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# Slot-die-coating operability windows for precision thin films and particulate coatings

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Kelsey M. Livingston (†), Nelson S. Bell (‡), Alexey Serov (†), and David L. Wood III (†)**

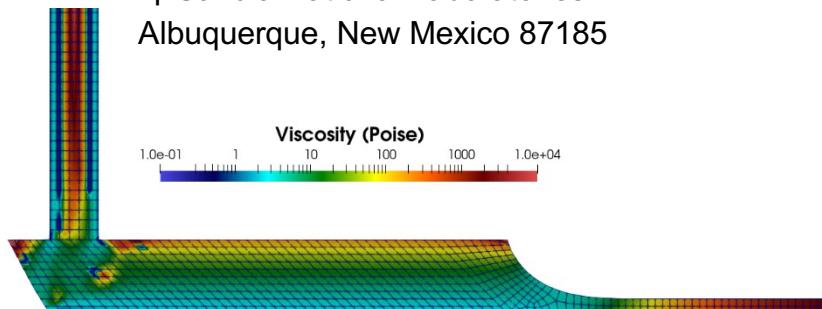
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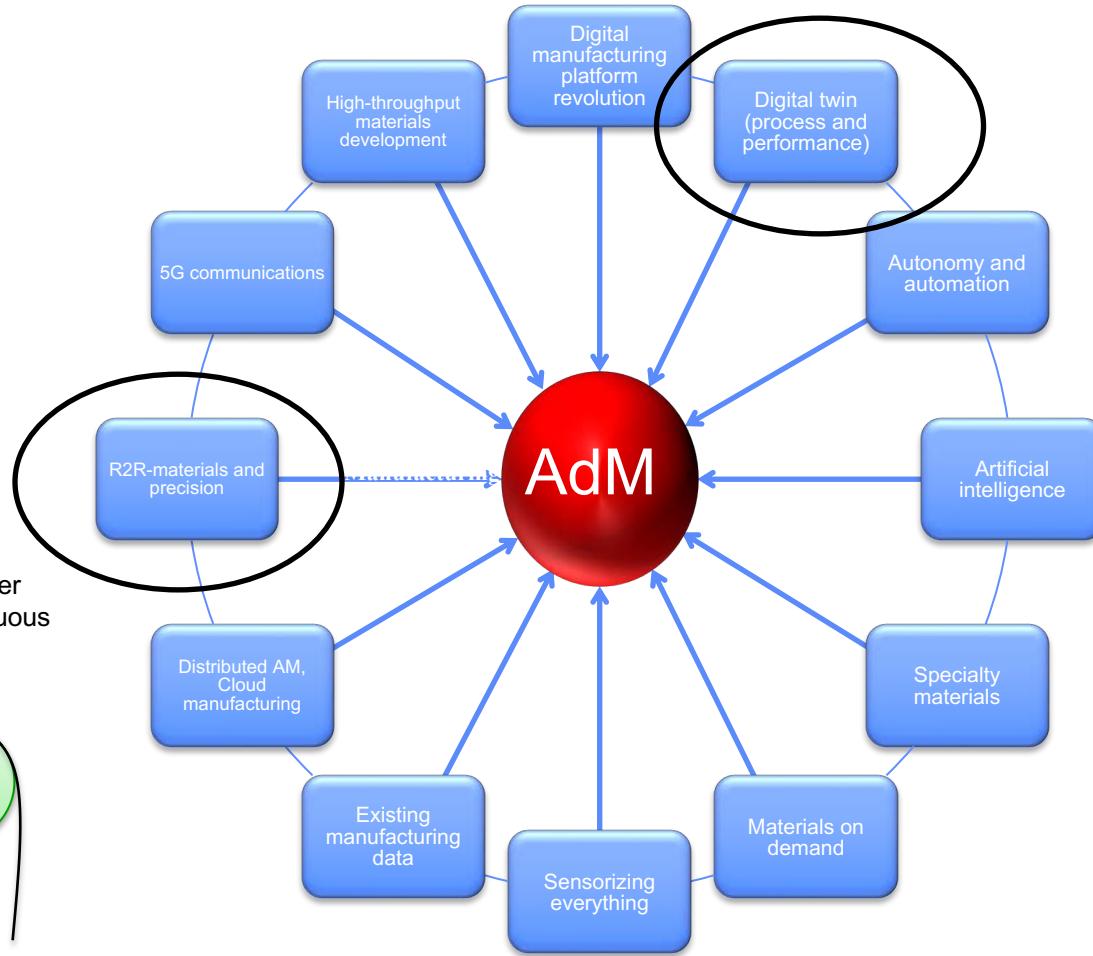
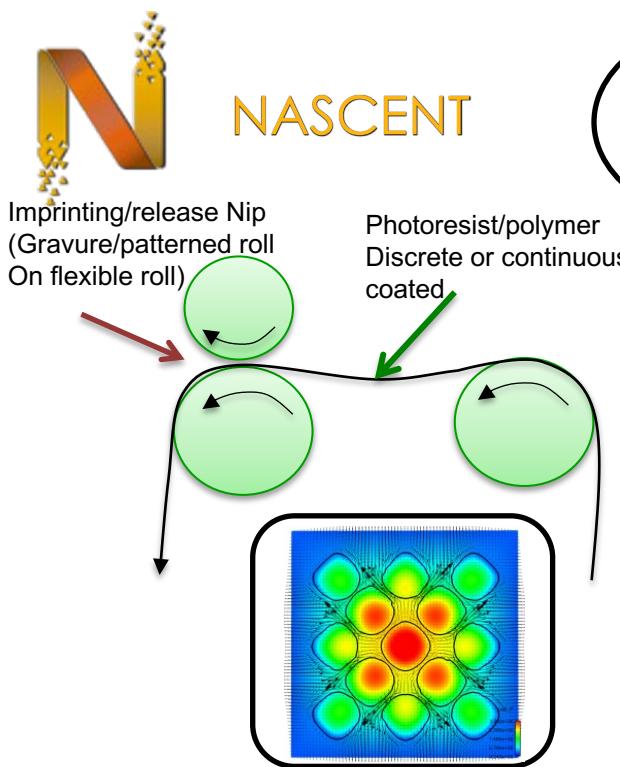


# OUTLINE

- Motivation – Slot die coating
  - Advanced manufacturing tools for rapid process development
  - Understanding coating process window limits for new applications
- Capabilities and tools
  - Mod/sim tools and methods
  - Experimental and testing
- Low-flow limit
  - Precision applications
  - New understanding
- Particles-to-performance applications (Electrolyzers)
  - Experimental testing for single layer slot die coating
  - Coating window prediction
  - Validation
- Wrap-up and conclusions



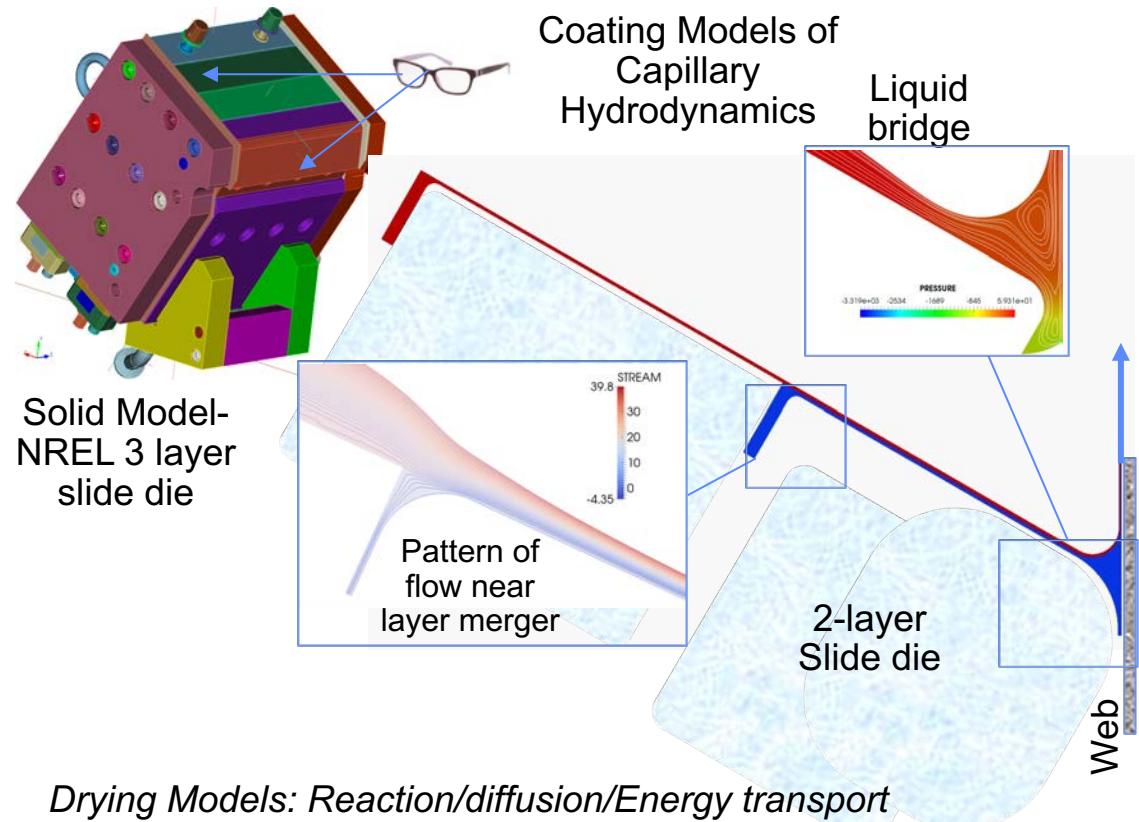
# R2R Advanced Materials and Manufacturing



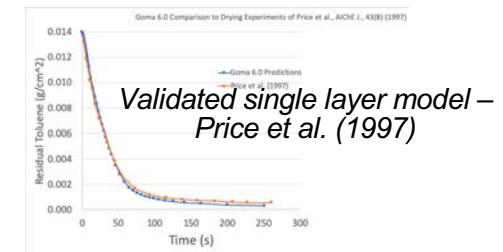
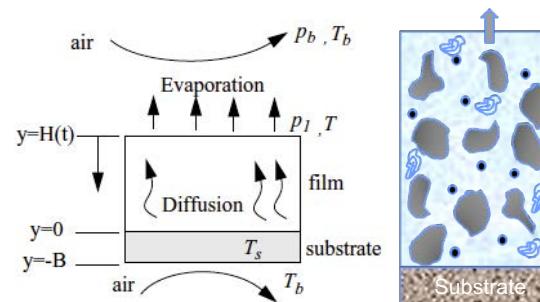
# Modeling and Simulation for design and scale-up

## Continuum Scale Models for process design and scale-up for energy conversion technologies (i.e. electrolyzers)

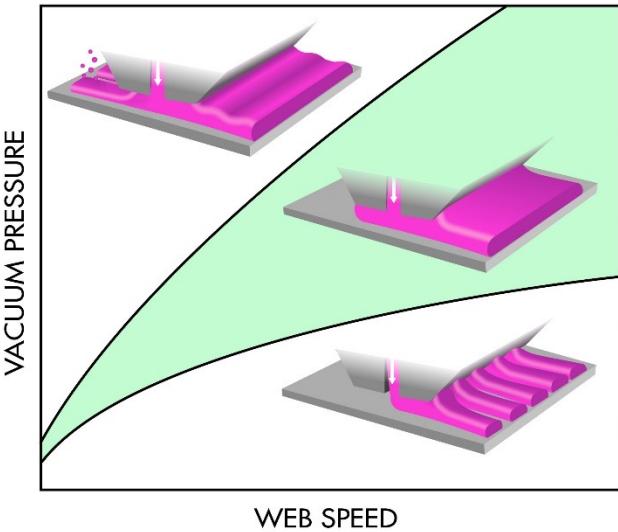
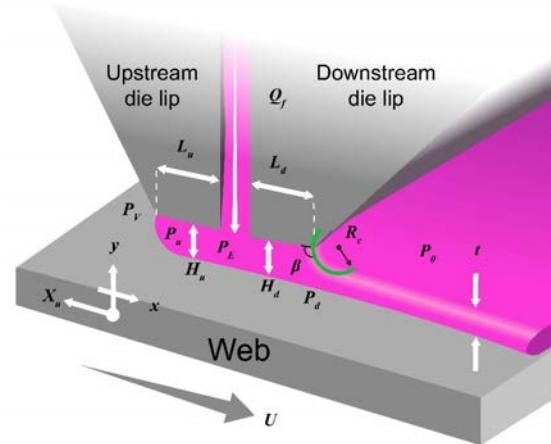
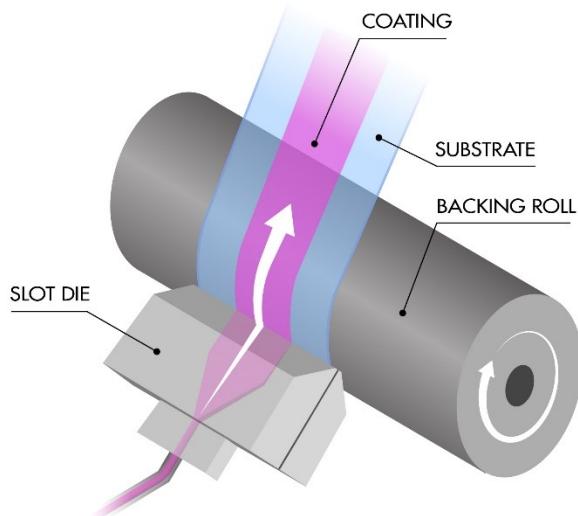
- Deposition models for single- and multi-layer slide, slot, and related coating methods
- Drying and solidification models that track thermal, diffusion, and other key physical rate processes
- Ongoing model validation from published literature and coating trials at ORNL and NREL
- Software platform based on open-source Goma 6.0 ([gomafem.com](http://gomafem.com)) finite element software



## Drying Models: Reaction/diffusion/Energy transport



# Slot-Die Coating

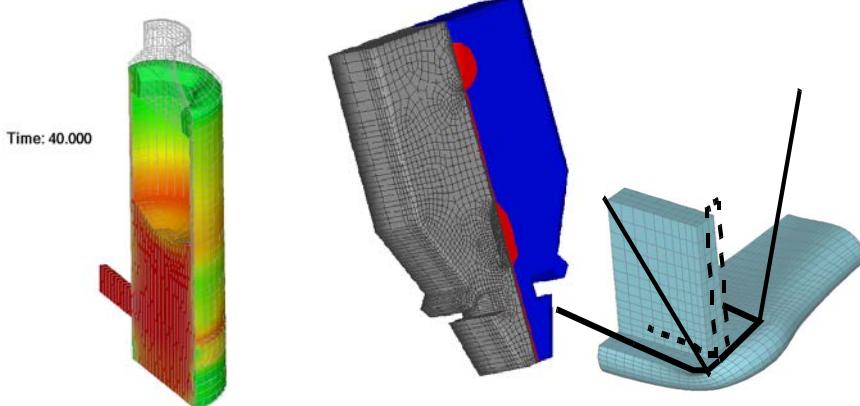
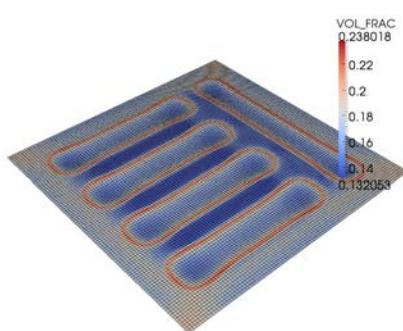
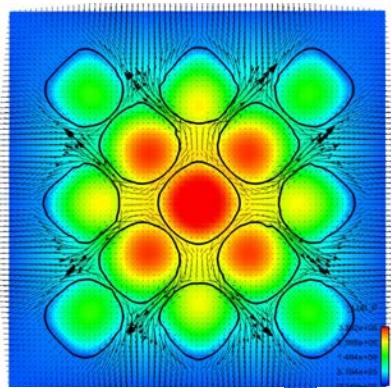


- A method ideal for ***precisely coating single or two layers***
- Thickness is set solely by flow rate and coating speed:  $h = Q_f / U \rightarrow$  ***premetered method***
- Coating quality, i.e. uniformity, depends on liquid properties and operating conditions – ***coating window***
- Goal: ***Predict coating window*** to guide process development

# Research Group Capability: Goma 6.0



2014 R&D 100 Award Winner



- Multiphysics **finite element** code, suitable for both **research** and **production**
- Fully-coupled **free** and moving **boundary** parameterization – ALE, Level Set, etc.
- Modular code; **easy to add equations** – currently has 170+ differential equations
- **Open source!** Available at <http://goma.github.io>
- **Goma 6.0. training** is available on regular basis

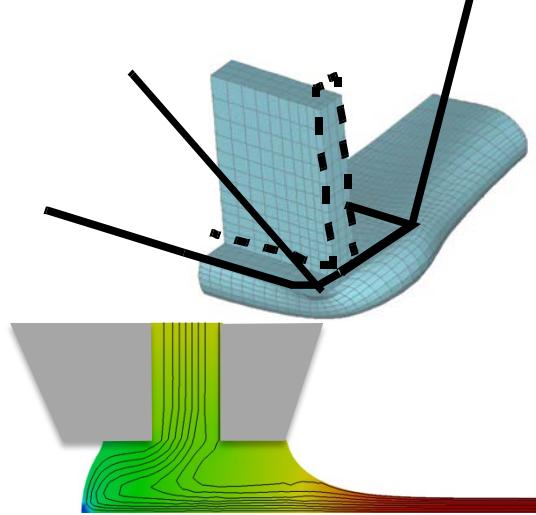
**Goma has been used successfully in coating manufacturing for 2 decades!**

...Also a competency in LAMMPS for colloidal rheology and self/directed assembly

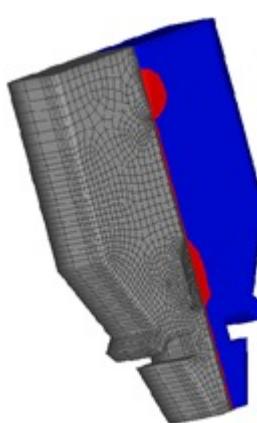


# Past and present mod/sim efforts – Extrusion/SDC

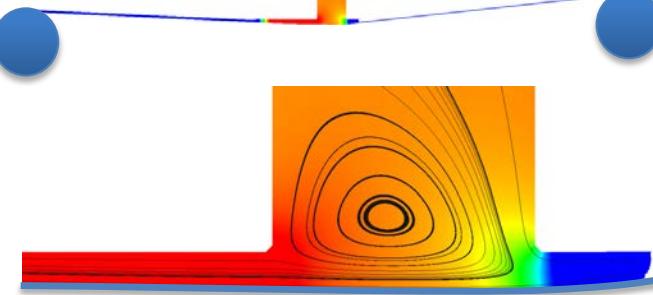
Slot Die Coating



Slot-die modalities



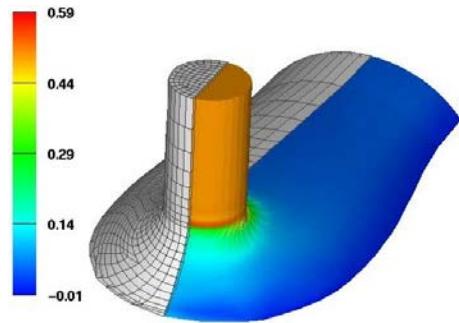
Tensioned Web over Slot Die Coating



Free Surface Tracking/Capturing

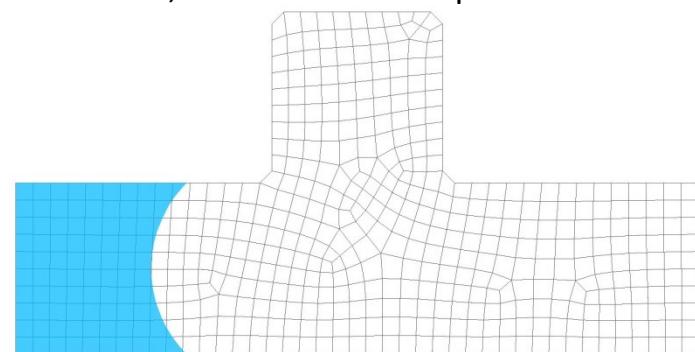
Arbitrary Lagrangian Eulerian (ALE)

Deform mesh to track free surface



Eulerian

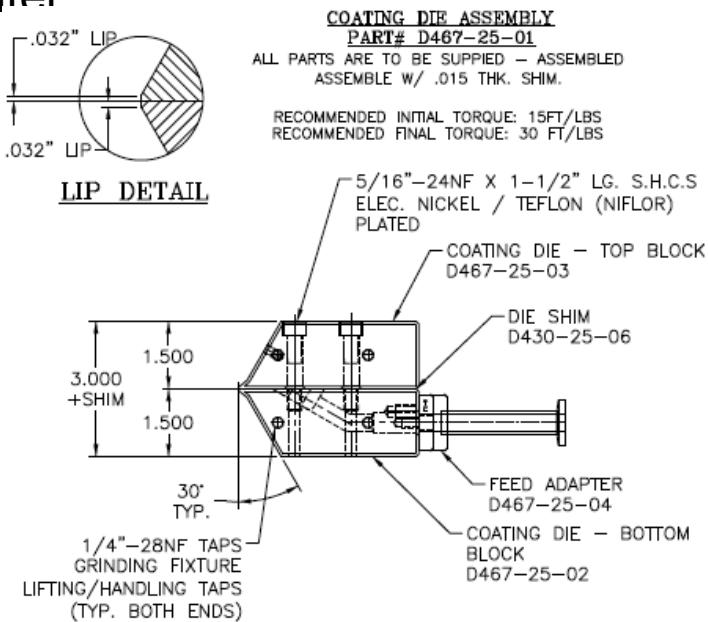
Fixed mesh, use level set to capture free surface



- *Full 3-D simulation of continuous liquid film coating with finite element method*
- *Capable of handling free surfaces two ways: ALE and level set method*

# Coating Line – Slot-die configuration ORNL

## Starting geometry – ORNL Dynacoat Lab Coater



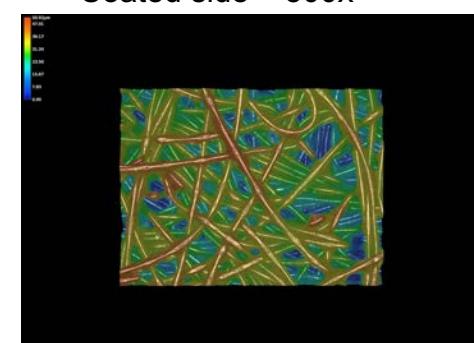
Coated side – 500x



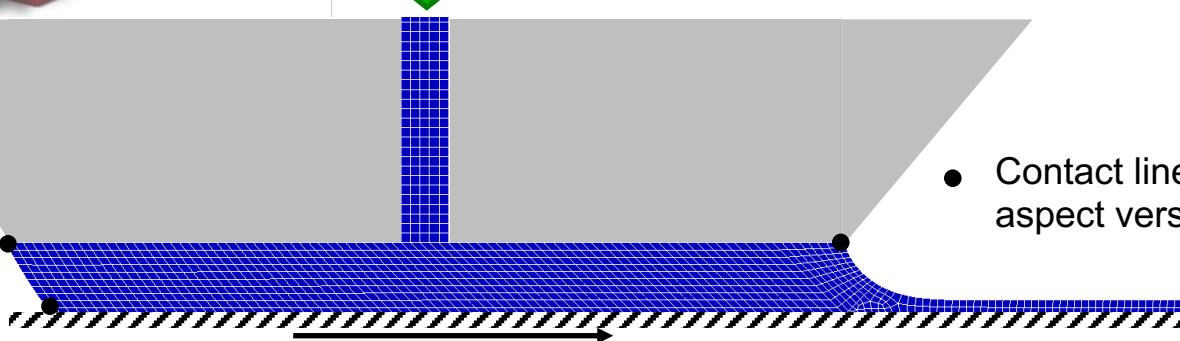
Coated side – 2000x



Coated side – 500x

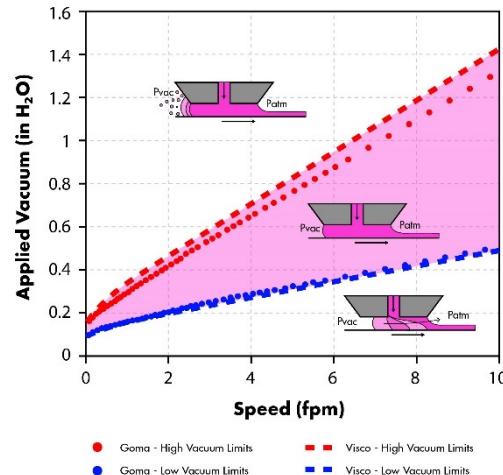
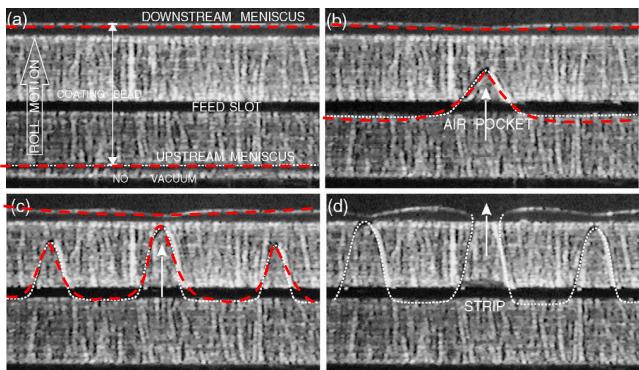


# Modeling Approach

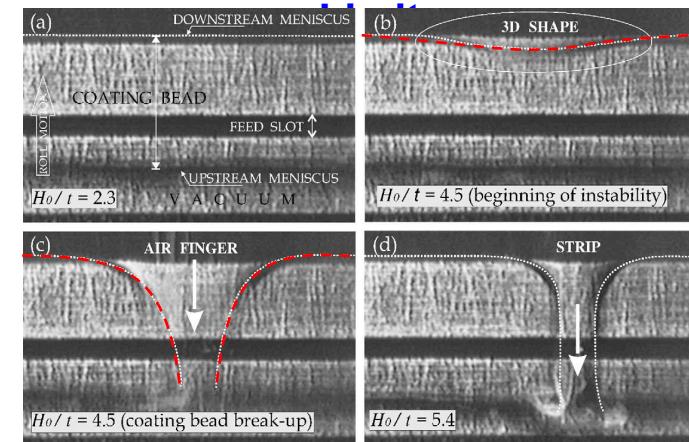


- Contact lines are not pinned; unique aspect versus literature

## Low Vacuum Limit



## Low Flow

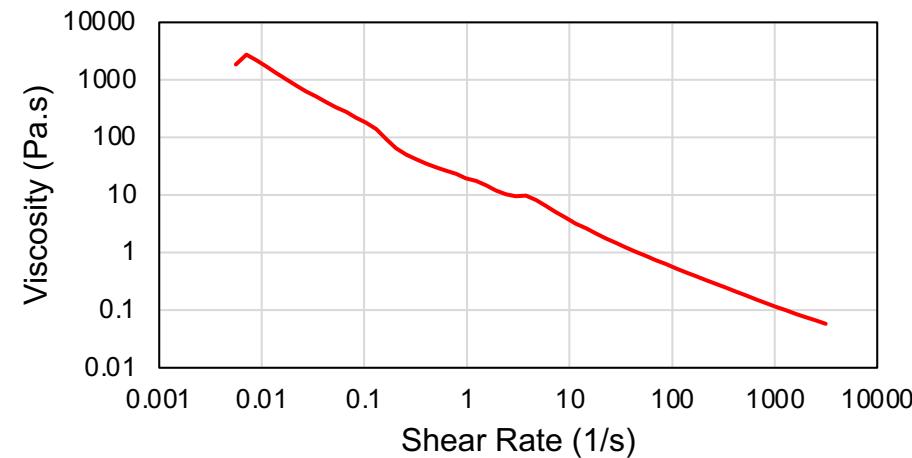


Romero et al. *Journal of Non-Newtonian Fluid Mechanics* 118.2-3 (2004): 137-156.

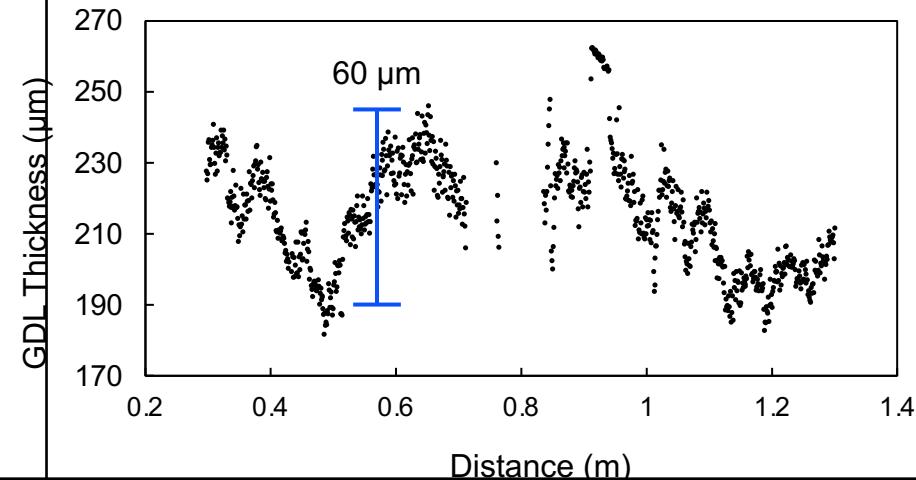
- 2-D steady state **Navier-Stokes** with **arbitrary Lagrangian Eulerian** (ALE) method to deform the mesh
- Predict coating window limits based on the **contact lines positions**

# Challenges with the Fuel Cell Cathode System

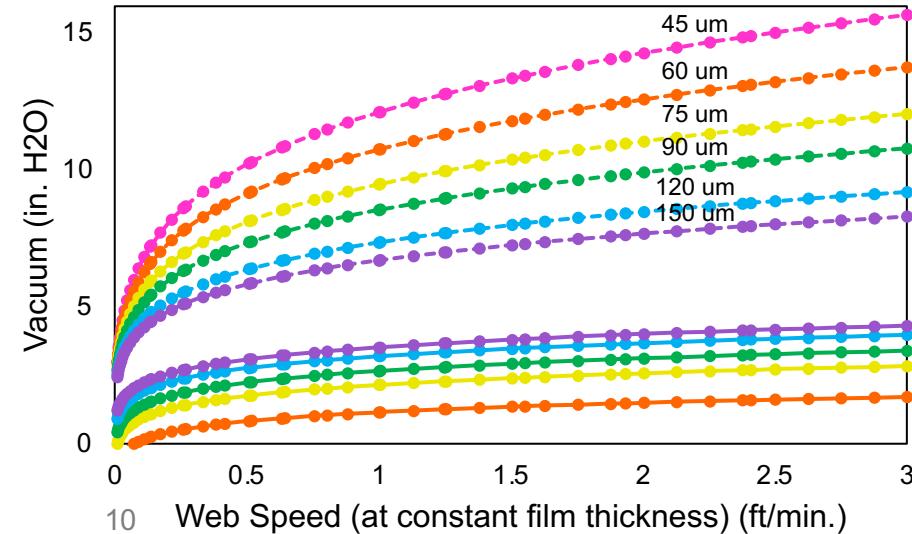
## Viscosity and Shear Thinning of Ink



## Variability in GDL Substrate Thickness



## Window Narrows with Widening Gap

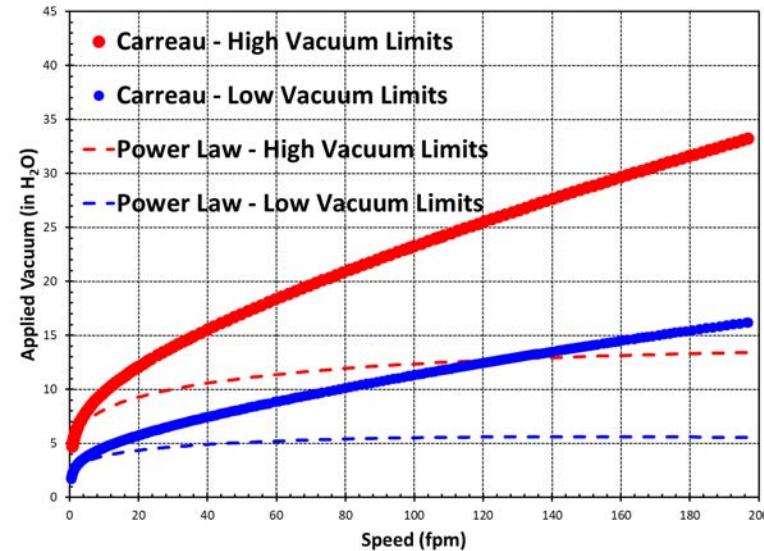
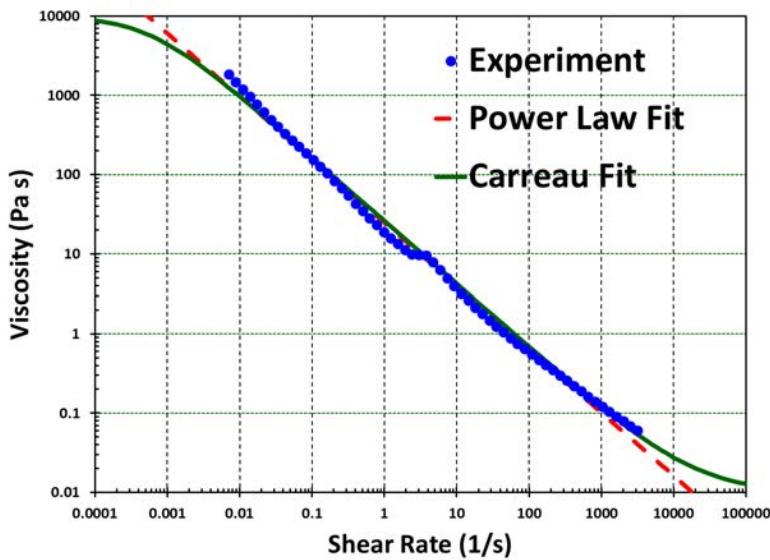


## Hypothesis and Strategy

- Set minimum gap,  $d_{\min}$ , knowing gap will widen during coating
- Target coating parameters in wide gap,  $d_{\max}$ , window
- As the actual gap varies from  $d_{\min}$  to  $d_{\max}$ , we are in the window for all gaps
- First, validate model using thinner ink on uniform substrate

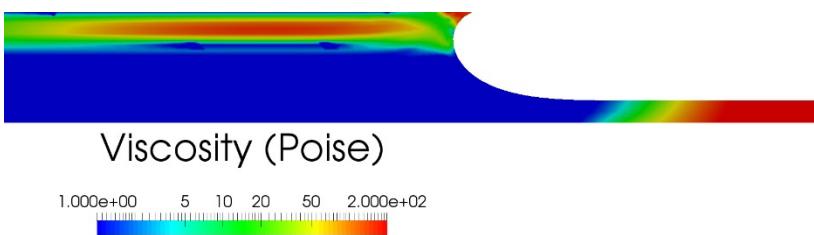
8% Pt – Wet thickness 30  $\mu\text{m}$  – Gap = 150  $\mu\text{m}$

## Operability Window Predictions

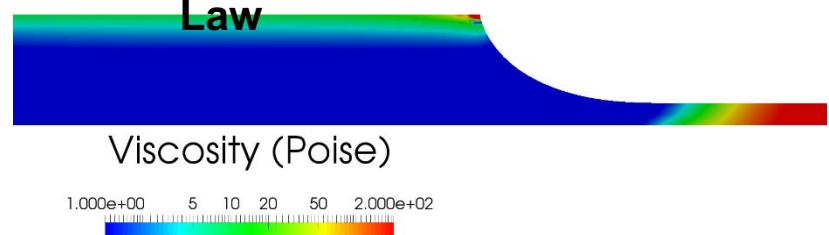


High vacuum limit – speed = 196 fpm

Carreau

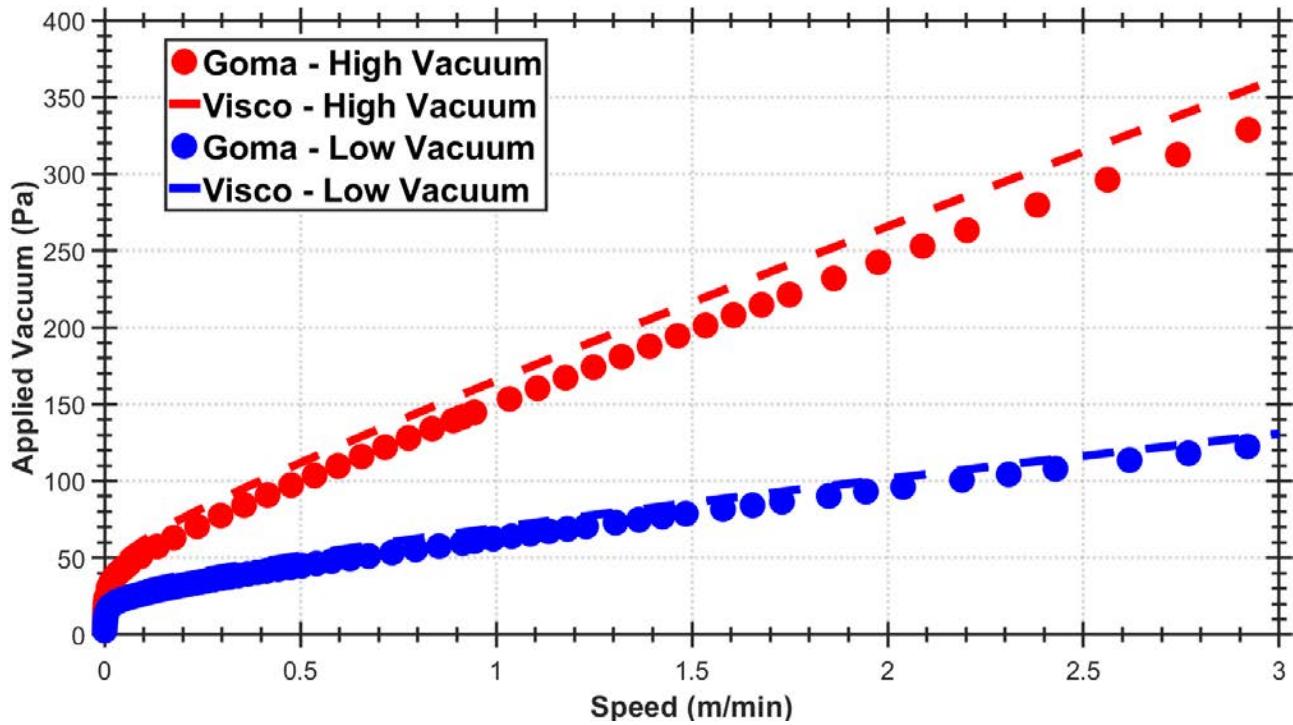
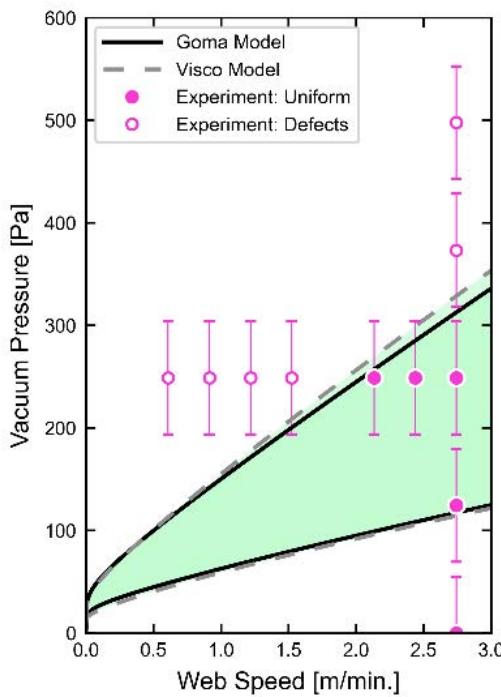


Power  
Law



3.5 Wt% Pt – wet thickness = 60  $\mu\text{m}$ , gap = 150  $\mu\text{m}$ .

# Comparison With Experiments

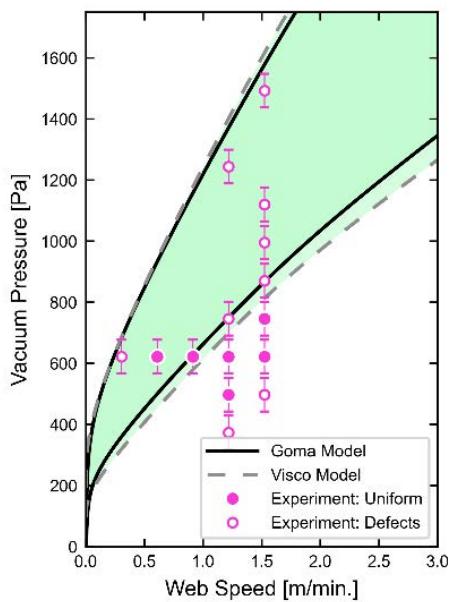


- Coat on aluminum foil instead of GDL  $\rightarrow$  minimize gap variation
- Prediction vacuum limits ***matches experimental observation at dilute ink*** – less shear thinning
- Viscocapillary and Goma predictions ***agree at low speed***. The discrepancy at higher speed is within each model's uncertainties

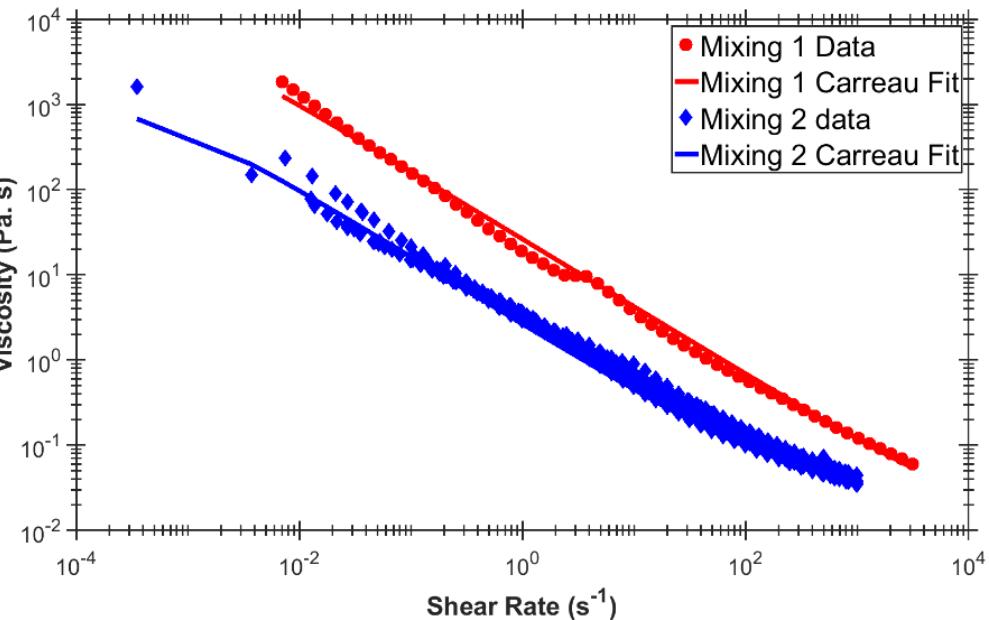
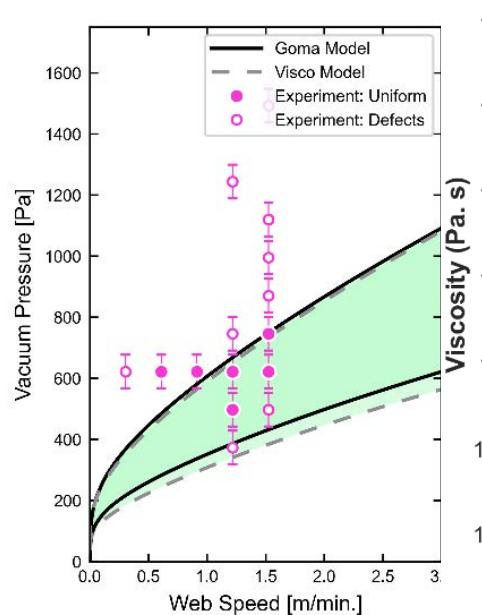
8.0 Wt% Pt – wet thickness = 30  $\mu\text{m}$ , gap = 150  $\mu\text{m}$ .

## Comparison With Experiments

Rheology Fit 1

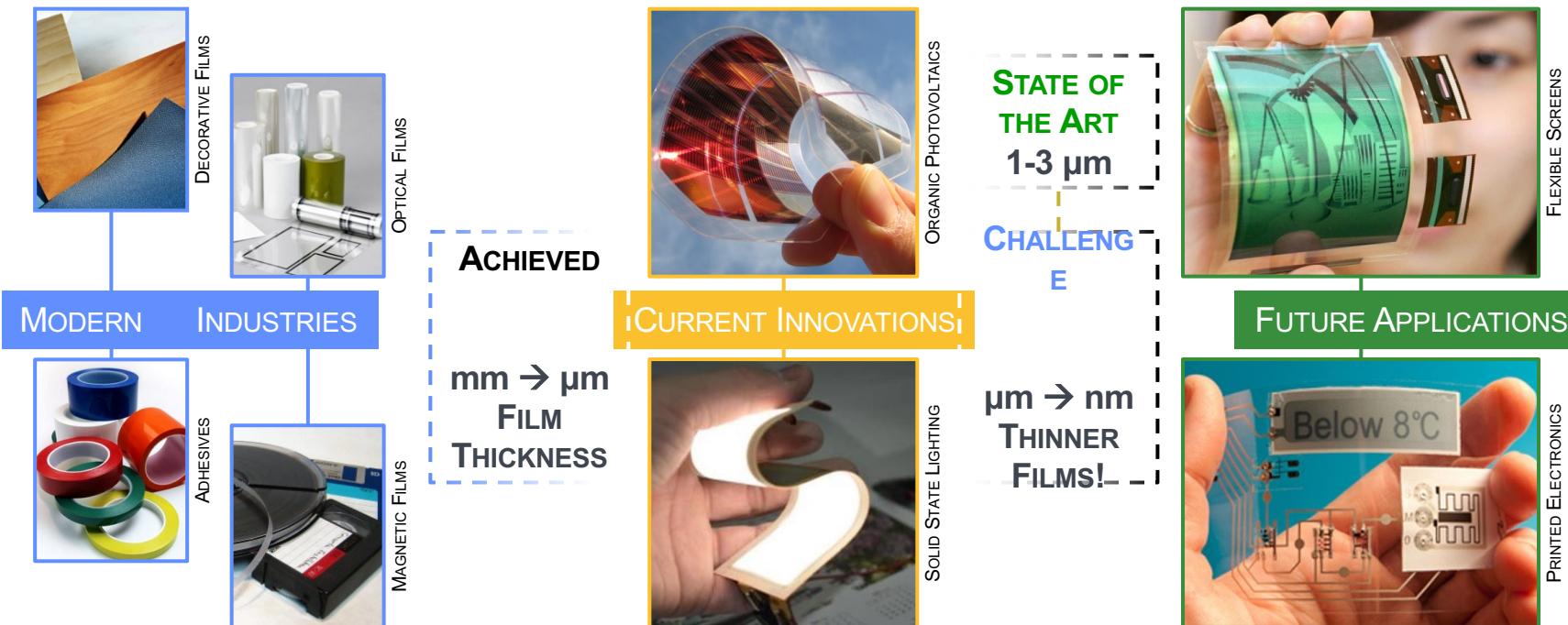


Rheology Fit 2



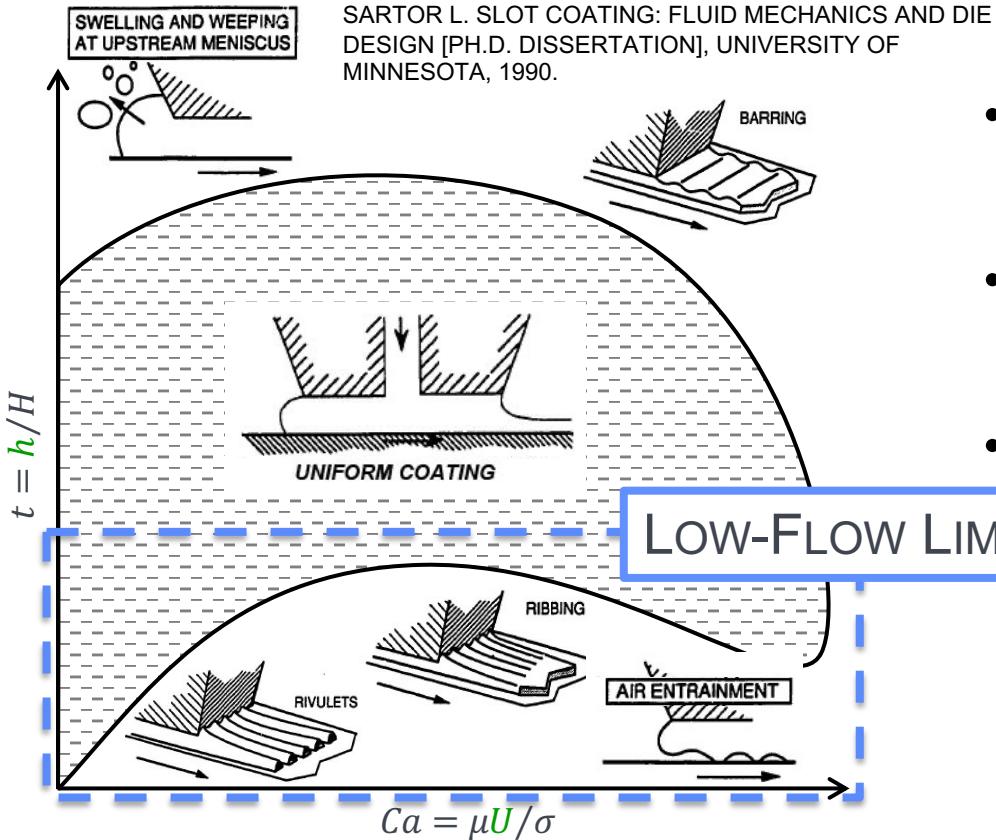
- Prediction of more concentrated ink is **very sensitive to mixing procedures** – sizes of aggregates  $\rightarrow$  ink rheology
  - Viscosity 1 is measured at ORNL right after mixing procedure
  - Viscosity 2 is measured at SNL from re-mixing of samples sent from ORNL.
  - Coating trials are conducted 24 hours after mixing for de-gassing.

# Enabling the Future





# Operating Window & Coating Defects

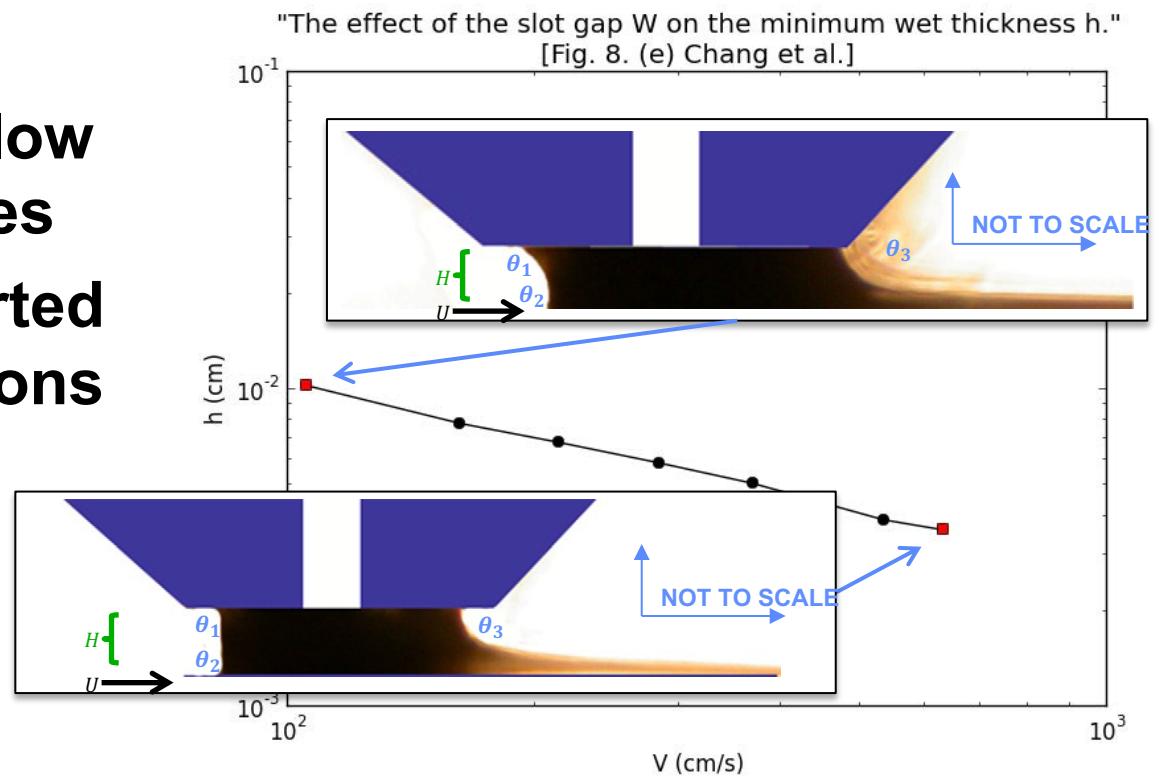


- Window in which successful coating may be achieved
- Identify operating parameters that generate a thin liquid film
- Bound by hydrodynamic instabilities that cause defects
  - Weeping
  - Barring
  - Ribbing
  - Rivulets
  - Air Entrainment

# Validation

CHANG Y-R, CHANG H-M, LIN C-F, LIU T-J, WU P-Y.  
THREE MINIMUM WET THICKNESS REGIONS OF SLOT  
DIE COATING. *J COLLOID INTERFACE SCI.* 2007;308:222-  
230

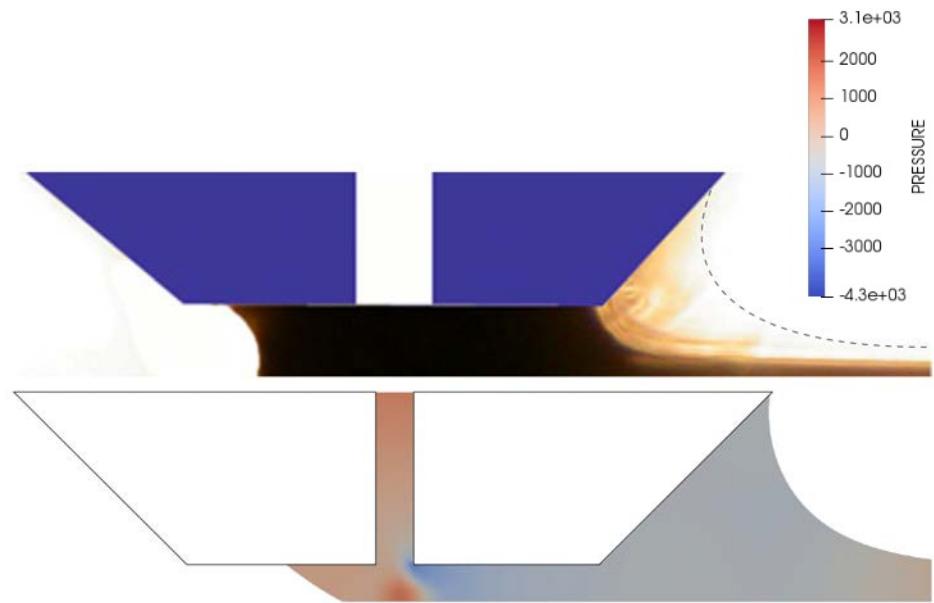
- Compare against experimental data
- Chang et al.
  - Reported low-flow limit thicknesses
  - Crucially, reported flow visualizations



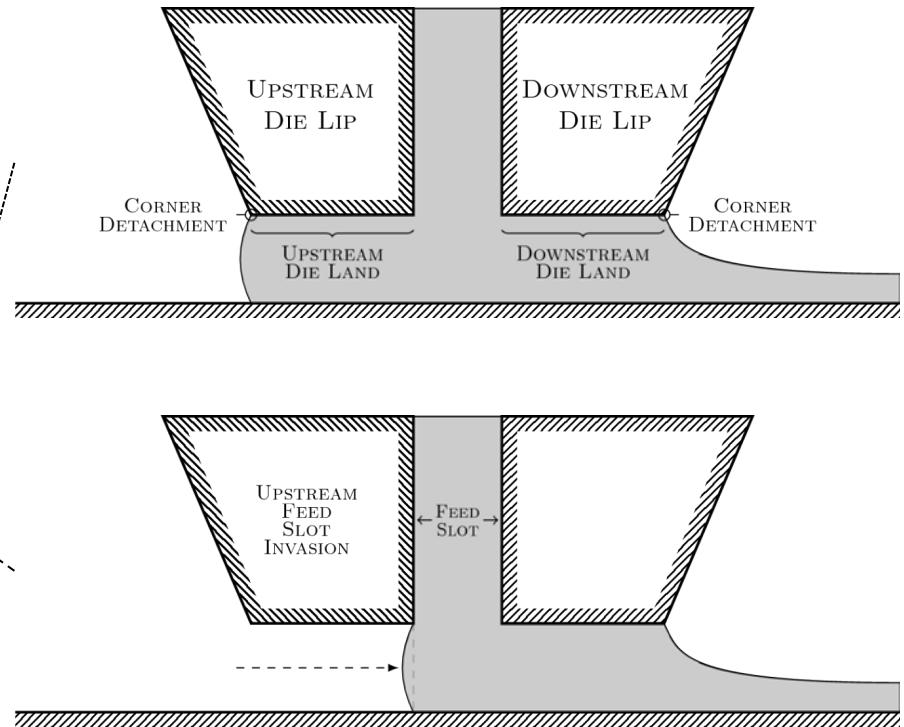
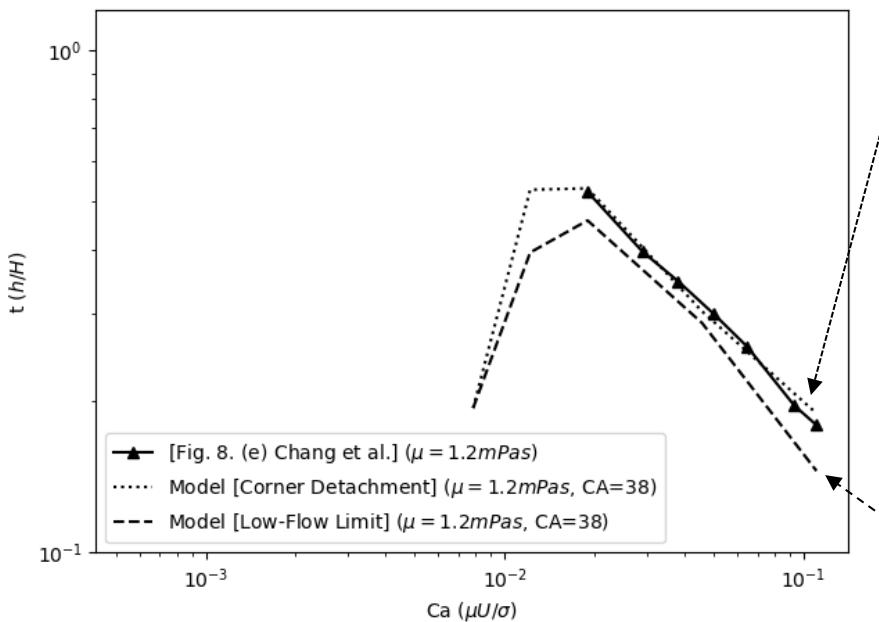


# Validation

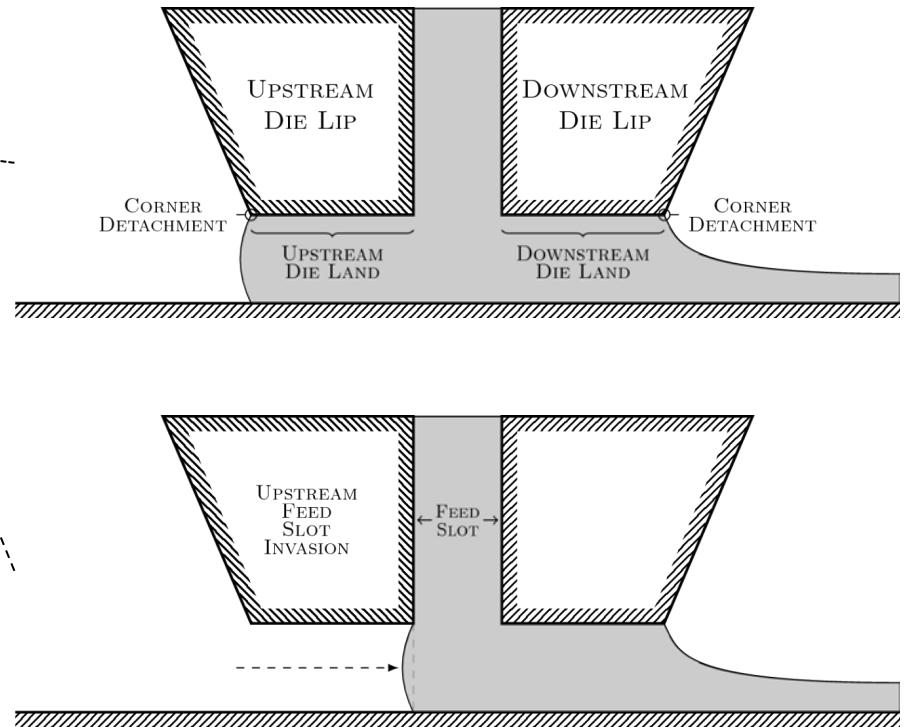
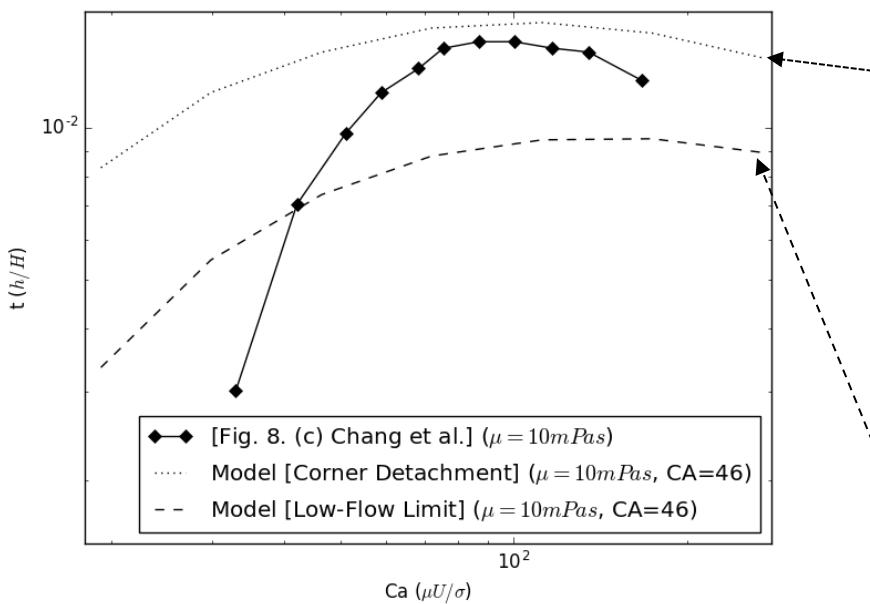
- Compare against experimental data
- Chang et al.
  - Reported low-flow limit thicknesses
  - Crucially, reported flow visualizations
- Allows direct comparison of meniscus topology
  - Not exactly aligned but encouragingly consistent



# Model Validation – Low Viscosity

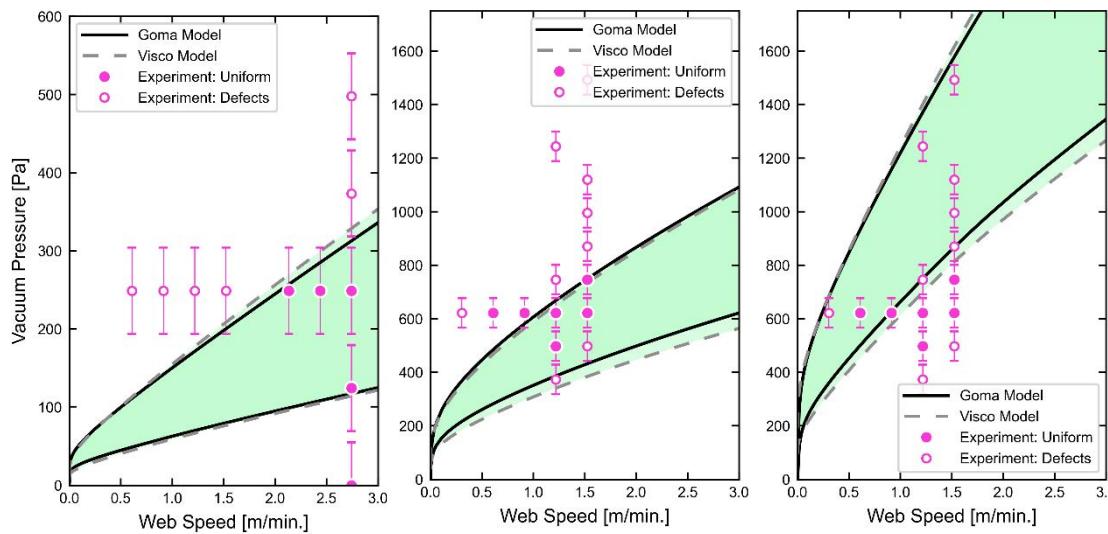


# Model Validation – Mid Viscosity



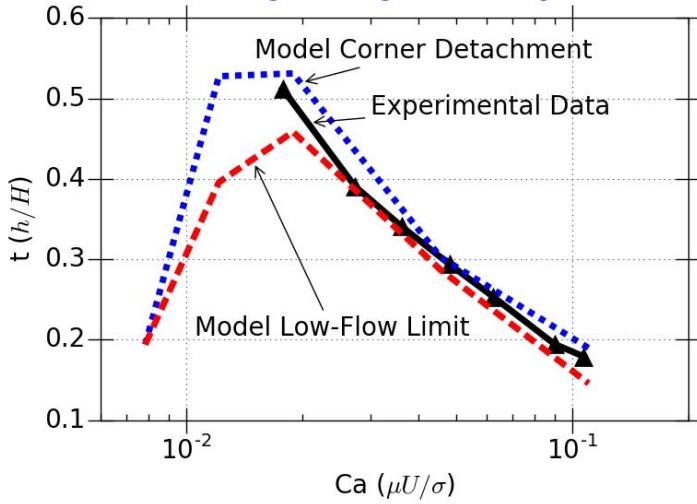
# SUMMARY: Coating Window Prediction

## Vacuum limits



Creel et al. *Journal of Colloid and Interface Science* (2021).

## Low-flow limit



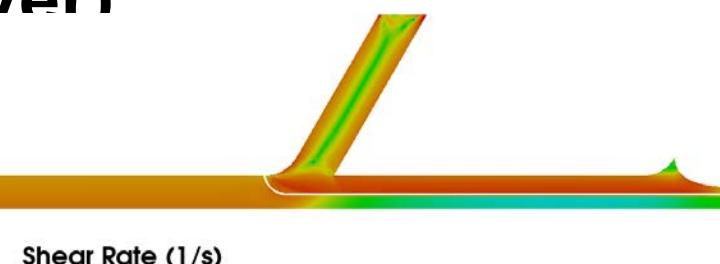
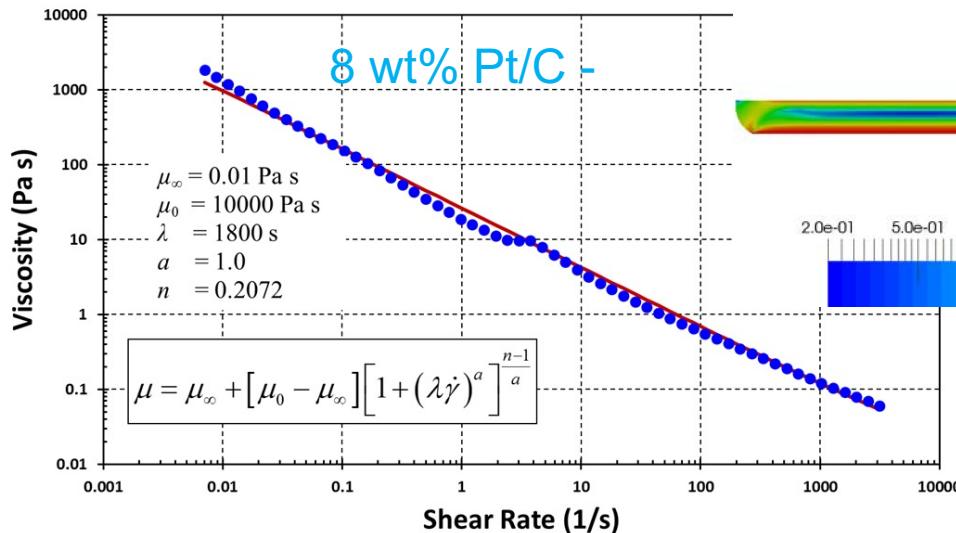
- Predicted low flow limits agrees with experimental works reported in literature
- Uncover physical mechanisms of low flow limits with no vacuum
- How to coat thinner: Smaller gap, but limited by roll runout and surface imperfection
- Another approach: Replace backing roll with tensioned web – ongoing research

Malakhov et al. *AIChE Journal* 65.6 (2019): e16593.



# Backups and Future Work

# Ink Rheology – MEA (catalyst layer and membrane layer)



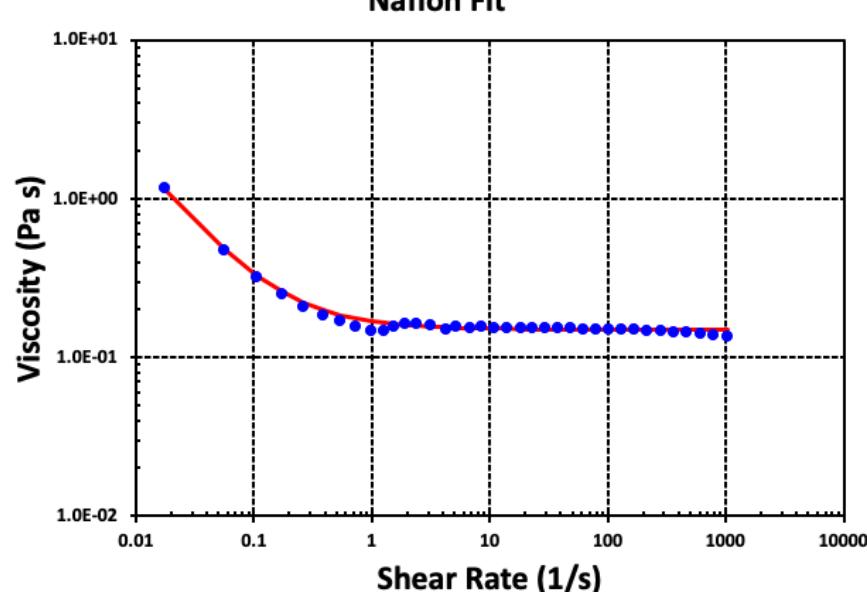
**8% (Pt/C)**

Pt/C : 32 g  
 Nafion : 76.64 g  $\approx$  solid content =  $76.64 \text{ g} \times 0.217 = 16.63 \text{ g}$  of Nafion  
 Water : 225 g  
 1-Propanol: 66.36 g  
 Total weight  $\approx$  400g

Total solid content: Pt/C + solid Nafion =  $32 + 16.63 = 48.63 \text{ g}$   
 % of solid content =  $48.63/400 = 12.16 \%$

**Preparation:** Shear mixed by using small shear mixture in ORNL dry room for 1.5 hours at 6000 RPM.

**Slot-die coating conditions:**  
 Pump speed (small pump cart) = 20 rpm (translate into flow rate or wet-film thickness)  
 Dynacoat line speed = 3 ft/min  
 $0.584 \text{ mg-coating/cm}^2 = 0.179 \text{ mg-Pt/cm}^2$



# SLOT-COATING SIMULATOR

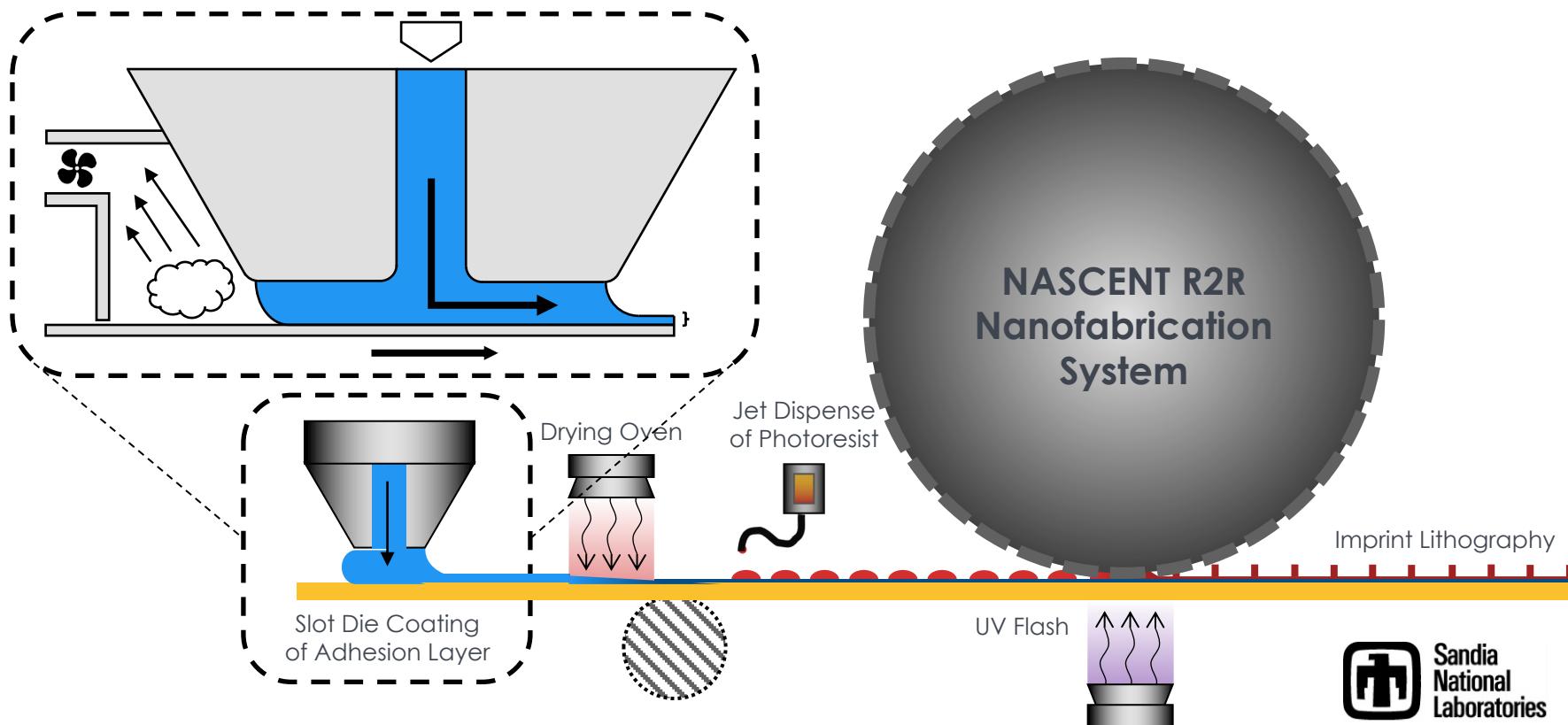
Robert Malakhov, Kris Tjiptowidjojo, Randall Schunk [UNM] (P-2E.1-K)

## Goals

- Slot Die Simulator
  - Scale-up: Ultrathin coatings ( $1 < \mu\text{m}$ )
  - Small-lot: Continuous coatings
  - Prepare surfaces for nano-imprint lithography with films of desired thickness and uniformity

## Research Methods

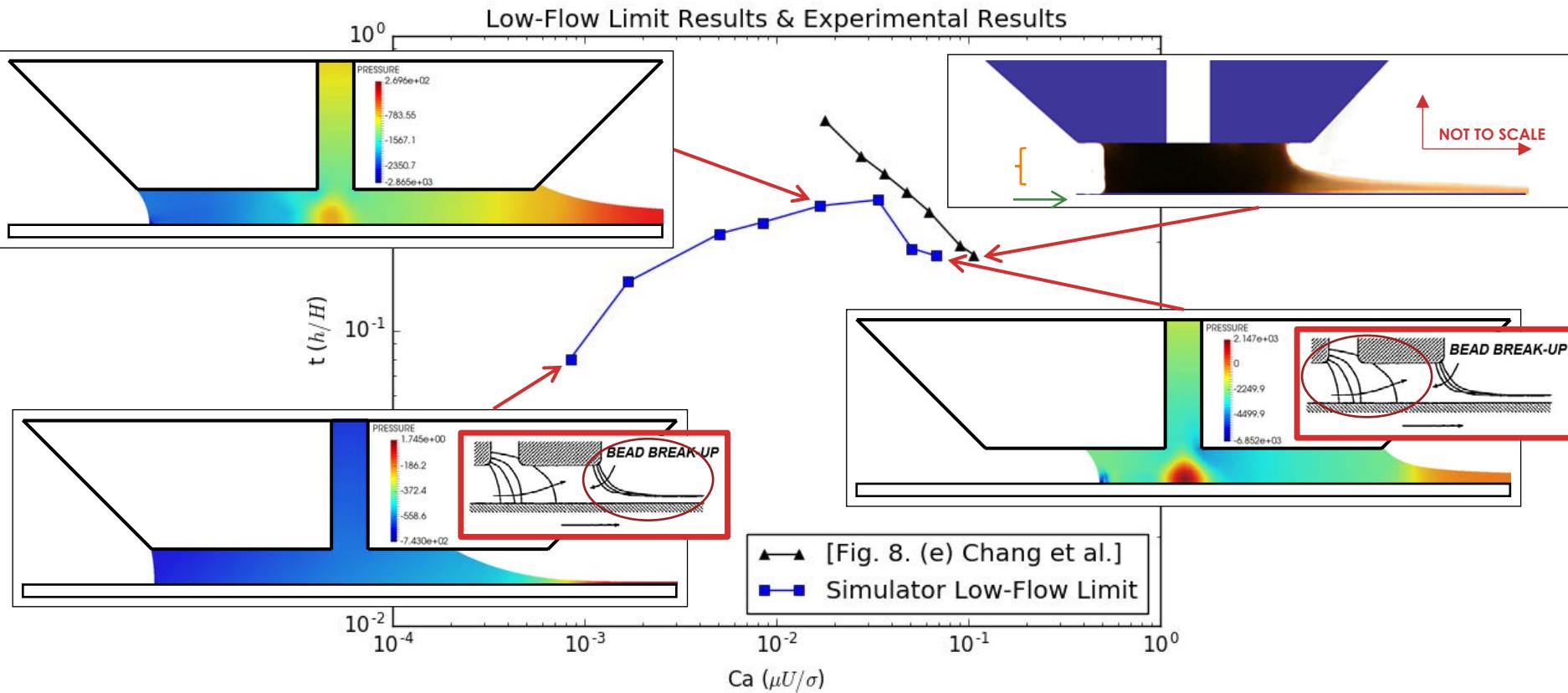
- Navier-Stokes w/BC's model
  - Solved via FEM Goma 6.0
- Experimental Validation



# PROGRESS REPORT

## Low-Flow limit comparison to experimental results

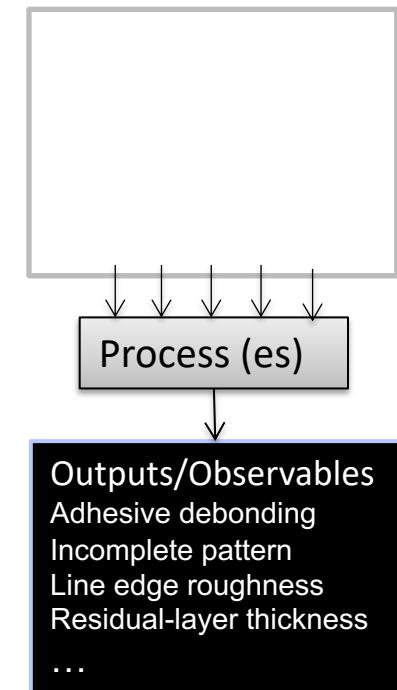
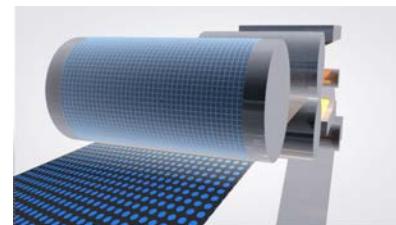
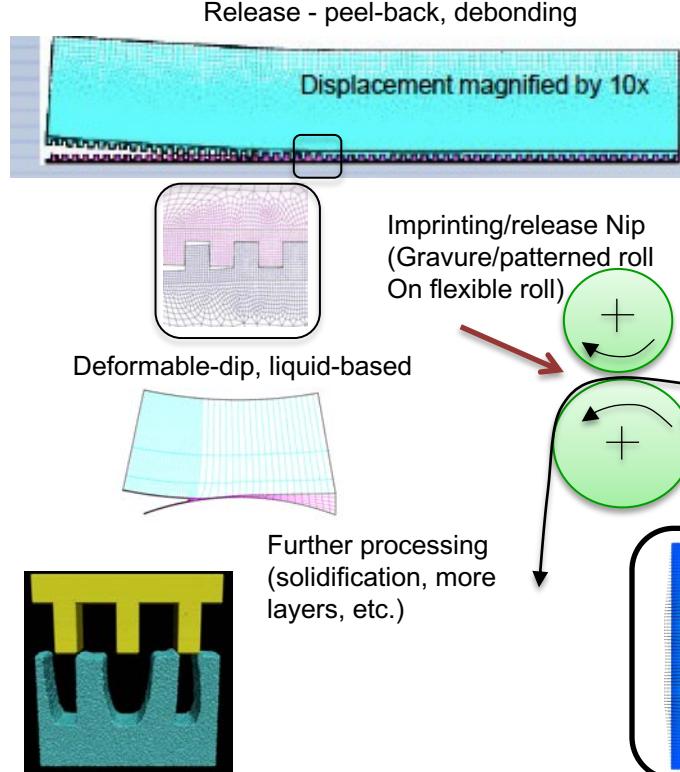
- Computational results reproduce experimental film thicknesses, meniscus topologies, and failure modes



## Low-Flow limit three region coexistence analysis

- Region 1 and 3 identified but region 2 not clear

# LDRD: Nanofabrication meets Roll-to-Roll = “NanoManufacturing”:



- Intersection of nanofabrication with *high-speed precision R2R coating/laminating/printing*  
-> considerable potential to spur innovation and economic growth.
- \$1T / year business by 2015 (NSF).
- Coating industry alone is over \$1T in 2002 (Cohen, Chemical Engineering Progress, 2002)