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# **Stability and convergence analysis of the harmonic balance method for a Duffing oscillator with freeplay nonlinearity**

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# Presentation outline

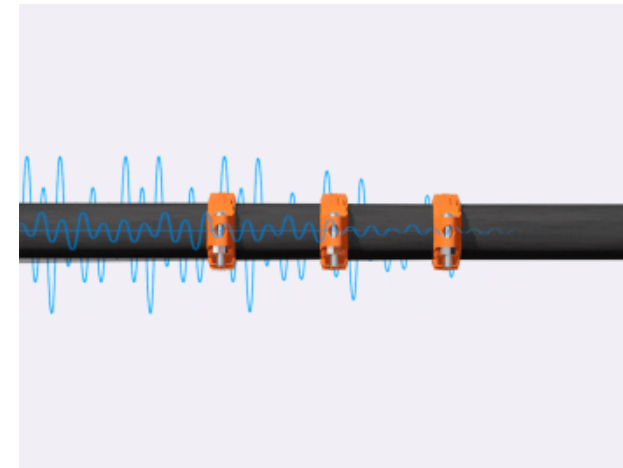
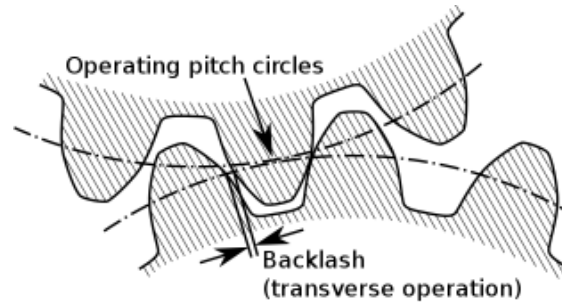
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- ☐ **Introduction and motivation**
- ☐ **System modeling and numerical methods**
- ☐ **System dynamics**
- ☐ **Convergence analysis**
- ☐ **Stability analysis**
- ☐ **Conclusions**

# Introduction and motivation

## ❑ The harmonic balance method (HBM) is very popular for analyzing dynamical systems

- Computes periodic solutions using a Galerkin/Fourier series approximation
- Useful for linear/nonlinear, SDOF/MDOF systems, faster than time integration, computes unstable solution branches, etc.
- Growing interest in using HBM for engineering systems with contact and/or friction
- Contact is a non-smooth nonlinearity that can induce very complex dynamics



# Introduction and motivation

## ❑ Difficulties in pairing HBM with contact systems include:

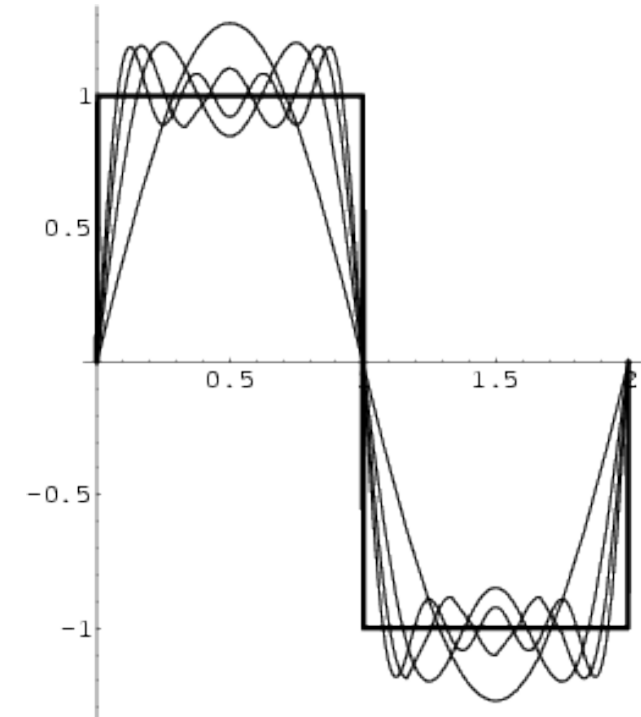
- Significant nonlinear complexity compared to smooth systems
- Large numbers of harmonics required due to discontinuous derivatives and Gibbs phenomenon effects

## ❑ Questions:

- What are the limits of applicability of HBM for a system subject to freeplay contact?
- How many harmonics would you need to get an accurate solution?

## ❑ Goal of this work:

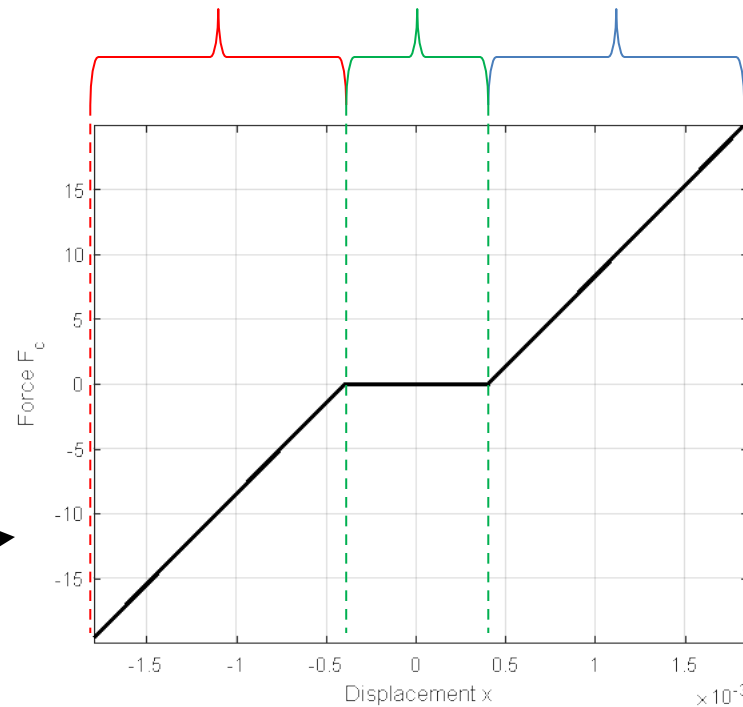
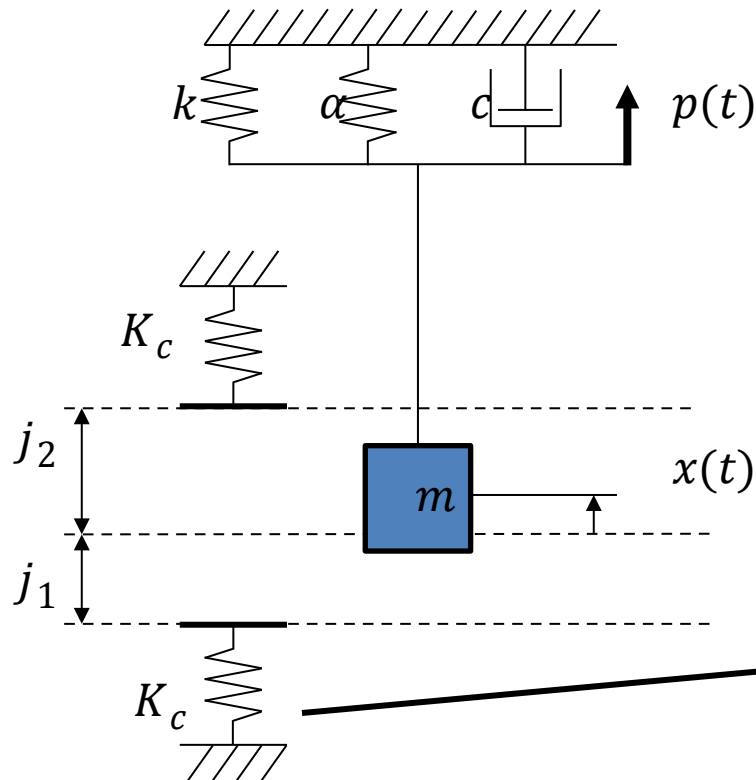
- Determine the limits of applicability of HBM for a nonlinear system with freeplay
- Evaluate the accuracy of nonlinear periodic responses computed with HBM
- Perform a stability analysis of the system using HBM



Example of Gibbs phenomenon

## ❑ Forced Duffing oscillator with freeplay

$$\ddot{x} + 2\omega_n\zeta\dot{x} + \omega_n^2x + \frac{\alpha}{m}x^3 + \frac{F_c(x)}{m} = \frac{p}{m}\cos(\omega t),$$



$$F_c = \begin{cases} K_c(x + j_1), & x < -j_1 \\ 0, & -j_1 \leq x \leq j_2 \\ K_c(x - j_2), & x > j_2 \end{cases}$$

- Parameter values taken from deLangre et al. (1996)
- Contact is modeled with piecewise-smooth penalty stiffness
- Contact damping is negligible
- Past work showed that harder contact stiffness or smaller gap sizes increase the amount of nonlinear behavior in the system

## □ Result data is obtained using the harmonic balance method (HBM)

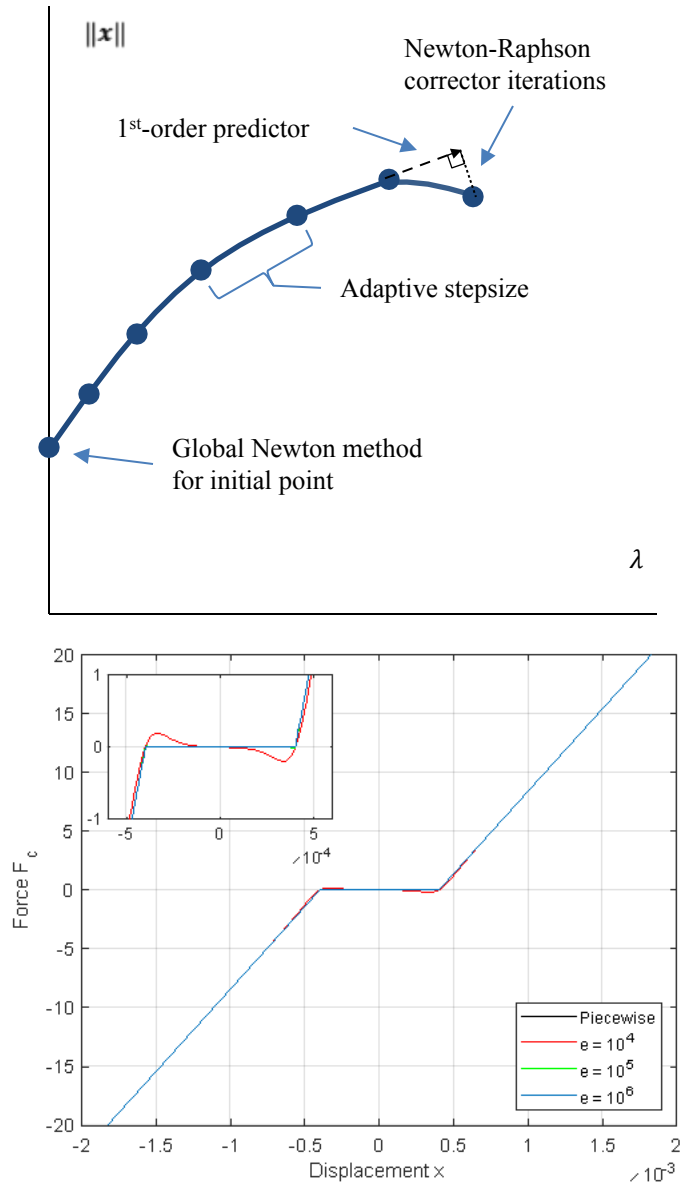
- The form of the solution is assumed to be a Fourier series:

$$\mathbf{M}\ddot{\mathbf{x}} + \mathbf{C}\dot{\mathbf{x}} + \mathbf{K}\mathbf{x} + \mathbf{f}_{nl}(\mathbf{x}, \dot{\mathbf{x}}) = \mathbf{f}_{ext}(t),$$

$$\mathbf{x}(t) = \frac{\mathbf{c}_0^x}{\sqrt{2}} + \sum_{k=1}^{N_h} [\mathbf{s}_k^x \sin(k\omega t) + \mathbf{c}_k^x \cos(k\omega t)]$$

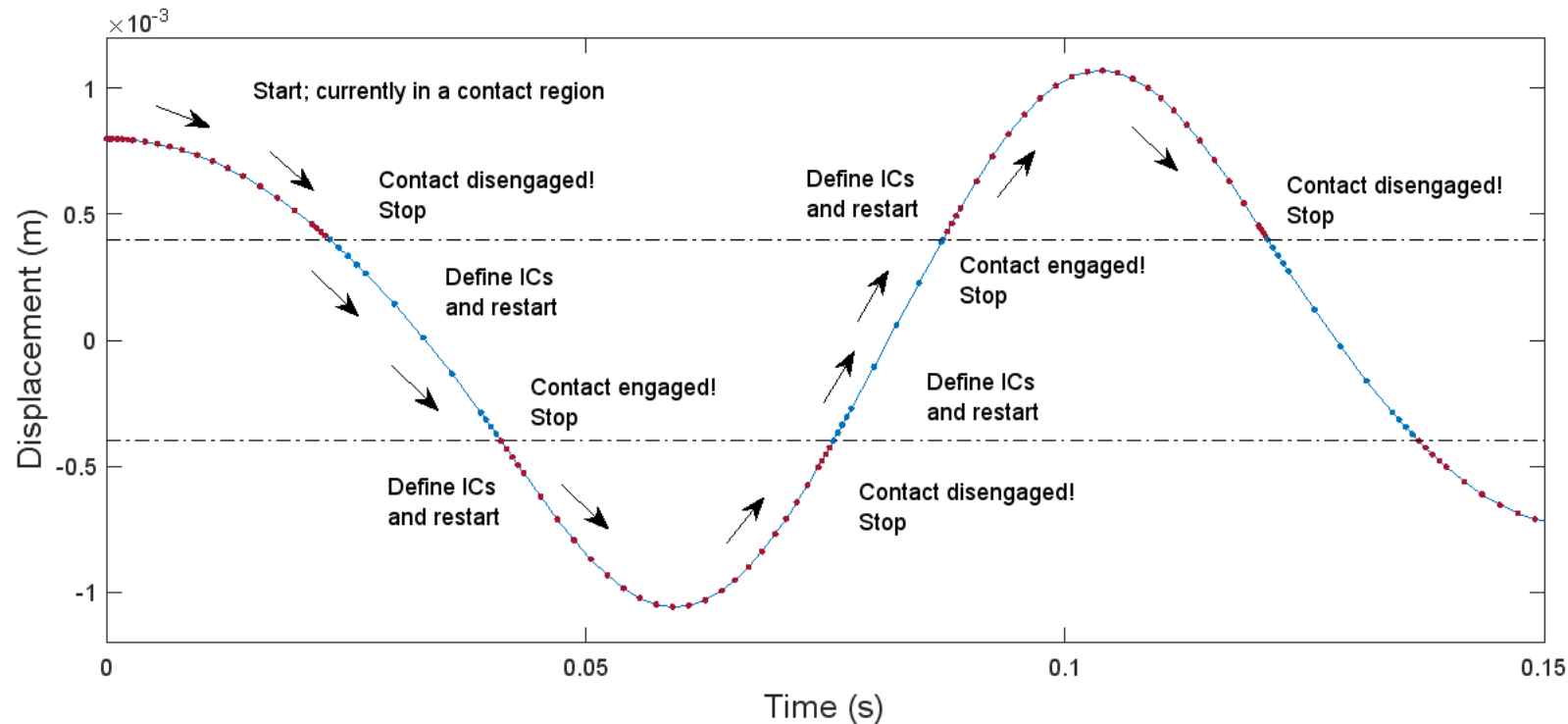
- This is combined with pseudo-arclength continuation to trace out solution branches
- The freeplay force is approximated with a fully smooth (regularized) function:

$$F_c = K_c \left( \frac{1}{2} [1 - \tanh(e(x + j_1))](x + j_1) + \frac{1}{2} [1 + \tanh(e(x - j_2))](x - j_2) \right)$$



## ❑ Reference data is obtained using Matlab® ode45 with *Event Location*

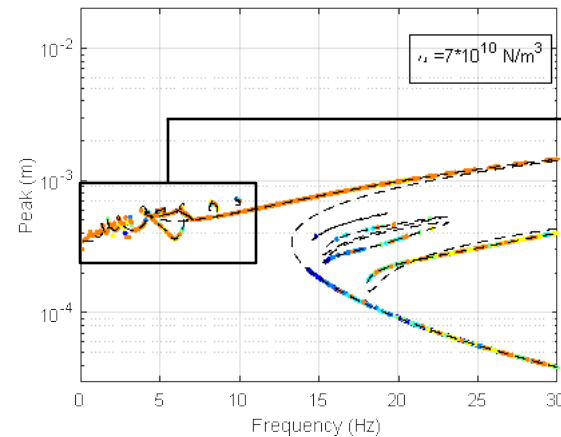
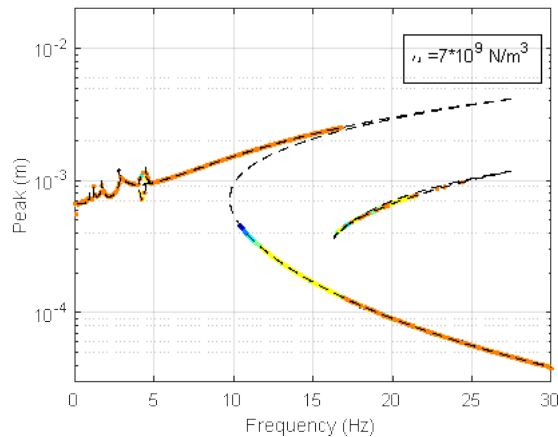
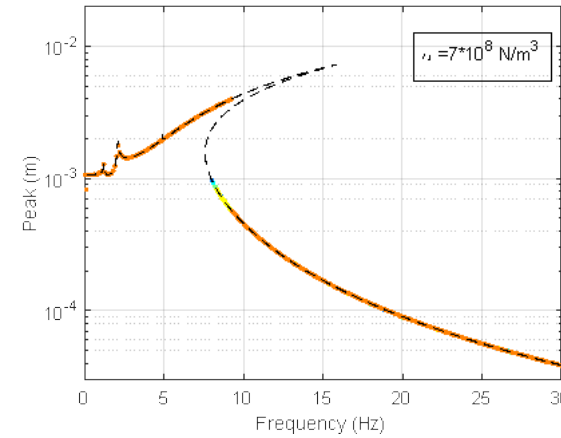
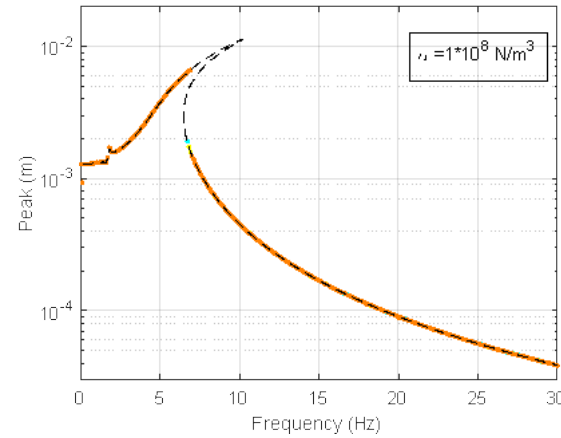
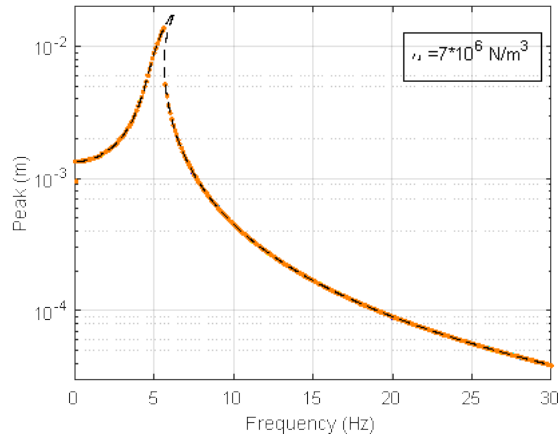
- Piecewise time integration, which prevents accumulating roundoff error
- A timestep is always forced at every instance of contact to ensure accuracy
- Past validation has shown good results



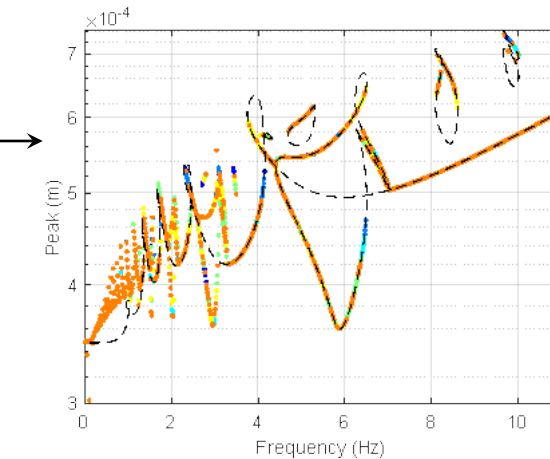
# System dynamics

## Initial verification with fully smooth system:

➤  $K_c = 0, \alpha = 0 - 7 * 10^{10} \text{ N/m}^3$  (classical Duffing)



Dots: time integration, various ICs  
Dashed lines: HBM harmonics 0-12



## Takeaways:

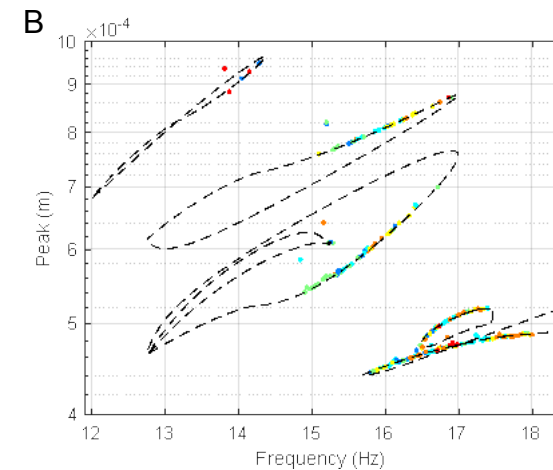
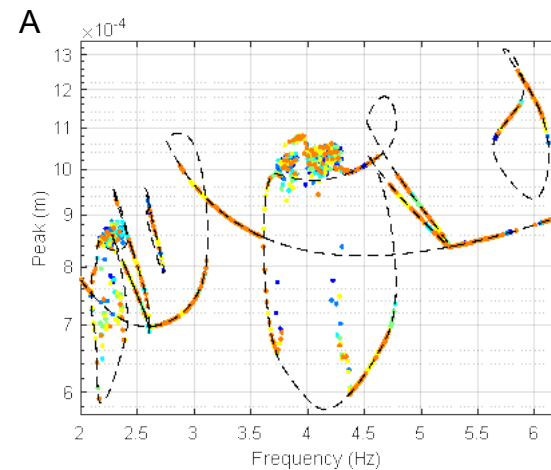
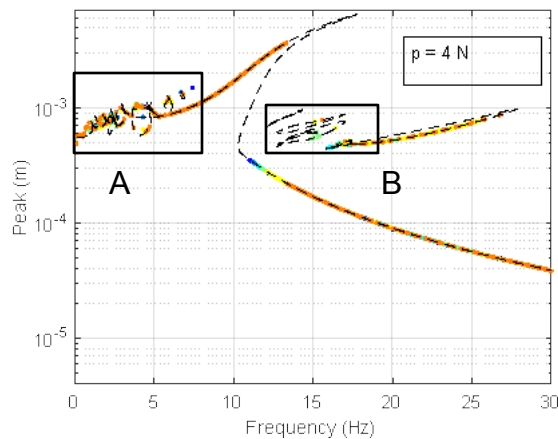
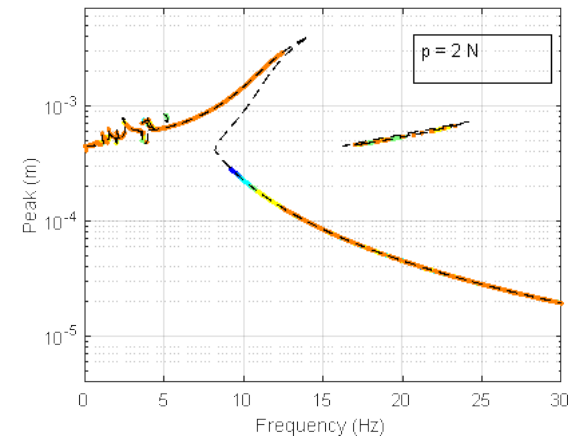
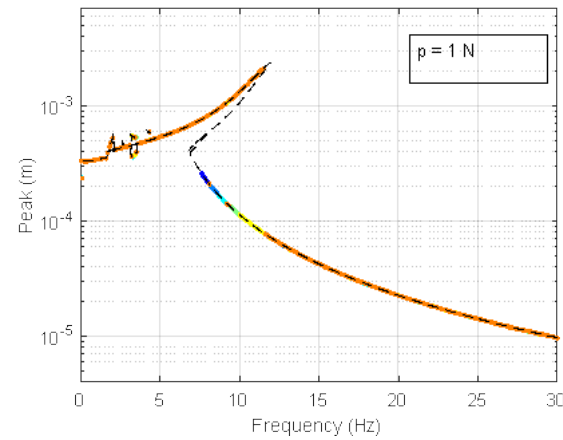
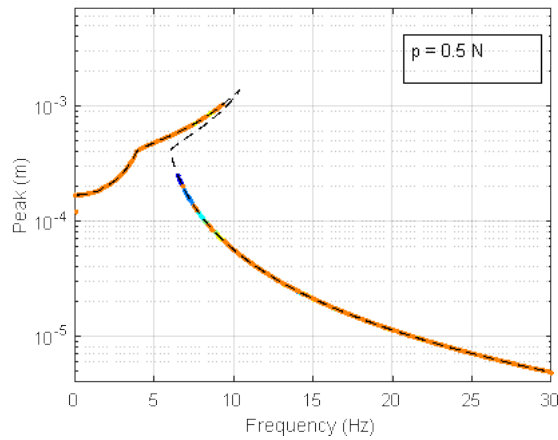
- 12 harmonics gives excellent quantitative agreement for weak and strong cubic nonlinearity, e.g. beyond the limits of perturbation theory
- It is also sufficient for very strong cubic nonlinearity, as numerous super- and sub-harmonic resonance structures appear
- More harmonics are needed for the ultra-subharmonic resonances at low frequencies



# System dynamics

## □ Full Duffing-freeplay system, varying forcing magnitude:

➤  $\alpha = 7 * 10^8 \frac{N}{m^3}, K_c = 1.4 * 10^4 \frac{N}{m}, j_1 = j_2 = 0.4 \text{ mm}, p = 0.5 - 4 \text{ N}$

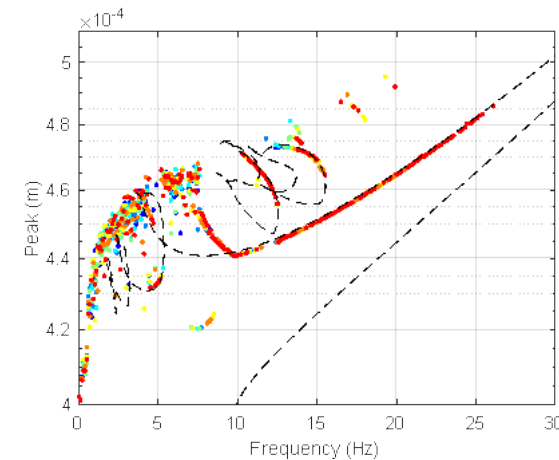
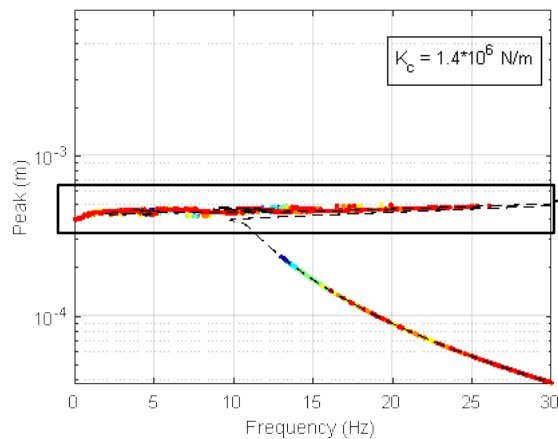
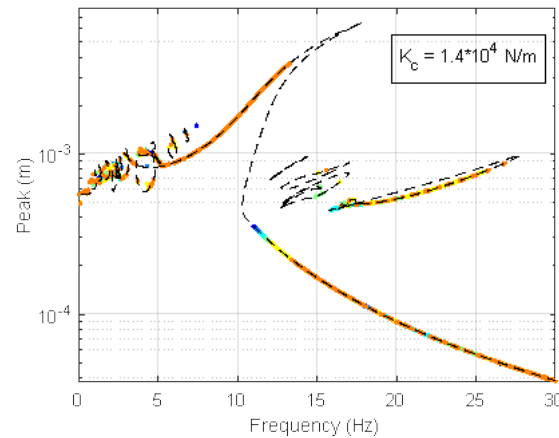
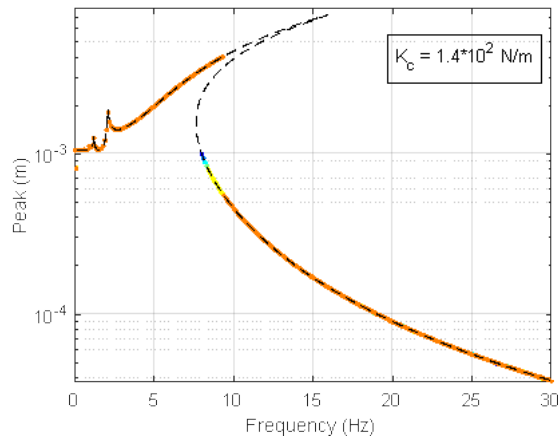


### Takeaways:

- 12 harmonics still gives excellent quantitative agreement for weak and strong forcing magnitude
- HBM is able to capture the numerous resonances that occur in the system
- HBM, as expected, fails to capture some chaotic regions at higher forcing

## Full Duffing-freeplay system, varying contact stiffness:

➤  $\alpha = 7 * 10^8 \frac{N}{m^3}, p = 4N, K_c = 1.4 * 10^2 - 10^6 \frac{N}{m}$



### Takeaways:

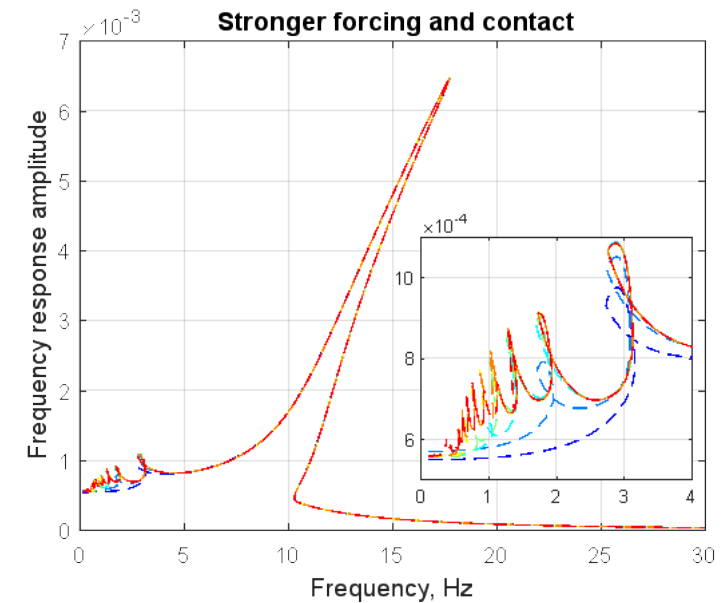
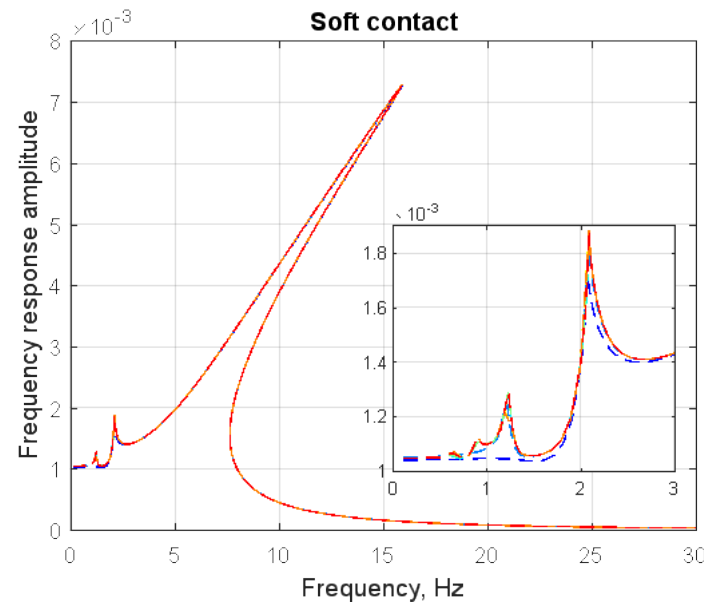
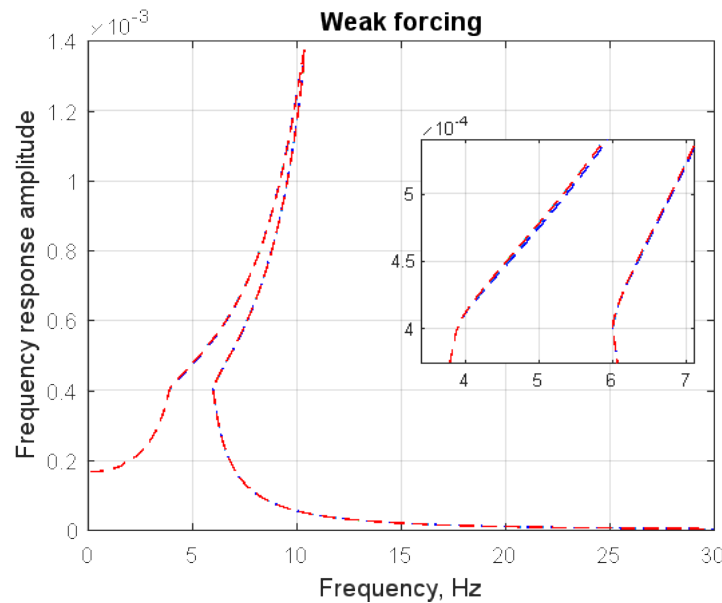
- 12 harmonics gives excellent quantitative agreement for soft and medium contact stiffnesses
- For hard contact, 12 harmonics gives good agreement for much of the main response branch
- This breaks down below 10 Hz, as this region transitions to chaos
- On the order of 72 harmonics are now required to adequately capture superharmonic and ultra-subharmonic resonance solutions

# Convergence analysis

Legend:

0.3  
0.6  
0.9  
0.12  
0.18  
0.24  
0.36

- ❑ Main resonance branch for three nonlinearity strengths is plotted with multiple harmonics
- ❑ Visually, the only real differences are at low frequency
  - Stronger contact leads to many superharmonic resonances that require more harmonics to capture



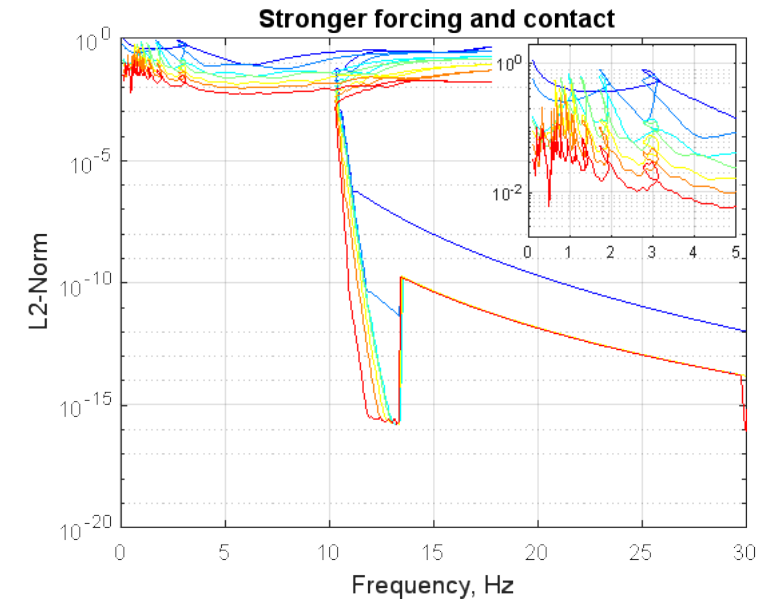
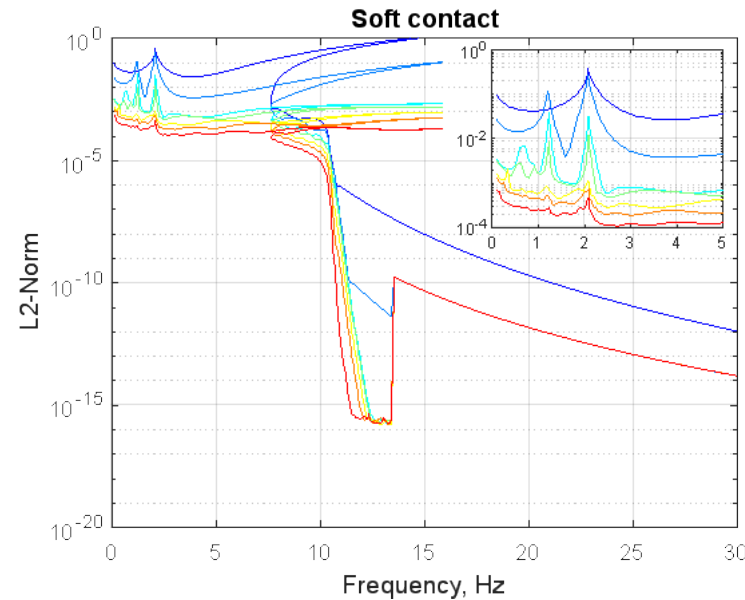
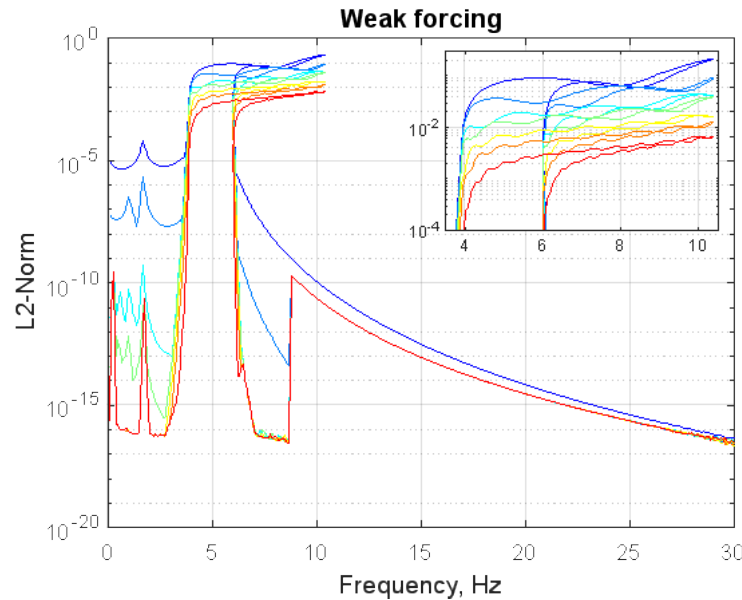
# Convergence analysis

## □ $L_2$ -norm of the residual is plotted to show accuracy vs. # of harmonics

- Error is highest near the primary resonance peak, where amplitude is highest
- Error is also high near superharmonic resonances
- Using more harmonics produces an asymptotic error curve

Legend:

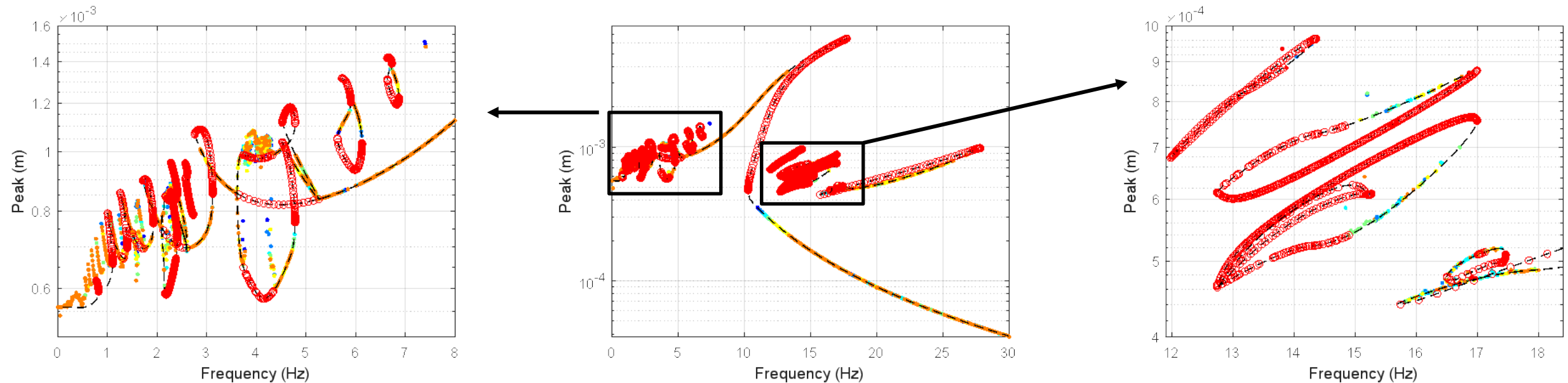
0:3  
0:6  
0:9  
0:12  
0:18  
0:24  
0:36



# Stability analysis

## □ Floquet stability is calculated using Hill's method

- There are numerous turning points/saddle-node bifurcations on all solution branches
- Several branch points/pitchfork bifurcations occur at low frequency
- Some occur on the isolated subharmonic resonances as well
- The response is shown to be unstable where chaotic responses occur



# Conclusions

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- ❑ Harmonic balance method (HBM) was applied to an SDOF nonlinear oscillator system with freeplay
- ❑ The accuracy of nonlinear periodic responses computed with HBM was evaluated
- ❑ HBM is able to capture many types of nonlinear behavior with relatively few harmonics
  - 12 harmonics was sufficient to capture nearly all behavior except for cases with hard contact
  - Superharmonic resonances and isolated subharmonic resonances
  - Isolated ultra-subharmonic resonances tended to require more harmonics ( $\sim 3$  times as many)
  - Chaotic responses cannot be captured, but this is expected
  - Hard contact required  $\sim 6$  times as many harmonics
- ❑ Stability analysis showed that numerous saddle-node and pitchfork bifurcations occur, particularly at low frequency
  - Although HBM cannot resolve chaotic responses, it can detect unstable regions where it may occur

# Acknowledgements

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**Thank you for your attention!**  
**Any questions?**

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