

# Simulations-Guided Development of Custom Sorbents for Boron Capture from Coal Combustion Impoundment Leachates

Solutions for Today | Options for Tomorrow



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# Disclaimer

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# Authors

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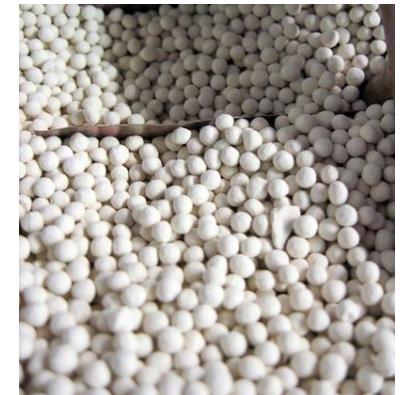
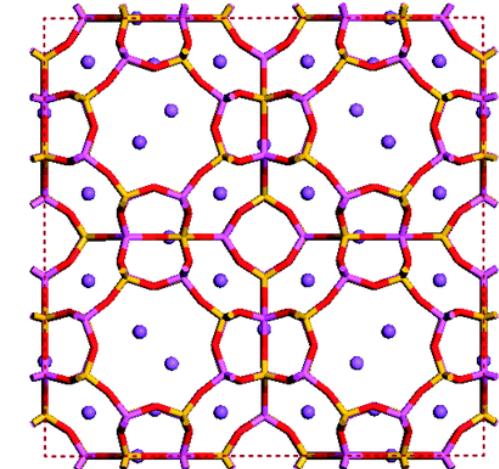
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# Zeolites

## Introduction

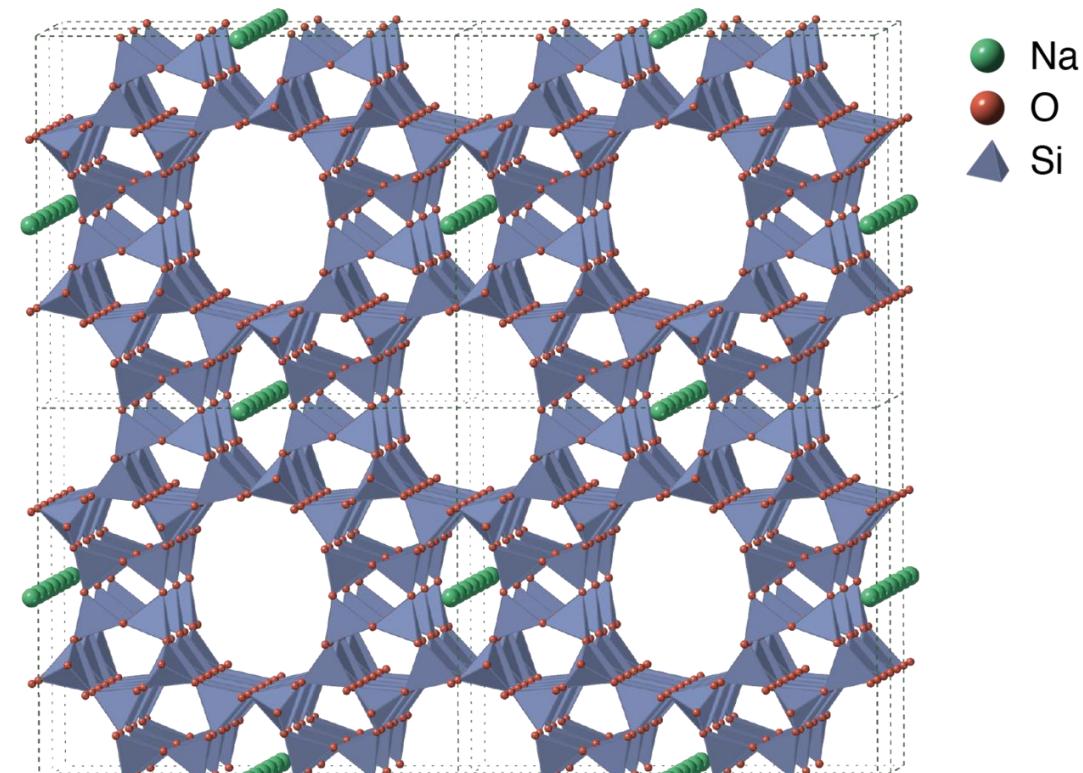
- Tetrahedral aluminosilicates
  - 245 distinct experimentally-synthesized topologies
  - Millions of hypothetical zeolites
- Composed of  $\text{AlO}_4$  and  $\text{SiO}_4$  tetrahedra
  - Substitution of Al for Si leads to charge imbalance
  - Extra-framework cations ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , etc.) balance charge
  - Cations are loosely bound, **can be exchanged**
  - Cations are adsorption and catalytic sites
  - Properties vary based on topology, composition, and Al distribution
- Uses for separations and catalysis
  - Stable, inexpensive to produce
  - High internal surface area for adsorption



# Methodology

## Overall Strategy

1. Construct a collection of sorbent structures and **Sorption Conditions**
2. Construct appropriate model potentials
3. Carry out computations to **estimate sorption** in a **representative subset** of the sorbent structures
4. Use AI/ML techniques to exploit relationships to **screen / design tailored sorbents** for impoundments

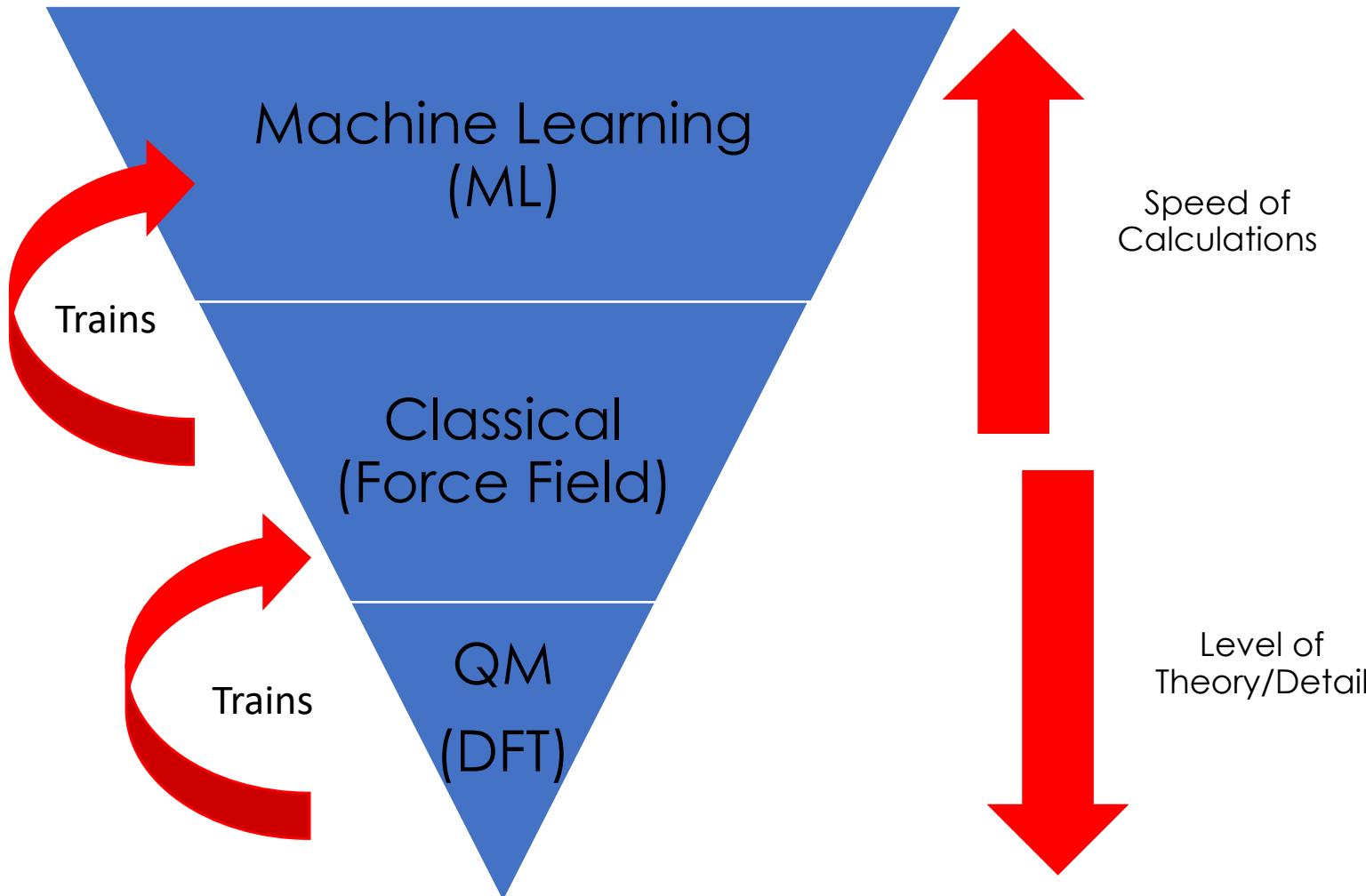


Mordenite (MOR framework)

# Machine Learning for Materials Design



## Overall Strategy



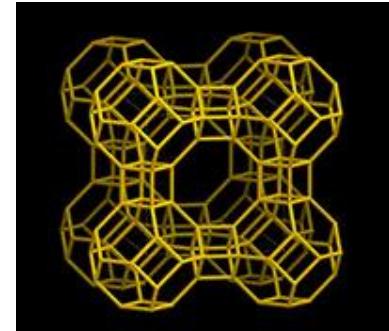
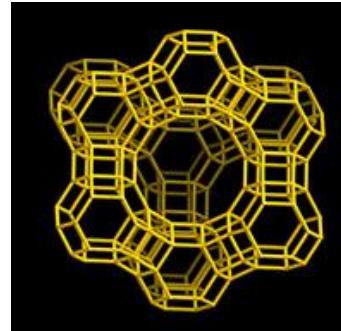
- **Machine Learning (ML):**
  - Accuracy depends on training set
  - Can be used for screening or design
  - Fastest of the three
- **Classical Simulations (FFs):**
  - Accuracy may vary
  - Can study macroscopic properties
  - Useful for screening
- **Quantum Mechanical (QM) simulations:**
  - We are using density functional theory (DFT) as our QM method
  - Accurate
  - Based on first-principles calculations
  - Cannot study macroscopic properties

# Methodology

## 1. Construct a Collection of Sorbent Structures and Sorption Conditions

- **Framework symmetry:**
  - The framework symmetry controls geometrical features such as pore limiting diameters or how large the cavities are inside the zeolite.
  - Seven framework symmetries – zeolites with these symmetries have been synthesized from fly ash.
  - An additional five symmetries were chosen because they are common frameworks.
    - DDR, FER, MEL, MFI, TON
  - **Expanded list of framework symmetries: 12**

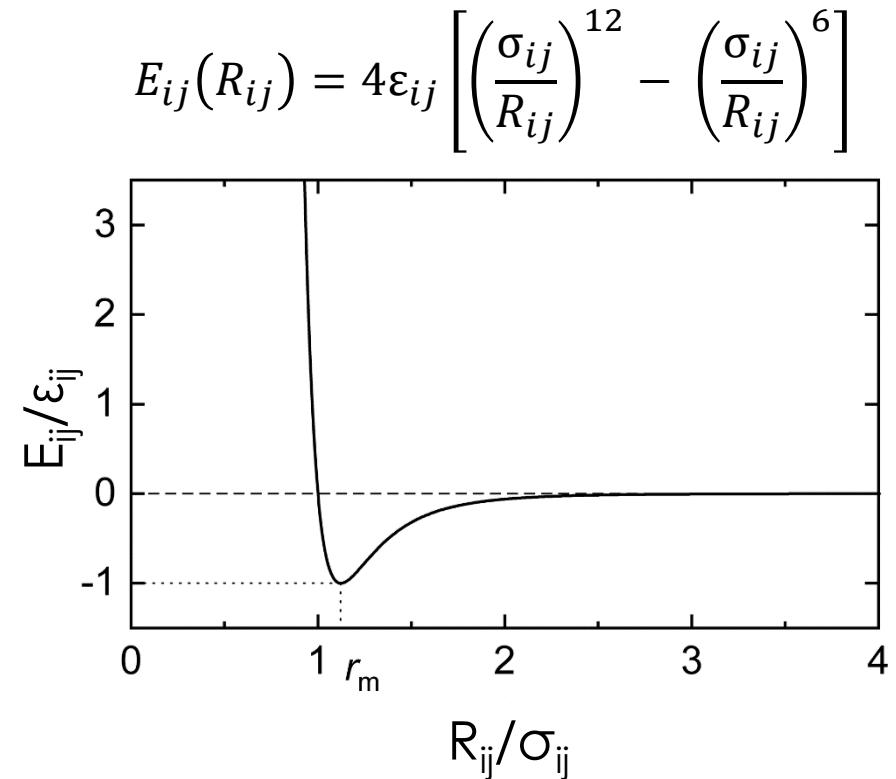
IZA Code	Alternate Names	PLD (Å)	LCD (Å)
ANA	Analcime	2.43	4.21
CHA	Chabazite Hershelite (Na-form) K-chabazite (K-form)	3.72	7.37
FAU	Faujasite Zeolite X (Si/Al < 2) Zeolite Y (Si/Al >= 2)	7.35	11.2
GIS	Zeolite P1 NaP1	3.32	4.97
LTA	Zeolite 4A Zeolite 5A Zeolite A Linde Type A	4.21	11.05
LTF	Linde Type F	7.5 (z-direction)	8.16
LTL	Linde Type L Perlialite	7.5 (z-direction)	10.01
PHI	Phillipsite	3.69	5.40
SOD	Sodalite	2.53	6.32



# Force Fields

## 2. Construct Appropriate Model Potentials

- Analytical function that describes interaction energies
  - Function of distance between a pair of atoms ( $R_{ij}$ )
  - Total energy of system is the sum over the atomic pairs
  - **Example:** Lennard-Jones potential (right)
    - $\sigma$  is related to average atomic size
    - $\epsilon$  is related to depth of potential energy well
- Used with statistical mechanics to calculate thermodynamic properties
  - Fast computation of energies means more configurations and better statistics
  - Phase equilibrium, heats of adsorption, adsorption isotherms
- Often parametrized based on **experimental data** or **QM calculations (DFT)**



# Methodology

## 2. Construct Appropriate Model Potentials

- Model potentials will be needed for calculations

- Zeolite – Cation energy
- Zeolite – Adsorbate ( $\text{H}_3\text{BO}_3$ ) energy
- Zeolite – Water energy
- Cation – Adsorbate ( $\text{H}_3\text{BO}_3$ ) energy
- Cation – Water energy
- Adsorbate ( $\text{H}_3\text{BO}_3$ ) – Water energy

- Key assumptions:

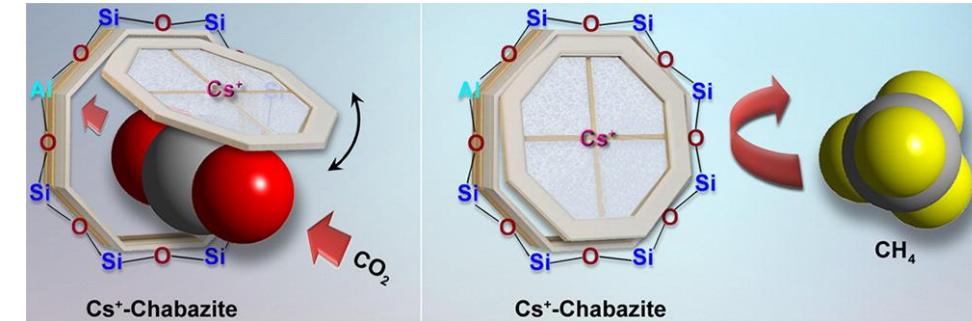
- No bonds broken or formed
- Rigid Framework: Zeolite Si, Al, and O are held fixed

- **Buckingham + Coulomb Potential:**  $E_{ij} = A_{ij}e^{-B_{ij}R_{ij}} - \frac{C_{ij}}{R_{ij}^6} + \frac{q_i q_j}{4\pi\epsilon_0 R_{ij}}$

- A, B, C are fitted parameters
- q are “**partial atomic charges**” assigned to each atom based on DFT calculations
- Good at describing repulsion in solids

- **Lennard-Jones + Coulomb Potential:**  $E_{ij}(R_{ij}) = 4\epsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{R_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{R_{ij}} \right)^6 \right] + \frac{q_i q_j}{4\pi\epsilon_0 R_{ij}}$

- $\sigma$  is a fitted parameter related to size
- $\epsilon$  is a fitted parameter related to interaction strength
- Good at describing gasses, liquids



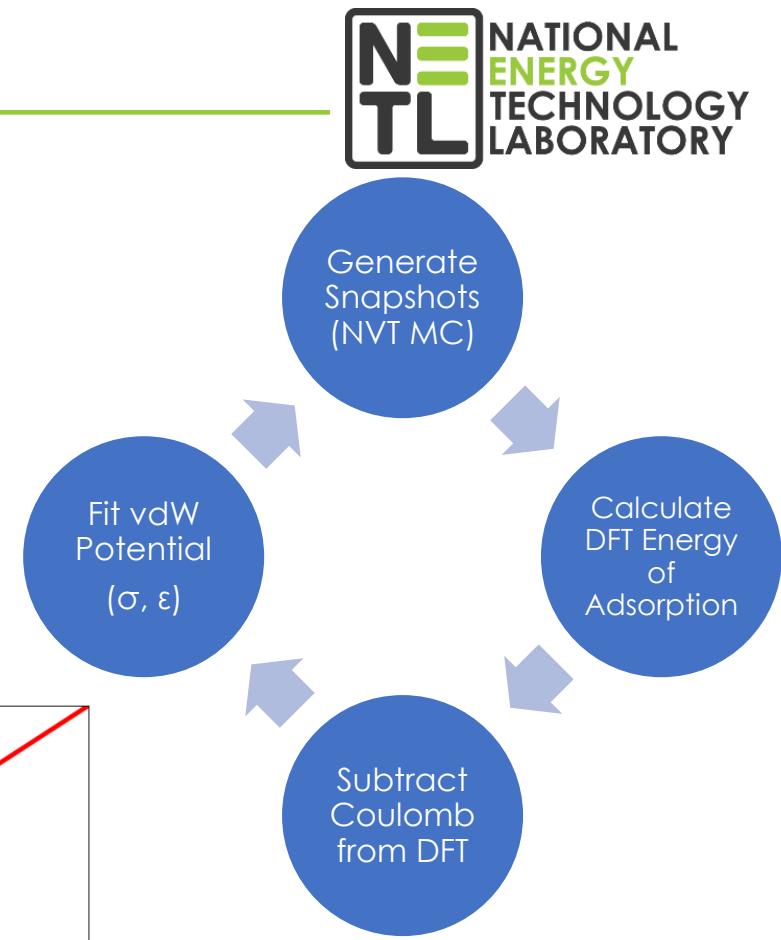
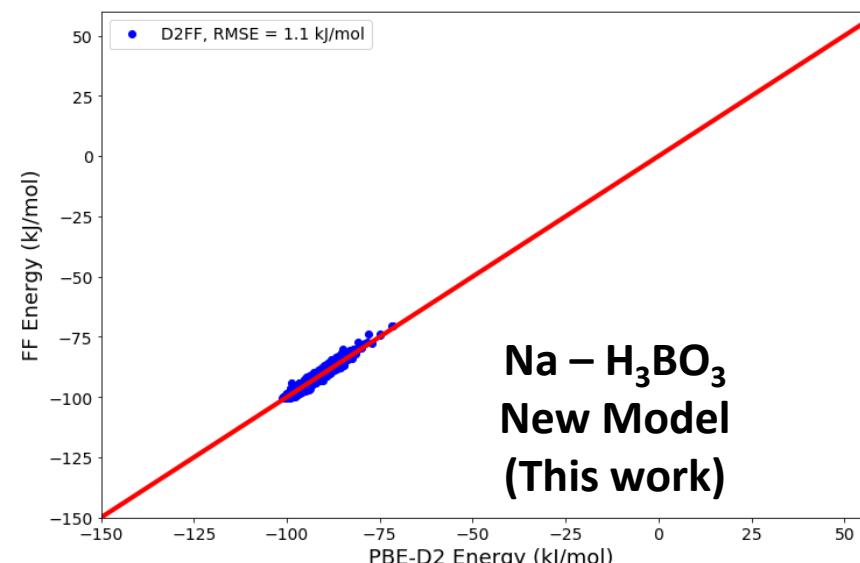
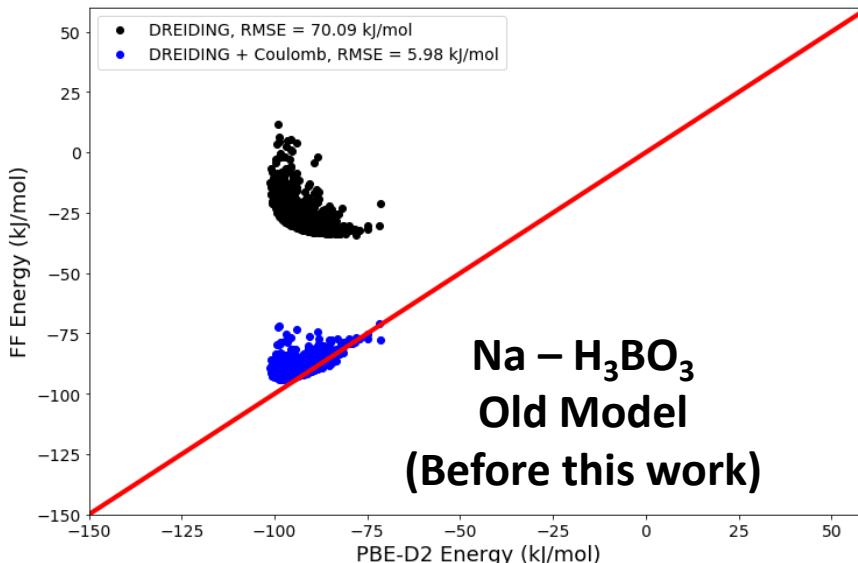
Fang, H. et al. Prediction of  $\text{CO}_2$  Adsorption Properties in Zeolites Using Force Fields Derived from Periodic Dispersion-Corrected DFT Calculations. *J. Phys. Chem. C* 116, 10692–10701 (2012).

# Fitting Adsorbate – Zeolite Interactions



## 2. Construct Appropriate Model Potentials

- DFT optimization showed **no chemical bonds broke** during adsorption of  $\text{H}_3\text{BO}_3$ ,  $\text{H}_2\text{O}$ 
  - Can use classical force fields for adsorption
- Complete for  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Fe}^{2+}$
- Construct models to reproduce adsorption energies from DFT



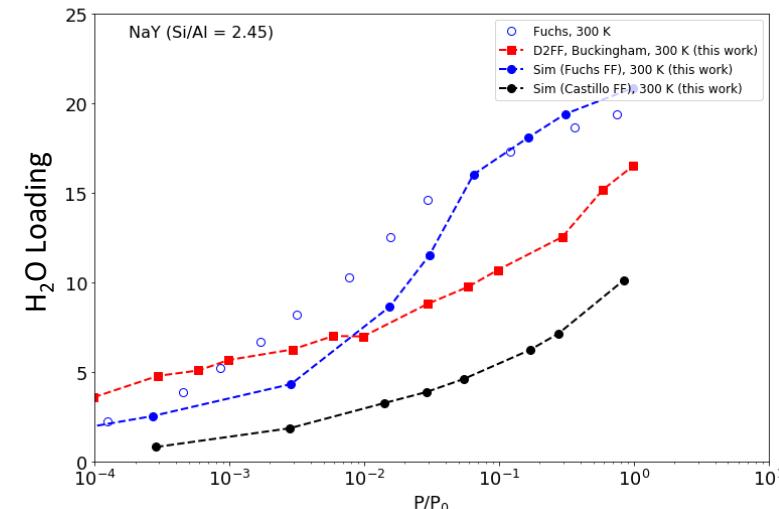
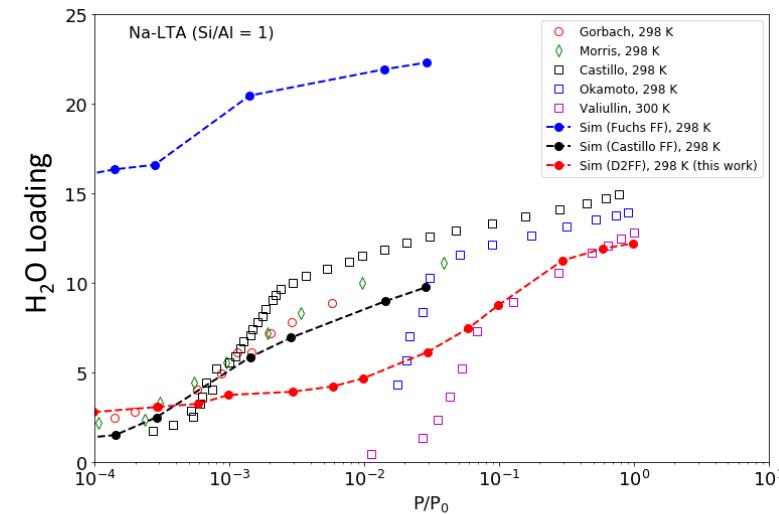
$$E_{ij}(R_{ij}) = 4\epsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{R_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{R_{ij}} \right)^6 \right] + \frac{q_i q_j}{R_{ij}}$$



# Model Validation

## 2. Construct Appropriate Model Potentials (Validation)

- Used models developed in December to predict water vapor adsorption isotherms
  - Model parametrized by fitting to Density Functional Theory Energies (PBE-D2 to be specific)
  - Compared two different potentials
    - Buckingham:**  $E_{ij} = A_{ij}e^{-B_{ij}R_{ij}} - \frac{c_{ij}}{R_{ij}^6} + \frac{q_i q_j}{4\pi\epsilon_0 R_{ij}}$
  - Na-LTA (Si/Al = 1, top) and NaY (Si/Al = 2.45, bottom)
- Most important ranges are:
  - High pressure** (similar to liquid water)
  - Low pressure** (water-zeolite interaction strength)
- Low pressures:** Good agreement with **D2FF**
  - Our Buckingham model predicts interaction energies between the zeolite and water
  - This bodes well for our  $\text{H}_3\text{BO}_3$  models because the fugacity of  $\text{H}_3\text{BO}_3$  is very low for ppm-level concentrations
- High pressure (near saturation):** Good agreement
  - Similar to liquid water inside the pores
- Intermediate pressures:** Decent to poor agreement for **D2FF**
  - Shape of the isotherm does not completely match
  - Likely caused by imperfections in SPC/E water model (non-polarizable, only three-point charges)
- Our Model (D2FF) seems sufficiently accurate for further use**
  - Note:** Simulations (D2FF, red) performed **without** experimental input
    - Fuchs FF (blue) was fit to Fuchs experimental data (NaY)
    - Castillo FF (black) was fit to Castillo experimental data (black)

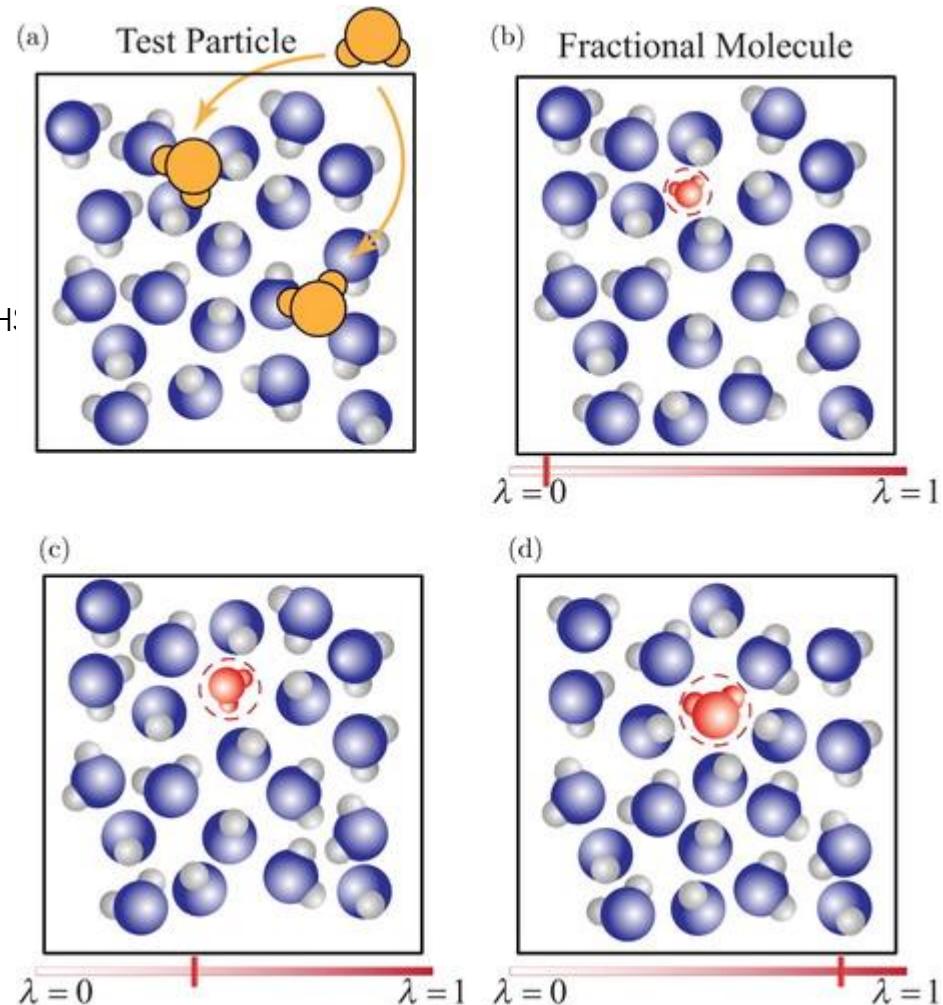


# Adsorption Simulation Methods

## 3. Carry out Computations to Estimate Sorption in Sorbent Structures

- Adsorption from solution:
  - Reference state is now boric acid in solution
  - $f_A = \rho k_B T \left( e^{\frac{\mu_A^\infty}{k_B T}} \right) x_A$ 
    - $\mu_A^\infty$  is the excess chemical potential of infinitely dilute solute in the solvent
    - $x_A$  is mole fraction of solute
  - Model predictions for Henry's constant match Hazardous Substances Data Bank (HSDB)
  - $K_H = \lim_{x_A \rightarrow 0} \left( \frac{f_A}{x_A} \right) = \rho k_B T \exp(\beta \mu_A^\infty)$
- Continuous Fractional Component Monte Carlo
  - Designed for dense systems: Difficult to perform insertion moves without overlap
  - Insertion of boric acid in hydrophilic zeolite
  - Inserts a "fractional" molecule
    - Scales intermolecular interactions by  $\lambda$  (0, 1]
  - Allows other molecules to move with fractional molecule present
  - Increases weight ( $\lambda$ ) until full molecule is grown

	$K_H$ (Pa*m <sup>3</sup> /mol)	Source
Experimental	$2.65 \times 10^{-7}$	HSDB (NIH)
Sim (CFCMC-WI)	$1.29 \times 10^{-7}$	This work



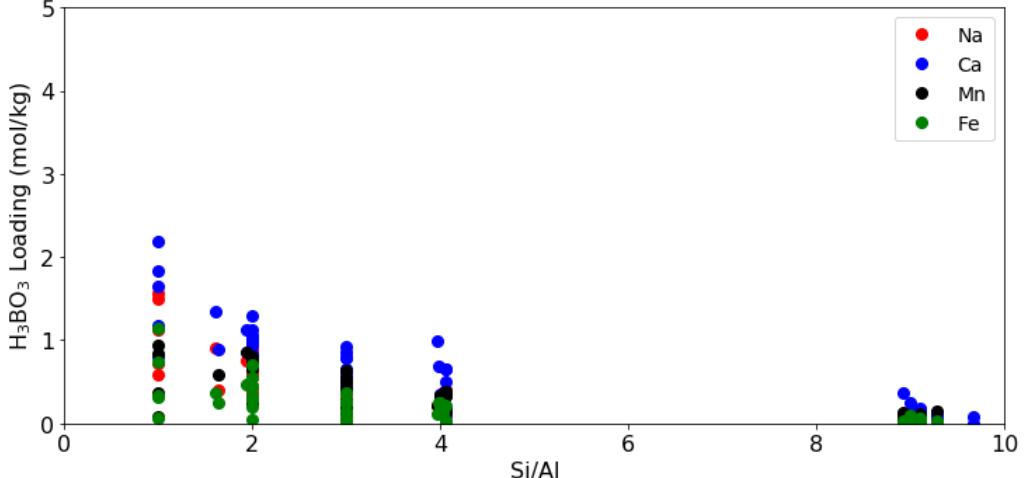
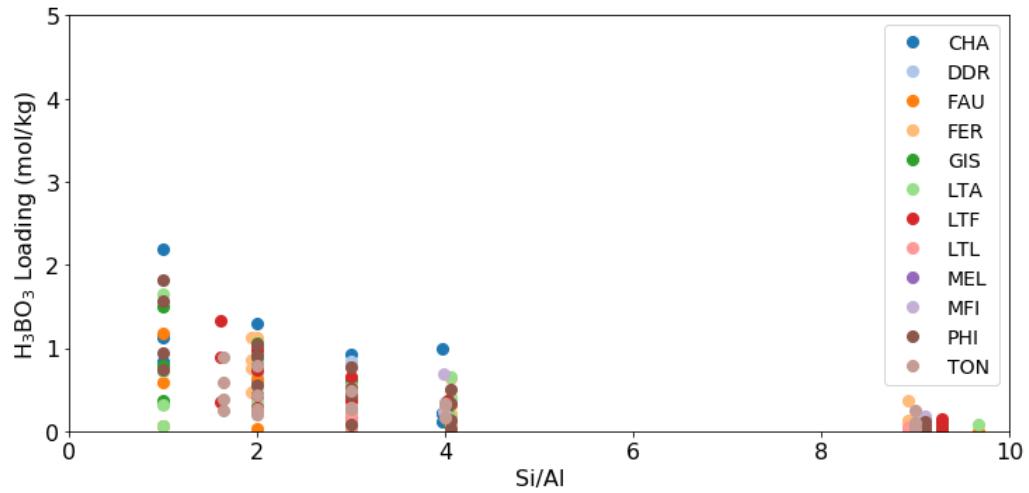
Rahbari, A., et al. "Recent advances in the continuous fractional component Monte Carlo methodology." *Molecular Simulation* 47.10-11 (2021): 804-823.

Xiong et al. Alcohol Adsorption onto Silicalite from Aqueous Solution *Journal of Phys. Chem. C* (2011)

# Initial Dataset (1 ppm)

## 3. Carry out Computations to Estimate Sorption in Sorbent Structures

- Results for 1 ppm  $\text{H}_3\text{BO}_3$  solution
- Ca, Na-exchanged zeolites were top performers
  - Largest charge (Ca)
  - Most cations per unit cell (Na)
- Low Si/Al ratios performed best
  - Most cations per unit cell



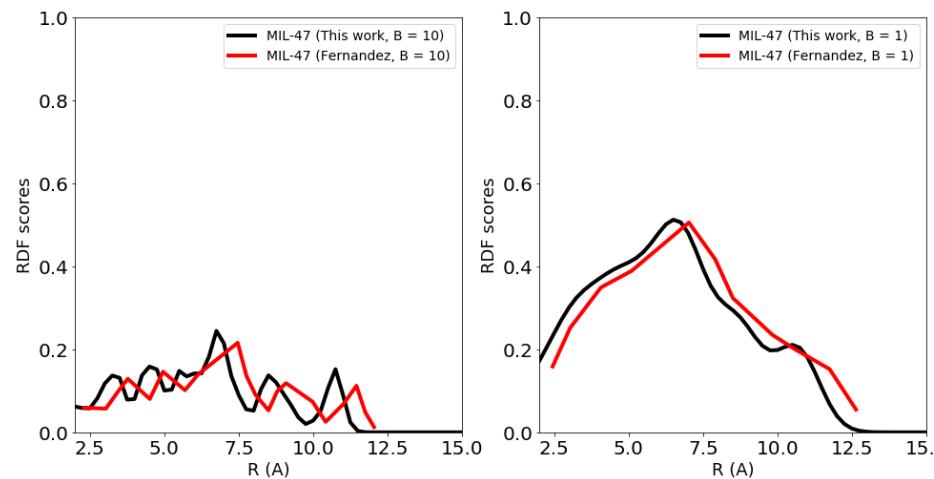
# Zeolite Structure Encoding (RDFs)



## 5. Use AI/ML Techniques to Exploit Relationships to Design Tailored Sorbents

### Atomic Property Weighted Radial Distribution Functions

- RDF analysis is a crystallographic technique sensitive to both short- and long-range structural correlations.
- The RDF is the interatomic separation histogram representing the weighted probability of finding a pair of atoms separated by a given distance.
- The RDFs can be weighted to fit the requirements of the chemical information to be represented, by introducing the atomic properties,  $P_i$ .
- Electronegativity, polarizability, and van der Waals volume.
- Encoded RDFs for all IZA zeolites.



$$\text{RDF}^P(R) = f \sum_{i,j}^{\text{all atom pairs}} P_i P_j e^{-B(r_{ij}-R)^2}$$

Fernandez, M.; Trefiak, N. R.; Woo, T. K. Atomic Property Weighted Radial Distribution Functions Descriptors of Cation–Organic Frameworks for the Prediction of Gas Uptake Capacity. *J. Phys. Chem. C* **2013**, 117 (27), 14095–14105.

# Machine Learning Model



## 5. Use AI/ML Techniques to Exploit Relationships to Design Tailored Sorbents

- ML Model requires:
  - **Descriptors:**
    - Crystal structure (topology)
    - Composition (both zeolite and solution)
  - **Adsorption data:**
    - Little experimental data available (not enough for ML)
    - Can predict adsorption using molecular simulations
      - Accuracy depends on models and level of theory
    - Can use molecular simulations to generate a large, robust training set

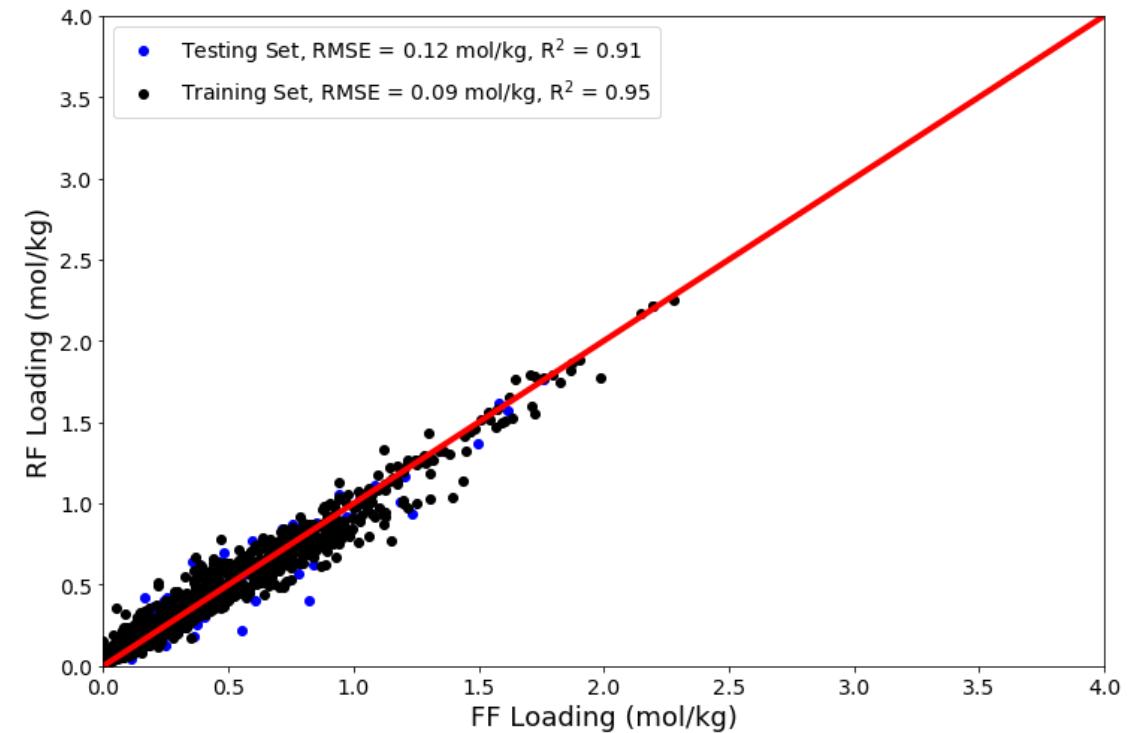
Amount Adsorbed	Si/Al	Na/O	K/O	Ca/O	Mn/O	Fe/O	RDF_PC1	RDF_PC2	Concentration
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$$y = F(x_1, x_2, \dots, x_n)$$

# First Pass ML Model

## 5. Use AI/ML Techniques to Exploit Relationships to Design Tailored Sorbents

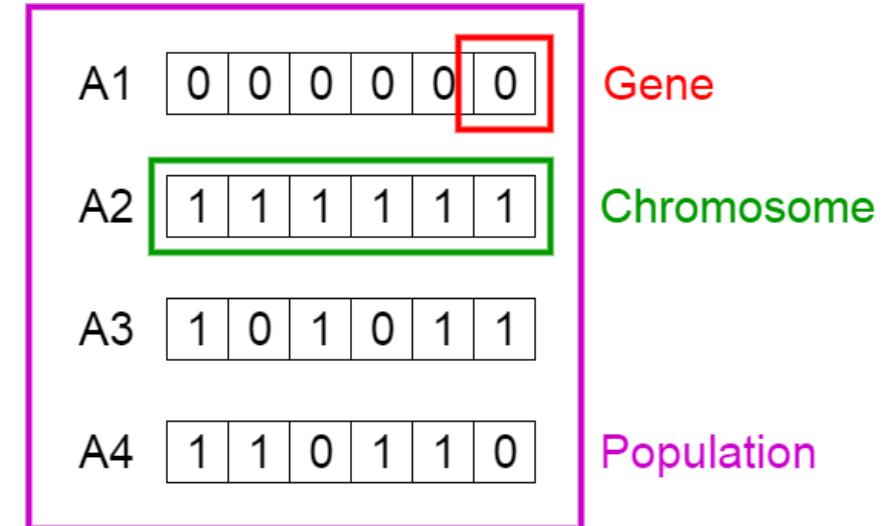
- **Prediction:**  $\text{H}_3\text{BO}_3$  uptake from 1-20 ppm  $\text{H}_3\text{BO}_3$  solution
- **Features:**
  - Stoichiometry (normalized by number of O atoms)
  - Six principal components for weighted RDFs (weighted by charge, electronegativity)
  - $\text{H}_3\text{BO}_3$  Concentration in solution
- **Model:** Random Forest Regression
  - Max depth = 9
  - N trees = 15
  - Tuned using gridsearchCV
- **Conclusions:**
  - Good quality of fit
  - Adding more data (mixed-cation)



# Genetic Algorithms – Zeolite Optimization

## 5. Use AI/ML Techniques to Exploit Relationships to Design Tailored Sorbents

- **“Survival of the fittest”**
  - Optimization technique
- **Genes:** Features
  - Examples. Si/Al, Al/O, RDF\_PC1
- **Chromosome:** Set of genes
  - Example: Na-LTA with Si/Al = 1
- **Population:** Group of potential solutions
- **Fitness function:** Affects the probability of selection for “reproduction”
  - Predicted  $\text{H}_3\text{BO}_3$  uptake
- **Crossover:** Swapping a set of genes between two chromosomes and adding the offspring to the population
- **Mutation:** Altering the set of genes in an offspring

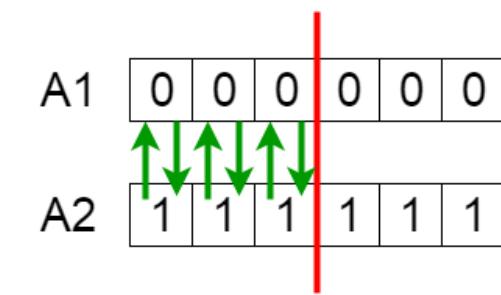


Before Mutation

A5	1	1	1	0	0	0
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After Mutation

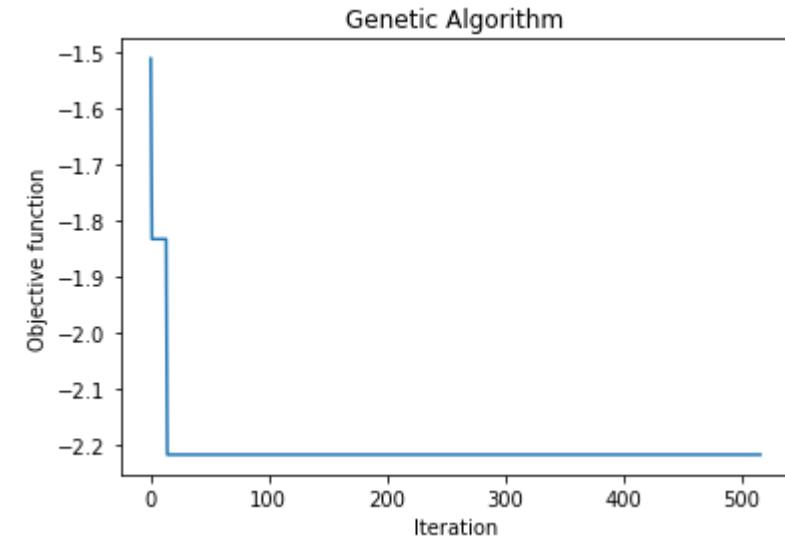
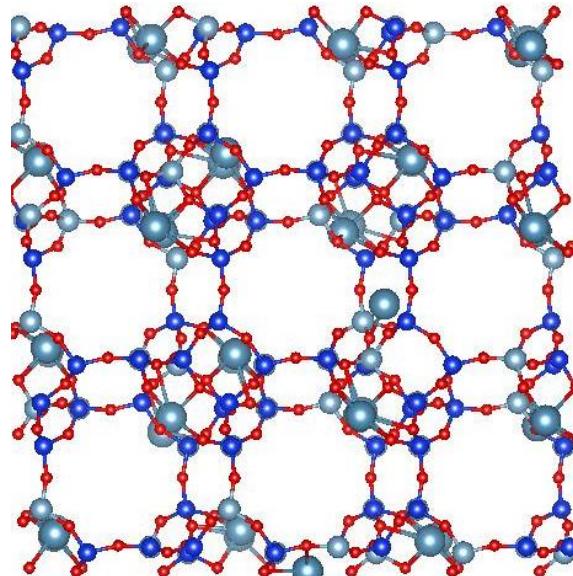
A5	1	1	0	1	1	0
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# Genetic Algorithms – Zeolite Optimization

## 5. Use AI/ML Techniques to Exploit Relationships to Design Tailored Sorbents

- Used GA for optimization of zeolite topology and composition
- Optimized zeolite for adsorption from 3 ppm  $\text{H}_3\text{BO}_3$  solution
- Predicted optimal zeolite is CHA ( $\text{Si}/\text{Al} = 1.01$ ) with almost 90% Ca exchange



Concentration	3 ppm
Loading (mol/kg)	2.20
Topology	CHA
Si/Al	1.01
Na (%)	2.9
Ca (%)	89.1
Mn (%)	1.3
Fe (%)	6.7

# Next Steps

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- Model enhancement
  - Mixed cation zeolites
  - Differing concentrations
  - Model pH effects
- Add additional contaminants to models (As, Se)

# NETL Resources

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CONTACT:

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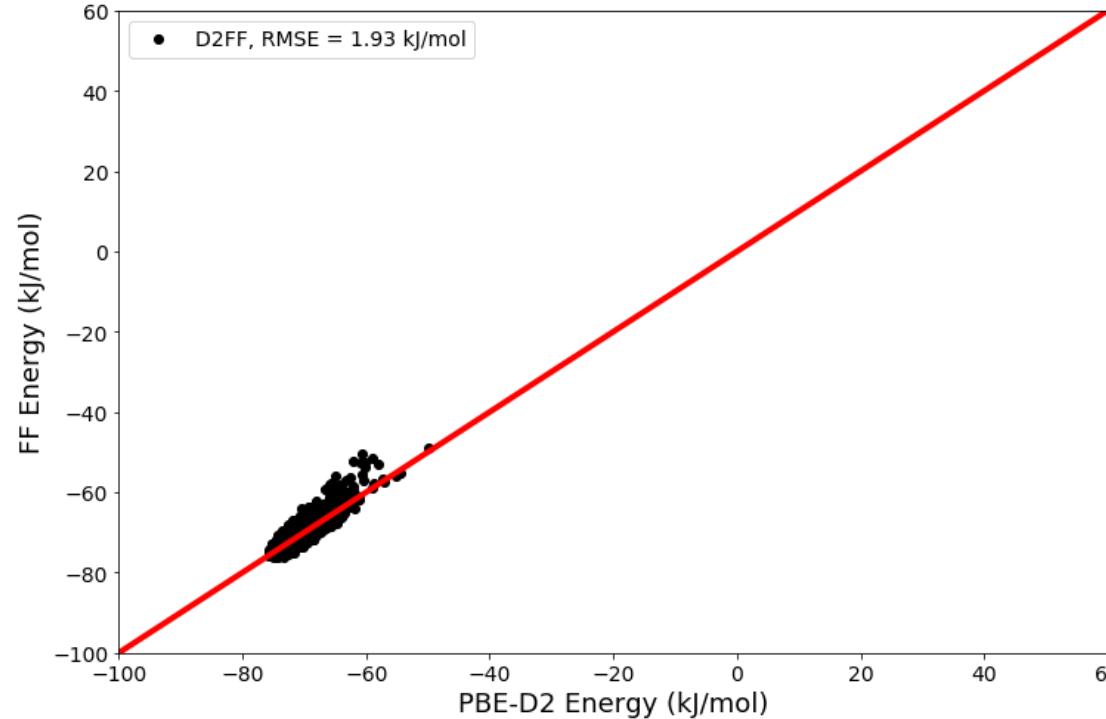
John.Findley@netl.doe.gov



# Addition of K

## 2. Construct appropriate model potentials

- Fit new models (when necessary) K interactions with zeolite framework (finished), H<sub>2</sub>O (finished), H<sub>3</sub>BO<sub>3</sub> (finished, pictured)



Atomic Pair	A <sub>ij</sub> (K)	B <sub>ij</sub> (Å <sup>-1</sup> )	C <sub>ij</sub> (K*Å <sup>6</sup> )	q <sub>M</sub> (-e)	Source
K – Oz	6.23*10 <sup>7</sup>	3.43	2.29*10 <sup>6</sup>	0.990	Fang et al.
K – O (H <sub>2</sub> O)	3.50*10 <sup>6</sup>	2.55	0	0.990	This work

Atomic Pair	ε <sub>ij</sub> (K)	σ <sub>ij</sub> (Å)	q <sub>M</sub> (-e)
K – O (H <sub>3</sub> BO <sub>3</sub> )	348	2.87	0.990