



This paper describes objective technical results and analysis. Any subjective views or opinions that might be expressed in the paper do not necessarily represent the views of the U.S. Department of Energy or the United States Government.



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COMPONENT SCIENCE, ENGINEERING, & PRODUCTION



# Mitigation of Failure Propagation: A Model-Based Experimental Design

PRESENTED BY

**Lorraine Torres-Castro**

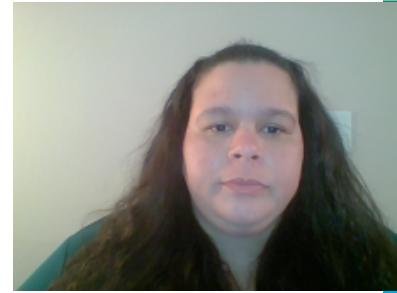
Team members: Chris Grosso, Randy Shurtz, Andrew Kurzawski, Josh Lamb and John Hewson

March 9,  
2021

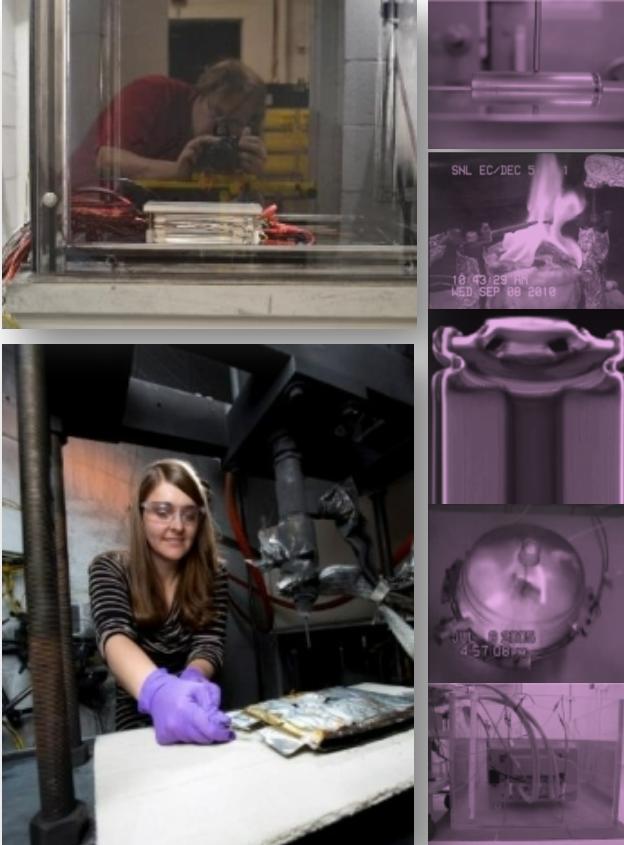


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# Capabilities and Infrastructure



## Cell and Module Testing Battery Abuse Testing Laboratory (BATLab)



## Battery Pack/System Testing Thermal Test Complex (TTC) and Burnsite



## Battery Calorimetry



# Safety Science



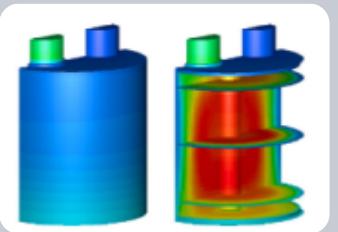
## Materials R&D

- Non-flammable electrolytes
- Electrolyte salts
- Coated active materials
- Thermally stable materials



## Testing

- Electrical, thermal, mechanical abuse testing
- Battery calorimetry
- Large scale thermal and fire testing (TTC)
- Failure propagation testing on batteries/systems
- Degradation and diagnostics during and post battery failure



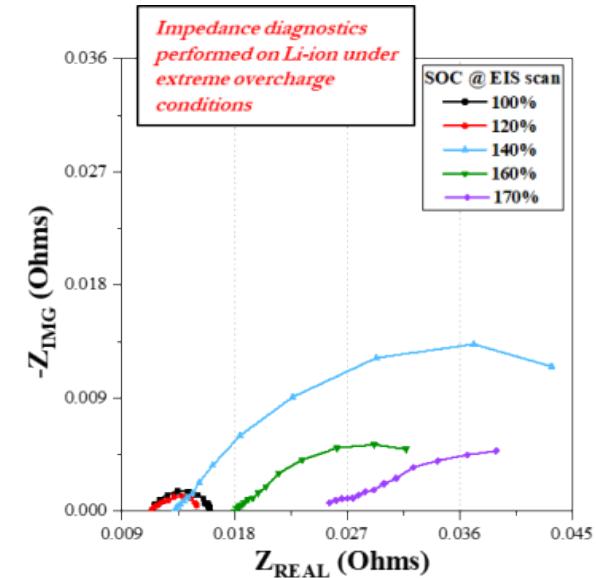
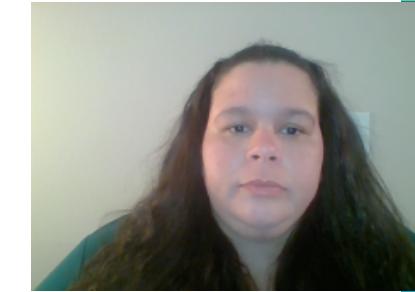
## Simulations and Modeling

- Multi-scale models for understanding thermal runaway
- Validating failure propagation models
- Fire Simulations to predict the size, scope, and consequences of battery fires



## Procedure Development and Stakeholder Interface

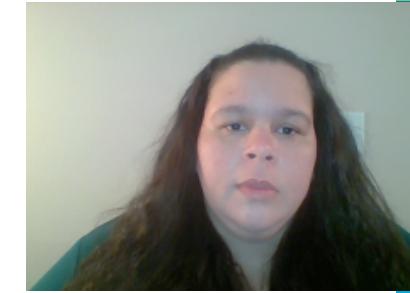
- USABC Abuse Testing Manual (SAND 2005 3123)
- OE Energy Storage Safety Roadmap
- R&D programs with NHTSA/DOT to inform best practices, policies, and requirements



Sandia is uniquely positioned to study the entire life cycle of a technology.

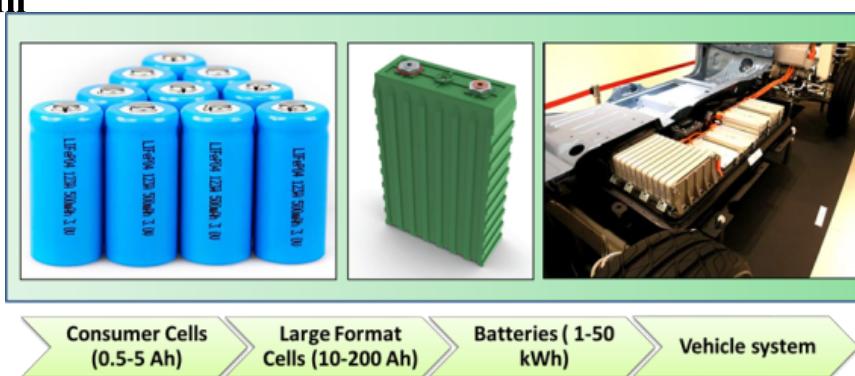
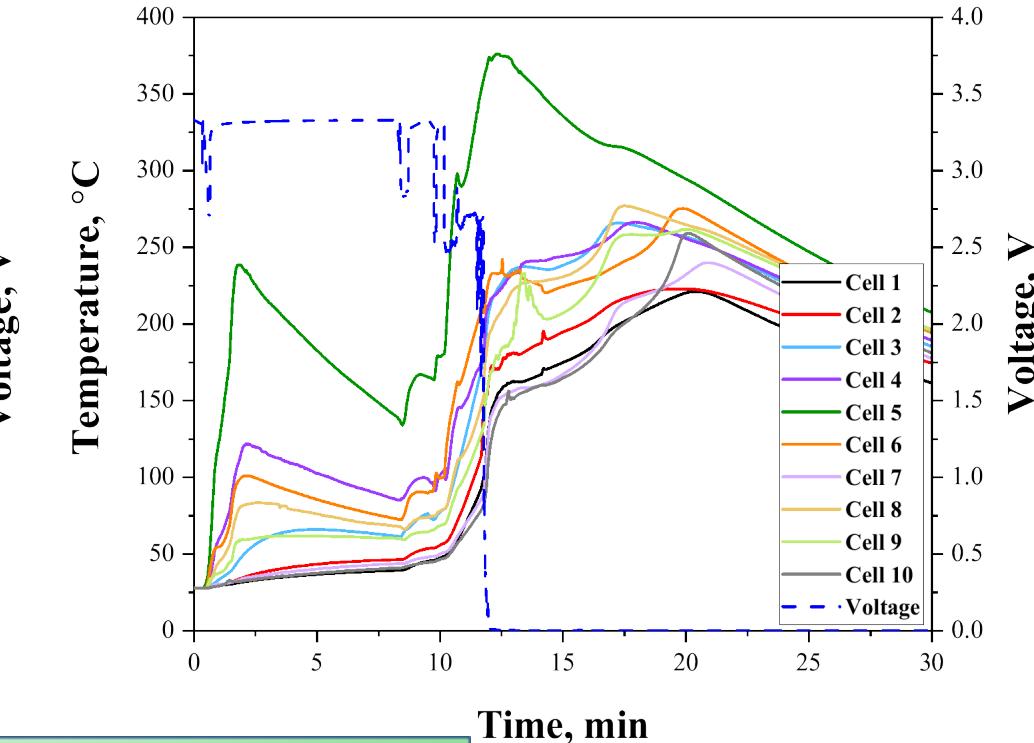
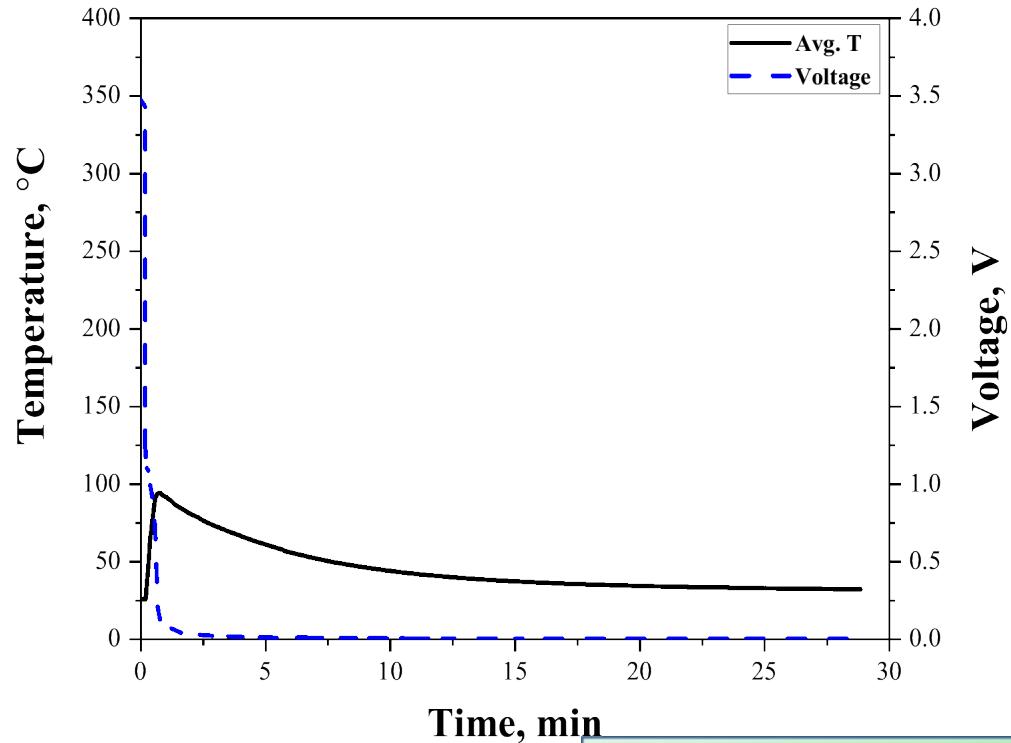
New technologies present new risks. A high rigor environment at Sandia allows those risks to be adequately managed.

# Motivation for Propagation Testing



How do these behaviors impact a larger, more complex system?

Single Cell Failure



# Strategies to Mitigate Failure Propagation



## Passive Mitigation

- Heat dissipation (e.g., heat sink, phase change)
- Inter-cell/inter-module spacing (e.g., triangular configuration of cylindrical cells)
- Reduce available energy (e.g., limited state of charge)

## Active Mitigation (external energy input required)

- Air cooling
- Liquid cooling (e.g., water, ethylene glycol)

### Objective

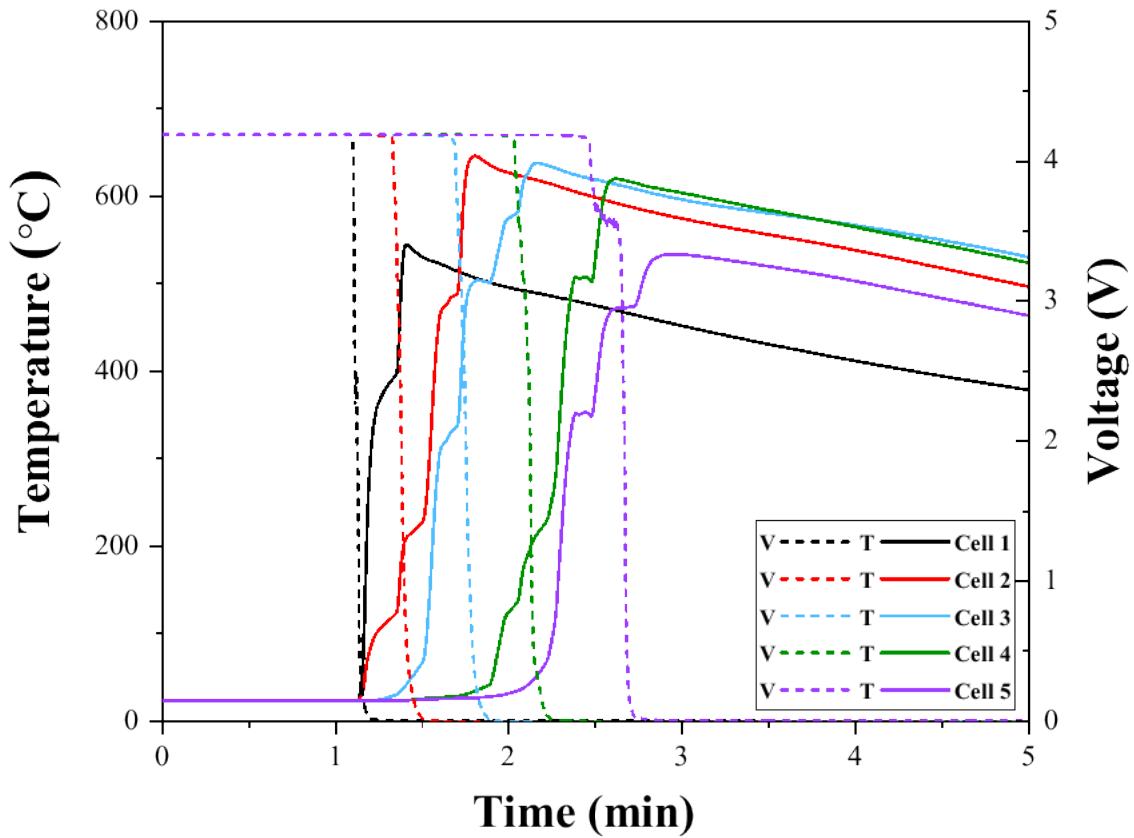
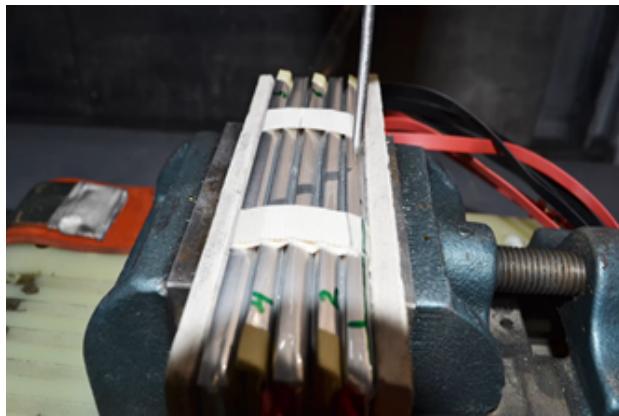
Reduce the risk of failure propagation with passive and active thermal management

# Unmitigated Failure Propagation



## Test Details

- LiCoO<sub>2</sub> 3Ah pouch cells
- 5 closely packed cells
- Failure initiated by a mechanical nail penetration in the outer cell (cell 1)
- Thermocouples (TC) between cells

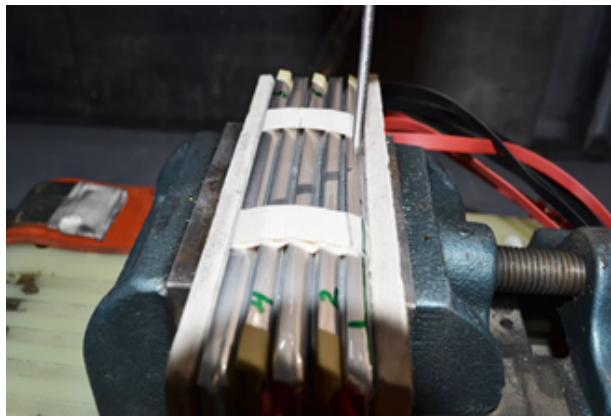


# Unmitigated Failure Propagation

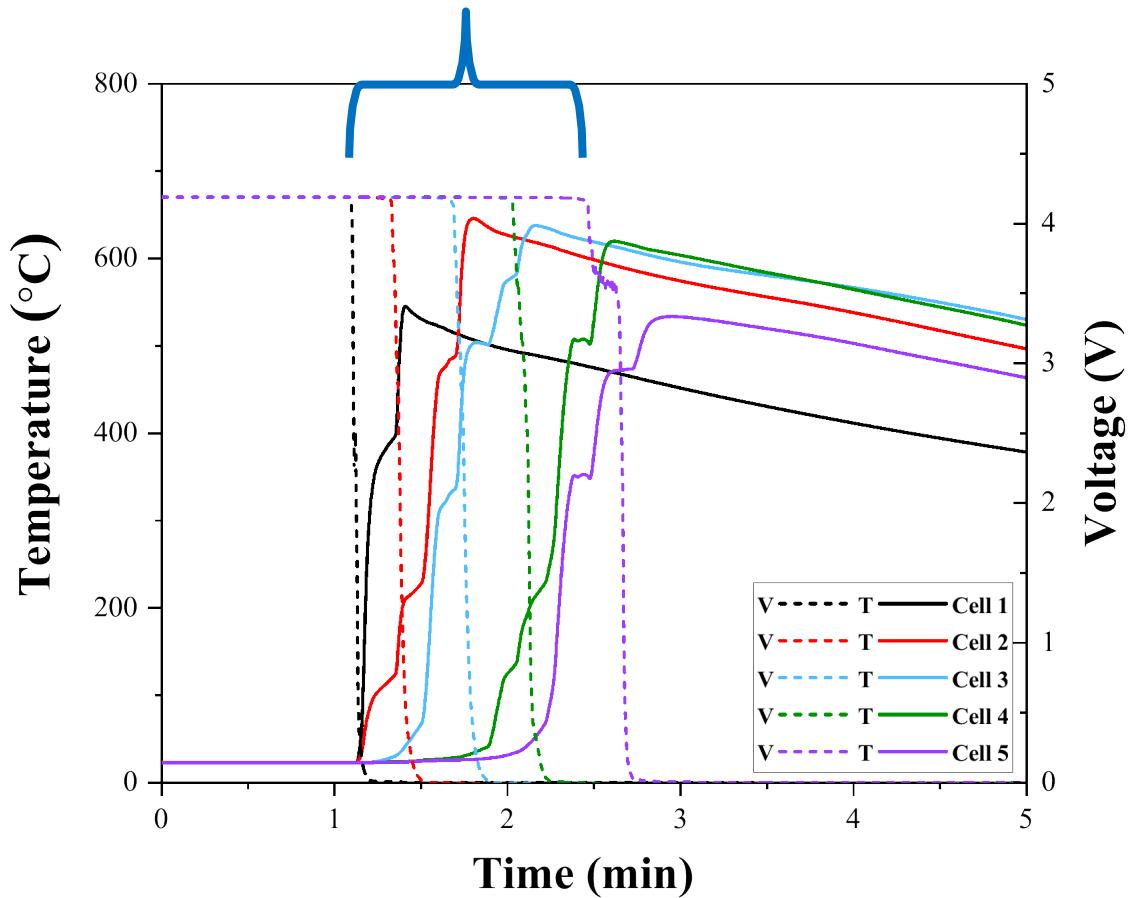


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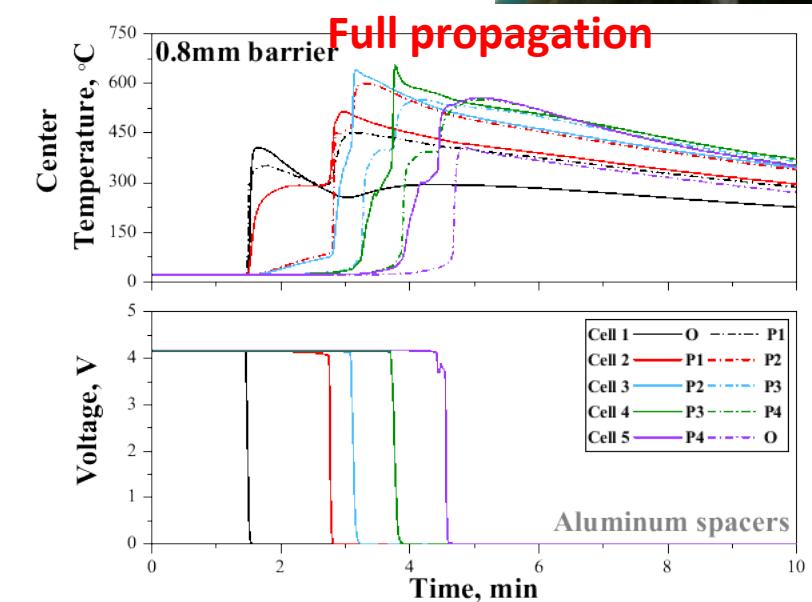
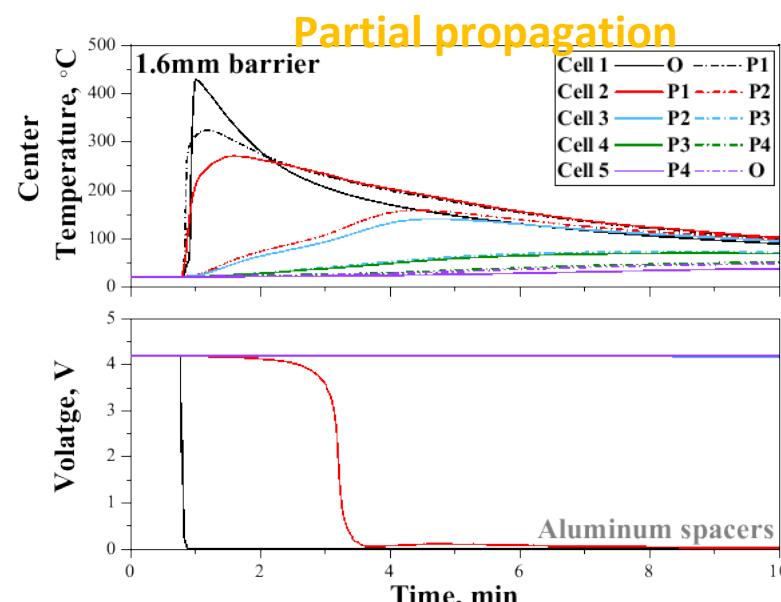
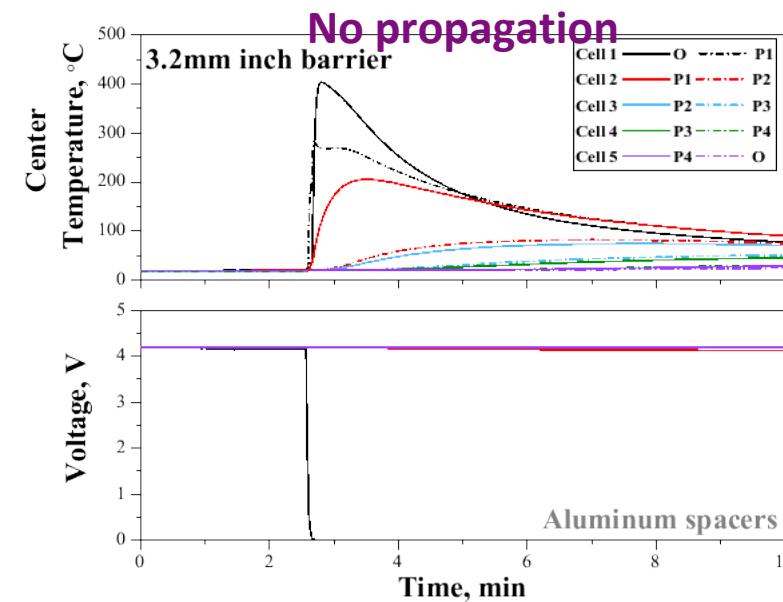
- LiCoO<sub>2</sub> 3Ah pouch cells
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Cascading failure to entire battery over 82 s



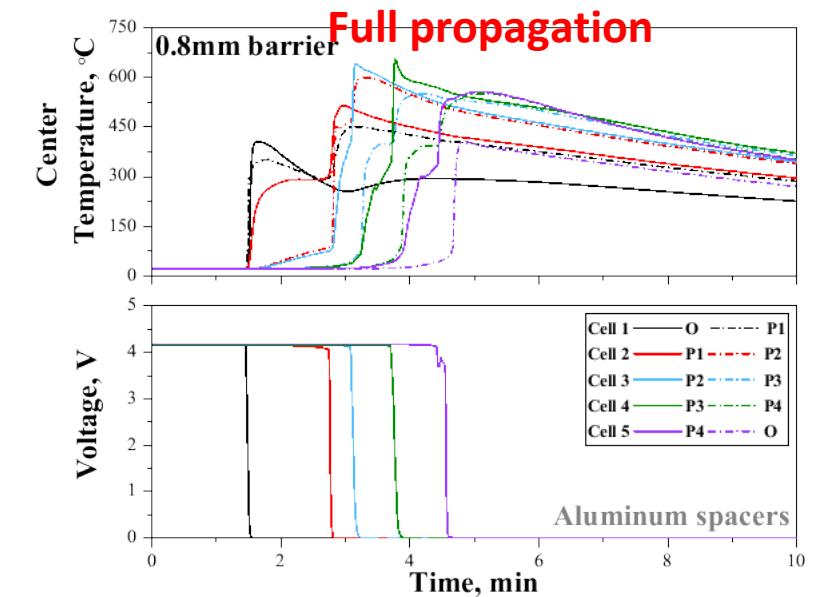
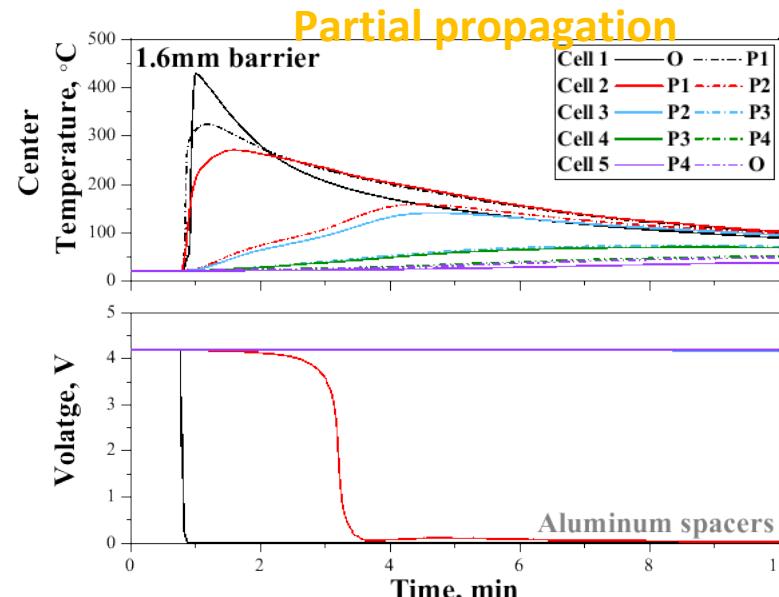
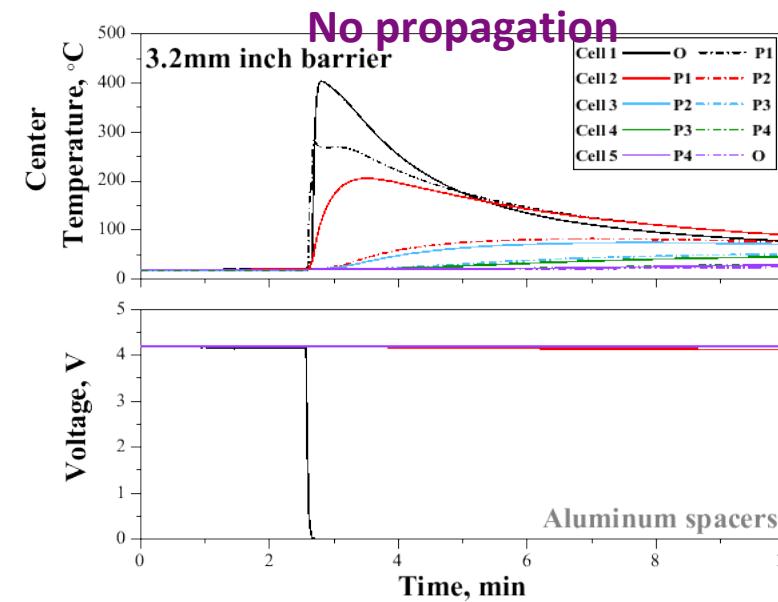
# Failure Propagation with Passive Mitigation



- The plates provided an additional thermal mass to dissipate heat release, hence reducing the risk of propagation
- Thinner plates did not prevent failure propagation, but they significantly reduced the overall heat release rate and propagation speed

# Failure Propagation with Passive Mitigation

## Risk of Propagation



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- Thinner plates did not prevent failure propagation, but they significantly reduced the overall heat release rate and propagation speed

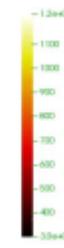
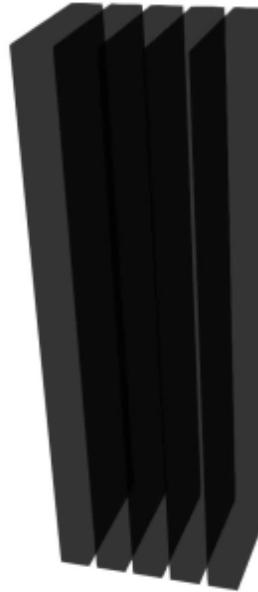
# Predicting Thermal Runaway



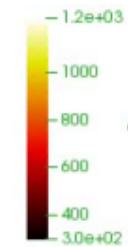
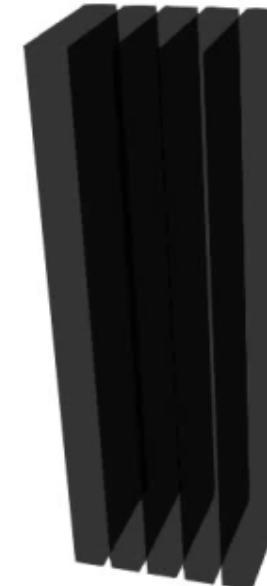
Large-scale testing is costly, and simulations allow exploration of the design space if well grounded in reality



Short circuit simulated in first cell acts as boundary condition



**Baseline cell stack:  
Thermal runaway propagates**

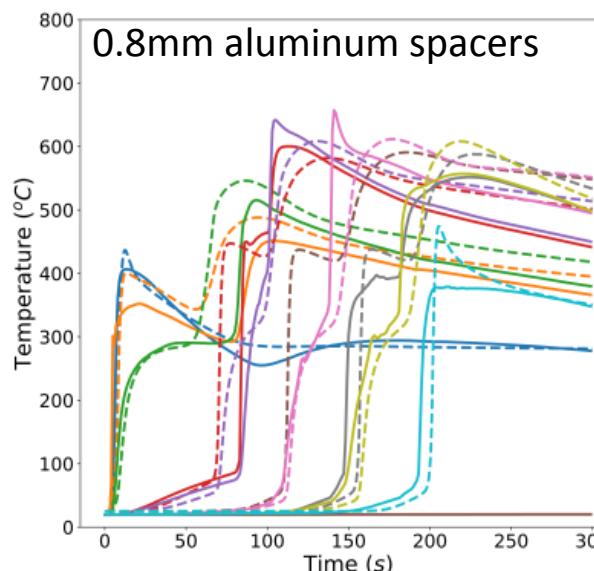
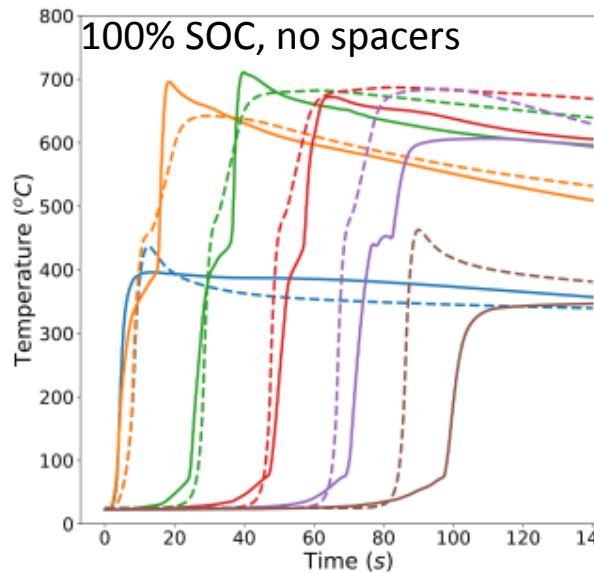
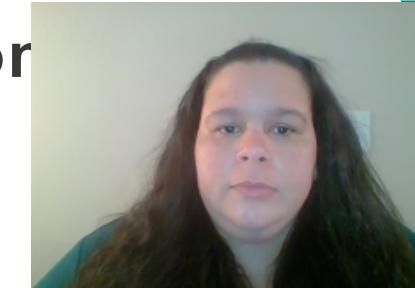


Thermal modifications (Reduced conductivity, increased contact resistance): **Propagation mitigated**

- Measurements are reality but simulations allows us to better understand the behavior changes
- Explore boundaries between mitigation and cascading failure

# Temperature-Time Propagation Measurements and Prediction

by Andrew Kurzawski



## Metallic inserts

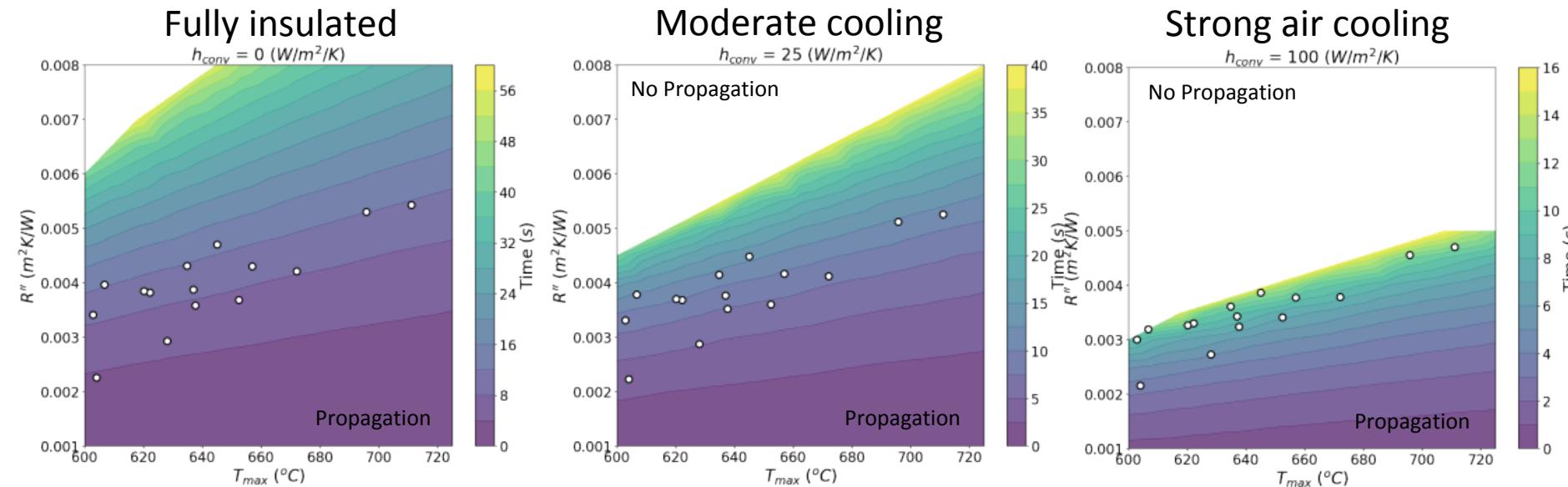
- Add heat capacity
- Increase time delay for cell runaway
- Prevent propagation for 30% increase in net heat capacity
- Reduced SOC results suggest homogeneous heat capacity changes of 25% sufficient

# Limits of Failure Propagation

by Andrew Kurzawski



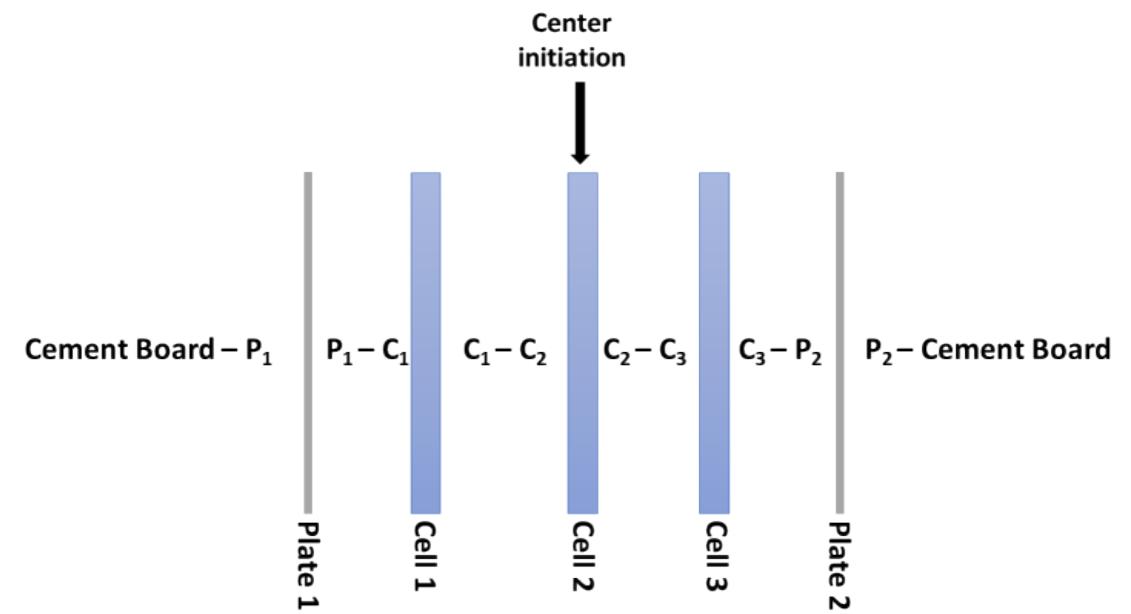
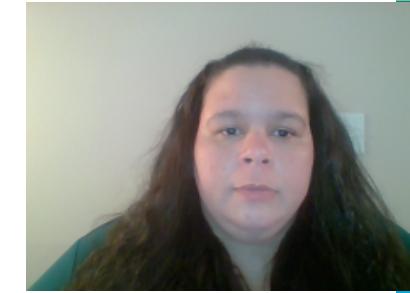
Energy per heat capacity, cooling and inter-cell resistance defines propagation limits  
Model maps delay in propagation: yellow region is infinite delay—*failure to propagate*.



Convection cooling and conduction through stack results in failure to propagate for some scenarios.

Consider cost/design tradeoff : cooling versus thermal resistance.

# Exploration of Mitigation Strategies Through Simulations

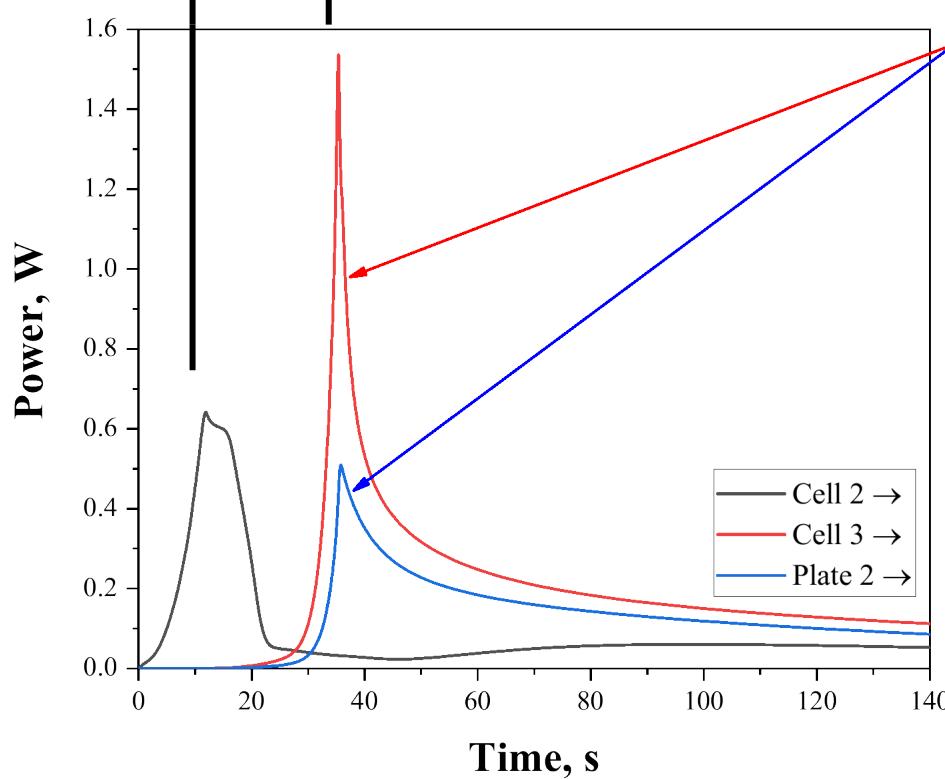


# Exploration of Mitigation Strategies Through Simulations

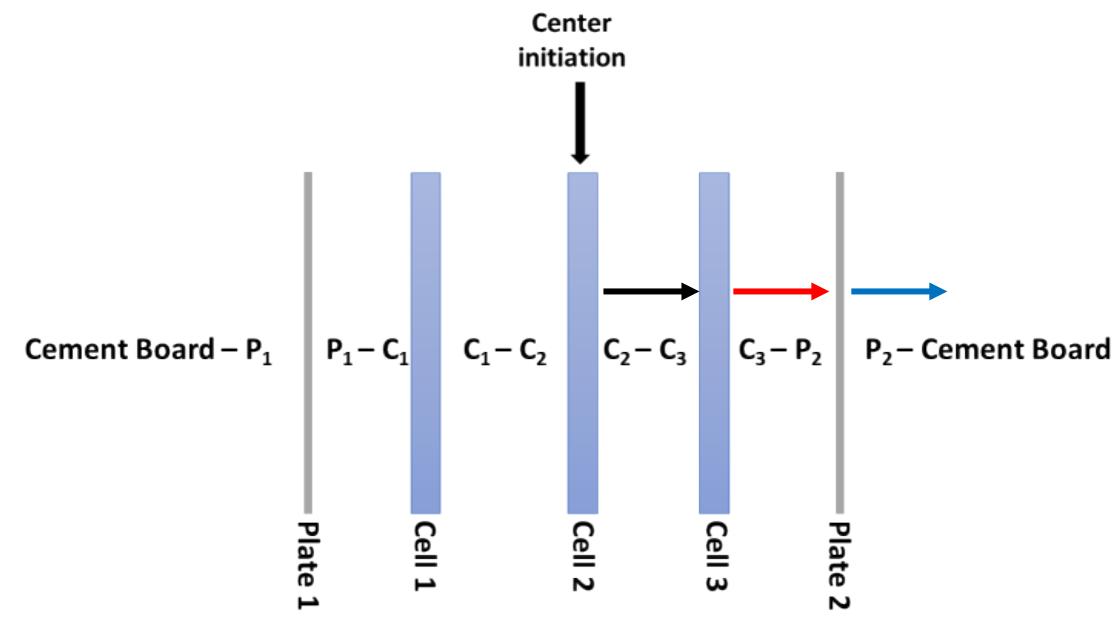
by Randy Shurtz



## Space Crossing + Cell Crossing

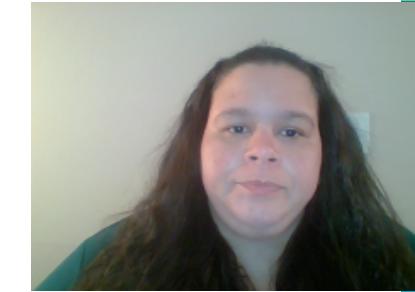


## Difference between heat out of last cell and heat out of plate

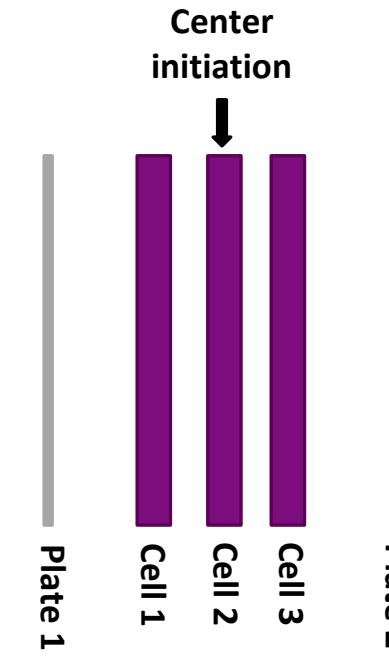
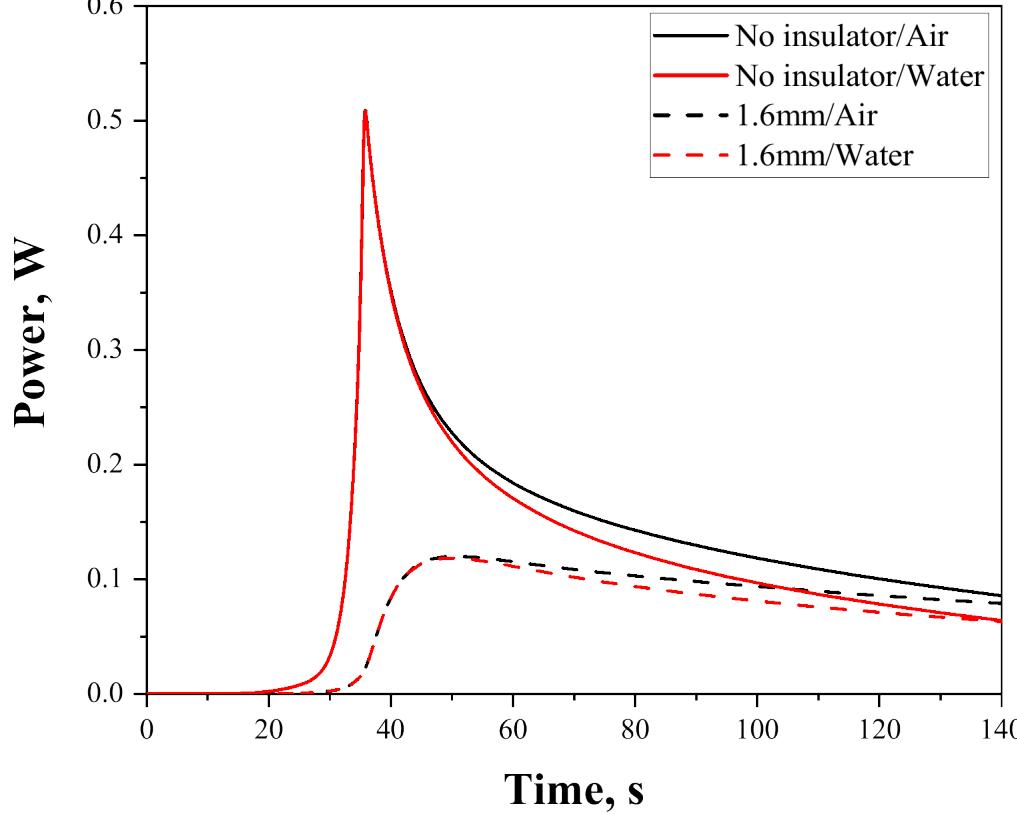


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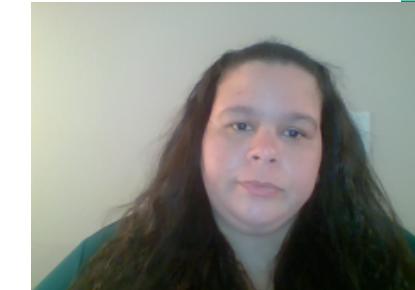
## Effect of insulator thickness on the heat out of the battery pack



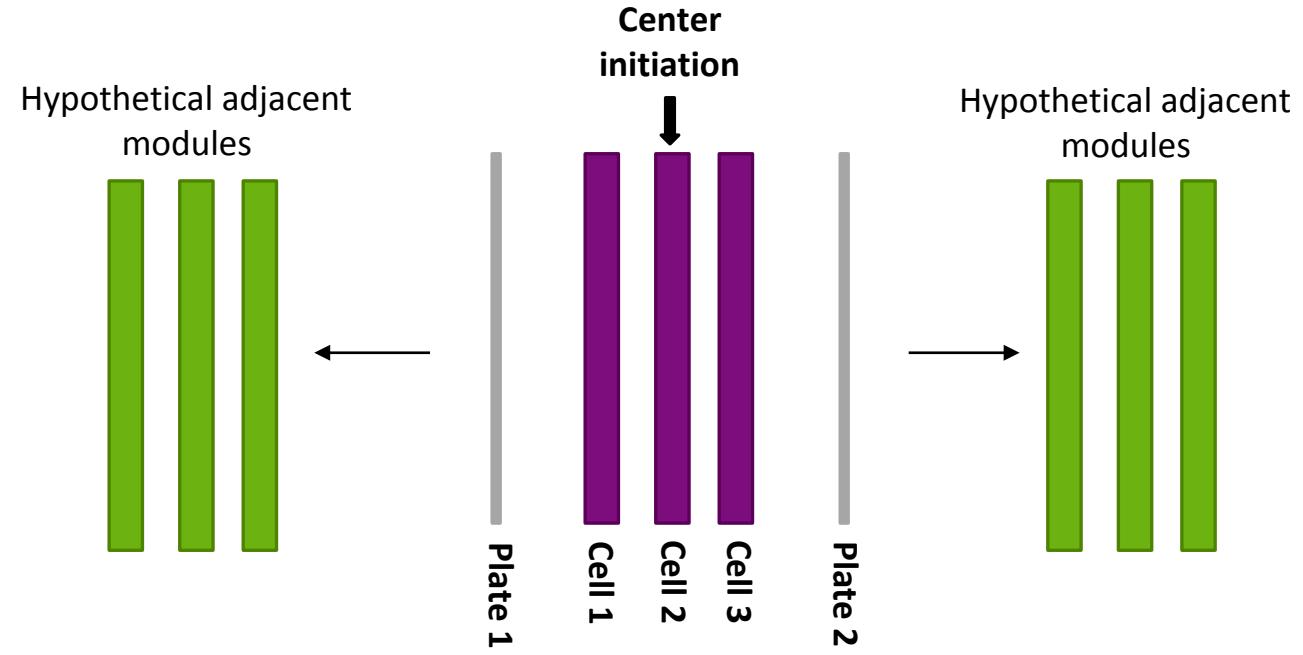
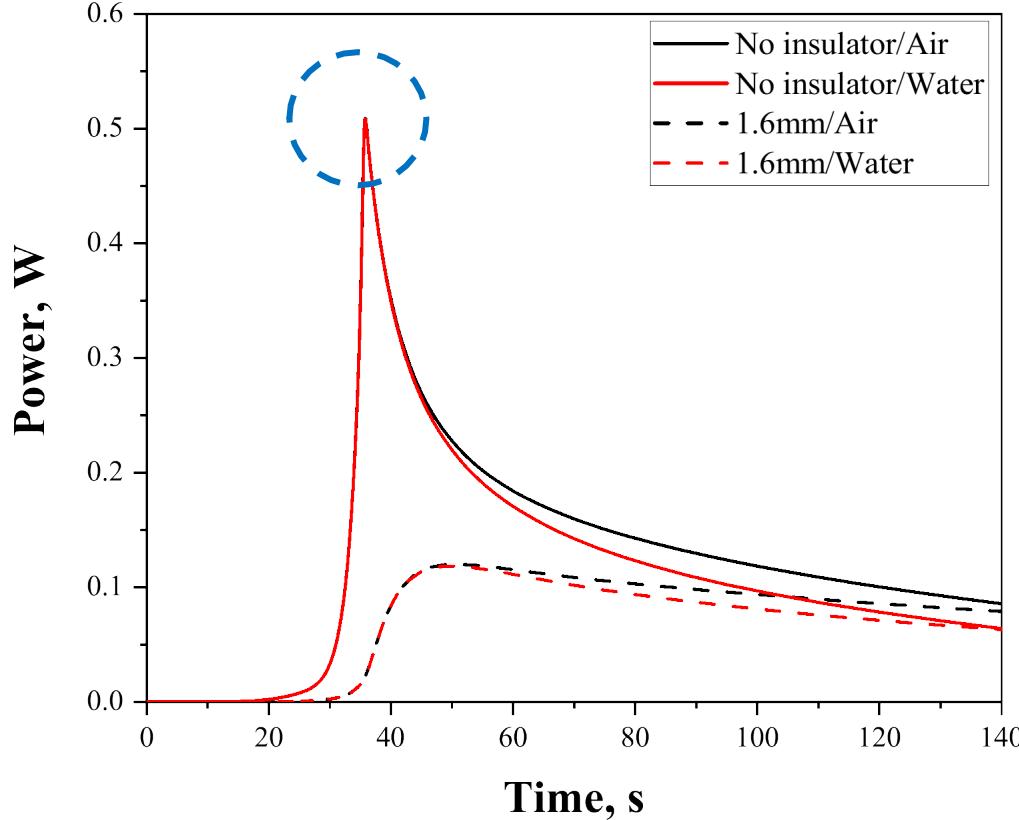
Water cooling increases decay rate of tails, indicating more heat transfer out of the stack

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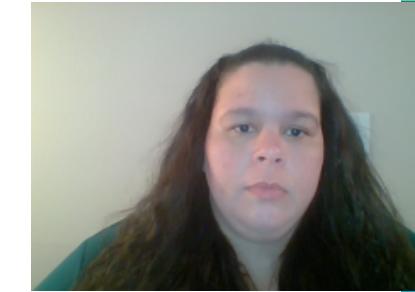
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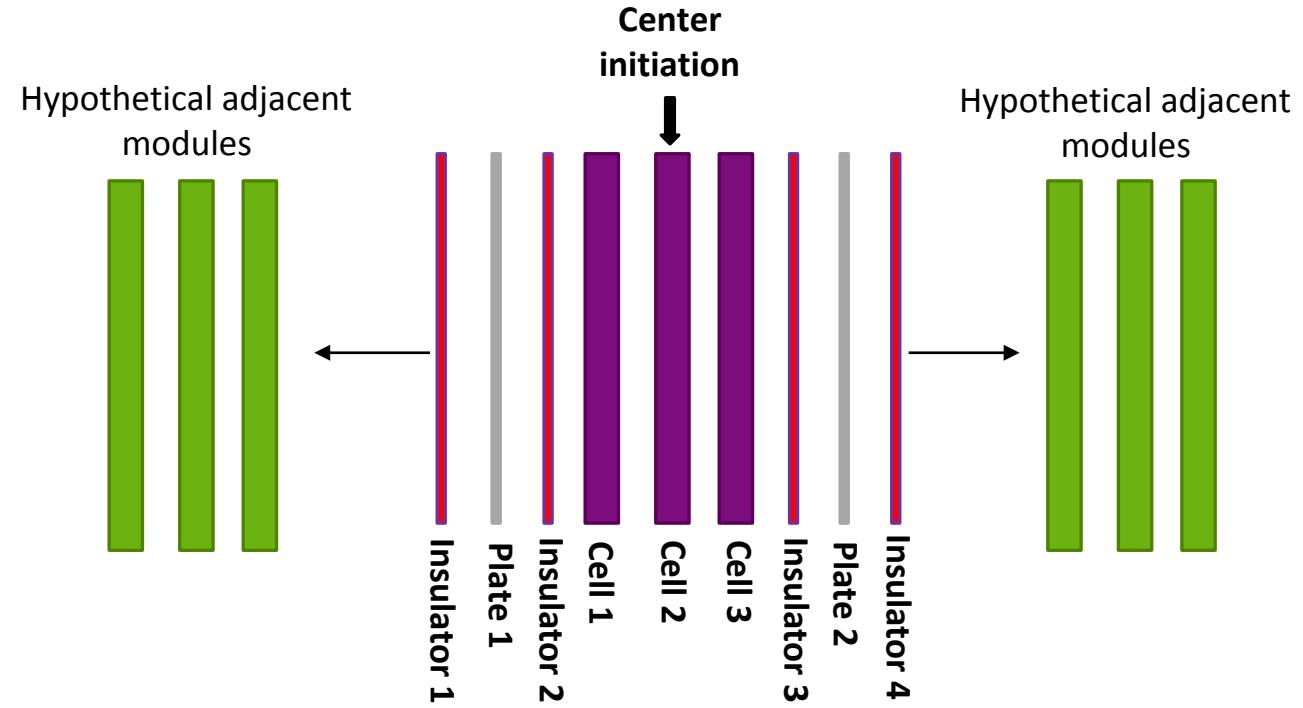
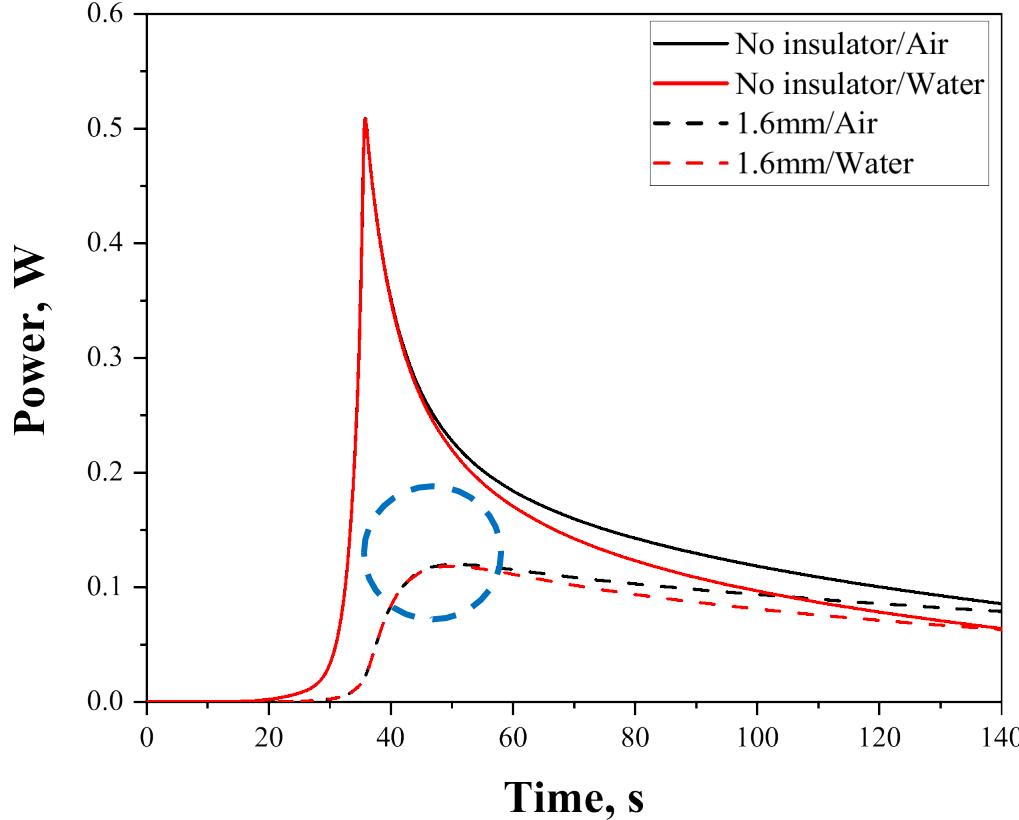
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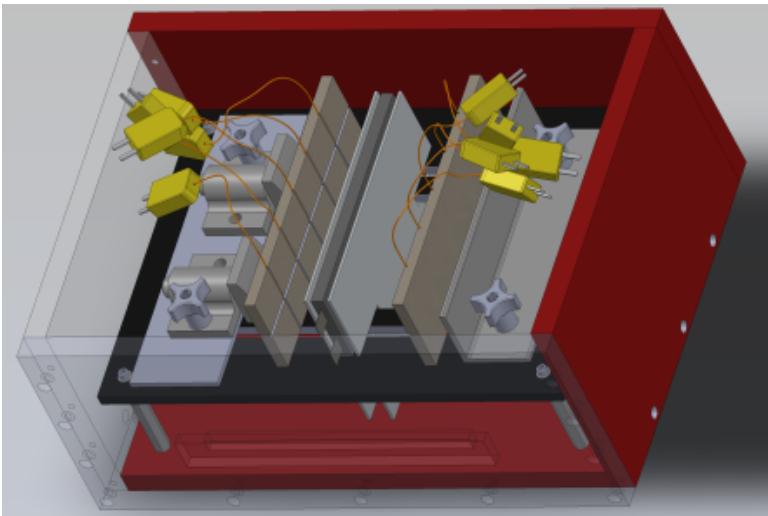
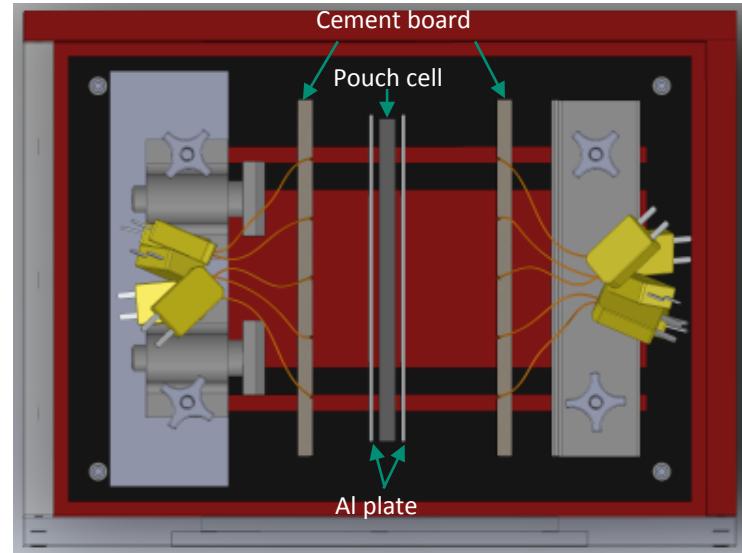
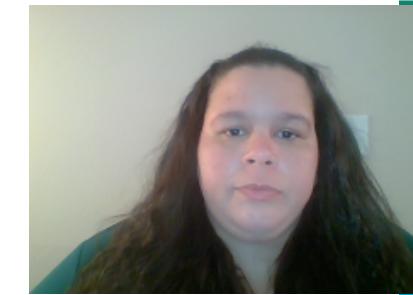


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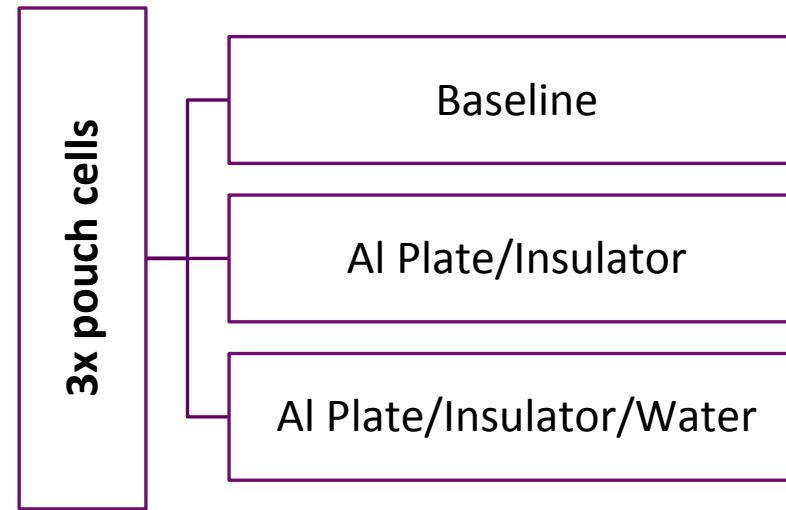


Insulation and structural materials delay heat transfer to adjacent cells/modules and allow for heat dissipation

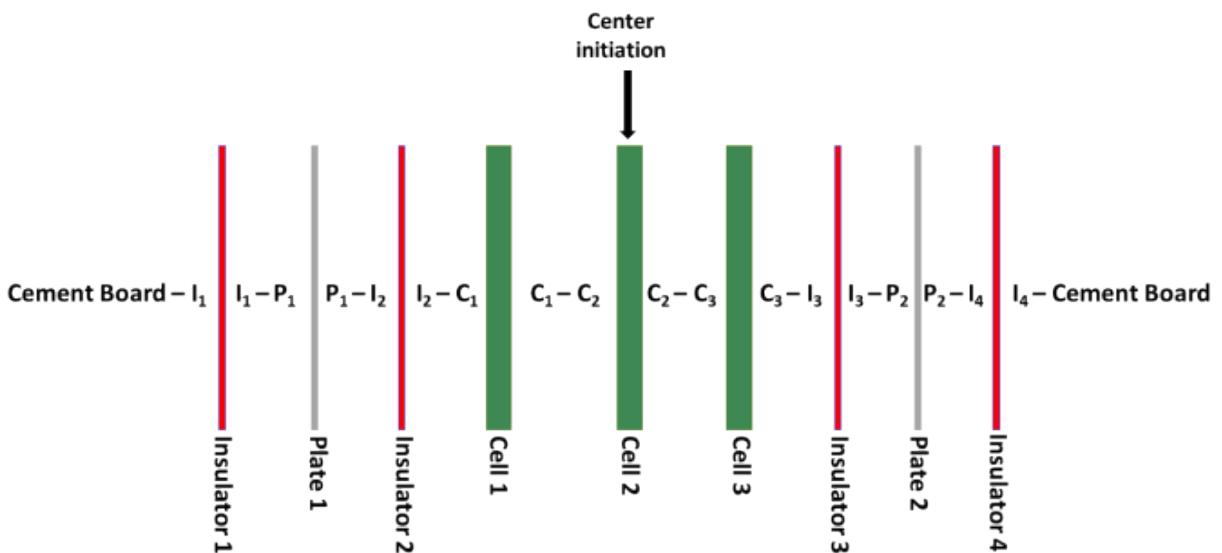
# Model Based Experimental Design



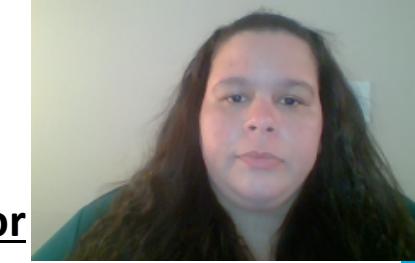
## Test matrix



## Thermocouple map



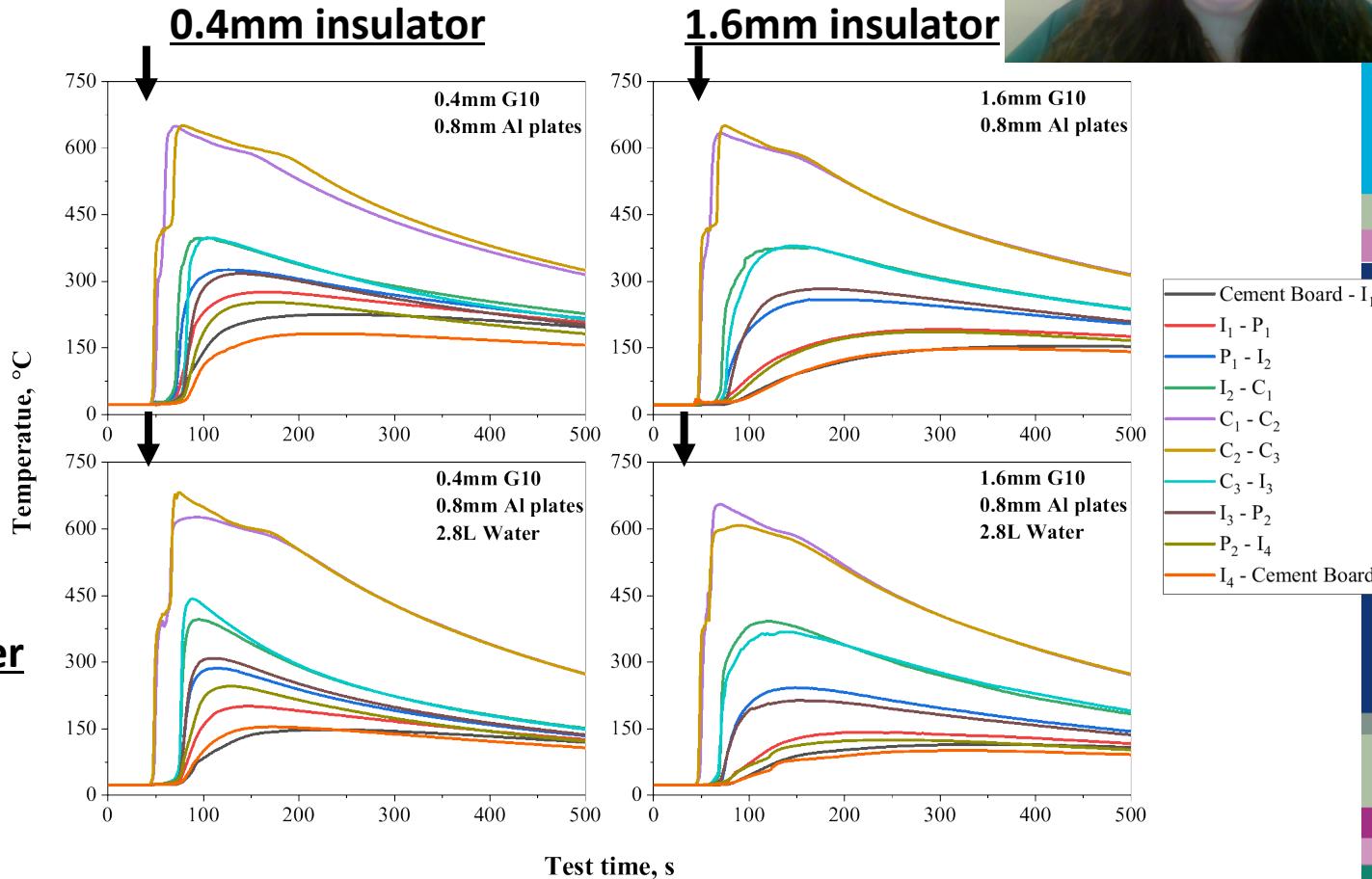
# Temperature Profile



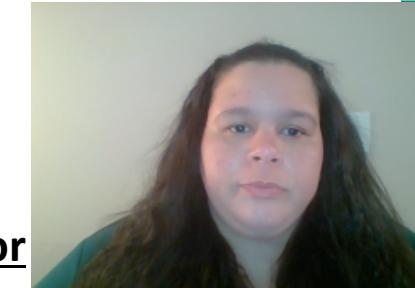
## Plate

*The maximum temperature of the failed cell remained in the same region independently of insulator thickness or level of cooling (air/water)*

## Plate/Water

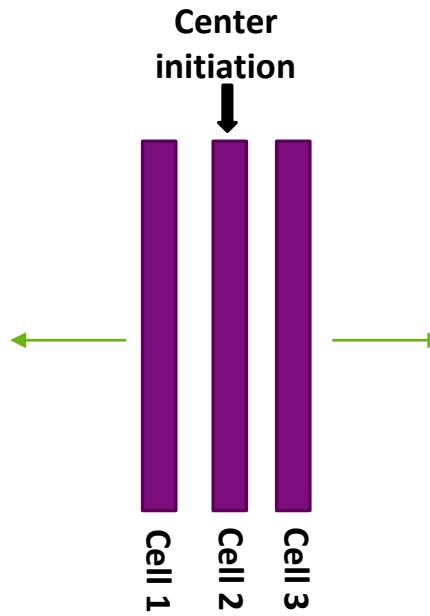


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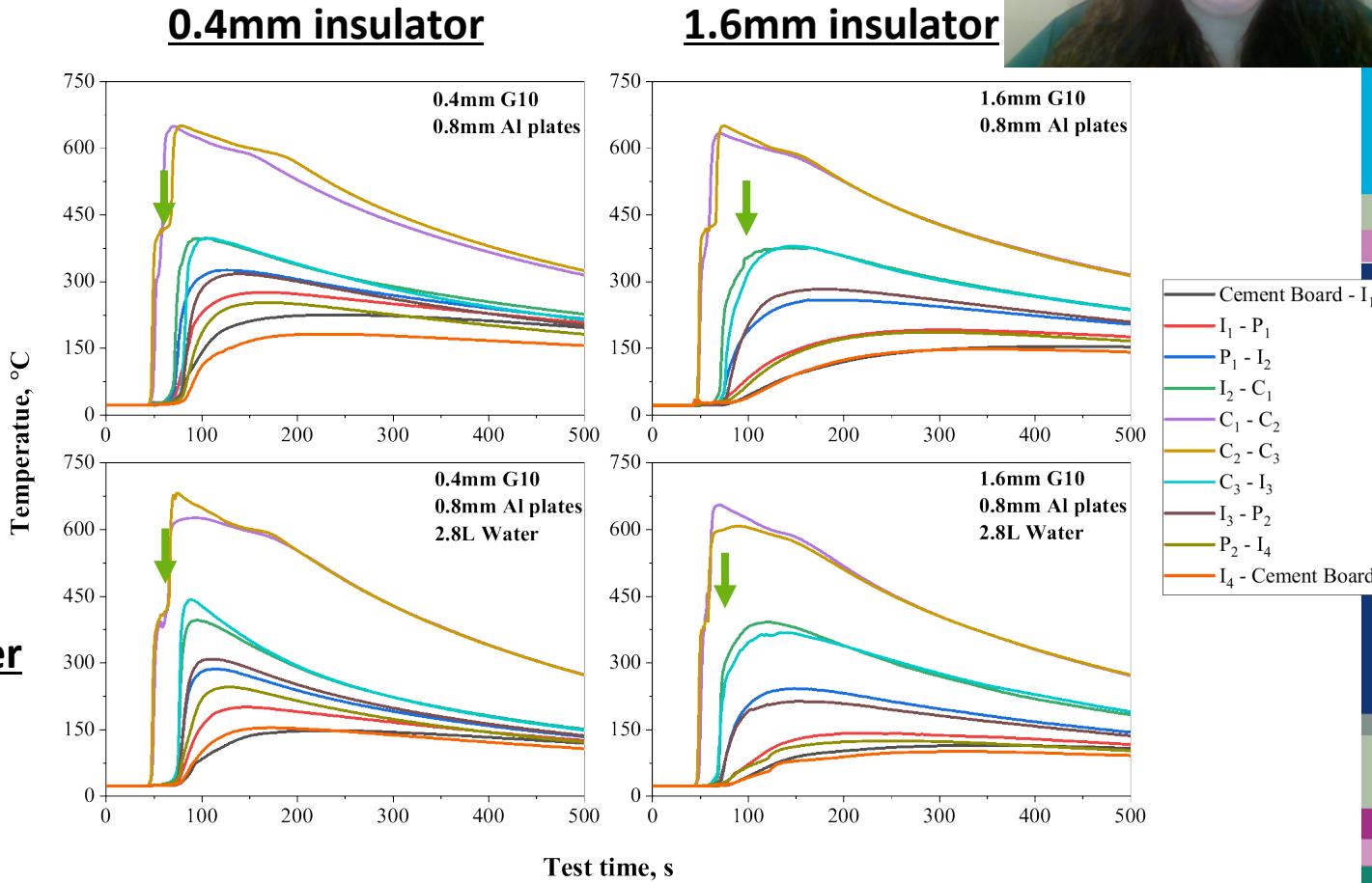


*No mitigation = heat transfer from the edge cell  
to the adjacent module sufficient to trigger*

*thermal runaway*

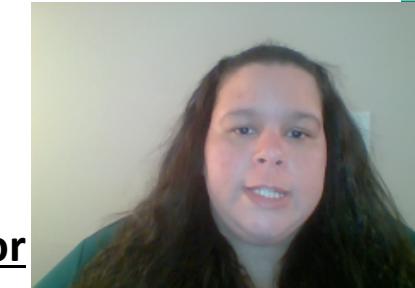


## Plate

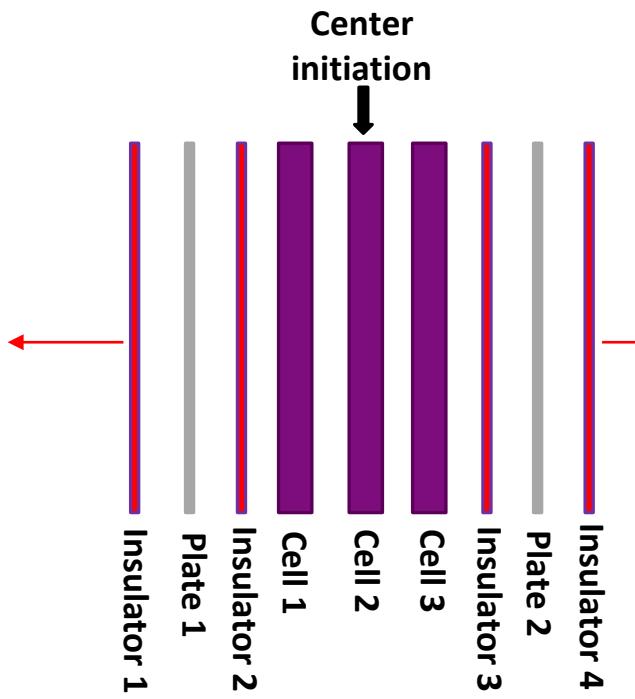


## Plate/Water

# Temperature Profile

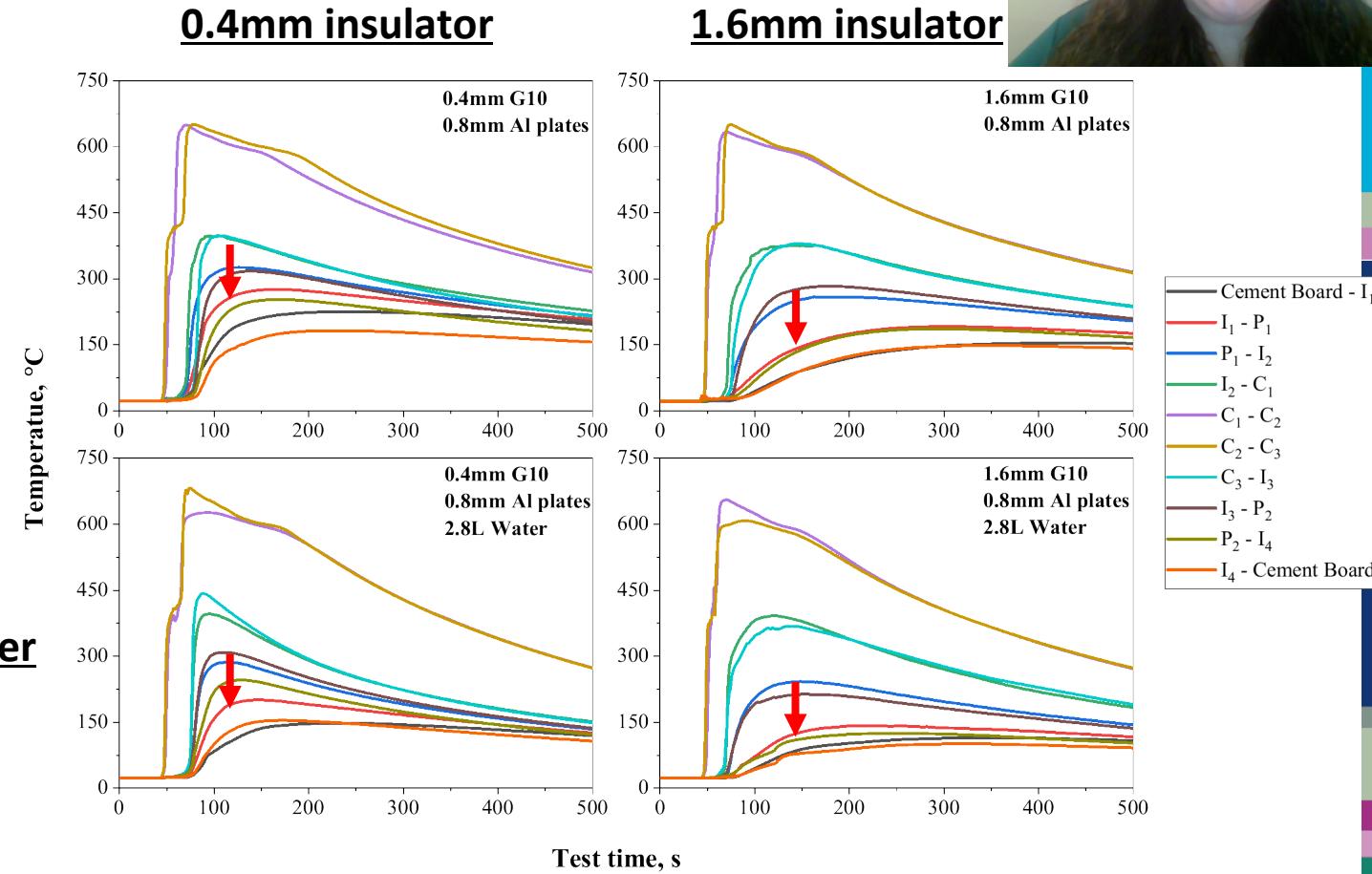


*Mitigation = Adjacent module exposed to temperature between 100°C-150°C, reducing the risk of thermal propagation*

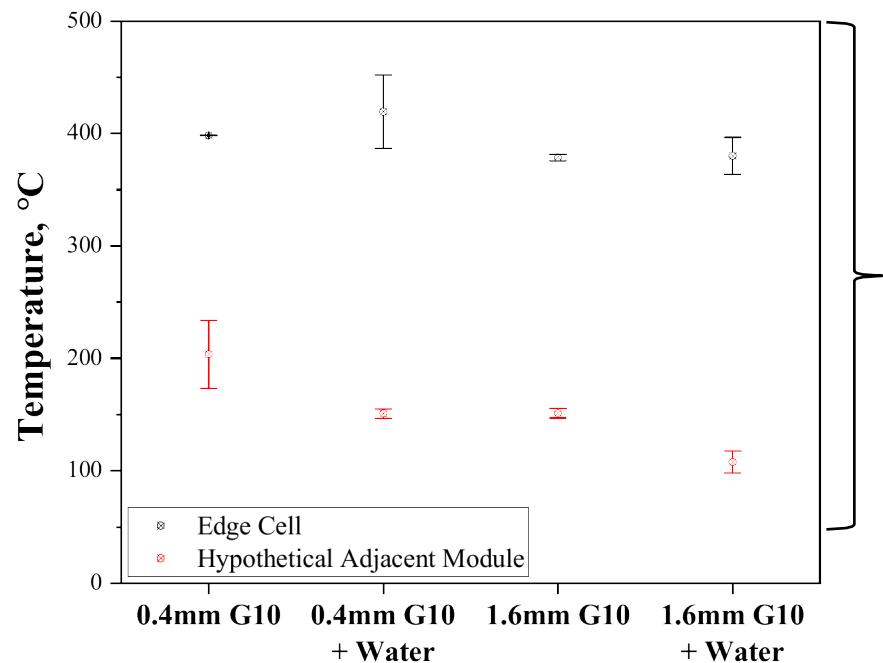
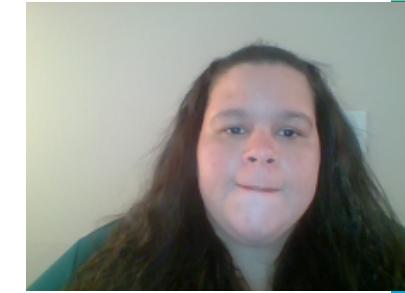


## Plate

## Plate/Water

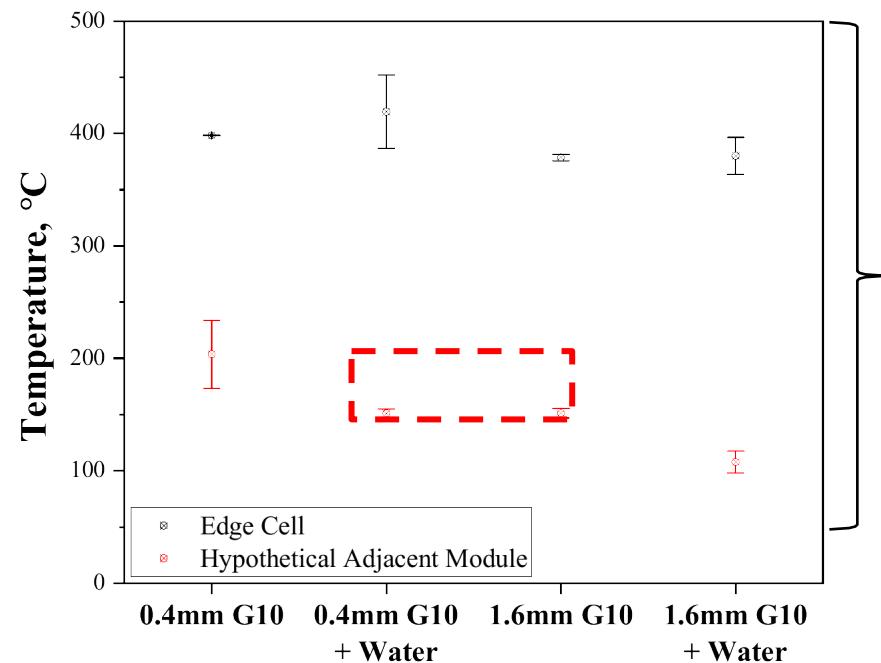
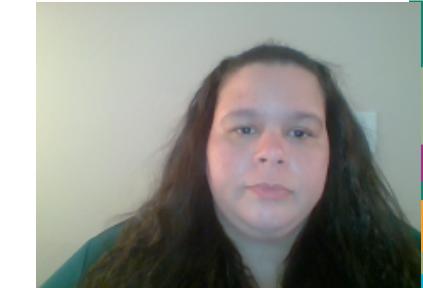


# Hypothetical Adjacent Module Temperature Exposure



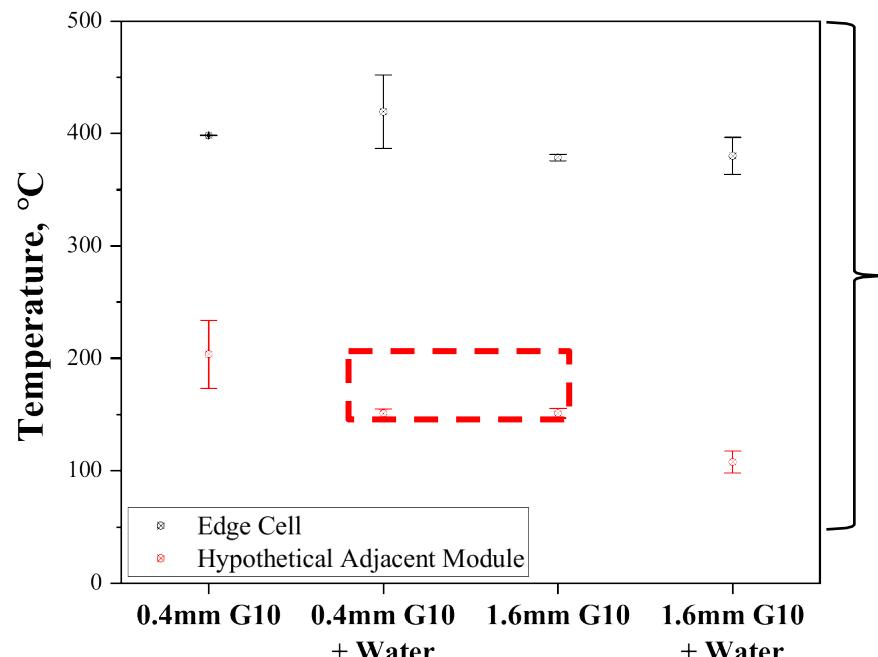
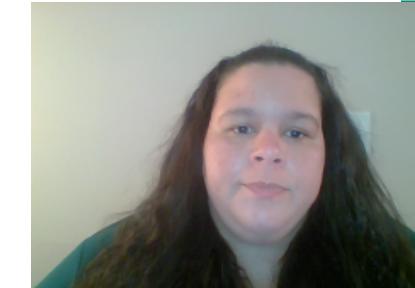
- The hypothetical adjacent module will be exposed to temperatures nearly identical when using 0.4mm G10 insulator with water-cooled plates or 1.6mm G10 insulator with no water
- Thicker insulators and the inclusion of water reduces the risk of failure propagation

# Hypothetical Adjacent Module Temperature Exposure



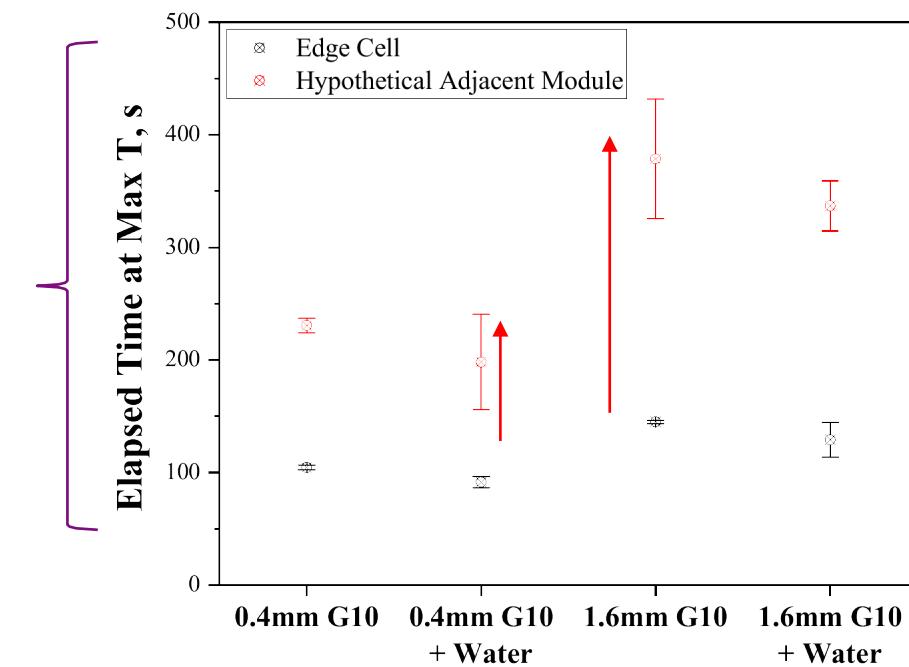
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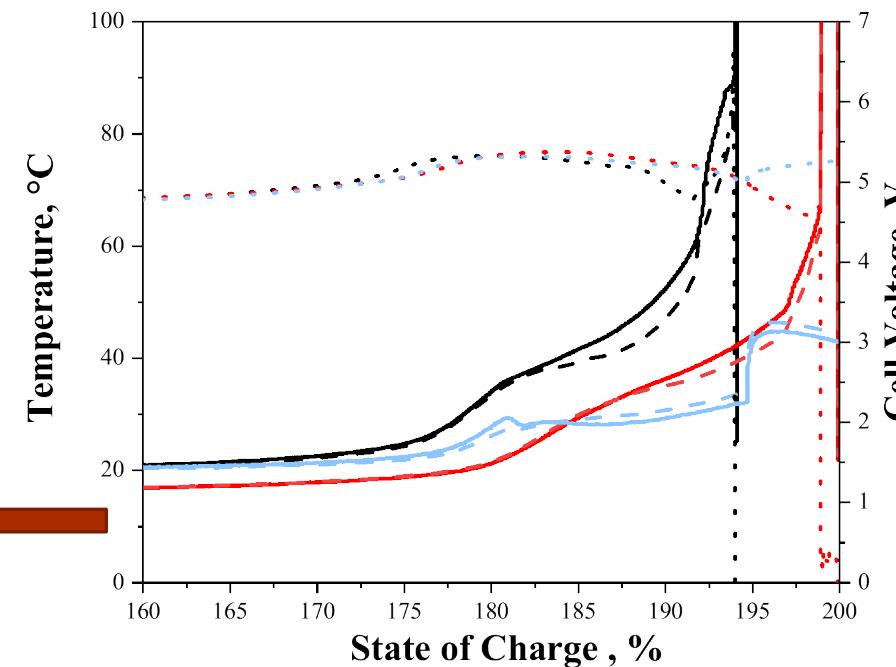
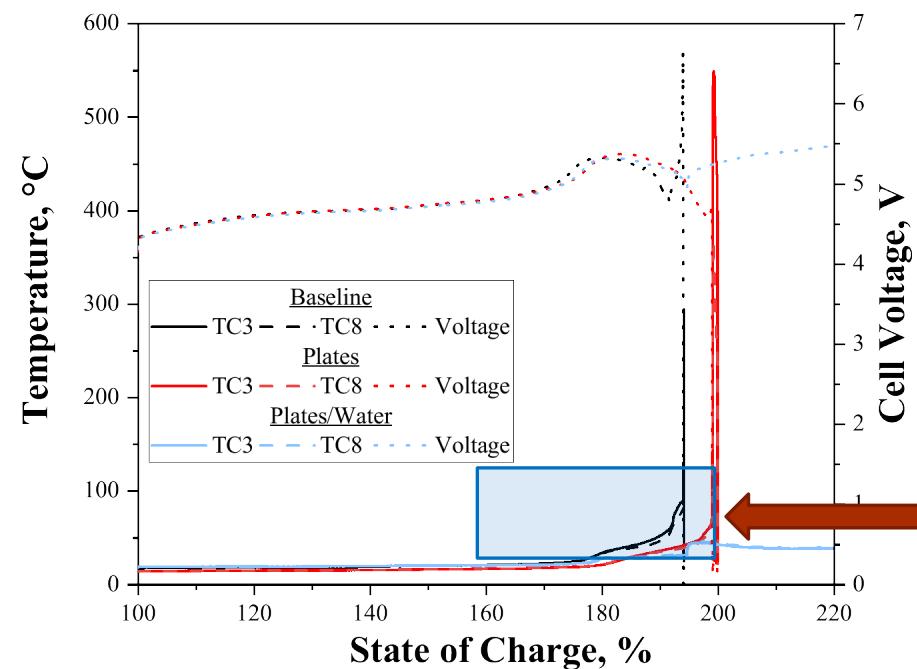
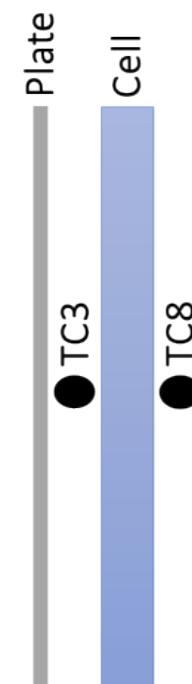
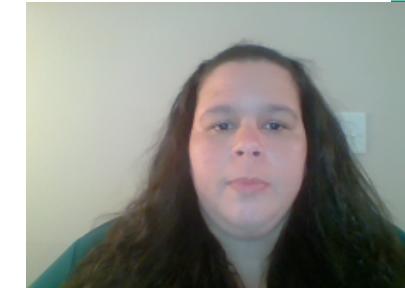


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The elapsed time at the max. temperature further demonstrates that adding thermal mass slows down the heat transfer to the hypothetical adjacent module

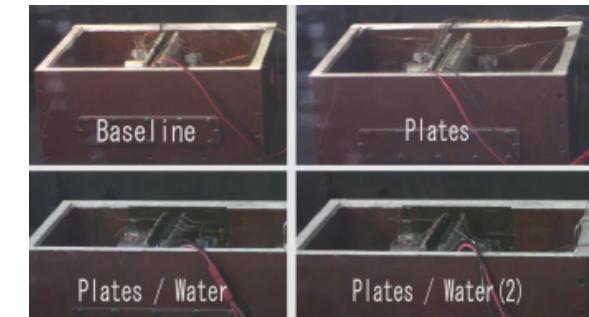


# Overcharge Behavior with Air and Water Cooling



For SOCs >175%

- ✓ Baseline - faster self-heating and an earlier onset SOC to thermal runaway
- ✓ Plates - slower self-heating with maximum temperatures  $\sim 500^\circ\text{C}$
- ✓ Plates/Water - No thermal runaway

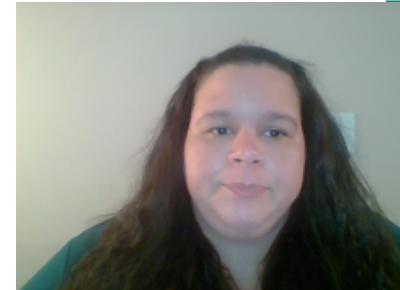


# Summary



- A cell may exhibit a dramatically different failure response when in a string, module, or pack than during single-cell abuse testing
- Metallic plates are effective in small packs, but there is a trade-off between cost, weight, and volume
- Understanding of heat transfer is critical to incorporate the dynamics of heat transfer and make use of the system thermal mass
- Failure testing of large, complex systems is resources intensive. Model-based designs present a potential remedy to this, allowing us to infer a large amount of information from a relatively small number of tests.

# Project Contacts



- Funded by the U.S. Department of Energy, Office of Electricity, Energy Storage program. Dr. Imre Gyuk, Program Director.
- Sandia National Laboratories is a multi-mission laboratory managed and operated by National Technology and Engineering Solutions of Sandia, LLC., a wholly owned subsidiary of Honeywell International, Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA-0003525.

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