



Battery Safety R&D and its Role in Technology Development



Next-Gen Stationary Batteries

August 11, 2021

Joshua Lamb

jlamb@sandia.gov



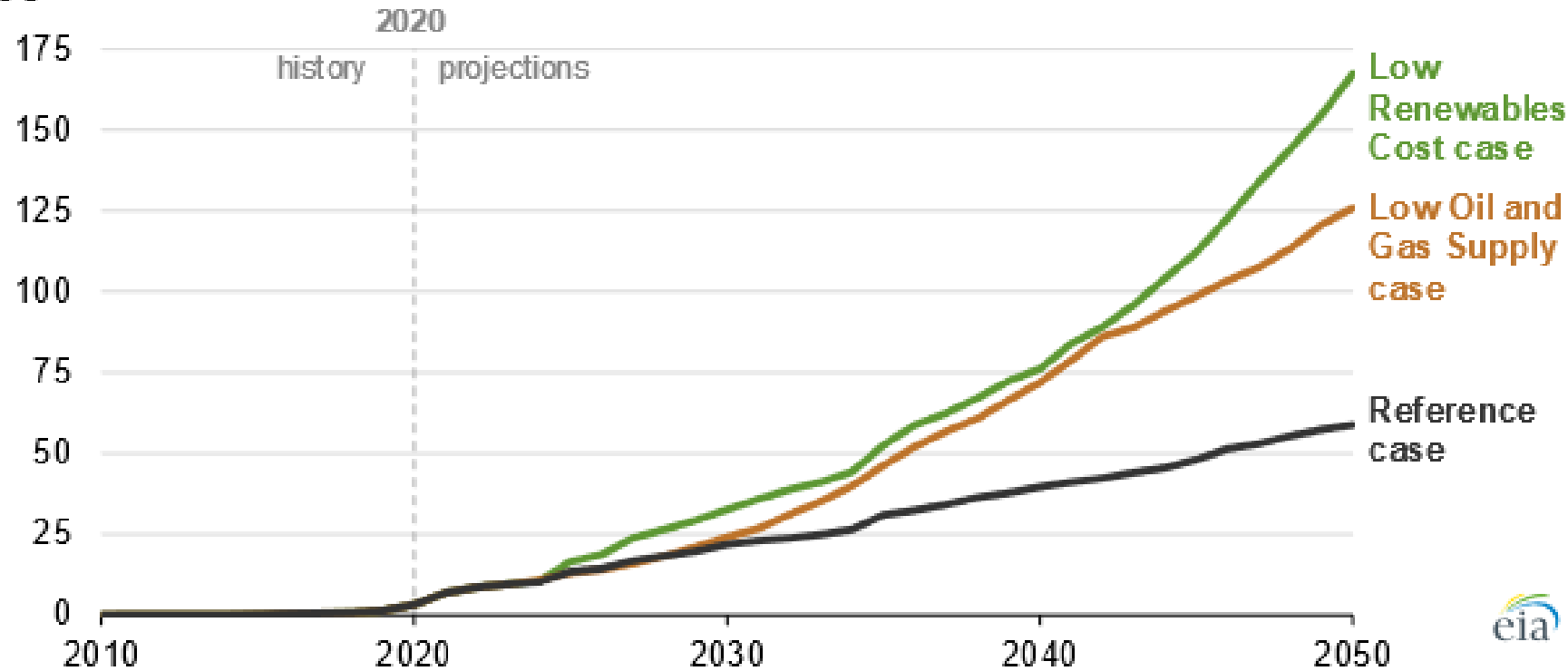
Sandia National Laboratories is a multi-mission laboratory managed and operated by National Technology & Engineering Solutions of Sandia, LLC, a wholly owned subsidiary of Honeywell International Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

Batteries will provide substantial grid-scale energy storage



Energy Information Administration Annual Energy Outlook 2021 report projects 59 GW of battery energy storage on the grid by 2050 in the base case, 175 GW if more renewables

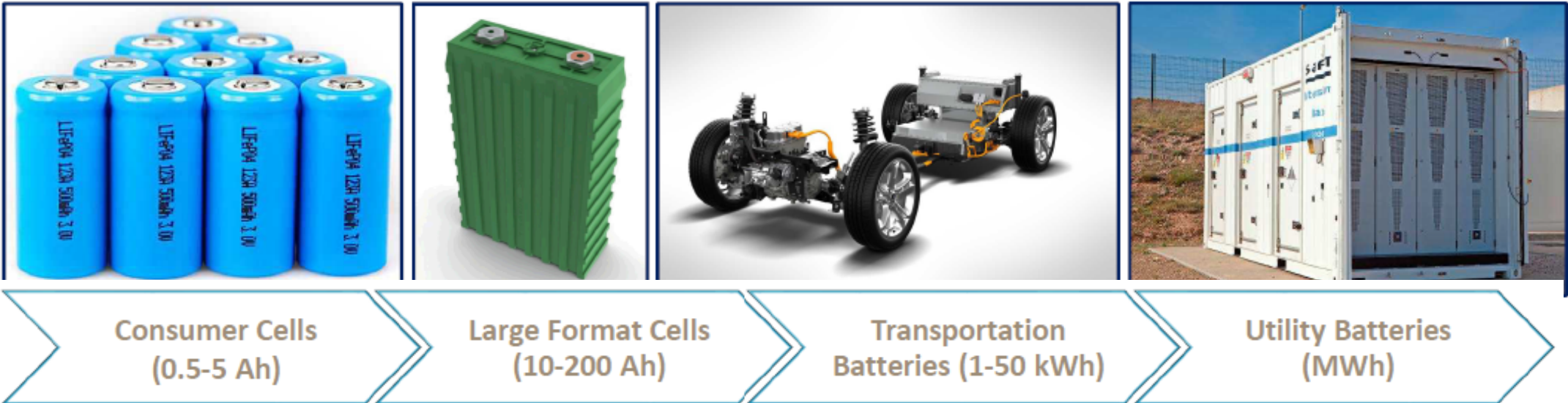
U.S. battery storage power capacity (2010–2050)
gigawatts



Source: U.S. Energy Information Administration, *Annual Energy Outlook 2021*



Impact and consequence of scale on safety



Safety issues and complexity increase with battery size

Safety research is heavily focused on lithium-ion as the primary application ready technology. However many emerging technologies identified as promising for grid-scale storage are less well studied.

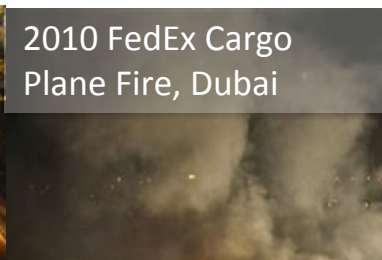
Grid ESS are the new frontier of energy storage safety



2006 Sony/Dell battery recall
4.1 million batteries



2008 Navy, \$400M Advanced
Seal Delivery Sub, Honolulu



2010 FedEx Cargo
Plane Fire, Dubai



2011 NGK Na/S Battery
Explosion, Japan (two weeks
to extinguish blaze)



2018 Tesla Model S catches
on fire during normal
traffic/no accident



2011 Chevy Volt Latent Battery
Fire at DOT/NHTSA Test Facility



2012 Battery Room Fire at
Kahuku Wind-Energy Storage
Farm



2012 GM Test Facility
Incident, Warren, MI



2013 Storage Battery Fire,
The Landing Mall, Port
Angeles, (reignited one week
after being "extinguished")



2018-2019 A string of 21 energy
storage system fires in South Korea
leads to suspension of new projects



2013 Boeing Dreamliner Battery
Fires, FAA Grounds Fleet



2013 Tesla Battery Fires,
Washington, resulting from a
highway accident



2013 Fisker Battery Fires, New Jersey,
in the wake of Super Storm Sandy



2019 A fire in an ESS in Surprise, AZ
leads to an explosion injuring first
responders

ESS incidents typically make the news



Safety is critical to the widescale deployment of energy storage technologies.

Bloomberg

Bloomberg

Hyperdrive

Explosions Threatening Lithium-Ion's Edge in a Battery Race

By Brian Eckhouse and Mark Chedak
April 23, 2019, 4:58 PM MDT Updated on April 24, 2019, 8:24 AM MDT

- ▶ Battery exploded at plant in Arizona; two others were shut
- ▶ Arizona utility regulator calls for 'thorough investigation'

Another lithium-ion battery has exploded, this time at an energy-storage complex in the U.S.

At least 28 fires had already occurred at battery projects in South Korea, according to BloombergNEF. But this latest one, erupting on Friday at a facility owned by a Pinnacle West Capital Corp. utility in Surprise, Arizona, marked the first time it has happened in America since batteries took off globally.

Get Fiber Internet & with no installation

SHARE THIS ARTICLE

▶ 4:52

Facebook Twitter Email

<https://www.bloomberg.com/news/articles/2019-04-23/explosions-are-threatening-lithium-ion-s-edge-in-a-battery-race>

There is a tendency to use the availability heuristic when considering risk.

To avoid this, consider how many batteries continue to operate without problems every day.


Greentech Media

gtn Solar Grid Edge Storage Wind More Tracking Products Resources

APS and Fluence Investigating Explosion at Arizona Energy Storage Facility

The stakes are high for the energy storage sector after an explosion with an unknown cause left several firefighters injured.

KARL EDER STRONGMATTER | APRIL 23, 2019



Fluence has dispatched a team of experts to help utility Arizona Public Service determine what caused an explosion at one of its grid-scale battery facilities. The explosion on Friday reportedly left four firefighters injured, including those who were sent to a local center.

Firefighters responded to a call on April 19 after smoke was seen rising from APS' Multicore Energy Storage facility, one of two identical 2-megawatt/2-megawatt-hour grid-scale batteries the utility installed in 2017 in Phoenix's growing West Valley region.

According to local press reports, the firefighters were inspecting the facility's lithium-ion batteries when they were hit with an explosion. Several of the firefighters received chemical burns, the local fire department told the Arizona Republic.

The firefighters were later reported to be in stable condition.

APS, the state's largest investor-owned utility, said in a statement on Twitter that it is still investigating the cause of the "equipment failure."

Solar-Plus-Storage Is Just the Beginning

READ MORE

TOP ARTICLES MOST POPULAR MOST COMMENTS

Why Advanced Nuclear Reactors May Be More Feasible Than Many Imagine

U.S. Aims to Double Decade With 1,000MW Energy Storage Plant

10 Mistakes Fire Made Calling and Installing Utility Storage Systems

<https://www.greentechmedia.com/articles/read/aps-and-fluence-investigating-explosion-at-arizona-energy-storage-facility#gs.gpkg5k>

The Korea Times

The Korea Times All Q f t

Biz & Tech

Auto IT Game Manufacturing Retail & Food Energy

IT

Frequent fire raising concerns over safety of solar energy



A fire engulfs an energy storage system at a cement plant in Jecheon, North Chungcheong Province, Monday. / Courtesy of North Chungcheong Province Fire Service Headquarters

By Nam Hyun-woo

A series of fires in energy storage systems (ESSs) has been raising safety concerns, according to industry analysts, Tuesday.

With ESSs essential for optimizing energy efficiency, further accidents may compromise the feasibility of renewable power and hamper the government's bid to expand the use of cleaner energies.

According to the Ministry of Trade, Industry and Energy, it recommended individuals, companies and other organizations to stop using 584 unsupervised ESSs across the country.

https://www.koreatimes.co.kr/www/tech/2018/12/133_260560.html

Dedicated facilities for battery testing



- Hundreds of independent channels for testing, from coin cells to kWh modules
- 150 μA to 2000 A current range capability
- R&D 100 Green Technology-awarded high-precision testers
- 70+ thermal chambers, ranging from 1.2 ft^3 to 25 ft^3
- -72°C to 95°C temperature capabilities
- Welding capabilities, including resistance, pinch, and spot
- Additional labs for materials characterization and 8000 ft^2 dry-room space for prototyping



World-class battery abuse lab (DOE Core facility)



- Comprehensive abuse testing platforms for safety and reliability of cells, batteries and systems from mWh to kWh
- Mechanical abuse
 - Penetration
 - Crush
 - Impact
 - Immersion
- Thermal abuse
 - Over temperature
 - Flammability measurements
 - Thermal propagation
 - Calorimetry
- Electrical abuse
 - Overvoltage/overcharge
 - Short circuit
 - Overdischarge/voltage reversal
- Characterization/Analytical Tools
 - X-ray computed tomography
 - Gas analysis
 - Surface characterization
 - Optical/electron microscopy



Mechanics of Thermal Runaway



How does thermal runaway start?

- Thermal, electrical, or mechanical “abuse”
- However, “abuse” thresholds are statistical properties and can change with time, usage, and environment

Thermal runaway measured via accelerated rate calorimetry (ARC)

1. Self-heating onset temperature
2. Thermal runaway onset temperature
3. Total heat release (ΔT)

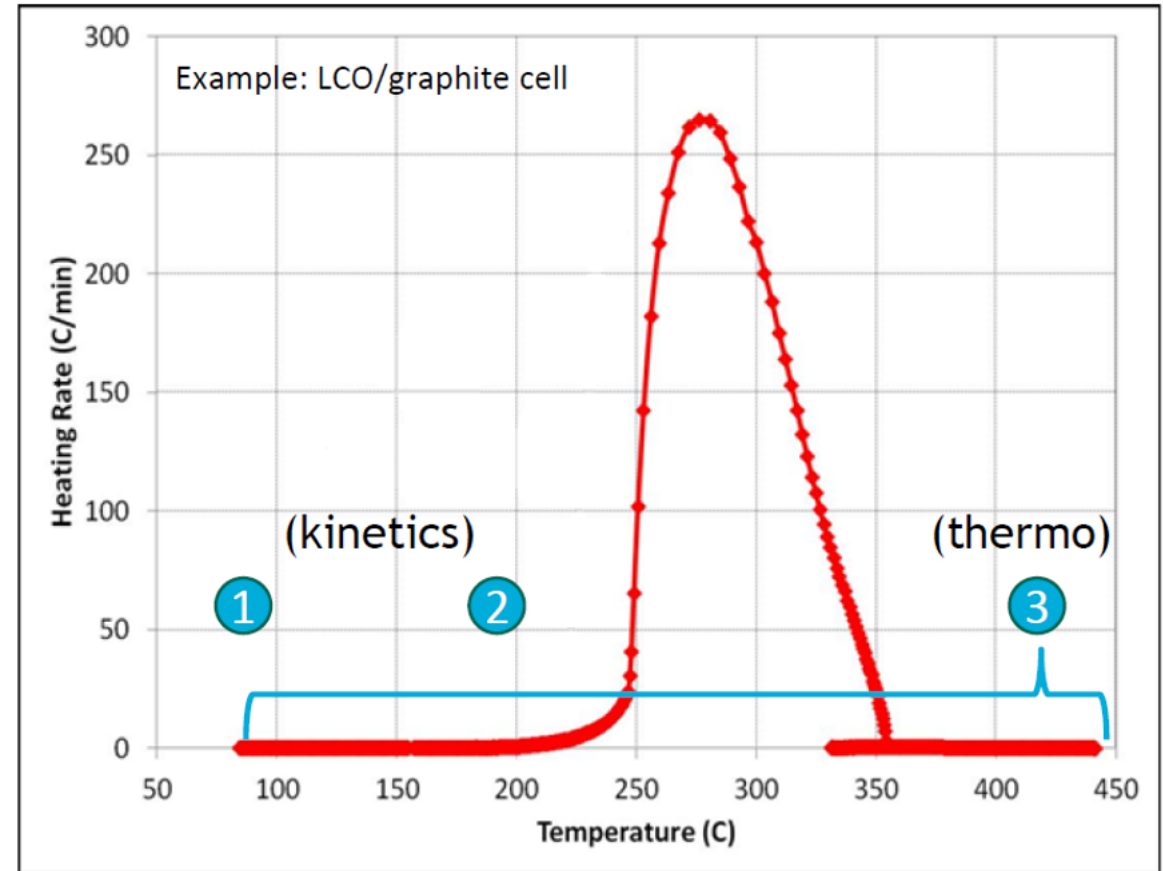
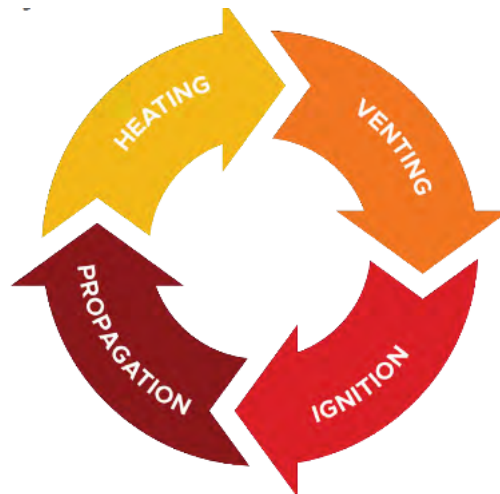


Figure Credit: Yuliya Preger <https://custom.cvent.com/5B9EB96FC2FC4AC69710004DEF407285/files/f4910d8f6dec42fb8b312e3934da8826.pdf>

9

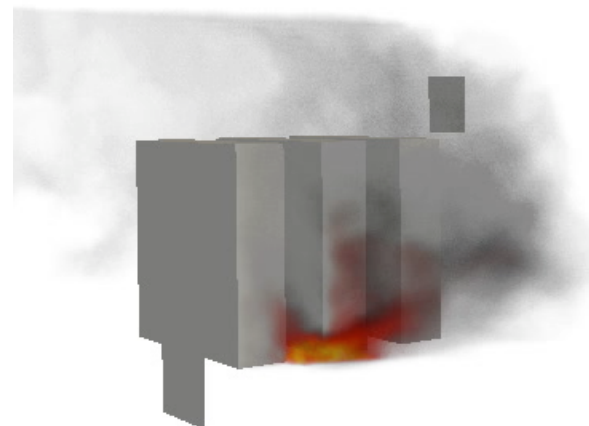
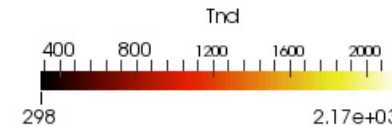
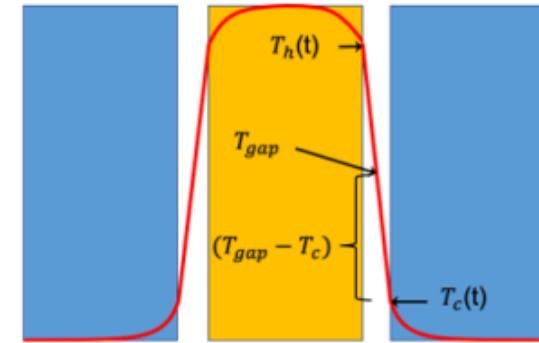
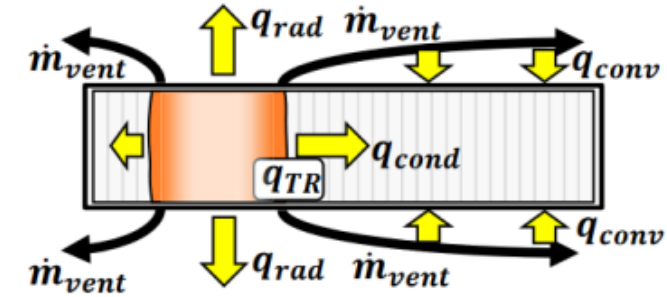
Approaches to designing in safety

The current approach is to test our way into safety.

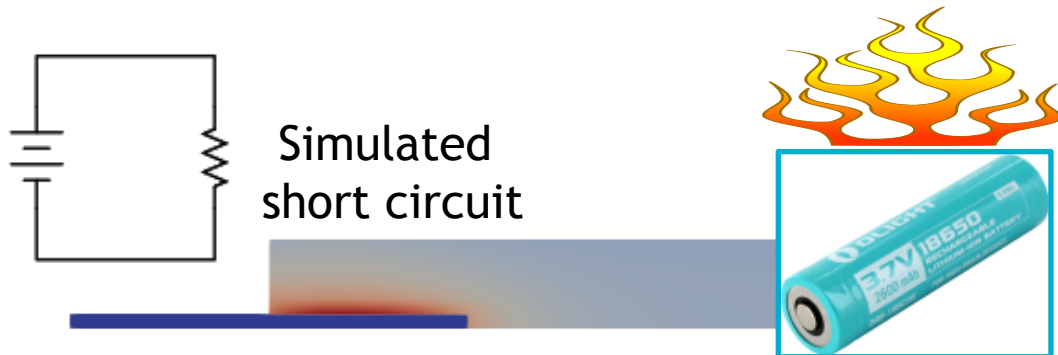
- Large system (>1MWh) testing is difficult and costly.

Supplement testing with predictions of challenging scenarios and optimization of mitigation

- Leverage world-class fire sciences, thermal modeling, and computing resources at SNL
- Develop multi-physics models to predict failure mechanisms and identify mitigation
- Build capabilities with small/medium scale measurements
- Still requires some testing and validation



Time: 46.683046



Cell to Cell Propagation

Thermal runaway in one cell can drive nearby cells into thermal runaway depending on:

- abuse thresholds,
- heat capacity,
- heat generation rate, and
- heat dissipation rate

Model Based Testing

- Successful prediction over a range of reduced SOC and metallic inserts.
- Collectively add heat capacity & increase time delay for cell runaway.
- Prevent propagation for 30% increase in net heat capacity.

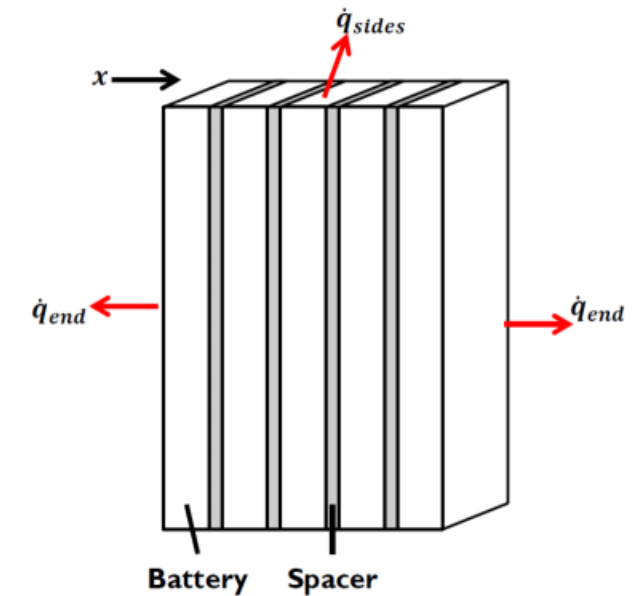
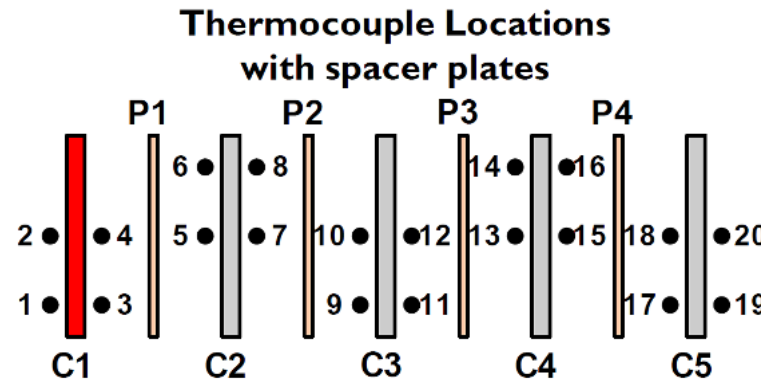
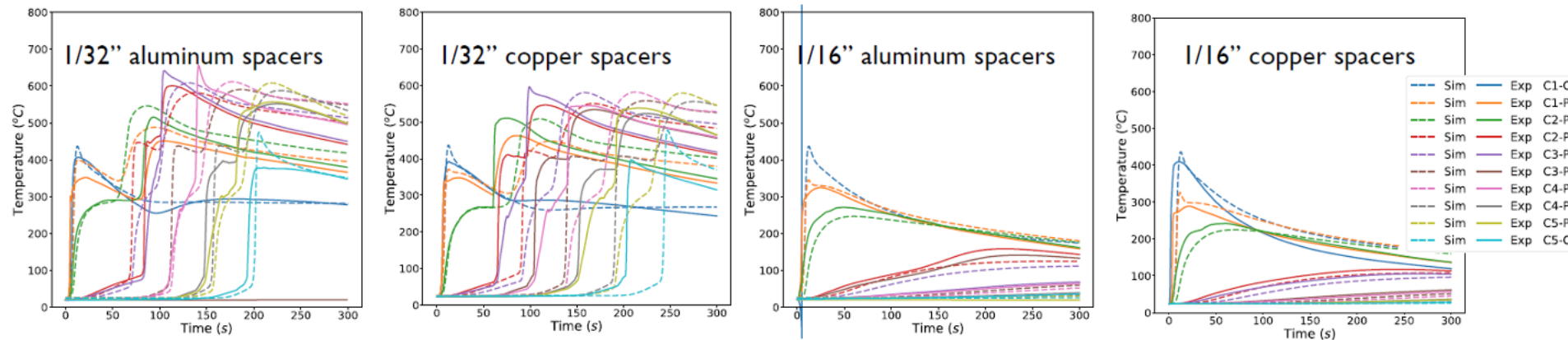


Figure Credit: John Hewson

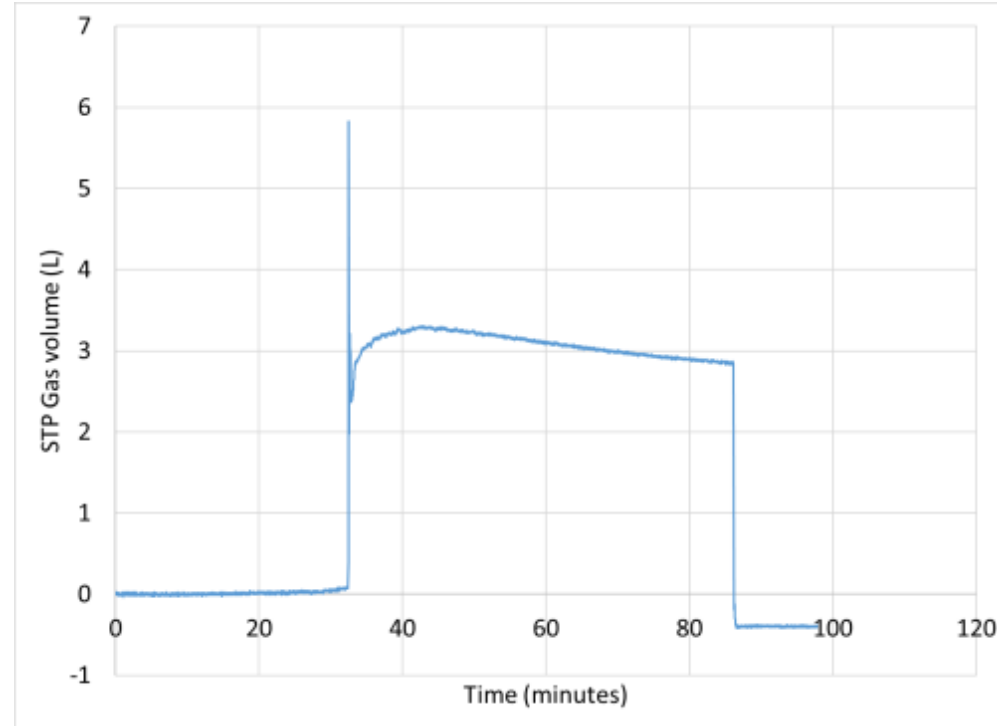
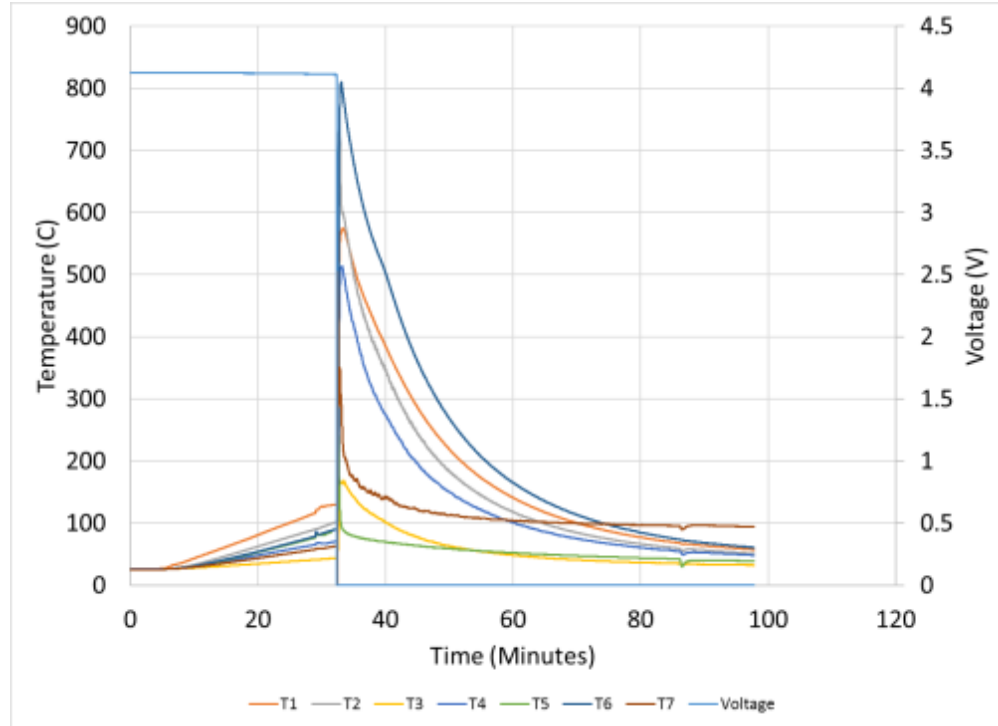
https://www.sandia.gov/ess-ssl/wp-content/uploads/2021/ESSRF/Hewson_John.pdf



An example of propagation testing



Gas Production from a Li-Ion battery pack

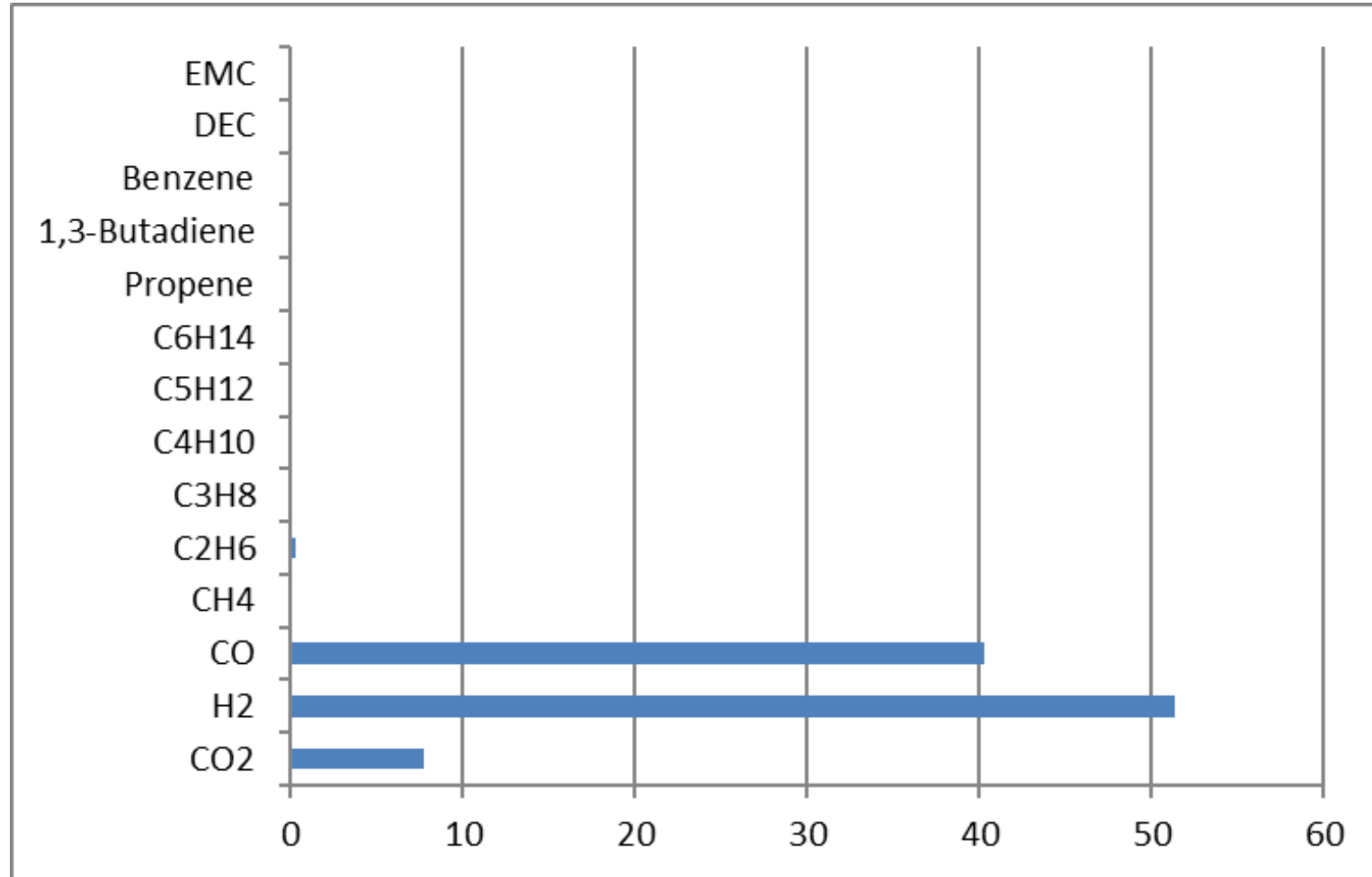


- Thermal ramp of central cell resulted in rapid failure of the entire pack
- After an initial pressure wave a rough equilibrium equivalent to $\sim 3.3 L_{STP}$ was observed
- Conducted on a 7 cell ~ 21 AH pack, this shows the potential for gas production from even small cells
- These gasses can present a hazard even when thermal runaway doesn't occur

Gas Production in Li-Ion thermal runaway



- Most prominent constituents observed are CO, H₂ and CO₂
- N₂ and O₂ were subtracted out for this comparison and were present in air proportions
- Demonstrates several flammable constituent gasses that may lead to a conflagration event

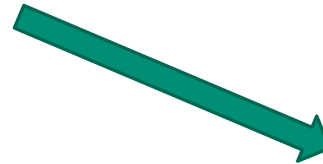


Different mitigation design strategies



Some of the design options available

- Prevent thermal runaway (e.g. non-lithium-ion chemistry)
- Limit the size (energy) of any one module
- Don't put the battery in an enclosure
- Enclosure deflagration venting



Andrew F. Blum and R. Thomas Long Jr. “Hazard Assessment of Lithium Ion Battery Energy Storage Systems FINAL REPORT” Fire Protection Research Foundation, 2016, Available: <https://www.nfpa.org/-/media/Files/News-and-Research/Fire-statistics-and-reports/Hazardous-materials/RFFireHazardAssessmentLithiumIonBattery.ashx>

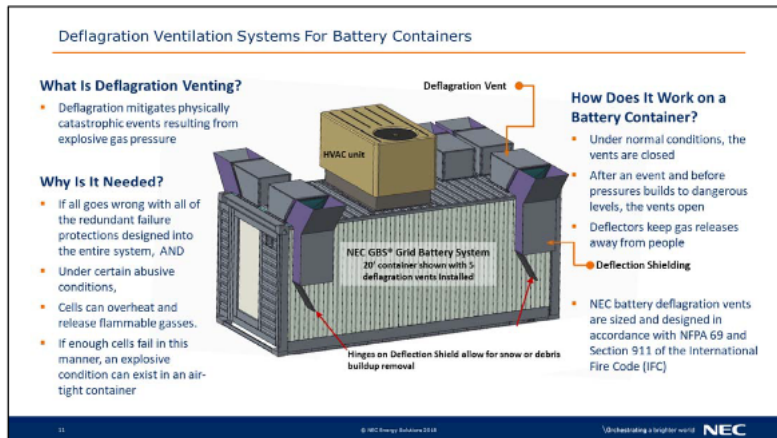


Figure source: https://share-ng.sandia.gov/ess/wp-content/uploads/2019/04/4a_Hoff_GSS-Safety-Overview-v15.6_MHOFF-with-Notes.pdf

Sharing battery safety tools with the community



Launched heat release calculator based on Li-ion battery materials composition

Composition Case Formula(s)

Name of Layered Metal Oxide Cathode Mass ☐ Ni Content* Co Content* Mn Content* Al Content* Total x = DoL LMO Formula

Metal Composition 1 Optional 0.00 0.00 0.00 0.00 0.00 0.00 0.00 Li_xMO_2

*Required field

☒ Oxidation Enthalpy (kJ/mol O_2 , for electrolyte solvent, etc.) -460.5

☒ Ni cut-off for M_2O_4 formation 0.50

Calculate

Lithium-ion Battery Thermodynamic Web Calculator

Results for:

Oxidation Enthalpy: -460.5 kJ/mol O_2

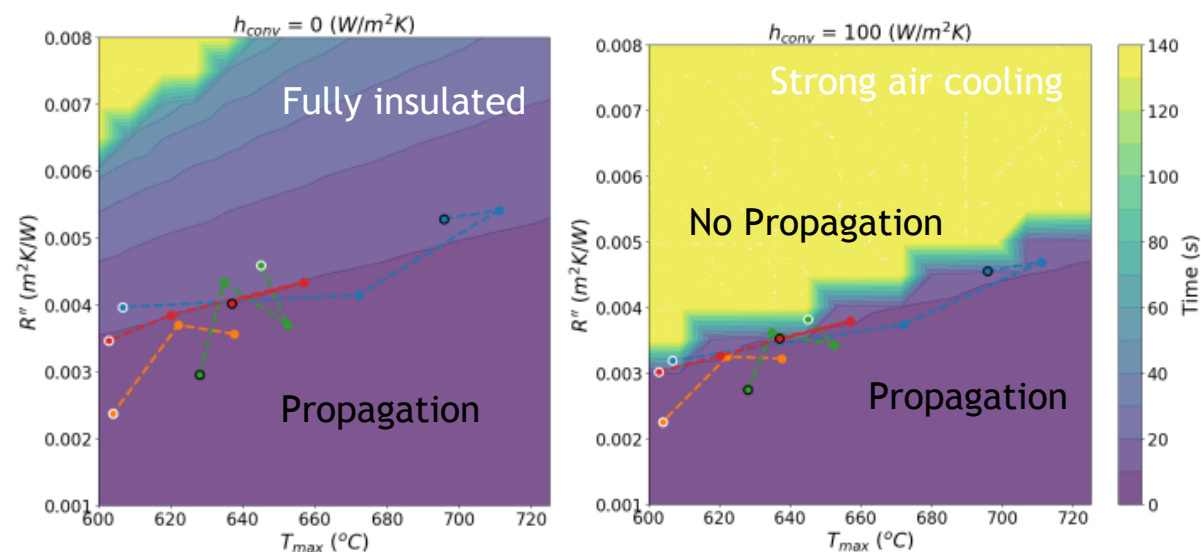
Ni Cutoff: 0.5

Case 1: Name = "NMC", Composition = $\text{Li}_{0.5}\text{Ni}_{0.333}\text{Co}_{0.333}\text{Mn}_{0.333}\text{O}_2$ (x = 0.5, M = $\text{Ni}_{0.333}\text{Co}_{0.333}\text{Mn}_{0.333}$)

Summarized Output:

Heat Release Summary with Solvent Oxidation and Increments [ΔH_f (J/g Li_xMO_2)] (Assumes Low Nickel Content)				
$\text{MO}_2 \rightarrow \text{LiM}_2\text{O}_4$	$\text{MO}_2 \rightarrow \text{M}_2\text{O}_4$	$\text{MO}_2 \rightarrow \text{LiM}_2\text{O}_4 + \text{M}_2\text{O}_4$	$\text{LiM}_2\text{O}_4 + \text{M}_2\text{O}_4 \rightarrow \text{MO}$	$\text{MO}_2 \rightarrow \text{MO}$
Initial Reaction 4	Reaction 2	Reaction 9	Reaction 11	Global Reaction 1
Low Temp Increment	Med Temp Increment	Med Temp Cumulative	High Temp Increment	Cumulative Total Heat Release
Case 1: -225.8	0.0	-225.8	-691.7	-917.5

Developing simulator of module-level thermal runaway propagation



Source: Randy Shurtz

<https://www.sandia.gov/ess-ssl/thermodynamic-web-calculator/>

Source: Andrew Kurzawski

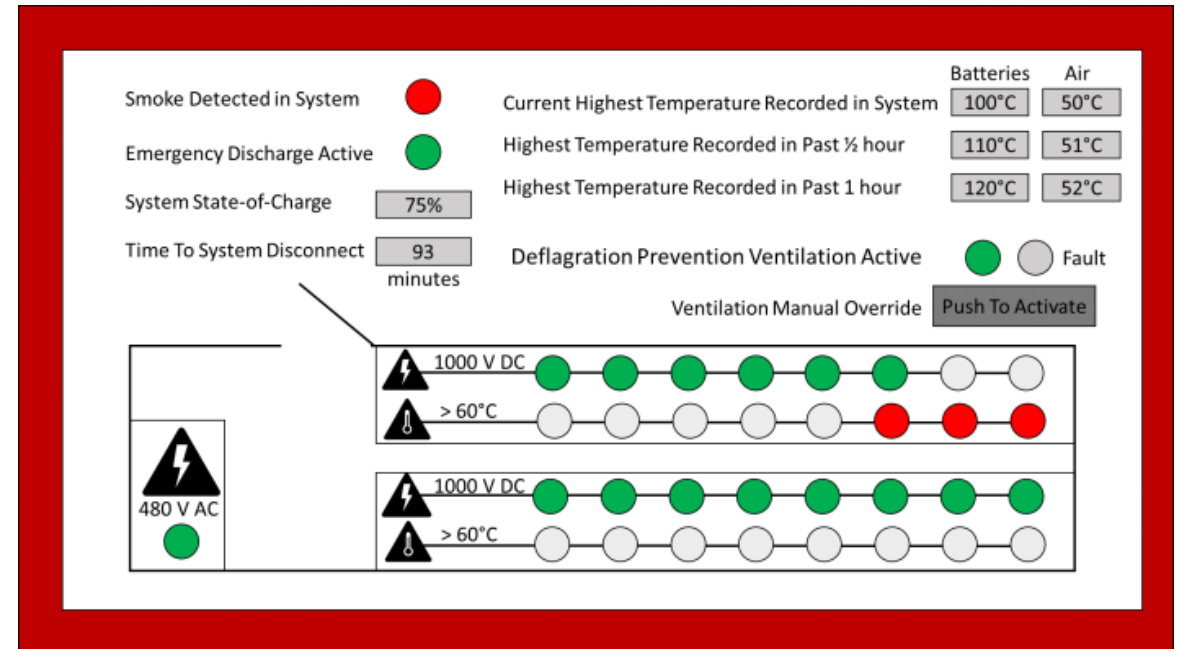
Safety critical information availability to firefighters



The system should include a durable, external display, accessible from a safe location, for firefighters to access the following information:

1. what percentage of the cells in the system have vented,
2. is the ventilation system working as expected,
3. what voltages are present in the system,
4. what the temperature trending history is internally,
5. what actions have been taken by the automated systems (e.g. fire suppression), and
6. the presence or absence of any gases in hazardous concentrations (including smoke).

Training should focus on hazard identification, determining safe entry, methods for limiting the spread of a battery fire, identifying when the best approach is to not put out the fire (letting hazardous stored energy be dissipated safely), and determining when it is safe to leave an incident site.



Example layout for an energy storage fire alarm control panel

Ensuring Safety – Codes and Standards

Safety standards are developed through a consensus-based development process with diverse stakeholder participation.

Advantages:

- Broad agreement in the field
- Good at learning from past accidents

Disadvantages

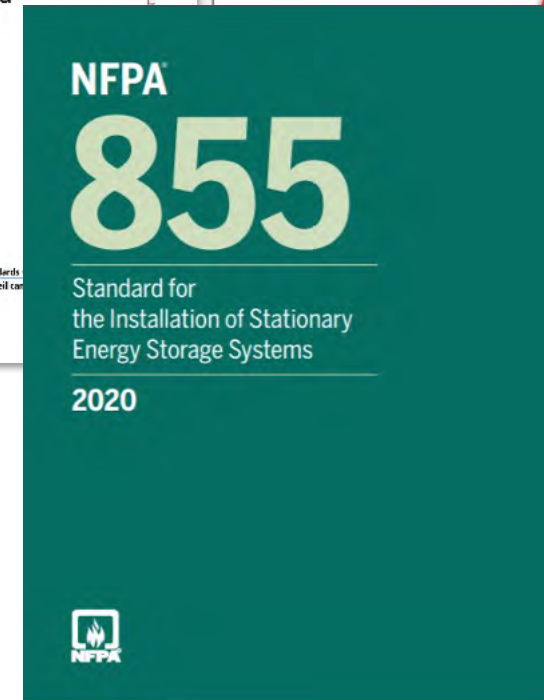
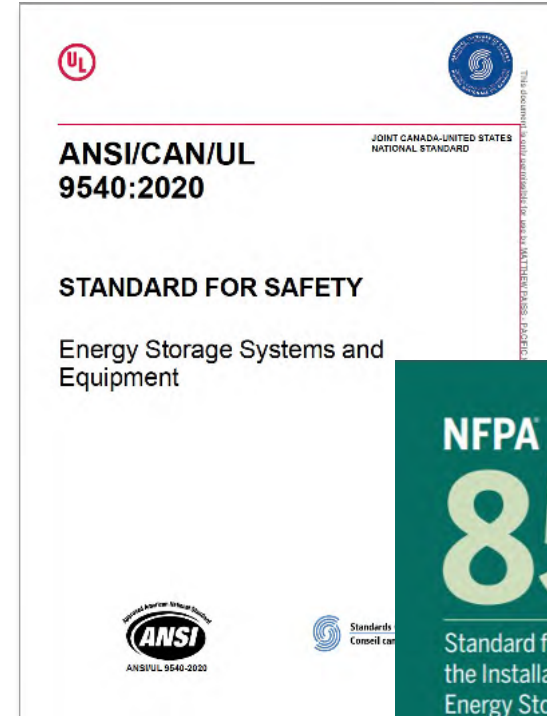
- Slow to change (3-10 year revision schedules)
- Bad at preventing accidents before they happen

A few prominent examples are:

IFC – defines what safety standards shall be used in regions that have adopted it

UL 9540 – provides a hierarchy of safety standards for energy storage components, tests, and system integration

NFPA 855 – covers: installation, commissioning, O & M, emergency response, and decommissioning

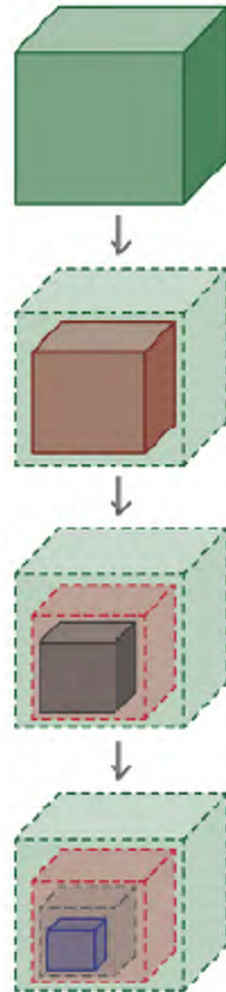




Publication released quarterly

The following activities support that objective and realization of the goal:

1. Review and assess C/S which affect the design, installation, and operation of energy storage systems (ESS)
2. Identify gaps in knowledge that require research and analysis to provide data for technical committee inputs
3. Identify areas in C/S that are potentially in need of revision or enhancement and can benefit from activities conducted under research and development
4. Develop input for new or revisions to existing C/S through individual stakeholders, facilitated task forces, or through laboratory staff supporting these efforts



BUILT ENVIRONMENT

- iCodes – IFC, IRC, IBC
- IEEE – C2, SCC 18, SCC21
- NFPA 5000, NFPA 1, ISA

ENERGY STORAGE SYSTEMS

- UL 9540, MESA
- ASME TES-1, NECA
- NFPA 791

INSTALLATION / APPLICATION

- | | | |
|-------------|-----------------------|------------------|
| ▪ NFPA 855 | ▪ IEEE C2 | ▪ DNVGL GRIDSTOR |
| ▪ NFPA 70 | ▪ IEEE 1635/ASHRAE 21 | ▪ FM GLOBAL 5-33 |
| ▪ UL 9540 A | ▪ IEEE P1578 | ▪ NECA 416 & 416 |

SYSTEM COMPONENTS

- | | |
|-----------|------------------------|
| ▪ UL 1973 | ▪ CSA 22.2 No. 340-201 |
| ▪ UL 1974 | ▪ IEEE 1547 |
| ▪ UL 810A | ▪ IEEE 1679 Series |
| ▪ UL1741 | |

Acknowledgements



Funded by the U.S. Department of Energy, Office of Electricity, Energy Storage program. Dr. Imre Gyuk, Program Director.

Sandia National Laboratories is a multimission laboratory managed and operated by National Technology & Engineering Solutions of Sandia, LLC, a wholly owned subsidiary of Honeywell International Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

Sandia Technical Team

Loraine Torres-Castro

Jill Langendorf

Chris Grosso

Lucas Gray

Alex Bates

Armando Fresquez

Reed Wittman

David Rosewater

Chris Searles

John Hewson

Andrew Kurzawski

Randy Shurtz

Kyle Fenton

Babu Chalamala

For questions about this presentation: jlamb@sandia.gov

Software Development

Valerio De Angelis (SNL)

Early framework testers at CCNY: Sanjoy Banerjee, Jinchao Huang, Andreas Savva, Gautam Yadav

Front end: Sam Roberts-Baca (SNL)

Database: Mark Spoonamore (SNL)

Early site feedback: Matthieu Dubarry (HNEI)

Research Collaborations

Matt Paiss, Charlie Vartanian (PNNL)

Hsin Wang, Michael Starke (ORNL)

Abhishek Jaiswal (Arizona Public Service Electric)

Michael Hargather (New Mexico Tech)

National Institute of Technology and Evaluation, Japan