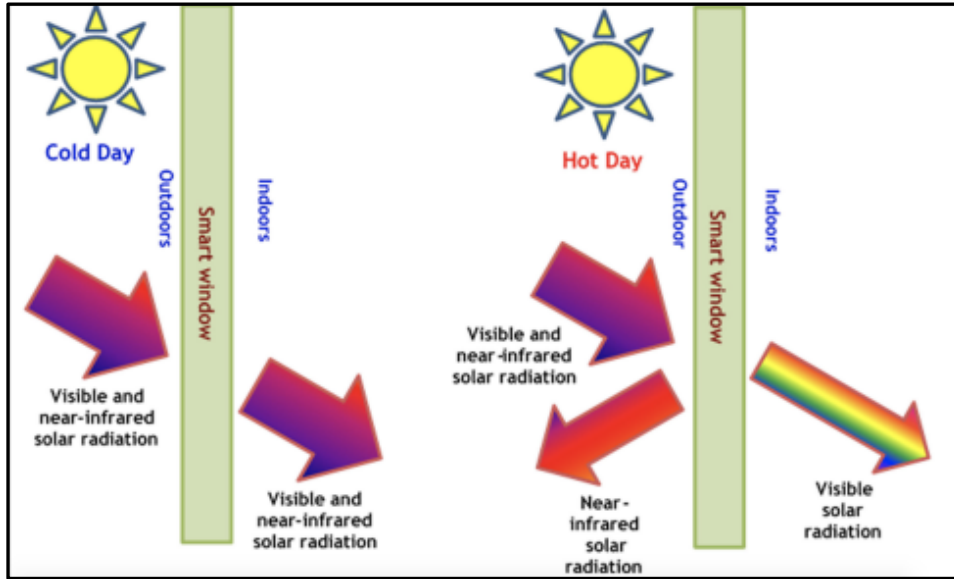




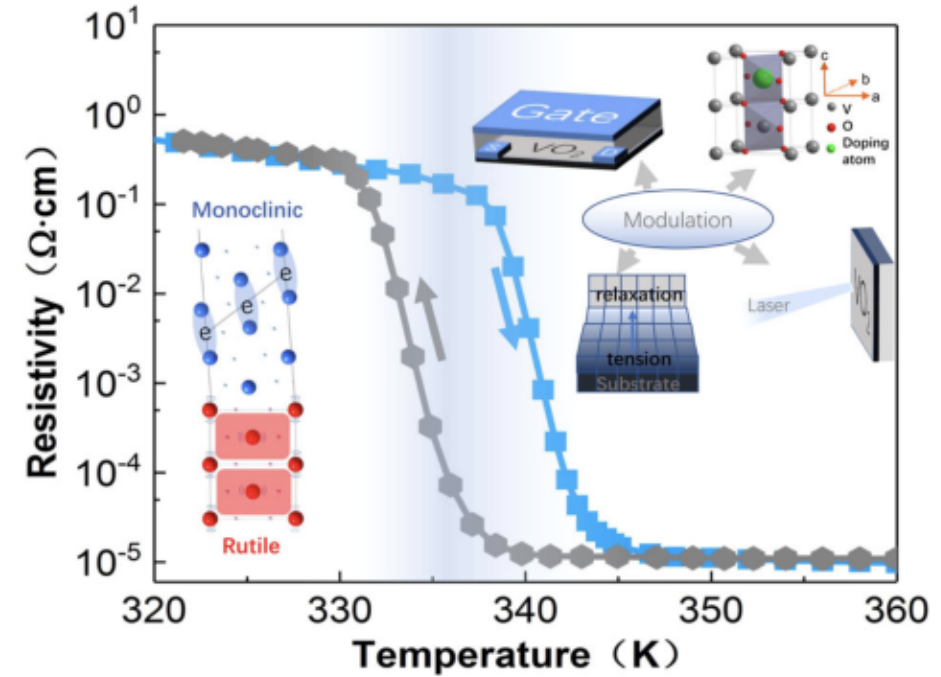
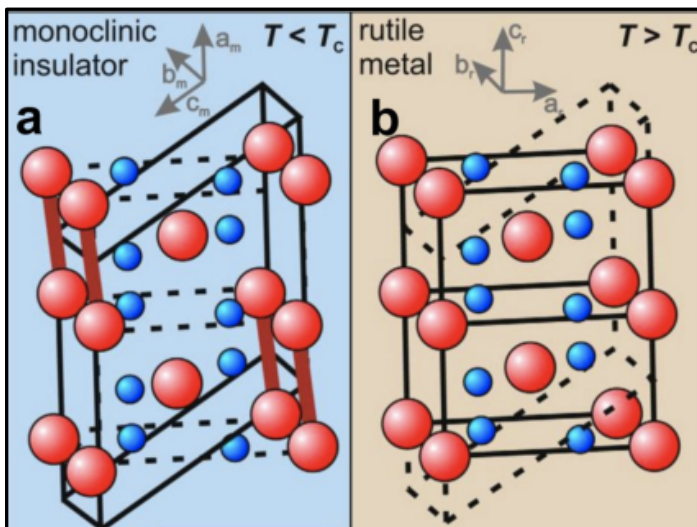
Decoding VO_x diffraction patterns using machine learning

Saaketh Desai¹, Suvo Banik^{2,3}, Haidan Wen²,
Subramanian Sankaranarayanan^{2,3}, Remi
Dingreville¹
Center for Integrated Nanotechnologies, Sandia National
Laboratories, ²Center for Nanoscale Materials, Argonne
National Laboratory, ³Dept. of Mechanical and Industrial
Engineering, UIC

Metal to insulator transition in VO_x



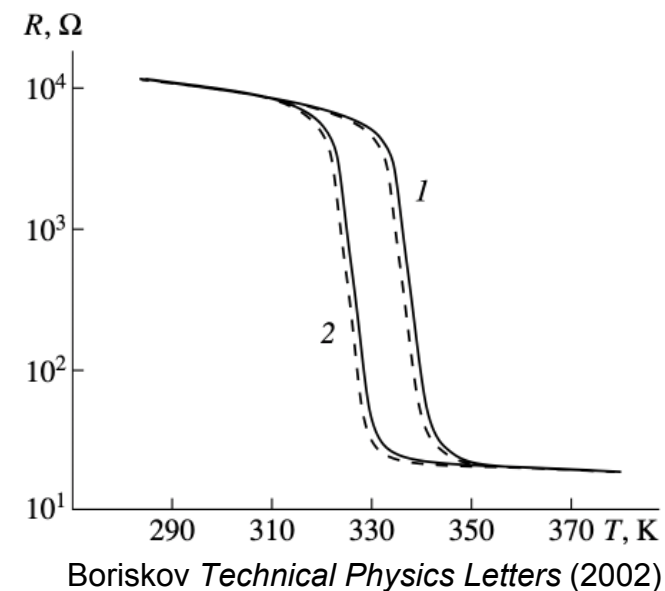
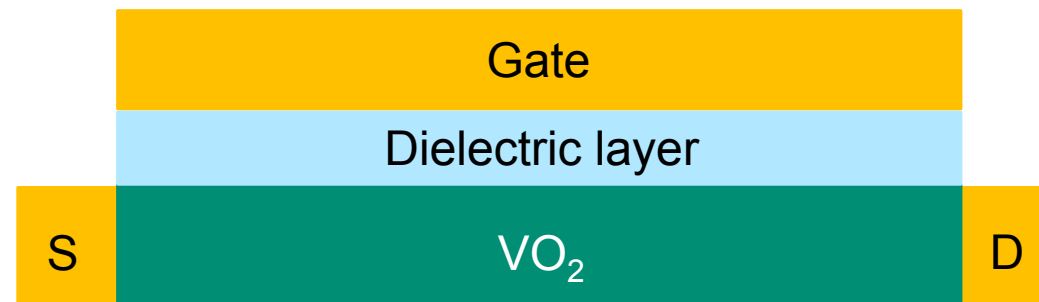
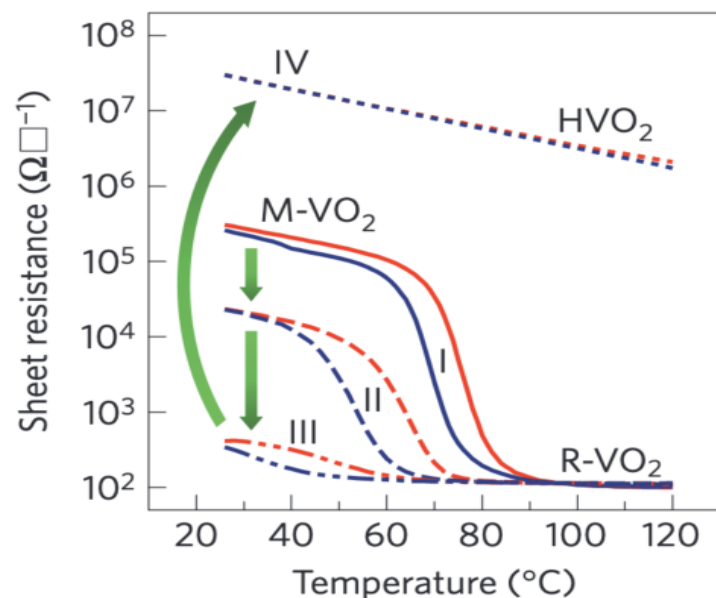
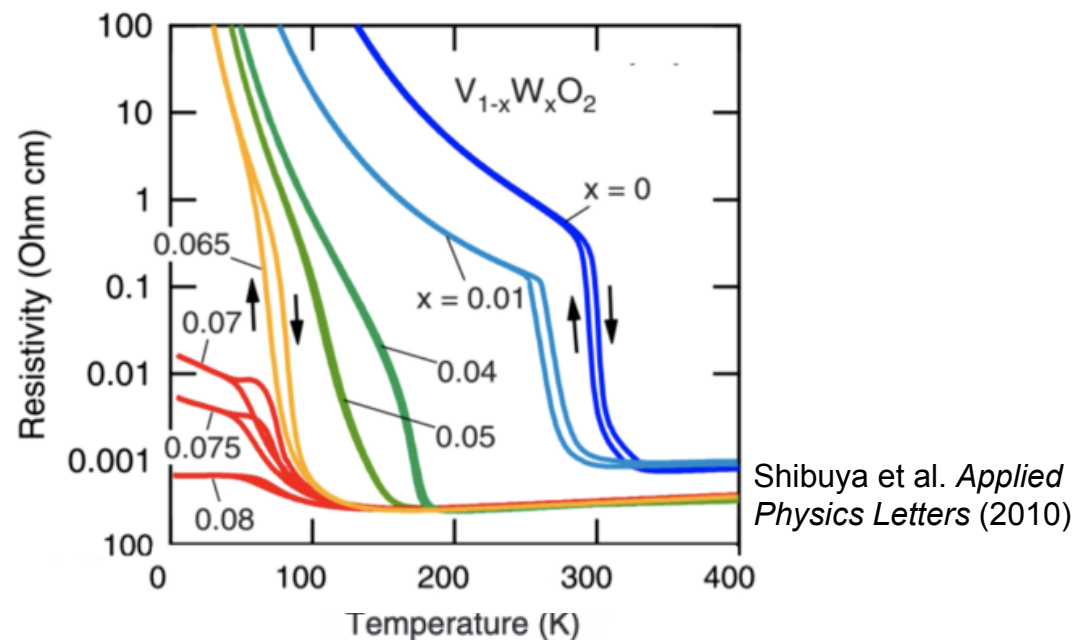
Source: nist.gov



Shao et al. *NPG Asia Materials* (2018)

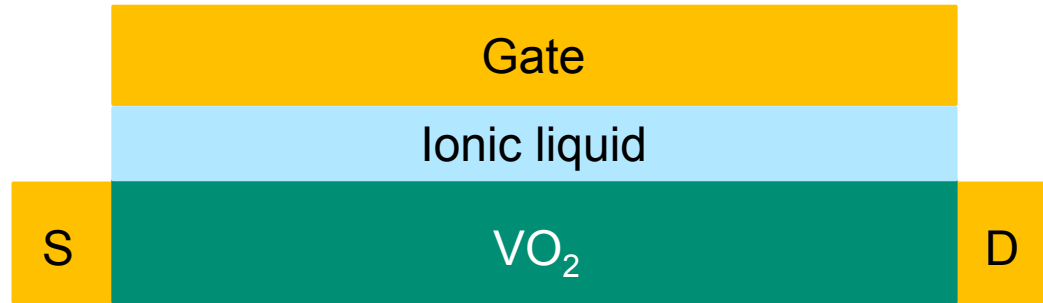
- VO_2 transforms from a metal to an insulator at 68°C
- Coincides with monoclinic to tetragonal transformation
- Applications: Smart window coatings, holographic memory, thermal IR imaging

Controlling the metal to insulator transition in VOx

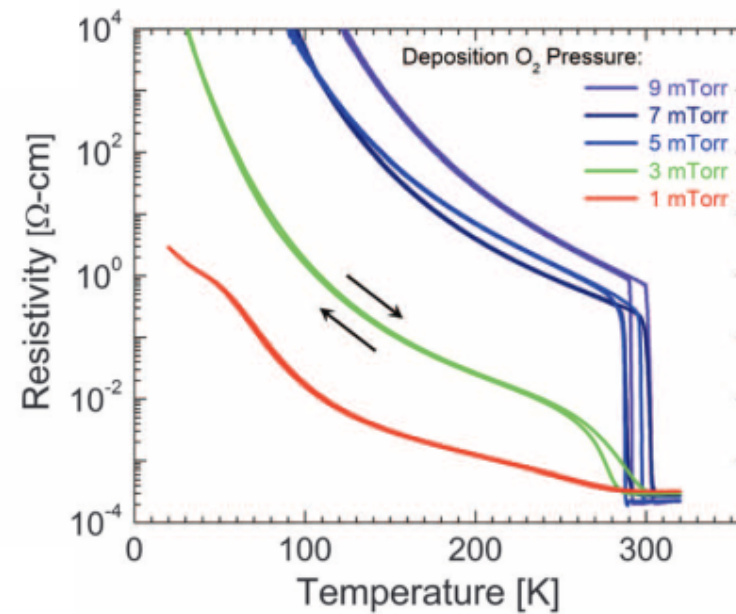
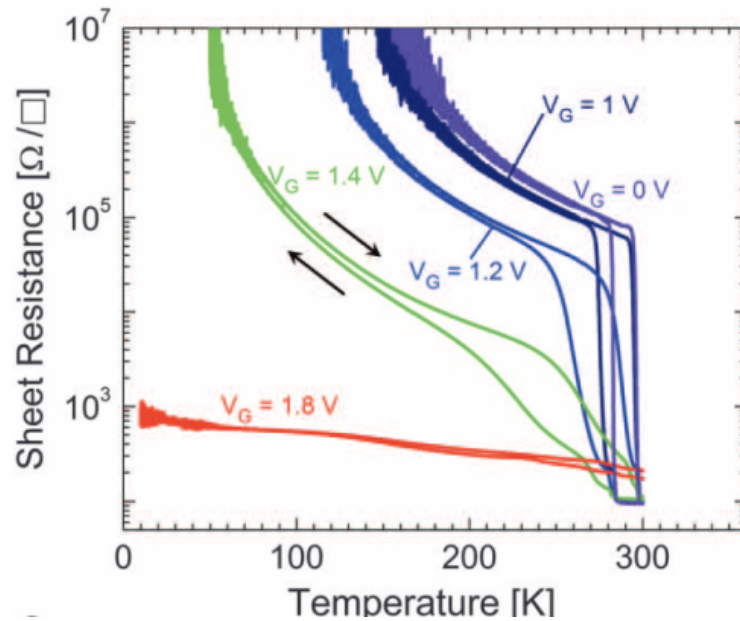


Doping elements change transformation
Gate voltage induces transformation

Vacancy induced suppression of MIT



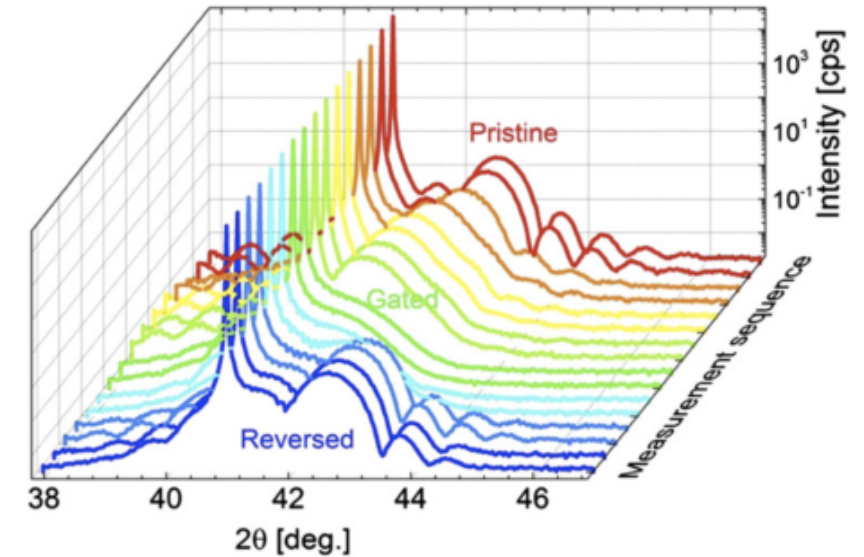
Jeong et al. *Science* (2013)



Electrolyte gating induces oxygen vacancies in VO₂

Oxygen vacancies induce metallization in the monoclinic state while expanding lattice

Jeong et al. *PNAS* (2015)



(002) peak shifts left on gating (opposite of PT)

Near neighbor distances [\AA]		
Atom pair	Pristine	Gated
V-O	1.86	1.95
V-O	2.06	
V-V(intra dimer)	2.61	2.94
V-V(inter dimer)	3.03	3.16
V-V	3.49	3.53

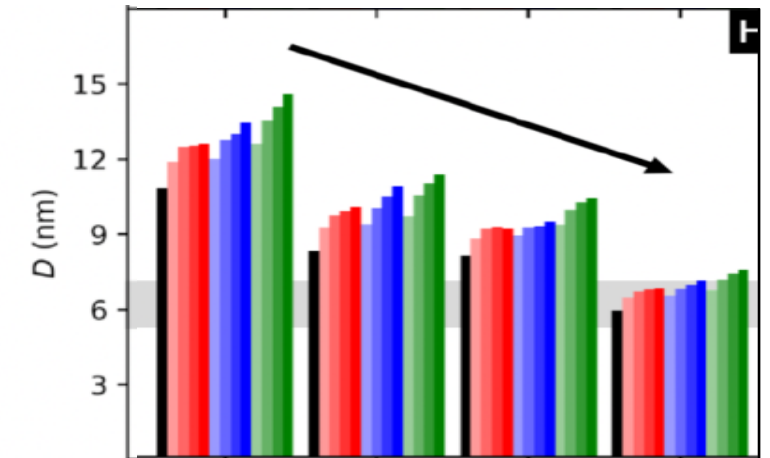
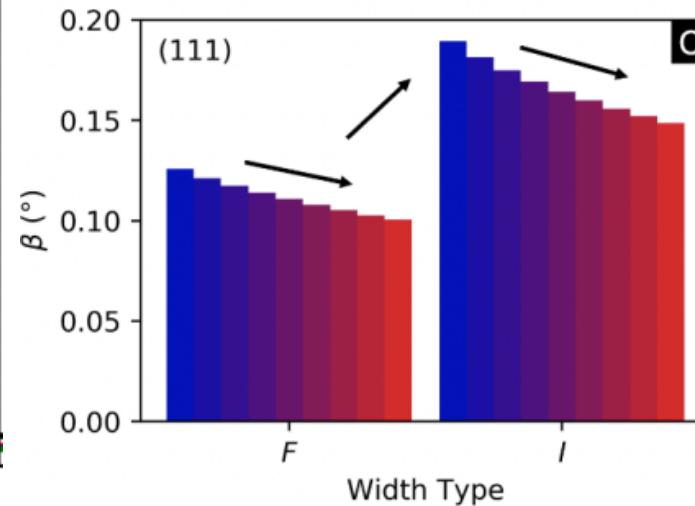
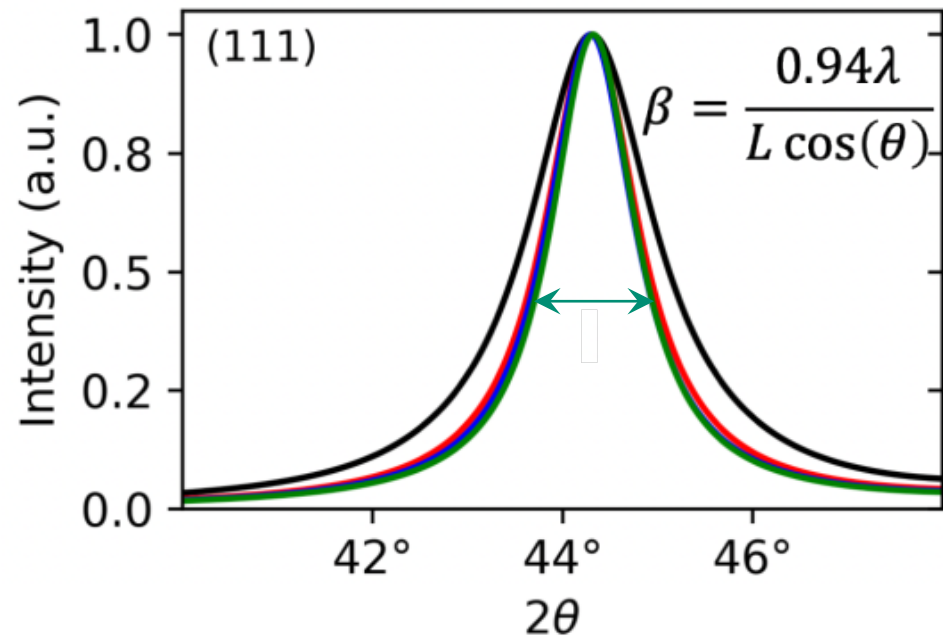
Decoding diffractograms to extract defect statistics



What defect concentrations resulted in the metalization of monoclino VO_x ?

Diffractograms = non-intrusive way to proxy defect statistics

How do we decode VO_x diffractograms and relate them to defect statistics?

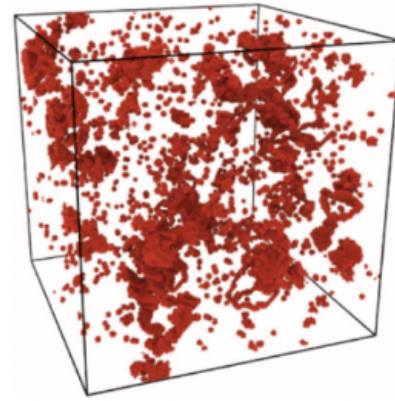
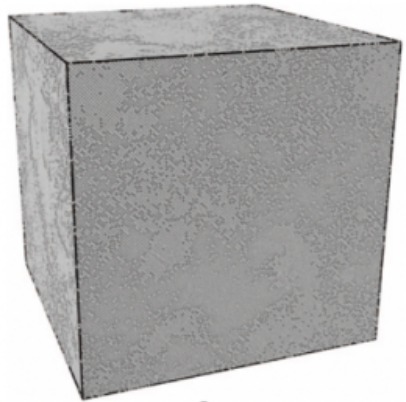


Manual decoding methods may not capture all information accurately

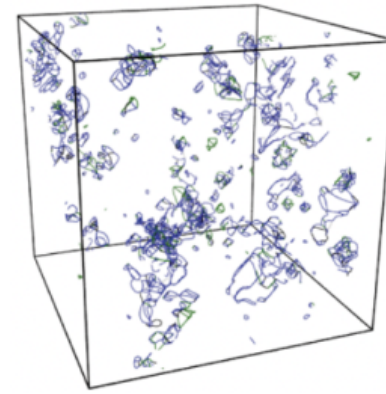
Using machine learning to decode diffraction patterns



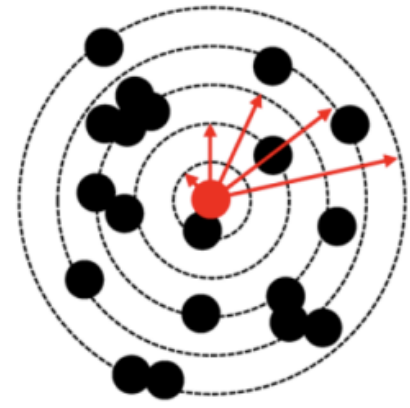
Irradiated copper



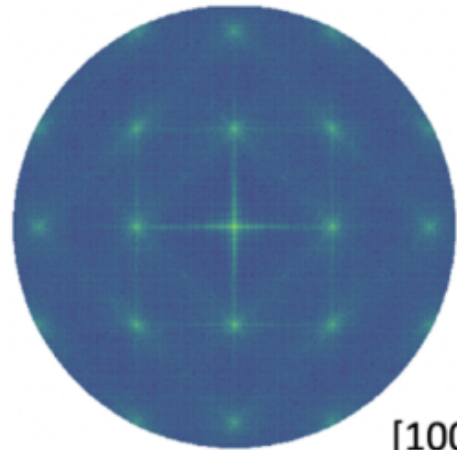
Point defect density



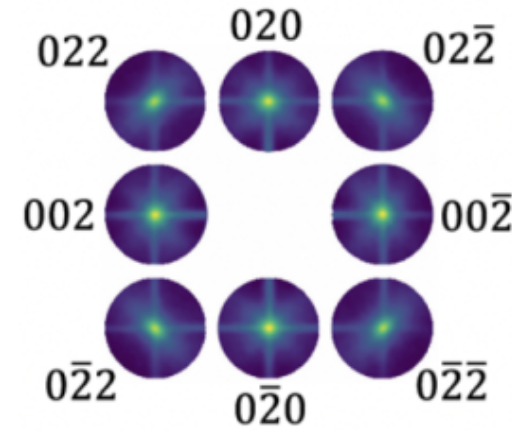
Dislocation density



Pair correlation function

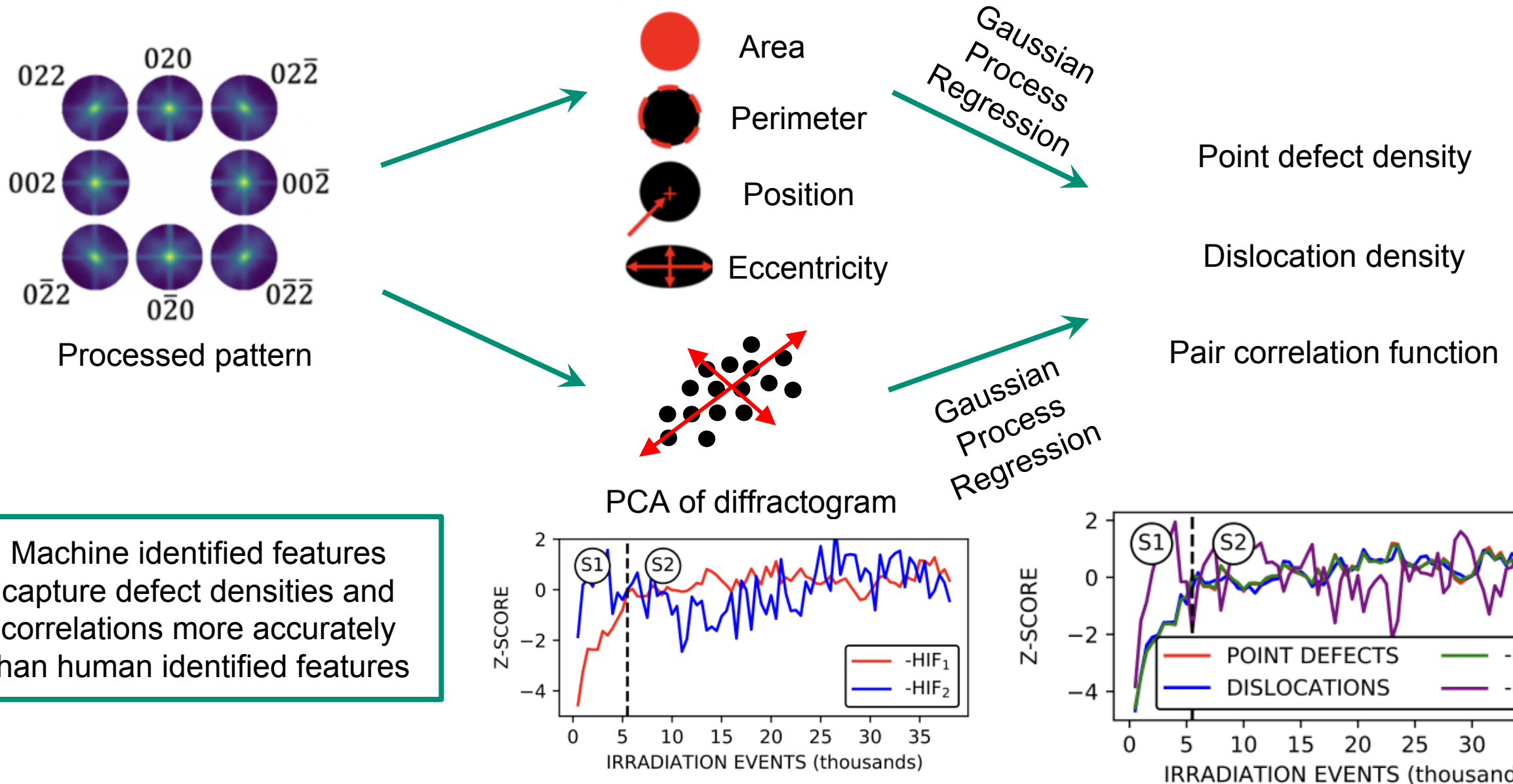


SAED pattern

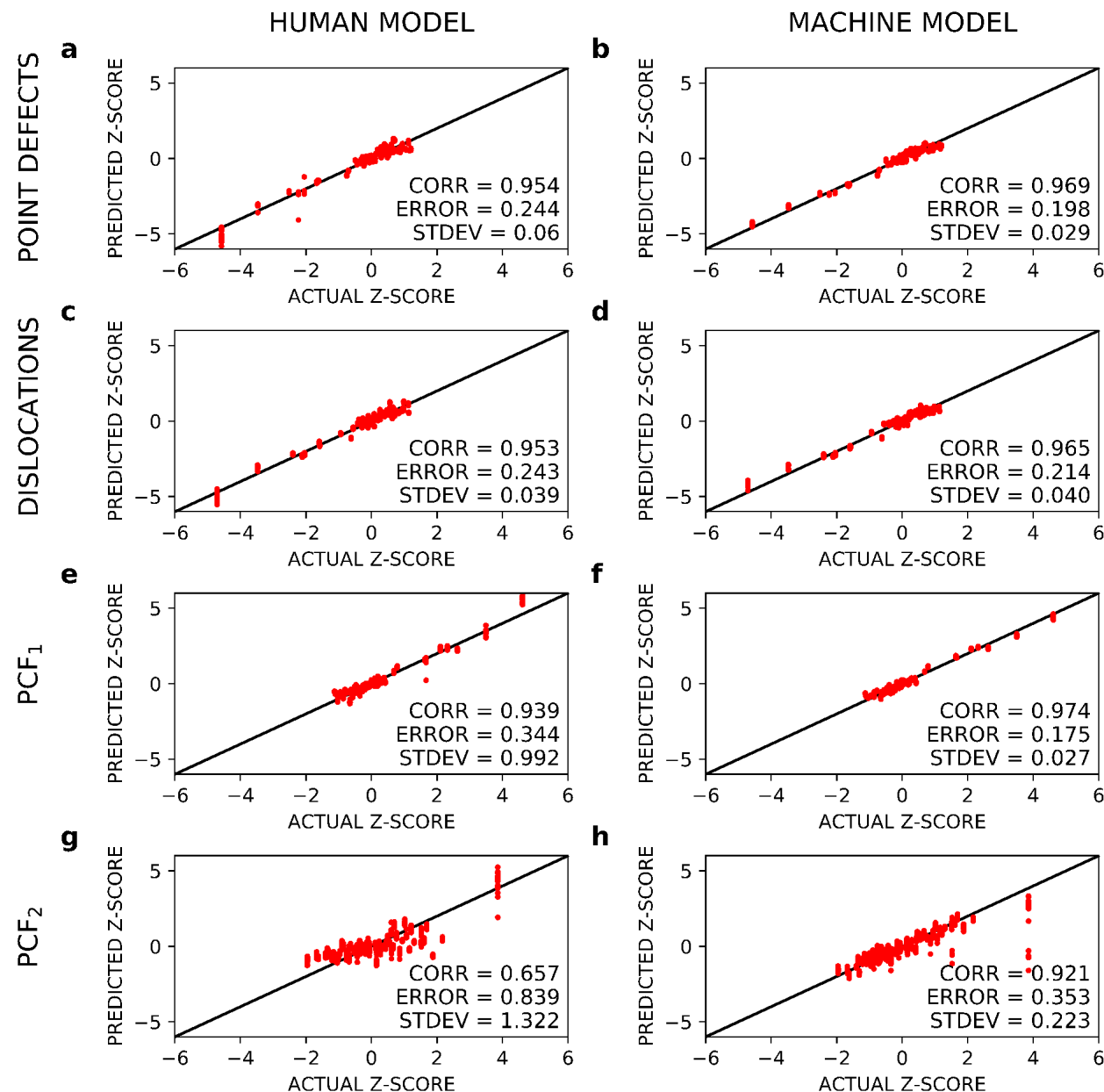
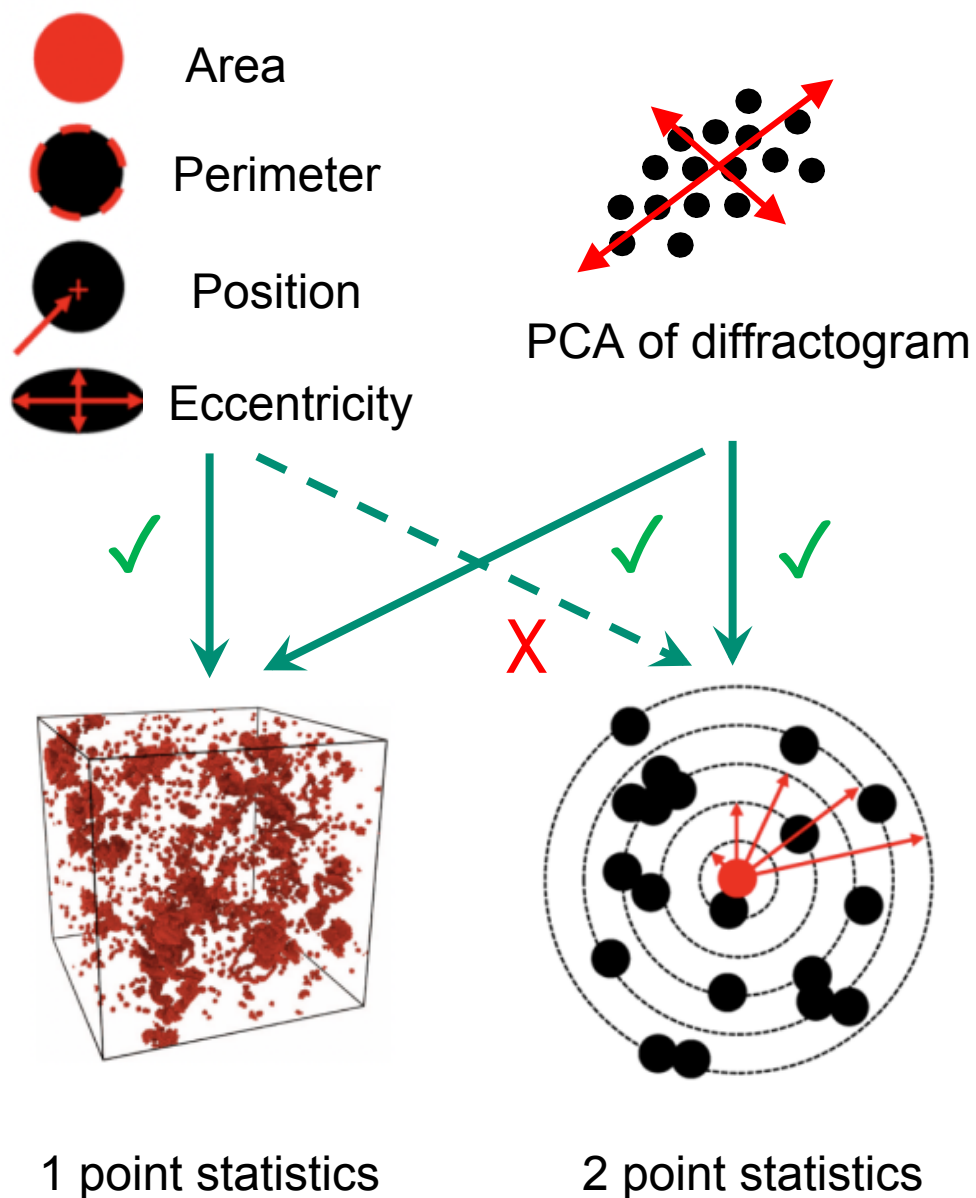


Processed pattern

Using machine learning to decode diffraction patterns



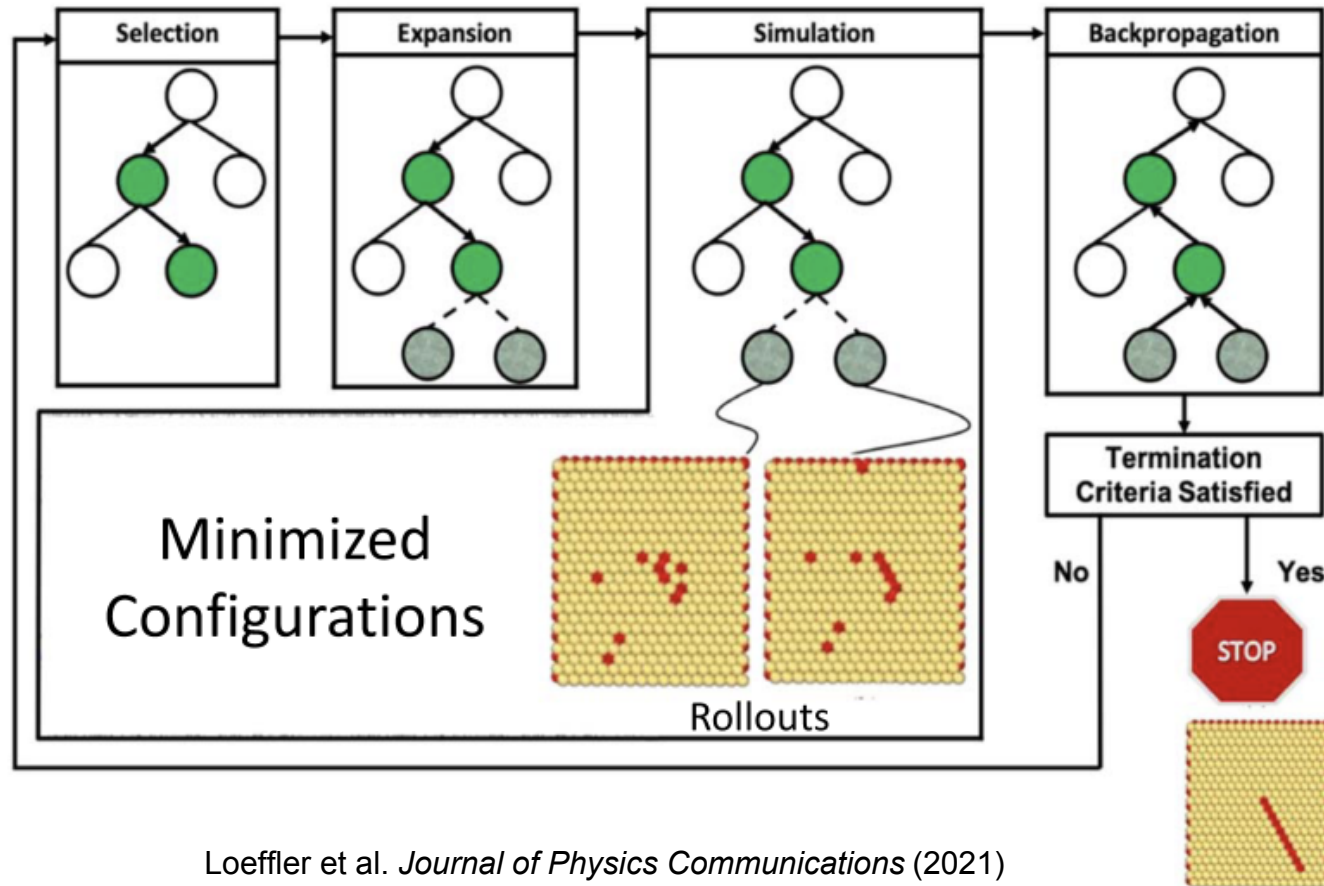
Using machine learning to decode diffraction patterns



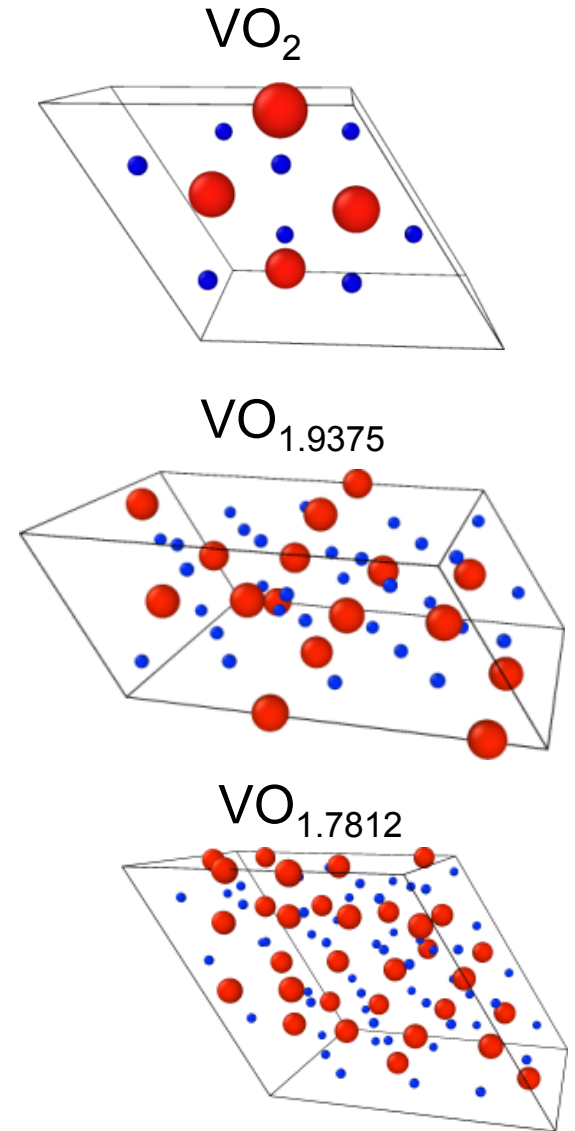
Generating VO_x structures



Monte Carlo Tree Search



Loeffler et al. *Journal of Physics Communications* (2021)

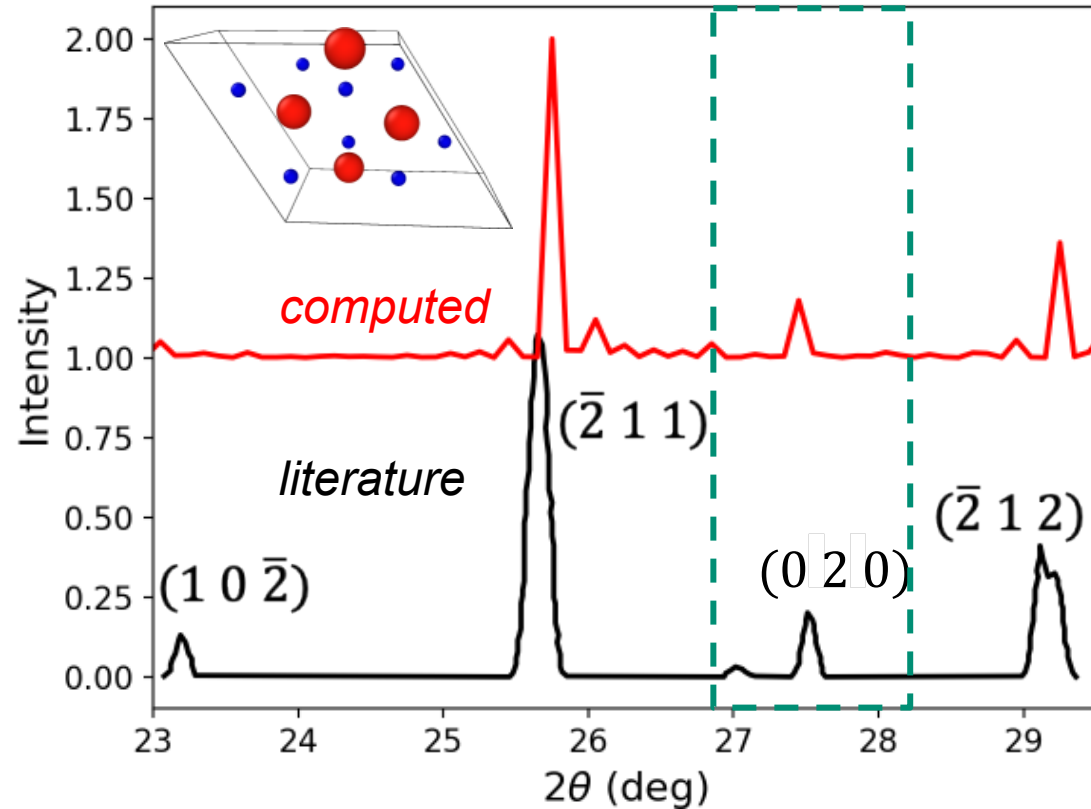


Machine identified features capture defect densities and correlations more accurately than human identified

Calibrating XRD calculations



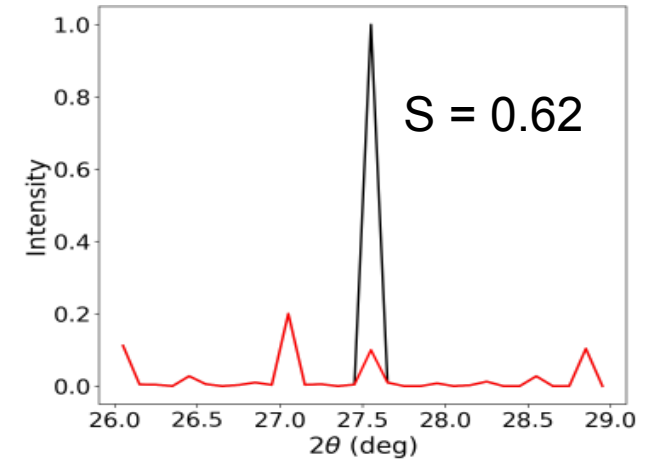
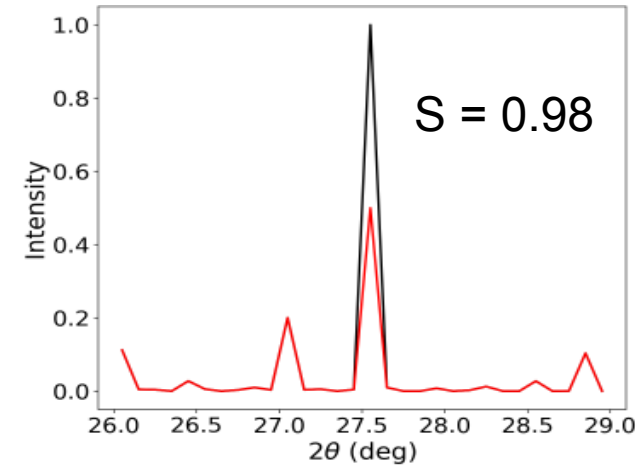
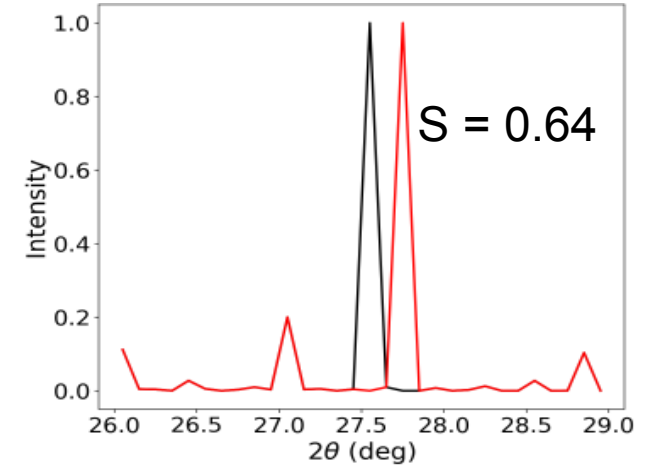
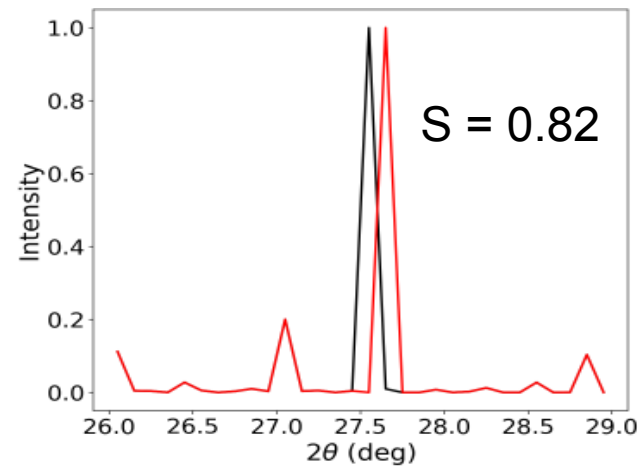
VO₂ structure



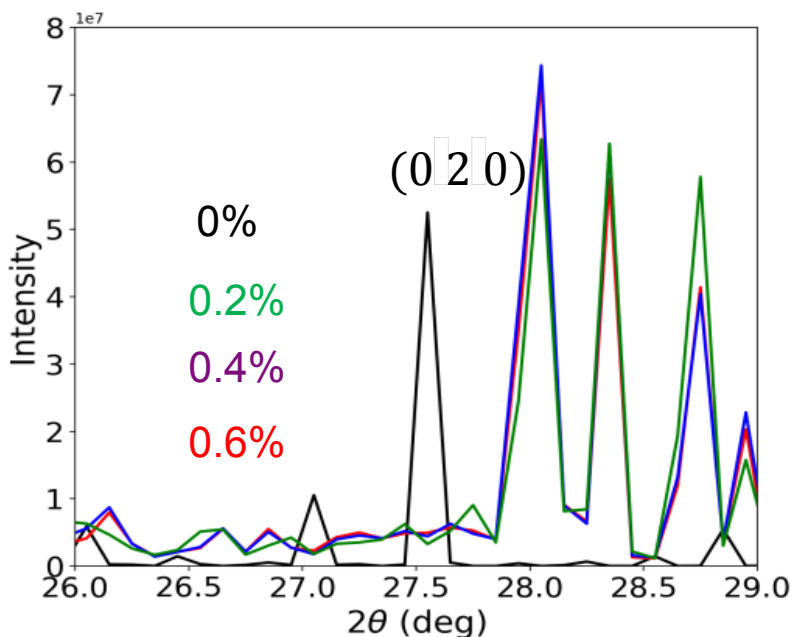
Simulated XRD patterns captures important peak locations accurately

Cross-correlation metric

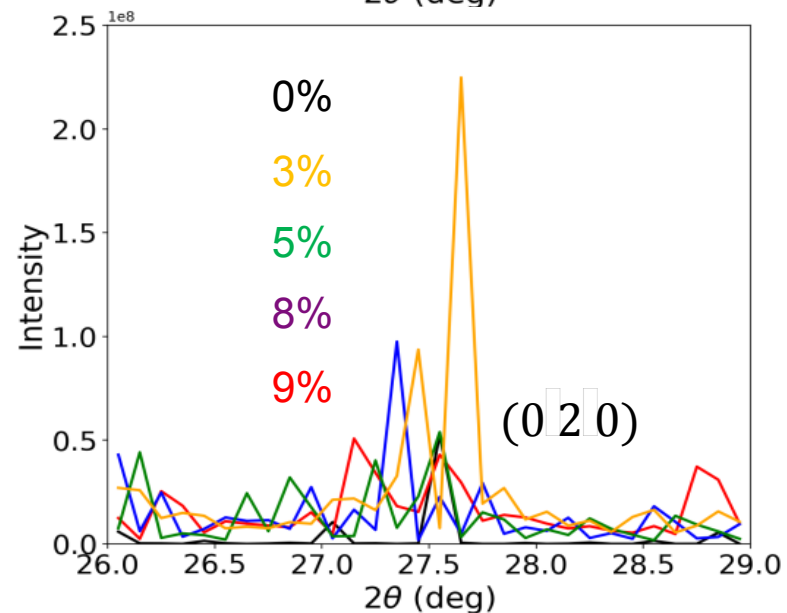
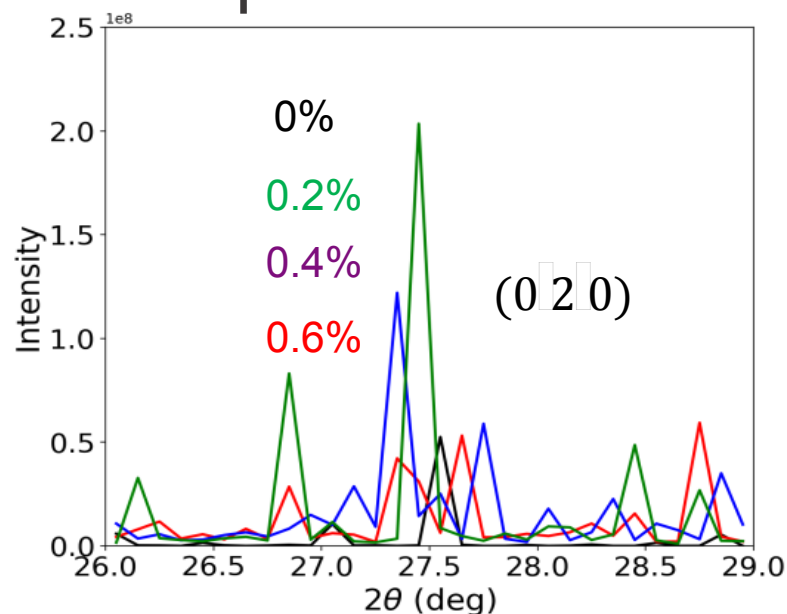
$$S_{fg} = \frac{\sum_{r=-t}^{r=t} w(r) \sum_{i=1}^n (f_i * g_{i+r})}{\left(\sum_{r=-t}^{r=t} w(r) \sum_{i=1}^n (f_i * f_{i+r}) \right)^{1/2} \left(\sum_{r=-t}^{r=t} w(r) \sum_{i=1}^n (g_i * g_{i+r}) \right)^{1/2}}$$



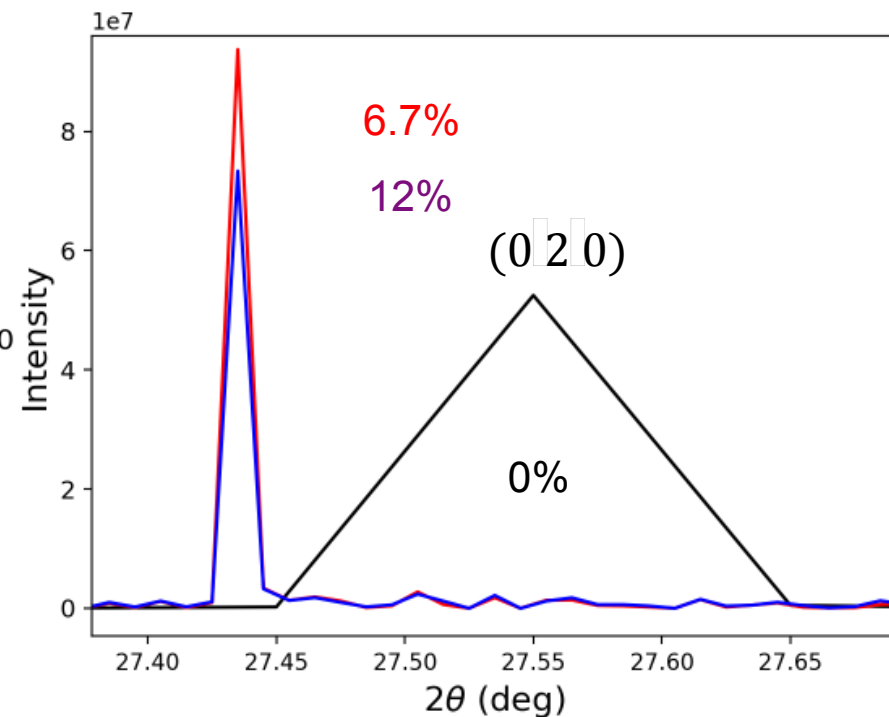
Decoding VO_x diffraction patterns



Lowest energy structure for each composition gives significantly different XRD patterns



High similarity score structures do not give a consistent trend

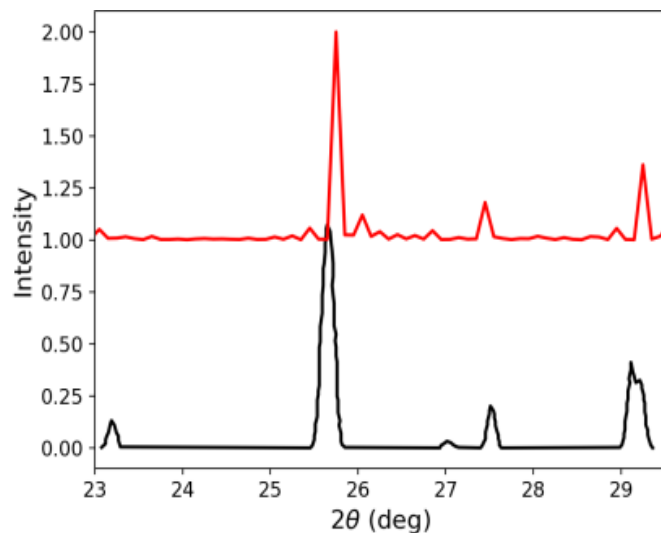


Large vacancy concentrations result in peak shift to left but also result in sharper peaks

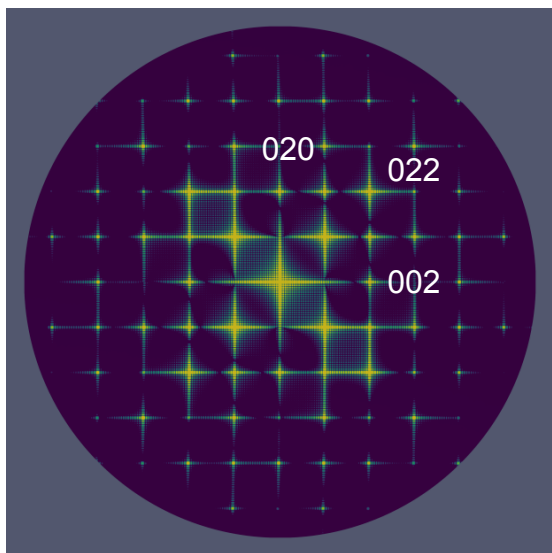
Path forward



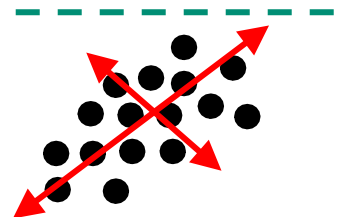
XRD



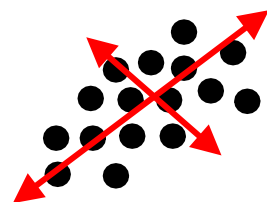
SAED



Multimodal data as
inputs to ML models



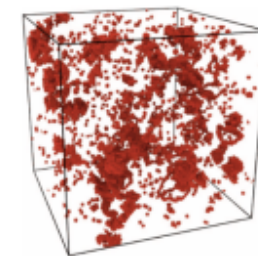
PCA/NNMF of
diffractogram



PCA/NNMF of
diffractogram

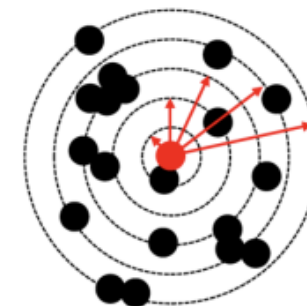
Gaussian
Process
Regression

Gaussian
Process
Regression



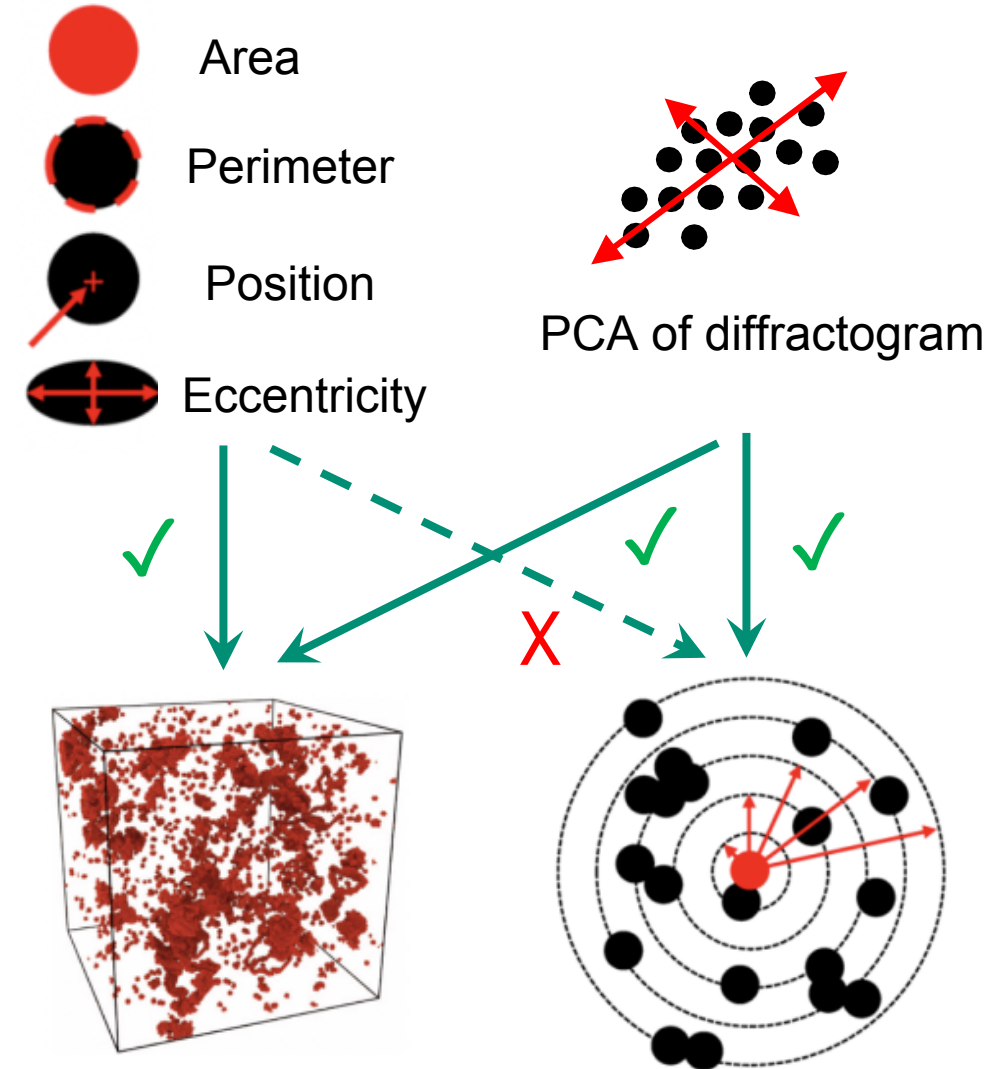
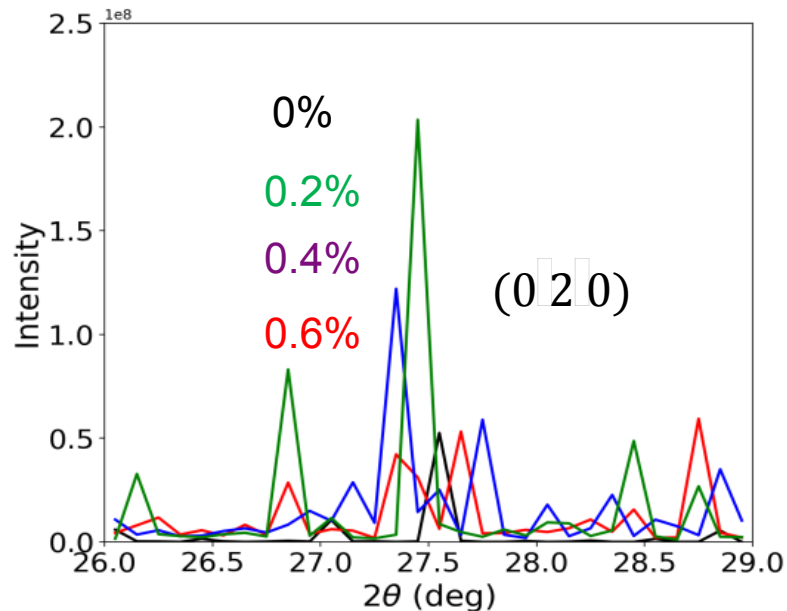
Point defect density

Pair correlation function



Take home message

- Correlating observable diffraction patterns to underlying vacancy statistics is critical to controlling metal to insulator transition
- Machine learning based approaches can extract more information from a diffractogram than human-intuition

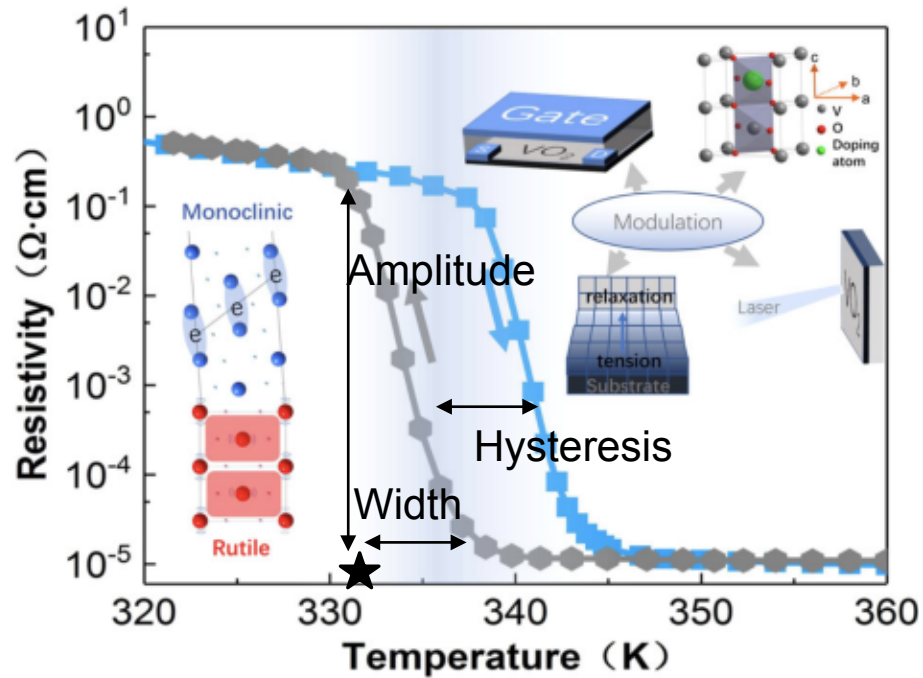




Backup slides

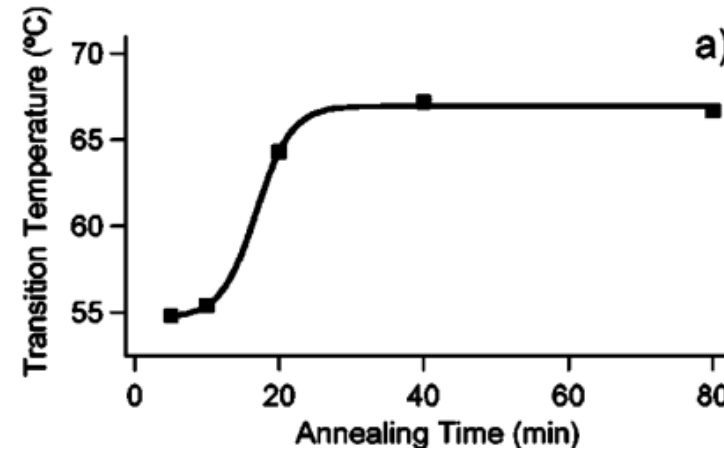


Controlling the metal to insulator transition in VOx

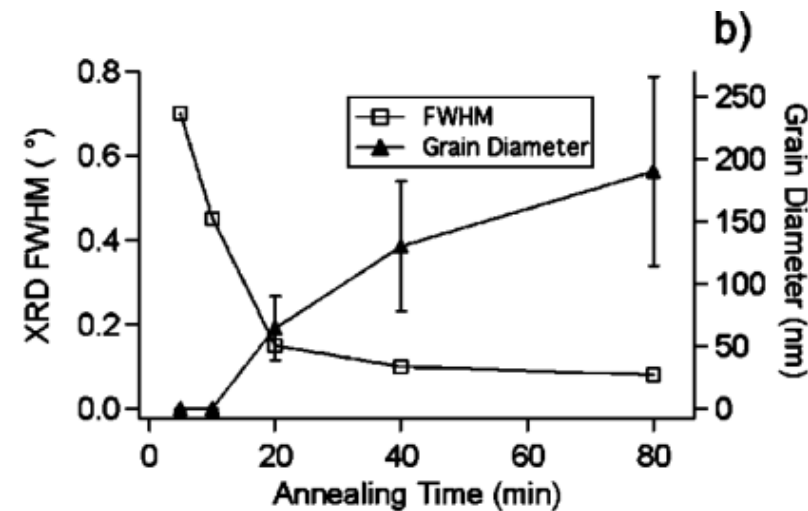


Shao et al. *NPG Asia Materials* (2018)

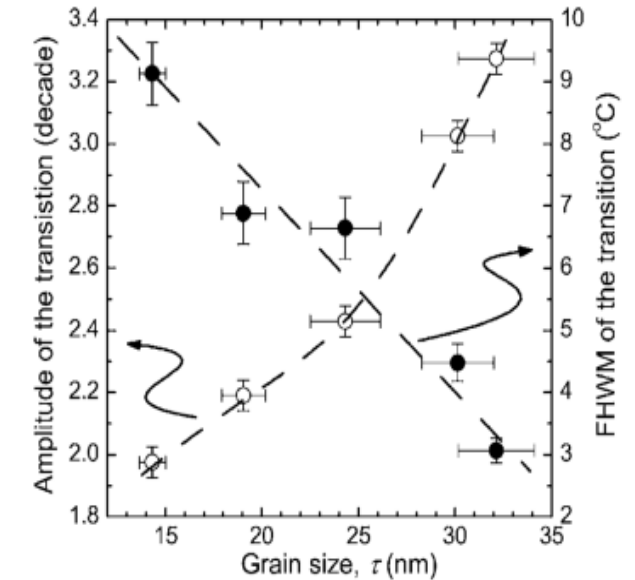
Width \propto defect concentration
 Amplitude $\propto 1/\text{defect concentration}$
 Hysteresis \propto interfacial energy



Suh et al. *Journal of Applied Physics* (2004)

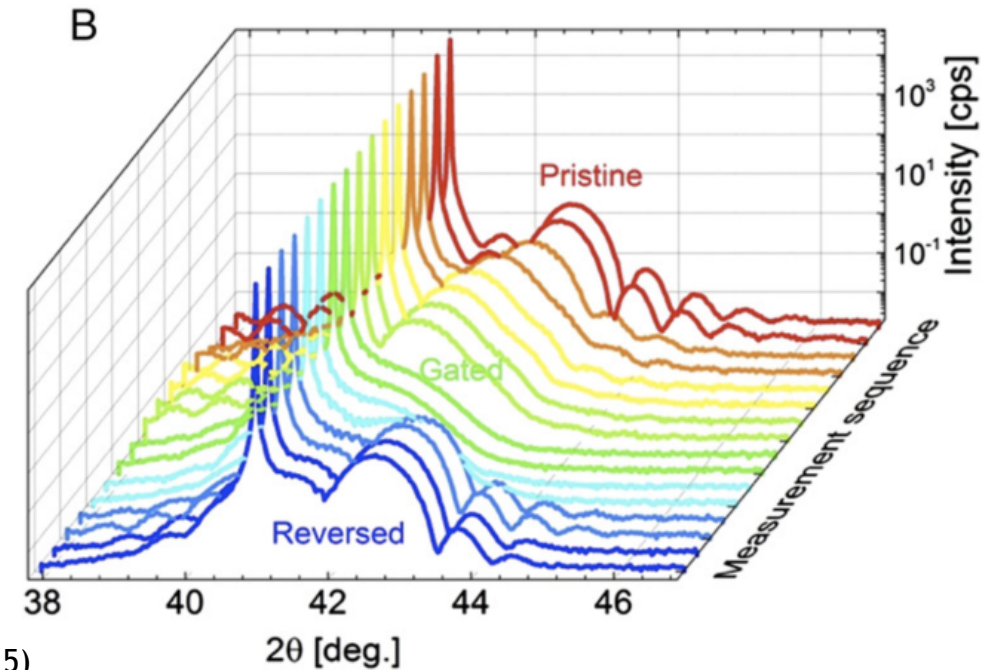
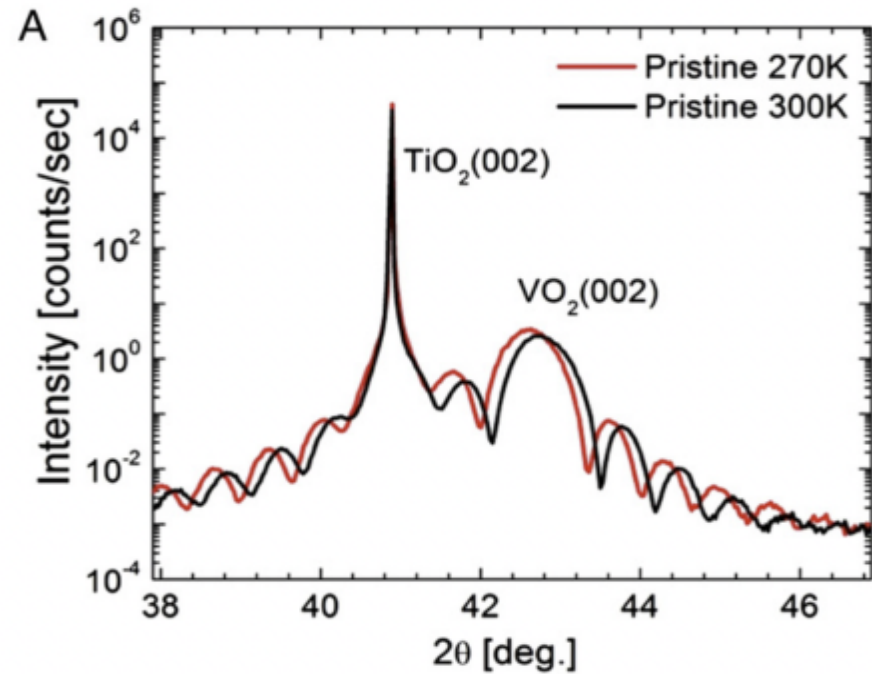


Narayan and Bhosle *Journal of Applied Physics* (2006)

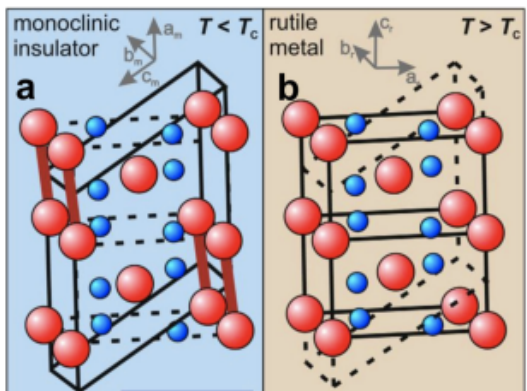


Brassard et al. *Applied Physics Letters* (2005)

Vacancy induced metallization



Jeong et al. *PNAS* (2015)



Near neighbor distances [Å]		
Atom pair	Pristine	Gated
V-O	1.86	1.95
V-O	2.06	
V-V(intra dimer)	2.61	2.94
V-V(inter dimer)	3.03	3.16
V-V	3.49	3.53

- (002) peak shifts right for monoclinic to rutile transformation
- (002) peak shifts left on gating
- Oxygen vacancies induce metallization in the monoclinic state while expanding lattice