

# 1 Nepal Himalaya Offers Considerable Potential for Pumped Storage Hydropower

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31

## 32 **Abstract**

33           There is a pressing need for a transition from fossil fuel to renewable energy to meet  
34 the increasing energy demands and reduce greenhouse gas emissions. The Himalayan region,  
35 with its unique topography and abundant water resources, offers substantial renewable energy  
36 potential, particularly through hydropower generation. However, the current exploitation rate  
37 is low owing to the predominance of run-of-river hydropower systems to support the power  
38 system. The utility-scale storage facility is crucial in the load scenario of an integrated power  
39 system to manage diurnal variation, peak demand, and penetration of intermittent energy  
40 sources. In this study, we first identify the potential of pumped storage hydropower across  
41 Nepal (a central Himalayan country) under multiple configurations by pairing lakes, rivers, and  
42 available flat terrains. We then identify technically feasible pairs from those of potential  
43 locations. Infrastructural, environmental, operational, and other technical constraints govern  
44 the choice of feasible locations. We show that 42% of the theoretical potential of 3000 GWh is  
45 technically feasible. We find the flat land-to-river configuration more promising than other  
46 configurations. Our findings provide insight into the potential of pumped storage hydropower  
47 and are of practical importance in planning sustainable power systems in the Himalayas and  
48 beyond.

49

50 **Keywords:** Hydropower, Electricity, Renewable energy, Integrated power system, Pumped  
51 storage hydropower.

52

## 53 **1. Introduction**

54           The global energy sector, primarily driven by fossil fuels, is the largest contributor to  
55 greenhouse gas emissions holding the key to averting the impacts of climate change [1,2]. The

56 26<sup>th</sup> United Nations Climate Change Conference of the Parties recommended necessary actions  
57 to limit the global rise in average temperature below 2 °C from pre-industrial times and to  
58 pursue efforts to restrict it to 1.5 °C [3,4]. The shift toward Net Zero Emissions by 2050 requires  
59 nations to unite and efficiently implement energy and climate change policies, including a  
60 massive transformation of the energy sector [5,6]. Increasing the deployment of renewable  
61 energy sources is crucial for this transformation [7–9]. Countries with fossil fuel as a primary  
62 energy source have a crucial role in significantly mitigating greenhouse gas emissions by  
63 switching to renewables [2,4,10]. Given the intermittent nature of wind and solar energy  
64 sources, hydropower, which stands as the largest renewable energy source, plays a pivotal role  
65 in facilitating this transformation [3,6]. Hydropower is one of the clean, most cost-effective,  
66 and most flexible energy storage technology that can help to ensure a reliable and secure energy  
67 supply [11]. The assessment led by the International Energy Agency (IEA) and the  
68 International Renewable Energy Agency (IRENA) estimates that at least 850 GW of  
69 hydropower must be produced to keep global warming below 2 °C. The figure needs to be  
70 doubled to meet the Net Zero emissions target (i.e., below 1.5 °C) by 2050 [6].

71         With the rapidly evolving electric grid system due to the influx of wind and solar, there  
72 is a need for large-scale energy storage [12–14]. For the global electricity market, hydropower  
73 is the least expensive and most efficient large-scale energy storage alternative compared to  
74 other technologies such as batteries, hydrogen, and flywheel [9,15–18]. Pumped storage  
75 hydropower (PSH) functions like a giant battery allowing the much-needed reliability and  
76 flexibility in the electric grid system [12]. This helps to reduce the need for fossil fuel-based  
77 energy sources, which is critical for meeting sustainable development goals (SDG) [19–21],  
78 particularly, SDG 7 (affordable and clean energy) and SDG 13 (climate action). Additionally,  
79 PSH can provide additional jobs and economic benefits to local communities, thus contributing

80 to SDG 8 (decent work and economic growth), and meeting SDG 2 (zero hunger) [20]. Finally,  
81 it can also help to protect local ecosystems by mitigating the impacts of extreme weather events,  
82 thus contributing to SDG 15 (life on land). PSH alone accounts for ~90% of the world's grid-  
83 scale storage applications (160 GW) [6]. Importantly, PSH's ability to store large-scale off-  
84 peak, excess, or unusable electrical energy and to facilitate optimal production and  
85 consumption with grid stabilization [22–24] makes it the most adopted energy storage  
86 technology. PSH is crucial for sustainable transformation in energy due to its ability to balance  
87 electricity supply and demand, as well as its potential to store large amounts of energy [9]. It  
88 is an important tool in the transition towards a low-carbon energy system, as it can help to  
89 reduce the need for fossil-fuel-based electricity generation and provide a way to store excess  
90 electricity generated from renewable energy sources [25]. Hence, it is critical to assess feasible  
91 locations for such storage projects. Global assessment of the off-river PSH identified 616,000  
92 promising locations with a combined storage potential of 23 million GWh [26]. Several  
93 regional assessments have shown similar prospects for PSH in different parts of the world,  
94 including Turkey [27], the United States [28], France [29], and Iran [30], among others.

95         The potential site mapping for PSH involves identifying suitable pairs of lower and  
96 upper reservoirs followed by an estimation of electricity storage capacity [9,28,29,31].  
97 Globally, various approaches have been proposed for identifying the appropriate locations for  
98 PSH projects [32]. Ahmadi et al. [33] and Jiménez Capilla et al. [34] determined the optimal  
99 location of an upper reservoir in the proximity of a reference hydropower reservoir using  
100 Multiple-Criteria Decision-Making techniques. Such techniques have also been used to  
101 evaluate the best alternative from predetermined PSH locations [35–37]. Lu and Wang [38]  
102 investigated narrow valleys instead of flat areas in Tibet for possible use as reservoirs in  
103 integration with existing lakes. Qiu et al. [39] assessed PSH potential from combination of two

104 existing waterbodies in Qinghai-Tibet Plateau and optimized energy storage paths integrating  
105 solar and wind energy potential. For off-river pumped hydro schemes, Lu et al. [40] developed  
106 an advanced Geographic Information System (GIS) algorithm to identify two reservoir models  
107 (i.e., dry-gully and turkey's nest) in South Australia. Using the GIS-based model and  
108 topographic information, Gimeno-Gutiérrez and Lacal Arántegui [41] demonstrated significant  
109 theoretical potential for PSH in several European countries. Furthermore, recent studies  
110 explored the utilization of natural depressions [29], mines [42,43], cascade hydropower [44],  
111 seawater [45,46], and wastewater treatment facilities [47] as potential configurations for PSH  
112 reservoirs. Most of these studies concentrated on examining potential under topological  
113 relations between reservoirs reported by the Joint Research Center of the European  
114 Commission [48]. The utilization of rivers as an upper/lower reservoir in the PSH scheme is  
115 less explored. Considering the topography of Iran, Ghorbani et al. [30] divided the river into a  
116 set of points at 40 km intervals (site for the lower reservoir) and searched for suitable flat land  
117 for an upper reservoir. Görtz et al. [32] developed a new algorithm to locate suitable ring dam  
118 locations along rivers and shorelines. However, this method explores less in terms of potential  
119 connection between upper and lower reservoir points and lacks the quantification of energy  
120 storage capacity. Our study employs a point-based search along the river network for potential  
121 utilization of river (site for lower/upper reservoir) in the mountainous region. This approach is  
122 capable of estimating pumped energy storage capacity of rivers in combination with the nearby  
123 lakes and flat lands.

124         The Nepal Himalayas possess an abundance of renewable energy potential, primarily  
125 through hydropower [49,50]. Hydropower energy's contribution to the electric grid in the  
126 region is predominantly from the run-of-river hydropower plants [51]. Numerous previous  
127 studies have examined run-of-river and storage-type hydropower projects in Nepal [52–57].

128 Moreover, to complement a large number of existing and planned ROR hydropower plants  
129 [58,59], PSH could be an efficient and cost-effective energy storage alternative [60]. Diverse  
130 topographic conditions, sharp elevation gradient, high stream power, and perennial water  
131 source facilitate a huge potential for hydropower development in the central Himalayan region  
132 [61]. A few studies (e.g., [60,62,63]) exist on the potential of PSH in the Nepal Himalayas, but  
133 much fewer than the traditional run-of-river hydropower schemes [64–68]. Nepal Himalayas  
134 provide an ideal testbed to study pumped storage systems given high topographic gradients,  
135 large flow fluctuations, and prevalent energy demand patterns.

136         The Global Pumped Hydro Storage Atlas [69] used GIS-based algorithms [40] to  
137 identify around 2,800 potential locations in the Himalayan country Nepal for off-river schemes,  
138 such as two reservoirs located in proximity but at different altitudes and connected by a pipe  
139 or tunnel [26,60]. Recently, there have been some initiatives to explore PSH in the Himalayan  
140 region. For example, the Government of Nepal is currently exploring several possible locations  
141 for PSH, such as Begnas-Rupa (150 MW), Lower Seti (104 MW), and Kulekhani (100 MW)  
142 [70]. Jirel et al. [71] analyzed the integration of solar photovoltaics with the planned Kulekhani  
143 PSH and found that the integrated operation will make the project economically profitable.  
144 Previous studies [60,62,63] provide important insights into the potential PSH locations in  
145 Nepal, but they are focused only on exploring limited configurations at a location such as lake-  
146 to-lake connections [63] and pump-back systems between hydro-project reservoirs [70,71].  
147 Therefore, a nationwide identification of potential locations for PSH considering a wide range  
148 of configurations (e.g., lakes, hydropower projects, rivers, and available flat terrain) is crucial  
149 to developing reliable decision support systems for the sustainable utilization of water  
150 resources.

151 This study is the first of its kind to explore the suitability of pumped storage schemes  
152 and their potential in the Himalayas. Based on the diverse topographic characteristics of the  
153 Himalayan region, this study considers the full utilization of natural lakes, flat lands, and rivers  
154 employing several reservoir configurations for developing PSH. We employ a geospatial model  
155 to identify the viability of PSH in the region. The major aim of this research is to characterize  
156 the baseline energy potential of PSH across the Himalayas by addressing three key research  
157 questions: (i) What are the theoretical, technical, and exploitable potential of PSH in the  
158 Himalayas? (ii) What is the preferred reservoir configuration in the Himalayan topography?,  
159 and (iii) How do topography and hydroclimatic conditions affect the spatial distribution of  
160 PSH? This study provides an entry point for discussion among energy planners, decision-  
161 makers, and modelers to develop sustainable energy systems. The proposed approach could be  
162 employed in similar regions across the globe.

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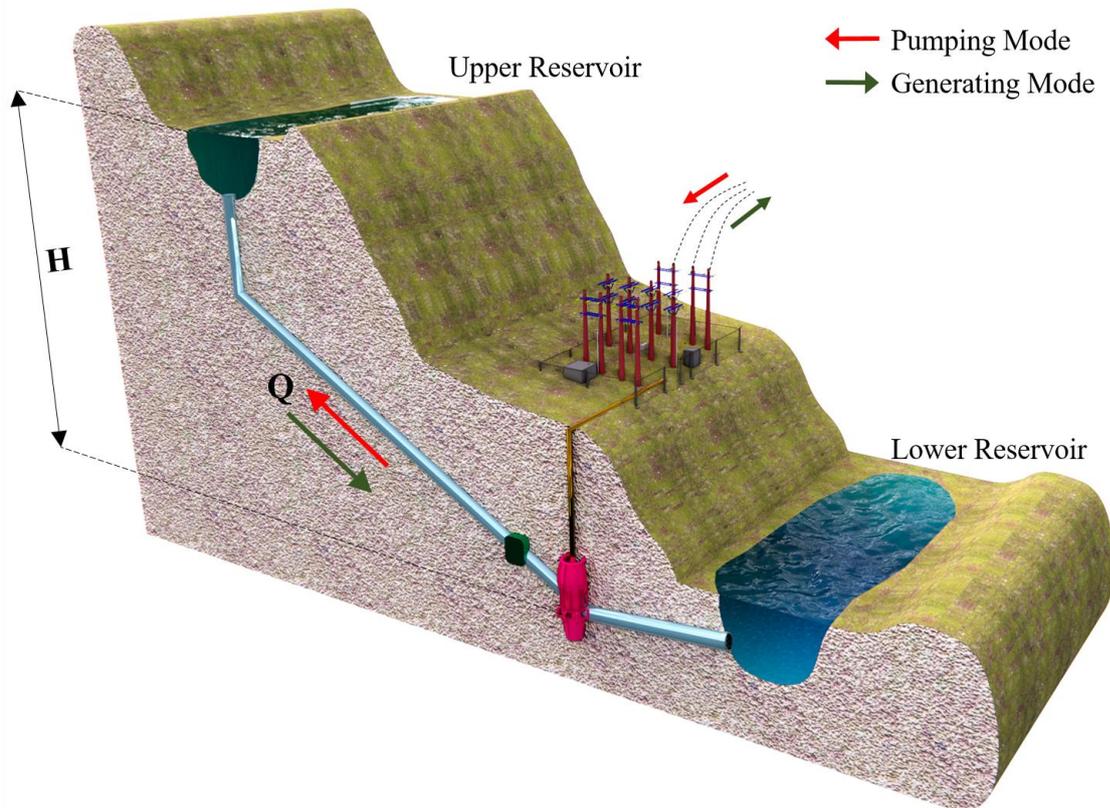
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## 165 **2. Materials and Methods**

### 166 *2.1. Study Area and Data*

167 In Nepal, there are over 6000 rivers and rivulets with a water storage capacity of over  
168 200 billion m<sup>3</sup> [72]. The country is characterized by a complex terrain with many glaciers,  
169 rivers, valleys, and lakes. Of 5358 lakes, 2315 are glacial [73]. The high topographic gradient  
170 with elevation varying from 60 to 8848 m above sea level provides both opportunity and  
171 challenge in developing transport and grid infrastructure. However, a PSH plant (**Fig. 1**) is not  
172 feasible at a very high altitude from a construction and operation point of view. Also,  
173 prerequisites like road access and transmission infrastructure are challenging to develop. In this

174 study, we excluded regions with elevations greater than 5000 m. Such exclusion has been  
175 adopted in run-of-river hydropower potential study by Water and Energy Commission  
176 Secretariat, Nepal [50]. Various spatial, hydrography, and infrastructure data used in this study  
177 are illustrated in **Table 1**.



178

179 **Fig. 1.** Schematic diagram of a typical pumped storage hydropower system (adapted from ILI  
180 Group; <https://www.ili-energy.com/why-pump-storage>). Pumped storage schemes are  
181 designed to operate in two modes: i) pumping water to an upper reservoir using surplus energy  
182 (shown by red arrows) and ii) generating electricity by releasing this water back to a lower  
183 reservoir during high demand periods (shown by green arrows). H: Hydraulic Head, Q: Flow

184

185 **Table 1.** Geospatial data and their sources used in this study

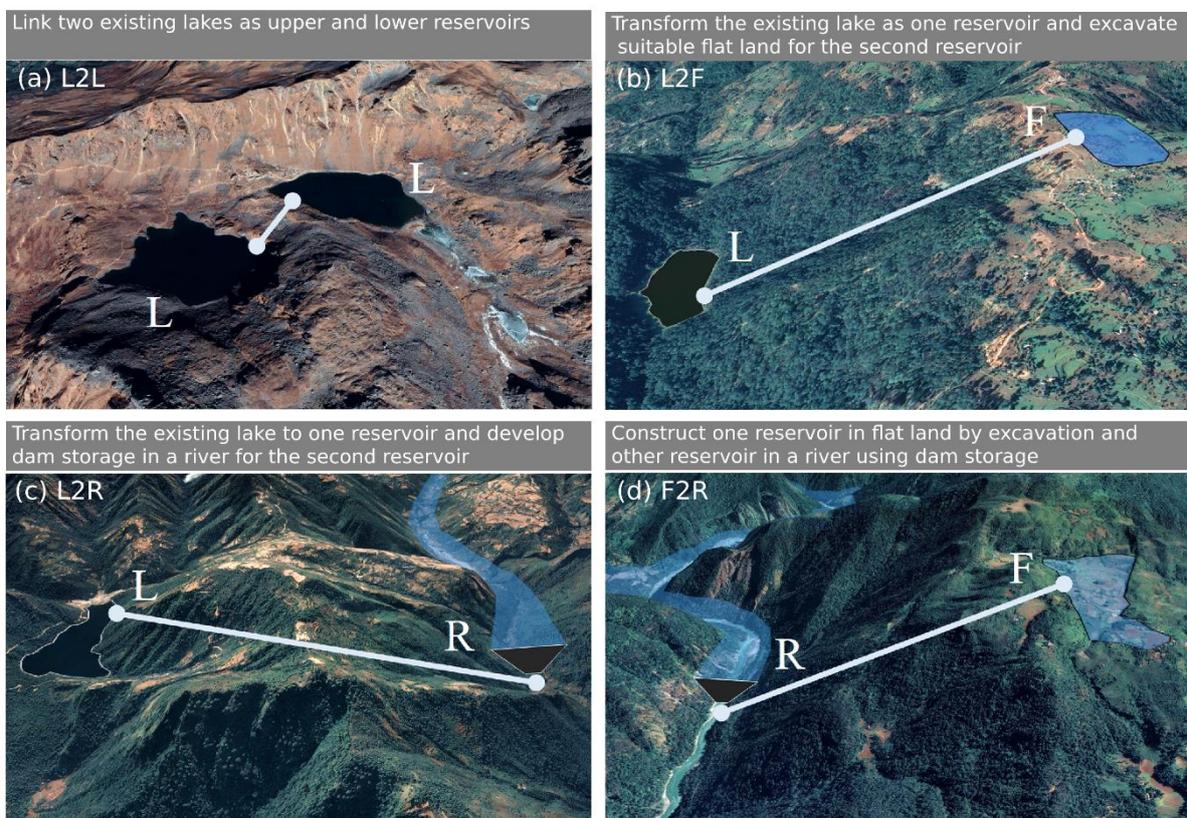
<b>Dataset</b>	<b>Source</b>
Digital Elevation Model	SRTM, USGS <a href="https://earthexplorer.usgs.gov">https://earthexplorer.usgs.gov</a>
Lakes	OCHA [74] <a href="https://data.humdata.org/dataset/nepal-watercourses-rivers">https://data.humdata.org/dataset/nepal-watercourses-rivers</a>
River Network	Regional Database System, ICIMOD [75] <a href="https://rds.icimod.org/">https://rds.icimod.org/</a>
Road Network	OCHA [76] <a href="https://data.humdata.org/dataset/nepal-road-network">https://data.humdata.org/dataset/nepal-road-network</a>
Transmission Network	RPGCL, Nepal [77]
Protected Areas	DNWPC, Nepal

186 *SRTM*: Shuttle Radar Topography Mission; *USGS*: United States Geological Survey; *OCHA*:  
 187 United Nations Office for the Coordination of Humanitarian Affairs; *ICIMOD*: International  
 188 Center for Integrated Mountain Development; *RPGCL*: Rastriya Prasaran Grid Company  
 189 Limited; *DNPWC*: Department of National Parks and Wildlife Conservation.

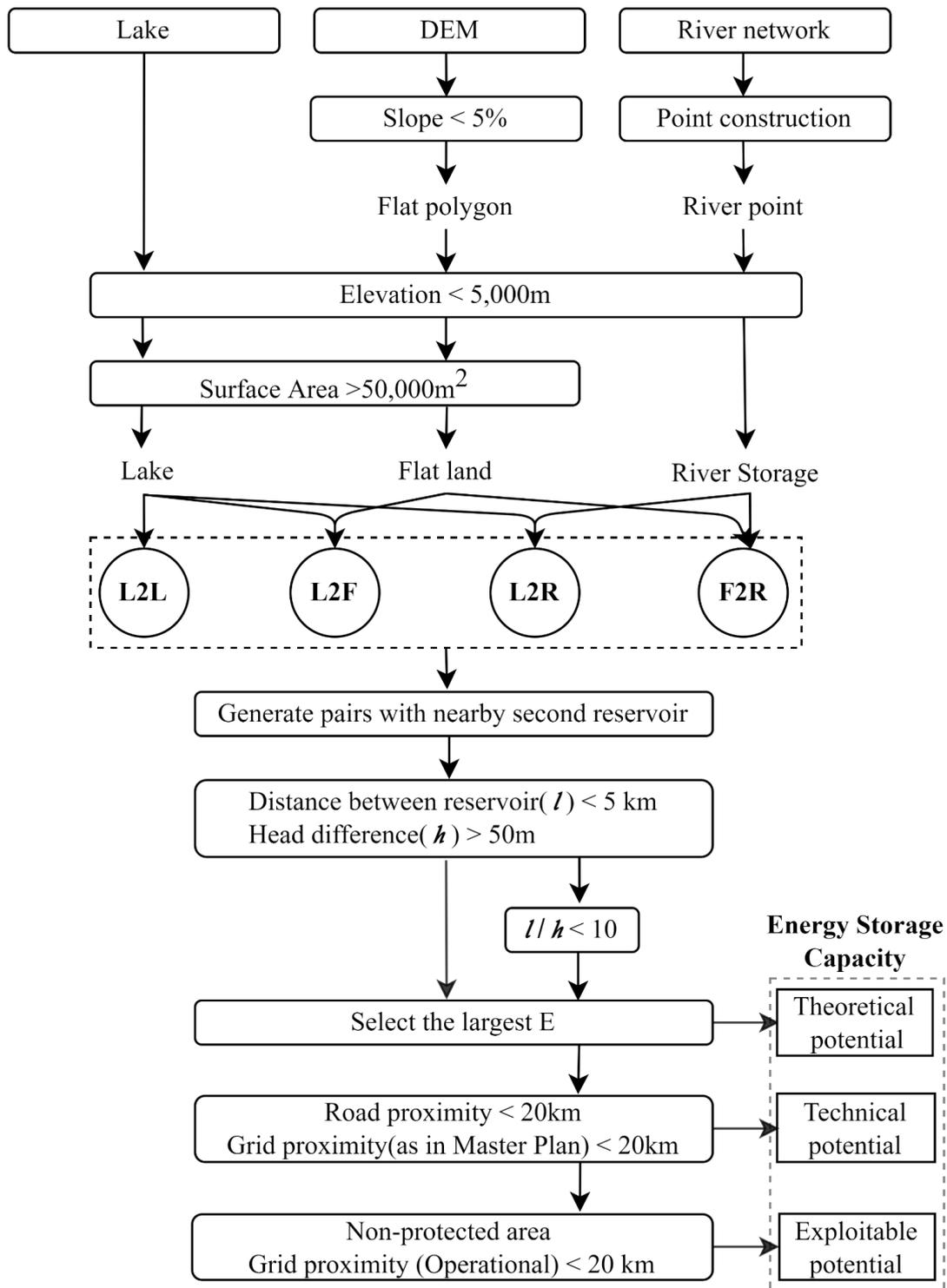
190 We assessed hydrometeorological characteristics (precipitation, temperature, and  
 191 streamflow) of PSH potential locations using average values computed from 40 years of data.  
 192 We used monthly climate (precipitation and temperature) data from the recent 40 years (1981-  
 193 2020) of the TerraClimate dataset (spatial resolution ~4 km; Abatzoglou et al. [78]).  
 194 Streamflow data were taken from Shin et al. [79], spanning 40 years (1979–2018) at ~5 km  
 195 spatial resolution. We used 10 percentile ( $Q_{10p}$ : low flow), 50 percentile ( $Q_{50p}$ : median flow),  
 196 90 percentile ( $Q_{90p}$ : high flow), and average ( $Q_{avg}$ : mean flow) streamflow data at the PSH  
 197 potential locations for those configurations that involve rivers (i.e., flat land or lake to the river).  
 198 Hydrometeorological characteristics of PSH potential locations were categorized for different  
 199 elevations bands (EBs) above sea level: EB1 (0 - 500 m), EB2 (500 - 1000 m), EB3 (1000 -  
 200 2000 m), EB4 (2000 - 3000 m), and EB5 (3000 - 5000 m).

201 *2.2. Reservoirs Selection*

202 A minimum of two reservoirs at a certain elevation difference is required for integrating  
 203 pumping and generating facilities (**Fig. 1**). These reservoirs can be either natural lakes or  
 204 artificial storage facilities constructed by damming the river or excavating suitable flat land. A  
 205 PSH scheme can be established by combining these natural and artificial features at varying  
 206 altitudes. Based on varying topographic settings in the Himalayan region, we proposed four  
 207 schemes for a combination of these features as shown in **Fig. 2**. We studied storage potential  
 208 in each scheme that utilizes three types of prospective reservoir locations; natural lake “L”, flat  
 209 land “F”, and river “R” by applying the methodological framework shown in **Fig. 3**. All the  
 210 natural and artificial storage facilities were screened with criteria of minimum volume required  
 211 to achieve the energy storage threshold, discussed later in the following section.



212  
 213 **Fig. 2.** Reservoir configurations investigated in the study. Maps are prepared on the Google  
 214 Earth platform.



215

216 **Fig. 3.** Overall methodological flowchart of this study. Based on topography, four reservoir  
 217 schemes are assessed: (i) Lake to Lake (L2L), (ii) Lake to Flat land (L2F), (iii) Lake to River  
 218 (L2R), and (iv) Flat land to River (F2R). Proximity to the road and non-protected areas are

219 used to filter exploitable energy from the theoretical potential locations. Detailed descriptions  
220 of these reservoir schemes are in **Fig. 2**. *DEM*: Digital Elevation Model; *E*: Energy Storage  
221 Capacity.

### 222 2.3. Energy Storage Capacity Estimation

223 The hydroelectricity storage potential of a PSH plant is directly proportional to the head  
224 difference between upper and lower reservoirs and the utilizable volume of water:

$$225 \quad E = \frac{\eta \rho VgH}{3600 \times 10^9} \dots\dots\dots(1)$$

226 where, *E* = energy (GWh),  $\eta$  = overall efficiency,  $\rho$  = density of water (1000 kg/m<sup>3</sup>), *V* = usable  
227 volume of an upper reservoir (m<sup>3</sup>), *g* = gravitational constant (9.8 m/s<sup>2</sup>), and *H* = head  
228 difference (m) between upper and lower storage reservoirs.

229 The performance of the cyclic operation in pumping and generating mode can be better  
230 understood by round-trip efficiency. A round-trip efficiency of a typical PSH system ranges  
231 between 70 and 80% [80,81]. The water storage capacity of a reservoir is highly site-specific  
232 and dependent on reservoir characteristics, including storage-elevation curve, type, and  
233 purpose. Among the two reservoirs in a PSH plant, the reservoir with minimum water storage  
234 capacity governs the energy storage potential [38]. We computed the usable volume by a PSH  
235 plant as the product of the surface area and utilizable water depth of such a limiting reservoir.

236 For the L2L and L2F configurations, we used the minimum surface area between the  
237 upper and lower reservoirs. However, for a scheme involving a river as one reservoir, we  
238 assumed sufficient flow in the river and the second reservoir as a constraint. So, we used the  
239 surface area of the second reservoir (either lake or flat land) in the potential calculation of L2R  
240 and F2R locations. In the case of a natural lake, consideration of higher utilizable depth creates  
241 environmental, social, and technical complications although it may lead to an overestimation

242 of usable water volume. Connolly et al. [82] proposed the construction of a new reservoir of  
243 20 m height on flat land with reference to the existing PSH reservoir like Taum Sauk in  
244 Missouri, USA, and Turlough Hill, Ireland. Many authors used the same depth for schemes  
245 involving the construction of new reservoirs in flat areas. In mountainous terrains such as  
246 Nepal, the development of such massive reservoirs on flat land may not be geologically and  
247 economically favorable. Further, due to high vulnerability to seismic activity, such depth may  
248 lead to Reservoir Induced Seismicity [83,84]. Therefore, 2 m of the depth of the existing lake  
249 and new reservoir in excavated flat land was taken as utilizable depth for all schemes.

250 We aimed at developing a model that can detect even the small PSH site with an energy  
251 threshold of 10 MWh (a 1 MW plant active for 10 hours). In all schemes, a minimum head  
252 difference between the upper and lower reservoir was set to be 50m. In this connection, for a 2  
253 m utilizable depth, minimum storage of 100,000 m<sup>3</sup> is required. So, our study is limited to only  
254 those reservoirs with a surface area greater than 50,000 m<sup>2</sup>. The minimum volume selection is  
255 consistent with the constraint adapted by Gimeno-Gutierrez and Lacal-Arantequi [41].

#### 256 *2.4. Definition of Energy Storage Potential*

257 Theoretical potential corresponds to all the locations that satisfy the fundamental  
258 requirement/provision, including head difference and water storage capacity. Theoretical  
259 potential captures the energy storage capacity of all the locations; each one with two reservoirs  
260 at different altitudes and certain water volumes that can be used in the cyclic operation. These  
261 locations are assumed to be harnessed to their full potential, i.e. operate at 100% efficiency.

262 The technical potential is deduced considering topographical, operational, and  
263 infrastructure constraints. The topographical characteristics of reservoirs in PSH plants can be  
264 measured by the ratio of the distance between them and the head difference, denoted as  $l/h$ .

265 The lesser the l/h ratio, the more economically attractive would be the site. Locations with l/h  
266 less than 10 are considered technically more suitable [42,48,85]. To account for mechanical  
267 energy losses, an efficiency of 80% was assumed in calculating technical energy storage  
268 potential. Infrastructure facilities like transportation are required for construction and  
269 operation. The powerhouse in the PSH site should be connected to the grid to transmit  
270 electricity. Locations that are in less than 20 km proximity to road and grid facilities are  
271 considered to satisfy the infrastructure constraint. We identified technical potential locations  
272 in compliance with the transmission facility as envisaged by the Transmission Network Master  
273 Plan of Nepal [77].

274 Exploitable potential represents the technical locations that can be  
275 exploited/realistically developed now with the existing grid facility and are free from  
276 environmental restrictions. The Working Policy on Construction and Operation of  
277 Development Projects in Protected Areas [86] guides the development of river-based hydro  
278 blocking/diverting water, but with many restrictions. In our setup, we assumed the development  
279 of a PSH plant would hinder the operation of conservation areas; therefore, we excluded such  
280 technical PSH locations while assessing the exploitable potential.

## 281 *2.5. Reservoir Configuration*

282 We configured an integrated modeling system (**Fig. 3**) to assess the potential of  
283 different reservoir schemes (**Fig. 2**). The modeling framework undergoes scheme-specific  
284 input processing, reservoir pairing, and constraint application. We chose an appropriate  
285 reservoir, paired with a second reservoir (either upper or lower), and computed the energy  
286 storage capacity of that particular combination. Obtained locations were sequentially tested  
287 under user-defined constraints for their theoretical, technical, and exploitable viability.

### 288 2.5.1. *Prospective Reservoir*

289 Databases of reservoir facilities are required as input for the model. Except for lakes,  
290 other reservoir databases are created by the geospatial operation of the Digital Elevation Model  
291 (DEM) and river network. For flat land, polygons with less than 5% slope are obtained from  
292 DEM. Area and the average elevation of the lake and flat land are then computed. By filtering  
293 the reservoir features with a surface area greater than 50,000 m<sup>2</sup> located below elevation of  
294 5000 m above sea level elevation, we obtained prospective reservoirs. For schemes utilizing  
295 rivers, points are constructed at an interval of 1 km along the river network. The elevation of  
296 these river points is extracted from the DEM. These points are considered to be the on-river  
297 storage site where the storage facility can be created by dam construction. This dam can also  
298 be used for operating a conventional run-of-river hydropower plant in parallel with the PSH  
299 scheme.

### 300 2.5.2. *Selection of Reservoir Pairs*

301 Each prospective reservoir should be paired with the second reservoir for a PSH plant.  
302 The first letter in scheme notation denotes the prospective reservoir, while the second letter  
303 denotes the second reservoir in a pairing. For example, in the F2R scheme, the model searches  
304 numerous river locations to pair with each flat land. For each prospective reservoir, all the  
305 nearby reservoirs within a 10 km search radius (either at lower or upper elevation) were found.  
306 This provides multiple options for prospective reservoirs to pair with a second reservoir to form  
307 a PSH site. Pairs satisfying 50 m minimum head and 5 km maximum distance criteria were  
308 selected. The pair offering the largest energy storage capacity was selected for theoretical  
309 potential calculation. However, for the technical potential study, we selected pairs with an l/h

310 ratio of less than 10. And only then, the pair with the largest storage capacity was chosen as a  
311 PSH site configuration for further technical analysis.

312 Different criteria were applied in the model to deduce storage potential under the  
313 technically feasible category. Access to infrastructural facilities like transportation and the grid  
314 was examined in the model. A buffer of a 20 km radius was created around the major road  
315 network and substations. Locations located inside the intersection of two buffer zones are  
316 technical locations. Then, technical locations located inside protected areas were excluded for  
317 evaluating the exploitable potential. The remaining locations inside a 20 km buffer zone around  
318 existing substations (operational) were considered for exploitable potential.

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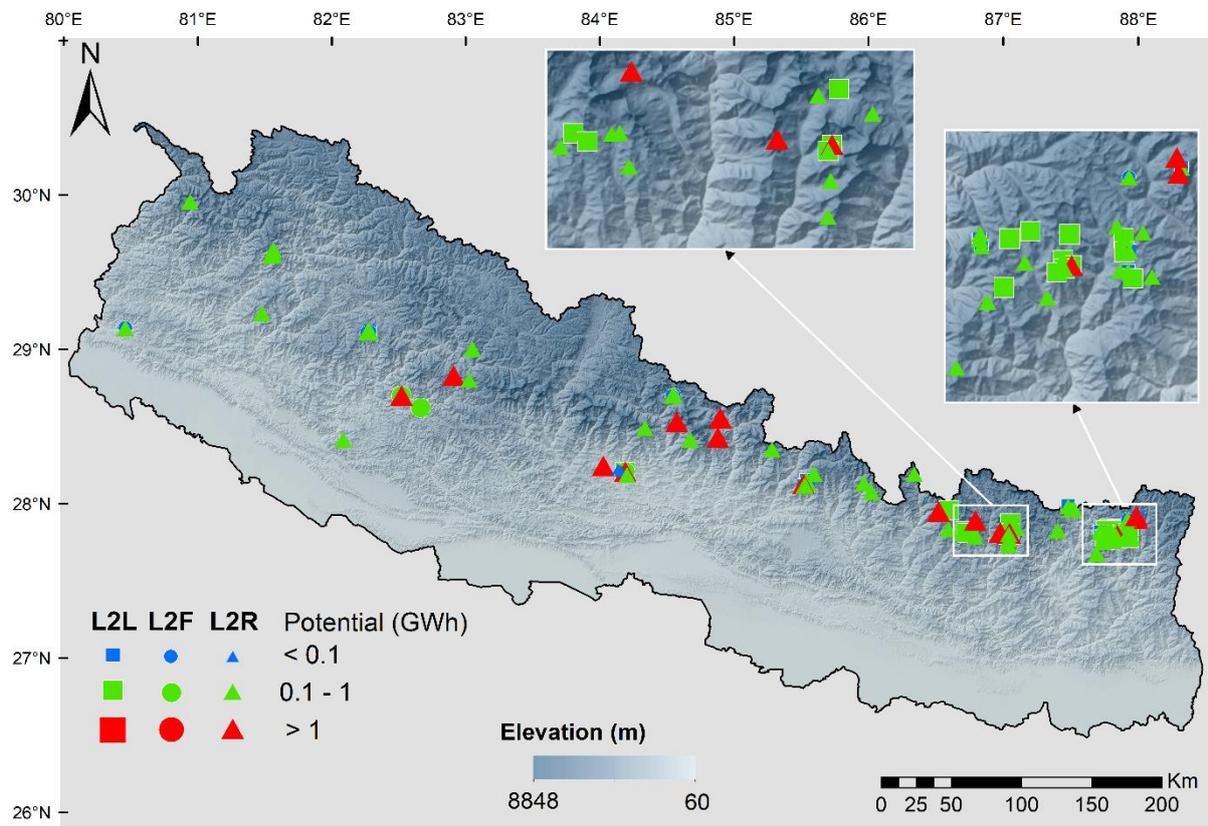
### 320 **3. Results**

#### 321 *3.1. Storage Potential*

322 Utilizing the two existing lakes (L2L scheme), we observed a total of 89 potential  
323 locations, with a combined theoretical storage capacity of 11.3 GWh. However, all theoretical  
324 locations were of capacities less than 1 GWh. Technically, 29 locations were found to be  
325 suitable, with a potential of about 4.1 GWh. We observed that the most technically feasible  
326 locations (greater than 0.1 GWh, shown in green squares in **Fig. 4**) were located in the northeast  
327 region of the country. Only one exploitable site was found with a larger storage capacity, i.e.,  
328 0.3 GWh (between Begnas and Rupa Lakes in Northeast Nepal). This project is currently under  
329 study by Nepal Electricity Authority [51].

330 The theoretical storage capacity under the scheme of pairing lakes with flat land (L2F)  
331 was found to be about 7.9 GWh from a total of 37 locations. Two locations were technically  
332 viable, with a cumulative capacity of 0.9 GWh. Only two theoretical locations had a storage

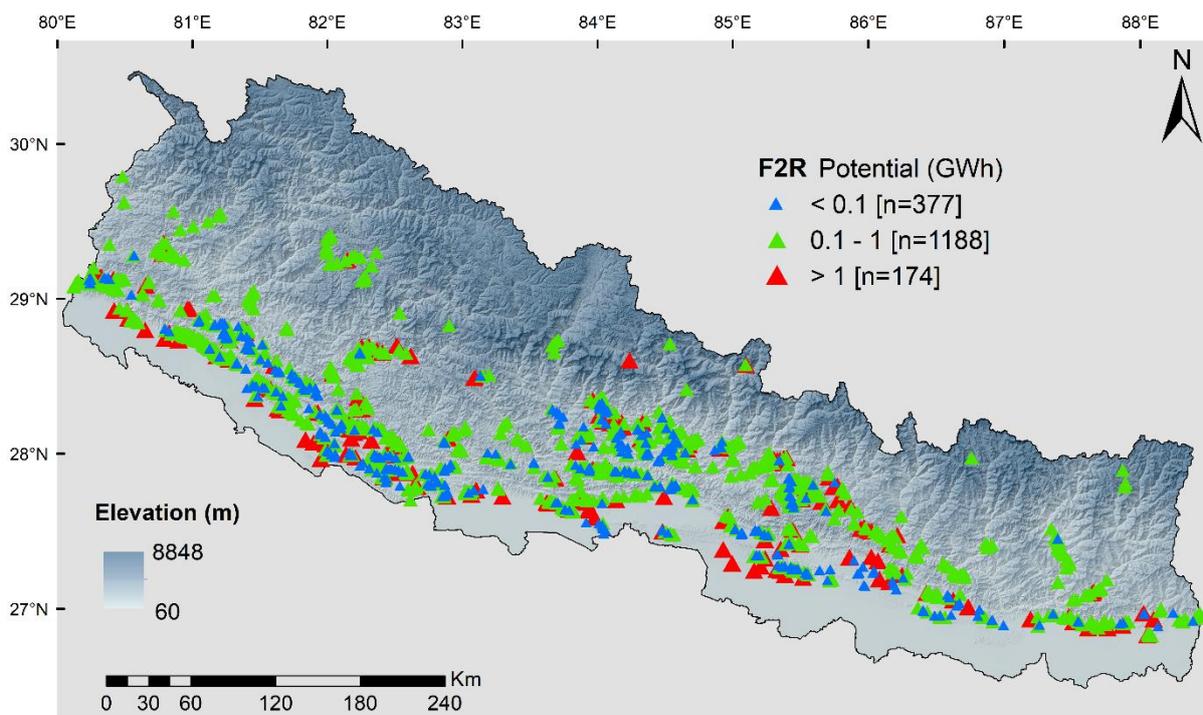
333 capacity greater than 1 GWh. However, none of the locations satisfied technical constraints.  
 334 The exploitable potential was found to be about 0.2 GWh, from those two locations.  
 335 Theoretically, 205 lakes could be connected with rivers by incorporating PSH infrastructure to  
 336 store 276.5 GWh of energy. The technical potential was estimated to be about 65.1 GWh, from  
 337 a total of 88 locations. The majority of technical locations (about 80%) indicate storage  
 338 capacities between 0.1 and 1.0 GWh. A total of six locations could be counted as the exploitable  
 339 category, with a cumulative storage capacity of 6.4 GWh. River points are readily available for  
 340 pairing a lake with the second reservoir as opposed to pairing lakes with flat land. Therefore,  
 341 the L2R configuration shows greater potential than those utilizing lakes.



342  
 343 **Fig. 4.** Spatial distribution of technically feasible Lake to Lake (L2L shown in squares), Lake  
 344 to Flat land (L2F shown in circles), and Lake to River (L2R shown in triangles) locations across  
 345 Nepal. The shaded background represents the underlying topography.

346 We identified 7,440 potential flat land locations under the F2R configuration. Among  
347 them, 6134 locations were found theoretically viable, yielding a total energy storage capacity  
348 of 2716 GWh. **Fig. 5** shows 1739 technically potential locations that could provide an estimated  
349 energy storage capacity of 1198.8 GWh. And, out of 1739, 1184 locations could be exploited,  
350 with a storage capacity of 897.9 GWh. Noticeably, the exploitable F2R locations were  
351 substantially larger and more widely distributed across the country compared to other  
352 configurations (**Fig. 5**).

353 **Fig. 5** shows that 68% of the technically feasible PSH locations (n = 1188) have the  
354 potential to provide energy storage between 0.1 and 1 GWh. We observed that these locations  
355 were mostly distributed between mid-hills and southern plains. Because of the relatively greater  
356 availability of the flat lands, the larger potential locations with energy storage capacity > 1  
357 GWh (n = 174, i.e., 10%) were mostly identified in the southern plains.



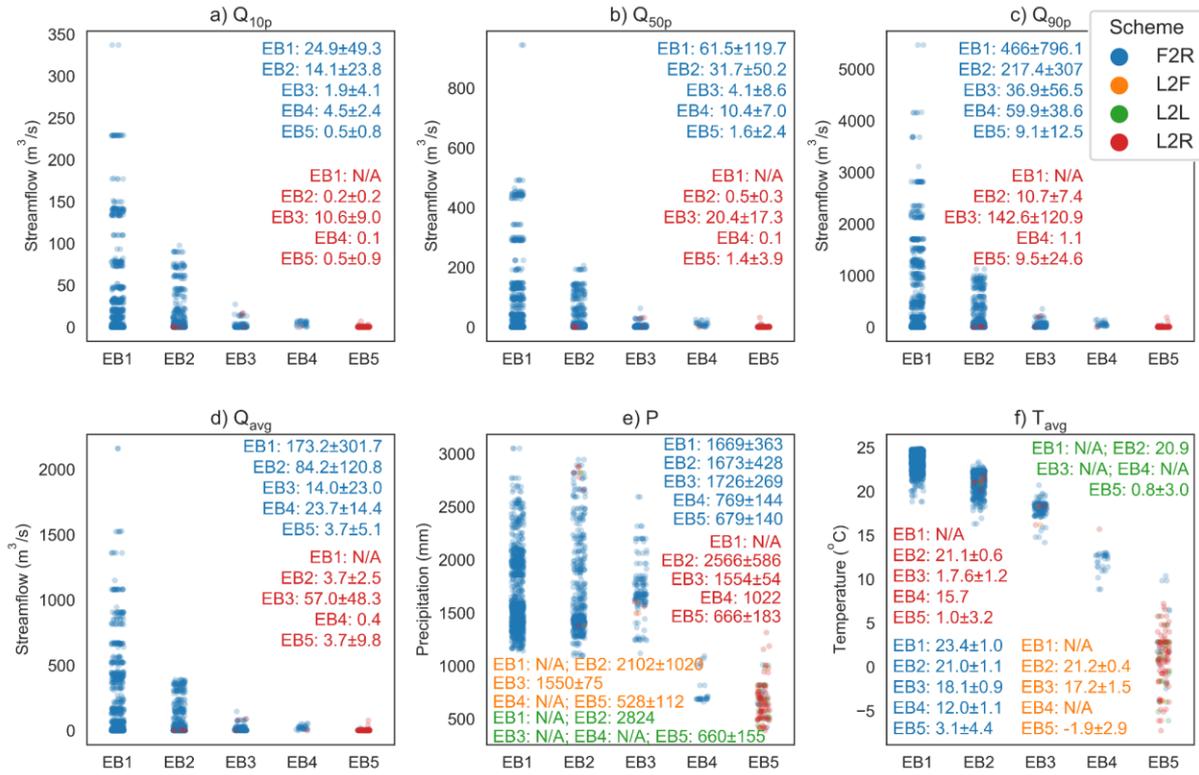
358

359 **Fig. 5.** Spatial distribution of technically viable Flat land to River (F2R) locations across  
360 Nepal. The shaded background shows the topography.

### 361 3.2. *Characterization of Potential Locations with Hydroclimate and Topography*

362 **Fig. 6** shows the distribution of hydroclimatic characteristics of technically potential  
363 PSH locations. Most F2R schemes were located in lower regions, i.e., EB1 (N=1063) and EB2  
364 (N=491). The average streamflows ( $Q_{avg}$ ) at these locations were 173 m<sup>3</sup>/s and 84 m<sup>3</sup>/s, with a  
365 high standard deviation of 302 m<sup>3</sup>/s and 121 m<sup>3</sup>/s, in EB1 and EB2, respectively. The mean  
366 annual precipitation at these locations was approximately 1670 mm. Since these locations are  
367 at low altitudes, the annual average temperature is over 20 °C and the climate is mostly tropical.  
368 Detail for each scheme at different elevation bands is also provided in **Fig. 6**. Out of 88  
369 technically potential L2R schemes, 78 locations were located in EB5. There were no identified  
370 locations in EB1, six in EB2, three in EB3, and one in EB4. These regions also had limited  
371 precipitation, with mean annual precipitation below 850 mm. For L2F and L2L, we showed the  
372 distribution of precipitation and temperature at the technically viable locations. All technically  
373 potential L2L locations were located in EB5 (N=28), except one in EB2 (Begnas and Rupa  
374 Lake configuration). Similar to L2R locations in EB5, the L2L locations in EB5 had less  
375 precipitation and freezing temperatures. Only nine locations were found suitable for technically  
376 viable L2F schemes. Out of nine, five are in EB5 (mean annual precipitation less than 650 mm  
377 and an average freezing temperature), two in EB2, and two in EB3.

378 Our results indicate that mid-hills and southern plains are the hotspots for pumped  
379 storage hydropower development. High-altitude mountain regions characterized by low  
380 precipitation, average temperature close to freezing point, and complex topographic features  
381 demonstrate relatively limited potential for PSH.



382

383 **Fig. 6.** Strip distribution of technically viable pumped storage hydropower (PSH) schemes at

384 different elevation bands (EB1: 0 - 500 m, EB2: 500 - 1000 m, EB3: 1000 - 2000 m, EB4: 2000

385 - 3000 m, and EB5: 3000 - 5000 m above sea level) across Nepal. The four PSH schemes are

386 Flat land to River (F2R) in blue, Lake to Flat land (L2F) in orange, Lake to Lake (L2L) in

387 green, and Lake to River (L2R) in red. Text inside each plot represents the mean ( $\mu$ )  $\pm$  standard

388 deviation ( $\sigma$ ) and corresponding texts are depicted in their respective colors. The streamflows

389 (i.e.,  $Q_{10p}$ ,  $Q_{50p}$ ,  $Q_{90p}$ , and  $Q_{avg}$ ) for L2L and L2F are not assessed since these schemes do not

390 involve river streamflow.

391

#### 392 4. Discussion

393 A PSH facility can be established in many ways, for example, by installing a pump-

394 back system between multiple reservoirs or using flat land as a second reservoir in the vicinity.

395 The current study presented an integrated modeling framework to examine theoretically and  
396 technically viable PSH locations. The model can further explain economic potential by  
397 incorporating cost and benefit analysis parameters.

398 Furthermore, the exploitable potential evolves with time and can depend on various  
399 factors, including legal frameworks, infrastructures, and other site-specific conditions  
400 including topography. For example, our analysis resulted in 1,193 exploitable PSH locations  
401 yielding 904.8 GWh in generation mode with an annual energy potential of 330,252 GWh. This  
402 estimate is about a six-fold increase from the estimate (i.e., 58,000 GWh) by Water and Energy  
403 Commission Secretariat [50] that used 35 dam locations in the river for conventional  
404 hydropower reservoir projects highlighting the importance of a comprehensive framework for  
405 such assessments. However, the study region still lacks a market framework, including peak-  
406 load pricing. As the monetary benefit from PSH projects predominantly depends on the price  
407 difference between pumping and generating energy [87], a proper regulatory framework needs  
408 to be designed. In addition, the availability of grid infrastructure is important. The grid  
409 infrastructure seems to rapidly expand to meet the increasing energy demands. We found that  
410 numerous potential locations are located inside protected areas. And, as the prevailing acts,  
411 legal frameworks, and policies do not allow large-scale construction [86], these locations  
412 would not result in energy generation.

413 Our results show that the PSH locations are mostly around mid-hills across the country.  
414 Global Pumped Hydro Storage Atlas (GPHSA; [69]) showed a lower concentration around the  
415 central and eastern regions' mid-hills and a higher concentration around the mid-hills of the  
416 western region. These differences could be partly due to additional configurations of lakes, flat  
417 lands, and rivers explored in our approach than GPHSA. The GPHSA explored off-river  
418 configurations using an upper reservoir in high hilly areas rather than in a river valley. In

419 contrast, we explored additional configurations with upstream reservoirs using lakes or flat  
420 lands (i.e., L2L, L2F, L2R, and F2R). Also, GPHSA [69] indicated additional locations in  
421 northern high altitudes. These higher altitude locations were limited in our approach because  
422 of the elevational constraints employed in our modeling approach. As these locations are  
423 situated above elevations of 5000 m, it would be difficult technically and economically to  
424 construct the necessary infrastructure. Furthermore, due to the high altitude, L2R schemes in  
425 EB5 had a mean annual average temperature slightly above or around freezing temperature,  
426 indicating chances of freezing lakes during the winter season and limiting the dry season to  
427 produce energy effectively. However, due to a lower temperature, evaporation losses during  
428 the summer would be less across the lakes, which is beneficial for hydropower production.  
429 Himalayan rivers located at these high locations have smaller streamflow. For example, the  
430 high flows (i.e.,  $Q_{90p}$ ) for L2R schemes were only  $10 \text{ m}^3/\text{s}$ . In the case of F2R, larger flat areas  
431 indicate the larger energy storage potential in the southern plains of Nepal, by constructing  
432 reservoirs. Such wide distributions of high-potential PSH locations provide opportunities to  
433 add flexibility to the grid system at both local and national scales.

434         Technically, PSH is a unique kind of hydropower project based on the water cycle  
435 between two reservoirs. Once the water is stored, the same water is used for generating and  
436 pumping. Integrated planning of PSH reservoirs will enhance the overall ecosystem. Water  
437 conservation in such areas can be a tool to minimize the impact of climate change as well.  
438 Through new legal approaches and proper guidelines to address environmental aspects, specific  
439 PSH locations can be developed after a detailed study. Regulating agencies like Nepal  
440 Electricity Authority can develop and operate PSH projects as a daily load-balancing tool. It  
441 facilitates the optimized operation of its run-of-river and storage projects. Also, it helps to  
442 manage anticipated spilled energy in the near future.

443

## 444 **5. Conclusion**

445           In this study, we configured a geospatial model to identify the potential of PSH across  
446 the Nepal Himalayas under multiple configurations by pairing lakes, hydropower projects,  
447 rivers, and available flat terrain, and consequently estimate the energy storage capacity. Our  
448 study applied a novel approach of reservoir pairing for each prospective reservoir to form the  
449 technically suitable pair from multiple configurations of the second reservoir. Applying  
450 technical constraints, we obtained technically feasible locations with grid access based on the  
451 Government of Nepal's master plan of the transmission network. Finally, the exploitable  
452 locations and current energy storage potential were identified by employing environmental  
453 constraints and existing grid facilities. We summarize below the key findings from this study:

- 454           ● The exploitable F2R locations were substantially larger and more widely distributed  
455           across the country compared to other configurations.
- 456           ● The overall distribution of technically and theoretically feasible locations is more  
457           concentrated in mid-hills and southern plains.
- 458           ● In total, 3012 GWh is estimated as theoretical potential and 1269 GWh (42% of  
459           theoretical) as technical potential across the Nepal Himalayas.

460           PSH's large potential for energy storage in the Nepal Himalayas is a precursor for Nepal  
461 to become a seasonal power hub in the region. Furthermore, in the South Asia region, there is  
462 a seasonal complementarity in the power system among the countries [88]. Despite  
463 implementation at the national scale, the methods and models developed in this study are quick,  
464 simple, and generalizable, making their application feasible at regional and global scales. It is  
465 to be noted that the identified PSH potential might alter with future environmental and

466 anthropogenic activities such as hydroclimatic variability, land use land cover changes, and  
467 new infrastructure developments (e.g., dams and reservoirs), and upstream-downstream  
468 linkages. Developing PSH infrastructure often requires a higher upfront investment; therefore,  
469 more research is needed on the integrated PSH system, grid connections, and economic model  
470 to inform the future development of PSH.

471

#### 472 **CRedit Authorship Contribution Statement**

473 **Rupesh Baniya:** Conceptualization, Methodology, Software, Formal Analysis, Investigation,  
474 Writing- original draft, Visualization. **Rocky Talchabhadel:** Conceptualization,  
475 Methodology, Formal Analysis, Writing – review & editing, Visualization. **Jeeban Panthi:**  
476 Conceptualization, Methodology, Data curation, Writing – review & editing. **Ganesh R**  
477 **Ghimire:** Conceptualization, Methodology, Writing – review & editing, Visualization.  
478 **Sanjib Sharma:** Conceptualization, Methodology, Writing – review & editing. **Prithvi**  
479 **Dhwoj Khadka:** Software, Formal Analysis, Writing - review & editing. **Sanghoon Shin:**  
480 Methodology, Formal analysis, Writing – review & editing. **Yadu Pokhrel:** Methodology,  
481 Formal analysis, Writing – review & editing. **Utsav Bhattarai:** Writing – review & editing.  
482 **Rajaram Prajapati:** Writing – review & editing. **Bhesh Raj Thapa:** Writing- review &  
483 editing. **Ramesh Kumar Maskey:** Conceptualization, Methodology, Writing – review &  
484 editing, Supervision.

485

#### 486 **Declaration of Competing Interest**

487 The authors declare that they have no known competing financial interests or personal  
488 relationships that could have appeared to influence the work reported in this paper.

489

490 **Data Availability**

491 Some or all data, models, or codes that support the findings of this study are available from the  
492 corresponding author upon reasonable request.

493

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