

1 A PROCESS TO VERIFY NUMERICAL MODELS FOR SEISMIC FLUID- 2 STRUCTURE INTERACTION IN ADVANCED REACTOR VESSELS

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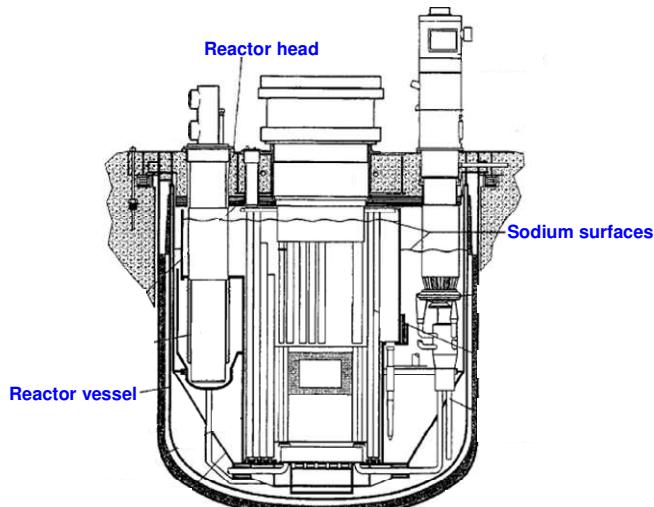
9 ABSTRACT

10 Seismic design and qualification of a liquid-filled advanced nuclear reactor will have to
11 account for fluid-structure interaction (FSI). Interaction between the tank, internal components,
12 and contained liquid will rely on analysis of numerical models that must be verified and
13 validated. This study demonstrates a verification process for models of a base-supported
14 cylindrical tank by comparing numerical predictions and analytical solutions. The numerical
15 models are consistent with the assumptions made to derive analytical solutions, namely, either a
16 rigid or a linear elastic tank, ideal fluid, and small-amplitude, unidirectional, horizontal inputs.
17 One software platform is used to illustrate the process. Seismic FSI analysis is performed using
18 the Arbitrary Lagrangian-Eulerian (ALE) and Incompressible Computational Fluid Dynamics
19 (ICFD) solvers in LS-DYNA. Reported responses are those used for design, including
20 hydrodynamic pressures on the tank wall, shear forces and moments at the tank base, and wave
21 heights of the contained liquid. The accuracy of the numerical results is discussed. The numerical
22 models are verified for calculating the pressures on the tank wall and reactions at its base.
23 Accurate simulation of wave action is challenging for both solvers. Recommendations for
24 modeling, code development, and steps for verification are provided. Although focused on
25 reactor vessels and one software platform, the verification process described herein is broadly
26 applicable to liquid-filled vessels and other finite element codes.

27 1. INTRODUCTION

28 Liquid-filled cylindrical vessels (tanks) are widely used in industry, including liquefied
29 natural gas tanks, water storage tanks, boilers in fossil power plants, and reactor vessels in
30 nuclear power plants. Fluid-structure interaction (FSI) in these tanks, generated by earthquake
31 shaking, must be addressed for design, equipment qualification, and risk assessment. Seismic FSI
32 analysis of liquid-cooled advanced reactor is the focus of this paper. Figure 1 presents an
33 example: a prototype fast reactor (PFR) filled with liquid sodium. The reactor includes a
34 cylindrical vessel (tank) and internal components immersed or submerged in the contained liquid.
35 Physical testing of the reactor vessel and its submerged components for seismic qualification is
36 not feasible because of the involved large scales. Analytical solutions for calculating fluid-
37 structure responses cannot be used because the boundary conditions, geometry, and seismic
38 inputs do not conform with the underlying assumptions. If a reactor vessel is subjected to intense
39 earthquake shaking, the responses of the liquid will be nonlinear, including sloshing and
40 disengagement from the inner surfaces of the vessel, none of which can be calculated
41 analytically. Numerical models can address these nonlinear responses using fluid-mechanics

42 solvers, including adaptive meshing routines or defining fluid in a control volume (fluid domain)
 43 without discretizing the fluid. Examples of fluid-mechanics solvers are: the Fluent and CFX in
 44 ANSYS (ANSYS Inc., 2005), the Computational Fluid Dynamics (CFD) and Coupled Eulerian
 45 and Lagrangian (CEL) in ABAQUS (Dassault Systèmes, 2018), the Arbitrary Lagrangian-
 46 Eulerian (ALE), Incompressible Computational Fluid Dynamics (ICFD), and Smoothed Particle
 47 Hydrodynamics (SPH) in LS-DYNA (Livermore Software Technology Corporation (LSTC),
 48 2018), and the particle finite element method (PFEM) in OpenSeesPy (Zhu et al., 2018), which is
 49 an extension of OpenSees (Mazzoni et al., 2009).



50 Figure 1. Prototype fast reactor (PFR), Dounreay, Scotland, constructed using stainless steel and filled
 51 with liquid sodium; dimensions of the vessel: $R = 6.1$ m, $H_s = 15.2$ m, $H \square 0.9 H_s = 13.7$ m, and $h = 12.7$
 52 mm (International Atomic Energy Agency (IAEA), 1999; Jensen and Ølgaard, 1995)

53 Numerical models used for design and qualification of safety-related nuclear equipment, such
 54 as reactors, must be *verified* and *validated*. Oberkampf and his co-workers (Oberkampf and
 55 Trucano, 2002; Oberkampf and Roy, 2010; American Institute of Aeronautics and Astronautics
 56 (AIAA), 1998) and American Society of Mechanical Engineers (ASME) (2020, 2009) provide
 57 guidance for verification and validation (V&V) of numerical models. The V&V activities depend
 58 on the application of the numerical analysis, and so the process is not unique. The guidance
 59 suggests quantifying the accuracy of a model by comparing numerical results with benchmarks:
 60 1) *highly accurate solutions* for verification, and 2) experimental data for validation. The *highly*
 61 *accurate solutions* are either closed form or based on solutions to differential equations (AIAA
 62 1998).

63 A majority of V&V studies were developed for solid-mechanics simulations (Oberkampf and
 64 Trucano, 2008). Although guidance for V&V of fluid-dynamics simulations is available (e.g.,
 65 Oberkampf and Trucano (2002), AIAA (1998), and ASME (2009)), none is specific to models
 66 used for seismic analysis of liquid-filled reactor vessels, which is the focus of this paper.

67 As presented in Figure 1, a liquid-cooled advanced reactor is composed of a cylindrical tank,
 68 liquid, and immersed (or submerged) components. Per Oberkampf and Trucano (2008), the
 69 benchmarks (i.e., analytical solutions and experimental results) used for V&V here are
 70 developed based on a hierarchy of complexity in the geometry and liquid (fluid) responses in the
 71 reactor vessel. The reactor is parsed into a liquid-filled cylindrical tank and immersed (or

72 submerged) components. Table 1 presents companion studies (Yu and Whittaker, 2021a; Yu et
73 al., 2021; Mir et al., 2020) to those described in this paper.

74 Table 1. Studies in the verification and validation for seismic FSI models of a liquid-cooled advanced
75 reactor, parsed into a cylindrical tank and internal components

	Verification	Validation
Cylindrical tank	Presented here	Yu et al. (2021); Mir et al. (2020)
Cylindrical tank and internal components	Yu and Whittaker (2021a)	Mir et al. (2021)

76 A number of studies have addressed verification of FSI models for liquid-filled tanks, but
77 none, to the knowledge of the authors, have considered all fluid-structure responses critical to the
78 seismic design of reactor vessels (e.g., pressures, reactions at supports, and wave heights). Ma et
79 al. (1983) and Fujita et al. (1984) both developed numerical formulations for seismic FSI
80 analysis of reactor vessels. Ma et al. (1983) compared numerical and analytical results for
81 fundamental frequencies of wave actions in a vessel, and Fujita et al. (1984) compared those for
82 wave heights. Christovasilis and Whittaker (2008) and Goudarzi and Sabbagh-Yazdi (2012) used
83 ANSYS for seismic FSI analysis of cylindrical and rectangular tanks, respectively. Christovasilis
84 and Whittaker (2008) compared numerical results for wave heights and reactions at the base of a
85 cylindrical tank with those calculated using a mechanical analog derived from analytical
86 solutions. Goudarzi and Sabbagh-Yazdi (2012) verified numerical models for wave heights in six
87 rectangular tanks with different dimensions.

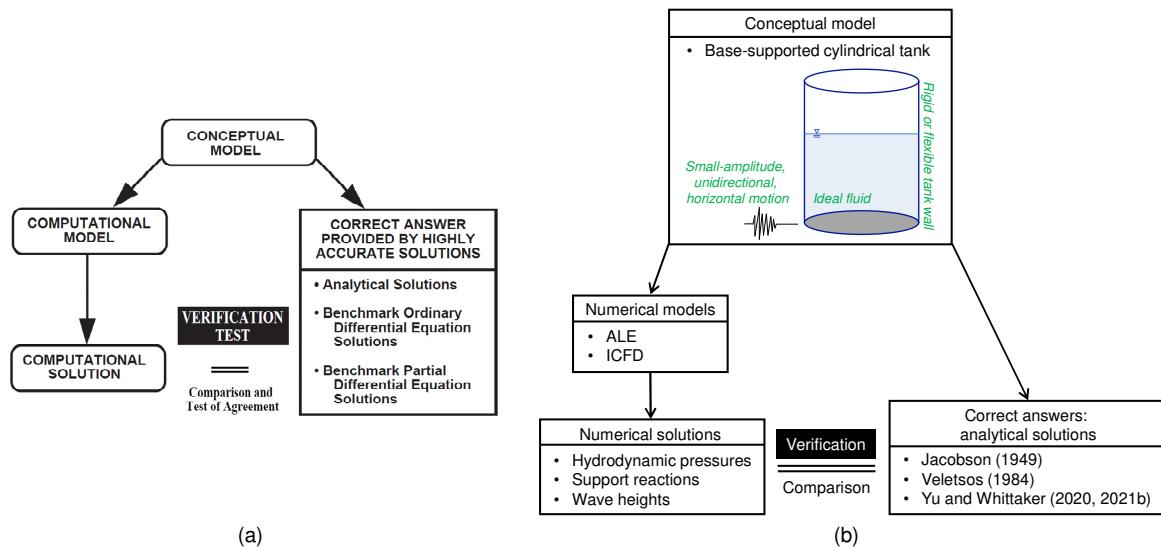
88 The verification process presented here aligns most closely with that provided in AIAA
89 (1998), and considers critical fluid-structure responses in reactor vessels. Analytical solutions
90 derived by Veletsos (1984), Jacobsen (1949), and Yu and Whittaker (2020, 2021b) are used as
91 benchmarks. The ALE and ICFD solvers in LS-DYNA (2018), which are widely used in
92 earthquake engineering and nuclear industry, are used here for numerical analysis of a rigid and
93 an elastic (flexible) tank. Although this paper focuses on reactor vessels and one software
94 platform, the verification process described herein is broadly applicable to liquid-filled vessels
95 and other software packages.

96 Section 2 defines the process of verification. Section 3 introduces the benchmark, which are
97 the analytical solutions used in the verification exercise. Section 4 describes numerical models
98 for cylindrical tanks. Section 5 presents input motions used for seismic FSI analysis of the tanks.
99 Section 6 verifies the models by comparing the numerical and analytical results for fluid-
100 structure responses. Section 7 presents a summary and conclusions of the verification exercise
101 and recommended steps for the process.

102 2. IMPLEMENTATION OF A VERIFICATION PROCESS

103 The process of verification defined in AIAA (1998) is implemented here: quantifying the
104 accuracy of a numerical model by comparing analysis results with *correct answers*. Figure 2a
105 presents the AIAA process, involving a *conceptual model*, *computational model*, *computational*
106 *solution*, and *correct answer provided by highly accurate solutions*. The computational model,
107 termed a numerical model in this paper, is the subject of verification. The computational solution
108 is the numerical result of a response quantity important to the analysis. This numerical model is
109 constructed based on a conceptual model relevant to the application of the numerical analysis.
110 The conceptual model has physical properties (e.g., geometry, boundary conditions, initial

111 conditions, and mechanical properties) for which accurate solutions are available. A correct
 112 answer is generated using highly accurate solutions: analytical solution (closed-form solution),
 113 ODE, or PDE, as noted in Figure 2a. Accordingly, the physical properties used for the
 114 conceptual model, computational model, and the highly accurate solutions are identical. The
 115 computational model is verified by comparing the computational solution for and correct answer
 116 to the response quantity.



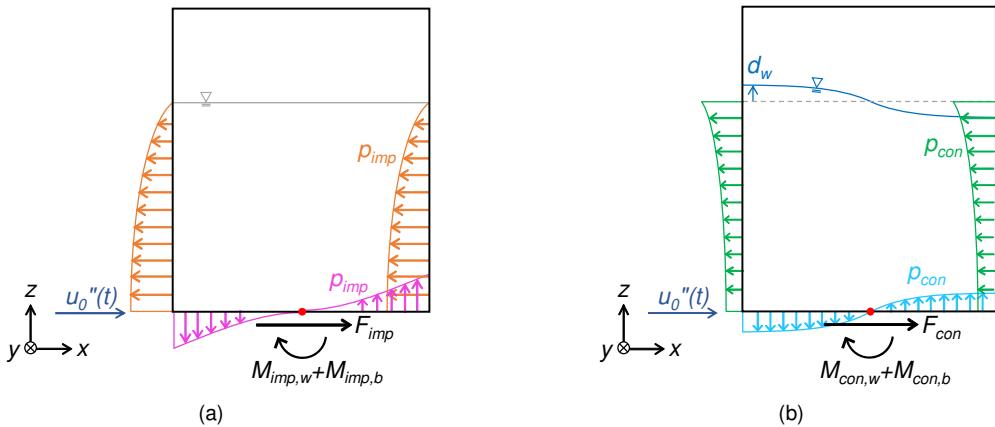
117 Figure 2. Verification process: (a) defined by AIAA (1998); (b) implemented here

118 The studies presented in this paper implement the process of Figure 2a to verify numerical
 119 models of a liquid-cooled advanced reactor vessel: Figure 2b. The conceptual model used here is
 120 a liquid-filled tank, for which analytical solutions are available: Jacobsen (1949), Veletsos
 121 (1984), and Yu and Whittaker (2020, 2021b). The conceptual model must accommodate the
 122 geometry, boundary conditions, initial conditions, and mechanical properties of the tank assumed
 123 for the derivations of the analytical solutions. Figure 2b presents a schematic view of the
 124 conceptual model: a rigid or flexible, cylindrical, base-supported tank, filled with an ideal fluid,
 125 and subjected to small-amplitude, unidirectional, horizontal motion. The numerical models are
 126 developed for this conceptual tank and analyzed using the ALE and ICFD solvers in LS-DYNA.
 127 The numerical solutions are calculated for fluid-structure responses critical to the seismic design
 128 of the reactor vessel: hydrodynamic pressures on the tank wall, reactions (i.e., shear forces and
 129 moments) at the tank base, and wave heights of the contained liquid. Each numerical model (i.e.,
 130 ALE or ICFD) is verified by comparing the results for the responses with those calculated using
 131 the analytical solutions.

132 AIAA (1998) noted that investigation for temporal (i.e., time step), spatial (i.e., mesh size),
 133 and iterative convergence in numerical analysis are crucial to the verification process. The
 134 numerical results (see Section 6) presented in this paper are generated using the optimized
 135 numerical models (see Section 4) developed via sensitivity analyses for the temporal and spatial
 136 discretizations and the number of computational iterations. The sensitivity analyses and
 137 convergence are not within the scope of this paper and so are not discussed.

3. ANALYTICAL SOLUTIONS: AN INTRODUCTION

Jacobsen (1949) and Veletsos (1984) developed analytical solutions for fluid-structure responses of liquid (fluid)-filled base-supported cylindrical tanks subjected to unidirectional horizontal motions. The fluid response was assumed to be linear, and so the analytical solutions are strictly applicable to small-amplitude motions. The fluid-structure response was parsed into an impulsive and a convective component, shown using the accelerating tank in Figures 3a and b, respectively. The impulsive response is generated by the part of the fluid accelerating with the tank horizontally. The convective response is generated by the other part of the fluid assumed not to move with the tank but to oscillate vertically and induce waves. Per Figure 3a, the fluid associated with the impulsive component is attached to the inner surfaces of the tank and generates pressures p_{imp} in the fluid and on these surfaces. The resultant force of p_{imp} on the tank wall (orange arrows) is balanced by a shear force in the x direction, F_{imp} . The resultant moments of p_{imp} on the wall (orange arrows) and base (pink arrows) are balanced by moments at the tank base about the y axis, $M_{imp,w}$ and $M_{imp,b}$, respectively. Per Figure 3b, the fluid associated with the convective component generates waves: the free surface oscillates vertically by a small displacement d_w (termed wave height hereafter), at a convective frequency f_{con} . The wave action induces convective pressures p_{con} in the fluid and on the inner surfaces of the tank, which are balanced by reactions at the tank base F_{con} , $M_{con,w}$, and $M_{con,b}$ (counterparts of F_{imp} , $M_{imp,w}$, and $M_{imp,b}$, respectively).



157 Figure 3. FSI in a vertical cross section of a base-supported tank accelerating in the x direction: (a)
158 impulsive: pressures, p_{imp} , on the walls and base; shear force, F_{imp} , and moments, $M_{imp,w}$ and $M_{imp,b}$, at the
159 base; (b) convective: wave height, d_w ; pressures, p_{con} , on the walls and base; shear force, F_{con} , and
160 moments, $M_{con,w}$ and $M_{con,b}$, at the base

161 Jacobsen (1949) assumed the tank to be rigid and derived analytical solutions for impulsive
162 pressures, termed p_1 , and resultants of p_1 at the tank base: a shear force, X_1 , and two moments,
163 M_1 , and N_1 . The pressure p_1 is identical to p_{imp} defined in Figure 3a, and the resultant forces are
164 balanced by the reactions at the tank base: $F_{imp} = -X_1$, $M_{imp,w} = -M_1$, and $M_{imp,b} = -N_1$. The
165 solutions presented in Jacobsen (1949) involve calculation errors, and were corrected and re-
166 derived in Yu and Whittaker (2020, 2021b). Table 2 lists the equation numbers for the analytical
167 solutions presented in Jacobsen (1949) and those corrected by Yu and Whittaker (2020, 2021b).

168 Veletsos considered tank flexibility and assumed the tank to be full for deriving impulsive
169 responses. The tank was assumed to be rigid for deriving convective responses. Veletsos
170 decoupled impulsive and convective responses into modal contributions, and derived solutions

for each mode. For the impulsive component, the solutions of Veletsos addressed the impulsive frequency $f_{imp,k}$ of the k th mode, and the modal responses: $p_{imp,k}$, $F_{imp,k}$, $M_{imp,w,k}$, and $M_{imp,b,k}$ (termed P_i , Q_i , M_i , and ΔM_i , respectively, in Veletsos (1984)). For the convective component, the solutions addressed $f_{con,j}$, $p_{con,j}$, $F_{con,j}$, $M_{con,w,j}$, $M_{con,b,j}$, and $d_{w,j}$ in the j th convective mode (termed f_j , P_c , Q_c , M_c , ΔM_c , and d , respectively, in Veletsos (1984)). The sign convention was not defined clearly in Veletsos (1984), and minus signs in some solutions were ignored or omitted. These solutions were re-worked in Yu and Whittaker (2020, 2021b). Tables 3 and 4 list the equation numbers and references (Yu and Whittaker, 2020, 2021b; Veletsos, 1984) for the analytical solutions of the impulsive and convective responses, respectively.

Table 2 Equation numbers of analytical solutions for impulsive responses presented in Jacobsen (1949) and those corrected in Yu and Whittaker (2020, 2021b), rigid, base-supported, cylindrical tanks, unidirectional horizontal motion of a small amplitude

	p_{imp}	F_{imp}	$M_{imp,w}$	$M_{imp,b}$
Jacobsen (1949)	16	17	-- ^a	19
Yu and Whittaker (2020, 2021b)	3.9, 1	3.11, 4	3.12, 6	3.13, 8

a. No equation number was assigned to $M_{imp,w}$ in Jacobsen (1949). The analytical solution was presented between Eqs. (18) and (19).

Table 3. Equation numbers of analytical solutions for impulsive frequencies and responses presented in Veletsos (1984) and those re-worked in Yu and Whittaker (2020, 2021b), flexible, base-supported, cylindrical tanks, unidirectional horizontal motion of a small amplitude

	$f_{imp,k}$	$p_{imp,k}$	$F_{imp,k}$	$M_{imp,w,k}$	$M_{imp,b,k}$
Veletsos (1984) ^a	7-52	7-44	7-45	7-46	7-47
Yu and Whittaker (2020, 2021b)	3.52, 11	3.59, 12	3.61, 13	3.62, 14	3.63, 15

a. The sign convention was not defined clearly. See Yu and Whittaker (2020, 2021b) for more information.

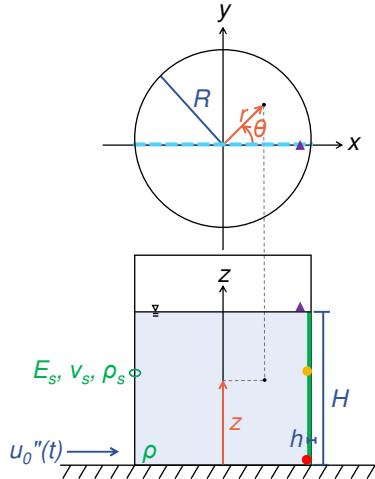
Table 4. Equation numbers of analytical solutions for convective frequencies and responses presented in Veletsos (1984) and those re-worked in Yu and Whittaker (2020, 2021b), rigid, base-supported, cylindrical tanks, unidirectional horizontal motion of a small amplitude

	$f_{con,j}$	$p_{con,j}$	$F_{con,j}$	$M_{con,w,j}$	$M_{con,b,j}$	$d_{w,j}$
Veletsos (1984) ^a	7-8 C-27	7-3 C-45	7-16 ^b C-30	7-21 ^b C-32	7-22 ^b C-34	7-36 C-46
Yu and Whittaker (2020, 2021b)	3.73, 18	3.79, 21	3.82, 27	3.83, 29	3.84, 31	3.81, 24

a. The sign convention was not defined clearly. See Yu and Whittaker (2020, 2021b) for more information.

b. The impulsive and convective components were algebraically summed. See the second term of the equations for the convective component.

All analytical solutions listed in Tables 2, 3, and 4 are functions of the tank radius R , the fluid height H , the fluid density ρ , and the x -directional seismic input $u_0''(t)$, noted in Figure 4. If tank flexibility is taken into account, the solutions (Table 3) are further functions of the wall thickness h and the mechanical properties of the tank: density ρ_s , elastic modulus E_s , and Poisson's ratio ν_s . The solutions of p_{imp} and p_{con} are functions of cylindrical coordinates (r, θ, z) shown in Figure 4, which enables the determination of pressures at any location in the fluid and on the wall and base of the tank. All responses (i.e., p_{imp} , F_{imp} , $M_{imp,w}$, $M_{imp,b}$, p_{con} , F_{con} , $M_{con,w}$, $M_{con,b}$, and d_w) are functions of time t , and so the product of each solution is a time series. More information on the analytical solutions can be found in Jacobsen (1949), Veletsos (1984), and Yu and Whittaker (2020, 2021b).



200 Figure 4. Variables used in the analytical solutions (Jacobsen, 1949; Veletsos, 1984; Yu and Whittaker,
 201 2020, 2021b) shown on two cutaway views of a cylindrical tank, a Cartesian coordinate system (x , y , z),
 202 and a cylindrical coordinate system (r , θ , z); locations for reporting responses: hydrodynamic pressures
 203 at the red and yellow solid circles and along the green line, and wave heights at the purple triangle and
 204 along the blue dashed line

205

4. NUMERICAL MODELS

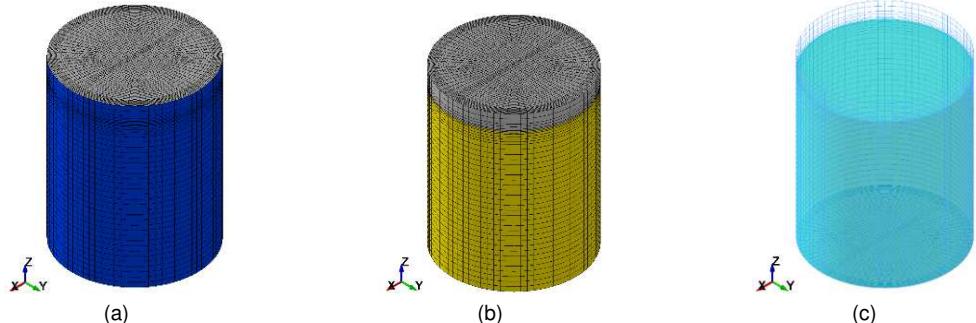
206 Numerical models for seismic FSI analysis are developed for the tank of Figure 2b, namely,
 207 consistent with the assumptions made to derive the analytical solutions (Jacobsen, 1949;
 208 Veletsos, 1984). The radius, R , and height, H_s , of the tank are 0.79 m and 2 m, respectively,
 209 loosely based on the geometry of a length-scaled advanced reactor vessel. Response-history
 210 analysis of the tank subjected to x -direction shaking is performed using the ALE and ICFD
 211 solvers in LS-DYNA. The ALE solver uses an explicit analysis and models fluid using Eulerian
 212 elements. These elements do not deform with fluid motions but rather serve together as a grid of
 213 integration points in the fluid domain. The ICFD solver adopts an implicit analysis to model a
 214 fluid using Lagrangian elements. These elements are generated by the solver automatically in a
 215 defined domain and are highly deformable to accommodate fluid motions. Although nonlinear
 216 fluid responses can be addressed by the solvers, only linear responses are involved in the analysis
 217 performed here to be comparable to the analytical solutions. To verify the models, numerical and
 218 analytical results of linear fluid-structure responses are compared, including hydrodynamic
 219 pressures on the tank wall, reactions (i.e., shear forces and moments) at the tank base, and wave
 220 heights.

221 The key cards and parameters used to build the models are identified to enable a reader to
 222 either replicate the models in LS-DYNA or help build a model in another software platform.

223 **4.1. RIGID TANK**

224 Two fluid heights, $H=1.2$ and 1.8 m, are considered for the numerical models of the rigid
 225 tank. The models and results for $H=1.8$ m are presented in this paper, and those for $H=1.2$ m
 226 can be found in Yu and Whittaker (2020). Figures 5 and 6 present the ALE and ICFD models,
 227 respectively, and global coordinates (x , y , z) consistent with those in Figure 4. Figures 5a, b,
 228 and c present different parts of the ALE model. The tank is shown in blue, and the water is

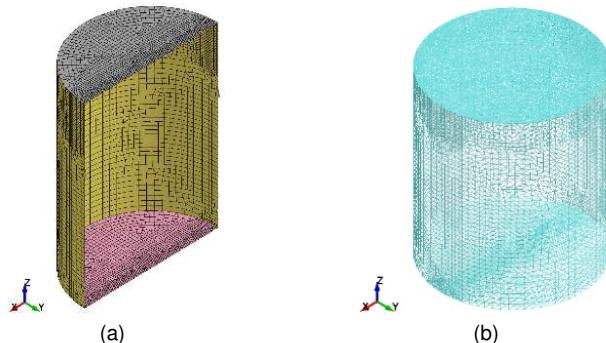
229 shown in yellow. A vacuum space shown in grey is built above the water. The water and vacuum
 230 in each model together define a fluid domain. The tank is modeled using Lagrangian, four-node,
 231 shell elements, and the water and vacuum are modeled using Eulerian, eight-node, solid elements.
 232 The nodes of the tank, water, and vacuum are merged on their interfaces. Air was not considered
 233 in the analytical solutions, and so is not included in the models.



234 Figure 5. ALE model of a rigid cylindrical tank with $R = 0.79$ m, $H_s = 2$ m, and $H = 1.8$ m: (a) tank and
 235 vacuum; (b) water and vacuum; (c) water at $t = 0$

236 The sizes of the elements for the ALE model shown in Figures 5a and b are optimized,
 237 resulting in finer mesh for the fluid domain adjacent to the tank wall, around the boundary
 238 between the water and vacuum (i.e., free surface), and along the tank diameter in the direction of
 239 the seismic input (i.e., x direction). Figure 5c presents the contained water with a depth of 1.8 m,
 240 at the first step of the analysis (i.e., time $t = 0$).

241 Figures 6a and b present fluid elements of the ICFD model. Since the tank is rigid, namely no
 242 deformations, the presence of the tank does not affect fluid responses. The ICFD analysis for
 243 coupling of the structural (i.e., tank) and fluid elements is computationally expensive. For the
 244 purpose of efficiency, the ICFD model herein excludes the rigid tank and includes the fluid
 245 domain (i.e., water and vacuum) only. (For a flexible tank, the deformation of the tank affects
 246 fluid responses, and so the model has to include both. Information on the ICFD model of a
 247 flexible tank that addresses the coupling of structural and fluid elements is presented in Section
 248 4.2.) The run time of this ICFD model reduces by a factor of 8, by comparison with that of a
 249 companion model including both the rigid tank and fluid. (Information of the analysis computer:
 250 7th Gen (i7) 4-core Intel processor, 32 GB RAM, and 512 GB SSD.)



251 Figure 6. ICFD model of a rigid cylindrical tank with $R = 0.79$ m, $H_s = 2$ m, and $H = 1.8$ m: (a) surfaces
 252 for a half fluid domain; (b) water at $t = 0$

253 Figure 6a presents a half fluid domain in the ICFD model built using three surfaces: 1)
 254 adjacent to the tank base (shown in pink), 2) adjacent to the tank wall (shown in yellow), and 3)

255 horizontally closing the top of the domain (shown in grey). The fluid surfaces are built using
256 Lagrangian three- and/or four-node shell elements. Finer mesh for fluid surfaces results in more
257 accurate responses but also a longer run time. The mesh shown in Figure 6a is determined by a
258 trade-off between accuracy and run time, which is bounded by 5 days for a 12-second input
259 motion, E-1, that is used for analysis here. (The input motion is described in Section 5.) Smaller
260 elements are used along the diameter of the fluid domain in the direction of the seismic input: x
261 direction. The initial height of the free surface, $H = 1.8$ m, is defined using the
262 *ICFD_INITIAL_LEVELSET card. The *MESH_BL card is used to generate finer water
263 elements adjacent to the inner surfaces of the tank. The finite elements of the water enclosed by
264 the yellow and pink surfaces in Figure 6a and the defined free surface is automatically generated
265 by the ICFD solver at $t = 0$. The water is constructed using Lagrangian four-node solid elements,
266 as shown in Figure 6b.

267 A wall thickness and mechanical properties are assigned to the tank in the ALE model. (The
268 tank is excluded from the ICFD model.) To accommodate the analytical solutions (Jacobsen,
269 1949), which addressed hydrodynamic loadings only, the inertial force of the tank must be
270 negligible in the numerical calculations. Accordingly, a tiny thickness $h = 0.5$ mm and density
271 $\rho_s = 100$ kg/m³ is assigned to the shell elements of the tank to reduce its mass. The elastic
272 modulus, $E_s = 2 \times 10^{11}$ N/m², and Poisson's ratio, $\nu_s = 0.27$ consistent with carbon steel are used.
273 These values do not affect the responses of the rigid tank but must be defined in the model. No
274 damping is applied to the tank, namely, the damping ratio=0.

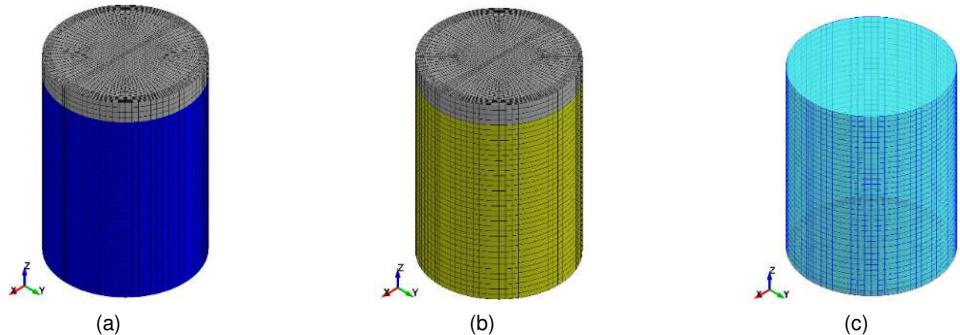
275 The contained fluid is ideal, namely, inviscid and incompressible. The density of water,
276 $\rho = 1000$ kg/m³, and zero viscosity, $\mu = 0$, are assigned to the yellow elements in the ALE model
277 of Figure 5b and the pink and yellow fluid surfaces in the ICFD model of Figure 6a. The ICFD
278 solver can accommodate only incompressible fluids, whereas the ALE solver addresses the
279 compressibility of the fluid through the *EOS_LINEAR_POLYNOMIAL card. To achieve
280 incompressibility, the bulk modulus K_w defined in the card (termed C1) for the water must be
281 sufficiently large. A sensitivity analysis is performed for $C1 = 2.15 \times 10^9$ N/m² (i.e., the bulk
282 modulus of water at 25°C), 5×10^9 N/m², and 2×10^{10} N/m². The differences in the results are
283 negligible, but the run time of the analysis significantly increases with an increasing bulk
284 modulus, and so the value consistent with water $K_w = 2.15 \times 10^9$ N/m² is used for the ALE model.
285 The vacuum space in the ALE model, namely, the grey elements of Figure 5b, is assigned void
286 properties through the *INITIAL_VOID card. The elements of the grey fluid surface in the ICFD
287 model of Figure 6a are assigned a zero density and viscosity.

288 The mass of the contained water is 3527 kg in both the ALE and ICFD models and that of the
289 tank in the ALE model is 0.6 kg, which is negligible by comparison with the water. The
290 gravitational acceleration g of 9.81 m/s² is assigned to the z direction.

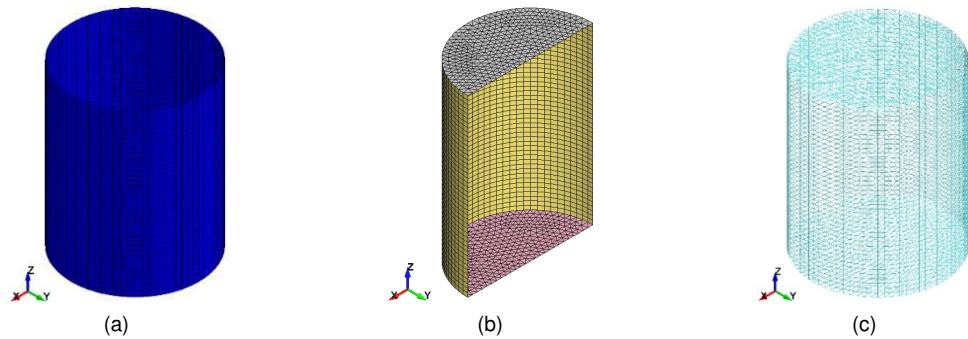
291 4.2. FLEXIBLE TANK

292 Figures 7 and 8 present ALE and ICFD models, respectively, for a flexible tank and the
293 global coordinates (x , y , z). To accommodate the assumption used in the analytical solutions
294 (Veletsos, 1984), the flexible tank is full (i.e., $H = 2$ m) and wave action (i.e., convective
295 response) is not taken into account. Figures 7a, b, and c present different parts of the ALE model.
296 The tank is shown in blue, and the water is shown in yellow. A 0.2-m deep vacuum space shown
297 in grey is built at the top of the water and beyond the height of this full tank. With the presence
298 of the vacuum space, a free surface is formed at the top of the water, where the pressure is zero.

299 The elements used here are identical to those in the ALE model for the rigid tank in Section 4.1:
300 1) Lagrangian, four-node, shell elements for the tank, and 2) Eulerian, eight-node, solid elements
301 for the water and vacuum. The nodes of the tank, water, and vacuum are merged at their
302 interfaces. The sizes of the elements shown in Figures 7a and b are similar to those used for the
303 rigid tank presented in Figures 5a and b: finer mesh adjacent to the tank wall and along the x
304 direction. Figure 7c presents the water in the tank at $t=0$.



305 Figure 7. ALE model of a flexible base-supported tank with $R = 0.79$ m, $H_s = 2$ m, and $H = 2$ m: (a) tank
306 and vacuum; (b) water and vacuum; (c) water in the tank at $t = 0$



307 Figure 8. ICFD model of a flexible base-supported tank with $R = 0.79$ m, $H_s = 2$ m, and $H = 2$ m: (a)
308 tank; (b) surfaces for a half fluid domain; (c) water at $t = 0$

309 Figures 8a, b, and c present different parts of the ICFD model. The tank is shown in blue in
310 Figure 8a. Figure 8b presents a half fluid domain, built using three surfaces (yellow, pink, and
311 grey) to define the boundaries. The tank and the fluid surfaces do not share nodes at their
312 interfaces. The interaction between the tank and water is activated by the
313 *ICFD_BOUNDARY_FSI card. The sizes of the fluid elements of Figure 8b are larger than
314 those used for the rigid tank presented in Figure 6a because wave action, which requires fine
315 meshes, is excluded from the analysis of the flexible tank here. The height of the fluid domain is
316 2.2 m and greater than the tank height, $H_s = 2$ m, namely a 0.2-m thick vacuum space with zero
317 pressure is provided on the top of the water. The height of the free surface, $H = 2$ m, is defined
318 using the *ICFD_INITIAL_LEVELSET card. The *MESH_BL is assigned to the yellow and
319 pink surfaces in Figure 8b to generate finer water elements adjacent to the tank wall and base.
320 Elements for the water are automatically generated by the ICFD solver at $t = 0$, as shown in
321 Figure 8c. The elements used for the ICFD model are all Lagrangian: 1) four-node shells for the
322 tank, 2) three- or four-node shells for the fluid surfaces, and 3) four-node solids for the water.

323 The analytical solutions (Veletsos, 1984) assumed the tank wall is flexible and the base is
324 rigid. The elements of the wall and base are assigned elastic and rigid materials, respectively,

325 with the mechanical properties consistent with carbon steel: $\rho_s = 7850 \text{ kg/m}^3$, $E_s = 2 \times 10^{11} \text{ N/m}^2$,
 326 and $\nu_s = 0.27$. A wall thickness $h = 0.4 \text{ mm}$ is used here, which achieves a reasonable first
 327 impulsive frequency, $f_{imp,1}$, of the flexible tank: 24.1 Hz, estimated using Eq. (7-52) in Veletsos
 328 (1984) per Table 3. For the PFR vessel presented in Figure 1, of which the geometries are similar
 329 to the modeled flexible tank (e.g., $H_s / R \approx 2.5$), $f_{imp,1} = 6.4 \text{ Hz}$ per Veletsos' solution. As noted in
 330 Section 1, the length scale of the flexible tank is 1/10. If the length scale of 1/10 is used for the
 331 PFR vessel, its $f_{imp,1}$ is scaled by a factor of $\sqrt{10}$, namely, 20.3 Hz ($\sqrt{10} \times 6.4$), which is
 332 comparable to that of the flexible tank modeled here: 24.1 Hz. A target damping ratio of 2% is
 333 assigned using the *DAMPING_FREQUENCY_RANGE_DEFORM card to the elements of the
 334 tank wall for a frequency range of 15 to 250 Hz, which includes the first five impulsive modes of
 335 the filled flexible tank per Eq. (7-52) in Veletsos (1984): 24 to 135 Hz. The damping achieved by
 336 the card in the numerical simulation varies as a function of frequency between 0.1% and 4%
 337 (Huang et al., 2019). No damping is applied to the tank base since it is rigid: damping ratio=0.

338 The models of the water are assigned the mechanical properties identical to those for the
 339 rigid tank described in Section 4.1. The masses of the flexible tank and the contained water are
 340 38 and 3921 kg, respectively, in both ALE and ICFD models. The mass of the tank is negligible:
 341 less than 1% of the water mass.

342 The analytical solutions of Veletsos (1984) for flexible tanks addressed only the impulsive
 343 component of fluid-structure responses. The convective component must be removed from
 344 numerical analysis to enable verification. Convective responses are generated by the part of the
 345 fluid oscillating vertically. The vertical oscillation changes the potential energy of the fluid,
 346 which is transformed from the kinetic energy generated by fluid velocities. The potential energy
 347 can only appear if the gravitational acceleration g exists. Accordingly, to remove the convective
 348 component from fluid-structure responses, g is not assigned to the models.

349 5. INPUT MOTIONS

350 Table 5 lists the unidirectional horizontal input motions used for the FSI analysis. Four
 351 motions are used for the rigid tank: two sinusoidal motions (S-1 and S-2) and two earthquake
 352 motions (E-1 and E-2). Three motions are used for the flexible tank: a sine-sweep motion (S-S),
 353 S-1, and E-1. Motions S-1, S-2, E-1, and E-2 are used to generate fluid-structure responses of the
 354 rigid and/or flexible tanks, and S-S is used to identify the impulsive frequencies of the flexible
 355 tank. To accommodate the assumption of linear fluid responses used for the analytical solutions,
 356 the peak ground accelerations (PGAs) for the motions listed in Table 5 are all small (i.e., 0.025
 357 to 0.2 g). The linearity and amplitude of fluid responses depend on both 1) the dimensions and
 358 mechanical properties of the tank and fluid, and 2) the frequency content of the seismic input.
 359 Accordingly, the PGA values used for the rigid and flexible tanks and the five motions here are
 360 not identical.

361 Sinusoidal motions S-1 and S-2 are used to drive impulsive responses of the flexible tank and
 362 convective responses of the rigid tank, respectively. The frequency of S-1 is 20 Hz and 8 cycles
 363 are included, namely, the duration of the motion is 0.4 (=8/20) second. The frequency of 20 Hz is
 364 very close to the first impulsive frequency of the flexible tank (i.e., $f_{imp,1} = 24.1 \text{ Hz}$), and so a tiny
 365 PGA=0.02 g is used to avoid nonlinear responses and instability. Motion S-2 includes 2 cycles of
 366 a 0.5-Hz sine wave, and so the duration is 4 (=2/0.5) seconds. The frequency of 0.5 Hz is
 367 selected to be sufficiently close to the first convective frequency, $f_{con,1} = 0.76 \text{ Hz}$, estimated using
 368 Eq. (7-8) in Veletsos (1984) per Table 4. A frequency of the sinusoidal motion greater than 0.5

369 Hz and closer to $f_{con,1}$ could induce intense sloshing, which is not accommodated by the
 370 analytical solutions. A tiny PGA of 0.025 g is used for this wave-driving motion to suppress the
 371 vertical accelerations of the free surface in the numerical models since the analytical solution
 372 assume this acceleration to be zero.

373 Table 5. Input motions for response-history analysis of the rigid and flexible tanks

	Motions	Rigid tank (PGA)	Flexible tank (PGA)
Sinusoidal	S-1	✓ (0.2 g)	✓ (0.02 g)
	S-2	✓ (0.025 g)	--
Earthquake	E-1	✓ (0.2 g)	✓ (0.05 g)
	E-2	✓ (0.025 g)	--
Sine-sweep	S-S	--	✓ (0.2 g)

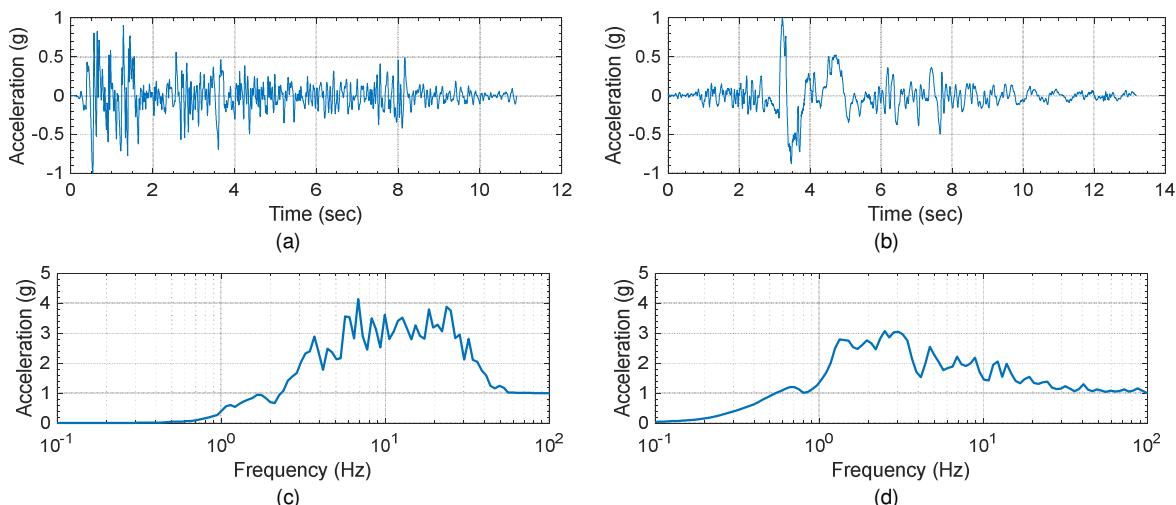
374 The two earthquake motions, E-1 and E-2, are records of the 1940 El Centro earthquake in
 375 California, U.S. and the 1999 Chi-Chi earthquake in Taiwan, respectively. Table 6 lists
 376 information on the two earthquake records. Consistent with the length scale of the tank, the time
 377 scale of each earthquake motion is compressed by a factor of $\sqrt{10}$. Figure 9 presents time series
 378 and 2%-damped acceleration spectra for E-1 and E-2, with the compressed time scale and PGAs
 379 of 1 g. Per Figures 9c and d, the frequency contents of the two motions are very different.
 380 Significant spectral acceleration of E-1 is in the range of 5 to 30 Hz, and that of E-2 is in the
 381 range of 1 to 4 Hz.

382 Table 6. Information of the earthquake records¹ used for response-history analysis

	Event	Year	Station	Direction	Original PGA
E-1	El Centro Earthquake (Imperial Valley-02)	1940	El Centro Array #9	180	0.28 g
E-2	Chi-Chi Earthquake	1999	TCU052	EW	0.36 g

1. Records are extracted from the PEER Ground Motion Database
 (<http://ngawest2.berkeley.edu/>, accessed on March 18, 2019)

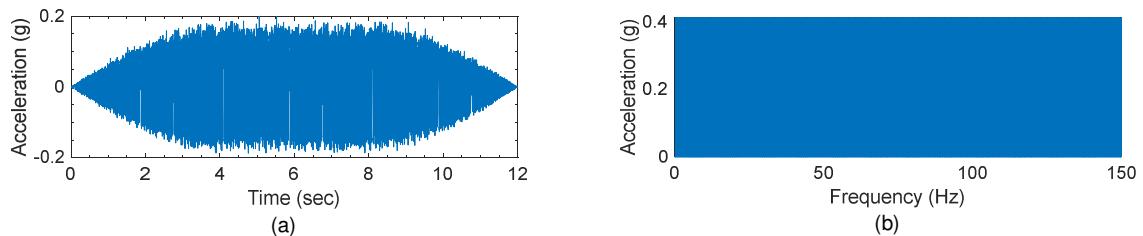
383



384 Figure 9. Earthquake records with a time scale of $1/\sqrt{10}$ and PGA of 1 g (a) time series of E-1; (b) time
 385 series of E-2; (c) 2%-damped acceleration spectrum of E-1; (d) 2%-damped acceleration spectrum of E-2

386 The PGAs of E-1 and E-2 are scaled (from the original values listed in Table 6) to a small
 387 amplitude. Per Table 5, the PGAs of E-1 and E-2 used for the rigid tank are 0.2 and 0.025 g,
 388 respectively. The PGA of E-2 is scaled to a tiny value (0.025 g) since its spectral acceleration at
 389 $f_{con,1}$ of 0.76 Hz is relatively high (by comparison with E-1), which could induce nonlinear wave
 390 action. For the flexible tank, E-1 is used for the analysis and the PGA is scaled to 0.05 g. A small
 391 PGA=0.05 g is used here because the spectral acceleration around $f_{imp,1}$ of 24.1 Hz is significant,
 392 which could induce nonlinear fluid responses and instability.

393 The impulsive frequencies of the flexible tank in the models are identified from responses to
 394 S-S since the ALE and ICFD solvers cannot perform eigenvalue analysis. The PGA of S-S is 0.2
 395 g. The frequency band of S-S ranges between 0.25 and 150 Hz, which enables the identification
 396 of the first three impulsive frequencies between 20 and 100 Hz. Figure 10 presents the time
 397 series and Fourier amplitude spectrum of S-S.



398 Figure 10. Sine-sweep motion S-S, unidirectional horizontal input, PGA=0.2g, frequency band of 0.25 to
 399 150 Hz: (a) time series; (b) Fourier amplitude spectrum

400 6. RESULTS AND VERIFICATION

401 6.1. RIGID TANK

402 Analytical solutions are used to verify the numerical models of a rigid, base-supported,
 403 cylindrical tank ($R = 0.79$ m, $H_s = 2$ m, $H = 1.8$ m), subjected to S-1, S-2, E-1, and E-2. The
 404 equation numbers and references (Jacobsen, 1949; Veletsos, 1984; Yu and Whittaker, 2020,
 405 2021b) of the analytical solutions for impulsive and convective responses of rigid tanks are
 406 presented in Tables 2 and 4, respectively. The corrected solutions per Yu and Whittaker (2021b)
 407 are used here. Per Table 2, the impulsive responses include p_{imp} , F_{imp} , $M_{imp,w}$, and $M_{imp,b}$. Per
 408 Table 4, the convective responses are decoupled into modal contributions. The solutions address
 409 $f_{con,j}$, $p_{con,j}$, $F_{con,j}$, $M_{con,w,j}$, $M_{con,b,j}$, and $d_{w,j}$ in the j th convective mode. Damping is set to zero
 410 for the calculation of impulsive and convective responses, to be consistent with the numerical
 411 models.

412 Response time series of the numerical models and analytical solutions are compared for the
 413 hydrodynamic pressure on the tank wall, p_w , the shear force at the tank base in the x direction,
 414 F , the moment at the tank base about the y axis, M_{wb} , and the wave height, d_w . Numerical
 415 results combine both impulsive and convective components, and so the analytical solutions of the
 416 two components are algebraically summed to enable the comparison. Each convective response
 417 is the infinite algebraic sum of modal responses (i.e., $j = 1$ to ∞), and ten modes are considered
 418 here since the contributions of the eleventh and higher modes are negligible. As an example, the
 419 analytical expression for p_w is as follows:

420

$$p_w = p_{imp} + \sum_{j=1}^{10} p_{con,j} \quad (1)$$

421 where the equations of p_{imp} and $p_{con,j}$ are taken from Yu and Whittaker (2021b), referenced in
 422 Tables 2 and 4. The wave height, d_w , is contributed by convective modes only (ten modes are
 423 included here), and no impulsive component is involved. The moment at the tank base, M_{wb} ,
 424 calculated per numerical analysis, includes components that balance the hydrodynamic pressures
 425 on the wall and base together: M_w and M_b . Accordingly, the analytical counterpart is calculated
 426 as:

427

$$M_{wb} = M_w + M_b = (M_{imp,w} + \sum_{j=1}^{10} M_{con,w,j}) + (M_{imp,b} + \sum_{j=1}^{10} M_{con,b,j}) \quad (2)$$

428 where the equations are $M_{imp,w}$, $M_{con,w,j}$, $M_{imp,b}$, and $M_{con,b,j}$ are taken from Yu and Whittaker
 429 (2021b), referenced in Tables 2 and 4.

430 Response time series for E-1 and E-2 are presented in Sections 6.1.1 to 6.1.3, and those for S-
 431 1 and S-2 can be found in Yu and Whittaker (2020).

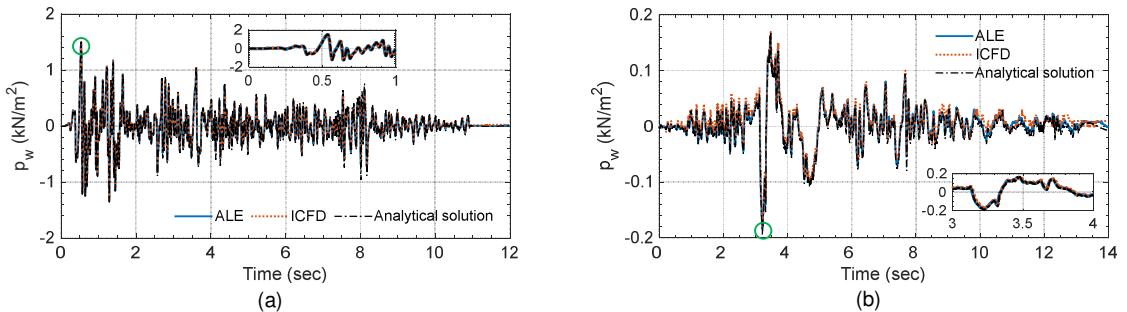
432

6.1.1. Hydrodynamic pressure on the wall p_w

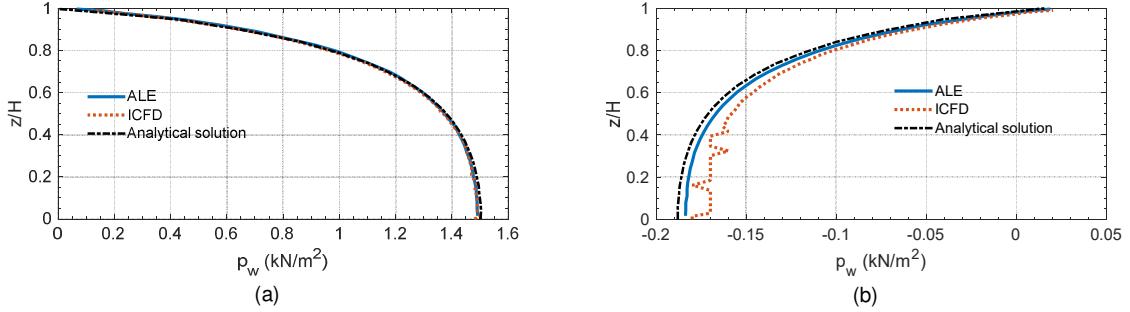
433 Analytical and numerical (ALE and ICFD) results for the time series of p_w at the location of
 434 the red solid circle shown in Figure 4 are presented in Figure 11. The red circle is located at $(r,$
 435 $\theta, z) = (R, 0, 0)$, which is on the axis of seismic input and at the intersection of the tank wall
 436 and base. The pressure at the red solid circle is expected to be the greatest along the fluid depth
 437 (Yu and Whittaker, 2020, 2021b). Analytical and numerical results for distributions of p_w are
 438 compared along the green line on the tank wall shown in Figure 4: $(r, \theta, z) = (R, 0, 0 \text{ to } H)$.
 439 Figure 12 enables the comparison at the time of peak p_w in the time series of Figure 11: see the
 440 open green circles. The presented distributions in Figure 12 confirm that the greatest p_w along
 441 the fluid depth is on the intersection of the tank wall and base, where the red solid circle is
 442 located.

443

444



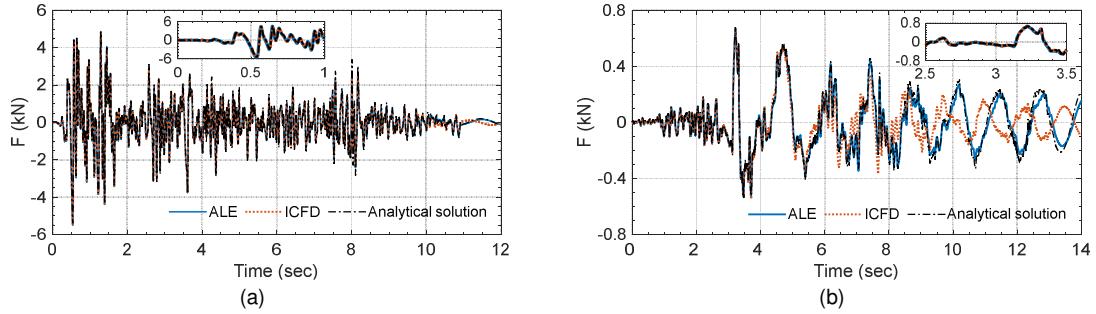
445 Figure 11. Numerical and analytical results for time series of p_w at the location of the red solid circle
 446 shown in Figure 4: (a) E-1; (b) E-2



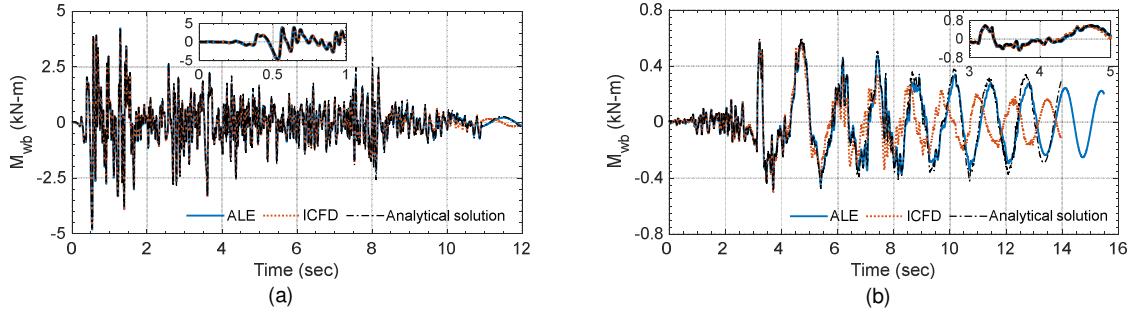
447 Figure 12. Numerical and analytical results for distributions of p_w along the green line on the tank wall
448 shown in Figure 4, at the time of the peak response noted using open green circles in the corresponding
449 panels of Figure 11: (a) E-1, $t = 0.53$ second; (b) E-2, $t = 3.22$ seconds

450 6.1.2. Reactions: shear force F and moment M_{wb} at the base

451 The time series of F in the x direction and M_{wb} about the y axis at the tank base are
452 presented in Figures 13 and 14, respectively. The presented data are calculated using the
453 analytical solutions and numerical models: ALE and ICFD.



454 Figure 13. Numerical and analytical results for time series of F in the x direction at the tank base: (a) E-
455 1; (b) E-2

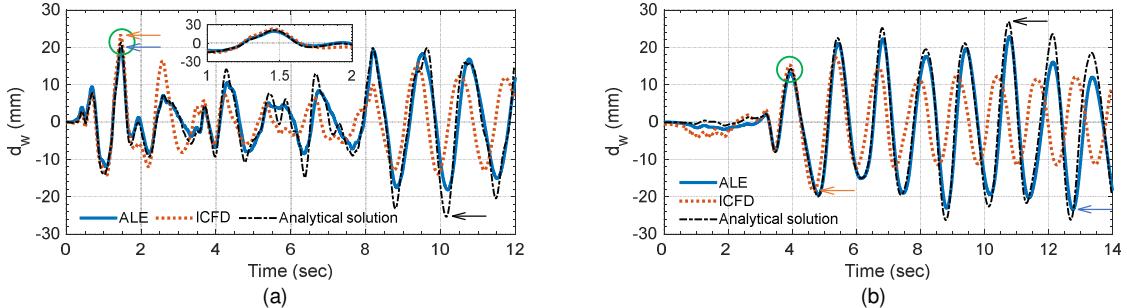


456 Figure 14. Numerical and analytical results for time series of M_{wb} about the y axis at the tank base: (a)
457 E-1; (b) E-2

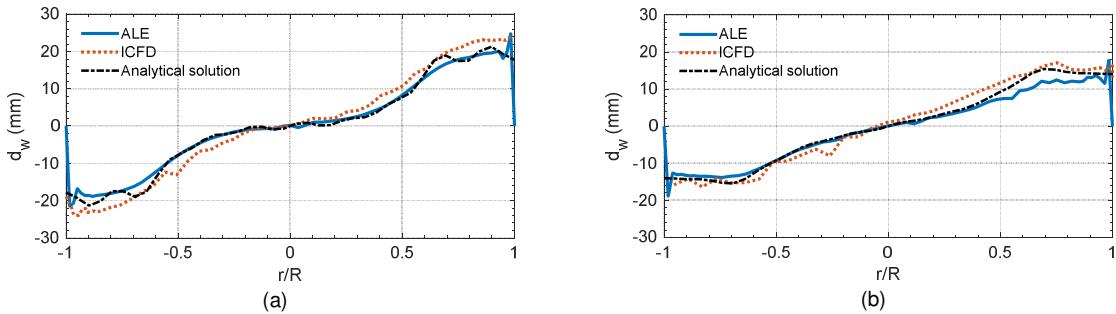
458 6.1.3. Wave height d_w

459 Analytical and numerical (ALE and ICFD) results for the time series of d_w at the location of
460 the purple triangle shown in Figure 4 are presented in Figure 15. (Information on methods used
461 for tracking the vertical motion of the free surface in the ALE and ICFD models can be found in
462 Yu and Whittaker (2020) and Yu et al. (2021).) The purple triangle is located at $(r, \theta, z) = (0.7$
463 m, 0, 1.8 m), which is 0.1 R from the tank wall. Although the greatest wave height is expected to

464 be immediately adjacent to the tank wall, the results are reported at the purple triangle because of
 465 the boundary effect in the ALE analysis: the vertical fluid velocity on the tank wall is zero, and
 466 waves near the wall do not form correctly (more details are presented in Figure 16).



467 Figure 15. Numerical and analytical results for time series of d_w at the location of the purple triangle
 468 shown in Figure 4: (a) E-1; (b) E-2



469 Figure 16. Numerical and analytical results for distributions of d_w along the blue dashed line on the free
 470 surface shown in Figure 4, at the time of the peak response noted using open green circles shown in the
 471 corresponding panels of Figure 15: (a) E-1 at $t = 1.5$ seconds; (b) E-2 at $t = 3.9$ seconds

472 Analytical and numerical results for distributions of d_w are compared along the blue dashed
 473 line on the free surface shown in Figure 4: $(r, \theta, z) = (-R \text{ to } R, 0, H)$. Figure 16 enables the
 474 comparison at the time of peak d_w in the time series of Figure 15: see the open green circles.
 475 Each open green circle is at a peak in an early stage of a time series, as the ALE, ICFD, and
 476 analytical results are in phase. Per Figure 16, the ALE results for the wave height at $r/R = \pm 1$
 477 (adjacent to the wall) are all zero. Wave heights fluctuate near $r/R = \pm 1$ due to the boundary
 478 effect in the ALE analysis and stabilize by $r/R \leq \pm 0.9$. The ICFD results presented in Figure 16
 479 do not fluctuate near the tank wall.

480 6.1.4. Discussion

481 Table 7 presents the maximum absolute values of p_w , F , M_{wb} , and d_w of the rigid tank
 482 subjected to S-1, S-2, E-1, and E-2. The values are extracted from ALE, ICFD, and analytical
 483 time series: responses to E-1 and E-2 are presented in Figures 11 and 13 to 15, and those to S-1
 484 and S-2 can be found in Yu and Whittaker (2020). The percentage differences of the ALE and
 485 ICFD predictions with respect to the analytical results are presented in parentheses in Table 7.
 486 The differences greater than $\pm 10\%$ are bolded. If the differences in a response are less than or
 487 equal to $\pm 10\%$ for all four seismic inputs, the ALE or ICFD models are considered to be verified
 488 for calculating the response.

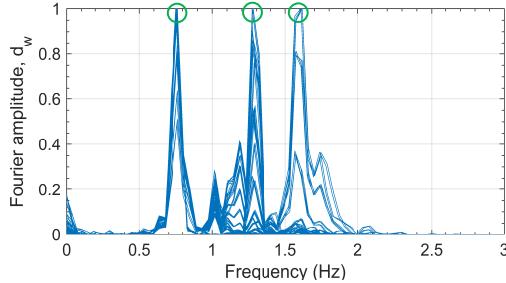
489 As seen in Figures 11 to 14, the ALE (blue lines) and analytical (black dash-dotted lines)
490 results of p_w , F , and M_{wb} for both E-1 and E-2 are in excellent agreement. Per Table 7 the
491 differences between the ALE and analytical results in these responses for all four motions are
492 $\leq \pm 5\%$. Per Figure 15, the ALE time series of d_w are reasonable for the first 5 and 8 seconds of
493 E-1 and E-2, respectively, but thereafter the amplitudes diverge from the analytical results.

494 Table 7. Maximum absolute fluid-structure responses of a rigid, base-supported, cylindrical tank
495 subjected to unidirectional horizontal motions of a small amplitude, extracted from the ALE,
496 ICFD, and analytical time series

Responses	S-1			S-2		
	Analytical	ALE	ICFD	Analytical	ALE	ICFD
p_w (kN/m ²)	1.5	1.5 (1%)	1.5 (0%)	0.2	0.2 (4%)	0.2 (-1%)
F (kN)	5.5	5.6 (3%)	5.6 (1%)	1.2	1.2 (-1%)	1.1 (-2%)
M_{wb} (kN-m)	4.9	4.9 (1%)	4.9 (1%)	1.3	1.3 (-1%)	1.2 (-3%)
d_w^1 (mm)	8.0	7.7 (-4%)	9.1 (12%)	47.3	47.4 (0%)	46.1 (-3%)
E-1						
Responses	Analytical	ALE	ICFD	Analytical	ALE	ICFD
	1.5	1.5 (-1%)	1.5 (0%)	0.2	0.2 (-2%)	0.2 (-7%)
F (kN)	5.4	5.5 (1%)	5.5 (2%)	0.7	0.7 (1%)	0.7 (-1%)
M_{wb} (kN-m)	4.8	4.8 (0%)	4.8 (1%)	0.6	0.6 (-3%)	0.6 (-2%)
d_w^1 (mm)	24.9	19.6 (-21%)	23.5 (-4%)	26.7	23.4 (-12%)	18.5 (-31%)

1. Maximum absolute responses calculated per the numerical models and analytical solutions occurring at
different times

497 The ALE model underestimates the maximum absolute d_w (peak amplitudes) for both E-1
498 and E-2 by $\geq \pm 10\%$, as presented in Table 7. The peak amplitudes of the ALE and analytical d_w
499 for each motion presented in Figure 15 occur at different times, noted using blue and black
500 arrows, respectively. Although the ALE model underestimates the amplitudes of d_w , the phases
501 of the time series are in good agreement with the analytical results. The phases are associated
502 with the periods/frequencies of waves (i.e., convective periods/frequencies), which can be
503 identified from the calculated d_w in the frequency domain: Fourier transformation. Figure 17
504 presents Fourier amplitude spectra for ALE time series of d_w for E-1 and E-2, at 18 locations on
505 the free surface and across the tank diameter in the x direction. The spectral amplitudes are
506 normalized by their maximum ordinates for frequencies ranging between 0 and 3 Hz. The three
507 significant peaks noted using green circles in Figure 17 are associated with the first three
508 convective frequencies calculated using the ALE model: $f_{con,1} = 0.76$ Hz, $f_{con,2} = 1.27$ Hz, and
509 $f_{con,3} = 1.61$ Hz. The first convective frequencies calculated using the analytical solution, for
510 which the equation numbers are listed in Table 4, are 0.76, 1.29, and 1.64 Hz. The differences
511 between the ALE and analytical results are less than 2%.



512 Figure 17. Normalized Fourier amplitude spectra for d_w , at 18 locations along the x direction, $H = 1.8$ m,
 513 E-1 and E-2, calculated using the ALE model

514 On the basis of the comparisons presented above, the ALE model of the rigid base-supported
 515 tank is verified for calculating p_w , F , M_{wb} , and $f_{con,j}$, but is limited to analysis of motions with
 516 short duration (i.e., 5 to 8 seconds in the analysis here) for calculating d_w .

517 The differences between the ICFD and analytical results for the amplitudes of p_w , F , and
 518 M_{wb} shown in Table 7 are all less than $\pm 10\%$, but those for d_w are greater: 12% for S-1 and -
 519 31% for E-2. As presented in Figures 11, 13, and 14, the ICFD and analytical results of p_w , F ,
 520 and M_{wb} for E-1 are in excellent agreement. However, for E-2, the ICFD (orange dotted lines)
 521 and analytical (black dash-dotted lines) results of p_w agree reasonably for $t \leq 9$ seconds, and
 522 those of F and M_{wb} agree reasonably only for $t \leq 5$ seconds. The percentage differences in p_w ,
 523 F , and M_{wb} for E-2 presented in Table 7 are not affected by the disagreement for $t > 9$ or 5
 524 seconds because their maxima attain at $t = 3.22$ seconds. Per Figure 15, the maxima of the ICFD
 525 and analytical d_w for each motion occur at different times, noted using orange and black arrows,
 526 respectively. The agreement between the ICFD and analytical time series for d_w is reasonable in
 527 the first cycle (a crest and a trough) only: $t \leq 1.6$ and 4.5 seconds of E-1 and E-2, respectively.
 528 Subsequently, neither the amplitudes nor the phases predicted by the ICFD model are accurate,
 529 by comparison with the analytical results: the wave heights and convective periods are both
 530 underestimated.

531 The differences between the ICFD and analytical p_w , F , and M_{wb} for E-2, as presented in
 532 Figures 11b, 13b, and 14b, respectively, are linked to inaccurate simulation of the convective
 533 component, as seen in the ICFD result for d_w in Figure 15b. As noted in Section 5, E-2 drives
 534 waves in the tank due to its great spectral acceleration at $f_{con,1} = 0.76$ Hz, and so the convective
 535 component contributes significantly to p_w , F , and M_{wb} . The poor calculation of d_w affects the
 536 results of p_w , F , and M_{wb} , and their errors accumulate with time: significant disagreement for
 537 $t \geq 9$ or 5 seconds.

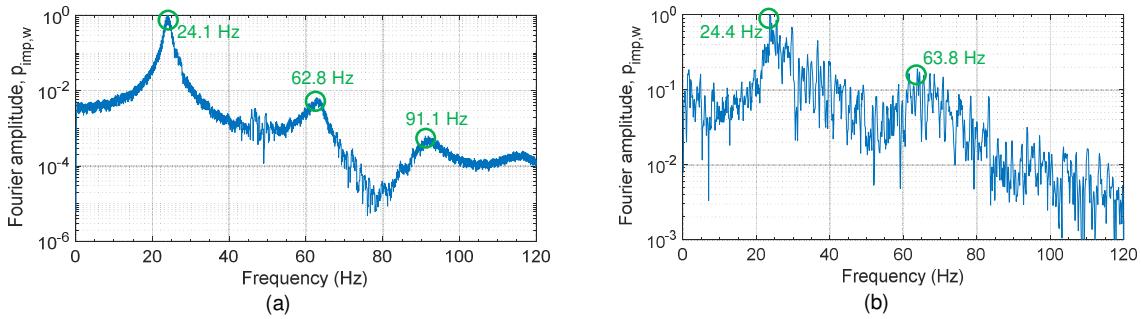
538 According to the comparisons above, the ICFD model of the rigid base-supported tank here
 539 is verified for calculating p_w , F , and M_{wb} , if wave action is not significant. The ICFD model is
 540 not verified for calculating either wave heights or frequencies.

541 6.2. FLEXIBLE TANK

542 Analytical solutions for impulsive responses are used to verify the numerical models of a
 543 flexible, base-supported, cylindrical tank ($R = 0.79$ m, $H_s = 2$ m, $H = 2$ m, $h = 0.4$ mm),
 544 subjected to S-1 and E-1. (As noted in Sections 2 and 4.2, numerical analysis for the flexible tank
 545 sets aside convective responses since analytical solutions for the responses are not available and
 546 verification is not possible.) Table 3 presents the equation numbers (Veletsos, 1984; Yu and
 547 Whittaker, 2020, 2021b) of the analytical solutions for impulsive frequencies and responses of

548 flexible tanks. The equations per Yu and Whittaker (2021b) are used here. Per Table 3, the
 549 impulsive responses are decoupled into modal contributions. The solutions address $f_{imp,k}$, $p_{imp,k}$,
 550 $F_{imp,k}$, $M_{imp,w,k}$, and $M_{imp,b,k}$ in the k th impulsive mode.

551 The first three impulsive frequencies, $f_{imp,1}$, $f_{imp,2}$, and $f_{imp,3}$, of the flexible tank calculated
 552 using the numerical models and analytical solution are compared. The frequencies of the tank in
 553 the numerical models are identified from the time series of p_{imp} for the sine-sweep motion S-S, at
 554 the yellow solid circle shown in Figure 4. The yellow solid circle is located at $(r, \theta, z) = (R, 0,$
 555 $0.6H)$, which is on the axis of seismic input and at a height of $0.6H$ (with respect to the tank
 556 base). The impulsive pressure at the yellow circle is expected to be the greatest along the fluid
 557 depth for this flexible tank (Yu and Whittaker, 2020, 2021b) (more information is presented in
 558 Section 6.2.1 and Figure 19b). The p_{imp} -time series calculated using the ALE and ICFD models
 559 are transformed into the frequency domain and the modal frequencies $f_{imp,1}$, $f_{imp,2}$, and $f_{imp,3}$ are
 560 identified from the Fourier amplitude spectra. Figures 18a and b present the spectra calculated
 561 using results of the ALE and ICFD models, respectively, normalized by their maximum
 562 ordinates. The peaks in the spectra noted using green circles and text are associated with the first
 563 three impulsive modes. The impulsive frequencies calculated using the analytical solutions are
 564 24.1, 62.9, and 90.2 Hz for the first three modes. The numerically calculated frequencies noted
 565 on the spectra of Figure 18 are different from the analytical results by less than 4%. The third
 566 impulsive mode cannot be identified from the ICFD result, but modal responses with a high
 567 frequency (i.e., ≥ 90 Hz) can be considered *rigid* and not affected by the calculated frequency.



568 Figure 18. Normalized Fourier amplitude spectra for p_{imp} at the location of the yellow solid circle shown
 569 in Figure 4: (a) ALE; (b) ICFD

570 Response time series of the numerical models and analytical solutions are compared for the
 571 impulsive pressure on the tank wall $p_{imp,w}$, shear force F_{imp} at the tank base in the x direction,
 572 and moment $M_{imp,wb}$ at the tank base about the y axis. Each impulsive response is the infinite
 573 algebraic sum of modal responses (i.e., $k=1$ to ∞), and ten modes are considered here since the
 574 contributions of the eleventh and higher modes are negligible. The damping ratio at the
 575 frequency of each impulsive mode achieved by the
 576 *DAMPING_FREQUENCY_RANGE_DEFORM card (Huang et al., 2019) is used in the
 577 analytical calculation. The analytical expression of $p_{imp,w}$ is:

$$578 \quad p_{imp} = \sum_{k=1}^{10} p_{imp,k} \quad (3)$$

579 where the equation of $p_{imp,k}$ is taken from Yu and Whittaker (2021b), referenced in Table 3. The
 580 moment at the tank base $M_{imp,wb}$ calculated by numerical analysis includes components that

581 balance p_{imp} on the wall and base together: $M_{imp,w}$ and $M_{imp,b}$. Accordingly, the analytical
 582 counterpart is calculated as:

583

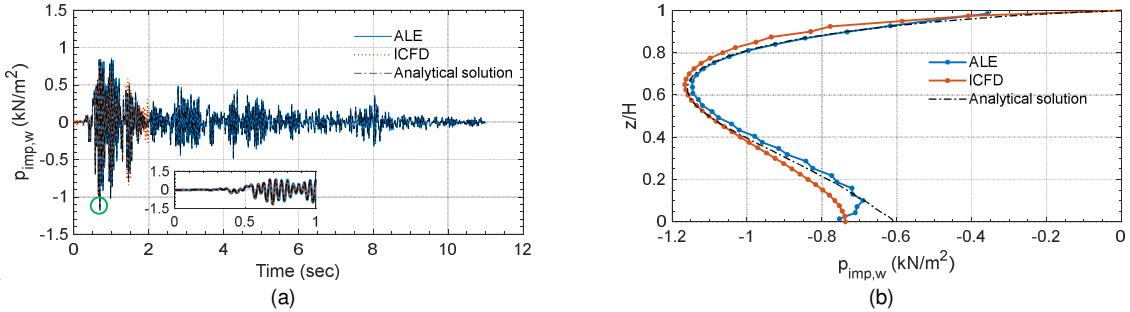
$$M_{imp,wb} = M_{imp,w} + M_{imp,b} = \sum_{k=1}^{10} M_{imp,w,k} + \sum_{k=1}^{10} M_{imp,b,k} \quad (4)$$

584 where the equations of $M_{imp,w,k}$ and $M_{imp,b,k}$ are taken from Yu and Whittaker (2021b) per in
 585 Table 3.

586 Response time series for E-1 are presented in Sections 6.2.1 and 6.2.2, and those for S-1
 587 can be found in Yu and Whittaker (2020).

588 **6.2.1. Impulsive pressure on the wall $p_{imp,w}$**

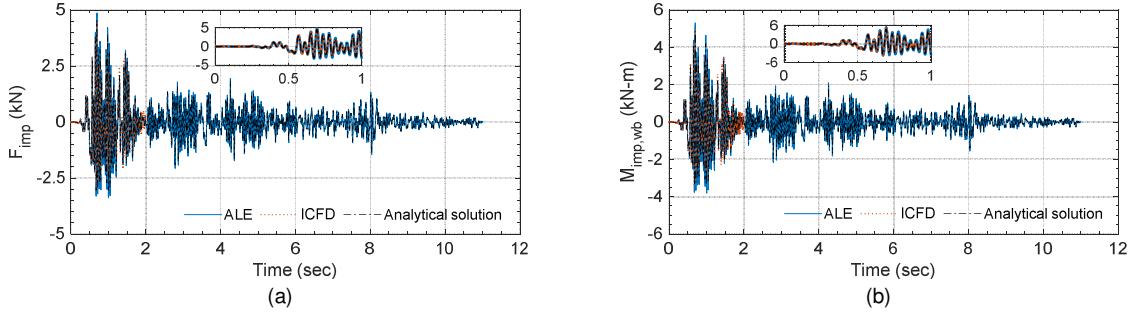
589 Analytical and numerical (ALE and ICFD) results for the time series of $p_{imp,w}$ at the location
 590 of the yellow solid circle shown in Figure 4 are presented in Figure 19a. As seen in Figure 19a,
 591 significant response to E-1 is realized in the first second. To reduce run time, the ICFD analysis
 592 is performed for 2 seconds only. (The run time of this analysis is about 8 days.) Analytical and
 593 numerical results for distributions of $p_{imp,w}$ are compared along the green line on the tank wall
 594 shown in Figure 4. Figure 19b enables the comparison at the time of the peak $p_{imp,w}$ noted using
 595 an open green circle in Figure 19a. The presented distributions confirm that the greatest $p_{imp,w}$
 596 along the fluid depth is at a height of around $0.6 H$, where the yellow circle is located.



597 Figure 19. Numerical and analytical results of p_{imp} for E-1: (a) time series at the location of the yellow
 598 solid circle shown in Figure 4; (b) distributions along the green line on the tank wall shown in Figure 4 at
 599 $t = 0.69$ sec (green circle in the panel a)

600 **6.2.2. Reactions: shear force F_{imp} and moment $M_{imp,wb}$ at the base**

601 The time series of F_{imp} in the x direction and $M_{imp,wb}$ about the y axis at the tank base are
 602 presented in Figures 20a and b, respectively. The presented data are calculated using the
 603 analytical solutions and numerical models: ALE and ICFD. The ICFD results are calculated for 2
 604 seconds only to reduce the run time.



605 Figure 20. Numerical and analytical results of reaction time series at the tank base for E-1: (a) F_{imp} in the
 606 x direction; (b) $M_{imp,wb}$ about the y axis

607 6.2.3. Discussion

608 Table 8 presents the maximum absolute values of $p_{imp,w}$, F_{imp} , and $M_{imp,wb}$ for the flexible tank
 609 subjected to S-1 and E-1. The values are extracted from the ALE, ICFD, and analytical time
 610 series: responses to E-1 are presented in Figures 19a and 20, and those to S-1 can be found in Yu
 611 and Whittaker (2020). The percentage differences of the ALE and ICFD predictions with respect
 612 to the analytical results are presented in parentheses in Table 8. The differences are $\leq \pm 10\%$.
 613 Accordingly, the ALE and ICFD models of the flexible tank are verified for calculating the
 614 impulsive responses to unidirectional horizontal motion of a small amplitude.

615 Table 8. Maximum absolute impulsive responses of a flexible, base-supported, cylindrical tank,
 616 subjected to unidirectional horizontal motions of a small amplitude, extracted from the ALE,
 617 ICFD, and analytical time series

Responses	S-1			E-1		
	Analytical	ALE	ICFD	Analytical	ALE	ICFD
$p_{imp,w}$ (kN/m ²)	0.6	0.7 (4%)	0.6 (-1%)	1.2	1.1 (-2%)	1.2 (-2%)
F_{imp} (kN)	2.5	2.7 (8%)	2.6 (4%)	4.6	4.9 (7%)	4.5 (-2%)
$M_{imp,wb}$ (kN-m)	2.7	2.9 (10%)	2.8 (6%)	4.9	5.3 (9%)	4.9 (1%)

618 7. SUMMARY, CONCLUSIONS, AND RECOMMENDATIONS

619 Earthquake shaking of a liquid-cooled advanced reactor induces fluid-structure interaction
 620 (FSI) between the reactor vessel, submerged components, and contained liquid. Verified and
 621 validated numerical models for the FSI analysis will be required for the seismic design and
 622 qualification of advanced reactors. This paper focuses on the seismic FSI in the reactor vessel
 623 and demonstrates a process of verification.

624 7.1. SUMMARY AND CONCLUSIONS

625 Numerical models of a base-supported cylindrical tank, with a geometry loosely based on a
 626 prototype advanced reactor vessel, are verified. The verification process defined in AIAA (1998)
 627 is used: comparison between results calculated using the numerical models and analytical
 628 solutions. Seismic FSI analysis of the tank is performed using the ALE and ICFD solvers in LS-
 629 DYNA (2018). The analytical solutions used here were originally developed by Jacobsen (1949)
 630 and Veletsos (1984), and corrected and re-derived in Yu and Whittaker (2020, 2021b) as needed.
 631 To enable the comparison, simplifying assumptions used for the analytical solutions are applied
 632 to the models, including 1) rigid or elastic tank, 2) ideal fluid, and 3) small-amplitude,

633 unidirectional, horizontal seismic input. No internal components are included in the analysis.
634 Numerical and analytical results for hydrodynamic pressures on the tank wall, reactions (i.e.,
635 shear forces and moments) at the tank base, and wave heights of the contained liquid are
636 compared. According to the reported responses, the ALE model is verified for calculating
637 hydrodynamic pressures, reactions, and convective frequencies for rigid and/or flexible tanks,
638 but is limited to short-duration analysis (e.g., 5 seconds) for calculating wave heights. The ICFD
639 models are not verified for calculating waves (neither heights nor frequencies) but verified for
640 calculating hydrodynamic pressures and reactions for rigid and flexible tanks, if wave action is
641 insignificant or excluded. Accordingly, both solvers require further code development to
642 simulate wave action. If the duration of analysis is short, the ALE solver is the preferred choice
643 of the two solvers studied here.

644 **7.2. RECOMMENDATIONS FOR VERIFICATION PROCESS**

645 The verification process presented here can be broadly applied to seismic FSI analysis of
646 liquid-filled tanks and nuclear equipment. The recommended steps for verification of a
647 numerical model are provided:

- 648 1. Identify the application of the numerical model and the required response quantities. For
649 liquid-filled nuclear equipment, hydrodynamic pressures, support reactions, and wave heights
650 are important to seismic analysis and design.
- 651 2. Parse the equipment of interest into assemblies based on a hierarchy of complexity in the
652 geometry and liquid (fluid) responses. For example, a nuclear reactor is parsed here into a
653 liquid-filled tank and submerged components. Verify the numerical model of each assembly
654 separately via steps 3 to 8.
- 655 3. Develop a conceptual model relevant to the application of the numerical analysis (of an
656 assembly identify in step 2). Accurate solutions (i.e., theoretical, analytical, or differential-
657 equation solutions) for the identified response quantities of the conceptual model must be
658 available.
- 659 4. Construct a numerical model for the conceptual model using identical physical properties
660 (e.g., geometry, boundary conditions, initial conditions, and mechanical properties).
- 661 5. Perform sensitivity analysis and convergence study to optimize the numerical model. The
662 mesh and time step should be capable of producing responses in the frequency range of
663 interest.
- 664 6. Compute numerical results for the response quantities.
- 665 7. Compare the numerical results with those calculated using the accurate solutions and
666 quantify the differences.
- 667 8. Verify the numerical model per a required threshold (e.g., $\pm 10\%$) for the differences
668 between the numerical and accurate results. The threshold is problem- and analyst-specific.
- 669 9. Repeat steps 3 to 8 to verify the numerical model of each assembly of the equipment of
670 interest.

671 The verified models should then be validated. A numerical model of the equipment can then be
672 constructed, informed by the parameters used in the verified (and validated) models, their
673 modeling approach, and limitations for the simulations. Sensitivity analysis and convergence
674 study are required to optimize the numerical model of the equipment.

8. NOTATION

675

676 (x, y, z) : Cartesian coordinate system, origin at the center of the base of a cylindrical tank

677 (r, θ, z) : cylindrical coordinate system, origin at the center of the base of a cylindrical tank

678 ρ : density of the fluid in a tank

679 ρ_s : density of the material of a tank

680 μ : viscosity of the fluid in a tank

681 ν_s : Poisson's ratio of the material of a tank

682 d_w ($d_{w,j}$): vertical displacement of the free surface (in the j th mode) of the fluid in a tank, namely, wave height with respect to the initial free surface

684 E_s : elastic modulus of the material of a tank

685 F : shear force at the base of a tank, namely, summation of F_{imp} and F_{con}

686 F_{con} ($F_{con,j}$): convective shear force (in the j th mode) at the base of a tank

687 F_{imp} ($F_{imp,k}$): impulsive shear force (in the k th mode) at the base of a tank

688 $f_{con,j}$: convective frequency of the j th mode of waves in a tank

689 $f_{imp,k}$: impulsive frequency of the k th mode of a tank

690 g : gravitational acceleration

691 H : height of the fluid in a tank with respect to the base

692 H_s : height of a tank

693 h : thickness of the wall of a tank

694 K_w : bulk modulus of the fluid in a tank

695 $M_{con,b}$ ($M_{con,b,j}$): convective moment (in the j th mode) at the base of a tank generated by $p_{con,b}$

696 $M_{con,w}$ ($M_{con,w,j}$): convective moment (in the j th mode) at the base of a tank generated by $p_{con,w}$

697 $M_{imp,b}$ ($M_{imp,b,k}$): impulsive moment (in the k th mode) at the base of a tank generated by $p_{imp,b}$

698 $M_{imp,w}$ ($M_{imp,w,k}$): impulsive moment (in the k th mode) at the base of a tank generated by $p_{imp,w}$

699 $M_{imp,wb}$: impulsive moment at the base of a tank, namely, summation of $M_{imp,w}$ and $M_{imp,b}$

700 M_{wb} : moment at the base of a tank, namely, summation of $M_{imp,w}$, $M_{imp,b}$, $M_{con,w}$, and $M_{con,b}$

701 p_{con} ($p_{con,j}$): convective pressure (in the j th mode) in the fluid or on the inner surfaces of a tank; p_{con} on the tank wall and base noted as $p_{con,w}$ and $p_{con,b}$, respectively

703 p_{imp} ($p_{imp,k}$): impulsive pressure (in the k th mode) in the fluid or on the inner surfaces of a tank; p_{imp} on the tank wall and base noted as $p_{imp,w}$ and $p_{imp,b}$, respectively

705 p_w : hydrodynamic pressure on the wall of a tank, namely, summation of $p_{imp,w}$ and $p_{con,w}$

706 R : radius of a cylindrical tank

707 t : time

708 $u_0''(t)$: acceleration time series of a horizontal ground motion

709 9. ACKNOWLEDGMENTS

710 The information, data, or work presented herein was funded in part by the Advanced Research
711 Projects Agency-Energy (ARPA-E), U.S. Department of Energy, under Award Number DE-
712 AR0000978. The views and opinions of the authors expressed herein do not necessarily state or
713 reflect those of the United States Government or any agency thereof.

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