

## Strength, deformation, and equation of state of tungsten carbide to 66 GPa

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### 1 Abstract

2 Strength, texture, and equation of state of hexagonal tungsten monocarbide (WC) have been  
3 determined under quasi-hydrostatic and non-hydrostatic compression to 66 GPa using angle-  
4 dispersive X-ray diffraction in the diamond anvil cell. Quasi-hydrostatic compression in a Ne  
5 pressure medium demonstrates that nanocrystalline WC is slightly less incompressible than bulk-  
6 scale WC, with respective bulk moduli of  $K_0 = 397 \pm 7$  and  $377 \pm 7$  GPa and pressure derivatives  
7  $K'_0 = 3.7 \pm 0.3$  and  $3.8 \pm 0.3$ . This decrease in incompressibility with grain size is similar to  
8 behavior observed in other ceramics. Under nonhydrostatic compression, WC supports a mean  
9 differential stress of  $\sim 12\text{--}15$  GPa at plastic yielding, which occurs at  $\sim 30$  GPa. Strength in WC is  
10 anisotropic, with the (001) plane supporting 29–42% higher stress than stresses calculated from  
11 mean strain. Simulations using an Elasto-ViscoPlastic Self-Consistent model (EVPSC) indicate  
12 that strength inferred from lattice strain theory may be overestimated due to effects of plastic  
13 deformation. Plastic deformation generates a texture maximum near  $\langle\bar{2}110\rangle$  in the compression  
14 orientation, initially through prismatic slip on the  $\{10\bar{1}0\}\langle\bar{1}2\bar{1}0\rangle$  and  $\{10\bar{1}0\}\langle0001\rangle$  slip systems,  
15 followed by activation of pyramidal slip on  $\{10\bar{1}1\}\langle\bar{2}113\rangle$  at  $\sim 40\text{--}50$  GPa.

16 *Keywords: strength, deformation, EOS, ceramics, tungsten carbide*

### 17 1. Introduction

18 Tungsten monocarbide is a transition metal carbide used extensively in industrial and  
19 research technology because of an abundance of useful physical properties, including high strength  
20 and hardness, ultra-incompressibility, wear resistance, and high melting temperature [1–5].

Because of its high strength, synthetic WC is used as a sturdy backing for abrasives on modern industrial cutting tools, in wear-resistant coatings [6–9], and in the manufacture of anvils and support structures in high-pressure apparatuses [1,10, and e.g. 11]. Improving the strength of parts made from WC and extending the pressure range accessible by high-pressure devices are active areas of research [e.g. 12,13]. WC is also one of the least compressible materials known, with a bulk modulus comparable to other incompressible materials such as Os-borides, cBN, and cRuO<sub>2</sub> [7,14–16]. WC has also been useful to high-pressure/temperature redox chemistry: it was originally discovered via reduction of tungsten oxide [17,18], a reaction that defines the WC-WO redox buffer used in geochemistry [19], and also occurs naturally in Earth’s reduced mantle as the rare mineral qusongite [20]. Despite these remarkable properties and widespread applications, the strength and deformation mechanisms of WC under extreme quasi-static stress have not been studied.

Constraints on the equation of state (EOS) of WC are important for understanding its response to extreme conditions and chemistry [21]. Experimental work on WC has reported values of the ambient pressure bulk modulus,  $K_0$ , ranging from 329-452 GPa [2,22] depending on method and grain size of WC. EOS measurements for WC based on X-ray diffraction of samples compressed in a multianvil device under hydrostatic conditions with high-temperature annealing have been reported to 30 GPa [10]. Relative to these measurements, experiments conducted in the diamond anvil cell (DAC) have yielded systematically higher volumes and incompressibility under pressure, possibly due to nonhydrostatic stress. Recent first-principles studies provide values for  $K_0$  for WC that mostly cluster in the center of the experimental range for WC ~380-390 GPa. Additional experiments are needed to reconcile these differences in observed and predicted bulk compression behavior.

Nano-grain-size WC was also suggested to be much more incompressible than bulk-grain-size WC, with  $K_0$  ~452 GPa, similar to diamond [2]. In general, effects of nanoscale grain sizes on bulk incompressibility are not clearly systematic: a few-10s-nm grain size cubic BN [23], Al<sub>2</sub>O<sub>3</sub> [24] and TiO<sub>2</sub> [25] have been observed to be less incompressible than bulk samples, while nano-

47 grain-sized noble metals Au, Ag, and Pt appear more incompressible than micron-scale grain sizes  
48 [16,26,27]. For other materials such as Fe, TiC, and TiN, observations suggest that grain sizes have  
49 either no effect or nonmonotonic effects on bulk modulus [28–30].

50 Anisotropic elasticity of WC has also been studied by both experiments and theory, but  
51 limited high pressure constraints are available. The elastic stiffness coefficients  $c_{ij}$  for WC have  
52 been studied at ambient conditions experimentally [31] and computationally [2,8,32-36].  
53 Theoretical  $c_{ij}$ s as a function of pressure have been computed to 100 GPa [35,36], and agree well  
54 with previous experimental values at ambient conditions, with the exception of  $c_{13}$ , which is  
55 consistently predicted to be ~100 GPa lower than the experimental value [31]. The pressure-  
56 dependence of the  $c_{ij}$ s of WC has not been measured experimentally, and experimental tests of  
57 theory are required.

58 The high strength, i.e. maximum stress before transition from elastic to plastic deformation,  
59 of WC and other strong metal-light element compounds is linked to covalent bonding which  
60 impedes deformation mechanisms common in metals. In hexagonal  $P\bar{6}m2$  WC, carbon atoms are  
61 positioned as interstitial layers in what would be an otherwise softer (though among the strongest  
62 of all metals) hexagonally closest-packed sub-lattice of W atoms [37,38]. This interstitial  
63 positioning combined with the density of valence electrons promotes strong covalent W-C bonding  
64 [5,38]. In addition, the incomplete  $5d$  band in W atoms promotes replacement of the softer metallic  
65 W-W bonds by W-C covalent bonds, increasing the hardness and incompressibility of WC relative  
66 to WN, which has similar structure but different valence states [33]. The interstitial C atoms also  
67 impede the movement of dislocations within the lattice during strain and act to prevent basal slip,  
68 which is commonly observed in hexagonal materials [39]. Slip at ambient conditions activates in  
69 the closest-packed directions and is prismatic on  $\{10\bar{1}0\}\langle0001\rangle$  and  $\{10\bar{1}0\}\langle2\bar{1}\bar{1}0\rangle$ , and Burgers  
70 vector  $\langle2113\rangle$  has been noted as dislocation decomposition of  $\langle2\bar{1}\bar{1}0\rangle$  [39,40]. This blocking of  
71 common slip systems and dislocation motion in general increase hardness and strength by impeding  
72 plasticity [5]. Ultimately, there is still sufficient metallic character such that WC only reaches a

Vickers hardness of ~30 GPa [41,14], making WC harder than many industrial ceramics, but substantially softer than superhard (Vickers hardness > 40 GPa) materials like diamond [e.g. 42] and cubic boron nitride [43,44]. However, at high pressures, the strength, hardness, and slip mechanisms of WC have not been studied. Because the high-pressure compressive yield strength is related to both hardness and bulk modulus, WC is expected to yield at lower stress relative to superhard materials, but comparable or higher stress than yielding in other ultra-incompressible ceramics. Elastic and plastic anisotropy induced by interstitial carbon layers may translate into slip strength anisotropy in the WC lattice.

To characterize the strength, deformation, and the equation of state of WC with pressure, we compressed hexagonal WC powder of bulk (microcrystalline) and nanocrystalline grain size to pressures up to 66 GPa at room temperature with X-ray diffraction in the diamond anvil cell (DAC). Complementary Elasto-ViscoPlastic Self Consistent (EVPSC) simulations on textures and lattice strains were carried out to determine the plastic deformation mechanisms and strength at high pressures consistent with new experimental data. Our results extend the pressure range of the quasi-hydrostatic EOS of WC to 59 and 64 GPa for bulk and nanocrystalline WC, respectively, and offer new constraints on strength and plastic deformation mechanisms of WC.

## 2. Experimental Details

### 2.1 Sample Preparation and Loading

Microcrystalline (Alfa Aesar) and nanocrystalline (Inframet) hexagonal WC powders were used as sample materials. Initial grain sizes of these materials were determined to be 1.2  $\mu\text{m}$  and 54 nm based on Rietveld refinement of ambient X-ray diffraction (XRD) patterns [45] collected using a Bruker DaVinci D8 powder diffractometer with Cu K $\alpha$  source at the Michigan State University Center for Material Characterization.

Volumetric compression under hydrostatic conditions and strain and texture development under non-hydrostatic conditions were investigated in WC in diamond anvil cells. For hydrostatic experiments, WC powder was loaded with Au (internal pressure standard, Alfa Aesar) and ruby

(internal pressure standard used to confirm success of gas loading, ADFAC) within a Ne medium using the COMPRES/GSECARS gas-loading apparatus [46]. Each sample was enclosed by a rhodium gasket pre-indented to ~40- $\mu\text{m}$  thickness with ~150- $\mu\text{m}$  sample chamber and compressed in symmetric DACs with anvils with 300- $\mu\text{m}$  culets. For nonhydrostatic experiments, WC powder was packed without a medium and an Au foil standard was placed on top. An X-ray transparent beryllium gasket pre-indented to 32  $\mu\text{m}$  with a 100- $\mu\text{m}$ -diameter sample chamber hole was used with a 2-pin panoramic DAC with 300- $\mu\text{m}$  anvil culets. Gaskets were machined using the HPCAT laser cutting facility [47]. Samples were compressed in 2-10 GPa steps up to maximum pressure of 66 GPa, with pressure at each step calculated using the equation of state for Au [48].

## 2.2 X-ray diffraction in the DAC

Upon compression, synchrotron X-ray diffraction was obtained using both axial diffraction geometry in a symmetric DAC in which the X-ray probe was parallel to the loading axis (both grain sizes), and the radial diffraction geometry in a panoramic DAC in which the incident X-rays were perpendicular to the loading axis (bulk WC only). Angle-dispersive X-ray diffraction (ADX) was conducted at the High-Pressure Collaborative Access Team (HPCAT) beamline at Argonne National Lab, Sector 16-BM-D. X-rays monochromatized to 40 keV (axial experiments) or 37 keV (radial experiments) were focused to 4-6- $\mu\text{m}$  spot size using Kirkpatrick-Baez focusing mirrors and collimated using a 90- $\mu\text{m}$  pinhole. Diffraction patterns were collected for 60-80s on a MAR2300 image plate detector. Detector geometry was calibrated using a CeO<sub>2</sub> standard.

Diffraction patterns were masked to eliminate saturated intensity and integrated to 1-D profiles using Fit2D [49] or Dioptas software [50]. For data collected in the axial geometry, diffraction peaks were fit to Voigt lineshapes using the IgorPro MultipeakFit module. For analysis of data collected in the radial geometry, each pattern was divided into 5° azimuthal wedges over the full 360° azimuthal range for full-profile Rietveld refinement with Materials Analysis Using Diffraction (MAUD) software [51,52]. The synchrotron instrument parameters in MAUD were refined using the CeO<sub>2</sub> standard. Sample parameters, including polynomial backgrounds, lattice

constants, grain size, and microstructure were refined at each pressure step. Strain was fit at each step for WC and Au using the “Radial Diffraction in the DAC” model. To include the maximum number of diffraction lines from WC in our calculations and to minimize the effects of peak overlap, Q-values for higher-order parallel planes were fixed equal to the lowest order plane to which they were parallel. Be and BeO phases (at 1 bar) were included in the refinement to model diffraction from the gasket peaks. Texture in all phases was fit using the Entropy-modified Williams-Imhof-Matthies-Vinel (E-WIMV) texture model [53,54] with an imposed fiber symmetry. The orientation distribution function (ODF) was exported from MAUD and inverse pole figures were plotted using the BEARTEX software [55]. Pressure was calculated from unit cell volumes of Au determined by fitting the (111) diffraction peak in the 5° azimuthal wedge containing the hydrostatic angle ( $\psi = 54.7^\circ$ ).

### 3. Results and Interpretation

Representative diffraction patterns for bulk and nano-crystalline WC compressed in Ne are presented in Fig. 1. All observed diffraction peaks correspond to the WC sample, Ne medium, Au pressure standard, and Re gasket. Ne peaks (highly textured spots) and diamond spots were masked to remove overlap with WC sample. Only non-overlapped WC and Au diffraction lines were used to determine unit cell parameters. Lattice spacings for WC (001, 100, 101, 110, and 111) and Au (111, 200, and 220) were fit by least squares with UnitCell Software [56]. The resulting unit cell volumes for both nano-crystalline WC and bulk WC are presented in Fig. 2.

#### 3.1 Equation of state and linear compressibility

Volume-pressure data collected in the axial geometry for WC compressed in Ne medium (Fig. 2) were fit to a 3<sup>rd</sup> order Birch-Murnaghan equation of state (BME), yielding EOS parameters tabulated with previous work in Table 1. Previous studies in the DAC report higher  $K_0$  but lower  $K_0'$  than calculated in our work or the work by Litasov et al. [10]. Our results use the pressure scale of Dewaele [48], and yield pressures ~3-5% higher pressures than the pressure scales of Mao [57] and Heinz and Jeanloz [58] used by other workers. Adjusting previous results to the Dewaele ruby

scale would increase the apparent disparity in  $K_0$  values. In addition to non-hydrostatic stress and choice of pressure scale, the trade-off between  $K_0$  and  $K_0'$  during EOS fitting is responsible for some of the difference between reported values for the EOS parameters (Fig. 3). Combined with independent measurements of elasticity from ultrasonic [1,7] and shock wave [59] studies, the consensus value for  $K_0$  is ~380-400 GPa, which is consistent with our bulk  $K_0$  obtained from the EOS fit, 397(7) GPa when  $V_0$  is fit to 20.76 Å<sup>3</sup>. The range of  $K_0'$  most consistent with our data and the consensus  $K_0$  is ~3.6-4.3. In comparison, density functional theory (DFT) predictions using both the local density approximation (LDA) and generalized gradient approximation (GGA) all predict  $K_0' > 4$  [2,8,21,35,36]. When higher values for  $V_0$  are fixed during the EOS fit to high pressure data, we also obtain  $K_0' > 4$  consistent within uncertainty with our results (Fig. 3). Our experiments indicate that the bulk modulus of nano-crystalline WC is lower than that of the bulk material, and consistent with the consensus of ultrasonic, shock wave, and DFT EOS.

The ratio of the hexagonal lattice parameters  $c/a$  can indicate a convolution of anisotropic elasticity and anisotropic stress. Our experimental values for  $c/a$  in bulk WC compressed hydrostatically in Ne medium indicate a systematically lower ratio than other DAC XRD studies that employed nonhydrostatic media (Fig. 4). Again, note that axial XRD in the DAC samples crystallites oriented near the direction of minimum stress. Anisotropic stress combined with anisotropic elasticity will result in systematic differences in lattice parameters  $c$  and  $a$  calculated from diffraction lines at the minimum stress orientation. Systematically higher  $c/a$  ratio from studies of WC under non-hydrostatic compression in the axial geometry indicates anisotropy in linear compressibility. The linear compressibilities  $\chi_a$  and  $\chi_c$  may be determined from their relations to the bulk modulus and the pressure dependence of the  $c/a$  ratio in a hexagonal material [60,61]:

$$2\chi_a + \chi_c = \frac{1}{K} \quad (1)$$

$$\chi_a - \chi_c = \left( \frac{\partial \ln(c/a)}{\partial P} \right)_T \quad (2)$$

175 Under hydrostatic conditions, the  $c/a$  ratio of WC increases non-linearly with pressure, so the slope  
176 of its pressure dependence cannot be accurately represented with a constant value. To determine  
177 the pressure dependence of  $c/a$ , we determined lattice parameters  $a$  and  $c$  and  $K(P)$  from the quasi-  
178 hydrostatic diffraction data, fit a least-squares 3<sup>rd</sup> order BME to parameters  $a$  and  $c$  to obtain  
179 parameters  $a(P)$  and  $c(P)$ , and computed numerical derivatives of the ratio  $c/a$  at each pressure step.

180 Experiments and theoretical computations agree that the  $a$  direction of WC is more  
181 compressible than  $c$ . Our BME fit of lattice parameters for WC yields linear ambient bulk moduli  
182 of  $K_a = 366$  GPa and  $K_c = 456$  GPa for bulk WC and  $K_a = 359$  GPa and  $K_c = 407$  GPa for  
183 nanocrystalline WC. The value of  $K_c$  for nanocrystalline WC compressed in Ne medium is lower  
184 than for bulk WC, and lower than the value reported in previous experiments on nanocrystalline  
185 WC [2].

### 186 3.2 Differential Stress and Elastic Stiffness Coefficients

187 Without a hydrostatic medium, a sample in an opposed anvil device such as the DAC  
188 sustains approximately uniaxial compressive stress, with a maximum stress  $\sigma_3$  parallel to the  
189 direction of the compression by the diamonds, and a radial minimum stress  $\sigma_1$  [62]. The difference  
190 between these stresses is termed the differential stress. In order to characterize the effects of non-  
191 hydrostatic stress on deformation of anisotropic materials, the radial diffraction geometry allows  
192 observation of strains at a wide range of orientations relative to the orientation of maximum stress.  
193 Unrolled radial “cake” patterns obtained at selected pressures upon compression of bulk WC are  
194 presented in Fig. 5.

195 Diffraction lines of WC under anisotropic strain exhibit varying  $d$ -spacing along the  
196 azimuthal angle. The measured  $d$ -spacing  $d_m$  deviates from the hydrostatic  $d$ -spacing  $d_p$  as a  
197 function of the angle  $\psi$  between the normal vector to the diffracting plane and the loading axis as  
198 quantified by the non-hydrostatic lattice strain  $Q(hkl)$  for individual lattice planes  $hkl$  [63,64]:

199 
$$d_m(hkl) = d_p(hkl)[1 + (1 - 3\cos^2\psi)Q(hkl)]. \quad (3)$$

200 Our detection limit for  $Q(hkl)$  is  $\sim 8-9 \times 10^{-4}$ , with typical uncertainty up to  $6-7 \times 10^{-4}$ , exemplified by  
 201 the strain observed in the (201) plane at 16 GPa. For materials in the hexagonal crystal system such  
 202 as WC,  $Q(hkl)$  is a quadratic function [64,63,61] of lattice plane orientation  $B(hkl)$ , relative to the  
 203 loading axis:

204 
$$Q(hkl) = m_0 + m_1 B + m_2 B^2, \quad (4)$$

205 where  $B(hkl) = \frac{3a^2l^2}{[4c^2(h^2+hk+k^2)+3a^2l^2]}$ , in which  $a$  and  $c$  are the measured lattice parameters at  
 206 pressure, and the  $m_i$  are the coefficients of the quadratic relationship between  $Q$  and  $B$ .

207 In the elastic regime, the strain  $Q(hkl)$  is a function of the differential stress,  $t$ , the elastic  
 208 shear moduli  $G_R$  and  $G_V$  under isostress (Reuss bound) and isostrain (Voigt bound) conditions,  
 209 respectively, and  $\alpha$ , a constant between 0.5 and 1 which determines the weight between Voigt and  
 210 Reuss conditions, i.e. stress vs. strain continuity at grain boundaries [63–65].

211 
$$Q(hkl) = \frac{t}{3} \left[ \frac{\alpha}{2G_R(hkl)} + \frac{1-\alpha}{2G_V} \right], \quad (5)$$

212 The mean strain  $\langle Q(hkl) \rangle$  and range of  $Q(hkl)$  for different diffraction lines indicate lattice strain  
 213 due to increasing anisotropic stress, change in anisotropic elasticity, or both. Above the yield stress,  
 214 in the viscoelastic regime,  $Q(hkl)$  will be modified by plasticity as well.

215 We used full-profile refinement in MAUD (Fig. 5) with the “Radial Diffraction in the  
 216 DAC” stress model to determine  $Q$ -factors for each  $hkl$  (Fig. 6). With increasing pressure (and  
 217 differential stress),  $Q(hkl)$  increases for all diffraction lines, and the range of  $Q(hkl)$  observed  
 218 increases, with maximum lattice strain in WC at (001) and (100) directions, and minimum lattice  
 219 strain near (101) and (112). Up to  $\sim 30$  GPa, strain is increasingly anisotropic for WC (Fig. 6e). At  
 220  $\sim 30$  GPa, the effect of pressure on  $Q$  tapers off, and anisotropy in  $Q$  values is due to both elastic  
 221 and plastic deformation.

222 Fig. 7a illustrates the range of differential stress values obtained for analysis assuming  
 223 Reuss and Voigt bounds. In the Reuss limit ( $\alpha = 1$ , implying stress continuity across crystallite

224 boundaries), mean strain  $\langle Q(hkl) \rangle$  and prior constraints on the shear modulus  $G$  [65] may be used  
 225 to determine  $t$ :

$$226 \quad t = 6G\langle Q(hkl) \rangle f(x), \quad (6)$$

227 where  $f(x)$  is a function of  $\alpha$  and elastic anisotropy factor  $x$ . Across a range of materials and crystal  
 228 systems  $f$  has been shown to have a value close to 1 [e.g. 66], so we adopt  $f=1$  in analysis of WC.  
 229 Aggregate shear modulus  $G(P)$  was constrained by extrapolation of a linear fit of ultrasonic data  
 230 obtained up to 14 GPa [in 1]. Based on these assumptions, elastic differential stress sustained by  
 231 WC is reported in Fig. 10, with error bars computed based on the standard deviation of  $Q(hkl)$  at  
 232 each pressure.

233 Average values of differential stress obtained from lattice strain increase with pressure  
 234 throughout the entire range of this study, to a maximum of 27 (Voigt,  $\alpha=0$ ) to 33 (Reuss,  $\alpha=1$ ) GPa  
 235 at the maximum pressure measured, 66 GPa. The slope of  $t(P)$  decreases at  $\sim 30$  GPa, at which  
 236 pressure the observed differential stress is  $\sim 12$  GPa. A decrease in slope of  $t(P)$  is consistent with  
 237 expected behavior at initiation of plastic flow.

238 Fig. 7b illustrates Reuss stresses for individual lattice planes in which  $t(hkl)$  is calculated  
 239 using equation 6 with  $Q(hkl)$  for (001), (100), (110), (101), and (111) and the X-ray shear modulus  
 240  $G_R^X(hkl)$ , given by [63]:

$$241 \quad \left[ 2G_R^X(hkl)^{-1} = \frac{1}{2}(2S_{11} - S_{12} - S_{13}) + B^2(-5S_{11} + S_{12} + 5S_{13} - S_{33} + 3S_{44}) + \right. \\ 242 \quad \left. B^4(3S_{11} - 6S_{13} + 3S_{33} - 3S_{44}) \right], \quad (7)$$

243 where the  $S_{ij}$  are the elastic compliances. Differential stress  $t(001)$  is substantially higher than  $t(hkl)$   
 244 for other planes, supporting 28 GPa of differential stress at the yield stress, 29% higher than the  
 245 Reuss bound differential stress calculated from  $\langle Q(hkl) \rangle$  with theoretical  $G_R$ , 42% higher than the  
 246 differential stress determined from  $\langle Q(hkl) \rangle$  and the aggregate shear modulus.

247 In the elastic regime, the strain anisotropy from  $Q(hkl)$  can also be used to compute elastic  
 248 compliances  $S_{ij}$ .  $S_{ij}$  at a given pressure may be determined by the vector product of the inverted

249 coefficient matrix of the lattice strain equations [63] with their solution matrix for the hexagonal  
 250 system [61,63]:

$$251 \quad \begin{bmatrix} 2 & -1 & -1 & 0 & 0 \\ -5 & 1 & 5 & -1 & 3 \\ 3 & 0 & -6 & 3 & -3 \\ 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 & 0 \end{bmatrix}^{-1} \begin{bmatrix} 6m_0/t \\ 6m_1/t \\ 6m_2/t \\ \chi_a \\ \chi_c \end{bmatrix} = \begin{bmatrix} S_{11} \\ S_{12} \\ S_{13} \\ S_{33} \\ S_{44} \end{bmatrix} \quad (8)$$

252 Equations **(1-6 and 8)** may thus be used to combine  $Q(hkl)$  with independent constraints on the  
 253 linear incompressibilities in  $a$  and  $c$  directions  $\chi_a$  and  $\chi_c$  derived from hydrostatic data above, and  
 254 an average  $G(P)$  or  $t(P)$ , to determine  $S_{ij}$ s. The elastic stiffness coefficients ( $c_{ij}$ s) are obtained from  
 255 equivalence relations between  $c_{ij}$ s and  $S_{ij}$ s [67].

256 Calculated  $c_{ij}$  values for the full experimental pressure range are shown in Fig. 8. Note that  
 257 apparent  $c_{ij}$  are modified by convolved effects of plasticity with elasticity on strain behavior. Our  
 258 experimental  $c_{ij}$  are in best agreement with predicted values from DFT [35,36] below the plastic  
 259 yield pressure at  $\sim 30$  GPa, providing support for the accuracy of these predictions. DFT predicts  
 260 that all  $c_{ij}$  increase with pressure. The relative behavior of  $c_{12}$  and  $c_{13}$  may indicate a minor correction  
 261 is needed to DFT predictions. At 16 GPa, our lowest pressure with resolved strain,  $c_{12}$  and  $c_{13}$  are  
 262  $\sim$ equivalent, as observed by ultrasonic methods at 1 bar [31], though ultrasonic measurements  
 263 obtained slightly higher values for both than our high pressure values. Our observations suggest  
 264 that  $c_{12}$  decreases or remains approximately constant with pressure. In contrast, DFT predicts that  
 265  $c_{12}$  should consistently be significantly greater than  $c_{13}$  and should increase faster with pressure  
 266 than  $c_{13}$ . Both experimental studies find that  $c_{12}$  is the weakest of the stiffnesses, and the value of  
 267  $c_{12}$  derived from radial diffraction also may be more likely than  $c_{13}$  to be affected by plasticity.

268 Throughout the full pressure range from 16 to 66 GPa, our values for  $c_{11}$  and  $c_{13}$  continue  
 269 to agree well with theoretical predictions, but  $c_{33}$  and  $c_{44}$  diverge rapidly from theory as plasticity  
 270 progresses.  $c_{33}$  decreases until it becomes similar to  $c_{11}$  at  $\sim 30$  GPa, and  $c_{44}$  increases rapidly and  
 271 remains  $\sim 200$  GPa higher than predicted. Plasticity strongly affects these two stiffnesses.  
 272 Significant discrepancies between experimental and theoretical  $c_{33}$  and  $c_{44}$  even below 30 GPa,

273 which based on the differential stress analysis above is interpreted to be within the elastic regime,  
274 indicate that some plasticity modifies strain behavior even below widespread yielding.

275 **3.3 Plastic Deformation**

276 Plasticity may be evaluated based on the texture (non-random orientation distribution of  
277 crystallites) of the sample and lattice strains of a series planes as observed as systematic azimuthal  
278 variations in diffraction intensity and d-spacing variation with azimuth (Fig. 5). The E-WIMV  
279 model implemented in MAUD software fits intensity variation (texture) in the Debye-Scherrer  
280 rings by generating an orientation distribution function that describes the frequency of crystallite  
281 orientations within the sample coordinate system [68]. The “Radial Diffraction in the DAC” stress  
282 model in the MAUD software fits the d-spacing variation with azimuth to obtain lattice strains.  
283 Deformation mechanisms can be investigated using EVPSC simulations, which model lattice  
284 strains and texture as a function of slip system activities and strength.

285 **3.3.1 Texture Analysis**

286 To determine crystallite orientation in bulk WC, the E-WIMV texture model was applied  
287 to each phase at each pressure step. Upon compression of WC up to 16 GPa, texture remained  
288 random. At 16 GPa, weak texture develops (figs. 5 and 10). Texture strength scaled in multiples of  
289 random distribution (m.r.d.) is observed to increase with pressure, particularly above 30 GPa, the  
290 pressure at which yielding was inferred from lattice strain. The development of texture supports  
291 the onset of plasticity at ~30 GPa (Fig. 11).

292 At the maximum pressure examined in this study, 66 GPa, the texture maximum in the  
293 inverse pole figure of the compression direction is near the  $\bar{2}\bar{1}\bar{1}0$  pole, which is the pole to the  
294 (100) in 3-coordinate  $hkl$  notation (Fig. 11). In the case of WC, (001) is the lattice plane supporting  
295 the highest strain and exhibiting the highest strength. Note that WC is a layered structure, with  
296 layers of C-atoms (graphene) orthogonal to 001, between hexagonal W layers [37]. The covalent  
297 C-C bonds within the layer are very strong, making deformation in the  $\langle 001 \rangle$  direction extremely  
298 difficult. To determine which deformation mechanism(s) is consistent with generating this

299 preferred orientation in WC, modeling elasto-viscoplastic response of a polycrystalline WC  
300 aggregate is necessary.

301 **3.3.2 Plasticity Simulation**

302 Plasticity was simulated with an elasto-viscoplastic self-consistent (EVSPC) [69] model,  
303 modified for application to high-pressure experiments [70]. The model simultaneously reproduces  
304 refined  $Q$  values (lattice strain) and texture development at each pressure step and accounts for both  
305 elastic and viscoplastic deformation (Fig. 9). For our models we used theoretical elastic properties  
306 for WC [35]. The EVPSC model treats individual grains in a polycrystalline material as inclusions  
307 in an anisotropic homogeneous effective medium (HEM). The average of contributions from all  
308 grain inclusions determines the properties of the HEM matrix. Plasticity of a grain in the HEM  
309 matrix is then described by rate-sensitive constitutive equation for multiple slip systems:

310 
$$\dot{\varepsilon}_{ij} = \dot{\gamma}_0 \sum_s m_{ij}^s \left\{ \frac{|m_{kl}^s \sigma_{kl}|}{\tau^s} \right\}^n sgn(m_{kl}^s \sigma_{kl}), \quad (9)$$

311 where  $\dot{\varepsilon}_{ij}$  is the plastic strain rate,  $\dot{\gamma}_0$  is the reference shear strain rate and  $\tau^s$  is the critical resolved  
312 shear stress (CRSS) of the slip system  $s$  at the reference strain rate under conditions in the HEM.  
313 The grains are subject to local stress tensor  $\sigma_{kl}$ , the symmetric Schmid factor  $m_{kl}^s$  describes the  
314 straining direction of slip system  $s$ . When the stress resolved onto a given slip system is close to or  
315 above the threshold value, plastic deformation will occur on that slip system. The empirical stress  
316 exponent  $n$  describes strain rate sensitivity to applied stress, where infinite  $n$  implies rate-  
317 insensitivity. Deformation of WC appears to be rate insensitive [71] and consequently we assume  
318 an arbitrary high stress exponent of  $n = 30$  [70], which is large enough to simulate rate insensitivity,  
319 yet small enough to preserve stability of the model.

320 The parameter  $\tau^s$  represents the effective polycrystal CRSS and includes both strain  
321 hardening and pressure hardening. Pressure hardening and strain hardening effects on CRSS cannot  
322 be separated because both pressure and strain increase simultaneously in DAC experiments. Both  
323 are included in the pressure dependence of CRSS calculated by:

324 
$$\tau^s = \tau_0^s + \frac{\partial \tau^s}{\partial P} P, \quad (10)$$

325 where  $\tau_0^s$  is the initial CRSS value, and  $\frac{\partial \tau^s}{\partial P}$  is its pressure-dependence. Values of CRSS and its  
 326 pressure dependence for WC are presented in Table 3. The CRSS effectively controls slip system  
 327 activity and different active slip systems [72] result in different lattice strains and texture and must  
 328 be matched to experimental observations.

329 Lattice strain and texture evolution in WC are modeled simultaneously to determine  
 330 deformation mechanisms such as slip system activity and slip system strength and is used to  
 331 calculate yield stress from reproduced Q-values and texture (Fig. 7 and 11). Slip is activated at ~30  
 332 GPa on the  $\{10\bar{1}0\}\langle\bar{1}2\bar{1}0\rangle$  prismatic slip system. From 30-40 GPa, this system converges towards  
 333 ~50% of the slip system activity with the other 50% supported by  $\{10\bar{1}0\}\langle0001\rangle$  prismatic slip.  
 334 Above 50 GPa, these systems each account for ~45% of the slip system activity, with the remaining  
 335 10% contributed from  $\{10\bar{1}1\}\langle\bar{2}113\rangle$  pyramidal system (Fig. 12), which activates at ~40 GPa, and  
 336 increases to 10% activity by 50 GPa. This slip system is needed to induce yielding on Q(001) and  
 337 occurs in  $\{10\bar{1}1\}$  rather than in  $\{10\bar{1}0\}$  as described in previous work [39,40].

338 **3.3.3 Crystallite Size and Microstrain**

339 Refined values of grain size and microstrain in radial XRD patterns of bulk WC support  
 340 the observed texture and modeled deformation mechanisms (Fig. 13). Mean anisotropic grain size  
 341 decreases rapidly until plastic yielding, after which the grain size decreases slowly. Anisotropic  
 342 crystallite size represents the size of coherently diffracting regions within the sample [73].  
 343 Local stresses can reduce the refined grain size by reducing the size of these regions, which can  
 344 explain grain size reduction below plastic yielding [e.g. 74]. Microstrain increases with pressure  
 345 until yielding, where it drops sharply and then begins to increase again. A second drop in  
 346 microstrain may follow activation of slip on  $\{10\bar{1}1\}\langle\bar{2}113\rangle$ . Both microstrain and elastic  
 347 macrostrain behavior as a function of pressure support elastic stress release in WC through plastic  
 348 slip.

349 To further assess size and strain effects, we calculated size and strain contributions to peak  
350 broadening obtained from fitting individual peaks [after 75]. Size and microstrain affect peak  
351 widths with different dependencies on the Bragg angle  $\theta$ . Assuming the size and strain components  
352 of peak broadening can be simply summed, the full width at half maximum of each peak  $\beta_{tot}$  is  
353 given by:

354 
$$\beta_{tot} = C\varepsilon \tan \theta + \frac{K\lambda}{L \cos \theta} \quad (11)$$

355 Multiplying both sides of this equation by  $\cos \theta$  yields a line  $\beta_{tot} \cos \theta$  vs.  $\sin \theta$ , where the slope  
356  $C\varepsilon$  is the strain component, and intercept  $K\lambda/L$  is the size component. Peak widths and positions for  
357 planes 001, 100, and 101 were used for all analyses.

358 Size and strain as a function of pressure for bulk and nano-WC in Ne, and bulk WC with  
359 no medium are given in Fig. 14. Size contribution in the nano and bulk samples remains ~constant  
360 throughout the studied pressure range, consistent with no grain size reduction under the low shear  
361 stress supported by the Ne medium. The size effect in nano-WC is similar, but slightly larger than  
362 in bulk WC. Because the Ne medium is only quasi-hydrostatic, the strain contribution  $C\varepsilon$  for both  
363 grain sizes compressed in Ne is similar and increases with increasing pressure and strength of the  
364 medium, but is less than the strain component observed in the sample without a medium. At  
365 pressures below 30 GPa in the bulk sample with no pressure medium, the size contribution  
366 increases due to convolution of lattice bending and some reduction in grain size. At 30 GPa in this  
367 nonhydrostatic sample, widespread yielding is indicated by a significant increase in size  
368 contribution  $K\lambda/L$  and decrease in strain contribution  $C\varepsilon$ . Above 30 GPa, strain in nano-WC in Ne  
369 is intermediate between strain observed in bulk WC with and without the Ne medium. This is  
370 possibly due to the larger grain boundary surface area in nano-grain material being subjected to  
371 higher strain. Nano-crystalline WC may also be more sensitive to the increasing non-hydrostatic  
372 stress conditions exerted by the Ne pressure medium.

373 **4. Discussion**

374                   **4.1 Equation of State**

375                   Observed volumes for bulk WC obtained in this study under quasi-hydrostatic conditions  
376                   are similar to data obtained in multi-anvil experiments on annealed WC, but systematically lower  
377                   than volumes observed in previous DAC studies [1,2] (Fig. 2). Previous DAC studies employed  
378                   less hydrostatic pressure-transmitting media: NaCl, methanol-ethanol solutions, and/or silicone oil  
379                   are known to sustain significantly non-hydrostatic stress particularly at pressures above  $\sim$ 10 GPa  
380                   [76–78]. Under nonhydrostatic axial compression, diffraction in axial geometry samples the  
381                   crystallites near the orientation of minimum compression, and so obtains systematically larger  
382                   calculated volumes and a correspondingly higher apparent incompressibility. The neon medium  
383                   used in this study supports  $<\sim$ 1 GPa differential stress through the 64 GPa maximum pressure  
384                   investigated here [e.g. 79], resulting in reliable quasi-hydrostatic volumes for constraining the EOS  
385                   of WC.

386                   Although previous work had suggested nano-WC is highly incompressible [2], data  
387                   obtained under quasi-hydrostatic compression in this study demonstrate that nano-WC is not more  
388                   incompressible than bulk WC. Observed volumes for bulk and nano-crystalline samples are  
389                   indistinguishable at ambient conditions and remain similar upon compression. With increasing  
390                   pressure, volumes obtained for nano-WC diverge to slightly smaller volumes relative to those for  
391                   bulk WC. Previous work on nano-WC used silicone oil pressure medium [2], and as for bulk WC,  
392                   may have overestimated incompressibility due to effects of non-hydrostatic stress. Based on our  
393                   results for both bulk- and nano-WC compressed in Ne medium, we conclude there is no significant  
394                   stiffening due to grain size; if anything, nano-WC is slightly less incompressible than bulk WC.  
395                   This decrease in incompressibility with decreasing grain size in the nano-regime is consistent with  
396                   observations for other ceramics cBN, TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> [23–25].

397                   Understanding the effects of grain size on incompressibility is important for assessing  
398                   overall elasto-viscoplastic responses of polycrystalline materials. Our bulk modulus value of 397  
399                    $\pm$  7 GPa is in agreement with both theory and other hydrostatic experimental studies on WC. For

400 nanocrystalline WC, our bulk modulus value of  $377 \pm 7$  is lower than the bulk value, and  
401 substantially lower than previous values reported for nano WC. A growing body of evidence  
402 indicates that while nano-scale grain size increases strength (e.g. the Hall-Petch effect), it decreases  
403 incompressibility for multiple incompressible materials, now including tungsten carbide. WC is  
404 among the least compressible materials, with incompressibility on par with cBN and cRuO<sub>2</sub> [cf.  
405 14], but neither the bulk nor the nano-crystalline phase is as incompressible as diamond or higher-  
406  $K_0$  osmium borides[5,14].

#### 407 **4.2 Strength, Elasticity, and Deformation**

408 The strength of bulk WC determined from lattice strain is comparable to other hard  
409 ceramics below 30 GPa pressure and 12-15 GPa differential stress is supported at the yield point  
410 of 30 GPa. The strength of WC determined by lattice strain is similar to that of TiB<sub>2</sub> and B<sub>6</sub>O  
411 [80,81] (Fig. 10). It supports less differential stress than doped diamond [82], but is stronger than  
412 tungsten boride [83]. Reuss stresses provide information on strength anisotropy in WC, with (001)  
413 supporting the highest strength. Oriented WC crystals may provide a means of producing stronger  
414 parts without the need for binders. Lattice strain assumes purely elastic deformation however, and  
415 the determination of strength based on EVPSC modeling suggests a lower overall yield strength  
416 and flow stress when plasticity is considered. Plasticity affects the experimental results, and as  
417 noted by previous studies on other materials [84,85] strength from inferred elasticity may be  
418 overestimated in previous studies when not accounting for plasticity.

419 Deformation of WC above the yield stress includes both plastic and elastic components.  
420 The elastic stiffness coefficients calculated from our results only agree in part with theoretical  
421 calculations. This is consistent with observations of other materials in which plasticity is expected  
422 to occur. Previous experimental studies of elasticity based on radial diffraction have similarly  
423 observed that only some elastic constants agree with density functional theory predictions, while  
424 others diverge [e.g. 60,86]. This is attributed to the effects of plasticity [84,87]. In the case of  
425 rhenium[60],  $c_{11}$  and  $c_{12}$ , which describe stress and strain in the basal planes of the hexagonal

426 system, agree well with computations. In hcp cobalt [86,88], only  $c_{12}$  and  $c_{13}$  are in modest  
427 agreement with theory. In WC,  $c_{11}$  and  $c_{13}$ , representing stress and strain in both the basal and  
428 meridional planes, agree with theory but  $c_{12}$ ,  $c_{33}$ , and  $c_{44}$  do not. Q-values are a function of both  
429 plasticity and elasticity and more work is needed to successfully solve for elastic stiffnesses in X-  
430 ray diffraction experiments on materials undergoing plastic deformation. Experimental values for  
431 the bulk and shear moduli at pressures  $> 15$  GPa are needed to minimize error in calculations of  $c_{ij}$ s  
432 measured in X-ray diffraction experiments and provide additional constraints for theoretical  
433 predictions of these parameters. New theoretical computations accounting for experimental  
434 measurements of  $c/a$  with pressure is necessary to better constrain the pressure-dependence of the  
435  $c_{ij}$ s, and to assess the effect of non-hydrostatic stress on hexagonal materials like Re and WC.

## 436 **5. Conclusion**

437 Our results demonstrate the mechanical response of WC under quasi-hydrostatic and non-  
438 hydrostatic compressive loads up to 66 GPa. As determined by our data and modeling, the strength  
439 of the (001) plane in WC is ~29-42% larger than the mean strength of WC. Plastic deformation in  
440 WC above yielding at 30 GPa is accommodated by prismatic slip on  $\{10\bar{1}0\}\{\bar{1}2\bar{1}0\}$  and  
441  $\{10\bar{1}0\}\langle 0001 \rangle$ , and pyramidal slip on  $\{10\bar{1}1\}\{\bar{2}113\}$ . WC anvils should be oriented to the  
442 strongest direction to maximize strength performance under pressure. The new constraints provided  
443 by this study on the strength, deformation, and EOS of WC can help inform production of WC  
444 parts, and potentially applications of polycrystalline materials more broadly, for research and  
445 industry.

## 446 **Declaration of Competing Interest**

447 The authors declare that they have no known competing financial interests or personal  
448 relationships that could have appeared to influence the work reported in this paper.

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461                   Sciences, under Contract No. DE-AC02-06CH11357.

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469**Tables and Figures**

**Table 1:** Experimental and theoretical values for the equation of state parameters for hexagonal tungsten monocarbide. US = ultrasonic, SW = shock wave, DAC = diamond anvil cell, MAP = multi-anvil press, XRD = X-ray diffraction, LDA = local-density approximation, GGA = generalized gradient approximation, PWP = plane wave potential, PBE = Perdew, Burke, and Ernzerhof, LMTO = linear muffin-tin orbital. Values and uncertainties reported for this work are obtained from fit to the Birch-Murnaghan equation of state.

$V_0$ (Å <sup>3</sup> )	$K_0$ (GPa)	$K_0'$	Grain Size	Method	Reference
20.4667	329	-	not specified	US	[22]
20.707-20.747	383	-	not specified	SW	[59]
-	390.3	-	not specified	US	[7]
$20.806 \pm 0.020$	$383.8 \pm 0.8$	$2.61 \pm 0.07$	Bulk	US	[1]
				DAC XRD, NaCl, silicone oil, and 4:1 methanol-ethanol solution	
$20.806 \pm 0.020$	$411.8 \pm 12.1$	$5.45 \pm 0.73$	Bulk		[1]
20.749	$452.2 \pm 7.8$	$1.25 \pm 0.53$	Nano	DAC XRD, silicone oil	[2]
$20.750 \pm 0.002$	$384 \pm 4$	$4.65 \pm 0.32$	Bulk	MAP XRD, MgO	[10]
$20.75 \pm 0.00$	$387 \pm 5$	$4.38 \pm 0.40$	Bulk	MAP XRD, MgO	BM-EOS fit to [10]
$20.76 \pm 0.01$	$397 \pm 7$	$3.7 \pm 0.3$	Bulk	DAC XRD, Ne	This study
20.74 (fixed)	$412 \pm 4$	$3.3 \pm 0.2$	Bulk	DAC XRD, Ne	This study
$20.74 \pm 0.01$	$377 \pm 7$	$3.8 \pm 0.3$	Nano	DAC XRD, Ne	This study
20.72 (fixed)	$388 \pm 5$	$3.5 \pm 0.2$	Nano	DAC XRD, Ne	This study
				<b>Exchange- correlation functional</b>	
$V_0$ (Å <sup>3</sup> )	$K_0$ (GPa)	$K_0'$			Reference
	-	655	-	not specified	[89]
		404	-	GGA	[90]
20.5267	382.4	-	GGA	[32]	
-	382.4	-	GGA	[33]	
-	392.5	-	LDA	[34]	
20.749	$390.2 \pm 0.5$	$4.19 \pm 0.04$	LDA	[2]	
20.6558	393	-	GGA	[8]	
20.6558	400.9	4.06	GGA	[8]	
21.240	356	-	GGA	[91]	
21.33	373	4.40	GGA	[21]	
-	389.4	4.16	GGA	[35]	
20.99	389.6	4.27	GGA	[36]	

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474 **Table 2:** Observed pressures and lattice parameters with uncertainties from UnitCell [56] fit of  $d$ -  
spacings for bulk and nano-crystalline WC.

Bulk			Nano		
Pressure (GPa)	Lattice parameter $a$ (Å)	$c$ (Å)	Pressure (GPa)	Lattice parameter $a$ (Å)	$c$ (Å)
1 Bar	$2.9049 \pm 0.0001$	$2.8378 \pm 0.0004$	1 Bar	$2.905 \pm 0.0002$	$2.838 \pm 0.0004$
0.9	$2.9038 \pm 0.0002$	$2.8370 \pm 0.0004$	0.9	$2.902 \pm 0.0002$	$2.838 \pm 0.0004$
3	$2.8979 \pm 0.0002$	$2.8324 \pm 0.0004$	1	$2.899 \pm 0.0002$	$2.837 \pm 0.0004$
6	$2.8923 \pm 0.0002$	$2.8277 \pm 0.0004$	4	$2.894 \pm 0.0002$	$2.832 \pm 0.0004$
8	$2.8854 \pm 0.0002$	$2.8220 \pm 0.0004$	7	$2.886 \pm 0.0002$	$2.823 \pm 0.0004$
10	$2.8800 \pm 0.0002$	$2.8180 \pm 0.0004$	13	$2.871 \pm 0.0002$	$2.812 \pm 0.0004$
13	$2.8756 \pm 0.0002$	$2.8142 \pm 0.0004$	18	$2.860 \pm 0.0001$	$2.803 \pm 0.0004$
15	$2.8707 \pm 0.0002$	$2.8111 \pm 0.0004$	23	$2.848 \pm 0.0001$	$2.792 \pm 0.0003$
18	$2.8635 \pm 0.0001$	$2.8045 \pm 0.0004$	28	$2.838 \pm 0.0001$	$2.784 \pm 0.0003$
21	$2.8574 \pm 0.0001$	$2.7993 \pm 0.0004$	32	$2.828 \pm 0.0001$	$2.777 \pm 0.0003$
24	$2.8503 \pm 0.0001$	$2.7940 \pm 0.0004$	35	$2.822 \pm 0.0001$	$2.772 \pm 0.0003$
27	$2.8446 \pm 0.0001$	$2.7891 \pm 0.0003$	39	$2.815 \pm 0.0001$	$2.768 \pm 0.0001$
30	$2.8377 \pm 0.0001$	$2.7831 \pm 0.0003$	46	$2.803 \pm 0.0001$	$2.758 \pm 0.0003$
34	$2.8303 \pm 0.0001$	$2.7779 \pm 0.0003$	49	$2.796 \pm 0.0001$	$2.752 \pm 0.0003$
39	$2.8218 \pm 0.0001$	$2.7710 \pm 0.0003$	53	$2.792 \pm 0.0001$	$2.745 \pm 0.0003$
42	$2.8153 \pm 0.0001$	$2.7646 \pm 0.0003$	56	$2.785 \pm 0.0001$	$2.741 \pm 0.0003$
46	$2.8090 \pm 0.0001$	$2.7607 \pm 0.0003$	60	$2.778 \pm 0.0001$	$2.736 \pm 0.0003$
47	$2.8056 \pm 0.0001$	$2.7562 \pm 0.0003$	64	$2.770 \pm 0.0001$	$2.733 \pm 0.0003$
51	$2.7983 \pm 0.0001$	$2.7508 \pm 0.0003$			
54	$2.7944 \pm 0.0001$	$2.7471 \pm 0.0003$			
55	$2.7921 \pm 0.0001$	$2.7453 \pm 0.0003$			
59	$2.7879 \pm 0.0001$	$2.7423 \pm 0.0003$			

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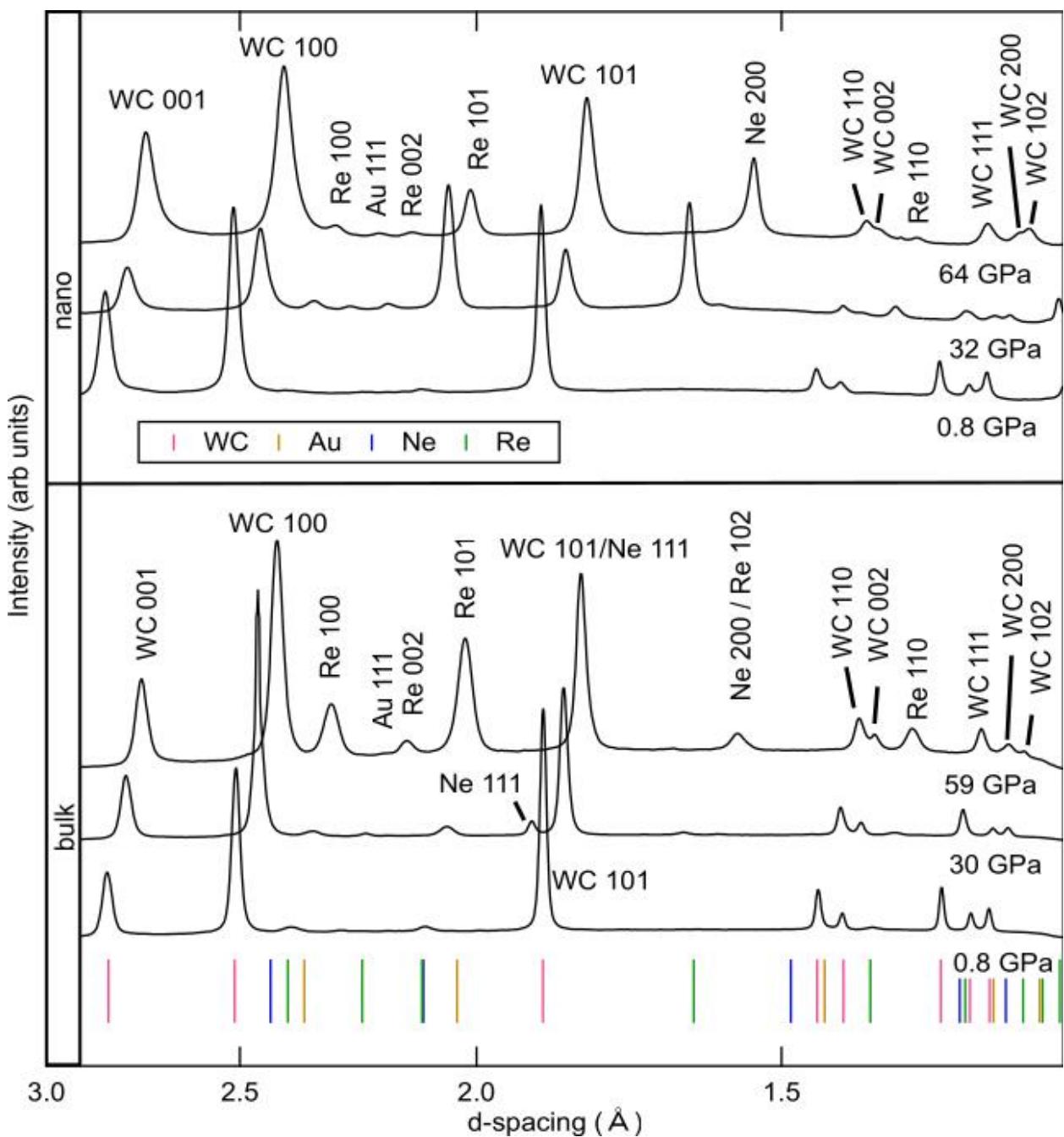
**Table 3:** CRSS and pressure dependence values for active slip systems in WC under non-hydrostatic compressive stress.

<b>Slip System</b>	<b>Slip Mechanism</b>	<b>CRSS (GPa)</b>	<b>d(CRSS)/dP</b>
$\{10\bar{1}0\}\langle\bar{1}2\bar{1}0\rangle$	Prismatic	4.0	0.065
$\{10\bar{1}0\}\langle0001\rangle$	Prismatic	2.6	0.065
$\{10\bar{1}1\}\langle\bar{2}113\rangle$	Pyramidal	14.0	0.08

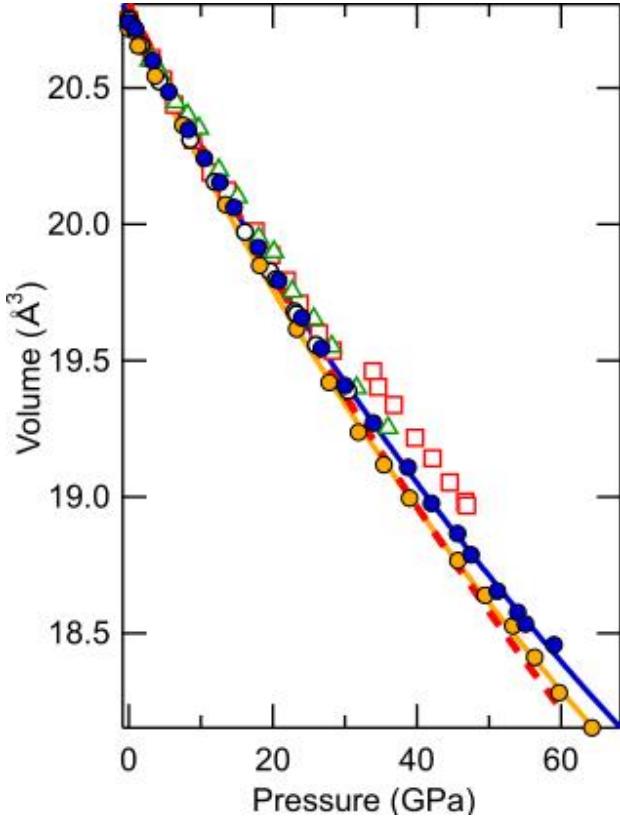
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## Figures

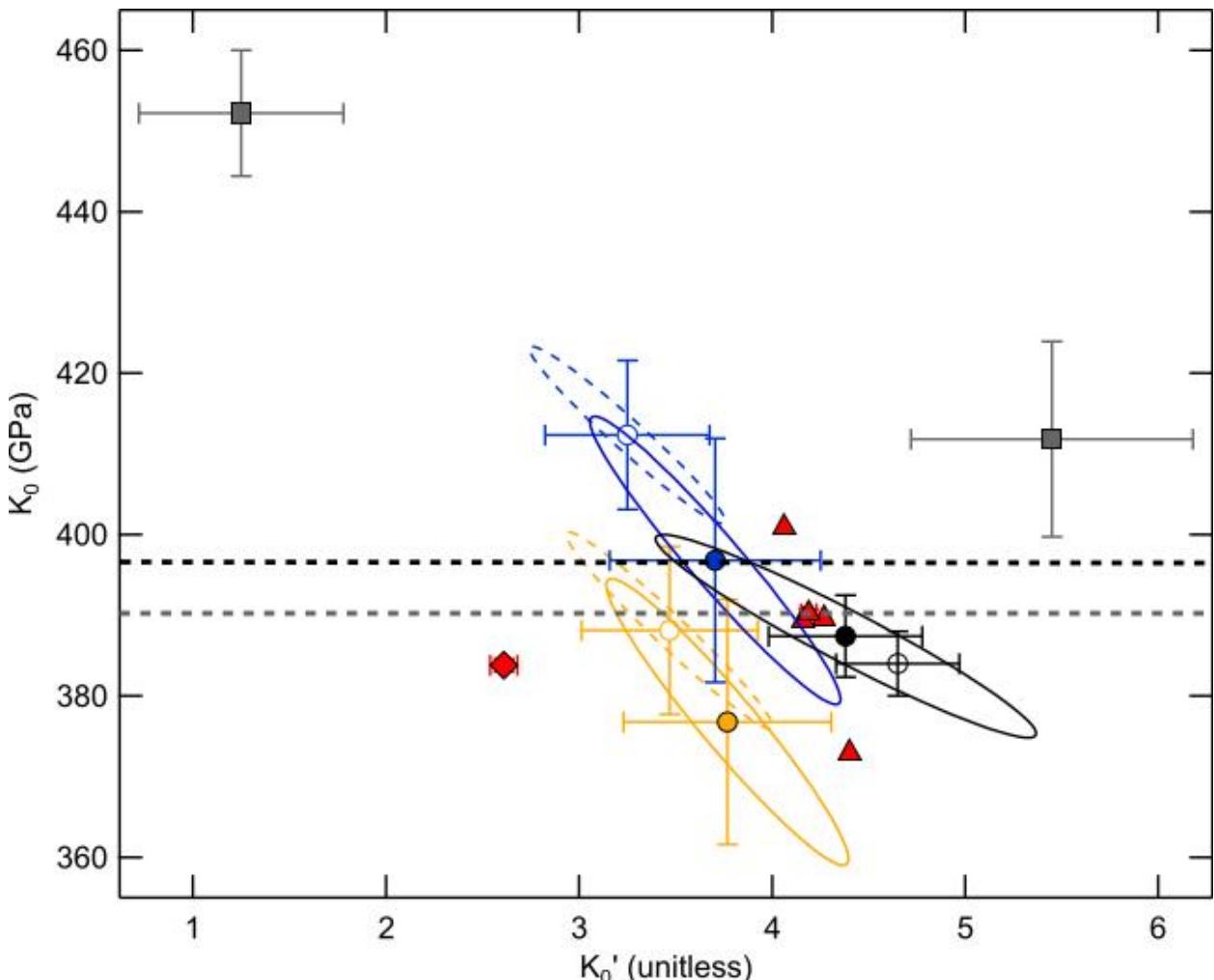


**Figure 1.** Representative synchrotron X-ray diffraction patterns of bulk and nano-crystalline WC compressed in Ne pressure medium with Au pressure standard and Re gasket in the axial diffraction geometry.



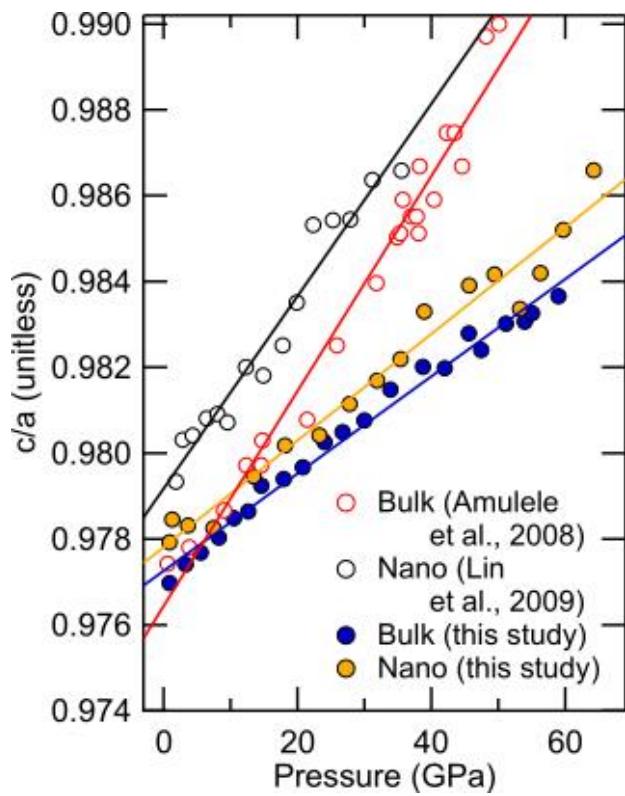
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**Figure 2:** Compression of bulk (blue circles, with 3<sup>rd</sup> order Birch-Murnaghan equation of state fit in blue line) and nanocrystalline (yellow circles, with EoS fit in yellow line) WC in Ne compared with other experimental studies. Pressure was determined from the EOS of Au, using the 111, 200, and 220 Au peaks and the pressure scale of Dewaele [48]. Data from previous studies was obtained in the multi-anvil press [10] (black open circles) and in the DAC for bulk (red open squares [1]) and nano-crystalline WC (green open triangles [2]). Ultrasonic measurements [1] are displayed in red solid line and extrapolated with red dashed line.



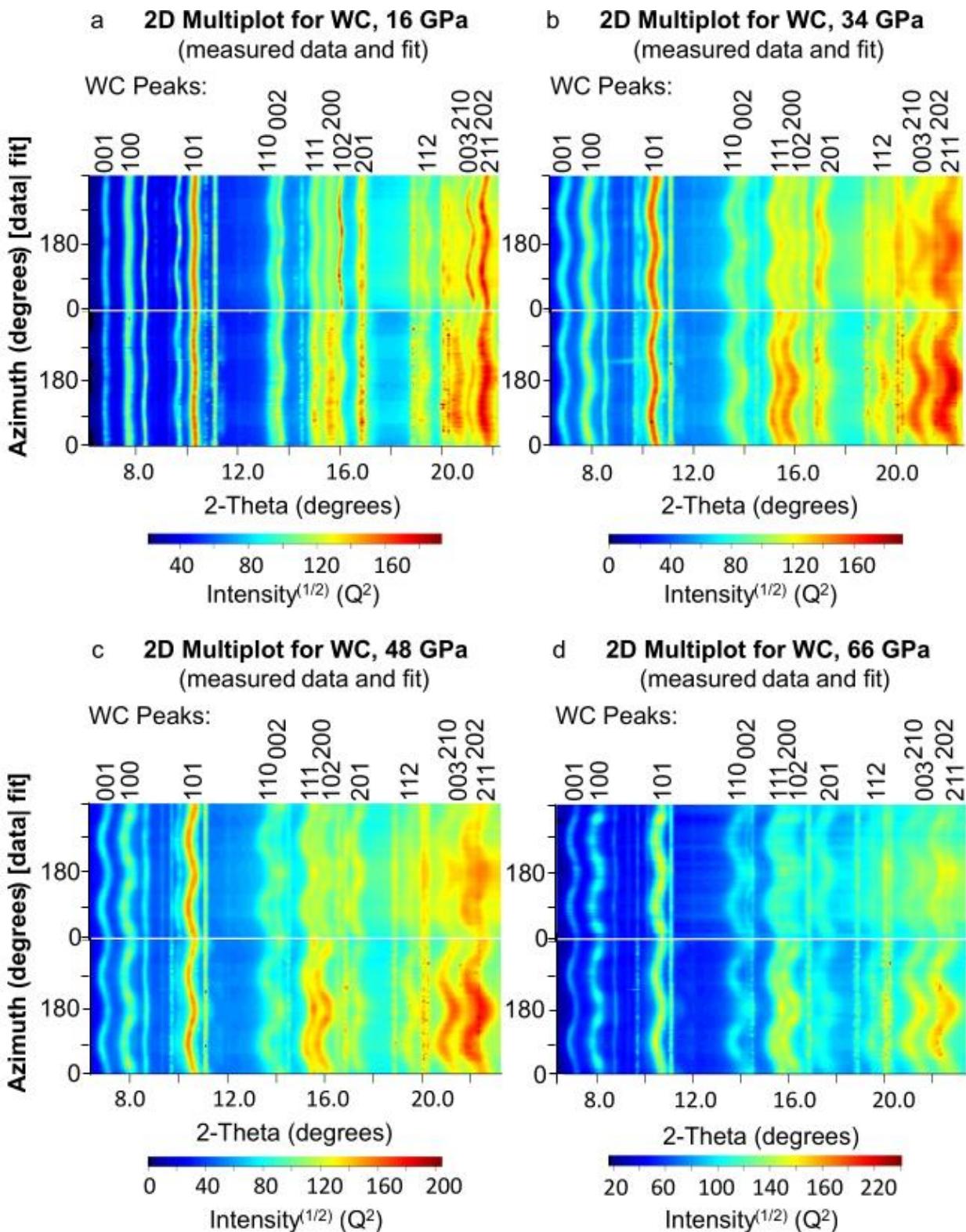
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495 **Figure 3:** Ellipses representing 95.3% confidence in  $K_0$  and  $K_0'$  obtained from Birch-Murnaghan equation  
 496 fit to pressure-volume data for bulk (blue, this study and black, room temperature data from multi-anvil  
 497 [10]), and nano-grained WC (yellow). Dashed ellipses with open circles are with  $V_0$  fixed to ambient  
 498 XRD measurements, solid ellipses with solid circles are with  $V_0$  fit. The open gray circle indicates  
 499 reported values from multi-anvil, high-temperature/pressure EOS [10]. Solid gray squares are from other  
 500 DAC studies on nano-crystalline [2] and bulk [1]. Red triangles indicate values obtained from theoretical  
 501 calculations [2,8,21,35,36]. The solid red diamond is the adiabatic bulk modulus from ultrasonic  
 502 experiments [1]. Dashed lines are from shock wave (black line [59]) and ultrasonic interferometry  
 503 experiments (gray line [89]), which only constrain  $K_0$  but not  $K_0'$ .



504

505 **Figure 4** The ratio  $c/a$  vs  $P$  for bulk- and nano-grain WC from experimental measurements.  
 506 Values obtained using nonhydrostatic media (open circles) are systematically higher than with  
 507 hydrostatic media (filled circles, this study).

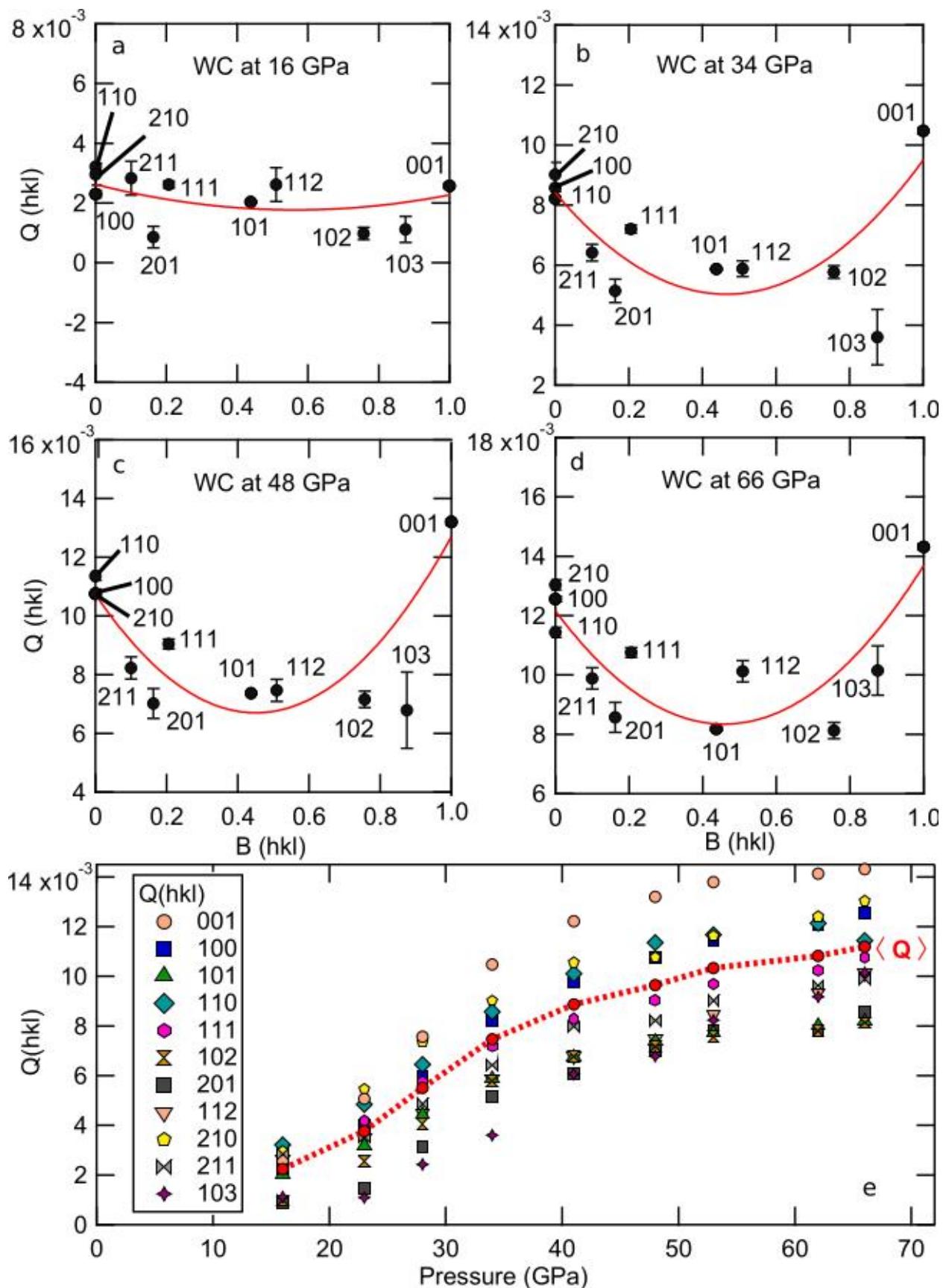


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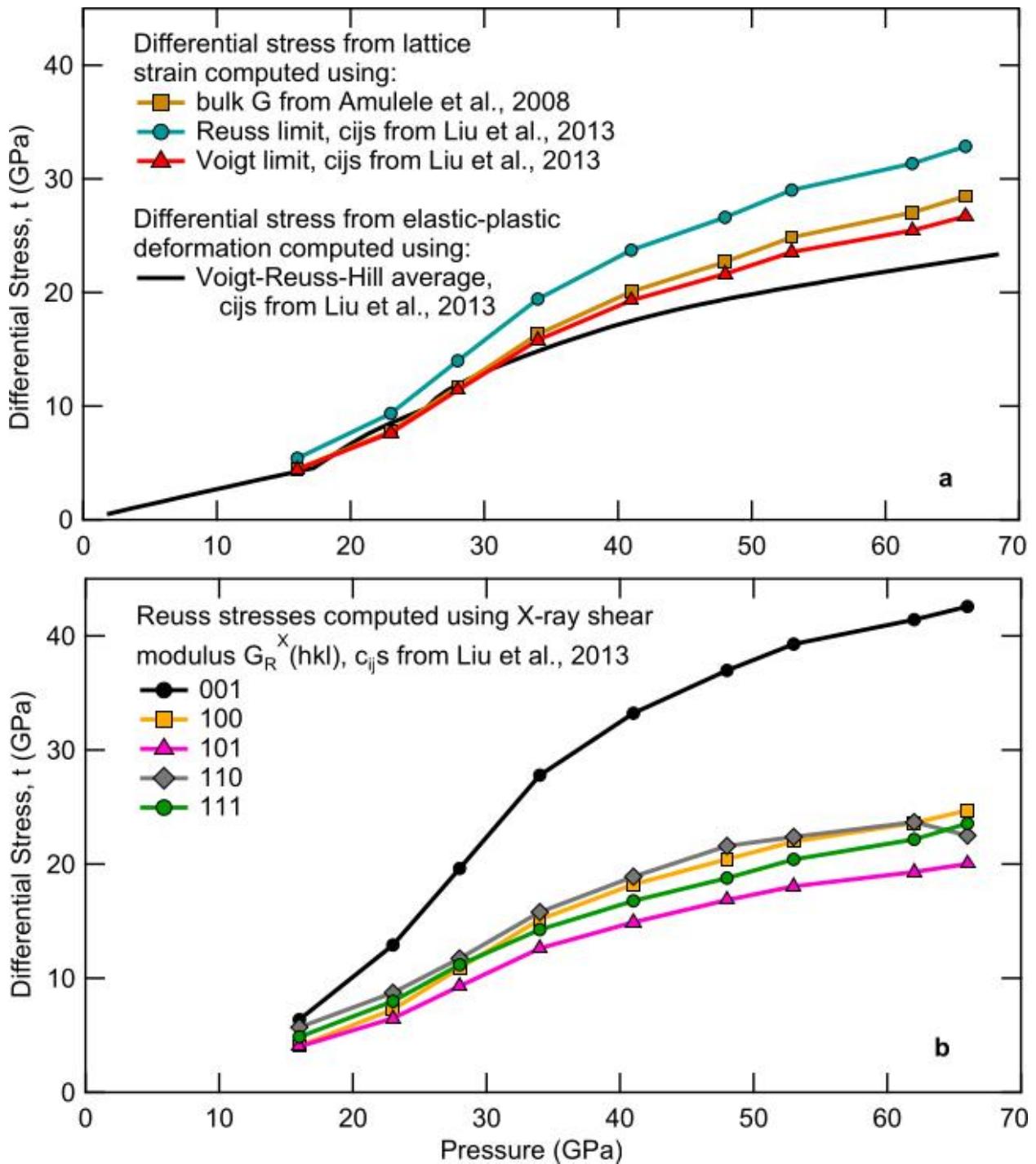
509 **Figure 5:** X-ray diffraction pattern data (lower half of each image) and full-profile refinements (upper  
510 half of each image) for selected pressures a) 16 GPa, b) 34 GPa, c) 48 GPa and d) 66 GPa. The Debye-  
511 Scherrer rings are transformed to azimuth vs  $2\theta$  and Miller indices for WC are labeled in each pattern.  
512 WC peaks exhibit increasing sinusoidal curvature with pressure due to non-hydrostatic strain. Systematic

513 variation in intensity in individual diffraction lines is indicative of plastic deformation and also increases  
514 with pressure in WC. Diffracting planes from gasket materials Be and BeO at ambient conditions are also  
515 observed and exhibit no strain.

516



518 **Figure 6:** Strain obtained for WC lattice planes  $Q(hkl)$  at selected pressures plotted vs. the orientation  
519 function  $B(hkl)$  (eqn 4) relative to the stress axis (a-d) and for all planes as pressure increases (e). In (a-d),  
520 red curves are quadratic fits to strain  $Q(hkl)$  vs.  $B(hkl)$ . Strain anisotropy increases with pressure (scaling is  
521 constant for Figures a-d). Error in  $Q(hkl)$  at individual pressures represents the error of the refinement to  
522 the experimentally observed curvature. In e), the mean strain  $\langle Q \rangle$  (red circles and dashed line between  
523 points for emphasis) increases monotonically, and the shape of the  $Q$  vs  $P$  curve is similar for all  $Q(hkl)$ ,  
524 however values diverge in  $Q$  as pressure increases, indicating an increase in anisotropy as pressure  
525 increases.

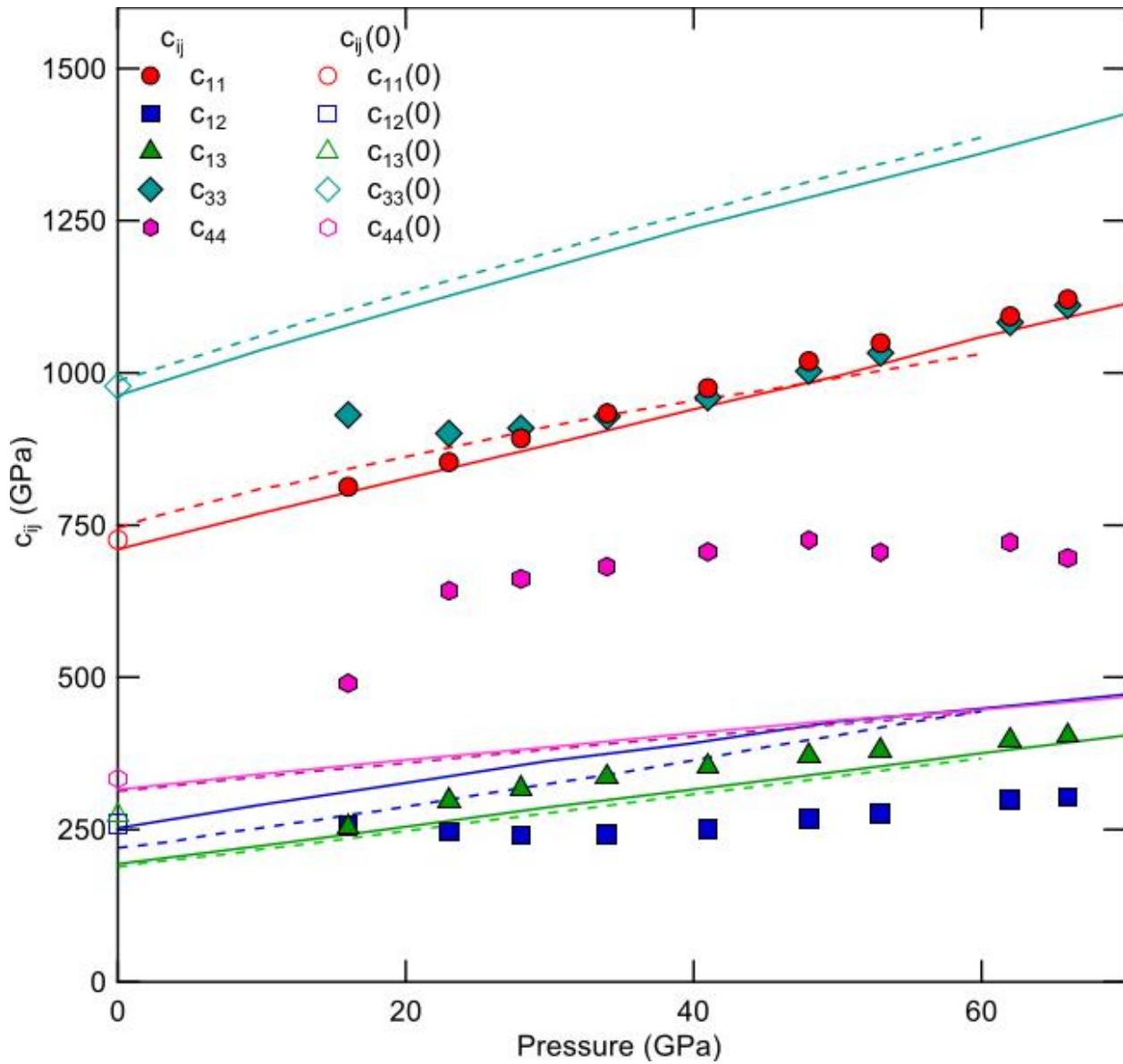


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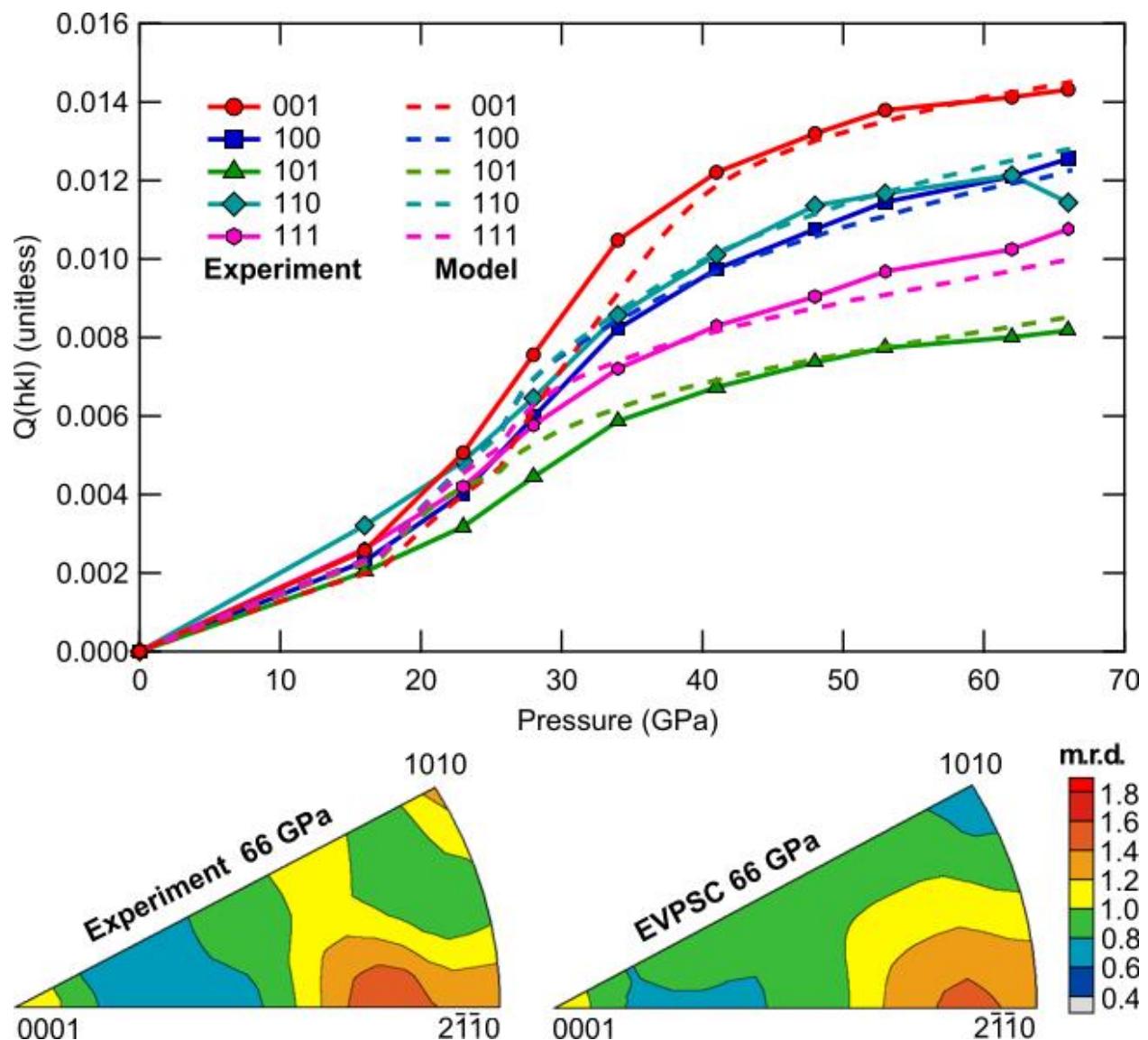
527 **Figure 7:** Differential stress  $t$  in WC obtained from lattice strain analysis and EVPSC model of  
 528 experimental measurements. Figure 7a (left): Average Voigt (red triangles) and Reuss (teal circles) values  
 529 for differential stress computed from  $Q(hkl)$  and elastic constants obtained from theoretical calculations  
 530 [35], with values obtained using aggregate shear modulus (gold squares [1]). Also shown is differential  
 531 stress obtained from EVPSC simulation incorporating texture and plasticity (solid black line). Stress  
 532 accounting only for elastic strain diverges from stress accounting for both elastic and plastic strain at the  
 533 yield point near 30 GPa. Figure 7b (right): Reuss stresses computed for individual lattice planes  $hkl$  using

534 purely elastic strain. (001) supports the largest differential stress ~28 GPa, ~29-42% larger than  
535 differential stress values of ~16-20 GPa at yielding, which use the aggregate and Reuss-limit shear  
536 modulus, respectively, and 57% larger than the stress from the EVPSC model.

537

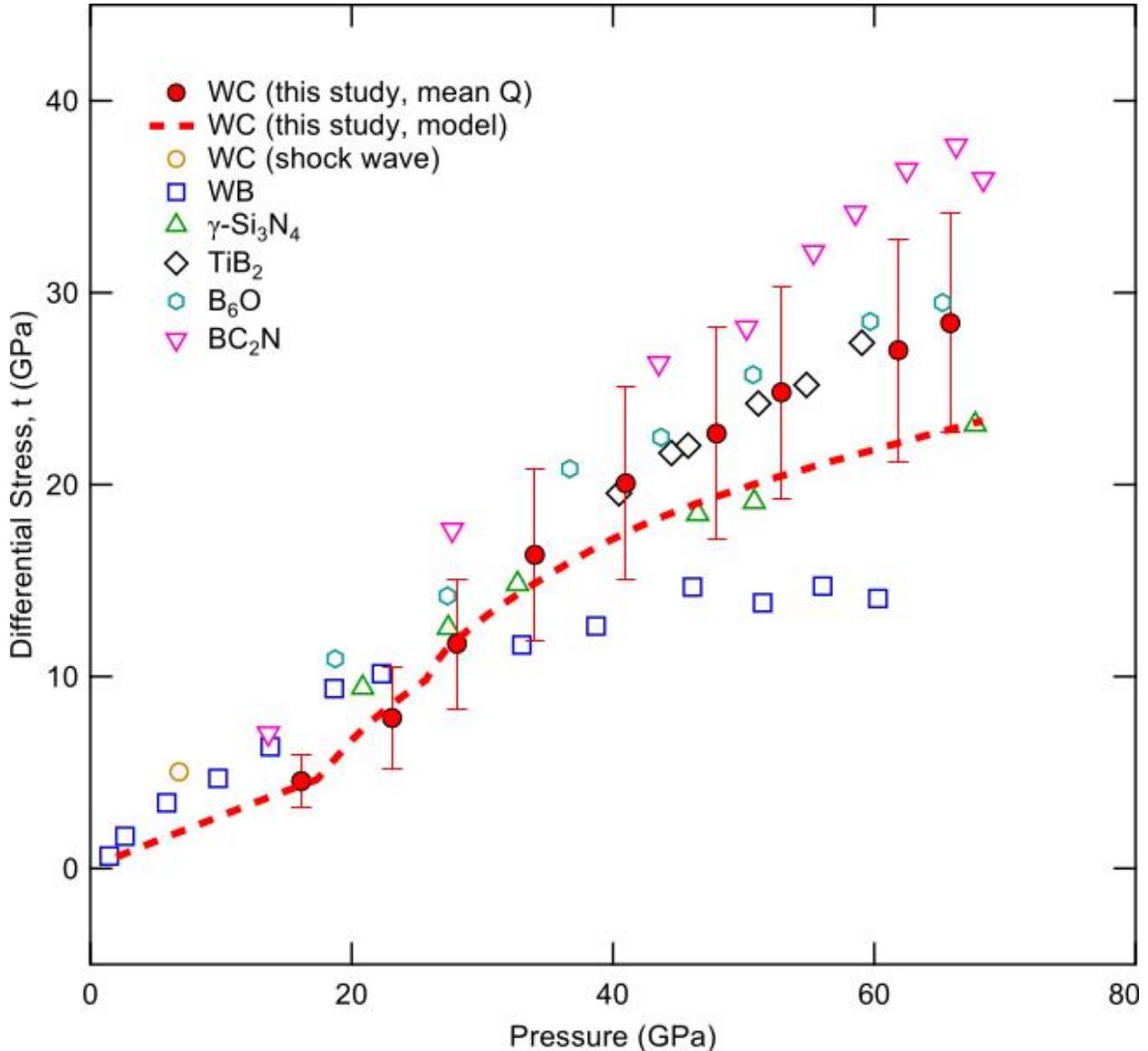


540 **Figure 7:** Elastic stiffness coefficients for experimental data as calculated from eqns (1-6, and 8) (closed  
 541 symbols: this study). Open symbols are experimental zero-pressure values [31]. Solid and dashed lines are  
 542 from theoretical calculations [35,36]. Our values for  $c_{11}$  and  $c_{13}$  agree well with theoretical predictions.  
 543 Other  $c_{ij}$ s are closest to DFT values at minimum pressure (closest to pure elastic deformation), providing  
 544 validation for DFT. Stiffnesses deviate from predicted values rapidly as plastic deformation increases,  
 545 illustrating mechanisms of failure. Above yielding at 30 GPa, values for  $c_{44}$  is substantially higher than  
 546 predicted, and  $c_{33}$  and  $c_{12}$  diverge from predicted values. Values for  $c_{33}$  appear to converge with  $c_{11}$ , while  
 547  $c_{12}$  varies only slightly from a constant value of 200 GPa throughout the experimental pressure interval.



549  
550 **Figure 8** Strain and texture in WC. *Left*: Experimental and modeled strain (Q-factors) for selected planes  
551 of WC vs. pressure. *Right*: Experimental (top) and modeled (bottom) inverse pole figures illustrating non-  
552 random texture at 66 GPa.

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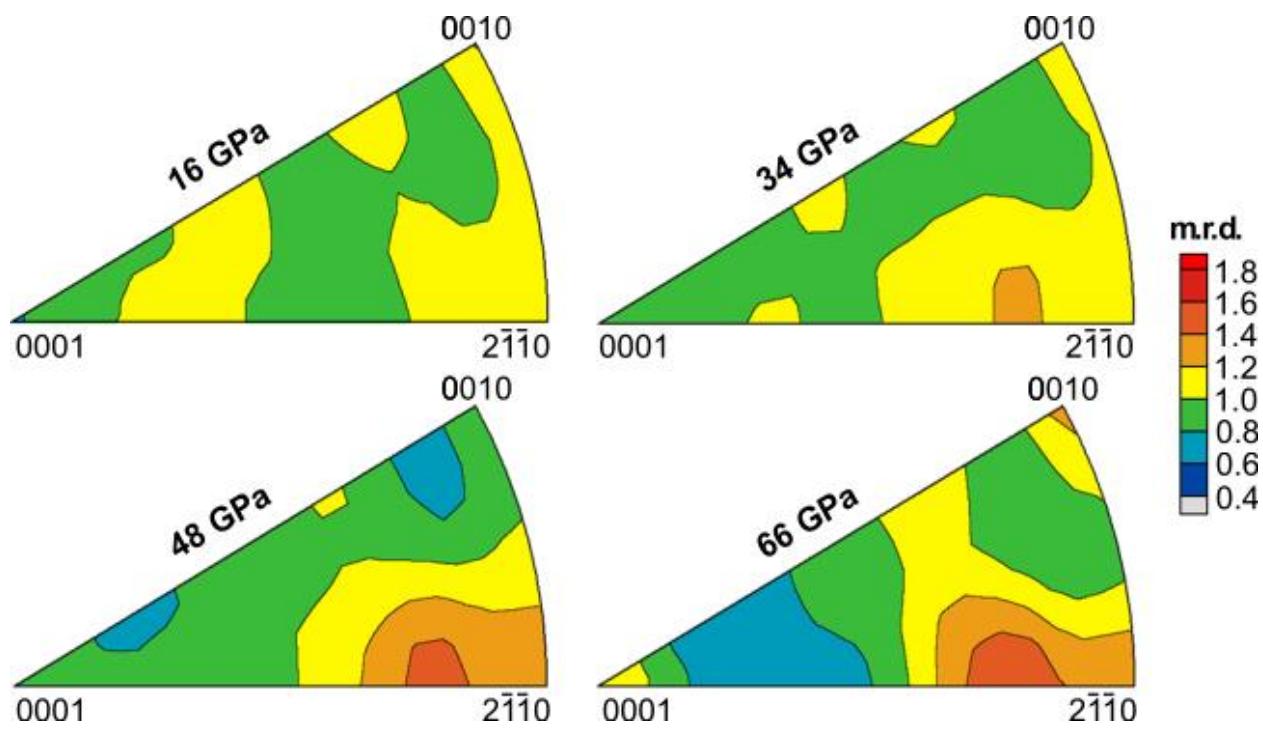


554

555 **Figure 10:** Differential stress observed in WC and other hard ceramics compressed uniaxially with no  
 556 pressure-transmitting medium. Stress in WC is computed with both lattice strain theory (solid circles) and  
 557 EVPSC simulation (dashed line). Dynamic yield strength from shock wave data is open gold circle,  
 558 calculated after [92]. Previous studies on all other hard ceramics (open symbols) use lattice strain theory.  
 559 Blue squares are WB [83], green triangles are  $\gamma\text{-Si}_3\text{N}_4$  [93], black diamonds are TiB<sub>2</sub> [81], teal hexagons  
 560 are B<sub>6</sub>O [80], and magenta triangles are BC<sub>2</sub>N heterodiamond [82]. Uncertainty is calculated as  $\pm$  the  
 561 standard deviation in mean  $Q$  at each pressure, propagated through equation (6). Differential stress  
 562 increases with uniaxial load until yielding, where the change in slope of the  $t(P)$  indicates strain is  
 563 accommodated by both elastic and plastic deformation. In WC, yielding at 30 GPa is supported by the  
 564 development of texture at the same pressure (Fig. 11). The flow stress of WC above yielding is higher  
 565 than flow stresses observed in WB and Si<sub>3</sub>N<sub>4</sub>. Flow stress obtained from EVPSC simulation is  
 566 systematically lower than that derived from lattice strain analysis only, though the results of the two  
 567 methods remain within uncertainty of each other.

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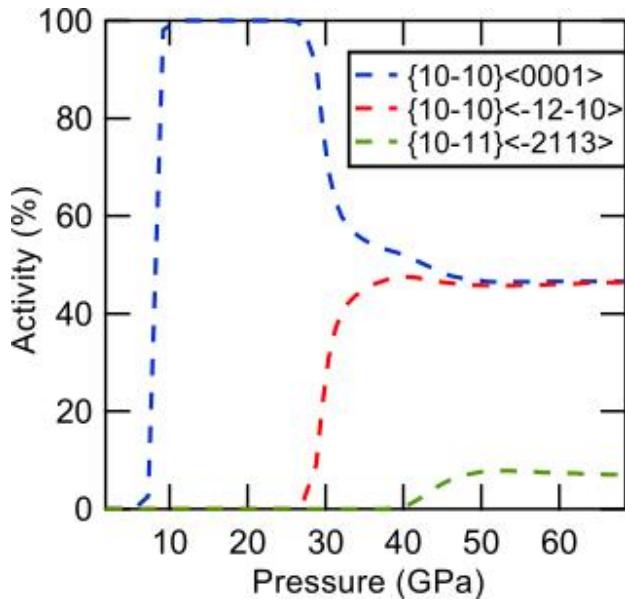
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571 **Figure 9:** Inverse pole figures reconstructed from experimental ODF data fit with fiber texture at selected  
 572 pressures. A texture maximum is observed at ~30 GPa near the 2-1-10 planes (symmetrically equivalent  
 573 to 100 planes in  $hkl$  notation), indicating plastic deformation. This value increases to ~1.9 multiples of a  
 574 random distribution at the maximum pressure of 66 GPa.

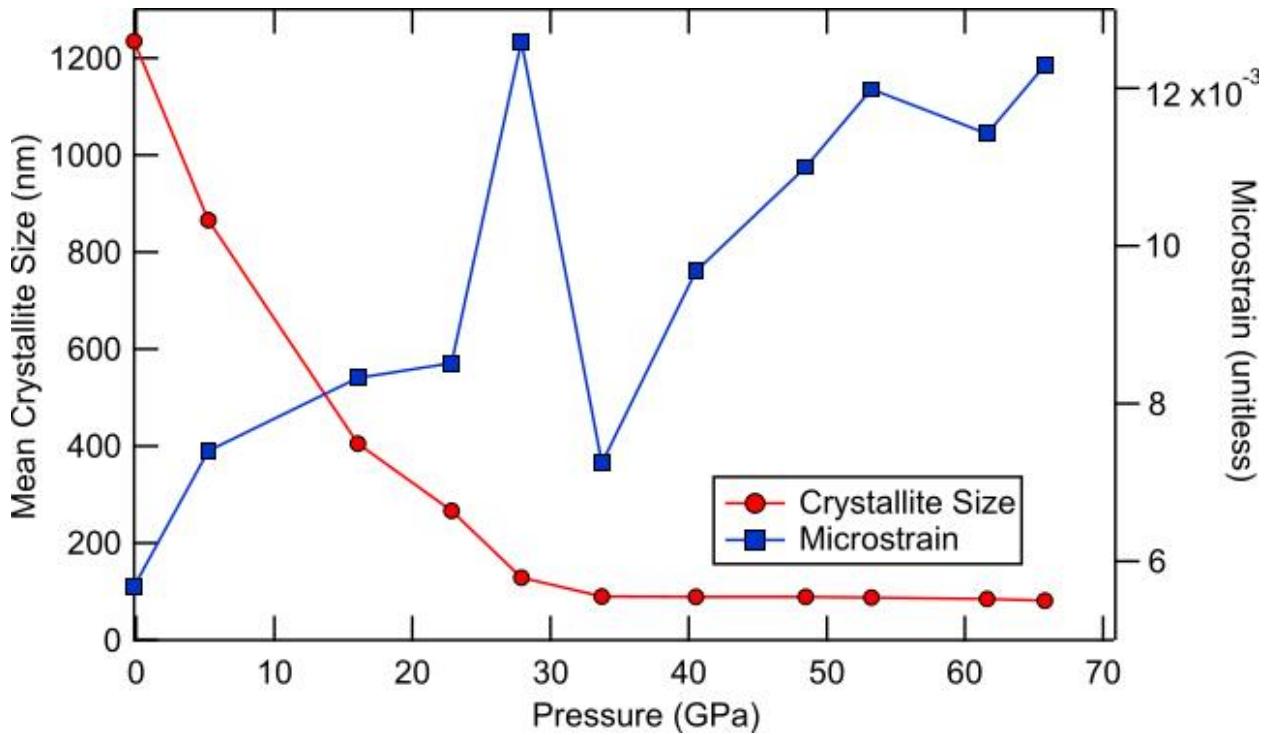
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576

577 **Figure 10:** Modeled slip system activities as determined from the EVPSC simulation. Based on  
 578 experimental texture, below 8 GPa, deformation is entirely elastic, and no plastic deformation occurs.  
 579 Between 8-30 GPa, a small amount of plastic strain is accommodated by prismatic slip activation on  
 580  $\{10\bar{1}0\}\langle0001\rangle$ . At 30 GPa, bulk plastic yielding occurs, accommodated by continued prismatic slip on  
 581  $\{10\bar{1}0\}\langle0001\rangle$  and activation of prismatic slip on  $\{10\bar{1}0\}\langle\bar{1}2\bar{1}0\rangle$ . A third (pyramidal) slip system is  
 582 activated between 40-50 GPa on  $\{10\bar{1}1\}\langle\bar{2}113\rangle$ .

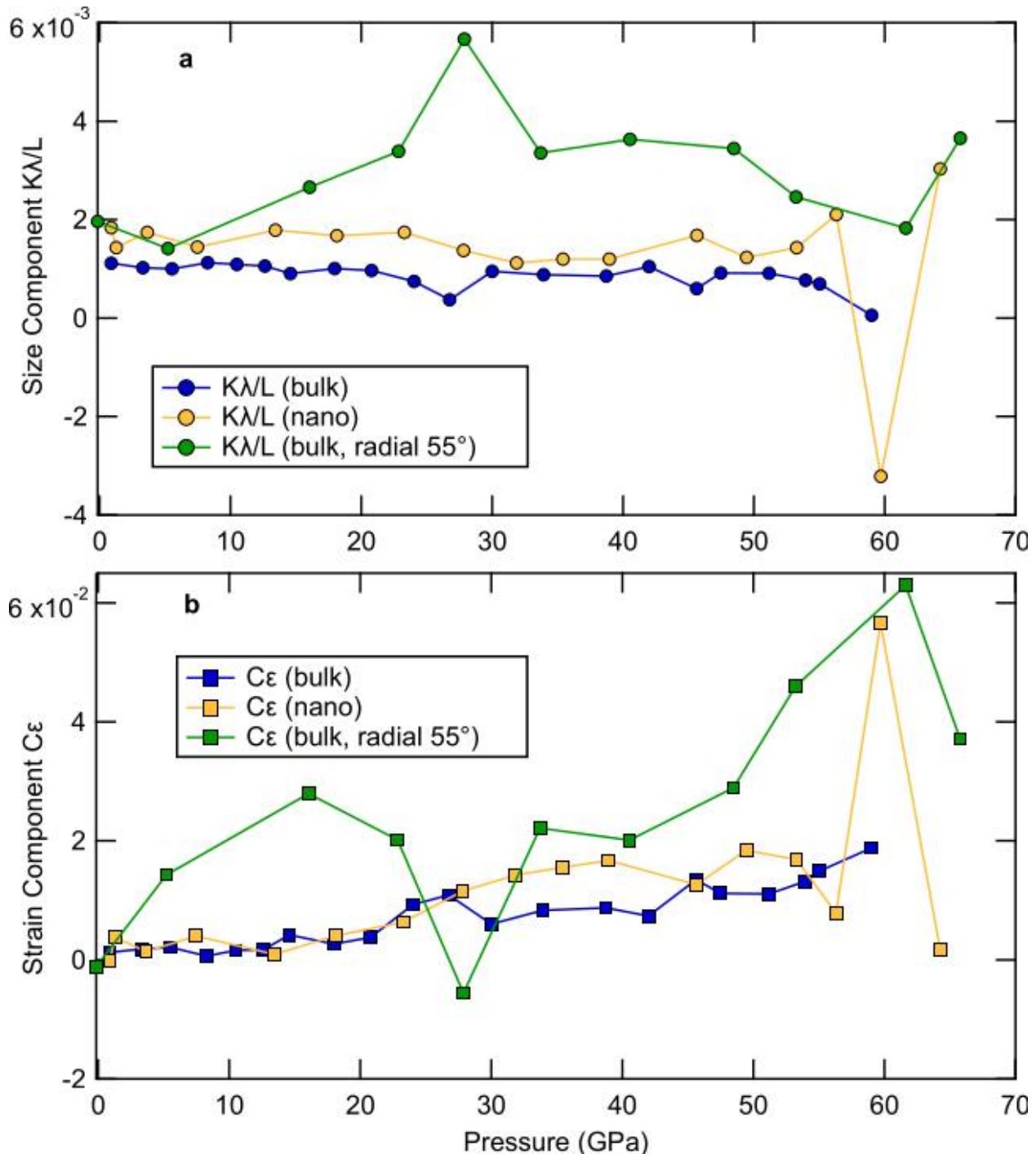
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584

585 **Figure 11:** Crystallite size and microstrain vs pressure from full-profile refinement in WC under non-  
 586 hydrostatic compression. Crystallite size decreases and microstrain increases up to ~30 GPa, the pressure  
 587 at which lattice strain suggests yielding and texture indicates activation of prismatic slip on  
 588  $\{10\bar{1}0\}\langle\bar{1}2\bar{1}0\rangle$  and  $\{10\bar{1}0\}\langle0001\rangle$ . Reduction in crystallite size below plasticity onset is attributed to  
 589 lattice-bending, which reduces the size of the coherently diffracting regions contributing to crystallite size  
 590 in MAUD software. Above 30 GPa, microstrain drops and then resumes increasing, while grain size  
 591 remains ~constant at ~80 - 90 nm. A second dip in microstrain at ~50 GPa follows the activation of  
 592 pyramidal slip on  $\{10\bar{1}1\}\langle\bar{2}113\rangle$ .

593



594

595 **Figure 14:** Williamson-Hall [75] analysis of size (a) and strain (b) components of peak-width as a  
 596 function of pressure for bulk (blue), nano (yellow), and bulk radial (green) WC. Size contributes more to  
 597 peak-broadening in the bulk radial analysis than in the analysis of bulk or nano in the axial geometry. Size  
 598 is expected to affect peak broadening in the radial geometry, because of the absence of a pressure medium  
 599 induces plastic deformation. The largest size effect is observed at the yield point. The strain contribution  
 600 is larger in the radial geometry below the yield point than for bulk or nano-grain WC. Strain increases  
 601 smoothly and ~monotonically in the bulk in Ne and nano in Ne at low pressures, but just below 30 GPa

602 both the nano-crystalline WC in Ne and bulk WC with no medium exhibit a decrease in strain followed  
603 by a sharp increase.

604

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