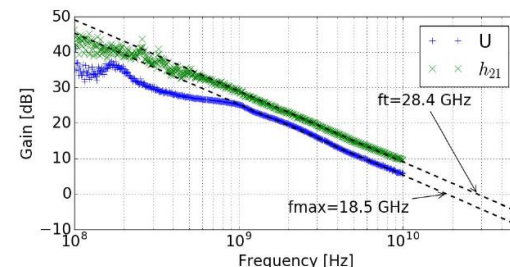
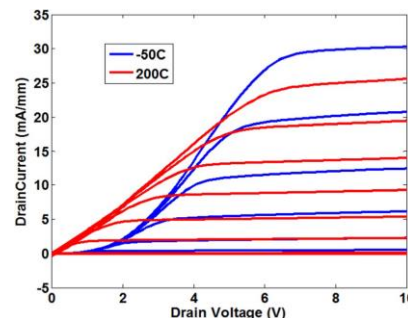
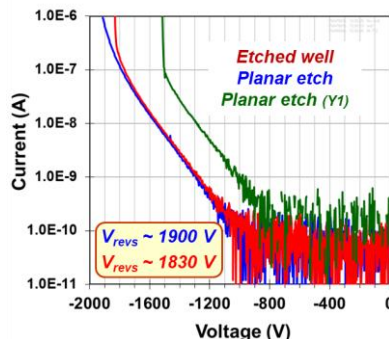
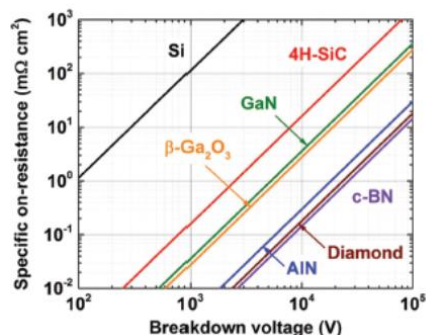


*Exceptional service in the national interest*



## Ultra-Wide-Bandgap Semiconductors: Challenges and Opportunities

NY CREATES

NY CREATES Emerging Technologies Seminar  
Bob Kaplar, Sandia National Labs – July 8, 2021

Sandia NL: Andy Allerman, Andy Armstrong, Mary Crawford, Greg Pickrell, Jeremy Dickerson, Jack Flicker, Jason Neely, Elizabeth Paisley, Albert Baca, Brianna Klein, Erica Douglas, Shahed Reza, Andrew Binder, Luke Yates, Oleksiy Slobodyan, Paul Sharps, Jerry Simmons, Jeff Tsao  
UWBG Working Group: Mark Hollis (MIT-LL), Noble Johnson (PARC), Ken Jones (ARL), Dimitris Pavlidis (FIU), Ken Goretta (AFOSR)  
Ultra EFRC: Bob Nemanich (ASU), Steve Goodnick (ASU), Srabanti Chowdhury (Stanford)



Partially supported by the Laboratory Directed Research and Development program at Sandia National Laboratories, a multi-mission laboratory managed and operated by National Technology & Engineering Solutions of Sandia, LLC (NTESS), a wholly owned subsidiary of Honeywell International Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

# Introduction: Generations of Semiconductors

- **Gen 1: Ge and Si**
- **Gen 2: Conventional III-Vs – Arsenides, Phosphides, Antimonides**
- **Gen 3: Wide-bandgaps – SiC, GaN, InGaN**
- **Gen 4: Ultra-Wide-Bandgaps –  $\text{Al}_x\text{Ga}_{1-x}\text{N}$ ,  $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ , diamond, c-BN, others**

# Outline

- **Motivation: Applications of UWBGs**
- **UWBG Properties**
- **Sandia AlGaN Devices:**
  - **Power Electronics**
  - **Radio Frequency**
  - **High-T Logic**
  - **Optoelectronics**
- **UWBG Community Activities**

# Outline

- **Motivation: Applications of UWBGs**
- UWBG Properties
- Sandia AlGaN Devices:
  - Power Electronics
  - Radio Frequency
  - High-T Logic
  - Optoelectronics
- UWBG Community Activities



# Military Applications



**Warship electrification (DC microgrid, pulsed power weapons)**

**Higher degree of electrification and power desired in SWaP-constrained environments**



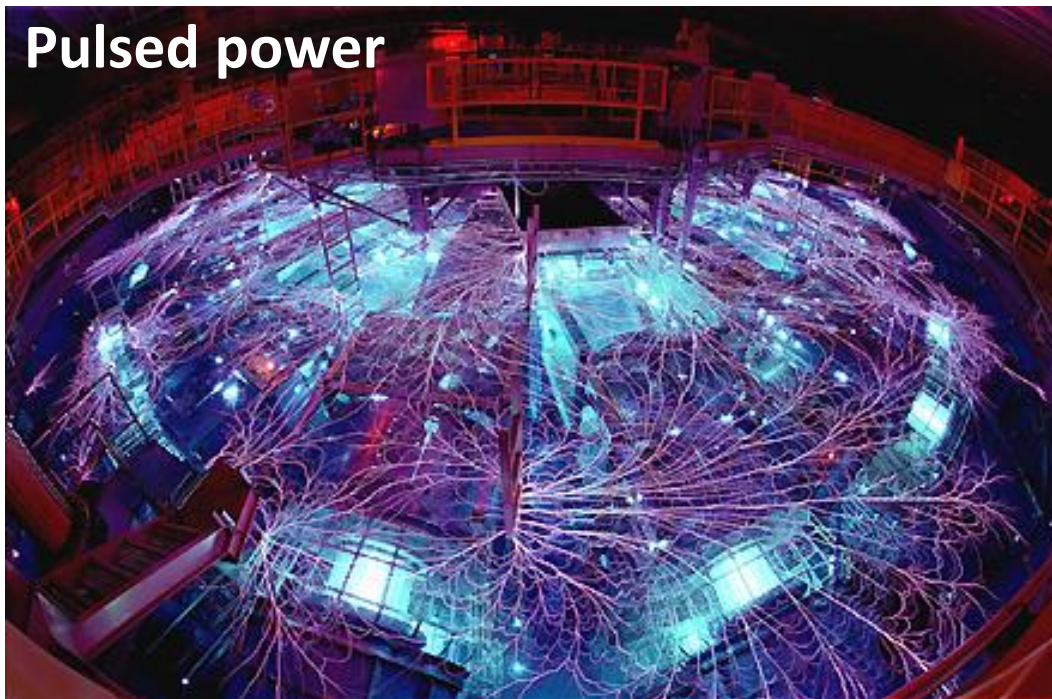
**Electromagnetic railgun**



**Electromagnetic armor**

# Ultra-High-Voltage Applications

**Pulsed power**



**Conservative but  
critically important  
power device markets**

***100 kV switches may be  
possible using UWBG  
semiconductors!***



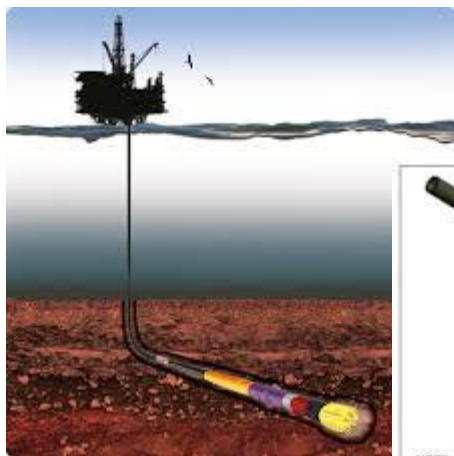
**Long-distance transmission**



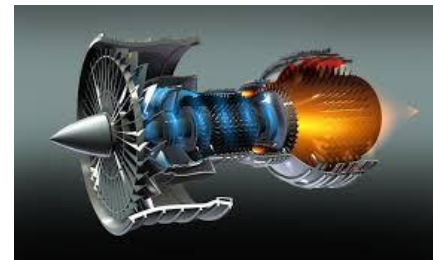
# Power Electronics for Extreme Environments

## Relevant extreme environments for power electronics:

- Temperature extremes
- Vibration
- Radiation



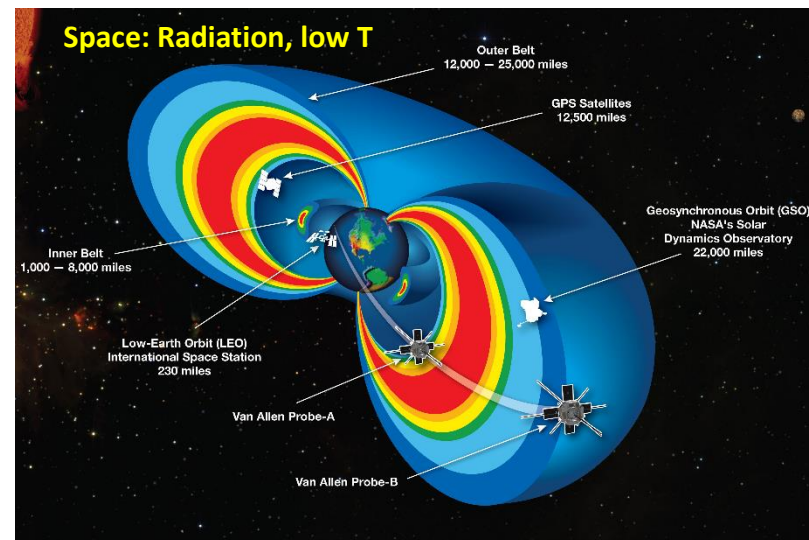
**Down-hole: High T, vibration**



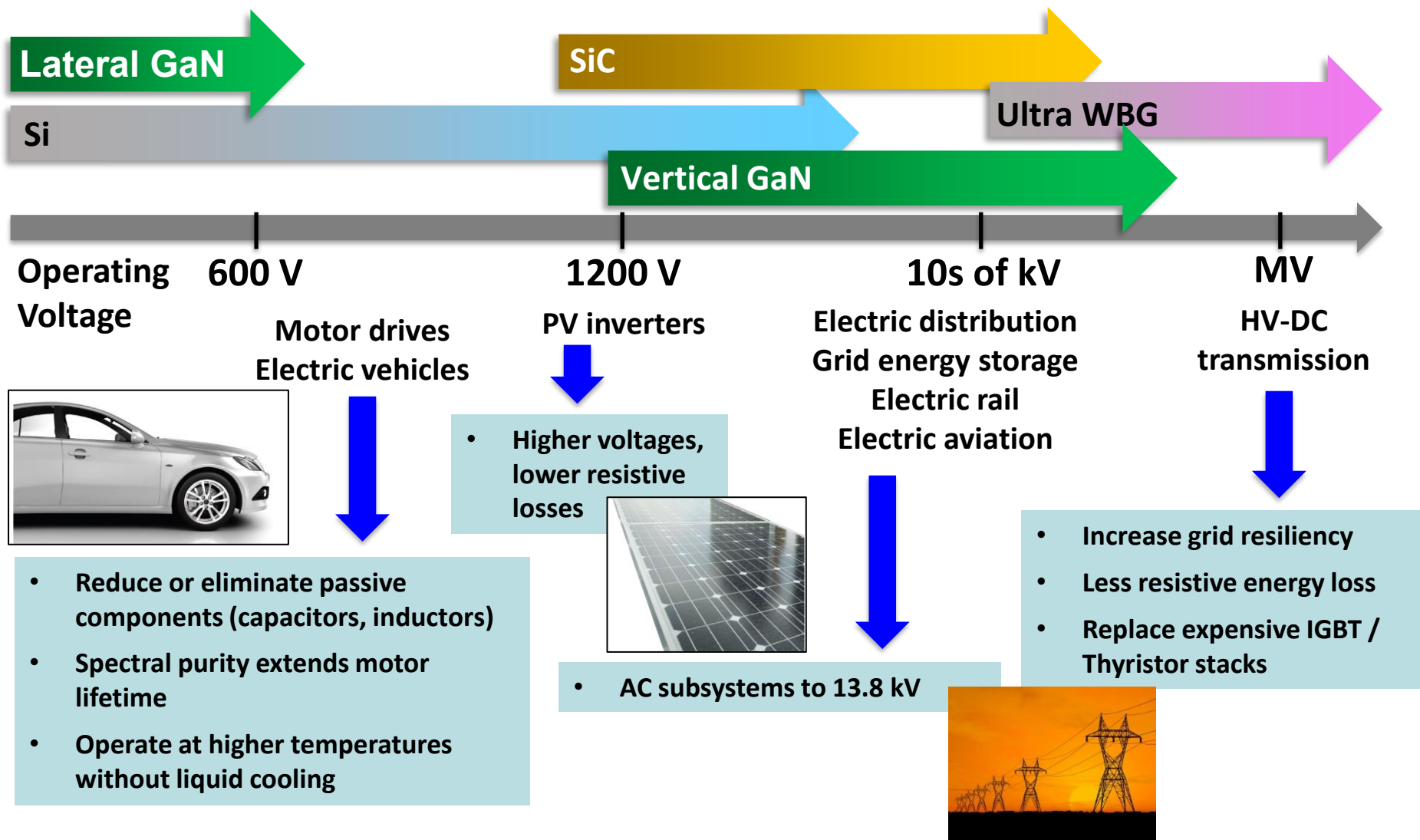
**Aviation: High T, vibration**



*UWBGs are expected to be robust under wide temperature ranges and radiation*



# Energy-Efficiency Applications



# Radio-Frequency Applications

Phased Array Radar

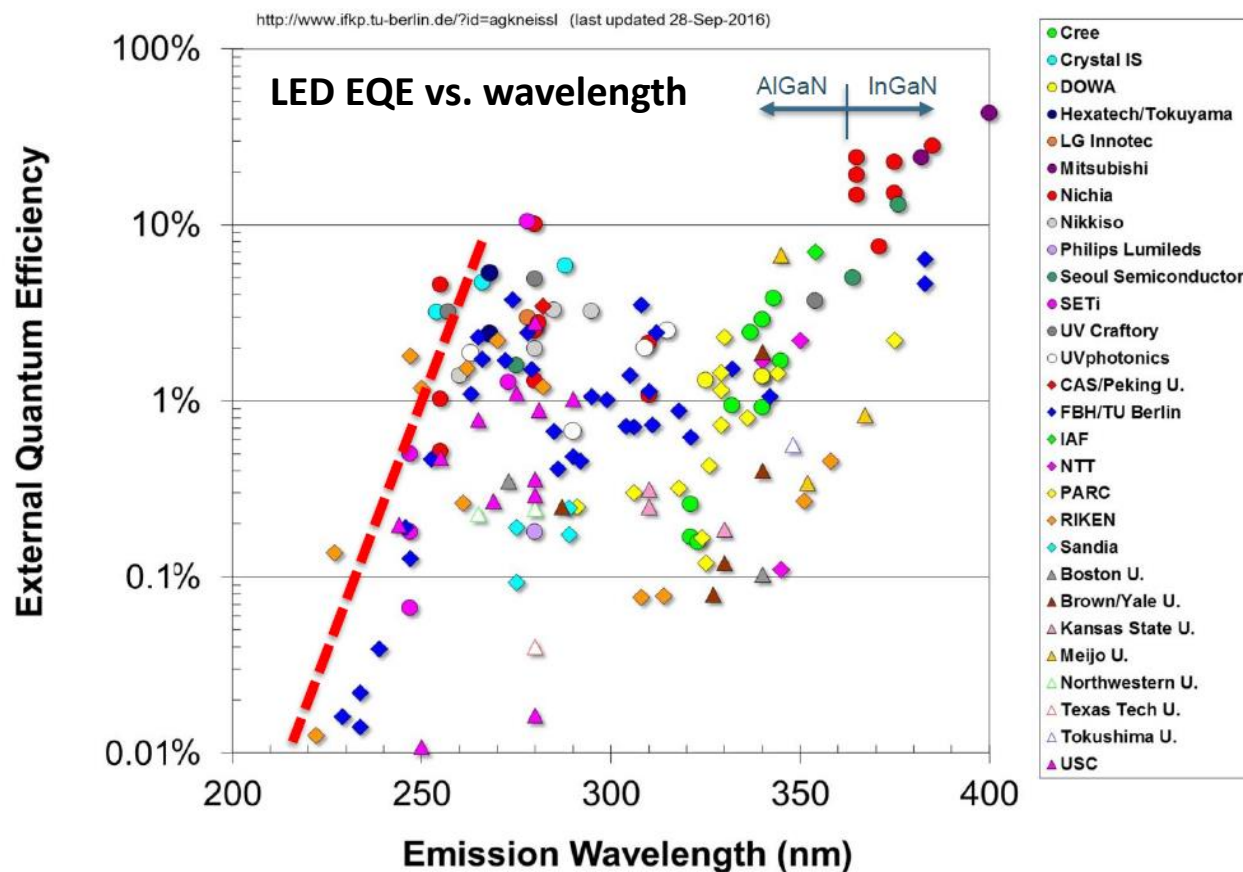


- More powerful radar has longer range
- Beam can be focused down to interact with incoming target's guidance electronics
- Can be used in smaller directed energy applications to heat opponent's skin
- Can use as a high-power microwave system to locally affect operation of electronics

K. Jones, ARL



# Ultraviolet Optoelectronics



Rapid drop in efficiency for  $\lambda < 250\text{nm}$   
due to orientation-dependent TE/TM polarization switching

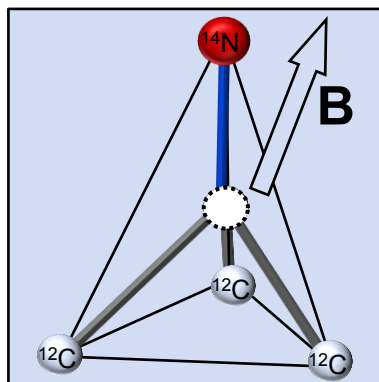
## Applications:

- Water purification
- Bio-agent detection
- Non-line-of-sight communication
- Solar-blind detectors

N. Johnson, PARC



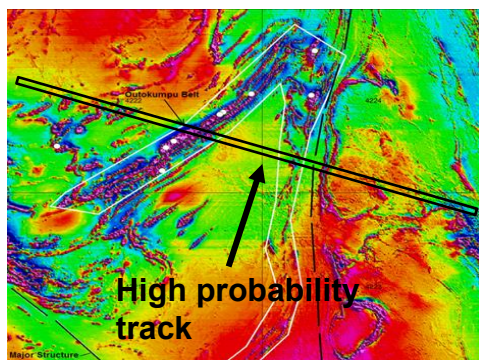
# Quantum, Sensing, Navigation, and Other Applications of UWBG Materials



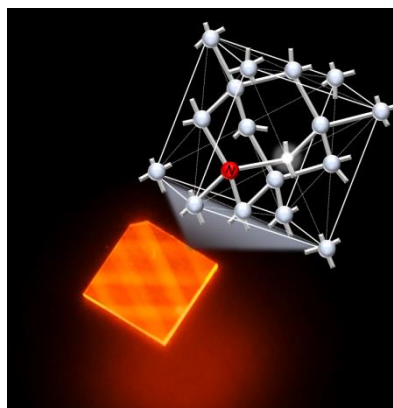
## (N<sup>+</sup> Vacancy) in Diamond

- Fine/hyperfine structure of N<sup>+</sup> Vacancy complex in diamond is ultrasensitive to B & E fields
- Optical readout
- Many applications: Navigation, medical/biological imaging, anomaly detection, quantum systems, etc.
- h-BN also being studied

## Magnetic Navigation



## Quantum Systems



## Parameters for Linear Optical Quantum Computing

| Parameter                          | Reported/Demonstrated |                 |                      | Required Value |
|------------------------------------|-----------------------|-----------------|----------------------|----------------|
|                                    | Value                 | Wavelength [nm] | Material             |                |
| Optical Loss                       | 8 dB/cm               | 640             | AlN/SiO <sub>2</sub> | ~2 dB/cm       |
| Linear Electro-Optic Coefficient   | 0.7 pm/V              | 628             | AlN/SiO <sub>2</sub> | ~1 pm/V        |
| Single-Photon Emission Efficiency  | 72%                   | 920             | GaAs/InAs            | 99%            |
| Maximum Quantum Emitter Count Rate | 4x10 <sup>6</sup>     | 625             | h-BN                 |                |
| Photodetector Detection Efficiency | 93%                   | 1500            | WSi super-conductor  | 99%            |
| Isotope Purity                     | 99.99%                |                 | Diamond              | 99.99%         |

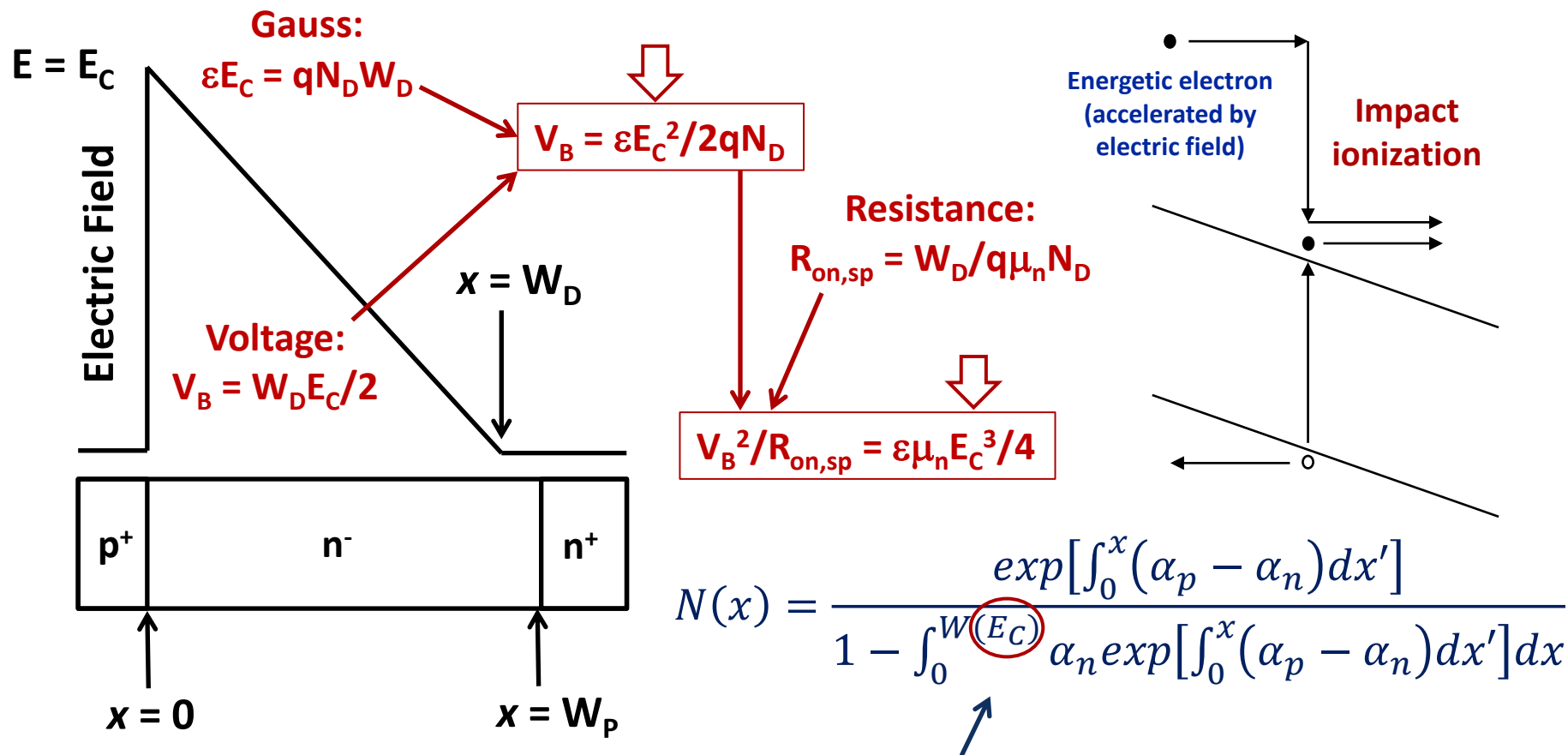
- The applications of UWBGs are many, and new toolkits are required to exploit them

M. Hollis, MIT-LL

# Outline

- Motivation: Applications of UWBGs
- **UWBG Properties**
- Sandia AlGaN Devices:
  - Power Electronics
  - Radio Frequency
  - High-T Logic
  - Optoelectronics
- UWBG Community Activities

# Definition of Critical Electric Field and Unipolar Figure of Merit



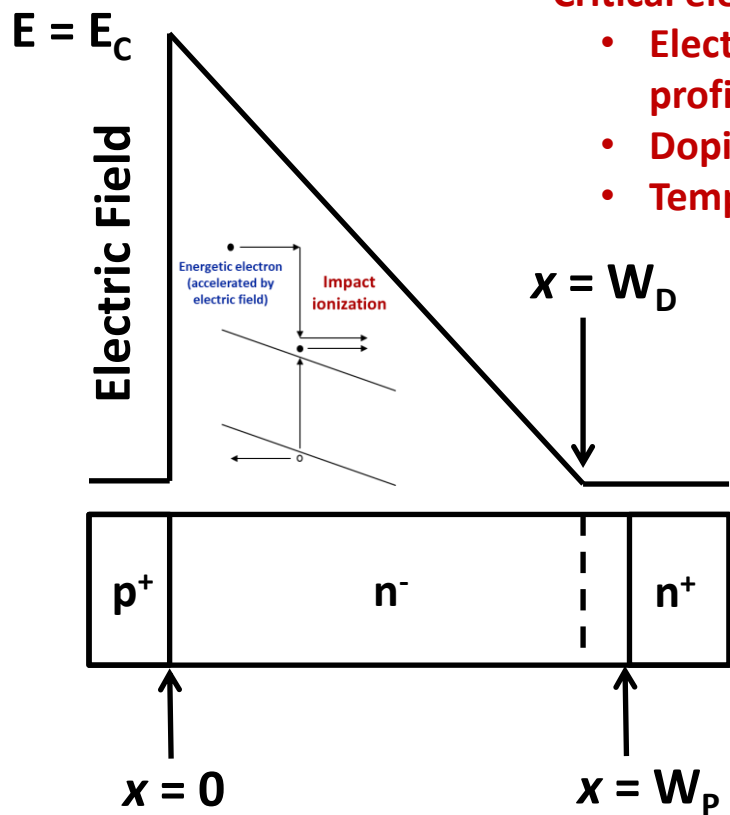
Avalanche occurs when denominator approaches zero

*The critical field is defined as the maximum electric field that leads to avalanche breakdown in a 1D analytical model*

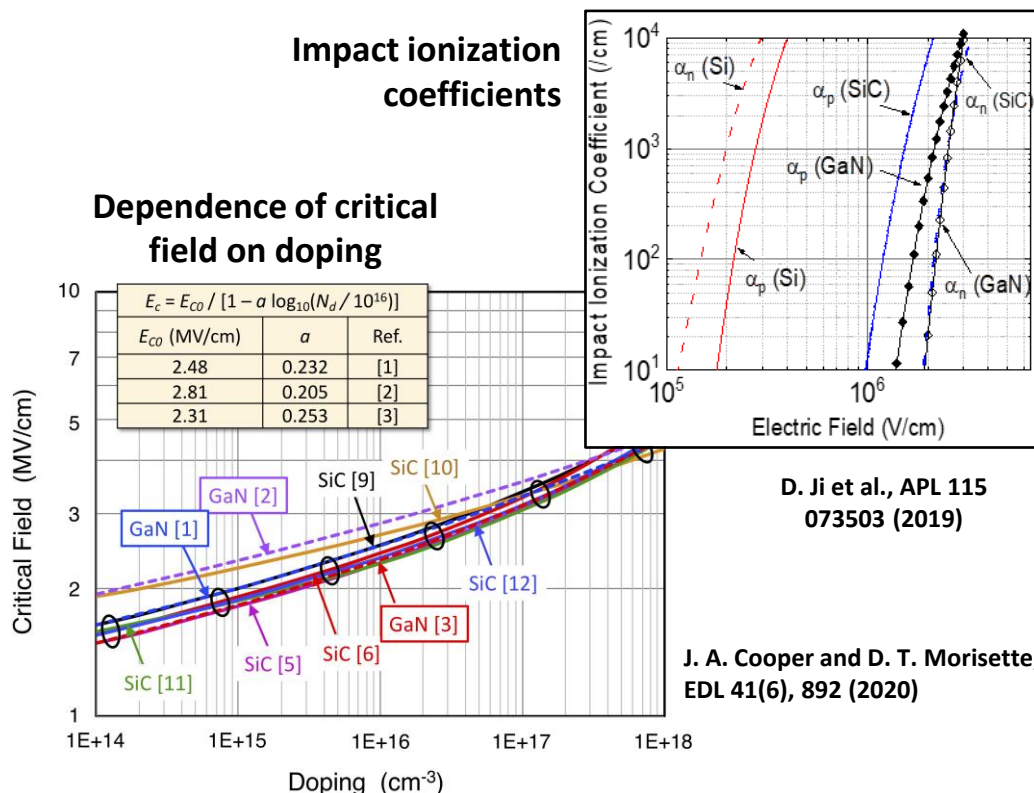
# Critical Electric Field Is Not Constant

Critical electric field depends on:

- Electric field profile ( $E_c$  is formally defined for triangular field profile in non-punch-through drift region)
- Doping (affects field profile and ionization integral)
- Temperature (phonon scattering competes with impact ionization)



The critical electric field is defined as the peak electric field that leads to avalanche breakdown in a 1D model

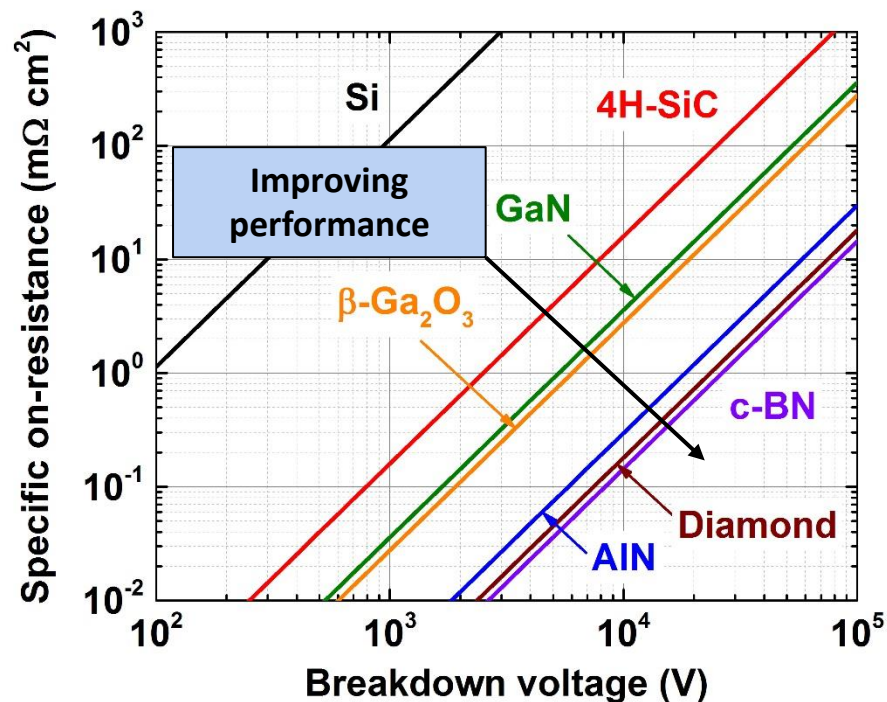
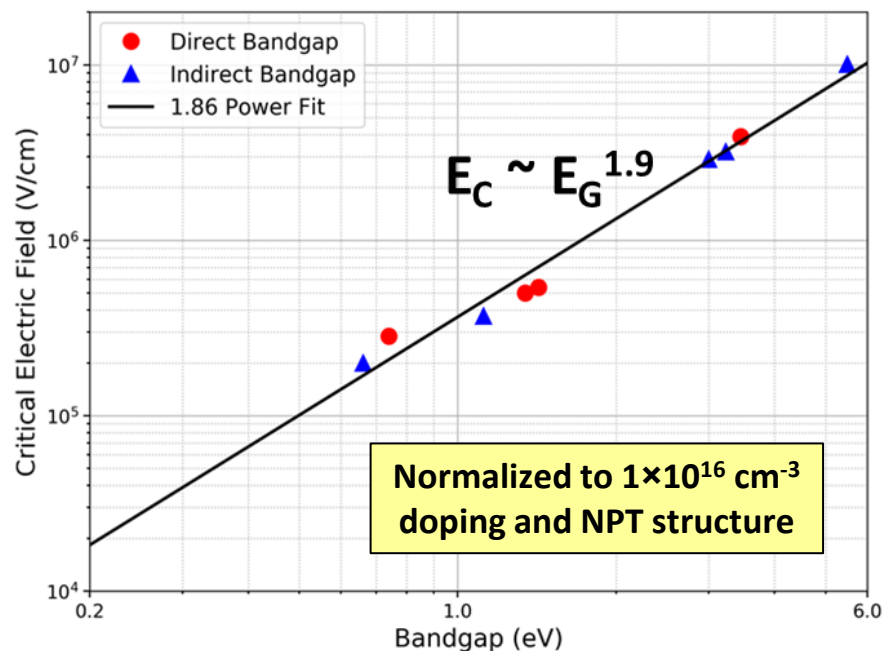


D. Ji et al., APL 115 073503 (2019)

J. A. Cooper and D. T. Morisette, EDL 41(6), 892 (2020)



# Critical Electric Field Scales with Bandgap and Determines Figure of Merit

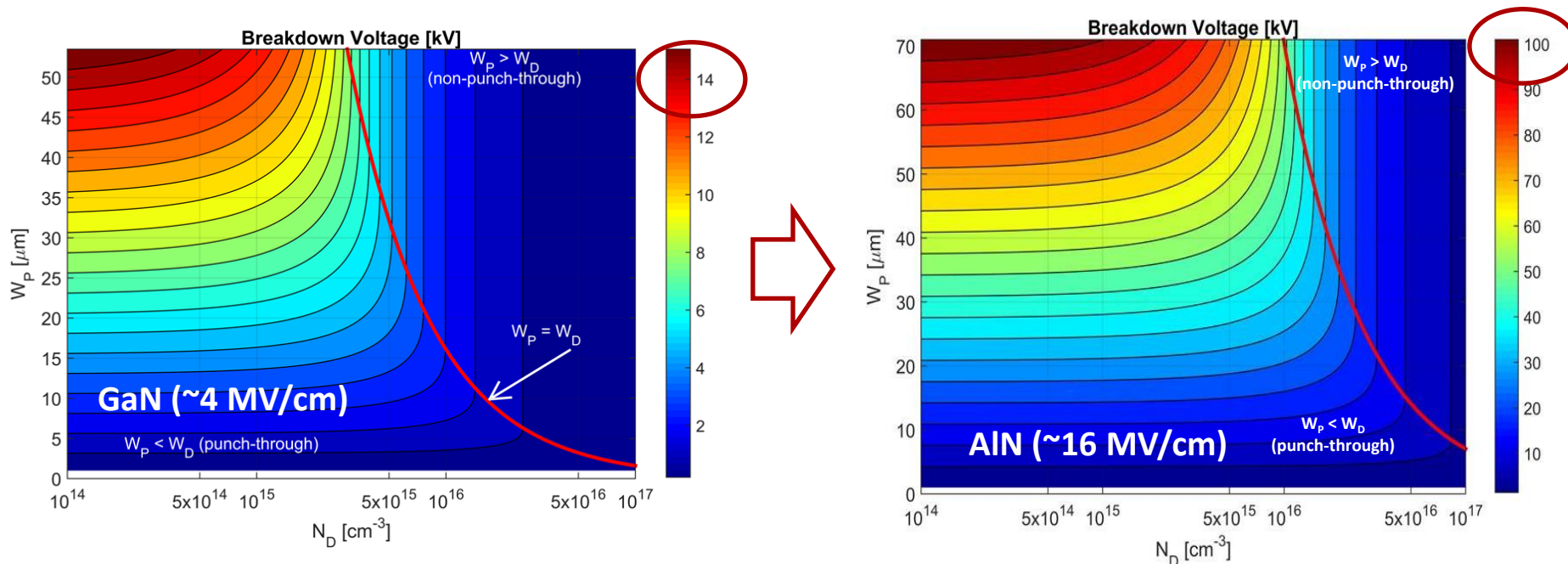


Vertical unipolar device:

$$\text{UFOM} = V_B^2 / R_{\text{on,sp}} = \epsilon \mu_n E_C^3 / 4$$

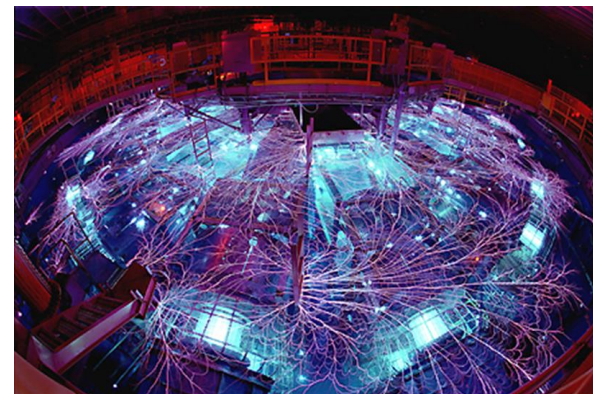
R. Kaplar et al., ECS (2019); J. Y. Tsao et al., *Adv. Elec. Mat.* 4, 1600501 (2018)

# Very High Breakdown Voltage May Be Possible with UWBGs



Increasing  $E_c$  may significantly increase  $V_B$

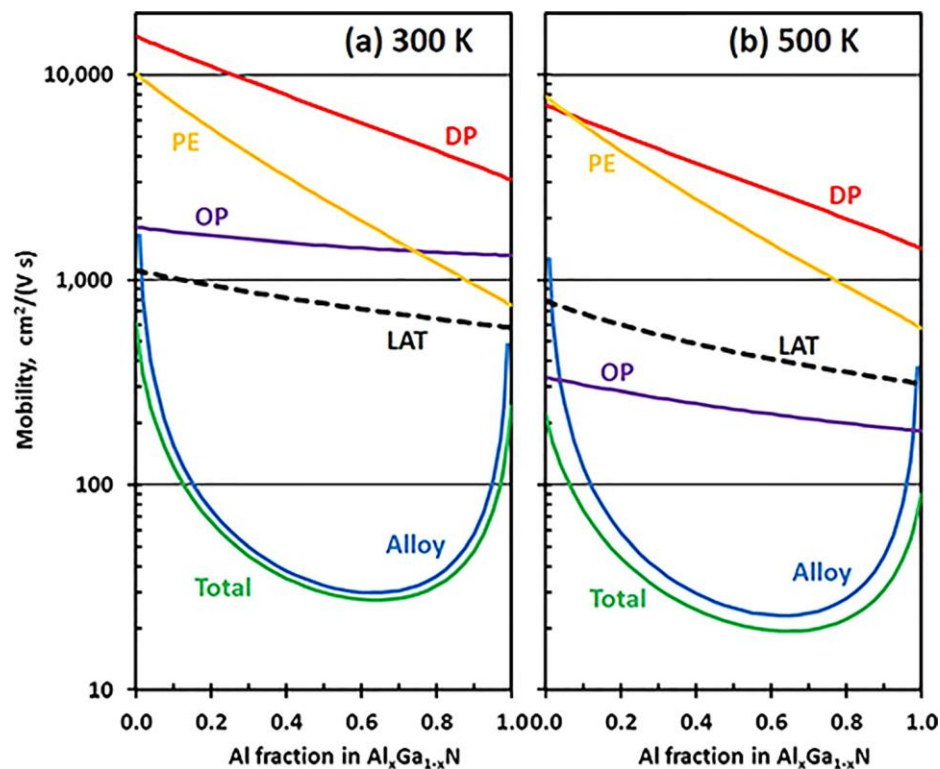
- 100 kV device may be possible using materials like AlN
- But low doping and thick drift layers are also required



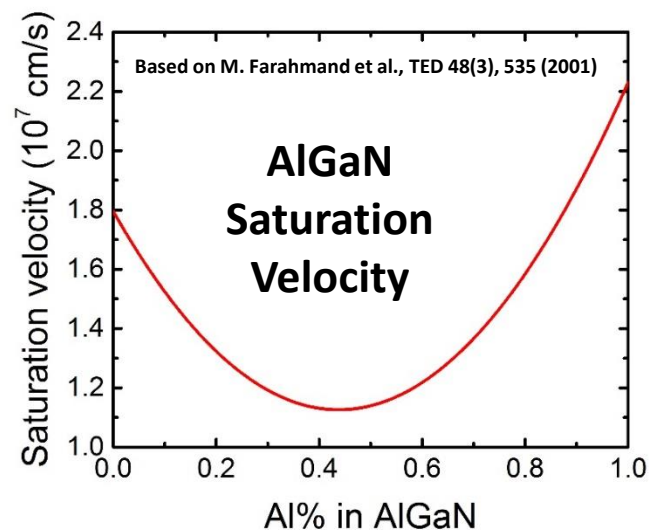
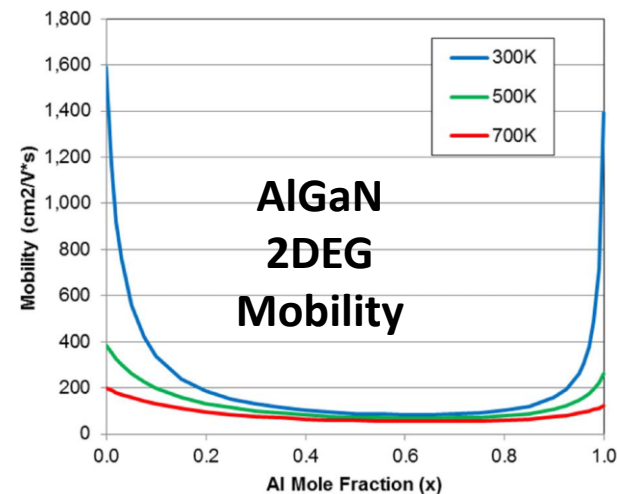


# Transport in UWBGs

## AlGa<sub>x</sub>N Bulk Mobility

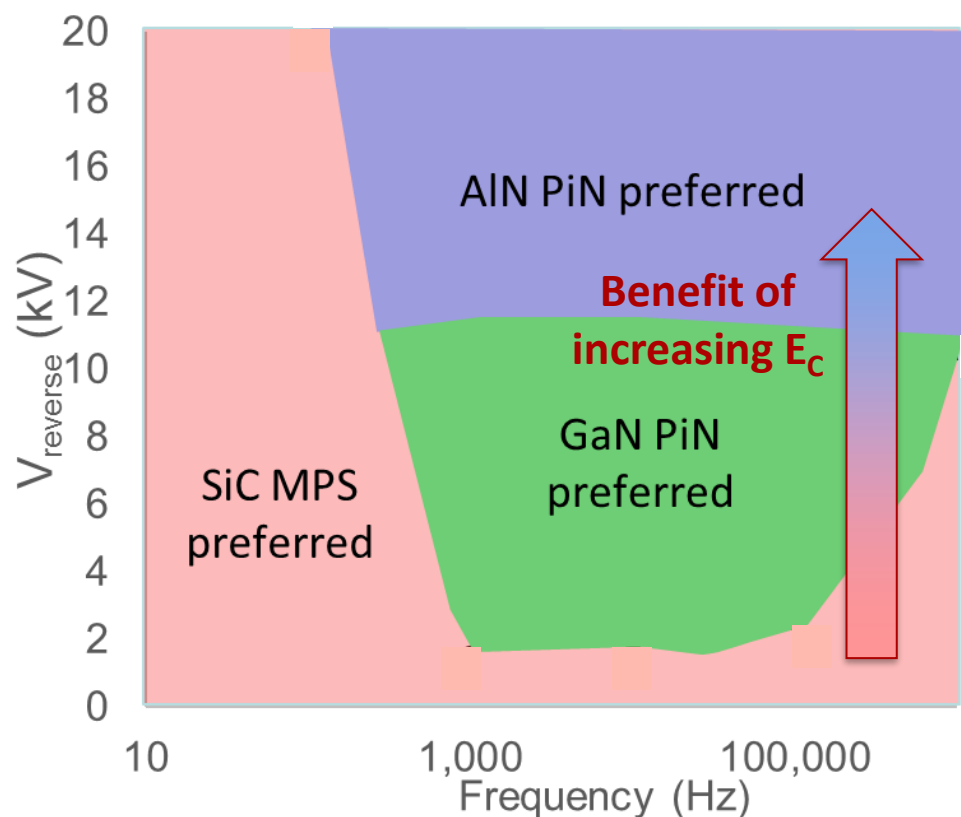


- Alloy scattering dominates low-field transport in AlGa<sub>x</sub>N
- Results in weak temperature dependence

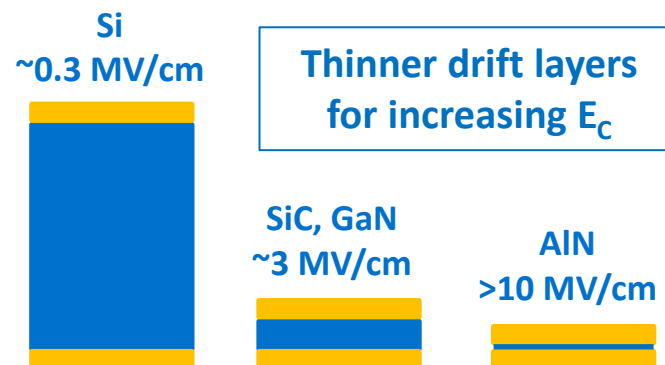


M. E. Coltrin and R. J. Kaplar, J. Appl. Phys. 121, 055706 (2017); M. E. Coltrin, A. G. Baca, and R. J. Kaplar, ECS J. Solid-State Sci. Tech. 6 (11), S3114 (2017)

# Analysis of WBG/UWBG Power Switching Application Ranges



300 K, 50% duty cycle, 500 A/cm<sup>2</sup>



- GaN and AlN preferred at high voltages over mid-frequency range
  - Benefit of higher  $E_c$
  - Not as beneficial at low and high frequency (low conductivity modulation and increasing reverse recovery)
- Examined PiN diodes since peak field is buried below surface
  - Part of more advanced devices
  - Also must consider Schottky

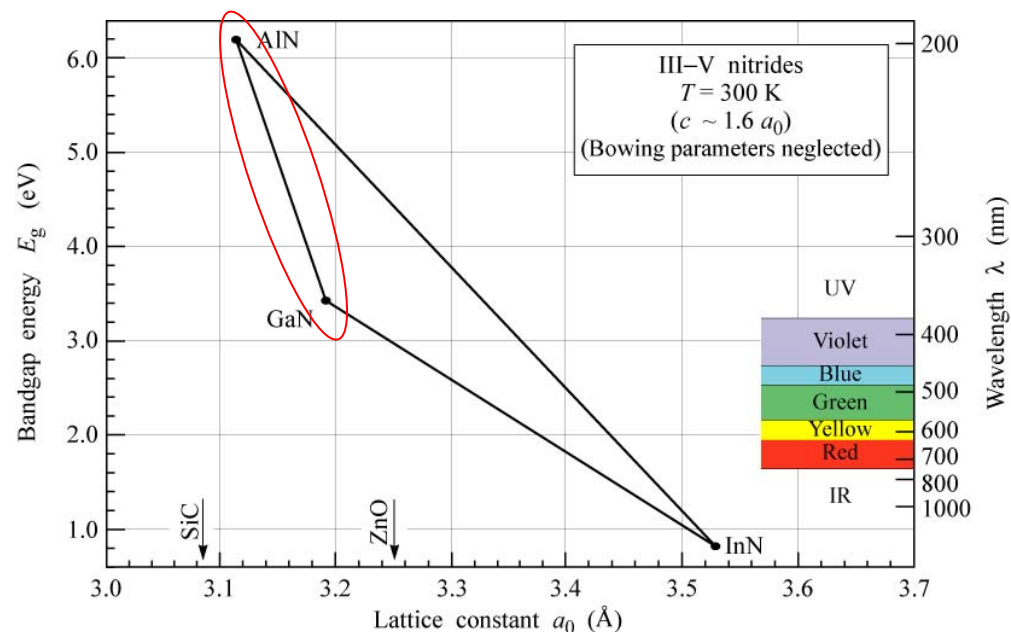
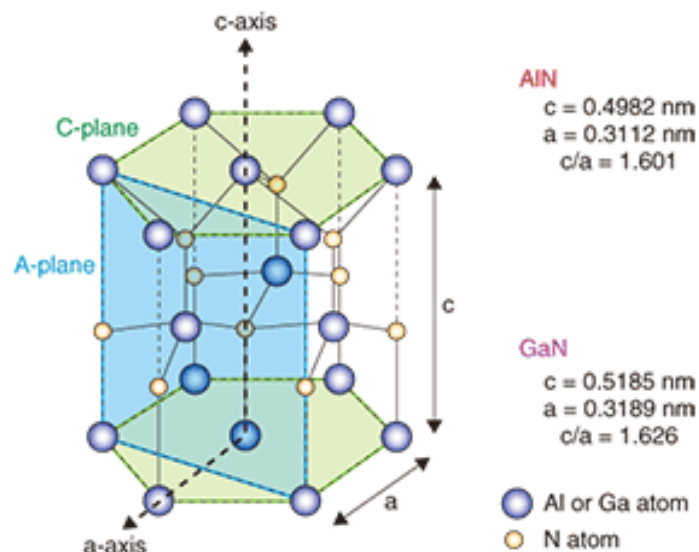
J. Flicker et al., WiPDA 2017

Analysis based on method in Morissette and Cooper, TED 49(9), 1657 (2002)

# Outline

- Motivation: Applications of UWBGs
- UWBG Properties
- **Sandia AlGaN Devices:**
  - **Power Electronics**
  - Radio Frequency
  - High-T Logic
  - Optoelectronics
- UWBG Community Activities

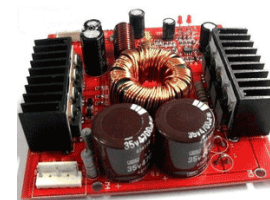
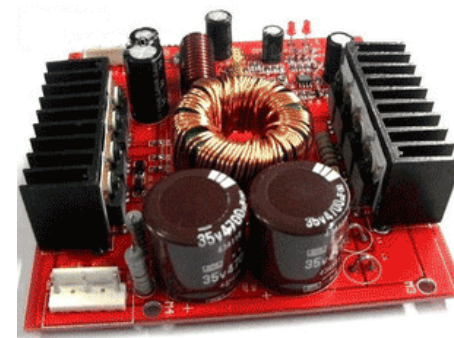
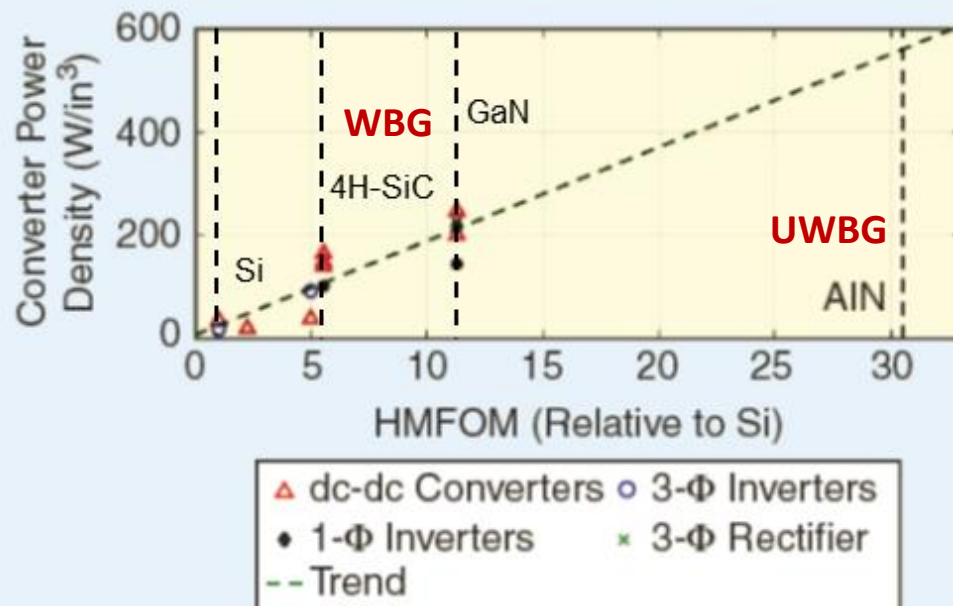
# Material Properties of AlGaN



| Material                             | $E_g$ (eV) | $E_{\text{crit}}$<br>( $\times 10^6 \text{ V/cm}$ ) | $v_{\text{sat}}$<br>( $\times 10^7 \text{ cm/s}$ ) | Mobility<br>( $\text{cm}^2/\text{V s}$ ) | Thermal<br>Conductivity<br>( $\text{W/m}\cdot\text{K}$ ) |
|--------------------------------------|------------|---|--|--|--|
| AlN                                  | 6.0        | 15.4  | 1.3  | 426                                      | 319  |
| $\text{Al}_x\text{Ga}_{1-x}\text{N}$ | 5.0-6.0    | 13.5-15.4   | Interpolation                                      | ~150-400                                 | Interpolation  |
| GaN                                  | 3.4        | 4.9   | 1.4  | 1000                                     | 253  |
| $\beta\text{-Ga}_2\text{O}_3$        | 4.9        | 10.3  | 1.1  | 180                                      | 11-27  |
| Diamond                              | 5.5        | 13.0  | 1.9-2.3  | 4500-7300                                | 2290   |
| SiC                                  | 3.3        | 2.5   | 2.0  | 1000                                     | 370  |



# Power Density Scaling with Semiconductor Material Properties



Si

WBG

UWBG

## Relative Figures of Merit:

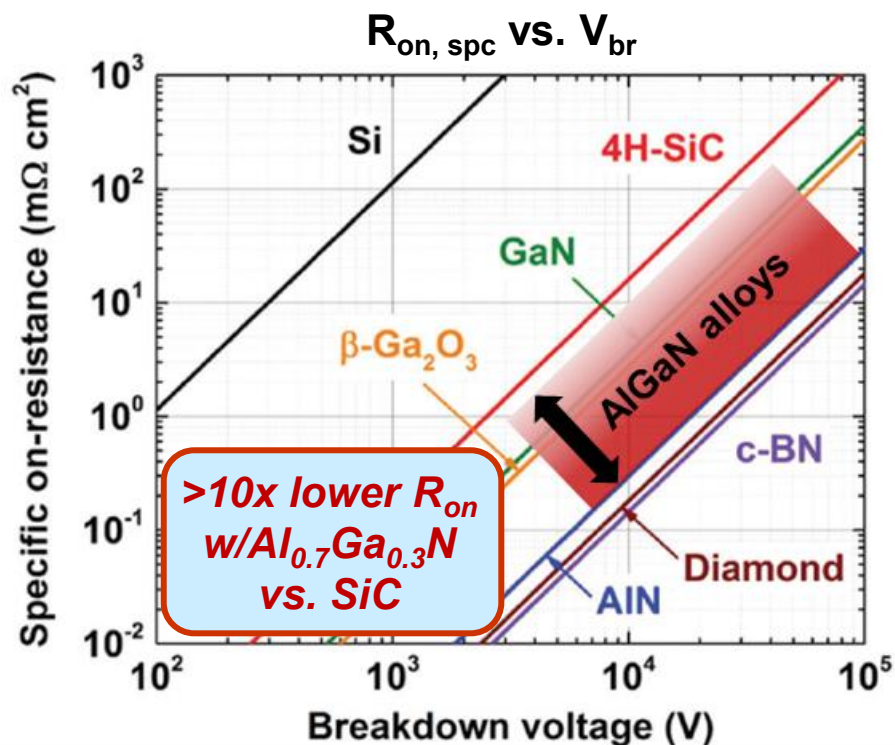
- Vertical UFOM =  $\epsilon\mu_n E_C^3$
- Huang Material FOM =  $E_C\mu_n^{1/2}$
- HM-FOM seems to be a good predictor of power density

R. J. Kaplar, J. C. Neely, et al., *IEEE Power Electronics Magazine* (March 2017)

# Why AlGaN Alloys for Power Electronics?

## Unipolar Figure of Merit (vertical devices)

$$UFOM = \frac{V_{br}^2}{R_{on,sp}} = \frac{1}{4} \epsilon \mu E_C^3 \propto E_g^{7.5}$$



Tsao, Adv. Electron. Mater. 2018, 4, 1600501

A. Allerman, SNL

➔ **Order of magnitude increase in system performance using UWBG power devices**

- Lower conduction loss
- Higher switching frequency
- Higher power density
- Higher temperature operation
- Fewer parts ➔ higher reliability
- Increased radiation tolerance

➔ **AlGaN is strong candidate UWBG semiconductor for next gen power devices**

- $\text{Ga}_2\text{O}_3$  – No p-type, poor TC, alloys?
- Diamond – Separate reactors for n & p, poor n-type, no alloys
- cBN – Phase impurity, doping?, alloys?, conducting substrate?

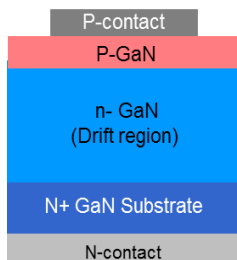
### AlGaN alloys:

- Polarization fields, n & p doping
- **Heterostructures!** (2000 Nobel Prize)

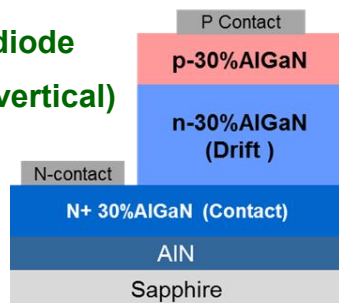


# Breakdown Voltages Reported for III-Nitride PN Diodes

GaN diode  
(vertical)



AlGaN diode  
(Quasi-vertical)



## III-N PN diodes with > 3 kV breakdown voltage

| Breakdown (kV) | No (cm <sup>-3</sup> ) | Drift (μm) | Material | Group       | Ref  |
|----------------|------------------------|------------|----------|-------------|--|
| 4.7/5.0        | 2/9/16e15              | 33         | GaN      | Hosei Univ. | EDL 36p1180_2015/Jpn J Appl Phys 57 (2018) |
| 4.0            | 2-5e15                 | 40         | GaN      | Avogy       | EDL 36p1073_2015                           |
| 3.9            | 3e15                   | 30         | GaN      | Sandia      | EL 52p1170_2016                            |
| 3.7            | 5e15                   | >30        | GaN      | Avogy       | EDL 35p247_2014                            |
| 3.48           | 1/3/12e15              | 32         | GaN      | Hosei Univ. | IEDM15-237_2015                            |
| >3             | 0.8-3e16               | 11         | 30%-AGaN | Sandia      | This work                                  |
| 3.0            | 0.8-3e16               | 9          | 30%-AGaN | Sandia      | This work                                  |
| 3.0            | 1/10e15                | 20         | GaN      | Hitachi     | Jpn J Appl Phys 52 p028007_2013            |

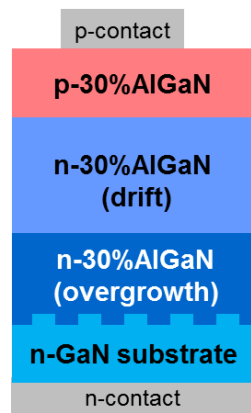
## Advantages of wide-bandgap III-Nitride

|                                    | GaN     | Al <sub>0.3</sub> Ga <sub>0.7</sub> N |  |
|------------------------------------|---------|---------------------------------------|--|
| N <sub>o</sub> (cm <sup>-3</sup> ) | low e15 | low e16                               | ] ← Larger E <sub>c</sub> (larger E <sub>g</sub> ) |
| Drift (μm)                         | 20-30   | ~10                                   |  |
| TDD (cm <sup>-2</sup> )            | ≤ 1e6   | low 1e9                               | ← Reverse leakage is still low                     |

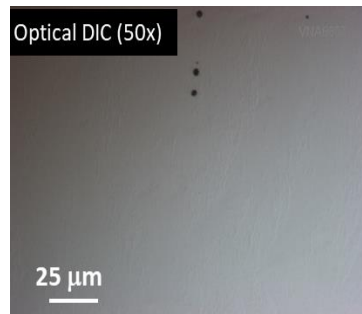
A. Allerman, SNL

# Vertical $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$ PN Diode on Conducting GaN Substrates

## Vertical PN diode in 30%AlGaN

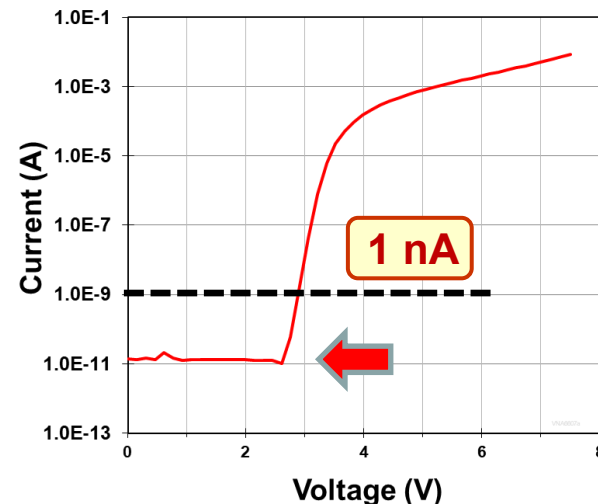


- Drift:  $t = 5.3 \mu\text{m}$   
 $N_o = 2-4 \times 10^{16} \text{ cm}^{-3}$
  - Overgrowth:  $t = 6.2 \mu\text{m}$
- Total :  $11.8 \mu\text{m}$

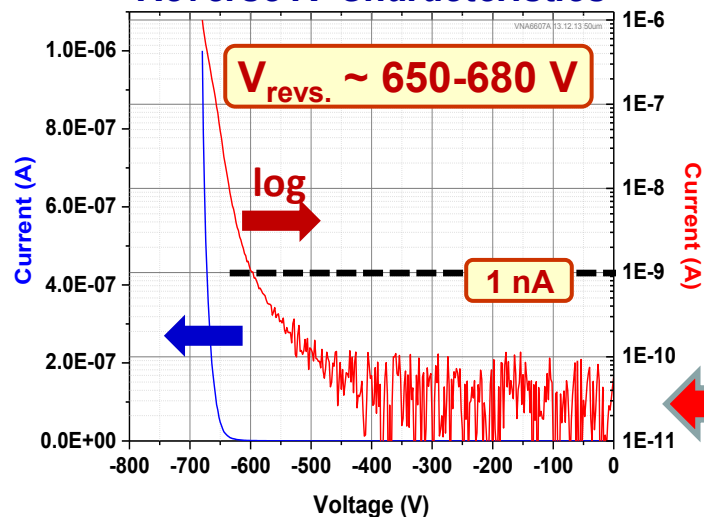


$$R_{\text{sp-on}} = 1 \text{ m}\Omega\text{-cm}^2$$

## Forward IV Characteristics



## Reverse IV Characteristics



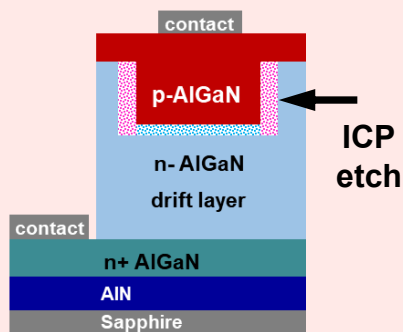
- ➔ Reverse voltage 650-680 V (@1uA)
- ➔ Low reverse current leakage
- ➔ Simple JTE for field control

$$I_{\text{revs.}} < 100 \text{ pA to 500V}$$

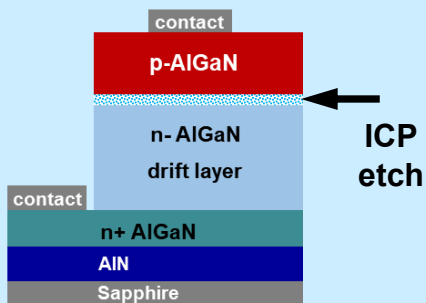
A. Allerman, SNL

# Selective-Area Regrowth of p-30% AlGaIn

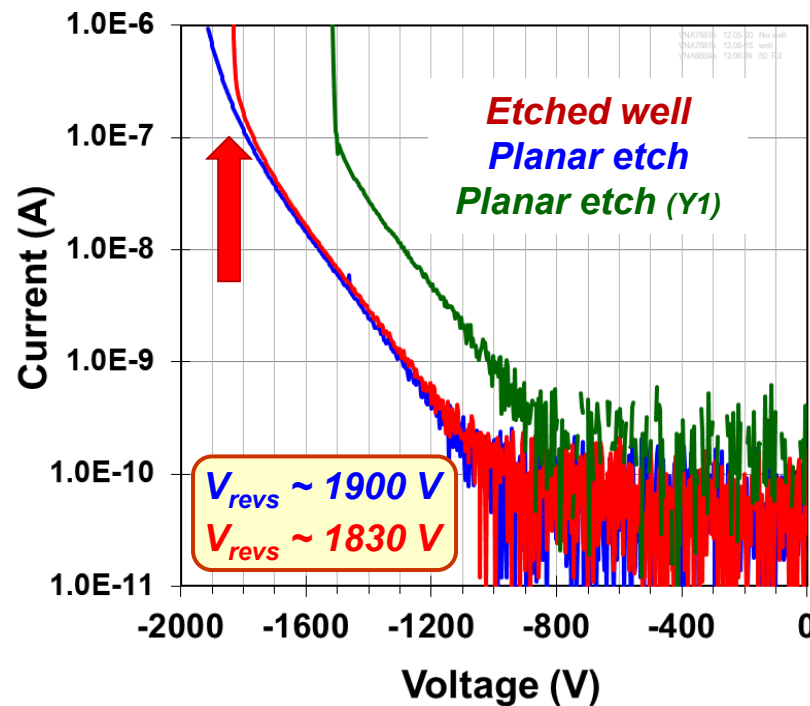
**P- anode regrowth in etched well in drift layer**



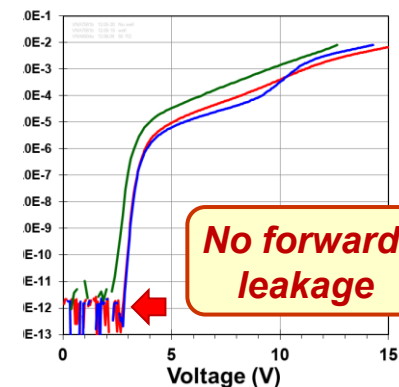
**P- anode regrowth on planar etch drift layer**



**Reverse IV**



**Forward IV**



Reverse leakage <  $10^{-10}$  A out to 1kV (noise floor)

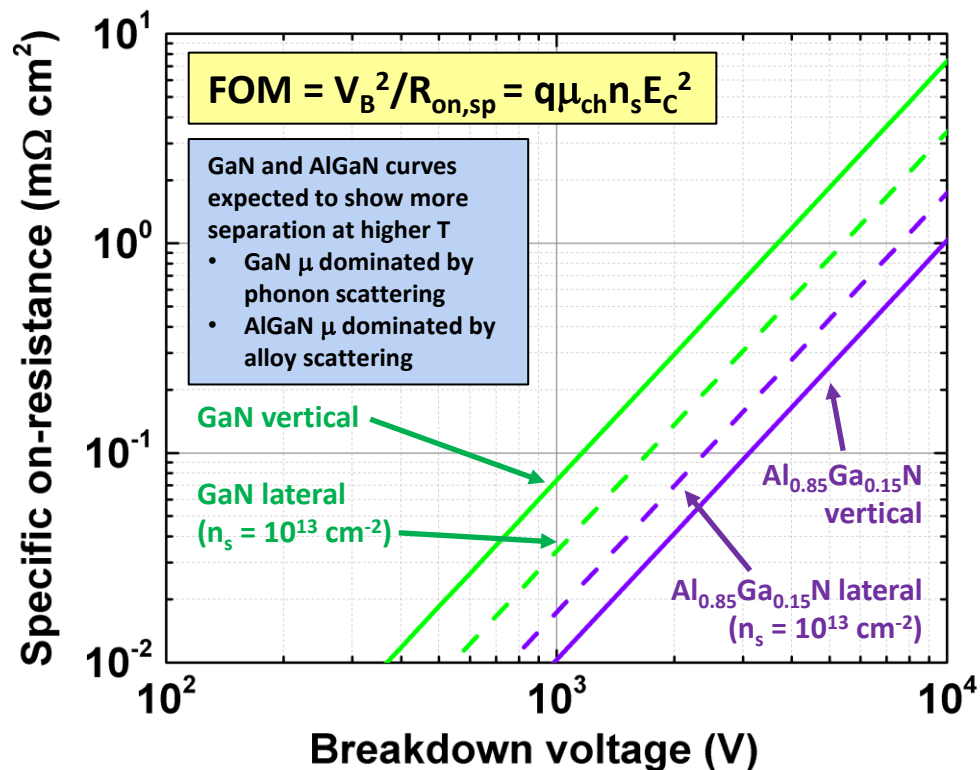
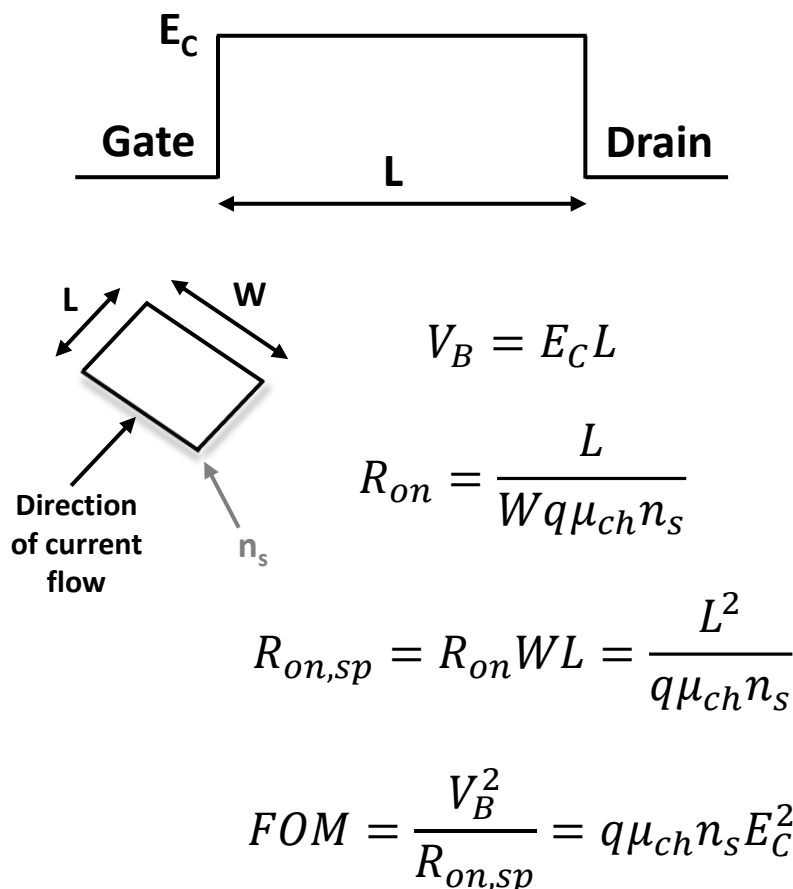
$$I_{rev} \sim 2e-6 \text{ A/cm}^2$$

- ➔ **NO difference between regrowth in etched well, planar etch and continuous growth!**
- ➔ **First III-N SArG PN junction equal to continuously grown PN junction**
- ➔ **Achieved foundational element for practical power devices: Junction Barrier Schottky and vertical-JFET**

A. Allerman, SNL

# Lateral Power Device Figure of Merit

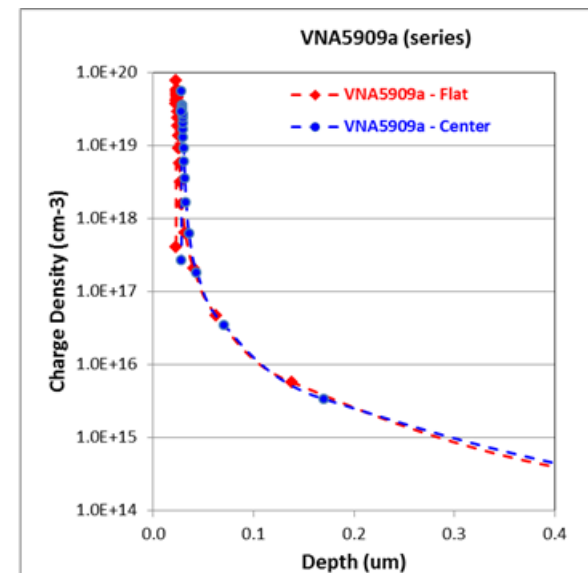
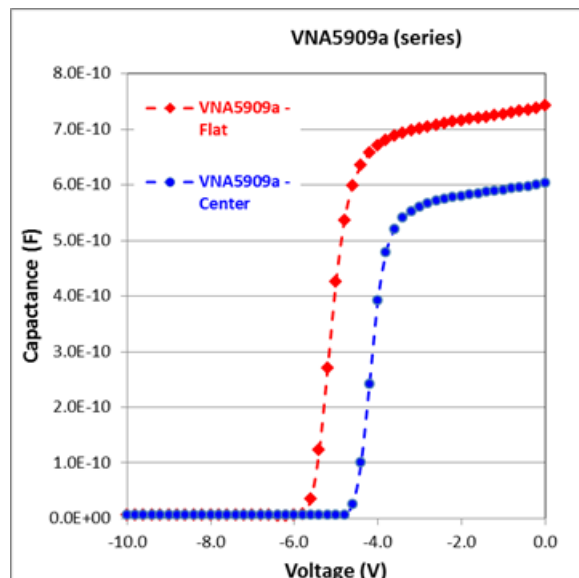
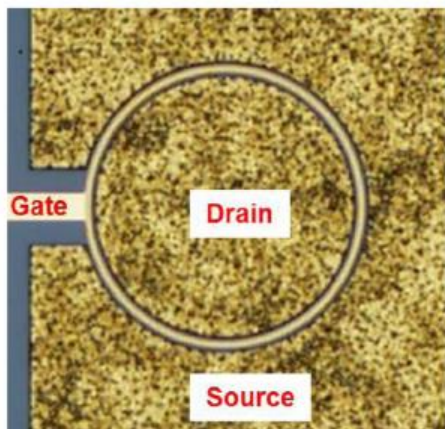
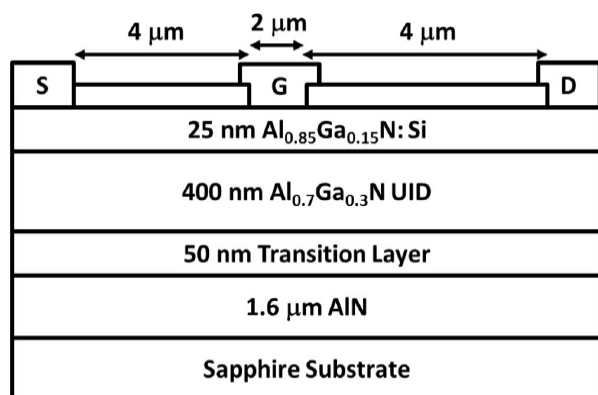
- Not as widely known as the unipolar FOM
- Unipolar (vertical) FOM is often incorrectly used for lateral devices



- Proportional to  $E_C^2$  rather than  $E_C^3$ , but high  $n_s$  can result in high FOM

# Sandia $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ UWBG HEMT Structure

## CV Characterization

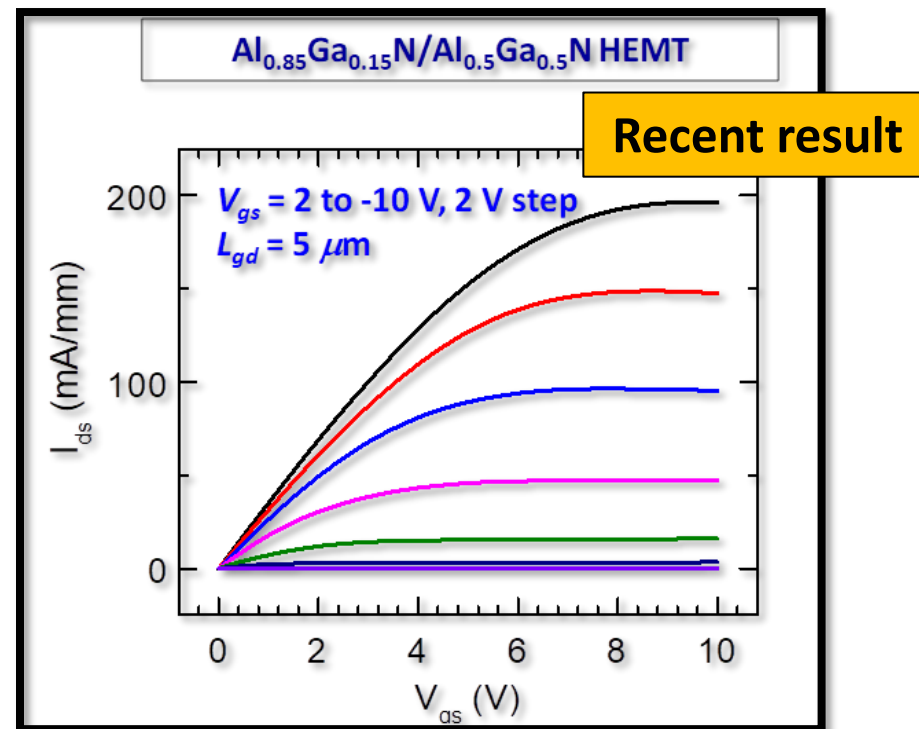
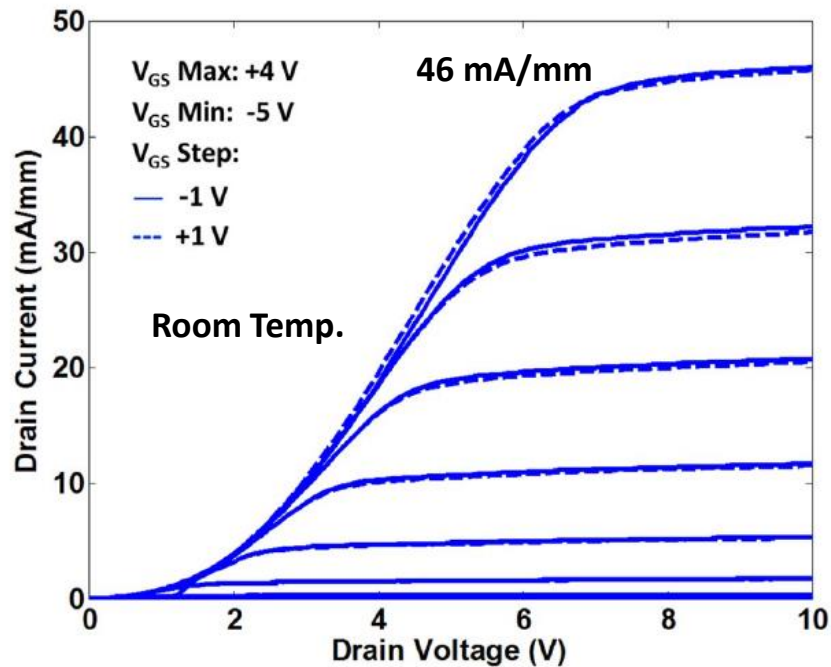


- MOCVD growth on sapphire substrate
- Planar source and drain contacts

- Sheet resistance: 2200  $\Omega/\square$
- Pinch-off voltage: -4.5 V (center)
- Sheet charge density:  $6 \times 10^{12} \text{ cm}^{-2}$
- Inferred mobility: 250  $\text{cm}^2/\text{Vs}$



# Electrical Characteristics of Al-Rich AlGaN HEMTs

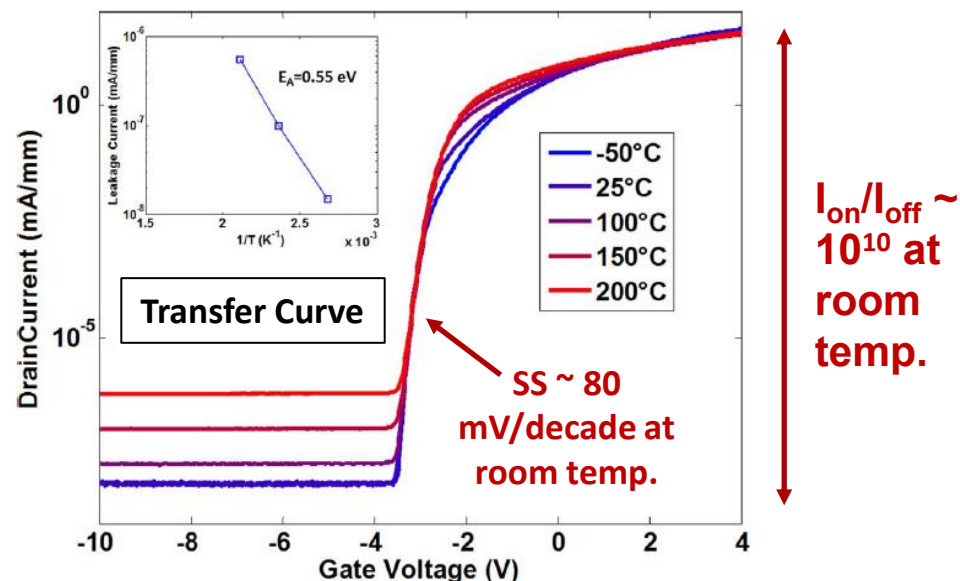
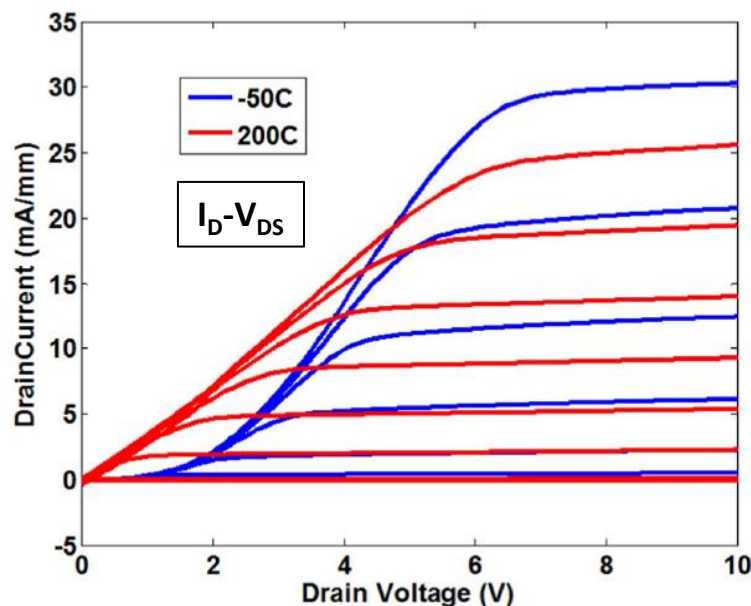


- Current density of 46 mA/mm (reasonable, but < 2x expected based on 2DEG density and mobility)
- Likely due to quasi-rectifying behavior in source and drain contacts

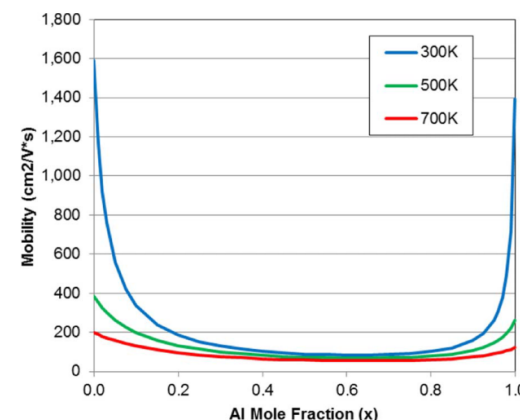
A. G. Baca et al., ECS J. Solid-State Sci. Tech. 6 (12), Q161 (2017)



# $\text{Al}_{0.85}\text{Ga}_{0.70}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT Operates Over Wide Temperature Range

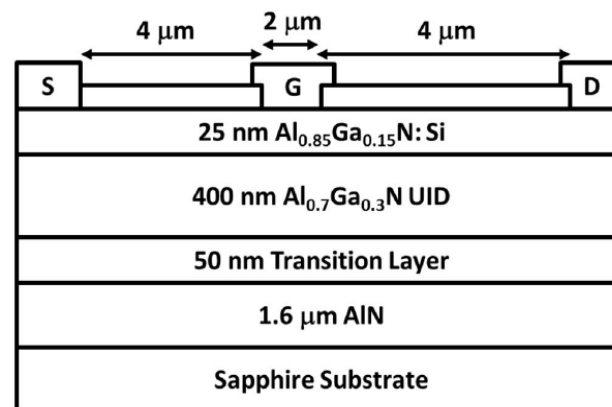
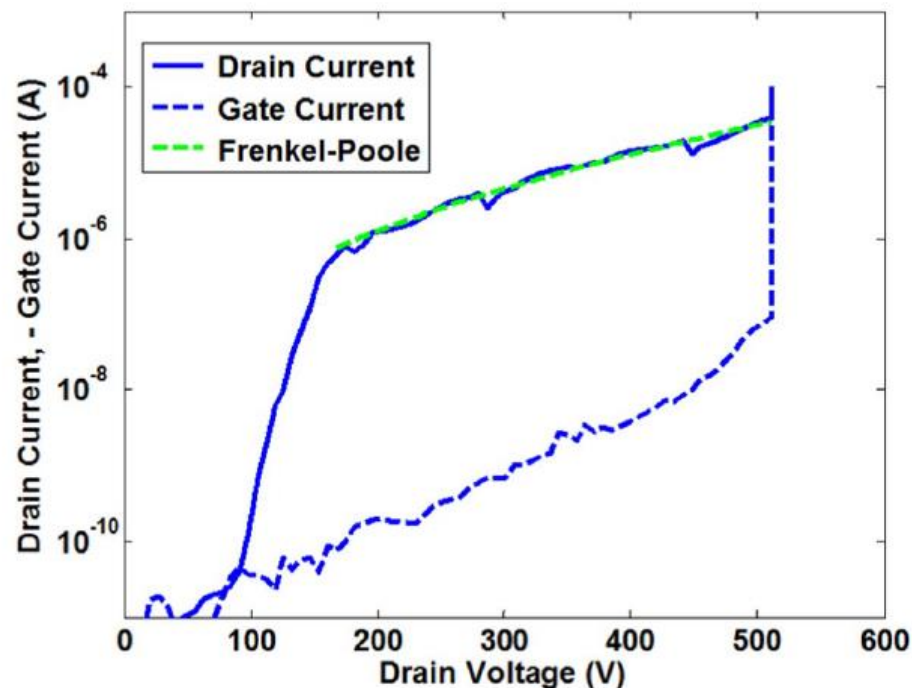


- Relatively weak dependence of performance on temperature
- Likely due to insensitivity of channel mobility to temperature
- Ohmic contacts improve at high temperature



Channel mobility  
vs. temperature  
for 2DEG in  
 $\text{AlGaN}/\text{AlGaN}$   
heterostructure

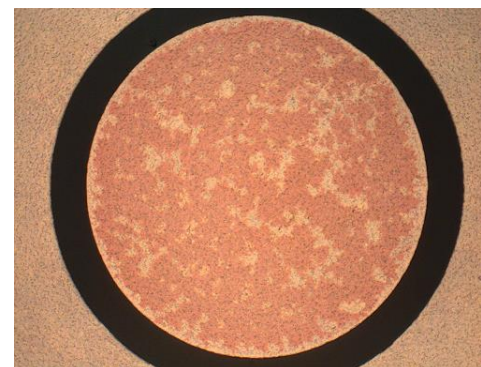
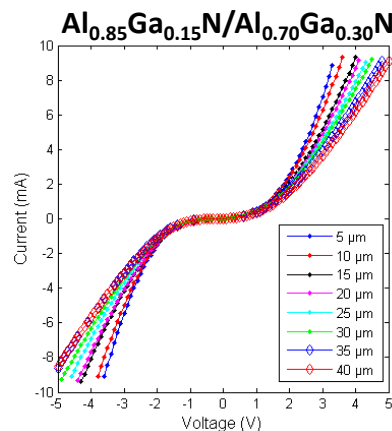
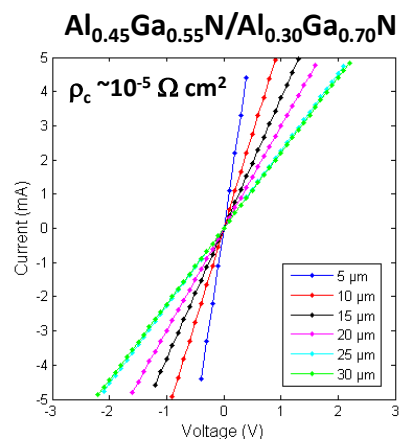
# Breakdown Voltage of $\text{Al}_{0.85}\text{Ga}_{0.70}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT



- Field plate with SiN dielectric
- Misalignment with a circular gate leads to  $L_{GD}(\text{actual}) < L_{GD}(\text{drawn})$
- $V_{br} = 511 \text{ V}$ 
  - $L_{GD} = 1.6\text{-}5.4 \mu\text{m}$
- Maximum breakdown field =  $320 \text{ V}/\mu\text{m}$ 
  - =  $3.2 \text{ MV}/\text{cm}$
  - Exceeds GaN HEMT typical breakdown field  $\approx 100 \text{ V}/\mu\text{m}$
- Drain current can be fit with Poole-Frenkel model

A. G. Baca et al., ECS J. Solid-State Sci. Tech. 6 (12), Q161 (2017)

# Ohmic Contact Development for Al-Rich AlGaTaN HEMTs

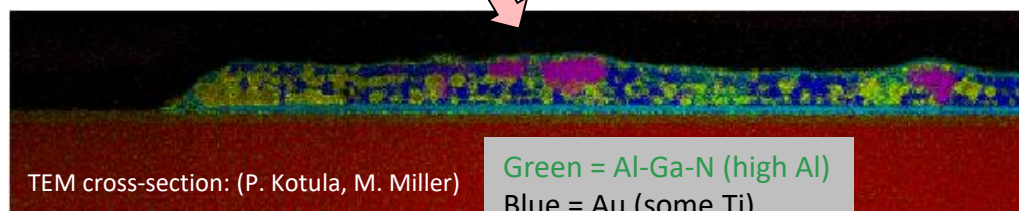


|                 |
|-----------------|
| Au 50 nm        |
| Ni 15 nm        |
| Al 100 nm       |
| Ti 25 nm        |
| AlGaN/Substrate |

900°C anneal

|  |
|--|
| 50 nm $\text{Al}_{0.45}\text{Ga}_{0.55}\text{N}$ |
| 4.15 mm $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$ |
| 1.6 mm AlN                                       |
| Sapphire Substrate                               |

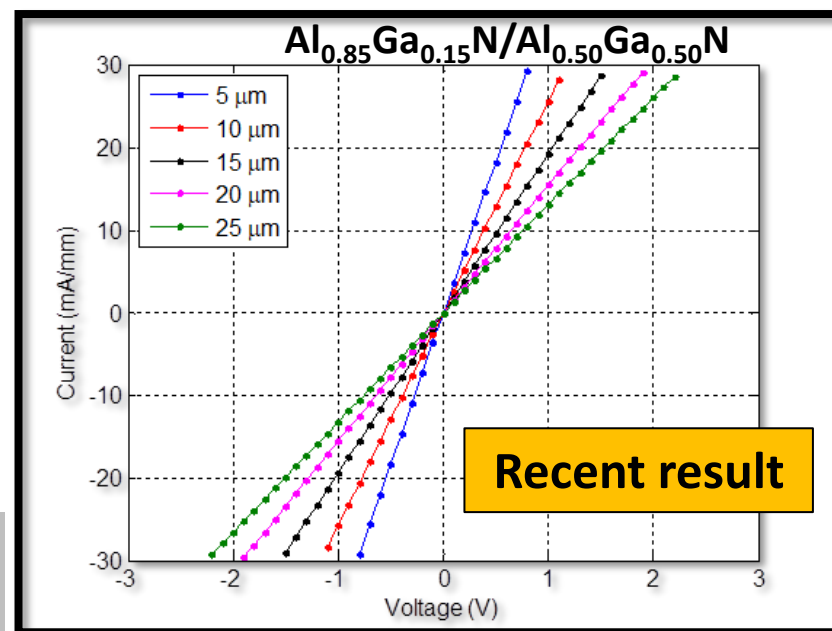
|  |
|--|
| 25 nm $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}$ |
| 400 nm $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$  |
| Graded Layer 50 nm                               |
| 2.9 mm AlN                                       |
| Sapphire Substrate                               |



TEM cross-section: (P. Kotula, M. Miller)

Green = Al-Ga-N (high Al)  
Blue = Au (some Ti)  
Cyan = Ti-Au-Al

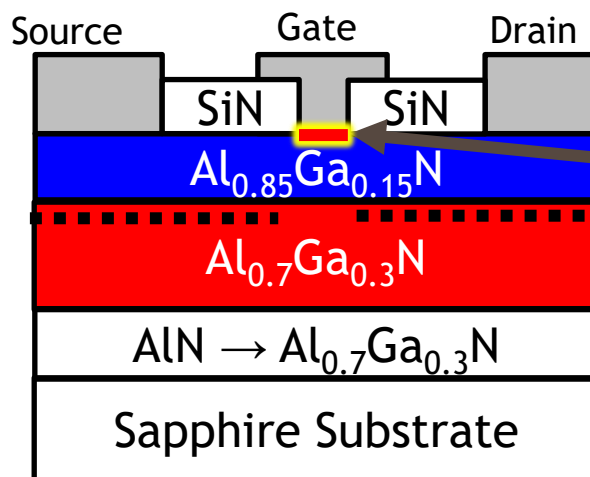
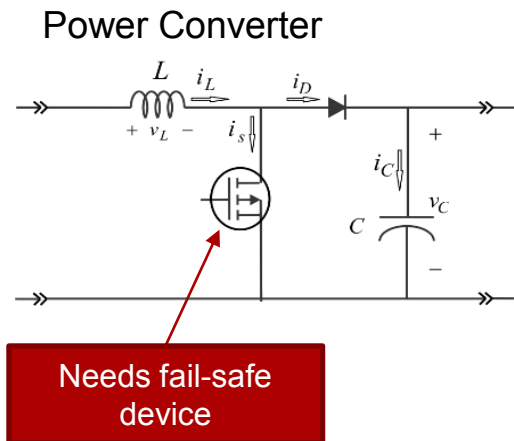
Magenta = Ni-Al  
Yellow = Al-O  
Red = Al-Ga-N (low Al)



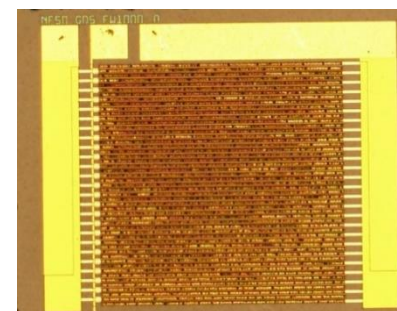
Recent result

B. Klein, SNL

# Enhancement-Mode AlGaN Power Transistor

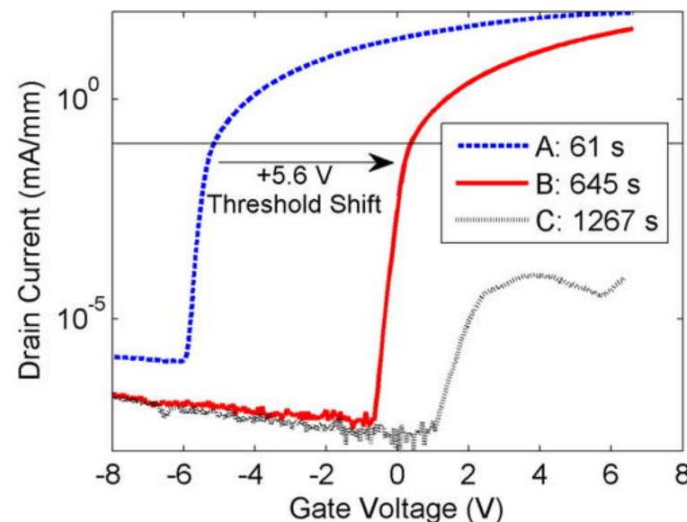


Plasma treatment and recess etching



50 mm enhancement mode AlGaN transistor

- Developed enhancement-mode AlGaN device for fail-safe power conversion applications
- +1.5 V gate threshold voltage achieved
- Evidence that plasma treatment and recess etching does not degrade HEMT stability



A. Armstrong, B. Klein, A. Allerman, SNL

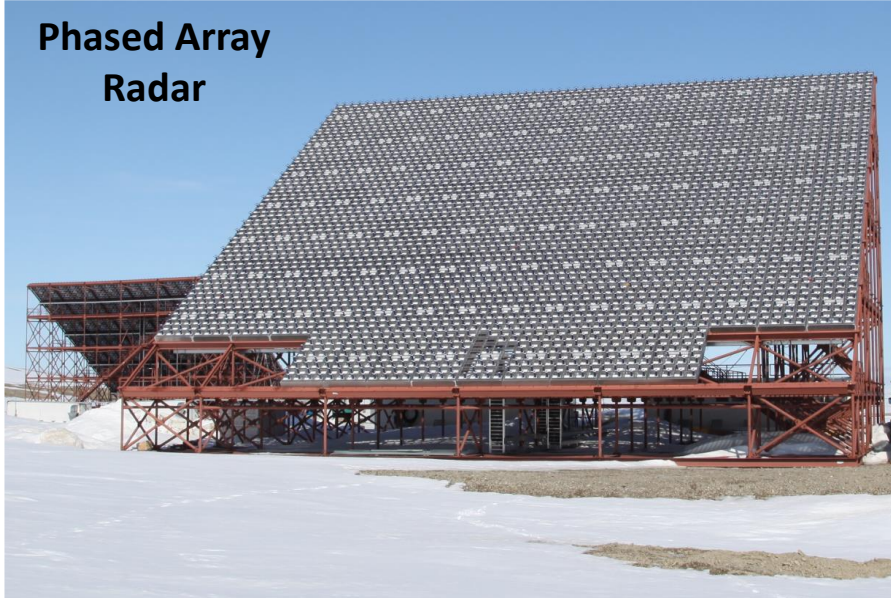


# Outline

- Motivation: Applications of UWBGs
- UWBG Properties
- **Sandia AlGaN Devices:**
  - Power Electronics
  - **Radio Frequency**
  - High-T Logic
  - Optoelectronics
- UWBG Community Activities

# Higher Power Density RF Amplifiers are Desired

Phased Array Radar



## mm-Wave Power Density

GaN (WBG): ~4 W/mm

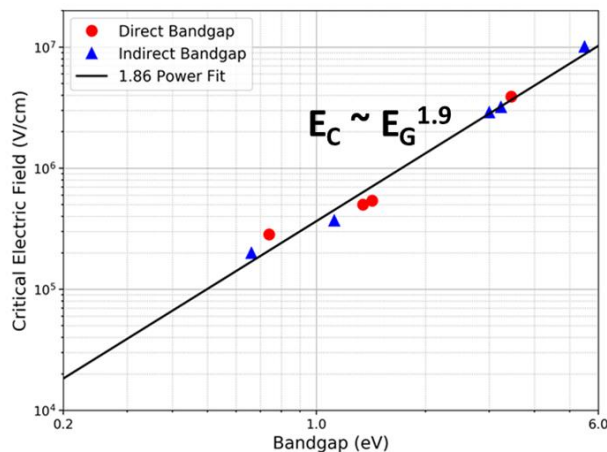
AlGaN (UWBG): >30 W/mm?

## Generations of Semiconductors

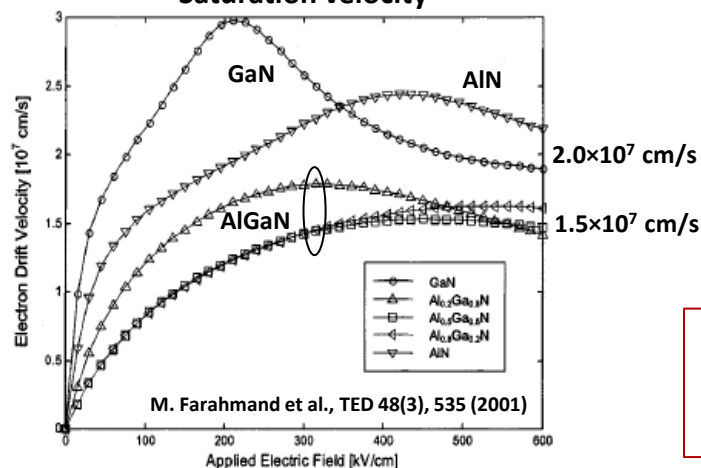
- Gen 1: Ge and Si
- Gen 2: Conventional III-Vs – Arsenides, Phosphides, Antimonides
- Gen 3: Wide-bandgaps – SiC, GaN, InGaN
- Gen 4: Ultra-Wide-Bandgaps –  $\text{Al}_x\text{Ga}_{1-x}\text{N}$ ,  $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ , diamond, c-BN, others

# Advantages of AlGaN for Radio-Frequency Devices

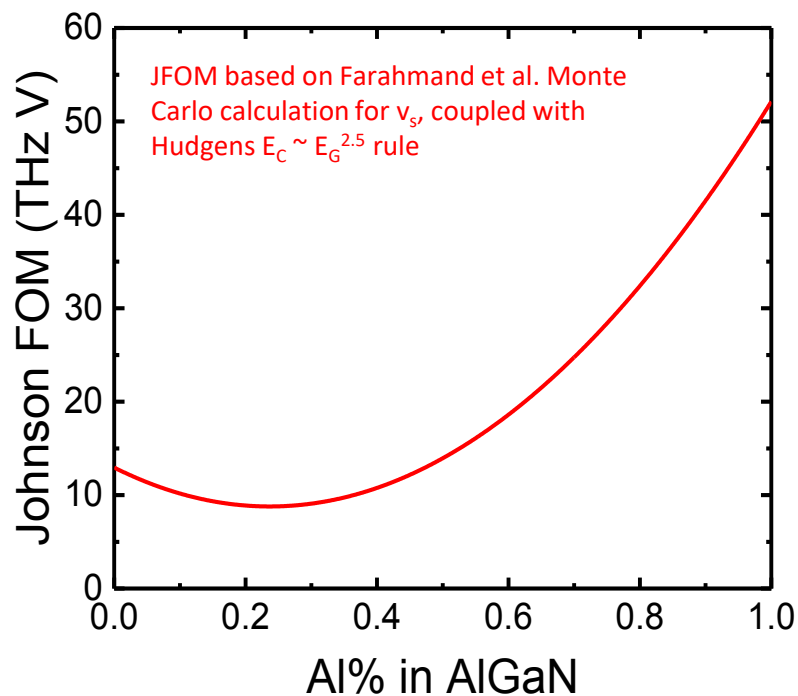
Critical electric field



Saturation velocity



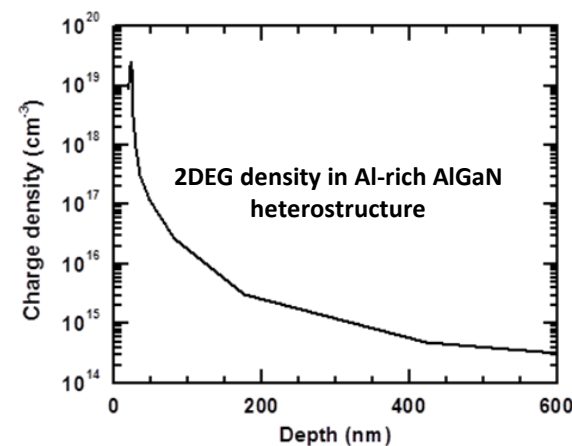
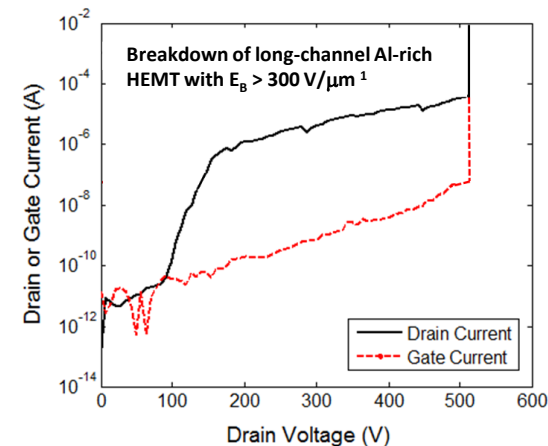
$$\text{Johnson FOM: } V_B f_T = E_C v_{\text{sat}} / 2\pi$$



**Al-rich AlGaN yields better JFOM than GaN due to higher  $E_C$  and comparable  $v_{\text{sat}}$**

# Predicted 8× Power Density Increase for Al-Rich HEMTs Compared to GaN-Channel HEMTs

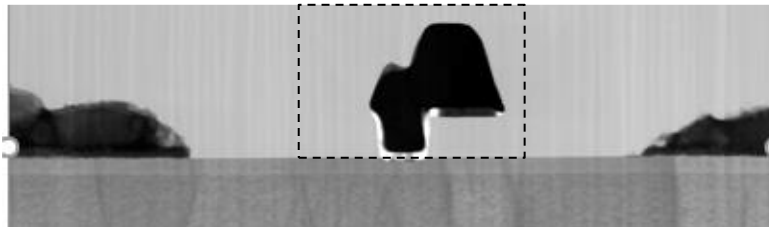
- Maximum power density  $P_{max} = J_{max} V_{max} / 8$  with  $J_{max} = q v_{sat} n_s$
- Three factors plausibly lead to 8× power density for Al-rich AlGa<sub>N</sub> HEMTs compared to GaN-channel HEMTs (GaN: ~4 W/mm → AlGa<sub>N</sub>: >30 W/mm)
  - 4× greater breakdown voltage for same dimensions ( $V_{max}$ )
  - 2× sheet carrier density ( $J_{max}$ )
  - Parity in electron saturation velocity ( $J_{max}$ )
- Breakdown electric field is typically ~4× lower than theoretical
  - ~400 V/μm expected for Al-rich Al<sub>x</sub>Ga<sub>1-x</sub>N with x close to 1
  - >300 V/μm observed experimentally for x = 0.7
  - Compared to ~100 V/μm for GaN
- 2DEG sheet density  $n_s > 2 \times 10^{13} \text{ cm}^{-2}$ 
  - Potentially higher bandgap offset
  - Larger polarization charge for higher x
  - Potential to grow thick, compressively strained barrier layer on AlN substrates
- $v_s \sim 4 \times 10^6 \text{ cm/s}$  measured in Al<sub>0.7</sub>Ga<sub>0.3</sub>N (~35% that of GaN)<sup>2</sup>



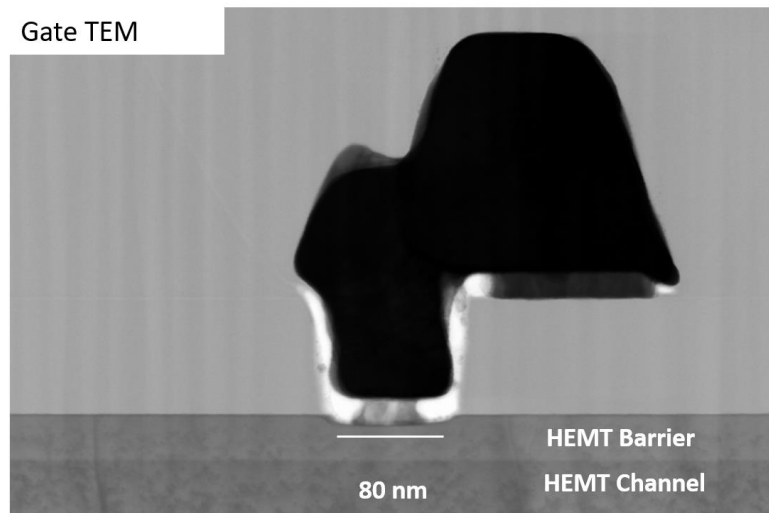
1 – A. G. Baca et al., ECS J. Solid-State Sci. Tech. 6 (12), Q161 (2017); 2 – B. A. Klein et al., J. Elec. Mat. (2018)



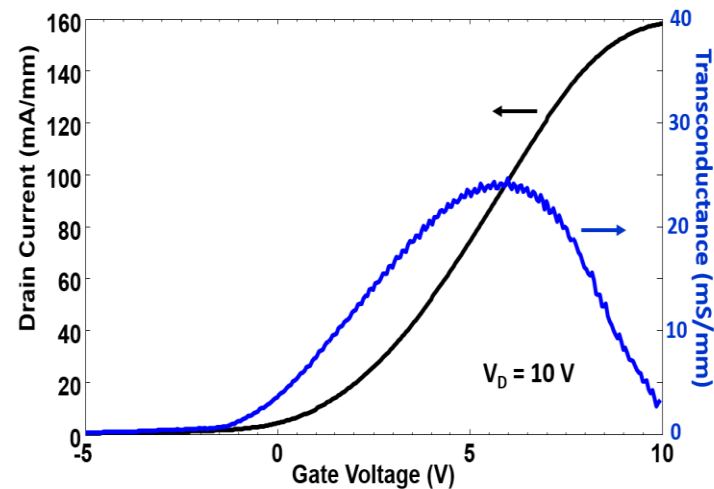
# Sandia $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT with 80 nm Gate



Gate TEM

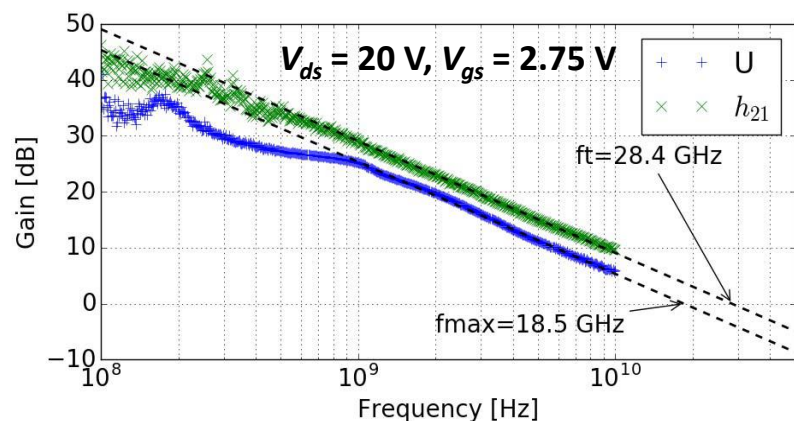


- 30 nm thick  $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}$  barrier and 400 nm thick  $\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$  channel and buffer
  - MOCVD growth on sapphire substrate
  - AlN nucleation and graded transition layers
- Barrier doped with  $3.5 \times 10^{18} \text{ cm}^{-3} \text{ Si}$
- 2DEG resistivity  $\sim 2200 \Omega/\text{sq} \rightarrow \mu_{ch} \approx 390 \text{ cm}^2/\text{Vs}$ ,  $n_s \approx 7.2 \times 10^{12} \text{ cm}^{-2}$
- Nb/Ti/Al/Mo/Au source and drain contacts
- Ni/Au gate with field plate on  $\text{SiN}_x$
- Maximum DC current density  $\approx 160 \text{ mA/mm}$  at  $V_{ds} = 10 \text{ V}$ ,  $V_{gs} = 10 \text{ V}$

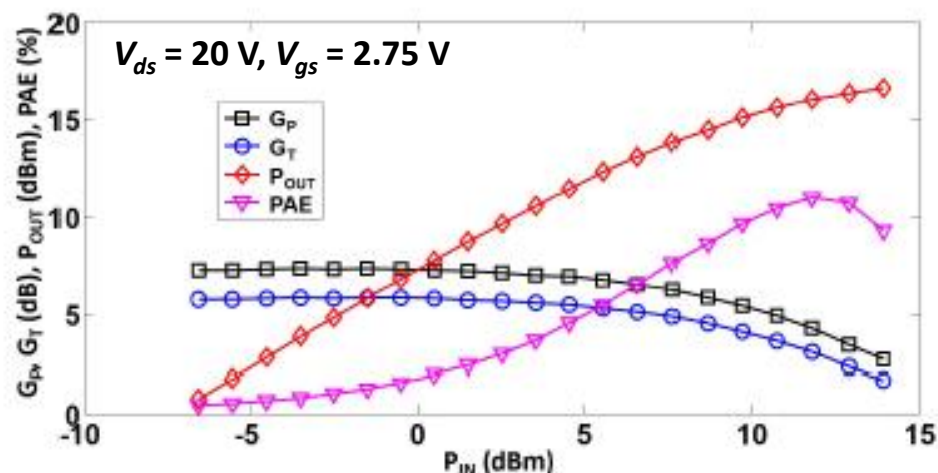
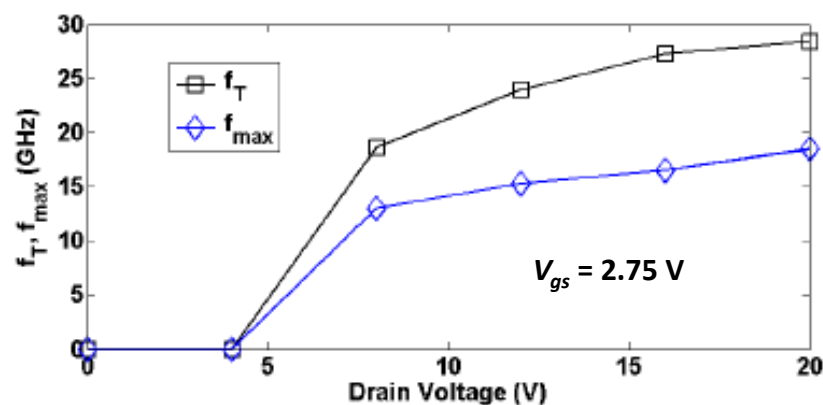


A. G. Baca et al., EDL 40(1), 17 (2019)

# RF Characteristics of $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT



- $f_T$  and  $f_{max}$  extracted from s-parameter measurements at  $V_{ds} = 20 \text{ V}, V_{gs} = 2.75 \text{ V}$ 
  - $f_T \approx 28.4 \text{ GHz}, f_{max} \approx 18.5 \text{ GHz}$
  - Reversal in order may be due to large gate resistance and/or channel conductance
- Power sweep at 3 GHz,  $V_{ds} = 20 \text{ V}, V_{gs} = 2.75 \text{ V}$ 
  - Output power  $\approx 0.38 \text{ W/mm}$  (15.8 dBm) at maximum PAE of 11%
  - Maximum power gain  $G_p \approx 7.3 \text{ dB}$
- Well below theoretical and simulated values
  - Comparable to other emerging UWBG RF technologies
  - Better Ohmic contacts may help

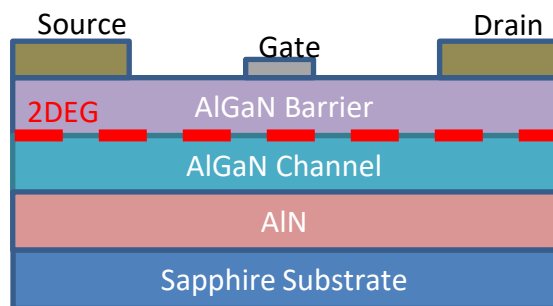


A. G. Baca et al., EDL 40(1), 17 (2019)

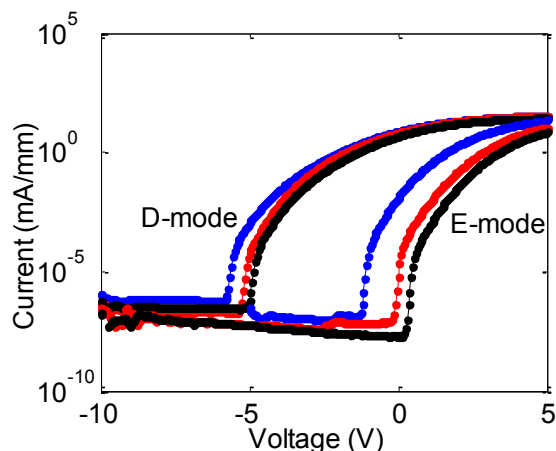
# Outline

- Motivation: Applications of UWBGs
- UWBG Properties
- **Sandia AlGaN Devices:**
  - Power Electronics
  - Radio Frequency
  - **High-T Logic**
  - Optoelectronics
- UWBG Community Activities

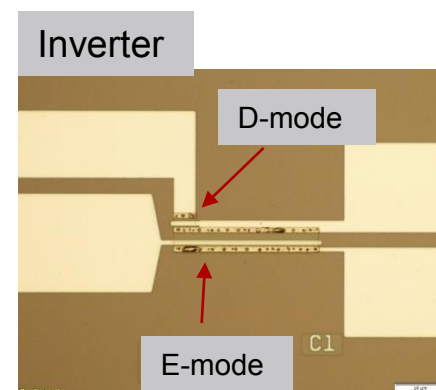
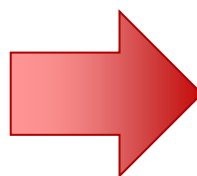
# Enhancement and Depletion Mode HEMTs for Digital Logic



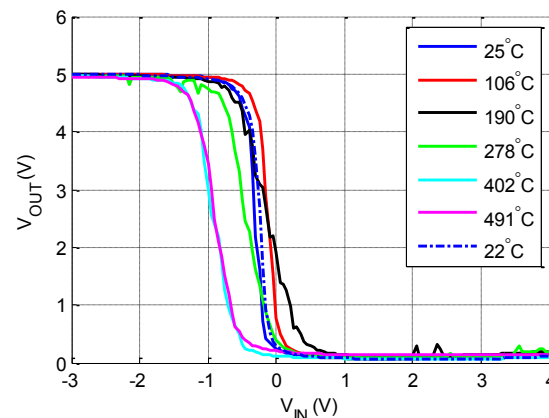
Side view of transistor structure: AlGaN High Electron Mobility Transistor (HEMT)



Adjacent enhancement- and depletion-mode switches have been developed



Enhancement-mode (positive  $V_T$ ) and depletion-mode (negative  $V_T$ ) AlGaN HEMTs are combined to make a logic inverter

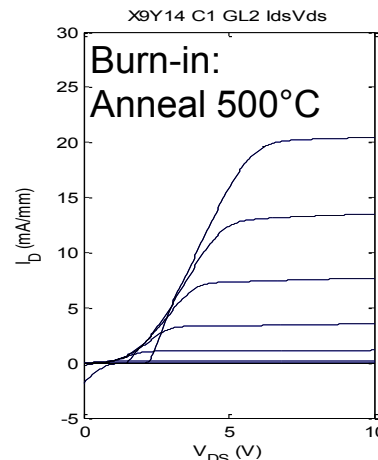
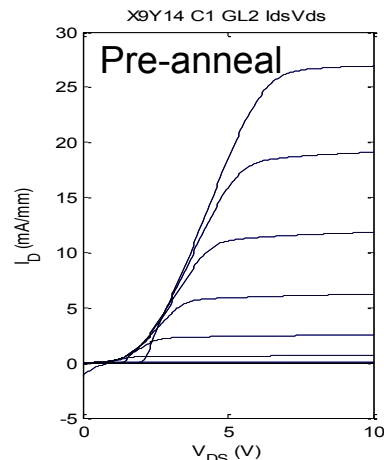
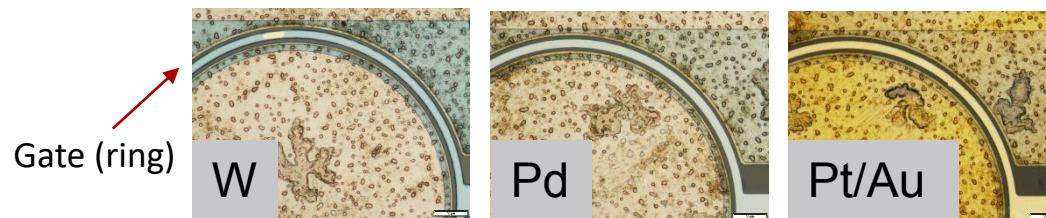


$\text{Al}_{0.45}\text{Ga}_{0.55}\text{N}/\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$  Inverter operating from 25°C to 491°C



## Develop gate metal for high temperatures

### High Temperature Gate Metallurgy: Three Designs



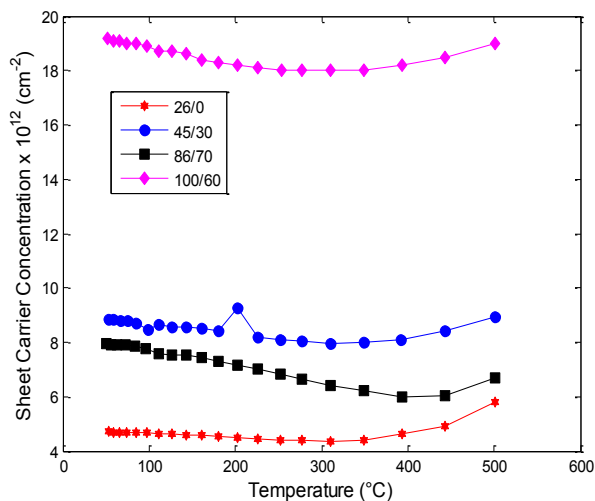
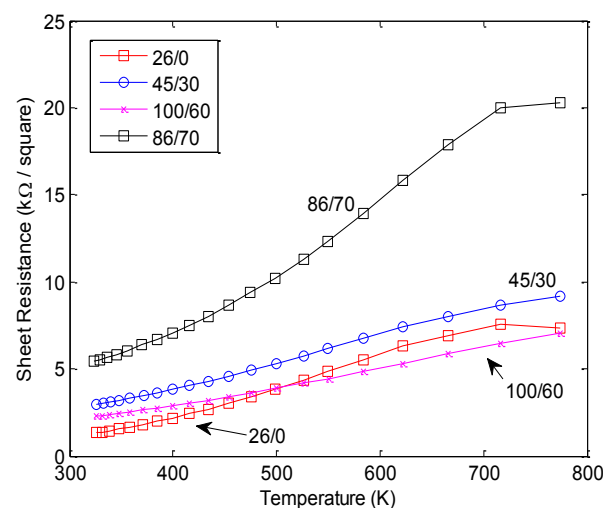
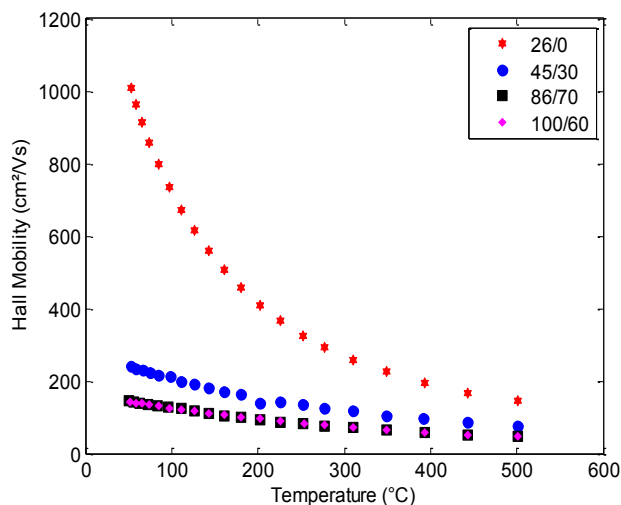
#### W gate metal (winner)

Least current reduction (25%)  
after multiple 500°C thermal  
cycles in N2 atmosphere

#### Pd and Pt/Au gate metal

Current reduction of 50% and  
70% (thermal cycles);  
reduced forward-bias gate  
leakage

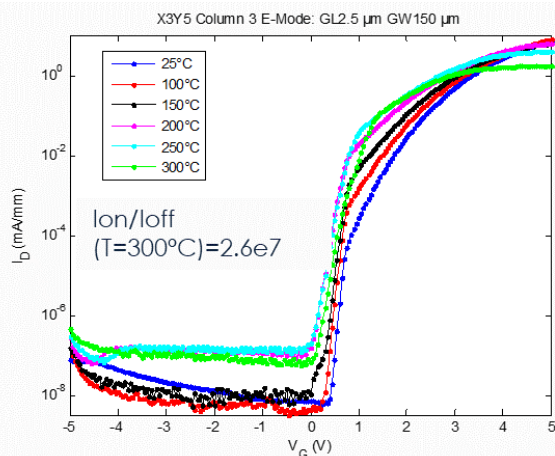
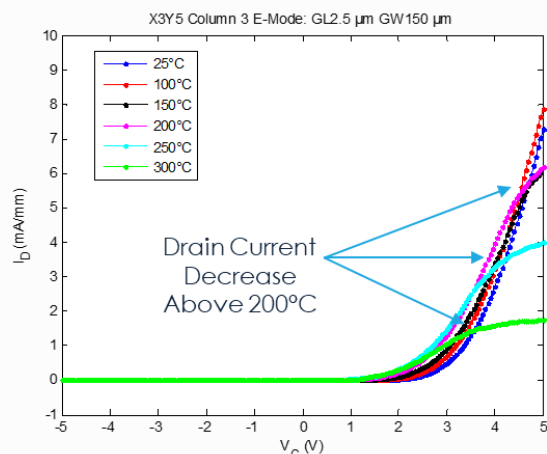
## Characterize 30-500°C carrier transport for HEMTs of different Al compositions



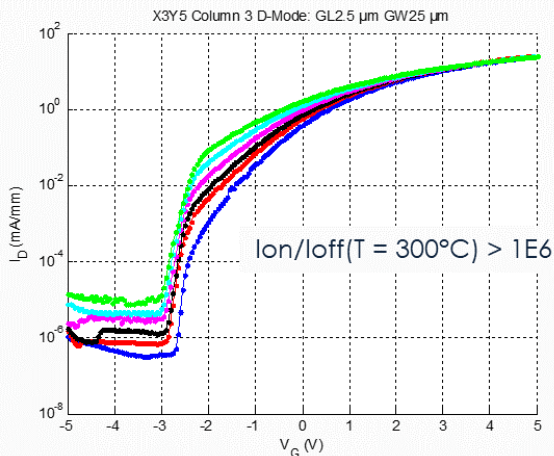
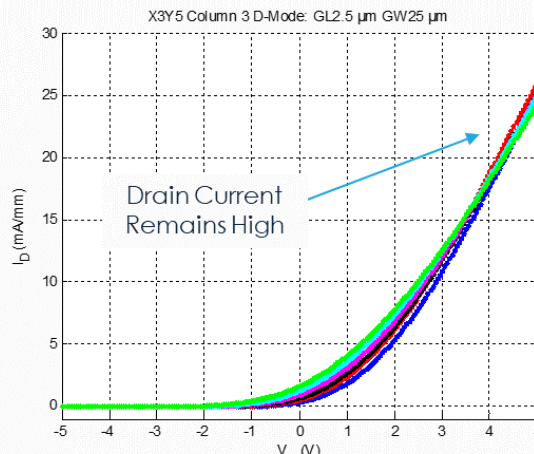
- **AlGaN-channel HEMTs had more stable mobility from 30-500°C than GaN-channel HEMTs**
- **Best results from 100/60 HEMT: High barrier-to-channel aluminum contrast plus less variation in mobility**

## Characterize devices and inverters over temperature and feed back to design

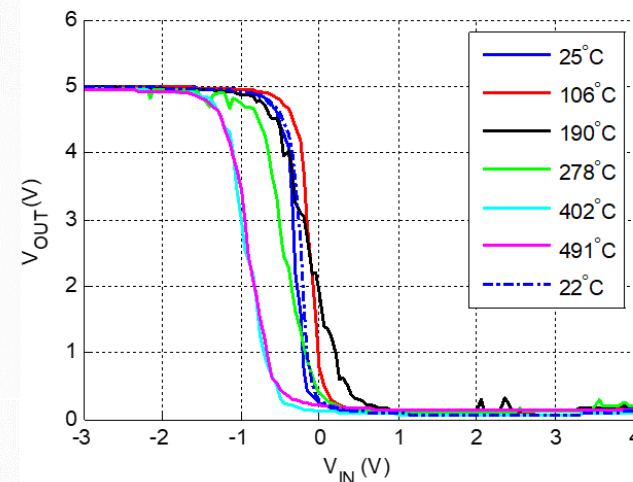
### Enhancement Mode



### Depletion Mode



### Inverter



**Best result to date:  
Inverter operation  
from room  
temperature to 491°C**

# Outline

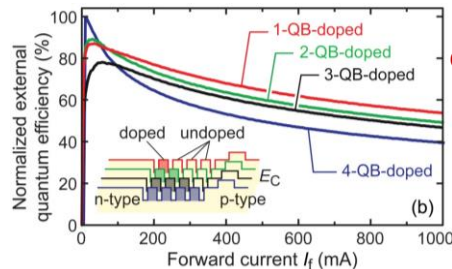
- Motivation: Applications of UWBGs
- UWBG Properties
- **Sandia AlGaN Devices:**
  - Power Electronics
  - Radio Frequency
  - High-T Logic
  - **Optoelectronics**
- UWBG Community Activities



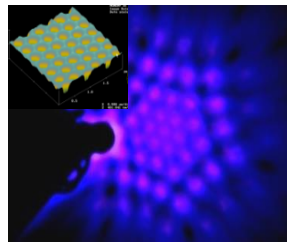
# History of III-Nitride Optoelectronics at Sandia

## InGaN: Visible LEDs for Solid-State Lighting

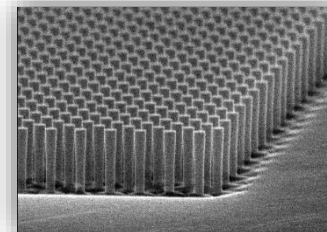
Sandia GC LDRD 2000-2004; DOE EERE 2005-2013, DOE BES EFRC 2009-2014



**LED efficiency droop**



**Enhancing light extraction with photonic lattice LEDs**



**Exploring advanced light-emitter concepts: nanowire LEDs and lasers**

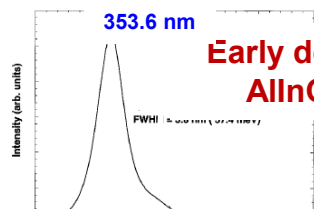
Schubert (RPI), Crawford, Koleske

J. Wierer (Lumileds), Wendt, Simmons

G. Wang, Q. Li, J. Wright, I. Brener

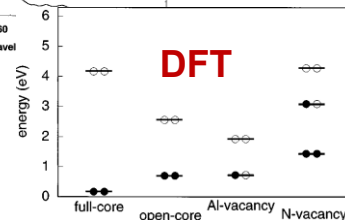
## AlGaIn: UV LEDs, Laser Diodes

Sandia LDRD (1996-2000), DARPA SUVOS and SAIL programs (2003-2009), Sandia LDRD and Mission (2009-2017)

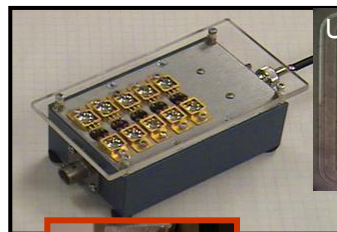


**Early demonstrator of AlInGaIn UV LED**

J. Han, M. Crawford



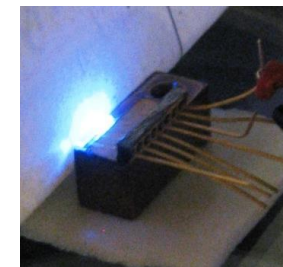
**DFT**



UV Micro-reflector



**DUV LED arrays for UV comm. & biosensor (DARPA SUVOS)**



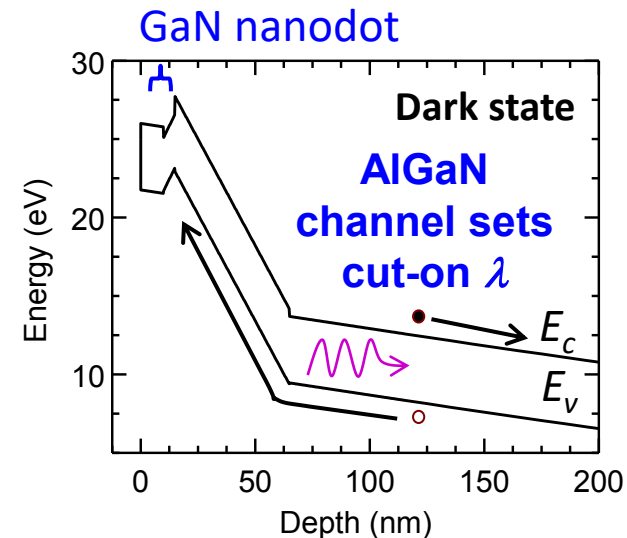
**UV Laser Diode (~350 nm)**

A. Allerman, A. Fischer, M. Crawford, J. Wierer, K. Bogart

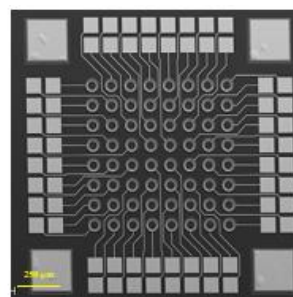
# AlGaN Photo-HEMT Fills UV-C Detector Technology Gaps

## AlGaN quantum dot floating gate HEMT<sup>1</sup>

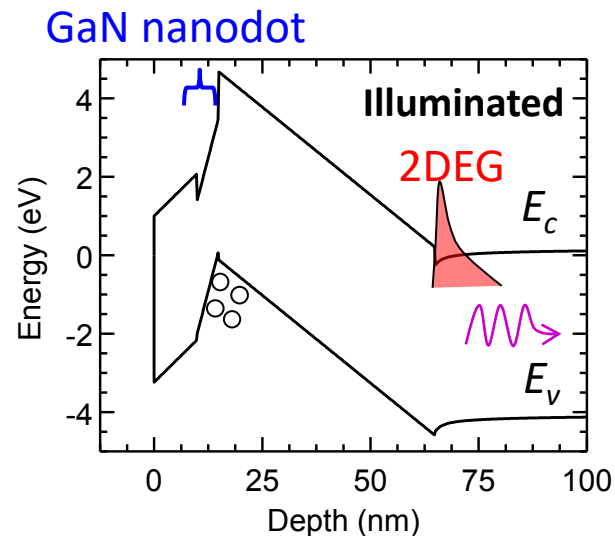
- Small (low voltage)
- Robust (solid-state)
- Room and high temp. (large  $\Delta E_v$ )
- Filter-free (large  $E_g$ )
- High sensitivity (Large  $g_m$ )
- Low dark count (non-avalanche)
- Rad-hard (large  $E_g$ )



## Man-portable → Micro-opto-electronic

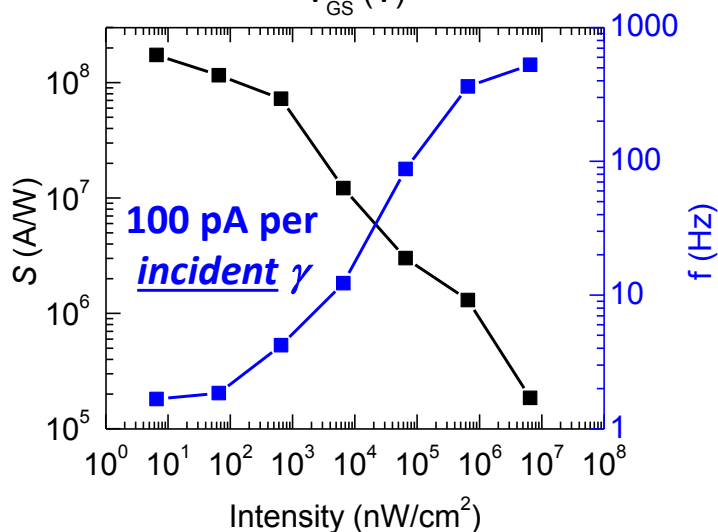
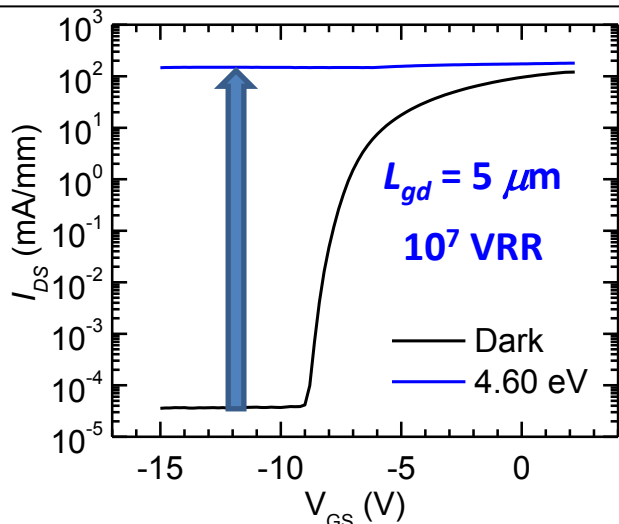


1. Gansen *et al.*, IEEE JSTQE 13, 967 (2007)

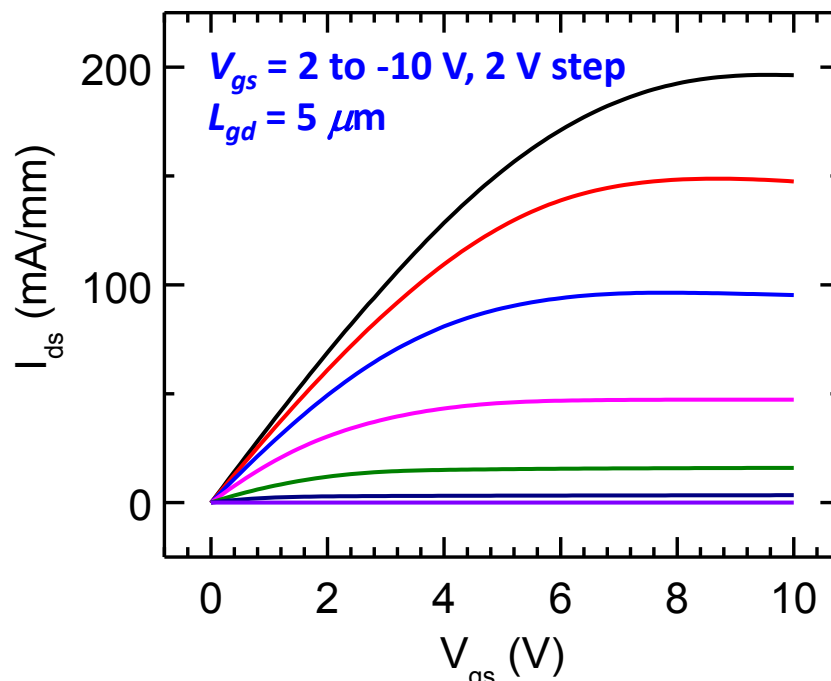


# Vis-Blind Demonstrated and Solar-Blind Now Possible

Vis-blind  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{N}/\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$  photo-HEMT



$\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.5}\text{Ga}_{0.5}\text{N}$  HEMT



## Advance to solar-blind technology

- Established ohmics for  $\text{Al}_{0.5}\text{Ga}_{0.5}\text{N}$  channel (270 nm)
- Move to sub-micron  $L_{gd}$  for nA/photon sensitivity
- Move from GaN quantum dot to GaN quantum well for easier epitaxy and fabrication

Armstrong *et al.*, Photon. Res. 7 B24 (2019)

# Outline

- Motivation: Applications of UWBGs
- UWBG Properties
- Sandia AlGaN Devices:
  - Power Electronics
  - Radio Frequency
  - High-T Logic
  - Optoelectronics
- **UWBG Community Activities**



# UWBG Working Group Study

- Three workshops held (Oct. 2015 / April 2016 / May 2019)
  - ~75 initial participants (government, academia, industry, national labs)
  - Subset formed a working group
    - Study on material parameters, growth, physics, applications, extreme environments, and processing/packaging
- Goals
  - Establish (refresh) best materials parameters and physics
  - Evaluate applications and projected performance spaces
    - Power switching, RF power/switching, optoelectronics, quantum information sciences, extreme environments
  - Articulate Research Challenges and Opportunities
- Out-brief at GOMAC 2017 special session
- Published article in *Advanced Electronic Materials*
  - J. Y. Tsao et al., *Adv. Elec. Mat.* 4, 1600501 (2018)
  - 54 pages, 353 references, best materials parameters listed
  - Handbook for roadmap development



# April 2016 UWBG Workshop in Arlington, VA



## Technical Exchange on UWBG Semiconductors: Research Opportunities and Directions

Basic Research Innovation and Collaboration Center | Arlington, VA



# UWBG Working Group Study: Twenty-Six Research Opportunities Identified

Four topical areas:

- 1. Materials:** Bulk and epitaxial growth, point and extended defects, doping, materials characterization, fundamental theory and DFT
- 2. Physics:** Electronic transport at low and high fields, optical properties, phonon transport, electrical breakdown, carrier confinement
- 3. Devices:** Device architectures, fundamental limits to device performance, device fabrication and processing techniques, co-design for thermal packaging
- 4. Applications:** New applications enabled by UWBG devices, device performance targets, power switching needs, RF needs, UV emitter & detectors, quantum information

J. Y. Tsao et al., *Adv. Elec. Mat.* **4**, 1600501 (2018)

## REVIEW

Semiconductors

ADVANCED  
ELECTRONIC  
MATERIALS  
www.advonlinematerials.de

## Ultrawide-Bandgap Semiconductors: Research Opportunities and Challenges

J. Y. Tsao,<sup>\*</sup> S. Chowdhury, M. A. Hollis,<sup>\*</sup> D. Jena, N. M. Johnson, K. A. Jones, R. J. Kaplar,<sup>\*</sup> S. Rajan, C. G. Van de Walle, E. Bellotti, C. L. Chua, R. Collazo, M. E. Coltrin, J. A. Cooper, K. R. Evans, S. Graham, T. A. Grotjohn, E. R. Heller, M. Higashiwaki, M. S. Islam, P. W. Juodawlkis, M. A. Khan, A. D. Koehler, J. H. Leach, U. K. Mishra, R. J. Nemanich, R. C. N. Pilawa-Podgurski, J. B. Shealy, Z. Sitar, M. J. Tadjer, A. F. Witulski, M. Wraback, and J. A. Simmons

Ultrawide-bandgap (UWBG) semiconductors, with bandgaps significantly wider than the 3.4 eV of GaN, represent an exciting and challenging new area of research in semiconductor materials, physics, devices, and applications. Because many figures-of-merit for device performance scale nonlinearly with bandgap, these semiconductors have long been known to have compelling potential advantages over their narrower-bandgap cousins in high-power and RF electronics, as well as in deep-UV optoelectronics, quantum information, and extreme-environment applications. Only recently, however, have the UWBG semiconductor materials, such as high Al-content AlGaIn, diamond and  $\text{Ga}_2\text{O}_3$ , advanced in maturity to the point where realizing some of their tantalizing advantages is a relatively near-term possibility. In this article, the materials, physics, device and application research opportunities and challenges for advancing their state of the art are surveyed.

Dr. J. Y. Tsao  
Material, Physical, and Chemical Sciences Center  
Sandia National Laboratories  
PO Box 5800, Albuquerque, NM 87185-1421, USA  
E-mail: jtsao@sandia.gov  
Prof. S. Chowdhury  
Electrical and Computer Engineering Department  
University of California Davis  
3133 Kemper Hall, Davis, CA 95616, USA  
Dr. M. A. Hollis  
Advanced Technology Division  
MIT Lincoln Laboratory  
244 Wood Street, Lexington, MA 02421-6426, USA  
E-mail: hollis@mit.edu  
Prof. D. Jena  
Electrical and Computer Engineering and Materials  
Science and Engineering Departments  
Cornell University  
328 Bard Hall, Ithaca, NY 14853, USA  
Dr. N. M. Johnson, Dr. C. L. Chua  
Electronic Materials and Devices Laboratory  
PASC  
3333 Coyote Hill Road, Palo Alto, CA 94303, USA  
Dr. K. A. Jones  
Sensors and Electron Devices Directorate  
U.S. Army Research Laboratory  
2800 Powder Mill Road, Delphi, MD 20783, USA  
Dr. R. J. Kaplar  
Material, Physical, and Chemical Sciences Center  
Sandia National Laboratories  
PO Box 5800, Albuquerque, NM 87185-1086, USA  
E-mail: rkajla@sandia.gov

DOI: 10.1002/aem.201600501

Adv. Electron. Mater. 2017, 1600501

Prof. S. Rajan  
Electrical and Computer Engineering and Materials Science  
and Engineering Departments  
Ohio State University  
2015 Neil Avenue, 205 Dreese Laboratory, Columbus, OH 43210, USA  
Prof. C. G. Van de Walle  
Materials Department  
University of California Santa Barbara  
2510 Engineering II, Santa Barbara, CA 93106-5050, USA  
Prof. E. Bellotti  
Electrical and Computer Engineering Department  
Boston University  
8 St. Mary's Street Room 533, Boston, MA 02215, USA  
Prof. R. Collazo  
Materials Science and Engineering Department  
North Carolina State University  
911 Partners Way (EB-219), Raleigh, NC 27695, USA  
Dr. M. E. Coltrin  
Material, Physical, and Chemical Sciences Center  
Sandia National Laboratories  
PO Box 5800, Albuquerque, NM 87185, USA  
Prof. J. A. Cooper  
Electrical and Computer Engineering Department  
Purdue University  
1205 West State Street, West Lafayette, IN 47906, USA  
Dr. K. R. Evans, Dr. J. H. Leach  
Kyma Technologies, Inc.  
8829 Midway West Rd, Raleigh, NC 27617, USA  
Prof. S. Graham  
Mechanical Engineering Department  
Georgia Institute of Technology  
771 First Drive, Atlanta, GA 30332, USA

1600501 (1 of 49)

© 2017 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim

**Combined topics in report; 26 research challenges identified**



# UWBG Working Group

## Material Parameter Refresh

| Material-Properties Table for Electronic Applications                                |  |  |   |   |  |  |  |  |
|--|--|--|---|---|--|--|--|--|
| Parameter at 27°C  | GaN (for reference)  | Al <sub>x</sub> Ga <sub>1-x</sub> N      | AlN                                     | Example AlN/GaN HEMT [1]                            | β-Ga <sub>2</sub> O <sub>3</sub>                                 | Cubic BN   | Diamond  | Diamond MOS  |
| Bandgap (eV)   | 3.4 [3]  | Interpolation [37]                       | 6.0 [4]                                 | multiple  | 4.9 [5]  | 6.4 [6]  | 5.5 [7]  | multiple   |
| Proj. bulk E <sub>c</sub> (MV/cm at doping = 10 <sup>16</sup> cm <sup>-2</sup> )     | 4.95 [8] (measured baseline)                               | Varies non-uniformly by composition [41] | 15.4 [after 9]                          | 2.6 (reported)                                      | 10.3 [after 9]   | 17.5 [after 9]   | 13.0 [after 9]   | Depends on insulator   |
| n <sub>s</sub> (cm <sup>-2</sup> )   | Various (see Doping below)                                 | Various (see Doping below)               | Low at 300 K                            | 1.2x10 <sup>13</sup>                                | 1.5x10 <sup>13</sup> / TBD 2012 [38] / --                        | Various (see Doping below)   | Various (see Doping below)   | 4x10 <sup>15</sup> / 1.7x10 <sup>14</sup> 2012 [48] / 2013 [49]  |
| e- drift mobility (cm <sup>2</sup> /V·s)   | 1000 [3,32,52,53]  | Interpolation                            | 426 / 300 2006 [63] / 1994 [52]         | 1200 (Hall mobility)                                | 153 / 225 (Hall mobility) 2015 [11] / 2017 [65]                  | 825 / TBD (Hall mobility) 2003 [19] / --   | 4500 / 7300 2002 [26] / 2014 [27]  | TBD  |
| hole drift mobility (cm <sup>2</sup> /V·s)   | 11 [64] (Hall mobility)                                    | Various                                  | --                                      | --  | --   | 500 / TBD (Hall mobility) 1998 [20] / --   | 3800 / 5300 2002 [26] / 2014 [27]  | 340-47 / TBD (over 2x10 <sup>17</sup> - 6x10 <sup>19</sup> cm <sup>-3</sup> hole density) 2016 [50] / -- |
| v <sub>sat</sub> (10 <sup>7</sup> cm/s): e- & holes                                  | 1.4 (e-) [16]  | Various                                  | 1.3 (e-) [16]                           | 2.8 (e-); velocity overshoot due to short gate [16] | -- / 1.1 @ 10 <sup>18</sup> cm <sup>-3</sup> (e-) -- / 2015 [13] | --   | 1.9 / 2.3 (e-) 2006 [33] / 1975 [28] 1.4 / 1.1 (holes) 2006 [33] / 1981 [29]   | TBD / TBD (e-) ~ 0.94 / TBD (holes) 2008 [51] / --   |
| Relative permittivity  | 10.4 for E/c axis; 9.5 for E perp. c axis [30,31]          | Interpolation                            | 9.76, E/c axis [18]; NR perp. to c axis | --  | 10 [12]  | 7.1 [21]   | 5.7 [7]  | Depends on insulator   |
| σ <sub>thermal</sub> (W/m·K)   | 253 [66]   | Interpolation                            | 285 / 319 both 1987 [34]                | < 370 [3] (on 4H-SiC)                               | 11-27 / 16-22 2015 [14] / 2015 [15]                              | 768 / 940 (natural isotopic ratio) -- / 2145 (isotopically pure) 1983 [22] / 2013 [23] | 2270 / 2290 (natural isotopic ratio) 3300 / 3450 (pure <sup>13</sup> C) 1992-3 [24, 25] / 2013 [23]  | 2270 / 2290 (natural isotopic ratio) 3300 / 3450 (pure <sup>13</sup> C) 1992-3 [24, 25] / 2013 [23]      |
| Maturity assessment:   | Mature for GaN HEMTs; Immature for vert. GaN devices       | Immature                                 | Immature                                | Mature  | Immature   | Immature   | Immature   | Immature   |
| - Doping (p & n); or polarization-induced; or surface-transfer                       | Both n & p (Si & Mg) [3,37]                                | n (Si) below 70% Al; p (Mg deep) [35,37] | Possible S donor [35]; no good acceptor | Polarization-induced 2DEG                           | n type (Sn donor) [38]   | n type (S donor); deep acceptors [40]  | Light to medium p type for N <sub>A</sub> < mid-10 <sup>19</sup> cm <sup>-3</sup> ; Heavy for N <sub>A</sub> > mid-10 <sup>19</sup> cm <sup>-3</sup> [42-44] | Surface-transfer doping [48 - 51]  |
| - Dual-use/ low-cost or low-volume/ high-cost  | Dual use / low cost  | Dual use / low cost?                     | Low volume/ high cost?                  | Mid to low volume/ Mid to high cost?                | Dual use / low cost?   | Low volume/ high cost?   | Low volume/ high cost?   | Low volume/ high cost?   |
| - Substrate size/ availability   | 50-mm GaN substrates; GaN layers on 150-mm SiC & 200-mm Si | Uses common substrates for GaN           | 2016: 25 mm 2017: 50 mm [36]            | On 75-mm SiC  | 2016: 50 mm 2017: 100 mm [39]                                    | Few-mm size HPHT crystals  | 2016: 15 & 25 mm [45,46], 7x20 mm; Larger diamond on Si [47,58], 2018: 38 mm [46].   | 2016: 15 & 25 mm [45,46], 7x20 mm; Larger diamond on Si [47,58], 2018: 38 mm [46].                       |
| Data format often is: Measured number / Theoretical number Year [ref.] / Year [ref.] |  |  |   |   |  |  |  |  |

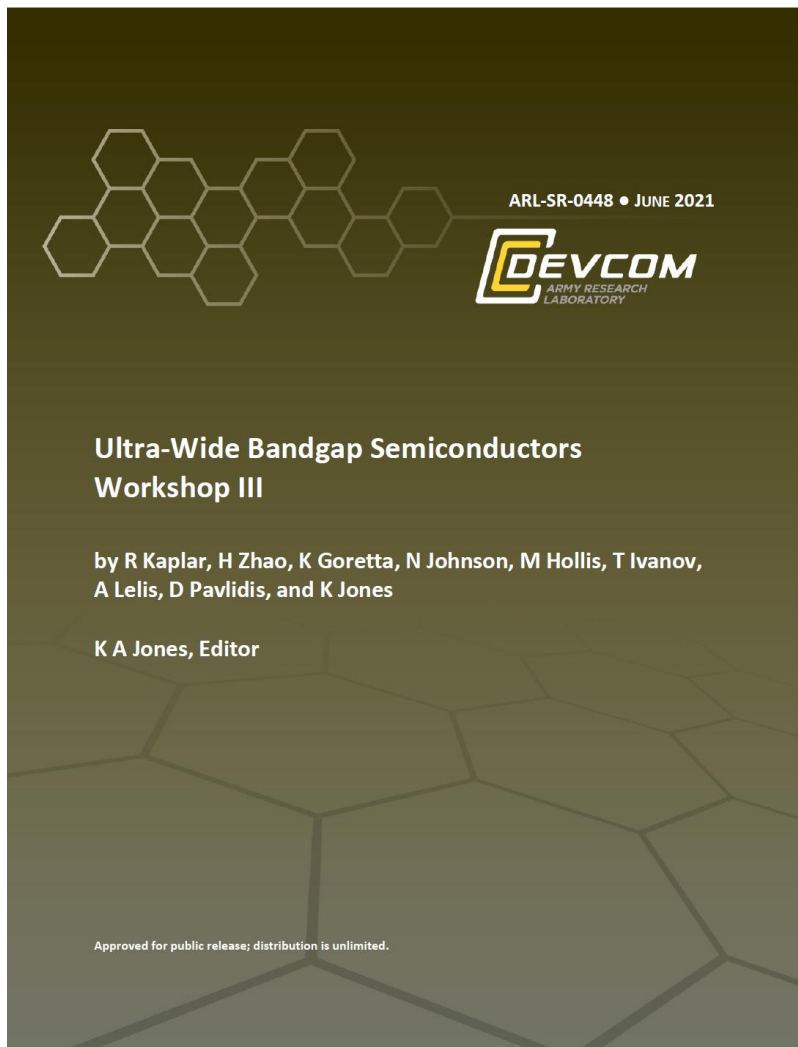
➤ Working group study reviewed the literature and determined best-known parameters for WBG and UWBG materials

➤ Materials included are:

- GaN (reference)
- AlGaN
- AlN
- AlGaN/GaN HEMT
- β-Ga<sub>2</sub>O<sub>3</sub>
- c-BN
- Diamond
- Diamond MOS

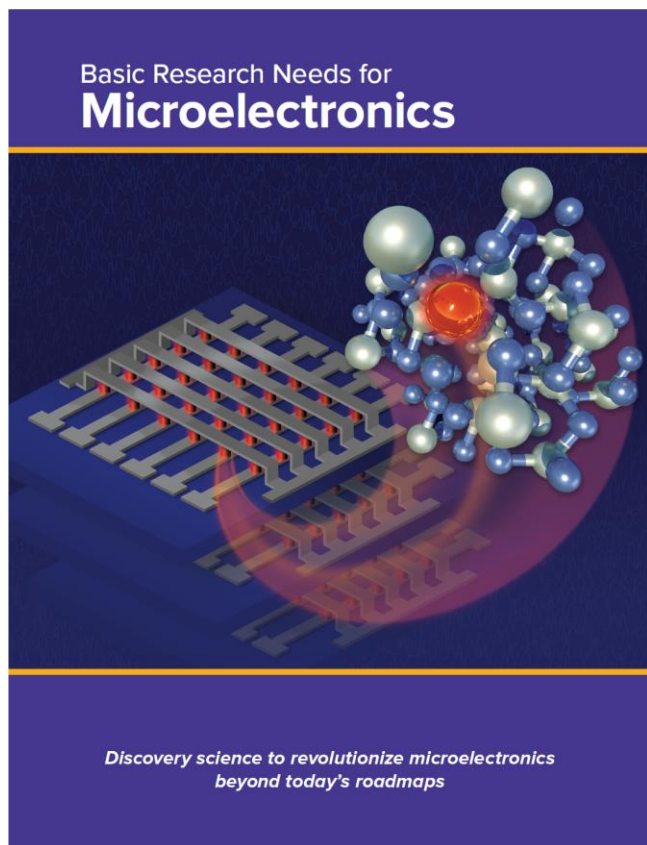


# Report on Third UWBG Workshop Recently Completed



- Most recent UWBG workshop held May 14-16 at ARL in Adelphi, MD
- Workshop report just published by ARL, covering updates in the following areas:
  - $\text{Ga}_2\text{O}_3$
  - AlGaN
  - Diamond
  - Supporting technologies (thermal materials, magnetics, dielectrics)
  - Applications (high power electronics, optoelectronics, sensors)

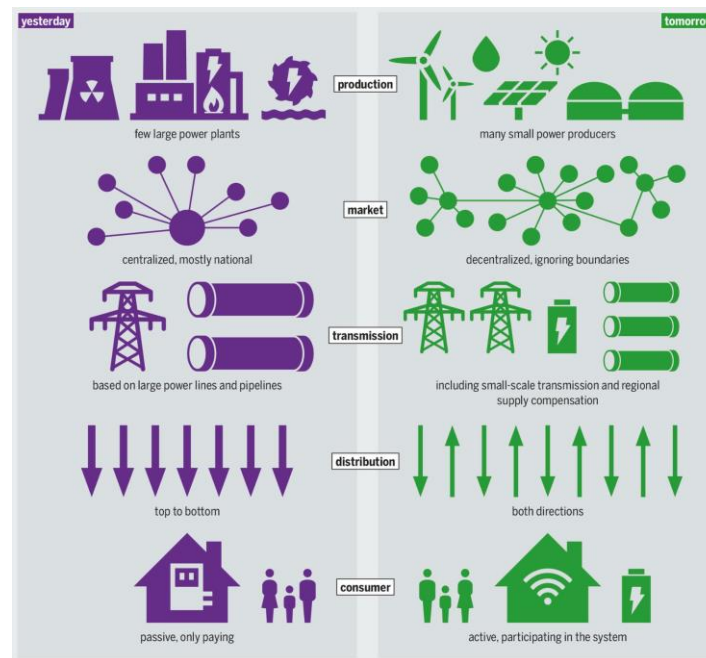
# Microelectronics Workshop and Report



## DOE Office of Science Workshop on Basic Research Needs for Microelectronics (October 23-25, 2018)

[https://science.osti.gov/-/media/bes/pdf/reports/2019/BRN\\_Microelectronics\\_rpt.pdf](https://science.osti.gov/-/media/bes/pdf/reports/2019/BRN_Microelectronics_rpt.pdf)

## Priority Research Direction 5: Reinvent the electricity grid through new materials, devices, and architectures

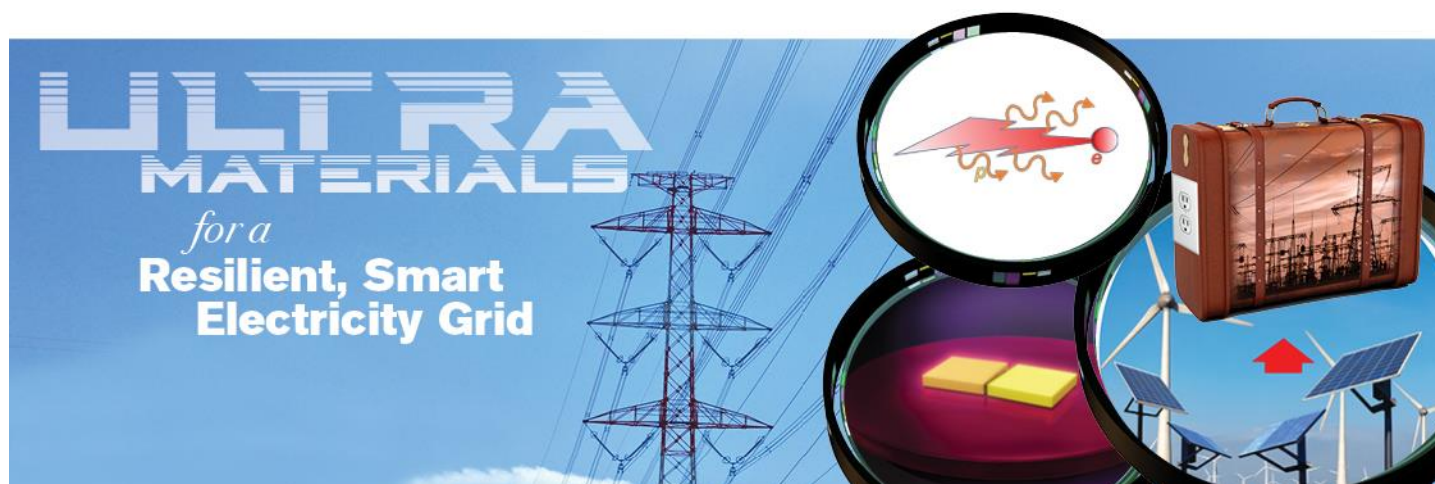


“A new generation of ultra-wide-bandgap (UWBG) semiconductors for power electronics is required to enable power conversion systems that are higher power, more efficient, smaller, and cheaper. These, in turn, will enable a smart grid that is higher performing and more resilient than what exists today.”

# Energy Frontier Research Center on UWBG Semiconductors

## Ultra Materials for a Resilient, Smart Electricity Grid (ULTRA)

Director: Robert Nemanich, Deputy Director: Stephen Goodnick,  
Research Collaborations Director: Srabanti Chowdhury



U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science

<https://science.osti.gov/bes/efrc/Centers/ULTRA>  
<https://ultracenter.asu.edu/>



Cornell University



Sandia  
National  
Laboratories



Stanford  
University



University of  
BRISTOL



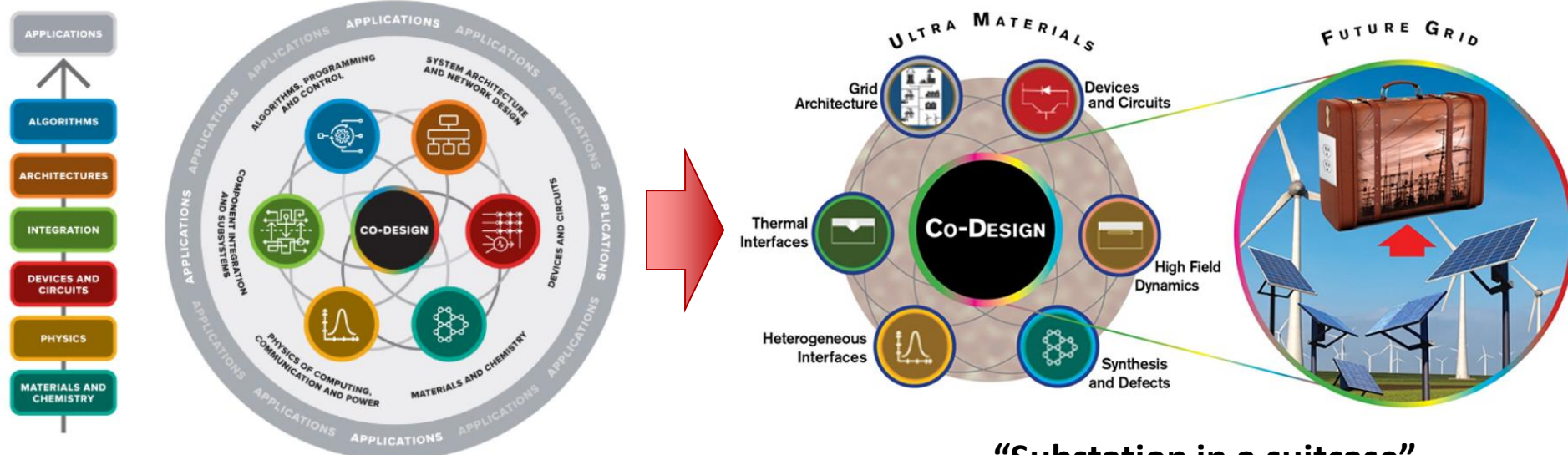
# Office of Science Co-Design Concept Adapted for UWBGs and the Grid



Computing: Co-design of software and hardware

UWBGs:

- Integration of bulk/interfacial and electronic/thermal properties
- Ultimate impact of material properties on the grid (material ↔ device ↔ circuit ↔ grid)



“Substation in a suitcase”



# Questions?

- **Motivation: Applications of UWBGs**
- **UWBG Properties**
- **Sandia AlGaN Devices:**
  - **Power Electronics**
  - **Radio Frequency**
  - **High-T Logic**
  - **Optoelectronics**
- **UWBG Community Activities**

**Bob Kaplar: [rjkapla@sandia.gov](mailto:rjkapla@sandia.gov)**