



Koopman operator based battery energy storage system optimization framework



PRESENTED AT

2021 DOE OE ENERGY STORAGE PROGRAM
ANNUAL PEER REVIEW

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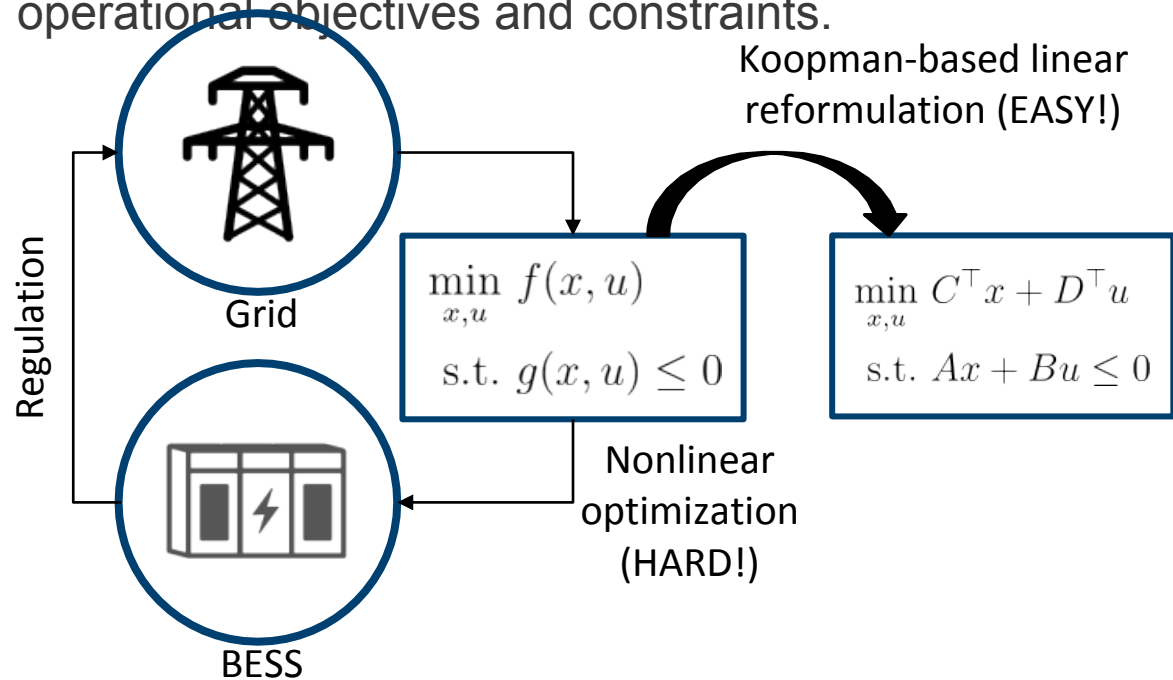
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Optimal Battery Operation Problem:

- Battery energy storage system (BESS) is increasingly used in an electricity grid (e.g., frequency regulation) due to operational flexibility and fast ramping capability.
- However, lifetime of BESS is limited and is highly affected by the operation conditions.
- Thus, optimizing the operation of BESS is essential to minimize loss of BESS capacity while achieving other operational objectives and constraints.



Koopman framework for battery optimization problem:

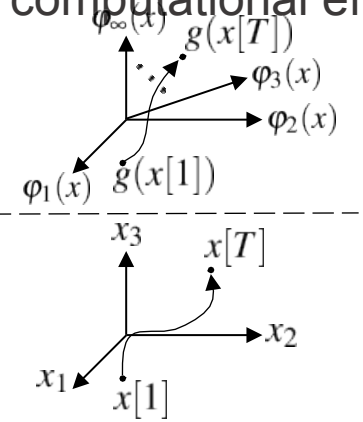
- Challenge is to incorporate highly complex and nonlinear dynamical model of BESS into optimization problems, making it extremely difficult to solve.
- Objective is to adopt *Koopman operator* framework to convert original nonlinear BESS optimization problem into equivalent linear BESS optimization problem to improve computational efficiency and optimality.
- Koopman operator provides equivalent linear representation of original nonlinear system in function space, hence achieve better computational efficiency and optimality.

Linear Dynamics
in Infinite Function Space

$$[\mathcal{K}g](x) = g(\phi(x))$$

Nonlinear Dynamics
in Finite State Space

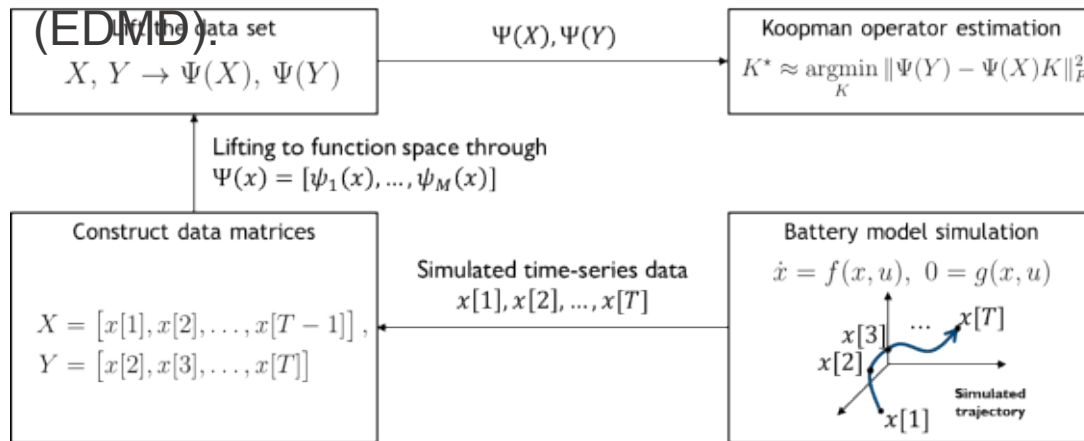
$$\dot{x} = f(x)$$



Conceptual description of Koopman
operator

Data-driven algorithm for Koopman operator estimation:

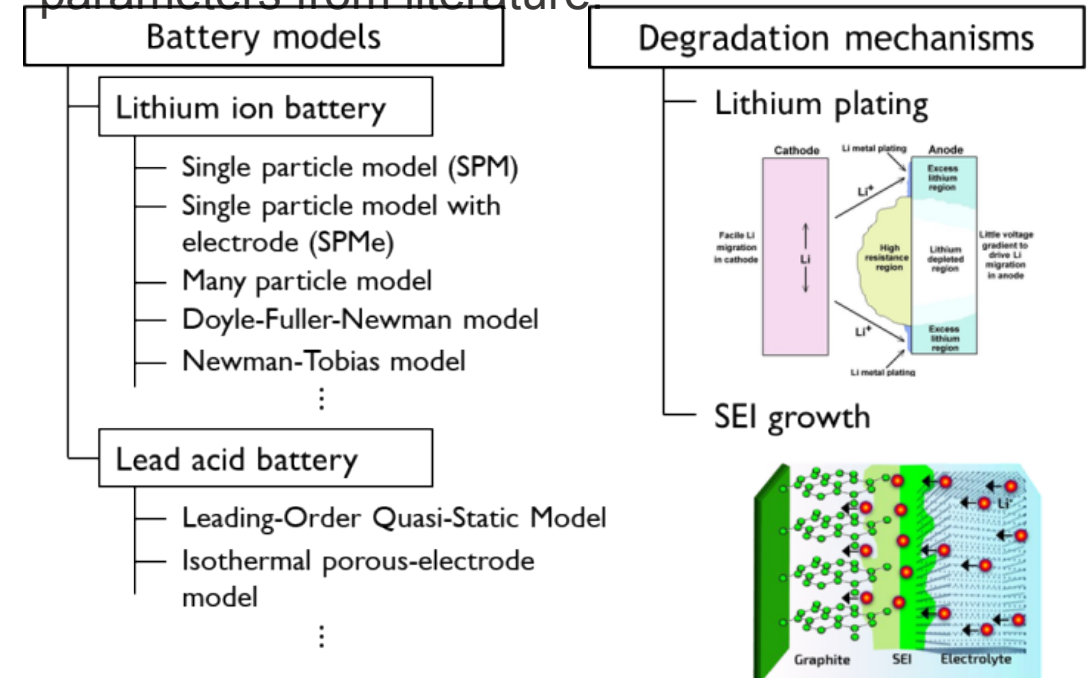
- Finding a Koopman operator analytically is difficult problem due to infinite dimensionality.
- Instead, we use time-series data to estimate a finite Koopman operator using data-driven algorithm called *extended dynamic mode decomposition* (EDMD).



Data-driven EDMD algorithm for Koopman operator model estimation

PyBamm: open-source battery simulation software:

- As discussed previously, Koopman operator model estimation requires time-series simulation data.
- PyBamm is an open-source battery simulation model which provides various battery models (e.g., SPM, DFN), degradation mechanisms, and a rich set of parameters from literature.

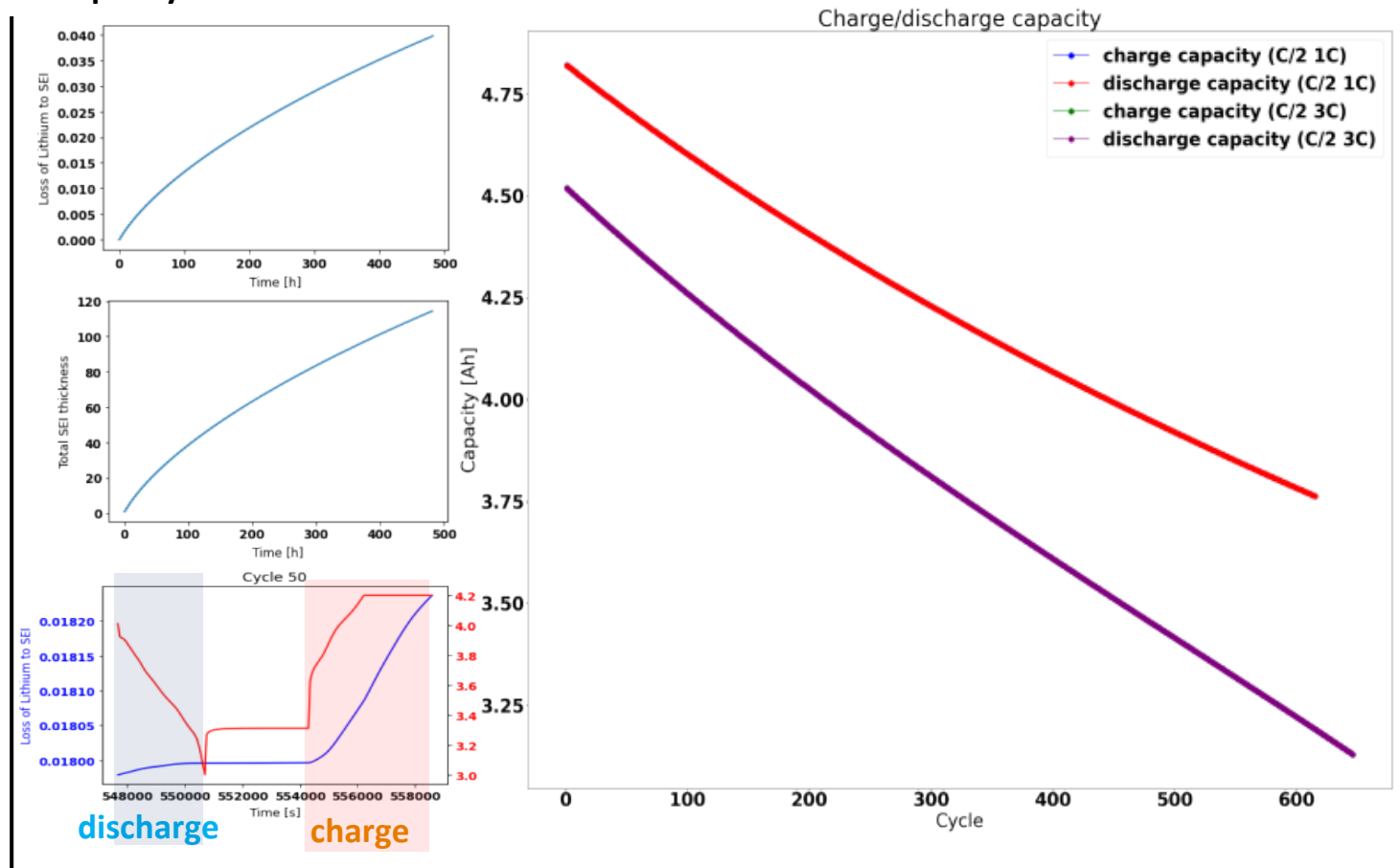


Battery Simulation Example using PyBamm

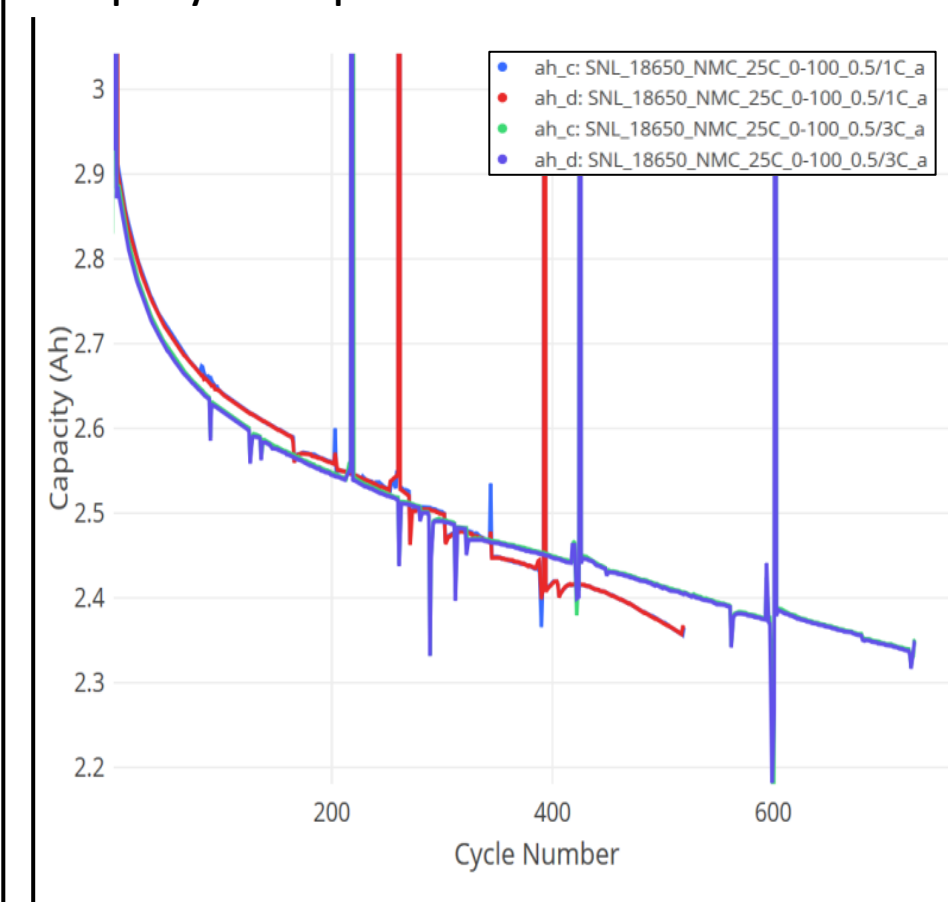


- PyBamm's long-term simulation of NMC cell using single particle model (SPM) with degradation due to SEI growth mechanism with parameter sets referring to Chen et. al., 2020 from literature.
- In the example, a cycle consists of combinations of charging steps {1C, C/2} and discharging steps {1C, 3C}.
- Simulation and experiment data show similar qualitative behavior of battery degradation along the cycles.

Ah Capacity from simulation.



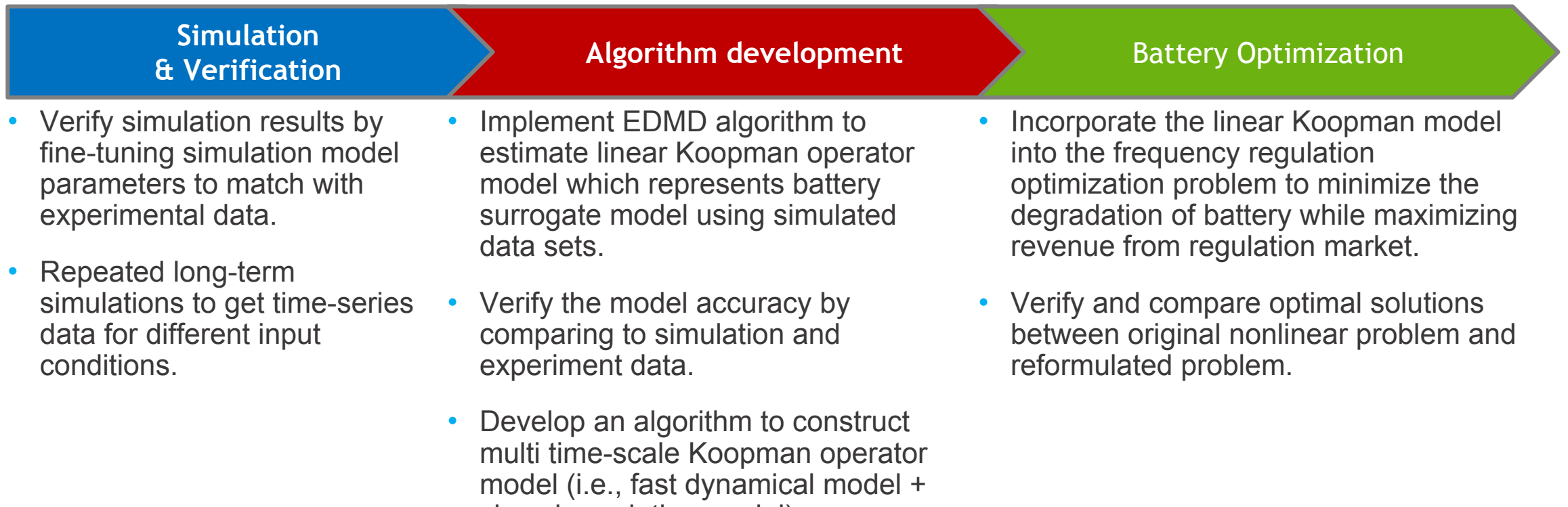
Ah Capacity from experiment data.



Accomplishment

- Working paper on optimal BESS operation in frequency regulation market based on Koopman operator framework.
: H. Choi, V. D. Angelis, Y. Preger, “Optimal Economic Operation of Battery Energy Storage in Frequency Regulation Market using Koopman Operator”, in Preparation.
- Adopt and verify PyBamm software package for long-term battery degradation simulation capability and experimental data verification.

Next Steps





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Funded by the U.S. Department of Energy, Office of Electricity, Energy Storage program. Dr. Imre Gyuk, Program Director

