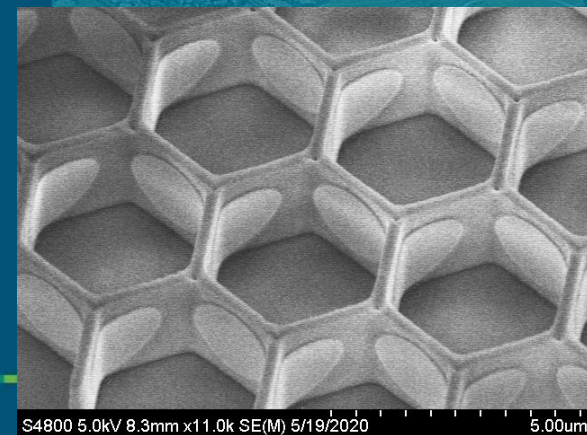
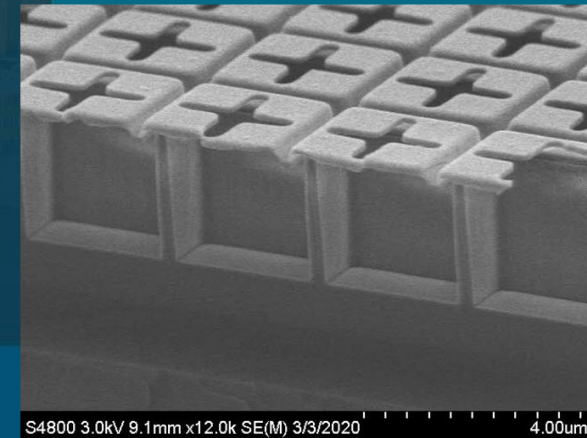
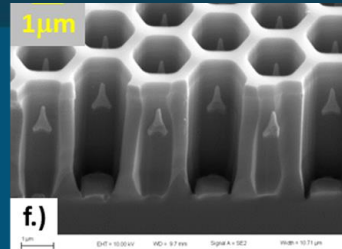
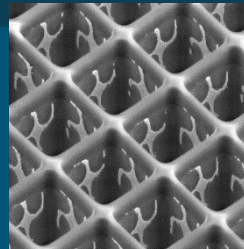
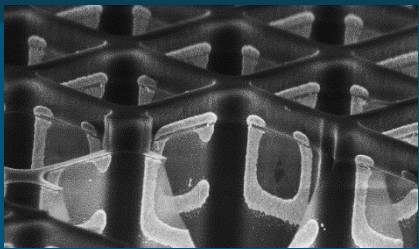




Fabrication and Characterization of Large Area Plasmonic Metasurface Lenses



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dbburck@sandia.gov

Frontiers in Optics 2022

Rochester, NY

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Sandia National Laboratories is a multimission laboratory managed and operated by National Technology & Engineering Solutions of Sandia, LLC, a wholly owned subsidiary of Honeywell International Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

SAND2022-7216 C

1.Lenses

2.Membrane Projection Lithography

3.Genetic Algorithm Design

4.Metal-dependent Defects

5.Preliminary Lens Characterization



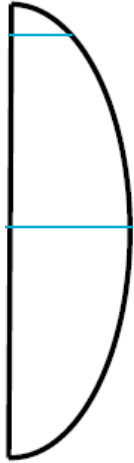
Lenses

Creating Lenses



Refractive Lens

Phase due to propagation through high index medium



Isaac Newton

Binary Zone Plate



August-Jean Fresnel

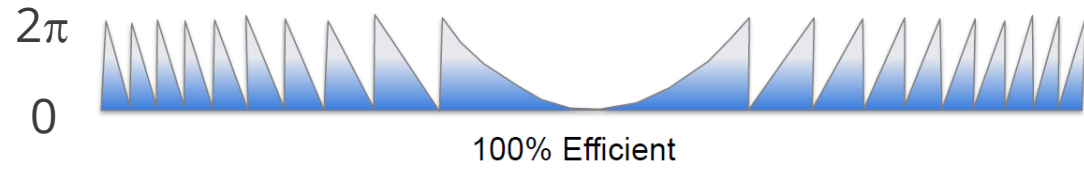
40.4% Efficient

Phase Reversal Zone Plate



Wood (Lord Rayleigh)

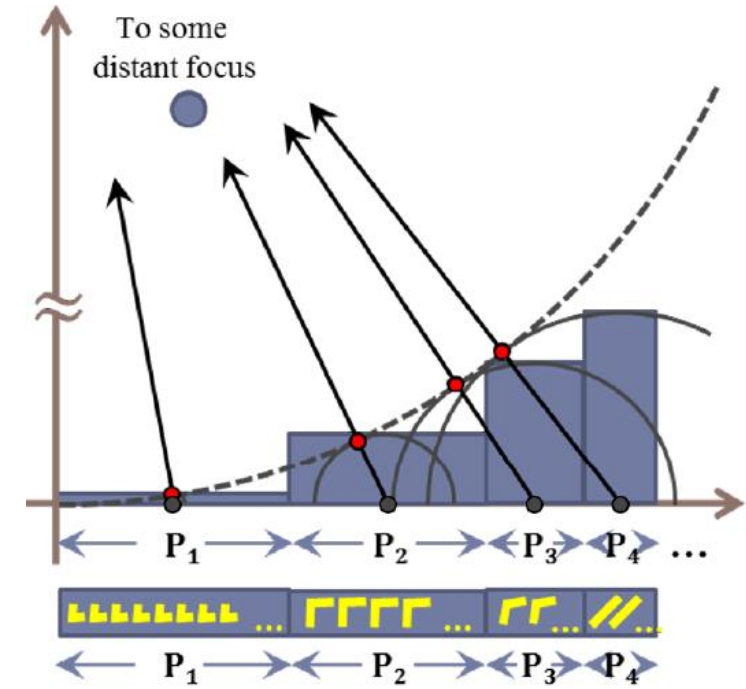
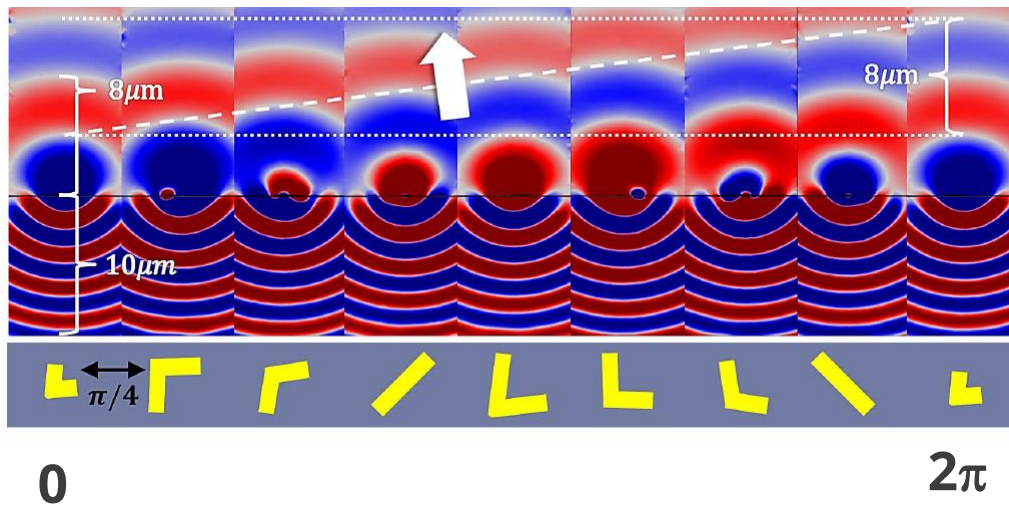
Continuous Phase Distribution



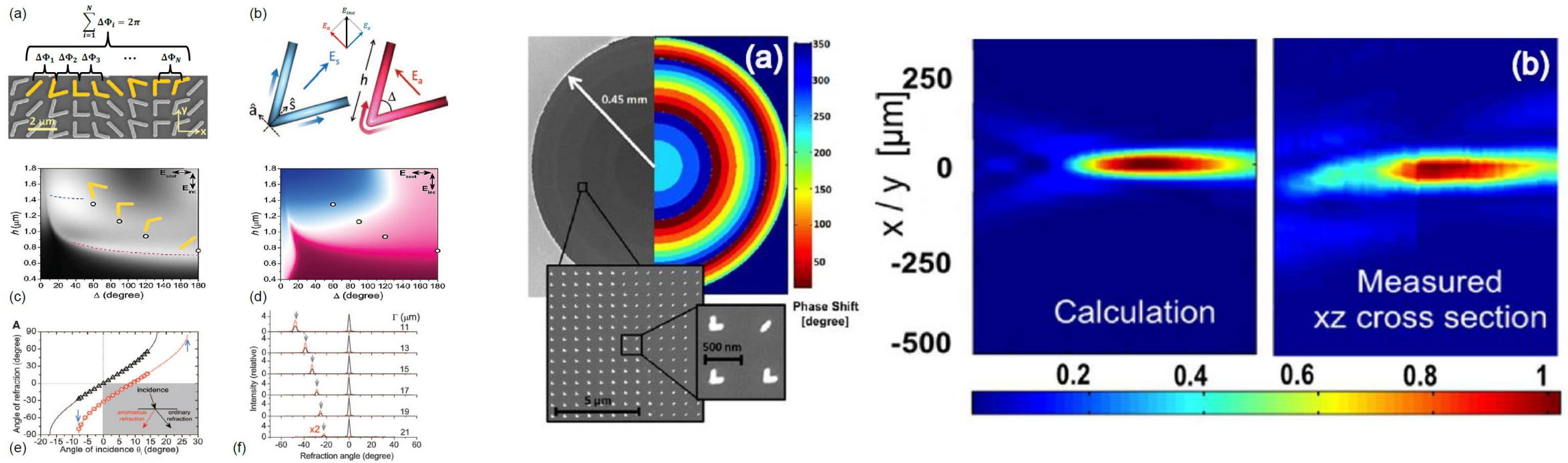
Discrete Approximation Phase Distribution



81% Efficient



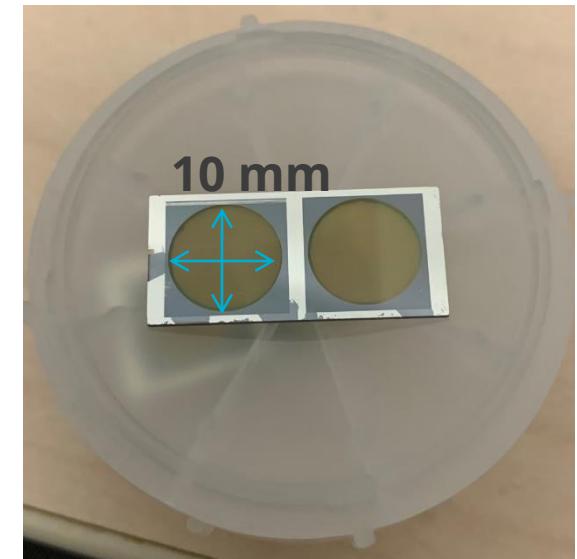
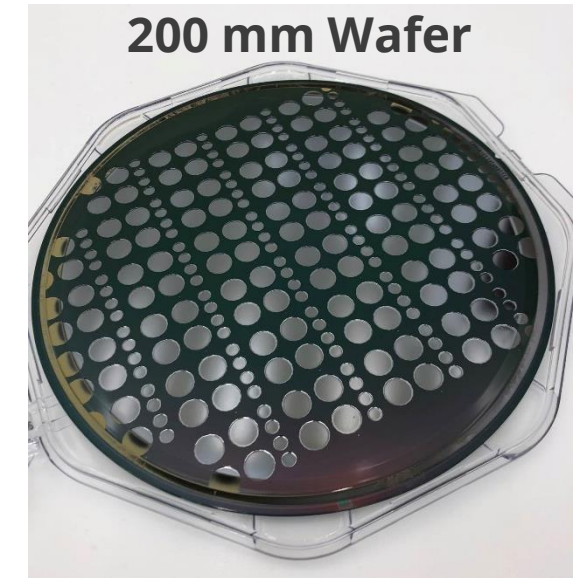
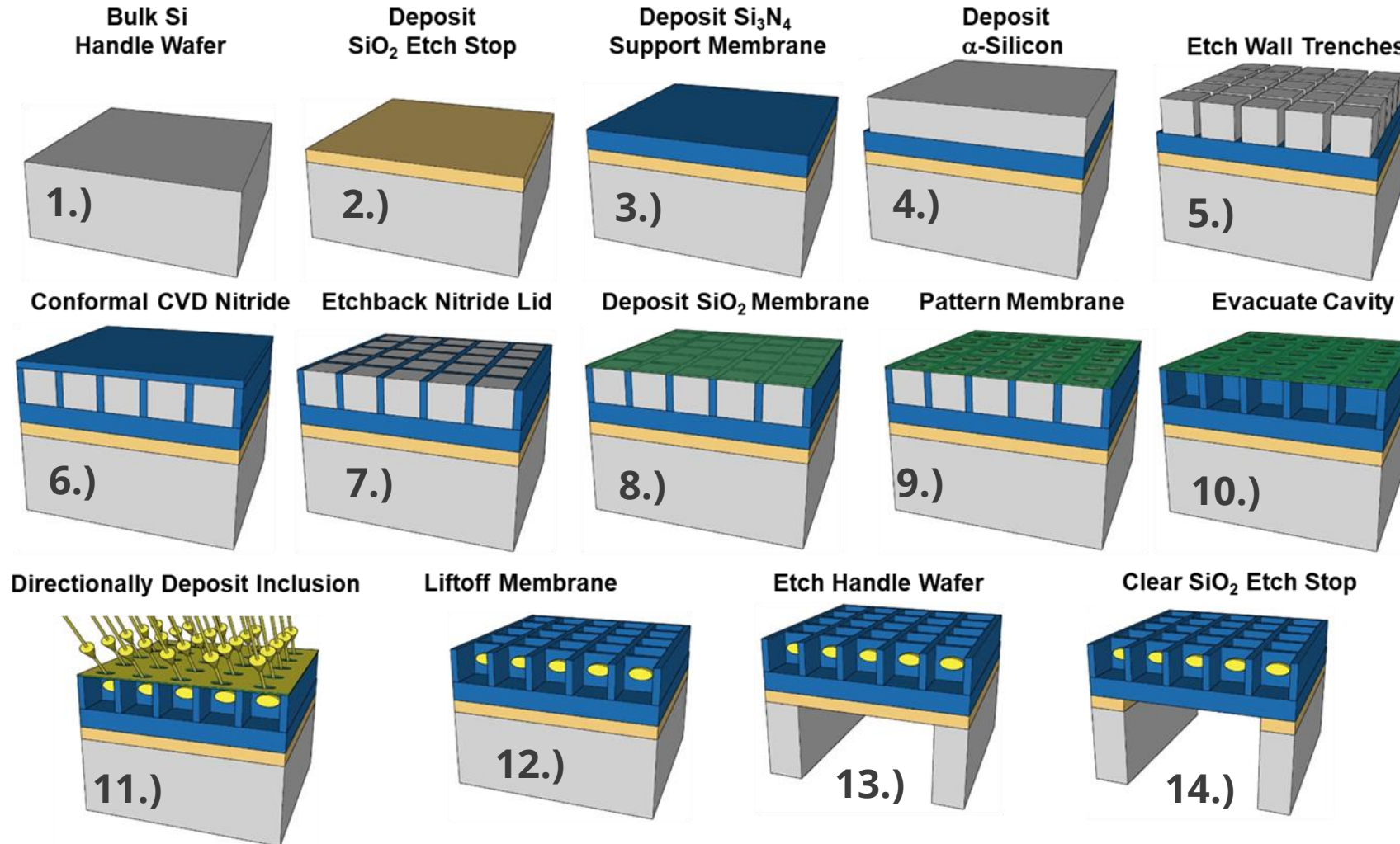
Creating Lenses Using a Metasurface



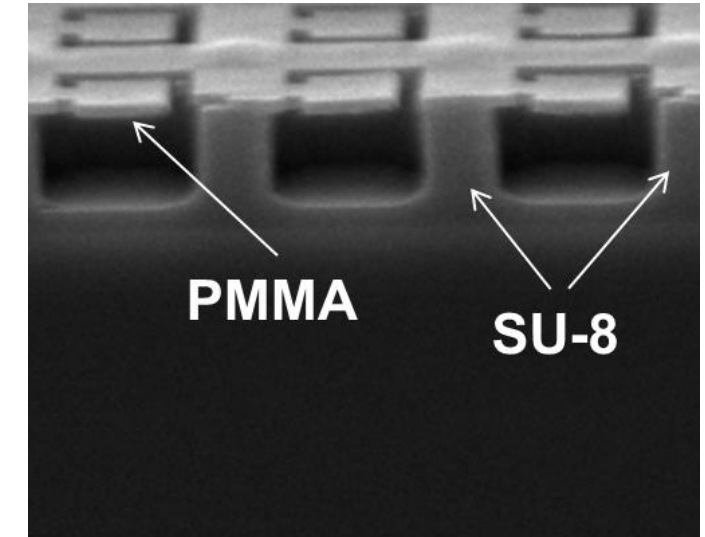
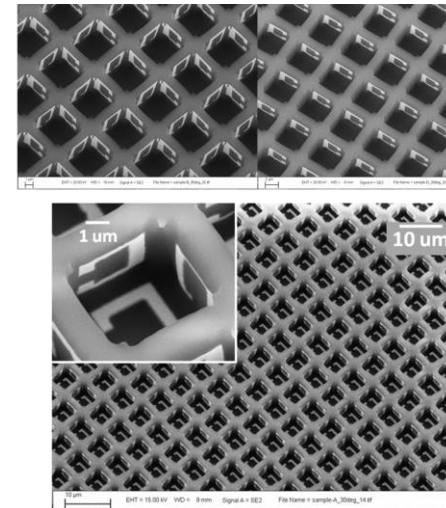
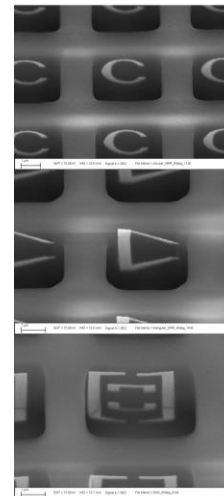
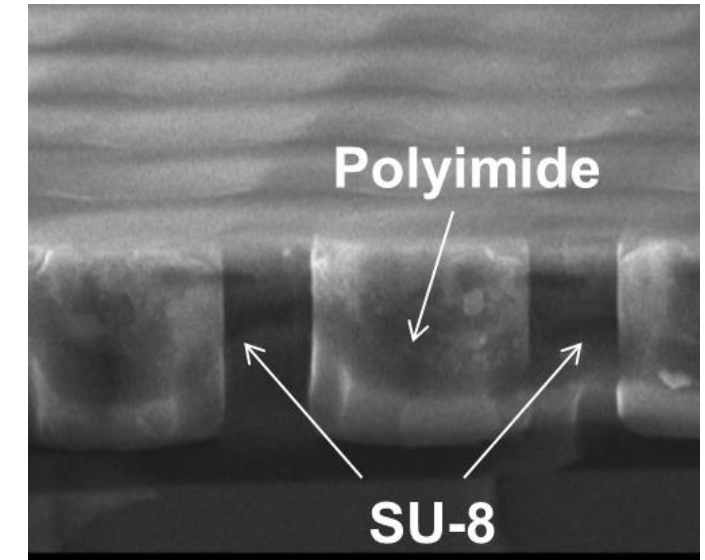
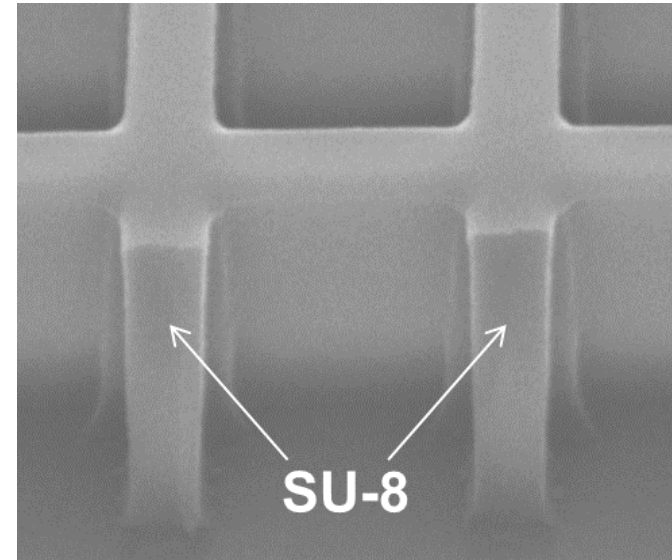
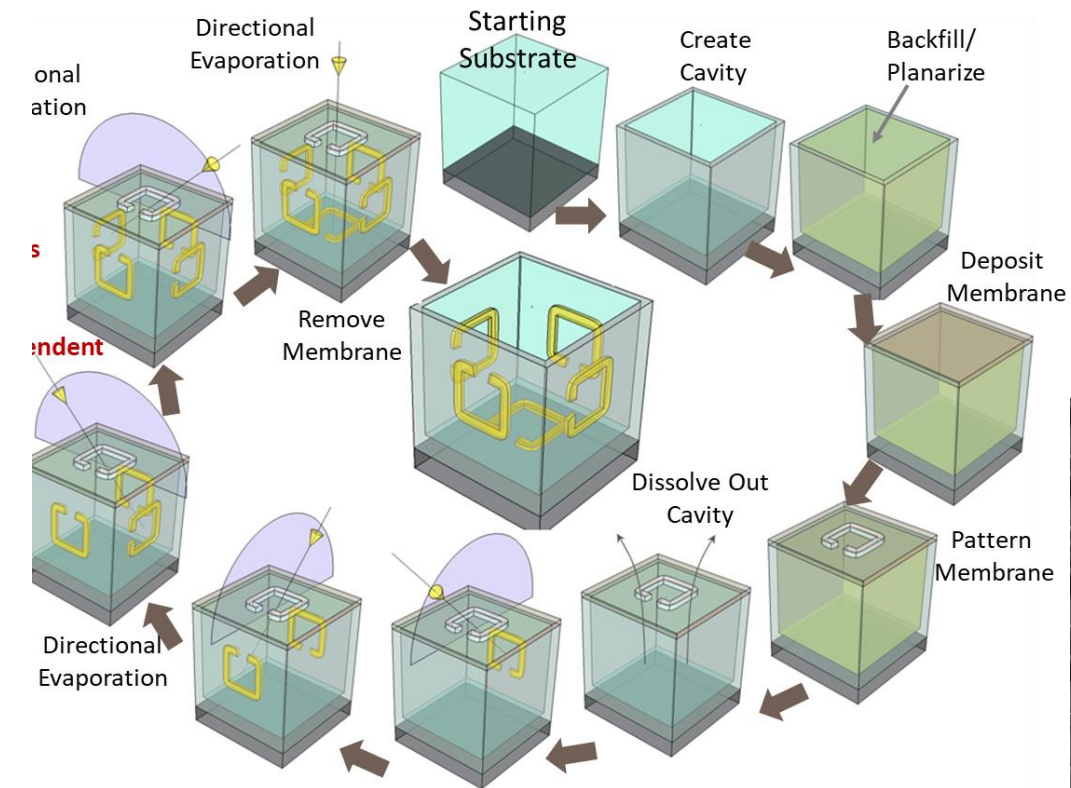
Membrane Projection Lithography

DB Burckel et. al., "Micrometer-scale cubic unit cell 3D metamaterials"
Adv. Mater. **22** 5053-5057 (2010).

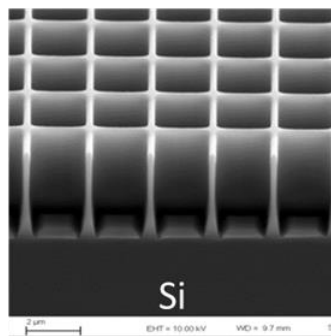
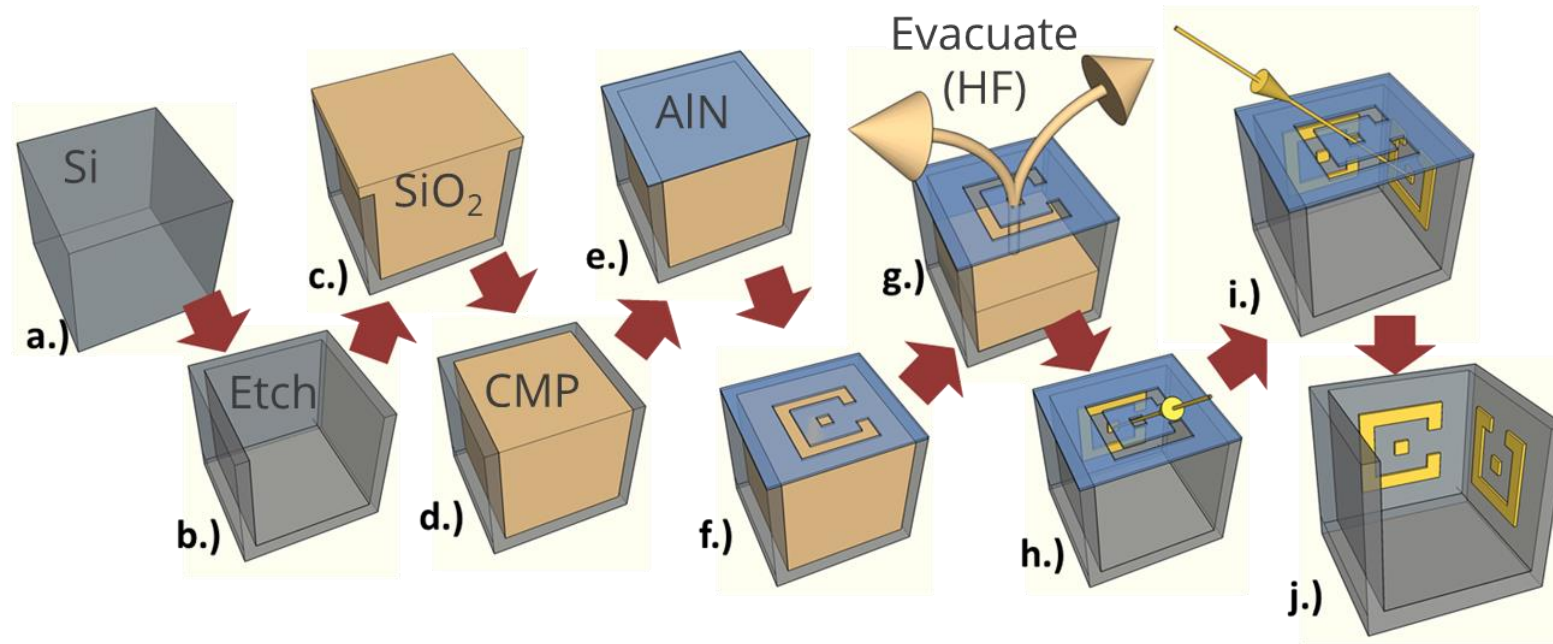
Wall-First Membrane Projection Lithography Process Flow (2020)



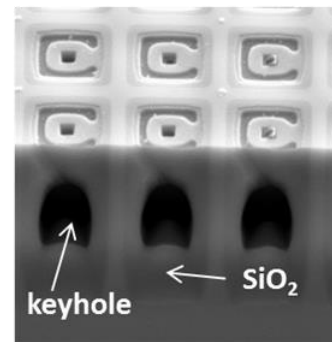
Polymer-Based Membrane Projection Lithography (2009)



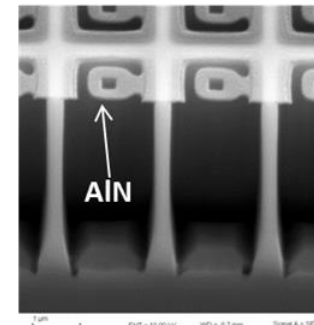
CMOS Compatible MPL (2015)



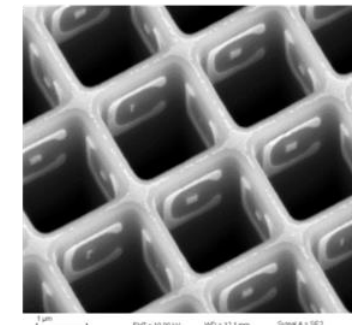
k.)



l.)



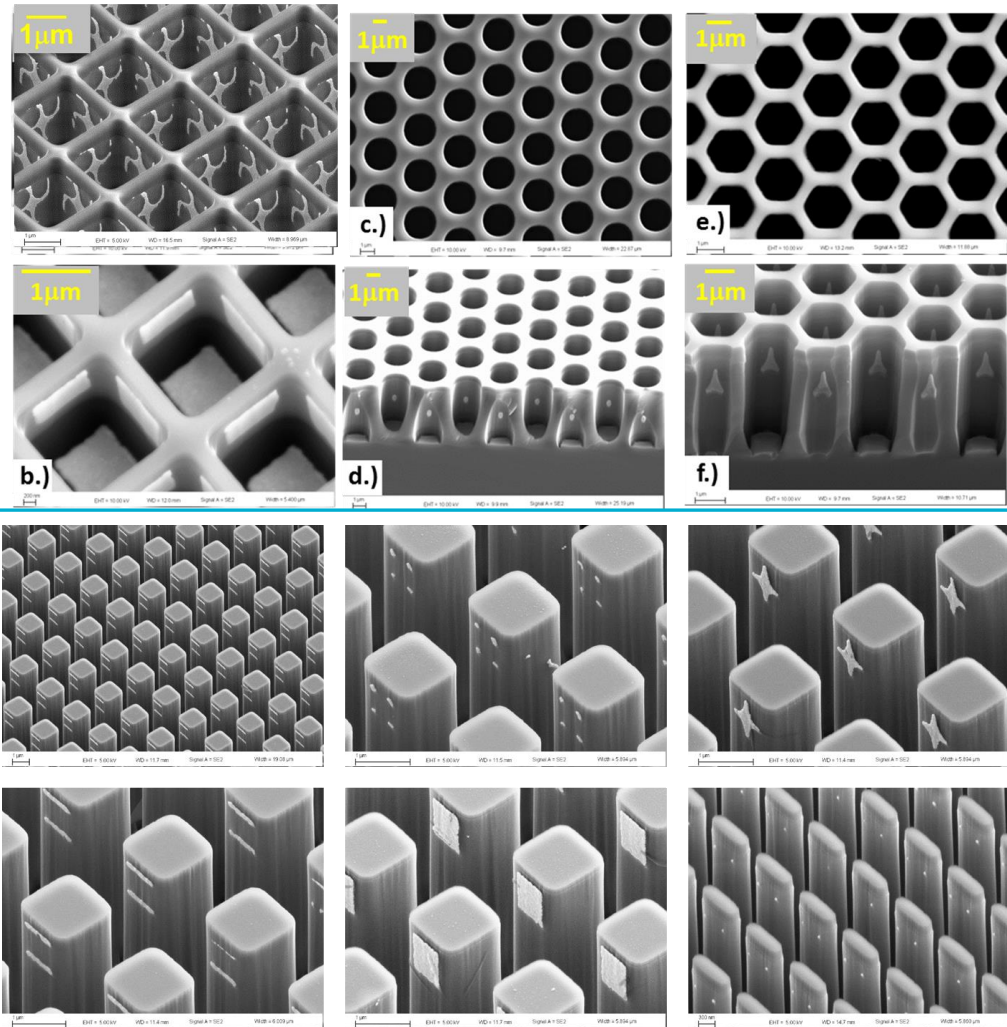
m.)



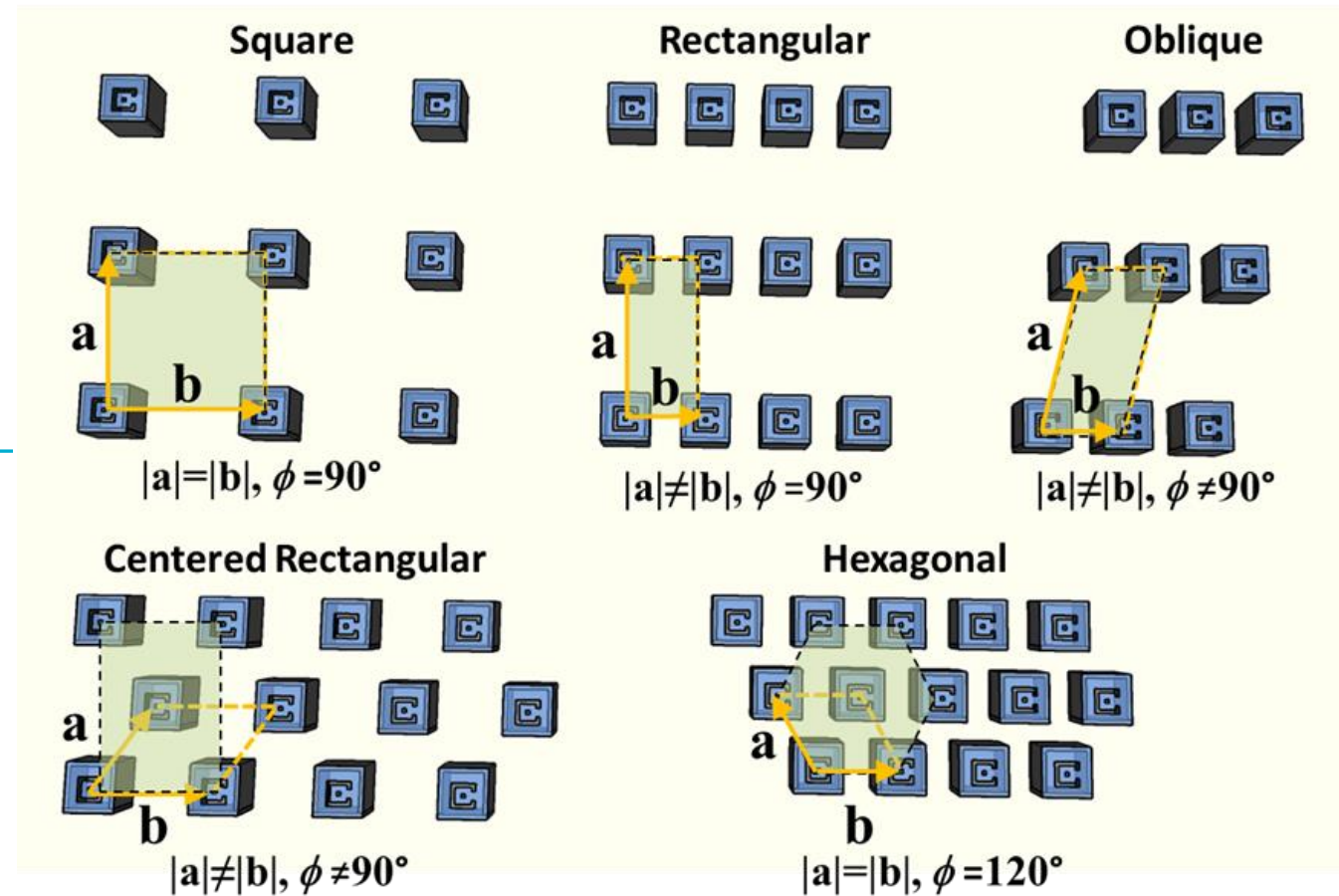
n.)

DB Burckel et. al., "Micrometer-scale fabrication of complex 3D lattice + basis structures in silicon" Opt Mat.. Exp. **10** , 2231-2239 (2015).

3D micron-scale Metamaterials



Any In-plane Lattice

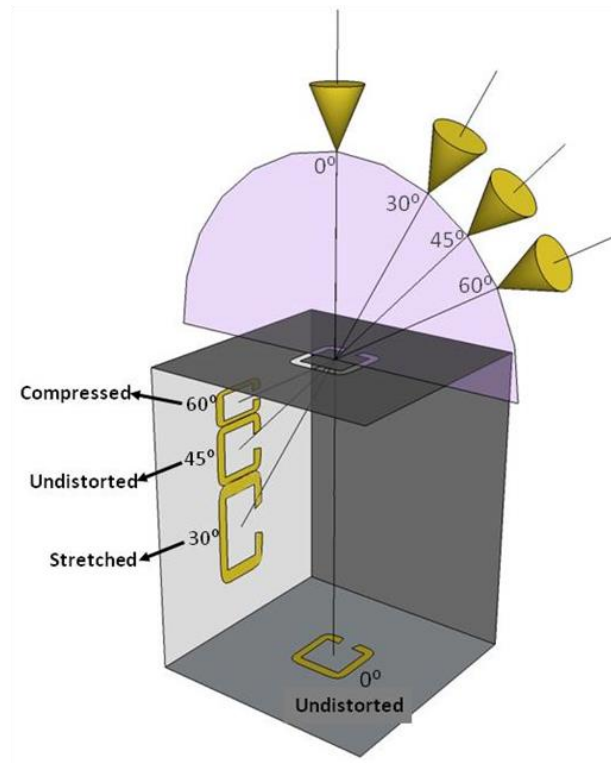


DB Burckel et. al., "Micrometer-scale fabrication of complex 3D lattice + basis structures in silicon"
 Opt Mat.. Exp. **10**, 2231-2239 (2015).

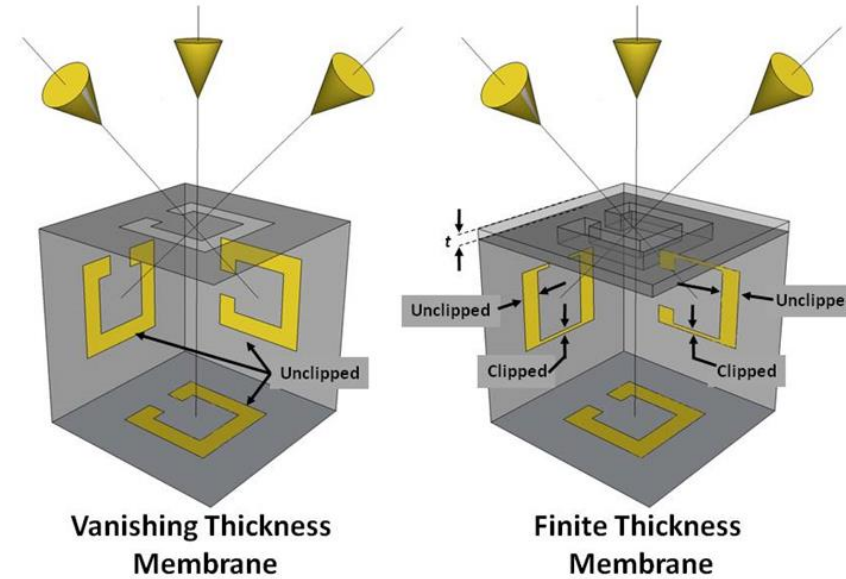
Sources of MPL Pattern Distortion



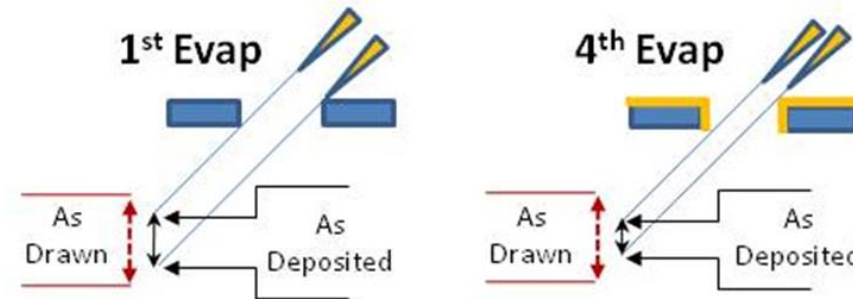
Projection at 45°
Preserves Pattern
Shape in Cubic Geometries



Real Membranes result in Linewidth Clipping



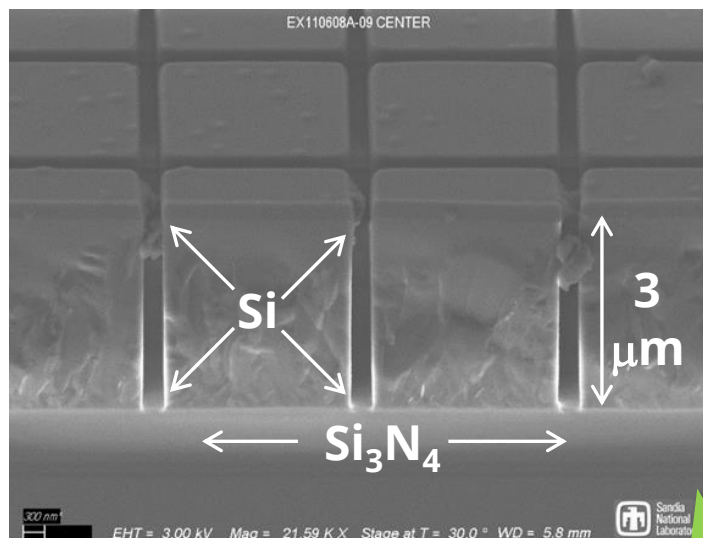
Multiple Evaporations result in Linewidth Thinning



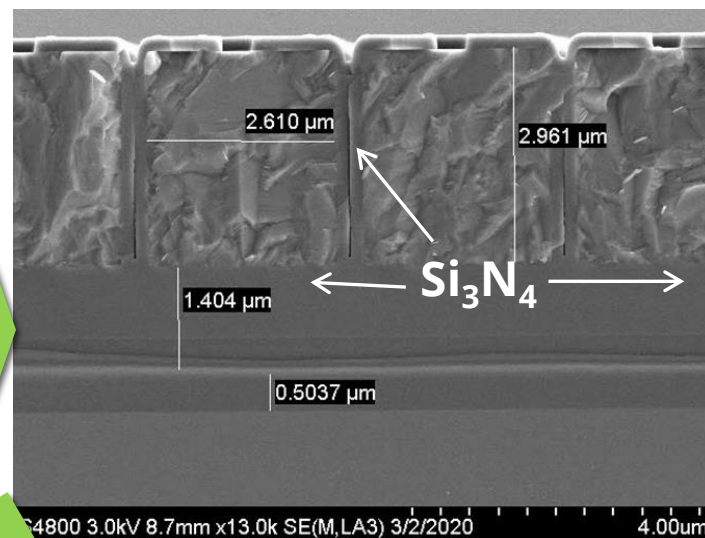
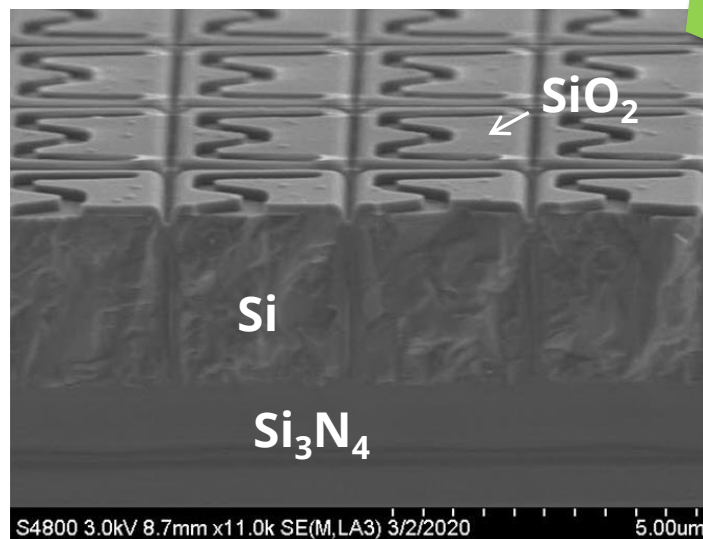
Process Flow Snapshot SEMs



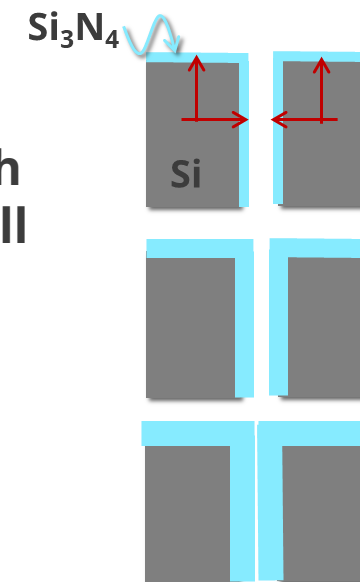
Trench Etch



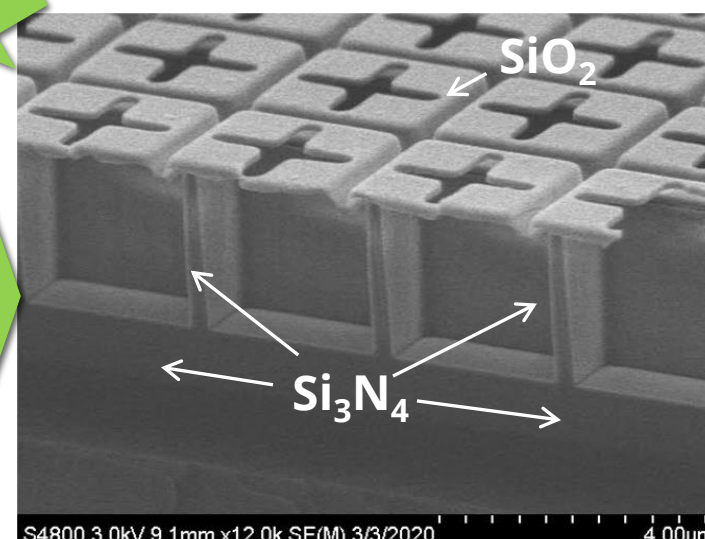
Membrane Patterning



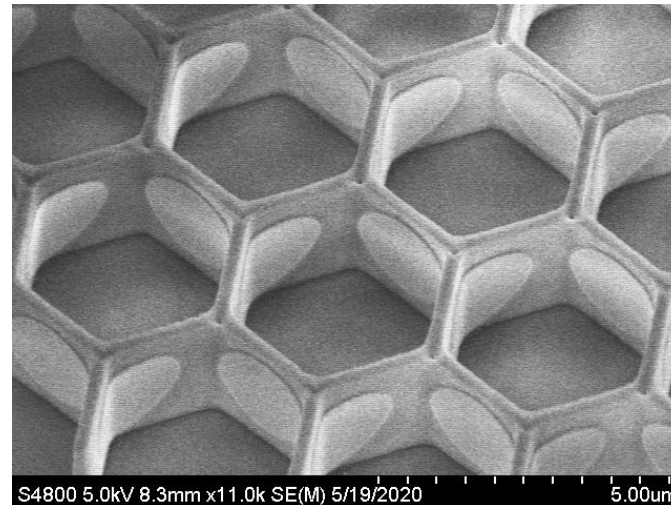
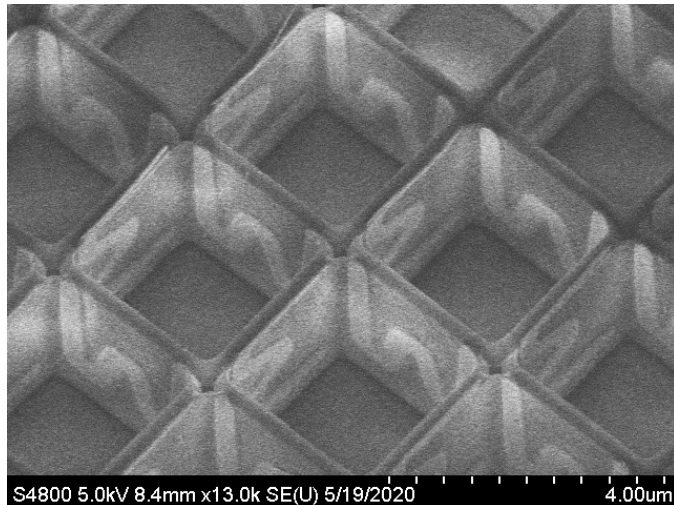
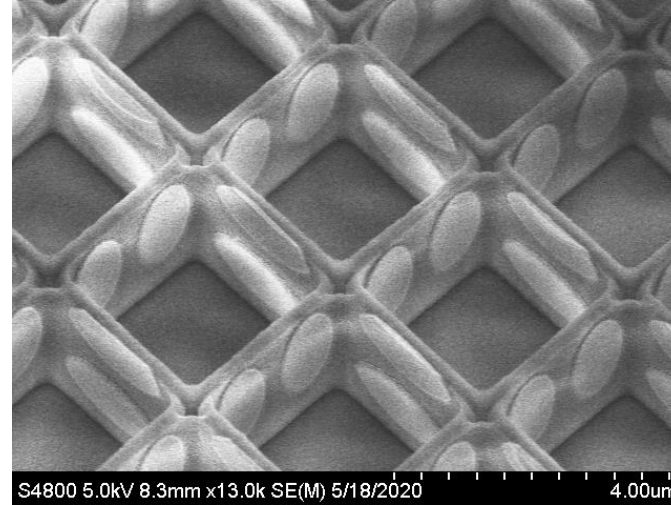
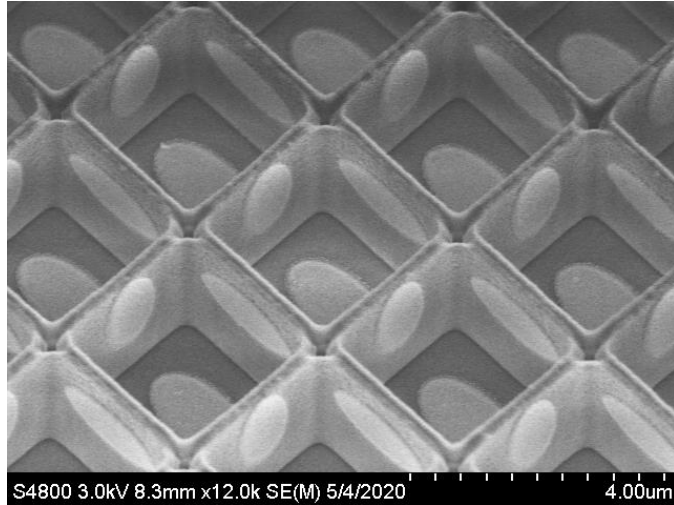
Trench Backfill



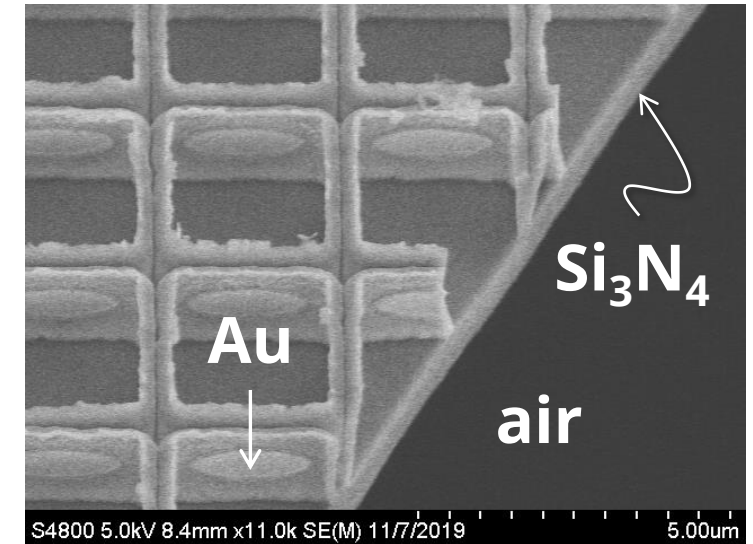
Cavity Evacuation (XeF₂)



Decorated Unit Cells – 3D Metafilms



Handle Wafer Removed



DB Burckel et. al., "Coupling between plasmonic and photonic crystal modes in suspended three-dimensional meta-films"
Opt. Exp. **28** (8), 10836-10846 (2020).

Genetic Algorithm Unit Cell Design

B. Adomanis et. al., "3D plasmonic design approach for efficient transmissive Huygens metasurfaces" Optics Express **27** 20928-20937 (2019).

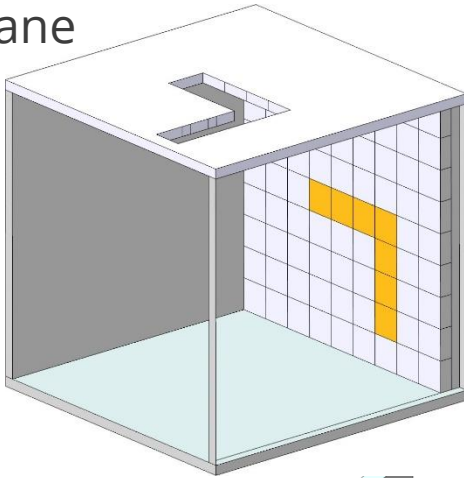
DZ Zhu et. al., "Optimal high efficiency 3D plasmonic metasurface elements revealed by lazy ants" ACS Photonics **6**, 2741-2748 (2019).

EB Whiting et. al., "Broadband asymmetric transmission of linearly polarized mid-infrared light based on quasi 3D metamaterials" Adv. Func. Mater. **32**, 2109659 (2022).

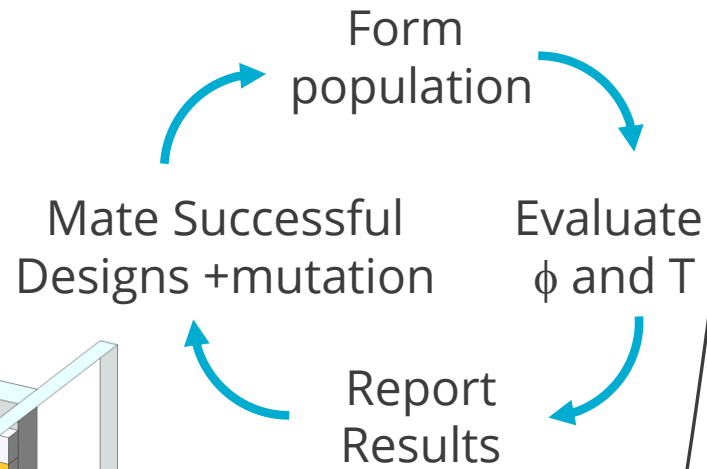
Genetic Algorithm Design of Phase Structures



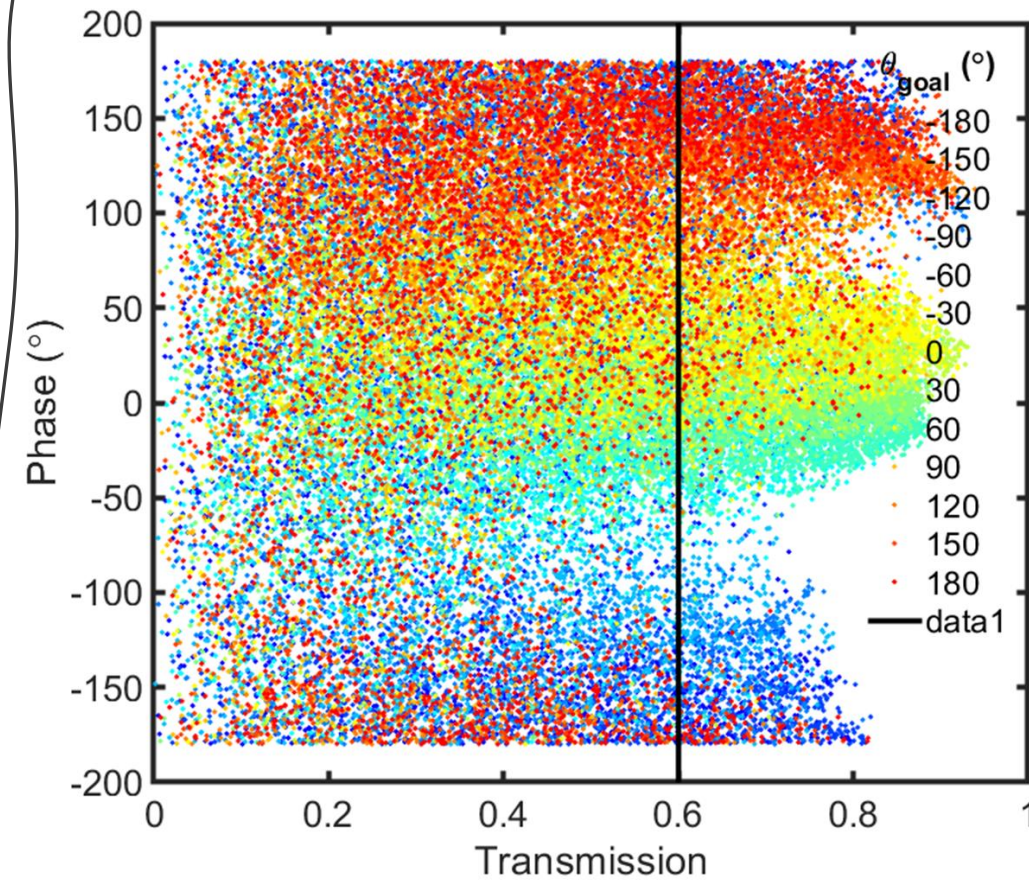
Optimization can be performed by pixelating the wall or the membrane



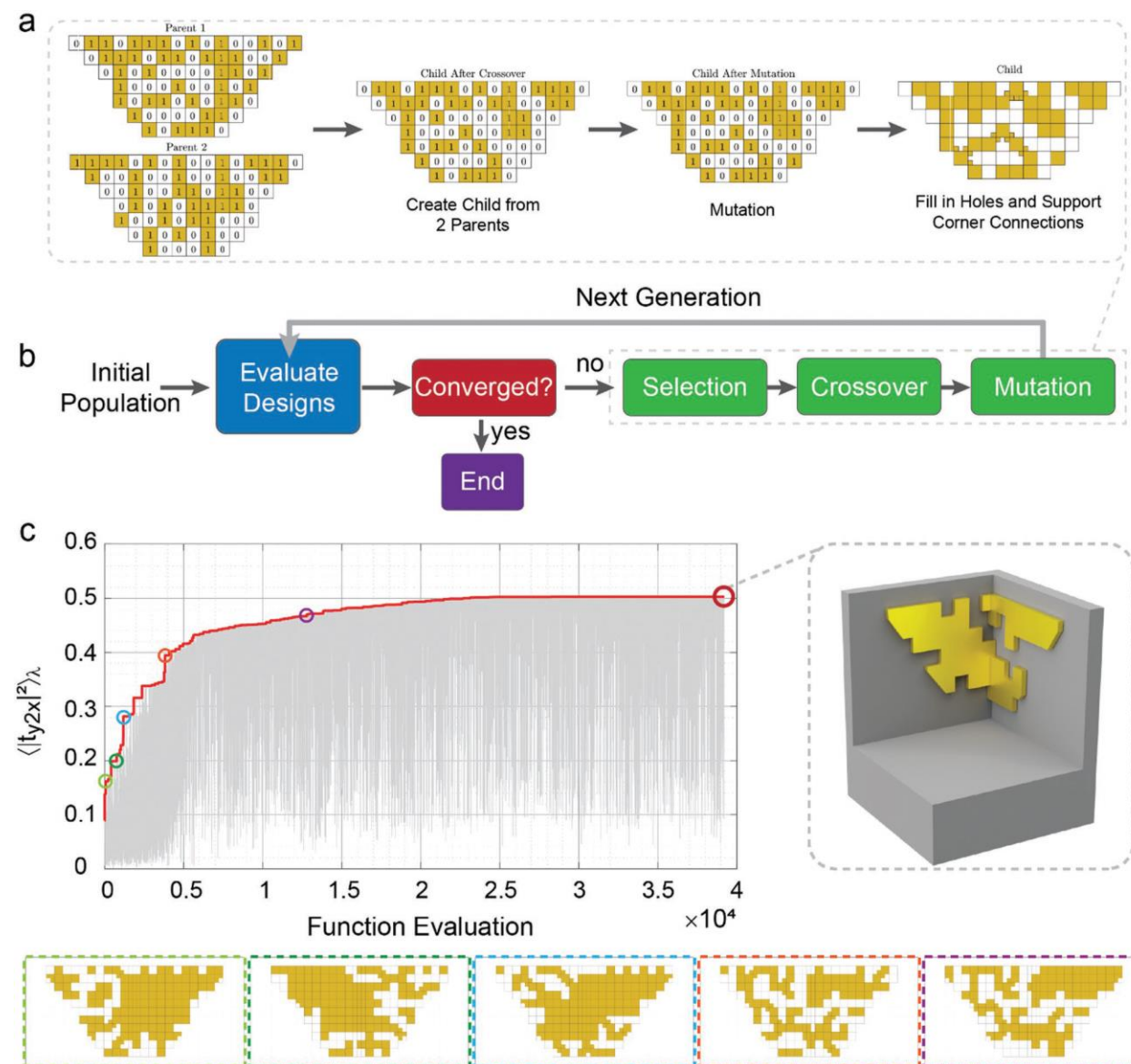
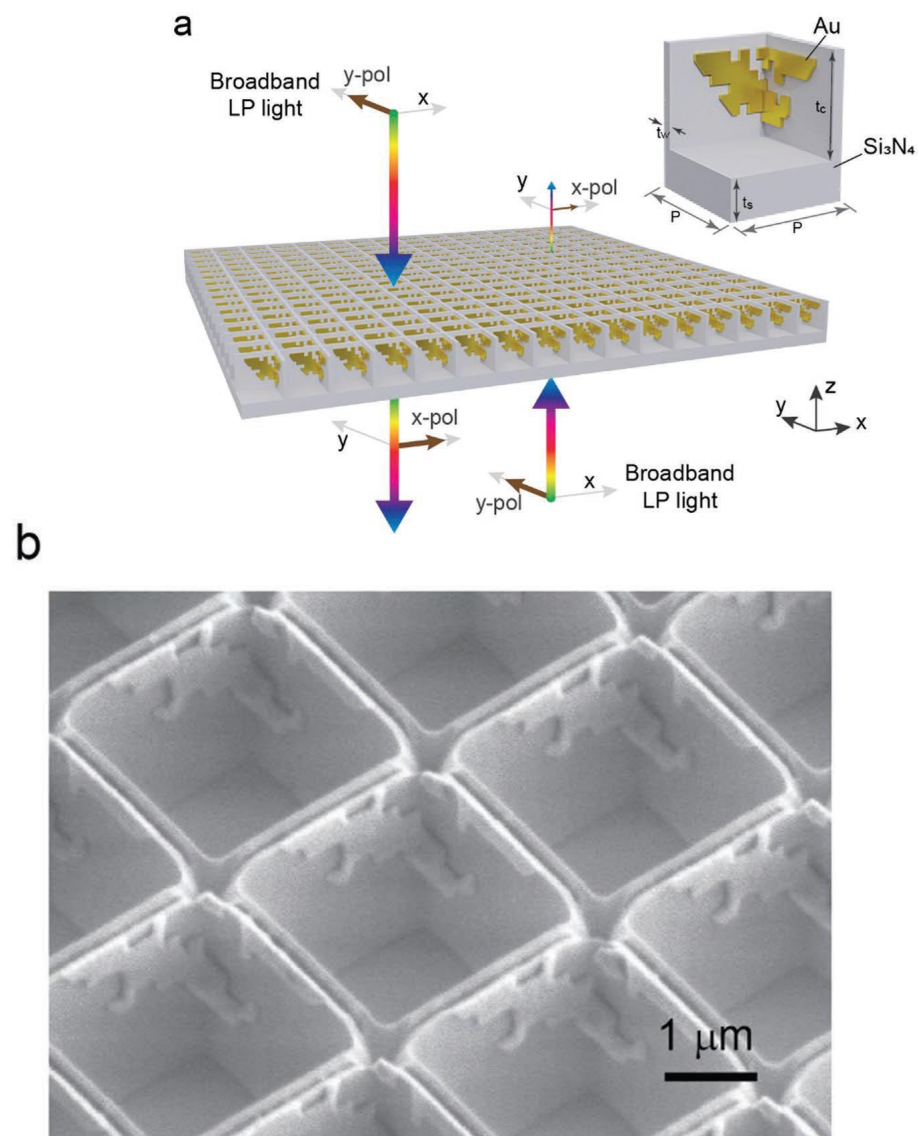
Gold – “1”
Blank – “0”



Threshold Transmission



Design of Asymmetric Transmission Metasurface

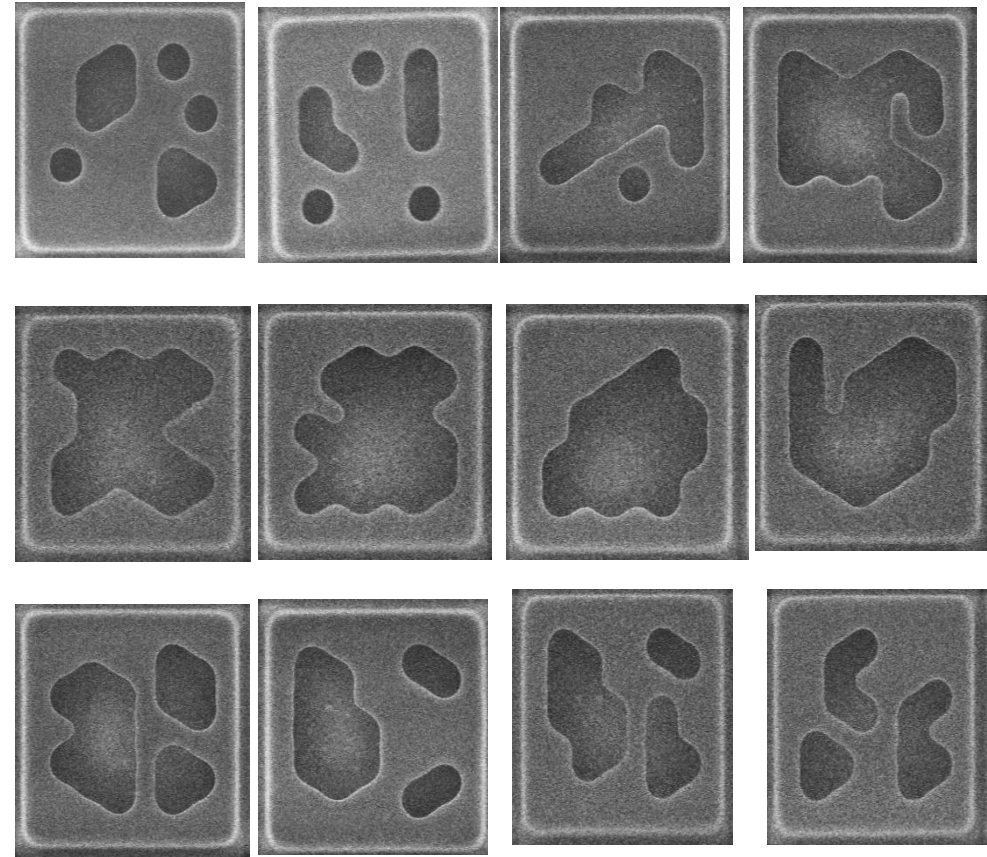
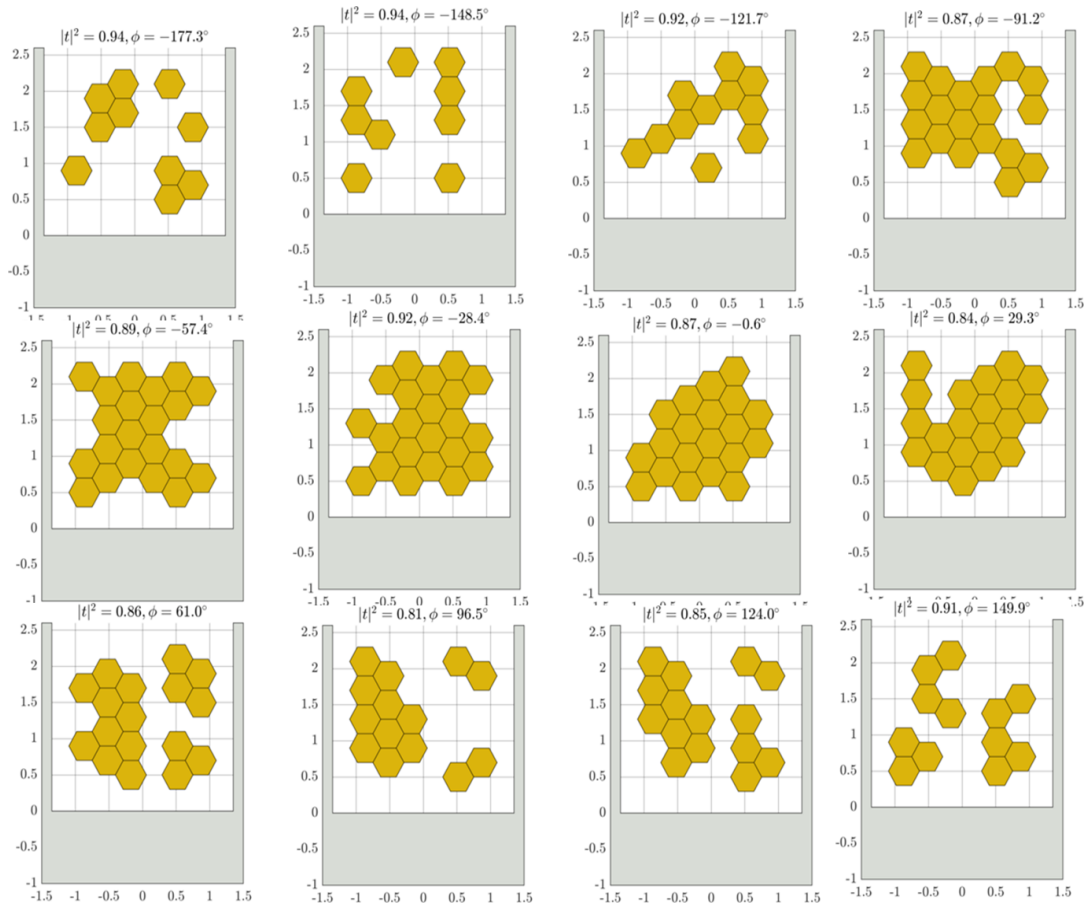


GA Designed Phase Elements – 4um Design wavelength



Phase Elements from Penn State

Membrane patterns prior to evaporation



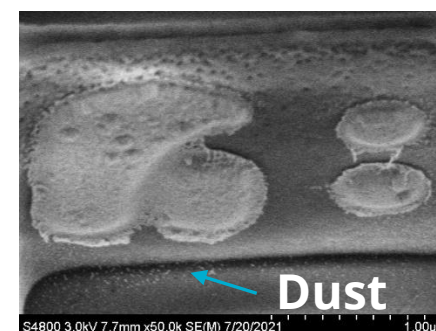
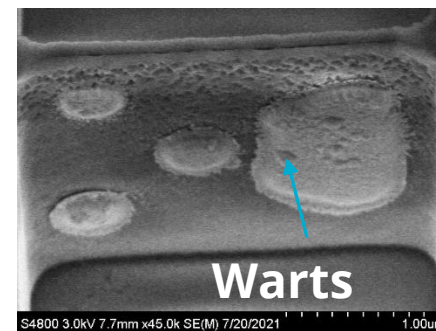
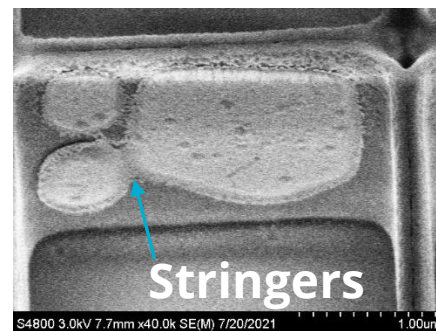
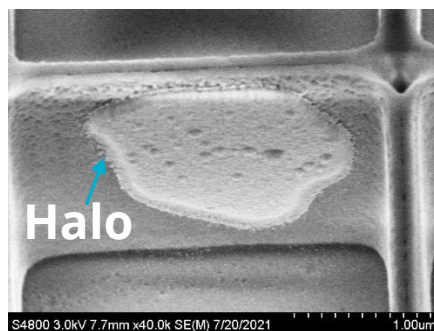


Metal-dependent Defects

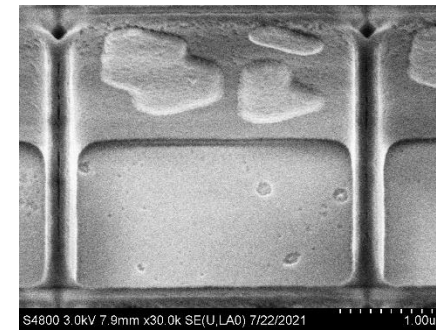
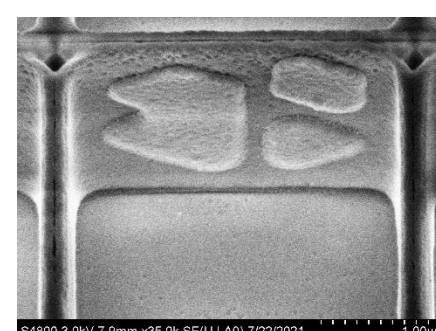
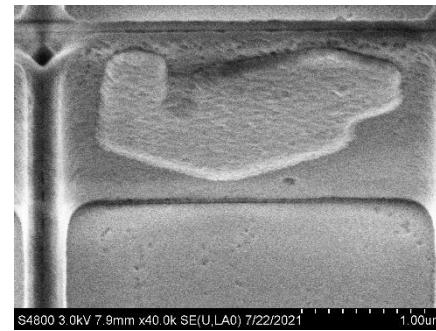
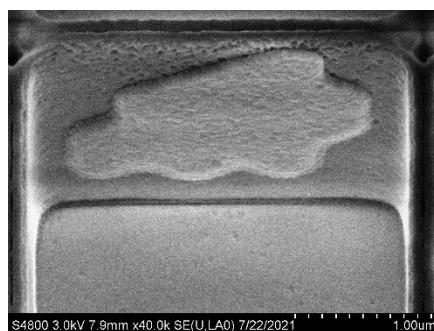
Houston, we have a problem,...



Gold



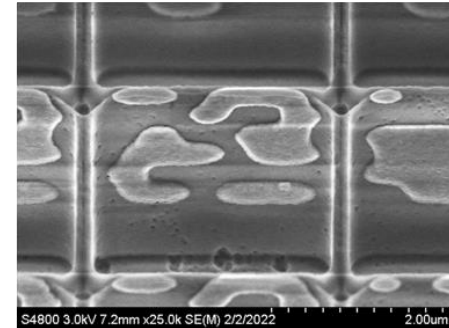
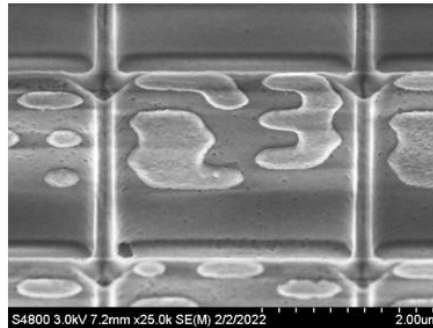
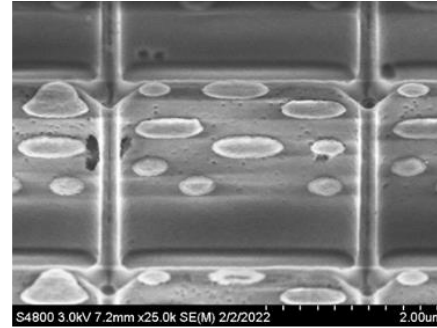
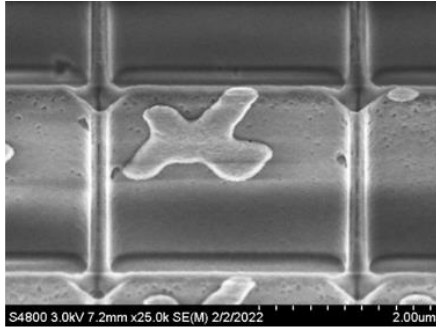
Aluminum



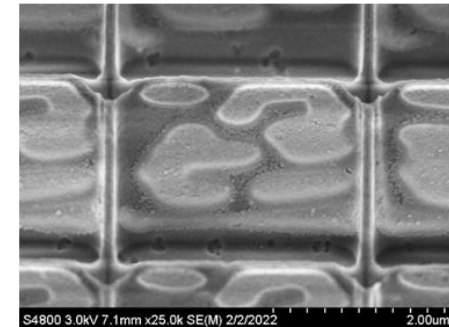
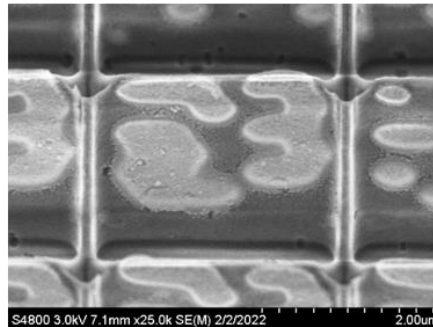
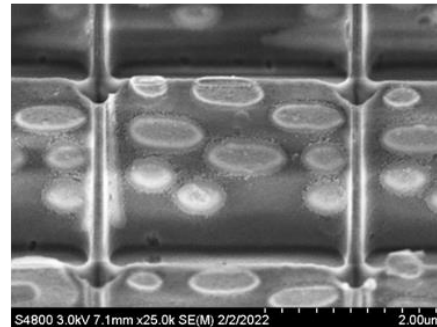
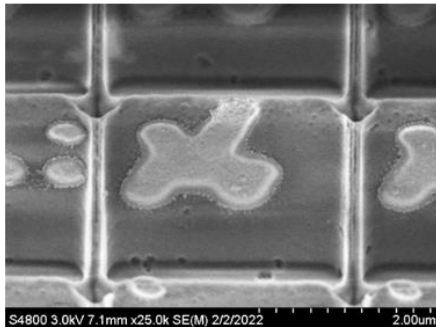
Metal Choice Affects Meta-atom Shape



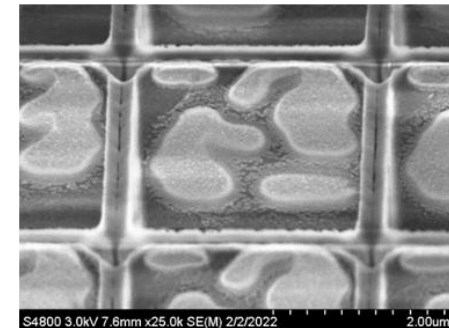
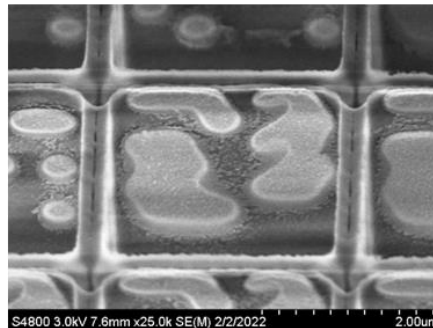
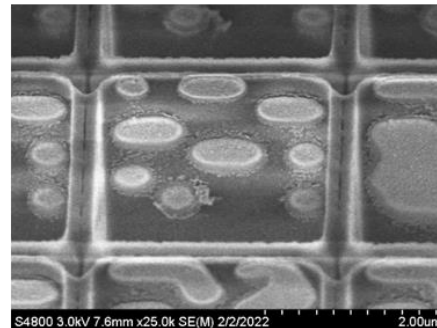
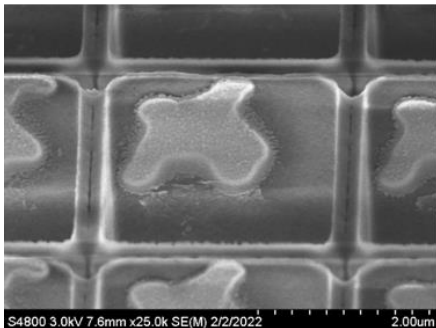
Al



Au



Pt



Pattern fidelity vs melting point



Al
660.3 °C

Au
1064 °C

Cu
1085 °C

Ni
1455 °C

Pt
1768 °C

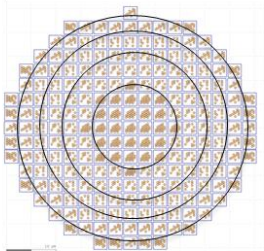
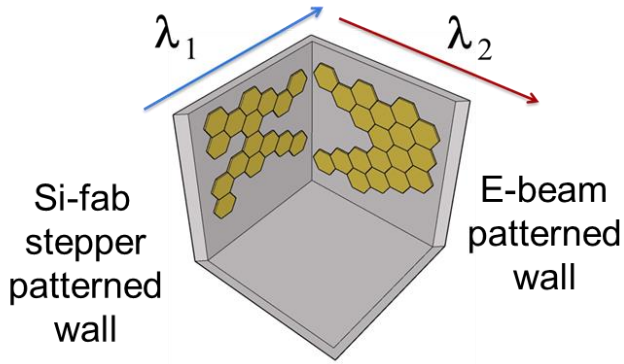
Ta
3020 °C



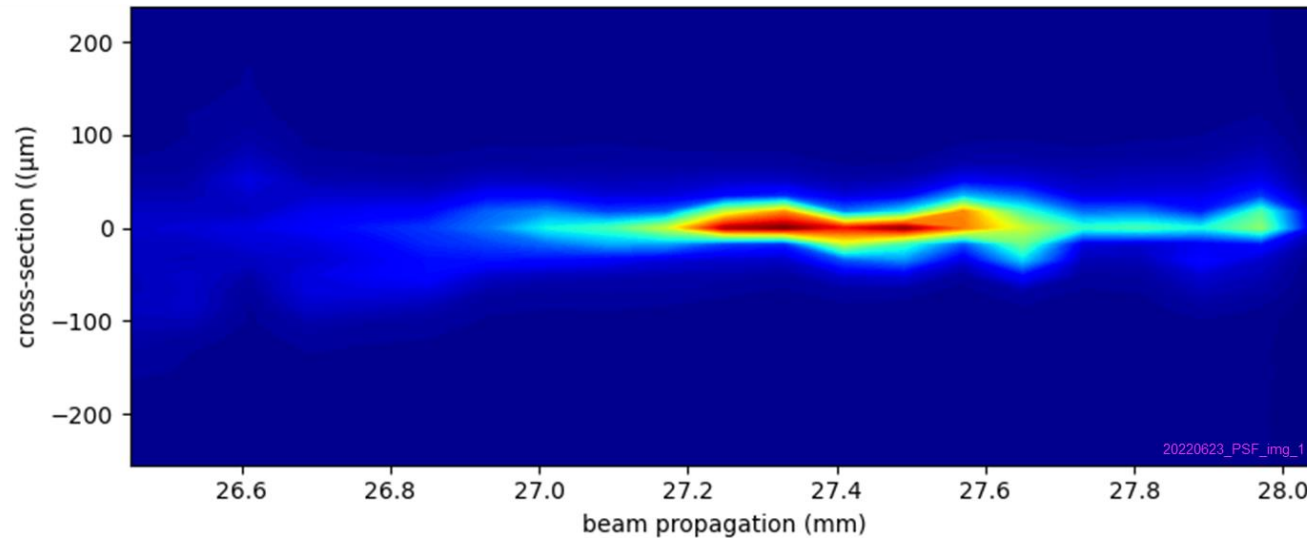
Preliminary Characterization of 2-color MPL – Metasurface Lens



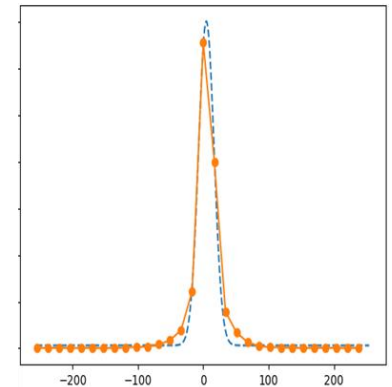
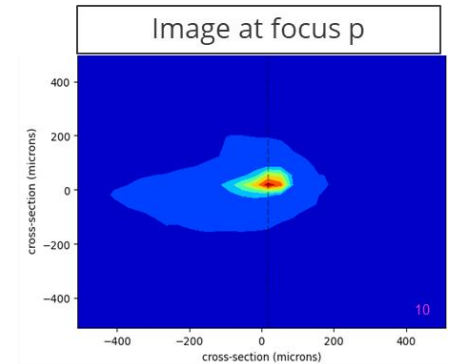
Functionality Enabled at the Unit Cell Level



Lens created by populating aperture with phase elements



Measured Beam waist = $47.24 \mu\text{m}$ (DL = $16.98 \mu\text{m}$)
Measured FL = 27.25 mm

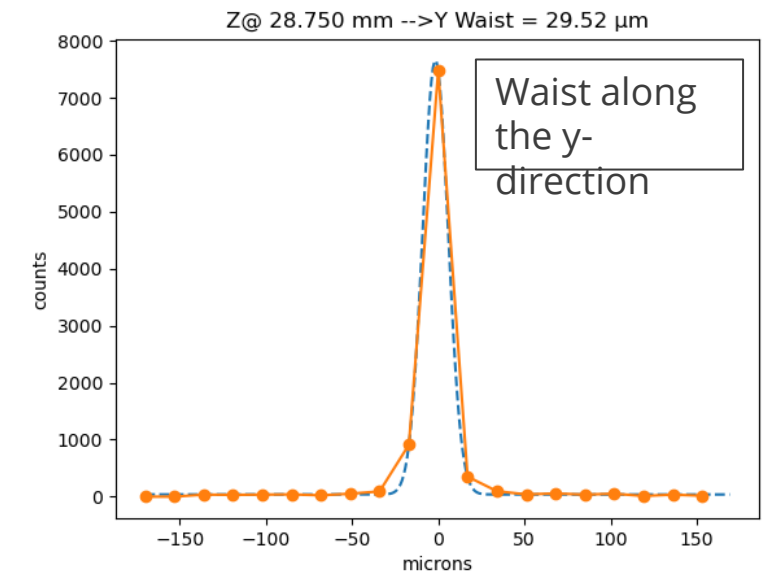
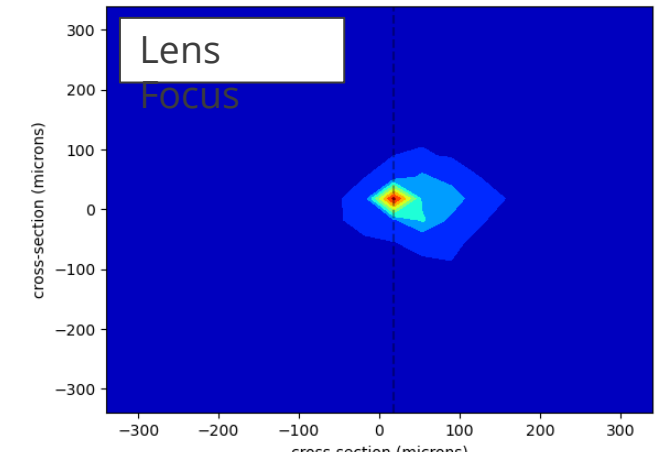
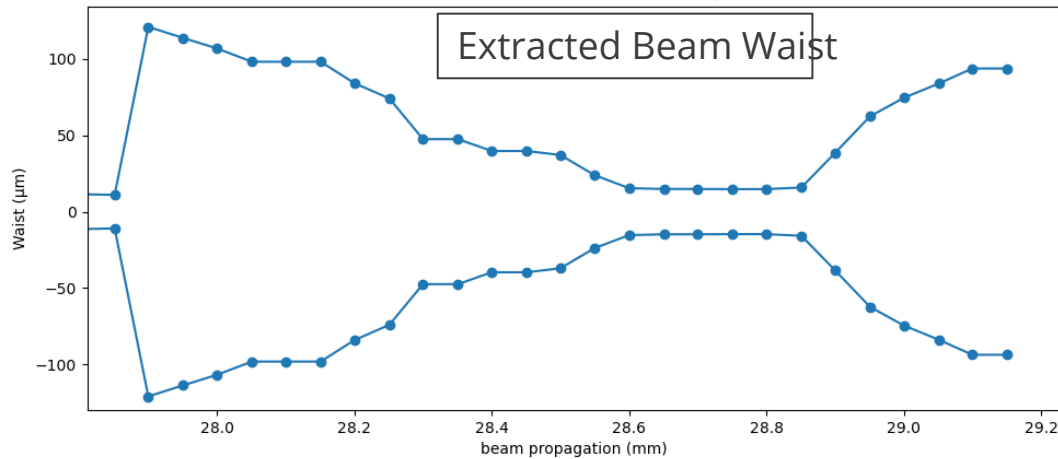
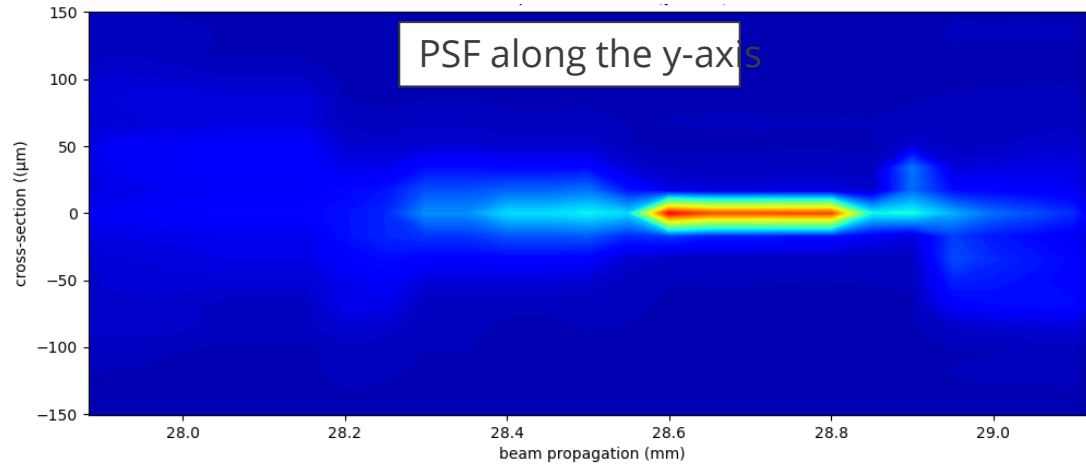


10 mm



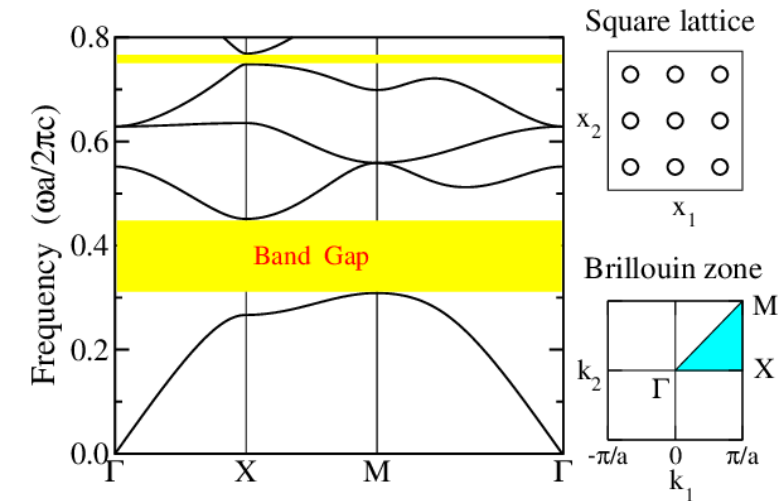
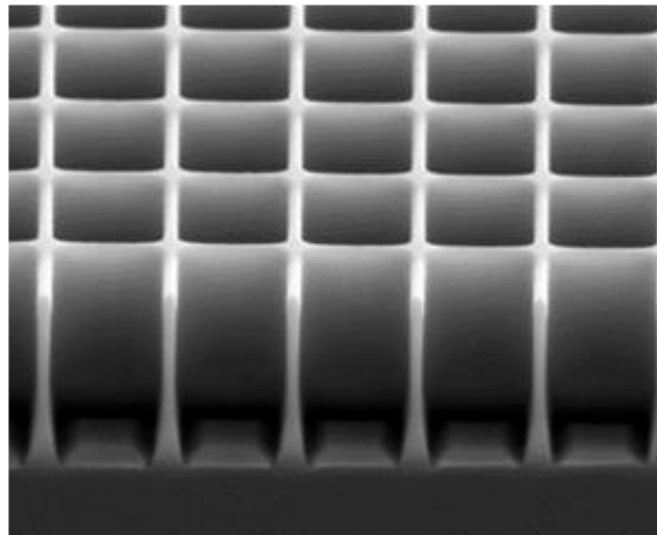
Worked performed by Augustine Urbas and Joel Leger
AFRL

Preliminary Characterization of 1-color MPL – Metasurface Lens

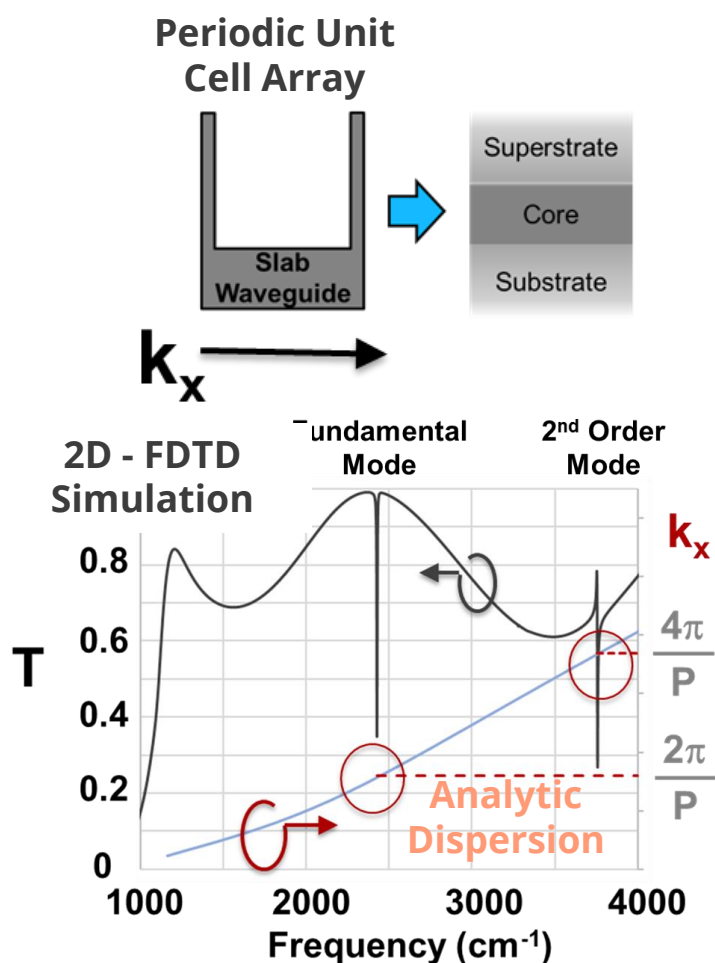


Note the px pitch is 17 and fail to resolve the focus for a couple a points.

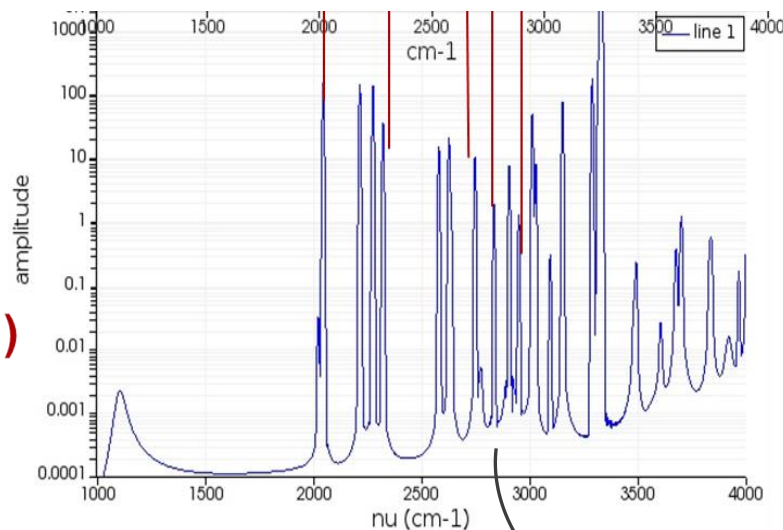
Photonic Crystal Slab Modes



Analyzing the Scattering Spectrum : Empty Boxes

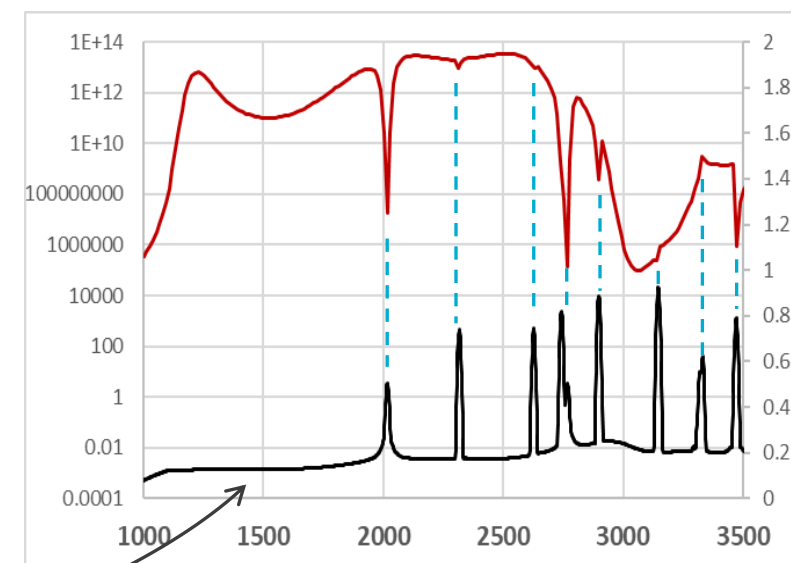


PSD – all allowed modes in matrix



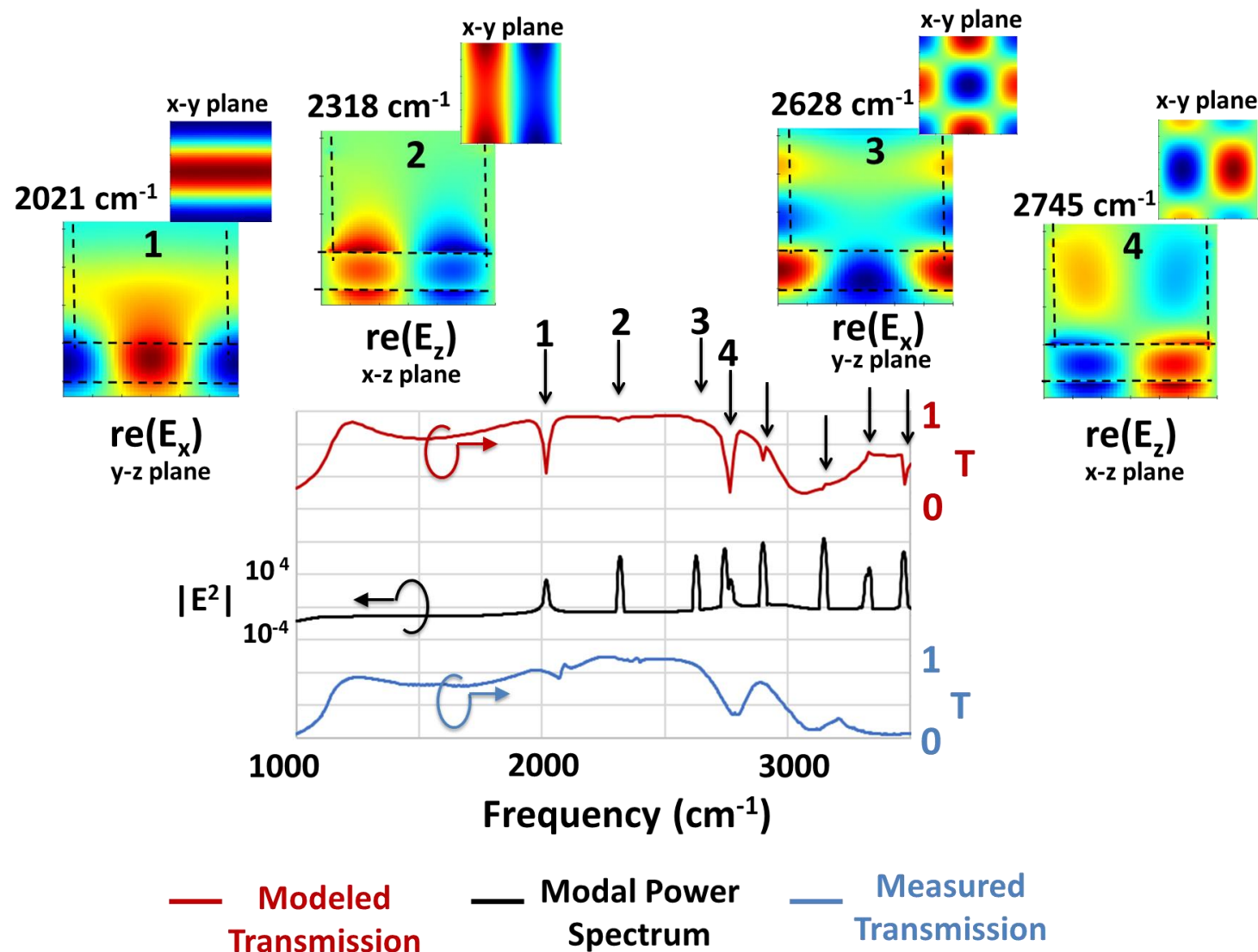
Subset of all
allowed modes

3D - FDTD Simulation



**PSD – modes coupled to
external plane wave
excitation**

Analyzing the Scattering Spectrum: Empty Boxes



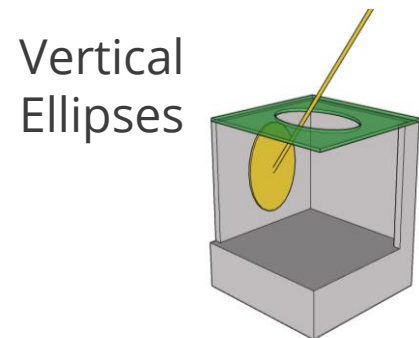
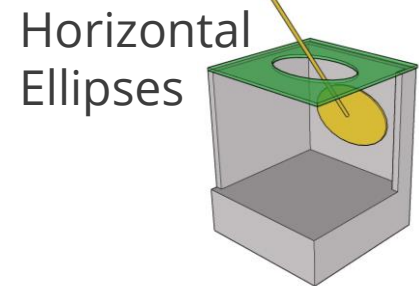
Coupling between Photonic Crystal Slab Modes and 3D Plasmonic Meta-atoms

DB Burckel et. al., "Coupling between plasmonic and photonic crystal modes in suspended three-dimensional meta-films" Opt. Exp. **28** (8), 10836-10846 (2020).

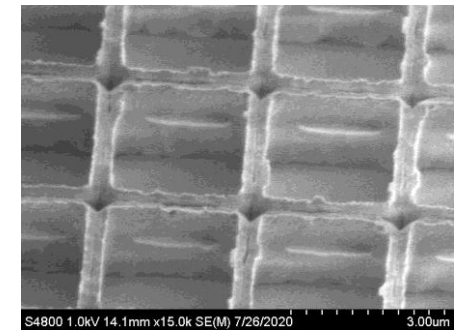
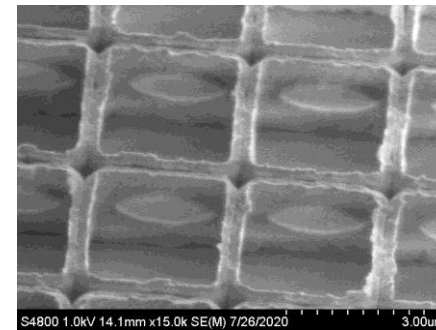
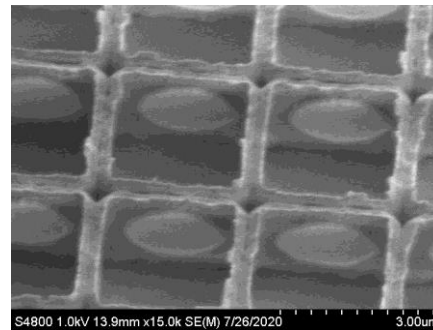
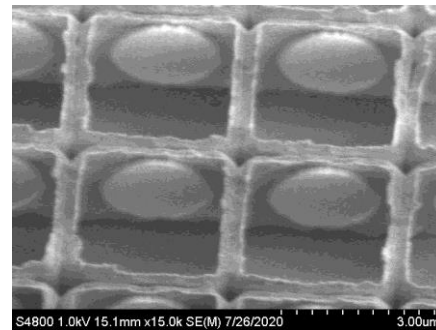
Decorating with plasmonic meta-atoms



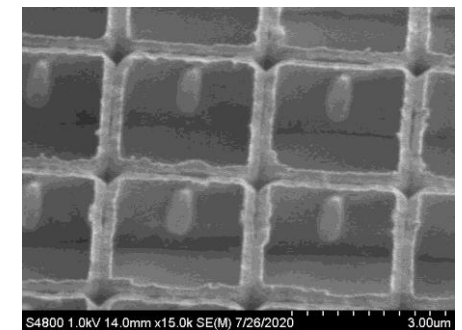
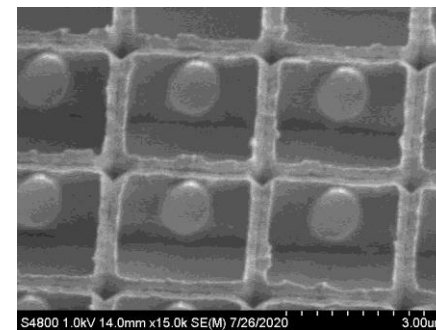
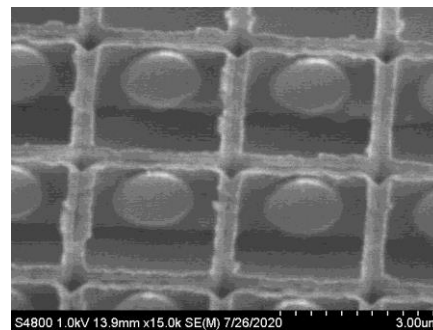
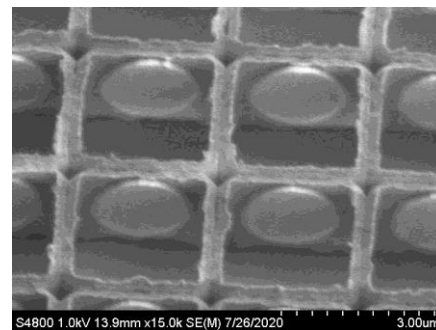
**Same Membrane
Pattern
Different Evaporation
Direction**



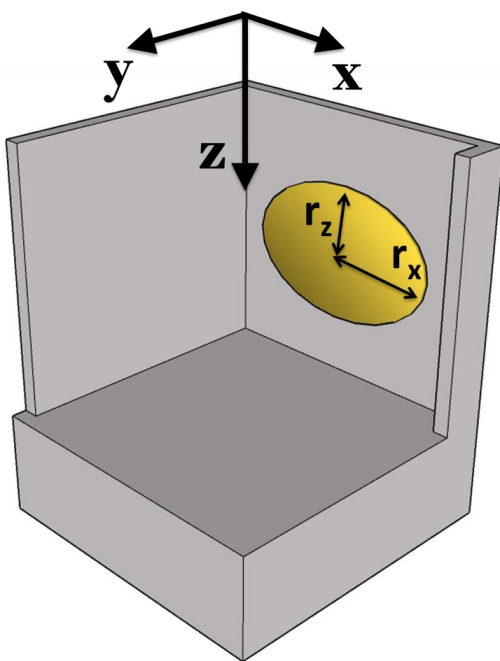
North wall evaporations



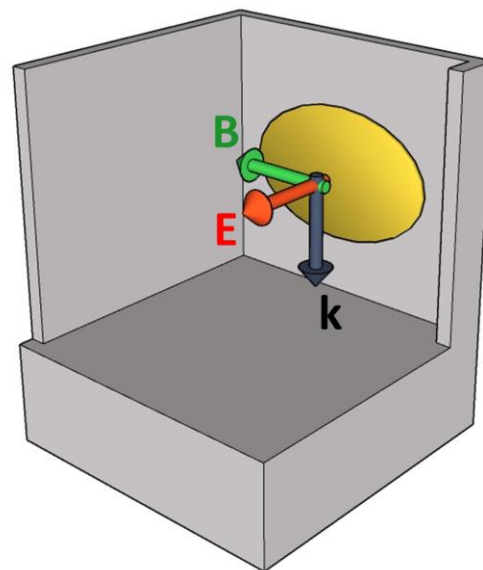
East wall evaporations



Polarization in 3D Metafilms

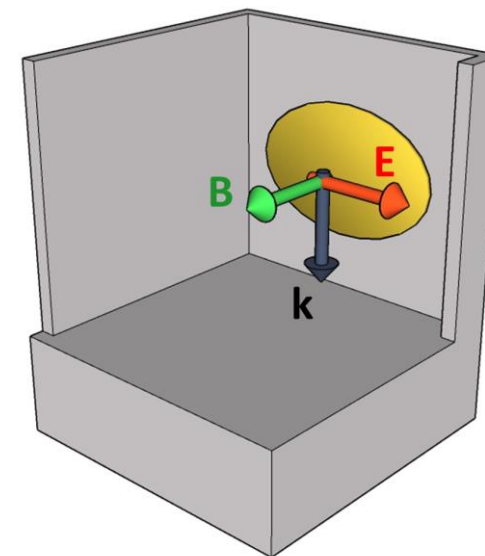


**Transparent
Polarization**



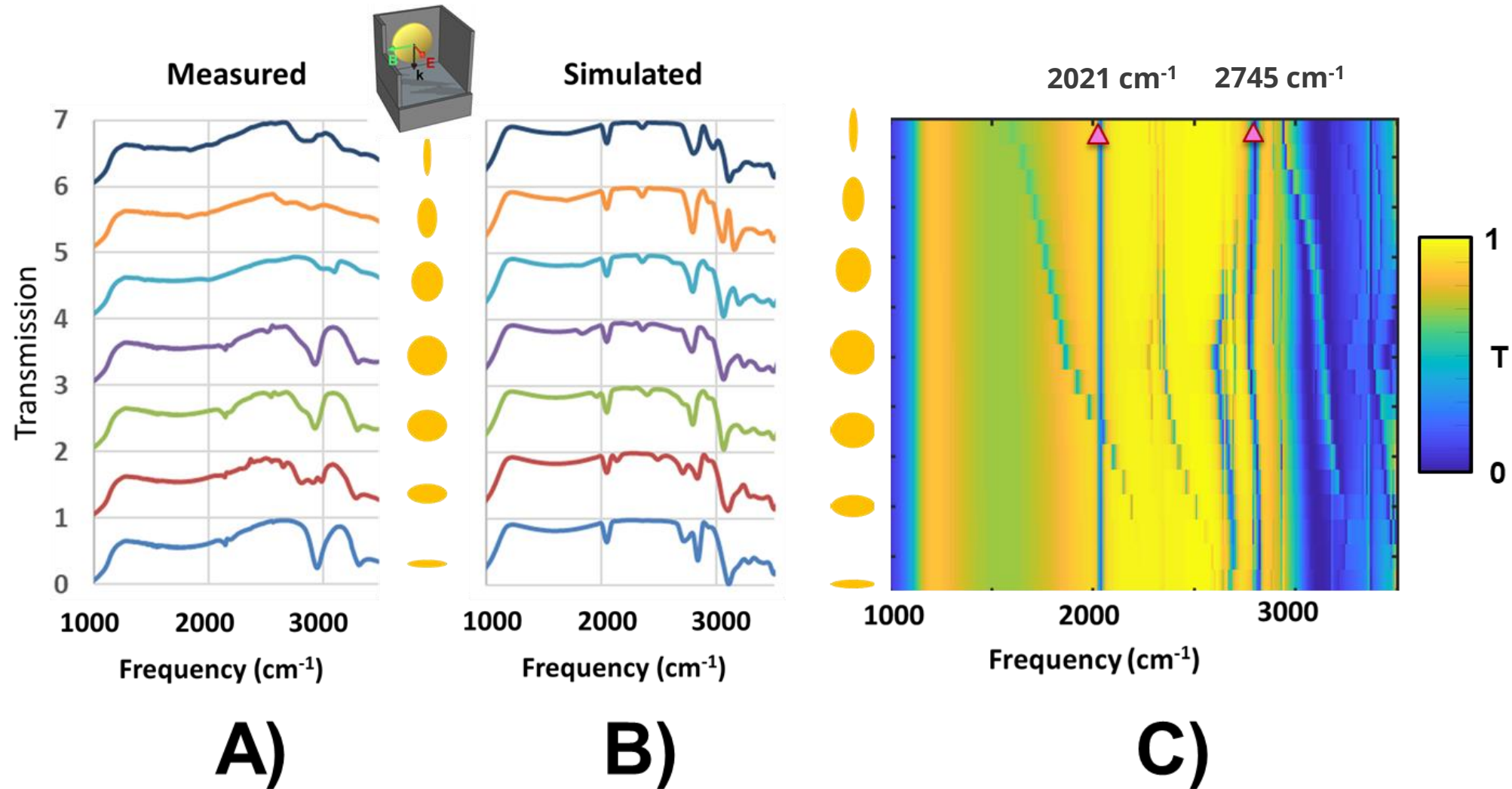
**Electric field normal to
plane of ellipse**

**Resonant
Polarization**

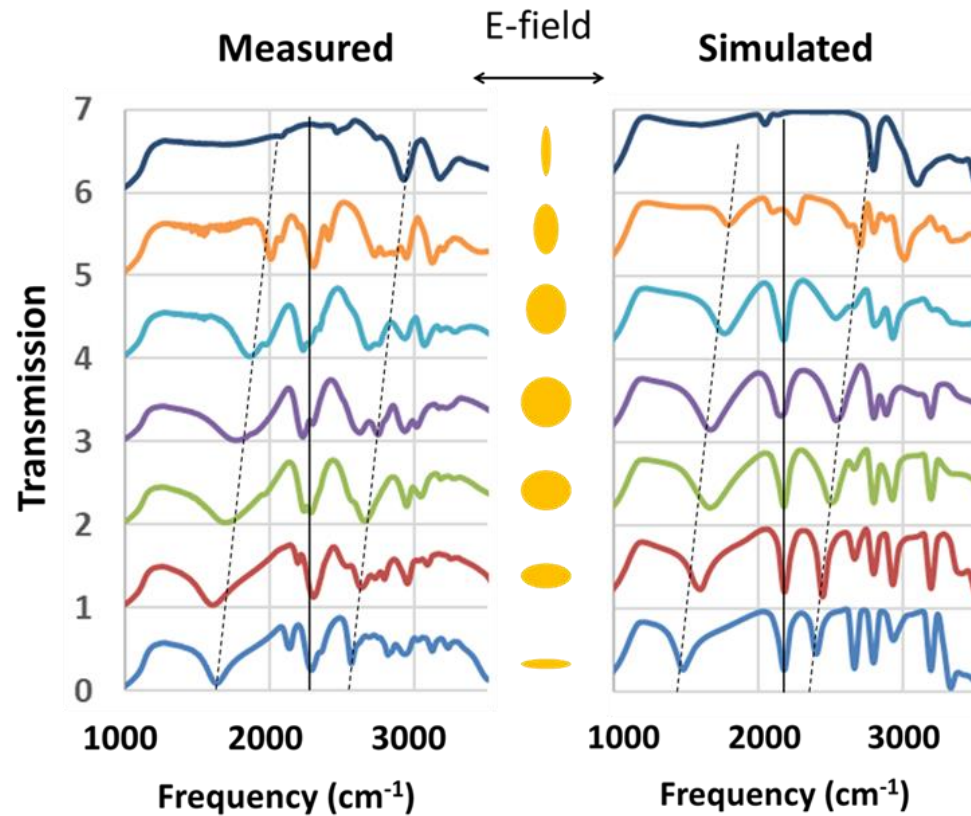


**Electric field in the
plane of ellipse**

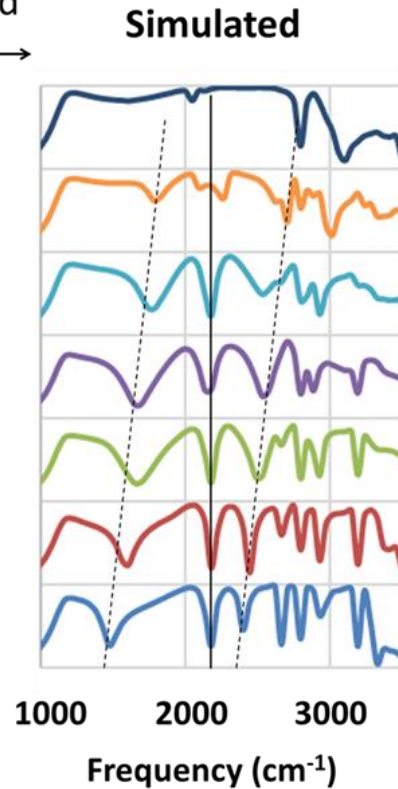
Transparent Polarization



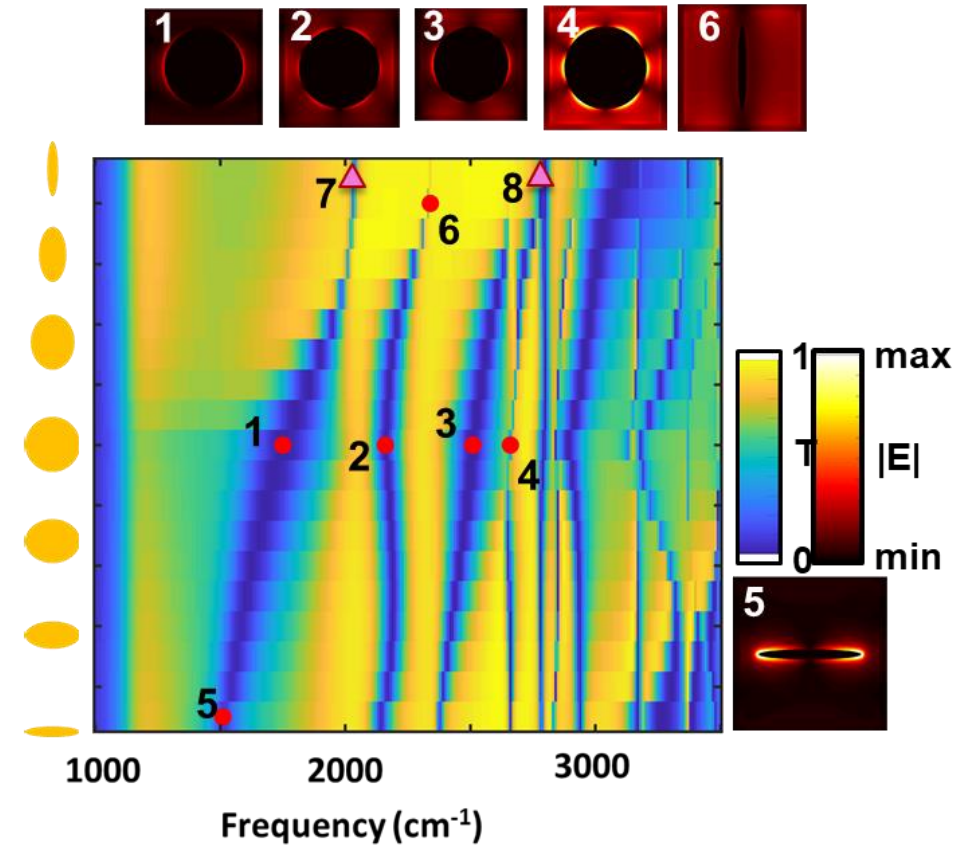
Ellipses on the Floor



A)

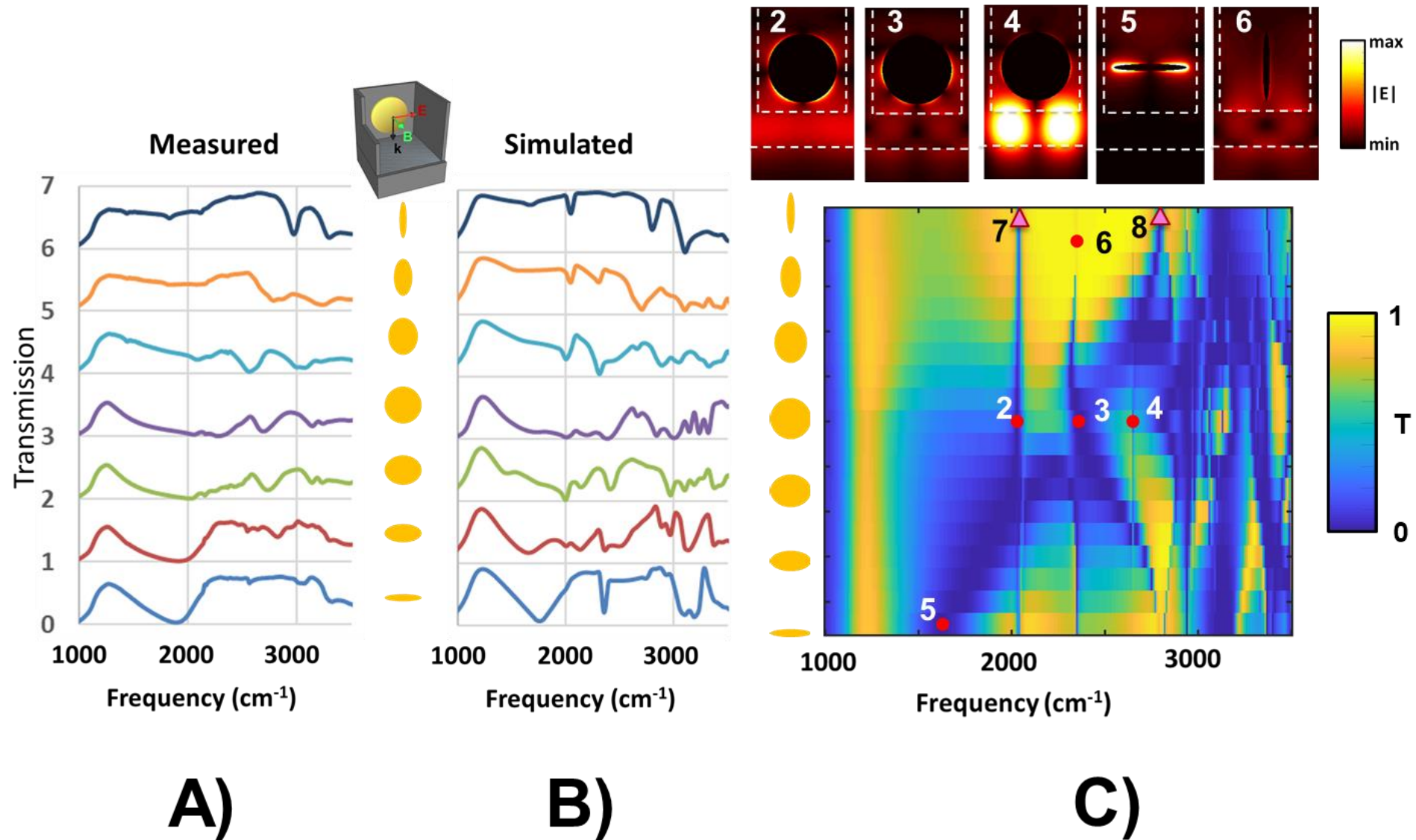


B)



C)

Resonant Polarization



Conclusions

1. Wall-first membrane projection lithography fabrication approach is a robust method for creation of infrared 3D metafilms
2. 3D metafilms can be used to create macroscopic lenses with unique characteristics due to their geometry.
3. 3D metafilms combine and couple photonic crystal slab modes and plasmonic particle modes
4. Planar ellipses on the floor respond to both incident polarizations
5. Vertical ellipses exhibit a resonant response to linear polarization with e-field in the plane of the ellipse, while being largely transparent to the orthogonal polarization
6. Retardation effects broaden the spectral width of resonances for vertically oriented ellipses.

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- External Collaborators: Gaspar Armelles -Microelectronic Institute of Madrid (IMM-CNM, CSIC) ;