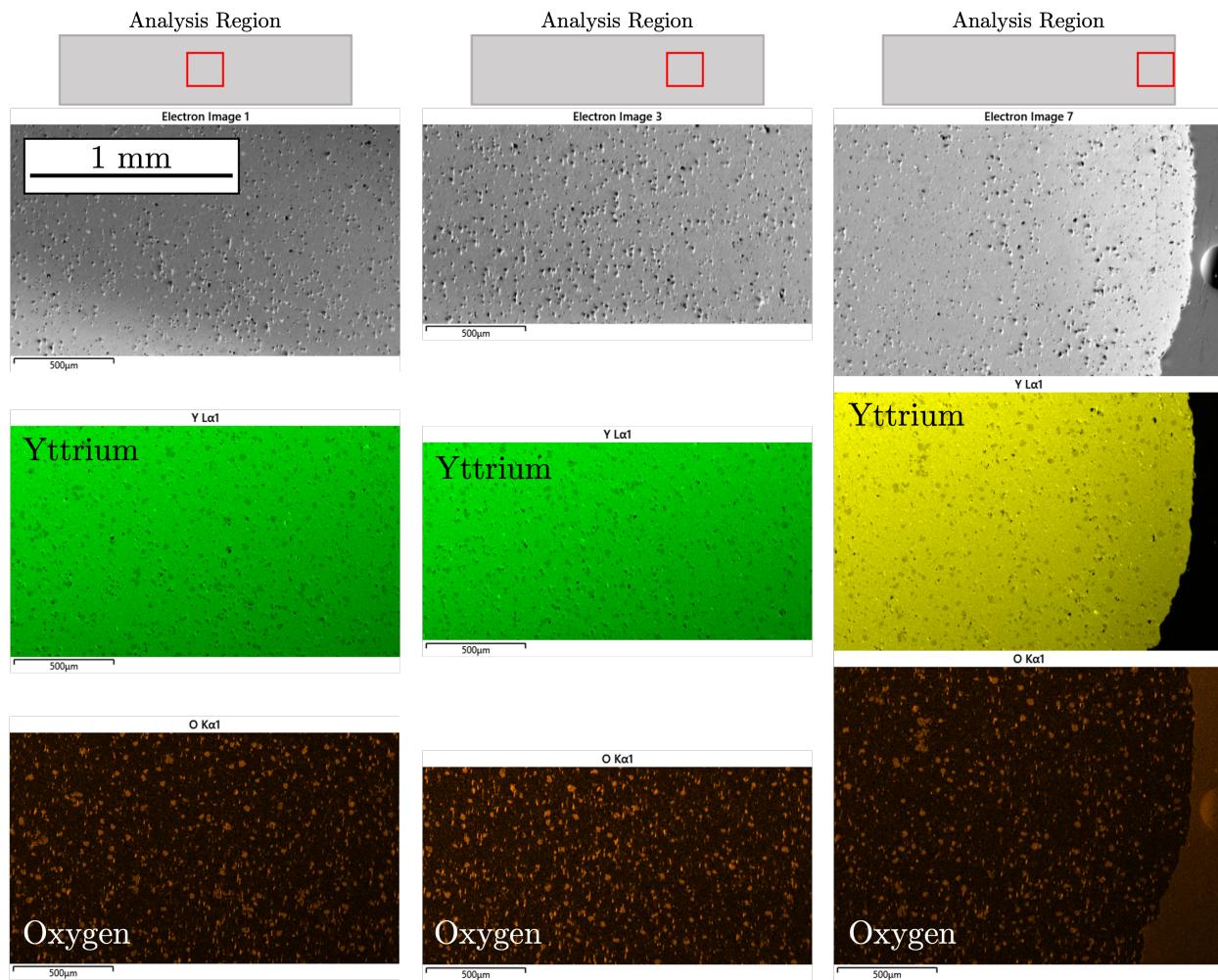


Figure 8-15: EDS data taken from the LFA-1 sample of Capsule 2B (powder metallurgy). Note that the scale bar is the same for all images.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

Target  $T_{\text{irr}} = 600^{\circ}\text{C}$  (PM)

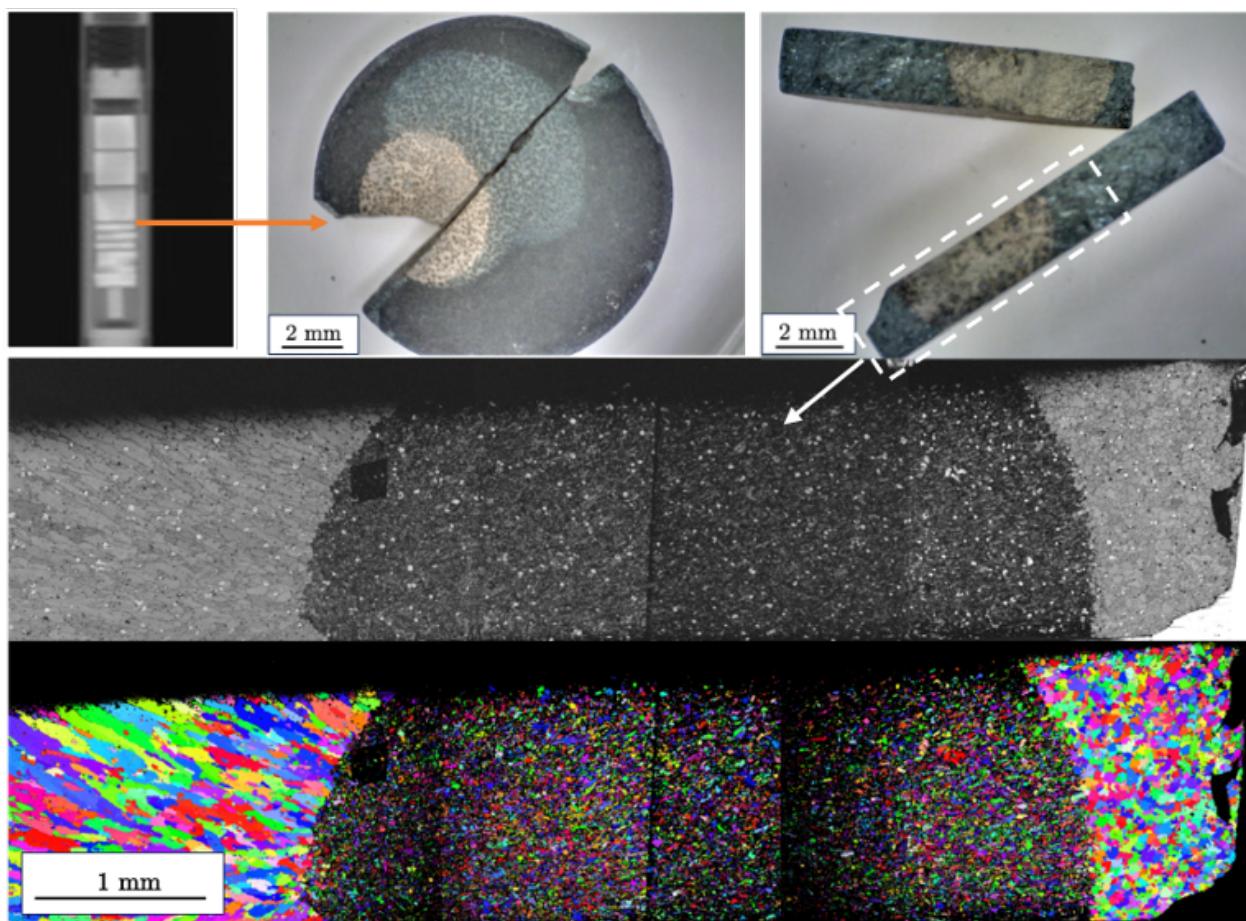


Figure 8-16: Optical and microscopy examinations of a typical specimen with significant color alteration. EBSD indexed all major grains, except oxides and other precipitates, as  $\text{YH}_2$ .

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

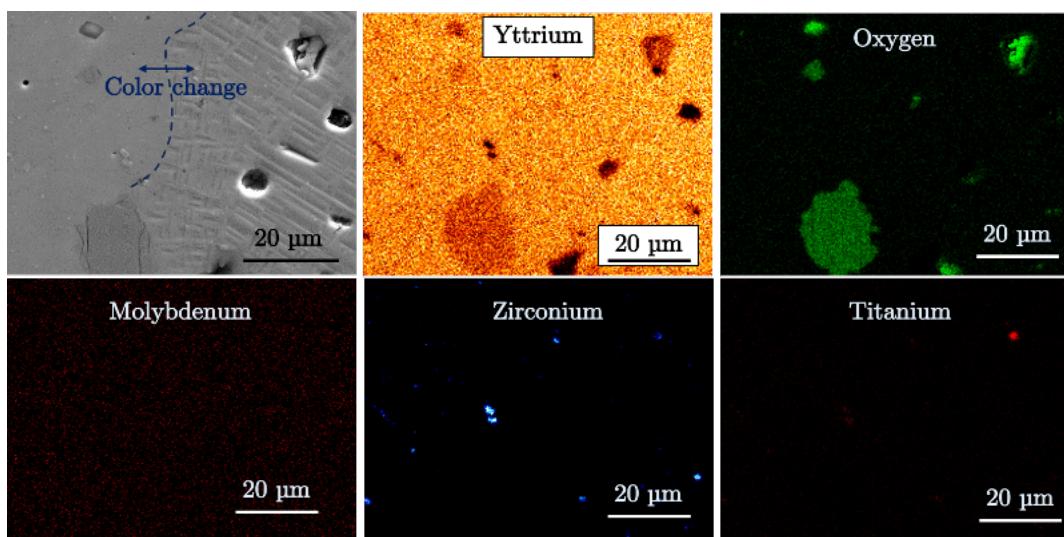


Figure 8-17: Secondary electron image of the specimen where color change occurs and EDS elemental maps. Molybdenum is at the noise level of the EDS signal.

This result highlights the importance of characterizing these samples prior to irradiation. Although high-quality samples have been produced in the past using both the DH and PM processes [5, 24], the samples produced for this irradiation were not sufficiently characterized to determine phase purity and, thus, sample quality prior to irradiation.

### 8.6.5 Post-irradiation hydrogen content and phase purity

**Inert gas fusion measurements** The hydrogen content of six specimens (color-coded with blue in Table 8-4) were measured. Two additional samples from Capsules 2B (cracked) and 6 (DH) were tested to assess the impact of pressure boundary loss and the highest irradiation temperature on hydrogen retention. In total, eight irradiated specimens were prepared at the Analytical Lab at INL. Samples were stored in an inert atmosphere as long as possible prior to examination, apart from in-air storage during the first several weeks after capsule disassembly. Despite mostly being stored under inert conditions, the GDS-2 sample from Capsule 6, which was used for hydrogen content measurements, experienced significant mechanical degradation during storage.

Specimens were sheared into halves. One half of each sample was designated for XRD characterizations for phase identifications. The other halves were used for hydrogen measurements using the IGF technique. Each IGF specimen was then crushed, mixed, and sub-sampled six times (replicates). The exact method of material mixing and sampling is detailed in [134]. The results of the replicates were used to calculate the average hydrogen concentration for the  $\text{YH}_2$  disk from which they were taken.

Table 8-8 shows the total mass measurements of the large specimens and average hydrogen contents, as determined by the IGF. Hydrogen contents of the irradiated samples showed values both lower and higher than the expected approximate value of 21,000 ppm. Hydrogen content was estimated by considering only yttrium and hydrogen. No oxygen or fluorine was included; thus, actual hydrogen contents are expected to be lower than 21,000 ppm. The original hydrogen contents for some unirradiated samples were determined by mass measurements. This technique assumes

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

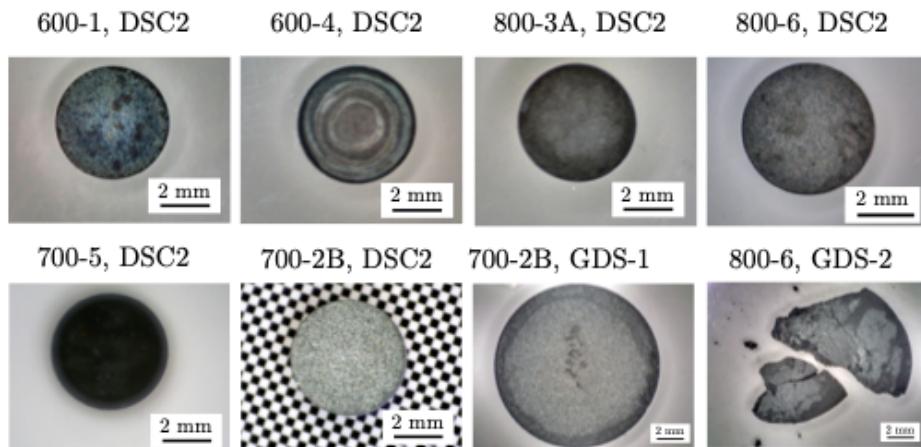


Figure 8-18: Pictures of the selected samples that were subject to IGF analysis.

that all mass gain in the sample upon hydriding is due to hydrogen uptake with no consideration of impurities. Therefore, the uncertainty in these measurements is unknown.

The hydrogen content of specimens from Capsules 1, 4, 5, and 6 was higher than expected, indicating a stoichiometry increase for those  $\text{YH}_2$  specimens. The TZM capsules containing  $\text{YH}_2$  samples for irradiation contained a 1.33 kPa (10 torr) partial pressure of hydrogen, as indicated in Figure 8-7. This provided an initial pressure-temperature-composition (PCT) equilibrium condition that would discourage hydrogen desorption from the  $\text{YH}_2$  samples. It is possible that this partial pressure could have absorbed into some of the  $\text{YH}_2$  samples of lower hydrogen content; however, it is unclear why this behavior would not be consistent for all samples. For Capsule 2B (cracked), DSC-2 and GDS-1 specimens had 15,400 and 18,200 ppm of hydrogen. For the cracked capsule's specimens, the hydrogen contents were low, as expected, due to hydrogen loss through the cracked region. The same behavior was also determined for samples from Capsule 6, where hydrogen contents of GDS-2 and DSC-2 sample were determined to be 21,200 and 16,300 ppm. It is unclear what would cause the hydrogen content in samples within the same capsule to vary so significantly. These results might suggest that some hydrogen was lost either during irradiation or post-irradiation activities. Thus, hydrogen content measurements of  $\text{YH}_2$  were based on what was present after the capsule opening, sample storage, and specimen preparations, as well as the cooling period after irradiation. In addition, the hydrogen content of fabricated  $\text{YH}_2$  samples prior to irradiation was estimated using mass measurements, which does not account for mass gain from impurities, such as oxygen. A scarcity in fabrication details also contribute to the lack of conclusive behavior of the post-irradiated specimens.

**X-ray diffraction results** Figure 8-19 shows the XRD patterns of specimens from Capsules 1 and 4. XRD indicated that  $\text{YH}_2$  was the dominant phase, although a minority yttrium metal phase was present, as shown in the zoomed-in section of the XRD line profile. Furthermore, multiple minor phases indexed as yttrium(III) oxide and yttrium oxy-fluoride (YOF) (not shown in the figures) were determined. Because hydride intensity was significantly higher than the intensity of the metal phase, it could be qualitatively commented that hydrogen loss was minor on these specimens, which were irradiated around 873 K. Table 8-9 summarizes the phase fractions of main phases from Rietveld refinement and also includes the associated hydrogen contents. It should be noted that diffraction

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

Table 8-8: The masses average hydrogen contents of specimens (not replicates). Data was obtained from six replicates from each specimen.

Capsule (-)	Sample (-)	Mass (mg)	Initial H content (ppm   H/Y)	PIE H content (ppm   H/Y)
1	DSC-2	17.8 $\pm$ 0.2	-	22400 $\pm$ 2690   2.00 $\pm$ 0.24
4	DSC-2	17.7 $\pm$ 0.2	20605   1.85	22100 $\pm$ 2210   1.98 $\pm$ 0.20
2B	DSC-2	17.8 $\pm$ 0.2	-	18200 $\pm$ 2000   1.63 $\pm$ 0.18
2B	DSC-2	17.7 $\pm$ 0.2	-	15400 $\pm$ 2160   1.38 $\pm$ 0.19
5	DSC-2	17.5 $\pm$ 0.2	18377   1.65	22400 $\pm$ 1340   2.00 $\pm$ 0.12
3A	DSC-2	18.0 $\pm$ 0.2	-	17000 $\pm$ 1910   1.52 $\pm$ 0.17
6	DSC-2	17.6 $\pm$ 0.2	-	16300 $\pm$ 1790   1.46 $\pm$ 0.16
6	GDS-2	17.9 $\pm$ 0.2	20493   1.84	21200 $\pm$ 2970   1.90 $\pm$ 0.27

data originates from a limited volume of each sample close to the outer surface. Therefore, XRD results may not fully represent the bulk YH<sub>2</sub> samples.

Table 8-9: Hydrogen content of the specimens and XRD with the estimated volume fractions of important phases. DH: direct hydriding and PM: powder metallurgy. Irradiation temperatures are given as the temperatures calculated by FEA.

Capsule (-)	Sample (-)	T (K)	Type (-)	Initial H (ppm)	Final H (ppm)	XRD Volume fractions (%)			
						YOF	Y <sub>2</sub> O <sub>3</sub>	Y	YH <sub>2</sub>
1	DSC-2	873	PM	-	22400	11.09	11.98	2.42	73.38
4	DSC-2	873	DH	20605	22100	0.13	16.41	9.28	74.17
2B	DSC-2	1042	PM	-	18200	2.40	6.19	23.58	67.04
2B	GDS-2	1042	PM	-	15400	0.86	21.52	23.97	53.41
5	DSC-2	988	DH	18377	22400	0.90	3.40	45.81	49.89
3A	DSC-2	1084	PM	-	17000	4.85	32.87	12.08	49.23
6	DSC-2	1086	DH	-	16300	1.03	0.98	79.15	18.83
6	GDS-2	1086	DH	20493	21200	3.40	2.10	14.65	79.08

Figure 8-20 shows the XRD patterns of specimens in Capsule 6. GDS-2 and DSC-2 specimens were at different axial locations in Capsule 6. While the normalized intensity of YH<sub>2</sub> peaks were more noticeable than that for yttrium metal peaks in the GDS-2 sample, metal phase peaks were higher than the intensity of the hydride peaks, which indicated a significant hydrogen loss from that specimen.

The primary observations were:

1. Some specimens contained yttrium oxy-fluoride and yttrium(III) oxide phases at significant phase fractions. Acknowledging the factors, such as the limited X-ray penetration depth and yttrium oxidation during specimen handling, volume fractions of the secondary phases showed no consistency.
2. For specimens irradiated in Capsules 1 and 4, YH<sub>2</sub> phase fractions were significantly higher than that of yttrium metal, which indicated high hydrogen retention at 873 K.
3. XRD results of specimens irradiated in Capsule 6 (1073 K) indicated large hydrogen content variations and correlated XRD calculated volume fraction values between two specimens taken

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

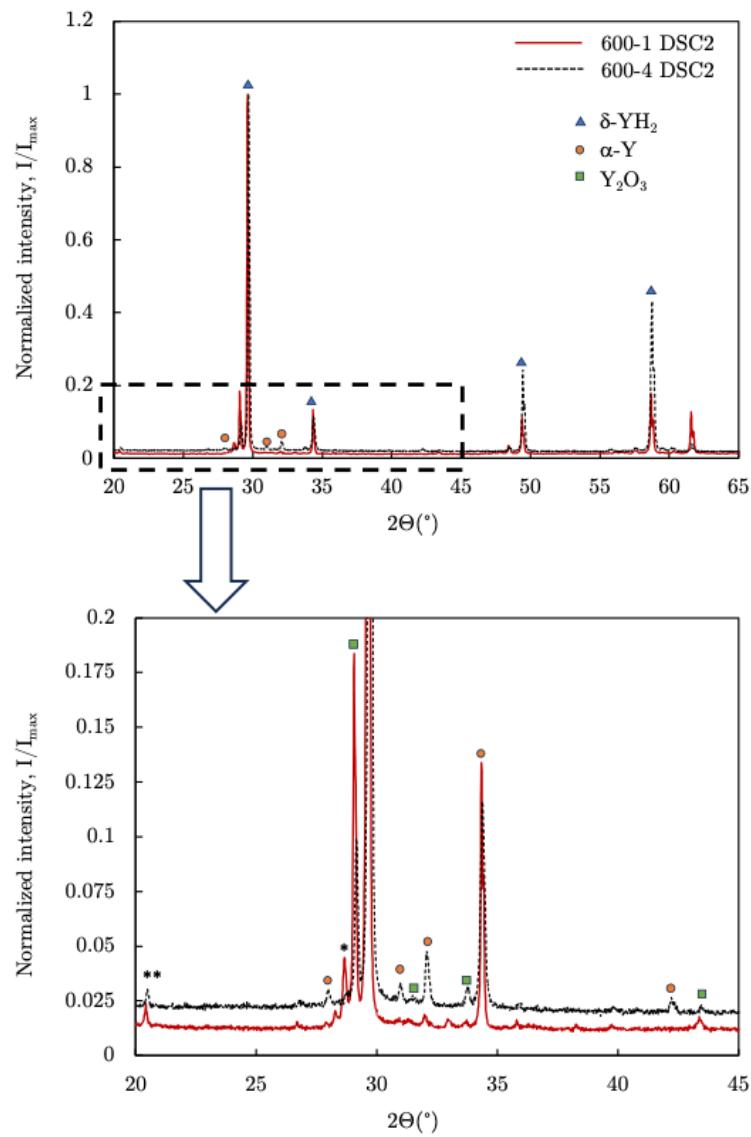


Figure 8-19: XRD patterns of specimens from Capsules 1 and 4, irradiated at 873 K.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

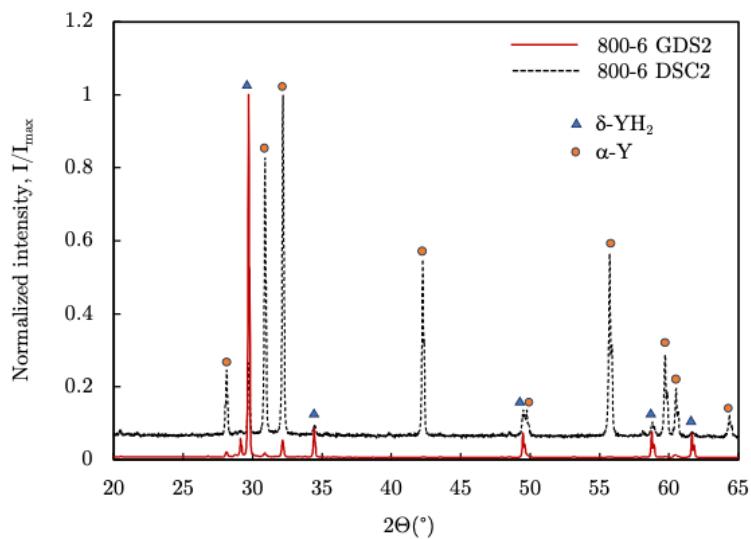


Figure 8-20: XRD patterns of specimens from Capsule 6 irradiated at 1073 K.

from different locations. Under the assumption that these samples had similar initial hydrogen contents, these observations suggested hydrogen redistribution within the capsule. Thus, it is anticipated that hydrogen redistribution is important at temperatures near 1073 K.

4. Specimens from the cracked capsule (Capsule 2B) had lower hydrogen contents and the XRD-calculated phase fractions were consistent with this observation.

### 8.6.6 Thermal properties of irradiated yttrium dihydride

LFA and DSC measurements were performed on ATR-irradiated  $\text{YH}_2$  specimens, as depicted in Table 8-4, to determine the thermal diffusivity and heat capacity. Note that these measurements were not taken under hydrogen partial pressure by following the PCT curves. Therefore, the LFA and DSC results should not be treated as absolute property measurements. For a specific moderator environment, LFA and DSC results may differ. It is suggested that data from the initial heating runs could be used as a representative thermal property for the fuel performance codes. Because LFA and DSC measurements were taken up to 1073 K, hydrogen loss was expected to change the specimen hydrogen content and, thus, the collected data. This enabled tracking of the hydrogen loss using thermal property signature.

**LFA measurements** The thermal diffusivity (in  $\text{mm}^2 \text{s}^{-1}$ ) values of fresh and irradiated  $\text{YH}_2$  discs are shown in Figures 8-21 to 8-23 for samples irradiated at target temperatures of 873, 973, and 1073 K, respectively. The main observations of thermal diffusivity measurements were:

1. Irradiated specimen thermal diffusivities were lower than those of the fresh specimens. This was likely related to the hydrogen content change as a result of hydrogen loss during irradiation and the following examination steps. Because initial hydrogen content of initial samples was not available, the amount of hydrogen loss was unclear. In addition, the thermal diffusivity of hydrogen-free irradiated yttrium metal and the effect of defect recombination is unknown.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

2. All specimens, except the fresh ones, had similar thermal diffusivities (independent of hydrogen content) at elevated temperatures.
3. PM-prepared specimens that were irradiated at 873 K showed an increase in thermal diffusivity during cooling from 800 °C (1073 K), which could be associated with the redistribution of hydrogen in the samples during measurement which increased the local hydrogen content of the depleted central section with observed contrast variations. PM samples irradiated at target temperatures of 973 and 1073 K did not follow this trend. However, they also did not have the same level of inhomogeneity as the samples shown in Figure 8-2.
4. DH specimens showed no difference in their heating and cooling runs, which is an indication of minimal hydrogen loss during thermal property measurement.

Thermal diffusivities for samples of each capsule were aggregated and fit to a function of the form:

$$\alpha^{-1} \left( \frac{\text{mm}^2}{\text{s}} \right) = A + BT \quad (40)$$

where  $\alpha$  is the thermal diffusivity in  $\text{mm}^2 \text{s}^{-1}$  and the temperature scale units are °C. Capsule averaged fitting parameters are summarized in Table 8-10.

Table 8-10: Capsule averaged thermal diffusivities of irradiated samples. Note that the temperature scale units are °C.

Capsule (-)	Type (-)	Target T (K)	A ( $1 \times 10^{-2} \text{ s mm}^{-1}$ )	B ( $1 \times 10^{-4} \text{ °Cs mm}^{-2}$ )
1	PM	873	2.24	2.397
4	DH	873	2.16	1.676
2B	PM	973	0.68	2.984
5	DH	973	1.70	2.232
3A	PM	1073	1.12	2.647
6	DH	1073	0.65	2.738

**DSC measurements** The DSC signal of an irradiated YH<sub>2</sub> specimen, 600-4 DSC-5, is shown in Figure 8-24. The main observations are discussed.

DSC signal of fresh YH<sub>2</sub> with hydrogen content close to 2 H/Y units exhibits a smooth monotonically increasing behavior during heating to 1073 K. At this temperature, the rate of hydrogen loss increases, and H/Y ratio decreases (see also hydrogen desorption data [85]). With the start of cooling at 1073 K, the effect of the H/Y ratio is manifested as a sudden decrease in the DSC signal. For the subsequent heating cycle, the same effect is observed as a sudden increase in the DSC signal as well. Furthermore, the peak temperature during a sudden change in the DSC signal is a function of stoichiometry [85]. Thus, the DSC signal, as well as other thermal property measurement techniques, contain signatures related to the hydrogen content. This observation emphasizes the importance of careful use of YH<sub>2</sub> thermal property data in fuel performance codes. Figure 8-24 depicts the DSC data of DH specimens irradiated at target temperature of 873 K. DSC signal consistently showed the effect of the hydrogen loss from YH<sub>2</sub> through shift on local peak temperatures where sudden change occurs, as described above.

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

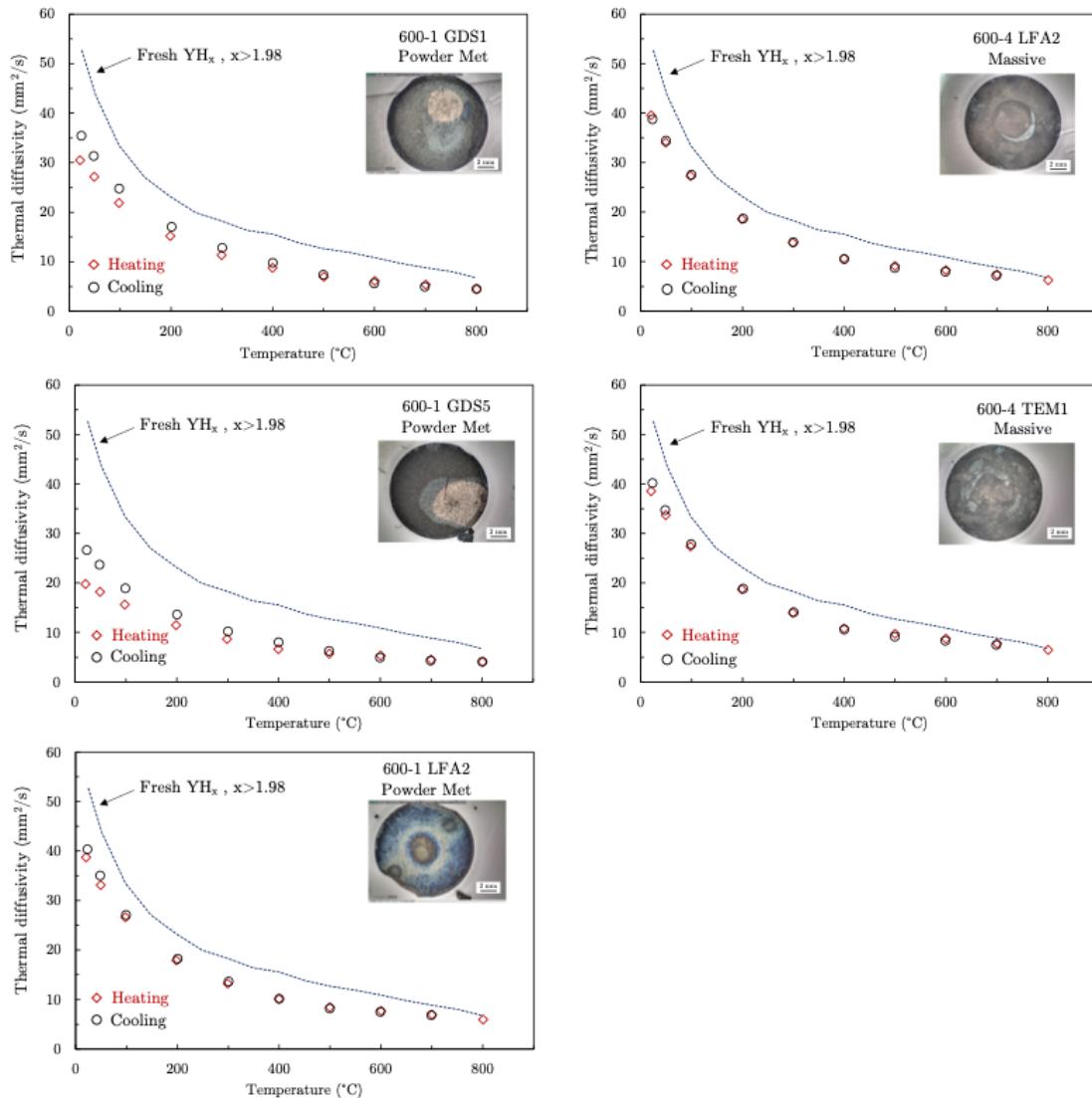


Figure 8-21: Thermal diffusivities of  $\text{YH}_2$  specimens irradiated at a target temperature of 873 K. Note that the temperature scale units are  $^{\circ}\text{C}$ .

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

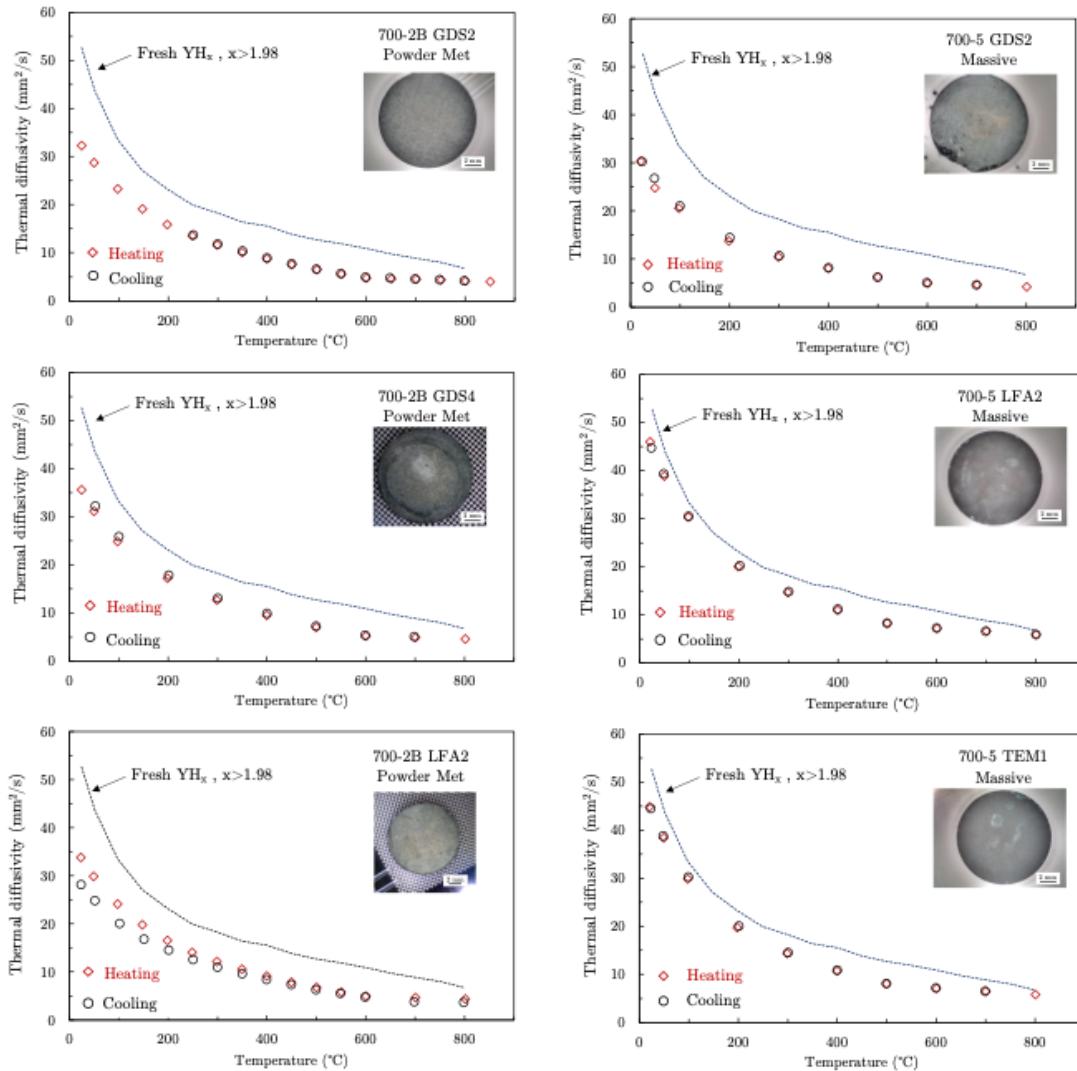


Figure 8-22: Thermal diffusivities of  $\text{YH}_2$  specimens irradiated at a target temperature of 973 K. Note that the temperature scale units are  $^{\circ}\text{C}$ .

## Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

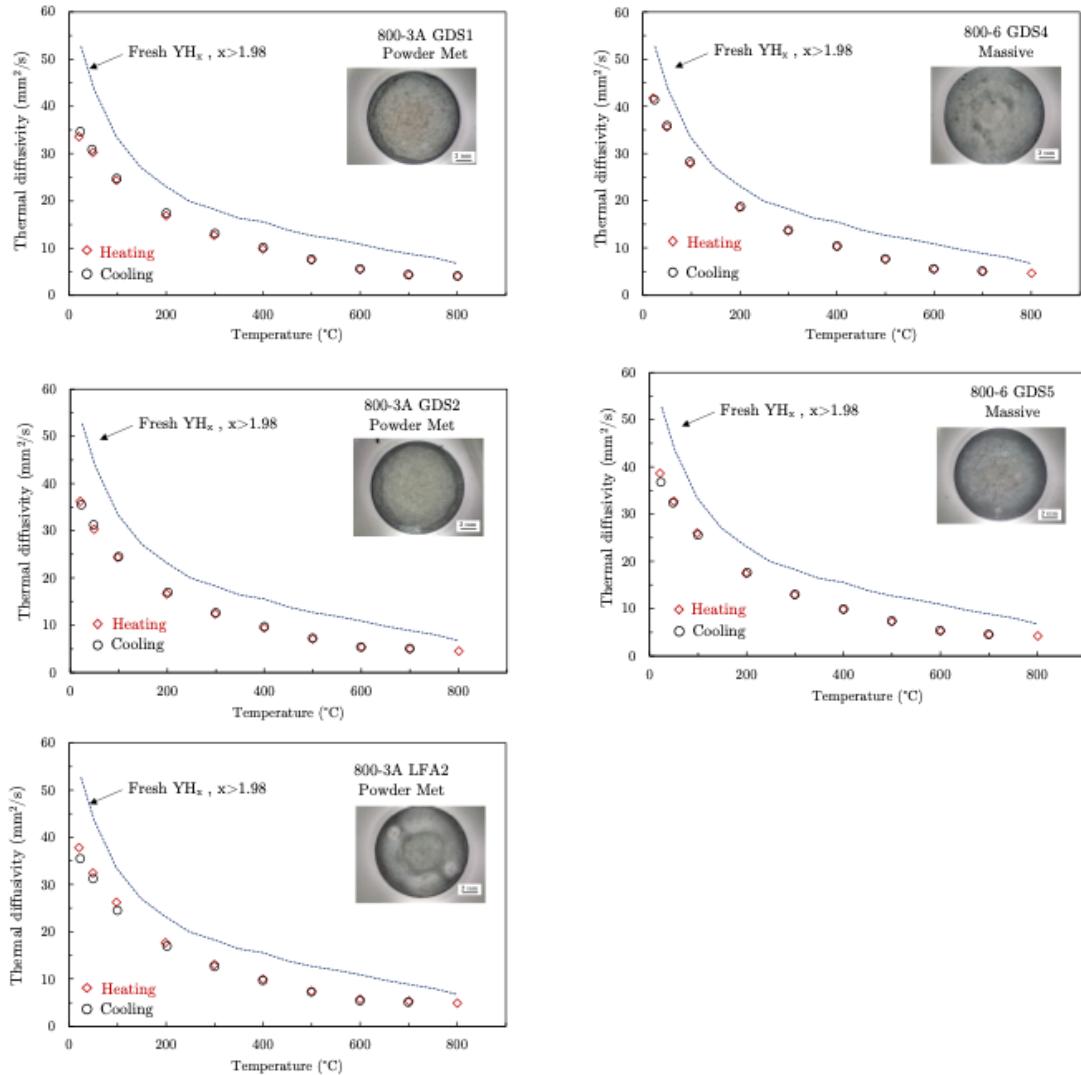


Figure 8-23: Thermal diffusivities of YH<sub>2</sub> specimens irradiated at a target temperature of 1073 K. Note that the temperature scale units are °C.

# Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor

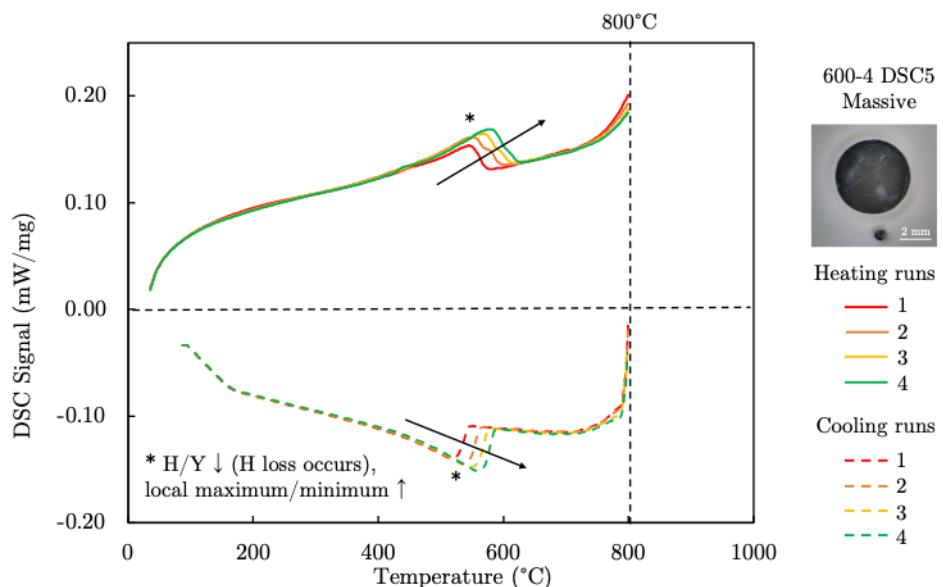


Figure 8-24: A typical DSC signal from irradiated YH<sub>2</sub>. Note that the temperature scale units are °C.

## 8.7 Conclusions of ATR irradiations and future work

PIE activities aim to understand the solid moderator's high-temperature irradiation performance from geometrical stability, mechanical integrity, and predictable behavior perspectives. The behavior of hydrogen in YH<sub>2</sub> was investigated with hydrogen content measurements and XRD. Furthermore, thermal property measurements and metallography were performed to qualitatively investigate the behavior of hydrogen in YH<sub>2</sub>. Passive temperature measurements suggested that the capsules targeting irradiation at 973 K (Capsules 2B and 5) experienced temperatures above 1073 K. Thus, only two temperature conditions (873 K and 1073 K) appear to have been met during the ATR irradiation.

Prior to the dismantling of the irradiation assembly, the geometrical stability and mechanical integrity of all hydride specimens was almost preserved with few exceptions, based on the neutron radiography data. Due to the brittle nature of YH<sub>2</sub>, some specimens lost their mechanical integrity during specimen retrieval, handling, and transportation.

Neutron radiography also qualitatively revealed hydrogen distribution due to contrast variations. These contrast variations were observed in some samples with optical and electron microscopy where the grain morphology abruptly changes. However, comparison of these samples with images of as-manufactured samples indicated that these features were present in some samples prior to irradiation.

Hydrogen content measurements and XRD results showed fair correlation. However, limited information on the initial stoichiometry of specimens confounded solid conclusions on hydrogen's behavior. Thermal properties of the hydride specimens exhibited signatures of hydrogen loss or re-absorption where the stoichiometry impact was visible. All these methods showed a potential for being a metric to assess irradiated YH<sub>2</sub>.

The lack of information on initial hydrogen contents and the lack of knowledge of the initial state of provided hydride samples shows the great importance of detailed sample characterization prior

## **Post-irradiation examination of yttrium dihydride irradiated in the Advanced Test Reactor**

to irradiation. This includes detailed microscopy (optical and SEM/EDS/EBSD of representative samples), phase analysis (e.g., XRD), and hydrogen content measurements (e.g., gravimetry from fabrication, neutron-based methods, vacuum hot extraction, or IGF).

At 873 K, hydrogen is expected to be mostly retained in the dihydride phase, based on results of hydrogen content, XRD, and thermal diffusivity. A potential cladding will provide additional containment at this temperature. At 1073 K, a portion of hydrogen is to be contained in the hydride matrix, but cladding with high hydrogen permeation resistance is needed to extend the operation temperature.

The manufacturing readiness of  $\text{YH}_2$  prior to irradiation is critical for qualification of this material and accurate determination of operating conditions. Thus, systematic irradiations that include the essential parameter space, such as time, fluence, temperature, and stoichiometry, are needed to finalize medium technological readiness levels for the use of  $\text{YH}_2$  as a moderator.

## 9 The Hypatia Experiment: yttrium dihydride cross section evaluations

A significant effort is being put forward to determine the material properties and differential cross sections for yttrium dihydride ( $\text{YH}_2$ ). This work also includes creation of  $S(\alpha, \beta)$  cross sections for  $\text{YH}_2$  in ENDF/B-VIII.0 [46]. The nuclear data pipeline does not end with the evaluation of differential measurements – integral experiments are needed to ensure that the nuclear data evaluations based on physics and differential measurements agree with reality; that is, they predict an accurate result of real systems. Thus, an integral experiment was designed to evaluate the cross section behavior in a critical system. This includes not only absolute  $k_{\text{eff}}$  values, but also reactivity deltas associated with non-nuclear heating. The designed experiment meets these purposes.

A full description of the Hypatia experiment is given in [48] and briefly summarized here.

### 9.1 Introduction to the Hypatia experiment

The Hypatia measurement campaign with  $\text{YH}_2$  moderators and highly enriched uranium (HEU) was completed in January 2021 at the U.S. Department of Energy's National Criticality Experiments Research Center (NCERC) at the Nevada National Security Site. This measurement campaign provided unique integral measurements based on two experimental configurations and investigated the temperature effects of  $\text{YH}_2$  ( $\text{H/Y} = 1.8$  and  $1.9$ ) in a critical reactor system. The Hypatia experiment consisted of a fuel column composed of HEU, 93 wt.% U-235 discs,  $\text{YH}_2$  canned in titanium-zirconium-molybdenum (TZM), aluminum oxide heater plates, and other moderator and reflector materials (beryllium, depleted uranium, and graphite) inserted into a thick beryllium reflector. Thermal and neutronic calculations predicted that  $\text{YH}_2$  is a unique material that can exhibit a positive temperature coefficient of reactivity (i.e., reactivity can increase as the temperature in the hydride increases). Reactors using  $\text{YH}_2$  should account for this unique feature during design, and the results of the Hypatia experiment significantly aid that process.

### 9.2 Experiment design

The Hypatia experiment was designed to test  $\text{YH}_2$  as a primary component of a critical test, rather than as an add-on. The purpose of this was to provide an experiment for future code and nuclear data validation and to specifically evaluate the positive temperature moderator coefficient of  $\text{YH}_2$ . This was a proof-of-principle experiment and, as such, used primarily existing components, including fuel, moderators, and reflectors. The experiment was designed to be performed using the Planet vertical lift critical assembly machine. Additional components were fabricated for the test, including electric heaters,  $\text{YH}_2$  moderator, graphite resistance temperature detector (RTD) plates, and an aluminum guide tube. Experimental configurations varied the amount of hydride moderator to evaluate the effect of the hydride temperature coefficient as a function of the HEU temperature coefficient. The experiment was predicted and validated using the Monte-Carlo N-Particle (MCNP) transport code MCNP6.1®[139].<sup>1</sup>

<sup>1</sup>MCNP® and Monte®Carlo N-Particle are registered trademarks owned by Triad National Security, LLC, manager and operator of LANL. Any third party use of such registered marks should be properly attributed to Triad National Security, LLC, including the use of the designation as appropriate. For the purposes of visual clarity, the registered trademark symbol is assumed for all references to MCNP within the remainder of this document.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

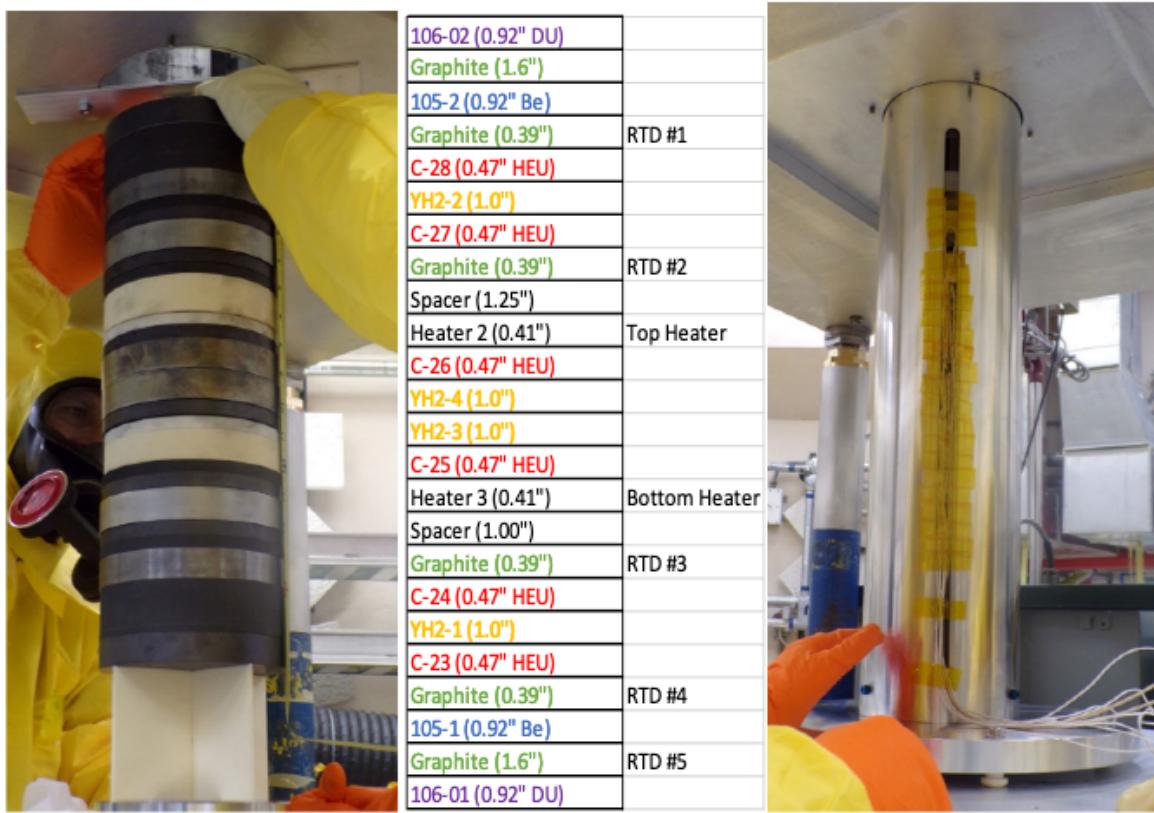


Figure 9-1: Configuration 1 core column diagrams. The left figure shows the core column before heater controller and RTDs were installed. The middle figure shows the stacking order of components. The right figure shows the core column with the aluminum guide tube. Figure and caption from [48].

A series of approximately 15.24 cm diameter plates were stacked in a column and surrounded by a radial and axial beryllium reflector. The experiment was comprised of two configurations. In Configuration 1, four YH<sub>2</sub> containers were placed in the column alternating with the RTDs, and six different temperature-reactivity measurements were made. In Configuration 2, two containers of YH<sub>2</sub> were placed in the fuel column with the same number of temperature-reactivity measurements. These two configurations enable a comparison of the neutronic effects from the YH<sub>2</sub> components versus other components. Configuration 1 is shown in Figure 9-1, while Configuration 2 is shown in Figure 9-2.

For these experiments, the fuel column was maintained and controlled using an aluminum guide tube that ensured smooth insertion of the column into the assembly. The guide tube also ensured that components did not move during insertion and removal from the assembly. The fuel column mated to the beryllium reflector using graphite corner pieces.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

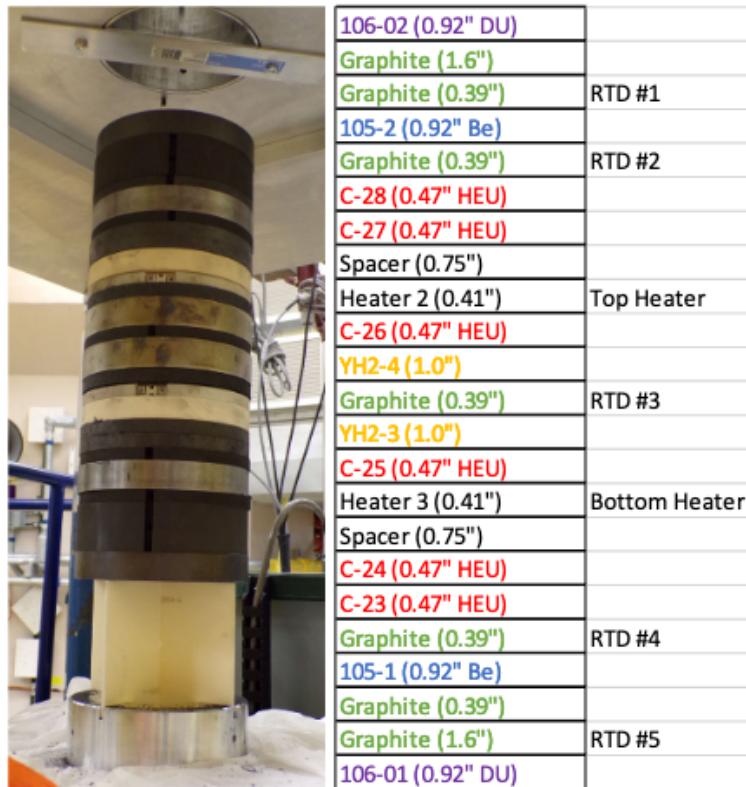


Figure 9-2: Configuration 2 core column diagrams. The left shows the core column before the heater controller and RTDs were installed. The component stacking order is shown on the right. Figure and caption from [48].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

### 9.2.1 Fuel components

The fuel components consisted of six unalloyed HEU metal discs called C-discs. These C-discs were unclad. The dimensions and masses of these discs are given in Table 9-1.

Table 9-1: C-disc HEU component summary. The extended number of significant figures is given to enable others to model these results using transport codes. Table and caption modified from [48].

C-disc ID (-)	Diameter (cm)	Thickness (cm)	Mass (g)	Density ( $\text{g cm}^{-3}$ )
C23	15.088	1.179	3920.6	18.607
C24	15.090	1.186	3922.3	18.489
C25	15.088	1.191	3926.1	18.434
C26	15.088	1.179	3923.9	18.622
C27	15.088	1.189	3930.5	18.494
C28	15.088	1.181	3917.3	18.551

### 9.2.2 Yttrium dihydride moderator

Hydride moderator components were fabricated in the Sigma Division of Los Alamos National Laboratory (LANL). The method to produce these components was described in [140]. Components were fabricated from Sigma yttrium, which was described in section 8. Using this method, 14 discs of  $\text{YH}_2$  were fabricated and assembled in TZM containers in two layers of seven discs, each. These TZM containers were then welded closed. Two containers were fabricated in 2016 and the other two were fabricated in 2020. The discs fabricated in 2020 had hydrogen contents ranging between 1.8 and 1.9 H/Y units, while the components made in 2016 were not as well characterized; for the purposes of this experiment, they were assumed to be 1.9 H/Y units, though this could not be confirmed. An example of such a TZM container is shown in Figure 9-3. In the newer TZM containers, aluminum spacers were used to ensure no movement of the hydride components, while in the older TZM containers, anti-rotation pins were welded for the same purpose [140].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

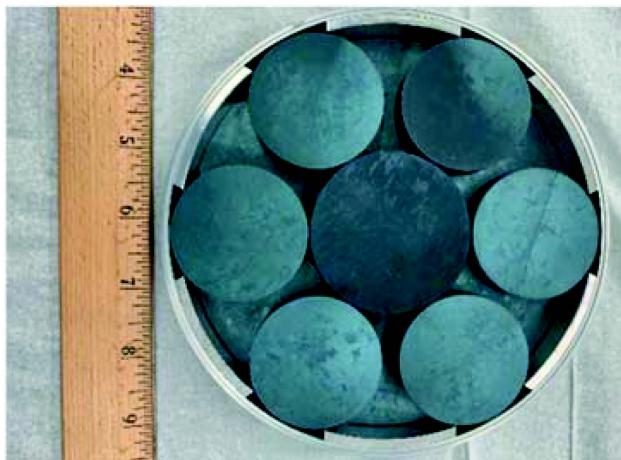


Figure 9-3: Yttrium dihydride discs within the TZM container before sealing. Figure and caption from [48].

### 9.2.3 Other components

**Heaters** Heaters used nichrome heating elements surrounded by aluminum(III) oxide. Heating was achieved up to 603 K to prevent degradation of the unalloyed HEU C-discs. Heaters were covered with aluminum(III) oxide plates.

**Graphite RTD plates** Graphite discs with slots for RTS were used to moderate neutron energy, help achieve a critical configuration, and provide locations for RTS to measure temperature. Discs were prepared from Grade 2114 nuclear graphite.

**Spacers** Aluminum(III) oxide spacers were added in certain locations as reactivity (criticality) control mechanisms. As predictions of criticality are not always accurate, a mechanism to change the distance between HEU plates during the experiment by increasing or decreasing the reactivity statistically with manual configuration changes was needed. Slightly different thicknesses of axial spacer plates served this purpose.

**Aluminum guide tube** An aluminum metal guide tube was fabricated for this experiment. The purpose of this component was to ensure smooth insertion of the core into the critical assembly and to ensure that experimental components did not move during raising and lowering of the platen.

**Graphite corner pieces** The cylindrical fuel column was mated to the rectangular reflector blocks using graphite corner pieces. These components were also fabricated using Grade 2114 nuclear graphite.

**Radial reflectors** Beryllium reflector components were made from existing beryllium parts at NCERC in a rectangular geometry.

**Axial reflectors** Additional beryllium and depleted uranium (DU) discs were also used as axial reflectors, with the DU discs placed on the axis ends. Axial reflectors ensured reactivity in the assembly for a critical configuration. Beryllium discs were placed inside depleted uranium discs.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

**Aluminum shroud** The beryllium reflector was attached to an aluminum shroud, which minimized gaps between the beryllium reflector components and ensured no movement of the beryllium components during the experiment.

Table 9-2 summarizes all the materials used with dimensions and densities which were incorporated into the MCNP model. The MCNP model for Configuration 1 is shown in Figure 9-4(a), a schematic of the beryllium reflector is shown in Figure 9-4(b), and a schematic of the full assembly is shown in Figure 9-4(c).

Table 9-2: Summary of components, chemistries, and geometries used in the Hypatia experiment. Note that length and width are replaced by diameter for cylindrical components. Hydrogen content of outer moderator components is assumed. The composition of TZM is Mo-0.5%Ti-0.08%Zr-0.03%C. Note that the Al guide tube contains a 60.325 cm  $\times$  1.524 cm slot. Table and caption modified from [48].

Component (-)	Chemistry (-)	Length (cm)	Width (cm)	Height/Thickness (cm)	Density (g cm $^{-3}$ )		
Fuel			See Table 9-1				
Central moderator	YH <sub>1.80-1.90</sub>		5.6134	1.219	3.7		
Outer moderator	YH <sub>1.90</sub>		4.5466	1.219	3.7		
Moderator container	TZM	OD: 14.8082, ID: 14.7066		2.5396	10.23 [141]		
Heater	Al <sub>2</sub> O <sub>3</sub>		14.859	1.041	3.8		
RTD plate #1-4	Graphite 2114		15.240	1.000	1.81		
RTD plate #5	Graphite 2114		15.240	4.000	1.81		
Beryllium reflector disc	Be		15.062	2.337	1.85		
dU reflector disc	DU		15.062	2.337	17		
Al guide tube	Al 6061	OD: 15.240, ID: 15.494		127	2.7		
Corner piece	Graphite 2114	Outer side length: 17, ID: 16.137		25.781	1.81		
Reflector #1	Be	40.64	7.620	0.635	1.85		
Reflector #2	Be	40.64	7.620	2.527	1.85		
Reflector #3	Be	40.64	7.620	7.620	1.85		
Shroud	Al 6061	-	-	-	2.7		
Spacer	Al <sub>2</sub> O <sub>3</sub>		Variable, see Figures 9-1 and 9-2		0.2632		

## The Hypatia Experiment: yttrium dihydride cross section evaluations

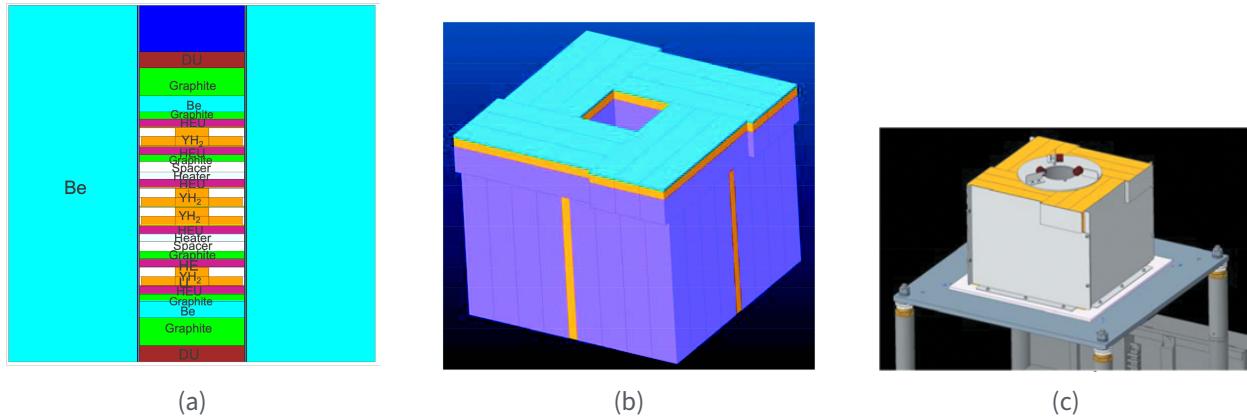


Figure 9-4: Component mating and geometries for the Hypatia experiment. (a) MCNP model of Configuration 1. (b) Schematic of the beryllium reflector parts. Note that each color is a different type, as given in Table 9-2. (c) Schematic of the full experimental assembly with the aluminum shroud. Figure and caption modified from [48].

### 9.3 Critical experiment methods

The purpose of the Hypatia experiment was to understand the temperature coefficients of  $\text{YH}_2$  by varying the hydride:fuel ratio, as these are the two dominant components affecting reactivity change with temperature.

**Approach to criticality** MCNP was used to determine an approximate critical configuration at room temperature by using different spacer thicknesses. These different spacer thicknesses changed the value of  $k_{\text{eff}}$ . A  $1/M$  method was used to approach criticality, where  $M$  is the subcritical multiplication factor. This is done by plotting neutron detector count rates as a function of configuration and extrapolating  $1/M$  to zero ( $k_{\text{eff}} = 1$ ). The closer the configurations are to criticality, the more accurate the extrapolation is. A more detailed explanation of the approach to criticality method is given elsewhere [142]. Here,  $^{252}\text{Cf}$  was used as an external source.

**Measurements at temperature** Once a critical configuration for a particular spacer thickness was determined, all the heating and instrumentation were installed. Excess reactivity was then measured again with the instrumentation in place. The core was removed from the reflector and the temperature was ramped at approximately  $2\text{ K min}^{-1}$  in both heaters until the desired temperature was reached (in 50 K increments). Once the desired temperature was achieved, the column was held at isothermal conditions for 30 min to stabilize temperature. The heated column was then re-inserted into the reflector with the heaters still on. The system was maintained in a critical configuration until sufficient power was achieved to measure the reactor period (i.e., the amount of time required for power to increase by a factor of  $e$ ). Reactor period was measured using compensated ion chambers (linear channels, LCs) for medium/high power measurement and  $^3\text{He}$  tubes wrapped in polyethylene for low power measurements (startup detectors, SU). The data from these detectors was plotted and fit to determine an approximate reactor period. After measurements were made, the column was removed from the reflector and the process was repeated for the next target temperature. The full temperature and neutron count rate as a function of time for Configurations 1 and 2 are shown in Figures 9-5 and 9-6, respectively.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

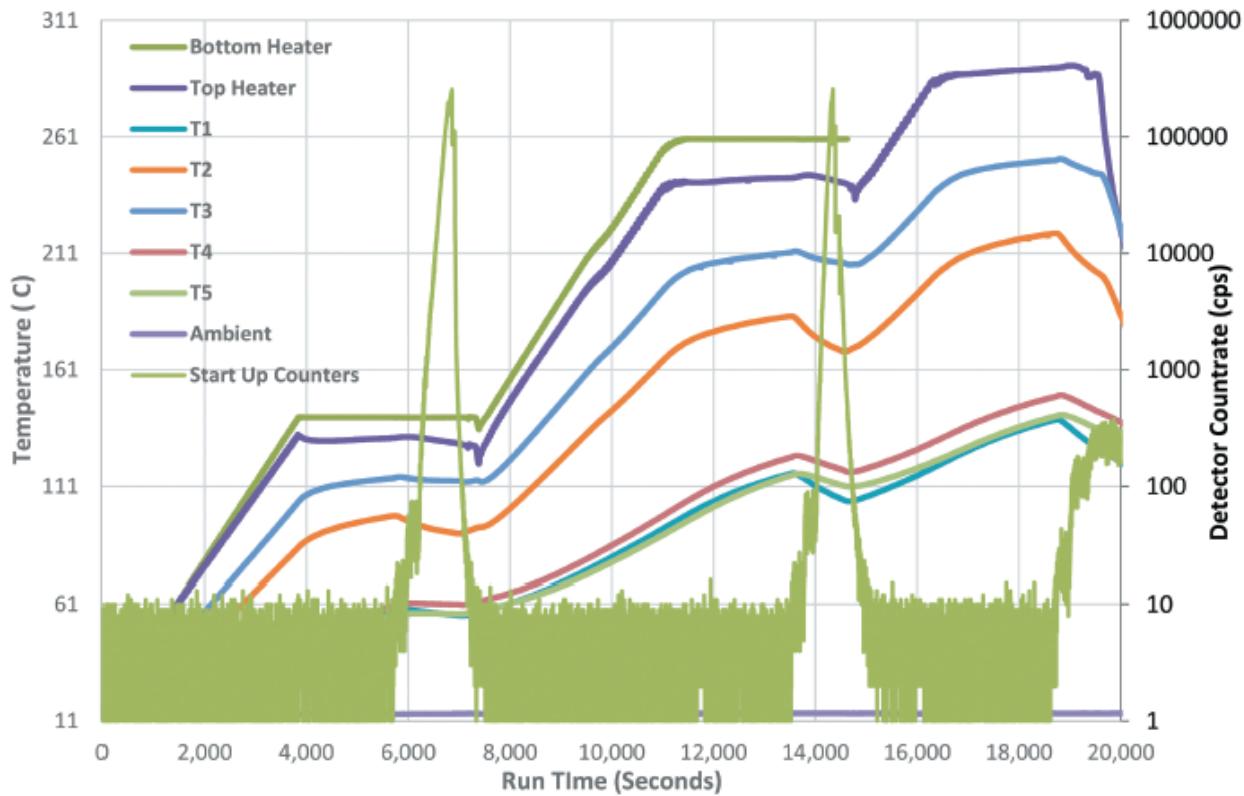


Figure 9-5: Full temperature and neutron detector count rate as a function of time for Configuration 1. Temperature is plotted on the left axis and neutron count rate is plotted on the right axis. Neutron power level is shown for startup counters. Figure and caption from [48].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

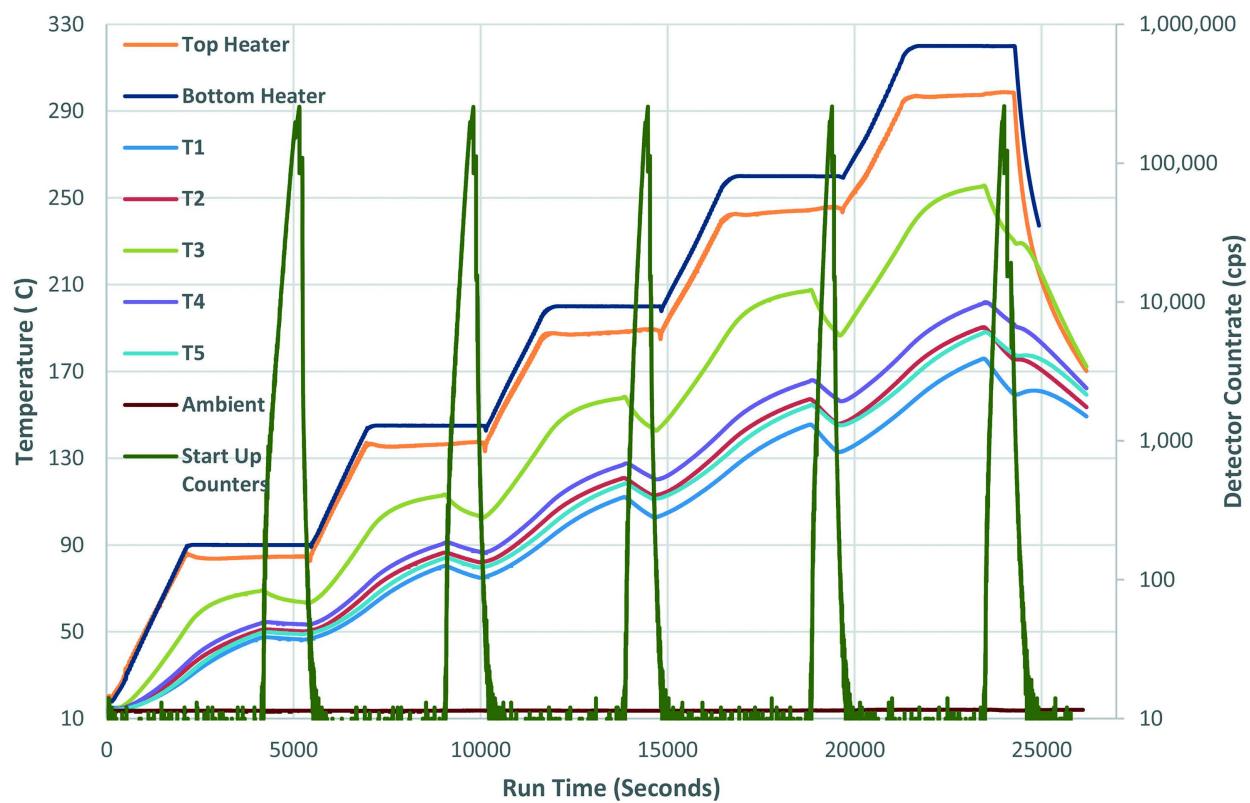


Figure 9-6: Full temperature and neutron detector count rate as a function of time for Configuration 2. Temperature is plotted on the left axis and neutron count rate is plotted on the right axis. Neutron power level is shown for startup counters. Figure and caption from [48].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

### 9.4 Modeling approach

Aside from the neutronic effects of the system, the thermal and mechanical response were determined to be important to the experiment. In particular, the neutronic effects of  $\text{YH}_2$  could not be accurately predicted until the exact temperature of each component was calculated, as RTDs were not present on each experimental component. As such, MCNP and Abaqus [143] models were developed to study these effects.

**Neutronics model** The Hypatia experiment was modeled in MCNP using the component geometries and compositions given in Table 9-2 in the configurations shown in Figure 9-4. The resulting simulations predicted excess reactivity with varying hydrogen content, temperature, spacer thickness, and separation distances and provided validation of cross sections with experimental data. Excess reactivities were calculated using cross sections from ENDF/B-VIII.0 for yttrium and hydrogen, as well as  $S(\alpha, \beta)$  cross sections for each tested temperature for sub-stoichiometric  $\text{YH}_2$  [4, 46]. Results using the newly generated cross sections were comparable to those calculated using standard cross-sections for  $\text{YH}_2$ .

**Thermomechanical model** A 3D model of the experiment was created to correlate  $\text{YH}_2$  temperature with reactivity. This model was rendered in an unstructured mesh using Abaqus finite element analysis (FEA). All cylindrical components were modeled as perfect cylinders, but surface irregularities were modeled as degraded surface contact conductivities. In this model, the highest mesh resolution was applied to the moderator components. The model also accounts for heater temperature changes and the motion of the system in and out of the reflector. The geometry of the model is described in Figure 9-7, which shows (a) the unstructured mesh and (b) the FEA-calculated temperature distribution with cutout of moderator component temperature distributions. The temperatures of each moderator disc were calculated as the volume-averaged temperature to account for thermal gradients. Because there was no temperature measurement instrumentation in direct contact with the moderator elements, moderator temperatures were inferred by comparing the temperatures of instrumented components with their FEA-calculated values. Thus, temperature values for the five RTD components in the column were compared with volume-averaged, calculated values for those same components in the Abaqus model. Comparison was performed as a function of time and RTD temperature error was projected as an uncertainty range for the nearest moderator component. This was done for both Configuration 1 and 2.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

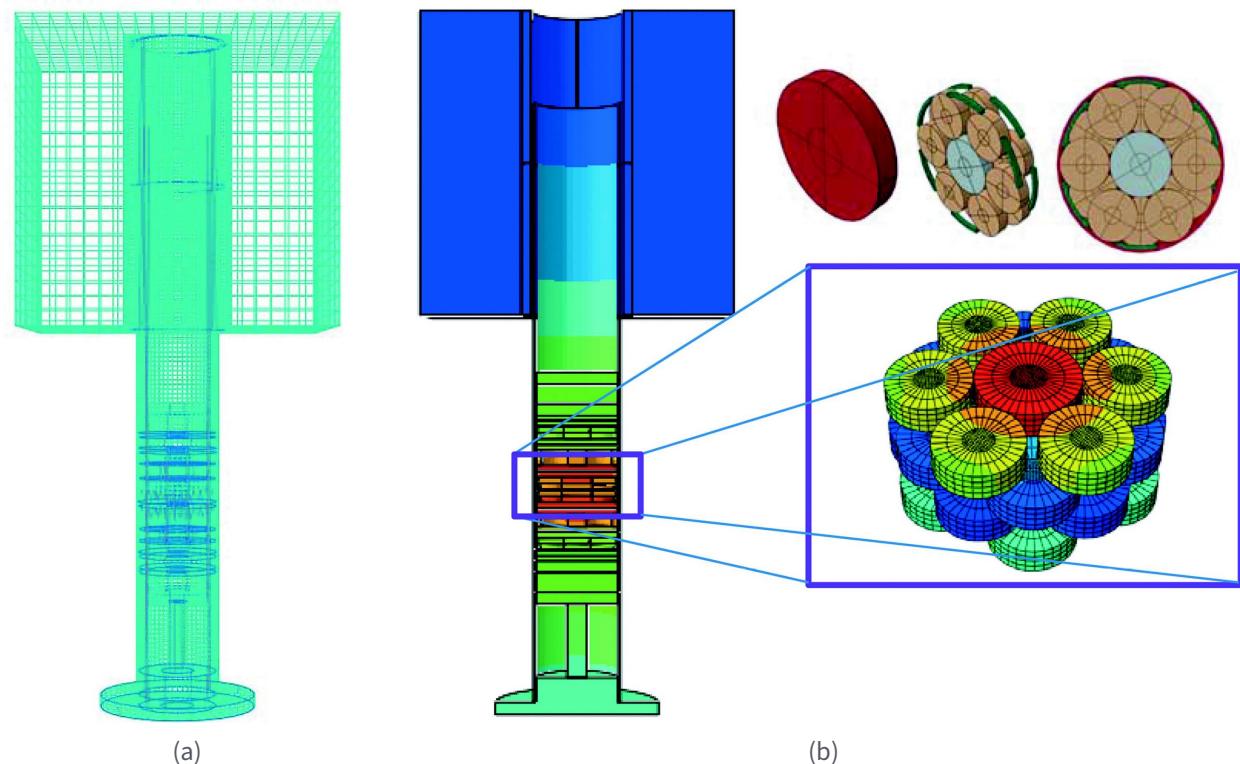


Figure 9-7: (a) Abaqus unstructured mesh. (b) FEA-calculated temperature distribution with a cutout of the temperature distribution for moderator elements. Geometry, mesh structure, and thermal contour resolution are demonstrated here, hence temperature map and times are not provided. Figure and caption from [48].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

### 9.4.1 Comparative Analysis

**Configuration 2** It was determined that the movement of the column in and out of the reflector changed the nuclear reactions and temperatures as a function of time, thus changing the thermal response of the system. This effect is observed in Figures 9-5 and 9-6 at the end of each isothermal hold, when the column was removed from the reflector; this is evident as changes in the neutron count rate and decreases in component temperature even though the top and bottom heater temperatures increase. To account for this, a step function velocity of the system into and out of the reflector was implemented. The simulated results for the volume-averaged RTD temperatures as functions of time for Configuration 2 are shown in Figure 9-8.

The thermal-displacement model results were nearly identical to the effects seen in RTD-3 in Configuration 2. RTD-3 was placed between the two moderator components and, thus, was ideally positioned for quantifying the uncertainties in  $\text{YH}_2$  temperature estimates. The largest difference in simulated and measured temperatures was calculated to be 5%. Thus, a conservative 5% uncertainty range was uniformly applied for all temperature estimates in Configuration 2.

**Configuration 1** The simulated results for the volume-averaged RTD temperatures as functions of time for Configuration 1 are shown in Figure 9-9. As shown in Figure 9-1, there were no RTDs in direct contact with any moderator elements. As such, characterization of moderator element temperature uncertainty was not as straightforward as it was for Configuration 2. Thus, all RTDs were analyzed for error calculation and representative moderator element temperatures were determined from the FEA simulations. The maximum temperature deviation for Configuration 1 was determined to be 20%, which was assigned as the uncertainty range for all moderator element temperatures.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

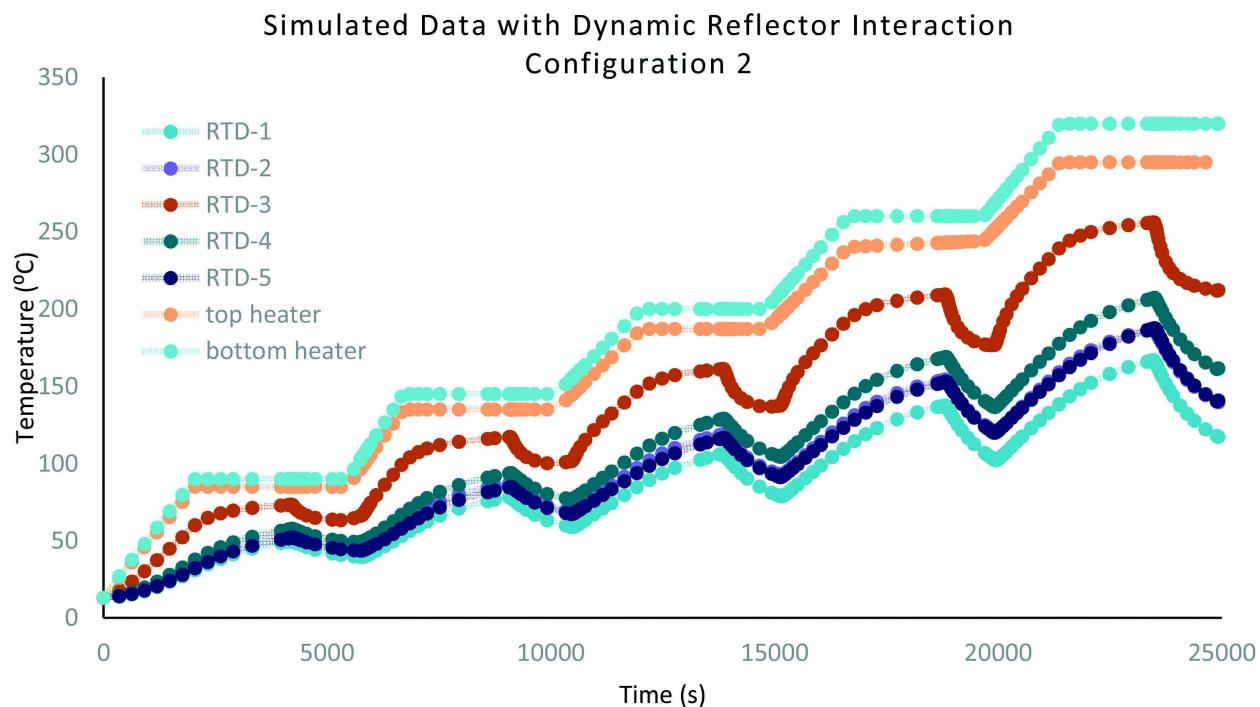


Figure 9-8: Simulated time-dependent RTD temperature values for Configuration 2. Figure and caption from [48].

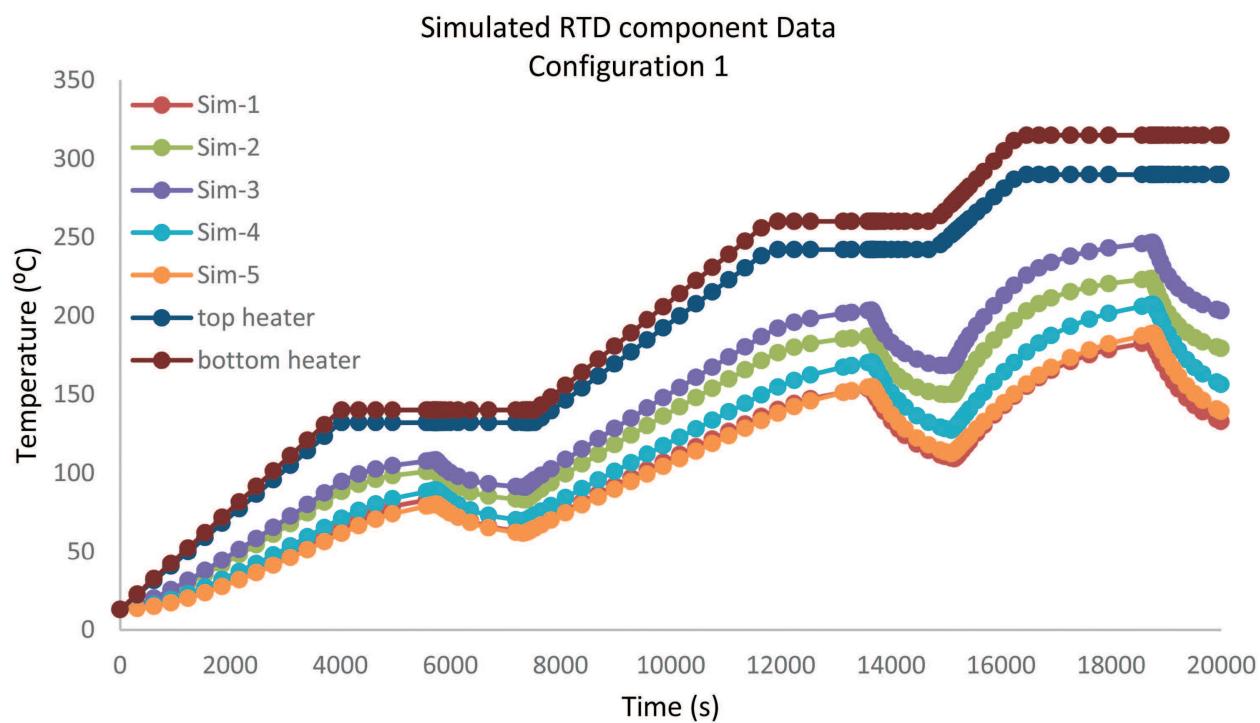


Figure 9-9: Simulated time-dependent RTD temperature values for Configuration 1. Figure and caption from [48].

## The Hypatia Experiment: yttrium dihydride cross section evaluations

### 9.5 Measured and simulated reactivity changes

Reactivity calculation from experimental data Reactivity ( $\rho$ ) in units of cents ( $\$$ ) was calculated from the Inhour equation using the reactor period

$$\rho(\$) = \frac{1}{\beta_{\text{eff}}T} + \sum_{i=1}^6 \frac{\beta_i/\beta_{\text{eff}}}{1 + \lambda_i T} \quad (41)$$

where  $\beta_{\text{eff}}$  is the effective delayed neutron fraction,  $\beta_i$  is the delayed neutron fraction for group  $i$ , and  $\lambda_i$  is the decay constant for delayed neutron group  $i$ . For the fast neutron system in this experiment, the parameters related to  $\beta_{\text{eff}}$  are fast uranium parameters. These are summarized in Table 9-3.

Table 9-3: Inhour equation parameters. Table from [48].

Group index, $i$ (-)	Decay constant, $\lambda_i$ ( $\text{s}^{-1}$ )	Relative abundance, $a_i = \beta_i/\beta_{\text{eff}}$ (-)
1	0.0127	0.038
2	0.0317	0.213
3	0.115	0.188
4	0.311	0.407
5	1.40	0.128
6	3.87	0.026

For Configuration 1, the critical configuration was found using a 3.175 cm thick spacer in the lower portion of the column and a 2.54 cm thick spacer in the upper portion. At a temperature of 287 K, the reactor period was measured to be 238 s. From the SU neutron detectors, the reactivity was determined to be 5  $\$$  with a count rate of  $1 \times 10^4$  counts per second (cps).

For Configuration 2, the critical configuration was found using two 1.905 cm thick spacers in the lower and upper portions of the column. At a temperature of 287 K, the reactor period was measured to be 171 s. From the SU neutron detectors, the reactivity was determined to be approximately 6  $\$$  with a count rate of  $7 \times 10^4$  cps.

**Comparison between experimental and calculated reactivities** Excess reactivities calculated using MCNP were compared with those calculated using the Inhour equation for each temperature and configuration. The results of this analysis for Configuration 1 are shown in Table 9-4 and for Configuration 2 in Table 9-5. From these values, it is clear that reactivity increased with temperature. This is expected for  $\text{YH}_2$ , which is known to have a positive reactivity temperature coefficient. However, the measured values appear to be lower than the calculated ones. This could be due to thermal expansion, which will decrease reactivity, but also could be due to thermal gradient effects. More detailed analyses are underway to understand the effects of these phenomena.

## The Hypatia Experiment: yttrium dihydride cross section evaluations

Table 9-4: MCNP calculated reactivity compared with SU measured reactivity for Configuration 1. Table and caption modified from [48].

Heater temperature (K)	MCNP $k_{\text{eff}}$ (-)	MCNP reactivity change ( $\text{\AA}$ )	SU measured reactivity change ( $\text{\AA}$ )
286	0.99852	-	-
358	0.99895	2.9	3.2
413	0.99922	7.1	6.7
473	0.9995	11.1	11.4
533	0.99959	16.0	13.1
583	1.00016	18.1	18.4

Table 9-5: MCNP calculated reactivity compared with SU measured reactivity for Configuration 2. Table and caption from [48].

Heater temperature (K)	MCNP $k_{\text{eff}}$ (-)	MCNP reactivity change ( $\text{\AA}$ )	SU measured reactivity change ( $\text{\AA}$ )
286	0.99621	-	-
358	0.99625	2.1	1.5
408	0.9968	3.7	3.5
460	0.99706	4.9	5.2
515	0.9974	6.3	6.5
568	0.99716	7.4	7.5

## 9.6 Conclusions of the Hypatia experiment

A critical experiment to study temperature effects on the reactivity of  $\text{YH}_2$  was performed at the NCERC. These experiments used HEU and  $\text{YH}_2$  fuel and moderator components, respectively, with electric heaters to reduce competing effects from nuclear heating. Measurements of reactivity change as a function of temperature for two different fuel column configurations showed that the positive reactivity temperature coefficient appears to be less than the calculated values using simulated cross sections. This result still needs to be assessed in higher-fidelity multiphysics models to include expansion of the materials in the system and other effects.

## 10 Conclusions

From the numerous studies cited in this document, it is clear that a better understanding of yttrium dihydride ( $\text{YH}_2$ ) performance is desired to implement this material in advanced reactors, including microreactors. The key highlights of this Handbook are summarized below.

$\text{YH}_2$  was studied extensively in the past, especially through the Aircraft Nuclear Propulsion program. In this program, the thermodynamics of hydride stability and fabrication methods were developed. More recently, fabrication methods have been recaptured at a variety of US national laboratories, including Los Alamos and Oak Ridge. However, a domestic supply of high-purity yttrium with low oxygen and very low neutron poison compositions are needed to produce  $\text{YH}_2$  at the scale and purity required for reactor applications.

Thermodynamic properties across the literature must be studied in more detail, as there exists considerable spread in the pressure-composition-temperature (PCT) curves for the yttrium-hydrogen system. These curves are used to calculate thermodynamic properties and have been used to calculate hydrogen dynamic effects at temperature. As such, more accurate and well-characterized thermodynamic measurements are needed. The kinetics of phase formation, transformation, hydrogen absorption and desorption, and hydrogen diffusion are also severely lacking in the temperature ranges of interest and represent a research need.

The physical and mechanical properties of  $\text{YH}_2$  are very well characterized for near-stoichiometric compositions. However, characterization of the evolution of these properties as a function of hydrogen content and temperature is needed to understand thermal performance as hydrogen is lost from the material at elevated temperature. To that end, more studies at elevated temperatures and under hydrogen partial pressure environments are needed to eliminate the effects of hydrogen content change during elevated temperature measurements.

$\text{YH}_2$  that was irradiated in the Advanced Test Reactor was characterized for specimen integrity, microstructure, phase evolution, and thermophysical properties as a function of irradiation temperature. Samples were prepared using two different methods: powder metallurgy and direct hydriding. The direct hydride materials performed in a manner as might be expected: that is, at lower temperatures, hydrogen loss appeared to occur at the surface. This was also observed in powder metallurgy samples; however, some samples were not produced to high quality, which makes comparison between as-fabricated and post-irradiated states difficult. Thermal diffusivity measurements showed that irradiated materials generally had lower diffusivities than as-fabricated materials. However, the irradiated powder metallurgy specimens appeared to recover thermal diffusivity upon thermal cycling, while direct hydride materials did not; this was related to the internal porosity of the powder metallurgy materials. More work is required to understand these phenomena.

$\text{YH}_2$  was also studied in a critical experiment at the National Criticality Experiments Research Center to evaluate cross sections of this material and provide validation of those cross sections as a function of temperature, and to determine the positive reactivity temperature coefficient of this material. Two different configurations of moderator elements provided different fuel-to-moderator ratios. Results showed that the measured reactivity changes with temperature were less than those predicted using Monte-Carlo N-Particle (MCNP) transport code calculations. It was hypothesized that this result could be due to thermal expansion.

Overall, this body of work shows that significant progress has been made towards the qualification of  $\text{YH}_2$  as a moderator material. More studies of engineering-scale components, such as the Hypatia experiment and ATR irradiation, are required to better understand moderator performance parameters that will feed into multiphysics codes to more accurately predict in-reactor moderator

## **Conclusions**

performance. To that end, hydrogen dynamics at temperature and under irradiation represent a significant knowledge gap that must be addressed.

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