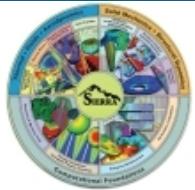




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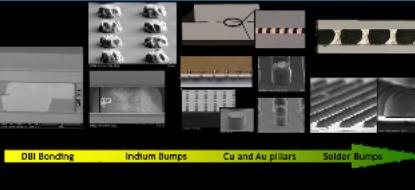
SAND2021-5689C

# Assessment of Material Bond Integrity via Inversion for Thermophysical Properties



**ROL**

RAPID OPTIMIZATION LIBRARY



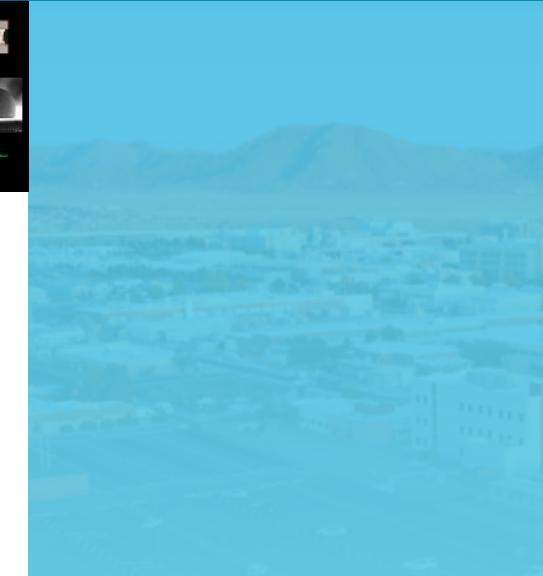
## Summer Heat Transfer Conference

June 16-18, 2021

*Presented by*

Benjamin C. Treweek, Wyatt Hodges,

Elbara Ziade, and Timothy F. Walsh



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# Outline



**Heterogeneously Integrated (HI) Electronics Systems**

**Sierra Mechanics, Rapid Optimization Library (ROL),  
and Inverse Methods**

**Verification and Example Inversion for  
Thermophysical Properties**

**Conclusions and Future Work**



# Heterogeneously Integrated (HI) Electronics Systems



# Heterogeneously Integrated (HI) Electronics Systems



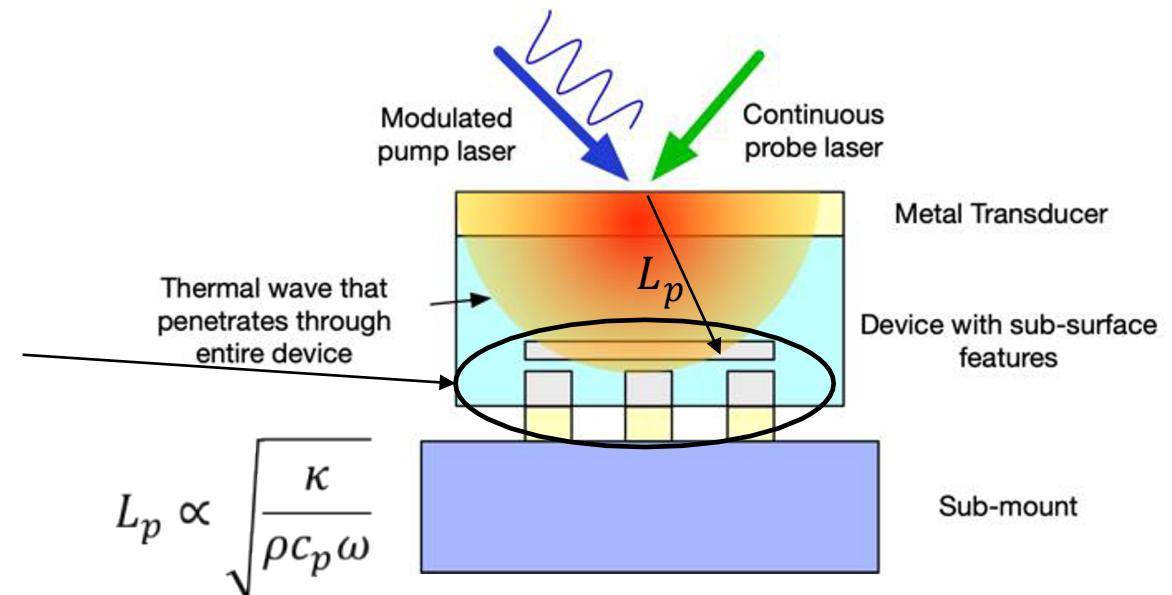
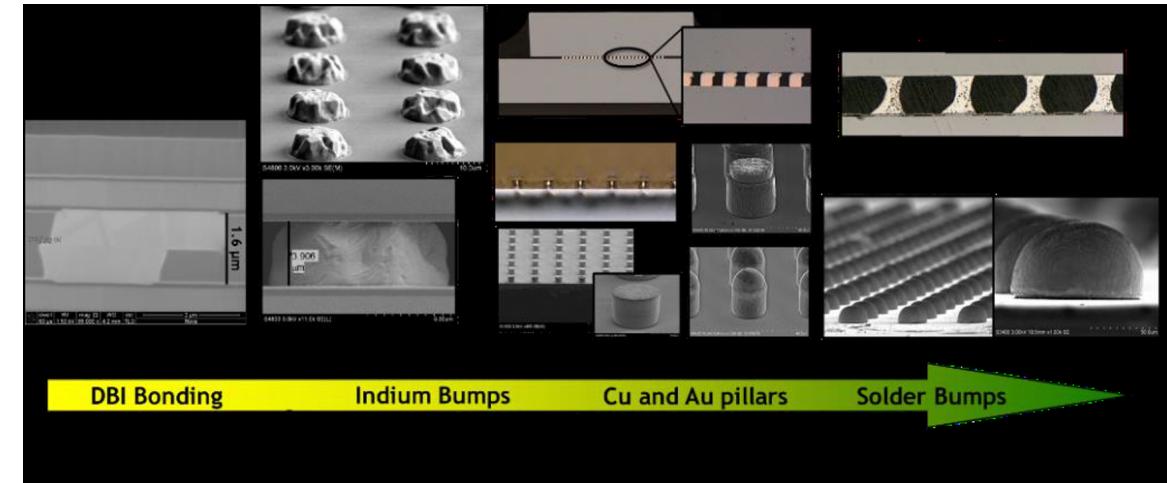
Connecting multiple chiplets from different manufacturing processes key to improving microsystem functionality

High-density interconnects needed for performance with variety of chips, components, and operating conditions

Need methods to test interconnect viability both after manufacturing and after use

## Frequency-domain thermoreflectance (FDTR)

Given **complex microelectronic structure**, use **inverse methods** to assess **integrity of microbumps**





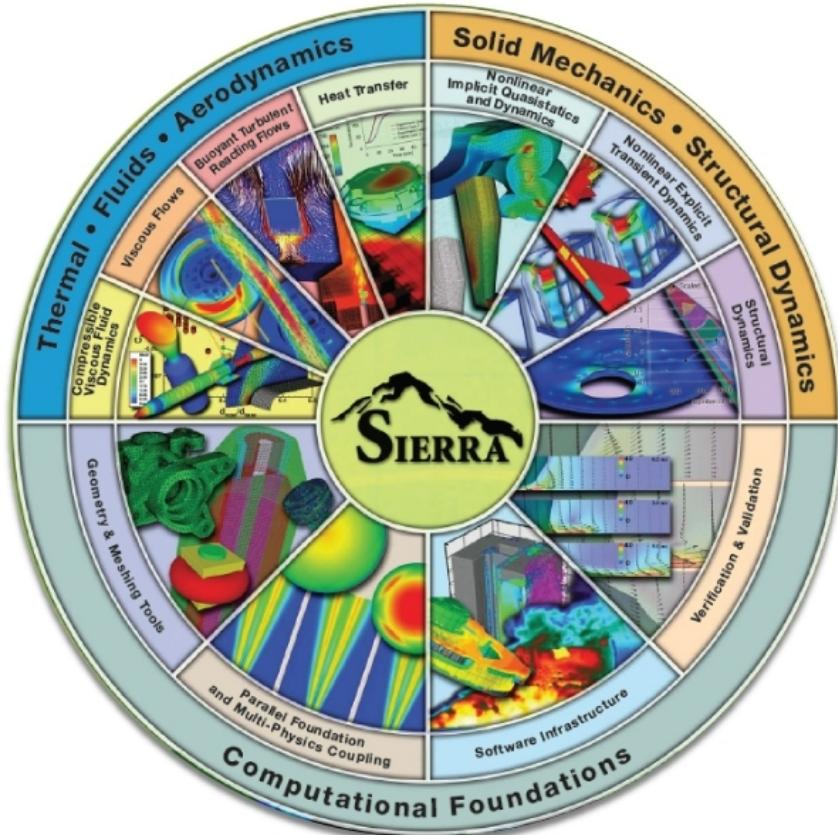
# Sierra/SD, Rapid Optimization Library (ROL), and Inverse Methods



# Inverse Methods in Sierra Mechanics



**Inverse solution types via Sierra/SD linked to Rapid Optimization Library (ROL)**



Objective function,  
Derivative operators



Next iteration  
of design variables



# PDE-Constrained Optimization



## Abstract optimization formulation

$$\underset{\mathbf{u}, \mathbf{p}}{\text{minimize}} \quad J(\mathbf{u}, \mathbf{p})$$

$$\text{subject to} \quad g(\mathbf{u}, \mathbf{p}) = \mathbf{0}$$

$$\mathcal{L}(\mathbf{u}, \mathbf{p}, \mathbf{w}) := J + \mathbf{w}^T \mathbf{g}$$

$$\begin{Bmatrix} \mathcal{L}_u \\ \mathcal{L}_p \\ \mathcal{L}_w \end{Bmatrix} = \begin{Bmatrix} J_u + \mathbf{g}_u^T \mathbf{w} \\ J_p + \mathbf{g}_p^T \mathbf{w} \\ \mathbf{g} \end{Bmatrix} = \{0\}$$

$$\begin{bmatrix} \mathcal{L}_{uu} & \mathcal{L}_{up} & \mathbf{g}_u^T \\ \mathcal{L}_{pu} & \mathcal{L}_{pp} & \mathbf{g}_p^T \\ \mathbf{g}_u & \mathbf{g}_p & \mathbf{0} \end{bmatrix} \begin{Bmatrix} \delta \mathbf{u} \\ \delta \mathbf{p} \\ \mathbf{w}^* \end{Bmatrix} = - \begin{Bmatrix} J_u \\ J_p \\ \mathbf{g} \end{Bmatrix}$$

$$\mathbf{W} \Delta \mathbf{p} = -\hat{\mathbf{J}}',$$

$$\mathbf{W} = \mathbf{g}_p^T \mathbf{g}_u^{-T} (\mathcal{L}_{uu} \mathbf{g}_u^{-1} \mathbf{g}_p - \mathcal{L}_{up}) - \mathcal{L}_{pu} \mathbf{g}_u^{-1} \mathbf{g}_p + \mathcal{L}_{pp}$$

**u** ~ response

**p** ~ design parameters

**w** ~ Lagrange multipliers

Objective function

PDE constraint

Lagrangian

First order optimality conditions

Newton iteration

Hessian calculation

# Discrete Equations for Inverse Problem



## Objective Functions

$$J(\{\mathbf{u}\}, \{\mathbf{p}\}) = \frac{\kappa}{2} (\{\mathbf{u}\} - \{\mathbf{u}_m\})^T [Q] (\{\mathbf{u}\} - \{\mathbf{u}_m\}) + \mathcal{R}(\{\mathbf{p}\})$$

## Governing Equations

$$\mathbf{g}(\mathbf{u}, \mathbf{p}) = \mathbf{C}(\mathbf{p})\dot{\mathbf{u}} + \mathbf{K}(\mathbf{p})\mathbf{u} - \mathbf{f}(\mathbf{p})$$

Time

Frequency

$$J(\{\tilde{\mathbf{u}}\}, \{\mathbf{p}\}) = \frac{\kappa}{2} \sum_{k=1}^N (\tilde{\mathbf{u}}_k - \tilde{\mathbf{u}}_{mk})^H [Q] (\tilde{\mathbf{u}}_k - \tilde{\mathbf{u}}_{mk}) + \mathcal{R}(\{\mathbf{p}\})$$

$$\mathbf{g}(\mathbf{u}, \mathbf{p}) = [\mathbf{K}(\mathbf{p}) + i\omega \mathbf{C}(\mathbf{p})] \mathbf{u} - \mathbf{f}(\mathbf{p})$$

Choose frequency domain to attempt inversion with FDTR data

# Optimization Procedure



## PDE and Objective

$$\mathbf{g}(\mathbf{u}, \mathbf{p}) = [\mathbf{K}(\mathbf{p}) + i\omega\mathbf{C}(\mathbf{p})] \mathbf{u} - \mathbf{f}(\mathbf{p})$$

$$J(\mathbf{u}) = \frac{1}{2} |\mathbf{u} - \mathbf{u}_m|^2$$

1. Solve PDE for  $\mathbf{u}$  (forward solution)
2. Use forward solution with  $J$  and  $J_{\mathbf{u}}$  (known) to find  $\mathbf{w}$  (adjoint solution)
3. Use adjoint solution along with  $J_{\mathbf{p}}$  and  $\mathbf{g}_{\mathbf{p}}$  (both known) to find current gradient
4. Pass current objective, gradient to ROL

## Optimality conditions

$$\mathcal{L}_{\mathbf{u}} = J_{\mathbf{u}} + \mathbf{g}_{\mathbf{u}}^T \mathbf{w} = \mathbf{0}$$

$$\mathcal{L}_{\mathbf{p}} = J_{\mathbf{p}} + \mathbf{g}_{\mathbf{p}}^T \mathbf{w} = \mathbf{0}$$

$$\mathcal{L}_{\mathbf{w}} = \mathbf{g} = \mathbf{0}$$



# Frequency-Domain Material and Force Optimization

Governing equation for time-harmonic temperature oscillations:

$$i\omega\rho c_p T - \kappa \nabla^2 T = 0$$

Discretized heat equation:

$$\mathbf{g}(\mathbf{u}, \mathbf{p}) = [\mathbf{K}(\mathbf{p}) + i\omega \mathbf{C}(\mathbf{p})] \hat{T} - \mathbf{f} = \mathbf{0}$$

Thermal conductivity, heat capacity, heat flux:

$$\mathbf{K}(\mathbf{p}) = \int_{\Omega} \kappa \nabla \mathbf{N} \cdot \nabla \mathbf{N}^T dV$$

$$\mathbf{C}(\mathbf{p}) = \int_{\Omega} \rho c_p \mathbf{N} \mathbf{N}^T dV$$

$$\mathbf{f} = - \int_{\partial\Omega} \mathbf{q} \cdot \mathbf{n} \mathbf{N}^T dS$$

Discretized temperature field:

$$\hat{T}(\mathbf{x}) = \sum_{k=1}^n \hat{T}_i N_i(\mathbf{x})$$

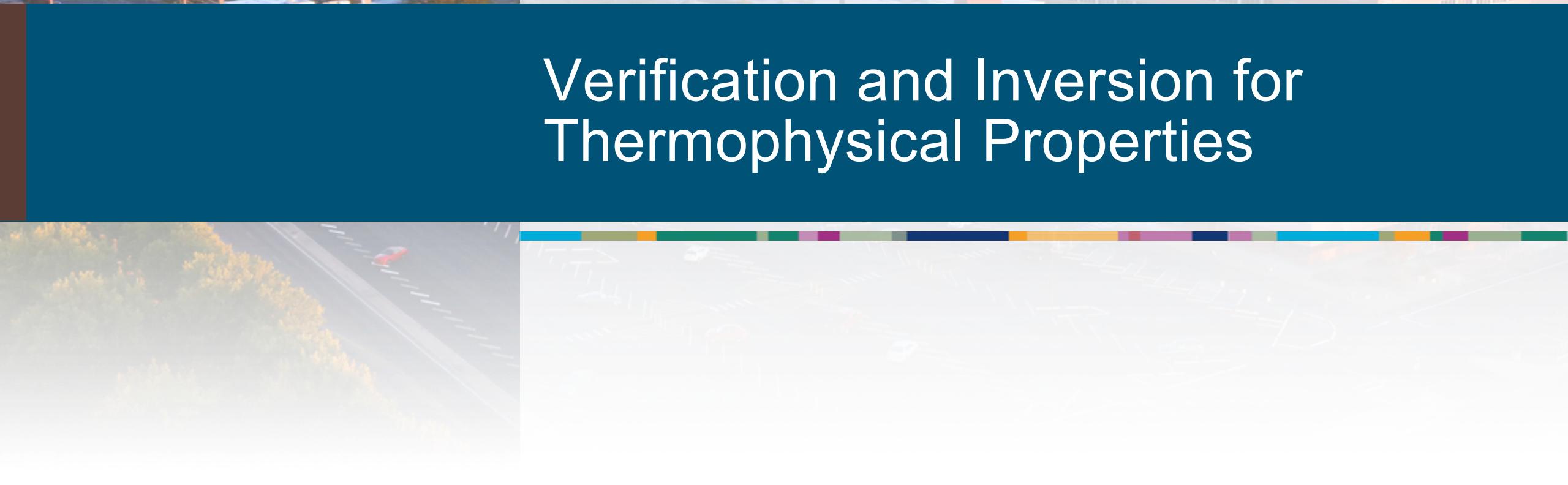
Derivatives with respect to  $\kappa, c_p$ :

$$\mathbf{K}_\kappa = \frac{1}{\kappa} \mathbf{K}$$

$$\mathbf{C}_{\rho c_p} = \frac{1}{\rho c_p} \mathbf{C}$$



# Verification and Inversion for Thermophysical Properties



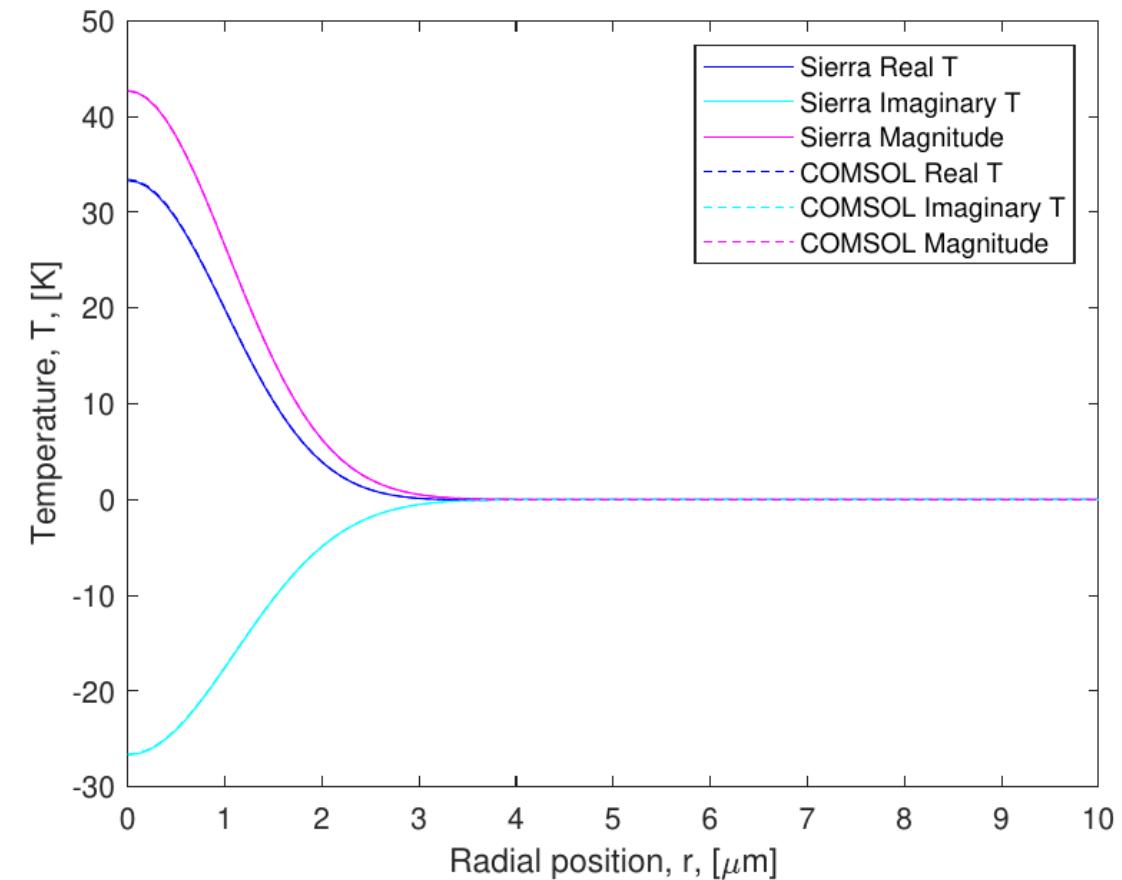
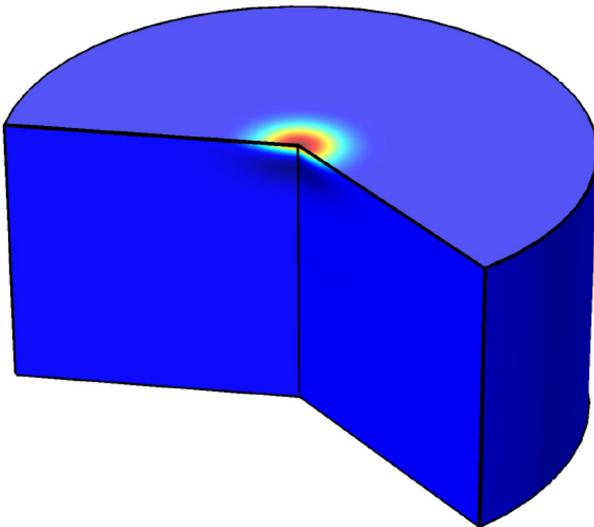
# Verification: Sierra/SD vs COMSOL

Cylinder with uniform material properties

Gaussian heat flux applied to top surface

Insulating condition applied to bottom and outer surfaces

Agreement  
precision



# Inverse Problem: Geometry



Cylindrical geometry separated into three layers:

- Top: silicon
- Middle: aluminum
- Bottom: silicon dioxide

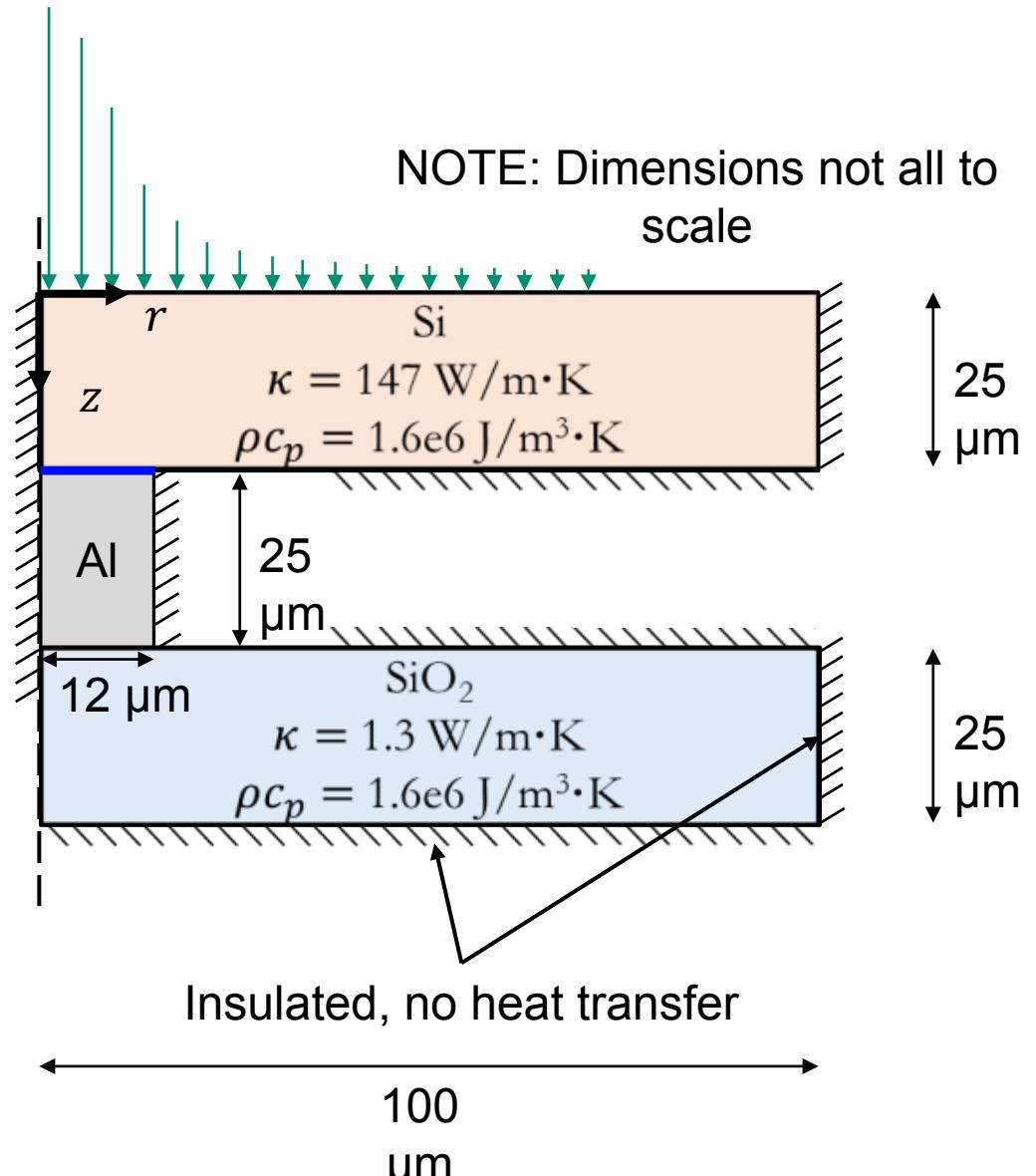
**Thin layer (100 nm)** representing possible de-bond

- Bonded: aluminum
- De-bonded: air

Gaussian heat source applied to small region on top surface (2  $\mu\text{m}$  beam radius,  $1/e^2$ )

Simultaneous runs at 500 Hz and 1 kHz

Nodal temperature data considered in small region on top surface



# Inverse Problem: Results

Flat objective function for much of parameter space

Rapid convergence to objective as material properties in thin de-bond approach material properties of air

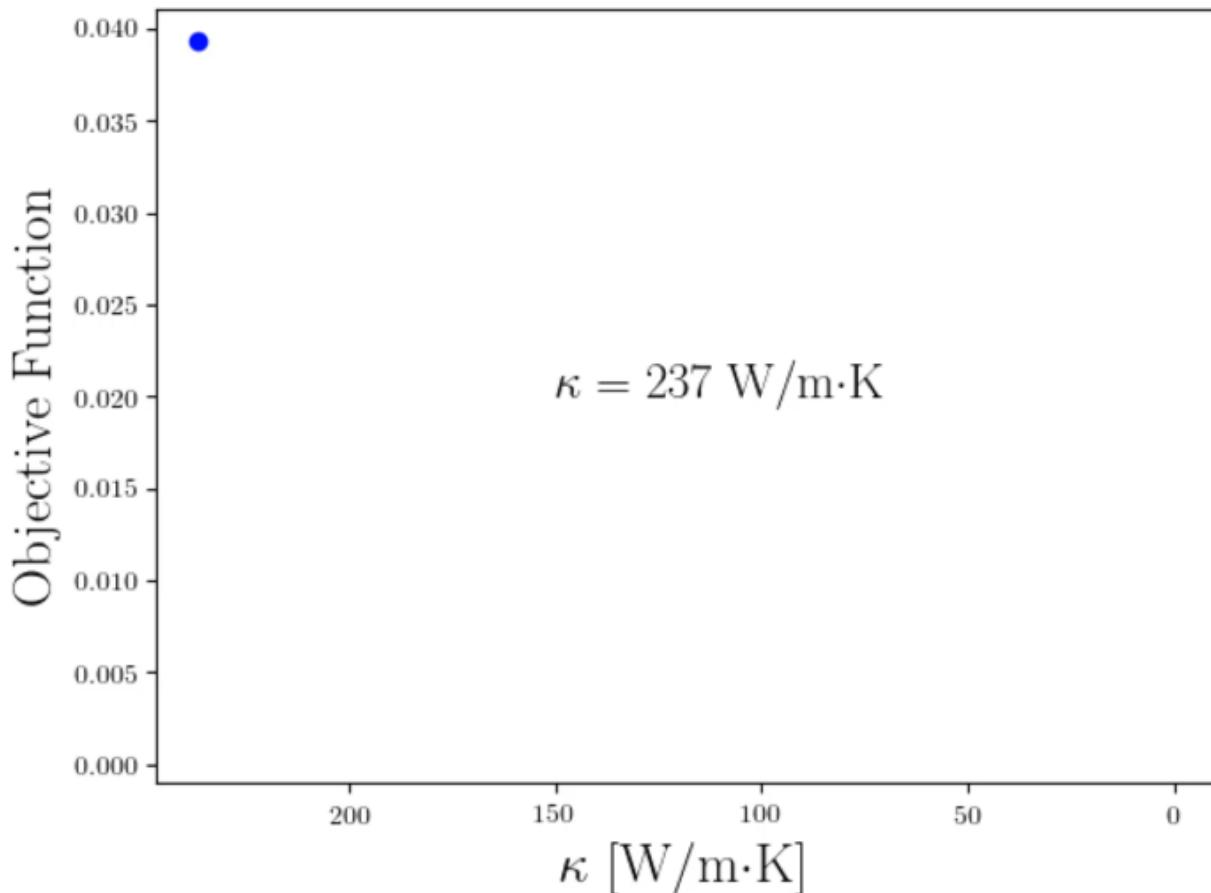
Simple material identification:  
**thousands of iterations** to converge

Damage identification: **only a couple of iterations** to converge

$$\begin{aligned}\kappa &= \kappa^{\text{lo}} + (\kappa^{\text{hi}} - \kappa^{\text{lo}})\phi^{p_1} \\ \rho c_p &= \rho c_p^{\text{lo}} + (\rho c_p^{\text{hi}} - \rho c_p^{\text{lo}})\phi^{p_2}\end{aligned}$$

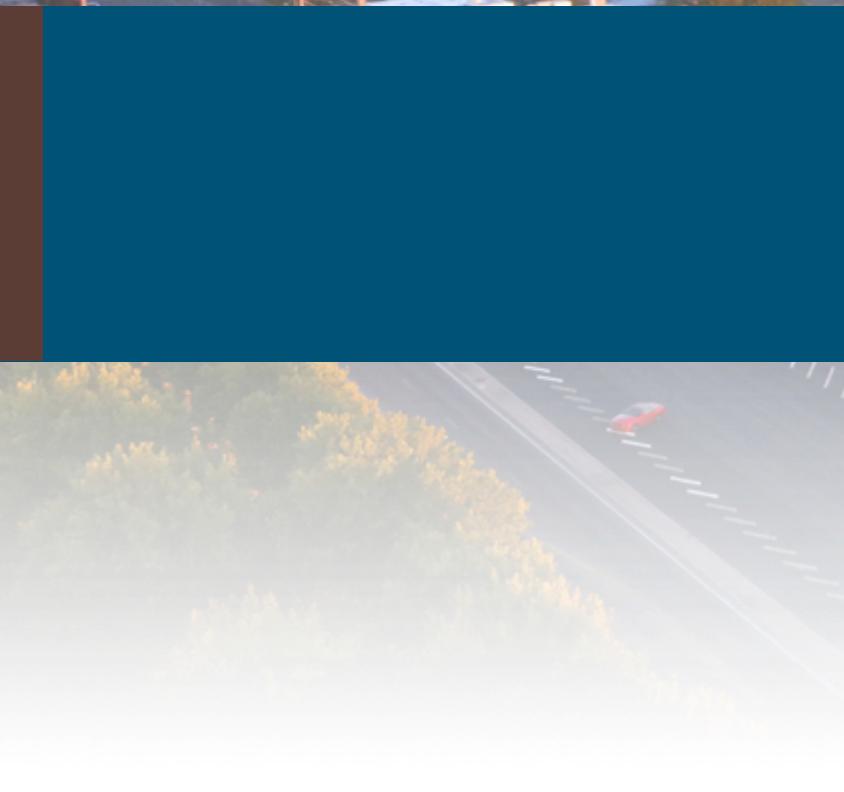
$$\phi \in [0, 1]$$

```
Quasi-Newton Method with Limited-Memory BFGS
Line Search: Cubic Interpolation satisfying Null Curvature Condition
      iter  value        gnorm        snorm      #fval      #grad  ls_#fval  ls_#grad
        0  1.239728e-04  1.957024e-06
        1  1.239728e-04  1.957021e-06  1.957024e-06    2        2        1        0
        2  1.812705e-24  0.000000e+00  9.915583e-01    3        3        1        0
Optimization Terminated with Status: Converged
```





# Conclusions and Future Work



# Conclusions and Future Work



## Conclusions

- FDTR may be used to assess material bond integrity
- Sierra/SD can be used for massively parallel thermal simulations in frequency domain
- Inverse methods may be effective in determining unknown material properties in practical geometries

## Future Work

- Investigate different frequencies for heat flux applied to top surface
- Examine different thicknesses for top layer
- Use temperature phase data (instead of more ideal nodal temperature data) from top surface
- Try more complicated geometries (e.g., less symmetry, an array of interconnects, etc.)
- Try to detect partial de-bonds (i.e., heterogeneous properties in thin layers)



# Acknowledgments

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- Greg Pickrell (PI)

# Questions?

