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U.S. DEPARTMENT OF  
**ENERGY**



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# ANSI / HFES 400-2020X

- New *Human Readiness Levels* (HRL) standard
- Formal kickoff in September 2020
- Writing committee – chair plus 10 members
- Expected completion in August 2021

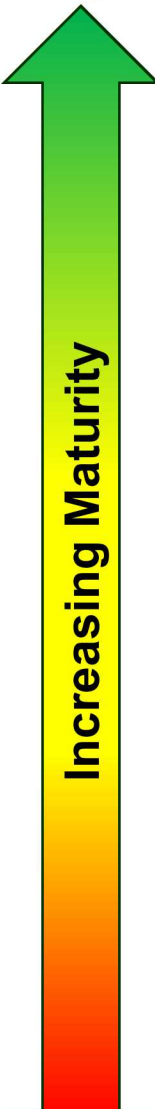
# Human Readiness Levels

- Simple nine-level scale that mirrors existing technology readiness levels (TRL) scale
- Designed to evaluate, track, and communicate readiness of a system for human use
- Fully incorporates human element throughout system lifecycle

***Human readiness levels (HRL) are intended to capture and mitigate human systems issues early in the design phase to reduce human error in fielded systems.***



# Mapping HRLs to TRLs



Level		Technology Readiness Level	Human Readiness Level
Production / Deployment	9	Operational use of deliverable	System successfully used in operations across the operational envelope with systematic monitoring of human-system performance
	8	Actual deliverable qualified through test and demonstration	Total human-system performance fully tested, validated, and approved in mission operations, using completed system hardware and software and representative users
	7	Final development version of the deliverable demonstrated in operational environment	Human systems design fully tested and verified in operational environment with system hardware and software and representative users
Technology Demonstration	6	Representative of the deliverable demonstrated in relevant environments	Human systems design fully matured as influenced by human performance analyses, metrics, prototyping, and high-fidelity simulations
	5	Key elements demonstrated in relevant environments	User evaluation of prototypes in mission-relevant simulations completed to inform design
	4	Key elements demonstrated in laboratory environment	Modeling, part-task testing, and trade studies of human systems design concepts completed
Research & Development	3	Concepts demonstrated analytically or experimentally	Requirements for supporting human performance established
	2	Concept and application formulated	Human-focused concept of operations defined and human performance design principles established
	1	Basic principles observed and reported	Relevant human capabilities, limitations, and basic human performance issues and risks identified

***HRLs shift focus from lagging indicators (human error in fielded systems) to leading indicators (evidence-based measures of usability readiness).***

# Evolution of the HRL Scale

- Concept first proposed in 2010
- Matured through two different working groups
  - 2015 working group led by DOD
  - 2019 working group led by SNL
- Inputs and reviews from diverse human systems community
- Demonstrated utility to detect human systems issues in historical scenarios

Organization	#
Air Force	2
Army	1
Navy	4
DOE	10
FAA	1
NASA	4
Industry	10
Academia	6
<b>Total</b>	<b>38</b>

# ANSI / HFES 400-2020X Status

- Very early stages of writing the standard
- Primary chapter has sections identifying requirements and recommendations for each HRL level
- Each HRL level is a requirement (*shall*)
  - Activities that *should* be addressed at that level
  - Guidance that *should* be considered at that level
  - Criteria / supporting evidence that *should* be provided to exit that level
- Formal voting, public review, and comment ~January 2021

**HRL 1:** The human systems practitioner **shall** identify relevant human capabilities, limitations, and basic human performance issues and risks.