

# Battery Safety R&D and its Role in Technology Development



Next-Gen Stationary Batteries

August 11, 2021

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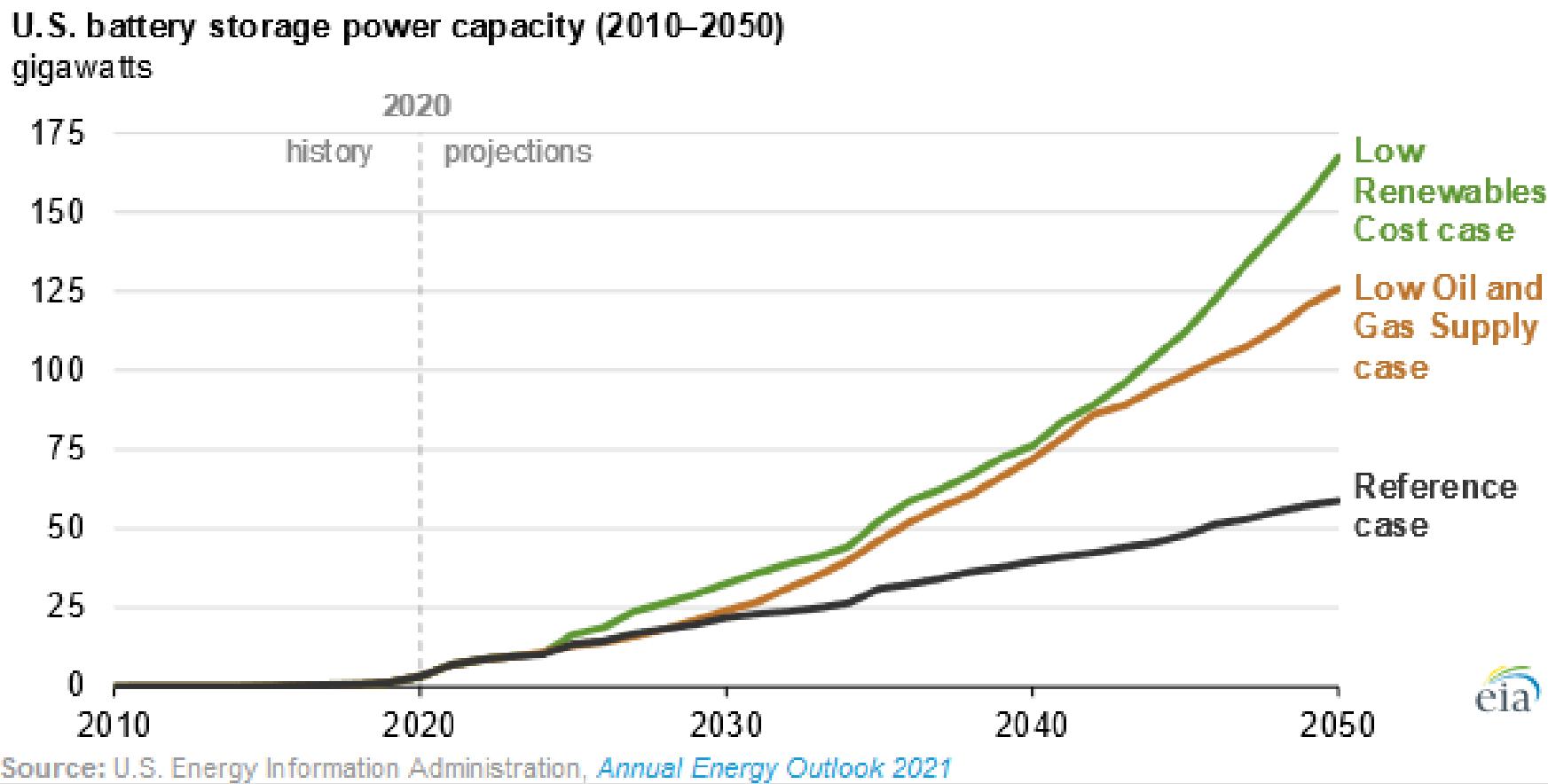


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# Batteries will provide substantial grid-scale energy storage



Energy Information Administration Annual Energy Outlook 2021 report projects 59 GW of battery energy storage on the grid by 2050 in the base case, 175 GW if more renewables



# Impact and consequence of scale on safety



Consumer Cells  
(0.5-5 Ah)

Large Format Cells  
(10-200 Ah)

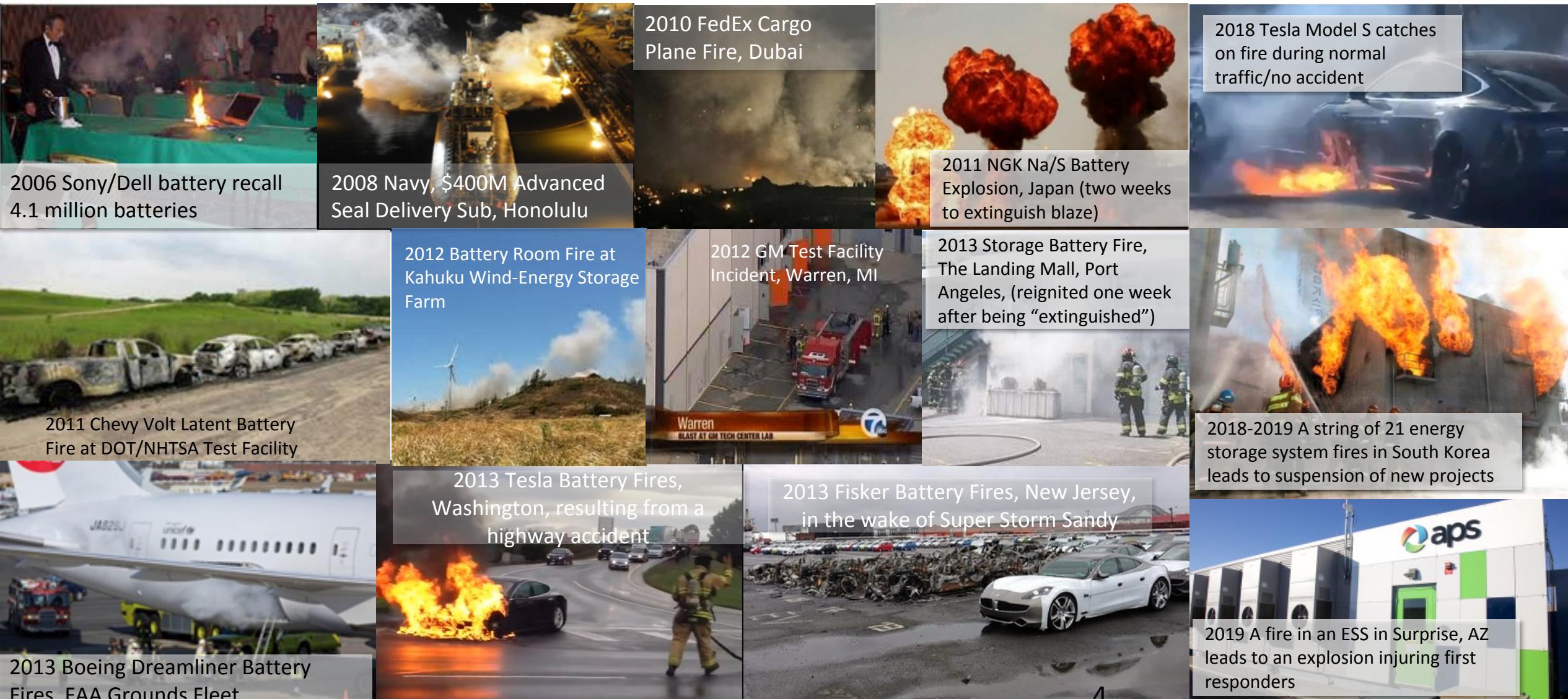
Transportation  
Batteries (1-50 kWh)

Utility Batteries  
(MWh)

## Safety issues and complexity increase with battery size

*Safety research is heavily focused on lithium-ion as the primary application ready technology. However many emerging technologies identified as promising for grid-scale storage are less well studied.*

# Grid ESS are the new frontier of energy storage safety



# ESS incidents typically make the news



Safety is critical to the widespread deployment of energy storage technologies.

## Bloomberg

### Hyperdrive Explosions Threatening Lithium-Ion's Edge in a Battery Race

By Brian Eckhouse and Mark Chediak  
April 23, 2019, 4:58 PM MDT Updated on April 24, 2019, 8:24 AM MDT

- Battery exploded at plant in Arizona; two others were shut
- Arizona utility regulator calls for thorough investigation

Another lithium-ion battery has exploded, this time at an energy-storage complex in the U.S.

At least 21 fires had already occurred at battery projects in South Korea, according to BloombergNEF, but this latest one, erupting on Friday at a facility owned by a Pinnacle West Capital Corp. utility in Surprise, Arizona, marked the first time it has happened in America since batteries took off globally.

<https://www.bloomberg.com/news/articles/2019-04-23/explosions-are-threatening-lithium-ion-s-edge-in-a-battery-race>

**There is a tendency to use the availability heuristic when considering risk.**

**To avoid this, consider how many batteries continue to operate without problems every day.**

## Greentech Media

### APS and Fluence Investigating Explosion at Arizona Energy Storage Facility

The stakes are high for the energy storage sector after an explosion with an unknown cause left several firefighters injured.

APACHE JUNCTION, AZ — APRIL 23, 2019



Earlier this year APS announced plans to build 650 megawatts of battery storage by 2025.

Phoenix has dispatched a team of experts to help utility Arizona Public Service determine what caused an explosion at one of its grid-scale battery facilities. The explosion on Friday reportedly left four firefighters injured, including three who were sent to a burn center.

Firefighters responded to a call on April 19 after smoke was seen rising from APS' McHicken Energy Storage facility, one of two identical 2-megawatt/7-megawatt-hour grid-scale batteries the utility installed in 2017 in Phoenix's growing West Valley region.

According to local press reports, the firefighters were inspecting the facility's lithium-ion batteries when they were hit with an explosion. Several of the firefighters received chemical burns, the local fire department told the Arizona Republic.

The firefighters were later reported to be in stable condition.

APS, the state's largest investor-owned utility, said in a statement on Twitter that it is still investigating the cause of the "apparent failure."

<https://www.greentechmedia.com/articles/read/aps-and-fluence-investigating-explosion-at-arizona-energy-storage-facility#gs.gpky5k>

## The Korea Times

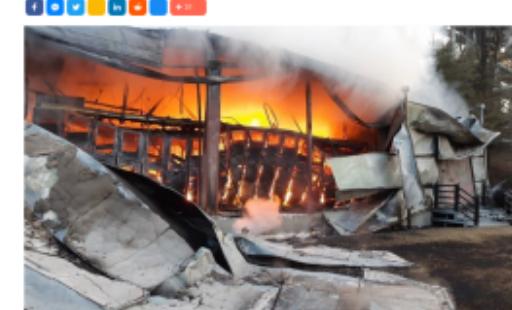
TheKoreaTimes All Q f w

### Biz & Tech

Auto IT Game Manufacturing Retail & Food Energy

IT

Frequent fire raising concerns over safety of solar energy



A fire engulfs an energy storage system at a cement plant in Jecheon, North Chungcheong Province, Monday. / Courtesy of North Chungcheong Province Fire Service Headquarters

By Nam Hyun-woo

A series of fires in energy storage systems (ESSs) has been raising safety concerns, according to industry analysts, Tuesday.

With ESSs essential for optimizing energy efficiency, further accidents may compromise the feasibility of renewable power and hamper the government's bid to expand the use of cleaner energies.

According to the Ministry of Trade, Industry and Energy, it recommended individuals, companies and other organizations to stop using 584 uninspected ESSs across the country.

[https://www.koreatimes.co.kr/www/tech/2018/12/133\\_260560.html](https://www.koreatimes.co.kr/www/tech/2018/12/133_260560.html)

# Dedicated facilities for battery testing



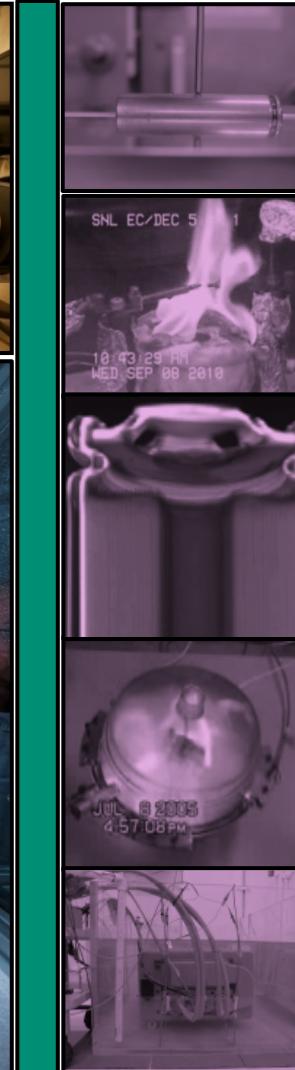
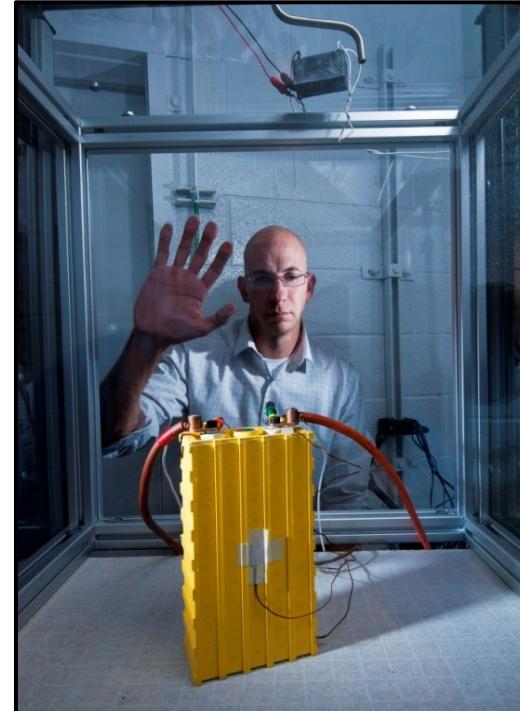
- Hundreds of independent channels for testing, from coin cells to kWh modules
- 150 uA to 2000 A current range capability
- R&D 100 Green Technology-awarded high-precision testers
- 70+ thermal chambers, ranging from 1.2 ft<sup>3</sup> to 25 ft<sup>3</sup>
- -72°C to 95°C temperature capabilities
- Welding capabilities, including resistance, pinch, and spot
- Additional labs for materials characterization and 8000 ft<sup>2</sup> dry-room space for prototyping



# World-class battery abuse lab (DOE Core facility)



- Comprehensive abuse testing platforms for safety and reliability of cells, batteries and systems from mWh to kWh
- Mechanical abuse
  - Penetration
  - Crush
  - Impact
  - Immersion
- Thermal abuse
  - Over temperature
  - Flammability measurements
  - Thermal propagation
  - Calorimetry
- Electrical abuse
  - Overvoltage/overcharge
  - Short circuit
  - Overdischarge/voltage reversal
- Characterization/Analytical Tools
  - X-ray computed tomography
  - Gas analysis
  - Surface characterization
  - Optical/electron microscopy





## How does thermal runaway start?

- Thermal, electrical, or mechanical “abuse”
- However, “abuse” thresholds are statistical properties and can change with time, usage, and environment

## Thermal runaway measured via accelerated rate calorimetry (ARC)

1. Self-heating onset temperature
2. Thermal runaway onset temperature
3. Total heat release ( $\Delta T$ )

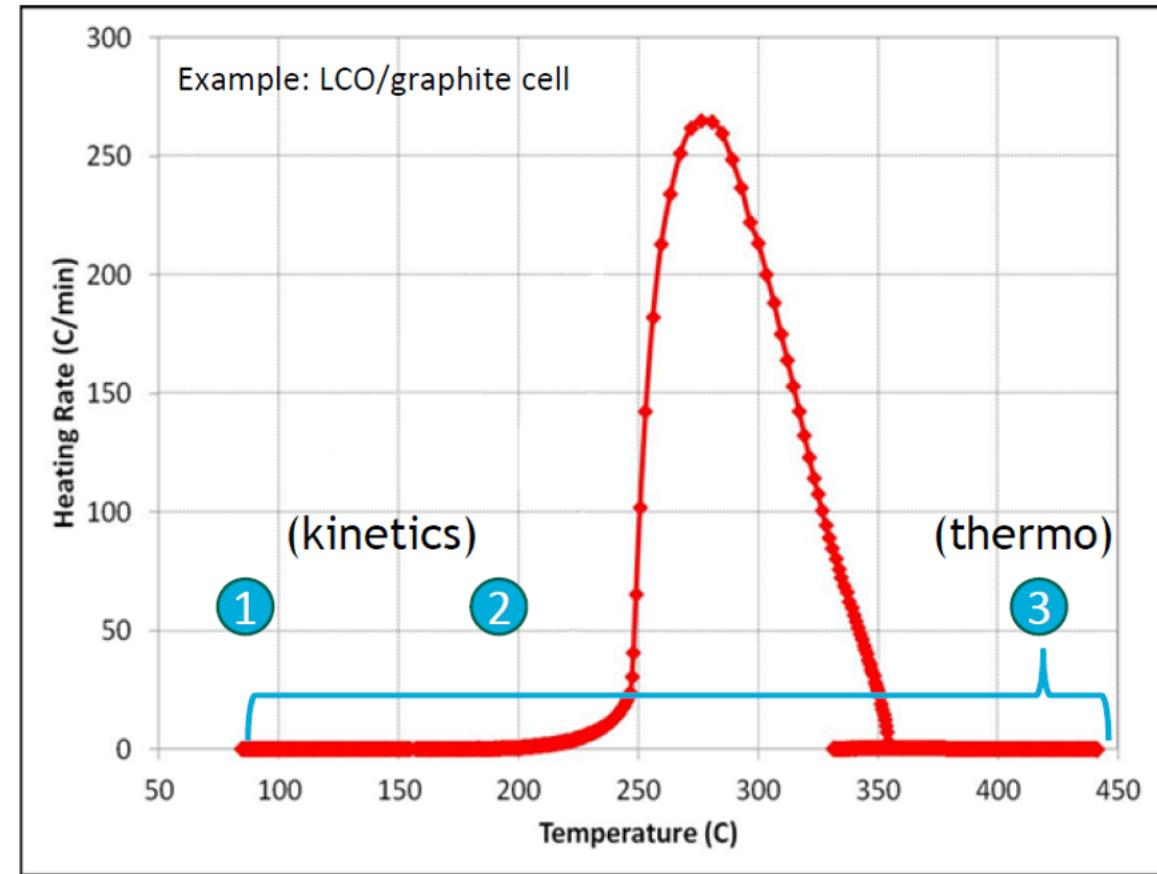


Figure Credit: Yuliya Preger <https://custom.cvent.com/5B9FB96FC2FC4AC69710004DEF407285/files/f4910d8f6dec42fb8b312e3934da8826.pdf>

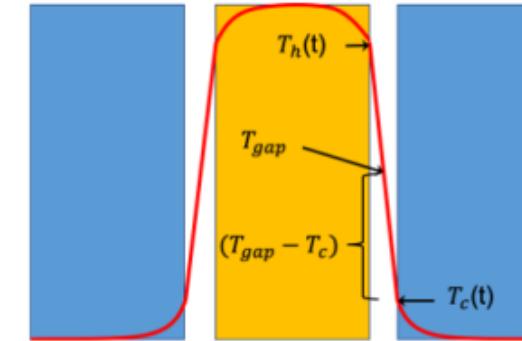
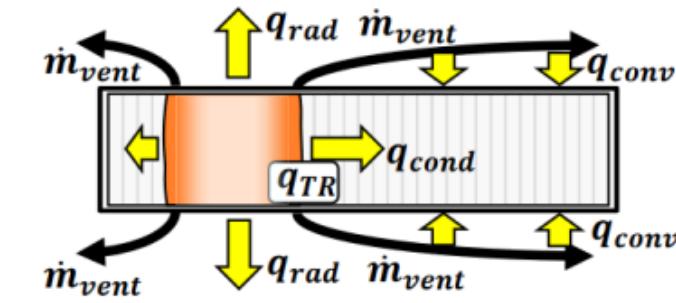
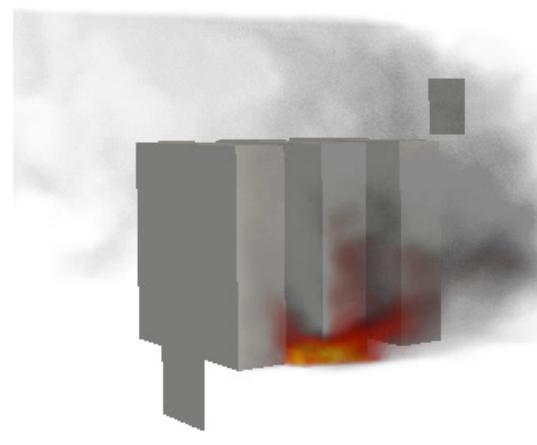
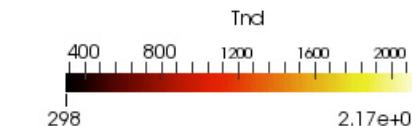
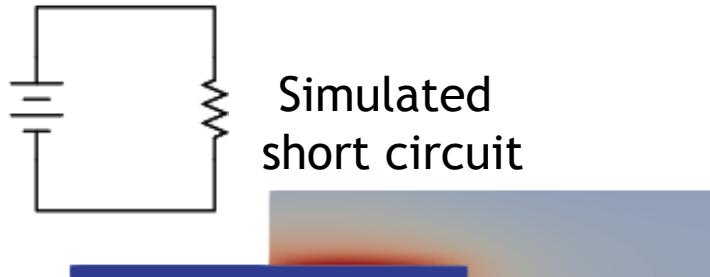
# 9 Approaches to designing in safety

The current approach is to test our way into safety.

- Large system (>1MWh) testing is difficult and costly.

Supplement testing with predictions of challenging scenarios and optimization of mitigation

- Leverage world-class fire sciences, thermal modeling, and computing resources at SNL
- Develop multi-physics models to predict failure mechanisms and identify mitigation
- Build capabilities with small/medium scale measurements
- Still requires some testing and validation



# Cell to Cell Propagation



Thermal runaway in one cell can drive nearby cells into thermal runaway depending on:

- abuse thresholds,
- heat capacity,
- heat generation rate, and
- heat dissipation rate

## Model Based Testing

- Successful prediction over a range of reduced SOC and metallic inserts.
- Collectively add heat capacity & increase time delay for cell runaway.
- Prevent propagation for 30% increase in net heat capacity.

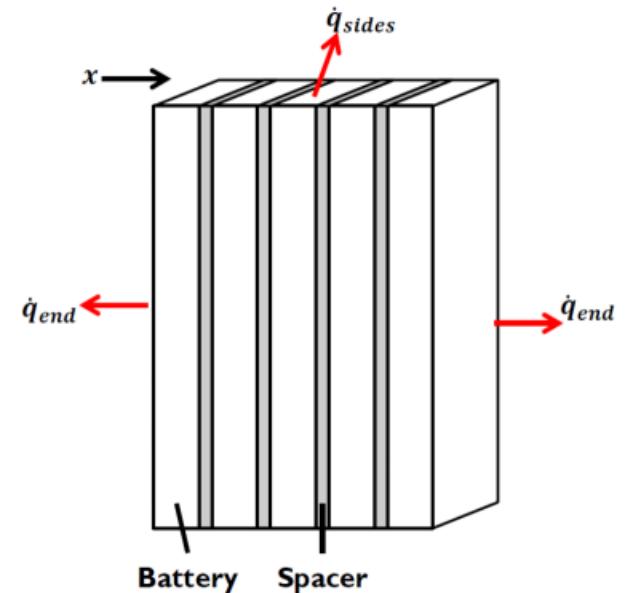
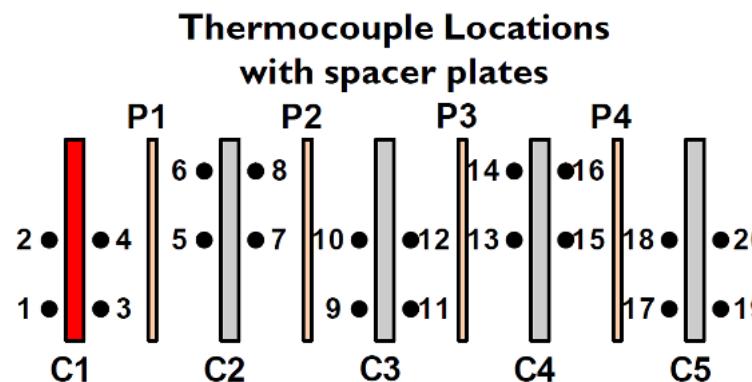
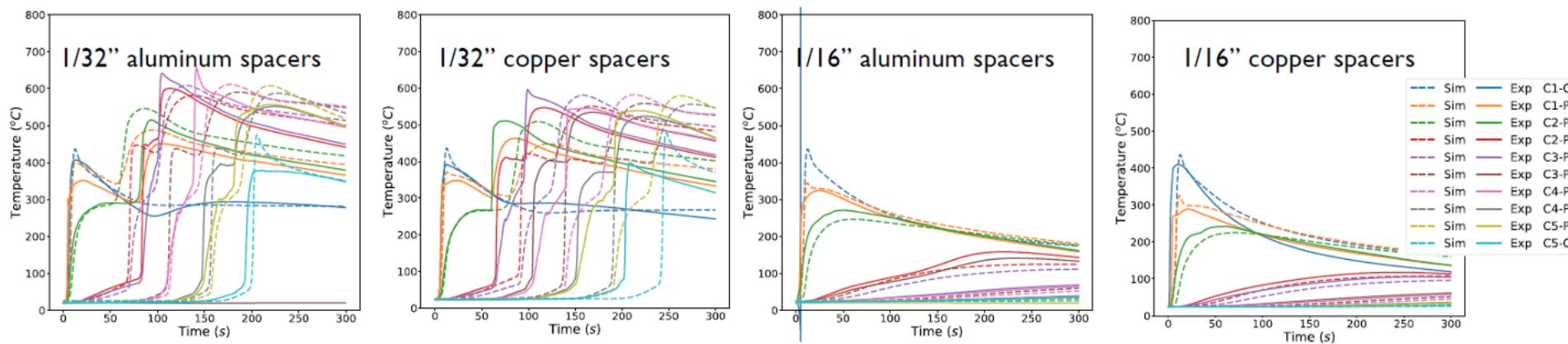


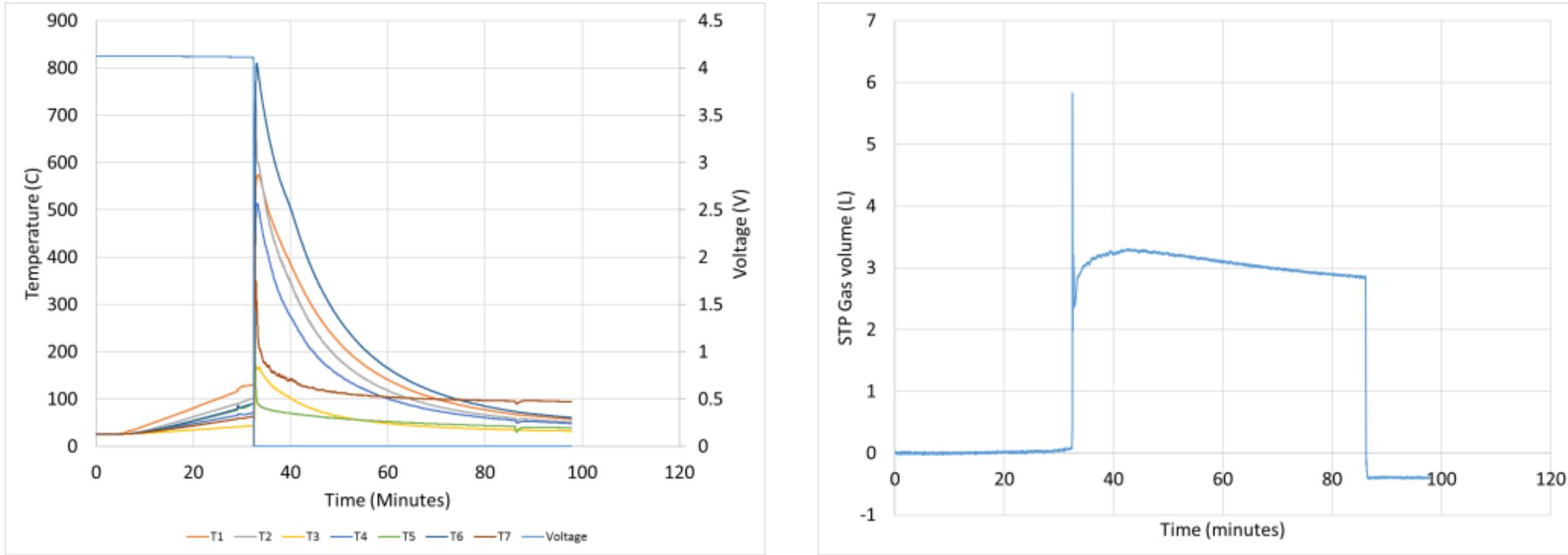
Figure Credit: John Hewson  
[https://www.sandia.gov/ess-ssl/wp-content/uploads/2021/ESSRF/Hewson\\_John.pdf](https://www.sandia.gov/ess-ssl/wp-content/uploads/2021/ESSRF/Hewson_John.pdf)



## An example of propagation testing



# Gas Production from a Li-Ion battery pack

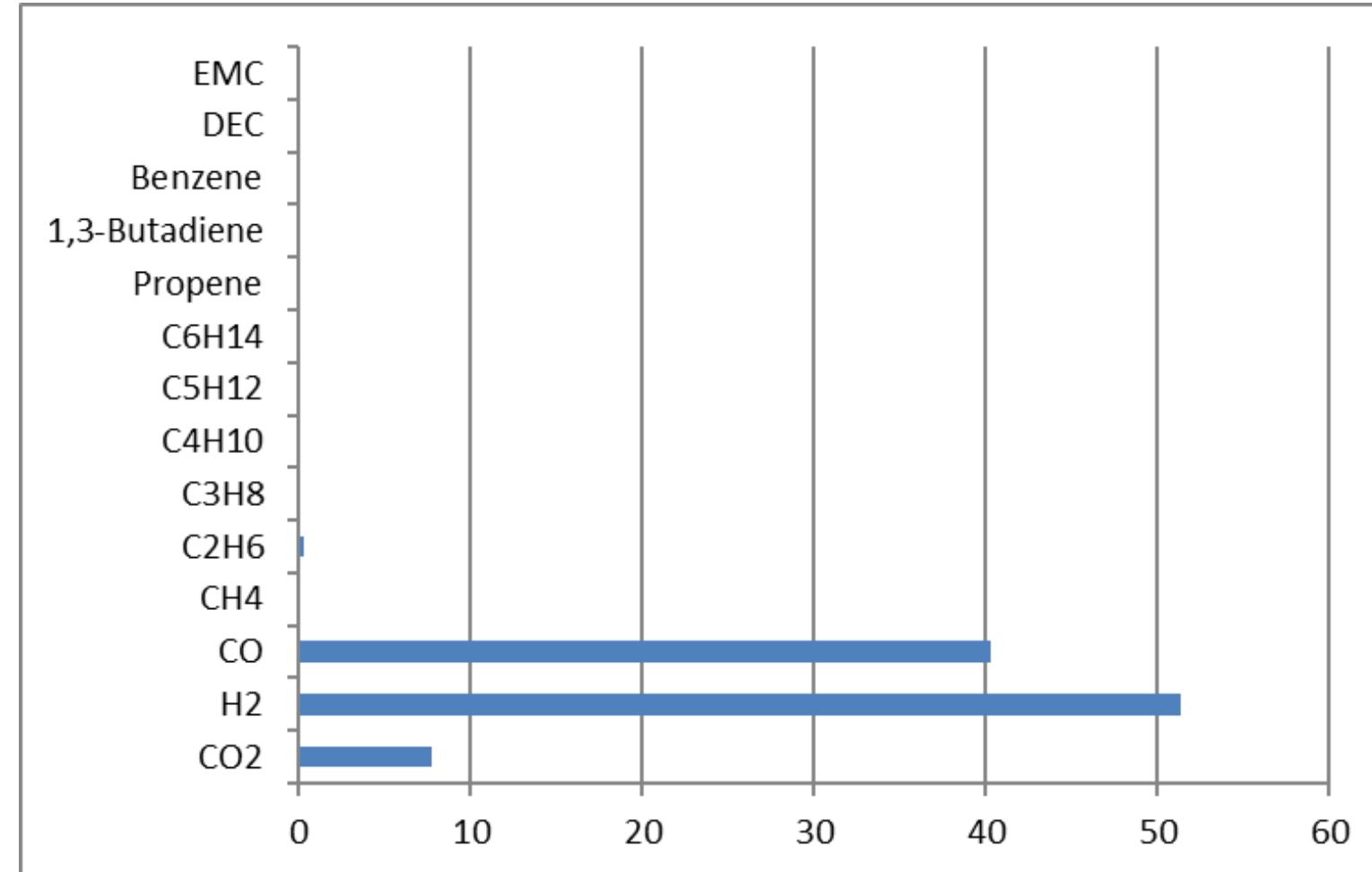


- Thermal ramp of central cell resulted in rapid failure of the entire pack
- After an initial pressure wave a rough equilibrium equivalent to  $\sim 3.3 \text{ L}_{\text{STP}}$  was observed
- Conducted on a 7 cell  $\sim 21 \text{ AH}$  pack, this shows the potential for gas production from even small cells
- These gasses can present a hazard even when thermal runaway doesn't occur

# Gas Production in Li-Ion thermal runaway



- Most prominent constituents observed are CO, H<sub>2</sub> and CO<sub>2</sub>
- N<sub>2</sub> and O<sub>2</sub> were subtracted out for this comparison and were present in air proportions
- Demonstrates several flammable constituent gasses that may lead to a conflagration event

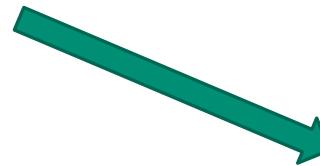
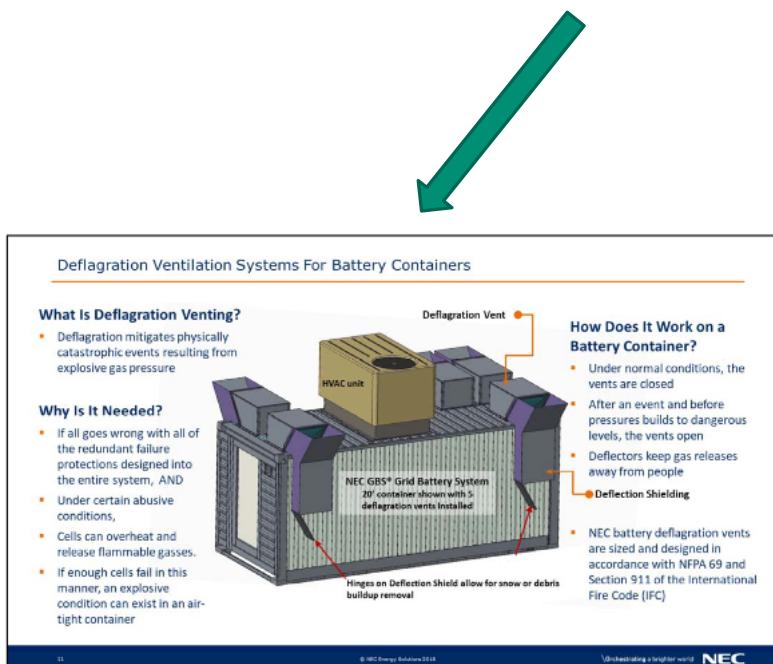


# Different mitigation design strategies



## Some of the design options available

- Prevent thermal runaway (e.g. non-lithium-ion chemistry)
- Limit the size (energy) of any one module
- Don't put the battery in an enclosure
- Enclosure deflagration venting



Andrew F. Blum and R. Thomas Long Jr. "Hazard Assessment of Lithium Ion Battery Energy Storage Systems FINAL REPORT" Fire Protection Research Foundation, 2016, Available: <https://www.nfpa.org/-/media/Files/News-and-Research/Fire-statistics-and-reports/Hazardous-materials/RFFireHazardAssessmentLithiumIonBattery.ashx>

# Sharing battery safety tools with the community



Launched heat release calculator based on Li-ion battery materials composition

Composition Case Formula(s)

Name of Layered Metal Oxide	Cathode Mass	Ni Content*	Co Content*	Mn Content*	Al Content*	Total	x = DoL	LMO Formula
Metal Composition 1	Optional	0.00	0.00	0.00	0.00	0.00	0.00	$\text{Li}_3\text{MO}_2$

\*Required field

Oxidation Enthalpy (kJ/mol O<sub>2</sub>, for electrolyte solvent, etc.)

Ni cut-off for M<sub>3</sub>O<sub>4</sub> formation

-460.5      0.50

Calculate

## Lithium-ion Battery Thermodynamic Web Calculator

Results for:

Oxidation Enthalpy: -460.5 kJ/mol O<sub>2</sub>

Ni Cutoff: 0.5

Case 1: Name = "NMC", Composition =  $\text{Li}_{0.333}\text{Ni}_{0.333}\text{Co}_{0.333}\text{O}_2$  (x = 0.5, M = Ni<sub>0.333</sub>Co<sub>0.333</sub>Mn<sub>0.333</sub>)

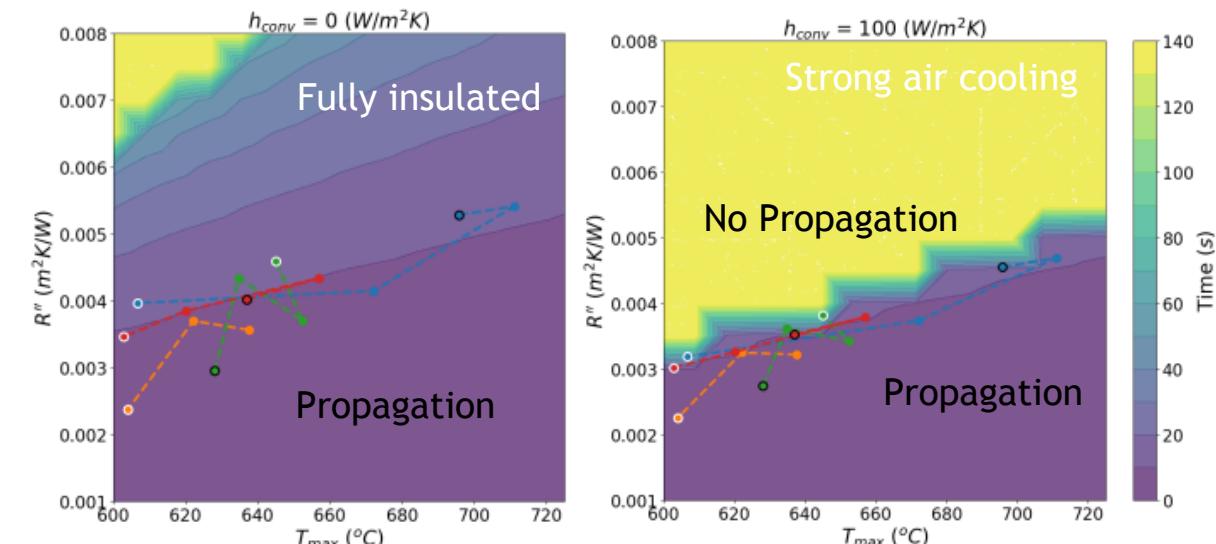
Summarized Output:

Heat Release Summary with Solvent Oxidation and Increments [ $\Delta H_r$ (J/g Li <sub>x</sub> MO <sub>2</sub> )] (Assumes Low Nickel Content)				
MO <sub>2</sub> → LiM <sub>2</sub> O <sub>4</sub>	MO <sub>2</sub> → M <sub>3</sub> O <sub>4</sub>	MO <sub>2</sub> → LiM <sub>2</sub> O <sub>4</sub> + M <sub>2</sub> O <sub>4</sub>	LiM <sub>2</sub> O <sub>4</sub> + M <sub>3</sub> O <sub>4</sub> → MO	MO <sub>2</sub> → MO
Initial Reaction 4	Reaction 2	Reaction 9	Reaction 11	Global Reaction 1
Low Temp Increment	Med Temp Increment	Med Temp Cumulative	High Temp Increment	Cumulative Total Heat Release
Case 1: -225.8	0.0	-225.8	-691.7	-917.5

Source: Randy Shurtz

<https://www.sandia.gov/ess-ssl/thermodynamic-web-calculator/>

Developing simulator of module-level thermal runaway propagation



Source: Andrew Kurzawski

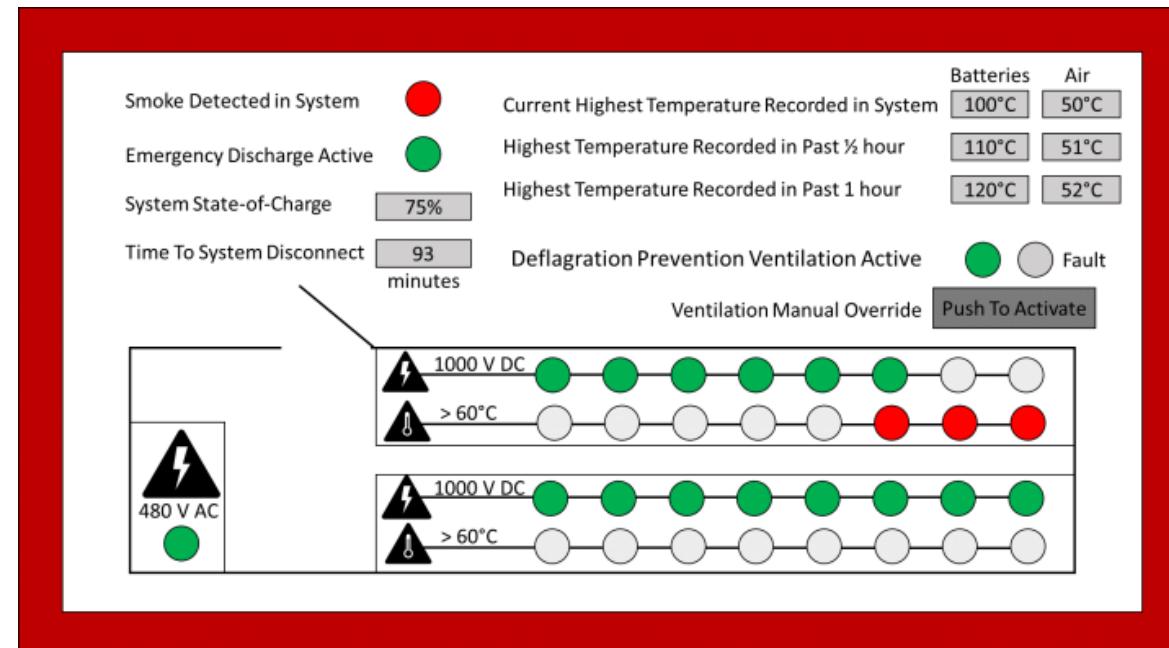
# Safety critical information availability to firefighters



The system should include a durable, external display, accessible from a safe location, for firefighters to access the following information:

1. what percentage of the cells in the system have vented,
2. is the ventilation system working as expected,
3. what voltages are present in the system,
4. what the temperature trending history is internally,
5. what actions have been taken by the automated systems (e.g. fire suppression), and
6. the presence or absence of any gases in hazardous concentrations (including smoke).

Training should focus on hazard identification, determining safe entry, methods for limiting the spread of a battery fire, identifying when the best approach is to not put out the fire (letting hazardous stored energy be dissipated safely), and determining when it is safe to leave an incident site.



Example layout for an energy storage fire alarm control panel

# Ensuring Safety – Codes and Standards



Safety standards are developed through a consensus-based development process with diverse stakeholder participation.

## Advantages:

- Broad agreement in the field
- Good at learning from past accidents

## Disadvantages

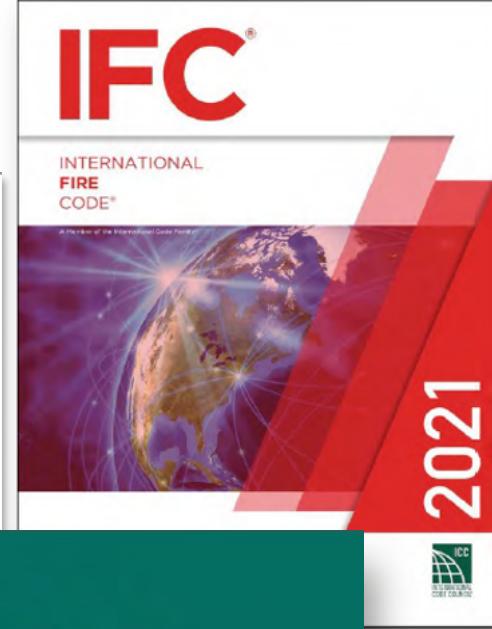
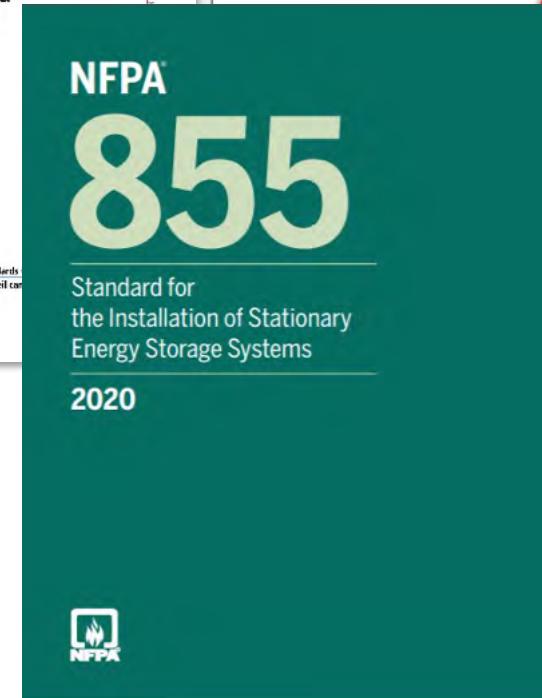
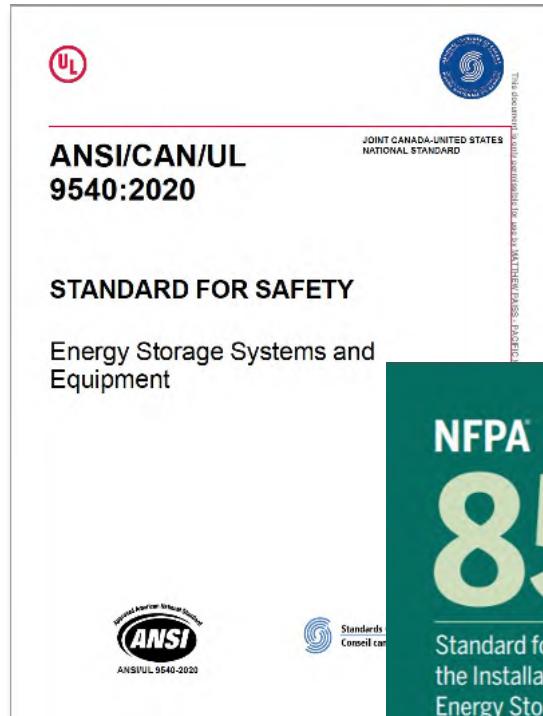
- Slow to change (3-10 year revision schedules)
- Bad at preventing accidents before they happen

A few prominent examples are:

**IFC** – defines what safety standards shall be used in regions that have adopted it

**UL 9540** – provides a hierarchy of safety standards for energy storage components, tests, and system integration

**NFPA 855** – covers: installation, commissioning, O & M, emergency response, and decommissioning

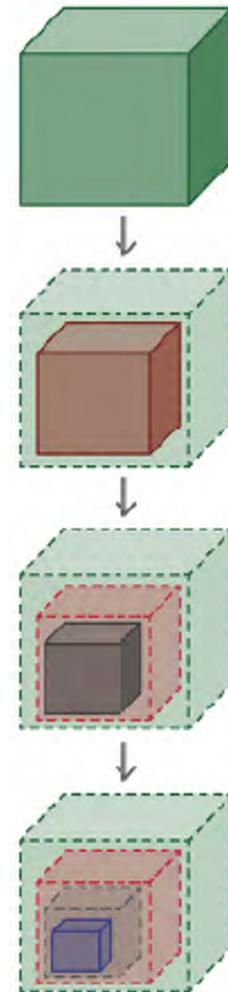


1, 2021

**Publication released quarterly**

The following activities support that objective and realization of the goal:

1. Review and assess C/S which affect the design, installation, and operation of energy storage systems (ESS)
2. Identify gaps in knowledge that require research and analysis to provide data for technical committee inputs
3. Identify areas in C/S that are potentially in need of revision or enhancement and can benefit from activities conducted under research and development
4. Develop input for new or revisions to existing C/S through individual stakeholders, facilitated task forces, or through laboratory staff supporting these efforts

**BUILT ENVIRONMENT**

- iCodes – IFC, IRC, IBC
- IEEE – C2, SCC 18, SCC21
- NFPA 5000, NFPA 1, ISA

**ENERGY STORAGE SYSTEMS**

- UL 9540, MESA
- ASME TES-1, NECA
- NFPA 791

**INSTALLATION / APPLICATION**

• NFPA 855	• IEEE C2	• DNVGL GRIDSTOR
• NFPA 70	• IEEE 1635/ASHRAE 21	• FM GLOBAL 5-33
• UL 9540 A	• IEEE P1578	• NECA 416 & 416

**SYSTEM COMPONENTS**

• UL 1973	• CSA 22.2 No. 340-201
• UL 1974	• IEEE 1547
• UL 810A	• IEEE 1679 Series
• UL1741	

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