

FINAL TECHNICAL REPORT

Awardee: Stanford University

Project Title: Selective Catalytic Oxidations: Opportunities and Challenges for Selective Conversion of Renewable Resources

DOE Award Number: DE-SC0018168

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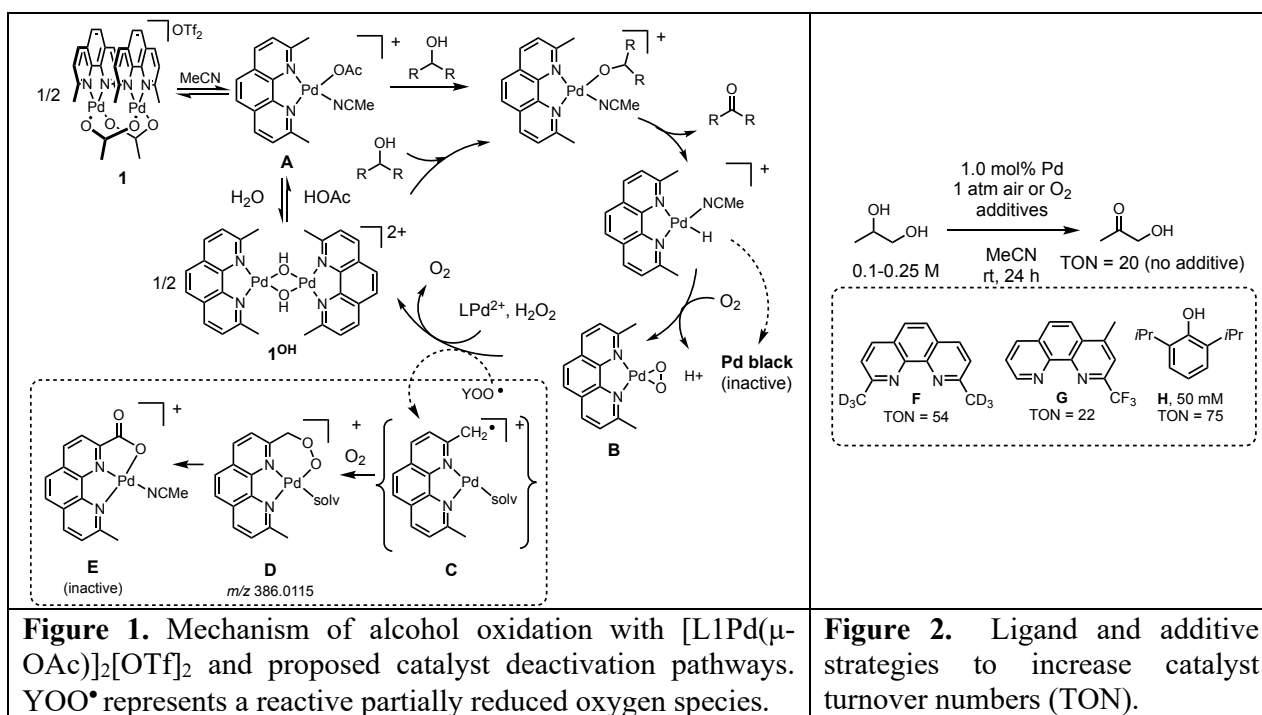
Project History, Research Goals and Summary Accomplishments

The goal of this DOE-funded project was to investigate the fundamental science related to selective catalytic oxidation reactions for converting renewable polyhydroxylated bio-feedstocks into new classes of value-added chemicals. The focus was on selective oxidation catalysis, specifically the mechanisms and origin of chemoselectivity for the selective Pd-catalyzed aerobic oxidations of biomass-derived polyols and carbohydrates.

Specific Objectives for this project were to: (1) Investigate the basic science, mechanistic pathways and reactive intermediates generated in the aerobic oxidation of polyols and sugars with highly selective cationic Pd catalysts $[L1Pd(OAc)]_2[OTf]_2$. (**1**, L1 = neocuproine), (2) Investigate the mechanistic origin of the competitive oxidative degradation of **1** and to use these insights to extend catalyst lifetimes and turnover numbers, (3) Investigate the origin of high chemoselectivities for the oxidation of vicinal diols, polyols and unprotected carbohydrates, and (4) Investigate the scope and mechanism of catalytic strategies for the selective catalytic oxidation of diols, polyols and carbohydrates. In the last grant period, significant progress was made on objectives (1), (2), and (4); less progress was made on objective (3) due to an intentional effort to focus on new ligand designs, catalysts, concepts and strategies for selective oxidation reactions. Accomplishments and activities included:

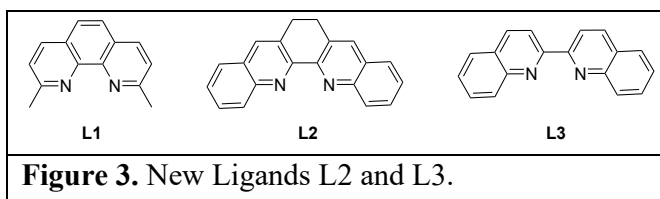
(1) *Extension of catalyst lifetimes and improvement of turnover number for aerobic oxidation of alcohols with cationic Pd neocuproine complexes:*¹ The cationic Pd complex **1** $[L1Pd(\mu-OAc)]_2[OTf]_2$ (L1 = neocuproine),¹⁻¹⁰ is an exceptionally chemoselective catalyst for the oxidation of primary and secondary alcohols, vicinal diols, and complex polyols such as carbohydrates.¹⁰⁻¹³ This catalyst exhibits high selectivity for the oxidation of secondary alcohols in vicinal diols^{3,6-7} and carbohydrates¹⁰⁻¹³ to generate α -hydroxyketones.¹⁴⁻¹⁹ The selective oxidation of unprotected polyhydroxylated substrates and carbohydrates provides an alternative to multi-step protection and deprotection strategies,^{13,20} and has been used by us^{6-7,10} and others^{11-13,21-25} for the expedient synthesis of natural products,²⁵ chemical intermediates^{6-7,26} and rare sugars.^{10-13,21-23} Catalytic oxidation of α,ω -diols to lactones²⁷ with **1** provides an expedient synthesis of monomers for ring-opening polymerization.²⁸⁻²⁹ Our group has invested effort into examining the wide scope of reactions possible³⁰⁻³¹ with this Pd catalyst and also the mechanistic pathways and reactive intermediates involved in catalysis.⁸⁻⁹ With Pd catalyst **1**, both air and benzoquinone can be used as terminal oxidants, but aerobic oxidations are accompanied by oxidative degradation of the neocuproine ligand, thus necessitating high Pd loadings. In the last grant period, strategies to improve aerobic catalyst lifetimes were devised, guided by mechanistic studies of catalyst deactivation.¹ Kinetic and mechanistic studies of alcohol oxidation with **1** are consistent with the mechanism outlined in Figure 1.¹ These studies revealed the oxidative degradation of the neocuproine ligand occurs when O₂ or air is used as the terminal oxidant.² Several strategies were employed to illuminate the pathways for the oxidative degradation of the neocuproine during aerobic oxidations with the goal of increasing turnover numbers and enabling lower catalyst loadings.¹

In-operando mass spectrometry studies, in collaboration with the Zare group at Stanford, revealed several ions (**D** and **E**, Figure 1) whose intensities increased in an inverse correlation with the decrease in rate of the aerobic oxidation reactions.⁹ These studies collectively revealed that H atom abstraction from the benzylic methyl groups of the neocuproine ligand initiates catalyst degradation.



Guided by this hypothesis, we prepared the d_6 -ligand **F**^{1,32} and CF_3 -substituted ligand **G**,⁴ both of which resulted in modest increases in catalyst turnover numbers (from 20 to 54 mole ketone/mole Pd, Figure 2).¹ Sacrificial reductants were also investigated. Phenol anti-oxidants **H** proved a particularly useful additive for mitigating oxidative degradation of the catalyst and styrene additives proved to improve catalyst lifetimes by intercepting Pd hydrides, mitigating the formation of Pd black.^{1,10} These insights enabled us to modify our aerobic oxidation protocols for the aerobic oxidation of a variety of polyols on a multigram scale with catalyst loadings as low as 0.25 mol % Pd.¹ Nevertheless, the requirements for stoichiometric additives are not optimal and motivated us to investigate alternative ligand designs.

(2) *New Ligand Designs.* Prior studies revealed that 2,9-substituents on the neocuproine were critical to activity,^{2,4} but benzylic hydrogens are oxidative liabilities. To eliminate the vulnerable benzylic $C(sp^3)-H$ bonds near the catalyst active site, we prepared 2H-dibenzophenanthroline (**L2**), 2,2'-biquinoline (**L3**, Fig. 3) and the corresponding cationic Pd complexes $[LPd(\mu-OAc)]_2[OTf]_2$. Catalytic aerobic oxidation of 1,2-propanediol with all three catalysts revealed higher turnover numbers (TON) for catalysts ligated by **L2** and **L3** relative to that with neocuproine **L1**. Aerobic oxidation of 1,2-propane



diol (Fig 2) with Pd complex **1** (**L1**) at 1.0 mol % Pd yielded a TON of 20, whereas Pd complexes **2** (**L2**) and **3** (**L3**) gave TONs of 43 and 84, respectively. Nevertheless, the rate of oxidation of polyol substrates by the new oxidatively robust ligands **L2** and **L3** is substantially reduced compared to the neocuproine system, limiting their synthetic utility. For example, catalytic aerobic oxidation of 1,2-propane diol with the biquinoline ligated Pd complex **3** $[L_3Pd(\mu-OAc)]_2[OTf]_2$ (**L3** = biquinoline) required > 3 days to reach full conversion at < 2.5 mol% Pd loading. Mechanistic investigations and in-situ mass spectrometry studies suggest that reaction rates with **L3** may be limited due to buildup of a poorly soluble trimeric species $[(LPd)_3(\mu-O)_2]^{2+}$ (**L** = 2,2'-biquinoline). Further ligand modifications and mechanistic studies are described in the Project Narrative (Specific Objective 1).

(3) *Scope and Utility of Chemoselective Catalytic Oxidations:* The fundamental investigations to improve the scope of the Pd-catalyzed alcohol oxidations inspired the development of improved protocols for the oxidation of a variety of diols, polyols and sugars.¹ The new oxidation protocols and additives were used to scale up the aerobic oxidations of diols (Fig. 4a) and glycosides (Fig 4b) with low Pd loadings.¹ The selectivity for secondary alcohols provides a more atom-economical route than the Swern oxidation used for the selective oxidation of the vinyl diol (Fig. 4c) to the keto-aldehyde, a key synthon in the Wender synthesis of Bryostatin 1.³³ Lactones accessed via the Pd- catalyzed oxidative lactonization of α - ω diols provide a new class of monomers (Fig. 4d) which can be polymerized to functional polyesters of precise molecular weight and low polydispersity.^{28-29,34}

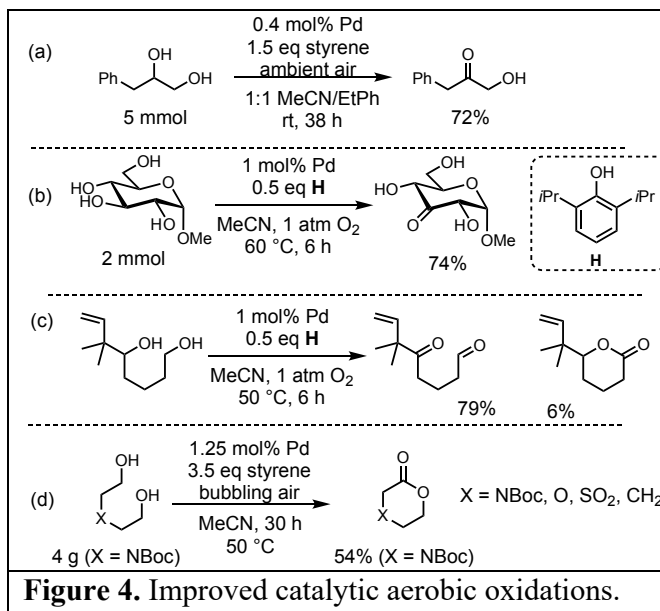


Figure 4. Improved catalytic aerobic oxidations.

(4) *Investigations of other coordination complexes and alternative oxidative strategies for selective alcohol oxidation catalysts.*³⁵

While these selective catalytic aerobic oxidation reactions with the Pd complexes have proven quite useful, these and other aerobic oxidation systems can suffer from complications due to safety concerns (explosion hazards of O₂ in organic solvents),³⁶ unselective reactivity from partially-reduced active oxygen species,^{1,9} as well as mass transport limitations³⁷ resulting from the use of gaseous reagents. During the last grant period, we initiated studies to investigate other alcohol oxidation strategies that would avoid O₂ as a terminal oxidant.

We focused on several different coordination complexes and alternative alcohol oxidation strategies, including transfer hydrogenation,³⁸ acceptorless alcohol dehydrogenation (AAD - the catalytic elimination of H₂ from alcohols),³⁹⁻⁴⁰ as well as electrocatalytic strategies.^{24,41-53} We initially targeted a class of Co(II) pincer complexes reported by Hanson⁵⁴ that were reported to be effective for the acceptorless alcohol dehydrogenation (AAD) of benzylic alcohols,⁵⁵⁻⁵⁶ but in-situ investigations by electrospray mass spectrometry (ESI-MS) revealed that vicinal diols bound avidly to the Co complexes and did not turnover readily. Moreover, the Co catalysts precursors/intermediates proved strongly basic, resulting in considerable enolization of aliphatic ketones, extensive deuterium scrambling and competitive aldol reactions.

To evaluate whether electrocatalytic oxidations might provide an alternative to aerobic oxidations as a strategy for selective alcohol oxidation reactions, we initiated investigations of a class of Mn⁵⁷⁻⁵⁹ and Fe⁶⁰⁻⁶³ pincer complexes (PNH_xP)Fe(CO)(H)(X) ([Fe](H)(NH), **6a**: x = 1, X = H; [Fe]-N **6b** : x = 0, X = 0; PNHP = bis[2-(diisopropylphosphino)ethyl]amine) (Fig. 5) that were reported to be highly active for the acceptorless dehydrogenation (AAD) of alcohols to ketones/aldehydes. As acceptorless alcohol dehydrogenation is a close chemical analog of electrocatalytic alcohol oxidation, we targeted these complexes to evaluate whether electrochemical oxidations might provide an alternate pathway for selective oxidation reactions.³⁵ While considerable

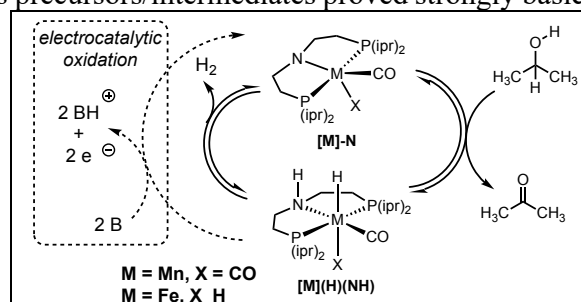


Figure 5. A. Mn and Fe PNP complexes for catalytic acceptorless alcohol dehydrogenation (AAD) and electro-catalytic isopropanol oxidation.

mechanistic and computational investigations of these systems had been carried out,⁶⁰⁻⁶⁶ little was known of the electrochemical behavior of the Mn and Fe PNP pincer systems.

Cyclic voltammetry of the [Fe]-N, **6** and [Fe(H)(NH)], **6-H** (Fig. 6) complexes in tetrahydrofuran with [Bu₄N][BF₄] as supporting electrolyte revealed a one-electron irreversible oxidation with an onset at -0.34 V versus ferrocene/ferrocenium (Fc^{0/+}) for [Fe]-N **6** and a one-electron irreversible oxidation with an onset at -0.74 V versus Fc^{0/+} for the corresponding iron hydride **6-H** (Fig. 7). In the presence of a strong base P2 (P2 = (P2-Et, Et-N=P2(dma)₅,⁶⁷ 3 mM) electrochemical oxidation of Fe hydride **6-H** at -0.74 V afforded the Fe amide [Fe]-N **6**, indicating that the electrocatalytic

oxidation of isopropanol might be feasible. Controlled potential electrolysis of isopropanol in the presence of P2 and a catalytic amount FeN at -0.74 V versus Fc^{0/+} reveals acetone to be the two-electron oxidation product, generated with a high Faradaic efficiency (100 ± 15%),³⁵ but under the conditions of bulk electrolysis (4 h, 25°C, E = -0.74 V, vs. Fc^{+/0}) the Fe complex decomposed, leading to low conversions and turnover numbers (TON ~ 2). Thus, while these studies reveal that AAD catalysts are promising candidates as electrocatalysts, the stability of the Fe complexes under conditions of bulk electrolysis provides a limitation to large-scale electrocatalytic alcohol oxidation reactions. These insights will be used to guide the development more stable and long-lived chemoselective alcohol oxidation electrocatalysts.

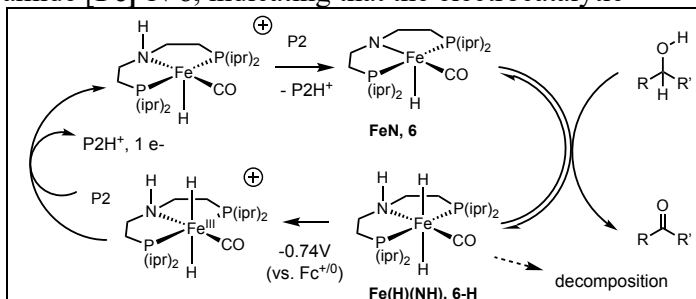


Figure 6. A. Mn and Fe PNP complexes for catalytic acceptorless alcohol dehydrogenation (AAD). Chemoselective AAD with Fe PNP complexes.

List of Publications during last reporting period intellectually driven by DOE Award.

1. Ho, W. C.; Chung, K.; Ingram, A. J.; Waymouth, R. M. "Pd-Catalyzed Aerobic Oxidation Reactions: Strategies to Increase Catalyst Lifetimes", *J. Am. Chem. Soc.*, **2018**, *140*, 748-757. doi: 10.1021/jacs.7b11372.
2. McLoughlin, E. A.; Matson, B. D.; Sarangi, R.; Waymouth, R. M. "Electrocatalytic Alcohol Oxidation with Iron-Based Acceptorless Alcohol Dehydrogenation Catalyst" *Inorg. Chem.*, **2020**, *59*, 1453-1460. doi: [10.1021/acs.inorgchem.9b03230](https://doi.org/10.1021/acs.inorgchem.9b03230)
3. Wilson C. Ho "Mechanistic Investigation and Optimization of Aerobic Palladium Catalysts and Base-Triggered Degrading Poly(aminoester)s". Ph.D. Thesis, Stanford University, December 2018.

Jointly funded by DOE Award and other grants.

1. Zhang, X.; Fevre, M.; Jones, G. O.; Waymouth, R. M. "Catalysis as an Enabling Science for Sustainable Polymers" *Chem. Rev.* **2018**, *118*, 839-885. doi: 10.1021/acs.chemrev.7b00329.
2. Benner, N. L.; Near, K. E.; Bachmann, M. H.; Contag, C. H.; Waymouth, R. M.; Paul A. Wender, P. A. "Functional DNA Delivery Enabled by Lipid-Modified Charge-Altering Releasable Transporters (CART)s", *Biomacromolecules*, **2018**, *19*, 2812-2824. doi: 10.1021/acs.biomac.8b00401
3. McKinlay, C.J.; Benner, N.L.; Haabeth, O.A.; Waymouth, R. M.; Wender, P.A. "Enhanced mRNA delivery into lymphocytes enable by lipid-varied libraries of Charge-Altering Releasable Transporters" *Proc. Nat. Acad. Sci.*, **2018**, *115*(26), E5859-E5866. doi: 10.1073/pnas.1805358115
4. Katherine L. Walker, "Mechanisms, Mass Spectrometry & Microdroplets: Using High Resolution Mass Spectrometry to Elucidate Organometallic Reaction Mechanisms" Ph.D. Thesis, March 2018.
5. Colin J. McKinlay, "Organocatalytic Ring Opening Polymerization to Access Functional Nanomaterials for the Delivery of Therapeutically Relevant Molecules and Gene Therapy Targets", Ph.D. Thesis, Stanford, June 2018.

6. Blake, T. R.; Ho, W. C.; Turlington, C.R.; Zhang, X.; Huttner, M. A.; Wender, P. A.; Waymouth, R. M. "Synthesis and Mechanistic Investigations of Rapid Base-Triggered Immolative Cationic Polyesters" *Chem. Sci.*, **2020**, *11*, 2951-2966. doi: 10.1039/c9sc05267d.

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