

# Network Models of Active Degradation Mechanisms and Pathways for Service Life Prediction of Indoor and Outdoor PV Modules



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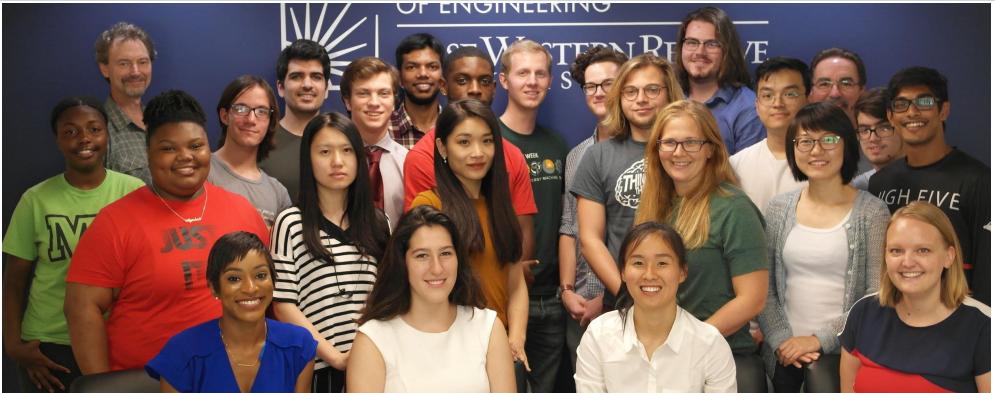
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# SDLE Research Center: Acknowledgements



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## Post-doctoral Research Associates

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# PV Service Lifetime Prediction (SLP)

Accurate PV SLP, is crucial to LCOE, and is the basis of PV lifetime performance

- Challenge: PV modules are complex systems with multiple degradation mechanisms

Requires: comprehensive study protocol development and data on variants and exposures

Our solution: mapping of degradation mechanisms and pathways with network modeling



Service Life Prediction:  
In Lab & Field



PV module variants :  
BOM  
Quality  
Design

Weathering exposure stressors:  
Climate  
Racking

Cell technology

Encapsulation

Glass/Backsheet

Brand/Suppliers

Irradiance/UV

Moisture

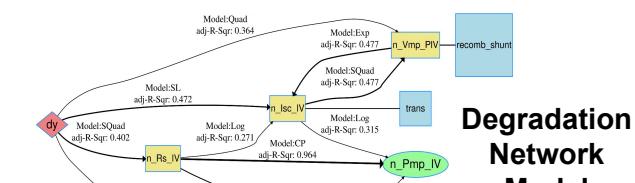
Temperature

Degradation Network models

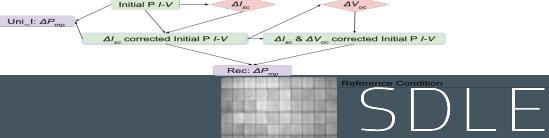
$\Delta R_s$ , corrosion

$\Delta I_{sc}$ , optical

$\Delta V_{mp}$ , recombination



Study Protocol



# netSEM: Degradation Pathway Network Modeling

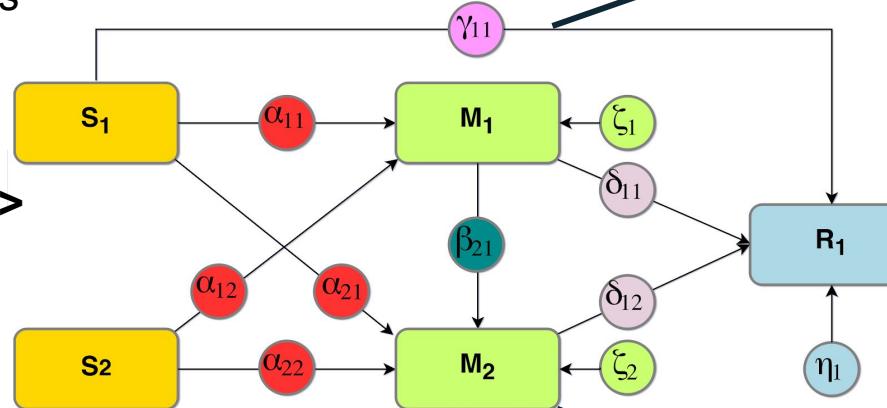
## Structural Equation Models<sup>1</sup>: System of equations

- Includes non-linear relationships
- $S_i$  are Stressors
- $M_i$  are Mechanisms
- $R_i$  are Responses

## NetSEM on CRAN<sup>2</sup>

### <Stressor|Response>

- total degradation



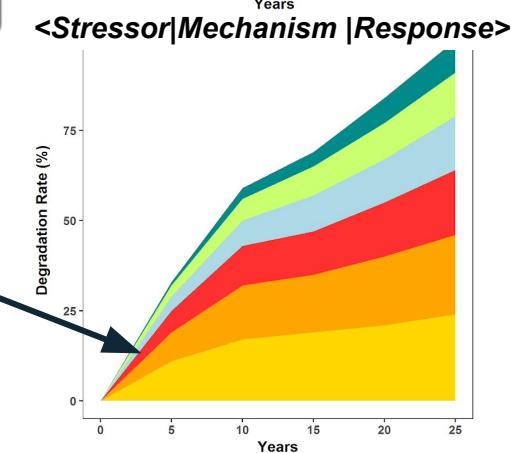
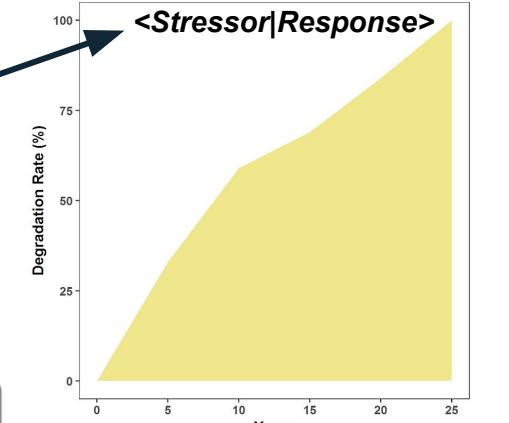
$$M_1 = x + \alpha_{11}S_1 + \alpha_{12}S_2 + \zeta_1$$

$$M_2 = y + \alpha_{21}S_1 + \alpha_{22}S_2 + \beta_{21}M_1 + \zeta_2$$

$$R_1 = z + \gamma_{11}S_1 + \delta_{11}M_1 + \delta_{12}M_2 + \eta_1$$

### <Stressor|Mechanism|Response>

- rank order degradation mechanisms



# **Degradation Pathways in PERC Minimodules under Accelerated Exposures**

**Module Architecture: Double Glass vs. Glass/Backsheet**

**Packaging Materials: EVA vs. POE Encapsulants**

# Towards 50 Study Protocol: Variants & Exposure Types in the Study



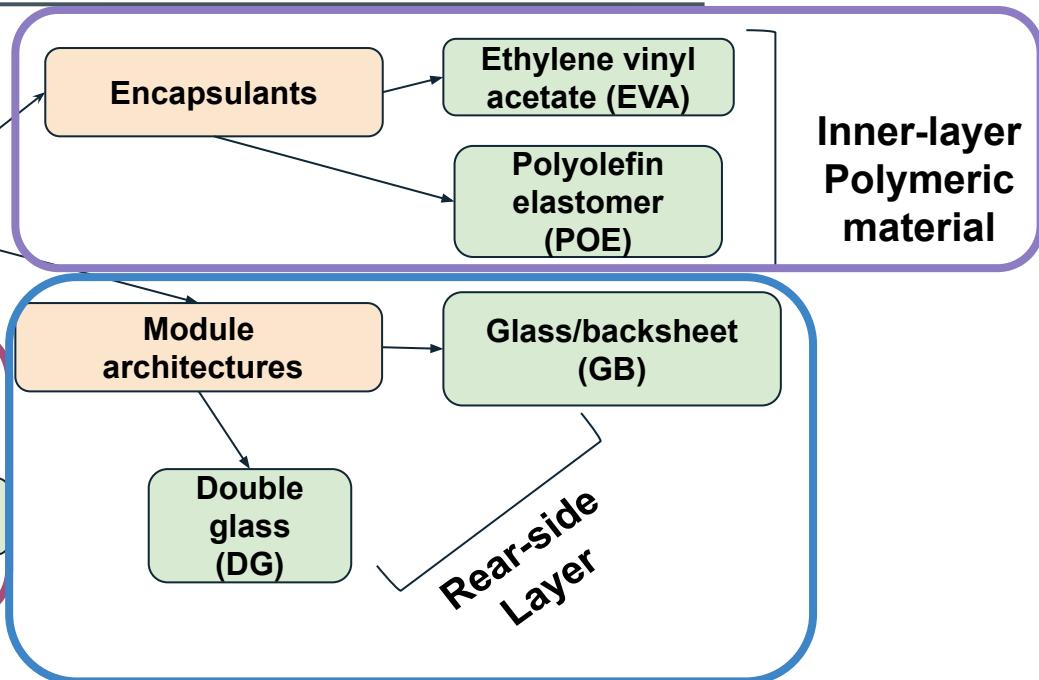
## Manufacturer

Variants in this study

Brands

Canadian Solar (CSI)

CWRU



## Exposure conditions

Indoor Exposures

Modified damp heat (mDH)

mDH with full spectrum light (FSL)

# Parametric Studies: MiniModules Variants & Quantities

	SDLE	CSI							Front	PERC Type	Encap Type	Front Encap	Back Encap	Back Type	Back	Module Type		
Set #	Qty	Compl Date	Qty	Compl Date	Type Total #	# Retain	# Out-door	# Accel	Accel 1 #	Accel 2 #	Glass	mono-/ bi-facial	EVA/ POE	Trans	Trans/Cut/ Opaq	BS/ GI	Trans/ Opaq	mono-/ bi-facial
1	6	M4	6	M4	12	2	2	8	4	4	Glass	mono	EVA	Trans	Cut	BS	Opaq	mono
1	6	M4	6	M4	12	2	2	8	4	4	Glass	mono	EVA	Trans	Cut	GI	Trans	mono
1	6	M4	6	M4	12	2	2	8	4	4	Glass	mono	POE	Trans	Cut	BS	Opaq	mono
1	6	M4	6	M4	12	2	2	8	4	4	Glass	mono	POE	Trans	Cut	GI	Trans	mono
2	6	M10	6	M4	12	2	2	8	4	4	Glass	bi	EVA	Trans	Opaq	BS	Opaq	mono
2	6	M10	6	M4	12	2	2	8	4	4	Glass	bi	EVA	Trans	Opaq	GI	Trans	mono
2	6	M10	6	M4	12	2	2	8	4	4	Glass	bi	POE	Trans	Opaq	BS	Opaq	mono
2	6	M10	6	M4	12	2	2	8	4	4	Glass	bi	POE	Trans	Opaq	GI	Trans	mono
3	6	M16	6	M4	12	2	2	8	4	4	Glass	mono	EVA	Trans	Opaq	BS	Opaq	mono
3	6	M16	6	M4	12	2	2	8	4	4	Glass	mono	EVA	Trans	Opaq	GI	Trans	mono
3	6	M16	6	M4	12	2	2	8	4	4	Glass	mono	POE	Trans	Opaq	BS	Opaq	mono
3	6	M16	6	M4	12	2	2	8	4	4	Glass	mono	POE	Trans	Opaq	GI	Trans	mono
4	6	M22	6	M4	12	2	2	8	4	4	Glass	bi	EVA	Trans	Cut	BS	Trans	bi
4	6	M22	6	M4	12	2	2	8	4	4	Glass	bi	EVA	Trans	Trans	GI	Trans	bi
4	6	M22	6	M4	12	2	2	8	4	4	Glass	bi	POE	Trans	Cut	BS	Trans	bi
4	6	M22	6	M4	12	2	2	8	4	4	Glass	bi	POE	Trans	Trans	GI	Trans	bi
5	6	M28	6	M27	12	2	0	10	5	5	Glass	TBD	TBD	TBD	TBD	TBD	TBD	TBD
5	6	M28	6	M27	12	2	0	10	5	5	Glass	TBD	TBD	TBD	TBD	TBD	TBD	TBD
5	6	M28	6	M27	12	2	0	10	5	5	Glass	TBD	TBD	TBD	TBD	TBD	TBD	TBD
5	6	M28	6	M27	12	2	0	10	5	5	Glass	TBD	TBD	TBD	TBD	TBD	TBD	TBD

240 Minimodules in Study Protocol: For Accelerated Lab and Real-world Exposures

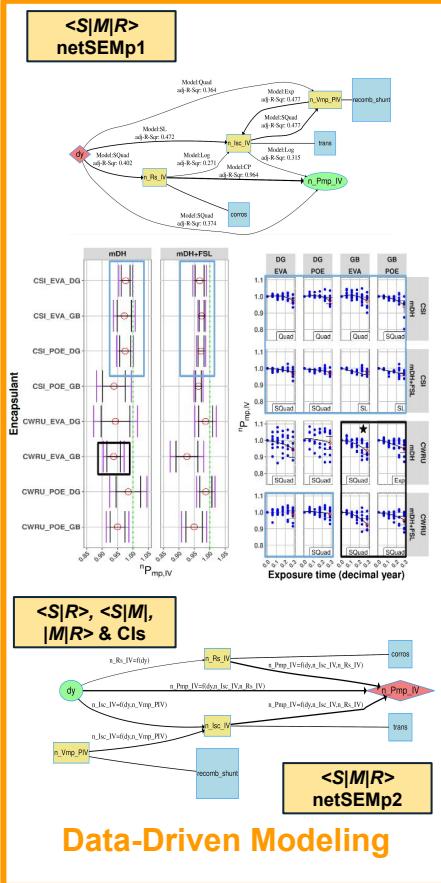
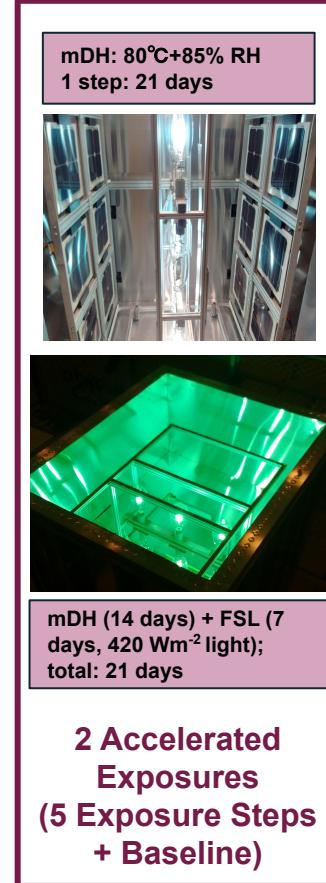
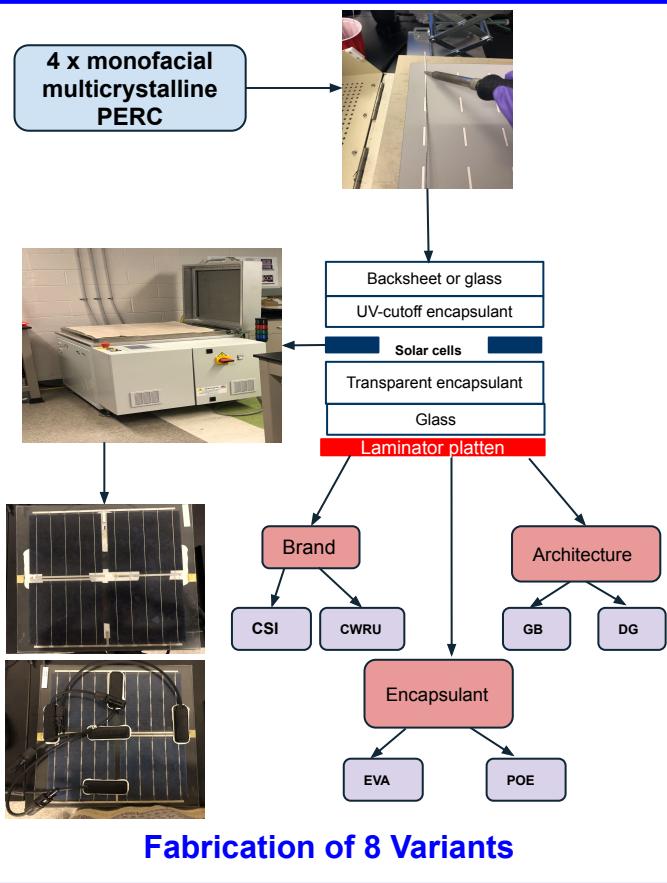
Number of data points for modeling MM Sets

32 minimodules  
4 cells each  
2 exposures  
6 time points  
4 features

**MM Set #1:**  
6,144 data points

**MM Sets #1 to #5:**  
32,256 data points

# Study Protocol

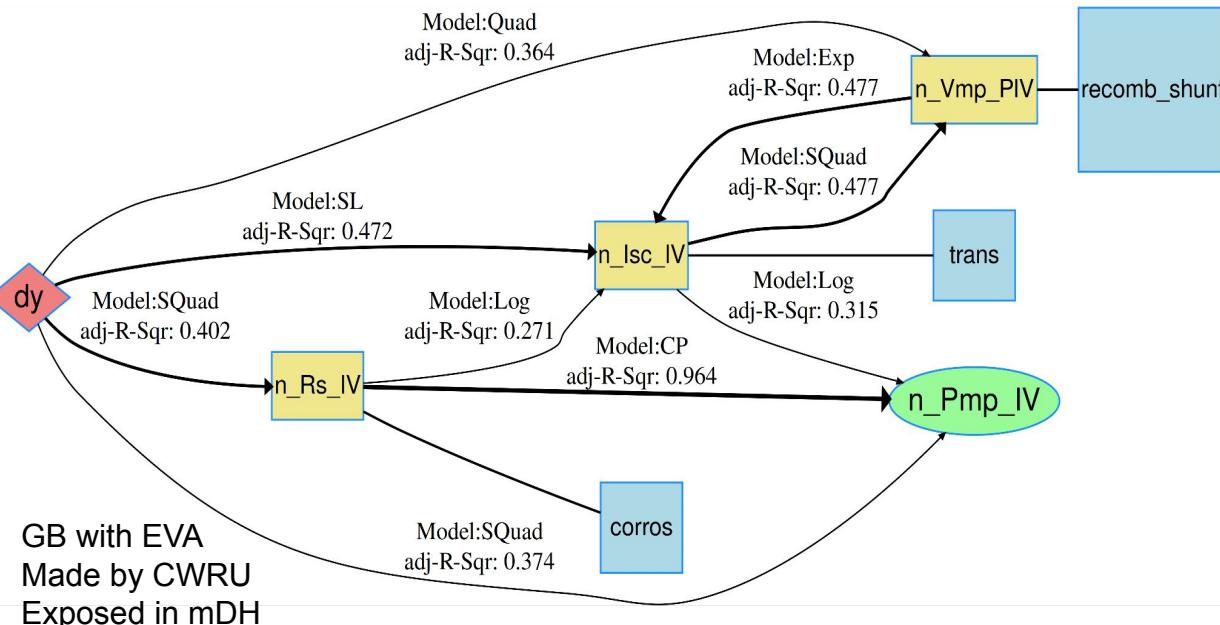


# <Stressor|Mechanism|Response> model:

Pairwise relationships between variables

2 MMs (8 cells) x 6 time points: 48 observations

- Statistical significance



Variables normalized: ↓ noise

Common functional forms

- Based on  $R_{adj}^2$  for all variants
- For a pathway

Path	Model type
$dy \rightarrow {}^n P_{mp,IV}$	SQuad
$dy \rightarrow {}^n I_{sc,IV}$	Quad
$dy \rightarrow {}^n R_{s,IV}$	SQuad
$dy \rightarrow {}^n V_{mp,PIV}$	Quad
$mechanism \rightarrow {}^n P_{mp,IV}$	Quad

$R_{adj}^2$ : % variance explained by model

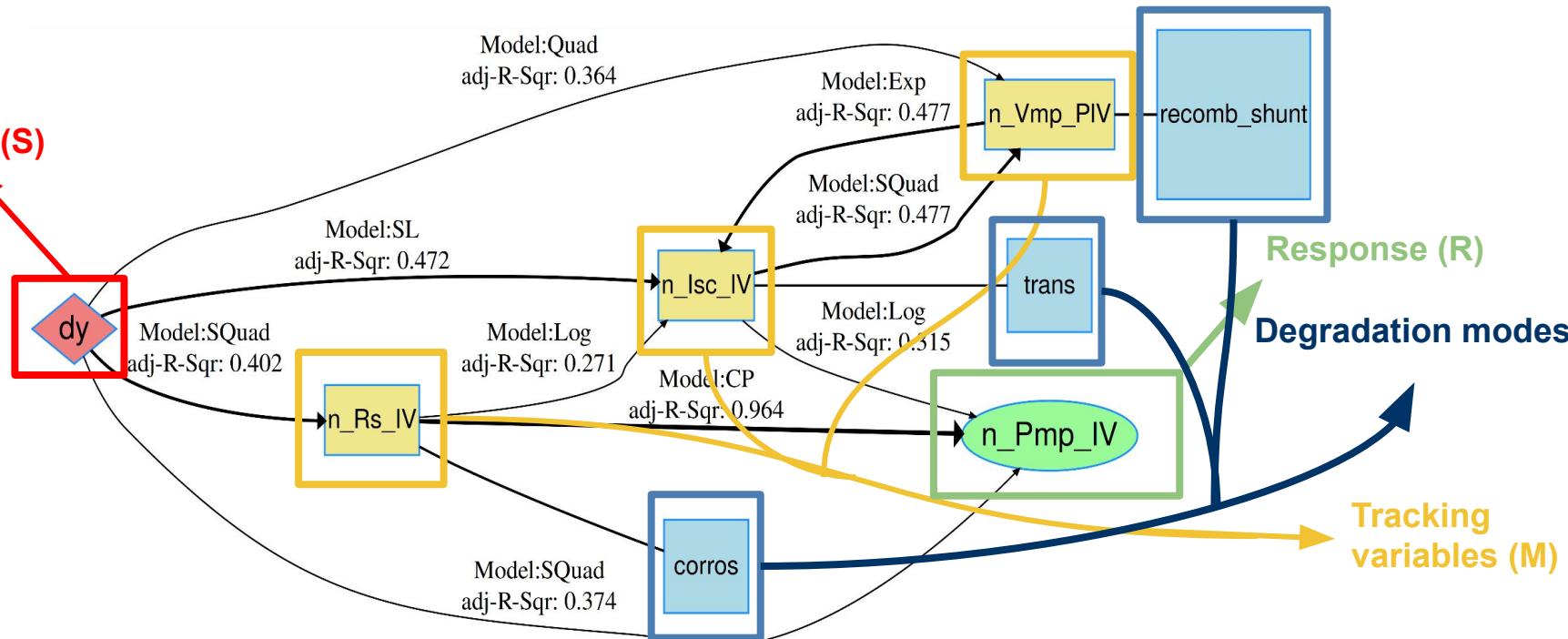
# <S|M|R> Model (Principle 1): GB with EVA by CWRU (mDH Exposure)

trans: optical transmission

corros: corrosion

recomb\_shunt: recombination & shunting

**Stressor (S)**

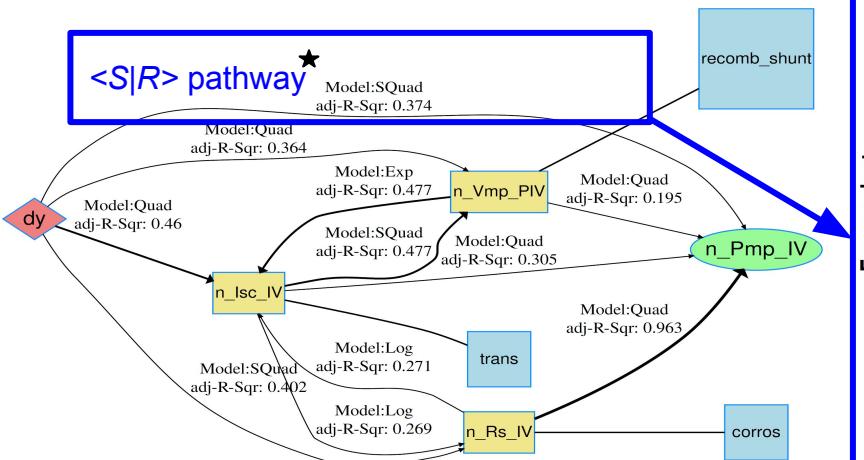


**Response (R)**

**Degradation modes**

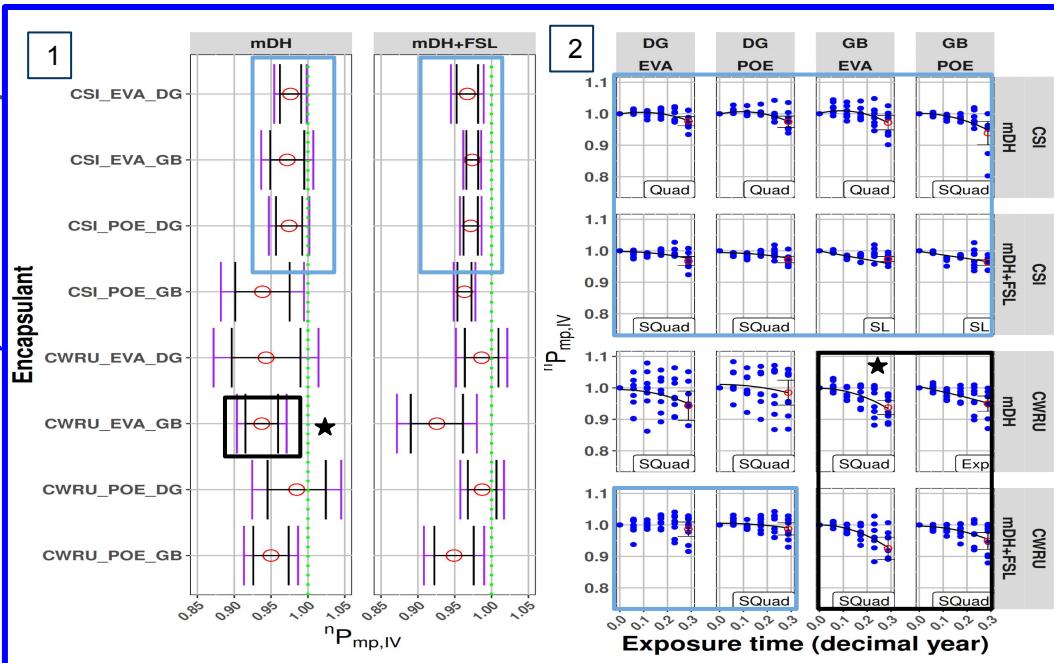
**Tracking variables (M)**

# <S|M|R> Pathway Results



<S|R>: direct pathway connecting dy and  $nP_{mp,IV}$

95% Confidence Interval (CI)  
83.4% CIs  
Estimated means  
Data points  
Best model plot and type



At end of exposure (step 5)

Durable or degrading: based on 83.4% CIs

DG MMs by CSI: stable

Difference between GB with EVA by CSI and CWRU (fabrication differences)

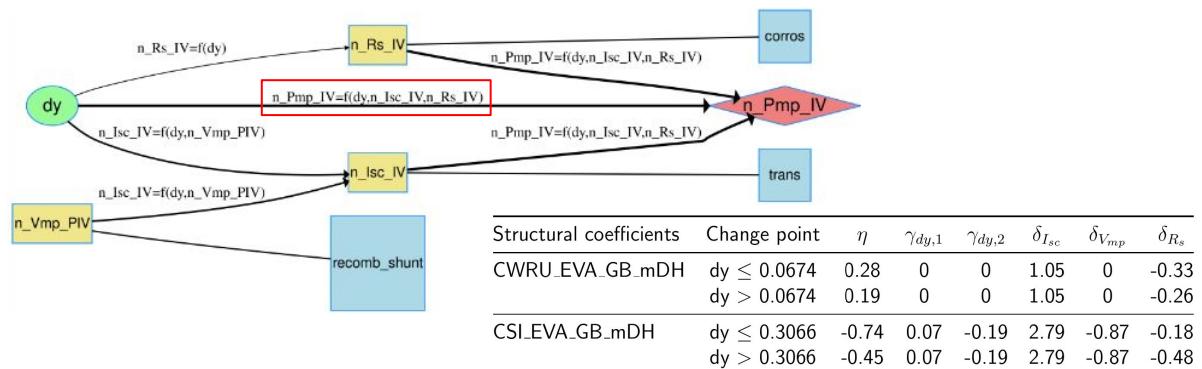
Most DG MMs: seem to be stable with time

GB MMs (CWRU): power drop  
How much ↓ power?

- ~ 5-6%

Corrosion is a prominent degradation mode (from <S|M| and |M|R>)

# <S|M|R> Model, Principle 2, Multiple Regression by Step AIC



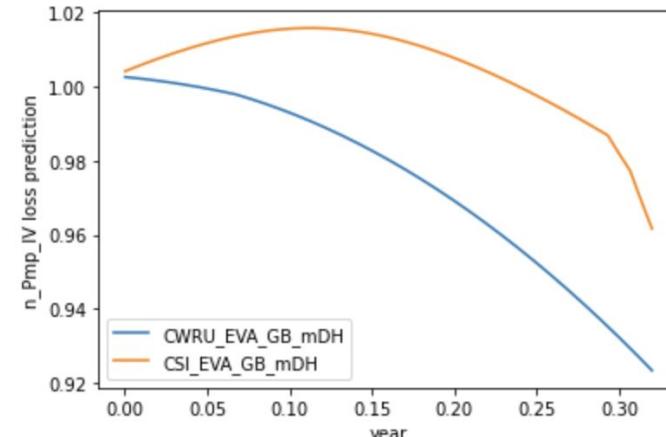
$$^n P_{mp,IV} = \eta + \gamma_{dy,1} \cdot dy + \gamma_{dy,2} \cdot dy^2 + \delta_{I_{sc}} \cdot ^n I_{sc,IV} + \delta_{V_{mp}} \cdot ^n V_{mp,PIV} + \delta_{R_s} \cdot ^n R_{s,IV}$$

## Principle 1 (P1): Assume Markov Property

- Memoryless property of a stochastic process
- Interpretable model for inferring active mechanisms

## Principle 2 (P2): Multiple Regression by Step AIC

- Further train the principle 1 network model
- Using stepwise regression and AIC
- P2 Model used for Prediction of modeled response



## P2 <S|M|R> model is for Service Life Prediction

The P2 <S|M|R> model has best  $R_{adj}^2$

- Fits the data most accurately
- Has the highest variance explained
- Is the most accurate predictive model

<S|M|R> models provide both

- Mechanistic Inference
- And Response Prediction

# Degradation & Partial Shading Study of Photovoltaic Modules in the Field: Enabled by Time-series Current-Voltage & Power Analysis

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Jiqi Liu

Degradation mechanisms and partial shading of glass-backsheet and double-glass photovoltaic modules in three climate zones determined by remote monitoring of time-series current–voltage and power datastreams

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# The Fraunhofer-ISE Dataset: 8 years of $I-V$ , $P_{mp}$ Datastreams

## 8 PV modules located in 3 locations

### With 3 Köppen-Geiger Climate Zones

- 3 in Gran Canaria (**BWh** by kgc package<sup>[1]</sup>)
- 3 in the Negev (**BSh**)
- 2 on Mount Zugspitze, Bavarian Alps (**ET**)

## Module/System age from 3 to 8 years

## 8 modules belong to 2 architectures

- 4 glass-backsheet (GB), brand F
- 4 double-glass (DG) of brand G

## $P_{mp}$ measured

- every 1 min

## Time Series $I-V$ curves (3.2 Million)

- acquired every 5 mins

## Meteorological, Weather Data

- every 1 min
- Temperature, POA Irradiance

Mod. ID	Start	End	System Age (Yr.)	Loc.	CZ	Brand Arch.
1	2010-10-19	2018-10-31	8.03	GC	BWh	G:DG
2	2010-02-05	2018-10-31	8.74	GC	BWh	G:DG
3	2010-09-28	2016-11-24	6.16	GC	BWh	F:GB
4	2012-06-11	2018-10-31	6.39	NG	BSh	G:DG
5	2015-05-17	2018-10-31	2.93	NG	BSh	F:GB
6	2013-01-31	2018-10-31	6.39	NG	BSh	F:GB
7	2015-04-02	2018-10-31	2.63	ZG	ET	G:DG
8	2015-04-02	2018-10-31	4.80	ZG	ET	F:GB

**Dataset:**  
46 module years,  
1 minute interval power, +  
weather data  
+ 3.2 Million  $I-V$  curves  
=> 270 million points



# Three Related Climates' Description



kgc package (published in CRAN)<sup>[1]</sup>

Köppen–Geiger climate zone system:

## Group B: Dry climates<sup>[3]</sup>

- This climate defined by little precipitation
- **BWh** = Hot desert climate
- **BSh** = Hot semi-arid climate



## Group E: Polar and alpine climates<sup>[3]</sup>

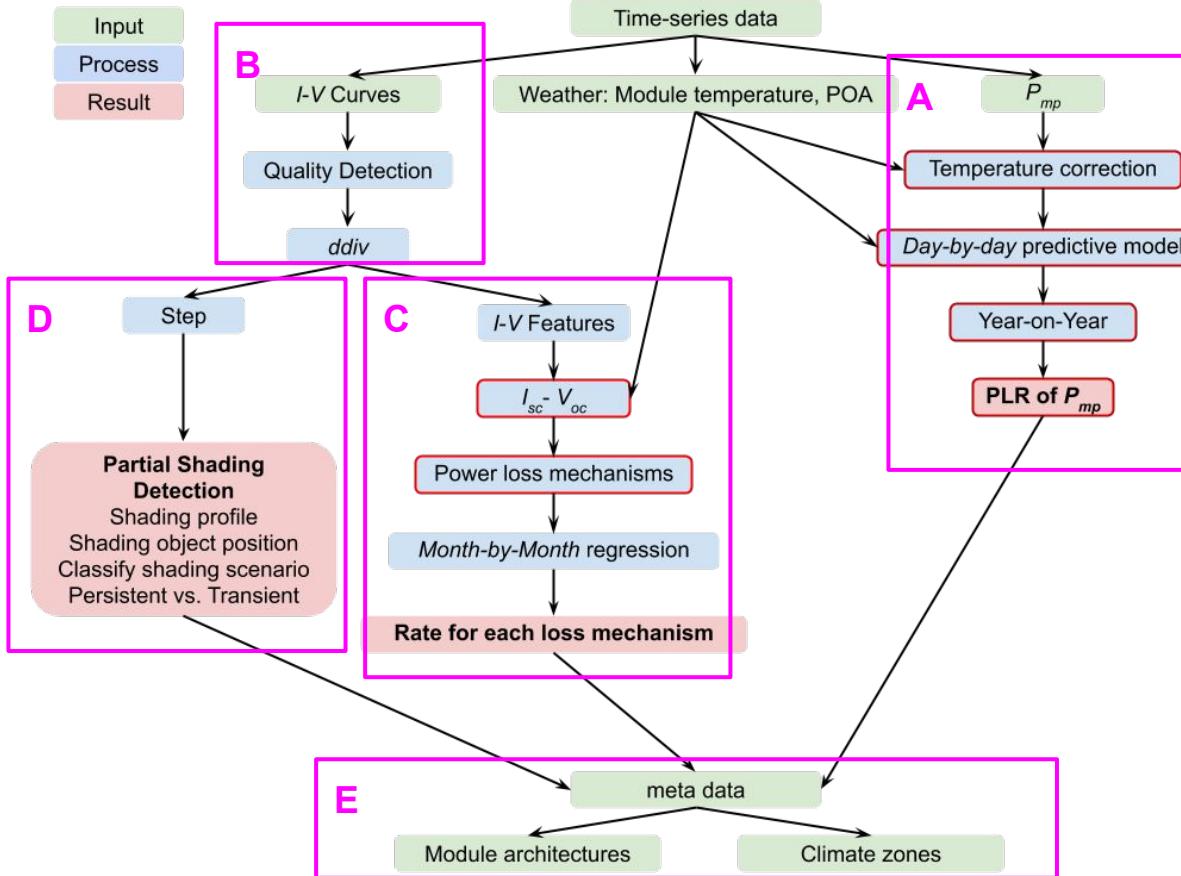
- This climate has each month with avg. temperature **below 10 °C**
- **ET** = Tundra climate
- avg. temperature of warmest month **between 0 °C (32 °F) and 10 °C**

[1]Bryant, Chelsey, Nicholas R. Wheeler, Franz Rubel, and Roger H. French. **kgc: Köppen-Geiger Climatic Zones** (version 1.0.0.2), 2017. <https://CRAN.R-project.org/package=kgc>.

[2]Google Maps. "Google Maps." Accessed May 8, 2020. <https://www.google.com/maps>.

[3]"Köppen Climate Classification." In Wikipedia, May 7, 2020. [https://en.wikipedia.org/w/index.php?title=K%C3%B6ppen\\_climate\\_classification&oldid=955352420](https://en.wikipedia.org/w/index.php?title=K%C3%B6ppen_climate_classification&oldid=955352420).

# Flowchart of the Study Protocol: Timeseries $P_{mp}$ , I-V dataset



## Input:

- Time-series  $P_{mp}$ , I-V, POA & MT
- Meta data

## Analysis & Result:

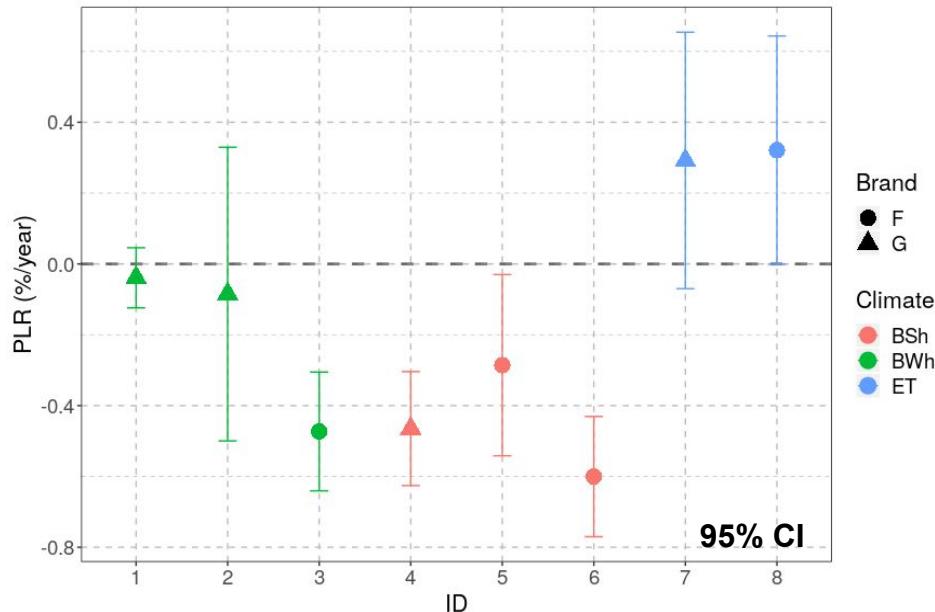
- $P_{mp}$  → PLR
- I-V curves → I-V features & Steps
- → Outdoor  $I_{sc}$ - $V_{oc}$  analysis & Shading
- Comparison across climate zones & module architectures

## Advantages:

- By converting I-V features' to Power Loss Modes
- Degradation study includes performance & degradation modes
- Partial shading detection based on time-series I-V curves

# A. Performance Loss Rate (PLR) Varies by KG Climate Zone

PLR result for 8 modules



## GB & DG PV Modules Degrade Differently

- Depends on Koppen-Geiger Climate Zone

### Brand F (Glass-Backsheet)

- $BWh \sim BSh > ET$

### Brand G(Double Glass)

- $BSh > BWh > ET$

## Lower degradation rate of Double Glass PV

- For DG module in BWh
- Brand G(DG) has high weather resistance

## Modules in ET KG Climate Zone

- Exhibit positive PLR

# B,C. Data-driven $I$ - $V$ Features Extraction Algorithm(*ddiv*)<sup>[1]</sup>

## Step 1:

- Fit `smooth.spline` model of  $I \sim V$
- Evenly generate 500 points from it

## Step 2:

- Fit Change Point model `k`

## Step 3:

- For each change point,  
decide whether it's a `step` by
- Slope for left side should be negative
- The absolute value of left slope minus  
right slope must exceed `diff\_slop`

## Step 4:

- For each step, moving window to  
determine flat area for  $I_{sc}$  and  $R_{sh}$
- Extract features by definition

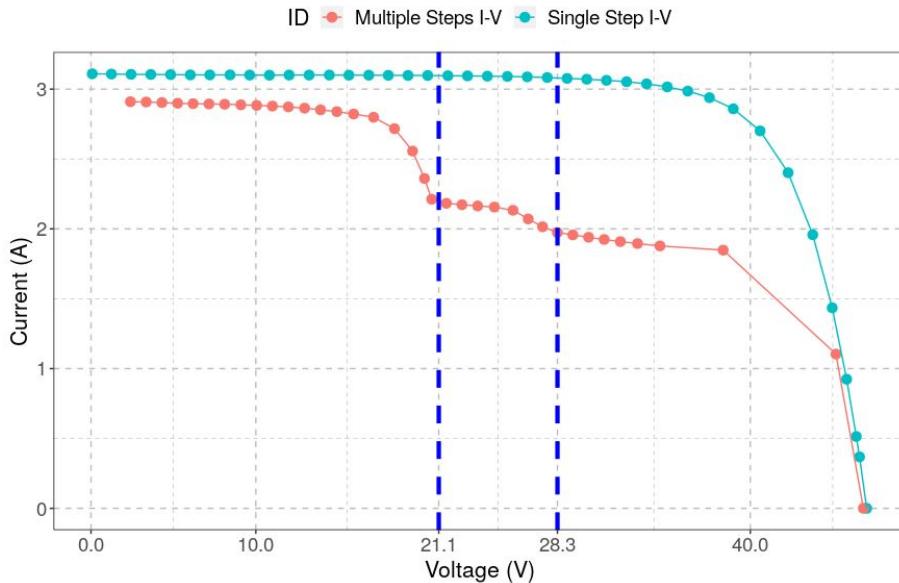


Table 4.1. EXTRACTED  $I$ - $V$  FEATURES RESULT FOR SINGLE STEP AND MULTIPLE STEP  $I$ - $V$  CURVES

Step	$I_{sc}$	$R_{sh}$	$V_{oc}$	$R_s$	$P_{mp}$	$I_{mp}$	$V_{mp}$	$FF$	$Cutoff$
3	2.915	477.963	43.919	10.389	50.184	2.674	18.767	39.2	21.111
	3.636	14.661	81.756	27.06	55.823	1.979	28.21	18.78	28.27
	2.869	31.698	46.87	1.408	82.129	1.96	41.896	61.08	NA
1	3.109	852.741	47.076	1.24	111.422	2.853	39.059	76.13	NA

# B,C. Outdoor $I_{sc}$ - $V_{oc}$ & Power Loss Modes

**Module 5 & 7 has high standard error,**

- due to very short system age (< 3 yrs.)

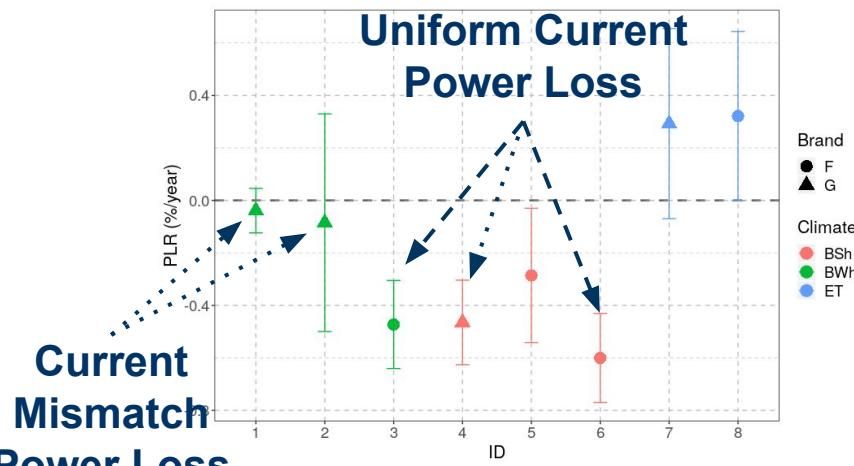
**Dominant Degradation Modes chosen by**

- Most negative rate of change

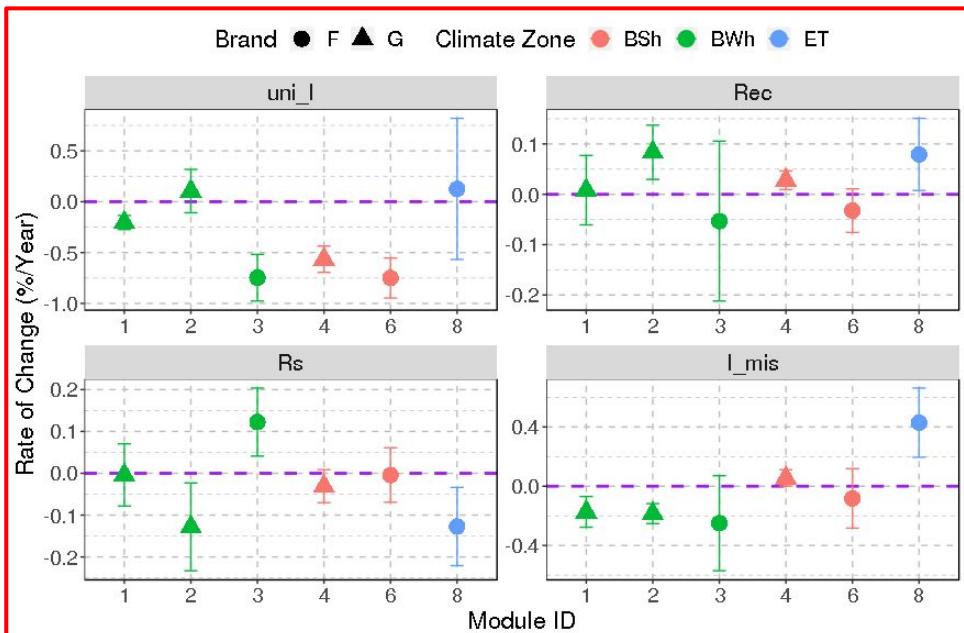
Uniform Current Power Loss: BWh + F, BSh + F/G

Current Mismatch Power Loss: BWh + G,

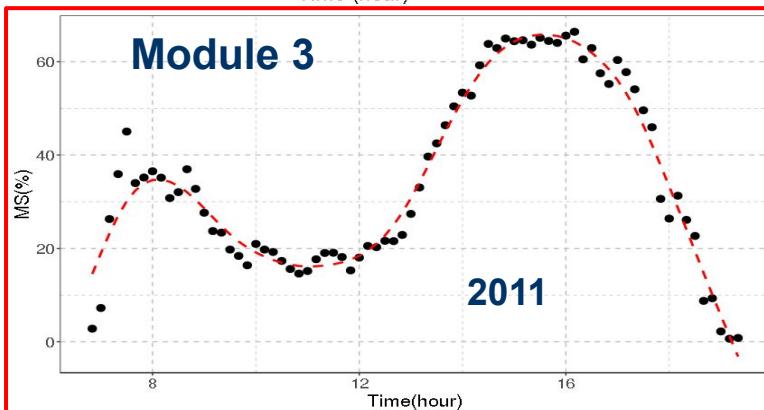
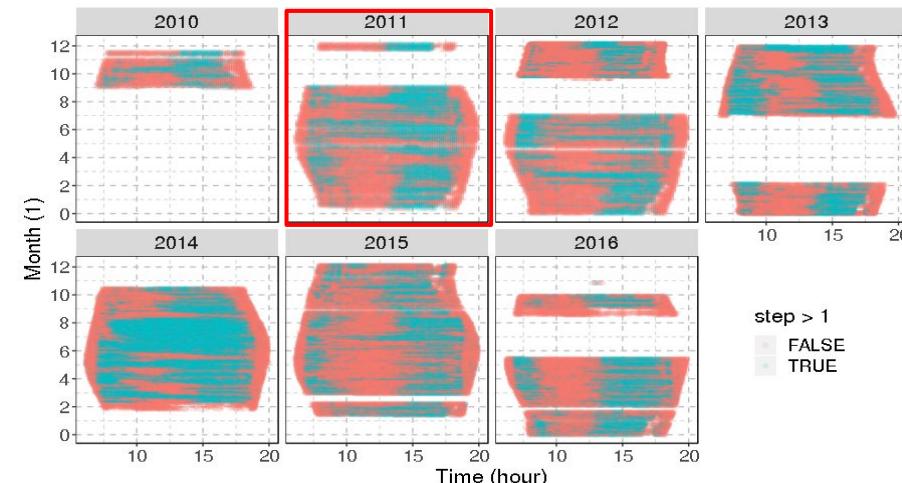
Series Resistance Power Loss: ET + F



Climate Zone	Brand	uni_I(%/year)	Rec(%/year)	$R_s$ (%/year)	$I_{mis}$ (%/year)
BWh	G:DG	-0.0495	0.0458	-0.0660	<b>-0.179</b>
BWh	F:GB	<b>-0.747</b>	-0.0532	0.1220	-0.250
BSh	G:DG	<b>-0.565</b>	0.0278	-0.0308	0.0560
BSh	F:GB	<b>-0.750</b>	-0.0325	0.0042	-0.0825
ET	F:GB	0.1261	0.0792	<b>-0.1272</b>	0.4289



# D. Module Shading Profile, From Multi-step (MS) I-V Curves



Group data into each year

- For the years with more than 100 days I-V
- Calculate MS for each time point
- Spline model + find local peak

$$MS_t = \frac{N_{ms,t}}{N_{total,t}}$$

Time in a Day	MS(%)	Year
08:10:00	35.1	2011
15:30:00	65.1	2011
07:10:00	46.9	2012
14:46:00	57.1	2012
08:10:00	55.7	2013
15:20:00	61.9	2013
08:40:00	58.9	2014
15:45:00	78.0	2014
07:55:00	32.1	2015
16:10:00	52.1	2015
07:50:00	40.0	2016
15:15:00	64.0	2016

# Conclusions

---

## PV Modules are complex systems, exposed to multiple stressors

- With multiple active degradation mechanisms & pathways
- That interact during degradation

## Statistically-informed Study Protocols enable studies of complex systems

- To achieve sufficient measurements, for good confidence intervals
- Focusing on multiple mechanisms

## Using step-wise or timeseries $P_{mp}$ , I-V datasets

- Provides a common basis for integrating lab and real-world SLP Studies

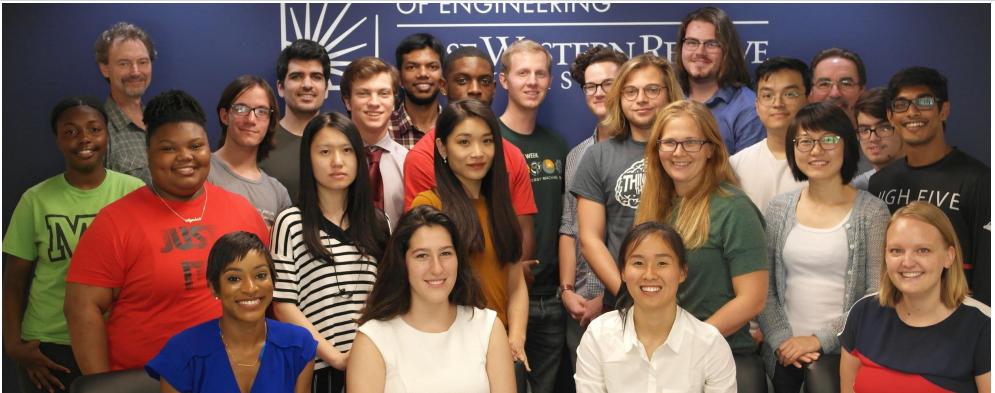
## netSEM models can identify and illustrate these complex degradation networks

- Of interacting mechanisms and pathways
- netSEM Principle 1 (Markov property) => inference on mechanisms and pathways
- netSEM Principle 2 (Mult. Regr. by Step AIC) => accurate prediction of Service Life

## Data science tools: netSEM, ddiv, SunsVoc, PVplr, kgc, FAIRmaterials, PVimage

- R & Python Packages, Published and available to all the community

# SDLE Research Center: Acknowledgements



## CWRU Faculty

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- Kristen Hernandez, Nat Tomczak, Hein Htet Aung, 1 Open Position

## Undergraduates

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- Medha Nayak, Cora Lutes, Beck Pierce, Shreeyah Chugh, Sakin Kirti, Kehley Coleman, Shuyue Bian
- Vibha Mandayam, Guo Chen, Nathan Romig, Nilkhila Balasubramaniam, Leeann Jo, Max Atkinson
- Andre Yost, Asya Orhan, Lena Plover, Glenn Boyette, Nitin Chockalingam, Jakob Wegmueller
- Abhinav Khanna, Carolina Whittaker, Mirra Rassmussen, Ray Le, Rounak Chawla, Peter Schlueter

## High School: Jack Gordon

## SDLE Staff: Jonathan Steirer, Rich Tomazin

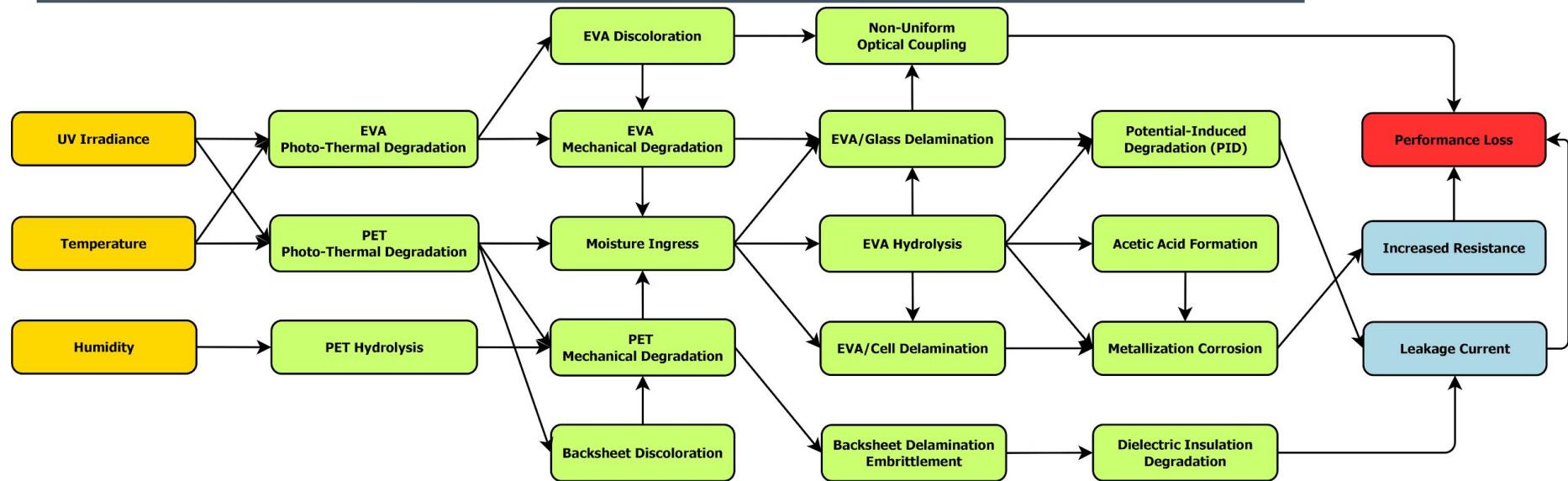




CASE SCHOOL  
OF ENGINEERING

CASE WESTERN RESERVE  
UNIVERSITY

## PV Degradation: Affected by Packaging & Module Architecture



## Numerous mechanisms of PV module degradation

- Modules are complex systems, in complex exposure conditions

## Many are simultaneously activated under real-world exposure conditions

- Assumption of one dominant mechanism is unrealistic
  - Reductionism!: Phenomena described in terms of simpler fundamental processes

# Normalized $P_{mp,IV}$ at Step 3 of Set #3 & #4 Minimodules

Red points: the mean of  $nP_{mp,IV}$

Black bar: 83.4% confidence interval

- 5% significance level 2 sample mean t-test

Purple bar: 95% confidence interval

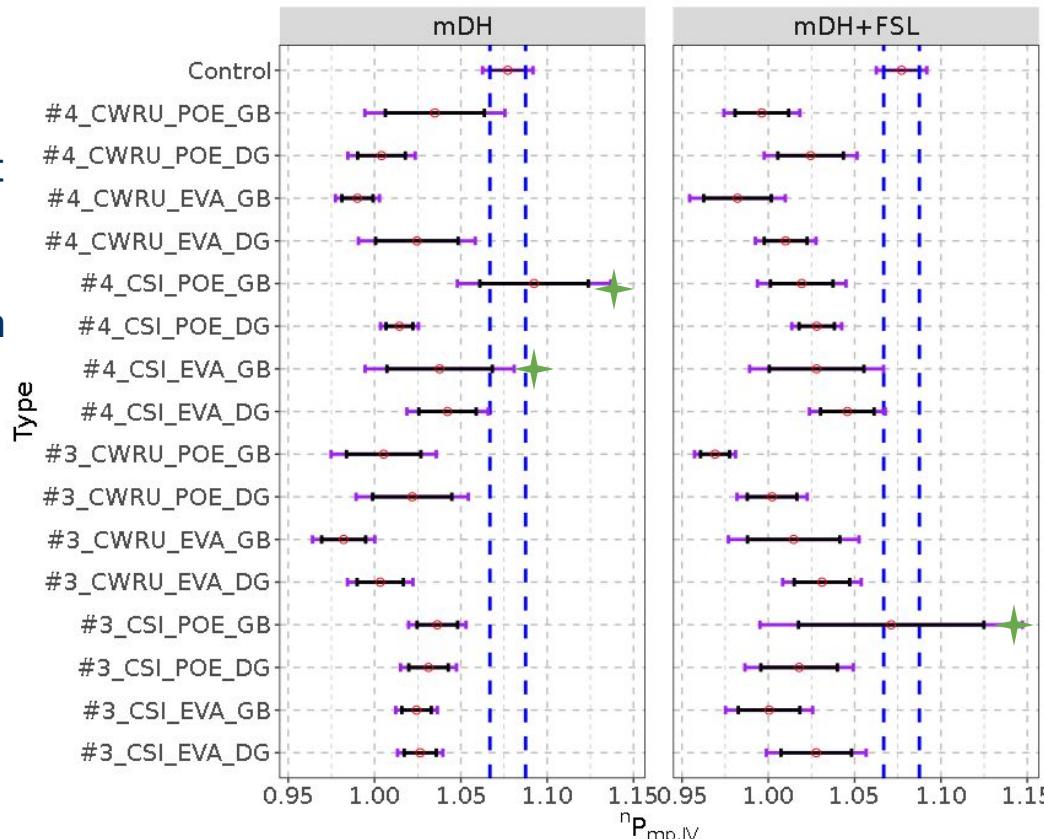
- 0.05 significance level single sample mean t-test

Double dash blue lines

- 83.4% confidence interval of the control

No significant power loss

- #4\_CSI\_POE\_GB: mDH
- #4\_CSI\_EVA\_GB: mDH
- #3\_CSI\_POE\_GB: mDH+FSL



# Conclusion

---

## PV service lifetime prediction

- Complex system & Synergistic effects of multiple factors

## Study protocol framework

- Design of experiment: variants, statistically significant
- Aging: different microclimate stressors
- Data: timeseries, obtaining various power loss modes corresponding to degradation mechanisms

## Network modeling

- Map out active pathways and degradation mechanisms that best resemble real world

## Indoor: more variants

- Series resistance has greater impact on power loss
- GB with monofacial PERC by CWRU has largest power loss

## Outdoor: real world

- PLR result shows domain degradation modes
- BWh (Desert) and BSh (Hot semi-arid) are much more aggressive than ET (Tundra)
- Brand G (DG) modules have better performance in BWh (Desert)
- Two brands are similar for other climates

# Lab SLP

## Study protocol framework

- **Module:**
  - 8 variants based on manufacturer, architecture, encapsulation
  - 8 samples per variant
- **Aging:**
  - Modified damp heat to 2,520h
  - Sequential exposures of modified damp heat and full spectrum light to 2,520h
- **Data collection:**
  - Stepwise  $I$ - $V$
  - Stepwise  $Suns$ - $V_{oc}$  

Results	Degradation mode
$nI_{sc,IV}$	Optical transmission loss
$nR_{s,IV}$	Corrosion
$nV_{mp,PIV}$	Recombination and shunting

## Network model

- **netSEM:** network structural equation modeling
  - Degradation pathways
  - Active degradation mechanisms
  - Power loss prediction

# netSEM: Degradation Pathway Network Modeling

## netSEM R Package<sup>1</sup>

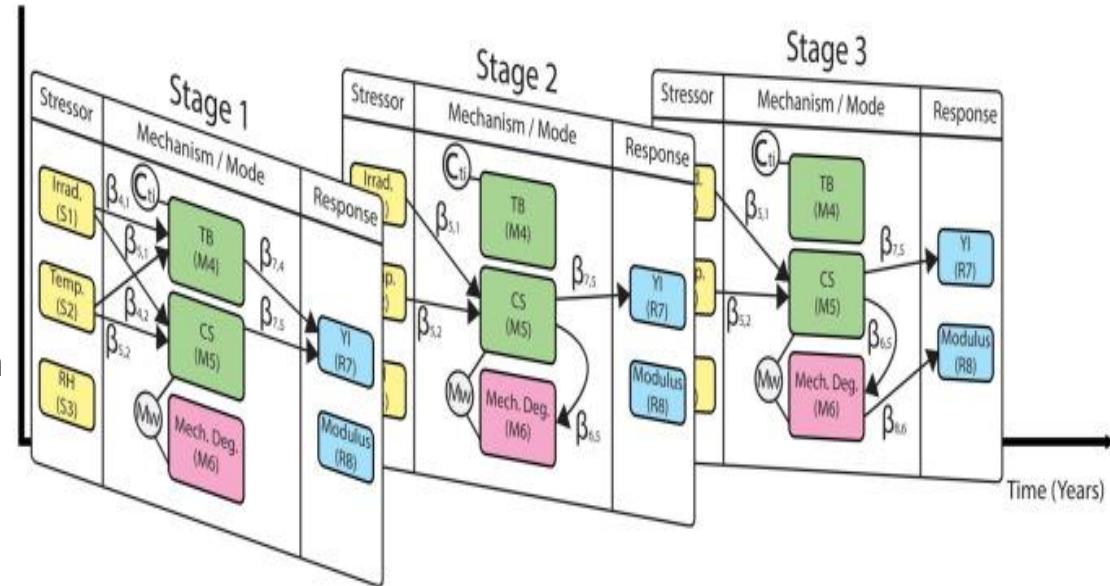
- Developed under UL funding<sup>2</sup>
- Improved under DOE SETO Funding
- 1 year NSF IUCRC MDS-RELY
- [NetSEM on CRAN](#)

## Packages for Reproducible Science

- Well-vetted Open Source Codes
- With Vignettes on Theory, & Use
- With Data Sets and Results for Validation



*<Stressor|Mechanism|Response>*



## Build predictive models

- Relate *<Stressor|Response>*
- Relate *<Stressor|Mechanism|Response>*
- Enough confidence

Acrylic degradation occurring in 3 stages as the UV stabilizer is bleached (Stage 1), the acrylic backbone degrades (Stage 2), and the mechanical integrity fails (Stage 3).

# Towards 50 Year Lifetime PV Modules (T50): Double Glass vs. Glass/Backsheet

## Study influences of different packaging strategies on the degradation behavior of PV modules

- Identify and mitigate relevant degradation modes (corrosion and mechanical)
  - In GB and DG modules with various cells and encapsulants
  - To reduce the power degradation rate to 0.2%/year

### Cell Types (PERC + multi-Si):

- Monofacial
- Bifacial

### Minimodule (MM) Variants

#### Encapsulant Types:

- EVA or POE
- (Trans/UV Cutoff)
- (Trans/Opaq)
- (Trans/Trans)

#### Backside Types:

- Backsheet (KPf / transparent BS)
- Glass

+

#### Indoor accelerated exposures:

- mDH
- mDH + Full Spectrum Light

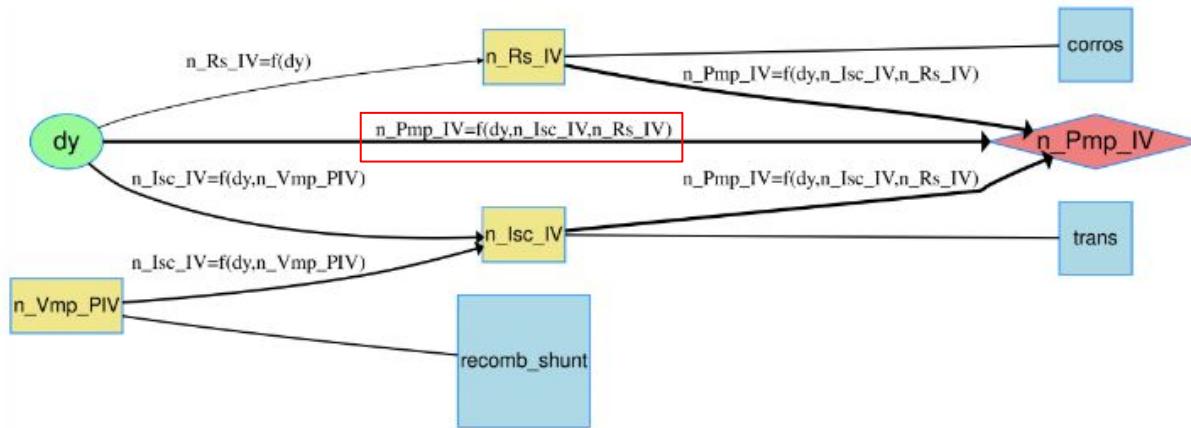
#### Outdoor exposure:

CWRU Sunfarm,  
Cleveland, OH

#### Evaluations:

- $I-V$  (Multiple Irradiance levels)
- $Suns-V_{oc}$
- EL & PL
- 4-pt bending proof loading
- Time-series  $I-V$  &  $P_{mp}$

# Principle 2 <S|M|R> Model: Multiple Regression by Step AIC



$${}^n P_{mp,IV} = f(dy, {}^n I_{sc,IV}, {}^n R_{s,IV})$$

↓

$${}^n P_{mp,IV} = 1.26 + 0.11 dy^2 + 1.68 \log({}^n I_{sc,IV}) - 0.26({}^n R_{s,IV}) - 0.11({}^n R_{s,IV} - 1)_c$$

Before breakpoint:

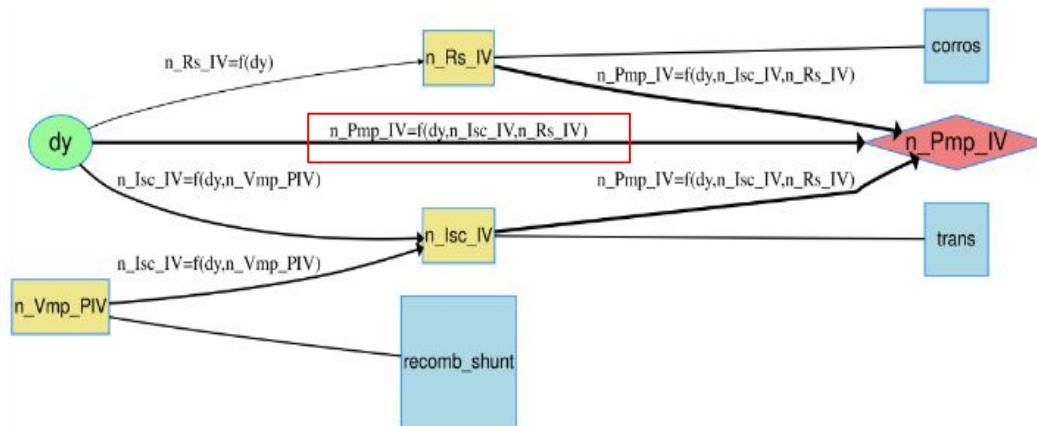
$${}^n P_{mp,IV} = 1.26 + 0.11 dy^2 + 1.68 \log({}^n I_{sc,IV}) - 0.26({}^n R_{s,IV})$$

After breakpoint:

$${}^n P_{mp,IV} = 1.26 + 0.11 dy^2 + 1.68 \log({}^n I_{sc,IV}) - 0.26({}^n R_{s,IV}) - 0.11({}^n R_{s,IV} - 1)$$

From  ${}^n P_{mp,IV}$  equation: power loss due to  $dy$ ,  ${}^n I_{sc,IV}$ ,  ${}^n R_{s,IV}$

# Principle 2 <S|M|R> Model: Multiple Regression by Step AIC



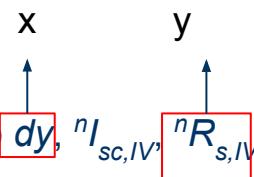
With  $\uparrow dy$ ,  $\downarrow n_{P_{mp,IV}}$

$\uparrow n_{R_{s,IV}}$  at  $\downarrow n_{P_{mp,IV}}$  and  $\uparrow dy$

$n_{I_{sc,IV}}$  does not vary so much (range: 0.99-1)

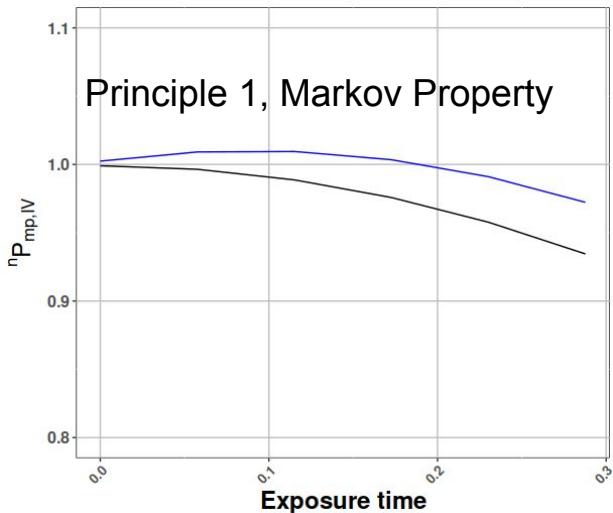
## Multiple regression equations

- Relating  $n_{P_{mp,IV}}$  and other stressor/mechanistic variables
  - High  $R_{adj}^2$

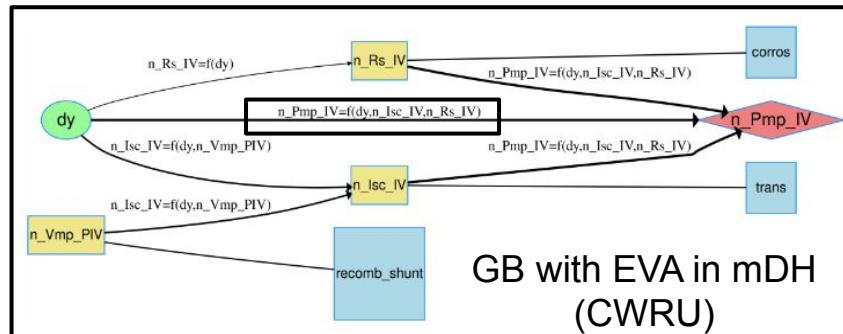


From  $n_{P_{mp,IV}}$  equation: power loss due to  $dy$ ,  $n_{I_{sc,IV}}$ ,  $n_{R_{s,IV}}$

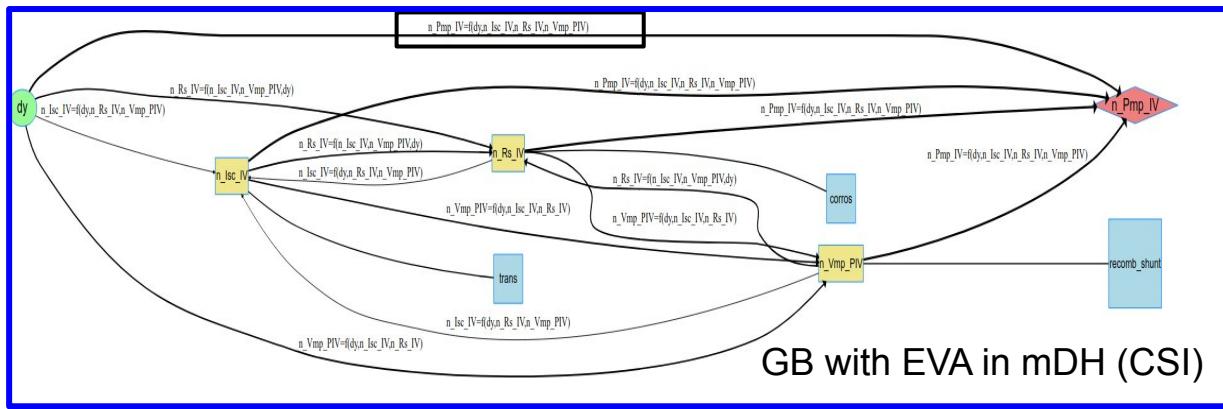
## Comparison of Principles 1 & 2 $\langle S|M|R \rangle$ Modeling



## Principle 2, Multiple Regression by Step AIC



## GB with EVA in mDH (CWRU)



## GB with EVA in mDH (CSI)

# Field SLP

## Study protocol framework

- **Module:**
  - 2 types (brand, architecture), 8 modules
- **Aging:**
  - 3 different climates (Köppen-Geiger climate zones), for 3 to 9 years
- **Data collection:**
  - Datastreams of  $P_{mp}$  every 1 min
  - Datastreams of  $I-V$  every 5 min
  - **POA &  $T_{module}$**  every 1 min



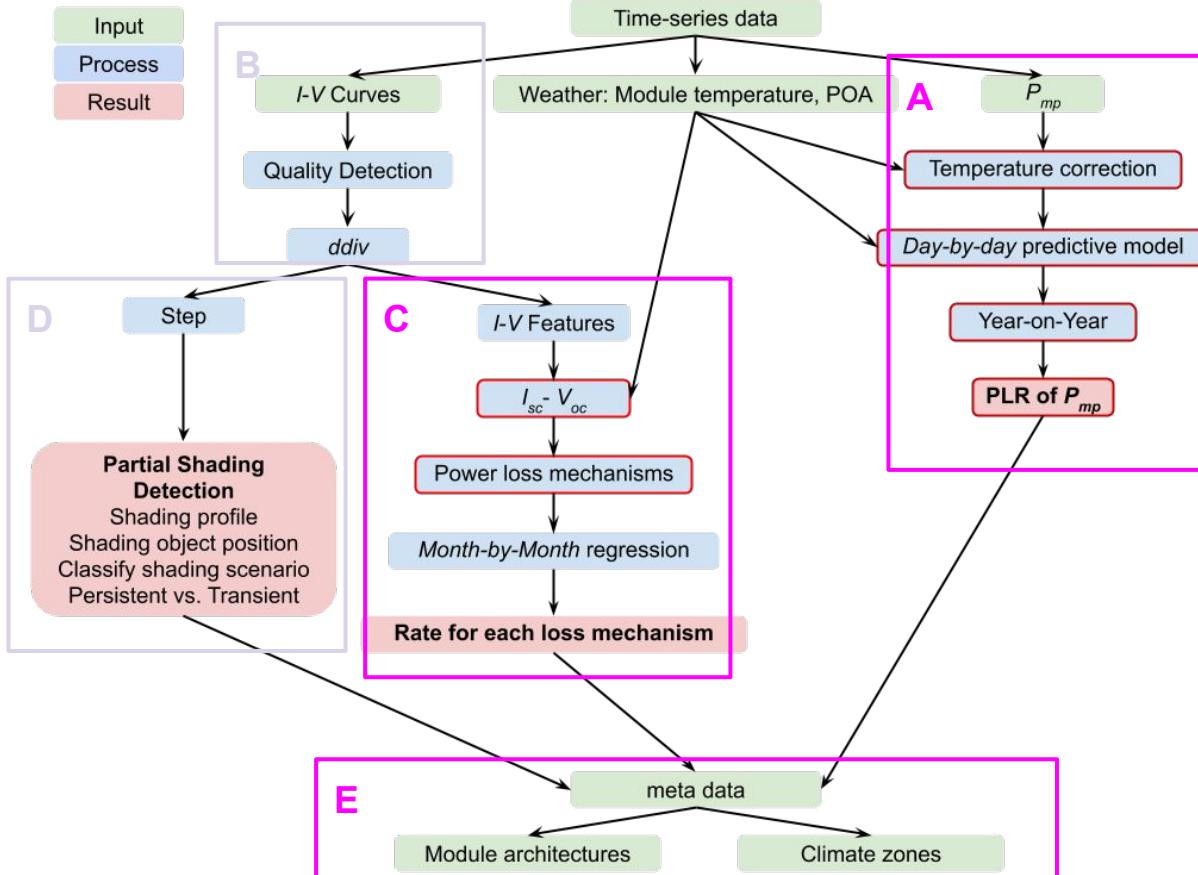
Results	Degradation mode
$\Delta P_{isc}$	Optical transmission loss
$\Delta P_{Rs}$	Corrosion
$\Delta P_{Voc}$	Recombination
$\Delta P_{Imis}$	Current mismatch



## Network model

- **PVPIr:** performance loss rate analysis pipeline
  - *Month-by-month* regression infer the domain degradation modes
  - Power loss rate prediction

# Flowchart of the Study Process



## Input:

- Time-series  $P_{mp}$ , I-V, POA & MT
- Meta data

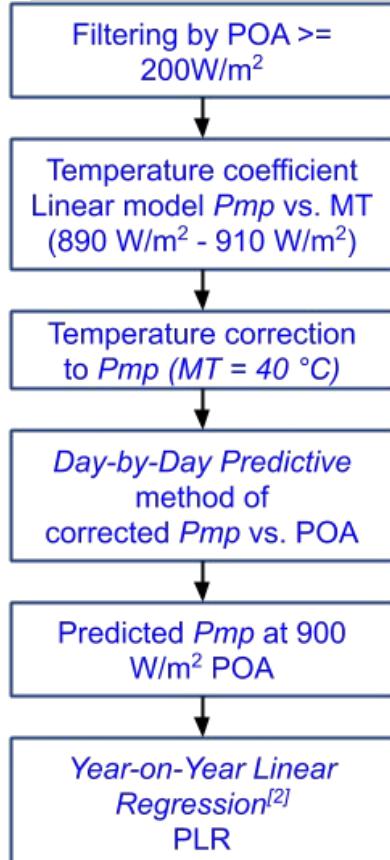
## Analysis & Result:

- $P_{mp}$  -> PLR
- I-V curves -> I-V features & Steps
- -> Outdoor  $I_{sc}$  -  $V_{oc}$  analysis & Shading
- Comparison across climate zones & module architectures

## Advantages:

- Partial shading detection based on time-series I-V curves
- Degradation study include general performance and degradation mode by converting the I-V features' changes to their contribution in power loss

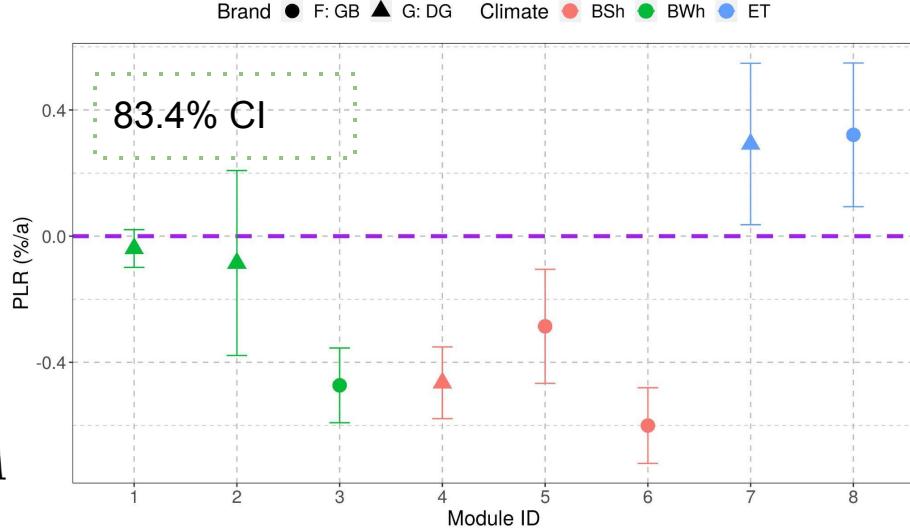
# Performance Loss Rate Calculation<sup>[1,2]</sup>



$$P_{mp,corrected} = \frac{P_{mp}}{1 + \gamma_T (T_{obs} - T_{ref}) \times \left(\frac{POA}{900}\right)}$$

$$P_{mp,corrected} = \beta_0 + \beta_1 \times POA$$

$$P_{mp,predicted} = \beta_0 + \beta_1 \times 900$$



## Brand F (Glass-Backsheet)

- BWh ~ BSh > ET

## Brand G(Double Glass)

- BSh > BWh > ET

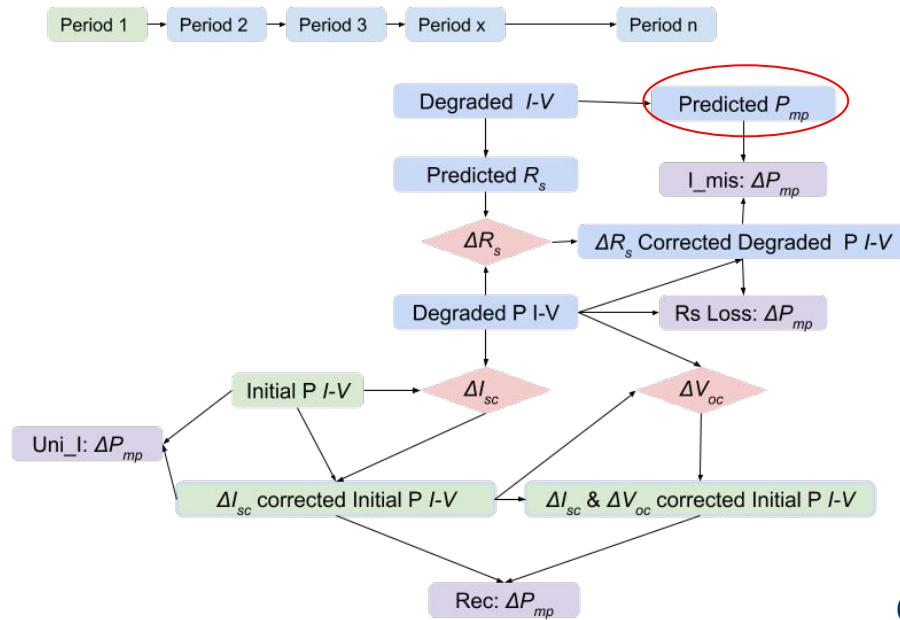
Due to lower degradation rate for DG module in BWh

- Brand G(DG) has higher weather resistance

Modules under ET have positive PLR

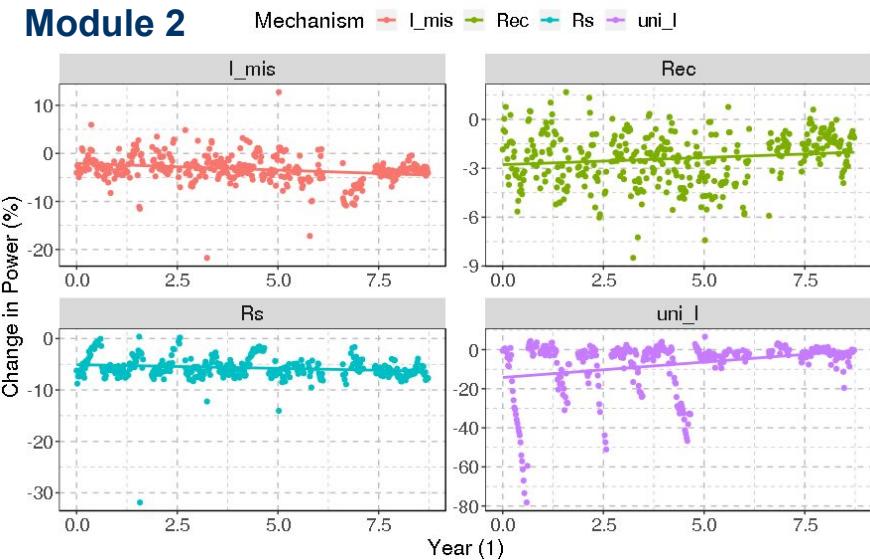
## PLR processing pipeline

# Outdoor $I_{sc}$ - $V_{oc}$ & Loss Factor Calculation<sup>[1]</sup>



$$V_{oc}(I_{sc}, T_m) = \alpha_0 + \alpha_1 \cdot (T_m + 273.15) \cdot \ln(I_{sc}) + \alpha_2 \cdot (T_m + 273.15) + \varepsilon$$

$$I_{psd}(V_{psd}) = I_{sc}^0 - I_{sc}(V_{oc})$$



## Current mismatch( $l_{mis}$ ): partial shading, cracking

## Recombination(Rec): Cells carrier recombination

## Series resistance loss( $R_s$ ): corrosion

## Uniform current: Soiling, yellowing in encapsulant

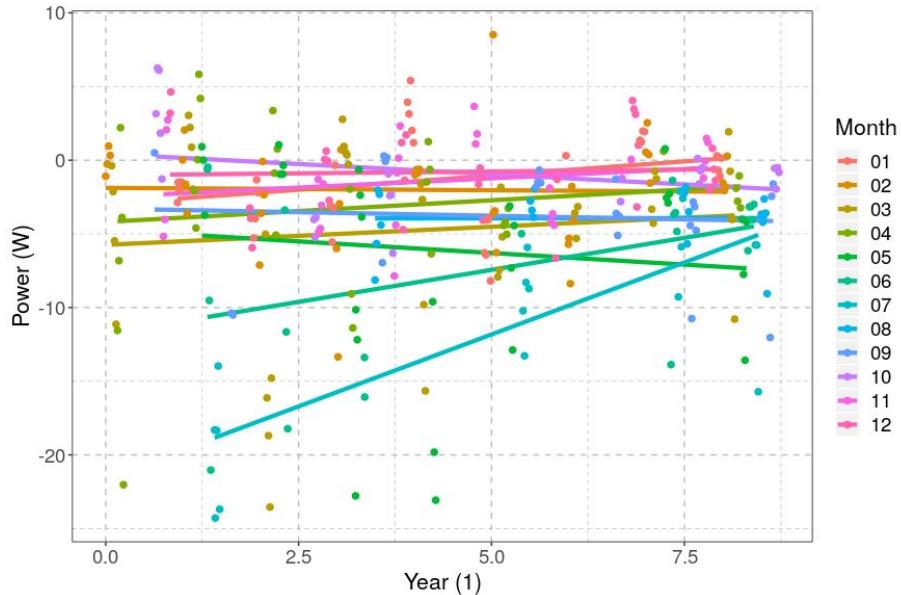
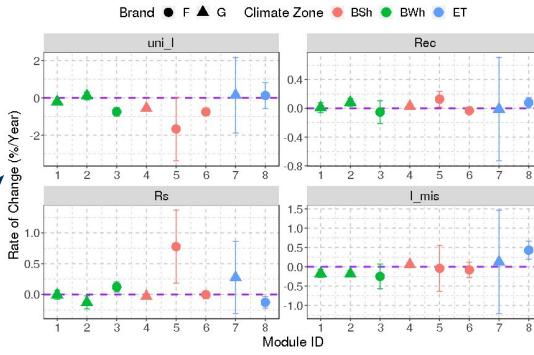
# Rate of Change for Outdoor $I_{sc}$ - $V_{oc}$ & Loss Factor

## Month-by-Month linear regression

- Fit linear model by observations of each month across all years
- Extract slopes from each model
- Remove the one from the month that does not include
  - the begin and the end year
  - miss over 2 years observations

Average + 95% confidence interval of the rate of change for each power loss mode of each module

Outdoor  
 $I_{sc}$ - $V_{oc}$  result



Month-by-month Regression for Uniform Current Loss in Module 2  
Result from July and August will be removed

# Outdoor $I_{sc}$ - $V_{oc}$ & Power Loss & Regression

Module 5 & 7 has too high standard error, due to very short system age (< 3)

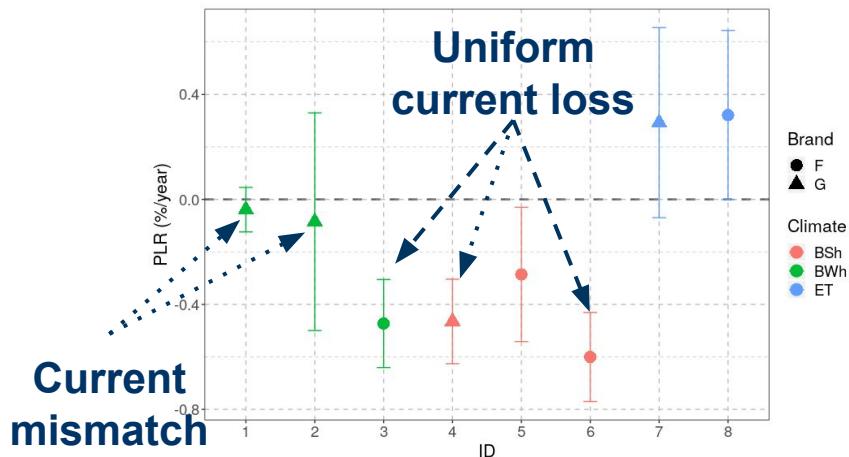
Domain Degradation Mode chosen by

- Most negative rate of change

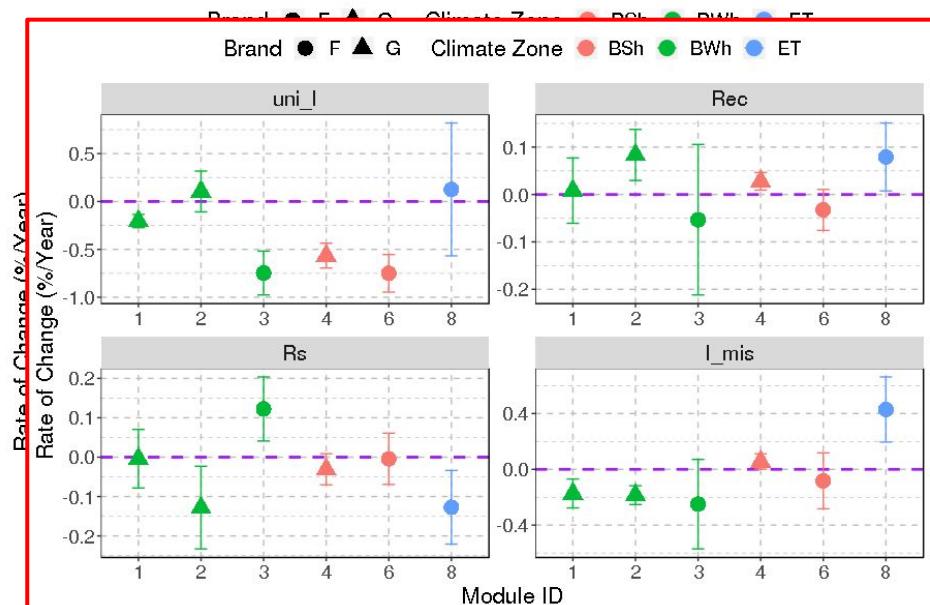
Uniform current Loss: BWh + F, BSh + F/G

Current mismatch: BWh + G,

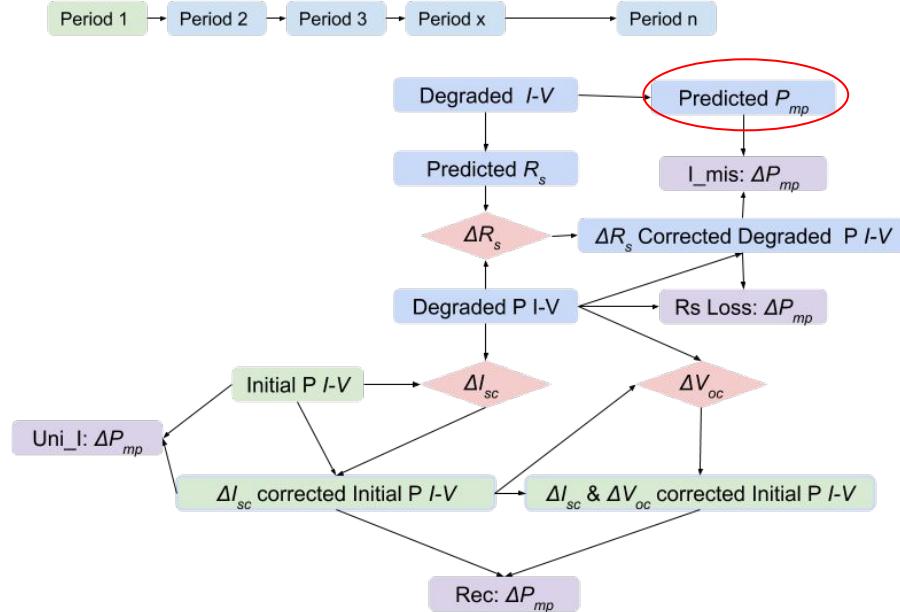
Series resistance loss: ET + F



Climate Zone	Brand	uni_I(%/year)	Rec(%/year)	$R_s$ (%/year)	I_mis(%/year)
BWh	G:DG	-0.0495	0.0458	-0.0660	<b>-0.179</b>
BWh	F:GB	<b>-0.747</b>	-0.0532	0.1220	-0.250
BSh	G:DG	<b>-0.565</b>	0.0278	-0.0308	0.0560
BSh	F:GB	<b>-0.750</b>	-0.0325	0.0042	-0.0825
ET	F:GB	0.1261	0.0792	<b>-0.1272</b>	0.4289



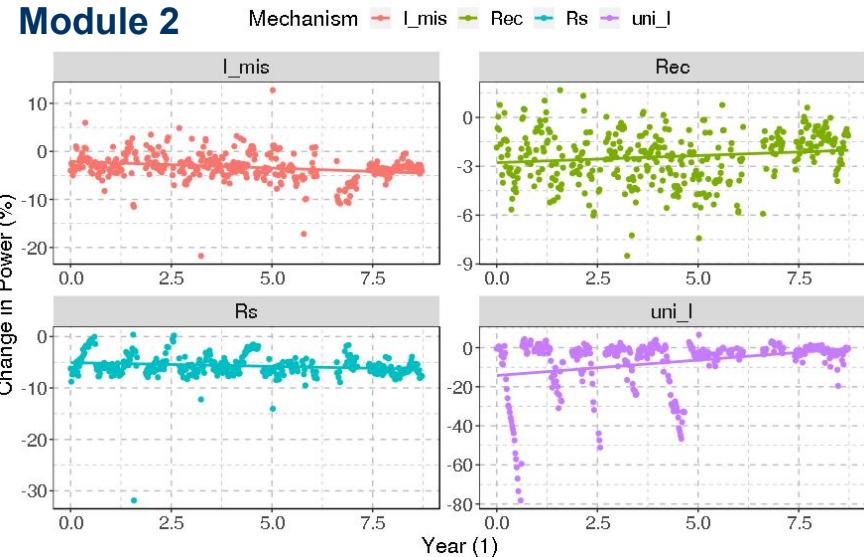
# B,C. Outdoor $I_{sc}$ - $V_{oc}$ & Power Loss Factors<sup>[1]</sup>



## Outdoor $I_{sc}$ - $V_{oc}$ & loss factor pipeline<sup>[1]</sup>

$$V_{oc}(I_{sc}, T_m) = \alpha_0 + \alpha_1 \cdot (T_m + 273.15) \cdot \ln(I_{sc}) + \alpha_2 \cdot (T_m + 273.15) + \varepsilon$$

$$I_{psd}(V_{psd}) = I_{sc}^0 - I_{sc}(V_{oc})$$



## Power Loss Factors, due to

- **Current mismatch( $I_{mis}$ ):** partial shading, cracking
- **Recombination(Rec):** Cells carrier recombination
- **Series resistance loss(Rs):** corrosion
- **Uniform current:** Soiling, yellowing in encapsulant

# D.Percentage of Multiple Steps I-V Curves(MS)

Percentage of Multiple steps I-V curves(MS) is calculated for each module:

- Because AS is about 80%
- Only for module has MS  $\geq 20\%$

Next:

- Time dependence of MS occurrence -> shading profile
- Solar angle dependence -> orientation of obtainable
- Voltage of “steps” cluster -> shading scenarios
- Classification & density plot -> duration of partial shading

Table 5.1. PERFORMANCE IN TRAINING AND TESTING DATASET FOR THE BEST TWO SET OF PARAMETERS

$k$	$slop_{diff}$	Training AS (%)	Training AM (%)	Training Accuracy (%)	Testing AS (%)	Testing AM (%)	Testing Accuracy (%)
7	0.018	79.3	77.7	78.5	94.2	71.1	82.7
8	0.018	77.8	78.9	78.4	91.2	74.7	83.0

# D.Obstacle Orientation

## MS occurrence: when the shadow on the module

- Obstacle and Module are stationary
- Sun is scanning everyday

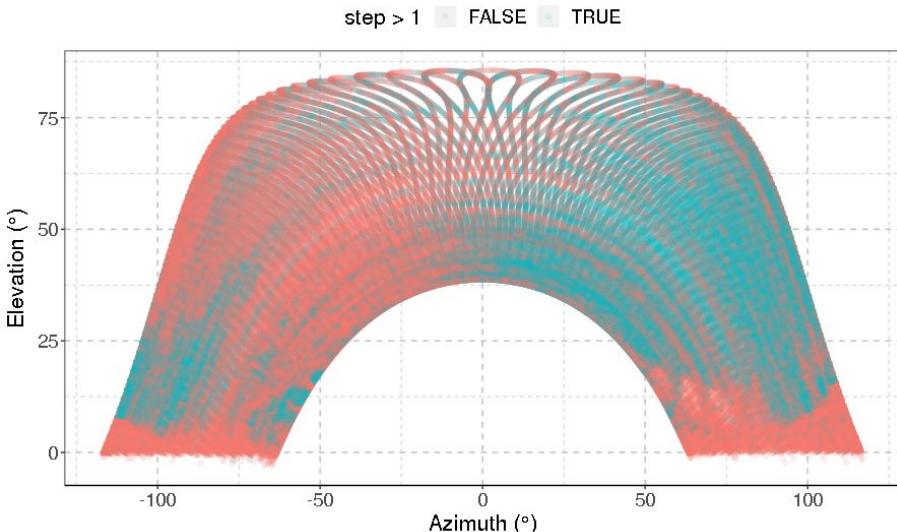
Input longitude & latitude of location

Convert data & time

- into solar elevation & azimuth (Suncalc<sup>[1]</sup>)

**"elevation"** : sun angle above the horizon, e.g. 0 at the horizon and 90° at the zenith (straight over your head)

**"azimuth"** : sun azimuth in (direction along the horizon, measured from south to west), e.g. 0 is south and 75° is northwest



**Solar elevation vs. solar azimuth  
for occurrence of MS for Module 3  
-99°, 35°, 87°**

# D.Duration of Partial Shading

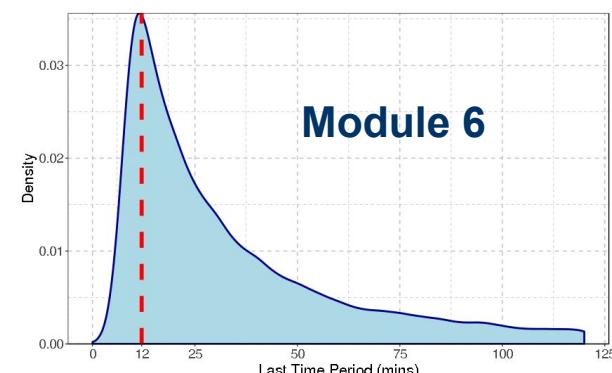
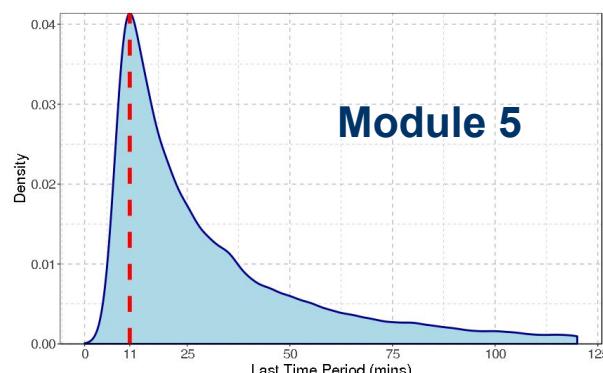
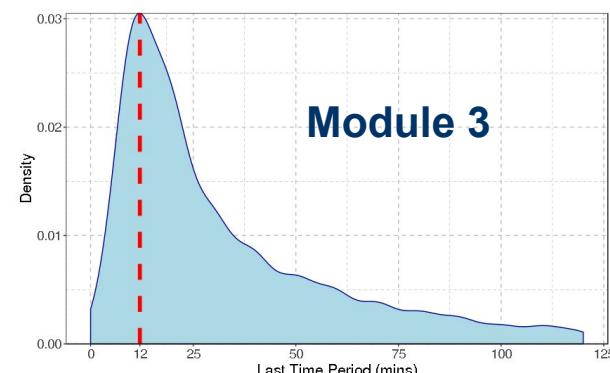
Table 5.18. CLASSIFICATION OF PERSISTENT AND TRANSIENT MULTIPLE STEPS  $I-V$  CURVES

Module ID	Persistent (%)	Transient(%)
3	89.9	10.1
5	92.0	8.0
6	95.2	4.8

Measurement time interval enough to capture continuation in partial shading

## Duration peak of Persistent Steps

- Module 3: 12min
- Module 5: 11min
- Module 6: 12min

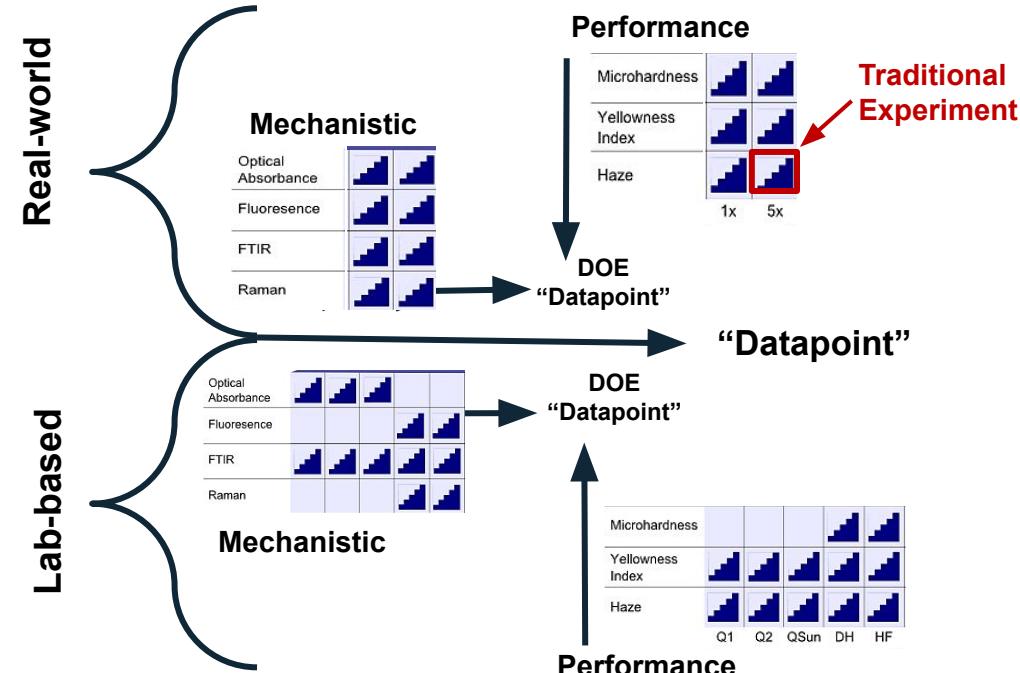


## Duration of persistence multiple steps $I-V$ curves

# Building a Study Protocol

## Statistically Informed Study

- Metadata
- Large Volume of Samples
  - All samples are measured
- Diverse Exposures
  - Real-world & Accelerated
- Many Evaluations
  - Mechanistic & Performance
  - Through time or exposure
  - Multiple datapoints and types
    - Spectra, Images, etc.
- Retained Sample Library



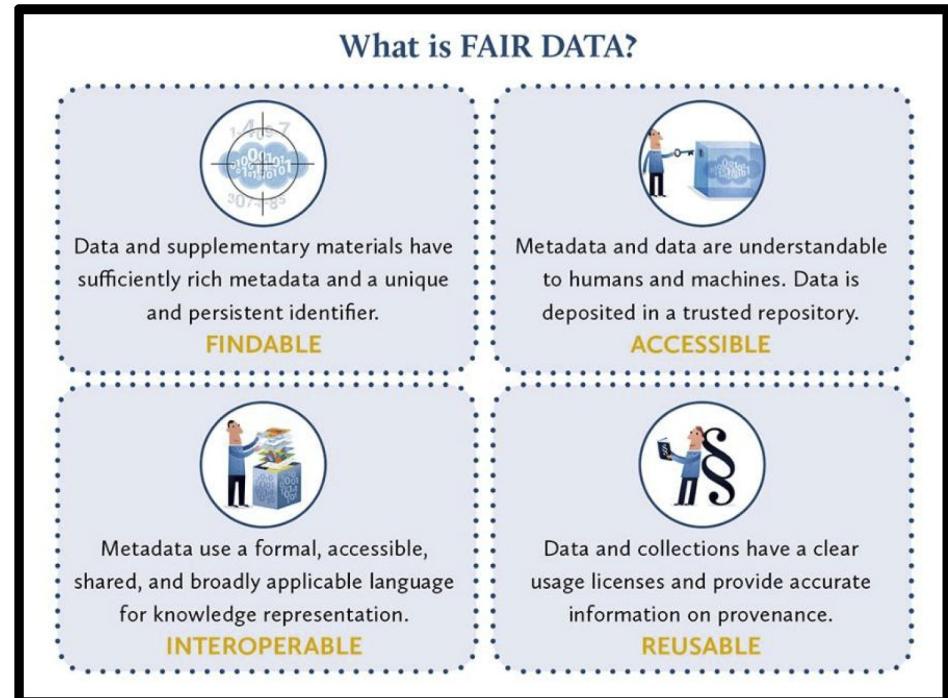
## Holistic approach

- Central Limit Theorem

# Integrating multiple datasets

## FAIRification

- Findable
  - ‘Like’ measurements line up
- Accessible
  - Data sources share information
  - Able to read information
- Interoperable
  - Data uses the same terminology
  - Data is comparable, standards
- Reusable
  - Able to be stored and used by multiple integration
  - Join by common language in data



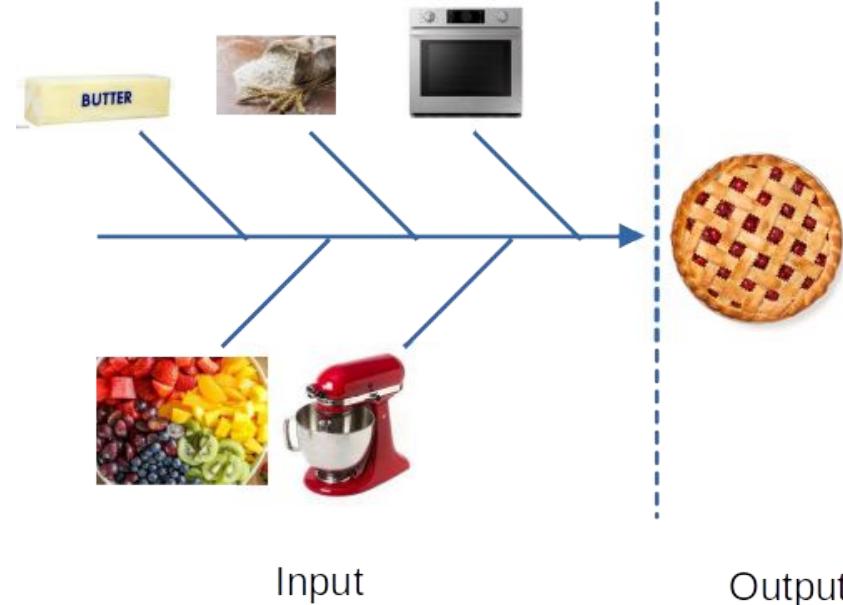
# Design of Experiment (DOE)

## Systematic approach

- Determine the relationship between
  - input variables and output variables
- Least data for maximum results
- Attempt to define parameter space

## Multiple variables

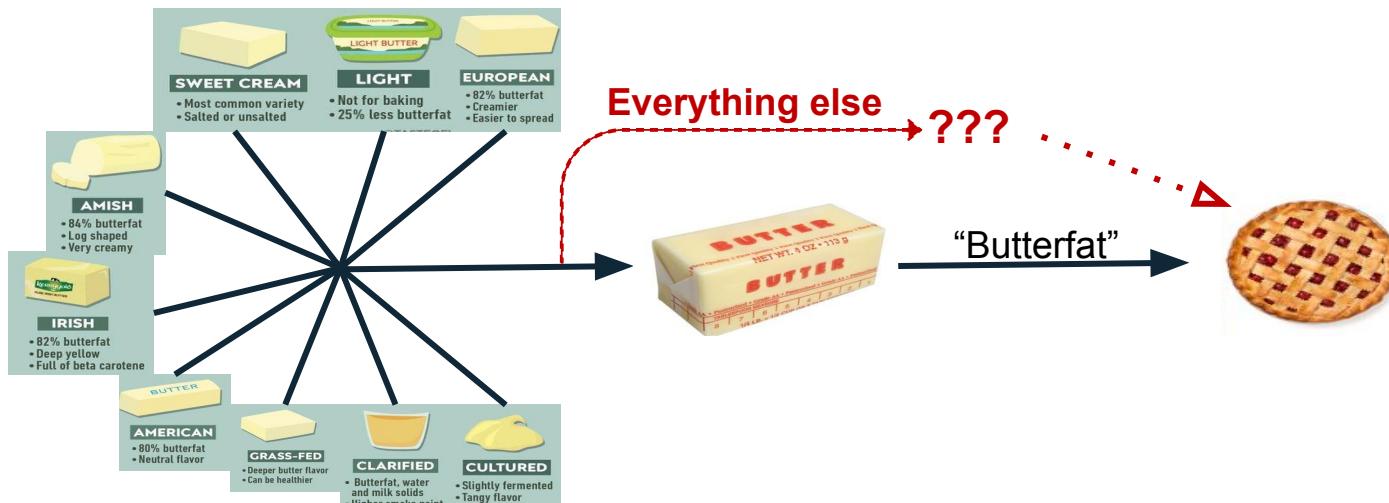
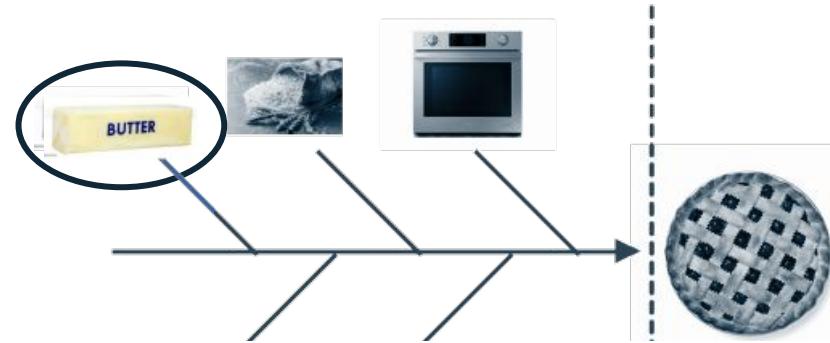
- Kept constant to isolate the effect of a variable of interest (limited)
- Multivariate relationships aren't considered
- Additive



# Design of Experiment (DOE) Problem

## Limitations of DOE

- Assumes all variables are isolated
  - Inputs are only factor with given output
  - Inputs are not influenced by another input
  - Assumes linear input
- Assumes only variable of interest is evaluated
- Full parameter space isn't considered



# Design of Experiment (DOE)

## Systematic approach

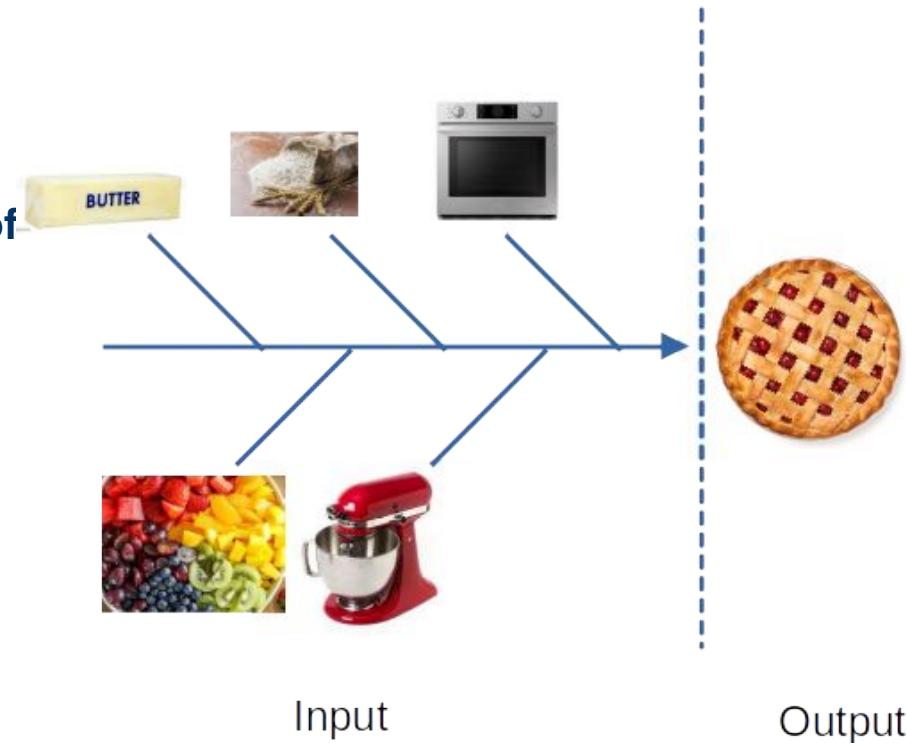
- to determine the relationship between input variables and output variables

## Multiple variables

- are kept constant to isolate the effect of a variable of interest

## Relationships

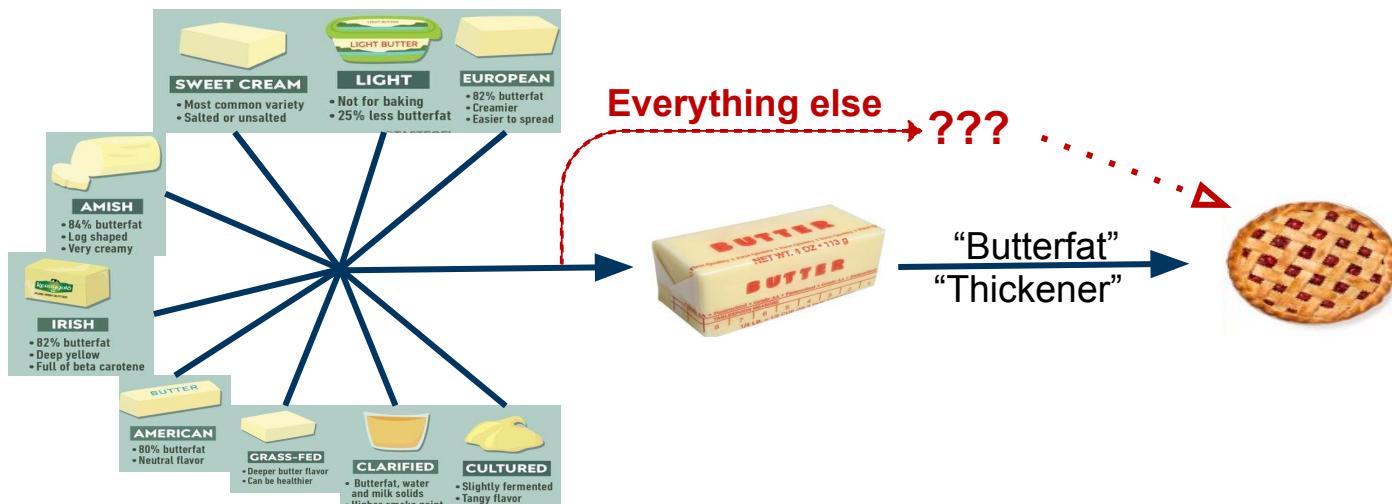
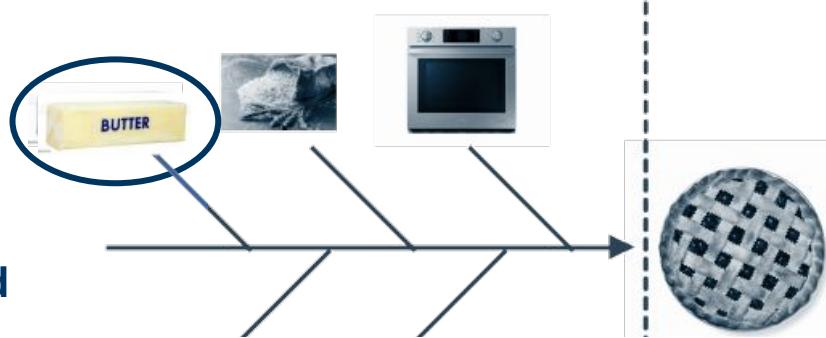
- designed around a central hypothesis



# Design of Experiment (DOE) Problem

## Limitations of DOE

- **Assumes all variables are isolated**
  - Inputs are only factor with given output
  - Inputs are not influenced by another input
  - Assumes linear input
- **Assumes only variable of interest is evaluated**

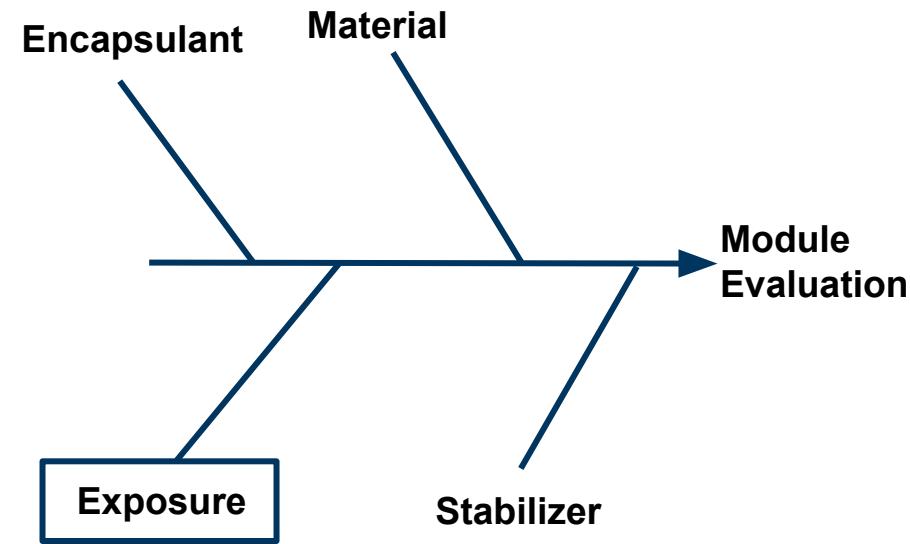


# Re-examining the Design of Experiment

## What is meant by 'experiment'?

- **Academia**
  - Making discovery/ testing hypothesis
    - Multiple tests
    - Multiple measurements
- **Industry**
  - Performing a procedure to determine something
  - Any procedure measurement is an experiment

DOE represents a datapoint in larger question



# Network Structural Equation Modeling (netSEM)<sup>6</sup>

netSEM: mapping of stressor mechanisms and response

- $\langle S|M|R \rangle$  modeling

Dominant and sequential/parallel pathways

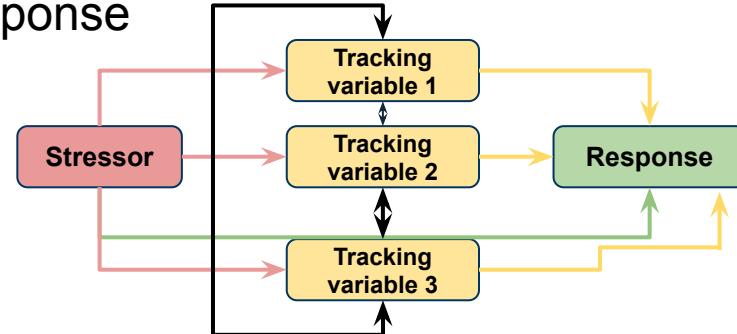
- Pairwise relationships:  $\langle S|R \rangle$ ,  $\langle S|M \rangle$ ,  $|M|R \rangle$

Includes nonlinear relationships

- To understand complex forms of degradation

Two principles

- Principle 1: Markov property  
**Except the two considered variables: rest are constant**
- Principle 2: Multiple Regression by Step AIC  
**Response impacted by several variables**



Model	Equation
Simple linear (SL)	$y = \beta_0 + \beta_1 x$
Quadratic (Quad)	$y = \beta_0 + \beta_1 x + \beta_2 x^2$
Simple quadratic (SQuad)	$y = \beta_0 + \beta_2 x^2$
Nonlinearizable exponential (nls)	$y = \beta_0 + \beta_5 (1 \pm \exp(\beta_6(x - \beta_7)))$
Exponential (Exp)	$y = \beta_0 + \beta_3 e^x$
Logarithmic (Log)	$y = \beta_0 + \beta_4 \log x$
Change point (CP)	$y = \beta_0 + \beta_1 x + \beta_2 (x - c)$

# Network Structural Equation Modeling (netSEM)

## netSEM: mapping of stressor mechanisms and response

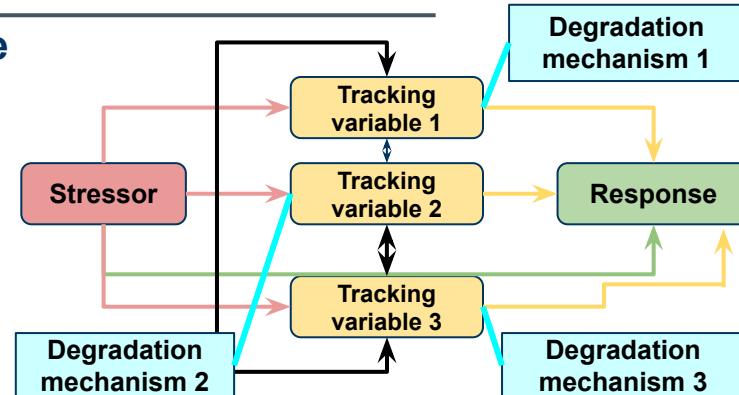
- $\langle S|M|R \rangle$  modeling

## Dominant and sequential/parallel pathways

- Pairwise relationships:  $\langle S|R \rangle$ ,  $\langle S|M \rangle$ ,  $\langle M|R \rangle$

## Includes nonlinear relationships

- To understand complex forms of degradation



## Two principles

- Principle 1: Markov property
  - **Univariate relationships between variables**
- Principle 2: Multiple Regression by Step AIC
  - **Multiple regression of variables**

Model	Equation
Simple linear (SL)	$y = \beta_0 + \beta_1 x$
Quadratic (Quad)	$y = \beta_0 + \beta_1 x + \beta_2 x^2$
Simple quadratic (SQuad)	$y = \beta_0 + \beta_2 x^2$
Nonlinearizable exponential (nls)	$y = \beta_0 + \beta_5(1 \pm \exp(\beta_6(x - \beta_7)))$
Exponential (Exp)	$y = \beta_0 + \beta_3 e^x$
Logarithmic (Log)	$y = \beta_0 + \beta_4 \log x$
Change point (CP)	$y = \beta_0 + \beta_1 x + \beta_2(x - c)$

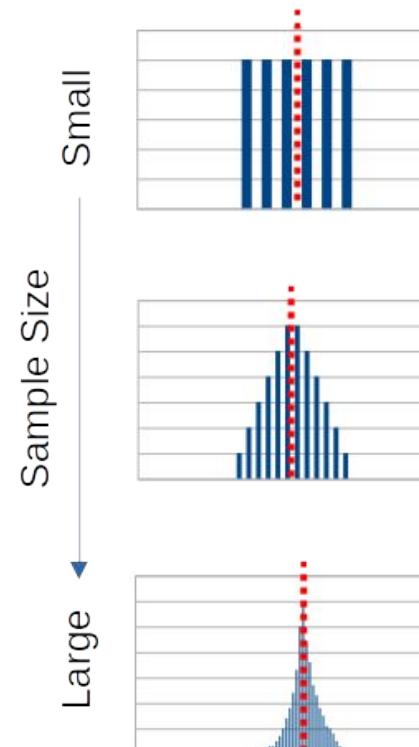
# Building a Study Protocol: Multiple Datasets

## Statistically Informed Study

- Metadata
- Large Volume of Samples
  - All samples are measured
- Diverse Exposures
  - Real-world & Accelerated
- Many Evaluations
  - Mechanistic & Performance
  - Through time or exposure
  - Multiple datapoints and types
    - Spectra, Images, etc.
- Retained Sample Library

## Holistic approach

- Central Limit Theorem



**Law of Large Numbers  
Probability Theory**