

# High temperature high strength austenitic steel fabricated by laser powder-bed fusion

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## Abstract

Extremely fast cooling rates during laser powder-bed fusion (LPBF) can result in materials with unique microstructures. For LPBF 316L stainless steel, the formation of sub-grain cellular structures with high dislocation density has been linked to superior tensile properties at room temperature. This cellular structure offers also a new route for the development of high temperature LPBF steels with the nucleation of nano-size strengthening carbides in the cell walls. HK30Nb steel (Fe-25Cr-20Ni-Nb-C) was, therefore, fabricated by LPBF to evaluate its potential for high temperature applications. Optimization of the fabrication parameters yielded material with density greater than 99.7%, with nano Nb-rich precipitates in the cell walls. Annealing at 800 °C for 5h resulted in the nucleation and growth of additional precipitates mainly in the cell walls and at grain boundaries. The high dislocation density led to yield strength at 20-900 °C two to three times higher than yield strength for cast HK30Nb and the nano carbides in the cell walls significantly improved the cellular structure stability at 800 °C.

*Keywords:* Laser powder bed fusion (LPBF), Austenitic steel, Nano carbides, HK30Nb, tensile, cellular structure

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## 1. Introduction

2 The fabrication and characterization of 316L steel by laser powder-bed  
3 fusion (LPBF) has attracted a lot of attention due to unique microstruc-  
4 tural features leading to great room temperature tensile properties [1, 2, 3,  
5 4, 5, 6, 7, 8, 9, 10]. Of particular significance is the presence of cellular  
6 structures with high dislocation density [11]. Dislocation in the sub cellu-  
7 lar structure enhances the alloy yield strength while interactions between  
8 deformation twins and dislocations in the cell walls result in superior room  
9 temperature ductility [3, 4, 5, 8, 9, 12]. Recent work revealed, however, that  
10 the tensile properties of the LPBF 316L stainless steels at 400-700 °C are  
11 only moderately better than the properties of wrought 316L [13]. The main  
12 reason is a change of deformation mechanisms at  $T > 200$  °C, also observed  
13 for wrought 316L, from twinning at low temperature to dislocation motion  
14 at higher temperatures [14, 15]. Dynamic strain aging with strong interac-  
15 tion between solute atoms and moving dislocations is also known to reduce  
16 316L ductility at 500-700 °C [16, 17, 18]. In addition, the stability of the  
17 cells was found to be limited at temperature above 600°C, from a signifi-  
18 cant decrease of dislocation density to a complete disappearance of the cell  
19 structure at  $T > 800$ -900°C after annealing from 15min to 4h [7, 13, 19]. One  
20 promising way to stabilize the microstructure and increase the alloy strength  
21 at high temperature is to nucleate precipitates in the cell walls to pin dis-  
22 locations. Several authors have shown, indeed, that for 316L fabricated by  
23 LPBF, chemical segregation of Mo and Cr and (Si, O)-rich precipitates were  
24 observed in the cell walls [1, 4, 20], leading to consider chemical segregation  
25 and nano precipitates as viable solutions for higher strength stainless steels  
26 [3, 11]. Almangour et al. [21, 22] laser melted 316L powder with either TiC or  
27 TiB<sub>2</sub> powder and showed a significant improvement of the yield strength in  
28 compression at both room temperature and 650 °C compared to a reference  
29 LPBF 316L steel. Strengthening of the steel was attributed to a refinement  
30 of the grain structure, a refinement of the cellular structure and/or chemical  
31 segregation in the cell walls. At high temperatures, a decrease of dynamic  
32 recovery and recrystallization due to the presence of fine precipitates was also

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33 postulated. Zhong et al. mixed 316L powder with nano  $Y_2O_3$  powder be-  
34 fore melting to fabricate an oxide dispersion strengthened (ODS) 316L steel.  
35 They observed the formation of (Y,Si,O) nano oxides but the impact on the  
36 LPBF 316L steel tensile properties was limited [23]. Another route for the  
37 design of high temperature high strength LPBF austenitic steels is to take  
38 advantage of the high dislocation density in the cell walls to nucleate fine  
39 carbides or carbonitrides as observed in advanced 310 or 347-type austenitic  
40 steels [24, 25, 26]. In this work we will describe the fabrication by LPBF and  
41 characterization of 310-type cast HK30Nb steel. The alloy was selected due  
42 to its use in the automotive industry for turbocharger manifold and housing  
43 [27], good castability and for its high C, Cr and Nb concentration to form  
44 NbC and  $M_{23}C_6$  precipitates [28].

## 45 2. Experimental Procedure

### 46 2.1. Alloy fabrication

47 The HK30Nb powder, with a particle size of 15-45  $\mu m$ , was purchased  
48 from Powder Alloy Corporation in two separate batches. As can be seen  
49 in Table 1, the powder chemical composition from the two gas atomization  
50 runs and the composition of the resulting LPBF alloy were very similar. The  
51 LPBF deposited part chemistry was consistent with the ASTM A297 spec-  
52 ification except for a relatively low C. The 0.2 wt % Mn concentration was  
53 below the 2 wt % maximum specified in ASTM A297 but this value was lower  
54 than typically reported for cast HK30Nb [27]. The LPBF HK30Nb builds  
55 fabricated using a Renishaw AM250 are shown in Figure 1. Small rectangular  
56 cubes and thin walls were first fabricated to determine the optimum fabri-  
57 cation parameters (Figure 1a). A design of experiments was implemented to  
58 correlate the alloy density with the volumetric heat input (VHI). The power  
59 was set to 200 W, the layer thickness to 30  $\mu m$  and the hatch spacing, point  
60 distance and exposure time was varied to obtain a range of VHI from 45 to  
61 220  $J/mm^3$ . A plot of the cube density measured by gas pycnometry versus  
62 the VHI is shown in Figure 2a. A plateau was observed with a maximum  
63 density of 7.83  $g/cm^3$  for VHI values between 70 and 110  $J/mm^3$ . Based on  
64 these results, the following parameters were used to fabricate the cylindrical  
65 rods, rectangular blocks and thin plates shown in Figure 1b: hatch spac-  
66 ing =125  $\mu m$ , exposure = 135 ms and point distance =103  $\mu m$ . Later on,  
67 the LPBF HK30Nb cubes were sectioned and examples of cross-sections are  
68 shown in Figure 2b and 2c. Density measurement by image analysis using

69 both a Python code and ImageJ confirmed that a surface fraction density  
70 superior to 99.7% was observed for VHI values between 70 and 110 J/mm<sup>3</sup>.

HK30Nb	Fe	Cr	Ni	Si	Nb	C	Mo	Mn
Powder1	Bal.	25	21	1.1	1.32	0.22	0.3	0.2
Powder2	Bal.	25	20	1	1.25	0.21	0.3	0.2
LPBF alloy	50.66	25.36	20.53	1.14	1.37	0.2	0.27	0.2

Table 1: Chemical composition in wt% of the HK30Nb powders provided by the powder manufacturer and the LPBF alloy measured by inductively coupled plasma (ICP), combustion, and inert gas fusion (IGF)

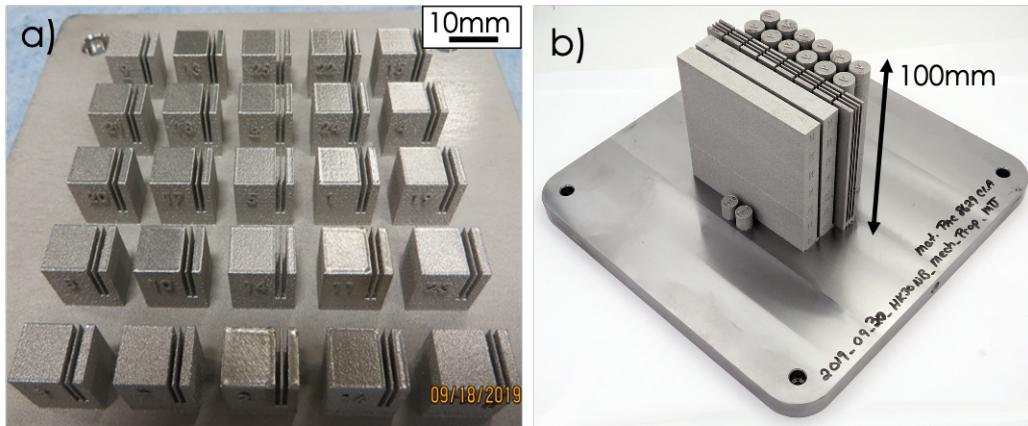


Figure 1: LPBF HK30Nb builds using a Renishaw AM250, a) Cubes and thin walls for parameter optimization, b) Cylindrical rods and rectangular bars for microstructure characterization and mechanical testing

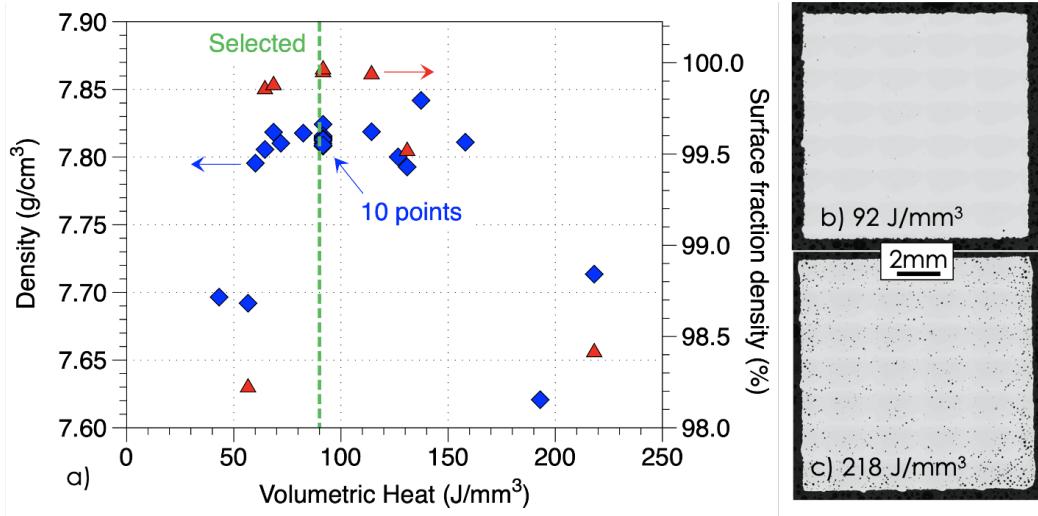


Figure 2: a) LPBF HK30Nb cube density measured by gas pycnometry or image analysis versus the volumetric heat input, b) and c) Example of cube cross-sections used to measure the surface fraction density

71 *2.2. Tensile testing*

72 Subsize SS3-type tensile specimens [29] with a gauge section of  $2 \times 2 \text{ mm}^2$   
73 and a gauge length of 7.62 mm were machined along the build direction  
74 from the top or center of the cylindrical rods and perpendicular to the build  
75 direction from the top of one rectangular block. In both cases, the top 5mm  
76 of the build was discarded as the microstructure is expected to change in  
77 the final build layers due to variation in thermal profiles. Tensile testing was  
78 conducted at 20-900°C on an Instron electro-mechanical machine equipped  
79 with a three zone radiation furnace and the cross-head displacement was  
80 controlled to achieve a strain rate of  $10^{-3} \text{ s}^{-1}$ . The gauge section was too  
81 small to insert an extensometer so the uniform and total plastic elongations  
82 were estimated from the cross head displacement curve. Three thermocouples  
83 spot welded on the specimen grips ensured control of the temperature within  
84  $\pm 2^\circ\text{C}$ . Tests were also conducted at room temperature and at 700°C using  
85 standard size threaded cylindrical specimens with a gauge length of 32 mm  
86 and a gauge diameter of 6.3mm. An MTS 632.11B-20 extensometer equipped  
87 with ceramic extension rods was used to measure the specimen deformation  
88 and three thermocouples were attached on the top, middle and bottom of  
89 the gauge length to control the temperature over the specimens within 3°C.  
90 Testing was conducted according to ASTM E21 with an initial strain rate

91 of  $10^{-4} \text{ s}^{-1}$  and an increase to  $8.10^{-4} \text{ s}^{-1}$  past the yield strength. Both the  
92 SS3-type and standard specimens were maintained at temperature for 30 min  
93 before testing.

94 *2.3. Microstructure characterization*

95 Standard metallographic techniques were used to mount samples of the as  
96 fabricated and annealed LPBF HK30Nb steel along and perpendicular to the  
97 build direction. Samples were extracted in the vicinity of the rod center in  
98 each case. For the as printed material, a second section from the top portion  
99 of another rod was also mounted to verify material homogeneity. Annealing  
100 of the LPBF HK30Nb rod was conducted for 5h at 800°C in flowing Ar fol-  
101 lowed by natural cooling in air outside the furnace. Tensile specimens tested  
102 at 20°C, 600°C, 700°C and 800°C were also characterized after rupture in  
103 different locations from the necking zone to the shoulder. Grain texture was  
104 evaluated using a Zeiss Crossbeam 550 field emission scanning electron mi-  
105 croscope equipped with an Oxford electron backscattered diffraction (EBSD)  
106 detector. To reveal the cellular structure, some samples were electrolytically  
107 etched with a solution containing 10% oxalic acid and then imaged using an  
108 Hitachi S4800 scanning electron microscope (SEM) equipped with a cold field  
109 emission gun. Measurement of the cell size from random cellular colonies  
110 along and perpendicular to the build direction was conducted using these  
111 SEM images and the intersect method for a total of 115 measurements. For  
112 elongated cells, only the width of the cell was estimated. Scanning trans-  
113 mission electron microscopy (STEM) imaging and energy dispersive x-ray  
114 spectroscopy of HK30Nb were conducted on a FEI Talos F200X S/TEM op-  
115 erated at 200kV and equipped with an extreme field emission gun (X-FEG)  
116 electron source, high-angle annular dark-field (HAADF) detector and Super-  
117 X energy dispersive X-ray spectroscopy (EDS) system with 4 silicon-drift  
118 detectors (SDD). TEM specimens were prepared by following standard thin-  
119 ning/polishing procedure for TEM sample preparations of 3 mm in diameter  
120 discs. Afterwards, pre-thinned 100  $\mu\text{m}$  thick 3 mm discs were electropol-  
121 ished using A3 Struers solution (600ml Methanol, 360ml 2- Butoxyethanol,  
122 60ml Perchloric Acid) at -20 °C. As for the samples prepared by standard  
123 metallography, samples were extracted from the center of the rods.

124 *2.4. Thermodynamic calculations*

125 All the thermodynamic calculations were performed using TCFE9 database  
126 of ThermoCalc. Kinetic calculations to capture the precipitation evolution

127 with temperature and time were carried out with PRISMA, precipitation sim-  
128 ulation tool working in conjunction with thermodynamic database TCFE9  
129 and mobility database MOBFE4.

130 **3. Results**

131 *3.1. LPBF HK30Nb Microstructure*

132 As can be seen in Figure 3, pole and inverse pole figures of the LPBF  
133 HK30Nb steel along and perpendicular to the build direction showed no sig-  
134 nificant texture for the austenitic matrix with grains exhibiting the chevron-  
135 type shape often observed in LPBF alloys. The grain aspect ratio was 2-2.6:1  
136 with the grain size ranging from 1 to 90  $\mu\text{m}$ . A large number of small grains  
137 were detected leading to an overall grain size average of 10-12  $\mu\text{m}$ . Etched  
138 optical images (Figure 4a) revealed that the main defects were lack of fusion  
139 voids at melt pool boundaries. In addition, SEM micrographs with (Figure  
140 4b) or without (Figure 4c) etching highlighted the presence of the cellular  
141 structures reported for various alloys fabricated by LPBF, and in particular  
142 316L steel. [3, 4, 5, 8, 9, 11, 13, 30, 31, 32]. Both elongated and "equiaxed"  
143 cells were observed but there is a growing consensus that all the cells are in  
144 fact more or less elongated, their appearance being dependent on the cross  
145 sectional plan [11]. Measured cell sizes for both the equiaxed and elongated  
146 cells (width) along and perpendicular to the build direction are summarized  
147 in Table 2. Results were very similar for the equiaxed and elongated cells in  
148 both directions, with average cell size varying from 0.52 to 0.56  $\mu\text{m}$ . Mea-  
149 surements in random locations led to 16 measurements for equiaxed cells  
150 versus 48 for the width of the elongated cells along the build direction, while  
151 these numbers were 42 versus 20, respectively, perpendicular to the build  
152 direction. Quantitative estimate of the cell orientation is beyond the scope  
153 of this paper, but clearly a much higher ratio of elongated cells was observed  
154 along the build direction. The Cr map (representative of all elemental maps)  
155 in Figure 4d corresponds to the SEM micrograph in Figure 4c and highlights  
156 the absence of chemical segregation or precipitates easily detectable by EDS.

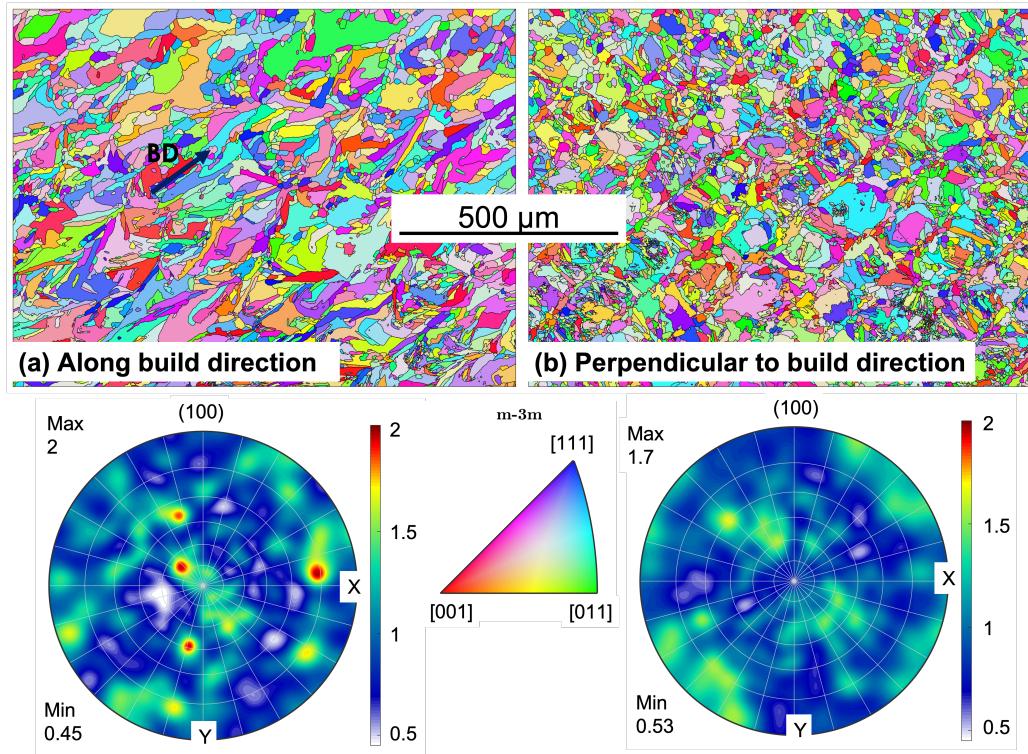


Figure 3: Inverse pole figure (IPF) maps and corresponding  $\{001\}$  pole figure for the as-printed LPBF HK30Nb rod, a) Along the build direction, b) Perpendicular to the build direction

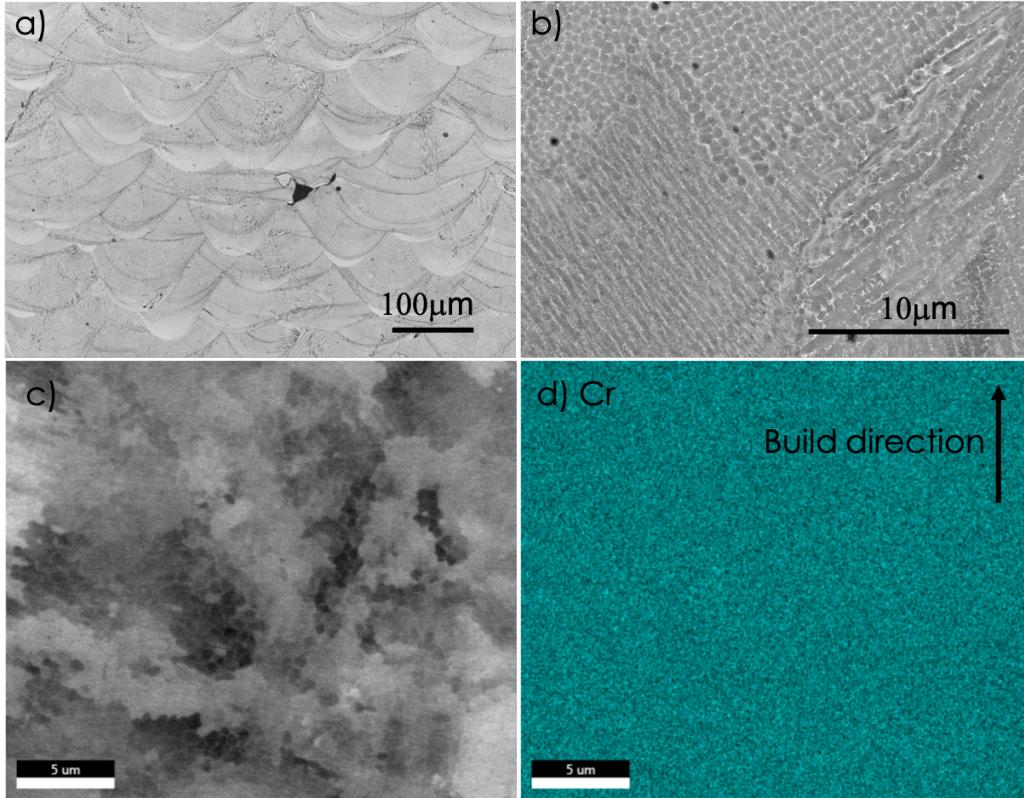


Figure 4: As printed LPBF HK30Nb along the build direction, a) Etched optical micrograph showing lack of fusion at melt pool boundaries, b) Etched BSE-SEM micrograph highlighting equiaxed and elongated cellular structures, c) BSE-SEM micrograph showing a similar cellular structure, d) corresponding EDS Cr map

157 The TEM images shown in Figure 5a and 5b confirmed the presence of  
 158  $0.55 \mu\text{m}$  cellular structure, with a high dislocation density in the cell walls.  
 159 The Nb and Cr chemical maps (Figure 5c) revealed the presence of fine Nb-  
 160 rich carbides as well as Cr segregation and/or Cr-rich precipitates in the  
 161 cell walls. Figure 5d also highlights the presence of small elongated Nb-rich  
 162 carbides at grain boundaries. A few Cr-rich and Al-rich oxides were also  
 163 observed at grain boundaries or inside grains, respectively.

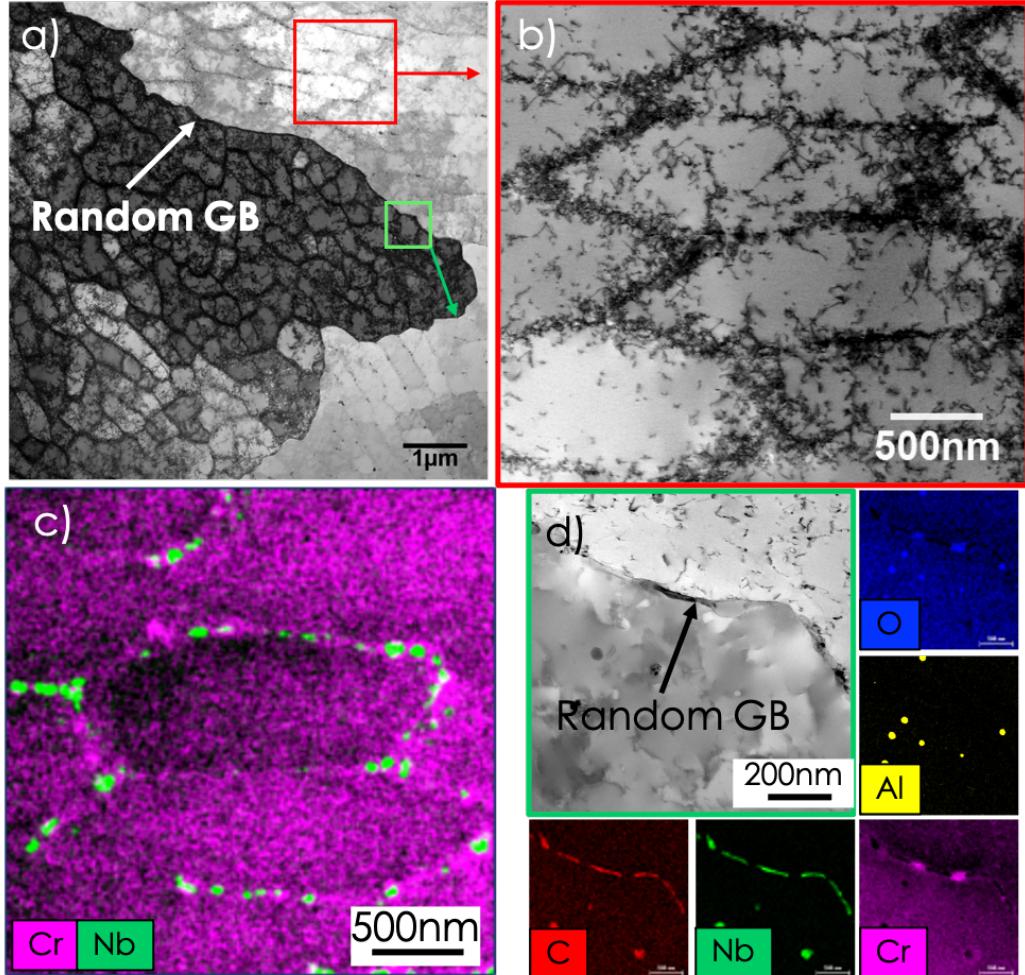


Figure 5: a) BF-STEM image of as-printed LPBF HK30Nb rod, b) and c) higher magnification BF-STEM images of the cellular structure with corresponding EDS elemental maps of Nb and Cr and d) higher magnification BF-STEM images of a random grain boundary with corresponding EDS elemental maps of C, Nb, O, Al and Cr

164 *3.2. Tensile Properties*

165 The tensile properties of the LPBF HK30Nb along the build direction  
 166 for the SS3 and standard-size specimens are compared in Figure 6 with the  
 167 tensile properties generated perpendicular to the build direction with SS3  
 168 specimens. The tensile curve examples presented in Figure 6a show that  
 169 the key difference between the SS3 and standard specimens lies on the erro-  
 170 neous elastic portion of the SS3 curves due to the compliance of the tensile

171 machine. This is the reason why uniform and total plastic elongations are  
172 reported here. In addition, the arrow in Figure 6a highlights that serrated  
173 tensile curves were observed at 600°C, indicating that dynamic strain aging  
174 took place. At  $T < 600^\circ\text{C}$ , the yield strength and UTS of the LPBF HK30Nb  
175 steel perpendicular to the build direction were slightly higher than the yield  
176 stress and UTS in the longitudinal direction, with a more significant differ-  
177 ence for the yield stress at room temperature. Both the yield strength and  
178 UTS values were comparable at  $T > 700^\circ\text{C}$ . In both directions, the total plas-  
179 tic deformation decreased progressively with increasing temperature, and the  
180 ductility was higher at all temperatures perpendicular to the build direction.  
181 The uniform elongation remained the same at 20-400°C, decreased slightly at  
182 600°C and then drastically decreased at  $T > 700^\circ\text{C}$ . Data generated at 20°C  
183 and 700°C with standard specimens were very consistent with the results  
184 generated on small SS3-type dog bone specimens except for the lower du-  
185 tility at 700°C. Lower ductility for standard specimens in comparison with  
186 SS3-type specimens were previously reported by Dryepondt et al. [13] for  
187 316L fabricated by LPBF. Gussev et al.[29] studied in details the effect of  
188 scale factor on tensile testing and attributed higher ductility for SS3-type  
189 specimens to a change of stress state in the necking zone.

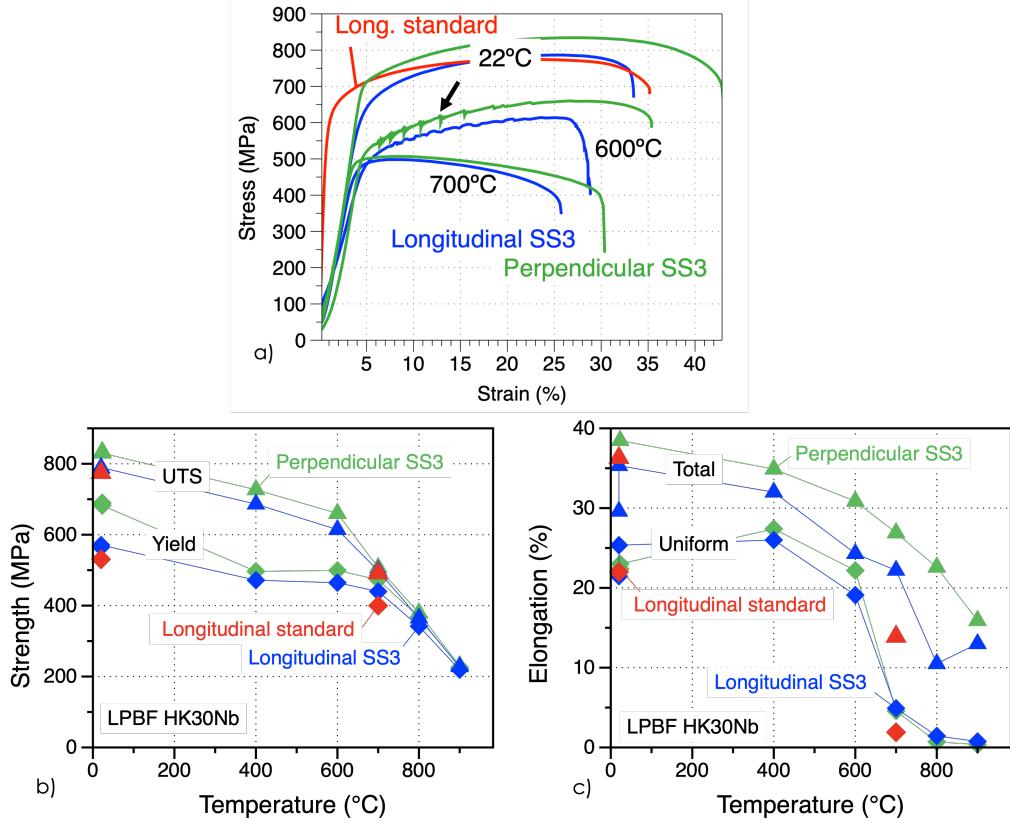


Figure 6: Tensile properties of LPBF HK30Nb along and perpendicular to the build direction, a) Examples of tensile curves at 22°C, 600 °C, 700 °C. Black arrow highlights serrated curves at 600 °C b) Yield and ultimate tensile strength, c) Uniform and total elongation. Two tests were conducted at room temperature along the build direction

190 The tensile properties of the LPBF HK30Nb steel along the build direction  
 191 are compared in Figure 7 with the tensile properties of cast HK30Nb  
 192 [33, 34], wrought 310 [35, 36] and wrought 310HCbN/HR3C [37, 38]. Grain  
 193 sizes were estimated to be 70-75  $\mu\text{m}$  for the HK30Nb, 100  $\mu\text{m}$  and 25  $\mu\text{m}$   
 194 for the 310 in the Shi et al. and VanEcho et al. studies, respectively, and  
 195 50-60  $\mu\text{m}$  for the 310HCbN. The yield strength values for the cast HK30Nb  
 196 and wrought 310-type steels were significantly lower compared to the yield  
 197 values for LPBF HK30Nb at 20-900°C, with notable variations between the  
 198 wrought 310 data reported by Shi et al. and VanEcho et al.[35, 36]. The  
 199 UTS of the LPBF HK30Nb steel was also superior to the UTS of HK30Nb  
 200 and 310 steels at all temperatures. Finally, the wrought 310-type steels ex-

201 hibited superior ductility with elongation at rupture varying from 35% to  
202 75% . The ductility of the cast HK30Nb was similar to the ductility of the  
203 LPBF HK30Nb steel at  $T = 600^{\circ}\text{C}$  and  $700^{\circ}\text{C}$  but superior at  $800^{\circ}\text{C}$  and  
204  $900^{\circ}\text{C}$ . To further analyse the relationship between ductility and strength,  
205 the total elongation versus yield strength at room temperature for the LPBF  
206 HK30Nb steel is compared in Figure 8 with literature data for LPBF 316L  
207 [4, 20, 39] and wrought / cold rolled (WCR) 310 [35, 36, 40]. The LPBF  
208 HK30Nb data generated along the build direction at room temperature are  
209 consistent with the trend observed for WCR 310 while data generated per-  
210 pendicular to the build direction matched the trends for the LPBF 316L.  
211 Finally, pole and inverse pole figures in the necking zone and head of tensile  
212 specimens tested at  $20^{\circ}\text{C}$  and  $600^{\circ}\text{C}$  are displayed in Figure 9. As reported  
213 by Dryepondt et al.[13] for LPBF 316L, deformation twins were observed in  
214 the necking zone of the specimen tested at  $20^{\circ}\text{C}$  but were not observed for the  
215 specimen tested at  $600^{\circ}\text{C}$ . It is apparent from the necking zone IPF maps as  
216 well as pole figures that at both room temperature and  $600^{\circ}\text{C}$  there are two  
217 distinct texture contributions from  $\{001\}$  and  $\{111\}$  in the tensile direction.  
218 Prior works have made similar observations in other austenitic stainless steels  
219 [41, 42]. This texture evolution is fundamentally driven by the crystal-scale  
220 plasticity which favors specific slip planes and directions for accommodating  
221 plastic deformation. Grains poorly oriented for slip will either re-orient, or  
222 if the stacking fault energy is sufficiently low, accommodate deformation via  
223 deformation twins [42]. Elevated temperatures can significantly affect the re-  
224 sulting deformed textures as slip is a thermally activated process which may  
225 explain subtle differences between observed textures at room temperature  
226 and  $600^{\circ}\text{C}$ .

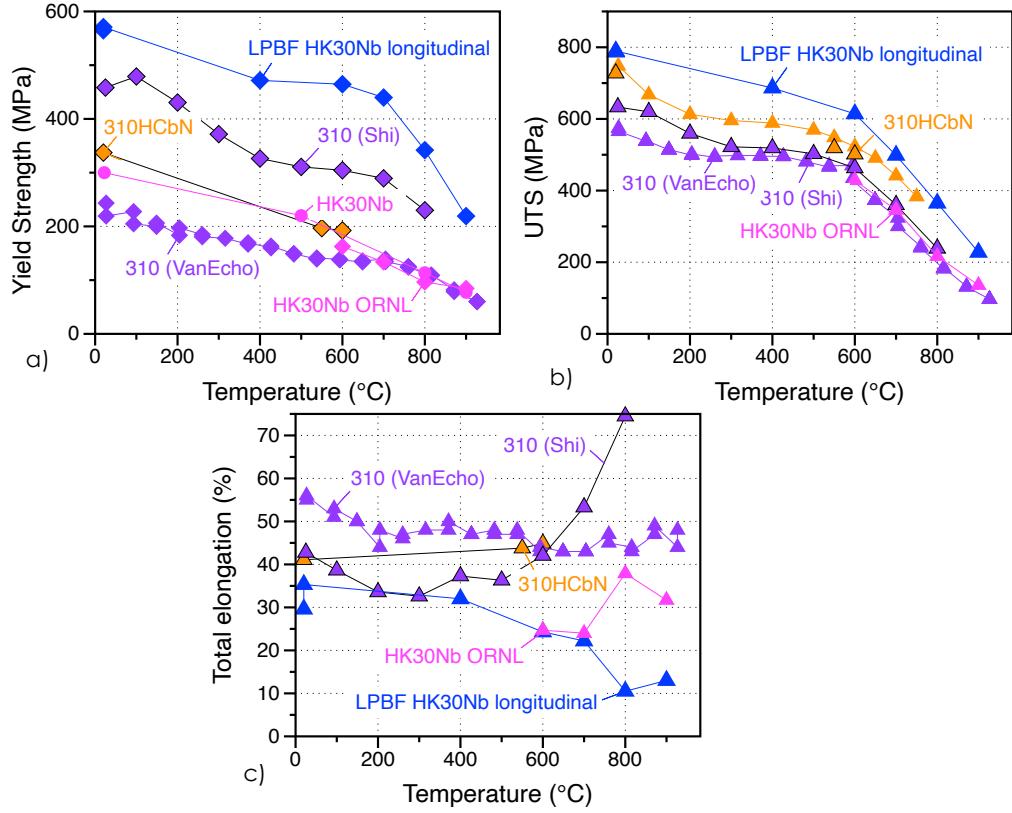


Figure 7: comparison of the tensile properties of LPBF HK30Nb, cast HK30Nb and wrought 310-type steels at 20-900°C, a) Yield and ultimate tensile strength, b) plastic deformation

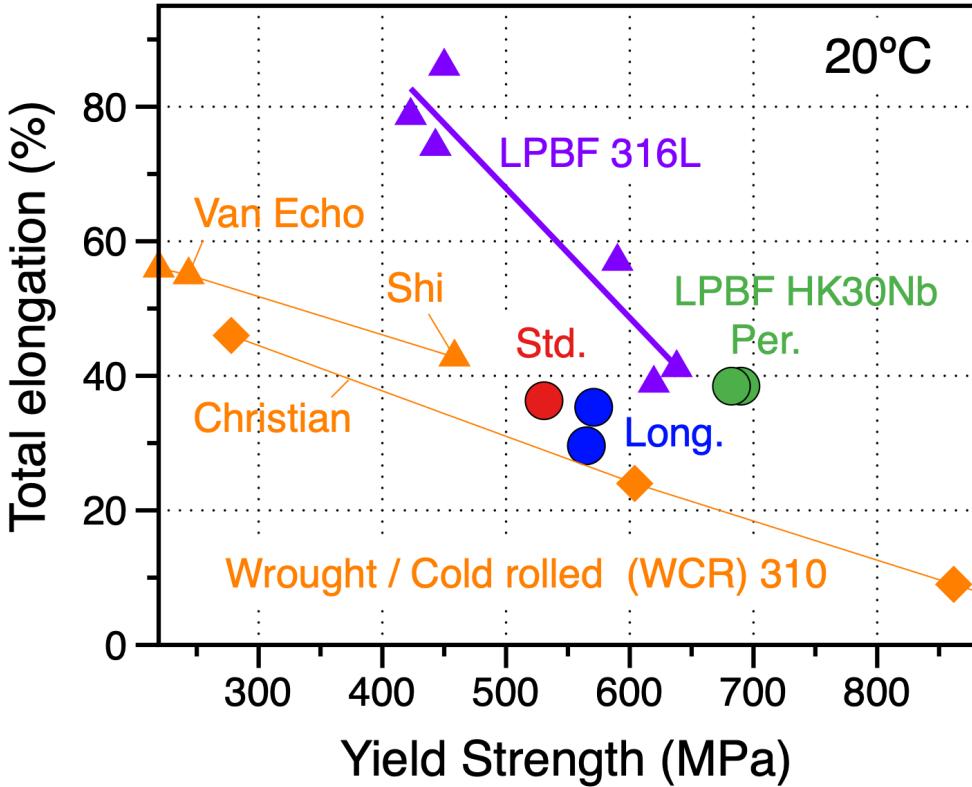


Figure 8: Comparison of the total elongation versus yield strength for the LPBF HK30Nb along (Long. and Std. for the standard size specimen) and perpendicular (Per.) to the build direction, wrought/cold rolled 310 and LPBF 316L at 20°C

227 *3.3. LPBF HK30Nb microstructure stability*

228     Initial assessment of the alloy microstructural and mechanical stability  
 229     was conducted by annealing the LPBF HK30Nb steel for 5h at 800°C and  
 230     then performing tensile testing at 20-900°C. The annealing temperature was  
 231     selected because of its relevance for stress relief. Comparison of Figure 10a-  
 232     10c with Figure 5a-5b highlights a similar cellular structure before and after  
 233     annealing. EBSD maps (not shown) also revealed that the grain structure  
 234     was unaffected by the heat treatment. The cellular structure size was es-  
 235     timated along the build direction to confirm that the average width of the  
 236     cell, about 0.5  $\mu$ m, was not affected by the 5h at 800°C anneal (Table 2).  
 237     Precipitates along grain boundaries were noticed in the SEM micrographs

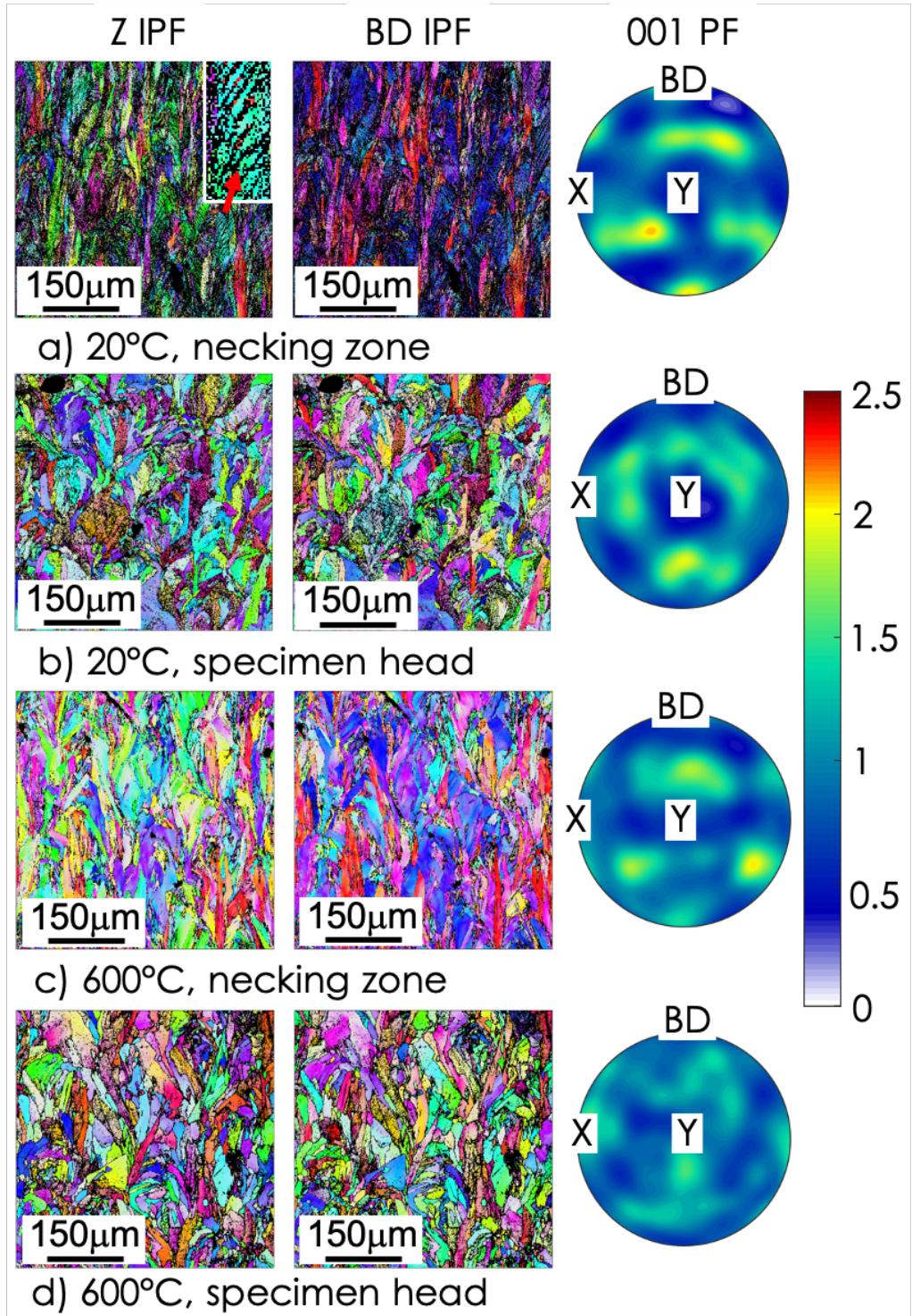


Figure 9: Inverse pole maps (left) out-of-plane sample direction (middle) vertical tensile direction and (right) 001 pole figure, a) 20 °C test, necking zone, b) 20 °C test, specimen head, c) 600 °C test, necking zone, d) 600 °C test, specimen head. Insert in a) highlights the presence of deformation twins.

238 of Figure 10a (black arrows) and TEM characterization showed that these  
239 precipitates were either (Ni,Si)-rich, Cr-rich or Nb-rich (Figure 10d). As  
240 observed in the as fabricated steel, many Nb-rich precipitates were present  
241 in the cellular structure walls. The higher magnification STEM-EDS maps  
242 shown in Figure 11 revealed in fact various precipitates in the vicinity of the  
243 cell walls; (Fe,Cr,Ni)-rich precipitates, Cr-rich carbides and Nb-rich precip-  
244 itates. Si segregation was observed but did not seem to be directly related  
245 to any of the precipitates. At even higher magnification (Figure 12), many  
246 Nb-rich carbides less than 5 nm in size could be observed in the cell interior.  
247 A precise measurement of the dislocation density by TEM was difficult due  
248 to the inhomogeneity of the cellular structure, but, comparing Figure 5b and  
249 10b, dislocation density might be slightly reduced after 5h at 800°C.

250 Finally, the tensile properties along the build direction before and after  
251 annealing for 5h at 800°C were compared in Figure 13 for the LPBF HK30Nb  
252 steel and a 316L steel also fabricated by LPBF [13]. Annealing for 5h at  
253 800°C led to a decrease of the yield strength at all temperatures for the  
254 316L steels, while the yield strength at  $T < 600^\circ\text{C}$  was higher for the HK30Nb  
255 steel after annealing and similar before and after annealing at  $T > 600^\circ\text{C}$ . The  
256 opposite was observed for the deformation at rupture with an increase at all  
257 temperatures after annealing for the LPBF 316L and a decrease of ductility  
258 after annealing for the LPBF HK30Nb at  $T < 600^\circ\text{C}$ .

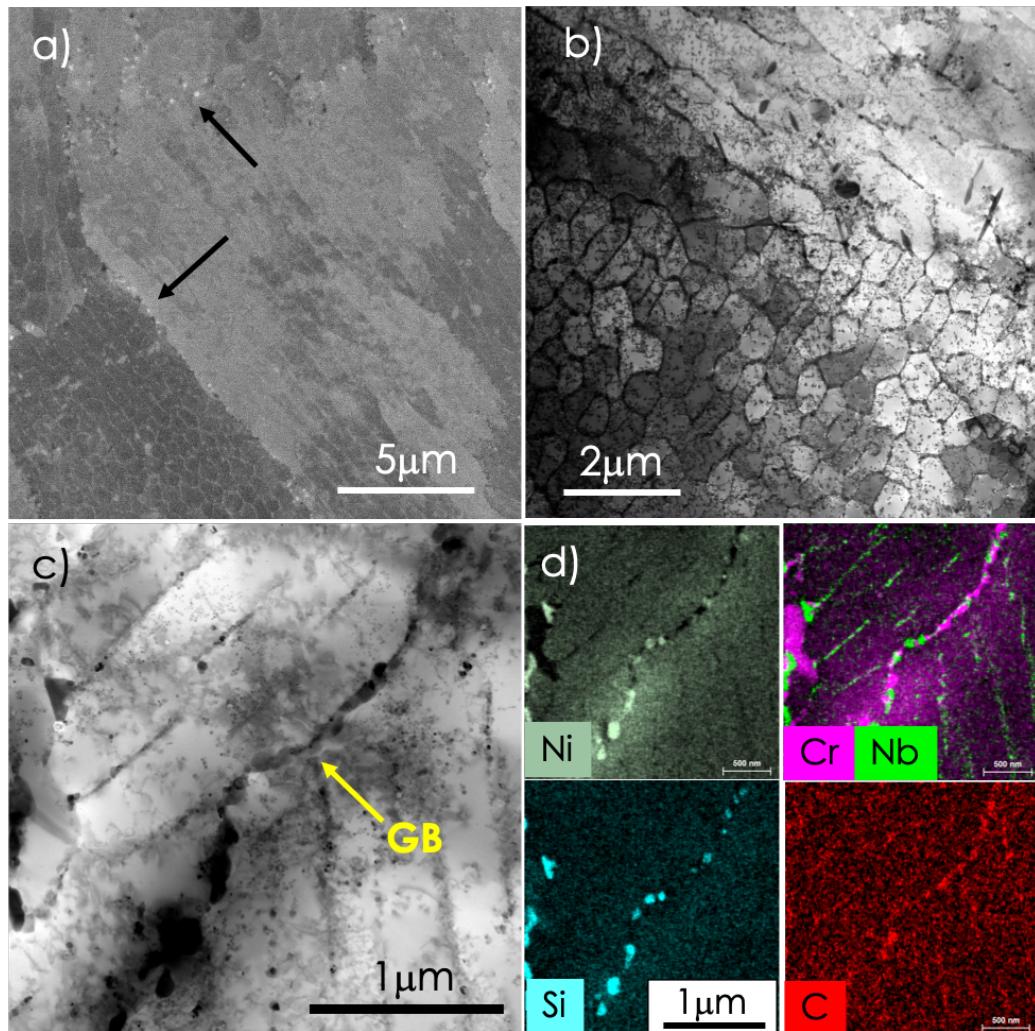


Figure 10: LPBF HK30Nb microstructure after annealing for 5h at 800°C. a) BSE-SEM image with black arrows highlighting precipitates at grain boundary. b) and c) BF-STEM images, d) EDS elemental maps of Ni, Si, Cr and Nb corresponding to Fig. 10c.

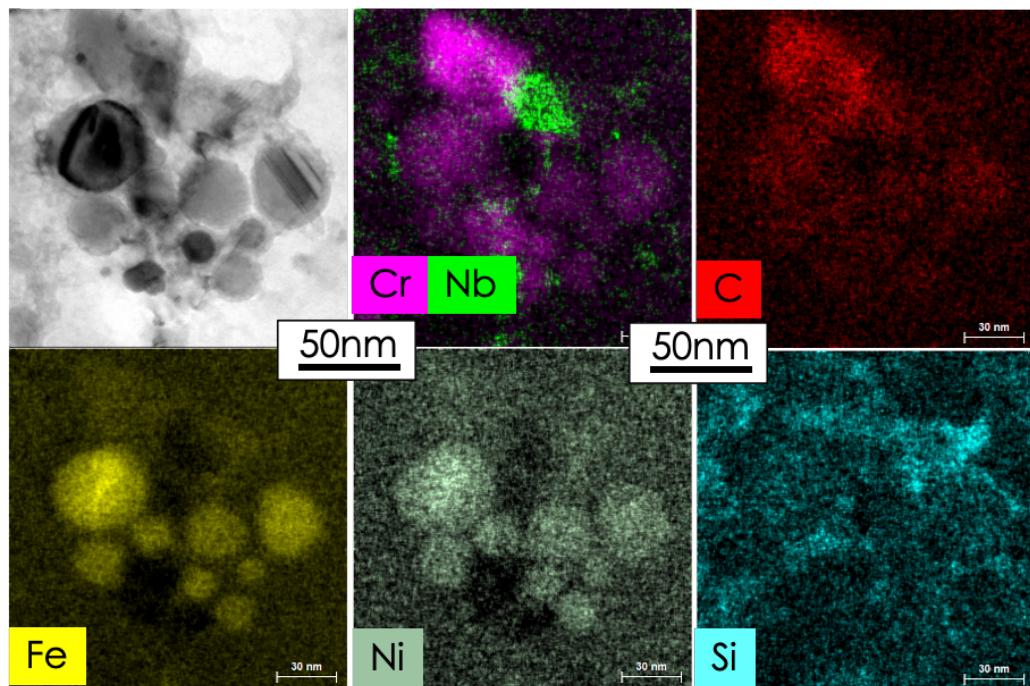


Figure 11: BF-STEM image with corresponding EDS elemental maps showing the LPBF HK30Nb microstructure in the cell wall after annealing for 5h at 800°C

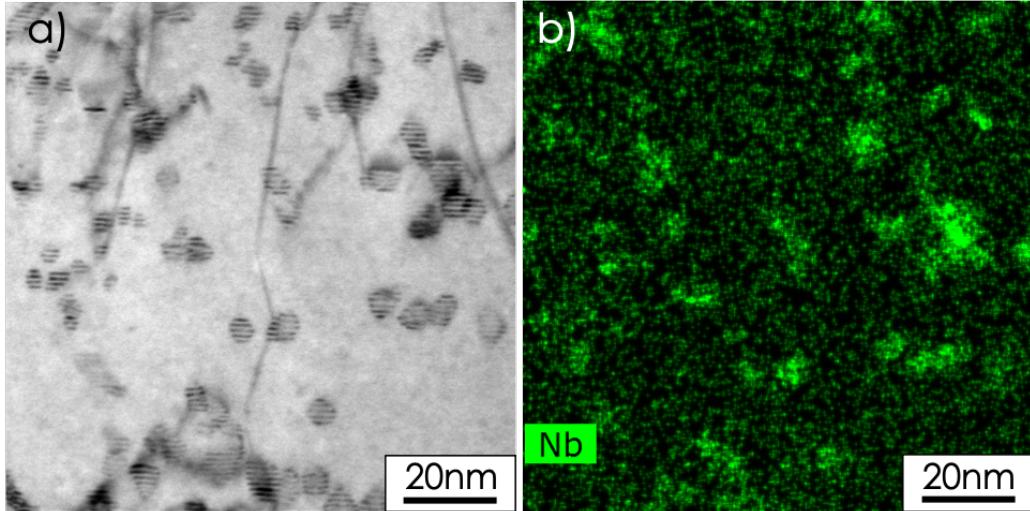


Figure 12: Nb-rich nano precipitates in the cell interior of the LPBF HK30Nb steel after annealing for 5h at 800°C, a) BF-STEM image, b) EDS Nb map

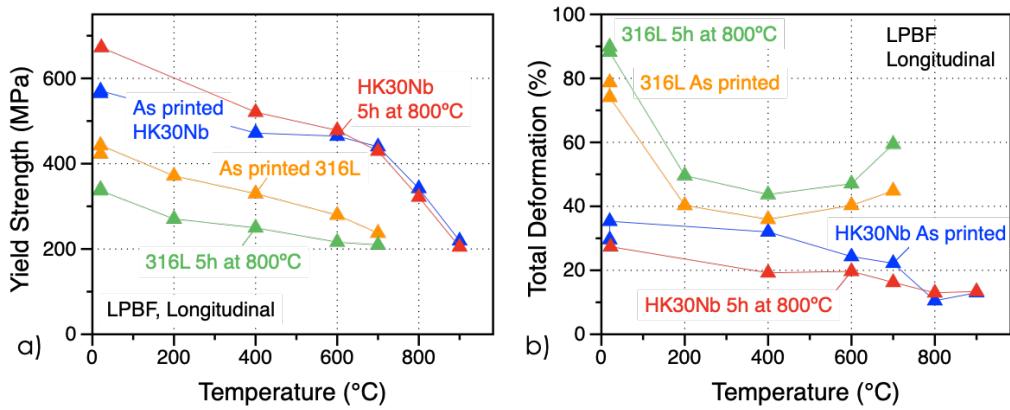


Figure 13: Comparison of the tensile properties between LPBF HK30Nb and 316L steels before and after annealing for 5h at 800°C, a) Yield strength and b) Total deformation at rupture

259 **4. Discussion**

260 *4.1. Precipitate formation*

261 The entire microstructure consists of inter cellular regions, cell wall re-  
262 gions, and grain boundaries. For a given control volume, the smaller cell

263 size in comparison with grain size results in a higher fraction of cell bound-  
264 aries compared to grain boundaries and thus a higher fraction of nucleation  
265 sites for precipitates. The cell walls and grain boundaries can be assumed  
266 to be the last to solidify and the inter-cellular regions the first to solidify.  
267 The compositional gradients generated during solidification were, therefore,  
268 calculated using Scheil solidification simulation considering back-diffusion of  
269 solutes for the cooling rates indicative of laser powder bed fusion ( $10^4$  K/s)  
270 using the 2020a version of ThermoCalc. Table 3 shows drastic increase in C  
271 and Nb and moderate increase in Cr, Mo and Si concentrations in the cell  
272 walls in comparison with the inter cellular regions. Precipitation simulations  
273 were conducted using thermal profiles representative of the LPBF process and  
274 tensile testing conditions (Figure 14). Rapid cooling from the solidification  
275 regime was first considered ( $10^4$  K/s) followed by slow heating (10°C/min)  
276 up to 900°C, the maximum tensile testing temperature. Similar simulations  
277 were also conducted with the addition of a 5h anneal at 800°C step after  
278 rapid cooling. For all the precipitation simulations, a representative disloca-  
279 tion density of  $10^{15} m^{-2}$  was chosen for the cell walls [9, 43] and the following  
280 interface energies of precipitation were used:  $0.25 J.m^{-2}$  for MX/austenite,  
281  $0.27 J.m^{-2}$  for  $M_{23}C_6$ /austenite and  $0.18 J.m^{-2}$  for G-phase/austenite [43, 44].  
282 A great similarity in terms of precipitates formation was predicted between  
283 the cell walls and grain boundaries, and comments below for the cell walls are  
284 applicable to grain boundaries. As can be seen in Figure 14, only MX were  
285 predicted in the cell walls in the as printed condition, and annealing at tem-  
286 perature above 600°C was required for the G-phase and  $M_{23}C_6$  carbides to  
287 form. The predicted fractions of G-phase and  $M_{23}C_6$  increased then rapidly to  
288 maximums after reaching 650°C. The fraction of  $M_{23}C_6$  then plateaued while  
289 a slight decrease of the G-phase fraction was observed at higher temperature.  
290 For the inter cellular region, no precipitates were predicted after printing and  
291 a small amount of  $M_{23}C_6$  was predicted to form at  $T > 600^\circ C$ . Annealing for  
292 5h at 800°C before heating the specimens to the tensile testing temperature  
293 led to predicted precipitate fractions in the two regions similar to the maxi-  
294 mum fractions previously predicted after ramping up to  $T > 650^\circ C$ . All these  
295 simulations are in good agreement with the observed precipitates in the as  
296 printed and 5h 800°C anneal conditions. The MX precipitates are consistent  
297 with the Nb-rich precipitates within the cell walls and grain boundaries in  
298 the as printed and annealed conditions (Figure 5 and Figure 10 to 12), and  
299 the predicted  $M_{23}C_6$  and G-phase precipitates after 5h at 800°C agree with  
300 the Cr-rich carbides and the Ni or Si-rich precipitates observed, respectively

301 (Figures 10 and 11). A precise determination of the nature of all the precip-  
302 itates present in the material before and after annealing is beyond the scope  
303 of this paper and will be the topic of a subsequent article. Although relevant  
304 to LPBF conditions, rapid cooling does not capture the full thermal profile  
305 during printing. Repetitive laser exposure will result in thermal cycling, and  
306 Sabzi et al. [45] predicted four cycles with peak temperatures of 2675 K,  
307 2119 K, 1563 K and 1006 K. The simulations shown in Figure 14c revealed  
308 that the final volume fraction of MX precipitates was similar whether ther-  
309 mal cycling was considered or rapid cooling ( $10^4$  K/s) from the solidification  
310 regime was simply assumed. Phase predictions based on rapid cooling are,  
311 therefore, relevant. In addition, previous simulations did not integrate the  
312 30min hold time before tensile testing. As can be seen in Figure 14d for the  
313 fraction of  $M_{23}C_6$  carbides, calculations were also performed considering the  
314 30min hold time before testing at 600 °C and 900 °C to highlight the limited  
315 impact of the 30min hold time on microstructure evolution. Finally, to assess  
316 the stability of these precipitates, equilibrium phase fractions were compared  
317 in Figure 15 for the powder, cell wall, and inter cellular region using Table  
318 1 and 3 chemical compositions. These results were also initially used to help  
319 determine the relevant phases to be considered in the previous calculations.  
320 As can be seen in Figure 15,  $M_{23}C_6$  carbides and G-phase are expected to be  
321 the most stable precipitates in the cell walls, with fractions similar to what  
322 was calculated previously using PRISMA after 5h at 800°C. Small fraction  
323 of MX precipitates above 800°C and sigma phase between 700°C and 800°C  
324 were also predicted. As expected, very low fractions of precipitates were pre-  
325 dicted in the inter cellular region, with a noticeable peak for sigma phase at  
326 700°C. Intermediate fraction of  $M_{23}C_6$  and G-phase are expected when con-  
327 sidering the powder chemistry, but the fractions fell drastically above 700°C.  
328 A significant fraction of sigma phase is expected at temperature above 450°C  
329 with a progressive decrease as temperature increases until the phase dis-  
330 pears above 900°C. Interdiffusion between the cell wall and the inter cellular  
331 region will of course take place at high temperature, leading to chemical con-  
332 centrations closer to the powder chemistry over time, but retardation of the  
333 sigma phase formation will likely be beneficial as will be discussed in the  
334 next section.

Region	C	Nb	Cr	Mo	Si	Mn	Ni
Cell wall	0.45	3.4	33.5	1.33	1.98	0.45	28.5
Inter-cellular	0.06	0.35	30.9	0.78	1.53	0.41	29.5

Table 3: Estimate of the chemical composition in wt% in the cell wall and inter-cellular regions using Scheil solidification simulation

335 *4.2. Effect of LPBF microstructure on tensile strength*

336 *4.2.1. Impact of the dislocations within cell walls*

337 Many papers on 316L steel fabricated by LPBF highlighted the strength-  
 338 ening effect of the cellular structure, with two key mechanisms being pro-  
 339 posed. [4, 8, 9, 13]. Several authors simply estimated that the cellular struc-  
 340 ture resulted in a strengthening effect similar to the Hall-Petch effect for  
 341 grains [4, 5]:

$$\sigma_Y = \sigma_0 + kd^{-0.5} \quad (1)$$

342 where d is the average grain size

343 On the other side, Yin et al. considered that the high dislocation density  
 344 within the cell walls was key, and X-ray diffraction measurements in LPBF  
 345 316L steel were used to determine the dislocation contribution to the alloy  
 346 yield strength according to equation [9]:

$$\sigma_Y = M\alpha\mu b\sqrt{\rho} \quad (2)$$

347 where M is the Taylor factor,  $\alpha$  an empirical constant,  $\mu$  the shear mod-  
 348 ulus, b the Burgers vector and  $\rho$  the dislocation density. It is worth noting  
 349 that if we consider that all the dislocations are located in the cell walls and  
 350 the dislocation density in the cell walls is independent of the cell size, then  
 351 the average dislocation density in the steel will be proportional to the ratio  
 352 between the surface and the volume of the cell. For a cell shape based on  
 353 an hexagonal prism with the height h proportional to the base edge a, the  
 354 cell volume is proportional to  $a^3$  and the cell surface to  $a^2$  so this ratio is  
 355 inversely proportional to a. Equation 1 can therefore be approximated by:

$$\sigma_Y = M\alpha\mu b C a^{-0.5} \quad (3)$$

356 Again, a linear relationship between the yield strength and  $a^{-0.5}$  is pre-  
 357 dicted, which could explain why a Hall Petch relationship can provide an

	Cell size in $\mu\text{m}$		
	As Printed	5h at 800°C	Longitudinal
Equiaxed	$0.52 \pm 0.1$	$0.56 \pm 0.07$	$0.45 \pm 0.05$
Elongated	$0.53 \pm 0.08$	$0.52 \pm 0.1$	$0.54 \pm 0.12$

Table 2: Average cell size in  $\mu\text{m}$  along and perpendicular to the build direction in the as printed and 5h at 800°C anneal conditions. Only the width of the elongated cells was estimated.

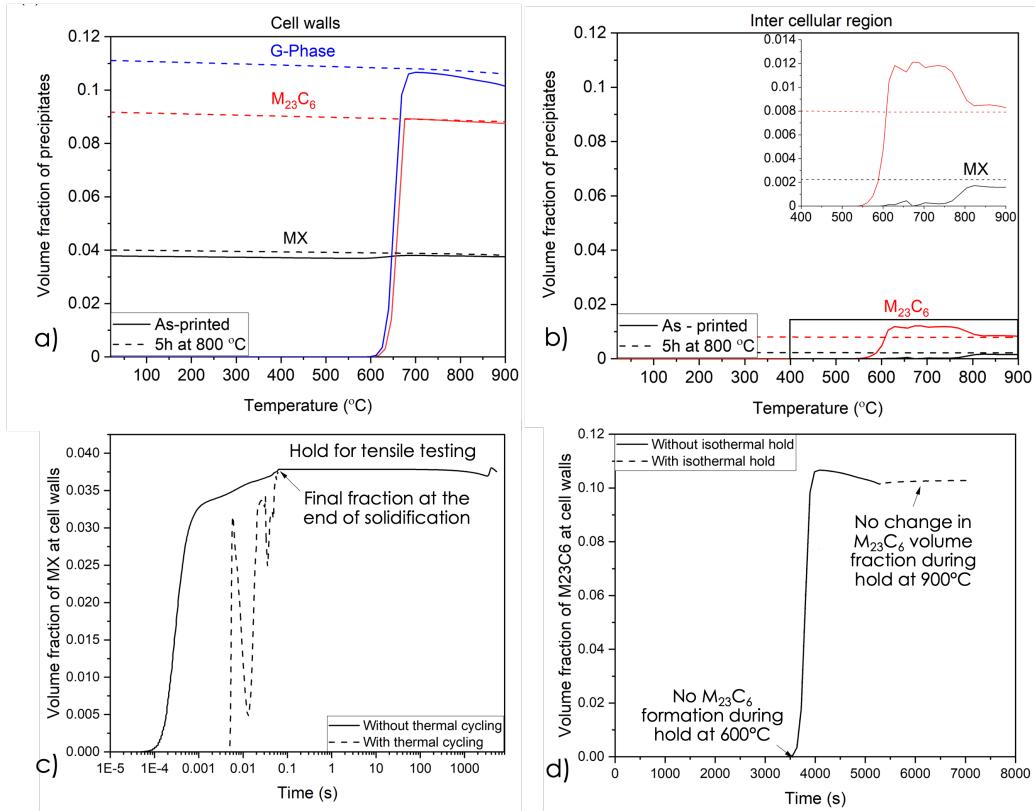


Figure 14: Precipitate evolution at a) cell walls, b) inter-cellular regions computed using PRISMA. It should be noted that G-Phase does not form in the inter-cellular regions and hence not represented in the figure. The solid line represents precipitate evolution post fabrication upon heating to tensile testing. The dotted lines represent precipitate evolution after isothermal aging at 800°C for 5 hours and heating the aged sample to tensile testing temperature. c) Volume fraction of MX precipitates considering either the thermal profile proposed by Sabzi et al. [45] or rapid cooling, d) Calculation showing the limited impact of a 30min hold time at 600 °C or 900 °C on the volume fraction of  $M_{23}C_6$

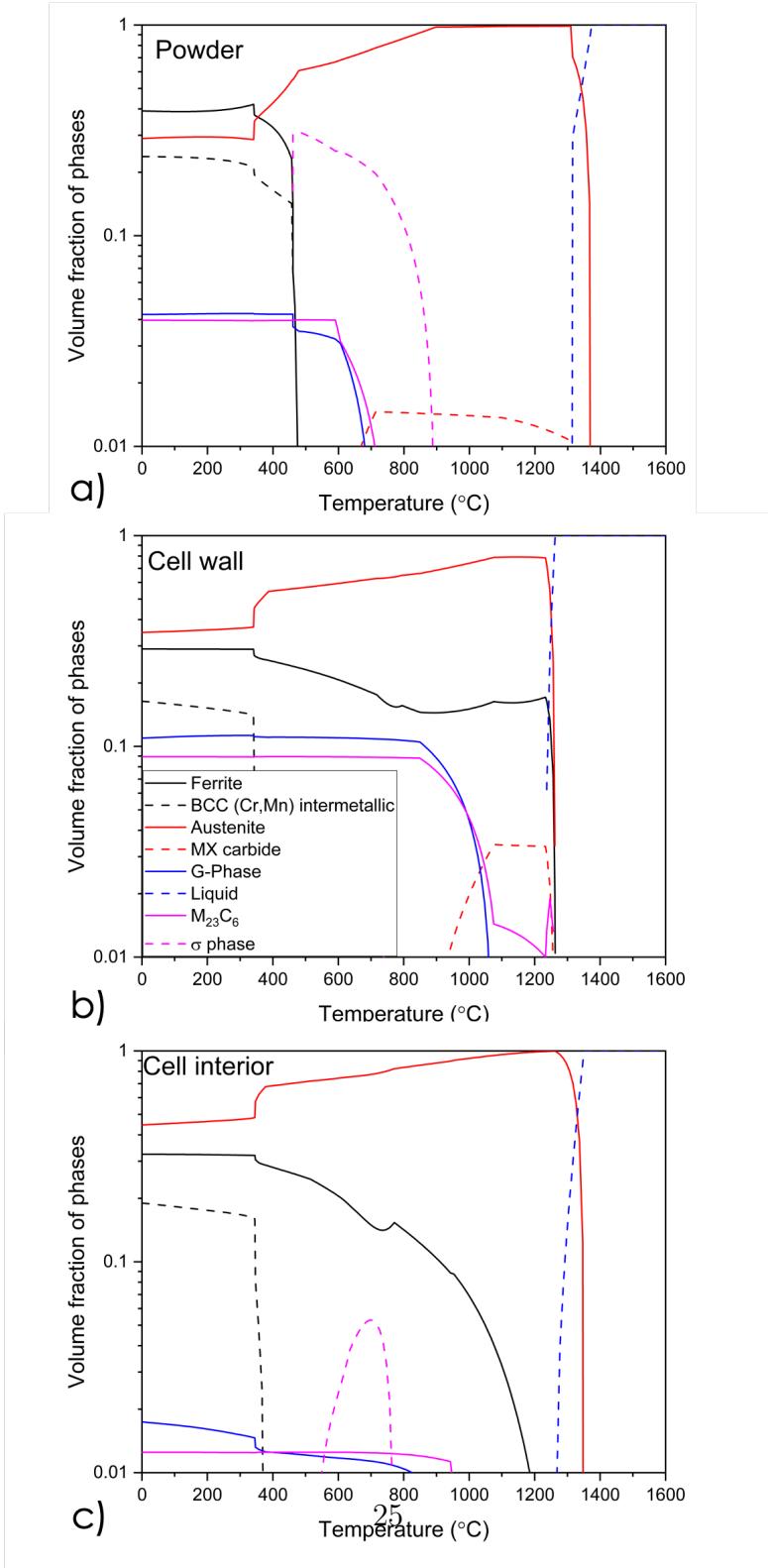


Figure 15: Equilibrium phase fraction evolution a) for the nominal powder chemistry, b) for the inter-cellular region, and c) for the intra-cellular region based on the composition summarized in Table 1 and 3. Legend is the same in a), b) and c)

358 adequate estimate of the LPBF 316L alloy yield strength. Wang et al. esti-  
359 mated  $\sigma_0$  and  $k$  in equation (2) to be equal to 183 MPa and 254 MPa  $\mu\text{m}^{-0.5}$ ,  
360 respectively, for wrought 316L based on literature data and they measured a  
361 quite similar relationship for the LPBF 316L when considering the cell size  
362 instead of the grain size. The Hall-Petch parameters have not been deter-  
363 mined for wrought or cast 310-type steels and they should differ from the  
364 316L parameters, in particular  $\sigma_0$ , since the alloy chemistry and therefore  
365 the solid solution strengthening [46], are different. As a first approximation,  
366 we used the 316L Hall Petch relationship with our cell size value of 0.53  $\mu\text{m}$ ,  
367 and calculated a yield strength of 532 MPa for the LPBF HK30Nb steel,  
368 compared to a measured value of 568 MPa. This result indicates that, as  
369 for LPBF 316L, the cellular structure is likely playing a key role in the high  
370 yield strength values observed for the LPBF HK30Nb steel. Significantly  
371 higher yield strength values were measured for the LPBF HK30Nb in com-  
372 parison with wrought or cast 310-type steels (Figure 7) but Figure 8 shows  
373 that these yield strength values are consistent with a cold rolled 310 steel  
374 with high dislocation density. The specific chevron-like grain structure of  
375 the LPBF HK30Nb alloy with numerous small grains led to an average grain  
376 size of 10-12  $\mu\text{m}$ , significantly lower value than the average grain sizes for  
377 the 310-type cast and wrought alloys, estimated to be between 25 and 100  
378  $\mu\text{m}$ . This value of 10-12  $\mu\text{m}$  is still 20 times larger than the 0.5  $\mu\text{m}$  average  
379 cell size, resulting in minor effect of the grain size on the tensile strength in  
380 comparison with the cellular structure impact.

381 *4.2.2. Impact of precipitates in the cell walls*

382 Strengthening of LPBF 316L steels due to the presence of nano precip-  
383 itates, mainly  $\text{SiO}_2$ , has been proposed by a few authors [11] but others  
384 concluded that this effect was likely minimal [4, 39]. In the as printed con-  
385 ditions, Figure 8 shows that the yield strength at room temperature of the  
386 LPBF HK30Nb steel is similar to the yield strength of LPBF 316L steels  
387 with similar cellular structure size (0.5  $\mu\text{m}$ ). Assuming that the solid so-  
388 lution strengthening is not significantly different between LPBF 316L and  
389 HK30Nb alloys, then we can conclude that the nano Nb-rich carbides do not  
390 impact drastically the LPBF HK30Nb steel strength. In addition, Ekstrom  
391 et al.[33] reported that NbC precipitates in an HK30Nb alloy only slightly  
392 increased the yield strength of the alloy in comparison with a similar Nb-  
393 free HK30 alloy. Interestingly, the higher yield strength values observed at  
394 20-600°C after annealing for 5h at 800°C can be attributed to the nucleation

395 and growth during annealing of new precipitates such as  $M_{23}C_6$  and G phase  
396 precipitates. This is particularly remarkable when considering that a small  
397 decrease of the yield strength would be expected due to reduction of the  
398 dislocation density after annealing. At testing temperature  $> 600^\circ\text{C}$ , these  
399 precipitates will form during the 30min soak time and similar yield strength  
400 values were observed before and after annealing at  $T > 600^\circ\text{C}$ . In conclu-  
401 sion, the precipitates do increase the LPBF HK30Nb yield strength but this  
402 effect is quite moderate in comparison with the dislocation/cell structure ef-  
403 fect. In addition, precipitates in the cell walls are likely to play a key role  
404 in stabilizing the cellular structure by pinning dislocations within the walls.  
405 Figure 10 shows, indeed, that the cellular structure in the LPBF HK30Nb  
406 steel was stable after annealing for 5h at  $800^\circ\text{C}$  contrary to what has been  
407 reported by others for LPBF 316L at 800-900°C [10, 19, 13]. The cellular  
408 structure stability at  $800^\circ\text{C}$  explains the similar or higher yield strength mea-  
409 sured after annealing for 5h at  $800^\circ\text{C}$  when a significant decrease of the yield  
410 strength was observed for the LPBF 316L (Figure 13a). It is worth noting  
411 that dislocation pinning by fine carbides or carbonitrides is key to the creep  
412 performance of advanced 310-type or 347-type steels and the high density of  
413 carbides in the LPBF HK30Nb cell walls will likely have a positive impact  
414 on the steel creep performance [25, 26, 47]. Based on these initial high tem-  
415 perature tensile results, creep tests were initiated and will be reported in a  
416 subsequent publications.

#### 417 4.3. Effect of LPBF HK30Nb microstructure on ductility

418 LPBF 316L steels have attracted remarkable attention due to the combi-  
419 nation of high strength and high ductility at room temperature in compari-  
420 son with wrought 316L [3][4][5][8][9]. Figure 8 shows, indeed, that for a given  
421 yield strength, the ductility of LPBF 316L is superior to the ductility of cold  
422 rolled wrought 316L at yield strength below 650MPa. The great ductility of  
423 the LPBF 316L steel was attributed to a strong interaction between defor-  
424 mation twins and dislocations in the cell walls[4, 8, 6]. Dryepondt et al. [13]  
425 showed, however, that the ductility of LPBF 316L decreased significantly at  
426 temperature above  $200^\circ\text{C}$  due to a change in deformation mechanisms, i.e.  
427 without deformation twins at  $T > 200^\circ\text{C}$ . Reduction of the steel ductility was  
428 also attributed to dynamic strain aging (DSA), with serrated curves observed  
429 at  $600^\circ\text{C}$ . Figure 9 shows similar microstructural observations for the LPBF  
430 HK30Nb steel, with deformation twins being present in the specimen tested  
431 at room temperature but not in the specimen tested at  $600^\circ\text{C}$ . In addition,

432 a serrated tensile curve was observed at 600°C (Figure 6a) indicating that  
433 DSA is also decreasing the LPBF HK30Nb steel ductility at high tempera-  
434 ture. Figure 8 shows that the LPBF HK30Nb ductility is consistent with the  
435 ductility of LPBF 316L with similar yield strength indicating that the initial  
436 high density of Nb-rich precipitates in the cell wall and at grain boundaries  
437 do not significantly affect the steel ductility. Of course, the presence of NbC  
438 precipitates is not the only difference between the two alloys, but Figure 7  
439 also shows that the ductility of the Nb(C,N)-containing 310HCbN steel [26]  
440 is in the ductility range of the Nb-free 310 steels. Formation of  $M_{23}C_6$  and  
441 G-phase precipitates led only to a slight reduction of the steel ductility at  
442 temperature below 600°C, but long-term annealing is required to character-  
443 ize precipitate evolution and its impact on the alloy ductility.  $M_{23}C_6$ , sigma  
444 and G-phase precipitates have been reported to form at grain boundaries  
445 after creep testing at 750°C in an HK30Nb alloy [28] and all these phases are  
446 known to embrittle grain boundaries in 310-type steel [48, 49, 50]. Neverthe-  
447 less, the cell structure in LPBF open new opportunities for alloy design with  
448 the formation of nano precipitates in the cell walls to improve the alloy high  
449 temperature mechanical properties.

450 **5. Conclusion**

451 An advanced austenitic steel, HK30Nb (Fe-25Cr-20Ni-Nb-C), was suc-  
452 cessfully fabricated by laser powder bed fusion. An alloy density superior to  
453 99.7% was achieved with no cracks and a 500nm cellular structure similar to  
454 what has been reported for LPBF 316L. A key difference was the presence  
455 of nano Nb-rich carbides in the cell walls in the as printed conditions. After  
456 annealing for 5 at 800°C, various precipitates formed, mainly in the cell walls,  
457 in agreement with kinetic/thermodynamic calculations which predicted the  
458 formation of  $M_{23}C_6$  and G-phase precipitates. As expected, the high disloca-  
459 tion density in the cell walls led to a significant increase of the steel yield and  
460 ultimate tensile strength at 20-900°C in comparison with wrought and cast  
461 310-type steels. The high density of precipitates likely played only a mod-  
462 erate role in the alloy strength but helped stabilize the cellular structure up  
463 to 800 °C. Modification of the alloy chemistry to nucleate nano precipitates  
464 in the cell walls is a very promising strategy to develop unique high strength  
465 high temperature alloys.

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