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Abstract	Four state-of-the-art Deep Learning-based Convolutional Neural Networks (DCNN) were applied to automate the semantic segmentation of a 3D Transmission x-ray Microscopy (TXM) nanotomography image data. The standard U-Net architecture as baseline along with UNet++, PSPNet, and DeepLab v3+ networks were trained to segment the microstructural features of an AA7075 micropillar. A workflow was established to evaluate and compare the DCNN prediction dataset with the manually segmented features using the Intersection of Union (IoU) scores, time of training, confusion matrix, and visual assessment. Comparing all model segmentation accuracy metrics, it was found that using pre-trained models as a backbone along with appropriate training encoder-decoder architecture of the Unet++ can robustly handle large volumes of x-ray radiographic images in a reasonable amount of time. This opens a new window for handling accurate and efficient image segmentation of <i>in situ</i> time-dependent 4D x-ray microscopy experimental datasets.	
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2 MICROSTRUCTURE CHARACTERIZATION: DESCRIPTORS, DATA-INTENSIVE TECHNIQUES, AND  
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5 UNCERTAINTY QUANTIFICATION  
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8 Machine-Learning-based Algorithms for Automated Image  
9 Segmentation Techniques of Transmission X-ray Microscopy  
10 (TXM)

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31 time. This opens a new window for handling accurate and efficient image  
32 segmentation of *in situ* time-dependent 4D x-ray microscopy experimental  
33 datasets.

## INTRODUCTION

34 X-ray microtomography has become a very impor-  
35 tant characterization technique for understanding  
36 materials behavior. Depending on the particular  
37 modality, this technique can enable image resolu-  
38 tions ranging from tens of micrometers to the  
39 nanometer scale. More importantly, the non-de-  
40 structive nature of this technique allows us to  
41 conduct *in situ* and/or time-resolved (4D) investiga-  
42 tions where the evolution of microstructure<sup>1-6</sup> or  
43 propagation of a defect<sup>7-19</sup> can be captured as a  
44 function of time. In this study, the x-ray tomogra-  
45 phy technique of interest is transmission x-ray  
46 microscopy (TXM) which can be used to conduct  
47 *in situ* 4D experiments at high spatial resolutions  
48 ( $\sim 20$  nm)<sup>20-27</sup> using the 32-ID beamline at the  
49 Advanced Photon Source (APS).

50 One of the challenges with 4D x-ray microtomog-  
51 raphy experiments is the large amounts of data that  
52 are generated (often in the TB range). In particular,  
53 3D rendering and statistical quantification of  
54 microstructurally evolving features (for example,  
55 crack growth, corrosion propagation, and phase  
56 transformation) require image segmentation. Thus,  
57 the subsequent image processing and feature clas-  
58 sification is often the rate-limiting step for tomo-  
59 graphic data analysis. Pixels with a wide  
60 distribution of grayscale values in the reconstructed  
61 images need to be segmented based on the features'  
62 density and homogeneity. These features often  
63 cannot be segmented using simple histogram  
64 thresholding or edge-based filtering. The presence  
65 of beam-hardening, scattered or other ionizing x-ray  
66 -generated "zinger" artifacts, ring artefacts, edge  
67 blurring due to motion artefacts, and phases with  
68 similar attenuation make the segmentation process  
69 more complicated.<sup>28,29</sup> This renders the  
70

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requirement of human hands-on intervention for careful manual segmentation, making the process extremely cumbersome and time-intensive. Manual image segmentation is also subjective, depending on the experience and visual acuity of the person doing the analysis.<sup>30</sup> Thus, there is a need for a new set of tools to move toward automating image segmentation of large 4D x-ray tomography datasets.

With the success of machine-learning algorithms in general image analysis, numerous promising segmentation and classification approaches can be applied for image segmentation for materials science applications. Traditional machine-learning image-processing methods, such as k-means clustering and thresholding, have been employed for various segmentation tasks.<sup>31,32</sup> Contemporary approaches, such as Gabor filtering, rely on neural networks to solve a given end-task, such as classification or segmentation, by learning the model parameters from training datasets<sup>33</sup>. Nevertheless, these methods still require minimal human intervention and are known as semi-automatic segmentation approaches.

In recent developments, deep-learning (DL) has pushed the boundaries in improving the robustness of segmentation methods with minimal multi-pass post-segmentation human intervention. Deep convolutional neural networks (DCNNs), have achieved excellent performance for various image-processing tasks. Krizhevsky et al.<sup>34</sup> developed the first large-scale application of DCNNs on difficult natural image classification problems, and, since then, different deep architectures have been modified in different domains to improve the time and accuracy of automated image processing. Although DL-based image segmentation techniques have been extensively implemented in medical x-ray radiographic images, only a handful of studies have applied this approach for x-ray microscopy images in materials science and engineering.<sup>5,23,35-41</sup>

In this work, we study the performance of four state-of-the-art deep learning architectures for automatic image segmentation of a TXM dataset. We chose U-Net, UNet++, PSPNet, and DeepLab v3+ DCNN architectures, as the implementation of these networks are readily accessible and have shown outstanding segmentation results in multiple domains, such as intelligent transportation, geo sensing, and medical imaging.<sup>42-46</sup> The architecture of all the adopted networks contains an encoder and a decoder sub-network. First, the encoder extracts the features from a given image by contracting the image into different depths (resolutions) using different down-sampling convolutions and operational layers. Then, the decoder takes the feature map from different depths of the encoder, predicts the class of the pixel, recovers spatial information, and reconstructs the image using up-sampling convolutions.<sup>47</sup> However, each model uses different strategies, operational layers, and convolutional arithmetic to extract and predict the features. The

U-Net was chosen as the baseline, which comprises a symmetric encoder-decoder architecture and extracts features by applying consecutive convolutions into a fixed depth. UNet++ applies similar strategies to U-Net, but its architecture has been redesigned to operate at the optimal depth.<sup>43,48</sup> In contrast to U-Net and UNet++, PSPNet and DeepLab v3+ simultaneously apply multi-scale convolutional modules to convert the image into different depths, and the decoder fuses all the features at different scales to the prediction output. Applying this strategy can potentially accelerate the speed of image processing by extracting global information in the image more efficiently.<sup>46,49-51</sup>

Although the application of DL tools for x-ray microscopy-based imaging is still in its early stages, here, we provide a unified framework for the analysis of x-ray tomography datasets using DCNN. To obtain an optimal segmentation output, optimized hyperparameters, methods, and backbones were identified for each architecture (a general description of DCNN parameters and terms are provided in the electronic supplementary). A supervised DL approach using stacks of 2D TXM grayscale slices (the raw data) and the corresponding manually segmented RGB images (ground-truth) were used as a training dataset. Then the adopted networks were trained, and the extracted models were used to predict the rest of the dataset images. A comparison of the ground-truth versus the predicted datasets was quantified and showed excellent agreement. In addition, the computational efficiency, based on the time taken to process an image, was also found to be favorable.

## **EXPERIMENTAL METHODS AND PROCEDURE**

In this section, we describe the experimental details for acquiring the XCT dataset, the image segmentation, hardware details, and the analysis used for comparing the accuracy of the predicted segmented images.

## X-ray Synchrotron Tomography

This study was conducted on 2D x-ray slices obtained from a TXM scan performed on 7075 aluminum alloy (AA7075). The principal constituent particles of AA7075 are the Al-Cu-Fe inclusions and the Cu-Mg-Zn precipitates.<sup>52,53</sup> The size, geometry, and distribution of these phases play a significant role in determining the mechanical behavior and corrosion performance of this alloy.<sup>13,54,55</sup> Rods of the AA7075 were overaged using the following heat-treatment protocol: solution treatment at 510°C for 2 h → water-quenching → overageing at 107°C for 6 h and at 163°C for 40 h, followed by further coarsening of the precipitates at 300°C for 86 h. This resulted in a significant coarsening of the precipitate particles. Al-Cu-Fe inclusions, with the composition  $Al_7Cu_2Fe$ , are intermetallic particles present



190 in the form of stringers along the rolling direction. A  
 191 micropillar of overaged AA7075, approximately 15  
 192  $\mu\text{m}$  in diameter and 30  $\mu\text{m}$  in height, was milled  
 193 using a  $\text{Ga}^+$  focused ion beam. A TXM scan was  
 194 conducted on the pillar at the 32-ID-C beamline at  
 195 the APS at Argonne National Laboratory. The  
 196 combination of a condenser lens and custom-made  
 197 Fresnel zone plates yielded a voxel size of 18 nm.  
 198 Details about the beamline can be found in previous  
 199 studies<sup>26,27</sup>. A monochromatic x-ray beam energy of  
 200 9.75 keV (just above the zinc absorption edge) was  
 201 used for the scan to obtain the maximum contrast  
 202 between the Cu-Mg-Zn precipitate particles, the  
 203  $\text{Al}_7\text{Cu}_2\text{Fe}$  inclusions, and the matrix. A total of 1200  
 204 projections were captured over an angular range of  
 205 0–180° with an exposure time of 1 s/projection. A  
 206 filtered back-projection algorithm was used to  
 207 reconstruct the projections using the software  
 208 TomoPy<sup>27</sup>. The reconstructed dataset was converted  
 209 into a 2D slice stack of 730 images, having an image  
 210 size of 768  $\times$  768 pixels with a high bit-depth of 32  
 211 bits.

## 212 Manual Segmentation Recipe

213 Due to different attenuating properties originating  
 214 from different atomic weight densities of the  
 215 particles, these phases can be distinguished based  
 216 on their gray value range. The presence of composite  
 217 phases and the formation of near-field phase  
 218 contrast fringes around the periphery of the particles  
 219 (shown in Fig. 1), makes the manual segmentation  
 220 complicated. To this end, a non-local mean  
 221 filter was employed to reduce noise, and an unsharp  
 222 mask filter was used to sharpen the relevant  
 223 microstructural features. Avizo 9 (Bethesda, MD,  
 224 USA) was then used to perform manual image  
 225 segmentation to generate the ground-truth.

## 226 Deep Convolutional Neural Network (DCNN) 227 Architectures

### 228 *U-Net*

229 The U-Net is a symmetric U-shaped encoder–  
 230 decoder network originally developed for medical  
 231 image processing<sup>56</sup>. Its general architecture shown  
 232 in Fig. 2(a). First, in the contraction path (encoder),  
 233 the image features are extracted using consecutive  
 234 3  $\times$  3 convolutions followed by 2  $\times$  2 rectified linear  
 235 unit (ReLU) activation and 2  $\times$  2 max-pooling  
 236 operations. Then, in the expansion path (decoder),  
 237 the dense output of the encoder is progressively  
 238 expanded. In each step of the expansion path, the  
 239 spatial information of up-convolution is concate-  
 240 nated with the corresponding feature maps from the  
 241 contraction pathway, followed by 3  $\times$  3 convolutions  
 242 and ReLU layers. However, this design has a major  
 243 limitation. Depending on the feature sizes and the  
 244 numbers of labeled classes for training, the optimal  
 245 depth of an encoder–decoder network can vary for  
 246 different segmentation tasks. Hence, this network

247 cannot be used for multi-scale feature segmentation  
 248 as it is unnecessarily restricted to fuse feature maps  
 249 into the fixed depth<sup>43</sup>.

### 250 *UNet++*

251 The UNet++ is a redesigned U-Net architecture  
 252 which extends the U-Net's abilities for achieving  
 253 multi-scale and more accurate semantic segmenta-  
 254 tion.<sup>43,48</sup> As compared to the U-Net (Fig. 2(b)), the  
 255 UNet++ consists of varying depths, and the decod-  
 256 ers are densely connected at the same resolution of  
 257 encoders via skip connections. It bridges the feature  
 258 maps from different depths of the contraction path  
 259 to the expansion path before merging them. This  
 260 architectural modification not only improves the  
 261 overall segmentation accuracy but also enhances  
 262 the learning and prediction time by enabling the  
 263 network pruning itself.<sup>43</sup> Furthermore, using deep  
 264 supervision enables the model to operate in differ-  
 265 ent modes by averaging all segmentation branches.  
 266 In the current study, we also used pre-trained  
 267 ResNet 152 as a backbone to enhance the training  
 268 speed.

### 269 *PSPNet*

270 The pyramid scene parsing network (PSPNet)  
 271 uses the spatial pyramid pooling module with  
 272 different-region-based contexts to achieve superior  
 273 segmentation performance.<sup>43,48,51,57</sup> As shown in  
 274 Fig. 2(c), the PSPNet architecture takes the feature  
 275 map from the last convolutional layer as an input  
 276 image and fuses the features under four different  
 277 pyramid scales. The pyramid levels form pooled  
 278 representations of the feature map. The low-dimen-  
 279 sion feature maps are then up-sampled to the input  
 280 image size and concatenated with the original input  
 281 image.<sup>51,58</sup> Using multi-scale pyramid pooling, con-  
 282 volution aids the network to extract global features  
 283 in the image more efficiently. A graphical inter-  
 284 pretation of spatial pyramid pooling can be found in  
 285 supplementary Fig. S-2. In this study, a pre-trained  
 286 ResNet 152 backbone was used to extract the  
 287 feature map as an input to the pyramid pooling  
 288 module.

### 289 *DeepLab v3+*

290 This network applies atrous convolutions and  
 291 atrous spatial pyramid pooling (ASPP) approach to  
 292 extract the feature in its encoder sub-network.  
 293 Atrous convolution are also called “dilated convolu-  
 294 tions”. A graphical interpretation of ASPP is  
 295 depicted in supplementary Fig. S-3. Using this  
 296 module, DeepLab v3+ is able to extract global and  
 297 multi-scale features of the image simultaneously,  
 298 which results in a faster computational process  
 299 compared to conventional convolutions used in U-  
 300 Net base architectures.<sup>49,50</sup> As shown in Fig. 2(d),  
 301 the extracted features from atrous convolutions  
 302 with different sampling rates and strides are fused



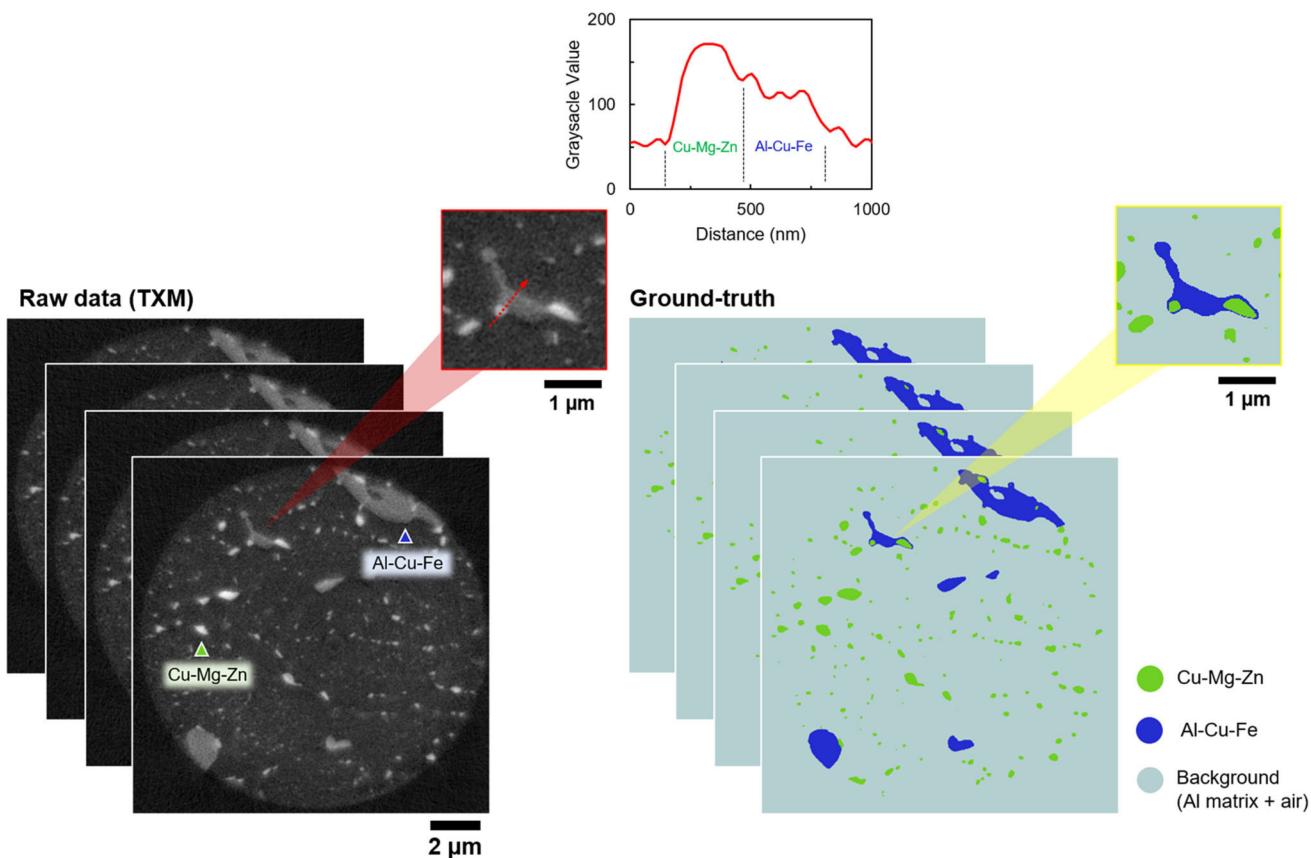


Fig. 1. Typical raw and ground-truth (manually segmented) images from a 15- $\mu\text{m}$ -diameter micropillar of an overaged AA 7075 dataset obtained by the TXM technique.

303 to generate the encoder part of the network. Then,  
 304 the decoder uses the fused map from the ASPP and  
 305 the low-level features received from the preliminary  
 306 extracted feature by DCNN layers as input and  
 307 generates the output predicted output<sup>49</sup>. In this  
 308 study, this technique was paired with an Xception  
 309 71 model as a backbone.

### 310 Training, Testing, and Evaluation of CNN 311 Algorithms

312 After normalizing of the entire batch of TXM  
 313 dataset (a description of batch normalization can be  
 314 found in the supplementary file: S-1), the consecutive  
 315 image stack (here, each slice is a pair of raw and  
 316 ground-truth images (shown in Fig. 1 as an example)  
 317 was randomly split into training and testing batches  
 318 based on their slice numbers. 550 images (75% of the  
 319 whole data) were used for training and 180 images  
 320 (25% of the whole data) were used for testing. During  
 321 training, the ground-truth dataset was used as the  
 322 target output to minimize the loss error. For testing,  
 323 the ground-truth images were used to calculate the  
 324 accuracy of the prediction. To validate and monitor  
 325 training progress after each epoch, 20 images were  
 326 randomly separated from the training batch for the  
 327 validation loss checkpoint. All models were trained  
 328 and tested with the use of GPU (NVIDIA Quadro RTX  
 329 6000) computational resources.

330 We use the intersection over union (IoU) as a  
 331 metric to quantify the accuracy of the segmentation  
 332 models<sup>36</sup>. Given the ground-truth mask and pre-  
 333 dicted image, the IoU for a class  $m$  can be computed  
 334 using Eq. 1:

$$\text{IoU}_m = \frac{t_{pm}}{t_{pm} + f_{pm} + f_{nm}} \quad (1)$$

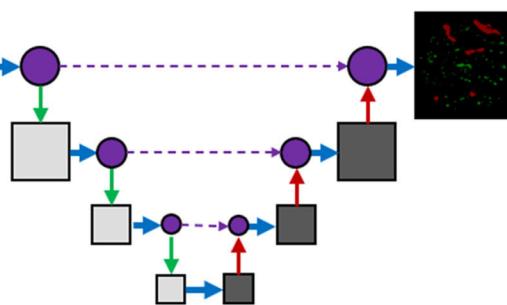
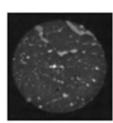
335 where  $t_{pm}$  and  $f_{pm}$  are the numbers of true and  
 336 false positives, respectively, and  $f_{nm}$  refers to the  
 337 number of false-negative pixels in the predicted  
 338 image. This metric measures the ratio of the area of  
 339 overlap between the predicted feature and the  
 340 ground-truth, divided by the area of union between  
 341 the predicted feature and the ground-truth, as  
 342 shown in Fig. 3. The mean of IoU (mIoU) scores  
 343 for every class present in the predicted image were  
 344 used to compare different models.

## 345 RESULTS AND DISCUSSION

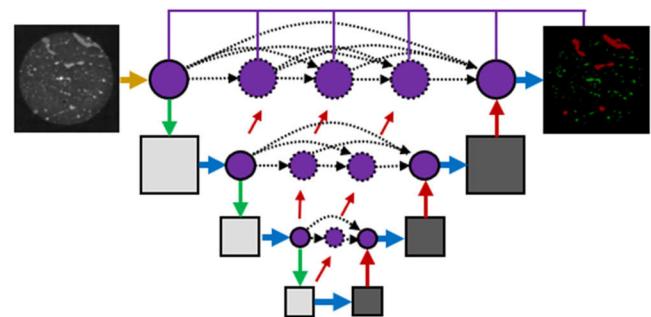
346 The baseline U-Net architecture used for the  
 347 initial experimentation was implemented in a deep-  
 348 learning toolbox for x-ray imaging obtained from the  
 349 “Xlearn” Github repository (github.com/tomogra-  
 350 phy/xlearn). A detailed description of the Xlearn  
 351 network is provided in<sup>40</sup>. To our knowledge, for the  
 352 first time, Xlearn, as a CNN segmentation tool, has  
 353



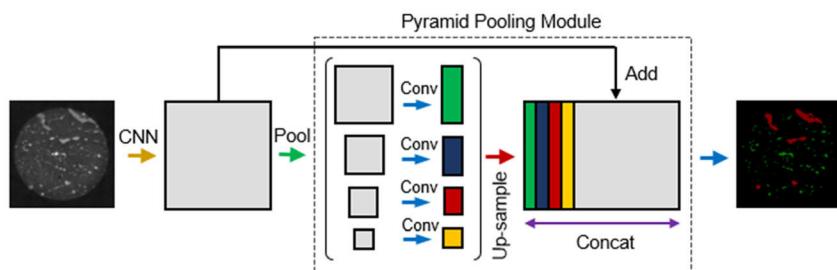
(a)



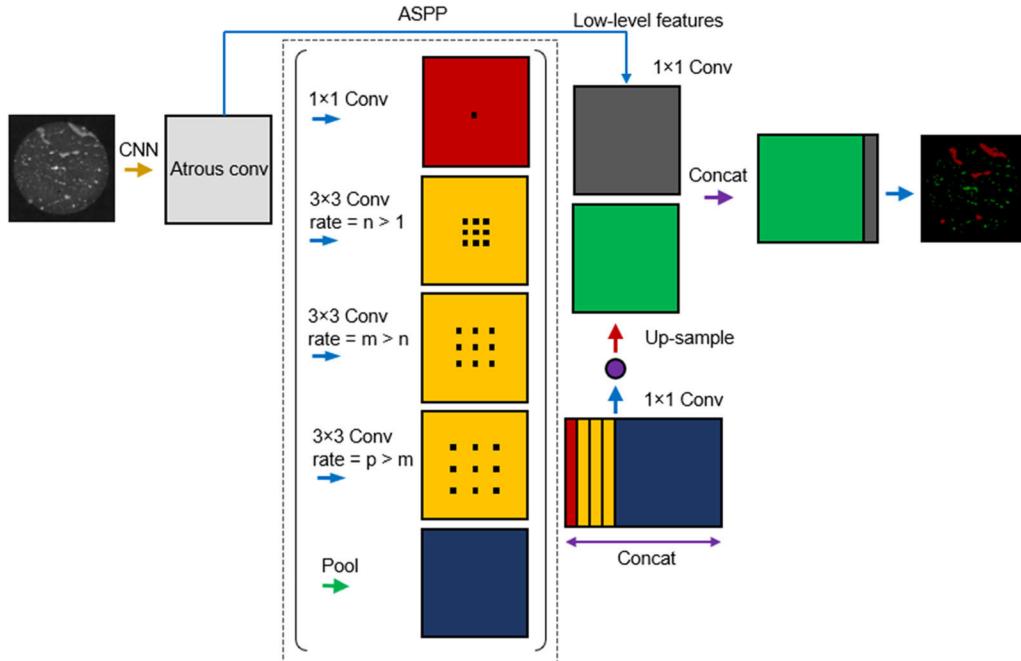
(b)



(c)



(d)



→ Convolution, Activation → Up-sampling (deconvolution) → Pooling → Concatenating ..... → Skip connection

Fig. 2. The architecture of the four networks used for this study: (a) U-Net, (b) UNet++, (c) PSPNet, and (d) DeepLab v3+.

354 been implemented on a TXM dataset to segment 2D  
 355 images and visualize the microstructural features in  
 356 an Al-4%Cu alloy micropillar. This alloy mainly  
 357 consists of plate-like and needle-like  $\text{Al}_2\text{Cu}$  precipi-  
 358 tates in an  $\alpha$ -Al matrix and the shape, size, and  
 359 distribution of each phase is required to understand  
 360 the mechanical behavior of this material.<sup>59</sup> How-  
 361 ever, marginal differences in grayscale values

362 between the existing precipitates in this alloy made  
 363 it almost impossible to conduct manual segmenta-  
 364 tion on the entire TXM dataset. This entailed the  
 365 application of an efficient automated technique to  
 366 fully segment the whole dataset. To this end, a sub-  
 367 volume (only 1/32 of the whole scan) of the TXM  
 368 slices were segmented manually within 36 h. The  
 369 Xlearn algorithm was trained to emulate



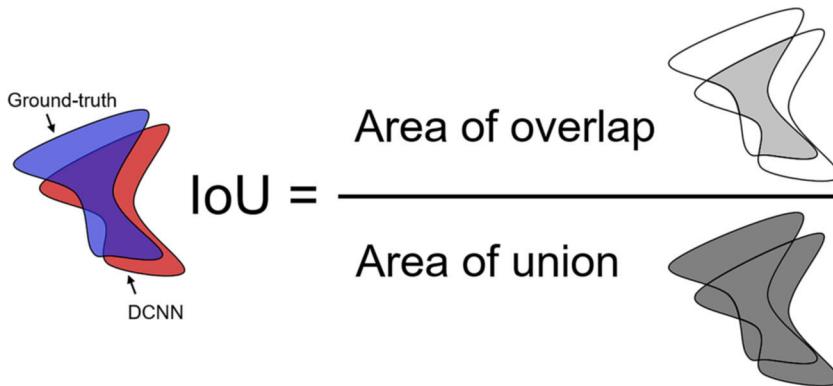


Fig. 3. Graphical interpretation of the intersection over union (IoU) metric used to compare the accuracy of the predicted feature (DCNN) with the manual segmented feature (ground-truth).

**Table I. U-Net results on the testing dataset for binary (2-class) and 3-class segmentation**

Segmentation	Loss function	Epoch	mIoU (%)
2-class (binary)	Means square error)	10	95.9
3-class	Categorial cross-entropy	10	91.7

370 segmenting of the precipitates of the alloy using the  
 371 2D images provided from the manually segmented  
 372 sub-volume. Using a CPU, this process only took 2  
 373 h. Then, the entire dataset was segmented automatically  
 374 using the trained Xlearn model within just 20 h using a CPU. The quantitative volume  
 375 comparison of the 3D-rendered data from the man-  
 376 ually and Xlearn segmented dataset revealed appre-  
 377 ciable accuracy of the DCNN approach for such  
 378 TXM segmentation<sup>23</sup>. The time for automated seg-  
 379 mentation is also a function of the hardware  
 380 computation capability.

381 In our current study, we executed the Xlearn and  
 382 other DCNN algorithms training and testing pro-  
 383 cess on a GPU cluster and compared the computa-  
 384 tional time reduction. The Xlearn was trained on all  
 385 550 training images with a patch size of 768 × 768  
 386 pixels, using the Adam optimizer with the MSE loss  
 387 (binary segmentation) as default loss function.  
 388 However, we changed the loss function to categorial  
 389 cross-entropy be able to classify and segment the 3  
 390 classes. For the binary (2-class) segmentation, both  
 391 the Cu-Mg-Zn precipitates and Al-Cu-Fe inclusions  
 392 were classified as a single class with the background  
 393 being another class; while for 3-class segmentation,  
 394 each precipitate, inclusions, and background were  
 395 classified as separate classes. As shown in Table I,  
 396 the U-Net gave better mIoU scores (mIoU overall all  
 397 classes) in the case of binary segmentation com-  
 398 pared with 3-class segmentation. However, to

399 segment Al-Cu-Fe and Cu-Mg-Zn particles sepa-  
 400 rately, multi-class segmentation was employed for  
 401 all four architectures under consideration.

402 The UNet++ and PSPNet were implemented on a  
 403 nested U-Net architecture from the GitHub reposi-  
 404 tory (github.com/MrGiovanni/UNetPlusPlus). The  
 405 DeepLab v3+ architecture with the exception 71 as  
 406 backbone were referred to existing open-source  
 407 TensorFlow Model Garden implementations  
 408 (github.com/tensorflow/models). The training and  
 409 testing dataset were converted to Tensorflow's  
 410 '.tfrecord' format. Used this way, the models can  
 411 become trained faster, as less memory is consumed  
 412 during processing, while the data can be read  
 413 quickly from memory. Also, the atrous rates were  
 414 set to 3, 6, and 9 for training and testing.

415 In our code implementation, based on the initial  
 416 epochs and monitoring the loss convergence and  
 417 accuracy progression of the validation batch, the  
 418 best sets of hyperparameters were chosen before  
 419 initiating the main training process. These param-  
 420 eters included learning-rate, dropout, batch size,  
 421 loss function, and optimizer (the detailed descrip-  
 422 tion of each term is provided in supplementary file:  
 423 S-1). A fixed batch size was used for all the models.  
 424 Various loss functions provided in<sup>60,61</sup> were tested,  
 425 and the summation of the categorial cross-entropy  
 426 and dice loss (1 – dice similarity coefficient) as the  
 427 loss function gave the best training and testing



**Table II. Performance analysis of the implemented architectures**

Model	Batch size	Epoch	Loss function	Training time (h)	Prediction time per image (s)	mIoU score (%)
U-Net	1	10	Categorical cross-entropy + dice loss	12	1.9	92.2
UNet++	1	10	Categorical cross-entropy + dice loss	8	1.1	95.1
PSPNet	1	10	Categorical cross-entropy + dice loss	5	0.9	88.2
DeepLab v3+	1	10	Categorical cross-entropy + dice loss	4	0.8	89.1

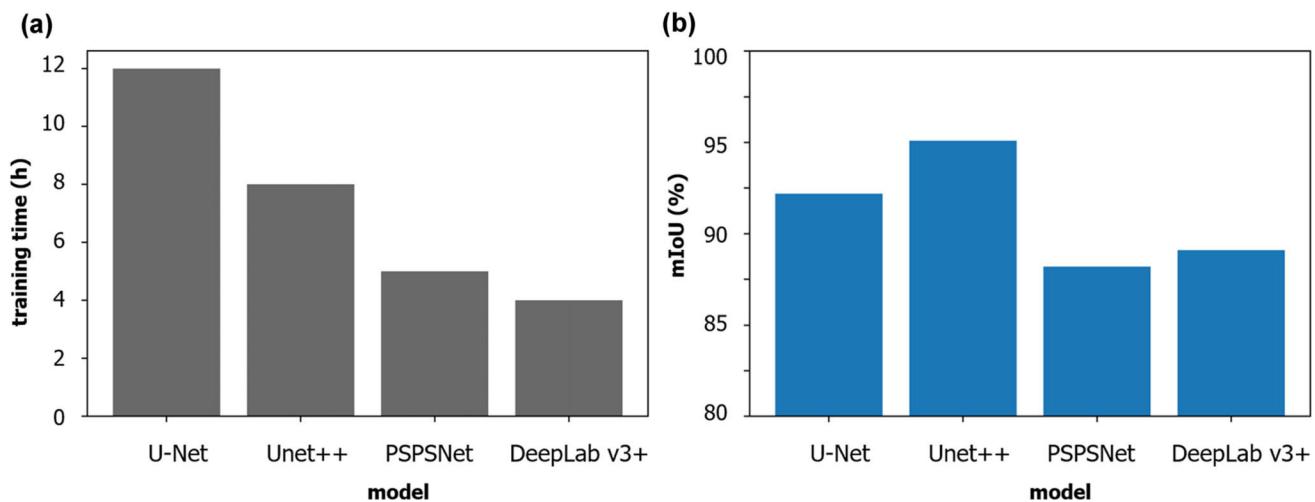


Fig. 4. Performance of the implemented architectures: (a) time of the training, (b) accuracy.

429 results for all the algorithms. In all the training  
430 processes, the learning rate was set to  $10^{-4}$  and the  
431 dropout was 0.5.

432 Numbers of epochs, training time, prediction  
433 time, and mIoU scores obtained from all the models  
434 are listed in Table II, and the preferences are  
435 plotted in Fig. 4. Typical predicted segmentations  
436 by trained models are presented in Fig. 5. The U-  
437 Net implementation took the longest amount of time  
438 owing to the process of fine-tuning and presumably  
439 down-sampling the input image to a fixed depth.  
440 Note that a small increment was observed in the U-  
441 Net mIoU score by applying a summation of the  
442 categorial cross-entropy with dice loss (Table II)  
443 instead of merely categorial cross-entropy loss  
444 (Table I) as the loss function. This improvement is  
445 attributed to the dice loss function, as it not only  
446 evaluates the number of pixels correctly labelled but  
447 also penalizes instances of incorrect segmentation  
448 (false-positive and false-negative) and determines  
449 the accuracy of the segmentation boundaries<sup>42</sup>.

450 The UNet++ training and testing process was  
451 faster than U-Net as it used the pre-trained model  
452 as backbone. Also, the architecture of UNet++ takes

453 advantage of skip connections to operate at an  
454 optimal depth. Considering all of these strategies,  
455 UNet++ achieved significantly higher mIoU perfor-  
456 mance compared to all the other architectures.  
457 PSPNet was able to achieve favorable mIoU scores  
458 in a training time of just 17 h. The faster perfor-  
459 mance of PSPNet compared to UNet++ can be  
460 attributed to the application of pyramid pooling  
461 convolutions. However, comparing all the training  
462 times, the DeepLab v3+ outperformed the other  
463 architectures, but it has a slightly lower segmenta-  
464 tion accuracy than the best one. As DeepLab v3+  
465 takes advantage of atrous pooling convolutions with  
466 different rates, the kernel can move faster across  
467 the input feature map and extract global informa-  
468 tion more efficiently than the other encoder sub-  
469 networks used in other models (an interpretation of  
470 atrous pooling operation can be found in Figure S-3  
471 in supplementary file).

472 In this study, the background class occupies the  
473 major portion of the x-ray micrographs compared to  
474 other constituent particles. Hence, the high values  
475 of IoU score might be coming from background  
476 labels. To highlight the accuracy of segmentation in

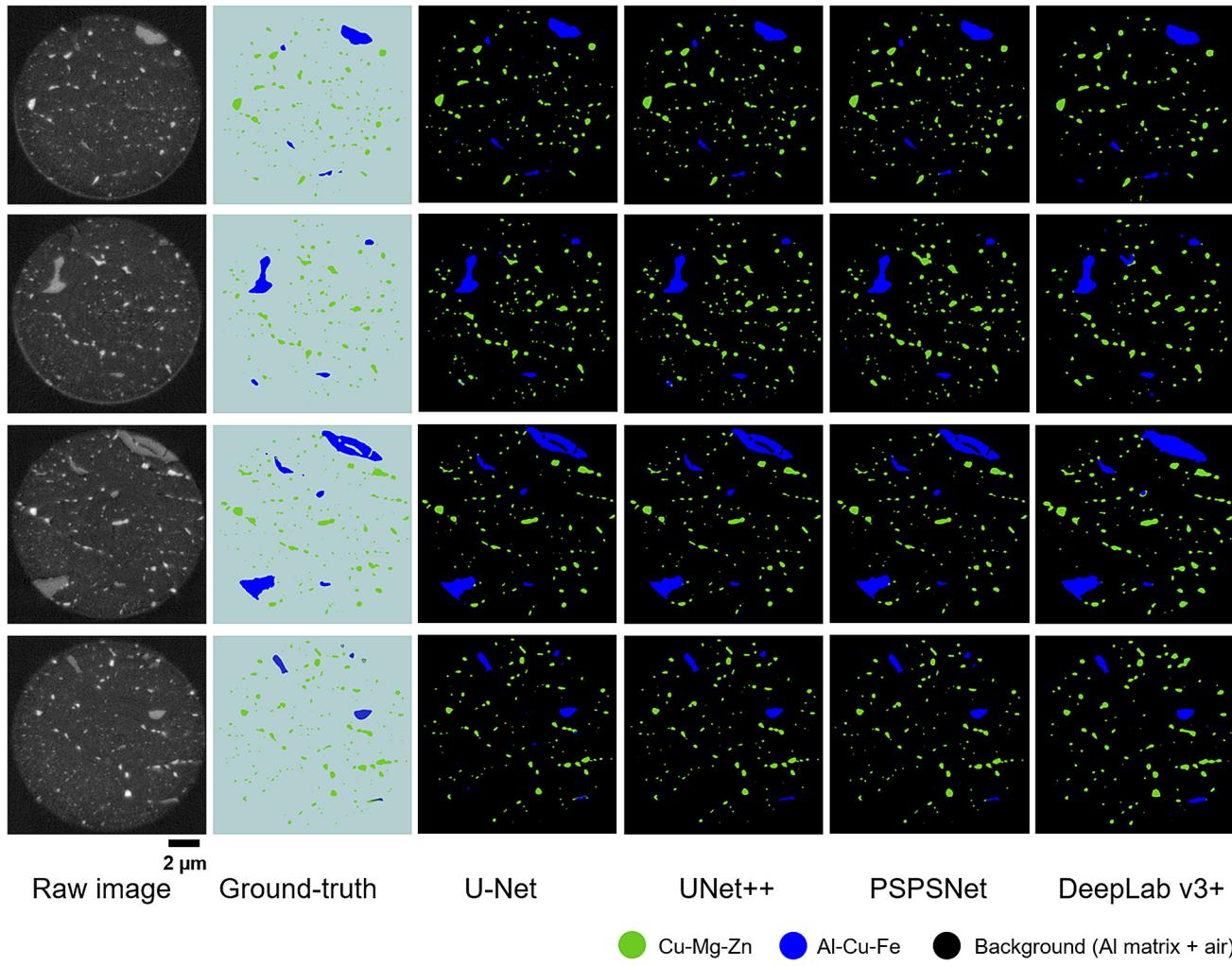


Fig. 5. Comparison of raw, ground-truth, and segmented output of images predicted by different DL architectures.

477 precipitates and inclusions, we calculated the con-  
 478 fusion matrix to evaluate the pixel-level classifica-  
 479 tion accuracy for each label (The description and  
 480 graphical interpretation of the confusion matrix can  
 481 be found in the supplementary document: S-4, 5).  
 482 Figure 6 shows the confusion matrixes extracted  
 483 from pixel-by-pixel comparison of ground-truth and  
 484 predicted images used for testing the networks. A  
 485 detailed look at the numbers clearly reveals that the  
 486 background has the highest true-positive values in  
 487 all the architectures, compared to other labels  
 488 which led to high mIoU scores. The significant  
 489 errors in all the architectures can be attributed to  
 490 the misclassification of Cu-Mg-Zn and Al-Cu-Fe  
 491 labels to background. These errors are more pro-  
 492 nounced for PSPNet and DeepLab v3+, as they use  
 493 larger kernels for convolution operation which can  
 494 potentially dilate the boundaries or small features'  
 495 gray value into the background in the maxpooling  
 496 layer. However, similar to what was observed in the  
 497 mIoU score in Table II, the Unet++ followed by U-  
 498 Net outperformed the other models in term of true-  
 499 positive values. In contrast, a great portion of the

500 Cu-Mg-Zn precipitates and Al-Cu-Fe inclusions has  
 501 been segmented as background by the PSPNet  
 502 model.

503 In the following, intuitive examples of segmenta-  
 504 tion and classification are presented. Figure 7 shows  
 505 an example of the composite particle segmenta-  
 506 tion predicted by all models. Surrounding and embed-  
 507 ding a particle into another phase makes the x-ray  
 508 image segmentation more difficult, as the phase  
 509 boundaries are barely distinguishable. As indicated  
 510 in the outlined boxes in Fig. 5, the U-Net and  
 511 UNet++ outperformed other networks to segment  
 512 Al-Cu-Fe rims around the Cu-Mg-Zn precipitate,  
 513 presumably due to the appropriate depth of the  
 514 convolutions. However, it appears that employing  
 515 pyramid pooling and atrous pooling convolutions by  
 516 PSPNet and DeepLab v3+, respectively, dilates the  
 517 boundaries of the classes and overlooks the details  
 518 of the feature's periphery. This inaccurate seg-  
 519 mentation shows the limitation of the dilated pooling  
 520 strategy used by PSPNet and DeepLab v3+, where  
 521 the kernel matrix size is larger than the area of the  
 522 particle.



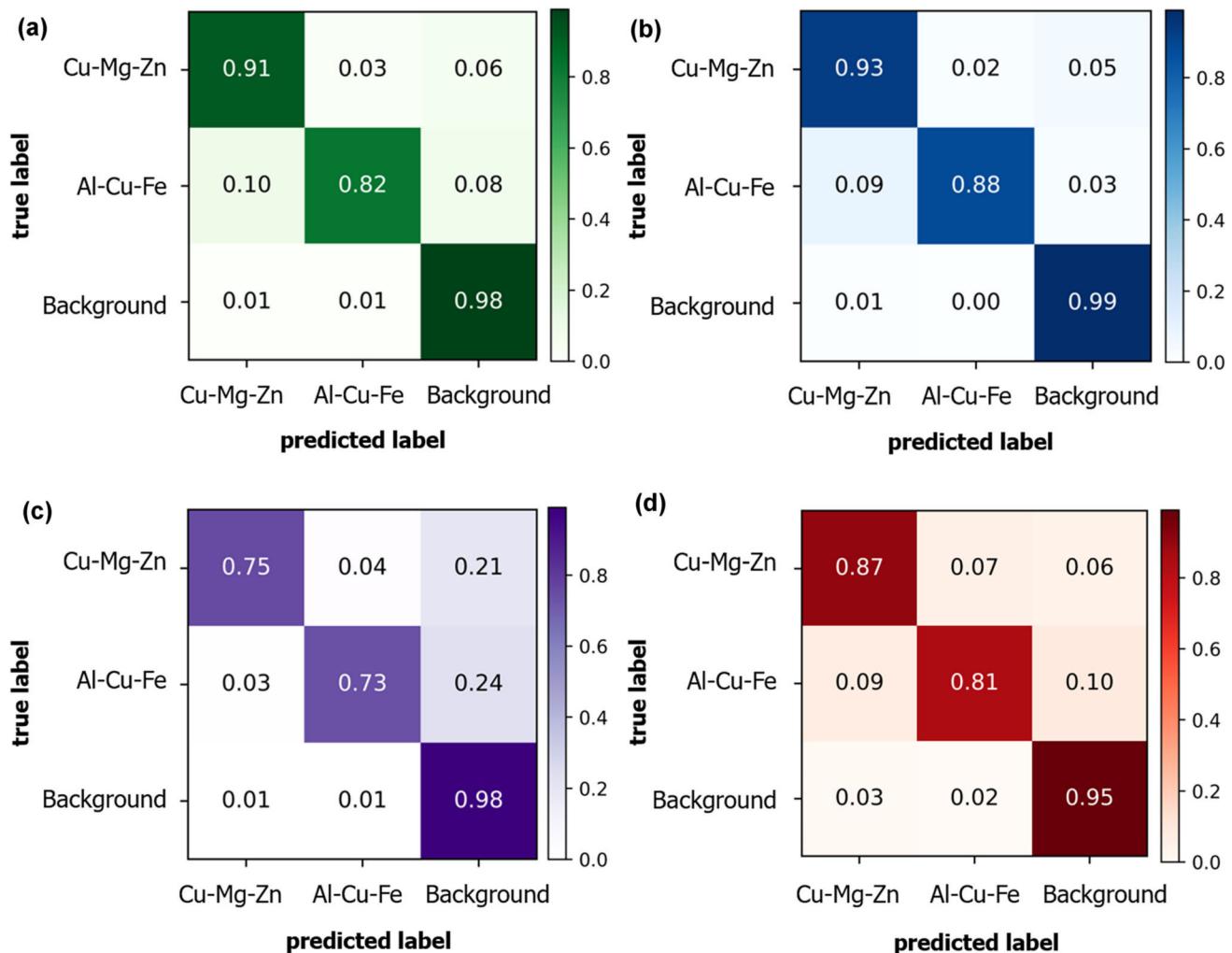


Fig. 6. Normalized confusion results from (a) U-Net, (b) UNet++, (c) PSPNet, and (d) DeepLab v3+.

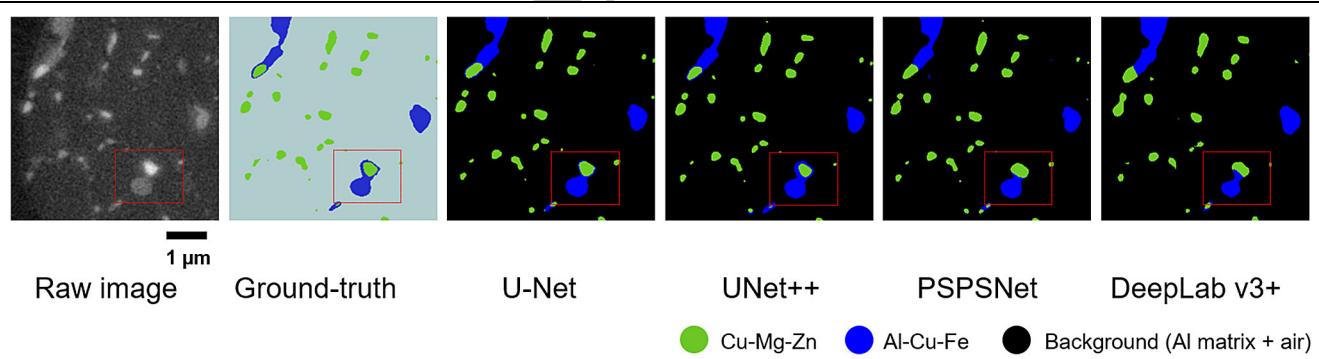


Fig. 7. Example of composite particle segmentation outputs by different DL architectures.

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Figure 8 shows some examples of incorrect seg-  
mentation/classification outputs. All the architec-  
tures misclassified the features where the classes  
(background and particles) seemed to share similar  
gray values. Formation of near-field phase contrast  
fringes around the periphery of the particles or  
sample edges can potentially form brighter spots in  
some regions OF THE x-ray micrographs. For a few

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output images (10% of the Prediction dataset), U-  
Net and Unet++ classified some regions of the  
micropillar edges (background class) as Al-Cu-Fe  
inclusions. In addition, in numerous cases, Al-Cu-Fe  
inclusions were partially classified as Cu-Mg-Zn  
precipitates.

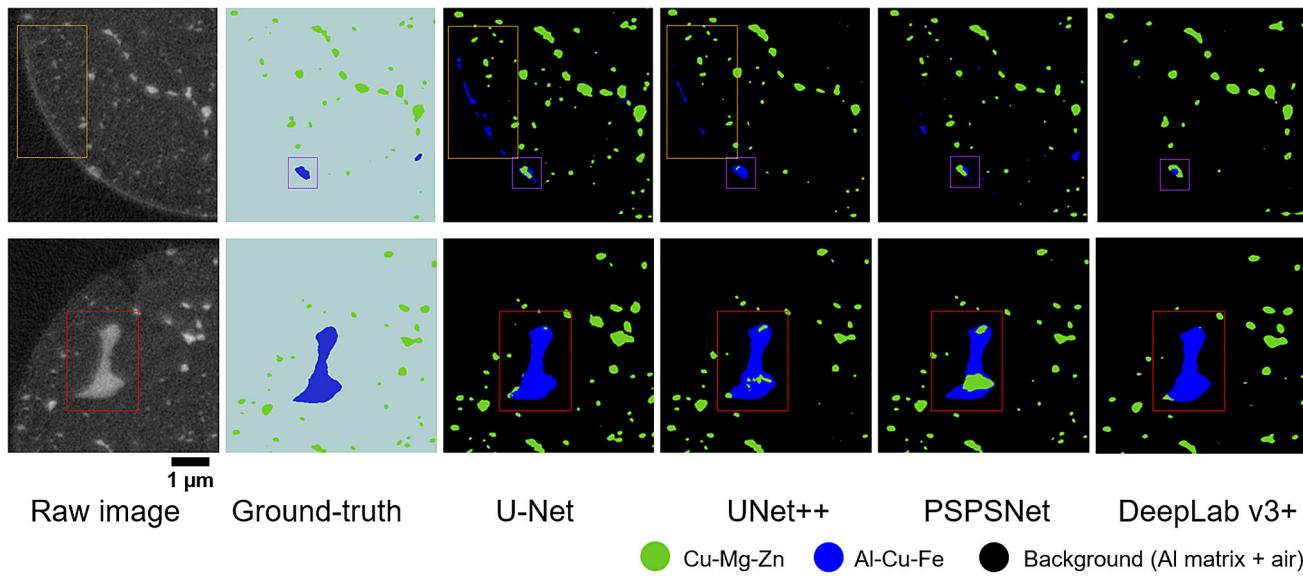


Fig. 8. Examples of incorrect misclassified feature outputs by different DL architectures.

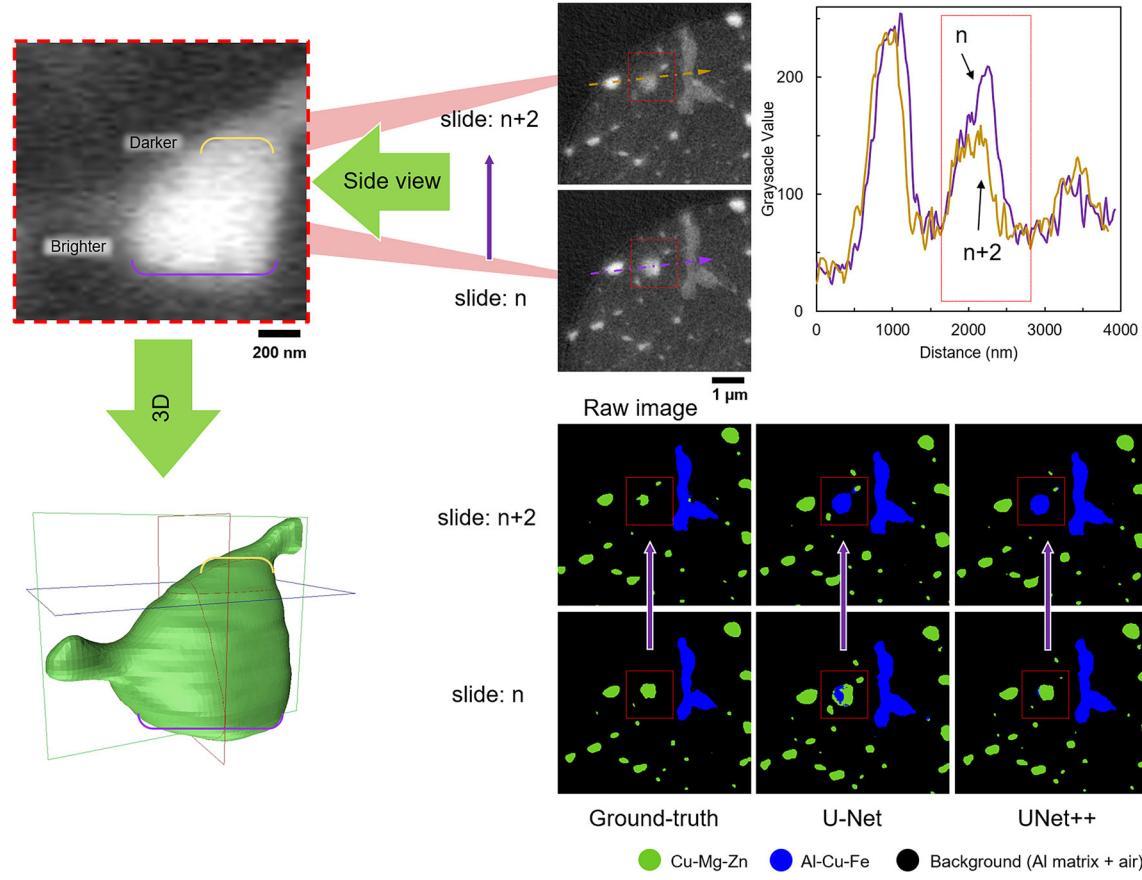


Fig. 9. Examples of inconsistent segmentation outputs predicted by U-Net and UNet++ architectures.

537      Inconsistent classifications were also observed for  
 538      a few cases predicted by U-Net and UNet++.  
 539      Figure 9 shows an example misclassification output  
 540      on a particular precipitate in different slices. Both  
 541      models were able to outline the Cu-Mg-Zn

542      precipitate as a feature, but this particle was  
 543      misclassified as Al-Cu-Fe inclusions in the neigh-  
 544      boring slice. As shown in the grayscale value plot  
 545      and 3D-rendered volume of the outlined Cu-Mg-Zn  
 546      precipitate, this misclassification is primarily due to

547 gray value changes within the thickness of this  
548 particle. The thinner region of the particle appears  
549 to have decreased attenuation compared with center  
550 of the particle. This leads the Cu-Mg-Zn precipitate  
551 to appear darker and look like an Al-Cu-Fe inclu-  
552 sion in its thinner cross-section in the nearby slice.

## 553 CONCLUSION

554 This study focused on the effectiveness of utilizing  
555 four state-of-the art deep learning architectures to  
556 perform automated segmentation on a complex  
557 nanotomography dataset obtained by TXM. A work-  
558 flow was introduced to train, apply, and compare  
559 the models. All four architectures were successfully  
560 implemented and shown to perform well on an x-ray  
561 tomography (XRT) dataset.

562 The U-Net as the baseline and most common  
563 model in x-ray microscopy imageprocessing meth-  
564 ods showed a modest performance and the slowest  
565 training time as compared with other models. By  
566 redesigning U-Net and applying skip connections as  
567 in UNet++, a significantly improved performance  
568 was achieved.

569 Furthermore, it was shown that backbones from  
570 open source libraries such as imangenet could also be  
571 used for XRT image-processing tasks. Promising  
572 performances with superior training times were  
573 achieved by application of pyramid and ASPP  
574 convolutions. However, there is room for further  
575 improvement in the configurations and implemen-  
576 tations of the PSPNet and DeepLab v3+ models  
577 using other libraries, backbones, and shape-aware  
578 loss functions.

579 In addition to metrics such as mIoU, extracting  
580 the confusion matrix and visual assessment of the  
581 output help to interpret the strength of different  
582 CNN architectures for multi-class semantic seg-  
583 mentation, especially when the sizes of the labels  
584 (pixel proportion of the classes) are not balanced.  
585 This approach guides a practitioner to select an  
586 optimized architecture and parameters for auto-  
587 mated segmentation. It has to be noted that the  
588 images used in this study was not pre-processed. In  
589 future, it would be ideal to apply various 2D and 3D  
590 filters, and also to implement data augmentation  
591 techniques to reduce misclassification, inconsis-  
592 tency, and incorrect segmentations.

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604

## 605 CONFLICT OF INTEREST

606 The authors declare that they have no conflict of  
607 interest.

## 611 SUPPLEMENTARY INFORMATION

612 The online version contains supplementary  
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