

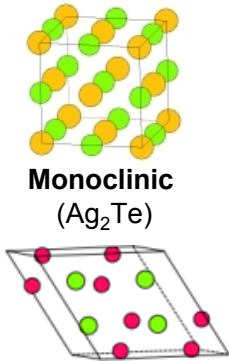
Atomic-Scale Investigations of Dislocations and Interfaces in Telluride-Based Thermoelectric Materials

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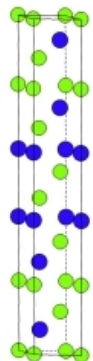
*Sandia National Laboratories is a multi-program laboratory managed and operated
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Corporation, for the U.S. Department of Energy's National Nuclear Security
Administration under contract DE-AC04-94AL85000.*

Our focus: Extended Defects in Tellurides

Rock-Salt
(PbTe, AgSbTe₂)



Tetradymite
(Bi₂Te₃, Sb₂Te₃)



Rich set of structures and phase relations

Longstanding importance as thermoelectrics

-Bi₂Te₃-based alloys widely used for cooling and some low grade waste heat recovery applications

-p-type : (Bi_{0.2}Sb_{0.8})₂Te₃

-n-type Bi₂(Te_{0.9}Se_{0.1})₃

-PbTe and (GeTe)_x(AgSbTe₂)_{1-x}:

-higher T application

-unattended operation.

Broader interest in Tellurides and related Chalcogenides

-Topological insulators, catalysis, phase-change memory

-2d materials, intergrowth compounds

Interface control: strategy for tailoring thermal and electronic transport.

Can we begin to make sense of interfaces and dislocations in these systems?

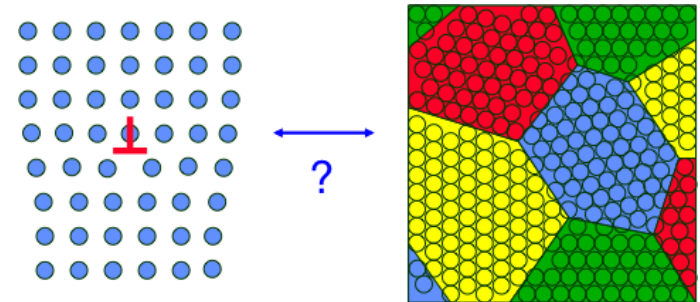
Our approach:

Understand structural relationships between chalcogenide phases.

Determine basic elements of interfacial structure.

Dislocations and Interfacial Line Defects:

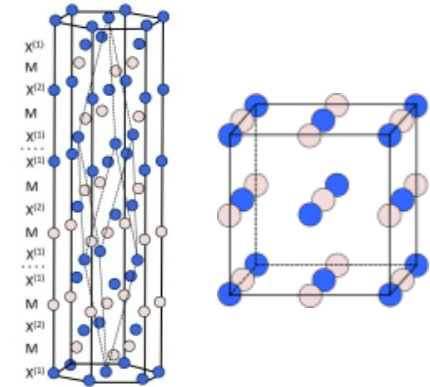
Building blocks to general understanding of interface structure and behavior.



Outline

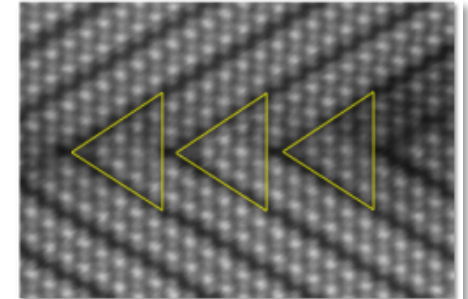
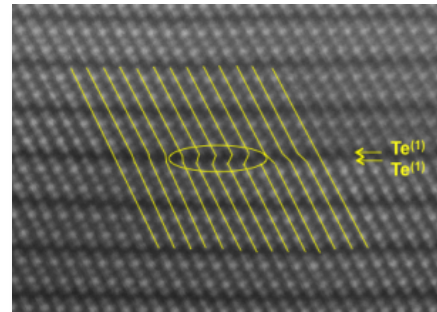
- **Crystal structure in tetradymite-type compounds**

- Layered structure gives flexibility in accommodating compositional variations
- Close structural relationship to rock-salt chalcogenides



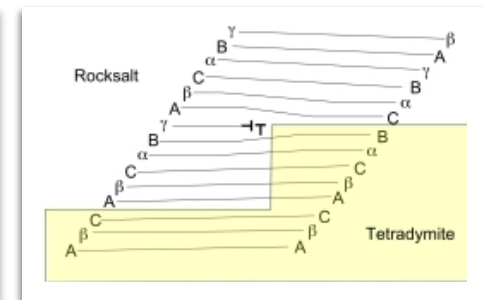
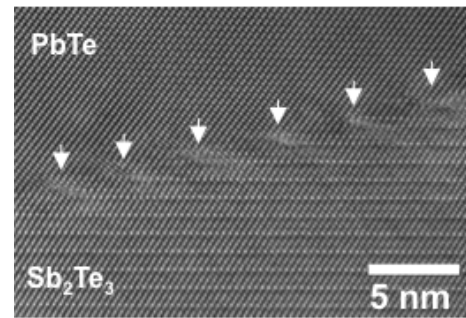
- **Dislocation and Grain Boundary Structure in Bi_2Te_3**

- Impact of weak interlayer bonding
- local rock-salt coordination

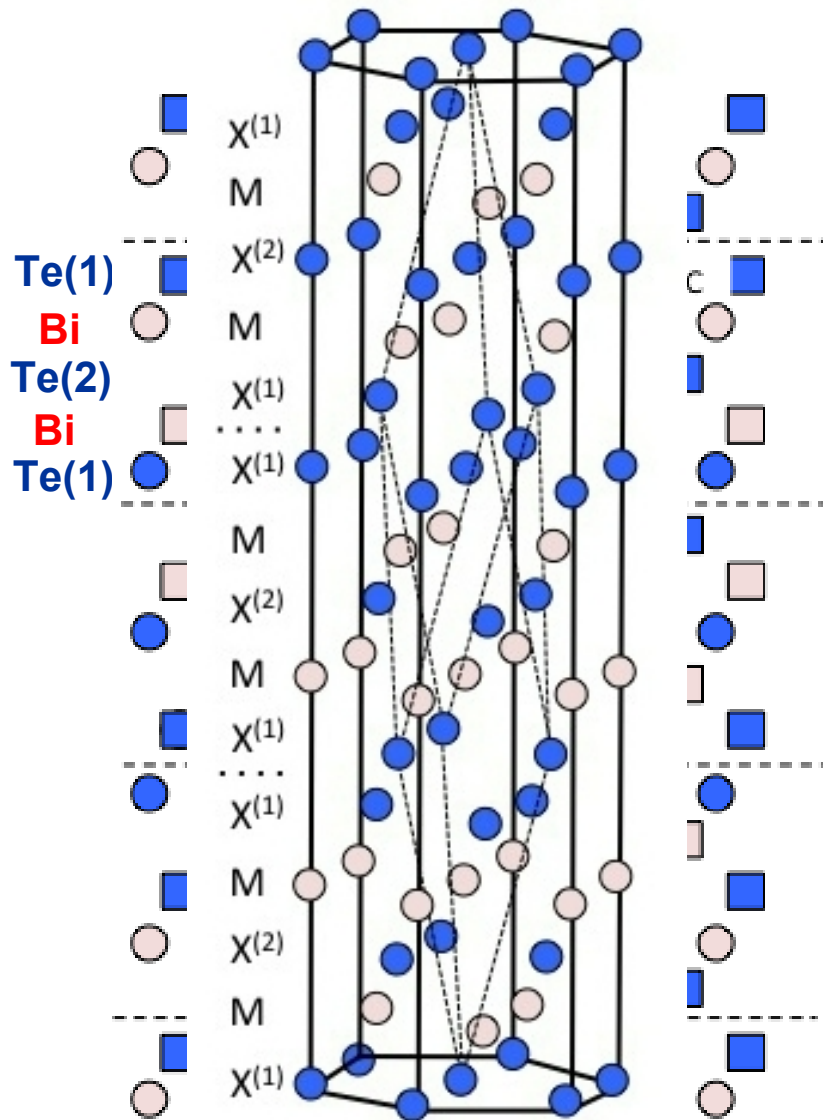


•**Rocksalt/Tetradymite heterophase interfaces:**

- Interfacial disconnections at Sb_2Te_3 precipitates in AgSbTe_2 , and PbTe .
- Defect roles in mechanisms for phase transformation and strain accommodation



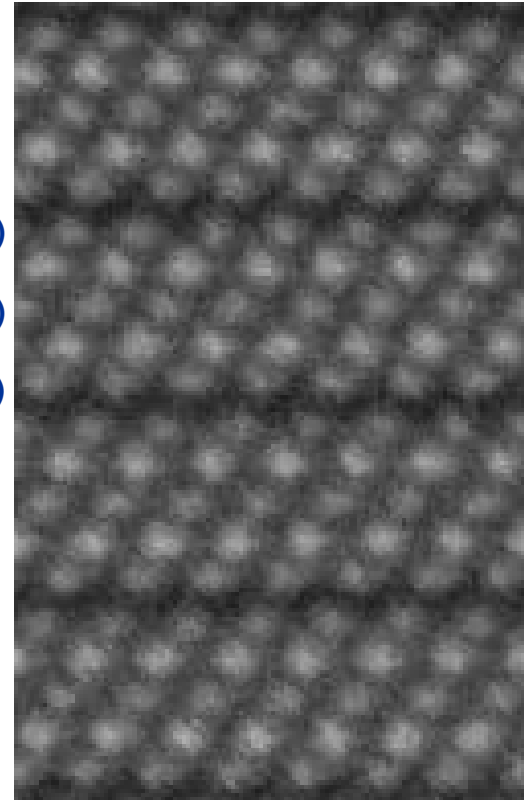
Bismuth Telluride (Bi_2Te_3): Crystal Structure



- Rhombohedral ($R\bar{3}m$) structure
- Based on tetradymite (Bi_2STe_2) prototype
- Three crystallographically distinct atomic sites
- $\text{Te}^{(1)}\text{-Te}^{(1)}$ layers: van der Waals bonding

HAADF-STEM

Te(1)
Bi
Te(2)
Bi
Te(1)

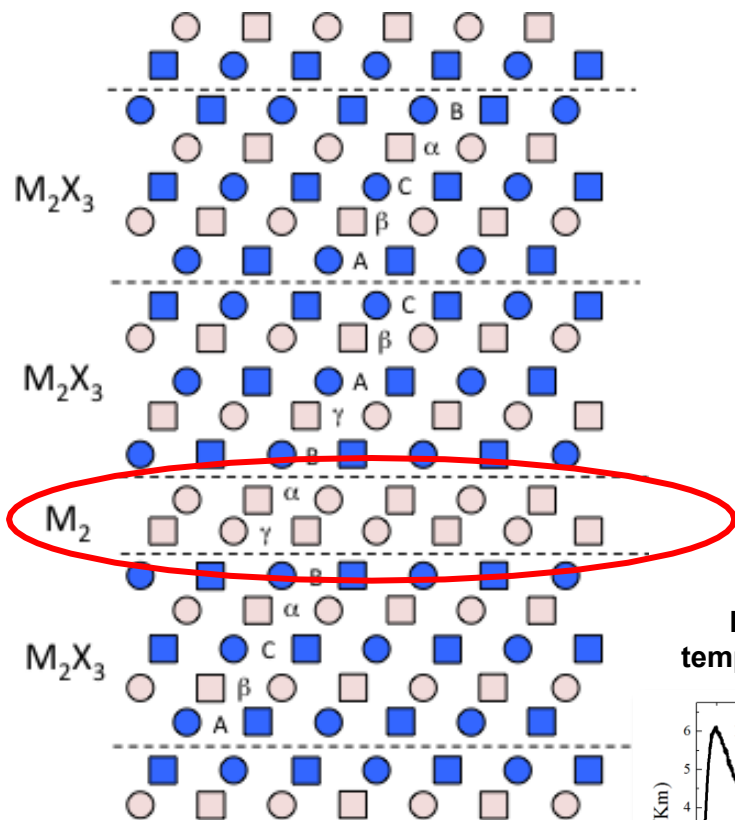


Bi: $Z=83$
Te: $Z=52$

Atomic number
difference enables
Bi and Te to be
distinguished in
HAADF-STEM

Layered structure allows flexibility in accommodating variations in composition

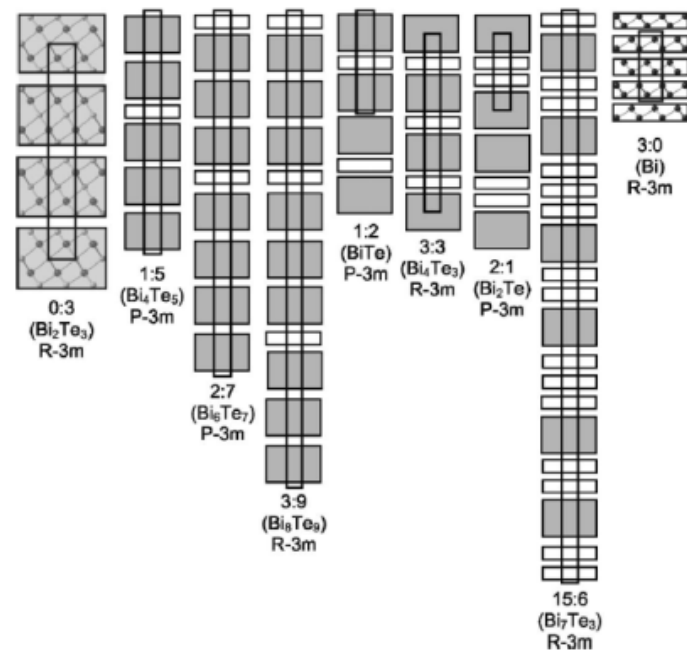
Insertion of metal bi-layers



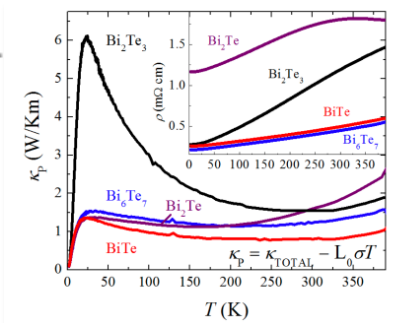
Example:
 $(Bi_2)_m(Bi_2Te_3)_n$
 homologous series

J.W.G. Bos, H.W. Zanderbergen,
 M.-H. Lee, N.P. Ong,
 R.J. Cava,
 Phys. Rev B 2007

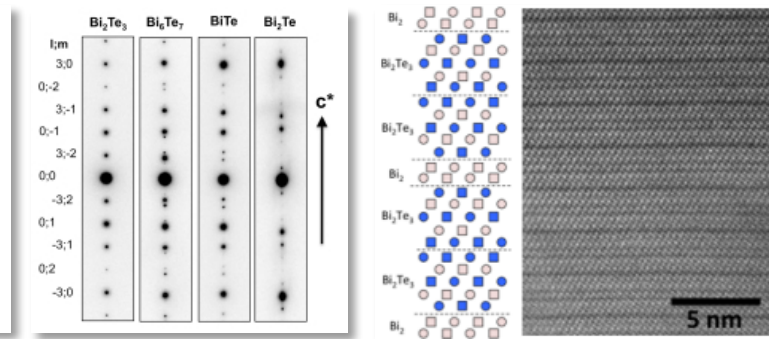
Increasing Bismuth →



Dramatic reduction in low-temperature thermal conductivity

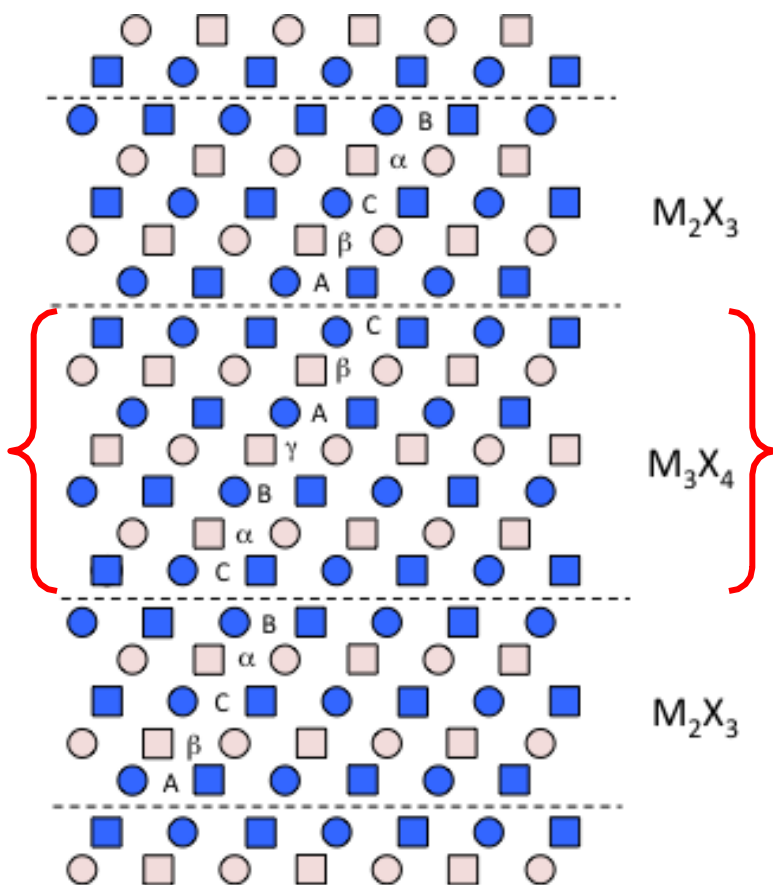


Electron diffraction and HRSTEM show ordering in $(Bi_2)_m(Bi_2Te_3)_n$ series

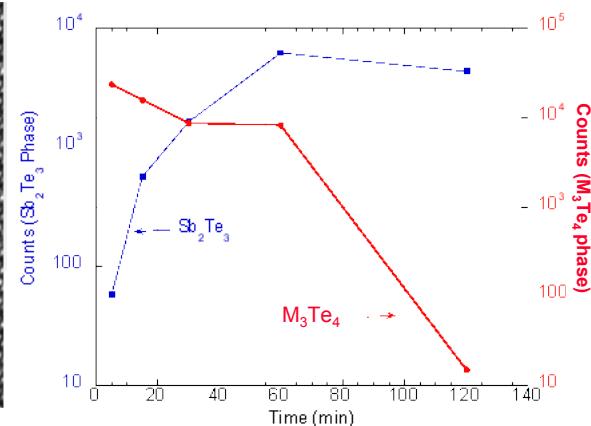
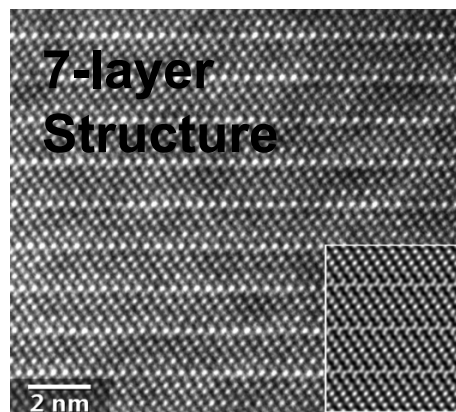
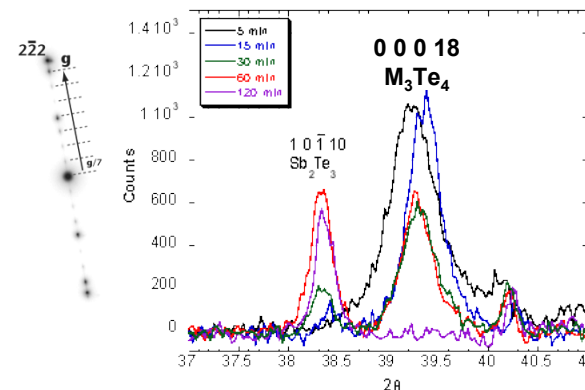
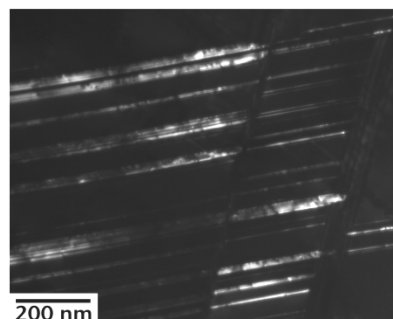


Layered structure allows flexibility in accommodating variations in composition

Metal rich, 7-Layer M_3X_4 fault



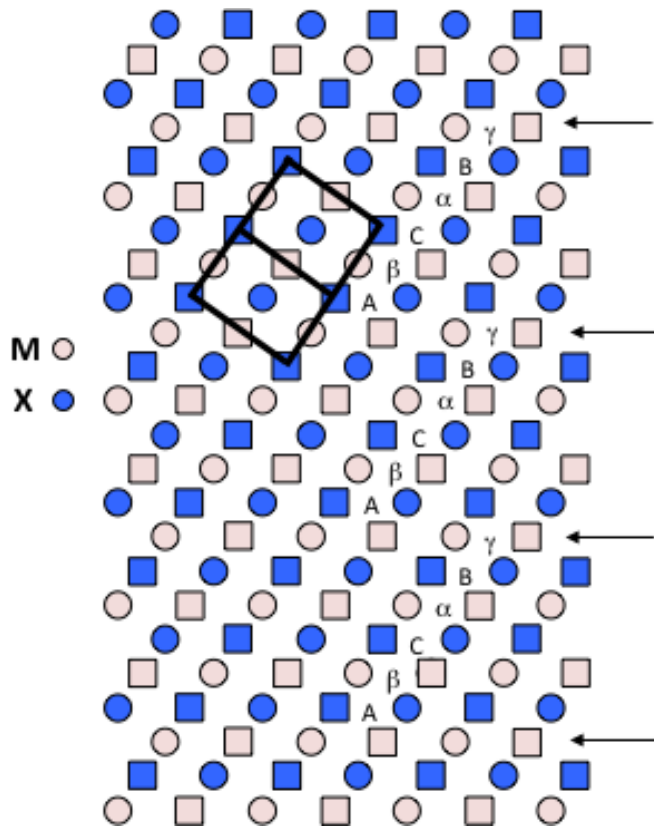
Example: $(Ag,Sb)_3Te_4$ transition phase during nucleation of Sb_2Te_3 precipitates in $AgSbTe_2$



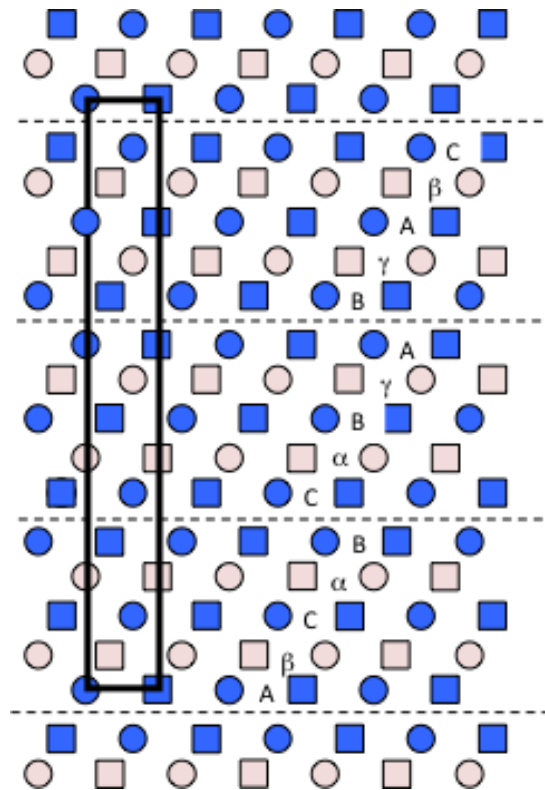
Sharma, Sugar, & Medlin, Journal of Applied Physics (2010).
Sugar and Medlin, Journal of Materials Science (2011)

Tetradymite and Rocksalt structures are closely related

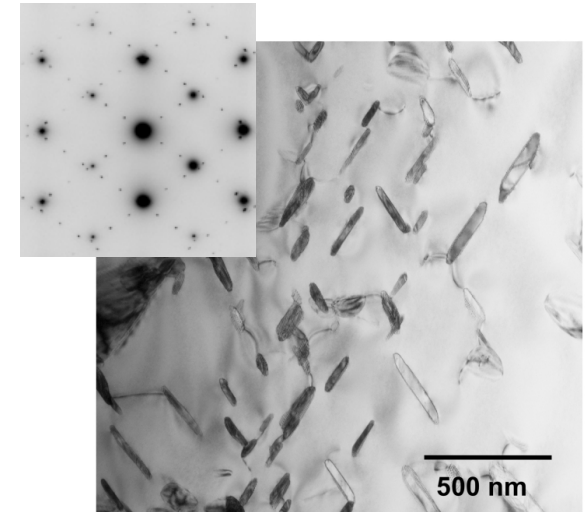
Rocksalt (MX) Structure



Tetradymite (M_2X_3) Structure



Example:
Crystallographically Aligned
 Sb_2Te_3 precipitates in PbTe

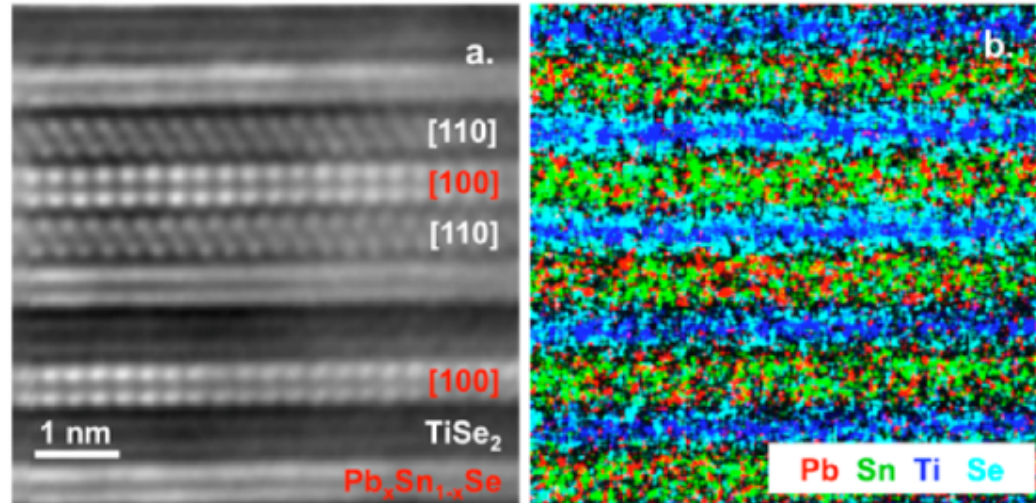
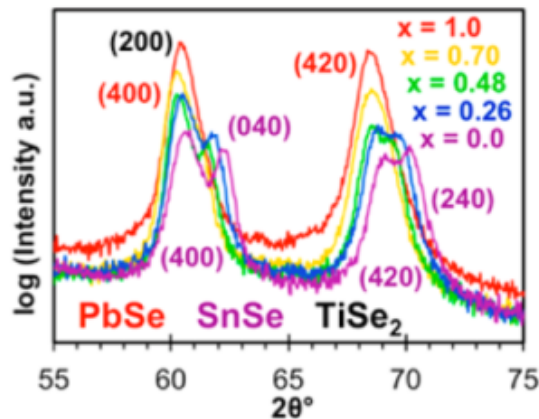
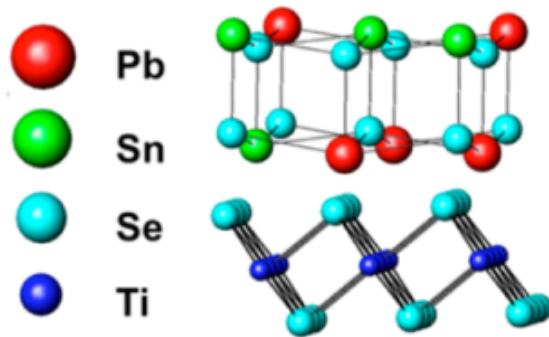


Heinz, Snyder, Ikeda, and
Medlin, *Acta Mat.* 2011

- Solid-state phase transformations in chalcogenides
- Structural interpretation of extended defects

Layered Chalcogenides: Diversity of Compositional and Structural Arrangements

Example: $(\text{Pb}_x\text{Sn}_{1-x}\text{Se})_{1+\delta}\text{TiSe}_2$ Intergrowth Compound



FEI-Titan 80-200
Chemistem

- Site specific alloying:
Sn, Pb on "rocksalt" bilayers
Separated by TiSe_2 tri-layers
- Crystalline sheets, but rotational, "turbostratic,"
disorder between layers
-a "ferecystal"

How do these structural considerations impact Dislocations and Interfaces?

Dislocations in Bismuth Telluride

Burgers vectors lying in basal plane

Array of $\frac{1}{3}\langle 2-1-1\ 0 \rangle$ Dislocations in Bi_2Te_3

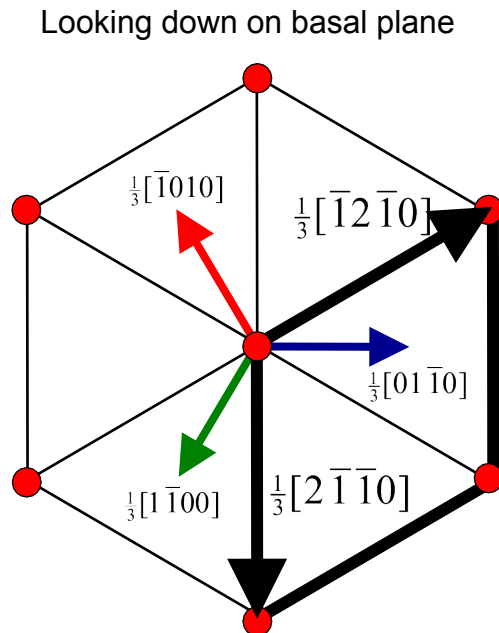
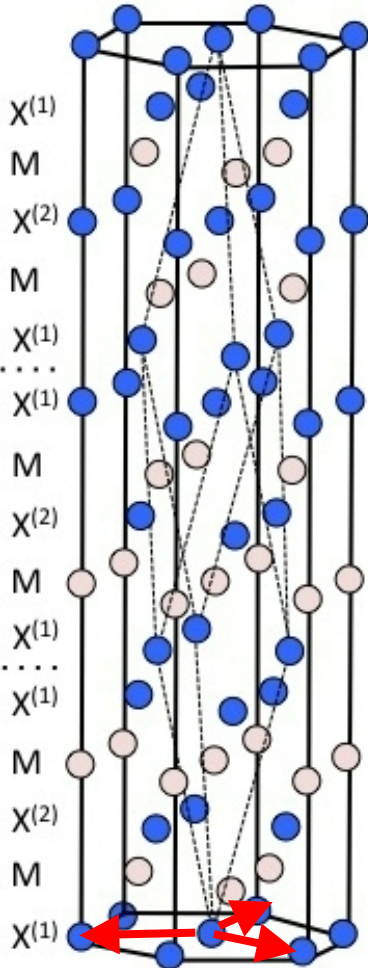
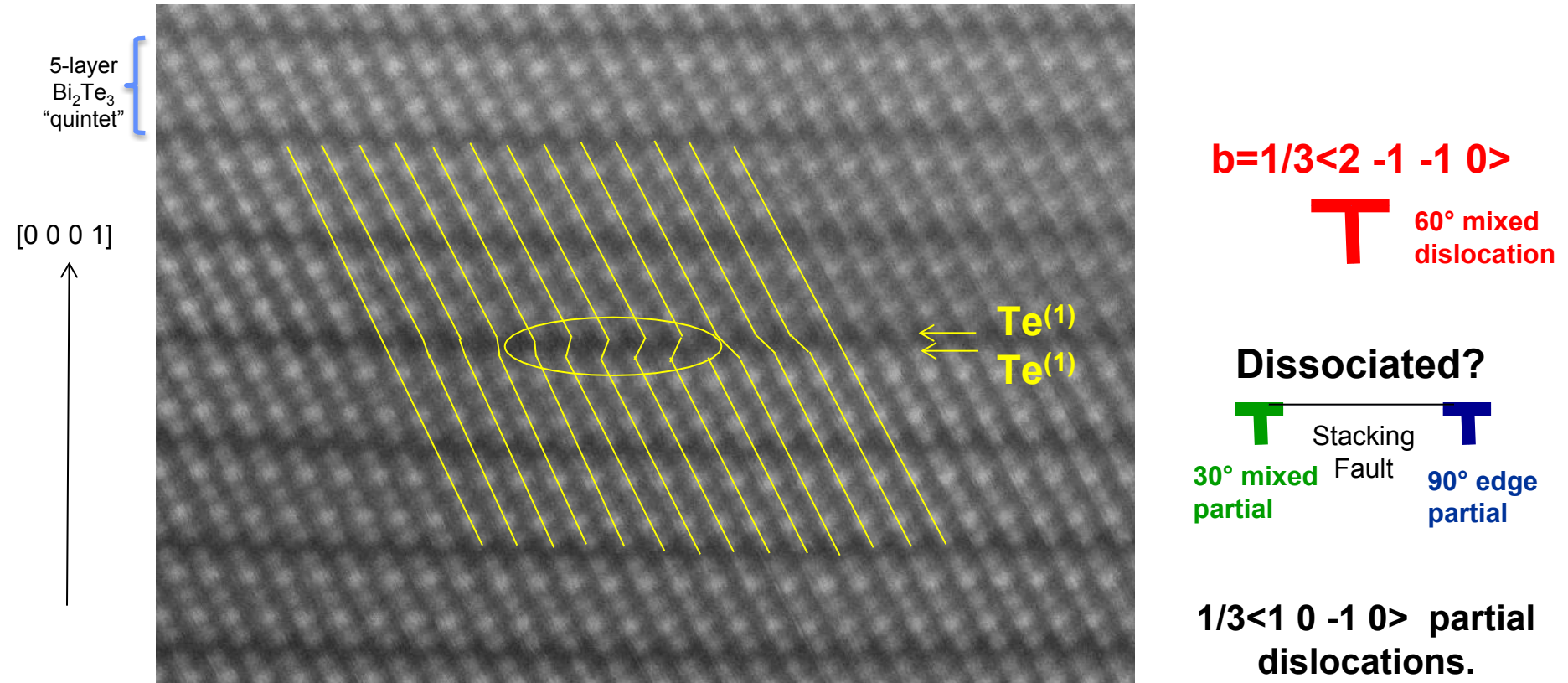


Fig. 1. Dislocation network in Bi_2Te_3 . Note that certain segments of dislocations and certain node-points have left the foil

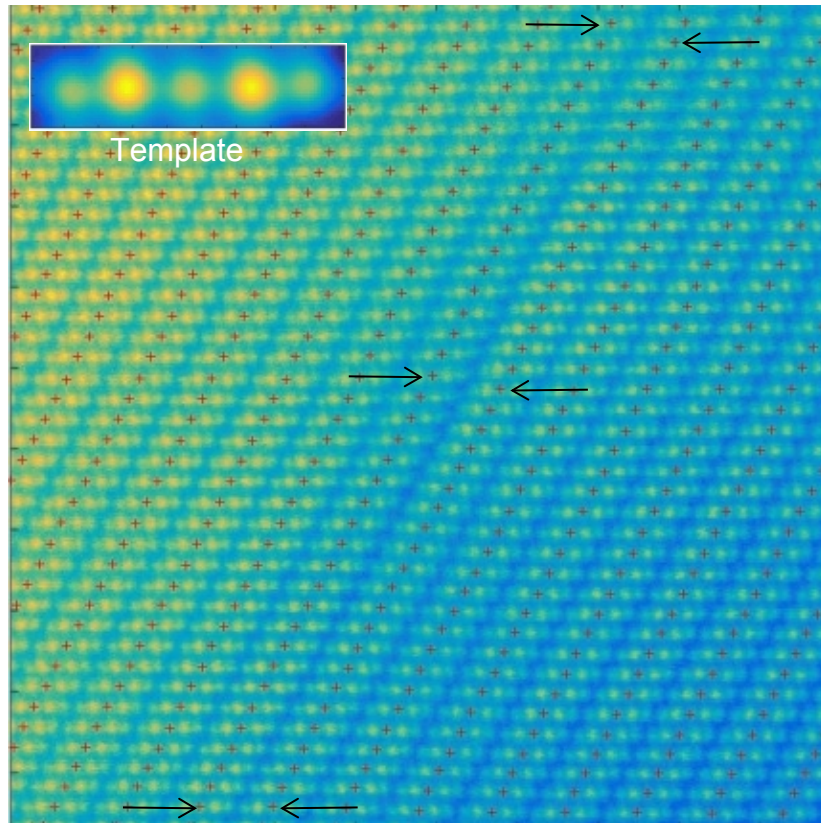
Dislocation Core structure: termination at $\text{Te}^{(1)}\text{-Te}^{(1)}$ layer, core spreading



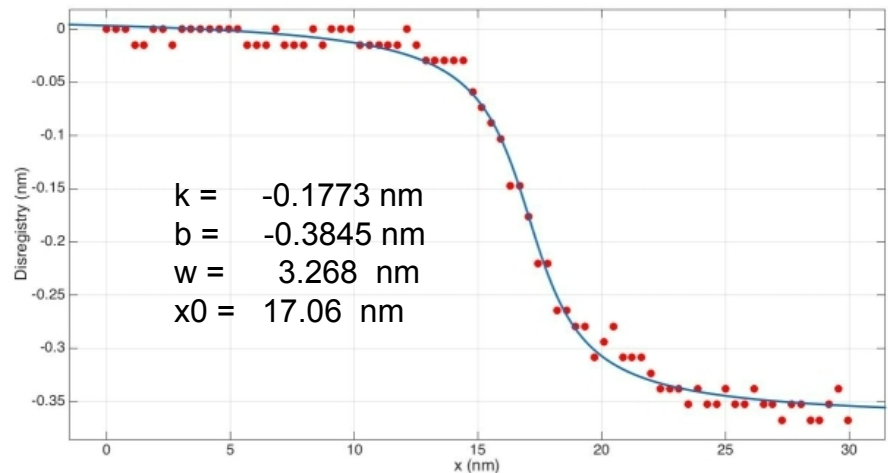
FEI-Titan
HAADF-STEM
300 keV

Quantify Disregistry on Slip Plane using Peierls-Nabarro Dislocation Model

Disregistry of $\{1\ 0\ -1\ 5\}$ planes across slip plane measured through template matching



$$\underbrace{u_+ - u_-}_{\text{Disregistry}} = \underbrace{k}_{\text{Burgers vector}} + \frac{\underbrace{b_{edge}}_{\text{core-width}}}{\pi} \tan^{-1} \left(\frac{x - x_0}{w/2} \right)$$



$w = 3.7 \text{ nm} \pm 0.6 \text{ nm}$

6 dislocations

2-4 measurements each

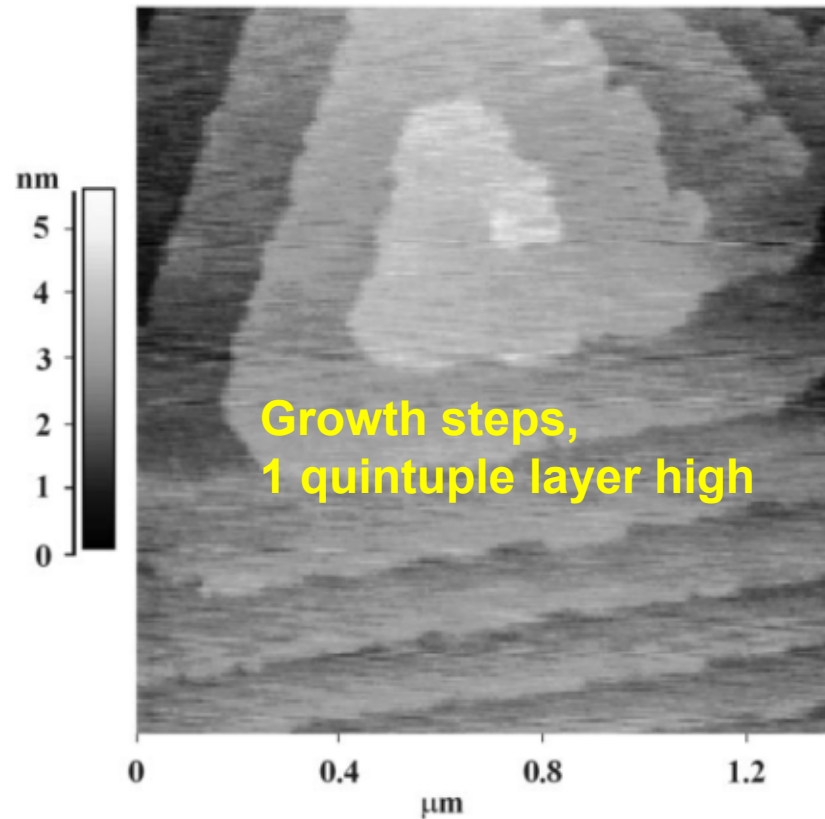
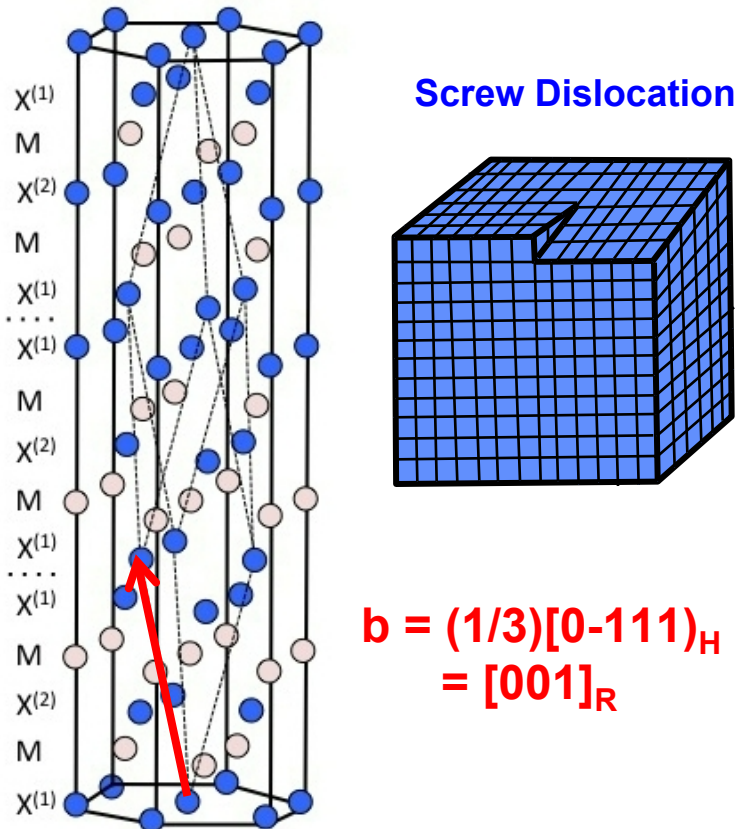
Core-spreading on basal plane, but no localized partial dislocations or well defined stacking fault.

Working with modelers to extract energetics of gamma-surface.

Non-basal dislocations:

Screw dislocations important to crystal growth

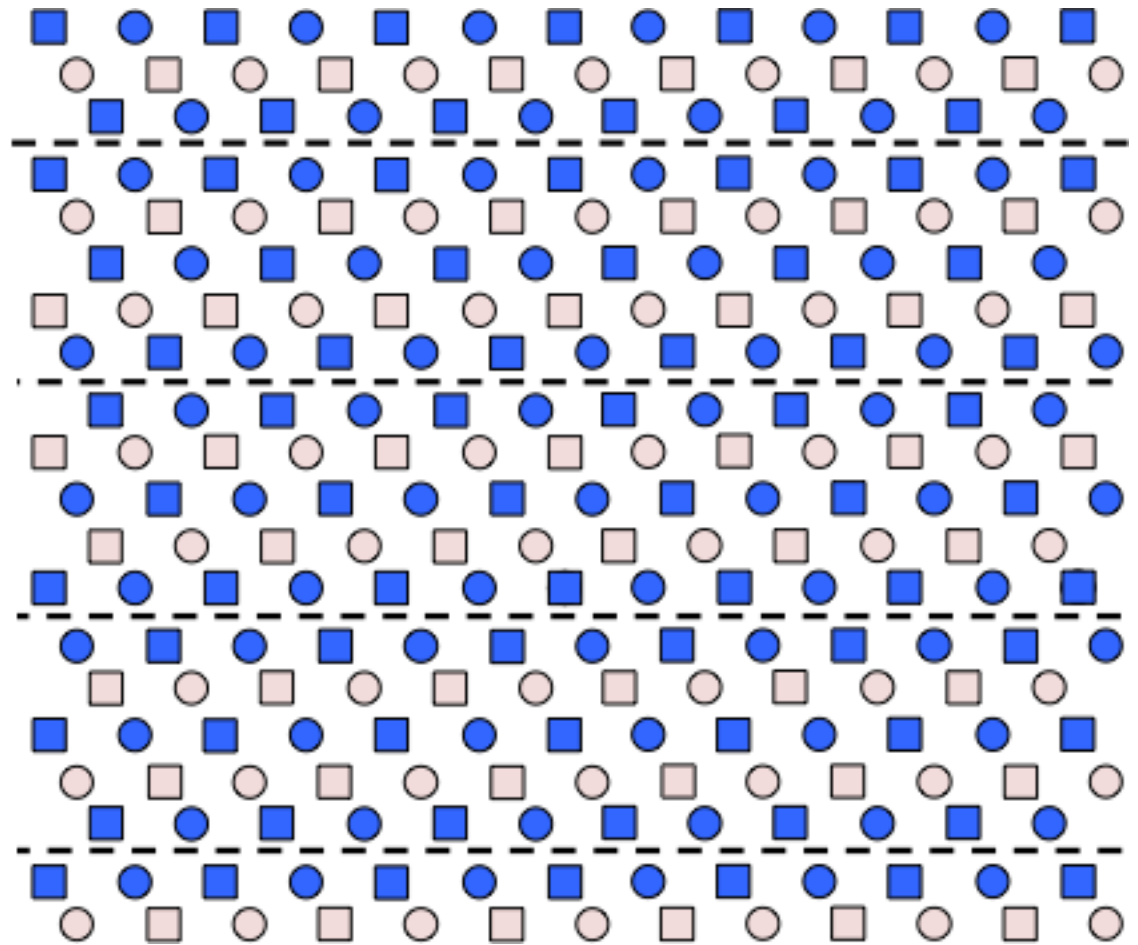
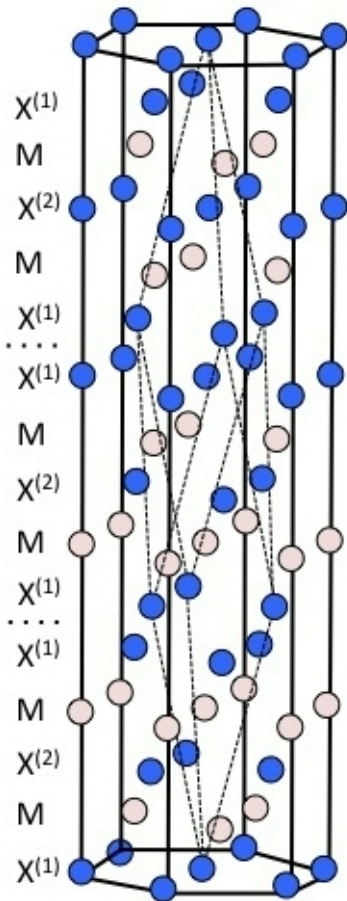
Example: spiral growth steps at screw dislocation in Bi_2Te_3 thin film



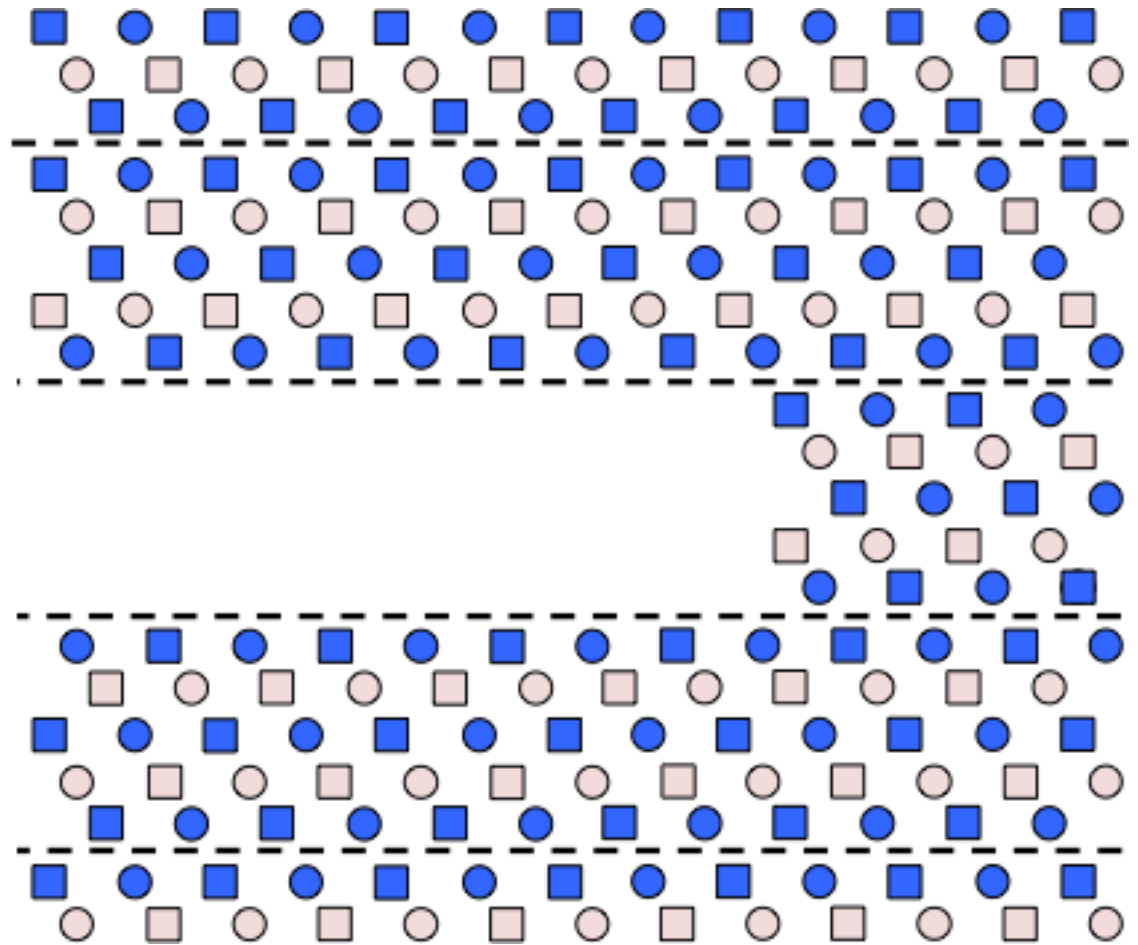
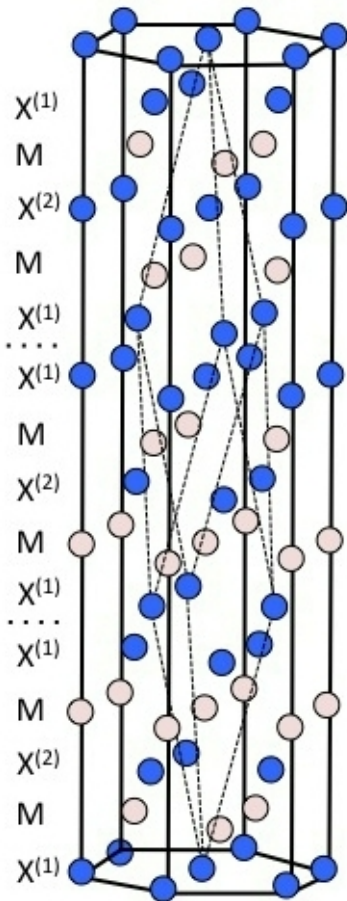
What about **edge** dislocations
with non-basal Burgers vectors?

M. Ferhat, J.C. Tedenac, J. Nagao,
J. Crystal Growth (2000)

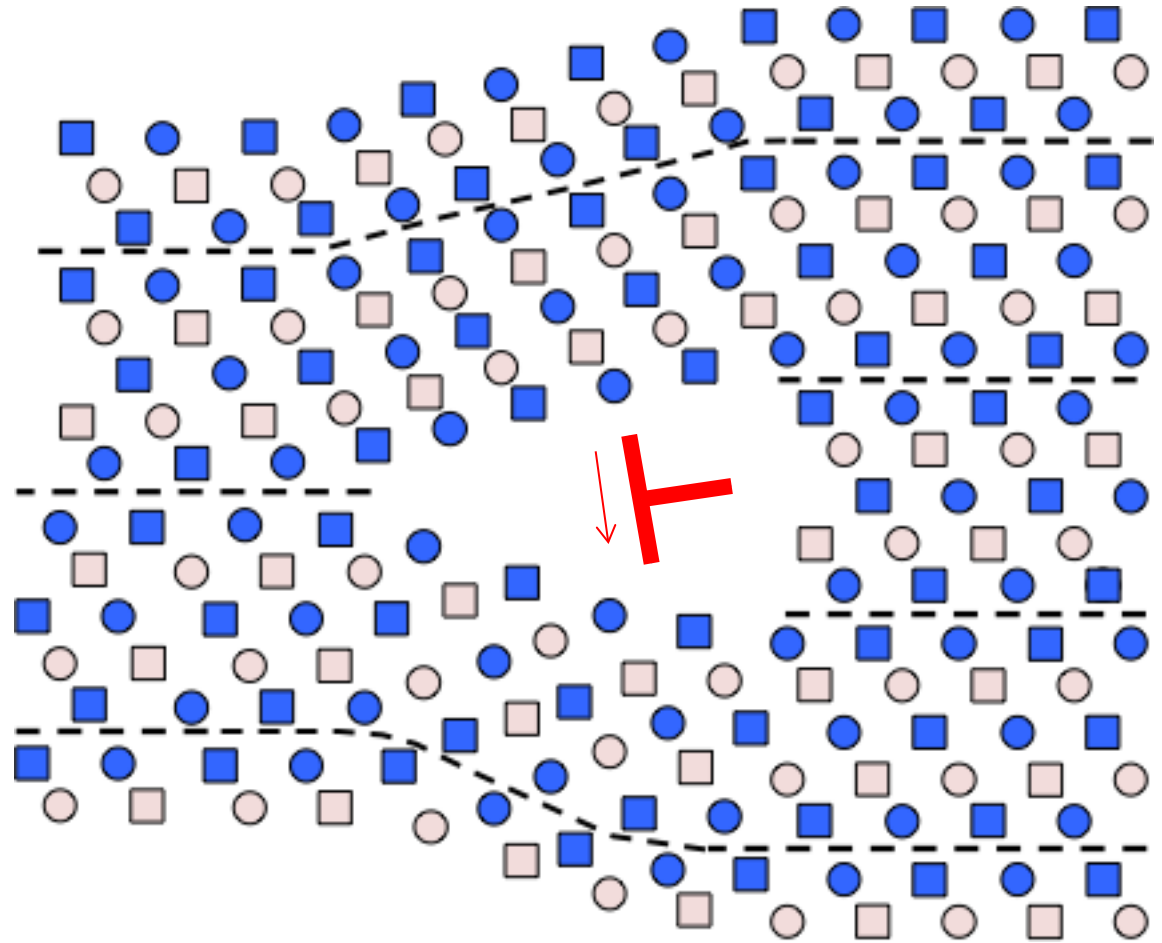
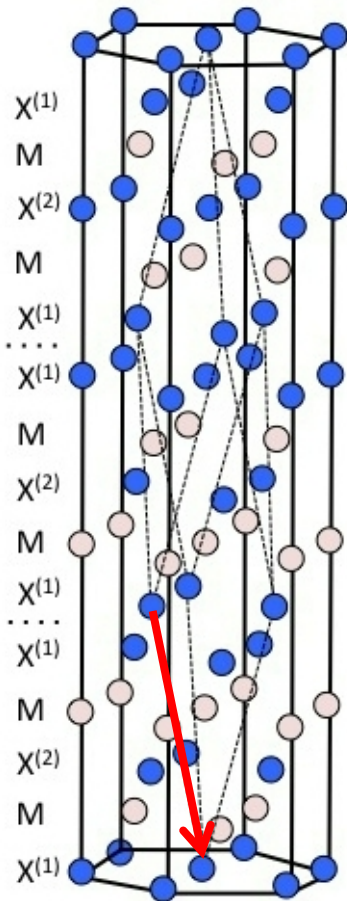
Non-basal **edge** dislocations: What happens if we pull out a quintuple unit?



Non-basal **edge** dislocations: What happens if we pull out a quintuple unit?

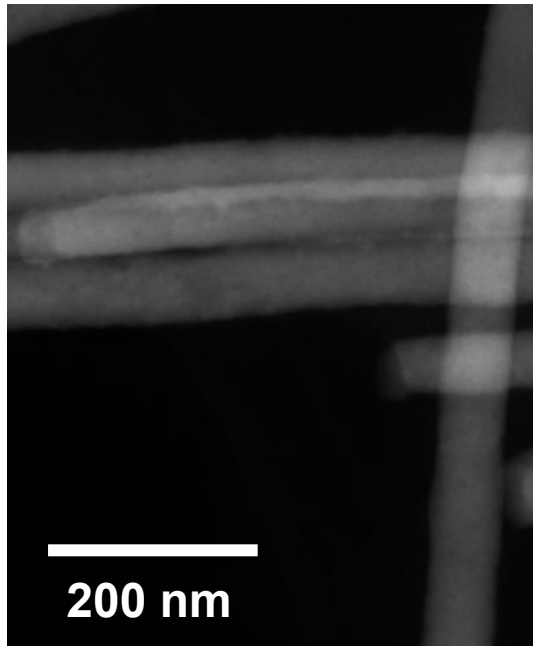


Non-basal **edge** dislocations: What happens if we pull out a quintuple unit?



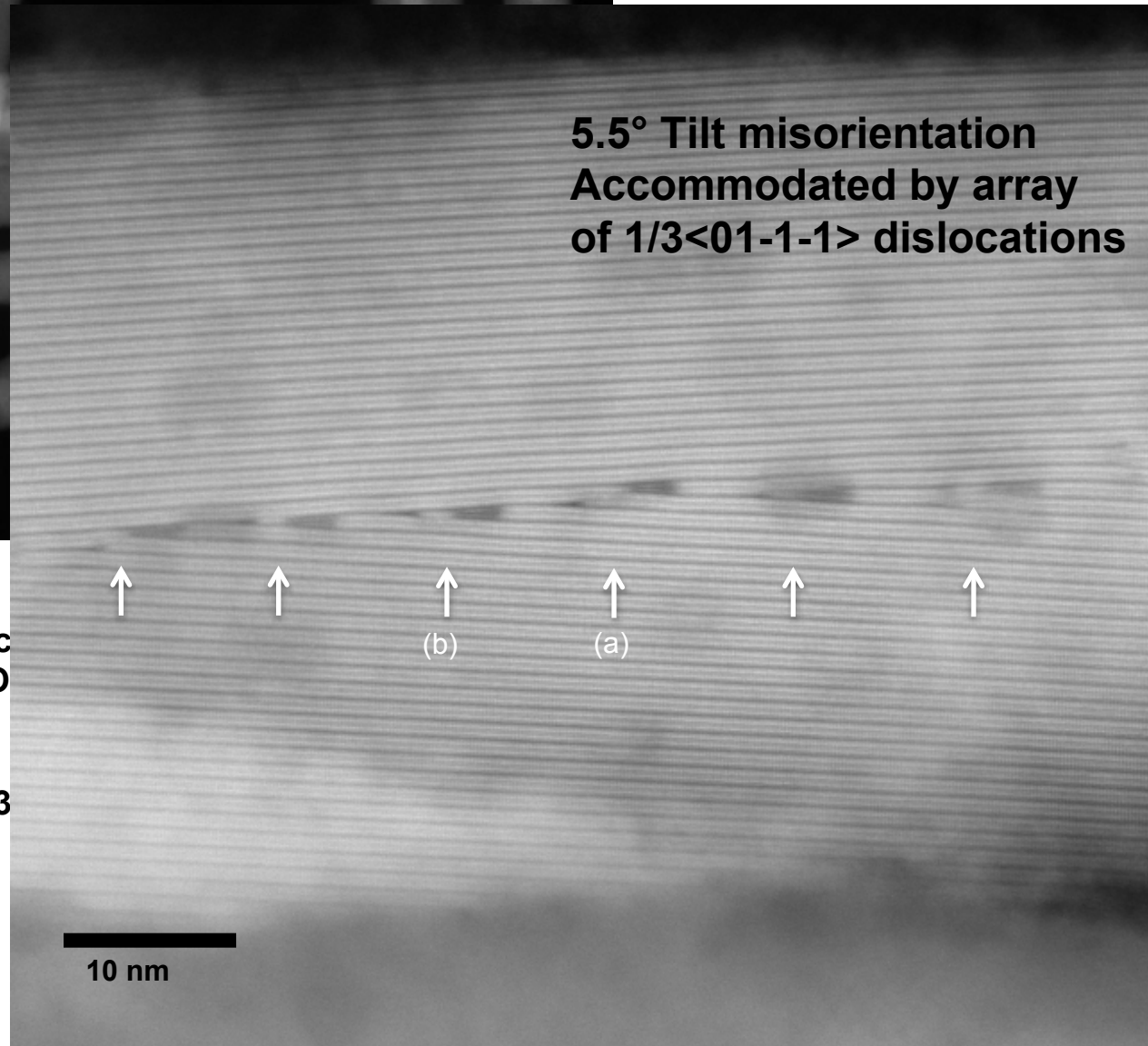
$$\mathbf{b} = (1/3)[01\bar{1}\bar{1}]_H = [00\bar{1}]_R$$

Dislocations in Bi_2Te_3 Nanowires

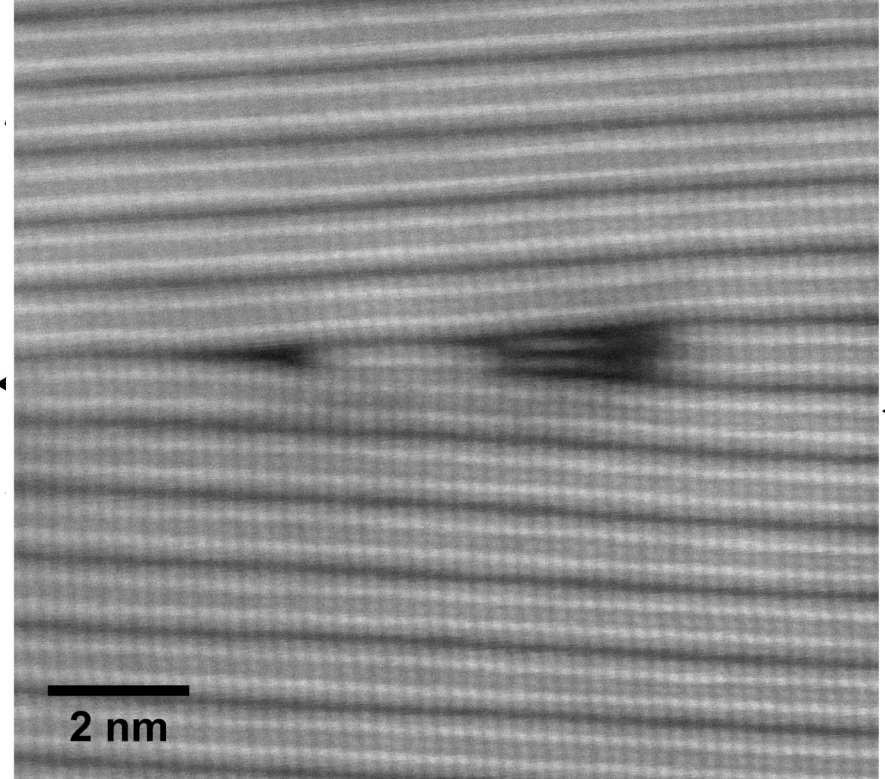
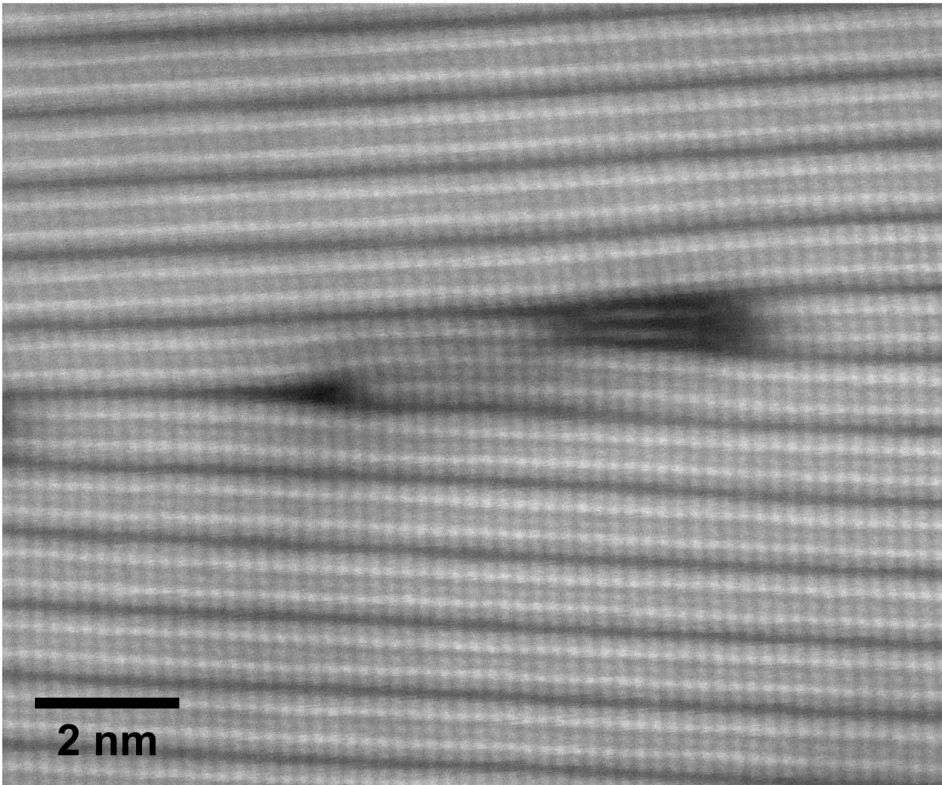


Wires formed by electrochemical deposition in nanoporous AAO templates.

Free standing wires annealed 3 minutes at 300°C in Ar-3%H₂.

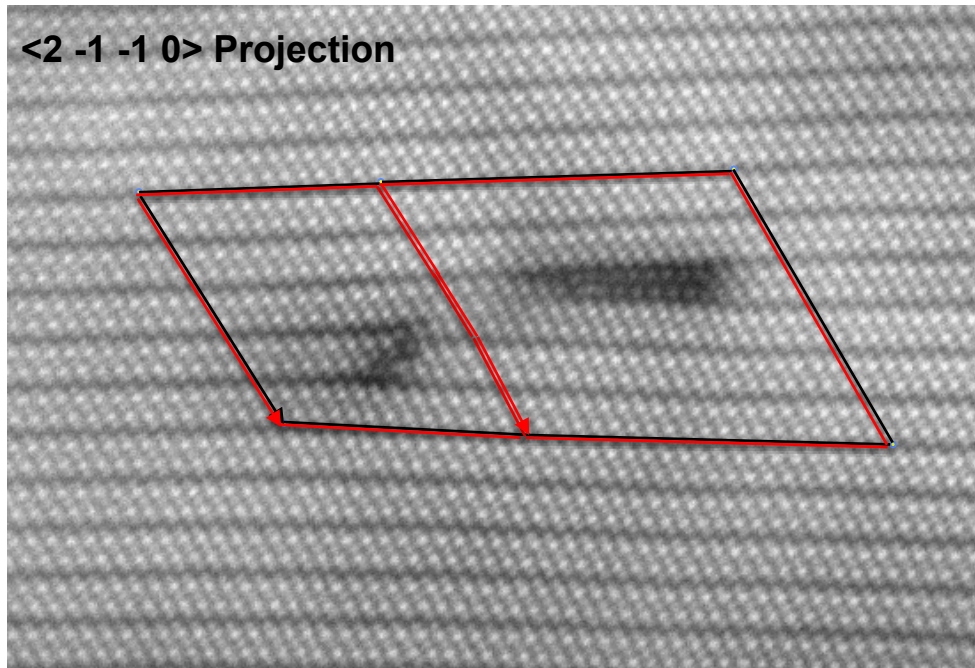


Dislocations have dissociated core: two configurations

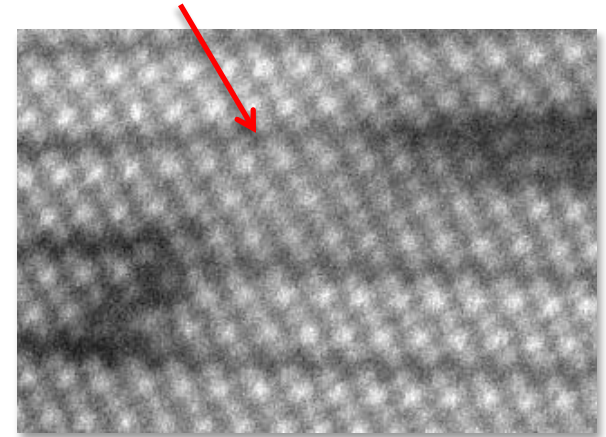


$\langle 1\ 0\ -1\ 0 \rangle$ Projection

$(1/3)[0\ 1\ -1\ -1]$ Dislocation in Bi_2Te_3 :



Core structure:
 Bi_3Te_4 7-layer fault



$$\mathbf{b} = (1/3) [0\ 1\ -1\ -1] \rightarrow (1/15) [0\ 5\ -5\ -2] + (3/15) [0\ 0\ 0\ -1]$$

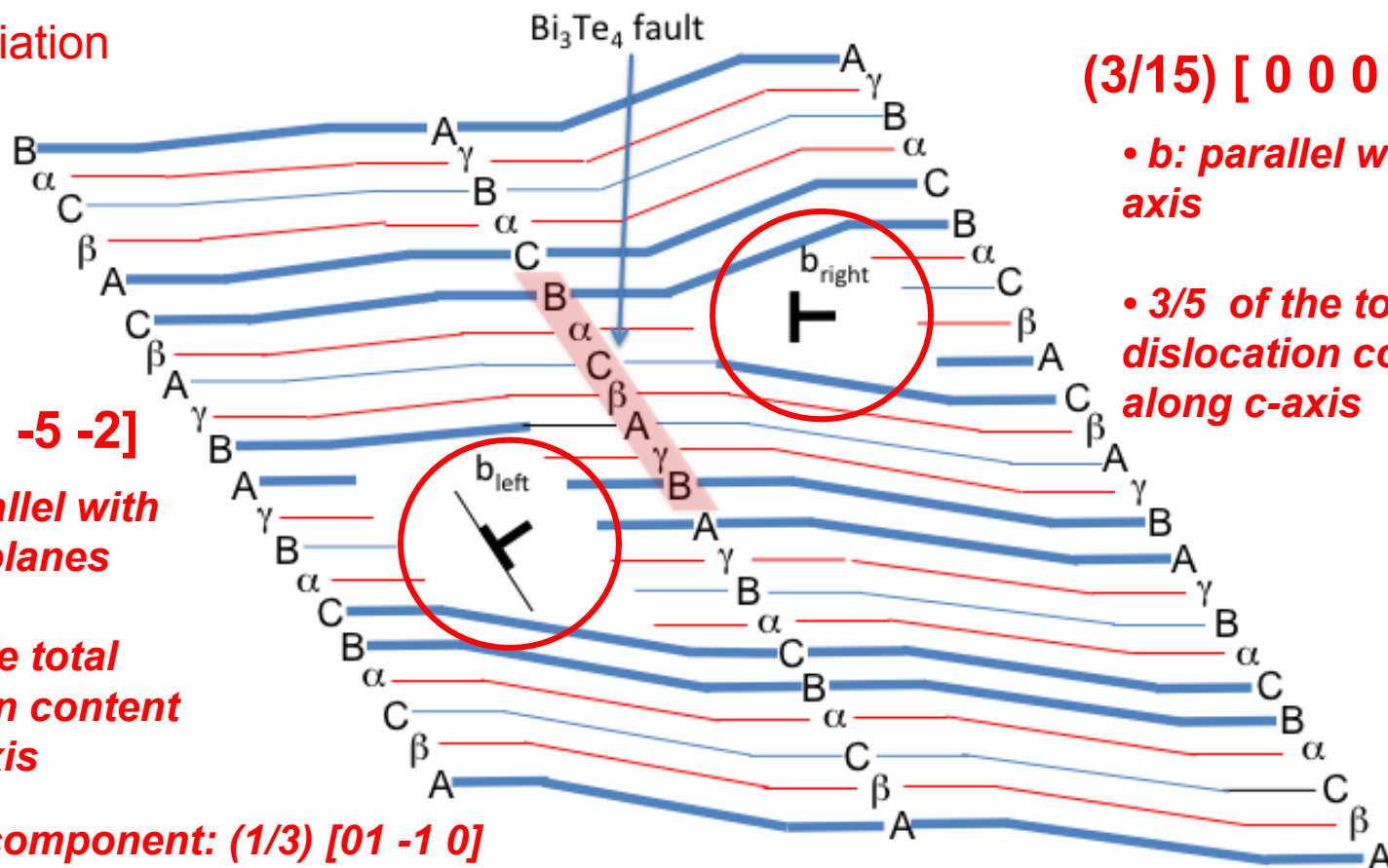
$$b^2 > b_1^2 + b_2^2$$

$$109.8\ \text{\AA}^2 > 22.9\ \text{\AA}^2 + 37.2\ \text{\AA}^2 = 60.2\ \text{\AA}^2$$

Reduced strain energy with dissociation

7-Layer Bi_3Te_4 faults: Mechanism to accommodate Te loss during annealing

Climb dissociation



$(1/15) [0\ 5\ -5\ -2]$

- b is parallel with $(0\ 1\ -1\ 5)$ planes
- $2/5$ of the total dislocation content along c -axis

Horizontal component: $(1/3) [01\ -1\ 0]$

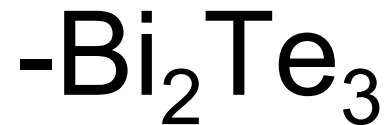
- analogous to Shockley partial.
- avoids fault in stacking resulting from additional 2 planes at Bi_3Te_4 fault

$(3/15) [0\ 0\ 0\ -1]$

- b : parallel with c -axis
- $3/5$ of the total dislocation content along c -axis

Interfaces in the Tellurides

- Grain Boundaries:



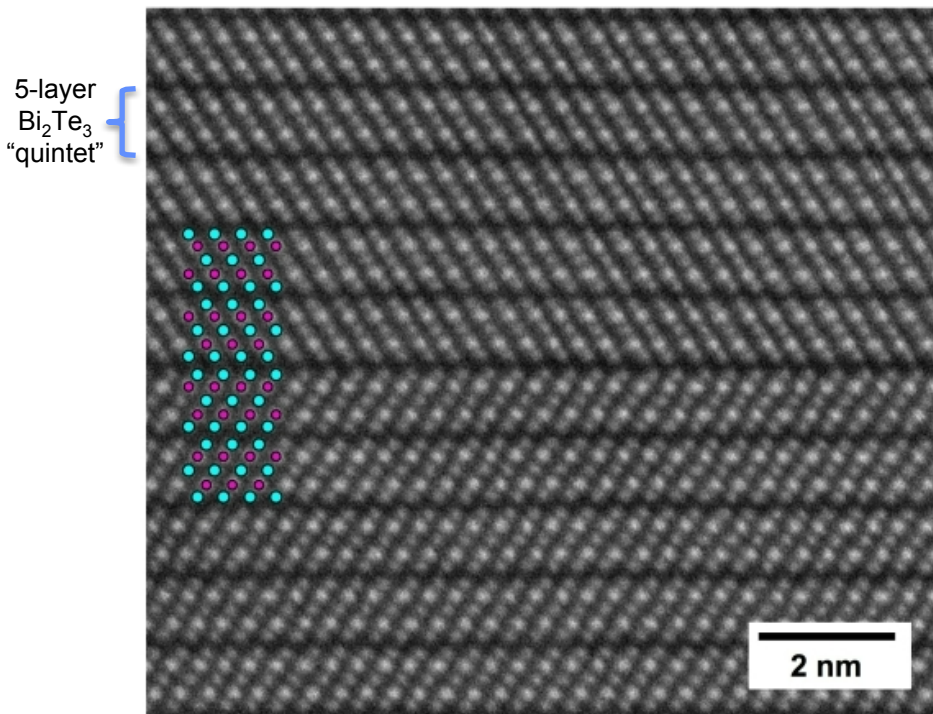
- Heterophase interfaces

 - Rock salt / tetradymite

Atomic Structure of the Bi_2Te_3 Basal Twin: Energetic preference for termination at $\text{Te}^{(1)}$ sites

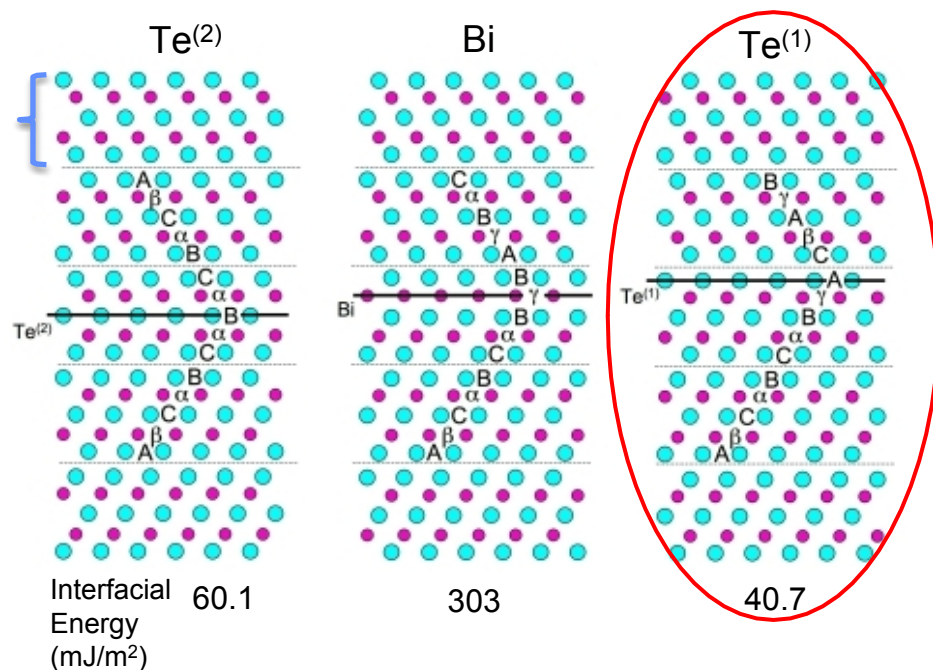
HAADF-STEM Imaging:

Twin Boundary Terminated at $\text{Te}^{(1)}$ layer



DFT Calculations:

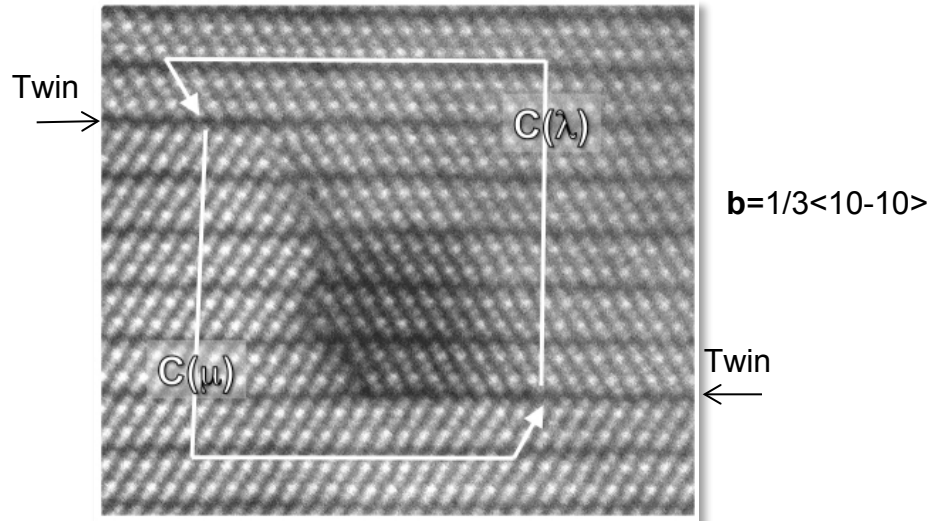
Three Possible Compositional Terminations



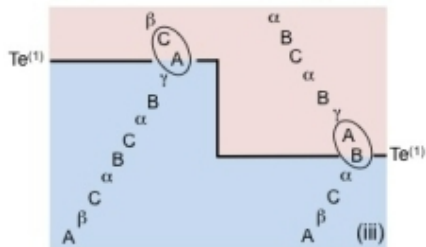
D.L. Medlin, Q.M. Ramasse, C. D. Spataru, N.C. Yang, J. Appl. Phys. (2010)

Preferential termination at $\text{Te}^{(1)}$ layers: Impact on boundary steps

Example: step in Bi_2Te_3 Basal Twin:
25 planes high (5 quintets)

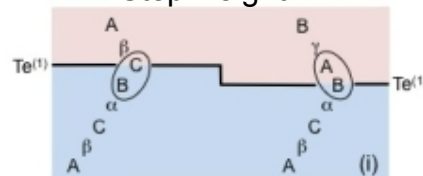


Step Height: 5

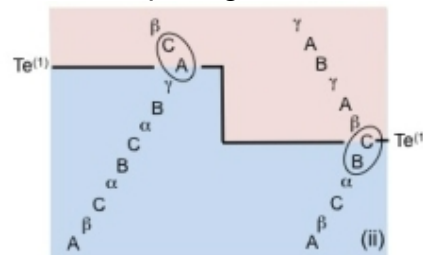


$b=1/3\langle 10-10 \rangle$

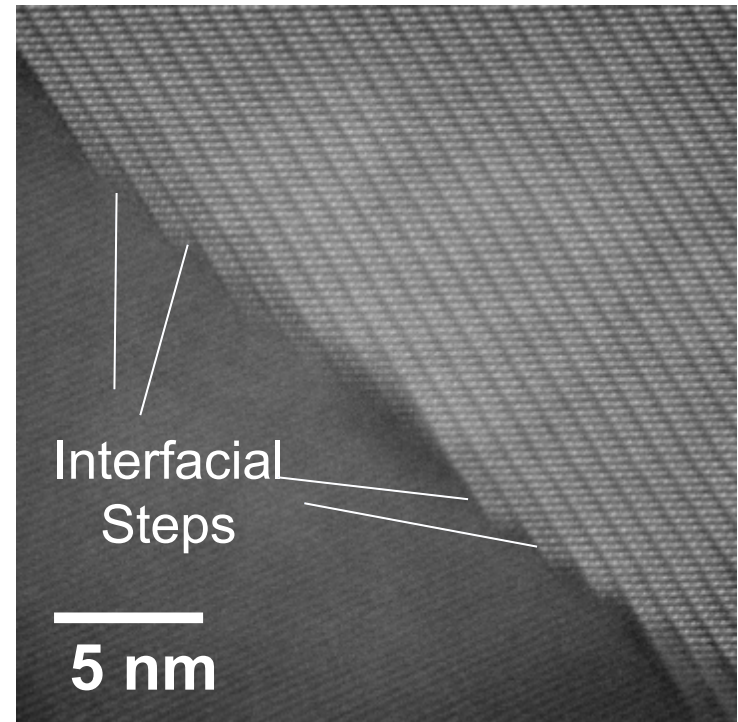
Step Height: 1



Step Height: 4



Grain boundary vicinal to (0001):
Steps of integral 5-plane Bi_2Te_3 Quintets



Medlin and Snyder,
JOM (2013).

Medlin and Yang,
Journal of Electronic
Materials, (2012)

Bi_2Te_3 $\langle 2-1-1\ 0 \rangle // \langle -2\ 1\ 1\ 0 \rangle$ 63.78° Boundary

$\{015\}$ planes are aligned across boundary

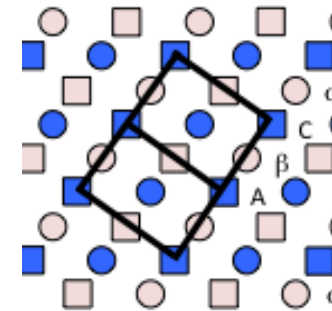
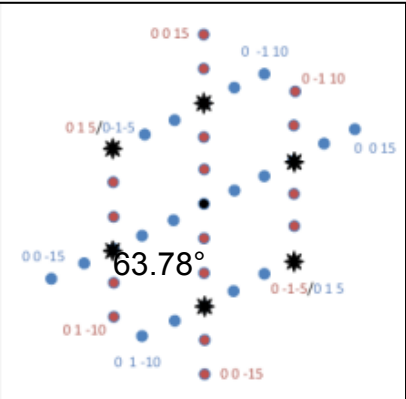
$\{015\}$

$\{015\}$

Electrodeposited
 Bi_2Te_3 nanowire
Annealed 300°C

5 nm

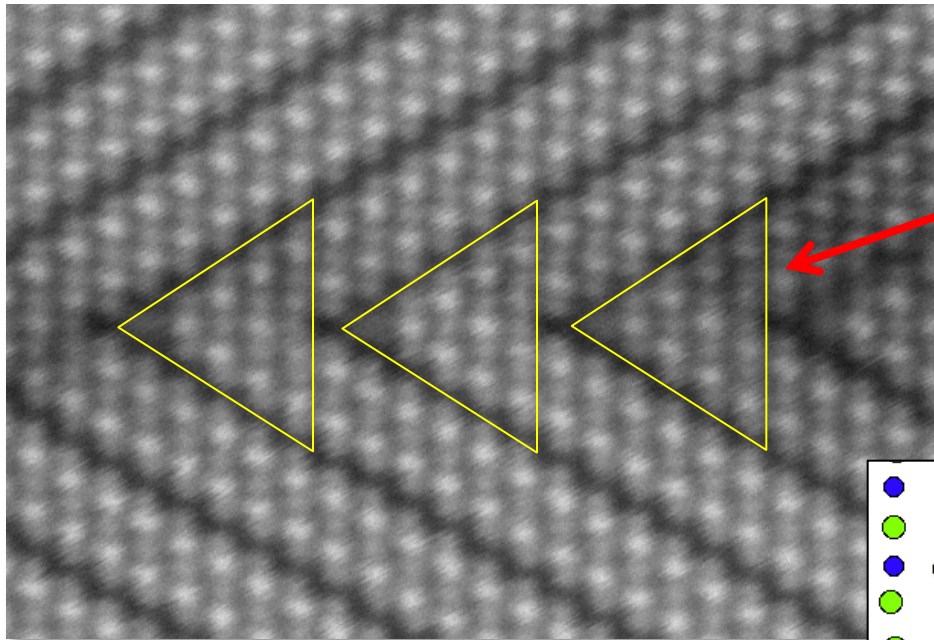
Power Spectrum



$\{015\}$ planes in Bi_2Te_3 analogous to $\{100\}$ planes in rocksalt

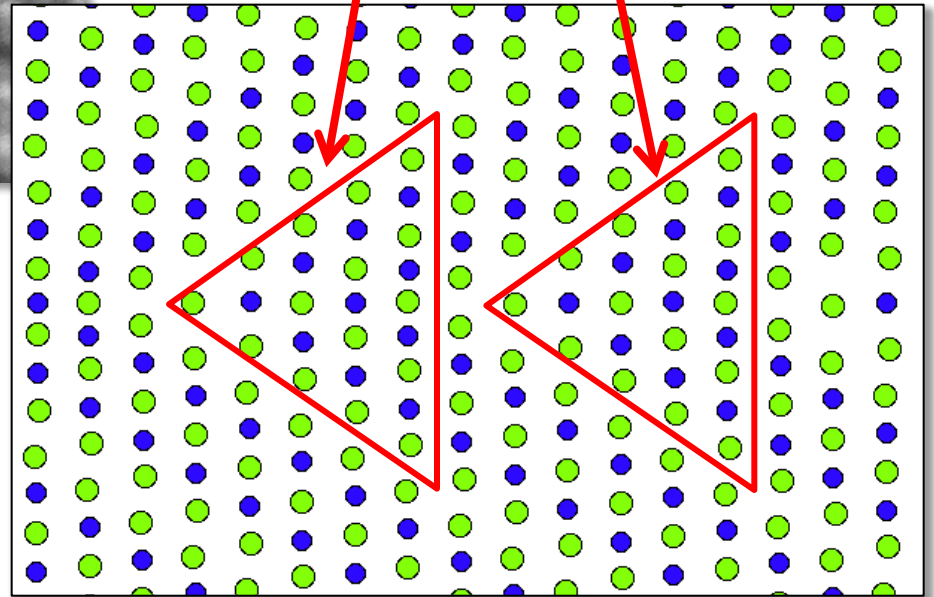
Symmetrical Interface Configuration

(0,-1,1,13) interface inclination



*Rocksalt coordinated
grain boundary units*

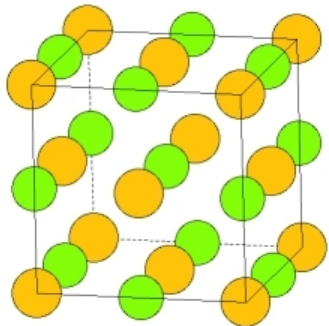
Each triangular unit:
10 Bi 15 Te



*2:3 ratio of **Bi** and **Te** maintained at interface*

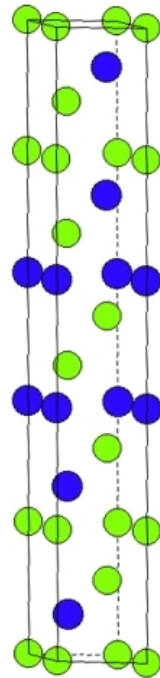
Heterophase Rocksalt/Tetradymite Telluride Interfaces

Rock-Salt
(PbTe, AgSbTe₂)



Fm-3m

Tetradymite
(Bi₂Te₃, Sb₂Te₃)



R-3m

What happens at interface?
How do transformations occur?
Misfit accommodation?

-Interest in forming thermoelectric nanocomposites of rock-salt and tetradymite tellurides:

- Possibility for well ordered interfaces.
- Transformations provide bulk route to synthesis.

Ikeda, et al., Chem Mater. 2007

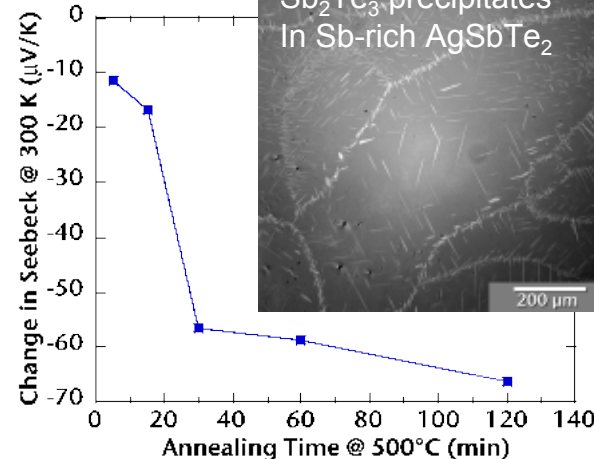
Snyder and Toberer, Nature Materials 2008

-AgSbTe₂:

- Constituent of TAGS (GeTe)_x(AgSbTe₂)_{1-x} and LAST (PbTe)_x(AgSbTe₂)_{1-x} zT ~ 1.8

-High performance TE material: zT > 1.2

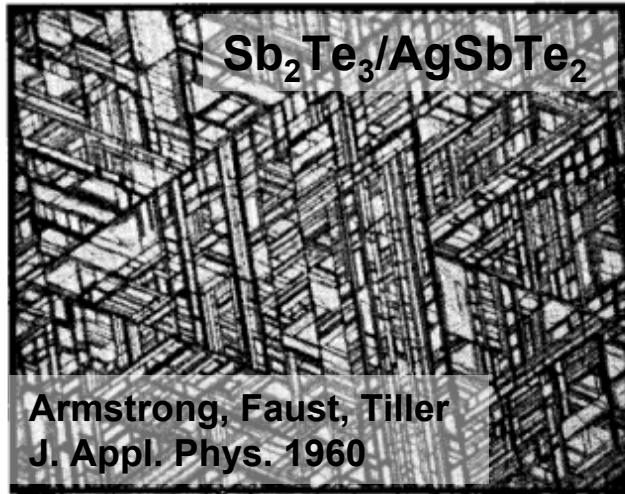
-Degradation of Seebeck coefficient with Sb₂Te₃ precipitation



Sharma, Sugar, Medlin J. Appl. Phys. 2010

Crystallographic alignment between rocksalt and tetradymite phases

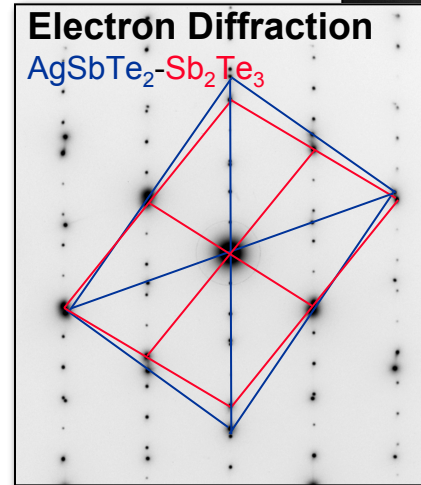
Widmanstätten plates



TEM Sb₂Te₃/AgSbTe₂

Electron Diffraction

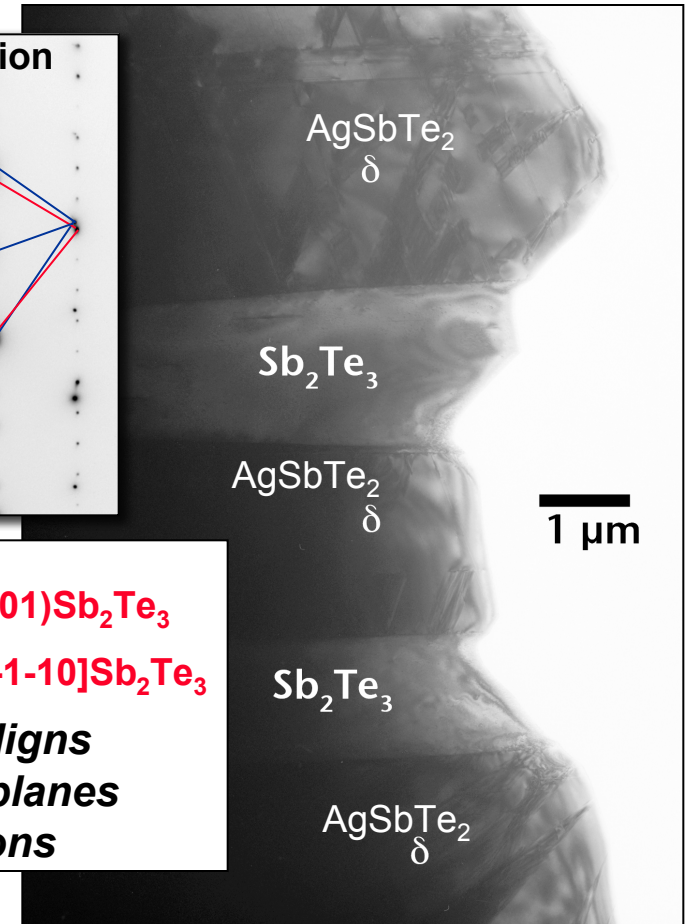
AgSbTe₂-Sb₂Te₃



(111)AgSbTe₂ // (0001)Sb₂Te₃

[-101]AgSbTe₂ // [2-1-10]Sb₂Te₃

*Orientation aligns
close-packed planes
and directions*

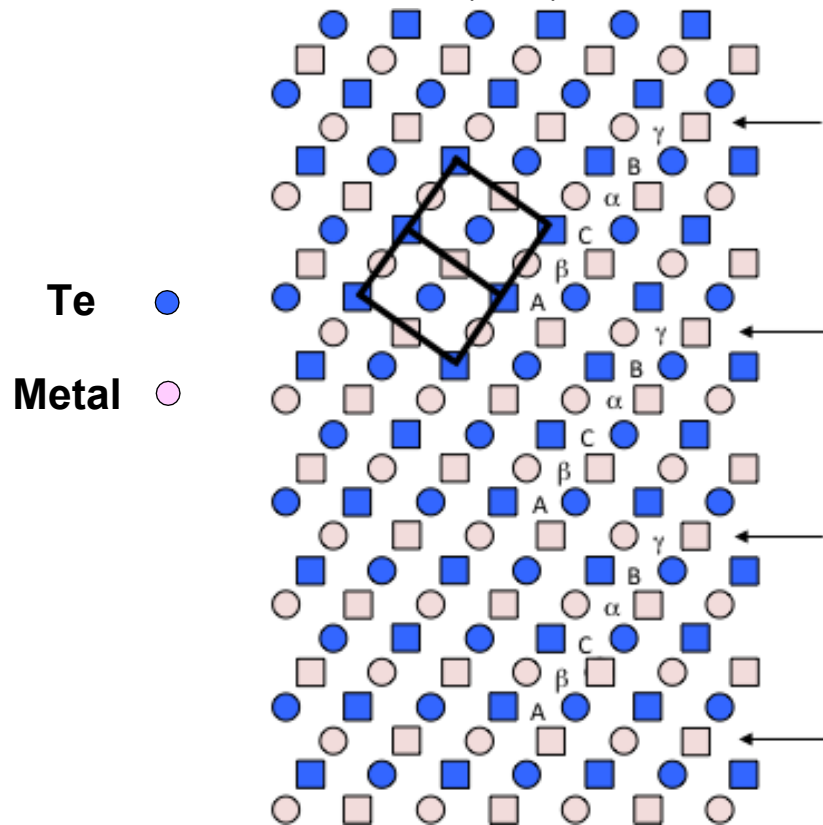


Medlin and Sugar,
Scripta Mat 2010

How to convert between the rocksalt and tetradymite structures?

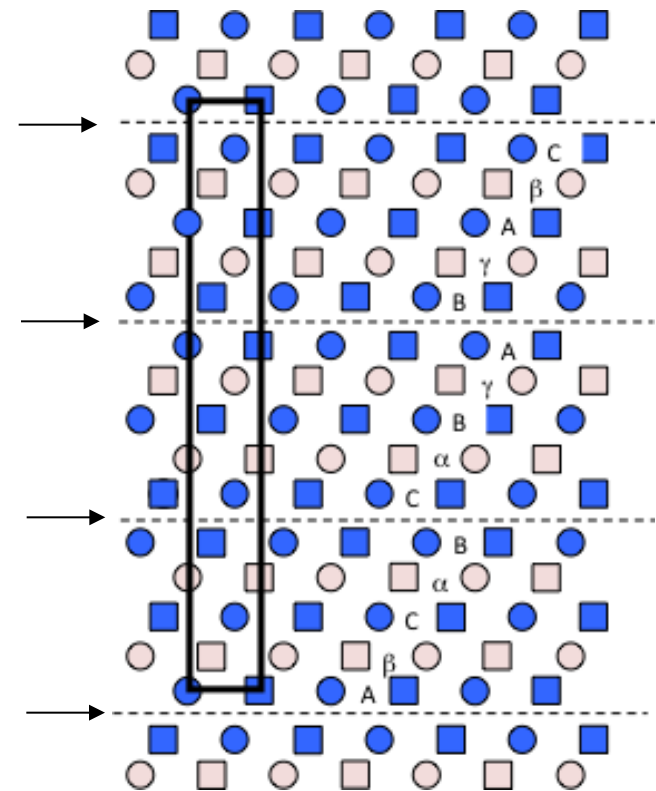
Rocksalt

(MTe)



Tetradymite

(M₂Te₃)



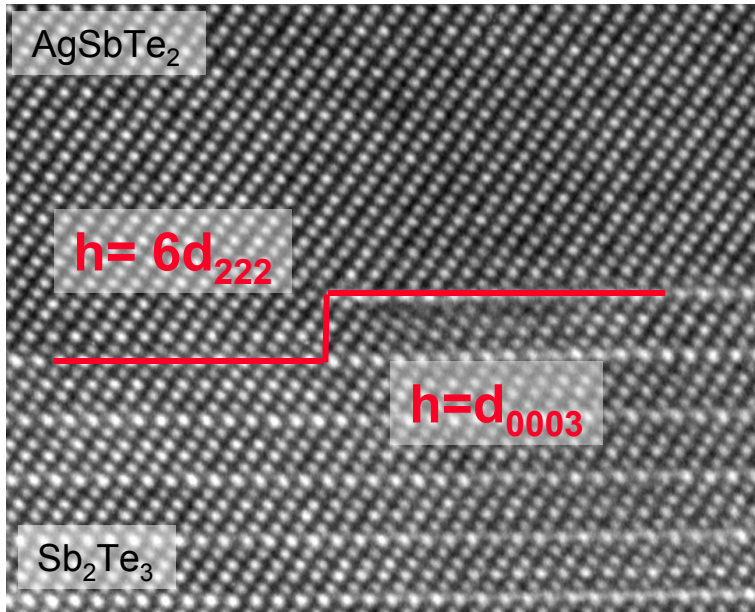
-Remove metal plane every 6 layers

-Shear blocks by $\frac{1}{3}\langle 10-10 \rangle$ (or $\frac{1}{6}\langle 112 \rangle$ relative to cubic coordinates)

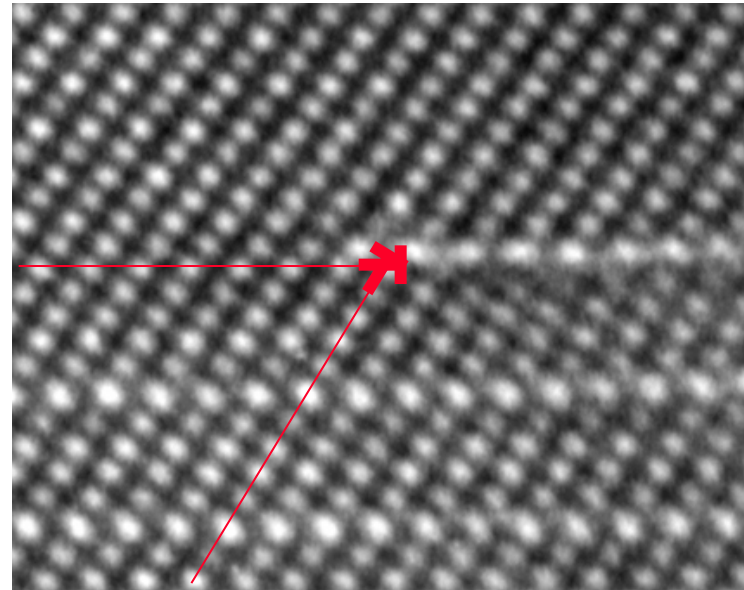
N. Frangis et al., J. Solid State Chemistry 84 (1990)

***Transformation can be accomplished by motion of disconnections
(steps with dislocation content)***

HRTEM : Step at $\text{AgSbTe}_2/\text{Sb}_2\text{Te}_3$ Interface



JEOL 4000EX HRTEM



Defect has both *step* and *dislocation* character.

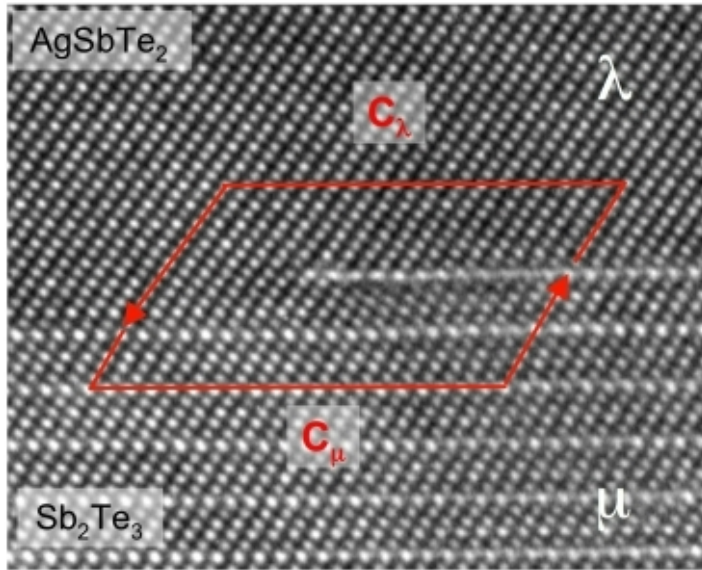
- Interfacial “Disconnection” (e.g. Hirth and Pond, Acta Mat 1996).
- Geometric properties of disconnections control mass flux and structural rearrangements of phase transformations.

Step joins *6 {222}* planes in AgSbTe_2 with *5 {000 15}* planes in Sb_2Te_3

Complex dislocation configuration.

Role of defect in precipitate growth:

Burgers vector:



Upper crystal circuit

Lower crystal circuit

$$\mathbf{b} = -(\mathbf{C}_\lambda + \mathbf{P}\mathbf{C}_\mu)$$

-Coordinate Transformation
From Tetradymite to Rock-salt.
-Coherently strained reference
frame

Resolve \mathbf{b} into components
normal and parallel to interface

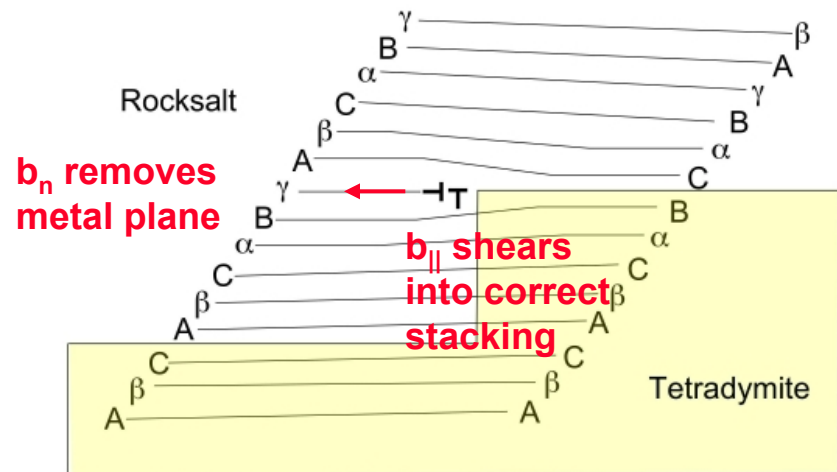
$$\mathbf{b}_n = (a_{cub} - c_{hex} / 3\sqrt{3})[111]$$

•mismatch of step heights.

$$|\mathbf{b}_n| = 0.3747\text{\AA}$$

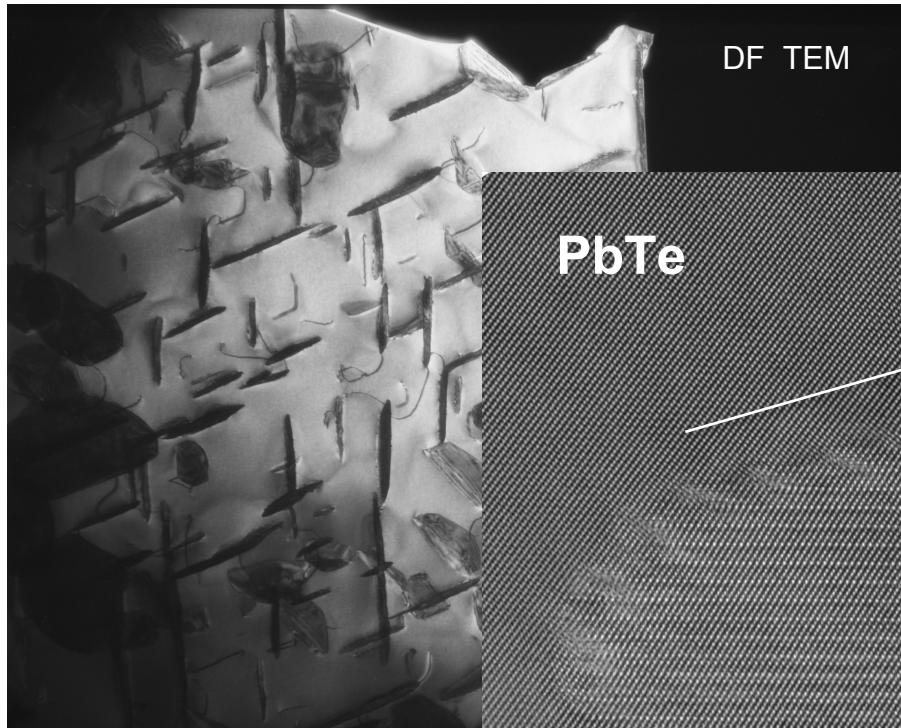
$$\mathbf{b}_\parallel = \frac{a_{cub}}{6}[\bar{1}2\bar{1}]$$

•Analogous to
Shockley partial
Dislocation
• $|\mathbf{b}_\parallel| = 2.48\text{\AA}$

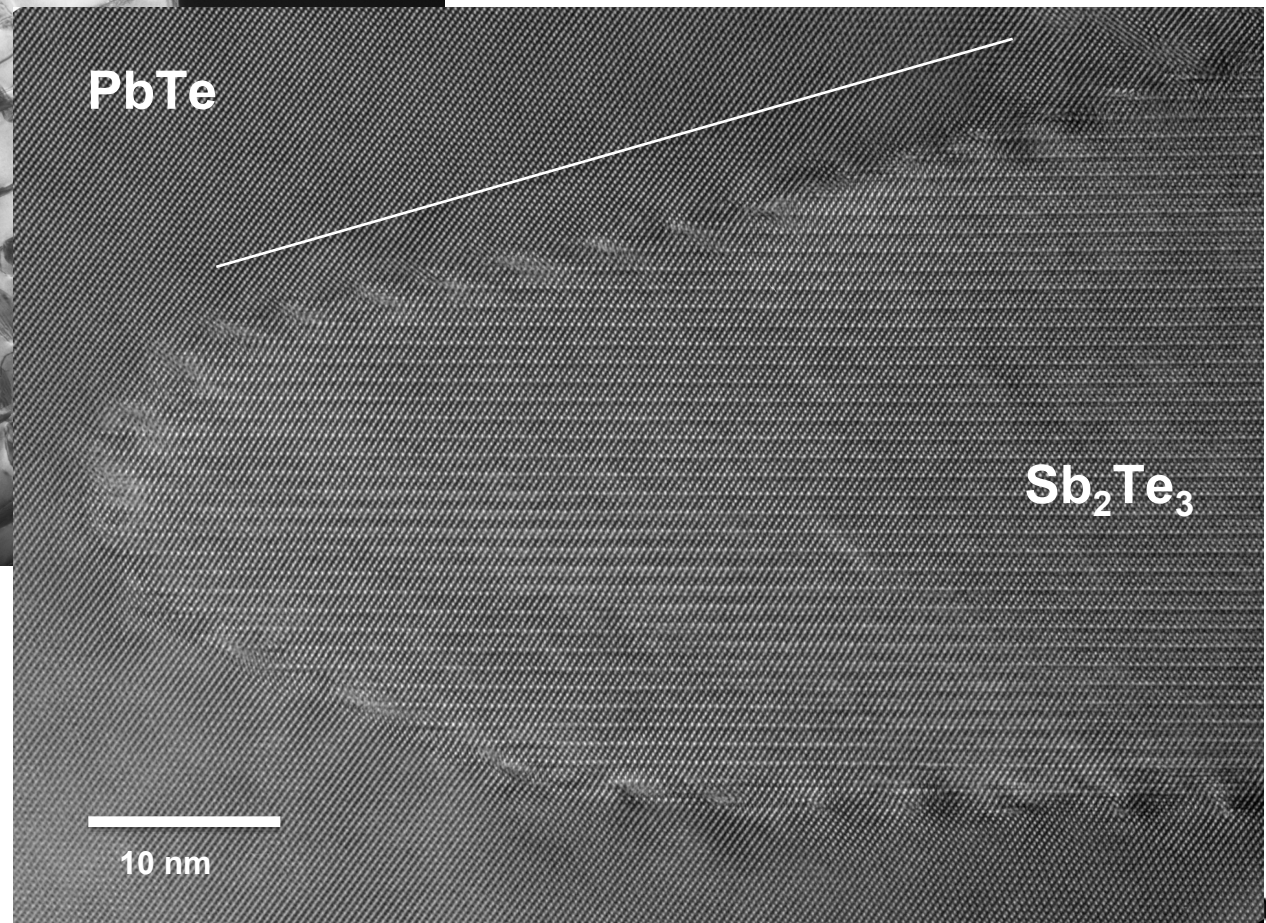


A system with larger misfit: $\text{PbTe}/\text{Sb}_2\text{Te}_3$

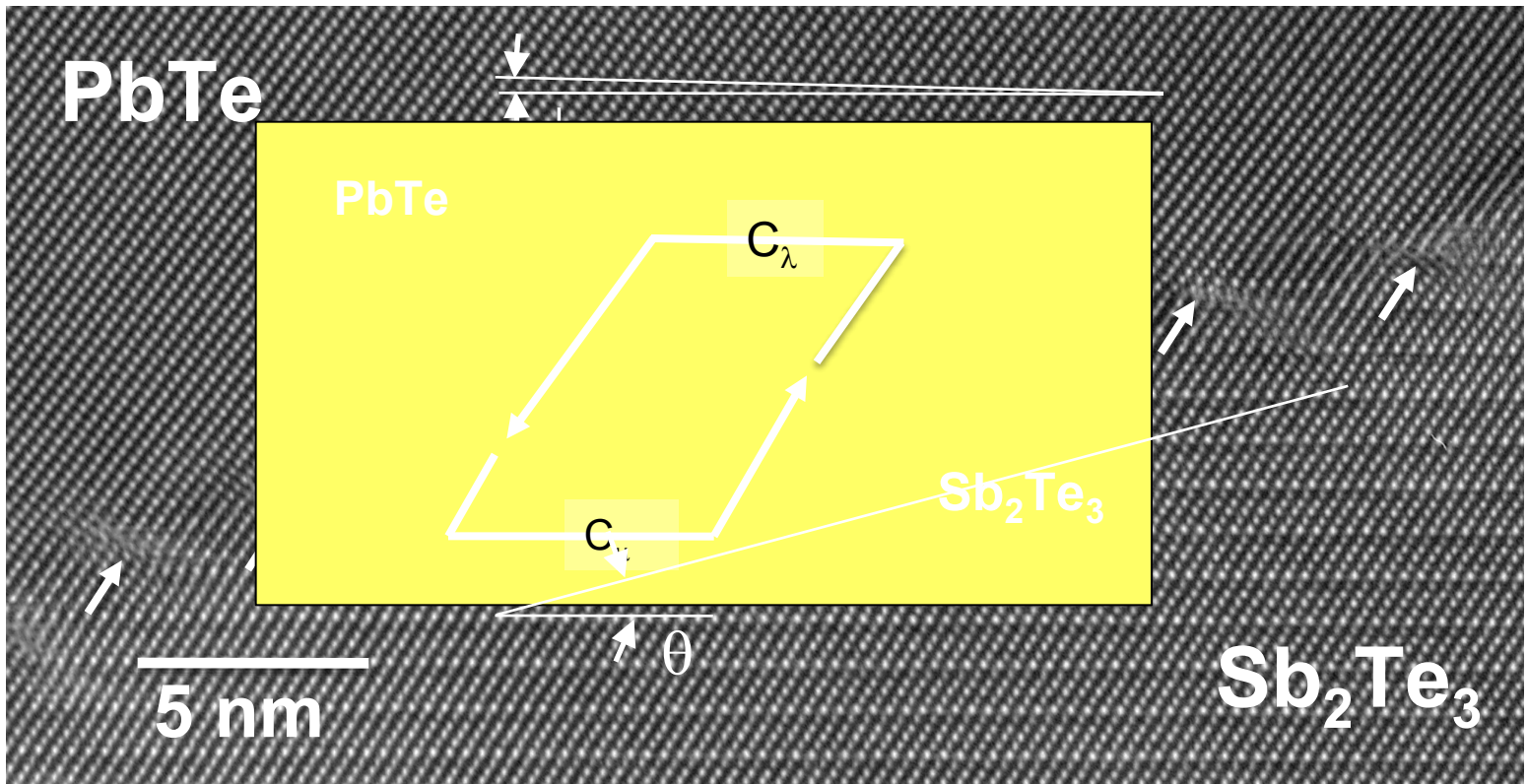
Sb_2Te_3 Precipitates in PbTe



$\text{AgSbTe}_2(111)/\text{Sb}_2\text{Te}_3(0001)$
Misfit: +0.79%



Inclined Section of interface Composed of Disconnections



HRTEM JEOL 4000EX

Circuit Analysis:

Defects identical to the “6/5” disconnections observed in AgSbTe₂/Sb₂Te₃

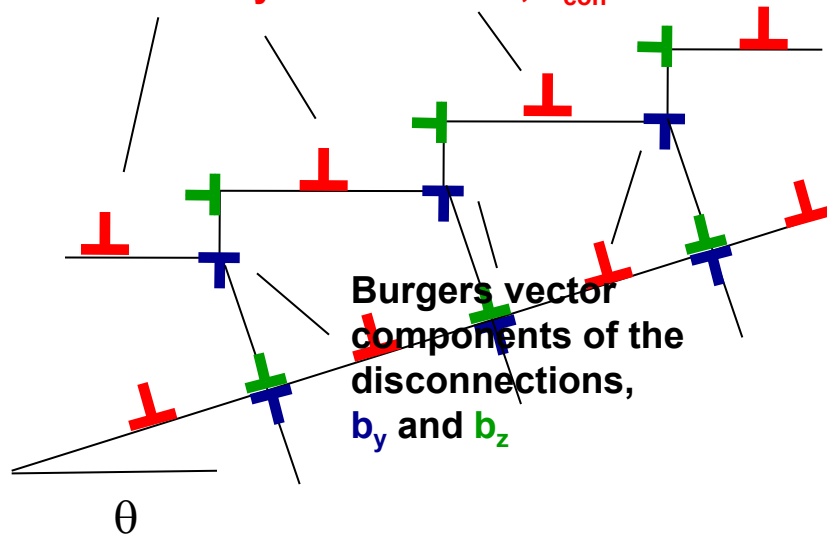
Interface Geometry:

Inclination: $\theta = 14.8^\circ$ Lattice rotation: $\phi = 1.1^\circ$ to 1.4°

Interplay between Misfit Accommodation and Interface Inclination

Project components on inclined interface plane

Adjust spacing to cancel ($\epsilon_{yy} = 0$)
as "coherency" dislocations, b_{coh}



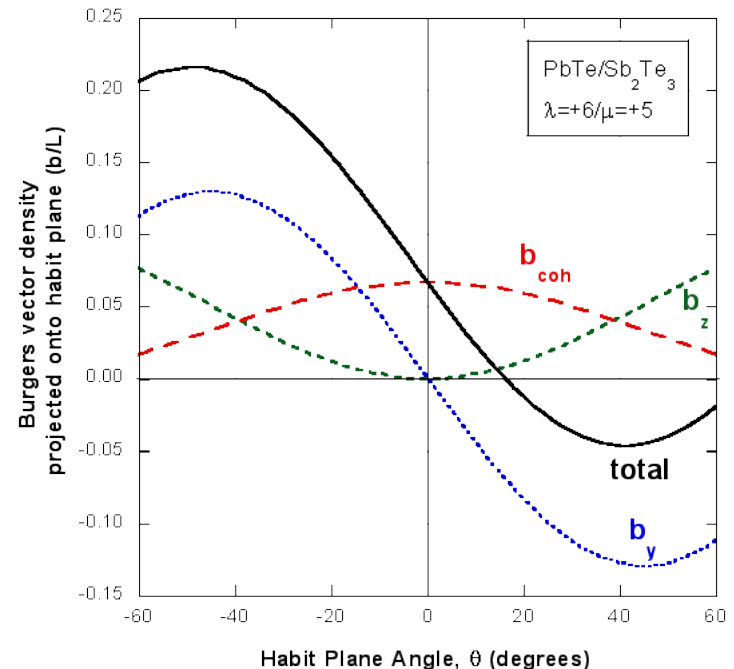
$$-\epsilon = (b_y \tan \theta + b_z \tan^2 \theta) h^{-1} \quad \rightarrow \quad \theta = 16.2^\circ$$

Pond, Celotto, Hirth, Acta Mater. 2003

Out of plane \mathbf{b} components produce small rigid body crystal rotation,

$$\phi = 2 \sin^{-1} [(b_z \cos \theta - b_y \sin \theta - \epsilon h \cos \theta) \sin \theta / 2h] \quad \rightarrow \quad \phi = 1.7^\circ$$

Disconnection spacing accommodates the (111)/(0001) coherency strain.



Conclusions

Key structural aspects of the tetradymite-type chalcogenides are manifested in the detailed structures of extended defects in these materials.

Weak, van der Waals bonding across double chalcogenide layers

Ability to accommodate non-stoichiometry through altering the layer stacking

Close inter-relationship between the rocksalt and tetradymite structural types.

Attention to the topological properties and detailed structure of extended defects in the chalcogenides is critical.

Understanding interfacial formation and stability and, ultimately, interfacial transport properties in nanostructured bulk thermoelectrics.

Set of elementary "building blocks" for a general picture of interfacial structure in chalcogenide thermoelectrics

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N.A. Heinz, T. Ikeda, G.J. Snyder

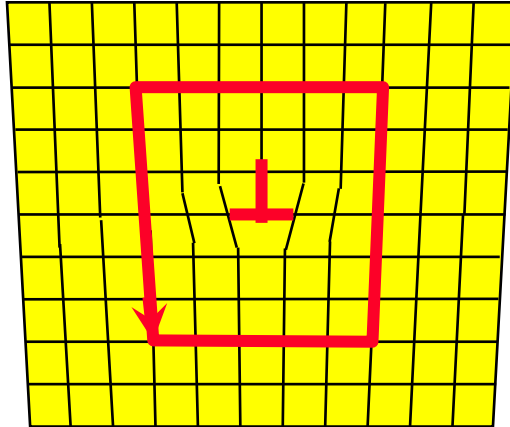
Special thanks to:

- LLNL: John Bradley, for use for LLNL's Titan 80/300 instrument
- LBNL: User program, National Center for Electron Microscopy
- UCD: Z. Zhang and E. Lavernia, for assistance with bulk Bi_2Te_3 processing

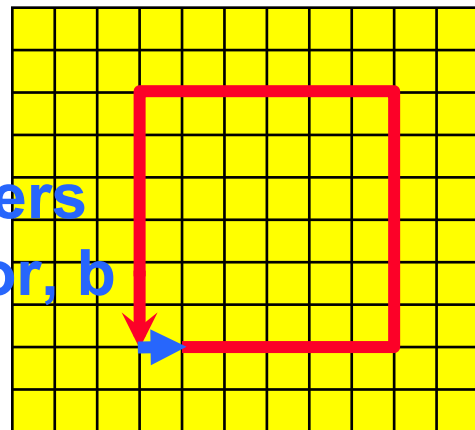
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

How do these structural considerations impact Dislocations and Interfaces?

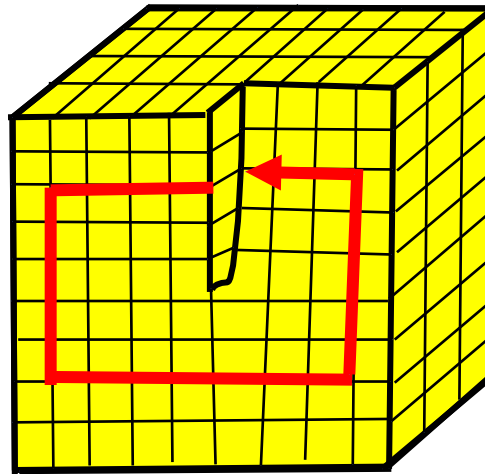
Edge Dislocation



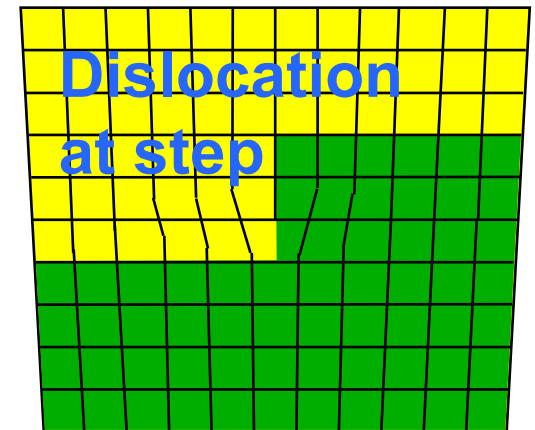
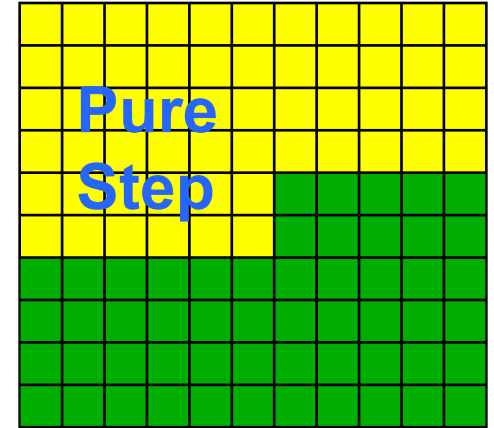
Reference Frame:
Perfect Crystal



Screw Dislocation

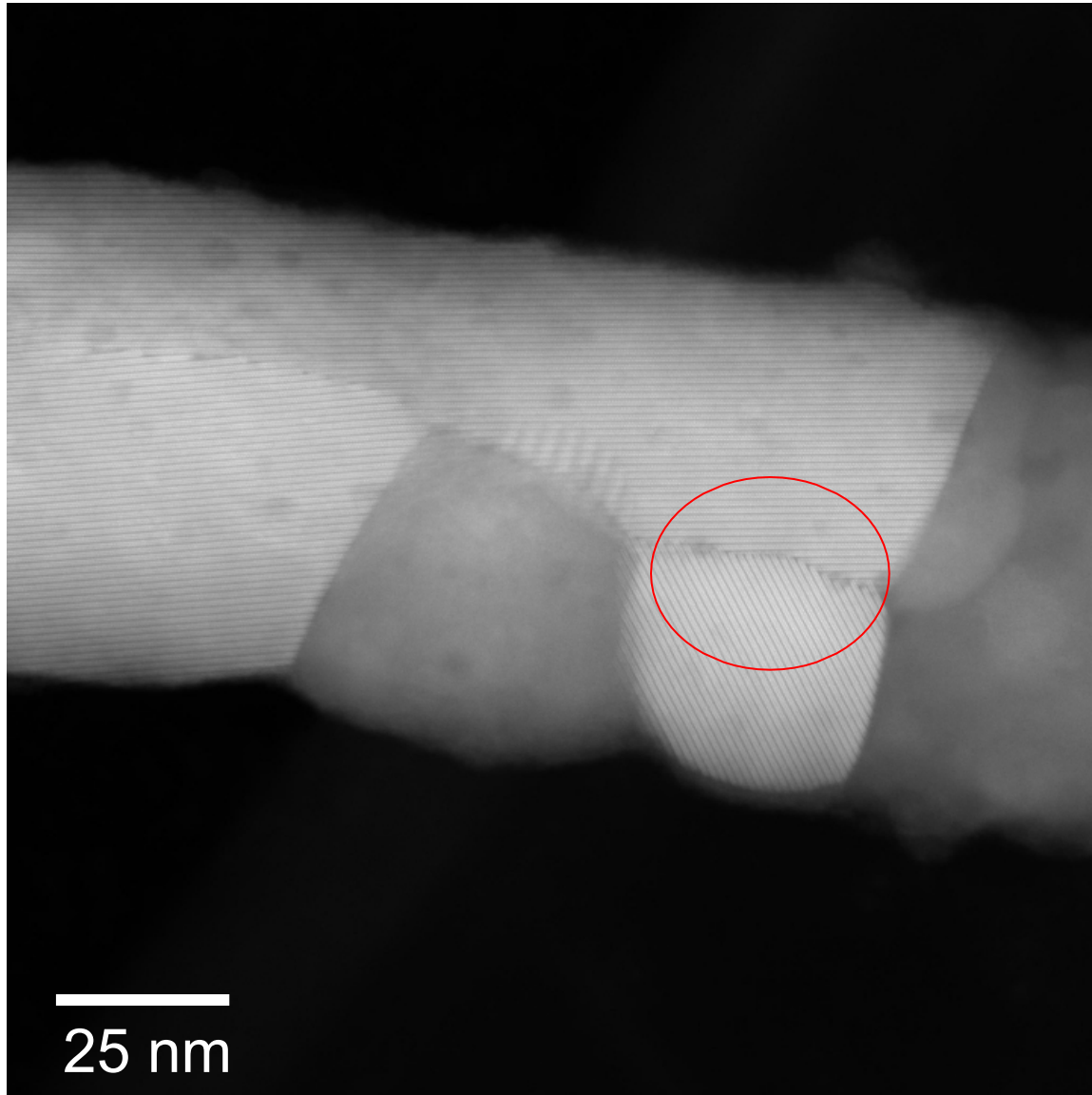


Interfacial Disconnection



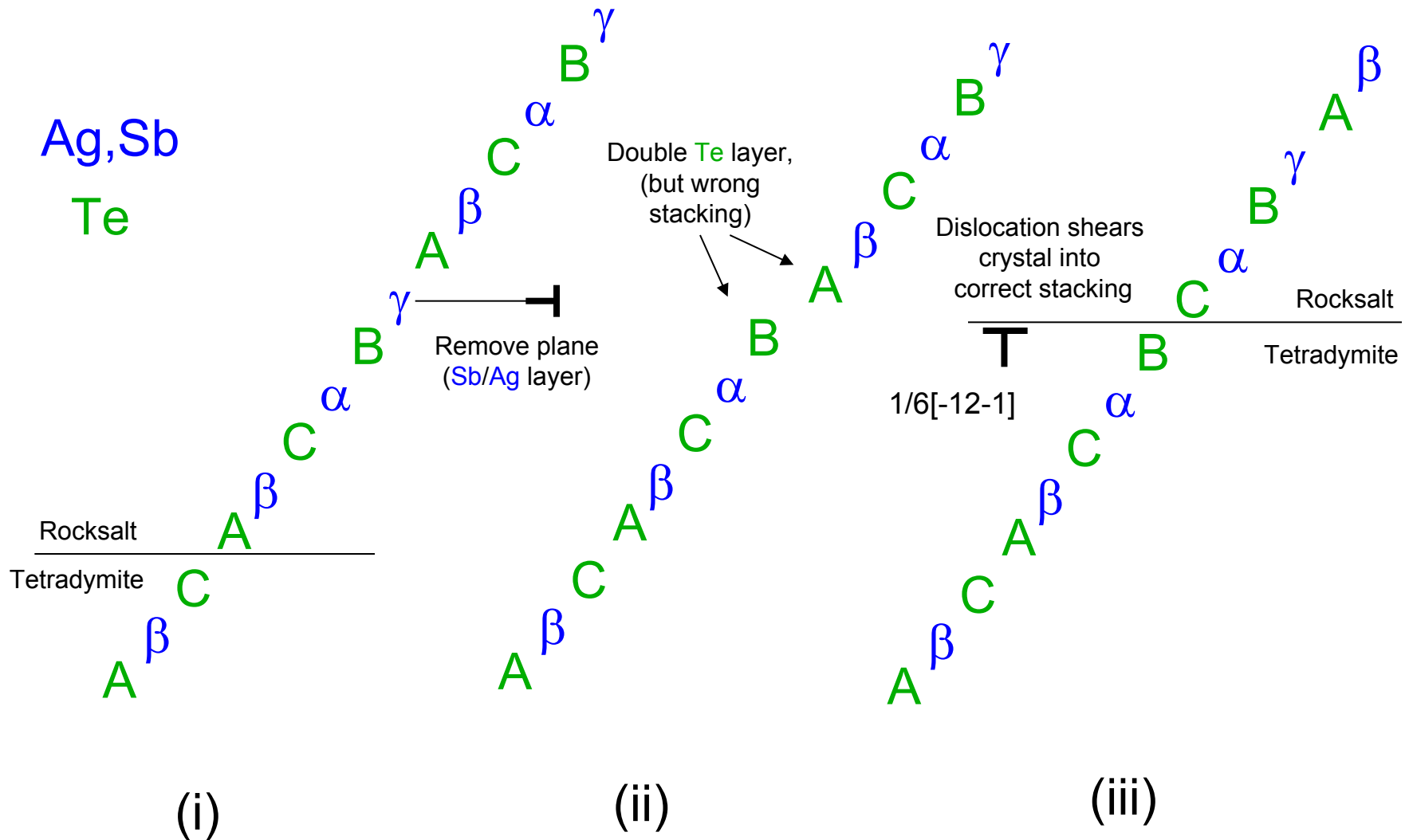
Bi_2Te_3 $\langle 2-1-1\ 0 \rangle // \langle -2\ 1\ 1\ 0 \rangle$ 63.78° Boundary

Electrodeposited
 Bi_2Te_3 nanowire
Annealed 300°C



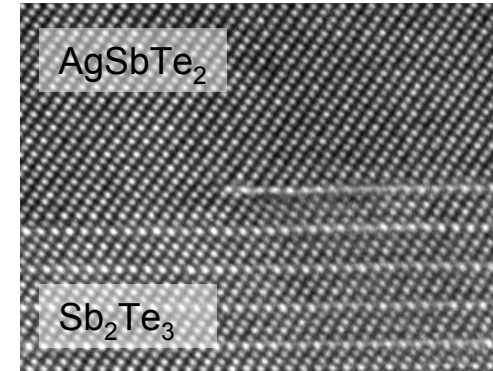
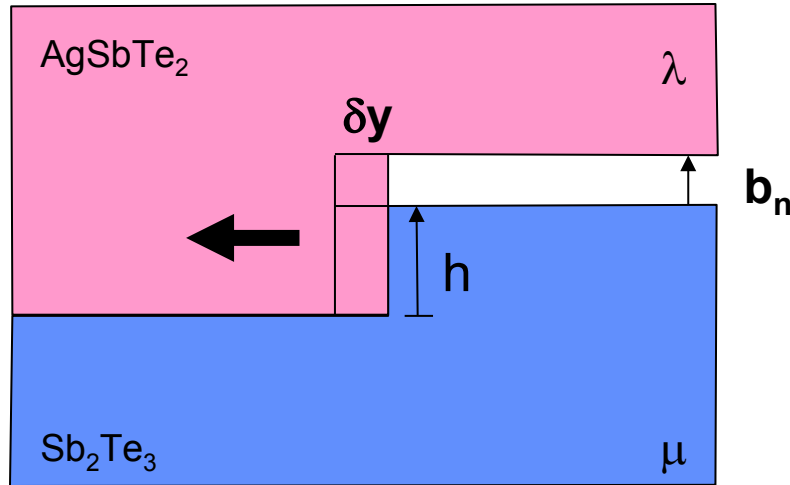
FEI-Titan
HAADF-STEM
300 keV

Schematic of Transformation Sequence



Defect properties give local mass flux required for transformation

Partition flux for defect motion into step and dislocation components



$$\frac{\Delta N_i}{L\delta y} = \underbrace{(\chi_i^\lambda - \chi_i^\mu)h}_{\text{step}} + \underbrace{\chi_i^\lambda b_n}_{\text{dislocation}}$$

Hirth & Pond, Acta Mat 1996

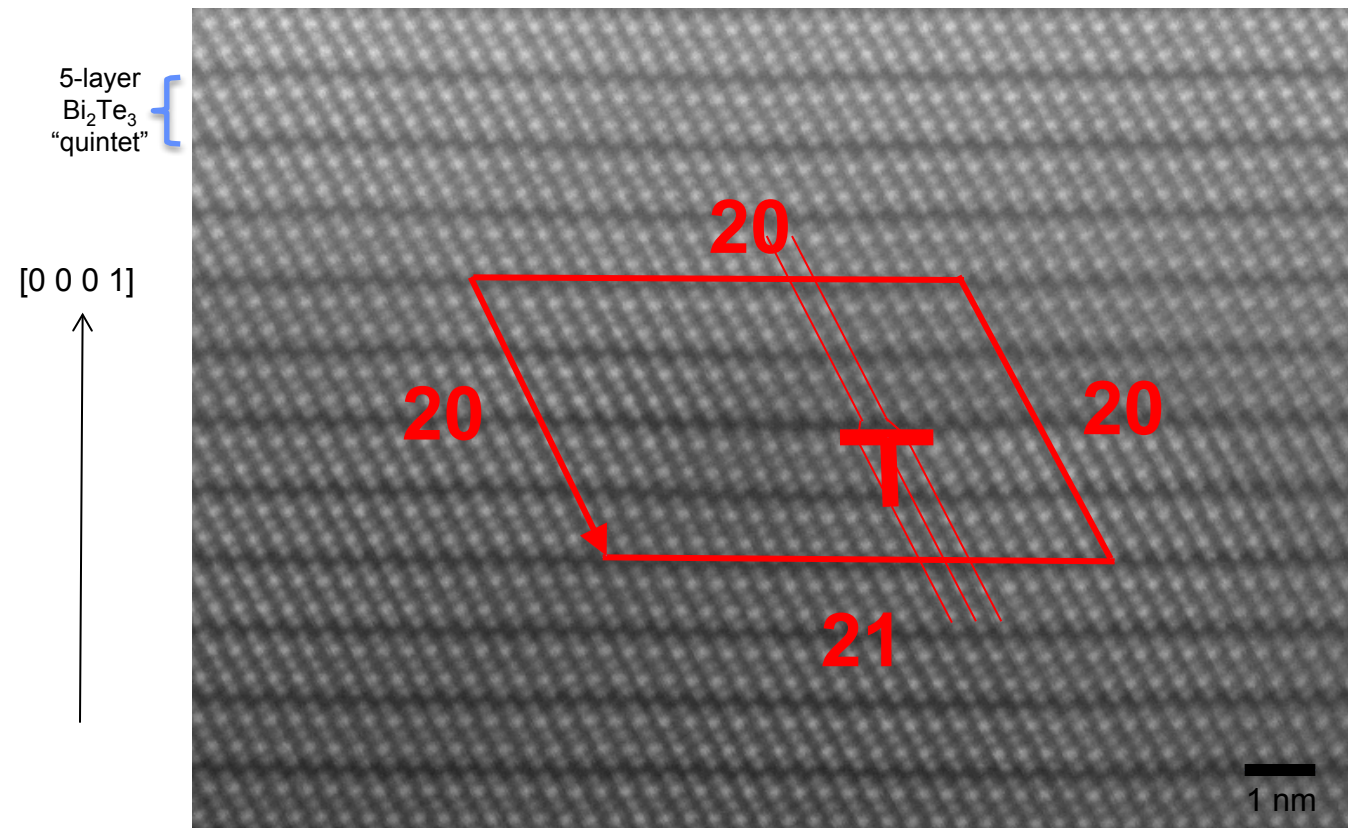
**Reject Ag and Incorporate Sb
in ratio of 3:1**

Tellurium:

**Step and Dislocation fluxes cancel.
No long-range Te transport required.**

Species	Step flux (atoms/Å ²)	Dislocation flux (atom/Å ²)	Total flux (atom/Å ²)
Ag	$\frac{2 c_{hex}}{3 a_{cub}^3}$ +0.09043	$\frac{2}{a_{cub}^3}(\sqrt{3}a_{cub} - c_{hex}/3)$ +0.00334	$\frac{2\sqrt{3}}{a_{cub}^2}$ +0.09377
Sb	$\frac{2 c_{hex}}{3 a_{cub}^3} - \frac{8\sqrt{3}}{3 a_{cub}^2}$ -0.03459	$\frac{2}{a_{cub}^3}(\sqrt{3}a_{cub} - c_{hex}/3)$ +0.00334	$-\frac{2\sqrt{3}}{3 a_{cub}^2}$ -0.03126
Te	$-\frac{4}{a_{cub}^3}(\sqrt{3}a_{cub} - c_{hex}/3)$ -0.00668	$+\frac{4}{a_{cub}^3}(\sqrt{3}a_{cub} - c_{hex}/3)$ +0.00668	0

Dislocation Core structure: termination at $\text{Te}^{(1)}\text{-Te}^{(1)}$ layer, dissociation



$$b = 1/3 \langle 2 \ -1 \ -1 \ 0 \rangle$$

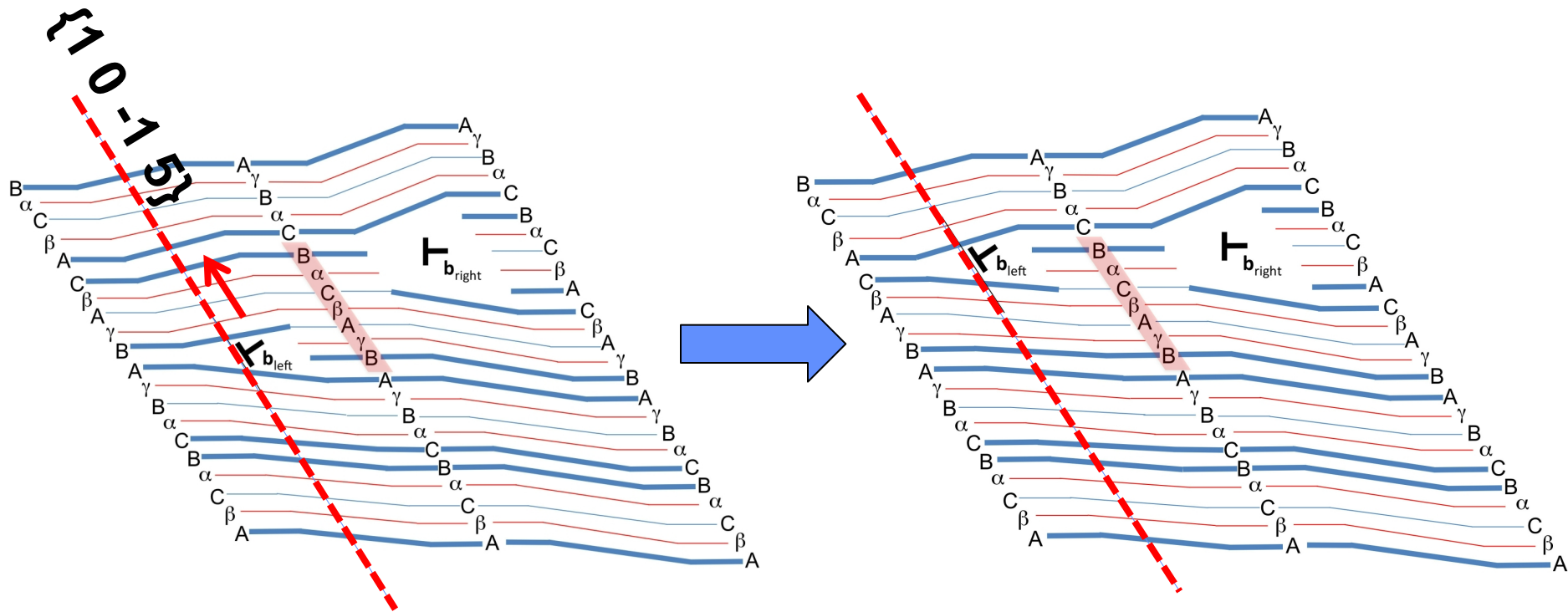


60° mixed
dislocation

FEI-Titan
HAADF-STEM
300 keV

$\langle 2 \ -1 \ -1 \ 0 \rangle$ projection

Core can re-configure via glide of the left partial dislocation on $\{1\ 0\ -1\ 5\}$ plane



- $\{1\ 0\ -1\ 5\}$ is most densely packed plane in tetradymite structure
- Analogous to $\{001\}$ plane in rock-salt structure.