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A full-ring variable-aperture cadmium zinc telluride system for whole-body single photon emission computed tomography: realistic simulations with phantoms

Y. Huh, Y. Cui

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1 **A full-ring variable-aperture cadmium zinc telluride system for whole-body single**
2 **photon emission computed tomography: realistic simulations with phantoms**

3

4 Yoonsuk Huh^{1*}, Javier Caravaca^{1*}, Jaehyuk Kim², Yonggang Cui³, Qiu Huang⁴, Grant
5 Gullberg^{1,5}, and Youngho Seo^{1,5,6,7,8}

6

7 ¹Department of Radiology and Biomedical Imaging, University of California, San
8 Francisco, CA, USA

9 ²Princess Margaret Cancer Centre, University Health Network, Toronto, ON, Canada

10 ³Department of Nonproliferation and National Security, Brookhaven National Laboratory,
11 Upton, NY, USA

12 ⁴Department of Nuclear Medicine, Ruijin Hospital, School of Biomedical Engineering,
13 Shanghai Jiao Tong University, Shanghai, China

14 ⁵Molecular Biophysics and Integrated Bioimaging Division, Lawrence Berkeley National
15 Laboratory, Berkeley, CA, USA

16 ⁶Department of Radiation Oncology, University of California, San Francisco, CA, USA

17 ⁷Joint Graduate Group in Bioengineering, University of California, San Francisco and
18 Berkeley, CA, USA

19 ⁸Department of Nuclear Engineering, University of California, Berkeley, CA, USA

20 ***Co-first authors**

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22 **Corresponding authors contact:**

23 UCSF Physics Research Laboratory

24 Department of Radiology and Biomedical Imaging
25 University of California, San Francisco, California, 94143-0946

26 Emails: javier.caravacarodriguez@ucsf.edu, youngho.seo@ucsf.edu
27 Phone: (415) 353-9464

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45 **Abstract**

46 **Background**

47 Single photon emission computed tomography (SPECT) is an imaging modality that has
48 demonstrated its utility in a number of clinical indications. Despite this progress, a high
49 sensitivity, high spatial resolution, multi-tracer SPECT with a large field of view suitable
50 for whole-body imaging of a broad range of radiotracers for theranostics is not available.

51 **Purpose**

52 We have designed a cadmium zinc telluride (CZT) variable-aperture full-ring SPECT
53 scanner instrumented with a broad-energy tungsten collimator intended to fill this
54 technological gap. The final purpose is to provide a multi-tracer solution for brain and
55 whole-body imaging. Our static SPECT scanner breaks the paradigm of the standard dual-
56 and triple-head rotational SPECT systems, utilizing a larger detector area in each scan
57 increasing the sensitivity. We provide a demonstration of the performance of our design
58 using a realistic model of our detector with simulated body-sized ^{99m}Tc phantoms.

59 **Methods**

60 We developed a realistic model of our detector by using a combination of a Geant4 Monte
61 Carlo simulation and a CZT detector response model based on a finite element model. Our
62 approach models the characteristic low-energy tail effect in CZT that noticeably affects the
63 sensitivity and the quality of the scatter correction in CZT detectors. We implement a
64 modified dual energy window scatter correction adapted to include the CZT low-energy
65 tail effect. A dedicated correction is also developed to eliminate the undesirable truncation
66 observed in images given the presence of detector edges and gaps between detectors, due
67 to the non-rotational nature of our device. Corrections for the attenuation, detector response

68 and the presence of collimators are also included. The images are reconstructed using the
69 maximum-likelihood expectation-maximization algorithm implemented in the
70 reconstruction open software STIR. Detector and reconstruction performance are
71 characterized with a Derenzo phantom and a body-sized National Electrical Manufacturers
72 Association (NEMA) Image Quality (IQ) phantom containing ^{99m}Tc .

73 **Results**

74 Our SPECT design can resolve 6.4mm rods in a Derenzo phantom and obtain a good image
75 contrast with the IQ phantom. Explicit testing of the gap and edge correction is provided,
76 showing an excellent performance in eliminating the image truncation artifacts. Our
77 modified scatter correction shows no overestimation of the contrast-recovery ratio for our
78 realistic CZT detector model, as opposed to the cases without correction and with a
79 standard dual-energy window scatter correction.

80 **Conclusions**

81 In this paper, we further demonstrate the performance of our design for whole-body
82 imaging purposes. This adds to our previous demonstration of improved qualitative and
83 quantitative ^{99m}Tc imaging for brain perfusion and ^{123}I imaging for dopamine transport
84 with respect to state-of-the-art NaI dual-head cameras. We show that our design performs
85 similarly to the VERITON SPECT from Spectrum Dynamics, a commercial full-ring CZT
86 SPECT camera, with the potential advantage of the broader energy range of application
87 given by our custom-design tungsten collimators. Our device combines high sensitivity
88 and image resolution with a broad-energy imaging application for the purpose of clinical
89 imaging and theranostics of emerging radionuclides.

90

91 **Keywords**

92 SPECT, CZT, full-ring, Monte Carlo simulation, finite element method

93 **1. Introduction**

94 Single photon emission computed tomography (SPECT) is a very important imaging
95 modality in nuclear medicine, including cardiac imaging [1]. SPECT systems keep
96 continuously evolving with the goal of improving sensitivity, spatial resolution, image
97 quality, and to access to a larger number of radionuclides by broadening the gamma ray
98 energy application range.

99 One of the most recent technological breakthroughs is the implementation of the
100 semiconductor cadmium zinc telluride (CZT) in SPECT systems [1], providing higher
101 energy resolution, a reduced detector size, and a lighter weight than that compared to the
102 combination of photomultiplier tubes and scintillation crystals [2-4]. Another important
103 advance is the substitution of the standard dual- or triple-head SPECT rotating around the
104 subject, by a semi-stationary and multi-headed ring geometry in which each head
105 independently moves forward and backward to get very close to the subject, with an
106 additional swiveling motion to allow them to face the subject and reduce the number of
107 unused detector parts to a negligible fraction [5].

108 In [6], we proposed a variable-aperture full-ring CZT SPECT system with the addition of
109 custom-designed multi-energy tungsten collimators, with an application energy range of
110 100keV to 250keV [7], that could provide a multi-tracer imaging solution with excellent
111 sensitivity and image quality. Targeting the imaging of ^{99m}Tc -based brain perfusion [8] and
112 ^{123}I -based dopamine transporter [9], we showed a reduced acquisition time and improved
113 sensitivity with equivalent contrast-to-noise ratio (CNR) and spatial resolution compared
114 to conventional two-headed NaI-based SPECT systems.

115 In this paper, we further explore our design by evaluating it for whole-body imaging

116 purposes employing a Derenzo hot rod phantom and a National Electrical Manufacturers
117 Association (NEMA) Image Quality (IQ) phantom. Furthermore, we improve our detector
118 simulation by including a realistic CZT response based on a finite element method (FEM)
119 model [10], and we improve the obtained images by adding an energy-window-based
120 scatter correction [11].

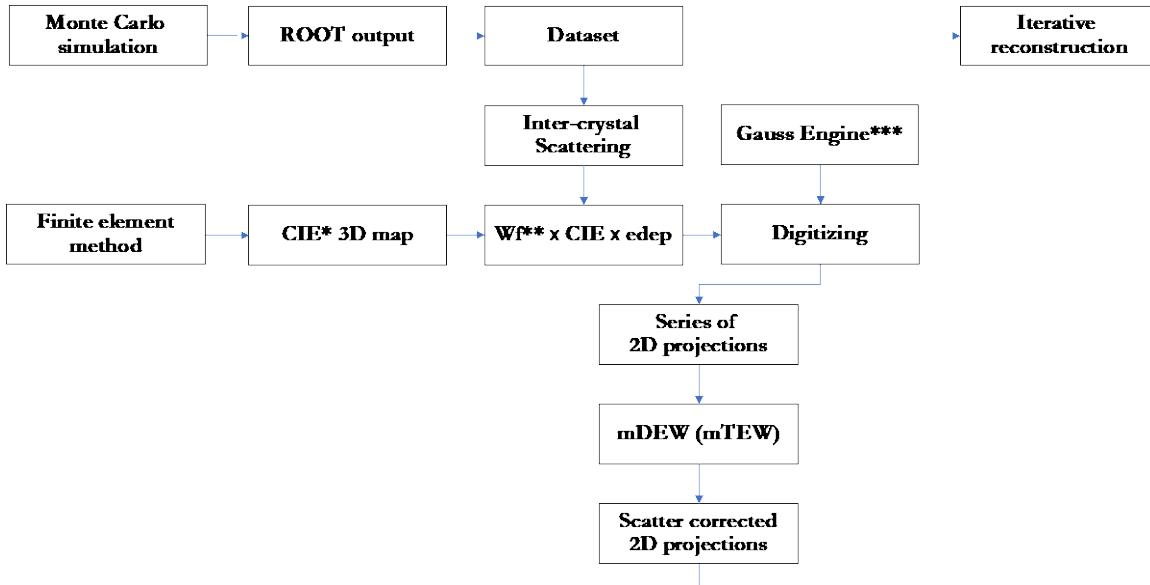
121 **Section 2** describes the detector geometry, Monte Carlo simulation, FEM model, image
122 reconstruction strategy and scatter correction. **Section 3** shows the predicted performance
123 of our system with the phantoms, **Section 4** discusses the results and **Section 5** provides
124 the summary and conclusion.

125

126 **2. Materials and methods**

127 In this section we describe the detector's design, our Monte Carlo simulation, including
128 the FEM model, and present our image reconstruction approach, including generation of
129 the projections and application of the scatter correction (see **Fig. 1** for an overview of the
130 analysis flow).

131



132

133 **Figure 1.** Diagram summary of our approach. The GEANT4 MC simulation and the FEM model are used to
 134 produce the SPECT projections that are further scatter-corrected and finally reconstructed to yield the final
 135 tomographic image of the phantoms.

136 *Charge induction efficiency. **Weighting factor. ***Referenced from Geant4 and GATE codes

137

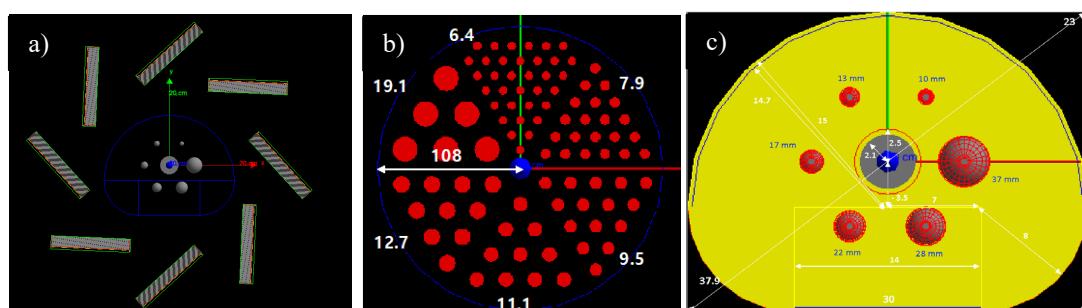
138 **2.1 System and phantom geometries**

139 Our design is composed by eight independently movable and swiveling detector heads
 140 arranged in a full-ring configuration [6]. Each head has a 179.2 mm × 128 mm detector
 141 surface with 1.6 mm × 1.6 mm × 5.0 mm pixel size, and swivels in 5° steps from -42.5° to
 142 42.5° (18 steps total). The detector heads are located at 240 mm radius around the center
 143 of the imaging region (**Fig. 2a**), further than in our previous brain imaging experiment [6].
 144 Given the further distance, the heads are rotated 90° around their perpendicular axis so that
 145 the shorter side of the head is parallel to the axial direction in order to cover a larger angular
 146 region. **Table I** summarizes our system specifications. The geometries of the phantoms are
 147 shown in **Fig. 2b, c**.

148

Table I. Specifications of CZT-SPECT scanner for Monte Carlo Simulation

Parameter	Whole-body SPECT
Number of detector heads	8
Detector material	CZT
Detector head size	179.2 mm × 128 mm × 5.0 mm
Pixel pitch	1.6 mm × 1.6 mm
Collimator hole shape	Rectangular
Collimator material	Tungsten-Alloy
Collimator length	25 mm
Septal thickness	0.32 mm
Collimator hole size	1.28 mm
Detector heads radial position	240 mm
Range for rotation angle	-42.5° ~ 42.5°
Step angle	5°
Number of projections	72
Time exposure per step	20s



151

152 **Figure 2. a)** Front view of the system geometry in the GATE tool with the NEMA IQ phantom for scale. **b)**
153 Derenzo hot rod phantom seen from the front with the rods marked in red and with the number corresponding
154 to their diameters in millimeters. **c)** NEMA IQ phantom seen from the front. The spheres are highlighted in
155 red and labeled by their diameters, and the warm background is in yellow.

156

157 2.2 Simulation model

158 Achieving an accurate detector simulation is crucial for the detector design phase. It has
159 been shown that the charge transport, trapping and diffusion, affect the charge collection
160 efficiency (CIE), charge sharing between pixels, and crosstalk in CZT. These effects
161 introduce important features in the energy spectra, namely, a low-energy tail given by a

162 fraction of photoelectric events, which reduces sensitivity, and a contamination of the
163 photopeak energy window with scattered photons, which reduces image contrast and
164 quantification accuracy [12-14]. Hence, the simulation of this effect is crucial for an
165 accurate detector model. Models based on a FEM have been successfully developed and
166 validated in [10, 12, 14], following the method suggested in [15, 16].

167 To simulate our detector, we follow an approach similar to the one in [10], in which a
168 detector model based on GEANT4 Monte Carlo (MC) simulations and a COMSOL
169 MULTIPHYSICS FEM implementation is adopted.

170

171 ***2.2.1 Monte Carlo simulation***

172 We use the Geant4 Application for Tomographic Emission (GATE) [17] to simulate
173 gamma ray propagation in the subject and detector. The particle transport is modelled by
174 the emstandard_opt4 model, which uses the G4LivermorePhotoelectricMode and
175 G4RayleighScattering Geant4 models that provide a high accuracy simulation of electrons
176 and ion tracking. We added the RadioactiveDecay Geant4 process in order to model
177 radionuclide gamma ray emissions.

178 The CZT material region is defined as sensitive detectors in Geant4 to store the information
179 of the energy depositions. The tracking information of each photon is recorded in ROOT
180 format indexed by run, event, detector and pixel, as well as the deposited energy values
181 and their local positions in the pixel. Inter-crystal Compton scatter events were identified
182 by hits generated in the same event but at different detector and pixel index numbers. An
183 energy resolution of 6.5% full width at half maximum (FWHM) for CZT is simulated as a
184 Gaussian smearing of the deposited energy value provided by GATE.

185 **2.2.2 Finite element model**

186 The number of generated charge carriers (electron and hole pairs) in CZT is proportional
187 to the deposited energy. These carriers need to drift towards the anode or the cathode to be
188 detected. This process is affected by the charge transport, trapping and diffusion [12],
189 which yields a position-dependent charge induction efficiency (CIE) that need to be
190 accounted for in order to obtain a realistic CZT detector response.

191 In [6] we approximated this effect by a simple inefficiency factor of 65%. For this study,
192 we follow the more sophisticated method described in [10]. We estimate the CIE using the
193 adjoint electron n^* continuity equation suggested in [15, 16]

$$194 \quad \frac{\partial n^*}{\partial t} = \mu_e \nabla \varphi \nabla \phi_w - \frac{n^*}{\tau_e} + \mu_e \nabla \varphi \nabla n^* + D_n \nabla^2 n^* , \quad (1)$$

195 where φ is the electric potential, μ_e is the mobility of the electrons, ϕ_w is the weighting
196 potential, τ_e is the average lifetime of the electrons, and D_n is the diffusion constant for
197 electrons. The value of φ can be obtained by solving the Laplace equation,

$$198 \quad \nabla^2 \varphi = 0 . \quad (2)$$

199 Both holes and electrons are considered.

200 The charge transport model was implemented in the COMSOL MULTIPHYSICS software
201 and equation (1) solved with the FEM model. We considered a 3 pixel \times 3 pixel detector
202 module with the same pixel size corresponding to our MC model. The required material
203 property parameters were acquired from the specifications of the CZT detector M1085
204 from REDLEN (**Table II**).

205

206

207

Table II. Specifications of CZT material for finite element model

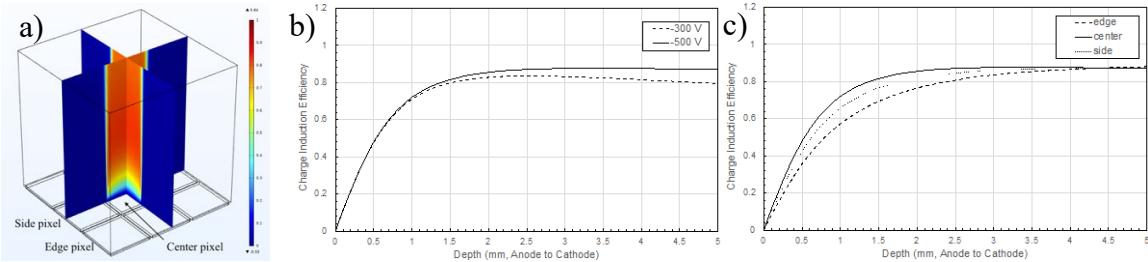
Material Properties	
Average atomic number	49.1
Density	5.78 g/cm ³
Electron mobility	1000 cm ² /V/s
Electron lifetime	3 × 10 ⁻⁶ (s)
Hole mobility	50 ~ 80 (cm ² /Vs)
Hole lifetime	10 ⁻⁶ (s)
Dielectric constant	10.9
Resistivity	3 × 10 ¹⁰ (cm)
Nominal bias voltage	-500V
Plating material (anode and cathode)	Au

210 Three 3D CIE maps were obtained by solving Eq. (1) for three-pixel positions: center, side
 211 and edge. **Fig. 3a** shows the CIE map for the center pixel. The CIE as a function of the
 212 depth of the gamma ray interaction in the pixel is shown in (**Fig. 3b**) compared to a bias
 213 voltage of -300V to show the dependency of CIE with this parameter. The curves are
 214 averaged over the X and Y dimensions. The small-pixel effect [18] is clearly observed in
 215 **Fig. 3b**, as well as the fact that a low bias voltage results in a lower and non-constant CIE
 216 for shallow detector depth, increasing the probability of populating the low-energy tail.
 217 **Figure 3c** shows the averaged CIE curves as a function of interaction depth for center,
 218 edge, and side pixels at the nominal -500V voltage. The CIE for edge and side pixels are
 219 lower and present a smoother trend than that of the center pixel. For simplicity and given
 220 the very small differences, we use the CIE obtained with the center pixel to model all the
 221 pixels in our detector. The CIE map obtained with the nominal bias (-500V) was exported

222 to a text file for posterior use in the calculation of the deposited energies.

223

224



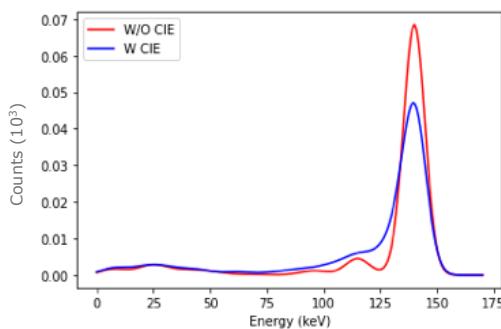
225 **Figure 3. a)** Central slice of the CIE map obtained from COMSOL for the central pixel. **b)** CIE as a function
226 of interaction position depth for the center pixel obtained for -300V and -500V bias voltage. **c)** CIE as a
227 function of interaction position depth for the center, edge, and side pixels. All the curves are averaged over
228 the X and Y dimensions.

229

230 The total energy spectrum obtained for a ^{99m}Tc point source at the center of the detector
231 with and without the CIE factor is shown in **Fig. 4**, where we observe that the CIE decreases
232 the number of counts in the photopeak region by approximately 70% and increases the
233 contribution of photoelectric events to the low-energy tail.

234

235



236 **Figure 4.** Energy spectra of a ^{99m}Tc point source in air with and without CIE.

237 **2.3. Scatter correction and image reconstruction**

238 Projections of two adjacent detector heads are conjoined to create extended projections that

239 overcome the limited transaxial view, as detailed in our previous study [6]. These
240 projections are corrected by the Compton scattering of gamma rays in the subject by using
241 an energy window method [19]. A major consequence of the low-energy tail in CZT is that
242 it complicates energy window-based scatter correction methods since the contamination of
243 photopeak events in the low-energy tail leads to an overcorrection [20]. A standard dual-
244 energy-window (DEW) scatter correction was successfully adapted for CZT by modeling
245 the CZT low-energy tail with using data-driven approaches in [11, 20] and MC-driven
246 approaches in [13, 21].

247 In this study, we compared two different DEW scatter correction methods: one with a
248 typical DEW method (DEW) and another modified DEW that includes a low-energy tail
249 correction for CZT (mDEW). The DEW method assumes that the number of scattered
250 photons inside the photopeak window used for the projections can be estimated from the
251 number of scattered photons in a lower energy window [19]. Then, for pixel j of a given
252 projection

$$253 \quad n_{PM}^j = n_{PE}^j + n_S^j = n_{PE}^j + k n_{SM}^j, \quad (3)$$

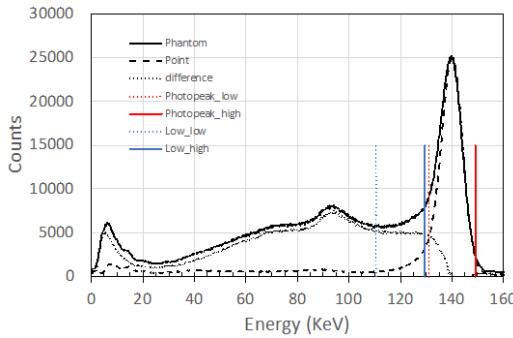
254 where n_{PM}^j is the total number of events measured in the photopeak window, n_{PE}^j is the
255 number of photoelectric interactions in the photopeak window, n_S^j is the number of
256 Compton interactions in the photopeak window, n_{SM}^j is the total number of events
257 measured in a low-energy window, and k is a factor that needs to be modeled or determined
258 empirically. We use the typical value $k = 0.5$ [19]. For DEW we consider a scatter window
259 from 122 keV to 130 keV and a photopeak window from 131 keV to 149 keV.

260 The mDEW considers that the low-energy window is also populated by photoelectric
261 interactions with a low CIE [11, 20], so Eq. (3) is modified to:

262
$$n_{PM}^j = n_{PE}^j + k_m(n_{SM}^j - m n_{PE}^j) \Rightarrow n_{PE}^j = n_{PM}^j - k_m(n_{SM}^j - m n_{PE}^j), \quad (5)$$

263 where m is the fraction of n_{PE}^j that ends up in the lower energy window. For mDEW we
 264 define a scatter window from 110 keV to 130 keV and a photopeak window from 131 keV
 265 to 149 keV.

266 We compute k_M and m by simulating a ^{99m}Tc point source in air, assuming that this
 267 environment is scatter free, and compare the given energy spectrum with the one obtained
 268 with the NEMA IQ phantom [11, 20]. **Figure 5** shows the energy spectra obtained with a
 269 ^{99m}Tc point source in air and with the NEMA IQ phantom with spheres of activity of
 270 80 kBq/ml placed in a warm background of 10 kBq/ml of ^{99m}Tc . We estimate k_M and m to
 271 be 0.611 and 0.264, respectively.



272
 273 **Figure 5.** Energy spectra obtained with the ^{99m}Tc point source (dashed) and with the NEMA IQ phantom
 274 (solid) with CIE applied. These are used to calculate the parameters k_M and m for the mDEW scatter
 275 correction. The energy windows of mDEW are highlighted.

276
 277 The series of 2D extended projections of 224×80 pixels was reconstructed using the
 278 maximum likelihood expectation maximization (MLEM) method [22] implemented in the
 279 software for tomographic image reconstruction (STIR) package [23, 24]. This package
 280 takes into account the scatter correction calculated above, and corrections for the gamma
 281 ray attenuation and the collimator and detector response, which were computed in our

282 previous study [6]. The matrix and the pixel size of the reconstructed images are 224×224
283 $\times 80$ with 1.6 mm pixel size, and the number of iterations for MLEM reconstructions was
284 set to 40.

285

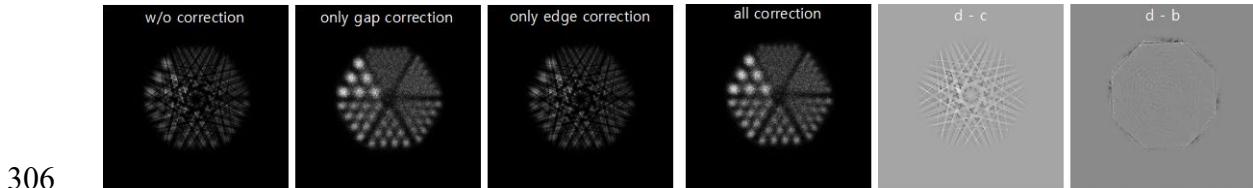
286 **3. Results**

287 In this section we evaluate the performance of our system with a Derenzo and a NEMA IQ
288 phantom and estimate the spatial resolution and contrast recovery, comparing it with the
289 literature.

290 **3.1. Derenzo hot rod phantom**

291 A Derenzo hot rod phantom, as shown in **Fig. 2b**, with a rod activity of 300 kBq/ml, is
292 reconstructed using the method described in **Sec. 2**, resulting in the images shown in **Fig.**
293 **6**. The images do not include the collimator, detector response and scatter corrections,
294 which their performance are discussed in the next section with the NEMA IQ phantom.
295 The rod diameters are greater than the ones used in our previous brain study [6], given the
296 larger size of the subject. To test the gap and edge correction methods developed in [6] and
297 to show the effect of these corrections, we show in **Fig. 6** the reconstructed images with
298 and without corrections and with only one of the corrections applied, along with the
299 differences between the images. The fully corrected image of the hot rod phantom
300 (**Fig. 6d**) shows no truncation artifacts despite some rods of the phantom being outside of
301 the field of view (FOV) of our detector, due to our gap and edge corrections. Most of the
302 7.9mm rods and the top row of 6.4mm rods can be clearly resolved. The resolution is worse
303 towards the center of the phantom. This is similar to the results obtained with the
304 VERITON SPECT [5], where they could resolve the 6.4mm rods of the phantom ,

305 outperforming a dual-head NaI(Tl) camera (9.5mm rods).



307 **Figure 6.** Axial reconstructed images of the hot-rod phantom with and without gap and edge corrections and
308 difference between images. These images correspond to the projection of 55 slices with attenuation correction
309 and without collimator, detector response or scatter corrections applied. **a)** without corrections, **b)** with gap
310 correction, **c)** with edge correction, **d)** with both gap and edge corrections, **e)** difference between the fully-
311 corrected and the gap-corrected images, **f)** difference between the fully-corrected and the edge-corrected
312 images.

313

314 **3.3. NEMA IQ phantom**

315 A NEMA IQ phantom with 6 spheres of different sizes (**Fig. 2**) and activities of 80kBq/ml
316 placed in a warm background of 10kBq/ml of ^{99m}Tc is reconstructed. **Figure 7** shows the
317 reconstructed images of the NEMA IQ phantom images without scatter correction and with
318 DEW and mDEW corrections. The images are normalized to the same value, the maximum
319 pixel value of the three images, so that they can be directly compared with each other. No
320 truncation artifacts are observed in the spheres or background. The application of mDEW
321 results in slight increase of noise compared to the others due to the subtraction of true
322 counts detected in the low-energy tail. The observed image contrast is equivalent to the one
323 obtained by the VERITON CZT SPECT [5].

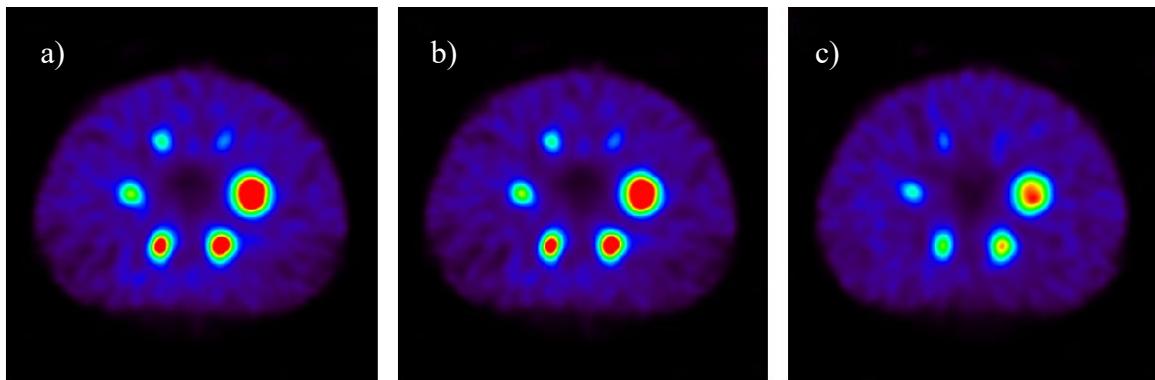
324 We quantitatively assess the image quality as recommended by the NEMA standard NU2-
325 2007 for characterization of positron emission tomography (PET) scanners. We calculate
326 the contrast recovery coefficient (CRC) for each of the six spheres as

327

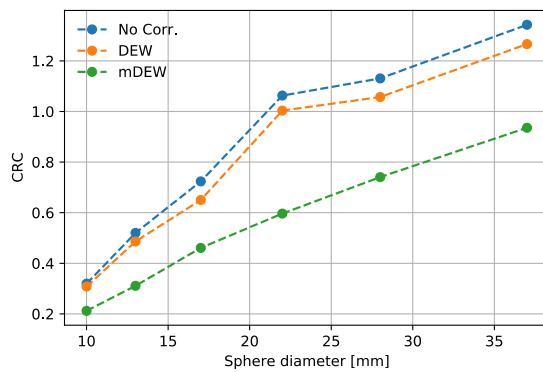
$$CRC = \frac{M_{sphere}/M_{bg} - 1}{R_{sphere}/R_{bg} - 1} \quad (1)$$

328 where M_{sphere} and M_{bg} are the measured activities and R_{sphere} and R_{bg} are the true activity
 329 values inside the spheres and in the warm background, respectively. A comparison of the
 330 CRC values as a function of the sphere diameter without correction and with the DEW and
 331 mDEW corrections are in **Fig. 8**. We observe how in the absence of correction and with
 332 the typical DEW correction, the CRC values are overestimated, showing that mDEW
 333 provides a fast and simple scatter correction method for our case. This can be further
 334 refined by model-based correction methods to be used in multi-tracer scenarios.

335



337 **Figure 7.** Reconstructed NEMA IQ phantom images without DEW scatter correction **(a)**, with typical DEW
 338 scatter correction **(b)**, and with modified DEW scatter correction **(c)**. All images were postprocessed using a
 339 3D Gaussian filter with a sigma of 1 pixel.



341 **Figure 8.** Contrast recovery coefficient without scatter correction, with typical DEW scatter correction and
342 with mDEW scatter correction.

343

344 **4. Discussion**

345 The performance of our detector, as predicted by our MC simulations, competes with the
346 results obtained with the VERITON CZT SPECT [5] for very similar exposures and
347 activities, resolving the 6.4mm rods and with an equivalent image contrast. Nevertheless,
348 our results still need to be validated by an experimental setup.

349 A very good performance is predicted for whole-body sizes although worse than our
350 prediction with smaller objects like the brain, as expected. This shows that our gap and
351 edge correction is still working well despite of the inevitable lager gaps. Further correction
352 methods may be necessary for larger radius (i.e. imaging of larger objects).

353 The modified dual-energy window scatter correction shows promise for ^{99m}Tc , but more
354 sophisticated methods will be necessary to account on low-energy tail in a multi-tracer
355 imaging scenario. MC-based models could solve this issue [13, 21].

356

357 **5. Conclusion**

358 In this paper, we proposed an eight-head, swiveling, CZT SPECT detector with broad-
359 energy collimators and showed its performance for whole-body imaging with body-sized
360 phantoms. We improved our detector model with respect to [6] by combining the Geant4
361 MC simulation with a FEM for the CIE of the CZT detectors, which we showed is an
362 important effect to take into account for our imaging purposes with a CZT camera. We can
363 resolve 6.4mm features in a Derenzo phantom and obtain a CRC larger than 50% for
364 features larger than 20mm.

365 In the future, we plan to validate our simulation framework with real data from a CZT
366 camera prototype and to provide a proof of principle of imaging of ^{99m}Tc and other
367 radionuclides (^{123}I , ^{177}Lu , etc.). This will provide demonstration of a system with excellent
368 sensitivity and spatial resolution like the VERITON CZT SPECT, with the important
369 addition of a broad energy range application, which would be very important for emerging
370 new imaging radionuclides and for theranostics.

371

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450 **Conflict of interest statement**

451 The authors have no relevant conflicts of interest to disclose.