

Slot-Die-Coating Operability Windows for Polymer Electrolyte Membrane Fuel Cell Cathode Catalyst Layers

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22 Keywords: proton exchange membrane fuel cell, gas diffusion electrode, slot-die coating, cathode
23 catalyst layer, roll-to-roll manufacturing

24

25 **Abstract**

26 Roll-to-roll (R2R) slot-die coating of polymer electrolyte membrane fuel cell (PEMFC) catalyst
27 layers represents a scalable deposition method for producing $10\text{-}20 \text{ m}^2 \cdot \text{min}^{-1}$ of catalyst-coated gas
28 diffusion layers (GDLs). This high throughput production technique will help lower the cost of
29 PEMFC catalyst layers. The uniformity of the wet layer applied by slot die deposition is affected

30 by process parameters such as substrate speed, vacuum pressure applied at the upstream meniscus,
31 gap between the slot die lips and substrate, ink rheology, and other ink and substrate properties.
32 The set of conditions for producing a defect-free coating with a dilute ink typically requires little
33 to no upstream vacuum pressure, so suitable operating conditions can be found easily through trial
34 and error and operator intuition. However, the higher viscosity of more concentrated inks
35 dramatically shifts the range of settings that result in a homogeneous coating to higher vacuum
36 levels, which are harder to find through hit or miss. A predictive model showing the range of
37 operable conditions decreases material wastage inherent in experimentally searching for suitable
38 parameters. In this study, the defect-free coating parameter window is explored experimentally
39 and theoretically for two concentrations of PEFC cathode inks. Both a full capillary hydrodynamic
40 model and a computationally cheaper viscocapillary model successfully predict the experimentally
41 determined coating window within the experimental and model uncertainty limits for inks with 5.3
42 wt.% and 12.0 wt.% solids ink while maintaining the $0.1 \text{ mg}_{\text{Pt}} \cdot \text{cm}^{-2}$ United States Department of
43 Energy (U.S. DOE) Pt areal loading target. This paper demonstrates a viable pathway for meeting
44 the $\$30/\text{kW}_{\text{net}}$ ultimate cost target of the US DOE Hydrogen Fuel Cells Technologies Office
45 (HFTO). The concentrated ink lowers the thermal energy and capital expenditure (CapEx) budget
46 of the coating process by decreasing the amount of time, energy, and floorspace required for drying
47 the coating.

48

50

1 Introduction

51 Polymer electrolyte membrane fuel cells (PEMFCs) are a promising, zero-tailpipe-emissions
52 alternative to internal combustion engines due to high power density, low startup time, low
53 operating temperature, and rapid load response.¹ However, PEMFCs remain cost-prohibitive
54 despite the >10X reduction in PEMFC stack cost over the last three decades.² The costs of PEMFC
55 stacks at the current volumes sold (several thousand vehicles per year worldwide³) are still at least
56 three times higher than the United States Department of Energy (U.S. DOE) Hydrogen Fuel Cells
57 Technologies Office (HFTO) ultimate target (i.e. \$100/kW vs. \$30/kW).^{3,4} A primary reason for
58 this difference is the processing costs and economies of scale of key repeating components such
59 as gas diffusion electrodes (GDEs).³ Spray coating, a commonly used catalyst layer deposition
60 technique, requires dilute inks, suffers from spray nozzle clogging, and cannot meet the high
61 throughput requirements for high PEMFC production volumes.⁵⁻⁷ On the other hand, roll-to-roll
62 (R2R) manufacturing—in which a flexible substrate is unwound, coated, dried, and re-wound—
63 with continuous liquid film deposition helps to lower material and labor costs at an industrial scale
64 through rapid, high-throughput, continuous, and highly-automated processing.

65

66 While several reliable deposition methods for R2R coating are available, including gravure, slot,
67 and slide coating, this work focuses on slot die coating due to its ability to handle a wide range of
68 coating ink viscosities and thicknesses. A slot die coating process, patented by Kodak in 1954⁸ and
69 diagramed in **Figure 1a**, consists of two parallel steel components forming a “slot” through which
70 a coating fluid (“ink”) is pumped. The edges of the blades (“die lips”) are brought a short distance

71 (H_0) away from a moving substrate (“web”) where a meniscus of fluid (“coating bead”) is
 72 established and the ink is deposited in a thin film over the web. The slot die system pre-meters the
 73 volumetric coating fluid extrusion rate (Q_f). In conjunction with the roll-to-roll line speed (U) and
 74 cross-web coating width (w_{film}), Q_f allows for precise control of the wet thickness (t) of the coating
 75 (**Figure 1b**). w_{film} is easily controlled in slot-die coating with the use of a metal shim inserted
 76 between the blades of the slot die that sets the coating width. These factors make slot-die coating
 77 a highly versatile and low-waste coating technique for precise and uniform film application. While

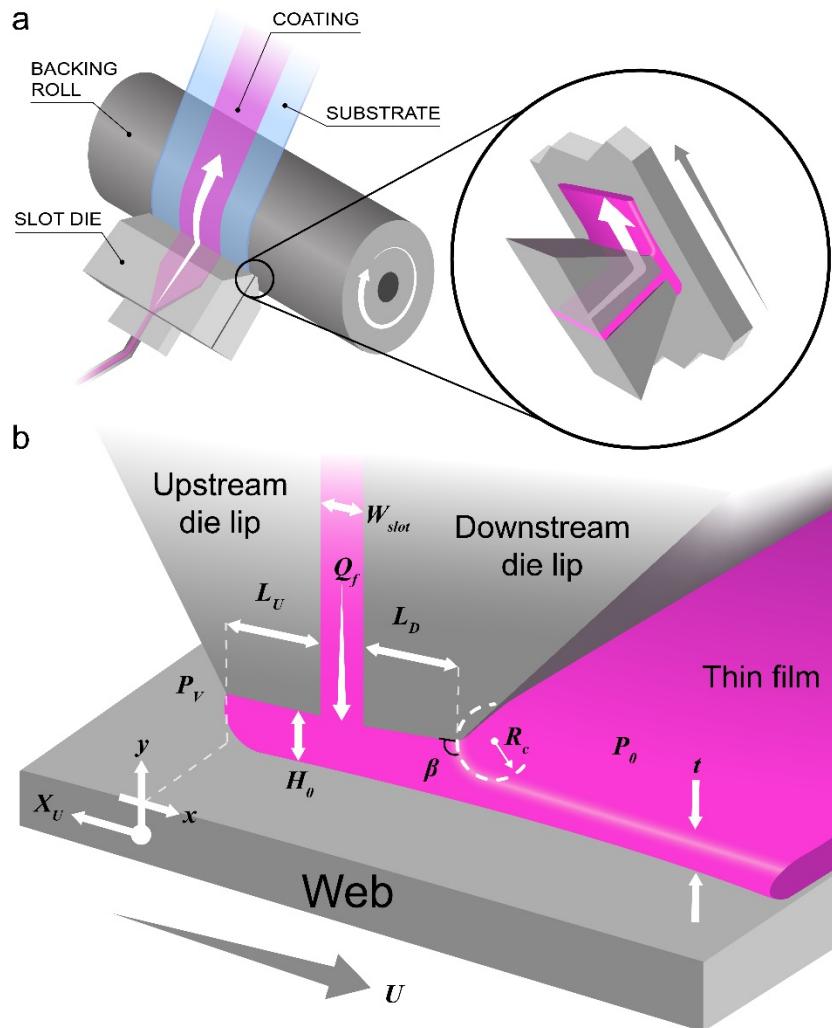


Figure 1. Roll-to-roll slot die schematics. a) Diagram of the slot die application of a coating to a substrate with inset showing the coating bead detail. b) Diagram of the slot die coating bead and the relevant parameters for the computational models.

78 slot-die coating is a commonly used technique in applications such as photographic film,^{8,9} flexible
79 organic photovoltaics,¹⁰⁻¹⁴ transparent conductive films,¹⁵⁻¹⁷ battery electrodes,¹⁸⁻²¹ and other
80 electronic devices,²²⁻²⁵ there are few open literature examples of slot-die coating for deposition of
81 the catalyst layer for PEMFCs.^{7,26,27}

82

83 In this paper, we demonstrate R2R deposition of the Pt, C, and ionomer cathode catalyst layer for
84 a PEMFC using two ink formulations. The catalyst layers are applied onto a microporous layer
85 (MPL)-coated gas diffusion layer (GDL) to make a GDE. MPL-GDLs are flexible, allowing them
86 to travel around the rollers in a R2R coating system, and do not warp when wet, making them well-
87 suited for slot coating. Continuous liquid film deposition with R2R modalities enables GDEs to be
88 produced without the challenges of spray coating such as nozzle clogging and limitations on ink
89 solids-loadings (<<1 wt.% for spraying vs. >5 wt.% for R2R deposition). Increasing the solids-
90 loading of an ink decreases the mass of dispersion media (made of water and alcohol solvents)
91 needed per unit area of coating, decreasing both the expenditures on dispersion media and the
92 energy needed to dry the coating. Decreasing energy consumption in manufacturing sectors has
93 been a priority of the U.S. DOE Advanced Manufacturing Office (AMO) because manufacturing
94 uses about 20 % of the nation's energy.²⁸ Importantly, decreasing the dispersion media content of
95 a system and making the dispersion media more water-rich decreases both the environmental and
96 human health impacts of the process. In fact, this satisfies six of the Twelve Principles of Green
97 Chemistry: 1) waste prevention, 3) less hazardous chemical synthesis, 5) safer solvents and
98 auxiliaries, 6) design for energy efficiency, 7) use of renewable feedstocks, and 12) inherently
99 safer chemistry for accident prevention.²⁹ Alcohols such as 1-propanol that are sometimes used as
100 the majority component of dispersion media in low-temperature PEMFC catalyst layers are

101 flammable, petroleum-derived, and toxic to humans. Water, on the other hand, is a benign and
102 sustainable solvent. However, water is also more difficult to remove in the solidification process
103 due to its higher boiling point.

104

105 Increasing the proportion of water in the dispersion media system also benefits the PEMFC
106 performance. Water-rich catalyst layer ink formulations decrease ionomer aggregate size and
107 improve interactions between the ionomer sulfonate groups and the Pt catalyst due to the polarity
108 of the water molecules. Therefore, PEMFC catalyst layers made from water-rich inks exhibit
109 decreased ionic transport resistance in the ionomer film relative to a catalyst layer made from an
110 n-propanol-rich ink. Some alcohol content is needed to improve ink coatability, catalyst dispersion,
111 and mitigate the increased resistance at the Pt/ionomer interface due to the strength of interaction
112 in water-rich systems.^{30,31} The inks used in this study have water/1-propanol mass ratios of 2.5-
113 3.0.

114

115 In this study, dilute and concentrated catalyst inks were coated by R2R slot-die onto an MPL-GDL
116 with the coating parameters guided by analytical and computational model predictions. Each ink
117 was coated at a variety of web speeds and upstream vacuum pressures (pressure difference between
118 ambient air pressure downstream of the slot die and air pressure in the vacuum box behind the
119 upstream meniscus) to test the predicted coating window limits. Wet thicknesses, t_{wet} , were
120 adjusted for each ink formulation to ensure the desired cathode Pt loading of $0.1 \text{ mg}_{\text{Pt}} \cdot \text{cm}^{-2}$. The
121 dilute ink required a higher t_{wet} than the concentrated ink. The two mathematical models used to
122 predict the coating window are a less computationally expensive viscoelastic model in Matlab

123 and a more computationally expensive finite element model (FEM) using the open-source software
124 package Goma 6.0.³²

125
126 Both models use predicted location of upstream meniscus as the basis of coating window
127 determination. The viscopillary model is based on the lubrication approximation which reduces
128 the problem to one-dimension. The Goma 6.0 model, on the other hand, solves the complete
129 Navier-Stokes equations and treats the whole flow domain as two-dimensional, thereby making it
130 a more rigorous flow model. Both models successfully predicted the experimentally determined
131 coating windows within 200Pa of applied backpressure for both the dilute and concentrated inks.
132 Thus, this study demonstrates that the defect-free slot-die coating window can be predicted for
133 low- and high-solids-loading PEMFC cathode layer inks effectively, thereby leading to significant
134 savings of material and time while conducting coating trials.

135

136 **2 Materials and Methods**

137 **2.1 Catalyst Ink Preparation**

138 Catalyst inks were prepared by adding the appropriate mass of Pt on high surface area carbon
139 (Pt/HSC) catalyst powder (Tanaka Kikinzoku Kogyo, 47.0 wt.% Pt, TEC10E50E) followed by the
140 appropriate mass of deionized water to a 500 mL capacity Nalgene jar. The mixture was swirled
141 by hand to disperse the catalyst powder in the water. **WARNING:** It is important that the water
142 and catalyst powder are mixed before any alcohol is added because Pt/HSC may spontaneously
143 combust with addition of alcohol if insufficient water is present. The appropriate mass of 21 ± 1
144 wt.% of 1000 equivalent weight (EW) Nafion in 34 ± 2 wt.% water, 44 ± 2 wt.% 1-propanol, and

145 < 2 wt.% ethanol (Fuel Cell Store, Nafion Dispersion D2020) was added to the jar followed by
146 more swirling by hand. Finally, the appropriate mass of 1-propanol (Sigma-Aldrich, \geq 99.5 %)
147 was added to the mixture. Formulation information for the inks can be found in **Table 1**. Next,
148 mechanical mixing was completed at 10,000 rpm in the jar for 1 h on a high shear mixer (IKA,
149 T25 Digital S1, 115 V) with a rotor-stator attachment (IKA, S25N-18G) to deagglomerate catalyst
150 particles and disperse all components. The ink was stirred with a magnetic stir bar on a stir plate
151 at 300 rpm overnight prior to application to allow any foam generated during mixing to dissipate.
152

153 **Table 1.** Composition of catalyst ink with respect to the total ink mass.

Component	Dilute Ink	Concentrated Ink
Pt/HSC (wt.%)	3.50	8.00
Water (wt.%)	68.40	56.27
Nafion D2020 solution (wt.%)	8.47	19.17
1-propanol (wt.%)	19.63	16.57
Total Formulation Descriptors		
Total Solid Content (wt.%)	5.28	12.02
Ionomer to carbon quotient (I/C)	0.96	0.95
Water:1-propanol (by mass)	77:23	71:29

154
155 **2.2 Catalyst Layer Preparation and R2R Coating**
156 The catalyst inks were coated by slot die onto two substrates, an MPL-GDL and an untreated
157 aluminum foil. The GDL was a 230 μm thick carbon paper with a hydrophobic MPL coating
158 (Freudenberg, H23C8). The thickness of the MPL-GDL was measured with a 690 nm red optical
159 laser caliper with a spot size of 25 μm at 1 μm intervals over a 1.6 m length of the substrate. The
160 catalyst ink was deposited onto the substrate by a single layer slot die (Allied Dies, AD-1992)
161 equipped with a 127 μm (0.005 in.) thick shim with an opening for a 7.6 cm (3 in.) wide coating.

162 The stainless steel slot die was mounted at 25° below horizontal on a backing roll with 1.3 μm
163 total indicator runout (T.I.R.) (**Figure S1, Supporting Information**). Both upstream and
164 downstream die lip lengths (L_u and L_D) are 813 μm (0.032 in.) The substrate was translated across
165 the face of the die lips at web speeds varying from 0.6-2.7 $\text{m}\cdot\text{min}^{-1}$ (2-9 $\text{ft}\cdot\text{min}^{-1}$) and dried using
166 roll-to-roll coating machine with a seven-zone drying oven (Frontier Industrial Technology,
167 DynaCoat CEB-355). The coating was dried in a heating zone consisting of seven convection
168 ovens in series at 32, 37, 42, 47, 52, 47, and 42 °C (90, 99, 108, 117, 126, 117, 108 °F), respectively.
169 The ink was extruded out of an oil displacer tube that mitigates any pulsation of the “pulse-free”
170 digital gear micropump (Cole-Parmer Masterflex L/S Model no. 75211-70).

171

172 **2.3 Catalyst Ink Characterization**

173 *2.3.1 Ink Rheology*

174 Shear viscosity measurements were collected at 25 °C with two different rheometer methods to
175 ensure repeatability. The shear viscosity profile measured on the concentrated ink changed with
176 the rheology method. Concentrated ink, “Viscosity 1” was measured using a Haake MARS II
177 stress-controlled rheometer equipped with a C60 Ti (1°) cone and plate sensor with a tip gap
178 distance of 52 μm . A solvent trap was used to prevent liquid evaporation during the measurement,
179 and the software was operated in rate-controlled mode. Pre-shear was conducted at 500 /s for 120
180 s, followed by a quiescent rest period of 120 s under no applied stress. Shear rate sweeps from 0.01
181 to 1000 /s were performed with a 300-s ramp, a 60-s hold at high shear, and a falling ramp to 0.1
182 /s in 300 s to complete a hysteresis loop. Three repetitions of the shear rate sweep were conducted
183 to establish drift and reproducibility. No variance was noted between the tests. The average of the
184 forward and back sweeps is reported in this paper.

185 Concentrated ink, “Viscosity 2” was measured using a TA Instruments Discovery HR-3 rheometer
186 equipped with a concentric cylinder and conical rotor with 26.05 mm diameter and 42.01 mm
187 length. The ink reservoir was covered to minimize solvent evaporation. Pre-shear was conducted
188 at 0.8 /s for 60 s followed by a quiescent rest period of 60 s under no applied stress. Shear rate
189 sweeps from 0.001 to 200 /s were performed with a 480 s ramp. Two repetitions were measured
190 to establish reproducibility. The first measurement is reported in this paper.
191 The shear viscosity profile measured using the two methods for the dilute ink was very similar; we
192 report the data collected from the “Viscosity 1” method.

193 *2.3.2 Ink Surface Tension*

194 The equilibrium surface tension of the inks was measured by the pendant drop method. A 2.2 mm
195 outside diameter needle was large enough to make a 2- μ L formed drop drip into a Laplacean shape
196 (drip-like with a shape governed only by surface tension and density). In the case of the viscous
197 concentrated ink, we placed a 50/50 water/propanol solution inside the sample chamber where the
198 drop was pendant. With the solution in place to saturate the gas space environment around the drop
199 we could wait up to five minutes to allow any given drop to reach an equilibrium condition. The
200 pendant drop is digitally imaged using a high pixel charge-coupled device (CCD) camera. The
201 drop’s image is then fit by a robust mathematical approach to determine the drop’s mean curvature
202 at over 300 points along its surface. Using the ink density—0.981 g·mL⁻¹ for the dilute ink and
203 0.984 g·mL⁻¹ for the concentrated ink—an average of the surface tension calculated at each of
204 these points determines
205 the surface tension of the liquid. We report the average surface tension in a five-drop experiment.

206 **2.3.3 Ink Sessile Drop Contact Angle Measurements on Substrates**

207 Static sessile drop contact angle measurements were made for five to ten 2- μL drops of ink on
208 aluminum foil, MPL-GDL, and poly(tetrafluoroethylene) (PTFE) with an equilibration period of
209 five minutes from the time the drop is incident on the surface. Dynamic contact angle increases
210 with coating speed from the lower bound value, the static contact angle. The measured static
211 contact angles of the ink on the aluminum foil and MPL-GDL substrates were used as a lower
212 bound to inform the dynamic contact angle used in the Goma mathematical model. 120 $^{\circ}$ was
213 selected as a reasonable and convenient value for a macroscopic dynamic contact angle. The
214 contact angle on the aluminum foil was used as the contact angle of the ink on the stainless steel
215 die face and lips in the Goma model, a valid approximation due to the similarity in surface energy
216 of aluminum foil and stainless steel. The ink contact angles on the PTFE substrate were used in
217 combination with their surface tensions to calculate the dispersive and polar components of the
218 surface tension. The surface energy and polarity of the aluminum foil and MPL-GDL substrate
219 were calculated using the data from contact angle measurements of water and diiodomethane on
220 the two surfaces.

221 **3 Results and Discussion**

222 **3.1 Catalyst Ink and Substrate Characterization**

223 **3.1.1 Catalyst Ink Surface Tension Characterization**

224 The so-called wettability of the substrate is governed primarily by the surface tension of the fluid
225 and the contact angle of the fluid on the substrate. Liquids with lower surface tension tend to wet
226 more easily as gravity works to spread out the fluid. The surface tension of a liquid, σ_L , stems from

227 the polar (dipole) and dispersive (van der Waals) interactions between the components of the fluid.
228 The surface tensions of the dilute and concentrated inks (**Table 2**) were measured by pendant drop
229 shape analysis and divided into polar and dispersive components using contact angle
230 measurements on PTFE and Fowkes surface energy theory³³. Though the inks have similar
231 composition, differing primarily in their solids content, the dilute ink has a slightly lower polar
232 component, leading to lower total surface tension.

233

234 **Table 2.** Catalyst ink optical tensiometry

	Dilute Ink	Concentrated Ink
Surface tension (mN·m⁻¹)	41.81 ± 0.02	45.57 ± 0.02
Polar component (mN·m⁻¹)	15.46	18.90
Dispersive component (mN·m⁻¹)	26.35	26.67
Surface polarity (%)	36.97	41.47
Contact angle on PTFE (°)	87.6	92.2
Contact angle on foil (°)	38.7	46.5
Contact angle on MPL-GDL (°)	104.9	107.3

235

236 **3.1.2 Substrate Surface Energy Characterization**

237 The two substrates deployed for coating trials in this study are the aluminum foil and an MPL-
238 GDL, which have substantially different surface energy properties. Surface energy is the solid
239 analog to surface tension for liquids. The polar and dispersive components of the solid's surface
240 energy can be determined using the Fowkes equation and the contact angles between the solid and
241 liquids with known surface tension components.³³ Diiodomethane, a completely dispersive liquid,
242 and water were used as probe liquids to determine the surface energy of the foil and MPL-GDL
243 substrates.

244 **Table 3** shows the water and diiodomethane contact angles, the surface energy, and the polar and
245 dispersive components for aluminum foil and MPL-GDL. The MPL is a nonpolar hydrophobic
246 coating on the GDL, so it is not surprising that the surface energy and especially the polar
247 component of the surface energy of the MPL-GDL is very low. Aluminum foil has a higher surface
248 energy but still has a lower surface polarity than the catalyst inks.

249

250 **Table 3.** Substrate optical tensiometry

	Aluminum Foil	MPL-GDL
Water contact angle (°)	76.3	146.8
Diiodomethane contact angle (°)	62.6	109.6
Surface energy (mJ·m⁻²)	34.28	6.44
Polar component (mJ·m⁻²)	7.20	0.84
Dispersive component (mJ·m⁻²)	27.08	5.61
Surface polarity (%)	21.01	12.97

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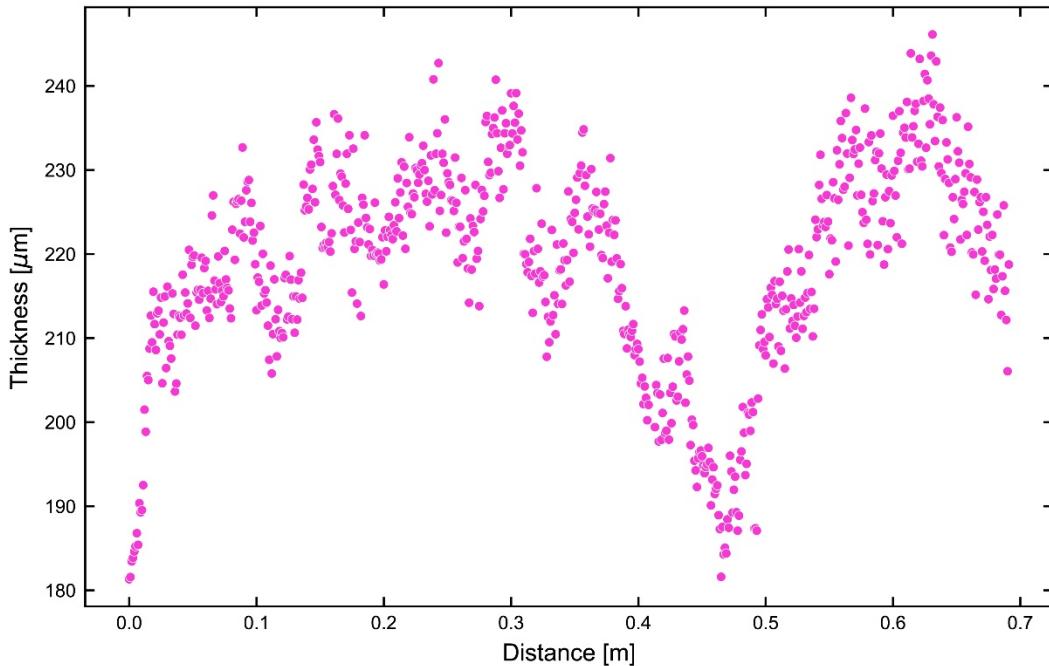
252 Static contact angles measured for each ink on the two substrates (**Table 2**) show that the
253 wettability of aluminum foil is much higher than the low-surface-energy MPL-GDL. In fact, inks
254 are considered non-wetting on the MPL-GDL because the contact angle is $>90^\circ$. This behavior is
255 expected because of the higher surface energy of the aluminum foil that makes wetting more
256 thermodynamically favorable. Aluminum foil was used as the primary substrate for model
257 validation experiments to eliminate any effects of the non-wetting ink. The MPL-GDL was also
258 coated to create a full GDE and validate the models on a more practical substrate for PEMFCs.

259

260 **3.1.3 Substrate Thickness Characterization**

261 The MPL-GDL used in this study is a popular porous support for catalyst layers in fabricating
262 GDEs. The manufacturer provides the thickness of the MPL-GDL, 230 or 200 μm , at 0.025 or 1

263 MPa, respectively. However, the specifications do not indicate the magnitude of the variation in
264 thickness. The variation in thickness measured by a laser caliper over a 1.6 m piece of the MPL-
265 GDL is more than 60 μm (**Figure 2**), which is equal to the wet thickness of the catalyst layer
266 deposited from the dilute ink and twice that of the concentrated ink. For comparison, the variation
267 in thickness of the aluminum foil and the backing roll runout are both 1 μm . The gap, H_0 , between
268 the die and the substrate in roll-to-roll slot die coating is generally set between 1.5 and 2 times the
269 desired wet thickness. With a variation in substrate thickness the same order of magnitude as the
270 wet thickness and the gap, the die could crash into the substrate at thick regions of the substrate.
271 Additionally, the coating window is very sensitive to the size of the gap. If the gap is too small,
272 then the ink can wet outside shoulder of the downstream die lip, leading to coating instabilities.^{26,34}
273 Aluminum foil with a thickness of $16 \pm 1 \mu\text{m}$ (manufacturer-provided specifications) was used to
274 verify the coating window predictions on a more uniform substrate before determining whether
275 the predictions were still valid on the challenging MPL-GDL substrate.



276

Figure 2. Thickness profile of the MPL-GDL along the coating direction as measured in one measurement by a laser caliper. The linearity of the instrument is $\pm 1.2 \mu\text{m}$.

277 **3.1.4 Catalyst Ink Rheology**

278 Ink rheology plays a key role in the vacuum operability limits of slot die coating.³⁵ It is necessary
 279 to characterize the full shear rate dependence of viscosity to properly account for the range of shear
 280 rates observed with the FEM of the coating process (100 – 1500 /s in this study). Concentrated
 281 Pt/HSC inks are particularly susceptible to particle agglomeration or ionomer relaxation which
 282 may result in large viscosity variations. Thus, the conditions selected for rheology measurements
 283 are of upmost importance. We aim to measure the rheology of the ink under conditions most
 284 similar to those during coating. The shear-mixed ink is stirred by magnetic bar overnight to remove
 285 foam before coating, so rheology is measured after bar mixing. The measurement technique can
 286 also impact the viscosity/shear-rate profile. For example, when the same concentrated ink is
 287 redispersed with different protocols (vortex mixing vs. bottle inversion), measured with or without

288 a solvent trap, measured with different pre-shear conditions (0.8 /s vs. 500 /s), and measured with
 289 different rheometer geometries (cone and plate versus cup and bob), the shear viscosity
 290 measurements differ by a factor of ~3 (Viscosity 1 vs. Viscosity 2, Table 4). The shear viscosity
 291 profile of the dilute ink does not vary significantly with these choices in preparation and
 292 measurement technique. **Figure 3a** shows the comparison of the shear viscosity profiles of the two
 293 measurement techniques on the concentrated ink with that of the dilute ink.

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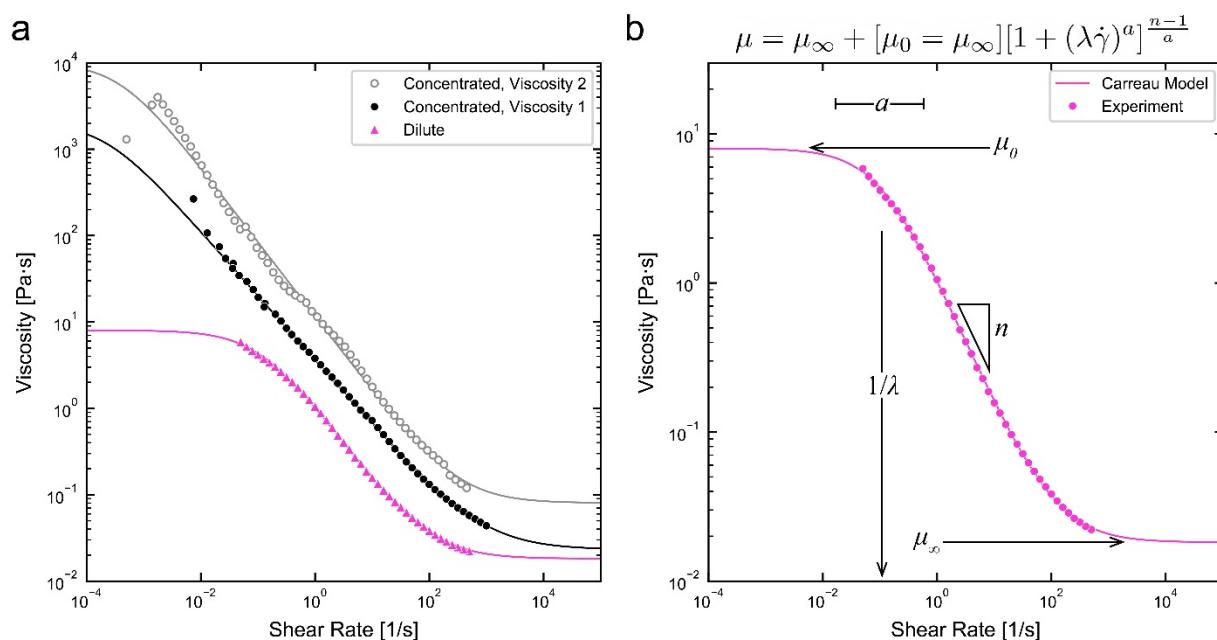


Figure 3. Shear viscosity profiles for the two catalyst inks, concentrated and dilute, used in this study. a) Comparison of the measured viscosity (dots) and Carreau-Yasuda rheology model fits (lines) for the dilute and concentrated inks. The viscosity of the concentrated ink depends on the measurement conditions (Viscosity 1, Viscosity 2). The dilute ink and concentrated ink, Viscosity 1 are the average of a forward and reverse scan. The concentrated ink, Viscosity 2 is a single forward measurement. b) Illustration of the significance of each of the Carreau-Yasuda rheology model parameters using the dilute ink data.

295

296 Increasing the solids loading from 5 wt.% to 12 wt.% for these PEMFC cathode inks increases the
 297 viscosity by approximately an order of magnitude. The shear thinning behavior of both inks can
 298 be explained by the flow-induced breakup of agglomerates of Pt/HSC catalyst particles.³⁶ The

299 shear viscosity profile of each ink is fitted with the Carreau-Yasuda viscosity model (**Figure 3a**,
 300 **Equation 1**). The Carreau-Yasuda model and the fitting parameters are used in both the
 301 viscocapillary and finite element computational models to determine the operability window. The
 302 Carreau-Yasuda model, which can describe shear thinning or shear thickening fluids, is

$$303 \quad \mu = \mu_\infty + [\mu_0 - \mu_\infty] [1 + (\lambda \dot{\gamma})^a]^{\frac{n-1}{a}} \quad (1)$$

304 where μ_0 and μ_∞ represent the viscosity at zero and infinite shear rate, λ is the relaxation time,
 305 and a is a transition parameter measuring the range of shear rates over which viscosity transitions
 306 from Newtonian at the low shear rate limit to the power law. These parameters are illustrated in a
 307 shear viscosity plot of dilute ink in **Figure 3b**. **Table 4** gives the Carreau-Yasuda rheology model
 308 fitting parameters for each ink. The zero-shear plateau viscosity, μ_0 , for the concentrated inks is
 309 not clear, so multiple values for μ_0 will be considered in a sensitivity analysis for the operability
 310 window.

311

312 **Table 4.** Carreau-Yasuda rheological model fitting parameters.

Measurement Techniques	Dilute Ink	Concentrated Ink, Viscosity 1	Concentrated Ink, Viscosity 2
Ink redispersion method		Vortex mixing	Bottle inversion
Pre-shear rate (1/s)	500	0.8	
Solvent trap?	Yes	No	
Rheometer geometry		Cone and plate	Cup and bob
Rheological Fitting Parameter			
Zero shear viscosity, μ_0 [Pa·s]	8	2×10^3	1×10^4
Infinite shear viscosity, μ_∞ [Pa·s]	1.82×10^{-2}	2.32×10^{-2}	8.03×10^{-2}
Power law index, n	0.117	0.254	0.136
Relaxation time, λ [s]	9.29	4.77×10^3	2.52×10^3
Transition parameter, a [mN·m ⁻¹]	0.945	1	1

313

314 **3.2 Mathematical Coating Window Models**

315

316 For each coating liquid, target wet film thickness, and slot-die-web gap, defect-free coatings may
317 be made within a limited window in the two-dimensional parameter space of upstream vacuum
318 pressure and coating line speed. As a first approximation, this window can be bound by the so-
319 called vacuum operability limits. Too high a vacuum pressure pulls the upstream coating bead too
320 far out from under the die lips, while too low a vacuum pressure can result in the upstream coating
321 bead being sucked in under the feed slot as illustrated in **Figure 4a**. Vacuum operability limits are
322 defined as conditions where the upstream meniscus sits exactly at the extreme corners of the
323 upstream die lip in steady operation. A diagram of a typical vacuum vs. line speed coating window
324 is shown in **Figure 4a**. The high and low vacuum limits are predicted by the modeled position of
325 the upstream meniscus. In this study, we compare two models that predict the high and low vacuum
326 limits.

327

328 Vacuum operability limits are not true stability limits as they do not predict specific instabilities
329 or defects, but some typical defects can be attributed to operating outside these limits (**Figure 4b**).
330 Ribbing is a cross-web thickness undulation resulting from viscous forces being larger than can be
331 counteracted by the downstream meniscus' capillary forces, 2) rivulets are alternating coated and
332 uncoated stripes in the cross-web direction, and 3) barring is variation of coating thickness in the
333 down-web direction.^{21,34,35,37} Below the low vacuum limit (too little vacuum), the upstream
334 meniscus can recede under and invade the feed slot at high enough speeds, resulting in rivulets.³⁷
335 Above the high vacuum limit (too much vacuum) the upstream meniscus will be pulled out past
336 the upstream lip corner, possibly resulting in swelling and weeping of the upstream meniscus and

337 ribbing and rivulet coating defects. The region of the coating parameter space between the
338 minimum and maximum vacuum levels is the vacuum operability window, or coating window.
339 Respecting the vacuum limits does not ensure defect-free coating at the coating head; for example,
340 the so-called low flow limit will set another operability limit on the speed (rather than the vacuum)
341 in the window of vacuum pressure vs line speed.³⁸ Moreover, avoiding defects at the coating head

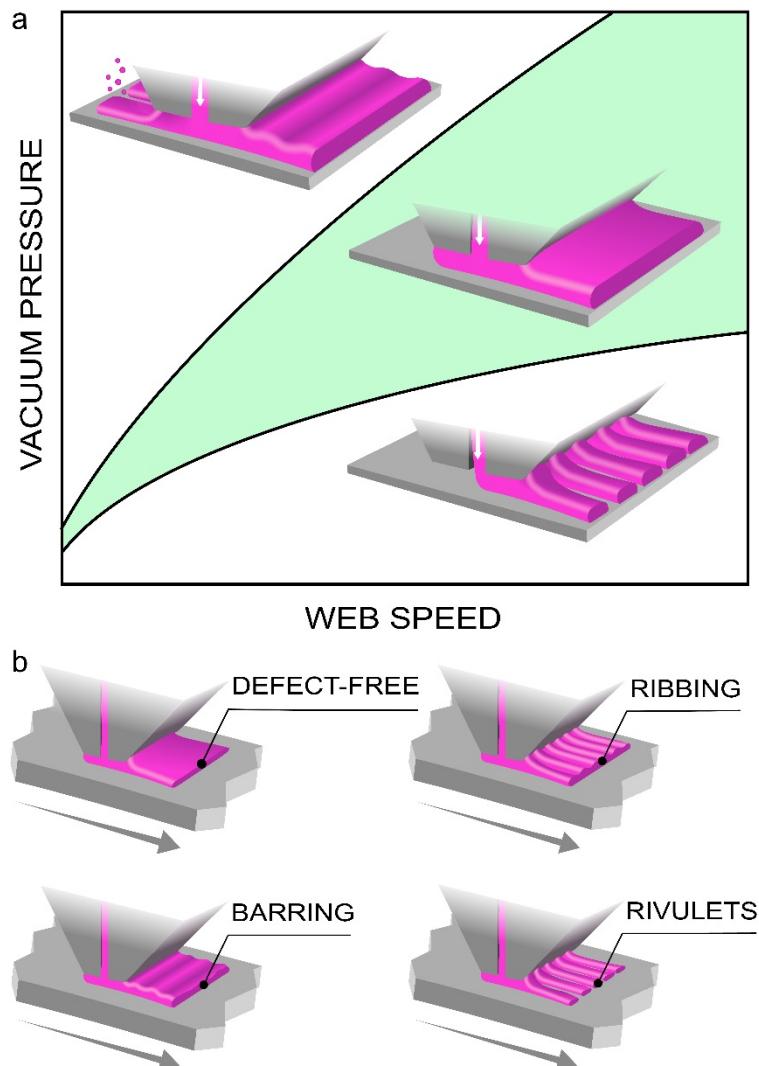


Figure 4. Graphics of a typical coating window and possible defects when operating outside the coating window as originally proposed by Sartor.³³ a) Typical defect-free operating window of line speed and vacuum pressures indicated in green. The changes to the coating bead if the vacuum level is too low or too high are illustrated in the graphics below and above the coating window region, respectively. b) Defect-free coating produced inside the operability window and coating defects that occur when operating outside the window.

342 does not preclude defects in the finished film product due to defects formed in drying and
343 solidification.

344

345 In this study of PEMFC catalyst inks, we set the die-substrate gap, H_0 , constant at 150 μm . Because
346 we keep the Pt areal loading constant ($0.1 \text{ mg}_{\text{Pt}} \cdot \text{cm}^{-2}$) in the final dried film, the wet thickness, t_{wet} ,
347 is different for each ink concentration. The wet thickness is set at 60 μm for the dilute ink and 30
348 μm for the concentrated ink. Because both the shear viscosity profile and wet thicknesses are
349 different, an experimentally determined coating window for one ink is not expected to translate to
350 the other. Moreover, it is not known *a priori* what the coating window should be for either ink.
351 Therefore, we require some modeling of the coating bead to predict the vacuum operability limits.

352

353 *3.2.1 Goma Finite Element Model*

354 A modeling approach of using FEM to solve for equations governing slot coating flow has been
355 presented by others.^{34,38,39} Here we present a summary for completion. Slot-die coating flow is
356 governed by a system of partial differential equations describing conservation of mass and
357 momentum:

358
$$\nabla \cdot \underline{\boldsymbol{v}} = 0 \quad (2a)$$

359
$$\rho \underline{\boldsymbol{v}} \cdot \nabla \underline{\boldsymbol{v}} = \nabla \nabla \cdot \underline{\boldsymbol{T}} \quad (2b)$$

360 where $\underline{\boldsymbol{v}}$ is the velocity field, ρ is the liquid density, and $\underline{\boldsymbol{T}}$ is the total stress tensor, which for a
361 generalized Newtonian liquid is $\underline{\boldsymbol{T}} = -\underline{\boldsymbol{I}}p + \mu[\nabla \underline{\boldsymbol{v}} + \nabla \underline{\boldsymbol{v}}^T]$. $\underline{\boldsymbol{I}}$ is the identity tensor, p is pressure,
362 and μ is viscosity. The dependence of μ on shear rate is given by the Carreau-Yasuda model,

363 **Equation 1.** The differential equations are subject to boundary conditions as follows:

364 1. At the inflow plane, ideally we impose a fully developed velocity profile, i.e. $\mathbf{v}_y = f(x)$.

365 However, the analytical form of the profile is not available for Carreau-Yasuda liquids.

366 Instead, we impose a plug flow profile, i.e. $v_y = Q_f / W_{\text{slot}}$, in the expectation that it would
367 evolve into its fully developed profile further downstream. W_{slot} is the feed slot opening
368 width and Q_f is the volumetric flow rate per unit width, which can be obtained by
369 multiplying web speed U and coating thickness t : $Q_f = Ut$. Here, we place the inflow
370 plane 1 mm (~ 10 slot widths) away from the slot exit which is more than adequate to ensure
371 fully developed flow prior to reaching the exit.

372 2. At the slot-die surface, the no slip velocity applies: $\underline{\mathbf{v}} = \underline{\mathbf{0}}$.

373 3. At the substrate surface, the no slip also applies: $\underline{\mathbf{v}} = \underline{\mathbf{t}}_w U$, except at the vicinity of the
374 Dynamic Contact Line (DCL) where slip occurs: $\underline{\mathbf{t}}_w \underline{\mathbf{n}}_w \cdot \underline{\mathbf{T}} = 1/\beta (\underline{\mathbf{t}}_w \cdot \underline{\mathbf{v}} - U)$ is the tangent
375 vector at the web, $\underline{\mathbf{n}}_w$ is the outward-pointing normal vector from the web at the liquid
376 boundary, and β is the slip coefficient, in which its sensitivity to the predicted solution is
377 presented later.

378 4. At the downstream meniscus, liquid traction is balanced with capillary pressure jump: $\underline{\mathbf{n}} \cdot \underline{\mathbf{T}} = 2H\sigma \underline{\mathbf{n}} - P_0$. $2H = 1/R_c$ is the curvature of the meniscus, $\underline{\mathbf{n}}$ is normal to the free
379 surface, σ is surface tension, and P_0 is the external pressure which is taken to be
380 atmospheric pressure.

382 5. At the upstream meniscus, the traction balance also applies but with the external pressure
383 equal to applied vacuum pressure instead: $\underline{\mathbf{n}} \cdot \underline{\mathbf{T}} = 2H\sigma \underline{\mathbf{n}} - P_V \underline{\mathbf{n}}$.

384 6. At the outflow plane, the film is fully developed such that its velocity gradient is invariant
385 in the outflow direction: $\underline{\mathbf{n}} \cdot \nabla \underline{\mathbf{v}} = \underline{\mathbf{0}}$. The plane needs to be placed far enough downstream

386 to allow for the film flow to be fully developed. In this case, we place it 5 mm (~ 10 die-
387 web gaps) away from the edge of downstream die lip and find it to be more than adequate.

388

389 The partial differential equations and the boundary conditions are solved with Galerkin FEM using
390 Goma 6.0.³² Complications arise from liquid-air menisci because their shapes are not known a
391 priori and need to be solved as part of the equation system. Liquid-air menisci are handled by using
392 the Arbitrary Lagrangian Eulerian method. This method deforms the finite element mesh to
393 conform to the flow boundaries, including the menisci. The mesh deformation is treated as a
394 pseudo-solid, which requires solving additional partial differential equation system as presented
395 by Sackinger et al.⁴⁰ These additional equations are subject to another set of boundary conditions
396 pertaining to flow geometry:

- 397 1. At the slot-die and substrate surfaces, the mesh location can be prescribed, i.e. $f(x,y) = 0$.
- 398 2. At the upstream and downstream menisci, the kinematic condition applies: $\underline{n} \cdot \underline{v} = 0$.
- 399 3. At the termination of the upstream meniscus, the positions of the upstream Static Contact
400 Line (SCL_{up}) and DCL are not set directly, but rather the static contact angle (SCA) (θ_{SCA})
401 and dynamic contact angle (θ_{DCA}) respectively are prescribed. Unlike the value of θ_{SCA} ,
402 which is a function of the liquid and solid properties alone (determined by contact angle
403 measurement), the value of θ_{DCA} depends on the flow condition as well. Therefore, its
404 sensitivity on the predicted solution is presented later.
- 405 4. At the termination of the downstream meniscus, the downstream Static Contact Line
406 (SCL_{down}) is assumed to be pinned at the die corner; therefore, its location is fixed.

407

408 As stated in Section 3.2, vacuum limits are determined based on the location of the upstream
 409 meniscus. We solve for the values of applied vacuum pressure, p_{vac} , at those limits by adding
 410 another equation or constraint in the system. For high vacuum limits, we set the SCL_{up} position
 411 $x_{\text{SCL}} = 0$, the upstream limit of the upstream die lip (**Figure 1**). For low vacuum limits, we set the
 412 DCL position $x_{\text{DCL}} = L_u$, the downstream limit of the upstream die lip (**Figure 1**). **Figure S2**
 413 (**Supporting Information**) shows flow fields at high and low vacuum limits.

414

415 **Figure 5a** shows the Goma prediction of the vacuum limits for slot die coating the dilute ink. As
 416 the measured shear viscosity profile of the concentrated ink varies with rheology preparation
 417 technique, a coating window was predicted for both viscosity profiles measured on the same ink.

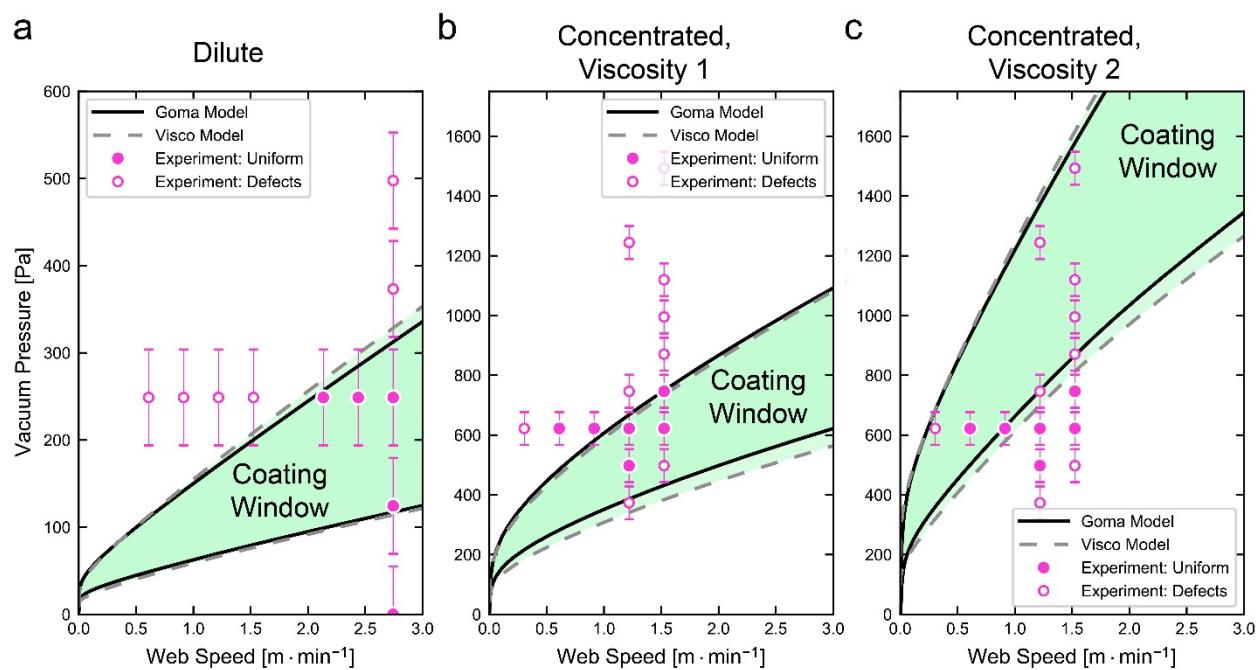


Figure 5. Predicted and experimental defect-free slot die vacuum-web speed operability windows for the catalyst inks coated on aluminum foil with a die-web gap of 150 μm . Goma predictions are given by solid lines. Viscocapillary predictions are given by dashed lines. Closed circles indicate an experimentally defect-free coating for 0.5 m or more. Open circles indicate an experimentally defected coating for 0.5 m or more. Experimental error bars indicate the uncertainty in the vacuum gauge. a) Coating window for dilute ink with a wet thickness of 60 μm . b) Coating window for concentrated ink, Viscosity 1 with a wet thickness of 30 μm . c) Coating window for concentrated ink, Viscosity 2 with a wet thickness of 30 μm .

418 The model is sensitive to the ink rheology, so the predicted operability windows differ significantly
419 (**Figures 5b and 5c**).

420
421 Sources of uncertainties in this model are wall-slip parameters, contact angles at SCL_{up} and DCL ,
422 as well as rheological fitting parameters. The sensitivities of slip coefficients and dynamic contact
423 angle on the predicted vacuum limits are shown in **Figure 6, S3, and S4 (S3 and S4 in Supporting**
424 **Information)** for fixed operating conditions. Changes of slip coefficient β within an order of
425 magnitude from its base value of $10^{-5} \text{ m}^3 \cdot \text{N}^{-1} \cdot \text{s}^{-1}$ lead to variation in vacuum limits of less than 10
426 Pa for the dilute ink, up to 100 Pa for the concentrated ink with Viscosity 1, or as much as 400 Pa
427 for the concentrated ink with Viscosity 2 at a web speed of $0.91 \text{ m} \cdot \text{min}^{-1}$ ($3 \text{ ft} \cdot \text{min}^{-1}$). Thus,
428 increased viscosity results in higher sensitivity to slip coefficient. The vacuum window is invariant
429 with slip coefficient for small values of the slip coefficient ($\sim 10^{-6}$). However, the vacuum window

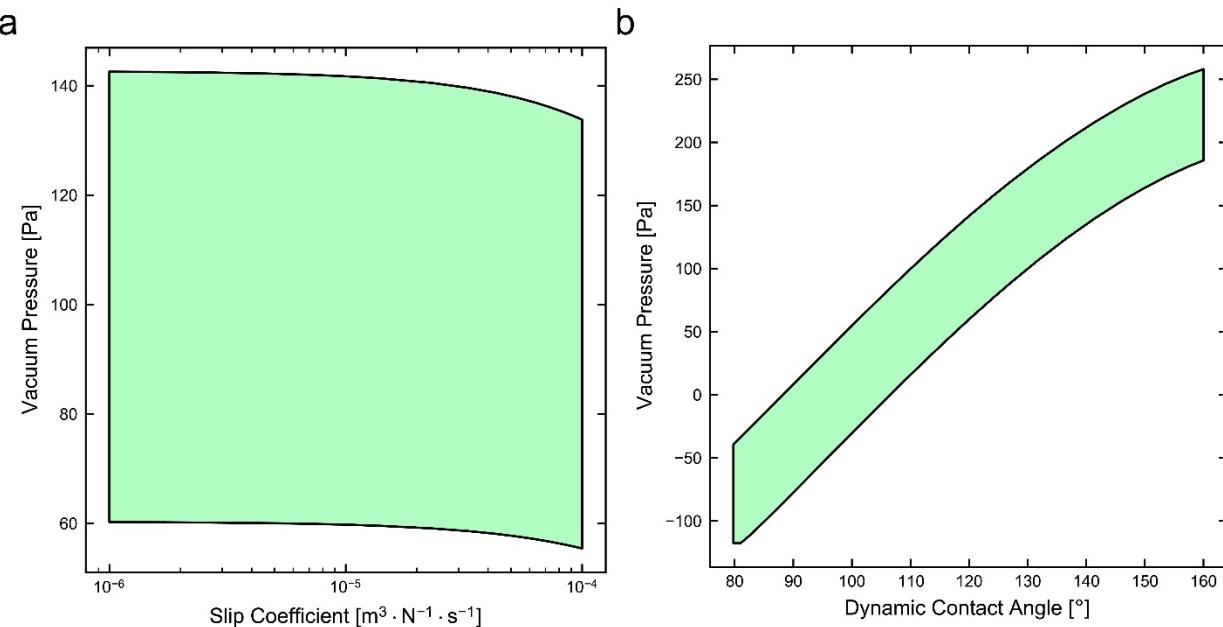


Figure 6. Sensitivity analysis of the a) slip coefficient, β , and b) dynamic contact angle (DCA) on the vacuum limits predicted for the dilute ink coating window calculated at a wet film thickness of $60 \mu\text{m}$, a die-web gap of $150 \mu\text{m}$, and a web speed of $0.91 \text{ m} \cdot \text{min}^{-1}$ ($3 \text{ ft} \cdot \text{min}^{-1}$) using the Goma FEM.

430 is very sensitive to values for large values of the slip coefficient ($\sim 10-4$). Thus, we choose the fixed
431 value of slip coefficient at the transition between the regions of insensitivity and high sensitivity.
432 Likewise, at the same coating speed, changes of dynamic contact angle within 40° from its base
433 value of 120° leads to variation of the limit value of as much as 300 Pa. Additional factors not
434 accounted for in the model are three-dimensional flow effects (flow of the ink that is not parallel
435 to the web direction at the edges of the coating during steady uniform operation) and other non-
436 Newtonian rheology behavior beyond shear-rate dependences of viscosity. The comparison of the
437 modeled coating window with the experimental coating window indicates that these limitations
438 are not significant for the conditions in this study.

439

440 3.2.2 *Viscocapillary Model*

441 The two-dimensional (2D) calculations by Goma described above incur moderate computational
442 cost at roughly one day of computing on a single workstation per condition (each condition
443 requiring computation of two vacuum limits for a series of web speeds). A significantly cheaper
444 estimate of the vacuum limits can be obtained from the so-called viscocapillary lubrication model,
445 wherein the coating bead flow is approximated to be unidirectional and the pressure a function
446 solely of the coating direction (x-direction in **Figure 1b**). A modestly equipped personal laptop
447 can compute the vacuum limits over a range of 50 web speeds in roughly one minute on the Matlab
448 platform using the built-in ordinary differential equation (ODE) solver routine bvp5c as the
449 workhorse solver.

450 The viscocapillary lubrication model for slot coater operability is described for Newtonian liquids
451 by Higgins & Scriven⁴¹ and for Carreau liquids by Koh et al.⁴² We take generally the methodology
452 of Koh et al. but with a different numerical method, the slightly more generalized Carreau-Yasuda

453 model (generalized from the Carreau model), and a modification of the viscosity used in the
454 capillary number.

455

456 The vacuum pressure according to the viscoplastic model is determined by constructing a
457 lubrication pressure chain from the upstream meniscus to the downstream meniscus. (Parameters
458 used in this model are illustrated in the graphic in **Figure 1b.**) For the simplified case of parallel
459 die-web gaps applicable to our process, the pressure difference between the ambient pressure P_0
460 and the pressure P_V in the upstream vacuum box is

$$P_0 - P_V = 1.34 \left(\frac{\mu U}{\sigma} \right)^{2/3} \frac{\sigma}{t} + F_D(Q_f, U, H_0) L_D + F_U(Q_f, U, H_0) X_U + \frac{\sigma}{H_0} [\cos(\theta_U) + \cos(\theta_w)] \quad (3)$$

461 where typically the capillary number, $\frac{\mu U}{\sigma}$, is defined using the viscosity evaluated at the
462 characteristic shear rate set by the web speed and downstream die-web gap, $\dot{\gamma} = U/H_0$.
463 However, by comparison to 2D Goma results that do not need to make such an approximation, we
464 find a better correspondence between the calculations when defining the capillary number with the
465 gap H_0 replaced by the wet film thickness t . Further investigation is needed to determine the reason
466 for this improvement.

$$\mu(\dot{\gamma}) = \mu\left(\frac{U}{t}\right) \quad (4)$$

467

468 The terms from left to right are

469 1. the Landau-Levich pressure drop across the downstream meniscus with viscosity evaluated
470 from the generalized Newtonian model at the characteristic shear rate of the web speed
471 divided by the wet film thickness. The wet film thickness is t and the surface tension of the
472 liquid is σ .

473 2. the lubrication pressure drop across the (fully wetted) downstream lip of length L_D ,
 474 3. the lubrication pressure drop across the upstream coating bead of length X_U ,
 475 4. and the Laplace pressure drop across the upstream meniscus assuming a constant meniscus
 476 curvature (arc of circle) described by its contact angle with the web θ_w and the upstream
 477 die lip θ_U . The angles are chosen so that this term cancels out for simplicity.

478 The constant unknown pressure gradients F_D and F_U depend on the liquid rheology, the (constant,
 479 by the continuity **Equation 2a)** flow rate Q_f , the web speed U , and the die-web gap H_0 . The flow
 480 rate and web speed are related to the target wet film thickness t via $Q_f = Ut$.

481

482 In the lubrication approximation, the momentum equation (**Equation 2b**) reduces to

$$0 = -F + \frac{d}{dx} \left(\mu(\gamma) \frac{du}{dx} \right) \quad (5)$$

483 where the domain variable spans from the web at $x = 0$ to the die lip at $x = H$. The variable $u(x)$
 484 is the flow-wise velocity profile in the slot, $\dot{\gamma}(x)$ is the shear rate profile, and F is the pressure
 485 gradient in the flow direction. The continuity statement is that the flow rate (integral of the velocity
 486 profile from wall to wall, Q_f) is constant.

487

488 A convenient (fully explicit) form of the lubrication equation upon application of the chain rule
 489 and some manipulations is

$$\begin{aligned} \frac{dQ}{dx} &= u \\ \frac{du}{dx} &= \dot{\gamma} \\ \frac{d\dot{\gamma}}{dx} &= \frac{F}{\mu + \dot{\gamma} \frac{d\mu}{d\dot{\gamma}}} \end{aligned} \quad (6)$$

490 where $Q(x)$ is the cumulative flow rate (per unit trivial depth) such that the total flow is the
 491 difference of its boundary values, i.e., $Q_f = Q(H) - Q(0)$. Any generalized Newtonian viscosity
 492 model can be used as long as it is at least twice differentiable with respect to shear rate (the second
 493 derivative should be smooth if the boundary value problem (BVP) solver makes use of the
 494 sensitivities, which is typical).

495

496 The system of Eqs. (6) requires 4 boundary conditions, 3 for the ODEs and 1 extra for the unknown
 497 pressure gradient F . On the downstream gap with H_0

$$\begin{aligned} Q(0) &= 0 \\ u(0) &= U \\ u(H_0) &= 0 \\ Q(H_0) &= Q_f = Ut \end{aligned} \tag{7}$$

498 The first and last condition specify that the total flowrate (per unit depth) Q is that required to
 499 produce a wet film thickness t at web speed U , and the middle two conditions are simply the no-
 500 slip condition at the web and die lip. On the upstream gap, the total flow rate must be zero at steady
 501 state (upstream bead does not grow or shrink), such that

$$\begin{aligned} Q(0) &= 0 \\ u(0) &= U \\ u(H_U) &= 0 \\ Q(H_U) &= 0 \end{aligned} \tag{8}$$

502 Thus, to compute the vacuum pressure requires solving twice the set of Eqs. (6) for unknown F
 503 and asserted Q_f , once subject to boundary conditions Eqs. (7) for the downstream to give F_D , and
 504 again subject to boundary conditions Eqs. (8) for the upstream to give F_U . These BVPs with
 505 unknown parameter can be solved numerically using the `bvp5c` algorithm⁴³ as implemented in
 506 Matlab, where it is necessary to express all the derivatives explicitly (unlike the result of applying
 507 the product rule on Eq. (5), which would be implicit in the shear rate derivative). Although we

508 have presented the equations in dimensional form, we actually pose and solve the dimensionless
509 equations, which are more convenient for programming and computation.

510

511 The viscocapillary model is expected to approximate the full 2D results well in the following
512 limits:

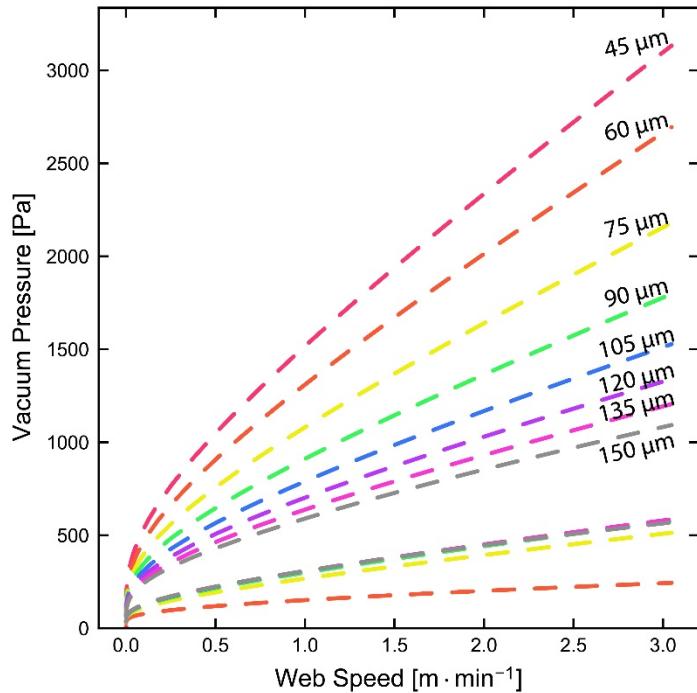
513 1. Lubrication pressure drops across the coating beads under the die lips are better for
514 infinitely narrow die-web gaps (large ratios of die lip land to die-web gap)

515 2. The Landau-Levich approximation is better for infinitesimally low capillary number

516 3. The upstream pressure drop approximation is better as the upstream meniscus takes a
517 circular shape

518

519 The viscocapillary model operability window predictions for the dilute ink and the two viscosity
520 profiles for the concentrated ink are shown in **Figure 5**. The viscocapillary predictions are closer
521 to the Goma predictions for the dilute ink than for the concentrated inks, but in both cases the
522 predictions deviate by no more than 100 Pa within the range of relevant line speeds, which is
523 within the estimated uncertainty of the vacuum gauge. Thus, the viscocapillary model is a
524 significantly cheaper ($\sim 1000 \times$ faster on a business laptop vs Goma on a computer workstation)
525 alternative to Goma FEM for PEMFC cathode ink operability window predictions within the
526 experimental uncertainty of vacuum pressure measurements. Due to the computational cheapness
527 of the viscocapillary model, we can quickly run a series of predictions showing how the coating
528 window varies with die-web gap, H_0 . As the gap increases from 1.5 to 5 times the coating
529 thickness, the coating window strictly narrows; the maximum pressure decreases while the
530 minimum pressure increases (**Figures 7, S5, and S6 (S5 and S6 in Supporting Information)**).



531

Figure 7. Viscocapillary model of the coating operability window for the concentrated ink, Viscosity 1 with a constant wet film thickness of 30 μm and die-web gaps ranging from 45 to 150 μm

532

533 As previously mentioned, μ_0 , the viscosity plateau as the shear rate approaches 0, is unclear for
 534 the concentrated inks. Roughly speaking, we expect the low-shear-rate viscosity behavior
 535 differences to manifest at low coating speeds where the web speed divided by gap correspond to
 536 the shear rates of interest. Conservatively, the uncertainty in shear-viscosity is in the region below
 537 roughly 0.01 s^{-1} , which for 150 μm gap translates to a web speed of $10^{-4} \text{ m} \cdot \text{min}^{-1}$, which is well
 538 below speeds probed either in experiment or calculations, suggesting that predictions in the range
 539 of study should be insensitive to the μ_0 parameter. A sensitivity analysis (**Figures S7 and S8 in**
 540 **Supporting Information**) of the changes in operability window as a function of μ_0 confirms that
 541 the the operability window is insensitive to the choice of μ_0 , even two orders of magnitude larger
 542 than the values in **Table 4**.

543 **3.3 Roll-to-Roll Slot Die Coating of Catalyst Layers**

544 To assess the validity of the coating windows predicted by the Goma and Viscocapillary models,
545 we experimentally surveyed a range of vacuum levels and web speeds while R2R slot-die coating
546 the dilute and concentrated inks. When coating the non-uniform MPL-GDL, a minimum coating
547 gap, H_0 , was set at 60 μm at the thickest position along the the MPL-GDL. Due to the variation in
548 MPL-GDL thickness, the actual gap during the coating trial varied from 60 μm to \sim 150 μm .
549 However, according to the model of how the coating window varies with H_0 (**Figures 7, S5, and**
550 **S6 (S5 and S6 in Supporting Information)**), the coating parameters in the window for $H_0 = 150$
551 μm match closely with the windows for smaller gaps. Thus, we target the vacuum and line speed
552 parameters in the narrow 150 μm gap coating window and expect that those parameters will work
553 for the range of gaps during the MPL-GDL coating experiment. To validate the predicted coating
554 window for $H_0 = 150 \mu\text{m}$, we experimentally determine the array of parameters that give a defect-
555 free coating on aluminum foil, which has a much smaller thickness variation than the MPL-GDL.

556

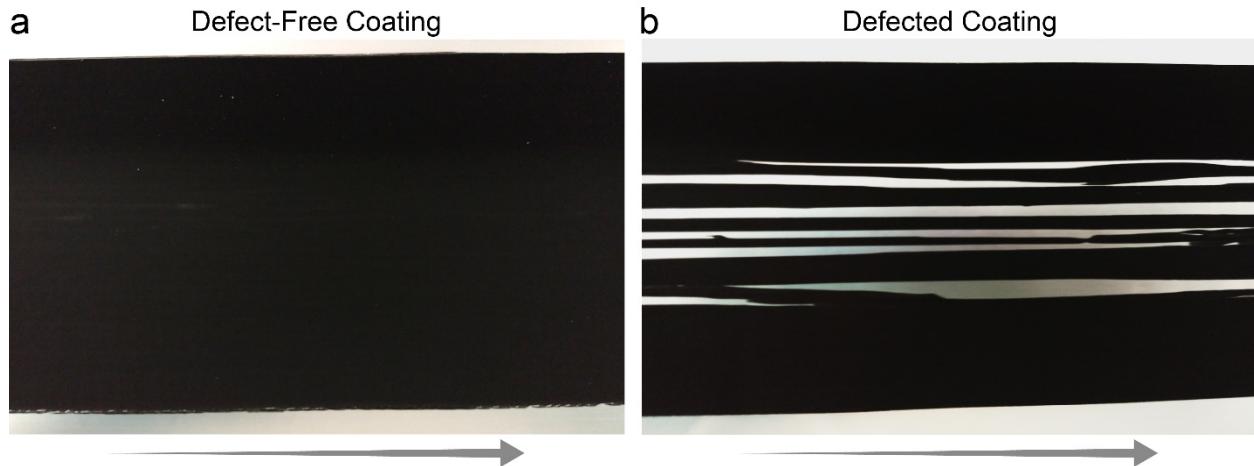


Figure 8. Optical images of a) defect-free and b) defected coatings of the concentrated ink on aluminum foil. The web direction is noted by the arrow beneath the pictures.

557 Due to the expense of the Pt-containing ink, only a horizontal (web speed) and vertical (vacuum
 558 pressure) line cut was tested out of the available coating space rather than a full array of points
 559 across the entire available coating space. To test the models without the added thickness variations
 560 and wetting uncertainties in the MPL-GDL, aluminum foil was used for most of the coating trials.
 561 A coating is considered “defect-free” if there are no obvious repeating macroscopic voids in the
 562 coating (**Figure 8a**). Coatings that showed obvious lines or dots were deemed “defected” (**Figure**
 563 **8b**). After investigating the vacuum and line speed parameter space using the aluminum foil, a test
 564 coating near the center of the experimentally determined coating window was generated on the
 565 MPL-GDL to ensure that the coating parameters of the aluminum foil were valid on the PEMFC-
 566 relevant MPL-GDL.

567 **Figure 5a** shows the experimental coating results for the dilute ink on aluminum foil overlayed on
 568 the coating windows predicted by the two models. All points experimentally surveyed that lie
 569 within the theoretical coating window boundaries resulted in defect-free films while most points
 570 outside the the theoretical coating window boundaries produced defected coatings. The exception
 571 is the point at $2.7 \text{ m}\cdot\text{min}^{-1}$ and zero vacuum that lies below the theoretical coating window but

572 results in a defect-free coating. Therefore, we conclude that the actual high vacuum coating
573 window boundary is not significantly different from the predicted boundary, but the models seem
574 to overpredict the low vacuum limits for the dilute ink. Additionally, the same 60 μm wet thickness
575 of the dilute ink gave a defect-free coating at 0-125 Pa vacuum from 0.6-2.7 m/min. web speed on
576 the MPL-GDL. Thus, the predicted coating window also captures a region of defect-free coating
577 for GDE production on the challenging hydrophobic and variable-thickness MPL-GDL substrate
578 for the dilute ink.

579

580 For the concentrated ink, the agreement between experiment and the models worsens but still
581 closely match in the case of the predictions based on Viscosity 1 (**Figures 5b and 5c**). Thus, the
582 Viscosity 1 profile was selected as the best approximation for the concentrated ink in terms of ink
583 preparation. Uncertainties in the model were discussed previously. The two most significant
584 experimental uncertainties are the precision of the vacuum gauge and the rheological
585 measurements. The pressure on the upstream vacuum gauge can only be read to the nearest 120
586 Pa (0.5 in. H_2O), represented by the error bars in **Figure 5**. Rheological uncertainties are discussed
587 in section 3.1.4. The measured ink rheology can have a significant effect on the predicted
588 operability window, especially for higher viscosity inks. There are also small uncertainties in the
589 die-web gap set by feeler gauge. Neither the rheological nor the die-web gap uncertainties are
590 included in the error bars in **Figure 5**.

591

592 Unlike the dilute ink, when we switched the substrate from aluminum foil to MPL-GDL the same
593 set of coating parameters did not yield a defect-free coating on the MPL-GDL. In fact, we could
594 not establish a defect-free coating at any combination of vacuum and line speed with the minimum

595 gap set to 60 μm . At these large gaps, the coating seeped into the vacuum box rather than being
596 applied to the substrate surface. Only when decreasing the actual gap (measured at the coating
597 bead location) to 45 μm was there a defect-free coating achieved. It is likely that the combination
598 of the high viscosity of the concentrated ink, the small wet thickness, t_{wet} of 30 μm , and the non-
599 wetting of the ink on the substrate restricts the die-web gap to smaller values. Though the
600 achievable 45 μm gap is smaller than the 150 μm target gap size, we still managed to keep the gap
601 at 1.5X the wet coating thickness. When coating thin films of inks with challenging rheology and
602 rapid solvent evaporation on a non-wetting substrate with variable thickness, the coating line may
603 need to be equipped with automatic adjustment of the gap while coating to compensate for the
604 deviation in substrate thickness along the coating direction.

605

606 **4 Conclusions**

607 The vacuum/web-speed slot-die coating window was predicted successfully at two concentrations
608 of PEMFC cathode catalyst ink with a two-dimensional FEM and a simplified viscopillary
609 model. This is the first publication showing the application of slot die FEM models^{34,38,39} or
610 viscopillary models^{41,42} to PEFMC cathode coatings. The dilute ink has a lower viscosity and a
611 narrower coating window while the concentrated ink's higher viscosity raises the vacuum
612 pressures needed for the coating window. The concentrated ink's shear viscosity profile was
613 especially sensitive to the rheological technique used, resulting in large changes to the resulting
614 coating window predictions. Future studies are needed to determine the effect of each component
615 of a rheological method and preparation on the measured shear viscosity curve. A primary
616 challenge of the experimental validation of the model proved to be the hydrophobicity of and

617 significant variation in thickness of the MPL-GDL substrate. Thus, most coating experiments were
618 performed on aluminum foil to eliminate the substrate effects. We were able to coat the dilute ink
619 on the MPL-GDL using vacuum levels and web speeds in the predicted operability window
620 without any issues, but we needed to decrease the die-web gap in order to coat the concentrated
621 ink on the MPL-GDL. Future studies in modeling will incorporate gap height perturbation due to
622 GDL-MPL substrate thickness variation by analyzing the transient effects on the resulting wet film
623 thickness⁴⁴ as well as the upstream meniscus location. Though the concentrated ink has a difficult-
624 to-achieve set of vacuum pressures that give a defect-free coating, the models' predictions allow
625 slot die operators to easily find these parameters, reducing wasteful material consumption when
626 experimentally searching parameters that lead to successful coatings. Additionally, enabling the
627 slot die coating of concentrated electrocatalyst inks for PEMFCs decreases drying energy
628 consumption, oven space and size required to dry the films, and solvent usage in the inks as well
629 as reducing labor hours and increasing throughput through R2R manufacturing. All of these
630 benefits contribute to cheaper and more sustainable production of PEMFC GDEs.

631

632 **5 Acknowledgements**

633 We gratefully acknowledge Alexander Kukay for the optical profilometer measurements and Dr.
634 Christopher Rulison at Augustine Scientific for optical tensiometer measurements. This
635 manuscript has been authored in part by UT-Battelle, LLC, under Contract No. DE-AC05-
636 00OR22725 with the U.S. Department of Energy (DOE) and sponsored by the Office of Energy
637 Efficiency and Renewable Energy (EERE) Advanced Manufacturing Office (AMO) (Program
638 Managers: David Hardy and Brian Valentine). Sandia National Laboratories is a multi-mission

639 laboratory managed and operated by National Technology and Engineering Solutions of Sandia,
640 LLC., a wholly owned subsidiary of Honeywell International, Inc., for the U.S. Department of
641 Energy's National Nuclear Security Administration under contract DE-NA0003525. A portion of
642 this research was conducted at Saint-Gobain Research North America under contract DE-
643 EE0008323. The U.S. government retains and the publisher, by accepting the article for
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649

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